ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BOARD OF TRUSTEES

Regular Board Meeting
December 16, 2021

Thursday, December 16, 2021
AGENDA - ANNUAL ORGANIZATIONAL AND REGULAR BOARD MEETING

Type: AGENDA - ANNUAL ORGANIZATIONAL AND REGULAR BOARD MEETING
Time: 5:30 p.m.
Code: Regular #12 -20/21

The December 16, 2021, ANNUAL ORGANIZATIONAL AND REGULAR BOARD MEETING WILL BE CONDUCTED EXCLUSIVELY VIA CONFERENCE TELEPHONE AND THROUGH "ZOOM" VIDEO CONFERENCING. THE DISTRICT OFFICE AND BOARD ROOM WILL NOT BE OPEN AND AVAILABLE FOR THE PUBLIC TO ATTEND AND PARTICIPATE IN THE BOARD MEETING AT THE DISTRICT OFFICE. MEMBERS OF THE PUBLIC MAY PARTICIPATE AND COMMENT IN REAL TIME IN OPEN SESSION THROUGH THE FOLLOWING MEANS:

1. Zoom - Live Board Meeting and use "Raise Hand" function to request opportunity to make a comments.
2. THE ZOOM MEETING CAN BE ACCESSED AS FOLLOWS:
Join Zoom Meeting https://arusd-org.zoom.us/j/89088310254
One tap mobile
+16699006833, Meeting ID: 890 8831 0254# US (San Jose)
Zoom Spanish Interpretation available- Zoom Instructions link www.arusd.org/zoomesp. If you call in you will not be able to access this function.
THE MEETING MAY ALSO BE VIEWED THROUGH LIVE STREAM AS FOLLOWS:
1. Live stream Youtube English Channel "AlumRock TV"
https://www.arusd.org/alumrocktv

In compliance with the Americans with Disabilities Act and Executive Order N-08-21, if you need Special Assistance, Disability-Related modifications or accommodation, including auxiliary aids or services, in order to participate in the Regular Board Meeting of the Board of Trustees, please contact the office of the District Superintendent at (408) 928-6822 or by email at maribel.carrillo@arusd.org immediately and the district will make reasonable arrangements to ensure accommodation and electronic accessibility to this meeting.

1. OPEN SESSION - CALL TO ORDER, ROLL CALL, AND OFFICER ELECTIONS

1.01 CALL TO ORDER / ROLL CALL/PLEDGE OF ALLEGIANCE -- PRESIDENT CORINA HERRERA-LOERA.

1.02 ACTION: ANNUAL ORGANIZATIONAL MEETING, NOMINATION AND ELECTION OF BOARD PRESIDENT.

1.03 ACTION: ANNUAL ORGANIZATIONAL MEETING, NOMINATION AND ELECTION OF BOARD VICE-PRESIDENT.

1.04 ACTION: ANNUAL ORGANIZATIONAL MEETING, NOMINATION AND ELECTION OF BOARD CLERK.

1.05 ACTION: APPROVE AND APPOINT THE SUPERINTENDENT AS THE SECRETARY TO THE BOARD.

1.06 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action.

2. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

2.01 REQUESTS TO ADDRESS THE BOARD provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific

agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to submit a comment or request for comment as specified above. There is a two-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

3. COMMENTS AND COMMUNICATION

3.01 Alum Rock Administrator's Association (ARAA).

3.02 Teamsters.

3.03 California School Employee's Association (CSEA).

3.04 Alum Rock Educator's Association (AREA).

3.05 Superintendent.

3.06 Board of Trustees/Communications/Comments

4. SUPERINTENDENT/ BOARD BUSINESS--BOARD DISCUSSION AND/ OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

4.01 Approve Proposed Board Meeting Calendar for 2022. The Board will discuss and determine the proposed schedule for the Regular Board Meetings for the calendar year from January through December 2022. Proposed dates include: January 13; February 10; March 10; April 14; May 12; June 09 and June 23; July 14; August 11; September 8; October 13; November 10; and December 15.

4.02 Ratify Superintendent's Contract for the period July 1, 2021 – June 30, 2025.

4.03 Discussion/Consideration to Approve Resolution #19-21/22 Authorizing Remote Virtual/Teleconference Meetings Of The Board And District Committees Subject To The Brown Act For The Period December 18, 2021 – January 17, 2022.

4.04 Approval to Appoint the Board Representative for the County Committee Election for 2022. The California Education Code requires that the Governing Board of each school district, at its annual initial meeting, select one of its members to vote in the election for members of the County Committee on School District Organization.

4.05 The Board will consider the formation of a Teacher Housing Workforce (Information Only).

4.06 Approve Resolution No. #20-21/22 Support of the Restoration of the Federal Recognition of the Muwekma Ohlone Indian Tribe of the San Francisco Bay Area.

4.07 Approve Resolution No. # 21-21/22 Historical and Cultural Designation of the Mosaic at 1711 E San Antonio Street.

5. BUSINESS SERVICES--BOARD DISCUSSION AND/ OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

5.01 Approve 2021-22 First Interim Report.

6. INSTRUCTIONAL SERVICES--BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

6.01 Approve California State Preschool Program (CSPP) Continued Funding Application for Fiscal Year 2022—2023.

6.02 Educator Effective Block Grant (EEBG)- Aptitud Academy (Information Only).

6.03 Approve Educator Effectiveness Block Grant (EEBG).

7. BONDS -- BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

7.01 Approve Contract with CUMMING MANAGEMENT GROUP, INC. for Program Management (PM) Services.

8. HUMAN RESOURCES-- BOARD DISCUSSION AND/ OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

8.01 Resignations (Information Only).

8.02 Certificated Substitute Pay Rates Effective December 17, 2021 (Information Only).

9. Contract Extensions over $100,000--BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

9.01 Approve the Contract Extension for ASES Grant (Citizen Schools) in the amount of $49,717. Increase is due to a rate increase for SY 2021-2022. Submitted by: State and Federal Dept.

9.02 Approve the Contract Extension for ASES Grant (City of San Jose) in the amount of $18,695. Increase is due to a rate increase for SY 2021-2022. Submitted by: State and Federal Dept.

9.03 Approved the Contract Extension for ASES Grant (City Year) in the amount of $217,414. Increase is due to a rate increase for SY 2021-2022. Submitted by: State and Federal Dept.

9.04 Approved the Contract Extension for ASES Grant (Think Together) in the amount of $125,769. Increase is due to a rate increase for SY 2021-2022. Submitted by: State and Federal Dept.

9.05 Approved the Contract Extension for ASES Grant (YMCA) in the amount of $37,315. Increase is due to a rate increase for SY 2021-2022. Submitted by: State and Federal Dept.

9.06 Approve the Contract Extension with Maxim Healthcare Staffing in the amount of $178,265. Increase is due to additional LVN services being provided at various school sites in the 2021-2022 SY. Submitted by: Student Services Dept.

9.07 Approve the Contract Extension with RO Health, Inc. in the amount of $169,979. Increase is due to additional LVN services being provided at various school sites in the 2021-2022 SY. Submitted by: Student Services: Student Services Dept.

9.08 Approve the Contract Extension with Maxim Healthcare Staffing in the amount of $309,937 dollars. Increase is due to additional Speech Therapist's services being provided to students at various school sites during the 2021-2022 SY, including the summer school program. Submitted by: Special Education Services.

9.09 Approve the Contract Extension with The Home Depot Pro in the amount of $90,000. Increase is due to the purchase of additional janitorial supplies needed due to Covid-19. Submitted by: Maintenance, Operations and Transportation Dept.

10. CONSENT CALENDAR--BOARD DISCUSSION AND/ OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

10.01 Approve Board Meeting Minutes for the following dates: November 18, 2021, Regular Board Meeting and December 7, 2021, Special Board Meeting.

10.02 Approve Contracts for Professional Services -Firms/Organizations.

10.03 Approve Acceptance of Donations.

10.04 Approve Fundraising Activities.

10.05 Approve Acceptance of Vendor & Payroll Warrants.

10.06 Approval of Amended Annual Developer Fees 2020-2021.

10.07 Approve the Santa Clara County Treasury Investment Portfolio Status.

10.08 Approve Resolution No. 18-21/22 Inventory Disposal.

10.09 Approve/Ratify Notices of Employment and Changes of Status/December 16, 2021.

11. CLOSED SESSION

11.01 RECESS TO CLOSED SESSION: The Board will recess to Closed Session at approximately 9:00 p.m. Open Session will resume at conclusion of Closed Session.

11.02 ANNOUNCEMENT AND PUBLIC COMMENTS REGARDING ITEMS TO BE DISCUSSED IN CLOSED SESSION (Government Code Section 54957.7).

11.03 CONFERENCE WITH LEGAL COUNSEL—PENDING LITIGATION Litigation pursuant to paragraph (4) of subdivision (d) of Government Code Section 54956.9: Four cases

11.04 CONFERENCE WITH LEGAL COUNSEL--Anticipated Litigation pursuant (1) of subdivision (d) of Government Code Section 94956.9 (1) potential case.

11.05 CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: AREA (Alum Rock Educators Association); District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; (2) Tereasa Smith, Director, Human Resources and (3) Kolvira Chheng, Assistant Superintendent, Business Lead Negotiators.

11.06 PUBLIC EMPLOYEE APPOINTMENT/EMPLOYMENT (Government Code Section 54957): Title: Network & Systems Supervisor

12. RECONVENE TO OPEN SESSION - VIA ZOOM

12.01 REPORT OF ACTION TAKEN IN CLOSED SESSION.

13. FUTURE BOARD AGENDA REQUESTS

13.01 Requests from Board of Trustees and/or from the Public. Requests shall be submitted in writing to the Superintendent or designee with supporting documents and information, if any, at least one week before the scheduled meeting date. Items submitted less than a week before the scheduled meeting date may be postponed to a later meeting in order to allow sufficient time for consideration and research of the issue. BB 9322

14. ADJOURNMENT

14.01 President adjourns the meeting.

4.01

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **PROPOSED REGULAR BOARD MEETING CALENDAR FOR 2022**

January 13	May 12	September 08
February 10	June 9 June 23	October 13
March 10	July 14	November 10
April 14	August 11	December 15

Information/Discussion/Action

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees: Meeting: December 16, 2021
Organizational Board Meeting

Recommend Approval

4.01

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PROPOSED BOARD MEETING CALENDAR FOR 2022

*Meetings are normally scheduled to be held at the Alum Rock School District, 2930 Gay Avenue, San Jose, California, on the **SECOND THURSDAY** of each month at 5:30 p.m. Open Session will resume at approximately 60 minutes after the start of Closed Session. Dates and location are subject to change to accommodate holidays and other conflicts in scheduling. Notification of any changes in dates, times or location will be publicized.*

MONTH	DATE	DAY OF WEEK
2021-22 School Year **January**	**13**	**2rd Thursday**
February	**10**	**2nd Thursday**
March	**10**	**2nd Thursday**
April	**14**	**2nd Thursday**
May	**12**	**2nd Thursday**
June **June**	**09** **23**	**2nd Thursday** **4th Thursday**
2022-23 School Year **July**	**14**	**2nd Thursday**
August	**11**	**2nd Thursday**
September	**08**	**2nd Thursday**
October	**13**	**2nd Thursday**
November	**10**	**2nd Thursday**
December	**15**	**3nd Thursday**

2022

Proposed Board Meeting Calendar- Subject to change at the December 16, 2021 Organizational Board Meeting.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

4.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Ratify Superintendent's Contract for the period July 1, 2021 – June 30, 2025.

Approve

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees: Meeting: December 16, 2021
Annual Organizational and Regular Board Meeting

Approve

4.02

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

EMPLOYMENT AGREEMENT BETWEEN
ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
AND
DR. HILARIA BAUER

This Employment Agreement ("Agreement") is entered into between the Alum Rock Union Elementary School District ("District") through its Board of Trustees ("Board"), and Dr. Hilaria Bauer ("Bauer" or "Superintendent").

WHEREAS, the District and Bauer entered into that certain "Employment Agreement Between Alum Rock Union Elementary School District And Dr. Hilaria Bauer" dated as of December 17, 2019 (the "2019 Agreement"), pursuant to which Bauer is employed in the position of Superintendent of the Alum Rock Union Elementary School District upon the terms and conditions described therein;

WHEREAS, the District desires to continue to employ Bauer in the position of Superintendent, and Bauer desires to continue to be employed by the District as Superintendent upon the terms and conditions herein;

WHEREAS, the Board and Superintendent believe that a written employment contract is necessary to describe the terms of their relationship and to serve as the basis of effective communication between them as they fulfill their governance and administrative functions in the operation of the education program of the District; and

WHEREAS, the management of the District's affairs requires the full-time professional energy and attention of the Superintendent.

NOW THEREFORE, in consideration of the provisions and mutual promises contained herein and as herein specified, the District and Superintendent agree to the following:

1. TERM OF AGREEMENT: Subject to the terms and conditions set forth herein including but not limited to the Board's right to terminate this Agreement in accordance with Section 11 herein, the District hereby employs Bauer as Superintendent for a term commencing effective as of July 1, 2021, and ending June 30, 2025.

   This Agreement shall supersede all previous contracts or other entitlement to District employment, including but not limited to the 2019 Agreement which is hereby terminated upon the Board's ratification of this Agreement.

2. DUTIES AND RESPONSIBILITIES:

2.1. Superintendent is hereby employed as District Superintendent, shall serve as Chief Executive Officer of the Board pursuant to Section 35035 of the California Education Code, and shall perform the duties of Superintendent as prescribed by the laws of the State of California, including but not limited to those duties described in Education Code Section 35035, and in any job description, Board Policy, or Administrative Regulation that the Board may adopt from time to time governing the responsibilities of the Superintendent. The Superintendent shall be responsible for the preparation and timely submission to the Board, at the time the Board may direct, the local control and accountability plan of the District for the subsequent school year, and revise and take other action in connection with the local control and accountability plan as the Board may desire, and ensure that the local control and accountability plan is implemented. The Superintendent shall also assume responsibility to the Board for those duties specified in Education Code Section 35250. The Superintendent shall be present at and attend all public meetings of the Board (closed and open session, unless otherwise directed by the Board) and such other District meetings and events and functions as directed by the Board or Board committee chairpersons.

2.2. All powers and duties which may lawfully be delegated to the Superintendent are to be performed and executed by the Superintendent in accordance with the policies adopted by the Board and subject to those powers specifically vested in the Board by the California Education Code.

2.3. The Superintendent shall be the Chief Executive Officer of the District during the term hereof. As such, the Superintendent shall be subject to the terms herein, have the primary responsibility for performing all acts, services and things necessary to manage and conduct the business of the District and for implementing and executing Board policy as established in the policies adopted by the Board (as they may be modified or amended from time to time) which may include, but which shall not be limited to, the policy governance model, if such model is adopted by the Board. The Board shall retain the ultimate responsibility for formulating and adopting said policy.

2.4. As Chief Executive Officer of the District, Superintendent's duties shall include but not be limited to: (1) reviewing all policies considered or adopted by the Board and shall make appropriate recommendations to the Board; (2) periodically evaluating or causing to be evaluated all District employees; (3) advising the Board regarding sources of funds that might be available to implement present or contemplated District programs; (4) establishing and maintaining positive community, staff, and Board relations; (5) serving as liaison to the Board with respect to all matters of employer-employee relations at the direction of the Board, and making recommendations to the Board concerning those matters; (6) recommending District goals and objectives to the Board; (7) performing other duties as assigned by the Board.

2.5. The Superintendent shall be responsible for the District achieving objectives

set and adopted by the Board on an on-going basis. The Board may modify such objectives from time to time through Board action and shall communicate any such modifications to the Superintendent in writing. Superintendent and the Board understand and agree that the Board is vested with ultimate power and authority over decisions affecting the District, and the Board relies upon the Superintendent to manage the District's day-to-day operations. Under this managerial framework, and as may be permitted by the Education Code and Board Policy, the management of the District's daily operations should be optimally conducted by the Superintendent, consistent with Board's policies and directives and without the need for daily Board involvement in these operations.

2.6. The Superintendent shall have the additional responsibility for organizing, reorganizing and overseeing the administrative and supervisory staff. The Superintendent shall have responsibility in all personnel matters, including selection, assignment and transfer of employees, provided that all personnel hiring, termination, assignments and re-assignments are subject to the approval of the Board. In addition, the Superintendent shall not award or grant to any District employee any bonus, salary, or benefit increase, or off schedule increase or bonus of any kind without the prior approval of the Board.

2.7. The Superintendent shall, taking into account the employment termination dates of all Assistant Superintendents and any other District administrators with an employment contract in excess of one year, provide written notice to the Board of the Board's obligation to notify these individuals of non-renewal of such person's employment contract at least sixty (60) days prior to the deadline of such notice.

2.8. Superintendent shall devote her full time and efforts to the performance of her duties and responsibilities as Superintendent in a diligent and efficient manner; Superintendent understands and agrees that the position and duties of Superintendent herein require her to be present at District sites and performing District functions each workday during business hours (except in the case of illness or other necessary or unforeseen absences), and to be reasonably available, accessible and responsive to Board members at all times and on all days, including District non-workdays.

2.9. The Superintendent may undertake consulting, speaking engagements, writing, lecturing, or other professional duties and obligations provided that:

i. Prior to the Superintendent's engagement in outside activities, the Superintendent inform the Board in writing of the nature, and compensation, of the outside activity;

ii. Prior to the Superintendent's engagement in outside activities, the Board, through the Board President, approve, in writing, of the Superintendent's participation in the outside activity;

iii. Such outside activities do not interfere, or conflict, with the Superintendent's performance of her duties under this Agreement; and

iv. Such outside activities do not violate the District's conflict of interest code or other applicable conflict of interest provisions under common, state or federal law.

2.10. The Superintendent shall immediately notify in writing each member of the Board if she accepts an invitation for an interview for other full-time employment elsewhere.

2.11. Superintendent shall obtain and maintain in good standing at all times all licenses, credentials, certificates, permits and approvals of whatever nature that are legally required to fulfill Superintendent's obligations as the Superintendent of the District.

2.12. The Superintendent shall dutifully perform such other or lesser tasks as may be determined by the Board in its own discretion.

3. SALARY:

3.1. BASE SALARY: The Superintendent's annual salary hereunder shall be Two Hundred Eighteen Thousand Five Hundred and Forty-Three Dollars and Thirty-Four Cents ($218,543.34), which shall be paid in twelve (12) monthly payments on the last working day of each calendar month during the term of this Agreement. Any partial month shall be prorated based on a thirty-day month. The Board reserves the right to increase Superintendent's annual salary during the term of the Agreement.

3.2. DOCTORAL STIPEND: The Superintendent shall receive a Doctoral Stipend of Two Thousand Dollars ($2,000.00) per year as an addition to the base salary, which shall be paid on a monthly prorated basis.

4. BENEFITS:

4.1. FRINGE BENEFITS: In addition to the Superintendent's base annual salary above, the Superintendent shall also be provided with the same fringe benefits, including but not limited to health, dental and vision coverage, that are granted to the District's certificated management personnel as may be amended, changed or modified by approval or resolution of the Board for such administrative team members.

4.2. PROFESSIONAL CONFERENCES: The Superintendent may attend professional conferences, seminars and meetings at local, state and national levels as may be authorized by the Board. The reasonable expenses of attendance at such approved events shall be paid by the District in accordance with Board Policies and Administrative Regulations.

4.2.1. In addition to any other expense reimbursement authorized pursuant to the terms and conditions of this Agreement, the District agrees to pay Superintendent's annual professional membership dues for the Association of California School Administrators (ACSA) and for the California Association of Latino Superintendents and Administrators. The District shall pay for Superintendent's membership in other appropriate professional groups that are substantially related to the duties and responsibilities of the Superintendent, as approved by the Board.

4.3. EXPENSES: The District shall reimburse Superintendent for all reasonable, actual and necessary out-of-pocket expenses incurred by Superintendent in the performance of her duties hereunder; provided that Superintendent shall present all such expenses for Board approval, with supporting receipts and evidence of payment. All expenses submitted by Superintendent for reimbursement or payment shall comply with District's Policies and Administrative Regulations regarding reimbursable and other expenses.

4.4. CELL PHONE: The Superintendent shall be issued a District cell phone for use in connection with District's official business.

4.5. AUTOMOBILE ALLOWANCE: The Superintendent requires the use of an automobile in order to fulfill her duties and responsibilities hereunder. Superintendent shall be granted a monthly allowance in the amount of Six Hundred Dollars ($600.00) for automobile expenses, including but not limited to auto insurance and mileage in connection with District business. Superintendent shall submit to District annually proof of paid insurance coverage. Superintendent shall not be entitled to any additional reimbursement from the District for any additional automobile expenses for travel in connection with District business within 75 miles of the District Office located at 2930 Gay Ave, San Jose, California. Any automobile-related expenses in connection with travel farther than 75 miles from the District Office (including but not limited to mileage), shall be subject to the appropriate District Policies and Regulations.

5. WORK YEAR; VACATION, HOLIDAYS AND SICK LEAVE: The Superintendent shall be required to render twelve (12) months of full regular service to the District during each school year (July 1 – June 30) included within the term of this Agreement which shall include no less than two hundred and twenty (220) paid service work days

annually depending on the then-current District work year. The Superintendent shall not be entitled to overtime or added or extra compensation for days worked in excess of the minimum 220 paid service days. For purposes of this Section 5, Superintendent's non-duty days are those days not including paid annual service days and any paid holidays afforded to management employees pursuant to the District's annual Classified/Certificated Management Holiday calendar. All non-duty days must be scheduled and entered by the Superintendent into the District calendar in use at the beginning of each instructional year and no later than July 15, and all non-duty days entered by Superintendent shall be communicated by Superintendent to the Board in writing no later than August 15 each year. The Superintendent shall report to the Board in writing on a monthly basis the Superintendent's use of sick leave and non-duty days, and modifications to the Superintendent's scheduled non-duty days. Superintendent shall not schedule more than five (5) consecutive non-duty days during the instructional year, or more than five (5) non-duty days in any calendar month during the instructional year, except in the case of emergency or with the prior written approval of the Board President. The Superintendent shall not be entitled to vacation or other paid time off, except that: (1) Superintendent shall be entitled to all paid holidays afforded to management employees pursuant to the District's annual Classified/Certificated Management Holiday calendar, and (2) Superintendent shall accrue sick leave days at the rate of one day of sick leave per month worked. Superintendent's use of sick leave days shall be in accordance with the policies applied to the District's other senior management employees. Superintendent shall not be entitled to any buy-out or pay-out of unused sick leave days at the expiration or earlier termination of this Agreement.

6. PROFESSIONAL GROWTH: The District encourages the Superintendent to endeavor to continue her professional growth by all available means including attendance at professional meetings at the local, state and national level, seminars and courses offered by public or private institutions, and informal meetings with other persons whose particular skills or backgrounds would serve to improve the capacity and skills of the Superintendent to perform her professional duties. The Superintendent shall request permission from the Board for her attendance at out of county meetings and periodically report to the Board her appraisal of the meetings.

    6.1. The Superintendent shall provide the District with a record of her participation in any professional growth activities held outside Santa Clara County and a copy of receipts for the costs involved.

    6.2. The Superintendent shall be reimbursed according to the procedures and parameters set forth in the District's Policies and Administrative Regulations for necessary expenses incurred for those activities described in Section 4.3. Such expenses shall be subject to Board approval and listed as a separate action item on an open session Board meeting agenda.

7. PROFESSIONAL LIABILITY:

    7.1. In accordance with Government Codes sections 825 and 995, the District

agrees that it shall defend, hold harmless and indemnify the Superintendent from any civil demands, civil claims, civil actions, civil suits, or civil legal proceedings brought against the Superintendent for any incident arising out of the course and scope of her employment, provided, however, that if the District is providing the defense for the Superintendent on a matter which the Board deems in the best interest of the District to settle, the Superintendent agrees to assume full responsibility for the cost of her own defense should she further pursue or defend the matter.

7.2. The provisions of Section 7.1 shall not apply to any action brought against the Superintendent for a breach of, or dispute arising out of this Agreement, by either party, any willful and wanton conduct or gross negligence giving rise to civil or criminal liability, or any willful violation of federal, state, county, or local laws or regulations.

8. GOALS AND OBJECTIVES: In or around May of each school year, the parties will meet to establish: (1) Performance objectives for the next succeeding school year, and (2) A written evaluation format for the next succeeding school year based upon developed performance objectives. These performance objectives shall be reduced to writing and shall be based on the duties and responsibilities set forth in this Agreement, and any other designated criteria.

9. REPORTING AND EVALUATION:

9.1. To assist the Superintendent in her performance, members of the Board, individually and collectively, will endeavor to promptly convey to the Superintendent all criticism, complaints and suggestions called to the Board's attention.

9.2. No later than August 31 of each year this Agreement is in effect, the Board shall evaluate the performance of Superintendent in writing according to the format mutually agreed to by Superintendent and the Board. The Board will consider a salary increase for the Superintendent in conjunction with the Superintendent's annual evaluation.

9.3. In addition to Superintendent's annual evaluations, the Board may evaluate Superintendent's performance at any other time it deems necessary. Such evaluation shall evaluate the performance of Superintendent in writing according to the agreed upon evaluation format.

9.4. In the event the Board determines the performance of Superintendent is less than satisfactory in any respect, it shall describe, in writing and in reasonable detail, the less than satisfactory performance indicating specific instances where appropriate. The evaluation shall include recommendations as to areas of improvement in all instances where the Board deems performance to be less than satisfactory and all other instances where the Board deems such to be necessary or appropriate. A copy of the written evaluation shall be delivered to Superintendent and Superintendent shall have the right to make a written response to the

evaluation, which shall become a permanent attachment to the evaluation in Superintendent's personnel file.

10. REPRESENTATION AND WARRANTIES OF SUPERINTENDENT:
Superintendent represents and warrants that she has the full authority and right to enter into this Agreement without creating liability against herself and/or the District or to any persons or entity not a party to this Agreement.

11. TERMINATION OF EMPLOYMENT:

11.1. TERMINATION BY MUTUAL CONSENT, RETIREMENT, RESIGNATION, DEATH, DISABILITY AND INCAPACITY:

This Agreement may be terminated, during the term of the Agreement by the mutual agreement of the parties, or by the retirement, resignation, death or permanent disability of Superintendent which renders her incapable of performing her duties hereunder. Disability of Superintendent is defined in Section 11.5 below. Except in the case of resignation or retirement due to disability or incapacity, Superintendent shall provide District at least 60 days prior written notice to the Board of her intention to retire or resign from her position as Superintendent. In the event Superintendent fails to provide such notice, the District shall be entitled to terminate this Agreement at will and without cause and Superintendent shall forfeit and waive any right to receive any right to receive any severance in accordance with Section 11.4, "Unilateral Termination" below.

11.2. TERMINATION FOR CAUSE:

11.2.1. The District reserves the right to unilaterally terminate this Agreement and all of Superintendent's employment rights and entitlements with the District effective immediately in any position, for cause and without Superintendent's consent at any time. Cause under this Agreement is defined as any one or more of the following:

11.2.1.1. The commission by Superintendent of any act of dishonesty, misconduct, fraud, misrepresentation, or other acts of moral turpitude, or other ground for dismissal of any permanent employee as set forth in Education Code section 44932(a)(1)-(11);

11.2.1.2. A breach of any covenant or condition of this Agreement by the Superintendent, or a breach of any representation or warranty made by the Superintendent in or in connection with this Agreement;

11.2.1.3. The commission or omission of any act by the Superintendent which could constitute a permissible for cause termination under federal or California law.

11.2.2. Should the District terminate this Agreement for cause, as defined above, the District shall give at least ten (10) days written notice to the Superintendent, and shall specify the grounds for termination, and shall specify the effective date of termination. Any termination by the District shall be without prejudice to any other remedy entitled to the District in law or equity or any other ground or termination stated in this Agreement.

11.3. ABUSE OF OFFICE PROVISIONS:

11.3.1. In the event this Agreement is terminated for cause, any paid leave paid to Superintendent pending an investigation in advance of and in connection with such termination shall be fully reimbursed by Superintendent to the District if and when Superintendent is convicted of or pleads guilty/nolo contendere of a crime involving an abuse of her office or position. An "abuse of office" means either an abuse of public authority, including, but not limited to, waste, fraud, and violation of the law under color of authority or a crime against public justice, including, but not limited to, a crime described in Title 7, commencing with Section 92, of Part 1 of the California Penal Code. This section is intended to comply with California Government Code sections 53243, *et seq.*

11.3.2. In addition, if the District funds the criminal defense of the Superintendent against charges involving abuse of office, as defined in Section 11.3.1, and the Superintendent is then convicted of or pleads guilty/nolo contendere of such charges, the Superintendent shall fully reimburse the District for all funds expended for the Superintendent's criminal defense.

11.4. UNILATERAL TERMINATION: The District may unilaterally terminate this Agreement upon ten (10) days written notice to the Superintendent. If this Agreement is so terminated prior to its expiration, the District will pay the Superintendent a lump sum equal to twelve (12) months base salary, or the number of months remaining in the term of this Agreement, whichever is less, minus all appropriate withholding amounts. In addition, upon unilateral termination by the District, Superintendent shall continue to receive District paid health benefits for the same number of months to which she is entitled to receive payments for unilateral termination, but not to exceed twelve (12) months. The Superintendent agrees that payment pursuant to this provision shall constitute satisfaction of all federal and/or state claims for contract damages.

11.5. SUPERINTENDENT'S DISABILITY: The Superintendent may be removed from her position by the Board if after an absence from her duties for a period of sixty (60) continuous days due to illness or incapacity, it is the Board's opinion that Superintendent is unable to serve in her position due to illness or incapacity and a licensed physician selected by the Board evaluates the Superintendent and determines that the Superintendent has a continuing disability which makes her unable to perform the essential functions of the Superintendent's position even with reasonable accommodation. The evaluation shall been done at the expense of the District.

11.6. EXPIRATION OF TERM: Pursuant to Education Code section 35031, the District and Superintendent agree that if the District does not wish to reemploy Superintendent beyond the expiration of this Agreement, the District shall give at least forty-five (45) days' written notice to Superintendent prior to the expiration of this Agreement of its decision not to reemploy her after the expiration of this Agreement.

11.7 TERMINATION FOR INAPPROPRIATE FISCAL PRACTICES: Notwithstanding any other provision of this Agreement to the contrary, if the Board believes, and subsequently confirms through an independent audit, that the Superintendent has engaged in fraud, misappropriation of funds, or other illegal fiscal practices, then the Board may terminate the Superintendent and the Superintendent shall not be entitled to any salary payments, health benefits or other non-cash benefits.

12. TAX/RETIREMENT LIABILITY: The District makes no representations or warranties with respect to the tax or retirement consequences of this Agreement. Notwithstanding any other provision of this Agreement, the District shall not be liable for any state or federal tax consequences or any retirement consequences of any nature occurring as a result of this Agreement, including but not limited to, whether specific forms of compensation are creditable for retirement purposes, and the tax or retirement consequences of receiving retiree health benefits, Section 403b contributions, life insurance or other benefits provided to the Superintendent or any designated beneficiary, heirs, administrators, executors, successors or assigns of the Superintendent. The Superintendent shall assume sole liability for all tax and retirement consequences of any nature occurring at any time.

13. GENERAL PROVISIONS:

13.1. SOLE AGREEMENT: The parties warrant that no promise, inducement, or agreement not expressed in this Agreement has been made in connection with this Agreement; that this Agreement constitutes the entire Agreement between Superintendent and the District and the terms of this Agreement are contractual and not a mere recital. In addition, the Parties expressly agree that the prior employment contract and all contract addendums are hereby deemed void and are no longer in full force or effect.

13.2. SAVINGS CLAUSE: If, during the time this Agreement is in effect, any specific provision or clause of this Agreement is declared illegal or void under federal, state, or local law or regulation, the remainder of the Agreement not effected by such ruling shall remain in full force and effect. The provisions of this Agreement are declared to be severable.

13.3. NO ASSIGNMENT OR TRANSFER: Superintendent may not assign or transfer any of her rights or interests under this Agreement to any person.

13.4. APPLICABLE LAWS: This Agreement is subject to all applicable laws of the State of California, to the rules and regulations of the State Board of Education, and to the lawful rules, policies and regulations of the District. By this reference the laws, rules, regulations and policies are hereby made a part of this Agreement as though fully set forth at this point. Venue shall be in Santa Clara County, California in any litigation or hearing or administrative proceeding involving the interpretation or application of the terms of this Agreement.

13.5. NOTICES: All notices required under this Agreement to Superintendent shall be delivered either personally or via Certified or Registered U.S. Mail to Superintendent at Superintendent's personal residence address on file with the District or to Superintendent's attention at the District's Administrative Offices located at 2930 Gay Avenue, San Jose, CA 95127 (the "Administrative Office"). Notices to the District shall be personally served or mailed via Certified or Registered U.S. Mail to the District's Administrative Offices, in care of the Board President and the Board Vice President labeled "CONFIDENTIAL: FOR IMMEDIATE ATTENTION".

13.6. COUNTERPARTS: This Agreement may be executed in any number of counterparts such that the signatures may appear on separate signature pages. A copy, facsimile, or an original, with all signatures appended together, shall be deemed a fully executed Agreement and shall be as valid as an original.

13.7. CONSULTATION WITH COUNSEL; CONSTRUCTION OF AGREEMENT: The parties have had the opportunity to obtain, and have obtained, independent legal or other professional advice with regard to this Agreement, including tax and retirement consequences. The parties acknowledge that the terms of this Agreement have been read and fully explained and that those terms are fully understood and voluntarily accepted. This Agreement is the result of negotiation between the parties. In determining the meaning of, or resolving any ambiguity with respect to, any word, phrase or provision of this Agreement, neither this Agreement nor any uncertainty or ambiguity herein will be construed or resolved against either party as the sole drafter.

13.8. PROOF OF ELIGIBILITY FOR EMPLOYMENT; FURTHER REPRESENTATIONS AND WARRANTIES OF ACCURACY:

Superintendent acknowledges and agrees that this Agreement shall not be effective unless and until Superintendent provides to District proof of her valid California driver's license and automobile insurance, proof of eligibility for employment in the United States, clearance by the California Department of Justice, and fingerprinting clearance as may be required by State law. Superintendent shall maintain a valid California driver's license and automobile insurance and valid clearances and required certifications and credentials at all times during the term of this Agreement; failure to maintain such licenses, insurance, certifications and credentials in valid and good standing shall constitute grounds for immediate termination of this Agreement for cause in the discretion of the Board. Superintendent represents and warrants that all degrees, licenses, credentials and certifications disclosed on her professional resume and application materials submitted to the District in connection with the position of Superintendent are true and accurate as of the date hereof. Superintendent represents and warrants that she possesses all valid credentials and certificates required pursuant to Education Code section 35028 and as may otherwise be required by law.

13.9. EXCLUSIVITY: To the maximum extent permitted by law, the parties agree that the employment relationship between the District and the Superintendent shall be governed exclusively by the provisions of this Agreement and not by board policies, administrative regulations, management handbooks or similar documents.

13.10. BOARD APPROVAL: The effectiveness of this Agreement shall be contingent upon approval by the District's Board as required by law.

13.11. EXECUTION OF OTHER DOCUMENTS: The parties shall cooperate fully in the execution of any other documents and in the completion of any other acts that may be necessary or appropriate to give full force and effect to this Agreement.

13.12. PUBLIC RECORD: The parties recognize that, once final, this Agreement is a public record and must be made available to the public upon request.

13.13. INTEGRATION: This Agreement constitutes a complete and exclusive statement of the understanding between the parties with respect to its subject matter. This Agreement supersedes any and all other prior communications between the parties, whether written or oral. Any prior agreements, promises, negotiations or representations related to the subject matter not expressly set forth in this Agreement are of no force and effect.

IN WITNESS HEREIN the District and Superintendent affix their signatures to this Agreement as the full and complete understanding of the relationships and agreement between the parties.

The Board duly approved the terms and conditions of this Agreement, and the Board President is authorized to execute this Agreement on behalf of the Board of Trustees.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

For the Board of Trustees:

By:_____ Date: December __, 2021

_____
President of the Board of Trustees

I hereby accept this Agreement and agree to comply with its conditions and to fulfill all of the duties of employment as Superintendent of the Alum Rock Union Elementary School District.

Date of Acceptance: December __, 2021

_____

Hilaria Bauer, Ph.D.

4.03

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: On September 16, 2021, Gov. Gavin signed Assembly Bill 361 into law which amends the Brown Act to allow fully virtual board meetings during a state of emergency. Generally, AB 361 allows virtual board meetings through January 1, 2024, if the Board holds a meeting during a proclaimed state of emergency, and state or local officials have imposed or recommended measures to promote social distancing. (Currently, both of those conditions are satisfied in Santa Clara County.)

If the Board desires to continue to hold meetings virtually at this time then it must conduct meetings in accordance with the requirements of AB 361. Board agendas and agendas for the District's Brown Act committees (including, for example, the Bond Oversight Committee) must still be posted under the appropriate timelines: 72 hours in advance for a regular board meeting, and 24 hours in advance for a special board meeting. The agenda must include the meeting (zoom) link or dial-in, so that members of the public may access the meeting. Members of the public must be allowed to address the board in real-time during the meeting; the Board cannot require public comments to be submitted in advance. The Board must allow a reasonable amount of time for public comment per agenda item (a practice which this Board already follows). Also, in the event the Board or other meeting broadcast is disrupted, or if a technical issue within the District disrupts public comment, the board/committee cannot take any further action on the agenda until the technical issue is resolved.

In accordance with AB 361, the Board must make the requisite findings every 30 days that 1) the board has reconsidered the circumstances of the state of emergency and 2) the state of emergency continues to directly impact the ability of the members to meet safely in person or state or local officials continue to impose or recommend measures to promote social distancing.

The proposed resolution includes these required findings. The Board is respectfully asked to consider approving the attached Resolution. If the Resolution does not pass by majority vote, then all meetings must immediately return to full in-person participation and public access.

Approve

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting: December 16, 2021
Annual Organizational Meeting and
Regular Board Meeting

Approve

4.03

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

RESOLUTION NO. 19-21/22

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT AUTHORIZING REMOTE TELECONFERENCE MEETINGS OF THE BOARD AND BOARD AND DISTRICT COMMITTEES SUBJECT TO THE BROWN ACT FOR THE PERIOD DECEMBER 18, 2021 – JANUARY 17, 2022

WHEREAS, the Alum Rock Union Elementary School District ("District") is committed to preserving and nurturing public and community access and participation in Board meetings; and

WHEREAS, all meetings of the Board are open and public, as required by the Ralph M. Brown Act (Cal. Gov. Code 54950 – 54963), so that any member of the public may attend, participate, and watch the District's Board and Brown Act committees conduct their business; and

WHEREAS, the Brown Act, Government Code section 54953(e), makes provision for remote teleconferencing participation in meetings by members of a legislative body, without compliance with the requirements of Government Code section 54953(b)(3), subject to the existence of certain conditions; and

WHEREAS, pursuant to Government Code section 54953, "teleconference" means "a meeting of a legislative body, the members of which are in different locations, connected by electronic means, through either audio or video, or both", which includes Zoom and similar virtual platforms;

WHEREAS, the required conditions for remote teleconferencing pursuant to Government Code section 54953(e) include that a state of emergency is declared by the Governor pursuant to Government Code section 8625, and that state or local officials have imposed or recommended measures to promote social distancing, or the Board determines that meeting in person would present imminent risks to the health or safety of attendees; and

WHEREAS, such conditions now exist in the District; and

WHEREAS, on March 4, 2020, Governor Gavin Newsom issued a proclamation pursuant to Government Code section 8625 declaring a state of emergency in the State of California due to the COVID-19 virus which remains in effect as of the date hereof (the "Declaration of State of Emergency");

WHEREAS, emergency conditions persist in the District, including specifically, the COVID-19 Delta variant has been found to be highly transmissible and is now infecting a small percentage of vaccinated people, as well as many unvaccinated people, and the conditions and setting of Board and committee meetings -- which would include a large gathering of staff, Board members, students and members of the public in the same room for extended periods of

time in the Board Room or other facilities and rooms which do not have direct access to outdoor air -- could facilitate the transmission of the virus and would pose an imminent risk to the health or safety of employees and/or meeting attendees;

WHEREAS, guidance and recommendations from the Santa Clara County Public Health Department include recommended measures to promote social distancing, including:

> "In general, the more people you have in an indoor environment, (especially if some are not fully vaccinated against COVID-19), the greater the need for increasing the circulation of fresh, outdoor air to dilute airborne particles. Provide fresh air to the areas of your buildings with the highest number of occupants. In areas where you are unable to increase outdoor air, consider reducing the number of people even further, spacing people at least six feet apart, and taking other measures to improve ventilation and air filtration." (https://covid19.sccgov.org/business-guidance#ventilation)

WHEREAS, the Board does hereby find that the above circumstances have caused, and will continue to cause, conditions within the District facilities for Board and other committee meetings that are likely to be beyond the control of services, personnel, equipment, and facilities of the District; and

WHEREAS, as a consequence of the above conditions, the Board does hereby find that the Board of Trustees, the District's Bond Oversight Committee and all other District and Board committees subject to the Brown Act, may continue to conduct their meetings without compliance with paragraph (3) of subdivision (b) of Government Code section 54953, as authorized by subdivision (e) of section 54953, and that such legislative bodies shall continue to comply with the requirements to provide the public with access to the meetings as prescribed in paragraph (2) of subdivision (e) of section 54953.

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT DOES HEREBY RESOLVE AS FOLLOWS:

Section 1. Recitals. The Recitals set forth above are true and correct and are incorporated into this Resolution by this reference.

Section 2. Remote Teleconference Meetings. The Superintendent or designee and the District's Bond Oversight Committee and all other District and Board committees subject to the Brown Act, are hereby authorized and directed to take all actions necessary to carry out the intent and purpose of this Resolution including, continuing to conduct open and public meetings in accordance with Government Code section 54953(e) and other applicable provisions of the Brown Act.

Section 3. Effective Date of Resolution. This Resolution shall take effect immediately upon its adoption and shall be effective until the earlier of (i) November 13, 2021, or (ii) such time the Board adopts a subsequent resolution in accordance with Government Code section

54953(e)(3) to extend the time during which the Board of Trustees, the District's Bond Oversight Committee, and all other District and Board committees subject to the Brown Act may continue to teleconference without compliance with paragraph (3) of subdivision (b) of section 54953.

PASSED AND ADOPTED by the Board of Trustees of the Alum Rock Union Elementary School District, this 16 day of December, 2021, by the following vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

_____(Clerk)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

4.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Approval to Appoint the Board Representative for the County Committee Election for 2022. The California Education Code requires that the Governing Board of each school district, at its annual initial meeting, select one of its members to vote in the election for members of the County Committee on School District Organization.

Approval

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees: Meeting: December 16, 2021
Annual Organizational and Regular Board Meeting

Approval

4.04
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Santa Clara County Office of Education
Mary Ann Dewan, Ph.D.
County Superintendent of Schools

November 22, 2020

TO: District Superintendents
School District Board Presidents

FROM: Suzanne Carrig, Director of Policy Development & Administrative Programs

SUBJECT: NAMING THE BOARD REPRESENTATIVE FOR THE COUNTY COMMITTEE – **2022 ELECTION**

Enclosed is a copy of the certificate for this year's naming of the County Committee Board Representative. The purpose of the certificate is to name a board member to complete the County Committee Election ballot that comes to each district in late October/early November; the board member indicated on this form will vote in the **2022** County Committee election. **Completion and return of this form is a requirement per Education Code 35023**.

The County Committee Board Representative will NOT be a member of the County Committee is NOT required to attend County Committee meetings.

Instructions for completing and returning the certificate are contained in the enclosed document. Please scan and email forms to **scarrig@sccoe.org**.

Please return certificates by February 2022.

If you have any questions regarding this matter, please contact Suzanne Carrig at scarrig@sccoe.org or (408) 453-6869. Thank you.

SCHOOL DISTRICT
CERTIFICATE
NAMING THE BOARD REPRESENTATIVE
FOR THE COUNTY COMMITTEE ELECTION

The California Education Code requires that the governing board of each school district, at its annual initial meeting, select one of its members to vote in the election for members of the County Committee on School District Organization. The relevant Education Code Section is as follows:

> **§ 35023. Election of representative for county committee**
>
> The governing board of each school district of every kind or class shall annually at its initial meeting select one of its members as its representative who shall have one vote for each member to be elected to the county committee as provided by Article 1 (commencing with Section 4000 of Chapter 1 of Part 3). The secretary or clerk of the district shall furnish the county superintendent with a certificate naming the representative selected by the board.

To comply with the Education Code, please provide the information requested below and return to the address below.

Name of School District _____

Name of Board Member Selected to Vote in the County Committee Election _____

Date of the Board Meeting at Which the Above Board Member was Selected _____

Signature of the Secretary or Clerk of the District _____

Please email completed Certificate to: **scarrig@sccoe.org**

If you have any questions regarding this procedure, please call (408) 453-6869.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

4.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: The Board to Consider the formation of a Teacher Housing Workforce.

Information Only

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees: Meeting: December 16, 2021
Annual Organizational and Regular Board Meeting

Information Only

4.05

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

4.06

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Approve Resolution Np. #20-21/22 Support of the Restoration of the Federal Recognition of the Muwekma Ohlone Indian Tribe of the San Francisco Bay Area.

Approve

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees: Meeting: December 16, 2021
Annual Organizational and Regular Board Meeting

Approve

4.06
Agenda Placement

Hilaria Bauer
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District
Resolution #20-21/22
Support of the Restoration of the Federal Recognition of the Muwekma Ohlone Indian Tribe of the San Francisco Bay Area.

WHEREAS, The present-day Muwekma Ohlone Indian Tribe is comprised of all of the known surviving American Indian lineages aboriginal to the San Francisco Bay region;

WHEREAS, The direct ancestors of the present-day Muwekma Tribe became Federally Acknowledged by the U.S. Government through the Appropriation Acts of Congress of 1906 and later years;

WHEREAS, Today, 100% of Muwekma tribal members are descended directly from that previously recognized Tribe;

WHEREAS, The Muwekma Ohlone Indian Tribe was never terminated, and their members still live in their aboriginal homeland today working within their own communities to strengthen ties to local universities, local government, and community groups;

WHEREAS, Other California Tribes in a similar situation as Muwekma did in fact have their status positively clarified by administrative actions of previous Assistant Secretaries of Indian Affairs;

NOW THEREFORE, the Board of Trustees of the Alum Rock Union Elementary School District does hereby find and resolve that after decades of struggling to clarify their sovereign status, we stand in support of the Muwekma people and the justice they deserve and to have their government-to-government relationship restored either by a reaffirmation or a reconsideration of their final determination;

PASSED AND ADOPTED by the Board of Trustees of the Alum Rock Union Elementary School District, Santa Clara County, State of California, on December 16, 2021, by the follow vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

_____(Clerk)

4.07

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Approve Resolution Np. #21-21/22 Historical and Cultural Designation of the Mosaic at 1711 E San Antonio Street.

Approve

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees: Meeting: December 16, 2021
Annual Organizational and Regular Board Meeting

Approve

4.07

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: Seconded by:

Approved: Not Approved: Tabled:

Alum Rock Union Elementary School District
Resolution #21-21/22 Re:
Designating the San Antonio "community" "brotherhood" "hermanos" "educacion"
Mosaic As An Historic and Cultural Treasure of the District Community

WHEREAS, San Antonio Elementary School as situated in its original location at 1711 E. San Antonio St in San José, CA, represents the collective voice of the community;

WHEREAS, in 1967 the neighborhood community worked to ensure that the naming of the school be reflective of the will of the surrounding population;

WHEREAS, the community spirit and will is also reflected in the design and construction of a tile mosaic at the site that presently faces San Antonio St. and is located at 1711 E San Antonio St. in San José, CA;

WHEREAS, said mosaic is a product of the work of the community and the then-San Antonio elementary school students who worked to build the mosaic and reflects the pride of the community in its schools and students; and

WHEREAS, several murals and mosaics in the East Side community have recently been destroyed, erased, demolished or painted and thus eliminating an important artistic record of community pride for current and future generations of students.

NOW THEREFORE, the Board of Trustees of the Alum Rock Union Elementary School District does hereby find and resolve that, in order to preserve the history of the San Antonio neighborhood community and a District treasure, the tile mosaic located at south entrance to the San Antonio Elementary School at1711 E. San Antonio Street in San José, California and is denoted by the words "community" "brotherhood" "hermanos" "educacion" at each quadrant, be designated an Alum Rock Union Elementary School District Historic and Cultural Landmark;

BE IT FURTHER RESOLVED THAT, the designation of Historic and Cultural Landmark of said mosaic by Alum Rock Union Elementary School District shall entail the placement of an appropriate plaque that informs the public of the historical and cultural designation and significance, and that the plaque shall include the following language "This landmark mosaic shall not be moved, altered, or destroyed without the express and written consent of the Alum Rock Union Elementary School District Governing Board"; and

BE IT FURTHER RESOLVED THAT, any effort to alter, remove or demolish said mosaic shall occur only upon an affirmative vote of at least 4 members of the Alum Rock Union Elementary School District Governing Board which shall be taken only after a public hearing for which at least 15 days' notice of the proposed action is provided to the public; and

BE IT FURTHER RESOLVED THAT, any and all protections and requirements outlined in this resolution shall apply to said mosaic by Alum Rock Union Elementary School District and or any potential future tenants of 1711 E San Antonio Street in San Jose CA; and

BE IT FURTHER RESOLVED THAT, prior to any proposal or action to remove or demolish said mosaic, the District shall engage in formal consultation with the Preservation Action Council of San Jose or its successor organization, La Raza Historical Society of Santa Clara Valley or its successor organization and the City of San Jose Arts Commission and that said consultation will entail formal engagement at a regular board of meeting of the Alum Rock Union Elementary School District Governing Board.

PASSED AND ADOPTED by the Board of Trustees of the Alum Rock Union Elementary School District, Santa Clara County, State of California, on December 16, 2021, by the follow vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

_____(Clerk)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

5.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:

Subject: **2021 – 2022 First Interim Report**

Staff Analysis: The First Interim Report is one measure designed to help the County and ultimately the State to monitor each school district's fiscal health. According to the State requirements, in order to have a Positive Certification, ARUESD must project at least 3% in unrestricted reserves in each of the current and following two years. Districts that may not meet this requirement receive a qualified budget certification and Districts that do not demonstrate that they will meet this requirement receive a negative budget certification. If any problems are anticipated, the County will offer immediate fiscal management advisory services.

School districts in California are required to maintain their financial affairs in accordance with prudent financial management principles. Those principles were strengthened and codified in 1991 with the passage of AB 1200. The increased oversight provided by AB 1200 was in response to a number of school district near-bankruptcies, requests for state loans, and defaults on school district financial obligations.

The statute requires school districts to self-certify budgets, interim reports, unaudited actuals, and disclosure statements related to collective bargaining. The law also requires county offices of education (COE) to review the various certifications and determine whether the financial position of the district is in conformance with state-recommended standards and criteria. The COE is empowered to initiate action if problems are disclosed or discovered. That action may take the form of additional questions or warnings, a lowering of the self-rating from "positive" to either "qualified" or "negative," appointment of a fiscal advisor, or more stringent measures if the situation warrants.

The Alum Rock Union Elementary School District is presenting a Positive Certification of its financial condition. This means that the District may will be able to meet its financial obligations for the current fiscal year or subsequent two years.

Recommendation: Staff recommends approval of the First Interim Financial Report for 2021 – 2022.

Submitted by: Kolvira Chheng KC Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Recommend Approval

5.01
Agenda Placement

Meeting: December 16, 2021
Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

APPROVED: _____ NOT APPROVED: _____ TABLED: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

6.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: November 15, 2021

Subject: California State Preschool Program (CSPP) Continued Funding Application for Fiscal Year 2022—2023.

Staff Analysis: Adoption of Resolution No. 17-21/22 to enter into this transaction with the California Department of Education (CDE) for the purpose of requesting Continued Funding, as required in annual application process for funds to provide early learning/childcare and development within Alum Rock Union Elementary School District for Fiscal Year 2022-2023. Alum Rock Union Elementary School District will continue to use CDE funds and provide dual Immersion preschool services for families in Adelante I and Adelante II.

Recommendation: **Staff recommends that the Board of Trustees approve Resolution No. 17-21/22 as submitted.**

Submitted by: Dr. Dianna Ballesteros Title: Director, Early Learning

Approved by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Recommend Approval

6.01

Agenda Placement

Meeting: December 16, 2021
Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RESOLUTION NO. 17-21/22

California State Preschool Programs (CSPP) Continued Funding Application Fiscal Year 2022-2023

WHEREAS, this resolution is adopted in order to certify the approval, acceptance and authorization of signature of the Governing Board to enter into this transaction with the California Department of Education for the purpose of applying for continued funding to provide early learning/childcare and development services for Fiscal Year 2022-23;

WHEREAS, current Fiscal Year 2021-2022 contract CSPP-1550 in the amount of $631,512.00 provides subsidized care for preschool programs located at Alum Rock Schools for families who meet eligibility criteria established by the California Department of Education;

WHEREAS, THINK Together, in coordination with the Alum Rock Union Elementary School District, with continued funding in Fiscal Year 2022-2023 will continue to offer a comprehensive, licensed preschool program at Adelante Dual Language Academy, 2999 Ridgemont Drive, San Jose California, 95127 and Adelante Dual Language Academy II, 1970 Cinderella Lane, San Jose California, 95116;

NOW, THEREFORE, BE IT RESOLVED, that the Governing Board of Alum Rock Union Elementary School District approves to apply for Fiscal Year 2022-2023 Continued Funding Application with the California Department of Education;

BE IT FURTHER RESOLVED, that Rene Sanchez, Assistant Superintendent and Dr. Dianna Ballesteros, Director of Early Learning may submit and receive all documents pertaining to the licensed Alum Rock Union Elementary School District preschool program;

PASSED AND ADOPTED, this 16 day of December, 2021, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California:

Ayes_____ Noes_____ Absent_____ Abstain_____

Board of Trustees:

Corina Herrera-Loera, President _____

Andrés Quintero, Vice President _____

Ernesto Bejarano, Clerk _____

Linda Chavez, Member _____

Minh Pham, Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on December 16, 2021.

_____ _____

Hilaria Bauer, Ph.D., Superintendent Date

Section I – CSPP Contractor Information

Legal Name of CSPP Contractor: Alum Rock Union Elementary School District

CSPP Contractor *Doing Business As* (DBA): Alum Rock Union Elem. School District

Headquartered County: 43 Santa Clara

Vendor Number: 6936

Executive Director Name: Dr. Dianna J. Ballesteros

Executive Director Telephone Number: 408-416-6833

Executive Director Fax Number:

Executive Director Email Address: dianna.ballesteros@arusd.org

Legal Business Address: 2930 Gay Avenue

City: San Jose

Zip Code: 95127

Mailing Address (if different from above):

City:

Zip Code:

Name of Person Completing the CFA: Dr. Dianna J. Ballesteros

Title of Contact Person Completing the CFA: Director of Early Learning

Contact Person Telephone Number: 408-416-6833

Contact Person Email Address: dianna.ballesteros@arusd.org

Contractor Name:	Vendor #:	County:
Alum Rock Union Elementary School District	6936	43 Santa Clara

Section II – CSPP Contract Type

Check all applicable boxes indicating the programs the CSPP contractor intends to continue to administer for the Fiscal Year 2022–23. The CSPP contractor agrees to continue implementation of these programs with funds provided by the CDE.

CSPP Type

- [x] Full-Day/Full-Year
- [ ] Part-Day/Part-Year
- [ ] Family Childcare Home Education Network

Contractor Name: Alum Rock Union Elementary School District

Vendor #: 6936

County: 43 Santa Clara

Section III – CSPP Contractor's Officers and Board of Directors Information

Does the CSPP contractor have a board of directors? (●) Yes ( ) No

If no, please explain the entity type and the governance structure (i.e., number of owners and partnership).

Have any of the listed officers, board members, owners or other governing individuals ever served as an officer, board member, owner or governing individual with an agency that received state or federal funding and which agency funding was terminated or involuntarily non-renewed, or the agency was debarred from funding for any period of time?

( ) Yes (●) No

If yes, list on a separate page the officer(s), board member(s), owner(s) or other governing individual(s) to which this applies and include the former agency(ies) with which the individual(s) was/were previously affiliated and the circumstancesleading to the termination, involuntary non-renewal or debarment.

List all officers and board members/governing individuals (i.e., owner, director, etc.) Attach additional sheets as necessary.

Officer, Board Member, Owner or Governing Individual Name	Title	Telephone Number	Mailing Address	Email Address
Corina Herrera-Loera	President	408-320-6290	2930 Gay Avenue San Jose, CA 95127	corina.herrera-loera @arusd.org
Andres Quintero	Vice President	408-550-9784	2930 Gay Avenue San Jose, CA 95127	andres.quintero@arusd.org
Enesto Bejarano	Clerk	408-786-6327	2930 Gay Avenue San Jose, CA 95127	ernesto.bejarano @arusd.org
Linda Chavez	Member	408-928-6825	2930 Gay Avenue San Jose, CA 95127	linda.chavez@arusd.org
Minh Pham	Member	408-928-6827	2930 Gay Avenue San Jose, CA 95127	minh.pham@arusd.org

Contractor Name:	Vendor #:	County:
Alum Rock Union Elementary School District	6936	43 Santa Clara

Section IV – Program Narrative

A. Please select the box below if the CSPP contractor **does not** have programmatic **or** calendar changes to their CSPP.

☐ No changes

B. Please select all applicable fields below if the CSPP contractor **does** have programmatic **or** calendar changes to their CSPP. Programmatic or calendar changes require completion of a form ELCD 3704A. This form is available on the CFA web page at: https://www.cde.ca.gov/sp/cd/ci/cfaforms2223.asp.

Note: Program calendars must be submitted for both the part-day/part-year CSPP and the full-day/full-year CSPP, as applicable. Making changes to the Minimum Days of Operation (MDO) does not change the contractMaximum Reimbursable Amount (MRA).

☑ Programmatic change

☐ Calendar change

Contractor Name	Vendor #	County
Alum Rock Union Elementary School District	6936	43 Santa Clara

Form ELCD 3704A: Program Narrative Change

Contract Type: California State Preschool Program (CSPP)

☐ Calendar (MDO) Change ☑ Programmatic Change

Identify the program component for which you are requesting a change.

We will observe Juneteenth as the newest federal holiday. This holiday commemorates the end of slavery. It is a holiday that reminds us of the importance of contributions of diverse people who for generations built this nation. It is a holiday of reflection and celebration and a reminder of honoring diversity, equity, and inclusion of all people. Juneteenth is a federal holiday toward the observing of greater equality, historical recognition, and hope.

Describe how the program currently provides services to children and families in relation to the above-identified program component.

The program provides services to children and families in relation by having a Dual Immersion Spanish CSPP Preschool on two campuses. This option empowers families to choose a program that will support families who want their children to have a multilingual expereince for their children. Establishing this consistent strategy to honor, and celebrate people of color, builds upon the culturally responsive teaching values the program holds and practices.

Describe the proposed change, and how services will be improved if the change is implemented.

Total days of operation 246 will remain the same for families.Services will be improved by providing children and families with an enhanced curriculum. A committment to a curriculum in which teaching, learning, and talking about everyone's history has intentional and equal value.. This helps children, families, teachers, and the community understand who we are in the context of our nation. This follows our committment to culturally responsive education in which we all see and hear about our histories, and ancestries through the lens of strenghts and assets.

Contractor Name:	Vendor #:	County:
Alum Rock Union Elementary School District	6936	43 Santa Clara

Section V – CSPP Personnel Certification

The State of California requires any CSPP contractor receiving child care and development funds, disbursed by the CDE, to employ fully qualified personnel as stipulated in the California *Education Code (EC)*; and the *California Code of Regulations*, Title 5 *(5 CCR)*; and the Funding Terms and Conditions of the CSPP contract.

I certify, as the authorized agent representing this CSPP contractor, that I have read and understand the staffing requirements for Program Director, Site Supervisor, and Teacher. All staff employed in CDE funded CSPP are fully qualified for their respective positions. The exception to this certification is a person employed as Program Director or Site Supervisor who possesses a current Staffing Qualifications Waiver approved by the Early Education Division (ELCD).

Signature of the CSPP Contractor's Authorized Representative:	Dr. Dianna J. Ballesteros
Printed Name and Title of the CSPP Contractor's Authorized Representative:	Dr. Dianna J. Ballesteros
Date of Signature:	November 16 2021
Authorized Representative's Telephone Number:	408-416-6833
Authorized Representative's Email Address:	dianna.ballesteros@arusd.org

Contractor Name:	Vendor #:	County:
Alum Rock Union Elementary School District	6936	43 Santa Clara

Section VI – Subcontract Certification

A. Please select the box below if the CSPP contractor **does not** have subcontractors, and move to section VII:

○ No subcontractors

B. Please select the box below if the CSPP contractor **does** have subcontractors, and complete the information and sign in the section below. CSPP Contractors who subcontract CSPP services will need to complete and submit the form ELCD 3704B. The form is available on the CFA web page at: https://www.cde.ca.gov/sp/cd/ci/cfaforms2223.asp.

◉ Subcontractors

I certify that the contractual arrangement(s) listed above are made in adherence tothe required subcontract provisions contained in the 5 *CCR*, and the Funding Terms and Conditions of the CSPP contract.

I understand that signing this certificate does not lessen the legal responsibility for the CSPP contract requirements. As the CSPP contractor, it is my responsibility to monitor the performance of the subcontractor to ensure services are provided appropriately through the entire contract term.

Signature of the CSPP Contractor's Authorized Representative:	Dr. Dianna J Ballesteros
Printed Name and Title of the CSPP Contractor's Authorized Representative:	Dr. Dianna J. Ballesteros
Date of Signature:	November 16, 2021
Authorized Representative'sTelephone Number:	408-416-6833
Authorized Representative's EmailAddress:	dianna.ballesteros@arusd.org

Form EED-3704B: Subcontract Certification

Contractor Name: Alum Rock Union Elementary School District

Vendor Number: 6936 **County:** 43 Santa Clara

Contract Type: California State Preschool Program (CSPP) Full Day/Full Year

Contract Maximum Reimbursable Amount (MRA): $631,512

Total Percentage of MRA Subcontracted: 90%

Subcontractor #1:

Subcontractor Legal Name: Think Together, Inc.

Does this subcontractor also contract with EED? Yes ○ No ◉

Site No.	Site Name	Site Address	Service County	Percentage of MRA Subcontracted
1	Adelante Dual Language Academy I	2999 Ridgemont Drive San Jose, CA 95127	43 Santa Clara	90%
2	Adelante Dual Language Academy II	1970 Cinderella Lane San Jose, CA 95116	43 Santa Clara	90%
3			00 Select One	
4			00 Select One	

Contractor Name:	Vendor #:	County:
Alum Rock Union Elementary School District	6936	43 Santa Clara

Section VII – CSPP Contractor Certification

- Under penalty of perjury, I certify the following:
- I am authorized by the CSPP contractor's Board of Directors or other governing authority toexecute this CFA, signifying their intent to automatically renew the current contract for FY 2022–23, under new terms and conditions to be established by the CDE, unless rejected in writing prior to the effective date of the new CSPP contract on July 1, 2022.
- On behalf of the CSPP contractor and its governing authority, we understand some information requested in this CFA is intended for use by CDE auditors in connection with future audit work and performance reviews and may not be used, or even reviewed or considered by the CDE until well after the CSPP contract has expired, if ever. Therefore, we further understand that the information (and any underlying transactions) disclosed by this CFA shall not be considered properly noticed to the CDE, nor approved, accepted or authorized by the CDE, even if our request for continued funding by the CDE is subsequently approved.
- The governing board members have been trained in understanding conflict of interestrequirements associated with their positions on the board and have reported all knownconflicts of interest.
- I have supervisory authority over the CSPP, have actual, personal knowledge of the information provided in this CFA and certify that it istrue and correct in all material respects.
- I am familiar with and will ensure that the CSPP contractor complies with all applicableprogram statutes and regulations, including:
    - Subcontracting requirements, including competitive bidding, CDE approval, andaudit requirements in 5 *CCR*.
    - Prohibitions on conflicts of interests, including (i) the assurances required to establish that transactions with officers, directors and other related party transactions are conducted at arm's length, and (ii) employment limitations stated in *Education Code*.
    - Cost reimbursement requirements, including reimbursable and non-reimbursablecosts, documentation requirements, the provisions for determining the reimbursable amount and other provisions in 5 *CCR*, Accounting and reporting requirements in 5 *CCR*.
    - Operational and programmatic requirements.

Contractor Name:	Vendor #:	County:
Alum Rock Union Elementary School District	6936	43 Santa Clara

By signing this CFA, the CSPP contractor is indicating that it wishes to automatically renew the current CSPP contract for FY 2022-23 and, if approved, is willing to, and does accept, all of the terms and conditions of the CSPP contract, which will be provided to the CSPP contractor no later than June 1, 2022. The CSPP contractor may reject the FY 2022–23 CSPP contract by providing the CDE with a written notice of rejection no later than July 1, 2022. Instructions on how to provide written notice of rejection of the terms of the new FY 2022–23 contract will be provided in forthcoming communication, on or before June 1, 2022, to CSPP contractors.

Signature of the CSPP Contractor's Authorized Representative:	Dr. Dianna J. Ballesteros
Printed Name and Title of the CSPP Contractor's Authorized Representative:	Dr. Dianna J. Ballesteros
Date of Signature:	November 16, 2021
Authorized Representative's Telephone Number:	408-416-6833
Authorized Representative's Email Address:	dianna.ballesteros@arusd.org

Contractor Name:	Vendor #:	County:
Alum Rock Union Elementary School District	6936	43 Santa Clara

Section VIII – Certification of CSPP Contractor Information in the Child Development Management Information System

CSPP contractors are required to review all information in the Child Development Management Information System (CDMIS) and update any outdated or incorrect information. To review the information and submit changes, log on to the CDMIS at https://www4.cde.ca.gov/cdmis/default.aspx.

As the authorized representative of the CSPP contractor listed below, I certify, under penalty of perjury, that I have reviewed all of the information for

Alum Rock Union Elementary School District

and updates, additions, or deletions have been submitted as needed for information in all of the areas below:

- Executive Director/Superintendent information
- Program Director information
- Sites and Licenses and/or Office information
- CSPP Family Child Care Home Education Network (FCCHEN) provider summary information

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To the best of my knowledge, the information on the CDMIS website reflects accurate information for the

Alum Rock Union Elementary School District

as of the date this certification is signed.

Program Director/Authorized Representative Signature: Dr. Dianna J. Ballesteros

Date Signed: 11/16/2021

Printed Name of Program Director/Authorized Representative:

Dr. Dianna J. Ballesteros

Child Development Division
Agency Information Certification

I certify, as the authorized representative of the agency listed below, I have reviewed all the information for **Alum Rock Un Elem School Dist (6936)** and updates, additions, or deletions have been submitted as needed for information in all of the areas below:

Executive Director/Superintendent information
Program Director information
Sites and Licenses and/or Office information
Family Child Care Home summary information

To the best of my knowledge, the information on the CDMIS Web site reflects accurate information for **Alum Rock Un Elem School Dist (6936)** as of the date this certification was signed.

Dr. Dianna J. Ballesteros 11/17/2021

Program Director/Authorized Representative Signature Date Signed

Dianna J. Ballesteros, EdD

Printed Name of Program Director/Authorized Representative

Name of Agency User Generating Certification: Dianna Ballesteros

Date Generated: 11/17/2021

Assigned CDD Consultant: Amy Silva

EESD Contractor Data Sheet

Agency Name: Alum Rock Un Elem School Dist **County:** Santa Clara

Vendor Number: 6936 **Assigned Consultant:** Amy Silva

Headquarters Address

Mailing Address:
Street Address: 2930 Gay Avenue
City: San Jose
State: CA
Zip: 95127

Executive Director and Contact Information

Name: Dr. Dianna J Ballesteros
Title: Executive Director
EMail: dianna.ballesteros@arusd.org
Phone: (408)928-7290
FAX: (408)928-7291

Program Director Information:

Name:	Contact Information:	Address:
Dianna J Ballesteros	Phone: (408)928-7290 FAX: (408)928-6460 Email: dianna.ballesteros@arusd.org	2000 Kammerer Ave San Jose , CA 95116

Contract Information:

Contract #	MDO	MRA	Program Director
CSPP1550	246	$585,488.00	Dianna J Ballesteros

EESD Contractor Data Sheet

Site and Office Information

Site

Alum Rock Think Together PS
2999 Ridgemont Avenue
San Jose , CA 95127

Mayra Harms, Site Supervisor
(510)876-1383

License Type	License #	Hours of Operation	Capacity
Day Care Center	434415461	8:15 am - 3:15 pm	24

Alum Rock Think Together PS II
1970 Cinderella Lane
San Jose , CA 95116

Carmina Valdivia, Site Supervisor
(408)599-4676

License Type	License #	Hours of Operation	Capacity
Day Care Center	434415462	8:15 am - 3:15 pm	24

EESD Contractor Data Sheet

Number of Children Served in Sites, Offices, or Licensed Family Child Care Homes

The number of children served displayed in the various sections below is based on information that is updated annual by contractor staff as part of the Continued Funding Application process. The numbers represent enrollment on a specific date that was identified in the Continued Funding Application. The numbers do not represent actual services throughout the year.

Site

Alum Rock Think Together PS

Number of children served by age group

Contract	Infants	Toddlers	Pre School	School Age	CSPP 3 yrs	CSPP 4 yrs	CSPP Other
CSPP1550	0	0	0	0	0	0	24

Alum Rock Think Together PS II

Number of children served by age group

Contract	Infants	Toddlers	Pre School	School Age	CSPP 3 yrs	CSPP 4 yrs	CSPP Other
CSPP1550	0	0	0	0	0	0	24

EESD Contractor Data Sheet

Total Children Served by Contract

					CSPP only		
Contract	Infants	Toddlers	Pre School	School Age	3 yrs	4yrs	Other
CSPP1550	0	0	0	0	0	0	48

California Department of Education
Early Education Division

EED-9730
October 2021

Fiscal Year 2022–23 Program Calendar

Name of CSPP Contractor	Vendor Number	County	Contract Type
Alum Rock Union Elem School District	6936	43 - Santa Clara	CSPP Full Day/Full Year

Instructions: Enter an UPPERCASE X on each day your program will operate. Your days of operation will auto-calculate in the boxes below each month, and in the Total Days of Operation box at the bottom of the form. The asterisks (*) in the month tables refer to days which fall in either the preceding or the following month. Do not enter any values in boxes with an asterisk.

July 2022

Sun	M	Tu	W	Th	F	Sat
*	*	*	*	*	X	2
3	4	X	X	X	X	9
10	X	X	X	X	X	16
17	X	X	X	X	X	23
24	X	X	X	X	X	30
31	*	*	*	*	*	*

July Days of Operation 20

August 2022

Sun	M	Tu	W	Th	F	Sat
*	X	X	X	X	X	6
7	X	X	X	X	X	13
14	X	X	X	X	X	20
21	X	X	X	X	X	27
28	X	X	X	*	*	*

August Days ofOperation 23

September 2022

Sun	M	Tu	W	Th	F	Sat
*	*	*	*	X	X	3
4	5	X	X	X	X	10
11	X	X	X	X	X	17
18	X	X	X	X	X	24
25	X	X	X	X	X	*

September Days of Operation 21

First Quarter Subtotal 64

October 2022

Sun	M	Tue	W	Th	F	Sat
*	*	*	*	*	*	1
2	X	X	X	X	X	8
9	X	X	X	X	X	15
16	X	X	X	X	X	22
23	X	X	X	X	X	29
30	X	*	*	*	*	*

October Days of Operation 21

November 2022

Sun	M	Tu	W	Th	F	Sat
*	*	X	X	X	X	5
6	X	X	X	X	11	12
13	X	X	X	X	X	19
20	X	X	23	24	25	26
27	X	X	X	*	*	*

November Days of Operation 18

December 2022

Sun	M	Tu	W	Th	F	Sat
*	*	*	*	X	X	3
4	X	X	X	X	X	10
11	X	X	X	X	X	17
18	X	X	X	X	X	24
25	26	27	28	29	30	31

December Days of Operation 17

Second Quarter Subtotal 56

January 2023

Sun	M	Tu	W	Th	F	Sat
1	X	X	X	X	X	7
8	X	X	X	X	X	14
15	16	X	X	X	X	21
22	X	X	X	X	X	28
29	X	X	*	*	*	*

January Days of Operation 21

February 2023

Sun	M	Tu	W	Th	F	Sat
*	*	*	X	X	X	4
5	X	X	X	X	X	11
12	X	X	X	X	X	18
19	20	X	X	X	X	25
26	X	X	*	*	*	*

February Days of Operation 19

March 2023

Sun	M	Tu	W	Th	F	Sat
*	*	*	X	X	X	4
5	X	X	X	X	X	11
12	X	X	X	X	X	18
19	X	X	X	X	X	25
26	X	X	X	X	X	*

March Days of Operation 23

Third Quarter Subtotal 63

April 2023

Sun	M	Tu	W	Th	F	Sat
*	*	*	*	*	*	1
2	X	X	X	X	X	8
9	X	X	X	X	X	15
16	X	X	X	X	X	22
23	X	X	X	X	X	29
30	*	*	*	*	*	*

April Days of Operation 20

May 2023

Sun	M	Tu	W	Th	F	Sat
*	X	X	X	X	X	6
7	X	X	X	X	X	13
14	X	X	X	X	X	20
21	X	X	X	X	X	27
28	29	X	X	*	*	*

May Days of Operation 22

June 2023

Sun	M	Tu	W	Th	F	Sat
*	*	*	*	X	X	3
4	X	X	X	X	X	10
11	X	X	X	X	X	17
18	19	X	X	X	X	24
25	X	X	X	X	X	*

June Days of Operation 21

Fourth Quarter Subtotal 63

Total Days of Operation 246

EED Consultant Initials (for CDE use only)

Date approved by EED Consultant (for CDE use only)

Adelante Dual Language Academy

County	Santa Clara
District	Alum Rock Union Elementary
School	Adelante Dual Language Academy
CDS Code	43 69369 0108167
School Address	2999 Ridgemont Dr. San Jose, CA 95127-4649 Google Map
Mailing Address	2999 Ridgemont Dr. San Jose, CA 95127-4649
Phone Number	(408) 928-1900
Fax Number	(408) 928-1901
Email	Information Not Available
Web Address	Information Not Available
Administrator	Maria Martinez Principal (408) 928-1900 maria.martinez@arusd.org
Status	Active
Open Date	August 25, 2004
School Type	Alternative Schools of Choice
Educational Program Type	Alternative School of Choice
Low Grade	K
High Grade	8
Public School	Yes
Charter	No
Magnet	No
Year Round	Yes

Multilingual Instruction	Yes
NCES/Federal School ID	11793
CDS Coordinator (Contact for Data Updates)	Douglas Durham (408) 928-6913 Request Data Update(s)
Last Updated	November 5, 2021

Adelante Dual Language Academy II

County	Santa Clara
District	Alum Rock Union Elementary
School	Adelante Dual Language Academy II
CDS Code	43 69369 0135749
School Address	1970 Cinderella Ln. San Jose, CA 95116-3503 Google Map
Mailing Address	1970 Cinderella Ln. San Jose, CA 95116-3503
Phone Number	(408) 928-7107
Fax Number	(408) 928-7107
Email	silvia.carrillo@arusd.org
Web Address	Information Not Available
Administrator	Dr. Silvia Carrillo Principal (408) 928-7100 silvia.carrillo@arusd.org
Status	Active
Open Date	August 28, 2017
School Type	Alternative Schools of Choice
Educational Program Type	Alternative School of Choice
Low Grade	K
High Grade	7
Public School	Yes
Charter	No
Magnet	No
Year Round	Yes

Multilingual Instruction	Yes
NCES/Federal School ID	14147
CDS Coordinator (Contact for Data Updates)	Douglas Durham (408) 928-6913 Request Data Update(s)
Last Updated	November 5, 2021

California Department of Education
Fiscal & Administrative Services Division
CO-005 (NEW 4/2020)

CALIFORNIA CIVIL RIGHTS LAWS CERTIFICATION

Pursuant to Public Contract Code section 2010, if a bidder or proposer executes or renews a contract in the amount of $100,000 or more on or after January 1, 2017, the bidder or proposer hereby certifies compliance with the following:

1. CALIFORNIA CIVIL RIGHTS LAWS: For contracts $100,000 or more, executed or renewed after January 1, 2017, the contractor certifies compliance with the Unruh Civil Rights Act (Section 51 of the Civil Code) and the Fair Employment and Housing Act (Section 12960 of the Government Code); and

2. EMPLOYER DISCRIMINATORY POLICIES: For contracts $100,000 or more, executed or renewed after January 1, 2017, if a Contractor has an internal policy against a sovereign nation or peoples recognized by the United States government, the Contractor certifies that such policies are not used in violation of the Unruh Civil Rights Act (Section 51 of the Civil Code) or the Fair Employment and Housing Act (Section 12960 of the Government Code).

CERTIFICATION

I, the official named below, certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

1. Proposer/Bidder Firm Name (Printed):
   Alum Rock Union Elementary School District
2. Federal ID Number:
   77-0016360
3. By (Authorized Signature):
   Dr. Dianna J. Ballesteros
4. Printed Name and Title of Person Signing:
   Dr. Dianna J. Ballesteros, Director of Early Learning
5. Date Executed:
   November 16, 2026
6. Executed in the County and State of:
   Santa Clara, California

Contractor Certification Clauses

CCC 04/2017

CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)	Federal ID Number
Alum Rock Union Elemantary School District	77-0016360

By (Authorized Signature)

Printed Name and Title of Person Signing

Dr. Dianna J. Ballesteros

Date Executed	Executed in the County of
11/16/2021	Santa Clara, California

CONTRACTOR CERTIFICATION CLAUSES

1. STATEMENT OF COMPLIANCE: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 11102) (Not applicable to public entities.)

2. DRUG-FREE WORKPLACE REQUIREMENTS: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

1) the dangers of drug abuse in the workplace;

2) the person's or organization's policy of maintaining a drug-free workplace;

3) any available counseling, rehabilitation and employee assistance programs; and,

4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

1) receive a copy of the company's drug-free workplace policy statement; and,

2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

4. CONTRACTS FOR LEGAL SERVICES $50,000 OR MORE- PRO BONO REQUIREMENT: Contractor hereby certifies that Contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. EXPATRIATE CORPORATIONS: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

6. SWEATFREE CODE OF CONDUCT:

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably

required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. DOMESTIC PARTNERS: For contracts of $100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.3.

8. GENDER IDENTITY: For contracts of $100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.35.

DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. CONFLICT OF INTEREST: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

2. LABOR CODE/WORKERS' COMPENSATION: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and

Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. AMERICANS WITH DISABILITIES ACT: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. CONTRACTOR NAME CHANGE: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

6. RESOLUTION: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

7. AIR OR WATER POLLUTION VIOLATION: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

8. PAYEE DATA RECORD FORM STD. 204: This form must be completed by all contractors that are not another state agency or other governmental entity.

California Department of Education
Fiscal and Administrative Services Division
CO-007 (Rev. 04/2020)

FEDERAL CERTIFICATIONS

Certifications regarding lobbying, debarment, suspension and other responsibility matters; and drug-free workplace requirements

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 34 CFR Part 82 "New restrictions on Lobbying," and 34 CFR Part 85, "Government-wide Debarment and Suspension (Non procurement) and Government-wide requirements for Drug-Free Workplace (Grants)." The Certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Education determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 CFR Part 82, for persons entering into a grant or cooperative agreement over $100,000 as defined at 34 CFR Part 82, Section 82.105 and 82.110, the applicant certifies that:

(a.)No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement;

(b.)If any funds other than federal appropriated funds have been or will be paid to any person for influencing or attempting to influence an employee of Congress, or any employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form –LLL, "Disclosure Form to Report Lobbying," in accordance with this instruction;

(c.)The undersigned shall require the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

As required by executive Order 12549, Debarment and Suspension, and other responsibilities implemented at 34 CFR Part 85, for prospective participants in primary or substantive control over a covered transactions, as defined at 34 CFR Part 85, Sections 85.105 and 85.110-

A. The applicant certifies that it and its principals:

(a.)Are not presently debarred, suspended proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency:

(b.)Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction violation of federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c.)Have not within a three-year period proceeding this application had one or more public transactions (federal, state, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1998, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Section 85.605 and 85.610-

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a.)Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

(b.)Establishing an on-going drug-free awareness program to inform employees about-

(1.)The danger of drug abuse in the workplace;

(2.)The grantee's policy of maintaining a drug-free workplace;

(3.)Any available drug counseling, rehabilitation, and employee assistance programs; and

(4.)The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c.)Making it a requirement that each employee to be engaged in performance of the grant be given a copy of the statement required by paragraph (a);

(d.)Notifying the employee in the statement required by paragraph (a) that as a condition of employment under the grant, the employee will-

(1.)Abide by the terms of the statement; and

(2.)Notify the employer in writing of his or her conviction for a violation;

(e.)Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d) (2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Director, Grants, and Contracts Service, U.S. Department of Education 400 Maryland Avenue, S.W. (Room 3124, GSA Regional Office Building No.3), Washington, DC 20202-4571. Notice shall include the identification number(s) of each affected grant;

(f.) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d) (2), with respect to any employee who is so convicted:

(1.)Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2.)Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency:

(g.)Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Address: Adelante I- 2999 Ridgemont Dr./ Adelante II- 1970 Cinderella Lane

City: San Jose | San Jose

State: CA | CA

Zip Code: 95127 | 95116

☐ Check if there are workplaces on file that are not identified here.

4. DRUG-FREE WORKPLACE (GRANTEES WHO ARE INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610

(a.)As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant, and

(b.)If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Director, Grants and Contracts Service, U.S. Department of Education, 400 Maryland Avenue, S.W. (Room 3124, GSA Regional Office Building No.3) Washington, DC 20202-4571. Notice shall include the identification number(s) of each affected grant.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

NAME OF APPLICANT:

Alum Rock Union Elementary School District

CONTRACT #:

PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE:

Dr. Dianna Ballesteros, Director of Early Learning

SIGNATURE:

Dr. Dianna J. Ballesteros

DATE:

11/16/21

Contractor Name:	Vendor #:	County:
Alum Rock Union Elementary School District	6936	43 Santa Clara

Section IX – Required Attachments

All attachments and/or documentation below must be completed and included when submitting the CFA. Attachments A-J are located on the CFA web page at: https://www.cde.ca.gov/sp/cd/ci/cfaforms2223.asp.

A. **Fiscal Year 2022–23 Program Calendar (ELCD-9730)**

N/A B. **Payee Data Record (STD. 204) (Non-public agencies only)**

N/A C. **Payee Data Record Supplement (STD. 205) (Non-public agencies only, as applicable)**

N/A D. **Secretary of State (Non-public agencies only)**

E. **Verification of School District Name and Address (Public agencies only)**

F. **Program Narrative Change (ELCD 3704A) (As applicable)**

G. **Subcontractor Certification (ELCD 3704B) (As applicable)**

H. **California Civil Rights Laws Certification (CO-005)**

I. **Contractor Certification Clauses (CCC 04/2017)**

J. **Federal Certification (CO.8)**

K. **For Public Agencies only, include a copy of the agency's board resolution and/or minutes authorizing signature on this document, and a delegation of authority, if applicable**

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

6.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees: Date: December 6, 2021

Subject: Educator Effectiveness Block Grant (EEBG) – Aptitud Academy

Staff Analysis: Educator Effectiveness Block Grant (EEBG) is a program providing funds to school districts to provide professional learning and to promote educator equity, quality and effectiveness for those working directly with children. Funds may be used to support the professional learning for certificated teachers, administrators, paraprofessional educators, and certificated staff. This grant ($79,601) is spread out over 5 years.

