RRM Ratwik, Roszak & Maloney, P.A.

TITLE IX COMPLIANCE TRAINING

Practical Solutions for a Complex Process

Jennifer K. Earley
Laura Tubbs Booth
Christian R. Shafer




WELCOME!

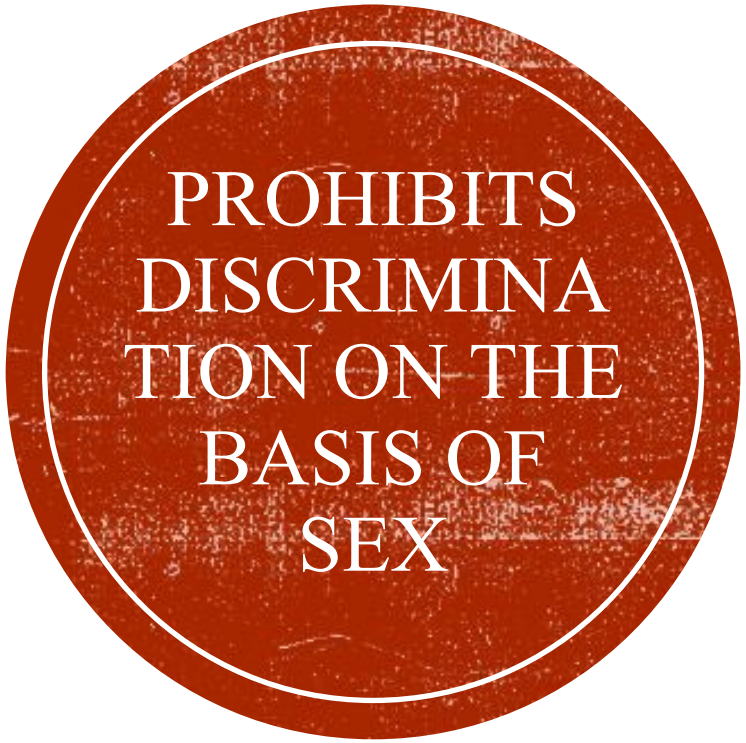


TITLE IX

What do you think of when you think about Title IX?

TITLE IX PROVIDES….

"[n]o person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any education program or activity receiving Federal financial assistance." 20 U.S.C. § 1681(a).



- Discrimination includes harassment
- Requires equity in treatment of complainant/respondent

NEW REGS EFFECTIVE 8/14/2020



USDOE ISSUED NEW REGULATIONS IMPLEMENTING TITLE IX



NEW DEFINITIONS



NEW INVESTIGATION REQUIREMENTS

GENERAL RESPONSIBILITIES

For Public Elementary/Secondary Schools



SCHOOLS MUST:

1. Have a policy
2. Identify Title IX Coordinator
3. Offer Supportive Measure
4. Have a grievance process

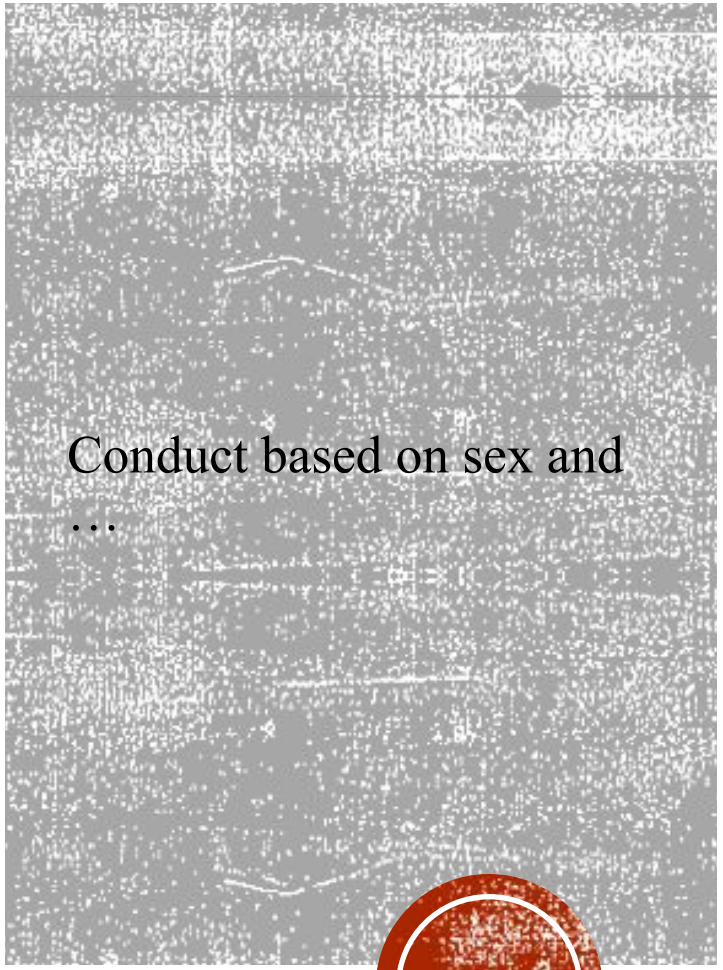
RESPONSE TO SEX HARASSMENT

- A recipient with actual knowledge of sexual harassment in an education program or activity of the recipient against a person in the United States, must respond promptly in a manner that is not deliberately indifferent..



of Federal Funds

SEX HARRASSMENT IS…



SEX HARASSMENT IS…

Conduct based on sex :

