

**REQUEST FOR QUALIFICATIONS
TO PROVIDE DESIGN-BUILD SERVICES**

FOR

**OAKLAND UNIFIED SCHOOL DISTRICT
ENERGY CONSERVATION PROJECT
CALSHAPE PROGRAM
PROJECT #24130**

**STATEMENTS OF QUALIFICATIONS
TO BE RECEIVED AT THE OFFICE OF:**

**OAKLAND UNIFIED SCHOOL DISTRICT
955 HIGH STREET
OAKLAND, CA 94601
ATTN: KENYA CHATMAN**

NO LATER THAN:

MAY 16, 2024, 2:00 P.M.

REQUEST FOR QUALIFICATIONS TO PROVIDE DESIGN-BUILD SERVICES

RECEIPT OF STATEMENTS OF QUALIFICATIONS. Statements of Qualifications in electronic format will be received at the office of the:

OAKLAND UNIFIED SCHOOL DISTRICT
955 HIGH STREET
OAKLAND, CA 94601
ATTN: KENYA CHATMAN
no later than MAY 16, 2024@ 2:00 P.M.

BASIC SCOPE AND NEEDS OF THE CONTRACT: Pursuant to Education Code sections 17250.10 et seq., the Oakland Unified School District seeks a design-build entity to produce final designs for, and construct at various school sites (the “Project”) under the California Schools Healthy Air, Plumbing and Efficiency Program (“CalSHAPE”). The scope of the Contract will be 1) new energy efficient transformers at 4 schools, 2) installation of plug-load controls at 20 schools, 3) installation of refrigeration controls at 7 schools, 4) assessment and efficiency improvements of HVAC equipment, including installation of high efficiency filters and carbon-dioxide sensors in classrooms at 83 schools, and 5) installation of new plumbing fixtures at 43 schools. As set forth herein, the design-build entity will be responsible for the final design and transmission of their final design of the Contract scope to the Division of the State Architect (“DSA”), and for obtaining final DSA approval. No construction work shall be performed until the design-build entity receives written approval of the plans, as to the safety of design and construction, from the DSA.

DESIGN-BUILD ENTITY PREQUALIFICATION: From the design-build entities that submit statements of qualifications, the District will determine which entities shall be prequalified, or short-listed, for this Contract. **Only design-build entities that are prequalified or short-listed pursuant to Education Code section 17250.25 will be allowed to submit bids or proposals.**

A design-build entity will not be eligible to be prequalified or short-listed if the entity, or any consultant or contractor to the entity, participated in the preparation of this Request for Qualifications or the Request for Bids or Proposals (including the District’s requirements for the design for the Contract).

Any statement of qualifications submitted for this Contract must use the template attached to this Request for Qualifications as Exhibit B, and must be certified under penalty of perjury by the design-build entity and its general partners or joint venture members.

The following non-price-related factors will be considered by the District when evaluating qualifications: Technical design and construction expertise, acceptability of safety record, possession of a State of California licensed design professional and contractor licenses (including Class B, Class C-10, C-20 and Class C-36 California contractor licenses).

A design-build entity shall not be prequalified or short-listed unless the entity provides an enforceable commitment to the District that **the design-build entity and its subcontractors at every tier will use a skilled and trained workforce** to perform all work on the Contract that falls within an apprenticeable occupation in the building and construction trades, pursuant to Education Code section 17250.25(c).

SKILLED AND TRAINED WORKFORCE REQUIREMENTS:

The school district has entered into a Project Labor Agreement that will bind all contractors and subcontractors performing work on the Project, and the DBE must agree to be bound by that Project Labor Agreement.

Project Labor Agreement shall apply to labor performed at the project site and not for labor performed at the design-build entity’s off-site manufacturing facility.

The full version of OUSD’s 2021 Project Labor Agreement can be found at the following link: <https://www.ousd.org/facilities-planning-management-department/opportunities/local-hiring/2021-project-labor-agreement-pla>

Contractors whose scope of work are subject to SB 854 (Public Work Reform) shall register and renew annually with the California Department of Industrial Relations (DIR). DIR shall administer and enforce minimum requirements for contractors bidding and working on public works projects for compliance with prevailing wages, maintaining workers’ compensation coverages, holding applicable licenses, verifying no delinquent unpaid or penalty assessments to any employee or enforcement agency, and confirming no federal or state debarments.

For questions or assistance concerning the Project Labor Agreement, contact Maribel Alejandre, (510) 835-7603 X 21, Davillier-Sloan, Inc. 1630 12th Street, Oakland, California, 94607.