Recommendation: **"Information only"**

Submitted by: Sandra Garcia SG Title: Director of State & Federal Programs

Approved by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Date: December 16, 2021
Board Meeting

"Information Only"

6.02
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

EDUCATOR EFFECTIVENESS FUNDS PLAN

Educator Effectiveness Block Grant (EEBG) is a program providing funds to school districts to provide professional learning and to promote educator equity, quality and effectiveness for those working directly with children. This grant is spread out over 5 years. (through June 2026)

Educator Effectiveness funds may be used to support the professional learning for certificated teachers, administrators, paraprofessional educators, and certificated staff.

FOCUS	PLANNED ACTION	2021-22	2022-23	2023-24	2024-25	2025-26
Strategies to improve **inclusive practices and instruction,** including, but not limited to: engagement strategies and differentiation for students, and specific pedagogical studies shown to accelerate student learning (ie UDL, Guided Reading, PBL, etc)	1. Provide Universal Design for Learning professional development *(consultant, workshops, substitutes, stipends)* 2. Professional Development in best practices for engagement and differentiation. *(consultant, workshops, substitutes, stipends)* 3. Grade level and/or Department Best Practices PLCs to incorporate engaging instruction meant to accelerate student progress *(extended duty/sub coverage)*		30,000	25,000	12,300	
Practices to create a **positive school climate**, including, but not limited to, restorative justice,, providing positive behavioral supports, and implementing multi tiered systems of support	1. Deliver a series of professional learning opportunities for teachers, para educators, and classified staff that work directly with students on classroom management and creating a positive school climate and SEL strategies. *(consultant, workshops, substitutes, stipends)*		12,301			
Subtotal $ 79,601			42,301	25,000	12,300	

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

6.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees: Date: December 8, 2021

Subject: Educator Effectiveness Block Grant (EEBG)

Staff Analysis: Educator Effectiveness Block Grant (EEBG) is a program providing funds to school districts to provide professional learning and to promote educator equity, quality and effectiveness for those working directly with children. Funds may be used to support the professional learning for certificated teachers, administrators, paraprofessional educators, and certificated staff. This grant ($1,994,314.00) is spread out over 5 years.

Recommendation: Staff recommends approval from the Board of Trustees of the Educator Effectiveness Block Grant plan and funding (2021-2026) for a total amount of $1,994,314.

Submitted by: Barbara Campbell BC Title: Director of Academic Services

Approved by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Date: December 16, 2021
Board Meeting

6.03
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

EDUCATOR EFFECTIVENESS FUNDS PLAN

Educator Effectiveness Block Grant (EEBG) is a program providing funds to school districts to provide professional learning and to promote educator equity, quality and effectiveness for those working directly with children. This grant is spread out over 5 years. (through June 2026)

Educator Effectiveness funds may be used to support the professional learning for certificated teachers, administrators, paraprofessional educators, and certificated staff.

FOCUS	PLANNED ACTION	2021-22	2022-23	2023-24	2024-25	2025-26
Coaching and mentoring of staff serving in an instructional setting and beginning teacher or administrator induction, including, but not limited to, coaching and mentoring solutions that address a local need for teachers that can serve all pupil populations with a focus on retaining teachers, and offering structured feedback and coaching systems organized around social-emotional learning, including, but not limited to, promoting teacher self-awareness, self-management, social awareness, relationships, and responsible decision making skills, improving teacher attitudes and beliefs about one's self and others, and supporting learning communities for educators to engage in a meaningful classroom teaching experience.	1. Mentoring- Develop and implement mentoring systems of support for beginning teachers and administrators - (New to Year 5) *(stipends, workshops, contracted services, substitutes)* 2. Provide administrators coaching support. 3. 1 BTSA / new teacher mentor -coordination and support		100,000 20,000 150,000	100,000 20,000 150,000	100,000 20,000 150,000	
Strategies to improve **inclusive practices,** including, but not limited to, universal design for learning, best practices for early identification, and development of individualized education programs for individuals with exceptional needs.	1. Provide Universal Design for Learning professional development at sites with inclusion *(consultant, workshops, substitutes, stipends)* 2. Professional Development in best practices for early identification, instructional support and differentiation. *(consultant, workshops, substitutes, stipends)*		100,000	100,000	100,000	

Practices to create a **positive school climate**, including, but not limited to, restorative justice, training around implicit bias, providing positive behavioral supports, multitiered systems of support, transforming a schoolsite's culture to one that values diverse cultural and ethnic backgrounds, and preventing discrimination, harassment, bullying, and intimidation based on actual or perceived characteristics, including disability, gender, gender identity, gender expression, language, nationality, race or ethnicity, religion, or sexual orientation.	1. Deliver a series of professional learning opportunities for teachers, para educators, substitutes and classified staff that work directly with students on classroom management and creating a positive school climate and SEL strategies. *(consultant, workshops, substitutes, stipends)*	15,000	89,772	89,771	89,771	
Programs that lead to effective, **standards-aligned instruction and improve instruction** in literacy across all subject areas, including English language arts, history-social science, science, technology, engineering, mathematics, and computer science.	1. Professional development for certificate and classified staff on standards aligned instruction and literacy instruction (contracted services, workshops, stipends, extended duty, materials) 2. Stipend for certificated & classified staff to complete online professional development on Alludo 3. Technology Basics and classroom integration for para educators, substitutes and certificated staff	150,000	150,000	150,000	150,000	
Subtotal $1,994,314.00		165,000	609,772	609,771	609,771	

Educator Effectiveness Block Grant 2021-2026



A program providing funds to school districts to provide professional learning for certificated teachers, administrators, paraprofessional educators and classified staff working directly with students to promote educator equity, quality and effectiveness.

Funds may be expended during 2021-22, 2022-23, 2023-24, 2024-25, 2025-26.

Alum Rock Union School

Allowable Uses of Funds:

Funds can be expended for any of the following purposes:

- Coaching and mentoring of staff serving in an instructional setting and beginning teacher and administrators
- Strategies to improve inclusive practices,
- Practices to create a positive school climate
- Programs that lead to effective, standards-aligned instruction and improve instruction in literacy across all subject areas
- Practices and strategies that engage pupils and lead to accelerated learning.
- Strategies to implement social-emotional learning
- Instruction and education to support implementing effective language acquisition programs for English learners
- New professional learning networks for educators not already engaged in PLC
- Instruction, education, and strategies to incorporate ethnic studies curricula into pupil instruction for grades 7 to 12, inclusive.
- Instruction, education, and strategies for certificated and classified educators in early childhood education

Gathering Input



Teachers



Classified Staff



Administrators



Focus Group

Trends for from survey and focus group :

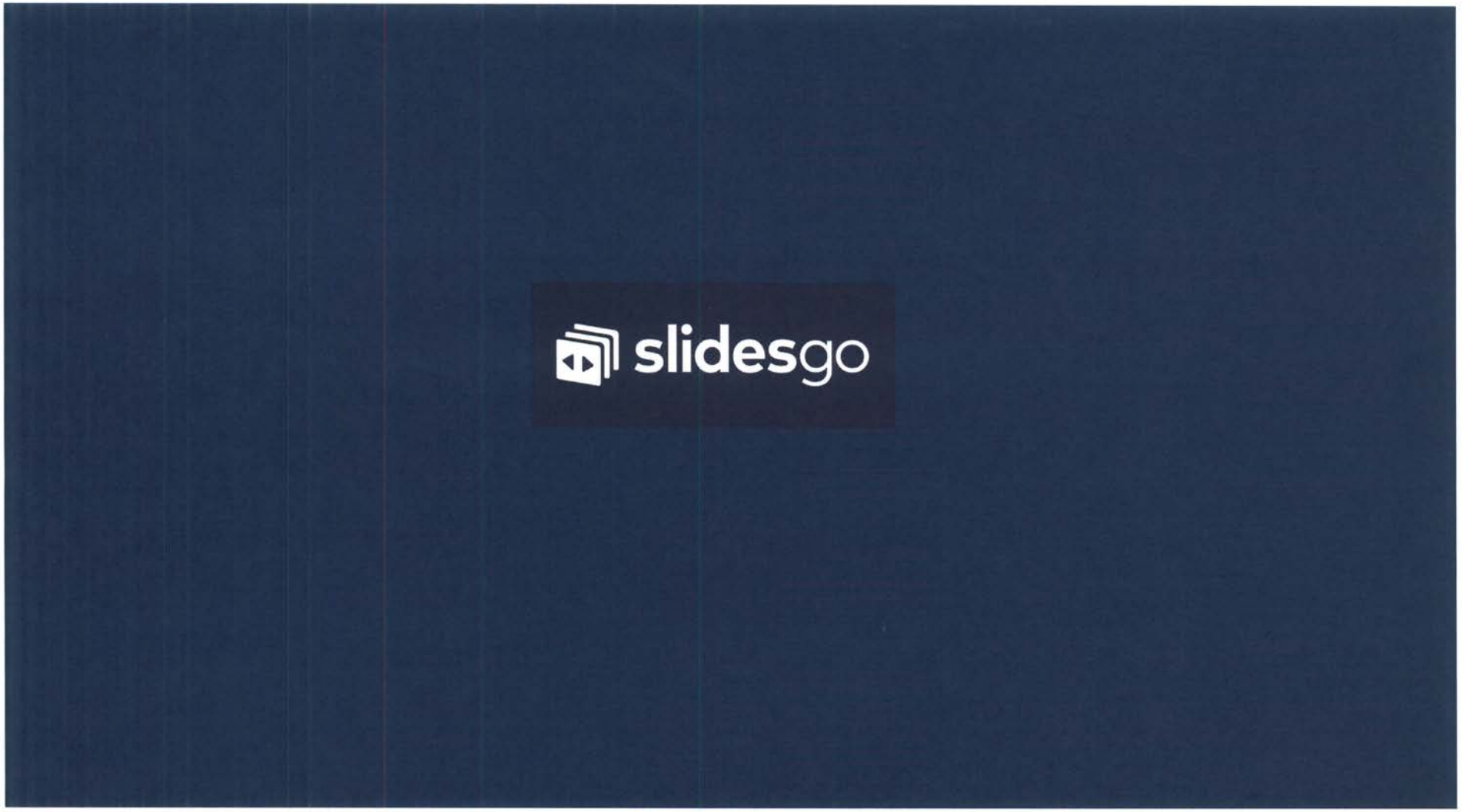
- Classroom management / behavior supports /SEL / Positive School Climate
- Differentiation, strategies to support specific academic needs
- Inclusion strategies such as Universal Design for Learning
- Mentoring and coaching support
- Supporting standards aligned instruction to improve literacy skills
- Early identification foundational skills, Multi Tiered Systems of Support
- Technology skills training and use tech application curricular platforms

FOCUS	PLANNED ACTION	2021-22	2022-23	2023-24	2024-25	2025-26
Coaching and mentoring of staff serving in an instructional setting and beginning teacher or administrator induction, including, but not limited to, coaching and mentoring solutions that address a local need for teachers that can serve all pupil populations with a focus on retaining teachers, and offering structured feedback and coaching systems organized around social-emotional learning, including, but not limited to, promoting teacher self-awareness, self-management, social awareness, relationships, and responsible decision making skills, improving teacher attitudes and beliefs about one's self and others, and supporting learning communities for educators to engage in a meaningful classroom teaching experience.	1. Mentoring- Develop and implement mentoring systems of support for beginning teachers and administrators - (New to Year 5) *(stipends, workshops, contracted services, substitutes)* 2. Provide administrators coaching support. 3. 1 BTSA / new teacher mentor -coordination and support		100,000 20,000 150,000	100,000 20,000 150,000	100,000 20,000 150,000	
Strategies to improve **inclusive practices,** including, but not limited to, universal design for learning, best practices for early identification, and development of individualized education programs for individuals with exceptional needs.	1. Provide Universal Design for Learning professional development at sites with inclusion *(consultant, workshops, substitutes, stipends)* 2. Professional Development in best practices for early identification, instructional support and differentiation. *(consultant, workshops, substitutes, stipends)*		100,000	100,000	100,000	

Practices to create a **positive school climate**, including, but not limited to, restorative justice, training around implicit bias, providing positive behavioral supports, multitiered systems of support, transforming a schoolsite's culture to one that values diverse cultural and ethnic backgrounds, and preventing discrimination, harassment, bullying, and intimidation based on actual or perceived characteristics, including disability, gender, gender identity, gender expression, language, nationality, race or ethnicity, religion, or sexual orientation.	1. Deliver a series of professional learning opportunities for teachers, para educators, substitutes and classified staff that work directly with students on classroom management and creating a positive school climate and SEL strategies. *(consultant, workshops, substitutes, stipends)*	15,000	89,772	89,771	89,771	
Programs that lead to effective, **standards-aligned instruction and improve instruction** in literacy across all subject areas, including English language arts, history-social science, science, technology, engineering, mathematics, and computer science.	1. Professional development for certificate and classified staff on standards aligned instruction and literacy instruction (contracted services, workshops, stipends, extended duty, materials) 2. Stipend for certificated & classified staff to complete online professional development on Alludo 3. Technology Basics and classroom integration for para educators, substitutes and certificated staff	150,000	150,000	150,000	150,000	
Subtotal $1,994,314.00		165,000	609,772	609,771	609,771	



"Continuous personal and professional development is your key to the future."
-Brian Tracy


slidesgo

7.01

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees: Date: December 16, 2021

Subject: **Approve Contract with CUMMING MANAGEMENT GROUP, INC. for Program Management (PM) Services.**

Staff Analysis: On July 22, 2021, the Board of Trustees interviewed four (4) firms that responded to the District's Request for Proposals for PM services and authorized the Administration to negotiate a contract with CUMMING, who received the highest score (168 points) on the basis of demonstrated competence and qualifications for the types of services to be performed. Attached is the proposed contract between the District and CUMMING for the Board's consideration. The terms of the contract are as follow: two (2) years starting on December 17, 2021 through December 31, 2023, with an amount not to exceed $1,700,000, to be billed on an hourly basis.

Recommendation: Staff recommends that the Board of Trustees approve the contract with CUMMING MANAGEMENT GROUP, INC.

Submitted by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees: Meeting: December 16, 2021
Regular Board Meeting

Recommend Approval

7.01
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

AGREEMENT FOR PROGRAM MANAGEMENT SERVICES

ALUM ROCK UNION SCHOOL DISTRICT

WITH

CUMMING MANAGEMENT GROUP, INC.

FOR

MEASURES J AND I BOND PROGRAMS

_____, 2021

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AGREEMENT FOR PROGRAM MANAGEMENT SERVICES

This Agreement for Program Management Services ("Agreement") is made as of _____, 2021, between the Alum Rock Union School District, a California public school district ("District"), and Cumming Management Group, Inc. ("PM") (both collectively "Parties"), for the District's Measures J and I Bond Programs ("Program") as follows:

> The administration of the Program, including oversight and coordination of the projects compromising the Program management of projects that comprise the Program. The PM will perform Program Management Services for the Program.
>
> PM shall render services and furnish the work as described herein, including acting as the District's agent for the Program, commencing upon execution of the Agreement and provision of the required insurance certificates and endorsements.

The Program may include multiple components. Any one of the components or combination thereof may be changed, including terminated, as indicated herein, without changing in any way the remaining component(s) or this Agreement. The provisions of this Agreement shall apply to each component without regard to the status of the remaining component(s). PM shall invoice for each component separately and District shall compensate PM for each component separately on a proportionate basis based on the level and scope of work completed for each component.

For and in consideration of the mutual covenants herein contained, the Parties hereto agree as follows:

ARTICLE 1. Definitions

1.1 In addition to the definitions above, the following definitions for words or phrases shall apply when used in this Agreement, including all Exhibits:

1.1.1 **Agreement:** The Agreement consists exclusively of this document and all identified exhibits attached and incorporated by reference.

1.1.2 **Architect:** The architect(s) that the District designates as being the architect(s) for all or a portion of the Project, including all consultants to the Architect(s).

1.1.3 **As-Built Drawings ("As-Builts"):** Any document prepared and submitted by District Contractor that details on a Conforming Set, the actual construction performed during the Project, including changes necessitated by Construction Change Documents and change orders, and detailed by the District's construction Contractor on a Conforming Set.

1.1.4 **Board:** The District's Governing Board.

1.1.5 **Conforming Set:** The plans, drawings, and specifications at the end of the Bidding Phase that incorporate all addenda, if any, issued during the Bidding Phase.

1.1.6 **Construction Budget:** The total amount indicated by the District for the Project plus all other costs, including design, construction, administration, financing, and all other costs.

1.1.7 **Construction Change Documents ("CCD"):** The documentation of changes to the DSA-approved construction documents.

1.1.8 **Construction Cost Budget:** The total cost to District of all elements of a Project designed or specified by the Architect, as adjusted during and at the end of the design phase in accordance with this Agreement and the Agreement for Architectural Services. The Construction Cost Budget does not include the compensation of the Project Design Team, the PM and any subconsultants, the cost of the land, rights-of-way, or financing which are the responsibility of the District.

1.1.9 **Construction Manager:** May refer to third party providing construction management services to the District.

1.1.10 **Consultant(s):** Any and all consultant(s), sub-consultant(s), subcontractor(s), or agent(s) to the PM.

1.1.11 **Contractor:** One or more licensed and registered contractors under contract with the District for construction of all or a portion of the Project.

1.1.12 **Design Team:** The Architect(s) that the District designates as being the architect(s) for all or a portion of the Project, including all consultants to the Architect(s), plus all engineer(s) or other designer(s), who have a responsibility to the District to design all or a portion of the Project either directly or as a subconsultant or subcontractor. The term Design Team includes the Design Professional in General Responsible Charge on this Project.

1.1.13 **DIR:** California Department of Industrial Relations.

1.1.14 **District:** The Alum Rock Union School District.

1.1.15 **District's Representative:** The individual identified herein that is authorized to act on the District's behalf with respect to the Project. The initial District's Representative shall be Dr. Hilaria Bauer, Superintendent. District may change the District's Representative by notice as set forth herein.

1.1.16 **DSA:** Division of the State Architect in the California Department of General Services.

1.1.17 **Extra Services:** District-authorized Services outside of the scope in **Exhibit "A"** or District-authorized reimbursables not included in PM's fee.

1.1.18 **Fee:** The PM's Fee is defined in Article 7 and payable as set forth in **Exhibit "D."**

1.1.19 **Program:** The District's Measure "J" and "I" Bond Program.

1.1.20 **Program Budget**: The total amount available for all costs related to the Program including, but not limited to, Program design, Program administration, Program financing, the services pursuant to this Agreement, and the construction of the Program. The Program Budget is the sum of all the Construction Budgets for each Project and all other Program expenses. The Program Budget is derived from the funds designated by the District for the Program, and the PM shall ensure that no additional funds are necessary for the Program. The Board may, at its sole discretion, determine to expand the Program Budget based on receipt of additional funding.

1.1.21 **PM:** The entity listed in the first paragraph of this Agreement, including all Consultant(s) to the PM.

1.1.22 **Project(s):** The projects identified in the District's Bond Measures J & I Programs.

1.1.23 **Project Inspector, Inspector of Record, IOR:** The agent of the DSA at the project site whose primary responsibility will be to insure that the project is constructed in compliance with current codes; DSA-approved plans and specifications relating to fire life safety, structure, and accessibility; and quality controls required of a public works facility. The IOR will report to both the DSA and the Architect.

1.1.24 **Record Drawings:** A final set of drawings prepared by the Architect incorporating all changes from all As-Builts, sketches, details, and clarifications.

1.1.25 **Service(s):** All labor, materials, supervision, services, tasks, and work that the PM is required to perform and that are required by, or reasonably inferred from, the Agreement, and that are necessary for the design and completion of the Project.

ARTICLE 2. Term

2.1 **Term:** This Agreement shall become effective on December 17, 2021, and, except as otherwise provided herein, will continue in effect until December 31, 2024.

2.2 **Option to Renegotiate:** During the three year term of this Agreement, District shall have the option to renegotiate PM's staffing, scope and fee, on an annual basis. The first option to renegotiate vests on or about November 1, 2024.

ARTICLE 3. Scope, Responsibilities and Services of PM

3.1 **Scope:** PM shall provide the Services described herein and under **Exhibit "A"** for the Project.

3.2 **Standard of Care:** PM, its officers, agents, employees, subcontractors, Consultants and any persons or entities for whom PM is responsible, shall provide all Services pursuant to this Agreement in accordance with the requirements of this Agreement and in a manner consistent with the standard of care under California law applicable to those who specialize in providing the same services for projects of the type, scope, and complexity of the Project. The District's review, approval of, or payment for any of the Services required under this Agreement shall not be construed as assent that PM has complied, nor in any way relieve the PM of compliance, with (i) the applicable standard of care, or (ii) applicable statutes, regulations, rules, guidelines and requirements. The PM will not be held to the standard of an Architect or Engineer as PM has not been engaged to perform any services which constitute the practice of architecture or engineering.

3.3 **Coordination:** In the performance of PM's services under this Agreement, PM agrees that it will maintain coordination with District-designated representatives as may be requested and desirable. This shall include, without limitation, coordination with all members of the District's Design Team, the Project Inspector, and the third party Construction Manager(s), if any.

3.4 **Other Consultants:** If the PM employs sub-consultant(s), the PM shall ensure that its contract(s) with its sub-consultant(s) include language incorporating the terms of this Agreement.

3.5 **PM's as District Representative:** PM will act as the District's agent to render the Services and furnish the work as described in **Exhibit "A,"** commencing with the receipt of a written Notice to Proceed signed by the District Representative. PM's services will be completed in accordance with the schedule attached as **Exhibit "C."** During the Project's Construction Phase, the District may require that the Contractors submit all notices and communication relating to the Project directly to the PM.

3.6 **Review of General Obligation Bond Program Report and District's Facilities Master Plan:** PM will review the District's Facilities Master Plan for the District and other written materials the District makes available by the District to PM to understand fully the nature, extent and intent of the Facilities Plan and the Project.

3.7 **Review of Measures J & I:** PM will review Measures J & I and other written materials made available by the District to PM that relate to

Measures J & I to fully understand the extent of funding available to implement the District's Master Facilities Plan for the District, the anticipated schedule for issuance of Bonds under Measures J & I relative to the anticipated design, bidding and construction of projects.

3.8 **Conflicts of Interest Prohibited:**

3.8.1 PM understands that District officials and employees are prohibited from involvement in decisions in which they may have a financial interest pursuant to Government Code sections 1090 and 87100 et seq., and certifies that it does not know of any facts indicating that any District official or employee has an ownership or other financial interest, direct or indirect, in this Agreement. Further, PM hereby certifies that no current District official or employee of the District, and no one who has been a District official or employee of the District within the past two years has participated in bidding, selling or promoting this Agreement. PM understands that in addition to the remedies available at law, that any failure to provide an accurate certification or any violation of this provision shall make the Agreement voidable by the District.

3.8.2 The PM shall not be permitted to submit proposals or otherwise seek contracts for the following services to be procured by the District in connection with any project covered by this Agreement: Design Professional, CMs, IORs or Test/Inspection. If the PM identifies potential Design Professionals, third party construction managers, Project Inspectors or Test/Inspection services in connection with a project, the PM shall affirmatively and unequivocally represent and warrant to the District that neither the PM nor any person who holds equity interest in PM's organization is a former or current holder of any equity interest in the firm identified and that neither the PM's organization has any financial interest in the firm identified. The District reserves the sole discretion to waive this subsection's requirements on a case-by-case basis.

ARTICLE 4. PM Staff

4.1 The District selected PM to perform the Services because of the PM's skills and expertise of key personnel.

4.2 PM agrees that the following key personnel in PM's firm shall be associated with the Program and perform the Services in the following capacities:

Senior Director:	John Olsson
Senior Project Manager:	Justin Kendall
Program Manager:	Teri Mathers
Project Manager:	Deborah Slivkoff
Project MIS Manager:	Mike Guardamagni
Scheduling:	Vincent Ly
MEP Estimator(s):	Mitch Buzila
Constructability:	Mike Echelmeier
Energy Analysis/LCCA:	Adam Todd
Senior LEED Manager:	Kelsey Mullin
Cost Manager:	Nick Mata
Project Engineer:	Fidele Kabera

4.3 PM shall not change any of the key personnel listed above without the District's prior written approval, unless said personnel cease to be employed by PM. Regardless of the reason for the change in key personnel, District shall be allowed to interview and retains the right to approve replacement personnel.

4.4 If any designated lead or key person fails to perform to the satisfaction of the District, then upon the District's written notice, the PM will have seven (7) calendar days to remove that person from the Project and shall provide a replacement person acceptable to the District.

4.4.1 All lead or key personnel for any Consultant must also be designated by the Consultant and are subject to all conditions stated in this Agreement.

4.5 PM represents that the PM has no existing interest and will not acquire any interest, direct or indirect, that could conflict in any manner or degree with the performance of Services required under this Agreement. PM agrees further that no person having any such interest shall be employed by PM.

ARTICLE 5. Schedule of Work

PM shall commence work under this Agreement upon receipt of a Notice to Proceed and shall prosecute the work diligently as described in **Exhibit "A"** so as to proceed with and complete the Services in compliance with the schedule attached as **Exhibit "C."** Time is of the essence and failure of PM to perform work on time as specified in this Agreement is a material breach of this Agreement. If the time to complete the scope of work under **"Exhibit**

C" exceeds five (5) years, the District may, at its sole discretion, extend the term of this Agreement pursuant to Article 2 of this Agreement.

ARTICLE 6. Construction Cost Budget

6.1 PM shall have responsibility, along with the Architect, to develop, review, and reconcile the Construction Cost Budget per Project with the Architect and the District throughout the design process and construction.

6.2 The Construction Cost Budget shall be the total cost to District of all Project elements the Design Team designs or specifies.

6.3 PM shall work cooperatively with the Project Design Team throughout the Project, including but not limited to, the Schematic Design Phase, Design Development Phase, and Construction Documents Phase, as described in **Exhibit "A,"** so that the Project's construction cost as designed by the Project Design Team will not exceed the Construction Cost Budget, as may be adjusted subsequently with the District's written approval. PM shall notify the District if it believes the Project's construction cost of the work by the Project Design Team will exceed the Construction Cost Budget, and/or if it believes the construction cost as designed will exceed the Construction Cost Budget. PM, however, shall not perform or be responsible for any design or architectural services.

6.4 Evaluations of the District's Construction Budget, and PM's preliminary and detailed cost estimates, represent the PM's best judgment as a professional familiar with the construction industry. District acknowledges that the PM does not control the cost of labor, materials, or equipment, over any contractor's methods of determining bid prices, or over market conditions. Accordingly, PM does not warrant or represent that bids or negotiated prices will not vary from the District's Construction Budget or from any cost estimate or evaluation prepared by PM.

6.5 If the Bidding Phase has not commenced within ninety (90) days after DSA approval of the plans and specifications, the Construction Cost Budget may be adjusted at District's request to reflect changes in the general level of prices in the construction industry between the date of submission of the Construction Documents to the District and the date on which proposals are sought.

6.6 The District may, in its sole discretion, do one, or a combination, of the following if any of the events in Article 6.7 occur:

6.6.1 Give PM written approval of an agreed adjustment to the Construction Cost Budget.

6.6.2 Authorize PM to re-negotiate and/or re-bid the Project, when appropriate, within three (3) months' time of receipt of bids, at no additional cost to the District (exclusive of District and other agencies' review time).

6.6.3 Terminate this Agreement if the Project is abandoned by the District without further obligation by either party.

6.6.4 Within three (3) months of receipt of bids, instruct Design Team to revise the drawings and specifications (in scope and quality as approved by the District) to bring the Project within the Construction Cost Budget for re-bidding. PM will perform cost estimation, value engineering, constructability reviews, and/or bidding support at no additional cost to the District.

6.7 If any of the following events occur, the District may exercise any one, or any combination, of the actions set forth in Article 6.6 above:

6.7.1 The lowest responsive base bid received is five percent (5%) or more in excess of the Construction Cost Budget or

6.7.2 The combined total of base bid and all additive alternates equal or exceed ten percent (10%) of the Construction Cost Budget; or

6.7.3 The Construction Cost Budget increases in phases subsequent to the Schematic Design Phase due to reasonably foreseeable changes in the condition of the construction market in Bay Area, in so far as these have not been caused by Acts of God, earthquakes, strikes, war, or energy shortages due to uncontrollable events in the world economy.

ARTICLE 7. Fee and Method of Payment for Basic Services

7.1 District shall pay PM an amount not to exceed One Million Seven Hundred Thousand Dollars ($1,700,000.00) for all services contracted for under this Agreement and based on the Fee Schedule set forth in **Exhibit "D."**

7.2 District shall pay PM the Fee pursuant to the provisions herein and the method of payment set forth in **Exhibit "D."**

7.3 PM shall bill its work under this Agreement on a percent of completion basis in accordance with **Exhibit "D."**

7.4 No increase in fee will be due from change orders generated during the construction period to the extent caused by PM's error(s) or omission(s).

7.5 The PM's fee set forth in this Agreement shall be full compensation for all of PM's Services incurred in the performance hereof as indicated in **Exhibit "D,"** including, without limitation, all costs for personnel, travel within one hundred (100) miles of the Project location, offices, per diem expenses, printing, providing or shipping of deliverables in the quantities set forth in **Exhibit "A."**

ARTICLE 8. Payment for Extra Services

8.1 Any charges for Extra Services shall be paid by the District as described in **Exhibit "B"** at the rates set forth in **Exhibit "D"** only upon certification of the District's prior written authorization of the claimed Extra Services and the Extra Services have been satisfactorily completed.

8.2 PM shall submit to District a written proposal describing the proposed scope of services and listing the personnel, labor duration, rates, and cost. PM shall proceed with Extra Services only upon receiving the District's prior written authorization. PM will not be entitled to any compensation for Extra Services performed prior to receiving District's written authorization.

8.3 If PM performs any Extra Services without the District's authorized representative's prior written authorization, the District will not be obligated to pay for such Extra Services. The foregoing provision notwithstanding, PM will be paid by the District as described in **Exhibit "B"** for Extra Services the District's authorized representative verbally requests, provided PM confirms such request in writing pursuant to the notice requirements of this Agreement, and proceeds with such Extra Services not earlier than two (2) business days after the District receives PM's written confirmation of the request. The foregoing provision notwithstanding, PM is not required to obtain the District's authorized representative's prior verbal authorization to be paid by the District as for Extra Services described in **Exhibit "B"** performed to address an emergency, as defined in Public Contract Code section 1102, when PM is unable to contact District prior to performing said Extra Services.

ARTICLE 9. Ownership of Data

9.1 All of PM's work product prepared or generated in connection with this Agreement is the District's property.

9.2 Upon the District's request, the PM shall make available to the District all work product completed or in progress at the time of such a request.

9.3 After Project completion or, if the District exercises the right to terminate this Agreement pursuant to the Agreement terms, PM shall assemble and deliver to District within five (5) calendar days of the District's written request, all of PM's work product of the generated, prepared, reviewed or compiled in connection with this Agreement and the Services and authorized Extra Services hereunder. This includes, without limitation, all PM generated documents, copies of all documents PM exchanged with or copied to or from all other Project participants, and all closeout documents. PM shall be index and organize appropriately said Project records for easy use by District personnel.

9.4 All Project records are District property, whether or not those records are in the PM's possession. District retains all rights to all copyrights,

designs, and other intellectual property embodied in the plans, record drawings, specifications, estimates, and other documents that PM or its Consultants prepare or cause to be prepared pursuant to this Agreement. Notwithstanding the preceding sentence, PM and its Consultants shall be entitled to reuse work product generated under this Agreement.

ARTICLE 10. Termination of Contract

10.1 District's Request for Assurances: If District at any time reasonably believes PM is or may be in default under this Agreement, District may in its sole discretion notify PM of this fact and request written assurances from PM of performance of Services and a written plan from PM to remedy any potential default under the terms this Agreement that the District may advise PM of in writing. PM shall, within ten (10) calendar days of District's request, deliver a written cure plan that meets the District's requirements in its request for assurances. PM's failure to provide such written assurances of performance and the required written plan, within ten (10) calendar days of request, will constitute a material breach of this Agreement sufficient to justify termination for cause.

10.2 District's Termination of PM for Cause: If PM fails to perform PM's duties to the District's satisfaction, or if PM fails to fulfill in a timely and professional manner PM's material obligations under this Agreement, or if PM violates any of the material terms or provisions of this Agreement, the District shall have the right to terminate this Agreement effective immediately upon the District giving PM written notice thereof. In the event of a termination pursuant to this subdivision, PM may invoice District for all work performed until the notice of termination, but District shall have the right to withhold payment and deduct any amounts equal to the District's costs because of PM's actions, errors, or omissions.

10.3 District's Termination of PM for Convenience: District shall have the right in its sole discretion to terminate this Agreement for its own convenience. In the event of a termination for convenience, PM may invoice District and District shall pay all undisputed invoice(s) for work performed until the notice of termination. This shall be the only amount(s) potentially owing to PM if there is a termination for convenience.

10.4 PM's Termination of Agreement for Cause: PM has the right to terminate this Agreement if the District does not fulfill its material obligations under this Agreement and fails to cure such material default within sixty (60) days of receipt of written notice of said defaults, or if the default cannot be cured within sixty (60) days, commence to cure such default, diligently pursue such cure, and complete the cure within a reasonable time following written notice and demand from PM. Such termination shall be effective after receipt of written notice from PM to the District.

10.5 Effect on Pre-Termination Services: Except as indicated in this Article, termination shall have no effect upon any of the rights and obligations of the Parties arising out of any transaction occurring prior to the effective date of such termination.

10.6 Ceasing Services upon Termination: If, at any time in the progress of performing Services under this Agreement, the District determines that PM's Services should be terminated, the PM, upon the District's written notice of such termination, shall immediately cease providing Services, except to transfer files as directed by the District. The District shall pay PM only the fee associated with the Services provided and approved by District since the last paid invoice and up to the notice of termination.

10.7 Suspension: If PM's Services are suspended by the District for more than one hundred and eighty (180) consecutive days, the PM shall be compensated for services performed prior to notice of such suspension. When PM's Services are resumed, the schedule shall be adjusted and the PM's compensation shall be equitably adjusted to provide for expenses incurred in the resumption of the PM's Services. PM shall make every effort to maintain the same Program personnel after suspension.

ARTICLE 11. Indemnity

11.1 To the furthest extent permitted by California law, PM shall indemnify and hold free and harmless the District, its Governing Board, agents, representatives, officers, consultants, employees, trustees, and volunteers ("the Indemnified Parties") from any and all claims, demands, causes of action, costs, expenses, liability, loss, damage or injury of any kind, in law or equity ("Claim") that arise out of, pertain to, or relate to the negligence, recklessness, or willful misconduct of the PM, its officers, employees, subcontractors, consultants, or agents, including subject to Article 11.3, the payment of all consequential damages. PM shall also, to the furthest extent permitted by California law, defend the Indemnified Parties at PM's own expense, including attorneys' fees and costs, from any and all Claim(s) and allegations relating thereto with counsel approved by District where such approval is not to be unreasonably withheld. District agrees to reimburse PM for the costs of defense that PM incurs on the District's behalf to the extent that it is ultimately determined, by a court of law, that PM is not required to indemnify the District under this provision.

11.2 PM shall pay and satisfy any judgment, award, or decree that may be rendered against the Indemnified Parties in any Claim. PM's obligation pursuant to Article 11.1 includes reimbursing the District for the cost of any settlement paid by the Indemnified Parties and for any and all fees and costs, including but not limited to, legal fees and costs, expert witness fees, and consultant fees, incurred by the Indemnified Parties in the defense of any Claim(s), or to enforce the indemnity herein. PM's obligation to indemnify shall not be restricted to insurance proceeds.

11.3 In no event will the PM's aggregate liability arising out of this Agreement, not including insurance proceeds, exceed the amount of Two million dollars, regardless of whether the theory of liability or cause of action is based on contract, tort, warranty, indemnity, strict liability, or otherwise.

11.4 District may withhold from amounts owing to PM any and all costs that arise out of, pertain to, or relate to the negligence, recklessness, or willful misconduct of the PM.

11.5 District acknowledges and agrees that the PM, shall neither have control over nor be responsible for maintaining safe conditions or enforcing or coordinating safety programs at any Project site, and shall not have control over or be responsible for construction means, methods, techniques, sequences or procedures employed by any contractor involved with the Project. District further acknowledges and agrees that, in connection with the work to be performed at any Project site, PM shall neither have control over nor be responsible for the acts or omissions of District, its representatives, agents or employees, or the acts or omissions of District's architects, engineers, contractors, subcontractors, consultants and each of their respective representatives, agents or employees, ("District Parties") including without limitation, for those actions or omissions that result in personal injury, bodily injury, sickness, disease, or death or to damage to or destruction of tangible property including the loss of use resulting therefrom.

ARTICLE 12. Conduct on Project Site and Fingerprinting

12.1 Unacceptable and/or loud language will not be tolerated. "Cat calls" or other derogatory language toward students or public will not be allowed.

12.2 Drugs, alcohol, and smoking on District property are strictly prohibited. No drugs, alcohol and/or smoking are allowed at any time in any building and/or grounds on District's property. No students, staff, visitors or contractors are to use drugs on District's property.

12.3 PM shall comply with the provisions of Education Code section 45125.1 regarding the submission of employee fingerprints to the California Department of Justice and the completion of criminal background investigations of its employees. PM shall not permit any employee to have any contact with District pupils until such time as the PM has verified in writing to the governing board of the District that the employee has not been convicted of a felony, as defined in Education Code section 45122.1, and/or that the prohibition does not apply to an employee as provided by Education Code section 45125.1(e)(2) or (3). PM's responsibility shall extend to all employees, agents, and employees or agents of its Consultants regardless of whether those individuals are paid or unpaid, concurrently employed by the District, or acting as PM's independent contractors. PM shall provide to District verification of compliance with this section by submitting an executed Criminal Background

Investigation Certification (**Exhibit "E"**) prior to commencing employment or participating on the Program and prior to permitting contact with any student.

12.4 For all workers on District property, PM shall comply with all applicable federal, state and local laws regarding COVID-19. Further, except to the extent the Order provides otherwise, PM and PM's personnel shall continue to comply with all other applicable terms in the CDPH's State Public Health Officer Orders. PM shall provide to District verification of compliance with this section by submitting an executed COVID-19 Vaccination/Testing Certification (**Exhibit "F"**) prior to commencing employment or participating on the Program.

12.5 District acknowledges and agrees that PM, shall neither have control over nor be responsible for maintaining safe conditions or enforcing or coordinating safety programs at any Project site, and shall not have control over or be responsible for construction means, methods, techniques, sequences or procedures employed by any contractor involved with a project. District further acknowledges and agrees that, in connection with the work to be performed at any Project site, PM shall neither have control over nor be responsible for the acts or omissions of District, its representatives, agents or employees, or the acts or omissions of District's architects, engineers, contractors, subcontractors, consultants and each of their respective representatives, agents or employees, ("District Parties") for their actions or omissions that result in personal injury, bodily injury, sickness, disease, or death or to damage to or destruction of tangible property including the loss of use resulting therefrom.

ARTICLE 13. Responsibilities of the District

13.1 The District shall examine the documents submitted by the PM and shall render decisions so as to avoid unreasonable delay in the process of the PM's Services.

13.2 The District shall provide to the PM as complete information as is available to District regarding the District's Project requirements.

13.3 The District shall retain design professional(s) whose services, duties and responsibilities will be described in written agreement(s) between the District and design professional(s).

13.4 Unless the contract documents require that Contractor provide any of the following, the District shall, in a timely manner, and with PM's assistance, secure, submit and pay for necessary approvals, easements, assessments, permits and charges required for the construction, use, or occupancy of permanent structures or for permanent changes in existing facilities, subject to PM's and/or the Design Team's duties to recommend or provide same.

13.5 The District, its representatives, and consultants shall communicate with the Contractor either directly or through the PM.

13.6 The District shall designate an officer, employee and/or other authorized representatives to act on the District's behalf with respect to the Project. The District's Project representative shall be available during working hours and as often as may be required to render decisions and to furnish information in a timely manner.

ARTICLE 14. Liability of District

14.1 Other than as provided in this Agreement, District's obligations under this Agreement shall be limited to the payment of the compensation as provided in this Agreement. Notwithstanding any other provision of this Agreement, in no event shall District be liable, regardless of whether any claim is based on contract or tort, for any special, consequential, indirect or incidental damages, including but not limited to, lost profits or revenue, arising out of or in connection with this Agreement for the services performed in connection with this Agreement.

14.2 Subject to Article 11.3 and insurance proceeds, PM shall pay to District any and all costs incurred by District, or for which District may become liable, to the extent caused by negligent delays, acts, or omissions of PM in its performance of its Services.

14.3 District shall not be responsible for any damage to persons or property as a result of the use, misuse or failure of any equipment used by PM, or by its employees, even though such equipment be furnished or loaned to PM by District.

14.4 PM hereby waives any and all claim(s) for recovery from the District under this Agreement, which loss or damage is covered by valid and collectible insurance policies. PM agrees to have its required insurance policies endorsed to prevent the invalidation of insurance coverages by reason of this waiver. This waiver shall extend to claims paid, or expenses incurred, by PM's insurance company on the District's behalf.

ARTICLE 15. Insurance

15.1 PM shall procure, prior to commencement of Services, and will maintain for the duration of the Agreement insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the PM, their agents, representatives, employees and sub-consultant(s). PM's liabilities, including but not limited to, PM's indemnity or defense obligations under this Agreement, shall not be deemed limited in any way to the insurance coverage required herein. Maintenance of specified insurance coverage is a material element of this Agreement and PM's failure to maintain or renew coverage or to provide evidence of renewal during the term of this Agreement, as required or when requested, may be treated by the District, subject to its sole discretion, as a material breach of contract.

15.2 **Minimum Scope and Limits of Insurance**: Coverage shall be at least as broad as the following scopes and limits:

15.2.1 **Commercial General Liability.** Two million dollars ($2,000,000) per occurrence, Four million dollars ($4,000,000) aggregate, for bodily injury, personal injury, property damage, death, advertising injury, and medical payments arising from the performance of any portion of the Services. If Commercial General Liability or other form with a general aggregate limit is used, either the general aggregate limit shall apply separately to this Project/location or the general aggregate limit shall be twice the required occurrence limit.

15.2.2 **Commercial Automobile Liability, Any Auto**. One million dollars ($1,000,000) per occurrence.

15.2.3 **Workers' Compensation**. Statutory limits required by the State of California. For all of the PM's employees who are subject to this Agreement and to the extent required by the applicable state or federal law, PM shall keep in full force and effect, a Workers' Compensation policy. PM shall provide an endorsement that the insurer waives the right of subrogation against the District and its respective elected officials, officers, employees, agents, representatives, consultants, trustees, and volunteers.

15.2.4 **Employer's Liability.** One million dollars ($1,000,000) per accident for bodily injury or disease. For all of the PM's employees who are subject to this Agreement, PM shall keep in full force and effect, an Employment Practices Liability policy. That policy shall provide employers' liability coverage with minimum liability coverage of Two million dollars ($2,000,000) per occurrence. PM shall provide an endorsement that the insurer waives the right of subrogation against the District and its respective elected officials, officers, employees, agents, representatives, consultants, trustees, and volunteers.

15.2.5 **Professional Liability**. This insurance shall cover the PM and its sub-consultant(s), if any, on a Claims Made basis for Five million dollars ($5,000,000) per claim limit subject to no claim deductible, coverage to continue through completion of construction plus ten (10) years thereafter. The policy must contain terms or endorsements extending coverage that requires the insurer to defend and indemnify for acts which happen before the effective date of the policy provided the claim is first made during the policy period. Subject to Article 11.3, the PM shall defend the District and pay for the attorneys' fees and costs, at PM's own expense, outside of the Professional Liability insurance coverage limit.

15.2.6 **Umbrella Liability**. Five million dollars ($5,000,000) per occurrence to meet the policy limit requirements of the required policies if PM's underlying policy limits are less

than required. There shall be no gap between the per occurrence amount of any underlying policy and the start of the coverage under the Umbrella Liability Insurance Policy. Any Umbrella Liability Insurance Policy shall be written on a following form and shall protect PM, District, and State in amounts, and that complies with all requirements for Commercial General Liability and Automobile Liability and Employers' Liability Insurance.

15.3 The District reserves the right to modify the limits and coverages described herein, with appropriate credits or charges to be negotiated for such changes.

15.4 **Deductibles and Self-Insured Retention**: Any deductibles or self-insured retention exceeding Twenty-Five Thousand Dollars ($25,000) must be declared to and approved by the District. At the option of the District, either:

15.4.1 The District can accept the higher deductible; or

15.4.2 PM's insurer shall reduce or eliminate such deductibles or self-insured retention as respects the District, its officers, officials, employees and volunteers.

15.5 **Other Insurance Provisions**: The general liability and automobile liability policies are to contain, or be endorsed to contain, the following provisions:

15.5.1 All policies except for the worker's compensation, employer's liability and professional liability insurance policy shall be written on an occurrence form.

15.5.2 The District, its representatives, consultants, trustees, officers, officials, employees, agents, and volunteers ("Additional Insureds") are to be covered as additional insureds as respects liability arising out of activities performed by or on behalf of the PM; Instruments of Service and completed operations of the PM; premises owned, occupied or used by the PM; or automobiles owned, leased, hired or borrowed by the PM. The coverage shall contain no special limitations on the scope of protection afforded to the Additional Insureds. All endorsements shall waive any right to subrogation against any of the Additional Insureds.

15.5.3 Any failure to comply with reporting or other provisions of the policies including breaches of warranties shall not affect coverage provided to the Additional Insureds.

15.5.4 PM shall pay all insurance premiums, including any charges for required waivers of subrogation or the endorsement of additional insureds. If PM fails to maintain insurance, District may take out comparable insurance,

and deduct and retain amount of premium from any sums due PM under the Agreement.

15.5.5 The PM's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.

15.5.6 Each insurance policy required by this clause shall be endorsed to state that coverage shall not be canceled, not renewed, or material change in coverage except after thirty (30) days prior written notice by certified mail, return receipt requested, has been given to the District.

15.5.7 PM's general liability and automobile liability insurance coverage shall be primary and non-contributory insurance as respects the Additional Insureds with respect to any claims related to, arising out of, or connected with the Project. Any insurance or self-insurance maintained by the Additional Insureds shall be in excess of the PM's insurance and shall not contribute with it.

15.5.8 For the PM's general liability, automobile liability, and workers' compensation insurance, PM shall provide an endorsement that the insurer waives the right of subrogation against the District and its respective elected officials, officers, employees, agents, representatives, consultants, trustees, and volunteers.

15.5.9 PM shall require all subconsultants to maintain the level of insurance PM deems appropriate with respect to the consultant's scope of the Work unless otherwise indicated in the Agreement. PM shall cause the subconsultants to furnish proof thereof to District within ten (10) days of District's request. Should PM not require subconsultants to provide the same level of insurance as is required of PM, as provided in this Agreement, PM is not relieved of its indemnity obligations to District or fulfilling its insurance requirements as provided in this Agreement.

15.5.10 If PM normally carries insurance in an amount greater than the minimum amounts required herein, that greater amount shall become the minimum required amount of insurance for purposes of the Agreement. Therefore, PM hereby acknowledges and agrees that all insurance carried by it shall be deemed liability coverage for all actions it performs in connection with the Agreement.

15.6 **Acceptability of Insurers**: Insurance is to be placed with insurers admitted in California with a current A.M. Best's rating of no less than A:VII. PM shall inform the District in writing if any of its insurer(s) have an A.M. Best's rating less than A:VII. At the option of the District, the District may either:

15.6.1 Accept the lower rating; or

15.6.2 Require PM to procure insurance from another insurer.

15.7 **Verification of Coverage**: Prior to commencing with its provision of Services under this Agreement, but no later than three (3) calendar days after the Notice of Award, PM shall furnish the District with:

15.7.1 Certificates of insurance showing maintenance of the required insurance coverage;

15.7.2 Original endorsements affecting coverage. The endorsements are to be signed by a person authorized by that insurer to bind coverage on its behalf. All endorsements are to be received and approved by the District before work commences.

15.8 **Copy of Insurance Policy(ies):** Upon the District's request, PM will furnish District with a copy of all insurance policies related to its provision of Services under this Agreement.

ARTICLE 16. Nondiscrimination

PM agrees to comply with the provisions of the California Fair Employment and Housing Act as set forth in part 2.8 of division 3 of the California Government Code, commencing at section 12900; the Federal Civil Rights Act of 1964, as set forth in Public Law 88-352, and all amendments thereto; Executive Order 11246; and all administrative rules and regulations found to be applicable to Consultant and all of its subcontractors. In addition, Consultant agrees to require like compliance by all of its subcontractor(s).

ARTICLE 17. Covenant Against Contingent Fees

PM warrants that it has not employed or retained any company or person, other than a bona fide employee working solely for the PM, to solicit or secure this Agreement, and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for the PM, any fee, commission, percentage, brokerage fee, gift, or any other consideration contingent on or resulting from the award or making of this Agreement. For breach or violation of this warranty, the District shall have the right to annul this Agreement without liability, or in its discretion, to deduct from the contract price or consideration or otherwise recover the full amount of such fee, commission, percentage fee, gift, or contingency.

ARTICLE 18. Entire Agreement/Modification

This Agreement, including the Exhibits hereto, supersedes all previous contracts and constitutes the entire understanding of the Parties hereto. PM shall be entitled to no benefit other than those specified herein. No changes, amendments or alterations shall be effective unless in writing and signed by both Parties. PM specifically acknowledges that in entering into this Agreement, PM relies solely upon the provisions contained in this Agreement and no others.

ARTICLE 19. Non-Assignment of Agreement

This Agreement is intended to secure the PM's specialized services. PM may not assign, transfer, delegate or sublet any interest therein without the District's prior written consent. Any assignment, transfer, delegation or sublease without the District's prior written consent shall be considered null and void.

ARTICLE 20. Law, Venue

20.1 This Agreement has been executed and delivered in the State of California and the validity, enforceability and interpretation of any of the clauses of this Agreement shall be determined and governed by the laws of the State of California.

20.2 To the fullest extent permitted by California law, Santa Clara County shall be the venue for any action or proceeding that may be brought or arise out of, in connection with or by reason of this Agreement.

ARTICLE 21. Alternative Dispute Resolution

21.1 All claims, disputes or controversies arising out of, or in relation to the interpretation, application or enforcement of this Agreement may be decided through mediation as the first method of resolution. Notice of the demand for mediation of a dispute shall be filed in writing with the other party to the Agreement. The demand for mediation shall be made within a reasonable time after written notice of the dispute has been provided to the other party, but in no case longer than ninety (90) days after initial written notice.

21.2 If a claim, or any portion thereof, remains in dispute upon satisfaction of all applicable dispute resolution requirements, the PM shall comply with all claims presentation requirements as provided in Chapter 1 (commencing with section 900) and Chapter 2 (commencing with section 910) of Part 3 of Division 3.6 of Title 1 of Government Code as a condition precedent to the PM's right to bring a civil action against the District. For purposes of those provisions, the running of the time within which a claim must be presented to the District shall be tolled from the time the PM submits its written claim until the time the claim is denied, including any time utilized by any applicable meet and confer process.

21.3 Notwithstanding any disputes, claims or other disagreements between the PM and the District, PM shall continue to provide and perform Services hereunder pending a subsequent resolution of such disputes.

ARTICLE 22. Tolling of Claims

PM agrees to toll all statutes of limitations for District's assertion of claims against PM that arise out of, pertain to, or relate to Contractors' or subcontractors' claims against District involving PM's work, until the Contractors' or subcontractors' claims are finally resolved.

ARTICLE 23. Severability

If any term, covenant, condition or provision of this Agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, the remainder of the provisions hereof shall remain in full force and effect and shall in no way be affected, impaired or invalidated thereby.

ARTICLE 24. Employment Status

24.1 PM shall, during the entire term of Agreement, be construed to be an independent contractor and nothing in this Agreement is intended nor shall it be construed to create an employer-employee relationship, a joint venture relationship, or to allow District to exercise discretion or control over the professional manner in which PM performs the Services which are the subject matter of this Agreement; provided always, however, that the Services to be provided by PM shall be provided in a manner consistent with all applicable standards and regulations governing such Services.

24.2 PM understands and agrees that PM's personnel are not and will not be eligible for: membership in, or to receive any benefits from, any District group plan for hospital, surgical or medical insurance; membership in any District retirement program; paid vacation, paid sick leave or other leave, with or without pay; or any other benefits which accrue to a District employee.

24.3 Should District, in its discretion, or a relevant taxing authority such as the Internal Revenue Service or the State Employment Development Department, or both, determine that PM or any employee of PM is an employee of District for purposes of collection of any employment taxes, the amounts payable under this Agreement shall be reduced by amounts equal to both the employee and employer portions of the tax due (and offsetting any credits for amounts already paid by PM which can be applied against this liability). District shall then forward those amounts to the relevant taxing authority.

24.4 Should a relevant taxing authority determine a liability for past services performed by PM for District, upon notification of such fact by District, PM shall promptly remit the amount due or arrange with District to have the amount due withheld from future payments to PM under this Agreement (again, offsetting any amounts already paid by PM which can be applied as a credit against that liability).

24.5 A determination of employment status pursuant to the preceding two paragraphs shall be solely for the purposes of the particular tax in question, and for all other purposes of this Agreement, PM shall not be considered an employee of District. Notwithstanding the foregoing, should any court, arbitrator, or administrative authority determine PM is an employee for any other purpose, then PM agrees to a reduction in District's liability resulting from this Agreement pursuant to principles similar to those stated in the foregoing paragraphs so that the total expenses of District under this Agreement shall not be greater than they would have been had the

court, arbitrator, or administrative authority determined PM was not an employee.

24.6 Nothing in this Agreement shall operate to confer rights or benefits on persons or entities not a party to this Agreement.

ARTICLE 25. Warranty of PM

25.1 PM warrants that PM is properly licensed and/or certified under the laws and regulations of the State of California to provide the Services that it has herein agreed to perform. PM further warrants that all of the work PM performs under this Agreement shall comply with all applicable laws, rules, regulations and codes of the United States and the State of California. PM also warrants that it shall comply with all applicable ordinances, regulations, and resolutions of Santa Clara County.

25.2 PM certifies that it is aware of the provisions of the California Labor Code of the State of California, requiring every employer to be insured against liability for workers compensation or to undertake self-insurance in accordance with the provisions of that code, and it certifies that, if applicable, it will comply with those provisions before commencing the performance of the work of this Agreement.

25.3 To the extent that the work performed under this contract is subject to labor compliance and enforcement by the DIR, PM specifically acknowledges and understands that it shall perform the Services while complying with all applicable provisions of Division 2, Part 7, Chapter 1 of the Labor Code and Title 8 of the California Code of Regulations.

ARTICLE 26. Cost Disclosure - Documents and Written Reports

PM shall be responsible for compliance with California Government Code section 7550, if the total cost of the Agreement is over five thousand dollars ($5,000).

ARTICLE 27. Communications / Notice

Notices and communications between the Parties to this Agreement may be sent to the following addresses by registered or certified mail with postage prepaid, return receipt requested, by overnight delivery service, or by personal delivery:

District:

Alum Rock Union School District
2930 Gay Avenue
San Jose, CA 95127
ATTN: Kolvira Chheng
Assistant Superintendent of
Business Services

PM:

Cumming Management Group, Inc.
252 Hancock Ave., Suite 440
Murrieta, CA 92562
ATTN: John Olsson

If notice is given by registered or certified mail with postage prepaid, return receipt requested, it shall be considered delivered on the day the notice is signed for. If notice if given by overnight delivery service, it shall be considered delivered on the date stated in the proof of delivery.

PM and District, by notice given hereunder, may designate different addresses to which subsequent notices, certificates or other communications will be sent.

ARTICLE 28. Disabled Veteran Business Enterprise Participation

Pursuant to section 17076.11 of the Education Code, the District has a participation goal for disabled veteran business enterprises ("DVBE") of at least three percent (3%), per year, of funds expended each year by the District on projects that use funds allocated by the State Allocation Board pursuant to the Leroy F. Greene School Facilities Act (the "Act"). This Project may use funds allocated under the Act. Therefore, PM, before it executes the Agreement, shall provide to the District certification of compliance with the procedures for implementation of DVBE contracting goals, appropriate documentation identifying the amount(s) intended to be paid to DVBEs in conjunction with the contract, and/or documentation demonstrating PM's good faith efforts to meet these goals.

ARTICLE 29. District's Right to Audit

29.1 District retains the right to review and audit, and the reasonable right of access to PM's and any Consultant's premises to review and audit the PM's compliance with the provisions of this Agreement ("District's Right"). The District's Right includes the right to inspect, photocopy, and to retain copies, outside of PM's premises, of any and all Project-related records and other information with appropriate safeguards, if such retention is deemed necessary by the District in its sole discretion. The District shall keep this information confidential, as allowed by applicable law.

29.2 The District's Right includes the right to examine any and all books, records, documents and any other evidence of procedures and practices that the District determines is necessary to discover and verify whether PM is in compliance with all requirements of this Agreement.

29.3 If there is a claim for additional compensation or for Extra Services, the District's Right includes the right to examine books, records, documents, and any and all other evidence and accounting procedures and practices that the District determines is necessary to discover and verify all direct and indirect costs, of whatever nature, which are claimed to have been incurred, or anticipated to be incurred.

29.4 PM shall maintain complete and accurate records in accordance with generally accepted accounting practices in the industry. PM shall make available to the District for review and audit all Project-related accounting records and documents and any other financial data.

Upon District's request, PM shall submit exact duplicates of originals of all requested records to the District.

29.5 PM acknowledges and agrees that the District's Right, pursuant to the foregoing provisions, shall apply to, and may be utilized by the District for the production of, any records or documents subject to disclosure under the California Public Records Act, Government Code § 6250 et seq. ("CPRA"), unless otherwise exempt, and which may include, but are not limited to, records or documents in the District's constructive possession but under PM's control regardless if created, sent, received, stored, or maintained in a personal account or device of PM or its employees, as prescribed by applicable law. In the event the District exercises District's Right in response to or as part of a request under the CPRA, PM agrees to provide District with an affidavit, if and as needed or requested by District, within five (5) days of District's request therefor, that certifies PM's search for and production of responsive records subject to disclosure pursuant to the CPRA, if any.

29.6 PM shall include audit provisions in any and all of its subcontracts, and shall ensure that these sections are binding upon all Consultants.

29.7 PM shall comply with these provisions within fifteen (15) days of the District's written request to review and audit any or all of PM's Project-related records and information.

ARTICLE 30. Other Provisions

30.1 PM shall be responsible for the cost of construction change orders caused directly by PM's willful misconduct or negligent acts, errors or omissions. Without limiting PM's liability for indirect or consequential cost impacts, the direct costs for which PM shall be liable shall equal its proportionate share of the difference between the cost of the change order and the reasonable cost of the work had such work been a part of the originally prepared Construction Documents. These amounts shall be paid by PM to District or the District may withhold those costs from amounts due or to become due to PM.

30.2 Neither the District's review, approval of, nor payment for, any of the Services required under this Agreement shall be construed to operate as a waiver of any rights under this Agreement, and PM shall remain liable to the District in accordance with this Agreement for all damages to the District caused by PM's failure to perform any of the Services furnished under this Agreement to the standard of care of the PM for its Services, which shall be, at a minimum, the standard of care of program managers performing similar work for California public school districts at or around the same time and in or around the same geographic area of the District.

30.3 PM shall share, credit, or reimburse District fifty percent (50%) of the amount of any tax deduction and/or credit PM receives for District Projects under the Commercial Buildings Energy-Efficiency

Tax Deduction, 26 U.S. Code § 179D ("Section 179D"). PM shall provide District with all necessary documentation to enable District to verify the amounts of the Section 179D tax deduction. PM shall notify District in writing of the Section 179D tax deduction within thirty (30) days of when PM receives IRS notice of the Section 179D tax deduction or receives the Section 179D tax refund, whichever occurs first.

30.4 Each party warrants that it has had the opportunity to consult counsel and understands the terms of this Agreement and the consequences of executing it. In addition, each party acknowledges that the drafting of this Agreement was the product of negotiation, that no party is the author of this Agreement, and that this Agreement shall not be construed against any party as the drafter of the Agreement.

30.5 The individual executing this Agreement on behalf of PM warrants and represents that she/he is authorized to execute this Agreement and bind the PM to all terms hereof.

30.6 This Agreement may be executed in one or more counterparts, each of which shall be deemed an original. A facsimile or electronic signature shall be deemed to be the equivalent of the actual original signature. All counterparts so executed shall constitute one Agreement binding all the Parties hereto.

ARTICLE 31. Exhibits.

Exhibits "A" through "F" attached hereto are hereby incorporated by this reference and made a part of this Agreement.

[SIGNATURES ON FOLLOWING PAGE]

IN WITNESS WHEREOF, the Parties hereto have executed this Agreement on the date(s) indicated below.

ALUM ROCK UNION SCHOOL DISTRICT	**CUMMING MANAGEMENT GROUP, INC.**
Date: _____, 2021	Date: December 7, 2021
By: _____	By: John Olsson
Title: _____	Title: Senior Project Manager

EXHIBIT "A"

RESPONSIBILITIES AND SERVICES OF PROGRAM MANAGER

Program Manager ("PM") shall provide professional services necessary for completing the following:

1.1. Provide work which shall comply with professional standards and applicable requirements of federal, state, and local law.

1.2. Monitor and advise the District as to all material developments in the Program. Maintain reporting systems for scope, sequencing, scheduling, budgets and communication for the Program using existing District software. PM shall implement with District approval reporting methods for schedules, cost and budget status, and projections for each Project in the District's Program.

1.3. Be the focal point of all communication to and from Construction Managers, Architects, Engineers, Contractors and other District-retained Consultants and shall be copied on all communications between the District and its design professionals.

1.4. Implement methods to budget and track all expenditures on the Project. PM shall generate monthly reports to the District reflecting this information, and shall maintain the District's financial reporting systems.

1.5. PM shall develop and monitor an effective system of construction cost control for the Projects. PM shall identify variances between actual and budgeted or estimated costs and advise District and design professional(s) whenever a Project cost exceeds budgets or estimates. PM shall manage the construction bids and contracts in accordance with the Program Budget.

1.6. Prepare methods to track and report on schedule status for the Program. PM shall develop master schedules and milestone schedules for the Program and review and approve Contractor project schedules and milestone schedules for the project per specifications and shall report on same each month to the District.

1.7. Develop and implement a management control system to support such functions as planning, organizing, scheduling, budgeting, reporting progress and identifying and documenting problems and solutions for the Program and the various Projects within the Program. The system will allow for monthly progress reports to the District regarding the schedule for the Program and the various Projects within the Program.

1.8. PM will be required to attend and provide updates at required Board and community meetings. PM shall work cooperatively with District to anticipate and maintain a schedule of upcoming Board information and action items and shall prepare reports, background materials, and preliminary materials in District-specified format.

1.9. PM shall work cooperatively with the Design Team and the District to:

1.9.1. Define and schedule the Program.

1.9.2. Provide Services that will result in the development of an overall Program strategy with regard to phases, construction schedules, timing, budget, prequalification, contactor and consultant procurement, construction materials, building systems, and equipment.

1.10. Organize an initial planning workshop to create baseline parameters for the Project(s), to define overall building requirements, Project(s) strategy, conceptual budget and schedule. Pursuant to understandings reached at these meetings, PM will develop an implementation plan that identifies the various phases of the Project(s), coordination among phases, and budget and time constraints for each phase of the Project(s). The plan will include a detailed strategy, Program Budget and Program schedule as well as identification of critical events and milestone activities.

1.11. Develop and implement District-approved implementation procedures, forms, and reporting requirements for the Project(s) that involve all members of the Project(s) teams, including District, design professional(s), Construction Manager(s), and construction Contractor(s).

1.12. Upon District's request, assist with constructability reviews, determine construction feasibility, availability of materials and labor, time requirements for installation and construction, and factors related to cost, including costs of alternative designs, of materials, preliminary budgets, and possible economies.

1.13. Upon District's request, Program Manager will provide Design Management through 25% Construction Documents. At that time, the Construction Manager will be brought on board and take Design Management through bidding and project completion.

1.14. Upon District's request, provide advice on value engineering at predetermined Design milestones during Design Development Phase. This evaluation will consist of a review of the proposed materials, equipment, systems and other items depicted in the Design Documents and shall be coordinated with the District's design guidelines and design professional(s). PM will prepare a value engineering report documenting the results of the evaluation and make recommendations to the District with respect to alternatives, deletions, or amendments of such proposed items that pertain to the anticipated construction costs, useful life, maintenance and operational costs and efficiencies.

1.15. Advise the District as to the regulatory agencies that have jurisdiction over any portion or all of the Project, and as to coordination with and implementation of the requirements of the regulatory agencies, including without limitation DSA.

1.16. Contract for or employ, at PM's expense, sub-consultant(s) to the extent deemed necessary for PM's services. Nothing in the foregoing shall create any contractual relationship between the District and any sub-consultant(s) employed by the PM under terms of this Agreement.

1.17. Cooperate with the District, Board, and other professionals employed by the District for the design, coordination or management of other work related to the Program and the Project(s), including District staff and consultants, project

manager(s), citizens' oversight committee, other District committees, and the community to facilitate the timely completion of the Project within Board-approved budgets and to District design standards.

1.18. Develop for District approval a Program time schedule at the start of Project development that does the following:

1.18.1. Provides sufficient time for prequalification, and if necessary, the resolution of any appeals, bidding, and, if necessary, rebidding, or negotiating if applicable, the Project;

1.18.2. Coordinates and integrates the design professional(s)' design efforts with bidding schedules;

1.18.3. Includes realistic activity sequences and durations, allocation of labor and materials and delivery of products requiring long lead-time procurement; and

1.18.4. Takes into account the District's occupancy requirements (showing portions of the Project having occupancy priority and ongoing operational occupancy requirements).

1.19. Be responsible for the professional quality and technical accuracy of all cost estimates, constructability reviews, studies, reports, projections, opinions of the probable cost of construction, and other services furnished by PM under this Agreement as well as coordination with all Master Plans, studies, reports and other information provided by District to PM. PM shall, without additional compensation, correct or revise any errors or omissions in materials it generates.

1.20. Maintain a log of all meetings, site visits or discussions held in conjunction with the work of the Program, with documentation of major discussion points, observations, decisions, questions or comments. These shall be furnished to the District and/or its representative upon request.

1.21. At the request of the District, develop a Management Information System ("MIS") to assist in establishing communications between the District, PM, design professional(s), consultant(s), Contractor(s), Project Inspector(s) and other parties on the various Project(s) in the Program. In developing the MIS, PM shall interview the District's key personnel and others in order to determine the type of information to be managed and reported, the reporting format, the desired frequency for distribution of the various reports, the degree of accessibility by potential users, and the security protocol for the system.

1.22. Coordinate transmittal of documents to regulatory agencies for review and advise the District of potential problems in completion of such reviews.

1.23. Develop RFP's and manage Construction Managers selection and contracts.

1.24. Manage and oversee individual Construction Managers work on the individual projects.

1.25. Assist with pre-qualification process for the selection of prime and/or sub-contractors based on the detailed definition of Project scope, budget, schedule,

and programming support. Develop a list of pre-qualified prime and sub-contractors, as required.

1.26. Develop bidders' interest in the Project. Prepare a bidders list for each bid package for approval by the District. Coordinate all bid phase activities with District departments. Conduct pre-bid conferences to familiarize bidders with the bidding documents, and any special systems, materials or methods and with Project procedures.

1.27. Provide program cash flow and schedule analysis on a monthly basis to the district.

1.28. Assist the District with Funding requests and tracking.

1.29. The PM will work with CMs to obtain all close out documents in a timely manner.

1.30. PM shall work with the District Accountants to prepare final accounting reports.

EXHIBIT "B"

CRITERIA AND BILLING FOR EXTRA SERVICES

The following Extra Services to this Agreement shall be performed by PM if needed and requested by District:

1. Providing services required because of significant documented changes in the Project initiated by the District, including but not limited to size, quality, complexity, or the District's schedule.

2. Providing consultation concerning replacement of work damaged by fire or other cause during construction and furnishing services required in connection with replacement of such work.

3. Providing services made necessary by the default of Contractor, or by major defects or deficiencies in the work of the Contractor, or by failure of performance of the District's consultants.

4. Seeking variances or changes to agency guidelines on behalf of the District when so directed by the District.

5. Preparing to serve or serving as a witness in connection with any public hearing, dispute resolution proceeding or legal proceeding, other than that necessitated by the negligent acts, errors or omissions of PM or where the PM is a party thereto, except for a Contractor's hearing necessitated by a bid protest or by a Contractor's request to substitute a subcontractor, or by handling of any stop payment notices.

6. Performing technical inspection and testing.

7. Providing other services not otherwise included in this Agreement and not customarily furnished in accordance with the generally accepted scope of construction management practice.

Format and Content of Invoices

PM acknowledges that the District requires PM's invoices to include detailed explanations of the Services performed per the PM's standard timekeeping procedures.

Hourly Rates for Extra Services

1. The following rates, which include overhead, administrative cost and profit, shall be utilized in arriving at the fee for Extra Services and shall not be changed for the term of the Agreement. PM shall bill in quarter-hour increments for all Extra Services.

Job Title	Hourly Rate
Senior Director	$225.00
Senior Project Manager I	$190.00
Senior Project Manager II	$195.00
Program Manager	$195.00

Program MIS Manager	$175.00
Scheduling (Blended rate)	$180.00
MEP Estimators (Blended Rate)	$185.00
Constructability (Blended Rate)	$185.00
Energy Analysis/LCCA	$175.00
Senior LEED Manager	$185.00
Cost Managers (Blended rate)	$185.00
Project Engineer	$115.00

8.01

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Resignations (Information Only).**

Staff Analysis:

Pursuant to Board Policy 4117.2 the Superintendent or her designee has accepted the following resignations.

Submitted by: Tereasa Smith TBS Title: Director, Human Resources

To the Board of Trustees: **Information Only**

8.01

Agenda Placement

Meeting: December 16, 2021
Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

HUMAN RESOURCES DEPARTMENT
MEETING OF THE BOARD OF TRUSTEES

December 16, 2021

CLASSIFIED RESIGNATIONS:

I. **Resignations:**

1.	Morales, Gina	Paraeducator Special Education II/Ocala	11/06/2021
2.	Vasquez, Sandra	Community Liaison / Linda Vista	11/10/2021

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-
HUMAN RESOURCES DEPARTMENT
MEETING OF THE BOARD OF TRUSTEES

December 16, 2021

CERTIFICATED RESIGNATIONS:

I. **Resignations:**

1.	Bland, Samuel	SDC/Aptitud	12/31/2021

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

8.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Certificated Substitute Pay Rates Effective December 17, 2021 (Information Only).**

Staff Analysis:

District administration has revised the daily rates for all Certificated Substitutes.

Submitted by: Tereasa Smith Title: Director, Human Resources

To the Board of Trustees:
Recommend Approval
8.02
Agenda Placement

Meeting: December 16, 2021
Regular Board Meeting
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District Certificated Substitute Pay Rates

Substitute Teacher:

Daily Rate	Long Term	Retirees Daily Rate	Residential Subs Daily Rate
$200 = Elementary	$220 (works 15 consecutive days – retroactive to day 1)	E - **$300**	E - **$300**
$200 = Middle		M - **$300**	M - **$300**

Substitute Administrator:

Daily Rate	Long Term Daily Rate (works 30 consecutive days-retro)
$400	**$500**

Effective: 12/16/21

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

9.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees: Date: November 26, 2021

Subject: ASES - Grant Award Rate Increases for SY 2021-2022 (Citizen Schools)

Staff Analysis: ASES programs received a 14.6% rate increase for SY 2021-2022. The amount of the original Board Approved contract for Citizen Schools was $339,319.00. The net effect resulted in an increase of $49,717.00. The amended cost of the contract for 2021-2022 SY is $389,036.00.

Recommendation: Staff recommends the Board of Trustees approve the amended ASES contract with Citizen Schools in the amount of $389,036.00 for the 2021-2022 SY.

Submitted by: Sandra Garcia SG Title: Director of State & Federal Programs

Approved by: Rene Sanchez RS Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Date: December 16, 2021
Board Meeting

Recommended Approval

9.01
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASE ORDER / CONTRACT CHARGE ORDER (POCO)

P.O. No. 226071 Contract No. _____ Contract Original Amount: $339,319.

Vendor Name: Citizen Schools Vendor No. _____

Please check:

_____ Cancel P.O. / Contract
_____ Change Item No.: _____
✔ Increase Amount Line Item No.: _____
_____ Decrease Amount Line Item No.: _____
_____ Add Line No.: _____
_____ Delete Line No.: _____
_____ Add/Change object code to: _____
_____ Add Freight: $_____
_____ Add Tax: _____%
_____ Disencumber
_____ Add/Change Prog. No. to: _____
_____ Other _____

CONTRACTS ONLY

Change Orders:

Contracts Original Amount	$339,319.00
Change Order #: Increase	$49,717.00
Change Order #: _____	$_____
Change Order #: _____	$_____
New Contract Total (including Change Orders)	$389,036.00

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	PRG. TITLE	BUS. OFC
	0000	0		00			000000					
	0000	0		00			000000					

Reason for Adjustment:

ASES Coding: 060-6010-0-5815-00-1110-1000-000000-360-1386- ASES Increase for 14.6%.

Board approved - 7/8/2021
Original Amount - $339,319.00
Adjustment - $49,717.00
Total - $389,036.00

School/Dept.: State & Federal Dept. Requested by: Sandra Garcia

Approval: _____ Assistant Superintendent of Business Services
(for Contracts Only)

_____ Program Manager

_____ Purchasing Manager

Date Requested: 10/20/2021 Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of $15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

9.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees: Date: November 15, 2021

Subject: ASES - Grant Award Rate Increases for SY 2021-2022 (City of San Jose)

Staff Analysis: ASES programs received a 14.6% rate increase for SY 2021-2022. The amount of the original Board Approved contract for City of San Jose was $123,045.00. The net effect resulted in an increase of $18,695.00. The amended cost of the contract for 2021-2022 SY is $141,740.00.

Recommendation: Staff recommends the Board of Trustees approve the amended ASES contract with City of San Jose in the amount of $141,740.00 for the 2021-2022 SY.

Submitted by: Sandra Garcia SG Title: Director of State & Federal Programs

Approved by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Date: December 16, 2021
Board Meeting

Recommended Approval

9.02
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASE ORDER / CONTRACT CHARGE ORDER (POCO)

P.O. No. 226105 Contract No. _____ Contract Original Amount: $123,045

Vendor Name: City of San Jose Vendor No. _____

Please check:

_____ Cancel P.O. / Contract
_____ Change Item No.: _____
✔ Increase Amount Line Item No.: _____
_____ Decrease Amount Line Item No.: _____
_____ Add Line No.: _____
_____ Delete Line No.: _____
_____ Add/Change object code to: _____
_____ Add Freight: $_____
_____ Add Tax: _____%
_____ Disencumber
_____ Add/Change Prog. No. to: _____
_____ Other _____

CONTRACTS ONLY

Change Orders:

Contracts Original Amount	$123,045.0
Change Order #: Increase	$ 18,695.00
Change Order #: _____	$_____
Change Order #: _____	$_____
New Contract Total (including Change Orders)	$141,740.00

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	PRG. TITLE	BUS. OFC
	0000	0		00			000000					
	0000	0		00			000000					

Reason for Adjustment:

ASES Coding: 060-6010-0-5815-00-1110-1000-000000-360-1386- ASES Increase for 14.6%.

Board approved - 8/12/2021
Original Amount - $123,045.00
Adjustment - $18,695.00
Total - $141,740.00

School/Dept.: State & Federal Dept. Requested by: Sandra Garcia

Approval: _____ Assistant Superintendent of Business Services
(for Contracts Only)

_____ Program Manager

_____ Purchasing Manager

Date Requested: 10/20/2021 Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of $15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

9.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees: Date: November 15, 2021

Subject: ASES - Grant Award Rate Increases for SY 2021-2022 (City Year)

Staff Analysis: ASES programs received a 14.6% rate increase for SY 2021-2022. The amount of the original Board Approved contract for City Year was $1,484,151.00. The net effect resulted in an increase of $217,414.00. The amended cost of the contract for 2021-2022 SY is $1,701,565.00.

Recommendation: Staff recommends the Board of Trustees approve the amended ASES contract with City Year in the amount of $1,701,565.00 for the 2021-2022 SY.

Submitted by: Sandra Garcia SG Title: Director of State & Federal Programs

Approved by: Rene Sanchez RS Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Date: December 16, 2021
Board Meeting

Recommended Approval

9.03
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASE ORDER / CONTRACT CHARGE ORDER (POCO)

P.O. No. 225030 Contract No. _____ Contract Original Amount: $1,484,151

Vendor Name: City Year Vendor No. _____

Please check:

_____ Cancel P.O. / Contract
_____ Change Item No.: _____
✔ Increase Amount Line Item No.: _____
_____ Decrease Amount Line Item No.: _____
_____ Add Line No.: _____
_____ Delete Line No.: _____
_____ Add/Change object code to: _____
_____ Add Freight: $_____
_____ Add Tax: _____%
_____ Disencumber
_____ Add/Change Prog. No. to: _____
_____ Other _____

CONTRACTS ONLY

Change Orders:

Contracts Original Amount	$1,484,151.00
Change Order #: Increase	$ 217,414.00
Change Order #: _____	$_____
Change Order #: _____	$_____
New Contract Total (including Change Orders)	$1,701,565.00

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	PRG. TITLE	BUS. OFC
	0000	0		00			000000					
	0000	0		00			000000					

Reason for Adjustment:

ASES Coding: 060-6010-0-5815-00-1110-1000-000000-360-1386- ASES Increase for 14.6%.

Board approved - 7/8/2021
Original Amount - $1,484,151.00
Adjustment - $217,414.00
Total - $1,701,565.00

School/Dept.: State & Federal Dept. Requested by: Sandra Garcia

Approval: _____ Assistant Superintendent of Business Services
(for Contracts Only)

_____ Program Manager

_____ Purchasing Manager

Date Requested: 10/20/2021 Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of $15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

9.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees: Date: November 15, 2021

Subject: ASES - Grant Award Rate Increases for SY 2021-2022 (Think Together)

Staff Analysis: ASES programs received a 14.6% rate increase for SY 2021-2022. The amount of the original Board Approved contract for Think Together was $860,231.00. The net effect resulted in an increase of $125,769.00. The amended cost of the contract for 2021-2022 SY is $986,000.00.

Recommendation: Staff recommends the Board of Trustees approve the amended ASES contract with Think Together in the amount of $986,000.00 for the 2021-2022 SY.

Submitted by: Sandra Garcia SG Title: Director of State & Federal Programs

Approved by: Rene Sanchez RS Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Date: December 16, 2021
Board Meeting

Recommended Approval

9.04
Agenda Placement

Hilaria Bauer
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASE ORDER / CONTRACT CHARGE ORDER (POCO)

P.O. No. 226025 Contract No. _____ Contract Original Amount: $860,231

Vendor Name: Think Together Vendor No. _____

Please check:

_____ Cancel P.O. / Contract
_____ Change Item No.: _____
✔ Increase Amount Line Item No.: _____
_____ Decrease Amount Line Item No.: _____
_____ Add Line No.: _____
_____ Delete Line No.: _____
_____ Add/Change object code to: _____
_____ Add Freight: $_____
_____ Add Tax: _____%
_____ Disencumber
_____ Add/Change Prog. No. to: _____
_____ Other _____

CONTRACTS ONLY

Change Orders:

Contracts Original Amount	$860,231.00
Change Order #: Increase	$125,769.00
Change Order #: _____	$_____
Change Order #: _____	$_____
New Contract Total (including Change Orders)	$986,000.00

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	PRG. TITLE	BUS. OFC
	0000	0		00			000000					
	0000	0		00			000000					

Reason for Adjustment:

ASES Coding: 060-6010-0-5815-00-1110-1000-000000-360-1386- ASES Increase for 14.6%.

Board approved - 7/8/2021
Original Amount - $860,231.00
Adjustment - $125,769.00
Total - $986,000.00

School/Dept.: State & Federal Dept. Requested by: Sandra Garcia

Approval: _____ Assistant Superintendent of Business Services
(for Contracts Only)

_____ Program Manager

_____ Purchasing Manager

Date Requested: 10/20/2021 Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of $15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

9.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees: Date: November 15, 2021

Subject: ASES - Grant Award Rate Increases for SY of 2021-2022 (YMCA)

Staff Analysis: ASES programs received a 14.6% rate increase for school year of 2021-2022. The amount of the original Board Approved contract for YMCA was $254,381.00. The net effect resulted in an increase of $37,315.00. The amended cost of the contract for 2021-2022 SY is $291,696.00.

Recommendation: Staff recommends the Board of Trustees approve the amended ASES contract with YMCA in the amount of $291,696.00 for the 2021-2022 SY.

Submitted by: Sandra Garcia SG Title: Director of State & Federal Programs

Approved by: Rene Sanchez RS Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Date: December 16, 2021
Board Meeting

Recommended Approval

9.05
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASE ORDER / CONTRACT CHARGE ORDER (POCO)

P.O. No. 226114 Contract No. _____ Contract Original Amount: $254,381

Vendor Name: YMCA Vendor No. _____

Please check:

_____ Cancel P.O. / Contract
_____ Change Item No.: _____
✔ Increase Amount Line Item No.: _____
_____ Decrease Amount Line Item No.: _____
_____ Add Line No.: _____
_____ Delete Line No.: _____
_____ Add/Change object code to: _____
_____ Add Freight: $_____
_____ Add Tax: _____%
_____ Disencumber
_____ Add/Change Prog. No. to: _____
_____ Other _____

CONTRACTS ONLY

Change Orders:

Contracts Original Amount	$254,381.00
Change Order #: Increase	$ 37,315.00
Change Order #: _____	$_____
Change Order #: _____	$_____
New Contract Total (including Change Orders)	$291,696.00

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	PRG. TITLE	BUS. OFC
	0000	0		00			000000					
	0000	0		00			000000					

Reason for Adjustment:

ASES Coding: 060-6010-0-5815-00-1110-1000-000000-360-1386- ASES Increase for 14.6%.

Board approved - 7/8/2021
Original Amount - $254,381.00
Adjustment - $37,315.00
Total - $291,696.00

School/Dept.: State & Federal Dept. Requested by: Sandra Garcia

Approval: _____ Assistant Superintendent of Business Services
(for Contracts Only)

_____ RS Program Manager

_____ Purchasing Manager

Date Requested: 10/20/2021 Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of $15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

9.06

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: November 19, 2021

Subject: **Maxim Healthcare Staffing**

Staff Analysis: Maxim Healthcare provides LVN services to ARUSD students with medical needs such as diabetes management as well as assisting the district nurses with COVID-19 related concerns.

Recommendation: Staff recommends that the Board of Trustees approve the contract increase in the amount of $178,265.00 for a total contract amount of $198,265.00 with Maxim Healthcare Staffing.

