

WEST CONTRA COSTA UNIFIED SCHOOL DISTRICT CBOC MONTHLY FINANCIAL REPORT

YEAR TO DATE FEBRUARY 28, 2015

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PREPARED BY MARK BONNETT

WEST CONTRA COSTA UNIFIED SCHOOL DISTRICT
FINANCIAL STATUS REPORT
BOND FUND
FEBRUARY 28, 2015
FUND 21

	REVISED BUDGET	FEBRUARY ACTUALS	YTD ACTUALS	TOTAL BALANCE
Interest Income	\$ 204,145	\$ -	118,667	\$ 85,478
TOTAL REVENUES	\$ 204,145	\$0	\$118,667	\$85,478
Certificated Salaries	\$ -	\$ -	\$ -	\$ -
Classified Salaries	1,850,407	143,047	1,129,821	\$ 720,586
Employee Benefits	747,266	63,584	477,749	\$ 269,517
Books and Supplies	5,426,208	18,001	1,173,091	\$ 4,253,117
Services, Other Operating Exps.	16,520,396	608,791	4,948,262	\$ 11,572,135
Capital Outlay	93,377,248	4,392,849	27,104,528	\$ 66,272,720
Other Outgo	-	-	-	\$ -
Indirect/Direct Support Costs	-	-	-	\$ -
TOTAL EXPENDITURES	\$ 117,921,525	\$5,226,273	\$34,833,451	\$83,088,074
EXCESS (DEFICIENCY) OF REVENUES				
OVER EXPENDITURES	(\$117,717,380)	(\$5,226,273)	(\$34,714,785)	(\$83,002,595)
OTHER FINANCING SOURCES/USES				
Transfer In (8910-8929)	\$ 70,469	\$0	\$70,469	\$ 70,469
Transfer Out (7610-7629)	\$ 70,469	\$0	\$70,469	\$ 70,469
Bond Proceeds (8930-8979)	\$ 135,000,000	\$0	\$0	\$ 135,000,000
Contributions (8980-8999)		\$0	\$0	\$ -
NET INCREASE (DECREASE) IN FUND BALANCE	\$17,282,620	(\$5,226,273)	(\$34,714,785)	\$51,997,405
FUND BALANCE, RESERVES				
Beginning Balance July1	\$ 59,528,287	\$0	\$59,528,287	
Audit Adjustment		\$0	\$0	
Ending Balance, June 30	\$ 76,810,907		\$24,813,503	
COMPONENTS OF ENDING BALANCE				
Reserved Amounts:				
Assigned		\$0	\$0	
Restricted Ending Balance	76,810,907	\$0	\$0	
TOTAL	\$ 76,810,907	\$0	\$0	

WEST CONTRA COSTA UNIFIED SCHOOL DISTRICT
FINANCIAL STATUS REPORT
CAPITAL FACILITIES FUND
FEBRUARY 28, 2015
FUND 25

	REVISED BUDGET	FEBRUARY ACTUALS	YTD ACTUALS	TOTAL BALANCE
Interest Income	\$ 4,000		\$ 5,518.77	\$ (1,518.77)
Developer Fee	900,000	100,287	849,024	\$ 50,976
TOTAL REVENUES	\$ 904,000	\$ 100,287	\$ 854,542	\$ 49,458
Certificated Salaries	\$ -	\$ -	\$ -	\$ -
Classified Salaries	-	-	-	\$ -
Employee Benefits	-	-	-	\$ -
Books and Supplies	-	-	-	\$ -
Services, Other Operating Exps.	834,475	-	19,526	\$ 814,949
Capital Outlay	216,525	-	39,106	\$ 177,419
Other Outgo	-	-	-	\$ -
Indirect/Direct Support Costs	-	-	-	\$ -
TOTAL EXPENDITURES	\$ 1,051,000	\$0	\$58,632	\$992,368
EXCESS (DEFICIENCY) OF REVENUES				
OVER EXPENDITURES	(\$147,000)	\$100,287	\$795,911	(\$942,911)
OTHER FINANCING SOURCES/USES				
Transfer In (8910-8929)	\$ -	\$0	\$0	\$ -
Transfer Out (7610-7629)	\$ -	\$0	\$0	\$ -
Contributions (8980-8999)		\$0	\$0	\$ -
NET INCREASE (DECREASE) IN FUND BALANCE	(\$147,000)	\$100,287	\$795,911	\$0
FUND BALANCE, RESERVES				
Beginning Balance July 1	\$ 3,442,339	\$0	\$ 3,442,339	
Audit Adjustment		\$0	\$0	
Ending Balance, June 30	\$ 3,295,339		\$4,238,250	
COMPONENTS OF ENDING BALANCE				
Reserved Amounts:				
Assigned		\$0	\$0	
Restricted Ending Balance	3,295,339	\$0	\$0	
TOTAL	\$ 3,295,339	\$0	\$0	

WEST CONTRA COSTA UNIFIED SCHOOL DISTRICT
FINANCIAL STATUS REPORT
COUNTY SCHOOL FACILITY FUND
FEBRUARY 28, 2015
FUND 35

	REVISED BUDGET	FEBRUARY ACTUALS	YTD ACTUALS	TOTAL BALANCE
State Income	\$ 692,436		\$ 692,436.00	\$ -
Interest Income	60,500	-	57,075	\$ 3,425
TOTAL REVENUES	\$ 752,936	\$ -	\$ 749,511	\$ 3,425
Certificated Salaries	\$ -	\$ -	\$ -	\$ -
Classified Salaries	-	-	-	\$ -
Employee Benefits	-	-	-	\$ -
Books and Supplies	-	-	-	\$ -
Services, Other Operating Exps.	61	-	99	\$ (38)
Capital Outlay	45,060,600	-	45,060,597	\$ 3
Other Outgo	-	-	-	\$ -
Indirect/Direct Support Costs	-	-	-	\$ -
TOTAL EXPENDITURES	\$ 45,060,661	\$0	\$45,060,696	(\$35)
EXCESS (DEFICIENCY) OF REVENUES				
OVER EXPENDITURES	(\$44,307,725)	\$0	(\$44,311,185)	\$3,460
OTHER FINANCING SOURCES/USES				
Transfer In (8910-8929)	\$ -	\$0	\$0	\$ -
Transfer Out (7610-7629)	\$ -	\$0	\$0	\$ -
Contributions (8980-8999)		\$0	\$0	\$ -
NET INCREASE (DECREASE) IN FUND BALANCE	(\$44,307,725)	\$0	(\$44,311,185)	\$3,460
FUND BALANCE, RESERVES				
Beginning Balance July 1	\$ 44,368,161	\$0	\$ 44,368,161	
Audit Adjustment		\$0	\$0	
Ending Balance, June 30	\$ 60,436		\$56,976	
COMPONENTS OF ENDING BALANCE				
Reserved Amounts:				
Assigned		\$0	\$0	
Restricted Ending Balance	60,436	\$0	\$0	
TOTAL	\$ 60,436	\$0	\$0	



Fund 21 Cash Flow

Fiscal 2015

Object	July	August	September	October	November	December
A. BEGINNING CASH	75,083,676.43	58,697,636.38	46,318,815.26	36,022,417.77	22,917,545.21	55,035,485.08
B. RECEIPTS						
Other Local Revenue 8600-8799		7,132.00		63,135.16	24,500.00	
All Other Financing Sources 8930-8999				70,468.92		
TOTAL RECEIPTS		7,132.00		133,604.08	24,500.00	
C. DISBURSEMENTS						
Classified Salaries 2000-2999	119,838.31	126,413.59	147,442.27	134,476.49	131,685.02	142,451.17
Employee Benefits 3000-3999	55,128.99	55,537.94	58,146.86	58,167.15	59,238.32	60,431.13
Books & Supplies 4000-4999	4,176.62	2,797.35	317,099.32	742,986.29	21,762.38	31,445.24
Services 5000-5999	48,462.00	846,547.93	(444,193.93)	1,412,316.60	680,347.23	907,439.12
Capital Outlay 6000-6999	608,048.13	10,703,464.45	10,405,148.08	16,483,292.36	(38,652,345.15)	15,845,389.16
Interfund Transfers Out 7600-7629				70,468.92		
TOTAL DISBURSEMENTS	835,654.05	11,734,761.26	10,483,642.60	18,901,707.81	(37,759,312.20)	16,987,155.82
D. BALANCE SHEET TRANSACTIONS						
<u>Assets</u>						
Cash Not in Treasury 9111-9199	(560,690.02)	(774,029.41)	(527,231.70)	(721,149.94)	(934,709.14)	(590,539.12)
Accounts Receivable 9200-9299	5,467.49					
Subtotal Assets	(555,222.53)	(774,029.41)	(527,231.70)	(721,149.94)	(934,709.14)	(590,539.12)
<u>Liabilities</u>						
Accounts Payable 9500-9599	14,995,163.47	(122,837.55)	(714,476.81)	(6,384,381.11)	4,731,163.19	(4,838,233.58)
Subtotal Liabilities	14,995,163.47	(122,837.55)	(714,476.81)	(6,384,381.11)	4,731,163.19	(4,838,233.58)
TOTAL BALANCE SHEET TRANSACTIONS	(15,550,386.00)	(651,191.86)	187,245.11	5,663,231.17	(5,665,872.33)	4,247,694.46
E. NET INCREASE/DECREASE	(16,386,040.05)	(12,378,821.12)	(10,296,397.49)	(13,104,872.56)	32,117,939.87	(12,739,461.36)
F. ENDING CASH	58,697,636.38	46,318,815.26	36,022,417.77	22,917,545.21	55,035,485.08	42,296,023.72



Fund 21 Cash Flow

Fiscal 2015

Object	January	February	March	April	May	June
A. BEGINNING CASH	42,296,023.72	31,726,007.39	24,266,271.81	17,725,602.76	17,725,602.76	17,725,602.76
B. RECEIPTS						
Other Local Revenue 8600-8799	23,899.44		0.00	0.00	0.00	0.00
All Other Financing Sources 8930-8999						
TOTAL RECEIPTS	23,899.44		0.00	0.00	0.00	0.00
C. DISBURSEMENTS						
Classified Salaries 2000-2999	184,467.36	143,047.26	0.00	0.00	0.00	0.00
Employee Benefits 3000-3999	67,514.66	63,583.77	0.00	0.00	0.00	0.00
Books & Supplies 4000-4999	34,822.82	18,001.16	12,946.53	0.00	0.00	0.00
Services 5000-5999	888,551.11	608,791.44	75,880.17	0.00	0.00	0.00
Capital Outlay 6000-6999	7,318,682.46	4,392,848.95	6,842,132.67	0.00	0.00	0.00
Interfund Transfers Out 7600-7629						
TOTAL DISBURSEMENTS	8,494,038.41	5,226,272.58	6,930,959.37	0.00	0.00	0.00
D. BALANCE SHEET TRANSACTIONS						
Assets						
Cash Not in Treasury 9111-9199	829,429.04	(127,229.81)	771,249.40	0.00	0.00	0.00
Accounts Receivable 9200-9299						
Subtotal Assets	829,429.04	(127,229.81)	771,249.40	0.00	0.00	0.00
Liabilities						
Accounts Payable 9500-9599	2,929,306.40	2,106,233.19	380,959.08	0.00	0.00	0.00
Subtotal Liabilities	2,929,306.40	2,106,233.19	380,959.08	0.00	0.00	0.00
TOTAL BALANCE SHEET TRANSACTIONS	(2,099,877.36)	(2,233,463.00)	390,290.32	0.00	0.00	0.00
E. NET INCREASE/DECREASE	(10,570,016.33)	(7,459,735.58)	(6,540,669.05)	0.00	0.00	0.00
F. ENDING CASH	31,726,007.39	24,266,271.81	17,725,602.76	17,725,602.76	17,725,602.76	17,725,602.76



Accounts Payable Check List

January 2015

Fund 21

Vendor Name	Check No.	Check Date	Invoice Number	Invoice Date	Short Account	Amount	Invoice Description
3QC	118719	01/06/15	57100	11/30/14	21-9748-5890-214	2,465.00	KOREMATSU CONSTRUCTION PHASE S
ABC INSPECTIONS INC	118720	01/06/15	117	11/20/14	21-9748-6214-358	16,467.00	GOMPERS/LPS - DSA INSPECTION S
JESSE ANAYA	118729	01/06/15	9283	11/14/14	21-9748-5890-362	12,700.00	PVH-PORTABLES-BOND
ARNITZ BUILDERS	118731	01/06/15	4 TRUSTR	01/02/15	21-9748-9135-000	122,629.12	Contract 1000000406 retainage 12/22/2014 to
BAY CITIES PAVING AND GRADING INC	118735	01/06/15	BASIN.11 TRUSTR	01/02/15	21-9748-9135-000	33,296.86	Contract 1000000570 retainage 12/22/2014 to
BEST CONTRACTING SERVICES INC	118739	01/06/15	127.5	11/30/14	21-9748-6211-127	137,700.00	HARDING EXTERIOR/ROOF REPAIRS
BHM CONSTRUCTION, INC	118740	01/06/15	PHASE4.3	11/30/14	21-9748-6211-146	201,611.76	OHLENE ES PLAYGROUND AND PARKI
BHM CONSTRUCTION, INC	118741	01/06/15	SCIENCE.14	11/30/14	21-9748-6211-360	30,633.30	KENNEDY HS SCIENCE BUILDING RE
CDW GOVERNMENT INC	118752	01/06/15	BUILDING10.2 TRUSTR	01/02/15	21-9748-9135-000	75,303.67	Contract 1000001512 retainage 12/22/2014 to
CDW GOVERNMENT INC	118752	01/06/15	NT1301506	12/27/14	21-9748-6460-137	34,200.00	MADERA WIRELESS PROJECT
CDW GOVERNMENT INC	118752	01/06/15	HV91131	12/31/14	21-9748-6460-137	24,058.00	MADERA WIRELESS PROJECT
D & D SECURITY ENTERPRISES	118761	01/06/15	GD7587	07/01/14	21-9748-6460-137	10,204.70	MADERA WIRELESS PROJECT
D & D SECURITY ENTERPRISES	118761	01/06/15	BB1729	11/12/14	21-9748-4360-214	824.24	BOND KOREMATSU PROJECT 2141004
ESSEL TECHNOLOGY SERVICES INC	118770	01/06/15	6089	11/12/14	21-9748-4460-214	2,206.51	BOND KOREMATSU PROJECT 2141004
JUV, INC	118797	01/06/15	INTERIM.12 TRUSTR	12/02/14	21-9748-5860-160	22,600.00	VALLEY VIEW INTERIM - ENVIRONM
LATHROP CONSTRUCTION ASSOCIATES INC	118802	01/06/15	112.11	01/05/15	21-9748-9135-000	41,830.23	Contract 1000001299 retainage 12/22/2014 to
LATHROP CONSTRUCTION ASSOCIATES INC	118803	01/06/15	GOMPLPS.27 TRUSTR	12/01/14	21-9748-6211-112	1,053,823.68	CORONADO - CAMPUS REPLACEMENT
NIXON PEABODY LLP	118813	01/06/15	9624176	01/02/15	21-9748-9135-000	147,026.53	Contract 1000000634 retainage 12/22/2014 to
OMTRAK	118815	01/06/15	W19041	12/17/14	21-9748-5895-670	5,978.00	SPECIALIZED GENERAL LEGAL SERV
ROBERT A BOTHMAN INC	118826	01/06/15	SPORTS.17	11/28/14	21-9748-5860-214	348.00	PORTOLA DESIGN CONTRACT
ROEBELEN CONTRACTING INC	118827	01/06/15	144.003	12/12/14	21-9748-6211-210	28,337.50	HELMS CONSTRUCTION CONTRACT
ROEBELEN CONTRACTING INC	118828	01/06/15	FIELDS.06 TRUSTR	12/17/14	21-9748-6211-144	1,199,384.74	NYSTROM MODERNIZATION-CLASSROO
W.A. THOMAS CO INC	118849	01/06/15	140.09	01/02/15	21-9748-9135-000	35,768.40	Contract 1000000481 retainage 12/22/2014 to
WEST COUNTY TIMES	118854	01/06/15	0000830158	11/30/14	21-9748-6211-140	190,352.83	MONTALVIN NEW CLSRM BLDG - MAI
WILCO SUPPLY	118856	01/06/15	14L0805501	11/30/14	21-9748-6207-615	682.08	BID ADVERTISEMENTS
WRIGHT CONTRACTING INC	118858	01/06/15	STADIUM.08	12/08/14	21-9748-4300-362	552.44	PNOL
A3GEO	118860	01/13/15	1107-1C.2	11/30/14	21-9748-6211-354	777,072.60	EL CERRITO STADIUM - GC
A3GEO	118860	01/13/15	1107-4B.1	12/08/14	21-9748-6190-123	10,830.00	FAIRMONT - GEOTECHNICAL ENGINE
AA OFFICE EQUIPMENT CO INC	118862	01/13/15	AR61420	12/11/14	21-9748-6190-122	4,845.00	HIGHLAND - GEOTECHNICAL INVEST
ARCHITECTS MA	118868	01/13/15	MA08.104.001B-10	12/11/14	21-9748-6400-669	13,294.73	BLANKET PURCHASE ORDER
ARNITZ BUILDERS	118869	01/13/15	KOREMATSU.17	12/04/14	21-9748-6201-360	15,855.00	KENNEDY DESIGN CONTRACT
ARTHULIA INC	118870	01/13/15	142.2	11/30/14	21-9748-6211-214	875,382.34	KOREMATSU MODERNIZATION/CONSTR
BHM CONSTRUCTION, INC	118883	01/13/15	SCIENCE.14 TRUSTR	11/26/14	21-9748-6211-142	44,730.00	MURPHY SKYLIGHT - MAIN CONTRAC
COALITION FOR ADEQUATE SCHOOL HOUSING	118900	01/13/15	17923	01/07/15	21-9748-9135-000	3,403.70	Contract 1000000518 retainage 01/05/2015 to
COALITION FOR ADEQUATE SCHOOL HOUSING	118900	01/13/15	17744/17746/17747	12/23/14	21-9748-5220-677	880.00	C.A.S.H. CONFERENCE REGISTRATI
MANFRED COHEN	118901	01/13/15	WCCUSD-127	01/06/15	21-9748-5220-669	2,640.00	C.A.S.H. CONFERENCE REGISTRATI
CONSOLIDATED ENGINEERING LABORATORIES	118905	01/13/15	115748	10/28/14	21-9748-6207-364	3,850.00	RICHMOND 500 WING - COST ESTIM
CONSOLIDATED ENGINEERING LABORATORIES	118905	01/13/15	115721	12/10/14	21-9748-5890-212	7,073.06	PINOLE MS FIELDS - TESTING & I
CONSOLIDATED ENGINEERING LABORATORIES	118905	01/13/15	115717	12/10/14	21-9748-5890-214	3,139.50	KOREMATSU CONSTRUCTION PHASE S
CONSOLIDATED ENGINEERING LABORATORIES	118905	01/13/15	116115	12/10/14	21-9748-5890-358	6,812.16	GOMPERS CONSTRUCTION PHASE SER
CONSOLIDATED ENGINEERING LABORATORIES	118905	01/13/15	116087	12/22/14	21-9748-5890-212	3,354.75	PINOLE MS FIELDS - TESTING & I
CORNERSTONE STRUCTURAL ENGINEERING GROUP	118907	01/13/15	12161	12/22/14	21-9748-5890-358	1,316.20	GOMPERS CONSTRUCTION PHASE SER
				11/30/14	21-9748-5860-132	850.00	KING ES - STRUCTURAL ENGINEERI



Accounts Payable Check List

January 2015

Fund 21

Vendor Name	Check No.	Check Date	Invoice Number	Invoice Date	Short Account	Amount	Invoice Description
CORNERSTONE STRUCTURAL ENGINEERING GROUP	118907	01/13/15	12162	11/30/14	21-9748-5860-134	2,125.00	LAKE ES - STRUCTURAL ENGINEERING
DEEMS LEWIS MCKINLEY	118914	01/13/15	0107430	11/30/14	21-9748-6201-352	1,930.00	DE ANZA DESIGN CONTRACT
DEEMS LEWIS MCKINLEY	118914	01/13/15	0107429	11/30/14	21-9748-6201-352	200.00	DE ANZA DESIGN CONTRACT
DEEMS LEWIS MCKINLEY	118914	01/13/15	0107428	11/30/14	21-9748-6201-352	6,643.11	DE ANZA DESIGN CONTRACT
DEEMS LEWIS MCKINLEY	118914	01/13/15	0107427	11/30/14	21-9748-6201-352	11,370.21	DE ANZA DESIGN CONTRACT
DEEMS LEWIS MCKINLEY	118914	01/13/15	0107453	11/30/14	21-9748-6201-352	1,500.00	DE ANZA DESIGN CONTRACT
DEEMS LEWIS MCKINLEY	118914	01/13/15	0107426	11/30/14	21-9748-6201-352	1,125.28	DE ANZA DESIGN CONTRACT
DEEMS LEWIS MCKINLEY	118914	01/13/15	0107434	11/30/14	21-9748-6201-160	16,825.00	VALLEY VIEW CAMPUS REPLACEMENT
DEEMS LEWIS MCKINLEY	118914	01/13/15	0107432	11/30/14	21-9748-6201-160	1,950.00	VALLEY VIEW CAMPUS REPLACEMENT
DEEMS LEWIS MCKINLEY	118914	01/13/15	0107433	11/30/14	21-9748-6201-145	30,000.00	OLINDA ES MASTER PLANNING
DIVISION OF STATE ARCHITECT	118923	01/13/15	018292	12/16/14	21-9748-6205-126	123.13	REVIEW-DSA CHECK REQUEST INVOI
EMPLOYERS ADVOCATE INC	118933	01/13/15	11212	12/17/14	21-9748-5890-615	1,245.00	PROGRAM-WIDE PROJECT LABOR AGR
ENOVITY INC	118934	01/13/15	0308.010.53	11/30/14	21-9748-5890-352	810.00	DE ANZA CONSTRUCTION PHASE SER
ENOVITY INC	118934	01/13/15	0112.008.11	11/30/14	21-9748-5890-352	774.00	DE ANZA CONSTRUCTION PHASE SER
ENVIRONMENTAL TECHNICAL SERVICES INC	118936	01/13/15	WCCUSD-1301-B	09/22/14	21-9748-5860-212	54,580.00	PINOLE PLAYFIELD - ENVIRONMENT
EXCELLENT GUARD SERVICE INC	118937	01/13/15	92	12/19/14	21-9748-5890-362	2,829.00	PINOLE VALLEY INTERIM - ON-SIT
EXCELLENT GUARD SERVICE INC	118937	01/13/15	93	12/19/14	21-9748-5890-362	2,829.00	PINOLE VALLEY INTERIM - ON-SIT
FEHR AND PEERS	118941	01/13/15	97139	11/20/14	21-9748-5860-362	795.00	PINOLE VALLEY DESIGN CONTRACT
FEHR AND PEERS	118941	01/13/15	97229	12/03/14	21-9748-5860-134	1,041.96	LAKE CAMPUS REPL - TRAFFIC ENG
FUGRO CONSULTANTS INC	118946	01/13/15	04.72140046-4	12/01/14	21-9748-6190-360	1,695.00	KENNEDY/RICHMOND SWIM CTR - GE
GHILOTTI CONSTRUCTION CO, INC	118949	01/13/15	HILLSIDE.01	11/30/14	21-9748-6211-362	62,550.00	CONTRACT 1000001474 - PINOLE VALLEY HS HILLSIDE
HMC ARCHITECTS	118962	01/13/15	119496	12/01/14	21-9748-6201-358	18,597.60	GOMPERS/LPS - DESIGN SERVICES
INTERACTIVE RESOURCES	118968	01/13/15	141124	12/05/14	21-9748-6201-144	27,600.00	NYSTROM MODERNIZATION - DESIGN
INTERACTIVE RESOURCES	118968	01/13/15	141125	12/02/14	21-9748-6201-144	1,150.00	NYSTROM MODERNIZATION - DESIGN
JACK SCHREDER AND ASSOCIATES, INC	118971	01/13/15	27373	12/12/14	21-9748-5860-615	3,770.00	FACILITY MASTER PLAN/DEMOGRAPH
JACK SCHREDER AND ASSOCIATES, INC	118971	01/13/15	27378	12/15/14	21-9748-5860-615	18,350.00	FACILITY NEEDS ASSESSMENT
JACK SCHREDER AND ASSOCIATES, INC	118971	01/13/15	27374	12/12/14	21-9748-5860-615	290.00	PROGRAM - CONSULTING FOR PUBLI
KLEINFELDER INC	118978	01/13/15	001035196	11/10/14	21-9748-6190-362	2,222.50	PINOLE VALLEY OFF-SITE PARKING
KLEINFELDER INC	118978	01/13/15	001035180	11/10/14	21-9748-6190-146	1,808.75	OH LONE INTERIM - GEOTECH OBS &
KLEINFELDER INC	118978	01/13/15	001035176	11/10/14	21-9748-6190-146	2,431.90	OH LONE ES - GEOTECH OBSERVATIO
KLEINFELDER INC	118978	01/13/15	001035313	11/10/14	21-9748-6190-160	1,022.50	VALLEY VIEW INTERIM - GEOTECHN
LATHROP CONSTRUCTION ASSOCIATES INC	118981	01/13/15	112.11 TRUSTR	01/06/15	21-9748-9135-000	117,091.52	Contract 1000000977 retainage 01/05/2015 to
MOBILE MODULAR MANAGEMENT CORP	118998	01/13/15	533439-CC	07/02/14	21-9748-6400-214	22,067.01	PORTABLE CLASSROOMS FOR DOWNER
MULTIVISTA NORTHERN CALIFORNIA	118999	01/13/15	2651	12/01/14	21-9748-6207-358	1,350.00	GOMPERS DESIGN CONTRACT
OMNICA GROUP LLC	119007	01/13/15	Q115001	01/06/15	21-9748-5895-670	19,950.00	IRS AUDIT SUPPORT
OMTRAK	119008	01/13/15	W18979	11/24/14	21-9748-5890-146	132.00	OH LONE CONSTRUCTION PHASE SERV
OMTRAK	119008	01/13/15	W19296	12/03/14	21-9748-5860-354	323.00	EL CERRITO STADIUM - DIGITAL T
ORBACH HUFF AND SUAREZ LLP	119009	01/13/15	69710	01/06/15	21-9748-5895-670	30,164.91	CONTINUING CONTRACT
POWELL AND PARTNERS	119020	01/13/15	201001.02-30	11/24/14	21-9748-6201-157	113,709.50	STEGE DESIGN CONTRACT
ROBERT A BOTHMAN INC	119026	01/13/15	SPORTS.17 TRUSTR	01/06/15	21-9748-9135-000	3,148.61	Contract 1000000215 retainage 01/05/2015 to
ROEBELEN CONTRACTING INC	119027	01/13/15	144.003 TRUSTR	01/07/15	21-9748-9135-000	133,264.97	Contract 1000001452 retainage 01/05/2015 to
SIGNET TESTING LABORATORIES INC	119033	01/13/15	1776	11/21/14	21-9748-5890-360	16,393.47	KENNEDY SWIM CENTER - TESTING
SIGNET TESTING LABORATORIES INC	119033	01/13/15	1885	12/19/14	21-9748-5890-360	1,226.77	KENNEDY SWIM CENTER - TESTING



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SIGNET TESTING LABORATORIES INC	119033	01/13/15	1762	11/07/14	21-9748-5890-360	24,481.18	KENNEDY SWIM CENTER - TESTING
SUBTRONIC CORPORATION	119042	01/13/15	17631	07/01/14	21-9748-5860-360	6,650.00	KENNEDY SCIENCE BLDG MOD - SEW
THE CONSULTING GROUP	119047	01/13/15	708	08/14/14	21-9748-5860-362	1,045.00	PVHS INTERIM HOUSING - SECURIT
THE SEVILLE GROUP INC	119048	01/13/15	WC-1001-1214050	01/05/15	21-9748-6202-615	62,368.00	CENTRAL FACILITIES PROGRAM/CON
THE SEVILLE GROUP INC	119048	01/13/15	WC-1001-1214047	01/05/15	21-9748-6202-615	79,986.00	CENTRAL FACILITIES PROGRAM/CON
THE SEVILLE GROUP INC	119048	01/13/15	WC-DM-1214031	01/05/15	21-9748-6203-615	48,552.00	CENTRAL FACILITIES BOND PROGRA
THE SEVILLE GROUP INC	119048	01/13/15	WC-3004-1214021	01/05/15	21-9748-6217-358	45,008.00	GOMPERS/LPS - CONSTRUCTION MGM
THE SEVILLE GROUP INC	119048	01/13/15	WC-3034-1214019	01/05/15	21-9748-6217-354	33,003.00	EL CERRITO STADIUM PROJ-CONSTR
THE SEVILLE GROUP INC	119048	01/13/15	WC-3039-1214008	01/05/15	21-9748-6217-360	20,170.00	KENNEDY RICHMOND SWIM CTR- CON
THE SEVILLE GROUP INC	119048	01/13/15	WC-3033-1214015	01/05/15	21-9748-6217-360	6,723.00	KENNEDY DESIGN CONTRACT
THE SEVILLE GROUP INC	119048	01/13/15	WC-3035-1214015	01/05/15	21-9748-6217-140	25,671.00	MONTALVIN NEW CLASSROOM BLDG -
THE SEVILLE GROUP INC	119048	01/13/15	WC-3031-1214020	01/05/15	21-9748-6217-146	30,002.00	OHLENE NEW SCHOOL - CONSTRUCTI
THE SEVILLE GROUP INC	119048	01/13/15	WC-3009-1214017	01/05/15	21-9748-6217-214	30,462.00	KOREMATSU NEW SCHOOL - CONSTRU
THE SEVILLE GROUP INC	119048	01/13/15	WC-1001-1214049	01/05/15	21-9748-6202-615	96,573.00	CENTRAL FACILITIES PROGRAM/CON
THE SEVILLE GROUP INC	119048	01/13/15	WC-1001-1214048	01/05/15	21-9748-6202-615	71,827.00	CENTRAL FACILITIES PROGRAM/CON
THE SEVILLE GROUP INC	119048	01/13/15	WC-3015-1214025	01/05/15	21-9748-6217-112	43,226.00	CORONADO CONSTRUCTION MANAGEM
THE SEVILLE GROUP INC	119048	01/13/15	WC-3046-1214002	01/05/15	21-9748-6217-144	28,241.00	NYSTROM MODERNIZATION - CONSTR
THE SEVILLE GROUP INC	119048	01/13/15	WC-3041-1214007	01/05/15	21-9748-6217-115	3,667.00	DOVER PARKING AND SITE IMPR -
THE SEVILLE GROUP INC	119048	01/13/15	WC-3044-1214003	01/05/15	21-9748-6217-352	27,903.00	DE ANZA BLDG 10 AND FIELDS - C
THE SEVILLE GROUP INC	119048	01/13/15	WC-3043-1214001	01/05/15	21-9748-6217-362	3,200.00	PINOLE VALLEY HILLSIDE - CONST
THE SEVILLE GROUP INC	119048	01/13/15	WC-3037-1214008	01/05/15	21-9748-6217-116	5,195.00	DONWER PLAYFIELD - CONSTRUCTIO
TRINET CONSTRUCTION INC	119051	01/13/15	INTERIM.06PR	01/07/15	21-9745-9570-000	69,300.04	Contract 1000001375 retainage 07/29/2014 to
W.A. THOMAS CO INC	119058	01/13/15	140.09 TRUSTR	01/07/15	21-9748-9135-000	21,150.32	Contract 1000000965 retainage 01/05/2015 to
WHM INC	119061	01/13/15	9	12/08/14	21-9748-5860-669	300.00	FOC - ELECTRICAL CAPACITY/IT R
WHM INC	119061	01/13/15	RICHMOND.4	12/08/14	21-9748-5860-364	18,000.00	RICHMOND FIRE ALARM REPL - ELE
WLC ARCHITECTS INC	119064	01/13/15	0111080036	11/24/14	21-9748-6201-354	16,819.45	EL CERRITO HS - DESIGN SERVICE
WLC ARCHITECTS INC	119064	01/13/15	0132090004	11/24/14	21-9748-6201-354	4,640.46	EL CERRITO HS - DESIGN SERVICE
WRIGHT CONTRACTING INC	119065	01/13/15	STADIUM.08 TRUSTR	01/06/15	21-9748-9135-000	86,341.40	Contract 1000000480 retainage 01/05/2015 to
ABC INSPECTIONS INC	119071	01/21/15	118	12/20/14	21-9748-6214-358	16,467.00	GOMPERS/LPS - DSA INSPECTION S
MAGDY ABDALLA	119072	01/21/15	12/01/14-12/11/14	01/08/15	21-9748-5210-669	152.35	MILEAGE REIMB. DEC 14
ALAN KROPP AND ASSOCIATES INC	119076	01/21/15	24504	12/23/14	21-9748-6190-354	3,264.00	EL CERRITO STADIUM - GEOTECH O
AMERICAN REPROGRAPHICS CO. LLC	119083	01/21/15	993816	11/25/14	21-9748-5610-615	94.06	FOC OPERATIONS - RENTAL OF PLO
ARNITZ BUILDERS	119085	01/21/15	KOREMATSU.17 TRUSTR	01/16/15	21-9748-9135-000	97,264.71	Contract 1000000357 retainage 01/13/2015 to
BAY AREA INSTALLATIONS INC	119095	01/21/15	P1990	11/10/14	21-9748-5890-615	4,040.63	FOC - SUPPLY & INSTALL OF NEW C
BKJM MOVING SERVICES	119103	01/21/15	N0140018-01	09/19/14	21-9748-5890-362	28,400.34	PINOLE VALLEY INTERIM - MOVING
BKJM MOVING SERVICES	119103	01/21/15	N0139457-1	09/19/14	21-9748-5890-362	25,158.50	PINOLE VALLEY INTERIM - MOVING
BXPRESS REPROGRAPHICS	119105	01/21/15	BE00098385	01/06/15	21-9748-6207-615	5,312.30	CENTRAL FACILITIES PROGRAM DOC
CORNERSTONE STRUCTURAL ENGINEERING GROUP	119121	01/21/15	12163	11/30/14	21-9748-5860-354	850.00	EL CERRITO - STRUCTURAL ENGINE
CROWN WORLDWIDE MOVING AND STORAGE	119126	01/21/15	42985	11/17/14	21-9748-5890-146	7,249.44	OHLENE - PHASE 1 MOVING SERVIC
D & D SECURITY ENTERPRISES	119128	01/21/15	BB1871	10/07/14	21-9748-4360-146	100.00	OHLENE - NEW COMPUTER STORAGE/S
D & D SECURITY ENTERPRISES	119128	01/21/15	BB1668	11/10/14	21-9748-4360-360	1,420.60	BOND JFK SCIENCE WING
D & D SECURITY ENTERPRISES	119128	01/21/15	BB1668	11/10/14	21-9748-4460-360	6,196.65	BOND JFK SCIENCE WING
ENGEO INCORPORATED	119145	01/21/15	209896	12/19/14	21-9748-6190-140	542.50	MONTALVIN NEW CLSRM BLDG - GEO



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FISHMAN SUPPLY CO	119150	01/21/15	974303	12/11/14	21-9748-4300-362	2,971.89	PINOLE VH-CHEVRON MATS
KRIS GILBERT	119162	01/21/15	70	11/30/14	21-9748-6214-112	8,233.00	DSA INSPECTION SERVICES
KRIS GILBERT	119162	01/21/15	70	11/30/14	21-9748-6214-354	8,233.00	DSA INSPECTION SERVICES
KRIS GILBERT	119162	01/21/15	71	12/31/14	21-9748-6214-112	8,233.00	DSA INSPECTION SERVICES
KRIS GILBERT	119162	01/21/15	71	12/31/14	21-9748-6214-354	8,233.00	DSA INSPECTION SERVICES
HIBSER YAMAUCHI ARCHITECTS INC	119176	01/21/15	HY 3906.000-8	11/30/14	21-9748-6201-146	12,280.19	OHLONE NEW CAMPUS - FF&E DESIG
LATHROP CONSTRUCTION ASSOCIATES INC	119197	01/21/15	GOMPLPS.28	01/01/15	21-9748-6211-358	1,184,245.25	GOMPERS/LPS CONSTRUCTION CONTR
MAN WAH CHENG	119207	01/21/15	7	12/24/14	21-9748-6214-144	14,733.00	NYSTROM MODERNIZATION - INSPEC
MARINA WESTSHORE PARTNERS LLC	119209	01/21/15	02-2015	01/06/15	21-9748-5620-669	25,958.40	FOC-MARINA BAY BUSINESS PARK L
MLE CAPITAL MANAGEMENT INC	119222	01/21/15	0903-159	12/20/14	21-9748-6214-146	8,233.00	DSA INSPECTION SERVICES
MLE CAPITAL MANAGEMENT INC	119222	01/21/15	0903-159	12/20/14	21-9748-6214-352	8,233.00	DSA INSPECTION SERVICES
MULTIVISTA NORTHERN CALIFORNIA	119223	01/21/15	2718	01/01/15	21-9748-5890-214	1,530.00	PORTOLA CONSTRUCTION PHASE SER
ORBACH HUFF AND SUAREZ LLP	119234	01/21/15	69900	01/09/15	21-9748-5895-670	24,353.70	CONTINUING CONTRACT
PRODUCTION TECHNICAL SERVICES INC	119249	01/21/15	177	12/31/14	21-9748-6214-112	4,102.25	DSA INSPECTION SERVICES FOR MU
PRODUCTION TECHNICAL SERVICES INC	119249	01/21/15	177	12/31/14	21-9748-6214-144	4,102.25	DSA INSPECTION SERVICES FOR MU
PRODUCTION TECHNICAL SERVICES INC	119249	01/21/15	177	12/31/14	21-9748-6214-214	4,102.25	DSA INSPECTION SERVICES FOR MU
PRODUCTION TECHNICAL SERVICES INC	119249	01/21/15	177	12/31/14	21-9748-6214-352	4,102.25	DSA INSPECTION SERVICES FOR MU
PRODUCTION TECHNICAL SERVICES INC	119249	01/21/15	177	12/31/14	21-9748-6214-354	4,102.25	DSA INSPECTION SERVICES FOR MU
PRODUCTION TECHNICAL SERVICES INC	119249	01/21/15	177	12/31/14	21-9748-6214-362	4,102.25	DSA INSPECTION SERVICES FOR MU
RGA ENVIRONMENTAL INCORPORATED	119256	01/21/15	T598776	12/23/14	21-9748-5860-144	18,981.00	NYSTROM MODERNIZATION - ENV EN
SANDIS HUMBER JONES	119265	01/21/15	1411032	12/23/14	21-9748-5860-144	24,000.00	NYSTROM MODERNIZATION - ENV EN
SERVE SMART	119271	01/21/15	7945	12/12/14	21-9748-5890-362	6,950.00	OHLONE EAST CAMPUS - SURVEYING
SOUTHWEST SCHOOL & OFFICE SUPPLY	119280	01/21/15	391881	12/01/14	21-9748-5890-362	12,542.44	PINOLE VALLEY HS-ALL WEATHER A
SOUTHWEST SCHOOL & OFFICE SUPPLY	119280	01/21/15	393959	11/24/14	21-9748-4300-669	20.34	FOC - BLANKET PURCHASE ORDER
SOUTHWEST SCHOOL & OFFICE SUPPLY	119280	01/21/15	394733	12/01/14	21-9748-4300-669	275.42	FOC - BLANKET PURCHASE ORDER
SOUTHWEST SCHOOL & OFFICE SUPPLY	119280	01/21/15	396539	12/01/14	21-9748-4300-677	10.79	FOC - BLANKET PURCHASE ORDER
SOUTHWEST SCHOOL & OFFICE SUPPLY	119280	01/21/15	398370	12/03/14	21-9748-4300-677	61.19	BLANKET PURCHASE ORDER
STATE BOARD OF EQUALIZATION	119286	01/21/15	4TH QTR 2014 USE TAX	12/09/14	21-9748-4300-677	14.25	BLANKET PURCHASE ORDER
STATE BOARD OF EQUALIZATION	119286	01/21/15	4TH QTR 2014 USE TAX	01/20/15	21-9745-9502-000	819.72	Use Tax 10/01/14 to 12/31/14
THE SEVILLE GROUP INC	119295	01/21/15	WC-DM-1214032	01/20/15	21-9748-9502-000	1,479.83	Use Tax 10/01/14 to 12/31/14
THE SEVILLE GROUP INC	119295	01/21/15	WC-3036-1214008	01/13/15	21-9748-6202-615	20,947.00	CENTRAL FACILITIES BOND PROGRA
THE SEVILLE GROUP INC	119295	01/21/15	WC-2500K-121400	01/09/15	21-9748-6217-362	20,641.00	PINOLE VALLEY INTERIM - CONSTR
THE SEVILLE GROUP INC	119295	01/21/15	WC-2500H-121400	01/12/15	21-9748-6207-362	10,558.40	PINOLE VALLEY CAMPUS REP - CON
VAVRINEK TRINE DAY & CO LLP	119306	01/21/15	0110308-IN	01/12/15	21-9748-6207-160	10,920.00	VALLEY VIEW CAMPUS REP - CONST
ANDREW J WASHINGTON	119310	01/21/15	133	11/30/14	21-9748-5830-669	15,000.00	PERFORMANCE AUDIT CONSULTANT S
WILLIAMSON INSPECTION SERVICES CO	119317	01/21/15	56	12/23/14	21-9748-6214-212	16,466.50	KOREMATSU CAMPUS REP - DSA INS
WILLIAMSON INSPECTION SERVICES CO	119317	01/21/15	56	12/31/14	21-9748-6214-360	7,800.00	DSA INSPECTION SERVICES
AMERICAN REPROGRAPHICS CO. LLC	119329	01/27/15	994786	12/23/14	21-9748-5610-615	7,800.00	DSA INSPECTION SERVICES
ARNITZ BUILDERS	119332	01/27/15	5	12/23/14	21-9748-6211-360	94.06	FOC OPERATIONS - RENTAL OF PLO
ARTHULIA INC	119333	01/27/15	5	11/30/14	21-9748-6211-360	603,070.62	KENNEDY RICHMOND SWIM CENTER -
BEL AIRE ENGINEERING INC	119350	01/27/15	31-DEC	01/05/15	21-9748-6211-210	10,395.00	HELMS RECYCLING CENTER - MAIN
BUNTON CLIFFORD ASSOCIATES	119361	01/27/15	40510	12/23/14	21-9748-5890-362	12,500.00	PINOLE VALLEY HS: CANOPY FOR C
				12/31/14	21-9748-6201-116	410.00	DOWNER DESIGN CONTRACT



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Vendor Name	Check No.	Check Date	Invoice Number	Invoice Date	Short Account	Amount	Invoice Description
CONSOLIDATED ENGINEERING LABORATORIES	119392	01/27/15	110550	07/03/14	21-9748-5890-362	3,762.68	PINOLE VALLEY INTERIM - TESTIN
CONSOLIDATED ENGINEERING LABORATORIES	119392	01/27/15	112428	09/03/14	21-9748-5890-362	157.50	PINOLE VALLEY - TESTING & INSP
CONSOLIDATED ENGINEERING LABORATORIES	119392	01/27/15	112424	09/03/14	21-9748-5890-360	157.50	KENNEDY SCIENCE BLDG MOD - TES
CONSOLIDATED ENGINEERING LABORATORIES	119392	01/27/15	113087	09/19/14	21-9748-5890-214	10,532.55	KOREMATSU CONSTRUCTION PHASE S
EDUARDO DONOSO	119412	01/27/15	12/02/14-12/19/14	01/23/15	21-9748-5210-669	101.97	MILEAGE REIMB. DEC 14
ENOVITY INC	119422	01/27/15	0111.023.22	11/30/14	21-9748-5890-358	543.37	GOMPERS CONSTRUCTION PHASE SER
EXCELLENT GUARD SERVICE INC	119424	01/27/15	100	01/01/15	21-9748-5890-362	3,235.50	PINOLE VALLEY INTERIM - ON-SIT
EXCELLENT GUARD SERVICE INC	119424	01/27/15	99	01/01/15	21-9748-5890-362	3,084.00	PINOLE VALLEY INTERIM - ON-SIT
FEHR AND PEERS	119429	01/27/15	97747	12/18/14	21-9748-5860-154	3,193.75	SHANNON CAMPUS REP - TRANSPORT
FIRST STUDENT INC	119431	01/27/15	306-H-000745	07/01/14	21-9748-5890-112	11,555.64	CORONADO - SHUTTLE SERVICES
IRMA CHRISTINA GALLEA	119442	01/27/15	09/18/14-01/08/15	01/22/15	21-9748-5210-669	26.93	MILEAGE REIMB.
GROSSMANN DESIGN GROUP	119462	01/27/15	01.2015.2056	01/02/15	21-9748-5860-358	2,610.00	GOMPERS DESIGN CONTRACT
GROSSMANN DESIGN GROUP	119462	01/27/15	01.2015.2059	01/02/15	21-9748-5860-362	11,435.00	PINOLE VALLEY DESIGN CONTRACT
GROSSMANN DESIGN GROUP	119462	01/27/15	12.2014.2054	01/02/15	21-9748-5860-127	4,107.50	HARDING ROOF REPLACEMENT - WAT
GROSSMANN DESIGN GROUP	119462	01/27/15	12.2014.2051	01/02/15	21-9748-5860-127	8,160.00	HARDING BLDGS A & A1 - WATERPR
GROSSMANN DESIGN GROUP	119462	01/27/15	01.2015.2058	01/02/15	21-9748-5860-123	6,750.00	STEGE CAMPUS REPLACEMENT - WA
GROSSMANN DESIGN GROUP	119462	01/27/15	01.2015.2057	01/02/15	21-9748-5860-112	8,750.00	FAIRMONT CAMPUS REP - EXT ENV
GROSSMANN DESIGN GROUP	119462	01/27/15	12.2014.2053	01/02/15	21-9748-5860-142	9,642.50	CORONADO WATERPROOFING DESIGN
GROSSMANN DESIGN GROUP	119476	01/27/15	HY 3553.020-2	12/11/14	21-9748-6201-376	3,645.00	MURPHY SKYLIGHT REPL - CONSTRU
HIBSER YAMAUCHI ARCHITECTS INC	119476	01/27/15	HY 3375.010-24	12/19/14	21-9748-6201-123	26,670.00	HERCULES SCI AND CULINARY BLD
HIBSER YAMAUCHI ARCHITECTS INC	119476	01/27/15	14-150	01/01/15	21-9748-5860-615	31,576.00	FAIRMONT - DESIGN SERVICES
JEFFREY E FRATES	119483	01/27/15	001039579	12/09/14	21-9748-6190-146	15,960.00	CENTRAL FACILITIES PROGRAM COO
KLEINFELDER INC	119496	01/27/15	001040302	12/16/14	21-9748-6190-214	839.50	OHLONE ES - GEOTECH OBSERVATIO
KLEINFELDER INC	119496	01/27/15	001040302	12/16/14	21-9748-6190-214	5,224.00	KOREMATSU CAMPUS REPLACEMENT -
KLEINFELDER INC	119496	01/27/15	001041237	12/20/14	21-9748-5890-144	945.40	NYSTROM MODERNIZATION - GEOTEC
MCKIM CO	119525	01/27/15	200768	12/15/14	21-9748-5890-214	18,932.02	KOREMATSU INTERIM HOUSING CAMP
MULTIVISTA NORTHERN CALIFORNIA	119543	01/27/15	2719	01/01/15	21-9748-6207-112	1,400.00	CORONADO CAMPUS REPLACEMENT - A
MULTIVISTA NORTHERN CALIFORNIA	119543	01/27/15	2713	01/01/15	21-9748-5890-144	1,181.00	NYSTROM MODERNIZATION - PHOTOG
MULTIVISTA NORTHERN CALIFORNIA	119543	01/27/15	2716	01/01/15	21-9748-6207-358	1,350.00	GOMPERS DESIGN CONTRACT
NINYO AND MOORE GEOTECHNICAL AND	119552	01/27/15	184595	11/26/14	21-9748-6190-358	4,461.50	GOMPERS/LPS - GEOTECH OBSERVAT
OPTONY INC	119556	01/27/15	144408	09/30/14	21-9748-5890-358	962.50	GOMPERS/LPS - COMMISSIONING SE
OPTONY INC	119556	01/27/15	144608	10/31/14	21-9748-5890-358	2,100.00	GOMPERS/LPS - COMMISSIONING SE
POWELL AND PARTNERS	119566	01/27/15	200403_05-25	01/01/15	21-9748-6201-212	4,850.00	PINOLE MS - DESIGN SERVICES
POWELL AND PARTNERS	119566	01/27/15	200606.02-39	01/01/15	21-9748-6201-360	2,150.00	KENNEDY DESIGN CONTRACT
POWELL AND PARTNERS	119566	01/27/15	200703.00-88	01/01/15	21-9748-6201-146	9,505.50	OHLONE - DESIGN SERVICES
POWELL AND PARTNERS	119566	01/27/15	200703.02-10	01/01/15	21-9748-6201-146	550.00	OHLONE - DESIGN SERVICES
POWELL AND PARTNERS	119566	01/27/15	200703.03-10	01/01/15	21-9748-6201-146	1,585.00	OHLONE - DESIGN SERVICES
POWELL AND PARTNERS	119566	01/27/15	201001.02-31	01/01/15	21-9748-6201-157	80,125.00	STEGE DESIGN CONTRACT
POWELL AND PARTNERS	119579	01/27/15	T588190	11/24/14	21-9748-5860-144	17,360.00	NYSTROM MODERNIZATION - ENV EN
RGA ENVIRONMENTAL INCORPORATED	119579	01/27/15	T584509	11/10/14	21-9748-5860-144	32,662.00	NYSTROM MODERNIZATION - ENV EN
ROEBBELN CONTRACTING INC	119584	01/27/15	FIELDS.07	12/31/14	21-9748-6211-212	272,349.00	PINOLE SOCCER & FOOTBALL FIELD
THE SEVILLE GROUP INC	119633	01/27/15	GCR-1500-1214039	01/09/15	21-9748-6202-615	27,546.42	CENTRAL FACILITIES PROGRAM COO
W.A. THOMAS CO INC	119642	01/27/15	140.10	12/31/14	21-9748-6211-140	398,191.06	MONTALVIN NEW CLSRM BLDG - MAI



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Vendor Name	Check No.	Check Date	Invoice Number	Invoice Date	Short Account	Amount	Invoice Description
W.A. THOMAS CO INC	119643	01/27/15	140.05 TRUSTR	01/22/15	21-9745-9135-000	24,136.18	Contract 1000000965 retainage 09/15/2014 to
WJ ROBINSON & ASSOCIATES INC	119651	01/27/15	42096A-05	01/02/15	21-9748-6217-142	3,715.80	MURPHY SKYLIGHT - CONSTRUCTION
WLC ARCHITECTS INC	119652	01/27/15	0091820147	11/24/14	21-9748-6201-112	28,000.00	CORONADO ES DESIGN SERVICES
WLC ARCHITECTS INC	119652	01/27/15	0121330016	12/19/14	21-9748-6207-615	17,080.50	CENTRAL FACILITIES PROGRAM COO
WLC ARCHITECTS INC	119652	01/27/15	0121330018	07/01/14	21-9748-6207-615	17,080.50	CENTRAL FACILITIES PROGRAM COO
WLC ARCHITECTS INC	119652	01/27/15	0121330020	07/01/14	21-9748-6207-615	8,540.25	CENTRAL FACILITIES PROGRAM COO
WLC ARCHITECTS INC	119652	01/27/15	0121330019	07/01/14	21-9748-6207-615	8,540.25	CENTRAL FACILITIES PROGRAM COO
Grand Total						10,351,199.52	



