

**STANDARD STUDENT DATA PRIVACY AGREEMENT**

**MASSACHUSETTS, MAINE, ILLINOIS, MISSOURI, NEW HAMPSHIRE, NEW YORK, OHIO,  
RHODE ISLAND, TENNESSEE, VERMONT, AND VIRGINIA**

**MA-ME-IL-MO-NH-NY-OH-RI-TN-VT-VA-NDPA, Standard Version 1.0**

**Jamesville-Dewitt Central School District**

**and**

**Harris Education Solutions**

This Student Data Privacy Agreement (“**DPA**”) is entered into on the date of full execution (the “**Effective Date**”) and is entered into by and between: Jamesville-Dewitt Central School District, located at 6845 Edinger Dr., Dewitt, NY 13214 USA (the “**Local Education Agency**” or “**LEA**”) and Harris Education Solutions, located at 2429 Military Rd, Suite 300, Niagara Falls, NY 14304 USA (the “**Provider**”).

**WHEREAS**, the Provider is providing educational or digital services to LEA.

**WHEREAS**, the Provider and LEA recognize the need to protect personally identifiable student information and other regulated data exchanged between them as required by applicable laws and regulations, such as the Family Educational Rights and Privacy Act (“**FERPA**”) at 20 U.S.C. § 1232g (34 CFR Part 99); the Children’s Online Privacy Protection Act (“**COPPA**”) at 15 U.S.C. § 6501-6506 (16 CFR Part 312), applicable state privacy laws and regulations and

**WHEREAS**, the Provider and LEA desire to enter into this DPA for the purpose of establishing their respective obligations and duties in order to comply with applicable laws and regulations.

**NOW THEREFORE**, for good and valuable consideration, LEA and Provider agree as follows:

1. A description of the Services to be provided, the categories of Student Data that may be provided by LEA to Provider, and other information specific to this DPA are contained in the Standard Clauses hereto.
2. **Special Provisions. Check if Required**
  - If checked, the Supplemental State Terms and attached hereto as **Exhibit “G”** are hereby incorporated by reference into this DPA in their entirety.
  - If Checked, the Provider, has signed **Exhibit “E”** to the Standard Clauses, otherwise known as General Offer of Privacy Terms
3. In the event of a conflict between the SDPC Standard Clauses, the State or Special Provisions will control. In the event there is conflict between the terms of the DPA and any other writing, including, but not limited to the Service Agreement and Provider Terms of Service or Privacy Policy the terms of this DPA shall control.
4. This DPA shall stay in effect for three years. Exhibit E will expire 3 years from the date the original DPA was signed.
5. The services to be provided by Provider to LEA pursuant to this DPA are detailed in **Exhibit “A”** (the “**Services**”).
6. **Notices.** All notices or other communication required or permitted to be given hereunder may be given via e-mail transmission, or first-class mail, sent to the designated representatives below.

The designated representative for the Provider for this DPA is:

Name: Diva C. Mayeau Title: Executive Vice President

Address: 2429 Military Rd, Suite 300, Niagara Falls , NY 14304

Phone: 412-325-8000 Email: dmayeau@harriscomputer.com

The designated representative for the LEA for this DPA is:

Peter Reyes, Assistant Superintendent for Educational Services

Phone: 315-445-8300

Address: 6845 Edinger Dr, Fayetteville, NY 13066 Email: preyes@jd.cnyric.org

**IN WITNESS WHEREOF**, LEA and Provider execute this DPA as of the Effective Date.

**Jamesville-Dewitt Central School District**

By: *Brian Bartlett* Date: Jun 23, 2025  
Brian Bartlett (Jun 23, 2025 11:43 EDT)

Printed Name: Brian Bartlett Title/Position: School Business Administrator

**Harris Education Solutions**

By: *Diva Mayeau* Date: 6/18/2025

Printed Name: Diva Mayeau Title/Position: Executive Vice President

## **STANDARD CLAUSES**

Version 3.0

### **ARTICLE I: PURPOSE AND SCOPE**

- Purpose of DPA.** The purpose of this DPA is to describe the duties and responsibilities to protect Student Data including compliance with all applicable federal, state, and local privacy laws, rules, and regulations, all as may be amended from time to time. In performing these services, the Provider shall be considered a School Official with a legitimate educational interest, and performing services otherwise provided by the LEA. Provider shall be under the direct control and supervision of the LEA, with respect to its use of Student Data
- Student Data to Be Provided.** In order to perform the Services described above, LEA shall provide Student Data as identified in the Schedule of Data, attached hereto as **Exhibit “B”**.
- DPA Definitions.** The definition of terms used in this DPA is found in **Exhibit “C”**. In the event of a conflict, definitions used in this DPA shall prevail over terms used in any other writing, including, but not limited to the Service Agreement, Terms of Service, Privacy Policies etc.

### **ARTICLE II: DATA OWNERSHIP AND AUTHORIZED ACCESS**

- Student Data Property of LEA.** All Student Data transmitted to the Provider pursuant to the Service Agreement is and will continue to be the property of and under the control of the LEA. The Provider further acknowledges and agrees that all copies of such Student Data transmitted to the Provider, including any modifications or additions or any portion thereof from any source, are subject to the provisions of this DPA in the same manner as the original Student Data. The Parties agree that as between them, all rights, including all intellectual property rights in and to Student Data contemplated per the Service Agreement, shall remain the exclusive property of the LEA. For the purposes of FERPA, the Provider shall be considered a School Official, under the control and direction of the LEA as it pertains to the use of Student Data, notwithstanding the above.
- Parent Access.** To the extent required by law the LEA shall establish reasonable procedures by which a parent, legal guardian, or eligible student may review Education Records and/or Student Data correct erroneous information, and procedures for the transfer of student-generated content to a personal account, consistent with the functionality of services. Provider shall respond in a reasonably timely manner (and no later than forty five (45) days from the date of the request or pursuant to the time frame required under state law for an LEA to respond to a parent or student, whichever is sooner) to the LEA's request for Student Data in a student's records held by the Provider to view or correct as necessary. In the event that a parent of a student or other individual contacts the Provider to review any of the Student Data accessed pursuant to the Services, the Provider shall refer the parent or individual to the LEA, who will follow the necessary and proper procedures regarding the requested information.
- Separate Account.** If Student-Generated Content is stored or maintained by the Provider, Provider shall, at the request of the LEA, transfer, or provide a mechanism for the LEA to transfer, said Student-Generated Content to a separate account created by the student.
- Law Enforcement Requests.** Should law enforcement or other government entities (“Requesting Party(ies)”) contact Provider with a request for Student Data held by the Provider pursuant to the

Services, the Provider shall notify the LEA in advance of a compelled disclosure to the Requesting Party, unless lawfully directed by the Requesting Party not to inform the LEA of the request.

5. **Subprocessors**. Provider shall enter into written agreements with all Subprocessors performing functions for the Provider in order for the Provider to provide the Services pursuant to the Service Agreement, whereby the Subprocessors agree to protect Student Data in a manner no less stringent than the terms of this DPA.

### ARTICLE III: DUTIES OF LEA

1. **Provide Data in Compliance with Applicable Laws**. LEA shall provide Student Data for the purposes of obtaining the Services in compliance with all applicable federal, state, and local privacy laws, rules, and regulations, all as may be amended from time to time.
2. **Annual Notification of Rights**. If the LEA has a policy of disclosing Education Records and/or Student Data under FERPA (34 CFR § 99.31(a)(1)), LEA shall include a specification of criteria for determining who constitutes a school official and what constitutes a legitimate educational interest in its annual notification of rights.
3. **Reasonable Precautions**. LEA shall take reasonable precautions to secure usernames, passwords, and any other means of gaining access to the services and hosted Student Data.
4. **Unauthorized Access Notification**. LEA shall notify Provider promptly of any known unauthorized access. LEA will assist Provider in any efforts by Provider to investigate and respond to any unauthorized access.

### ARTICLE IV: DUTIES OF PROVIDER

1. **Privacy Compliance**. The Provider shall comply with all applicable federal, state, and local laws, rules, and regulations pertaining to Student Data privacy and security, all as may be amended from time to time.
2. **Authorized Use**. The Student Data shared pursuant to the Service Agreement, including persistent unique identifiers, shall be used for no purpose other than the Services outlined in Exhibit A or stated in the Service Agreement and/or otherwise authorized under the statutes referred to herein this DPA.
3. **Provider Employee Obligation**. Provider shall require all of Provider's employees and agents who have access to Student Data to comply with all applicable provisions of this DPA with respect to the Student Data shared under the Service Agreement. Provider agrees to require and maintain an appropriate confidentiality agreement from each employee or agent with access to Student Data pursuant to the Service Agreement.
4. **No Disclosure**. Provider acknowledges and agrees that it shall not make any re-disclosure of any Student Data or any portion thereof, including without limitation, user content or other non-public information and/or personally identifiable information contained in the Student Data other than as directed or permitted by the LEA or this DPA. This prohibition against disclosure shall not apply to aggregate summaries of De-Identified information, Student Data disclosed pursuant to a lawfully issued subpoena or other legal process, or to subprocessors performing services on behalf of the Provider pursuant to this DPA. Provider will not Sell Student Data to any third party.

5. **De-Identified Data:** Provider agrees not to attempt to re-identify de-identified Student Data. De-Identified Data may be used by the Provider for those purposes allowed under FERPA and the following purposes: (1) assisting the LEA or other governmental agencies in conducting research and other studies; and (2) research and development of the Provider's educational sites, services, or applications, and to demonstrate the effectiveness of the Services; and (3) for adaptive learning purpose and for customized student learning. Provider's use of De-Identified Data shall survive termination of this DPA or any request by LEA to return or destroy Student Data. Except for Subprocessors, Provider agrees not to transfer de-identified Student Data to any party unless (a) that party agrees in writing not to attempt re-identification, and (b) prior written notice has been given to the LEA who has provided prior written consent for such transfer. Prior to publishing any document that names the LEA explicitly or indirectly, the Provider shall obtain the LEA's written approval of the manner in which de-identified data is presented.
6. **Disposition of Data.** Upon written request from the LEA, Provider shall dispose of or provide a mechanism for the LEA to transfer Student Data obtained under the Service Agreement, within sixty (60) days of the date of said request and according to a schedule and procedure as the Parties may reasonably agree. Upon termination of this DPA, if no written request from the LEA is received, Provider shall dispose of all Student Data after providing the LEA with reasonable prior notice. The duty to dispose of Student Data shall not extend to Student Data that had been De-Identified or placed in a separate student account pursuant to section II 3. The LEA may employ a "Directive for Disposition of Data" form, a copy of which is attached hereto as **Exhibit "D"**. If the LEA and Provider employ Exhibit "D," no further written request or notice is required on the part of either party prior to the disposition of Student Data described in Exhibit "D."
7. **Advertising Limitations.** Provider is prohibited from using, disclosing, or selling Student Data to (a) inform, influence, or enable Targeted Advertising; or (b) develop a profile of a student, family member/guardian or group, for any purpose other than providing the Service to LEA. This section does not prohibit Provider from using Student Data (i) for adaptive learning or customized student learning (including generating personalized learning recommendations); or (ii) to make product recommendations to teachers or LEA employees; or (iii) to notify account holders about new education product updates, features, or services or from otherwise using Student Data as permitted in this DPA and its accompanying exhibits

## **ARTICLE V: DATA PROVISIONS**

1. **Data Storage.** Where required by applicable law, Student Data shall be stored within the United States. Upon request of the LEA, Provider will provide a list of the locations where Student Data is stored.
2. **Audits.** No more than once a year, or following unauthorized access, upon receipt of a written request from the LEA with at least ten (10) business days' notice and upon the execution of an appropriate confidentiality agreement, the Provider will allow the LEA to audit the security and privacy measures that are in place to ensure protection of Student Data or any portion thereof as it pertains to the delivery of services to the LEA. The Provider will cooperate reasonably with the LEA and any local, state, or federal agency with oversight authority or jurisdiction in connection with any audit or investigation of the Provider and/or delivery of Services to students and/or LEA, and shall provide reasonable access to the Provider's facilities, staff, agents and LEA's Student Data and all records pertaining to the Provider, LEA and delivery of Services to the LEA. Failure to reasonably cooperate shall be deemed a material breach of the DPA.

3. **Data Security.** The Provider agrees to utilize administrative, physical, and technical safeguards designed to protect Student Data from unauthorized access, disclosure, acquisition, destruction, use, or modification. The Provider shall adhere to any applicable law relating to data security. The provider shall implement an adequate Cybersecurity Framework based on one of the nationally recognized standards set forth in **Exhibit "F"**. Exclusions, variations, or exemptions to the identified Cybersecurity Framework must be detailed in an attachment. Additionally, Provider may choose to further detail its security programs and measures that augment or are in addition to the Cybersecurity Framework in **Exhibit "F"**. Provider shall provide, in the Standard Schedule to the DPA, contact information of an employee who LEA may contact if there are any data security concerns or questions.
  
4. **Data Breach.** In the event of an unauthorized release, disclosure or acquisition of Student Data that compromises the security, confidentiality or integrity of the Student Data maintained by the Provider the Provider shall provide notification to LEA within seventy-two (72) hours of confirmation of the incident, unless notification within this time limit would disrupt investigation of the incident by law enforcement. In such an event, notification shall be made within a reasonable time after the incident. Provider shall follow the following process:
  - (1) The security breach notification described above shall include, at a minimum, the following information to the extent known by the Provider and as it becomes available:
    - i. The name and contact information of the reporting LEA subject to this section.
    - ii. A list of the types of personal information that were or are reasonably believed to have been the subject of a breach.
    - iii. If the information is possible to determine at the time the notice is provided, then either (1) the date of the breach, (2) the estimated date of the breach, or (3) the date range within which the breach occurred. The notification shall also include the date of the notice.
    - iv. Whether the notification was delayed as a result of a law enforcement investigation, if that information is possible to determine at the time the notice is provided; and
    - v. A general description of the breach incident, if that information is possible to determine at the time the notice is provided.
  - (2) Provider agrees to adhere to all federal and state requirements with respect to a data breach related to the Student Data, including, when appropriate or required, the required responsibilities and procedures for notification and mitigation of any such data breach.
  - (3) Provider further acknowledges and agrees to have a written incident response plan that reflects best practices and is consistent with industry standards and federal and state law for responding to a data breach, breach of security, privacy incident or unauthorized acquisition or use of Student Data or any portion thereof, including personally identifiable information and agrees to provide LEA, upon request, with a summary of said written incident response plan.
  - (4) LEA shall provide notice and facts surrounding the breach to the affected students, parents or guardians.
  - (5) In the event of a breach originating from LEA's use of the Service, Provider shall cooperate with LEA to the extent necessary to expeditiously secure Student Data.