Submitted by: Norma Flores NF Title: Director of Student Services

Approved by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Meeting: December 16, 2021
Regular Board Meeting

Recommend Approval

9.06
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASE ORDER / CONTRACT CHARGE ORDER (POCO)

P.O. No. _____ Contract No. 226101 Contract Original Amount: $20,000

Vendor Name: Maxim Healthcare Services Vendor No. 300165

Please check:

_____ Cancel P.O. / Contract
_____ Change Item No.: _____
✔ Increase Amount Line Item No.: 1
_____ Decrease Amount Line Item No.: _____
_____ Add Line No.: _____
_____ Delete Line No.: _____
_____ Add/Change object code to: _____
_____ Add Freight: $_____
_____ Add Tax: _____%
_____ Disencumber
_____ Add/Change Prog. No. to: _____
_____ Other _____

CONTRACTS ONLY

Change Orders:	
Contracts Original Amount	$20,000
Change Order #: 1	$178,265
Change Order #: _____	$_____
Change Order #: _____	$_____
New Contract Total (including Change Orders)	$198,265

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	PRG. TITLE	BUS. OFC
010	0000	0	5815	00	1110	3140	000000	340	5240	178,265		
	0000	0		00			000000					

Reason for Adjustment:
Maxim Healthcare Services provides LVN services to ARUSD students with medical needs such as diabetes. Increase for the 21 - 22 school year is necessary due to more LVNs contracted at various school sites assisting with diabetes and COVID related concerns.

School/Dept.: Student Services Requested by: Norma Flores

Approval: _____ Assistant Superintendent of Business Services

(for Contracts Only)

_____ Program Manager

_____ Purchasing Manager

Date Requested: _____ Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of $15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

9.07

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: November 19, 2021

Subject: **RO Health Inc**

Staff Analysis: RO Health Inc provides LVN services to ARUSD students with medical needs such as diabetes management as well as assisting the district nurses with COVID-19 related concerns.

Recommendation: Staff recommends that the Board of Trustees approve the contract increase in the amount of $169,979.00 for a total contract amount of $309,979.00 with Maxim Healthcare Staffing.

Submitted by: Norma Flores NF Title: Director of Student Services

Approved by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Meeting: December 16, 2021
Regular Board Meeting

Recommend Approval

9.07
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASE ORDER / CONTRACT CHARGE ORDER (POCO)

P.O. No. _____ Contract No. 226052 Contract Original Amount: $140,000

Vendor Name: RO Health INC Vendor No. 022344

Please check:

_____ Cancel P.O. / Contract
_____ Change Item No.: _____
✔ Increase Amount Line Item No.: 1
_____ Decrease Amount Line Item No.: _____
_____ Add Line No.: _____
_____ Delete Line No.: _____
_____ Add/Change object code to: _____
_____ Add Freight: $_____
_____ Add Tax: _____%
_____ Disencumber
_____ Add/Change Prog. No. to: _____
_____ Other _____

CONTRACTS ONLY

Change Orders:

Contracts Original Amount	$140,000
Change Order #: 1	$169,979
Change Order #: _____	$_____
Change Order #: _____	$_____
New Contract Total (including Change Orders)	$309,979

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	PRG. TITLE	BUS. OFC
010	0000	0	5815	00	1110	3140	000000	340	5240	169,979		
	0000	0		00			000000					

Reason for Adjustment:

RO Health provides LVN services to ARUSD students with medical needs such as diabetes. Increase for the 21 - 22 school year is necessary due to more LVNs contracted at various school sites assisting with diabetes and COVID related concerns.

School/Dept.: Student Services Requested by: Norma Flores

Approval: _____ Assistant Superintendent of Business Services

(for Contracts Only)

Norma Flores RS Program Manager

_____ Purchasing Manager

Date Requested: _____ Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of $15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

9.08

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: November 1, 2021

Subject: **Maxim Healthcare Staffing**

Staff Analysis: Maxim Healthcare Staffing will provide Speech Therapist's to assist Alum Rock District students at various sites. Services will take place on days school is in session and during the summer program.

Recommendation: Staff recommends that the Board of Trustees approve the contract increase with Maxim Healthcare Staffing in the amount of $309,937, for a total contracted amount of $774,262.

Submitted by: Anthony Colonna AC Title: Director, Special Education Department

Approved by: Rene Sanchez RS Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Recommend Approval

9.08
Agenda Placement

Meeting: December 16, 2021
Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASE ORDER / CONTRACT CHARGE ORDER (POCO)

P.O. No. _____ Contract No. 226078 Contract Original Amount: $172,325

Vendor Name: Maxim Healthcare Staffing Vendor No. 300165

Please check:

_____ Cancel P.O. / Contract
_____ Change Item No.: _____
_____ Increase Amount Line Item No.: _____
_____ Decrease Amount Line Item No.: _____
_____ Add Line No.: 3
_____ Delete Line No.: _____
_____ Add/Change object code to: _____
_____ Add Freight: $_____
_____ Add Tax: _____%
_____ Disencumber
_____ Add/Change Prog. No. to: _____
_____ Other _____

CONTRACTS ONLY

Change Orders:	
Contracts Original Amount	$172,325
Change Order #: 1	$+357,000
Change Order #: _____	$-65,000
Change Order #: 2	$+309,937
New Contract Total (including Change Orders)	$774,262

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	PRG. TITLE	BUS. OFC
080	~~0000~~ 6500	0	5815	00	5750	1120	000000	380	1820	+309,937		
	0000	0		00			000000					

11/08/21
JD 11/8/21

Reason for Adjustment:
Speech services will take place on days school is in session and during Extended School Year (ESY). Speech therapist services students at various sites with these servies identified needs as per the students' IEP.

School/Dept.: Special Education Requested by: LeAnne Depew

Approval: _____ Assistant Superintendent of Business Services
(for Contracts Only)

_____ Program Manager

_____ Purchasing Manager

Date Requested: _____ Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of $15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

INDIVIDUAL SERVICES AGREEMENT (ISA) FOR NONPUBLIC AGENCY SERVICES

(Education Code Sections 56365 et seq.)

This agreement is effective on July 1, 2021 or the date student begins receiving services from a nonpublic agency, if after the date identified, and terminates at 5:00 P.M. on June 30, 2022, unless sooner terminated as provided in the Master Contract and by applicable law.

Local Education Agency Alum Rock Union School District Nonpublic Agency Maxim Healthcare Staffing Services, Inc.

LEA Case Manager: Name _____ Phone Number _____

Pupil Name _____ Sex: ☐ M ☐ F Grade: _____
(Last) (First) (M.I.)

Address _____ City _____ State/Zip _____

DOB _____ Residential Setting: ☐ Home ☐ Foster ☐ LCI # _____ ☐ OTHER _____

Parent/Guardian _____ Phone ( ) _____ ( ) _____
(Residence) (Business)

Address _____ City _____ State/Zip _____
(If different from student)

AGREEMENT TERMS:

1. *Educational services as specified in the IEP shall be provided by the CONTRACTOR and paid at the rates specified below.*

RELATED SERVICES:

SERVICE	Provider			# of Times per wk/mo/yr., Duration; or per IEP; or as needed	Cost per session	Maximum Number of Sessions	Estimated Maximum Total Cost for Contracted Period
	LEA	NPA	OTHER Specify				
Intensive Individual Services (340)							
Language/Speech Therapy (415) a. Individual b. Group		Maxim Healthcare Staffing Services, Inc.		5 Per Week 20 Per Month 180 per Year	$1087.50	150	Celia Dominguez-Anderson, SLP $163,125
Adapted Physical Ed. (425)							
Health and Nursing: Specialized Physical Health Care (435)							
Health and Nursing Services: Other (436)							
Assistive Technology Services (445)							
Occupational Therapy (450)							
Physical Therapy (460)							
Individual Counseling (510)							
Counseling and guidance (515).							
Parent Counseling (520)							
Social Work Services (525)							
Psychological Services (530)							

SERVICE	Provider			# of Times per wk/mo/yr., Duration; or per IEP; or as needed	Cost per session	Maximum Number of Sessions	Estimated Maximum Total Cost for Contracted Period
	LEA	NPA	OTHER Specify				
Behavior Intervention Services (535)							
Specialized Services for Low Incidence Disabilities (610)							
Specialized Deaf and Hard of Hearing Services (710)							
Interpreter Services (715)							
Audiological Services (720)							
Specialized Vision Services (725)							
Orientation and Mobility (730)							
Braille Transcription (735)							
Specialized Orthopedic Service (740)							
Reader Services (745)							
Note Taking Services (750)							
Transcription Services (755)							
Recreation Services (760)							
College Awareness Preparation (820)							
Vocational Assessment, Counseling, Guidance and Career Assessment (830)							
Career Awareness (840)							
Work Experience Education (850)							
Mentoring (860)							
Agency Linkages (865)							
Travel Training (870)							
Other Transition Services (890)							
Other (900)J							
Other (900)							
Transportation-Emergency b. Transportation-Parent							
Bus Passes							
Other							

ESTIMATED MAXIMUM RELATED SERVICES COST$ $163,125

TOTAL ESTIMATED MAXIMUM COSTS OF RELATED SERVICES $ $163,125

2. *The CONTRACTOR will implement all elements of the Master Contract executed between the Santa Clara County Office of Education "LEA" and the CONTRACTOR, Master Contract #_____.*
3. *By fully executing this Individual Services Agreement (ISA), DISTRICT is acknowledging acceptance of all contract terms of the Master Contract that "LEA" entered with the CONTRACTOR. Notices to DISTRICT, shall be addressed to* _____ _____*(School District contact name and address)*
4. *Other Provisions/Attachments:* _____ _____ _____ _____
5. *MASTER* CONTRACT APPROVED BY THE GOVERNING BOARD ON _____

Progress Reporting Requirements: _____ Quarterly ___ Monthly ___ Other (Specify) _____

The parties hereto have executed this Individual Services Agreement by and through their duly authorized agents or representatives as set forth below.

-CONTRACTOR-	-LEA/SELPA-
Maxim Healthcare Staffing Services, Inc.	Alum Rock Union School District
(Name of Nonpublic School/Agency)	(Name of LEA/SELPA)
_____	_____
(Signature) (Date)	(Signature) (Date)
_____	Hilaria Bauer, Ph.D., Superintendent
(Name and Title)	(Name of Superintendent or Authorized Designee)

INDIVIDUAL SERVICES AGREEMENT (ISA) FOR NONPUBLIC AGENCY SERVICES

(Education Code Sections 56365 et seq.)

This agreement is effective on July 1, 2021 or the date student begins receiving services from a nonpublic agency, if after the date identified, and terminates at 5:00 P.M. on June 30, 2022, unless sooner terminated as provided in the Master Contract and by applicable law.

Local Education Agency Alum Rock Union School District Nonpublic Agency Maxim Healthcare Staffing Services, Inc.

LEA Case Manager: Name _____ Phone Number _____

Pupil Name _____ Sex: ☐ M ☐ F Grade: _____
(Last) (First) (M.I.)

Address _____ City _____ State/Zip _____

DOB _____ Residential Setting: ☐ Home ☐ Foster ☐ LCI # _____ ☐ OTHER _____

Parent/Guardian _____ Phone ( ) _____ ( ) _____
(Residence) (Business)

Address _____ City _____ State/Zip _____
(If different from student)

AGREEMENT TERMS:

1. *Educational services as specified in the IEP shall be provided by the CONTRACTOR and paid at the rates specified below.*

RELATED SERVICES:

SERVICE	Provider			# of Times per wk/mo/yr., Duration; or per IEP; or as needed	Cost per session	Maximum Number of Sessions	Estimated Maximum Total Cost for Contracted Period
	LEA	NPA	OTHER Specify				
Intensive Individual Services (340)							
Language/Speech Therapy (415) a. Individual b. Group		Maxim Healthcare Staffing Services, Inc.		5 Per Week 20 Per Month 180 per Year	$1087.50	135	Katelyn Kippes, SLP $146,812.50
Adapted Physical Ed. (425)							
Health and Nursing: Specialized Physical Health Care (435)							
Health and Nursing Services: Other (436)							
Assistive Technology Services (445)							
Occupational Therapy (450)							
Physical Therapy (460)							
Individual Counseling (510)							
Counseling and guidance (515).							
Parent Counseling (520)							
Social Work Services (525)							
Psychological Services (530)							

SERVICE	Provider			# of Times per wk/mo/yr., Duration; or per IEP; or as needed	Cost per session	Maximum Number of Sessions	Estimated Maximum Total Cost for Contracted Period
	LEA	NPA	OTHER Specify				
Behavior Intervention Services (535)							
Specialized Services for Low Incidence Disabilities (610)							
Specialized Deaf and Hard of Hearing Services (710)							
Interpreter Services (715)							
Audiological Services (720)							
Specialized Vision Services (725)							
Orientation and Mobility (730)							
Braille Transcription (735)							
Specialized Orthopedic Service (740)							
Reader Services (745)							
Note Taking Services (750)							
Transcription Services (755)							
Recreation Services (760)							
College Awareness Preparation (820)							
Vocational Assessment, Counseling, Guidance and Career Assessment (830)							
Career Awareness (840)							
Work Experience Education (850)							
Mentoring (860)							
Agency Linkages (865)							
Travel Training (870)							
Other Transition Services (890)							
Other (900)J							
Other (900)							
Transportation-Emergency b. Transportation-Parent							
Bus Passes							
Other							

ESTIMATED MAXIMUM RELATED SERVICES COST$ $146,812.50

TOTAL ESTIMATED MAXIMUM COSTS OF RELATED SERVICES $ $146,812.50

2. *The CONTRACTOR will implement all elements of the Master Contract executed between the Santa Clara County Office of Education "LEA" and the CONTRACTOR, Master Contract #_____.*
3. *By fully executing this Individual Services Agreement (ISA), DISTRICT is acknowledging acceptance of all contract terms of the Master Contract that "LEA" entered with the CONTRACTOR. Notices to DISTRICT, shall be addressed to* _____ _____*(School District contact name and address)*
4. *Other Provisions/Attachments:* _____ _____ _____ _____
5. *MASTER* CONTRACT APPROVED BY THE GOVERNING BOARD ON _____

Progress Reporting Requirements: _____ Quarterly ___ Monthly ___ Other (Specify) _____

The parties hereto have executed this Individual Services Agreement by and through their duly authorized agents or representatives as set forth below.

-CONTRACTOR-	-LEA/SELPA-
Maxim Healthcare Staffing Services, Inc.	Alum Rock Union School District
(Name of Nonpublic School/Agency)	(Name of LEA/SELPA)
(Signature) (Date)	(Signature) (Date)
(Name and Title)	Hilaria Bauer, Ph.D., Superintendent
	(Name of Superintendent or Authorized Designee)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

9.09

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees: Date: December 3, 2021

Subject: The Home Depot Pro

Staff Analysis: Due to circumstances caused by Covid-19 the district will need to extend the existing contract with The Home Depot Pro for the purchase of materials and supplies such as: Toilet tissue, paper towels, hand soaps, disinfectant cleaners, etc., district-wide during the last six (6) month of the 2021-2022 school year.

Recommendation: Staff recommends the Board of Trustees approve Addendum No.2 to contract number C1819105 with The Home Depot Pro in the amount of $90,000.00. Total contract amount for the 2021-2022 fiscal is $180,000.00

Prepared by: Ed Villarreal Title: Director of Maintenance, Operations, and Transportation

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees: Meeting: December 16, 2021

Recommended Approval

9.09

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: **BUSINESS OFFICE** Contract No.: _____ Vendor No.: 12096

M.O.T (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☐ **MOU** (negotiated Agreement)
☐ Exhibit B & C (Fingerprinting and TB Test)
☑ **MASTER CONTRACT PARTICIPATION**
☑ Scope of Work/Proposal

Note: All Contracts over $5,000 require pre-approval.

* *Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.*

Name of Individual/Company: The Home Depot Pro

Address: 1177 Great Mall Drive. City: Milpitas State: CA. Zip: 95035

Phone: (408) 942 -7301 Email: armando_p_soto@homedepot.com

SSN: _____ Fed I.D. #: _____

CONTRACT TERM: start dates January 1, 2022 end date June 30, 2022

CONTRACTOR'S OBLIGATION:
Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Addendum No.2 to extend contract #CO-1819105 in order to provide materials such has: Toilet tissue, paper towels, hand soap, disinfectant cleaners, etc., district-wide during the last six (6) months of the 2021-2022 fiscal year.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

____ a. **Fee Rate:** $_____ per _____ Not to Exceed _____ of services.

X b. **Other:** $ 90,000.00

Describe other related costs: _____

BUDGET CODE: 010-0000-0-4300-00-0000-8100-000000-450-8120 _____
Accountant Initials

_____ _____
Accountant Initials

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: _____ Date: 12/3/21
Director of Fiscal Services: _____ Date: 12/3/21
Asst. Supt., of Business Services: _____ Date: 12/2/31
Superintendent: _____ Date: _____

ADDENDUM NO.2 TO EXTEND CONTRACT C1819105 PROVIDE AND DELIVER CUSTODIAL MATERIALS AND SUPPLIES TO FACILITIES DISTRICT WIDE.

AGREEMENT

This Agreement is entered into by and between the Alum Rock Union Elementary School District, hereinafter referred to as "District", and

Home Depot Pro

hereinafter referred to as "Vendor".

In consideration of the promises and mutual covenants contained herein, it is agreed between the parties as follows:

I
TERM

The term of this Agreement shall be extended for an additional six (6) months from **January 1, 2022 through June 30, 2022**. All indemnification provisions contained in the original Agreement shall survive beyond the expiration of the Agreement.

II
WORK

Vendor shall provide the supplies and items as prescribed and required by the original Independent Contractor Agreement and all documents forming a part of the original package and any other documents signed by both parties relating to the subject matter of the Agreement, all of which are incorporated by reference as though set forth in full herein.

III
TERMINATION

This Agreement may be terminated by the District upon thirty - (30) days' written notice to Vendor. The District's right to terminate under this paragraph shall be in addition to any other rights reserved to the District under this contract.

IV
COMPENSATION

Vendor shall be compensated for the performance of its obligations (not to exceed and additional $90,000 dollars) under this Agreement as specified in the executed Vendor Documents, incorporated herein by reference.

V
METHOD OF PAYMENT

Vendor will be paid upon receipt and acceptance of materials and supplies specified by in the original Independent Contractor Agreement documents. For prompt payment, billing must be accurate in all details, **and invoice must be submitted monthly to Accounts Payable, Alum Rock Union Elementary School District, 2930 Gay Ave. San Jose, CA. 95127.**

VI
CONTRACT DOCUMENTS

This Agreement shall include the terms and conditions specified in the Independent Contractor Agreement, and all documents forming a part of the original package, and any other documents signed by both parties relating to the subject matter of the Agreement, all of which are incorporated by reference as though set forth in full herein.

VII
EXECUTION OF CONTRACT

Issuance of purchase order shall evidence the contractual agreement between the Vendor and the District for subsequent years.

VIII
ENTIRE AGREEMENT

This Agreement and the originally signed contract terms constitute the entire agreement between the parties. There are no understandings, agreements or representations not specified in this Agreement. Vendor, by execution of the Agreement, acknowledges Vendor has read the Agreement, understands it, and agrees to be bound by its terms and conditions.

In Witness Whereof, the parties have caused this Agreement to be executed on their behalf by their fully authorized representatives.

VENDOR	Alum Rock Union Elementary School District
Signature: 	Signature: _____
Name: ARMANDO SOTO	Name: Hilaria Bauer, Ph.D.
Title: Senior Account Rep.	Title: Superintendent
Date: 12-3-21	Date: _____

Company Name: Silicon Valley Security and Patrol (SVSP)

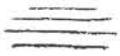
Pro Institutional

Sales Representative: Armando Soto Jr.
Cell Number: 510.846.7648
Rep Email: [illegible]
Customer Service Tel: 800.436.1907
Customer Service Email: [illegible]
Customer Service Fax: 855.329.2532

Contact Name:
Phone:
Email:
Account #
Delivery Address:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

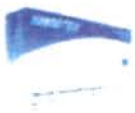
ITEM #	DESCRIPTION	PRICE	QTY	UOM	EXT. PRICE
PAPER PRODUCTS					
REN06130-WB 	Renown® Select Controlled Hard Roll Towels, Natural	$ 34.63		6 rolls/case	$ -
REN06125-WB 	Renown® Select 2-Ply Controlled-Use Bath Tissue, White	$ 39.57		36 rolls/case	$ -
309330283 	White Half-Fold Toilet Seat Covers	$ 28.70		5000/cs	$ -
PAPER PRODUCT DISPENSERS					
REN06160-WB	Renown® Higher Capacity Touch-Free Controlled Towel Dispenser, Black	$ -		Each	$ -
REN05162-WB 	Renown® Side-By-Side 2-Roll Controlled Bath Tissue Dispenser, Black	$ -		Each	$ -
GPT57748	Renown® Plastic Toilet Seat Cover Dispenser, White	$ 7.13		Each	$ -
CLEANER					
SPA0035-04 	Clean By Peroxy Multi Purpose Cleaner With Hydrogen	$ 56.12		4gal/case	$ -
HAND SOAP & DISPENSER					
SBSAZU1LG 	Refresh Azure Foam 1 Liter Cartridge	$ 35.58		6/case	$ -

ITEM #	DESCRIPTION	PRICE	QTY	UOM	EXT. PRICE
SBS91428 	Deb® Restyle Curve Dispenser Black	$ -		Each	$ -
HARD FLOOR CARE					
JWP100935429	High Solids Floor Finish, 5 Gallon Pail	$ 56.70		Case	$ -
REN02842-MS 	Renown® Spray Buff,1 Quart	$ 35.16		Pint	$ -
JWP95115958 	Heavy Duty No-Rinse Floor Stripper, 5 Gallon Pail	$ 43.35		Pail	$ -
CARPET CARE					
JWP903844 	Extraction Cleaner, Concentrate, Gallon	$ 52.40		Gallon	$ -
RCPP26700WGR 	Renown® Carpet Bonnet Pad 19 in. Low Profile With Green Strips	$ 18.98		Each	$ -
DUST MOP SUPPLIES					
RCPQ58000YL	Rubbermaid Commercial Hygen Quick Connect Dusting Frame 36In	$ 30.55		each	$ -
RCPQ75000YL	Rubbermaid Commercial Hygen Quick-Connect Handle, Yellow	$ 9.76		each	$ -
REN03700-IB 	Renown Quick-Connect Aluminum Frame For Microfiber Dusters, 24 In	$ 10.90		each	$ -
RCPM15000WH 	Dust Mop Cut To Length 5 In X 40 Ft White	$ 84.00		Roll	$ -

REN #	DESCRIPTION	PRICE	QTY	UOM	EXT. PRICE
	C.S. Frame Micro Fiber Aluminum 4X24	$ 11.86		each	$ -
	GRAFFITI REMOVER				
REN05081-AM 	Renown® Graffiti And Vandal Mark Remover 16 Oz Aerosol Can	$ 4.92		Each	$ -
	BOWL CLEANER				
REN02839-MS 	Renown® Blue 9 Hcl Acid Bowl, 1 Quart	$ 2.06		Each	$ -
	DEODORANT/ABSORBANT				
REN03020-BD 	Renown® Odor Absorbent And Eater, 16 Oz.	$ 6.36		Each	$ -
	PLUNGERS				
CSM36438600 	Force Cup Plunger	$ 7.65		Each	$ -
	BOTTLE & TRIGGER				
REN05141	Renown® Powered By Butchers® G-Force H2O2 Multi-Surface Cleaner	$ 0.44		Each	$ -
REN05118	Renown® Chemical Resistant Trigger Sprayer, 32 Oz.	$ 0.77		Each	$ -
	LINERS				
TYCLBR2423HB 	Berry Plastics 10 Gal. 0.7 mil 24 in. x 23 in. Black Low-Density Trash Bags (50 per Roll, 10-Rolls per Case)	$ 20.56		500/Case	$ -
TYCLBR4046X5C	40 - 49 Gal. Low-Density Trash Bags, 40 In. X 46 In., 2.0 Mil, Clear	$ 28.89		100/Case	$ -

ITEM #	DESCRIPTION	PRICE	QTY	UNIT	EXT. PRICE
REN63321-CA 	Liner Ld 33Gl 33X39 2Mil Blk 20/S/Cs	$ 19.95		100/Case	$ -
	MOPPING SUPPLIES				
APP15501 	Appeal® Mop Bucket Combination With Side Press, 35-Quart	$ 53.58		Each	$ -
IMPWH6000Y-90 	Wringer Plastic Squeeze 32Oz Yellow	$ 36.86		Each	$ -
REN05147-IB 	Renown® Deluxe Bucket, Gray, 10 Quart, Heavy-Duty	$ 5.47		Each	$ -
311594764	Renown® Side Gate Wet Mop Handle 60 in. Fiberglass	$ 4.54		Each	$ -
312373216 	Renown® Standard Loop-End Blend Wet Mop, Blue, Large	$ 6.53		Each	$ -
312373091	Renown® Standard Loop-End Blend Wet Mop, White, Large	$ 4.86		Each	$ -
APP18030 	Appeal® Blended Finish Mop, Blue/White, 24 Oz	$ 5.03		Each	$ -
	BRUSHES & BROOMS/HANDLES				
REN03943 	Renown® Counter Brush, Black, 8 In	$ 4.58		Each	$ -
CSM4065000 	Flagged Angle Broom, 12 In.	$ 8.97		Each	$ -

ITEM #	DESCRIPTION	PRICE	QTY	UOM	EXT. PRICE
REN03984 	Renown® Palmyra Garage Sweep	$ 10.30		Each	$ -
REN03986-IB	Renown® Threaded Wood Handle With Metal Tip 15/16 In. Diameter 60 In. Long	$ 3.32		Each	$ -
RECEPTACLES					
CON3200GY 	Huskee® 32 Gal. Gray Touchless Round Trash Can	$ 21.57		Each	$ -
BOBB-270 	Bobrick Contura Sanitary Napkin Disposal	$ 28.90		Each	$ -
TOWELS					
HOS537-10	HOSPECO 10 lbs. per Box White Terry Towel Rags	$ 20.24		Box	$ -
309255859 	Knuckle Buster 16 in. x 16 in. Blue Multi-Purpose Microfiber Cloth (12-Pack)	$ 7.80		PK/12	$ -
309255860 	Knuckle Buster 16 in. x 16 in. Green Multi-Purpose Microfiber Cloth (12-Pack)	$ 7.80		PK/12	$ -
SAFETY GLASSES					
202593573	Safety Glasses, Lightweight, Clear Lens	$ 1.25		Each	$ -
WINDOW CLEANING					
UNGGS300	Brass Window Squeegee Complete, 12 In.	$ 12.38		Each	$ -
SPONGES					
REN02120	Renown® Cellulose Utility Sponge Medium Yellow	$ 2.29		Each	$ -

ITEM #	DESCRIPTION	PRICE	NEW	SIZE/UOM	EXT. PRICE
MMM23163 	3M Scotch-Brite Power Sponge Scrub 3000	$ 1.57		Each	$ -
	PADS				
REN02008 	Renown® Stripping Pad 19 In. Hi Pro	$ 4.32		Each	$ -
MMM18066	3M Burnish Pad Topline Speed 3200 20"	$ 6.08		Each	$ -
	GLOVES				
TRANXL400 	Ambitex X-Large Royal Blue Nitrile Powder-Free Select Exam Gloves (10-Case/100-Pack)	$ 17.81		100/bx	$ -
TRANMD400 	Ambitex Medium Royal Blue Nitrile Powder-Free Select Exam Gloves	$ 17.81		100/bx	$ -
	MISC. SUPPLIES				
302468 	Pro Grip Stiff® Putty Knife	$ 3.97		Each	$ -
IMP2600-90 	Lobbymaster® Plastic Lobby Dustpan, Black, 12 In	$ 11.03		Each	$ -
REN02097 	Renown® Swivel Pad Holder	$ 8.83		Each	$ -
MMM05241 	3M™ Doodlebug™ Pad Hi Pro 8550	$ 24.27		10/bx	$ -

ITEM #	DESCRIPTION	PRICE	QTY	UOM	EXT. PRICE
REN05078-AM 	Renown® Odor Counteractant Deodorant 10 Oz. Spring Rain	$ 3.68		Can	$ -
SPA9421 	Clean By Peroxy Dispenser Egap	$ -		Each	$ -
				SUBTOTAL:	$ -

P.O. #: C1819105 **Reqeusted By:** _____ **Date:** _____

Funding: _____ **Location:** _____

Program Manager Signature: _____

10.01

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **APPROVAL OF BOARD MEETING MINUTES FOR THE FOLLOWING DATE:**

- **November 18, 2021, Regular Board Meeting**
- **December 7, 2021, Special Board Meeting**

RECOMMEND APPROVAL

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 16, 2021
Annual Organizational Meeting and Regular Board Meeting

Recommend Approval

10.01
Agenda Placement

Hilaria Bauer
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

DRAFT MINUTES
ALUM ROCK ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES
of the Alum Rock Union School District
Held on Thursday, November 18, 2021, 5:30 p.m. at the Alum Rock Union School
Board Members and Superintendent participated via Zoom Meeting
Meeting #10-21/22

1. OPEN SESSION

1.01 Call to Order/Roll Call/Pledge of Allegiance

President Corina Herrera-Loera welcomed everyone in the audience. President Corina Herrera-Loera called the Board Meeting to order at 5:30 p.m. followed by Roll Call.

Board Members Present:

Corina Herrera-Loera	President
Andrés Quintero	Vice-President
Ernesto Bejarano	Clerk
Linda Chavez	Member
Minh Pham	Member

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Kolvira Chheng	Assistant Superintendent, Business Services
Tereasa Smith	Director, Human Resources
Maribel Carrillo	Sr. Executive Assistant, Superintendent's Office

1. OPEN SESSION *(continued)*

1.01 Call to order/Roll Call/Pledge of Allegiance

President Corina Herrera-Loera said there is a quorum.

President Corina Herrera-Loera opened the meeting by honoring veterans.

1.02 Discussion And/Or Modification(s) of the Agenda

Superintendent Bauer asked that item 6.01 be heard after item 1.03.

2. Special Presentation

2.01 Special Education Board Overview Presentation.

Anthony Colonna, Director of Special Education, gave a Special Education Board Overview presentation.

Public Comments:

1) Dilza Gonzalez thanked Anthony Colonna for the presentation. She asked if the staff had received any training.

2) Adrianne Vane said she is a teacher at Joseph George Middle School. She said the special education teachers do a great job.

6. Superintendent/ Board Business

6.01 Strategic Plan Update

Aaron Price, Glen Price, and Caitlin Vaccarezza presented the Strategic Plan.

Public Comments:

1) Michael Poling said he feels that the district is getting ahead of itself. He said the district needs to take care of its teachers.

2) Adrianne Vane said she liked the presentation. She said teachers had not received a wage increase for the past two years.

3) Tony Nielson said he is a teacher. He said there was a shortage of teachers.

6. Superintendent/ Board Business

4) Jessica Loviti said she is a teacher. She said the infrastructure at schools is falling apart.

5) Shawn Sweiss said he is a teacher at Dorsa. He said the district is claiming they have no money.

3. Public Members Who Wish To Address The Board

3.01 Requests to Address the Board

1) Danielle Ambrosio said she is a 5th-grade teacher at Linda Vista. She said teachers are asking for safe schools.

2) Sandra Rivera said she has asked to have her devices fixed. She commented that this was the second time her devices were not working.

3) Michael Poling said the five-year plan sounds good. He said the turnarounds on experienced teachers are high.

4) Adriane Vane said the cost of living in the Bay Area is high. She said other than Member Minh Pham she has not seen any other Board members at her school.

5) Shawn Sweiss said every time there is a public comment Clerk Ernesto Bejarano turns off his camera.

6) Erica Grant said she wanted to echo some of the comments made. She said the district needs to pay the teachers what they are owed.

7) Dilza Gonzalez said she is a former student of Alum Rock. She said teachers are needed.

8) Ms. Tanner said one of her students could not log in to do an assessment. She said she had placed a work order to have it fixed.

9) Brenda Zendejas said she saw teachers rallying at a gas station to increase wages. She said teachers put themselves in the frontline.

4. Comments and Communications

4.01 Alum Rock Administrator's Association (ARAA)

Joseph Manluco said he is a principal at Ben Painter Elementary. He said there were a lot of activities going on around the district. He said the participation of families testing for COVID 19 is increasing.

4.02 Teamsters

Teamsters President Alan Daurie said that teamsters work collaboratively with the district.

4.03 California School Employee's Association (CSEA)

CSEA President, Sharon Fontaine congratulated Superintendent Bauer for her new grandchild. She thanked Director Tereasa Smith and the management team for the MOU.

4.04 Alum Rock Educator's Association (AREA)

AREA President, Jocelyn Merz congratulated Superintendent Bauer for her grandchild. She said Alum Rock is the only district that did not give their teachers a salary increase. She commented that gas prices are high.

4.05 Superintendent

Superintendent Bauer thanked both Sharon Fontaine and Jocelyn Merz for congratulating her. She thanked Dr. Marty Fenstersheib and his assistant Teresas Chagoya for helping to put on the pediatric vaccination clinics. She also wanted to thank Elizabeth Ruiz and Maria Solis from Santa Clara County. She thanked Jesus Araujo and Ed Villarreal for all their support.

4.06 Board of Trustees/Communications/ Comments

Member Minh Pham congratulated Superintendent Bauer for becoming a grandmother. He said he encourages parents to vaccinate their children.

Vice-President Andrés Quintero said he received an invitation to attend a hate crime roundtable hosted by Attorney General Rob Bonta. He said he attended the Veterans Day Parade.

Clerk Ernesto Bejarano thanked Vice-President Andrés Quintero for the opportunity to speak on behalf of families in the district with one of the City's committees. He said he does not have a problem having his camera on during the Board meetings.

4. Comments and Communications *(continued)*

Member Linda Chavez said she wanted to thank Veterans. She mentioned that Josue Garcia came to the district and shared information regarding job opportunities.

President Corina Herrera-Loera thanked Dr. Rocio Luna, Deputy County Executive, for assisting to provide pediatric vaccination clinics to the district. She mentioned she has gone to visit schools. She said COVID 19 is real in the community and encouraged everyone to get vaccinated.

5. Public Hearing

5.01 Public Hearing. Teamsters Local 150 Initial Bargaining Proposal to Open Negotiations with Alum Rock Union Elementary School District for the period of July 1, 2021-June 30, 2023.

President Corina Herrera-Loera opened the public hearing at 8:35 pm.

Public Comments:

1) Dilza Gonzalez said the vaccination clinics at schools are a sense of relief.

2) Michael Poling said everyone needs to be treated with respect. He said waiting for the teachers contract to be resolved is frustrating.

5.02 Public Hearing. District's Initial Bargaining Proposal to Open Negotiations with the Teamsters 150 for the period of July 1, 2021-June 30, 2023.

President Corina Herrera-Loera opened the public hearing at 8:42 pm.

6. Superintendent/ Board Business

6.02 Discussion/Consideration to Approve Resolution #12-21/22 Authorizing Remote Virtual/Teleconference Meetings of the Board And District Committees Subject to the Brown Act for the Period November 18 – December 17, 2021.

MOTION #01-10 by Clerk Ernesto Bejarano to approve Action Item 6.02 as presented. MOTION #01-10 was seconded by Member Linda Chavez.

Public Comments:

1) Michael Poling said it is scary to be back in person.

6. Superintendent/ Board Business *(continued)*

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Linda Chavez, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera.
Vote: 5/0; Motion Carries

7. Instructional Services

7.01 California Department of Education Expenditure Quarterly Reports, from Aptitud Community Academy at Goss Early Literacy Support Block Grant (ELSB), and Literacy Action Plan.

Superintendent Bauer said this item is for information only.

7.02 Approve California Department of Education Agreement for Child Development Services, at Dual Immersion Preschools, Adelante I and Adelante II.

MOTION #02-10 by Vice-President Andrés Quintero to approve Action Item 7.02 as presented. MOTION #02-10 was seconded by Clerk Ernesto Bejarano.

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Linda Chavez, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera.
Vote: 5/0; Motion Carries

7.03 Addressing the Whole Child- Academic Progress, Interventions and Social Emotional Supports.

Assistant Superintendent Sanchez, Director Barbara Campbell, Director Sandra Garcia, Dr. George Kleidon, Principal on Special Assignment, Dr. Imee Almazan Director, and Dr. Annya Artigas, Coordinator, gave a PowerPoint presentation.

Public Comments:

1) Camille Llanes-Fontanilla thanked everyone who presented. She said not many districts are thinking of the gaps in academic learning.

2) Michael Poling said it was good to see data.

3) Mr. Correra said the district needs to increase the pay of the teachers.

8. Human Resources

8.01 Resignations

8.02 Certificated Substitute Pay Rates Effective November 19, 2021.

8.03 Receive Teamsters Local 150 Initial Bargaining Proposal to Open Negotiations with Alum Rock Union Elementary School District for the period of July 1, 2020 to June 30, 2023.

MOTION #03-10 by Member Minh Pham to approve Action Item 8.03 as presented. MOTION #03-10 was seconded by Vice-President Andrés Quintero.

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Linda Chavez, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera.
Vote: 5/0; Motion Carries

8.04 Adopt the District's Initial Bargaining Proposal to Open Negotiations with Teamsters Local 150 for the period of July 1, 2020 –June 30, 2023.

MOTION #04-10 by Member Minh Pham to approve Action Item 8.04 as presented. MOTION #04-10 was seconded by Member Linda Chavez.

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Linda Chavez, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera.
Vote: 5/0; Motion Carries

9. Contract Extensions Over $100,000

9.01 Approve the Contract Extension with Achievekids for the 2021-2022 SY. This contract is for nonpublic school placement of severely disabled and reside in Alum Rock District.

MOTION #05-10 by Member Minh Pham to approve Action Item 9.01 as presented. MOTION #05-10 was seconded by Member Linda Chavez.

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Linda Chavez, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera.
Vote: 5/0; Motion Carries

9. Contract Extensions Over $100,000 *(continued)*

9.02 Approve the Contract Extension with Beacon Non-Public for the 2021-2022 SY. This contract is for recommended nonpublic of students who are severely disabled and reside Alum Rock District.

MOTION #06-10 by Member Linda Chavez to approve Action Item 9.02 as presented. MOTION #06-10 was seconded by Member Minh Pham.

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Linda Chavez, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera.
Vote: 5/0; Motion Carries

10. CONSENT CALENDAR
There was a consensus from the Board to combine and take action on ***Agenda Items 10.01,10.02, 10.03, 10.04, 10.05, 10.06, 10.07, 10.08 and 10.09.***

- ***Agenda Item 10.01*** *Approve Board Meeting Minutes for the following date: October 14, 2021, Regular Board Meeting and October 26, 2021, Special Board Meeting.*
- ***Agenda Item 10.02*** *Approve Contracts for Professional Firms/Organizations*
- ***Agenda Item 10.03*** *Approve Acceptance of Donations.*
- ***Agenda Item 10.04*** *Approve Acceptance of Vendor & Payroll Warrants.*
- ***Agenda Item 10.05*** *Approve Fundraising Activities.*
- ***Agenda Item 10.06*** *Approval of Annual Developer Fees 2020-2021.*
- ***Agenda Item 10.07*** *Approve Memorandum(s) of Understanding(s).*
- ***Agenda Item 10.08*** *Approve Resolution No. 15-21/22 Inventory Disposal.*
- ***Agenda Item 10.09*** *Approve/Ratify Notices of Employment and Changes of Status/November 18, 2021.*

MOTION #07-10 by Member Member Minh Pham to approve Agenda Items 10.01,10.02,10.03, 10.04, 10.05, 10.06, 10.07, 10.08 and 10.09. as presented. MOTION #07-10 was seconded by Vice-President Andrés Quintero.

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Linda Chavez, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera.
Vote: 5/0; Motion Carries

MOTION #08-10 by Vice-President Andrés Quintero to extend the Board meeting until 11:30pm. MOTION #08-10 was seconded by Member Linda Chavez.

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Linda Chavez, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera.
Vote: 5/0; Motion Carries

11. Closed Session

11.01 Recess to Closed Session

President Corina Herrera-Loera announced the items that would be discussed in Closed Session. There were no public comments from the audience at this time.

12. RECONVENE TO OPEN SESSION

12.01 Report of Action Taken in Closed Session.

The Board reconvened to Open Session at 11:30 p.m. President Corina Herrera-Loera welcomed everyone and said there was a quorum.

President Corina Herrera-Loera reported the following actions that took place in closed session.

Item 11.06 The Board passed with four in favor and one no Member Linda Chavez.

Item 11.07 The Board approved the extension of the contract for the next two years passed with four in favor and one no Member Linda Chavez.

13. Future Board Agenda Requests

13.01 Future Board Agenda Requests

There was none

14. ADJOURNMENT

14.01 President Adjourns the Meeting

President Corina Herrera-Loera adjourned the meeting at 11:45 p.m.

Respectfully submitted,

_____

Ernesto Bejarano, Board Clerk
mc

DRAFT MINUTES
ALUM ROCK ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

MINUTES OF A SPECIAL BOARD MEETING
BOARD OF TRUSTEES
of the Alum Rock Union School District
Held on Tuesday, December 7, 2021, 6:30 p.m. at the Alum Rock Union School
Board Members and Superintendent participated via Zoom Meeting
Meeting #11-21/22

1. OPEN SESSION

1.01 Call to Order / Roll Call/ Pledge of Allegiance

President Corina Herrera-Loera welcomed everyone. President Corina Herrera-Loera called the Board Meeting to order at 6:30 p.m. followed by Roll Call.

Board Members Present:

Corina Herrera-Loera	President
Andrés Quintero	Vice-President
Ernesto Bejarano	Clerk
Linda Chavez	Member
Minh Pham	Member

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Kolvira Chheng	Assistant Superintendent, Business Services
Tereasa Smith	Director, Human Resouces
Maribel Carrillo	Sr. Executive Assistant, Superintendent's Office

1. OPEN SESSION *(continued)*

1.01 Call to Order / Roll Call / Pledge of Allegiance

President Corina Herrera-Loera said there is a quorum.

President Corina Herrera-Loera opened the meeting by honoring all the front-line workers. She said she wanted to commemorate the 80th Anniversary of Pearl Habor.

2. Instructional Services

2.01 Educator Effectiveness Block Grant (EEBG).

Assistant Superintendent Sanchez and Director Barbara Campbell gave a presentation regarding the Educator Effectiveness Block Grant.

3. Business Services

3.01 Approve Contract Amendment for Legal Services with Dannis Woliver Kelley DWK.

MOTION #01-11 by Clerk Ernesto Bejarano to approve Action Item 3.01 as presented. MOTION #01-11 was seconded by Member Minh Pham.

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera. Noes: Member Linda Chavez
Vote: 4/1; Motion Carries

3.02 Facilities Update

Assistant Superintendent Chheng gave a Facilities Update.

4. Superintendent/Board Business

4.01 Approve the District Governance Handbook

President Corina Herrera-Loera said she had some edits for the District Governance Handbook.

Clerk Ernesto Bejarano said he had some edits.

MOTION #02-11 by Member Linda Chavez to accept as is with redlines. MOTION #02-11 was seconded by Vice-President Andrés Quintero.

4. Superintendent/Board Business *(continued)*

Roll call vote: Ayes: Vice-President Andrés Quintero, Member Linda Chavez, Member Minh Pham, Clerk Ernesto Bejarano and President Corina Herrera-Loera.
Vote: 5/0; Motion Carries

5. Adjournment

5.01 President Adjourns the Meeting

President Corina Herrera-Loera adjourned the meeting at 7:27 p.m.

Respectfully submitted,

_____

Clerk Ernesto Bejarano
Board Clerk

10.02

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue
San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

Subject : **CONTRACTS FOR PROFESSIONAL SERVICES – FIRMS/ORGANIZATIONS**

Staff Analysis:
The following contracts for professional services are being presented to the Board of Trustees for review and approval.

Recommendation:
Staff recommends approval of the following contracts for professional services on the attached sheets. Contract details are on file in the Purchasing Office.

Prepared by: Maria J. Martinez M.M. Title: Procurement Manager

Approved by: Kolvira Chheng Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Recommend Approval

10.02

Agenda Placement

Meeting: December 16, 2021

Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District
December 16, 2021 Board Meeting

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

DEPARTMENT	DATE OF SERVICE	CONSULTANT NAME	COST	PURPOSE
Academic Services/ VAPA Danelle Finnen	12/17/21- 06/30/22	Hip Hop For Change	$3,700.00 Per site	Master Contract: Provide an 8-week after school program with activities based in rap/songwriting, DJ/beatmaking, graffiti art, break dancing, history as it relates to social justice and potential career paths. Each program will be twice per week, one hour per day. Funding: General
Child Nutrition Services Denisse Mendez	12/01/21- 12/01/22	Sequoia Union High School District	No Cost	ARUSD participates with the Schools Linked in Commodities (SLIC-CoOP)) for the purchase of obtaining USDA processed end products and Dept. of Defense for fresh fruits and vegetables. As of Dec. 1st SLIC CO-Op has assigned Sequoia Unified High School District as the authority to contact the USDA & Dept. of Defense on behalf of participating districts. Funding: N/A
Maintenance Ed Villarreal	12/17/21- 06/30/22	Rollins, Inc. Dba: Orkin, LLC.	$55,205.00	Provide fumigating for Aptitud at Goss and treat the surrounding exterior grounds for termites. Funding: Restricted
Maintenance Ed Villarreal	12/17/21- 06/30/22	R & R Refrigeration & Air Conditioning, Inc.	$17,861.20	Remove and replace the refrigeration equipment for the walk-in cooler at Renaissance Academy at Fischer. Funding: Restricted
Maintenance Ed Villarreal	11/01/21- 11/30/21	R & S Erection of Santa Clara County, Inc.	$358.00	Provide diagnostic and repair of District Office MOT yard gates. Funding: Restricted
Maintenance Ed Villarreal	12/17/21- 06/30/22	Selway Construction, Inc.	$7,875.00	Replacement of the Double Gate at Lyndale Elementary School. Funding: Restricted

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Maintenance Ed Villarreal	01/01/22- 06/30/22	Silicon Valley Security & Patrol	$45,000.00	Contract Extension: CO 226097, Board approved August 12, 2021. Original contract amount: $45,000.00 New contract amount: $90,000.00 Addendum No. 1 to extend the contract to provide services for all ARUSD facilities. Provide overnight security patrol seven days a week 9pm-6pm. Including weekends and holidays for the 2021-2022 SY. Funding: General
Student Services Norma Flores	07/01/21- 06/30/24	The City Peace Project	$30,000.00 NTE per site	Multi-year Service Contract Extension: The provider will now offer support based on individual school needs. Staff will support students having difficulties adjusting, offer academic support and tutoring and offer support to students having behavioral issues. The provider will also offer their SEL program which will help empower students to thrive in school and community. Funding: General

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: VAPA (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: Danelle Finnen

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: Hip Hop For Change

   Address: 515 A 55th Street City: Oakland State: CA Zip: 94609

   Phone: (415) 368 - 5039 Email Address: unlearn@hiphopforchange.org

   SSN: _____ or Fed I.D. #: 46-3005727

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date Dec. 17th 2021 end date June 30th 2022

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Hip Hop Change will provide an 8-week after school program with activities based in rap/songwriting, DJ/beatmaking, graffiti art, break dancing, history as it relates to social justice and potential career paths. Each program will twice per week, one hour per day.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $3,700 (describe rate agreement) $3,200 - 1 lead instructor and one guest artist/ day $500 - administrative costs 010-0000-0- 5815-00-1110-4000-000000-310-5260

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

HipHopForChange, Inc. Presents:
THE MC: Theory Of Hip Hop, Evolution, Music and Culture

Proposal For: Alum Rock School District

Purpose: To provide a 8 week after school enrichment programs within Alum Rock School District twice a week with activities based on the following Hip-Hop artistic elements:

- Rap/Songwriting
  Students will be introduced to literary concepts and key aspects of Hip Hop songwriting and performing such as song structure, poetic tools, stage presence and more. Students will review both old school and contemporary rap to discuss techniques, style and narratives.
- DJ/Beat Making
  Students will use the online program BandLab to learn how to program drums, use melodic loops and other sounds to compose original Hip Hop beats.
- Graffiti Art
  Students will be introduced to the various styles and techniques of Graffiti art, as well as learning it's history and influence on pop culture all the while creating their own graffiti piece with messages that empower the community.
- Break Dancing
  Students will learn introductory break dance moves and concepts related to break dance culture, community and choreography.

Students will also be introduced to the history of Hip Hop as it relates to social justice and the global impact of Hip Hop culture as well as potential career pathways within the Hip Hop industry. Each program will run for 1 hour during the designated program times. The program will culminate in a final performance or recap video.

1 Lead Instructors per site w/Rotating Guest Artists-$200/Day 2 weekly Classes (8 weeks total/Site) 16 Total Sessions per site	$3,200
Administration Cost	$500

Total: $3,700



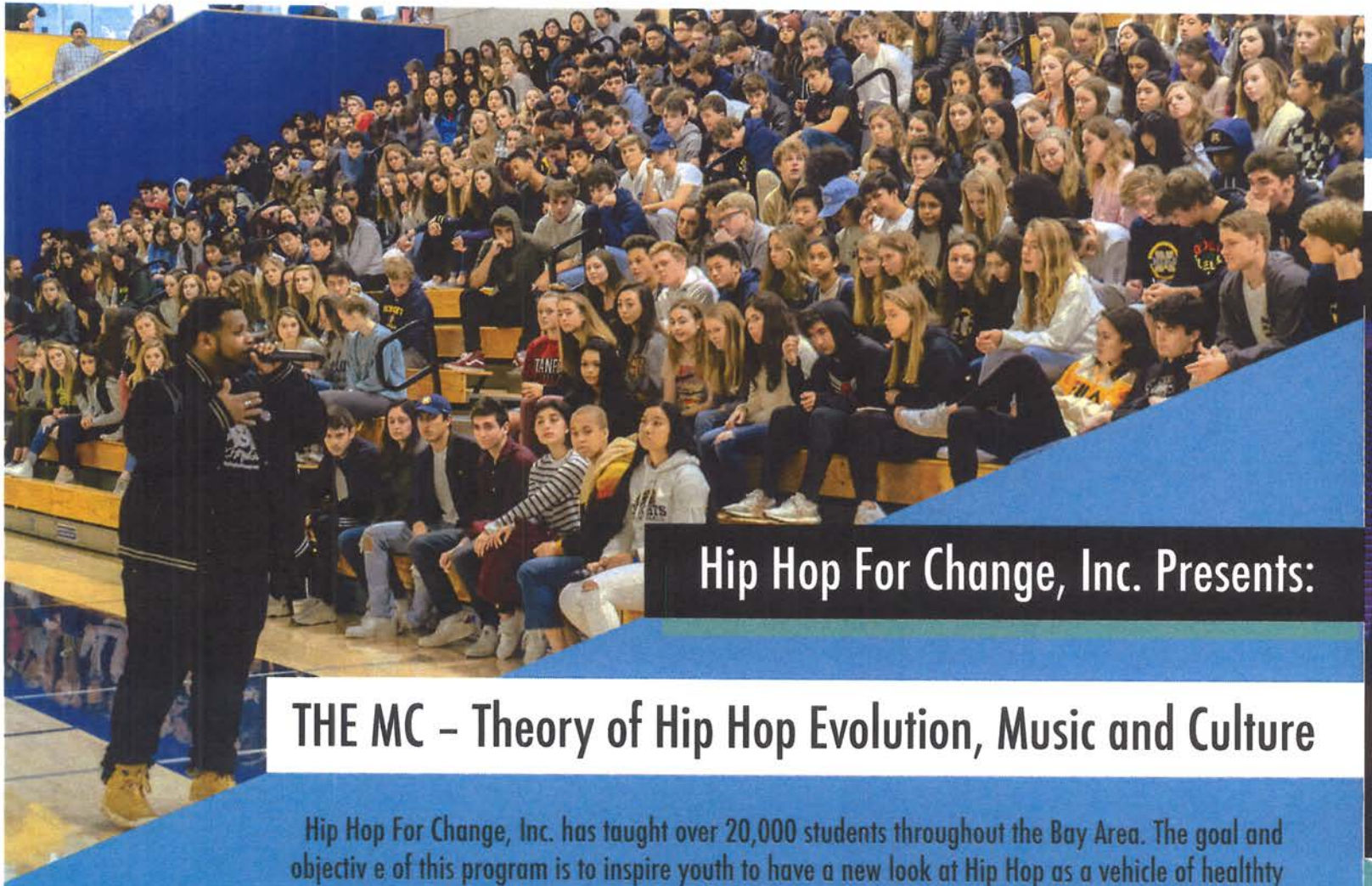
HIP HOP FOR CHANGE, INC.

WE ARE THE EDUCATIONAL REVOLUTION YOUR STUDENTS ARE WATING FOR.

HIP HOP FOR CHANGE

WWW.HIPHOPFORCHANGE.ORG

HIP HOP EDUCATIONAL PROGRAMMING



Hip Hop For Change, Inc. Presents:

THE MC – Theory of Hip Hop Evolution, Music and Culture

Hip Hop For Change, Inc. has taught over 20,000 students throughout the Bay Area. The goal and objectiv e of this program is to inspire youth to have a new look at Hip Hop as a vehicle of healthty self-expression and community empowerment, as a means to navigate through the challenges that American youth are facing.

Our education department is dedicated to providing experiential curriculum that details the history, culture and societal impact of Hip Hop through engaging and interactive presentations. We deeply believe in the power of Hip Hop educational pedagogy as a means to introduce young people to historic and current examples of this culture's founding principles: peace, love, unity and having fun!

The 5 Elements of Hip Hop Culture:

Meet the lead in

HH4C EDUCATION D



PROVIDING EDUC

Program support

Hip Hop For Change, Inc
Hip- Hop culture. For thi

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Denisse Mendez, Director Child Nutrition Services

Re: Assignment of USDA Donated Food Direct Diversion Project

Date: December 16, 2021

Background:
On June 24, 2021, Board of Trustees approved the participation of year 4 with the Schools Linked in Commodities (SLIC) Cooperative for the purchase of obtaining U.S. Department of Agriculture (USDA) Brown Box, USDA Processed End Products, and Department of Defense Fresh Fruits and Vegetables. At the time Cabrillo Unified School District was the lead school district and Gold Star Foods as the awarded vendor.

Summary:
Starting December 1, 2021, SLIC Co-op will re-assign USDA foods and supplies to Sequoia Union High School District as the lead district instead of Cabrillo Unified School District. As a member of the SLIC Co-op, Alum Rock, agrees to assign Sequoia Unified High School District the authority to contract USDA Processed End Products, and Department of Defense Fresh Fruits and Vegetables on behalf of Alum Rock.

Recommendation:
Staff recommends that the Board of Trustees approve the assignment of USDA Donated Food Direct Diversion Project to Sequoia Union High School District as the lead district on behalf of Alum Rock Union School District member of the SLIC Co-op.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: **BUSINESS OFFICE** Contract No.: _____ Vendor No.: _____

Child Nutrition Services (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☑ **MOU** (negotiated Agreement) ☐ **MASTER CONTRACT PARTICIPATION**

☐ Exhibit B & C (Fingerprinting and TB Test) ☐ Scope of Work/Proposal

Note: All Contracts over $5,000 require pre-approval.

** Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.*

Name of Individual/Company: Sequoia Union High School District Attn Sandra Jonaidi

Address: 300 James Avenue City: Redwood City State: CA Zip: 94062

Phone: (650 306-1755 Ext 22591 Email: sjonaidi@seq.org

SSN: _____ Fed I.D. #: _____

CONTRACT TERM: start dates December 1, 2021 end date December 1, 2022

CONTRACTOR'S OBLIGATION:
Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

SLIC Co-Op assigned Lead district (Sequoia Union High School District) will receive authority to contract for USDA Foods and supplies as determined by CDE, Nutrition Services Division/ or Food Distribution Program on behalf of Alum Rock as a member of the SLIC Co-Op.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

____ a. **Fee Rate:** $_____ per _____ Not to Exceed _____ of services.

____ b. **Other:** $_____

Describe other related costs: _____

BUDGET CODE: 130-5310-0-5300-00-0000-3700-000000-480-3700 _____ 12/2/21
Accountant Initials

_____ _____
Accountant Initials

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: _____ Date: 12/02/21

Director of Fiscal Services: _____ Date: 12/2/21

Asst. Supt., of Business Services: _____ Date: 12/2/21

Superintendent: _____ Date: _____

Sequoia Union High School District
300 James Ave.
Redwood City, CA 94062

ASSIGNMENT OF USDA DONATED FOOD DIRECT DIVERSION PROJECT

This assignment is entered into between Alum Rock USD, hereafter known as "Assignor", and Sequoia Union High School District, hereafter known as "Assignee" to commence services December 1, 2021.

Whereas, Assignor is a member district of the Schools Linked in Commodities (SLIC) Cooperative (SLIC Coop), a California cooperative consisting of public school agencies for the purchase of obtaining and purchasing U.S. Department of Agriculture (USDA) Brown Box, USDA Processed End Products, Department of Defense Fresh Fruits and Vegetables, and Commercially Purchased food and supplies for school food services.

Whereas, Assignee is the Lead Agency of the SLIC Coop with authority to contract for USDA Foods, other food and supplies, and related services on behalf of the Assignor.

It is hereby agreed that:

1. Both parties must remain eligible for receipt of USDA Foods as determined by the California Department of Education, Nutrition Services Division and/or Food Distribution Program.

2. Entitlement and "Fair Share" of USDA Foods, based on the Total Lunches Served (TLS) of the Assignor is assigned to the Assignee.

3. The Assignee is responsible for the ordering, receiving, storing and distribution of all USDA Foods, on behalf of the Assignor, according to policy and regulation as designated by the California Department of Education, Nutrition Services Division and/or Food Distribution Program and the USDA.

4. The Assignee will maintain an inventory management system that will fully account for all USDA Foods, including all raw/processed food items in storage as well as raw and processed product held at a Processor.

5. The Assignee is responsible for paying all FDS charges for the shipping and handling, as well as other associated costs incurred for the delivery or diversion of USDA Donated Commodity Foods, on behalf of the Assignor.

6. Should a loss of USDA Foods being held for the Assignor occur due to, but not limited to, theft, spoilage, etc., the Assignee is responsible to the California Department of Education, California Department of Education, Nutrition Services Division and/or Food Distribution Program and the USDA for the Fair Market Value of the food item(s). The reporting of any loss of USDA Foods is the responsibility of the Assignee.

7. Both the Assignee and Assignor are responsible for compliance with the USDA California Department of Education, Nutrition Services Division and/or Food Distribution Programs policies and regulations.

8. The Assignment will remain in force until such time as written notification has been given by the Assignor to the Assignee and the California Department of Education Food Distribution Program of its termination.

9. The Fee to be paid by Assignor directly to the Lead Agency/Assignee will be:

   a. Annual Membership fee

   b. Fee per case/unit of USDA Foods accepted and delivered for State Administrative Fee. (Assignee collects and pays this fee to the California Department of Education, Food Distribution Program on behalf of the member district).

   c. Fee per case/unit of DOD Fruits and Vegetables accepted and delivered for State Administrative Fee. (Assignee collects and pays this fee to the California Department of Education, Food Distribution Program on behalf of the member district).

ASSIGNOR	ASSIGNEE/LEAD DISTRICT
District: Alum Rock Union School District	Sequoia Union High School District
_____	_____
Signature	Signature
Hilaria Bauer, Ph.D.	Sandra K. Jonaidi
Printed Name	Printed Name
Superintendent	Director
Printed Title	Printed Title
DATE: _____	DATE: _____

Important Contact Information:

Lead District
Sequoia Union High School District
300 James Avenue
Redwood City, CA 94062
Attn: Sandra Jonaidi
Phone: 650-306-1755 extension 22591
Cel: 408-799-6325
Fax: 650-306-8896

SLIC Coop Administrator/Distributor
Gold Star Foods
3781 E. Airport Dr.
Ontario, CA 91761
Attn: Stephanie Ewing
Phone: 530-295-7055
Fax: 909-843-9639

California Department of Education
Food Distribution Program
1430 N Street, Suite 4503
Sacramento, CA 95814
Attn: Marina Soto
Phone: 916-324-0577
Fax: 916-327-4004

ALUM ROCK
UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Director of Maintenance, Operation, and Transportation

Re: Contract Approval- Rollins, Inc., dba: Orkin LLC, 2021-2022

Date: November 19, 2021

Summary:
Rollins, Inc. dba: Orkin LLC will be fumigating Aptitud @ Goss and treating the surrounding exterior grounds for termites. All buildings at the site will be completely tented during the fumigation process.

Recommendation:
Staff recommends that the Board of Trustees approve the contract with Rollins, Inc. dba: Orkin LLC in the amount of $55,205.00 for the 2021-2022 fiscal year.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: M.O.T.-Maintenance (School/Dept.) VENDOR NO. 300218

Submitted by: Ed Villarreal

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: Rollins, Inc. dba: Orkin LLC

   Address: 2170 Piedmont Road, NE City: Atlanta State: GA Zip: 30324

   Phone: (559) 250-4628 Email Address: _____

   SSN: _____ or Fed I.D. #: _____

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date December 17, 2021 end date June 30, 2022

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Provide fumigating at Aptitud at Goss and treating the surrounding exterior grounds for termites.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $ 55,205.00 (NTE) (describe rate agreement) _____

5. **BUDGET CODE:**

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	BUS. OFC Initials
050	8150	0	5815	00	0000	8100	000000	450	8130	$55,205.00	M.B. 11/19/21

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

THIS AGREEMENT PROVIDES FOR RETREATMENT OF THE INFESTED AREA OF THE COVERED STRUCTURE(S) IN THE EVENT THAT SUBTERRANEAN TERMITES RE-INFEST THE COVERED STRUCTURE(S), BUT THIS AGREEMENT DOES NOT PROVIDE FOR THE REPAIR OF DAMAGE CAUSED BY SUBTERRANEAN TERMITES.

Orkin Pest Control

GRID #

COMMERCIAL
SUBTERRANEAN TERMITE RETREATMENT AGREEMENT

(Does Not Cover Formosan Termites)

THIS AGREEMENT IS CONTINGENT UPON THE APPROVAL AND SIGNATURE OF THE ORKIN BRANCH MANAGER, WHO HAS SOLE AUTHORITY TO EXECUTE IT ON BEHALF OF ORKIN.

Aptitude Community Academy At Goss — Customer
10/4/21 — Date
2475 Van Winkle Ln — Street Address (Treated Premises)
San Jose — City
California — State
95116 — Zip Code
(408) 928-7656 — Home Phone
(408) 928-7656 — Work Phone
County Name Santa Clara — Is this within the City Limits? ■ Yes ☐ No
Structure to be treated Commercial

METHOD OF PAYMENT:
- ☐ FINANCED - See Separate Finance Agreement
- ☐ CASH
- ■ CHECK # Invoiced
- ☐ COMPLETE EASY PAYMENT FORM - Unpaid Balance Must Be Financed or Due Upon Completion

Aptitude Community Academy At Goss — Billing Name (if different)
2475 Van Winkle Ln — Billing Address (if different)
San Jose — City
California — State
95116 — Zip Code

1. Service Purchased:		
a. Initial Treatment Cost	$ 15,605.00	
b. Minus Adjustments	($ 0.00)	
c. Additional Renewal for ____ Years	$ 0.00	
Subtotal (sum a - b + c)		$ 15,605.00
2. Other Items:		
a. Sales Taxes	$ 0.00	
b. Other Fees	$ 0	
Subtotal (sum a + b)		$ 0
3. TOTAL: Price (sum 1 + 2)		$ 15,605.00
4. LESS: Down Payment		($ 0.00)
5. Unpaid Balance (3 minus 4)		$ 15,605.00

Orkin shall treat Customer's structure for Subterranean termites using the treatment specified in the Treatment Report. Customer shall receive the following Service after the initial treatment is performed.

5-YEAR RENEWABLE SUBTERRANEAN TERMITE RETREATMENT SERVICE ("Service") (5C): Orkin will retreat the structure for Subterranean termites at no cost to Customer if a live infestation of Subterranean termites is found and all payments including annual renewal payments are current. **This limited Service will expire one (1) year from the date of the original treatment, unless it is timely renewed by the Customer. This retreatment Service may be renewed from year to year for a period which shall not exceed five (5) years from the date of the original treatment.** This retreatment Service does not cover any damage to the structure or its contents. Customer agrees to maintain the treated structure free from any condition conducive to termite infestation, including but not limited to moisture, roof leaks, improper ventilation or faulty plumbing. Customer agrees to keep conducive conditions such as foam insulation, stucco construction, expanded polystyrene or Styrofoam molded foundation systems, and siding (including vinyl, wood, and metal), which permit hidden termite entry, from contact with the ground, mulch or other protective ground covering. Customer agrees to keep conducive conditions such as firewood, trash, lumber, wood, mulch, and protective ground covering which permit hidden termite entry, from contact with the structure. The existence of any of these conducive conditions shall void the retreatment Service. In the event the premises are structurally modified or altered, or if soil is removed or added around the foundation, Customer will notify Orkin in writing prior to such addition or alteration and will purchase the additional treatment required by the changes. Failure to do so will void the retreatment Service. ORKIN IS PERFORMING A SERVICE AND EXPRESSLY DISCLAIMS ANY GUARANTEE OF ANY KIND, WHETHER EXPRESS OR IMPLIED FOR ANY INJURY OR DAMAGE RELATED TO THE SERVICE PERFORMED. CUSTOMER EXPRESSLY RELEASES ORKIN FROM ANY CLAIMS FOR TERMITE DAMAGE OR REPAIR.

CUSTOMER'S OBLIGATIONS TO MAINTAIN RETREATMENT SERVICE: Customer agrees to maintain the treated structure free from the conducive conditions and in accordance with the terms of the paragraph above. Customer is required to identify and correct, at Customer's expense, all conducive conditions identified at the structure occurring after the execution of this Agreement. This responsibility rests exclusively with Customer, not with Orkin. Customer shall make the premises and structure available to Orkin for inspections and treatments as Orkin deems necessary, which may include the removal of floor covering, wall covering and fixtures. Failure to honor the requirements to maintain the structure or to allow Orkin access for inspections or treatments, as appropriate, will void the retreatment Service.

Customer expressly waives and releases Orkin from any liability for any claim or damages to the structure or contents, including treatment or retreatment, caused by an infestation of Wood Destroying Fungi, Formosan Termites, Boring Beetles, or any other Wood Destroying Insects. Customer also waives and releases Orkin from liability for any claim or injuries, damages, or losses of whatever nature or type related to mold or fungal growth.

LIMITATION OF LIABILITY: Customer acknowledges that Orkin is performing a service and waives any claims for personal or property damages related to the service Orkin performs, except for any damage to the structure caused by Orkin in the performance of its services. Customer agrees that Orkin shall not be responsible for any damage to the premises while treating the structure, including any damage to shrubs, trees or plants. When performing the treatment, it may be necessary for Customer to remove floor coverings, floors, excavate crawl spaces and provide access to walls, ceilings or floors. Customer shall be responsible for the cost of dismantling and reconstructing any item that is required to provide adequate access for treatment. Customer expressly waives any claim in any lawsuit, arbitration or legal proceeding against Orkin for breach of Agreement, negligence, other tort, or violation of any statute, rule or regulation, for loss of use, diminution of value, business interruption, economic, compensatory, or incidental or consequential damages of any kind, or any exemplary, treble, liquidated or any type of punitive damages. Customer agrees that under no circumstances shall Orkin be liable for any amount greater than the amount paid by the Customer to Orkin for the termite service to be performed, except for any damage to the structure caused by Orkin in the performance of its services.

RENEWAL: To maintain the Service, Customer shall timely pay an annual renewal fee. The annual renewal will be $ 2497.00 . Thereafter, Orkin shall have the right to increase the annual renewal fee by an amount not to exceed the consumer price index or by an amount not to exceed ten percent (10%), whichever is greater. This annual right to renew expires five (5) years from the date of initial treatment. If Orkin does not increase the Annual Renewal Payment in any one or more years, at any subsequent increase Orkin may cumulatively include any amount it would have been permitted to increase in that prior year or period of years.

REINSPECTION: Orkin shall reinspect the treated structure as deemed necessary by Orkin or once a year, if requested by Customer. An annual inspection will be made by Orkin if required by applicable state law or regulations. Any reinspection is separate from and independent of Customer's obligation to pay the annual renewal.

I HAVE READ THE FRONT AND BACK OF THIS AGREEMENT AND AGREE TO ITS TERMS.

Rodney L. Jones — Inspector Name (PRINT)
530777 — Employee ID # or Certification #
(510) 229-7557 — Branch Telephone Number
THIS AGREEMENT IS NOT VALID UNTIL APPROVED BY THE BRANCH MANAGER
Branch Manager's Signature
10/4/21 — Date

5130 Fulton Dr Ste A — Branch Street Address
Hayward — City
CA
94534
Customer Email: evillarreal@[illegible]usd.org
Customer's Signature
10/4/21 — Date

218596 REV. 2/2010

LOCATION

LIMITED ASSIGNABILITY: This Agreement is assignable as a retreat only Agreement to the new owner of the property under the following conditions: (a) the new owner presents the Orkin branch office written notice requesting that the Agreement be assigned; (b) Orkin conducts an inspection of the property, the results of which are satisfactory to Orkin; (c) Orkin consents in writing to the assignment of the Agreement; and (d) the new owner pays a transfer fee.

CHEMICAL INFORMATION WARNING: Customer shall notify all persons on the premises that Orkin will be applying pesticides or termiticides in and around the premises, and that virtually all pesticides and termiticides have some odor which may be present for a short time after application. If Customer knows of any person on the premises who believes they have a sensitivity to pesticides or termiticides or who has a medical condition affected by pesticides or termiticides, then Customer shall so notify Orkin in writing. At Customer's request, Orkin will provide information about the chemicals to be used in treating the premises.

ENTIRE AGREEMENT: This Agreement and the attached Treatment Report shall be the entire Agreement between Customer and Orkin. No other agreements, understandings or representations, whether written or oral, with respect to the Agreement shall be binding as they shall be merged into and superseded by this Agreement. Customer warrants and acknowledges that Customer has not relied on or been induced by any other agreements, understandings or representations, whether written or oral, in signing this Agreement. The terms of the Agreement stated herein may not be amended or altered unless a written change is approved and signed by a Corporate Officer of Orkin. No other employees or agents of Orkin have authority to amend or alter any part of this Agreement. If any provision or portion thereof, of this Agreement is found to be invalid or unenforceable, it shall not affect the validity or enforceability of any other part of this Agreement.

FORCE MAJEURE (Circumstances beyond Orkin's control): Orkin's obligations under this Agreement shall be cancelled if Orkin cannot perform its responsibilities due to Acts of God, including, earthquakes, storms, fires, floods, or because of a material change in circumstances including but not limited to acts of war, inaccessibility of the property, strikes, unavailability of termiticide, baits or other supplies from ordinary sources.

TERMINATION BY ORKIN: Orkin may terminate this Agreement, without notice, if the obligations set forth in this Agreement are not met by Customer, or in the event of a change in state or federal law or regulation that materially affects Orkin's obligations under this Agreement.

I HAVE READ THE FRONT AND BACK OF THIS AGREEMENT AND AGREE TO ITS TERMS.

_____ BRANCH MANAGER'S INITIALS

_____ CUSTOMER'S INITIALS

THIS AGREEMENT PROVIDES FOR RETREATMENT OF THE INFESTED AREA OF THE COVERED STRUCTURE(S) IN THE EVENT THAT DRYWOOD TERMITES REINFEST THE COVERED STRUCTURE(S), BUT THIS AGREEMENT DOES NOT PROVIDE FOR THE REPAIR OF DAMAGE CAUSED BY DRYWOOD TERMITES.

GRID #

Orkin Services of California, Inc.

COMMERCIAL
CALIFORNIA DRYWOOD TERMITE RETREATMENT FUMIGATION AGREEMENT
(Does Not Cover Subterranean or Formosan Termites)

THIS AGREEMENT IS CONTINGENT UPON THE APPROVAL AND SIGNATURE OF THE ORKIN BRANCH MANAGER, WHO HAS SOLE AUTHORITY TO EXECUTE IT ON BEHALF OF ORKIN.

Orkin shall fumigate and treat Customer's structure for Drywood Termites using the treatment specified in the Treatment Report, per Inspection Report Number _____.

Customer shall receive the following Service after the initial treatment is performed.

LIMITED 5-YEAR RENEWABLE DRYWOOD TERMITE RETREATMENT SERVICE ("Service") (CO): Orkin will retreat the structure for any live reinfestation of Drywood Termites at no cost to Customer during the Service period if an infestation of Drywood Termites is found and all payments including annual renewal payments are current. **The Service will expire one (1) year from the date of the original treatment, unless it is renewed by the Customer. The Service may be renewed from year to year for a period which shall not exceed five (5) years from the date of the original treatment.** This Service does not cover any damage to the structure or contents. Customer agrees to maintain the treated structure free from any condition conducive to termite infestation which includes spray foam insulation. Customer agrees to eliminate organic matter from roof and gutters, and to caulk, paint and screen the structure as needed to prevent Drywood Termite infestation. The existence of any of these conditions or the failure by the Customer to make the repairs or to take the actions set out above shall void the retreatment Service. In the event the structure is structurally modified or altered (to include installation of spray foam insulation), the retreatment Service is void. ORKIN IS PERFORMING A SERVICE AND EXPRESSLY DISCLAIMS ANY GUARANTEE OF ANY KIND, WHETHER EXPRESS OR IMPLIED FOR ANY INJURY OR DAMAGE RELATED TO THE SERVICE PERFORMED. CUSTOMER EXPRESSLY RELEASES ORKIN FROM ANY CLAIMS FOR TERMITE DAMAGE OR REPAIR. Orkin assumes no responsibility for roof damage or shrub damage that occurs during the fumigation procedure unless caused by the sole negligence of Orkin. Orkin is not responsible for vandalism, theft or breaking and entering and any resulting personal or property damage during the fumigation and aeration procedure.

CUSTOMER'S OBLIGATIONS TO MAINTAIN RETREATMENT SERVICE: Customer agrees to maintain caulking, painting and screening of the treated structure as needed to prevent Drywood Termite infestation. Customer agrees to maintain the treated structure free from conditions conducive and in accordance with the terms of the paragraph above. Customer is required to identify and correct, at Customer's expense, all conditions conducive (to include spray foam insulation) identified at the structure occurring after the execution of this Agreement. This responsibility rests exclusively with Customer, not with Orkin. Failure to honor the requirements to maintain the structure or to allow Orkin access for inspections or treatments, as appropriate, will void the Service.

OTHER INFESTATIONS: Customer waives and releases Orkin from any liability for any claim or damages to the structure or its contents caused by an infestation of Wood Destroying Fungi, Formosan Termites, Subterranean Termites, Boring Beetles, or any other Wood Destroying Insects. Customer also waives and releases Orkin from liability for any claim or injuries, damages, or losses of whatever nature or type related to mold or fungal growth.

RENEWAL: To maintain the Service, Customer shall pay an annual renewal fee of 4,752.00 . The annual renewal will not increase for three (3) years after initial treatment. Thereafter Orkin may increase the renewal fee each year by an amount not to exceed ten percent (10%). If Orkin does not increase the Annual Renewal Payment in any one or more years, at any subsequent increase Orkin may cumulatively include any amount it would have been permitted to increase in that prior year or period of years.

REINSPECTION: Orkin shall reinspect the treated structure once annually or at Customer's request, and it shall be a full or limited inspection as required by California law. No failure on Customer's part to request a reinspection shall affect Customer's rights under this Agreement. Customer agrees to make the structure available for Orkin's reinspection.

LIMITATION OF LIABILITY: Customer acknowledges that Orkin is performing a service and waives any claims for personal or property damages related to the service Orkin performs. Customer agrees that Orkin shall not be responsible for any damage to the premises while treating the structure, including any damage to shrubs, trees or plants. When performing the treatment, it may be necessary for Customer to remove floor coverings, floors, excavate crawl spaces and provide access to walls, ceilings or floors. Customer shall be responsible for the cost of dismantling and reconstructing any item that is required to provide adequate access for treatment. Customer expressly waives any claims in any lawsuit, arbitration or legal proceeding against Orkin for breach of contract, negligence, other tort, or violation of any statute, rule or regulation, for loss of use, diminution of value, business interruption, economic, compensatory, or incidental or consequential damages of any kind, or any exemplary, treble, liquidated or any type of punitive damages. Customer agrees that under no circumstances shall Orkin be liable for any amount greater than the amount paid by the Customer to Orkin for the termite service to be performed. **Nothing in this Agreement shall be construed as depriving the Customer of remedies available under applicable state consumer protection laws.**

TERMINATION BY ORKIN: Orkin may terminate this Agreement, without notice, if the obligations set forth in this Agreement are not met by Customer, or in the event of a change in state or federal law or regulation that materially affects Orkin's obligations under this Agreement.

I HAVE READ THE FRONT AND BACK OF THIS AGREEMENT AND AGREE TO ITS TERMS.

Aptitude Community Academy At Goss — Customer
10/4/21 — Date
2475 Van Winkle Ln — Street Address (Treated Premises)
San Jose — City
California — State
95116 — Zip Code
(408) 928-7656 — Home Phone
(408) 928-7656 — Work Phone
County Name Santa Clara — Is this within the City Limits? ☑ Yes ☐ No
Aptitude Community Academy At Goss — Billing Name (if different)
2475 Van Winkle Ln — Billing Address (if different)
San Jose — City
California — State
95116 — Zip Code
Type of structure to be treated Commercial

1. Service Purchased:		
a. Initial Treatment Cost	$ 39,600.00	
b. Minus Adjustments	($ 0.00)	
c. Additional Renewal for _____ Years	$ 0.00	
Subtotal (sum a - b + c)		$ 39,600.00
2. Other Items:		
a. Sales Taxes	$ 0.00	
b. Other Fees	$ 0.00	
Subtotal (sum a + b)		$ 0
3. TOTAL: Price (sum 1 + 2)		$ 39,600.00
4. LESS: Down Payment		($ 0.00)
5. Unpaid Balance of Price (3 minus 4)		$ 39,600.00

METHOD OF PAYMENT:
☐ FINANCED - See Separate Finance Agreement
☐ CASH
☑ CHECK # Invoiced
☐ PAYMENT OPTION FORM - Unpaid Balance Must Be Financed or Due Upon Completion

Rodney L. Jones — Inspector Name (PRINT)
530777 — Employee ID # or Certification #
(510) 229-7557 — Branch Telephone Number
THIS AGREEMENT IS NOT VALID UNTIL APPROVED BY THE BRANCH MANAGER

5130 Fulton Dr Ste A — Branch Street Address
Hayward — City
CA — State
94534 — Zip Code

Branch Manager's Signature
10/4/21 — Date

Customer's Signature
10/4/21 — Date

Customer Email: evillarreal@arusd.org

218950POD REV. 8/2018 California

LOCATION

LIMITED ASSIGNABILITY: This Agreement is assignable as a **retreat only** Agreement to the new owner of the property under the following conditions: (a) the new owner presents the Orkin branch office written notice requesting that the Agreement be assigned; (b) Orkin conducts an inspection of the property, the results of which are satisfactory to Orkin; (c) Orkin consents in writing to the assignment of the Agreement; and (d) the new owner pays a transfer fee.

CHEMICAL INFORMATION WARNING: Customer shall notify all persons on the premises that Orkin will be applying pesticides or termiticides in and around the premises, and that virtually all pesticides and termiticides have some odor which may be present for a short time after application. If Customer knows of any person on the premises who believes they have a sensitivity to pesticides or termiticides or who has a medical condition affected by pesticides or termiticides, then Customer shall so notify Orkin in writing. At Customer's request, Orkin will provide information about the chemicals to be used in treating the premises.

ENTIRE AGREEMENT: This Agreement and the attached Treatment Report shall be the entire Agreement between Customer and Orkin. No other agreements, understandings or representations, whether written or oral, with respect to the Agreement shall be binding as they shall be merged into and superseded by this Agreement. Customer warrants and acknowledges that Customer has not relied on or been induced by any other agreements, understandings or representations, whether written or oral, in signing this Agreement. The terms of the Agreement stated herein may not be amended or altered unless a written change is approved and signed by a Corporate Officer of Orkin. No other employees or agents of Orkin have authority to amend or alter any part of this Agreement. If any provision or portion thereof, of this Agreement is found to be invalid or unenforceable, it shall not affect the validity or enforceability of any other part of this Agreement.

FORCE MAJEURE (Circumstances beyond Orkin's control): Orkin's obligations under this Agreement shall be cancelled if Orkin cannot perform its responsibilities due to Acts of God, including, earthquakes, storms, fires, floods, or because of a material change in circumstances including but not limited to acts of war, inaccessibility of the property, strikes, unavailability of termiticide, baits or other supplies from ordinary sources.

I HAVE READ THE FRONT AND BACK OF THIS AGREEMENT AND AGREE TO ITS TERMS.

_____ BRANCH MANAGER'S INITIALS

_____ CUSTOMER'S INITIALS

State Required Notification

1. A control service agreement is one in which a licensee conducts over a period of time regular inspections and other activities related to the control or eradication of wood destroying pests or organisms. This inspection will be made annually at the customer's request or when Orkin believes it necessary, by a Branch 3 licensee and will be limited to areas of the original infestation, except that a full inspection and a report will be made at least once every three years that the agreement is in effect. In the event of a re-infestation of the pest originally treated for, re-treatment will be limited to the area of active infestation and will include one or more of the following techniques:
    a. fumigation
    b. wood injection
    c. wood application
    d. void treatment / wall foaming
    e. other Orkin approved method _____
2. Re-treatment will be completed within six months of discovery, unless otherwise agreed to in writing by both parties. The guarantee is limited to the building and premises shown on the attached Inspection/Treating Report as it now exists.
3. The wood destroying pests and organisms in this state that are likely to lead to infestation or infection are the following:
    a. subterranean termites
    b. drywood termites
    c. damp wood termites
    d. carpenter ants
    e. certain wood-infesting beetles
    f. wood destroying fungi
4. MECHANICS LIEN LAW

    Under the California Mechanics Lien Law, any structural pest control operator who contracts to do work for you, any contractor, subcontractor, laborer, supplier, or other person who helps to improve your property, but is not paid for his work or supplies, has a right to enforce a claim against your property. This could mean that after a court hearing, your property could be sold by a court officer and the proceeds of the sale used to satisfy the indebtedness. This can happen even if you have paid your structural pest control operator in full if the subcontractor, laborers, or suppliers remain unpaid.

    To preserve their right to file a claim or lien against your property, certain claimants such as subcontractors or material suppliers are required to provide you with a document entitled "Preliminary Notice." Prime contractors and laborers for wages do not have to provide this notice.
    A Preliminary Notice is not a lien against your property. Its purpose is to notify you of persons who may have a right to file a lien against your property if they are not paid.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Director of Maintenance, Operation, and Transportation

Re: Contract Approval- R & R Refrigeration & Air Conditioning, Inc. 2021-2022

Date: November 9, 2021

Summary:
R & R Refrigeration & Air Conditioning, Inc. will provide remove and replace the refrigeration equipment for the walk-in cooler at Renaissance at Fischer. They will be installing a Trenton Condensing Unit and Trenton Evaporator. They will install all necessary lines and coils, reconnect existing drain lines and electrical wiring. New Refrigerant will be installed and they will perform start up function.

Recommendation:
Staff recommends that the Board of Trustees approve the contract with R & R Refrigeration & Air Conditioning, Inc., in the amount of $17,861.20 for the 2021-2022 fiscal year.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

AGREEMENT FOR REPAIR AND MAINTENANCE PROJECTS

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO: _____

FROM: Maintenance, Operations & Transportation (M.O.T.)

