# LOUISIANA STATE UNIVERSITY HEALTH SHREVEPORT
Graduate Medical Education Committee
Policies and Procedures

## Table of Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mission</td>
<td>4</td>
</tr>
<tr>
<td>Institutional Commitment</td>
<td>5</td>
</tr>
<tr>
<td>Accreditation Council for Graduate Medical Education</td>
<td>5</td>
</tr>
<tr>
<td>ACGME Six Competencies</td>
<td>6</td>
</tr>
<tr>
<td>Office of Graduate Medical Education</td>
<td>6</td>
</tr>
<tr>
<td>Graduate Medical Education Committee</td>
<td>6</td>
</tr>
<tr>
<td><strong>Purpose of GMEC</strong></td>
<td>6</td>
</tr>
<tr>
<td><strong>Roles and Responsibilities</strong></td>
<td>7</td>
</tr>
<tr>
<td>Faculty and Departmental Roles</td>
<td>8</td>
</tr>
<tr>
<td>Next Accreditation System (NAS)</td>
<td>9</td>
</tr>
<tr>
<td>Institutional Review Subcommittee (GME 20.1)</td>
<td>10</td>
</tr>
<tr>
<td>GME Policy Subcommittee (GME 20.2)</td>
<td>11</td>
</tr>
<tr>
<td>Support Services and Systems</td>
<td>11</td>
</tr>
<tr>
<td>Human Resources</td>
<td>12</td>
</tr>
<tr>
<td>Resident Application and Eligibility</td>
<td>15</td>
</tr>
<tr>
<td>Employment</td>
<td>15</td>
</tr>
<tr>
<td>Resident Responsibilities and Policies</td>
<td>17</td>
</tr>
<tr>
<td>Orientation</td>
<td>17</td>
</tr>
<tr>
<td>Annual CED, Compliance and Quarterly Safety Training (GME 24.1)</td>
<td>18</td>
</tr>
<tr>
<td>Identification Badge/Access Card</td>
<td>18</td>
</tr>
<tr>
<td>Licenses &amp; Certifications (GME 23.1)</td>
<td>19</td>
</tr>
<tr>
<td>Immunization Requirements (GME 21.1)</td>
<td>21</td>
</tr>
<tr>
<td>Prescription Pads</td>
<td>21</td>
</tr>
<tr>
<td>Uniforms</td>
<td>21</td>
</tr>
<tr>
<td>Communications</td>
<td>22</td>
</tr>
<tr>
<td>Patient Care Guidelines</td>
<td>24</td>
</tr>
<tr>
<td>Service Behavior Expectations</td>
<td>24</td>
</tr>
<tr>
<td>Services and Programs</td>
<td>26</td>
</tr>
<tr>
<td>Meal Program (GME 25.1)</td>
<td>26</td>
</tr>
<tr>
<td>Medical Library</td>
<td>27</td>
</tr>
<tr>
<td>On-Call Quarters (GME 27.1)</td>
<td>28</td>
</tr>
<tr>
<td>Counseling Services</td>
<td>28</td>
</tr>
<tr>
<td>Payroll Services</td>
<td>31</td>
</tr>
<tr>
<td>Parking</td>
<td>31</td>
</tr>
<tr>
<td>Office of Diversity Affairs &amp; Equal Employment Opportunities</td>
<td>32</td>
</tr>
<tr>
<td>Resident/Fellow Council</td>
<td>32</td>
</tr>
<tr>
<td>GMEC Policies</td>
<td>33</td>
</tr>
<tr>
<td>Resident Eligibility Requirements for Residency Training (GME 1.1)</td>
<td>33</td>
</tr>
<tr>
<td>Resident Recruitment and Selection (GME 1.1.a)</td>
<td>37</td>
</tr>
<tr>
<td>Resident Transfers (GME 1.1.b)</td>
<td>38</td>
</tr>
<tr>
<td>Certification of Post-Graduate Training (GME 1.1.c)</td>
<td>41</td>
</tr>
<tr>
<td>Program Interview/Application Process (GME 1.2)</td>
<td>41</td>
</tr>
<tr>
<td>Online/Virtual Interview Process (GME 1.2.a)</td>
<td>42</td>
</tr>
<tr>
<td>Resident Appointment (GME 1.3)</td>
<td>43</td>
</tr>
<tr>
<td>USMLE Step 3/COMLEX Level 3 Requirements (GME 1.3a)</td>
<td>44</td>
</tr>
<tr>
<td>Resident Promotion/Advancement (GME 2.1)</td>
<td>45</td>
</tr>
<tr>
<td>House Officer Dismissal/Non-Renewal of Contract (GME 2.1.a)</td>
<td>46</td>
</tr>
<tr>
<td>Communication Elements for Disciplinary Actions (GME 2.1.b)</td>
<td>47</td>
</tr>
<tr>
<td>House Officer Grievance Process (GME 3.1)</td>
<td>47</td>
</tr>
<tr>
<td>House Officer Non-Academic Disciplinary Proceedings (Due Process) (GME 3.1a)</td>
<td>49</td>
</tr>
</tbody>
</table>
House Officer Academic Disciplinary Proceedings (Due Process) (GME 3.1b) 52
House Officer Leave Policy (GME 5.1) 57
House Officer Call in Policy (GME 5.1.a) 60
Impaired Physicians (GME 6.1) 61
Resident Supervision (7.1) 63
Clinical Experience and Education (GME 8.1) 65
Moonlighting (GME 8.1.a) 67
Restrictive Covenants (GME 9.1) 68
Residency Closure/Reduction (GME 10.1) 69
Patient Safety (GME 11.1) 69
Quality Improvement (GME 11.2) 70
Transitions of Care/Patient Handoff (GME 11.3) 71
Professionalism (GME 11.4) 72
New Innovations Data Entry Policy (GME 12.1) 75
New Innovations Annual Evaluations Review (GME 12.5) 77
House Officer Social Media Policy (GME 13.1) 78
Program Requests for Change Policy (GME 14.1) 80
Outside/Inside Rotations Policy (GME 14.1.a) 87
Participating Sites Policy (GME 14.2) 90
Vendor Relations/ Interactions Policy (GME 15.1) 90
House Officer Food & Nutrition Services Meal Plan Policy (GME 16.1) 91
House Officer Wellness Policy (GME 17.1) 92
Evaluations (GME 18.1) 93
Clinical Competency Committee (GME 18.1.a) 95
Program Evaluation Committee (GME 18.1.b) 96
Completion of Training (GME 19.1) 101
Clearance/Checkout Process for House Officers (GME 19.1a) 101
Separation of House Officer from Training Program (GME 19.1b) 102
Post Graduate Verification of Trainings (GME 19.2) 102
Special Review Process (GME 20.1.a.) 103
LSBME Special Consideration Licensure Policy (GME 26.1) 105
Education Reimbursement Request Policy (GME 28.1) 106
Educational Program (GME 29.1) 108
GME Competency Education Program (AMA GCEP) (GME 29.1.a) 109
Chief Resident Policy (GME 30.1) 111
Post Graduate Chief Resident Policy (GME 30.2) 113
House Officer Intern Schedule (GME 31.1) 113
Diversity Policy for Recruitment & Retention of Residents/Fellows, Faculty and Staff (GME32.1) 114
Mission

The primary mission of Louisiana State University Health Shreveport is to TEACH, HEAL and DISCOVER in order to advance the wellbeing of the region and beyond. LSU Health Shreveport encompasses the Schools of Medicine, Graduate Studies, and Allied Health Professions in Shreveport. In implementing its mission, LSU Health Shreveport is committed to:

- Educating physicians, basic scientists, residents, fellows and allied health professionals based on state-of-the-art curricula, methods, and facilities, preparing students for careers in health care service, teaching or research.
- Providing state-of-the-art clinical care, including a range of tertiary special services, to an enlarging and diverse regional base of patients.
- Achieving distinction and international recognition for basic science and clinical research programs that contribute to the body of knowledge and practice in science and medicine.
- Supporting the region and the State in economic growth and prosperity by utilizing research and knowledge to engage in productive partnerships with the private sector.
- Fostering a culture of diversity and inclusion that promotes mutual respect for all.

DEFINITIONS

Patient Expectations are those aspects of care most appropriately identified by the patient. The patient and secondly their families take the leadership role in defining Patient Expectations. These include consideration for a patient’s rights, comfort, culture, dignity, privacy, security, and individuality. Collectively, how these patient’s interests are allowed to affect patient treatment shows our respect and care for the individual.

Patient Needs are those clinical aspects of care best identified by healthcare professionals. Attending physicians take a leadership role in defining needs. Other physicians, nurses, technicians, allied health professionals, and others involved in helping those who deliver care all have expertise to contribute towards identifying and meeting the needs of the patient. The patient has the right to expect that these needs will be coordinated in an atmosphere that supports quality, interdisciplinary respect, and professionalism.

Available Resources are the facilities, equipment, supplies and people that are brought to bear to improve the health of the patient. Resources are limited in quantity. The use of resources must respect the long-term viability and priority goals of the organization. The end use of all resources should support our mission.

The challenge to the physicians and the employees of the hospital is to balance Patient Expectations, Patient Needs, and Available Resources to achieve Patient Satisfaction and Quality Care. This can best be accomplished within a culture of mutual trust, mutual respect, and appropriate empowerment of patients, physicians, and hospital employees.

Louisiana State University School of Medicine
P. 0. Box 33932
Shreveport, LA 71130
Institutional Commitment

LSU Health Shreveport is a major division of the Louisiana State University Health Sciences Center, which in turn is a major segment of the Louisiana State University System under the direction and control of the Louisiana State University Board of Supervisors. As such, there is a direct constitutionally mandated commitment to education in general. The Board of Supervisors has delegated educational responsibility to this campus for the medical and postgraduate medical education under the direct supervision of the Dean and Chancellor.

LSU Health Shreveport, formerly the Louisiana State University Health Sciences Center-Shreveport, Shreveport Charity Hospital, Confederate Memorial Medical Center, and Louisiana State University Medical Center, was founded with a mission to provide physicians to the Northern area of Louisiana but this has been subsequently expanded to meet the needs of the other areas of the state, region and country. There is substantial financial commitment to post graduate medical education as the institutional budget just for Resident salaries and benefits exceeds $15 million. Base faculty salaries in the clinical departments are given just for the teaching requirements. The institution has invested over $750,000 in video-conferencing equipment to link our affiliated hospitals and sister medical school (LSU School of Medicine in New Orleans) so that educational opportunities for all Residents may be enhanced.

There has been and continues to be an aggressive Capital Outlay Expenditure Program before the Legislature. These programs do have a large patient service component in them, but they will be extensively used in Resident education.

The hospital provides a fully funded budget dedicated to the Office of Graduate Medical Education to provide coordination of all related Graduate Medical Education Programs. This office is integrated and works closely with the Graduate Medical Education Committee of the Health Sciences Center.

Faculty at this facility are recruited for their teaching ability as well as their service skills. Hospital Administrators are required to consider teaching needs in their administrative decisions, and these decisions are reviewed.

Finally, there are firm commitments to post graduate medical education from the Chancellor, Dean, DIO and Administrators. The Administrators, faculty, clinicians and staff are proud of our teaching programs, and will take whatever actions are necessary to preserve their integrity.

Accreditation Council for Graduate Medical Education

Accreditation Council for Graduate Medical Education (ACGME) serves as the reference source for the Residency Training Programs that are sponsored by LSU Health Sciences Center in Shreveport. The ACGME, under the direction of the Association of American Medical Colleges (AAMC), is governed by representatives from the other medical professional groups dedicated to quality education and patient care.
The Graduate Medical Education Committee (GMEC) is responsible for ensuring that the residency training programs require its Residents to obtain competencies in the 6 areas below to the level expected of a new practitioner. Toward this end, programs must define the specific knowledge, skills, and attitudes required and provide educational experiences as needed in order for their Residents to demonstrate:

a. **ACGME Six Competencies:**

1) **Patient Care** that is compassionate, appropriate, and effective for the treatment of health problems and the promotion of health.
2) **Medical Knowledge** about established and evolving biomedical, clinical, and cognate (e.g., epidemiological and social-behavioral) sciences and the application of this knowledge to patient care.
3) **Practice-Based Learning and Improvement** that involves investigation and evaluation of their own patient care, appraisal and assimilation of scientific evidence, and improvements in patient care.
4) **Interpersonal and Communication Skills** that result in effective information exchange and teaming with patients, their families, and other health professionals.
5) **Professionalism** as manifested through a commitment to carrying out professional responsibilities, adherence to ethical principles, and sensitivity to a diverse patient population.
6) **Systems-Based Practice** as manifested by actions that demonstrate an awareness of and responsiveness to the larger context and system of health care and the ability to effectively call on system resources to provide care that is of optimal value.

**Office of Graduate Medical Education**

The Office of Graduate Medical Education is located on the 2nd floor of the C-Building in the Medical School (Room 2-436). The Office serves as the central contact point for all Residents in the Training Programs. That point of contact begins at the time of their selection to a residency or fellowship training program and continues throughout their training period until completion of that educational requirement of their professional career. The Resident’s permanent record remains in the Graduate Medical Education Office. The office hours are 8:00 a.m. to 5:00 p.m., Monday through Friday, the telephone numbers are 675-7629 or 675-5053, and the fax number is 675-5069.

**Graduate Medical Education Committee**

**Purpose of GMEC**

The overall role of the Institutional GMEC at LSU Health Sciences Center-Shreveport is one of ensuring that individual departmental programs meet the Institutional Requirements of the Accreditation Council for Graduate Medical Education (ACGME) and the program requirements of the various Residency Review Committees (RRCs). Residents/fellows with complaints/suggestions about their program are encouraged to bring these matters to the attention of their Program Director and the Departmental Chair. If they feel they have been unable to effect change within their respective
program/departments by this method, Residents/fellows are encouraged to bring these matters to the
attention of their GMEC representative or the DIO.

The role of the Institutional GMEC in the adverse action/disciplinary policy is one of ensuring that due
process mechanisms are in place and functioning. The GME Committee does not hear adverse
action/disciplinary matters against individual Residents/fellows but rather ensures that prompt,
appropriate, fair and free access is available through an appeals mechanism.

The Graduate Medical Education Committee (GMEC) is responsible for monitoring and supervising all
aspects of residency/fellowship education. The GMEC meets monthly. Minutes are maintained in the
Office of Graduate Medical Education.

Membership
The GMEC includes the following voting members:
- The DIO
- A representative sample of program directors from its ACGME-accredited programs
- A minimum of two peer-selected residents/fellows from among its ACGME-accredited
  programs (current Resident Council members)
- A quality improvement or patient safety officer or designee

In order to carry out portions of the GMEC’s responsibilities, the following subcommittees exist:
- Institutional Review Subcommittee
- GME Policy Subcommittee

Roles and Responsibilities
The GMEC responsibilities must include oversight of:
- ACGME-accreditation and recognition statuses of the Sponsoring Institution and its ACGME-
  accredited programs
- The quality of the GME learning and working environment within the Sponsoring Institution,
  its ACGME-accredited programs, and its participating sites
- The quality of educational experiences in each ACGME-accredited program that leads to
  measurable achievement of educational outcomes as identified in the ACGME Common and
  specialty/subspecialty-specific Program Requirements
- The ACGME-accredited program(s)’ annual program evaluation(s) and Self-Study(ies)
- All processes related to reductions and/or closures of individual ACGME-accredited programs,
  major participating sites, and the Sponsoring Institution
- The provision of summary information of patient safety reports to residents, fellows, faculty
  members, and other clinical staff members. At a minimum, this oversight must include
  verification that such summary information is being provided.

The GMEC must review and approve:
- Institutional GME policies and procedures
- GMEC subcommittee actions that address required GMEC responsibilities
- Annual recommendations to the Sponsoring Institution’s administration regarding
  resident/fellow stipends and benefits
Applications for ACGME accreditation of new programs
Requests for permanent changes in Resident/Fellow complement
Major changes in ACGME-accredited programs’ structure or duration of education, including any change in the designation of a program’s primary clinical site
Additions and deletions of each of its ACGME-accredited programs’ participating sites
Appointments of new program directors
Progress reports requested by a Review Committee
Responses to Clinical Learning Environment Review (CLER) reports
Requests for exceptions to duty hours requirements
Voluntary withdrawals of ACGME program accreditation or recognition
Requests for appeal of an adverse action by a Review Committee
Appeal presentations to an ACGME Appeals Panel
Exceptionally qualified candidates for resident/fellow appointments who do not satisfy the Sponsoring Institution’s resident/fellow eligibility policy and/or resident/fellow eligibility requirements in the Common Program Requirements

The GMEC must demonstrate effective oversight of the Sponsoring Institution’s accreditations through an Annual Institutional Review (AIR). The following institutional performance indicators for the AIR must include, at a minimum, the following:

- The most recent ACGME institutional letter of notification
- Results of the ACGME surveys of residents/fellows and core faculty members
- Each of its ACGME-accredited programs’ ACGME accreditation information, including accreditation and recognition statuses and citations

The DIO must annually submit a written executive summary of the AIR to the Sponsoring Institution’s Governing Body. The written executive summary must include:

- A summary of institutional performance indicators for the AIR
- Action plans and performance monitoring procedures resulting from the AIR

The GMEC must demonstrate effective oversight of underperforming program(s) through a Special Review process (GME 20.1.a) that establishes a variety of criteria for identifying underperformance that includes program accreditation statuses of Initial Accreditation with Warning, Continued Accreditation with Warning, and adverse accreditation statuses as described by ACGME policies. The Special Review process must include results in a timely report that describes the quality improvement goals, the corrective actions, and the process for GMEC monitoring of outcomes, including timelines.

Faculty and Departmental Roles

Faculty in the Departments of the sponsored programs are best qualified to judge the performance and capabilities of Residents/Fellows in their program. The Departments under the administrative guidance of the Departmental Chair and specific supervision of the program director have the responsibility for the educational program for their residency or fellowship program. They are responsible for the evaluation of those individuals enrolled in such programs according to the established guidelines of the respective Residency Review Committees. Each Department establishes its mechanisms for the ongoing evaluations of its Residents/fellows. Written evaluations should be given and discussed with the Residents so that they may be made aware of their strengths, weaknesses, and recommendations for improvement. The Departments evaluate their Residents/fellows on a regular basis. At this time, the departmental representative
conducting the evaluation should attempt to elicit recommendations from the Resident/fellow to improve the quality of the Resident’s/fellow’s training experience. These evaluations are residency/fellowship program specific but should include (but are not necessarily limited to) an evaluation of:

1. Competency
2. Knowledge
3. Work habits
4. Personal interaction with patients, patient’s families, peers, subordinates, and superiors.

If a Resident’s/fellow’s performance is substandard, disciplinary action is determined and administered by the individual department. Disciplinary action may take the form of:

1. Oral counseling
2. Written counseling
3. Suspension
4. Extension of residency training
5. Dismissal

Next Accreditation System (NAS)
The Next Accreditation System is an outcomes-based accreditation process through which the doctors of tomorrow will be measured for their competency in performing the essential tasks necessary for clinical practice. The NAS is based on a continuous accreditation model with no cycle lengths.

1. Clinical Learning Environment Review
The ACGME established the Clinical Learning Environment Review (CLER) program as a key component of the NAS, with the aim to promote safety and quality of care. CLER consists of three related activities:

- The CLER site visit program is used solely for providing feedback, learning, and helping to establish baselines for sponsoring institutions, the Evaluation Committee, and the IRC. The first cycle of visit findings will result in dissemination of salutary practices by the Evaluation Committee.
- The CLER Evaluation Committee includes a broad cross-section of individuals with expertise related to the aim of the CLER program. The Committee provides input to the design and implementation of CLER site visit activities and conducts evaluation review of sponsoring institutions that are visited during each cycle.
- The ACGME recognizes the great interest by sponsoring institutions to support faculty development in those areas on which the CLER program will focus (e.g., patient safety, health care quality, transitions of care, etc.). Therefore, as part of the CLER program, the ACGME will develop a program to support faculty development.

CLER focuses on six areas important to the safety and quality of care in teaching hospitals and the care residents will provide in a lifetime of practice after completion of education:

- engagement of residents in patient safety
- engagement of residents in quality improvement
• enhancing practice for care transitions
• promoting appropriate resident supervision
• duty hour oversight and fatigue management
• enhancing professionalism in the learning environment and in reporting to the ACGME.

Institutional Review Subcommittee (GME 20.1)
The Graduate Medical Education Committee (GMEC) is responsible for the oversight of the Sponsoring Institution and their approved ACGME-accredited programs. The Sponsoring Institution is responsible for oversight and documentation of resident/fellow engagement in improvement processes within patient care and the learning and working environment. In order to pursue proper oversight, the Institutional Review Subcommittee, designated by the GMEC, is responsible for reviewing each program and assuring compliance with the Institutional and Program Requirements of the ACGME.

1. Policy
Members will be appointed to the Institutional Review Subcommittee (IRS) to review all programs on an annual basis. The program being reviewed shall complete an Annual Program Evaluation (APE) form along with supporting documents and submit to the Institutional Review Subcommittee. The Institutional Review Subcommittee will review the documents and score the program based on the answers and required documents submitted. If after review, a program has scored below the minimum score or has a 30% variation (< 20), the program will be required to go through the Special Review Process. After a program has been reviewed by the IRS, the program’s results will be presented to the GMEC.

2. Procedure
• The Institutional Review Subcommittee consists of members which are Program Directors of various programs, a staff member from the graduate medical education office and chief residents of Internal Medicine.
• The program being reviewed completes the APE form and submits to the GME Office no later than the first Tuesday of the program’s submission month.
• In addition to the APE form, the program must submit the following documents:
  o Printed summary of the program’s ACGME WEBADS Annual Update
  o The policy, member roster, and most recent minutes for your Clinical Competency Committee
  o Copies of your program’s action plan for this year from your Program Annual Curriculum Evaluation
  o Progress report on the action plan Program Annual Curriculum Evaluation from last year
  o Departmental Program Evaluation policy and members list
  o The most recent ACGME Resident Survey and ACGME Faculty Survey
  o An update to your Institutional Annual Program Evaluation submitted last year with updated & completed Action Plan submitted to the GMEC in 2017
• The IRS meets on the 2nd Tuesday of the month and evaluates the program’s answers and the quality and safety of the environment for learning and patient care. The IRS reviews the program based on the APE form and additional documents submitted.
• In reviewing the program, the IRS assesses the program’s resident/fellow engagement in the following six areas:
  1. Patient Safety
  2. Quality Improvement
  3. Transitions of Care
  4. Supervision
  5. Duty Hours
  6. Professionalism (Scholarly Activity)
• The IRS also assesses the program’s compliance in monitoring and tracking in the following areas:
  o Resident Wellness
  o Practice Based Habits
  o In-service Training Exams
  o Board Pass and Take Rate
  o TB and CED Compliance
  o ACGME Resident and Faculty Survey results
• Previous year’s APE Action Plan results
  • Submitted APE complete and timely
• The IRS will then score the program based on the documents submitted. A total of 36 points may be earned. A score below 70% will result in the program going through the Special Review Process.
• The score of the programs being reviewed shall be reported monthly at the GMEC meeting (4th Tuesday) of the review month. The Program Directors and/or Associate Program Directors of the reviewed program are required to be present at the GMEC meeting in the program’s assigned review month.

GME Policy Subcommittee (GME 20.2)
The Sponsoring Institution must be in substantial compliance with the ACGME Institutional Requirements and must ensure that each of its ACGME-accredited programs is in substantial compliance with the ACGME Institutional, Common, and specialty-/subspecialty-specific Program Requirements, as well as with ACGME Policies and Procedures. The Graduate Medical Education Committee (GMEC) is responsible for the oversight of the Sponsoring Institution and their approved ACGME-accredited programs. Responsibilities of the GMEC must include review and approval of institutional GME policies and procedures. In order to pursue proper oversight, the GME Policy Committee is responsible for drafting and reviewing new and current policies as they relate to graduate medical education.

Policy
Members will be appointed to the Policy Subcommittee to review GME policies. Committee will consist of at least three program directors and one resident/fellow member. Individual policies will be sent to members for review via email. Members will make any suggestions or comments and revisions will be made if needed. Policies will then be presented at GMEC meetings for GMEC review and final approval. All policies will be made available online and in the GMEC and House Officer Manuals.

Support Services and Systems
The Graduate Medical Education Committee (GMEC) shall provide support to the Hospital in meeting all requirements to provide the support services necessary to ensure the delivery of quality patient care. It is the priority of the Committee that all support services needed to provide patient care be available 24 hours a day, seven days a week.

The Institution must provide support services and develop health care delivery systems to minimize residents’/fellows’ work that is extraneous to their ACGME-accredited programs’ educational goals and objectives and to ensure that residents’/fellows’ educational experience is not compromised by excessive reliance on residents’/fellows to fulfill non-physician service obligations.

The Institution provides an effective Clinical Laboratory, Health Information Management System (Medical Records) and Radiological Information retrieval system to support the Resident’s educational program needs as well as addressing quality and timely patient care. The institution provides all support services required to be accredited by the Joint Commission on Healthcare Organizations.

The Institution provides appropriate security and personal safety measures in all locations of the Hospital 24 hours a day, seven days a week. These include but are not limited to the parking facilities,
on-call quarters, Hospital and Institutional grounds and related clinical buildings. Also, those residents/fellows on duty will be provided adequate and appropriate food services and sleeping quarters.

Questions concerning the support services are included in the Resident interview during the Internal Review Process. Any areas of concern identified are reported to the GMEC, Department Chairman, Residency Program Director and others as necessary to assure appropriate actions are taken to provide the necessary support service.

**Human Resources**

1. Benefits (GME 22.1)
   a. Malpractice Insurance
      
      The State of Louisiana provides professional liability coverage pursuant to LSA-R.S. 40:129939 et.seq. to Residents when acting within the course and scope of their training or staff which they are assigned as part of their prescribed training, regardless of where the services are performed. However, Residents assigned to a health care facility outside the state of Louisiana may be required to provide additional professional liability coverage with indemnity limits set by the Resident Program Director. Malpractice Insurance is provided through the State of Louisiana self-insurance plan at no cost to the Resident and covers in-house duties only. External moonlighting is not covered. Any questions regarding any malpractice claims or legal inquiry should be reported to the Office of Legal Affairs (675-5406).
   
   b. Disability Insurance
      
      Residents receive, without charge, a basic group disability insurance benefit. Additional individual, own-specialty coverage may be purchased by the Resident at a discounted premium. Any questions concerning the Disability Program and its benefits should be directed to the Medical Education Office. The Medical Education Office then will refer the Resident to the current representative of the benefit.
      
      Unum Provident Representative:
      Robert Redstone
      318-213-2500
   
   c. Health Care Insurance
      
      It is required that proof of Health Care Insurance be presented at the beginning of each contract year. It is mandatory that all house officers obtain health insurance while in training. The institution does not offer free health care to house officers. The institution offers a variety of Health care insurance coverage benefit options for its employees. House Officers may purchase health care insurance as part of the benefits package.
      
      The U.S. Code of Federal Regulations (22 CFR § 62.14) mandates that all J-1 exchange visitors and accompanying J-2 dependents secure comprehensive health insurance effective on the program start date indicated on Form DS-2019 and maintain coverage, without interruption, for the full duration of stay in the United States in J-1 status. Any J-1 exchange visitor who willfully refuses to comply with insurance requirements will be considered to be in violation of his/her status and subject to termination from the J-1 program.
   
   d. Deferred Compensation
      
      Residents have the option of participating in the State Deferred Compensation Program instead of contributing to FICA/Social Security.
A representative from the State Office assists the Resident with their enrollment and assists when the Resident is no longer in a training program and must determine how the accumulated dollars will be managed or withdrawn.

If the Resident does not wish to participate, the normal withholdings will be processed by the Payroll Office and submitted to FICA/Social Security.

Questions regarding Health Care Insurance or Deferred Compensation should be directed to the Benefits Section of Human Resources located on the first floor of the Administration building.

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<tr>
<th>Benefits Office</th>
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<tr>
<td>Room 106</td>
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<td>Administration Building</td>
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<td>318-675-5632</td>
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<td>318-675-7990 (fax)</td>
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<tr>
<td><a href="mailto:shvbenefits@lsuhs.edu">shvbenefits@lsuhs.edu</a></td>
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**Hours of Operation**

Monday – Friday 8:00am – 4:30pm

2. Leave

a. Family and Medical Leave Act

All employees who have been employed for twelve (12) months and who have worked for at least 1,250 hours during the 12 months preceding the start of a leave, are eligible for up to 12 weeks of unpaid leave for certain qualifying events. Qualifying events include:

- For a serious health condition that makes the employee unable to perform the employee’s job.
- The birth of a son or daughter and to care for the child.
- The placement of a son or daughter by adoption or foster care.
- To care for a spouse, son, daughter or parent if the family member has a serious health condition.

The University shall require thirty (30) days advance notice of the request, whenever reasonable. Certification as to the authenticity of the precipitating event will be required. Employees must substitute any applicable accrued paid leave for the 12 weeks of unpaid leave. The University’s portion of employee health coverage will be maintained while the employee is on leave without pay and as long as the employee’s portion is paid. LSU will not contribute to other benefit plans during periods of unpaid leave.

Requests for leave along with pertinent certification documents should be forwarded by the employee’s supervisor and Department Director to the Employee Relations Section of the Human Resource Management Department. The Human Resource Management Department will determine the employee’s eligibility under the Family and Medical Leave Act.

Employees returning to work from Family and Medical Leave will be restored to the same jobs held before going on leave, or to equivalent positions with the same pay, benefits, and other terms and conditions of employment.

*Family and Medical Leave (FMLA) Act Expanded for Military Families*

The Support for Injured Service Members Act, which grants additional leave under the FMLA to “eligible” employees who have family members in the military. The legislation creates two (2) new categories of FMLA leave:
1) Active Duty Family Leave – Employees with a spouse, parent, or child who is on or has been called to active duty in the Armed Forces may take up to 12 weeks of FMLA leave when they experience a “qualifying exigency”.