LOCAL, SMALL LOCAL, AND SMALL LOCAL RESIDENT BUSINESS ENTERPRISE POLICY:

Full compliance to the District’s Local, Small Local, and Small Local Resident Business Enterprise Policy is mandatory unless a waiver is provided in an Addendum. For full version of the Local Business Utilization Policy can be found at the following link: <https://www.ousd.org/facilities-planning-management-department/opportunities>

Submit a detailed description of the team’s L/SL/SLRBE composition clearly indicating the name of the firms and percentages of participation on the attached form (“Local Business Participation Worksheet”).

EXPECTED COST RANGE OF THE CONTRACT: TBD

CONTRACT ADMINISTRATION: Submit the Statement of Qualifications as described in this RFQ with a cover letter addressed to:

Oakland Unified School District
955 High Street
Oakland, CA 94601
Attn: Kenya Chatman, Executive Facilities Director

If you have any questions regarding this RFQ and/or submitting proposal electronically, please email Kenya Chatman at kenya.chatman@ousd.org and cc: to Juanita Hunter at juanita.hunter@ousd.org and Colland Jang at colland.jang@ousd.org

EVALUATION OF PROPOSALS, AND SELECTION OF THE DESIGN-BUILD ENTITY:

Best value will be the methodology used to evaluate the proposals and select the design-build entity that will receive award of the Contract. The procedures for the evaluation of the proposals for best value may include requests for additional information or revisions to proposals, discussions, interviews, and negotiations. More detail about the best value process will be included in the Request for Proposals.

The schedule for selection of the design-build entity will be as follows:

District issues Request for Qualifications	May 1, 2024
Pre-proposal Meeting (virtual)	May 9, 2024
Last day for design-build entities to submit questions	May 14, 2024
Last day for District to respond to questions	May 16, 2024
Proposals due	May 21, 2024
District Board awards the Contract	June 26, 2024

The District reserves the right to amend this schedule for any reason.

DATED: May 1, 2024

**OAKLAND UNIFIED
SCHOOL DISTRICT**

Kenya Chatman
Executive Facilities Director

EXHIBIT A

DESCRIPTION OF DESIGN-BUILD SCOPE

Reference Documents can be found at the following link:
<https://drive.google.com/drive/folders/0AO0Prz9Re3qUUK9PVA>
Access will be granted upon request.

DETAILED SCOPE OF WORK FOR ENERGY EFFICIENCY PROJECT

HVAC

ECM 4.1 – CalSHAPE Ventilation – Assessment and Maintenance (A&M), Filters, CO2 Sensors, and Contingency Repair

Existing Conditions and General Intent

There are standalone thermostats and Alerton building management systems (BMS) spread throughout the District. The standalone thermostats do not provide “visibility” to the facilities staff for programming, scheduling, and troubleshooting. This can allow associated equipment to operate without oversight, causing energy waste. This measure will install new network-capable electronic thermostats with integrated CO2 sensors. These will immediately meet the requirements of the CalSHAPE program, making the District eligible for grant funding. Additionally, a platform will be created to ensure the District is “BMS ready” and that units can be tied into the BMS in the future. Once tied in, staff will be able to observe and schedule the buildings included, resulting in improved control and operability and ongoing energy savings.

ECM Benefits

Adding networked thermostats with integrated CO2 sensors or upgrade the current Alerton thermostats to include CO2 sensors to meet the CalSHAPE requirements. The key benefit of this ECM is to provide a reading of CO2 levels in classrooms and to create a platform for which the District can integrate units into the BMS in the future. This will allow the system to provide outside ventilated air to students and staff. The CO2 sensors will not immediately control the HVAC units but will create a unified platform which District staff can start adding HVAC units to in the future.

CalSHAPE Ventilation Assessment and Maintenance (A&M) Details:

- 1 Verification of HVAC quantities and types on site prior to starting work.
- 2 Verification of ventilation rates in eligible spaces will be performed by qualified testing personnel.
- 3 Calculation of the required minimum outside air ventilation rates for each occupied space.
- 4 Measurement of outside air under Section B of California Energy Commission (CEC) form CEC-NRCA-MCH-02-A.
- 5 Verification of survey readings of inlets and outlets to ensure all ventilation is reaching the served zone and there is adequate distribution.

- 6 Verification of building pressure relative to the outdoors to ensure a proper level of positive pressure differential.
- 7 Verification of coil velocities and discharge air temperatures required to maintain desired indoor conditions.
- 8 Confirmation that the air-handling units are bringing in outdoor air and removing exhaust air as intended by the system design.