INDIVIDUAL/COMPANY NAME: **R & R Refrigeration & Air Conditioning, Inc.** VENDOR NO: **13037**

ADDRESS: **1775 Monterey Road, Suite 66A, San Jose, CA 95112** FAX NUMBER:

TELEPHONE NUMBER: **(408) 297-0383**

TAX ID NUMBER:

E-Mail: matt_a@getcooled.com

BOARD APPROVAL DATE: December 16, 2021

CONTRACT TERM: From: December 17, 2021 – June 30, 2022

CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/ or reports:

A. One-line description for the Governing Board report: **Remove and replace the refrigeration equipment for the walk-in cooler at Renaissance Academy at Fischer.**

B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach Scope of work, proposals exhibit and other pertinent documentation.

BUDGET CODE:

FD	RESC	PY	OBJECT	SB-OB	GOAL	FCTN	CST-CTR	LOC	PROG	AMOUNT
140	0000	0	6200	00	0000	8500	000000	450	8130	$17,861.20

Program Manager/Principal _____ **Date:** 11/8/21

Superintendent _____ **Date:** _____

Fiscal Approval: Maria Newton **Date:** 11/15/2021
Accountant

Director of Fiscal Services _____ **Date:** 11/15/21

Asst Supt, Bus Services _____ **Date:** 11/30/21

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** $ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

_____ b. **Flat Rate:** $_____ to be the total payment to the Contractor including travel and/or other expenses.

__X__ c. **Other: $17,861.20 (NTE)** FY: 2020 – 2022

R & R REFRIGERATION & AIR CONDITIONING, INC.
1775 MONTEREY ROAD, SUITE 66A, SAN JOSE, CA 95112
(408) 297-0383 (800) 742-2456 FAX (408) 453-5853
CONTRACTOR'S LICENSE # 663524
www.getcooled.com

November 2, 2021
Rev. 6

Alum Rock School District
Jobsite:
Renaissance Academy @ Fischer
1720 Hopkins Dr.
San Jose, CA 95122

Dear Tom,

R&R Refrigeration and Air Conditioning, Inc. is pleased to present the following as our proposal to remove and replace the refrigeration equipment for the walk-in cooler. Set the condensing unit, hang the evaporator coil, run refrigeration line set NTE 30', charge with refrigerant NTE 15lbs, reconnect to existing drain lines and electrical, and perform start-up of the system.

New equipment: Trenton Condenser M: TEZA020H8HS2DB 208-230v/1ph
Trenton Evaporator M: TPLP214MAS1DR6 115v/1ph

Note: Existing line set is subterranean, new run will go up wall, penetrate into the kitchen, run through closet and kitchen hallway into the walk-in cooler. Not responsible for capping or painting of line set and walls. Pricing on raw materials is subject to change with market pricing ex. Copper.

Inclusion:

- Labor to install:
  - (1) Medium temp condensing unit 208-230v/1ph
  - (1) Medium temp evaporator coil 115v/1ph
  - Line set NTE 30'
  - Drain line NTE 10'
- (1) Medium temp condensing unit 208-230v/1ph
- (1) Medium temp evaporator coil 115v/1ph
- Line set NTE 30'
- Drain line NTE 10'
- R404a refrigerant NTE 15lbs
- Misc. Material

    - Copper fittings
    - Electrical fittings
    - Hardware
- Disposal of old equipment
- Start-up and verify operation

Exclusion: *(when applicable)*

- Walk-in cooler
- Line set capping/painting
- Removal/installation
    - Shelving
    - Food
- Seismic brackets/anchors
- Permits/engineering
- Flashing
- New electrical
    - Electrical work of any kind
    - Electrical to equipment/lights
    - Disconnects
    - Not responsible for electrical/breaker going to units.
- Concrete work
- Penetrations/goosenecks
    - Sealing of penetrations

This price is a preliminary only; if further parts or work are required R&R will notify the customer for approval before the work is completed. If the work is not approved R&R will bill you for the amount accrued.

Price for this project: **Seventeen thousand eight hundred sixty-one dollars and twenty cents ($17,861.20)**

Labor:	$7,440.00 (w/2nd Tech)
Material:	$8,200.86
Other/Extra:	$1,451.50 (recover, leak check, nitro, welds, Evac, freight etc.)
Tax:	$768.83
Total:	$17,861.20

Payment Terms: Net 30 days from completion.
(Please note all credit card charges will incur a 3% convenience fee on total amount billed)

These prices are based upon normal working hours. Please feel free to contact me at any time if you have any questions. Quote valid for 30 days from date sent.

NOTICE TO OWNER: Contractors are required by law to be licensed and regulated by the Contractors State License Board. Any questions concerning a contractor may be referred to the registrar of the board whose address is: Contractor's State Board, P. O. Box 26000, Sacramento, CA 95826.

All material is guaranteed to be as specified. All work to be completed in a professional manner according to standard practice. Any alteration or deviation from above specifications involving extra cost will be executed only upon written orders and will become an extra charge over and above the estimate. The terms and conditions of this agreement maybe revised due to strikes, accidents or delays beyond our control. Owner to carry fire, theft and other necessary insurance.

Our workers are fully covered by Worker's Compensation Insurance.

Sincerely,

Matthew Arevalo
Sales & Service Manager

ACCEPTANCE OF PROPOSAL: The above prices, specifications, and conditions are satisfactory and hereby accepted. You are authorized to do the above work as specified.

PRINT NAME ED VILLARREAL JR DATE 11/8/21

AUTHORIZED SIGNATURE _____
Renaissance Academy @ Fischer, walk-in cooler refer equipment replacement rev. 6

P. O. # _____

ALUM ROCK
UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Director of Maintenance, Operation, and Transportation

Re: Contract Approval- R&S Erection of Santa Clara County, Inc., 2021-2022

Date: November 23, 2021

Summary:
R&S Erection of Santa Clara County, Inc. provides diagnostic and repair of District Office Maintenance, Operations and Transportation (MOT) Yard Gates. Gate was not functioning and it is needed to secure the yard during off hours and weekends.

Recommendation:
Staff recommends that the Board of Trustees approve the contract with R&S Erection of Santa Clara County, Inc., in the amount of $358.00 for the 2021-2022 fiscal year.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: MOT-Maintenance (School/Dept.) VENDOR NO. _____

Submitted by: Ed Villarreal

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: R&S Erection of Santa Clara County, Inc

   Address: 1789 Junction Avenue City: San Jose State: CA Zip: 95112

   Phone: (408) 436-0484 Email Address: sharonz@rsdoorssantaclara.com

   SSN: _____ or Fed I.D. #: _____

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 11/01/2021 end date 11/30/2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Provides diagnostic and repair of District Office MOT yard gates.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $ 358.00 (NTE) (describe rate agreement) _____

5. **BUDGET CODE:**

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	BUS. OFC Initials
050	8150	0	5815	00	0000	8100	000000	450	8130	$358.00	JMB 12/1/21

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

R & S ERECTION OF SANTA CLARA COUNTY, INC.
1789 Junction Avenue
San Jose, California 95112
Ph: (408) 436-0484 Fax: (408) 436-0331
Cont. Lic. No. 391987

Work Order

Customer Info	Job Info
Alum Rock School District 2930 Gay Ave San Jose, CA 95127 (408) 928-6852 **Arturo Vazquez** (408) 928-6852	**Vehicle Gate connection issues-Possible sensor?** 2930 Gay Ave. San Jose, CA 95127 **Arturo Vazquez** (408) 928-6852

Date	Job No	Job Type	Account No	PO / Contract No
11/8/2021	G679601	Repair	ALURP,	

Description

16'x8' Chain Link Slide Gate w/SL3000UL Operator - Maint. Gate (East Gate)
WORK PERFORMED: Replaced broken limit nuts and worn limit switches. Adjust limits, service gate and test operation of gate Ed.

Material & Items Used	Quantity	Unit Price	Totals
Elite Limit Nuts	2	$12.00	$24.00
Elite Limit Switch	2	$18.00	$36.00

Arrive	Depart	Shop Time	Travel Time	Lunch Taken	Total Time	Current Status
11:15 AM	12:15 PM	0.00	0.00	No	1.00 Hrs	Complete

Foreman	Helper	Total Labor	Total Material	Additional Work
Scott Hoff Gates		$298.00	$60.00	

Material Warranty	Labor Warranty	Payment Terms	Total Amount
		Net 30 Days	$358.00

Signature

ACCEPTED BY	PRINT NAME	EMAIL ADDRESS	DATE
Ed Villarreal	Ed Villarreal jr		11/8/2021

Terms & Conditions

NOTICE: IT IS UNDERSTOOD AND AGREED BY EACH PARTY HERETO IF SUIT IS BROUGHT TO ENFORCE ANY TERM, CONDITION OR COVENANT OF THIS SALES AND SERVICE AGREEMENT, THE PREVAILING PARTY SHALL BE ENTITLED TO RECOVER ALL COSTS OF SUCH ACTIONS, INCLUDING REASONABLE ATTORNEY'S FEES AS MAY BE FIXED BY THE COURT. I REPRESENT TO R&S THAT I AM AUTHORIZED TO BIND THE PURCHASER TO THE TERMS SET FORTH HEREIN. BY EXECUTING THIS WORK ORDER, I ACKNOWLEDGE RECEIPT OF A COPY OF THIS AGREEMENT, AND I ACKNOWLEDGE THE SATISFACTORY COMPLETION OR THE OR THE DESIRED WORK.

"NOTICE TO OWNER" (Section 7019 - Contractor's License Law) Under the Mechanic's Lien Law, any contractor, subcontractor, laborer, material man or other person who helps to improve your property and is not paid for his labor, services or material, has a right to enforce his claim against your property. Under the law, you may protect yourself against such claims by filing, before commencing such work of improvement, an original contract for work of improvement or a modification thereof, in the office of the county recorder of the county where the property is situated and requiring that a contractor's payment bond be recorded in such office. Said bond shall be in an amount not less that fifty percent (50%) of the contract price and shall, in addition to any conditions for the performance of the contract, be conditioned for the payment in full claims of all persons furnishing labor, services, equipment or materials for the work described in said contract.

IN THE STATE OF CALIFORNIA: Contractors are required to be licensed and required and regulated by the Contractors State License Board. Any questions concerning a contractor may be referred to the Registrar of the board whose address is: Contractors State License Board, P.O. Box 26000, Sacramento, CA 95826

R & S ERECTION OF SANTA CLARA COUNTY, INC.
1789 Junction Avenue
San Jose, California 95112
Ph: (408) 436-0484 Fax: (408) 436-0331
Cont. Lic. No. 391987

INVOICE
27836

BUSINESS OFFICE
RECEIVED
2021 NOV -8 P 4:32

Billing Info	Job Info
Alum Rock School District 2930 Gay Ave San Jose, CA 95127	**Vehicle Gate connection issues-Possible sensor?** 2930 Gay Ave. San Jose, CA 95127 Contact: Arturo Vazquez

Invoice Date	Invoice No	PO/Contract No	Account No	Payment Terms
11/8/2021	27836		ALURP,	Net 30 Days

Job No	Billing Type	Salesperson	Job Type	Memo
679601	Time & Material		Repair	

Description	Qty	Amount	Totals
SCOPE OF WORK: The problem with our vehicle gate is that when it gets activated to close, it does not stop once it is closed. To open it is fine. **WORK ORDER**: Mon 11/8/2021 16'x8' Chain Link Slide Gate w/SL3000UL Operator - Maint. Gate (East Gate) WORK PERFORMED: Replaced broken limit nuts and worn limit switches. Adjust limits, service gate and test operation of gate Ed.			$358.00

NOV

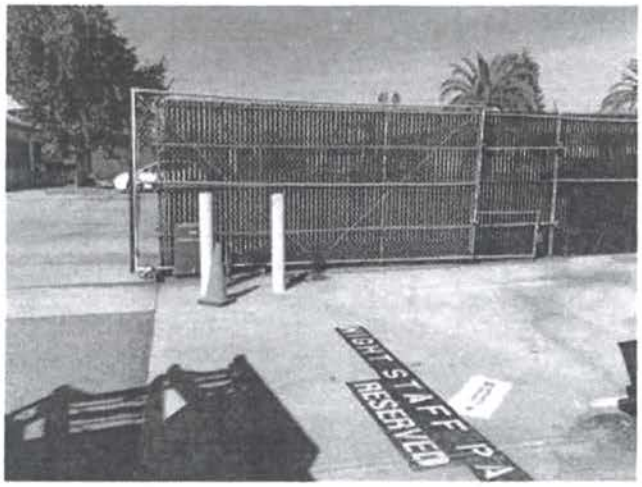
We appreciate your business

AMOUNT DUE $358.00

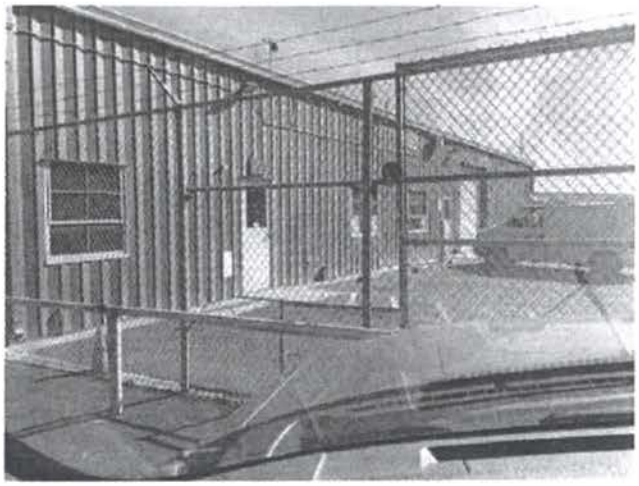
We recommend periodic preventative maintenance on all doors and gate systems per manufacturers specification. Contract available upon request.

DUE & PAYABLE UPON RECEIPT. Please make checks payable to R&S Erection of Santa Clara County, Inc.
Note. 1.75% Service Charge per month after 30 Days. This is an annual rate of 21%.

NOTICE: Under the Mechanics' Lien Law (California Code of Civil Procedure 1181 seq.), any contractor, subcontractor, laborer or other person who helps to improve your property but is not paid for his work or supplies, has the right to enforce a claim against your property. This means that, after a court hearing, the court could sell your property and the proceeds of this sale used to satisfy the indebtedness. This can happen even if you have paid your own contractor in full, if the subcontractor, laborer or supplier remains unpaid.



Untitled VIEW
11/8/2021 12:16 PM



Untitled VIEW
11/8/2021 11:18 AM

ALUM ROCK
UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Director of Maintenance, Operation, and Transportation

Re: Contract Approval- Selway Construction, Inc., 2021-2022

Date: November 30, 2021

Summary:
On Tuesday, October 5, 2021, a driver went through the double wrought iron exit gate at Lyndale Elementary School. The gate is beyond repair and needs to be replaced.

Recommendation:
Staff recommends that the Board of Trustees approve the contract with Selway Construction, Inc., in the amount of $7,875.00 for the 2021-2022 fiscal year.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

AGREEMENT FOR REPAIR AND MAINTENANCE PROJECTS

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO: _____

FROM: Maintenance, Operations & Transportation (M.O.T.)

INDIVIDUAL/COMPANY NAME: **Selway Construction, Inc.**

VENDOR NO:

ADDRESS: 977 Feller Avenue, San Jose, CA 95127

FAX NUMBER: (408) 422-2162

TELEPHONE NUMBER: (408) 583-8140

TAX ID NUMBER: 84-2990639

E-Mail: www.selwayconstruction.com

BOARD APPROVAL DATE: December 16, 2021

CONTRACT TERM: From: December 17, 2021 – June 30, 2022

CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/ or reports:

A. One-line description for the Governing Board report: **Replacement of the Double Gate at Lyndale Elementary School.**

B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach Scope of work, proposals exhibit and other pertinent documentation.

BUDGET CODE:

FD	RESC	PY	OBJECT	SB-OB	GOAL	FCTN	CST-CTR	LOC	PROG	AMOUNT
140	0000	0	5815	00	0000	8500	000000	450	8131	$7,875.00

Program Manager/Principal _____ **Date:** 11/30/21

Superintendent _____ **Date:** _____

Fiscal Approval Maria Newton **Date:** 11/30/2021
Accountant

Director of Fiscal Services _____ **Date:** 12/1/21

Asst Supt, Bus Services _____ **Date:** 12/1/21

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment Provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____a. **Fee Rate:** $ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

_____b. **Flat Rate:** $_____ to be the total payment to the Contractor including travel and/or other expenses.

__X__ c. **Other:** $ 7,875.00 (NTE) FY: 2021-2022

SELWAY CONSTRUCTION
977 Feller Ave., San Jose, CA 95127
(408) 422-2162
www.selwayconstruction.com

CL#1056018
DIR#1000515440

Date: 10/22/2021

BILLING INFORMATION		JOB LOCATION	
Name: Alum Rock Union School District		Name: ARUSD Lyndale ES Gates	
Address: 2930 Gay Ave.		Address: San Jose	
City, State, Zip: San Jose, CA. 95127		City, State, Zip: San Jose, CA	
Attn: Arturo Vazquez	Phone: (408) 426-0210	Attn: Arturo Vazquez	Phone: (408) 426-0210

SCOPE: Fabricate and install (2) wrought iron swing gates for 21' opening. Gates to not exceed 76" tall.

Total Price: $7,875.00

Exclusions: Permits, Plans, Temp Fence.

We propose to do the work specified above and on any addendums attached, furnishing all labor and material as specified for a sum of $ plus sales tax with payment to be made as follows: Due upon receipt of invoice

NOTE: This price does not include permits and inspections fees. This price does not include primary wording in insurance or any cost for a waiver of subrogation. Any alteration or deviation from above specifications involving extra costs will be executed only upon written orders and will become an extra charge over and above the estimate. All agreements contingent upon accidents, delays beyond our control and shall not be binding prior to credit approval. Selway to carry all necessary insurance required by local ordinances, a certificate of insurance will be furnished upon request. If legal processes are required by Selway Construction, Inc. to recover full payment from the customer of this statement, the customer may also pay all reasonable attorney fees and court costs. Call me with any questions at (408) 422-2162

SELWAY CONSTRUCTION, INC.	*CUSTOMER*
Submitted by: **Philip Selway**	Accepted by:
Title: **Project Mgr.**	Title: DIRECTOR MOT
Date: **10/22/2021**	Date: 11/19/21

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Director of Maintenance, Operation & Transportation

Re: Approval Addendum 1, #CO-226097

Date: December 1, 2021

Summary:
Due to circumstances caused by Covid-19 the district will need to extend the existing contract with Silicon Valley Security & patrol. An addendum is being submitted so that SVSP can continue to provide services for the facilities of Alum Rock School District during the last six months of the 2021-2022 school year.

Recommendation:
Staff recommends the Board of Trustees approve Addendum No.1 to contract number CO-226097 with Silicon Valley Security & Patrol (SVSP) in the amount of $45,000.00
The total contract amount for the 2021-2022 fiscal year is $90,000.00

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: **BUSINESS OFFICE** Contract No.: _____ Vendor No.: 21151

M.O.T (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☐ **MOU** (negotiated Agreement)
☐ Exhibit B & C (Fingerprinting and TB Test)
☑ **MASTER CONTRACT PARTICIPATION**
☑ Scope of Work/Proposal

Note: All Contracts over $5,000 require pre-approval.

** Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.*

Name of Individual/Company: Silicon Valley Security & Patrol

Address: 1131 Luchaessi Drive., Ste #2 City: San Jose State: CA. Zip: 95118

Phone: (408) 978 -2198 Email: gmills@svsp.com

SSN: _____ Fed I.D. #: _____

CONTRACT TERM: start dates January 1, 2022 end date June 30, 2022

CONTRACTOR'S OBLIGATION:
Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

An addendum to extend contract #CO-226097 to provide services for the facilities of Alum Rock School District. Provide overnight security patrol seven days a week 9pm-6am. Including weekends and holidays during the last six (6) months of the 2021-2022 fiscal year.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

____ a. **Fee Rate:** $_____ per _____ Not to Exceed _____ of services.

X b. **Other:** $ 45,000.00

Describe other related costs: _____

BUDGET CODE: 010-0000-0-5870-00-0000-8100-000000-450-8140 M. Newton 12/3/2021
Accountant Initials

_____ _____
Accountant Initials

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: _____ Date: 12/3/21
Director of Fiscal Services: _____ Date: 12/3/21
Asst. Supt., of Business Services: _____ Date: 12/3/21
Superintendent: _____ Date: _____

ADDENDUM NO.1 TO EXTEND
CONTRACT CO 226097
PROVIDE OVERNIGHT PATROL SEVEN DAYS A WEEK, WEEKENDS AND HOLIDAYS. MOBILE & WALKING SECURITY PATROL COVERAGE AT FACILITIES DISTRICT WIDE

AGREEMENT

This Agreement is entered into by and between the Alum Rock Union Elementary School District, hereinafter referred to as "District", and

SILICON VALLEY SECURITY & PATROL (SVSP).

hereinafter referred to as "Vendor".

In consideration of the promises and mutual covenants contained herein, it is agreed between the parties as follows:

I
TERM

The term of this Agreement shall be extended for an additional six (6) months from January 1, 2022 through June 30, 2022. All indemnification provisions contained in the original Agreement shall survive beyond the expiration of the Agreement.

II
WORK

Vendor shall provide the supplies and items as prescribed and required by the original Independent Contractor Agreement and all documents forming a part of the original package and any other documents signed by both parties relating to the subject matter of the Agreement, all of which are incorporated by reference as though set forth in full herein.

III
TERMINATION

This Agreement may be terminated by the District upon thirty - (30) days' written notice to Vendor. The District's right to terminate under this paragraph shall be in addition to any other rights reserved to the District under this contract.

IV
COMPENSATION

Vendor shall be compensated for the performance of its obligations (not to exceed and additional $45,000 dollars) under this Agreement as specified in the executed Vendor Documents, incorporated herein by reference.

V
METHOD OF PAYMENT

Vendor will be paid upon receipt and acceptance of materials and supplies specified by in the original Independent Contractor Agreement documents. For prompt payment, billing must be accurate in all details, **and invoice must be submitted monthly to Accounts Payable, Alum Rock Union Elementary School District, 2930 Gay Ave. San Jose, CA. 95127.**

VI
CONTRACT DOCUMENTS

This Agreement shall include the terms and conditions specified in the Independent Contractor Agreement, and all documents forming a part of the original package, and any other documents signed by both parties relating to the subject matter of the Agreement, all of which are incorporated by reference as though set forth in full herein.

VII
EXECUTION OF CONTRACT

Issuance of purchase order shall evidence the contractual agreement between the Vendor and the District for subsequent years.

VIII
ENTIRE AGREEMENT

This Agreement and the originally signed contract terms constitute the entire agreement between the parties. There are no understandings, agreements or representations not specified in this Agreement. Vendor, by execution of the Agreement, acknowledges Vendor has read the Agreement, understands it, and agrees to be bound by its terms and conditions.

In Witness Whereof, the parties have caused this Agreement to be executed on their behalf by their fully authorized representatives.

VENDOR	Alum Rock Union Elementary School District
Signature: [signature]	Signature: _____
Name: Gary Mills	Name: Hilaria Bauer, Ph.D.
Title: VP of Business Development	Title: Superintendent
Date: Dec 14th, 2021	Date: _____

Company Name: Silicon Valley Security and Patrol (SVSP)

SILICON VALLEY SECURITY & PATROL, INC.

www.svsp.com

CORPORATE OFFICE
1131 Luchessi Drive
Suite 2
San Jose, CA 95118

1.408.978.2198
1.877.884.7877 Toll Free
1.408.978.2197 Fax

REGIONAL OFFICE
1540 Riverpark Dr.
Suite 103
Sacramento, CA 95815

REGIONAL OFFICE
1290 Sutter Street
Suite 208
San Francisco, CA 94109

A Lic. No. PPO-12138

SCOPE OF WORK

- SVSP will supply an account manager who will be responsible for the day to day operation of this account and will liaise with the district representative as needed
- Patrol Officer's will be in full uniform providing coverage during the designated hours, seven days per week, including holidays. Any change in these hours must be submitted in writing.
- Patrols Officers will maintain a high standard of appearance and guards cards will be carried at all times.
- Patrol Officers when on-site will wear the assigned SVSP uniform which includes an SVSP shirt, Black pants, belt, bomber jacket, and protective footwear.
- Patrol Officers providing courtesy patrols of the various district facilities will be in a fully marked security vehicle including light bars.
- SVSP will provide and In-house dispatch to deal with emergency calls 24/7.
- Patrol Officers will check in with the account management team and dispatch on a regular basis to verify any pass-downs or operational issues.
- Patrol Officers when patrolling will use the SMART system. This report will be used real time to confirm the officer's movements in and around the various district facilities and these reports will be uploaded to the client's portal for review.
- Patrol Officers will patrol the exterior of the district buildings, to verify no loitering or trespassing. Any suspicious circumstances will be reported to the San Jose Police Department.
- Patrol Officers will escort anyone found loitering in and around any of the district properties off site. Any person refusing to leave, officers will contact the San Jose Police Department.
- Patrols Officers will complete DAR reports for each shift outlining any activity including maintenance issues, spillage, lights out, etc. Incident reports will be provided for any break ins, physical damage or injury. All reports will be uploaded to the client main portal real time for review.
- Patrol Officers will monitor vehicle traffic around the entrances to each district facility and any vehicle found illegally parked will be moved on. Any vehicle that refuses to leave the property the officers will contact SJPD for assistance.
- Patrol Officers when patrolling will report unauthorized personnel to the local authorities, and endeavor to prevent theft and vandalism.
- Patrol Officers will work with the San Jose police department to provide a safe and secure environment around the exterior of each of the district facilities.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASE ORDER / CONTRACT CHARGE ORDER (POCO)

P.O. No. _____ Contract No. 225001 Contract Original Amount: $_____

Vendor Name: The City Peace Project Vendor No. 22679

Please check:

_____ Cancel P.O. / Contract
_____ Change Item No.: _____
_____ Increase Amount Line Item No.: _____
_____ Decrease Amount Line Item No.: _____
_____ Add Line No.: _____
_____ Delete Line No.: _____
_____ Add/Change object code to: _____
_____ Add Freight: $_____
_____ Add Tax: _____%
_____ Disencumber
_____ Add/Change Prog. No. to: _____
_____ Other _____

CONTRACTS ONLY

Change Orders:
Contracts Original Amount $_____
Change Order #: _____ $_____
Change Order #: _____ $_____
Change Order #: _____ $_____

New Contract Total $_____
(including Change Orders)

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	PRG. TITLE	BUS. OFC
010	0000	0	5815	00	0000	3130	000000	340	7221			
	0000	0		00			000000					

Reason for Adjustment:
The City Peace Project provides mentorship to at-risk students grades 4th-8th through weekly small groups, individual mentoring, pro-social activities and parent check-ins. To help them develop skills for healthy decisions, communicating effectively and setting/tracking goals. Services will be available virtually for families as well as in person as per contract agreement MY 225001.
The City Peace Project will now also offer support based on individual school needs. Staff will support students having difficulties adjusting, offer academic support and tutoring, offer support to students having behavioral issues.
As well as The City Peace Project's SEL program which empower students to thrive in school and community. Creates a safe, caring environment to guide and encourage youth. The program will serve as a guide for students facing social, emotional, and personal challenges.

Not to exceed $30,000 per school site

School/Dept.: Student Services Requested by: Norma Flores

Approval: _____ Assistant Superintendent of Business Services
(for Contracts Only)

_____ Program Manager

_____ Purchasing Manager

Date Requested: _____ Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of $15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

thecitypeaceproject.org
P.O. Box, 36014
San Jose, 95158

The City Peace Project
ARUSD 2021-2022
Addendum to the Contract

We will offer support based on school needs. A staff member will sit with and support students who are having a hard time adjusting or going to class. We offer in class academic support and tutoring for homework. At lunch we will offer mentoring for students being sent to the office because of behavior issues or having trouble adjusting. We offer incentives for good behavior to help keep students on track.

Group Pricing:
1 hour/ week: $1000/ month
We can add more hours/ week at the same rate of $1000/ month

Tutoring:
2 hours/ day at $150, 5 days/ week: $3000/ month

thecitypeaceproject.org
P.O. Box, 36014
San Jose, 95158

The City Peace Project
SEL Proposal 2021-2022
Scope of Work

Objective

"The objective of The City Peace Project's social-emotional learning (SEL) program is to empower students to thrive in their school and communities as well-rounded individuals. By creating a safe and caring environment to guide and encourage youth, The City Peace Project can have breakthrough in transforming the lives of students. This curriculum will serve as a guide for students facing social, emotional, and personal challenges that we all experience. The curriculum seeks to help students develop interpersonal, communication, and self-advocacy skills."

The City Peace Project provides mentorship for at-risk youth to help them develop skills for making healthy decisions, communicating effectively, and setting and tracking goals.

This course incorporates all five CASEL core competencies, self awareness, self management, responsible decision making, relationship skills, and social awareness.

Capacity

We can provide support for 1 staff leader per class.

Day	Time	Total Classes	Total Students
Tuesdays (In-Person) Renaissance at Mathson (6th Grade)	9:55am - 3:30pm	4	107

School Sites Desired is Renaissance at Mathson M.S. We will host 4 in person classes at each site.

Tuesday

- 4 in person classes at Renaissance at Mathson

thecitypeaceproject.org
P.O. Box, 36014
San Jose, 95158

The City Peace Project
SEL Proposal 2021-2022

Safety Guidelines:

- Per Public Health guidelines, TCPP will strictly follow the suggested safety protocols.
- TCPP will practice social distancing, requirement of PPE
- The in-person portion of the class will be held indoors and will abide by public health indoor gathering guidelines.
- Student groups must stay the same throughout instruction.
- Parents must complete a self-check health assessment for themselves and their students before class each day.
- Parents must agree to picking up their student within 15 minutes of signs of the student feeling ill. Instructions on this will be provided in a registration form.

In Person:

TCPP will provide this course in person, following the CDC Covid19 Health Guidelines,* to four cohorts. One cohort will meet on a Tuesday schedule at Renaissance at Mathson.

Timeline and Cost

There will be a time of instruction as well as break-out group time. The entire session would be from 9:55 am to 3:30 pm.

November 15/21 - June 1/22: $857.15/ month for 7 months = $6000

The program will last from mid/late November through June.

Four classes on Tuesday

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P.O. Box, 36014
San Jose, 95158

The City Peace Project
SEL Proposal 2021-2022

Facility Needs:

One (1) school facility is needed for the Tuesday group

Assessment

TCPP plans to assess the success of the program through a pre- and post-survey for students and parents. This survey will assess the student's growth in the CASEL five core competencies: Relationship Building, Self Awareness, Social Awareness, Self Management, and Responsible Decision-Making. The survey will include a scale asking the student and parent to assess growth in and understanding of each of these areas. The SEL department and each school will receive copies of the data report once they're finalized.

Run of Program

TCPP SEL course is broken down into four sections, each building upon each other. These four sections follow the theme, "the journey" and teach the CASEL core competencies. The four sections include, "Introduction," "My Emotions," "My Voice," and "My Direction."

Introduction:
Self awareness, self management, social awareness, relationship skills, responsible decision making
This section is intended to welcome students to the class, setting up community guidelines, and establishing a safe and enjoyable learning setting. This section will begin to establish the

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P.O. Box, 36014
San Jose, 95158

importance of self-awareness, self management, social awareness, relationship skills, and responsible decision making. These core competencies are taught as the class community is developed and students determine what community guidelines are important to them and the other students in the class.

My Emotions:
Self awareness, self management, responsible decision making
This section of the course will teach students how to recognize and manage big emotions as well as discuss what can trigger big emotions. The lessons in this section will help students give language to big emotions and teach and reflect on strategies for managing these emotions.

My Voice:
Self awareness, self management, responsible decision making, relationship skills
This section of the course will help students discover healthy and appropriate ways of self advocacy. Students will learn about the importance of identifying one's needs, and expressing those needs appropriately. Students will discuss strategies for navigating conflict, finding solutions to individual and social problems, and taking initiative to self advocate or request help.

My Direction:
Self awareness, self management, responsible decision making, social awareness
This section of the course will help students to identify their personal strengths and ambitions and discover motivation to achieve personal goals. Lessons in this section will teach ways to work towards personal, academic, and professional goals. Students will reflect on the importance of positive study habits and attitudes towards school, positive peer pressure, and strategies for working towards these goals.

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San Jose, 95158

The City Peace Project
SEL Proposal 2021-2022

Lesson Format will follow the structure: CALM

CALM
This four letter acronym describes a typical class flow.

- Call to Action
  - Introduction to the lesson. This is a 10-15 minute portion of instruction. The City Peace Project staff will provide a time of instruction, or a live feed video of instruction
- Activity
  - Students will participate in a 15 minute time of activity that pertains to the lesson. Materials will be provided physically in person, and online through a google classroom assignment
- Lesson
  - 15 minutes of breakout reflection time, discussing what was gained from the lesson, and how the student can apply it to their lives
- Motivation
  - The City Peace Project will provide a closing reflection, motivating the students to continue growing in what they have learned.

Signature	**Date**	**Signature**	**Date**
Hilaria Bauer, Ph. D., Superintendent		Daniel Sanchez, Executive Director	
Alum Rock Elementary School District		The City Peace Project	
2930 Gay Avenue		PO Box 36014	
San Jose, CA 95127 1		San Jose, CA 95158	

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: December 1, 2021

Subject: **Acceptance of Donations**

Staff Analysis: The District has received donations as summarized on the sheet dated December 16, 2021.

Recommendation: Staff recommends approval for acceptance of these donations.

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees: Meeting: December 16, 2021
Regular Board Meeting

Recommend Approval

10.03
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District
December 16, 2021

ACCEPTANCE OF DONATIONS

DONOR NAME	DESCRIPTION OR PURPOSE	ESTIMATED VALUE	RECEIVING SCHOOL OR DEPARTMENT
Ms. Melanie Ann Abad	Materials & Supplies	$ 1,005.00	ARUESD-Social Emotional Learning
Alum Rock Jazz Foundation	Materials & Supplies	$ 650.00	ARUESD-VAPA
Philanthropics Ventures Foundation	Materials & Supplies	$ 500.00	Adelante 1 Academy
Philanthropics Ventures Foundation	Materials & Supplies	$ 496.00	George Middle
Hillcresters	Materials & Supplies	$ 1,000.00	Lyndale Elementary
Silicon Valley High Tech High Heels	Materials & Supplies	$ 900.00	Lyndale Elementary
Silicon Valley High Tech High Heels	Materials & Supplies	$ 2,520.00	Russo/McEntee Academy

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

November 23, 2021

Alum Rock Union School District
Board of Trustees
2930 Gay Avenue
San Jose CA 95127

Dear Board of Trustees:

Please accept this donation inform of a check in the amount of $1005.00 from Ms. Melanie Ann Abad. These funds will be used for student, families and the department needs of the Social Emotional Learning Department.

Sincerely,

Imee Almazan, Ed.D.
Director, Social Emotional Learning

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Corina Herrera-Loera, President · Andrés Quintero, Vice-President
Ernesto Bejarano, Clerk · Linda Chavez, Member · Minh Pham, Member

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

Honorable Board Members

From: Danelle Finnen

Re: VAPA Donation

Date: December, 7th 2021

Please accept the following donation from the Alum Rock Jazz Foundation, for the Alum Rock Union School District Jazz Program:

Check Donation
TOTAL: $650.00

Donor's Information:

NAME:	David Rodrigues, Alum Rock Jazz Foundation
ADDRESS:	100 Eastwood Ct. San Jose, CA 95116
PHONE:	(408) 813-1366

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Corina Herrera-Loera, President · Andrés Quintero, Vice-President
Ernesto Bejarano, Clerk · Linda Chavez, Member · Minh Pham, Member

ADELANTE DUAL LANGUAGE ACADEMY
2999 Ridgemont Dr. San Jose, CA 95127
408 928 -1900

BUSINESS OFFICE
RECEIVED
2021 NOV 16 A

November 3, 2021,

Alum Rock School District
Board of Trustees
2930 Gay Avenue
San Jose, CA 95127

Dear Board of Trustees:

Please accept the attached donation from Philanthropic Ventures Foundation for check number 68430 for $500.00. The money provided through this donation will be used for ASB events that are to be held throughout the school year to foster youth development. We greatly appreciate their donation.

Donations Account Number: 060 9010 0 4300 00 1110 1000 000000 280 2110

Sincerely,

Maria Martinez

Maria Martinez,
Principal of Adelante 1

Joseph George Middle School

A Visual and Performing Arts Academy

"Where Arts and Academics Excel"

Date: 9/1/21

To: Honorable Board Members
Alum Rock Union Elementary School District

From: Tara Bickford, Principal

Please accept the check from the Philanthropic Ventures Foundation Grants for the amount of $496.00
Thank you in advance for your approval.

RECEIVED
2021 NOV 22 A 4:05

Sincerely,

Tara Bickford

Tara Bickford
Principal
Joseph George Middle School

277 Mahoney Drive San Jose, CA 95127 Phone- 408-928-7600 Fax- 408-928-7601
www.arusd.org/georgems Tara Bickford, Principal

Lyndale Elementary School

All Students Can Learn.....All Students Can Succeed

13901 Nordyke Drive
San Jose, California 95127
(408) 928-7900

Ms. Kasturi Basu, Principal
Ms. Magdalena Grimaldo, School Secretary
FAX 408 928-7901

December 6, 2021

Alum Rock School District

Board of Trustees

2390 Gay Ave.

San Jose, CA 95127

Dear Board of Trustees:

Please accept the following donation for Board approval:

Company/Sponsor(s) Name	**Amount**	**To be Used for:**
Hillcresters	$1,000.00	School Supplies

Total Amount of Donations: **$1,000.00**

Sincerely,

Kasturi Basu

Kasturi Basu, Principal

Lyndale Elementary School

All Students Can Learn.....All Students Can Succeed

13901 Nordyke Drive
San Jose, California 95127
(408) 928-7900

Ms. Kasturi Basu, Principal
Ms. Magdalena Grimaldo, School Secretary
FAX 408 928-7901

December 2, 2021

Alum Rock School District

Board of Trustees

2390 Gay Ave.

San Jose, CA 95127

Dear Board of Trustees:

The Silicon Valley High Tech High Heels (HTHH), a non-profit organization, will be donating 45 SNAP Circuit boards to our 4th & 5th graders goint to our after school program. The value of these boards are $20.00 each, for an estimated total of $900.00.

Please accept the above mentioned donation for Board approval.

Sincerely,

Kasturi Basu.

Kasturi Basu, Principal

Russo/McEntee Academy

2851 Gay Ave San Jose, CA 95127 **Phone:** (408)928-8850 **Fax:** 408-928-8901

December 1,2021

Alum Rock Union School District

Board of Trustees

2930 Gay Avenue

San Jose, CA 95127

Dear Board of Trustees and Dr. Bauer:

Please accept the following donation of Snap Circuits from HighTech High Heels for fourth and fifth grade students at Russo/McEntee Academy:

Each unit has an approximate cost of $20.00 with a total approximate cost of $2,520.

The Snap Circuits will be used to provide hands-on Science Instruction to our students.

Sincerely,

Tanu Kohli

Principal Russo/McEntee Academy

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: December 1, 2021

Subject: **Approval of Fundraising Activities**

Staff Analysis: The District has received fundraising requests as summarized on the attached sheet dated December 16, 2021.

Recommendation: Staff recommends approval of these fundraising event requests.

Approved by: Kolvira Chheng KC Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Recommend Approval

10.04
Agenda Placement

Meeting: December 16, 2021
Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District
December 16, 2021

APPROVAL OF FUNDRAISING ACTIVITY

SCHOOL	FUNDRAISING ACTIVITY	ACTIVITY SPONSOR	DATE
Chavez Elementary	Contributions to the ASB Account	ASB	11/02/21-06/30/22
Painter Elementary	Shirt Sales	ASB	01/04/22-06/10/22
Russo/McEntee Academy	Christmas Grams' Sales	PTA	12/13/21-12/17/21
Sheppard Middle	T-Shirt Sales	ASB	01/03/22-06/10/22
Sheppard Middle	Yearbook Sales	ASB	01/03/22-06/10/22
Sheppard Middle	Physical Education Clothes Sales	ASB	11/20/21-06/10/22
Sheppard Middle	Contributions to the ASB Account	ASB	01/03/22-06/10/22

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Approval:
Contributions to the ASB Account

School Site: Chavez Date: 11-02-2021

Purpose for Contributions: For ASB Science virtual/fietrips, transportation Awards & incentives.

Proposed Date(s) of Event: 11-02-2021 - 06-30-2022

Contact Person: Krystal Esquivez

Student Body Account Number: _____

Status of Event (please check one): ☐ New Event ☑ ~~Held~~ Previously (Years): _____

What procedures will be used to account for contributions received?
Receipt book or datalog/excel

Other Background Information (such as other schools or clubs that have held similar events):

ASB Advisor Evelyn Loeyton Date: _____
Signature

Student Council Approval Date _____

Student Council Representative Krystal Esquivez Date: 11/5/2021
School Admin (Elementary) Signature

Principal Approval _____ Date: 11/5/2021
Signature

Reviewed by Business Services: Maria Nieto Date: 12/6/2021

Board Approval Date: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

2021 DEC -6 P 12:21

Fundraiser #: _____ **(From Financial Plan)**

School Name: Painter Elementary Date: 12/2/21

Description of Event: Type shirt sales Vendor Downtown Screen Printers

Purpose for Event: Fundraising for ASB - Get parents to buy shirts and advertise for Painter and our growing Vietnamese Immersion Program

If selling food what are the items: _____ Brand and package size: _____

Explain how food is handled/stored prior to or day of event: _____

Event Sponsor (please check one) ASB: X Booster Club (PTA, PTO, Other): _____

Proposed Date(s) of Event: January - ~~February~~ June 10th Time of Event: after school

Contact Person: Joseph Manluco or Susan Avila

Location of Proposed Activity: Painter Office

Status of Event (please check one): ☑ New Event ☐ Held previously (years): _____

Use of Facilities thru Facilitron <u>is required</u> for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☑

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☑ No ☐

Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☑ No ☐

ASB Advisor: _____ 12/2/21
Signature Date

Student Council Approval Date: 12/2/21 (Middle Schools must attach minutes)

Student Council Representative: _____ Dec. 2, 2021
Signature Date

Principal Approval: _____ 12/2/21
Signature Date

Reviewed by Business Services: Maria Nevot Date: 12/6/2021

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,
  CNS _____ Date: _____
  Approved _____ Denied _____
- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT
  Director, MOT: _____ Date: _____
  Operations Mgr.: _____ Date: _____
  Approved _____ Denied _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

Fundraiser #: _____ **(From Financial Plan)**

School Name: Russo / McEntee Academy Date: 12/1/21

Description of Event: Type Christmas Grams Vendor School PTA

Purpose for Event: Raise money for our school PTA to continue to do events in our school.

If selling food what are the items: Candy Cane grams Brand and package size: Candy Cane

Explain how food is handled/stored prior to or day of event: Candy canes will be store bought tied into a christmas tag of who they would like to send it too. We will set up a table afterschool will people will see.

Event Sponsor (please check one) ASB: _____ Booster Club (PTA) PTO, Other): X

Proposed Date(s) of Event: 12/13/21 - 12/17/21 Time of Event: Afterschool ONLY

Contact Person: _____

Location of Proposed Activity: On Campus

Status of Event (please check one): ☑ New Event ☐ Held previously (years): _____

Use of Facilities thru Facilitron <u>is required</u> for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☐

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☐ No ☐

Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☐ No ☐

ASB Advisor: _____ _____
Signature Date

Student Council Approval Date: _____ (Middle Schools must attach minutes)

Student Council Representative: _____ _____
Signature Date

Principal Approval: _____ 12/01/2021
Signature Date

Reviewed by Business Services: _____ Date: 12/6/2021

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,

  CNS _____ Date: _____

  Approved _____ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

  Director, MOT: _____ Date: _____

  Operations Mgr.: _____ Date: _____

  Approved _____ Denied _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

Fundraiser #: _____ **(From Financial Plan)**

School Name: Sheppard Date: 11/10/21

Description of Event: Type T-shirt sales Vendor one stop apparel

Purpose for Event: Build spirit + community awareness

If selling food what are the items: _____ Brand and package size: _____

Explain how food is handled/stored prior to or day of event: _____

Event Sponsor (please check one) ASB: ✓ Booster Club (PTA, PTO, Other): _____

Proposed Date(s) of Event: 1/3/22 - 6/10/22 Time of Event: 8:40-3

Contact Person: Severiano Tupai

Location of Proposed Activity: Sheppard

Status of Event (please check one): ☐ New Event ☑ Held previously (years): 16-19

Use of Facilities thru Facilitron <u>is required</u> for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☑

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☑ No ☐

Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☑ No ☐

ASB Advisor: _____ (Signature) 11/10/21 (Date)

Student Council Approval Date: 11/10/21 (Middle Schools must attach minutes)

Student Council Representative: Sandy Castellanos (Signature) 11-10-21 (Date)

Principal Approval: _____ (Signature) 11/10/21 (Date)

Reviewed by Business Services: _____ Date: 12/6/2021

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,

  CNS _____ Date: _____

  Approved _____ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

  Director, MOT: _____ Date: _____

  Operations Mgr.: _____ Date: _____

  Approved _____ Denied _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

Fundraiser #: _____ **(From Financial Plan)**

School Name: Sheppard Date: 11/10/21

Description of Event: Type yearbook Vendor _____

Purpose for Event: yearbook sales

If selling food what are the items: _____ Brand and package size: _____

Explain how food is handled/stored prior to or day of event: _____

Event Sponsor (please check one) ASB: ✓ Booster Club (PTA, PTO, Other): _____

Proposed Date(s) of Event: 1/3/22 - 6/10/22 Time of Event: 8:40-3:00

Contact Person: Kevin Fuller

Location of Proposed Activity: _____

Status of Event (please check one): ☐ New Event ☑ Held previously (years): 16-19

Use of Facilities thru Facilitron is required for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☑

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☑ No ☐

Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☑ No ☐

ASB Advisor: _____ (Signature) 11/10/21 (Date)

Student Council Approval Date: 11/10/21 (Middle Schools must attach minutes)

Student Council Representative: Sandy Castellanos (Signature) 11-10-21 (Date)

Principal Approval: _____ (Signature) 11/10/21 (Date)

Reviewed by Business Services: _____ Date: 12/6/2021

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,

  CNS _____ Date: _____

  Approved _____ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

  Director, MOT: _____ Date: _____

  Operations Mgr.: _____ Date: _____

  Approved _____ Denied _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

Fundraiser #: _____ **(From Financial Plan)**

School Name: Sheppard Date: 11/10/21

Description of Event: Type PE sales Vendor One Stop Apparel

Purpose for Event: Selling PE clothes

If selling food what are the items: _____ Brand and package size: _____

Explain how food is handled/stored prior to or day of event: _____

Event Sponsor (please check one) ASB: 11/20/21-6/10/22 Booster Club (PTA, PTO, Other): _____

Proposed Date(s) of Event: _____ Time of Event: _____

Contact Person: Jessica Hernandez

Location of Proposed Activity: PE Lockerroom

Status of Event (please check one): ☐ New Event ☐ Held previously (years): 15-19

Use of Facilities thru Facilitron <u>is required</u> for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☑

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☑ No ☐

Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☑ No ☐

ASB Advisor: _____ (Signature) 11/10/21 (Date)

Student Council Approval Date: 11/10/21 (Middle Schools must attach minutes)

Student Council Representative: Sandy Castellanos (Signature) 11/10/21 (Date)

Principal Approval: _____ (Signature) 11/10/21 (Date)

Reviewed by Business Services: Maria Newon Date: 12/6/2021

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,

  CNS _____ Date: _____

  Approved _____ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

  Director, MOT: _____ Date: _____

  Operations Mgr.: _____ Date: _____

  Approved _____ Denied _____

15K

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Approval:
Contributions to the ASB Account

School Site: Sheppard Middle School Date:

Purpose for Contributions: student events, activities, graduation gowns graduation materials & end of year celebrations

Proposed Date(s) of Event: 1-3-2022 → 6-10-2022

Contact Person: Severina Tu Pai

Student Body Account Number:

Status of Event (please check one): ☐ New Event ☒ Held Previously (Years): 18-19

What procedures will be used to account for contributions received?

Other Background Information (such as other schools or clubs that have held similar events):

ASB Advisor _____ Date: 11/10/21
Signature

Student Council Approval Date 11/10/21

Student Council Representative Sandy Castellanos Date: 11-10-21
School Admin (Elementary) Signature

Principal Approval _____ Date: 11/10/21
Signature

Reviewed by Business Services: Marion Newton Date: 12/6/2021

Board Approval Date:

10.05

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees: December 3, 2021

Subject: **Vendor & Payroll Warrants**

Staff Analysis: Summary of Vendor and Payroll Warrants issued during November month of Fiscal Year 2021/2022.

Total of vendor warrants	$	4,360,106.00
Total of payroll warrants	$	4,290,180.19
Total	**$**	**8,650,286.19**

Recommendation: Staff recommends approval of the Vendor & Payroll Warrants for the month of November 2021.

Approved by: Kolvira Chheng KC Title: Assistant Superintendent, Business Services

To the Board of Trustees: Meeting: December 16, 2021
Regular Board Meeting

Recommend Approval

10.05
Agenda Placement

Hilaria Bauer
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

November 2021

Fund	VENDOR WARRANTS	AMOUNT
010,050,060,080	General Fund (Incl Payroll A/P)	$2,655,896.37
120	Child Development Fund	$43,911.60
130	Cafeteria Fund	$255,358.04
140	Deferred Maintenance Fund	$370.00
210	Building Fund	$40,772.06
250	Capital Facilities Fund	$0.00
350	County School Facility Fund	$0.00
400	Special Reserve for Capital Outlay Fund	$0.00
670	Health and Welfare & Workers Comp	$1,361,612.81
	Subtotal	**$4,357,920.88**
088	Student Body Fund	$2,185.12
	Total Vendor Warrants	**$4,360,106.00**

	PAYROLL WARRANTS	
	10th of the month	$380,134.16
	EOM Payroll	$3,910,046.03
	Manual Checks	$0.00
	Void Checks	$0.00
	Total Payroll Warrants	**$4,290,180.19**
	Grand Total All Warrants	**$8,650,286.19**

	From	To
AP Warrants used #	4010023	- 4010426
Payroll Direct Deposit Pay Stub used #	4728442	- 4729816
Payroll Checks used #	4402630	- 4402772

AP Check Register - Pivot Table Summary by Vendor
November 2021

Vendor Name	Date	Warrant #	Fund	Sum of Amount
3E COMPANY ENVIROMENTAL	11/19/2021	4010230	010	$ 1,500.00
3E COMPANY ENVIROMENTAL Total				**$ 1,500.00**
4IMPRINT INC.	11/24/2021	4010384	060	$ 1,250.67
4IMPRINT INC. Total				**$ 1,250.67**
ACE EMPOWER ACADEMY	11/12/2021	4010099	010	$ 48,578.00
ACE EMPOWER ACADEMY Total				**$ 48,578.00**
ACHIEVE KIDS	11/12/2021	4010180	080	$ 34,958.00
ACHIEVE KIDS Total				**$ 34,958.00**
ACME PACIFIC REPAIRS INC.	11/12/2021	4010138	050	$ 2,808.85
	11/19/2021	4010297	050	$ 2,129.22
ACME PACIFIC REPAIRS INC. Total				**$ 4,938.07**
AGUIAR, ROBERT A. AND KATHRYN	11/19/2021	4010245	010	$ 214.10
AGUIAR, ROBERT A. AND KATHRYN Total				**$ 214.10**
AIDA IBANEZ	11/19/2021	4010298	130	$ 50.14
AIDA IBANEZ Total				**$ 50.14**
AIR FILTER/CONTROL	11/12/2021	4010097	060	$ 17,843.71
AIR FILTER/CONTROL Total				**$ 17,843.71**
ALEJANDRA GUADALUPE BASULTO	11/19/2021	4010246	010	$ 24.98
ALEJANDRA GUADALUPE BASULTO Total				**$ 24.98**
ALIA	11/19/2021	4010257	060	$ 6,909.09
ALIA Total				**$ 6,909.09**
ALLIANCE FOR YOUTH ACHIEVEMENT	11/30/2021	4010414	010	$ 30.00
ALLIANCE FOR YOUTH ACHIEVEMENT Total				**$ 30.00**
ALMIRA VIRGINIA & DIONISIO ET	11/19/2021	4010231	010	$ 214.10
ALMIRA VIRGINIA & DIONISIO ET Total				**$ 214.10**
ALPHA BLANCA ALVARADO(ALPHA1)	11/12/2021	4010100	010	$ 70,698.00
ALPHA BLANCA ALVARADO(ALPHA1) Total				**$ 70,698.00**
ALPHA JOSE HERNANDEZ (ALPHA	11/12/2021	4010101	010	$ 72,091.00
ALPHA JOSE HERNANDEZ (ALPHA Total				**$ 72,091.00**
ALUM ROCK #305	11/30/2021	4010415	010	$ 448.00
ALUM ROCK #305 Total				**$ 448.00**
ALUM ROCK ADMINISTRATORS ASSOC	11/30/2021	4010416	010	$ 495.00
ALUM ROCK ADMINISTRATORS ASSOC Total				**$ 495.00**
AMANDA LEA CHAMBERS	11/24/2021	4010339	060	$ 1,180.00
AMANDA LEA CHAMBERS Total				**$ 1,180.00**
AMAZON.COM LLC	11/12/2021	4010162	010	$ 207.70
	11/24/2021	4010385	060	$ 277.05
AMAZON.COM LLC Total				**$ 484.75**
AMERICAN FIDELITY ASSURANCE	11/30/2021	4010417	010	$ 9,725.00
AMERICAN FIDELITY ASSURANCE Total				**$ 9,725.00**
AMERICAN FIDELITY ASSURANCE CO	11/30/2021	4010418	010	$ 28,839.63
AMERICAN FIDELITY ASSURANCE CO Total				**$ 28,839.63**
AMY ELIZABETH RODRIGUES	11/12/2021	4010113	010	$ 41.44
AMY ELIZABETH RODRIGUES Total				**$ 41.44**
ANDRE BOUDIN BAKERIES	11/19/2021	4010258	010	$ 368.89
ANDRE BOUDIN BAKERIES Total				**$ 368.89**
ANGEL GUZMAN CRUZ	11/24/2021	4010386	010	$ 150.00

AP Check Register - Pivot Table Summary by Vendor
November 2021

Vendor Name	Date	Warrant #	Fund	Sum of Amount
ANGEL GUZMAN CRUZ Total				**$ 150.00**
ANGELINA GONZALES	11/12/2021	4010114	010	$ 304.34
ANGELINA GONZALES Total				**$ 304.34**
ANITA GHOSH	11/19/2021	4010299	010	$ 100.07
ANITA GHOSH Total				**$ 100.07**
ANNYA R ARTIGAS	11/24/2021	4010329	010	$ 43.18
ANNYA R ARTIGAS Total				**$ 43.18**
ANTIPASTOS	11/24/2021	4010387	060	$ 567.64
ANTIPASTOS Total				**$ 567.64**
ANTONIO ANAYA	11/19/2021	4010247	010	$ 72.24
	11/24/2021	4010388	010	$ 150.00
ANTONIO ANAYA Total				**$ 222.24**
APPLE INC.	11/19/2021	4010271	010	$ 356.51
APPLE INC. Total				**$ 356.51**
ARAMARK UNIFORM & CAREER	11/24/2021	4010340	130	$ 1,579.06
ARAMARK UNIFORM & CAREER Total				**$ 1,579.06**
ASSOCIATION OF CALIFORNIA	11/30/2021	4010419	010	$ 2,980.14
ASSOCIATION OF CALIFORNIA Total				**$ 2,980.14**
AT&T	11/12/2021	4010163	010	$ 3,929.05
	11/24/2021	4010341	010	$ 828.48
AT&T Total				**$ 4,757.53**
AXIOM COMMUNICATIONS SYSTEMS	11/12/2021	4010098	050	$ 920.00
		4010164	050	$ 1,536.82
AXIOM COMMUNICATIONS SYSTEMS Total				**$ 2,456.82**
BARBARA CAMPBELL	11/12/2021	4010115	010	$ 149.18
BARBARA CAMPBELL Total				**$ 149.18**
BATTERY SYSTEMS INC	11/12/2021	4010146	050	$ 301.88
BATTERY SYSTEMS INC Total				**$ 301.88**
BAY AREA FLOOR MACHINE	11/12/2021	4010147	010	$ 2,782.96
		4010181	010	$ 45.00
	11/24/2021	4010354	010	$ 780.11
BAY AREA FLOOR MACHINE Total				**$ 3,608.07**
BE GLAD LLC	11/19/2021	4010232	060	$ 1,200.00
BE GLAD LLC Total				**$ 1,200.00**
BENCHMARK EDUCATION COMPANY	11/12/2021	4010165	060	$ 1,021.75
BENCHMARK EDUCATION COMPANY Total				**$ 1,021.75**
BERNAL, STEPHANIE	11/12/2021	4010116	010	$ 286.66
BERNAL, STEPHANIE Total				**$ 286.66**
BETTENCOURT, MARIA F.	11/19/2021	4010272	010	$ 214.10
BETTENCOURT, MARIA F. Total				**$ 214.10**
BIANCA ORTIZ	11/12/2021	4010117	080	$ 91.00
BIANCA ORTIZ Total				**$ 91.00**
BIOMETRICS4ALL INC.	11/5/2021	4010067	010	$ 6.00
BIOMETRICS4ALL INC. Total				**$ 6.00**
BLUETRITION BRANDS INC.	11/5/2021	4010035	010	$ 97.45
			130	$ 18.24
	11/24/2021	4010371	010	$ 743.51

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BLUETRITION BRANDS INC.	11/24/2021	4010371	080	$ 45.89
BLUETRITION BRANDS INC. Total				**$ 905.09**
BRIAN QUINTANA	11/12/2021	4010118	010	$ 182.88
BRIAN QUINTANA Total				**$ 182.88**
CABALLERO GRISELLE	11/24/2021	4010330	010	$ 307.73
CABALLERO GRISELLE Total				**$ 307.73**
CALIFORNIA CONSULTING INC	11/19/2021	4010259	010	$ 12,000.00
CALIFORNIA CONSULTING INC Total				**$ 12,000.00**
CALIFORNIA UNITED MECHANICAL,	11/24/2021	4010342	140	$ 370.00
CALIFORNIA UNITED MECHANICAL, Total				**$ 370.00**
CALPERS	11/1/2021	4010023	010	$ 350.00
CALPERS Total				**$ 350.00**
CAL-STEAM INC.	11/24/2021	4010355	050	$ 668.42
		4010372	050	$ 1,440.50
CAL-STEAM INC. Total				**$ 2,108.92**
CAMBIUM LEARNING INC.	11/5/2021	4010047	060	$ 360.00
CAMBIUM LEARNING INC. Total				**$ 360.00**
CANON FINANCIAL SERVICES INC.	11/5/2021	4010026	010	$ 2,625.03
			060	$ 1,561.89
			080	$ 127.97
		4010027	010	$ 2,678.63
			060	$ 1,561.89
			080	$ 127.97
		4010034	010	$ 2,678.63
			060	$ 1,561.89
			080	$ 127.97
		4010083	010	$ 2,678.63
			060	$ 1,561.89
			080	$ 127.97
	11/24/2021	4010389	060	$ 140.00
CANON FINANCIAL SERVICES INC. Total				**$ 17,560.36**
CARAHSOFT TECHNOLOGY CORP	11/19/2021	4010300	010	$ 10,000.00
CARAHSOFT TECHNOLOGY CORP Total				**$ 10,000.00**
CARDLOCK FUELS SYSTEM INC	11/12/2021	4010139	010	$ 22,556.33
CARDLOCK FUELS SYSTEM INC Total				**$ 22,556.33**
CARLOS C TRIPODI	11/19/2021	4010248	010	$ 47.04
CARLOS C TRIPODI Total				**$ 47.04**
CATHERINE NALLELI VILLARREAL	11/19/2021	4010273	010	$ 30.00
CATHERINE NALLELI VILLARREAL Total				**$ 30.00**
CELLCO PARTNERSHIP	11/12/2021	4010215	010	$ 4,799.91
		4010216	060	$ 22.82
CELLCO PARTNERSHIP Total				**$ 4,822.73**
CENTER FOR THE COLLABORATIVE	11/19/2021	4010233	060	$ 762.13
CENTER FOR THE COLLABORATIVE Total				**$ 762.13**
CESAR MEJIA	11/12/2021	4010119	050	$ 150.00
CESAR MEJIA Total				**$ 150.00**
CHRISTINE GARCIA-COGLIANDRO	11/19/2021	4010301	130	$ 87.92

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CHRISTINE GARCIA-COGLIANDRO Total				$ 87.92
CINTAS CORPORATION	11/12/2021	4010148	010	$ 439.32
			050	$ 88.37
		4010182	010	$ 501.40
			050	$ 88.37
	11/24/2021	4010356	010	$ 418.52
			050	$ 14.75
CINTAS CORPORATION Total				$ 1,550.73
CITY OF SAN JOSE	11/5/2021	4010036	060	$ 14,684.00
CITY OF SAN JOSE Total				$ 14,684.00
CITY YEAR INC.	11/5/2021	4010037	010	$ 86,766.64
			060	$ 347,067.87
CITY YEAR INC. Total				$ 433,834.51
COLLEGIATE DESIGNS INC	11/19/2021	4010260	088	$ 1,933.26
COLLEGIATE DESIGNS INC Total				$ 1,933.26
COUNTY BUILDING MATERIALS INC.	11/12/2021	4010157	050	$ 4,515.00
COUNTY BUILDING MATERIALS INC. Total				$ 4,515.00
CSEA VICTORY CLUB	11/30/2021	4010420	010	$ 45.00
CSEA VICTORY CLUB Total				$ 45.00
CURRICULUM ASSOCIATES LLC	11/12/2021	4010166	010	$ 57.74
CURRICULUM ASSOCIATES LLC Total				$ 57.74
DAMANI MCARTHUR	11/19/2021	4010249	010	$ 2,000.00
DAMANI MCARTHUR Total				$ 2,000.00
DANIEL SANCHEZ	11/5/2021	4010048	060	$ 1,000.00
DANIEL SANCHEZ Total				$ 1,000.00
DANNIS WOLIVER KELLY	11/12/2021	4010222	010	$ 15,000.00
DANNIS WOLIVER KELLY Total				$ 15,000.00
DAVID TAUSSIG & ASSOCIATES INC	11/12/2021	4010217	010	$ 2,106.58
DAVID TAUSSIG & ASSOCIATES INC Total				$ 2,106.58
DAYLIGHT FOODS INC	11/24/2021	4010325	130	$ 17,993.48
DAYLIGHT FOODS INC Total				$ 17,993.48
DBA: EARLY LEARNING SOLUTIONS	11/19/2021	4010274	060	$ 1,800.00
DBA: EARLY LEARNING SOLUTIONS Total				$ 1,800.00
DEBORAH BARRERA	11/19/2021	4010317	010	$ 169.49
DEBORAH BARRERA Total				$ 169.49
DELTA DENTAL	11/12/2021	4010102	670	$ 859.52
DELTA DENTAL Total				$ 859.52
DENISSE MENDEZ	11/24/2021	4010390	130	$ 11.94
DENISSE MENDEZ Total				$ 11.94
DOCUMENT TRACKING SERVICES LLC	11/22/2021	4010324	060	$ 11,150.00
DOCUMENT TRACKING SERVICES LLC Total				$ 11,150.00
DOLORES QUINTERO	11/12/2021	4010218	010	$ 100.00
DOLORES QUINTERO Total				$ 100.00
E.L. ACHIEVE INC.	11/12/2021	4010183	060	$ 5,000.00
	11/19/2021	4010234	060	$ 2,981.34
E.L. ACHIEVE INC. Total				$ 7,981.34
EDGES ELECTRICAL GROUP LLC	11/12/2021	4010150	050	$ 361.91

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EDGES ELECTRICAL GROUP LLC	11/24/2021	4010357	050	$	1,960.00
		4010373	050	$	663.14
EDGES ELECTRICAL GROUP LLC Total				**$**	**2,985.05**
EDWARD ROSE	11/19/2021	4010250	010	$	214.10
EDWARD ROSE Total				**$**	**214.10**
EINSTEIN NOAH RESTAURANT	11/5/2021	4010049	010	$	102.21
	11/19/2021	4010261	010	$	164.41
EINSTEIN NOAH RESTAURANT Total				**$**	**266.62**
EMICS INC.	11/19/2021	4010302	010	$	24,204.00
EMICS INC. Total				**$**	**24,204.00**
ERIN DEVINE	11/12/2021	4010184	080	$	7,280.00
ERIN DEVINE Total				**$**	**7,280.00**
EUGENE L. CISNEROS	11/24/2021	4010331	010	$	607.96
EUGENE L. CISNEROS Total				**$**	**607.96**
EUNICE GARCIA	11/12/2021	4010120	010	$	150.00
EUNICE GARCIA Total				**$**	**150.00**
FEDERAL EXPRESS	11/12/2021	4010121	010	$	224.06
	11/19/2021	4010275	010	$	23.30
FEDERAL EXPRESS Total				**$**	**247.36**
FOLEY & SONS INC	11/5/2021	4010068	060	$	288.00
FOLEY & SONS INC Total				**$**	**288.00**
FOSTER DAIRY FARMS	11/24/2021	4010326	130	$	53,642.30
FOSTER DAIRY FARMS Total				**$**	**53,642.30**
GARDA CL WEST	11/24/2021	4010374	130	$	195.27
GARDA CL WEST Total				**$**	**195.27**
GATEWAY EDUCATION HOLDING LLC	11/5/2021	4010050	060	$	2,574.80
GATEWAY EDUCATION HOLDING LLC Total				**$**	**2,574.80**
GOLD STAR FOODS	11/24/2021	4010327	130	$	161,394.09
GOLD STAR FOODS Total				**$**	**161,394.09**
GRAYBAR ELECTRIC COMPANY, INC.	11/5/2021	4010069	010	$	10.85
	11/19/2021	4010276	010	$	335.43
GRAYBAR ELECTRIC COMPANY, INC. Total				**$**	**346.28**
GUILLERMO HERNANDEZ	11/12/2021	4010122	010	$	150.00
GUILLERMO HERNANDEZ Total				**$**	**150.00**
HARRY L. MURPHY INC.	11/12/2021	4010140	050	$	6,075.00
HARRY L. MURPHY INC. Total				**$**	**6,075.00**
HAZEL DELA CRUZ	11/12/2021	4010185	080	$	67.20
HAZEL DELA CRUZ Total				**$**	**67.20**
HICKLEBEES INC	11/24/2021	4010343	010	$	2,155.58
HICKLEBEES INC Total				**$**	**2,155.58**
HOME DEPOT CREDIT SERVICES	11/24/2021	4010359	050	$	2,383.04
HOME DEPOT CREDIT SERVICES Total				**$**	**2,383.04**
HOME DEPOT U.S.A. INC.	11/12/2021	4010151	010	$	4,955.20
		4010152	010	$	5,155.78
		4010167	050	$	2,659.92
		4010186	010	$	295.64
		4010187	010	$	1,116.80

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
HOME DEPOT U.S.A. INC.	11/19/2021	4010262	010	$ 250.47
	11/24/2021	4010344	010	$ 109.35
		4010358	010	$ 3,489.28
		4010375	050	$ 285.91
HOME DEPOT U.S.A. INC. Total				$ 18,318.35
HORIZON DISTRIBUTORS INC	11/12/2021	4010158	050	$ 2,500.86
HORIZON DISTRIBUTORS INC Total				$ 2,500.86
HOWIES HOCKEY INC.	11/5/2021	4010084	130	$ 2,025.00
HOWIES HOCKEY INC. Total				$ 2,025.00
IBM CORPORATION	11/5/2021	4010051	010	$ 136.40
IBM CORPORATION Total				$ 136.40
ICONIX WATERWORKS (US) INC.	11/12/2021	4010153	050	$ 476.50
ICONIX WATERWORKS (US) INC. Total				$ 476.50
IDN INC	11/24/2021	4010360	050	$ 75.08
IDN INC Total				$ 75.08
IMAGINE LEARNING INC.	11/12/2021	4010168	060	$ 27,000.00
	11/19/2021	4010263	060	$ 1,500.00
	11/24/2021	4010345	060	$ 4,500.00
IMAGINE LEARNING INC. Total				$ 33,000.00
IT MANAGEMENT CORPORATION	11/12/2021	4010188	010	$ 2,980.48
IT MANAGEMENT CORPORATION Total				$ 2,980.48
ITSAVVY LLC	11/12/2021	4010169	060	$ 6,032.82
	11/19/2021	4010235	010	$ 18,366.15
	11/24/2021	4010391	060	$ 377.83
ITSAVVY LLC Total				$ 24,776.80
IXL LEARNING INC.	11/5/2021	4010052	060	$ 1,523.00
IXL LEARNING INC. Total				$ 1,523.00
JEANS PALACE INC.	11/12/2021	4010170	060	$ 376.04
	11/24/2021	4010346	060	$ 194.58
JEANS PALACE INC. Total				$ 570.62
JENNIFER MALONEY	11/19/2021	4010277	010	$ 141.82
JENNIFER MALONEY Total				$ 141.82
JESS FERNANDEZ	11/12/2021	4010149	050	$ 1,500.00
	11/24/2021	4010361	050	$ 4,500.00
JESS FERNANDEZ Total				$ 6,000.00
JESSE M SERNA	11/8/2021	4010091	010	$ 9,205.65
	11/24/2021	4010376	010	$ 7,039.75
JESSE M SERNA Total				$ 16,245.40
JILLIAN C CINTAS	11/19/2021	4010303	010	$ 30.00
JILLIAN C CINTAS Total				$ 30.00
JOHN E BURK	11/19/2021	4010251	010	$ 118.70
JOHN E BURK Total				$ 118.70
JOHN F. FONT PHD & ASSOCIATES	11/5/2021	4010070	080	$ 6,864.00
JOHN F. FONT PHD & ASSOCIATES Total				$ 6,864.00
JOHNNY RAY VILLALOBOS	11/5/2021	4010071	050	$ 150.00
JOHNNY RAY VILLALOBOS Total				$ 150.00
JORGE HERNANDEZ RIVERA	11/12/2021	4010189	010	$ 150.00

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
JORGE HERNANDEZ RIVERA Total				$ 150.00
JP GRAPHICS	11/5/2021	4010053	060	$ 1,164.84
JP GRAPHICS Total				$ 1,164.84
JULIANA VALDERAMA	11/12/2021	4010123	010	$ 132.18
JULIANA VALDERAMA Total				$ 132.18
JUNGER, ROBERT & JANET G.	11/12/2021	4010124	010	$ 214.10
JUNGER, ROBERT & JANET G. Total				$ 214.10
KAISER	11/12/2021	4010103	670	$ 261.00
		4010190	670	$ 190,748.68
	11/24/2021	4010392	670	$ 261.00
KAISER Total				$ 191,270.68
KAISER PERMANENTE	11/24/2021	4010393	670	$ 412.66
KAISER PERMANENTE Total				$ 412.66
KAREN MICHELLE BERGESEN	11/19/2021	4010304	060	$ 386.92
KAREN MICHELLE BERGESEN Total				$ 386.92
KASTURI BASU	11/12/2021	4010191	060	$ 75.00
KASTURI BASU Total				$ 75.00
KAYLA DIANE HARE	11/19/2021	4010318	010	$ 1,814.07
KAYLA DIANE HARE Total				$ 1,814.07
KEENAN & ASSOCIATES	11/12/2021	4010104	670	$ 116,589.00
KEENAN & ASSOCIATES Total				$ 116,589.00
KELLY-MOORE PAINT COMPANY INC.	11/24/2021	4010362	050	$ 305.52
KELLY-MOORE PAINT COMPANY INC. Total				$ 305.52
KENNETH MCBRIAN	11/12/2021	4010125	010	$ 27.00
KENNETH MCBRIAN Total				$ 27.00
KEVIN DAVID WEBSTER	11/5/2021	4010072	080	$ 78.96
KEVIN DAVID WEBSTER Total				$ 78.96
KIMOCHIS	11/5/2021	4010038	060	$ 2,593.22
KIMOCHIS Total				$ 2,593.22
KIPP HEARTWOOD ACADEMY	11/12/2021	4010105	010	$ 84,245.00
KIPP HEARTWOOD ACADEMY Total				$ 84,245.00
KIPP PRIZE PREPARATORY ACADEMY	11/12/2021	4010106	010	$ 84,020.00
KIPP PRIZE PREPARATORY ACADEMY Total				$ 84,020.00
KOHLER ERIC AND INGRID	11/19/2021	4010252	010	$ 214.10
KOHLER ERIC AND INGRID Total				$ 214.10
LAKESHORE EQUIPMENT COMPANY	11/5/2021	4010054	010	$ 78.46
		4010073	010	$ 41.00
	11/12/2021	4010171	010	$ 282.99
	11/19/2021	4010278	010	$ 368.09
	11/24/2021	4010394	010	$ 228.05
			060	$ 1,131.99
LAKESHORE EQUIPMENT COMPANY Total				$ 2,130.58
LAURENCIA SENABRIA	11/24/2021	4010332	130	$ 150.00
LAURENCIA SENABRIA Total				$ 150.00
LAYCO ALICIA P	11/5/2021	4010085	010	$ 214.10
LAYCO ALICIA P Total				$ 214.10
LDP INC	11/19/2021	4010279	060	$ 5,006.27

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
LDP INC Total				**$ 5,006.27**
LIDIA GALLARDO	11/24/2021	4010395	010	$ 150.00
LIDIA GALLARDO Total				**$ 150.00**
LITTLE HEROES INC.	11/12/2021	4010141	060	$ 16,800.00
		4010192	060	$ 2,800.00
	11/19/2021	4010236	060	$ 5,600.00
		4010264	060	$ 2,800.00
		4010280	010	$ 5,600.00
			060	$ 2,800.00
		4010305	060	$ 5,300.00
LITTLE HEROES INC. Total				**$ 41,700.00**
LOGO LOCKER LLC	11/19/2021	4010306	010	$ 840.49
LOGO LOCKER LLC Total				**$ 840.49**
LOPEZ MICHAEL	11/24/2021	4010396	050	$ 150.00
LOPEZ MICHAEL Total				**$ 150.00**
LOWES COMPANIES INC.	11/12/2021	4010154	050	$ 2,014.85
		4010193	050	$ 449.16
LOWES COMPANIES INC. Total				**$ 2,464.01**
LUIS ENRIQUE GARCIA	11/12/2021	4010194	010	$ 150.00
LUIS ENRIQUE GARCIA Total				**$ 150.00**
LUZ VAZQUEZ HERNANDEZ	11/24/2021	4010397	010	$ 150.00
LUZ VAZQUEZ HERNANDEZ Total				**$ 150.00**
MANMIT KAUR DHAMI	11/19/2021	4010319	010	$ 2,917.68
MANMIT KAUR DHAMI Total				**$ 2,917.68**
MANSON WESTERN CORPORATION	11/12/2021	4010195	080	$ 1,357.15
	11/24/2021	4010398	080	$ 125.00
MANSON WESTERN CORPORATION Total				**$ 1,482.15**
MARCELINA RUIZ	11/12/2021	4010219	010	$ 150.00
MARCELINA RUIZ Total				**$ 150.00**
MARIA ARANGO	11/12/2021	4010126	010	$ 147.63
MARIA ARANGO Total				**$ 147.63**
MARIA C. GOCHEZ BLANCO	11/12/2021	4010155	050	$ 366.61
MARIA C. GOCHEZ BLANCO Total				**$ 366.61**
MARIA CARMINA OROZCO	11/12/2021	4010127	010	$ 36.96
MARIA CARMINA OROZCO Total				**$ 36.96**
MARIA E MOYOLI	11/5/2021	4010086	088	$ 108.29
	11/19/2021	4010281	060	$ 47.80
MARIA E MOYOLI Total				**$ 156.09**
MARIA O RIVAS	11/5/2021	4010087	010	$ 214.10
MARIA O RIVAS Total				**$ 214.10**
MARIA T MANZANEDO	11/19/2021	4010320	010	$ 1,712.65
MARIA T MANZANEDO Total				**$ 1,712.65**
MARK L. FARNELL	11/12/2021	4010196	670	$ 1,669.50
MARK L. FARNELL Total				**$ 1,669.50**
MARTHA ARAMBULA CONTRERAS	11/5/2021	4010039	130	$ 150.00
MARTHA ARAMBULA CONTRERAS Total				**$ 150.00**
MATTHEW WARNER	11/30/2021	4010409	010	$ 19.80

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MATTHEW WARNER Total				$ 19.80
MAXIM HEALTHCARE SERVICES	11/12/2021	4010142	080	$ 32,361.50
MAXIM HEALTHCARE SERVICES Total				$ 32,361.50
METLIFE SMALL MARKET	11/12/2021	4010107	010	$ 1,848.37
METLIFE SMALL MARKET Total				$ 1,848.37
MICHAEL A. DUNEFSKY AND	11/19/2021	4010253	010	$ 214.10
MICHAEL A. DUNEFSKY AND Total				$ 214.10
MID AMERICA ADMINISTRATION	11/30/2021	4010421	010	$ 8,081.02
MID AMERICA ADMINISTRATION Total				$ 8,081.02
MILLAN, YVONNE	11/24/2021	4010333	010	$ 65.84
MILLAN, YVONNE Total				$ 65.84
MONARCH TRUCK SERVICE	11/24/2021	4010377	010	$ 5,146.15
MONARCH TRUCK SERVICE Total				$ 5,146.15
MURPHY, EMMA	11/30/2021	4010410	010	$ 85.98
MURPHY, EMMA Total				$ 85.98
MUS VIL INC.	11/5/2021	4010055	010	$ 5,639.20
	11/19/2021	4010307	010	$ 109.38
MUS VIL INC. Total				$ 5,748.58
NADIA G MIRANDA	11/5/2021	4010056	130	$ 28.00
NADIA G MIRANDA Total				$ 28.00
NANCY ANN HADDOX	11/12/2021	4010172	010	$ 90.00
NANCY ANN HADDOX Total				$ 90.00
NASCO MODESTO A DIV.OF	11/19/2021	4010237	010	$ 87.31
NASCO MODESTO A DIV.OF Total				$ 87.31
NCS PEARSON INC.	11/12/2021	4010173	080	$ 1,414.71
	11/19/2021	4010265	080	$ 306.96
NCS PEARSON INC. Total				$ 1,721.67
NEXTEL OF CALIFORNIA INC.	11/12/2021	4010197	010	$ 2,336.51
NEXTEL OF CALIFORNIA INC. Total				$ 2,336.51
NGUYEN, HUY QUANG	11/12/2021	4010128	010	$ 214.10
NGUYEN, HUY QUANG Total				$ 214.10
NO TEARS LEARNING INC.	11/5/2021	4010074	080	$ 415.09
	11/19/2021	4010238	010	$ 249.05
NO TEARS LEARNING INC. Total				$ 664.14
NORCAL TRUCK LEASING INC	11/5/2021	4010075	010	$ 253.69
	11/24/2021	4010347	010	$ 166.00
NORCAL TRUCK LEASING INC Total				$ 419.69
OCCUPATIONAL HEALTH CTR OF CA	11/12/2021	4010174	010	$ 132.50
	11/19/2021	4010308	010	$ 32.50
OCCUPATIONAL HEALTH CTR OF CA Total				$ 165.00
OFFICE DEPOT	11/5/2021	4010025	010	$ 580.22
		4010028	010	$ 829.26
		4010029	010	$ 671.30
		4010030	010	$ 735.55
		4010031	010	$ 868.72
		4010032	010	$ 1,025.62
		4010033	010	$ 396.54

AP Check Register - Pivot Table Summary by Vendor
November 2021

Vendor Name	Date	Warrant #	Fund	Sum of Amount
OFFICE DEPOT	11/5/2021	4010033	670	$ 75.45
			130	$ 29.77
		4010063	010	$ 598.32
		4010064	010	$ 1,290.03
		4010065	010	$ 962.32
			060	$ 295.19
		4010066	010	$ 1,318.20
			080	$ 1.72
	11/8/2021	4010090	010	$ 528.47
			130	$ 52.54
	11/19/2021	4010226	010	$ 389.06
		4010227	010	$ 1,076.09
		4010228	010	$ 448.92
		4010229	010	$ 474.29
			060	$ 402.53
		4010242	010	$ 323.11
		4010243	010	$ 522.62
			130	$ 678.17
		4010244	010	$ 547.47
		4010270	010	$ 1,046.59
		4010292	010	$ 434.25
		4010293	010	$ 172.46
		4010294	010	$ 697.68
		4010295	010	$ 429.30
		4010296	010	$ 1,738.03
OFFICE DEPOT Total				$ 19,639.79
OLIVEIRA, EDUARDA M.	11/12/2021	4010129	010	$ 214.10
OLIVEIRA, EDUARDA M. Total				$ 214.10
OLYMPIC TROPHY	11/19/2021	4010309	010	$ 125.23
OLYMPIC TROPHY Total				$ 125.23
O'REILLY AUTOMOTIVE STORES INC	11/8/2021	4010092	010	$ 568.43
	11/12/2021	4010198	010	$ 1,319.86
O'REILLY AUTOMOTIVE STORES INC Total				$ 1,888.29
ORLANDI TRAILER	11/24/2021	4010363	050	$ 55.30
ORLANDI TRAILER Total				$ 55.30
OTC DIRECT INC	11/19/2021	4010282	080	$ 99.09
			088	$ 25.25
OTC DIRECT INC Total				$ 124.34
P & R PAPER SUPPLY COMPANY	11/12/2021	4010110	130	$ 14,634.23
P & R PAPER SUPPLY COMPANY Total				$ 14,634.23
PACIFIC EDUCATORS INC.	11/30/2021	4010422	010	$ 98.58
PACIFIC EDUCATORS INC. Total				$ 98.58
PANORAMA EDUCATION INC.	11/24/2021	4010348	060	$ 31,760.00
PANORAMA EDUCATION INC. Total				$ 31,760.00
PAULINA MARTINEZ	11/12/2021	4010175	010	$ 33.86
PAULINA MARTINEZ Total				$ 33.86
PE UNIFORMS R US	11/5/2021	4010057	010	$ 2,581.58

