BID FORM

NAME OF BIDDER:SmartRise Elevator Service inc

The undersigned, hereby declare that we have carefully examined the location of the proposed Work, and have read and examined the Contract Documents, including all plans, specifications, and all addenda, if any, for the following Project:

Bid # 131-24 Costa Mesa High School Elevator Renovation

	BID PRICE + ALLOWANCE (IN WRITTEN FORM)	BID PRICE + ALLOWANCE (IN NUMBERS)
TOTAL BID PRICE	Two Hundred Twenty Eight Thousand -Eight Hundred Sixty One and 00/100 Dollars	$228,861.00

In case of discrepancy between the written price and the numerical price, the written price shall prevail.

The undersigned agrees that this Bid Form constitutes a firm offer to the District which cannot be withdrawn for the number of calendar days indicated in the Notice Inviting Bids from and after the bid opening, or until a Contract for the Work is fully executed by the District and a third party, whichever is earlier.

If the Contract Documents specify alternate bid items, the following Alternate Bid amounts shall be added to or deducted from the Total Bid Price entered above (please check the appropriate box), at the District's sole option. The District can choose to include one or more of the Alternate Bids in the Project. If any of the Alternate Bids are selected by the District, the resulting amount shall be added to or deducted from Total Bid Price for the Project. The District can award/select Alternate Bid items at any time(s).

ALTERNATE BIDS	BID PRICE (IN WRITTEN FORM)	BID PRICE (IN NUMBERS)
Plunger Unit Replacement	Forty Five Thousand -Three Hundred -Twenty Five & 00/100	$45,325.00
ALTERNATE #1 ☒Add ☐ Deduct	ten Thousand -Five Hundred 00/100	$10,500.00

BID SCHEDULE

The successful bidder hereby agrees to sign the contract and furnish the necessary bonds and certificates of insurance within ten (10) working days after the District provides the successful bidder with the Notice of Award.

Upon receipt of the signed contract and other required documents, the contract will be executed by the District, after which the District will prepare a letter giving Contractor Notice to Proceed. The official starting date shall be the date of the Notice to Proceed, unless otherwise specified. The undersigned agrees to begin the Work within ten (10) working days of the date of the Notice to Proceed, unless otherwise specified.

The undersigned has examined the location of the proposed work and is familiar with the Drawings and Specifications and the local conditions at the place where work is to be done.

If awarded the contract, the undersigned agrees that there shall be paid by the undersigned and by all subcontractors to all laborers, workers and mechanics employed in the execution of such contract no less than the prevailing wage rate within Orange County for each craft, classification, or type of worker needed to complete the Work contemplated by this contract as established by the Director of the Department of Industrial Relations. A copy of the prevailing rate of per diem wages are on file at the District's Administration Office and shall be made available to interested parties upon request.

Enclosed find cash, (bidder's bond,) or cashier's or certified check No. _____ from the _____ Bank in the amount of _____, which is not less than ten percent (10%) of this bid, payable to Newport-Mesa Unified School District as bid security and which is given as a guarantee that the undersigned will enter into a contract and provide the necessary bonds and certificates of insurance if awarded the Work.

The bidder furthermore agrees that in case of bidder's default in executing said contract and furnishing required bonds and certificates of insurance, the cash, bidder's bond, or cashier's or certified check accompanying this proposal and the money payable thereon shall become and shall remain the property of the Newport-Mesa Unified School District.

Bidder is an individual _____, or corporation _X_, or partnership _____, organized under the laws of the State of CALIFORNIA.

Bidder confirms license(s) required by California State Contractor's License Law for the performance of the subject project are in full effect and proper order. The following are the Bidder's applicable license number(s), with their expiration date(s) and class of license(s):

C11-992922 Ex 05/31/2026 CQCC #CC-14-012926

If the Bidder is a joint venture, each member of the joint venture must include the required licensing information.

Sureties that will furnish the Faithful Performance Bond and the Labor and Material Payment Bond, in the form specified herein, in an amount equal to one hundred percent (100%) of the contract price within ten (10) working days from the date the District provides the successful bidder the Notice of Award. Sureties must meet all of the State of California bonding requirements, as

defined in California Code of Civil Procedure Section 995.120 and must be authorized by the State of California.

The insurance company or companies to provide the insurance required in the contract documents must have a Financial Strength Rating of not less than "A-" and a Financial Size Category of not less than "Class VII" according to the latest Best Key Rating Guide. At the sole discretion of the District, the District may waive the Financial Strength Rating and the Financial Size Category classifications for Workers' Compensation insurance.

The undersigned acknowledges receipt, understanding and full consideration of the following addenda to the Contract Documents.

Addenda No. 1 1

Addenda No. 2 1

Addenda No. _____

1. Attached is the required bid security in the amount of not less than 10% of the Total Bid Price.
2. Attached is the completed Contractor's Certificate Regarding Workers' Compensation form.
3. Attached is the completed Iran Contracting Act Certificate form.
4. Attached is the completed Public Works Contractor Registration Certification form.
5. Attached is the completed Designation of Subcontractors form.
6. Attached is the completed Information Required of Bidders form.
7. Attached is the Asbestos-Free Material Certification.
8. Attached is the Recycled Content Certification.
9. Attached is the Contractor & Subcontractor Fingerprinting Requirements form.
10. Attached is the Drug-Free Workplace Certificate.
11. Attached is the Contractor's Certificate Regarding Alcoholic Beverage and Tobacco-Free Campus Policy.
12. Attached is the fully executed Non-Collusion Declaration form
13. Attached is the fully executed Fleet Compliance Certification.

I hereby certify under penalty of perjury under the laws of the State of California, that all of the information submitted in connection with this Bid and all of the representations made herein are true and correct.

Newport-Mesa
Unified School District

NEWPORT-MESA UNIIFED SCHOOL DISTRICT

ADDENDUM NO. 1
Costa Mesa HS Elevator Renovation

BID No. 131-24

NEWPORT-MESA UNIFIED SCHOOL DISTRICT
2985 BEAR ST., BLDG.-A
COSTA MESA, CA 92626

April 15, 2024

The following revisions and/or clarifications shall be made to the BID documents for the above-named work. All work described in the original BID documents and all applicable Sections of the original BID documents shall be included in the contract, except as herein modified:
Acknowledgement of this Addendum shall be made below and submitted with the BID submission. If acknowledgement is not made the proposal may be considered non-responsive.

