



**LODI UNIFIED SCHOOL DISTRICT
REQUEST FOR QUALIFICATIONS
FOR DESIGN-BUILD SERVICES
PACIFIC GAS & ELECTRIC ON BILL FINANCE (OBF) PHASE 3 PROJECT
RFQ #8150-5830-920-86**

Lodi Unified School District ("District") is seeking to prequalify design-build entities or design-build teams to submit proposals to design and construct the District's **Pacific Gas & Electric On Bill Finance (OBF) Phase 3** ("Project"), in accordance with Education Code section 17250.10 et seq. Only respondents that have been prequalified by the District in response to this Request for Qualifications ("RFQ") will be eligible to submit proposals in response to the District's subsequent Request for Proposals ("RFP") for the Project.

Respondents to this RFQ shall submit a fully completed and sealed Statement of Qualifications ("SOQ"), using the District's standard prequalification template for design-build projects, as further described herein, to:

LODI UNIFIED SCHOOL DISTRICT
880 N. Guild Ave., Lodi, CA 95240
ATTN: Amberly Kidder
RFQ #8150-5830-920-86

ALL SOQS ARE DUE BY 1:00 P.M. TUESDAY JUNE 23, 2026. Oral, telegraphic, facsimile, telephone, or email SOQs will not be accepted. SOQs received after this date and time will not be accepted and will be returned unopened.

District reserves the right to waive irregularities and omissions in the information contained in any SOQ, and to make all final determinations. District may use other sources of information outside of the SOQs to investigate respondents or to verify answers.

Each SOQ must be certified under penalty of perjury by the respondent. If any information provided by a respondent becomes inaccurate, the respondent must immediately notify District and provide updated, accurate information in writing, under penalty of perjury. District reserves the right to suspend or rescind prequalification at any time based on subsequently learned information.

Questions regarding this RFQ may be directed in writing to the District's Energy Manager, James Bottomley, jbottomley@iesenergy.com and must be submitted on or by **1:00 P.M. THURSDAY, JUNE 4, 2026.**

I. RFQ SCHEDULE SUMMARY

The District may change the dates on this schedule without prior notice.

DEADLINE	ACTION ITEM
May 23, 2026	Release of RFQ.
June 4, 2026 at 1:00 P.M.	Last day to receive written questions from respondents.
June 11, 2026	Last day for District to issue addenda or answer questions.
June 23, 2026 at 1:00 P.M.	Deadline for submissions in response to RFQ.
Week of July 6, 2024	Notice to prequalified respondents.
<u>Anticipated</u> July, 2026	Release of RFP to prequalified respondents.

Note: There will be a mandatory on-site meeting required to respond to the upcoming RFP, tentatively scheduled for July 13, 2026.

II. BACKGROUND

The District encompasses 350 square miles and serves the cities of Lodi, North Stockton, and the communities of Acampo, Clements, Lockeford, Victor, and Woodbridge in the Central Valley of Northern California. Student enrollment is 29,800, and there are 49 school sites, including, 33 elementary, 7 middle, 4 comprehensive high schools, and 2 continuation high schools.

III. PROJECT DESCRIPTION AND SCOPE OF SERVICES

Pursuant to Education Code section 17250.10 et seq., the District is seeking a design-build entity or team ("DBE") to design and construct the District's Phase 3 PG&E OBF Project ("Project"). The Project is further defined in the attached **APPENDIX A**, along with the District's expected cost range and schedule for the Project. The District's performance specifications, which the selected DBE must adhere to in delivering the Project, will be made available to prequalified respondents at the RFP stage.

The selected DBE must be appropriately licensed in the State of California for architectural, engineering, and construction services as needed to complete the Project. In addition, the selected DBE shall have experience with both design and construction of public school facilities and in working with the Office of Public School Construction ("OPSC"), the Division of the State Architect ("DSA"), and Title 24 of the California Code of Regulations.

All contractors who are part of the design-build team must be registered with the Department of Industrial Relations ("DIR") as required by law. The selected DBE will be required to comply with the Labor Code prevailing wage requirements, skilled and trained workforce

requirements, and the District's bonding and insurance requirements. The selected DBE shall be required to work cooperatively with District staff, the Governing Board, all other technical consultants, the bridging architect, the project inspector, and any program and/or construction manager, if any, retained by the District for the Project, citizens' oversight committee, other District committees, and the community to facilitate timely and professional completion of the Project.