1. And a school employee conditions receipt of a benefit on participating in unwelcome sexual conduct or

2. Unwelcome conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the school's education program or activity; or

3. Sexual assault, dating violence, domestic violence, or stalking, as those terms are defined by federal law.

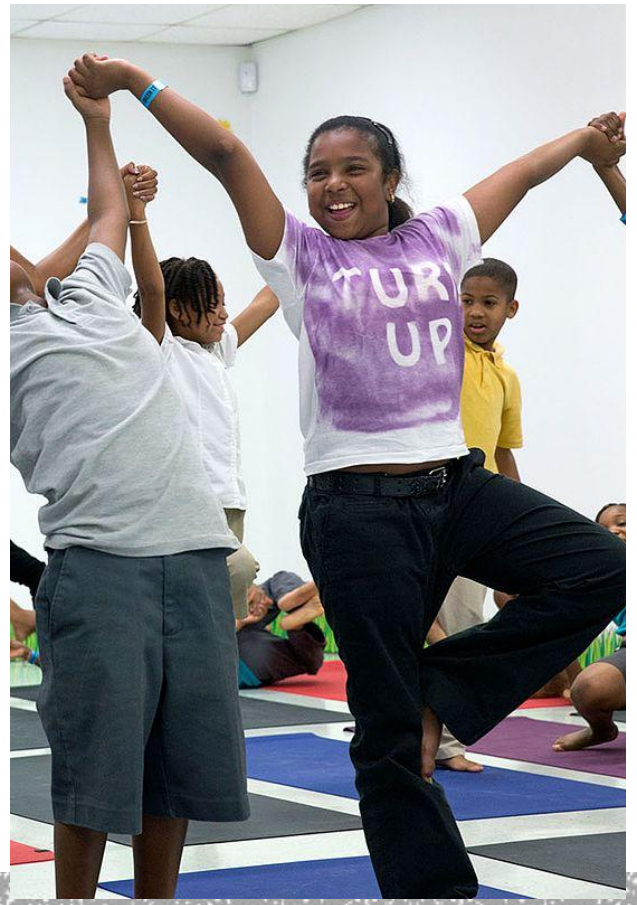


ACTUAL KNOWL EDGE

- If an employee of the school knows of sex harassment or
- Allegations of sex harassment the district has “actual knowledge”









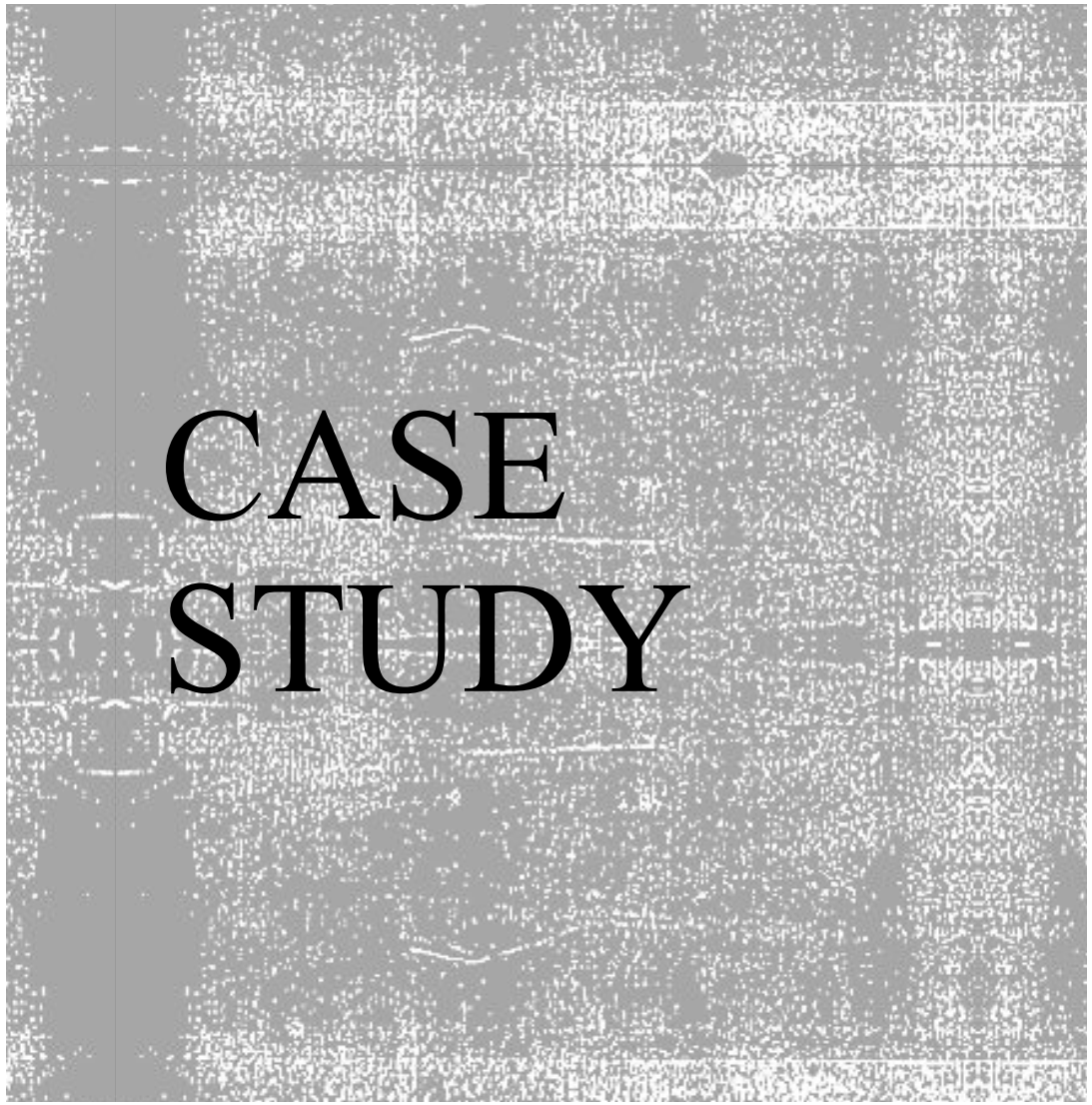
EDUCATION PROGRAM OR ACTIVITY



PERSON IN THE UNITED STATES

RESPONSE: NOT DELIBERATELY INDIFFERENT

- *Davis v. Monroe County Bd. of Ed.*



- LaShonda was a fifth grade student
- Reports to parents & teacher three incidents during school by a classmate named Kim
- Mom speaks to teacher
- Eventually parents speak to principal

CASE STUDY CONTINUED

. . .

Teacher assures parents that she has spoken with principal

LaShonda reports to physical education teacher when Kim put a door stop in pants and motions toward LaShonda

P.E. teacher reports to principal

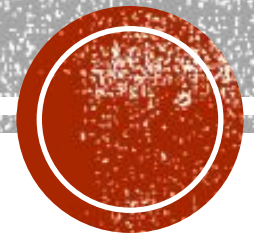
Another student asks to speak to principal but is denied

LaShonda's grades plummet, does not want to attend school, suicide note.