2) Injured Service member Leave – Employees who are the spouse, parent, child, or next of kin of a service member who incurred a serious health or illness on active duty in the Armed Forces may take up to 26 weeks of leave in a 12-month period (including regular FMLA leave).

Employees may take “injured service member leave” intermittently but must use it up within 12 months. More information on the new leave requirements will be forthcoming once guidelines have been issued by the Department of Labor.

You may contact Pam Owens in Human Resource Management at 675-5614 with your questions or concerns or visit http://myhsc.lsuhscrevereport.edu/hr/fmla.php.

b. Funeral

In accordance with the LSUHealth Employee Policy on Funeral Leave, funeral leave may be given to Residents without loss of pay or required use of annual leave or sick leave to attend the funeral or burial rites of an immediate family member when such rites occur on a scheduled work day.

Immediate family is defined as father, mother, step-father, step-mother, sister, step-sister, brother, step-brother, husband, wife, child, step-child, mother-in-law, father-in-law, grandchild and grandparents. Maximum funeral leave granted cannot exceed two (2) days.

c. Maternity/Paternity

The Resident is required to notify the Human Resources, the Medical Education Office and their Program Director as soon as pregnancy has been confirmed. Sick leave and if necessary, annual leave will be used for the maternity absence. Any leave beyond that will necessitate Leave without Pay Status and result in the extension of the training period.

Paternity leave is authorized only if the Resident has adequate annual leave available.

d. Leave of Absence

The Graduate Medical Education Committee (GMEC) supports the policy for Leave of Absence as referenced by the Presidential Memorandum PM 20, “Leave Policies for Academic and Unclassified Employees and Classified Personnel”. Once all sick and annual leave has been exhausted the house officer may request Leave without pay in writing. In order to be eligible for Family Medical Leave Act (FMLA) a house officer must have worked for at least one year and for 1,250 hours over the previous twelve months.

House Officers who find themselves in a position to require the need to “request a leave of absence” must do so in writing. The request shall be submitted to the Program Director and/or Clinical Chief. The “Leave of Absence” is approved by the Program Director and submitted to the Medical Education Office for record keeping.

The leave of absence shall not exceed the house officer’s current contract. When leave is taken, the House Officer must submit an official certificate from the physician stating the anticipated date of return as well as identify the length of time the period of training will need to be interrupted. A medical release from your physician must be provided to the programs, the Medical Education departments before being able to return to training.

House officers granted a “leave of absence” shall be in a non-paid or “leave without pay” status. During this period, the Resident will be responsible for both portions of the health insurance premium payment if the “leave without pay status” exceeds a two-week period. The Resident shall be directed to review the payment options with the Department of Human Resources, Benefits Division.

Agreements for postgraduate training are valid for a specified period of time no greater than 12 months. Renewal of the agreement is at the discretion of the Program Director or Department...
Chairman and will be dependent upon available funding and/or my performance rating. Agreements may be terminated at any time for just cause that includes unsatisfactory job performance and conduct unbecoming a physician.

**Resident Application and Eligibility**

**National Resident Matching Program**
Residency Program Directors are encouraged to utilize the National Resident Matching Program (NRMP) in the selection process of their incoming Residents.

The institutional administrator registers LSUHSC-S each year for participation in the NRMP. Each participating program director must register for participation in the NRMP via the NRMP website by agreeing to abide by the match agreement. Changes in quotas and other program data must be submitted to the Office of Medical Education for submission to NRMP.

The Graduate Medical Education Office provides support to the Residency Programs in the data entry of the ranking listings. The Program Directors confirm the official NRMP results, and the individual is then processed as a new Resident.

**VISA Eligibility and Requirements**
The Office of Legal Affairs serves as the liaison for all immigration issues involving Residents. The institution accepts applicants on a J-1 visa status to participate in training programs. The Educational Commission for Foreign Medical Graduates (ECFMG) should be contacted for application materials (www.ecfmg.org). It is the responsibility of the applicant to initiate the visa process.

The U.S. code of Federal Regulations governing the alien physician category of the J-1 Exchange Visitor Program strictly forbids the performance of activities outside the primary objective of clinical training covered by the resident contract and is considered unauthorized employment. An exchange visitor who is found by ECFMG to engage in unauthorized employment is considered to be in violation of program status and may be terminated. In addition, the consequences of allowing unauthorized employment could result in a loss of federal grants and contracts for LSUHSC. The Resident is responsible for the continuity of his/her sponsorship or employment authorization to continue as a Resident at this institution.

**Due to Federal Guidelines, individuals with a J-1 VISA are not allowed to moonlight.**

**Employment**

**Terms of Employment**
Employment in residency or fellowship training is by contract. The contractual relationship governs issues that are specific to the residency/fellowship program and supplements those rules and regulations of the State of Louisiana and the LSU System. These items are covered more fully in other portions of the **Resident Manual**. Residents/fellows are expected to read this manual, as they are held accountable for its content. Although the residency/fellowship training programs may vary in length,
contracts are issued for a period of **one-year**. Renewal of the contract for each subsequent year is completely discretionary at the option of either the resident/fellow or the Department.

Programs must provide a Resident with a written notice of intent when that resident’s agreement will not be renewed, when that Resident will not be promoted to the next level of training, or when that resident/fellow will be dismissed. Any Resident receiving notice of intent to not renew his/her contract may request a hearing as outlined in the Due Process and Appeals Policies located in the Resident Manual.

Louisiana law DOES NOT require that the Health Sciences Center allow appeals for a contract non-renewal. However, since the non-renewal of a training contract may have an effect upon a resident’s/fellow’s career the Health Sciences Center does provide a process by which the resident/fellow may appeal the decision of the Department not to renew the contract. The appeal for a contract non-renewal will be handled procedurally in the same manner as an **adverse action matter**. Residents/fellows are advised to read the section under **ADVERSE ACTION/DISCIPLINARY POLICY** carefully as certain time constraints and other regulations apply. Failure to meet timely the requirements may **WAIVE** the right of appeal.

**ADVERSE ACTIONS/DISCIPLINARY POLICY**

**Disciplinary action** is defined as those actions taken to correct, to encourage the correction of, or punish substandard performance or lack of professional conduct. Disciplinary actions beyond written counseling are considered to be serious offenses.

**An adverse action** is defined as something that adversely affects a resident’s/fellow’s career and includes not only disciplinary action but also such matters as a non-renewal of a training contract. As stated above a non-renewal of a contract is **not appealable under Louisiana law**. However, in keeping with the requirements of the ACGME, appeals for contract non-renewals are allowed since they represent a potential (but not necessarily certain) adverse effect upon the resident’s chosen pathway. **NOTE: AS A MATTER OF LOUISIANA LAW, ANY STATE EMPLOYEE WHO IS CONVICTED OF A FELONY MUST BE DISCHARGED FROM STATE SERVICE WITHIN 48 HOURS AFTER THE CONVICTION IS FINAL. THIS LAW APPLIES TO RESIDENTS/FELLOWS, AS WELL AS ANY OTHER STATE EMPLOYEE. ANY RESIDENT OR FELLOW WHO HAS BEEN EXCLUDED FROM PARTICIPATION IN FEDERAL PROGRAMS MUST BE REMOVED FROM EMPLOYMENT IMMEDIATELY UPON DISCOVERY OF THEIR EXCLUSION.**

**Salary Guidelines for House Officers**

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House Officer Pay Scales are subject to final approval by the State of Louisiana legislature. House Officers are paid every other Friday. There are 26 paydays in our fiscal year. House Officer salaries are based on the University’s policies and procedures for determining the level of compensation. Factors used to determine salaries include:

a. Graduates of medical schools in the United States and Canada accredited by the Liaison Committee on Medical Education (LCME)
b. Graduates of colleges of osteopathic medicine in the United States accredited by the American Osteopathic Association (AOA)
c. Graduates of medical schools outside the United States and Canada who meet one of the following qualifications:
   1. Have received a currently valid certificate from the Educational Commission for Foreign Medical Graduates or
   2. Have a full and unrestricted license to practice in a U.S. licensing jurisdiction.
d. All applicants must satisfy any requirements for prerequisite residency/fellowship training, as established by the relevant Residency Review Committee and/or certifying board for the specialty.
e. House officers changing specialties, may receive advance credit but not additional compensation.
f. Additional years of training, special background and experience beyond a traditional residency or fellowship, is not a prerequisite for training, thus additional compensation will not be granted.

**Resident Responsibilities and Policies**

**Orientation**

1. **Pre-Employment Processing**
   The Louisiana State University Health Sciences Center-Shreveport consists of the School of Medicine and campuses of two other professional schools, the School of Graduate Studies and the School of Allied Health Professions. All are part of the statewide Louisiana State University Health Sciences Center. The Graduate Medical Education Committee supports the Health Sciences Center’s pre-employment requirements, which include a drug screen and full background review, including a review of any questions, which may be raised concerning the application. The signature of the applicant on the application gives the Institution approval to verify any information pertaining to the application involving inquiries.

   The Department of Human Resources coordinates the pre-employment process and reports any significant “findings” to the appropriate individual for action and/or follow-up.

   Individuals who fail to comply with the pre-employment requirements may not be eligible for employment at LSUHSC-S. The Department of Human Resources shall notify the appropriate individual(s) as soon as feasible.

   In the event that a Resident’s status changes and he/she does not meet the requirements established by the Institution, the Resident will be advised of his/her non-compliance and appropriate action will be taken. The action taken may include “leave without pay status” until compliance with the institutional requirements are met, or the action may extend to Resident resignation, and/or termination.

2. **Incoming House Officer Orientation**
   The Office of Graduate Medical Education hosts a mandatory Annual Orientation for incoming House Officers in June. The House Officer Orientation includes a number of required orientation topics. Also required of Incoming House Officers is the Graduate Medical Education online orientation. Incoming House Officers must complete this online orientation before they can begin work.

3. **Departmental Orientation**
Each department has its own orientation program to familiarize their House Officers with the practices and policies of each individual program.

4. New Employee Orientation

   LSU Health Sciences Center conducts online new employee orientations throughout the year. House Officers unable to attend the House Officer Orientation in June will be required to complete new employee orientation when they begin training.

Annual CED, Compliance and Quarterly Safety Training (GME 24.1)

House Officers are required to complete yearly and quarterly training modules as assigned in the online learning management system through LSU Health Shreveport. A report of compliance for required training modules is reported each month at GMEC. Programs are responsible for monitoring and ensuring compliance of their residents/fellows for the trainings listed below and any other mandatory trainings by their assigned due date.

Annual CED Training

   Human Resources administers the annual Campus Education Day (CED) Training. Campus Education Day (CED) is a review of annual mandatory training requirements required by various federal, state, and institutional mandates. This training covers HR policies and procedures, diversity and sexual harassment, among other topics.

Annual Compliance Training (HIPAA/Ethics)

   This annual mandatory training is administered by the Compliance Department.

Quarterly Safety

   Quarterly training modules covering various safety topics are administered by the Safety Office.

   To access online trainings above, go online to http://training.lsuhs.edu. Username is the house officer’s 7-digit Employee ID Number. By default, the password is their birthdate (mmddyyyy). However, if the password has been previously changed, the password will be the one selected.

Identification Badge/ Access Card

   All Residents will be issued an identification badge/access card. The card allows access to those areas that require controlled access/entrance after hours or may be considered restricted areas during regular work hours. The access card also authorizes entrance to House Officer assigned parking. The access card identifies access to controlled areas. Please do not allow others to use your card.

   Identification badges are required and can be obtained in the Parking Office in the Administration Building. Badges will be issued as part of the Orientation program only after all required paperwork and training has been completed. The card is the property of LSU Health Sciences Center. Loss of the card will result in a $20 replacement fee to be paid by the House Officer. The cards are returned to LSU Health Sciences Center when the sign out process occurs.
Licenses and Certifications (GME 23.1)

1. Louisiana State Medical License

**Intern (PGY I) Registration**

U.S. Medical School graduates who are first year Residents may serve the PGY I (Internship) year with an INTERN REGISTRATION issued by the Louisiana State Board of Medical Examiners. If you took the NATIONAL BOARDS or USMLE you will be granted Intern Registration on that basis, and a copy of the test results must be furnished to the Medical Education Office (National Boards/USMLE).

**Graduate Education Temporary Permit**

International graduates who are not eligible for full license will be processed for Licensure of the Graduate Educational Temporary Permit outlined by the Louisiana State Board of Medical Examiners (LSBME). Graduates may request the license application form from the LSBME at their website: www.lsbme.louisiana.gov.

Fingerprint cards and fees must be submitted with the application (contact University Police for assistance).

- Upon receipt of your medical license, NOTIFY the Office of Medical Education immediately.

**Residents (PGY II and beyond)**

Residents (PGY II and beyond) are eligible, but not required, to apply for full Louisiana license with the Louisiana State Board of Medical Examiners, with the following exceptions:

- Any Attending Fellow acting as an Attending in their primary area must obtain a full license
- Any Resident engaging in external moonlighting

These exceptions must obtain a full Louisiana license with the LSBME.

Residents (PGY II and beyond) not requiring a full Louisiana license may apply for a temporary permit issued by the LSBME while participating in a residency program beyond postgraduate year one.

All Residents are responsible for maintaining appropriate licensure during their training program. Failure to do so will result in Leave without Pay until licensure is obtained or termination from their training program.

**USMLE**

Residents are responsible for making application in accordance with time frames established by the LSBME and the Federation of Licenses FOR THE USMLE. Any questions regarding the USMLE should be referred to the Federation website: www.fsmb.org or the State Board.

2. Drug Enforcement Administration (DEA) License Policy

**Institution issued DEA numbers**

- House Officers are issued an institutional specific DEA while in training. The institution issued DEA is to be used when treating patients seen through Ochsner LSU Health only (inpatient, clinic, ER, etc.).
- House Officers rotating to other facilities should use the responsible attending’s DEA# on all prescriptions unless they have applied and received their own personal DEA# (linked to LSU).
- Facility specific DEA numbers may not be used at other sites or for non-patients such as fellow residents, family members and friends. If that facility does not have an institutional DEA number, prescriptions should be written under their supervising physician (see above).
Personal DEA numbers
- Personal/Individual DEA numbers may be obtained when the provider has a full license granted by the Louisiana State Board of Medical Examiners (LSBME) or Louisiana State Board of Dental Examiners (LSBDE).

**LSBME**
- After completing one year of training and passing USMLE Step 3, U.S. graduates may apply for and may be granted full LA State Medical licensure by the LSBME. House Officers that have a full LA Medical license may apply for their own DEA after obtaining a personal CDS license.
- House Officers seeking their own DEA while in training may apply and be exempt from the application fee.

**LSBDE**
- Oral & Maxillofacial Surgery residents holding a restricted or full dental license should follow the requirements of the LSBDE regarding CDS and DEA licensure.

Any House Officer eligible and seeking to moonlight must obtain a full license and their own DEA number. Any House Officer with a personal DEA number must provide the GME office a copy of their DEA license.

Programs may have their own departmental specific policies/requirements regarding LA Medical, CDS, and DEA licensure for their House Officers in training.

3. Louisiana Controlled Dangerous Substance (CDS) License & Physician Monitoring Program (PMP)
The Louisiana Board of Pharmacy requires that all Residents and Fellows are to apply and receive their own CDS License. The application fee is $45.00 and must be renewed annually. Residents are also required to register for the Physician Monitoring Program (PMP). Medical interns and residents seeking PMP access privileges must first acquire a Louisiana CDS license. Once the CDS license has been issued, the intern must then apply for PMP access privileges.

4. Medicaid ID Number
Medicaid ID numbers are issued to each House Officer by the DHH through the Graduate Medical Education Office. House Officers without a US Social Security will not have a Medicaid number until US SSN is issued. The number must be recorded on each Medicaid patient prescription, and will be pre-printed on the House Officer Prescription pad. The Medicaid number will remain active until the House Officer completes training.

5. National Provider Identifier (NPI)
NPI numbers are issued to each House Officer by the National Plan & Provider Enumeration System (NPPES). The Graduate Medical Education Office orders NPI numbers for incoming house officers prior to their start date. House Officers without a US Social Security will not have an NPI number until their US SSN is issued. House Officers that have been issued an NPI number prior to training at LSUHSC Shreveport will need to update their information on the NPI website to reflect their training at LSUHSC Shreveport. The NPI number will also be pre-printed on the House Officer Prescription pad.
6. Basic Life Support (BLS), Advanced Cardiac Life Support (ACLS) and other Certifications

House Officers are to check with their specific program regarding any certification requirements including ACLS, BLS, PALS, etc. It is the responsibility of the house officer to obtain their required certifications per the direction of their program. Proof of certification is required and must be American Heart Association approved.

7. CMS 8550

Each House Officer is required to submit a CMS 8550 (Medicare Enrollment Application - Registration For Eligible Ordering and Referring Physicians and Non-Physician Practitioners). House Officers will be required to complete and submit the application to the GME office for submission to Medicare. A copy validating their completion and submission will be placed in their file in the GME Office.

Immunization Requirements (GME 21.1)

At the time of employment, all House Officers and LSU Health Sciences Center employees are required to meet with the Occupational Health Clinic representative. Proof of immunizations, titers, TB status, and other pertinent health records should be reviewed with the OHC Nurse or Medical Director at that time.

House Officers must comply with all institutional on-going immunization requirements. Failure to comply may result in loss of privileges, suspension from the program and/or other disciplinary action.

Any questions regarding the immunization or other Occupational Health issues may be directed to the OHC Medical Director, the Program Director or other administrative officials.

Prescription Pads

All House Officers are required to use preprinted prescription pads or use the designated printers in the clinics when writing prescriptions. House Officers are required to use their own preprinted prescription pads. Initial issue of preprinted prescription pads is four pads of 100. Requests for additional prescription pads should be made in the Graduate Medical Education office or call 675-5053.

House Officers are responsible for safeguarding their prescription pads at all times, to prevent unauthorized use of them. Each House Officer must pick up his or her prescription pads in person only in the Graduate Medical Education Office.

Uniforms

1. White Coats

The Graduate Medical Education Office will order three (3) new lab coats for interns in their initial PGY-I year. Graduate Medical Education will order coats for PGY-II or first-year fellows with name, degree and department monogrammed on the coats.

2. Scrub Suits

Purple Scrub Suits will be ordered for interns in their initial PGY-I year and for new first-year fellows. These scrub suits may be worn in the LSU Health Sciences Center and OLHS campus except in the restricted areas per hospital policy.
University Police has been directed to instruct personnel leaving the institution with “Hospital Owned” scrub suits of the current scrub suit policy (hospital owned scrub suits are not to leave the designated areas). Continued abuse of the scrub suit policy may result in disciplinary action.

3. Dress Code
House Officers are encouraged to dress appropriately as a medical professional. Individuals are reminded that personal hygiene is also an aspect of a physician’s professionalism. Individual departments may establish more specific guidelines for dress.

Communications
1. Cell Phones
The use of cellular phones is prohibited in the following areas of the hospital.
- MICU
- SICU
- PICU
- NICU
- OR
- Recovery Room
- Labor & Delivery
- Burn Unit
- Telemetry (7K)
- Heart Cath Lab
- Special Procedures

The use of cellular phones is limited to those times when an employee or volunteer are on break from their work assignments. Cellular phones are not to be used by employees while in their work areas, including elevators, nursing stations, any patient care or diagnostic area. Phones are to be turned off when the employee is not on break. Cellular phones may be used in staff lounges/break areas, the cafeteria or designated smoking areas.

Exception: Hospital drivers may utilize cellular phones in order to expedite response to requests; phones are to be used for hospital business only.

2. E-mail
The Office of Graduate Medical Education coordinates the assignment of email addresses with the Office of Computer Services for the House Officers. The e-mail system is one of the primary notification systems used when communicating important and timely notices to the House Officers. It is the responsibility of the House Officers to check email messages regularly.

3. Pagers
The LSU Health Sciences Center and OLHS consider it essential to have certain employees readily accessible by telephone in order to affirm its mission. To facilitate this accessibility need, there is a pocket pager system in place. The pocket pagers are the property of OLHS and, therefore, the person to whom the pager is assigned has the responsibility for its safe keeping. If the pager is lost, or shows abuse other than normal wear and tear the House officer to whom the pager has been assigned should contact the Switchboard to seek a replacement pager.

1. All pagers/beepers shall be answered within ten minutes of a message being received. If a pager/beeper is not answered within this prescribed time frame, the individual initiating the page/beep will follow the chain of command until contact has been made with an appropriate
staff member. A variance report shall be completed by the individual initiating the page/beep when response is not within the ten-minute time frame.

2. The Hospital Switchboard is responsible for the procurement and distribution of local pagers/beepers.

3. Telecommunications is responsible for the procurement and distribution of long range pagers/beepers. To obtain long range pagers/beepers—requesting department submits a memo indicating the type of pager/beeper requested and name of employee who will utilize it to LSU Telecommunications Office G-112.

4. The Switchboard is responsible for facilitating the repair of local pagers/beepers.

5. LSU Telecommunications is responsible for facilitating the repair of long range pagers/beepers.

6. To access the hospital pager/beeper system, dial 675-7007 and follow the recorded prompts. A current pager/beeper list is maintained by the Switchboard.

7. Departments reassigning a previously issued pager/beeper to another person shall notify the Switchboard immediately of the reassignment by memo or email. The memo or email shall contain the pager/beeper number, the persons’ name and office/contact telephone number. If the person will at any time be required to be on-call, a home or cellular contact number is required.

4. Overhead Page
Paging is by an audible voice system through the hospital switchboard. It is limited to the Hospital. When needed, you will be paged by name. When you hear your name, go to the nearest hospital telephone and dial “0”. “House phones” are located in the dining room and snack bar and provide automatic connection with the hospital switchboard.

5. Personal Call Policy
The Personnel Department is routinely asked to forward incoming telephone calls to employees who do not have immediate access to an office or departmental phone. The demands on the Personnel Department to locate employees have grown substantially and have diverted staff resources from more important activities.

The purpose of this policy is to insure that all employees are aware of the position which the university has taken with regard to personal telephone calls during work hours and to insure the appropriate utilization of the Medical Center’s telephone system.

Policy:
- Non-emergency telephone calls to or from employees while the employee is on duty are not permitted. Non-emergency calls should be handled during non-work periods. Employees should provide a departmental phone number to relatives or persons who may need to contact them in the event of an emergency.
- General calls referred from the switchboard to the Personnel Department will be screened to determine the nature of the call. Non-emergency calls will not be referred. Callers will be advised that the Personnel Department will refer only emergency calls.
- Provided the caller informs the Personnel Department that the call is of an emergency nature and is willing to describe the emergency, a message will be relayed to the employee via the department head or supervisor.
- The caller will be asked for the telephone number the employee is to call, should a return call be necessary, and/or the appropriate department will be advised of the extent of emergency.
Telephone devices shall be restricted to local calling capability only. Exceptions to this restriction shall be made only upon request from the budget head and approved by the Medical Center Administrative Head responsible for the department.

Medical Center telephones with long distance calling capability shall be used for University business only. Failure to adhere to this policy may result in disciplinary action, up to and including termination of employment. Restitution to the University for personal long distance Calls made shall be required.

**Patient Care Guidelines**

*Purpose*
To ensure that ACGME-accredited training programs adhere to established criteria and guidelines set forth to foster optimal patient care in the hospital setting.

*Policy*
1) Patients may be admitted to LSUHSC by faculty members of the medical staff with admitting privileges and by Residents admitting patients to the designated attending physician for their assigned service. The patient’s physician shall establish the patient’s condition and provisional diagnosis on admission. All patients admitted to LSUHSC shall be considered teaching patients and optimum care provided.
2) The institution will delegate the responsibility to each ACGME-accredited training program to develop and implement guidelines that will result in a sound educational benefit to the Residents and ensure that optimal Residents provide patient care at all times. The established guidelines must meet compliance with the responsible ACGME Residency Review Committee (RRC) and program requirements.
3) ACGME-accredited training programs are responsible for developing and implementing written criteria and guidelines to govern the following Resident duties:
   a. Order writing by Residents and attending on all teaching services within their respective clinical department;
   b. Number of admissions allowed for each Resident per each 24-hour period;
   c. Number of admissions allowed for each Resident per 48-hour period;
   d. Number of patients allowed under the care of each Resident at any given time on inpatient medical services (non-acute care).

**Service Behavior Expectations**

*Attitude/Appearance*
- Promptly welcome each patient/visitor in a friendly manner, smiling warmly and introducing yourself. Don’t allow anyone to feel ignored.
- Neither patients nor their family members are an interruption of our work; they are our reason for being here.
- Every employee’s attire will always be professional, tasteful, tidy and discreet.

*Communication/Etiquette*
- Employees will introduce themselves promptly when speaking to patients, family or visitors. Script: Good morning, afternoon, evening. I am (first and last name) and I am from (department name) and I am here to (describe duties).
- All employees will be courteous when dealing with patients or visitors using terms such as ‘please’ and ‘thank you’ as well as showing proper respect.
• All employees will listen to any concern or complaint identified by any patient, family member, friend, or visitor showing proper concern and appropriate follow up.
• Employees will communicate with each other in a polite and respectful manner.

Telephone Etiquette
• Employees will know how to operate the telephone system in their areas. When transferring a call, first provide the caller with the correct number in case the call is lost.
• Calls must be answered as soon as possible.
• Answer all calls by identifying your department and yourself, asking ‘How may I help you?’ or the equivalent. Speak clearly.
• Obtain the caller’s permission before putting them on hold. Thank the caller for holding when returning to the line.
• If a call is for another employee in your area, place the caller on hold and politely locate the person don’t just holler down the hall.

Elevator Etiquette
• Use the elevator as an opportunity to make a favorable impression. Smile at and/or speak to fellow passengers.
• Do not discuss patients, their care or hospital business on elevators.
• When a patient is on a bed or stretcher and needs to be transported by elevator, don’t allow that patient to be surrounded by other visitors or employees. Politely ask the others to wait for another elevator. Also, use only appropriate freight elevators.
• When transporting patients in wheelchairs, always face them toward the elevator door.
• Once on an elevator, make room for others and hold the door open for them.

Call Lights
• All direct patient care providers are responsible for understanding and answering any patient call light.
• Any direct patient care provider noticing an unanswered call light is to enter the room and ask the patient, “What can your nurse bring you?” Do not leave the floor until you are sure the message has been conveyed to the proper direct patient care provider.
• The nurse’s station should never be left unattended. An employee should be in the nurse’s station to answer the call light and telephone at all times, if at all possible.

Patient & Family Concerns/Privacy
• Use easily understood and appropriate language when providing information to the patient regarding health, special diets, tests, procedures, and medications. Avoid technical or professional jargon when communicating with patients, family members, and friends.
• Take time to educate families about the procedure that the patient is to undergo. Politely inform family members that all procedures do not begin as soon as a patient enters the appropriate area.
• Provide a comfortable atmosphere for waiting family/friends.
• The patient’s family is just as important as the patient.
• Update family members periodically while a patient is undergoing a procedure.
• Reduce the unnecessary noise on patient units to provide a restful atmosphere.
• Be sure that patients know when diagnostic tests results are available and how they can obtain the results (i.e., next clinic appointment, etc.)

Confidentiality
• Information about patients and their care must never be discussed in public areas such as the cafeteria, elevators, lobbies, and waiting rooms. Likewise, hospital business should not be discussed in public areas.
• Interview patients in privacy. Close doors if available; close curtains when indicated.
• All employees shall respect the privacy of their co-workers by eliminating gossip.

Privacy
• Always knock before entering a patient’s room.
• Provide the proper size gowns for patients.
• Close curtains or doors during examinations, procedures or when otherwise needed.
• Provide sheets or blankets when a patient is being transported.
• Provide a robe or second gown when a patient is ambulating or in a wheelchair.

Commitment to Co-Workers
• Keep your work area and surrounding environment clean and safe.
• Do not say, "it’s not my job." If you are unable to meet a request, be responsible for finding someone who can.
• Check on patients before shift change to minimize patient requests during shift change report.
• Rudeness is never appropriate. We must treat each other with courtesy and respect at all times.
• Treat every co-worker as a professional. Recognize that we each have an area of expertise.
• Welcome new or floating employees. Be supportive by offering help and setting an example of the cooperation expected in the workplace.
• Do no chastise or embarrass fellow employees.

Safety
• Report all accidents and incidents promptly.
• Identify all safety hazards and correct or if not able to correct, report it.
• Protect your back when lifting, pushing, pulling, or carrying. Get help if necessary.
• Use protective clothing and equipment when appropriate.

Any employee who notices litter should immediately pick it up and properly dispose of it. All spills must immediately be cleaned up. This will help prevent any person from slipping and falling due to debris or spillage.

Services and Programs

Meal Program Offerings (GME 25.1)

The LSUHSC Meal Card for House Staff participating in the Meal Program is valid throughout one’s Residency/Fellowship training at LSUHSC. One of three food plan options may be selected; the plan will be in effect for one contract year. Plans cannot be changed until the time of contract renewal. Deductions will be taken from the House Officer’s check each pay period and the corresponding amount credited to the meal plan per month. House Officers may also choose to opt out and not receive the discounted meal plan. Please refer to House Officer Food & Nutrition Services Meal Plan Policy for more detailed information.

1. Ochsner LSU Health Shreveport Cafeteria

   The cafeteria is located on the ground floor of the main hospital across the hall from the credit union. For breakfast the cafeteria provides an extensive variety of hot & cold breakfast items to choose. For lunch & dinner the cafeteria provides a variety of entrees & vegetables from the main serving lines.
2. Atrium Deli
The Medical School Deli is located on the ground floor of the Medical School. It features a PJ’s Coffee offering a variety of fresh brewed coffees, cappuccinos, lattes, & other espresso drinks and a WOW Café which serving wings, salads, burgers, sandwiches, and more.