IV. DESIGN-BUILD PROCUREMENT PROCESS

Pursuant to Education Code section 17250.25, procurement of a DBE will follow two (2) phases:

1. Prequalification – First, by this RFQ, the District will prequalify respondents using a standard template request for statements of qualifications. The significant factors the District reasonably expects to consider in evaluating qualifications are identified in Section IX, below.
2. Design-Build Proposals – Second, the District will issue an RFP, inviting only prequalified respondents to submit competitive sealed proposals for the Project. The District will use a best value selection method for evaluating proposals. The procedure for final selection will be as follows:
 - a. The District will evaluate responsive proposals using only the criteria and selection procedures specifically identified in the RFP.
 - b. The District may, at its discretion, request proposal revisions and hold discussions and negotiations with responsive proposers. The RFP will define applicable procedures to ensure that discussions or negotiations are conducted in good faith.
 - c. The District will rank responsive proposers based on a determination of value provided, but shall not be required to rank more than three (3) proposers.
 - d. The District will award the contract, if at all, to the responsible DBE whose proposal is determined by the District to be the best value.

Any person or entity that has performed or is performing services for District relating to the solicitation of this design-build project will **not** be eligible to submit a proposal as a design-build entity or to join a design-build team.

V. FULL OPPORTUNITY

No Respondent will be discriminated against on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, reproductive health decision-making, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or veteran or military status in any consideration leading to the award of the contract. District also affirmatively ensures that Disadvantaged Business Enterprises ("DBE"), Small Local Business Enterprises ("SLBE"), Small Emerging Local Business Enterprises ("SELBE"), and Disabled Veterans Business Enterprises ("DVBE") shall be afforded full opportunity to respond to this RFQ.

VI. LIMITATIONS

This RFQ is neither a formal request for bids, nor an offer by the District to contract with any party responding to this RFQ. The District reserves the right to add additional prequalified respondents for consideration after distribution of this RFQ, if it is found to be in the best interest of the District. All decisions concerning selection of the DBE will be made in the best interests of the District. The issuing of a subsequent RFP and awarding of the contract pursuant to the subsequent RFP, if at all, is at the sole discretion of the District.

The District makes no representation that participation in this RFQ process will lead to an award of contract or any consideration whatsoever. The District shall in no event be responsible for the cost of preparing any response to this RFQ.

SOQs submitted by respondents are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. The contents, however, may be disclosed to third parties for purpose of verification or investigation. State law requires that the names of respondents applying for prequalification status shall be public records subject to disclosure.

VII. RESTRICTIONS ON LOBBYING AND CONTACTS

From the period beginning on the date of the issuance of this RFQ and ending on the date of the award of the contract, no person, or entity submitting in response to this RFQ, nor any officer, employee, representative, agent, or consultant representing such a person or entity shall contact through any means or engage in any discussion regarding this RFQ, the evaluation or selection process/or the award of the contract with any member of the District, Governing Board, selection members, or any member of the Citizens' Oversight Committee. Any such contact shall be grounds for the disqualification of the respondent submitting a SOQ.

VIII. STANDARD TEMPLATE FOR STATEMENT OF QUALIFICATIONS

Attached hereto as **APPENDIX B** is the District's design-build prequalification template. Respondent must complete the prequalification template, certify its answers under penalty of perjury, and attach all information requested therein. The completed, certified prequalification template, together with all attachments, constitutes respondent's SOQ.

Each SOQ must be certified under penalty of perjury. If any information provided by a respondent becomes inaccurate, the respondent must immediately notify District and provide updated, accurate information in writing, under penalty of perjury.

IX. EVALUATION

The District will evaluate all timely submitted SOQs. Each SOQ must complete. Incomplete SOQs will be considered nonresponsive and grounds for disqualification. The District retains the sole discretion to determine issues of compliance and to determine whether a respondent is responsive and qualified.

Significant factors the District reasonably expects to consider in evaluating qualifications are as follows:

- All required licenses and registration to design and construct the Project, with no recent revocations or suspensions;

- Established performance history, including an absence of criminal or civil violations or significant disputes;
- Capacity to obtain required bonds and insurance of the Project;
- Design expertise and experience;
- Construction expertise and experience;
- Acceptable safety record;
- Enforceable commitment to use a skilled and trained workforce for the Project, as applicable; and
- Financial capacity to complete the Project.

District may use other sources of information outside of the SOQs to investigate respondents or verify answers. District's investigation may extend beyond contacting project references identified in SOQs.