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Vendor Name	Check No.	Check Date	Invoice Number	Invoice Date	Short Account	Amount	Invoice Description
ALAN KROPP AND ASSOCIATES INC	119720	02/03/15	24506	12/23/14	21-9748-6190-212	370.00	PRE-DESIGN CONTRACT
ARCHITECTS MA	119725	02/03/15	MA08.104.001B-1	01/05/15	21-9748-6201-360	5,240.00	KENNEDY DESIGN CONTRACT
ARNITZ BUILDERS	119726	02/03/15	6	12/31/14	21-9748-6211-360	121,986.40	KENNEDY RICHMOND SWIM CENTER -
ARNITZ BUILDERS	119726	02/03/15	KOREMATSU.18	12/31/14	21-9748-6211-214	603,036.02	KOREMATSU MODERNIZATION/CONSTR
ARNITZ BUILDERS	119727	02/03/15	5 TRUSTR	01/27/15	21-9748-9135-000	67,007.85	Contract 1000000406 retainage 01/26/2015 to
LOKESH BALI	119732	02/03/15	110113-08	08/01/14	21-9748-5860-686	23,760.00	MEASURE E- PROGRAM WIDE TECH G
LOKESH BALI	119732	02/03/15	110113-09	09/02/14	21-9748-5860-686	22,950.00	MEASURE E- PROGRAM WIDE TECH G
BHM CONSTRUCTION, INC	119741	02/03/15	BUILDING10.3	12/31/14	21-9748-6211-352	213,894.54	DE ANZA HS: BUILDING 10 LINKED
BHM CONSTRUCTION, INC	119741	02/03/15	PHASE4.4	12/31/14	21-9748-6211-146	123,052.21	OHLENE ES PLAYGROUND AND PARKI
CALIFORNIA DEPARTMENT OF ED	119749	02/03/15	C-054024	12/31/14	21-9748-6205-160	24,500.00	VALLEY VIEW ES- REVIEW OF PLANS
CDW GOVERNMENT INC	119760	02/03/15	QD500070	10/16/14	21-9748-4360-669	210.40	FOC:PRINTER FOR LISA LEBLANC-A
CDW GOVERNMENT INC	119761	02/03/15	QP64846A	11/06/14	21-9748-6460-686	106,702.16	WIRELESS- INFRASTRUCTURE & HEA
MANFRED COHEN	119768	02/03/15	WCCUSD-M18	01/07/15	21-9748-6207-206	3,000.00	CRESPI SEISMIC EVAL - COST EST
CONSOLIDATED ENGINEERING LABORATORIES	119773	02/03/15	115752	12/10/14	21-9748-5890-210	147.00	HELMS ENV & RECYCLING CTR - TE
CONSOLIDATED ENGINEERING LABORATORIES	119773	02/03/15	114805	11/07/14	21-9748-5890-210	6,102.86	HELMS ENV & RECYCLING CTR - TE
CONSOLIDATED ENGINEERING LABORATORIES	119773	02/03/15	114478	10/29/14	21-9748-5890-210	3,314.85	HELMS ENV & RECYCLING CTR - TE
CONSOLIDATED ENGINEERING LABORATORIES	119773	02/03/15	113988	10/15/14	21-9748-5890-210	333.91	HELMS ENV & RECYCLING CTR - TE
CONSOLIDATED ENGINEERING LABORATORIES	119773	02/03/15	115377	11/26/14	21-9748-5890-210	3,854.55	HELMS ENV & RECYCLING CTR - TE
D & D SECURITY ENTERPRISES	119778	02/03/15	881973	12/15/14	21-9748-4360-362	19,173.54	PINOLE VALLEY 36231377-02
DAVILLIER SLOAN	119781	02/03/15	WCCUSD2014-12	12/31/14	21-9748-5890-615	30,340.01	CENTRAL FACILITIES CONSTRUCTION
ENVIRONMENTAL TECHNICAL SERVICES INC	119795	02/03/15	WCCUSD-1401-A	01/12/15	21-9748-5860-146	23,400.00	OHLENE EAST CAMPUS - ENV SITE
ERA CONSTRUCTION INC	119796	02/03/15	AM FOC 10.10.14	11/14/14	21-9748-5890-615	605.00	FOC: MOVE THE MAIN OFFICE TO T
EXCELLENT GUARD SERVICE INC	119800	02/03/15	79	11/14/14	21-9748-5890-362	1,258.95	PINOLE VALLEY INTERIM - ON-SIT
FISHMAN SUPPLY CO	119806	02/03/15	974303.1	01/06/15	21-9748-4300-362	1,273.67	PINOLE VH-CHEVRON MATS
LUIS FREESE	119811	02/03/15	12/02/14-12/30/14	01/29/15	21-9748-5210-669	68.60	MILEAGE REIMB. DEC 14
GROSSMANN DESIGN GROUP	119820	02/03/15	01.2015.2055	01/02/15	21-9748-5860-352	2,947.50	DE ANZA RAMSEY BLDG & FIELD -
HAMILTON AND AITKEN ARCHITECTS	119822	02/03/15	REIMB062613	07/01/14	21-9748-6201-162	478.35	VERDE RESTROOM MOD - DESIGN AN
HAMILTON AND AITKEN ARCHITECTS	119822	02/03/15	2013.030.03	07/01/14	21-9748-6201-162	2,229.75	VERDE RESTROOM MOD - DESIGN AN
HAMILTON AND AITKEN ARCHITECTS	119822	02/03/15	2013.030.04	07/01/14	21-9748-6201-162	2,477.50	VERDE RESTROOM MOD - DESIGN AN
HAMILTON AND AITKEN ARCHITECTS	119822	02/03/15	2013.030.05	07/01/14	21-9748-6201-162	1,238.75	VERDE RESTROOM MOD - DESIGN AN
HAMILTON AND AITKEN ARCHITECTS	119822	02/03/15	2013.030.01	07/01/14	21-9748-6201-162	13,874.00	VERDE RESTROOM MOD - DESIGN AN
HIBSER YAMAUCHI ARCHITECTS INC	119828	02/03/15	HY 3769.010-14	01/12/15	21-9748-6201-115	494.95	DOVER DESIGN CONTRACT
HIBSER YAMAUCHI ARCHITECTS INC	119828	02/03/15	4110.00-02	01/12/15	21-9748-6201-115	7,087.50	DOVER SYNTHETIC FIELD - DESIGN
HIBSER YAMAUCHI ARCHITECTS INC	119828	02/03/15	37691R7	01/12/15	21-9748-6201-115	14.25	DOVER DESIGN CONTRACT
HIBSER YAMAUCHI ARCHITECTS INC	119828	02/03/15	HY 3199.020-30	01/12/15	21-9748-6201-214	51,245.84	KOREMATSU - DESIGN CONTRACT
HIBSER YAMAUCHI ARCHITECTS INC	119828	02/03/15	HY 4095.000-4	01/12/15	21-9748-6207-358	26,082.00	GOMPERS/LPS - FF&E DESIGN AND
HIBSER YAMAUCHI ARCHITECTS INC	119828	02/03/15	HY 4094.000-3	01/12/15	21-9748-6207-112	7,820.00	CORONADO - FF&E DESIGN AND PLA
HIBSER YAMAUCHI ARCHITECTS INC	119828	02/03/15	HY 3703.000-11	01/12/15	21-9748-6207-352	1,710.00	DE ANZA CAMPUS REPLACEMENTN - F
HIBSER YAMAUCHI ARCHITECTS INC	119832	02/03/15	BMT-905	01/26/15	21-9748-5220-669	655.95	C.A.S.H. CONFERENCE LODGING
HYATT HOTELS	119837	02/03/15	27404	01/16/15	21-9748-5860-615	1,167.02	MITIGATION OF FUTURE DEVELOPME
JACK SCHREDER AND ASSOCIATES, INC	119837	02/03/15	27403	01/16/15	21-9748-5860-615	7,540.00	FACILITY MASTER PLAN/DEMOGRAPH
JACK SCHREDER AND ASSOCIATES, INC	119837	02/03/15	27405	01/16/15	21-9748-5860-615	3,407.50	PROGRAM - CONSULTING FOR PUBLI
KC PIERCE AND ASSOC	119841	02/03/15	JANUARY13.2015	01/13/15	21-9748-5860-105	4,573.00	CHAVEZ - BOUNDARY AND TOPO SUR
KISTER SAVIO AND REI INC	119848	02/03/15	6864	09/10/14	21-9748-6190-362	525.00	PINOLE VALLEY DESIGN CONTRACT



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Vendor Name	Check No.	Check Date	Invoice Number	Invoice Date	Short Account	Amount	Invoice Description
KLEINFELDER INC	119849	02/03/15	001040317	12/16/14	21-9748-6190-352	3,349.00	DE ANZA LINKED LEARNING - GEOT
KLEINFELDER INC	119849	02/03/15	001041238	12/20/14	21-9748-6190-115	4,276.25	DOVER FIELD - GEOTECHNICAL ENG
KLEINFELDER INC	119849	02/03/15	001040723	12/18/14	21-9748-6190-362	5,355.50	PINOLE VALLEY HILLSIDE - GEOTE
KLEINFELDER INC	119849	02/03/15	001035422	11/11/14	21-9748-6190-362	1,480.00	PINOLE VALLEY HILLSIDE - GEOTE
KLEINFELDER INC	119849	02/03/15	001035352	11/11/14	21-9748-6190-362	1,829.06	PINOLE VALLEY HILLSIDE - GEOTE
MARY LARSEN	119855	02/03/15	1.05.15WCCUSD	01/05/15	21-9748-5860-615	29,255.00	CENTRAL FACILITIES CONSULTANT
LATHROP CONSTRUCTION ASSOCIATES INC	119856	02/03/15	GOMPLPS.28 TRUSTR	01/23/15	21-9748-5860-615	131,582.81	Contract 1000000634 retainage 01/21/2015 to
ANDREW MIXER	119876	02/03/15	12/15/14-12/18/14	01/29/15	21-9748-5210-669	44.69	MILEAGE REIMB. DEC 14
MOBILE MODULAR MANAGEMENT CORP	119877	02/03/15	210022230.1	12/18/14	21-9748-6190-358	184,364.00	PORTABLE CLASSROOMS FOR DOWNER
NINYO AND MOORE GEOTECHNICAL AND	119880	02/03/15	185458	01/06/15	21-9748-6190-358	820.00	GOMPERS/LPS - GEOTECH OBSERVAT
OPTONY INC	119887	02/03/15	150213	12/31/14	21-9748-5890-358	5,428.00	GOMPERS/LPS - COMMISSIONING SE
PACIFIC MUNICIPAL CONSULTANTS	119894	02/03/15	42010	01/08/15	21-9748-5860-214	435.00	KOREMATSU CAMPUS REPLACEMENT -
QUATTROCCHI KWOK ARCHITECTS	119910	02/03/15	14068	07/07/14	21-9748-6201-122	27,940.90	HIGHLAND - SCHEMATIC DESIGN SE
QUATTROCCHI KWOK ARCHITECTS	119910	02/03/15	14656	01/09/15	21-9748-6201-122	52.25	HIGHLAND - SCHEMATIC DESIGN SE
REX MOORE GROUP INC	119912	02/03/15	434541	12/05/14	21-9748-5890-362	46,665.10	PINOLE VALLEY HS:IT COMPUTER L
ROEBELEN CONTRACTING INC	119917	02/03/15	FIELDS.07 TRUSTR	01/27/15	21-9748-9135-000	30,261.00	Contract 1000000481 retainage 01/26/2015 to
SANDIS HUMBER JONES	119924	02/03/15	1410177	11/14/14	21-9748-6190-146	3,240.00	OHLENE EAST CAMPUS - SURVEYING
SCHOOL FACILITY CONSULTANTS	119927	02/03/15	0007896	11/30/14	21-9748-5860-615	5,000.00	CENTRAL FACILITIES PROGRAM COO
STATE WATER RESOURCES CONTROL BOARD	119937	02/03/15	SW-0094566	01/08/15	21-9748-6207-354	606.00	EL CERRITO STADIUM- ANNUAL PER
STATE WATER RESOURCES CONTROL BOARD	119938	02/03/15	SW-0094573	01/08/15	21-9748-6207-112	652.00	CORONADO- ANNUAL PERMIT FEE
STATE WATER RESOURCES CONTROL BOARD	119939	02/03/15	SW-0094336	12/10/14	21-9748-6207-352	745.00	DE ANZA HS-SWRCB-INV#SW-0094333
STATE WATER RESOURCES CONTROL BOARD	119940	02/03/15	SW-0094356	12/10/14	21-9748-6207-146	885.00	OHLENE-SWRCB-INV#SW-0094356-CK
VACC INC	119957	02/03/15	01098-4	09/24/14	21-9748-5860-354	3,150.00	EL CERRITO STADIUM - NOISE MIT
VACC INC	119957	02/03/15	01098-6	11/07/14	21-9748-5860-354	1,575.00	EL CERRITO STADIUM - NOISE MIT
VACC INC	119957	02/03/15	01098-5	10/23/14	21-9748-5860-354	3,150.00	EL CERRITO STADIUM - NOISE MIT
VAVRINEK TRINE DAY & CO LLP	119958	02/03/15	0110842-IN	12/31/14	21-9748-5830-669	40,000.00	PERFORMANCE AUDIT CONSULTANT S
FERDINAND VERGEIRE	119959	02/03/15	12/01/14-12/08/14	01/27/15	21-9748-5210-669	89.32	MILEAGE REIMB. DEC 14
FERDINAND VERGEIRE	119959	02/03/15	12/08/14-12/15/14	01/27/15	21-9748-5210-669	82.82	MILEAGE REIMB. DEC 15
FERDINAND VERGEIRE	119959	02/03/15	12/16/14-12/23/14	01/27/15	21-9748-5210-669	62.83	MILEAGE REIMB. DEC 15
W.A. THOMAS CO INC	119961	02/03/15	140.10 TRUSTR	01/27/15	21-9748-9135-000	44,243.45	Contract 1000000965 retainage 01/26/2015 to
WEST COUNTY TIMES	119965	02/03/15	0000838571	12/31/14	21-9748-6207-615	454.00	BID ADVERTISEMENTS
WHM INC	119967	02/03/15	RICHMOND.5	01/12/15	21-9748-5860-364	3,600.00	RICHMOND FIRE ALARM REPL - ELE
WRIGHT CONTRACTING INC	119970	02/03/15	STADIUM.09	12/30/14	21-9748-6211-354	206,151.30	EL CERRITO STADIUM - GC
3QC	119971	02/10/15	57151	12/31/14	21-9748-5890-144	2,950.00	NYSTROM MOD - COMMISSIONING DU
3QC	119971	02/10/15	57148	12/31/14	21-9748-5890-157	8,940.00	STEGE CAMPUS REPLACEMENT - CHP
3QC	119971	02/10/15	57149	12/31/14	21-9748-5890-123	14,865.00	FAIRMONT CAMPUS REP - CHPS COM
ABC INSPECTIONS INC	119974	02/10/15	119	01/20/15	21-9748-6214-358	16,467.00	GOMPERS/LPS - DSA INSPECTION S
AEKO CONSULTING SOLUTIONS INTEGRATORS	119977	02/10/15	141007	10/30/14	21-9748-6460-146	3,100.00	PROJECT 14610045-04 OHLENE TEC
AEKO CONSULTING SOLUTIONS INTEGRATORS	119977	02/10/15	141009	10/30/14	21-9748-6460-146	31,652.31	PROJECT 14610045-04 OHLENE TEC
AEKO CONSULTING SOLUTIONS INTEGRATORS	119977	02/10/15	141008	10/30/14	21-9748-6460-146	600.00	PROJECT 14610045-04 OHLENE TEC
ARNITZ BUILDERS	119981	02/10/15	KOREMATSU.18 TRUSTR	02/09/15	21-9748-9135-000	67,004.00	Contract 1000000357 retainage 02/02/2015 to
ARNITZ BUILDERS	119982	02/10/15	6 TRUSTR	02/09/15	21-9748-9135-000	13,554.05	Contract 1000000406 retainage 02/02/2015 to
BAKER VILAR ARCHITECTS	119987	02/10/15	0101408	10/31/14	21-9748-6201-140	3,648.61	MONTALVIN DESIGN CONTRACT
BAKER VILAR ARCHITECTS	119987	02/10/15	0031401	07/01/14	21-9748-6201-140	9,229.51	MONTALVIN DESIGN CONTRACT



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Vendor Name	Check No.	Check Date	Invoice Number	Invoice Date	Short Account	Amount	Invoice Description
BAKER VILAR ARCHITECTS	119987	02/10/15	0011504	01/20/15	21-9748-6201-210	1,980.00	HELMS DESIGN CONTRACT
BAKER VILAR ARCHITECTS	119987	02/10/15	0101407	10/31/14	21-9748-6201-210	1,819.00	HELMS DESIGN CONTRACT
BAKER VILAR ARCHITECTS	119987	02/10/15	0081405	09/02/14	21-9748-6201-210	2,728.50	HELMS DESIGN CONTRACT
BAKER VILAR ARCHITECTS	119987	02/10/15	0101409	09/02/14	21-9748-6201-210	7,932.00	HELMS MS - DENTAL CLINIC FEASI
LOKESH BALI	119988	02/10/15	110113-13	01/16/15	21-9748-5860-686	27,135.00	MEASURE E- PROGRAM WIDE TECH G
LOKESH BALI	119988	02/10/15	110113-12	12/01/14	21-9748-5860-686	21,600.00	MEASURE E- PROGRAM WIDE TECH G
LOKESH BALI	119988	02/10/15	110113-11	11/06/14	21-9748-5860-686	24,840.00	MEASURE E- PROGRAM WIDE TECH G
LOKESH BALI	119988	02/10/15	110113-10	10/02/14	21-9748-5860-686	23,760.00	MEASURE E- PROGRAM WIDE TECH G
BHM CONSTRUCTION, INC	119995	02/10/15	BUILDING10.3 TRUSTR	02/09/15	21-9748-9135-000	23,766.06	Contract 1000001512 retainage 02/02/2015 to
BSK ASSOCIATES INC	119998	02/10/15	0072395	12/31/14	21-9748-6190-154	15,950.00	SHANNON CAMPUS REPLACEMENT - G
CDW GOVERNMENT INC	120003	02/10/15	RJ41998A	12/15/14	21-9748-6460-686	159,371.29	QUOTE FAS8040 2XDS4246-SSD-SAT
COLLABORATIVE FOR HIGH PERFORMANCE SCHOO	120007	02/10/15	012-15-009	01/19/15	21-9748-6207-165	2,900.00	WILSON ES- CHPS VERIFIED REVIE
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	114479	10/29/14	21-9748-5890-144	630.00	NYSTROM MODERNIZATION - TESTIN
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	116682	01/15/15	21-9748-5890-144	1,040.55	NYSTROM MODERNIZATION - TESTIN
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	116683	01/15/15	21-9748-5890-362	210.00	PVHS HILLSIDE - TESTING AND IN
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	116646	01/15/15	21-9748-5890-214	2,856.00	KOREMATSU CONSTRUCTION PHASE S
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	115781	12/10/14	21-9748-5890-144	1,066.80	NYSTROM MODERNIZATION - TESTIN
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	116121	12/22/14	21-9748-5890-144	1,268.65	NYSTROM MODERNIZATION - TESTIN
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	115796	12/11/14	21-9748-5890-352	1,050.00	DE ANZA JUDGE RAMSEY BLDG & FI
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	113473	10/01/14	21-9748-5890-212	2,173.50	PINOLE MS FIELDS - TESTING & I
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	116643	01/15/15	21-9748-5890-358	3,486.55	GOMPERS CONSTRUCTION PHASE SER
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	116676	01/15/15	21-9748-5890-212	5,332.96	PINOLE MS FIELDS - TESTING & I
CONSOLIDATED ENGINEERING LABORATORIES	120011	02/10/15	116694	01/15/15	21-9748-5890-352	420.00	DE ANZA JUDGE RAMSEY BLDG & FI
CROWE HORWATH	120016	02/10/15	745-1937798A	12/31/14	21-9748-5830-677	14,000.00	FINANCIAL AUDIT OF MEASURE D J & E
FRED PRYOR SEMINARS	120042	02/10/15	125161	01/08/15	21-9748-5220-677	199.00	FRED PRYOR TRAINING REWARDS
FUGRO CONSULTANTS INC	120043	02/10/15	04.72140046-5	01/02/15	21-9748-6190-360	765.00	KENNEDY/RICHMOND SWIM CTR - GE
KRIS GILBERT	120046	02/10/15	72	01/31/15	21-9748-6214-112	8,233.00	DSA INSPECTION SERVICES
KRIS GILBERT	120046	02/10/15	72	01/31/15	21-9748-6214-354	8,233.00	DSA INSPECTION SERVICES
KLEINFELDER INC	120072	02/10/15	001035191	11/10/14	21-9748-6190-144	672.50	NYSTROM PRE-DESIGN CONTRACT
MAGGIORA & GHILLOTTI INC.	120081	02/10/15	PARKING.06	12/23/14	21-9748-6211-362	12,268.80	PINOLE VALLEY - OFF-SITE PARKI
MAN WAH CHENG	120083	02/10/15	8	01/26/15	21-9748-6214-144	14,733.00	NYSTROM MODERNIZATION - INSPEC
MLE CAPITAL MANAGEMENT INC	120090	02/10/15	0903-160	01/20/15	21-9748-6214-146	8,233.00	DSA INSPECTION SERVICES
MLE CAPITAL MANAGEMENT INC	120090	02/10/15	0903-160	01/20/15	21-9748-6214-352	8,233.00	DSA INSPECTION SERVICES
OMTRAK	120097	02/10/15	W19543	12/24/14	21-9748-5890-146	132.00	OHLENE CONSTRUCTION PHASE SERV
PRODUCTION TECHNICAL SERVICES INC	120104	02/10/15	178	01/31/15	21-9748-6214-112	4,102.25	DSA INSPECTION SERVICES FOR MU
PRODUCTION TECHNICAL SERVICES INC	120104	02/10/15	178	01/31/15	21-9748-6214-144	4,102.25	DSA INSPECTION SERVICES FOR MU
PRODUCTION TECHNICAL SERVICES INC	120104	02/10/15	178	01/31/15	21-9748-6214-214	8,204.50	DSA INSPECTION SERVICES FOR MU
PRODUCTION TECHNICAL SERVICES INC	120104	02/10/15	178	01/31/15	21-9748-6214-352	4,102.25	DSA INSPECTION SERVICES FOR MU
PRODUCTION TECHNICAL SERVICES INC	120104	02/10/15	178	01/31/15	21-9748-6214-354	4,102.25	DSA INSPECTION SERVICES FOR MU
SECRETARY OF STATE	120119	02/10/15	C1832085	12/09/14	21-9748-6205-615	20.00	CHECK REQUEST - FILING FEE C1832085
THE SEVILLE GROUP INC	120144	02/10/15	WC-3004-0115022	02/02/15	21-9748-6217-358	46,408.00	GOMPERS/LPS - CONSTRUCTION MGM
THE SEVILLE GROUP INC	120144	02/10/15	WC-1001-0115053	02/03/15	21-9748-6202-615	91,894.00	CENTRAL FACILITIES PROGRAM/CON
THE SEVILLE GROUP INC	120144	02/10/15	WC-1001-011552	02/03/15	21-9748-6202-615	95,430.00	CENTRAL FACILITIES PROGRAM/CON
THE SEVILLE GROUP INC	120144	02/10/15	WC-1001-011551	02/03/15	21-9748-6202-615	95,485.00	CENTRAL FACILITIES PROGRAM/CON



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Vendor Name	Check No.	Check Date	Invoice Number	Invoice Date	Short Account	Amount	Invoice Description
THE SEVILLE GROUP INC	120144	02/10/15	WC-DM-0115034	02/03/15	21-9748-6203-615	54,091.00	CENTRAL FACILITIES BOND PROGRA
THE SEVILLE GROUP INC	120144	02/10/15	WC-DM-0115033	02/02/15	21-9748-6203-615	46,123.00	CENTRAL FACILITIES BOND PROGRA
THE SEVILLE GROUP INC	120144	02/10/15	WC-3036-0115009	02/02/15	21-9748-6217-362	9,036.00	PINOLE VALLEY INTERIM - CONSTR
THE SEVILLE GROUP INC	120144	02/10/15	WC-3037-0115009	02/02/15	21-9748-6217-116	11,613.00	DONWER PLAYFIELD - CONSTRUCTIO
THE SEVILLE GROUP INC	120144	02/10/15	WC-3043-0115002	02/02/15	21-9748-6217-362	15,200.00	PINOLE VALLEY HILLSIDE - CONST
THE SEVILLE GROUP INC	120144	02/10/15	WC-2500E-0115004	02/02/15	21-9748-6207-364	1,293.00	CONSTRUCTABILITY REVIEW FOR MU
THE SEVILLE GROUP INC	120144	02/10/15	WC-3035-0115016	02/02/15	21-9748-6217-140	21,514.00	MONTALVIN NEW CLASSROOM BLDG -
THE SEVILLE GROUP INC	120144	02/10/15	WC-3034-0115020	02/02/15	21-9748-6217-354	35,412.00	EL CERRITO STADIUM PROJ-CONSTR
THE SEVILLE GROUP INC	120144	02/10/15	WC-2500K-0115009	02/02/15	21-9748-6207-362	5,516.80	PINOLE VALLEY CAMPUS REP - CON
THE SEVILLE GROUP INC	120144	02/10/15	WC-3044-0115004	02/02/15	21-9748-6217-352	21,453.00	DE ANZA BLDG 10 AND FIELDS - C
THE SEVILLE GROUP INC	120144	02/10/15	WC-3015-0115026	02/02/15	21-9748-6217-112	44,448.00	CORONADO CONSTRUCTION MANAGEM
THE SEVILLE GROUP INC	120144	02/10/15	WC-3009-0115018	02/02/15	21-9748-6217-214	43,682.00	KOREMATSU NEW SCHOOL - CONSTRU
THE SEVILLE GROUP INC	120144	02/10/15	WC-3031-0115021	02/02/15	21-9748-6217-146	37,614.00	OHLENE NEW SCHOOL - CONSTRUCTI
THE SEVILLE GROUP INC	120144	02/10/15	WC-3046-0115003	02/02/15	21-9748-6217-144	26,752.00	NYSTROM MODERNIZATION - CONSTR
THE SEVILLE GROUP INC	120144	02/10/15	WC-2500H-0115004	02/02/15	21-9748-6207-160	17,472.00	VALLEY VIEW CAMPUS REP - CONST
THE SEVILLE GROUP INC	120144	02/10/15	WC-3039-0115009	02/02/15	21-9748-6217-360	17,114.00	KENNEDY RICHMOND SWIM CTR- CON
THE SEVILLE GROUP INC	120144	02/10/15	WC-3033-0115016	02/02/15	21-9748-6217-360	7,946.00	KENNEDY DESIGN CONTRACT
W BRADLEY ELECTRIC INC	120149	02/10/15	164706	01/29/15	21-9748-6219-360	3,150.00	KENNEDY HS - FIELD LIGHTING CO
ANDREW J WASHINGTON	120151	02/10/15	134	01/26/15	21-9748-6214-214	16,466.50	KOREMATSU CAMPUS REP - DSA INS
WILLIAMSON INSPECTION SERVICES CO	120156	02/10/15	57	01/31/15	21-9748-6214-212	7,800.00	DSA INSPECTION SERVICES
WILLIAMSON INSPECTION SERVICES CO	120156	02/10/15	57	01/31/15	21-9748-6214-360	7,800.00	HARDING ROOFING/EXT REPAIRS -
WJ ROBINSON & ASSOCIATES INC	120157	02/10/15	420908-07	01/02/15	21-9748-6201-112	33,600.00	CORONADO ES DESIGN SERVICES
WLC ARCHITECTS INC	120158	02/10/15	0091820134	07/01/14	21-9748-6201-112	917.10	CORONADO ES DESIGN SERVICES
WLC ARCHITECTS INC	120158	02/10/15	0091820215	07/01/14	21-9748-6201-354	16,781.90	EL CERRITO HS - DESIGN SERVICE
WLC ARCHITECTS INC	120158	02/10/15	0111080037	12/19/14	21-9748-6201-354	16,781.91	EL CERRITO HS - DESIGN SERVICE
WLC ARCHITECTS INC	120158	02/10/15	0111080035	10/23/14	21-9748-6201-354	18,515.24	EL CERRITO HS - DESIGN SERVICE
WLC ARCHITECTS INC	120158	02/10/15	0111080033	08/20/14	21-9748-6201-354	22,700.27	EL CERRITO HS - DESIGN SERVICE
WLC ARCHITECTS INC	120158	02/10/15	0111080030	07/01/14	21-9748-6201-354	3,480.03	EL CERRITO HS - DESIGN SERVICE
WLC ARCHITECTS INC	120158	02/10/15	0111080026	07/01/14	21-9748-6201-112	52,861.50	CORONADO ES DESIGN SERVICES
WLC ARCHITECTS INC	120158	02/10/15	0091820148	12/19/14	21-9748-6201-112	28,000.00	CORONADO ES DESIGN SERVICES
WLC ARCHITECTS INC	120158	02/10/15	0091820146	10/23/14	21-9748-6201-112	34,606.78	CORONADO ES DESIGN SERVICES
WLC ARCHITECTS INC	120158	02/10/15	0091820138	07/01/14	21-9748-6201-112	34,110.00	CORONADO ES DESIGN SERVICES
WLC ARCHITECTS INC	120158	02/10/15	0091820141	07/01/14	21-9748-6201-112	12,420.00	CORONADO ES DESIGN SERVICES
WLC ARCHITECTS INC	120158	02/10/15	0111080025	07/01/14	21-9748-6201-354	28,000.00	CORONADO ES DESIGN SERVICES
WLC ARCHITECTS INC	120158	02/10/15	0091820144	08/20/14	21-9748-6201-112	22,905.70	Contract 1000000480 retainage 02/02/2015 to
WRIGHT CONTRACTING INC	120159	02/10/15	STADIUM.09 TRUSTR	02/05/15	21-9748-9135-000	895.00	MONTALVIN NEW CR BLDG - COMMIS
3QC	120161	02/24/15	57161	12/31/14	21-9745-5890-140	2,087.00	CORONADO CONSTRUCTION PHASE SE
3QC	120161	02/24/15	57155	12/31/14	21-9748-5890-112	94.06	FOC OPERATIONS - RENTAL OF PLO
AMERICAN REPROGRAPHICS CO. LLC	120178	02/24/15	995814	01/20/15	21-9748-5610-615	480,612.87	KENNEDY RICHMOND SWIM CENTER -
ARNITZ BUILDERS	120182	02/24/15	7	01/31/15	21-9748-6211-360	62,370.00	HARDING EXTERIOR/ROOF REPAIRS
BEST CONTRACTING SERVICES INC	120202	02/24/15	127.6	12/31/14	21-9748-6211-127	19,176.29	KENNEDY HS SCIENCE BUILDING RE
BHM CONSTRUCTION, INC	120207	02/24/15	SCIENCE.15	01/31/15	21-9748-6211-360	4,200.00	VALLEY VIEW SEISMIC UPGRADE EL
MANFRED COHEN	120239	02/24/15	WCCUSD-L36	01/06/15	21-9748-6207-160	4,271.22	TABLES & FILE DRAWER
CONCEPTS SCHOOL AND OFFICE FURNISHINGS	120245	02/24/15	17080	01/21/15	21-9748-4300-615		

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Vendor Name	Check No.	Check Date	Invoice Number	Invoice Date	Short Account	Amount	Invoice Description
CONCEPTS SCHOOL AND OFFICE FURNISHINGS	120245	02/24/15	17080	01/21/15	21-9748-4400-615	9,741.13	TABLES & FILE DRAWER
CONSOLIDATED ENGINEERING LABORATORIES	120247	02/24/15	115736	12/01/14	21-9748-5890-354	8,490.30	EL CERRITO STADIUM - T&I SERVI
CONSOLIDATED ENGINEERING LABORATORIES	120247	02/24/15	116106	12/22/14	21-9748-5890-354	9,792.30	EL CERRITO STADIUM - T&I SERVI
CONSOLIDATED ENGINEERING LABORATORIES	120247	02/24/15	115360	11/26/14	21-9748-5890-354	7,717.50	EL CERRITO STADIUM - T&I SERVI
CONSOLIDATED ENGINEERING LABORATORIES	120247	02/24/15	116666	01/15/15	21-9748-5890-354	24,441.90	EL CERRITO STADIUM - T&I SERVI
CORNERSTONE STRUCTURAL ENGINEERING GROUP	120252	02/24/15	12228	12/31/14	21-9748-5860-362	585.00	PINOLE VALLEY HILLSIDE - STRUC
D & D SECURITY ENTERPRISES	120268	02/24/15	CC223	01/27/15	21-9748-4360-362	3,349.24	PVHS HEALTH ED LAB-CABLING/INS
EDUARDO DONOSO	120277	02/24/15	01/05/15-01/29/15	02/11/15	21-9748-5210-669	121.21	MILEAGE REIMB. JAN 15
EMPLOYERS ADVOCATE INC	120288	02/24/15	11213	01/20/15	21-9748-5890-615	652.50	PROGRAM-WIDE PROJECT LABOR AGR
ENOVITY INC	120289	02/24/15	0111.023.23	12/31/14	21-9748-5890-358	3,407.70	GOMPERS CONSTRUCTION PHASE SER
ENOVITY INC	120289	02/24/15	0113.026.04	12/31/14	21-9748-5890-364	849.75	RICHMOND CONSTRUCTION PHASE SE
FEDERAL EXPRESS CORP	120298	02/24/15	2-930-36076A	02/06/15	21-9748-6207-677	26.31	FEDEX
GHILOTTI CONSTRUCTION CO, INC	120323	02/24/15	HILLSIDE.02	01/16/15	21-9748-6211-362	458,199.90	CONTRACT 1000001474-PINOLE VALLEY HS HILLSIDE
HIBSER YAMAUCHI ARCHITECTS INC	120349	02/24/15	HY 3553.020-3	01/20/15	21-9748-6201-376	9,525.00	HERCULES SCI AND CULINARY BLD
HMC ARCHITECTS	120350	02/24/15	119749	01/02/15	21-9748-6201-358	18,597.60	GOMPERS/LPS - DESIGN SERVICES
JEFFREY E FRATES	120359	02/24/15	15-200	02/01/15	21-9748-5860-615	15,200.00	CENTRAL FACILITIES PROGRAM COO
LATHROP CONSTRUCTION ASSOCIATES INC	120384	02/24/15	112.12	01/01/15	21-9748-6211-112	1,079,744.58	CORONADO - CAMPUS REPLACEMENT
MARINA WESTSHORE PARTNERS LLC	120403	02/24/15	03-2015	02/09/15	21-9748-5620-669	25,958.40	FOC-MARINA BAY BUSINESS PARK L
MCKIM CO	120415	02/24/15	200771	01/02/15	21-9748-5890-360	7,625.00	KENNEDY HS-SCIENCE BLDG ACCESS
MOBILE MODULAR MANAGEMENT CORP	120432	02/24/15	551781-BC	08/01/14	21-9748-5620-146	239,000.00	PINOLE VALLEY MODULAR LEASE FO
MOBILE MODULAR MANAGEMENT CORP	120432	02/24/15	551781-BC	08/01/14	21-9748-5620-362	71,866.39	PINOLE VALLEY MODULAR LEASE FO
OMTRAK	120453	02/24/15	W19629	12/28/14	21-9748-5860-112	280.00	CORONADO DIGITAL TURNOVER - NE
ROEBELEN CONTRACTING INC	120485	02/24/15	FIELDS.08	01/31/15	21-9748-6211-212	304,766.10	PINOLE SOCCER & FOOTBALL FIELD
SOUTHWEST SCHOOL & OFFICE SUPPLY	120515	02/24/15	405590	01/15/15	21-9748-4300-669	95.32	FOC - BLANKET PURCHASE ORDER
SOUTHWEST SCHOOL & OFFICE SUPPLY	120515	02/24/15	404104	01/12/15	21-9748-4300-669	81.19	FOC - BLANKET PURCHASE ORDER
SOUTHWEST SCHOOL & OFFICE SUPPLY	120515	02/24/15	403715	01/09/15	21-9748-4300-669	364.96	FOC - BLANKET PURCHASE ORDER
SOUTHWEST SCHOOL & OFFICE SUPPLY	120515	02/24/15	402433	01/06/15	21-9748-4300-677	98.10	BLANKET PURCHASE ORDER
TRINET CONSTRUCTION INC	120531	02/24/15	INTERIM.07	10/25/14	21-9748-6211-146	102,798.05	OHLONE INTERIM SETUP - MAIN CO
TUFF SHED INC	120532	02/24/15	829335	08/27/14	21-9748-5890-362	4,057.96	PINOLE VALLEY HS TEMP CAMPUS:
FERDINAND VERGEIRE	120536	02/24/15	01/01/15-01/30/15	02/11/15	21-9748-5210-669	226.44	MILEAGE REIMB. JAN 15
ZOVICH CONSTRUCTION	120556	02/24/15	146.37	12/31/14	21-9748-6211-146	224,201.70	OHLONE CONSTRUCTION CONTRACT
Grand Total						7,252,661.52	

BONDS KPI SUMMARY

Bond Information (Key Performance Indicators) for WCCUSD Bond Program as of 02/28/15

Table 1

Bond Authorizations	Voter Approved		Bonds Issued					Matching Funds Received (\$) Table 2	Bond Principal Re-Paid		Bond Interest Paid
	Month Year	Amount (\$)	Latest Issue Month Year	Total Measure Amount Issued (\$)	Tax Rate Per \$100K	Measure Amount Spent (\$)	Measure Amount Not Yet Issued (\$)		Amount Re-Paid (\$)	Finish Month Year	
Measure E	Jun 1998	40,000,000		40,000,000	\$8.70	\$40,000,000	-	-	18,020,000	Aug 2025	24,082,621
Measure M	Nov 2000	150,000,000		150,000,000	\$55.60	\$150,000,000	-	-	57,660,000	Aug 2032	72,437,188
Measure D	Mar 2002	300,000,000	Jun 2004	299,997,483	\$60.00	\$299,997,483	2,517	-	60,888	Aug 2034	86,571,536
Measure J	Nov 2006	400,000,000	Jun 2010	322,409,709	\$60.00	\$322,409,709	77,590,291	-	5,305,000	Aug 2036	97,075,471
Measure D-2010	Jun 2010	380,000,000	Oct 2013	140,000,000	\$48.00	\$139,475,692	240,000,000	-	15,655,000	Aug 2045	14,665,831
Measure E-2012	Nov 2012	360,000,000	Oct 2013	85,000,000	\$48.00	\$52,278,935	275,000,000	-	7,750,000	Aug 2045	3,206,772
Grand Totals		\$ 1,630,000,000		\$1,037,407,192	\$280.30	\$1,004,161,819	\$592,592,808	\$182,550,639	\$104,450,888		\$298,039,419

Table 2

Funds Received From Other Sources Categories and Amounts (\$)	
State Revenues Fund 35	\$ 126,449,067
State Seismic Fund 35	\$ 13,902,896
Developers' Fees Fund 25	\$ 25,754,580
Bond Interest Fund 21	\$ 16,444,096.00
Total Funds	\$ 182,550,639

Table 3

Construction Program Funds Categories	Construction Program Available Cash On Hand (\$)
Bond Funds	\$25,779,998
Matching Funds	\$0
Total Funds	\$25,779,998

Table 4

Construction Program Average Monthly Spending (Last Six Months) (\$)
\$10,386,009



CONTRA COSTA COUNTY

TREASURER'S

ANNUAL INVESTMENT POLICY

FISCAL YEAR 2014-2015

APPROVED BY THE BOARD OF SUPERVISORS

EFFECTIVE ON JANUARY 1ST, 2015

The Contra Costa County Treasurer will annually present to both the Board of Supervisors (Board) and the Treasury Oversight Committee (Committee) a statement of investment policy, which the Board shall review and approve at a public meeting. Any changes in the policy shall also be reviewed and approved by the Board at a public meeting (Gov't Code §53646(a)(1)).

OFFICE OF COUNTY TREASURER-TAX COLLECTOR

625 COURTS STREET, ROOM 100

MARTINEZ, CALIFORNIA 94553

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CONTRA COSTA COUNTY

TREASURER'S ANNUAL INVESTMENT POLICY

1.0 PURPOSE

The purpose of this Investment Policy (Policy) is to establish cash management and investment guidelines of surplus funds entrusted to the care of the Contra Costa County Treasurer's Office (Treasurer's Office) in accordance with applicable sections of California Government Code. All portfolio activities will be judged by the standards of the Policy and its ranking of investment objectives.

2.0 SCOPE

This Policy applies to all funds over which the Treasurer's Office has been granted fiduciary responsibility and direct control for their management.

3.0 PARTICIPANTS

This Policy restricts deposits to those agencies mandated by California Government Code as treasury deposits. However, subject to the consent of the Treasurer's Office and in accordance with section 53684, exemptions may be granted to non-mandatory depositing agencies, if it is determined that the additional deposit provides a benefit to the investment pool as a whole while not creating unmanageable liquidity risk.

4.0 IMPLEMENTATION

In order to provide direction to those responsible for management of surplus funds, the County Treasurer has established this Policy and presented it to the Treasury Oversight Committee and the Board of Supervisors, and has made available the report to the legislative body of local agencies that participates in the County Treasurer's investment program.

The Policy explains investable funds; authorized instruments; credit quality required; maximum maturities and concentrations; collateral requirements; qualifications of broker-dealers and financial institutions doing business with, or on behalf of, the County; limits on gifts and honoraria; the reporting requirements; the Treasury Oversight Committee; the manner of appropriating costs; and the criteria to request withdrawal of funds.

5.0 OBJECTIVES

Gov't Code §53600.5: When investing, reinvesting, purchasing, acquiring, exchanging, selling or managing public funds, the primary objective of a trustee shall be to safeguard the principal of the funds under its control. The secondary objective shall be to meet the liquidity needs of the depositor. The third objective shall be to achieve a return on the funds under its controls.

5.1 Safety of principal is the foremost objective of the investment program. Investments shall be undertaken in a manner that seeks to ensure the preservation of capital in the overall portfolio. The objective will be to mitigate credit risk and market risk.

5.1.a *Credit Risk*

The Treasurer will minimize credit risk, the risk of loss due to the failure of the security issuer or backer, by:

1. Limiting investments to the safest type of securities

2. Pre-qualifying the financial institutions, broker/dealers, intermediaries, and advisers with which the Treasurer's Office will do business
3. Diversifying the investment portfolio so that potential losses on individual securities will be minimized.

5.1.b Market Risk

The Treasurer's Office will minimize the risk that the market value of securities in the portfolio will fall due to changes in general interest rates, by:

1. Structuring the investment portfolio so that securities mature to meet cash requirements for ongoing operations, thereby avoiding the need to sell securities on the open market prior to maturity
2. Investing operating funds primarily in shorter-term securities, money market mutual funds, or similar investment pools.

5.2 Liquidity: The investment portfolio shall remain sufficiently liquid to meet all operating requirements that may be reasonably anticipated. This is accomplished by structuring the portfolio so that securities mature concurrent with cash needs to meet anticipated demands. Furthermore, since all possible cash demands cannot be anticipated, the portfolio should consist largely of securities with active secondary or resale markets. A portion of the portfolio also may be placed in money market mutual funds or local government investment pools which offer same-day liquidity for short-term funds.

5.3 Yield: The investment portfolio shall be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints and liquidity needs. Return on investment is of secondary importance compared to the safety and liquidity objectives described above. The core of investments is limited to relatively low risk securities in anticipation of earning a fair return relative to the risk being assumed. Securities may be sold prior to maturity when deemed prudent and necessary. Reasons of selling include but not limited to:

1. **A security with declining credit may be sold early to minimize loss of principal.**
2. **A security swap would improve the quality, yield, or target duration in the portfolio.**
3. **Liquidity needs of the portfolio require that the security be sold.**
4. **Portfolio rebalancing would bring the portfolio back into compliance.**

Investments will be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the probable safety of their capital as well as the probable income to be derived.

5.4 Public Trust: All investments will be in conformance with state law and county ordinances and policies. The investment of public funds is a task that must maintain the public trust.

6.0 GENERAL STRATEGY

6.1 Buy and Hold: The Treasurer will generally use the passive investment strategy known as BUY AND HOLD whereas securities are purchased with the intent of holding them to maturity. Interest income and the reinvestment of interest income usually are the only sources of return in the portfolio.

The investment program will focus on purchasing securities that will limit or reduce the potential default risk and ensure the reliability of cash flows from interest income. Generally, purchases will be ladderized throughout the portfolio in order to minimize the number and cost of investment transactions.

6.2 Directed Investment: Local agencies may direct the investment, exchange, liquidation and reinvestment of their assets, but must meet the provisions of the investment objectives of this policy. The withdrawal of funds in the Treasury shall coincide with investment maturities or authorized sales of securities by the local agency's legislative or governing body.

7.0 STANDARD OF CARE

The following policies are designed in accordance with Government Code to provide transparency to the investment program while enhancing portfolio controls:

7.1 Prudent Investor Standard: "Governing bodies of local agencies or persons authorized to make investment decisions on behalf of those local agencies investing public funds are trustees and therefore fiduciaries subject to the prudent investor standard. When investing, reinvesting, purchasing, acquiring, exchanging, selling or managing public funds, a trustee shall act with care, skill, prudence and diligence under the circumstances then prevailing, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the agency. Within the limitations of this section and considering individual investments as part to an overall strategy, investments may be acquired as authorized by law." (Gov't Code §53600.3.1)

7.2 Limits on Honoraria, Gifts, and Gratuities

In accordance with California Government Code Section 27133(d), this Policy establishes limits for the Director of Finance; individuals responsible for management of the portfolios; and members of the Investment Group and Review Group who direct individual investment decisions, select individual investment advisors and broker/dealers, and conduct day-to-day investment trading activity. The limits also apply to members of the Oversight Committee. Any individual who receives an aggregate total of gifts, honoraria and gratuities in excess of \$50 in a calendar year from a broker/dealer, bank or service provider to the Pooled Investment Fund must report the gifts, dates and firms to the designated filing official and complete the appropriate State forms.

No individual may receive aggregate gifts, honoraria, and gratuities in a calendar year in excess of the amount specified in Section 18940.2(a) of Title 2, Division 6 of the California Code of Regulations. This limitation is \$440 for the period January 1, 2013, to December 31, 2014. Any violation must be reported to the State Fair Political Practices Commission.

Please refer to the Contra Costa County Treasurer-Tax Collector's Conflict of Interest Code for further explanation of the prohibited activities, and their enforcements and exceptions.

7.3 Delegation of Authority

7.4.a Subject to Section 53607, the board of supervisors may, by ordinance, delegate to the county treasurer the authority to invest or reinvest the funds of the county and the funds of other depositors in the county treasury, pursuant to Chapter 4 (commencing with Section 53600) of Part 1 of Division 2 of Title 5. The county treasurer shall thereafter assume full responsibility for those transactions until the board of supervisors either revokes its delegation of authority, by ordinance, or decides not to renew the annual delegation, as provided in Section 53607 (Gov't Code §27000.1).

7.4.b The authority of the legislative body to invest or to reinvest funds of a local agency, or to sell or exchange securities so purchased, may be delegated for a one-year period by the legislative body to the treasurer of the local agency, who shall thereafter assume full responsibility for those transactions until the delegation of authority is revoked or

expires, and shall make a monthly report of those transactions to the legislative body. Subject to review, the legislative body may renew the delegation of authority pursuant to this section each year (Gov't Code §53607).

- 7.4.c** Responsibility for the operation of the investment program is hereby delegated to the County Treasurer, who shall act in accordance with established written procedures and internal controls for the operation of the investment program consistent with this investment policy. Procedures include references to: safekeeping, delivery vs. payment, investment accounting, repurchase agreements, wire transfer agreements, and collateral/depository agreements. No person may engage in an investment transaction except as provided under the terms of this policy and the procedures established by the County Treasurer.

7.5 Treasury Oversight Committee: In compliance with a Board Order of the Contra Costa County Board of Supervisors, the County Contra Costa County Treasury Oversight Committee was established in November 6 of 1995. The intent of the Committee is to allow local agencies, including school districts, as well as the public, to participate in reviewing the policies that guide the investment of public funds. The mandate for the existence of the Committee was suspended in 2004 by the State of California; however, the Committee serves an important function and the Treasurer's Office has elected to continue the program.

- 7.5.a** The Committee shall annually review and monitor the County's Investment Policy.

- 7.5.b** The Committee shall cause an annual audit to determine the County Treasurer's compliance with the Investment Policy and all investment funds in the county Treasury.

8.0 SAFEKEEPING AND CUSTODY

8.1 Delivery vs. Payment: All trades of marketable securities will be executed (cleared and settled) on a delivery vs. payment (DVP) basis to ensure that securities are deposited in the County Treasurer's safekeeping institution prior to the release of funds.

8.2 Third-party Safekeeping: Securities will be held by an independent third-party safekeeping institution selected by the County Treasurer. All securities will be evidenced by safekeeping receipts in the County's name or in a name designated by the County Treasurer. The safekeeping institution shall annually provide a copy of its most recent report on internal controls - Service Organization Control Reports (formerly 70, or SAS 70) prepared in accordance with the Statement on Standards for Attestation Engagements (SSAE) No. 16 (effective June 15, 2011.)

- 8.2.a** A local agency purchasing or obtaining any securities prescribed in this section, in a negotiable, bearer, registered or non-registered format, shall require delivery of the securities to the local agency, including those purchased for the agency by financial advisors, consultants or managers using the agency's funds, by book entry, physical delivery or by third-party custodial agreement. The transfer of securities to the counterparty bank's customer book-entry account may be used for book-entry delivery. For purposes of this section, "counterparty" means the other party to the transaction. A counterparty bank's trust department or separate safekeeping department may be used for the physical delivery of the security if the security is held in the name of the local agency. Where this section specifies a percentage limitation for a particular category of investment, that percentage is applicable only at the date of purchase. Where this section does not specify a limitation on the term of remaining maturity at the time of

the investment, no investment shall be made in any security other than a security underlying a repurchase or reverse repurchase agreement authorized by this section.

8.2.b In compliance with this section, the securities of Contra Costa County and its agencies shall be in safekeeping at The Bank of New York Trust Company, N. A., a counterparty bank's trust department or as defined in the debt indenture and contract.

8.3 Internal Controls: The County Treasurer is responsible for establishing and maintaining an internal control structure designed to ensure that the assets of the Treasurer are protected from loss, theft or misuse. Specifics for the internal controls shall be documented in an investment procedures manual that shall be reviewed and updated periodically by the County Treasurer.

The internal control structure shall be designed to provide reasonable assurance that these objectives are met. The concept of reasonable assurance recognizes that (1) the cost of control should not exceed the benefits likely to be derived and (2) the valuation of costs and benefits requires estimates and judgments by management.

9.0 AUTHORIZED BROKERS/DEALERS AND FINANCIAL INSTITUTIONS

9.1 All transactions initiated on behalf of the Pooled Investment Fund and Contra Costa County shall be executed only through one of the following:

1. Government security dealers reporting as primary dealers to the Market Reports Division of the Federal Reserve Bank of New York;
2. Banks and financial institutions that directly issue their own securities which have been placed on the Approved List of Broker/Dealers and Financial Institutions;
3. Brokers/dealers in the State of California approved by the County Treasurer based on the reputation and expertise of the company and individuals employed.

Broker/dealers and financial institutions which have exceeded the political contribution limits as contained in Rule G-37 of the Municipal Securities Rulemaking Board within a four year period to the County Treasurer or an member of the governing board of a local agency or any candidate for those offices, are prohibited from the Approval List of Broker/Dealers and Financial Institutions.

9.2 Qualifications: All financial institutions and broker/dealers who desire to become qualified for investment transactions must complete Contra Costa County Treasurer's Office Broker/Dealer Due Diligence Questionnaire which can be obtained at www.cctax.us. An annual review of the financial condition and registration of qualified financial institutions and broker/dealers will be conducted by the Treasurer's Office.

9.3 List of Approved Financial Institutions, Security Brokers and Dealers

A list will be maintained of financial institutions authorized to provide investment services. In addition, a list also will be maintained of approved security broker/dealers selected by creditworthiness and qualifications stated in section 9.2. However, the County Treasury will not be limited to the financial institutions and brokers/dealers on the list. Others will be included as long as conditions for authorized financial institutions and brokers/dealers set forth in this Policy are met. Additionally, deletions and additions are based on the maintenance of required credit quality as rated by a nationally recognized statistical-rating organization (NRSRO) or reliable financial sources.

10.0 SUITABLE AND AUTHORIZED INVESTMENTS

10.1 Authorized Investment Types: (Gov't Code §53601 et seq.) The legislative body of a local agency having moneys in a sinking fund or moneys in its treasury not required for the immediate

needs of the local agency may invest any portion of the moneys that it deems wise or expedient in those investments set forth below. A local agency purchasing or obtaining any securities prescribed in this section, in a negotiable, bearer, registered, or nonregistered format, shall require delivery of the securities to the local agency, including those purchased for the agency by financial advisers, consultants, or managers using the agency's funds, by book entry, physical delivery, or by third-party custodial agreement. The transfer of securities to the counterparty bank's customer book entry account may be used for book entry delivery.

For purposes of this section, "counterparty" means the other party to the transaction. A counterparty bank's trust department or separate safekeeping department may be used for the physical delivery of the security if the security is held in the name of the local agency. Where this section specifies a percentage limitation for a particular category of investment, that percentage is applicable only at the date of purchase. Where this section does not specify a limitation on the term or remaining maturity at the time of the investment, no investment shall be made in any security, other than a security underlying a repurchase or reverse repurchase agreement or securities lending agreement authorized by this section, that at the time of the investment has a term remaining to maturity in excess of five years, unless the legislative body has granted express authority to make that investment either specifically or as a part of an investment program approved by the legislative body no less than three months prior to the investment:

- 10.1.a Bonds issued by the local agencies**, including bonds payable solely out of the revenues from a revenue-producing property, owned, controlled, or operated by the local agency or by a department, board, agency or authority of the local agency.
- 10.1.b United States Treasury notes, bonds, bills or certificates of indebtedness**, or those for which the faith and credit of the United States are pledged for the payment of principal and interest.
- 10.1.c Registered state warrants or treasury notes or bonds of this state**, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by the state or by a department, board, agency or authority of the state.
- 10.1.d Registered treasury notes or bonds of any of the other 49 states** in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 states, in addition to California.
- 10.1.e Bonds, notes, warrants or other evidences of indebtedness of any local agency within this state**, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled or operated by the local agency, or by a department, board, agency or authority of the local agency.
- 10.1.f Federal agency or United States government-sponsored enterprise obligations, participations, or other instruments**, including those issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises.
- 10.1.g Bankers acceptances otherwise known as bills of exchange or time drafts** drawn on and accepted by a commercial bank. Purchases of banker's acceptances may not exceed 180 days' maturity or 40 percent of the agency's money that may be invested pursuant to this section. However, no more than 30 percent of the agency's money may be invested in the banker's acceptances of any one commercial bank pursuant to this section. This subdivision does not preclude a municipal utility district from investing any

money in its treasury in any manner authorized by the Municipal Utility District Act (Division 6, commencing with Section 11501, of the Public Utilities Code).

10.1.h Commercial paper of “prime” quality of the highest ranking or of the highest letter and number rating as provided for by a nationally recognized statistical-rating organization (NRSRO). The entity that issues the commercial paper shall meet all of the following conditions in either paragraph (1) or paragraph (2):

1. The entity meets the following criteria:
 - A. Is organized and operating in the United States as a general corporation.
 - B. Has total assets in excess of five hundred million dollars (\$500,000,000).
 - C. Has debt other than commercial paper, if any, that is rated “A” or higher by a nationally recognized statistical-rating organization (NRSRO).
2. The entity meets the following criteria:
 - A. Is organized within the United States as a special purpose corporation, trust, or limited liability company.
 - B. Has program-wide credit enhancements including, but not limited to, over collateralization, letters of credit, or surety bond.
 - C. Has commercial paper that is rated “A-1” or higher, or the equivalent, by a nationally recognized statistical-rating organization (NRSRO).