<table>
<thead>
<tr>
<th>Atrium Deli Hours of Operation</th>
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<tbody>
<tr>
<td>Monday-Friday</td>
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</table>

3. ACC Deli
The ACC Deli is located on the 1st Floor of the ACC Building. The Deli in the ACC Bldg. will serve breakfast sandwiches, made-to-order subs, pizza and salads. Hot brewed coffee and fountain drinks will also be available.

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<thead>
<tr>
<th>ACC Deli Hours of Operation</th>
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<td>Monday-Friday</td>
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4. St. Mary Medical Center
Residents rotating to SMMC can utilize their meal cards while on rotation at SMMC.

<table>
<thead>
<tr>
<th>SMMC Cafeteria Hours of Operation</th>
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<tbody>
<tr>
<td>Monday – Friday</td>
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<tr>
<td>Saturday – Sunday</td>
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<tr>
<td>Hot Meals Served</td>
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<td></td>
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<tr>
<td>Coffee Shop</td>
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</table>

5. Ochsner LSU Health Monroe
Residents house or rotating to OLH Monroe can utilize their meal cards in the cafeteria located at OLH Monroe.

Medical Library
Louisiana State University Health Sciences Center in Shreveport has an excellent medical library located in the school adjacent to the hospital. The Library is staffed with qualified medical library professionals who are available to assist Residents with any query they may have. In addition, there are many online resources available to the Residents and/or their clinical departments. The library also houses two computer labs, scanners and copy machines.
For more information and services about the Medical Library please visit https://inside.lsuhs.edu/departments/medical-library.

**On-Call Quarters (GME 27.1)**

Services requiring overnight coverage have designated call rooms in a secured area accessible by card access only on the ninth (9th) floor of the hospital. Clean linens are provided on a daily basis. Each room has a telephone for call-back. Do not use any room not assigned to your service. All call rooms are accessible by key and/or combination only. Residents must request a key or door code through his/her respective departments. The call room should only be utilized by on-call Residents.

Medical Records, Radiology Films and other patient documents should not be left in the on-call rooms. Periodic room inspections are conducted and items may be removed and returned to their appropriate location. Hospital owned scrub suits should be returned to the appropriate designated area. Residents have a responsibility of removing their personal items as deemed appropriate to allow proper cleaning of the on-call room. Any problems with the on-call rooms should be reported to GME Office for follow-up. On weekends, if a problem occurs, the House Manager should be contacted.

**Resident Lounge**
The Resident Lounge is also located on 9th Floor (Room 9H-9). The lounge has seating, microwave, TV, telephone and a refrigerator. The Lounge is a safe, quiet, clean, and private sleep/rest facility available and accessible for residents. A computer is also provided for access for residents/fellows to use. Residents/Fellows should be sure to log off the computer after every use. Access to the lounge is for current Residents/Fellows in training. Door combination code will be distributed to programs for access. Any issues with access should be brought to the attention of the GME office.

After-Hours meal service is made available through the cafeteria for residents/fellows on-call. Only those on-call residents/fellows should utilize the foods provided in the Resident lounge after hours. Abuse of the service could result in termination of the service.

Residents/Fellows are to clean up after themselves and be respectful of all furnishings in the Resident Lounge and call rooms. Damages caused due to inappropriate use will not be tolerated.

**Counseling Services**
Group Support, Employee Assistance Program and the Physician’s Health Foundation of Louisiana are available to provide counseling support and direction for House Officers seeking assistance.

Physicians take care of others continuously. However, physicians also need assistance at times, and we want you to know that it is ok to ask for help. It is the primary role of the Physicians’ Health Foundation of Louisiana (PHFL) Physicians Health Program (PHP) to offer assistance to physicians who may be suffering from difficulties such as substance use issues, depression, anxiety, etc., in addition to a host
of physical ailments and disruptive behavioral patterns. The PHFL PHP supports physicians who are in our program and advocates for them with hospitals, health plan networks, malpractice insurance carriers, medical boards, etc.

The Resident may contact the PHFL, a subsidiary of LSBME directly (1-888-743-5747) to seek assistance or a referral/request may be made by a concerned individual regarding the physician. The PHFL proceeds very carefully in their review of the individual’s case. All inquiries are handled with extreme confidentiality. In the event the physician in question is in immediate danger or may endanger his patients, a suspension could occur until adequate data has been collected.

If the physician is found to have impairment and agrees to work with the PHFL to address the problem, he or she will not be penalized by the Louisiana State Board of Medical Examiners. Confidentiality is always stressed.

In the event that a Residency Training Director believes that a psychiatric evaluation is necessary for training to continue, the Residency Training Director will request an evaluation by Dr. Mark A. Cogburn, DrNP, PhD or another psychiatry faculty member in writing. This request will also list specific information as to why the evaluation is warranted. Dr. Cogburn will request the Resident to sign a release of information in order to notify the Residency Training Director of the diagnosis, treatment recommendations, and if the recommendations are followed. If the Resident does not agree with the above, he has access to the due process procedure as outlined in the Resident Manual. Any Resident who is in need of psychiatric care may contact Dr. Cogburn or a psychiatry faculty member Dr. Cogburn is available for confidential evaluation and referral if necessary. The Resident may also request short term counseling from the Employee Assistance Program.

1. Employee Assistance Program

   It is estimated that in a typical employee population, six to ten percent of the work force suffers from alcoholism or an alcohol related problem; two to three percent have difficulty with drugs, and six to seven percent experience emotional problems. Statistics specifically related to hospital employees reflect estimates similar to the general employee population. Studies indicate that approximately 15% of physicians are alcohol or drug dependent. Data regarding nurses indicate problems with chemical dependency as well as with depression, stress, and burn-out. Also, because 75% to 80% of a typical hospital’s employees are women, family problems such as divorce and domestic violence as well as concerns about alcoholism or drug dependence of a family member may be more prominent than in other employee populations. During periods of economic recession and unemployment when many women workers become the family’s sole economic provider, financial and legal difficulties appear more frequently.

   Because of the nature of their work, many health care professionals are subject to considerable job stress. The life and death responsibilities of hospital work and the need to be always caring and concerned can create substantial stress and strain on an employee’s emotional life. Also, the disruption in an employee’s routine caused by rotating shifts, weekend work, and on-call duties can magnify personal problems. Stressful work situations can often exacerbate existing problems. The impact of personal problems on health care workers can have more serious and lasting consequences than in some other occupations. An impaired health care worker can cause direct harm to patient through carelessness, mismanagement of medication, or failure to communicate the patient’s requirements.
Although personal problems occur among hospital employees with at least the same frequency as in other work forces, the belief that health care workers should be immune from personal problems impedes the identification of these problems. An EAP in a hospital can provide “help for the helper”.

The Employee Assistance Program (EAP) is a sponsored service which is designed to encourage employees to take the initiative for their own health and wellness. With the assistance of professional consultation, employees can solve a wide range of personal problems that could adversely affect their personal lives or professional careers.

If you feel you have a problem, you can simply call the EAP office at 675-7397; or if your performance has declined, your supervisor may recommend on a formal or informal basis that you call the EAP consultant to discuss your problem. The EAP guidance and referral services are free of charge to LSUHSC employees.

a. Frequently Asked Questions:

_Can I be guaranteed that participation in the Employee Assistance Program will not hurt my job promotional opportunities?_

It is in your best interest to seek early counseling through the EAP program. Even if management has talked to you about a possible problem, you may voluntarily seek treatment and counseling by stepping forward and accepting the help that is available.

If management is sufficiently concerned about job performance, a formal referral to EAP may be made. If the employee elects not to follow referral for evaluation and possible treatment, the referral person will be notified. Should job performance continue to decline, disciplinary actions may be taken by LSUHSC-S management?

_How confidential is the program?_

The Employee Assistance Program goes to great lengths to respect your right to privacy. Like all medical files, EAP records and discussions regarding the nature of personal problems will be handled in strict confidence. EAP records will be maintained separately from personnel files by the Director of the EAP. EAP insures that employees at all levels have the opportunity to obtain the best professional help in an atmosphere of understanding and privacy.

_My problems are private. What right does LSUHSC-S have to interfere with my personal life?_

You’re right! Your problems are personal, until they begin to have a detrimental effect on your work performance. Then personal problems affect more than just you, they affect your co-workers and the productivity of your team.

_How do I get started in the program?_

There are two ways to get started in the program. (1) If you feel you have a problem, you can simply call the EAP Office at 675-7397. (2) Or, if your performance has declined, your supervisor may recommend on a formal or informal basis that you call the EAP consultant to discuss your problem.

_Can I participate if job performance has not been affected?_

Absolutely! LSUHSC-S hopes that awareness of the EAP and understanding of its principles will encourage employees to seek help on their own before problems impact job performance.

_Who will pay for the cost of the counseling, or for other recommended assistance?_
The EAP guidance and referral services are free. Diagnosis and treatment cost outside Employee Health Services will, to the extent they are covered by regular group health insurance, be paid for by your insurance.

**How long does it take to get help?**

It’s LSUHSC-S’s goal to have all employees receive the help they need as soon as they contact the EAP director. Once the medical/emotional problem is evaluated, you will be counseled and offered referral to an appropriate treatment source.

**Payroll Services**

The Shreveport Payroll Center’s mission is to administer and facilitate payroll services in a timely, accurate and professional manner, and to provide quality service to our employees, vendors and governmental agencies.

The Payroll Professionals will assist any employee with questions, concerns and problems regarding paycheck issues. Please call or go by the Payroll Department where “Quality Service” matters.

3rd Floor Administration Building Rm. 311.
Ph: 318-675-5251
Office hours 8:00am to 4:30pm Monday – Friday

Residents are paid biweekly. Supplemental pay will be included on the last payday of the month. If you have any questions regarding your check, please contact the Office of Medical Education immediately or the Payroll Office.

Employees must complete a Direct Deposit Authorization Form as part of the hiring process. The completed form must be submitted prior to the employee’s date of hire. Failure to submit this form in a timely manner may delay the start of employment. The direct deposit may take one to two pay periods to take effect and the employee will receive a physical check during this period of time. (See AD 6.13)

All Payroll Forms can be found on the Payroll website at: https://inside.lsuhs.edu/departments/payroll

**Parking**

House Staff are currently assigned to “P”, “R” and “M” lots at no charge, but must register to park with the Parking office located on the 1st floor of the Administration Building, Room 123. Emergency (call-back) parking should not be in designated fire lanes. If you require an escort after hours, please notify University Police. Adherence to the University Parking Rules and Regulations is expected. Violations may result in fines and/or towing of your vehicle.

LSU Health Shreveport Parking Portal
You may use the parking portal to pay or appeal parking citations, register your vehicle, and request a parking pass. Please register your vehicles and select your current parking location by following the steps at https://lsuhs.t2hosted.com/Account/Portal.
Office of Diversity Affairs and Equal Employment Opportunity
LSU Health – Shreveport values a diverse community and does not discriminate in our employment practices on the basis of race, color, religion, sex, national origin, political affiliation, sexual orientation, gender identity, marital status, disability, veterans status, genetic information, age (over 40), or other non-merit factors to ensure compliance with all applicable federal, state, and local laws.

The Office of Diversity Affairs and Equal Employment Opportunity is responsible for advancing and monitoring the Equal Employment Opportunity Commission (EEOC) laws that cover Title VII, Equal Pay Act (EPA), Americans with Disabilities Act (ADA), Age Discrimination in Employment Act, ADEA (age over 40), and Genetic Information Nondiscrimination Act (GINA) as well as the diversity and inclusion policies, procedures and initiatives of the LSU system.

The office develops programs and procedures to promote a culturally diverse and inclusive work and educational environment where faculty, staff, and patients are treated fairly and recognized for their individuality. The university’s commitment to diversity is reinforced through training and education.

Resident/Fellow Council (GME 33.1)

Policy
LSU Health Shreveport must ensure availability of an organization, council, town hall, or other platform that allows all residents/fellows from within and across the Sponsoring Institution’s ACGME-accredited programs to communicate and exchange information with other residents/fellows relevant to their ACGME-accredited programs and their learning and working environment. All interns, residents and fellows in training at LSU Health Shreveport are eligible for membership. Membership dues are $20/year and are paid directly to the Resident Council.

Purpose
The Resident Council is composed of a President, Vice-President, Secretary, Treasurer, and three Members-at-Large. Each Council shall serve for one academic year from July 1st to June 30th. Through the Resident Council, any resident/fellow from one of the Sponsoring Institution’s ACGME accredited programs must have the opportunity to directly raise a concern to the forum. Residents/fellows must have the option, at least in part, to conduct their forum without the DIO, faculty members, or other administrators present. Residents/fellows must have the option to present concerns that arise from discussions at the forum to the DIO and GMEC.

- Resident Council is a non-profit organization whose purpose and objectives shall be to:
  1. Provide a coordinated communication mechanism between the institution and residents.
  2. Provide support for members experiencing difficulties with residency and a venue for expression of grievances.
  3. Promote an effective and satisfactory working and training environment for residents.
  4. Provide a forum for the professional development of members via educational programs, networking opportunities and leadership experience.
  5. Provide a venue to support the residents in the exchange of ideas, and dissemination of information relevant to the profession and social development of its members.
  6. Assume roles in policy making decision by serving on various hospital committees to provide resident representation.
8. Facilitate resident involvement with organized medicine.
9. Reject the use of strike by a Resident Association member for the purpose of negotiation.

At least one resident of the Resident Council must be present for each GMEC meeting held monthly.

Resident Council Bylaws are also available for detailed duties/expectations of Resident Council officials and members.

Procedure
Before the end of each academic year, the Graduate Medical Education office will ask for nominations for elected positions from each program. Each program may nominate two members each election. Nominees will be contacted and confirm their desire to serve on the Resident Council if elected to each position. The GME office will send out an electronic ballot to all residents/fellows to vote for the next academic year’s Resident Council and announce the elected officials once the election period is closed. The elected Resident Council will work with the Designated Institutional Official, GME Office and resident council members to promote quality training at LSU Health Shreveport.

**POLICIES AND PROCEDURES**

*Please note all policies are subject to revision and updates. Please ensure you are reviewing the most recent version when referring to any policy.

**GMEC POLICIES**

**Resident/Fellow Eligibility Requirements for Training (GME 1.1)**

The program director is responsible for ensuring all applicants under consideration for residency/fellowship training in the program meet the eligibility requirements of the Institution and the Accreditation Council for Graduate Medical Education (ACGME) detailed below. The enrollment of non-eligible Residents/Fellows may be cause for withdrawal of accreditation of the program by the ACGME. Only applicants who meet the following qualifications are eligible for appointment to accredited residency programs sponsored by the Institution:

- **Physical Examination:** All newly appointed Residents must complete and pass a pre-employment physical examination, which includes a drug screen and background check for any felony convictions. All activities are coordinated by the Human Resources Department.
- **United States Medical Licensing Examinations (USMLE) or Comprehensive Osteopathic Medical Licensing Examination (COMLEX):** All Residents must comply with the requirements for passing USMLE Steps 2 and 3 or COMPLEX Levels 2 and 3 as required.
- **Louisiana Medical License:** It is the responsibility of all Residents to obtain an unrestricted Louisiana license to practice medicine as soon as they meet the minimum postgraduate training requirements stipulated by the Louisiana Board of Medical Examiners.
- **Entry of Foreign-Born Medical Graduates to the United States:** The entry of foreign-born graduates of non-U.S. medical schools to the United States is governed by the U.S. Immigration and Nationality Act, as amended, which is administered by the US Citizenship and Immigration**
Services (USCIS). It is a violation of federal law to provide employment to a non-U.S. citizen who does not hold an appropriate visa or other appropriate work authorization documents from the USCIS.

- Residency/Fellowship program directors considering foreign-born applicants should carefully review the applicant’s visa status to ensure the applicant holds a visa valid for graduate medical education [exchange visitor (J-1), or immigrant visa]. International medical graduates must also hold a currently valid Standard Certificate of the Educational Commission for Foreign Medical Graduates (ECFMG).
- The Office of Legal Affairs must be notified of all non-US citizens accepted for residency training. Legal Affairs will ensure the Resident/Fellow holds an appropriate visa and assist in processing the paperwork required for visas for residency training at LSU Health Shreveport.

- Residency/Fellowship training programs may not appoint more residents/fellows than approved by the Review Committee.
- All complement increases must be approved by the Review Committee.

**Resident Eligibility Requirements**

- Only applicants who meet one of the following qualifications to be eligible for appointment to an ACGME-accredited program:
  - Graduation from a medical school in the United States or Canada accredited by the Liaison Committee on Medical Education (LCME)
  - Graduation from a college of osteopathic medicine in the United States accredited by the American Osteopathic Association Commission on Osteopathic College Accreditation (AOACOCA)
  - Graduation from a medical school outside the United States or Canada and meeting one of the following additional qualifications:
    - Holding a currently valid certificate from the Educational Commission for Foreign Medical Graduates (ECFMG) prior to appointment
    - Holding a full and unrestricted license to practice medicine in the United States licensing jurisdiction in which the ACGME-accredited program is located
- All applicants must satisfy any requirements for prerequisite residency training, as established by the relevant Residency Review Committee and/or certifying board for the specialty. All prerequisite postgraduate clinical education required for initial entry or transfer into ACGME-accredited residency programs must be completed in ACGME-accredited residency programs, AOA-approved residency programs, or in Royal College of Physicians and Surgeons of Canada (RCPSC)-accredited or College of Family Physicians of Canada (CFPC) - accredited residency programs located in Canada, or in residency programs with ACGME International (ACGME-I) Advanced Specialty Accreditation
  - Residency programs must receive verification of each resident’s level of competency in the required clinical field using ACGME, CanMEDS, or ACGME-I Milestones evaluations from the prior training program upon matriculation.
  - A physician who has completed a residency program that was not accredited by ACGME, AOA, RCPSC, CFPC, or ACGME-I (with Advanced Specialty Accreditation) may enter an ACGME-accredited residency program in the same specialty at the PGY-1 level and, at the discretion of the program director of the ACGME-accredited program and with approval by the GMEC, may be advanced to the PGY-2 level based on ACGME Milestones evaluations at the ACGME accredited program. This provision applies only to
entry into residency in those specialties for which an initial clinical year is not required for entry.

- **Resident Eligibility Exception**
  - The Review Committee for the appropriate program will allow the following exception to the resident eligibility requirements:
  - An ACGME-accredited residency program may accept an exceptionally qualified international graduate applicant who does not satisfy the eligibility requirements listed but who does meet all of the following additional qualifications and conditions:
    - evaluation by the program director and residency selection committee of the applicant’s suitability to enter the program, based on prior training and review of the summative evaluations of this training; and
    - review and approval of the applicant’s exceptional qualifications by the GMEC; and
    - verification of Educational Commission for Foreign Medical Graduates (ECFMG) certification
  - Applicants accepted through this exception must have an evaluation of their performance by the Clinical Competency Committee within 12 weeks of matriculation.

**Fellow Eligibility Requirements**

- **Fellowship Review Committees** choose one of the following:
  - **Option 1:** All required clinical education for entry into ACGME accredited fellowship programs must be completed in an ACGME accredited residency program, an AOA-approved residency program, a program with ACGME International (ACGME-I) Advanced Specialty Accreditation, or a Royal College of Physicians and Surgeons of Canada (RCPSC)-accredited or College of Family Physicians of Canada (CFPC)-accredited residency program located in Canada.
    - Fellowship programs must receive verification of each entering fellow’s level of competence in the required field, upon matriculation, using ACGME, ACGME-I, or CanMEDS Milestones evaluations from the core residency program.
  - **Option 2:** All required clinical education for entry into ACGME accredited fellowship programs must be completed in an ACGME accredited residency program or an AOA-approved residency program.
    - Fellowship programs must receive verification of each entering fellow’s level of competence in the required field, upon matriculation, using ACGME Milestones evaluations from the core residency program.

- **Fellow Eligibility Exception** (Review Committees that selected Option 1 will decide whether to allow this exception. This exception does not apply for those that do not allow and for Review Committees that selected Option 2.)
  - The Review Committee for the appropriate program will allow the following exception to the resident eligibility requirements:
    - An ACGME-accredited fellowship program may accept an exceptionally qualified international graduate applicant who does not satisfy the eligibility requirements but who does meet all of the following additional qualifications and conditions:
      - Evaluation by the program director and fellowship selection committee of the applicant’s suitability to enter the program, based on prior training and review of the summative evaluations of training in the core specialty
• review and approval of the applicant’s exceptional qualifications by the GMEC
• verification of Educational Commission for Foreign Medical Graduates (ECFMG) certification.
  o Applicants accepted through this exception must have an evaluation of their performance by the Clinical Competency Committee within 12 weeks of matriculation.

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<tr>
<th>Fellowship Eligibility</th>
<th>Option 1</th>
<th>Option 2</th>
<th>Allows Fellowship Eligibility Exception (not permitted with Option 2)</th>
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<tbody>
<tr>
<td>Review Committee</td>
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<tr>
<td>Anesthesiology</td>
<td>X</td>
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<td>Dermatology</td>
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<td>Medical Genetics and Genomics</td>
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<td>Exceptions not available with Option 2</td>
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<td>Preventive Medicine</td>
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Resident Recruitment and Selection (GME 1.1.a)

Programs will have an established protocol for the recruitment and selection of their Residents. The protocol should include several members of the teaching medical staff as well as Resident input.

- Each Program is required to establish criteria for specific program recruitment and selection. The program, in partnership with its Sponsoring Institution, must engage in practices that focus on mission-driven, ongoing, systematic recruitment and retention of a diverse and inclusive workforce of residents/fellows.
- The program director, in conjunction with the program’s Education Committee and/or teaching faculty, reviews all applications, and personal interviews are granted to those applicants thought to possess the most appropriate qualifications, as determined by guidelines established by the program.
- Each applicant must be informed in writing of any accreditation issues of the department as required by the Accreditation Council for Graduate Medical Education (ACGME).
- Each applicant who is invited for an interview must be informed, in writing or by electronic means, of the terms, conditions, and benefits of appointment to the ACGME-accredited program, either in effect at the time of the interview or that will be in effect at the time of their eventual appointment. Information that is provided must include: financial support; vacation; parental, sick, and other leaves of absence; professional liability, hospitalization, health, disability and other insurance accessible to residents/fellows and their dependents.
- An offer for residency training is extended directly to the applicant by the program director or his/her designee, through a letter of offer. All programs will have an established protocol for the recruitment and selection of their Residents.
- When selecting a Resident, programs may also consider the medical school class standing and other honorary academic status. However, Residents selected must meet the requirements as established for licensure/permit as outlined by the Louisiana State Board of Medical Examiners (LSBME).
- Immediately following receipt of the results of the Match or the acceptance of an offer for residency training, the program director is responsible for notifying the Graduate Medical Education Department of all candidates accepted and providing a copy of each applicant’s file for the Institution’s permanent record. Each Resident’s file must include the following:
  - Copy of the completed “Application for Graduate Medical Education”
  - Documentation of completion of medical school (copy of medical school transcript, dean’s letter, etc.)
  - Documentation of any previous residency training (copy of certificate issued, letter of recommendation from program director)
  - Copies of three letters of recommendation
  - Copy of Louisiana medical or dental license (if applicable)
  - Current mailing address
  - Inclusive dates of appointment
  - Postgraduate year of appointment
  - Salary source
**Resident/Fellow Transfers (GME 1.1.b)**

**Policy**
The program must obtain verification of previous educational experiences and a summative competency-based performance evaluation prior to acceptance of the transferring resident, and Milestones evaluations upon matriculation.

If a House Officer in a training program intends to leave a program prior to his completion to accept an appointment in another graduate training program within the institution, the House Officer’s intentions should be made known to the Program Director in which he is presently serving at least 90 days before the end of his contract period.

**Definition:**
Residents are considered as transfer residents under several conditions including:
- a. moving from one program to another within the same or different sponsoring institution;
- b. transferring to another program, in the same or different specialty, in the same or a different sponsoring institution;
- c. entering a PGY 2 program requiring a preliminary year even if the resident was simultaneously accepted into the preliminary PGY1 program and the PGY2 program as part of the match (e.g., accepted to both programs right out of medical school).

**Procedure**

**Requirements of “Receiving Program”**
Before accepting a transfer resident, the program director of the ‘receiving program’ must use the “Requesting info on Transferring in Resident Template” in order to:
- a. obtain verification of previous educational experiences
  1. Examples could include a list of rotations completed, evaluations** of various educational experiences, procedural/operative experience.
- b. obtain a summative competency-based performance evaluation from the current program director.
- c. obtain a letter of good standing from the current program director.

**Requirements of “Sending Program”**
The “sending” program must use the “Transferring out of LSUHSC Template” in order to provide the “receiving” program a statement regarding:
- a. resident’s current standing as of one-two months prior to anticipated transfer
- b. a statement indicating when the summative competency-based performance evaluation will be sent to the “receiving” program.

**Additional information you may want to consider when you are reviewing transfer letters**
1. Any gaps in training or time away from the program.
2. Did the overall evaluation include evaluative information helpful to your program?
Requesting info on Transferring in Resident Template

January 1, 2017

To whom it may concern:

Dr. RESIDENT NAME has applied for a PGY X position in our PROGRAM NAME residency program, starting XX/XX/XXXX. In accordance with the ACGME’s requirements to verify previous educational experience and a summative competency-based performance evaluation on all transferring residents, I would appreciate your assessment of this candidate.

For the period XX/XX/XXXX – XX/XX/XXXX, RESIDENT NAME, MD demonstrated to my satisfaction attainment of program objectives for the PROGRAM NAME level of PGY X in the competency domains of:

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<thead>
<tr>
<th>COMPETENCY</th>
<th>YES</th>
<th>NO</th>
<th>IF “NO,” EXPLAIN</th>
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<tbody>
<tr>
<td>Patient Care</td>
<td></td>
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<tr>
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<td>Systems-based Practice</td>
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</table>

Did RESIDENT NAME demonstrate sufficient competence on all evaluations to receive credit for all required rotations and other major educational activities?

_____ YES _____ NO (If, “no”, please provide explanation.)

Please attach a record of:

_____ Rotations completed, by year of training

_____ Summary of procedural/operative experience

_____ Letter of good standing

Please provide any additional information pertinent to the specialty or resident.

_____________________________________________________

_____________________________________________________

_____________________________________________________

_____________________________________________________

If you have any questions or wish to discuss any information in this letter, please feel free to contact me at (318) XXX-XXXX, or XXXXXXX@lsuhsc.edu.

Thank you,

PROGRAM DIRECTOR’S NAME
Program Director, PROGRAM NAME
LSU Health Sciences Center-Shreveport
Transferring out of LSUHSC Template

TEMPLATE TO BE USED WHEN AN LSUHSC’S RESIDENT IS TRANSFERRING TO ANOTHER PROGRAM

January 1, 2017

To whom it may concern,

RESIDENT NAME, MD is currently a PGY X resident in good standing in the PROGRAM NAME program at Louisiana State University Health Sciences Center in Shreveport. S/he has satisfactorily completed all rotations to date, and we anticipate s/he will satisfactorily complete her/his PGY X year on XX/XX/XXXX. A written or electronic verification of previous educational experiences, rotations by year of training and a summative competency-based performance evaluation will be sent to you by XX/XX/XXXX.

I am taking the liberty to include an assessment of the resident as of this date.

For the period XX/XX/XXXX – XX/XX/XXXX, Dr. RESIDENT NAME demonstrated to my satisfaction attainment of program objectives for the PROGRAM NAME level of PGY X in the competency domains of:

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</tbody>
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Dr. RESIDENT NAME demonstrated sufficient competence on all evaluations to receive credit for all required rotations and other major educational activities?

_____YES_____NO (If, "no", please provide explanation.)

ADDITIONAL COMMENTS

If you have any questions, please feel free to contact me at (318) XXX-XXXX, or XXXXXXX@lsuhsc.edu.

Thank you,

PROGRAM DIRECTOR’S NAME
Program Director, PROGRAM NAME
LSU Health Sciences Center-Shreveport
Certification of Post-Graduate Training (GME 1.1.c)

Policy
Residency and Fellowship programs must receive verification of each applicant’s level of competency in the required field using ACGME or CanMEDS Milestones assessments or ACGME equivalent assessments from the prior training program. In order to be in compliance with ACGME or ACGME equivalent accreditation body requirements for the eligibility and selection of residents/fellows, training programs must follow the procedure outlined below to obtain verification of post-graduate training. Programs must send the GME Certification of Post-Graduate Training form to applicants’ prior training programs to verify any post-graduate training.

Procedure
- Residency Programs
  - All prerequisite post-graduate clinical education required for initial entry or transfer into ACGME-accredited/CODA-accredited residency programs must be completed in ACGME-accredited/ACGME equivalent residency programs.
  - Any post-graduate training that is not a prerequisite for entry into a residency program should be verified.
  - Upon offering a position to an applicant that has received any post-graduate training, the residency program must request verification from that training program using the GME Certification of Post-Graduate Training form.

- Fellowship Programs
  - All required clinical education for entry into ACGME-accredited/CODA accredited fellowship programs must be completed in an ACGME-accredited/ACGME equivalent residency program, or in an ECPSC-accredited or SFPC-accredited residency program located in Canada.
  - Upon offering a position to an applicant, the fellowship program must request verification from that training program using the GME Certification of Post-Graduate Training form.

Returned GME Certification of Post-Graduate Training forms are to be forwarded to the GME office to be filed in house officer’s GME record. Programs should also keep a file of the returned GME Certification of Post-Graduate Training forms and documentation of any requests of verifications sent.