* *Davis v. Monroe Cty. Bd of Education,* 526 U.S. 629 (1999)

DELIBERATELY INDIFFERENT

School is deliberately indifferent if it acted unreasonably in light of the known circumstances



FORMAL COMPLAINT

Previously, a formal complaint was not required

Now a “formal complaint” is required and means:

The Complainant files a written complaint or	The Title IX Coordinator files a written complaint	No third party complaints

REPORT VS. FORMAL COMPLAINT

Report

- Actual knowledge by school employee of harassment or
- Actual knowledge by employee of report or
- Complainant reports to Title IX Coordinator but no formal report

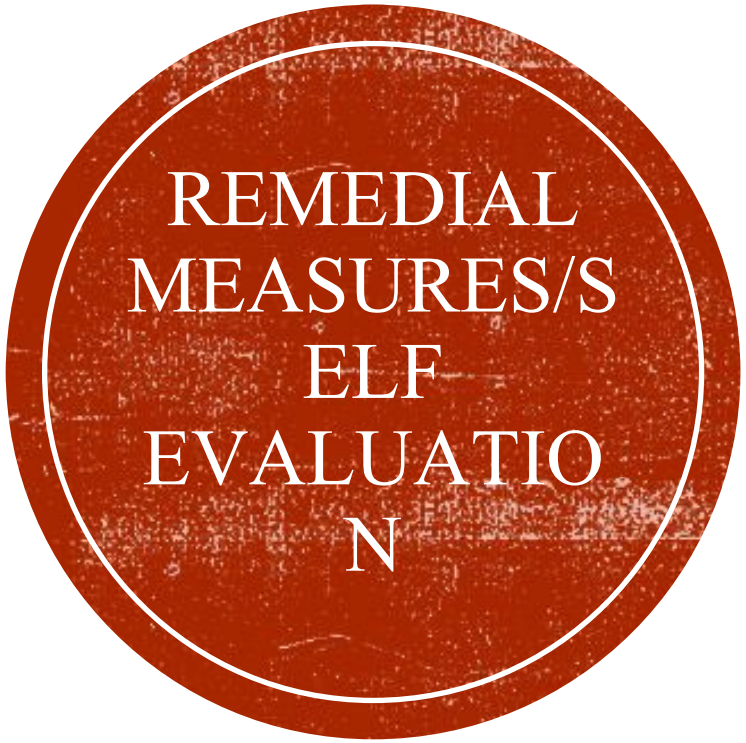
Formal Complaint

- By the complainant in writing or
- By the Title IX Coordinator

SUPPORTIVE MEASURES



School must offer the complainant supportive measures regardless of formal complaint



- If the USDOE determines a school engaged in discrimination, school can be ordered to take remedial action
- Schools should review the policy and MEASURE whether its being equitably enforced on an annual basis

ROLES

New regulations require that each school district have:

- Title IX Coordinator
- Investigator
- decision-maker
- Appeal Decision-Maker

TITLE IX COORDINATOR

- Understands law and policy – trained
- Can also be investigator
- May facilitate informal resolution
- MAY NOT be decision maker or appeal decision maker
- Responsible for coordination of grievance process & implementation of remedies

INVESTIGATOR

- Understands law and policy-trained to investigate
- May not be biased or have conflict of interest
- Must summarize findings in objective way
- May make recommendation but not required

DECISION-MAKER

- Understands law and policy – trained
- May not be biased or have conflict of interest
- Must issue written report in compliance with requirements
- May not be Title IX investigator and may not decide appeal

APPEAL DECISION-MAKER

- Must allow for written statement
- Be unbiased and have no conflict of interest
- May not be Title IX Coordinator or Decision-Maker



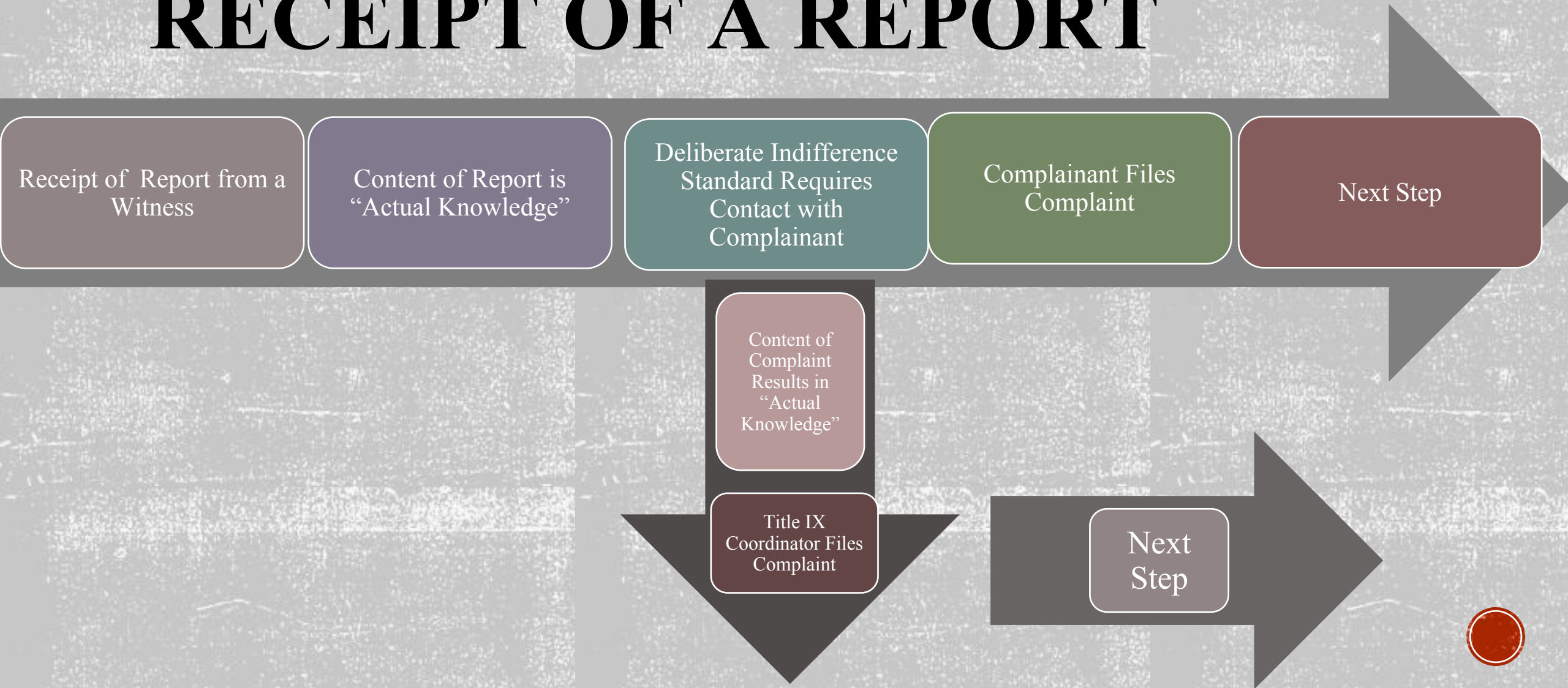
STEP ONE
ROLE OF THE TITLE IX COORDINATOR