HVAC Assessment Report

Provide and submit an HVAC Assessment report that has been prepared by Qualified Testing Personnel or Qualified Adjusting Personnel. HVAC assessment report to include:

- 1 Name and address of school facility and person preparing and certifying HVAC Assessment Report
- 2 Documentation of HVAC equipment model number, serial number, and general condition of unit
- 3 Verification that MERV 13 filters have been installed or that the maximum MERV-rated filter that the system is able to effectively handle has been installed.
- 4 Verification of ventilation rates for eligible spaces.
- 5 Documentation of system deficiencies and recommendations for additional maintenance, replacement, or upgrades to improve energy efficiency, safety, or performance.
- 6 Name of the utility that provides electricity service and monthly electricity meter data.

Filter Replacement

- 1 Verification of filter quantities and sizes to be on site prior to ordering.
- 2 Replacement of existing filters with the appropriate MERV-rated filter, with a target of MERV-13, in the HVAC systems where feasible.
- 3 Recommendations for additional maintenance, replacement, or upgrades to the above will be recorded in the HVAC Assessment Report.

CO2 Sensors

1. Furnish and install (1,518) Wi-Fi CO2 sensors per CalSHAPE requirements.
 - a. Integrate into the Alerton Compass front end.
 - b. Develop graphic summary for CO2 data in table format.
 - c. Set up trending for CO2 data.
2. Furnish and install (490) MS4-THC Thermostats/CO2 combo sensors, per CalSHAPE requirements.
 - a. Replace existing Alerton MS2/MS4 thermostats.
 - b. Integrate into the Alerton Compass front end.
 - c. Develop graphic summary for CO2 data in table format.
 - d. Set up trending for CO2 data.
 - e. Add Demand Control Ventilation (DCV) programming, where applicable.
3. Furnish and install (173) CO2 sensors per CalSHAPE requirements.
 - a. Wire to existing Alerton controller
 - b. Integrate into the Alerton Compass front end.
 - c. Develop graphic summary for CO2 data in table format.

- d. Set up trending for CO2 data.
 - e. Add Demand Control Ventilation (DCV) programming, where applicable.
4. Update (64) existing MS4-THC sensors with visual indicator color change per CalSHAPE requirements.
 5. Provide engineering set for the scope of work. Engineering set to include table of the following information:
 - a. School name
 - b. Building name
 - c. Room number
 - d. Sensor count
 - e. Sensor type
 - f. Typical wiring diagram for each sensor type
 6. Provide onsite training.

CalSHAPE Contingency Repairs – requires approval by the District

- 1 The CalSHAPE program has an allowance for “minor repairs” following the assessment and maintenance of each HVAC unit, the allowed amount per school is detailed in Attachment A, which may be subject to change after the completion of the assessment tasks. Contingency dollars cannot be transferred between schools.
- 2 Compile a list of needed repairs and costs, broken out by school, to present to the District for approval.

facilityCARE

1. Develop eleven (11) facilityCARE dashboards.
 - a. Oakland District
 - b. Oakland Districts #1 – 7
 - c. Elementary, middle, and high schools
2. facilityCARE dashboards to include the following data:
 - a. Comfort Index (2,245 data points)
 - b. Average Occupancy Zone Temperature (2,245 data points)
 - c. CO2 (2,245 data points)
 - d. Relative Humidity (554 data points)
3. Provide (4) hours of onsite training.

Refrigeration ECMs

ECM 9.1 – Refrigeration Controls

General Intent

There are thirteen (13) walk-in coolers/freezers throughout the District. Refrigeration controls and monitoring will send alerts to the District’s designated personnel to notify them if there are any issues with the cooler or if a door is left open. Additionally, the program will turn off

evaporator fan motors when the door opens to prevent warm, damp air from entering resulting in energy savings.

ECM Benefits

Provide a turnkey solution to retrofit the existing walk-in coolers and freezers with refrigeration controls and monitoring. All these sites will be Ethernet enabled (the District will need to provide an Ethernet drop to each walk-in cooler/freezer). By Ethernet monitoring the controls, the software package will allow users of the system to centrally manage, control, schedule, and monitor the walk-in coolers/freezers using real-time information. In addition, data will be analyzed to provide temperature and equipment diagnostics alarms to personnel such as if the door is left open. The first three years of remote services monitoring (RSM) have been included in this project.

Refrigeration Controls Details

1. The District shall provide access to installers, which may be after hours. There is no need to empty the cooler, reschedule deliveries, or make any special arrangements unless the cooler is so full that installers are unable to work in it. If the cooler is too full, District will remove necessary contents and store appropriately.
2. Schedule shut down of each unit with site personnel.
3. Lock out/tag out electrical service to walk-in coolers/freezers.
4. Install new electronically commutated (EC) motors and retrofit controls where applicable.
5. Remove lock out/tag out of electrical service and start the walk-in coolers/freezers to ensure proper operation.

The District will provide IT (LAN) connection for refrigeration RSM monitoring connection within 10 feet of the controller box.