## ARTICLE VI: GENERAL OFFER OF TERMS

Provider may, by signing the attached form of "General Offer of Privacy Terms" (General Offer, attached hereto as **Exhibit "E"**), be bound by the terms of **Exhibit "E"** to any other LEA who signs the acceptance on said Exhibit. The form is limited by the terms and conditions described therein.

## ARTICLE VII: MISCELLANEOUS

1. **Termination.** In the event that either Party seeks to terminate this DPA, they may do so by mutual written consent so long as the Service Agreement has lapsed or has been terminated. Either party may terminate this DPA and any service agreement or contract if the other party breaches any terms of this DPA.
2. **Effect of Termination Survival.** If the Service Agreement is terminated, the Provider shall destroy all of LEA's Student Data pursuant to Article IV, section 6.
3. **Priority of Agreements.** This DPA shall govern the treatment of Student Data in order to comply with the privacy protections, including those found in FERPA and all applicable privacy statutes identified in this DPA. In the event there is conflict between the terms of the DPA and the Service Agreement, Terms of Service, Privacy Policies, or with any other bid/RFP, license agreement, or writing, the terms of this DPA shall apply and take precedence. In the event of a conflict between the SDPC Standard Clauses and the Supplemental State Terms, the Supplemental State Terms will control. Except as described in this paragraph herein, all other provisions of the Service Agreement shall remain in effect.
4. **Entire Agreement.** This DPA and the Service Agreement constitute the entire agreement of the Parties relating to the subject matter hereof and supersedes all prior communications, representations, or agreements, oral or written, by the Parties relating thereto. This DPA may be amended and the observance of any provision of this DPA may be waived (either generally or in any particular instance and either retroactively or prospectively) only with the signed written consent of both Parties. Neither failure nor delay on the part of any Party in exercising any right, power, or privilege hereunder shall operate as a waiver of such right, nor shall any single or partial exercise of any such right, power, or privilege preclude any further exercise thereof or the exercise of any other right, power, or privilege.
5. **Severability.** Any provision of this DPA that is prohibited or unenforceable in any jurisdiction shall, as to such jurisdiction, be ineffective to the extent of such prohibition or unenforceability without invalidating the remaining provisions of this DPA, and any such prohibition or unenforceability in any jurisdiction shall not invalidate or render unenforceable such provision in any other jurisdiction. Notwithstanding the foregoing, if such provision could be more narrowly drawn so as not to be prohibited or unenforceable in such jurisdiction while, at the same time, maintaining the intent of the Parties, it shall, as to such jurisdiction, be so narrowly drawn without invalidating the remaining provisions of this DPA or affecting the validity or enforceability of such provision in any other jurisdiction.
6. **Governing Law; Venue and Jurisdiction.** THIS DPA WILL BE GOVERNED BY AND CONSTRUED IN ACCORDANCE WITH THE LAWS OF THE STATE OF THE LEA, WITHOUT REGARD TO CONFLICTS OF LAW PRINCIPLES. EACH PARTY CONSENTS AND SUBMITS TO THE SOLE AND EXCLUSIVE JURISDICTION TO THE STATE AND FEDERAL COURTS FOR THE COUNTY OF THE LEA FOR ANY DISPUTE ARISING OUT OF OR RELATING TO THIS DPA OR THE TRANSACTIONS CONTEMPLATED HEREBY.
7. **Successors Bound:** This DPA is and shall be binding upon the respective successors in interest to Provider in the event of a merger, acquisition, consolidation or other business reorganization or sale of

all or substantially all of the assets of such business. In the event that the Provider sells, merges, or otherwise disposes of its business to a successor during the term of this DPA, the Provider shall provide written notice to the LEA no later than sixty (60) days after the closing date of sale, merger, or disposal. Such notice shall include a written, signed assurance that the successor will assume the obligations of the DPA and any obligations with respect to Student Data within the Service Agreement. The LEA has the authority to terminate the DPA if it disapproves of the successor to whom the Provider is selling, merging, or otherwise disposing of its business.

8. **Authority.** Each party represents that it is authorized to bind to the terms of this DPA, including confidentiality and destruction of Student Data and any portion thereof contained therein, all related or associated institutions, individuals, employees or contractors who may have access to the Student Data and/or any portion thereof.
9. **Waiver.** No delay or omission by either party to exercise any right hereunder shall be construed as a waiver of any such right and both parties reserve the right to exercise any such right from time to time, as often as may be deemed expedient.

**EXHIBIT "A"**  
**DESCRIPTION OF SERVICES**

**Castle Learning**, online assessment and instructional tools to support student learning in various subjects, providing feedback and data analytics for teachers to monitor progress.

**eDoctrina**, a web-based platform that helps LEAs manage curriculum, assessments, and data to improve student learning and support standards-based instruction.

**Liberty Source** assessment solutions and services with a focus on reading and math diagnostic testing and remediation. The suite of integrated applications assists educators in data analysis, assessment creation and progress monitoring.

**EXHIBIT "B"**  
**SCHEDULE OF DATA**

<b>Category of Data</b>	<b>Elements</b>	<b>Check if Used by Your System</b>
Application Technology Meta Data	IP Addresses of users, Use of cookies, etc.	
	Other application technology meta data-Please specify:	
Application Use Statistics	Meta data on user interaction with application	
Assessment	Standardized test scores	X
	Observation data	X
	Other assessment data-Please specify:	X
Attendance	Student school (daily) attendance data	X
	Student class attendance data	X
Communications	Online communications captured (emails, blog entries)	
Conduct	Conduct or behavioral data	X
Demographics	Date of Birth	X
	Place of Birth	X
	Gender	X
	Ethnicity or race	X
	Language information (native, or primary language spoken by student)	X
	Other demographic information-Please specify:	IEP, Migrant, ELL, Gifted/Talented
Enrollment	Student school enrollment	X
	Student grade level	X
	Homeroom	X
	Guidance counselor	
	Specific curriculum programs	
	Year of graduation	X
	Other enrollment information-Please specify:	
Parent/Guardian Contact Information	Address	
	Email	X
	Phone	
Parent/Guardian ID	Parent ID number (created to link parents to students)	
Parent/Guardian Name	First and/or Last	X

Category of Data	Elements	Check if Used by Your System
Schedule	Student scheduled courses	X
	Teacher names	X
Special Indicator	English language learner information	X
	Low income status	X
	Medical alerts/ health data	
	Student disability information	X
	Specialized education services (IEP or 504)	X
	Living situations (homeless/foster care)	X
	Other indicator information-Please specify:	
Student Contact Information	Address	X
	Email	X
	Phone	X
Student Identifiers	Local (School district) ID number	X
	State ID number	X
	Provider/App assigned student ID number	X
	Student app username	X
	Student app passwords	X
Student Name	First and/or Last	X
Student In App Performance	Program/application performance (typing program-student types 60 wpm, reading program-student reads below grade level)	X
Student Program Membership	Academic or extracurricular activities a student may belong to or participate in	
Student Survey Responses	Student responses to surveys or questionnaires	X
Student work	Student generated content; writing, pictures, etc.	X
	Other student work data -Please specify:	X
Transcript	Student course grades	X
	Student course data	X
	Student course grades/ performance scores	X
	Other transcript data - Please specify:	
Transportation	Student bus assignment	
	Student pick up and/or drop off location	

Category of Data	Elements	Check if Used by Your System
	Student bus card ID number	
	Other transportation data – Please specify:	
Other	Please list each additional data element used, stored, or collected by your application:	
None	No Student Data collected at this time. Provider will immediately notify LEA if this designation is no longer applicable.	

## EXHIBIT "C" DEFINITIONS

**De-Identified Data and De-Identification:** Records and information are considered to be de-identified when all personally identifiable information has been removed or obscured, such that the remaining information does not reasonably identify a specific individual, including, but not limited to, any information that, alone or in combination is linkable to a specific student and provided that the educational agency, or other party, has made a reasonable determination that a student's identity is not personally identifiable, taking into account reasonable available information.

**Educational Records:** Educational Records are records, files, documents, and other materials directly related to a student and maintained by the school or local education agency, or by a person acting for such school or local education agency, including but not limited to, records encompassing all the material kept in the student's cumulative folder, such as general identifying data, records of attendance and of academic work completed, records of achievement, and results of evaluative tests, health data, disciplinary status, test protocols and individualized education programs.

**Metadata:** means information that provides meaning and context to other data being collected; including, but not limited to: date and time records and purpose of creation Metadata that have been stripped of all direct and indirect identifiers are not considered Personally Identifiable Information.

**Operator:** means the operator of an internet website, online service, online application, or mobile application with actual knowledge that the site, service, or application is used for K–12 school purposes. Any entity that operates an internet website, online service, online application, or mobile application that has entered into a signed, written agreement with an LEA to provide a service to that LEA shall be considered an "operator" for the purposes of this section.

**Originating LEA:** An LEA who originally executes the DPA in its entirety with the Provider.

**Provider:** For purposes of the DPA, the term "Provider" means provider of digital educational software or services, including cloud-based services, for the digital storage, management, and retrieval of Student Data. Within the DPA the term "Provider" includes the term "Third Party" and the term "Operator" as used in applicable state statutes.

**Student Generated Content:** The term "student-generated content" means materials or content created by a student in the services including, but not limited to, essays, research reports, portfolios, creative writing, music or other audio files, photographs, videos, and account information that enables ongoing ownership of student content.

**School Official:** For the purposes of this DPA and pursuant to 34 CFR § 99.31(b), a School Official is a contractor that: (1) Performs an institutional service or function for which the agency or institution would otherwise use employees; (2) Is under the direct control of the agency or institution with respect to the use and maintenance of Student Data including Education Records; and (3) Is subject to 34 CFR § 99.33(a) governing the use and re-disclosure of personally identifiable information from Education Records.

**Service Agreement:** Refers to the Contract, Purchase Order or Terms of Service or Terms of Use.

**Student Data:** Student Data includes any data, whether gathered by Provider or provided by LEA or its users, students, or students' parents/guardians, that is descriptive of the student including, but not limited to, information in the student's educational record or email, first and last name, birthdate, home or other physical address, telephone number, email address, or other information allowing physical or online contact, discipline

records, videos, test results, special education data, juvenile dependency records, grades, evaluations, criminal records, medical records, health records, social security numbers, biometric information, disabilities, socioeconomic information, individual purchasing behavior or preferences, food purchases, political affiliations, religious information, text messages, documents, student identifiers, search activity, photos, voice recordings, geolocation information, parents' names, or any other information or identification number that would provide information about a specific student. Student Data includes Meta Data. Student Data further includes "personally identifiable information (PII)," as defined in 34 C.F.R. § 99.3 and as defined under any applicable state law. Student Data shall constitute Education Records for the purposes of this DPA, and for the purposes of federal, state, and local laws and regulations. Student Data as specified in **Exhibit "B"** is confirmed to be collected or processed by the Provider pursuant to the Services. Student Data shall not constitute that information that has been anonymized or de-identified, or anonymous usage data regarding a student's use of Provider's services.

**Subprocessor:** For the purposes of this DPA, the term "Subprocessor" (sometimes referred to as the "Subcontractor") means a party other than LEA or Provider, who Provider uses for data collection, analytics, storage, or other service to operate and/or improve its service, and who has access to Student Data.

**Subscribing LEA:** An LEA that was not party to the original Service Agreement and who accepts the Provider's General Offer of Privacy Terms.

**Targeted Advertising:** means presenting an advertisement to a student where the selection of the advertisement is based on Student Data or inferred over time from the usage of the operator's Internet web site, online service or mobile application by such student or the retention of such student's online activities or requests over time for the purpose of targeting subsequent advertisements. "Targeted advertising" does not include any advertising to a student on an Internet web site based on the content of the web page or in response to a student's response or request for information or feedback.

**Third Party:** The term "Third Party" means a provider of digital educational software or services, including cloud-based services, for the digital storage, management, and retrieval of Education Records and/or Student Data, as that term is used in some state statutes. However, for the purpose of this DPA, the term "Third Party" when used to indicate the provider of digital educational software or services is replaced by the term "Provider."

**EXHIBIT "D"**  
**DIRECTIVE FOR DISPOSITION OF DATA**

**[Insert Name of District or LEA]** Provider to dispose of data obtained by Provider pursuant to the terms of the Service Agreement between LEA and Provider. The terms of the Disposition are set forth below:

1. Extent of Disposition

\_\_\_\_\_ Disposition is partial. The categories of data to be disposed of are set forth below or are found in an attachment to this Directive:

**[Insert categories of data here]**

\_\_\_\_\_ Disposition is Complete. Disposition extends to all categories of data.

2. Nature of Disposition

\_\_\_\_\_ Disposition shall be by destruction or deletion of data.

\_\_\_\_\_ Disposition shall be by a transfer of data. The data shall be transferred to the following site as follows:

**[Insert or attach special instructions]**

3. Schedule of Disposition

Data shall be disposed of by the following date:

\_\_\_\_\_ As soon as commercially practicable.