ACTIONS TO TAKE UPON RECEIPT OF A COMPLAINT

Receipt of a "Formal Complaint" from LaShonda/Parents

Next Step

ACTIONS TO TAKE UPON RECEIPT OF A REPORT



MANDATORY DISMISSAL

A formal complaint ***must*** immediately be dismissed if:

a. The conduct alleged in the formal complaint, even if proven, does not meet the definition of sexual harassment set by these regulations;

b. The conduct alleged in the formal complaint did not occur in the school or school district's education program or activity; or

c. The conduct alleged did not occur against a person in the United States.

PERMISSIVE DISMISSAL

A formal complaint ***may*** be dismissed if:

a. The complainant notifies the Title IX Coordinator, in writing, that they would like to withdraw the complaint;

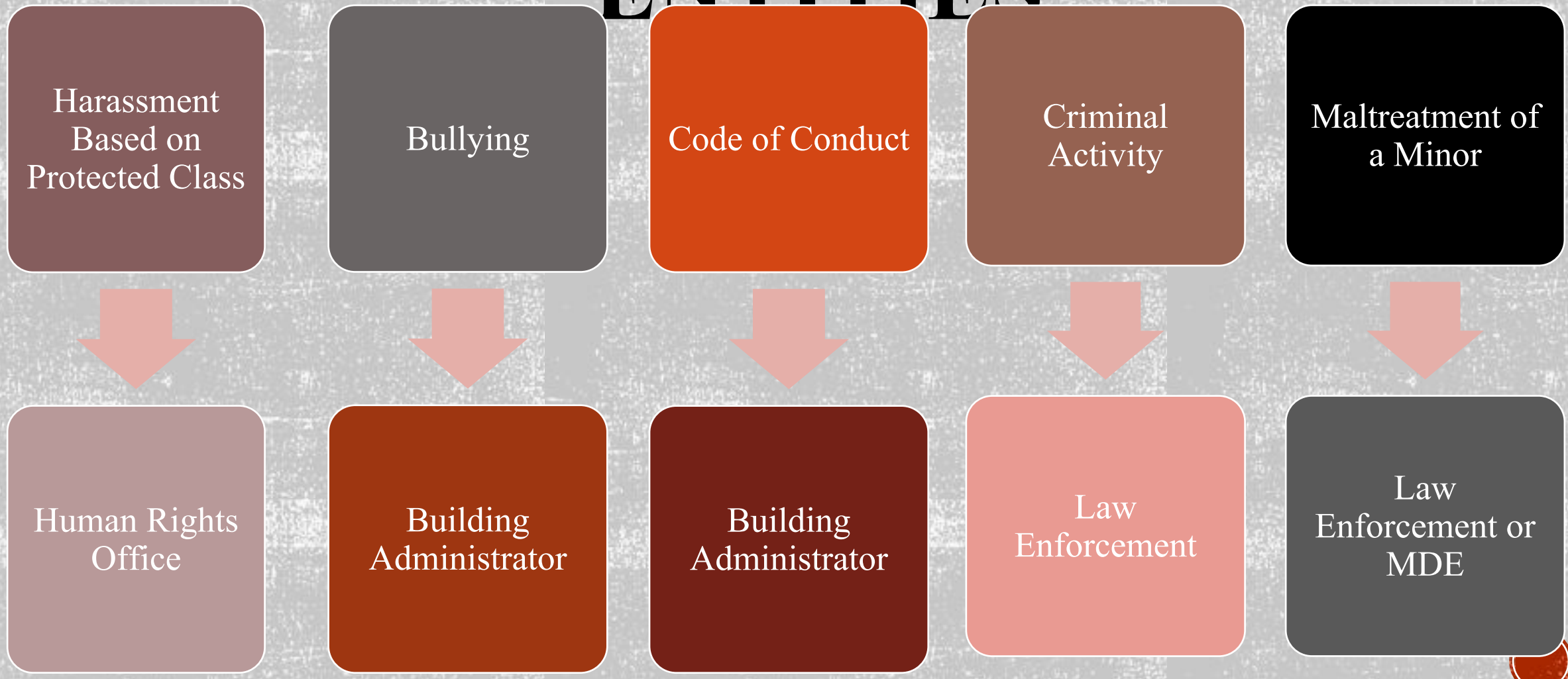
b. The respondent is no longer enrolled at or employed by the school; or

c. Specific circumstances prevent the school or school district from gathering evidence sufficient to reach a determination as to the complaint.