Eligible commercial paper shall have a maximum maturity of 270 days or less. Local agencies, other than counties or a city and county, may invest no more than 25 percent of their moneys in eligible commercial paper. Local agencies, other than counties or a city and county, may purchase no more than 10 percent of the outstanding commercial paper of any single issuer. Counties or a city and county may invest in commercial paper pursuant to the concentration limits in subdivision (a) of Section 53635:

- i. Not more than 40 percent of the local agency’s money may be invested in eligible commercial paper.
- ii. Not more than 10 percent of the total assets of the investments held by a local agency may be invested in any one issuer’s commercial paper.

10.1.i Negotiable certificates of deposit issued by a nationally- or state-chartered bank or a savings association or federal association (as defined by Section 5102 of the Financial Code), a state or federal credit union, or by a state-licensed branch of a foreign bank. Purchases of negotiable certificates of deposit may not exceed 30 percent of the agency’s money that may be invested pursuant to this section. For purposes of this section, negotiable certificates of deposits do not come within Article 2 (commencing with Section 53630), except that the amount so invested shall be subject to the limitations of Section 53638. The legislative body of a local agency and the treasurer or other official of the local agency having legal custody of the money are prohibited from investing local agency funds, or funds in the custody of the local agency, in negotiable certificates of deposit issued by a state or federal credit union if a member of the legislative body of the local agency, or any person with investment decision making authority in the administrative office, manager’s office, budget office, auditor-controller’s office, or treasurer’s office of the local agency also serves on the board of directors, or any committee appointed by the board of directors, or the credit committee or the supervisory committee of the state or federal credit union issuing the negotiable certificates of deposit.

10.1.j Repurchase and reverse repurchase agreements

1. Investments in **repurchase agreements** or **reverse repurchase agreements** of any securities authorized by this section, as long as the agreements are subject to this subdivision, including the delivery requirements specified in this section.
2. Investments in repurchase agreements may be made on any investment authorized in this section when the term of the agreement does not exceed one year. The market value of securities that underlay a repurchase agreement shall be valued at 102 percent or greater of the funds borrowed against those securities and the value shall be adjusted no less than quarterly. Since the market value of the underlying securities is subject to daily market fluctuations, the investments in repurchase agreements shall be in compliance if the value of the underlying securities is brought back up to 102 percent no later than the next business day.
3. Reverse repurchase agreements or securities lending agreements may be utilized only when all of the following conditions are met:
 - A. The security to be sold using a reverse repurchase agreement or securities lending agreement has been owned and fully paid for by the local agency for a minimum of 30 days prior to sale.
 - B. The total of all reverse repurchase agreements and securities lending agreements on investments owned by the local agency does not exceed 20 percent of the base value of the portfolio.
 - C. The agreement does not exceed a term of 92 days, unless the agreement includes a written codicil guaranteeing a minimum earning or spread for the entire period between the sale of a security using a reverse repurchase agreement or securities lending agreement and the final maturity date of the same security.
 - D. Funds obtained or funds within the pool of an equivalent amount to that obtained from selling a security to a counterparty using a reverse repurchase agreement or securities lending agreement shall not be used to purchase another security with a maturity longer than 92 days from the initial settlement date of the reverse repurchase agreement or securities lending agreement, unless the reverse repurchase agreement or securities lending agreement includes a written codicil guaranteeing a minimum earning or spread for the entire period between the sale of a security using a reverse repurchase agreement or securities lending agreement and the final maturity date of the same security.
4. Prior approval of the governing body; only with primary dealers:
 - A. Investments in reverse repurchase agreements, securities lending agreements, or similar investments in which the local agency sells securities prior to purchase with a simultaneous agreement to repurchase the security may be made only upon prior approval of the governing body of the local agency and shall be made only with primary dealers of the Federal Reserve Bank of New York or with a nationally or state-chartered bank that has or has had a significant banking relationship with a local agency.
 - B. For purposes of this policy, "significant banking relationship" means any of the following activities of a bank:
 - i. Involvement in the creation, sale, purchase, or retirement of a local agency's bonds, warrants, notes, or other evidence of indebtedness.
 - ii. Financing of a local agency's activities.

iii. Acceptance of a local agency's securities or funds as deposits.

5. Definitions and terms of repos, securities and securities lending:

- A. "Repurchase agreement" means a purchase of securities by the local agency pursuant to an agreement by which the counterparty seller will repurchase the securities on or before a specified date and for a specified amount and the counterparty will deliver the underlying securities to the local agency by book entry, physical delivery, or by third-party custodial agreement. The transfer of underlying securities to the counterparty bank's customer book-entry account may be used for book-entry delivery.
- B. "Securities," for purposes of repurchase under this subdivision, means securities of the same issuer, description, issue date, and maturity.
- C. "Reverse repurchase agreement" means a sale of securities by the local agency pursuant to an agreement by which the local agency will repurchase the securities on or before a specified date and includes other comparable agreements.
- D. "Securities lending agreement" means an agreement under which a local agency agrees to transfer securities to a borrower who, in turn, agrees to provide collateral to the local agency. During the term of the agreement, both the securities and the collateral are held by a third party. At the conclusion of the agreement, the securities are transferred back to the local agency in return for the collateral.
- E. For purposes of this section, the base value of the local agency's pool portfolio shall be that dollar amount obtained by totaling all cash balances placed in the pool by all pool participants, excluding any amounts obtained through selling securities by way of reverse repurchase agreements, securities lending agreements, or other similar borrowing methods.
- F. For purposes of this section, the spread is the difference between the cost of funds obtained using the reverse repurchase agreement and the earnings obtained on the reinvestment of the funds.

10.1.k Medium-term notes, defined as all corporate and depository institution debt securities with a maximum remaining maturity of five years or less, issued by corporations organized and operating within the United States or by depository institutions licensed by the United States or any state and operating within the United States. Notes eligible for investment under this subdivision shall be rated "A" or better by an NRSRO. Purchases of medium-term notes shall not include other instruments authorized by this section and may not exceed 30 percent of the agency's moneys that may be invested pursuant to this section.

10.1.l Shares of beneficial interest

- 1. Shares of beneficial interest issued by diversified management companies that invest in the securities and obligations as authorized by subdivisions (a) to (k), inclusive, and subdivisions (m) to (o), inclusive, and that comply with the investment restrictions of this article and Article 2 (commencing with Section 53630). However, notwithstanding these restrictions, a counterparty to a reverse repurchase agreement or securities lending agreement is not required to be a primary dealer of the Federal Reserve Bank of New York if the company's board of directors finds that the counterparty presents a minimal risk of default, and the value of the securities

underlying a repurchase agreement or securities lending agreement may be 100 percent of the sales price if the securities are marked to market daily.

2. Shares of beneficial interest issued by diversified management companies that are money market funds registered with the Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. Sec. 80a-1 et seq.).
3. If investment is in shares issued pursuant to paragraph (1), the company shall have met either of the following criteria:
 - A. Attained the highest ranking or the highest letter and numerical rating provided by not less than two NRSROs.
 - B. Retained an investment adviser registered or exempt from registration with the Securities and Exchange Commission with not less than five years' experience investing in the securities and obligations authorized by subdivisions (a) to (k), inclusive, and subdivisions (m) to (o), inclusive, and with assets under management in excess of five hundred million dollars (\$500,000,000).
4. If investment is in shares issued pursuant to paragraph (2), the company shall have met the following criteria:
 - A. Attained the highest ranking or the highest letter and numerical rating provided by not less than two nationally recognized statistical rating organizations.
 - B. Retained an investment adviser registered or exempt from registration with the Securities and Exchange Commission with not less than five years' experience investing in the securities and obligations authorized by subdivisions (a) to (k), inclusive, and subdivisions (m) to (o), inclusive, and with assets under management in excess of five hundred million dollars (\$500,000,000).
5. The purchase price of shares of beneficial interest purchased pursuant to this subdivision shall not include any commission that the companies may charge and shall not exceed 20 percent of the agency's money that may be invested pursuant to this section. However, no more than 10 percent of the agency's funds may be invested in shares of beneficial interest of any one mutual fund pursuant to paragraph (1).

10.1.m Moneys held by a trustee or fiscal agent and pledged to the payment of security of bonds or other indebtedness, or obligations under a lease, installment sale, or other agreement of a local agency, or certificates of participation in those bonds, indebtedness, or lease installment sale, or other agreements, may be invested in accordance with the statutory provisions governing the issuance of those bonds, indebtedness, or lease installment sale, or other agreement, or to the extent not inconsistent therewith or if there are not specific statutory provision, in accordance with the ordinance, resolution, indenture, or agreement of the local agency providing for the issuance.

10.1.n Notes, bonds, or other obligations that are at all times secured by a valid first-priority security interest in securities of the types listed by Section 53651 as eligible securities for the purpose of securing local agency deposits having a market value at least equal to that required by Section 53652 for the purpose of securing local agency deposits. The securities serving as collateral shall be placed by delivery or book entry into the custody of a trust company or the trust department of a bank that is not affiliated with the issuer of the secured obligation, and the security interest shall be perfected in accordance with the requirements of the Uniform Commercial Code or federal regulations applicable to the types of securities in which the security interest is granted.

- 10.1.o Any mortgage pass-through security, collateralized mortgage obligation, mortgage-backed or other pay-through bond, equipment lease-backed certificate, consumer receivable pass-through certificate, or consumer receivable-backed bond** of a maximum of five years' maturity. Securities eligible for investment under this subdivision shall be issued by an issuer having an "A" or higher rating for the issuer's debt as provided by a nationally recognized rating service and rated in a rating category of "AA" or its equivalent or better by a nationally recognized rating service. Purchase of securities authorized by this subdivision may not exceed 20 percent of the agency's surplus money that may be invested pursuant to this section.
- 10.1.p Shares of beneficial interest issued by a joint power authority** organized pursuant to Section 6509.7 that invests in the securities and obligations authorized in subdivisions (a) to (n), inclusive. Each share shall represent an equal proportional interest in the underlying pool of securities owned by the joint powers authority. To be eligible under this section, the joint powers authority issuing shares shall have retained an investment adviser that meets all of the following criteria:
1. The adviser is registered or exempt from registration with the Securities and Exchange Commission.
 2. The adviser has not less than five years of experience investing in the securities and obligations authorized in subdivisions (a) to (n) inclusive.
 3. The adviser has assets under management in excess of five hundred million dollars (\$500,000,000).
- 10.1.q United States dollars denominated senior unsecured unsubordinated obligations** issued or unconditionally guaranteed by the International Bank for Reconstruction and Development, International Finance Corporation, or Inter-American Development Bank, with a maximum remaining maturity of five years or less, and eligible for purchase and sale within the United States. Investments under this subdivision shall be rated "AA" or better by an NRSRO and shall not exceed 30 percent of the agency's moneys that may be invested pursuant to this section.

11.0 RESTRICTIONS AND PROHIBITIONS

11.1 Restrictions set by the Treasurer

- 11.1.a** All investments purchased by the Treasurer's Office shall be of investment grade. The minimum credit rating of purchased investments shall be as defined by Government Code 53600 et. seq.
- 11.1.b** All legal securities issued by a tobacco-related company are prohibited. A tobacco-related company is defined as 1) an entity that makes smoking products from tobacco used in cigarettes, cigars and/or snuff, or for smoking in pipes or 2) a company that has total revenues of 15 percent or more from the sale of such tobacco products. The tobacco-related issuers restricted from any investment are Alliance One, Altria Group, Inc., Auri Inc., British American Tobacco PLC, Imperial Tobacco Group PLC, Kirin International Holding Inc., Lorillard, Philip Morris International, Reynolds American, Inc., Schweitzer-Mauduit International Inc., Smokefree Innotec Inc., Star Scientific Inc., Universal Corp., and Vector Group, Ltd. Annually the Treasury staff will update the list of tobacco-related companies.
- 11.1.c** Financial futures or financial option contracts will each be approved on a per trade basis by the County Treasurer.

- 11.1.d** Reverse repurchase agreements will be used strictly for the purpose of supplementing income with a limit of 10 percent of the total portfolio with prior approval of the Treasurer.
- 11.1.e** SBA loans require prior approval of the Treasurer in every transaction.
- 11.1.f** Securities purchased through brokers will be held in safekeeping at The Bank of New York Trust Company, N.A. or as designated by the specific contract(s) for government securities and tri-party repurchase agreements.
- 11.1.g** Swaps and Trades will each be approved on a per-trade basis by Treasurer or Assistant Treasurer.
- 11.1.h** Bank CDs or non-negotiable CDs will be collateralized at 110 percent by government securities or 150 percent by current mortgages. There will be no waiver of the first \$100,000 collateral except by special arrangement with the Treasurer.

11.2 Prohibitions by Government Code (§53601.6)

- 11.2.a** A local agency shall not invest any funds pursuant to this Article or pursuant to Article 2 (commencing with Section 53630) in inverse floaters, range notes or interest-only strips that are derived from a pool of mortgages.
- 11.2.b** A local agency shall not invest any funds pursuant to this article or pursuant to Article 2 (commencing with Section 53630) in any security that could result in zero interest accrual if held to maturity. However, a local agency may hold prohibited instruments until their maturity dates. The limitation in this subdivision shall not apply to local agency investments in shares of beneficial interest issued by diversified management companies registered under the Investment Company Act of 1940 (15 U.S.C. Sec. 80a-1, et seq.) that are authorized for investment pursuant to subdivision (l) of Section 53601.

12.0 INVESTMENT PARAMETERS

- 12.1 Diversification:** Investments shall be diversified so as to minimize the risk of loss and to maximize the rate of return by:
 - 1. Limiting investment to avoid overconcentration in securities from a specific issuer or business sector (excluding U.S. Treasury securities),
 - 2. Limiting investment in securities that have higher credit risks,
 - 3. Investing in securities with varying maturities, and
 - 4. Continuously investing a portion of the portfolio in readily available funds such as investment pools, money market funds or overnight repurchase agreements to ensure that appropriate liquidity is maintained in order to meet ongoing obligations.
- 12.2 Maximum Maturities:** To the extent possible, the County Treasurer shall attempt to match its investments with anticipated cash flow requirements. Unless matched to a specific cash flow, the Treasurer will not directly invest in securities maturing more than five (5) years from the date of purchase or in accordance with state and local statutes and ordinances. The Treasurer shall adopt weighted average maturity limitations (which often range from 90 days to 3 years), consistent with the investment objectives.

Because of inherent difficulties in accurately forecasting cash flow requirements, a portion of the portfolio should be continuously invested in readily available funds such as LAIF, money market funds, or overnight repurchase agreements to ensure that appropriate liquidity is maintained to meet ongoing obligations.

12.3 Exception to Maximum Maturity: In accordance with Government Code §53601 the County Treasurer retains the right to petition the Board of Supervisors for approval to invest in securities with a final maturity in excess of five years. The Board of Supervisors adoption of any resolution allowing maturities beyond five years shall be considered an allowed modification to this policy and any investments made in accordance with the modification shall be allowable under this policy.

12.4 Investment Criteria¹: All limitations set forth in this Policy are applicable only at the time of purchase. The County Treasurer has the full discretion to rebalance the portfolio when it is out of compliance owing to various reasons, such as market fluctuation.

INVESTMENT TYPE	MAXIMUM % of PORTFOLIO	MAXIMUM MATURITY	MAXIMUM % of ISSUE	OTHER RESTRICTIONS
Bonds issued by local agencies, §53601 (a)	100%	5 years	100%	
U.S. Treasury Obligations, §53601 (b)	100%	5 years	100%	
Registered State Warrants, and CA Treasury Notes and bonds, §53601 (c)	100%	5 years	100%	
Registered Treasury Notes or Bonds of any of the other 49 state in addition to CA, §53601 (d)	100%	5 years	100%	
Bonds and Notes issued by other local agencies in California, §53601 (e)	100%	5 years	100%	
Obligations of U.S. Agencies or government sponsored enterprises, §53601 (f)	100%	5 years	100%	
U.S. Agencies Callables	100%	5 years	25%	
Bankers Acceptances), §53601 (g) *Domestic: (\$5B min. assets)	40%	180 days	30% Aggregate	
*Foreign: (\$5B min. assets)	40%	180 days	5% Aggregate	
Commercial paper, §53601 (h) and §53635 (a)	40%	270 days or less	10% Aggregate	No more than 10 % of the local agency's money may be invested in the outstanding commercial paper of any single issuer.
Negotiable Certificates of Deposit (\$5 billion minimum assets), §53601 (i)	30%	5 years	10% Aggregate	
Repurchase Agreements secured by U.S. Treasury or agency obligation (102% collateral), §53601 (j)	100%	1 year	See limitations for Treasuries and Agencies above	Generally limited to Wells Fargo Bank, Bank of America or other institutions with whom the County treasury has executed tri-party agreements. Collateral will be held by a third party to the transaction that may include the trust department of particular banks. Collateral will be only securities that comply with Government Code 53601.
Reverse Repurchase Agreements and Securities Lending Agreements, §53601 (j)	20%	92 days	See limitations for Treasuries and Agencies above	

¹ The rating requirement for each investment type is referenced in the relevant sections of California Government Code.

INVESTMENT TYPE	MAXIMUM % of PORTFOLIO	MAXIMUM MATURITY	MAXIMUM % of ISSUE	OTHER RESTRICTIONS
Corporate bonds, Medium Term Notes & Covered, §53601 (k)	30%	5 years	5% Aggregate	
Shares of beneficial interest issued by diversified mgt. companies §53601 (l)	20%	N/A	10% Aggregate	
Moneys held by a trustee or fiscal agent, §53601 (m)	20%	N/A		
Collateralized Notes, Bonds, Time Deposits, or other obligations, §53601 (n)	15%	5 years	5% Aggregate	Collateralized by the eligible securities at a percentage specified in Government Code 53652.
Mrtg Backed Securities/CMO's:	20%	5 Years	5% Aggregate	<u>No</u> Inverse Floaters
Asset Backed Securities §53601 (o)	20%	5 Years	5% Aggregate	<u>No</u> Range Notes <u>No</u> Interest only strips derived from a pool of mortgages
Joint Powers Authority, CalTRUST, §53601 (p)	As limited by CalTRUST	N/A	As limited by CalTRUST	
Supranational obligations §53601 (q)	30%	5 Years	100%	Rated "AA" or better by an NRSRO
Local Agency Investment Fund (LAIF), §16429.1	As Limited by LAIF	N/A	As limited by LAIF	

13.0 CALIFORNIA LOCAL AGENCY INVESTMENT FUND (LAIF)

13.1 General Information (Gov't Code §16305.9).

13.1.a All money in the Local Agency Investment Fund shall be held in trust in the custody of the State Treasurer.

13.1.b All money in the Local Agency Investment Fund is nonstate money. That money shall be held in a trust account or accounts. The Controller shall be responsible for maintaining those accounts to record the Treasurer's accountability, and shall maintain a separate account for each trust deposit in the Local Agency Investment Fund.

13.1.c That money shall be subject to audit by the Department of Finance and to cash count as provided for in Sections 13297, 13298, and 13299. It may be withdrawn only upon the order of the depositing entity or its disbursing officers. The system that the Director of Finance has established for the handling, receiving, holding, and disbursing of state agency money shall also be used for the money in the Local Agency Investment Fund.

13.1.d All money in the Local Agency Investment Fund shall be deposited, invested, and reinvested in the same manner and to the same extent as if it were state money in the State Treasury.

13.2 Investment and Distribution of Deposits (§16429.1).

13.2.a There is in trust in the custody of the Treasurer the Local Agency Investment Fund, which fund is hereby created. The Controller shall maintain a separate account for each governmental unit having deposits in this fund.

13.2.b Notwithstanding any other provisions of law, a local governmental official, with the consent of the governing body of that agency, having money in its treasury not required for immediate needs, may remit the money to the Treasurer for deposit in the Local Agency Investment Fund for the purpose of investment.

- 13.2.c** Notwithstanding any other provisions of law, an officer of any nonprofit corporation whose membership is confined to public agencies or public officials, or an officer of a qualified quasi-governmental agency, with the consent of the governing body of that agency, having money in its treasury not required for immediate needs, may remit the money to the Treasurer for deposit in the Local Agency Investment Fund for the purpose of investment.
- 13.2.d** Notwithstanding any other provision of law or of this section, a local agency, with the approval of its governing body, may deposit in the Local Agency Investment Fund proceeds of the issuance of bonds, notes, certificates of participation, or other evidences of indebtedness of the agency pending expenditure of the proceeds for the authorized purpose of their issuance. In connection with these deposits of proceeds, the Local Agency Investment Fund is authorized to receive and disburse moneys, and to provide information, directly with or to an authorized officer of a trustee or fiscal agency engaged by the local agency, the Local Agency Investment Fund is authorized to hold investments in the name and for the account of that trustee or fiscal agent, and the Controller shall maintain a separate account for each deposit of proceeds.
- 13.2.e** The local governmental unit, the nonprofit corporation, or the quasi-governmental agency has the exclusive determination of the length of time its money will be on deposit with the Treasurer.
- 13.2.f** The trustee or fiscal agent of the local governmental unit has the exclusive determination of the length of time proceeds from the issuance of bonds will be on deposit with the Treasurer.
- 13.2.g** The Local Investment Advisory Board shall determine those quasi-governmental agencies which qualify to participate in the Local Agency Investment Fund.
- 13.2.h** The Treasurer may refuse to accept deposits into the fund if, in the judgment of the Treasurer, the deposit would adversely affect the state's portfolio.
- 13.2.i** The Treasurer may invest the money of the fund in securities prescribed in Section 16430. The Treasurer may elect to have the money of the fund invested through the Surplus Money Investment Fund as provided in Article 4 (commencing with Section 16470) of Chapter 3 of Part 2 of Division 4 of Title 2.
- 13.2.j** Money in the fund shall be invested to achieve the objective of the fund, that is to realize the maximum return consistent with safe and prudent treasury management.
- 13.2.k** All instruments of title of all investments of the fund shall remain in the Treasurer's vault or be held in safekeeping under control of the Treasurer in any federal reserve bank, or any branch thereof, or the Federal Home Loan Bank of San Francisco, with any trust company, or the trust department of any state or national bank.
- 13.2.l** Immediately at the conclusion of each calendar quarter, all interest earned and other increment derived from investments shall be distributed by the Controller to the contributing governmental units or trustees or fiscal agents, nonprofit corporations, and quasi-governmental agencies in amounts directly proportionate to the respective amounts deposited in the Local Agency Investment fund and the length of time the amounts remained therein. An amount equal to the reasonable costs incurred in carrying out the provisions of this section, not to exceed a maximum of one-half of one percent of the earnings of this fund, shall be deducted from the earnings prior to distribution. The amount of this deduction shall be

credited as reimbursements to the state agencies having incurred costs in carrying out the provisions of this section.

13.2.m The Treasurer shall prepare for distribution a monthly report of investments made during the preceding month.

14.0 PORTFOLIO MANAGEMENT ACTIVITY

14.1 Passive Portfolio Management:

(See Section 6.0., General Strategy)

14.2 Competitive Bidding:

Investments will be purchased in the most cost effective and efficient manner by using a competitive bidding process for the purchase of securities. Competitive bidding is required from a pre-approved list of broker/dealers on all investment transactions except for new issue securities.

14.3 Reviewing and Monitoring of the Portfolio:

Monthly reports will review portfolio investments to ensure they are kept track of in a timely manner. The reports will also monitor the County Treasurer's investment practices and the results of such practices.

14.4 Portfolio Adjustments:

Certain actions may be taken if the portfolio becomes out of compliance. For instance, should a concentration limitation be exceeded due to an incident such as a fluctuation in portfolio size, the affected securities may be held to maturity to avoid losses; however, the County Treasurer may choose to rebalance the portfolio earlier to bring it back into compliance if the portfolio will not suffer any losses for selling the investment prior to maturity.

14.5 Performance Standards:

The investment portfolio will be managed in accordance with the parameters specified within this Policy. The portfolio should obtain a market average rate of return during a market/economic environment of stable interest rates. A series of appropriate benchmarks shall be established against which portfolio performance shall be compared on a regular basis.

15.0 REPORTING

15.1 Methodology: The County Treasurer shall prepare an investment report at least quarterly, including a management summary that provides an analysis of the status of the current investment portfolio and transactions made over the last quarter. This management summary will be prepared in a manner which will allow the County Treasurer to ascertain whether investment activities during the reporting period have conformed to the investment policy. The report shall be provided to the Chief Administrative Officer, the County Auditor, the Board of Supervisors, Treasury Oversight Committee and any pool participants [Government Code 27133(e), and 53646(b)]. The report will include the following:

1. The type of investment, issuer, date of maturity, par and dollar amount invested on all securities, investments and moneys held by the County Treasurer
2. A description of any of the local agency's funds, investments, or programs that are under the management of contracted parties, including lending programs.

3. A current market value as of the date of the report of all securities held by the local agency, and under management of any outside party that is not also a local agency or the State of California Local Agency Investment Fund, and the source of this same valuation.
 4. A statement that the portfolio is in compliance with the investment policy, or the manner in which the portfolio is not in compliance.
 5. A statement denoting the ability of the County Treasurer to meet its pool's expenditure requirements for the next six months, or an explanation as to why sufficient money shall, or may, not be available.
 6. Listing of individual securities by type and maturity date held at the end of the reporting period.
 - A. PLEDGE REPORT: Any securities that are pledged or loaned for any purpose shall be reported in the Quarterly Investment Report. The transaction detail will be provided, including purpose, beginning and termination dates and all parties to the contract. The security descriptions as to type, name, maturity date, coupon rate, CUSIP and other material information will be included.
 - B. REVERSE REPURCHASE AGREEMENTS REPORT: All reverse repurchase agreements entered into, whether active or inactive by the end of each quarter, shall be reported in the Treasurer's Quarterly Investment Report.
 7. Realized and unrealized gains or losses resulting from appreciation or depreciation by listing the cost and market value of securities over one-year duration that are not intended to be held until maturity.
 8. Average maturity and duration of portfolio on investments as well as the yield to maturity of the portfolio as compared to applicable benchmarks.
 9. Percentage of the total portfolio which each type of investment represents.
 10. Whatever additional information or data may be required by the legislative body of the local agency.
- 15.2 Marking to Market:** The market value of the portfolio shall be calculated at least quarterly and a statement of the market value of the portfolio shall be issued at least quarterly. This will ensure that review of the investment portfolio, in terms of value and price volatility, has been performed on a regular basis.

16.0 COMPENSATION

In accordance with Government Code §§27013 and 53684, the County Treasurer will charge all pool participants for administrative and overhead costs. Costs include, but are not limited to, employee salaries and benefits, portfolio management, bank and custodial fees, software maintenance fees and other indirect costs incurred from handling and managing funds. In addition, when applicable, the costs associated with the Treasury Oversight provisions of Government Code §§ 27130-27137 shall be included as administrative costs. Costs will be deducted from interest earnings on the pool prior to apportioning and payment of interest. The County Treasurer shall annually prepare a proposed budget providing a detailed itemization of all estimated costs which comprise the administrative fee charged in accordance with Government Code §27013. The administrative fee will be subject to change. Fees will be deducted from interest earnings.

- 16.1 Deduction of Costs:** The County Treasurer deducts actual costs and makes any adjustments from the interest earning and apportions the remaining earnings to all participants based on the positive average daily balance.

17.0 CALCULATING AND APPORTIONING POOL EARNINGS

The Investment Pool Fund is comprised of monies from multiple units of the County, agencies, school districts and special districts. Each entity has unique cash flow demands, which dictate the type of investments the Treasurer's Office may purchase. To ensure parity among the pool members when apportioning interest earnings, the following procedures have been developed:

1. Interest is apportioned on at least a quarterly basis in accordance with Government Code §53684.
2. Interest is apportioned to pool participants based on the participant's average daily fund balance and the total average daily balance of deposits in the investment pool.
3. Interest is calculated on an accrual basis for all investments in the County Treasurer's investment pool and reported to the Auditor-Controller for distribution into the funds of the participants.
4. Specific fee schedules are as follow:
 - A. **Regular and Routine Investments²**

\$20 per investment transaction; i.e., \$20 at placement and \$20 at maturity.

.00333 of interest income; i.e., \$3.33 per \$1,000 of interest income.

The above is charged quarterly by journal entry.
 - B. **Special Reports and Research:** Actual staff time and materials.
 - C. **Special Bank Transactions:** Actual bank fee schedule, staff time and materials.
5. Negative average daily fund balance will be charged interest at the rate of interest that is being apportioned.

18.0 DEPOSITS AND WITHDRAWALS IN THE TREASURY

18.1 Deposit by Voluntary Participants

Following are the terms and conditions for deposit of funds for investment purposes by voluntary participants, i.e. entities that are not legally required to deposit their funds in the County Treasury.

- 18.1.a** Resolution by the County Board of Supervisors authorizing the acceptance of outside participants by the County Treasury.
- 18.1.b** Resolution by the legislative or governing body of the local agency (voluntary participant) authorizing the investment of funds pursuant to Government Code 53684.
- 18.1.c** Treasury investments will be directed transactions. For each transaction, the local agency (voluntary participant) must indicate the fund source, the amount to be invested and the duration of the investment.

18.2 Withdrawal Request

The Treasurer's Office has established the Withdrawal of Funds Policy for all Treasury Investment Pool participants who seek to withdraw funds from the County Treasury Investment

² Applies to directed investments as described in Section 6.2 of the Policy.

Pool for various purposes. In accordance with California State Government Code Section 27136, all participants having funds on deposit in the Pool and seeking to withdraw their funds, shall first submit a formal written request to the County Treasurer. The County Treasurer shall evaluate the withdrawal proposals of all Pool participants upon receipt of the written requests. The evaluation process may take up to 30 days. The County Treasurer reserves the right to reject any request for withdrawal if it is in the Treasurer's opinion after thorough evaluation, that the withdrawal will violate applicable laws and/or governing documents, compromise Treasurer's fiduciary responsibility, adversely impact the stability of the Pool, or harm the interests of any Pool Participant. Such rejection shall prevent the withdrawal of the funds.

Typically, participants make withdrawals for the following two reasons: a) regular operations and b) investing or depositing funds outside the Pool in accordance with California State Government Code Section 27136 (a). The County Treasurer seeks to honor all written withdrawal requests for regular operating purposes that are approved by the County Auditor-Controller's Office in a timely fashion. However, the County Treasurer recognizes that occasionally the Pool participants may request large amounts in withdrawals to cover unexpected operational needs. To accommodate such withdrawals and allow for adequate time for adjustments to the liquidity position of the Pool, the County Treasurer expects all Pool Participants to submit their written requests within the following timeframes:

- i) Withdrawals of Up to \$1 million – prior to 8:00 a.m. for same day disbursement
- ii) Withdrawals of between \$1 million to \$10 million – 1 business day in advance of disbursement
- iii) Withdrawals of more than \$10 million – 3 business day in advance of disbursement

Withdrawals of investment deposits from the County Treasury Investment Pool by any Pool participant shall coincide with investment maturities and/or authorized sale of securities by authorized personnel of the Pool Participant. Except for funds in the California State Local Agency Investment Fund, a five-business-days notification may be required when authorized sale of securities is involved. In the event that the Treasurer must liquidate investments in order to honor the withdrawal request, the Participant who requests the withdrawal shall be subject to all expenses associated with the liquidation, including, but not limited to loss of principal and interest income, withdrawal penalties, and associated fees.

To maintain full fiduciary responsibility for investment and administration of the Pool, the County Treasurer shall NOT permit statutory participants to withdraw funds from and subsequently deposit the funds outside the Pool for the purpose of investments without prior approval of the County Treasurer. As permitted by the Government Code Section 53635, upon request the County Treasurer may enter into an investment agreement with a third party investment manager on behalf of statutory participants. However, the funds shall remain in the Pool during the entire agreement period under the care of the custodian bank retained by the County Treasurer.

Voluntary participants may withdraw funds from and subsequently deposit the funds outside the Pool for investment purposes upon the County Treasurer's approval. However, such withdrawals shall be made for the entire amount of the participant's funds deposited in the Pool. Upon completion of such withdrawals, the voluntary participants will no longer be able to participate in the Pool or receive further services from the County Treasurer's Office. NO partial withdrawals from the Pool for investment purposes are permitted.

Please refer to Withdrawal of Funds Policy, which is maintained as a separate document, for detailed guidelines and procedures.

19.0 TEMPORARY BORROWING OF POOL FUNDS

Section 6 of Article XVI of the California Constitution provides in part that "the treasurer of any city, county, or city and county shall have power and the duty to make such temporary transfers from the funds in custody as may be necessary to provide funds for meeting the obligations incurred for maintenance purposes by city, county, city and county, district, or other political subdivision whose funds are in custody and are paid out solely through the treasurer's office."

The County Auditor-Controller and the County Treasurer shall make a temporary transfer of funds to the requesting agency, not to exceed 85% of the amount of money which will accrue to the agency during the fiscal year, provided that the amount of such transfer has been determined by the County Auditor-Controller to be transferable under the constitutional and statutory provisions cited in Article XVI and has been certified by the County Treasurer-Tax Collector to be available. Such temporary transfer of funds shall not be made prior to the first day of the fiscal year nor after the last Monday in April of the current fiscal year.

20.0 INVESTMENT OF BOND PROCEEDS

The County Treasurer shall invest bond proceeds using the standards of this Investment Policy. The bond proceeds will be invested in securities permitted by the bond documents. If the bond documents are silent, the bond proceeds will be invested in securities permitted by this Policy.

21.0 DISASTER RECOVERY PLAN

The Contra Costa County Treasurer's Disaster Recovery Plan includes critical phone numbers and addresses of key personnel as well as active bankers and brokers/dealers. Laptops, tablets, smart phones, and other equivalent electronic devices shall be issued to key personnel for communicating between staff, bank and broker/dealers. Copies of the plan shall be distributed to the investment staff: Assistant County Treasurer, the Treasurer's Investment Officer, and the Investment Operations Analyst. The investment staff shall interact with one another by home phone, cell phone, or e-mail to decide an alternate location from which to conduct daily operations.

In the event investment staff is unable to conduct normal business operations, the custodial bank will automatically sweep all uninvested cash into an interest bearing account at the end of the business day. Until normal business operations have been restored, the limitations on the size of an individual issuer and the percentage restrictions by investment type would be allowed to exceed those approved in this investment policy.

22.0 POLICY CONSIDERATIONS

22.1 Exemption

Any investment currently held that does not meet the guidelines of this policy shall be exempted from the requirements of this policy. At maturity or liquidation, such monies shall be reinvested only as provided by this policy.

22.2 Amendments

This policy shall be reviewed on an annual basis. Any changes must be approved by the County Treasurer and any other appropriate authority.

AUTHORIZATION FOR LAIF INVESTMENTS

C.67

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA

and for Special Districts, Agencies and Authorities Governed by the Board

Adopted this Resolution on 04/03/2012 by the following vote:

AYES: ☒ 4 John Gioia
Mary N. Piepho
Karen Mitchoff
Federal D. Glover
NOES: ☐
ABSENT: ☒ 1 Gayle B. Uilkema
ABSTAIN: ☐
RECUSE: ☐



Resolution No. 2012/129

Resolution of Contra Costa County an in accordance with California Government Code Section 16429.1 Authorizing Investment of Monies in the Local Agency Investment Fund (Account #99-07-000)

WHEREAS, Pursuant to Chapter 730 of the statutes of 1976 Section 16429.1 was added to the California Government Code to create a Local Agency Investment Fund in the State Treasury for the deposit of money of a local agency for purposes of investment by the State Treasurer; and

WHEREAS, the Board of Supervisors does hereby find that the deposit and withdrawal of money in the Local Agency Investment Fund in accordance with the provisions of Section 16429.1 of the Government Code for the purpose of investment as stated therein as in the best interests of the CONTRA COSTA COUNTY.

NOW THEREFORE, BE IT RESOLVED, that the Board of Supervisors does hereby authorize the deposit and withdrawal of CONTRA COSTA COUNTY monies in the Local Agency Investment Fund in the State Treasury in accordance with the provisions of Section 16429.1 of the Government Code for the purpose of investment as stated therein, and verification by the State Treasurer's Office of all banking information provided in that regard.

BE IT FURTHER RESOLVED, that the following CONTRA COSTA COUNTY officers or their successors in office shall be authorized to order the deposit or withdrawal of monies in the Local Agency Investment Fund:

Russell V. Watts,
Treasurer-Tax Collector

(SIGNATURE)

Brice Bins,
Chief Deputy Treasurer-Tax Collector

(SIGNATURE)

Belinda Zhu,
Assistant Treasurer

(SIGNATURE)

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

Contact: Brice Bins, 925-957-2848

ATTESTED: April 3, 2012

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By:
David J. Twa, Deputy

cc:

APPROVED BROKERS

ABN AMRO, Incorporated
Alamo Capital
Bank of America Merrill Lynch
Bank of the West
Barclays Capital, Incorporated
California Arbitrage Management Program
Citigroup Global Markets
Credit Suisse
Daiwa Capital Markets America Inc.
Goldman, Sachs & Company
Government Perspectives
JP Morgan Securities LLC
Penserra Securities LLC
Prudential Securities, Incorporated
Public Financial Management, Incorporated
RBC Capital Markets, LLC
UBS Financial Services, Inc.
UnionBanc Investment Services
Wells Fargo Securities

Note: The County Treasury will not be limited to the above list. Others will be included as long as all conditions for authorized brokers and/or dealers set forth in this policy are met. Additionally, deletions and additions are based on many factors including the maintenance of required credit quality as rated by Standard and Poor's, Moody's and other recognized rating services and reliable financial sources.

APPROVED ISSUERS

Abbey National NA	PepsiCo, Inc.
American Honda Finance	PNC Bank NA
Australia & New Zealand Banking Group	Prudential
Bank of Montreal	Procter & Gamble Company
Bank of Nova Scotia	Rabobank Nederland New York
BNP Paribas	Royal Bank of Canada
Chevron	Societe Generale North America
Coca-Cola Co	Standard Chartered Bank
Commonwealth Bank of Australia	State Street Bank & Trust Co
Credit Agricole SA	Svenska Handelsbanken AB
Deere & Company	Toronto-Dominion Bank
Deutsche Bank Financial LLC	Toyota Motor Credit Corp
Exxon Mobil	UBS Financial
General Electric Capital Corp	Union Bank
General Electric Co	US Bankcorp
JPMorgan Chase & Co	Walmart
John Deere Capital Corporation	Walt Disney Company
Johnson & Johnson	Wells Fargo Bank NA
McDonald's Corporation	Westpac Banking Corp
National Australia Bank	Westamerica Bank
Nestle Capital Corp	
Nordea Bank AB	

Note: The County Treasury will not be limited to the above list in making investments. Other issuers may be considered as the County Treasury will perform additional due diligence on each investment decision. The list does not reflect the actual portfolio holdings managed by the County Treasury.

APPROVED PRIMARY DEALERS

Bank of Nova Scotia, New York Agency
BMO Capital Markets Corp.
BNP Paribas Securities Corp.
Barclays Capital Inc.
Cantor Fitzgerald & Co.
Citigroup Global Markets, Inc.
Credit Suisse Securities (USA) LLC
Daiwa Capital Markets America Inc.
Deutsche Bank Securities Inc.
Goldman, Sachs & Co.
HSBC Securities (USA) Inc.
Jefferies & Company, Inc.
J.P. Morgan Securities, Inc.
Merrill Lynch, Pierce, Fenner & Smith Incorporated
Mizuho Securities USA Inc.
Morgan Stanley & Co. Incorporated
Nomura Securities Inc.
RBC Capital Markets, LLC
RBS Securities Inc.
SG Americas Securities, LLC
TD Securities (USA) LLC
UBS Securities LLC.

Note: The above list consists of primary dealers that serve as trading counterparties of the Federal Reserve Bank of New York in its implementation of monetary policy. These primary dealers are required to participate in all auctions of U.S. government debt. Treasury Staff will perform additional due diligence on each investment decision, and hence, may or may not use the primary dealers listed above.

GLOSSARY OF TERMS

ACCRUED INTEREST The accumulated interest due on a bond as of the last interest payment made by the issuer.

AGENCY A debt security issued by a federal or federally sponsored agency. Federal agencies are backed by the full faith and credit of the U.S. Government. Federally sponsored agencies (FSAs) are backed by each particular agency with a market perception that there is an implicit government guarantee. An example of federal agency is the Government National mortgage Association (GNMA). An example of a FSA is the Federal National Mortgage Association (FNMA).

AMORTIZATION The systematic reduction of the amount owed on a debt issue through periodic payments of principal.

AVERAGE LIFE The average length of time that an issue of serial bonds and/or term bonds with a mandatory sinking fund feature is expected to be outstanding.

BANKERS ACCEPTANCES A time bill of exchange drawn on and accepted by a commercial bank to finance the exchange of goods. When a bank “accepts” such a bill, the time draft becomes, in effect, a predated, certified check payable to the bearer at some future specified date. The commercial bank assumes primary liability once the draft is accepted.

BASIS POINT A unit of measurement used in the valuation of fixed-income securities equal to 1/100 of one percent of yield. For example, if interest rates increase from 8.25% to 8.50%, the difference is referred to as a 25-basis-point increase.

BENCHMARK A comparative base for measuring the performance or risk tolerance of the investment portfolio. A benchmark should represent a close correlation to the level of risk and the average duration of the portfolio’s investment.

BID The indicated price at which a buyer is willing to purchase a security or commodity.

BLUE SKY LAWS Common term for state securities law, which vary from state to state. Generally refers to provision related to prohibitions against fraud, dealer and broker regulations and securities registration.

BOND A bond is essentially a loan made by an investor to a division of the government, a government agency or a corporation. The bond is a promissory note to repay the loan in full at the end of a fixed time period. The date on which the principal must be repaid is called the maturity date or maturity. In addition, the issuer of the bond, that is the agency or corporation receiving the loan proceeds and issuing the promissory note, agrees to make regular payments of interest at a rate initially stated on the bond. Bonds are rated according to many factors, including cost, degree of risk and rate of income.

BOOK VALUE Refers to value of a held security as carried in the records of an investor. May differ from current market value of the security.

BROKER/DEALER Any person engaged in the business of effecting transaction in securities in this state for the account of others or for her/his own account. Broker/dealer also includes a person engaged in

the regular business of issuing or guaranteeing options with regard to securities not of her/his own issue.

CALLABLE BOND A bond issue in which all or part of its outstanding principal amount may be redeemed before maturity by the issuer under specified conditions.

CALL PRICE The price at which an issuer may redeem a bond prior to maturity. The price is usually at a slight premium to the bond's original issue price to compensate the holder for the loss of income and ownership.

CALL RISK The risk to the bondholder that a bond may be redeemed prior to maturity.

CASH SALE/PURCHASE A transaction which calls for delivery and payment of securities on the same day that the transaction is initiated.

CERTIFICATES OF DEPOSIT (CD) Certificates issued against funds deposited in a commercial bank for a definite period of time and earning a specified rate of return. They are issued in two forms, negotiable and non-negotiable.

CLEAN UP CALL An action of a debt instrument issuer requiring early redemption of the instrument to reduce its own administrative expenses. This normally occurs when the principal outstanding is significantly reduced to a small amount, e.g., less than 10% of the original issue.

COLLATERALIZATION Process by which a borrower pledges securities, property, or other deposits for the purpose of securing the repayment of a loan and/or security.

COMMERCIAL PAPER Short-term, unsecured promissory notes issued in either registered or bearer form and usually backed by a line of credit with a bank. Maturities do not exceed 270 days and generally average 30-45 days.

CONVEXITY A measure of a bond's price sensitivity to changing interest rates. A high convexity indicates greater sensitivity of a bond's price to interest rate changes.

COUPON RATE The annual rate of interest received by an investor from the issuer of certain types of fixed-income securities. Also known as the "interest rate."

CREDIT QUALITY The measurement of the financial strength of a bond issuer. This measurement helps an investor to understand an issuer's ability to make timely interest payments and repay the loan principal upon maturity. Generally, the higher the credit quality of a bond issuer, the lower the interest rate paid by the issuer because the risk of default is lower. Credit quality ratings are provided by nationally recognized rating agencies.

CREDIT RISK The risk to an investor that an issuer will default in the payment of interest and/or principal on a security.

CURRENT YIELD (CURRENT RETURN) A yield calculation determined by dividing the annual interest received on a security by the current market price of that security.

CUSIP NUMBERS CUSIP is an acronym for Committee on Uniform Security Identification Procedures. CUSIP numbers are identification numbers assigned each maturity of a security issue and usually printed

on the face of each individual security in the issue. The CUSIP numbers are intended to facilitate identification and clearance of securities.

DELIVERY VERSUS PAYMENT (DVP) A type of securities transaction in which the purchaser pays for the securities when they are delivered either to the purchaser or his/her custodian.

DERIVATIVE SECURITY Financial instrument created from, or whose value depends upon, one or more underlying assets or indexes of asset values.

DISCOUNT The amount by which the par value of a security exceeds the price paid for the security.

DIVERSIFICATION A process of investing assets among a range of security types by sector, maturity, and quality rating.

DURATION A measure of the timing of the cash flows, such as the interest payments and the principal repayment, to be received from a given fixed-income security. This calculation is based on three variables: term to maturity, coupon rate, and yield to maturity. The duration of a security is a useful indicator of its price volatility for given changes in interest rates.

EARNINGS APPORTIONMENT The quarterly interest distribution of the Pool Participants where the actual investment costs incurred by the Treasurer are deducted from the interest earnings of the Pool

FAIR VALUE The amount at which an investment could be exchanged in a current transaction between willing parties, other than in a forced or liquidation sale.

FEDERAL FUNDS (FED FUNDS) Funds placed in Federal Reserve banks by depository institutions in excess of current reserve requirements. These depository institutions may lend fed funds to each other overnight or on a longer basis. They may also transfer funds among each other on a same-day basis through the Federal Reserve banking system. Fed funds are considered to be immediately available funds.

FEDERAL FUNDS RATE Interest rate charged by one institution lending federal funds to the other.

FEDERAL OPEN MARKET COMMITTEE (FOMC) This committee sets Federal Reserve guidelines regarding purchases and sales of government securities in the open market as a means of influencing the volume of bank credit and money.

FIDUCIARY An individual who holds something in trust for another and bears liability for its safekeeping.

FLOATING RATE NOTE A debt security whose interest rate is reset periodically (monthly, quarterly, annually) and is based on a market index (e.g., Treasury bills, LIBOR, etc.).

FUTURES Commodities and other investments sold to be delivered at a future date.

GOVERNMENT SECURITIES An obligation of the U.S. government, backed by the full faith and credit of the government. These securities are regarded as the highest quality of investment securities available in the U.S. securities market. See "Treasury Bills, Notes and Bonds."

INTEREST RATE See "Coupon Rate."

INTERNAL CONTROLS An internal control structure designed to ensure that the assets of the Treasurer's Investment Pool are protected from loss, theft, or misuse. The internal control structure is designed to provide reasonable assurance that these objectives are met. The concept of reasonable assurance recognizes that (1) the cost of a control should not exceed the benefits likely to be derived and (2) the valuation of costs and benefits requires estimates and judgments by management. Internal controls should address the following points:

1. Control of collusion—Collusion is a situation where two or more employees are working in conjunction to defraud their employer.
2. Separation of transaction authority from accounting and record keeping—By separating the person who authorizes or performs the transaction from the people who record or otherwise account for the transaction, a separation of duties is achieved.
3. Custodial safekeeping—Securities purchased from a bank or dealer including appropriate collateral (as defined by state law) shall be placed with an independent third party for custodial safekeeping.
4. Avoidance of physical delivery securities—Book-entry securities are much easier to transfer and account for since actual delivery of a document never takes place. Delivered securities must be properly safeguarded against loss or destruction. The potential for fraud and loss increases with physically delivered securities.
5. Clear delegation of authority to subordinate staff members—Subordinate staff members must have a clear understanding of their authority and responsibilities to avoid improper actions. Clear delegation of authority also preserves the internal control structure that is contingent on the various staff positions and their respective responsibilities.
6. Written confirmation of transactions for investments and wire transfers—Due to the potential for error and improprieties arising from telephone and electronic transactions, all transactions should be supported by written communications and approved by the appropriate person. Written communications may be via fax if on letterhead and if the safekeeping institution has a list of authorized signatures.
7. Development of a wire transfer agreement with the lead bank and third-party custodian—The designated official should ensure that an agreement will be entered into and will address the following points: controls, security provisions, and responsibilities of each party making and receiving wire transfers.

INVERSE FLOATERS An adjustable interest rate note keyed to various indices such as LIBOR, commercial paper, federal funds, treasuries and derivative structures. The defined interest rate formula is the opposite or inverse of these indices. Interest rates and pay dates may reset daily, weekly, monthly, quarterly, semi-annually or annually.

INVERTED YIELD CURVE A chart formation that illustrates long-term securities having lower yields than short-term securities. This configuration usually occurs during periods of high inflation coupled with low levels of confidence in the economy and a restrictive monetary policy.

INVESTMENT COMPANY ACT OF 1940 Federal legislation which sets the standards by which investment companies, such as mutual funds, are regulated in the areas of advertising, promotion, performance reporting requirements, and securities valuations.

INVESTMENT POLICY A concise and clear statement of the objectives and parameters formulated by the investor or investment manager for a portfolio of investment securities.

INVESTMENT-GRADE OBLIGATIONS An investment instrument suitable for purchase by institutional investors under the prudent person rule. Investment-grade is restricted to those obligations rated BBB or higher by a rating agency.

LIQUIDITY Usually refers to the ability to convert assets (such as investments) into cash.

LOCAL AGENCY INVESTMENT FUND (LAIF) The State of California investment pool in which money of local agencies is pooled as a method for managing and investing local funds.

MAKE WHOLE CALL A type of call provision on a bond allowing the borrower to pay off remaining debt early. The borrower has to make a lump sum payment derived from a formula based on the net present value of future coupon payments that will not be paid because of the call.

MARK TO MARKET Valuing the inventory of held securities at its current market value.

MARKET RISK The risk that the value of a security will rise or decline as a result of changes in market conditions.

MARKET VALUE Price at which a security can be traded in the current market.

MASTER REPURCHASE AGREEMENT A written contract covering all future transactions between the parties to repurchase-reverse repurchase agreements that establishes each party's rights in the transaction. A master agreement will often specify, among other things, the right of the buyer-lender to liquidate the underlying securities in the event of default by the seller-borrower.

MATURITY The date upon which the principal of a security becomes due and payable to the holder.

MEDIUM-TERM NOTES (MTNS) Corporate debt obligations continuously offered in a broad range of maturities. MTNs were created to bridge the gap between commercial paper and corporate bonds. The key characteristic of MTNs is that they are issued on a continuous basis.

MONEY MARKET INSTRUMENTS Private and government obligations of one year or less.

MONEY MARKET MUTUAL FUNDS Mutual funds that invest solely in money market instruments (short-term debt instruments, such as Treasury bills, commercial paper, banker's acceptances, repos and federal funds).

MUTUAL FUND An investment company that pools money and can invest in a variety of securities, including fixed-income securities and money market instruments. Mutual funds are regulated by the Investment Company Act of 1940 and must abide by the following Securities and Exchange Commission (SEC) disclosure guidelines:

1. Report standardized performance calculations.
2. Disseminate timely and accurate information regarding the fund's holdings, performance, management and general investment policy.
3. Have the fund's investment policies and activities supervised by a board of trustees, which are independent of the adviser, administrator or other vendor of the fund.

4. Maintain the daily liquidity of the fund's shares.
5. Value their portfolios on a daily basis.
6. Have all individuals who sell SEC-registered products licensed with a self-regulating organization (SRO) such as the National Association of Securities Dealers (NASD).
7. Have an investment policy governed by a prospectus which is updated and filed by the SEC annually.

MUTUAL FUND STATISTICAL SERVICES Companies that track and rate mutual funds, e.g., IBC/Donoghue, Lipper Analytical Services and Morningstar.

NATIONAL ASSOCIATION OF SECURITIES DEALERS (NASD) A self-regulatory organization (SRO) of brokers and dealers in the over-the counter securities business. Its regulatory mandate includes authority over firms that distribute mutual fund shares as well as other securities.

NEGOTIABLE CERTIFICATES OF DEPOSIT May be sold by one holder to another prior to maturity. This is possible because the issuing bank agrees to pay the amount of the deposit plus interest earned to the bearer of the certificate at maturity.

NET ASSET VALUE The market value of one share of an investment company, such as a mutual fund. This figure is calculated by totaling a fund's assets which includes securities, cash, and any accrued earnings, subtracting this from the fund's liabilities and dividing this total by the number of shares outstanding. This is calculated once a day based on the closing price for each security in the fund's portfolio. (See below)

$$[(\text{Total assets}) - (\text{Liabilities})]/(\text{Number of shares outstanding})$$

NO LOAD FUND A mutual fund which does not levy a sales charge on the purchase of its shares.

NOMINAL YIELD The stated rate of interest that a bond pays its current owner, based on par value of the security. It is also known as the "coupon," "coupon rate," or "interest rate."

NON-NEGOTIABLE CERTIFICATES OF DEPOSIT For public funds, these certificates are collateralized and are not money market instruments since they cannot be traded in the secondary market. They are issued on a fixed-maturity basis and often pay higher interest rates than are permissible on other savings or time-deposit accounts.

OFFER The price of a security at which a person is willing to sell.

OPTION A contract that provides the right, but not the obligation, to buy or to sell a specific amount of a specific security within a predetermined time period. A call option provides the right to buy the underlying security. A put option provides the right to sell the underlying security. The seller of the contracts is called the writer.

PAR Face value of principal value of a bond, typically \$1,000 per bond.

PAR VALUE The stated or face value of a security expressed as a specific dollar amount marked on the face of the security; the amount of money due at maturity. Par value should not be confused with market value.

POSITIVE YIELD CURVE A chart formation that illustrates short-term securities having lower yields than long-term securities.

PREMIUM The amount by which the price paid for a security exceeds par value, generally representing the difference between the nominal interest rate and the actual or effective return to the investor.