AP Check Register - Pivot Table Summary by Vendor
November 2021

Vendor Name	Date	Warrant #	Fund	Sum of Amount
PE UNIFORMS R US Total				$ 2,581.58
PEAR DECK INC.	11/12/2021	4010176	060	$ 1,697.93
	11/24/2021	4010399	060	$ 1,606.50
PEAR DECK INC. Total				$ 3,304.43
PEREIRA, JOHN M. AND SHARON L	11/12/2021	4010130	010	$ 214.10
PEREIRA, JOHN M. AND SHARON L Total				$ 214.10
PERSEUS ASSOCIATES	11/24/2021	4010378	010	$ 525.00
PERSEUS ASSOCIATES Total				$ 525.00
PESI	11/5/2021	4010058	010	$ 219.99
PESI Total				$ 219.99
PG&E	11/8/2021	4010093	010	$ 42.17
		4010095	010	$ 168,854.95
PG&E Total				$ 168,897.12
PRE-PAID LEGAL SERVICES INC.	11/30/2021	4010423	010	$ 299.74
PRE-PAID LEGAL SERVICES INC. Total				$ 299.74
PRESENTATION PRODUCTS INC.	11/12/2021	4010199	010	$ 1,158.85
PRESENTATION PRODUCTS INC. Total				$ 1,158.85
PRO-ED	11/12/2021	4010177	010	$ 355.49
			080	$ 211.30
PRO-ED Total				$ 566.79
QUADIENT FINANCE USA, INC.	11/5/2021	4010076	010	$ 10,060.00
QUADIENT FINANCE USA, INC. Total				$ 10,060.00
QUANT, YADIRA	11/12/2021	4010220	010	$ 97.53
QUANT, YADIRA Total				$ 97.53
QUICK LIGHT RECYCLING LLC	11/24/2021	4010364	050	$ 2,337.16
QUICK LIGHT RECYCLING LLC Total				$ 2,337.16
R & R REFRIGERATION & AIR	11/12/2021	4010143	130	$ 1,362.16
	11/19/2021	4010310	130	$ 1,225.89
R & R REFRIGERATION & AIR Total				$ 2,588.05
RAISING A READER	11/19/2021	4010311	060	$ 8,355.16
RAISING A READER Total				$ 8,355.16
RANDAL VERNON BARBER	11/12/2021	4010131	010	$ 27.16
RANDAL VERNON BARBER Total				$ 27.16
RANDALL E. KLEIN	11/5/2021	4010040	010	$ 464.00
		4010077	010	$ 4,345.00
RANDALL E. KLEIN Total				$ 4,809.00
RAUL C. ROJAS	11/4/2021	4010024	080	$ 3,867.44
RAUL C. ROJAS Total				$ 3,867.44
REALLY GOOD STUFF LLC	11/19/2021	4010239	010	$ 190.92
REALLY GOOD STUFF LLC Total				$ 190.92
REBECCA M JENSEN	11/24/2021	4010400	060	$ 319.35
REBECCA M JENSEN Total				$ 319.35
RECOLOGY SOUTH BAY	11/12/2021	4010111	010	$ 18,122.05
	11/19/2021	4010312	010	$ 4,341.50
RECOLOGY SOUTH BAY Total				$ 22,463.55
REHON & ROBERTS APC	11/24/2021	4010379	010	$ 11,833.00
			210	$ 40,772.06

AP Check Register - Pivot Table Summary by Vendor
November 2021

Vendor Name	Date	Warrant #	Fund	Sum of Amount
REHON & ROBERTS APC Total				$ 52,605.06
RICK DELONG	11/12/2021	4010200	010	$ 1,515.00
RICK DELONG Total				$ 1,515.00
RIVAS, KAREEN	11/8/2021	4010094	060	$ 593.30
	11/12/2021	4010132	010	$ 218.10
RIVAS, KAREEN Total				$ 811.40
RMC A RAY MORGAN COMPANY	11/12/2021	4010178	060	$ 56.36
RMC A RAY MORGAN COMPANY Total				$ 56.36
RO HEALTH INC.	11/5/2021	4010059	010	$ 7,037.70
	11/19/2021	4010266	010	$ 12,264.00
RO HEALTH INC. Total				$ 19,301.70
ROCHESTER 100 INC.	11/24/2021	4010401	010	$ 56.00
ROCHESTER 100 INC. Total				$ 56.00
ROCIO REGINA ANATALIA URENA	11/30/2021	4010411	010	$ 174.34
ROCIO REGINA ANATALIA URENA Total				$ 174.34
ROCKETSHIP FUERZA	11/12/2021	4010108	010	$ 123,646.00
ROCKETSHIP FUERZA Total				$ 123,646.00
ROCKETSHIP SI SE PUEDE	11/12/2021	4010109	010	$ 76,994.00
ROCKETSHIP SI SE PUEDE Total				$ 76,994.00
ROLLINS INC.	11/12/2021	4010156	050	$ 954.52
ROLLINS INC. Total				$ 954.52
ROMERO, JAMES AND STELLA M.	11/19/2021	4010283	010	$ 214.10
ROMERO, JAMES AND STELLA M. Total				$ 214.10
S.C.C.E.S.J.H.C.A	11/12/2021	4010144	010	$ 225.00
S.C.C.E.S.J.H.C.A Total				$ 225.00
SABINA MURESAN	11/12/2021	4010133	010	$ 62.16
	11/24/2021	4010334	010	$ 54.88
SABINA MURESAN Total				$ 117.04
SAN JOSE WATER COMPANY	11/8/2021	4010096	010	$ 97,488.76
SAN JOSE WATER COMPANY Total				$ 97,488.76
SANTA CLARA COUNTY OF EDU	11/5/2021	4010041	010	$ 44.17
		4010078	010	$ 88.35
SANTA CLARA COUNTY OF EDU Total				$ 132.52
SANTA CLARA COUNTY OFFICE OF	11/5/2021	4010042	010	$ 117.79
		4010079	010	$ 6,209.78
	11/19/2021	4010313	010	$ 75.00
SANTA CLARA COUNTY OFFICE OF Total				$ 6,402.57
SANTARINA, TRAVIS	11/5/2021	4010080	080	$ 67.20
SANTARINA, TRAVIS Total				$ 67.20
SAVE MART SUPERMARKETS	11/24/2021	4010402	060	$ 180.83
SAVE MART SUPERMARKETS Total				$ 180.83
SCHINDLER ELEVATOR CORPORATION	11/24/2021	4010380	050	$ 1,659.60
SCHINDLER ELEVATOR CORPORATION Total				$ 1,659.60
SCHOLASTIC INC.	11/12/2021	4010201	060	$ 237.40
	11/24/2021	4010349	010	$ 329.22
SCHOLASTIC INC. Total				$ 566.62
SCHOOL DATEBOOKS	11/19/2021	4010267	060	$ 2,297.00

AP Check Register - Pivot Table Summary by Vendor
November 2021

Vendor Name	Date	Warrant #	Fund	Sum of Amount
SCHOOL DATEBOOKS Total				$ 2,297.00
SCHOOL HEALTH CORPORATION	11/12/2021	4010202	080	$ 89.52
	11/19/2021	4010284	060	$ 114.59
		4010314	010	$ 25.13
SCHOOL HEALTH CORPORATION Total				$ 229.24
SCHOOL SERVICES OF CALIFORNIA	11/12/2021	4010203	010	$ 340.00
SCHOOL SERVICES OF CALIFORNIA Total				$ 340.00
SCHOOL SPECIALTY LLC	11/24/2021	4010403	060	$ 255.09
SCHOOL SPECIALTY LLC Total				$ 255.09
SCIENCE FROM SCIENTISTS INC.	11/19/2021	4010240	060	$ 5,000.00
SCIENCE FROM SCIENTISTS INC. Total				$ 5,000.00
SCOTT, SAMANTHA	11/24/2021	4010335	010	$ 216.68
SCOTT, SAMANTHA Total				$ 216.68
SECURITY CONTRACTOR SERVICES	11/12/2021	4010204	050	$ 735.00
SECURITY CONTRACTOR SERVICES Total				$ 735.00
SELF INSURED SCHOOLS OF	11/18/2021	4010225	670	$ 815,477.00
SELF INSURED SCHOOLS OF Total				$ 815,477.00
SERVICE STATION SYSTEMS	11/12/2021	4010205	010	$ 2,520.56
			050	$ 275.00
SERVICE STATION SYSTEMS Total				$ 2,795.56
SEVERIN INTERMEDIATE HOLDINGS	11/5/2021	4010081	010	$ 28,560.00
	11/12/2021	4010206	010	$ 6,006.00
		4010221	010	$ 420.00
SEVERIN INTERMEDIATE HOLDINGS Total				$ 34,986.00
SFCC INTERMEDIATEHOLDINGS INC	11/12/2021	4010179	010	$ 102.92
	11/19/2021	4010241	088	$ 118.32
SFCC INTERMEDIATEHOLDINGS INC Total				$ 221.24
SHALLY L TIET	11/19/2021	4010321	010	$ 1,676.79
SHALLY L TIET Total				$ 1,676.79
SHARP ELECTRONICS CORPORATION	11/12/2021	4010207	060	$ 937.39
SHARP ELECTRONICS CORPORATION Total				$ 937.39
SHAYNE SORIANO	11/19/2021	4010285	010	$ 233.47
SHAYNE SORIANO Total				$ 233.47
SHERYL D MOORING	11/19/2021	4010254	010	$ 320.81
SHERYL D MOORING Total				$ 320.81
SILICON VALLEY SECURITY &	11/24/2021	4010365	010	$ 7,722.48
SILICON VALLEY SECURITY & Total				$ 7,722.48
SITHAN LY	11/5/2021	4010060	130	$ 38.08
SITHAN LY Total				$ 38.08
SPRINGBOARD COLLABORATIVE	11/5/2021	4010043	010	$ 31,625.00
			060	$ 56,125.00
SPRINGBOARD COLLABORATIVE Total				$ 87,750.00
SPURR	11/19/2021	4010268	010	$ 3,610.36
SPURR Total				$ 3,610.36
STANDARD INSURANCE CO.	11/30/2021	4010424	010	$ 15,806.15
STANDARD INSURANCE CO. Total				$ 15,806.15
STATE OF CALIFORNIA	11/19/2021	4010286	010	$ 256.00

AP Check Register - Pivot Table Summary by Vendor
November 2021

Vendor Name	Date	Warrant #	Fund	Sum of Amount
STATE OF CALIFORNIA Total				**$ 256.00**
STATEWIDE TRAFFIC SAFETY AND	11/12/2021	4010159	050	$ 532.70
STATEWIDE TRAFFIC SAFETY AND Total				**$ 532.70**
STEVEN WAYNE DOTY	11/24/2021	4010366	050	$ 3,500.00
STEVEN WAYNE DOTY Total				**$ 3,500.00**
STROM, EDWARD L.	11/5/2021	4010088	010	$ 214.10
STROM, EDWARD L. Total				**$ 214.10**
SUGIMURA FINNEY ARCHITECTS	11/12/2021	4010145	060	$ 18,640.40
SUGIMURA FINNEY ARCHITECTS Total				**$ 18,640.40**
SUNBELT RENTALS INC.	11/24/2021	4010367	050	$ 2,572.23
SUNBELT RENTALS INC. Total				**$ 2,572.23**
SUNBELT STAFFING LLC	11/12/2021	4010208	080	$ 29,295.75
SUNBELT STAFFING LLC Total				**$ 29,295.75**
SUPER DUPER INC	11/5/2021	4010061	080	$ 81.94
SUPER DUPER INC Total				**$ 81.94**
SWING EDUCATION INC.	11/5/2021	4010082	010	$ 1,080.10
	11/12/2021	4010209	010	$ 1,400.00
	11/19/2021	4010287	010	$ 1,880.20
SWING EDUCATION INC. Total				**$ 4,360.30**
TALTON, VERONICA	11/12/2021	4010134	010	$ 26.21
TALTON, VERONICA Total				**$ 26.21**
TEACHER'S DISCOVERY	11/24/2021	4010404	010	$ 228.19
TEACHER'S DISCOVERY Total				**$ 228.19**
TEAMSTERS LOCAL #150	11/30/2021	4010425	010	$ 9,725.18
TEAMSTERS LOCAL #150 Total				**$ 9,725.18**
TEAMSTERS MANAGED TRUST FUNDS	11/16/2021	4010223	670	$ 219,693.00
TEAMSTERS MANAGED TRUST FUNDS Total				**$ 219,693.00**
TEODOLINDA FARIAS MENDOZA	11/12/2021	4010135	010	$ 69.73
TEODOLINDA FARIAS MENDOZA Total				**$ 69.73**
TERESA AMADOR	11/24/2021	4010336	130	$ 11.76
TERESA AMADOR Total				**$ 11.76**
TEXAS LIFE INSURANCE	11/30/2021	4010426	010	$ 5,906.30
TEXAS LIFE INSURANCE Total				**$ 5,906.30**
THAKAR, JYOTI K.	11/19/2021	4010288	010	$ 204.18
THAKAR, JYOTI K. Total				**$ 204.18**
THE ART OF EDUCATION	11/19/2021	4010269	010	$ 1,516.00
THE ART OF EDUCATION Total				**$ 1,516.00**
THE GOODYEAR TIRE & RUBBER	11/24/2021	4010381	010	$ 1,615.55
THE GOODYEAR TIRE & RUBBER Total				**$ 1,615.55**
THE LIBRARY STORE INC.	11/12/2021	4010210	060	$ 630.30
THE LIBRARY STORE INC. Total				**$ 630.30**
THE PROPHET CORPORATION	11/5/2021	4010062	010	$ 954.01
	11/12/2021	4010211	010	$ 422.67
			060	$ 377.23
	11/19/2021	4010315	010	$ 738.60
			060	$ 1,058.27
			080	$ 110.12

AP Check Register - Pivot Table Summary by Vendor
November 2021

Vendor Name	Date	Warrant #	Fund		Sum of Amount
THE PROPHET CORPORATION	11/24/2021	4010405	010	$	606.95
			060	$	96.48
THE PROPHET CORPORATION Total				$	4,364.33
THINK TOGETHER	11/5/2021	4010044	010	$	78,000.00
			060	$	9,750.00
	11/12/2021	4010212	010	$	78,000.00
			060	$	9,750.00
			120	$	43,911.60
THINK TOGETHER Total				$	219,411.60
TOBI STEVENS NIELSEN	11/19/2021	4010255	010	$	149.99
TOBI STEVENS NIELSEN Total				$	149.99
TRACY LYNN LOFTIN	11/12/2021	4010136	010	$	49.05
TRACY LYNN LOFTIN Total				$	49.05
TRAN, PAUL TANH	11/19/2021	4010256	010	$	214.10
TRAN, PAUL TANH Total				$	214.10
TREINA, BILLS A.	11/19/2021	4010322	010	$	650.54
TREINA, BILLS A. Total				$	650.54
TREVOR DAVID EMMETT	11/24/2021	4010406	050	$	150.00
TREVOR DAVID EMMETT Total				$	150.00
TSURUDA MARSHALL	11/24/2021	4010337	010	$	607.96
TSURUDA MARSHALL Total				$	607.96
TURF STAR	11/24/2021	4010368	050	$	4,709.54
TURF STAR Total				$	4,709.54
U.S. BANK	11/18/2021	4010224	010	$	4,814.88
U.S. BANK Total				$	4,814.88
UNITED PARCEL SERVICE	11/24/2021	4010382	010	$	180.25
UNITED PARCEL SERVICE Total				$	180.25
UNITED REFRIGERATION INC.	11/12/2021	4010160	050	$	1,459.01
	11/24/2021	4010369	050	$	180.08
		4010383	050	$	3,665.72
UNITED REFRIGERATION INC. Total				$	5,304.81
UNITEDHEALTHCARE INSURANCE	11/30/2021	4010412	670	$	15,506.00
UNITEDHEALTHCARE INSURANCE Total				$	15,506.00
URBANO J GUERRERO	11/24/2021	4010407	010	$	150.00
URBANO J GUERRERO Total				$	150.00
VALENTINO ELDA	11/12/2021	4010137	010	$	214.10
VALENTINO ELDA Total				$	214.10
VALLEY FLORIST	11/24/2021	4010350	060	$	174.99
VALLEY FLORIST Total				$	174.99
VALLEY TRANSPORTATION AUTH.	11/12/2021	4010112	060	$	1,004.00
	11/24/2021	4010328	060	$	1,015.00
		4010351	060	$	195.00
VALLEY TRANSPORTATION AUTH. Total				$	2,214.00
VELAZQUEZ, ANA	11/30/2021	4010413	010	$	12.95
VELAZQUEZ, ANA Total				$	12.95
VENTRIS LEARNING LLC	11/5/2021	4010045	080	$	139.06
VENTRIS LEARNING LLC Total				$	139.06

AP Check Register - Pivot Table Summary by Vendor
November 2021

Vendor Name	Date	Warrant #	Fund	Sum of Amount
VISTA CENTER FOR THE BLIND &	11/19/2021	4010289	080	$ 475.00
VISTA CENTER FOR THE BLIND & Total				**$ 475.00**
VIVIANNE NGUYEN	11/24/2021	4010338	010	$ 36.49
VIVIANNE NGUYEN Total				**$ 36.49**
VOYAGER SOPRIS LEARNING INC.	11/19/2021	4010290	060	$ 33,000.00
VOYAGER SOPRIS LEARNING INC. Total				**$ 33,000.00**
W.W. GRAINGER INC.	11/24/2021	4010370	050	$ 742.26
W.W. GRAINGER INC. Total				**$ 742.26**
WARDS AUTO SUPPLY, INC	11/12/2021	4010213	010	$ 912.48
WARDS AUTO SUPPLY, INC Total				**$ 912.48**
WATER QUALITY PLUMBING INC	11/12/2021	4010161	050	$ 13,500.00
WATER QUALITY PLUMBING INC Total				**$ 13,500.00**
WENDY LYNN ROLFS	11/19/2021	4010316	010	$ 104.62
WENDY LYNN ROLFS Total				**$ 104.62**
WEST MUSIC COMPANY INC	11/24/2021	4010408	060	$ 102.56
WEST MUSIC COMPANY INC Total				**$ 102.56**
WEX Health Inc	11/12/2021	4010214	670	$ 60.00
WEX Health Inc Total				**$ 60.00**
XTRAMATH	11/24/2021	4010352	060	$ 512.50
XTRAMATH Total				**$ 512.50**
YMCA OF SILICON VALLEY	11/5/2021	4010046	010	$ 63,229.09
			060	$ 36,939.92
	11/24/2021	4010353	010	$ 45,380.20
			060	$ 24,441.20
YMCA OF SILICON VALLEY Total				**$ 169,990.41**
YOUNG, YVONNE MING	11/5/2021	4010089	010	$ 214.10
YOUNG, YVONNE MING Total				**$ 214.10**
ZANE GRAY HAWKINS	11/19/2021	4010323	010	$ 8,276.16
ZANE GRAY HAWKINS Total				**$ 8,276.16**
ZUM SERVICES INC	11/19/2021	4010291	010	$ 11,875.90
ZUM SERVICES INC Total				**$ 11,875.90**
Grand Total				**$ 4,360,106.00**

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.06

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees: December 3, 2021

Subject: **Amended Approval of Annual Developer Fees 2020-2021**

Staff Analysis: Government Code Section 66006 requires local agencies, including school districts, which are collecting developer fees to provide an annual accounting of such fees. In accordance with GC 66006(b) (1) the information is required to be made available to the public within 180 days of the last day of the fiscal year, and shall include the following information for the prior fiscal year:

(A) A brief description of the type of fee in the account or fund.
(B) The amount of the fee.
(C) The beginning and ending balance of the account or fund.
(D) The amount of the fees collected and the interest earned.
(E) An identification of each public improvement on which fees were expended and the amount of the expenditures on each improvement, including the total percentage of the cost of the public improvement that was funded with fees.
(F) An identification of an approximate date by which the construction of the public improvement will commence if the local agency determines that sufficient funds have been collected to complete financing on an incomplete public improvement, as identified in paragraph (2) of subdivision (a) of Section 66001, and the public improvement remains incomplete.
(G) A description of each interfund transfer or loan made from the account or fund, including the public improvement on which the transferred or loaned fees will be expended, and, in the case of an interfund loan, the date on which the loan will be repaid, and the rate of interest that the account or fund will receive on the loan.
(H) The amount of refunds made pursuant to subdivision (e) of Section 66001 and any allocations pursuant to subdivision (f) of Section 66001.

This summary report provides the required information and is presented for review and approval in accordance with Government Code Section 66006.

Recommendation: Staff recommends that the Board of Trustees approve the Amended Annual Accounting of Developer Fees 2020-2021 as presented.

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees: Meeting: December 16, 2021
Regular Board Meeting

Recommend Approval

10.06
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
Date: November 18, 2021

Annual disclosure of Developer Fees: Fund 25 for the fiscal year 2020-21.
And disclosure per Section 66001, Subsection (d) (2)

Government Code Section 66006(b) requires any public agency collecting developer fees to report annually on the status of these funds. The report contained herein is the status report of developer fees collected during the fiscal year ended June 30, 2021.

The statutory developer fee rates are:

$2.24 for residential properties
$0.32 for commercial properties.

Alum Rock Union Elementary School District receives 60% of the fees collected.
The remaining 40% is credited to the appropriate high school district.

There have been no changes to the fee amounts charged since the adoption of resolution #29 in 2014-2015.

Total fees collected in 2020-2021 fiscal year were $303,848.13.
Interest earned in the account was $16,673.03.
The net increase in fair market value was $172.05.

Beginning fund balance as of July 1, 2020 was $1,760,720.32.

Ending fund balance as of June 30, 2021 is $1,855,352.97.

Per Board Approved Resolution 32-17/18, Developer Fees Fund 25 was approved for payment of outstanding certificates which totaled $ 226,060.56 for the 2020-2021 fiscal year.

There was no deficit spending in 2020-2021 fiscal year.

There were no refunds during the 2020-2021 fiscal year.

There were no administrative fees transferred to the General Fund during the 2020-2021 fiscal year.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.07

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees: Date: November 30, 2021

Subject: **Santa Clara County Treasury Investment Portfolio Status**

Staff Analysis: In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statues of 1995 and in compliance with county-policy, the Santa Clara County Treasury Investment Portfolio Report as of September 30, 2021 is submitted for the Board of Trustee's review and acceptance.

Recommendation: Staff recommends that the Board of Trustees approve the SCC Investment Portfolio Report as of September 30, 2021.

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Recommend Approval

10.07
Agenda Placement

Meeting: December 16, 2021
Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

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County of Santa Clara

Finance Agency
Controller-Treasurer Department

County Government Center
70 W. Hedding Street, East Wing, 2nd Floor
San Jose, California 95110-1705
(408) 299-5200 FAX (408) 288-9237

November 17, 2021

Submitted by: DocuSigned by: Margaret Olaiya 88C20E0A14E143D...
Margaret Olaiya, Acting Finance Director

TO: BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICT
BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM: MARK R. RASIAH, CONTROLLER-TREASURER DocuSigned by: Mark R. Rasiah E5C1530E45814D0...

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

RECOMMENDATION

Receive and file the September 30, 2021 Detailed Investment Portfolio Listing.

DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of September 30, 2021 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool, as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

	Cost	Market Value	Increase (Decrease)	Percent
Commingled Investments	$ 8,808,632,126	$ 8,833,446,365	$ 24,814,239	0. 28%

Board of Supervisors: Mike Wasserman, Cindy Chavez, Otto Lee, Susan Ellenberg, Joe Simitian
County Executive: Jeffrey Smith

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The yield of the Pool on September 30, 2021 was .75%. As a comparison, on September 30, 2021 the yield of a 6-month Treasury Bill was .05%. A two-year Treasury Note was .28%. The State of California Local Agency Investment Fund (LAIF) yield was .21%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Investment Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of maturing securities, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

Attachments:

September 30, 2021 Quarterly Investment Report

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Quarterly Investment Report

September 30, 2021

DocuSign Envelope ID: EDFC3C30-3086-4000-A19A-499ED12BA1FB

Quarterly Investment Review Table of Contents

Quarterly Investment Report
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Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Susan Ellenberg, S. Joseph Simitian

County Executive: Jeffrey V. Smith

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Santa Clara County Commingled Pool and Segregated Investments

September 30, 2021

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	$8,808,632,126	$8,833,446,365	$24,814,239	0.28%
Worker's Compensation	$29,930,752	$30,130,550	$199,799	0.67%
Park Charter Fund	$4,397,446	$4,406,938	$9,492	0.22%
San Jose-Evergreen	$21,179,982	$21,336,145	$156,163	0.74%
Medical Malpractice Insurance Fund (1)	$9,747,750	$9,897,814	$150,063	1.54%
Total	**$8,873,888,056**	**$8,899,217,812**	**$25,329,756**	**0.29%**

(1) Managed by Chandler Asset Management, Inc.

Summary of Yields* for Select Santa Clara County Investment Funds

Fund	2021			2020
	Jul 31	Aug 31	Sep 30	Sep 30
Commingled Investment Pool	0.77%	0.76%	0.75%	1.29%
Worker's Compensation	1.41%	1.24%	0.95%	1.36%
Weighted Yield	**0.77%**	**0.76%**	**0.75%**	**1.29%**

*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

**Cost Value is the amortized book value of the securities as of the date of this report.

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Santa Clara County Commingled Pool and Segregated Investments

Economic Update and Portfolio Strategy

September 30, 2021

The pace of growth in the U.S. economy slowed markedly in the third quarter. Gross domestic product grew at an annual rate of 2 percent, the weakest quarter of growth since the recovery began. Despite strong consumer demand, the limited availability of a wide spectrum of goods and services due to supply chain disruptions and labor shortages restrained overall spending. The drop-off in spending is clearly illustrated by personal consumption which grew at just a 1.6 percent during the quarter after a rapid 12 percent pace in the prior period.

Most of the decline in real purchases occurred in the automobile sector. The reduction in sales of motor vehicles and parts alone took away 2.7 points off gross domestic product. This suggests that, absent the semi-conductor chip shortage that continues to plague the auto industry, the economy would have registered annual growth of 4.7 percent in the third quarter. This roughly approximates the pace of GDP earlier this year.

Even with weak third quarter performance, economic forecasters expect the post-pandemic recovery will continue and project 5.7 percent growth in real gross domestic product fueled by consumer spending for 2021. Producer inventories continued to fall, as they have all year, indicating that pent-up demand is accumulating among businesses as well as consumers. Because of lockdowns during which spending was curtailed and the unprecedented amount of stimulus money from the government in response to the pandemic, it is estimated that households have accumulated more than $2.0 trillion in excess savings during the coronavirus crisis. Household wealth has been further bolstered by soaring stock and housing values.

Perhaps most importantly, wages, the most decisive factor affecting spending, rose strongly again in September as companies outside the most Covid-affected industries quickly hired available workers, at competitive wages. Compensation of employees at private businesses grew at a robust 9.2%, easily outpacing the rise in inflation. Of note, compensation is slightly above its pre-pandemic trend, a positive milestone.

Economists expect ongoing increases in demand as the economy re-opens. The Delta variant which led to a spike in coronavirus cases across much of the country has already begun to subside. With this most recent wave, leisure and hospitality businesses, a main driver of job growth earlier this year, was strongly impacted. As a consequence, employers added only 194,000 jobs in September, a reduction from the 366,000 added in August and far below than the one million job increase in July. Job growth in these sectors should improve.

Of note, household consumption of services continued to rebound, adding 3.6 points to real GDP for the quarter, compared to 2.1 and 5.4 respectively in the first two quarters of the year. The economy is continuing to rebalance from the lockdowns of last winter and spring, which caused a collapse in the service sector and concurrently triggered a massive surge in the consumption of goods.

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Santa Clara County Commingled Pool and Segregated Investments

Economic Update and Portfolio Strategy

September 30, 2021

U.S. inflation remained accelerated in September and was at its highest rate in over a decade. The personal consumption expenditures price index excluding food and energy costs, an inflation measure followed closely by Federal Reserve policy makers, remained elevated, growing an annualized 4.5 percent in the third quarter after posting a 6.1 percent jump in the prior three months. Although not comparable with inflation of the 1970's, this is a significant change after two decades in which consumer price inflation in the U.S. has been anchored well below 2 percent.

Unusually high demand is a crucial factor driving higher inflation. Spending accelerated over the first three quarters as more people received Covid-19 vaccinations, businesses reopened and trillions of dollars in federal aid circulated through the economy. The shipment of both inputs and finished goods remains a major problem for manufacturers. West Coasts ports have been hampered by record backlogs, trucking companies and railroads continue to face bottlenecks, and shipping container costs have surged. A shortage of workers is also driving up wages, putting pressure on companies to raise prices. A sharp upswing in restaurant prices during the past few months is a sign of this pass-through from wages into higher prices.

Higher inflation has already begun to weigh on Federal Reserve Bank (Fed) policy decisions. Policy makers have expressed concerns that labor shortages and disrupted supply chains were raising the risks of more persistent inflation. This view contrasts to earlier assumptions that supply demand imbalances would be temporary, a result of reopening the economy and would generally dissipate with time. Policy makers may be forced to address rising prices and other inflationary pressures with earlier-than-expected interest-rate hikes. Tightening monetary policy would pose a threat to an already fragile recovering economy and impact equity and property prices. Although the Fed is carefully monitoring the current inflation surge, it is widely expected that policy makers will continue to maintain, over the near term, their benchmark interest rate as unchanged in a range of 0 to 0.25 percent. Additionally, all evidence indicates that the Fed plans to conclude their bond-buying stimulus program by June 2022. The additional monetary stimulus is no longer needed given the progress made by the economy and labor markets so far. The Fed buys about $120.0 billion of Treasury bonds and mortgage-backed securities every month. Purchases helped keep interest rates low by reducing the supply of outstanding securities.

The portfolio strategy continues to focus on the:
(1) acquisition of high-quality issuers;
(2) identifying and selecting bonds with attractive valuations;
(3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
(4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

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Santa Clara County Commingled Pool and Segregated Investments

Portfolio Liquidity Adequacy, Review, and Monitoring

September 30, 2021

Yield and Weighted Average Maturity

The yield of the Commingled Pool is 0.75 and the weighted average life is 670 days.

Liquidity Adequacy

The County Treasurer believes the Commingled Pool contains sufficient cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

Review and Monitoring

FHN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

Additional Information

Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations.

The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

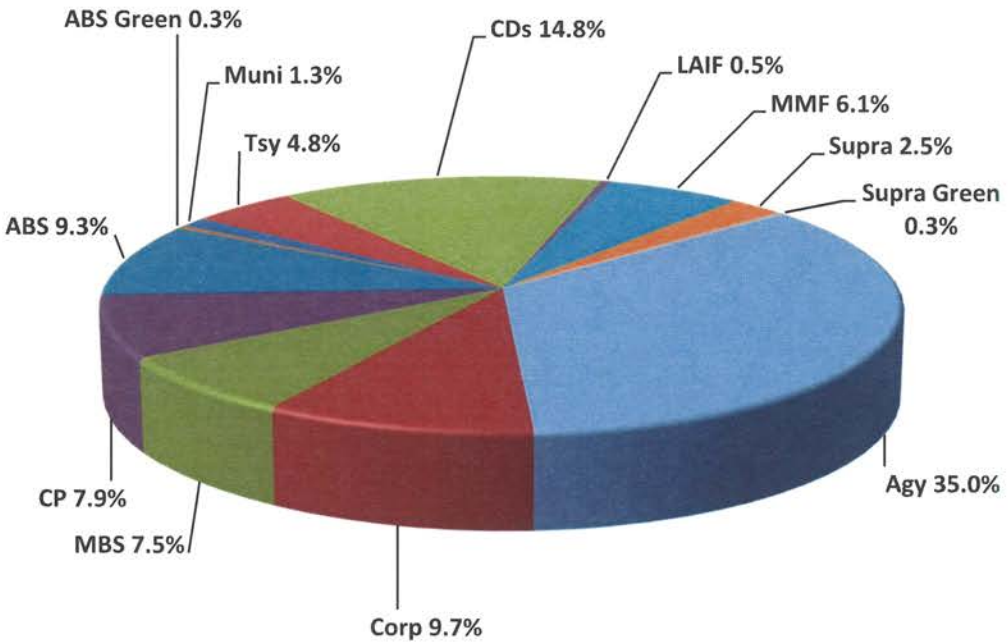
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Santa Clara County Commingled Pool

Allocation by Security Types

September 30, 2021

Sector	9/30/2021	6/30/2021	% Chng
Federal Agencies	35.02%	33.05%	2.0%
Corporate Bonds	9.71%	8.00%	1.7%
Mortgage Backed Securities	7.49%	6.44%	1.1%
Commercial Paper	7.94%	9.30%	-1.4%
ABS	9.26%	7.85%	1.4%
ABS Green Bonds	0.34%	0.00%	0.3%
Municipal Securities	1.25%	1.14%	0.1%
U.S. Treasuries	4.82%	7.07%	-2.2%
Negotiable CDs	14.76%	17.30%	-2.5%
LAIF	0.49%	0.44%	0.1%
Money Market Funds	6.12%	5.27%	0.8%
Supranationals	2.52%	3.89%	-1.4%
Supranationals Green Bonds	0.28%	0.25%	0.0%
Total	100.00%	100.00%	



Sector	9/30/2021	6/30/2021
Federal Agencies	3,084,861,158	3,248,259,300
Corporate Bonds	854,897,389	786,523,678
Mortgage Backed Securities	659,746,838	632,581,713
Commercial Paper	699,690,397	914,553,368
ABS	815,584,343	771,572,592
ABS Green Bonds	29,996,769	-
Municipal Securities	110,410,038	112,273,805
U.S. Treasuries	424,622,284	694,767,468
Negotiable CDs	1,299,899,997	1,700,000,000
LAIF	43,161,255	43,126,043
Money Market Funds	538,891,947	518,083,841
Supranational	221,869,712	381,913,832
Supranationals Green Bonds	25,000,000	25,000,000
Total	8,808,632,126	9,828,655,640

Amounts are based on book value

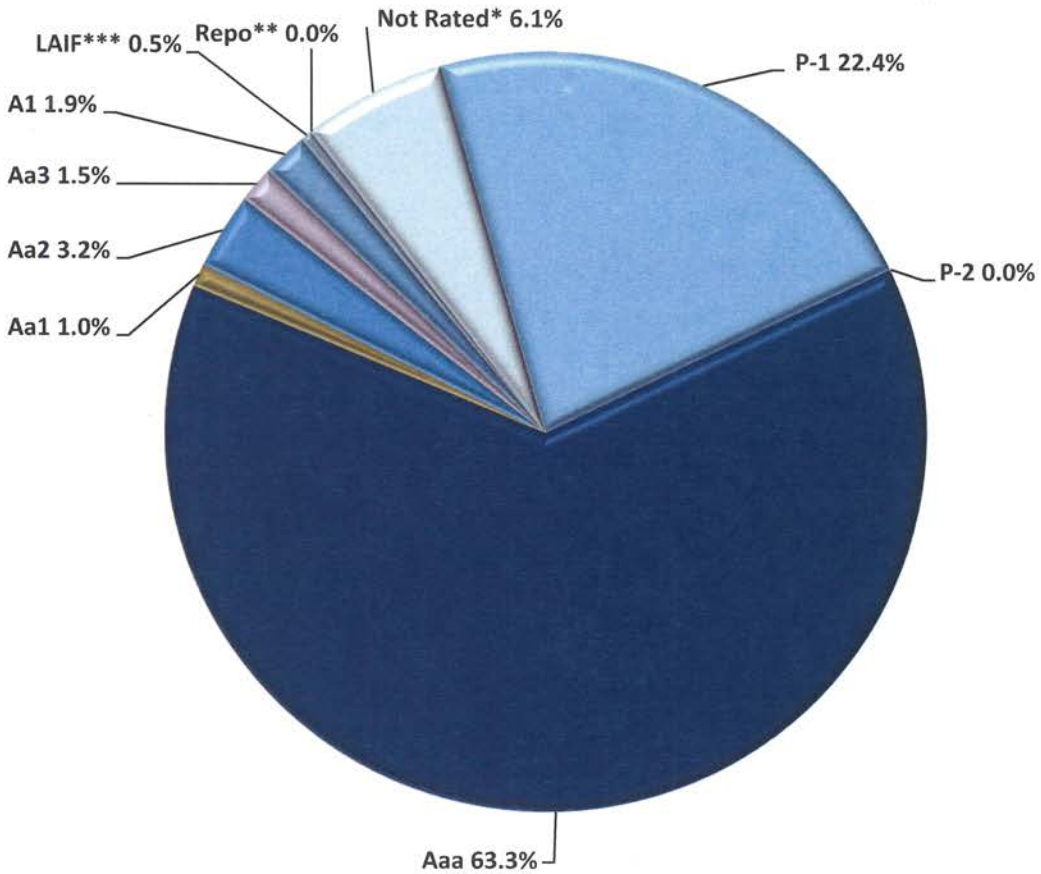
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Santa Clara County Commingled Pool

Allocation by Ratings

September 30, 2021

Moody's Rating	Portfolio $	Portfolio %
P-1	1,972,567,262	22.4%
P-2	-	0.0%
Aaa	5,575,192,675	63.3%
Aa1	86,784,571	1.0%
Aa2	285,950,037	3.2%
Aa3	135,540,376	1.5%
A1	170,000,141	1.9%
A2	-	0.0%
A3	-	0.0%
LAIF***	43,161,255	0.5%
Repo**	-	0.0%
Not Rated*	539,435,810	6.1%
Total	8,808,632,126	100.0%



*Not Rated by Moody's but A-1+ by S&P

**Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

***LAIF is not rated, but is comprised of State Code allowable securities

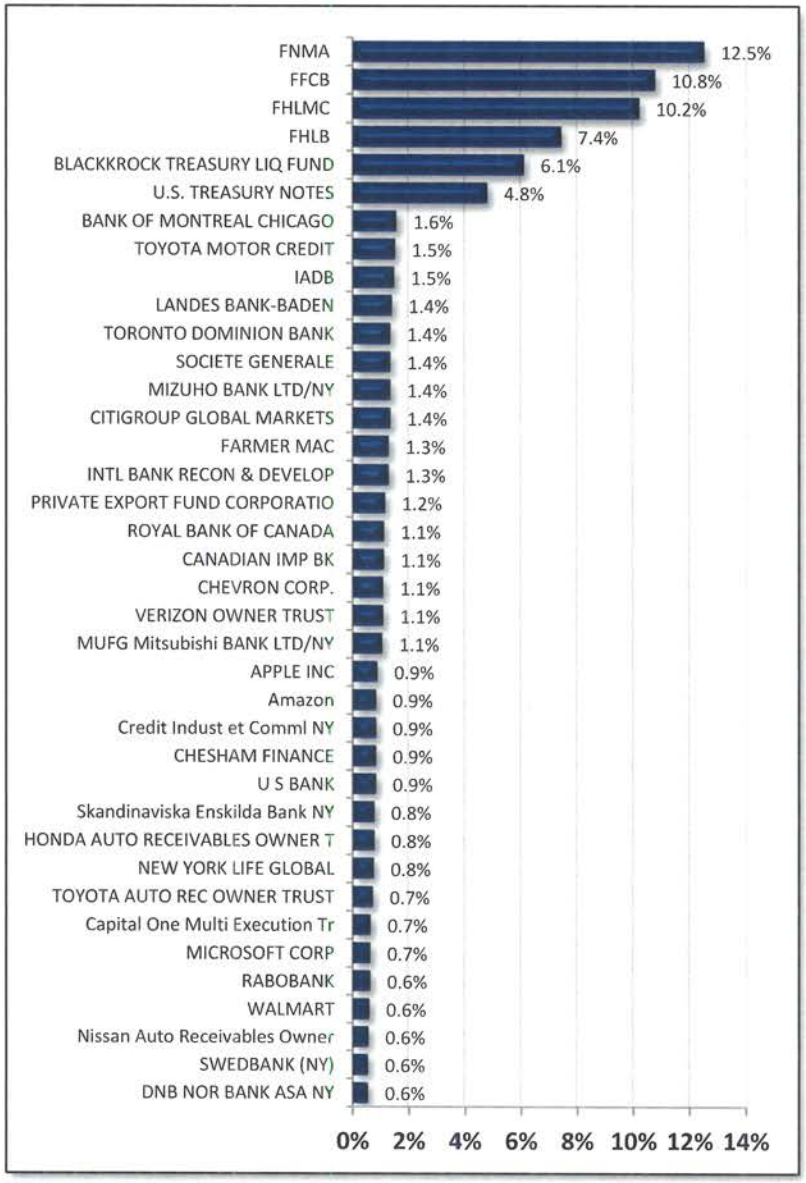
Amounts are based on book values

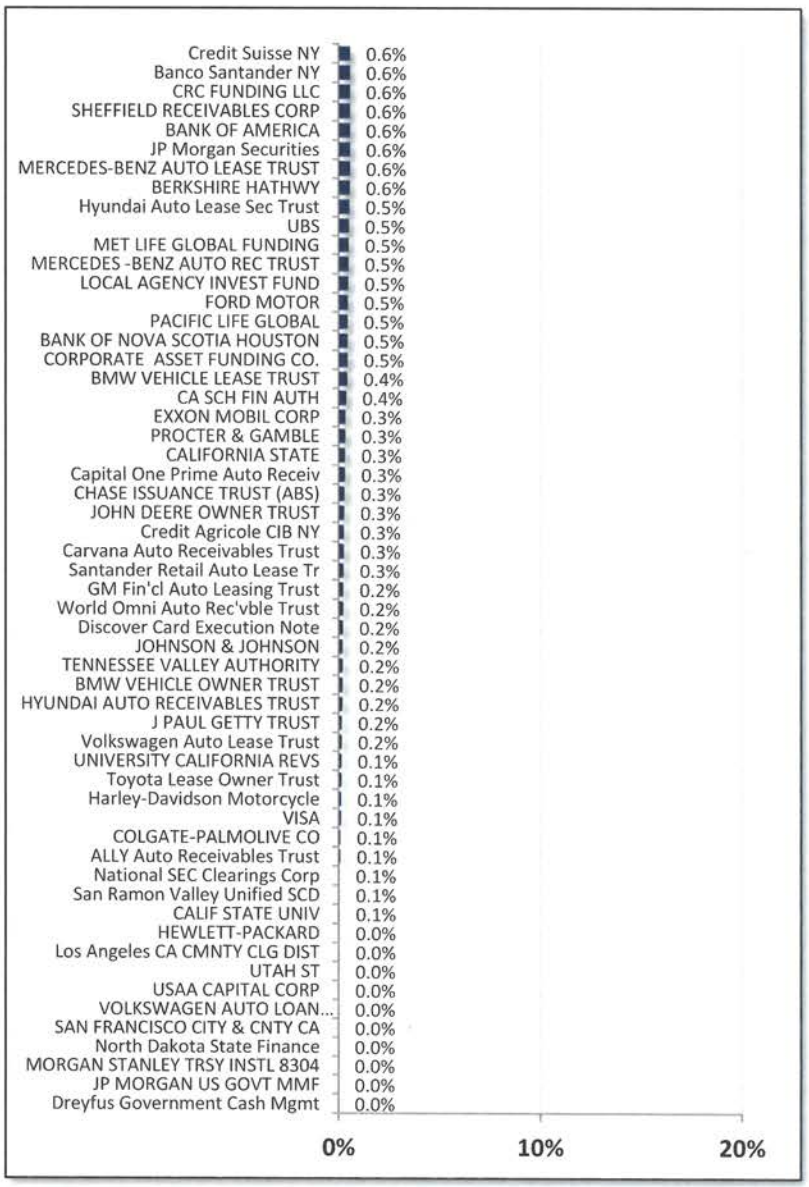
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Santa Clara County Commingled Pool

Holdings by Issuer - Percent of Commingled Pool

September 30, 2021





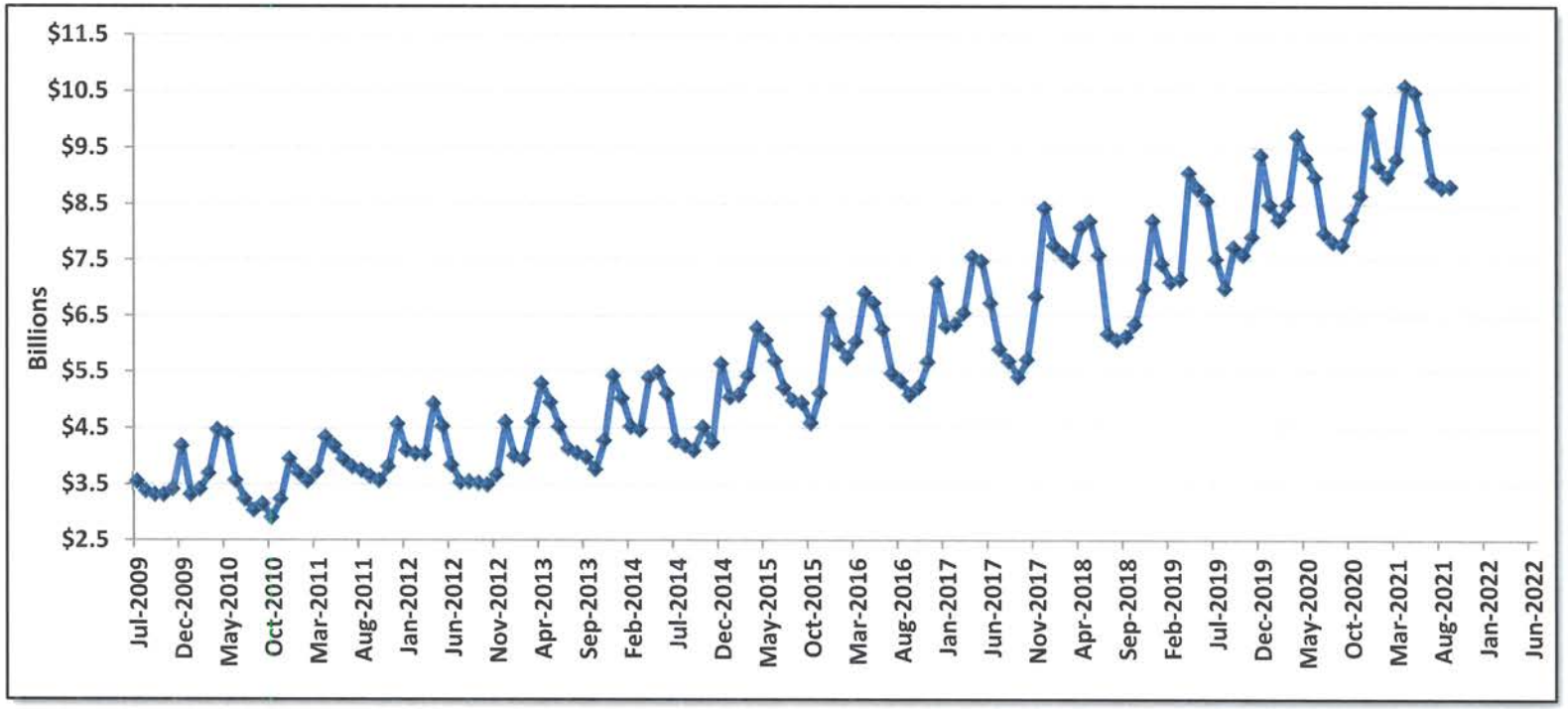
Amounts are based on book values

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Santa Clara County Commingled Pool

Historical Month End Book Values

September 30, 2021



Fiscal Year	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2012	$3.801	$3.736	$3.637	$3.555	$3.805	$4.567	$4.097	$4.040	$4.032	$4.926	$4.525	$3.833
FY 2013	$3.508	$3.517	$3.515	$3.469	$3.645	$4.600	$3.918	$3.982	$4.606	$5.286	$4.952	$4.521
FY 2014	$4.133	$4.052	$3.975	$3.758	$4.271	$5.419	$5.019	$4.520	$4.461	$5.386	$5.487	$5.108
FY 2015	$4.267	$4.194	$4.096	$4.051	$4.247	$5.639	$5.045	$5.085	$5.420	$6.284	$6.065	$5.690
FY 2016	$5.212	$4.990	$4.941	$4.587	$5.120	$6.543	$5.997	$5.752	$6.040	$6.911	$6.728	$6.263
FY 2017	$5.469	$5.328	$5.088	$5.220	$5.671	$7.082	$6.319	$6.348	$6.550	$7.556	$7.469	$6.730
FY 2018	$5.898	$5.689	$5.408	$5.720	$6.850	$8.427	$7.754	$7.608	$7.472	$8.079	$8.192	$7.584
FY 2019	$6.180	$6.068	$6.127	$6.350	$6.987	$8.199	$7.425	$7.105	$7.151	$9.054	$8.767	$8.565
FY 2020	$7.510	$6.984	$7.179	$7.583	$7.909	$9.363	$8.487	$8.219	$8.494	$9.705	$9.321	$8.977
FY 2021	$7.976	$7.814	$7.767	$8.234	$8.653	$10.129	$9.174	$8.981	$9.296	$10.594	$10.473	$9.829
FY 2022	$8.922	$8.794	$8.809									

Amounts in billions

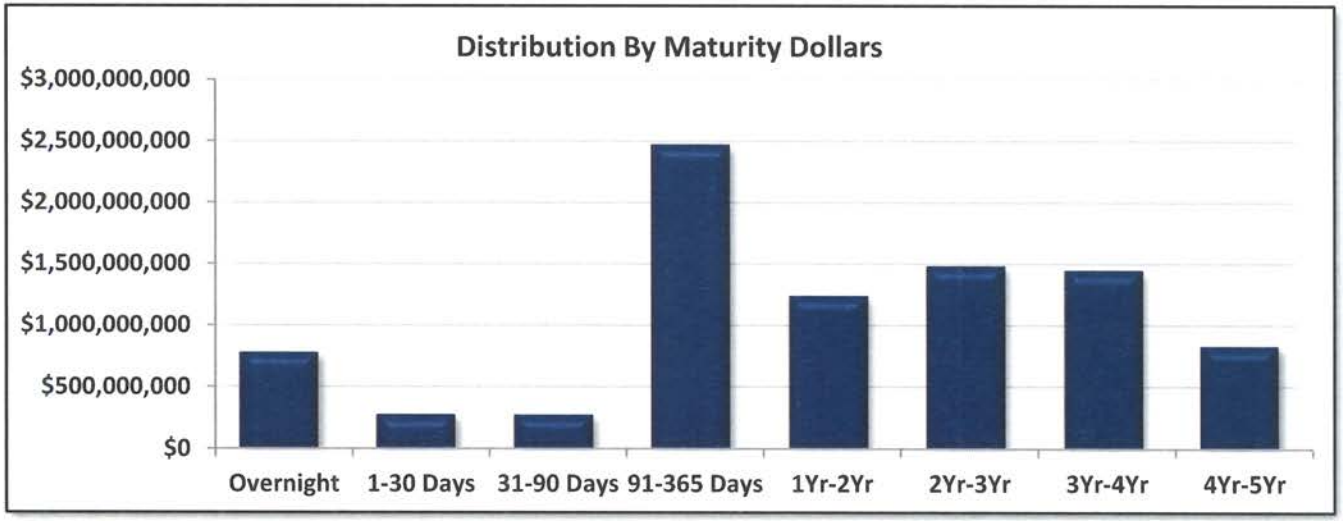
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Santa Clara County Commingled Pool

Distribution by Maturity

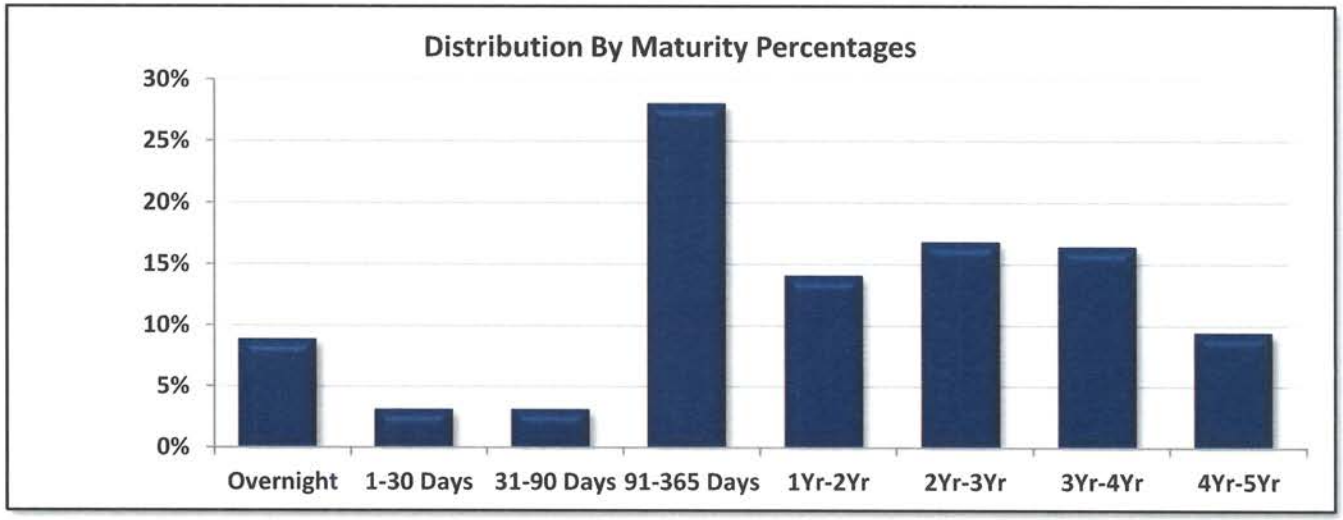
September 30, 2021

Maturity	Amount*
Overnight	782,553,202
1-30 Days	274,997,851
31-90 Days	273,156,824
91-365 Days	2,472,772,870
1Yr-2Yr	1,240,774,047
2Yr-3Yr	1,483,036,912
3Yr-4Yr	1,449,212,700
4Yr-5Yr	832,127,720
	8,808,632,126



Maturity	Amount*
Overnight	8.88%
1-30 Days	3.12%
31-90 Days	3.10%
91-365 Days	28.07%
1Yr-2Yr	14.09%
2Yr-3Yr	16.84%
3Yr-4Yr	16.45%
4Yr-5Yr	9.45%
	100.00%

*Amounts are based on book value

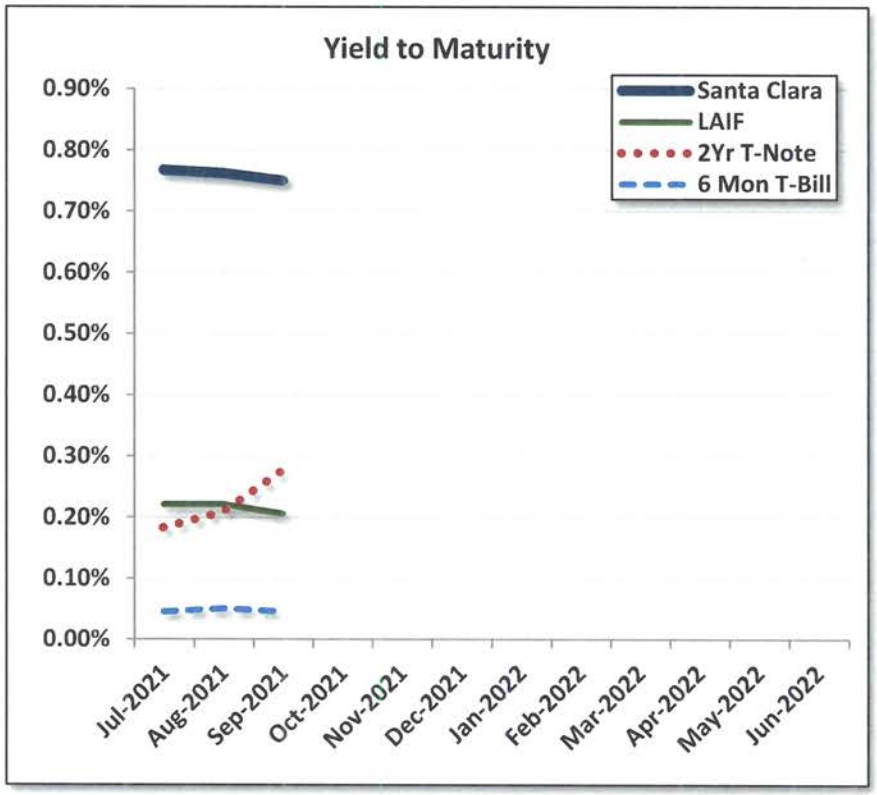


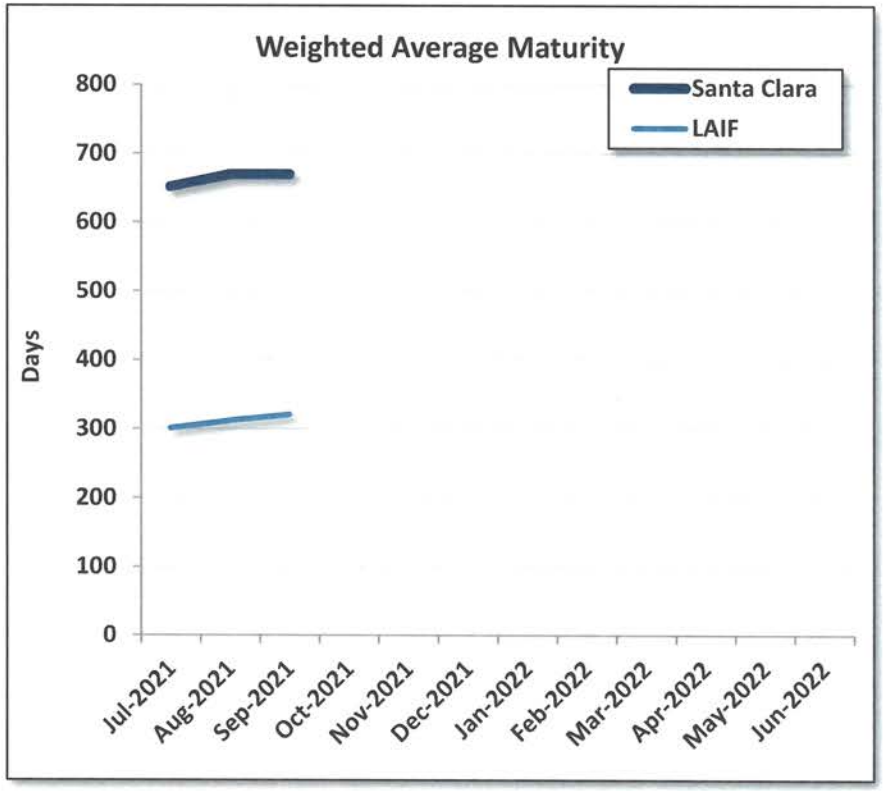
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Santa Clara County Commingled Pool

Yield to Maturity and Weighted Average Maturity

September 30, 2021





Item	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
SCC YTM	0.77%	0.76%	0.75%									
LAIF YTM	0.22%	0.22%	0.21%									
6 Mon T-Bill	0.05%	0.05%	0.05%									
2Yr T-Note	0.18%	0.21%	0.28%									
SCC WAM	652	670	670									
LAIF WAM	301	312	321									

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Santa Clara County

Approved Issuers and Broker/Dealers

September 30, 2021

Direct Commercial Paper Issuers

Toyota Motor Credit

Broker/Dealers

Academy Securities, Inc
Bank of America Merrill Lynch
Barclays Capital, Inc
BMO Capital Markets
BNP Paribas Securities Corp
BNY Mellon Capital Markets, LLC
BOK Financial Securities (Bank of Oklahoma)
Brean Capital LLC
Cantor Fitzgerald & Co
Citigroup Global Markets Inc
Daiwa Capital Markets America Inc
Deutsche Bank Securities Inc
Incapital LLC
Jefferies & Co
JP Morgan Securities, Inc
Keybanc Capital Markets, Inc
Loop Capital Markets LLC
Mizuho Securities USA, Inc
MUFG Securities USA LLC
Raymond James, Inc.
RBC Capital Markets, Inc
UBS Financial Serviec Inc
Vining Sparks LP
Williams Capital

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Santa Clara County Commingled Pool
Compliance with Investment Policy
September 30, 2021

Item/Sector	Parameters	In Compliance
Maturity	Weighted Average Maturity (WAM) must be less than 24 months	Yes
Interest Periods	Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Yes
Investment Swaps	Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	Yes
Issuer Limits	No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	Yes
U.S. Treasuries	No sector limit, no issuer limit, max maturity 5 years	Yes
U.S. Federal Agencies	No sector limit, no issuer limit, max maturity 5 years	Yes
LAIF	No sector limit, no issuer limit, CA State's deposit limit $65 million	Yes
Repurchase Agreements	No sector limit, no Issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Yes
Commercial Paper	Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least $500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Corporate Bonds	Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Yes
Money Market Funds	Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least $500 mil managed	Yes
Negotiable Certificates of Deposit	Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Municipal Securities	Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Yes
Mortgage-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgage loans insured by FHLMC/FNMA and residential mortgages guaranteed by FHA (GNMA)	Yes
Asset-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)	Yes
Supranational Debt Obligations	Sector limit 10%, max maturity 5 years, issued or unconditionally gauranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Yes
Bankers' Acceptances	Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks , collateral must exceed market value of security by 2%	Yes, None in Portfolio
Securities Lending	Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days	Yes, None in Portfolio

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Santa Clara County Commingled Pool

Allocation by Security Types

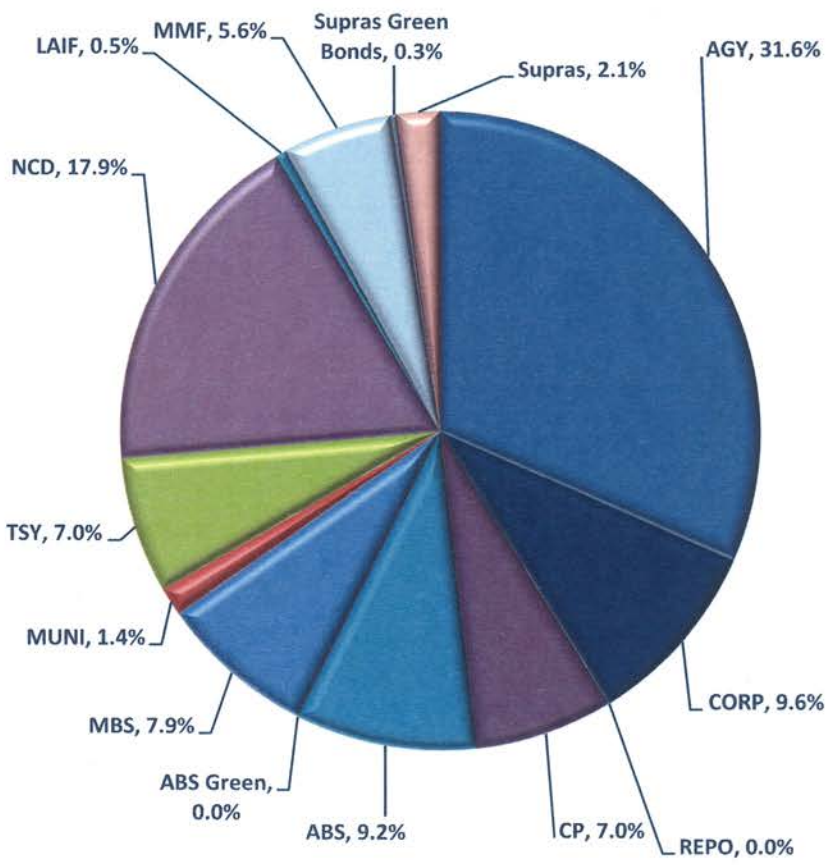
For the Month Ended July 31, 2021

Average Daily Balance	**$ 9,518,990,280.22**
Book Yield	**0.768%**
Weighted Average Maturity	**652 Days**

Investment Type	Par Value (Millions)	Book Value* (Millions)	Value (Millions)
Federal Agencies	$ 2,606.44	$ 2,609.26	$ 2,627.38
Corporate Bonds	782.84	786.41	799.06
Repurchase Agreements	-	-	-
Commercial Paper	579.90	579.66	579.73
Asset-Backed Securities	761.18	761.00	765.67
Asset-Backed Sec Green Bds	-	-	-
Mortgage Backed Securities	631.36	649.09	654.63
Municipal Securities	114.04	117.15	117.51
U.S. Treasuries	575.00	574.71	578.08
Negotiable CDs	1,485.00	1,485.00	1,485.02
LAIF	43.16	43.16	43.16
Money Market Funds	461.58	461.58	461.58
Supranationals Green Bonds	25.00	25.00	25.01
Supranationals	176.75	176.88	178.21
Total	**$ 8,242.24**	**$ 8,268.91**	**$ 8,315.06**

*Represents Amortized Book Value

Asset Allocation By Market Value



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Santa Clara County Commingled Pool

Allocation by Security Types

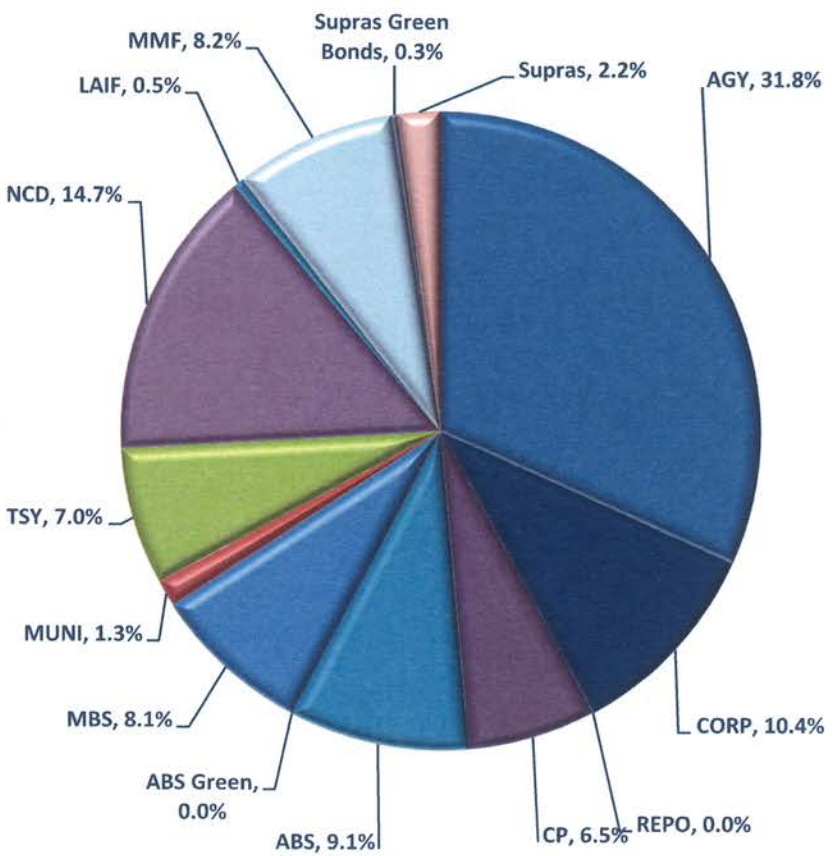
For the Month Ended August 31, 2021

Average Daily Balance	**$ 8,886,709,327.95**
Book Yield	**0.763%**
Weighted Average Maturity	**670 Days**

Investment Type	Par Value (Millions)	Book Value* (Millions)	Value (Millions)
Federal Agencies	$ 2,608.99	$ 2,611.68	$ 2,627.52
Corporate Bonds	842.84	846.44	857.91
Repurchase Agreements	-	-	-
Commercial Paper	534.90	534.66	534.72
Asset-Backed Securities	750.78	750.64	754.74
Asset-Backed Sec Green Bds	-	-	-
Mortgage Backed Securities	644.04	662.41	665.93
Municipal Securities	107.54	110.53	110.88
U.S. Treasuries	575.00	574.67	577.36
Negotiable CDs	1,219.90	1,219.90	1,219.89
LAIF	43.16	43.16	43.16
Money Market Funds	675.39	675.39	675.39
Supranationals Green Bonds	25.00	25.00	25.01
Supranationals	176.75	176.88	178.14
Total	**$ 8,204.30**	**$ 8,231.35**	**$ 8,270.65**

*Represents Amortized Book Value

Asset Allocation By Market Value



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SANTA CLARA COUNTY INVESTMENTS
Fund COMM - COMMINGLED POOL
Investments by Fund
September 30, 2021

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Negotiable CDs											
05966DC67	41257	Banco Santander NY	07/28/2021	50,000,000.00	50,000,000.00	49,991,985.00	0.190	0.190	0.192	07/15/2022	287
06367CGT8	41198	BANK OF MONTREAL CHICAGO	06/21/2021	25,000,000.00	25,000,000.00	25,004,000.00	0.190	0.190	0.192	06/21/2022	263
06367CK89	41316	BANK OF MONTREAL CHICAGO	08/24/2021	64,899,996.52	64,900,000.00	64,906,944.30	0.200	0.200	0.202	08/19/2022	322
06367CKP1	41335	BANK OF MONTREAL CHICAGO	08/31/2021	50,000,000.00	50,000,000.00	49,999,950.00	0.200	0.200	0.202	09/30/2022	364
06417MNV3	41082	BANK OF NOVA SCOTIA HOUSTON	04/20/2021	40,000,000.00	40,000,000.00	40,020,120.00	0.230	0.230	0.233	04/20/2022	201
22535CXS5	41068	Credit Agricole CIB NY	04/14/2021	25,000,000.00	25,000,000.00	25,008,825.00	0.190	0.190	0.192	01/14/2022	105
13606CVB7	41281	CANADIAN IMP BK	08/05/2021	50,000,000.00	50,000,000.00	49,999,500.00	0.200	0.200	0.202	09/01/2022	335
13606CVT8	41303	CANADIAN IMP BK	08/18/2021	50,000,000.00	50,000,000.00	49,999,500.00	0.200	0.200	0.202	08/31/2022	334
22536UV80	41151	Credit Indust et Comml NY	05/28/2021	75,000,000.00	75,000,000.00	75,004,950.00	0.140	0.140	0.141	01/28/2022	119
22552G4K3	41338	Credit Suisse NY	09/01/2021	50,000,000.00	50,000,000.00	49,993,250.00	0.210	0.210	0.212	07/29/2022	301
23341V5J6	41086	DNB NOR BANK ASA NY	04/21/2021	50,000,000.00	50,000,000.00	50,005,900.00	0.150	0.150	0.152	11/30/2021	60
60710RZA6	41270	MIZUHO BANK LTD/NY	07/30/2021	50,000,000.00	50,000,000.00	50,004,000.00	0.180	0.180	0.182	04/25/2022	206
60710R7K5	41414	MIZUHO BANK LTD/NY	09/30/2021	70,000,000.00	70,000,000.00	69,987,942.50	0.170	0.170	0.172	06/27/2022	269
55380TQX1	41021	MUFG Mitsubishi BANK LTD/NY	03/26/2021	50,000,000.00	50,000,000.00	50,019,550.00	0.240	0.236	0.240	01/21/2022	112
55380TQZ6	41032	MUFG Mitsubishi BANK LTD/NY	03/30/2021	45,000,000.00	45,000,000.00	45,003,735.00	0.200	0.200	0.202	10/21/2021	20
21684XSN7	41269	RABOBANK	07/30/2021	50,000,000.00	50,000,000.00	49,997,450.00	0.170	0.170	0.172	07/29/2022	301
78012UR31	41271	ROYAL BANK OF CANADA	07/30/2021	50,000,000.00	50,000,000.00	50,004,150.00	0.190	0.190	0.192	07/29/2022	301
78012UU94	41416	ROYAL BANK OF CANADA	09/30/2021	50,000,000.00	50,000,000.00	49,989,953.50	0.180	0.180	0.182	08/31/2022	334
83050PTQ2	41047	Skandinaviska Enskilda Bank NY	04/08/2021	70,000,000.00	70,000,000.00	70,023,800.00	0.210	0.210	0.212	02/01/2022	123
83369X2J6	41069	SOCIETE GENERALE	04/14/2021	70,000,000.00	70,000,000.00	70,016,240.00	0.190	0.190	0.192	01/28/2022	119
83369X4S4	41272	SOCIETE GENERALE	07/30/2021	50,000,000.00	50,000,000.00	50,004,150.00	0.190	0.190	0.192	07/29/2022	301
87019V2V8	41315	SWEDBANK (NY)	08/23/2021	50,000,000.00	50,000,000.00	49,998,700.00	0.190	0.190	0.192	07/29/2022	301
89114WDX0	41264	TORONTO DOMINION BANK	07/28/2021	50,000,000.00	50,000,000.00	50,002,450.00	0.190	0.190	0.192	07/29/2022	301
89114WF59	41325	TORONTO DOMINION BANK	08/26/2021	70,000,000.00	70,000,000.00	69,997,620.00	0.180	0.180	0.182	07/29/2022	301
90275DNC0	40953	UBS	02/18/2021	45,000,000.00	45,000,000.00	44,960,400.00	0.250	0.250	0.253	08/16/2022	319
		Subtotal and Average		**1,299,899,996.52**	**1,299,900,000.00**	**1,299,945,065.30**		**0.191**	**0.194**		**239**
Mortgage Backed Securities (MBS)											
3137AYCE9	38387	FHLMC Multi-Family	01/12/2018	20,157,000.00	20,000,000.00	20,414,890.00	2.682	2.443	2.477	10/25/2022	389
3137AYCE9	38391	FHLMC Multi-Family	01/16/2018	7,555,078.13	7,500,000.00	7,655,583.75	2.682	2.454	2.488	10/25/2022	389
3137AWQH1	38465	FHLMC Multi-Family	02/22/2018	5,460,553.13	5,580,000.00	5,661,351.94	2.307	3.155	3.198	08/25/2022	328

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Fund COMM - COMMINGLED POOL
Investments by Fund
September 30, 2021

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Mortgage Backed Securities (MBS)											
3137B36J2	38643	FHLMC Multi-Family	03/20/2018	20,345,312.50	20,000,000.00	20,723,870.00	3.320	2.865	2.905	02/25/2023	512
3137AYCE9	38666	FHLMC Multi-Family	04/17/2018	11,616,539.06	11,745,000.00	11,988,644.15	2.682	2.879	2.919	10/25/2022	389
3137AYCE9	38744	FHLMC Multi-Family	06/05/2018	19,788,281.25	20,000,000.00	20,414,890.00	2.682	2.877	2.917	10/25/2022	389
3137B4WB8	38854	FHLMC Multi-Family	09/12/2018	4,768,285.16	4,775,000.00	4,975,541.88	3.060	2.968	3.009	07/25/2023	662
3137B5JM6	38864	FHLMC Multi-Family	09/25/2018	10,135,937.50	10,000,000.00	10,494,706.00	3.531	3.145	3.189	07/25/2023	662
3137B3NA2	38945	FHLMC Multi-Family	11/02/2018	10,605,782.00	10,605,782.00	10,996,232.80	3.250	3.173	3.217	04/25/2023	571
3137B5KW2	39026	FHLMC Multi-Family	12/03/2018	10,092,187.50	10,000,000.00	10,509,399.00	3.458	3.164	3.208	08/25/2023	693
3137B04Y7	39295	FHLMC Multi-Family	03/11/2019	26,755,312.50	27,000,000.00	27,757,933.20	2.615	2.792	2.831	01/25/2023	481
3137BP4J5	39654	FHLMC Multi-Family	07/01/2019	16,602,285.83	16,375,207.78	17,019,611.51	2.446	1.911	1.938	03/25/2026	1,636
3137BUWM6	40385	FHLMC Multi-Family	03/16/2020	9,699,805.09	9,296,009.67	9,548,843.47	2.932	1.185	1.202	01/25/2023	481
3137BHCY1	40390	FHLMC Multi-Family	03/17/2020	11,331,300.00	10,590,000.00	11,244,828.41	2.811	1.026	1.040	01/25/2025	1,212
3137BSP64	40526	FHLMC Multi-Family	07/01/2020	16,623,744.63	15,743,114.18	16,410,683.62	2.340	0.651	0.661	07/25/2026	1,758
3132XHM68	40527	FHLMC Multi-Family	07/09/2020	19,909,279.39	19,509,935.40	19,512,875.16	1.100	0.671	0.680	09/01/2025	1,431
3137BKGH7	40539	FHLMC Multi-Family	07/28/2020	4,575,395.08	4,374,444.06	4,512,410.53	2.712	0.472	0.479	07/25/2025	1,393
3137FUZN7	40540	FHLMC Multi-Family	07/30/2020	3,852,716.12	3,852,789.32	3,838,077.44	0.526	0.509	0.517	01/25/2025	1,212
3138LJWY2	40630	FHLMC Multi-Family	10/02/2020	14,389,776.62	13,122,174.56	13,996,397.19	2.800	0.541	0.549	10/01/2025	1,461
3137BYLE8	40638	FHLMC Multi-Family	10/08/2020	7,231,875.00	6,650,000.00	7,061,845.14	2.700	0.875	0.888	10/25/2025	1,485
3137F72W4	40784	FHLMC Multi-Family	11/30/2020	12,016,973.83	12,016,973.83	12,027,494.69	0.333	0.328	0.332	09/25/2029	2,916
3132XFFH6	40812	FHLMC Multi-Family	12/09/2020	45,330,468.75	45,000,000.00	44,664,747.75	0.770	0.609	0.618	10/01/2025	1,461
3137FATE8	40976	FHLMC Multi-Family	03/01/2021	32,412,890.63	30,000,000.00	31,803,603.00	2.982	0.410	0.415	08/25/2024	1,059
3137FF3W5	40983	FHLMC Multi-Family	03/04/2021	4,211,174.93	4,211,259.16	4,160,309.24	0.602	0.585	0.593	09/25/2027	2,185
3137BVYN0	41026	FHLMC Multi-Family	03/29/2021	11,729,125.02	11,137,861.19	11,636,058.83	3.035	0.424	0.430	08/25/2024	1,059
3137BYLE8	41131	FHLMC Multi-Family	05/13/2021	5,406,445.31	5,000,000.00	5,309,658.00	2.700	0.775	0.786	10/25/2025	1,485
3137FYZG4	41133	FHLMC Multi-Family	05/13/2021	6,996,666.68	6,996,848.60	6,953,290.42	0.861	0.838	0.850	06/25/2027	2,093
3137FPJ55	41164	FHLMC Multi-Family	06/07/2021	22,276,237.50	20,680,000.00	21,996,809.34	2.606	0.821	0.832	07/25/2027	2,123
3137FBAB2	41192	FHLMC Multi-Family	06/17/2021	10,837,500.00	10,000,000.00	10,685,682.00	3.038	0.705	0.715	08/25/2027	2,154
3137H14A1	41222	FHLMC Multi-Family	06/30/2021	19,349,709.75	19,350,000.00	19,231,593.48	0.854	0.831	0.842	01/25/2028	2,307
3132XFD47	41319	FHLMC Multi-Family	08/24/2021	15,703,125.00	15,000,000.00	15,452,703.90	2.100	0.624	0.633	11/01/2026	1,857
31381TYT1	39150	FNMA Multi-Family	01/14/2019	27,982,432.28	28,036,095.12	28,199,729.75	2.750	2.766	2.805	03/01/2022	151
3138LGKH8	39319	FNMA Multi-Family	03/19/2019	24,609,375.00	25,000,000.00	25,666,440.50	2.470	2.794	2.833	01/01/2024	822
3138EKX67	39457	FNMA Multi-Family	04/18/2019	4,979,376.00	4,999,491.14	5,095,285.69	2.513	2.578	2.614	03/01/2023	516
3138LEYD7	39587	FNMA Multi-Family	05/30/2019	17,735,856.13	18,057,505.45	18,503,065.73	1.970	2.656	2.693	11/01/2023	761

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Fund COMM - COMMINGLED POOL
Investments by Fund
September 30, 2021

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Mortgage Backed Securities (MBS)											
3138LGFF8	39609	FNMA Multi-Family	06/11/2019	4,976,953.13	5,000,000.00	5,030,490.30	2.150	2.249	2.280	01/01/2024	822
3138L2BU0	39632	FNMA Multi-Family	06/24/2019	6,485,547.92	6,493,665.00	6,491,335.79	2.310	2.315	2.347	12/01/2022	426
3138L0U90	39734	FNMA Multi-Family	08/13/2019	5,085,937.50	5,000,000.00	5,054,297.30	2.590	1.983	2.011	09/01/2022	335
31381VBJ3	39735	FNMA Multi-Family	08/13/2019	4,878,504.36	4,779,918.54	4,808,786.95	2.830	2.010	2.038	06/01/2022	243
3138L0RM5	39844	FNMA Multi-Family	09/13/2019	8,426,836.47	8,370,271.75	8,465,141.41	2.135	1.872	1.898	11/01/2022	396
3136A9MN5	40056	FNMA Multi-Family	11/19/2019	5,921,038.78	5,862,414.64	5,949,959.25	2.301	1.834	1.859	09/25/2022	359
3138L0RC7	40293	FNMA Multi-Family	01/28/2020	6,558,951.27	6,467,995.09	6,550,811.04	2.350	1.778	1.803	11/01/2022	396
3136AXVB8	40511	FNMA Multi-Family	06/17/2020	16,917,633.96	15,801,642.93	16,483,976.83	2.639	0.660	0.670	07/25/2024	1,028
3138L0RM5A	40517	FNMA Multi-Family	06/24/2020	890,034.91	861,172.18	870,932.81	2.135	0.649	0.658	11/01/2022	396
3138L7CU8	40541	FNMA Multi-Family	07/31/2020	20,983,381.46	19,440,307.08	20,555,366.16	2.950	0.530	0.537	07/01/2024	1,004
3136AY6S7	40743	FNMA Multi-Family	11/12/2020	26,203,421.95	24,446,341.18	25,610,805.97	2.785	0.670	0.680	11/25/2024	1,151
3138LEC33	40905	FNMA Multi-Family	01/22/2021	10,815,331.92	9,973,074.60	10,484,066.52	2.380	0.600	0.609	07/01/2026	1,734
3140HWXG2	41200	FNMA Multi-Family	06/22/2021	5,140,503.62	4,848,102.45	5,082,564.97	2.470	0.651	0.660	12/01/2026	1,887
3136AUG21	41228	FNMA Multi-Family	07/07/2021	23,388,957.23	21,935,716.05	23,073,427.32	2.496	0.929	0.942	10/25/2026	1,850
		Subtotal and Average		**659,746,837.78**	**641,486,112.95**	**660,637,050.13**		**1.507**	**1.528**		**1,122**
Federal Agency Bonds											
31422BWJ5	40391	FARMER MAC	03/17/2020	20,000,000.00	20,000,000.00	20,013,509.20	0.230	0.231	0.234	04/01/2022	182
3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000.00	10,000,000.00	10,035,873.10	2.010	1.982	2.010	12/08/2021	68
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	5,031,282.05	2.030	2.002	2.030	01/27/2022	118
3133EHP31	38126	FFCB NOTES	11/10/2017	9,974,956.81	9,975,000.00	9,991,019.95	1.950	1.928	1.955	11/02/2021	32
3133EJPX1	38718	FFCB NOTES	05/21/2018	4,994,120.00	5,000,000.00	5,166,468.35	2.875	3.253	3.299	12/21/2022	446
3133EJZH5	38878	FFCB NOTES	10/05/2018	13,970,252.27	14,000,000.00	14,344,997.80	2.800	2.997	3.038	09/13/2022	347
3133EJK24	38943	FFCB NOTES	11/01/2018	14,999,964.61	15,000,000.00	15,021,985.35	3.000	2.963	3.004	10/19/2021	18
3133EJ3B3	39075	FFCB NOTES	12/17/2018	9,999,113.33	10,000,000.00	10,057,368.20	2.800	2.805	2.844	12/17/2021	77
3133EJY60	39105	FFCB NOTES	12/24/2018	12,536,092.78	12,500,000.00	13,000,124.00	3.020	2.765	2.803	03/03/2023	518
3133EJ3B3	39108	FFCB NOTES	12/24/2018	4,300,581.72	4,300,000.00	4,324,668.33	2.800	2.695	2.732	12/17/2021	77
3133EJ5V7	39165	FFCB NOTES	01/18/2019	14,865,544.87	14,875,000.00	15,348,923.00	2.625	2.640	2.676	01/18/2023	474
3133EJ5P0	39173	FFCB NOTES	01/25/2019	9,998,514.17	10,000,000.00	10,073,049.20	2.600	2.615	2.652	01/18/2022	109
3133EKEW2	39357	FFCB NOTES	03/28/2019	19,997,738.33	20,000,000.00	20,215,841.00	2.280	2.272	2.303	03/28/2022	178
3133EKLA2	39565	FFCB NOTES	05/22/2019	9,593,087.54	9,590,000.00	9,909,721.01	2.300	2.247	2.279	05/15/2023	591
3133EKNR3	39594	FFCB NOTES	06/03/2019	10,001,053.15	10,000,000.00	10,142,900.00	2.200	2.153	2.183	06/03/2022	245