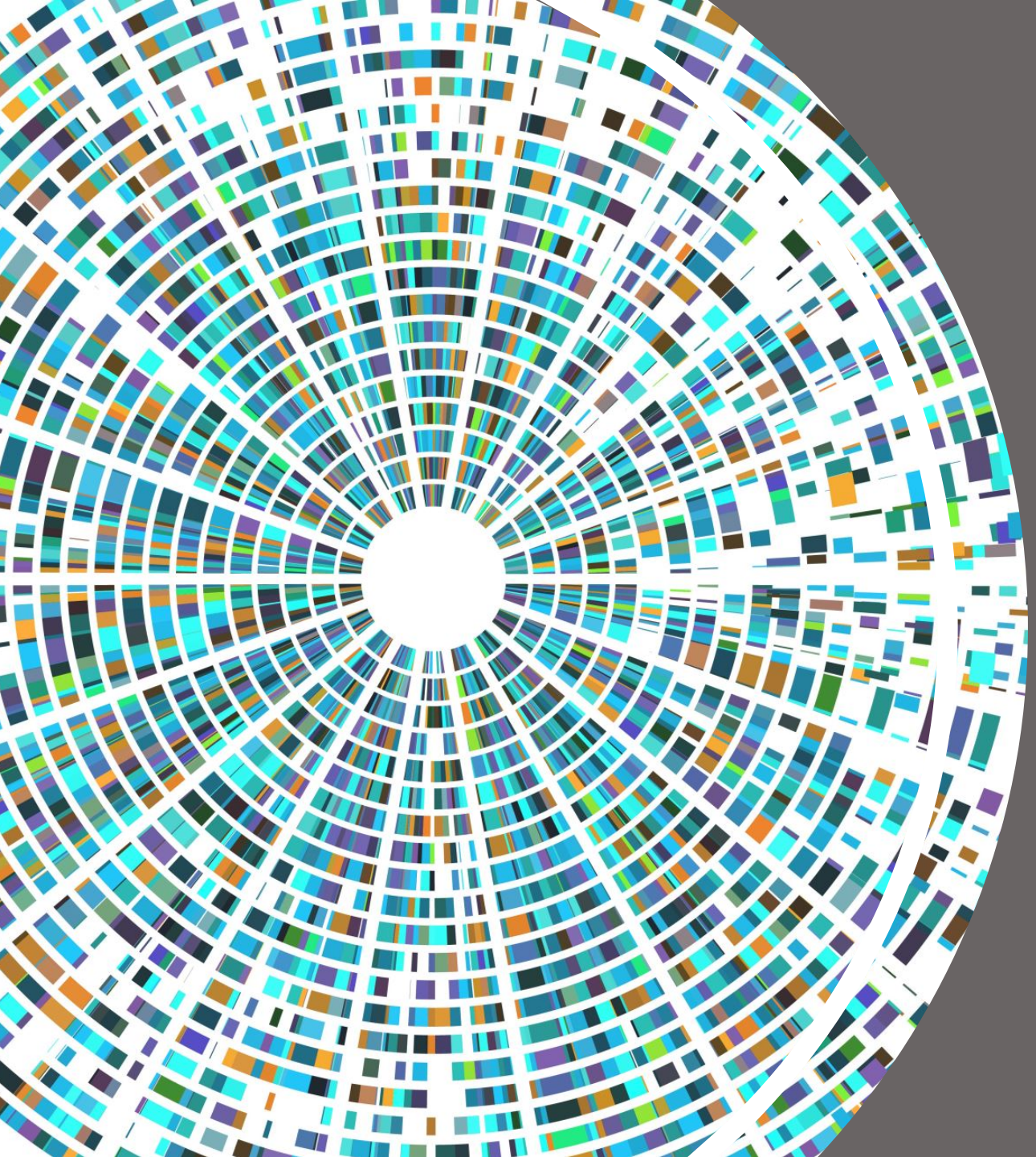
RESPONSIBILITIES WHEN DISMISSING A COMPLAINT

REFERRAL OF COMPLAINT TO OTHER STAFF OR ENTITIES





1. Grievance/Informal Resolution Process;
2. Allegations;
3. Presumption Respondent Is Not Responsible;
4. Right to an Advisor; and
5. Code of Conduct Prohibits False Statements or Information.



INTERIM SUPPORT MEASURES

Supportive measures, offered equally to both parties, are non-disciplinary measures including:

- Counseling
- Modification of deadlines/other adjustments
- Modifications of work or class schedules
- Campus escort services
- Mutual restrictions on contact between parties
- Changes in work or school locations
- Leaves of absence
- Increased security and monitoring

INTERIM EMERGENCY REMOVAL OF STUDENT RESPONDENTS

- Mandatory individualized safety and risk analysis
- Immediate threat arising from the allegations of sexual harassment
- The threat must relate to the physical health or safety of any individual
- Requires notice to the respondent and an opportunity for challenge immediately following removal
- The respondent bears the burden of proving the removal decision was incorrect
- Not applicable to offenses outside Title IX



INTERIM EMERGENCY REMOVAL OF EMPLOYEES

- Non-Applicability of Title IX Procedures
- Factors to Consider
- Possible Directives to Employees



INFORMAL RESOLUTION

- Timing
- Notice
- Non-Applicability to Employees



STEP TWO
ROLE OF THE TITLE IX INVESTIGATOR



NEW TITLE IX REQUIREMENTS FOR INVESTIGATIONS


INDEPENDENT
INVESTIGATION



THE SCHOOL OR DISTRICT BEARS THE BURDEN OF PROOF, NOT EITHER PARTY

SPECIFIC TYPES OF INFORMATION AND DATA CONCERNS IN A TITLE IX INVESTIGATION



Consideration of privileged information



Review of external medical records



Interplay between data privacy laws and Title IX

PRACTICAL CONSIDERATIONS

- Consolidation of multiple complaints
- All parties must be given an equal opportunity to present witnesses





REQUIRED NOTICES AND OPPORTUNITY TO REVIEW EVIDENCE

INVESTIGATION REPORTS




CONDITIONAL
APPLICATION

INITIAL CONSIDERATIONS: EXTERNAL REPORTS



- Remember to make any mandated reports under the Maltreatment of Minors Act
- Potential referrals to law enforcement



A BRIEF DATA PRACTICES INTERLUDE


8
9
10
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18
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22
25
PLAN
AHEAD

LASHONDA'S CLAIMS: WHO MIGHT THE INVESTIGATOR WANT TO INTERVIEW?