1. ***CHANGE:*** Change Bid Opening date to May 2, 2024 at 2:00 PM PST

All other provisions of the BID remain unchanged.

BELOW, PLEASE ACKNOWLEDGE RECEIPT OF THIS ADDENDUM AND SUBMIT IT WITH YOUR PROPOSAL.

Name: Art Guzman

Signature: [redacted]

Firm Name: SmartRise Elevator Service Inc.

Address: 13523 Larwin Cir.
Santa Fe Springs CA 90670

Telephone #: 562 921 0040

Email: art@smartriseelevator.com

(END OF ADDENDUM NO.1)

Newport-Mesa
Unified School District

NEWPORT-MESA UNIIFED SCHOOL DISTRICT

ADDENDUM NO. 2
Costa Mesa HS Elevator Renovation

BID No. 131-24

NEWPORT-MESA UNIFIED SCHOOL DISTRICT
2985 BEAR ST., BLDG.-A
COSTA MESA, CA 92626

April 29, 2024

The following revisions and/or clarifications shall be made to the BID documents for the above-named work. All work described in the original BID documents and all applicable Sections of the original BID documents shall be included in the contract, except as herein modified:
Acknowledgement of this Addendum shall be made below and submitted with the BID submission. If acknowledgement is not made the proposal may be considered non-responsive.

1. ***CHANGE:*** Change Bid Opening date to May 9, 2024 at 3:00 PM PST

All other provisions of the BID remain unchanged.

BELOW, PLEASE ACKNOWLEDGE RECEIPT OF THIS ADDENDUM AND SUBMIT IT WITH YOUR PROPOSAL.

Name: Art Gumm

Signature: [redacted]

Firm Name: SmartRise Elevator Service Inc

Address: 13523 Larwin Cir.
Santa Fe Springs CA 90670

Telephone #: 562-921-0040

Email: art@smartriseelevator.com

(END OF ADDENDUM NO.2)

Name of Bidder SmartRise Elevator Service Inc

Signature 

Name and Title Art Gumm, President

Dated 05/06/2024

CONTRACTOR'S CERTIFICATE REGARDING WORKERS' COMPENSATION

I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this Contract.

Name of Bidder SmartRise Elevator Service Inc

Signature 

Name and Title Art Gumm, President

Dated 05/06/2024

FLEET COMPLIANCE CERTIFICATION

Bidder hereby acknowledges that they have reviewed the California Air Resources Board's policies, rules and regulations and are familiar with the requirements of Title 13, California Code of Regulations, Division 3, Chapter 9, effective on January 1, 2024 (the "Regulation"). Bidder hereby certifies, subject to penalty for perjury, that the option checked below relating to the Bidder's fleet, and/or that of their subcontractor(s) ("Fleet") is true and correct:

- ☐ The Fleet is subject to the requirements of the Regulation, and the appropriate Certificate(s) of Reported Compliance have been attached hereto.
- ☐ The Fleet is exempt from the Regulation under section 2449.1(f)(2), and a signed description of the subject vehicles, and reasoning for exemption has been attached hereto.
- ☑ Bidder and/or their subcontractor is unable to procure R99 or R100 renewable diesel fuel as defined in the Regulation pursuant to section 2449.1(f)(3). Bidder shall keep detailed records describing the normal refueling methods, their attempts to procure renewable diesel fuel and proof that shows they were not able to procure renewable diesel (i.e., third party correspondence or vendor bids).
- ☐ The Fleet is exempt from the requirements of the Regulation pursuant to section 2449(i)(4) because this Project has been deemed an Emergency, as defined under section 2449(c)(18). Bidder shall only operate the exempted vehicles in the emergency situation and records of the exempted vehicles must be maintained, pursuant to section 2449(i)(4).
- ☐ The Fleet does not fall under the Regulation or are otherwise exempted, and a detailed reasoning is attached hereto.

Name of Bidder: SmartRise Elevator Service Inc

Signature: 

Name: Art Gumm

Title: President

Date: 05/06/2024

PUBLIC WORKS CONTRACTOR REGISTRATION CERTIFICATION

If this bid is due on or after March 1, 2015, then pursuant to Labor Code sections 1725.5 and 1771.1, all contractors and subcontractors that wish to bid on, be listed in a bid proposal, or enter into a contract to perform public work must be registered with the Department of Industrial Relations. See http://www.dir.ca.gov/Public-Works/PublicWorks.html for additional information.

No bid will be accepted, nor any contract entered into without proof of the contractor's and subcontractors' current registration with the Department of Industrial Relations to perform public work.

Bidder hereby certifies that it is aware of the registration requirements set forth in Labor Code sections 1725.5 and 1771.1 and is currently registered as a contractor with the Department of Industrial Relations.

Name of BidderSmartRise Elevator Service Inc

DIR Registration Number:PW- LR- 1000365442

Bidder further acknowledges:

1. Bidder shall maintain a current DIR registration for the duration of the project.
2. Bidder shall include the requirements of Labor Code sections 1725.5 and 1771.1 in its contract with subcontractors and ensure that all subcontractors are registered at the time of bid opening and maintain registration status for the duration of the project.
3. Failure to submit this form or comply with any of the above requirements may result in a finding that the bid is non-responsive.

Name of Bidder SmartRise Elevator Service Inc

Signature 

Name and Title ArtGummPresident

Dated 05/06/2024

BID BOND

The makers of this bond are, Smartrise Elevator Service, Inc. _____, as Principal, and The Ohio Casualty Insurance Company _____, as Surety and are held and firmly bound unto the NEWPORT-MESA UNIFIED SCHOOL DISTRICT, hereinafter called the District, in the penal sum of TEN PERCENT (10%) OF THE TOTAL BID PRICE of the Principal submitted to District for the work described below, for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH that whereas the Principal has submitted the accompanying bid dated May 2, 2024, for **Bid # 131-24 Costa Mesa High School Elevator Renovation**.

