

**Schedule 2 — Interests in Corporations and Partnerships**

Did you have any interest in any corporations, partnerships, limited liability partnerships (LLP) or limited liability companies (LLC) during the reporting period, whether or not the entity did business with the Board or SMCPS?

Yes

No

**If Yes: (Answer each question below. A separate Schedule 2 will be required for each interest you need to disclose.)**

1. What is the name of the entity? Include the complete name of the entity, do not identify solely by trading symbol: \_\_\_\_\_

2. Does the stock of the corporation trade on a stock exchange?      Yes      No

If “no,” the legal address of the entity’s principal office. \_\_\_\_\_

3. Is the interest held directly by you or is it attributable to you?

Directly:

Attributable:

4. Do you hold the interest in your name alone, or is it held jointly?

In your name alone:

Jointly:

If jointly, the percentage of your interest:

5. What number of shares do you own? (Example: stock, notes, bonds, puts, calls, straddles, purchase options, etc.) If in a non-publicly traded entity or LLP or LLC, report the percentage of ownership.

Name and number of stock, notes, bonds, puts, calls, straddles, purchase options, etc:

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Percentage of Ownership \_\_\_ %

Percentage of Ownership \_\_\_ %

Percentage of Ownership \_\_\_ %

6. Are there any legal conditions or encumbrances that apply to your interest in the entity?  
(Example: mortgages, liens, contracts, options, etc.)

Yes

No

**If Yes:** Name of entity holding the encumbrance: \_\_\_\_\_

7. Did you acquire an interest in the entity during the reporting period?

Yes

No

**If Yes: (Answer each question below.)**

7.A In what month was the interest acquired? \_\_\_\_\_

7.B How was the interest in the entity acquired? (Example: purchase, gift, will, etc.) :  
\_\_\_\_\_

7.C From whom did you acquire the interest in the entity? (If you purchased it from a brokerage, the name of the brokerage):  
\_\_\_\_\_

7.D What number of shares was acquired? \_\_\_\_\_

Name and number of stock, notes, bonds, puts, calls, straddles, purchase options, etc:

Name: \_\_\_\_\_ Name: \_\_\_\_\_ Name: \_\_\_\_\_

-Select One-

-Select One-

-Select One-

Percentage of  
Ownership: \_\_\_%

Percentage of  
Ownership: \_\_\_%

Percentage of  
Ownership: \_\_\_%

8. Have you transferred any interest in this entity during the reporting period?

Yes

No

**If Yes: (Answer each question below.)**

8.A What number and percentage of the interest was transferred?

Percentage of  
Ownership: \_\_\_%

Percentage of  
Ownership: \_\_\_%

Percentage of  
Ownership: \_\_\_%

8.B What consideration did you receive for the interest in the entity? (Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value and terms at the time you transferred your interest in the property):

or percentage of your interest in the entity:

8.C To whom did you transfer your interest in the entity?

---

**If you have additional interests in corporations or partnerships, please use additional sheet(s) if necessary, and answer each of the above questions for each additional entry.**