DISTRACTIONS


ACT NOW

INTERVIEW BASICS





REQUIRED INTERVIEW NOTICE

OPENING REMARKS



Explaining

Explaining the purpose of the interview



Explaining

Explaining the investigation process and your role as investigator

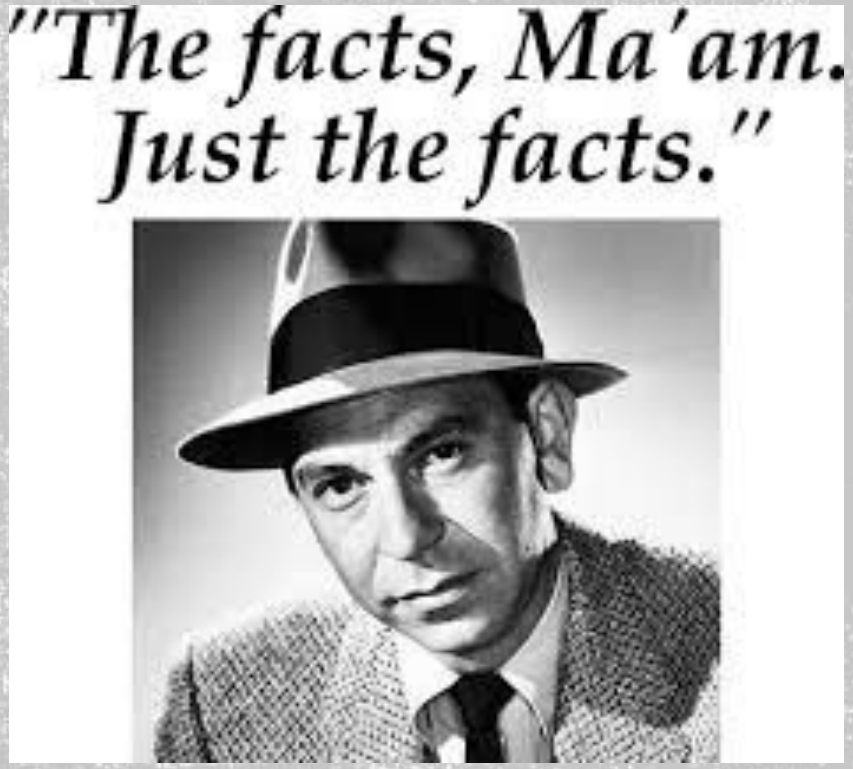
Explaining the *Tennessen* Warning


NOT CONFIDENTIAL


ASK
THE RIGHT
QUESTIONS

DO NOT GUARANT EE RESULTS





INTERVIEWING THE COMPLAINANT AND FACT WITNESSES



INTERVIEWING THE COMPLAINANT AND WITNESSES

- Short, open-ended questions

THE INVESTIGATOR MIGHT HAVE TO DEFEND THE INTERVIEW QUESTIONS…SO…



…WATCH OUT FOR BIASES!





SOME SPECIFIC TIPS FOR INTERVIEWING WITNESSES AND COMPLAINANTS



FOR INTERVIEWING THE RESPONDENT

WATCH OUT FOR BIAS IN THE COMPLAINANT INTERVIEW



OPENING REMARKS

- Explaining the role of the investigator as a neutral factfinder
- Administering *Tennessen* or *Garrity* Warnings
- Establishing ground rules
- Provision of similar initial statements that were provided to the Complainant



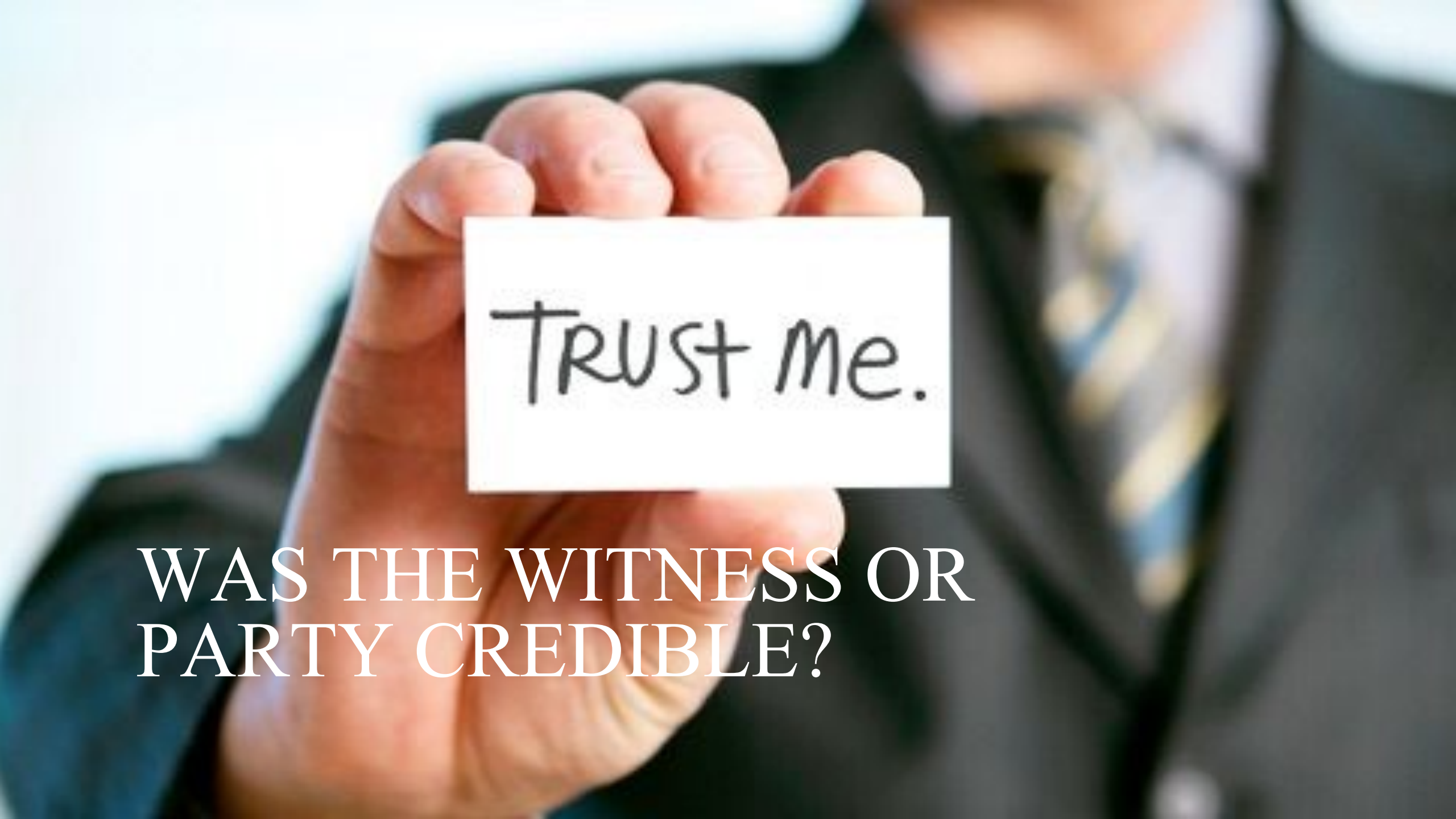
WHAT IF THE COMPLAINANT REFUSES TO ANSWER?