If the Principal does not withdraw its bid within the time specified in the Contract Documents; and if the Principal is awarded the Contract and provides all documents to the District as required by the Contract Documents; then this obligation shall be null and void. Otherwise, this bond will remain in full force and effect.

Surety, for value received, hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract Documents shall in affect its obligation under this bond, and Surety does hereby waive notice of any such changes.

In the event a lawsuit is brought upon this bond by the District and judgment is recovered, the Surety shall pay all litigation expenses incurred by the District in such suit, including reasonable attorneys' fees, court costs, expert witness fees and expenses.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals this 1st day of May, 2024, the name and corporate seal of each corporation.

(Corporate Seal)

Smartrise Elevator Service, Inc.
Contractor/Principal

By: _____

Title: _____

(Corporate Seal)

The Ohio Casualty Insurance Company
Surety

By: _____
Dean Sigmundson, Attorney-in-Fact

(Attach Attorney-in-Fact Certificate)

Title: Attorney-In-Fact

The rate of premium on this bond is $0 per thousand.
The total amount of premium charges, $ 0.
(The above must be filled in by corporate attorney)

THIS IS A REQUIRED FORM
Any claims under this bond may be addressed to:

(Name and Address of Surety)	The Ohio Casualty Insurance Company PO Box 34526 Seattle, WA 98154
(Name and Address of Agent or Representative for service of process in California, if different from above)	ISU Massie & Beck 315 Lennon Lane Walnut Creek, CA 94598
(Telephone number of Surety and Agent or Representative for service of process in California)	Surety Phone Number: 206-473-6210 Agent/ISU Massie & Beck Phone Number: 925-283-5750

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

Certificate No: **8207840-988305**

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Charles H. Massie, David Massie, Dean Sigmundson

all of the city of Walnut Creek state of CA each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 19th day of April, 2022.

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

By: _____
David M. Carey, Assistant Secretary

State of PENNSYLVANIA
County of MONTGOMERY ss

On this 19th day of April, 2022 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.

Commonwealth of Pennsylvania - Notary Seal
Teresa Pastella, Notary Public
Montgomery County
My commission expires March 28, 2025
Commission number 1126044
Member, Pennsylvania Association of Notaries

By: _____
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV – OFFICERS: Section 12. Power of Attorney.
Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII – Execution of Contracts: Section 5. Surety Bonds and Undertakings.
Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation – The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization – By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 1st day of May, 2024.

By: _____
Renee C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

For bond and/or Power of Attorney (POA) verification inquiries, please call 610-832-8240 or email HOSUR@libertymutual.com.

Notary Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA
COUNTY OF Contra Costa

On May 1, 2024, before me, Julie A. Rector, Notary Public, Notary Public, personally appeared Dean Sigmundson (Name(s) of Signer(s)), who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

JULIE A. RECTOR
Notary Public - California
Contra Costa County
Commission # 2357967
My Comm. Expires May 17, 2025

WITNESS my hand and official seal.

Julie A Rector
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

CAPACITY CLAIMED BY SIGNER

- ☐ Individual
- ☐ Corporate Officer

Title(s)

- ■ Partner(s) ☐ Limited ☐ General
- ☒ Attorney-In-Fact
- ☐ Trustee(s)
- ☐ Guardian/Conservator
- ☐ Other:

Signer is representing:
Name Of Person(s) Or Entity(ies)

DESCRIPTION OF ATTACHED DOCUMENT

Bid Bond
Title or Type of Document

2
Number of Pages

May 1, 2024
Date of Document

Signer(s) Other Than Named Above

NOTE: This acknowledgment is to be completed for Contractor/Principal.

Notary Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA
COUNTY OF Los Angeles

On May 08, 2024 before me, Mary Poncedeleon, Notary Public, personally appeared Arthur Guman, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

MARY PONCEDELEON
COMM. #2354659
Notary Public - California
Los Angeles County
My Comm. Expires May 11, 2025

WITNESS my hand and official seal.

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

CAPACITY CLAIMED BY SIGNER

- ☐ Individual
- ☐ Corporate Officer

Title(s)

- ☒ Partner(s) ☐ Limited ☐ General
- ☐ Attorney-In-Fact
- ☐ Trustee(s)
- ☐ Guardian/Conservator
- ☐ Other:

Signer is representing:
Name Of Person(s) Or Entity(ies)

DESCRIPTION OF ATTACHED DOCUMENT

Bid
Title or Type of Document

2
Number of Pages

Date of Document

Signer(s) Other Than Named Above

NOTE: This acknowledgment is to be completed for the Attorney-in-Fact. The Power-of-Attorney to local representatives of the bonding company must also be attached.

END OF BID BOND

DESIGNATION OF SUBCONTRACTORS

In compliance with the Subletting and Subcontracting Fair Practices Act of the Public Contract Code of the State of California, each bidder shall set forth below: (a) the name and the location of the place of business, (b) CSLB contractor license number, (c) DIR registration number, and (d) the portion of the work which will be done by each subcontractor who will perform work or labor or render service to the Contractor in or about the construction of the work in an amount in excess of one-half of one percent (1/2%) of the Contractor's Total Bid Price. Notwithstanding the foregoing, if the work involves the construction of streets and highways, then the Contractor shall list each subcontractor who will perform work or labor or render service to Contractor in or about the work in an amount in excess of one-half of one percent (1/2%) of the Contractor's Total Bid Price. No additional time shall be granted to provide the below requested information.

If no subcontractor is specified, for a portion of the work, or if more than one subcontractor is specified for the same portion of Work, to be performed under the Contract in excess of one-half of one percent (1/2%) of the Contractor's Total Bid Price or $10,000, whichever is greater if the work involves streets or highways, then the Contractor shall be deemed to have agreed that it is fully qualified to perform that Work, and that it shall perform that portion itself.