PRIME RATE A preferred interest rate charged by commercial banks to their most creditworthy customers. Many interest rates are keyed to this rate.

PRINCIPAL The face value or par value of a debt instrument. Also may refer to the amount of capital invested in a given security.

PROSPECTUS A legal document that must be provided to any prospective purchaser of a new securities offering registered with the SEC. This can include information on the issuer, the issuer's business, the proposed use of proceeds, the experience of the issuer's management, and certain certified financial statements.

PRUDENT PERSON RULE An investment standard outlining the fiduciary responsibilities of public funds investors relating to investment practices.

RANGE NOTES A security whose rate of return is pegged to an index. The note defines the interest rate minimum or floor and the interest rate maximum or cap. An example of an index may be federal funds. The adjustable rate of interest is determined within the defined range of the funds.

RATE OF RETURN The yield obtainable on a security based on its purchase price or its current market price. This may be the amortized yield to maturity on a bond and the current income return.

REINVESTMENT RISK The risk that a fixed-income investor will be unable to reinvest income proceeds from a security holding at the same rate of return currently generated by that holding.

REPURCHASE AGREEMENT OR RP OR REPO An agreement consisting of two simultaneous transactions whereby the investor purchases securities from a bank or dealer and the bank or dealer agrees to repurchase the securities at the same price on a certain future date. The interest rate on a RP is that which the dealer pays the investor for the use of his funds. Reverse repurchase agreements are the mirror image of the RPs when the bank or dealer purchases securities from the investor under an agreement to sell them back to the investor.

REVERSE REPURCHASE AGREEMENT (REVERSE REPO) An agreement of one party to sell securities at a specified price to a second party and a simultaneous agreement of the first party to repurchase the securities at a specified price or at a specified later date.

RULE 2A-7 OF THE INVESTMENT COMPANY ACT Applies to all money market mutual funds and mandates such funds to maintain certain standards, including a 13-month maturity limit and a 90-day average maturity on investments, to help maintain a constant net asset value of one dollar (\$1.00).

SAFEKEEPING Holding of assets (e.g., securities) by a financial institution.

SECURITIES LENDING A transaction wherein the Treasurer's Pool transfers its securities to a broker/dealer or other entities for collateral which may be cash or securities and simultaneously agrees to return the collateral for the same securities in the future.

SERIAL BOND A bond issue, usually of a municipality, with various maturity dates scheduled at regular intervals until the entire issue is retired.

SETTLEMENT DATE The date used in price and interest computations, usually the date of delivery.

SINKING FUND Money accumulated on a regular basis in a separate custodial account that is used to redeem debt securities or preferred stock issues.

SLUGS An acronym for State and Local Government Series. SLUGS are special United States Government securities sold by the Secretary of the Treasury to states, municipalities and other local government bodies through individual subscription agreements. The interest rates and maturities of SLUGS are arranged to comply with arbitrage restrictions imposed under Section 103 of the Internal Revenue Code. SLUGS are most commonly used for deposit in escrow in connection with the issuance of refunding bonds.

STRIPS US Treasury acronym for "separate trading of registered interest and principal of securities." Certain registered Treasury securities can be divided into separate interest and principal components, which may then be traded as separate entities.

SWAP Generally refers to an exchange of securities, with essentially the same par value, but may vary in coupon rate, type of instrument, name of issuer and number of days to maturity. The purpose of the SWAP may be to enhance yield, to shorten the maturity or any benefit deemed by the contracting parties.

TERM BONDS Bonds comprising a large part or all of a particular issue which come due in a single maturity. The issuer usually agrees to make periodic payments into a sinking fund for mandatory redemption of term bonds before maturity.

TOTAL RETURN The sum of all investment income plus changes in the capital value of the portfolio. For mutual funds, return on an investment is composed of share price appreciation plus any realized dividends or capital gains. This is calculated by taking the following components during a certain time period: (Price Appreciation) + (Dividends paid) + (Capital gains) = Total Return

TREASURY SECURITIES Debt obligations of the United States Government sold by the Treasury Department in the form of bills, notes and bonds:

1. **Bills** Short-term obligations that mature in one year or less and are sold at a discount in lieu of paying periodic interest.
2. **Notes** Interest-bearing obligations that mature between one year and 10 years.
3. **Bonds** Interest-bearing long-term obligations that generally mature in 10 years or more.

UNIFORM NET CAPITAL RULE SEC Rule 15C3-1 outlining capital requirements for broker/dealers.

U.S. AGENCY OBLIGATIONS Federal agency or United States government-sponsored enterprise obligations, participants, or other instruments. The obligations are issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises.

U.S. TREASURY OBLIGATIONS Securities issued by the U.S. Treasury and backed by the full faith and credit of the United States. Treasuries are considered to have no credit risk and are the benchmark for

interest rates on all other securities in the U.S. and overseas. The Treasury issues both discounted securities and fixed coupon notes and bonds.

VOLATILITY A degree of fluctuation in the price and valuation of securities.

“VOLATILITY RISK” RATING A rating system to clearly indicate the level of volatility and other non-credit risks associated with securities and certain bond funds. The ratings for bond funds range from those that have extremely low sensitivity to changing market conditions and offer the greatest stability of the returns (“S1+” by S&P) to those that are highly sensitive with currently identifiable market volatility risk (“S6” by S&P).

WEIGHTED AVERAGE MATURITY (WAM) The average maturity of all the securities that comprise a portfolio. According to SEC rule 2a-7, the WAM for SEC registered money market mutual funds may not exceed 90 days and no one security may have a maturity that exceeds 397 days.

WHEN ISSUED (WI) A conditional transaction in which an authorized new security has not been issued. All “when issued” transactions are settled when the actual security is issued.

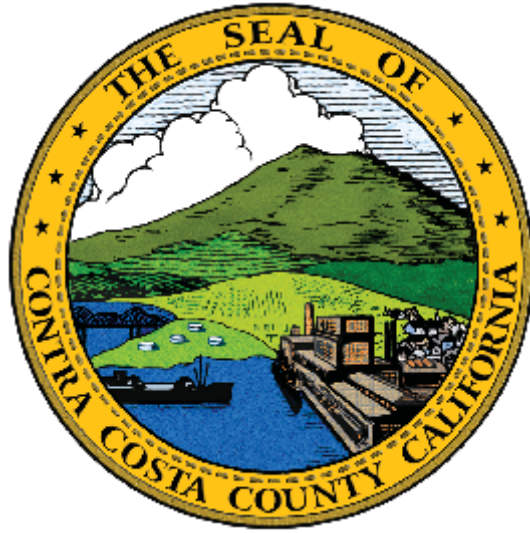
YIELD The current rate of return on an investment security generally expressed as a percentage of the security’s current price.

YIELD-TO-CALL (YTC) The rate of return an investor earns from a bond assuming the bond is redeemed (called) prior to its nominal maturity date.

YIELD CURVE A graphic representation that depicts the relationship at a given point in time between yields and maturity for bonds that are identical in every way except maturity. A normal yield curve may be alternatively referred to as a positive yield curve.

YIELD-TO-MATURITY The rate of return yielded by a debt security held to maturity when both interest payments and the investor’s potential capital gain or loss are included in the calculation of return.

ZERO-COUPON SECURITY A security that makes no periodic interest payments but instead is sold at a discount from its face value.



CONTRA COSTA COUNTY
TREASURER'S QUARTERLY INVESTMENT REPORT
AS OF SEPTEMBER 30, 2014

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EXECUTIVE SUMMARY

- The Treasurer's investment portfolio is in compliance with Government Code 53600 et. seq..
- The Treasurer's investment portfolio is in compliance with the Treasurer's current investment policy.
- The Treasurer's investment portfolio has no securities lending, reverse repurchase agreements or derivatives.
- As of 9/30/14, the fair value of the Treasurer's investment portfolio was 99.92% of the cost. More than 79 percent of the portfolio or over \$1.50 billion will mature in less than a year. Historical activities combined with future cash flow projections indicate that the County is able to meet its cash flow needs for the next six months.
- Treasurer's Investment Portfolio Characteristics

Par	\$1,885,619,775.50
Cost	\$1,888,745,655.45
Market Value	\$1,887,256,210.23
Weighted Yield to Maturity	0.42%
Weighted Average Days to Maturity	219 days
Weighted Duration	0.60 year

CONTRA COSTA COUNTY INVESTMENT POOL

As of September 30, 2014

<u>TYPE</u>	<u>PAR VALUE</u>	<u>COST</u>	<u>FAIR VALUE</u>	<u>PERCENT OF TOTAL COST</u>
A. Investments Managed by Treasurer's Office				
1. U.S. Treasuries (STRIPS, Bills, Notes)	\$31,055,000.00	\$31,983,989.73	\$31,641,653.65	1.69%
2. U.S. Agencies				
Federal Agriculture Mortgage Corporation	0.00	0.00	0.00	0.00%
Federal Home Loan Banks	85,994,857.14	86,106,479.51	86,026,218.92	4.56%
Federal National Mortgage Association	87,915,000.00	89,354,861.42	88,216,276.19	4.73%
Federal Farm Credit Banks	54,574,000.00	54,641,266.05	54,613,053.46	2.89%
Federal Home Loan Mortgage Corporation	83,394,000.00	83,705,081.67	83,281,284.62	4.43%
Municipal Bonds	6,620,000.00	6,888,245.85 ¹	6,888,245.85 ¹	0.36%
Subtotal	318,497,857.14	320,695,934.50	319,025,079.04	16.98%
3. Money Market Instruments				
Bankers Acceptances	0.00	0.00	0.00	0.00%
Repurchase Agreement	0.00	0.00	0.00	0.00%
Commercial Paper	542,922,000.00	542,369,412.76	542,776,997.66	28.72%
Negotiable Certificates of Deposit	335,995,000.00	335,996,294.90	336,099,287.50	17.79%
Medium Term Certificates of Deposit	4,025,000.00	4,021,780.00	4,021,780.00	0.21%
Money Market Accounts	565,318.32	565,318.32	565,318.32	0.03%
Time Deposit	3,335.77	3,335.77	3,335.77	0.00%
Subtotal	883,510,654.09	882,956,141.75	883,466,719.25	46.75%
4. Corporate Notes	99,382,000.00	99,518,495.26	99,604,998.63	5.27%
TOTAL (Section A.)	1,332,445,511.23	1,335,154,561.24	1,333,738,450.57	70.69%
B. Investments Managed by Outside Contractors				
1. Local Agency Investment Fund	210,721,641.58	210,721,641.58	210,759,842.04 ²	11.16%
2. Other				
a. EBRCS Bond	2,376,094.38	2,376,094.38	2,376,094.38	0.13%
b. Miscellaneous (BNY, CCFCU)	110,465.78	110,465.78	110,465.78	0.01%
c. Wells Capital Management	44,030,714.87	44,447,544.81	44,336,009.80	2.35%
d. CalTRUST (Short-Term Fund)	148,319,212.77	148,319,212.77	148,319,212.77	7.85%
Subtotal	194,836,487.80	195,253,317.74	195,141,782.73	10.34%
TOTAL (Section B.)	405,558,129.38	405,974,959.32	405,901,624.77	21.49%
C. Cash	147,616,134.89	147,616,134.89	147,616,134.89	7.82%
³GRAND TOTAL (FOR A , B, & C)	\$1,885,619,775.50	\$1,888,745,655.45	\$1,887,256,210.23	100.00%

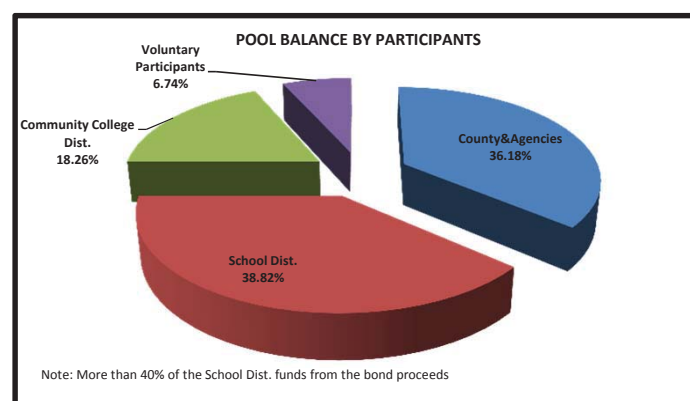
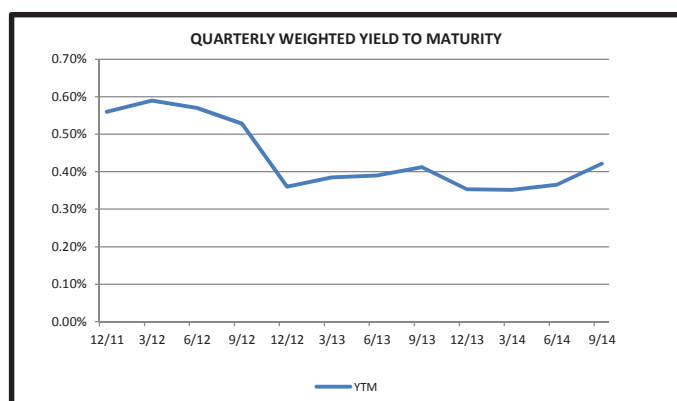
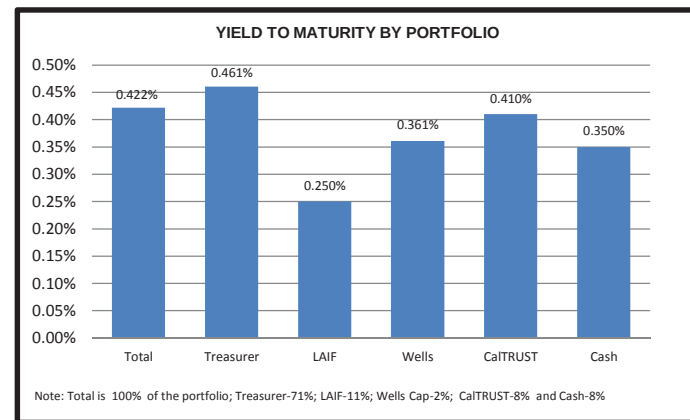
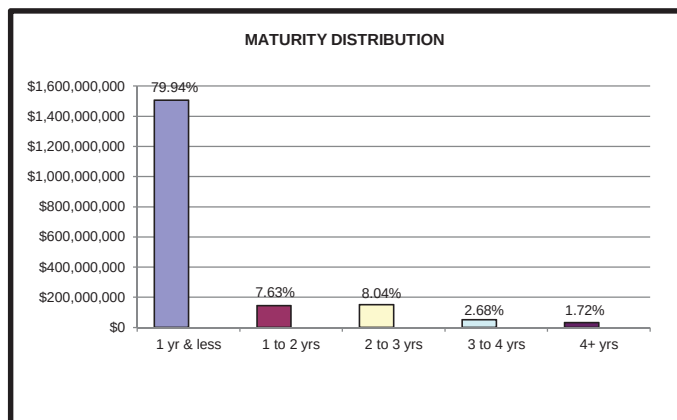
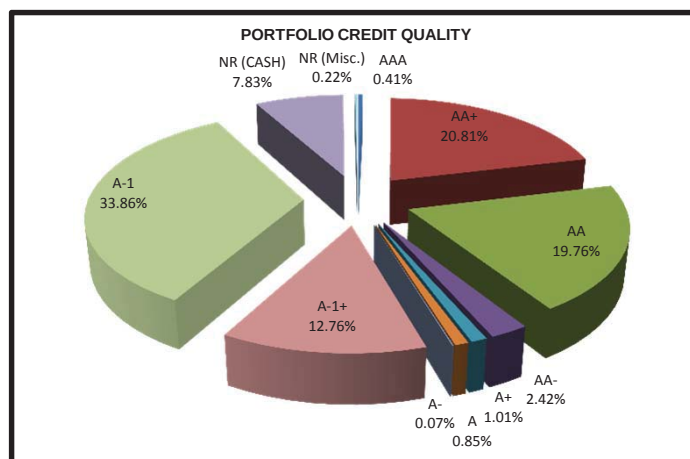
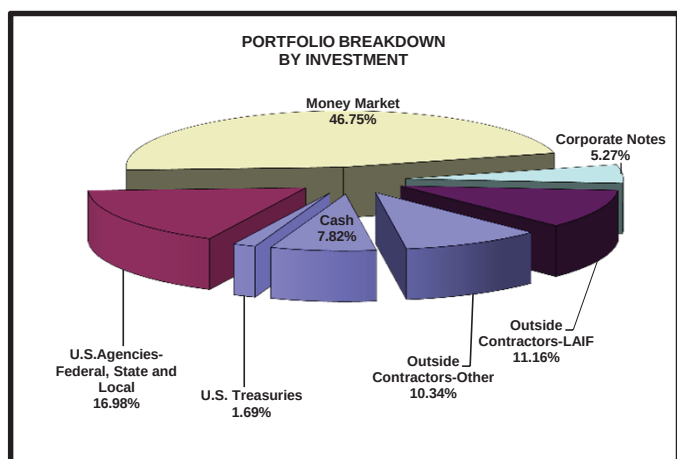
Notes:

1. Fair Value equals Cost less purchase interest

2. Estimated Fair Value

3. Does not include the Futuris Public Entity Trust of the Contra Costa Community College District Retirement Board of Authority

**CONTRA COSTA COUNTY
INVESTMENT POOL
AT A GLANCE**
AS OF SEPTEMBER 30, 2014

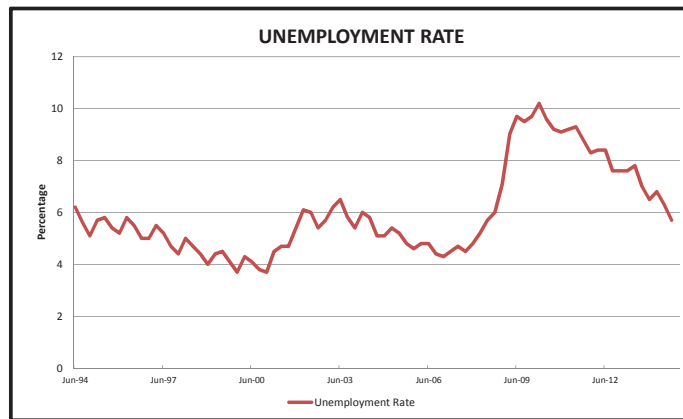
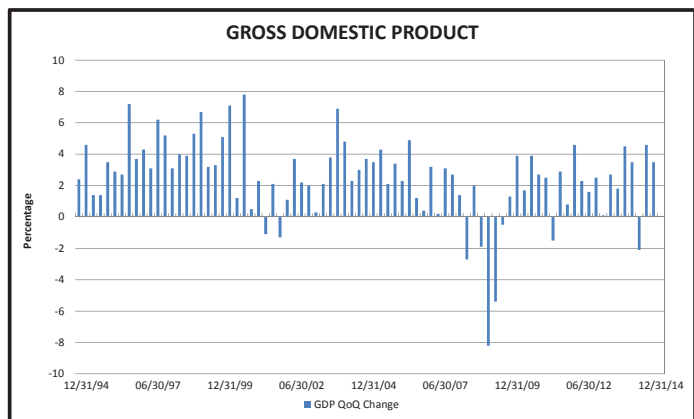
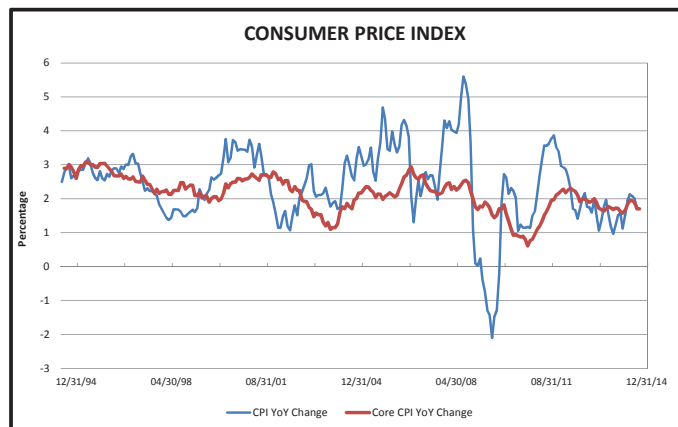
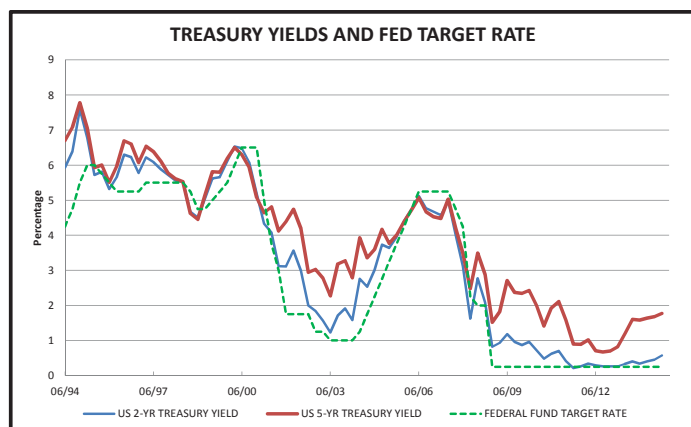


NOTES TO INVESTMENT PORTFOLIO SUMMARY AND AT A GLANCE AS OF SEPTEMBER 30, 2014

1. All report information is unaudited but due diligence was utilized in its preparation.
2. There may be slight differences between the portfolio summary page and the attached exhibits and statements for investments managed by outside contractors or trustees. The variance is due to the timing difference in recording transactions associated with outside contracted parties during interim periods and later transmitted to the appropriate county agency and/or the Treasurer's Office. In general, the Treasurer's records reflect booked costs at the beginning of a period.
3. All securities and amounts included in the portfolio are denominated in United States Dollars.
4. The Contra Costa County investment portfolio maintains Standard & Poor's highest credit quality rating of AAAf and lowest volatility of S1+. The portfolio consists of a large portion of short-term investments with credit rating of A-1/P-1 or better. The majority of the long-term investments in the portfolio are rated AA or better.
5. In accordance with Contra Costa County's Investment Policy, the Treasurer's Office has constructed a portfolio that safeguards the principal, meets the liquidity needs and achieves a return. As a result, more than 79% of the portfolio will mature in less than a year with a weighted average maturity of 219 days.

MAJOR MARKET AND ECONOMIC DATA

AS OF SEPTEMBER 30, 2014



Note:
All data provided by Bloomberg

SECTION III

APPENDIX

A. INVESTMENT PORTFOLIO DETAIL - MANAGED BY TREASURER'S OFFICE



Contra Costa County

Inventory by Market Value

As Of Date: 09/30/2014

Date Basis: Settlement

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Reporting Currency: Local

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par / Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
Inv Type: 12 TREASURY NOTES								
	Subtotal			1.882955	31,055,000.00	31,641,653.65	164,565.90	4,202.04
				.610984	31,983,989.73	101.889080		-343,688.92
Inv Type: 17 FHLB QTR 30/360								
	Subtotal			1.000000	7,800,000.00	7,804,368.00	650.00	4,368.00
				1.000000	7,800,000.00	100.056000		0.00
Inv Type: 22 FEDERAL HOME LOAN BANKS								
	Subtotal			.982396	73,772,857.14	73,800,317.23	179,911.38	115,856.40
				.944145	73,886,320.79	100.037222		-188,380.17
Inv Type: 23 FEDERAL NATIONAL MORTGAGE ASSO								
	Subtotal			1.442393	82,915,000.00	83,216,276.19	283,645.47	16,394.97
				.968035	84,355,578.09	100.363355		-1,151,038.69
Inv Type: 27 FEDERAL FARM CREDIT BANKS								
	Subtotal			.593621	54,574,000.00	54,613,053.46	64,662.04	29,744.71
				.564464	54,641,266.05	100.071561		-57,953.15
Inv Type: 28 FEDERAL HOME LOAN MORTGAGE DIS								
	Subtotal			.124414	3,813,000.00	3,812,850.04	3,100.25	737.69
				.124560	3,809,012.10	99.996067		0.00
Inv Type: 29 FHLMC NOTES								
	Subtotal			1.154726	79,581,000.00	79,468,434.58	144,594.92	30,689.75
				1.045074	79,896,069.57	99.858552		-454,635.86



Contra Costa County

Inventory by Market Value

As Of Date: 09/30/2014

Date Basis: Settlement

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Reporting Currency: Local

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par / Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
Inv Type: 31 MUNICIPAL BONDS								
	Subtotal			2.571754	6,620,000.00	6,888,245.85	40,224.12	0.00
				1.213905	6,888,245.85	104.052052		0.00
Inv Type: 41 FNMA DISCOUNT NOTES								
	Subtotal			.060000	5,000,000.00	5,000,000.00	716.67	0.00
				.060009	4,999,283.33	100.000000		0.00
Inv Type: 43 FHLB DISCOUNT NOTES								
	Subtotal			.075331	4,422,000.00	4,421,533.69	1,162.63	242.99
				.075369	4,420,158.72	99.989455		-0.74
Inv Type: 71 COMMERCIAL PAPER DISCOUNT								
	Subtotal			.223471	542,922,000.00	542,776,997.66	355,168.75	54,502.76
				.223734	542,369,412.76	99.973292		-2,086.61
Inv Type: 72 NEGOTIABLE CERT OF DEPOSIT								
	Subtotal			.241288	331,595,000.00	331,700,767.30	204,921.31	103,271.04
				.239780	331,597,774.70	100.031897		0.00
Inv Type: 73 CORP NOTE FLTG RT ACT- 360								
	Subtotal			.506557	15,450,000.00	15,481,109.00	17,884.68	78,659.00
				.711980	15,402,450.00	100.201353		0.00
Inv Type: 74 CERT OF DEPOSIT MEDIUM TERM								
	Subtotal			1.135834	4,025,000.00	4,021,780.00	1,606.71	0.00
				1.163189	4,021,780.00	99.920000		0.00



Contra Costa County

Inventory by Market Value

As Of Date: 09/30/2014

Date Basis: Settlement

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Reporting Currency: Local

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
Inv Type: 75 CORPORATE NOTES								
	Subtotal		1.244559		83,932,000.00	84,123,889.63	257,963.48	171,057.51
			1.115617		84,116,045.26	100.228625		-163,127.61
Inv Type: 80 YCD / NCD QTR FLTR								
	Subtotal		.409800		4,400,000.00	4,398,520.20	940.84	0.00
			.426718		4,398,520.20	99.966368		0.00
Inv Type: 99 MONEY MARKET ACCOUNTS								
	Subtotal		.000000		565,318.32	565,318.32	0.00	0.00
			.000000		565,318.32	100.000000		0.00
Inv Type: 1000 TD WITH CALC CODE OF CSC-00								
	Subtotal		.600000		3,335.77	3,335.77	7.23	0.00
			.600000		3,335.77	100.000000		0.00
Grand Total	Count 282		.543665		1,332,445,511.23	1,333,738,450.57	1,721,726.38	609,726.86
			.460464		1,335,154,561.24	100.097035		-2,360,911.75

Note: Grand Total excludes Retirement investments, if any.



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Assets (000's)	Current Par	Current Book	Market	MKT/Book	Un Gain/Loss	Yield
U.S. TREASURIES	31,055.00	31,983.99	31,641.65	98.93 %	-339.49	0.61 %
U.S. AGENCIES	311,877.86	313,807.69	312,136.83	99.47 %	-1,653.97	0.88 %
MUNICIPALS	6,620.00	6,888.25	6,888.25	100.00 %	0.00	1.21 %
COMMERCIAL PAPER	542,922.00	542,369.41	542,777.00	100.08 %	52.42	0.22 %
NCD/YCD	340,020.00	340,018.07	340,121.07	100.03 %	103.27	0.25 %
CORPORATE NOTES	99,382.00	99,518.50	99,605.00	100.09 %	86.59	1.05 %
TIME DEPOSIT	3.34	3.34	3.34	100.00 %	0.00	0.60 %
Money Market Accounts	565.32	565.32	565.32	100.00 %	0.00	0.00 %
Totals(000's)	1,332,445.51	1,335,154.56	1,333,738.45	99.89 %	-1,751.18	0.46 %



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Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
Inv Type: 12 TREASURY NOTES								
80191	AUHSD GOVT TREASURY	912828RL6	01/03/2012	.500000	40,000.00	40,004.80	92.35	0.00
			10/15/2014	.384203	40,128.00	100.012000	SUNGARD	-123.20
81033	CCCSIG GOV US TREAS	912828EN6	11/30/2012	4.500000	2,190,000.00	2,295,645.60	37,224.05	0.00
			11/15/2015	.349012	2,336,043.57	104.824000	SUNGARD	-40,397.97
81318	CCCSIG GOV US TREAS	912828QF0	03/28/2013	2.000000	2,400,000.00	2,460,096.00	20,086.96	0.00
			04/30/2016	.381518	2,517,771.26	102.504000	SUNGARD	-57,675.26
81356	CCCSIG GOV US TREAS	912828QF0	04/11/2013	2.000000	400,000.00	410,016.00	3,347.83	0.00
			04/30/2016	.341708	420,125.00	102.504000	SUNGARD	-10,109.00
81441	CCCSIG GOV US TREAS	912828KZ2	05/15/2013	3.250000	700,000.00	733,607.00	5,749.32	0.00
			06/30/2016	.399968	761,933.59	104.801000	SUNGARD	-28,326.59
81450	CCCSIG GOV US TREAS	912828KZ2	05/28/2013	3.250000	2,420,000.00	2,536,184.20	19,876.22	0.00
			06/30/2016	.436515	2,623,825.62	104.801000	SUNGARD	-87,641.42
81453	CCCSIG GOV US TREAS	912828QP8	05/31/2013	1.750000	525,000.00	536,361.00	3,087.60	0.00
			05/31/2016	.521419	542,040.38	102.164000	SUNGARD	-5,679.38
81590	CCCSIG GOV US TREAS	912828RF9	08/30/2013	1.000000	1,430,000.00	1,441,625.90	1,224.59	4,202.04
			08/31/2016	.813265	1,437,423.86	100.813000	SUNGARD	0.00
81667	CCCSIG GOV US TREAS	912828MA5	11/01/2013	2.750000	2,100,000.00	2,192,526.00	19,407.79	0.00
			11/30/2016	.631739	2,235,433.59	104.406000	SUNGARD	-42,907.59
81720	CCCSIG GOV US TREAS	912828RU6	12/03/2013	.875000	3,850,000.00	3,864,129.50	11,321.21	0.00
			11/30/2016	.573482	3,884,138.67	100.367000	SUNGARD	-20,009.17
81838	CCCCD GOV US TREASU	912828QX1	02/07/2014	1.500000	110,000.00	111,954.70	277.99	0.00
			07/31/2016	.477085	112,217.19	101.777000	SUNGARD	-262.49
81857	CCCSIG GOV US TREAS	912828LP3	02/28/2014	3.000000	1,875,000.00	1,963,762.50	154.53	0.00
			09/30/2016	.528972	1,967,021.95	104.734000	SUNGARD	-3,259.45
81916	CCCSIG GOV US TREAS	912828QP8	04/11/2014	1.750000	255,000.00	260,518.20	1,499.69	0.00
			05/31/2016	.480848	261,873.05	102.164000	SUNGARD	-1,354.85
81952	CCCSIG GOV US TREAS	912828QF0	04/24/2014	2.000000	1,920,000.00	1,968,076.80	16,069.57	0.00
			04/30/2016	.432151	1,980,375.00	102.504000	SUNGARD	-12,298.20
81994	CCCCD GOV US TREASU	912828PK0	05/09/2014	2.250000	490,000.00	505,885.80	3,705.12	0.00
			11/30/2017	1.165963	508,470.70	103.242000	SUNGARD	-2,584.90
82002	CCCSIG GOV US TREAS	912828QX1	06/05/2014	1.500000	1,825,000.00	1,857,430.25	4,612.09	0.00
			07/31/2016	.442255	1,866,347.66	101.777000	SUNGARD	-8,917.41
82003	CCCSIG GOV US TREAS	912828SY7	06/05/2014	.625000	2,360,000.00	2,339,538.80	4,956.96	0.00
			05/31/2017	.818703	2,346,742.13	99.133000	SUNGARD	-7,001.83
82004	CCCSIG GOV US TREAS	912828RM4	06/05/2014	1.000000	1,820,000.00	1,832,940.20	7,616.30	0.00



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Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par / Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
82029	CCCCD GOV US TREASU	912828WD8	10/31/2016	.545942	1,841,473.40	100.711000	SUNGARD	-6,752.77
			07/07/2014	1.250000	265,000.00	261,687.50	1,386.21	0.00
			10/31/2018	1.470201	263,293.62	98.750000	SUNGARD	-994.03
82056	CCCCD GOV US TREAS	912828VQ0	07/31/2014	1.375000	385,000.00	383,436.90	891.88	0.00
			07/31/2018	1.446611	383,932.23	99.594000	SUNGARD	-495.33
82112	CCCSIG GOV US TREAS	912828TM2	09/04/2014	.625000	3,695,000.00	3,646,226.00	1,977.64	0.00
			08/31/2017	1.013383	3,653,379.26	98.680000	SUNGARD	-6,898.08
			Subtotal	1.882955	31,055,000.00	31,641,653.65	164,565.90	4,202.04
				.610984	31,983,989.73	101.889080		-343,688.92

Inv Type: 17 FHLB QTR 30/360

81884	GOV FHLB MULTI STEP	3130A1B98	03/27/2014	1.000000	5,000,000.00	5,002,800.00	416.67	2,800.00
			03/27/2019	1.000000	5,000,000.00	100.056000	SUNGARD	0.00
81885	GOV FHLB MULTI STEP	3130A1B98	03/27/2014	1.000000	2,800,000.00	2,801,568.00	233.33	1,568.00
			03/27/2019	1.000000	2,800,000.00	100.056000	SUNGARD	0.00
			Subtotal	1.000000	7,800,000.00	7,804,368.00	650.00	4,368.00
				1.000000	7,800,000.00	100.056000		0.00

Inv Type: 22 FEDERAL HOME LOAN BANKS

78467	WT GOV FHLB NOTES	3133XWX95	04/19/2010	2.750000	170,000.00	172,009.40	233.74	1,630.30
			03/13/2015	2.700069	170,379.10	101.182000	SUNGARD	0.00
79123	RM GOV FHLB NOTES	313371W51	12/10/2010	1.250000	6,075,000.00	6,088,972.50	22,992.23	92,218.50
			12/12/2014	1.582991	5,996,754.00	100.230000	SUNGARD	0.00
80648	RM GOV FHLB NOTES	313373SZ6	06/11/2012	2.125000	1,895,000.00	1,945,293.30	12,416.20	0.00
			06/10/2016	.760030	1,996,647.80	102.654000	SUNGARD	-51,354.50
80662	RM GOV FHLB NOTES	313373SZ6	06/20/2012	2.125000	2,840,000.00	2,915,373.60	18,607.92	0.00
			06/10/2016	.745089	2,993,104.40	102.654000	SUNGARD	-77,730.80
80907	WT GOV FHLB NOTES	313380EC7	10/10/2012	.750000	170,000.00	167,718.60	81.47	0.00
			09/08/2017	.790076	169,671.90	98.658000	SUNGARD	-1,953.30
81500	GOV FHLB CALLABLE N	313383EX5	06/18/2013	.810000	5,000,000.00	4,972,850.00	12,150.00	10,350.00
			06/13/2017	1.002401	4,962,500.00	99.457000	SUNGARD	0.00
81761	CCCCD GOV FHLB CALL	3130A0GK0	12/30/2013	.375000	355,000.00	355,323.05	336.51	429.55
			12/30/2015	.390073	354,893.50	100.091000	SUNGARD	0.00
81839	CCCSIG GOV FHLB	3130A0SD3	02/07/2014	.375000	2,785,000.00	2,786,086.15	1,218.45	919.05
			02/19/2016	.372040	2,785,167.10	100.039000	SUNGARD	0.00



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81842	RM GOV FEDERAL HOME	3130A0WM8	02/14/2014	.125000	995,000.00	994,890.55	176.20	338.30
			02/10/2015	.170563	994,552.25	99.989000	SUNGARD	0.00
81856	GOV FHLB CALLABLE N	3130A0Z45	02/28/2014	.750000	7,142,857.14	7,140,571.43	18,303.57	0.00
			11/28/2016	.750000	7,142,857.14	99.968000	SUNGARD	-2,285.71
81881	GOV FHLB MULTI STEP	3130A15L8	03/26/2014	1.000000	5,000,000.00	4,992,200.00	694.44	0.00
			03/26/2019	1.020566	4,995,000.00	99.844000	SUNGARD	-2,800.00
81882	GOV FHLB MULTI STEP	3130A15L8	03/26/2014	1.000000	2,500,000.00	2,496,100.00	347.22	0.00
			03/26/2019	1.030857	2,496,250.00	99.844000	SUNGARD	-150.00
81901	CCCSIG GOV FHLB CAL	3130A1CR7	04/04/2014	1.625000	1,445,000.00	1,454,638.15	260.90	0.00
			03/27/2017	1.248194	1,460,880.55	100.667000	SUNGARD	-6,242.40
81902	CCCCD GOV FHLB CALL	3130A1CR7	04/04/2014	1.625000	250,000.00	251,667.50	45.14	0.00
			03/27/2017	1.248194	252,747.50	100.667000	SUNGARD	-1,080.00
81982	GOV FEDERAL HOME LO	3130A1P85	05/05/2014	1.000000	10,000,000.00	10,000,600.00	40,555.56	1,600.00
			05/05/2017	1.003392	9,999,000.00	100.006000	SUNGARD	0.00
81990	PW GOV FEDERAL HOME	3130A1QG6	05/07/2014	.125000	1,605,000.00	1,604,743.20	891.67	0.00
			04/21/2015	.125103	1,605,087.57	99.984000	SUNGARD	-255.20
82000	RM GOV FHLB NOTES	313379VE6	06/02/2014	1.010000	2,875,000.00	2,879,801.25	8,227.29	0.00
			06/19/2017	.850065	2,888,800.00	100.167000	SUNGARD	-8,998.75
82008	RM GOV FEDERAL HOME	3130A2DC7	06/13/2014	.125000	2,500,000.00	2,499,200.00	954.86	0.00
			06/08/2015	.144999	2,499,524.84	99.968000	SUNGARD	-307.48
82012	RM GOV FEDERAL HOME	3130A2C61	06/13/2014	.375000	2,500,000.00	2,492,100.00	2,994.79	0.00
			06/10/2016	.475000	2,495,232.12	99.684000	SUNGARD	-2,949.83
82038	RM GOV FHLB NOTES	313379DT3	07/15/2014	1.250000	6,205,000.00	6,149,775.50	24,346.01	0.00
			06/08/2018	1.390090	6,180,085.20	99.110000	SUNGARD	-22,338.00
82039	CLT GOV FHLB NOTES	3130A2LC8	07/17/2014	.125000	250,000.00	249,905.00	70.32	17.50
			07/10/2015	.170949	249,893.58	99.962000	SUNGARD	0.00
82053	RM GOV FHLB NOTES	313379DT3	07/28/2014	1.250000	3,000,000.00	2,973,300.00	11,770.83	0.00
			06/08/2018	1.410094	2,987,208.33	99.110000	SUNGARD	-8,700.00
82072	CCCSIG GOV FHLB	3130A2T97	08/07/2014	.500000	1,650,000.00	1,645,165.50	68.76	0.00
			09/28/2016	.605937	1,646,287.50	99.707000	SUNGARD	-1,122.00
82073	CCCCD GOV FHLB	3130A2T97	08/07/2014	.500000	165,000.00	164,516.55	6.88	0.00
			09/28/2016	.605937	164,628.75	99.707000	SUNGARD	-112.20
82093	DCD GOV FHLB NOTES	3130A2WK8	08/29/2014	.125000	1,400,000.00	1,399,216.00	160.42	53.20
			08/28/2015	.185049	1,399,167.66	99.944000	SUNGARD	0.00
82122	GOV FHLB NOTES	3130A33J1	09/19/2014	1.200000	5,000,000.00	5,008,300.00	2,000.00	8,300.00
			09/19/2017	1.200000	5,000,000.00	100.166000	SUNGARD	0.00
Subtotal				.982396	73,772,857.14	73,800,317.23	179,911.38	115,856.40



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				.944145	73,886,320.79	100.037222		-188,380.17

Inv Type: 23 FEDERAL NATIONAL MORTGAGE ASSO

79569	RM GOV FNMA NOTES	31359MA45	05/16/2011	5.000000	4,406,000.00	4,521,877.80	101,582.78	0.00
			04/15/2015	1.555194	4,980,101.80	102.630000	SUNGARD	-458,224.00
79869	RM GOV FNMA NOTES	3136FPBB2	09/09/2011	2.250000	898,000.00	914,900.36	2,020.50	0.00
			08/25/2015	.850175	946,860.18	101.882000	SUNGARD	-31,959.82
79870	RM GOV FNMA NOTES	3136FPBB2	09/09/2011	2.250000	2,692,000.00	2,742,663.44	6,057.00	0.00
			08/25/2015	.850175	2,838,471.72	101.882000	SUNGARD	-95,808.28
79947	RM GOV FNMA NOTES	31398A3T7	10/13/2011	2.000000	3,605,000.00	3,668,303.80	2,002.78	0.00
			09/21/2015	1.140004	3,724,073.15	101.756000	SUNGARD	-55,769.35
80020	WT GOV FNMA NOTES	3135G0CM3	11/09/2011	1.250000	173,000.00	175,046.59	18.02	1,309.61
			09/28/2016	1.160000	173,736.98	101.183000	SUNGARD	0.00
80118	RM GOV FNMA NOTES	31398A4M1	12/09/2011	1.625000	6,000,000.00	6,090,300.00	41,979.17	0.00
			10/26/2015	.893123	6,167,100.00	101.505000	SUNGARD	-76,800.00
80281	RM GOV FNMA NOTES	3135G0AL7	01/23/2012	2.250000	4,035,000.00	4,139,385.45	4,035.00	0.00
			03/15/2016	1.000170	4,239,211.35	102.587000	SUNGARD	-99,825.90
80833	RM GOV FNMA NOTES	3135G0CM3	09/14/2012	1.250000	4,703,000.00	4,758,636.49	489.90	0.00
			09/28/2016	.615791	4,821,797.78	101.183000	SUNGARD	-63,161.29
81224	CCCSIG GOV FNMA NOT	3135G0VA8	02/15/2013	.500000	145,000.00	145,110.20	4,830.14	263.74
			03/30/2016	.536815	144,846.46	100.076000	SUNGARD	0.00
81225	CCCCD GOV FNMA NOTE	3135G0VA8	02/15/2013	.500000	275,000.00	275,209.00	744.44	511.49
			03/30/2016	.536815	274,697.51	100.076000	SUNGARD	0.00
81230	WT GOV FNMA NOTES	3135G0TG8	02/21/2013	.875000	165,000.00	162,366.60	212.55	0.00
			02/08/2018	.970000	164,242.02	98.404000	SUNGARD	-1,875.42
81361	RM GOV FEDERAL NATL	3135G0JA2	04/15/2013	1.125000	3,900,000.00	3,919,578.00	18,768.75	0.00
			04/27/2017	.600233	3,981,432.00	100.502000	SUNGARD	-61,854.00
81449	GOV FNMA CALLABLE M	3136G1M63	05/22/2013	.750000	10,000,000.00	9,882,300.00	26,875.00	0.00
			05/22/2018	.750000	10,000,000.00	98.823000	SUNGARD	-117,700.00
81478	AUHSD GOV FNMA NOTE	3135G0WJ8	06/07/2013	.875000	200,000.00	195,872.00	631.94	0.00
			05/21/2018	1.190082	196,976.00	97.936000	SUNGARD	-1,104.00
81484	GOV FNMA CALLABLE M	3136G1MU0	06/12/2013	.800000	5,000,000.00	4,970,850.00	12,111.11	0.00
			06/12/2018	.808383	4,997,950.00	99.417000	SUNGARD	-27,100.00
81485	RM GOV FNMA NOTES	3135G0KM4	06/14/2013	.500000	2,888,000.00	2,894,613.52	4,973.78	0.00
			05/27/2015	.306208	2,898,887.76	100.229000	SUNGARD	-4,274.24
81503	GOV FNMA CALLABLE N	3136G1NU9	06/19/2013	1.000000	5,000,000.00	4,987,650.00	14,166.67	0.00



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			06/19/2017	1.012787	4,997,500.00	99.753000	SUNGARD	-9,850.00
81538	WT GOV FNMA NOTES	3135G0WJ8	07/17/2013	.875000	164,000.00	160,615.04	518.19	1,085.68
			05/21/2018	1.459815	159,529.36	97.936000	SUNGARD	0.00
81588	CCCSIG GOV FNMA NOT	3135G0VA8	08/30/2013	.500000	2,000,000.00	2,001,520.00	27.78	12,320.00
			03/30/2016	.711533	1,989,200.00	100.076000	SUNGARD	0.00
81589	CCCCD GOV FNMA NOTE	3135G0VA8	08/30/2013	.500000	200,000.00	200,152.00	2.78	904.45
			03/30/2016	.711306	199,247.55	100.076000	SUNGARD	0.00
81628	CCCSIG GOV FNMA NOT	3135G0CM3	10/03/2013	1.250000	235,000.00	237,780.05	24.48	0.00
			09/28/2016	.748553	238,393.71	101.183000	SUNGARD	-613.66
81629	CCCSIG GOV FNMA NOT	3135G0CM3	10/03/2013	1.250000	975,000.00	986,534.25	101.56	0.00
			09/28/2016	.740949	989,630.95	101.183000	SUNGARD	-3,096.70
81630	CCCCD GOV FNMA NOTE	3135G0CM3	10/03/2013	1.250000	110,000.00	111,301.30	11.46	0.00
			09/28/2016	.740950	111,612.84	101.183000	SUNGARD	-311.54
81728	GOV FEDERAL NATL MO	3136G1Y78	12/09/2013	.700000	5,000,000.00	4,975,800.00	10,888.89	0.00
			12/09/2016	.700000	5,000,000.00	99.516000	SUNGARD	-24,200.00
81889	AUHSD GOV FNMA NOTE	3135G0ZA4	04/01/2014	1.875000	377,000.00	378,089.53	824.69	0.00
			02/19/2019	1.795148	378,398.67	100.289000	SUNGARD	-309.14
81890	AUHSD GOV FNMA NOTE	3135G0ZA4	04/01/2014	1.875000	6,959,000.00	6,979,111.51	15,222.81	0.00
			02/19/2019	1.795148	6,984,817.89	100.289000	SUNGARD	-5,706.38
81941	GOV FNMA NOTE	3135G0MZ3	04/17/2014	.875000	10,000,000.00	9,932,800.00	8,020.83	0.00
			08/28/2017	1.054521	9,940,800.00	99.328000	SUNGARD	-8,000.00
81999	MDUSD GOV FNMA NOTE	3135G0ZB2	05/21/2014	.750000	49,000.00	48,716.29	164.36	0.00
			04/20/2017	.740235	49,045.37	99.421000	SUNGARD	-297.43
82005	CCCCD GOV FNMA	3135G0YT4	06/05/2014	1.625000	115,000.00	114,761.95	643.68	0.00
			11/27/2018	1.489435	115,714.28	99.793000	SUNGARD	-910.80
82086	CCCSIG GOV FNMA NOT	3135G0ZL0	08/25/2014	1.000000	1,230,000.00	1,224,821.70	136.67	0.00
			09/27/2017	1.117972	1,225,608.90	99.579000	SUNGARD	-787.20
82087	CCCCD GOV FNMA NOTE	3135G0ZL0	08/25/2014	1.000000	130,000.00	129,452.70	14.44	0.00
			09/27/2017	1.117972	129,535.90	99.579000	SUNGARD	-83.20
82114	CCCSIG GOV FNMA NOT	3135G0JA2	09/08/2014	1.125000	555,000.00	557,786.10	2,670.94	0.00
			04/27/2017	.895628	560,579.83	100.502000	SUNGARD	-521.70
82115	CCCCD GOV FNMA NOTE	3135G0JA2	09/08/2014	1.125000	565,000.00	567,836.30	2,719.06	0.00
			04/27/2017	.895628	570,680.37	100.502000	SUNGARD	-531.10
82119	WT GOV FNMA NOTES	3135G0ZG1	09/12/2014	1.750000	166,000.00	164,534.22	153.32	0.00
			09/12/2019	1.889799	164,897.76	99.117000	SUNGARD	-363.54
			Subtotal	1.442393	82,915,000.00	83,216,276.19	283,645.47	16,394.97
				.968035	84,355,578.09	100.363355		-1,151,038.69



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Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
Inv Type: 27 FEDERAL FARM CREDIT BANKS								
80522	RM GOV FFCB NOTES	3133EAJU3	04/13/2012	1.050000	4,040,000.00	4,074,663.20	353.50	0.00
			03/28/2016	.810254	4,077,652.80	100.858000	SUNGARD	-2,989.60
80543	RM GOV FFCB NOTES	3133EAJU3	04/20/2012	1.050000	3,957,000.00	3,990,951.06	346.25	0.00
			03/28/2016	.790000	3,996,818.30	100.858000	SUNGARD	-5,867.24
80718	RM GOV FFCB NOTES	3133EAXG8	07/23/2012	.650000	2,494,000.00	2,492,403.84	3,557.41	0.00
			07/12/2016	.600206	2,498,863.30	99.936000	SUNGARD	-6,459.46
81102	GOV FFCB CALLABLE N	3133EC5B6	12/28/2012	.640000	10,000,000.00	9,978,500.00	21,688.89	0.00
			11/29/2016	.640000	10,000,000.00	99.785000	SUNGARD	-21,500.00
81281	RM GOV FEDERAL FARM	3133ECG81	03/15/2013	.300000	5,000,000.00	5,003,250.00	1,500.00	3,250.00
			02/25/2015	.300000	5,000,000.00	100.065000	SUNGARD	0.00
81321	RM GOV FEDERAL FARM	3133EC5Q3	04/01/2013	.330000	1,995,000.00	1,996,995.00	274.31	59.85
			03/16/2015	.280293	1,996,935.15	100.100000	SUNGARD	0.00
81479	AUHSO GOV FFCB NOTE	3133ECT5	06/07/2013	.650000	200,000.00	198,878.00	483.89	0.00
			05/17/2017	.790074	198,914.00	99.439000	SUNGARD	-36.00
81480	AUHSO GOV FFCB NOTE	3133ECQF4	06/07/2013	.250000	100,000.00	100,061.00	85.42	121.00
			05/28/2015	.280483	99,940.00	100.061000	SUNGARD	0.00
81579	RM GOV FFCB NOTES	3133ECWV2	08/20/2013	.875000	2,505,000.00	2,509,584.15	6,940.93	12,449.85
			12/07/2016	.972048	2,497,134.30	100.183000	SUNGARD	0.00
81732	GOV FEDERAL FARM CR	3133EDBM3	12/10/2013	.290000	5,545,000.00	5,533,965.45	4,958.15	0.00
			12/10/2015	.340213	5,539,455.00	99.801000	SUNGARD	-5,489.55
81746	RM GOV FFCB NOTES	3133EDBT8	12/18/2013	.170000	3,489,000.00	3,489,314.01	1,795.88	314.01
			12/12/2014	.170000	3,489,000.00	100.009000	SUNGARD	0.00
81841	GOV FEDERAL FARM CR	3133EDF31	02/11/2014	.570000	10,000,000.00	9,982,000.00	7,916.67	0.00
			08/11/2016	.580087	9,997,500.00	99.820000	SUNGARD	-15,500.00
81930	RM GOV FEDERAL FARM	3133EDJC7	04/14/2014	.150000	249,000.00	248,937.75	177.41	0.00
			04/10/2015	.130060	249,053.20	99.975000	SUNGARD	-111.30
81958	GOV FEDERAL FARM CR	3133EDKJ0	05/01/2014	.700000	5,000,000.00	5,013,550.00	14,583.33	13,550.00
			11/01/2016	.700000	5,000,000.00	100.271000	SUNGARD	0.00
			Subtotal	.593621	54,574,000.00	54,613,053.46	64,662.04	29,744.71
				.564464	54,641,266.05	100.071561		-57,953.15

Inv Type: 28 FEDERAL HOME LOAN MORTGAGE DIS

81704	RM GOV FHLMC DISCOU	313397N23	11/22/2013	.150000	1,942,000.00	1,941,981.66	2,532.69	256.78
			11/04/2014	.150217	1,939,192.19	99.999056	SUNGARD	0.00



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81710	RM GOV FHLMC DISC N	313397P88	12/02/2013	.150000	371,000.00	370,995.05	468.39	69.25
			11/18/2014	.150220	370,457.41	99.998667	SUNGARD	0.00
82094	PW GOV FHLMC DISCOU	313396CN1	09/03/2014	.085000	1,500,000.00	1,499,873.33	99.17	411.66
			03/02/2015	.085036	1,499,362.50	99.991556	SUNGARD	0.00
			Subtotal	.124414	3,813,000.00	3,812,850.04	3,100.25	737.69
				.124560	3,809,012.10	99.996067		0.00