SPECIFIC STRATEGIES FOR INTERVIEWING THE RESPONDENT



BACK TO LASHONDA: WHAT KINDS OF QUESTIONS MIGHT THE INVESTIGATOR ASK?



WAS THE WITNESS OR PARTY CREDIBLE?


Computer evidence


SURVEILLANCE
FOOTAGE

SOCIAL MEDIA IN INVESTIGATIONS



IS THERE ANY TYPE OF ELECTRONIC EVIDENCE THAT THE INVESTIGATOR MIGHT CONSIDER IN THE LASHONDA INVESTIGATION?


COORDINATING ANY
INVESTIGATION WITH LAW
ENFORCEMENT



INVESTIGATION CONCLUSIONS MUST BE BASED ON RELEVANT EVIDENCE

WRITTEN INVESTIGATION REPORTS

- Time frame for completing investigation reports
- Time frame for providing investigation reports to parties
- An investigation must “fairly summarize” the relevant evidence
- The investigator does not decide if harassment occured



STRATEGIES FOR WRITING AN INVESTIGATIO N REPORT



AVOIDING CONFLICTS OF INTEREST





ONE MORE WORD ABOUT BIASES



STEP THREE
ROLE OF THE TITLE IX DECISION MAKER

STANDARD OF REVIEW

Preponderance of the Evidence

"Preponderance of the evidence" means that the respondent will be found responsible only if it is more likely than not that he or she engaged in the conduct constituting sexual harassment.



Clear and Convincing Evidence

"Clear and convincing evidence" means that the respondent will be found responsible only if it is highly more likely to be true that the respondent engaged in the conduct constituting sexual harassment.



PROCEDURES REQUIRED PRIOR TO A DECISION

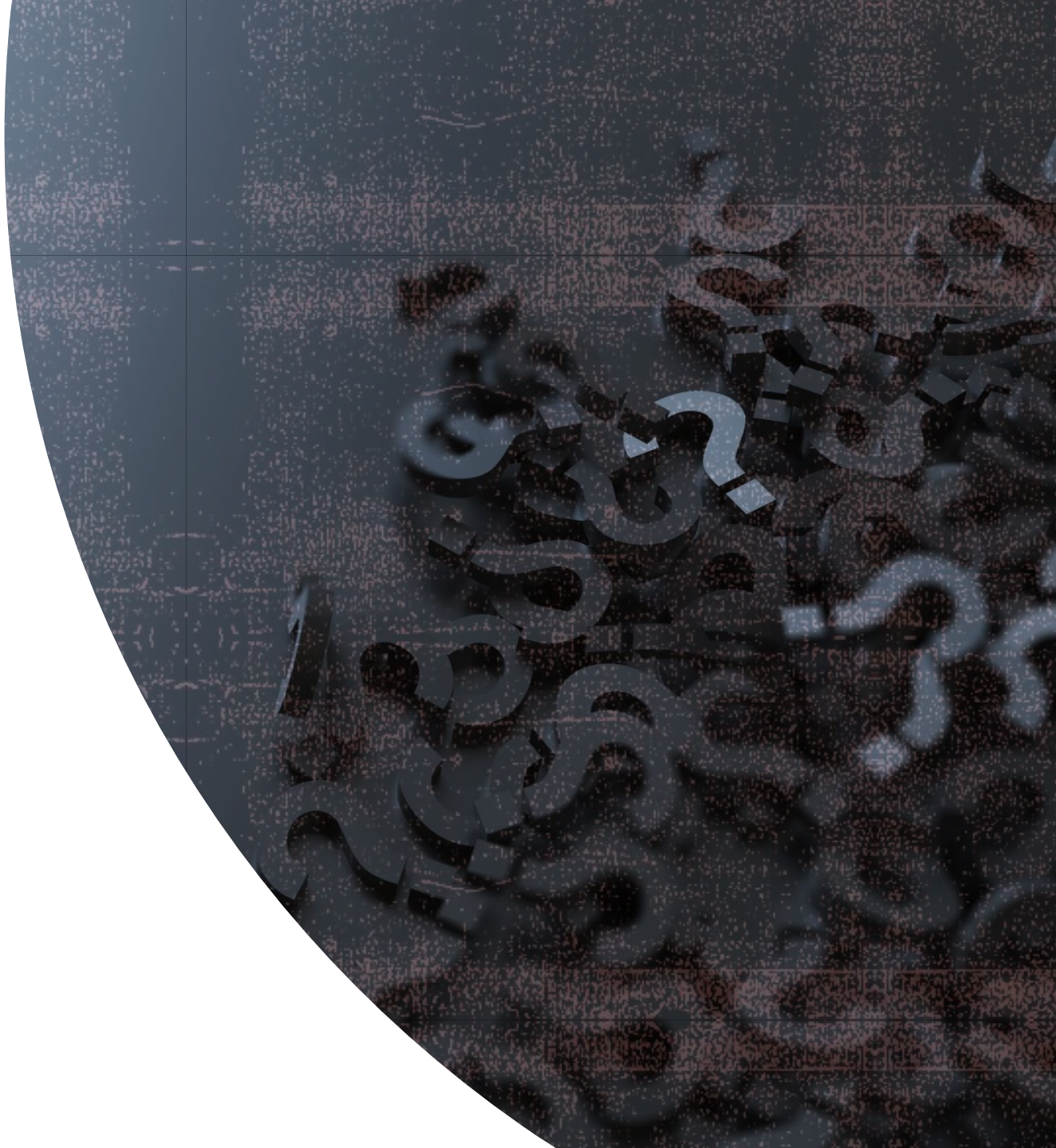
- Opportunity for Parties to Respond to the Report
- Notification of the Right to a Hearing and/or Written Questions