After completing its evaluation, the District will notify respondents selected for prequalification.

X. FINAL DETERMINATION

Prequalification is at the sole discretion of the District. The District reserves the right to waive irregularities and omissions in the information contained in any SOQ, and to make all final determinations. The District further reserves the right to suspend or rescind prequalification at any time based on subsequently learned information.

The District makes no representation that participation in this RFQ process will lead to an award of contract or any consideration whatsoever. The District reserves the right to seek proposals from or to contract with any firm not participating in this process. The District shall in no event be responsible for the cost of preparing any SOQ or other response to this RFQ.

WE THANK YOU FOR YOUR INTEREST IN THE DISTRICT'S PROJECT!

APPENDIX A

PROJECT DESCRIPTION

Project Name: Pacific Gas & Electric On Bill Finance (OBF) Phase 3 Project

Project Scope:

Information & Energy Services, Inc. (herein IES) is managing the customer's Lighting Retrofit and HVAC Replacement Project on behalf of the Lodi Unified School District (herein LUSD). IES is utilizing this RFQ/P process to look for qualified Lighting and Mechanical Contractor(s) to implement the following projects utilizing PG&E's On-Bill Financing (OBF) program:

- Interior lighting projects at twelve (12) schools
- Exterior lighting projects at nine (9) schools
- HVAC replacements at up to eight (8) schools
- HVAC smart thermostat installation at eight (8) schools

PG&E On-Bill Financing

This project is intended to be funded through the PG&E On-Bill Financing (OBF) Program. Contractors submitting proposals must hold all required licenses and demonstrate experience and familiarity with PG&E's OBF program requirements and processes.

The selected contractor shall be responsible for completing and submitting all required OBF application materials through a qualified PG&E OBF Project Developer. The contractor may fulfill the Project Developer role directly if properly qualified or subcontract these services to an approved PG&E OBF Project Developer. Any associated costs shall be included in the contractor's proposed pricing and OBF package. Lodi Unified School District has already completed field assessments, pre-project photo documentation, and preliminary energy savings calculations in coordination with Information & Energy Services, Inc. (IES). These materials, along with reasonable supporting documentation and assistance requested by the contractor, will be made available to facilitate completion of the OBF application process.

Work Element Descriptions

WE 1.1 – Replace Selected Interior Lighting with LED Lighting (BASE BID)

In general, WE 1.1 consists of 4' tube & driver retrofits in existing strip fixtures and retrofit-kits with controls in existing troffer fixtures. Surface mount fixtures are either replaced with a new surface mount fixture or retrofit with tubes and drivers, depending on specific site conditions. There are some exceptions based on conditions in specific rooms. Library direct/indirect fixtures are to remain in place and have the tubes and drivers replaced only. Surface mount high-bay T5/HO fixtures in the MPR to be replaced with new surface mount LED fixtures.

- Replacement of emergency lighting ballasts/ batteries should be included.

- **The district-wide color temperature standard is 4000 Kelvin**, please specify products in this color temperature only.

The following schools and approximate fixture quantities are included in this work element:

- Creekside Elementary: 952 fixtures (all types)
- Delta Sierra Middle: 1,047 fixtures (all types)
- Dorothy Mahin Education Center: 163 fixtures (all types)
- Extension Road (Henderson/Independence/VRA): 261 fixtures (all types)
- John Muir Elementary: 142 fixtures (all types)
- Lockeford Elementary: 501 fixtures (all types)
- Parklane Elementary: 704 fixtures (all types)
- Plaza Robles High: 374 fixtures (all types)
- Turner Academy: 74 fixtures (all types)
- Victor Elementary: 267 fixtures (all types)
- Wagner-Holt Elementary: 960 fixtures (all types)

All installed fixtures and controls shall qualify for PG&E's On-Bill Financing (OBF) program, and the contractor shall provide any documentation and support required for OBF approval. LED products must be listed on the DLC QPL (qualified product list). The latest list is DLC V6.0 effective as of January 5, 2026.

Expected LED replacements are shown below:

- LED 2'X4' Retrofit Kit with Controls
- LED 2'X2' Retrofit Kit with Controls
- LED Surface Mount Wrap 4' with Controls
- LED Surface Mount Highbay with Controls
- LED 2' T8 Tubes & Driver Replacement
- LED 3' T8 Tube & Driver Replacement
- LED 4' T8 Tube & Driver Replacement
- LED 4' T5 HO Tubes & Driver Replacement
- LED 4" Downlight Retrofit
- LED 6" Downlight Retrofit
- LED 8" Downlight Retrofit

WE 1.2 – Replace Selected Exterior Lighting with LED Fixtures (BASE BID)

Replacement of selected exterior lighting with new LED fixtures throughout the District's campuses. Work shall include proper removal of existing fixtures, installation of new energy-efficient LED units, electrical connections, mounting, aiming, and any required site adjustments to achieve full functionality.