Program Interview/Application Requirements (GME 1.2)

Purpose
The GME office is responsible for ensuring all applicants invited to interview for a resident/fellow position must be informed, in writing or by electronic means, of the terms, conditions, and benefits of appointment to the ACGME-accredited program, either in effect at the time of the interview or that will be in effect at the time of his or her eventual appointment.

Policy
In order to be in compliance with ACGME requirements for the eligibility and selection of residents/fellows, training programs must follow the procedure outlined below. Programs must provide applicants with the required information and obtain all GME required documentation and submit to the GME office by the designated date set by the GME office prior to the hire of house officers.
Procedure
1. Any applicant interviewed for a resident/fellow position must be provided information on the following:
   (https://www.lsuhs.edu/admissions/graduate-medical-education/application-information)
   - Sample Contract
   - Financial Support
   - Vacation/Sick and other leaves of absences
   - Professional Liability
   - Hospitalization/Health/Disability and other insurance accessible to residents/fellows and their eligible dependents (http://myhsc.lsuhscshreveport.edu/HRResources/HRBenefits.aspx)
   - Certifications that will be required prior to start per department specific requirements (BLS, ACLS, etc.)
   - Program’s aims
   - Eligibility for Specialty/Subspecialty Board Examination

2. Applicants must sign LSUHSC-S acknowledge statement.
   (http://lsuhscshreveport.edu/Education/gme/incominghouseofficers/newhouseofficerforms/index)

3. Any applicant that will need J-1 Visa sponsorship should be informed of the process and requirements to obtain keep J-1 Visa sponsorship. H1-Bs are not accepted for residency/fellowship programs. (ECFMG website: www.ecfmg.org)

4. Applicants must fill out the GME 2 page application. Programs will be responsible for submitting the 2 page application for all residents/fellows offered positions to the GME office at the time of match.
   (https://resources.finalsites.net/images/v1597874292/lsuhscshreveportedu/c6ip4zgfriq1ao4cqqd8/PreMatch2PageApplication2020.pdf)

Online/Virtual Interview Process (GME 1.2.a)
Purpose
The Graduate Medical Education office is responsible for ensuring all applicants invited to interview for a resident/fellow position receive equal opportunity to be interviewed and considered for a residency/fellowship position. In response to the COVID-19 pandemic, all programs must utilize online interviewing/virtual visit capabilities for all applicants, including local students, and in-house residents/fellows. Implementation of a digital interviewing experience should be enforced within all programs for potential applicants. Programs will receive institutional support and resources to ensure an optimal online/virtual experience is offered.

Policy
Programs will commit to online interviews and virtual experiences for all applicants, including local students enrolled in medical school in order to allow fair consideration for positions. Programs must provide applicants with the required information and obtain all GME required documentation and submit to the GME office by the designated date set by the GME office prior to the hire of house officers. Programs will offer online interviews and virtual visits for all applicants in place of in-person interviews and visits. Programs will coordinate within their department to ensure participation of faculty, staff and residents/fellows to promote their individual program.
Procedure
1. Any applicant interviewed for a resident/fellow position must be provided information on the following in regards to the terms, conditions, and benefits of an appointment to a training program:
   - Sample Contract
   - Financial Support
   - Vacation/Sick and other leaves of absences
   - Professional Liability
   - Hospitalization/Health/Disability and other insurance accessible to residents/fellows and their eligible dependents
   - Certifications that will be required prior to start per department specific requirements (BLS, ACLS, etc.)
   - Program’s aims
   - Eligibility for Specialty/Subspecialty Board Examination

2. Applicants must sign LSUHSC-S acknowledge statement.

3. Any applicant that will need J-1 Visa sponsorship should be informed of the process and requirements to obtain keep J-1 Visa sponsorship. H1-Bs are not accepted for residency/fellowship programs. (ECFMG website: www.ecfmg.org)

4. Applicants must fill out the GME 2 page application. Programs will be responsible for submitting the 2 page application for all residents/fellows offered positions to the GME office at the time of match.

5. Applicants & a Program Representative must sign a Confidentiality Agreement regarding the virtual interview process acknowledging they are to refrain from recording audio, recording video, taking pictures, screenshots, etc. during the interview process.
   - Agreement will be kept on file in the program for a minimum to one year

Resident Appointment (GME 1.3)

An “Initial Resident Agreement” must be completed for all Residents upon entry into a residency program and a “Resident Renewal Agreement” for each year of training thereafter. The agreement must be signed by the Resident/Fellow, Program Director, and the Designated Institutional Official and the original agreements must be maintained as part of the institution’s permanent records.

Any Resident who is not to be reappointed at the end of the contract year should be so notified in writing by the program director at least four months in advance. However, if the primary reason for the non-renewal or non-promotion occurs within four months prior to the end of the agreement/contract, the notice of non-renewal must be provided as soon as circumstances will reasonable allow. Any Resident receiving notice of intent to not renew his/her contract may request a hearing as outlined in the Due Process and Appeals Policies located in the Resident Manual.

Any Resident who elects to not renew his contract for residency training must provide the program director with written notice four months prior to the end of the current contract year. However, if the primary reason for the nonrenewal occurs within the four months prior to the end of the agreement, the notice of nonrenewal may be sent less than four months in advance of the nonrenewal.
1. Initial Resident/Fellow Appointment

The following guidelines and procedures shall govern the appointment of physicians to graduate medical education programs sponsored by the Hospital:

- The appointment of a physician to a residency/fellowship program shall be for the sole purpose of pursuing postgraduate medical education.
- The initial appointment shall be for one year and is made upon recommendation of the program director with approval of the Designated Institutional Official.
- A Resident shall be responsible for taking USMLE Step 3 before completion of their PGY-1 training.
- A Resident shall be responsible for taking and passing USMLE Step 3 before completion of their PGY-2 training. Failure to obtain a passing score before completion of their PGY-2 training will result in termination and non-renewal of contract.
- The Resident/Fellow must be appointed to the postgraduate year for which he/she is qualified as specified by the certifying board of the specialty. Previous postgraduate training in another specialty will not be taken into consideration unless such training is credited by the certifying board of the specialty of enrollment. The Graduate Medical Education Department must be provided with a letter from the certifying board which indicates the number of months or year’s credit that will be given before a Resident’s postgraduate year can be adjusted.
- The program director, or his/her designee, is responsible for communicating to the Graduate Medical Education Office an appointment of a Resident/Fellow. The program must submit a completed application and a completed, signed letter of offer to the Resident/Fellow. The Medical Education Office will then complete a personnel form, PER-1 form, and Resident/Fellow contract (“Initial Resident/Fellow Agreement”) and coordinate the appropriate approvals. A Resident/Fellow’s appointment is contingent upon receipt of a completed Resident/Fellow Agreement and Resident/Fellow Compliance with requirements outlined in the Resident/Fellow Eligibility and Requirements for Residency/Fellowship Training Policy and Resident/Fellow Responsibilities and Conditions of Appointment Policy located in the House Officer Manual.
- An international medical graduate (IMG) appointed to a residency/fellowship position must meet all applicable educational requirements, possess a visa, if required, which permits participation in a graduate medical education program, and if applicable possess a valid ECFMG certificate, and meet the licensure requirements of the State of Louisiana. These documents must be reviewed and found to be in order by the Graduate Medical Education Department prior to the commencement of any medical activity within the hospital.

USMLE Step 3/COMLEX Level 3 Requirements (GME 1.3.a)

According to the Louisiana State Board of Medical Examiners, for a resident to be issued a license beyond their PGY1 or PGY2 permit, they must have taken and passed USMLE Step 3/COMLEX Level 3. An applicant who has not taken and passed the USMLE Step 3/COMLEX Level 3 prior to the expiration of the PGY1 or PGY2 permit may not be licensed by the LSBME until such time that the applicant has taken and passed the USMLE Step 3/COMLEX Level 3.

Upon initial appointment as a resident, all residents are required to sign the USMLE STEP 3/COMLEX Level 3 Acknowledgement Form. The form states that all residents shall be responsible for taking USMLE Step 3/ COMLEX Level 3 before completion of their PGY-1 training. A Resident shall be responsible for taking and passing USMLE Step 3/ COMLEX Level 3 before completion of their PGY-2 training. Failure to obtain a passing score before completion of their PGY-2 training will result in termination and non-renewal of contract.
Applicant Who Does Not Take and Pass USMLE Step 3
The applicant who does not take and pass the USMLE Step 3 may apply for a PGY2 permit for up to 12 months. This has the effect of providing applicants with a 24-month period during the PGY1 and PGY2 years to take and pass the USMLE Step 3. The applicant who has not taken and passed the USMLE Step 3 prior to the expiration of the PGY1 or PGY2 permit may not be licensed by the LSBME until such time that the applicant has taken and passed the USMLE Step 3. The LSBME does not issue a PGY3 permit in these cases. As such, there is generally no permit or license issued and immediately available to the applicant who has not taken and passed the USMLE Step 3 when the PGY2 permit expires.

Four Strikes and You’re Out (applies to USMLE Steps 2 and 3)
Applicants are limited to 4 attempts to take and pass the USMLE Step 2. Applicants are limited to 4 attempts to take and pass the USMLE Step 3. An applicant who fails USMLE Step 3 after the third attempt must take 6 months of approved training before permitted to take Step 3 for the fourth and final time. This applies to all examinations (FLEX, SPEX, NBME, NBOME, COMLEX-USA, or a combination thereof).

The acknowledgement Residents are required to sign states:

I acknowledge that I have been notified of the requirements of taking and passing USMLE Step 3/COMLEX Level 3 as indicated in the Initial Resident/Fellow Appointment Policy (GME 1.3).

I understand that I am to abide by the following requirements regarding USMLE Step 3/COMLEX Level 3:

- A Resident shall be responsible for taking USMLE Step 3/COMLEX Level 3 before completion of their PGY-1 training.
- A Resident shall be responsible for taking and passing USMLE Step 3/COMLEX Level 3 before completion of their PGY-2 training. Failure to obtain a passing score before completion of their PGY-2 training will result in termination and non-renewal of contract.
- A Resident shall be responsible for providing LSBME an official transcript of all scores directly from the examination board as required by LSBME. (Fee may be required to have scores sent.)
- All Programs shall be compliant with the above stated policy, however, departments may require successful completion of USMLE Step 3/COMLEX Level 3 earlier than their PGY-2 training if indicated in their departmental policy manual.

Resident Promotion/Advancement (GME 2.1)
The promotion/advancement of a Resident from one postgraduate level to another in a graduate medical education program generally occurs following the satisfactory completion of each 12-month period of graduate medical education.

Such promotion/advancement is made upon recommendation by the program director, and is regarded as the same process as the initial appointment award.

Any Resident who is not to be reappointed at the end of the contract year should be so notified in writing by the program director at least four months in advance. However, if the primary reason for the non-renewal or non-promotion occurs within four months prior to the end of the agreement/contract, the notice of non-renewal must be provided as soon as circumstances will
reasonably allow. Any Resident receiving notice of intent to not renew his/her contract may request a hearing as outlined in the Due Process and Appeals Policies located in the Resident Manual.

Any Resident who elects to not renew his contract for residency training must provide the program director with written notice four months prior to the end of the current contract year. However, if the primary reason for the nonrenewal occurs within the four months prior to the end of the agreement, the notice of nonrenewal may be sent less than four months in advance of the nonrenewal.

For each Resident advanced, the program director is responsible for notifying the Graduate Medical Education Office which will coordinate the completion of the appropriate personnel form indicating the change in postgraduate year, dates of appointment, and adjustment in salary. A Resident contract (“Agreement for Post Graduate Training”) signed by the Resident, Program Director, and Representative of LSUHSC-S/DIO must be completed prior to the Resident being advanced.

**House Officer Dismissal/Non-Renewal of Contract (GME 2.1.a)**

**Policy**
In all cases in which revocation of a House Officer’s appointment has been recommended by the program director of a clinical department, the House Officer and the Designated Institutional Official (DIO) shall be notified in writing by the program director. Any House Officer who is not to be reappointed at the end of the contract year should be so notified in writing by the program director at least four months in advance. However, if the primary reason for the non-renewal occurs within the four months prior to the end of the agreement, the notice of non-renewal may be sent less than four months in advance of the non-renewal.

If the House Officer wishes a hearing, he/she must submit a written request to their specific Department Chairman within ten (10) days after receipt of the notification letter. Otherwise, the Designated Institutional Official will act upon the program director’s recommendation and coordinate the Resident’s dismissal.

**Procedure**
If at any time the Program Director determines dismissal is warranted, the program director shall notify the House Officer, the DIO and the Graduate Medical Education office in writing. The Program Director shall also provide any written documentation leading to dismissal. Once the GME office receives notification, the GME office will notify the Human Resources department of the termination and the effective date.

Immediate dismissal may occur at any time without prior notification in instances of gross misconduct or illegal conduct.

Dismissal of a House Officer may occur for but are not limited to any of the following:

- Failure to meet the performance standards of the training program
- Failure to comply with the policies and procedures of the training program, GMEC, University Health, or other participating sites
- Failure to comply with regulations of the Louisiana State Board of Medical Examiners
- Failure to obtain appropriate visa or other appropriate work authorization documents
- Inability to pass the requisite examinations for license to practice medicine in the United States
- Illegal, unethical, or unprofessional conduct
• Conduct that is detrimental to patients, self, or others

In addition to notifying the house officer, DIO and GME office, programs will also be required to report to the Louisiana State Board of Medical Examiners in writing when a physician’s training has been terminated.

House Officers must complete the House Officer Clearance Form provided by the GME Office upon dismissal/termination from program. This includes returning all ID Badges, keys, and other equipment issued while in training to appropriate departments on campus and any participating sites.

Any House Officer receiving notice of dismissal or intent to not renew his/her contract may request a hearing as outlined in the Due Process and Appeals Policies located in the House Officer Manual.

**Communication Elements for Disciplinary Actions (GME 2.1.b)**

Probation is the formal notification to the resident/fellow that the residents/fellow’s performance is not satisfactory. Written notification of probation must include the following seven elements:

1) Reason for the Extension
2) What did they not do that did not meet expectations?
3) Goals and objectives that they should meet
4) Timeframe of meeting the goals and objectives
5) Communication and/or progress report of meetings to communicate progress or lack of progress
6) What happens if they do not meet the goals and objectives?
7) Statement acknowledging the resident/fellow’s right to appeal

While probation is sometimes divided into “Academic Probation” and “Conduct Probation”, the University makes no distinction between them. Failure to meet any standard after this formal warning may result in serious consequences up to and including dismissal from the program or nonrenewal of the trainee’s annual contract at expiration. Because probation is a formal warning of substandard performance and is intended to alert the resident/fellow to that effect, it is not appealable beyond the level of the Department Chair. If probation is combined with an adverse action, (e.g. extension of training), any appeal would be on the adverse action.

**House Officer Grievance Process (GME 3.1)**

The grievance appeals process is the mechanism for House Staff to address complaints that are not related to their professional performance or contract issues. A grievance is defined as any circumstance thought to be unjust or injurious and grounds for complaint or resentment, or a statement expressing this, against a real or perceived wrong; or a complaint arising from circumstances or conditions relating to one’s employment. A House Staff has several options in which to have a grievance resolved.

House Staff and Program Directors are encouraged to work within their departments to address and resolve any issues of concern to the House Staff, including concerns related to the work environment, faculty, or the House Staff performance in the program. All such concerns should be presented by the House Staff to their Program Directors for resolution. Issues or alleged violation(s) of Title IX (sexual misconduct/power based violence) shall be referred to Office of Title IX Campus Coordinator.
A grievance procedure for all House Staff was established at Louisiana State University Health Sciences Center so that House Staff who are dissatisfied or who have a personal complaint may discuss their situation freely with appropriate personnel. All House Staff may request to receive proper consideration toward resolving the problem. The House Staff should do so without fear of reprisal from anyone for using the procedure provided the effort to resolve the problem is sincere. The policy may be found in the House Staff Manual, “Non-Academic Grievance Process” (.1a). The steps of the Grievance Procedure are as follows:

- **Step 1:** The House Staff shall present the grievance in writing to his/her immediate Program Director within five (5) working days beginning with the day after the occurrence of the incident which caused the employee to be aggrieved. The Program Director shall work in concert with the Section Chief, if applicable, to ensure appropriate communication and enhance decision making. The Program Director will promptly establish a meeting with the House Staff to discuss the grievance and/or will render a written answer to the grievance within three (3) working days beginning with the first working day after the grievance is presented to the Program Director.

- **Step 2:** If the House Staff is not satisfied with the decision of his/her Program Director and Section Chief, if applicable, he/she may, within three (3) working days, submit his/her grievance in writing to the Department Chair. The Chair will conduct an investigation within five (5) working days. If the Chair feels that, based on the facts, the employee has a valid grievance; he/she will notify the Program Director of his/her findings. If the Program Director does not concur with the Department, the Department shall render a written decision to the House Staff and the Program Director within three (3) working days after the initial response was rendered. If the Program Director and Chair are the same person, the House Staff shall submit their grievance within three (3) working days to the Designated Institutional Official (DIO). The DIO shall act as the Department Chair and follow the process as described.

- **Step 3:** If the House Staff is not satisfied with the decision at Step 2, he/she shall, within two (2) working days beginning with the first working day after receiving the decision submit his/her grievance in writing to the Senior In-House Counsel. The Senior In-House Counsel shall discuss the grievance with the House Staff within five (5) days and render a written decision within three (3) working days beginning with the first working day after the grievance is discussed with the House Staff.

- Decisions rendered by the Office of Legal Affairs, on behalf of the Chancellor are final within the university.

As set forth in the House Staff Manual, the Academic Due Process Policy (GME 3.1b) provides additional procedures for House Staff to request review of certain academic or other disciplinary actions taken against House Staff that could result in dismissal, non-renewal of a House Staff’s agreement or other actions that could significantly threaten a House Staff’s intended career development.

For due process regarding Non-Academic or Academic offenses of a resident/fellow in training, please refer to the appropriate policy described below.
Non-Academic Offenses are not relating to one’s formal education or training. This includes conduct violating policies and procedures not relating to academic performance. Examples include sexual misconduct, unprofessional conduct/behavior, etc. In the instance of a non-academic offense, the House Officer Non-Academic Disciplinary Proceedings Policy (GME 3.1a) should be followed.

Academic Offenses are directly related to one’s formal education or training. This includes conduct violating policies and procedures relating to academic performance. Examples include plagiarism, cheating, collusion, copying work, inability to apply medical knowledge in a clinical/patient care environment, acquiring work and reuse of your own work, etc. When an academic offense occurs, the House Officer Academic Due Process Policy (GME 3.1b) should be followed.

House Officer Non-Academic Disciplinary Proceedings (Due Process) (GME 3.1a)

Louisiana State University Health Sciences Center Shreveport (LSUHC-S) is dedicated to providing its students, residents, faculty, staff, and patients with an environment of respect, dignity, and support. The diverse backgrounds, personalities, and learning needs of individual students must be considered at all times in order to foster appropriate and effective teacher-learner relationships. Honesty, fairness, evenhanded treatment, and respect for students’ physical and emotional well-being are the foundation of establishing an effective learning environment.

As the Sponsoring Institution, LSU Health Shreveport is committed to providing a culture of professionalism that supports patient safety and personal responsibility. LSU Health Shreveport must ensure that training programs provide a professional, equitable, respectful, and civil environment that is free from unprofessional behavior, including discrimination, sexual, and other forms of harassment, mistreatment, abuse, and/or coercion of residents/fellows, other learners, faculty members, and staff members.

House Officers have rights as guaranteed by the U.S. Constitution and all appropriate federal, state, and local laws. Primary among those is the right to a fair and impartial hearing if the House Officer is accused of misconduct or violating university regulations.

HOUSE OFFICER RESPONSIBILITIES
Louisiana State University Health Sciences Center Shreveport (LSUHSC-S) residents/fellows should explicitly uphold the basic principles of behavior that constitute the highest standards of academic, professional, and ethical conduct. Residents/Fellows are responsible for complying with all policies/procedures, rules and regulations and other information published by LSUHSCS and those policies that have been outlined in the GME House Officer Manual and each Program specific policies.

As outlined in the Chancellor’s Memoranda (CM21), residents/fellows are expected to:
- Exhibit the highest standard of personal, academic professional and ethical behavior.
- Treat faculty, staff, peers, students, clients, patients, and others with dignity and respect.
- Abide by the Code of Conduct that applies to their specific professional discipline.
- Abide by all federal, state, and local laws.

Residents/Fellows who violate any of the above when involved in any school or school-related activity/function, whether on or off campus, will be subject to disciplinary action. Any disciplinary
action imposed by the school may precede or follow a course independent of any penalty imposed by any off-campus authority.

Misconduct for which residents/fellows are subject to discipline is outlined, but not limited to the categories below:

Non-Academic Offenses: not relating to formal education or training. Conduct violating policies and procedures not relating to academic performance. Examples, sexual misconduct, unprofessional conduct/behavior, etc.

Academic Offense: directly related to formal education or training. Conduct violating policies and procedures relating to academic performance. Examples, plagiarism, cheating, collusion, copying work, inability to apply medical knowledge in a clinical/patient care environment, acquiring work and reuse of your own work, etc.

DISCIPLINARY PROCESS

In the event a resident/fellow is found in violation of any of the above categories he/she will be subject to disciplinary action. The disciplinary process is outlined below:

1. Program Director receives complaint or notification of violation.
2. Program Director will investigate complaint.
3. The Program Director will then present their findings to the Clinical Competency Committee (CCC) in which the resident/fellow is in training. The CCC will determine the category of the complaint/violation and the range of penalties which may be imposed. The CCC will make no finding of guilt or innocence with respect to non-academic offenses with a potential penalty of 10 or more days suspension, deferred suspension or expulsion.
   • If the CCC finds the alleged violation to be Academic or Non-Academic with potential penalty of less than 10 days suspension; the Program will carry out their departmental action and the Academic Due Process (GME 3.1b) policy should be followed.
   • If the CCC finds the alleged violation is Non-Academic and with a potential penalty of suspension of ten or more days, deferred suspension, or termination/expulsion, the disciplinary process will be conducted as outlined below.

Notice:
Following the CCC decision, the Program Director will provide the accused resident/fellow with a written statement (within 10 days) that must include:

1. Notice of the charge,
2. Notice of any and all violations of the institution’s non-academic rules or policies,
3. Notice of disciplinary proceedings or charges that will occur as a result,
4. Notice shall include, but need not be limited to, each and every section of the institution’s rules or policies that the resident/fellow or resident/fellow organization is alleged to have violated and any evidence the institution used and collected in making the charge

A copy of the written notice will be sent to the DIO, Training Program Director, and University Legal Affairs office.

Administrative File:
The training program must maintain an administrative file of the disciplinary proceedings that includes:

1. All documents and evidence in its possession or control relevant to the alleged violation and the institution’s investigation including but not limited to:
   a. Exculpatory Evidence,
b. Documents submitted by any participant, and
c. The institution’s choice of a video recording, audio recording, or transcript of any disciplinary hearing ultimately held in the matter.

2. The file shall not include privileged documents or internal memorandums that the institution does not intend to introduce as evidence at any hearing on the matter.

The accused and/or alleged victim shall have reasonable continuing access to the administrative file and the ability to make copies of all evidence or documents in the file beginning at least seven business days prior to any disciplinary hearing, or sooner if otherwise specified under federal law, except that individual portions of the administrative file shall be redacted if disclosure of the evidence is required by law.

Disciplinary Hearing:

i. The Designated Institutional Official (DIO) appoints three faculty members to serve as a disciplinary hearing panel.

ii. The Hearing Panel will set the hearing date and provide notification to the parties at least 7 days prior to the hearing.

iii. The Program Director presents the case for the program. The accused resident/fellow or their representative presents the case for the resident/fellow.

iv. Each party may present opening and closing statements and conduct direct and cross-examination of all witnesses.

v. The Program Director and the accused resident/fellow may present evidence to the disciplinary hearing panel in accordance with guidelines listed below.

vi. At the conclusion of the hearing, the disciplinary hearing panel will determine if accused resident/fellow is guilty of the charged offense. If not guilty, no further action is required.

vii. If the accused resident/fellow is found guilty of the non-academic offense, the disciplinary hearing panel will determine the appropriate penalty.

viii. Program Director imposes the sanction.

Representation
Accused residents/fellows, may be represented by an attorney or non-attorney advocate at all times during the disciplinary process. The representative may fully participate during any disciplinary proceedings. The resident/fellow is responsible for payment of all cost of representation.

Conflicts of Interest
LSUHSC-S prohibits commingling of administrative and adjudicative roles. No individual should perform more than one of the following roles:

1. Victim counselor and victim advocate
2. Investigator
3. Institutional prosecutor
4. Adjudicator
5. Appellate adjudicator

Presumption of Innocence
The accused resident/fellow is presumed innocent of the alleged violation until:

1. He/ She formally acknowledges responsibility, or
2. The conclusion of a disciplinary hearing where LSUHSC-S establishes every element of the alleged violation(s).

Appeals Process
Residents/Fellows may appeal a finding of guilty to the Department Chair (within 10 days) only on the following grounds:
1. New Evidence
2. Contradictory evidence, and/or
3. Evidence that the resident/fellow was not afforded due process

The Department Chair considering the appeal may consider police reports, transcripts, and the outcome of any civil or criminal proceeding directly related to the appeal.

Upon consideration of the evidence, the Department Chair may
1. Grant the appeal,
2. Deny the appeal,
3. Order a new hearing, or
4. Reduce or modify the punishment

House Officer Academic Disciplinary Proceedings (Due Process) (GME 3.1b)

The process outlined in this policy applies only to Academic offenses. The LSU Health Sciences Center-Shreveport is committed to the principal of due process. Due process is defined as allowing an individual notice of the proposed action and with the allegations and evidence against him/her, to present his/her side of the story to the decision-maker, and unless the offense is egregious, be given the opportunity for improvement. The DECISION-MAKER for the House Staff is the House Staff's Department Chair.

The regular periodic evaluations (supplemented by any additional evaluations, counseling, and interactions with faculty) should alert the House Staff to his/her status/performance. Since House Staff are professionals, they have the responsibility to be aware of their status, and to inquire of the faculty concerning their progress in the residency program. Upon receiving ANY negative evaluation, the House Staff should contact his/her program director immediately for advice and counsel.

House Staff who are dissatisfied with departmental actions must, within five (5) working days, request in writing a review by the Departmental Chair. The decision of the chair in matters of oral counseling, written counseling, and suspension of less than thirty (30) days will be considered final.

An appeals process for suspensions of thirty (30) or more days, extension of residency training, or dismissal are allowed under the administrative procedures of the LSU Health Sciences Center-Shreveport. Additionally, although not required by Louisiana law, appeals will also be allowed for contract non-renewal. The decision of the appeals process for all matters will be either to UPHOLD OR NOT UPHOLD the departmental action. The full procedure for appeals (including appeals committee membership) is described in the section entitled Appeals Process.
APPEALS
House Staff may appeal the Decision of the Department Chair for any disciplinary actions involving 30 or more day’s suspension, extension of residency training, or dismissal. Additionally, although not required by Louisiana law, LSUHSC-S allows appeals for contract non-renewal. The Decision of the Appeals process will be either to UPHOLD OR NOT UPHOLD the departmental action.

APPEALS PROCESS
House Staff training is a serious responsibility both on the part of the House Staff and the faculty responsible for imparting such training. As medicine has progressed, and specialization has become more complex, the departments providing such training are best equipped to judge the clinical capabilities of the House Staff in their departments. It is expected that the faculty within the department/section sponsoring a residency/fellowship program will be involved in evaluating House Staff within their respective programs.

The role of the appeals process is to ensure that the House Staff has been fairly evaluated according to departmental standards, has been made aware of his/her deficiencies, and unless the offense(s) are egregious, be given the opportunity to correct them. The appeals process is an administrative one, and therefore the strict rules of evidence do not apply.

The appeals process following the decision of the Departmental Chair is as follows:

Step 1. Appeal to the Department Chairman
Step 2. Appeal to an Appeal Review Committee

THE APPEALS PROCESS IN DETAIL
1. Upon receiving one or more of these disciplinary/adverse actions, the House Staff desiring to contest this action must within five (5) working days request in writing a review by the Departmental Chair. The written request must be delivered to the office of the respective Department Chair by the close of business on the 10th day.

2. The Departmental Chair is the final appeal for all disciplinary matters of oral counseling, written counseling, probation, terminations or contract non-renewal and suspensions of less than thirty (30) days. The Departmental Chair has five (5) working days after receipt of the request to render an opinion. In disciplinary/adverse actions involving thirty (30) or more days suspension or for a non-renewal of a training contract as outlined in this section. If the Program Director and Department Chair are the same individual the Designated institutional Official (DIO) shall act as the Department Chair and follow the process as described. The written decision shall be hand delivered or sent by certified mail with signature of receipt.

3. In disciplinary/adverse action(s) involving thirty (30) or more day’s suspension, termination or for a non-renewal of a contract, the House Staff desiring to contest the action must within five (5) working days of the action make a written request for a review by the Departmental Chair. The request must clearly state the reason for the appeal, the relief desired and be delivered to the respective Department Chair office.
4. The Department Chair shall have **five (5) working days** from the receipt of the written request to render a decision in writing. The written decision shall be hand delivered or sent by certified mail with signature of receipt.