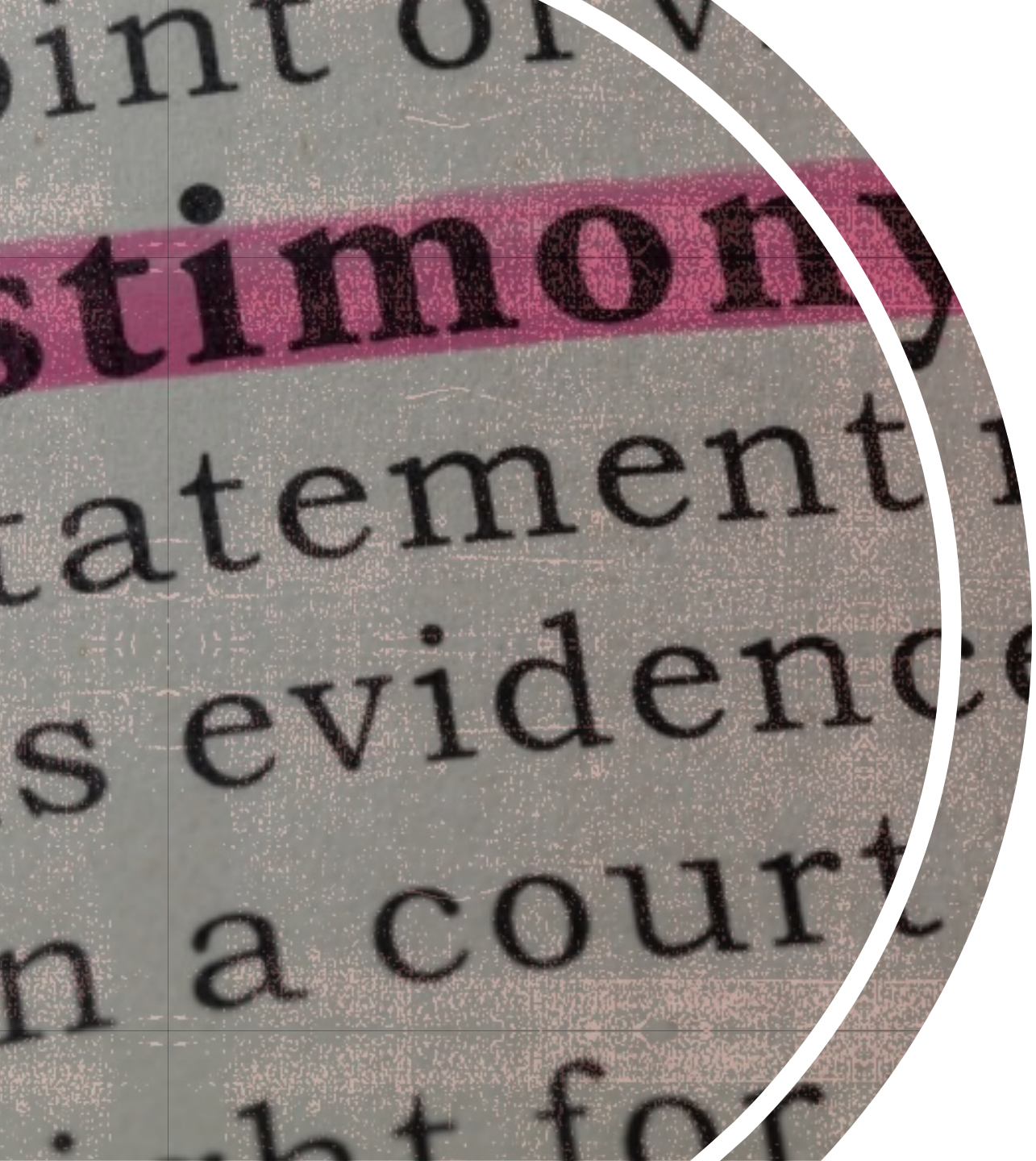
SUBMISSION OF WRITTEN QUESTIONS

All schools must allow for an exchange of written relevant questions, regardless of whether a live hearing is also offered.

RELEVANCY

“Relevant evidence" means evidence having any tendency to make the existence of any fact more probable or less probable than it would be without the evidence.



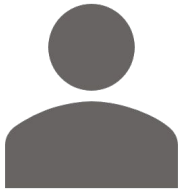


WHAT EVIDENCE MAY BE APPROPRIATE TO EXCLUDE OR ALLOW IN LASHONDA'S CASE?

LIVE HEARINGS



Recording



Appointment of Advisor



Questioning



THE DETERMINATION

- Identification of the allegations
- Description of the procedural steps taken
- Findings of fact
- Conclusions applying the code of conduct/policy
- Assignment of responsibility, rationale, sanctions and remedies
- The procedure for appealing the determination of responsibility



STEP FOUR
IMPLEMENTATION OF THE DECISION AND THE APPEALS PROCESS (ROLE OF THE APPELLATE DECISION-MAKER)

POTENTIAL REMEDIES

May include but are not limited to:

- Education
- Continued supportive measures
- Discipline
- Restorative Justice



APPEAL

- Either party may appeal:
  - Determination of Responsibility or
  - Dismissal

APPEAL ON THE BASIS OF…

- Procedural irregularity that impacted outcome
- New evidence not available at the time of investigation that could impact outcome
- Conflict of Interest or Bias on part of investigator, Title IX Coordinator

SCHOOL MUST….

- Ensure appeal decision maker is not the investigator or Title IX Coordinator
  - And employs same standards as decision maker
- Provide notice to both parties
- Ensure each party has reasonable opportunity to submit written statement
- Issue a written decision


Questions