- **The district-wide color temperature standard is 4000 Kelvin.**

The following sites are included in this work element:

- Creekside Elementary: 32 recessed CFL canopy fixtures replaced with LED 1x1 surface mount canopy fixture
- Delta Sierra Middle: 30 recessed CFL canopy fixtures, 7 HPS wall pack fixtures and 2 yoke-flood fixtures on the MPR roof replaced with LED fixtures
- George Lincoln Mosher Elementary: 3 recessed HPS canopy fixtures, 20 HPS wall pack fixtures and 30 HPS pole mount fixtures replaced with LED
- Lockeford Elementary: 23 CFL wall pack fixtures and 14 HPS pole mount fixtures replaced with LED fixtures

- Manlio Silva Elementary: 3 recessed HPS canopy fixtures, 11 HPS wall pack fixtures, 26 CFL porch and mini wall pack fixtures, 8 HPS yoke-flood fixtures, and 26 HPS pole mount fixtures all replaced with LED fixtures
- Parklane Elementary: 8 HPS pole mount fixtures replaced with LED fixtures
- Plaza Robles High: 9 CFL mini wall pack fixtures replaced with LED fixtures
- Podesta Ranch Elementary: 35 HPS pole mount fixtures replaced with LED
- Wagner-Holt Elementary: 28 recessed CFL canopy fixtures, 53 HPS wall pack fixtures and 3 HPS pole mount fixtures all replaced with LED fixtures

All fixtures shall meet applicable codes, be suitable for outdoor use, and qualify for PG&E's On-Bill Financing (OBF) program. LED products must be listed on the DLC QPL (qualified product list). The latest list is DLC V6.0 as of January 5, 2026.

Locations, fixture types, and quantities include the LED fixture types below:

- LED Mini Wallpack
- LED Full-Cutoff Wallpack (various wattages)
- LED Yoke Flood (various wattages)
- LED Pole Arm Area (various wattages)
- LED Pole Top (various wattages)
- LED Canopy 1'x1' (various wattages)

The selected contractor will be responsible for walking all sites in scope to confirm fixture/lamp counts and other details prior to OBF application and project commencement.

WE 2.1 – Replace Selected HVAC Units with High Efficiency HVAC Units (BASE BID)

Scope element includes replacement of selected existing packaged HVAC units, generally ranging from approximately 2.0 to 7.5 tons (with some larger), with new units of like capacity and minimum efficiency ratings as listed in the **Exhibit A-1 Scope Detail Tables** document included in the RFQ package.

The contractor shall remove and recycle all existing HVAC units and electrical disconnects serving HVAC units included in the scope. HVAC units and electrical disconnects shall be replaced with new HVAC units and new electrical disconnects. The contractor will be responsible for choosing the electrical disconnects to install that are compatible with the new HVAC equipment being installed. Work shall include furnishing and installing complete, fully operational HVAC systems, including required electrical connections, controls integration, start-up, testing, and balancing as applicable.

- ❖ Proposed Unit Weight: no proposed HVAC units shall be more than 10% heavier than the existing unit that is being replaced.
- ❖ Roof Curbs: all roof curb modification, replacement, adapters and any work related thereto are solely the contractor's responsibility; to include but not limited to changes that arise from a proposed unit with a different size, shape or configuration than the existing, addition of economizer on proposed unit where previously not so equipped, existing structures in the way, or any other unforeseen conditions relating to roof curbs or unit mounting.
- ❖ Duct Modifications: any modifications to ductwork to accommodate contractors' proposed design shall be the contractor's responsibility and are included in the proposed pricing.

- ❖ Duct Detectors (fire/life safety system): all duct detectors and other components of the fire life safety system must be fully installed and operational to provide a complete and functional fire life safety system pursuant to all applicable codes and standards. All required work (parts, labor, sub-contractors, etc.) to make the fire life safety system functional with respect to HVAC units that are replaced and the ductwork associated with those units shall be the contractor's responsibility and is included in the proposed pricing.