5. If the House Staff disagrees with the decision of the Department Chair, the resident/fellow may make a final appeal to the Appeals Review Panel. **Within five (5) working days** after receiving the decision of the Department Chair, the House Staff may request a hearing before the Appeals Review Panel. The request must be received in the office of Legal Affairs (Room 600, 6th floor Administration Building) by 4:30 pm on the 5th working day after receipt of the opinion of the Department Chair. The request must be in writing requesting the appeal and submitted to Legal Affairs. The written request must state the factual basis for the request for the appeal in detail, including but not limited to, specific reasons why the House Staff disagrees with the departmental action, other related issues that the House Staff desires to be considered, and the relief sought. The written request must include the House Officer’s appeals panel selection (described in # 6 below). **FAILURE TO COMPLY TIMELY WITH THESE DEADLINES AND REQUIREMENTS WAIVES THE APPEAL RIGHT UNDER THIS SECTION.**

6. Senior In-House Counsel shall schedule a date for the hearing within 5 working days after the receipt of the request, the hearing appeals date shall be within 30 calendar days or less. The committee shall be made up of three LSU Health Shreveport physician members who are not members of the House Staff’s department. One member will be selected by the House Staff, who is either a LSUHSC-S House Staff of the same or higher level as himself, or an LSUHSC Shreveport physician faculty member. The second member of the committee shall be appointed by the Department Chairman. The third member of the committee will be appointed/selected by the Senior In-House Counsel for the Medical School, and will vote only in the event of a tied vote between the other two panel members. An attorney from the office of Legal Affairs or a suitable designee shall serve as the legal adviser to the committee. The attorney shall not vote in the Committee’s voting, but shall be responsible for coordinating the meeting and drafting the report of the Committee for the review and signature of the Committee members (within 5 working days).

7. Each party is responsible for securing the attendance of their respective witness(es). If a witness is not available when called during the hearing their participation will be disallowed.

8. Not later than **five (5) working days** prior to the hearing, both sides (House Staff and department) shall submit to the office of Legal Affairs 5 copies of any and all documents to be considered by the appeals committee and their list of no more than 3 witnesses. Failure of either party to timely submit documents may preclude consideration of those materials by the panel.

9. The hearing shall be conducted as follows:
   
   First, the Department Chairman or Program Director may make a 30 minute presentation to the committee, which shall describe the action taken and the reasons for the action(s) taken. Following the presentation by the Department, the House Staff may make a 30 minute presentation to the committee that include his/her version of the events that resulted in the action by the Department, as well as any other relevant information that he/she wishes for the committee to consider. Each side may have up to three witnesses who each may make a presentation up to fifteen (15) minutes in length. At any time during the proceedings, the members of the appeals committee may ask questions of the House Staff or Department Chairman or Program Director or any witness who participates in the hearing.
10. The appeal hearing shall be closed. Witnesses to participate in the proceeding shall be excluded from the hearing and admonished not to discuss the case with anyone until after the hearing has concluded.

11. Both parties may present a brief (less than 10 minutes) closing written summary at the end of the hearing.

12. The Appeals Committee makes a decision whether or not to uphold the action of the Department Chairman. The House Staff will be notified, in writing within 10 working days of the hearing conclusion, of the decision of the Committee whether or not to uphold the action of the Department Chairman. The written decision concludes the administrative appeal process. The written decision shall be hand delivered or sent by certified mail with signature of receipt.

The disciplinary/adverse action shall be carried out after the decision of the Department Chair (who is the decision-maker). Should the House Staff prevail on the appeal to Senior University Official’s, the House Staff will be entitled to all back pay and allowances from the date of the disciplinary/adverse action. Although the disciplinary/adverse action shall be carried out after the decision of the Department Chair, no notification of the appropriate boards and agencies will occur until the final step in the appeal. An exception to this notification may be made when required by law, rule, regulation, or contract.
Resident/Fellow Receives Disciplinary Action

- Resident/Fellow Contests Action (within 5 working days)

Departmental Chair renders opinion of Resident/Fellow's appeal

- Suspension of < 30 days: Departmental Chair is final appeal (within 5 working days)
- Suspension of ≥ 30 days or non-renewal of contract, termination: Appeals process may be requested

Suspension of ≥ 30 days or non-renewal of contract

- Written request for review made to Departmental Chair (within 5 working days)

Decision is Rendered

- Departmental Chair renders a written decision (within 5 working days)
- If the Department Chair and the Program Director are the same person, the Designated Institutional Officer will render a written decision (within 5 working days)

Resident/Fellow Disagrees with the decision of the Departmental Decision

- Resident/Fellow requests hearing of the Appeals Review Panel (within 5 working days) which includes the name of their appeals panel selection

Appeals Review Panel

- Resident/Fellow selected member; Departmental Chair selected member; Legal Affairs Attorney (non-voting/deliberating member)
- Departmental Chair shall submit names (within 5 working days)

Submission of Documents to be considered by Appeals Review Panel

- No later than 5 working days prior to hearing and include 5 copies of documents to be considered by the appeals panel
House Officer Leave Policy (GME 5.1)

Policy
House Officers are allowed vacation and other types of leave per academic year during their training. All residents/fellows must submit their requests to their Program Director in as far as advance of the requested week as possible. House Officer’s must receive Program Director approval for all leave requests. If leave requests are made during an off-service rotation, the Program Director in the department directly responsible for the off-service rotation must approve the request in addition to the home department. Once approved by the appropriate Program Director(s), the House Officer’s approved leave request must be submitted to the GME Office by that House Officer’s department. The GME office will track and monitor house officer leave as it is received. Individual Programs will also be required to track and monitor their own house officer leave.

Each training program should have its own program specific leave policy and process and are responsible for distributing and educating to its residents/fellows. Consideration of appropriate coverage of patient care should be reviewed by program when approving leave. Programs are responsible for ensuring residents/fellows are compliant with training program and board specific requirements regarding leave and time away from training. Programs will provide residents/fellows information regarding the impact of an extended leave of absence upon the criteria for satisfactory completion of the program and upon a resident’s/fellow’s eligibility to participate in examinations by the relevant certifying board(s).
Annual Leave: Annual Leave is leave with pay granted to an employee of the purpose of rehabilitation, restoration, and maintenance of work efficiency, or transaction of personal affairs. First-year house staff are allowed three weeks (21 days) vacation with pay (a seven-day period to include 5 weekdays and 2 weekend days), and second through seventh year house staff are allowed four weeks (28 days) vacation with pay (a seven-day period to include 5 weekdays and 2 weekend days), except where prohibited by specialty ACGME Residency Review Committee or Board regulations. Vacation requests should be in increments of one week (a seven-day period to include five weekdays and two weekend days) at a time with one adjoining weekend free of duty. House Officers should request within program desired weekend to be free of duty. All requests for annual leave must be approved by their respective Program Director and will be granted at the discretion of the program needs. Annual leave is non-cumulative – it must be used during the house officers’ academic year and cannot be carried forward.

Sick Leave: Sick Leave is leave with pay granted to an employee who is suffering with a temporary disability which prevents him/her from performing the usual duties and responsibilities or who requires medical, dental, or optical consultation or treatment. Sick leave may be used to care for an immediate family member who is ill or injured or to accompany an immediate family member to a medical, dental, or optical consultation or treatment. An immediate family member is defined as a spouse, parent, or child of an employee. All House Officers are allowed ten working days for sick leave each year. Sick leave may not be used as vacation time. Sick leave must be approved by their respective Program Director. If sick leave is more than three (3) consecutive workdays, the employee may be required to bring a physician’s certificate. Sick leave includes personal doctor’s appointments i.e., medical, optical, and dental.

Approved Medical, Parental and Caregiver leave(s)
Residents/Fellows are allowed a minimum of six weeks of approved medical, parental, and caregiver leave(s) of absence for qualifying reasons that are consistent with applicable laws at least once and at any time during an ACGME-accredited program, starting the day the resident/fellow is required to report. Residents/Fellows granted six weeks of approved medical, parental, and caregiver leave(s) of absence will be provided 100 percent of their salary for the first six weeks of first approved medical, parental, or caregiver leave(s) of absence taken. Continuation of health and disability insurance will be covered during six weeks of approved medical, parental, and caregiver leave(s). If leave is taken beyond six weeks and resident/fellow is placed on leave without pay, resident/fellow must coordinate with the HR Benefits office and Payroll office to continue payment for coverage.

If a resident/fellow takes six weeks of the first approved medical, parental, or caregiver leave(s) of absence, resident/fellow will only be allowed one additional week of paid time off outside the six weeks of approved medical, parental, or caregiver leave(s) during the same academic year. Leave taken beyond approved six weeks approved medical, parental, and caregiver leave and additional one week of paid leave in one academic year will be regarded as leave without pay.

Programs will be responsible for providing residents/fellows with accurate information regarding the impact of an extended leave of absence upon the criteria for satisfactory completion of the program and upon a resident’s/fellow’s eligibility to participate in examinations by the relevant certifying board(s).
• Process to request medical, parental and caregiver leave(s)
  1. Resident/Fellow will notify Program Director and Program Administrator of requested leave and submit House Officer leave request form with dates for approval by Program.
  2. Resident/Fellow will submit FMLA paperwork to Human Resources if eligible (must be employed for at least one year to be approved for FMLA).
  3. Program will submit approved leave request form to the GME office.

Educational Leave: Educational Leave is leave that may be granted at the discretion of the program director primarily to attend conferences or meetings. House officers may be granted up to 5 days of paid educational leave. Educational leave exceeding the maximum of 5 days, will be charged as annual leave or Leave without Pay. Program Directors will be held accountable for ensuring House Officers meet the minimum days in training according to their specific board requirements.

Funeral Leave: Funeral Leave is leave that may be given to an employee without loss of pay or to attend the funeral services or burial rites of a member of the immediate family when such rites occur on a scheduled workday. Maximum funeral leave granted cannot exceed two (2) days.
  • Immediate Family is defined as: Father, Mother. Step-Father, Step-Mother, Sister, Step-Sister, Brother, Step-Brother, Husband, Wife, Child, Step-Child, Mother-In-Law, Father-in-Law, Grandchild and Grandparents.

Military, Civil, and other Special Leave: If the need for military, civil or other special leave as defined by the LSU Health Shreveport Employee handbook occurs, arrangements will be made between the House Officer, Program, Graduate Medical Education office and Human Resources. The House Officer will notify the Program and Graduate Medical Education Office, a minimum of 30 days in advance of the absence as possible.

Leave without Pay: House Officers may be put on Leave without Pay if/when unable to participate in required training of program. Leave without pay may also be applied if house officers exhaust all allowed paid leave and request additional time away from training. Leave Without pay may result in the extension of training/contract, depending on program requirements and board guidelines.

J-1 Visa Sponsored House Officers Requesting Leave
All House Officers on a J-1 Visa must complete the “All J-1 Visa Holder” section when submitting their leave request to their Department. Any J-1 Visa Sponsored House Officers travelling outside the United States must follow the steps below when requesting and returning from approved leave:

1. Complete and submit leave request on GME approved Leave Request Form with desired dates of leave.

2. Once signed and approved by department, Program Administrator will submit approved leave form to the GME office.
3. While on leave, any delay in returning to work must be communicated to the Program Director, Program Administrator and GME Office immediately.

4. Upon re-entry into the United States, J-1 Visa sponsored house officer must report to the GME office on the first day returning back to work from leave. The GME Office will then verify correct visa status at time of re-entry. J-1 Visa sponsored House Officers housed in Monroe or Alexandria must report to their Program Administrator and contact the GME office at that time to verify status.

Process for Leave Request Submission to GME

The GME office in addition to the Training Programs, are responsible for maintaining and updating all leave taken by residents/fellows while in training. Training Programs are to submit leave requests to the GME Office upon final approval from the training program. House Officer leave requests are to be submitted to the GME office electronically through DocuSign, using the approved GME House Officer Leave Request. Program specific policies regarding leave will still be followed by individual residents/fellows.

1. Resident/Fellow requesting leave will complete and submit House Officer Leave Request Application in DocuSign and route to appropriate Program Administrator and Program Director(s) for program approval.
   a. Residents/Fellows will provide any program specific documentation per program specific leave request policy.
2. Program Administrator/Program Director(s) will approve/deny leave request.
3. GME Representatives will be included to receive a completed electronic copy through DocuSign once leave request has routed through appropriate program administrator/director and obtained appropriate signatures.
4. GME office and Program Administrators will maintain, and track leave taken by all house officers.

House Officer Call in Policy (GME 5.1.a)

Policy
All scheduled leave must be recorded in the Graduate Medical Education Office as outlined in the House Officer Leave Policy. Any unscheduled leave, emergency, sick, etc. must be reported immediately to the assigned service representative.

Upon notification of the need to take leave, the House Officer will be advised to call in daily if sick leave is being requested. A physician’s excuse may be necessary to return to work. Other emergencies will require identifying a specific number of days prior to leave being taken to establish a date of return to service. Any leave taken without following the proper procedure may result in leave without pay and/or delay in program completion as determined by the Program Director/Chief of Service.

Procedure
Upon the need for a House Officer to call in for any reason including but not limited to sick, emergency, etc., the House Officer must:

- Individual house officer taking unexpected absence must notify the appropriate staff of absence and expected duration of absence. Staff that must be notified of unexpected absence:
  - Chief of service of rotation assigned
• Program Coordinator of rotation assigned
• Any other staff according to specific program’s call in policy
• Complete and submit a House Officer Leave Request upon return to work
• Provide a doctor’s excuse if required by program to return to work
• If House Officer is rotating off service when unexpected absence occurs, home program should be notified and receive a copy of leave request(s) from off service program.

House Officers must refer to their program specific policy when calling in and contacting designated persons in their program. House Officers must also refer to program specific call in policy to ensure they are using acceptable forms of communication (phone, text, email, etc.). House Officers rotating off service should receive the program specific policy on who to call/notify of unexpected absence when they receive their goals and objectives for that rotation.

Programs will forward House Officer Leave Requests to the Graduate Medical Education office to be kept in the House Officer’s file.

Impaired Physicians (GME 6.1)

1. Policy

Purpose
To provide a mechanism for treatment and rehabilitation of physicians suffering from impairment that may interfere with optimal professional function and ensuring the protection of patients.

Policy
• Physicians shall receive ongoing education on impairment recognition, including signs and symptoms of controlled or mood altering substance impairment. Education shall address prevention of physical, psychiatric and emotional illness. (Hospital personnel shall receive education about illness and impairment recognition issues.)
• Any impaired, or suspected impaired, physician, regardless of how identified (including self-referral), shall be seen by the Physician Director of the LSUHSC Occupational Health Clinic (OHC). The OHC physician shall evaluate, or cause to be evaluated, the referred physician for suspected impairment. The evaluation process shall be conducted in a confidential manner.
• Should the OHC physician determine that drug testing is indicated, testing shall be in accordance with established Occupational Health clinic procedure; cost of all testing shall be borne by the institution.
• Upon completion of the evaluation, the OHC physician shall report his findings to the Designated Institutional Official. The Designated Institutional Official shall notify the appropriate regulatory bodies, department chairman or others as deemed appropriate or mandated by law.
• The Designated Institutional Official, in consultation with other appropriate individuals, shall provide the impaired physician with options regarding treatment and assistance to aid the physician in retaining or regaining optimal professional function. Such treatment shall be done in a non-punitive manner, and shall be based upon the assurance that patient care is at no time compromised.
2. Process for LSUHSC house officers seeking assistance and/or support for substance abuse:

If the House Officer chooses not to participate in the LSBME or PHFL program he/she must notify their Program Director and LSBME.

House Officers can directly contact the Louisiana State Board of Medical Examiners or Physician Health Foundation of Louisiana at 888-743-5747

The PHFL will meet with the House Officer to determine what if any steps need to be taken. If deemed necessary, House Officer is recommended for a 1-5 day confidential evaluation.

The House Officer must inform their Program Director immediately of their need to be off from work for extended medical reasons. The Program Director will inform the Medical Education Department.

PHFL is required to send a quarterly report to the LSBME board regarding the status of the House Officer's rehabilitation.

Once the House Officer has been released from the rehabilitation center, he or she must schedule a meeting with the DIO to return to work.

House Officers can contact PHFL; the board will not penalize the House Officer.

The House Officer will receive one treatment with no disciplinary action from LSBME.
**Resident Supervision (7.1)**

The Sponsoring Institution must maintain an institutional policy regarding supervision of residents/fellows. (IR IV.J.1.) Each of its ACGME-accredited programs must establish a written program-specific supervision policy consistent with the institutional policy and the respective ACGME Common and specialty/subspecialty-specific Program Requirements. (IR IV.J.2)

All programs must adhere to the minimum standards put forth in this policy. Programs must supplement this policy with program-level supervision policies, and must have explicit written descriptions of lines of responsibility for the care of patients, which are made clear to all members of the teaching teams. Residents must be provided with rapid, reliable systems for communication with, and appropriate involvement of, supervisory physicians in a manner appropriate for quality patient care and educational programs.

**Definitions**

**Levels of Supervision**

To ensure oversight of resident supervision and graded authority and responsibility, the program must use the following classification of supervision:

- **Direct Supervision**
  - The supervising physician is physically present with the resident during the key portions of the patient interaction
    - PGY-1 residents must initially be supervised directly, only as described above
  - The supervising physician and/or patient is not physically present with the resident and the supervising physician is concurrently monitoring the patient care through appropriate telecommunication technology

- **Indirect Supervision**
  - The supervising physician is not providing physical or concurrent visual or audio supervision but is immediately available to the resident for guidance and is available to provide appropriate direct supervision

- **Oversight**
  - The supervising physician is available to provide review of procedures/encounters with feedback provided after care is delivered.

All programs are to submit specific levels of care for each PGY level to the GME Office in the required format indicating level, what skills/procedures that level should be doing and what type of supervision is required. An updated version is to be kept on file in the GME office to be uploaded to each House Officer’s record to be referenced by hospital staff.

**Policy**

Each Resident will be assigned a faculty supervisor (supervisor may also be the Program Director). The level and method of supervision will be consistent with the ACGME Program Requirements for each program. The Residents will be supervised by teaching staff in such a way that the Residents assume progressively increasing responsibility according to their level of education, ability, and experience. The privilege of progressive authority and responsibility, conditional independence, and a supervisory role in patient care delegated to each resident must be assigned by the program director and faculty members.
Each faculty member with direct teaching assignments must provide a written summary of the assessment of the Resident’s performance during the period that the Resident was under his direct supervision. Faculty supervision assignments must be of sufficient duration to assess the knowledge and skills of each resident and to delegate to the resident the appropriate level of patient care authority and responsibility.

The program director must evaluate each resident’s abilities based on specific criteria, guided by the Milestones.

Faculty members functioning as supervising physicians should delegate portions of care to residents, based on the needs of the patient and the skills of the residents.

Senior residents or fellows should serve in a supervisory role of junior residents in recognition of their progress toward independence, based on the needs of each patient and the skills of the individual resident or fellow.

Programs must set guidelines for circumstances and events in which residents must communicate with appropriate supervising faculty members, such as the transfer of a patient to an intensive care unit, or end-of-life decisions.

Each resident must know the limits of his/her scope of authority, and the circumstances under which he/she is permitted to act with conditional independence.

The program faculty (program director) must conduct a semi-annual evaluation of the Resident and discuss any strengths or weaknesses that may be identified. A plan of corrective action must be noted if there is unsatisfactory performance.

The Department or division should meet periodically to review the supervisor’s written comments and the Resident’s clinical performance. This committee determines the adequacy of each Resident’s performance for decisions to advance that Resident.

The program director advances Residents to positions of higher responsibility on the basis of the evaluation of their readiness for advancement. The program director must maintain a personal record of evaluation for each Resident which is accessible to the Resident.

Listings of the re-appointments are forwarded to the Graduate Medical Education Office for preparation of the contracts.

1. Lines of Responsibility
All Residents in training programs function under the supervision of a member of the Medical Staff. Each Clinical Service may have the following levels of supervision:
- Clinical Chair
- Section Chief
- Program Director
- Attending Physician
- Clinical Fellow
- Chief Resident
Resident
Medical Student
Other Allied Health Students and Medical Center Staff

2. Patient and Family supervision
Patients and families should be aware of the roles and responsibilities of the physician providing their care. Patients and families should have adequate contact with the residents/fellows and attending physicians in charge of their care.

Clinical Experience and Education (GME 8.1)

The Graduate Medical Education Committee (GMEC) is committed to providing Residents with a sound academic and clinical education that promotes patient safety and Resident well-being. The educational goals of the Residency Training Program and learning objectives of Residents must not be compromised by excessive reliance on Residents to fulfill institutional service obligations. Clinical hour assignments must recognize that faculty and Residents collectively have responsibility for the safety and welfare of patients. Department Chairpersons and Residency Program Directors must ensure that Residents are provided appropriate backup support when patient care responsibilities are especially difficult or prolonged, or if unexpected circumstances create Resident fatigue sufficient to jeopardize patient care. Residents/Fellows and Faculty will receive proper education in strategies for managing fatigue and burnout as to ensure quality patient care and safety.

Resident clinical hours and on-call assignment periods must not be excessive. Clinical and educational work hours are defined as all clinical and academic activities related to the residency/fellowship program. This includes inpatient and outpatient clinical care, in-house call, short call, night float and day float, transfer of patient care, and administrative activities related to patient care, such as completing medical records, ordering and reviewing lab tests, and signing orders. For call from home, time devoted to clinical work done from home and time spent in the hospital after being called in to provide patient care count toward the 80-hour weekly limit. Types of work from home that must be counted include using an electronic health record and taking calls. Reading done in preparation for the following day’s cases, studying, and research done from home do not count toward the 80 hours. Hours spent on activities that are required in the accreditation requirements, such as membership on a hospital committee, or that are accepted practice in residency/fellowship programs, such as residents’/fellows’ participation in interviewing residency/fellowship candidates, must be included in the count of clinical and educational work hours. Time residents and fellows devote to military commitments counts toward the 80-hour limit only if that time is spent providing patient care.

If attendance at a conference is required by the program, or if the resident/fellow is a representative for the program (e.g., he/she is presenting a paper or poster), the hours should be included as clinical and educational work hours. Travel time and non-conference hours while away do not meet the definition of “clinical and educational work hours” in the ACGME requirements.

Maximum Hours of Clinical and Educational Work per Week
• Clinical and educational work hours must be limited to no more than 80 hours per week, averaged over a four-week period, inclusive of all in-house clinical and educational activities, clinical work done from home, and all moonlighting.

Mandatory Time Free of Clinical Work and Education
• Residents should have eight hours off between scheduled clinical work and education periods.
• Residents must have at least 14 hours free of clinical work and education after 24 hours of in-house call.
• Residents must be scheduled for a minimum of one day in seven free of clinical work and required education (when averaged over four weeks). At-home call cannot be assigned on these free days.

Maximum Clinical Work and Education Period Length
• Clinical and educational work periods for residents must not exceed 24 hours of continuous scheduled clinical assignments.
• Up to four hours of additional time may be used for activities related to patient safety, such as providing effective transitions of care, and/or resident education. Additional patient care responsibilities must not be assigned to a resident during this time.
• In rare circumstances, after handing off all other responsibilities, a resident, on their own initiative, may elect to remain or return to the clinical site in the following circumstances: to continue to provide care to a single severely ill or unstable patient; humanistic attention to the needs of a patient or family; or, to attend unique educational events. These additional hours of care or education will be counted toward the 80-hour weekly limit.

Moonlighting
• Moonlighting must not interfere with the ability of the resident to achieve the goals and objectives of the educational program, and must not interfere with the residents’ fitness for work nor compromise patient safety.
• Internal and External Moonlighting hours must be counted toward the 80-hour maximum weekly hour limit, and monitored by the training program. Internal moonlighting is defined by the ACGME as moonlighting at the sponsoring institution or the non-hospital sponsor’s primary clinical site. External moonlighting is defined as voluntary, compensated, medically-related work performed outside the institution where the resident is in training or at any of its related participating sites.
• PGY-1 residents are not permitted to moonlight.

In-House Night Float
• Night float must occur within the context of the 80-hour and one-day-off-in-seven requirements. (The maximum number of consecutive weeks of night float, and maximum number of months of night float per year may be further specified by the Review Committee.)

Maximum In-House On-Call Frequency
• Residents must be scheduled for in-house call no more frequently than every-third-night, averaged over a four-week period.

At-Home Call
• At-home call (pager call) is defined as call taken from outside the assigned institutions. Time spent in the hospital by residents on at-home call must count towards the eighty (80) hour maximum weekly hour limit.
  • Time spent on patient care activities by residents on at-home call must count towards the 80-hour maximum weekly limit. The frequency of at-home call is not subject to the every-third-night limitation, but must satisfy the requirement for one-day-in-seven free of clinical work and education, when averaged over four weeks. o At-home call must not be so frequent or taxing as to preclude rest or reasonable personal time for each resident.
Residents are permitted to return to the hospital while on at-home call to provide direct care for new or established patients. These hours of inpatient patient care must be included in the 80-hour maximum weekly limit.

In planning the Resident rotation schedules, the schedule must focus on the needs of the patient, continuity of care, and the educational needs of the Resident. The Departmental clinical hours must be in compliance with the Institutional as well as the Program Requirements. Each training program must have written policies and procedures consistent with the Institutional and Program Requirements for Resident clinical hours and the working environment. The policies and procedures must be distributed to all Residents and faculty. Frequent monitoring of clinical hours must occur at the institutional and program level to assure compliance with the standards, and to maintain an appropriate balance between education and service. Monitoring of clinical hours will also help identify any vulnerabilities of resident/fellow fatigue. Indicators of clinical hours will be included in the GMEC Internal Review of Residency Programs to assure that the policy is adhered to and reported to the GMEC, Chancellor/Dean, Department Chairman, and Residency Program Director any findings contrary to meeting full compliance. In addition, the Graduate Medical Education Department shall monitor clinical hours on an on-going basis and report non-compliance to the GMEC and the Program Director. All programs must adhere to the New Innovations Clinical Hour Data Entry Policy regarding the recording and reporting of clinical hours electronically.

Moonlighting (GME 8.1.a)

Policy
The direct provision of patient service for pay (moonlighting) is considered an augmentation and a privilege that should not detract from the goals and objectives of the educational program. House Officers are encouraged to limit the number of moonlighting hours per month as outlined by their Program Directors. House Officers must notify their Program Directors of the average number of external moonlighting hours per month. House Officers are reminded that the Louisiana State Malpractice Plan does not cover malpractice for moonlighting hours outside the LSU System. Under no circumstances should House Officers moonlight during their regular scheduled program hours of service.

Definitions:
Internal Moonlighting: Voluntary, compensated, medically-related work (not related with training requirements) performed within the institution in which the resident is in training or at any of its related participating sites.

External moonlighting: Voluntary, compensated, medically-related work performed outside the institution where the resident is in training or at any of its related participating sites.

Procedure
The Graduate Medical Education Committee (GMEC) adheres to the following standards set forth by the ACGME regarding moonlighting:

- House Officers are not required to engage in moonlighting.
- If moonlighting does occur, each House Officer must have a written statement of permission from the program director that is made part of the House Officer’s file.
House Officer Performance will be monitored for the effect of moonlighting activities upon performance and that adverse effects may lead to withdrawal of permission.

The Sponsoring Institution or individual ACGME-accredited programs may prohibit moonlighting by residents/fellows.

PGY-1 residents are not permitted to moonlight.

Internal and External moonlighting hours must be counted toward the 80-hour weekly limit on duty hours, and monitored by the training program.

Due to Federal Guidelines, individuals with the J-1 VISA are not allowed to moonlight.

House Officers seeking to moonlight must receive signed approval from Program Director. A GME Moonlighting Approval Form should be completed, signed and submitted to the GME office for documentation.

Any House Officer engaging in external or internal moonlighting must accurately and honestly document moonlighting hours in the submission of their clinical hours in New Innovations.

Programs are to confirm the entry of moonlighting hours when reviewing and submitting approval of clinical hours entered to the GME office.

**Supplemental Pay for Internal Moonlighting**

- For programs offering supplemental pay for house officers participating in internal moonlighting, the GME Supplemental Pay Template for internal moonlighting is to be completed and submitted to the GME office by the 4th of each month.
- Clinical Hours entered in New Innovations must match supplemental pay submitted in order to receive supplemental pay. Supplemental pay will not be submitted to payroll if any discrepancies are found between clinical hours entered and supplemental pay for submitted.

Training programs may establish moonlighting guidelines more limiting than these, and must have written policies and procedures regarding duty hours and moonlighting.

**Restrictive Covenants (9.1)**

The Sponsoring Institution must maintain a policy which states that neither the Sponsoring Institution nor any of its ACGME-accredited programs will require a resident/fellow to sign a non-competition guarantee or restrictive covenant.

**Policy**

The Graduate Medical Education Committee (GMEC) recommends to all Residency Programs that no participating Resident shall be required to sign a non-competition guarantee. It is the policy of LSUHSC-Shreveport not to engage in any contractual practices, which restrict the Resident the ability to fully compete during or after their residency/employment experience.

The Department Chairman and Dean/Chancellor of the Health Sciences Center shall be notified of any indication that the program is non-compliant.
Residency Closure/Reduction (GME 10.1)

The Sponsoring Institution must maintain a policy that addresses GMEC oversight of reductions in size or closure of each of its ACGME-accredited programs, or closure of the Sponsoring Institution.

Policy
The Sponsoring Institution must inform the Graduate Medical Education Committee (GMEC), the Designated Institution Official (DIO) and the affected residents/fellows as soon as possible when it intends to reduce the size of or close one or more ACGME-accredited programs, or when the Sponsoring Institution intends to close.

The Sponsoring Institution must allow residents/fellows already in the affected ACGME-accredited program(s) to complete their education at the Sponsoring Institution, or assist the residents/fellows in enrolling in another ACGME-accredited program(s) in which they can continue their education. The GMEC has delegated the responsibility of communicating results of all Residency Review Committee (RRC) surveys as follows.

Interviewing and potential resident applicants shall also be notified by the Department Chairman and/or Resident Program Director of a reduction or change in the status of the Residency Program. The notification shall be in writing to each resident enrolled in the current program and LSUHSC-Shreveport shall allow the residents already in the ACGME accredited program to complete their education.

Further, it is the institution’s policy to both inform Residents of the results of a Residency Review Committee survey and continue their financial support as outlined in the ACGME guidelines for Residency.