\_\_\_\_\_ By **[Insert Date]**

4. Signature

\_\_\_\_\_  
Authorized Representative of LEA

\_\_\_\_\_  
Date

5. Verification of Disposition of Data

\_\_\_\_\_  
Authorized Representative of Company

\_\_\_\_\_  
Date

**EXHIBIT "F"**  
**DATA SECURITY REQUIREMENTS**

**Adequate Cybersecurity Frameworks**  
**2/24/2020**

Cybersecurity Frameworks

	<b>MAINTAINING ORGANIZATION/GROUP</b>	<b>FRAMEWORK(S)</b>
<input type="checkbox"/>	National Institute of Standards and Technology	NIST Cybersecurity Framework Version 1.1
<input type="checkbox"/>	National Institute of Standards and Technology	NIST SP 800-53, Cybersecurity Framework for Improving Critical Infrastructure Cybersecurity (CSF), Special Publication 800-171
<input type="checkbox"/>	International Standards Organization	Information technology — Security techniques — Information security management systems (ISO 27000 series)
<input type="checkbox"/>	Secure Controls Framework Council, LLC	Security Controls Framework (SCF)
<input type="checkbox"/>	Center for Internet Security	CIS Critical Security Controls (CSC, CIS Top 20)
<input type="checkbox"/>	Office of the Under Secretary of Defense for Acquisition and Sustainment (OUSD(A&S))	Cybersecurity Maturity Model Certification (CMMC, ~FAR/DFAR)

**EXHIBIT "G"**  
**Massachusetts**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider's Services are also subject to several state laws in Massachusetts. Specifically, those laws are 603 C.M.R. 23.00, Massachusetts General Law, Chapter 71, Sections 34D to 34H and 603 CMR 28.00; and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for Massachusetts;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. In Article IV, Section 2, replace "otherwise authorized," with "otherwise required" and delete "or stated in the Service Agreement."
2. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
3. In Article V, Section 1 Data Storage: Massachusetts does not require data to be stored within the United States.

**EXHIBIT "G"**  
**Maine**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider's Services are also subject to several state laws in Maine. Specifically, those laws are 20-A M.R.S. §6001-6005.; 20-A M.R.S. §951 et. seq., Maine Unified Special Education Regulations, Maine Dep't of Edu. Rule Ch. 101; and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for Maine;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. In Article IV, Section 2, replace "otherwise authorized," with "otherwise required" and delete "or stated in the Service Agreement."
2. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
3. In Article V, Section 1 Data Storage: Maine does not require data to be stored within the United States.
4. The Provider may not publish on the Internet or provide for publication on the Internet any Student Data.
5. If the Provider collects student social security numbers, the Provider shall notify the LEA of the purpose the social security number will be used and provide an opportunity not to provide a social security number if the parent and/or student elects.
6. The parties agree that the definition of Student Data in Exhibit "C" includes the name of the student's family members, the student's place of birth, the student's mother's maiden name, results of assessments administered by the State, LEA or teacher, including participating information, course transcript information, including, but not limited to, courses taken and completed, course grades and grade point average, credits earned and degree, diploma, credential attainment or other school exit information, attendance and mobility information between and within LEAs within Maine, student's gender, race and ethnicity, educational program participation information required by state or federal law and email.
7. The parties agree that the definition of Student Data in Exhibit "C" includes information that:
  - a. Is created by a student or the student's parent or provided to an employee or agent of the LEA or a Provider in the course of the student's or parent's use of the Provider's website, service or application for kindergarten to grade 12 school purposes;
  - b. Is created or provided by an employee or agent of the LEA, including information provided to the Provider in the course of the employee's or agent's use of the Provider's website, service or application for kindergarten to grade 12 school purposes; or
  - c. Is gathered by the Provider through the operation of the Provider's website, service or application for kindergarten to grade 12 school purposes.

## **EXHIBIT "G"**

### **Illinois**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider's Services are also subject to several state laws in Illinois. Specifically, those laws are to the Illinois School Student Records Act ("ISSRA"), 105 ILCS 10/, Mental Health and Developmental Disabilities Confidentiality Act ("MHDDCA"), 740 ILCS 110/, Student Online Personal Protection Act ("SOPPA"), 105 ILCS 85/, Identity Protection Act ("IPA"), 5 ILCS 179/, and Personal Information Protection Act ("PIPA"), 815 ILCS 530/, and Local Records Act ("LRA"), 50 ILCS 205; and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for Illinois;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. Paragraph 4 on page 2 of the DPA setting a three-year term for the DPA shall be replaced with: "This DPA shall be effective upon the date of signature by Provider and LEA, and shall remain in effect as between Provider and LEA 1) for so long as the Services are being provided to the LEA or 2) until the DPA is terminated pursuant to Section 15 of this Exhibit G, whichever comes first. The Exhibit E General Offer will expire three (3) years from the date the original DPA was signed."
2. Replace Notices with: "Any notice delivered pursuant to the DPA shall be deemed effective, as applicable, upon receipt as evidenced by the date of transmission indicated on the transmission material, if by e-mail; or four (4) days after mailing, if by first-class mail, postage prepaid."
3. In Article II, Section 1, add: "Further clarifying, in accordance with FERPA, ISSRA and SOPPA, in performing its obligations under the DPA, the Provider is acting as a school official with legitimate educational interest; is performing an institutional service or function for which the LEA would otherwise use its own employees; is under the direct control of the LEA with respect to the use and maintenance of Student Data; and is using Student Data only for an authorized purpose and in furtherance of such legitimate educational interest."
4. In Article II, Section 2, replace "forty-five (45)" with "five (5)". Add the following sentence: "In the event that the LEA determines that the Provider is maintaining Student Data that contains a factual inaccuracy, and Provider cooperation is required in order to make a correction, the LEA shall notify the Provider of the factual inaccuracy and the correction to be made. No later than 90 calendar days after receiving the notice of the factual inaccuracy, the Provider shall correct the factual inaccuracy and shall provide written confirmation of the correction to the LEA."

5. In Article II, Section 4, replace it with the following: “In the event the Provider is compelled to produce Student Data to another party in compliance with a court order, Provider shall notify the LEA at least five (5) school days in advance of the court ordered disclosure and, upon request, provide the LEA with a copy of the court order requiring such disclosure.”
6. In Article II, Section 5, add: “By no later than (5) business days after the date of execution of the DPA, the Provider shall provide the LEA with a list of any subcontractors to whom Student Data may be disclosed or a link to a page on the Provider's website that clearly lists any and all subcontractors to whom Student Data may be disclosed. This list shall, at a minimum, be updated and provided to the LEA by the beginning of each fiscal year (July 1) and at the beginning of each calendar year (January 1).”
7. In Article IV, Section 2, replace “otherwise authorized,” with “otherwise required” and delete “or stated in the Service Agreement.”
8. In Article IV, Section 6, replace the whole section with:

The Provider shall review, on an annual basis, whether the Student Data it has received pursuant to the DPA continues to be needed for the purpose(s) of the Service Agreement and this DPA. If any of the Student Data is no longer needed for purposes of the Service Agreement and this DPA, the Provider will provide written notice to the LEA as to what Student Data is no longer needed. The Provider will delete or transfer Student Data in readable form to the LEA, as directed by the LEA (which may be effectuated through Exhibit D of the DPA), within 30 calendar days if the LEA requests deletion or transfer of the Student Data and shall provide written confirmation to the LEA of such deletion or transfer. Upon termination of the Service Agreement between the Provider and LEA, Provider shall conduct a final review of Student Data within 60 calendar days.

If the LEA receives a request from a parent, as that term is defined in 105 ILCS 10/2(g), that Student Data being held by the Provider be deleted, the LEA shall determine whether the requested deletion would violate State and/or federal records laws. In the event such deletion would not violate State or federal records laws, the LEA shall forward the request for deletion to the Provider. The Provider shall comply with the request and delete the Student Data within a reasonable time period after receiving the request.

Any provision of Student Data to the LEA from the Provider shall be transmitted in a format readable by the LEA.

9. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
10. In Article IV, Section 7, add “renting,” after “using.”

11. In Article V, Section 1 Data Storage: Illinois requires all Student Data to be stored within the United States.
12. In Article V, Section 4, add the following: “‘Security Breach’ does not include the good faith acquisition of Student Data by an employee or agent of the Provider or LEA for a legitimate educational or administrative purpose of the Provider or LEA, so long as the Student Data is used solely for purposes permitted by SOPPA and other applicable law, and so long as the Student Data is restricted from further unauthorized disclosure.”
13. In Article V, Section 4(1) add the following:
  - vi. A list of the students whose Student Data was involved in or is reasonably believed to have been involved in the breach, if known; and
  - vii. The name and contact information for an employee of the Provider whom parents may contact to inquire about the breach.

14. In Article V, Section 4, add a section (6) which states:

In the event of a Security Breach that is attributable to the Provider, the Provider shall reimburse and indemnify the LEA for any and all costs and expenses that the LEA incurs in investigating and remediating the Security Breach, without regard to any limitation of liability provision otherwise agreed to between Provider and LEA, including but not limited to costs and expenses associated with:

- a. Providing notification to the parents of those students whose Student Data was compromised and regulatory agencies or other entities as required by law or contract;
- b. Providing credit monitoring to those students whose Student Data was exposed in a manner during the Security Breach that a reasonable person would believe may impact the student's credit or financial security;
- c. Legal fees, audit costs, fines, and any other fees or damages imposed against the LEA  
as a result of the security breach; and
- d. Providing any other notifications or fulfilling any other requirements adopted by the Illinois State Board of Education or under other State or federal laws.

15. Replace Article VII, Section 1 with: “In the event either Party seeks to terminate this DPA, they may do so by mutual written consent so long as the Service Agreement has lapsed or been terminated. One party may terminate this DPA upon a material breach of this DPA by the other party. Upon termination of the DPA, the Service Agreement shall terminate.”
16. In Exhibit C, add to the definition of Student Data, the following: “Student Data includes any and all information concerning a student by which a student may be individually identified under applicable Illinois law and regulations, including but not limited to (a) "covered information," as defined in Section 5 of SOPPA (105 ILCS 85/5), (b) "school

student records", "student temporary record" or "student permanent record" as that term is defined in Section 2 of ISSRA (105 ILCS 10/2(d)) (c) "records" as that term is defined under Section 110/2 of the MHDDCA (740 ILCS 110/2), and (d) "personal information" as defined in Section 530/5 of PIPA."

17. The following shall be inserted as a new second sentence in Paragraph 1 of Exhibit E:  
"The provisions of the original DPA offered by Provider and accepted by Subscribing LEA pursuant to this Exhibit E shall remain in effect as between Provider and Subscribing LEA 1) for so long as the Services are being provided to Subscribing LEA, or 2) until the DPA is terminated pursuant to Section 15 of this Exhibit G, whichever comes first."
18. The Provider must publicly disclose material information about its collection, use, and disclosure of Student Data, including, but not limited to, publishing a terms of service agreement, privacy policy, or similar document.
19. **Minimum Data Necessary Shared.** The Provider attests that the Student Data request by the Provider from the LEA in order for the LEA to access the Provider's products and/or services is limited to the Student Data that is adequate, relevant, and limited to what is necessary in relation to the K-12 school purposes for which it is processed.
20. **Student and Parent Access.** Access by students or parents/guardians to the Provider's programs or services governed by the DPA or to any Student Data stored by Provider shall not be conditioned upon agreement by the parents/guardians to waive any of the student data confidentiality restrictions or a lessening of any of the confidentiality or privacy requirements contained in this DPA.
21. **Exhibits A and B.** The Services described in Exhibit A and the Schedule of Data in Exhibit B to the DPA satisfy the requirements in SOPPA to include a statement of the product or service being provided to the school by the Provider and a listing of the categories or types of covered information to be provided to the Provider, respectively.
22. The Provider will not collect social security numbers.

**EXHIBIT “G”**  
**Missouri**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider’s Services are also subject to several state laws in Missouri. Specifically, those laws are Sections 162.1475 and 407.1500 RSMo; and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for Missouri;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. In Article IV, Section 2, replace “otherwise authorized,” with “otherwise required” and delete “or stated in the Service Agreement.”
2. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
3. In Article V, Section 1 Data Storage: Missouri does not require data to be stored within the United States.
4. Replace Article V, Section 4(1) with the following:
  - a. In the event of a breach of data maintained in an electronic form that includes personal information of a student or a student’s family member, Provider shall notify LEA within seventy-two (72) hours. The notice shall include:
    - i. Details of the incident, including when it occurred and when it was discovered;
    - ii. The type of personal information that was obtained as a result of the breach; and
    - iii. The contact person for Provider who has more information about the incident.
  - b. “*Breach*” shall mean the unauthorized access to or unauthorized acquisition of personal information that compromises the security, confidentiality, or integrity of the personal information. Good faith acquisition of personal information by a person employed by or contracted with, or an agent of, Provider is not a breach provided that the personal information is not used in violation of applicable Federal or Missouri law, or in a manner that harms or poses an actual threat to the security, confidentiality, or integrity of the personal information.
  - c. “*Personal information*” is the first name or initial and last name of a student or a family member of a student in combination with any one or more of the following data items that relate to the student or a family member of the student if any of the data elements are not encrypted, redacted, or otherwise altered by any method or technology such that the name or data elements are unreadable or unusable:
    - i. Social Security Number;
    - ii. Driver’s license number or other unique identification number created or collected by a government body;
    - iii. Financial account information, credit card number, or debit card number in combination with any required security code, access code, or password that would permit access to an individual’s financial account;
    - iv. Unique electronic identifier or routing code in combination with any required security code, access code, or password that would permit access to an individual’s financial account;
    - v. Medical information; or
    - vi. Health insurance information.