The following sites are requested in the base bid:

- John Muir Elementary: Replace a total of 10 split-systems (39 tons).
- Parklane Elementary: Replace 2 units (12.5 tons).
- Sutherland Elementary: Replace a total of 22 package units (92 tons).
- Wagner-Holt Elementary: Replace 4 package units and a mini-split (27 tons).
- Ronald McNair High: Replace the large RTUs on the Big Gym (4), the Small Gym (2), and the Stage and Theater units only (315 tons). Other areas covered in adders.

The District requests that Duct Cleaning services are included in the proposal for all rooftop package units being replaced. Please exclude all split-systems from Duct Cleaning.

All equipment shall be new, code-compliant, and suitable for use in K-12 educational facilities. Acceptable packaged HVAC unit manufacturers include the following:

- Carrier
- Lennox
- Trane
- York

Acceptable manufacturers for ductless type mini split systems include the above list, plus the following manufacturers:

- Fujitsu
- Mitsubishi
- LG

WE 2.2 – Add Pelican Thermostats to Selected Units Where Not Already Present (BASE BID)

This scope element includes installation of Pelican Wireless model TC-3 thermostats and required system components on selected HVAC units that currently lack smart web-based thermostat controls. Work shall include furnishing and installing all required hardware, wiring, and programming to integrate with existing HVAC systems or those systems replaced in WE 2.1 or subsequent selected adders. This work element will occur in the following schools:

- Creekside Elementary: 15 additional thermostats to be installed
- Delta Sierra Middle: 24 additional thermostats to be installed
- G.L. Mosher Elementary: 49 additional thermostats to be installed
- John Muir Elementary: 47 thermostats + gateway to be installed
- Manlio Silva Elementary: 50 additional thermostats to be installed
- Podesta Ranch Elementary: 50 thermostats + gateway to be installed
- Sutherland Elementary: 30 additional thermostats to be installed
- Wagner-Holt Elementary: 36 additional thermostats to be installed

Adders to HVAC Base Bid

The following scope elements include the replacement of HVAC equipment at additional locations throughout the District.

- WE 2.1.1 – HVAC: McNair Main Building 1st Floor (66 units, 260 tons)
- WE 2.1.2 – HVAC: McNair Main Building 2nd Floor (51 units, 229 tons)
- WE 2.1.3 – HVAC: Additional McNair Gym Units Not in Base Bid (10 units, 61 tons)
- WE 2.1.4 – HVAC: Additional McNair Performing Arts Units Not in Base Bid (8 units, 37 tons)
- WE 2.1.5 – HVAC: McNair Cafeteria/MPR Building (8 units, 65 tons)
- WE 2.1.6 – HVAC: McNair Culinary Arts Building (3 units, 18.25 tons)
- WE 2.1.7 – HVAC: Mosher Elementary 1st Floor (22 units, 102.5 tons)
- WE 2.1.8 – HVAC: Mosher Elementary 2nd Floor (21 units, 72 tons)
- WE 2.1.9 – HVAC: Manlio Silva Elementary (43 units, 170 tons)
- WE 2.1.10 – HVAC: Podesta Ranch Elementary (42 units, 211 tons)

(END OF APPENDIX A)

EXHIBIT A-1

See attached scope of work detail tables.

APPENDIX B

LODI UNIFIED SCHOOL DISTRICT STATEMENT OF QUALIFICATIONS TEMPLATE DESIGN-BUILD ENTITIES

This standard prequalification template should be completed by design-build entities or design-build teams seeking to prequalify for a Lodi Unified School District ("District") design-build project in accordance with Education Code section 17250.10 et seq.

As used herein:

- "Design/Builder" or "DBE" refers to both design-build entities and design-build teams.
- "Member" refers to individuals or entities identified as members of the design-build team, including the general contractor and, if utilized in the design of the project, all electrical, mechanical, and plumbing contractors.
- "Associates" refers to all current officers, owners, and/or partners of Design/Builder and of any Member.

Wherever additional space is needed to answer a question fully and accurately, attach additional copies of the template pages and/or additional signed sheets as needed.

1. BUSINESS INFORMATION

a. Contact Information

1. Design/Builder Name: _____
2. Primary contact person: _____
3. Principal office address: _____
4. Phone: _____
5. Fax: _____
6. Email: _____

b. Form of Organization

1. If the Design/Builder or any Member is a **corporation**:
 - a. Date incorporated: _____
 - b. Under laws of what state: _____

- c. If a privately held corporation, list all shareholders who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the articles of incorporation.