Patient Safety (GME 11.1)

The Sponsoring Institution must ensure that residents/fellows have access to systems for reporting errors, adverse events, unsafe conditions, and near misses in a protected manner that is free from reprisal; and, opportunities to contribute to root cause analysis or other similar risk-reduction processes. (IR III.B.1) All Residents/Fellows, Faculty, and clinical staff are to be aware of their roles in reporting events concerning patient safety.

Policy
Programs will educate Residents/Fellows and Faculty on enhancing patient safety and improving patient quality of care. Residents/Fellows are able to share any ideas and suggestions regarding patient safety to their program in order to improve patient safety processes.

Procedure
• Residents/Fellows and Staff must follow the hospital policy for reporting any event that poses an actual or potential safety risk to patients, families, visitors and staff. These can be reported electronically at the following link: http://rl6.ochsner.org/rl6_prod/SOS.HTML
• Residents/Fellows are to follow policies and procedures for patient safety and patient safety reporting at any/all participating sites where they are engaged in training.
• Residents/Fellows and Staff must follow the guidelines for Transitions of Care/Patient Handoff to ensure and monitor an effective hand-over process to facilitate both continuity of care and patient safety. (GMEC Transitions of Care/Patient Handoff Policy)
Residents/Fellows and Staff will participate in the quarterly safety training issued by the Safety office to ensure adequate and current education of ongoing safety topics.

Residents/Fellows are to receive feedback regarding patient safety reports and investigations in order to improve patient safety experiences within programs and clinical sites.

**Quality Improvement (GME 11.2)**

The Sponsoring Institution must ensure that residents/fellows have access to data to improve systems of care, reduce health care disparities, and improve patient outcomes. They must also be given opportunities to participate in quality improvement initiatives. (IR III.B.2) Residents/Fellows and Faculty are to engage in quality improvement educational activities as to develop skills to be able to identify areas where improvement in patient care is needed.

**Policy**

Residents/Fellows must receive proper education and continuous training on quality improvement as it relates to patient care and the hospital environment. Residents must receive training and experience in quality improvement processes, including an understanding of health care disparities. Residents/Fellows must demonstrate competence in systematically analyzing practice using quality improvement methods, including activities aimed at reducing health care disparities, and implementing changes with the goal of practice improvement. (CPR IV.B.1.d).(1),(d). Programs shall conduct formal quality improvement programs to review complications and deaths, and system issues where adjustments can be made to improve patient care and outcomes. Residents must have the opportunity to participate in interprofessional quality improvement activities. Residents and faculty members must receive data on quality metrics and benchmarks related to their patient populations. (CPR VI.A.1.a).(3).(a). The program director shall ensure residents are integrated and actively participate in interdisciplinary clinical quality improvement and patient safety programs.

**Procedure**

- Programs must have a process to ensure quality improvement and patient safety are integrated in the daily education of residents/fellows. Participation can be accomplished through M&M conferences, morning reports, conferences, etc.
- Involvement in patient safety and quality improvement should be carefully documented and reviewed during annual program evaluations.
- Residents/Fellows participate in patient safety plans in order to identify the facility’s systematic approach to improving and sustaining its performance through the prioritization, design, implementation, monitoring, and analysis of performance improvement initiatives.
- Residents/Fellows will participate in Quality Improvement projects where they design, measure, assess, and improve performance.
- Residents/Fellows will participate in Hospital Committees in order to understand quality from a systems-based perspective.
- Residents/Fellows are able to bring to the attention of the program and faculty any areas that need quality improvement. Efforts of Residents/Fellows in quality improvement should be monitored and tracked in order to assess the effectiveness of the quality improvement being implemented.
Transitions of Care/ Patient Handoff (GME 11.3)

The Sponsoring Institution is responsible for oversight and documentation of transitions of care. The Sponsoring Institution must facilitate professional development for core faculty and residents/fellows regarding effective transitions of care. The Sponsoring Institution must also ensure participating sites have standardized transitions of care for residents/fellows that are consistent with the setting and type of patient care. The purpose of this policy is to define a safe process to convey important information about a patient’s care when transferring care from one physician to another physician, nurse, licensed or unlicensed personnel, or when a patient leaves for another site of care. Sponsoring institutions and programs must ensure and monitor effective, structured hand-over processes to facilitate both continuity of care and patient safety. Programs must design clinical assignments to optimize transitions in patient care, including their safety, frequency, and structure.

Policy
When a resident/fellow completes an assigned shift the resident/fellow will follow a standardized approach and allow an opportunity for questions to be asked and responses to be completed. A handoff is a verbal and/or written communication, which provides information to facilitate continuity of care. This policy applies to all house officers who discharge or send a patient to other sites for care. It also affects staff in other areas of LSUHSC-S/OLHS who may need to communicate information when a patient changes location of care. House Staff are to comply with the handoff policy and procedures and resolve discrepancies and concerns timely. The guidelines below must be used at a minimum for handling transitions of care/ patient handoffs. Individual programs may implement more comprehensive and detailed guidelines to meet specific physician and patient needs. Programs must ensure residents/fellows are competent in communicating with team members in the hand-over process. Programs and clinical sites must maintain and communicate schedules of attending physicians and residents currently responsible for care. Each program must ensure continuity of patient care, consistent with the program’s policies and procedures, if a resident may be unable to perform their patient care responsibilities due to excessive fatigue or illness, or family emergency.

Procedure
1. Medical Staff and Residents:
   a. Handoff procedures and information transfer forms/guidelines for physicians are developed and implemented by each service according to the needs of that service. The handoff forms or guidelines may be in either paper or electronic format and must include clinical information agreed upon by physicians in that service as being integral to the provision of safe and effective patient care for the patient population.
   b. Each service develops and implements a handoff process that is in keeping with the shift/rotation change practices of its physicians and that facilitates the smooth transfer of information from physician to physician.
   c. Each handoff process must include the opportunity for the oncoming physician to ask questions and request information from the reporting physician.
   d. Within each service, handoffs will be conducted in a consistent manner, using a standardized handoff form or guideline.
   e. Handoffs will involve notification to patients and patient families as appropriate.

2. Transferring physician:
   Handoff verbal &/or written should include at a minimum (as applicable)
   a. Patient name, location, age/date of birth
b. Patient diagnosis/problems, impression
c. Important prior medical history
d. DNR status and advance directives
e. Allergies
f. Medications, fluids, diet
g. Important current labs, vitals, cultures
h. Past and planned significant procedures
i. Specific protocols/resources/treatments in place (DVT/GI prophylaxis, insulin, anticoagulation, restraint use, etc.)
j. Plan for next 24+ hours
k. Pending tests and studies which need follow up
l. Important items planned between now and discharge

3. Receiving physician:
   Review handoff form or receive verbal handoff and resolve any questions with transferring physician.

4. Discharge Instructions are incorporated into the After Visit Summary and are printed off by the RN/LPN and give to all patients discharged home. Additional discharge instructions may be communicated via unit/procedure specific documents.

5. Discharge to non acute care
   Physician documentation, the discharge summary will be sent to non acute care facilities (e.g. nursing homes, prisons). Included in this discharge summary/information will be the discharge mode and vital signs. The nurse will make a telephone report to the receiving facility as appropriate.

6. Discharge to acute care, Inter-Hospital Transfer
   Physician Form – The **Memorandum of Inter-Hospital Transfer** (both S/N 1303/1330) will be completed by the MD prior to transferring a patient to another acute care facility and will be accompanied by the physician’s discharge summary and all salient portions of the patient medical record. The nurse will make a telephone report to the receiving nurse.

**Professionalism (GME 11.4)**

The Sponsoring Institution in partnership with the program director(s) of its ACGME-accredited program(s), must provide a culture of professionalism that supports patient safety and personal responsibility. LSU Health and its programs are responsible for educating residents/fellows and faculty members concerning the professional responsibilities of physicians, including their obligation to be appropriately rested and fit to provide the care required by their patients. The Sponsoring Institution must ensure that its ACGME accredited program(s) provide(s) a professional, equitable, respectful and civil environment that is free from unprofessional behavior, including mistreatment, abuse and/or coercion of residents/fellows, other learners, faculty members, and staff members. Residents must demonstrate a commitment to professionalism and an adherence to ethical principles.

**Policy**

The Sponsoring Institution must provide ways to educate all residents’/fellows’ and faculty members’ fulfillment of educational and professional responsibilities. These responsibilities include accurate reporting of program information, scholarly pursuits, accurate completion of required documentation by residents/fellows, and identification of resident/fellow mistreatment.
• Programs, in partnership with their Sponsoring Institutions, must educate fellows and faculty members regarding unprofessional behavior, and have a confidential process for reporting, investigating, monitoring, and addressing such concerns in a timely manner. There are several resources available for residents to report unprofessional behavior or other concerns/issues. Reports may be generated anonymously if desired.
  o Resident Council – The Resident Council provides coordinated communication between the institution and residents. They also provide support for members experiencing difficulties with residency and a venue for expression of grievances. The Council promotes an effective and satisfactory working and training environment for residents.
  o Resident Suggestion Boxes – located on the 9th floor outside the Resident Call Rooms and in the Resident Lounge. The GME Office checks the boxes weekly.
  o Graduate Medical Education Office – The GME Office has an open-door policy for all resident/fellows in training. Office hours are 8am-5pm, Monday - Friday.

Graduate Medical Education Office
Phone: 675.7629
Location: Second Floor of Bldg. C (above the library), Room 2-436

• All employees, medical staff, contractors, vendors, patients, students, and visitors of LSU Health Shreveport are encouraged to report issues and/or concerns through established reporting channels whenever possible. In situations where reporting in confidence is preferred, you can file an anonymous report through the LSU Ethics, Integrity and Misconduct Helpline at www.lsu.ethicspoint.com or by calling toll-free at 855-561-4099.
  ▪ You may use the Helpline to report concerns including, but not limited to:
    • Title IX – sexual harassment, sexual assault, dating violence, video voyeurism, stalking, and retaliation
    • Human Resources – substance abuse, EEOC and ADA Matters
    • Office for Compliance and Investigations:
      o Human Resources Complaints: Grievance, retaliation, management practices, employment practices, working conditions, and employee misconduct, including but not limited to discrimination, harassment, and workplace violence.
      o Fraud, Waste, and Abuse - financial irregularities including the unauthorized taking or misuse of university resources, false claims, improper procurement or contracting activities, payroll abuse, and other financial conflicts of interest.
      o Privacy Complaints - Privacy, Data Safety and Security - Family Educational Rights to Privacy Act Violations, Privacy, Data Safety and Security - HIPAA, Patient Privacy, FERPA
      o Confidentiality & Security Concerns, Research - Conflict of Interest, Research - Human or Animal Research, Research - Intellectual Property Infringement, Misappropriation or Disclosure, Research - Scientific Misconduct, Research - Sponsored Projects Non-Compliance, Research Grant Misconduct or Misappropriation of Costs

• Residents/Fellows may act as a “teacher” to medical students. The integrity of the teacher-student relationship is extremely important in keeping LSU Health Shreveport’s School of Medicine’s educational mission.
In accordance with the Professionalism guidelines for LSUHSC-S School of Medicine students, no physician in a residency or fellowship training program shall have a sexual or amorous relationship with any medical student they are currently teaching or supervising.

In the case in which a teacher has a relationship that predates the entry of the other partner into medical school or residency/post graduate training, the partner who has the role of teacher must not directly supervise, grade, or evaluate the partner who has the role of student through the period of his/her matriculation.

Mistreatment and abuse of students by faculty, residents, or staff is not tolerated. Mistreatment and abuse may include but is not limited to, berating, belittling, or humiliation; physical punishment or threats; intimidation; sexual harassment; harassment or discrimination based on race, gender, sexual preference, age, religion, physical or learning disabilities; grading based on anything other than student’s performance; assigning tasks for punishment; requiring performance of personal services, or failure to give student credit for work they have done.

Students have the right to file a complaint for alleged mistreatment, issues or concerns. The student compliant procedure and on-line student complaint form can be found on the School of Medicine website: https://www.lsuhs.edu/our-schools/school-of-medicine/incident-complaint

### Types of Reporting:

- **Complaints and Grievances**
  All submitted complaint forms go directly to the Associate Dean for Student Affairs who will ensure that the complaint is addressed by the appropriate administrator.

- **Student Mistreatment**
  Once a report is received through the reporting form, the Associate Dean for Diversity and Student Affairs will review the report and ensure that the complaint is handled by the appropriate administrator (i.e., Title IX). Unless requested by the student, the process of investigation and resolution will attempt to avoid meetings between the student and respondent.

  If any complaint involves a resident/fellow, the Associate Dean for Student Affairs will notify the Designated Institutional Official (DIO) who will investigate. The individual filing the issue will be notified once the investigation has been completed and action has been taken. A summary of issues involving residents/fellows is reported to the GMEC quarterly.

- **Office of Student Affairs**
  SHVStudentAffairs@lsuhsc.edu
  Medical School, Room 1-204
  318-675-5339

Residents are required to complete the *Creating a Respectful Learning Environment: Avoiding Medical Student Mistreatment* module GCEP module.

- Faculty members must engage in the training of Residents/Fellows on professionalism and how it impacts the quality and safety of patient care. Faculty are required to complete the *Creating an Effective and Respectful Learning Environment* module within the AMA GCEP program.

- Residents/Fellows comply with GMEC policy regarding duty hours, reporting accurate hours in a timely manner. (New Innovations Data Entry Policy (GME 12.1))

- Residents/Fellows are to demonstrate professionalism and an adherence to ethical principles as it relates in all aspects of their training and interactions with other residents/fellows, faculty, hospital
staff, and patients. This behavior shall be demonstrated at the primary teaching site and any/all participating teaching sites the resident/fellow engages in training.

- Residents/Fellows should refer to the following Administrative Directives regarding any of the issues below.
  - AD 2.1.4 Violence in the Workplace
    [https://resources.finalsite.net/images/v1610662314/lsuhscshreveportedu/a4itfdgwhasunnbxjhu/214ViolenceintheWorkplace.pdf](https://resources.finalsite.net/images/v1610662314/lsuhscshreveportedu/a4itfdgwhasunnbxjhu/214ViolenceintheWorkplace.pdf)
  - AD 2.1.1 Sexual Harassment
    [https://resources.finalsite.net/images/v1610662315/lsuhscshreveportedu/n7pdffqcyhbuitnclbnl/211SexualHarassment.pdf](https://resources.finalsite.net/images/v1610662315/lsuhscshreveportedu/n7pdffqcyhbuitnclbnl/211SexualHarassment.pdf)
  - AD 2.1.3 Harassment
    [https://resources.finalsite.net/images/v1610662314/lsuhscshreveportedu/crrksohr1nf7odkvtuxz/213Harassment.pdf](https://resources.finalsite.net/images/v1610662314/lsuhscshreveportedu/crrksohr1nf7odkvtuxz/213Harassment.pdf)

New Innovations Data Entry Policy (GME 12.1)

The Sponsoring Institution is responsible for overseeing proper and accurate data is recorded and maintained for all residents/fellows in training at LSU Health Shreveport. To ensure proper management of data, the Sponsoring Institution has made New Innovations available to all programs for the purpose of recording and maintaining required data for residents/fellows. All programs are responsible for entering all required information in a consistent and timely manner. Programs are responsible for being in compliance with all policies below.

**Demographic Data Entry**

All House Staff are responsible for providing programs with required demographic information. All training programs must obtain demographic information from each resident/fellow and submit to the GME office along with entering the information into New Innovations. To ensure proper entry of demographic information, the Sponsoring Institution has made it mandatory that all programs utilize New Innovations when entering demographic information. Program Coordinators or designees must be responsible for obtaining all required demographic information from the resident/fellow prior to beginning training. The Program Coordinator or designee is then responsible for supplying demographic information to the GME office and through New Innovations

**Procedure**

- Program Coordinators will receive proper training on entering demographic information in New Innovations.
- The program will utilize the ERAS to New Innovations import tool. The import tool has a cut off of May 31 of each year so all demographic importing must be completed by this date.
- Program Directors, Coordinators, or program designee will enter demographic information for each resident/fellow ensuring accuracy of the information being entered.

**Clinical Hour Data Entry:**

All House Staff are responsible for accurately and honestly reporting all clinical hours on a weekly basis at a minimum. Daily entry is recommended. All training programs must adhere to the guidelines governing clinical hours as set forth by the ACGME. To ensure proper reporting of clinical hours, the Sponsoring Institution has made it mandatory that all programs utilize New Innovations when recording clinical hours. The Program Director must monitor resident clinical hours, according to sponsoring institutional policies on a weekly basis to ensure accurate entry. The Sponsoring Institution
has made the program New Innovations available to all programs as a tool for data entry and healthcare workflow. (IR III B.5.a.(1). This allows the GME office the ability to review programs’ clinical hours for reporting purposes. Rotation names are allowed to vary according to program specific requirements, but activity types shall be reasonably standardized across the institution. This standardization is designed to ensure an appropriate method of tracking leave types as well as ensuring the 2017 At-Home Call rules are tracked accurately. Any new rotation name or activity type the program wishes to implement must be submitted to and approved by the DIO GME Office prior to implementation.

**Procedure**
- Programs must educate their Residents/Fellows on how to properly enter and submit clinical hours into New Innovations.
- Residents/Fellows must enter clinical hours weekly. Daily is recommended.
- Program Directors, Program Coordinators, or Program designee must confirm clinical hours submitted in New Innovations by the 5th of the following month.
- The GME office will run a monthly clinical hour report for all programs.
- Any clinical hour violations will be discussed during the GMEC meeting.
- Any changes deemed necessary to previously submitted clinical hours must receive Program Director approval before any changes are made. Immediate notification to the DIO is required for any change to previously submitted clinical hours.

**Evaluations**
All Residency and Fellowship Programs are responsible for evaluating house officers while in training. The faculty must evaluate resident performance in a timely manner during each rotation or similar educational assignment, and document this evaluation at completion of the assignment.

**Procedure**
- The program must provide objective assessments of competence in patient care and procedural skills, medical knowledge, practice-based learning and improvement, interpersonal and communication skills, professionalism, and systems-based practice based on the specialty-specific Milestones.
- Programs must use multiple evaluators and document progressive resident performance improvement appropriate to educational level.
- Residents must be provided with documented semiannual evaluation of performance with feedback.
- A Semiannual Evaluation memo must be signed by each house officer acknowledging their evaluation. The signed memo must be turned into the Graduate Medical Education office and filed in the house officer’s file.

**Rotation Schedules**
The sponsoring institution must ensure the availability of schedules that inform all members of the health care team of attending physicians and residents currently responsible for each patient’s care. As a part of house officers’ scheduling, time and effort reports are completed based on resident rotations. Contractual agreements are made between OLHS and other hospitals (i.e. Willis Knighton,
VA, etc.) whereby OLHS will be reimbursed for the salaries of residents who rotate to their institutions for specified periods of time. The Graduate Medical Education must compile reports from each program reporting their time and effort at offsite locations by the 5th of each month. The GME office must submit the reports to the Office of Grants Accounting for proper recording and reimbursement by the 10th of each month. These reports are further coupled with the Intern and Resident Information System (IRIS) report for proper Medicare reimbursement.

Procedure
- Programs must enter and confirm rotation schedules in New Innovations by the 5th of the following month.
- The GME office runs reports gathering time and effort and then submits to Grants Accounting by the 12th of each month for reimbursement.

The GME office inputs data from reports into the IRIS program and submits to the Reimbursement Office monthly.

GME Policy 12.5: New Innovations Annual Evaluations Review

New Innovations.com is the online system for all faculty and house staff to complete evaluations on each other and the program. The evaluation rules and regulations can be found in GME Policy 18.1 and the ACGME Common Program Requirements.

It is the policy of Louisiana State University Health Sciences Center-Shreveport to require an annual review and update to all evaluations, in conjunction with the Program Director, Clinical Competency Committee (CCC) and Program Evaluation Committee (PEC). All parties must have input in the evaluations to ensure appropriate data is gathered.

1. Policy
   Program Administrators are responsible for reviewing all current evaluations for the academic year. The review shall include ensuring that all evaluations comply with current ACGME Common Program Requirements and Institutional Requirements (if applicable). The Program Administrators will work with the Program Director to make any changes. The Program Director is responsible for obtaining approval from the CCC and PEC (documented in minutes). The Program Administrator is responsible for updating the evaluations and assigning them correctly in New Innovations.com.

2. Procedure
   Program Administrator reviews all current evaluations and ensures they meet ACGME regulations.
   Program Administrator meets with Program Director to review all changes and ensure the evaluations pass the Program Directors approval.
   The Program Director will obtain approval of the evaluations from the CCC and PEC and document that approval in the minutes of both committees.
   The Program Administrator will update the electronic evaluations in New Innovations.
The Program Administrator will ensure that all current evaluations are “published” in New Innovations.com and all out-of-date evaluations are archived/deleted. The purging of out-of-date evaluations ensures that only approved evaluations are assigned.

Once the process is complete, the Program Administrator will send the Administrative Program Specialist B in the GME Office a report/email (annually) with the following information:

Dates of Committee Approval (attach meeting minutes to email)

List of current/updated evaluation names

Program/Department policy on evaluation review, including a set timeframe in which the program will review evaluations each year.

A written statement from the Program Administrator (via email) that the Program Administrator and Program Director understands that evaluations are to be assigned to each rotation (no more than 3 months between evaluations), as well as the semi-annual evaluations, yearly summative evaluations and final summative evaluations.

If the program is not fulfilling these (or any other) ACGME requirements, the GME Office is to be notified immediately.

The review must be conducted annually.

**House Officer Social Media Policy (GME 13.1)**

The program director and institution must ensure a culture of professionalism that supports patient safety and personal responsibility. The use of Internet and social communication sites on the Internet can present issues in ethical behavior and professionalism. The Social Media policy is to provide House Officers with guidelines for the appropriate use of social media and to emphasize the responsibilities House Officers have in maintaining an ethical and professional behavior.

**Definitions**

Social media consists of any form of electronic communication, including but not limited to, blogs, wikis, virtual worlds, message boards, chat rooms, electronic newsletters, online forums, social networks, or other tools hosted outside of the LSU Health Sciences Center or University Health. These include such sites as Facebook, Twitter, LinkedIn, Instagram, YouTube, Flikr, Google+, MySpace and any similar site developed in the future.

**Policy**

- House Officers are not allowed to release, disclose, post, display, communicate or make public any of the following information:
  - Identifiable, confidential protected health information (PHI) regarding any patient associated with LSU Health Sciences Center, University Health, its affiliated hospitals and clinics, or other external affiliated health care organization. This includes, but is not limited to, any information, such as initials, personal activities, room numbers, pictures, or other information that might
enable external parties to identify patients. Disclosure of PHI may constitute HIPAA violations and may have personal and/or institutional liability consequences.

- Confidential information regarding policies and operations, including financial information, regarding LSU Health Sciences Center, University Health and its affiliated hospitals and clinics, or other external affiliated health care organization.

- House Officers should take caution not to post any information that is ambiguous or could be misinterpreted or taken out of context.

**Guidelines**

House Officers must adhere to the following:

- House Officers should not accept or request “friend” requests from patients or their families on any social media site.
- House Officers must not offer medical advice on any social media site.
- House Officers must not post information on any site that might be considered offensive and reflect negatively on the house officer, colleagues, patients, LSU Health Sciences Center, University Health, its affiliated hospitals and clinics, or other external affiliated health care organization.
- House Officers should routinely monitor their own Internet presence to ensure that the personal and professional information on their own sites, and to the extent possible, content posted about them by others, is accurate and appropriate.
- House Officers should always be aware of their association with participating sites (University Health, Willis Knighton, VA, Shriners, etc.) and LSU Health Sciences Center when posting any social networking site. Personal profiles and content should always be consistent with the professional manner in which house officers are expected to present themselves.
- House Officers are personally responsible for the content they post on social media properties – from blogs, to social networks, list serves, wikis, websites, forums, and other social media platforms.
- House Officers should refrain from posting any pictures, videos or other content concerning fellow House Officers, faculty, staff or other representatives of LSUHSC – Shreveport without their permission.
- House Officers should have no expectation of privacy when using the Internet at work and are reminded that any time spent posting and viewing social media sites or other Internet sites must not interfere with the performance of their duties. House Officers must not engage in any type of social media (facebooking, blogging, tweeting, etc.) while on call or performing routine duties.
- House Officers should maintain appropriate professional boundaries and should separate personal and professional content online.
- If any House Officers or LSUHSC - S employees see content posted by another House Officer that appears to be unprofessional or inappropriate, the content should be brought to the attention of the individual so that he/she can remove it and/or take other appropriate actions. If the individual does not take appropriate action, the content should be reported to the individual’s Program Director.
- House Officers should be aware that because of the nature of procedures and operations specific to individual programs, each individual program may have a more restrictive Social Media policy in place.
- Any comments/videos/posts made by House Officers that violate the mission and vision of LSUHSC – S or are judged as unprofessional may result in discipline, up to and including termination of the House Officer.
Violations of this policy may jeopardize the House Officer’s standing in his/her program and may result in a written warning, probation, or dismissal from the program.

Program Requests for Change (GME 14.1)

Purpose
The Graduate Medical Education Committee (GMEC) is responsible for the review and approval of institutional GME policies and procedures; applications for ACGME accreditation of new programs; requests for permanent changes in resident/fellow complement; major changes in ACGME-accredited programs’ participating sites; appointment of new program directors; progress reports requested by a Review Committee; responses to Clinical Learning Environment Review (CLER) reports; requests for exceptions to duty hour requirements; voluntary withdrawal of ACGME program accreditation; requests for appeal of an adverse action by a Review Committee; and appeal presentations to an ACGME Appeals Panel. (IR I.B.4.b).(1)-(13)).

Policy
In order for the GMEC to properly review and approve training programs’ requests for changes, the GMEC Program Requests Form must be completed, signed, and submitted to the Graduate Medical Education office along with any required documentation as indicated on the form. The form must be submitted to the GME office in order to be placed on the agenda for the GMEC meeting. The GMEC will then review the request at the meeting for approval.

Procedure
1. Programs requesting any changes indicated below will complete the Program Request for Change Form (see sub-policy below regarding details for processing the request):
   - Change in Program Director
   - Additional Outside Rotation/ Participating Site
   - Increase in Complement (Permanent or Temporary)
   - Request to hire Off Cycle
   - Voluntary Withdrawal of Accreditation
   - Review of Progress Report
   - Request for new training program
2. Programs will obtain the Program Director and Department Chair’s signatures and submit the form along with all required documentation to the Executive Director of Medical Services/DIO. The Executive Director of Medical Services/DIO will meet with the Program Director to review and evaluate the request. In order for a request to be considered at the GMEC meeting, the form and required documents must be submitted by the 3rd Wednesday of the month.
3. Once the program completes the form and all required information has been received the request will be placed on the GMEC meeting agenda.
4. The GMEC will discuss and review the program’s request for approval.
5. If approved by the GMEC, the request will be sent to ACGME for approval if needed.
• **Change in Program Director**
  To request a change in program director the program must submit a current CV and have an effective date for the proposed new program director when submitting the Program.

• **Additional Outside Rotation/ Participating Site**
  1. When the need for an outside rotation arises, the program director shall contact the outside rotation supervisor to inquire if she/he is interested and willing to participate in the outside rotation. The rotations may be mandatory or elective to the training program but must show evidence of being educationally beneficial and a rotation that is not offered at LSUHSC-S. The process must be initiated by the Program Director.

  2. If both parties agree to the rotation, the LSUHSC-S program director will draft a letter outlining the educational and clinical requirements for the outside rotation and their respective supervisor's approval. The educational and clinical requirements shall include but are not limited to the following.
     a. identify the faculty who will assume both educational and supervisory responsibilities for residents;
     b. specify their responsibilities for teaching, supervision, and formal evaluation of residents, as specified later in this document;
     c. specify the duration and content of the educational experience;
     d. state the policies and procedures that will govern resident education during the assignment; and
     e. identify the funding source.

  3. The program director shall first meet with the Executive Director of Medical Services/DIO to review and evaluate the need for the outside rotation and how the resident will benefit from the outside rotation.

  4. The Executive Director of Medical Services/DIO shall coordinate approval from the DIO and the Dean of the School of Medicine.

  5. The Executive Director of Medical Services/DIO shall meet with Legal Affairs to discuss the proposed rotation to ensure there are no identifiable problems that would prohibit the rotation from being approved including the responsibility of coverage of malpractice insurance. Please note contract approval will take approximately 3 months.

  6. The program director shall work with the Executive Director of Medical Services/DIO to complete a Program Request for Change to the Graduate Medical Education Committee (GMEC) for approval. The same information listed in #2, a-d shall be presented concerning the outside rotation. The Program Director shall include the educational benefit of the rotation, why the program is seeking to add the rotation, how the rotation shall be funded in addition to those items listed in #2 (and must be reviewed and approved as stated in #3 and #4 as well).

  7. Upon approval by the GMEC, the program director shall submit a request for the outside rotation to the appropriate ACGME, Resident Review Committee (RRC) via the ACGME Accreditation Data System (ADS) for approval.
8. After the ACGME, RRC has approved the outside rotation the Executive Director for Medical Services/DIO shall meet with Legal Affairs to coordinate the completion of the contract shall include the five points required by the ACGME. The contract may be used in place of the PLA or the Program Director may coordinate the completion of a separate Program Letter of Agreement (PLA) with the rotating site. The Legal Affairs representative will coordinate the completion of the contract. The contract must contain:

   a. Terms and duration of the agreement
   b. Limitations
   c. Scope of Training
   d. Malpractice/Insurance
   e. Accreditation Compliance
   f. University Responsibilities/Affiliate Responsibilities
   g. Compliance
   h. Severability
   i. Financial Responsibilities
   j. Confidentiality/HIPAA
   k. Licensing obligations
   l. Conflict of Interest
   m. Indemnity

9. After the RRC approves the outside rotation, and Legal Affairs has completed the contract and obtained the appropriate approval signatures, residents may schedule and begin the approved outside rotation. The site shall be entered into WebAds as an approved participating site by the Institution and the Program.