Inv Type: 29 FHLMC NOTES

78867	WT GOV FHLMC NOTES	3137EACM9	09/14/2010	1.750000	170,000.00	172,478.60	173.54	1,830.90
			09/10/2015	1.670070	170,647.70	101.458000	SUNGARD	0.00
79321	RM GOV FHLMC NOTES	3137EACH0	02/18/2011	2.875000	5,800,000.00	5,856,608.00	24,086.11	0.00
			02/09/2015	2.000042	5,992,966.00	100.976000	SUNGARD	-136,358.00
79343	WT GOV FHLMC NOTES	3134A4ZT4	02/25/2011	4.750000	155,000.00	164,011.70	1,472.50	0.00
			01/19/2016	2.330564	172,267.00	105.814000	SUNGARD	-8,255.30
79367	RM GOV FHLMC NOTES	3137EACH0	03/11/2011	2.875000	5,006,000.00	5,054,858.56	20,788.81	0.00
			02/09/2015	1.839112	5,200,783.46	100.976000	SUNGARD	-145,924.90
80276	RM GOV FHLMC NOTES	3137EADA4	01/18/2012	.625000	4,473,000.00	4,478,501.79	7,144.40	0.00
			12/29/2014	.520209	4,486,687.38	100.123000	SUNGARD	-8,185.59
80411	WT GOV FHLMC NOT	3137EADC0	03/12/2012	1.000000	170,000.00	170,481.10	108.61	1,468.80
			03/08/2017	1.120062	169,012.30	100.283000	SUNGARD	0.00
80900	CCCCD GOV FHLMC	3137EADL0	10/05/2012	1.000000	295,000.00	293,669.55	16.39	0.00
			09/29/2017	.764157	298,395.45	99.549000	SUNGARD	-4,725.90
81293	GOV FHLMC NOTES	3134G36F2	03/22/2013	.420000	10,000,000.00	10,016,800.00	1,516.67	16,800.00
			09/18/2015	.420000	10,000,000.00	100.168000	SUNGARD	0.00
81544	CCCSIG GOV FHLMC NO	3137EADQ9	08/02/2013	.500000	2,000,000.00	2,001,100.00	3,833.33	10,280.00
			05/13/2016	.666848	1,990,820.00	100.055000	SUNGARD	0.00
81634	CCCCD GOV FHLMC NOT	3137EADS5	10/03/2013	.875000	265,000.00	266,115.65	1,075.64	310.05
			10/14/2016	.773322	265,805.60	100.421000	SUNGARD	0.00
81847	RM GOV FHLMC NOTE3S	3137EADC0	02/25/2014	1.000000	3,870,000.00	3,880,952.10	2,472.50	0.00
			03/08/2017	.780254	3,895,464.60	100.283000	SUNGARD	-14,512.50
81869	RM GOV FEDERAL HOME	3137EADP1	03/14/2014	.875000	7,061,000.00	6,940,751.17	4,118.92	0.00
			03/07/2018	1.250049	6,958,474.28	98.297000	SUNGARD	-17,723.11
81870	WT GOV FEDERAL HOME	3137EADP1	03/12/2014	.875000	157,000.00	154,326.29	91.58	0.00
			03/07/2018	1.279731	154,538.24	98.297000	SUNGARD	-211.95
81940	GOV FEDERAL HOME LN	3134G4Z76	04/16/2014	1.000000	10,000,000.00	9,969,000.00	48,333.33	0.00
			04/07/2017	.996560	10,003,500.00	99.690000	SUNGARD	-32,000.00



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82009	RM GOV FHLMC	3137EADH9	06/13/2014	1.000000	2,484,000.00	2,480,224.32	6,348.00	0.00
			06/29/2017	.945000	2,488,088.17	99.848000	SUNGARD	-7,863.85
82026	GOV FHLMC NOTES	3137EADL0	07/03/2014	1.000000	10,000,000.00	9,954,900.00	555.56	0.00
			09/29/2017	1.070130	9,977,700.00	99.549000	SUNGARD	-22,800.00
82054	GOV FHLMC CALLABLE	3134G5CE3	07/28/2014	1.000000	10,000,000.00	9,972,200.00	17,500.00	0.00
			07/28/2017	1.000000	10,000,000.00	99.722000	SUNGARD	-27,800.00
82076	CCCCD GOV FHLMC	3137EADJ5	08/14/2014	1.000000	75,000.00	74,691.75	131.25	0.00
			07/28/2017	1.001893	75,029.13	99.589000	SUNGARD	-304.05
82077	CCCCD GOV FHLMC	3137EADJ5	08/14/2014	1.000000	140,000.00	139,424.60	245.00	0.00
			07/28/2017	1.002272	140,052.84	99.589000	SUNGARD	-566.02
82078	GOV FHLMC NOTES	3137EADL0	08/11/2014	1.000000	5,000,000.00	4,977,450.00	277.78	0.00
			09/29/2017	1.033085	4,994,900.00	99.549000	SUNGARD	-17,450.00
82080	CCCSIG GOV FHLMC	3137EADJ5	08/14/2014	1.000000	810,000.00	806,670.90	1,417.50	0.00
			07/28/2017	1.001893	810,314.64	99.589000	SUNGARD	-3,283.74
82081	CCCSIG GOV FHLMC	3137EADJ5	08/14/2014	1.000000	1,650,000.00	1,643,218.50	2,887.50	0.00
			07/28/2017	1.002272	1,650,622.78	99.589000	SUNGARD	-6,670.95
Subtotal				1.154726	79,581,000.00	79,468,434.58	144,594.92	30,689.75
				1.045074	79,896,069.57	99.858552		-454,635.86

Inv Type: 31 MUNICIPAL BONDS

80198	AUHSD MUNI COMPTON	204712FD0	01/03/2012	.000000	10,000.00	7,453.00	0.00	0.00
			08/01/2016	6.525847	7,453.00	74.530000	BOOK	0.00
80760	CCCCD MUNI PALO ALT	697379UA1	08/14/2012	1.402000	440,000.00	440,000.00	1,028.13	0.00
			08/01/2017	1.402000	440,000.00	100.000000	BOOK	0.00
81277	CCCSIG MUNI UNIV OF	91412GPX7	03/14/2013	.659000	1,240,000.00	1,240,000.00	3,087.05	0.00
			05/15/2016	.659000	1,240,000.00	100.000000	BOOK	0.00
81278	CCCCD MUNI UNIV OF	91412GPY5	03/14/2013	.966000	175,000.00	175,000.00	638.63	0.00
			05/15/2017	.966000	175,000.00	100.000000	BOOK	0.00
81314	CCCCD MUNI CA ST TX	13063BN73	03/27/2013	1.050000	120,000.00	120,405.60	406.00	0.00
			02/01/2016	.929226	120,405.60	100.338000	BOOK	0.00
81319	CCCSIG MUNI CA ST T	13063BN73	03/27/2013	1.050000	550,000.00	551,859.00	1,860.83	0.00
			02/01/2016	.929226	551,859.00	100.338000	BOOK	0.00
81508	AUHSD MUNI CHICAGO	167486JB6	06/27/2013	5.000000	170,000.00	189,542.34	2,125.00	0.00
			01/01/2017	1.618751	189,542.34	111.495494	BOOK	0.00
81509	AUHSD MUNI CHICAGO	167486JC4	06/27/2013	5.000000	390,000.00	421,318.77	4,875.00	0.00
			01/01/2017	2.592295	421,318.77	108.030454	BOOK	0.00



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81510	AUHS D MUNI WASHINGT	93974B3K6	06/27/2013	5.000000	600,000.00	696,925.06	7,500.00	0.00
			01/01/2018	1.301222	696,925.06	116.154177	BOOK	0.00
81512	AUHS D MUNI SOUTH BE	836496NW5	06/27/2013	5.000000	505,000.00	547,506.38	5,330.56	0.00
			01/15/2016	1.616968	547,506.38	108.417105	BOOK	0.00
81513	AUHS D MUNI PENNSYLV	70914PHZ8	06/27/2013	5.000000	505,000.00	541,394.23	1,122.22	0.00
			03/15/2015	.765514	541,394.23	107.206778	BOOK	0.00
81514	AUHS D MUNI WICOMICO	967545R89	06/27/2013	3.500000	390,000.00	427,550.12	4,550.00	0.00
			12/01/2018	1.638640	427,550.12	109.628236	BOOK	0.00
81632	CCCSIG MUNI UNIV OF	91412GSX4	10/02/2013	.907000	415,000.00	415,000.00	1,421.97	0.00
			05/15/2016	.907000	415,000.00	100.000000	BOOK	0.00
81633	CCCD MUNI UNIV OF	91412GSZ9	10/02/2013	2.054000	195,000.00	195,000.00	1,513.11	0.00
			05/15/2018	2.054000	195,000.00	100.000000	BOOK	0.00
81693	CCCD MUNI CA ST TA	13063CFD7	11/05/2013	1.250000	125,000.00	125,586.25	651.04	0.00
			11/01/2016	1.090101	125,586.25	100.469000	BOOK	0.00
81694	CCCSIG MUNI CA ST T	13063CFD7	11/05/2013	1.250000	790,000.00	793,705.10	4,114.58	0.00
			11/01/2016	1.090101	793,705.10	100.469000	BOOK	0.00
			Subtotal	2.571754	6,620,000.00	6,888,245.85	40,224.12	0.00
				1.213905	6,888,245.85	104.052052		0.00

Inv Type: 41 FNMA DISCOUNT NOTES

82027	MTC GOV FNMA DISCOU	313589H89	07/07/2014	.060000	5,000,000.00	5,000,000.00	716.67	0.00
			10/01/2014	.060009	4,999,283.33	100.000000	SUNGARD	0.00
			Subtotal	.060000	5,000,000.00	5,000,000.00	716.67	0.00
				.060009	4,999,283.33	100.000000		0.00

Inv Type: 43 FHLB DISCOUNT NOTES

81989	PW GOV FHLB DISC NO	313385N36	05/07/2014	.070000	1,625,000.00	1,624,984.20	465.68	93.59
			11/05/2014	.070025	1,624,431.18	99.999028	SUNGARD	0.00
81995	RM GOV FEDL HOME LO	313385N51	05/12/2014	.070000	2,502,000.00	2,501,974.29	695.72	149.40
			11/07/2014	.070024	2,501,152.83	99.998972	SUNGARD	0.00
82131	RM GOV FHLB DISC	313384LP2	09/30/2014	.150000	295,000.00	294,575.20	1.23	0.00
			09/11/2015	.150216	294,574.71	99.856000	SUNGARD	-0.74
			Subtotal	.075331	4,422,000.00	4,421,533.69	1,162.63	242.99
				.075369	4,420,158.72	99.989455		-0.74



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Inv Type: 71 COMMERCIAL PAPER DISCOUNT

81917	CP RABOBANK USA FIN	74977LKQ9	04/14/2014	.200000	25,000,000.00	24,997,923.61	23,611.12	1,118.05
			10/24/2014	.200215	24,973,194.44	99.991694	SUNGARD	0.00
81918	CP RABOBANK USA FIN	74977LKA4	04/14/2014	.190000	25,000,000.00	24,999,187.50	22,430.56	375.00
			10/10/2014	.190180	24,976,381.94	99.996750	SUNGARD	0.00
81932	CP ABBEY NATIONAL N	0027A1KA6	04/15/2014	.220000	20,000,000.00	19,999,350.00	20,655.60	450.00
			10/10/2014	.220240	19,978,244.40	99.996750	SUNGARD	0.00
81936	CP RABOBANK USA FIN	74977LKW6	04/16/2014	.210000	30,000,000.00	29,996,858.33	29,400.00	1,933.33
			10/30/2014	.210242	29,965,525.00	99.989528	SUNGARD	0.00
81942	CP DEUTSCHE BANK FI	25153KLC9	04/17/2014	.300000	20,000,000.00	19,996,966.67	27,833.33	3,966.67
			11/12/2014	.300523	19,965,166.67	99.984833	SUNGARD	0.00
81943	CP DEUTSCHE BANK FI	25153KLR6	04/17/2014	.300000	20,000,000.00	19,996,027.78	27,833.33	5,194.45
			11/25/2014	.300556	19,963,000.00	99.980139	SUNGARD	0.00
81944	CP DEUTSCHE BANK FI	25153KLA3	04/17/2014	.305123	25,000,000.00	24,996,388.89	35,385.79	4,864.53
			11/10/2014	.305659	24,956,138.57	99.985556	SUNGARD	0.00
81946	CP BNP PARIBAS	0556N1MA8	04/21/2014	.380000	25,000,000.00	24,991,736.11	43,013.89	10,208.33
			12/10/2014	.380937	24,938,513.89	99.966944	SUNGARD	0.00
81947	CP RABOBANK USA FIN	74977LMB0	04/22/2014	.210000	25,000,000.00	24,991,618.06	23,625.00	1,972.23
			12/11/2014	.210286	24,966,020.83	99.966472	SUNGARD	0.00
81949	CCCSO CP TOYOTA MOT	89233HKM5	04/24/2014	.200000	5,000,000.00	4,999,638.89	4,444.44	194.45
			10/21/2014	.200200	4,995,000.00	99.992778	SUNGARD	0.00
82017	CP BNP PARIBAS	0556N1LE1	06/26/2014	.270000	10,000,000.00	9,998,411.11	7,275.00	1,711.11
			11/14/2014	.270286	9,989,425.00	99.984111	SUNGARD	0.00
82018	CP BNP PARIBAS	0556N1KX0	06/26/2014	.270000	15,000,000.00	14,998,375.00	10,912.50	1,750.00
			10/31/2014	.270257	14,985,712.50	99.989167	SUNGARD	0.00
82020	CP CREDIT AGRICOLE	22532CMQ4	06/27/2014	.300000	25,000,000.00	24,990,083.33	20,000.00	7,583.33
			12/24/2014	.300451	24,962,500.00	99.960333	SUNGARD	0.00
82021	CP BNP PARIBAS	0556N1MW0	06/30/2014	.300000	30,000,000.00	29,985,750.00	23,250.00	8,250.00
			12/30/2014	.300458	29,954,250.00	99.952500	SUNGARD	0.00
82041	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	1,000,000.00	999,953.06	256.67	0.00
			10/14/2014	.120036	999,700.00	99.995306	SUNGARD	-3.61
82042	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	8,000,000.00	7,999,624.44	2,053.33	0.00
			10/14/2014	.120036	7,997,600.00	99.995306	SUNGARD	-28.89
82043	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	500,000.00	499,976.53	128.33	0.00
			10/14/2014	.120036	499,850.00	99.995306	SUNGARD	-1.80
82044	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	325,000.00	324,984.74	83.42	0.00



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			10/14/2014	.120036	324,902.50	99.995306	SUNGARD	-1.18
82045	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	1,500,000.00	1,499,929.58	385.00	0.00
			10/14/2014	.120036	1,499,550.00	99.995306	SUNGARD	-5.42
82046	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	1,200,000.00	1,199,943.67	308.00	0.00
			10/14/2014	.120036	1,199,640.00	99.995306	SUNGARD	-4.33
82047	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	900,000.00	899,957.75	231.00	0.00
			10/14/2014	.120036	899,730.00	99.995306	SUNGARD	-3.25
82048	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	3,700,000.00	3,699,826.31	949.67	0.00
			10/14/2014	.120036	3,698,890.00	99.995306	SUNGARD	-13.36
82049	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	1,000,000.00	999,953.06	256.67	0.00
			10/14/2014	.120036	999,700.00	99.995306	SUNGARD	-3.61
82050	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	300,000.00	299,985.92	77.00	0.00
			10/14/2014	.120036	299,910.00	99.995306	SUNGARD	-1.08
82051	PW CP TOYOTA MOTOR	89233HKE3	07/16/2014	.120000	1,000,000.00	999,953.06	256.67	0.00
			10/14/2014	.120036	999,700.00	99.995306	SUNGARD	-3.61
82075	PW CP TOYOTA MOTOR	89233HSB1	08/14/2014	.250000	500,000.00	499,075.00	166.67	0.00
			05/11/2015	.250470	499,062.50	99.815000	SUNGARD	-154.17
82082	HR CP UNION BANK OF	62478YKM9	08/21/2014	.130000	3,190,000.00	3,189,769.61	472.30	0.00
			10/21/2014	.130029	3,189,297.31	99.992778	SUNGARD	0.00
82083	HR CP UNION BANK OF	62478YKM9	08/21/2014	.130000	2,844,000.00	2,843,794.60	421.07	0.00
			10/21/2014	.130029	2,843,373.53	99.992778	SUNGARD	0.00
82084	HR CP UNION BANK OF	62478YKM9	08/21/2014	.130000	4,278,000.00	4,277,691.03	633.38	0.00
			10/21/2014	.130027	4,277,057.66	99.992778	SUNGARD	-0.01
82085	PW CP TOYOTA MOTOR	89233HSK1	08/22/2014	.260000	2,000,000.00	1,996,166.67	577.78	0.00
			05/19/2015	.260508	1,996,100.00	99.808333	SUNGARD	-511.11
82088	CP ABBEY NATIONAL N	0027A1MF3	08/27/2014	.190000	25,000,000.00	24,991,145.83	4,618.06	1,041.66
			12/15/2014	.190110	24,985,486.11	99.964583	SUNGARD	0.00
82089	CP SOCIETE GENERALE	83365SMG5	08/28/2014	.170000	25,000,000.00	24,991,027.78	4,013.85	0.00
			12/16/2014	.170087	24,987,014.00	99.964111	SUNGARD	-0.07
82090	CP TOYOTA MOTOR CRE	89233HMG6	08/28/2014	.160000	25,000,000.00	24,991,027.78	3,777.78	0.00
			12/16/2014	.160078	24,987,777.78	99.964111	SUNGARD	-527.78
82091	PW CP TOYOTA MOTOR	89233HPQ1	08/28/2014	.220000	500,000.00	499,533.61	103.89	0.00
			02/24/2015	.220242	499,450.00	99.906722	SUNGARD	-20.28
82092	RM CP TOYOTA MOTOR	89233HSS4	08/29/2014	.260000	1,007,000.00	1,005,011.18	240.00	0.00
			05/26/2015	.260508	1,005,036.35	99.802500	SUNGARD	-265.17
82095	CP CREDIT AGRICOLE	22532CMG6	09/03/2014	.180000	25,000,000.00	24,991,027.78	3,500.00	527.78
			12/16/2014	.180094	24,987,000.00	99.964111	SUNGARD	0.00



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82096	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	5,202,000.00	5,200,476.97	728.28	89.59
			12/02/2014	.180081	5,199,659.10	99.970722	SUNGARD	0.00
82097	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	1,100,000.00	1,099,677.94	154.00	18.94
			12/02/2014	.180081	1,099,505.00	99.970722	SUNGARD	0.00
82098	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	2,100,000.00	2,099,385.17	294.00	36.17
			12/02/2014	.180081	2,099,055.00	99.970722	SUNGARD	0.00
82099	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	3,701,000.00	3,699,916.43	518.14	63.74
			12/02/2014	.180081	3,699,334.55	99.970722	SUNGARD	0.00
82100	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	2,100,000.00	2,099,385.17	294.00	36.17
			12/02/2014	.180081	2,099,055.00	99.970722	SUNGARD	0.00
82101	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	2,901,000.00	2,900,150.65	406.14	49.96
			12/02/2014	.180081	2,899,694.55	99.970722	SUNGARD	0.00
82102	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	4,402,000.00	4,400,711.19	616.28	75.81
			12/02/2014	.180081	4,400,019.10	99.970722	SUNGARD	0.00
82103	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	800,000.00	799,765.78	112.00	13.78
			12/02/2014	.180081	799,640.00	99.970722	SUNGARD	0.00
82104	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	3,201,000.00	3,200,062.82	448.14	55.13
			12/02/2014	.180081	3,199,559.55	99.970722	SUNGARD	0.00
82105	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	5,202,000.00	5,200,476.97	728.28	89.59
			12/02/2014	.180081	5,199,659.10	99.970722	SUNGARD	0.00
82106	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	4,002,000.00	4,000,828.30	560.28	68.92
			12/02/2014	.180081	4,000,199.10	99.970722	SUNGARD	0.00
82107	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	21,009,000.00	21,002,849.03	2,941.26	361.82
			12/02/2014	.180081	20,999,545.95	99.970722	SUNGARD	0.00
82108	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	5,803,000.00	5,801,301.01	812.42	99.94
			12/02/2014	.180081	5,800,388.65	99.970722	SUNGARD	0.00
82109	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	376,000.00	375,889.92	52.64	6.48
			12/02/2014	.180081	375,830.80	99.970722	SUNGARD	0.00
82110	PW CP ABBEY NATIONA	0027A1M22	09/03/2014	.180000	401,000.00	400,882.60	56.14	6.91
			12/02/2014	.180081	400,819.55	99.970722	SUNGARD	0.00
82111	CP TOYOTA MOTOR CRE	89233HMF8	09/04/2014	.160000	25,000,000.00	24,991,145.83	3,000.00	0.00
			12/15/2014	.160073	24,988,666.67	99.964583	SUNGARD	-520.84
82113	PW CP TOYOTA MOTOR	89233HQQ2	09/08/2014	.230000	600,000.00	599,363.67	88.17	0.00
			03/16/2015	.230278	599,275.50	99.893944	SUNGARD	0.00
82120	PW CP UNION BANK OF	62478YKH0	09/17/2014	.100000	500,000.00	499,971.11	19.45	0.00
			10/17/2014	.100016	499,958.33	99.994222	SUNGARD	-6.67
82121	PW CP UNION BANK OF	62478YKH0	09/17/2014	.100000	778,000.00	777,955.05	30.25	0.00



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82128	CP BNP PARIBAS	0556N1NC3	10/17/2014	.100003	777,935.17	99.994222	SUNGARD	-10.37
			09/30/2014	.230000	20,000,000.00	19,989,127.78	127.78	2,288.89
			01/12/2015	.230153	19,986,711.11	99.945639	SUNGARD	0.00
			Subtotal	.223471	542,922,000.00	542,776,997.66	355,168.75	54,502.76
				.223734	542,369,412.76	99.973292		-2,086.61

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81750	PW NCD UNION BANK O	905269JM9	12/20/2013	.280000	500,000.00	500,253.42	1,108.33	253.42
			12/22/2014	.280000	500,000.00	100.050685	SUNGARD	0.00
81844	CCCFPD YCD BNP PARI	05572NSZ0	02/18/2014	.440000	3,900,000.00	3,901,974.99	10,725.00	1,974.99
			11/17/2014	.440000	3,900,000.00	100.050641	SUNGARD	0.00
81872	PW NCD UNION BANK O	905269LC8	03/14/2014	.280000	500,000.00	500,259.95	781.67	259.95
			02/02/2015	.280000	500,000.00	100.051990	SUNGARD	0.00
81937	NCD UNION BANK OF C	905269MG8	04/16/2014	.210000	25,000,000.00	25,003,019.51	24,500.00	3,019.51
			10/29/2014	.210000	25,000,000.00	100.012078	SUNGARD	0.00
81938	NCD UNION BANK OF C	905269MH6	04/16/2014	.220000	5,000,000.00	5,001,243.85	5,133.33	1,243.85
			11/25/2014	.220000	5,000,000.00	100.024877	SUNGARD	0.00
81939	YCD SOCIETE GENERAL	83368NKZ3	04/16/2014	.330000	30,000,000.00	30,012,819.42	46,200.00	12,819.42
			11/26/2014	.330000	30,000,000.00	100.042731	SUNGARD	0.00
81996	CCCSIG YCD RABOBANK	21684BPV0	05/13/2014	.716000	2,000,000.00	2,000,000.00	5,887.11	0.00
			05/06/2016	.716000	2,000,278.44	100.000000	BOOK	0.00
82019	YCD CREDIT AGRICOLE	22533MLF6	06/27/2014	.320000	25,000,000.00	25,015,342.06	21,333.33	12,845.80
			12/24/2014	.300000	25,002,496.26	100.061368	SUNGARD	0.00
82022	YCD ABBEY NATIONAL	00279HY75	07/01/2014	.250000	25,000,000.00	25,010,024.51	15,972.22	10,024.51
			12/15/2014	.250000	25,000,000.00	100.040098	SUNGARD	0.00
82023	YCD CREDIT AGRICOLE	22533MLK5	07/01/2014	.280000	25,000,000.00	25,011,760.11	17,888.89	11,760.11
			12/16/2014	.280000	25,000,000.00	100.047040	SUNGARD	0.00
82024	YCD STANDARD CHARTE	85325BU69	07/02/2014	.230000	25,000,000.00	25,009,087.27	14,534.72	9,087.27
			12/16/2014	.230000	25,000,000.00	100.036349	SUNGARD	0.00
82031	RM YCD BNP PARIBAS	05572NVB9	07/10/2014	.500000	1,020,000.00	1,021,258.42	1,175.83	1,258.42
			07/06/2015	.500000	1,020,000.00	100.123375	SUNGARD	0.00
82032	PW NCD UNION BANK	62478TAH2	07/11/2014	.210000	4,300,000.00	4,300,897.23	2,056.83	897.23
			01/02/2015	.210000	4,300,000.00	100.020866	SUNGARD	0.00
82033	PW NCD UNION BANK	62478TAH2	07/11/2014	.210000	1,100,000.00	1,100,229.52	526.17	229.52
			01/02/2015	.210000	1,100,000.00	100.020866	SUNGARD	0.00
82034	PW NCD UNION BANK	62478TAH2	07/11/2014	.210000	2,000,000.00	2,000,417.32	956.67	417.32



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82037	PW NCD UNION BANK O	62478TAK5	01/02/2015	.210000	2,000,000.00	100.020866	SUNGARD	0.00
			07/14/2014	.130000	400,000.00	400,007.78	114.11	7.78
			10/10/2014	.130000	400,000.00	100.001944	SUNGARD	0.00
82058	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	1,000,000.00	1,000,099.98	305.00	99.98
			10/30/2014	.180000	1,000,000.00	100.009998	SUNGARD	0.00
82059	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	8,500,000.00	8,500,849.83	2,592.50	849.83
			10/30/2014	.180000	8,500,000.00	100.009998	SUNGARD	0.00
82060	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	1,500,000.00	1,500,149.97	457.50	149.97
			10/30/2014	.180000	1,500,000.00	100.009998	SUNGARD	0.00
82061	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	1,200,000.00	1,200,119.98	366.00	119.98
			10/30/2014	.180000	1,200,000.00	100.009998	SUNGARD	0.00
82062	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	775,000.00	775,077.48	236.38	77.48
			10/30/2014	.180000	775,000.00	100.009998	SUNGARD	0.00
82063	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	3,300,000.00	3,300,329.93	1,006.50	329.93
			10/30/2014	.180000	3,300,000.00	100.009998	SUNGARD	0.00
82064	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	750,000.00	750,074.99	228.75	74.99
			10/30/2014	.180000	750,000.00	100.009998	SUNGARD	0.00
82065	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	450,000.00	450,044.99	137.25	44.99
			10/30/2014	.180000	450,000.00	100.009998	SUNGARD	0.00
82066	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	800,000.00	800,079.98	244.00	79.98
			10/30/2014	.180000	800,000.00	100.009998	SUNGARD	0.00
82067	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	1,150,000.00	1,150,114.98	350.75	114.98
			10/30/2014	.180000	1,150,000.00	100.009998	SUNGARD	0.00
82068	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	750,000.00	750,074.99	228.75	74.99
			10/30/2014	.180000	750,000.00	100.009998	SUNGARD	0.00
82069	PW NCD BANK OF AMER	06052TJZ4	08/01/2014	.180000	800,000.00	800,079.98	244.00	79.98
			10/30/2014	.180000	800,000.00	100.009998	SUNGARD	0.00
82070	YCD ABBEY NATIONAL	00279H2C9	08/05/2014	.210000	25,000,000.00	25,007,914.63	8,312.50	7,914.63
			12/15/2014	.210000	25,000,000.00	100.031659	SUNGARD	0.00
82071	YCD CREDIT AGRICOLE	22533MNK3	08/05/2014	.250000	25,000,000.00	25,010,025.28	9,895.83	10,025.28
			12/15/2014	.250000	25,000,000.00	100.040101	SUNGARD	0.00
82079	YCD BNP PARIBAS	05572NVL7	08/13/2014	.270000	25,000,000.00	25,011,080.79	9,187.50	11,080.79
			12/15/2014	.270000	25,000,000.00	100.044323	SUNGARD	0.00
82117	NCD UNION BANK OF C	62478TBF5	09/11/2014	.160000	20,000,000.00	20,001,665.46	1,777.78	1,665.46
			01/08/2015	.160000	20,000,000.00	100.008327	SUNGARD	0.00
82123	SO NCD UNION BANK O	62478TBK4	09/23/2014	.100000	2,000,000.00	2,000,053.33	44.44	53.33
			10/24/2014	.100000	2,000,000.00	100.002666	SUNGARD	0.00



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82124	SO NCD UNION BANK O	62478TBK4	09/23/2014	.100000	1,200,000.00	1,200,032.00	26.67	32.00
			10/24/2014	.100000	1,200,000.00	100.002666	SUNGARD	0.00
82125	SO NCD UNION BANK O	62478TBK4	09/23/2014	.100000	1,300,000.00	1,300,034.66	28.89	34.66
			10/24/2014	.100000	1,300,000.00	100.002666	SUNGARD	0.00
82126	SO NCD UNION BANK O	62478TBK4	09/23/2014	.100000	3,600,000.00	3,600,095.99	80.00	95.99
			10/24/2014	.100000	3,600,000.00	100.002666	SUNGARD	0.00
82127	SO NCD UNION BANK O	62478TBK4	09/23/2014	.100000	6,800,000.00	6,800,181.32	151.11	181.32
			10/24/2014	.100000	6,800,000.00	100.002666	SUNGARD	0.00
82129	NCD BANK OF AMERICA	06052TKN9	09/30/2014	.180000	25,000,000.00	25,003,991.40	125.00	3,991.40
			01/23/2015	.180000	25,000,000.00	100.015966	SUNGARD	0.00
			Subtotal	.241288	331,595,000.00	331,700,767.30	204,921.31	103,271.04
				.239780	331,597,774.70	100.031897		0.00

Inv Type: 73 CORP NOTE FLTG RT ACT- 360

81269	CORP GENERAL ELEC C	36962GU51	03/08/2013	.433100	10,000,000.00	10,007,700.00	10,226.15	55,250.00
			01/08/2016	.751014	9,952,450.00	100.077000	SUNGARD	0.00
81655	CCCSIG CORP GECC FL	36962G7A6	07/12/2013	.883600	2,700,000.00	2,723,409.00	5,235.37	23,409.00
			07/12/2016	.883600	2,700,000.00	100.867000	SUNGARD	0.00
81794	CCCSIG CORP BERKSHI	084664CA7	01/10/2014	.383600	500,000.00	500,000.00	442.21	0.00
			01/10/2017	.383600	500,000.00	100.000000	SUNGARD	0.00
82052	CCCSIG CORP WESTPAC	96121TWF1	04/17/2014	.406350	2,250,000.00	2,250,000.00	1,980.95	0.00
			04/15/2016	.406350	2,250,000.00	100.000000	BOOK	0.00
			Subtotal	.506557	15,450,000.00	15,481,109.00	17,884.68	78,659.00
				.711980	15,402,450.00	100.201353		0.00

Inv Type: 74 CERT OF DEPOSIT MEDIUM TERM

82118	CCCSIG NCD US BANK	90333VPF1	09/11/2014	1.375000	2,000,000.00	1,996,780.00	1,506.85	0.00
			09/11/2017	1.430098	1,996,780.00	99.839000	BOOK	0.00
82130	CCCSIG YCD TORONTO	89112UXV8	09/29/2014	.900000	2,025,000.00	2,025,000.00	99.86	0.00
			09/29/2016	.900000	2,025,000.00	100.000000	BOOK	0.00
			Subtotal	1.135834	4,025,000.00	4,021,780.00	1,606.71	0.00
				1.163189	4,021,780.00	99.920000		0.00



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Contra Costa County

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
Inv Type: 75 CORPORATE NOTES								
79043	CCCCD CORP PROCTOR	742718DS5	11/18/2010	1.800000	200,000.00	203,010.00	1,360.00	4,646.00
			11/15/2015	1.972896	198,364.00	101.505000	SUNGARD	0.00
80435	CORP TOYOTA MOTOR C	89233P5Z5	03/15/2012	1.000000	10,000,000.00	10,024,200.00	12,222.22	0.00
			02/17/2015	.826077	10,050,100.00	100.242000	SUNGARD	-25,900.00
80448	CORP WALMART STORES	931142CX9	03/23/2012	1.500000	5,000,000.00	5,058,050.00	32,500.00	0.00
			10/25/2015	.937036	5,099,100.00	101.161000	SUNGARD	-41,050.00
80450	CORP ROYAL BANK OF	78008T2C7	03/26/2012	1.150000	10,000,000.00	10,025,900.00	5,749.95	29,100.00
			03/13/2015	1.160982	9,996,800.00	100.259000	SUNGARD	0.00
80653	CCCCD CORP WALT DIS	25468PCS3	06/14/2012	1.125000	135,000.00	135,097.20	194.06	348.30
			02/15/2017	1.165961	134,748.90	100.072000	SUNGARD	0.00
80654	CCCCD CORP WALT DIS	25468PCS3	06/14/2012	1.125000	240,000.00	240,172.80	345.00	1,032.00
			02/15/2017	1.203989	239,140.80	100.072000	SUNGARD	0.00
81054	CCCCD CORP CHEVRON	166764AA8	12/05/2012	1.104000	105,000.00	103,994.10	373.52	0.00
			12/05/2017	1.104000	105,000.00	99.042000	SUNGARD	-1,005.90
81198	CORP GENERAL ELECTR	36962G6R0	02/04/2013	1.000000	5,000,000.00	5,019,000.00	11,527.78	19,000.00
			01/08/2016	1.000000	5,000,000.00	100.380000	SUNGARD	0.00
81217	CCCCD CORP BERKSHIR	084670BH0	02/11/2013	1.550000	245,000.00	244,505.10	548.53	0.00
			02/09/2018	1.579059	244,659.45	99.798000	SUNGARD	-154.35
81242	CCCSIG CORP PEPSICO	713448CE6	02/28/2013	.700000	515,000.00	516,107.25	350.54	1,287.50
			02/26/2016	.711836	514,819.75	100.215000	SUNGARD	0.00
81243	CCCCD CORP CATERPLL	14912L5N7	02/28/2013	.700000	100,000.00	100,111.00	68.07	235.00
			02/26/2016	.741951	99,876.00	100.111000	SUNGARD	0.00
81244	CCCCD CORP PEPSICO	713448CE6	02/28/2013	.700000	100,000.00	100,215.00	68.07	250.00
			02/26/2016	.711836	99,965.00	100.215000	SUNGARD	0.00
81412	CCCSIG CORP APPLE I	037833AH3	05/03/2013	.450000	465,000.00	464,028.15	860.25	0.00
			05/03/2016	.510874	464,420.71	99.791000	SUNGARD	-392.56
81413	CCCCD CORP APPLE IN	037833AJ9	05/03/2013	1.000000	175,000.00	170,681.00	719.44	0.00
			05/03/2018	1.076001	174,354.25	97.532000	SUNGARD	-3,673.25
81425	CCCSIG CORP IBM GLO	459200HL8	05/07/2013	.450000	1,880,000.00	1,874,623.20	3,407.50	0.00
			05/06/2016	.544986	1,874,698.40	99.714000	SUNGARD	-75.20
81432	CORP JP MORGAN CHAS	46623EJW0	05/07/2013	.800000	10,000,000.00	10,026,400.00	35,111.11	18,600.00
			04/23/2015	.759826	10,007,800.00	100.264000	SUNGARD	0.00
81444	CCCCD CORP TOYOTA M	89236TAL9	05/17/2013	.800000	190,000.00	190,442.70	565.78	520.60
			05/17/2016	.813862	189,922.10	100.233000	SUNGARD	0.00
81445	CCCSIG CORP TOYOTA	89236TAL9	05/17/2013	.800000	810,000.00	811,887.30	2,412.00	2,219.40



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Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par / Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
			05/17/2016	.813862	809,667.90	100.233000	SUNGARD	0.00
81472	CCCSIG CORP PFIZER	717081DD2	06/03/2013	.900000	1,800,000.00	1,794,582.00	3,420.00	0.00
			01/15/2017	.945019	1,797,120.00	99.699000	SUNGARD	-2,538.00
81501	CORP GENERAL ELECTR	36962G6R0	06/18/2013	1.000000	5,000,000.00	5,019,000.00	11,527.78	22,650.00
			01/08/2016	1.028965	4,996,350.00	100.380000	SUNGARD	0.00
81505	CCCSIG CORP CHEVRON	166764AC4	06/24/2013	.889000	940,000.00	943,966.80	2,251.64	3,966.80
			06/24/2016	.889000	940,000.00	100.422000	SUNGARD	0.00
81542	CCCSIG CORP WELLS F	94974BFL9	07/29/2013	1.250000	1,170,000.00	1,176,809.40	2,884.38	7,932.60
			07/20/2016	1.283025	1,168,876.80	100.582000	SUNGARD	0.00
81577	CCCSIG CORP BERKSHI	084664BX8	08/15/2013	.950000	985,000.00	988,595.25	1,195.68	4,117.30
			08/15/2016	.967967	984,477.95	100.365000	SUNGARD	0.00
81650	CCCSIG CORP BERKSHI	084664BX8	10/15/2013	.950000	355,000.00	356,295.75	430.93	1,970.25
			08/15/2016	1.018105	354,325.50	100.365000	SUNGARD	0.00
81668	CCCSIG CORP COCA CO	191216BD1	11/01/2013	.750000	360,000.00	359,571.60	1,125.00	0.00
			11/01/2016	.788864	359,586.00	99.881000	SUNGARD	-14.40
81703	CORP TOYOTA MTR CRD	89233P6S0	11/15/2013	1.250000	5,000,000.00	4,968,700.00	30,555.56	24,200.00
			10/05/2017	1.545080	4,944,500.00	99.374000	SUNGARD	0.00
81751	CCCSIG CORP CISCO S	17275RAC6	12/20/2013	5.500000	1,900,000.00	2,023,310.00	11,320.83	0.00
			02/22/2016	.616621	2,099,880.00	106.490000	SUNGARD	-76,570.00
81789	CORP MICROSOFT CORP	594918AV6	01/10/2014	1.625000	6,406,000.00	6,371,856.02	33,253.37	3,395.18
			12/06/2018	1.750063	6,368,460.84	99.467000	SUNGARD	0.00
81813	CORP INTEL STRUCTUR	458140AL4	01/27/2014	1.350000	5,211,000.00	5,194,116.36	20,713.74	14,486.58
			12/15/2017	1.510075	5,179,629.78	99.676000	SUNGARD	0.00
81876	CCCSIG CORP EXXON M	30231GAA0	03/20/2014	.921000	990,000.00	988,109.10	405.24	0.00
			03/15/2017	.921000	990,000.00	99.809000	SUNGARD	-1,890.90
81899	CORP WELLS FARGO &	94974BFG0	04/07/2014	1.500000	5,000,000.00	4,971,000.00	15,625.00	11,100.00
			01/16/2018	1.720116	4,959,900.00	99.420000	SUNGARD	0.00
81948	CCCSIG CORP WAL-MAR	931142DN0	04/22/2014	1.000000	370,000.00	369,685.50	1,644.45	0.00
			04/21/2017	1.005091	369,954.78	99.915000	SUNGARD	-259.00
81956	CCCSIG CORP TOYOTA	89233P6D3	04/28/2014	1.750000	975,000.00	987,372.75	6,114.06	0.00
			05/22/2017	1.200940	991,068.00	101.269000	SUNGARD	-3,695.25
81991	CCCSIG CORP APPLE I	037833AM2	05/06/2014	1.050000	1,500,000.00	1,494,900.00	6,387.50	0.00
			05/05/2017	1.068012	1,499,248.75	99.660000	SUNGARD	-4,305.00
82116	CCCSIG CORP WELLS F	94974BGB0	09/09/2014	1.400000	810,000.00	807,594.30	724.50	0.00
			09/08/2017	1.433859	809,229.60	99.703000	SUNGARD	-1,603.80
			Subtotal	1.244559	83,932,000.00	84,123,889.63	257,963.48	171,057.51
				1.115617	84,116,045.26	100.228625		-163,127.61



Contra Costa County

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Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
Inv Type: 80 YCD / NCD QTR FLTR								
82010	CCCSIG YCD NORDEA B	65558ET57	06/13/2014	.409800	1,950,000.00	1,950,000.00	355.17	0.00
			06/13/2016	.409800	1,950,000.00	100.000000	BOOK	0.00
82011	CCCSIG YCD BK NOVA	06417HMU7	06/13/2014	.409800	2,450,000.00	2,448,520.20	585.67	0.00
			06/10/2016	.440192	2,448,520.20	99.939600	BOOK	0.00
			Subtotal	.409800	4,400,000.00	4,398,520.20	940.84	0.00
				.426718	4,398,520.20	99.966368		0.00
Inv Type: 99 MONEY MARKET ACCOUNTS								
81524	AUHSD MM DREYFUS TA	26202K205	06/27/2013	.000000	537,226.12	537,226.12	0.00	0.00
			10/01/2014	.000000	537,226.12	100.000000	BOOK	0.00
81525	AUHSD MM DREYFUS TA	26202K205	06/27/2013	.000000	28,092.20	28,092.20	0.00	0.00
			10/01/2014	.000000	28,092.20	100.000000	BOOK	0.00
			Subtotal	.000000	565,318.32	565,318.32	0.00	0.00
				.000000	565,318.32	100.000000		0.00
Inv Type: 1000 TD WITH CALC CODE OF CSC-00								
82001	CD CCCCD BERTA KAMM	121101042	05/24/2014	.600000	3,335.77	3,335.77	7.23	0.00
			05/24/2017	.600000	3,335.77	100.000000	BOOK	0.00
			Subtotal	.600000	3,335.77	3,335.77	7.23	0.00
				.600000	3,335.77	100.000000		0.00
Grand Total			Count 282	.543665	1,332,445,511.23	1,333,738,450.57	1,721,726.38	609,726.86
				.460464	1,335,154,561.24	100.097035		-2,360,911.75

Note: Grand Total excludes Retirement investments, if any.



Contra Costa County

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Assets (000's)	Current Par	Current Book	Market	MKT/Book	Un Gain/Loss	Yield
U.S. TREASURIES	31,055.00	31,983.99	31,641.65	98.93 %	-339.49	0.61 %
U.S. AGENCIES	311,877.86	313,807.69	312,136.83	99.47 %	-1,653.97	0.88 %
MUNICIPALS	6,620.00	6,888.25	6,888.25	100.00 %	0.00	1.21 %
COMMERCIAL PAPER	542,922.00	542,369.41	542,777.00	100.08 %	52.42	0.22 %
NCD/YCD	340,020.00	340,018.07	340,121.07	100.03 %	103.27	0.25 %
CORPORATE NOTES	99,382.00	99,518.50	99,605.00	100.09 %	86.59	1.05 %
TIME DEPOSIT	3.34	3.34	3.34	100.00 %	0.00	0.60 %
Money Market Accounts	565.32	565.32	565.32	100.00 %	0.00	0.00 %
Totals(000's)	1,332,445.51	1,335,154.56	1,333,738.45	99.89 %	-1,751.18	0.46 %



AvantGard • www.sungard.com/avantgard • (818) 223-2300 main • (818) 223-2301 fax

January 30, 2014

Belinda Zhu
Assistant County Treasurer
Contra Costa County
625 Court Street, Room 100
Martinez, CA 94533

Dear Belinda,

Per your request, I am confirming for you the source of the SunGard market pricing data.

Monthly you receive three pricing files from us, RAPID, PRICES and MARKET. The data within these files is obtained from Interactive Data Corp. The content of the PRICES file consist of prices for overnight repos, TDs, CDs, CPs B/As and government discount issues other than Treasury Bills. The content of the RAPID file consists of prices for U.S. government issues and Treasuries. The MARKET file consists of Corporate Bonds, Notes and Equity prices.

If you need further information, please do not hesitate to contact me.

Sincerely,

SunGard AvantGard

A handwritten signature in black ink, appearing to read "Karen M. Tanaka".

Karen M. Tanaka
Senior Account Relationship Manager

SECTION III

APPENDIX

B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES

B.1. STATE OF CALIFORNIA LOCAL AGENCY INVESTMENT FUND (LAIF)

**CONTRA COSTA COUNTY
AS OF SEPTEMBER 30, 2014**

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CALIFORNIA STATE LOCAL AGENCY INVESTMENT ACCOUNTS	STATE CONTROLLER ACCOUNT NUMBER	ACCOUNT BALANCE	ESTIMATED FAIR VALUE
ACALANES UNION HIGH SCHOOL	75-07-010	945,149.29	945,320.63
ANTIOCH UNIFIED SCHOOL DISTRICT	75-07-005	11,796,943.00	11,799,081.60
BRENTWOOD UNION SCHOOL DISTRICT	75-07-013	8,108,774.63	8,110,244.62
BYRON UNION SCHOOL DISTRICT	75-07-017	164,909.39	164,939.29
CANYON ELEMENTARY SCHOOL DISTRICT	75-07-018	191,720.65	191,755.41
CENTRAL CONTRA COSTA SANITARY DISTRICT	70-07-001	25,600,000.00	25,604,640.87
CONTRA COSTA COMMUNITY COLLEGE	75-07-001	613,484.45	613,595.66
CONTRA COSTA COUNTY	99-07-000	50,000,000.00	50,009,064.20
CONTRA COSTA COUNTY OFFICE OF EDUCATION	75-07-007	1,277,294.87	1,277,526.42
CONTRA COSTA COUNTY SCHOOL INSURANCE GROUP	35-07-001	2,223,573.39	2,223,976.49
CROCKETT COMMUNITY SERVICES DISTRICT	16-07-004	2,009,058.82	2,009,423.03
DELTA DIABLO SANITATION DISTRICT	70-07-003	72,323.64	72,336.75
EAST CONTRA COSTA REG FEE & FINANCING AUTH	40-07-006	1,005,619.67	1,005,801.97
JOHN SWETT UNIFIED SCHOOL DISTRICT	75-07-020	0.00	0.00
KENSINGTON FIRE PROTECTION DISTRICT	17-07-011	3,616,936.60	3,617,592.29
KENSINGTON POLICE PROTECTION & COMMUNITY SERVICES DISTRICT	16-07-003	751,418.72	751,554.94
KNIGHTSEN SCHOOL DISTRICT	75-07-019	0.00	0.00
LAFAYETTE SCHOOL DISTRICT	75-07-012	6,370,271.55	6,371,426.38
MARTINEZ UNIFIED SCHOOL DISTRICT	75-07-011	7,297,930.19	7,299,253.19
MORAGA ORINDA FIRE DISTRICT	17-07-003	23,947.76	23,952.10
MT DIABLO UNIFIED SCHOOL DISTRICT	75-07-008	14,586,798.03	14,589,442.38
MT VIEW SANITARY DISTRICT	70-07-008	7,802,521.16	7,803,935.63
OAKLEY UNION SCHOOL DISTRICT	75-07-009	246,313.03	246,357.68
ORINDA UNION SCHOOL DISTRICT	75-07-015	7,379,995.22	7,381,333.10
PITTSBURG UNIFIED SCHOOL DISTRICT	75-07-002	13,792,384.20	13,794,884.54
RECLAMATION DISTRICT 800	60-07-003	4,521,952.32	4,522,772.08
REDEVELOPMENT AGENCY	65-07-015	6,958.93	6,960.19
RODEO -HERCULES FIRE PROTECTION DISTRICT	17-07-001	105,453.67	105,472.79
SAN RAMON VALLEY UNIFIED SCHOOL DISTRICT	75-07-004	281,624.19	281,675.24
WALNUT CREEK SCHOOL DISTRICT	75-07-003	0.00	0.00
WEST CONTRA COSTA UNIFIED SCHOOL DISTRICT	75-07-014	39,928,284.21	39,935,522.57
	TOTAL	<u>210,721,641.58</u>	<u>210,759,842.04</u>

SECTION III

APPENDIX

B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES

B.2. ASSET MANAGEMENT FUNDS

- a. WELLS CAPITAL MANAGEMENT**
- b. CalTRUST**

WELLS CAPITAL MANAGEMENT



Wells Capital Management GAAP

WC-Contra Costa County (19529)

Quarter End (Q3 Y2014)

07/01/2014 - 09/30/2014

Dated: 10/02/2014

Locked Down



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Risk Summary

07/01/2014 - 09/30/2014

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WC-Contra Costa County (19529)

Dated: 10/02/2014

Balance Sheet

Book Value + Accrued	44,339,621.27
Net Unrealized Gain/Loss	-3,611.47
Market Value + Accrued	44,336,009.80

Cash and Fixed Income Summary

Risk Metric	Value
Cash	3.70
MMFund	555,854.40
Fixed Income	43,780,151.70
Duration	0.631
Convexity	0.00
WAL	0.793
Years to Final Maturity	1.091
Years to Effective Maturity	0.802
Yield	0.361
Book Yield	0.423
Avg Credit Rating	AA+/Aa1/AA+

Issuer Concentration

Issuer Concentration	% of Base Market Value + Accrued
Federal Home Loan Mortgage Corp	18.089%
Federal Farm Credit Banks Funding Corporation	16.215%
Government of the United States	9.086%
Federal National Mortgage Association	4.521%
Federal Home Loan Banks Office of Finance	2.33%
California, State of	2.26%
Ohio, State of	1.822%
Toyota Motor Corporation	1.741%
American Express Company	1.729%
The Bank of New York Mellon Corporation	1.716%
Other	40.489%

--- 100.00%

Asset Class

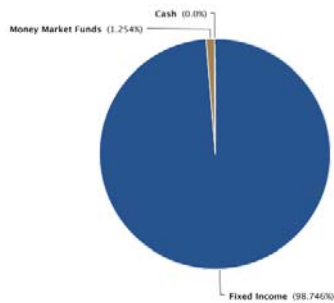


Chart calculated by: Base Market Value + Accrued

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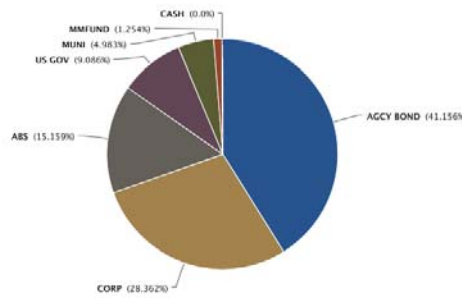


Chart calculated by: Base Market Value + Accrued

Market Sector

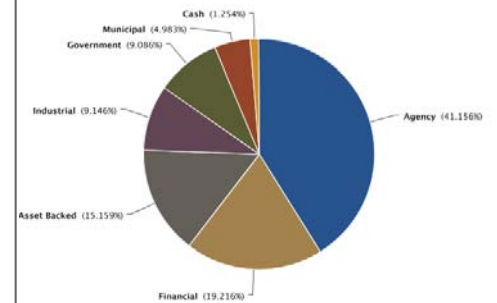


Chart calculated by: Base Market Value + Accrued



Risk Summary

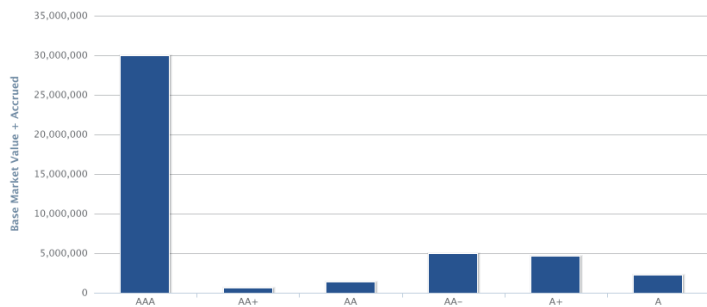
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WC-Contra Costa County (19529)

Dated: 10/02/2014

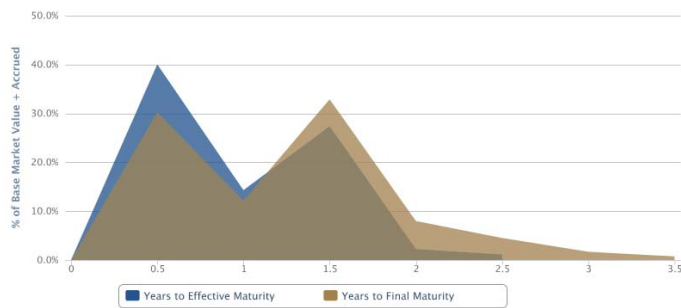
Credit Rating



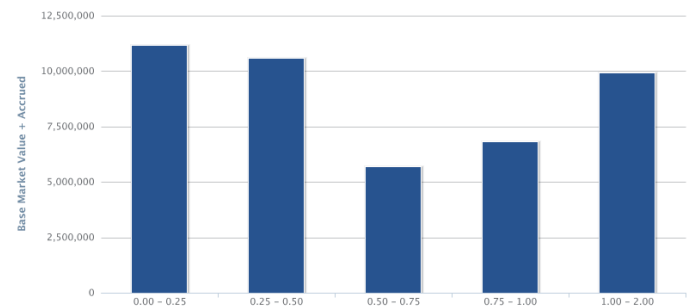
Credit Duration Heat Map

Rating	0 - 1	1 - 2	2 - 3	3 - 4	4 - 5	5 - 7	7 - 10	10 - 15	15 - 30
AAA	47.37%	20.42%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
AA	14.892%	1.465%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A	15.286%	0.568%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
BBB	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
BB	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
B	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
CCC	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
CC	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
C	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
NA	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Time To Maturity



Duration





Risk Summary

07/01/2014 - 09/30/2014

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WC-Contra Costa County (19529)

Dated: 10/02/2014

Industry Sector

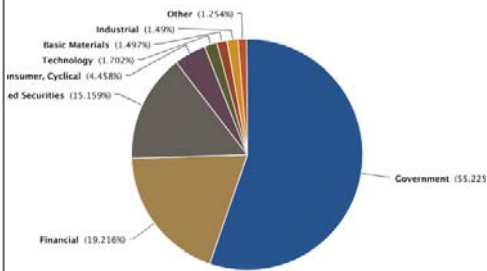


Chart calculated by: Base Market Value + Accrued

Industry Group

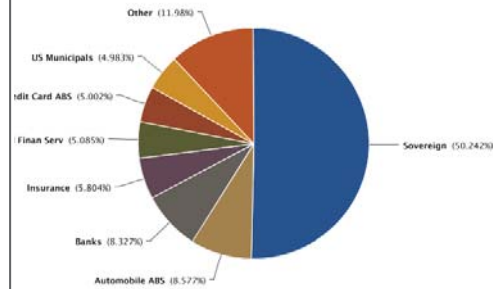


Chart calculated by: Base Market Value + Accrued

Industry Subgroup

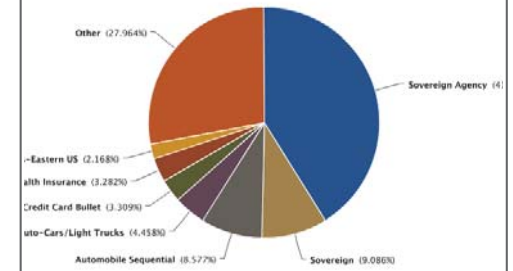
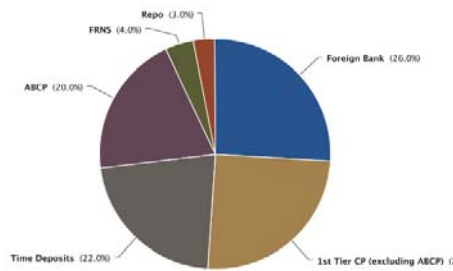


Chart calculated by: Base Market Value + Accrued

MMF Asset Allocation



Currency

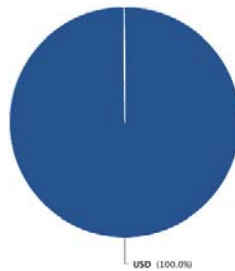


Chart calculated by: Base Market Value + Accrued

Country

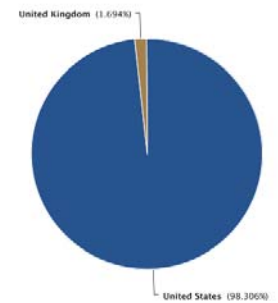


Chart calculated by: Base Market Value + Accrued



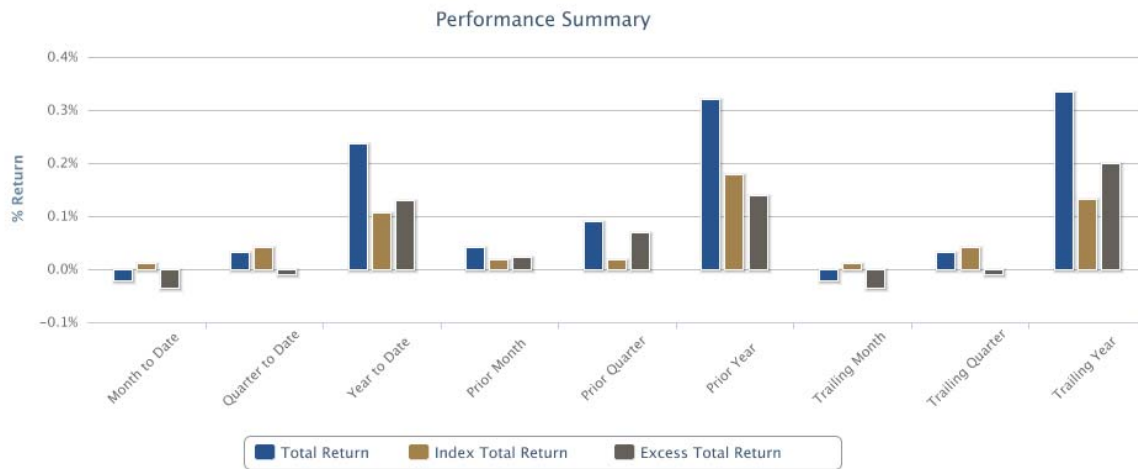
Performance Summary Gross of Fees

Base Currency: USD As of 09/30/2014

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WC-Contra Costa County (19529)

Dated: 10/02/2014



Period	Period Begin	Period End	Total Return	Index Total Return	Excess Total Return
Month to Date	09/01/2014	09/30/2014	-0.019%	0.014%	-0.033%
Quarter to Date	07/01/2014	09/30/2014	0.033%	0.042%	-0.009%
Year to Date	01/01/2014	09/30/2014	0.237%	0.107%	0.13%
Prior Month	08/01/2014	08/31/2014	0.044%	0.019%	0.025%
Prior Quarter	04/01/2014	06/30/2014	0.091%	0.021%	0.071%
Prior Year	01/01/2013	12/31/2013	0.321%	0.18%	0.141%
Trailing Month	09/01/2014	09/30/2014	-0.019%	0.014%	-0.033%
Trailing Quarter	07/01/2014	09/30/2014	0.033%	0.042%	-0.009%
Trailing Year	10/01/2013	09/30/2014	0.335%	0.134%	0.202%

Account	Index	Index Start Date	Index End Date
WC-Contra Costa County	ML 6 Month T-Bill	---	11/30/2004
WC-Contra Costa County	BofA Merrill Lynch 6 Month Treasury Bill Index (GOO2)	12/01/2004	---

Gross of Fees (includes trading). Returns are actual and have not been annualized. Note that data will not exist prior to the performance inception date of: 04/01/2001. No Tax Adjustment.