2. If the Design/Builder or any Member is a **limited liability company**:

- a. Date formed: _____
- b. Under laws of what state: _____
- c. List all LLC members who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the articles of organization.

3. If the Design/Builder or any Member is a **partnership**:

- a. Date formed: _____
- b. Under laws of what state: _____

- c. List all partners who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the partnership agreement.

4. If the Design/Builder or any Member is a **joint venture**:

a. Date formed: _____

b. Under laws of what state: _____

- c. List all joint venture members who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the joint venture agreement.

5. If the Design/Builder or any Member is a **sole proprietorship**:

a. Date formed: _____

b. Under laws of what state: _____

c. List owner: _____

- d. Attach a copy of organizational documents, if any.

c. **Financial Capacity**

1. Attach an audited financial statement with accompanying notes and supplemental information for the past 2 full fiscal years for Design/Builder and each entity Member (not individual Members). A letter verifying availability of a line of credit may also be attached; however, it will be considered supplemental information only, and is not a substitute for the required financial statement.
2. Is Design/Builder or any Member currently, or has Design/Builder or any Member within the last 5 years been, the debtor in a bankruptcy case?

___ Yes ___ No

If "yes," please attach a copy of the bankruptcy petition and a copy of the bankruptcy court's discharge or any other document that ended the case, if any.

2. **LICENSING AND REGISTRATION**

d. **General Contractor**

1. Name of license holder exactly as on file with the Contractors State License Board ("CSLB"): _____
2. License classification(s): _____
3. License #: _____
4. Issue Date: _____
5. Expiration Date: _____
6. Public Works Contractor Registration # on file with the Department of Industrial Relations ("DIR"): _____
7. Has any CSLB license held by the general contractor or its qualifying individual been suspended or revoked within the last 5 years?

___ Yes ___ No

If "yes," explain on a separate signed sheet.

8. Has the general contractor changed names or license numbers within the past 5 years?

___ Yes ___ No

If "yes," explain on a separate signed sheet.

e. **Architect of Record**

The architect of record is the architect whose stamp will appear on project documents.

1. Name of license holder exactly as on file with the California Architects Board ("CAB"):

2. License #: _____

3. Issue Date: _____

4. Expiration Date: _____

5. Has any CAB license held by the architect of record been suspended or revoked within the last 5 years?

___ Yes ___ No

If "yes," explain on a separate signed sheet.

6. Has the architect of record changed names or license numbers within the past 5 years?

___ Yes ___ No

If "yes," explain on a separate signed sheet.

f. **Engineer(s)**

Engineering services will be dictated by the nature of the project. The Design/Builder should respond for all "in house" engineers that will provide services on the project. If relevant, use additional signed sheets to respond for multiple engineering disciplines.

1. Name of license holder exactly as on file with the Board of Professional Engineers, Land Surveyors, and Geologists ("BPELSG"): _____

2. License Type: _____

3. Licenses #: _____

4. Issue Date: _____

5. Has any BPELSG license held by the engineer been suspended or revoked within the last 5 years?

___ Yes ___ No

If "yes," explain on a separate signed sheet.

6. Has the engineer changed names or license numbers within the past 5 years?

___ Yes ___ No

If "yes," explain on a separate signed sheet.

g. **Mechanical, Electrical, or Plumbing Contractor(s)**

If utilized in the design of the project, respond for all Member mechanical, electrical, or plumbing ("MEP") contractors. If relevant, use additional signed sheets to respond for multiple MEP contractors.

1. Name of license holder exactly as on file with the Contractors State License Board ("CSLB"): _____
2. License classification(s): _____
3. License #: _____
4. Issue Date: _____
5. Expiration Date: _____
6. Public Works Contractor Registration # on file with the Department of Industrial Relations ("DIR"): _____
7. Has any CSLB license held by the MEP contractor or its qualifying individual been suspended or revoked within the last 5 years?

___ Yes ___ No

If "yes," explain on a separate signed sheet.

8. Has the general contractor changed names or license numbers within the past 5 years?

___ Yes ___ No

If "yes," explain on a separate signed sheet.

3. **PERFORMANCE HISTORY**

1. Has Design/Builder or any Member or Associate ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity?

___ Yes ___ No

If "yes," explain on a separate signed sheet, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date, and the basis for the finding.

2. Has Design/Builder or any Member or Associate ever been convicted of a crime involving any federal, state, or local law related to construction or any crime involving fraud, theft, or any other act of dishonesty?