10. If applicable, once the residents complete a shift at the outside site, their time and effort must be reported to the Graduate Medical Education office for tracking/reimbursement purposes on a monthly basis.

Increase in Complement (Permanent or Temporary)/ Request to hire Off Cycle

In order for the Sponsoring Institution to ensure proper compliance when offering a resident a position off cycle or anticipating an increase in complement, Program Directors must meet with the Executive Director of Medical Services/DIO for review and approval prior to offering the position to a prospective resident. When a program wishes to request an increase in complement due to medical reasons, family reasons, VISA issues, remediation, etc., Program Directors must meet with the Executive Director of Medical Services/DIO for review and pre-approval prior to submitting to the GMEC.

- **Request for Increase in Complement**
  1. When a program is made aware for the need to request an increase in complement, the Program Director must meet with the Executive Director of Medical Services/DIO to review and evaluate the details of the increase in complement and follow the LSU Health Shreveport GME Compliment Increase Process (detailed version attached).
     a. Program Submits Request to DIO
     b. DIO approves, submits to the Dean
     c. Dean approves, submits to the Joint Venture
d. Joint Venture approves

e. Dean communicates approval to DIO

f. DIO communicates approval to Program

g. Program submits to GMEC for approval

h. Program submits request to ACGME; DIO approves in webADS

i. ACGME approves/denies, communicates to DIO and Program

2. The attached Program Request Form must be completed and submitted for review by the Executive Director of Medical Services/DIO:

3. Type of request (Permanent or Temporary)

   a. Deadline to request a temporary increase in complement for off-cycle in the NRMP is November 1.

   b. Deadline to request a permanent increase in complement is September 1.

c. Brief Explanation of need for increase and duration

d. Source of funding for resident requiring increase

e. Educational rationale for change

f. Major changes in the program since its last webADS review

g. Response to previous citations

h. Current block diagram

i. Proposed block diagram

j. Institutional Operative Data to support request (Procedural Volume, Diagnosis, etc.)

k. # Required faculty/ # Current faculty

• **Request to hire Off Cycle**

   1. In the event a program wishes to offer a position to a resident outside the Match on a begin date other than July 1st, the program shall meet with the Executive Director of Medical Services/DIO to review and evaluate the need for the position(s).

2. The following issues will be discussed:

   a. Expected start date

   b. What level the applicant will start at and whether or not advanced credit is being given

   c. Anticipated promotion dates if given advanced credit

   d. Whether or not an increase in complement will be requested at the time the applicant is to start or when they will be promoted

   e. Program benefit of having resident start off cycle

3. Once approval has been obtained, the Executive Director of Medical Services/DIO shall notify the Graduate Medical Education Office staff of the program’s intention to offer a resident a position off cycle. The GME office shall notify HR and prepare a PER-1 to hire the resident once the resident accepts and completes all HR new employee paperwork.

• **Voluntary Withdrawal of Accreditation**

   1. The program requesting voluntary withdrawal of accreditation shall meet with the Executive Director of Medical Services/DIO to discuss the reason for voluntary withdrawal.
2. The program shall provide an effective date that should coincide with the end of the current academic year and, an explanation stating whether residents and/or fellows are currently enrolled, and if so, describe a plan for placement.

3. The above date and explanation shall be attached to the Program Request for Change Form.

- **Review of Progress Report**
  1. The program requesting review of a progress report shall meet with the Executive Director of Medical Services/DIO to review and evaluate the progress report.
  2. After review, the program shall submit the progress report along with the Program Request for Change Form.
  3. The clarifying information must be reviewed by the sponsoring institution’s Graduate Medical Education Committee and must be signed by the Designated Institutional Official (DIO) prior to submission to the Review Committee.

- **Request for new training program**
  1. Any department wishing to start a new training program must meet with the Executive Director of Medical Services/DIO to discuss the need and reason for creation of program. The following information must be provided:
     - Proposed effective date
     - Funding
     - Faculty
     - Goals and Objectives
     - Proposed Block Diagram
     - Other documentation as requested by DIO
  2. The DIO will review the proposed program and obtain any additional requested information from the department.
  3. Upon approval, the department will submit a request for new training program to be placed on the GMEC Agenda.
# GRADUATE MEDICAL EDUCATION COMMITTEE

## Program Request Form

<table>
<thead>
<tr>
<th>Program Requesting Change:</th>
<th>Effective Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date presented to GMEC:</td>
<td></td>
</tr>
</tbody>
</table>

### Please Check Type of Change Requested:

- [ ] Change in Program Director: Proposed New Program Director:  
  - Current Program Director:  
  - CV:  

### Documents required for submission:

- [ ] Outside Rotation/ Participating Site (Change, Addition, Deletion):  
  - Effective Date:  
  - Explanation of Purpose/Educational Benefit:  
  - Program Letter of Agreement:  

- [ ] Change in Funding Source at Participating Site:  
  - Effective Date:  
  - Change in amount of funding:  
  - Change in number of resident/fellow funding:  

- [ ] Increase in Complement:  
  - Effective Date:  
  - Document/Information required for Permanent Increase (Deadline to request is September 1):
    - Educational rationale for change:  
    - Major changes in the program since its last review:  
    - Response to previous citations:  
    - Current block diagram:  
    - Proposed block diagram:  
    - Institutional Operative Data to support request (Procedure Volume, Diagnosis, etc.):  
    - # Required Faculty/ # Current Faculty:  
    - Source of Funding:  

- [ ] Document/Information required for Temporary Increase (Deadline to request is November 1):
  - Brief Explanation of need for temporary increase and duration:  
  - Source of Funding:  

- [ ] Request for Off-cycle Appointment:  
  - Effective Date:  
  - Explanation for need to hire off-cycle:  

- [ ] Voluntary Withdrawal of Accreditation:  
  - Effective Date:  
  - Number of Residents/Fellows Currently Enrolled:  
  - If Residents/Fellows currently enrolled, please attach plan for placement:  

- [ ] Review of Progress Report:  
  - Progress Report/Clarifying Information Due Date:  

### Other Request (please provide explanation below):

### Additional Comments/Supporting Documentation:

---

Program Director Signature: ___________________________  
Department Chair Signature: ___________________________

*In order for your request to be considered at the GMEC meeting, this form and required documents must be submitted to the Graduate Medical Education office by the 3rd Wednesday of the month.*

Revised 12.2019
Outside/Inside Rotation Policy (GME 14.1.a)

Purpose
The purpose of this policy is to establish a concise process for implementing training rotations inside/outside of the LSUHSC-S system. The rotations may be mandatory or elective to the training program but must show evidence of being educationally beneficial. Justification of outside rotation must be submitted for both mandatory and elective rotations. Only mandatory rotations per training program’s requirements, may be eligible for reimbursement during outside rotation if that rotation is not offered at the Major Participating Site. Elective rotations are not eligible for reimbursement and any expenses during elective rotation will be incurred by the resident/fellow participating in elective rotation. Outside elective rotations shall follow same process outlined below to receive approval.

Policy
If the need arises for a rotation that cannot be obtained at LSUHSC-S, the process may be initiated by either the program or the house officer as follows. If an LSUHSC-S program is contacted by an outside program and requests the allowance of an outside trainee to rotate through an LSUHSC-S program, the process will be initiated by the program director as follows. If resident/fellow is on a J1-Visa, program must notify GME office at least 30 days prior to start of elective so that ECFMG can be notified.

Each residency/fellowship training program must have their own program specific outside rotation policy outlining details of those eligible to participate in an outside rotation (elective or mandatory) and the process to request approval within the training program. The program policy must define criteria residents/fellows must meet to request participation in an outside rotation. Criteria may include exam score requirement, conference attendance minimum, or any other measurable outcomes that the program requires. Programs retain the right to approve or deny any request for an outside rotation by any individual resident/fellow.

Procedure

LSUHSC-S Training Program/House Officer requesting outside rotation
1. When the need for an outside rotation arises, the program director shall contact the outside rotation supervisor to inquire if she/he is interested and willing to participate in the outside rotation.
2. If both parties agree to the rotation, the LSUHSC-S program director will draft a letter outlining the educational and clinical requirements for the outside rotation and their respective supervisor's approval. The educational and clinical requirements shall include but are not limited to the following.
   a. identify the faculty who will assume both educational and supervisory responsibilities for residents;
   b. specify their responsibilities for teaching, supervision, and formal evaluation of residents, as specified later in this document;
   c. specify the duration and content of the educational experience; and,
   d. state the policies and procedures that will govern resident education during the assignment.
3. Requests should be made in a timely manner. New contracts may take up to three months to be processed. Any requests for an outside rotation must be submitted for review at least four months prior to rotation start date to allow for appropriate review and approval. Any requests submitted less than four months in advance may not be approved.
3. The program director shall first meet with the DIO to review and evaluate the need for the outside rotation and how the resident will benefit from the outside rotation.
4. The program director shall then seek approval from the Dean of the School of Medicine for hospital related issues who must both approve the rotation.
5. The program director shall meet with Legal Affairs to discuss the proposed rotation to ensure there are no identifiable problems that would prohibit the rotation from being approved including the responsibility of coverage of malpractice insurance.
6. The program director shall then submit a Program Request Change for Change to the Graduate Medical Education Committee (GMEC) for approval. The same information listed in #2, a-d shall be presented concerning the outside rotation. The Program Director shall include the educational benefit of the rotation, why the program is seeking to add the rotation, how the rotation shall be funded in addition to those items listed in #2 (and must be reviewed and approved as stated in #3 and #4 as well).

For mandatory/required rotations completing outside:
Residents/Fellows participating in mandatory/required rotations offsite shall follow LSU Health Shreveport State guidelines to receive reimbursement for expenses during rotation. Resident/Fellow must follow appropriate process and provide required documentation to receive reimbursement.

- Resident/Fellow participating in required outside rotation may receive mileage reimbursement at the current rate per the LSU Health Shreveport Travel department. Current rate can be found on the LSU Health Shreveport Travel Intranet page: https://inside.lsuhs.edu/departments/travel/mileage-reimbursement.

- Meals and Lodging
  - See PM13: Reimbursement of Meals and Lodging (https://www.lsuhsc.edu/administration/pm/pm-13.pdf)
  - Resident/Fellow participating in required outside rotation may receive stipend/reimbursement for meals, Monday – Friday while participating in offsite rotation.
  - Travelers are to use the Department of Revenue tax exempt form for all in-state hotel stays. If the certificate is refused by the hotel, documentation should be submitted with the Travel Expense Voucher. (https://resources.finalsne.net/images/v1634839545/lsuhscshreveportedu/rokvm9cpztk4uqueus0b/Travel-HotelSalesTaxExemptionForm.pdf)

- Useful documents regarding travel, meals, and lodging can be found at the following:
  - https://resources.finalsne.net/images/v1610662317/lsuhscshreveportedu/agpfcyjzxmnmuido04gjv/111UniversityTravelRegulations.pdf

Elective Rotations are not eligible for any reimbursement through LSU Health Shreveport. All expenses/fees will be incurred by the resident/fellow.
J1 Visa holders seeking outside rotation – required or elective

If an outside rotation is approved for a J1 Visa holder, the program must submit a Required Notification of Off-site Rotation/Elective form to the GME Office with the Program Director and J1 Visa holder’s signature. The GME Office will sign and submit to ECFMG. The form must be submitted to the GME office at least 30 days in advance of the proposed rotation date.

- [https://www.ecfmg.org/evsp/notification-off-site-rotation.pdf](https://www.ecfmg.org/evsp/notification-off-site-rotation.pdf)

Outside Training Program requesting rotation at LSUHSC-S

1. When an outside residency/fellowship program requests a rotation be established at LSUHSC-S for an outside trainee, the program director of both training programs must communicate and agree on the rotation and the eligibility of the outside trainee.
   a. Outside trainees based in a training program in Louisiana, must hold a current and valid Louisiana Medical license in order to rotate at LSUHSC-S.
   b. Outside trainees based in a training program outside Louisiana, must obtain a LA Medical license in order to rotate at LSUHSC-S.
2. If both parties agree to the rotation, the LSUHSC-S program director will draft a letter outlining the educational and clinical requirements for the outside rotation and their respective supervisor’s approval. The educational and clinical requirements shall include but are not limited to the following.
   a. identify the faculty who will assume both educational and supervisory responsibilities for residents;
   b. specify their responsibilities for teaching, supervision, and formal evaluation of residents, as specified later in this document;
   c. specify the duration and content of the educational experience; and,
   d. state the policies and procedures that will govern resident education during the assignment.
3. The program director shall notify the core program director, if necessary, of the request for the outside trainee to rotate at LSUHSC-S.
4. The program director shall then meet with the DIO to review and evaluate the need for the request of the outside trainee to rotate at LSUHSC-S.
5. The program director shall meet with Legal Affairs to discuss the proposed rotation to ensure there are no identifiable problems that would prohibit the rotation from being approved including:
   a. the responsibility of coverage of malpractice insurance
   b. completion of the contract or addendum to existing contract, which will include the five points required by the ACGME. If the contract does not include the five points, the department must coordinate the completion of a separate Program Letter of Agreement (PLA). The Legal Affairs representative will coordinate the completion of the contract. The contract must contain:
      a. Terms and duration of the agreement
      b. Limitations
      c. Scope of Training
      d. Malpractice/Insurance
      e. Accreditation Compliance
      f. University Responsibilities/Affiliate Responsibilities
      g. Compliance
      h. Severability
      i. Financial Responsibilities
      j. Confidentiality/HIPAA
      k. Licensing obligations
6. After all parties have agreed and necessary documentation is completed, residents may schedule and begin the approved rotation at LSUHSC-S.

**Participating Sites Policy (GME 14.2)**

A participating site is an organization providing educational experiences or educational assignments/rotations for residents/fellows. Residents/Fellows rotating to any participating site must abide by the policies and procedures of that participating site while on that rotation. Residents/Fellows should familiarize themselves with each site’s policies and where the policies can be found for each site. Residents/Fellows will adhere to individual participating site’s holiday schedules they are assigned to, maintaining clinical duties and responsibilities as to not disrupt patient care. (For example, if LSU Health Shreveport has a declared holiday, but resident/fellow is assigned on a rotation at the VA and that holiday is not observed by the VA, resident/fellow will follow the schedule of the VA to report for clinical duties). Details regarding holiday schedules can be provided by specific training programs.

There must be a program letter of agreement (PLA) between the program and each participating site that governs the relationship between the program and the participating site providing a required assignment. A PLA template can be found in the GME office or on the ACGME website.

The PLA must:
- Be renewed at least every 10 years
- Be approved by the designated institutional official (DIO)

The program must monitor the clinical learning and working environment at all participating sites. At each participating site there must be one faculty member, designated by the program director as the site director, who is accountable for resident education at that site, in collaboration with the program director.

The program director must submit any additions or deletions of participating sites routinely providing an educational experience, required for all residents, of one month full time equivalent (FTE) or more through the ACGME’s Accreditation Data System (ADS).

**Vendor Relations/Interactions Policy (GME 15.1)**

The Sponsoring Institution must maintain a policy that addresses interactions between vendor representatives/corporations and residents/fellows and each of its ACGME-accredited programs.

**Policy**

Relations to vendors and other private entities are covered by the LSUHSC Administrative Directive Code of Ethics for Louisiana State Employees (AD 2.8.6.). Residents are required to abide by the rules and policies of any participating sites while in training.
House Officer Food & Nutrition Services Meal Plan Policy (GME 16.1)

Policy

The LSUHSC Meal Card for House Staff participating in the Meal Program is valid throughout one’s Residency/Fellowship training at LSUHSC. One of three food plan options may be selected; the plan will be in effect for one contract year. Plans cannot be changed until the time of contract renewal. Deductions will be taken from the House Officer’s check each pay period and the corresponding amount credited to the meal plan per month. House Officers may also choose to opt out and not receive the discounted meal plan. All House Officers must submit a Meal Plan Form. A limit of $30 per day is implemented for each meal card. It is the card holder’s responsibility to provide remaining funds if purchase exceeds $30.

<table>
<thead>
<tr>
<th>Meal Plan Options</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>$125 per month meal plan</td>
<td>$9.25 per pay period</td>
</tr>
<tr>
<td>$200 per month meal plan</td>
<td>$18.50 per pay period</td>
</tr>
<tr>
<td>$250 per month meal plan</td>
<td>$23.00 per pay period</td>
</tr>
</tbody>
</table>

It is the responsibility of the cardholder to take care of his/her Meal Card. In the event the meal card is not presented at the point of sale, the resident or fellow will be required to pay for the meal in cash/personal credit card.

Procedure

- House Officers will enroll in selected meal plan at start of training by completing and submitting the Graduate Medical Education Meal Plan Form. House Officers may also choose to opt out of a meal plan but must still submit the form choosing to opt out.
- Nutritional Services will supply a meal card that will be loaded with selected monthly amount. Amounts are for one month only and start over at the beginning of each month. If the full amount allotted for the month is not used the remaining amount does not roll over.
- Payroll Services will enroll House Officers in selected monthly plan and automatically deduct appropriate amount each pay period (Biweekly).
- House Officers must present their Meal card at the point of sale at any of the on campus nutritional services sites (Cafeteria, Atrium Deli, and ACC Deli).
- Meal cards are not transferable, i.e., they are personal forms of identification. Cards cannot be loaned to, shared with, or used by any other person but its owner. Any attempt to use another person’s card will be reported to the Medical Education Office. MEAL CARDS MUST BE PRESENTED AT THE POINT OF SALE (CASH REGISTER) TO BE VALID. YOU CANNOT JUST GIVE THE CASHIER YOUR MEAL CARD NUMBER.
- Food purchased with the Meal Card is for Residents and Fellows only -- not for other employees, visitors, family or unauthorized persons. Any abuse of the meal card will be reported and may result in termination of meal privileges.
- Any House Officers rotating to Ochsner LSU Health Monroe will be enrolled in the same meal plan amount chosen in Shreveport. Meal allowance is for one month total regardless of the facility meal is being purchased.
- Meal Plan renewals will be sent out by the GME office prior to the beginning of the new academic year. House Officers must submit a meal plan renewal form into GME Office by assigned due date to enroll in a meal plan for the following academic year.
- House Officers that fail to submit a Meal Plan Form will default to the Opt out Plan and will not be enrolled in any Meal Plan coverage.
- Lost/Replacement meal cards should be reported to the GME office to christine.cheney@lsuhs.edu. An email will be sent to Nutritional Services for the replacement and house officer will pay $20 fee directly to the cafeteria when replacement card is ready for pickup.

### House Officer Wellness Policy (GME 17.1)

**Policy**
A priority of the LSUHSC – Shreveport Graduate Medical Education Committee is to support the well-being of residents/fellows in training. The GMEC is committed to bringing awareness and providing resources and treatment for house officers experiencing physician impairment, burnout, depression, stress, and other problems. Promoting the well-being of house officers is vital to their ability to provide safe and effective care to patients.

**Procedure**
- The well-being of physicians as caregivers is crucial to their ability to deliver the safest, best possible care to patients.
- All programs should promote awareness and provide education for house officers needing to seek health care. Faculty and staff involved in house officer training should promote a culture of respect and accountability for house officer well-being.
- House Officers receive education and training on physician well-being during the new house staff orientation and through department specific training.
- The following activities and resources are among several available to all house officers to address well-being:
  - Providing “free” resources when needed for counseling.
  - Participation in the Mayo Clinic Well Being Index biannually.
  - Providing Process Teams to the PGY 1 Residents to assist in the transition from Medical Student to “Real MD”.
  - Free participation for the Resident/Fellow and up to 4 members of their family in Burn Along (a free online service to promote well-being).
  - Electronic Message Board Uplifting Communications (Daily Matters of the Heart)
  - Resident/Fellow Quarterly Council Meetings.
  - Resident/Fellow Socials (Welcoming New Interns, Halloween Party, Christmas Community Event (Caddo Heights), etc.
  - Presentations during House Staff Orientation on Well-Being.
  - Fatigue/Stress training (SAFER Module)
  - Comprehensive Public Training Programs (CPTP) classes sponsored by Human Resources.
  - Ensuring healthy food choices are available.
  - Participation in Institutional Well-Being events sponsored by the Institutional Well-Being Committee.
  - Quarterly meeting with the CEO of OLHS to openly discuss daily operation and how they can be improved.
  - Time to care for themselves, such as, attending to their own health needs, family emergencies, (i.e. dental appointments, etc.) without fear of negative consequences.
  - Each Program has Recruitment Funds which may be used for Recruitment Events, Promotion and Well Being Events.
• The GMEC shall work together with programs and other campus groups/committees to facilitate prevention, intervention and treatment in alcohol-related, drug-related, stress-related, or behavioral problems of residents/fellows in training.

• The GMEC shall support the Medical School’s “Wellness” Committee, “The Heart of the Matter”

• House Officers wishing to seek counseling or Program Directors requesting referrals for house officers may contact the Designated Institutional Official or Psychiatry department to schedule an appointment.

• LSUHSC – Shreveport offers an Employee Assistance Program (EAP) for any LSUHSC-S employee needing assistance or their own health and wellness. https://resources.finalsitena.net/images/v1611254794/lsuhscshreveportedu/euucffe1yqctbmn0j/EmployeeHandbook.pdf

• Residents/Fellows may refer to the Impaired Physicians Policy (GME 6.1) located in the House Officer Manual

**Evaluations (GME 18.1)**

**Evaluation**

Feedback and Evaluations are important to promoting the success of all house officers participating in training programs. Feedback is ongoing information provided regarding aspects of a house officer’s performance, knowledge, or understanding. ACGME defines the specific types of evaluations:

- **Formative Evaluation:** Assessment of a resident/fellow with the primary purpose of providing feedback for improvement, and for reinforcement of skills and behaviors that meet established criteria and standards without passing a judgment in the form of a permanently recorded grade or score.

- **Summative Evaluation:** Assessment with the primary purpose of establishing whether performance measured at a single defined point in time meets established performance standards, permanently recorded in the form of a grade or score.

Programs should ensure they are providing feedback and conducting evaluations per ACGME Common Program Requirements. In addition to those requirements, the Graduate Medical Education department requires all programs to submit a Semi-Annual House Officer Evaluation Memo form for each house officer acknowledging they have been evaluated at semi-annually and the type of evaluation they received. These memos are kept in the house officers’ files.

The program director must develop and implement program-specific policies and procedures for evaluating Resident performance, the performance of faculty, and the educational effectiveness of the program. Such policies and procedures must include methods for utilizing the results of evaluations to improve Resident performance, the effectiveness of the teaching faculty, and the quality of education provided by the program.

**Resident/Fellow Evaluation**

Each Resident’s performance must be evaluated through the training program. The program director must appoint the Clinical Competency Committee. The Clinical Competency Committee should review all resident evaluations semi-annually; prepare and assure the reporting of Milestones evaluations of each resident semi-annually to ACGME; and advise the program director regarding resident progress, including promotion, remediation, and dismissal. Faculty members
must directly observe, evaluate, and frequently provide feedback on resident performance during each rotation or similar educational assignment. Members must directly observe the results of evaluations communicated to each Resident and the results of evaluations are used to improve Resident performance.

- Evaluation must be documented at the completion of the assignment. For block rotations of greater than three months in duration, evaluation must be documented at least every three months. Longitudinal experiences, such as continuity clinic in the context of other clinical responsibilities, must be evaluated at least every three months and at completion.
- The Program must provide an evaluation based on the Competencies and the specialty-specific Milestones, and must use multiple evaluators and provide that information to the CCC for its synthesis of progressive resident performance and improvement toward unsupervised practice.
- The program director or their designee, with input from the CCC, must:
  - Meet with and review with each resident their documented semi-annual evaluation of performance, including progress along the specialty-specific Milestones
  - Assist residents in developing individualized learning plans to capitalize on their strengths and identify areas for growth
  - Develop plans for residents failing to progress, following institutional policies and procedures.
- Each program must establish procedures for providing regular and timely feedback to Residents regarding their performance. The following policies apply to all programs and Residents.
  - Supervising faculty should complete an evaluation of each Resident’s performance at the completion of each rotation.
  - The program director must maintain a record of each Resident’s evaluations, and the results of evaluations must be made available to each Resident.
  - At least annually, there must be a summative evaluation of each resident/fellow that includes their readiness to progress to the next year of the program, if applicable.
  - The evaluations of a resident/fellow’s performance must be accessible for review by the resident/fellow.

**Final Evaluation**

The program director must provide a final evaluation for each Resident/Fellow upon completion of the program. The specialty-specific Milestones, and when applicable the specialty-specific Case Logs, must be used as tools to ensure residents are able to engage in autonomous practice upon completion of the program.

- The final evaluation must:
  - Become part of the resident’s permanent record maintained by the institution, and must be accessible for review by the resident/fellow in accordance with institutional policy
  - Verify that the resident has demonstrated the knowledge, skills, and behavior necessary to enter autonomous practice
  - Consider recommendations from the Clinical Competency Committee
  - Be shared with the resident upon completion of the program
- The program director must forward a copy of the summative evaluation for each Resident to the Graduate Medical Education Department for the Resident’s permanent institutional record.
Clinical Competency Committee

A Clinical Competency Committee must be appointed by the program director. At a minimum, the CC must include three members of the program faculty, at least one of whom is a core faculty member. Additional members must be faculty members from the same program or other programs or other health professionals who have extensive contact and experience with the program’s residents.

The Clinical Competency Committee must:
- Review all resident evaluations at least semi-annually
- Determine each resident’s progress on achievement of the specially-specific Milestones
- Meet prior to the residents’ semi-annual evaluations and advise the program director regarding each resident’s progress

Faculty Evaluation

The program must have a process to evaluate each faculty member’s performance as it relates to the educational program at least annually. The program director must ensure that evaluation of the teaching faculty is performed in accordance with the ACGME Common Program Requirements and specialty-specific program requirements. The evaluations must include a review of the faculty member’s clinical teaching abilities, engagement with the education program, participation in faculty development related to their skills as an educator, clinical performance, professionalism, and scholarly activities. This evaluation must include written, anonymous, and confidential evaluations by the residents. Faculty members must receive feedback on their evaluations at least annually.
- Results of the faculty educational evaluations should be incorporated into program-wide faculty development plans.

Program Evaluation and Improvement

The program director must appoint the Program Evaluation Committee to conduct and document the Annual Program Evaluation as part of the program’s continuous improvement process.
- The Program Evaluation Committee must be composed of at least two program faculty members, at least one of whom is a core faculty member, and at least one resident.

Self Study

- The program must complete a Self-Study prior to its 10-Year Accreditation Site Visit
- A summary of the Self-Study must be submitted to the DIO

Clinical Competency Committee (GME 18.1.a)

Faculty members must directly observe, evaluate, and frequently provide feedback on resident performance during each rotation or similar educational assignment. The program must provide an objective performance evaluation based on the Competencies and the specialty-specific Milestones, and must use multiple evaluators and provide that information to the Clinical Competency Committee for its synthesis of progressive resident performance and improvement toward unsupervised practice.

A Clinical Competency Committee is a required body comprising three or more members of the active teaching faculty that is advisory to the program director and reviews the progress of all residents or fellows in the program.
Clinical Competency Committee

A Clinical Competency Committee must be appointed by the program director. The program director should not serve as chair of the CCC. The CCC Chair should be familiar and comfortable with major assessment methods and develop a plan for professional development of CCC members. At a minimum, the Clinical Competency Committee must include three members of the program faculty, at least one of whom is a core faculty member. Additional members must be faculty members from the same program or other programs, or other health professionals who have extensive contact and experience with the program’s residents. The program director has final responsibility for resident evaluation and promotion decisions.

Program faculty may include more than the physician faculty members, such as other physicians and non-physicians who teach and evaluate the program’s residents. There may be additional members of the Clinical Competency Committee. Chief residents who have completed core residency programs in their specialty may be members of the Clinical Competency Committee.

The Clinical Competency Committee will:
1. Review all resident evaluations semi-annually
2. Prepare and assure the reporting of Milestones evaluations of each resident semi-annually to ACGME
3. Advise the program director regarding resident progress, including promotion, remediation, and dismissal

The ultimate purpose is to demonstrate accountability as medical educators to the public, that graduates will provide high quality, safe care to patients and maintain the standards of the health care system.

Program Evaluation Committee (GME 18.1.b)

Programs have the responsibility to evaluate and improve the program faculty members’ teaching, scholarship, professionalism, and quality care. The Program Evaluation Committee must evaluate the program’s mission and aims, strengths, areas for improvement, and threats.
Program Evaluation Committee
The program director must appoint the Program Evaluation Committee to conduct and document the Annual Program Evaluation as part of the program’s continuous improvement process. The Program Evaluation Committee must be composed of at least two program faculty members, at least one of whom is a core faculty member or faculty responsible for a significant curricular component of the program, and at least one resident. The PEC must meet at least annually to conduct the APE. A suggested Annual Program Evaluation Template is attached.

Program Evaluation Committee responsibilities must include acting as an advisor to the program director, through program oversight; review of the program’s self-determined goals and progress toward meeting them; guiding ongoing program improvement, including development of new goals, based upon outcomes; and review of the current operating environment to identify strengths, challenges, opportunities, and threats as related to the program’s mission and aims.