## **EXHIBIT "G"**

### **Ohio**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider's Services are also subject to several state laws in Ohio. Specifically, those laws are R.C. §§ 3319.32-3319.327, R.C. §§ 1349.17-19, Rule 3301-51-04; and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for Ohio;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. In Article IV, Section 2, replace "otherwise authorized," with "otherwise required" and delete "or stated in the Service Agreement."
2. In Article IV, Section 3, add: "The Provider will restrict unauthorized access by Provider's employees or contractors not providing services under the Service Agreement or DPA and its employees or contractors will only access Student Data as necessary to fulfill their official duties."
3. In Article IV, Section 6, replace "Upon termination of this DPA, if no written request from the LEA is received, Provider shall dispose of all Student Data after providing the LEA with reasonable prior notice," with "Upon termination of this DPA, unless the LEA provides notice that renewal of the contract is reasonably anticipated, within ninety (90) days of the expiration of the contract, Provider shall destroy or return Student Data to the LEA."
4. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
5. In Article V, Section 1 Data Storage: Ohio does not require data to be stored within the United States.
6. Provider will not access or monitor any of the following:
  - a. Location-tracking features of a school-issued device;
  - b. Audio or visual receiving, transmitting or recording features of a school-issued device;
  - c. Student interactions with a school-issued device, including, but not limited to, keystrokes and web-browsing activity

Notwithstanding the above, if the Provider has provided written notice to the LEA that it engages in this collection of the above information, which must be provided in the Service Agreement, and the LEA has provided written confirmation that the Provider can collect this information pursuant to its general monitoring, then the Provider may access or monitor the listed information.

**EXHIBIT "G"**  
**Rhode Island**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider's Services are also subject to several state laws in Rhode Island. Specifically, those laws are R.I.G.L. 16-71-1, et. seq., R.I.G.L. 16-104-1, and R.I.G.L., 11-49.3 et. seq.; and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for Rhode Island;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. In Article IV, Section 2, replace "otherwise authorized," with "otherwise required" and delete "or stated in the Service Agreement."
2. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
3. In Article V, Section 1 Data Storage: Rhode Island does not require data to be stored within the United States.
4. The Provider agrees that this DPA serves as its written certification of its compliance with R.I.G.L. 16-104-1.
5. The Provider agrees to implement and maintain a risk-based information security program that contains reasonable security procedures.
6. In the case of a data breach, as a part of the security breach notification outlined in Article V, Section 4(1), the Provider agrees to provide the following additional information:
  - i. Information about what the Provider has done to protect individuals whose information has been breached, including toll free numbers and websites to contact:
    1. The credit reporting agencies
    2. Remediation service providers
    3. The attorney general
  - ii. Advice on steps that the person whose information has been breached may take to protect himself or herself.
  - iii. A clear and concise description of the affected parent, legal guardian, staff member, or eligible student's ability to file or obtain a police report; how an affected parent, legal guardian, staff member, or eligible student's requests a security freeze and the necessary information to be provided when requesting the security freeze; and that fees may be required to be paid to the consumer reporting agencies.

**EXHIBIT "G"**  
**Tennessee**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider's Services are also subject to several state laws in Tennessee. Specifically, those laws are T.C.A. §§ 10-7-503 *et. seq.*, T.C.A. § 47-18-2107, T.C.A. § 49-1-701 *et. seq.*, T.C.A. § 49-2-211, T.C.A. § 49-6-902, § 49-6-3001, T.C.A. §§ 49-50-1501 *et. seq.*; and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for Tennessee;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. In Article IV, Section 2, replace "otherwise authorized," with "otherwise required" and delete "or stated in the Service Agreement."
2. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
3. In Article V, Section 1 Data Storage: Tennessee does not require data to be stored within the United States.
4. The Provider agrees that it will not collect any individual student biometric data, student data relative to analysis of facial expressions, EEG brain wave patterns, skin conductance, galvanic skin response, heart-rate variability, pulse, blood volume, posture, and eye-tracking.
5. The Provider agrees that it will not collect individual student data on:
  - a. Political affiliation;
  - b. Religion;
  - c. Voting history; and
  - d. Firearms ownership

**EXHIBIT "G"**

**Vermont**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider's Services are also subject to several state laws in Vermont. Specifically, those laws are 9 VSA 2443 to 2443f; 16 VSA 1321 to 1324; and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for Vermont;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. In Article IV, Section 2, replace "otherwise authorized," with "otherwise required" and delete "or stated in the Service Agreement."
2. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
3. In Article V, Section 1 Data Storage: Vermont does not require data to be stored within the United States.

**EXHIBIT “G”**  
**Virginia**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider’s Services are also subject to several state laws in Virginia. Specifically, those laws are Code of Virginia § 22.1-289.01 and Virginia Code § 2.2-5514(c); and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for Virginia;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. In Article IV, Section 2, replace “otherwise authorized,” with “otherwise required” and delete “or stated in the Service Agreement.”
2. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
3. In Article V, Section 1 Data Storage: Virginia does not require data to be stored within the United States.
4. In Article V, Section 4, add: In order to ensure the LEA’s ability to comply with its reporting requirements under Virginia Code § 2.2-5514(c), Provider shall provide initial notification to the LEA as soon as reasonably practical, and at a minimum within twenty-four (24) hours, where the Provider reasonably expects or confirms Student Data may have been disclosed in a data breach.

**EXHIBIT "G"**  
**New Hampshire**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider's Services are also subject to several state laws in New Hampshire. Specifically, those laws are RSA 189:1-e and 189:65-68-a; RSA 186; NH Admin. Code Ed. 300 and NH Admin. Code Ed. 1100; and

**WHEREAS**, the Parties wish to enter into these supplemental terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for New Hampshire;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. All references in the DPA to "Student Data" shall be amended to state "Student Data and Teacher Data." "Teacher Data" is defined as at least the following:

Social security number.  
Date of birth.  
Personal street address.  
Personal email address.  
Personal telephone number  
Performance evaluations.

Other information that, alone or in combination, is linked or linkable to a specific teacher, paraprofessional, principal, or administrator that would allow a reasonable person in the school community, who does not have personal knowledge of the relevant circumstances, to identify any with reasonable certainty.

Information requested by a person who the department reasonably believes or knows the identity of the teacher, paraprofessional, principal, or administrator to whom the education record relates.

"Teacher" means teachers, paraprofessionals, principals, school employees, contractors, and other administrators.

2. In order to perform the Services described in the DPA, the LEA shall provide the categories of Teacher Data described in the Schedule of Data, attached hereto as **Exhibit "I"**.
3. In Article IV, Section 2, replace "otherwise authorized," with "otherwise required" and delete "or stated in the Service Agreement."
4. In Article IV, Section 7 amend each reference to "students," to state: "students, teachers,..."
5. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
6. Provider is prohibited from leasing, renting, or trading Student Data or Teacher Data to (a) market or advertise to students, teachers, or families/guardians; (b) inform, influence, or enable marketing, advertising or other commercial efforts by a Provider; (c) develop a profile of a student, teacher, family member/guardian or group, for any commercial purpose other than providing the Service to LEA; or (d) use the Student Data and Teacher Data for the development of commercial products or services, other than as

necessary to provide the Service to the LEA. This section does not prohibit Provider from using Student Data and Teacher Data for adaptive learning or customized student learning purposes.

7. The Provider agrees to the following privacy and security standards. Specifically, the Provider agrees to:
  - (1) Limit system access to the types of transactions and functions that authorized users, such as students, parents, and LEA are permitted to execute;
  - (2) Limit unsuccessful logon attempts;
  - (3) Employ cryptographic mechanisms to protect the confidentiality of remote access sessions;
  - (4) Authorize wireless access prior to allowing such connections;
  - (5) Create and retain system audit logs and records to the extent needed to enable the monitoring, analysis, investigation, and reporting of unlawful or unauthorized system activity;
  - (6) Ensure that the actions of individual system users can be uniquely traced to those users so they can be held accountable for their actions;
  - (7) Establish and maintain baseline configurations and inventories of organizational systems (including hardware, software, firmware, and documentation) throughout the respective system development life cycles;
  - (8) Restrict, disable, or prevent the use of nonessential programs, functions, ports, protocols, and services;
  - (9) Enforce a minimum password complexity and change of characters when new passwords are created;
  - (10) Perform maintenance on organizational systems;
  - (11) Provide controls on the tools, techniques, mechanisms, and personnel used to conduct system maintenance;
  - (12) Ensure equipment removed for off-site maintenance is sanitized of any Student Data or Teacher Data in accordance with NIST SP 800-88 Revision 1;
  - (13) Protect (i.e., physically control and securely store) system media containing Student Data or Teacher Data, both paper and digital;
  - (14) Sanitize or destroy system media containing Student Data or Teacher Data in accordance with NIST SP 800-88 Revision 1 before disposal or release for reuse;
  - (15) Control access to media containing Student Data or Teacher Data and maintain accountability for media during transport outside of controlled areas;
  - (16) Periodically assess the security controls in organizational systems to determine if the controls are effective in their application and develop and implement plans of action designed to correct deficiencies and reduce or eliminate vulnerabilities in organizational systems;

- (17) Monitor, control, and protect communications (i.e., information transmitted or received by organizational systems) at the external boundaries and key internal boundaries of organizational systems;
- (18) Deny network communications traffic by default and allow network communications traffic by exception (i.e., deny all, permit by exception);
- (19) Protect the confidentiality of Student Data and Teacher Data at rest;
- (20) Identify, report, and correct system flaws in a timely manner;
- (21) Provide protection from malicious code (i.e. Antivirus and Antimalware) at designated locations within organizational systems;
- (22) Monitor system security alerts and advisories and take action in response; and
- (23) Update malicious code protection mechanisms when new releases are available.

Alternatively, the Provider agrees to comply with one of the following standards: (1) NIST SP 800-171 rev 2, Basic and Derived Requirements; (2) NIST SP 800-53 rev 4 or newer, Low Impact Baseline or higher; (3) FedRAMP (Federal Risk and Authorization Management Program); (4) ISO/IEC 27001:2013; (5) Center for Internet Security (CIS) Controls, v. 7.1, Implementation Group 1 or higher; (6) AICPA System and Organization Controls (SOC) 2, Type 2; and (7) Payment Card Industry Data Security Standard (PCI DSS), v3.2.1. The Provider will provide to the LEA on an annual basis and upon written request demonstration of successful certification of these alternative standards in the form of a national or international Certification document; an Authorization to Operate (ATO) issued by a state or federal agency, or by a recognized security standards body; or a Preliminary Authorization to Operate (PATO) issued by the FedRAMP Joint Authorization Board (JAB).

- 8. In the case of a data breach, as a part of the security breach notification outlined in Article V, Section 4(1), the Provider agrees to provide the following additional information:
  - i. The estimated number of students and teachers affected by the breach, if any.
- 9. The parties agree to add the following categories into the definition of Student Data: the name of the student's parents or other family members, place of birth, social media address, unique pupil identifier, and credit card account number, insurance account number, and financial services account number.
- 10. In Article V, Section 1 Data Storage: New Hampshire does not require data to be stored within the United States.

**EXHIBIT "I" – TEACHER DATA**

Category of Data	Elements	Check if used by your system
Application Technology Meta Data	IP Addresses of users, Use of cookies etc.	X
	Other application technology meta data-Please specify:	
Application Use Statistics	Meta data on user interaction with application	X
Communications	Online communications that are captured (emails, blog entries)	X
Demographics	Date of Birth	
	Place of Birth	
	Social Security Number	
	Ethnicity or race	
	Other demographic information-Please specify:	
Personal Contact Information	Personal Address	
	Personal Email	
	Personal Phone	
Performance evaluations	Performance Evaluation Information	X
Schedule	Teacher scheduled courses	X
	Teacher calendar	X
Special Information	Medical alerts	
	Teacher disability information	
	Other indicator information-Please specify:	
Teacher Identifiers	Local (School district) ID number	X
	State ID number	X for level 0 only
	Vendor/App assigned student ID number	X
	Teacher app username	X
	Teacher app passwords	X
Teacher In App Performance	Program/application performance	X, Limited to app usage
Teacher Survey Responses	Teacher responses to surveys or questionnaires	X
Teacher work	Teacher generated content; writing, pictures etc.	
	Other teacher work data -Please specify:	X, Curriculum, assessment
Education	Course grades from schooling	
	Other transcript data -Please specify:	X, PL, data transcripts
Other	Please list each additional data element used, stored or collected by your application	

## **Exhibit "G"**

### **New York**

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider's Services are also subject to several state laws in New York. Specifically, those laws are New York Education Law § 2-d; and the Regulations of the Commissioner of Education at 8 NYCRR Part 121; and

**WHEREAS**, the Parties wish to enter into these additional terms to the DPA to ensure that the Services provided conform to the requirements of the privacy laws referred to above and to establish implementing procedures and duties;

**WHEREAS**, the Parties wish these terms to be hereby incorporated by reference into the DPA in their entirety for New York;

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

1. All employees of the Provider who will have direct contact with students shall pass criminal background checks.
2. Student Data will be used by Provider exclusively to provide the Services identified in Exhibit A to the DPA.
3. Provider agrees to maintain the confidentiality and security of Student Data in accordance with LEA's Data Security and Privacy Policy. The LEA's Data Security Policy is attached hereto as Exhibit J. Each Subscribing LEA will provide its Data Security Policy to the Provider upon execution of Exhibit "E". Provider shall use industry standard security measures including encryption protocols that comply with New York law and regulations to preserve and protect Student Data and APPR Data. Provider must Encrypt Student Data and APPR Data at rest and in transit in accordance with applicable New York laws and regulations.
4. Provider represents that their Data Privacy and Security Plan can be found at the URL link listed in Exhibit K and is incorporated into this DPA. Provider warrants that its Data Security and Privacy Plan, at a minimum: (a) implements all applicable state, federal and local data privacy and security requirements; (b) has operational technical safeguards and controls in place to protect PII that it will receive under the service agreement; (c) complies with the LEA's parents bill of rights for data privacy and security; (d) requires training of all providers' employees, assignees and subprocessors who have Access to student data or APPR data; (e) ensures subprocessors are required to protect PII received under this service agreement; (f) specifies how data security and privacy incidents that implicate PII will be managed and ensuring prompt notification to the LEA, and (g) addresses Student Data return, deletion and destruction.
5. In addition to the requirements described in Paragraph 3 above, the Provider's Data Security and Privacy Plan shall be deemed to incorporate the LEA's Parents Bill of Rights for Data Security and Privacy, as found at the URL link identified in Exhibit J. The Subscribing LEA will provide its Parents Bill of Rights for Data Security and Privacy to the Provider upon execution of Exhibit "E".