___ Yes ___ No

If "yes," explain on a separate signed sheet, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

3. At any time in the last 5 years, has Design/Builder or any Member been assessed liquidated damages under a construction contract?

___ Yes ___ No

If "yes," explain on a separate signed sheet, including the project, owner, owner's address, date of completion, and amount of liquidated damages.

4. At any time in the last 5 years, has Design/Builder or any Member or Associate been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any public works project?

___ Yes ___ No

If "yes," explain on a separate signed sheet, including the project, the year of the event, owner, owner's address, and basis for the action.

5. At any time in the last 5 years, has a public agency found that Design/Builder or any Member was not a responsible bidder?

___ Yes ___ No

If "yes," explain on a separate signed sheet, including the project, the year of the event, owner, owner's address, and basis for the finding.

6. In the past 5 years, has any claim exceeding \$50,000 been filed by or against Design/Builder or any Member in court or arbitration concerning work or payment on a construction project?

___ Yes ___ No

I. If "yes," explain on a separate signed sheet, including the project name, court or arbitration case name and number, and a brief description of the status of the claim.

7. In the past 5 years, has there been more than one occasion in which Design/Builder or any Design/Builder member was required to pay either back wages or penalties for failure to comply with California prevailing wage laws or federal Davis-Bacon prevailing wage requirements?

___ Yes ___ No

II. If "yes," explain on a separate signed sheet, describing the nature of the violation(s), project, owner, and amount paid, if any.

8. At any time during the past 5 years, has Design/Builder or any Member been found to have violated any provision of California apprenticeship laws or regulations, or laws pertaining to use of apprentices on public works projects?

III. _____ Yes _____ No

IV. If "yes," explain on a separate signed sheet, including date(s) of such findings and attaching the Division of Apprenticeship Standards' final decision(s).

4. **BONDS AND INSURANCE**

h. **Bonds**

1. Attach a notarized statement from an admitted surety insurer (approved by the California Department of Insurance and authorized to issue bonds in the State of California), which states the current bonding capacity of the Design/Builder (both single job limit and aggregate limit). Note: Design/Builder must have capacity to provide 100% payment bond and 100% performance bond, each issued by an admitted surety insurer, without bonding subcontractors.

2. Provide the name, address, and telephone number of the surety agent: _____

3. List all sureties that have written bonds to the Design/Builder or any Member during the last 5 years:

Name	Address	Date of Bond

4. In the last 5 years, has any surety paid on behalf of the Design/Builder or any Member a result of a default to satisfy any claims made against a payment or performance bond?

_____ Yes _____ No

If "yes," explain on a separate signed sheet, including the amount of each claim, name and telephone number of claimant, date of and grounds for the claim, and present status.

5. If Design/Builder or any Member was required to pay a premium of more than 1 percent for a performance and payment bond on any project in the last 5 years, state the percentage: _____

Explain on a separate signed sheet why Design/Builder or Member was required to pay the premium of more than 1 percent.

6. In the last 5 years, has Design/Builder or any Member been denied bond coverage by a surety company or had no surety bond in place when one was required?

___ Yes ___ No

If "yes," explain on a separate signed sheet, including the name of the surety company and/or period during which Design/Builder or Member had no bond in place.

i. **Insurance**

5. Does Design/Builder have commercial general liability insurance with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate for a California admitted company?

___ Yes ___ No

If "no," provide on a separate signed sheet what policy limits are available to Design/Builder.

6. Does Design/Builder have current workers' compensation insurance as required by the California Labor Code or is Design/Builder legally self-insured pursuant the California Labor Code?

7. Does Design/Builder have professional liability (errors and omissions) insurance with a policy limit of at least \$2,000,000 aggregate from a California admitted company?

___ Yes ___ No

If "no," provide on a separate signed sheet what policy limits are available to Design/Builder.

8. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew an insurance policy for Design/Builder or any Member?

___ Yes ___ No

If "yes," explain on a separate signed sheet, including the name of the insurance carrier, form of insurance, and year of the refusal.

9. **SAFETY**

1. Attach a description, not to exceed 1-page, of Design/Builder's worker safety program as applicable to this project.

2. Within the past 5 years, has the California or federal Occupation Safety and Health Administration ("OSHA") cited and assessed penalties against Design/Builder or any Member, for "serious," "willful" or "repeat" violations of its safety or health regulations?

V. ___ Yes ___ No

•If "yes," explain on a separate signed sheet, identifying the citation(s), nature of the violation(s), project, and amount of penalty paid, if any.