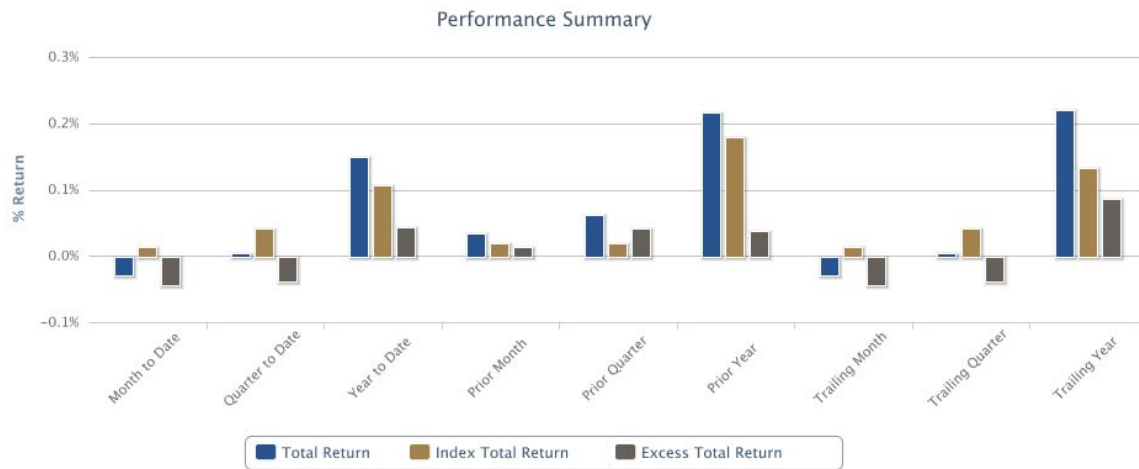
Performance Summary Net of Fees

WC-Contra Costa County (19529)

Base Currency: USD As of 09/30/2014

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Dated: 10/02/2014



Period	Period Begin	Period End	Total Return	Index Total Return	Excess Total Return
Month to Date	09/01/2014	09/30/2014	-0.029%	0.014%	-0.043%
Quarter to Date	07/01/2014	09/30/2014	0.004%	0.042%	-0.038%
Year to Date	01/01/2014	09/30/2014	0.151%	0.107%	0.044%
Prior Month	08/01/2014	08/31/2014	0.034%	0.019%	0.015%
Prior Quarter	04/01/2014	06/30/2014	0.063%	0.021%	0.042%
Prior Year	01/01/2013	12/31/2013	0.218%	0.18%	0.038%
Trailing Month	09/01/2014	09/30/2014	-0.029%	0.014%	-0.043%
Trailing Quarter	07/01/2014	09/30/2014	0.004%	0.042%	-0.038%
Trailing Year	10/01/2013	09/30/2014	0.221%	0.134%	0.087%

Account	Index	Index Start Date	Index End Date
WC-Contra Costa County	ML 6 Month T-Bill	---	11/30/2004
WC-Contra Costa County	BofA Merrill Lynch 6 Month Treasury Bill Index (GOO2)	12/01/2004	---

Net of Fees (includes management and trading). Returns are actual and have not been annualized. Note that data will not exist prior to the performance inception date of: 04/01/2001. No Tax Adjustment.



GAAP Financials

07/01/2014 - 09/30/2014

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WC-Contra Costa County (19529)

Dated: 10/02/2014

Balance Sheet		
WC-Contra Costa County		
As of:	06/30/2014	09/30/2014
Book Value	44,234,570.79	44,215,522.77
Accrued Balance	101,465.28	124,098.50
Book Value + Accrued	44,336,036.06	44,339,621.27
Net Unrealized Gain/Loss	27,536.23	-3,611.47
Market Value + Accrued	44,363,572.29	44,336,009.80

Income Statement		
WC-Contra Costa County		
	Begin Date End Date	07/01/2014 09/30/2014
Net Amortization/Accretion Income		-76,179.66
Interest Income	122,101.50	
Dividend Income	0.00	
Misc Income	0.00	
Income Subtotal		122,101.50
Net Realized Gain/Loss	-0.01	
Impairment Loss	0.00	
Net Gain/Loss		-0.01
Expense	-12,886.35	
Net Income		33,035.49
Transfers In/Out		-29,450.28
Change in Unrealized Gain/Loss		-31,147.69

Statement of Cash Flows		
WC-Contra Costa County		
	Begin Date End Date	07/01/2014 09/30/2014
Net Income		33,035.49
Amortization/Accretion on MS	76,179.66	
Change in Accrued on MS	-6,488.77	
Net Gain/Loss on MS	0.01	
Change in Unrealized G/L on CE	0.00	
Subtotal		69,690.89
Purchases of MS	-6,020,638.31	
Purchased Accrued of MS	-16,144.45	
Sales of MS	740,392.44	
Sold Accrued of MS	0.00	
Maturities of MS	4,225,000.00	
Net Purchases/Sales		-1,071,390.32
Transfers of Cash & CE		-29,450.28
Total Change in Cash & CE		-998,114.22
Beginning Cash & CE		1,553,972.32
Ending Cash & CE		555,858.10



Income Detail

WC-Contra Costa County (19529)

Base Currency: USD 07/01/2014 - 09/30/2014

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Dated: 10/02/2014

Identifier	Base Current Units	Description	Coupon	Final Maturity	Effective Maturity	Transfers In/Out	Net Realized Gain/Loss	Net Amortization/ Accretion Income	Interest/Dividend Income	Base Expense	Base Net Income	Settle Date
0258M0DA4	0.00	AMER EXPRESS CREDIT CO	2.75	09/15/2015	09/15/2015	0.00	0.00	-3,505.11	4,239.58	0.00	734.47	06/30/2014
0258M0DA4	750,000.00	AMER EXPRESS CREDIT CO	2.75	09/15/2015	09/15/2015	0.00	0.00	-737.92	916.67	0.00	178.75	06/30/2014
02666QK69	700,000.00	AMERICAN HONDA FINANCE	1.45	02/27/2015	02/27/2015	0.00	0.00	-1,498.24	2,537.50	0.00	1,039.26	06/06/2013
06050TLU4	500,000.00	BANK OF AMERICA NA	0.703	02/14/2017	02/14/2017	0.00	0.00	0.00	893.51	0.00	893.51	02/14/2014
06406HBN8	750,000.00	BANK OF NEW YORK MELLON	3.10	01/15/2015	01/15/2015	0.00	0.00	-4,760.74	5,812.50	0.00	1,051.76	07/26/2013
05578XAB4	168,627.39	BMWOT 2013-A A2	0.41	02/25/2016	12/22/2014	0.00	-0.01	3.12	237.64	0.00	240.76	11/06/2013
07330NAE5	750,000.00	BRANCH BANKING & TRUST	0.565	10/28/2015	10/28/2014	0.00	0.00	-248.09	1,079.03	0.00	830.94	02/03/2014
13063BN65	750,000.00	CALIFORNIA ST-TXBL	0.85	02/01/2015	02/01/2015	0.00	0.00	0.00	1,593.75	0.00	1,593.75	09/11/2013
CCYUSD	0.48	Cash	0.00	09/30/2014	09/30/2014	-29,450.28	0.00	0.00	0.00	-12,886.35	-12,886.35	---
17305ECH6	300,000.00	CCCIT 2004-A8 A8	4.90	12/12/2016	12/10/2014	0.00	0.00	-3,349.80	3,675.00	0.00	325.20	09/27/2013
17305ECH6	400,000.00	CCCIT 2004-A8 A8	4.90	12/12/2016	12/10/2014	0.00	0.00	-4,385.99	4,900.00	0.00	514.01	08/08/2013
161571FY5	750,000.00	CHAIT 2013-A4 A4	0.254	05/15/2017	04/22/2015	0.00	0.00	0.00	485.40	0.00	485.40	05/23/2013
22546QAE7	250,000.00	CREDIT SUISSE NEW YORK	3.50	03/23/2015	03/23/2015	0.00	0.00	-1,680.98	2,187.50	0.00	506.52	08/20/2013
3135G0WG4	1,000,000.00	FANNIE MAE	0.50	04/29/2016	04/29/2016	0.00	0.00	-170.00	1,250.00	0.00	1,080.00	06/03/2014
3135G0TR4	1,000,000.00	FANNIE MAE	0.65	01/15/2016	01/15/2015	0.00	0.00	-1,032.11	1,625.00	0.00	592.89	04/11/2014
3133EDGC0	1,000,000.00	FEDERAL FARM CREDIT BANK	0.25	11/04/2015	11/04/2015	0.00	0.00	330.58	625.00	0.00	955.58	03/24/2014
3133EAQ8	1,000,000.00	FEDERAL FARM CREDIT BANK	0.50	04/18/2016	04/18/2016	0.00	0.00	0.00	1,250.00	0.00	1,250.00	06/27/2014
3133EA6W3	1,000,000.00	FEDERAL FARM CREDIT BANK	0.27	11/05/2014	11/05/2014	0.00	0.00	-14.15	675.00	0.00	660.85	01/24/2013
3133EDQW5	1,000,000.00	FEDERAL FARM CREDIT BANK	0.29	01/21/2016	01/21/2016	0.00	0.00	149.67	483.33	0.00	632.99	08/01/2014
3133ECHB3	1,000,000.00	FEDERAL FARM CREDIT BANK	0.45	03/07/2016	03/07/2016	0.00	0.00	0.00	1,125.00	0.00	1,125.00	05/07/2014
3133EA4S4	1,000,000.00	FEDERAL FARM CREDIT BANK	0.25	10/16/2014	10/16/2014	0.00	0.00	-93.97	625.00	0.00	531.03	08/21/2013
3133EDGM8	200,000.00	FEDERAL FARM CREDIT BANK	0.35	03/10/2016	03/10/2016	0.00	0.00	85.20	175.00	0.00	260.20	03/24/2014
3133EDGM8	1,000,000.00	FEDERAL FARM CREDIT BANK	0.35	03/10/2016	03/10/2016	0.00	0.00	227.79	875.00	0.00	1,102.79	03/14/2014
313373SZ6	1,000,000.00	FEDERAL HOME LOAN BANK	2.125	06/10/2016	06/10/2016	0.00	0.00	-3,399.97	4,427.08	0.00	1,027.12	07/16/2014
31679KAB6	750,000.00	FITAT 2014-2 A2A	0.45	04/17/2017	08/06/2015	0.00	0.00	10.47	843.75	0.00	854.22	06/11/2014
3134G36G0	1,000,000.00	FREDDIE MAC	0.35	03/18/2015	03/18/2015	0.00	0.00	-270.95	875.00	0.00	604.05	12/18/2013
3137EADD8	1,000,000.00	FREDDIE MAC	0.50	04/17/2015	04/17/2015	0.00	0.00	-575.50	1,250.00	0.00	674.50	01/10/2014
3128X33E1	500,000.00	FREDDIE MAC	5.05	01/26/2015	01/26/2015	0.00	0.00	-6,037.68	6,312.50	0.00	274.82	03/21/2014
3134G54C6	1,000,000.00	FREDDIE MAC	0.50	05/20/2016	05/20/2016	0.00	0.00	-315.07	1,250.00	0.00	934.93	05/20/2014
3134G53J2	1,000,000.00	FREDDIE MAC	0.55	05/20/2016	05/20/2016	0.00	0.00	0.00	825.00	0.00	825.00	08/07/2014
3134G4TH1	1,000,000.00	FREDDIE MAC	0.30	07/08/2015	07/08/2015	0.00	0.00	-17.28	691.67	0.00	674.38	01/13/2014
3134G4TH1	0.00	FREDDIE MAC	0.30	07/08/2015	07/08/2015	0.00	0.00	-1.42	58.33	0.00	56.91	01/13/2014
3134G3H78	1,000,000.00	FREDDIE MAC	0.35	12/05/2014	12/05/2014	0.00	0.00	-139.61	875.00	0.00	735.39	07/09/2013
3134G4DE5	1,500,000.00	FREDDIE MAC	0.31	01/09/2015	01/09/2015	0.00	0.00	0.00	1,162.50	0.00	1,162.50	07/09/2013
313397A35	0.00	FREDDIE MAC DISCOUNT NT	0.00	08/01/2014	08/01/2014	0.00	0.00	163.61	0.00	0.00	163.61	10/16/2013
36962G6Q2	750,000.00	GENERAL ELEC CAP CORP	0.833	01/08/2016	01/08/2016	0.00	0.00	-422.08	1,596.26	0.00	1,174.18	09/23/2013
43814EAB1	167,369.64	HAROT 2013-2 A2	0.37	10/16/2015	11/29/2014	0.00	0.00	7.57	238.84	0.00	246.41	04/24/2013
43814GAB6	200,000.00	HAROT 2014-2 A2	0.39	09/19/2016	05/28/2015	0.00	0.00	2.63	195.00	0.00	197.63	05/21/2014
41284AAB4	332,420.96	HDMOT 2014-1 A2A	0.49	04/15/2018	07/15/2015	0.00	0.00	3.78	424.93	0.00	428.71	04/16/2014
40428HPG1	700,000.00	HSBC USA INC	2.375	02/13/2015	02/13/2015	0.00	0.00	-2,890.87	4,156.25	0.00	1,265.38	08/20/2013
459200HD6	750,000.00	IBM CORP	0.75	05/11/2015	05/11/2015	0.00	0.00	-465.95	1,406.25	0.00	940.30	06/14/2013
47787VAB7	700,000.00	JDOT 2014-A A2	0.45	09/15/2016	05/17/2015	0.00	0.00	4.30	787.50	0.00	791.80	04/09/2014
24422ESA8	660,000.00	JOHN DEERE CAPITAL CORP	0.304	01/12/2015	01/12/2015	0.00	0.00	0.00	510.51	0.00	510.51	03/11/2013
5446462Q4	400,000.00	LOS ANGELES SD-L-TXBL	0.486	01/01/2016	01/01/2016	0.00	0.00	0.00	226.80	0.00	226.80	08/19/2014
58768EAC3	750,000.00	MBALT 2014-A A2A	0.48	06/15/2016	04/29/2015	0.00	0.00	3.48	900.00	0.00	903.48	04/09/2014
59217GAM1	610,000.00	MET LIFE GLOB FUNDING I	2.00	01/09/2015	01/09/2015	0.00	0.00	-2,085.26	3,050.00	0.00	964.74	05/21/2013
55292LAB0	184,506.70	MTBAT 2013-1A A2	0.66	02/16/2016	12/01/2014	0.00	-0.00	2.25	422.47	0.00	424.72	09/19/2013
637432MP7	279,000.00	NATIONAL RURAL UTIL COOP	1.00	02/02/2015	02/02/2015	0.00	0.00	-443.28	697.50	0.00	254.22	05/02/2013
637432MP7	450,000.00	NATIONAL RURAL UTIL COOP	1.00	02/02/2015	02/02/2015	0.00	0.00	-489.97	1,125.00	0.00	635.03	06/18/2013
64952WBE2	500,000.00	NEW YORK LIFE GLOBAL FDG	1.30	01/12/2015	01/12/2015	0.00	0.00	-681.64	1,625.00	0.00	943.36	07/11/2013
677520FR4	750,000.00	OH ST-TXB-DEV ASST-A	5.39	10/01/2015	10/01/2015	0.00	0.00	-8,447.65	8,983.33	0.00	535.68	07/11/2014



Income Detail

WC-Contra Costa County (19529)

Base Currency: USD 07/01/2014 - 09/30/2014

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Dated: 10/02/2014

Identifier	Base Current Units	Description	Coupon	Final Maturity	Effective Maturity	Transfers In/Out	Net Realized Gain/Loss	Net Amortization/ Accretion Income	Interest/Dividend Income	Base Expense	Base Net Income	Settle Date
CCYUSD	0.00	Payable	0.00	09/30/2014	09/30/2014	0.00	0.00	0.00	0.00	0.00	0.00	---
70659PAE1	750,000.00	PENAR 2013-1A A1	0.544	11/18/2017	11/18/2015	0.00	0.00	0.00	1,044.11	0.00	1,044.11	11/21/2013
74005PAR5	650,000.00	PRAXAIR INC	4.625	03/30/2015	03/30/2015	0.00	0.00	-6,716.00	7,515.62	0.00	799.62	08/22/2013
74153WCA5	0.00	PRICOA GLOBAL FUNDING 1	0.502	08/19/2015	08/19/2015	0.00	0.00	0.00	253.09	0.00	253.09	08/23/2013
74153WCA5	0.00	PRICOA GLOBAL FUNDING 1	0.502	08/19/2015	08/19/2015	0.00	0.00	-70.90	202.47	0.00	131.57	01/30/2014
74153WCA5	300,000.00	PRICOA GLOBAL FUNDING 1	0.502	08/19/2015	08/19/2015	0.00	0.00	-62.22	179.92	0.00	117.70	01/30/2014
74153WCA5	375,000.00	PRICOA GLOBAL FUNDING 1	0.502	08/19/2015	08/19/2015	0.00	0.00	0.00	224.90	0.00	224.90	08/23/2013
74256LAC3	250,000.00	PRINCIPAL LFE GLB FND II	1.00	12/11/2015	12/11/2015	0.00	0.00	-354.78	625.00	0.00	270.22	06/30/2014
74254PYF3	500,000.00	PRINCIPAL LIFE INC FDG	5.55	04/27/2015	04/27/2015	0.00	0.00	-6,320.81	6,937.50	0.00	616.69	11/22/2013
CCYUSD	3.22	Receivable	0.00	09/30/2014	09/30/2014	0.00	0.00	0.00	0.00	0.00	0.00	---
797355R97	0.00	SAN DIEGO USD-A-TXBL	0.342	07/01/2014	07/01/2014	0.00	0.00	0.00	0.00	0.00	0.00	04/30/2013
842477TB7	0.00	STHRN CA PUB PWR-B	0.35	07/01/2014	07/01/2014	0.00	0.00	0.00	0.00	0.00	0.00	06/06/2013
89236VAB6	325,145.16	TAOT 2013-B A2	0.48	02/15/2016	12/26/2014	0.00	-0.01	8.47	493.24	0.00	501.71	09/18/2013
89233P4B9	750,000.00	TOYOTA MOTOR CREDIT CORP	3.20	06/17/2015	06/17/2015	0.00	0.00	-4,859.87	5,400.00	0.00	540.13	07/10/2014
91412GUT0	250,000.00	UNIV OF CALIFORNIA-AN	0.634	05/15/2016	05/15/2016	0.00	0.00	0.00	396.25	0.00	396.25	04/10/2014
90331HKP7	700,000.00	US BANK NA	4.95	10/30/2014	10/30/2014	0.00	0.00	-8,091.93	8,662.50	0.00	570.57	10/11/2013
912828WQ9	1,000,000.00	US TREASURY N/B	0.50	06/30/2016	06/30/2016	0.00	0.00	-103.38	1,250.00	0.00	1,146.62	07/01/2014
912828PS3	1,000,000.00	US TREASURY N/B	2.00	01/31/2016	01/31/2016	0.00	0.00	-2,845.37	3,369.57	0.00	524.19	07/31/2014
912828TF7	0.00	US TREASURY N/B	0.125	07/31/2014	07/31/2014	0.00	0.00	180.63	207.18	0.00	387.81	02/14/2013
912828UP3	1,000,000.00	US TREASURY N/B	0.25	02/28/2015	02/28/2015	0.00	0.00	38.71	628.49	0.00	667.20	08/20/2013
912828B82	1,000,000.00	US TREASURY N/B	0.25	02/29/2016	02/29/2016	0.00	0.00	475.12	628.49	0.00	1,103.61	03/20/2014
90290KAB1	660,000.00	USAOT 2014-1 A2	0.38	10/17/2016	03/16/2015	0.00	0.00	5.44	627.00	0.00	632.44	02/28/2014
92867GAC7	262,786.92	VALET 2012-1 A3	0.85	08/22/2016	03/13/2015	0.00	0.00	-295.90	681.57	0.00	385.67	10/08/2013
928668AD4	500,000.00	VOLKSWAGEN GROUP AMERICA	0.447	05/23/2016	05/23/2016	0.00	0.00	0.00	572.68	0.00	572.68	05/23/2014
949917397	555,854.40	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	09/30/2014	0.00	0.00	0.00	19.01	0.00	19.01	---
---	44,030,714.87	---	---	11/03/2015	07/20/2015	-29,450.28	-0.01	-76,179.66	122,101.50	-12,886.35	33,035.49	---

* Weighted By: Ending Base Market Value + Accrued. * Holdings Displayed By: Lot.



Balance Sheet Classification

WC-Contra Costa County (19529)

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CE

Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
949917397	WFA HERITAGE MONEY MARK-I	555,854.40	Cash	AAA	0.01	09/30/2014 09/30/2014	0.00 0.00	555,854.40 555,854.40	0.00	1.00	0.00	555,854.40	555,854.40
CCYUSD	Receivable	3.22	Cash	AAA	0.00	09/30/2014 09/30/2014	0.00 0.00	3.22 3.22	0.00	1.00	0.00	3.22	3.22
CCYUSD	Cash	0.48	Cash	AAA	0.00	09/30/2014 09/30/2014	0.00 0.00	0.48 0.48	0.00	1.00	0.00	0.48	0.48
---	---	555,858.10	Cash	AAA	---	09/30/2014 09/30/2014	0.00 0.00	555,858.10 555,858.10	0.00	1.00	0.00	555,858.10	555,858.10

ST

Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
59217GAM1	MET LIFE GLOB FUNDING I	610,000.00	Financial	AA-	2.00	01/09/2015 01/09/2015	0.63 0.334	623,554.20 612,266.59	513.79	100.456	2,778.89	612,780.38	615,559.27
3134G36G0	FREDDIE MAC	1,000,000.00	Agency	AAA	0.35	03/18/2015 03/18/2015	0.243 0.133	1,001,340.00 1,000,494.77	864.23	100.136	126.39	1,001,359.00	1,001,485.39
13063BN65	CALIFORNIA ST-TXBL	750,000.00	Municipal	AA-	0.85	02/01/2015 02/01/2015	0.85 0.451	750,000.00 750,000.00	832.50	100.111	1,062.50	750,832.50	751,895.00
02666QK69	AMERICAN HONDA FINANCE	700,000.00	Industrial	A+	1.45	02/27/2015 02/27/2015	0.593 0.266	710,276.00 702,426.50	496.70	100.418	958.61	702,923.20	703,881.81
3133EA6W3	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.27	11/05/2014 11/05/2014	0.264 0.19	1,000,100.00 1,000,005.38	66.62	100.007	1,095.00	1,000,072.00	1,001,167.00
74153WCA5	PRICOA GLOBAL FUNDING 1	300,000.00	Financial	AA-	0.502	08/19/2015 08/19/2015	0.332 0.298	300,819.00 300,465.93	70.17	100.179	179.92	300,536.10	300,716.02
74153WCA5	PRICOA GLOBAL FUNDING 1	375,000.00	Financial	AA-	0.502	08/19/2015 08/19/2015	0.508 0.298	375,000.00 375,000.00	670.12	100.179	224.90	375,670.12	375,895.02
3137EADD8	FREDDIE MAC	1,000,000.00	Agency	AAA	0.50	04/17/2015 04/17/2015	0.272 0.087	1,002,890.00 1,001,238.57	930.43	100.217	2,277.78	1,002,169.00	1,004,446.78
90331HKP7	US BANK NA	700,000.00	Financial	A+	4.95	10/30/2014 10/30/2014	0.354 0.741	733,775.00 702,550.72	-115.42	100.348	14,533.75	702,435.30	716,969.05
3128X33E1	FREDDIE MAC	500,000.00	Agency	AAA	5.05	01/26/2015 01/26/2015	0.224 0.205	520,410.00 507,678.36	100.64	101.556	4,559.03	507,779.00	512,338.03
40428HPG1	HSBC USA INC	700,000.00	Financial	AA-	2.375	02/13/2015 02/13/2015	0.72 0.37	717,031.00 704,242.04	1,028.96	100.753	2,216.67	705,271.00	707,487.67
637432MP7	NATIONAL RURAL UTIL COOP	279,000.00	Financial	A+	1.00	02/02/2015 02/02/2015	0.365 0.276	282,088.53 279,597.47	85.80	100.245	457.25	279,683.27	280,140.52
637432MP7	NATIONAL RURAL UTIL COOP	450,000.00	Financial	A+	1.00	02/02/2015 02/02/2015	0.564 0.276	453,163.50 450,660.39	441.66	100.245	737.50	451,102.05	451,839.55
06406HBN8	BANK OF NEW YORK MELLON	750,000.00	Financial	AA-	3.10	01/15/2015 01/15/2015	0.56 0.361	777,840.00 755,485.20	484.80	100.796	4,908.33	755,970.00	760,878.33
64952WBE2	NEW YORK LIFE GLOBAL FDG	500,000.00	Financial	AAA	1.30	01/12/2015 01/12/2015	0.754 0.229	504,075.00 500,763.14	745.86	100.302	1,426.39	501,509.00	502,935.39
74005PAR5	PRAXAIR INC	650,000.00	Industrial	A	4.625	03/30/2015 03/30/2015	0.511 0.394	692,705.00 663,140.00	565.90	102.109	83.51	663,705.90	663,789.41
3133EA4S4	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.25	10/16/2014 10/16/2014	0.213 0.08	1,000,430.00 1,000,015.32	59.68	100.008	1,145.83	1,000,075.00	1,001,220.83
912828UP3	US TREASURY N/B	1,000,000.00	Government	AAA	0.25	02/28/2015 02/28/2015	0.265 0.045	999,765.63 999,936.88	922.12	100.086	214.09	1,000,859.00	1,001,073.09
22546QAE7	CREDIT SUISSE NEW YORK	250,000.00	Financial	A+	3.50	03/23/2015 03/23/2015	0.814 0.397	260,597.50 253,160.98	582.27	101.497	194.44	253,743.25	253,937.69
89233P4B9	TOYOTA MOTOR CREDIT CORP	750,000.00	Industrial	AA-	3.20	06/17/2015 06/17/2015	0.341 0.379	770,025.00 765,165.13	-117.88	102.006	6,933.33	765,047.25	771,980.58
74254PYF3	PRINCIPAL LIFE INC FDG	500,000.00	Financial	A+	5.55	04/27/2015 04/27/2015	0.52 0.435	535,795.00 514,290.52	366.48	102.931	11,870.83	514,657.00	526,527.83



Balance Sheet Classification

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Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/ Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
3134G3H78	FREDDIE MAC	1,000,000.00	Agency	AAA	0.35	12/05/2014 12/05/2014	0.294 0.055	1,000,780.00 1,000,098.64	403.36	100.05	1,127.78	1,000,502.00	1,001,629.78
459200HD6	IBM CORP	750,000.00	Industrial	AA-	0.75	05/11/2015 05/11/2015	0.502 0.216	753,525.00 751,124.35	1,070.15	100.293	2,187.50	752,194.50	754,382.00
0258M0DA4	AMER EXPRESS CREDIT CO	750,000.00	Financial	A+	2.75	09/15/2015 09/15/2015	0.491 0.522	770,385.00 766,095.85	-408.85	102.092	916.67	765,687.00	766,603.67
3134G4DE5	FREDDIE MAC	1,500,000.00	Agency	AAA	0.31	01/09/2015 01/09/2015	0.31 0.054	1,500,000.00 1,500,000.00	825.00	100.055	1,059.17	1,500,825.00	1,501,884.17
24422ESA8	JOHN DEERE CAPITAL CORP	660,000.00	Industrial	A	0.304	01/12/2015 01/12/2015	0.31 0.193	660,000.00 660,000.00	201.30	100.03	439.71	660,201.30	660,641.01
3134G4TH1	FREDDIE MAC	1,000,000.00	Agency	AAA	0.30	07/08/2015 07/08/2015	0.293 0.158	1,000,110.00 1,000,056.93	967.07	100.102	691.67	1,001,024.00	1,001,715.67
---	---	19,424,000.00	---	AA	---	02/27/2015 02/27/2015	0.415 0.246	19,696,480.36 19,515,959.67	12,653.45	---	64,407.43	19,528,613.13	19,593,020.56

LT

Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/ Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
3135G0WG4	FANNIE MAE	1,000,000.00	Agency	AAA	0.50	04/29/2016 04/29/2016	0.281 0.515	1,000,340.00 1,000,000.00	-304.00	99.97	2,111.11	999,696.00	1,001,807.11
912828WQ9	US TREASURY N/B	1,000,000.00	Government	AAA	0.50	06/30/2016 06/30/2016	0.459 0.46	1,000,820.31 1,000,716.93	-130.93	100.059	1,263.59	1,000,586.00	1,001,849.59
3133EA4Q8	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.50	04/18/2016 04/18/2016	0.50 0.785	1,000,000.00 1,000,000.00	-4,451.00	99.555	2,263.89	995,549.00	997,812.89
677520FR4	OH ST-TXB-DEV ASST-A	750,000.00	Municipal	AA	5.39	10/01/2015 10/01/2015	0.351 0.376	796,050.00 787,602.35	-72.35	105.004	20,212.50	787,530.00	807,742.50
17305ECH6	CCCIT 2004-A8 A8	300,000.00	Asset Backed	AAA	4.90	12/12/2016 12/10/2014	0.457 0.294	315,984.38 302,548.76	134.74	100.894	4,532.50	302,683.50	307,216.00
17305ECH6	CCCIT 2004-A8 A8	400,000.00	Asset Backed	AAA	4.90	12/12/2016 12/10/2014	0.535 0.294	423,312.50 403,337.17	240.83	100.894	6,043.33	403,578.00	409,621.33
928668AD4	VOLKSWAGEN GROUP AMERICA	500,000.00	Industrial	A	0.447	05/23/2016 05/23/2016	0.454 0.426	500,000.00 500,000.00	234.50	100.047	229.99	500,234.50	500,464.49
313373SZ6	FEDERAL HOME LOAN BANK	1,000,000.00	Agency	AAA	2.125	06/10/2016 06/10/2016	0.50 0.549	1,030,688.00 1,027,288.03	-748.03	102.654	6,552.08	1,026,540.00	1,033,092.08
43814EAB1	HAROT 2013-2 A2	167,369.64	Asset Backed	AAA	0.37	10/16/2015 11/29/2014	0.379 0.336	167,355.50 167,367.85	11.16	100.006	25.80	167,379.01	167,404.82
3133EDGC0	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.25	11/04/2015 11/04/2015	0.382 0.281	997,880.00 998,566.31	1,089.69	99.966	1,020.83	999,656.00	1,000,676.83
06050TLU4	BANK OF AMERICA NA	500,000.00	Financial	A	0.703	02/14/2017 02/14/2017	0.715 0.623	500,000.00 500,000.00	940.50	100.188	468.73	500,940.50	501,409.23
161571FY5	CHAIT 2013-A4 A4	750,000.00	Asset Backed	AAA	0.254	05/15/2017 04/22/2015	0.254 0.251	750,000.00 750,000.00	0.00	100.00	84.53	750,000.00	750,084.53
36962G6Q2	GENERAL ELEC CAP CORP	750,000.00	Financial	AA+	0.833	01/08/2016 01/08/2016	0.621 0.372	753,840.00 752,128.75	2,227.25	100.581	1,475.28	754,356.00	755,831.28
43814GAB6	HAROT 2014-2 A2	200,000.00	Asset Backed	AAA	0.39	09/19/2016 05/28/2015	0.399 0.427	199,984.38 199,988.18	-34.18	99.977	28.17	199,954.00	199,982.17
3133EDQW5	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.29	01/21/2016 01/21/2016	0.38 0.518	998,680.00 998,829.67	-1,800.67	99.703	563.89	997,029.00	997,592.89
912828PS3	US TREASURY N/B	1,000,000.00	Government	AAA	2.00	01/31/2016 01/31/2016	0.315 0.266	1,025,195.31 1,022,349.94	541.06	102.289	3,369.57	1,022,891.00	1,026,260.57
55292LAB0	MTBAT 2013-1A A2	184,506.70	Asset Backed	AAA	0.66	02/16/2016 12/01/2014	0.664 0.359	184,502.86 184,505.86	93.10	100.05	54.12	184,598.95	184,653.08
912828B82	US TREASURY N/B	1,000,000.00	Government	AAA	0.25	02/29/2016 02/29/2016	0.44 0.297	996,328.13 997,335.18	1,844.82	99.918	214.09	999,180.00	999,394.09
07330NAE5	BRANCH BANKING & TRUST	750,000.00	Financial	A+	0.565	10/28/2015 10/28/2014	0.445 -0.009	750,720.00 750,072.81	249.69	100.043	765.24	750,322.50	751,087.74



Balance Sheet Classification

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Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/ Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
47787VAB7	JDOT 2014-A A2	700,000.00	Asset Backed	AAA	0.45	09/15/2016 05/17/2015	0.455 0.42	699,972.63 699,980.82	162.68	100.02	140.00	700,143.50	700,283.50
89236VAB6	TAOT 2013-B A2	325,145.16	Asset Backed	AAA	0.48	02/15/2016 12/26/2014	0.488 0.36	325,127.31 325,139.74	100.04	100.029	69.36	325,239.78	325,309.14
41284AAB4	HDMOT 2014-1 A2A	332,420.96	Asset Backed	AAA	0.49	04/15/2018 07/15/2015	0.497 0.464	332,398.46 332,403.24	90.19	100.022	72.39	332,493.43	332,565.82
90290KAB1	USAOT 2014-1 A2	660,000.00	Asset Backed	AAA	0.38	10/17/2016 03/16/2015	0.386 0.361	659,974.13 659,985.21	82.11	100.01	111.47	660,067.32	660,178.79
70659PAE1	PENAR 2013-1A A1	750,000.00	Asset Backed	AAA	0.544	11/18/2017 11/18/2015	0.546 0.449	750,000.00 750,000.00	813.00	100.108	147.20	750,813.00	750,960.20
3133ECHB3	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.45	03/07/2016 03/07/2016	0.45 0.759	1,000,000.00 1,000,000.00	-4,432.00	99.557	300.00	995,568.00	995,868.00
05578XAB4	BMWOT 2013-A A2	168,627.39	Asset Backed	AAA	0.41	02/25/2016 12/22/2014	0.416 0.335	168,621.33 168,625.28	30.26	100.017	11.52	168,655.55	168,667.07
3134G54C6	FREDDIE MAC	1,000,000.00	Agency	AAA	0.50	05/20/2016 05/20/2016	0.375 0.657	1,001,250.00 1,000,791.10	-3,407.10	99.738	1,819.44	997,384.00	999,203.44
3133EDGM8	FEDERAL FARM CREDIT BANK	200,000.00	Agency	AAA	0.35	03/10/2016 03/10/2016	0.52 0.67	199,336.00 199,512.88	-434.28	99.539	40.83	199,078.60	199,119.43
3133EDGM8	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.35	03/10/2016 03/10/2016	0.441 0.67	998,200.00 998,697.66	-3,304.66	99.539	204.17	995,393.00	995,597.17
91412GUT0	UNIV OF CALIFORNIA-AN	250,000.00	Municipal	AA	0.634	05/15/2016 05/15/2016	0.634 0.699	250,000.00 250,000.00	-502.50	99.799	752.88	249,497.50	250,250.38
92867GAC7	VALET 2012-1 A3	262,786.92	Asset Backed	AAA	0.85	08/22/2016 03/13/2015	0.502 0.453	263,577.33 263,142.00	112.68	100.178	68.25	263,254.68	263,322.93
58768EAC3	MBALT 2014-A A2A	750,000.00	Asset Backed	AAA	0.48	06/15/2016 04/29/2015	0.484 0.396	749,980.20 749,986.81	385.94	100.05	160.00	750,372.75	750,532.75
31679KAB6	FITAT 2014-2 A2A	750,000.00	Asset Backed	AAA	0.45	04/17/2017 08/06/2015	0.461 0.463	749,917.58 749,931.94	-5.44	99.99	150.00	749,926.50	750,076.50
74256LAC3	PRINCIPAL LFE GLB FND II	250,000.00	Financial	A+	1.00	12/11/2015 12/11/2015	0.434 0.711	252,040.00 251,681.36	-610.86	100.428	763.89	251,070.50	251,834.39
3134G53J2	FREDDIE MAC	1,000,000.00	Agency	AAA	0.55	05/20/2016 05/20/2016	0.55 0.83	1,000,000.00 1,000,000.00	-4,608.00	99.539	2,001.39	995,392.00	997,393.39
3135G0TR4	FANNIE MAE	1,000,000.00	Agency	AAA	0.65	01/15/2016 01/15/2015	0.238 0.15	1,003,130.00 1,001,189.18	236.82	100.143	1,372.22	1,001,426.00	1,002,798.22
5446462Q4	LOS ANGELES SD-L-TXBL	400,000.00	Municipal	AA	0.486	01/01/2016 01/01/2016	0.486 0.635	400,000.00 400,000.00	-1,040.00	99.74	226.80	398,960.00	399,186.80
---	---	24,050,856.77	---	AA+	---	05/31/2016 11/19/2015	0.438 0.462	24,195,206.35 24,143,704.99	-16,264.92	---	59,691.07	24,127,440.07	24,187,131.14

Summary

Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/ Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
---	---	44,030,714.87	---	AA+	---	11/03/2015 07/20/2015	0.423 0.361	44,447,544.81 44,215,522.77	-3,611.47	---	124,098.50	44,211,911.30	44,336,009.80

* Grouped By: BS Class 2. * Groups Sorted By: BS Class 2. * Weighted By: Base Market Value + Accrued.



Trading Activity

WC-Contra Costa County (19529)

Base Currency: USD 07/01/2014 - 09/30/2014

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* Does not Lock Down.

Principal Paydown

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
07/25/2014 07/25/2014	Principal Paydown	05578XAB4 BMWOT 2013-A A2	0.41	02/25/2016	Direct	0.00 -35,924.20	---	0.00 -35,924.20	0.00	-0.00	0.00	35,924.20
08/25/2014 08/25/2014	Principal Paydown	05578XAB4 BMWOT 2013-A A2	0.41	02/25/2016	Direct	0.00 -35,771.62	---	0.00 -35,771.62	0.00	-0.00	0.00	35,771.62
09/25/2014 09/25/2014	Principal Paydown	05578XAB4 BMWOT 2013-A A2	0.41	02/25/2016	Direct	0.00 -34,475.61	---	0.00 -34,475.61	0.00	-0.00	0.00	34,475.61
09/15/2014 09/15/2014	Principal Paydown	41284AAB4 HDMOT 2014-1 A2A	0.49	04/15/2018	Direct	0.00 -17,579.04	---	0.00 -17,579.04	0.00	0.00	0.00	17,579.04
09/16/2014 09/16/2014	Principal Paydown	43814EAB1 HAROT 2013-2 A2	0.37	10/16/2015	Direct	0.00 -58,929.62	---	0.00 -58,929.62	0.00	0.00	0.00	58,929.62
08/16/2014 08/16/2014	Principal Paydown	43814EAB1 HAROT 2013-2 A2	0.37	10/16/2015	Direct	0.00 -62,550.99	---	0.00 -62,550.99	0.00	0.00	0.00	62,550.99
07/16/2014 07/16/2014	Principal Paydown	43814EAB1 HAROT 2013-2 A2	0.37	10/16/2015	Direct	0.00 -62,715.83	---	0.00 -62,715.83	0.00	-0.00	0.00	62,715.83
07/15/2014 07/15/2014	Principal Paydown	55292LAB0 MTBAT 2013-1A A2	0.66	02/16/2016	Direct	0.00 -51,288.25	---	0.00 -51,288.25	0.00	0.00	0.00	51,288.25
09/15/2014 09/15/2014	Principal Paydown	55292LAB0 MTBAT 2013-1A A2	0.66	02/16/2016	Direct	0.00 -46,561.26	---	0.00 -46,561.26	0.00	0.00	0.00	46,561.26
08/15/2014 08/15/2014	Principal Paydown	55292LAB0 MTBAT 2013-1A A2	0.66	02/16/2016	Direct	0.00 -51,700.98	---	0.00 -51,700.98	0.00	-0.00	0.00	51,700.98
08/15/2014 08/15/2014	Principal Paydown	89236VAB6 TAOT 2013-B A2	0.48	02/15/2016	Direct	0.00 -60,360.40	---	0.00 -60,360.40	0.00	-0.00	0.00	60,360.40
09/15/2014 09/15/2014	Principal Paydown	89236VAB6 TAOT 2013-B A2	0.48	02/15/2016	Direct	0.00 -56,810.29	---	0.00 -56,810.29	0.00	-0.00	0.00	56,810.29
07/15/2014 07/15/2014	Principal Paydown	89236VAB6 TAOT 2013-B A2	0.48	02/15/2016	Direct	0.00 -62,158.59	---	0.00 -62,158.59	0.00	-0.00	0.00	62,158.59
09/20/2014 09/20/2014	Principal Paydown	92867GAC7 VALET 2012-1 A3	0.85	08/22/2016	Direct	0.00 -37,979.40	---	0.00 -37,979.40	0.00	-0.00	0.00	37,979.40
08/20/2014 08/20/2014	Principal Paydown	92867GAC7 VALET 2012-1 A3	0.85	08/22/2016	Direct	0.00 -32,314.80	---	0.00 -32,314.80	0.00	0.00	0.00	32,314.80
07/20/2014 07/20/2014	Principal Paydown	92867GAC7 VALET 2012-1 A3	0.85	08/22/2016	Direct	0.00 -33,271.56	---	0.00 -33,271.56	0.00	-0.00	0.00	33,271.56
---	Principal Paydown	---	---	---	Direct	0.00 -740,392.45	---	0.00 -740,392.44	0.00	-0.01	0.00	740,392.44

Buy

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
07/15/2014 07/16/2014	Buy	313373SZ6 FEDERAL HOME LOAN BANK	2.125	06/10/2016	FIRST TENNESSEE BANK N A BOND	1,000,000.00 1,000,000.00	103.069	0.00 1,030,688.00	2,125.00	0.00	0.00	-1,032,813.00
07/31/2014 08/01/2014	Buy	3133EDQW5 FEDERAL FARM CREDIT BANK	0.29	01/21/2016	GX CLARKE & CO.	1,000,000.00 1,000,000.00	99.868	0.00 998,680.00	80.56	0.00	0.00	-998,760.56
08/06/2014 08/07/2014	Buy	3134G53J2 FREDDIE MAC	0.55	05/20/2016	CastleOak Securities LP	1,000,000.00 1,000,000.00	100.00	0.00 1,000,000.00	1,176.39	0.00	0.00	-1,001,176.39
08/06/2014 08/19/2014	Buy	5446462Q4 LOS ANGELES SD-L-TXBL	0.486	01/01/2016	RAYMOND JAMES & ASSOCIATES	400,000.00 400,000.00	100.00	0.00 400,000.00	0.00	0.00	0.00	-400,000.00
07/08/2014 07/11/2014	Buy	677520FR4 OH ST-TXB-DEV ASST-A	5.39	10/01/2015	Citigroup Global Markets (AU)	750,000.00 750,000.00	106.14	0.00 796,050.00	11,229.17	0.00	0.00	-807,279.17
07/07/2014 07/10/2014	Buy	89233P4B9 TOYOTA MOTOR CREDIT CORP	3.20	06/17/2015	Goldman Sachs & Co. (AU)	750,000.00 750,000.00	102.67	0.00 770,025.00	1,533.33	0.00	0.00	-771,558.33
07/30/2014 07/31/2014	Buy	912828PS3 US TREASURY N/B	2.00	01/31/2016	Citigroup Global Markets (AU)	1,000,000.00 1,000,000.00	102.52	0.00 1,025,195.31	0.00	0.00	0.00	-1,025,195.31
07/22/2014 07/22/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	33,531.07 33,531.07	1.00	33,531.07 33,531.07	0.00	0.00	0.00	-33,531.07
07/25/2014 07/25/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	36,018.09 36,018.09	1.00	36,018.09 36,018.09	0.00	0.00	0.00	-36,018.09



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07/28/2014 07/28/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	12,625.00 12,625.00	1.00	12,625.00 12,625.00	0.00	0.00	0.00	-12,625.00
07/28/2014 07/28/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	1,057.59 1,057.59	1.00	1,057.59 1,057.59	0.00	0.00	0.00	-1,057.59
07/31/2014 07/31/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	976,054.69 976,054.69	1.00	976,054.69 976,054.69	0.00	0.00	0.00	-976,054.69
08/01/2014 08/01/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	4,438.03 4,438.03	1.00	4,438.03 4,438.03	0.00	0.00	0.00	-4,438.03
08/04/2014 08/04/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	3,645.00 3,645.00	1.00	3,645.00 3,645.00	0.00	0.00	0.00	-3,645.00
07/08/2014 07/08/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	3,072.78 3,072.78	1.00	3,072.78 3,072.78	0.00	0.00	0.00	-3,072.78
07/09/2014 07/09/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	8,425.00 8,425.00	1.00	8,425.00 8,425.00	0.00	0.00	0.00	-8,425.00
07/14/2014 07/14/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	3,250.00 3,250.00	1.00	3,250.00 3,250.00	0.00	0.00	0.00	-3,250.00
07/15/2014 07/15/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	1,033.35 1,033.35	1.00	1,033.35 1,033.35	0.00	0.00	0.00	-1,033.35
07/15/2014 07/15/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	129,554.87 129,554.87	1.00	129,554.87 129,554.87	0.00	0.00	0.00	-129,554.87
07/16/2014 07/16/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	62,824.23 62,824.23	1.00	62,824.23 62,824.23	0.00	0.00	0.00	-62,824.23
07/01/2014 07/01/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	226,296.60 226,296.60	1.00	226,296.60 226,296.60	0.00	0.00	0.00	-226,296.60
09/30/2014 09/30/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	15,031.25 15,031.25	1.00	15,031.25 15,031.25	0.00	0.00	0.00	-15,031.25
09/25/2014 09/25/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	34,545.00 34,545.00	1.00	34,545.00 34,545.00	0.00	0.00	0.00	-34,545.00
09/24/2014 09/24/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	4,375.00 4,375.00	1.00	4,375.00 4,375.00	0.00	0.00	0.00	-4,375.00
09/15/2014 09/15/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	114,881.36 114,881.36	1.00	114,881.36 114,881.36	0.00	0.00	0.00	-114,881.36
09/08/2014 09/08/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	2,250.00 2,250.00	1.00	2,250.00 2,250.00	0.00	0.00	0.00	-2,250.00
09/10/2014 09/10/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	2,100.00 2,100.00	1.00	2,100.00 2,100.00	0.00	0.00	0.00	-2,100.00
09/22/2014 09/22/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	38,192.44 38,192.44	1.00	38,192.44 38,192.44	0.00	0.00	0.00	-38,192.44
09/23/2014 09/23/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	4,375.00 4,375.00	1.00	4,375.00 4,375.00	0.00	0.00	0.00	-4,375.00
09/16/2014 09/16/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	77,021.36 77,021.36	1.00	77,021.36 77,021.36	0.00	0.00	0.00	-77,021.36
09/18/2014 09/18/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	1,815.00 1,815.00	1.00	1,815.00 1,815.00	0.00	0.00	0.00	-1,815.00
09/18/2014 09/18/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	351.98 351.98	1.00	351.98 351.98	0.00	0.00	0.00	-351.98
08/27/2014 08/27/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	5,075.00 5,075.00	1.00	5,075.00 5,075.00	0.00	0.00	0.00	-5,075.00
08/25/2014 08/25/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	35,853.24 35,853.24	1.00	35,853.24 35,853.24	0.00	0.00	0.00	-35,853.24
08/25/2014 08/25/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	585.00 585.00	1.00	585.00 585.00	0.00	0.00	0.00	-585.00
09/02/2014 09/02/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	2,504.70 2,504.70	1.00	2,504.70 2,504.70	0.00	0.00	0.00	-2,504.70
08/13/2014 08/13/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	8,312.50 8,312.50	1.00	8,312.50 8,312.50	0.00	0.00	0.00	-8,312.50
08/14/2014 08/14/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	888.18 888.18	1.00	888.18 888.18	0.00	0.00	0.00	-888.18
08/20/2014 08/20/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	32,550.72 32,550.72	1.00	32,550.72 32,550.72	0.00	0.00	0.00	-32,550.72
08/18/2014 08/18/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	62,705.05 62,705.05	1.00	62,705.05 62,705.05	0.00	0.00	0.00	-62,705.05



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08/15/2014 08/15/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	162.75 162.75	1.00	162.75 162.75	0.00	0.00	0.00	-162.75
08/15/2014 08/15/2014	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	113,589.50 113,589.50	1.00	113,589.50 113,589.50	0.00	0.00	0.00	-113,589.50
---	Buy	---	---	---	---	7,958,991.33 7,958,991.33	---	2,058,991.33 8,079,629.64	16,144.45	0.00	0.00	-8,095,774.09

Sell

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
07/10/2014 07/10/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-771,558.33 -771,558.33	1.00	-771,558.33 -771,558.33	0.00	0.00	0.00	771,558.33
07/11/2014 07/11/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-807,279.17 -807,279.17	1.00	-807,279.17 -807,279.17	0.00	0.00	0.00	807,279.17
07/16/2014 07/16/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-1,032,813.00 -1,032,813.00	1.00	-1,032,813.00 -1,032,813.00	0.00	0.00	0.00	1,032,813.00
07/18/2014 07/18/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-29,110.28 -29,110.28	1.00	-29,110.28 -29,110.28	0.00	0.00	0.00	29,110.28
07/21/2014 07/21/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-4,158.38 -4,158.38	1.00	-4,158.38 -4,158.38	0.00	0.00	0.00	4,158.38
09/24/2014 09/24/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-4,375.00 -4,375.00	1.00	-4,375.00 -4,375.00	0.00	0.00	0.00	4,375.00
09/22/2014 09/22/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-4,368.85 -4,368.85	1.00	-4,368.85 -4,368.85	0.00	0.00	0.00	4,368.85
09/07/2014 08/07/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-1,001,176.39 -1,001,176.39	1.00	-1,001,176.39 -1,001,176.39	0.00	0.00	0.00	1,001,176.39
09/19/2014 08/19/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-398,792.39 -398,792.39	1.00	-398,792.39 -398,792.39	0.00	0.00	0.00	398,792.39
08/20/2014 08/20/2014	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-4,294.12 -4,294.12	1.00	-4,294.12 -4,294.12	0.00	0.00	0.00	4,294.12
---	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	Direct	-4,057,925.91 -4,057,925.91	1.00	-4,057,925.91 -4,057,925.91	0.00	0.00	0.00	4,057,925.91

Maturity

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
08/01/2014 08/01/2014	Maturity	313397A35 FREDDIE MAC DISCOUNT NT	0.00	08/01/2014	Maturity	-1,000,000.00 -1,000,000.00	100.00	0.00 -1,000,000.00	0.00	0.00	0.00	1,000,000.00
07/01/2014 07/01/2014	Maturity	787355R97 SAN DIEGO USD-A-TXBL	0.342	07/01/2014	Maturity	-750,000.00 -750,000.00	100.00	0.00 -750,000.00	0.00	0.00	0.00	750,000.00
07/01/2014 07/01/2014	Maturity	842477TB7 STHRN CA PUB PWR-B	0.35	07/01/2014	Maturity	-475,000.00 -475,000.00	100.00	0.00 -475,000.00	0.00	0.00	0.00	475,000.00
07/31/2014 07/31/2014	Maturity	912828TF7 US TREASURY N/B	0.125	07/31/2014	Maturity	-2,000,000.00 -2,000,000.00	100.00	0.00 -2,000,000.00	0.00	0.00	0.00	2,000,000.00
---	Maturity	---	---	---	Maturity	-4,225,000.00 -4,225,000.00	100.00	0.00 -4,225,000.00	0.00	0.00	0.00	4,225,000.00

Summary

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
---	---	---	---	---	---	-323,934.58 -1,064,327.03	---	-1,998,934.58 -943,688.71	16,144.45	-0.01	0.00	927,544.26



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* Grouped By: Transaction Type. * Groups Sorted By: Transaction Type. * Showing transactions with Trade Date within selected date range.