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Federal Agency Bonds											
3133EKSN7	39639	FFCB NOTES	06/26/2019	9,982,335.07	10,000,000.00	10,260,268.10	1.770	1.850	1.876	06/26/2023	633
3133EKVD5	39693	FFCB NOTES	07/18/2019	11,248,448.50	11,250,000.00	11,309,910.75	1.875	1.896	1.922	01/18/2022	109
3133ELCS1	40135	FFCB NOTES	12/11/2019	14,420,080.00	14,450,000.00	14,838,306.76	1.550	1.615	1.638	03/11/2024	892
3133ELAE4	40136	FFCB NOTES	12/11/2019	19,990,082.39	20,000,000.00	20,275,939.00	1.625	1.659	1.682	08/22/2022	325
3133ELDK7	40162	FFCB NOTES	12/16/2019	24,999,625.78	25,000,000.00	25,276,419.25	1.630	1.609	1.632	06/15/2022	257
3133ELDK7	40163	FFCB NOTES	12/16/2019	24,999,625.78	25,000,000.00	25,276,419.25	1.630	1.609	1.632	06/15/2022	257
3133ELDK7	40164	FFCB NOTES	12/16/2019	24,999,625.78	25,000,000.00	25,276,419.25	1.630	1.609	1.632	06/15/2022	257
3133ELHR8	40267	FFCB NOTES	01/21/2020	24,999,465.28	25,000,000.00	25,115,846.75	1.600	1.585	1.607	01/21/2022	112
3133ELT95	40531	FFCB NOTES	07/15/2020	7,998,806.02	8,000,000.00	8,008,834.40	0.200	0.216	0.219	07/13/2022	285
3133EJVC0	40535	FFCB NOTES	07/22/2020	16,090,098.99	15,000,000.00	16,014,254.55	2.950	0.342	0.347	07/24/2024	1,027
3133ELVQ4	40585	FFCB NOTES	09/11/2020	15,267,365.85	15,000,000.00	15,123,894.00	0.950	0.429	0.435	04/01/2025	1,278
3133EMTW2	41015	FFCB NOTES	03/24/2021	16,389,706.18	16,400,000.00	16,340,248.24	0.300	0.321	0.325	03/18/2024	899
3133EMVP4	41058	FFCB NOTES	04/13/2021	34,964,580.00	35,000,000.00	34,955,548.25	0.125	0.188	0.191	04/13/2023	559
3133EMF64	41171	FFCB NOTES	06/09/2021	24,999,858.09	25,000,000.00	24,999,470.75	0.060	0.059	0.060	06/09/2022	251
3133ELG99	41327	FFCB NOTES	08/27/2021	7,814,567.55	7,825,000.00	7,798,365.11	0.375	0.419	0.424	06/10/2024	983
3133EM4X7	41408	FFCB NOTES	09/29/2021	12,664,860.47	12,796,000.00	12,671,567.09	0.800	0.999	1.013	09/10/2026	1,805
3130AABG2	37149	FHLB NOTES	11/30/2016	14,998,075.26	15,000,000.00	15,043,947.00	1.875	1.931	1.957	11/29/2021	59
313378CR0	37726	FHLB NOTES	04/19/2017	25,045,584.56	25,000,000.00	25,240,041.50	2.250	1.794	1.819	03/11/2022	161
3130AFE78	39016	FHLB NOTES	11/29/2018	14,347,924.50	14,350,000.00	14,841,724.71	3.000	2.970	3.012	12/09/2022	434
3130A0F70	39069	FHLB NOTES	12/14/2018	10,091,290.25	10,000,000.00	10,665,834.90	3.375	2.883	2.923	12/08/2023	798
3130A0F70	39106	FHLB NOTES	12/24/2018	3,539,572.78	3,500,000.00	3,733,042.22	3.375	2.778	2.817	12/08/2023	798
3130ABFD3	39138	FHLB NOTES	01/08/2019	15,000,810.66	15,000,000.00	15,257,696.25	2.625	2.580	2.616	06/07/2022	249
3130AB3H7	39362	FHLB NOTES	03/29/2019	14,284,351.63	14,250,000.00	14,957,658.42	2.375	2.238	2.269	03/08/2024	889
313379Q69	39545	FHLB NOTES	05/16/2019	24,987,482.34	25,000,000.00	25,355,000.00	2.125	2.169	2.200	06/10/2022	252
3130A5P45	39552	FHLB NOTES	05/20/2019	15,015,517.23	15,000,000.00	15,236,658.75	2.375	2.188	2.219	06/10/2022	252
3133834G3	39559	FHLB NOTES	05/21/2019	9,982,068.59	10,000,000.00	10,315,613.10	2.125	2.205	2.236	06/09/2023	616
3133834G3	39592	FHLB NOTES	06/03/2019	9,995,248.69	10,000,000.00	10,315,613.10	2.125	2.124	2.154	06/09/2023	616
3133834G3	39665	FHLB NOTES	07/08/2019	21,574,954.15	21,505,000.00	22,183,725.97	2.125	1.897	1.923	06/09/2023	616
3130A2UW4	39852	FHLB NOTES	09/16/2019	10,301,697.83	10,000,000.00	10,688,631.80	2.875	1.776	1.801	09/13/2024	1,078
3130A3DL5	39936	FHLB NOTES	10/16/2019	10,076,537.53	9,940,000.00	10,341,163.79	2.375	1.617	1.639	09/08/2023	707
3130A2UW4	39985	FHLB NOTES	10/29/2019	30,939,633.87	30,000,000.00	32,065,895.40	2.875	1.737	1.762	09/13/2024	1,078
3130AHJY0	40025	FHLB NOTES	11/08/2019	9,998,877.15	10,000,000.00	10,021,126.80	1.625	1.687	1.710	11/19/2021	49

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Federal Agency Bonds											
313379Q69	40459	FHLB NOTES	04/14/2020	10,121,259.15	10,000,000.00	10,142,000.00	2.125	0.358	0.363	06/10/2022	252
3130A2UW4	40501	FHLB NOTES	05/14/2020	5,349,015.84	5,000,000.00	5,344,315.90	2.875	0.474	0.481	09/13/2024	1,078
3130AJ7E3	40597	FHLB NOTES	09/17/2020	20,325,535.63	20,000,000.00	20,335,072.60	1.375	0.187	0.190	02/17/2023	504
3130AMRY0	41160	FHLB NOTES	06/04/2021	19,984,598.33	20,000,000.00	19,950,544.00	0.125	0.168	0.171	06/02/2023	609
3130AN4T4	41376	FHLB NOTES	09/17/2021	10,032,629.85	10,000,000.00	9,964,071.60	0.875	0.793	0.804	06/12/2026	1,715
3137EADB2	40083	FHLMC NOTES	11/27/2019	20,041,918.54	20,000,000.00	20,130,948.20	2.375	1.596	1.619	01/13/2022	104
3137EAEP0	40331	FHLMC NOTES	02/14/2020	24,987,034.62	25,000,000.00	25,712,103.50	1.500	1.497	1.517	02/12/2025	1,230
3137EAEV7	40554	FHLMC NOTES	08/26/2020	14,990,686.36	15,000,000.00	14,993,449.80	0.250	0.279	0.282	08/24/2023	692
3137EAEW5	40571	FHLMC NOTES	09/04/2020	34,992,573.48	35,000,000.00	34,972,774.55	0.250	0.257	0.261	09/08/2023	707
3137EAEW5	40576	FHLMC NOTES	09/08/2020	19,994,191.67	20,000,000.00	19,984,442.60	0.250	0.261	0.265	09/08/2023	707
3137EAEX3	40612	FHLMC NOTES	09/25/2020	9,976,027.14	10,000,000.00	9,833,528.70	0.375	0.430	0.435	09/23/2025	1,453
3137EAEV7	40620	FHLMC NOTES	09/28/2020	25,011,263.62	25,000,000.00	24,989,083.00	0.250	0.223	0.226	08/24/2023	692
3137EAEV7	40815	FHLMC NOTES	12/09/2020	20,006,724.92	20,000,000.00	19,991,266.40	0.250	0.229	0.232	08/24/2023	692
3135G0S38	37582	FNMA NOTES	02/09/2017	10,002,677.35	10,000,000.00	10,048,823.40	2.000	1.866	1.892	01/05/2022	96
3135G0T45	37783	FNMA NOTES	04/10/2017	39,981,589.75	40,000,000.00	40,368,193.20	1.875	1.943	1.970	04/05/2022	186
3135G0S38	38079	FNMA NOTES	10/25/2017	9,999,813.25	10,000,000.00	10,048,823.40	2.000	1.979	2.007	01/05/2022	96
3135G0S38	38142	FNMA NOTES	11/16/2017	11,394,705.06	11,395,000.00	11,450,634.26	2.000	1.982	2.010	01/05/2022	96
3135G0T78	38163	FNMA NOTES	11/22/2017	4,991,445.07	5,000,000.00	5,096,669.95	2.000	2.149	2.179	10/05/2022	369
3135G0V75	40044	FNMA NOTES	11/14/2019	25,006,832.43	25,000,000.00	25,872,457.00	1.750	1.715	1.739	07/02/2024	1,005
3135G0X24	40233	FNMA NOTES	01/10/2020	14,968,685.81	15,000,000.00	15,508,278.15	1.625	1.668	1.691	01/07/2025	1,194
3135G03K7	40472	FNMA NOTES	04/20/2020	100,000,000.00	100,000,000.00	100,017,091.00	0.390	0.396	0.401	10/20/2021	19
3135G03M3	40473	FNMA NOTES	04/20/2020	50,000,000.00	50,000,000.00	50,052,490.50	0.420	0.422	0.428	01/20/2022	111
3135G03U5	40489	FNMA NOTES	04/30/2020	7,013,210.31	7,000,000.00	6,983,738.30	0.625	0.563	0.571	04/22/2025	1,299
3135G04Z3	40514	FNMA NOTES	06/19/2020	19,969,237.82	20,000,000.00	19,844,930.60	0.500	0.534	0.542	06/17/2025	1,355
3135G05X7	40555	FNMA NOTES	08/27/2020	29,890,366.18	30,000,000.00	29,529,343.20	0.375	0.463	0.469	08/25/2025	1,424
3135G04Z3	40635	FNMA NOTES	10/06/2020	15,035,671.44	15,000,000.00	14,883,697.95	0.500	0.429	0.435	06/17/2025	1,355
3135G06H1	40774	FNMA NOTES	11/25/2020	44,963,208.13	45,000,000.00	44,944,528.50	0.250	0.284	0.288	11/27/2023	787
3135G05G4	40832	FNMA NOTES	12/11/2020	25,011,865.18	25,000,000.00	24,993,681.50	0.250	0.220	0.223	07/10/2023	647
3135G05G4	40867	FNMA NOTES	12/23/2020	15,020,696.07	15,000,000.00	14,996,208.90	0.250	0.169	0.172	07/10/2023	647
3135G05X7	40971	FNMA NOTES	02/26/2021	14,816,456.70	15,000,000.00	14,764,671.60	0.375	0.684	0.694	08/25/2025	1,424
3135G05X7	40998	FNMA NOTES	03/15/2021	14,780,054.63	15,000,000.00	14,764,671.60	0.375	0.747	0.758	08/25/2025	1,424
3135G06G3	41005	FNMA NOTES	03/19/2021	9,870,186.33	10,000,000.00	9,866,624.40	0.500	0.812	0.823	11/07/2025	1,498

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Federal Agency Bonds											
3135G05X7	41006	FNMA NOTES	03/19/2021	14,767,495.49	15,000,000.00	14,764,671.60	0.375	0.769	0.780	08/25/2025	1,424
3135G04Z3	41094	FNMA NOTES	04/23/2021	23,588,540.21	23,703,000.00	23,519,219.50	0.500	0.623	0.632	06/17/2025	1,355
880591EW8	40799	TENNESSEE VALLEY AUTHORITY	12/03/2020	19,249,675.56	19,075,000.00	19,095,765.81	0.750	0.487	0.494	05/15/2025	1,322
		Subtotal and Average		**1,458,375,650.25**	**1,455,679,000.00**	**1,470,241,630.92**		**1.172**	**1.188**		**588**
Federal Agency Bonds - CALLABLE											
3133ELJN5	40281	FFCB NOTES	01/24/2020	19,997,810.19	20,000,000.00	20,088,755.60	1.640	1.625	1.648	01/24/2023	480
3133ELVX9	40445	FFCB NOTES	04/08/2020	15,000,000.00	15,000,000.00	15,042,255.45	0.875	0.863	0.875	04/08/2024	920
3133EL5S9	40568	FFCB NOTES	09/03/2020	20,000,000.00	20,000,000.00	19,989,108.20	0.480	0.473	0.480	09/03/2024	1,068
3133EL6E9	40581	FFCB NOTES	09/09/2020	15,000,000.00	15,000,000.00	14,981,270.70	0.420	0.414	0.420	09/09/2024	1,074
3133EMBE1	40720	FFCB NOTES	11/04/2020	14,429,879.79	14,450,000.00	14,405,715.23	0.300	0.351	0.356	03/28/2024	909
3133EMHE5	40831	FFCB NOTES	12/11/2020	15,216,851.89	15,220,000.00	15,211,608.61	0.170	0.185	0.187	11/30/2022	425
3133EMLE0	40864	FFCB NOTES	12/22/2020	20,000,000.00	20,000,000.00	19,977,220.80	0.190	0.187	0.190	09/22/2023	721
3133EMLP5	40870	FFCB NOTES	12/24/2020	15,000,000.00	15,000,000.00	14,856,673.50	0.320	0.315	0.320	12/23/2024	1,179
3133EMVS8	41063	FFCB NOTES	04/14/2021	40,000,000.00	40,000,000.00	40,081,714.40	0.690	0.680	0.690	04/14/2025	1,291
3130AHGL1	40010	FHLB NOTES	11/04/2019	25,000,000.00	25,000,000.00	25,040,577.50	1.875	1.849	1.875	11/04/2024	1,130
3130AJLA5	40502	FHLB NOTES	05/19/2020	5,000,000.00	5,000,000.00	4,996,595.60	0.750	0.739	0.750	05/19/2025	1,326
3130ALDL5	40968	FHLB NOTES	02/25/2021	35,000,000.00	35,000,000.00	34,768,409.55	0.625	0.616	0.625	02/25/2026	1,608
3130ALMM3	41031	FHLB NOTES	03/30/2021	9,200,000.00	9,200,000.00	9,176,161.14	1.000	0.986	1.000	03/30/2026	1,641
3130ALCV4	41057	FHLB NOTES	04/13/2021	14,874,426.78	15,000,000.00	14,971,728.15	0.750	0.932	0.945	02/24/2026	1,607
3130ALZ31	41110	FHLB NOTES	04/28/2021	35,000,000.00	35,000,000.00	34,981,885.75	0.625	0.616	0.625	10/28/2024	1,123
3130AM4N9	41113	FHLB NOTES	04/29/2021	15,000,000.00	15,000,000.00	14,994,558.90	1.000	0.986	1.000	04/29/2026	1,671
3130ANA42	41260	FHLB NOTES	07/28/2021	11,994,280.00	12,000,000.00	11,974,631.04	0.650	0.654	0.663	04/28/2025	1,305
3130ALEY6	41278	FHLB NOTES	08/04/2021	24,720,449.72	24,730,000.00	24,598,377.05	0.800	0.797	0.808	03/04/2026	1,615
3130ANWF3	41332	FHLB NOTES	08/30/2021	45,000,000.00	45,000,000.00	44,389,737.00	0.970	0.956	0.970	08/25/2026	1,789
3130ANVR8	41365	FHLB NOTES	09/14/2021	24,000,000.00	24,000,000.00	23,949,752.40	1.000	0.986	1.000	08/14/2026	1,778
3134GA5T7	37578	FHLMC NOTES	02/28/2017	3,500,000.00	3,500,000.00	3,528,892.92	2.125	2.095	2.125	01/26/2022	117
3134GA5T7	37579	FHLMC NOTES	02/28/2017	20,000,000.00	20,000,000.00	20,165,102.40	2.125	2.096	2.125	01/26/2022	117
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000.00	15,000,000.00	15,089,027.40	2.000	1.972	2.000	01/27/2022	118
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000.00	15,000,000.00	15,109,894.95	2.000	1.972	2.000	02/24/2022	146
3134GBU83	38090	FHLMC NOTES	10/30/2017	15,000,000.00	15,000,000.00	15,021,318.00	2.000	1.972	2.000	10/29/2021	28
3134GUQ94	40234	FHLMC NOTES	01/10/2020	20,000,000.00	20,000,000.00	20,085,567.80	1.800	1.775	1.800	01/10/2025	1,197

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Federal Agency Bonds - CALLABLE											
3134GVVX3	40506	FHLMC NOTES	05/28/2020	6,000,000.00	6,000,000.00	5,993,079.66	0.750	0.739	0.750	05/28/2025	1,335
3134GVYX0	40508	FHLMC NOTES	06/03/2020	10,000,000.00	10,000,000.00	9,999,982.90	0.500	0.493	0.500	06/03/2024	976
3134GVYX0	40509	FHLMC NOTES	06/03/2020	10,000,000.00	10,000,000.00	9,999,982.90	0.500	0.493	0.500	06/03/2024	976
3134GWUD6	40625	FHLMC NOTES	09/30/2020	15,000,000.00	15,000,000.00	14,873,658.75	0.400	0.394	0.400	12/30/2024	1,186
3134GWWR3	40626	FHLMC NOTES	09/30/2020	24,500,000.00	24,500,000.00	24,176,000.49	0.520	0.512	0.520	09/30/2025	1,460
3134GWZR0	40657	FHLMC NOTES	10/21/2020	8,764,975.52	8,770,000.00	8,714,414.25	0.400	0.413	0.418	10/21/2024	1,116
3134GW6E1	40706	FHLMC NOTES	11/02/2020	60,000,000.00	60,000,000.00	59,998,141.20	0.320	0.315	0.320	11/02/2023	762
3134GW6E1	40707	FHLMC NOTES	11/02/2020	5,000,000.00	5,000,000.00	4,999,845.10	0.320	0.315	0.320	11/02/2023	762
3134GXBL7	40754	FHLMC NOTES	11/18/2020	13,865,616.30	13,873,000.00	13,865,980.40	0.300	0.320	0.325	11/16/2023	776
3134GXDK7	40783	FHLMC NOTES	11/30/2020	30,000,000.00	30,000,000.00	29,837,065.20	0.325	0.320	0.325	05/30/2024	972
3134GXEX8	40805	FHLMC NOTES	12/07/2020	21,321,421.98	21,325,000.00	21,324,046.35	0.250	0.256	0.260	06/01/2023	608
3136G4XZ1	40522	FNMA NOTES	06/30/2020	15,000,000.00	15,000,000.00	14,975,042.55	0.740	0.729	0.740	06/30/2025	1,368
3136G4XZ1	40523	FNMA NOTES	06/30/2020	5,000,000.00	5,000,000.00	4,991,680.85	0.740	0.729	0.740	06/30/2025	1,368
3136G4YU1	40528	FNMA NOTES	07/15/2020	10,000,000.00	10,000,000.00	9,978,699.70	0.730	0.720	0.730	07/15/2025	1,383
3136G4YU1	40529	FNMA NOTES	07/15/2020	5,518,000.00	5,518,000.00	5,506,246.49	0.730	0.720	0.730	07/15/2025	1,383
3136G4A45	40534	FNMA NOTES	07/22/2020	20,000,000.00	20,000,000.00	19,948,995.80	0.710	0.700	0.710	07/22/2025	1,390
3136G4B77	40543	FNMA NOTES	08/04/2020	20,000,000.00	20,000,000.00	19,938,415.00	0.700	0.690	0.700	08/04/2025	1,403
3135G05P4	40546	FNMA NOTES	08/10/2020	24,994,601.58	25,000,000.00	25,006,219.75	0.300	0.307	0.311	08/03/2023	671
3136G4H63	40550	FNMA NOTES	08/19/2020	11,500,000.00	11,500,000.00	11,406,624.83	0.550	0.542	0.550	08/19/2025	1,418
3136G4H63	40551	FNMA NOTES	08/19/2020	10,000,000.00	10,000,000.00	9,918,804.20	0.550	0.542	0.550	08/19/2025	1,418
3136G4H63	40552	FNMA NOTES	08/19/2020	15,000,000.00	15,000,000.00	14,878,206.30	0.550	0.542	0.550	08/19/2025	1,418
3136G4X40	40575	FNMA NOTES	09/08/2020	12,637,516.89	12,640,000.00	12,570,111.16	0.600	0.596	0.605	08/26/2025	1,425
3136G43H4	40592	FNMA NOTES	09/16/2020	11,685,000.00	11,685,000.00	11,669,079.77	0.400	0.394	0.400	09/16/2024	1,081
3136G43C5	40611	FNMA NOTES	09/24/2020	10,000,000.00	10,000,000.00	9,996,489.30	0.300	0.295	0.300	10/24/2023	753
3136G46K4	40685	FNMA NOTES	10/28/2020	35,000,000.00	35,000,000.00	34,767,852.35	0.500	0.493	0.500	07/28/2025	1,396
3136G46K4	40686	FNMA NOTES	10/28/2020	14,000,000.00	14,000,000.00	13,907,140.94	0.500	0.493	0.500	07/28/2025	1,396
3136G46K4	40687	FNMA NOTES	10/28/2020	26,000,000.00	26,000,000.00	25,827,547.46	0.500	0.493	0.500	07/28/2025	1,396
3136G45C3	40749	FNMA NOTES	11/17/2020	9,984,763.48	10,000,000.00	9,923,940.00	0.540	0.570	0.577	10/27/2025	1,487
3135GA2Z3	40780	FNMA NOTES	11/27/2020	24,984,434.36	25,000,000.00	24,812,074.75	0.560	0.567	0.575	11/17/2025	1,508
3135GA7F2	40847	FNMA NOTES	12/15/2020	39,800,000.00	39,800,000.00	39,757,652.80	0.350	0.345	0.350	03/15/2024	896
3135GAAJ0	40873	FNMA NOTES	12/28/2020	40,000,000.00	40,000,000.00	39,919,142.40	0.360	0.355	0.359	07/26/2024	1,029
3135GAAJ0	40874	FNMA NOTES	12/28/2020	20,000,000.00	20,000,000.00	19,959,571.20	0.360	0.355	0.359	07/26/2024	1,029

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
		Subtotal and Average		**1,093,490,028.48**	**1,093,711,000.00**	**1,090,918,274.84**		**0.702**	**0.712**		**1,122**
US Treasury Notes											
9128286Z8	40155	U.S. TREASURY NOTES	12/13/2019	30,004,953.49	30,000,000.00	31,052,340.00	1.750	1.719	1.743	06/30/2024	1,003
9128282P4	40210	U.S. TREASURY NOTES	12/31/2019	40,086,353.39	40,000,000.00	40,593,760.00	1.875	1.586	1.608	07/31/2022	303
91282CBH3	41010	U.S. TREASURY NOTES	03/23/2021	29,390,698.29	30,000,000.00	29,344,920.00	0.375	0.842	0.854	01/31/2026	1,583
91282CAJ0	41011	U.S. TREASURY NOTES	03/23/2021	29,431,763.45	30,000,000.00	29,376,570.00	0.250	0.732	0.743	08/31/2025	1,430
912828ZW3	41016	U.S. TREASURY NOTES	03/24/2021	24,610,101.63	25,000,000.00	24,547,850.00	0.250	0.663	0.672	06/30/2025	1,368
912828XB1	41023	U.S. TREASURY NOTES	03/26/2021	26,364,495.00	25,000,000.00	26,267,575.00	2.125	0.588	0.596	05/15/2025	1,322
91282CAB7	41024	U.S. TREASURY NOTES	03/26/2021	34,462,807.24	35,000,000.00	34,319,145.00	0.250	0.647	0.656	07/31/2025	1,399
91282CBE0	41048	U.S. TREASURY NOTES	04/08/2021	44,827,199.39	45,000,000.00	44,755,650.00	0.125	0.289	0.293	01/15/2024	836
912828V80	41054	U.S. TREASURY NOTES	04/12/2021	47,028,563.69	45,000,000.00	46,972,260.00	2.250	0.303	0.307	01/31/2024	852
912828ZW3	41062	U.S. TREASURY NOTES	04/13/2021	39,329,166.67	40,000,000.00	39,276,560.00	0.250	0.695	0.705	06/30/2025	1,368
91282CAJ0	41067	U.S. TREASURY NOTES	04/14/2021	29,438,613.28	30,000,000.00	29,376,570.00	0.250	0.726	0.736	08/31/2025	1,430
912828ZT0	41075	U.S. TREASURY NOTES	04/15/2021	29,550,522.56	30,000,000.00	29,484,360.00	0.250	0.656	0.665	05/31/2025	1,338
912828H86	41190	U.S. TREASURY NOTES	06/16/2021	20,097,045.51	20,000,000.00	20,096,260.00	1.500	0.040	0.040	01/31/2022	122
		Subtotal and Average		**424,622,283.59**	**425,000,000.00**	**425,463,820.00**		**0.735**	**0.745**		**1,090**
Corporate Bonds											
037833DL1	39831	APPLE INC	09/11/2019	6,999,625.37	7,000,000.00	7,102,490.01	1.700	1.682	1.705	09/11/2022	345
037833CU2	40165	APPLE INC	12/16/2019	10,201,521.77	10,000,000.00	10,555,800.00	2.850	2.010	2.038	05/11/2024	953
037833DF4	40274	APPLE INC	01/23/2020	5,129,623.80	5,000,000.00	5,294,056.20	2.750	1.892	1.918	01/13/2025	1,200
037833DV9	40499	APPLE INC	05/11/2020	6,989,774.81	7,000,000.00	7,050,602.93	0.750	0.830	0.842	05/11/2023	587
037833DX5	40553	APPLE INC	08/20/2020	29,944,972.67	30,000,000.00	29,535,147.30	0.550	0.589	0.597	08/20/2025	1,419
037833EB2	40926	APPLE INC	02/08/2021	19,980,673.67	20,000,000.00	19,769,793.00	0.700	0.712	0.722	02/08/2026	1,591
023135BW5	41175	Amazon	06/10/2021	25,061,048.72	25,000,000.00	24,942,650.25	0.450	0.351	0.355	05/12/2024	954
084670BJ6	39496	BERKSHIRE HATHWY	04/30/2019	10,560,560.54	10,500,000.00	10,882,272.03	3.000	2.517	2.552	02/11/2023	498
084670BR8	39544	BERKSHIRE HATHWY	05/16/2019	16,655,458.06	16,624,000.00	17,143,857.08	2.750	2.576	2.611	03/15/2023	530
084670BR8	40080	BERKSHIRE HATHWY	11/26/2019	21,888,298.39	21,623,000.00	22,299,183.21	2.750	1.850	1.876	03/15/2023	530
19416QEL0	39553	COLGATE-PALMOLIVE CO	05/20/2019	9,493,017.72	9,506,000.00	9,718,905.69	2.250	2.344	2.377	11/15/2022	410
166764BN9	39573	CHEVRON CORP.	05/24/2019	8,883,253.38	8,885,000.00	8,953,502.82	2.498	2.510	2.545	03/03/2022	153
166764AB6	40454	CHEVRON CORP.	04/13/2020	23,495,381.40	23,318,000.00	23,762,207.90	2.355	1.668	1.691	12/05/2022	430
166764BV1	40500	CHEVRON CORP.	05/11/2020	5,000,000.00	5,000,000.00	5,065,778.80	1.141	1.125	1.141	05/11/2023	587
166756AE6	40548	CHEVRON CORP.	08/12/2020	15,000,000.00	15,000,000.00	14,833,057.95	0.687	0.677	0.687	08/12/2025	1,411

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Corporate Bonds											
166764BV1	40674	CHEVRON CORP.	10/26/2020	31,763,122.67	31,370,000.00	31,782,696.19	1.141	0.353	0.358	05/11/2023	587
166756AJ5	41097	CHEVRON CORP.	04/26/2021	13,832,501.09	13,800,000.00	13,833,681.52	0.426	0.294	0.298	08/11/2023	679
478160CD4	40031	JOHNSON & JOHNSON	11/12/2019	19,478,539.93	19,445,000.00	19,579,317.89	2.250	1.805	1.830	03/03/2022	153
59217GEE5	40238	MET LIFE GLOBAL FUNDING	01/13/2020	10,003,850.00	10,000,000.00	10,202,972.80	1.950	1.892	1.918	01/13/2023	469
58989V2C7	41073	MET LIFE GLOBAL FUNDING	04/15/2021	10,002,957.01	10,000,000.00	10,016,585.20	0.700	0.678	0.688	04/05/2024	917
59217GEN5	41168	MET LIFE GLOBAL FUNDING	06/08/2021	14,986,033.73	15,000,000.00	14,969,688.30	0.550	0.577	0.585	06/07/2024	980
59217GEK1	41342	MET LIFE GLOBAL FUNDING	09/01/2021	9,811,066.05	9,793,000.00	9,811,046.54	0.450	0.348	0.353	09/01/2023	700
594918BW3	39355	MICROSOFT CORP	03/27/2019	20,004,033.04	20,000,000.00	20,112,025.80	2.400	2.305	2.337	02/06/2022	128
594918BW3	39361	MICROSOFT CORP	03/29/2019	20,008,666.02	20,000,000.00	20,112,025.80	2.400	2.235	2.266	02/06/2022	128
594918BX1	39489	MICROSOFT CORP	04/29/2019	6,528,790.04	6,500,000.00	6,839,890.20	2.875	2.635	2.672	02/06/2024	858
594918BQ6	40005	MICROSOFT CORP	11/01/2019	10,856,091.50	10,815,000.00	11,133,826.85	2.000	1.762	1.786	08/08/2023	676
637639AB1	41103	National SEC Clearings Corp	04/27/2021	5,082,178.34	5,000,000.00	5,075,282.80	1.500	1.013	1.027	04/23/2025	1,300
64952WDT7	41081	NEW YORK LIFE GLOBAL	04/19/2021	18,601,533.56	18,611,000.00	18,629,712.62	0.400	0.419	0.424	10/21/2023	750
64952WEB5	41104	NEW YORK LIFE GLOBAL	04/27/2021	29,984,823.89	30,000,000.00	29,937,581.70	0.550	0.562	0.569	04/27/2024	939
64952WED1	41174	NEW YORK LIFE GLOBAL	06/09/2021	19,981,807.11	20,000,000.00	19,946,339.80	1.150	1.154	1.170	06/09/2026	1,712
6944PL2C2	41330	PACIFIC LIFE GLOBAL	08/27/2021	40,091,242.90	40,000,000.00	40,073,488.80	0.500	0.378	0.384	09/23/2023	722
742651DP4	40337	PRIVATE EXPORT FUND CORPORATIO	02/18/2020	11,660,715.86	11,385,000.00	11,954,612.73	2.450	1.526	1.548	07/15/2024	1,018
742651DX7	40379	PRIVATE EXPORT FUND CORPORATIO	03/13/2020	11,892,561.17	11,610,000.00	11,993,061.27	1.750	0.938	0.951	11/15/2024	1,141
74274TAH3	41117	PRIVATE EXPORT FUND CORPORATIO	04/29/2021	34,971,019.12	35,000,000.00	34,977,351.50	0.300	0.348	0.353	04/28/2023	574
74274TAG5	41284	PRIVATE EXPORT FUND CORPORATIO	08/05/2021	20,045,689.12	20,000,000.00	19,913,432.80	0.550	0.462	0.468	07/30/2024	1,033
742718EQ8	38524	PROCTER & GAMBLE	03/15/2018	19,982,072.17	20,000,000.00	20,024,597.80	1.700	2.728	2.766	11/03/2021	33
742718EQ8	38526	PROCTER & GAMBLE	03/16/2018	9,991,195.72	10,000,000.00	10,012,298.90	1.700	2.709	2.747	11/03/2021	33
21688AAQ5	40892	RABOBANK	01/12/2021	6,996,328.31	7,000,000.00	6,967,571.52	0.375	0.392	0.398	01/12/2024	833
89236TFX8	39439	TOYOTA MOTOR CREDIT	04/12/2019	24,997,214.58	25,000,000.00	25,320,145.75	2.650	2.635	2.671	04/12/2022	193
89236TFX8	39452	TOYOTA MOTOR CREDIT	04/17/2019	14,997,041.72	15,000,000.00	15,192,087.45	2.650	2.652	2.688	04/12/2022	193
89236TGT6	40326	TOYOTA MOTOR CREDIT	02/13/2020	29,986,466.00	30,000,000.00	30,804,178.50	1.800	1.789	1.814	02/13/2025	1,231
90327QD48	40477	USAA CAPITAL CORP	04/21/2020	2,498,378.90	2,500,000.00	2,543,736.30	1.500	1.520	1.542	05/01/2023	577
90331HPC1	39569	U S BANK	05/23/2019	14,996,584.44	15,000,000.00	15,206,117.40	2.650	2.650	2.687	05/23/2022	234
90331HPF4	40117	U S BANK	12/09/2019	28,989,948.76	29,000,000.00	29,567,505.64	1.950	1.950	1.977	01/09/2023	465
90331HPF4	40154	U S BANK	12/13/2019	11,000,000.00	11,000,000.00	11,215,260.76	1.950	1.922	1.949	01/09/2023	465
90331HPL1	40268	U S BANK	01/21/2020	19,971,836.67	20,000,000.00	20,709,848.00	2.050	2.066	2.095	01/21/2025	1,208
92826CAC6	39527	VISA	05/09/2019	11,178,940.44	11,156,000.00	11,448,433.57	2.800	2.583	2.619	12/14/2022	439

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Corporate Bonds											
931142EL3	39714	WALMART	07/29/2019	5,087,678.84	5,000,000.00	5,298,920.20	2.850	2.148	2.178	07/08/2024	1,011
931142EK5	39996	WALMART	10/30/2019	10,249,620.06	10,000,000.00	10,513,010.50	3.400	1.878	1.904	06/26/2023	633
931142DV2	40315	WALMART	02/07/2020	28,731,474.73	27,975,000.00	29,678,627.42	2.650	1.741	1.765	12/15/2024	1,171
931142ER0	41379	WALMART	09/17/2021	9,981,247.00	10,000,000.00	9,981,929.60	1.050	1.074	1.088	09/17/2026	1,812
30231GBB7	39747	EXXON MOBIL CORP	08/16/2019	5,000,000.00	5,000,000.00	5,075,116.30	1.902	1.875	1.902	08/16/2022	319
30231GAC6	39998	EXXON MOBIL CORP	10/31/2019	5,140,266.20	5,000,000.00	5,292,328.90	3.176	1.950	1.977	03/15/2024	896
30231GBH4	40403	EXXON MOBIL CORP	03/19/2020	15,000,000.00	15,000,000.00	15,997,066.65	2.992	2.951	2.992	03/19/2025	1,265
30231GAF9	40505	EXXON MOBIL CORP	05/26/2020	5,250,661.92	5,000,000.00	5,283,996.70	2.709	1.184	1.200	03/06/2025	1,252
		Subtotal and Average		**854,897,388.91**	**851,416,000.00**	**863,936,704.14**		**1.436**	**1.456**		**757**
ABS - Green Bonds											
89190GAC1	41182	TOYOTA AUTO REC OWNER TRUST	06/14/2021	29,996,769.00	30,000,000.00	29,915,661.00	0.260	0.261	0.265	11/17/2025	1,508
		Subtotal and Average		**29,996,769.00**	**30,000,000.00**	**29,915,661.00**		**0.261**	**0.265**		**1,508**
Asset Backed Securities (ABS)											
02007TAC9	40134	ALLY Auto Receivables Trust	12/11/2019	9,097,443.87	9,099,010.72	9,166,077.71	1.840	1.829	1.854	06/17/2024	990
05591RAC8	40990	BMW VEHICLE LEASE TRUST	03/10/2021	12,099,616.43	12,100,000.00	12,107,336.23	0.290	0.288	0.292	01/25/2024	846
09690AAD5	41368	BMW VEHICLE LEASE TRUST	09/15/2021	25,999,017.20	26,000,000.00	25,923,794.00	0.430	0.593	0.602	01/27/2025	1,214
05588CAC6	39861	BMW VEHICLE OWNER TRUST	09/18/2019	9,801,108.43	9,802,425.88	9,882,077.45	1.920	1.907	1.934	01/25/2024	846
09661RAD3	40530	BMW VEHICLE OWNER TRUST	07/15/2020	8,499,358.25	8,500,000.00	8,518,115.20	0.480	0.477	0.484	10/25/2024	1,120
161571HP2	40336	CHASE ISSUANCE TRUST (ABS)	02/18/2020	26,993,814.30	27,000,000.00	27,450,983.70	1.530	1.523	1.544	01/15/2025	1,202
14041NFU0	39811	Capital One Multi Execution Tr	09/05/2019	34,991,187.00	35,000,000.00	35,464,359.00	1.720	1.711	1.735	08/15/2024	1,049
14041NFW6	41242	Capital One Multi Execution Tr	07/22/2021	23,499,048.25	23,500,000.00	23,456,816.40	0.550	0.544	0.552	07/15/2026	1,748
14042WAC4	39586	Capital One Prime Auto Receiv	05/30/2019	4,227,176.37	4,228,032.97	4,266,375.31	2.510	2.500	2.535	11/15/2023	775
14043MAC5	40340	Capital One Prime Auto Receiv	02/19/2020	24,994,685.00	25,000,000.00	25,248,185.00	1.600	1.592	1.614	11/15/2024	1,141
14687TAC1	41209	Carvana Auto Receivables Trust	06/24/2021	14,999,145.00	15,000,000.00	14,985,540.00	0.490	0.486	0.492	03/10/2026	1,621
14687JAD1	41406	Carvana Auto Receivables Trust	09/29/2021	9,998,926.00	10,000,000.00	10,001,026.00	0.380	0.381	0.386	01/10/2025	1,197
254683CP8	41402	Discover Card Execution Note	09/27/2021	19,995,718.00	20,000,000.00	19,946,202.00	0.580	0.581	0.589	09/15/2026	1,810
34532NAC9	40958	FORD MOTOR	02/22/2021	19,998,314.00	20,000,000.00	19,966,242.00	0.300	0.299	0.304	08/15/2025	1,414
345329AC0	41397	FORD MOTOR	09/24/2021	20,996,312.40	21,000,000.00	20,996,314.50	0.370	0.376	0.381	10/15/2024	1,110
36259KAD9	40341	GM Fin'cl Auto Leasing Trust	02/19/2020	3,619,299.81	3,619,625.58	3,633,554.26	1.670	1.659	1.682	12/20/2022	445
36259PAD8	40512	GM Fin'cl Auto Leasing Trust	06/17/2020	1,499,805.75	1,500,000.00	1,505,756.10	0.800	0.798	0.809	07/20/2023	657

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Asset Backed Securities (ABS)											
362569AC9	40623	GM Fin'cl Auto Leasing Trust	09/29/2020	8,499,185.70	8,500,000.00	8,514,036.90	0.450	0.450	0.456	08/21/2023	689
36261RAC2	40964	GM Fin'cl Auto Leasing Trust	02/24/2021	8,249,155.20	8,250,000.00	8,248,892.85	0.260	0.263	0.266	02/20/2024	872
44891VAC5	41187	Hyundai Auto Lease Sec Trust	06/16/2021	22,496,625.00	22,500,000.00	22,477,338.00	0.330	0.335	0.339	06/17/2024	990
44933MAC5	41387	Hyundai Auto Lease Sec Trust	09/22/2021	25,247,714.88	25,250,000.00	25,218,283.48	0.380	0.380	0.386	09/16/2024	1,081
43815AAC6	39013	HONDA AUTO RECEIVABLES OWNER T	11/28/2018	4,586,356.66	4,587,042.42	4,616,167.39	3.160	3.144	3.188	01/17/2023	473
43814WAC9	39269	HONDA AUTO RECEIVABLES OWNER T	02/27/2019	5,091,777.66	5,091,914.12	5,135,294.68	2.830	2.809	2.848	03/20/2023	535
43815MAC0	39582	HONDA AUTO RECEIVABLES OWNER T	05/29/2019	8,728,319.51	8,728,645.09	8,817,210.29	2.520	2.500	2.535	06/21/2023	628
43815NAC8	39777	HONDA AUTO RECEIVABLES OWNER T	08/27/2019	5,168,826.44	5,168,869.34	5,207,409.98	1.780	1.762	1.787	08/15/2023	683
43813RAC1	40346	HONDA AUTO RECEIVABLES OWNER T	02/26/2020	16,496,766.00	16,500,000.00	16,674,010.65	1.610	1.602	1.625	04/21/2024	933
43813GAC5	40965	HONDA AUTO RECEIVABLES OWNER T	02/24/2021	11,749,784.98	11,750,000.00	11,744,379.98	0.270	0.267	0.271	04/21/2025	1,298
43815EAC8	41321	HONDA AUTO RECEIVABLES OWNER T	08/25/2021	17,999,737.20	18,000,000.00	17,980,200.00	0.410	0.405	0.411	11/18/2025	1,509
44891JAC2	40017	HYUNDAI AUTO RECEIVABLES TRUST	11/06/2019	8,362,389.35	8,362,774.87	8,432,777.99	1.940	1.923	1.950	02/15/2024	867
44933LAC7	41111	HYUNDAI AUTO RECEIVABLES TRUST	04/28/2021	9,499,000.60	9,500,000.00	9,496,129.70	0.380	0.379	0.385	09/15/2025	1,445
41284UAD6	40294	Harley-Davidson Motorcycle	01/29/2020	7,976,394.30	7,978,134.33	8,046,281.16	1.870	1.861	1.886	10/15/2024	1,110
41284NAB6	40950	Harley-Davidson Motorcycle	02/18/2021	3,327,419.57	3,327,744.03	3,327,969.32	0.220	0.223	0.226	04/15/2024	927
40440YAA7	40979	HEWLETT-PACKARD	03/03/2021	4,027,303.77	4,027,303.77	4,027,323.10	0.165	0.163	0.165	03/21/2022	171
477870AC3	39706	JOHN DEERE OWNER TRUST	07/24/2019	4,994,247.84	4,995,308.34	5,042,910.63	2.210	2.199	2.230	12/15/2023	805
47787NAC3	40533	JOHN DEERE OWNER TRUST	07/22/2020	4,499,314.20	4,500,000.00	4,509,441.45	0.510	0.510	0.517	11/15/2024	1,141
47788UAC6	40991	JOHN DEERE OWNER TRUST	03/10/2021	7,748,510.45	7,750,000.00	7,743,380.73	0.360	0.363	0.369	09/15/2025	1,445
47789QAC4	41240	JOHN DEERE OWNER TRUST	07/21/2021	7,999,286.40	8,000,000.00	7,994,632.80	0.520	0.517	0.524	03/16/2026	1,627
58769QAC5	40062	MERCEDES-BENZ AUTO LEASE TRUST	11/20/2019	5,314,670.53	5,315,515.70	5,340,337.03	2.000	1.991	2.019	10/15/2022	379
58770FAC6	40392	MERCEDES-BENZ AUTO LEASE TRUST	03/17/2020	22,368,339.07	22,450,775.51	22,564,616.66	1.840	2.080	2.109	12/15/2022	440
58770FAC6	40410	MERCEDES-BENZ AUTO LEASE TRUST	03/23/2020	4,411,577.39	4,490,155.10	4,512,923.33	1.840	3.073	3.116	12/15/2022	440
58769EAB4	40608	MERCEDES-BENZ AUTO LEASE TRUST	09/23/2020	2,858,115.89	2,858,376.29	2,859,387.87	0.310	0.313	0.317	02/15/2023	502
58769KAD6	41220	MERCEDES-BENZ AUTO LEASE TRUST	06/29/2021	14,748,886.38	14,750,000.00	14,746,533.75	0.400	0.399	0.404	11/15/2024	1,141
58769TAD7	39883	MERCEDES -BENZ AUTO REC TRUST	09/25/2019	18,831,127.10	18,833,720.50	18,984,437.35	1.930	1.917	1.944	03/15/2024	896
58769VAC4	40515	MERCEDES -BENZ AUTO REC TRUST	06/23/2020	4,999,609.50	5,000,000.00	5,014,417.50	0.550	0.546	0.554	02/18/2025	1,236
58772WAC7	41389	MERCEDES -BENZ AUTO REC TRUST	09/22/2021	20,247,351.30	20,250,000.00	20,209,467.60	0.460	0.459	0.466	06/15/2026	1,718
65479HAC1	39578	Nissan Auto Receivables Owner	05/28/2019	10,934,809.60	10,937,282.52	11,043,560.09	2.500	2.489	2.523	11/15/2023	775
65480BAC1	41206	Nissan Auto Receivables Owner	06/23/2021	39,999,324.00	40,000,000.00	39,949,608.00	0.330	0.326	0.331	10/15/2025	1,475
80286DAC2	41207	Santander Retail Auto Lease Tr	06/23/2021	15,448,048.67	15,450,000.00	15,452,139.83	0.510	0.511	0.518	08/20/2024	1,054
80286CAC4	41391	Santander Retail Auto Lease Tr	09/22/2021	7,499,643.00	7,500,000.00	7,499,643.00	0.500	0.496	0.503	03/20/2025	1,266

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Asset Backed Securities (ABS)											
89231PAD0	38958	TOYOTA AUTO REC OWNER TRUST	11/07/2018	2,716,889.22	2,717,477.01	2,735,572.69	3.180	3.167	3.211	03/15/2023	530
89233MAD5	40038	TOYOTA AUTO REC OWNER TRUST	11/13/2019	17,069,275.31	17,070,507.80	17,231,643.15	1.920	1.904	1.931	01/15/2024	836
89240BAC2	40930	TOYOTA AUTO REC OWNER TRUST	02/08/2021	15,497,123.20	15,500,000.00	15,484,203.95	0.260	0.265	0.269	05/15/2025	1,322
89238EAC0	41089	Toyota Lease Owner Trust	04/21/2021	12,998,482.90	13,000,000.00	13,007,624.50	0.390	0.392	0.397	04/22/2024	934
92868LAD3	40416	VOLKSWAGEN AUTO LOAN ENHANCED	03/24/2020	1,840,141.58	1,847,720.12	1,853,089.41	3.020	3.449	3.497	11/21/2022	416
92867XAD8	39913	Volkswagen Auto Lease Trust	10/04/2019	6,854,414.23	6,854,522.53	6,883,591.87	1.990	1.971	1.999	11/21/2022	416
92868VAC3	40801	Volkswagen Auto Lease Trust	12/03/2020	7,498,586.25	7,500,000.00	7,512,317.25	0.390	0.750	0.760	01/22/2024	843
92349GAA9	39612	VERIZON OWNER TRUST	06/12/2019	24,152,585.99	24,154,274.37	24,373,563.78	2.330	2.312	2.344	12/20/2023	810
92348AAA3	39920	VERIZON OWNER TRUST	10/08/2019	23,998,149.60	24,000,000.00	24,237,014.40	1.940	1.924	1.951	04/20/2024	932
92290BAA9	40549	VERIZON OWNER TRUST	08/12/2020	29,243,857.50	29,250,000.00	29,322,776.93	0.470	0.473	0.480	02/20/2025	1,238
92348CAA9	40712	VERIZON OWNER TRUST	11/02/2020	20,496,672.85	20,500,000.00	20,537,115.25	0.410	0.412	0.417	04/21/2025	1,298
98164EAC9	40937	World Omni Auto Rec'vble Trust	02/10/2021	13,999,046.60	14,000,000.00	13,984,286.40	0.300	0.298	0.303	01/15/2026	1,567
98163HAB5	41392	World Omni Auto Rec'vble Trust	09/22/2021	7,499,523.75	7,500,000.00	7,499,006.25	0.290	0.289	0.293	02/18/2025	1,236
		Subtotal and Average		**815,584,343.18**	**815,847,158.91**	**818,611,703.78**		**1.013**	**1.027**		**1,123**
Municipal Bonds											
13063DVM7	40577	CALIFORNIA STATE	09/09/2020	29,263,030.15	26,650,000.00	29,308,870.50	5.000	0.266	0.270	11/01/2023	761
13077DQD7	41265	CALIF STATE UNIV	07/29/2021	5,000,000.00	5,000,000.00	4,976,000.00	0.862	0.850	0.862	11/01/2025	1,492
13059QAF2	41014	CA SCH FIN AUTH	03/24/2021	15,000,000.00	15,000,000.00	15,001,800.00	0.220	0.216	0.220	12/30/2021	90
13059QAK1	41028	CA SCH FIN AUTH	03/30/2021	16,435,000.00	16,435,000.00	16,438,451.35	0.260	0.256	0.260	12/30/2021	90
13059QAH8	41029	CA SCH FIN AUTH	03/30/2021	2,500,000.00	2,500,000.00	2,500,525.00	0.260	0.256	0.260	12/30/2021	90
374288AB4	40910	J PAUL GETTY TRUST	01/28/2021	15,000,000.00	15,000,000.00	14,935,886.10	0.391	0.385	0.391	01/01/2024	822
54438CYH9	40736	Los Angeles CA CMNTY CLG DIST	11/10/2020	4,000,000.00	4,000,000.00	4,007,960.00	0.444	0.437	0.444	08/01/2023	669
65887PHS7	37523	North Dakota State Finance	03/06/2017	500,000.00	500,000.00	500,000.00	5.000	1.400	1.420	10/01/2021	0
7976466Q4	40498	SAN FRANCISCO CITY & CNTY CA	05/07/2020	1,285,825.56	1,250,000.00	1,292,375.00	5.000	0.877	0.889	06/15/2022	257
799408Z69	40654	San Ramon Valley Unified SCD	10/20/2020	5,040,000.00	5,040,000.00	5,041,159.20	0.367	0.361	0.367	08/01/2023	669
91412GWY7	39314	UNIVERSITY CALIFORNIA REVS	03/15/2019	4,006,154.11	4,000,000.00	4,066,120.00	2.826	2.530	2.566	05/15/2022	226
91412HEX7	39321	UNIVERSITY CALIFORNIA REVS	03/19/2019	1,000,000.00	1,000,000.00	1,037,820.00	2.657	2.620	2.657	05/15/2023	591
91412HEW9	39322	UNIVERSITY CALIFORNIA REVS	03/19/2019	665,000.00	665,000.00	675,101.35	2.608	2.571	2.606	05/15/2022	226
91412HGE7	40532	UNIVERSITY CALIFORNIA REVS	07/16/2020	5,000,000.00	5,000,000.00	5,045,150.00	0.883	0.870	0.883	05/15/2025	1,322
91412HJK0	40993	UNIVERSITY CALIFORNIA REVS	03/10/2021	2,500,000.00	2,500,000.00	2,485,000.00	0.367	0.361	0.366	05/15/2024	957
917542WF5	40481	UTAH ST	04/23/2020	3,215,028.66	3,000,000.00	3,250,680.00	5.000	0.828	0.840	07/01/2023	638

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		Subtotal and Average		**110,410,038.48**	**107,540,000.00**	**110,562,898.50**		**0.487**	**0.494**		**581**
Commercial Paper, Discount											
02314PX89	41041	Amazon	04/07/2021	49,999,416.60	50,000,000.00	49,999,111.00	0.060	0.061	0.061	10/08/2021	7
06054NZN4	41212	BANK OF AMERICA	06/25/2021	49,985,434.54	50,000,000.00	49,987,588.50	0.130	0.130	0.131	12/22/2021	82
1247P3A77	41076	CORPORATE ASSET FUNDING CO.	04/16/2021	39,981,613.68	40,000,000.00	39,987,570.00	0.170	0.172	0.175	01/07/2022	98
16536HX14	41411	CHESHAM FINANCE	09/30/2021	75,000,000.00	75,000,000.00	74,854,833.00	0.070	0.069	0.070	10/01/2021	0
17327BAE5	41083	CITIGROUP GLOBAL MARKETS	04/20/2021	28,785,816.99	28,800,000.00	28,790,219.81	0.170	0.172	0.175	01/14/2022	105
17327BAA3	41091	CITIGROUP GLOBAL MARKETS	04/22/2021	33,983,892.74	34,000,000.00	33,989,018.00	0.170	0.172	0.175	01/10/2022	101
17327BAE5	41098	CITIGROUP GLOBAL MARKETS	04/26/2021	34,982,013.52	35,000,000.00	34,988,114.35	0.180	0.180	0.182	01/14/2022	105
17327BAU9	41120	CITIGROUP GLOBAL MARKETS	05/04/2021	22,086,914.08	22,100,000.00	22,091,160.00	0.180	0.183	0.185	01/28/2022	119
12619TX82	41050	CRC FUNDING LLC	04/09/2021	49,998,469.34	50,000,000.00	49,998,889.00	0.160	0.160	0.162	10/08/2021	7
46640QET4	41337	JP Morgan Securities	08/31/2021	49,943,720.76	50,000,000.00	49,943,791.00	0.170	0.172	0.174	05/27/2022	238
5148X0X18	41413	LANDES BANK-BADEN	09/30/2021	125,000,000.00	125,000,000.00	124,960,728.90	0.040	0.039	0.040	10/01/2021	0
7426M3ET2	41415	PRIVATE EXPORT FUND CORPORATIO	09/30/2021	24,986,739.35	25,000,000.00	24,971,895.50	0.080	0.081	0.082	05/27/2022	238
82124LZ96	41417	SHEFFIELD RECEIVABLES CORP	09/30/2021	49,991,517.53	50,000,000.00	49,989,953.50	0.090	0.090	0.091	12/09/2021	69
89233HA79	41074	TOYOTA MOTOR CREDIT	04/15/2021	64,964,847.61	65,000,000.00	64,988,202.50	0.200	0.203	0.206	01/07/2022	98
		Subtotal and Average		**699,690,396.74**	**699,900,000.00**	**699,541,075.06**		**0.120**	**0.122**		**70**
Federal Agency Discount Notes											
313313SE9	41412	FFCB DISCOUNT NOTE	09/30/2021	14,998,574.25	15,000,000.00	14,998,083.90	0.030	0.030	0.030	01/24/2022	115
		Subtotal and Average		**14,998,574.25**	**15,000,000.00**	**14,998,083.90**		**0.030**	**0.031**		**115**
Local Agency Investment Fund											
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2020	43,161,254.62	43,161,254.62	43,161,254.62	0.221	0.217	0.221		1
		Subtotal and Average		**43,161,254.62**	**43,161,254.62**	**43,161,254.62**		**0.218**	**0.221**		**1**
Money Market											
SYS40461	40461	Dreyfus Government Cash Mgmt	04/15/2020	411.00	411.00	411.00	0.030	0.029	0.030		1
SYS37590	37590	JP MORGAN US GOVT MMF	02/27/2017	804.84	804.84	804.84	0.010	0.009	0.010		1
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	1,987.08	1,987.08	1,987.08	0.010	0.009	0.010		1
SYS23519	23519	BLACKKROCK TREASURY LIQ FUND	02/01/2008	538,888,744.22	538,888,744.22	538,888,744.22	0.010	0.009	0.010		1
		Subtotal and Average		**538,891,947.14**	**538,891,947.14**	**538,891,947.14**		**0.010**	**0.010**		**1**

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Other - Floaters - Daily Reset											
459058JJ3	40600	INTL BANK RECON & DEVELOP	09/18/2020	45,000,000.00	45,000,000.00	45,215,550.00	0.360	0.359	0.364	09/18/2025	1,448
		Subtotal and Average		**45,000,000.00**	**45,000,000.00**	**45,215,550.00**		**0.360**	**0.365**		**1,448**
Agency - Floaters - Daily Reset											
31422BM64	40547	FARMER MAC	08/11/2020	25,000,000.00	25,000,000.00	25,016,099.50	0.160	0.172	0.175	08/11/2022	314
31422BR36	40614	FARMER MAC	09/25/2020	35,000,000.00	35,000,000.00	35,052,503.85	0.230	0.230	0.233	09/25/2023	724
31422B2B5	40671	FARMER MAC	10/23/2020	35,000,000.00	35,000,000.00	35,021,005.95	0.170	0.168	0.170	10/23/2023	752
3133EL4V3	40559	FFCB NOTES	08/28/2020	74,996,905.18	75,000,000.00	75,044,859.00	0.135	0.140	0.142	07/25/2022	297
3133EMGG1	40729	FFCB NOTES	11/06/2020	42,000,000.00	42,000,000.00	42,067,237.80	0.185	0.183	0.185	11/06/2023	766
3133EMJH6	40793	FFCB NOTES	12/02/2020	60,000,000.00	60,000,000.00	60,056,259.60	0.150	0.150	0.152	12/02/2022	427
3133EMJV5	40811	FFCB NOTES	12/08/2020	45,000,000.00	45,000,000.00	45,080,041.50	0.170	0.167	0.169	12/08/2023	798
3133EMLK6	40862	FFCB NOTES	12/21/2020	30,000,000.00	30,000,000.00	30,015,280.20	0.110	0.104	0.106	10/21/2022	385
3133EMPZ9	40934	FFCB NOTES	02/09/2021	6,000,000.00	6,000,000.00	6,003,313.20	0.105	0.098	0.100	02/09/2023	496
3133EMXV9	41112	FFCB NOTES	04/29/2021	35,000,000.00	35,000,000.00	35,008,927.10	0.110	0.108	0.109	04/29/2024	941
3133EMH70	41180	FFCB NOTES	06/14/2021	25,000,000.00	25,000,000.00	25,004,381.00	0.080	0.079	0.080	06/14/2023	621
3130AKEA0	40696	FHLB NOTES	10/29/2020	50,000,000.00	50,000,000.00	50,166,742.00	0.250	0.249	0.252	10/29/2025	1,489
3130AKEA0	40697	FHLB NOTES	10/29/2020	10,000,000.00	10,000,000.00	10,033,348.40	0.250	0.249	0.252	10/29/2025	1,489
3130AKK47	40848	FHLB NOTES	12/15/2020	45,000,000.00	45,000,000.00	45,013,804.65	0.110	0.105	0.106	12/15/2022	440
		Subtotal and Average		**517,996,905.18**	**518,000,000.00**	**518,583,803.75**		**0.159**	**0.161**		**671**
Supranationals - Green Bond											
45818WBU9	38324	IADB	12/21/2017	25,000,000.00	25,000,000.00	25,005,120.50	0.176	1.474	1.495	01/15/2022	106
		Subtotal and Average		**25,000,000.00**	**25,000,000.00**	**25,005,120.50**		**1.475**	**1.495**		**106**
Supranationals											
45818WBP0	38317	IADB	12/21/2017	45,006,275.43	45,000,000.00	45,022,050.00	0.196	1.335	1.354	07/15/2022	287
4581X0DF2	39495	IADB	04/29/2019	17,080,414.41	17,000,000.00	17,843,540.00	2.625	2.372	2.405	01/16/2024	837
4581X0DR6	40721	IADB	11/04/2020	45,000,000.00	45,000,000.00	45,182,250.00	0.278	0.290	0.294	02/04/2025	1,222
459058GQ0	39367	INTL BANK RECON & DEVELOP	04/02/2019	5,017,591.05	5,000,000.00	5,248,241.25	2.500	2.315	2.347	03/19/2024	900
45906M2B6	40684	INTL BANK RECON & DEVELOP	10/27/2020	25,119,559.82	25,000,000.00	25,191,500.00	0.440	0.267	0.271	06/17/2024	990
459058JL8	40688	INTL BANK RECON & DEVELOP	10/28/2020	2,497,697.63	2,500,000.00	2,465,671.50	0.500	0.515	0.522	10/28/2025	1,488
459058JL8	40689	INTL BANK RECON & DEVELOP	10/28/2020	14,986,185.75	15,000,000.00	14,794,029.00	0.500	0.515	0.522	10/28/2025	1,488

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Fund COMM - COMMINGLED POOL
Investments by Fund
September 30, 2021

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Supranationals											
459058JM6	40773	INTL BANK RECON & DEVELOP	11/24/2020	12,240,530.75	12,250,000.00	12,221,556.60	0.250	0.282	0.286	11/24/2023	784
459058JS3	41153	INTL BANK RECON & DEVELOP	05/28/2021	9,921,457.27	10,000,000.00	9,847,883.20	0.650	0.822	0.834	02/10/2026	1,593
		Subtotal and Average		**176,869,712.11**	**176,750,000.00**	**177,816,721.55**		**0.863**	**0.875**		**921**
		Total Investments and Average		**8,808,632,126.23**	**8,782,282,473.62**	**8,833,446,365.13**		**0.742**	**0.752**		**670**

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Fund WK - WORKERS COMP
Investments by Fund
September 30, 2021

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Mortgage Backed Securities (MBS)											
3138LGKH8	39318	FNMA Multi-Family	03/19/2019	2,953,125.00	3,000,000.00	3,079,972.86	2.470	2.794	2.833	01/01/2024	822
3136AEX69	40525	FNMA Multi-Family	06/26/2020	386,818.91	372,416.85	379,523.20	2.389	0.728	0.739	01/25/2023	481
		Subtotal and Average		**3,339,943.91**	**3,372,416.85**	**3,459,496.06**		**2.556**	**2.591**		**782**
Federal Agency Bonds											
3133EJZH5	38879	FFCB NOTES	10/05/2018	997,875.16	1,000,000.00	1,024,642.70	2.800	2.997	3.038	09/13/2022	347
3133EJ3B3	39112	FFCB NOTES	12/24/2018	2,000,270.57	2,000,000.00	2,011,473.64	2.800	2.695	2.732	12/17/2021	77
3130A0F70	39114	FHLB NOTES	12/24/2018	1,516,959.76	1,500,000.00	1,599,875.24	3.375	2.778	2.817	12/08/2023	798
3135G03U5	40497	FNMA NOTES	05/07/2020	1,501,647.00	1,500,000.00	1,496,515.35	0.625	0.585	0.593	04/22/2025	1,299
		Subtotal and Average		**6,016,752.49**	**6,000,000.00**	**6,132,506.93**		**2.240**	**2.271**		**608**
Federal Agency Bonds - CALLABLE											
3130AJLA5	40503	FHLB NOTES	05/19/2020	3,000,000.00	3,000,000.00	2,997,957.36	0.750	0.739	0.750	05/19/2025	1,326
3130AJLA5	40504	FHLB NOTES	05/19/2020	2,000,000.00	2,000,000.00	1,998,638.24	0.750	0.739	0.750	05/19/2025	1,326
3134GW6E1	40718	FHLMC NOTES	11/02/2020	2,500,000.00	2,500,000.00	2,499,922.55	0.320	0.315	0.320	11/02/2023	762
		Subtotal and Average		**7,500,000.00**	**7,500,000.00**	**7,496,518.15**		**0.598**	**0.607**		**1,138**
Money Market											
SYS34789	34789	BLACKKROCK TREASURY LIQ FUND	12/26/2013	10,576,357.84	10,576,357.84	10,576,357.84	0.010	0.009	0.010		1
		Subtotal and Average		**10,576,357.84**	**10,576,357.84**	**10,576,357.84**		**0.010**	**0.010**		**1**
Supranationals											
459058JL8	40695	INTL BANK RECON & DEVELOP	10/28/2020	2,497,697.63	2,500,000.00	2,465,671.50	0.500	0.515	0.522	10/28/2025	1,488
		Subtotal and Average		**2,497,697.63**	**2,500,000.00**	**2,465,671.50**		**0.516**	**0.523**		**1,488**
		Total Investments and Average		**29,930,751.87**	**29,948,774.69**	**30,130,550.48**		**0.932**	**0.945**		**619**

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Fund PCF - PARK CHARTER FUND
Investments by Fund
September 30, 2021

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Federal Agency Bonds											
3133EJ3B3	39113	FFCB NOTES	12/24/2018	1,700,229.98	1,700,000.00	1,709,752.59	2.800	2.695	2.732	12/17/2021	77
		Subtotal and Average		**1,700,229.98**	**1,700,000.00**	**1,709,752.59**		**2.695**	**2.733**		**77**
Federal Agency Bonds - CALLABLE											
3134GW6E1	40717	FHLMC NOTES	11/02/2020	1,000,000.00	1,000,000.00	999,969.02	0.320	0.315	0.320	11/02/2023	762
		Subtotal and Average		**1,000,000.00**	**1,000,000.00**	**999,969.02**		**0.316**	**0.320**		**762**
Money Market											
SYS33657	33657	BLACKKROCK TREASURY LIQ FUND	09/30/2012	1,697,216.16	1,697,216.16	1,697,216.16	0.010	0.009	0.010		1
		Subtotal and Average		**1,697,216.16**	**1,697,216.16**	**1,697,216.16**		**0.010**	**0.010**		**1**
		Total Investments and Average		**4,397,446.14**	**4,397,216.16**	**4,406,937.77**		**1.118**	**1.133**		**203**

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Fund SJE - SAN JOSE- EVERGREEN
Investments by Fund
September 30, 2021

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Municipal Bonds											
041042UP5	40021	ARKANSAS ST	11/07/2019	509,272.41	500,000.00	513,925.00	3.000	1.094	1.110	10/01/2022	365
13063C5Q9	37543	CALIFORNIA ST	03/14/2017	512,350.03	500,000.00	520,030.00	5.000	1.844	1.870	08/01/2022	304
13063DSG4	40064	CALIFORNIA ST	11/20/2019	520,841.66	500,000.00	526,030.00	5.000	1.065	1.080	11/01/2022	396
20772JQ96	37546	Connecticut-F	03/09/2017	501,803.97	500,000.00	502,810.00	5.000	1.873	1.900	11/15/2021	45
246381NB9	39874	DELAWARE ST	09/23/2019	542,321.43	500,000.00	555,365.00	5.000	1.242	1.260	02/01/2024	853
373385CF8	40048	GEORGIA ST	11/15/2019	514,413.32	500,000.00	518,020.00	5.000	1.075	1.090	07/01/2022	273
419792JY3	39790	Hawaii State	08/30/2019	539,949.97	500,000.00	547,540.00	5.000	0.907	0.920	10/01/2023	730
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	203,222.35	200,000.00	204,780.00	5.000	1.607	1.630	04/01/2022	182
57582RWB8	39846	MASSACHUSETTS ST	09/13/2019	514,086.61	500,000.00	517,945.00	5.000	1.154	1.170	07/01/2022	273
57582RQU3	40039	MASSACHUSETTS ST	11/13/2019	107,774.16	100,000.00	109,889.00	5.000	1.154	1.170	11/01/2023	761
574193KT4	39795	MARYLAND ST	08/30/2019	103,259.18	100,000.00	104,006.00	5.000	1.006	1.020	08/01/2022	304
574193RL4	39807	MARYLAND ST	09/04/2019	535,673.77	500,000.00	543,835.00	5.000	1.006	1.020	08/01/2023	669
574193PA0	40057	MARYLAND ST	11/19/2019	309,578.70	300,000.00	312,018.00	5.000	1.085	1.100	08/01/2022	304
60412AQP1	39803	MINNESOTA ST	09/03/2019	536,159.37	500,000.00	543,835.00	5.000	0.957	0.970	08/01/2023	669
6041297C4	39813	MINNESOTA ST	09/05/2019	516,296.37	500,000.00	520,115.00	5.000	1.006	1.020	08/01/2022	304
60412ADC4	40040	MINNESOTA ST	11/13/2019	412,654.00	400,000.00	416,092.00	5.000	1.115	1.131	08/01/2022	304
658256Z21	39792	NORTH CAROLINA ST	08/30/2019	532,895.63	500,000.00	539,905.00	5.000	0.956	0.970	06/01/2023	608
647293RQ4	39826	NEW MEXICO ST SEVERANCE TAX	09/10/2019	508,101.85	500,000.00	509,950.00	5.000	1.035	1.050	03/01/2022	151
677521Q82	37526	OHIO ST	03/08/2017	305,000.00	305,000.00	305,000.00	5.000	1.509	1.530	10/01/2021	0
677522XY5	39812	OHIO ST	09/05/2019	506,534.87	500,000.00	507,945.00	5.000	1.006	1.020	02/01/2022	123
677522Q80	40063	OHIO ST	11/20/2019	526,534.25	500,000.00	533,980.00	5.000	1.155	1.171	03/01/2023	516
68609BSH1	39791	OREGON ST	08/30/2019	550,861.75	500,000.00	560,870.00	5.000	0.948	0.961	05/01/2024	943
882723RA9	40026	TEXAS STATE REFUNDING	11/08/2019	528,393.05	500,000.00	535,880.00	5.000	1.114	1.130	04/01/2023	547
917542UG5	40033	UTAH ST	11/12/2019	532,895.72	500,000.00	541,780.00	5.000	1.134	1.150	07/01/2023	638
9242582V7	39794	VERMONT ST	08/30/2019	527,124.37	500,000.00	532,780.00	5.000	0.956	0.970	02/15/2023	502
93974DM70	40027	WASHINGTON ST	11/08/2019	607,888.74	550,000.00	622,902.50	5.000	1.154	1.170	08/01/2024	1,035
97705MFT7	37717	WISCONSIN STATE	03/29/2017	509,272.90	500,000.00	514,025.00	5.000	1.647	1.670	05/01/2022	212
956553XU0	40032	West Virginia State	11/12/2019	599,925.75	565,000.00	609,996.60	5.000	1.183	1.200	06/01/2023	608
		Subtotal and Average		**13,115,086.18**	**12,520,000.00**	**13,271,249.10**		**1.168**	**1.184**		**472**
Money Market - Tax Exempt											
SYS33615	33615	Dreyfus Tax Exempt Inst	09/25/2012	8,064,895.60	8,064,895.60	8,064,895.60	0.010	0.009	0.010		1

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Fund SJE - SAN JOSE- EVERGREEN
Investments by Fund
September 30, 2021

CUSIP	Investment #	Issuer	Purchase Date	Book Value		Market Value	Current Rate	YTM 360	YTM 365	
			Subtotal and Average	**8,064,895.60**	**8,064,895.60**	**8,064,895.60**		**0.010**	**0.010**	**1**
			Total Investments and Average	**21,179,981.78**	**20,584,895.60**	**21,336,144.70**		**0.727**	**0.737**	**293**

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SANTA CLARA COUNTY INVESTMENTS
Transaction Activity Report
July 1, 2021 - September 30, 2021
Sorted by Transaction Date - Transaction Date
COMMINGLED POOL Fund

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41223	COMM	16536HU25	CHES DISC NOTE	Purchase	07/01/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41224	COMM	22536LU24	CICNY DISC NOTE	Purchase	07/01/2021	Credit Indust et Com	49,999,888.89			**-49,999,888.89**
41225	COMM	5148X0U29	LANDES DISC NOTE	Purchase	07/01/2021	LANDES	124,999,687.50			**-124,999,687.50**
40391	COMM	31422BWJ5	FEDERAL AGRIC	Interest	07/01/2021	FARMER MAC			9,894.44	**9,894.44**
40481	COMM	917542WF5	UTAH ST, GO BDS	Interest	07/01/2021	UTAH ST			75,000.00	**75,000.00**
40910	COMM	374288AB4	JPGETY 0.391% MAT	Interest	07/01/2021	J PAUL GETTY			24,926.25	**24,926.25**
		Totals for 07/01/2021					**249,999,430.56**		**109,820.69**	**-249,889,609.87**
41226	COMM	5148X0U60	LANDES DISC NOTE	Purchase	07/02/2021	LANDES	74,999,250.00			**-74,999,250.00**
41223	COMM	16536HU25	CHES DISC NOTE	Redemption	07/02/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41224	COMM	22536LU24	CICNY DISC NOTE	Redemption	07/02/2021	Credit Indust et Com		50,000,000.00		**50,000,000.00**
41225	COMM	5148X0U29	LANDES DISC NOTE	Redemption	07/02/2021	LANDES		125,000,000.00		**125,000,000.00**
40044	COMM	3135G0V75	FEDERAL NATL MTG	Interest	07/02/2021	FNMA NOTES			218,750.00	**218,750.00**
		Totals for 07/02/2021					**74,999,250.00**	**250,000,000.00**	**218,750.00**	**175,219,500.00**
41227	COMM	5148X0U78	LANDES DISC NOTE	Purchase	07/06/2021	LANDES	49,999,875.00			**-49,999,875.00**
41226	COMM	5148X0U60	LANDES DISC NOTE	Redemption	07/06/2021	LANDES		75,000,000.00		**75,000,000.00**
37582	COMM	3135G0S38	FEDERAL NATL MTG	Interest	07/06/2021	FNMA NOTES			100,000.00	**100,000.00**
38079	COMM	3135G0S38	FEDERAL NATL MTG	Interest	07/06/2021	FNMA NOTES			100,000.00	**100,000.00**
38142	COMM	3135G0S38	FEDERAL NATL MTG	Interest	07/06/2021	FNMA NOTES			113,950.00	**113,950.00**
		Totals for 07/06/2021					**49,999,875.00**	**75,000,000.00**	**313,950.00**	**25,314,075.00**
41228	COMM	3136AUG21	FNMAM 2.49552%	Purchase	07/07/2021	FNMA Multi-Family	23,829,578.03			**-23,829,578.03**
41229	COMM	5148X0U86	LANDES DISC NOTE	Purchase	07/07/2021	LANDES	49,999,875.00			**-49,999,875.00**
41227	COMM	5148X0U78	LANDES DISC NOTE	Redemption	07/07/2021	LANDES		50,000,000.00		**50,000,000.00**
40233	COMM	3135G0X24	FEDERAL NATL MTG	Interest	07/07/2021	FNMA NOTES			121,875.00	**121,875.00**
		Totals for 07/07/2021					**73,829,453.03**	**50,000,000.00**	**121,875.00**	**-23,707,578.03**
41230	COMM	5148X0U94	LANDES DISC NOTE	Purchase	07/08/2021	LANDES	49,999,875.00			**-49,999,875.00**
41049	COMM	1247P2U85	CAFCO DISC NOTE	Redemption	07/08/2021	CORPORATE		50,000,000.00		**50,000,000.00**
41229	COMM	5148X0U86	LANDES DISC NOTE	Redemption	07/08/2021	LANDES		50,000,000.00		**50,000,000.00**
39714	COMM	931142EL3	WALMART INC, SR	Interest	07/08/2021	WALMART			71,250.00	**71,250.00**
		Totals for 07/08/2021					**49,999,875.00**	**100,000,000.00**	**71,250.00**	**50,071,375.00**
41231	COMM	5148X0UC7	LANDES DISC NOTE	Purchase	07/09/2021	LANDES	49,999,625.00			**-49,999,625.00**
41017	COMM	05966DA77	BANS 0.21% MAT	Redemption	07/09/2021	Banco Santander NY		40,000,000.00		**40,000,000.00**
41230	COMM	5148X0U94	LANDES DISC NOTE	Redemption	07/09/2021	LANDES		50,000,000.00		**50,000,000.00**

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SANTA CLARA COUNTY INVESTMENTS
Transaction Activity Report
Sorted by Transaction Date - Transaction Date

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40117	COMM	90331HPF4	USB 1.95% MAT	Interest	07/09/2021	U S BANK			282,750.00	**282,750.00**
40154	COMM	90331HPF4	USB 1.95% MAT	Interest	07/09/2021	U S BANK			107,250.00	**107,250.00**
41017	COMM	05966DA77	BANS 0.21% MAT	Interest	07/09/2021	Banco Santander NY			24,966.67	**24,966.67**
		Totals for 07/09/2021					**49,999,625.00**	**90,000,000.00**	**414,966.67**	**40,415,341.67**
41232	COMM	5148X0UD5	LANDES DISC NOTE	Purchase	07/12/2021	LANDES	49,999,875.00			**-49,999,875.00**
41231	COMM	5148X0UC7	LANDES DISC NOTE	Redemption	07/12/2021	LANDES		50,000,000.00		**50,000,000.00**
40234	COMM	3134GUQ94	FEDERAL HOME LN	Interest	07/12/2021	FHLMC NOTES			180,000.00	**180,000.00**
40832	COMM	3135G05G4	FEDERAL NATL MTG	Interest	07/12/2021	FNMA NOTES			31,250.00	**31,250.00**
40867	COMM	3135G05G4	FEDERAL NATL MTG	Interest	07/12/2021	FNMA NOTES			18,750.00	**18,750.00**
40892	COMM	21688AAQ5	RABO 0.375% MAT	Interest	07/12/2021	RABOBANK			13,125.00	**13,125.00**
41209	COMM	14687TAC1	CRVNA 0.49% MAT	Interest	07/12/2021	Carvana Auto			3,266.67	**3,266.67**
41209	COMM	14687TAC1	CRVNA 0.49% MAT	Redemption	07/12/2021	Carvana Auto		262,604.92		**262,604.92**
		Totals for 07/12/2021					**49,999,875.00**	**50,262,604.92**	**246,391.67**	**509,121.59**
41233	COMM	5148X0UE3	LANDES DISC NOTE	Purchase	07/13/2021	LANDES	49,999,875.00			**-49,999,875.00**
41232	COMM	5148X0UD5	LANDES DISC NOTE	Redemption	07/13/2021	LANDES		50,000,000.00		**50,000,000.00**
40083	COMM	3137EADB2	FEDERAL HOME LN	Interest	07/13/2021	FHLMC NOTES			237,500.00	**237,500.00**
40238	COMM	59217GEE5	MET LI 1.95% MAT	Interest	07/13/2021	MET LIFE GLOBAL			97,500.00	**97,500.00**
40274	COMM	037833DF4	APPLE INC, SR GLBL	Interest	07/13/2021	APPLE INC			68,750.00	**68,750.00**
40531	COMM	3133ELT95	FEDERAL FARM CR	Interest	07/13/2021	FFCB NOTES			8,000.00	**8,000.00**
		Totals for 07/13/2021					**49,999,875.00**	**50,000,000.00**	**411,750.00**	**411,875.00**
41234	COMM	5148X0UF0	LANDES DISC NOTE	Purchase	07/14/2021	LANDES	49,999,875.00			**-49,999,875.00**
41233	COMM	5148X0UE3	LANDES DISC NOTE	Redemption	07/14/2021	LANDES		50,000,000.00		**50,000,000.00**
		Totals for 07/14/2021					**49,999,875.00**	**50,000,000.00**		**125.00**
41235	COMM	5148X0UG8	LANDES DISC NOTE	Purchase	07/15/2021	LANDES	49,999,875.00			**-49,999,875.00**
8506	COMM	SYS8506	LAIF 1.65%	Purchase	07/15/2021	LOCAL AGENCY	35,211.17			**-35,211.17**
38385	COMM	45818WBM7	IADB 1.92152% MAT	Redemption	07/15/2021	IADB		20,000,000.00		**20,000,000.00**
38739	COMM	45818WBM7A	IADB 1.92152% MAT	Redemption	07/15/2021	IADB		10,000,000.00		**10,000,000.00**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	07/15/2021	BANK OF AMERICA		15,000,585.94		**15,000,585.94**
40661	COMM	9127963S6	UNITED STATES	Redemption	07/15/2021	U.S. TREASURY		40,000,000.00		**40,000,000.00**
41234	COMM	5148X0UF0	LANDES DISC NOTE	Redemption	07/15/2021	LANDES		50,000,000.00		**50,000,000.00**
38317	COMM	45818WBP0	IADB 1.42917% MAT	Interest	07/15/2021	IADB			28,800.00	**28,800.00**
38324	COMM	45818WBU9	IADB 1.64203% MAT	Interest	07/15/2021	IADB			14,500.00	**14,500.00**
38385	COMM	45818WBM7	IADB 1.92152% MAT	Interest	07/15/2021	IADB			19,400.00	**19,400.00**
38739	COMM	45818WBM7A	IADB 1.92152% MAT	Interest	07/15/2021	IADB			9,700.00	**9,700.00**
40337	COMM	742651DP4	PEFCO 2.45% MAT	Interest	07/15/2021	PRIVATE EXPORT			139,466.25	**139,466.25**
40528	COMM	3136G4YU1	FEDERAL NATL MTG	Interest	07/15/2021	FNMA NOTES			36,500.00	**36,500.00**
40529	COMM	3136G4YU1	FEDERAL NATL MTG	Interest	07/15/2021	FNMA NOTES			20,140.70	**20,140.70**
41048	COMM	91282CBE0	UNITED STATES	Interest	07/15/2021	U.S. TREASURY			28,125.00	**28,125.00**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41048	COMM	91282CBE0	UNITED STATES	Accr Int	07/15/2021	U.S. TREASURY		12,897.10	-12,897.10	**0.00**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	07/15/2021	TOYOTA AUTO REC			11,415.01	**11,415.01**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	07/15/2021	TOYOTA AUTO REC		564,647.30		**564,647.30**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	07/15/2021	HONDA AUTO			19,958.13	**19,958.13**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	07/15/2021	HONDA AUTO		1,082,869.64		**1,082,869.64**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	07/15/2021	BANK OF AMERICA			38,750.00	**38,750.00**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	07/15/2021	BANK OF AMERICA		555,624.98		**555,624.98**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	07/15/2021	BANK OF AMERICA				**0.00**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	07/15/2021	BANK OF AMERICA				**0.00**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	07/15/2021	Nissan Auto Receivab			30,167.52	**30,167.52**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	07/15/2021	Nissan Auto Receivab		1,280,711.90		**1,280,711.90**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	07/15/2021	Capital One Prime Au			12,103.10	**12,103.10**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	07/15/2021	Capital One Prime Au		541,706.32		**541,706.32**
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	07/15/2021	JOHN DEERE			11,319.22	**11,319.22**
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	07/15/2021	JOHN DEERE		327,534.44		**327,534.44**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	07/15/2021	HONDA AUTO			10,057.70	**10,057.70**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	07/15/2021	HONDA AUTO		572,538.38		**572,538.38**
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	07/15/2021	Capital One Multi Ex			50,166.66	**50,166.66**
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	07/15/2021	Capital One Multi Ex		1,024,238.63		**1,024,238.63**
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	07/15/2021	MERCEDES -BENZ			40,252.36	**40,252.36**
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	07/15/2021	MERCEDES -BENZ		2,205,970.25		**2,205,970.25**
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	07/15/2021	HYUNDAI AUTO			17,696.83	**17,696.83**
40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	07/15/2021	HYUNDAI AUTO		872,796.69		**872,796.69**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	07/15/2021	TOYOTA AUTO REC			31,200.00	**31,200.00**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	07/15/2021	TOYOTA AUTO REC		678,152.87		**678,152.87**
40062	COMM	58769QAC5	MBALT 2.% MAT	Interest	07/15/2021	MERCEDES-BENZ			13,407.37	**13,407.37**
40062	COMM	58769QAC5	MBALT 2.% MAT	Redemption	07/15/2021	MERCEDES-BENZ		946,681.42		**946,681.42**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Interest	07/15/2021	ALLY Auto			17,981.52	**17,981.52**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Redemption	07/15/2021	ALLY Auto		946,534.34		**946,534.34**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Interest	07/15/2021	Harley-Davidson Moto			15,583.33	**15,583.33**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Redemption	07/15/2021	Harley-Davidson Moto		535,274.39		**535,274.39**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Interest	07/15/2021	CHASE ISSUANCE			34,425.00	**34,425.00**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Redemption	07/15/2021	CHASE ISSUANCE		687,053.62		**687,053.62**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Interest	07/15/2021	Capital One Prime Au			33,333.33	**33,333.33**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Redemption	07/15/2021	Capital One Prime Au		663,759.38		**663,759.38**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Interest	07/15/2021	MERCEDES-BENZ			45,999.99	**45,999.99**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	07/15/2021	MERCEDES-BENZ		1,908,502.05		**1,908,502.05**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Interest	07/15/2021	MERCEDES-BENZ			9,200.00	**9,200.00**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	07/15/2021	MERCEDES-BENZ		381,700.41		**381,700.41**
40411	COMM	254683CH6	DCENT 3.32% MAT	Interest	07/15/2021	Discover Card Execut			41,500.01	**41,500.01**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40411	COMM	254683CH6	DCENT 3.32% MAT	Redemption	07/15/2021	Discover Card Execut		514,107.96		**514,107.96**
40515	COMM	58769VAC4	MBART 0.55% MAT	Interest	07/15/2021	MERCEDES -BENZ			2,291.67	**2,291.67**
40515	COMM	58769VAC4	MBART 0.55% MAT	Redemption	07/15/2021	MERCEDES -BENZ		120,708.65		**120,708.65**
40533	COMM	47787NAC3	JDOT 0.51% MAT	Interest	07/15/2021	JOHN DEERE			1,912.50	**1,912.50**
40533	COMM	47787NAC3	JDOT 0.51% MAT	Redemption	07/15/2021	JOHN DEERE		116,940.95		**116,940.95**
40608	COMM	58769EAB4	MBALT 0.31% MAT	Interest	07/15/2021	MERCEDES-BENZ			1,193.76	**1,193.76**
40608	COMM	58769EAB4	MBALT 0.31% MAT	Redemption	07/15/2021	MERCEDES-BENZ		638,413.96		**638,413.96**
40930	COMM	89240BAC2	TOYOTA AUTO	Interest	07/15/2021	TOYOTA AUTO REC			3,358.34	**3,358.34**
40930	COMM	89240BAC2	TOYOTA AUTO	Redemption	07/15/2021	TOYOTA AUTO REC		340,784.91		**340,784.91**
40937	COMM	98164EAC9	WOART 0.3% MAT	Interest	07/15/2021	World Omni Auto Rec'			3,500.00	**3,500.00**
40937	COMM	98164EAC9	WOART 0.3% MAT	Redemption	07/15/2021	World Omni Auto Rec'		264,322.10		**264,322.10**
40950	COMM	41284NAB6	HDMOT 0.22% MAT	Interest	07/15/2021	Harley-Davidson Moto			886.54	**886.54**
40950	COMM	41284NAB6	HDMOT 0.22% MAT	Redemption	07/15/2021	Harley-Davidson Moto		507,777.03		**507,777.03**
40958	COMM	34532NAC9	FM 0.3% MAT	Interest	07/15/2021	FORD MOTOR			5,000.00	**5,000.00**
40958	COMM	34532NAC9	FM 0.3% MAT	Redemption	07/15/2021	FORD MOTOR		413,931.03		**413,931.03**
40991	COMM	47788UAC6	JDOT 0.36% MAT	Interest	07/15/2021	JOHN DEERE			2,325.00	**2,325.00**
40991	COMM	47788UAC6	JDOT 0.36% MAT	Redemption	07/15/2021	JOHN DEERE		155,878.86		**155,878.86**
41111	COMM	44933LAC7	HART 0.38% MAT	Interest	07/15/2021	HYUNDAI AUTO			3,008.34	**3,008.34**
41111	COMM	44933LAC7	HART 0.38% MAT	Redemption	07/15/2021	HYUNDAI AUTO		189,454.41		**189,454.41**
41182	COMM	89190GAC1	TAOT 0.26% MAT	Interest	07/15/2021	TOYOTA AUTO REC			6,716.67	**6,716.67**
41182	COMM	89190GAC1	TAOT 0.26% MAT	Redemption	07/15/2021	TOYOTA AUTO REC		557,224.50		**557,224.50**
41187	COMM	44891VAC5	HALST 0.33% MAT	Interest	07/15/2021	Hyundai Auto Lease S			5,981.24	**5,981.24**
41187	COMM	44891VAC5	HALST 0.33% MAT	Redemption	07/15/2021	Hyundai Auto Lease S		608,664.40		**608,664.40**
41206	COMM	65480BAC1	NAROT 0.33% MAT	Interest	07/15/2021	Nissan Auto Receivab			8,066.68	**8,066.68**
41206	COMM	65480BAC1	NAROT 0.33% MAT	Redemption	07/15/2021	Nissan Auto Receivab		770,381.98		**770,381.98**
41220	COMM	58769KAD6	MBALT 0.4% MAT	Interest	07/15/2021	MERCEDES-BENZ			2,622.23	**2,622.23**
41220	COMM	58769KAD6	MBALT 0.4% MAT	Redemption	07/15/2021	MERCEDES-BENZ		359,737.13		**359,737.13**
8506	COMM	SYS8506	LAIF 1.65%	Interest	07/15/2021	LOCAL AGENCY			35,211.17	**35,211.17**
		Totals for 07/15/2021					**50,035,086.17**	**156,348,107.92**	**850,326.07**	**107,163,347.82**
41236	COMM	5148X0UK9	LANDES DISC NOTE	Purchase	07/16/2021	LANDES	49,999,625.00			**-49,999,625.00**
40099	COMM	9128287F1	UNITED STATES	Redemption	07/16/2021	U.S. TREASURY		40,067,187.50		**40,067,187.50**
40099	COMM	9128287F1	UNITED STATES	Cap G/L	07/16/2021	U.S. TREASURY		-39,062.50		**-39,062.50**
40702	COMM	89114NUN3	TDNY 0.2% MAT	Redemption	07/16/2021	TORONTO		50,000,000.00		**50,000,000.00**
40856	COMM	60710AVD1	MIZBNK 0.25% MAT	Redemption	07/16/2021	MIZUHO BANK		25,000,000.00		**25,000,000.00**
41235	COMM	5148X0UG8	LANDES DISC NOTE	Redemption	07/16/2021	LANDES		50,000,000.00		**50,000,000.00**
39495	COMM	4581X0DF2	IADB 2.625% MAT	Interest	07/16/2021	IADB			223,125.00	**223,125.00**
40099	COMM	9128287F1	UNITED STATES	Interest	07/16/2021	U.S. TREASURY			320,994.48	**320,994.48**
40702	COMM	89114NUN3	TDNY 0.2% MAT	Interest	07/16/2021	TORONTO			71,944.45	**71,944.45**
40856	COMM	60710AVD1	MIZBNK 0.25% MAT	Interest	07/16/2021	MIZUHO BANK			36,631.95	**36,631.95**