Work to be done by Subcontractor	Name of Subcontractor	Location of Business	CSLB Contractor License Number	DIR Registration Number
Electrical, FLS, HVAC & Related Work	Ultimate Construction Services	14731 Franklin Ave, Unit B Tustin, CA 92780	1069314 - Class B	PW-LR_1000832946
Cab Interior upgrade	City Lift	2258 Pomona Blvd Pomona, CA 91768	C11-728659	PW-LR-1000025459

Work to be done by Subcontractor	Name of Subcontractor	Location of Business	CSLB Contractor License Number	DIR Registration Number

Work to be done by Subcontractor	Name of Subcontractor	Location of Business	CSLB Contractor License Number	DIR Registration Number

Work to be done by Subcontractor	Name of Subcontractor	Location of Business	CSLB Contractor License Number	DIR Registration Number

Name of Bidder: SmartRise Elevator Service Inc.

Signature 

Name and Title Art Gumm, President

Dated 05/06/2024

INFORMATION REQUIRED OF BIDDERS

A. INFORMATION ABOUT BIDDER

[**Indicate not applicable ("N/A") where appropriate.**]

NOTE: Where Bidder is a joint venture, pages shall be duplicated, and information provided for all parties to the joint venture.

1.0 Name of Bidder: SmartRise Elevator Service Inc

2.0 Type, if Entity: A California Corporation (S Corp.) C3587686

3.0 Bidder Address: 13523 Larwin Circle, Santa Fe Springs, CA 90670

Facsimile Number 562-921-0041 Telephone Number 562-9210040

4.0 How many years has Bidder's organization been in business as a Contractor? 14

5.0 How many years has Bidder's organization been in business under its present name?

14, 10 under existing corporate structure

5.1 Under what other or former names has Bidder's organization operated?
Smart Rise Elevator LLC

6.0 If Bidder's organization is a corporation, answer the following:

6.1 Date of Incorporation: 07/15/2013

6.2 State of Incorporation: California

6.3 President's Name: Art Gumm

6.4 Vice-President's Name(s): Andrea Gumm

6.5 Secretary's Name: Jeremy Wilson

6.6 Treasurer's Name: ANDREA GUMM

7.0 If an individual or a partnership, answer the following:

7.1 Date of Organization:

7.2 Name and address of all partners (state whether general or limited partnership):

8.0 If other than a corporation or partnership, describe organization and name principals:

9.0 List other states in which Bidder's organization is legally qualified to do business.

10.0 What type of work does the Bidder normally perform with its own forces?Elevator service, repair, modernization and new installation

11.0 Has Bidder ever failed to complete any work awarded to it? If so, note when, where, and why: No

12.0 Within the last five years, has any officer or partner of Bidder's organization ever been an officer or partner of another organization when it failed to complete a contract? If so, attach a separate sheet of explanation:No

13.0 List Trade References:See Attached

14.0 List Bank References (Bank and Branch Address):See attached

15.0 Name of Bonding Company and Name and Address of Agent:OhioCasualtyInsurance Company, ISU Massie & Beck Insurance Services , 315 Lennon Lane, Walnut Creek, CA 94598

B. LIST OF CURRENT PROJECTS (BACKLOG)

[**Duplicate Page if needed for listing additional current projects.**]

Project	Description of Bidder's Work	Completion Date	Cost of Bidder's Work
SEE ATTACHED			

REPAIRS	SCOPE	
Pyramid Lake Road	rebuild hoist motor	$21,550.00
19360 Beach Blvd.	access keyswtich	$1,288
Northrop Palmdale Bldg. 401	replace valve on material lift	$6,522.84
5450 W. Pico	pit return and packing	$5,329
1031 Bienvenida	backfill cylinder hole, test tube	$13,456
1444 S Point View	annual pressure test	$2,513
8654 Sunland	annual hydro test	$2,124
6140 Monterey	packing & five year load test	$4,979
3650 Dulles	annual pressure test	$2,960
1113 27th	five year load test	$2,513
801 Alameda	car sill	$8,395
CSLA SCI	provide prints for card reader	$3,932
5555 Valley	doors	$8,860
333 Figueroa	Brake work	$36,176.00
		$120,597.84

NEW INSTALLS		
42488 Moodridge		$159,400
2200 Foothill		$254,513
155 South G		$195,191.00
9246 Sierra		$59,925
		$669,029

MODS

10917 Rochester		$104,083
3966 Marcasel		$98,093
6608 26th		$188,092
3102 Highland Bldg. 70		$114,966
435 Roxbury		$349,089
429 Bauchet tractions & hydro		$1,377,446
325 N. 3rd car 3		$77,768
50 E Foothill		$102,568
2024 Broadway		$101,500
19360 Foothill		$96,834
171 N. Raymond		$177,216
150 E Green		$371,032
33 E Green		$190,114
45 S. DeLacey		$173,179
300 E Colorado		$190,287
33 E Green		$178,715
400 E Green		$186,189
Northrup Grumman		$153,657

5151 University Drive		$379,845
17001 Upland		$203,967
		$4,814,640

Credit Information

Southern Elevator & Electric Supply
2781 W McNab Road
Pompano Beach, FL. 33069
Contact: Credit Department
(800) 526-0026, (954) 917-7337
Credit Limit: Open

Mag-Trol Associates
708 Celis Street,
San Fernando CA 91340
Contact: L.T.
(818) 361-5015, (818) 361-1642 F
Credit Limit: $5,000

MEI Elevator
19336 607th Ave
Mankato, MN 56001
Contact: Credit Dept
(323) 443-8616, (323) 443-8647 F
Credit Limit: Open

Marcom Communications
3410 La Sierra Dr, F342
Riverside, CA 92503
Contact: Keith
(800) 450-3060
Credit Limit: Open

Elevator Research & Manufacturing
1417 Elwood Dr
Los Angeles, CA 90021
(213) 746-1914
Credit Limit: Open

Elsco
11403 Cronridge Rd
Owning Mills, MD 21117
(410) 363-9020, (410) 363-9695 F
Credit Limit: Open

