

**San Joaquin COE (Tracy Unified School District)
Investment Provider Listing**



Below is a list of the approved Investment providers for the Employer's 403(b) Plan.
The Salary Reduction Agreement can be found following the Investment Provider Listing.

Investment Provider Name	\$3 FEE	STRS ID#	Escape Deduction Group ID
American Century Investments	X	1164	T002
American Fidelity Assurance Company		1062	T004
American Funds Distributors, Inc. (AFD)	X	1057	T005
American United Life (AUL), a OneAmerica Financial Partner	X	1128	T006
Americo Financial Life and Annuity Ins Co/Great Southern Life Ins Co**		1035	T007
Ameriprise Financial Inc.		1041	T003
California Teachers Association (CTA)	X	1926	T069
CalSTRS Pension 2	X	1097	T056
Commonwealth Annuity and Life Insurance Company		1018	T013
Equitable Life Insurance Company (Formerly Axa)		1067	T011
Fidelity Investments	X	1133	T015
Fiduciary Trust International of the South ("FTIOS")	X	1025	T017
First Investors funds distributed by Foresters Financial		1077	T016
FTJ FundChoice, LLC (aka Orion Portfolio Services)	X	1148	T018
GLP Investment Services, LLC		1817	T067
Great-West Life & Annuity Company*		1084	T021
GWN Securities, Inc		1113	T022
Hartford, The	X	1009	T023
Horace Mann Companies		1014	T024
Industrial-Alliance Pacific Life Insurance Company, US Branch		1135	T025
IPX (FPS Group)		1966	T071
Jackson National Life Ins. Co.	X	1108	T028
Legend Group; The		1052	T029
Lincoln Investment Planning, Inc.		1068	T031
Lincoln Nat'l Life Ins Co (Lincoln Fin Grp), The		1029	T032
LSW dba National Life Group		1036	T030
MassMutual, through its subsidiary, C.M. Life Insurance Company		1074	T033
MetLife Insurance Company USA		1073	T034
Metropolitan Life Insurance Co -aka- MetLife, MetLife Resources		1024	T036
Midland National		1043	T037
Modern Woodmen of America		1015	T038
National Health Insurance Company (NHIC)*		1188	T039
New York Life Ins. & Annuity Corp.		1083	T041
North American Company for Life and Health		1472	T040
Oppenheimer Funds Distributor, Inc (aka Invesco)		1121	T042
Pacific Life Insurance Company	X	1130	T043
Pentegra Retirement Services***		1718	T044
PFS Investments Inc		1030	T045
PlanMember Services Corp		1127	T046
Putnam Investments		1145	T048
RSG Securities/National Planning Corporation	X	1174	T049
Security Benefit		1022	T050
T. Rowe Price	X	1005	T052
Thrivent Financial AKA Thrivent Financial for Lutherans		1038	T054
Thrivent Investment Management Inc.		1066	T055
TIAA-CREF (Teachers Insurance & Annuity Association of America)	X	1023	T053
Transamerica Financial Life Insurance Company		1413	T059
Transamerica Fund Services, Inc.	X	1076	T058
Transamerica Life Insurance Company*		1160	T059
USRA Life Insurance Company		1053	T063
VALIC		1117	T001
Vanguard Group, The	X	1102	T064
Victory Capital Management Inc. (USRA Mutual Funds)		1142	T070
Voya Financial / ReliaStar		1060	T026
Waddell & Reed, Inc		1042	T065
Western National Life Insurance Company	X	1162	T066

Roth 403(b) Investment Providers			
American Century Investments	X	1164	TROTH0
California Teachers Association (CTA)	X	1926	TROTH9
CalSTRS Pension 2	X	1097	TROTH3
Equitable Life Insurance Company (Formerly Axa)		1067	TROTH12
Fidelity Investments	X	1133	TROTH7
Horace Mann Companies		1014	TROTH5
Industrial-Alliance Pacific Life Insurance Company, US Branch		1135	TROTH1
IPX (FPS Group)		1966	TROTH13
Lincoln Investment Planning, Inc.		1068	TROTH8
LSW dba National Life Group		1036	TROTH4
Oppenheimer Funds Distributor, Inc		1121	TROTH6
PFS Investments Inc		1030	TROTH2
Vanguard	X	1102	TROTH10

* This company is no longer accepting new 403(b) contributions and therefore no fee will be assessed on the current accounts.

**This investment provider does not authorize NEW voluntary contributions. Current voluntary contributions may be continued and the deferral amounts may be changed.

*** This company will pay a portion of the fee and will charge the participant's account for the remainder of the fee.

Monthly Fee

A fee of \$3 per month for every month of participation is charged to each investment provider by the employer's third party administrator to cover the costs of administering the employer's 403(b) plan. Many investment providers have agreed to pay this fee and not pass it along to participants. Other investment providers will require that the fee be paid by the participant; participant payments are completed through an after-tax payroll deduction.

Investment Information

Please consult with your financial advisor regarding your investment options. Investment information and comparisons are available at www.403bcompare.com.

Contact TDS

If you need further assistance or have additional questions about this form, please don't hesitate to call us! Our Toll Free number is (866) 446-1072 and following a short prompt, please hold the line for the next available representative. The TDS Service Center is open Monday through Friday from the hours of 8:00am to 5:00pm, Pacific Time.