6. All references in the DPA to “Student Data” shall be amended to include and state, “Student Data and APPR Data.”
7. To amend Article II, Section 5 to add: Provider shall ensure that its subprocessors agree that they do not have any property, licensing or ownership rights or claims to Student Data or APPR data and that they will comply with the LEA’s Data Privacy and Security Policy. Provider shall examine the data privacy and security measures of its Subprocessors. If at any point a Subprocessor fails to materially comply with the requirements of this DPA, Provider shall: (i) notify LEA, (ii) as applicable, remove such Subprocessor’s Access to Student Data and APPR Data; and (iii) as applicable, retrieve all Student Data and APPR Data received or stored by such Subprocessor and/or ensure that Student Data and APPR Data has been securely deleted or securely destroyed in accordance with this DPA. In the event there is an incident in which Student Data and APPR Data held, possessed, or stored by the Subprocessor is compromised, or unlawfully Accessed or disclosed, Provider shall follow the Data Breach reporting requirements set forth in the DPA.
8. In Article IV, Section 2, replace “otherwise authorized,” with “otherwise required” and delete “or stated in the Service Agreement.”
9. To amend Article IV, Section 3 to add: Provider shall ensure that all its employees and subprocessors who have Access to or will receive Student Data and APPR Data will be trained on the federal and state laws governing confidentiality of such Student Data and APPR Data prior to receipt. Access to or Disclosure of Student Data and APPR Data shall only be provided to Provider’s employees and subprocessors who need to know the Student Data and APPR Data to provide the services and such Access and/or Disclosure of Student Data and APPR Data shall be limited to the extent necessary to provide such services.
10. To replace Article IV, Section 6 (Disposition of Data) with the following: Upon written request from the LEA, Provider shall dispose of or provide a mechanism for the LEA to transfer Student Data obtained under the Service Agreement, within ninety (90) days of the date of said request and according to a schedule and procedure as the Parties may reasonably agree. Provider is prohibited from retaining disclosed Student Data or continuing to Access Student Data beyond the term of the Service Agreement unless such retention is expressly authorized for a prescribed period by the Service Agreement, necessary for purposes of facilitating the transfer of disclosed Student Data to the LEA, or expressly required by law. The confidentiality and data security obligations of Provider under this DPA shall survive any termination of this contract to which this DPA is attached but shall terminate upon Provider’s certifying that it and it’s subprocessors, as applicable: (a) no longer have the ability to Access any Student Data provided to Provider pursuant to the Service Agreement and/or (b) have destroyed all Student Data and APPR Data provided to Provider pursuant to this DPA. The Provider agrees that the timelines for disposition of data will be modified by any Assurance of Discontinuation, which will control in the case of a conflict.

Upon termination of this DPA, if no written request from the LEA is received, Provider shall dispose of all student data after providing the LEA with ninety (90) days prior notice.

The duty to dispose of student data shall not extend to Student Data that had been de-identified or placed in a separate student account pursuant to section II 3. The LEA may employ a **“Directive for Disposition of Data”** form, a copy of which is attached hereto as **Exhibit “D”**, or, with reasonable notice to the Provider, other form of its choosing. No further written request or notice is required on the part of either party prior to the disposition of Student Data described in **“Exhibit D”**.

11. To amend Article IV, Section 7 to add: ‘Notwithstanding the foregoing, Provider is prohibited from using Student Data or APPR data for any Commercial or Marketing Purpose as defined herein. And add after (iii) account holder, “which term shall not include students.”
12. To replace Article V, Section 1 (Data Storage) to state: Student Data and APPR Data shall be stored within the United States and Canada only. Upon request of the LEA, Provider will provide a list of the locations where Student Data is stored.
13. To replace Article V, Section 2 (Audits) to state: No more than once a year or following an unauthorized Access, upon receipt of a written request from the LEA with at least ten (10) business days’ notice and upon the execution of an appropriate confidentiality agreement, the Provider will allow the LEA or its designee(s) to audit the security and privacy measures that are in place to ensure protection of Student Data or any portion thereof as it pertains to the delivery of services to the LEA . The Provider will cooperate reasonably with the LEA or its designee(s) and any local, state, or federal agency with oversight authority or jurisdiction in connection with any audit or investigation of the Provider and/or delivery of Services to students and/or LEA, and shall provide reasonable Access to the Provider’s facilities, staff, agents and LEA’s Student Data and all records pertaining to the Provider, LEA and delivery of Services to the LEA.

Upon request by the New York State Education Department’s Chief Privacy Officer (NYSED CPO), Provider shall provide the NYSED CPO with copies of its policies and related procedures that pertain to the protection of information. In addition, the NYSED CPO may require Contractor to undergo an audit of its privacy and security safeguards, measures, and controls as they pertain to alignment with the requirements of New York State laws and regulations, and alignment with the NIST Cybersecurity Framework. Any audit required by the NYSED CPO must be performed by an independent third party at Provider’s expense and the audit report must be provided to the NYSED CPO. In lieu of being subject to a required audit, Provider may provide the NYSED CPO with an industry standard independent audit report of Provider’s privacy and security practices that was issued no more than twelve months before the date that the NYSED CPO informed Provider that it required Provider to undergo an audit. Failure to reasonably cooperate with any of the requirements in this provision shall be deemed a material breach of the DPA.

To amend the third sentence of Article V. Section 3 (Data Security) to read: The Provider shall implement security practices that are in alignment with the NIST Cybersecurity Framework v1.1 or any update to this Framework that is adopted by the New York State Department of Education.

14. To replace Article V. Section 4 (Data Breach) to state: In the event of a Breach as defined in 8 NYCRR Part 121.1 Provider shall provide notification to LEA within seventy-two (72) hours of confirmation of the incident, unless notification within this time limit would disrupt investigation of the incident by law enforcement. In such an event, notification shall be made within a reasonable time after the incident. Provider shall follow the following process:

(1) The security breach notification described above shall include, at a minimum, the following information to the extent known by the Provider and as it becomes available:

- i. The name and contact information of the reporting LEA subject to this section.
- ii. A list of the types of personal information that were or are reasonably believed to have been the subject of a breach.
- iii. If the information is possible to determine at the time the notice is provided, then either (1) the date of the breach, (2) the estimated date of the breach, or (3) the date range within which the breach occurred. The notification shall also include the date of the notice.
- iv. Whether the notification was delayed as a result of a law enforcement investigation, if that information is possible to determine at the time the notice is provided; and
- v. A general description of the breach incident, if that information is possible to determine at the time the notice is provided; and
- vi. The number of records affected, if known; and
- vii. A description of the investigation undertaken so far; and
- viii. The name of a point of contact for Provider.

(2) Provider agrees to adhere to all federal and state requirements with respect to a data breach related to the Student Data, including, when appropriate or required, the required responsibilities and procedures for notification and mitigation of any such data breach.

(3) Provider further acknowledges and agrees to have a written incident response plan that reflects best practices and is consistent with industry standards and federal and state law for responding to a data breach, breach of security, privacy incident or unauthorized acquisition or use of Student Data or any portion thereof, including personally identifiable information and agrees to provide LEA, upon request, with a summary of said written incident response plan.

(4) LEA shall provide notice and facts surrounding the breach to the affected students, parents or guardians. Where a Breach of Student Data and/or APPR Data occurs that is attributable to Provider and/or its Subprocessors, Provider shall pay for or promptly reimburse LEA for the full cost of notification to Parents, Eligible Students, teachers, and/or principals.

(5) In the event of a breach originating from LEA's use of the Service, Provider shall cooperate with LEA to the extent necessary to expeditiously secure Student Data.

(6) Provider and its subprocessors will cooperate with the LEA, the NYSED Chief Privacy Officer and law enforcement where necessary, in any investigations into a Breach. Any costs incidental to the required cooperation or participation of the Provider will be the sole responsibility of the Provider if such Breach is attributable to Provider or its subprocessors.

15. To amend the definitions in Exhibit "C" as follows:

- "Subprocessor" is equivalent to subcontractor. It is a third party who the provider uses for data collection, analytics, storage, or other service to allow Provider to operate and/or improve its service, and who has access to Student Data.

- “Provider” is also known as third party contractor. It any person or entity, other than an educational agency, that receives student data or teacher or principal data from an educational agency pursuant to a contract or other written agreement for purposes of providing services to such educational agency, including but not limited to data management or storage services, conducting studies for or on behalf of such educational agency, or audit or evaluation of publicly funded programs. Such term shall include an educational partnership organization that receives student and/or teacher or principal data from a school district to carry out its responsibilities and is not an educational agency and a not-for-profit corporation or other non-profit organization, other than an educational agency.

16. To add to Exhibit “C” the following definitions:

- **Access:** The ability to view or otherwise obtain, but not copy or save, Student Data and/or APPR Data arising from the on-site use of an information system or from a personal meeting.
- **APPR Data:** Personally Identifiable Information from the records of an Educational Agency relating to the annual professional performance reviews of classroom teachers or principals that is confidential and not subject to release under the provisions of Education Law §§ 3012-c and 3012-d
- **Commercial or Marketing Purpose:** In accordance with § 121.1(c) of the regulations of the New York Commissioner of Education, the Disclosure, sale, or use of Student or APPR Data for the purpose of directly or indirectly receiving remuneration, including the Disclosure, sale, or use of Student Data or APPR Data for advertising purposes, or the Disclosure, sale, or use of Student Data to develop, improve, or market products or services to Students.
- **Disclose or Disclosure:** The intentional or unintentional communication, release, or transfer of Student Data and/or APPR Data by any means, including oral, written, or electronic.
- **Encrypt or Encryption:** As defined in the Health Insurance Portability and Accountability Act of 1996 Security Rule at 45 CFR § 164.304, encrypt means the use of an algorithmic process to transform Personally Identifiable Information into an unusable, unreadable, or indecipherable form in which there is a low probability of assigning meaning without use of a confidential process or key.
- **Release:** Shall have the same meaning as Disclose
- **LEA:** As used in this DPA and all Exhibits, the term LEA shall mean the educational agency, as defined in Education Law Section 2-d, that has executed the DPA; if the LEA is a board of cooperative educational services, then the term LEA shall also include Participating School Districts for purposes of the following provisions of the DPA: Article I, Section 2; Article II, Sections 1 and 3; and Sections 1, 2, and 3 of Article III.
- **Participating School District:** As used in Exhibit G and other Exhibits to the DPA, the term Participating School District shall mean a New York State educational agency, as that term is defined in Education Law Section 2-d, that obtains access to the Services through a CoSer agreement with LEA, and shall include LEA if it uses the Services in its own educational or operational programs.
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**Exhibit "J"**  
**LEA Documents**

LEA's Data Security and Privacy Policy, Parents Bill of Rights for Data Security and Privacy for this service agreement can be accessed at:

[https://sdpc.a4l.org/ny\\_dp\\_bor\\_url.php?districtID=12342](https://sdpc.a4l.org/ny_dp_bor_url.php?districtID=12342)

**Exhibit "K"**  
**Provider Security Policy**

Provider's Data Security and Privacy Plan can be accessed at  
see attached

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**Castle Software, Inc.**  
**Parents Bill of Rights - Supplemental Information Addendum for Ed Law 2-d**

PARENTS' BILL OF RIGHTS FOR DATA PRIVACY AND SECURITY\*

The privacy and security of personally identifiable student data is of paramount importance. A student's personally identifiable information cannot be sold or released for any commercial purposes. State and federal laws protect the confidentiality of students' personally identifiable information, and safeguards associated with industry standards and best practices, such as encryption, firewalls, and password protection, must be in place when such data is stored or transferred.

Consistent with the adoption by the New York State Legislature of the Common Core Implementation Reform Act of 2014, all parents have the following rights:

- To inspect and review the complete contents of their child's education record, as defined in the District's Student Records policy;
- To access a complete list of all student data elements collected by the State, which is available for public review at <http://www.p12.nysed.gov/irs/sirs/documentation/NYSEDstudentData.xlsx>, or by writing to the Office of Information & Reporting Services, New York State Education Department, Room 863 EBA, 89 Washington Avenue, Albany, NY 12234;
- To have complaints about possible breaches of student data heard and determined. Complaints should be directed in writing to the local education agency or Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, New York 12234, or by email to the Chief Privacy Officer at [CPO@mail.nysed.gov](mailto:CPO@mail.nysed.gov).

\*In the event the Commissioner of Education issues an enhanced Bill of Rights and/or promulgates regulations setting forth additional elements to be included in the Parents' Bill of Rights, Castle Software, Inc. reserves the right to revise this document accordingly.

## Supplemental Information Addendum

In the course of complying with its obligations under the law and providing educational services to school and district residents, the local education agency or district ("Subscriber") has entered into an agreement with a third-party contractor Castle Software, Inc. ("Castle"). Pursuant to this agreement, Castle may have access to "student data" and/or "teacher or principal data," as those terms are defined by law and regulation.