* The Transaction Detail/Trading Activity reports provide our most up-to-date transactional details. As such, these reports are subject to change even after the other reports on the website have been locked down. While these reports can be useful tools in understanding recent activity, due to their dynamic nature we do not recommend using them for booking journal entries or reconciliation.



Transaction Detail

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* Does not Lock Down.

Receivable

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Description	Coupon Rate	Final Maturity	Price	Base Amount
09/30/2014	09/30/2014	Money Market Funds	0.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	---	3.22
09/30/2014	09/30/2014	Money Market Funds	0.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	---	3.22

Settled

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Description	Coupon Rate	Final Maturity	Price	Base Amount
09/15/2014	09/15/2014	Coupon	0.00	0258M0DA4	AMER EXPRESS CREDIT CO	2.75	09/15/2015	---	10,312.50
08/27/2014	08/27/2014	Coupon	0.00	02666QK69	AMERICAN HONDA FINANCE	1.45	02/27/2015	---	5,075.00
07/25/2014	07/25/2014	Principal Paydown	-35,924.20	05578XAB4	BMWOT 2013-A A2	0.41	02/25/2016	---	35,924.20
07/25/2014	07/25/2014	Coupon	0.00	05578XAB4	BMWOT 2013-A A2	0.41	02/25/2016	---	93.89
08/25/2014	08/25/2014	Coupon	0.00	05578XAB4	BMWOT 2013-A A2	0.41	02/25/2016	---	81.62
08/25/2014	08/25/2014	Principal Paydown	-35,771.62	05578XAB4	BMWOT 2013-A A2	0.41	02/25/2016	---	35,771.62
09/25/2014	09/25/2014	Principal Paydown	-34,475.61	05578XAB4	BMWOT 2013-A A2	0.41	02/25/2016	---	34,475.61
09/25/2014	09/25/2014	Coupon	0.00	05578XAB4	BMWOT 2013-A A2	0.41	02/25/2016	---	69.39
08/14/2014	08/14/2014	Coupon	0.00	06050TLU4	BANK OF AMERICA NA	0.703	02/14/2017	---	888.18
07/15/2014	07/15/2014	Coupon	0.00	06406HBN8	BANK OF NEW YORK MELLON	3.10	01/15/2015	---	11,625.00
07/28/2014	07/28/2014	Coupon	0.00	07330NAE5	BRANCH BANKING & TRUST	0.565	10/28/2015	---	1,057.59
08/01/2014	08/01/2014	Coupon	0.00	13063BN65	CALIFORNIA ST-TXBL	0.85	02/01/2015	---	3,187.50
07/15/2014	07/15/2014	Coupon	0.00	161571FY5	CHAIT 2013-A4 A4	0.252	05/15/2017	---	152.10
08/15/2014	08/15/2014	Coupon	0.00	161571FY5	CHAIT 2013-A4 A4	0.255	05/15/2017	---	162.75
09/15/2014	09/15/2014	Coupon	0.00	161571FY5	CHAIT 2013-A4 A4	0.254	05/15/2017	---	164.69
09/23/2014	09/23/2014	Coupon	0.00	22546QAE7	CREDIT SUISSE NEW YORK	3.50	03/23/2015	---	4,375.00
07/14/2014	07/14/2014	Coupon	0.00	24422ESA8	JOHN DEERE CAPITAL CORP	0.304	01/12/2015	---	495.58
07/26/2014	07/26/2014	Coupon	0.00	3128X33E1	FREDDIE MAC	5.05	01/26/2015	---	12,625.00
07/15/2014	07/16/2014	Buy	1,000,000.00	313373S26	FEDERAL HOME LOAN BANK	2.125	06/10/2016	103.069	-1,032,813.00
08/01/2014	08/01/2014	Maturity	-1,000,000.00	313397A35	FREDDIE MAC DISCOUNT NT	0.00	08/01/2014	100.00	1,000,000.00
09/07/2014	09/07/2014	Coupon	0.00	3133ECHB3	FEDERAL FARM CREDIT BANK	0.45	03/07/2016	---	2,250.00
09/10/2014	09/10/2014	Coupon	0.00	3133EDGM8	FEDERAL FARM CREDIT BANK	0.35	03/10/2016	---	2,100.00
07/31/2014	08/01/2014	Buy	1,000,000.00	3133EDQW5	FEDERAL FARM CREDIT BANK	0.29	01/21/2016	99.868	-998,760.56
09/18/2014	09/18/2014	Coupon	0.00	3134G36G0	FREDDIE MAC	0.35	03/18/2015	---	1,750.00
07/09/2014	07/09/2014	Coupon	0.00	3134G4DE5	FREDDIE MAC	0.31	01/09/2015	---	2,325.00
07/08/2014	07/08/2014	Coupon	0.00	3134G4TH1	FREDDIE MAC	0.30	07/08/2015	---	1,500.00
08/06/2014	08/07/2014	Buy	1,000,000.00	3134G5J32	FREDDIE MAC	0.55	05/20/2016	100.00	-1,001,176.39
07/15/2014	07/15/2014	Coupon	0.00	3135G0TR4	FANNIE MAE	0.65	01/15/2016	---	3,250.00
08/15/2014	08/15/2014	Coupon	0.00	31679KAB6	FITAT 2014-2 A2A	0.45	04/17/2017	---	281.25
09/15/2014	09/15/2014	Coupon	0.00	31679KAB6	FITAT 2014-2 A2A	0.45	04/17/2017	---	281.25
07/15/2014	07/15/2014	Coupon	0.00	31679KAB6	FITAT 2014-2 A2A	0.45	04/17/2017	---	318.75
07/08/2014	07/08/2014	Coupon	0.00	36962G6Q2	GENERAL ELEC CAP CORP	0.833	01/08/2016	---	1,572.78
08/13/2014	08/13/2014	Coupon	0.00	40428HPG1	HSBC USA INC	2.375	02/13/2015	---	8,312.50
07/15/2014	07/15/2014	Coupon	0.00	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	142.92
08/15/2014	08/15/2014	Coupon	0.00	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	142.92
09/15/2014	09/15/2014	Principal Paydown	-17,579.04	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	17,579.04
09/15/2014	09/15/2014	Coupon	0.00	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	142.92
09/16/2014	09/16/2014	Principal Paydown	-58,929.62	43814EAB1	HAROT 2013-2 A2	0.37	10/16/2015	---	58,929.62
09/16/2014	09/16/2014	Coupon	0.00	43814EAB1	HAROT 2013-2 A2	0.37	10/16/2015	---	69.78
08/16/2014	08/16/2014	Principal Paydown	-62,550.99	43814EAB1	HAROT 2013-2 A2	0.37	10/16/2015	---	62,550.99
08/16/2014	08/16/2014	Coupon	0.00	43814EAB1	HAROT 2013-2 A2	0.37	10/16/2015	---	89.06
07/16/2014	07/16/2014	Principal Paydown	-62,715.83	43814EAB1	HAROT 2013-2 A2	0.37	10/16/2015	---	62,715.83



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07/16/2014	07/16/2014	Coupon	0.00	43814EAB1	HAROT 2013-2 A2	0.37	10/16/2015	---	108.40
07/18/2014	07/18/2014	Coupon	0.00	43814GAB6	HAROT 2014-2 A2	0.39	09/19/2016	---	65.00
08/18/2014	08/18/2014	Coupon	0.00	43814GAB6	HAROT 2014-2 A2	0.39	09/19/2016	---	65.00
09/18/2014	09/18/2014	Coupon	0.00	43814GAB6	HAROT 2014-2 A2	0.39	09/19/2016	---	65.00
09/15/2014	09/15/2014	Coupon	0.00	47787VAB7	JDOT 2014-A A2	0.45	09/15/2016	---	262.50
08/15/2014	08/15/2014	Coupon	0.00	47787VAB7	JDOT 2014-A A2	0.45	09/15/2016	---	262.50
07/15/2014	07/15/2014	Coupon	0.00	47787VAB7	JDOT 2014-A A2	0.45	09/15/2016	---	262.50
08/06/2014	08/19/2014	Buy	400,000.00	5446462Q4	LOS ANGELES SD-L-TXBL	0.486	01/01/2016	100.00	-400,000.00
07/15/2014	07/15/2014	Principal Paydown	-51,288.25	55292LAB0	MTBAT 2013-1A A2	0.66	02/16/2016	---	51,288.25
07/15/2014	07/15/2014	Coupon	0.00	55292LAB0	MTBAT 2013-1A A2	0.66	02/16/2016	---	183.73
09/15/2014	09/15/2014	Principal Paydown	-46,561.26	55292LAB0	MTBAT 2013-1A A2	0.66	02/16/2016	---	46,561.26
09/15/2014	09/15/2014	Coupon	0.00	55292LAB0	MTBAT 2013-1A A2	0.66	02/16/2016	---	127.09
08/15/2014	08/15/2014	Principal Paydown	-51,700.98	55292LAB0	MTBAT 2013-1A A2	0.66	02/16/2016	---	51,700.98
08/15/2014	08/15/2014	Coupon	0.00	55292LAB0	MTBAT 2013-1A A2	0.66	02/16/2016	---	155.52
09/15/2014	09/15/2014	Coupon	0.00	58768EAC3	MBALT 2014-A A2A	0.48	06/15/2016	---	300.00
07/15/2014	07/15/2014	Coupon	0.00	58768EAC3	MBALT 2014-A A2A	0.48	06/15/2016	---	300.00
08/15/2014	08/15/2014	Coupon	0.00	58768EAC3	MBALT 2014-A A2A	0.48	06/15/2016	---	300.00
07/09/2014	07/09/2014	Coupon	0.00	59217GAM1	MET LIFE GLOB FUNDING I	2.00	01/09/2015	---	6,100.00
08/02/2014	08/02/2014	Coupon	0.00	637432MP7	NATIONAL RURAL UTIL COOP	1.00	02/02/2015	---	3,645.00
07/12/2014	07/12/2014	Coupon	0.00	64952WBE2	NEW YORK LIFE GLOBAL FDG	1.30	01/12/2015	---	3,250.00
07/08/2014	07/11/2014	Buy	750,000.00	677520FR4	OH ST-TXB-DEV ASST-A	5.39	10/01/2015	106.14	-807,279.17
09/18/2014	09/18/2014	Coupon	0.00	70659PAE1	PENAR 2013-1A A1	0.544	11/18/2017	---	351.98
08/18/2014	08/18/2014	Coupon	0.00	70659PAE1	PENAR 2013-1A A1	0.545	11/18/2017	---	352.27
07/18/2014	07/18/2014	Coupon	0.00	70659PAE1	PENAR 2013-1A A1	0.545	11/18/2017	---	340.00
09/30/2014	09/30/2014	Coupon	0.00	74005PAR5	PRAXAIR INC	4.625	03/30/2015	---	15,031.25
08/19/2014	08/19/2014	Coupon	0.00	74153WCA5	PRICOA GLOBAL FUNDING 1	0.502	08/19/2015	---	855.34
07/01/2014	07/01/2014	Coupon	0.00	797355R97	SAN DIEGO USD-A-TXBL	0.342	07/01/2014	---	1,282.50
07/01/2014	07/01/2014	Maturity	-750,000.00	797355R97	SAN DIEGO USD-A-TXBL	0.342	07/01/2014	100.00	750,000.00
07/01/2014	07/01/2014	Coupon	0.00	842477TB7	STHRN CA PUB PWR-B	0.35	07/01/2014	---	831.25
07/01/2014	07/01/2014	Maturity	-475,000.00	842477TB7	STHRN CA PUB PWR-B	0.35	07/01/2014	100.00	475,000.00
07/07/2014	07/10/2014	Buy	750,000.00	89233P4B9	TOYOTA MOTOR CREDIT CORP	3.20	06/17/2015	102.67	-771,558.33
08/15/2014	08/15/2014	Coupon	0.00	89236VAB6	TAOT 2013-B A2	0.48	02/15/2016	---	176.93
08/15/2014	08/15/2014	Principal Paydown	-60,360.40	89236VAB6	TAOT 2013-B A2	0.48	02/15/2016	---	60,360.40
09/15/2014	09/15/2014	Coupon	0.00	89236VAB6	TAOT 2013-B A2	0.48	02/15/2016	---	152.78
09/15/2014	09/15/2014	Principal Paydown	-56,810.29	89236VAB6	TAOT 2013-B A2	0.48	02/15/2016	---	56,810.29
07/15/2014	07/15/2014	Principal Paydown	-62,158.59	89236VAB6	TAOT 2013-B A2	0.48	02/15/2016	---	62,158.59
07/15/2014	07/15/2014	Coupon	0.00	89236VAB6	TAOT 2013-B A2	0.48	02/15/2016	---	201.79
08/15/2014	08/15/2014	Coupon	0.00	90290KAB1	USAOT 2014-1 A2	0.38	10/17/2016	---	209.00
07/15/2014	07/15/2014	Coupon	0.00	90290KAB1	USAOT 2014-1 A2	0.38	10/17/2016	---	209.00
09/15/2014	09/15/2014	Coupon	0.00	90290KAB1	USAOT 2014-1 A2	0.38	10/17/2016	---	209.00
08/31/2014	08/31/2014	Coupon	0.00	912828B82	US TREASURY N/B	0.25	02/29/2016	---	1,250.00
07/30/2014	07/31/2014	Buy	1,000,000.00	912828PS3	US TREASURY N/B	2.00	01/31/2016	102.52	-1,025,195.31
07/31/2014	07/31/2014	Coupon	0.00	912828TF7	US TREASURY N/B	0.125	07/31/2014	---	1,250.00
07/31/2014	07/31/2014	Maturity	-2,000,000.00	912828TF7	US TREASURY N/B	0.125	07/31/2014	100.00	2,000,000.00
08/31/2014	08/31/2014	Coupon	0.00	912828UP3	US TREASURY N/B	0.25	02/28/2015	---	1,250.00
08/25/2014	08/25/2014	Coupon	0.00	928668AD4	VOLKSWAGEN GROUP AMERICA	0.455	05/23/2016	---	585.00
09/20/2014	09/20/2014	Coupon	0.00	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	213.04
09/20/2014	09/20/2014	Principal Paydown	-37,979.40	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	37,979.40
08/20/2014	08/20/2014	Coupon	0.00	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	235.93
08/20/2014	08/20/2014	Principal Paydown	-32,314.80	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	32,314.80
07/20/2014	07/20/2014	Principal Paydown	-33,271.56	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	33,271.56



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07/20/2014	07/20/2014	Coupon	0.00	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	259.50
07/22/2014	07/22/2014	Buy	33,531.07	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-33,531.07
07/25/2014	07/25/2014	Buy	36,018.09	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-36,018.09
07/28/2014	07/28/2014	Buy	12,625.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-12,625.00
07/28/2014	07/28/2014	Buy	1,057.59	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-1,057.59
07/31/2014	07/31/2014	Money Market Funds	0.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	---	11.09
07/31/2014	07/31/2014	Buy	976,054.69	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-976,054.69
08/01/2014	08/01/2014	Buy	4,438.03	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-4,438.03
08/04/2014	08/04/2014	Buy	3,645.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-3,645.00
07/08/2014	07/08/2014	Buy	3,072.78	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-3,072.78
07/09/2014	07/09/2014	Buy	8,425.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-8,425.00
07/10/2014	07/10/2014	Sell	-771,558.33	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	771,558.33
07/11/2014	07/11/2014	Sell	-807,279.17	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	807,279.17
07/14/2014	07/14/2014	Buy	3,250.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-3,250.00
07/15/2014	07/15/2014	Buy	1,033.35	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-1,033.35
07/15/2014	07/15/2014	Buy	129,554.87	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-129,554.87
07/16/2014	07/16/2014	Sell	-1,032,813.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	1,032,813.00
07/16/2014	07/16/2014	Buy	62,824.23	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-62,824.23
07/18/2014	07/18/2014	Sell	-29,110.28	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	29,110.28
07/21/2014	07/21/2014	Sell	-4,158.38	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	4,158.38
07/01/2014	07/01/2014	Buy	226,296.60	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-226,296.60
09/30/2014	09/30/2014	Buy	15,031.25	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-15,031.25
09/25/2014	09/25/2014	Buy	34,545.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-34,545.00
09/24/2014	09/24/2014	Buy	4,375.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-4,375.00
09/24/2014	09/24/2014	Sell	-4,375.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	4,375.00
09/15/2014	09/15/2014	Buy	114,881.36	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-114,881.36
09/08/2014	09/08/2014	Buy	2,250.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-2,250.00
09/10/2014	09/10/2014	Buy	2,100.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-2,100.00
09/22/2014	09/22/2014	Sell	-4,368.85	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	4,368.85
09/22/2014	09/22/2014	Buy	38,192.44	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-38,192.44
09/23/2014	09/23/2014	Buy	4,375.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-4,375.00
09/16/2014	09/16/2014	Buy	77,021.36	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-77,021.36
09/18/2014	09/18/2014	Buy	1,815.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-1,815.00
09/18/2014	09/18/2014	Buy	351.98	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-351.98
08/27/2014	08/27/2014	Buy	5,075.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-5,075.00
08/25/2014	08/25/2014	Buy	35,853.24	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-35,853.24
08/25/2014	08/25/2014	Buy	585.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-585.00
09/02/2014	09/02/2014	Buy	2,504.70	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-2,504.70
08/31/2014	08/31/2014	Money Market Funds	0.00	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	---	4.70
08/13/2014	08/13/2014	Buy	8,312.50	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-8,312.50
08/14/2014	08/14/2014	Buy	888.18	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-888.18
08/07/2014	08/07/2014	Sell	-1,001,176.39	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	1,001,176.39
08/19/2014	08/19/2014	Sell	-398,792.39	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	398,792.39
08/20/2014	08/20/2014	Sell	-4,294.12	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	4,294.12
08/20/2014	08/20/2014	Buy	32,550.72	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-32,550.72
08/18/2014	08/18/2014	Buy	62,705.05	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-62,705.05
08/15/2014	08/15/2014	Buy	162.75	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-162.75
08/15/2014	08/15/2014	Buy	113,589.50	949917397	WFA HERITAGE MONEY MARK-I	0.01	09/30/2014	1.00	-113,589.50
09/22/2014	09/22/2014	Management Fee	0.00	CCYUSD	US Dollar	0.00	09/30/2014	---	-4,368.85
08/20/2014	08/20/2014	Management Fee	0.00	CCYUSD	US Dollar	0.00	09/30/2014	---	-4,294.12
07/18/2014	07/18/2014	Cash Transfer	0.00	CCYUSD	US Dollar	0.00	09/30/2014	---	-29,450.28

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07/21/2014	07/21/2014	Management Fee	0.00	CCYUSD	US Dollar	0.00	09/30/2014	---	-4,223.38
---	---	---	-1,064,327.03	---	---	---	---	---	1,000,817.14

Summary

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Description	Coupon Rate	Final Maturity	Price	Base Amount
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* Grouped By: Status. * Groups Sorted By: Status. * Showing transactions with Entry Date within selected date range.

* The Transaction Detail/Trading Activity reports provide our most up-to-date transactional details. As such, these reports are subject to change even after the other reports on the website have been locked down. While these reports can be useful tools in understanding recent activity, due to their dynamic nature we do not recommend using them for booking journal entries or reconciliation.



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* Does not Lock Down.

Receivable

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Ticker	Description	Base Amount
09/30/2014	09/30/2014	Money Market Funds	0.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	3.22
09/30/2014	09/30/2014	Money Market Funds	0.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	3.22

Settled

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Ticker	Description	Base Amount
09/15/2014	09/15/2014	Coupon	0.00	0258M0DA4	AXP	AMER EXPRESS CREDIT CO	10,312.50
08/27/2014	08/27/2014	Coupon	0.00	02666QK69	HNDA	AMERICAN HONDA FINANCE	5,075.00
07/25/2014	07/25/2014	Principal Paydown	-35,924.20	05578XAB4	BMWOT	BMWOT 2013-A A2	35,924.20
07/25/2014	07/25/2014	Coupon	0.00	05578XAB4	BMWOT	BMWOT 2013-A A2	93.89
08/25/2014	08/25/2014	Coupon	0.00	05578XAB4	BMWOT	BMWOT 2013-A A2	81.62
08/25/2014	08/25/2014	Principal Paydown	-35,771.62	05578XAB4	BMWOT	BMWOT 2013-A A2	35,771.62
09/25/2014	09/25/2014	Principal Paydown	-34,475.61	05578XAB4	BMWOT	BMWOT 2013-A A2	34,475.61
09/25/2014	09/25/2014	Coupon	0.00	05578XAB4	BMWOT	BMWOT 2013-A A2	69.39
08/14/2014	08/14/2014	Coupon	0.00	06050TLU4	BAC	BANK OF AMERICA NA	888.18
07/15/2014	07/15/2014	Coupon	0.00	06406HBN8	BK	BANK OF NEW YORK MELLON	11,625.00
07/28/2014	07/28/2014	Coupon	0.00	07330NAE5	BBT	BRANCH BANKING & TRUST	1,057.59
08/01/2014	08/01/2014	Coupon	0.00	13063BN65	CAS	CALIFORNIA ST-TXBL	3,187.50
07/15/2014	07/15/2014	Coupon	0.00	161571FY5	CHAIT	CHAIT 2013-A4 A4	152.10
08/15/2014	08/15/2014	Coupon	0.00	161571FY5	CHAIT	CHAIT 2013-A4 A4	162.75
09/15/2014	09/15/2014	Coupon	0.00	161571FY5	CHAIT	CHAIT 2013-A4 A4	164.69
09/23/2014	09/23/2014	Coupon	0.00	22546QAE7	CS	CREDIT SUISSE NEW YORK	4,375.00
07/14/2014	07/14/2014	Coupon	0.00	24422ESA8	DE	JOHN DEERE CAPITAL CORP	495.58
07/26/2014	07/26/2014	Coupon	0.00	3128X33E1	FHLMC	FREDDIE MAC	12,625.00
07/15/2014	07/16/2014	Buy	1,000,000.00	313373S26	FHLB	FEDERAL HOME LOAN BANK	-1,032,813.00
08/01/2014	08/01/2014	Maturity	-1,000,000.00	313397A35	FREDN	FREDDIE MAC DISCOUNT NT	1,000,000.00
09/07/2014	09/07/2014	Coupon	0.00	3133ECHB3	FFCB	FEDERAL FARM CREDIT BANK	2,250.00
09/10/2014	09/10/2014	Coupon	0.00	3133EDGM8	FFCB	FEDERAL FARM CREDIT BANK	2,100.00
07/31/2014	08/01/2014	Buy	1,000,000.00	3133EDQW5	FFCB	FEDERAL FARM CREDIT BANK	-998,760.56
09/18/2014	09/18/2014	Coupon	0.00	3134G36G0	FHLMC	FREDDIE MAC	1,750.00
07/09/2014	07/09/2014	Coupon	0.00	3134G4DE5	FHLMC	FREDDIE MAC	2,325.00
07/08/2014	07/08/2014	Coupon	0.00	3134G4TH1	FHLMC	FREDDIE MAC	1,500.00
08/06/2014	08/07/2014	Buy	1,000,000.00	3134G53J2	FHLMC	FREDDIE MAC	-1,001,176.39
07/15/2014	07/15/2014	Coupon	0.00	3135G0TR4	FNMA	FANNIE MAE	3,250.00
08/15/2014	08/15/2014	Coupon	0.00	31679KAB6	FITAT	FITAT 2014-2 A2A	281.25
09/15/2014	09/15/2014	Coupon	0.00	31679KAB6	FITAT	FITAT 2014-2 A2A	281.25
07/15/2014	07/15/2014	Coupon	0.00	31679KAB6	FITAT	FITAT 2014-2 A2A	318.75
07/08/2014	07/08/2014	Coupon	0.00	36962G6Q2	GE	GENERAL ELEC CAP CORP	1,572.78
08/13/2014	08/13/2014	Coupon	0.00	40428HPG1	HSBC	HSBC USA INC	8,312.50
07/15/2014	07/15/2014	Coupon	0.00	41284AAB4	HDMOT	HDMOT 2014-1 A2A	142.92
08/15/2014	08/15/2014	Coupon	0.00	41284AAB4	HDMOT	HDMOT 2014-1 A2A	142.92
09/15/2014	09/15/2014	Principal Paydown	-17,579.04	41284AAB4	HDMOT	HDMOT 2014-1 A2A	17,579.04
09/15/2014	09/15/2014	Coupon	0.00	41284AAB4	HDMOT	HDMOT 2014-1 A2A	142.92
09/16/2014	09/16/2014	Principal Paydown	-58,929.62	43814EAB1	HAROT	HAROT 2013-2 A2	58,929.62
09/16/2014	09/16/2014	Coupon	0.00	43814EAB1	HAROT	HAROT 2013-2 A2	69.78
08/16/2014	08/16/2014	Principal Paydown	-62,550.99	43814EAB1	HAROT	HAROT 2013-2 A2	62,550.99
08/16/2014	08/16/2014	Coupon	0.00	43814EAB1	HAROT	HAROT 2013-2 A2	89.06
07/16/2014	07/16/2014	Principal Paydown	-62,715.83	43814EAB1	HAROT	HAROT 2013-2 A2	62,715.83
07/16/2014	07/16/2014	Coupon	0.00	43814EAB1	HAROT	HAROT 2013-2 A2	108.40



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Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Ticker	Description	Base Amount
07/18/2014	07/18/2014	Coupon	0.00	43814GAB6	HAROT	HAROT 2014-2 A2	65.00
08/18/2014	08/18/2014	Coupon	0.00	43814GAB6	HAROT	HAROT 2014-2 A2	65.00
09/18/2014	09/18/2014	Coupon	0.00	43814GAB6	HAROT	HAROT 2014-2 A2	65.00
09/15/2014	09/15/2014	Coupon	0.00	47787VAB7	JDOT	JDOT 2014-A A2	262.50
08/15/2014	08/15/2014	Coupon	0.00	47787VAB7	JDOT	JDOT 2014-A A2	262.50
07/15/2014	07/15/2014	Coupon	0.00	47787VAB7	JDOT	JDOT 2014-A A2	262.50
08/06/2014	08/19/2014	Buy	400,000.00	5446462Q4	LOSSCD	LOS ANGELES SD-L-TXBL	-400,000.00
07/15/2014	07/15/2014	Principal Paydown	-51,288.25	55292LAB0	MTBAT	MTBAT 2013-1A A2	51,288.25
07/15/2014	07/15/2014	Coupon	0.00	55292LAB0	MTBAT	MTBAT 2013-1A A2	183.73
09/15/2014	09/15/2014	Principal Paydown	-46,561.26	55292LAB0	MTBAT	MTBAT 2013-1A A2	46,561.26
09/15/2014	09/15/2014	Coupon	0.00	55292LAB0	MTBAT	MTBAT 2013-1A A2	127.09
08/15/2014	08/15/2014	Principal Paydown	-51,700.98	55292LAB0	MTBAT	MTBAT 2013-1A A2	51,700.98
08/15/2014	08/15/2014	Coupon	0.00	55292LAB0	MTBAT	MTBAT 2013-1A A2	155.52
09/15/2014	09/15/2014	Coupon	0.00	58768EAC3	MBALT	MBALT 2014-A A2A	300.00
07/15/2014	07/15/2014	Coupon	0.00	58768EAC3	MBALT	MBALT 2014-A A2A	300.00
08/15/2014	08/15/2014	Coupon	0.00	58768EAC3	MBALT	MBALT 2014-A A2A	300.00
07/09/2014	07/09/2014	Coupon	0.00	59217GAM1	MET	MET LIFE GLOB FUNDING I	6,100.00
08/02/2014	08/02/2014	Coupon	0.00	63743ZMP7	NRUC	NATIONAL RURAL UTIL COOP	3,645.00
07/12/2014	07/12/2014	Coupon	0.00	64952WBE2	NYLIFE	NEW YORK LIFE GLOBAL FDG	3,250.00
07/08/2014	07/11/2014	Buy	750,000.00	677520FR4	OHS	OH ST-TXB-DEV ASST-A	-807,279.17
09/18/2014	09/18/2014	Coupon	0.00	70659PAE1	PENAR	PENAR 2013-1A A1	351.98
08/18/2014	08/18/2014	Coupon	0.00	70659PAE1	PENAR	PENAR 2013-1A A1	352.27
07/18/2014	07/18/2014	Coupon	0.00	70659PAE1	PENAR	PENAR 2013-1A A1	340.00
09/30/2014	09/30/2014	Coupon	0.00	74005PAR5	PX	PRAXAIR INC	15,031.25
08/19/2014	08/19/2014	Coupon	0.00	74153WCA5	PRU	PRICOA GLOBAL FUNDING 1	855.34
07/01/2014	07/01/2014	Coupon	0.00	79735SR97	SDGSCD	SAN DIEGO USD-A-TXBL	1,282.50
07/01/2014	07/01/2014	Maturity	-750,000.00	79735SR97	SDGSCD	SAN DIEGO USD-A-TXBL	750,000.00
07/01/2014	07/01/2014	Coupon	0.00	842477TB7	STNPWR	STHRN CA PUB PWR-B	831.25
07/01/2014	07/01/2014	Maturity	-475,000.00	842477TB7	STNPWR	STHRN CA PUB PWR-B	475,000.00
07/07/2014	07/10/2014	Buy	750,000.00	89233P4B9	TOYOTA	TOYOTA MOTOR CREDIT CORP	-771,558.33
08/15/2014	08/15/2014	Coupon	0.00	89236VAB6	TAOT	TAOT 2013-B A2	176.93
08/15/2014	08/15/2014	Principal Paydown	-60,360.40	89236VAB6	TAOT	TAOT 2013-B A2	60,360.40
09/15/2014	09/15/2014	Coupon	0.00	89236VAB6	TAOT	TAOT 2013-B A2	152.78
09/15/2014	09/15/2014	Principal Paydown	-56,810.29	89236VAB6	TAOT	TAOT 2013-B A2	56,810.29
07/15/2014	07/15/2014	Principal Paydown	-62,158.59	89236VAB6	TAOT	TAOT 2013-B A2	62,158.59
07/15/2014	07/15/2014	Coupon	0.00	89236VAB6	TAOT	TAOT 2013-B A2	201.79
08/15/2014	08/15/2014	Coupon	0.00	90290KAB1	USAOT	USAOT 2014-1 A2	209.00
07/15/2014	07/15/2014	Coupon	0.00	90290KAB1	USAOT	USAOT 2014-1 A2	209.00
09/15/2014	09/15/2014	Coupon	0.00	90290KAB1	USAOT	USAOT 2014-1 A2	209.00
08/31/2014	08/31/2014	Coupon	0.00	912828B82	T	US TREASURY N/B	1,250.00
07/30/2014	07/31/2014	Buy	1,000,000.00	912828PS3	T	US TREASURY N/B	-1,025,195.31
07/31/2014	07/31/2014	Coupon	0.00	912828TF7	T	US TREASURY N/B	1,250.00
07/31/2014	07/31/2014	Maturity	-2,000,000.00	912828TF7	T	US TREASURY N/B	2,000,000.00
08/31/2014	08/31/2014	Coupon	0.00	912828UP3	T	US TREASURY N/B	1,250.00
08/25/2014	08/25/2014	Coupon	0.00	928668AD4	VW	VOLKSWAGEN GROUP AMERICA	585.00
09/20/2014	09/20/2014	Coupon	0.00	92867GAC7	VALET	VALET 2012-1 A3	213.04
09/20/2014	09/20/2014	Principal Paydown	-37,979.40	92867GAC7	VALET	VALET 2012-1 A3	37,979.40
08/20/2014	08/20/2014	Coupon	0.00	92867GAC7	VALET	VALET 2012-1 A3	235.93
08/20/2014	08/20/2014	Principal Paydown	-32,314.80	92867GAC7	VALET	VALET 2012-1 A3	32,314.80
07/20/2014	07/20/2014	Principal Paydown	-33,271.56	92867GAC7	VALET	VALET 2012-1 A3	33,271.56
07/20/2014	07/20/2014	Coupon	0.00	92867GAC7	VALET	VALET 2012-1 A3	259.50
07/22/2014	07/22/2014	Buy	33,531.07	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-33,531.07



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Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Ticker	Description	Base Amount
07/25/2014	07/25/2014	Buy	36,018.09	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-36,018.09
07/28/2014	07/28/2014	Buy	12,625.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-12,625.00
07/28/2014	07/28/2014	Buy	1,057.59	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,057.59
07/31/2014	07/31/2014	Money Market Funds	0.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	11.09
07/31/2014	07/31/2014	Buy	976,054.69	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-976,054.69
08/01/2014	08/01/2014	Buy	4,438.03	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-4,438.03
08/04/2014	08/04/2014	Buy	3,645.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-3,645.00
07/08/2014	07/08/2014	Buy	3,072.78	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-3,072.78
07/09/2014	07/09/2014	Buy	8,425.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-8,425.00
07/10/2014	07/10/2014	Sell	-771,558.33	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	771,558.33
07/11/2014	07/11/2014	Sell	-807,279.17	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	807,279.17
07/14/2014	07/14/2014	Buy	3,250.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-3,250.00
07/15/2014	07/15/2014	Buy	1,033.35	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,033.35
07/15/2014	07/15/2014	Buy	129,554.87	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-129,554.87
07/16/2014	07/16/2014	Sell	-1,032,813.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	1,032,813.00
07/16/2014	07/16/2014	Buy	62,824.23	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-62,824.23
07/18/2014	07/18/2014	Sell	-29,110.28	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	29,110.28
07/21/2014	07/21/2014	Sell	-4,158.38	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	4,158.38
07/01/2014	07/01/2014	Buy	226,296.60	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-226,296.60
09/30/2014	09/30/2014	Buy	15,031.25	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-15,031.25
09/25/2014	09/25/2014	Buy	34,545.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-34,545.00
09/24/2014	09/24/2014	Buy	4,375.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-4,375.00
09/24/2014	09/24/2014	Sell	-4,375.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	4,375.00
09/15/2014	09/15/2014	Buy	114,881.36	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-114,881.36
09/08/2014	09/08/2014	Buy	2,250.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-2,250.00
09/10/2014	09/10/2014	Buy	2,100.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-2,100.00
09/22/2014	09/22/2014	Sell	-4,368.85	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	4,368.85
09/22/2014	09/22/2014	Buy	38,192.44	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-38,192.44
09/23/2014	09/23/2014	Buy	4,375.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-4,375.00
09/16/2014	09/16/2014	Buy	77,021.36	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-77,021.36
09/18/2014	09/18/2014	Buy	1,815.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,815.00
09/18/2014	09/18/2014	Buy	351.98	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-351.98
08/27/2014	08/27/2014	Buy	5,075.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-5,075.00
08/25/2014	08/25/2014	Buy	35,853.24	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-35,853.24
08/25/2014	08/25/2014	Buy	585.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-585.00
09/02/2014	09/02/2014	Buy	2,504.70	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-2,504.70
08/31/2014	08/31/2014	Money Market Funds	0.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	4.70
08/13/2014	08/13/2014	Buy	8,312.50	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-8,312.50
08/14/2014	08/14/2014	Buy	888.18	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-888.18
08/07/2014	08/07/2014	Sell	-1,001,176.39	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	1,001,176.39
08/19/2014	08/19/2014	Sell	-398,792.39	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	398,792.39
08/20/2014	08/20/2014	Sell	-4,294.12	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	4,294.12
08/20/2014	08/20/2014	Buy	32,550.72	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-32,550.72
08/18/2014	08/18/2014	Buy	62,705.05	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-62,705.05
08/15/2014	08/15/2014	Buy	162.75	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-162.75
08/15/2014	08/15/2014	Buy	113,589.50	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-113,589.50
09/22/2014	09/22/2014	Management Fee	0.00	CCYUSD	USD	US Dollar	-4,368.85
08/20/2014	08/20/2014	Management Fee	0.00	CCYUSD	USD	US Dollar	-4,294.12
07/18/2014	07/18/2014	Cash Transfer	0.00	CCYUSD	USD	US Dollar	-29,450.28
07/21/2014	07/21/2014	Management Fee	0.00	CCYUSD	USD	US Dollar	-4,223.38
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Summary

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Ticker	Description	Base Amount
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Roll Forward

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Identifier, Description	Beginning Market Value + Accrued, Base Purchases	Base Sales, Base Maturities and Redemptions	Base Paydowns	Base Net Transferred Value	Base Amortization/ Accretion	Change In Accrued Balance	Net Realized Gain/Loss	Base Change In Net Unrealized Gain/Loss	Base Change In Cash, Payables/Receivables	Ending Market Value + Accrued
89236VAB6 TAOT 2013-B A2	504,803.54 0.00	0.00	-179,329.28	0.00	8.47	-38.26	-0.01	-135.32	0.00	325,309.14
05678XAB4 BMWOT 2013-A A2	274,886.30 0.00	0.00	-106,171.43	0.00	3.12	-7.26	-0.01	-43.66	0.00	168,667.07
55292LAB0 MTBAT 2013-1A A2	334,398.04 0.00	0.00	-149,550.49	0.00	2.25	-43.87	-0.00	-152.86	0.00	184,653.08
949917397 WFA HERITAGE MONEY MARK-I	2,554,788.98 2,058,991.33	-4,057,925.91 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	555,854.40
3135G0WG4 FANNIE MAE	1,000,868.11 0.00	0.00	0.00	0.00	-170.00	1,250.00	0.00	-141.00	0.00	1,001,807.11
3134G36G0 FREDDIE MAC	1,002,626.39 0.00	0.00	0.00	0.00	-270.95	-875.00	0.00	4.95	0.00	1,001,485.39
912828WQ9 US TREASURY N/B	1,000,872.59 0.00	0.00	0.00	0.00	-103.38	1,250.00	0.00	-169.62	0.00	1,001,849.59
313373SZ6 FEDERAL HOME LOAN BANK	0.00 1,030,688.00	0.00	0.00	0.00	-3,399.97	6,552.08	0.00	-748.03	0.00	1,033,092.08
3133EDGC0 FEDERAL FARM CREDIT BANK	1,001,080.83 0.00	0.00	0.00	0.00	330.58	625.00	0.00	-1,359.58	0.00	1,000,676.83
161571FY5 CHAIT 2013-A4 A4	749,879.92 0.00	0.00	0.00	0.00	0.00	5.86	0.00	198.75	0.00	750,084.53
13063BN65 CALIFORNIA ST-TXBL	754,343.75 0.00	0.00	0.00	0.00	0.00	-1,593.75	0.00	-855.00	0.00	751,895.00
02666QK69 AMERICAN HONDA FINANCE	708,207.81 0.00	0.00	0.00	0.00	-1,498.24	-2,537.50	0.00	-290.26	0.00	703,881.81
3137EADD8 FREDDIE MAC	1,003,909.78 0.00	0.00	0.00	0.00	-575.50	1,250.00	0.00	-137.50	0.00	1,004,446.78
90331HKP7 US BANK NA	716,544.85 0.00	0.00	0.00	0.00	-8,091.93	8,662.50	0.00	-146.37	0.00	716,969.05
3128X33E1 FREDDIE MAC	524,706.03 0.00	0.00	0.00	0.00	-6,037.68	-6,312.50	0.00	-17.82	0.00	512,338.03
43814GAB6 HAROT 2014-2 A2	199,998.17 0.00	0.00	0.00	0.00	2.63	0.00	0.00	-18.63	0.00	199,982.17
912828PS3 US TREASURY N/B	0.00 1,025,195.31	0.00	0.00	0.00	-2,845.37	3,369.57	0.00	541.06	0.00	1,026,260.57
07330NAE5 BRANCH BANKING & TRUST	751,436.80 0.00	0.00	0.00	0.00	-248.09	21.44	0.00	-122.41	0.00	751,087.74
842477TB7 STHRN CA PUB PWR-B	475,831.25 0.00	0.00	0.00	0.00	0.00	-831.25	0.00	0.00	0.00	0.00
06406HBN8 BANK OF NEW YORK MELLON	771,971.58 0.00	0.00	0.00	0.00	-4,760.74	-5,812.50	0.00	-520.01	0.00	760,878.33
90290KAB1 USAOT 2014-1 A2	660,194.63 0.00	0.00	0.00	0.00	5.44	0.00	0.00	-21.28	0.00	660,178.79
70659PAE1 PENAR 2013-1A A1	751,261.08 0.00	0.00	0.00	0.00	0.00	-0.14	0.00	-300.75	0.00	750,960.20
912828TF7 US TREASURY N/B	2,001,042.82 0.00	0.00	0.00	0.00	180.63	-1,042.82	0.00	-180.63	0.00	0.00
3134G54C6 FREDDIE MAC	1,000,719.44 0.00	0.00	0.00	0.00	-315.07	1,250.00	0.00	-2,450.93	0.00	999,203.44
912828UP3 US TREASURY N/B	1,001,890.60 0.00	0.00	0.00	0.00	38.71	-621.51	0.00	-234.71	0.00	1,001,073.09
313397A35 FREDDIE MAC DISCOUNT NT	999,974.00 0.00	0.00	0.00	0.00	163.61	0.00	0.00	-137.61	0.00	0.00
91412GUT0 UNIV OF CALIFORNIA-AN	250,149.12 0.00	0.00	0.00	0.00	0.00	396.25	0.00	-295.00	0.00	250,250.38
459200HD6 IBM CORP	753,968.75 0.00	0.00	0.00	0.00	-465.95	1,406.25	0.00	-527.05	0.00	754,382.00
0258MODA4 AMER EXPRESS CREDIT CO	775,716.17 0.00	0.00	0.00	0.00	-4,243.03	-5,156.25	0.00	286.78	0.00	766,603.67
58768EAC3 MBALT 2014-A A2A	750,689.50 0.00	0.00	0.00	0.00	3.48	0.00	0.00	-160.23	0.00	750,532.75



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31679KAB6 FITAT 2014-2 A2A	750,066.75 0.00	0.00 0.00	0.00	0.00	10.47	-37.50	0.00	36.78	0.00	750,076.50
3134G53J2 FREDDIE MAC	0.00 1,000,000.00	0.00 0.00	0.00	0.00	0.00	2,001.39	0.00	-4,608.00	0.00	997,393.39
3134G4TH1 FREDDIE MAC	1,002,200.67 0.00	0.00 0.00	0.00	0.00	-18.71	-750.00	0.00	283.71	0.00	1,001,715.67
3135G0TR4 FANNIE MAE	1,004,755.22 0.00	0.00 0.00	0.00	0.00	-1,032.11	-1,625.00	0.00	700.11	0.00	1,002,798.22
5446682Q4 LOS ANGELES SD-L-TXBL	0.00 400,000.00	0.00 0.00	0.00	0.00	0.00	226.80	0.00	-1,040.00	0.00	399,186.80
59217GAM1 MET LIFE GLOB FUNDING I	620,780.87 0.00	0.00 0.00	0.00	0.00	-2,085.26	-3,050.00	0.00	-86.34	0.00	615,559.27
3133EA4Q8 FEDERAL FARM CREDIT BANK	1,000,424.89 0.00	0.00 0.00	0.00	0.00	0.00	1,250.00	0.00	-3,862.00	0.00	997,812.89
677520FR4 OH ST-TXB-DEV ASST-A	0.00 796,050.00	0.00 0.00	0.00	0.00	-8,447.65	20,212.50	0.00	-72.35	0.00	807,742.50
17305ECH6 CCCIT 2004-A8 A8	306,987.40 0.00	0.00 0.00	0.00	0.00	-3,349.80	3,675.00	0.00	-96.60	0.00	307,216.00
17305ECH6 CCCIT 2004-A8 A8	409,316.53 0.00	0.00 0.00	0.00	0.00	-4,385.99	4,900.00	0.00	-209.21	0.00	409,621.33
928668AD4 VOLKSWAGEN GROUP AMERICA	500,514.81 0.00	0.00 0.00	0.00	0.00	0.00	-12.32	0.00	-38.00	0.00	500,464.49
06050TLU4 BANK OF AMERICA NA	500,984.40 0.00	0.00 0.00	0.00	0.00	0.00	5.33	0.00	419.50	0.00	501,409.23
797355R97 SAN DIEGO USD-A-TXBL	751,282.50 0.00	0.00 -750,000.00	0.00	0.00	0.00	-1,282.50	0.00	0.00	0.00	0.00
36962G6Q2 GENERAL ELEC CAP CORP	756,438.55 0.00	0.00 0.00	0.00	0.00	-422.08	23.48	0.00	-208.67	0.00	755,831.28
3133EA6W3 FEDERAL FARM CREDIT BANK	1,000,959.00 0.00	0.00 0.00	0.00	0.00	-14.15	675.00	0.00	-452.85	0.00	1,001,167.00
74153WCA5 PRICOA GLOBAL FUNDING 1	376,079.72 0.00	0.00 0.00	0.00	0.00	0.00	2.80	0.00	-187.50	0.00	375,895.02
74153WCA5 PRICOA GLOBAL FUNDING 1	300,863.78 0.00	0.00 0.00	0.00	0.00	-133.12	2.24	0.00	-16.88	0.00	300,716.02
3133EDQW5 FEDERAL FARM CREDIT BANK	0.00 998,680.00	0.00 0.00	0.00	0.00	149.67	563.89	0.00	-1,800.67	0.00	997,592.89
912828B82 US TREASURY N/B	999,858.60 0.00	0.00 0.00	0.00	0.00	475.12	-621.51	0.00	-318.12	0.00	999,394.09
40428HPG1 HSBC USA INC	715,083.02 0.00	0.00 0.00	0.00	0.00	-2,890.87	-4,156.25	0.00	-548.23	0.00	707,487.67
47787VAB7 JDOT 2014-A A2	700,329.70 0.00	0.00 0.00	0.00	0.00	4.30	0.00	0.00	-50.50	0.00	700,283.50
637432MP7 NATIONAL RURAL UTIL COOP	281,288.88 0.00	0.00 0.00	0.00	0.00	-443.28	-697.50	0.00	-7.58	0.00	280,140.52
637432MP7 NATIONAL RURAL UTIL COOP	453,691.75 0.00	0.00 0.00	0.00	0.00	-489.97	-1,125.00	0.00	-237.23	0.00	451,839.55
64952WBE2 NEW YORK LIFE GLOBAL FDG	505,523.89 0.00	0.00 0.00	0.00	0.00	-681.64	-1,625.00	0.00	-281.86	0.00	502,935.39
3133ECHB3 FEDERAL FARM CREDIT BANK	1,000,810.00 0.00	0.00 0.00	0.00	0.00	0.00	-1,125.00	0.00	-3,817.00	0.00	995,868.00
74005PAR5 PRAXAIR INC	678,300.98 0.00	0.00 0.00	0.00	0.00	-6,716.00	-7,515.62	0.00	-279.95	0.00	663,789.41
3133EA4S4 FEDERAL FARM CREDIT BANK	1,000,981.83 0.00	0.00 0.00	0.00	0.00	-93.97	625.00	0.00	-292.03	0.00	1,001,220.83
22546QAE7 CREDIT SUISSE NEW YORK	257,847.19 0.00	0.00 0.00	0.00	0.00	-1,680.98	-2,187.50	0.00	-41.02	0.00	253,937.69
3133EDGM8 FEDERAL FARM CREDIT BANK	199,960.23 0.00	0.00 0.00	0.00	0.00	85.20	-175.00	0.00	-751.00	0.00	199,119.43
3133EDGM8 FEDERAL FARM CREDIT BANK	999,801.17 0.00	0.00 0.00	0.00	0.00	227.79	-875.00	0.00	-3,556.79	0.00	995,597.17



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Identifier, Description	Beginning Market Value + Accrued, Base Purchases	Base Sales, Base Maturities and Redemptions	Base Paydowns	Base Net Transferred Value	Base Amortization/ Accretion	Change In Accrued Balance	Net Realized Gain/Loss	Base Change In Net Unrealized Gain/Loss	Base Change In Cash, Payables/Receivables	Ending Market Value + Accrued
89233P4B9 TOYOTA MOTOR CREDIT CORP	0.00 770,025.00	0.00 0.00	0.00	0.00	-4,859.87	6,933.33	0.00	-117.88	0.00	771,980.58
74254PF3 PRINCIPAL LIFE INC FDG	526,125.33 0.00	0.00 0.00	0.00	0.00	-6,320.81	6,937.50	0.00	-214.19	0.00	526,527.83
3134G3H78 FREDDIE MAC	1,001,284.78 0.00	0.00 0.00	0.00	0.00	-139.61	875.00	0.00	-390.39	0.00	1,001,629.78
3134G4DE5 FREDDIE MAC	1,503,681.17 0.00	0.00 0.00	0.00	0.00	0.00	-1,162.50	0.00	-634.50	0.00	1,501,884.17
24422ES48 JOHN DEERE CAPITAL CORP	660,758.74 0.00	0.00 0.00	0.00	0.00	0.00	14.93	0.00	-132.66	0.00	660,641.01
74256LAC3 PRINCIPAL LFE GLB FND II	251,468.14 0.00	0.00 0.00	0.00	0.00	-354.78	625.00	0.00	96.03	0.00	251,834.39
CCYUSD Payable	-1,000,833.90 0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,000,833.90	0.00
CCYUSD Receivable	16.75 0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	-13.53	3.22
CCYUSD Cash	0.49 0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.01	0.48
92867GAC7 VALET 2012-1 A3	367,268.82 0.00	0.00 0.00	-103,565.76	0.00	-295.90	-26.90	0.00	-57.33	0.00	263,322.93
41284AAB4 HDMOT 2014-1 A2A	350,246.32 0.00	0.00 0.00	-17,579.04	0.00	3.78	-3.83	0.00	-101.41	0.00	332,565.82
43814EAB1 HAROT 2013-2 A2	351,662.47 0.00	0.00 0.00	-184,196.44	0.00	7.57	-28.40	0.00	-40.38	0.00	167,404.82
---	44,363,572.29	-4,057,925.91	-740,392.44	0.00	-76,179.66	22,633.22	-0.01	-31,147.69	1,000,820.36	44,336,009.80
---	8,079,629.64	-4,225,000.00								

* Weighted By: Ending Base Market Value + Accrued.