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		Totals for 07/16/2021					**49,999,625.00**	**165,028,125.00**	**652,695.88**	**115,681,195.88**
41237	COMM	5148X0UL7	LANDES DISC NOTE	Purchase	07/19/2021	LANDES	49,999,875.00			**-49,999,875.00**
39701	COMM	3133EKVC7	FEDERAL FARM CR	Redemption	07/19/2021	FFCB NOTES		15,000,000.00		**15,000,000.00**
41236	COMM	5148X0UK9	LANDES DISC NOTE	Redemption	07/19/2021	LANDES		50,000,000.00		**50,000,000.00**
39165	COMM	3133EJ5V7	FEDERAL FARM CR	Interest	07/19/2021	FFCB NOTES			195,234.38	**195,234.38**
39173	COMM	3133EJ5P0	FEDERAL FARM CR	Interest	07/19/2021	FFCB NOTES			130,000.00	**130,000.00**
39693	COMM	3133EKVD5	FEDERAL FARM CR	Interest	07/19/2021	FFCB NOTES			105,468.75	**105,468.75**
39701	COMM	3133EKVC7	FEDERAL FARM CR	Interest	07/19/2021	FFCB NOTES			140,625.00	**140,625.00**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	07/19/2021	HONDA AUTO			1,712.75	**1,712.75**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	07/19/2021	HONDA AUTO		522,287.27		**522,287.27**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	07/19/2021	HONDA AUTO			17,438.15	**17,438.15**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	07/19/2021	HONDA AUTO		802,583.76		**802,583.76**
		Totals for 07/19/2021					**49,999,875.00**	**66,324,871.03**	**590,479.03**	**16,915,475.06**
41238	COMM	5148X0UM5	LANDES DISC NOTE	Purchase	07/20/2021	LANDES	99,999,750.00			**-99,999,750.00**
41237	COMM	5148X0UL7	LANDES DISC NOTE	Redemption	07/20/2021	LANDES		50,000,000.00		**50,000,000.00**
40472	COMM	3135G03K7	FEDERAL NATL MTG	Interest	07/20/2021	FNMA NOTES			92,027.77	**92,027.77**
40473	COMM	3135G03M3	FEDERAL NATL MTG	Interest	07/20/2021	FNMA NOTES			48,541.67	**48,541.67**
40758	COMM	06417MLT0	BNSHOU FRN MAT	Interest	07/20/2021	BANK OF NOVA			16,675.02	**16,675.02**
39612	COMM	92349GAA9	VERIZON OWNER	Interest	07/20/2021	VERIZON OWNER			67,958.35	**67,958.35**
39612	COMM	92349GAA9	VERIZON OWNER	Redemption	07/20/2021	VERIZON OWNER		3,809,325.52		**3,809,325.52**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Interest	07/20/2021	Volkswagen Auto			17,217.14	**17,217.14**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Redemption	07/20/2021	Volkswagen Auto		953,266.51		**953,266.51**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Interest	07/20/2021	VERIZON OWNER			38,800.01	**38,800.01**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Redemption	07/20/2021	VERIZON OWNER		770,379.83		**770,379.83**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Interest	07/20/2021	GM Fin'cl Auto Leasi			6,958.34	**6,958.34**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Redemption	07/20/2021	GM Fin'cl Auto Leasi		338,185.99		**338,185.99**
40416	COMM	92868LAD3	VALET 3.02% MAT	Interest	07/20/2021	VOLKSWAGEN			11,677.78	**11,677.78**
40416	COMM	92868LAD3	VALET 3.02% MAT	Redemption	07/20/2021	VOLKSWAGEN		972,755.86		**972,755.86**
40512	COMM	36259PAD8	GMALT 0.8% MAT	Interest	07/20/2021	GM Fin'cl Auto Leasi			1,000.00	**1,000.00**
40512	COMM	36259PAD8	GMALT 0.8% MAT	Redemption	07/20/2021	GM Fin'cl Auto Leasi		62,672.13		**62,672.13**
40549	COMM	92290BAA9	VERIZON OWNER	Interest	07/20/2021	VERIZON OWNER			11,456.26	**11,456.26**
40549	COMM	92290BAA9	VERIZON OWNER	Redemption	07/20/2021	VERIZON OWNER		711,994.24		**711,994.24**
40623	COMM	362569AC9	GMALT 0.45% MAT	Interest	07/20/2021	GM Fin'cl Auto Leasi			3,187.50	**3,187.50**
40623	COMM	362569AC9	GMALT 0.45% MAT	Redemption	07/20/2021	GM Fin'cl Auto Leasi		327,022.67		**327,022.67**
40712	COMM	92348CAA9	VZOT 0.41% MAT	Interest	07/20/2021	VERIZON OWNER			7,004.17	**7,004.17**
40712	COMM	92348CAA9	VZOT 0.41% MAT	Redemption	07/20/2021	VERIZON OWNER		459,631.97		**459,631.97**
40801	COMM	92868VAC3	VWALT 0.39% MAT	Interest	07/20/2021	Volkswagen Auto			2,437.50	**2,437.50**
40801	COMM	92868VAC3	VWALT 0.39% MAT	Redemption	07/20/2021	Volkswagen Auto		242,911.51		**242,911.51**
40964	COMM	36261RAC2	GMALT 0.26% MAT	Interest	07/20/2021	GM Fin'cl Auto Leasi			1,787.51	**1,787.51**

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40964	COMM	36261RAC2	GMALT 0.26% MAT	Redemption	07/20/2021	GM Fin'cl Auto Leasi		263,612.31		**263,612.31**
40979	COMM	40440YAA7	HPQ 0.1653% MAT	Interest	07/20/2021	HEWLETT-PACKARD			2,107.98	**2,107.98**
40979	COMM	40440YAA7	HPQ 0.1653% MAT	Redemption	07/20/2021	HEWLETT-PACKARD		4,096,215.45		**4,096,215.45**
41089	COMM	89238EAC0	TLOT 0.39% MAT	Interest	07/20/2021	Toyota Lease Owner			4,225.00	**4,225.00**
41089	COMM	89238EAC0	TLOT 0.39% MAT	Redemption	07/20/2021	Toyota Lease Owner		375,669.32		**375,669.32**
41207	COMM	80286DAC2	SRT 0.51% MAT	Interest	07/20/2021	Santander Retail Aut			5,909.63	**5,909.63**
41207	COMM	80286DAC2	SRT 0.51% MAT	Redemption	07/20/2021	Santander Retail Aut		405,849.85		**405,849.85**
		Totals for 07/20/2021					**99,999,750.00**	**63,789,493.16**	**338,971.63**	**-35,871,285.21**
41239	COMM	16536HUN9	CHES DISC NOTE	Purchase	07/21/2021	CHESHAM FINANCE	99,999,805.56			**-99,999,805.56**
41240	COMM	47789QAC4	JDOT 0.52% MAT	Purchase	07/21/2021	JOHN DEERE	7,999,286.40			**-7,999,286.40**
41241	COMM	5148X0UN3	LANDES DISC NOTE	Purchase	07/21/2021	LANDES	124,999,687.50			**-124,999,687.50**
40701	COMM	7426M2UM1	PEFCO ZERO CPN	Redemption	07/21/2021	PRIVATE EXPORT		25,000,000.00		**25,000,000.00**
40715	COMM	89233GUM6	TOYO ZERO CPN	Redemption	07/21/2021	TOYOTA MOTOR		50,000,000.00		**50,000,000.00**
41238	COMM	5148X0UM5	LANDES DISC NOTE	Redemption	07/21/2021	LANDES		100,000,000.00		**100,000,000.00**
40267	COMM	3133ELHR8	FFCB 1.6% MAT	Interest	07/21/2021	FFCB NOTES			200,000.00	**200,000.00**
40268	COMM	90331HPL1	USB 2.05% MAT	Interest	07/21/2021	U S BANK			205,000.00	**205,000.00**
40862	COMM	3133EMLK6	FFCB FLOAT MAT	Interest	07/21/2021	FFCB NOTES			6,408.33	**6,408.33**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Interest	07/21/2021	HONDA AUTO			24,816.45	**24,816.45**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Redemption	07/21/2021	HONDA AUTO		1,093,102.92		**1,093,102.92**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Interest	07/21/2021	HONDA AUTO			22,137.51	**22,137.51**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Redemption	07/21/2021	HONDA AUTO		520,661.40		**520,661.40**
40965	COMM	43813GAC5	HAROT 0.27% MAT	Interest	07/21/2021	HONDA AUTO			2,643.75	**2,643.75**
40965	COMM	43813GAC5	HAROT 0.27% MAT	Redemption	07/21/2021	HONDA AUTO		263,763.16		**263,763.16**
		Totals for 07/21/2021					**232,998,779.46**	**176,877,527.48**	**461,006.04**	**-55,660,245.94**
41243	COMM	16536HUP4	CHES DISC NOTE	Purchase	07/22/2021	CHESHAM FINANCE	99,999,805.56			**-99,999,805.56**
41244	COMM	22536LUP3	CICNY DISC NOTE	Purchase	07/22/2021	Credit Indust et Com	49,999,902.78			**-49,999,902.78**
41242	COMM	14041NFW6	COMET 0.55% MAT	Purchase	07/22/2021	Capital One Multi Ex	23,499,048.25			**-23,499,048.25**
41245	COMM	5148X0UP8	LANDES DISC NOTE	Purchase	07/22/2021	LANDES	124,999,687.50			**-124,999,687.50**
41239	COMM	16536HUN9	CHES DISC NOTE	Redemption	07/22/2021	CHESHAM FINANCE		100,000,000.00		**100,000,000.00**
41241	COMM	5148X0UN3	LANDES DISC NOTE	Redemption	07/22/2021	LANDES		125,000,000.00		**125,000,000.00**
40534	COMM	3136G4A45	FEDERAL NATL MTG	Interest	07/22/2021	FNMA NOTES			71,000.00	**71,000.00**
		Totals for 07/22/2021					**298,498,444.09**	**225,000,000.00**	**71,000.00**	**-73,427,444.09**
41246	COMM	16536HUS8	CHES DISC NOTE	Purchase	07/23/2021	CHESHAM FINANCE	99,999,416.66			**-99,999,416.66**
41247	COMM	22536LUS7	CICNY DISC NOTE	Purchase	07/23/2021	Credit Indust et Com	49,999,708.33			**-49,999,708.33**
41248	COMM	5148X0US2	LANDES DISC NOTE	Purchase	07/23/2021	LANDES	124,999,270.83			**-124,999,270.83**
40161	COMM	459058GH0	IBRD 2.75% MAT	Redemption	07/23/2021	INTL BANK RECON &		40,000,000.00		**40,000,000.00**
40622	COMM	13606CH64	CIBCNY 0.24% MAT	Redemption	07/23/2021	Canadian IMP BK		50,000,000.00		**50,000,000.00**
40690	COMM	46640PUP6	JPMSCC ZERO CPN	Redemption	07/23/2021	JP Morgan Securities		50,000,000.00		**50,000,000.00**
41051	COMM	15963TUP2	CHARF DISC NOTE	Redemption	07/23/2021	CHARIOT FDG LLC		50,000,000.00		**50,000,000.00**

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41243	COMM	16536HUP4	CHES DISC NOTE	Redemption	07/23/2021	CHESHAM FINANCE		100,000,000.00		**100,000,000.00**
41244	COMM	22536LUP3	CICNY DISC NOTE	Redemption	07/23/2021	Credit Indust et Com		50,000,000.00		**50,000,000.00**
41245	COMM	5148X0UP8	LANDES DISC NOTE	Redemption	07/23/2021	LANDES		125,000,000.00		**125,000,000.00**
40161	COMM	459058GH0	IBRD 2.75% MAT	Interest	07/23/2021	INTL BANK RECON &			550,000.00	**550,000.00**
40622	COMM	13606CH64	CIBCNY 0.24% MAT	Interest	07/23/2021	Canadian IMP BK			99,000.00	**99,000.00**
40671	COMM	31422B2B5	FAMCA FRN MAT	Interest	07/23/2021	FARMER MAC			12,862.50	**12,862.50**
		Totals for 07/23/2021					**274,998,395.82**	**465,000,000.00**	**661,862.50**	**190,663,466.68**
41249	COMM	16536HUT6	CHES DISC NOTE	Purchase	07/26/2021	CHESHAM FINANCE	99,999,805.56			**-99,999,805.56**
41250	COMM	22536LUT5	CICNY DISC NOTE	Purchase	07/26/2021	Credit Indust et Com	49,999,902.78			**-49,999,902.78**
41251	COMM	5148X0UT0	LANDES DISC NOTE	Purchase	07/26/2021	LANDES	124,999,687.50			**-124,999,687.50**
41252	COMM	63873JUT5	NATXNY DISC NOTE	Purchase	07/26/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
40787	COMM	89114NWB7	TORONTO	Redemption	07/26/2021	TORONTO		50,000,000.00		**50,000,000.00**
41246	COMM	16536HUS8	CHES DISC NOTE	Redemption	07/26/2021	CHESHAM FINANCE		100,000,000.00		**100,000,000.00**
41247	COMM	22536LUS7	CICNY DISC NOTE	Redemption	07/26/2021	Credit Indust et Com		50,000,000.00		**50,000,000.00**
41248	COMM	5148X0US2	LANDES DISC NOTE	Redemption	07/26/2021	LANDES		125,000,000.00		**125,000,000.00**
40281	COMM	3133ELJN5	FEDERAL FARM CR	Interest	07/26/2021	FFCB NOTES			164,000.00	**164,000.00**
40535	COMM	3133EJVC0	FEDERAL FARM CR	Interest	07/26/2021	FFCB NOTES			221,250.00	**221,250.00**
40559	COMM	3133EL4V3	FFCB 0.165% MAT	Interest	07/26/2021	FFCB NOTES			21,093.75	**21,093.75**
40787	COMM	89114NWB7	TORONTO	Interest	07/26/2021	TORONTO			69,416.67	**69,416.67**
40873	COMM	3135GAAJ0	FEDERAL NATL MTG	Interest	07/26/2021	FNMA NOTES			83,200.00	**83,200.00**
40874	COMM	3135GAAJ0	FEDERAL NATL MTG	Interest	07/26/2021	FNMA NOTES			41,600.00	**41,600.00**
38387	COMM	3137AYCE9		Interest	07/26/2021	FHLMC Multi-Family			44,700.00	**44,700.00**
38387	COMM	3137AYCE9		Redemption	07/26/2021	FHLMC Multi-Family		1,262,325.36		**1,262,325.36**
38391	COMM	3137AYCE9		Interest	07/26/2021	FHLMC Multi-Family			16,762.50	**16,762.50**
38391	COMM	3137AYCE9		Redemption	07/26/2021	FHLMC Multi-Family		473,134.23		**473,134.23**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	07/26/2021	FHLMC Multi-Family			10,727.55	**10,727.55**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	07/26/2021	FHLMC Multi-Family		385,399.46		**385,399.46**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	07/26/2021	FHLMC Multi-Family			55,270.00	**55,270.00**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	07/26/2021	FHLMC Multi-Family		1,042,081.77		**1,042,081.77**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	07/26/2021	FNMA Multi-Family			257.18	**257.18**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	07/26/2021	FNMA Multi-Family		49,788.04		**49,788.04**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	07/26/2021	FNMA Multi-Family			257.18	**257.18**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	07/26/2021	FNMA Multi-Family		49,788.04		**49,788.04**
38666	COMM	3137AYCE9		Interest	07/26/2021	FHLMC Multi-Family			26,250.08	**26,250.08**
38666	COMM	3137AYCE9		Redemption	07/26/2021	FHLMC Multi-Family		727,481.86		**727,481.86**
38744	COMM	3137AYCE9		Interest	07/26/2021	FHLMC Multi-Family			44,700.00	**44,700.00**
38744	COMM	3137AYCE9		Redemption	07/26/2021	FHLMC Multi-Family		1,239,234.47		**1,239,234.47**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	07/26/2021	FHLMC Multi-Family			12,176.25	**12,176.25**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	07/26/2021	FHLMC Multi-Family		201,226.71		**201,226.71**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Interest	07/26/2021	FHLMC Multi-Family			29,425.00	**29,425.00**

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38864	COMM	3137B5JM6	FHLMCM 3.531%	Redemption	07/26/2021	FHLMC Multi-Family		425,891.44		**425,891.44**
38945	COMM	3137B3NA2	FHLMC REMIC	Interest	07/26/2021	FHLMC Multi-Family			28,723.99	**28,723.99**
38945	COMM	3137B3NA2	FHLMC REMIC	Redemption	07/26/2021	FHLMC Multi-Family		499,776.02		**499,776.02**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Interest	07/26/2021	FHLMC Multi-Family			28,816.67	**28,816.67**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Redemption	07/26/2021	FHLMC Multi-Family		410,023.05		**410,023.05**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Interest	07/26/2021	FNMA Multi-Family			64,716.10	**64,716.10**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Redemption	07/26/2021	FNMA Multi-Family		69,837.66		**69,837.66**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Interest	07/26/2021	FHLMC Multi-Family			58,838.40	**58,838.40**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Redemption	07/26/2021	FHLMC Multi-Family		1,441,146.20		**1,441,146.20**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Interest	07/26/2021	FNMA Multi-Family			51,458.33	**51,458.33**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Redemption	07/26/2021	FNMA Multi-Family		892,217.66		**892,217.66**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Interest	07/26/2021	FNMA Multi-Family			148,289.93	**148,289.93**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Redemption	07/26/2021	FNMA Multi-Family		4,471,361.12		**4,471,361.12**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Interest	07/26/2021	FNMA Multi-Family			29,805.52	**29,805.52**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Redemption	07/26/2021	FNMA Multi-Family		33,737.11		**33,737.11**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Interest	07/26/2021	FNMA Multi-Family			8,958.33	**8,958.33**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Redemption	07/26/2021	FNMA Multi-Family		181,066.18		**181,066.18**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Interest	07/26/2021	FNMA Multi-Family			12,580.44	**12,580.44**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Redemption	07/26/2021	FNMA Multi-Family		14,287.13		**14,287.13**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Interest	07/26/2021	FHLMC Multi-Family			35,018.98	**35,018.98**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Redemption	07/26/2021	FHLMC Multi-Family		279,344.96		**279,344.96**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Interest	07/26/2021	FNMA Multi-Family			10,791.67	**10,791.67**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Redemption	07/26/2021	FNMA Multi-Family		358,408.71		**358,408.71**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Interest	07/26/2021	FNMA Multi-Family			11,362.38	**11,362.38**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Redemption	07/26/2021	FNMA Multi-Family		12,951.06		**12,951.06**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Interest	07/26/2021	FNMA Multi-Family			15,009.57	**15,009.57**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Redemption	07/26/2021	FNMA Multi-Family		22,454.74		**22,454.74**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	07/26/2021	BMW VEHICLE			20,897.24	**20,897.24**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	07/26/2021	BMW VEHICLE		1,184,406.94		**1,184,406.94**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Interest	07/26/2021	FNMA Multi-Family			12,928.47	**12,928.47**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Redemption	07/26/2021	FNMA Multi-Family		83,157.93		**83,157.93**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Interest	07/26/2021	FNMA Multi-Family			12,749.02	**12,749.02**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Redemption	07/26/2021	FNMA Multi-Family		14,448.30		**14,448.30**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Interest	07/26/2021	FHLMC Multi-Family			24,509.50	**24,509.50**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Redemption	07/26/2021	FHLMC Multi-Family		16,707.06		**16,707.06**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Interest	07/26/2021	FHLMC Multi-Family			24,807.08	**24,807.08**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Redemption	07/26/2021	FHLMC Multi-Family		301,805.03		**301,805.03**
40511	COMM	3136AXVB8	FNMAM 2.646% MAT	Interest	07/26/2021	FNMA Multi-Family			74,817.51	**74,817.51**
40511	COMM	3136AXVB8	FNMAM 2.646% MAT	Redemption	07/26/2021	FNMA Multi-Family		806,870.70		**806,870.70**
40517	COMM	3138L0RM5A	FNMAM 2.135% MAT	Interest	07/26/2021	FNMA Multi-Family			1,544.25	**1,544.25**

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40517	COMM	3138L0RM5A	FNMAM 2.135% MAT	Redemption	07/26/2021	FNMA Multi-Family		2,310.25		**2,310.25**
40526	COMM	3137BSP64	FHLMCM 2.34% MAT	Interest	07/26/2021	FHLMC Multi-Family			31,542.20	**31,542.20**
40526	COMM	3137BSP64	FHLMCM 2.34% MAT	Redemption	07/26/2021	FHLMC Multi-Family		115,234.40		**115,234.40**
40527	COMM	3132XHM68	FHLMCM 1.1% MAT	Interest	07/26/2021	FHLMC Multi-Family			17,974.49	**17,974.49**
40527	COMM	3132XHM68	FHLMCM 1.1% MAT	Redemption	07/26/2021	FHLMC Multi-Family		33,976.00		**33,976.00**
40530	COMM	09661RAD3	BMWOT 0.48% MAT	Interest	07/26/2021	BMW VEHICLE			3,400.00	**3,400.00**
40530	COMM	09661RAD3	BMWOT 0.48% MAT	Redemption	07/26/2021	BMW VEHICLE		227,593.36		**227,593.36**
40539	COMM	3137BKGH7	FHLMCM 2.712%	Interest	07/26/2021	FHLMC Multi-Family			10,477.33	**10,477.33**
40539	COMM	3137BKGH7	FHLMCM 2.712%	Redemption	07/26/2021	FHLMC Multi-Family		91,279.47		**91,279.47**
40540	COMM	3137FUZN7	FHLMCM 0.526%	Interest	07/26/2021	FHLMC Multi-Family			1,703.34	**1,703.34**
40540	COMM	3137FUZN7	FHLMCM 0.526%	Redemption	07/26/2021	FHLMC Multi-Family		11,718.32		**11,718.32**
40541	COMM	3138L7CU8	FNMAM 2.95% MAT	Interest	07/26/2021	FNMA Multi-Family			48,075.17	**48,075.17**
40541	COMM	3138L7CU8	FNMAM 2.95% MAT	Redemption	07/26/2021	FNMA Multi-Family		39,878.00		**39,878.00**
40630	COMM	3138LJWY2	FHLMCM 2.8% MAT	Interest	07/26/2021	FHLMC Multi-Family			30,775.96	**30,775.96**
40630	COMM	3138LJWY2	FHLMCM 2.8% MAT	Redemption	07/26/2021	FHLMC Multi-Family		23,428.58		**23,428.58**
40638	COMM	3137BYLE8	FHLMCM 2.7% MAT	Interest	07/26/2021	FHLMC Multi-Family			14,962.50	**14,962.50**
40638	COMM	3137BYLE8	FHLMCM 2.7% MAT	Redemption	07/26/2021	FHLMC Multi-Family		165,161.63		**165,161.63**
40743	COMM	3136AY6S7	FNMAM FRN MAT	Interest	07/26/2021	FNMA Multi-Family			53,485.15	**53,485.15**
40743	COMM	3136AY6S7	FNMAM FRN MAT	Redemption	07/26/2021	FNMA Multi-Family		13,962.50		**13,962.50**
40784	COMM	3137F72W4	FHLMCM 0.39025%	Interest	07/26/2021	FHLMC Multi-Family			3,603.04	**3,603.04**
40784	COMM	3137F72W4	FHLMCM 0.39025%	Redemption	07/26/2021	FHLMC Multi-Family		674,572.77		**674,572.77**
40812	COMM	3132XFFH6	FHLMCM 0.77% MAT	Interest	07/26/2021	FHLMC Multi-Family			28,875.00	**28,875.00**
40812	COMM	3132XFFH6	FHLMCM 0.77% MAT	Redemption	07/26/2021	FHLMC Multi-Family		909,777.76		**909,777.76**
40905	COMM	3138LEC33	FNMAM 2.38% MAT	Interest	07/26/2021	FNMA Multi-Family			19,833.33	**19,833.33**
40905	COMM	3138LEC33	FNMAM 2.38% MAT	Redemption	07/26/2021	FNMA Multi-Family		222,330.39		**222,330.39**
40976	COMM	3137FATE8	FHLMCM 2.982%	Interest	07/26/2021	FHLMC Multi-Family			74,550.00	**74,550.00**
40976	COMM	3137FATE8	FHLMCM 2.982%	Redemption	07/26/2021	FHLMC Multi-Family		955,132.59		**955,132.59**
40983	COMM	3137FF3W5	FHLMCM 0.602%	Interest	07/26/2021	FHLMC Multi-Family			2,122.54	**2,122.54**
40983	COMM	3137FF3W5	FHLMCM 0.602%	Redemption	07/26/2021	FHLMC Multi-Family		6,680.28		**6,680.28**
40990	COMM	05591RAC8	BMWLT 0.29% MAT	Interest	07/26/2021	BMW VEHICLE			2,924.17	**2,924.17**
40990	COMM	05591RAC8	BMWLT 0.29% MAT	Redemption	07/26/2021	BMW VEHICLE		396,733.07		**396,733.07**
41026	COMM	3137BVYN0	FHLMCM 3.035%	Interest	07/26/2021	FHLMC Multi-Family			51,280.33	**51,280.33**
41026	COMM	3137BVYN0	FHLMCM 3.035%	Redemption	07/26/2021	FHLMC Multi-Family		282,451.62		**282,451.62**
41131	COMM	3137BYLE8	FHLMCM 2.7% MAT	Interest	07/26/2021	FHLMC Multi-Family			11,250.00	**11,250.00**
41131	COMM	3137BYLE8	FHLMCM 2.7% MAT	Redemption	07/26/2021	FHLMC Multi-Family		123,472.44		**123,472.44**
41133	COMM	3137FYZG4	FHLMCM 0.861%	Interest	07/26/2021	FHLMC Multi-Family			5,021.95	**5,021.95**
41133	COMM	3137FYZG4	FHLMCM 0.861%	Redemption	07/26/2021	FHLMC Multi-Family		815.57		**815.57**
41164	COMM	3137FPJ55	FHLMCM 2.606%	Interest	07/26/2021	FHLMC Multi-Family			44,910.76	**44,910.76**
41164	COMM	3137FPJ55	FHLMCM 2.606%	Redemption	07/26/2021	FHLMC Multi-Family		356,333.24		**356,333.24**
41164	COMM	3137FPJ55	FHLMCM 2.606%	Interest	07/26/2021	FHLMC Multi-Family			-8,982.01	**-8,982.01**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41164	COMM	3137FPJ55	FHLMCM 2.606%	Redemption	07/26/2021	FHLMC Multi-Family				**0.00**
41192	COMM	3137FBAB2	FHLMCM 3.038%	Interest	07/26/2021	FHLMC Multi-Family			25,317.00	**25,317.00**
41192	COMM	3137FBAB2	FHLMCM 3.038%	Redemption	07/26/2021	FHLMC Multi-Family		186,459.32		**186,459.32**
41192	COMM	3137FBAB2	FHLMCM 3.038%	Interest	07/26/2021	FHLMC Multi-Family			-13,502.22	**-13,502.22**
41192	COMM	3137FBAB2	FHLMCM 3.038%	Redemption	07/26/2021	FHLMC Multi-Family				**0.00**
41200	COMM	3140HWXG2	FNMAM 2.47% MAT	Interest	07/26/2021	FNMA Multi-Family			10,024.41	**10,024.41**
41200	COMM	3140HWXG2	FNMAM 2.47% MAT	Redemption	07/26/2021	FNMA Multi-Family		7,684.10		**7,684.10**
41200	COMM	3140HWXG2	FNMAM 2.47% MAT	Interest	07/26/2021	FNMA Multi-Family			-7,017.09	**-7,017.09**
41200	COMM	3140HWXG2	FNMAM 2.47% MAT	Redemption	07/26/2021	FNMA Multi-Family				**0.00**
41222	COMM	3137H14A1	FHLMCM 0.854%	Interest	07/26/2021	FHLMC Multi-Family			13,770.76	**13,770.76**
41222	COMM	3137H14A1	FHLMCM 0.854%	Redemption	07/26/2021	FHLMC Multi-Family		238,326.15		**238,326.15**
41222	COMM	3137H14A1	FHLMCM 0.854%	Interest	07/26/2021	FHLMC Multi-Family			-13,311.73	**-13,311.73**
41222	COMM	3137H14A1	FHLMCM 0.854%	Redemption	07/26/2021	FHLMC Multi-Family				**0.00**
		Totals for 07/26/2021					**374,999,256.96**	**347,035,670.75**	**1,980,775.92**	**-25,982,810.29**
41253	COMM	16536HUU3	CHES DISC NOTE	Purchase	07/27/2021	CHESHAM FINANCE	99,999,805.56			**-99,999,805.56**
41254	COMM	22536LUU2	CICNY DISC NOTE	Purchase	07/27/2021	Credit Indust et Com	49,999,902.78			**-49,999,902.78**
41255	COMM	5148X0UU7	LANDES DISC NOTE	Purchase	07/27/2021	LANDES	124,999,687.50			**-124,999,687.50**
41256	COMM	63873JUU2	NATXNY DISC NOTE	Purchase	07/27/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
40484	COMM	3133ELXM1	FEDERAL FARM CR	Redemption	07/27/2021	FFCB NOTES		30,000,000.00		**30,000,000.00**
40703	COMM	21687AUT8	RABO ZERO CPN	Redemption	07/27/2021	RABOBANK		25,000,000.00		**25,000,000.00**
41100	COMM	3130ALXC3	FEDERAL HOME	Redemption	07/27/2021	FHLB NOTES		20,000,000.00		**20,000,000.00**
41101	COMM	3130ALXC3	FEDERAL HOME	Redemption	07/27/2021	FHLB NOTES		12,280,000.00		**12,280,000.00**
41249	COMM	16536HUT6	CHES DISC NOTE	Redemption	07/27/2021	CHESHAM FINANCE		100,000,000.00		**100,000,000.00**
41250	COMM	22536LUT5	CICNY DISC NOTE	Redemption	07/27/2021	Credit Indust et Com		50,000,000.00		**50,000,000.00**
41251	COMM	5148X0UT0	LANDES DISC NOTE	Redemption	07/27/2021	LANDES		125,000,000.00		**125,000,000.00**
41252	COMM	63873JUT5	NATXNY DISC NOTE	Redemption	07/27/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
37378	COMM	3133EG5D3	FEDERAL FARM CR	Interest	07/27/2021	FFCB NOTES			50,750.00	**50,750.00**
37669	COMM	3134GBGZ9	FEDERAL HOME LN	Interest	07/27/2021	FHLMC NOTES			150,000.00	**150,000.00**
40484	COMM	3133ELXM1	FEDERAL FARM CR	Interest	07/27/2021	FFCB NOTES			37,500.00	**37,500.00**
41100	COMM	3130ALXC3	FEDERAL HOME	Interest	07/27/2021	FHLB NOTES			60,000.00	**60,000.00**
41101	COMM	3130ALXC3	FEDERAL HOME	Interest	07/27/2021	FHLB NOTES			36,840.00	**36,840.00**
		Totals for 07/27/2021					**374,999,256.96**	**462,280,000.00**	**335,090.00**	**87,615,833.04**
41257	COMM	05966DC67	BANS 0.19% MAT	Purchase	07/28/2021	Banco Santander NY	50,000,000.00			**-50,000,000.00**
41258	COMM	16536HUV1	CHES DISC NOTE	Purchase	07/28/2021	CHESHAM FINANCE	99,999,805.56			**-99,999,805.56**
41259	COMM	22536LUV0	CICNY DISC NOTE	Purchase	07/28/2021	Credit Indust et Com	49,999,902.78			**-49,999,902.78**
41260	COMM	3130ANA42	FEDERAL HOME	Purchase	07/28/2021	FHLB NOTES	11,994,000.00			**-11,994,000.00**
41262	COMM	5148X0UV5	LANDES DISC NOTE	Purchase	07/28/2021	LANDES	124,999,687.50			**-124,999,687.50**
41263	COMM	63873JUV0	NATXNY DISC NOTE	Purchase	07/28/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41264	COMM	89114WDX0	TORONTO	Purchase	07/28/2021	TORONTO	50,000,000.00			**-50,000,000.00**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41108	COMM	3130ALXN9	FEDERAL HOME	Redemption	07/28/2021	FHLB NOTES		21,550,000.00		**21,550,000.00**
41109	COMM	3130ALXS8	FEDERAL HOME	Redemption	07/28/2021	FHLB NOTES		24,050,000.00		**24,050,000.00**
41253	COMM	16536HUU3	CHES DISC NOTE	Redemption	07/28/2021	CHESHAM FINANCE		100,000,000.00		**100,000,000.00**
41254	COMM	22536LUU2	CICNY DISC NOTE	Redemption	07/28/2021	Credit Indust et Com		50,000,000.00		**50,000,000.00**
41255	COMM	5148X0UU7	LANDES DISC NOTE	Redemption	07/28/2021	LANDES		125,000,000.00		**125,000,000.00**
41256	COMM	63873JUU2	NATXNY DISC NOTE	Redemption	07/28/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40685	COMM	3136G46K4	FNMA 0.5% MAT	Interest	07/28/2021	FNMA NOTES			87,500.00	**87,500.00**
40686	COMM	3136G46K4	FNMA 0.5% MAT	Interest	07/28/2021	FNMA NOTES			35,000.00	**35,000.00**
40687	COMM	3136G46K4	FNMA 0.5% MAT	Interest	07/28/2021	FNMA NOTES			65,000.00	**65,000.00**
41108	COMM	3130ALXN9	FEDERAL HOME	Interest	07/28/2021	FHLB NOTES			56,568.75	**56,568.75**
41109	COMM	3130ALXS8	FEDERAL HOME	Interest	07/28/2021	FHLB NOTES			60,125.00	**60,125.00**
		Totals for 07/28/2021					**486,993,256.96**	**420,600,000.00**	**304,193.75**	**-66,089,063.21**
41265	COMM	13077DQD7	CALSTA 0.862% MAT	Purchase	07/29/2021	CALIF STATE UNIV	5,000,000.00			**-5,000,000.00**
41266	COMM	22536LUW8	CICNY DISC NOTE	Purchase	07/29/2021	Credit Indust et Com	49,999,902.78			**-49,999,902.78**
41267	COMM	5148X0UW3	LANDES DISC NOTE	Purchase	07/29/2021	LANDES	124,999,687.50			**-124,999,687.50**
41268	COMM	63873JUW8	NATIXIS N Y BRH	Purchase	07/29/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41258	COMM	16536HUV1	CHES DISC NOTE	Redemption	07/29/2021	CHESHAM FINANCE		100,000,000.00		**100,000,000.00**
41259	COMM	22536LUV0	CICNY DISC NOTE	Redemption	07/29/2021	Credit Indust et Com		50,000,000.00		**50,000,000.00**
41262	COMM	5148X0UV5	LANDES DISC NOTE	Redemption	07/29/2021	LANDES		125,000,000.00		**125,000,000.00**
41263	COMM	63873JUV0	NATXNY DISC NOTE	Redemption	07/29/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40696	COMM	3130AKEA0	FHLB FLOAT MAT	Interest	07/29/2021	FHLB NOTES			28,819.44	**28,819.44**
40697	COMM	3130AKEA0	FHLB FLOAT MAT	Interest	07/29/2021	FHLB NOTES			5,763.90	**5,763.90**
41112	COMM	3133EMXV9	FFCB FLOAT MAT	Interest	07/29/2021	FFCB NOTES			7,787.50	**7,787.50**
		Totals for 07/29/2021					**279,999,451.40**	**375,000,000.00**	**42,370.84**	**95,042,919.44**
41270	COMM	60710RZA6	MIZUHO BK LTD	Purchase	07/30/2021	MIZUHO BANK	50,000,000.00			**-50,000,000.00**
41269	COMM	21684XSN7	COOPERATIEVE	Purchase	07/30/2021	RABOBANK	50,000,000.00			**-50,000,000.00**
41271	COMM	78012UR31	ROYAL BK CDA NY	Purchase	07/30/2021	ROYAL BANK OF	50,000,000.00			**-50,000,000.00**
41272	COMM	83369X4S4	SOCIETE	Purchase	07/30/2021	SOCIETE	50,000,000.00			**-50,000,000.00**
40644	COMM	21684XJY3	RABO 0.23% MAT	Redemption	07/30/2021	RABOBANK		50,000,000.00		**50,000,000.00**
40647	COMM	21684XKB1	RABO 0.23% MAT	Redemption	07/30/2021	RABOBANK		50,000,000.00		**50,000,000.00**
40761	COMM	78012UZK4	ROYAL BK CDA NY	Redemption	07/30/2021	ROYAL BANK OF		50,000,000.00		**50,000,000.00**
40786	COMM	7426M2UW9	PEFCO ZERO CPN	Redemption	07/30/2021	PRIVATE EXPORT		35,000,000.00		**35,000,000.00**
40818	COMM	60710AV90	MIZBNK 0.25% MAT	Redemption	07/30/2021	MIZUHO BANK		70,000,000.00		**70,000,000.00**
40857	COMM	83369XJ41	SOCIETE	Redemption	07/30/2021	SOCIETE		50,000,000.00		**50,000,000.00**
40933	COMM	46640PUW1	JPMSCC DISC NOTE	Redemption	07/30/2021	JP Morgan Securities		50,000,000.00		**50,000,000.00**
40948	COMM	86565CYZ6	SUMI MITSUI TR BK	Redemption	07/30/2021	SUMITOMO MITSUI		30,000,000.00		**30,000,000.00**
41118	COMM	3130AM6A5	FEDERAL HOME	Redemption	07/30/2021	FHLB NOTES		10,000,000.00		**10,000,000.00**
41266	COMM	22536LUW8	CICNY DISC NOTE	Redemption	07/30/2021	Credit Indust et Com		50,000,000.00		**50,000,000.00**
41267	COMM	5148X0UW3	LANDES DISC NOTE	Redemption	07/30/2021	LANDES		125,000,000.00		**125,000,000.00**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41268	COMM	63873JUW8	NATIXIS N Y BRH	Redemption	07/30/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40644	COMM	21684XJY3	RABO 0.23% MAT	Interest	07/30/2021	RABOBANK			91,680.56	**91,680.56**
40647	COMM	21684XKB1	RABO 0.23% MAT	Interest	07/30/2021	RABOBANK			90,722.22	**90,722.22**
40761	COMM	78012UZK4	ROYAL BK CDA NY	Interest	07/30/2021	ROYAL BANK OF			84,333.34	**84,333.34**
40818	COMM	60710AV90	MIZBNK 0.25% MAT	Interest	07/30/2021	MIZUHO BANK			113,263.89	**113,263.89**
40857	COMM	83369XJ41	SOCIETE	Interest	07/30/2021	SOCIETE			75,000.00	**75,000.00**
40948	COMM	86565CYZ6	SUMI MITSUI TR BK	Interest	07/30/2021	SUMITOMO MITSUI			23,091.67	**23,091.67**
41118	COMM	3130AM6A5	FEDERAL HOME	Interest	07/30/2021	FHLB NOTES			25,000.00	**25,000.00**
		Totals for 07/30/2021					**200,000,000.00**	**670,000,000.00**	**503,091.68**	**470,503,091.68**
41273	COMM	16536HV32	CHES DISC NOTE	Purchase	08/02/2021	CHESHAM FINANCE	55,999,891.11			**-55,999,891.11**
41274	COMM	5148X0V36	LANDES DISC NOTE	Purchase	08/02/2021	LANDES	49,999,875.00			**-49,999,875.00**
39726	COMM	3134GTS20	FHLMC 2.07% MAT	Redemption	08/02/2021	FHLMC NOTES		20,000,000.00		**20,000,000.00**
39727	COMM	3134GTS20	FHLMC 2.07% MAT	Redemption	08/02/2021	FHLMC NOTES		5,000,000.00		**5,000,000.00**
40115	COMM	912828WY2	UNITED STATES	Redemption	08/02/2021	U.S. TREASURY		40,000,000.00		**40,000,000.00**
40728	COMM	13606CJM7	CANADIAN	Redemption	08/02/2021	CANADIAN IMP BK		50,000,000.00		**50,000,000.00**
40957	COMM	22535CVM0	CREDIT AGRICOLE	Redemption	08/02/2021	CREDIT AGRICOLE		50,000,000.00		**50,000,000.00**
39726	COMM	3134GTS20	FHLMC 2.07% MAT	Interest	08/02/2021	FHLMC NOTES			207,000.00	**207,000.00**
39727	COMM	3134GTS20	FHLMC 2.07% MAT	Interest	08/02/2021	FHLMC NOTES			51,750.00	**51,750.00**
40115	COMM	912828WY2	UNITED STATES	Interest	08/02/2021	U.S. TREASURY			450,000.00	**450,000.00**
40210	COMM	9128282P4	UNITED STATES	Interest	08/02/2021	U.S. TREASURY			375,000.00	**375,000.00**
40654	COMM	799408Z69	SRVSCD 0.367%	Interest	08/02/2021	San Ramon Valley			9,248.40	**9,248.40**
40728	COMM	13606CJM7	CANADIAN	Interest	08/02/2021	CANADIAN IMP BK			85,930.56	**85,930.56**
40736	COMM	54438CYH9	LOSHGR 0.444%	Interest	08/02/2021	Los Angeles CA			8,880.00	**8,880.00**
40957	COMM	22535CVM0	CREDIT AGRICOLE	Interest	08/02/2021	CREDIT AGRICOLE			31,305.56	**31,305.56**
41010	COMM	91282CBH3	UNITED STATES	Interest	08/02/2021	U.S. TREASURY			56,250.00	**56,250.00**
41010	COMM	91282CBH3	UNITED STATES	Accr Int	08/02/2021	U.S. TREASURY		15,849.45	-15,849.45	**0.00**
41024	COMM	91282CAB7	UNITED STATES	Interest	08/02/2021	U.S. TREASURY			43,750.00	**43,750.00**
41024	COMM	91282CAB7	UNITED STATES	Accr Int	08/02/2021	U.S. TREASURY		13,052.49	-13,052.49	**0.00**
41054	COMM	912828V80	UNITED STATES	Interest	08/02/2021	U.S. TREASURY			506,250.00	**506,250.00**
41054	COMM	912828V80	UNITED STATES	Accr Int	08/02/2021	U.S. TREASURY		198,584.25	-198,584.25	**0.00**
41190	COMM	912828H86	UNITED STATES	Interest	08/02/2021	U.S. TREASURY			150,000.00	**150,000.00**
41190	COMM	912828H86	UNITED STATES	Accr Int	08/02/2021	U.S. TREASURY		112,707.18	-112,707.18	**0.00**
		Totals for 08/02/2021					**105,999,766.11**	**165,340,193.37**	**1,635,171.15**	**60,975,598.41**
41275	COMM	5148X0V44	LANDES DISC NOTE	Purchase	08/03/2021	LANDES	99,999,750.00			**-99,999,750.00**
41276	COMM	63873JV49	NATXNY DISC NOTE	Purchase	08/03/2021	Natixis NY Branch	74,999,895.84			**-74,999,895.84**
41273	COMM	16536HV32	CHES DISC NOTE	Redemption	08/03/2021	CHESHAM FINANCE		56,000,000.00		**56,000,000.00**
41274	COMM	5148X0V36	LANDES DISC NOTE	Redemption	08/03/2021	LANDES		50,000,000.00		**50,000,000.00**
40546	COMM	3135G05P4	FEDERAL NATL MTG	Interest	08/03/2021	FNMA NOTES			37,500.00	**37,500.00**
		Totals for 08/03/2021					**174,999,645.84**	**106,000,000.00**	**37,500.00**	**-68,962,145.84**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41277	COMM	16536HV57	CHES DISC NOTE	Purchase	08/04/2021	CHESHAM FINANCE	38,999,924.17			**-38,999,924.17**
41278	COMM	3130ALEY6	FEDERAL HOME	Purchase	08/04/2021	FHLB NOTES	24,802,541.33			**-24,802,541.33**
41279	COMM	5148X0V51	LANDES DISC NOTE	Purchase	08/04/2021	LANDES	124,999,687.50			**-124,999,687.50**
41280	COMM	63873JV56	NATXNY DISC NOTE	Purchase	08/04/2021	Natixis NY Branch	49,999,916.67			**-49,999,916.67**
41275	COMM	5148X0V44	LANDES DISC NOTE	Redemption	08/04/2021	LANDES		100,000,000.00		**100,000,000.00**
41276	COMM	63873JV49	NATXNY DISC NOTE	Redemption	08/04/2021	Natixis NY Branch		75,000,000.00		**75,000,000.00**
40543	COMM	3136G4B77	FEDERAL NATL MTG	Interest	08/04/2021	FNMA NOTES			70,000.00	**70,000.00**
40721	COMM	4581X0DR6	IADB FRN MAT	Interest	08/04/2021	IADB			31,950.00	**31,950.00**
		Totals for 08/04/2021					**238,802,069.67**	**175,000,000.00**	**101,950.00**	**-63,700,119.67**
41282	COMM	22533TV60	CACPNY DISC NOTE	Purchase	08/05/2021	Credit Agricole Corp	49,999,930.56			**-49,999,930.56**
41281	COMM	13606CVB7	CANADIAN	Purchase	08/05/2021	CANADIAN IMP BK	50,000,000.00			**-50,000,000.00**
41283	COMM	5148X0V69	LANDES DISC NOTE	Purchase	08/05/2021	LANDES	124,999,687.50			**-124,999,687.50**
41284	COMM	74274TAG5	PRIVATE EXPT FDG	Purchase	08/05/2021	PRIVATE EXPORT	20,049,727.78			**-20,049,727.78**
41277	COMM	16536HV57	CHES DISC NOTE	Redemption	08/05/2021	CHESHAM FINANCE		39,000,000.00		**39,000,000.00**
41279	COMM	5148X0V51	LANDES DISC NOTE	Redemption	08/05/2021	LANDES		125,000,000.00		**125,000,000.00**
41280	COMM	63873JV56	NATXNY DISC NOTE	Redemption	08/05/2021	Natixis NY Branch		50,000,000.00		**50,000,000.00**
		Totals for 08/05/2021					**245,049,345.84**	**214,000,000.00**		**-31,049,345.84**
41285	COMM	16536HV99	CHES DISC NOTE	Purchase	08/06/2021	CHESHAM FINANCE	74,999,562.50			**-74,999,562.50**
41286	COMM	63873JV98	NATXNY DISC NOTE	Purchase	08/06/2021	Natixis NY Branch	99,999,500.00			**-99,999,500.00**
40544	COMM	06367BQC6	BANK MONTREAL	Redemption	08/06/2021	BANK OF		50,000,000.00		**50,000,000.00**
41038	COMM	19121AV65	KOPP DISC NOTE	Redemption	08/06/2021	Coca-Cola Co		25,000,000.00		**25,000,000.00**
41282	COMM	22533TV60	CACPNY DISC NOTE	Redemption	08/06/2021	Credit Agricole Corp		50,000,000.00		**50,000,000.00**
41283	COMM	5148X0V69	LANDES DISC NOTE	Redemption	08/06/2021	LANDES		125,000,000.00		**125,000,000.00**
39355	COMM	594918BW3	MICROSOFT CORP,	Interest	08/06/2021	MICROSOFT CORP			240,000.00	**240,000.00**
39361	COMM	594918BW3	MICROSOFT CORP,	Interest	08/06/2021	MICROSOFT CORP			240,000.00	**240,000.00**
39489	COMM	594918BX1	MICROSOFT CORP,	Interest	08/06/2021	MICROSOFT CORP			93,437.50	**93,437.50**
40544	COMM	06367BQC6	BANK MONTREAL	Interest	08/06/2021	BANK OF			28,798.55	**28,798.55**
40729	COMM	3133EMGG1	FFCB FRN MAT	Interest	08/06/2021	FFCB NOTES			17,850.00	**17,850.00**
		Totals for 08/06/2021					**174,999,062.50**	**250,000,000.00**	**620,086.05**	**75,621,023.55**
41287	COMM	16536HVA6	CHES DISC NOTE	Purchase	08/09/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41288	COMM	5148X0VA0	LANDES DISC NOTE	Purchase	08/09/2021	LANDES	124,999,687.50			**-124,999,687.50**
41285	COMM	16536HV99	CHES DISC NOTE	Redemption	08/09/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41286	COMM	63873JV98	NATXNY DISC NOTE	Redemption	08/09/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40005	COMM	594918BQ6	MICROSOFT CORP,	Interest	08/09/2021	MICROSOFT CORP			108,150.00	**108,150.00**
40926	COMM	037833EB2	AAPL 0.7% MAT	Interest	08/09/2021	APPLE INC			70,000.00	**70,000.00**
40934	COMM	3133EMPZ9	FFCB Floater MAT	Interest	08/09/2021	FFCB NOTES			1,343.33	**1,343.33**
		Totals for 08/09/2021					**199,999,541.67**	**175,000,000.00**	**179,493.33**	**-24,820,048.34**
41289	COMM	16536HVB4	CHES DISC NOTE	Purchase	08/10/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41290	COMM	5148X0VB8	LANDES DISC NOTE	Purchase	08/10/2021	LANDES	124,999,687.50			-124,999,687.50
41287	COMM	16536HVA6	CHES DISC NOTE	Redemption	08/10/2021	CHESHAM FINANCE		75,000,000.00		75,000,000.00
41288	COMM	5148X0VA0	LANDES DISC NOTE	Redemption	08/10/2021	LANDES		125,000,000.00		125,000,000.00
41153	COMM	459058JS3	IBRD 0.65% MAT	Interest	08/10/2021	INTL BANK RECON &			32,500.00	32,500.00
41153	COMM	459058JS3	IBRD 0.65% MAT	Accr Int	08/10/2021	INTL BANK RECON &		19,500.00	-19,500.00	0.00
41209	COMM	14687TAC1	CRVNA 0.49% MAT	Interest	08/10/2021	Carvana Auto			6,125.00	6,125.00
41209	COMM	14687TAC1	CRVNA 0.49% MAT	Redemption	08/10/2021	Carvana Auto		269,766.36		269,766.36
		Totals for 08/10/2021					**199,999,541.67**	**200,289,266.36**	**19,125.00**	**308,849.69**
41291	COMM	16536HVC2	CHES DISC NOTE	Purchase	08/11/2021	CHESHAM FINANCE	74,999,854.17			-74,999,854.17
41292	COMM	5148X0VC6	LANDES DISC NOTE	Purchase	08/11/2021	LANDES	124,999,687.50			-124,999,687.50
41289	COMM	16536HVB4	CHES DISC NOTE	Redemption	08/11/2021	CHESHAM FINANCE		75,000,000.00		75,000,000.00
41290	COMM	5148X0VB8	LANDES DISC NOTE	Redemption	08/11/2021	LANDES		125,000,000.00		125,000,000.00
39496	COMM	084670BJ6	BERKSHIRE	Interest	08/11/2021	BERKSHIRE			157,500.00	157,500.00
40547	COMM	31422BM64	FAMCA 0.2% MAT	Interest	08/11/2021	FARMER MAC			11,513.89	11,513.89
41097	COMM	166756AJ5	CVX 0.426% MAT	Interest	08/11/2021	CHEVRON CORP.			29,394.00	29,394.00
41097	COMM	166756AJ5	CVX 0.426% MAT	Accr Int	08/11/2021	CHEVRON CORP.		12,247.50	-12,247.50	0.00
		Totals for 08/11/2021					**199,999,541.67**	**200,012,247.50**	**186,160.39**	**198,866.22**
41293	COMM	16536HVD0	CHES DISC NOTE	Purchase	08/12/2021	CHESHAM FINANCE	74,999,854.17			-74,999,854.17
41294	COMM	5148X0VD4	LANDES DISC NOTE	Purchase	08/12/2021	LANDES	124,999,687.50			-124,999,687.50
36989	COMM	3137EAEC9	FEDERAL HOME LN	Redemption	08/12/2021	FHLMC NOTES		20,000,000.00		20,000,000.00
36992	COMM	3137EAEC9	FEDERAL HOME LN	Redemption	08/12/2021	FHLMC NOTES		10,000,000.00		10,000,000.00
41291	COMM	16536HVC2	CHES DISC NOTE	Redemption	08/12/2021	CHESHAM FINANCE		75,000,000.00		75,000,000.00
41292	COMM	5148X0VC6	LANDES DISC NOTE	Redemption	08/12/2021	LANDES		125,000,000.00		125,000,000.00
36989	COMM	3137EAEC9	FEDERAL HOME LN	Interest	08/12/2021	FHLMC NOTES			112,500.00	112,500.00
36992	COMM	3137EAEC9	FEDERAL HOME LN	Interest	08/12/2021	FHLMC NOTES			56,250.00	56,250.00
40331	COMM	3137EAEP0	FEDERAL HOME LN	Interest	08/12/2021	FHLMC NOTES			187,500.00	187,500.00
40548	COMM	166756AE6	CVX 0.687% MAT	Interest	08/12/2021	CHEVRON CORP.			51,525.00	51,525.00
		Totals for 08/12/2021					**199,999,541.67**	**230,000,000.00**	**407,775.00**	**30,408,233.33**
41295	COMM	16536HVG3	CHES DISC NOTE	Purchase	08/13/2021	CHESHAM FINANCE	74,999,562.50			-74,999,562.50
41296	COMM	5148X0VG7	LANDES DISC NOTE	Purchase	08/13/2021	LANDES	124,999,062.50			-124,999,062.50
40816	COMM	43851TVD5	H ZERO CPN MAT	Redemption	08/13/2021	HONEYWELL		60,000,000.00		60,000,000.00
40946	COMM	06367CBJ5	BANK MONTREAL	Redemption	08/13/2021	BANK OF		70,000,000.00		70,000,000.00
40947	COMM	86565CYX1	SUMI MITSUI TR BK	Redemption	08/13/2021	SUMITOMO MITSUI		25,000,000.00		25,000,000.00
41042	COMM	05586FFL6	BNP P 0.13% MAT	Redemption	08/13/2021	BNP PARIBAS NY		55,000,000.00		55,000,000.00
41044	COMM	19121AVD0	KOPP DISC NOTE	Redemption	08/13/2021	Coca-Cola Co		25,000,000.00		25,000,000.00
41293	COMM	16536HVD0	CHES DISC NOTE	Redemption	08/13/2021	CHESHAM FINANCE		75,000,000.00		75,000,000.00
41294	COMM	5148X0VD4	LANDES DISC NOTE	Redemption	08/13/2021	LANDES		125,000,000.00		125,000,000.00
40326	COMM	89236TGT6	TOYOTA MOTOR	Interest	08/13/2021	TOYOTA MOTOR			270,000.00	270,000.00
40946	COMM	06367CBJ5	BANK MONTREAL	Interest	08/13/2021	BANK OF			58,508.33	58,508.33

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40947	COMM	86565CYX1	SUMI MITSUI TR BK	Interest	08/13/2021	SUMITOMO MITSUI			22,125.00	**22,125.00**
41042	COMM	05586FFL6	BNP P 0.13% MAT	Interest	08/13/2021	BNP PARIBAS NY			25,422.22	**25,422.22**
		Totals for 08/13/2021					**199,998,625.00**	**435,000,000.00**	**376,055.55**	**235,377,430.55**
41297	COMM	16536HVH1	CHES DISC NOTE	Purchase	08/16/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41298	COMM	5148X0VH5	LANDES DISC NOTE	Purchase	08/16/2021	LANDES	124,999,687.50			**-124,999,687.50**
41299	COMM	63873JVH0	NATXNY DISC NOTE	Purchase	08/16/2021	Natixis NY Branch	49,999,916.67			**-49,999,916.67**
39751	COMM	3133EKZV1	FEDERAL FARM CR	Redemption	08/16/2021	FFCB NOTES		20,000,000.00		**20,000,000.00**
41295	COMM	16536HVG3	CHES DISC NOTE	Redemption	08/16/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41296	COMM	5148X0VG7	LANDES DISC NOTE	Redemption	08/16/2021	LANDES		125,000,000.00		**125,000,000.00**
39747	COMM	30231GBB7	EXXON MOBIL	Interest	08/16/2021	EXXON MOBIL			47,550.00	**47,550.00**
39751	COMM	3133EKZV1	FEDERAL FARM CR	Interest	08/16/2021	FFCB NOTES			155,000.00	**155,000.00**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	08/16/2021	TOYOTA AUTO REC			9,918.69	**9,918.69**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	08/16/2021	TOYOTA AUTO REC		522,887.02		**522,887.02**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	08/16/2021	HONDA AUTO			17,106.57	**17,106.57**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	08/16/2021	HONDA AUTO		989,902.83		**989,902.83**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	08/16/2021	Nissan Auto Receivab			27,499.36	**27,499.36**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	08/16/2021	Nissan Auto Receivab		1,145,596.26		**1,145,596.26**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	08/16/2021	Capital One Prime Au			10,970.03	**10,970.03**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	08/16/2021	Capital One Prime Au		533,824.28		**533,824.28**
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	08/16/2021	JOHN DEERE			10,716.01	**10,716.01**
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	08/16/2021	JOHN DEERE		475,195.97		**475,195.97**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	08/16/2021	HONDA AUTO			9,208.44	**9,208.44**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	08/16/2021	HONDA AUTO		529,999.71		**529,999.71**
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	08/16/2021	Capital One Multi Ex			50,166.66	**50,166.66**
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	08/16/2021	Capital One Multi Ex		1,054,967.40		**1,054,967.40**
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	08/16/2021	MERCEDES -BENZ			36,686.03	**36,686.03**
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	08/16/2021	MERCEDES -BENZ		1,930,680.58		**1,930,680.58**
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	08/16/2021	HYUNDAI AUTO			16,285.81	**16,285.81**
40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	08/16/2021	HYUNDAI AUTO		890,153.86		**890,153.86**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	08/16/2021	TOYOTA AUTO REC			31,200.00	**31,200.00**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	08/16/2021	TOYOTA AUTO REC		971,787.39		**971,787.39**
40062	COMM	58769QAC5	MBALT 2.% MAT	Interest	08/16/2021	MERCEDES-BENZ			11,829.57	**11,829.57**
40062	COMM	58769QAC5	MBALT 2.% MAT	Redemption	08/16/2021	MERCEDES-BENZ		823,743.28		**823,743.28**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Interest	08/16/2021	ALLY Auto			16,530.18	**16,530.18**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Redemption	08/16/2021	ALLY Auto		861,123.59		**861,123.59**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Interest	08/16/2021	Harley-Davidson Moto			14,749.20	**14,749.20**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Redemption	08/16/2021	Harley-Davidson Moto		762,870.08		**762,870.08**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Interest	08/16/2021	CHASE ISSUANCE			34,425.00	**34,425.00**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Redemption	08/16/2021	CHASE ISSUANCE		706,503.71		**706,503.71**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Interest	08/16/2021	Capital One Prime Au			33,333.33	**33,333.33**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40340	COMM	14043MAC5	COPAR 1.6% MAT	Redemption	08/16/2021	Capital One Prime Au		683,161.87		**683,161.87**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Interest	08/16/2021	MERCEDES-BENZ			43,073.64	**43,073.64**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	08/16/2021	MERCEDES-BENZ		2,723,636.14		**2,723,636.14**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Interest	08/16/2021	MERCEDES-BENZ			8,614.73	**8,614.73**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	08/16/2021	MERCEDES-BENZ		544,727.23		**544,727.23**
40411	COMM	254683CH6	DCENT 3.32% MAT	Interest	08/16/2021	Discover Card Execut			41,500.01	**41,500.01**
40411	COMM	254683CH6	DCENT 3.32% MAT	Redemption	08/16/2021	Discover Card Execut		528,373.23		**528,373.23**
40515	COMM	58769VAC4	MBART 0.55% MAT	Interest	08/16/2021	MERCEDES -BENZ			2,291.67	**2,291.67**
40515	COMM	58769VAC4	MBART 0.55% MAT	Redemption	08/16/2021	MERCEDES -BENZ		124,063.87		**124,063.87**
40533	COMM	47787NAC3	JDOT 0.51% MAT	Interest	08/16/2021	JOHN DEERE			1,912.50	**1,912.50**
40533	COMM	47787NAC3	JDOT 0.51% MAT	Redemption	08/16/2021	JOHN DEERE		120,426.91		**120,426.91**
40608	COMM	58769EAB4	MBALT 0.31% MAT	Interest	08/16/2021	MERCEDES-BENZ			1,028.84	**1,028.84**
40608	COMM	58769EAB4	MBALT 0.31% MAT	Redemption	08/16/2021	MERCEDES-BENZ		583,320.53		**583,320.53**
40930	COMM	89240BAC2	TOYOTA AUTO	Interest	08/16/2021	TOYOTA AUTO REC			3,358.34	**3,358.34**
40930	COMM	89240BAC2	TOYOTA AUTO	Redemption	08/16/2021	TOYOTA AUTO REC		350,500.32		**350,500.32**
40937	COMM	98164EAC9	WOART 0.3% MAT	Interest	08/16/2021	World Omni Auto Rec'			3,500.00	**3,500.00**
40937	COMM	98164EAC9	WOART 0.3% MAT	Redemption	08/16/2021	World Omni Auto Rec'		271,345.74		**271,345.74**
40950	COMM	41284NAB6	HDMOT 0.22% MAT	Interest	08/16/2021	Harley-Davidson Moto			793.45	**793.45**
40950	COMM	41284NAB6	HDMOT 0.22% MAT	Redemption	08/16/2021	Harley-Davidson Moto		500,601.30		**500,601.30**
40958	COMM	34532NAC9	FM 0.3% MAT	Interest	08/16/2021	FORD MOTOR			5,000.00	**5,000.00**
40958	COMM	34532NAC9	FM 0.3% MAT	Redemption	08/16/2021	FORD MOTOR		425,386.41		**425,386.41**
40991	COMM	47788UAC6	JDOT 0.36% MAT	Interest	08/16/2021	JOHN DEERE			2,325.00	**2,325.00**
40991	COMM	47788UAC6	JDOT 0.36% MAT	Redemption	08/16/2021	JOHN DEERE		160,192.18		**160,192.18**
41111	COMM	44933LAC7	HART 0.38% MAT	Interest	08/16/2021	HYUNDAI AUTO			3,008.34	**3,008.34**
41111	COMM	44933LAC7	HART 0.38% MAT	Redemption	08/16/2021	HYUNDAI AUTO		194,739.92		**194,739.92**
41182	COMM	89190GAC1	TAOT 0.26% MAT	Interest	08/16/2021	TOYOTA AUTO REC			6,500.01	**6,500.01**
41182	COMM	89190GAC1	TAOT 0.26% MAT	Redemption	08/16/2021	TOYOTA AUTO REC		572,624.01		**572,624.01**
41187	COMM	44891VAC5	HALST 0.33% MAT	Interest	08/16/2021	Hyundai Auto Lease S			6,187.50	**6,187.50**
41187	COMM	44891VAC5	HALST 0.33% MAT	Redemption	08/16/2021	Hyundai Auto Lease S		629,208.96		**629,208.96**
41206	COMM	65480BAC1	NAROT 0.33% MAT	Interest	08/16/2021	Nissan Auto Receivab			11,000.00	**11,000.00**
41206	COMM	65480BAC1	NAROT 0.33% MAT	Redemption	08/16/2021	Nissan Auto Receivab		792,018.75		**792,018.75**
41220	COMM	58769KAD6	MBALT 0.4% MAT	Interest	08/16/2021	MERCEDES-BENZ			4,916.66	**4,916.66**
41220	COMM	58769KAD6	MBALT 0.4% MAT	Redemption	08/16/2021	MERCEDES-BENZ		371,133.92		**371,133.92**
		Totals for 08/16/2021					**249,999,458.34**	**241,774,697.25**	**678,185.57**	**-7,546,575.52**
41300	COMM	16536HVJ7	CHES DISC NOTE	Purchase	08/17/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41301	COMM	5148X0VJ1	LANDES DISC NOTE	Purchase	08/17/2021	LANDES	124,999,687.50			**-124,999,687.50**
41302	COMM	63873JVJ6	NATXNY DISC NOTE	Purchase	08/17/2021	Natixis NY Branch	99,999,833.34			**-99,999,833.34**
41297	COMM	16536HVH1	CHES DISC NOTE	Redemption	08/17/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41298	COMM	5148X0VH5	LANDES DISC NOTE	Redemption	08/17/2021	LANDES		125,000,000.00		**125,000,000.00**
41299	COMM	63873JVH0	NATXNY DISC NOTE	Redemption	08/17/2021	Natixis NY Branch		50,000,000.00		**50,000,000.00**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40597	COMM	3130AJ7E3	FHLB 1.375% MAT	Interest	08/17/2021	FHLB NOTES			137,500.00	**137,500.00**
		Totals for 08/17/2021					**299,999,375.01**	**250,000,000.00**	**137,500.00**	**-49,861,875.01**
41304	COMM	16536HVK4	CHES DISC NOTE	Purchase	08/18/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41303	COMM	13606CVT8	CANADIAN	Purchase	08/18/2021	CANADIAN IMP BK	50,000,000.00			**-50,000,000.00**
41305	COMM	5148X0VK8	LANDES DISC NOTE	Purchase	08/18/2021	LANDES	124,999,687.50			**-124,999,687.50**
41306	COMM	63873JVK3	NATXNY DISC NOTE	Purchase	08/18/2021	Natixis NY Branch	99,999,833.34			**-99,999,833.34**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	08/18/2021	HONDA AUTO		0.01		**0.01**
41300	COMM	16536HVJ7	CHES DISC NOTE	Redemption	08/18/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41301	COMM	5148X0VJ1	LANDES DISC NOTE	Redemption	08/18/2021	LANDES		125,000,000.00		**125,000,000.00**
41302	COMM	63873JVJ6	NATXNY DISC NOTE	Redemption	08/18/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	08/18/2021	HONDA AUTO			402.67	**402.67**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	08/18/2021	HONDA AUTO		160,535.17		**160,535.17**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	08/18/2021	HONDA AUTO				**0.00**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	08/18/2021	HONDA AUTO				**0.00**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	08/18/2021	HONDA AUTO			15,545.39	**15,545.39**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	08/18/2021	HONDA AUTO		784,827.74		**784,827.74**
		Totals for 08/18/2021					**349,999,375.01**	**300,945,362.92**	**15,948.06**	**-49,038,064.03**
41307	COMM	16536HVL2	CHES DISC NOTE	Purchase	08/19/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41308	COMM	5148X0VL6	LANDES DISC NOTE	Purchase	08/19/2021	LANDES	124,999,687.50			**-124,999,687.50**
41309	COMM	63873JVL1	NATXNY DISC NOTE	Purchase	08/19/2021	Natixis NY Branch	99,999,833.34			**-99,999,833.34**
41304	COMM	16536HVK4	CHES DISC NOTE	Redemption	08/19/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41305	COMM	5148X0VK8	LANDES DISC NOTE	Redemption	08/19/2021	LANDES		125,000,000.00		**125,000,000.00**
41306	COMM	63873JVK3	NATXNY DISC NOTE	Redemption	08/19/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40550	COMM	3136G4H63	FEDERAL NATL MTG	Interest	08/19/2021	FNMA NOTES			31,625.00	**31,625.00**
40551	COMM	3136G4H63	FEDERAL NATL MTG	Interest	08/19/2021	FNMA NOTES			27,500.00	**27,500.00**
40552	COMM	3136G4H63	FEDERAL NATL MTG	Interest	08/19/2021	FNMA NOTES			41,250.00	**41,250.00**
		Totals for 08/19/2021					**299,999,375.01**	**300,000,000.00**	**100,375.00**	**100,999.99**
41310	COMM	16536HVP3	CHES DISC NOTE	Purchase	08/20/2021	CHESHAM FINANCE	74,999,562.50			**-74,999,562.50**
41311	COMM	5148X0VP7	LANDES DISC NOTE	Purchase	08/20/2021	LANDES	124,999,062.50			**-124,999,062.50**
41312	COMM	63873JVP2	NATXNY DISC NOTE	Purchase	08/20/2021	Natixis NY Branch	99,999,500.00			**-99,999,500.00**
40758	COMM	06417MLT0	BNSHOU FRN MAT	Redemption	08/20/2021	BANK OF NOVA		90,000,000.00		**90,000,000.00**
41307	COMM	16536HVL2	CHES DISC NOTE	Redemption	08/20/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41308	COMM	5148X0VL6	LANDES DISC NOTE	Redemption	08/20/2021	LANDES		125,000,000.00		**125,000,000.00**
41309	COMM	63873JVL1	NATXNY DISC NOTE	Redemption	08/20/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40553	COMM	037833DX5	AAPL 0.55% MAT	Interest	08/20/2021	APPLE INC			82,500.00	**82,500.00**
40758	COMM	06417MLT0	BNSHOU FRN MAT	Interest	08/20/2021	BANK OF NOVA			17,825.04	**17,825.04**
39612	COMM	92349GAA9	VERIZON OWNER	Interest	08/20/2021	VERIZON OWNER			60,561.90	**60,561.90**
39612	COMM	92349GAA9	VERIZON OWNER	Redemption	08/20/2021	VERIZON OWNER		3,723,370.32		**3,723,370.32**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Interest	08/20/2021	Volkswagen Auto			15,636.32	**15,636.32**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39913	COMM	92867XAD8	VWALT 1.99% MAT	Redemption	08/20/2021	Volkswagen Auto		1,237,676.58		**1,237,676.58**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Interest	08/20/2021	VERIZON OWNER			38,800.01	**38,800.01**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Redemption	08/20/2021	VERIZON OWNER		795,745.41		**795,745.41**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Interest	08/20/2021	GM Fin'cl Auto Leasi			6,487.70	**6,487.70**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Redemption	08/20/2021	GM Fin'cl Auto Leasi		469,281.05		**469,281.05**
40416	COMM	92868LAD3	VALET 3.02% MAT	Interest	08/20/2021	VOLKSWAGEN			9,229.68	**9,229.68**
40416	COMM	92868LAD3	VALET 3.02% MAT	Redemption	08/20/2021	VOLKSWAGEN		915,231.14		**915,231.14**
40512	COMM	36259PAD8	GMALT 0.8% MAT	Interest	08/20/2021	GM Fin'cl Auto Leasi			1,000.00	**1,000.00**
40512	COMM	36259PAD8	GMALT 0.8% MAT	Redemption	08/20/2021	GM Fin'cl Auto Leasi		65,412.82		**65,412.82**
40549	COMM	92290BAA9	VERIZON OWNER	Interest	08/20/2021	VERIZON OWNER			11,456.26	**11,456.26**
40549	COMM	92290BAA9	VERIZON OWNER	Redemption	08/20/2021	VERIZON OWNER		732,289.06		**732,289.06**
40623	COMM	362569AC9	GMALT 0.45% MAT	Interest	08/20/2021	GM Fin'cl Auto Leasi			3,187.50	**3,187.50**
40623	COMM	362569AC9	GMALT 0.45% MAT	Redemption	08/20/2021	GM Fin'cl Auto Leasi		340,500.65		**340,500.65**
40712	COMM	92348CAA9	VZOT 0.41% MAT	Interest	08/20/2021	VERIZON OWNER			7,004.17	**7,004.17**
40712	COMM	92348CAA9	VZOT 0.41% MAT	Redemption	08/20/2021	VERIZON OWNER		472,496.44		**472,496.44**
40801	COMM	92868VAC3	VWALT 0.39% MAT	Interest	08/20/2021	Volkswagen Auto			2,437.50	**2,437.50**
40801	COMM	92868VAC3	VWALT 0.39% MAT	Redemption	08/20/2021	Volkswagen Auto		251,690.39		**251,690.39**
40964	COMM	36261RAC2	GMALT 0.26% MAT	Interest	08/20/2021	GM Fin'cl Auto Leasi			1,787.51	**1,787.51**
40964	COMM	36261RAC2	GMALT 0.26% MAT	Redemption	08/20/2021	GM Fin'cl Auto Leasi		273,266.11		**273,266.11**
40979	COMM	40440YAA7	HPQ 0.1653% MAT	Interest	08/20/2021	HEWLETT-PACKARD			1,670.28	**1,670.28**
40979	COMM	40440YAA7	HPQ 0.1653% MAT	Redemption	08/20/2021	HEWLETT-PACKARD		4,212,724.71		**4,212,724.71**
41089	COMM	89238EAC0	TLOT 0.39% MAT	Interest	08/20/2021	Toyota Lease Owner			4,225.00	**4,225.00**
41089	COMM	89238EAC0	TLOT 0.39% MAT	Redemption	08/20/2021	Toyota Lease Owner		388,705.23		**388,705.23**
41207	COMM	80286DAC2	SRT 0.51% MAT	Interest	08/20/2021	Santander Retail Aut			6,566.25	**6,566.25**
41207	COMM	80286DAC2	SRT 0.51% MAT	Redemption	08/20/2021	Santander Retail Aut		419,349.89		**419,349.89**
		Totals for 08/20/2021					**299,998,125.00**	**404,297,739.80**	**270,375.12**	**104,569,989.92**
41313	COMM	16536HVQ1	CHES DISC NOTE	Purchase	08/23/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41314	COMM	5148X0VQ5	LANDES DISC NOTE	Purchase	08/23/2021	LANDES	124,999,687.50			**-124,999,687.50**
41315	COMM	87019V2V8	SWEDBANK	Purchase	08/23/2021	SWEDBANK (NY)	50,000,000.00			**-50,000,000.00**
41310	COMM	16536HVP3	CHES DISC NOTE	Redemption	08/23/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41311	COMM	5148X0VP7	LANDES DISC NOTE	Redemption	08/23/2021	LANDES		125,000,000.00		**125,000,000.00**
41312	COMM	63873JVP2	NATXNY DISC NOTE	Redemption	08/23/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40136	COMM	3133ELAE4	FEDERAL FARM CR	Interest	08/23/2021	FFCB NOTES			162,500.00	**162,500.00**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Interest	08/23/2021	HONDA AUTO			22,520.94	**22,520.94**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Redemption	08/23/2021	HONDA AUTO		1,025,885.62		**1,025,885.62**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Interest	08/23/2021	HONDA AUTO			22,137.51	**22,137.51**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Redemption	08/23/2021	HONDA AUTO		538,085.93		**538,085.93**
40965	COMM	43813GAC5	HAROT 0.27% MAT	Interest	08/23/2021	HONDA AUTO			2,643.75	**2,643.75**
40965	COMM	43813GAC5	HAROT 0.27% MAT	Redemption	08/23/2021	HONDA AUTO		271,369.73		**271,369.73**