The Program Evaluation Committee should consider the following elements in its assessment of the program:
(1) curriculum
(2) outcomes from prior Annual Program Evaluation(s)
(3) ACGME letters of notification, including citations, Areas for Improvement, and comments
(4) quality and safety of patient care
(5) aggregate resident and faculty
   (a) well-being
   (b) recruitment and retention
   (c) workforce diversity
   (d) engagement in quality improvement and patient safety
   (e) scholarly activity
   (f) ACGME Resident and Faculty Surveys
   (g) written evaluations of the program
(6) aggregate resident
   (a) achievement of the Milestones
   (b) in-training examinations (where applicable)
   (c) board pass and certification rates
   (d) graduate performance
(7) aggregate faculty
   (a) evaluation
   (b) professional development
Suggested Annual Program Evaluation Template

Academic Year (AY) ________

Use this template for aggregating information from a single year’s Annual Program Evaluation. The template is suggested, and you may adapt it in any way you find useful to facilitate program improvement. You may also use attachments or appendices if additional detail is relevant to tracking a given issue.

(Note: This form should NOT be shared with the Review Committee or with ACGME field representatives during accreditation site visits.)

Program: __________________________ Date: __________________

1. Membership, Program Evaluation Committee (Program Requirements (PR V.C.1.a))

2. Resident/Fellow Complement

<table>
<thead>
<tr>
<th>Year</th>
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<td>Current trainees</td>
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3. Number/Types of Other Learners (other residents, fellows, medical students, other health professions)

4. Summarize Program Aims

5. Program Changes in the Past Year
6. Plans for Upcoming Changes

7. Annual Evaluation Process and Sources of Data

8. Evaluation Parameters and Results

Evaluation Parameter 1: Resident/Fellow Performance (PR V.C.2.a)) and source(s) of information (e.g., faculty evaluations, OSCEs, in-service examination, Case Logs, scholarly activity, etc.)

Results Parameter 1:

Evaluation Parameter 2: Faculty Development (PR V.C.2.b)) and sources of information (e.g., formal and informal, online, departmental, institutional, and regional/national, as well as topics/content, any post-development assessment of enhanced skills)

Results Parameter 2:

Evaluation Parameter 3: Graduate Performance (PR V.C.2.c)) and sources of information (e.g., board examination performance, graduate placement, surveys of graduates and/or their employers or clinical settings)

Results Parameter 3:

Evaluation Parameter 4: Program Quality (PR V.C.2.d) and sources of information (e.g., assessments by residents/fellows and faculty members, recruitment, institutional data on performance)

Results Parameter 4:
9. Key Findings and Action Plans:

   a. Strengths:

   b. Areas for Improvement:

   c. Action Plans for Areas for Improvement (V.C.2):

<table>
<thead>
<tr>
<th>Area for Improvement</th>
<th>Intervention/Initiative</th>
<th>Responsible Individual(s) and Resources</th>
<th>Follow-up/Reassessment Method</th>
<th>Follow-up Date</th>
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   d. Briefly discuss the linkage between key areas for improvement and action plan and program aims

   e. Date of the Review and Approval of the Action Plan by the Teaching Faculty (documentation in faculty meeting minutes V.C.3.a) (Optional): __________________________

10. Final Step: Transfer action plan data to Action Plan Tracking Form
Completion of Training (GME 19.1)

The program director, or designated program personnel, is responsible for completing any appropriate personnel form for each Resident completing a program and leaving the employment of LSU Health Sciences Center or being appointed to another position, such as a faculty or fellowship position. A forwarding address must be provided for the Resident, and the appropriate personnel form routed to the Graduate Medical Education Department.

The program director shall complete and submit to the Graduate Medical Education Department a final, written summative evaluation for each Resident completing the program, which will be maintained in the institution’s permanent records.

Each Resident is required to complete the Graduate Medical Education clearance form by their last day of work. The Resident must have all appropriate departments complete the form and return it to the Graduate Medical Education Office before a certificate or final check will be released. Once all Resident responsibilities are completed, a certificate of training will be issued to each Resident completing a program leading to certification by the American Board of Medical Specialties. It is the responsibility of the program director to certify a Resident as having satisfied the training requirements of a program and as being eligible to sit for the certifying examination of the specialty.

Any requests for duplicate certificates of training will incur a cost of $25 per certificate.

Clearance/Checkout Process for House Officers (GME 19.1a)

Policy
To ensure House Officers are not indebted to LSUHSC-Shreveport upon leaving, completion and submission of a House Officer Clearance Form is required. Upon completion of training, resignation, or termination of training, all House Officers are required to complete the Graduate Medical Education issued Clearance Form. This includes returning all ID Badges, keys, and other equipment issued while in training to appropriate departments on campus and any participating sites. House Officers staying as faculty are required to complete an abbreviated version of the clearance form.

Procedure

- House Officer obtains appropriate House Staff Clearance form from Program Coordinator or GME office.
- House Officers are responsible for visiting each department listed to verify no outstanding items are required of them prior to their leaving LSUHSC-Shreveport. A signature from each department is required as verification nothing is owed by House Officer.
- The Graduate Medical Education office is the last department to visit after all signatures have been acquired and where ID Badges will be turned in.
- Forwarding information should be filled in on the form and supplied to the GME office.
- Failure of House officers to complete and meet required responsibilities regarding the checkout process could result in the holding of completion certificates or final pay check until requirements are met.

The Graduate Medical Education will supply copies of individual clearance forms to programs to file in the program House Officer Record.
Separation of House Officer from Training Program (GME 19.1b)

Policy
In the event a House Officer is no longer participating in a training program due to termination, resignation, death, etc., and leaves prior than the original anticipated date of completion, the process outlined below should be followed. If a resident/fellow expresses the desire to resign from a training program, the Program Director must receive a resignation letter from the resident/fellow stating their last date in training. If resident/fellow is being terminated, the “House Officer Dismissal/Non-Renewal of Contract” (GME 2.1.a) policy should be followed along with notifying the appropriate entities below.

Procedure
Upon receipt of a resignation letter or notification of termination, the Training Program will notify:
- Graduate Medical Education office
- ACGME
- Individual Specialty Board
- LSBME
- Participating Sites and notify of schedule revisions
- LA Board of Pharmacy (CDS & PMP)
  Email: ltaranto@pharmacy.la.gov (attach copy of obituary/death certificate if applicable)
- Drug Enforcement Administration (DEA) if applicable
  Email: NEW.ORLEANS.DIVERSION.REGISTRATION@USDOJ.GOV

GME Office will notify:
- Human Resources
- EPIC Security
- Medicaid Office
- ECFMG (if a J-1 Visa holder)
- LEERs (Email: leers@la.gov)
  In the event of a death of a house officer, the following will be notified by the Social Security Office and may send communication to the institution/program following being notified.
- NPPES (NPI number)
- CMS

A House Officer Clearance form will still need to be completed for each house officer (by individual house officer or program administrator if house officer is not able). The Clearance form should be kept in house officers’ file in both the GME office and training program file. The resident/fellow’s final paycheck will be issued via direct deposit on the Bi-weekly pay day for the pay period they last worked. If any supplemental pay is owed, it will be issued on the last pay day of the month following when the supplemental pay was earned. Any real checks issued for resigned/terminated employees will be mailed to the forwarding address provided to the GME Office and HR.

Post Graduate Verification of Trainings (GME 19.2)

Residency and Fellowship training verifications may be completed through the Graduate Medical Education office. The GME office may only verify dates of training. Verification seeking information regarding evaluations, performance, case logs, etc., should be sent directly to the program training was received.
All verification requests submitted to the GME office should include the following information:

Full Name
Licensing Body/Facility/Company/Institution requesting verification
Department /Specialty of employment or training
Legible, signed release form of applicant

Verifications can be submitted via:
Fax:
318-675-5069

US Mail:
Attn: Graduate Medical Education
1501 Kings Hwy., Building C, Room 2-436b
Shreveport, LA 71103

Email:
christine.cheney@lsuhs.edu or christen.miller@lsuhs.edu

Please allow 7 to 10 days upon receipt for processing of most verifications. Verifications prior to the year 2000 may take additional time, up to 30 days.

Special Review Process (GME 20.1.a)

The GMEC must demonstrate effective oversight of underperforming program(s) through a Special Review process. In order to pursue proper oversight, the Institutional Review Subcommittee is responsible for reviewing each program and assuring compliance with the Institutional, Common Program Requirements and Specific Program Requirements of the ACGME. Programs must complete an Institutional Program Annual Review (IPAR) form along with supporting documents and submit to the Institutional Review Subcommittee during their scheduled submission month. Programs scoring below the minimum score will be required to go through a Special Review Process. The Special Review process must establish criteria for identifying underperformance and result in a report that describes the quality improvement goals, the corrective actions, and the process for GMEC monitoring of outcomes. Special Reviews will be conducted on programs that receive Continued Accreditation with Warning. Programs may also request to be reviewed in order to help identify areas that need improvement. Programs exhibiting high levels of success in certain areas or that score beyond minimum requirements may receive a review to identify best practices to be shared as examples for other programs.

1. Policy

After review of a program’s IPAR, the program will be notified of their scoring results. If a program has scored below the minimum score (80%) or has a 30% variation, the program will be required to go through the Special Review Process. Additionally, if the members of the Institutional Review Subcommittee find special concern with an aspect of the IPAR and are concerned about the
program as a whole they may vote to require a Special Review. The Special Review consists of the self-assessment document completed by the program, as well as in-person interviews with all house officers and attendings of the program. The interviews are conducted by the D.I.O. and a member of the Institutional Review Subcommittee. If no member of the IRS can be present, a neutral Program Director will be assigned to the Special Review. The results of the Special Review will be compiled by the GME office and suggested actions will be presented to the program. The program will be required to submit an action plan to the IRS, which after approval will present to the GMEC. Periodic updates are required to be presented to the IRS to ensure the program is committed to improving.

2. Procedure

- Upon notification of Special Review, Programs will complete the Special Review Self-Assessment Form and submit to the Graduate Medical Education office at least one week prior to the date of the training program’s Special Review.
- The Self-Assessment form includes the following elements for review:
  - Faculty Responsibility
  - Program Directors
  - Evaluations
  - Scholarly Activity
  - Resident Well-Being
  - Duty Hours
  - Resident Performance
  - Departmental Policies and Procedures
  - Performance Improvement
  - General Competencies
  - ACGME Requirements/Information
  - Provide Supporting Documentation
    1. Current, signed program letters of agreement (PLA’s)

    2. Files of current residents/fellows and recent program graduates
    3. If applicable, files of residents/fellows who have transferred into the program, (including documentation of previous experiences and competency based evaluations.)
    4. If applicable, files of residents/fellows who have transferred to another program
    5. Evaluations of residents/fellows at the completion of each rotation/assignment
    6. Evaluations showing use of multiple evaluators (faculty, peers, patients, self and other professionals/staff)
    7. Documentation of residents/fellows’ semiannual evaluations of performance with feedback
    8. Final (summative) evaluation of residents/fellows, documenting performance during the final period of education and verifying that the resident/fellow has demonstrated competence to enter practice
    9. Completed annual written confidential evaluations of faculty by resident/fellows
    10. Overall educational goals for the program
    11. A sample of competency-based goals and objectives for one assignment at each educational level.
    12. Didactic and conference schedule for each year of training
13. Written description of the program’s Clinical Competency Committee (CCC) (membership, semi-annual resident evaluation process, reporting of Milestones evaluation to ACGME, CCC advising on resident progress including promotion, remediation and dismissal
14. Written description of the program’s Program Evaluation Committee (PEC) (membership, evaluation and tracking protocols, Development of written Annual Program Evaluations, and improvement action plans resulting from the Annual Program Evaluation)
15. Policy for supervision of residents/fellows (addressing progressive responsibilities for patient care, and faculty responsibility for supervision) including protocols defining common circumstances requiring faculty involvement
16. Program policies and procedures for resident/fellows’ duty hours and work environment including moonlighting policy
17. Sample documents for episodes when resident/fellows remain on duty beyond scheduled hours
18. Resident duty hour compliance data (exception reports)
19. Sample documents offering evidence of resident/fellow participation in quality and safety improvement projects
20. Most recent ACGME Faculty and Resident Survey Results

**LSBME Special Consideration Licensure Policy (GME 26.1)**

**POLICY**

When selecting a Resident/Fellow, programs should consider the requirements as established for licensure/permit outlined by the LSBME. It is the responsibility of all House Officers to obtain an unrestricted Louisiana license to practice medicine as soon as they meet the minimum postgraduate training requirements stipulated by the LSBME.

When a Resident/Fellow needs special consideration for licensure from the LSBME, the Program Director should follow the process below to contact the LSBME:

1. Program Directors will prepare a letter to the Executive Director for Medical Services/DIO outlining the need for special consideration for licensure signed by the Department Head.
2. After the Executive Director for Medical Services/DIO has reviewed the request, the letter will be sent to the Chancellor to be placed on letterhead, signed by the Chancellor, and will be addressed to the Executive Director of the LSBME.
3. No direct contact shall be made from individual departments to the LSBME regarding special requests for licensure.
Education Reimbursement Request Policy (GME 28.1)

Purpose
The Graduate Medical Education department will be responsible for the review and distribution of GME continuing education reimbursement as it applies to residents/fellows in training; program/required associate program directors, and program coordinators. Education reimbursement is a privilege and shall be requested, approved and monitored. Utilization of reimbursement funds shall be tracked and reported to the administrative body. When requesting reimbursement, an Outcome Measure, which is evidence of accomplishing educational goals (board pass rates, meeting research requirements, global health rotations to make programs more competitive, educational deficiencies, etc.) will be considered. An anticipated date of completion for the Outcome Measure is required.

Policy
In order for the GME office to appropriately keep track and reimburse monies allocated for educational purposes, the procedure outlined below must be followed. Amount allowed is per academic year for individual.

<table>
<thead>
<tr>
<th>Education Support</th>
<th>Amount per PD/APD</th>
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</thead>
<tbody>
<tr>
<td>Program Director/Associate Director</td>
<td></td>
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<tr>
<td>Program Director</td>
<td>$3,500.00</td>
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<tr>
<td>Associate Program Director (required)</td>
<td>$2,000.00</td>
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<tr>
<td>Resident/Fellow</td>
<td></td>
</tr>
<tr>
<td>Intern/Resident/Fellow Education Support Payment</td>
<td>$1,250.00</td>
</tr>
<tr>
<td>Recruitment</td>
<td>$1,000.00</td>
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<tr>
<td>Resident Program Administrator/GME Specialist</td>
<td></td>
</tr>
<tr>
<td>Resident Program Coordinators &amp; Education</td>
<td>$1,500.00</td>
</tr>
<tr>
<td>GME Staff</td>
<td>$14,500.00</td>
</tr>
</tbody>
</table>

Procedure
1. A “GME Continuing Education Request Form” must be typed, completed, and signed by appropriate program/department personnel. The “Continuing Education Request Form” must be completed prior to submission for reimbursement consideration. Funds used for conference attendance must demonstrate the individual plans to attend the entire conference. In addition, if applicable, the individual must agree to present learning acquired as a grand rounds, etc.
   - If travel is required, please attach a completed “Prior Approval Request for Travel” Form and required leave request form per travel policy, along with all normal required travel documents.
• In accordance with the Chancellor’s Memorandum (CM-36) All travel must be received in the GME office at least **one month** prior to date of travel to be processed.

2. A completed Continuing Education Request Form will be submitted to the GME office for review and approval by Designated Institutional Official. Include with your submission any requisition/internal transaction form needed for purchase/reimbursement of the books/online training/tests being requested.

3. The GME office will submit required documentation to appropriate Administrative Departments in order to process approved education reimbursement.

4. The GME office will review and track approved education reimbursements for each Program Director/Required Associate Director, Resident/Fellow, and Resident Program Coordinator.

• A spreadsheet shall be maintained and updated in the GME Office for each Program Director/Required Associate Director, Resident/Fellow, and Resident Program Coordinator.

• No program/individual is to exceed the amount allotted indicated in the table above. Reimbursement will not be issued beyond amount allowed.

**Allowable Requests**

While the goal of the Continuing Education Request Fund is to stimulate learning and improve performance, there are certain requests which can be considered non-approvable. The following are examples of requests that are **not** allowed at this time:

1. Equipment
   a. (iPads, tablets, phones, laptops, etc)

2. Professional Association Dues (with the exception of the MKSAP which requires membership to obtain a much lower test fee).
   a. If you believe that your Professional Association will also meet this requirement, please submit written proof to the GME office for review with your request)

3. Travel expenses for going to an in-service training exam or board exam.
   a. While the fee for the test itself is allowable, travel to and from the test is not, as it is considered a departmental responsibility.

4. STEP 3 exam.
   a. The difference between in-service training exams/board exams and the STEP 3 exam is that the former are required and monitored by ACGME, while the latter is a personal professional responsibility.

   a. International travel, including Hawaii and Alaska, is prohibitively expensive. Please make all effort to find a conference in the lower 48 states that will fulfill your educational requirements.

6. Recruitment expenses
   a. Recruitment expenses are designed for promotional items or dinners the program uses to promote itself to interviewing medical students. Normal food rules apply, including the fact that this fund cannot be used to reimburse alcohol.
   b. For Recruitment meals/food, you will need to complete an Entertainment Prior Approval request form found on the LSUHS Intranet.
The Continuing Education Request Fund is for enhancing the educational experience of the house officer, not to supplement known requirements of completing training in your specialty.

**Educational Program (GME 29.1)**

**Policy**

The ACGME accreditation system is designed to encourage excellence and innovation in graduate medical education regardless of the organizational affiliation, size, or location of the program. The educational program must support the development of knowledgeable, skillful physicians who provide compassionate care. In addition, the program is expected to define its specific program aims consistent with the overall mission of its Sponsoring Institution, the needs of the community it serves and that its graduates will serve, and the distinctive capabilities of physicians it intends to graduate. While programs must demonstrate substantial compliance with the Common and specialty-specific Program Requirements, it is recognized that within this framework, programs may place different emphasis on research, leadership, public health, etc. It is expected that the program aims will reflect the nuanced program-specific goals for it and its graduates; for example, it is expected that a program aiming to prepare physician scientists will have a different curriculum from one focusing on community health.

**Procedure**

The curriculum must contain the following educational components:

- A Set of program aims consistent with the Sponsoring Institution’s mission, the needs of the community it serves, and the desired distinctive capabilities of its graduates
  - The program’s aims must be made available to program applicants, residents, and faculty members
- Competency-based goals and objectives for each educational experience designed to promote progress on a trajectory to autonomous practice. These must be distributed, reviewed, and available to residents and faculty members
- Delineation of resident responsibilities for patient care, progressive responsibility for patient management and graded supervision
- A broad range of structured didactic activities
Residents must be provided with protected time to participate in core didactic activities
  • Advancement of residents’ knowledge of ethical principles foundational to medical professionalism
  • Advancement in the residents’ knowledge of the basic principles of scientific inquiry, including how research is designed, conducted, evaluated, explained to patients and applied to patient care

GME Competency Education Program (AMA GCEP) GME 29.1.a

Policy
LSU Health Shreveport Graduate Medical Education office utilizes the AMA GME Competency Education Program. The program provides modules covering many ACGME required competencies as well as other related topics to enhance the education of residents/fellows while in training. The program is designed to help individual programs and the institution provide required information to residents/fellows and core faculty in training through online modules. The core faculty modules are designed to teach core faculty how to be better teachers (Faculty Development).

Procedure
Resident/Fellow
All residents/fellows are registered for an AMA account at the time of their hire through the Graduate Medical Education office. A welcome email is sent to residents/fellows directly from the AMA on how to log in and complete courses. The GME Office will assign the required modules at the beginning of the academic year (July). The resident/fellow will have until December 31 of that academic year to complete the required modules.
  • All residents/fellows are required to complete the GCEP Modules as assigned according to the attached schedule to ensure LSUHS provides the required educational topics as a part of ACGME and AAMC accreditation.
  • Additional modules may be added by the program at any time.
  • Off-cycle residents/fellows will be added to the system using as needed.

Core Faculty
Core Faculty updates to the GCEP roster will occur regularly. The program will provide information using the GCEP roster template available on the GME Shared Drive for those core faculty members who are new and those who have left the program. Program Administrators can obtain the incoming and outgoing core faculty members from the department Business Manager. A welcome email is sent to educate core faculty directly from the AMA on how to log in and complete courses. The GME Office will assign the five core faculty courses to each new core faculty submitted. The core faculty will have until May 30 of that academic year to complete the 5 required modules
  • All core faculty are required to complete the following five courses:
    1. Creating an Effective and Respectful Learning Environment
2. Physician Health: Physicians Caring for Ourselves
3. Using Tools to Form an Action Plan for Wellness
4. Facilitating an Effective “Residents as Teachers” Program
5. Thriving Through Residency: The Resilient Resident

- A suggested course plan along with other suggested modules is available (attached at end of policy)

Individual Programs may develop their own Course Plan for each PGY Level using the mandatory modules as described above along with additional modules as desired. Program Administrators will be responsible for assigning additional modules to residents and core faculty (when available). Program Administrators and the GME Office will monitor compliance of residents/fellows/core faculty. Programs will discuss the GCEP Course plan and requirements at the beginning of each academic year with their residents/fellows. All Program’s resident/fellow and core faculty GCEP Completion Rates will be reported and reviewed monthly at the GMEC meeting. The completion percentage reported to the GMEC will reflect the status as of the week prior to the monthly GMEC meeting.

**American Medical Association Graduate Competency Educational Program**

**LSUHS Resident/Fellow Module Assignment Schedule**

The GCEP modules are assigned according to the following schedule to ensure LSUHS provides the required educational topics as a part of ACGME and AAMC accreditation.

<table>
<thead>
<tr>
<th>1st Year Modules</th>
<th>2nd Year Modules</th>
<th>3rd Year Modules</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building the Patient-Physician</td>
<td>Basics of Health Equity for GME</td>
<td>Choosing the Practice That’s Right for you</td>
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<tr>
<td>Relationship</td>
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<tr>
<td>Patient Handoffs</td>
<td>Conflicts of Interest</td>
<td>Health Care Quality: Measuring Physician Performance</td>
</tr>
<tr>
<td>Resident Intimidation</td>
<td>Cultural Competency</td>
<td>Physician Employment Contracts</td>
</tr>
<tr>
<td>Residents as Teachers</td>
<td>Thriving Through Residency: The Resilient</td>
<td>Coding and Documentation for Resident Physicians</td>
</tr>
<tr>
<td></td>
<td>Resident</td>
<td></td>
</tr>
<tr>
<td>Privacy and Confidentiality</td>
<td>Quality Improvement Practices</td>
<td>Patient Safety</td>
</tr>
<tr>
<td>Working Effectively Within an</td>
<td>Creating an Effective and Respectful Learning</td>
<td>Creating an Effective and Respectful Learning</td>
</tr>
<tr>
<td>Interprofessional Team</td>
<td>Environment</td>
<td>Environment</td>
</tr>
<tr>
<td>Promoting Medication Adherence</td>
<td>Physician Health: Physicians Caring for</td>
<td>Sleep Deprivation: Your Life and Your Work</td>
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<tr>
<td></td>
<td>Ourselves</td>
<td></td>
</tr>
<tr>
<td>Racism in Medicine: An Introduction</td>
<td>End-of-Life Care</td>
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<tr>
<td></td>
<td>Managing Unconscious Bias</td>
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</tbody>
</table>
Additional modules available for assignment by each program AND/OR on-demand access for Residents/Fellows

<table>
<thead>
<tr>
<th>Accepting Gifts from Industry</th>
<th>Anatomy of a Lawsuit</th>
<th>Fraud and Abuse</th>
<th>Introduction to Health Insurance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Safer Prescribing and Managing of Opioids</td>
<td>Understanding Clinical Trials</td>
<td>Using Tools to Form an Action Plan for Wellness</td>
<td></td>
</tr>
</tbody>
</table>

LSUHS Faculty Module Assignment Schedule

The GCEP modules are assigned to Core Faculty and other faculty as designated by each program each year to ensure LSUHS provides the required educational topics as a part of ACGME and AAMC accreditation.

<table>
<thead>
<tr>
<th>Facilitating an Effective “Residents as Teachers” Program</th>
<th>Identifying and Applying Wellness Strategies</th>
<th>Modeling a Supportive Learning Environment</th>
<th>Prioritizing Wellness for Yourself and Others</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Supporting Resident Well-Being</td>
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</table>

**Chief Resident Policy (GME 30.1)**

**Policy**

Resident Programs may designate an upper level resident to serve as Chief Resident of their training program during an academic year. Residents designated as chief residents should have a written job description that clearly outlines the administrative, educational and teaching duties expected. Those duties may include but are not limited to:

- Scheduling
- Organizing Social/Extra Activities for residents (Wellbeing)
- Serving as liaison between faculty and residents
- Reporting to PD/APD any issues
- Organizing Resident meetings
- Orienting interns
- Assigned supervision of certain services
- Attending department meetings
- Overseeing lower level residents and medical students
- Other duties/responsibilities assigned by the program

**Procedure**

Programs will complete the Graduate Medical Education Chief Resident Memo to the GME office providing the name(s) and dates of designated chief resident(s). The chief resident memo must be signed by the Program Director and Department Chair. Designated Chief Residents for Residency Training programs will receive an additional chief resident supplement of $200 per month. The GME office will submit supplemental pay for chief residents to be processed and applied to their paycheck. Designated Chief Residents will be expected to perform designated chief duties as written by the program.
Date: **MM/DD/YYYY**

From: Graduate Medical Education

To: Department Chairs  
Program Directors

Re: Chief Resident(s)

Please return this memo to Medical Education by Friday, **DUE DATE**. Thank you.

---

**Department**

**Chief Resident(s) for my department for academic year YYYY-YYYY will be:**

<table>
<thead>
<tr>
<th>House Officer</th>
<th>Dates as Chief Resident</th>
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</table>

Please attach a description of the roles and responsibilities of your selected Chief Resident(s). If selecting more than one Chief Resident during the same date range, please provide justification for each Chief Resident. Justification should include a description of the role and responsibilities of each Chief Resident, goals and objectives and expectations to be met while performing individual Chief Resident duties.

Approved by:

Program Director

Department Chair
Post Graduate Chief Resident Policy (GME 30.2)

Policy
Residency training programs may offer a one year post graduate Chief Resident position to eligible residents that have successfully completed a residency program. Residents offered a post-graduate Chief Resident position will be paid at minimum the appropriate salary level in correspondence to their PGY training level. For example, a resident that has completed a three-year residency program and is offered a 4th Year Chief Resident position will be paid at the level of a PGY 4 resident.

Procedure
Programs will notify the Graduate Medical Education office of any anticipated post-graduate Chief Resident positions and ensure they follow ACGME requirements and have appropriate funding for such position. Post Graduate Chief Residents will follow same onboarding process as any incoming resident.

House Officer Intern Schedule Policy (GME 31.1)

Policy
The Graduate Medical Education office will be responsible for preparing the Intern Rotation Schedule for all residency training programs. The schedule will be made to meet all program rotation requirements and will include elective rotations if requested. Expectations off services and home services should be clearly communicated between programs and interns. Interns should receive Program/Rotation specific policies and procedures prior to the start of their rotation on that service.

Procedure
The Graduate Medical Education office will prepare a draft schedule to meet program rotation requirements and then sent to all programs for review. Requests for changes will be received if programs need revisions made and then a final schedule will be sent out to all programs. Changes to the original schedule should be made and communicated to appropriate programs 30 days prior to the start of that rotation. House Officers must stay in compliance with all clinical hour requirements.

The following guidelines should be observed when rotating on another service:
- Interns should be allowed to return to their home program for scheduled didactics/conferences when rotating off-service.
- Interns should take educational leave on their home service unless appropriate advanced notice is given to both Program Directors and both Program Directors approve. Annual Leave/Vacation must be approved by off service program director first and then sent to home service for approval. The standard House Officer Leave Request Form will still be required to be completed and submitted to the GME office upon approval.
  - Departments and their program directors may reach their own agreement on the numbers of off-service vacation days/weeks that may be provided to rotating residents. Length of rotation and required time spent in rotation to receive credit should be considered.
• If an intern is unable to report to a rotation due to sickness/emergency and must call in, they must call the attending on the service they are on and notify them of their absence. In addition to notifying the attending, the GME House Officer Call in Policy (5.1.a) should still be followed, notifying their home Program Director or his/her designee and submitting a House Officer Leave Request form upon returning to work.

Diversity Policy for Recruitment & Retention of Residents/Fellows, Faculty and Staff (GME 32.1)

Purpose
The Graduate Medical Education office is responsible for ensuring all training programs, in partnership with its Sponsoring Institution, engage in practices that focus on mission-driven, ongoing, systematic recruitment and retention of a diverse and inclusive workforce of residents, fellows, faculty members, senior administrative staff members, and other relevant members of its academic community. In support of the mission of LSU Health Shreveport to teach, heal, and discover, LSU Health Shreveport is committed to fostering a culture of diversity and inclusion that promotes mutual respect for all. All training programs can enhance their educational experience of trainees from the contributions of individuals with diverse backgrounds and views. LSUHSC-S is dedicated to building an inclusive and diverse community through the recruitment, enrollment, hiring, and retention/graduation of students, faculty, staff, and leadership who meet this definition of diversity. The GME Office is committed to training physicians coming from all backgrounds and cultures.

Policy
Programs will strive to select a gender-balanced, diverse, and tolerant residents, fellows, faculty, and staff. There is a priority to recruit significant, value-added, underrepresented in medicine groups that may include but not limited to race ethnicity, gender/gender identity, social and cultural attributes, abilities, sexual orientation, religion, rural or metropolitan background, military or veteran status, and age. Departments will focus on the recruitment and retention of individual’s that have been under represented in medicine. Programs should develop their own program specific policies when reviewing and selecting prospective applications and consider the contributions that individual could bring to the program.

Participation and involvement in diversity events and opportunities sponsored by training programs and institutional departments is encouraged. The Office of Diversity Affairs has designed and implemented programs and initiatives to assist in building supportive relationships between minority students, residents, fellows and faculty. Programs will also be asked to provide how they recruit and retain a diverse workforce during their annual evaluations.

The GME office and all training programs recognize the importance and value of developing an institutionally diverse community as a vital role of achieving the mission of LSU Health Shreveport.