Shock Analysis

Base Currency: USD As of 09/30/2014

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Dated: 10/02/2014

WC-Contra Costa County (19529)

Identifier	Description	Security Type	Base Market Value	Book Yield	Yield	Duration	-200 Basis Point Shock	-100 Basis Point Shock	-50 Basis Point Shock	50 Basis Point Shock	100 Basis Point Shock	200 Basis Point Shock
0258MODA4	AMER EXPRESS CREDIT CO	CORP	765,687.00	0.491	0.522	0.952	769,492.04	769,492.04	769,331.67	762,042.33	758,397.66	751,108.32
02666QK69	AMERICAN HONDA FINANCE	CORP	702,923.20	0.593	0.266	0.411	703,691.68	703,691.68	703,691.68	701,478.69	700,034.19	697,145.17
05578XAB4	BMWOT 2013-A A2	ABS	168,655.55	0.416	0.335	0.227	168,783.80	168,783.80	168,783.80	168,464.12	168,272.70	167,889.85
06050TLU4	BANK OF AMERICA NA	CORP	500,940.50	0.715	0.623	0.122	501,321.24	501,321.24	501,246.07	500,634.93	500,329.35	499,718.21
06406HBN8	BANK OF NEW YORK MELLON	CORP	755,970.00	0.56	0.361	0.294	756,772.34	756,772.34	756,772.34	754,858.72	753,747.45	751,524.90
07330NAE5	BRANCH BANKING & TRUST	CORP	750,322.50	0.445	-0.009	0.075	750,322.50	750,322.50	750,322.50	750,041.13	749,759.76	749,197.02
13063BN65	CALIFORNIA ST-TXBL	MUNI	750,832.50	0.85	0.451	0.338	751,977.05	751,977.05	751,977.05	749,563.59	748,294.69	745,756.87
161571FY5	CHAIT 2013-A4 A4	ABS	750,000.00	0.254	0.251	0.05	750,094.12	750,094.12	750,094.12	749,812.50	749,625.00	749,250.00
17305ECH6	CCCIT 2004-A8 A8	ABS	706,261.50	0.502	0.294	0.197	706,670.55	706,670.55	706,670.55	705,565.83	704,870.16	703,478.83
22546QAE7	CREDIT SUISSE NEW YORK	CORP	253,743.25	0.814	0.397	0.482	254,228.80	254,228.80	254,228.80	253,131.73	252,520.21	251,297.17
24422ESA8	JOHN DEERE CAPITAL CORP	CORP	660,201.30	0.31	0.193	0.031	660,240.80	660,240.80	660,240.80	660,098.97	659,996.64	659,791.98
3128X33E1	FREDDIE MAC	AGCY BOND	507,779.00	0.224	0.205	0.325	508,117.31	508,117.31	508,117.31	506,953.86	506,128.72	504,478.44
313373S26	FEDERAL HOME LOAN BANK	AGCY BOND	1,026,540.00	0.50	0.549	1.662	1,035,906.54	1,035,906.54	1,035,070.55	1,018,009.45	1,009,478.91	992,417.81
3133EA4Q8	FEDERAL FARM CREDIT BANK	AGCY BOND	995,549.00	0.50	0.785	1.016	1,003,489.10	1,003,489.10	1,000,606.39	990,491.61	985,434.22	975,319.44
3133EA4S4	FEDERAL FARM CREDIT BANK	AGCY BOND	1,000,075.00	0.213	0.08	0.047	1,000,112.60	1,000,112.60	1,000,112.60	999,839.98	999,604.96	999,134.93
3133EA6W3	FEDERAL FARM CREDIT BANK	AGCY BOND	1,000,072.00	0.264	0.19	0.10	1,000,262.01	1,000,262.01	1,000,262.01	999,571.96	999,071.93	998,071.86
3133ECHB3	FEDERAL FARM CREDIT BANK	AGCY BOND	995,568.00	0.45	0.759	0.953	1,002,769.21	1,002,769.21	1,000,311.88	990,824.12	986,080.24	976,592.47
3133EDGC0	FEDERAL FARM CREDIT BANK	AGCY BOND	999,656.00	0.382	0.281	1.094	1,002,729.08	1,002,729.08	1,002,729.08	994,187.88	988,719.76	977,783.53
3133EDGM8	FEDERAL FARM CREDIT BANK	AGCY BOND	1,194,471.60	0.454	0.67	0.963	1,202,178.45	1,202,178.45	1,200,222.98	1,188,720.22	1,182,968.84	1,171,466.08
3133EDQW5	FEDERAL FARM CREDIT BANK	AGCY BOND	997,029.00	0.38	0.518	1.306	1,003,773.98	1,003,773.98	1,003,539.60	990,518.40	984,007.80	970,986.60
3134G36G0	FREDDIE MAC	AGCY BOND	1,001,359.00	0.243	0.133	0.469	1,001,983.62	1,001,983.62	1,001,983.62	999,010.81	996,662.63	991,966.25
3134G3H78	FREDDIE MAC	AGCY BOND	1,000,502.00	0.294	0.055	0.183	1,000,602.70	1,000,602.70	1,000,602.70	999,586.54	998,671.08	996,840.16
3134G4DE5	FREDDIE MAC	AGCY BOND	1,500,825.00	0.31	0.054	0.278	1,501,050.30	1,501,050.30	1,501,050.30	1,498,738.85	1,496,652.71	1,492,480.41
3134G4TH1	FREDDIE MAC	AGCY BOND	1,001,024.00	0.293	0.158	0.774	1,002,248.17	1,002,248.17	1,002,248.17	997,150.04	993,276.07	985,528.15
3134G53J2	FREDDIE MAC	AGCY BOND	995,392.00	0.55	0.83	1.11	1,004,562.55	1,004,562.55	1,000,916.43	989,867.57	984,343.15	973,294.30
3134G54C6	FREDDIE MAC	AGCY BOND	997,384.00	0.375	0.657	1.322	1,006,046.82	1,006,046.82	1,003,976.71	990,791.29	984,198.58	971,013.17
3135G0TR4	FANNIE MAE	AGCY BOND	1,001,426.00	0.238	0.15	0.677	1,002,442.95	1,002,442.95	1,002,442.95	998,036.17	994,646.35	987,866.69
3135G0WG4	FANNIE MAE	AGCY BOND	999,696.00	0.281	0.515	0.839	1,004,015.54	1,004,015.54	1,003,889.72	995,502.28	991,308.55	982,921.10
3137EADD8	FREDDIE MAC	AGCY BOND	1,002,169.00	0.272	0.087	0.549	1,002,647.67	1,002,647.67	1,002,647.67	999,418.05	996,667.09	991,165.18
31679KAB6	FITAT 2014-2 A2A	ABS	749,926.50	0.461	0.463	0.849	752,874.36	752,874.36	752,874.36	746,743.06	743,559.62	737,192.75
36962G6Q2	GENERAL ELEC CAP CORP	CORP	754,356.00	0.621	0.372	0.025	754,426.16	754,426.16	754,426.16	754,261.71	754,167.41	753,978.82
40428HPG1	HSBC USA INC	CORP	705,271.00	0.72	0.37	0.371	706,239.13	706,239.13	706,239.13	703,962.72	702,654.44	700,037.89
41284AAB4	HDMOT 2014-1 A2A	ABS	332,493.43	0.497	0.464	0.783	333,701.42	333,701.42	333,701.42	331,191.72	329,890.01	327,286.58
43814EAB1	HAROT 2013-2 A2	ABS	167,379.01	0.379	0.336	0.177	167,478.56	167,478.56	167,478.56	167,230.88	167,082.75	166,786.49
43814GAB6	HAROT 2014-2 A2	ABS	199,954.00	0.399	0.427	0.662	200,519.22	200,519.22	200,519.22	199,292.15	198,630.30	197,306.61
459200HD6	IBM CORP	CORP	752,194.50	0.502	0.216	0.614	753,192.09	753,192.09	753,192.09	749,885.26	747,576.03	742,957.55
47787VAB7	JDOT 2014-A A2	ABS	700,143.50	0.455	0.42	0.624	701,978.44	701,978.44	701,978.44	697,959.05	695,774.60	691,405.71
5446462Q4	LOS ANGELES SD-L-TXBL	MUNI	398,960.00	0.486	0.635	1.249	402,124.21	402,124.21	401,451.51	396,468.49	393,976.99	388,993.98
55292LAB0	MTBAT 2013-1A A2	ABS	184,598.95	0.664	0.359	0.169	184,710.95	184,710.95	184,710.95	184,442.97	184,286.98	183,975.01
58768EAC3	MBALT 2014-A A2A	ABS	750,372.75	0.484	0.396	0.579	752,093.23	752,093.23	752,093.23	748,200.42	746,028.09	741,683.43
59217GAM1	MET LIFE GLOB FUNDING I	CORP	612,780.38	0.63	0.334	0.277	613,347.31	613,347.31	613,347.31	611,931.68	611,082.98	609,385.58
637432MP7	NATIONAL RURAL UTIL COOP	CORP	730,785.32	0.488	0.276	0.341	731,473.11	731,473.11	731,473.11	729,539.33	728,293.34	725,801.37
64952WBE2	NEW YORK LIFE GLOBAL FDG	CORP	501,509.00	0.754	0.229	0.286	501,837.46	501,837.46	501,837.46	500,791.84	500,074.68	498,640.37
677520FR4	OH ST-TXB-DEV ASST-A	MUNI	787,530.00	0.351	0.376	0.966	790,390.43	790,390.43	790,390.43	783,726.23	779,922.46	772,314.92
70659PAE1	PENAR 2013-1A A1	ABS	750,813.00	0.546	0.449	0.054	750,995.04	750,995.04	750,995.04	750,610.28	750,407.56	750,002.12
74005PAR5	PRAXAIR INC	CORP	663,705.90	0.511	0.394	0.491	664,989.87	664,989.87	664,989.87	662,076.50	660,447.10	657,188.31
74153WCA5	PRICOA GLOBAL FUNDING 1	CORP	676,206.22	0.43	0.298	0.137	676,482.29	676,482.29	676,482.29	675,743.02	675,279.82	674,353.42
74254PYF3	PRINCIPAL LIFE INC FDG	CORP	514,657.00	0.52	0.435	0.563	515,917.42	515,917.42	515,917.42	513,208.24	511,759.48	508,861.96
74256LAC3	PRINCIPAL LFE GLB FND II	CORP	251,070.50	0.434	0.711	1.188	253,191.21	253,191.21	252,561.86	249,579.14	248,087.78	245,105.07
89233P4B9	TOYOTA MOTOR CREDIT CORP	CORP	765,047.25	0.341	0.379	0.708	767,100.12	767,100.12	767,100.12	762,338.98	759,630.72	754,214.18
89236VAB6	TAOT 2013-B A2	ABS	325,239.78	0.488	0.36	0.241	325,521.96	325,521.96	325,521.96	324,847.87	324,455.95	323,672.12



Shock Analysis

WC-Contra Costa County (19529)

Base Currency: USD As of 09/30/2014

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Dated: 10/02/2014

Identifier	Description	Security Type	Base Market Value	Book Yield	Yield	Duration	-200 Basis Point Shock	-100 Basis Point Shock	-50 Basis Point Shock	50 Basis Point Shock	100 Basis Point Shock	200 Basis Point Shock
90290KAB1	USAOT 2014-1 A2	ABS	660,067.32	0.386	0.361	0.456	661,153.90	661,153.90	661,153.90	658,562.37	657,057.41	654,047.51
90331HKP7	US BANK NA	CORP	702,435.30	0.354	0.741	0.086	702,882.93	702,882.93	702,737.35	702,133.25	701,831.21	701,227.11
912828B82	US TREASURY N/B	US GOV	999,180.00	0.44	0.297	1.416	1,003,382.07	1,003,382.07	1,003,382.07	992,105.81	985,031.61	970,883.22
912828PS3	US TREASURY N/B	US GOV	1,022,891.00	0.315	0.266	1.321	1,026,485.30	1,026,485.30	1,026,485.30	1,016,134.80	1,009,378.61	995,866.22
912828UP3	US TREASURY N/B	US GOV	1,000,859.00	0.265	0.045	0.42	1,001,048.16	1,001,048.16	1,001,048.16	998,757.20	996,655.39	992,451.78
912828WQ9	US TREASURY N/B	US GOV	1,000,586.00	0.459	0.46	1.741	1,008,599.29	1,008,599.29	1,008,599.29	991,875.90	983,165.80	965,745.59
91412GUT0	UNIV OF CALIFORNIA-AN	MUNI	249,497.50	0.634	0.699	1.612	252,308.81	252,308.81	251,508.45	247,486.55	245,475.60	241,453.70
928668AD4	VOLKSWAGEN GROUP AMERICA	CORP	500,234.50	0.454	0.426	0.146	500,545.63	500,545.63	500,545.63	499,869.33	499,504.16	498,773.82
92867GAC7	VALET 2012-1 A3	ABS	263,254.68	0.502	0.453	0.447	263,787.75	263,787.75	263,787.75	262,666.30	262,077.93	260,901.18
949917397	WFA HERITAGE MONEY MARK-I	MMFUND	555,854.40	0.00	0.00	0.00	555,854.40	555,854.40	555,854.40	555,854.40	555,854.40	555,854.40
CCYUSD	Receivable	CASH	3.22	0.00	0.00	0.00	3.22	3.22	3.22	3.22	3.22	3.22
CCYUSD	Cash	CASH	0.48	0.00	0.00	0.00	0.48	0.48	0.48	0.48	0.48	0.48
---	---	---	44,211,911.30	0.423	0.361	0.631	44,335,169.45	44,335,169.45	44,318,477.97	44,072,392.81	43,932,874.32	43,653,837.35

* Weighted By: Base Market Value + Accrued.

*The shock analysis includes a yield floor of 0.



Disclaimer

As of 09/30/2014

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Dated: 10/02/2014

The information contained in this report represents estimated trade date investment calculations provided via Clearwater Analytics for Wells Capital Management clients. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.



CONTRA COSTA COUNTY
STATEMENT FOR PERIOD
September 01, 2014 - September 30, 2014

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CalTrust Short Term Fund

201-000004-10

Date	Transaction	Shares	Price Per Share	Amount	Average Cost NAV	Average Cost Amount	Realized Gain/Loss*
08/31/2014	BALANCE FORWARD	14,784,261.115	10.03	148,286,138.98	10.02189495	148,166,311.77	
09/02/2014	INCOME DISTRIBUTION - AUGUST	3,297.486	10.03	33,073.78	10.02189675	33,073.78	0.00
09/30/2014	UNREALIZED GAIN (LOSS)	0.000		0.01		0.00	
09/30/2014	ENDING BALANCE	<u>14,787,558.601</u>	10.03	<u>148,319,212.77</u>		<u>148,199,385.55</u>	
	INCOME DISTRIBUTION PAID - AUGUST			0.00			
	INCOME ACCRUAL - SEPTEMBER			49,372.19			
	CUMULATIVE UNREALIZED GAIN (LOSS)			119,827.22			

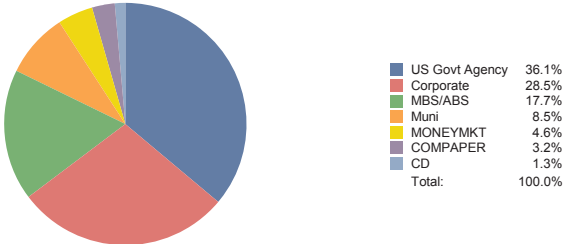
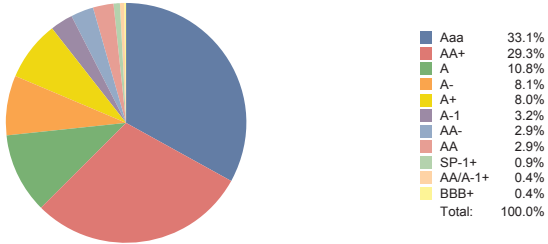
* Please note that this information should not be construed as tax advice and it is recommended that you consult with a tax professional regarding your account.

For Inquiries About Your Account, Contact:

Nottingham Investment Administration
116 South Franklin Street
Rocky Mount, NC 27804
Attention: CalTRUST Shareholder Services
Phone: 800.773.3863
Fax: 252-972-1908
Email: caltrustsupport@ncfunds.com

CONTRA COSTA COUNTY
ATTN: BELINDA ZHU
625 COURT STREET
ROOM 102
MARTINEZ CA 94553-1281

	CalTrust Short Term	LAIF		CalTrust Short Term Total Return	CalTrust Short Term Yield Return	LAIF Yield Return
Market Value	\$763,395,963.50	N/A	One Month	0.00%	0.03%	0.02%
NAV per Share	\$10.03	N/A	Three Month	0.06%	0.10%	0.06%
Yield	0.41%	0.24%	Six Month	0.17%	0.20%	0.12%
Period Total Return	0.00%	N/A	Calendar Y-T-D	0.28%	0.29%	0.18%
Period Yield Return	0.03%	0.02%	One Year*	0.44%	0.39%	0.25%
Effective Duration	0.78 yrs.	N/A	Two Year*	0.38%	0.36%	0.26%
Average Maturity	1.37 yrs.	0.63 yrs.	Three Year*	0.46%	0.40%	0.30%
			Five Year*	0.52%	0.47%	0.38%
			Since Inception*	2.04%	2.00%	1.95%
			<i>*Annualized</i>			

Portfolio Sector Breakdown		Portfolio Quality Breakdown	
			

Disclosure to Performance Information

- This performance information is based on an inception date of February 13, 2005, when the CalTrust Short-Term portfolio commenced investment operations according to its investment objective, and does not include any investment returns from temporary investments held before the commencement of those operations.
- First-month index returns, February 13-28, 2005, are intra-period and were calculated by calculating the average daily return during the month and multiplying the average daily return by number of days in the shortened period.
- Performance was calculated net of investment advisory and program administration fees.
- The Local Agency Investment Fund (LAIF) is a diversified portfolio managed by the State of California for local governments and special districts.
- Performance for the CalTRUST Short Term Account is on a trade date basis. LAIF's monthly performance was calculated by taking the average monthly effective yield and dividing it by 365 then multiplying the result by the number of days in the month.
- Yield represents the 7-day net distribution on investments for the period.
- Rating source - Standard & Poor's.
- Past performance is no guarantee of future results.



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Search		Complete Listing		Additional Ratings		Effective Date: 9/30/2014		Go
Ticker	CUSIP	Description	S&P Rating 9/30/2014*	Mat. Date	Years	Shares	Price	Value
AX091515	0258M0DA4	AMER EXPRESS CREDIT CO 9/15/2015 2.75%	A-	9/15/2015	1.0	6,000,000.00	102.0916	\$6,125,496.00
AM111315	025815AA9	AMERICAN EXPR CENTURION 11/13/15	A-	11/13/2015	1.2	2,800,000.00	100.3231	\$2,809,046.80
AH022715	02666QK69	AMERICAN HONDA FINANCE 1.45 2/27/15	A+	2/27/2015	0.4	1,100,000.00	100.4176	\$1,104,593.60
HN092215	02666QG64	AMERICAN HONDA FINAN CE 9/21/2015 2.5%	A+	9/21/2015	1.0	2,000,000.00	102.1112	\$2,042,224.00
HN100716	02665WAA9	AMERICAN HONDA FINAN CE 10/7/2016 0.7418	A+	10/7/2016	2.1	3,000,000.00	100.7009	\$3,021,027.00
HO071417	02665WAG6	AMERICAN HONDA FINAN CE 7/14/2017 0.4051	A+	7/14/2017	2.8	2,000,000.00	100.0828	\$2,001,656.00
AN111414	0347M3LE2	ANGLESEA FDG PLC 11/11/2014	A-1	11/14/2014	0.2	10,000,000.00	99.9675	\$9,996,750.00
BA080115	060505BS2	BANK OF AMERICA CORP 8/1/2015 4.75%	A-	8/1/2015	0.9	5,250,000.00	103.3868	\$5,427,807.00
BA021417	06050TLU4	BANK OF AMERICA NA 2/14/2017 0.7061%	A	2/14/2017	2.4	500,000.00	100.1881	\$500,940.50
BA111416	06050TLS9	BANK OF AMERICA NA 11/14/2016 0.7089%	A	11/14/2016	2.2	2,200,000.00	100.0844	\$2,201,856.80
BN011516	06406HBS7	BANK OF NEW YORK MELLON 2.5% 1/15/16	A+	1/15/2016	1.3	2,000,000.00	102.4101	\$2,048,202.00
BN022015	06406HCC1	BANK OF NEW YORK MELLON 1.2% 2/20/15	A+	2/20/2015	0.4	7,241,000.00	100.2703	\$7,260,572.42
BN112414	06406HBZ1	BANK OF NEW YORK MELLON 1.7% 11/24/14	A+	11/24/2014	0.2	2,976,000.00	100.1049	\$2,979,121.82
BA100114	072031AA5	BAY AREA CA WTR SPLY & CONSV AGY 10/01/14	AA-	10/1/2014	0.1	2,520,000.00	100.0000	\$2,520,000.00
BH011515	08466AAT8	BERKSHIRE HATHAWAY FIN 4.85% 1/15/2015	AA	1/15/2015	0.3	2,605,000.00	101.2954	\$2,638,745.17
BR021115	084670AV0	BERKSHIRE HATHAWAY I NC 2/11/2015 3.2%	AA	2/11/2015	0.4	5,171,094.33	101.0374	\$5,171,094.33
BR121014	09247XAD3	BLACKROCK INC 3.5% 12/10/14	AA-	12/10/2014	0.2	4,775,000.00	100.5922	\$4,803,277.55
AU022516	05578XAB4	BMWOT 2013-1 A2 02/25/2016 0.41%	Aaa	2/25/2016	1.4	2,454,465.31	100.0167	\$2,454,875.20
BB120116	07330NAF2	BRANCH BANKING & TRU ST 12/1/2016 0.6743	A	12/1/2016	2.2	5,000,000.00	100.4937	\$5,024,685.00
CA020115	13063BN65	CALIFORNIA ST-TXBL 2/1/2015 0.85%	A	2/1/2015	0.4	3,000,000.00	100.1110	\$3,003,330.00
CA040116	14912L4S7	CATERPILLAR FINANCI A LE 4/1/2016 2.65%	A	4/1/2016	1.6	1,550,000.00	102.9214	\$1,595,281.70
CR070717	17305EBU8	CCCIT 2003-A7 A7 7/7/2017 4.15%	AAA	7/7/2017	2.8	5,000,000.00	102.8453	\$5,142,265.00
CR112516	17305EFD2	CCCIT 2013-A5 A5 11/25/2016 0.34%	AAA	11/25/2016	2.2	5,000,000.00	100.0061	\$5,000,305.00
CR081517	161571FL3	CHAIT 2012-A5 A5 8/15/2017 0.59%	AAA	8/15/2017	2.9	3,000,000.00	100.1017	\$3,003,051.00
CR041618	161571GM0	CHAIT 2014-A4 A4 4/16/2018 0.35755%	AAA	4/16/2018	3.6	10,000,000.00	99.9221	\$9,992,210.00
CS120415	808513AH8	CHARLES SCHWAB CORP 0.85% 12/04/15	A	12/4/2015	1.2	4,500,000.00	100.3319	\$4,514,935.50
C040116	172967GP0	CITIGROUP INC 4/1/2016 1.3%	A-	4/1/2016	1.6	100,000.00	100.3064	\$100,306.40
C072516	172967GW5	CITIGROUP INC 7/25/2016 1.7%	A-	7/25/2016	1.8	1,000,000.00	101.0135	\$1,010,135.00
C121515	172967FH9	CITIGROUP INC 12/15/2015 4.587%	A-	12/15/2015	1.2	5,000,000.00	104.4600	\$5,223,000.00
CM011515	20030NAB7	COMCAST CORP 1/15/2015 6.5%	A-	1/15/2015	0.3	4,000,000.00	101.7012	\$4,068,048.00
CW120715	22160KAD7	COSTCO WHOLESALE CORP 0.65% 12/7/15	A+	12/7/2015	1.2	1,200,000.00	100.1699	\$1,202,038.80
CS120715	22549TNZ7	CREDIT SUISSE NEW YO RK 12/7/2015 0.6513	A	12/7/2015	1.2	10,000,000.00	100.0712	\$10,007,120.00
DF010915	233851AS3	DAIMLER FINANCE NA LLC 01/09/2015	A-	1/9/2015	0.3	5,815,000.00	100.1177	\$5,821,844.26
DA041015	233851AN4	DAIMLER FINANCE NA L LC 4/10/2015 1.65%	A-	4/10/2015	0.6	1,902,000.00	100.5846	\$1,913,119.09
FN022616	3135G0UV3	FANNIE MAE 2/26/2016 0.75%	AA+	2/26/2016	1.4	5,000,000.00	100.1968	\$5,009,840.00
FN031016	3136FPET0	FANNIE MAE 3/10/2016 2%	AA+	3/10/2016	1.5	1,475,000.00	102.1979	\$1,507,419.03
FN042516	3135G0WK5	FANNIE MAE 4/25/2016 0.5%	AA+	4/25/2016	1.6	5,000,000.00	99.9425	\$4,997,125.00
FN042916	3135G0WG4	FANNIE MAE 4/29/2016 0.5%	AA+	4/29/2016	1.6	17,000,000.00	99.9696	\$16,994,832.00
FN092115	31398A3T7	FANNIE MAE 9/21/2015 2%	AA+	9/21/2015	1.0	2,250,000.00	101.7564	\$2,289,519.00
FM070215	3135G0LN1	FANNIE MAE 0.50% 7/2/2015	AA+	7/2/2015	0.8	10,000,000.00	100.2327	\$10,023,270.00
FF011416	3133EDQF2	FEDERAL FARM CREDIT BANK 1/14/2016 0.34	AA+	1/14/2016	1.3	5,000,000.00	99.7100	\$4,985,500.00
FF021216	3133EDEZ1	FEDERAL FARM CREDIT BANK 2/12/2016 0.37	AA+	2/12/2016	1.4	4,575,000.00	99.9441	\$4,572,442.58
FF030716	3133ECHB3	FEDERAL FARM CREDIT BANK 3/7/2016 0.45%	AA+	3/7/2016	1.5	9,000,000.00	99.5568	\$8,960,112.00
FH011615	313381H24	FEDERAL HOME LOAN BA NK 1/16/2015 0.25%	AA+	1/16/2015	0.3	5,000,000.00	100.0402	\$5,002,010.00
FH021216	313382B77	FEDERAL HOME LOAN BA NK 2/12/2016 0.42%	AA+	2/12/2016	1.4	6,200,000.00	100.0957	\$6,205,933.40
FH031816	313382K85	FEDERAL HOME LOAN BA NK 3/18/2016 0.45%	AA+	3/18/2016	1.5	10,650,000.00	100.0123	\$10,651,309.95
FH061016	313373SZ6	FEDERAL HOME LOAN BA NK 6/10/2016 2.125%	AA+	6/10/2016	1.8	5,000,000.00	102.6540	\$5,132,700.00
FH091115	313370JB5	FEDERAL HOME LOAN BA NK 9/11/2015 1.75%	AA+	9/11/2015	1.0	10,000,000.00	101.4670	\$10,146,700.00
FH092816	3130A1CD8	FEDERAL HOME LOAN BA NK 9/28/2016 1.125%	AA+	9/28/2016	2.0	2,500,000.00	100.5782	\$2,514,455.00
FB111816	31677QAZ2	FIFTH THIRD BANK 11/18/2016 0.7481%	A-	11/18/2016	2.2	7,110,000.00	100.6233	\$7,154,316.63
FI022616	31677QAX7	FIFTH THIRD BANK 2/26/2016 0.9%	A-	2/26/2016	1.4	2,000,000.00	100.1891	\$2,003,782.00
AU081516	31679JAB9	FITAT 2014-1 A2 8/15/2016 0.46%	AAA	8/15/2016	1.9	11,100,000.00	100.0317	\$11,103,518.70
FH010915	3134G4DE5	FREDDIE MAC 1/9/2015 0.31%	AA+	1/9/2015	0.3	10,250,000.00	100.0550	\$10,255,637.50
FH012816	3134G34B3	FREDDIE MAC 1/28/2016 0.5%	AA+	1/28/2016	1.3	2,500,000.00	100.0396	\$2,500,990.00
FH031516	3134G4VH8	FREDDIE MAC 3/15/2016 0.4%	AA+	3/15/2016	1.5	10,000,000.00	99.9920	\$9,999,200.00
FH052016	3134G54C6	FREDDIE MAC 5/20/2016 0.5%	AA+	5/20/2016	1.7	12,500,000.00	99.7384	\$12,467,300.00
FH060616	3134G46A1	FREDDIE MAC 6/6/2016 0.5%	AA+	6/6/2016	1.8	5,000,000.00	99.6922	\$4,984,610.00
FH062016	3134G46W3	FREDDIE MAC 6/20/2016 0.57%	AA+	6/20/2016	1.8	5,000,000.00	99.9355	\$4,996,775.00
FH072916	3134G5EH4	FREDDIE MAC 7/29/2016 0.6%	AA+	7/29/2016	1.8	5,000,000.00	99.8615	\$4,993,075.00
FH091815	3134G36F2	FREDDIE MAC 9/18/2015 0.42%	AA+	9/18/2015	1.0	2,150,000.00	100.1680	\$2,153,612.00
FH111315	3134G4KC1	FREDDIE MAC 11/13/2015 0.4%	AA+	11/13/2015	1.2	3,000,000.00	100.0095	\$3,000,285.00
FH120514	3134G3H78	FREDDIE MAC 12/5/2014 0.35%	AA+	12/5/2014	0.2	3,000,000.00	100.0502	\$3,001,506.00
FM082516	3137EACW7	FREDDIE MAC 2.0 08/25/2016	AA+	8/25/2016	1.9	15,000,000.00	102.6517	\$15,397,755.00
FM092515	3134G3L24	FREDDIE MAC 0.5% 09/25/15	AA+	9/25/2015	1.0	12,910,000.00	100.2811	\$12,946,290.01
FR052016	3134G53R4	FREDDIE MAC 5/20/2016 0.5%	AA+	5/20/2016	1.7	5,000,000.00	99.7493	\$4,987,465.00
FM041715	3137EADD8	FREDDIE MAC 0.50% 4/17/2015	AA+	4/17/2015	0.6	5,000,000.00	100.2169	\$5,010,845.00
FM031515	31398V2J4	FREDDIE MAC 3/15/15	AAA	3/15/2015	0.5	128,533.50	100.0183	\$128,557.02
GE042018	36159LCF1	GE DEALER FLOORPLAN MASTER NT 04/20/18	Aaa	4/20/2018	3.6	10,400,000.00	100.2866	\$10,429,806.40
GE050916	36962GSC4	GENERAL ELEC CAP CORP 2.95% 5/9/16	AA+	5/9/2016	1.7	8,842,000.00	103.4974	\$9,151,240.11
GE051116	36962GV25	GENERAL ELEC CAP CORP 05/11/2016	AA+	5/11/2016	1.7	4,635,000.00	100.1250	\$4,640,793.75
GS080115	38141EA74	GOLDMAN SACHS GROUP INC 8/1/2015 3.7%	A-	8/1/2015	0.9	1,050,000.00	102.5150	\$1,076,407.50
GS112114	38141EB40	GOLDMAN SACHS GROUP INC 11/21/14	A-	11/21/2014	0.2	4,605,000.00	100.1219	\$4,610,613.50

AH082216	43813JAB1	HAROT 2014-1 A2 8/22/2016 0.41%	Aaa	9/21/2016	2.0	5,000,000.00	100.0046	\$5,000,230.00
Au091916	43814GAB6	HAROT 2014-2 A2 9/19/2016 0.39%	AAA	9/19/2016	2.0	4,000,000.00	99.9770	\$3,999,080.00
Au071618	41283YAC1	HDMOT 2013-1 A3 7/16/2018 0.65%	Aaa	7/16/2018	3.8	2,000,000.00	100.1288	\$2,002,576.00
HA111614	43814EAB1	HONDA AUTO RECEIV- ABLES 10/17/15	Aaa	10/16/2015	1.1	1,219,407.40	100.0056	\$1,219,475.69
HS060116	40429CFR8	HSBC FINANCE CORP 6/1/2016 0.6661%	A	6/1/2016	1.8	2,181,000.00	100.0415	\$2,181,905.12
HS063015	40429CCS9	HSBC FINANCE CORP 6/30/2015 5%	A	6/30/2015	0.8	2,000,000.00	103.2944	\$2,065,888.00
HS011916	40429CFN7	HSBC FINANCE CORP SR NT 01/19/2016 5.5%	A	1/19/2016	1.3	2,303,000.00	105.8480	\$2,437,679.44
IL030115	452152HQ7	ILLINOIS ST 3/1/2015 4.511%	A-	3/1/2015	0.5	3,025,000.00	101.5830	\$3,072,885.75
IN011515	4546246W2	IN BOND BANK-TXBL 1/15/2015 0.661%	AA+	1/15/2015	0.3	5,065,000.00	100.1250	\$5,071,331.25
IN070116	45884AZN6	INTERMOUNTAIN PWR-B 7/1/2016 0.785%	A+	7/1/2016	1.8	6,000,000.00	99.8530	\$5,991,180.00
JP061316	48121CJM9	JP MORGAN CHASE BANK NA 6/13/2016 0.6101	A	6/13/2016	1.8	6,650,000.00	99.9582	\$6,647,220.30
JP012015	46625HHP8	JPMORGAN CHASE & CO 1/20/2015 3.7%	A	1/20/2015	0.3	2,000,000.00	100.9873	\$2,019,746.00
JP021517	46623EJZ3	JPMORGAN CHASE & CO 2/15/2017 0.750933%	A	2/15/2017	2.4	3,000,000.00	100.4705	\$3,014,115.00
LA070116	5446462D3	LOS ANGELES SD-L-TXB L 7/1/2016 0.68%	AA-	7/1/2016	1.8	1,000,000.00	99.6370	\$996,370.00
LO010116	5446462Q4	LOS ANGELES SD-L-TXB L 1/1/2016 0.486%	AA-	1/1/2016	1.3	1,980,000.00	99.7400	\$1,974,852.00
MA072517	55279HAH3	MANUF & TRADERS TRUS T CO 7/25/2017 0.53	A	7/25/2017	2.8	5,000,000.00	100.1392	\$5,006,960.00
MA041416	57629WBP4	MASSMUTUAL GLOBAL FU NDIN 4/14/2016 3.12	AA+	4/14/2016	1.6	5,000,000.00	103.4167	\$5,170,835.00
MB021516	58768VAC5	MBALT 2013-A A3 2/15/2016 0.59%	AAA	2/15/2016	1.4	2,350,000.00	100.0395	\$2,350,928.25
Au071516	58768DAC5	MBALT 2013-B A3 7/15/2016 0.62%	Aaa	7/15/2016	1.8	6,070,000.00	100.0661	\$6,074,012.27
Au021517	587729AB0	MBART 2014-1 A2 2/15/2017 0.43%	AAA	2/15/2017	2.4	2,000,000.00	99.9470	\$1,998,940.00
ML010915	59217GAM1	MET LIFE GLOB FUNDING 2% 1/9/2015	AA-	1/9/2015	0.3	1,485,000.00	100.4558	\$1,491,768.63
ML011116	59217GAD1	MET LIFE GLOB FUND- ING 3.125% 1/11/2016	AA-	1/11/2016	1.3	6,000,000.00	103.0360	\$6,182,160.00
ME092915	59217GAC3	MET LIFE GLOB FUNDIN G I 9/29/2015 2.5%	AA-	9/29/2015	1.0	425,000.00	101.9661	\$433,355.93
ME040215	59157BAH5	METLIFE INSTITUTIONA L FD 4/2/2015 1.625	AA-	4/2/2015	0.6	2,000,000.00	100.6286	\$2,012,572.00
MI101514	594615AS5	MICHIGAN ST-TXBL-I-B 10/15/2014 1%	A+	10/15/2014	0.1	10,250,000.00	100.0200	\$10,252,050.00
Oi041017	55315CAB3	MMAF 2014-AA A2 4/10/2017 0.52%	AAA	4/10/2017	2.6	10,000,000.00	99.9551	\$9,995,510.00
MS022516	61746BDH6	MORGAN STANLEY 02/25/16	A-	2/25/2016	1.4	6,000,000.00	101.3548	\$6,081,288.00
MS042815	61747YCE3	MORGAN STANLEY 4/28/2015 6%	A-	4/28/2015	0.6	1,000,000.00	103.0813	\$1,030,813.00
Oi021616	55292LAB0	MTBAT 2013-1A A2 2/16/2016 0.66%	AAA	2/16/2016	1.4	1,891,193.68	100.0500	\$1,892,139.27
Au111517	55292LAC8	MTBAT 2013-1A A3 11/15/2017 1.06%	AAA	11/15/2017	3.2	8,000,000.00	100.5014	\$8,040,112.00
UN060616	90520EAC5	MUFG UNION BANK NA 6/6/2016 3%	A+	6/6/2016	1.8	680,000.00	103.3093	\$702,503.24
Oi091515	65476VAB5	NALT 2013-A A2A 9/15/2015 0.45%	AAA	9/15/2015	1.0	4,399,629.41	100.0142	\$4,400,254.16
CM040116	63946BAC4	NBCUNIVERSAL MEDIA L LC 4/1/2016 2.875%	A-	4/1/2016	1.6	1,000,000.00	103.1025	\$1,031,025.00
NE010116	63968AXN0	NE PUB PWR DIST-B 1/1/2016 0.48%	A	1/1/2016	1.3	12,000,000.00	99.7410	\$11,968,920.00
NY072415	64952WBH5	NEW YORK LIFE GLOBAL FDG 0.75% 7/24/15	AA+	7/24/2015	0.8	6,775,000.00	100.3483	\$6,798,597.33
NS030317	654740AG4	NISSAN MOTOR ACCEPTA NCE 3/3/2017 0.7843	A-	3/3/2017	2.5	2,000,000.00	100.3352	\$2,006,704.00
NJ061515	645771WY8	NJ BLDG AUTH-B-REF 6/15/2015 0.748%	A-	6/15/2015	0.8	2,330,000.00	100.2020	\$2,334,706.60
OH100120	677520FR4	OH ST-TXB-DEV ASST-A 10/1/2020 5.39%	AA	10/1/2015	1.1	3,850,000.00	105.0040	\$4,042,654.00
PC081415	69371RK88	PACCAR FINANCIAL COR P 8/14/2015 0.75%	A+	8/14/2015	0.9	2,285,000.00	100.3828	\$2,293,746.98
CR111817	70659PAE1	PENAR 2013-1A A1 11/18/2017 0%		11/18/2017	3.2	9,250,000.00	100.1084	\$9,260,027.00
AP082216	73328XAC3	PILOT 2013-1 A3 8/22/2016 0.7%	AAA	8/22/2016	1.9	10,000,000.00	100.1137	\$10,011,370.00
PB042916	69349LAL2	PNC BANK NA 04/29/16	A	4/29/2016	1.6	4,325,000.00	100.0345	\$4,326,492.13
PC012816	69349LAH1	PNC BANK NA 1/28/2016 0.8%	A	1/28/2016	1.3	5,000,000.00	100.1657	\$5,008,285.00
PN012816	69349LAJ7	PNC BANK NA 01/28/2016	A	1/28/2016	1.3	4,010,000.00	100.2545	\$4,020,205.45
PF052716	74256LAF6	PRINCIPAL LFE GLB FN D II 5/27/2016 0.64	A+	5/27/2016	1.7	3,025,000.00	100.4003	\$3,037,109.08
PL091815	74256LAB5	PRINCIPAL LIFE GLB FND 1.125% 9/18/15	A+	9/18/2015	1.0	4,625,000.00	100.6918	\$4,656,995.75
PL121115	74256LAC3	PRINCIPAL LIFE GLB FND II 1% 12/11/15	A+	12/11/2015	1.2	4,410,000.00	100.4282	\$4,428,883.62
PR100115	743755AK6	PRVDNC HLTH & SVC OB L GR 10/1/2015 0.80	AA	10/1/2015	1.1	5,600,000.00	100.1714	\$5,609,598.40
RF101714	76582KKH3	RIDGEFIELD FDG CO 10/17/14 0% CP	A-1	10/17/2014	0.1	15,000,000.00	99.9928	\$14,998,917.00
SC060115	837151HT9	SC PUB SVC AUT-D-TXB L 6/1/2015	SP-1+	6/1/2015	0.8	7,000,000.00	100.1080	\$7,007,560.00
SS120815	85744NAB7	STATE STREET BANK & TRST 12/08/15	A+	12/8/2015	1.2	3,000,000.00	100.0001	\$3,000,003.00
Au011717	89236RAC3	TAOT 2013-A A3 1/17/2017 0.55%	AAA	1/17/2017	2.3	3,235,000.00	100.1081	\$3,238,497.04
Au021516	89236VAB6	TAOT 2013-B A2 2/15/2016 0.48%	AAA	2/15/2016	1.4	5,988,090.03	100.0291	\$5,989,832.56
AT121517	89231MAC9	TAOT 2014-A A3 12/15/2017 0.67%	AAA	12/15/2017	3.2	1,250,000.00	99.8801	\$1,248,801.25
TO121516	89231RAB0	TAOT 2014-B A2 12/15/2016 0.4%	AAA	12/15/2016	2.2	1,000,000.00	99.8890	\$998,890.00
TV061515	880591DY5	TENN VALLEY AUTHORIT Y 6/15/2015 4.375%	AA+	6/15/2015	0.8	1,000,000.00	102.9674	\$1,029,674.00
UN092616	90521APG7	UNION BANK NA 9/26/2016 0.9996%	A+	9/26/2016	2.0	2,160,000.00	100.8696	\$2,178,783.36
UN101515	91324PBX9	UNITEDHEALTH GROUP I NC 10/15/2015 0.85%	A	10/15/2015	1.1	1,250,000.00	100.3001	\$1,253,751.25
UC051515	91412GSW6	UNIV CA-AJ-TXBL 5/15/2015 0.528%	AA	5/15/2015	0.7	2,855,000.00	100.1650	\$2,859,710.75
UN051516	91412GUT0	UNIV OF CALIFORNIA-A N 5/15/2016 0.634%	AA	5/15/2016	1.7	1,830,000.00	99.7990	\$1,826,321.70
UC070141	91412GEZ4	UNIVERSITY CALIF REVS 07/01/2014 ^{1*}	AA/A-1+	7/1/2017	2.8	3,250,000.00	100.3740	\$3,262,155.00
US103014	90331HKP7	US BANK NA 4.95% 10/30/2014	A+	10/30/2014	0.1	4,200,000.00	100.3479	\$4,214,611.80
US091117	90331HJ39	US BANK NA CINCINNAT I 9/11/2017 0.4346%	AA-	9/11/2017	3.0	2,000,000.00	99.9970	\$1,999,940.00
T013116	912828B41	US TREASURY N/B 1/31/2016 0.375%	Aaa	1/31/2016	1.3	1,575,000.00	100.1445	\$1,577,275.88
T022916	912828B82	US TREASURY N/B 2/29/2016 0.25%	Aaa	2/29/2016	1.4	5,000,000.00	99.9180	\$4,995,900.00
T043016	912828C81	US TREASURY N/B 4/30/2016 0.375%	Aaa	4/30/2016	1.6	20,000,000.00	99.9609	\$19,992,180.00
T073116	912828QX1	US TREASURY N/B 7/31/2016 1.5%	Aaa	7/31/2016	1.8	10,000,000.00	101.7773	\$10,177,730.00
T083115	912828VU1	US TREASURY N/B 8/31/2015 0.375%	Aaa	8/31/2015	0.9	2,000,000.00	100.2539	\$2,005,078.00
T103115	912828PE4	US TREASURY N/B 10/31/2015 1.25%	Aaa	10/31/2015	1.1	5,015,000.00	101.1641	\$5,073,379.62
T113015	912828A26	US TREASURY N/B 11/30/2015 0.25%	Aaa	11/30/2015	1.2	5,000,000.00	100.0625	\$5,003,125.00
T123115	912828A67	US TREASURY N/B 12/31/2015 0.25%	Aaa	12/31/2015	1.2	10,000,000.00	100.0352	\$10,003,520.00
TN51516	912828VC1	US TREASURY N/B 5/15/2016 0.25%	AAA	5/15/2016	1.7	10,000,000.00	99.7266	\$9,972,660.00
TR033116	912828C40	US TREASURY N/B 0.375% 03/31/16	Aaa	3/31/2016	1.5	15,000,000.00	100.0234	\$15,003,510.00
Au121517	90290KAC9	USAOT 2014-1 A3 12/15/2017 0.58%	AAA	12/15/2017	3.2	2,420,000.00	99.9262	\$2,418,214.04
Au082216	92867GAC7	VALET 2012-1 A3 8/22/2016 0.85%	AAA	8/22/2016	1.9	1,051,147.68	100.1780	\$1,053,018.72
VA072016	92867PAB9	VALET 2013-2 A2 7/20/2016 0.67%	AAA	7/20/2016	1.8	3,549,095.60	99.9948	\$3,548,911.05
Au042018	92867PAC7	VALET 2013-2 A3 4/20/2018 0.7%	AAA	4/20/2018	3.6	3,000,000.00	99.8555	\$2,995,665.00
V2060917	92343VCD4	VERIZON COMMUNICATIO NS 6/9/2017 0.6306%	BBB+	6/9/2017	2.8	1,000,000.00	100.2386	\$1,002,386.00
V2091516	92343VBL7	VERIZON COMMUNICATIO NS 9/15/2016 1.7823	BBB+	9/15/2016	2.0	1,925,000.00	102.5216	\$1,973,540.80
VW052317	928668AC6	Volkswagen Group America 05/23/17	A	5/23/2017	2.7	7,000,000.00	100.1026	\$7,007,182.00
Au122115	92867NAB4	VWALT 2013-A A2A 12/21/2015 0.63%	AAA	12/21/2015	1.2	2,473,036.94	100.0603	\$2,474,528.18
VPWF3801	VP7000236	WELLS FARGO ADVANT- AGE HERITAGE	AAA	10/1/2014	0.1	35,921,678.54	100.0000	\$35,921,678.54

Grand Total \$776,669,197.97

* Security ratings are updated monthly at month-end.

**Mandatory put on 7/1/17

SECTION III

APPENDIX

B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES

B. 3. EAST BAY REGIONAL COMMUNICATIONS SYSTEM AUTHORITY (EBRCS)

EBRCS TRANSACTIONS*

For the Quarter Ending

September 30, 2014**FY 2014-2015**

FUND	BALANCE @	JV/Date	JV/Date	JV/Date	JV/Date	JV/Date	JV/Date	BALANCE @
NUMBER	06/30/14							09/30/14
100300	2,376,094.38							2,376,094.38
TOTALS	2,376,094.38	0.00	0.00	0.00	0.00	0.00	0.00	2,376,094.38

* East Bay Regional Communications System Authority

SECTION III

APPENDIX

B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES

B. 4. MISCELLANEOUS



BNY MELLON

The Bank of New York Mellon Trust Company, N.A.

Statement Period 09/01/2014 Through 09/30/2014

Account 036111

CCC 91-1 PLEASANT HILL BART 98 RESER FD

Statement of Assets Held

Shares / Par Value	Asset Description	Market Price Average Cost	Market Value Cost	Accrued Income Est Annual Income	Market Yield
FIXED INCOME					
14,154.060	COUNTY OF CONTRA COSTA CFD NO. 1992-1 (PLEASANT HILL BART STATION AREA) SPECIAL TAX BOND CERTIFICATE #R-1 DATED 6/17/1992 CUSIP: S86617840 MATURITY DATE: 08/01/2015 RATE: 5.40%	1.00000 1.00000	14,154.06 14,154.06	0.00 764.32	5.40%
Total FIXED INCOME			14,154.06 14,154.06	0.00 764.32	5.40%
OTHER					
414,372.050	CALIFORNIA ARBITRAGE MGMT PROGRAM CAMP PRINCIPAL CUSIP: S86217350	1.00000 1.00000	414,372.05 414,372.05	0.00 0.00	0.00%
Total OTHER			414,372.05 414,372.05	0.00 0.00	0.00%
CASH AND SHORT TERM					
162,923.320	WELLS FARGO ADV TRSRY PLUS M MKT #453 CUSIP: S99998240	1.00000 1.00000	162,923.32 162,923.32	0.00 16.29	0.00%
Total CASH AND SHORT TERM			162,923.32 162,923.32	0.00 16.29	0.00%
ACCOUNT TOTALS			591,449.43 591,449.43	0.00 780.61	0.13%

Total Market Value Plus Total Accrued Income 591,449.43

Statement of Transactions

Transaction Date	Transaction Description	Income	Principal	Cost	Realized Gains/Losses
09/01/14	OPENING BALANCE	0.00	0.00	591,449.43	
09/03/14	Dividend WELLS FARGO ADV TRSRY PLUS M MKT #453 DIVIDEND	1.28	0.00	0.00	0.00
09/03/14	Cash Debit WELLS FARGO ADV TRSRY PLUS M MKT #453 INVESTMENT MAINTENANCE FEE	1.28 -	0.00	0.00	0.00
09/03/14	DAILY ENDING BALANCE	0.00	0.00	591,449.43	0.00
09/30/14	CLOSING BALANCE	0.00	0.00	591,449.43	0.00

Cumulative realized capital gain and loss position from 12/31/2013 for securities held in principal of account:

Short Term: 0.00 * Long Term: 0.00 *

* The above gain and loss position does not include transactions where tax cost information is incomplete or unavailable.

Cash and securities set forth on this Account Statement are held by The Bank of New York Mellon, an affiliate of The Bank of New York Mellon Trust Company, N.A. In addition, The Bank of New York Mellon Trust Company, N.A. may utilize subsidiaries and affiliates to provide services and certain products to the Account. Subsidiaries and affiliates may be compensated for their services and products.

The value of securities set forth on this Account Statement are obtained by The Bank of New York Mellon Trust Company, N.A., from its affiliate, The Bank of New York Mellon which determines such values for Corporate Trust on the basis of market prices and information obtained by The Bank of New York Mellon from unaffiliated third parties (including independent pricing vendors) ("third party pricing services"). The Bank of New York Mellon has not verified such market values or information and makes no assurances as to the accuracy or correctness of such market values or information or that the market values set forth on this Account Statement reflect the value of the securities that can be realized upon the sale of such securities. In addition, the market values for the securities set forth in this Account Statement may differ from the market prices and information for the same securities used by other business units of The Bank of New York Mellon Trust Company, N.A., The Bank of New York Mellon or their respective subsidiaries or affiliates based upon market prices and information received from other third party pricing services utilized by such other business units. Corporate Trust does not compare its market values with those used by, or reconcile different market values used by, other business units of The Bank of New York Mellon Trust Company, N.A., The Bank of New York Mellon or their respective subsidiaries or affiliates. Neither The Bank of New York Mellon Trust Company, N.A. nor The Bank of New York Mellon shall be liable for any loss, damage or expense incurred as a result of or arising from or related to the market values or information provided by third party pricing services or the differences in market prices or information provided by other third party pricing services.

KDH.CCC.SOC.SERV.RPT

Account #	Holder	Trustee	Name	Balance	Last Tran Date
0000041723	CONTRA COSTA COU	SOCIAL SER	TRUST FOR OTHA SHAMBURGER	13,875.39	06/05/14
0000054152	CONTRA COSTA COU	SOCIAL SER	TRUST FOR RUSHAWN THOMAS	0.00	10/03/12
0000060554	CONTRA COSTA COU	SOCIAL SER	TRUST FOR BRANDON EATON	6,669.28	04/04/14
0000060949	CONTRA COSTA COU	SOCIAL SER	TRUST FOR AZRIELA MARTINEZ	0.00	10/11/11
0000064570	CONTRA COSTA COU	SOCIAL SER	TRUST FOR NICARE RANDLE	3,369.21	11/12/13
Subtotal for Account Type:				90	
Count:				5	
Total Share Balance				23,913.88	
0000058426	CONTRA COSTA COU	SOCIAL SER	TRUST FOR PASCHERIA CARROLL	6,739.60	04/04/14
0000060974	CONTRA COSTA COU	SOCIAL SER	TRUST FOR HECTOR URBINA	0.00	02/25/11
0000063174	CONTRA COSTA COU	SOCIAL SER	TRUST FOR DYLAN ROBERTS	0.00	10/31/12
Subtotal for Account Type:				91	
Count:				3	
Total Share Balance				6,739.60	
0000052505	CONTRA COSTA COU	SOCIAL SER	TRUST FOR JUSTIN GARNER	10,839.43	11/04/05
0000057022	CONTRA COSTA COU	SOCIAL SER	TRUST FOR FREDONIA WRIGHT	19,540.89	10/17/12
0000058545	CONTRA COSTA COU	SOCIAL SER	TRUST FOR CHIDI UBACHI	8,583.62	04/07/14
0000061977	CONTRA COSTA COU	SOCIAL SER	TRUST FOR SPENCER BROWN	0.00	01/10/13
0000063927	CONTRA COSTA COU	SOCIAL SER	TRUST FOR KATHRYN HUBLER	10,141.92	04/21/14
0000064538	CONTRA COSTA COU	SOCIAL SER	TRUST FOR HUNTER PETRIE	0.00	12/16/13
Subtotal for Account Type:				92	
Count:				6	
Total Share Balance				49,105.86	
0000058428	CONTRA COSTA COU	SOCIAL SER	TRUST FOR MICAH FINCH	0.00	05/06/14
0000058714	CONTRA COSTA COU	SOCIAL SER	TRUST FOR CARLOS PORTILLO	0.00	04/09/12
0000060592	CONTRA COSTA COU	SOCIAL SER	TRUST FOR ALISA TORRES DINOTTIA	6,542.97	04/07/14
0000061976	CONTRA COSTA COU	SOCIAL SER	TRUST FOR LAKISHA NIOUS	3,272.01	12/28/11
0000063642	CONTRA COSTA COU	SOCIAL SER	TRUST FOR MORGAN JEPSEN	0.00	02/26/13
0000063799	CONTRA COSTA COU	SOCIAL SER	TRUST FOR LUJLA MUJIC	0.00	04/01/13
0000064744	CONTRA COSTA COU	SOCIAL SER	TRUST FOR CAMRON VALLARE	3,400.76	03/27/14
Subtotal for Account Type:				93	
Count:				7	
Total Share Balance				13,215.74	
0000058543	CONTRA COSTA COU	SOCIAL SER	TRUST FOR ALEXANDER LOPEZ	0.00	02/24/09
0000060553	CONTRA COSTA COU	SOCIAL SER	TRUST FOR CHRISTIAN WILLIAMS	0.00	10/05/10
0000061268	CONTRA COSTA COU	SOCIAL SER	TRUST FOR TATIANA CANNON	0.00	06/03/11
0000061973	CONTRA COSTA COU	SOCIAL SER	TRUST FOR ORPHIUS BLACKSHEAR	0.00	01/10/13
0000063524	CONTRA COSTA COU	SOCIAL SER	TRUST FOR CHARLES FINNEY	3,336.64	03/05/13
0000063641	CONTRA COSTA COU	SOCIAL SER	TRUST FOR NICHOLAS HINSON	0.00	08/06/13
0000065224	CONTRA COSTA COU	SOCIAL SER	TRUST FOR PAUL MCGEE	0.00	08/15/14
Subtotal for Account Type:				94	
Count:				7	
Total Share Balance				3,336.64	

CONTRA COSTA FEDERAL CREDIT UNION

Social Service Trust Accounts

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KDH.CCC.SOC.SERV.RPT

Account #	Holder	Trustee	Name	Balance	Last Tran Date
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Totals for Entire Report

Selected Record Count: 28

Total Share Balance 96,311.72