Banking
Wells Fargo Bank (562) 949-9431
10506 Rosecrans Avenue
La Mirada, CA 90638
(714) 521-1674
Checking Account No: 2520126497
Savings Account No: 249464365

SmartRise Engineering
8360 Rovanna Circle, STE 3
Sacramento, CA 95828
Contact: Ali
(916) 709-5578, (916) 457-4948 F
Credit Limit: Open

GAL Manufacturing Corp
50 E 153rd Street
Bronx, NY10451
Contact: Credit Department
(718) 292-9000, (718) 292-2034 F
Credit Limit: Open

ECCO
4035 Goodwin Ave
Los Angeles, CA 90039
Contact: Credit Department
(800) 423-2800
Credit Limit: $100,000

Adams Elevator Equipment Co
100 S Wacker Dr, STE 1250
Chicago, IL 60606
Contact: Credit Department
(800) 929-9247, (312) 348-6162 F
Credit Limit: Open

ECCO
4035 Goodwin Ave
Los Angeles, CA 90039
(323) 245-0147
Credit Limit: Open

Wurtec
6200 Brett Dr
Toledo, OH 43611
(410) 726-1066, (419) 729-5764 F
Credit Limit: Open

13523 Larwin Circle
Santa Fe Springs, CA 90670
(562) 921-0040 PH
(562) 921-0041 FX

Our current vitals are as follows:

Name:	**Smart Rise Elevator Service Inc.**
Address:	**13523 Larwin Circle, Santa Fe Spring, CA 90670**
Company Contact:	**(562) 921-0040 Office, (562) 921-0041 Fax**
URL:	**www.smartriseelevator.com**
CSLB #:	**992922**
Employees:	**Thirty Two (32)**
Vehicles:	**Eighteen (18)**
Office:	**2965 Square Feet**
Warehousing:	**3500 Square Feet**
Insurance:	**$10 Million General Liability, $1 Million Auto, #3 Million Workmans Comp**
Bondable:	**Yes, American Contractors Indemnity Company**
Small Business Certified:	**Reference # 2014064**
SAM #:	**076636002**
California Resale #	**SR S AC 102-638338**
California Corporation:	**C3587686**
NAICS:	**238990, 238290**
Dunn & Bradstreet:	**07-6636002**
SAM ID:	**F8Q7MNZ18RG2**
DIR:	**PW-LR-1000365442**
EPA Certification	**CAL 000421504**
LSBE#	**088091**

C. LIST OF COMPLETED PROJECTS - LAST THREE YEARS

[**Duplicate Page if needed for listing additional completed projects.**]

Please include only those projects which are similar enough to demonstrate Bidder's ability to perform the required Work.

Project Client	Description of Bidder's Work	Period of Performance	Cost of Bidder's Work
Cal State Los Angeles	Modernization Student Health	08/23-12/23	$167,413.00
Patton State Hospital	Modernization 4 elevators	10/23 -03/24	$567,266.00
Metropolitan State Hospital	Modernization 5 elevators	09/22 - 01/24	$572,269.00
Media Village	Modernization of 6 elevator	05/22 -09/23	$622,952.00
County of LA	Modernization of 4 cars	11/22 - 10/23	$1,476,244.00
Cal State Los Angeles	Modernization 2 cars	04/23 - 12/23	$236,141.00
UCLA Health Servcies	Modernization of 3 traction	12/22 - 04/23	$1,221, 533.00
Loyola Marymount University	Modernization 17 elevators	05/20 -10/22	$3,506,128.59
Hilton LAX	Mod and jack unit 2 cars	09/19 - 11/20	$774,731.67
City of Alhambra	Modernization 3 elevators	05/18 - 11/19	$333,212.00
Barlow Respiratory Hospital	Install 2 elevator Mod 1	07/19 - 06/21	$576,699.83
If yo require addition projects, please advise, we have a lot more.			

D. EXPERIENCE AND TECHNICAL QUALIFICATIONS QUESTIONNAIRE

Personnel:

The Bidder shall identify the key personnel to be assigned to this project in a management, construction supervision or engineering capacity.

1. List each person's job title, name and percent of time to be allocated to this project:

   See attached introduction communication

2. Summarize each person's specialized education:

3. List each person's years of construction experience relevant to the project:

4. Summarize such experience:

Bidder agrees that personnel named in this Bid will remain on this Project until completion of all relevant Work, unless substituted by personnel of equivalent experience and qualifications approved in advance by the District.

Changes Occurring Since Prequalification

If the District conducted a prequalification and if any substantive changes have occurred since Bidder submitted its prequalification package for this Project, Bidder shall list them below. If none are listed, Bidder certifies that no substantive changes have occurred.

Additional Bidder's Statements:

If the Bidder feels that there is additional information which has not been included in the questionnaire above, and which would contribute to the qualification review, it may add that information in a statement here or on an attached sheet, appropriately marked:

E. VERIFICATION AND EXECUTION

These Bid Forms shall be executed only by a duly authorized official of the Bidder:

I declare under penalty of perjury under the laws of the State of California that the foregoing information is true and correct:

Name of Bidder SmartRise Elevator Service Inc

Signature 

Name and Title Art Gumm, President

Dated 05/06/2024

ASBESTOS-FREE MATERIALS CERTIFICATION

The undersigned declares that he or she is the person who executed the bid for the _____ _____ (hereinafter referred to as the "Project"), and submitted it to the **NEWPORT-MESA UNIFIED SCHOOL DISTRICT** (hereinafter referred to as the "District") on behalf of _____ (hereinafter referred to as the "Contractor").

To the best of my knowledge, information and belief, in completing the Contractor's Work for the Project, no material furnished, installed or incorporated into the Project will contain, or in itself be composed of, any materials listed by the federal or state EPA or federal or state health agencies as a hazardous material.

Any disputes involving the question of whether or not material installed with asbestos-containing equipment is settled by electron microscopy; the cost of any such tests shall be paid by the Contractor.

All work or materials installed by the Contractor which is found to contain asbestos, or work or material installed with asbestos-containing equipment, will be immediately rejected and this work shall be removed and replaced by the Contractor at no additional cost to the District.