For each contract or other written agreement that the Subscriber enters into with a third-party contractor where the third-party contractor receives student data or teacher or principal data from the Subscriber, the following supplemental information will be included with this Bill of Rights:

- 1) The exclusive purposes for which the student data or teacher or principal data will be used by the third-party contractor, as defined in the contract;

*The Castle Learning application, provided by Castle Software, Inc. ("Castle"), holds minimal student/teacher enrollment data to establish login credentials and class rosters within Castle Learning, which is used for online assignments and assessments for academic progress in core and supplemental academic subjects.*

- 2) How the third-party contractor will ensure that the subcontractors, or other authorized persons or entities to whom the third-party contractor will disclose the student data or teacher or principal data, if any, will abide by all applicable data protection and security requirements, including but not limited to those outlined in applicable laws and regulations (e.g., FERPA; Education Law Section 2-d);

*All Castle employees and content contractors, regardless of whether they access student or teacher data to provide the service or not, receive annual FERPA training and are required to review and acknowledge compliance with the Written Information Security Plan Policy (WISPP), which is provided with this addendum and which outlines the Castle compliance methodology. Many Subscribers purchase Method Test Prep and/or GradeCam through Castle. Castle reviews their compliance measures as well, but they are individually responsible for their own EdLaw 2-d compliance. Castle is a reseller for both. We use secure API's to exchange basic user identification information for single sign on.*

- 3) The duration of the contract, including the contract's expiration date, and a description of what will happen to the student data or teacher or principal data upon expiration of the contract or other written agreement (e.g., whether, when, and in what format it will be returned to the Subscriber, and/or whether, when, and how the data will be destroyed);

*The duration of the contract ("Term") is subject to the length of the subscription established on the accepted proposal/quote to the Subscriber and/or as confirmed by the Subscriber's purchase order. Upon expiration of the Term, unless renewed by the Subscriber for a subsequent Term, the Subscriber's data will be destroyed approximately ninety to one hundred twenty (90 to 120) days following the expiration date of the term. This time period for data destruction is used as often school districts realize the need to renew a lapsed subscription following the start of a school year. Delaying the destruction date enables the re-activation of the Subscriber's user accounts without losing valuable prior history (i.e. teachers are able to re-use prior assignments and assessments they have created). When final data destruction date is reached, the data is destroyed by*

*first deleting/purging it from the Castle Learning database and then the data is subsequently removed from nightly backups once the backup retention period is reached. The local retention period is two weeks and the offsite backup retention period is ninety*

*(90) days. As Castle only holds minimal enrollment data for logins, class rosters, assignments and assessments, it is uncommon for Subscribers to request copies of this data upon termination; however, if desired, the data may be extracted and provided to the Subscriber in csv or other requested format via a secure file download on a time and materials basis.*

- 4) If and how a parent, student, eligible student, teacher, or principal may challenge the accuracy of the student data or teacher or principal data that is collected;

*Parent [student, eligible student, teacher or principal] may challenge the accuracy of data by submitting their concerns to the Subscriber or the Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany NY 12234, email to [CPO@mail.nysed.gov](mailto:CPO@mail.nysed.gov). If concerns are submitted directly to Castle, Castle will forward the concern to the established Castle Subscriber administrative contact in order to coordinate resolving the concern.*

- 5) Where the student data or teacher or principal data will be stored, described in a manner as to protect data security, and the security protections taken to ensure the data will be protected and data privacy and security risks mitigated;

*Castle is hosted in the Amazon Web Services (AWS) us-east-1 region (Virginia), which complies with and is audited for, numerous regulatory standards. All data is stored in the United States.*

*Access to Castle data is tightly controlled on a need-to-know basis and all users are subject to the regulations and continued education dictated by the Harris Information Security Program. Databases and SQL servers containing sensitive PII are accessible only by authorized administrators and developers using a secure VPN connection.*

*We utilize multiple layers of firewall to tightly control ingress/egress traffic, in addition to leveraging CloudFlare's industry-leading Enterprise WAF (Web Application Firewall) to sanitize web requests and mitigate DDoS attacks.*

*CrowdStrike Falcon Complete XDR is utilized across all servers and endpoints for Anti-Virus, Malware, Ransomware and AI-driven Anomaly prevention and detection. HES also utilizes CrowdStrike's 24/7 SOCaaS (Security-Operations-Center-as-a-service) offering which provides all the security functions performed by a traditional, in-house SOC, including network monitoring, log management, threat detection and intelligence, incident investigation and response, reporting, and risk and compliance.*

*CIS V8 controls provide the basis for our security framework. The Rapid7 product suite provides automated scanning for routine hardening recommendations for Cloud architecture, applications, and server configuration.*

*Castle is committed to complying with all relevant regulatory standards and will*

*regularly review and update its data management practices ensuring compliance with changing requirements.*

and

6) Address how the data will be protected using encryption while in motion and at rest.

*All data in Castle is encrypted in motion and at rest within AWS using an industry standard AES-256 encryption algorithm to ensure data confidentiality and integrity. The Castle Learning application is encrypted in motion via https (SSL/TLS 1.2 or higher) and sensitive data elements are encrypted at the column level within the database. All backups are encrypted at rest and are purged after 90 days.*

*Paper or electronically stored records containing Education Records, Teacher or Principal Data, or End User Confidential Information will be disposed of in a manner that complies with the Corporate Back-Up, Device and Media Controls Policy. All purged PII is securely deleted by AWS to ensure that it cannot be recovered, reconstructed, or accessed by unauthorized parties.*

We agree to abide by the Subscriber's Parents' Bill of Rights for Data Privacy and Security.

Name: Diva Mayeau

Date: 07/01/2024

Signature:



Company: Harris Education Solutions/Castle Software, Inc. Product (If different): Castle Learning

**Liberty Source LLC**  
**Parents Bill of Rights - Supplemental Information Addendum for Ed Law 2-d**

PARENTS' BILL OF RIGHTS FOR DATA PRIVACY AND SECURITY\*

The privacy and security of personally identifiable student data is of paramount importance. A student's personally identifiable information cannot be sold or released for any commercial purposes. State and federal laws protect the confidentiality of students' personally identifiable information, and safeguards associated with industry standards and best practices, such as encryption, firewalls, and password protection, must be in place when such data is stored or transferred.

In accordance with New York Education Law § 2-d, the Subscriber (i.e. school/district) wishes to inform the community of the following:

1. A student's personally identifiable information cannot be sold or released for any commercial purposes.
2. Parents have the right to inspect and review the complete contents of their child's education record.
3. State and federal laws protect the confidentiality of personally identifiable information, and safeguards associated with industry standards and best practices, including but not limited to, encryption, firewalls, and password protection, must be in place when data is stored or transferred.
4. A complete list of all student data elements collected by the State is available for public review at <https://www.nysed.gov/data-privacy-security/student-data-inventory>, or by writing to the Office of Information & Reporting Services, New York State Education Department, Room 863 EBA, 89 Washington Avenue, Albany, New York 12234.
5. Parents have the right to have complaints about possible breaches of student data addressed. Complaints should be directed in writing to the Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, New York 12234. Complaints may also be submitted using the form available at the following website <https://www.nysed.gov/data-privacy-security/report-improper-disclosure>.

*\*In the event the Commissioner of Education issues an enhanced Bill of Rights and/or promulgates regulations setting forth additional elements to be included in the Parents' Bill of Rights, Liberty Source LLC reserves the right to revise this document accordingly.*

## Supplemental Information Addendum

In the course of complying with its obligations under the law and providing educational services to school and district residents, the local education agency or district (“Subscriber”) has entered into an agreement with a third-party contractor Liberty Source LLC (“Liberty Source”). Pursuant to this agreement, Liberty Source may have access to "student data" and/or "teacher or principal data," as those terms are defined by law and regulation.

For each contract or other written agreement that the Subscriber enters into with a third-party contractor where the third-party contractor receives student data or teacher or principal data from the Subscriber, the following supplemental information will be included with this Bill of Rights:

1. The exclusive purposes for which the student data or teacher or principal data will be used by the third-party contractor, as defined in the contract;

### ELABORATION ON PURPOSE

2. How the third-party contractor will ensure that the subcontractors, or other authorized persons or entities to whom the third-party contractor will disclose the student data or teacher or principal data, if any, will abide by all applicable data protection and security requirements, including but not limited to those outlined in applicable laws and regulations (e.g., FERPA; Education Law Section 2-d);

**All Liberty Source employees and content contractors, regardless of whether they access student or teacher data to provide the service or not, receive annual FERPA training and are required to review and acknowledge compliance with the Written Information Security Plan Policy (WISPP), which is provided with this addendum and which outlines the Liberty Source compliance methodology.**

3. The duration of the contract, including the contract’s expiration date, and a description of what will happen to the student data or teacher or principal data upon expiration of the contract or other written agreement (e.g., whether, when, and in what format it will be returned to the Subscriber, and/or whether, when, and how the data will be destroyed);

### ELABORATION ON CONTRACT LENGTH AND DATA DISPOSAL

4. If and how a parent, student, eligible student, teacher, or principal may challenge the accuracy of the student data or teacher or principal data that is collected;

**Parent [student, eligible student, teacher or principal] may challenge the accuracy of data by submitting their concerns to the Subscriber or the Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany NY 12234, email to [CPO@mail.nysed.gov](mailto:CPO@mail.nysed.gov). If concerns are submitted directly to Liberty Source, Liberty Source will forward the concern to the established Liberty Source Subscriber administrative contact in order to coordinate resolving the concern.**

5. Where the student data or teacher or principal data will be stored, described in a manner as to protect data security, and the security protections taken to ensure the data will be protected and data privacy and security risks mitigated;
  - **Tango Software is hosted in the Amazon Web Services (AWS) us-east-1 region (Virginia), which complies with and is audited for, numerous regulatory standards. All data is stored in the United States.**
  - **Access to Tango Software data is tightly controlled on a need-to-know basis and all users are subject to the regulations and continued education dictated by the Harris Information Security Program. Databases and SQL servers containing sensitive PII are accessible only by authorized administrators and developers using a secure VPN connection.**
  - **Multiple layers of firewall are utilized to tightly control ingress/egress traffic, in addition to leveraging CloudFlare's industry-leading Enterprise WAF (Web Application Firewall) to sanitize web requests and mitigate DDoS attacks.**
  - **CrowdStrike Falcon Complete XDR is utilized across all servers and endpoints for Anti-Virus, Malware, Ransomware and AI-driven Anomaly prevention and detection. Liberty Source also utilizes CrowdStrike's 24/7 SOCaaS (Security-Operations-Center-as-a-service) offering which provides all the security functions performed by a traditional, in-house SOC, including network monitoring, log management, threat detection and intelligence, incident investigation and response, reporting, and risk and compliance.**
  - **CIS V8 controls provide the basis for our security framework. The Rapid7 product suite provides automated scanning for routine hardening recommendations for Cloud architecture, applications, and server configuration.**
  - **Liberty Source is committed to complying with all relevant regulatory standards and will regularly review and update its data management practices ensuring compliance with changing requirements.**
  
6. Address how the data will be protected using encryption while in motion and at rest.
  - **All data in Tango Software is encrypted in motion and at rest within AWS using an industry-standard AES-256 encryption algorithm to ensure data confidentiality and integrity. The Tango Software application is encrypted in motion via https (SSL/TLS 1.2 or higher) and sensitive data elements are encrypted at the column level within the database. All backups are encrypted at rest and are purged after 90 days.**
  - **Paper or electronically stored records containing Education Records, Teacher or Principal Data, or End User Confidential Information will be disposed of in a manner that complies with the Corporate Back-Up, Device and Media Controls Policy. All purged PII is securely deleted by AWS to ensure that it cannot be recovered, reconstructed, or accessed by unauthorized parties.**

We agree to abide by the Subscriber's Parents' Bill of Rights for Data Privacy and Security.

**Name:** Diva Mayeau      **Date:** 06/20/25

**Signature:** 

**Company:** Harris Education Solutions / Liberty Source LLC

**eDoctrina Corporation**  
**Parents Bill of Rights - Supplemental Information Addendum for Ed Law 2-d**

PARENTS' BILL OF RIGHTS FOR DATA PRIVACY AND SECURITY\*

The privacy and security of personally identifiable student data is of paramount importance. A student's personally identifiable information cannot be sold or released for any commercial purposes. State and federal laws protect the confidentiality of students' personally identifiable information, and safeguards associated with industry standards and best practices, such as encryption, firewalls, and password protection, must be in place when such data is stored or transferred.

In accordance with New York Education Law § 2-d, the Subscriber (i.e. school/district) wishes to inform the community of the following:

A student's personally identifiable information cannot be sold or released for any commercial purposes.

(2) Parents have the right to inspect and review the complete contents of their child's education record.

(3) State and federal laws protect the confidentiality of personally identifiable information, and safeguards associated with industry standards and best practices, including but not limited to, encryption, firewalls, and password protection, must be in place when data is stored or transferred.

(4) A complete list of all student data elements collected by the State is available for public review at <http://www.nysed.gov/data-privacy-security/student-data-inventory>, or by writing to the Office of Information & Reporting Services, New York State Education Department, Room 863 EBA, 89 Washington Avenue, Albany, New York 12234.

(5) Parents have the right to have complaints about possible breaches of student data addressed. Complaints should be directed in writing to the Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, New York 12234. Complaints may also be submitted using the form available at the following website <http://www.nysed.gov/data-privacy-security/report-improper-disclosure>. \*In the event the Commissioner of Education issues an enhanced Bill of Rights and/or promulgates regulations setting forth additional elements to be included in the Parents' Bill of Rights, EDoctrina Software, Inc. reserves the right to revise this document accordingly.

## Supplemental Information Addendum

In the course of complying with its obligations under the law and providing educational services to school and district residents, the local education agency or district ("Subscriber") has entered into an agreement with a third-party contractor eDoctrina Corporation ("eDoctrina"). Pursuant to this agreement, eDoctrina may have access to "student data" and/or "teacher or principal data," as those terms are defined by law and regulation.