Provide training to site personnel/operator at completion of the installation. · Provide necessary engineering and project management for a complete turnkey system. · Provide cut sheets/submittal package and O&M manual documentation.

Energy/Utility Distribution System ECMs

ECM 12.1 – Transformer Upgrade

General Intent

This measure will replace twenty-five (25) transformers with new high efficiency transformers.

ECM Benefits

Replacement of original equipment at the end of its useful life with new and more efficient equipment to reduce baseload energy consumption.

Electrical Details:

1. Schedule shut down of each transformer with site personnel.
2. Lock out/tag out electrical service to transformers.
3. Disconnect and remove existing transformers.

4. Properly dispose of existing transformers in accordance with state, local and any Authority Having Jurisdiction (AHJ) requirements for disposal/recycling.
5. Install new high efficiency transformer, utilizing existing wiring and grounding.
6. Provide all miscellaneous tools and materials needed to properly install new power transformers.
7. Remove lock out/tag out of electrical service and energize transformers.
8. Provide necessary engineering and project management for complete turnkey system.
9. Provide cut sheets/submittal package, O&M manual, pre and post photo documentation.
10. Provide owner/operator training.

Exclusions:

1. Emergency or temporary power during power outages or cut overs.

Clarifications:

- 1 Pricing reflects new transformers being installed in same locations as existing.
- 2 Power will be shut down to the entire campus, with close coordination with the District. The District is responsible for proper shutdown of any IT, refrigeration, fire/life/safety, security and alarms, or other equipment that may be affected by a power outage.

Water and Sewer Conservation ECMs with Therm Savings

ECM 13.1 – Low Flow Water Fixtures

General Intent

There are inefficient plumbing fixtures that utilize domestic hot water at select District campuses. This ECM will replace all CalSHAPE eligible fixtures that yield therm savings with CalSHAPE compliant low flow fixtures.

ECM Benefits

By replacing this equipment, the District is utilizing CalSHAPE grant funding to upgrade all eligible fixtures to low flow, which will realize water, sewer, and therm savings across the District.

Plug Load ECMs

ECM 19.1 – Plug Load Controllers

General Intent

There are many devices that are plugged into wall outlets for power. This includes copiers, printers, projectors, TVs, portable air filters, water heaters/coolers, coffee machines, refrigerators, charging carts, etc. throughout the District. This equipment consumes electricity when not in use. When taken in aggregate the volume of stand-by electricity consumption adds notable, and unnecessary cost. This measure will install plug load, end-device controllers, and centralized infrastructure to schedule, monitor and control these devices for the purpose of reducing stand-by energy losses.

ECM Benefits

By turning this equipment completely off on a schedule the District can be sure that plugged in equipment is fully turned off each night and eliminating energy waste.

Plug Load Details

- 1 Provide and install virtual machine software to control the end devices. Each plug load controller will require an IP address.
- 2 Pre-install wireless network credentials and password to all new equipment
- 3 Provide and install plug load end devices as per the line-by-line audit.
- 4 Provide necessary engineering and project management for complete turnkey system.
- 5 Provide cut sheets/submittal package and O&M manual.
- 6 Provide owner/operator training.

2.6 Implementation & Verification Plan

The requirements for qualification for on-bill financing (OBF) from Pacific Gas and Electric (PG&E) reside within the Investor Confidence Project (ICP) protocols. Operational Performance Verification (OPV), Measurement & Verification (M&V) and Operation & Maintenance (O&M) plans are required for each ECM that is part of this project. The OPV plan will be implemented at the time of implementation for each ECM. The M&V plan methodology will be utilized for the duration of the OBF financing period. We will provide one (1) year of M&V services, which is included in this proposal. This service will include one (1) annual check-in with the District. The O&M plan will be included in any training and documentation provided to the District as part of the project close out.

To provide the District and PG&E with proof of installation of the scope of work, provide the following for each ECM:

1. Pre- and post-pictures of equipment
2. Bill of lading of equipment
3. Training materials
4. O&M manuals
5. As built audits and drawings

EC M #	ECM Description	Operational Performance Verification Plan	Operations and Maintenance Plan
9.01	Refrigeration Controls	Pre and post photos of the condition of the control system and any system components that are impacted. Manufacturer installation procedures will be followed. Provide screenshots of monitoring system. Final quantities and equipment specifications will be utilized to calculate As-built energy savings.	Contractor will provide onsite training for new fixtures and controls. Comprehensive operation and maintenance manuals will be provided. If there are changes during construction, they will be reflected in the provided documents.
19.01	Plug Load Controls	Pre and post photos of sample set of plug load control components. Manufacturer installation procedures will be followed. Provide screenshot of monitoring system and a report indicating the wattage saved. As-built quantities and specific devices will be updated into the savings calculations upon completion of construction.	Contractor will provide onsite training for new fixtures and controls. Comprehensive operation and maintenance manuals will be provided. If there are changes during construction, they will be reflected in the provided documents.