Decontamination and removal of work found to contain asbestos, or work installed with asbestos-containing equipment shall be done only under supervision of a qualified consultant, knowledgeable in the field of asbestos abatement and accredited by the Environmental Protection Agency.

The ASBESTOS REMOVAL CONTRACTOR shall be an EPA accredited contractor qualified in the removal of asbestos and shall be chosen and approved by the Asbestos Consultant who shall have sole discretion and final determination in this matter.

The asbestos consultant shall be chosen and approved by the Architect or the District who shall have sole discretion and final determination in this matter. The work will be not accepted until asbestos contamination is reduced to levels deemed acceptable by the Asbestos Consultant.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on this 6TH day of MAY, 2024 at LOS ANGELES CO.

Name of Bidder SmartRise Elevator Service Inc

Signature 

Name and Title Art Gumm, President

Dated 05/06/2024

Notary Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA
COUNTY OF Los Angeles

On May 03, 2024, before me, Mary Poncedeleon, Notary Public, personally appeared Arthur Guman, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

MARY PONCEDELEON
COMM. #2354659
Notary Public · California
Los Angeles County
My Comm. Expires May 11, 2025

Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

CAPACITY CLAIMED BY SIGNER

- ☐ Individual
- ☐ Corporate Officer

Title(s)

- ☒ Partner(s) ☐ Limited ☐ General
- ☐ Attorney-In-Fact
- ☐ Trustee(s)
- ☐ Guardian/Conservator
- ☐ Other:

Signer is representing:
Name Of Person(s) Or Entity(ies)

DESCRIPTION OF ATTACHED DOCUMENT

Bid
Title or Type of Document

Number of Pages

5-8-24
Date of Document

Signer(s) Other Than Named Above

ASBESTOS-FREE MATERIALS CERTIFICATION

RECYCLED CONTENT CERTIFICATION

The undersigned declares that he or she is the person who executed the bid for the _____ (hereinafter referred to as the "Project"), and submitted it to the **NEWPORT-MESA UNIFIED SCHOOL DISTRICT** (hereinafter referred to as the "District") on behalf of hereinafter referred to as the "Contractor").

Pursuant to Public Contract Code Section 10308.5, all contractors are required to certify in writing under penalty of perjury the minimum (if not exact) percentage of recycled content in materials, goods, or supplies offered or products used in the performance of their contract, regardless of whether the product meets the required recycled product percentage as defined in Sections 12161 and 12200. The recycled content shall include both post-consumer material and secondary material as defined in Public Contract Code Sections 12161 and 12200 shall apply.

I declare under penalty of perjury under the laws of the State of California that the following percentages of Post-consumer Material and Secondary Material is in the materials, goods or supplies offered for, or products used in, the performance of the Contract for the Project:

Ø % Post consumer Material Ø % Secondary Material.

Executed on this 6TH day of MAY, 2024 LA COUNTY

Name of Bidder SmartRise Elevator Service Inc

Signature _____

Art Gumm, President

Name and Title

Dated 05/06/2024

Notary Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA
COUNTY OF Los Angeles

On May 08, 2024, before me, Mary Ponce de Leon, Notary Public, personally appeared Arthur Gamm, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

MARY PONCEDELEON
COMM. #2354659
Notary Public · California
Los Angeles County
My Comm. Expires May 11, 2025
Signature of Notary Public

WITNESS my hand and official seal.

OPTIONAL

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- ☐ Individual
- ☐ Corporate Officer

Title(s)

- ☒ Partner(s) ☐ Limited ☐ General
- ☐ Attorney-In-Fact
- ☐ Trustee(s)
- ☐ Guardian/Conservator
- ☐ Other:

Signer is representing:
Name Of Person(s) Or Entity(ies)

DESCRIPTION OF ATTACHED DOCUMENT

Bid
Title or Type of Document

Number of Pages

5-3-24
Date of Document

Signer(s) Other Than Named Above

RECYCLED CONTENT CERTIFICATION

CONTRACTOR CERTIFICATION

With respect to the Contract dated _____ 20___ by and between NEWPORT-MESA UNIFIED SCHOOL DISTRICT ("District") and _____ ("Contractor"), Contractor hereby certifies to the District's governing board that it has completed the criminal background check requirements of Education Code Section 45125.1 and that none of its employees that may come in contact with District 's pupils have been convicted of a violent felony listed in Penal Code section 667.5(c) or a serious felony listed in Penal Code section 1192.7(c).

_____ 5/6/24

Contractor's Representative Date

CONTRACTOR EXEMPTION

Pursuant to Education Code sections 45125.1 and 45125.2, the **NEWPORT-MESA UNIFIED SCHOOL DISTRICT** ("District") has determined that _____ ("Contractor") is exempt from the criminal background check certification requirements for the Contract dated _____, 20__ by and between the District and Contractor ("Contract") because:

- The Contractor's employees will have limited contact with District students during the course of the Contract.
- Emergency or exceptional circumstances exist; or
- With respect to contractors constructing, reconstructing, rehabilitating or repairing a school facility, as provided in Section 45125.2, the Contractor has agreed to ensure the safety of pupils at the school facility by the following method(s) specified in Section 45125.2: _____.

_____ _____

School District Official Date

SUBCONTRACTOR'S CERTIFICATION

The **NEWPORT-MESA UNIFIED SCHOOL DISTRICT** ("District") entered into a Contract for services with _____ ("Contractor") on or about _____, 20____ ("Contract"). This certification is submitted by _____, a subcontractor to the Contractor for purposes of that Contract ("Subcontractor"). Subcontractor hereby certifies to the District's governing board that it has completed the criminal background check requirements of Education Code section 45125.1 and that none of its employees that may come in contact with District pupils have been convicted of a violent felony listed in Penal Code section 667.5(c) or a serious felony listed in Penal Code section 1192.7(c).