For each contract or other written agreement that the Subscriber enters into with a third-party contractor where the third-party contractor receives student data or teacher or principal data from the Subscriber, the following supplemental information will be included with this Bill of Rights:

- 1) The exclusive purposes for which the student data or teacher or principal data will be used by the third-party contractor, as defined in the contract;

*The exclusive purpose for which eDoctrina is being provided access to Protected Data is to provide Subscriber with the functionality of the Product(s) listed below. eDoctrina agrees that it will not use the Protected Data for any other purposes not explicitly authorized in the Agreement. Protected Data received by eDoctrina, or any of eDoctrina's subcontractors, assignees, or other authorized agents, will not be sold, or released or used for any commercial or marketing purposes.*

- eDoctrina Educator Suite (Curriculum Bundle: Unit Planning, Lesson Planning, Power Standards, Curriculum Presenter/Recorder, Scope and Sequence Reports, Curriculum Mapping; Assessment Bundle: Assessment Building, Online Assessments, Paper Assessments, Performance-Based Assessments, Copier Scanning, Web Camera Scanning, Data Reporting; RTI/MTSS Bundle: Student Goals, Student Forms)
- eDoctrina Accountability Suite (OBSerVE Module, SLO/SGO Tool, Educator Effectiveness/Performance Pay, Staff Forms & Improvement Plans)
- Add-Ons (PD30, RePORT Cards, BeHAVE, SOLe)
- Question Banks (Examgen, Certica Solutions Navigate Item Bank, Inspect Question and Assessment Bank)

- 2) How the third-party contractor will ensure that the subcontractors, or other authorized persons or entities to whom the third-party contractor will disclose the student data or teacher or principal data, if any, will abide by all applicable data protection and security requirements, including but not limited to those outlined in applicable laws and regulations (e.g., FERPA; Education Law Section 2-d);

*All eDoctrina employees and content contractors, regardless of whether they access student or teacher data to provide the service or not, receive annual FERPA training and are required to review and acknowledge compliance with the Written Information Security Plan Policy (WISPP), which is provided with this addendum and which outlines the eDoctrina compliance methodology.*

- 3) The duration of the contract, including the contract's expiration date, and a

description of what will happen to the student data or teacher or principal data upon expiration of the contract or other written agreement (e.g., whether, when, and in what format it will be returned to the Subscriber, and/or whether, when, and how the data will be destroyed);

*The duration of the contract (“Term”) is subject to the length of the subscription established on the accepted proposal/quote to the Subscriber and/or as confirmed by the Subscriber’s purchase order. Upon expiration of the Term, unless renewed by the Subscriber for a subsequent Term, the Subscriber’s data will be destroyed approximately ninety to one hundred twenty (90 to 120) days following the expiration date of the term. This time period for data destruction is used as often school districts realize the need to renew a lapsed subscription following the start of a school year. Delaying the destruction date enables the re-activation of the Subscriber’s user accounts without losing valuable prior history (i.e. teachers are able to re-use prior assignments and assessments they have created). When a final data destruction date is reached, the data is destroyed by first deleting/purging it from the eDoctrina database and then the data is subsequently removed from nightly backups once the backup retention period is reached. The local retention period is two weeks and the offsite backup retention period is ninety (90) days. If desired, the data may be extracted and provided to the Subscriber in csv or other requested format via a secure file download on a time and materials basis.*

- 4) If and how a parent, student, eligible student, teacher, or principal may challenge the accuracy of the student data or teacher or principal data that is collected;

*Parent [student, eligible student, teacher or principal] may challenge the accuracy of data by submitting their concerns to the Subscriber or the Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany NY 12234, email to [CPO@mail.nysed.gov](mailto:CPO@mail.nysed.gov). If concerns are submitted directly to eDoctrina, eDoctrina will forward the concern to the established eDoctrina Subscriber administrative contact in order to coordinate resolving the concern.*

- 5) Where the student data or teacher or principal data will be stored, described in a manner as to protect data security, and the security protections taken to ensure the data will be protected and data privacy and security risks mitigated;

*eDoctrina is hosted in the Amazon Web Services (AWS) us-east-1 region (Virginia), which complies with and is audited for, numerous regulatory standards. All data is stored in the United States.*

*Access to eDoctrina data is tightly controlled on a need-to-know basis and all users are subject to the regulations and continued education dictated by the Harris Information Security Program. Databases and SQL servers containing sensitive PII are accessible only by authorized administrators and developers using a secure VPN connection.*

*We utilize multiple layers of firewall to tightly control ingress/egress traffic, in addition to leveraging CloudFlare’s industry-leading Enterprise WAF (Web Application Firewall) to sanitize web requests and mitigate DDoS attacks. CrowdStrike Falcon Complete XDR is utilized across all servers and endpoints for*

*Anti-Virus, Malware, Ransomware and AI-driven Anomaly prevention and detection. HES also utilizes CrowdStrike's 24/7 SOCaaS (Security-Operations-Center-as-a-service) offering which provides all the security functions performed by a traditional, in-house SOC, including network monitoring, log management, threat detection and intelligence, incident investigation and response, reporting, and risk and compliance.*

*CIS V8 controls provide the basis for our security framework. The Rapid7 product suite provides automated scanning for routine hardening recommendations for Cloud architecture, applications, and server configuration.*

*eDoctrina is committed to complying with all relevant regulatory standards and will regularly review and update its data management practices ensuring compliance with changing requirements.*

and

- 6) Address how the data will be protected using encryption while in motion and at rest.

*All data in eDoctrina is encrypted in motion and at rest within AWS using an industry standard AES-256 encryption algorithm to ensure data confidentiality and integrity. The eDoctrina application is encrypted in motion via https (SSL/TLS 1.2 or higher) and sensitive data elements are encrypted at the column level within the database. All backups are encrypted at rest and are purged after 90 days.*

*Paper or electronically stored records containing Education Records, Teacher or Principal Data, or End User Confidential Information will be disposed of in a manner that complies with the Corporate Back-Up, Device and Media Controls Policy. All purged PII is securely deleted by AWS to ensure that it cannot be recovered, reconstructed, or accessed by unauthorized parties.*

We agree to abide by the Subscriber's Parents' Bill of Rights for Data Privacy and Security.

Name: Diva Mayeau Date: 1/1/2025

Signature:  \_\_\_\_\_

Company: Harris Education Solutions/eDoctrina Corporation

Product (If different): eDoctrina Educator and Accountability Suites and Add-ons



**HARRIS SCHOOL SOLUTIONS**  
**WRITTEN INFORMATION SECURITY PROGRAM**  
**POLICY(“WISPP”)**

Corporate Officer: Dennis Asbury, Senior Executive Vice President

**REVISION**

Rev	Date	Author	Type	Description	Approval
1.0	06/08/17	Katie Rose	Major	Revised original WISP to reflect FERPA requirements and new Harris Corporate Policies.	Tim Fitzgerald
1.1	02/15/2018	Katie Rose	Minor	Revised DSC & BUR section, miscellaneous document revisions.	Tim Fitzgerald
1.2	11/1/2019	Katie Rose	Minor	Annual Review	Dennis Asbury
1.3	10/13/2020	Katie Rose	Minor	Annual Review Refined description of types of records/data that this policy protects to include Teacher and Principal Data.	Dennis Asbury
1.4	08/19/21	Katie Rose	Minor	Annual Review	Dennis Asbury
1.5	08/02/22	Katie Rose	Minor	Annual Review	Dennis Asbury
1.6	08/23/23	Cynthia Toby	Minor	Annual Review	Dennis Asbury
1.7	10/29/2024	Cynthia Toby	Minor	Annual Review	Dennis Asbury

## **OBJECTIVE**

The objective of Harris School Solutions (“HSS”) in the development and implementation of this comprehensive Written Information Security Program Policy (“WISPP”), is to create effective administrative, technical and physical safeguards for the protection of personally identifiable information (“PII”) of students contained in the records of an educational agency as defined in 34 CRF §99.3 (“Education Records”), PII relating to the annual professional performance reviews of classroom teachers or principals that is confidential and not subject to release under the provisions of New York Education Law §§3012-c and 3012-d (“Teacher and Principal Data”), and the PII and confidential records of customers’ end users, including but not limited to our customers’ employees (“End User Confidential Information”), and to comply with our obligations under the Family Educational Rights and Privacy Act (“FERPA”) at 20 USC 1232g and any applicable state laws or regulations such as New York Education Law Section 2-d and 13 NYCRR Part 121 (the “Regulations”). The WISPP is written to align with industry standard security frameworks and best practices such as the National Institute of Standards and Technology (NIST) and the information security management standards of the International Organization of Standardization (ISO 27001).

The WISPP sets forth our procedure for evaluating and addressing our electronic and physical methods of accessing, collecting, storing, using, transmitting, and protecting Education Records, Teacher or Principal Data, End User Confidential Information. The WISPP is written to align with Corporate Information Security Policies that govern the overall security and privacy of HSS systems and data.

## **PURPOSE**

The purpose of the WISPP is to better: (a) ensure the security and confidentiality of Education Records, Teacher or Principal Data, and End User Confidential Information, (b) protect against any reasonably anticipated threats or hazards to the security, confidentiality or integrity of such information; and (c) protect against unauthorized access to or use of such information in a manner that creates a substantial risk of identity theft, fraud, misuse or invasion of privacy.

## **SCOPE**

In formulating and implementing the WISPP, Harris School Solutions (“HSS”) has addressed and incorporated the following protocols:

- (a) identified reasonably foreseeable internal and external risks to the security, confidentiality, and/or integrity of any electronic, paper, or other records containing Education Records, Teacher or Principal Data, or End User Confidential Information;

- (b) assessed the likelihood and potential damage of these threats, taking into consideration the sensitivity of the Education Records, Teacher or Principal Data, and End User Confidential Information;
- (c) evaluated the sufficiency of existing policies, procedures, information systems, and other safeguards in place to control risks;
- (d) designed and implemented a WISPP that puts administrative, technical and physical safeguards in place to minimize those risks; and
- (e) implemented regular monitoring of the effectiveness of those safeguards.
- (f) HSS will identify any breach of Education Records, Teacher or Principal Data or End User Confidential Information, notify each affected Educational Agency and will cooperate with the affected Educational Agencies and Law Enforcement in the investigation of the breach and notification to affected Parents, Students, Teachers or Principals.

### **DATA SECURITY COORDINATOR & BUSINESS UNIT REPRESENTATIVE**

Harris School Solutions designated Data Security Coordinator is responsible for implementing, supervising and maintaining the WISPP. The Data Security Coordinator (“DSC”) may be an individual and / or may also be comprised of one or more members of the Governance, Risk and Compliance Committee (GRCC) and Corporate IT (“CIT”) staff as appropriate and will work with a designated Business Unit Representative (“BUR”) to carry out the following system and data security responsibilities, as assigned below.

- (a) Implementation of the WISPP including all provisions outlined in the Operational Protocol set forth below.

Responsibility: DSC & BUR

- (b) Training of all employees.

Responsibility: BUR (in conjunction with their Senior Learning Business Partner and oversight by the GRCC).

- (c) Regular assessment of the WISPP’s safeguards that are pertinent to the Business Unit level;

Responsibility: DSC & BUR

- (d) Evaluating the ability of any of our third party service providers to implement and maintain appropriate security measures for the Education Records, Teacher or Principal Data, or End User Confidential Information to which HSS has permitted said third party to access, and requiring such third party service providers by contract to implement and maintain appropriate security measures.

Responsibility: BUR

- (e) Reviewing the scope of the security measures set forth in the WISPP at least annually, or whenever there is a material change in our business practices that may implicate the security, confidentiality or integrity of records containing Education Records, Teacher or Principal Data, or End User Confidential Information.

Responsibility: BUR (providing evidence to DSC as appropriate)

- (f) Conducting an annual training session for all HSS officers, managers, employees, and independent contractors, including any temporary and contract employees who have access to Education Records, Teacher or Principal Data, or End User Confidential Information on the elements of the WISPP, FERPA, New York State Education Law 2-d or other applicable regulations and notifying the GRCC of the completion of such training.

Responsibility:

- DSC in conjunction with the GRCC to identify changes to existing requirements.
- BUR to ensure assignment and completion of training (via Senior Learning Business Partner )

- (g) Tracking of assets assigned to HSS employees in accordance with the Corporate Asset Tracking Policy.

Responsibility: DSC & CIT

## **INTERNAL RISK MITIGATION POLICIES**

To guard against internal risks to the security, confidentiality, and/or integrity of any electronic, paper, or other records containing Education Records, Teacher or Principal Data, or End User Confidential Information, and evaluating and improving, where necessary, the effectiveness of the current safeguards for limiting such risks, the following measures are mandatory and are effective immediately:

- (a) HSS will only collect PII of customers, customers' employees or students (i.e., endusers) where it is necessary to accomplish our legitimate business transactions or

to comply with any and all regulations. See HSS' Privacy Policy and Harris' Corporate Responsible Use of IT Resources Policy.

- (b) Access to records containing Education Records, Teacher or Principal Data, or End User Confidential Information will be limited to those employees whose duties, relevant to their job description, have a legitimate need to access said records, and only for this legitimate job-related purpose. See Harris' Corporate Information Access Management Policy.
- (c) Written and electronic records containing Education Records, Teacher or Principal Data, or End User Confidential Information will be securely destroyed or deleted at the earliest opportunity consistent with business needs or legal retention requirements.
- (d) HSS' Business Records retention and secure destruction periods are set at three (3) years. See Harris' Corporate Back-Up, Device and Media Controls Policy.
- (e) Transmission of Education Records, Teacher or Principal Data, or End User Confidential Information must be kept to a minimum necessary and protected with appropriate safeguards. See Harris' Corporate Transmission Security Policy and Corporate Email Policy.
- (f) A copy of the WISPP is to be distributed to each current Harris HSS employee and to each new employee within 30 days of the date of their employment. Employees should advise their manager or the Data Security Coordinator of any activities or operations which appear to pose risks to the security of Education Records, Teacher or Principal Data, or End User Confidential Information. See Harris' Corporate Information Access Management Policy and Corporate Data Incident Policy.
- (g) An internal HSS training session for all current HSS employees will be held annually to detail the provisions of the WISPP, FERPA, New York State Education Law 2-d and other applicable regulations and as otherwise detailed in this policy. See Harris' Corporate Security Awareness and Training Program Policy.
- (h) Terminated employees must return all records containing Education Records, Teacher or Principal Data, or End User Confidential Information, in any form, in their possession at the time of termination. This includes all data stored on any portable device and any device owned directly by the terminated employee. See Harris' Corporate Information Access Management Policy and the Corporate BackUp, Device and Media Controls Policy.
- (i) A terminated employee's physical and electronic access to records containing Education Records, Teacher or Principal Data, or End User Confidential Information will be disabled at the time of termination. This will include remote electronic access to all HSS' owned or managed systems. All keys, keycards, access devices, badges,

company IDs, and the like will be surrendered at the time of termination. See Harris' Corporate Information Access Management Policy.