EXHIBIT B

STATEMENT OF QUALIFICATIONS

Important Instructions:

“You” or “your” refers to the proposing design-build entity.

For *every* question below, if you are a partnership or joint venture, you must provide *separate answers* for each of your partners or members. If a partner in a partnership or member of a joint venture believes that a question does not apply to it (e.g., a question about past liability for liquidated damages to an architect), the partner or member may explain why he/she believes that the question is not applicable to it. Questions of applicability will be determined by the District in its sole discretion.

A. General Information

1. Your name as it appears on license:

CIRCLE ONE: Corporation Partnership Sole Proprietorship Joint Venture

Contact Person: _____

Street Address (P.O. Box is not acceptable):

Telephone: () _____ Fax: _____

E-mail address: _____

2. List all of your shareholders, partners, or members known at the time of this Statement of Qualifications who will perform work on the Project:

-
3. Attach to this Statement of Qualifications a copy of the organizational documents or agreement committing to form your design-build entity.

B. History and Ownership of Firm

1. How many years have you been in business in California under your present business name and license number? _____ years
2. Has there been any change in your ownership at any time during the last three years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question; please indicate “N/A – Publicly traded corporation.”

Yes No

If yes, please provide details on a separate signed page.

3. Are you a subsidiary, parent, holding company or affiliate of another firm?

NOTE: Include information about other firms if one firm owns 50 percent or more of another.

Yes No

If yes, please provide details on a separate signed page.

4. Please provide the information appropriate to your form of entity.

a. For Firms That Are Corporations

Date incorporated: _____

Under the laws of what state: _____

Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten percent of the corporation’s stock.

Name	Position	Years with Company	% Ownership

Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or 10 percent or more of its stock, if the business is a corporation.

Person’s Name	Construction Firm	Dates of Person’s Participation with Firm

b. For Firms That Are Partnerships

Date of formation: _____

Under the laws of what state: _____

Provide all the following information for each partner who owns 10 percent or more of the firm.

Name	Position	Years with Company	% Ownership

Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Person’s Name	Construction Company	Dates of Person’s Participation with Company

c. For Firms That Are Sole Proprietorships

Date of commencement of business: _____

Social security number of company owner: _____

Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Person’s Name	Construction Company	Dates of Person’s Participation with Company

d. For Firms That Are a Joint Venture

Date of commencement of joint venture: _____

Provide all of the following information for **each** firm that is a member of the joint venture:

Name of firm	% Ownership of Joint Venture

On a separate sheet provide all other pertinent information required in Sections 8.4.a-c, above, for **each** corporation, partnership or sole-proprietorship that is a member of the joint venture.

5. State your gross revenues for each of the last three fiscal years:

Current year: _____

Previous year: _____

Year prior to previous year: _____

6. State the number of projects you have completed in each of the last three fiscal years:

Current year: _____

Previous year: _____

Year prior to previous year: _____

7. Have you changed names or license numbers in the past five years?

Yes No

If yes, explain on a separate signed page, including the reason for the change.

C. Bonds and Insurance

1. Name of bonding company/surety that will provide all bonds, including payment and performance bonds, for you on the Project: _____

Name of surety agent, address and telephone number: _____

Please attach a notarized statement from an admitted surety insurer (approved by the California Department of Insurance and authorized to issue bonds in the State of California) which states your current bonding capacity.

2. List all other sureties (name and full address) that have written bonds for you during the last five years, including the dates during which each wrote the bonds:

3. For all insurance that will be provided for the Project (including CGL, auto, errors and omissions, and builder's risk), list the agent (including company name, address, telephone, and fax number) and insurance company that will provide the required insurance on this contract:

AGENT: _____

COMPANY NAME: _____

ADDRESS: _____

PHONE: (____) _____ FAX: (____) _____

INSURANCE COMPANY: _____

D. Financial Information

1. Attach the most current audited year-end financial statement for you which must have been prepared by a certified public accountant within twelve (12) months of submission of this statement of qualifications. Also, please provide the most current financial statement for you which must have been prepared within three (3) months of submission of this statement of qualifications. These statements must ensure that you have the capacity to complete the Project.

2. Name of accounting firm and primary contact: _____

Address: _____

Telephone: _____

How many years has this accounting firm prepared financial statements for you?