_____ 5/6/24

Subcontractor's Representative Date

SUBCONTRACTOR'S EXEMPTION

The **NEWPORT-MESA UNIFIED SCHOOL DISTRICT** ("District") entered into a Contract for services with _____ ("Contractor") on or about _____, 20____ ("Contract"). Pursuant to Education Code sections 45125.1 and 45125.2, the District has determined that _____, a subcontractor to the Contractor for purposes of that Contract ("Subcontractor"), is exempt from the criminal background check certification requirements for the Contract because:

The Subcontractor's employees will have limited contact with District students during the course of the Contract.

Emergency or exceptional circumstances exist; or

With respect to contractors constructing, reconstructing, rehabilitating or repairing a school facility, as provided in Section 45125.2, the Contractor and/or Subcontractor have agreed to ensure the safety of pupils at the school facility by the following method(s) specified in Section 45125.2: _____.

_____ _____

School District Official Date

DRUG-FREE WORKPLACE CERTIFICATION

This Drug-Free Workplace Certification form is part of the Contract made by and between the **NEWPORT-MESA UNIFIED SCHOOL DISTRICT** (hereinafter referred to as the "District") and SMARTRISE ELEVATOR SERVICE LLC (hereinafter referred to as the "Contractor") for the BID#131-24 Project (hereinafter referred to as the "Project"). This form is required from all successful bidders pursuant to the Drug-Free Workplace Act of 1990 (Government Code Section 8350 et seq.) The Drug-Free Workplace Act of 1990 requires that every person or organization awarded a contract or grant for procurement of any property or service from any State agency must certify that it will provide a drug-free workplace by doing certain specified acts. In addition, the Act provides that each contract or grant awarded by a State agency may be subject to suspension of payments or termination, and the contractor or grantee may be subject to debarment from future contracting, if the contracting agency determines that specified acts have occurred.

Pursuant to Government Code Section 8355, every person or organization awarded a contract or grant from a State agency shall certify that it will provide a drug-free workplace by doing all of the following:

A. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited in their workplace and specifying actions which will be taken against employees for violations of the prohibition.

B. Establishing a drug-free awareness program to inform employees about all of the following:

   1. The dangers of drug abuse in the workplace.

   2. The person's or organization's policy of maintaining a drug-free workplace.

   3. The availability of drug counseling, rehabilitation and employee-assistance programs; and

   4. The penalties that may be imposed upon employees for drug abuse violations.

C. Requiring that each employee engaged in the performance of the contract or grant be given a copy of the statement required by subdivision "A," and that, as a condition of employment on the contract or grant, the employee agrees to abide by the terms of the statement.

I, the undersigned, agree to fulfill the terms and requirements of the Drug-Free Workplace Act as it now exists or may hereinafter be amended. Particularly, I shall abide by Government Code Section 8355 when performing the Contract for the Project by:

A. Publishing a statement notifying employees concerning the prohibition of controlled substance at my workplace.

B. Establishing a drug-free awareness program; and

C. Requiring that each employee engaged in the performance of the contract be given a copy of the statement required by Section 8355(a) and agree to abide by the terms of that statement.

I also understand that if the District determines that I have either: (a) made a false certification herein; or (b) violated this certification by failing to carry out the requirements of Section 8355, the Contract awarded herein is subject to termination, suspension of payments, or both. I further understand that if I violate the terms of the Drug-Free Workplace Act of 1990, I may be subject to debarment in accordance with the requirements of the Act.

I acknowledge that I am aware of the provisions of Government Code Section 8350 et seq., and hereby certify that I will adhere to the requirements of the Drug-Free Workplace Act of 1990.

Executed on this 6TH day of MAY, 20 24 at SANTA FE SPRINGS, CA. 90670

Name of Bidder SmartRise Elevator Service Inc

Signature _____

Name and Title Art Gumm, President

Dated 05/06/2024

Notary Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA
COUNTY OF Los Angeles

On May 08, 2024 before me, Mary Poncedeleon, Notary Public, personally appeared Arthur Gumm, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

MARY PONCEDELEON
COMM. #2354659
Notary Public - California
Los Angeles County
My Comm. Expires May 11, 2025

Signature of Notary Public

WITNESS my hand and official seal.

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

CAPACITY CLAIMED BY SIGNER

- ☐ Individual
- ☐ Corporate Officer

Title(s)

- ☐ Partner(s) ☐ Limited ☐ General
- ☐ Attorney-In-Fact
- ☐ Trustee(s)
- ☐ Guardian/Conservator
- ☐ Other:

Signer is representing:
Name Of Person(s) Or Entity(ies)

DESCRIPTION OF ATTACHED DOCUMENT

Bid
Title or Type of Document

Number of Pages

5.8.24
Date of Document

Signer(s) Other Than Named Above

DRUG FREE WORKPLACE CERTIFICATION

CONTRACTOR'S CERTIFICATE REGARDING ALCOHOLIC BEVERAGE AND TOBACCO-FREE CAMPUS POLICY

The Contractor agrees that it will abide by and implement the District's Alcoholic Beverage and Tobacco-Free Campus Policy, which prohibits the use of alcoholic beverages and tobacco products, of any kind and at any time, in District-owned or leased buildings, on DISTRICT property and in DISTRICT vehicles. The Contractor shall procure signs stating, "ALCOHOLIC BEVERAGE AND TOBACCO USE IS PROHIBITED" and shall ensure that hese signs are prominently displayed in all entrances to school property at all times.

SMARTRISE ELEVATOR SERVICE LLC.
Contractor Name


Signature

05/06/2024
Date

dca
DEPARTMENT OF CONSUMER AFFAIRS

CONTRACTORS
STATE LICENSE BOARD
ACTIVE LICENSE

License Number **992922** Entity CORP

Business Name SMARTRISE ELEVATOR SERVICE INC

Classification(s) C11

Expiration Date 05/31/2026 www.cslb.ca.gov

State of California

Department of Industrial Relations

Division of Occupational Safety and Health

Certified Qualified Conveyance Company

This is to certify, that in accordance with the provisions of Sections 7311.3 of the California Labor Code,

Smartrise Elevator Service, Inc.

having been determined as qualified, is issued this *General Certification,* to continue in force for two years from the date issued, unless revoked for cause.