3. Within the past 5 years, has the Environmental Protection Agency ("EPA") or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against Design/Builder or any Member or the owner of the project on which Design/Builder/Member was the contractor?

• ___ Yes ___ No

•If "yes," explain on a separate signed sheet, identifying the citation(s), nature of the violation(s), project, and amount of penalty paid, if any.

4. State the Workers' Compensation Experience Modification Rate ("EMR") for Design/Builder and each Member for the past 3 premium years:

•Year	•EMR
•	•
•	•
•	•

•
•If EMR was 1.00 or higher in any of 3 years, attach a letter of explanation.

5. State the total recordable injury or illness rate and the lost work rate for Design/Builder and each Member for the past 3 years:

•Year	•Incident Rate	•Lost Work Rate
•	•	•
•	•	•
•	•	•

- 6. Within the past 5 years, has there ever been a period when Design/Builder or any Member had employees but was without workers' compensation insurance or state-approved self-insurance?

• ___ Yes ___ No

•If "yes," explain on separate signed sheet, including the date(s) and reason(s) for the absence of workers' compensation insurance.

10. **PROJECT EXPERIENCE AND REFERENCES**

- How many design-build projects have the general contractor and architect of record involved in this Design/Builder completed together? _____
- For the completed design-build projects identified in the preceding answer, state:

- a. Total dollar value of all contracts: _____
 - b. Dollar value of single largest contract: _____
3. How many California K-12 public school construction projects (both under construction and completed) has/have:
- a. The general contractor for the Design/Builder built in the past 5 years?
 - _____
 - b. The architect of record for the Design/Builder designed in the past 5 years?
 - _____
 - c. The engineer(s) for the Design/Builder designed in the past 5 years?
 - _____
 - d. The MEP contractor(s) for the Design/Builder worked on in the past 5 years?
 - _____
4. Complete **both** project reference forms attached hereto as **Exhibits A-1 and A-2**. District may, in its discretion, contact project references.
5. Attach resumes or similar documents, not to exceed 1 page each, showing the experience, training, and qualifications for up to 6 proposed key personnel of the Design/Builder.
11. **SKILLED AND TRAINED WORKFORCE COMPLIANCE**
2. By this submittal, Design/Builder hereby acknowledges, agrees, and hereby provides an enforceable commitment to District that Design/Builder and its subcontractors at every tier will use a skilled and trained workforce to perform all work on the project or contract that falls within an apprenticeable occupation in the building and construction trades, in accordance with Public Contract Code section 2600 et seq.

[CERTIFICATION ON NEXT PAGE]

12. **CERTIFICATION**

Design/Builder and all Members must sign. Copy this certification page as needed for each Member.

I certify and declare that I have read all the foregoing answers to this prequalification template; that all answers are correct and complete of my own knowledge and belief. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: _____, 2026

Name of Design/Builder or Member: _____

Signature by authorized individual: _____

Print Name: _____

Title: _____

EXHIBIT B-1

Design-Build Project References

List the three most recent design-build projects, each with a contract price over \$4 million, completed by the general contractor for the Design/Builder. Contact information must be current and viable. (Copy additional sheets.)

1. Project Name: _____

2. Project Address: _____

3. Owner (name and tel. #): _____

4. Architect (name and tel. #): _____

5. Construction Manager (name and tel. #): _____

6. Scope of Work: _____

7. Original Completion Date: _____

8. Actual Complete Date: _____

9. Time Extensions Granted (# of days): _____

10. Initial Contract Value: _____

11. Final Contract Value: _____

Date: _____, 20__

Signature by authorized individual: _____

Print Name: _____

Title: _____

EXHIBIT B-2

CA K-12 Public School Project References

List the three most recent California K-12 public school construction projects, each with a contract price over \$4 million, completed by the general contractor for the Design/Builder. Contact information should be current and viable. (Copy additional sheets.)

1. Project Name: _____

2. Project Address: _____

3. Owner (name and tel. #): _____

4. Architect (name and tel. #): _____

5. Construction Manager (name and tel. #): _____

6. Scope of Work: _____

7. Was this a design-build project? _____

8. Original Completion Date: _____

9. Actual Complete Date: _____

10. Time Extensions Granted (# of days): _____

11. Initial Contract Value: _____

12. Final Contract Value: _____

Date: _____, 20__

Signature by authorized individual: _____

Print Name: _____

Title: _____