3. Banking information:

Name of Bank: _____
Account Manager: _____
Address: _____ _____
Telephone: _____
Account No.: _____

Line of Credit: _____
Amount in Use: _____
How Secured: _____
Expiration Date: _____

(attach extra sheets for additional banks/accounts)

E. Licenses

1. List all California design professional and contractor licenses held by you, including license numbers, classifications, and expiration dates. If the license is held in the name of a corporation, partnership, or joint venture, also list the names of the qualifying individual(s) listed who meet(s) the experience and examination requirements for each license.

2. List all California design professional and contractor licenses held by individuals or entities that you will be hiring as subconsultants or subcontractors for the Project, including license numbers, classifications, and expiration dates. If the license is held in the name of a corporation or partnership, also list the names of the qualifying individual(s) listed who meet(s) the experience and examination requirements for each license.

<u>Consultant</u>	<u>License #</u>	<u>Exp. Date</u>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
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3. Will you have every design professional, contractor, and license necessary to legally perform its duties and obligations for the Project, including design and construction?

Yes No

If “no,” explain here or on a separate page.

4. Did you participate, or will you be retaining a consultant or contractor that participated, in the preparation of the Request for Qualifications or the Request for Bids or Proposals (including the bridging documents) for the Project?

Yes No

NOTE: If the answer is “yes,” then you will not be prequalified or short-listed for the Project.

F. Recent Projects Completed

Please provide the information requested below about all of your current public works projects, public works projects completed in the last two years, and DSA-approved California K-12 public works projects completed in the last three years. Include all projects, whether using design-build, design-bid-build, lease-leaseback, or other delivery methods, and whether providing design or construction services. Names and references must be current and verifiable.

Use separate sheets of paper that contain all of the following information for each public works project:

Project Name: _____

Location: _____

Owner: _____

Owner Contact (name and current phone number): _____

Architect Engineering Firm: _____

Lead Architect or Engineer: _____

Architect or Engineer Contact (name and current phone number): _____

Contractor: _____

Contractor Contact (name and current phone number): _____

Construction Manager (name and current phone number): _____

Inspector of Record (name and current phone number): _____

Description of Project, Scope of Work Performed: _____

Total Value of Construction (including change orders): _____

Date Construction Commenced: _____

Original Contractual Completion Deadline: _____

Adjusted Completion Deadline Based on Time Extensions Granted by Owner: _____

Actual Date of Completion: _____

Architect or Engineer: _____

General Contractor's Project Manager (lead contact in office): _____

General Contractor's Superintendent (lead contact on project site): _____

G. Apprenticeship Program Information

1. Provide the name, address and telephone number of the apprenticeship program sponsor(s) (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for the Project.

2. If you operate your own State-approved apprenticeship program:

- (a) Identify the craft or crafts in which you provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by you as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by you.

H. Design Qualifications

1. Do you possess a valid and current California architectural or engineering license for the Project?

Yes **No**

State the license number(s): _____

2. For what design specialties will you be hiring subconsultants?

3. Identify and describe all projects in the last 5 years that you have designed which are similar to the Project (other than those listed in response to Section F, above).

4. Identify the proposed key personnel that will perform the design services necessary for the Project.

5. For each person identified in response to the previous question, list evidence that he/she (a) has completed, or has demonstrated the experience, competency, capability, and capacity to complete, projects of similar size, scope, and complexity as the Project; and (b) has sufficient experience and training to competently manage and complete the construction of the Project.

6. Has your license, or any credential or registration, ever been revoked or suspended at any time in the last five years, even if later reinstated retroactively?

Yes **No**

I. Construction Qualifications

1. Do you possess a valid and current Class _____ California contractor’s license, which is required for the Project?

Yes **No**

If yes, identify the holder of the license and the license number.

2. For what construction specialties will you be hiring subcontractors?

3. Identify and describe all projects in the last 5 years that you have constructed which are similar to the Project (other than those listed in response to Section F, above).

4. Identify the proposed key personnel that will perform the construction services necessary for the Project.

5. For each person identified in response to the previous question, list evidence that he/she (a) has completed, or has demonstrated the experience, competency, capability, and capacity to complete, projects of similar size, scope, and complexity as the Project; and (b) has sufficient experience and training to competently manage and complete the construction of the Project.

6. Do you have a liability insurance policy with a policy limit of at least \$3,000,000 per occurrence and \$5,000,000 aggregate?

Yes **No**

7. Do you have current workers’ compensation insurance policy as required by the Labor Code or are you legally self-insured pursuant to Labor Code section 3700 et seq.?

Yes **No**

Contractor is exempt from this requirement, because it has no employees.

8. Have you completed at least two California public school K-12 construction projects, subject to DSA approval?

Yes **No**

9. Are you currently registered with the Department of Industrial Relations and qualified to submit a bid or proposal and to otherwise perform work on a public project pursuant to Section 1725.5 of the Labor Code?

