



*inspirans flammam  
posteritatis*

**ROSEMEAD  
PREPARATORY  
SCHOOL & NURSERY**

DULWICH

**P12 - RISK AND COMPLIANCE POLICY  
(INCLUDING EYFS)**

## **Risk and Compliance Policy**

Thurlow Educational Trust seeks to mitigate both immediate and perennial risk to tolerable levels and insofar as is reasonable or achievable. The primary mechanism for achieving this aim is through processes of risk review at both an Executive and Governance level. The primary mechanisms for recording, evaluating and mitigating against risk are:

1. The Risk Register
2. The Serious Incident Log

These are informed by the work of ongoing audits (internal and external), operational committees, operational logs and record keeping.

### **Executive Risk Mitigation**

The Rosemead Senior Leadership Team (SLT) routinely reviews risks as follows:

- Weekly review of 'emerging persistent risks and modifications to the risk register' as a standing item.
- Weekly review of 'current updates on current risks and modifications to serious incident log' as a standing item.
- Termly review of the serious incident log to look for trends and appropriate mitigations and actions.
- Termly detailed review of the risk register.
- Termly reviews of other logs that offer risk to the organisation, including but not limited to bullying, behaviour, debtors and staff absence.

In addition, the SLT devolves detailed operational planning to the following committees:

- Inspection and Compliance Committee, chaired by the Deputy Head Academic of St Dunstan's College (SDC), who is responsible for preparing for ISI inspection, reviewing the expected Self-Evaluation paperwork and compliance against the Independent Schools Standards and Regulations (ISSRs).
- Health and Safety Committee, Chaired by the Chief Operating Officer of SDC, and responsible for operational mitigation of all matters pertaining to Health and Safety across the sites.
- Individual Pupil Needs Committee, chaired by the Designated Safeguarding Lead of St Dunstan's College, and responsible for reviewing all elements of individual pupil needs – medical, pastoral, safeguarding, SEND and EAL – to ensure all possible reasonable adjustments are in place.

### **Trustee Risk Mitigation**

The Governing Body of St Dunstan's Educational Foundation are jointly and severally responsible for the mitigation of risk and devolve responsibility to the Risk and Compliance Committee, selected from their number, who meet three times yearly to review and offer challenge on the following reports:

- The Risk Register
- The Serious Incident Log
- Reports from external auditors
- Other logs, as required, including bullying, IPN, safeguarding, behaviour, health and

- safety
- Reports from the inspection and compliance committee and preparation for inspection

### Audits and Reviews

Thurlow Educational Trust believes that in matters of risk mitigation, appropriate and robust processes of external auditing offer significant advantage to the organisation. A full list of annual audits is contained as a related document to this policy. Where appropriate, audit reports including follow-up actions are presented to the Inspection and Compliance Committee.

### Related Documents

Health and Safety Policy

ISI Self Evaluation Part 1

Logs at St Dunstan's

Audits – St Dunstan's Educational Foundation

<b>P12 – Risk and Compliance</b>			
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