Issued June 10, 2022

Certificate Number CC-14-012926

L.J. Dolin, Associate Safety Engineer
Elevator Unit/Certification Section
Division of Occupational Safety and Health

Dan Barker, Lead Senior Safety Engineer,
Elevator Unit
Division of Occupational Safety and Health

Contractor Information

Legal Entity Name
SmartRise Elevator Service Inc
Legal Entity Type
Corporation
Status
Active
Registration Number
PW-LR-1000365442
Registration effective date
7/12/2023
Registration expiration date
6/30/2026
Mailing Address
13523 Larwin Circle Santa Fe Springs 90670 CA ...
Physical Address
13523 Larwin Circle Santa Fe Springs 90670 CA ...
Email Address
Trade Name/DBA
License Number(s)
CSLB:992922
CSLB:992922

Registration History

Effective Date	Expiration Date
4/12/2019	6/30/2020
7/1/2020	6/30/2023
7/12/2023	6/30/2026

Legal Entity Information

Corporation Number:
Federal Employment Identification Number:
President Name:
Art Gumm
Vice President Name:
Andrea Gumm
Treasurer Name:
Art Gumm
Secretary Name:
Jeremy Wilson
CEO Name:
Art Gumm

Agent of Service Name:
Karen Jung
Agent of Service Mailing Address:
811 Wilshire Blvd, 17th Floor Los Angeles 90017 CA United States of America

Workers Compensation

Do you lease employees through Professional Employer Organization (PEO)?: No

Please provide your current workers compensation insurance information below:

	PEO	PEO	PEO
PEO Information	Name	Phone	Email

Insured by Carrier

Policy Holder Name: SmartRise Elevator Service Inc**Insurance Carrier:**
State Compensation Insurance Fund**Policy Number:**9258422-22**Inception date:**8/7/2022
Expiration Date:8/7/2023

State of California
Secretary of State

CERTIFICATE OF STATUS

ENTITY NAME:

SMARTRISE ELEVATOR SERVICE INC.

FILE NUMBER:	C3587686
FORMATION DATE:	07/15/2013
TYPE:	DOMESTIC CORPORATION
JURISDICTION:	CALIFORNIA
STATUS:	ACTIVE (GOOD STANDING)

I, ALEX PADILLA, Secretary of State of the State of California, hereby certify:

The records of this office indicate the entity is authorized to exercise all of its powers, rights and privileges in the State of California.

No information is available from this office regarding the financial condition, business activities or practices of the entity.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of January 20, 2024.

ALEX PADILLA
Secretary of State

NP-25 (REV 02/2019)

JJG

ISU Massie & Beck Insurance Services
Independently Owned and Operated – License #0B29340
Offices Coast to Coast
Our Knowledge is Your Best Insurance™

April 2, 2024

Smartrise Elevator Service,Inc
13523 Larwin Circle
Santa Fe Springs, CA 90670

Re: Workers' Compensation Experience Modification Rating (EMR)

Dear Art:

As requested, the Workers Compensation Intrastate (California only) Experience Modification Rate for Smartrise Elevator Service, Inc., issued by the Workers' Compensation Insurance Rating Bureau (WCIRB) for the last five years are the following:

Effective 8/7/2023: 80%
Effective 8/7/2022: 79%
Effective 8/7/2021: 80%
Effective 8/7/2020: 79%
Effective 8/7/2019: 83%

Please let us know if you have any questions or if you need anything else.

Sincerely,

Julie A. Rector

Julie Rector, CISR
Account Manager

315 Lennon Lane
Walnut Creek, CA 94598

Telephone (925) 283-5750
Toll Free: (800) 244-9994
Fax: (925) 283-5751

Website: www.isumassie.com
Email: isumassie@isumassie.com

ISU Massie & Beck Insurance Services
Independently Owned & Operated

Offices Coast to Coast
Our Knowledge is Your Best Insurance®

March 7, 2024

RE: Smartrise Elevator Service, Inc.

To whom it may concern:

Smartrise Elevator Service, Inc. is currently bonded by The Ohio Casualty Insurance Company. The Ohio Casualty Insurance Company has issued performance and payment bonds for contracts in the amount of $3,776,332 on November 22, 2023 for Smartrise Elevator Service, Inc. Smartrise Elevator Service, Inc. has had a surety relationship with The Ohio Casualty Insurance Company since 2019.

At the present time, The Ohio Casualty Insurance Company provides a $3,000,000 single project and $6,000,000 aggregate surety program to Smartrise Elevator Service, Inc. As always, The Ohio Casualty Insurance Company reserves the right to perform normal underwriting at the time of any bond request, including, without limitation, prior review and approval of relevant contract documents, bond forms and project financing. We assume no liability, if for any reason we do not execute such bonds.

The Ohio Casualty Insurance Company is listed on the U.S. Treasury Department's Listing of Approved Sureties (2015 Department Circular 570) and is rated A, Financial Size Category XV by A.M. Best Company.

Very truly yours,

By _____
Dean Sigmundson, Attorney-In-Fact

315 Lennon Lane
Walnut Creek, CA 94598

Telephone 925.283.5750
Toll Free 800.244.9994
Fax 925.283.5751

www.isumassie.com
isumassie@isumassie.com
License # 0B29340

One Responsible Source®

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

Certificate No: 8207840-988305

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Charles H. Massie, David Massie, Dean Sigmundson

all of the city of Walnut Creek state of CA each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 19th day of April, 2022.

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

By: _____
David M. Carey, Assistant Secretary

State of PENNSYLVANIA
County of MONTGOMERY ss

On this 19th day of April, 2022 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.

Commonwealth of Pennsylvania - Notary Seal
Teresa Pastella, Notary Public
Montgomery County
My commission expires March 28, 2025
Commission number 1126044
Member Pennsylvania Association of Notaries

By: _____
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV – OFFICERS: Section 12. Power of Attorney.
Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII – Execution of Contracts: Section 5. Surety Bonds and Undertakings.
Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation – The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization – By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 7th day of March, 2024

By: _____
Renee C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

For bond and/or Power of Attorney (POA) verification inquiries, please call 610-832-8240 or email HOSUR@libertymutual.com.