Yes **No**

10. Has your contractor's license, or any credential or registration, ever been revoked or suspended at any time in the last five years, even if later reinstated retroactively?

Yes **No**

11. At the time of submitting this form, are you ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Yes **No**

If yes, state the beginning and ending dates of your ineligibility to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract:

12. Are you currently the debtor in a bankruptcy or receivership case?

Yes **No**

If yes, please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed.

13. Has a surety firm completed a contract on your behalf, or paid for completion of a contract because you were terminated by the project owner within the last five (5) years?

Yes **No**

14. Have you, or any of your owners, officers, partners, or members, ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity?

Yes **No**

If yes, explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

15. Have you, or any of your owners, officers, partners, or members ever been convicted of a crime involving any federal, state, or local law related to design or construction?

Yes No

16. Have you or any of your owners, officers, partners, or members ever been convicted of a federal or state crime of fraud, theft, or any act of dishonesty?

Yes No

If yes, identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

J. Financial History & Licensing

1. Were you in bankruptcy or receivership any time during the last five years?

Yes No

If yes, please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

2. Has any license held by you, or held by its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO), been suspended within the last five years?

Yes No

K. Disputes

1. At any time in the last five years, have liquidated damages been assessed or levied against you under a construction contract with either a public or private owner?

Yes No

If yes, explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed or levied by the owner, amount of liquidated damages paid or credited by you to the owner, and all other information necessary to fully explain the assessment or levy of liquidated damages.

2. In the last five years have you, or any firm with which any of your company's owners, officers, partners, or members was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

NOTE: "Associated" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 4 on Part I of this form.

Yes No

If yes, explain on a separate signed page. State whether the firm involved was the firm applying for prequalification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

3. In the last five years, have you been denied an award of a public works contract based on a finding by a public agency that you were not a responsible bidder?

Yes No

If yes, explain on a separate page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.

NOTE: The following two questions refer only to disputes between you and the owner of a project. You need not include information about disputes between you and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.

4. In the past five years, has any claim by a project owner (including a complaint) against you concerning your design or construction work on a project been filed in court or been the subject of arbitration?

Yes No

If yes, on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature and amount of the claim (including a breakdown of the major elements of the claim), the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

5. In the past five years, have you filed any claim (including a complaint) in court or arbitration against a project owner concerning work on a project or payment for a contract?

Yes No

If yes, on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature and amount of the claim (including a breakdown of the major elements of the claim), the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

6. Have you had a contract for a public work of improvement in the last five years that was terminated for cause by a public entity, or terminated in whole or in part with or without your consent? **Note: You need not answer “yes” if the public entity terminated the contract for convenience.**

Yes No

If the answer is “Yes,” for each such contract attach a separate sheet identifying the owner, your bonding company, the original contract value, the value of the work terminated and a brief explanation of the circumstances leading to the termination.

7. At any time during the past five years, has any surety company made any payments on your behalf to satisfy any claims made against a performance or payment bond issued on your behalf, in connection with a construction project, either public or private?

Yes No

If “yes,” explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

8. In the last three years have you held a public works contract on which more than three (3) stop payment notices were served against your firm.

Yes No

If “yes,” explain on a separate signed page.

9. In the last 3 years have you had any Stop Payment Notice result in a claim against your Payment Bond?

Yes No

If “yes,” explain on a separate signed page.

L. Insurance and Bonding

1. In the last five years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for you?

Yes No

If yes, how many instances? _____

2. If you were required to pay a premium of more than one percent for a performance and payment bond on any project(s) on which you worked at any time during the last five years, state the percentage that you were required to pay, identify the project, identify the owner, and identify the dates of the project. You may provide an explanation for a percentage rate higher than one percent, if you wish to do so.

_____ %

3. During the last five years, have you ever been denied bond coverage by a surety company, or has there ever been a period of time when you had no surety bond in place during a public construction project when one was required?

Yes No

If yes, provide details on a separate signed sheet indicating the date when you were denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place.

M. Compliance with Law, Worker’s Compensation, and Safety Record

1. Has CAL OSHA cited and assessed penalties against you for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?

Note: If you have filed an appeal of a citation and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

Yes No

If yes, attach a separate signed page describing each citation.

2. Has the federal Occupational Safety and Health Administration cited and assessed penalties against you in the past five years?

Note: If you have filed an appeal of a citation and the appropriate appeals Board has not yet ruled on your appeal, you need not include information about it.

Yes No

If yes, attach a separate signed page describing each citation.

3. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either you or the owner of a project on which you were the contractor, in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If yes, attach a separate signed page describing each citation.

4. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

Describe your worker safety program: _____

5. List your Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

NOTE: An Experience Modification Rate is issued to you annually by your workers' compensation insurance carrier.