- (j) Disciplinary action will be applicable to violations of the WISPP, irrespective of whether PII was accessed or used without authorization.
- (k) All security measures including the WISPP will be reviewed annually to ensure that the policies contained in the WISPP are adequate to meet all applicable regulations and binding contractual obligations relating to data privacy and security.
- (l) Should HSS' business practices change in a way that impacts the collection, storage, and/or transportation of records containing Education Records, Teacher or Principal Data, or End User Confidential Information the WISPP will be reviewed to ensure that the policies contained in the WISPP are adequate to meet all applicable regulations, security best practices, and binding contractual obligations relating to data privacy and security.
- (m) The Data Security Coordinator or his/her designee(s) will be responsible for all review and modifications of the WISPP and will fully consult and apprise management of all reviews including any recommendations that improve security arising from the review.
- (n) Access to Education Records, Teacher or Principal Data, and End User Confidential Information is restricted to approved and active user accounts and in accordance with Harris' Corporate Information Access Management Policy.
- (o) Current employees' user ID's and passwords will conform to accepted security standards. All passwords will be changed at least every 90 days, or more often as needed. See Harris' Corporate Access Control Policy, Corporate Password Policy, and Corporate Responsible Use of IT Resources Policy.
- (p) Employees are required to report suspicious or unauthorized use of or access to Education Records, Teacher or Principal Data, or End User Confidential Information to a supervisor, the Data Security Coordinator or his/her designee(s), Legal Counsel or other members of the GRCC as appropriate. See Harris' Corporate Data Incident Policy.
- (q) Whenever there is an incident that requires notification pursuant to any applicable regulations the appropriate member of the GRCC will host a mandatory post-incident review of events and actions taken, if any, in order to determine how to alter security practices to better safeguard Education Records, Teacher or Principal Data, and End User Confidential Information. See Harris' Corporate Data Incident Policy.

#### **EXTERNAL RISK MITIGATION POLICIES**

All system security software including malicious code protection, internet security including firewall protection, operating system security patches, and applicable software products will be reasonably up-to-date and installed on any HSS computer that stores or processes

Education Records, Teacher or Principal Data, or End User Confidential Information. (Refer to Harris' Corporate Responsible Use of IT Resources Policy, Corporate Network Management Policy, and Corporate Protection from Malicious Software Policy.)

There will be secure user authentication protocols in place that:

- (a) Control user ID and other identifiers;
- (b) Assigns passwords in a manner that conforms to accepted security standards, or applies use of unique identifier technologies;
- (c) Control passwords to ensure that password information is secure.

See Harris' Corporate Access Control Policy, Corporate Password Policy, and Corporate Responsible Use of IT Resources Policy.

Education Records, Teacher or Principal Data, and End User Confidential Information will not be removed from the business premises in electronic or written form absent legitimate business need and use of reasonable security measures, as described in this policy. See Harris' Corporate Responsible Use of IT Resources Policy and the Corporate Back-Up, Device and Media Controls Policy.

### **OPERATIONAL PROTOCOL**

The Operational Protocol will be reviewed and modified as deemed necessary at a meeting of the Data Security Coordinator, the GRCC, the corresponding BU Representative(s) and any other personnel responsible for the security of Education Records, Teacher or Principal Data, and End User Confidential Information. The review meeting will take place at least annually. Any modifications to the Operational Protocol will be published in an updated version of the WISPP. At the time of publication, a copy of the WISPP will be distributed to all current HSS employees and to new hires on their date of employment.

#### **1. Recordkeeping Protocol:**

HSS will only collect Education Records, Teacher or Principal Data, or End User Confidential Information that is necessary to accomplish HSS' legitimate business transactions or to comply with applicable regulations. (See HSS' Privacy Policy and Harris' Corporate Information Access Management Policy.)

HSS will not use PII contained in Education Records, Teacher or Principal Data or End User Confidential Information for any purpose not authorized by contract or required by law. HSS will not further disclose PII without authorization except to subcontractors following the protocols set forth below or as permitted by law. HSS will not sell PII or use it for purposes of receiving remuneration, whether directly or indirectly, for advertising purposes, or to develop, improve or market products or services to students.

On an annual basis or as required, the BUR with the assistance of the Data Security Coordinator or his/her designee(s) as needed, will perform an audit of all relevant HSS records to determine which records contain Education Records, Teacher or Principal Data, or End User Confidential Information, assign those files to the appropriate secured storage location, and will redact, expunge or otherwise eliminate all unnecessary Education Records, Teacher or Principal Data, or End User Confidential Information in a manner consistent with the WISPP.

Education Records and Teacher or Principal Data will be returned to the Educational Agency as mutually agreed, transitioned to a successor contractor and/or deleted or destroyed upon expiration or termination of the contract or other written agreement with the Educational Agency. Any Education Records, Teacher or Principal Data, or End User Confidential Information stored will be disposed of when no longer needed for business purposes or required by law. Disposal methods must be consistent with those prescribed by the WISPP.

Any paper files containing Education Records, Teacher or Principal Data, or End User Confidential Information will be stored in a locked filing cabinet or room at the end of each day.

All employees are prohibited from keeping unsecured paper files containing Education Records, Teacher or Principal Data, or End User Confidential Information in their work area when they are not present (e.g., lunch breaks). Refer to the Corporate Workstation Use and Security Policy.

Paper or electronically stored records containing Education Records, Teacher or Principal Data, or End User Confidential Information will be disposed of in a manner that complies with the Corporate Back-Up, Device and Media Controls Policy, which may include the following (which services may be provided by a third party specializing in such procedures):

- (a) paper documents containing Education Records, Teacher or Principal Data, or End User Confidential Information will be either redacted, burned, pulverized or shredded so that PII cannot practicably be read or reconstructed;
- (b) electronic media and other non-paper media containing Education Records, Teacher or Principal Data, or End User Confidential Information will be destroyed or erased so that the PII cannot practicably be read or reconstructed.

Electronic records containing Education Records, Teacher or Principal Data, or End User Confidential Information will be encrypted when stored or transported on any portable electronic device, sent, or transmitted electronically to any portable device or any computing device. (Also refer to Harris' Corporate Transmission Security Policy, Corporate Remote Access Policy and Corporate Email Policy.)

If necessary for the functioning of individual Business Units, the Business Unit Executive Vice President, in consultation with the Data Security Coordinator or his/her designee(s) and the GRCC, may develop specific rules for that Business Unit that ensure reasonable

restrictions upon access and handling of files containing Education Records, Teacher or Principal Data, or End User Confidential Information and must comply with all WISPP standards. Business Unit rules are to be published as an addendum to the WISPP. (Refer to Harris' Corporate Portable Computing Devices Policy.)

## 2. Access Control Protocol:

All HSS computers will restrict user access to those employees having an authorized and unique log-in ID. (Refer to Harris' Corporate Access Control Policy, Facility Access Controls Policy and Corporate Password Policy.)

All visitors who are expected to access areas other than common space or are granted access to office space containing Education Records, Teacher or Principal Data, or End User Confidential Information will be required to sign-in and/or accompanied by an authorized employee.

All visitors are restricted from areas where files containing Education Records, Teacher or Principal Data, or End User Confidential Information are stored unless escorted or accompanied by an approved. (Refer to Harris' Corporate Facility Access Controls Policy)

All systems with an internet connection or any HSS computing device that stores or processes Education Records, Teacher or Principal Data, or End User Confidential Information must have a reasonably up-to-date version of malicious code protection software installed and active at all times, up-to-date security patches and appropriate restrictions applied to prevent unauthorized access. (Refer to the Corporate Network Access Control Policy)

## 3. Third Party Service Provider Protocol:

Any HSS service provider or individual that receives, stores, maintains, processes, or otherwise is permitted access to any file containing Education Records, Teacher or Principal Data, or End User Confidential Information ("Third Party Service Provider") will be required to meet the following standards (where such Third Party Service Providers will include third parties who provide off-site backup storage copies of all HSS electronic data; paper record copying or storage service providers; contractors or vendors working with HSS' customers and having authorized access to HSS records):

- (a) Any contract with the third Party Service Provider will require the Third Party Service Provider to implement security standards consistent with the security protocols defined in this WISPP.
- (b) It will be the responsibility of HSS to obtain reasonable confirmation that the Third Party Service Provider is capable of meeting security standards consistent with this WISPP. (See HSS' Privacy Policy and the Corporate Vendor Assurance and Risk Review Policy.)

## **BREACH OF DATA SECURITY PROTOCOL**

Should any employee know of a security breach at any of HSS' facilities, or that any unencrypted Education Record, Teacher or Principal Data, or End User Confidential

Information has been lost, stolen or accessed without authorization, or that encrypted Education Records, Teacher or Principal Data, or End User Confidential Information along with the access code or security key has been acquired by an unauthorized person or for an unauthorized purpose (“Security Incident”), the following protocol is to be followed.

- (a) Employees are to notify their manager, Business Unit Representative, Vice Presidents (VP) or Executive Vice President who must then report any such known or suspected Security Incident to the HSS’ Data Security Coordinator, Privacy Officer, Legal Counsel and Chief Information Officer.
- (b) The Data Security Coordinator or his/her designee(s) in conjunction with the GRCC and the BUR will be responsible for providing a security breach notification that has been approved by Executive Management to each affected Educational Agency within 7 calendar days of discovery of the Security Incident unless a longer notice period is allowed by applicable law and contract. The security breach notification will include the following:
  - (1) A detailed description of the nature and circumstances of the Security Incident;
  - (2) The number of applicable persons affected at the time the notification is submitted;
  - (3) The steps already taken relative to the incident;
  - (4) Any steps intended to be taken relative to the incident subsequent to the filing of the notification; and
  - (5) Information regarding whether law enforcement officials are engaged in investigating the incident.

(See Harris’ Corporate Data Incident Policy and Harris Security Incident Response Plan.)

- (c) HSS will cooperate with each Educational Agency affected by a Security Incident and law enforcement officials to protect the integrity of investigations of a Security Incident.

[Click here to access all the referenced Corporate Information Security Policies.](#)

## **DEFINITIONS**

“**Business Records**” means records created or received in the course of the Company’s business that are needed for business and/or legal purposes, such as records that document a businessrelated event or activity; demonstrate a business transaction; identify individuals who participated in a business activity; support facts of a business-related event, activity, or transaction; or are needed for other legal, business, or compliance reasons, such as if relevant to a pending or anticipated lawsuit or audit.

“**Educational Agency**” means a school district, board of cooperative educational services, school, or the education department.



**“Law Enforcement Agency”** means an agency of the United States, a State, or a political subdivision of a State, authorized by law or by a government agency to engage in or supervise the prevention, detection, investigation, or prosecution of any violation of criminal law.

# Castle\_eDoc\_Tango\_Jamesville-Dewitt\_NY\_11S tate\_VendorSigned

Final Audit Report

2025-06-23

Created:	2025-06-20
By:	Ramah Hawley (rhawley@tec-coop.org)
Status:	Signed
Transaction ID:	CBJCHBCAABAAK2EWf0XQUSNrbalkQFTPvWGXCSc08Sdv

## "Castle\_eDoc\_Tango\_Jamesville-Dewitt\_NY\_11State\_VendorSigned" History

-  Document created by Ramah Hawley (rhawley@tec-coop.org)  
2025-06-20 - 4:50:44 PM GMT
-  Document emailed to Brian Bartlett (bbartlett@jd.cnyric.org) for signature  
2025-06-20 - 4:50:55 PM GMT
-  Email viewed by Brian Bartlett (bbartlett@jd.cnyric.org)  
2025-06-23 - 2:51:29 PM GMT
-  Document e-signed by Brian Bartlett (bbartlett@jd.cnyric.org)  
Signature Date: 2025-06-23 - 3:43:37 PM GMT - Time Source: server
-  Agreement completed.  
2025-06-23 - 3:43:37 PM GMT

**EXHIBIT "E"**

**GENERAL OFFER OF PRIVACY TERMS**

**1. Offer of Terms**

Provider offers the same privacy protections found in this DPA between it and **Jamesville-Dewitt Central School District** ("Originating LEA") which is dated 01/07/25, to any other LEA ("Subscribing LEA") who accepts this General Offer of Privacy Terms ("General Offer") through its signature below. This General Offer shall extend only to privacy protections, and Provider's signature shall not necessarily bind Provider to other terms, such as price, term, or schedule of services, or to any other provision not addressed in this DPA. The Provider and the Subscribing LEA may also agree to change the data provided by Subscribing LEA to the Provider to suit the unique needs of the Subscribing LEA. The Provider may withdraw the General Offer in the event of: (1) a material change in the applicable privacy statutes; (2) a material change in the services and products listed in the originating Service Agreement; or (3) three years after the date of Provider's signature to this Form. Subscribing LEAs should send the signed **Exhibit "E"** to Provider at the **following email address:**  
dmayeau@harriscomputer.com

**Harris Education Solutions**

BY:  Date: 12/10/24  
Printed Name: Divya Mayeau Title/Position: Executive Vice President

**2. Subscribing LEA**

A Subscribing LEA, by signing a separate Service Agreement with Provider, and by its signature below, accepts the General Offer of Privacy Terms. The Subscribing LEA and the Provider shall therefore be bound by the same terms of this DPA for the term of the DPA between the **Jamesville-Dewitt Central School District** and the Provider. **\*\*PRIOR TO ITS EFFECTIVENESS, SUBSCRIBING LEA MUST DELIVER NOTICE OF ACCEPTANCE TO PROVIDER PURSUANT TO ARTICLE VII, SECTION 5. \*\***

By: Andrea Galenski Date: 2025-05-01  
Printed Name: Andrea Galenski Title: Superintendent of Schools

SCHOOL DISTRICT NAME: Cheektowaga-Sloan Union Free School District  
DESIGNATED REPRESENTATIVE OF LEA:  
Name: Brian Zybala  
Title: Director of Data and Technology  
Address: 166 Halstead Ave, Sloan, NY 14212  
Phone: 716-897-7888  
Email: bzybala@cheektowagasloan.org

District Policy URL: [https://sdpc.a4l.org/ny\\_dp\\_bor\\_url.php?districtID=13038](https://sdpc.a4l.org/ny_dp_bor_url.php?districtID=13038)

Bill of Rights URL: [https://sdpc.a4l.org/ny\\_dp\\_bor\\_url.php?districtID=13038](https://sdpc.a4l.org/ny_dp_bor_url.php?districtID=13038)