Current year: _____

Previous year: _____

Year prior to previous year: _____

If your EMR for any of these three years is or was 1.00 or higher, you may, if you wish, attach a letter of explanation.

6. Within the last five years, has there ever been a period when you had employees but were without workers' compensation insurance or state-approved self-insurance?

Yes No

7. State your average total recordable injury or illness rate and average lost work rate for the most recent three-year period: _____

N. Prevailing Wage and Apprenticeship Compliance Record

1. Has there been any occasion during the last five years on which you were required to pay either back wages or penalties for your failure to comply with the **state's** prevailing wage laws?

Yes No

NOTE: This question refers only to your own violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

If yes, attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

2. During the last five years, has there been any occasion on which you have been penalized or required to pay back wages for failure to comply with the **federal** Davis-Bacon prevailing wage requirements?

Yes No

If yes, attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

3. Other than as already described in response to any question above, have any other labor or environmental charges or penalties been assessed or levied against, or paid by, you or the owner of a project on which you were the contractor in the past five years?

Yes No

4. At any time during the last five years, have you been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes **No**

If “yes,” provide the date(s) of such findings, and attach copies of the Department’s final decision(s).

If yes, attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, and the public agency for which it was constructed.

5. Will you agree to an enforceable commitment in the design-build contract that you and all of your subconsultants and subcontractors at every tier will use a skilled and trained workforce to perform all work on the Project that falls within an apprenticeable occupation in the building and construction trades, as required by Education Code section 17250.25(c)?

Yes **No**

CERTIFICATION

Statements of Qualifications submitted by a corporation must be signed by (1) the chairman of the board, president or any vice president, and then (2) the secretary, assistant secretary, the chief financial officer or assistant treasurer. All persons signing must be authorized to bind the corporation in the matter. The name and title of each person signing and the legal name of the corporation (including the state of incorporation) shall also be typed or printed below the signature. Satisfactory evidence of the authority of each officer signing on behalf of a corporation shall be furnished with this certification.

Statements of Qualifications submitted by a partnership must furnish the full name of all partners and must be signed in the partnership name by a general partner with authority to bind the partnership in such matters. The name and title of the person signing and the name of the partnership shall also be typed or printed below the signature.

Statements of Qualifications submitted by a joint venture must furnish the full name of all members of the joint venture and must be signed by a representative of each member who has authority to bind the member in such matters. The name and title of the person signing and the name of the member shall also be typed or printed below the signature.

Each person signing below makes the following representations under penalty of perjury:

The submitter of the foregoing answers to the questionnaire has read the same and the matters stated therein are true of his or her own personal knowledge. This information is provided for the purpose of qualifying to submit a bid or proposal for the Contract, and any individual, company or other agency named herein is hereby authorized to supply the District with any information necessary to verify the prospective bidder's or proposer's statements. By signing below, the submitter and the design-build entity hereby grant permission to the District to contact any or all of the above listed persons or entities to confirm facts or otherwise investigate the above facts and issues.

The submitter understands that any statement which is proven to be false shall be grounds for immediate disqualification from proposing on the Contract. The submitter whose signature appears below represents and warrants that he or she has authority to bind the named contractor.

I, the undersigned, certify and declare that I have read all the foregoing answers and information in this Statements of Qualifications and know their contents. The matters stated in the Statements of Qualifications are true of my own knowledge and belief, except as to those matters

stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Evidence of authority to bind corporation is attached.

Signature

Signature

Name

Name

Title

Title

Entity Name

Entity Name

Date

Date

Signature

Signature

Name

Name

Title

Title

Entity Name

Entity Name

Date

Date

(Add additional signature pages as necessary to comply with the directions above.)



Oakland Unified School District
Local Business Utilization

LOCAL BUSINESS PARTICIPATION WORKSHEET

Prime	<input type="text"/>	Bid Opening Date	<input type="text"/>
Project Name	<input type="text"/>	Time:	<input type="text"/>
Project Number	<input type="text"/>	Project Manager:	<input type="text"/>
Proposed Total Contract Amount	<input type="text"/>	Architect:	<input type="text"/>

BASE BID AMOUNT

Proposed Total SLBE Amount (%) %

Small, Local Business Enterprise(s)/Small Emerging, Local Business Enterprise(s)	Total Amount of Contract (as a \$ amount)	Local Business Enterprise (LBE)	Small, Local Business Enterprise (SLBE)	Small, Local Resident Business Enterprise (SLRBE)								
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TOTAL PARTICIPATION	\$	%	%	%								

APPROVAL - LBU Compliance Officer

NOTE: All Local Business Utilization documentation must be included with bid form at the time of bid opening.