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		Totals for 08/23/2021					**249,999,541.67**	**301,835,341.28**	**209,802.20**	**52,045,601.81**
41316	COMM	06367CK89	BANK MONTREAL	Purchase	08/24/2021	BANK OF	64,901,798.89			**-64,901,798.89**
41317	COMM	16536HVR9	CHES DISC NOTE	Purchase	08/24/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41319	COMM	3132XFD47	FHLMCM 2.1% MAT	Purchase	08/24/2021	FHLMC Multi-Family	15,723,250.00			**-15,723,250.00**
41318	COMM	5148X0VR3	LANDES DISC NOTE	Purchase	08/24/2021	LANDES	124,999,687.50			**-124,999,687.50**
41313	COMM	16536HVQ1	CHES DISC NOTE	Redemption	08/24/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41314	COMM	5148X0VQ5	LANDES DISC NOTE	Redemption	08/24/2021	LANDES		125,000,000.00		**125,000,000.00**
37817	COMM	3134GBPM8	FEDERAL HOME LN	Interest	08/24/2021	FHLMC NOTES			150,000.00	**150,000.00**
40554	COMM	3137EAEV7	FEDERAL HOME LN	Interest	08/24/2021	FHLMC NOTES			18,750.00	**18,750.00**
40620	COMM	3137EAEV7	FEDERAL HOME LN	Interest	08/24/2021	FHLMC NOTES			31,250.00	**31,250.00**
40815	COMM	3137EAEV7	FEDERAL HOME LN	Interest	08/24/2021	FHLMC NOTES			25,000.00	**25,000.00**
41057	COMM	3130ALCV4	FEDERAL HOME	Interest	08/24/2021	FHLB NOTES			56,250.00	**56,250.00**
41057	COMM	3130ALCV4	FEDERAL HOME	Accr Int	08/24/2021	FHLB NOTES		15,312.50	-15,312.50	**0.00**
		Totals for 08/24/2021					**280,624,590.56**	**200,015,312.50**	**265,937.50**	**-80,343,340.56**
41320	COMM	16536HVS7	CHES DISC NOTE	Purchase	08/25/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41321	COMM	43815EAC8	HAROT 0.41% MAT	Purchase	08/25/2021	HONDA AUTO	17,999,737.20			**-17,999,737.20**
41322	COMM	5148X0VS1	LANDES DISC NOTE	Purchase	08/25/2021	LANDES	124,999,687.50			**-124,999,687.50**
41317	COMM	16536HVR9	CHES DISC NOTE	Redemption	08/25/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41318	COMM	5148X0VR3	LANDES DISC NOTE	Redemption	08/25/2021	LANDES		125,000,000.00		**125,000,000.00**
40555	COMM	3135G05X7	FEDERAL NATL MTG	Interest	08/25/2021	FNMA NOTES			56,250.00	**56,250.00**
40968	COMM	3130ALDL5	FEDERAL HOME	Interest	08/25/2021	FHLB NOTES			109,375.00	**109,375.00**
40971	COMM	3135G05X7	FEDERAL NATL MTG	Interest	08/25/2021	FNMA NOTES			28,125.00	**28,125.00**
40971	COMM	3135G05X7	FEDERAL NATL MTG	Accr Int	08/25/2021	FNMA NOTES		156.25	-156.25	**0.00**
40998	COMM	3135G05X7	FEDERAL NATL MTG	Interest	08/25/2021	FNMA NOTES			28,125.00	**28,125.00**
40998	COMM	3135G05X7	FEDERAL NATL MTG	Accr Int	08/25/2021	FNMA NOTES		3,125.00	-3,125.00	**0.00**
41006	COMM	3135G05X7	FEDERAL NATL MTG	Interest	08/25/2021	FNMA NOTES			28,125.00	**28,125.00**
41006	COMM	3135G05X7	FEDERAL NATL MTG	Accr Int	08/25/2021	FNMA NOTES		3,750.00	-3,750.00	**0.00**
38387	COMM	3137AYCE9		Interest	08/25/2021	FHLMC Multi-Family			44,700.00	**44,700.00**
38387	COMM	3137AYCE9		Redemption	08/25/2021	FHLMC Multi-Family		1,336,124.87		**1,336,124.87**
38391	COMM	3137AYCE9		Interest	08/25/2021	FHLMC Multi-Family			16,762.50	**16,762.50**
38391	COMM	3137AYCE9		Redemption	08/25/2021	FHLMC Multi-Family		500,795.14		**500,795.14**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	08/25/2021	FHLMC Multi-Family			10,727.55	**10,727.55**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	08/25/2021	FHLMC Multi-Family		411,291.54		**411,291.54**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	08/25/2021	FHLMC Multi-Family			55,334.00	**55,334.00**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	08/25/2021	FHLMC Multi-Family		1,090,327.60		**1,090,327.60**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	08/25/2021	FNMA Multi-Family			109.47	**109.47**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	08/25/2021	FNMA Multi-Family		36,770.13		**36,770.13**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	08/25/2021	FNMA Multi-Family			109.47	**109.47**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	08/25/2021	FNMA Multi-Family		36,770.13		**36,770.13**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38666	COMM	3137AYCE9		Interest	08/25/2021	FHLMC Multi-Family			26,250.08	**26,250.08**
38666	COMM	3137AYCE9		Redemption	08/25/2021	FHLMC Multi-Family		770,012.73		**770,012.73**
38744	COMM	3137AYCE9		Interest	08/25/2021	FHLMC Multi-Family			44,700.00	**44,700.00**
38744	COMM	3137AYCE9		Redemption	08/25/2021	FHLMC Multi-Family		1,311,684.01		**1,311,684.01**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	08/25/2021	FHLMC Multi-Family			12,176.25	**12,176.25**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	08/25/2021	FHLMC Multi-Family		208,531.53		**208,531.53**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Interest	08/25/2021	FHLMC Multi-Family			29,425.00	**29,425.00**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Redemption	08/25/2021	FHLMC Multi-Family		441,420.53		**441,420.53**
38945	COMM	3137B3NA2	FHLMC REMIC	Interest	08/25/2021	FHLMC Multi-Family			28,723.64	**28,723.64**
38945	COMM	3137B3NA2	FHLMC REMIC	Redemption	08/25/2021	FHLMC Multi-Family		520,650.05		**520,650.05**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Interest	08/25/2021	FHLMC Multi-Family			28,817.00	**28,817.00**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Redemption	08/25/2021	FHLMC Multi-Family		424,339.11		**424,339.11**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Interest	08/25/2021	FNMA Multi-Family			66,707.92	**66,707.92**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Redemption	08/25/2021	FNMA Multi-Family		66,788.55		**66,788.55**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Interest	08/25/2021	FHLMC Multi-Family			58,838.40	**58,838.40**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Redemption	08/25/2021	FHLMC Multi-Family		1,511,258.58		**1,511,258.58**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Interest	08/25/2021	FNMA Multi-Family			53,173.61	**53,173.61**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Redemption	08/25/2021	FNMA Multi-Family		918,561.71		**918,561.71**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Interest	08/25/2021	FNMA Multi-Family			10,579.16	**10,579.16**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Redemption	08/25/2021	FNMA Multi-Family		11,574.77		**11,574.77**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Interest	08/25/2021	FNMA Multi-Family			30,741.80	**30,741.80**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Redemption	08/25/2021	FNMA Multi-Family		32,154.65		**32,154.65**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Interest	08/25/2021	FNMA Multi-Family			9,256.94	**9,256.94**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Redemption	08/25/2021	FNMA Multi-Family		186,393.67		**186,393.67**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Interest	08/25/2021	FNMA Multi-Family			12,971.37	**12,971.37**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Redemption	08/25/2021	FNMA Multi-Family		13,649.10		**13,649.10**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Interest	08/25/2021	FHLMC Multi-Family			34,449.60	**34,449.60**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Redemption	08/25/2021	FHLMC Multi-Family		262,480.32		**262,480.32**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Interest	08/25/2021	FNMA Multi-Family			11,151.39	**11,151.39**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Redemption	08/25/2021	FNMA Multi-Family		382,526.94		**382,526.94**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Interest	08/25/2021	FNMA Multi-Family			11,709.57	**11,709.57**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Redemption	08/25/2021	FNMA Multi-Family		12,532.74		**12,532.74**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Interest	08/25/2021	FNMA Multi-Family			15,468.60	**15,468.60**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Redemption	08/25/2021	FNMA Multi-Family		21,750.45		**21,750.45**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	08/25/2021	BMW VEHICLE			19,002.19	**19,002.19**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	08/25/2021	BMW VEHICLE		1,074,319.49		**1,074,319.49**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Interest	08/25/2021	FNMA Multi-Family			14,348.37	**14,348.37**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Redemption	08/25/2021	FNMA Multi-Family		583,481.88		**583,481.88**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Interest	08/25/2021	FNMA Multi-Family			13,144.75	**13,144.75**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Redemption	08/25/2021	FNMA Multi-Family		13,825.20		**13,825.20**

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40385	COMM	3137BUWM6	FHLMCM 2.932%	Interest	08/25/2021	FHLMC Multi-Family			24,468.35	**24,468.35**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Redemption	08/25/2021	FHLMC Multi-Family		15,367.90		**15,367.90**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Interest	08/25/2021	FHLMC Multi-Family			24,807.08	**24,807.08**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Redemption	08/25/2021	FHLMC Multi-Family		307,765.12		**307,765.12**
40511	COMM	3136AXVB8	FNMAM 2.646% MAT	Interest	08/25/2021	FNMA Multi-Family			34,796.22	**34,796.22**
40511	COMM	3136AXVB8	FNMAM 2.646% MAT	Redemption	08/25/2021	FNMA Multi-Family		11,227.86		**11,227.86**
40517	COMM	3138L0RM5A	FNMAM 2.135% MAT	Interest	08/25/2021	FNMA Multi-Family			1,591.48	**1,591.48**
40517	COMM	3138L0RM5A	FNMAM 2.135% MAT	Redemption	08/25/2021	FNMA Multi-Family		2,237.79		**2,237.79**
40526	COMM	3137BSP64	FHLMCM 2.34% MAT	Interest	08/25/2021	FHLMC Multi-Family			31,317.50	**31,317.50**
40526	COMM	3137BSP64	FHLMCM 2.34% MAT	Redemption	08/25/2021	FHLMC Multi-Family		137,854.60		**137,854.60**
40527	COMM	3132XHM68	FHLMCM 1.1% MAT	Interest	08/25/2021	FHLMC Multi-Family			18,541.45	**18,541.45**
40527	COMM	3132XHM68	FHLMCM 1.1% MAT	Redemption	08/25/2021	FHLMC Multi-Family		32,264.60		**32,264.60**
40530	COMM	09661RAD3	BMWOT 0.48% MAT	Interest	08/25/2021	BMW VEHICLE			3,400.00	**3,400.00**
40530	COMM	09661RAD3	BMWOT 0.48% MAT	Redemption	08/25/2021	BMW VEHICLE		234,444.55		**234,444.55**
40539	COMM	3137BKGH7	FHLMCM 2.712%	Interest	08/25/2021	FHLMC Multi-Family			10,271.04	**10,271.04**
40539	COMM	3137BKGH7	FHLMCM 2.712%	Redemption	08/25/2021	FHLMC Multi-Family		84,970.29		**84,970.29**
40540	COMM	3137FUZN7	FHLMCM 0.526%	Interest	08/25/2021	FHLMC Multi-Family			1,698.21	**1,698.21**
40540	COMM	3137FUZN7	FHLMCM 0.526%	Redemption	08/25/2021	FHLMC Multi-Family		10,852.08		**10,852.08**
40541	COMM	3138L7CU8	FNMAM 2.95% MAT	Interest	08/25/2021	FNMA Multi-Family			49,576.37	**49,576.37**
40541	COMM	3138L7CU8	FNMAM 2.95% MAT	Redemption	08/25/2021	FNMA Multi-Family		37,842.58		**37,842.58**
40630	COMM	3138LJWY2	FHLMCM 2.8% MAT	Interest	08/25/2021	FHLMC Multi-Family			31,745.34	**31,745.34**
40630	COMM	3138LJWY2	FHLMCM 2.8% MAT	Redemption	08/25/2021	FHLMC Multi-Family		22,009.10		**22,009.10**
40638	COMM	3137BYLE8	FHLMCM 2.7% MAT	Interest	08/25/2021	FHLMC Multi-Family			14,962.50	**14,962.50**
40638	COMM	3137BYLE8	FHLMCM 2.7% MAT	Redemption	08/25/2021	FHLMC Multi-Family		167,772.08		**167,772.08**
40743	COMM	3136AY6S7	FNMAM FRN MAT	Interest	08/25/2021	FNMA Multi-Family			55,235.85	**55,235.85**
40743	COMM	3136AY6S7	FNMAM FRN MAT	Redemption	08/25/2021	FNMA Multi-Family		13,052.25		**13,052.25**
40784	COMM	3137F72W4	FHLMCM 0.39025%	Interest	08/25/2021	FHLMC Multi-Family			3,681.36	**3,681.36**
40784	COMM	3137F72W4	FHLMCM 0.39025%	Redemption	08/25/2021	FHLMC Multi-Family		169,523.30		**169,523.30**
40812	COMM	3132XFFH6	FHLMCM 0.77% MAT	Interest	08/25/2021	FHLMC Multi-Family			29,837.50	**29,837.50**
40812	COMM	3132XFFH6	FHLMCM 0.77% MAT	Redemption	08/25/2021	FHLMC Multi-Family		934,367.23		**934,367.23**
40905	COMM	3138LEC33	FNMAM 2.38% MAT	Interest	08/25/2021	FNMA Multi-Family			20,494.44	**20,494.44**
40905	COMM	3138LEC33	FNMAM 2.38% MAT	Redemption	08/25/2021	FNMA Multi-Family		13,439.80		**13,439.80**
40976	COMM	3137FATE8	FHLMCM 2.982%	Interest	08/25/2021	FHLMC Multi-Family			74,550.00	**74,550.00**
40976	COMM	3137FATE8	FHLMCM 2.982%	Redemption	08/25/2021	FHLMC Multi-Family		976,901.61		**976,901.61**
40983	COMM	3137FF3W5	FHLMCM 0.602%	Interest	08/25/2021	FHLMC Multi-Family			2,119.19	**2,119.19**
40983	COMM	3137FF3W5	FHLMCM 0.602%	Redemption	08/25/2021	FHLMC Multi-Family		6,374.75		**6,374.75**
40990	COMM	05591RAC8	BMWLT 0.29% MAT	Interest	08/25/2021	BMW VEHICLE			2,924.17	**2,924.17**
40990	COMM	05591RAC8	BMWLT 0.29% MAT	Redemption	08/25/2021	BMW VEHICLE		411,702.07		**411,702.07**
41026	COMM	3137BVYN0	FHLMCM 3.035%	Interest	08/25/2021	FHLMC Multi-Family			67,400.50	**67,400.50**
41026	COMM	3137BVYN0	FHLMCM 3.035%	Redemption	08/25/2021	FHLMC Multi-Family		636,049.48		**636,049.48**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41131	COMM	3137BYLE8	FHLMCM 2.7% MAT	Interest	08/25/2021	FHLMC Multi-Family			11,250.00	**11,250.00**
41131	COMM	3137BYLE8	FHLMCM 2.7% MAT	Redemption	08/25/2021	FHLMC Multi-Family		125,423.98		**125,423.98**
41133	COMM	3137FYZG4	FHLMCM 0.861%	Interest	08/25/2021	FHLMC Multi-Family			5,021.36	**5,021.36**
41133	COMM	3137FYZG4	FHLMCM 0.861%	Redemption	08/25/2021	FHLMC Multi-Family		779.31		**779.31**
41164	COMM	3137FPJ55	FHLMCM 2.606%	Interest	08/25/2021	FHLMC Multi-Family			44,910.76	**44,910.76**
41164	COMM	3137FPJ55	FHLMCM 2.606%	Redemption	08/25/2021	FHLMC Multi-Family		364,254.40		**364,254.40**
41192	COMM	3137FBAB2	FHLMCM 3.038%	Interest	08/25/2021	FHLMC Multi-Family			25,317.00	**25,317.00**
41192	COMM	3137FBAB2	FHLMCM 3.038%	Redemption	08/25/2021	FHLMC Multi-Family		188,398.69		**188,398.69**
41200	COMM	3140HWXG2	FNMAM 2.47% MAT	Interest	08/25/2021	FNMA Multi-Family			10,342.22	**10,342.22**
41200	COMM	3140HWXG2	FNMAM 2.47% MAT	Redemption	08/25/2021	FNMA Multi-Family		7,174.55		**7,174.55**
41222	COMM	3137H14A1	FHLMCM 0.854%	Interest	08/25/2021	FHLMC Multi-Family			13,771.40	**13,771.40**
41222	COMM	3137H14A1	FHLMCM 0.854%	Redemption	08/25/2021	FHLMC Multi-Family		244,581.61		**244,581.61**
41228	COMM	3136AUG21	FNMAM 2.49552%	Interest	08/25/2021	FNMA Multi-Family			46,458.77	**46,458.77**
41228	COMM	3136AUG21	FNMAM 2.49552%	Redemption	08/25/2021	FNMA Multi-Family		23,196.50		**23,196.50**
41228	COMM	3136AUG21	FNMAM 2.49552%	Interest	08/25/2021	FNMA Multi-Family			-9,291.75	**-9,291.75**
41228	COMM	3136AUG21	FNMAM 2.49552%	Redemption	08/25/2021	FNMA Multi-Family				**0.00**
		Totals for 08/25/2021					**217,999,278.87**	**217,366,874.75**	**1,553,545.69**	**921,141.57**
41323	COMM	16536HVT5	CHES DISC NOTE	Purchase	08/26/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41324	COMM	5148X0VT9	LANDES DISC NOTE	Purchase	08/26/2021	LANDES	124,999,687.50			**-124,999,687.50**
41325	COMM	89114WF59	TORONTO	Purchase	08/26/2021	TORONTO	70,000,000.00			**-70,000,000.00**
41320	COMM	16536HVS7	CHES DISC NOTE	Redemption	08/26/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41322	COMM	5148X0VS1	LANDES DISC NOTE	Redemption	08/26/2021	LANDES		125,000,000.00		**125,000,000.00**
40575	COMM	3136G4X40	FEDERAL NATL MTG	Interest	08/26/2021	FNMA NOTES			37,920.00	**37,920.00**
		Totals for 08/26/2021					**269,999,541.67**	**200,000,000.00**	**37,920.00**	**-69,961,621.67**
41326	COMM	16536HVW8	CHES DISC NOTE	Purchase	08/27/2021	CHESHAM FINANCE	74,999,562.50			**-74,999,562.50**
41327	COMM	3133ELG99	FEDERAL FARM CR	Purchase	08/27/2021	FFCB NOTES	7,820,477.80			**-7,820,477.80**
41328	COMM	5148X0VW2	LANDES DISC NOTE	Purchase	08/27/2021	LANDES	124,999,062.50			**-124,999,062.50**
41329	COMM	63873JVW7	NATXNY DISC NOTE	Purchase	08/27/2021	Natixis NY Branch	99,999,583.34			**-99,999,583.34**
41330	COMM	6944PL2C2	PACLIF 0.5% MAT	Purchase	08/27/2021	PACIFIC LIFE	40,181,155.56			**-40,181,155.56**
40778	COMM	22535CTX9	CREDIT AGRICOLE	Redemption	08/27/2021	CREDIT AGRICOLE		50,000,000.00		**50,000,000.00**
40790	COMM	78012UA39	ROYAL BK CDA NY	Redemption	08/27/2021	ROYAL BANK OF		50,000,000.00		**50,000,000.00**
41012	COMM	05966DA69	BANS 0.23% MAT	Redemption	08/27/2021	Banco Santander NY		50,000,000.00		**50,000,000.00**
41323	COMM	16536HVT5	CHES DISC NOTE	Redemption	08/27/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41324	COMM	5148X0VT9	LANDES DISC NOTE	Redemption	08/27/2021	LANDES		125,000,000.00		**125,000,000.00**
40778	COMM	22535CTX9	CREDIT AGRICOLE	Interest	08/27/2021	CREDIT AGRICOLE			75,833.34	**75,833.34**
40790	COMM	78012UA39	ROYAL BK CDA NY	Interest	08/27/2021	ROYAL BANK OF			93,402.78	**93,402.78**
41012	COMM	05966DA69	BANS 0.23% MAT	Interest	08/27/2021	Banco Santander NY			50,152.78	**50,152.78**
		Totals for 08/27/2021					**347,999,841.70**	**350,000,000.00**	**219,388.90**	**2,219,547.20**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41331	COMM	16536HVX6	CHES DISC NOTE	Purchase	08/30/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41332	COMM	3130ANWF3	FEDERAL HOME	Purchase	08/30/2021	FHLB NOTES	45,000,000.00			**-45,000,000.00**
41333	COMM	5148X0VX0	LANDES DISC NOTE	Purchase	08/30/2021	LANDES	124,999,687.50			**-124,999,687.50**
41334	COMM	63873JVX5	NATXNY DISC NOTE	Purchase	08/30/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41090	COMM	13059QAN5	CALIFORNIA SCH	Redemption	08/30/2021	CA SCH FIN AUTH		6,500,000.00		**6,500,000.00**
41326	COMM	16536HVW8	CHES DISC NOTE	Redemption	08/30/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41328	COMM	5148X0VW2	LANDES DISC NOTE	Redemption	08/30/2021	LANDES		125,000,000.00		**125,000,000.00**
41329	COMM	63873JVW7	NATXNY DISC NOTE	Redemption	08/30/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
37578	COMM	3134GA5T7	FHLMC 2.125% MAT	Interest	08/30/2021	FHLMC NOTES			37,187.50	**37,187.50**
37579	COMM	3134GA5T7	FHLMC 2.125% MAT	Interest	08/30/2021	FHLMC NOTES			212,500.00	**212,500.00**
41090	COMM	13059QAN5	CALIFORNIA SCH	Interest	08/30/2021	CA SCH FIN AUTH			4,160.00	**4,160.00**
		Totals for 08/30/2021					**344,999,402.79**	**306,500,000.00**	**253,847.50**	**-38,245,555.29**
41335	COMM	06367CKP1	BMOCHI 0.2% MAT	Purchase	08/31/2021	BANK OF	50,000,000.00			**-50,000,000.00**
41336	COMM	16536HW15	CHES DISC NOTE	Purchase	08/31/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41337	COMM	46640QET4	JPMSCC ZERO CPN	Purchase	08/31/2021	JP Morgan Securities	49,936,486.11			**-49,936,486.11**
40963	COMM	06052TN22	BANK OF AMERICA	Redemption	08/31/2021	BANK OF AMERICA		50,000,000.00		**50,000,000.00**
41000	COMM	59157TVX8	METSHR DISC NOTE	Redemption	08/31/2021	METLIFE SHORT		25,000,000.00		**25,000,000.00**
41004	COMM	65558T5Z4	NDAFNY 0.15% MAT	Redemption	08/31/2021	Nordea Bank Finland		50,000,000.00		**50,000,000.00**
41019	COMM	06742TWZ5	BCSNY 0.19% MAT	Redemption	08/31/2021	BARCLAYS CAPITAL		50,000,000.00		**50,000,000.00**
41152	COMM	82124LVX7	SHEF DISC NOTE	Redemption	08/31/2021	SHEFFIELD		35,000,000.00		**35,000,000.00**
41331	COMM	16536HVX6	CHES DISC NOTE	Redemption	08/31/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41333	COMM	5148X0VX0	LANDES DISC NOTE	Redemption	08/31/2021	LANDES		125,000,000.00		**125,000,000.00**
41334	COMM	63873JVX5	NATXNY DISC NOTE	Redemption	08/31/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40963	COMM	06052TN22	BANK OF AMERICA	Interest	08/31/2021	BANK OF AMERICA			41,777.78	**41,777.78**
41004	COMM	65558T5Z4	NDAFNY 0.15% MAT	Interest	08/31/2021	Nordea Bank Finland			34,375.00	**34,375.00**
41011	COMM	91282CAJ0	UNITED STATES	Interest	08/31/2021	U.S. TREASURY			37,500.00	**37,500.00**
41011	COMM	91282CAJ0	UNITED STATES	Accr Int	08/31/2021	U.S. TREASURY		4,687.50	-4,687.50	**0.00**
41019	COMM	06742TWZ5	BCSNY 0.19% MAT	Interest	08/31/2021	BARCLAYS CAPITAL			41,958.35	**41,958.35**
41067	COMM	91282CAJ0	UNITED STATES	Interest	08/31/2021	U.S. TREASURY			37,500.00	**37,500.00**
41067	COMM	91282CAJ0	UNITED STATES	Accr Int	08/31/2021	U.S. TREASURY		9,171.20	-9,171.20	**0.00**
		Totals for 08/31/2021					**174,936,340.28**	**510,013,858.70**	**179,252.43**	**335,256,770.85**
41339	COMM	16536HW23	CHES DISC NOTE	Purchase	09/01/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41338	COMM	22552G4K3	CRSNY 0.21% MAT	Purchase	09/01/2021	Credit Suisse NY	50,000,000.00			**-50,000,000.00**
41340	COMM	5148X0W27	LANDES DISC NOTE	Purchase	09/01/2021	LANDES	124,999,687.50			**-124,999,687.50**
41342	COMM	59217GEK1	MET LI 0.45% MAT	Purchase	09/01/2021	MET LIFE GLOBAL	9,811,851.53			**-9,811,851.53**
41341	COMM	63873JW22	NATXNY DISC NOTE	Purchase	09/01/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
38024	COMM	3133EHWM1	FEDERAL FARM CR	Redemption	09/01/2021	FFCB NOTES		3,000,000.00		**3,000,000.00**
38025	COMM	3133EHWM1	FEDERAL FARM CR	Redemption	09/01/2021	FFCB NOTES		5,000,000.00		**5,000,000.00**
38026	COMM	3133EHWM1	FEDERAL FARM CR	Redemption	09/01/2021	FFCB NOTES		7,000,000.00		**7,000,000.00**

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41163	COMM	86564GZA2	SUMITOMO MITSUI	Redemption	09/01/2021	SUMITOMO MITSUI		50,000,000.00		**50,000,000.00**
41336	COMM	16536HW15	CHES DISC NOTE	Redemption	09/01/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
38024	COMM	3133EHWM1	FEDERAL FARM CR	Interest	09/01/2021	FFCB NOTES			25,500.00	**25,500.00**
38025	COMM	3133EHWM1	FEDERAL FARM CR	Interest	09/01/2021	FFCB NOTES			42,500.00	**42,500.00**
38026	COMM	3133EHWM1	FEDERAL FARM CR	Interest	09/01/2021	FFCB NOTES			59,500.00	**59,500.00**
41163	COMM	86564GZA2	SUMITOMO MITSUI	Interest	09/01/2021	SUMITOMO MITSUI			8,652.78	**8,652.78**
		Totals for 09/01/2021					**359,811,254.32**	**140,000,000.00**	**136,152.78**	**-219,675,101.54**
41343	COMM	16536HW31	CHES DISC NOTE	Purchase	09/02/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41344	COMM	5148X0W35	LANDES DISC NOTE	Purchase	09/02/2021	LANDES	124,999,722.22			**-124,999,722.22**
41345	COMM	63873JW30	NATXNY DISC NOTE	Purchase	09/02/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41339	COMM	16536HW23	CHES DISC NOTE	Redemption	09/02/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41340	COMM	5148X0W27	LANDES DISC NOTE	Redemption	09/02/2021	LANDES		125,000,000.00		**125,000,000.00**
41341	COMM	63873JW22	NATXNY DISC NOTE	Redemption	09/02/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40793	COMM	3133EMJH6	FEDERAL FARMS	Interest	09/02/2021	FFCB NOTES			23,000.00	**23,000.00**
		Totals for 09/02/2021					**299,999,437.51**	**300,000,000.00**	**23,000.00**	**23,562.49**
41346	COMM	16536HW72	CHES DISC NOTE	Purchase	09/03/2021	CHESHAM FINANCE	74,999,416.67			**-74,999,416.67**
41347	COMM	5148X0W76	LANDES DISC NOTE	Purchase	09/03/2021	LANDES	118,998,942.23			**-118,998,942.23**
41348	COMM	63873JW71	NATXNY DISC NOTE	Purchase	09/03/2021	Natixis NY Branch	99,999,444.44			**-99,999,444.44**
41343	COMM	16536HW31	CHES DISC NOTE	Redemption	09/03/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41344	COMM	5148X0W35	LANDES DISC NOTE	Redemption	09/03/2021	LANDES		125,000,000.00		**125,000,000.00**
41345	COMM	63873JW30	NATXNY DISC NOTE	Redemption	09/03/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
39105	COMM	3133EJY60	FEDERAL FARM CR	Interest	09/03/2021	FFCB NOTES			188,750.00	**188,750.00**
39573	COMM	166764BN9	CHEVRON CORP	Interest	09/03/2021	CHEVRON CORP.			110,973.65	**110,973.65**
40031	COMM	478160CD4	JOHNSON &	Interest	09/03/2021	JOHNSON &			218,756.25	**218,756.25**
40568	COMM	3133EL5S9	FEDERAL FARM CR	Interest	09/03/2021	FFCB NOTES			48,000.00	**48,000.00**
		Totals for 09/03/2021					**293,997,803.34**	**300,000,000.00**	**566,479.90**	**6,568,676.56**
41349	COMM	16536HW80	CHES DISC NOTE	Purchase	09/07/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41350	COMM	5148X0W84	LANDES DISC NOTE	Purchase	09/07/2021	LANDES	124,999,722.22			**-124,999,722.22**
41351	COMM	63873JW89	NATXNY DISC NOTE	Purchase	09/07/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41346	COMM	16536HW72	CHES DISC NOTE	Redemption	09/07/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41347	COMM	5148X0W76	LANDES DISC NOTE	Redemption	09/07/2021	LANDES		119,000,000.00		**119,000,000.00**
41348	COMM	63873JW71	NATXNY DISC NOTE	Redemption	09/07/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
39138	COMM	3130ABFD3	FEDERAL HOME	Interest	09/07/2021	FHLB NOTES			98,437.50	**98,437.50**
40505	COMM	30231GAF9	EXXON MOBIL	Interest	09/07/2021	EXXON MOBIL			67,725.00	**67,725.00**
41278	COMM	3130ALEY6	FEDERAL HOME	Interest	09/07/2021	FHLB NOTES			98,920.00	**98,920.00**
41278	COMM	3130ALEY6	FEDERAL HOME	Accr Int	09/07/2021	FHLB NOTES		82,433.33	-82,433.33	**0.00**
		Totals for 09/07/2021					**299,999,437.51**	**294,082,433.33**	**182,649.17**	**-5,734,355.01**
41352	COMM	16536HW98	CHES DISC NOTE	Purchase	09/08/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**

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41353	COMM	5148X0W92	LANDES DISC NOTE	Purchase	09/08/2021	LANDES	124,999,722.22			-124,999,722.22
41354	COMM	63873JW97	NATXNY DISC NOTE	Purchase	09/08/2021	Natixis NY Branch	99,999,861.12			-99,999,861.12
41349	COMM	16536HW80	CHES DISC NOTE	Redemption	09/08/2021	CHESHAM FINANCE		75,000,000.00		75,000,000.00
41350	COMM	5148X0W84	LANDES DISC NOTE	Redemption	09/08/2021	LANDES		125,000,000.00		125,000,000.00
41351	COMM	63873JW89	NATXNY DISC NOTE	Redemption	09/08/2021	Natixis NY Branch		100,000,000.00		100,000,000.00
39362	COMM	3130AB3H7	FEDERAL HOME	Interest	09/08/2021	FHLB NOTES			169,218.75	169,218.75
39936	COMM	3130A3DL5	FEDERAL HOME	Interest	09/08/2021	FHLB NOTES			118,037.50	118,037.50
40571	COMM	3137EAEW5	FEDERAL HOME LN	Interest	09/08/2021	FHLMC NOTES			43,750.00	43,750.00
40576	COMM	3137EAEW5	FEDERAL HOME LN	Interest	09/08/2021	FHLMC NOTES			25,000.00	25,000.00
40811	COMM	3133EMJV5	FFCB FLOAT MAT	Interest	09/08/2021	FFCB NOTES			19,050.00	19,050.00
		Totals for 09/08/2021					**299,999,437.51**	**300,000,000.00**	**375,056.25**	**375,618.74**
41355	COMM	16536HWA5	CHES DISC NOTE	Purchase	09/09/2021	CHESHAM FINANCE	74,999,854.17			-74,999,854.17
41356	COMM	5148X0WA9	LANDES DISC NOTE	Purchase	09/09/2021	LANDES	124,999,722.22			-124,999,722.22
41357	COMM	63873JWA4	NATXNY DISC NOTE	Purchase	09/09/2021	Natixis NY Branch	99,999,861.12			-99,999,861.12
40826	COMM	9127964L0	UNITED STATES	Redemption	09/09/2021	U.S. TREASURY		100,000,000.00		100,000,000.00
40835	COMM	9127964L0	UNITED STATES	Redemption	09/09/2021	U.S. TREASURY		50,000,000.00		50,000,000.00
41352	COMM	16536HW98	CHES DISC NOTE	Redemption	09/09/2021	CHESHAM FINANCE		75,000,000.00		75,000,000.00
41353	COMM	5148X0W92	LANDES DISC NOTE	Redemption	09/09/2021	LANDES		125,000,000.00		125,000,000.00
41354	COMM	63873JW97	NATXNY DISC NOTE	Redemption	09/09/2021	Natixis NY Branch		100,000,000.00		100,000,000.00
40581	COMM	3133EL6E9	FEDERAL FARM CR	Interest	09/09/2021	FFCB NOTES			31,500.00	31,500.00
		Totals for 09/09/2021					**299,999,437.51**	**450,000,000.00**	**31,500.00**	**150,032,062.49**
41358	COMM	16536HWD9	CHES DISC NOTE	Purchase	09/10/2021	CHESHAM FINANCE	74,999,562.50			-74,999,562.50
41359	COMM	5148X0WD3	LANDES DISC NOTE	Purchase	09/10/2021	LANDES	124,999,166.67			-124,999,166.67
41360	COMM	63873JWD8	NATXNY DISC NOTE	Purchase	09/10/2021	Natixis NY Branch	99,999,583.34			-99,999,583.34
39786	COMM	313378JP7	FEDERAL HOME	Redemption	09/10/2021	FHLB NOTES		5,445,000.00		5,445,000.00
40940	COMM	13606CNL4	CANADIAN	Redemption	09/10/2021	CANADIAN IMP BK		40,000,000.00		40,000,000.00
41355	COMM	16536HWA5	CHES DISC NOTE	Redemption	09/10/2021	CHESHAM FINANCE		75,000,000.00		75,000,000.00
41356	COMM	5148X0WA9	LANDES DISC NOTE	Redemption	09/10/2021	LANDES		125,000,000.00		125,000,000.00
41357	COMM	63873JWA4	NATXNY DISC NOTE	Redemption	09/10/2021	Natixis NY Branch		100,000,000.00		100,000,000.00
39786	COMM	313378JP7	FEDERAL HOME	Interest	09/10/2021	FHLB NOTES			64,659.38	64,659.38
40940	COMM	13606CNL4	CANADIAN	Interest	09/10/2021	CANADIAN IMP BK			35,000.00	35,000.00
41209	COMM	14687TAC1	CRVNA 0.49% MAT	Interest	09/10/2021	Carvana Auto			6,125.00	6,125.00
41209	COMM	14687TAC1	CRVNA 0.49% MAT	Redemption	09/10/2021	Carvana Auto		277,101.58		277,101.58
		Totals for 09/10/2021					**299,998,312.51**	**345,722,101.58**	**105,784.38**	**45,829,573.45**
41361	COMM	16536HWE7	CHES DISC NOTE	Purchase	09/13/2021	CHESHAM FINANCE	74,999,854.17			-74,999,854.17
41362	COMM	5148X0WE1	LANDES DISC NOTE	Purchase	09/13/2021	LANDES	124,999,722.22			-124,999,722.22
41363	COMM	63873JWE6	NATXNY DISC NOTE	Purchase	09/13/2021	Natixis NY Branch	99,999,861.12			-99,999,861.12
41358	COMM	16536HWD9	CHES DISC NOTE	Redemption	09/13/2021	CHESHAM FINANCE		75,000,000.00		75,000,000.00
41359	COMM	5148X0WD3	LANDES DISC NOTE	Redemption	09/13/2021	LANDES		125,000,000.00		125,000,000.00

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41360	COMM	63873JWD8	NATXNY DISC NOTE	Redemption	09/13/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
37726	COMM	313378CR0	FHLB 2.25% MAT	Interest	09/13/2021	FHLB NOTES			281,250.00	**281,250.00**
38878	COMM	3133EJZH5	FEDERAL FARM CR	Interest	09/13/2021	FFCB NOTES			196,000.00	**196,000.00**
39831	COMM	037833DL1	APPLE INC, SR GLBL	Interest	09/13/2021	APPLE INC			59,500.00	**59,500.00**
39852	COMM	3130A2UW4	FEDERAL HOME	Interest	09/13/2021	FHLB NOTES			143,750.00	**143,750.00**
39985	COMM	3130A2UW4	FEDERAL HOME	Interest	09/13/2021	FHLB NOTES			431,250.00	**431,250.00**
40135	COMM	3133ELCS1	FEDERAL FARM CR	Interest	09/13/2021	FFCB NOTES			111,987.50	**111,987.50**
40501	COMM	3130A2UW4	FEDERAL HOME	Interest	09/13/2021	FHLB NOTES			71,875.00	**71,875.00**
		Totals for 09/13/2021					**299,999,437.51**	**300,000,000.00**	**1,295,612.50**	**1,296,174.99**
41364	COMM	16536HWF4	CHES DISC NOTE	Purchase	09/14/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41365	COMM	3130ANVR8	FEDERAL HOME	Purchase	09/14/2021	FHLB NOTES	24,000,000.00			**-24,000,000.00**
41366	COMM	5148X0WF8	LANDES DISC NOTE	Purchase	09/14/2021	LANDES	124,999,722.22			**-124,999,722.22**
41367	COMM	63873JWF3	NATXNY DISC NOTE	Purchase	09/14/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41361	COMM	16536HWE7	CHES DISC NOTE	Redemption	09/14/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41362	COMM	5148X0WE1	LANDES DISC NOTE	Redemption	09/14/2021	LANDES		125,000,000.00		**125,000,000.00**
41363	COMM	63873JWE6	NATXNY DISC NOTE	Redemption	09/14/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
41180	COMM	3133EMH70	FFCB FLOAT 0.04%	Interest	09/14/2021	FFCB NOTES			5,000.00	**5,000.00**
		Totals for 09/14/2021					**323,999,437.51**	**300,000,000.00**	**5,000.00**	**-23,994,437.51**
41368	COMM	09690AAD5	BMWLT 0.43% MAT	Purchase	09/15/2021	BMW VEHICLE	25,999,017.20			**-25,999,017.20**
41369	COMM	16536HWG2	CHES DISC NOTE	Purchase	09/15/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41370	COMM	5148X0WG6	LANDES DISC NOTE	Purchase	09/15/2021	LANDES	124,999,722.22			**-124,999,722.22**
41371	COMM	63873JWG1	NATXNY DISC NOTE	Purchase	09/15/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
39332	COMM	89233P5F9	TOYO 3.4% MAT	Redemption	09/15/2021	TOYOTA MOTOR		11,213,000.00		**11,213,000.00**
40411	COMM	254683CH6	DCENT 3.32% MAT	Redemption	09/15/2021	Discover Card Execut		15,131,250.00		**15,131,250.00**
40603	COMM	3134GWM94	FEDERAL HOME LN	Redemption	09/15/2021	FHLMC NOTES		9,997,500.00		**9,997,500.00**
40603	COMM	3134GWM94	FEDERAL HOME LN	Cap G/L	09/15/2021	FHLMC NOTES		2,500.00		**2,500.00**
41364	COMM	16536HWF4	CHES DISC NOTE	Redemption	09/15/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41366	COMM	5148X0WF8	LANDES DISC NOTE	Redemption	09/15/2021	LANDES		125,000,000.00		**125,000,000.00**
41367	COMM	63873JWF3	NATXNY DISC NOTE	Redemption	09/15/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
39332	COMM	89233P5F9	TOYO 3.4% MAT	Interest	09/15/2021	TOYOTA MOTOR			190,621.00	**190,621.00**
39544	COMM	084670BR8	BERKSHIRE	Interest	09/15/2021	BERKSHIRE			228,580.00	**228,580.00**
39998	COMM	30231GAC6	EXXON MOBIL	Interest	09/15/2021	EXXON MOBIL			79,400.00	**79,400.00**
40080	COMM	084670BR8	BERKSHIRE	Interest	09/15/2021	BERKSHIRE			297,316.25	**297,316.25**
40603	COMM	3134GWM94	FEDERAL HOME LN	Interest	09/15/2021	FHLMC NOTES			16,500.00	**16,500.00**
40848	COMM	3130AKK47	FHLB FLOAT MAT	Interest	09/15/2021	FHLB NOTES			12,500.01	**12,500.01**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	09/15/2021	TOYOTA AUTO REC			8,533.04	**8,533.04**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	09/15/2021	TOYOTA AUTO REC		502,538.42		**502,538.42**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	09/15/2021	HONDA AUTO			14,499.81	**14,499.81**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	09/15/2021	HONDA AUTO		919,217.99		**919,217.99**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	09/15/2021	Nissan Auto Receivab			25,112.70	**25,112.70**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	09/15/2021	Nissan Auto Receivab		1,116,816.96		**1,116,816.96**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	09/15/2021	Capital One Prime Au			9,853.45	**9,853.45**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	09/15/2021	Capital One Prime Au		482,779.03		**482,779.03**
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	09/15/2021	JOHN DEERE			9,840.85	**9,840.85**
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	09/15/2021	JOHN DEERE		348,143.29		**348,143.29**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	09/15/2021	HONDA AUTO			8,422.27	**8,422.27**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	09/15/2021	HONDA AUTO		509,064.77		**509,064.77**
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	09/15/2021	Capital One Multi Ex			50,166.66	**50,166.66**
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	09/15/2021	Capital One Multi Ex		1,087,076.85		**1,087,076.85**
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	09/15/2021	MERCEDES -BENZ			33,564.75	**33,564.75**
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	09/15/2021	MERCEDES -BENZ		1,927,990.54		**1,927,990.54**
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	09/15/2021	HYUNDAI AUTO			14,846.72	**14,846.72**
40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	09/15/2021	HYUNDAI AUTO		820,767.13		**820,767.13**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	09/15/2021	TOYOTA AUTO REC			29,645.15	**29,645.15**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	09/15/2021	TOYOTA AUTO REC		1,457,704.81		**1,457,704.81**
40062	COMM	58769QAC5	MBALT 2.% MAT	Interest	09/15/2021	MERCEDES-BENZ			10,456.66	**10,456.66**
40062	COMM	58769QAC5	MBALT 2.% MAT	Redemption	09/15/2021	MERCEDES-BENZ		958,480.39		**958,480.39**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Interest	09/15/2021	ALLY Auto			15,209.78	**15,209.78**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Redemption	09/15/2021	ALLY Auto		820,413.72		**820,413.72**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Interest	09/15/2021	Harley-Davidson Moto			13,560.39	**13,560.39**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Redemption	09/15/2021	Harley-Davidson Moto		723,721.20		**723,721.20**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Interest	09/15/2021	CHASE ISSUANCE			34,425.00	**34,425.00**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Redemption	09/15/2021	CHASE ISSUANCE		726,684.52		**726,684.52**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Interest	09/15/2021	Capital One Prime Au			33,333.33	**33,333.33**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Redemption	09/15/2021	Capital One Prime Au		703,346.65		**703,346.65**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Interest	09/15/2021	MERCEDES-BENZ			38,897.40	**38,897.40**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	09/15/2021	MERCEDES-BENZ		2,917,086.30		**2,917,086.30**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Interest	09/15/2021	MERCEDES-BENZ			7,779.48	**7,779.48**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	09/15/2021	MERCEDES-BENZ		583,417.26		**583,417.26**
40411	COMM	254683CH6	DCENT 3.32% MAT	Interest	09/15/2021	Discover Card Execut			41,500.01	**41,500.01**
40411	COMM	254683CH6	DCENT 3.32% MAT	Redemption	09/15/2021	Discover Card Execut		412,309.46		**412,309.46**
40411	COMM	254683CH6	DCENT 3.32% MAT	Interest	09/15/2021	Discover Card Execut				**0.00**
40411	COMM	254683CH6	DCENT 3.32% MAT	Redemption	09/15/2021	Discover Card Execut				**0.00**
40515	COMM	58769VAC4	MBART 0.55% MAT	Interest	09/15/2021	MERCEDES -BENZ			2,291.67	**2,291.67**
40515	COMM	58769VAC4	MBART 0.55% MAT	Redemption	09/15/2021	MERCEDES -BENZ		127,536.98		**127,536.98**
40533	COMM	47787NAC3	JDOT 0.51% MAT	Interest	09/15/2021	JOHN DEERE			1,912.50	**1,912.50**
40533	COMM	47787NAC3	JDOT 0.51% MAT	Redemption	09/15/2021	JOHN DEERE		124,053.78		**124,053.78**
40608	COMM	58769EAB4	MBALT 0.31% MAT	Interest	09/15/2021	MERCEDES-BENZ			878.15	**878.15**
40608	COMM	58769EAB4	MBALT 0.31% MAT	Redemption	09/15/2021	MERCEDES-BENZ		540,918.49		**540,918.49**

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40930	COMM	89240BAC2	TOYOTA AUTO	Interest	09/15/2021	TOYOTA AUTO REC			3,358.34	**3,358.34**
40930	COMM	89240BAC2	TOYOTA AUTO	Redemption	09/15/2021	TOYOTA AUTO REC		360,536.28		**360,536.28**
40937	COMM	98164EAC9	WOART 0.3% MAT	Interest	09/15/2021	World Omni Auto Rec'			3,500.00	**3,500.00**
40937	COMM	98164EAC9	WOART 0.3% MAT	Redemption	09/15/2021	World Omni Auto Rec'		278,549.78		**278,549.78**
40950	COMM	41284NAB6	HDMOT 0.22% MAT	Interest	09/15/2021	Harley-Davidson Moto			701.67	**701.67**
40950	COMM	41284NAB6	HDMOT 0.22% MAT	Redemption	09/15/2021	Harley-Davidson Moto		499,554.52		**499,554.52**
40958	COMM	34532NAC9	FM 0.3% MAT	Interest	09/15/2021	FORD MOTOR			5,000.00	**5,000.00**
40958	COMM	34532NAC9	FM 0.3% MAT	Redemption	09/15/2021	FORD MOTOR		437,184.91		**437,184.91**
40991	COMM	47788UAC6	JDOT 0.36% MAT	Interest	09/15/2021	JOHN DEERE			2,325.00	**2,325.00**
40991	COMM	47788UAC6	JDOT 0.36% MAT	Redemption	09/15/2021	JOHN DEERE		164,630.74		**164,630.74**
41111	COMM	44933LAC7	HART 0.38% MAT	Interest	09/15/2021	HYUNDAI AUTO			3,008.34	**3,008.34**
41111	COMM	44933LAC7	HART 0.38% MAT	Redemption	09/15/2021	HYUNDAI AUTO		200,179.01		**200,179.01**
41182	COMM	89190GAC1	TAOT 0.26% MAT	Interest	09/15/2021	TOYOTA AUTO REC			6,500.01	**6,500.01**
41182	COMM	89190GAC1	TAOT 0.26% MAT	Redemption	09/15/2021	TOYOTA AUTO REC		588,432.04		**588,432.04**
41187	COMM	44891VAC5	HALST 0.33% MAT	Interest	09/15/2021	Hyundai Auto Lease S			6,187.50	**6,187.50**
41187	COMM	44891VAC5	HALST 0.33% MAT	Redemption	09/15/2021	Hyundai Auto Lease S		650,719.37		**650,719.37**
41206	COMM	65480BAC1	NAROT 0.33% MAT	Interest	09/15/2021	Nissan Auto Receivab			11,000.00	**11,000.00**
41206	COMM	65480BAC1	NAROT 0.33% MAT	Redemption	09/15/2021	Nissan Auto Receivab		814,265.64		**814,265.64**
41220	COMM	58769KAD6	MBALT 0.4% MAT	Interest	09/15/2021	MERCEDES-BENZ			4,916.66	**4,916.66**
41220	COMM	58769KAD6	MBALT 0.4% MAT	Redemption	09/15/2021	MERCEDES-BENZ		382,993.16		**382,993.16**
41240	COMM	47789QAC4	JDOT 0.52% MAT	Interest	09/15/2021	JOHN DEERE			6,240.00	**6,240.00**
41240	COMM	47789QAC4	JDOT 0.52% MAT	Redemption	09/15/2021	JOHN DEERE		142,460.07		**142,460.07**
41242	COMM	14041NFW6	COMET 0.55% MAT	Interest	09/15/2021	Capital One Multi Ex			19,028.47	**19,028.47**
41242	COMM	14041NFW6	COMET 0.55% MAT	Redemption	09/15/2021	Capital One Multi Ex		396,873.83		**396,873.83**
		Totals for 09/15/2021					**325,998,454.71**	**359,070,697.89**	**1,301,413.02**	**34,373,656.20**
41372	COMM	16536HWH0	CHES DISC NOTE	Purchase	09/16/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41373	COMM	5148X0WH4	LANDES DISC NOTE	Purchase	09/16/2021	LANDES	124,999,722.22			**-124,999,722.22**
41374	COMM	63873JWH9	NATXNY DISC NOTE	Purchase	09/16/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41369	COMM	16536HWG2	CHES DISC NOTE	Redemption	09/16/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41370	COMM	5148X0WG6	LANDES DISC NOTE	Redemption	09/16/2021	LANDES		125,000,000.00		**125,000,000.00**
41371	COMM	63873JWG1	NATXNY DISC NOTE	Redemption	09/16/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40592	COMM	3136G43H4	FEDERAL NATL MTG	Interest	09/16/2021	FNMA NOTES			23,370.00	**23,370.00**
		Totals for 09/16/2021					**299,999,437.51**	**300,000,000.00**	**23,370.00**	**23,932.49**
41375	COMM	16536HWL1	CHES DISC NOTE	Purchase	09/17/2021	CHESHAM FINANCE	74,999,562.50			**-74,999,562.50**
41376	COMM	3130AN4T4	FEDERAL HOME	Purchase	09/17/2021	FHLB NOTES	10,051,372.22			**-10,051,372.22**
41377	COMM	5148X0WL5	LANDES DISC NOTE	Purchase	09/17/2021	LANDES	124,999,166.67			**-124,999,166.67**
41378	COMM	63873JWL0	NATXNY DISC NOTE	Purchase	09/17/2021	Natixis NY Branch	99,999,583.34			**-99,999,583.34**
41379	COMM	931142ER0	WALMART INC, NT	Purchase	09/17/2021	WALMART	9,981,100.00			**-9,981,100.00**
41372	COMM	16536HWH0	CHES DISC NOTE	Redemption	09/17/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41373	COMM	5148X0WH4	LANDES DISC NOTE	Redemption	09/17/2021	LANDES		125,000,000.00		**125,000,000.00**
41374	COMM	63873JWH9	NATXNY DISC NOTE	Redemption	09/17/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40684	COMM	45906M2B6	International Bank for	Interest	09/17/2021	INTL BANK RECON &			28,000.00	**28,000.00**
		Totals for 09/17/2021					**320,030,784.73**	**300,000,000.00**	**28,000.00**	**-20,002,784.73**
41380	COMM	16536HWM9	CHES DISC NOTE	Purchase	09/20/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41381	COMM	5148X0WM3	LANDES DISC NOTE	Purchase	09/20/2021	LANDES	124,999,722.22			**-124,999,722.22**
41382	COMM	63873JWM8	NATXNY DISC NOTE	Purchase	09/20/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
38046	COMM	3133EHZA4	FEDERAL FARM CR	Redemption	09/20/2021	FFCB NOTES		10,000,000.00		**10,000,000.00**
41375	COMM	16536HWL1	CHES DISC NOTE	Redemption	09/20/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41377	COMM	5148X0WL5	LANDES DISC NOTE	Redemption	09/20/2021	LANDES		125,000,000.00		**125,000,000.00**
41378	COMM	63873JWL0	NATXNY DISC NOTE	Redemption	09/20/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
38046	COMM	3133EHZA4	FEDERAL FARM CR	Interest	09/20/2021	FFCB NOTES			83,000.00	**83,000.00**
39367	COMM	459058GQ0	IBRD 2.5% MAT	Interest	09/20/2021	INTL BANK RECON &			62,500.00	**62,500.00**
40403	COMM	30231GBH4	XOM 2.992% MAT	Interest	09/20/2021	EXXON MOBIL			224,400.00	**224,400.00**
40600	COMM	459058JJ3	IBRD FLOAT MAT	Interest	09/20/2021	INTL BANK RECON &			41,850.00	**41,850.00**
41015	COMM	3133EMTW2	FEDERAL FARM CR	Interest	09/20/2021	FFCB NOTES			24,600.00	**24,600.00**
41015	COMM	3133EMTW2	FEDERAL FARM CR	Accr Int	09/20/2021	FFCB NOTES		820.00	-820.00	**0.00**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	09/20/2021	HONDA AUTO			13,694.51	**13,694.51**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	09/20/2021	HONDA AUTO		714,945.29		**714,945.29**
39612	COMM	92349GAA9	VERIZON OWNER	Interest	09/20/2021	VERIZON OWNER			53,332.34	**53,332.34**
39612	COMM	92349GAA9	VERIZON OWNER	Redemption	09/20/2021	VERIZON OWNER		3,313,029.79		**3,313,029.79**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Interest	09/20/2021	Volkswagen Auto			13,583.83	**13,583.83**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Redemption	09/20/2021	Volkswagen Auto		1,336,732.54		**1,336,732.54**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Interest	09/20/2021	VERIZON OWNER			38,800.01	**38,800.01**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Redemption	09/20/2021	VERIZON OWNER		822,443.95		**822,443.95**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Interest	09/20/2021	GM Fin'cl Auto Leasi			5,834.62	**5,834.62**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Redemption	09/20/2021	GM Fin'cl Auto Leasi		572,907.38		**572,907.38**
40416	COMM	92868LAD3	VALET 3.02% MAT	Interest	09/20/2021	VOLKSWAGEN			6,926.34	**6,926.34**
40416	COMM	92868LAD3	VALET 3.02% MAT	Redemption	09/20/2021	VOLKSWAGEN		904,470.34		**904,470.34**
40512	COMM	36259PAD8	GMALT 0.8% MAT	Interest	09/20/2021	GM Fin'cl Auto Leasi			1,000.00	**1,000.00**
40512	COMM	36259PAD8	GMALT 0.8% MAT	Redemption	09/20/2021	GM Fin'cl Auto Leasi		68,370.00		**68,370.00**
40549	COMM	92290BAA9	VERIZON OWNER	Interest	09/20/2021	VERIZON OWNER			11,456.26	**11,456.26**
40549	COMM	92290BAA9	VERIZON OWNER	Redemption	09/20/2021	VERIZON OWNER		753,322.31		**753,322.31**
40623	COMM	362569AC9	GMALT 0.45% MAT	Interest	09/20/2021	GM Fin'cl Auto Leasi			3,187.50	**3,187.50**
40623	COMM	362569AC9	GMALT 0.45% MAT	Redemption	09/20/2021	GM Fin'cl Auto Leasi		354,943.89		**354,943.89**
40712	COMM	92348CAA9	VZOT 0.41% MAT	Interest	09/20/2021	VERIZON OWNER			7,004.17	**7,004.17**
40712	COMM	92348CAA9	VZOT 0.41% MAT	Redemption	09/20/2021	VERIZON OWNER		485,784.76		**485,784.76**
40801	COMM	92868VAC3	VWALT 0.39% MAT	Interest	09/20/2021	Volkswagen Auto			2,437.50	**2,437.50**
40801	COMM	92868VAC3	VWALT 0.39% MAT	Redemption	09/20/2021	Volkswagen Auto		260,972.16		**260,972.16**
40964	COMM	36261RAC2	GMALT 0.26% MAT	Interest	09/20/2021	GM Fin'cl Auto Leasi			1,787.51	**1,787.51**

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40964	COMM	36261RAC2	GMALT 0.26% MAT	Redemption	09/20/2021	GM Fin'cl Auto Leasi		283,473.40		**283,473.40**
40979	COMM	40440YAA7	HPQ 0.1653% MAT	Interest	09/20/2021	HEWLETT-PACKARD			1,070.64	**1,070.64**
40979	COMM	40440YAA7	HPQ 0.1653% MAT	Redemption	09/20/2021	HEWLETT-PACKARD		3,494,280.39		**3,494,280.39**
41089	COMM	89238EAC0	TLOT 0.39% MAT	Interest	09/20/2021	Toyota Lease Owner			4,225.00	**4,225.00**
41089	COMM	89238EAC0	TLOT 0.39% MAT	Redemption	09/20/2021	Toyota Lease Owner		402,402.93		**402,402.93**
41207	COMM	80286DAC2	SRT 0.51% MAT	Interest	09/20/2021	Santander Retail Aut			6,566.25	**6,566.25**
41207	COMM	80286DAC2	SRT 0.51% MAT	Redemption	09/20/2021	Santander Retail Aut		433,461.10		**433,461.10**
41321	COMM	43815EAC8	HAROT 0.41% MAT	Interest	09/20/2021	HONDA AUTO			4,714.99	**4,714.99**
41321	COMM	43815EAC8	HAROT 0.41% MAT	Redemption	09/20/2021	HONDA AUTO		352,874.62		**352,874.62**
		Totals for 09/20/2021					**299,999,437.51**	**324,555,234.85**	**611,151.47**	**25,166,948.81**
41383	COMM	16536HWN7	CHES DISC NOTE	Purchase	09/21/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41384	COMM	5148X0WN1	LANDES DISC NOTE	Purchase	09/21/2021	LANDES	124,999,722.22			**-124,999,722.22**
41385	COMM	63873JWN6	NATXNY DISC NOTE	Purchase	09/21/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41380	COMM	16536HWM9	CHES DISC NOTE	Redemption	09/21/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41381	COMM	5148X0WM3	LANDES DISC NOTE	Redemption	09/21/2021	LANDES		125,000,000.00		**125,000,000.00**
41382	COMM	63873JWM8	NATXNY DISC NOTE	Redemption	09/21/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Interest	09/21/2021	HONDA AUTO			20,366.58	**20,366.58**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Redemption	09/21/2021	HONDA AUTO		969,721.77		**969,721.77**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Interest	09/21/2021	HONDA AUTO			22,137.51	**22,137.51**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Redemption	09/21/2021	HONDA AUTO		556,427.23		**556,427.23**
40965	COMM	43813GAC5	HAROT 0.27% MAT	Interest	09/21/2021	HONDA AUTO			2,643.75	**2,643.75**
40965	COMM	43813GAC5	HAROT 0.27% MAT	Redemption	09/21/2021	HONDA AUTO		279,235.73		**279,235.73**
		Totals for 09/21/2021					**299,999,437.51**	**301,805,384.73**	**45,147.84**	**1,851,095.06**
41386	COMM	16536HWP2	CHES DISC NOTE	Purchase	09/22/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41387	COMM	44933MAC5	HALST 0.38% MAT	Purchase	09/22/2021	Hyundai Auto Lease S	25,247,714.88			**-25,247,714.88**
41388	COMM	5148X0WP6	LANDES DISC NOTE	Purchase	09/22/2021	LANDES	124,999,722.22			**-124,999,722.22**
41389	COMM	58772WAC7	MBART 0.46% MAT	Purchase	09/22/2021	MERCEDES -BENZ	20,247,351.30			**-20,247,351.30**
41390	COMM	63873JWP1	NATXNY DISC NOTE	Purchase	09/22/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41391	COMM	80286CAC4	SRT 0.5% MAT	Purchase	09/22/2021	Santander Retail Aut	7,499,643.00			**-7,499,643.00**
41392	COMM	98163HAB5	WOART 0.29% MAT	Purchase	09/22/2021	World Omni Auto Rec'	7,499,523.75			**-7,499,523.75**
41383	COMM	16536HWN7	CHES DISC NOTE	Redemption	09/22/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41384	COMM	5148X0WN1	LANDES DISC NOTE	Redemption	09/22/2021	LANDES		125,000,000.00		**125,000,000.00**
41385	COMM	63873JWN6	NATXNY DISC NOTE	Redemption	09/22/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40864	COMM	3133EMLE0	FEDERAL FARM CR	Interest	09/22/2021	FFCB NOTES			19,000.00	**19,000.00**
		Totals for 09/22/2021					**360,493,670.44**	**300,000,000.00**	**19,000.00**	**-60,474,670.44**
41393	COMM	16536HWQ0	CHES DISC NOTE	Purchase	09/23/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41394	COMM	5148X0WQ4	LANDES DISC NOTE	Purchase	09/23/2021	LANDES	124,999,722.22			**-124,999,722.22**
41395	COMM	63873JWQ9	NATXNY DISC NOTE	Purchase	09/23/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41386	COMM	16536HWP2	CHES DISC NOTE	Redemption	09/23/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41388	COMM	5148X0WP6	LANDES DISC NOTE	Redemption	09/23/2021	LANDES		125,000,000.00		**125,000,000.00**
41390	COMM	63873JWP1	NATXNY DISC NOTE	Redemption	09/23/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40612	COMM	3137EAEX3	FEDERAL HOME LN	Interest	09/23/2021	FHLMC NOTES			18,750.00	**18,750.00**
41330	COMM	6944PL2C2	PACLIF 0.5% MAT	Interest	09/23/2021	PACIFIC LIFE			100,000.00	**100,000.00**
41330	COMM	6944PL2C2	PACLIF 0.5% MAT	Accr Int	09/23/2021	PACIFIC LIFE		85,555.56	-85,555.56	**0.00**
		Totals for 09/23/2021					**299,999,437.51**	**300,085,555.56**	**33,194.44**	**119,312.49**
41396	COMM	16536HWT4	CHES DISC NOTE	Purchase	09/24/2021	CHESHAM FINANCE	74,999,562.50			**-74,999,562.50**
41397	COMM	345329AC0	FM 0.37% MAT	Purchase	09/24/2021	FORD MOTOR	20,996,312.40			**-20,996,312.40**
41398	COMM	63873JWT3	NATXNY DISC NOTE	Purchase	09/24/2021	Natixis NY Branch	99,999,583.34			**-99,999,583.34**
41022	COMM	7426M4WQ6	PEFCO DISC NOTE	Redemption	09/24/2021	PRIVATE EXPORT		35,000,000.00		**35,000,000.00**
41393	COMM	16536HWQ0	CHES DISC NOTE	Redemption	09/24/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41394	COMM	5148X0WQ4	LANDES DISC NOTE	Redemption	09/24/2021	LANDES		125,000,000.00		**125,000,000.00**
41395	COMM	63873JWQ9	NATXNY DISC NOTE	Redemption	09/24/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40611	COMM	3136G43C5	FEDERAL NATL MTG	Interest	09/24/2021	FNMA NOTES			15,000.00	**15,000.00**
		Totals for 09/24/2021					**195,995,458.24**	**335,000,000.00**	**15,000.00**	**139,019,541.76**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	09/25/2021	FNMA Multi-Family				**0.00**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	09/25/2021	FNMA Multi-Family				**0.00**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	09/25/2021	FNMA Multi-Family				**0.00**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	09/25/2021	FNMA Multi-Family				**0.00**
		Totals for 09/25/2021								**0.00**
41399	COMM	16536HWU1	CHES DISC NOTE	Purchase	09/27/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41402	COMM	254683CP8	DCENT 0.58% MAT	Purchase	09/27/2021	Discover Card Execut	19,995,718.00			**-19,995,718.00**
41400	COMM	5148X0WU5	LANDES DISC NOTE	Purchase	09/27/2021	LANDES	124,999,722.22			**-124,999,722.22**
41401	COMM	63873JWU0	NATXNY DISC NOTE	Purchase	09/27/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	09/27/2021	FNMA Multi-Family		0.01		**0.01**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	09/27/2021	FNMA Multi-Family		0.01		**0.01**
40613	COMM	3134GWU79	FEDERAL HOME LN	Redemption	09/27/2021	FHLMC NOTES		35,947,809.00		**35,947,809.00**
40613	COMM	3134GWU79	FEDERAL HOME LN	Cap G/L	09/27/2021	FHLMC NOTES		7,191.00		**7,191.00**
41396	COMM	16536HWT4	CHES DISC NOTE	Redemption	09/27/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41398	COMM	63873JWT3	NATXNY DISC NOTE	Redemption	09/27/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40613	COMM	3134GWU79	FEDERAL HOME LN	Interest	09/27/2021	FHLMC NOTES			67,415.63	**67,415.63**
40614	COMM	31422BR36	FAMCA FRN MAT	Interest	09/27/2021	FARMER MAC			21,680.55	**21,680.55**
38387	COMM	3137AYCE9		Interest	09/27/2021	FHLMC Multi-Family			44,700.00	**44,700.00**
38387	COMM	3137AYCE9		Redemption	09/27/2021	FHLMC Multi-Family		1,419,765.42		**1,419,765.42**
38391	COMM	3137AYCE9		Interest	09/27/2021	FHLMC Multi-Family			16,762.50	**16,762.50**
38391	COMM	3137AYCE9		Redemption	09/27/2021	FHLMC Multi-Family		532,144.60		**532,144.60**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	09/27/2021	FHLMC Multi-Family			10,727.55	**10,727.55**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	09/27/2021	FHLMC Multi-Family		441,167.29		**441,167.29**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	09/27/2021	FHLMC Multi-Family			55,334.00	**55,334.00**

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38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	09/27/2021	FHLMC Multi-Family		1,143,653.30		**1,143,653.30**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	09/27/2021	FNMA Multi-Family			0.39	**0.39**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	09/27/2021	FNMA Multi-Family		130.39		**130.39**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	09/27/2021	FNMA Multi-Family			0.39	**0.39**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	09/27/2021	FNMA Multi-Family		130.39		**130.39**
38666	COMM	3137AYCE9		Interest	09/27/2021	FHLMC Multi-Family			26,250.08	**26,250.08**
38666	COMM	3137AYCE9		Redemption	09/27/2021	FHLMC Multi-Family		818,215.04		**818,215.04**
38744	COMM	3137AYCE9		Interest	09/27/2021	FHLMC Multi-Family			44,700.00	**44,700.00**
38744	COMM	3137AYCE9		Redemption	09/27/2021	FHLMC Multi-Family		1,393,794.59		**1,393,794.59**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	09/27/2021	FHLMC Multi-Family			12,176.25	**12,176.25**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	09/27/2021	FHLMC Multi-Family		216,445.32		**216,445.32**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Interest	09/27/2021	FHLMC Multi-Family			29,425.00	**29,425.00**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Redemption	09/27/2021	FHLMC Multi-Family		458,244.34		**458,244.34**
38945	COMM	3137B3NA2	FHLMC REMIC	Interest	09/27/2021	FHLMC Multi-Family			28,723.64	**28,723.64**
38945	COMM	3137B3NA2	FHLMC REMIC	Redemption	09/27/2021	FHLMC Multi-Family		543,512.70		**543,512.70**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Interest	09/27/2021	FHLMC Multi-Family			28,817.00	**28,817.00**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Redemption	09/27/2021	FHLMC Multi-Family		439,801.01		**439,801.01**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Interest	09/27/2021	FNMA Multi-Family			66,549.77	**66,549.77**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Redemption	09/27/2021	FNMA Multi-Family		67,030.74		**67,030.74**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Interest	09/27/2021	FHLMC Multi-Family			58,838.40	**58,838.40**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Redemption	09/27/2021	FHLMC Multi-Family		1,589,162.39		**1,589,162.39**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Interest	09/27/2021	FNMA Multi-Family			53,173.61	**53,173.61**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Redemption	09/27/2021	FNMA Multi-Family		946,723.19		**946,723.19**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Interest	09/27/2021	FNMA Multi-Family			10,554.78	**10,554.78**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Redemption	09/27/2021	FNMA Multi-Family		11,614.26		**11,614.26**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Interest	09/27/2021	FNMA Multi-Family			30,687.25	**30,687.25**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Redemption	09/27/2021	FNMA Multi-Family		32,246.88		**32,246.88**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Interest	09/27/2021	FNMA Multi-Family			9,256.94	**9,256.94**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Redemption	09/27/2021	FNMA Multi-Family		192,088.66		**192,088.66**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Interest	09/27/2021	FNMA Multi-Family			12,944.22	**12,944.22**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Redemption	09/27/2021	FNMA Multi-Family		13,693.43		**13,693.43**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Interest	09/27/2021	FHLMC Multi-Family			33,914.59	**33,914.59**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Redemption	09/27/2021	FHLMC Multi-Family		263,454.72		**263,454.72**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Interest	09/27/2021	FNMA Multi-Family			11,151.39	**11,151.39**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Redemption	09/27/2021	FNMA Multi-Family		410,355.96		**410,355.96**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Interest	09/27/2021	FNMA Multi-Family			11,679.03	**11,679.03**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Redemption	09/27/2021	FNMA Multi-Family		12,569.52		**12,569.52**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Interest	09/27/2021	FNMA Multi-Family			15,428.61	**15,428.61**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Redemption	09/27/2021	FNMA Multi-Family		21,811.92		**21,811.92**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	09/27/2021	BMW VEHICLE			17,283.28	**17,283.28**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	09/27/2021	BMW VEHICLE		999,623.48		**999,623.48**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Interest	09/27/2021	FNMA Multi-Family			11,506.92	**11,506.92**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Redemption	09/27/2021	FNMA Multi-Family		64,299.37		**64,299.37**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Interest	09/27/2021	FNMA Multi-Family			13,116.77	**13,116.77**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Redemption	09/27/2021	FNMA Multi-Family		13,869.30		**13,869.30**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Interest	09/27/2021	FHLMC Multi-Family			39,263.47	**39,263.47**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Redemption	09/27/2021	FHLMC Multi-Family		703,093.05		**703,093.05**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Interest	09/27/2021	FHLMC Multi-Family			24,807.08	**24,807.08**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Redemption	09/27/2021	FHLMC Multi-Family		314,009.26		**314,009.26**
40511	COMM	3136AXVB8	FNMAM 2.646% MAT	Interest	09/27/2021	FNMA Multi-Family			34,771.40	**34,771.40**
40511	COMM	3136AXVB8	FNMAM 2.646% MAT	Redemption	09/27/2021	FNMA Multi-Family		11,265.51		**11,265.51**
40517	COMM	3138L0RM5A	FNMAM 2.135% MAT	Interest	09/27/2021	FNMA Multi-Family			1,587.37	**1,587.37**
40517	COMM	3138L0RM5A	FNMAM 2.135% MAT	Redemption	09/27/2021	FNMA Multi-Family		2,244.11		**2,244.11**
40526	COMM	3137BSP64	FHLMCM 2.34% MAT	Interest	09/27/2021	FHLMC Multi-Family			31,048.68	**31,048.68**
40526	COMM	3137BSP64	FHLMCM 2.34% MAT	Redemption	09/27/2021	FHLMC Multi-Family		179,289.20		**179,289.20**
40527	COMM	3132XHM68	FHLMCM 1.1% MAT	Interest	09/27/2021	FHLMC Multi-Family			18,510.89	**18,510.89**
40527	COMM	3132XHM68	FHLMCM 1.1% MAT	Redemption	09/27/2021	FHLMC Multi-Family		32,357.00		**32,357.00**
40530	COMM	09661RAD3	BMWOT 0.48% MAT	Interest	09/27/2021	BMW VEHICLE			3,400.00	**3,400.00**
40530	COMM	09661RAD3	BMWOT 0.48% MAT	Redemption	09/27/2021	BMW VEHICLE		241,582.67		**241,582.67**
40539	COMM	3137BKGH7	FHLMCM 2.712%	Interest	09/27/2021	FHLMC Multi-Family			10,079.01	**10,079.01**
40539	COMM	3137BKGH7	FHLMCM 2.712%	Redemption	09/27/2021	FHLMC Multi-Family		85,293.21		**85,293.21**
40540	COMM	3137FUZN7	FHLMCM 0.526%	Interest	09/27/2021	FHLMC Multi-Family			1,693.45	**1,693.45**
40540	COMM	3137FUZN7	FHLMCM 0.526%	Redemption	09/27/2021	FHLMC Multi-Family		10,895.76		**10,895.76**
40541	COMM	3138L7CU8	FNMAM 2.95% MAT	Interest	09/27/2021	FNMA Multi-Family			49,480.24	**49,480.24**
40541	COMM	3138L7CU8	FNMAM 2.95% MAT	Redemption	09/27/2021	FNMA Multi-Family		37,972.86		**37,972.86**
40630	COMM	3138LJWY2	FHLMCM 2.8% MAT	Interest	09/27/2021	FHLMC Multi-Family			31,692.28	**31,692.28**
40630	COMM	3138LJWY2	FHLMCM 2.8% MAT	Redemption	09/27/2021	FHLMC Multi-Family		22,086.78		**22,086.78**
40638	COMM	3137BYLE8	FHLMCM 2.7% MAT	Interest	09/27/2021	FHLMC Multi-Family			14,962.50	**14,962.50**
40638	COMM	3137BYLE8	FHLMCM 2.7% MAT	Redemption	09/27/2021	FHLMC Multi-Family		170,485.03		**170,485.03**
40743	COMM	3136AY6S7	FNMAM FRN MAT	Interest	09/27/2021	FNMA Multi-Family			55,205.98	**55,205.98**
40743	COMM	3136AY6S7	FNMAM FRN MAT	Redemption	09/27/2021	FNMA Multi-Family		13,099.50		**13,099.50**
40784	COMM	3137F72W4	FHLMCM 0.39025%	Interest	09/27/2021	FHLMC Multi-Family			3,526.75	**3,526.75**
40784	COMM	3137F72W4	FHLMCM 0.39025%	Redemption	09/27/2021	FHLMC Multi-Family		11,522.62		**11,522.62**
40812	COMM	3132XFFH6	FHLMCM 0.77% MAT	Interest	09/27/2021	FHLMC Multi-Family			29,837.50	**29,837.50**
40812	COMM	3132XFFH6	FHLMCM 0.77% MAT	Redemption	09/27/2021	FHLMC Multi-Family		959,647.81		**959,647.81**
40905	COMM	3138LEC33	FNMAM 2.38% MAT	Interest	09/27/2021	FNMA Multi-Family			20,466.90	**20,466.90**
40905	COMM	3138LEC33	FNMAM 2.38% MAT	Redemption	09/27/2021	FNMA Multi-Family		13,485.60		**13,485.60**
40976	COMM	3137FATE8	FHLMCM 2.982%	Interest	09/27/2021	FHLMC Multi-Family			74,550.00	**74,550.00**
40976	COMM	3137FATE8	FHLMCM 2.982%	Redemption	09/27/2021	FHLMC Multi-Family		999,848.23		**999,848.23**
40983	COMM	3137FF3W5	FHLMCM 0.602%	Interest	09/27/2021	FHLMC Multi-Family			2,115.99	**2,115.99**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40983	COMM	3137FF3W5	FHLMCM 0.602%	Redemption	09/27/2021	FHLMC Multi-Family		6,391.49		**6,391.49**
40990	COMM	05591RAC8	BMWLT 0.29% MAT	Interest	09/27/2021	BMW VEHICLE			2,924.17	**2,924.17**
40990	COMM	05591RAC8	BMWLT 0.29% MAT	Redemption	09/27/2021	BMW VEHICLE		427,566.80		**427,566.80**
41026	COMM	3137BVYN0	FHLMCM 3.035%	Interest	09/27/2021	FHLMC Multi-Family			47,430.02	**47,430.02**
41026	COMM	3137BVYN0	FHLMCM 3.035%	Redemption	09/27/2021	FHLMC Multi-Family		535,781.40		**535,781.40**
41131	COMM	3137BYLE8	FHLMCM 2.7% MAT	Interest	09/27/2021	FHLMC Multi-Family			11,250.00	**11,250.00**
41131	COMM	3137BYLE8	FHLMCM 2.7% MAT	Redemption	09/27/2021	FHLMC Multi-Family		127,452.15		**127,452.15**
41133	COMM	3137FYZG4	FHLMCM 0.861%	Interest	09/27/2021	FHLMC Multi-Family			5,020.80	**5,020.80**
41133	COMM	3137FYZG4	FHLMCM 0.861%	Redemption	09/27/2021	FHLMC Multi-Family		781.27		**781.27**
41164	COMM	3137FPJ55	FHLMCM 2.606%	Interest	09/27/2021	FHLMC Multi-Family			44,910.76	**44,910.76**
41164	COMM	3137FPJ55	FHLMCM 2.606%	Redemption	09/27/2021	FHLMC Multi-Family		372,295.68		**372,295.68**
41192	COMM	3137FBAB2	FHLMCM 3.038%	Interest	09/27/2021	FHLMC Multi-Family			25,317.00	**25,317.00**
41192	COMM	3137FBAB2	FHLMCM 3.038%	Redemption	09/27/2021	FHLMC Multi-Family		190,391.36		**190,391.36**
41200	COMM	3140HWXG2	FNMAM 2.47% MAT	Interest	09/27/2021	FNMA Multi-Family			10,326.96	**10,326.96**
41200	COMM	3140HWXG2	FNMAM 2.47% MAT	Redemption	09/27/2021	FNMA Multi-Family		7,199.05		**7,199.05**
41222	COMM	3137H14A1	FHLMCM 0.854%	Interest	09/27/2021	FHLMC Multi-Family			13,771.40	**13,771.40**
41222	COMM	3137H14A1	FHLMCM 0.854%	Redemption	09/27/2021	FHLMC Multi-Family		250,920.43		**250,920.43**
41228	COMM	3136AUG21	FNMAM 2.49552%	Interest	09/27/2021	FNMA Multi-Family			74,081.35	**74,081.35**
41228	COMM	3136AUG21	FNMAM 2.49552%	Redemption	09/27/2021	FNMA Multi-Family		381,332.50		**381,332.50**
41319	COMM	3132XFD47	FHLMCM 2.1% MAT	Interest	09/27/2021	FHLMC Multi-Family			27,125.00	**27,125.00**
41319	COMM	3132XFD47	FHLMCM 2.1% MAT	Redemption	09/27/2021	FHLMC Multi-Family		285,839.59		**285,839.59**
41319	COMM	3132XFD47	FHLMCM 2.1% MAT	Interest	09/27/2021	FHLMC Multi-Family			-20,125.00	**-20,125.00**
41319	COMM	3132XFD47	FHLMCM 2.1% MAT	Redemption	09/27/2021	FHLMC Multi-Family				**0.00**
		Totals for 09/27/2021					**319,995,155.51**	**229,394,678.15**	**1,431,830.49**	**-89,168,646.87**
41403	COMM	16536HWV9	CHES DISC NOTE	Purchase	09/28/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41404	COMM	5148X0WV3	LANDES DISC NOTE	Purchase	09/28/2021	LANDES	124,999,722.22			**-124,999,722.22**
41405	COMM	63873JWV8	NATXNY DISC NOTE	Purchase	09/28/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
41399	COMM	16536HWU1	CHES DISC NOTE	Redemption	09/28/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41400	COMM	5148X0WU5	LANDES DISC NOTE	Redemption	09/28/2021	LANDES		125,000,000.00		**125,000,000.00**
41401	COMM	63873JWU0	NATXNY DISC NOTE	Redemption	09/28/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
39357	COMM	3133EKEW2	FEDERAL FARM CR	Interest	09/28/2021	FFCB NOTES			228,000.00	**228,000.00**
40720	COMM	3133EMBE1	FEDERAL FARM CR	Interest	09/28/2021	FFCB NOTES			21,675.00	**21,675.00**
		Totals for 09/28/2021					**299,999,437.51**	**300,000,000.00**	**249,675.00**	**250,237.49**
41407	COMM	16536HWW7	CHES DISC NOTE	Purchase	09/29/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41406	COMM	14687JAD1	CRVNA 0.38% MAT	Purchase	09/29/2021	Carvana Auto	9,998,926.00			**-9,998,926.00**
41408	COMM	3133EM4X7	FEDERAL FARM CR	Purchase	09/29/2021	FFCB NOTES	12,670,115.80			**-12,670,115.80**
41409	COMM	5148X0WW1	LANDES DISC NOTE	Purchase	09/29/2021	LANDES	124,999,722.22			**-124,999,722.22**
41410	COMM	63873JWW6	NATXNY DISC NOTE	Purchase	09/29/2021	Natixis NY Branch	99,999,861.12			**-99,999,861.12**
37018	COMM	3133EGWH4	FEDERAL FARM CR	Redemption	09/29/2021	FFCB NOTES		10,000,000.00		**10,000,000.00**

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
41403	COMM	16536HWV9	CHES DISC NOTE	Redemption	09/29/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41404	COMM	5148X0WV3	LANDES DISC NOTE	Redemption	09/29/2021	LANDES		125,000,000.00		**125,000,000.00**
41405	COMM	63873JWV8	NATXNY DISC NOTE	Redemption	09/29/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
37018	COMM	3133EGWH4	FEDERAL FARM CR	Interest	09/29/2021	FFCB NOTES			64,000.00	**64,000.00**
		Totals for 09/29/2021					**322,668,479.31**	**310,000,000.00**	**64,000.00**	**-12,604,479.31**
41411	COMM	16536HX14	CHES DISC NOTE	Purchase	09/30/2021	CHESHAM FINANCE	74,999,854.17			**-74,999,854.17**
41412	COMM	313313SE9	FCDN DISC NOTE	Purchase	09/30/2021	FFCB DISCOUNT	14,998,550.00			**-14,998,550.00**
41413	COMM	5148X0X18	LANDES DISC NOTE	Purchase	09/30/2021	LANDES	124,999,861.10			**-124,999,861.10**
41414	COMM	60710R7K5	MIZBNK 0.17% MAT	Purchase	09/30/2021	MIZUHO BANK	70,000,000.00			**-70,000,000.00**
41415	COMM	7426M3ET2	PEFCO ZERO CPN	Purchase	09/30/2021	PRIVATE EXPORT	24,986,722.22			**-24,986,722.22**
41416	COMM	78012UU94	RBC 0.18% MAT	Purchase	09/30/2021	ROYAL BANK OF	50,000,000.00			**-50,000,000.00**
41417	COMM	82124LZ96	SHEF DISC NOTE	Purchase	09/30/2021	SHEFFIELD	49,991,250.00			**-49,991,250.00**
41030	COMM	3130ALSX3	FHLB 1.02% MAT	Redemption	09/30/2021	FHLB NOTES		20,000,000.00		**20,000,000.00**
41407	COMM	16536HWW7	CHES DISC NOTE	Redemption	09/30/2021	CHESHAM FINANCE		75,000,000.00		**75,000,000.00**
41409	COMM	5148X0WW1	LANDES DISC NOTE	Redemption	09/30/2021	LANDES		125,000,000.00		**125,000,000.00**
41410	COMM	63873JWW6	NATXNY DISC NOTE	Redemption	09/30/2021	Natixis NY Branch		100,000,000.00		**100,000,000.00**
40625	COMM	3134GWUD6	FEDERAL HOME LN	Interest	09/30/2021	FHLMC NOTES			30,000.00	**30,000.00**
40626	COMM	3134GWWR3	FEDERAL HOME LN	Interest	09/30/2021	FHLMC NOTES			63,700.00	**63,700.00**
41030	COMM	3130ALSX3	FHLB 1.02% MAT	Interest	09/30/2021	FHLB NOTES			102,000.00	**102,000.00**
41031	COMM	3130ALMM3	FEDERAL HOME	Interest	09/30/2021	FHLB NOTES			46,000.00	**46,000.00**
		Totals for 09/30/2021					**409,976,237.49**	**320,000,000.00**	**241,700.00**	**-89,734,537.49**
		Grand Total					**15,331,708,662.**	**16,451,653,380.**	**22,970,729.05**	**1,142,915,447.6**

10.08

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

Subject: **Resolution # 18-21/22 Inventory Disposal**

Staff Analysis:
Authorize the sale, donation, and/or disposal of surplus property under the direction of the Procurement Manager, Assistant Superintendent of Business Services and/or Superintendent.

Recommendation:
Staff recommends approval of the sale, donation and/or disposal, of surplus property listed on the attached sheets dated December 16, 2021.

Prepared by: Maria J. Martinez M.M. Title: Procurement Manager

Approved by: Kolvira Chheng Kc Title: Assistant Superintendent of Business Services

To the Board of Trustees: Meeting: December 16, 2021

Recommend Approval

10.08

Agenda Placement

Hilaria Bauer

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
OF
SANTA CLARA COUNTY, STATE OF CALIFORNIA

RESOLUTION # 18-21/22
SALE, DONATION - AND/OR DISPOSAL OF SURPLUS PROPERTY

WHEREAS, Education Code 39520 authorizes the sale for cash of any property belonging to the District if the property is not required for school purposes, or it should be disposed for the purpose of replacement, or it is unsatisfactory or not suitable for school use.

WHEREAS, Education Code 39520 and 39521 provides procedures to implement such a sale, public or private, conducted by staff;

WHEREAS, Education Code 39521 provides for donations to charitable organizations or disposal if property is of insufficient value to defray costs of arranging a sale;

NOW, THEREFORE, BE IT RESOLVED, that this Board does hereby authorize such a sale, donation, and/or disposal of surplus property, under the direction of the Procurement Manager, Assistant Superintendent of Business Services, and /or Superintendent.

PASSED AND ADOPTED this 16th day of December, 2021, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, and State of California.

Ayes_____ Noes_____ Absent_____ Abstain_____

Board of Trustees:

Corina Herrera-Loera, Board President _____

Andrés Quintero, Board Vice President _____

Ernesto Bejarano, Board Clerk _____

Linda Chávez, Board Member _____

Minh Pham, Board Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on December 16, 2021.

_____
Hilaria Bauer, Ph.D., Superintendent

ITSS SURPLUS EQUIPMENT DISPOSAL
Date: December 16, 2021
Resolution # 18-21/22

DEVICES	SERIAL	TAG
ELMO TT-02 DOC CAM	462562	00015654
APPLE IPOD A1367	N/A	N/A
APPLE IPOD A1367	N/A	N/A
RENAISSANCE LEARNING RECEIVER REC-1001	00150728000026DA	2000885
LOGITECH STANDING WEBCAM	N/A	N/A
3COM 8 PORT SWITCH	LRWG1W0179493	N/A
3COM 8 PORT SWITCH	LRWG105733	N/A
3COM 8 PORT SWITCH	LRWG3B0289396	N/A
3COM 8 PORT SWITCH	7RWF062898	N/A
LINKSYS 8 PORT SWITCH	RA34056002295	N/A
HP JETDIRECT EN3700	SG521E4CC0	N/A
APC UPS NETWORK CARD	SZA1315001782	N/A
AUDIX PH5-VS SPEAKER	07615	00014811
AUDIX PH5-VS SPEAKER	07495	N/A
AUDIX PH5-VS SPEAKER	N/A	N/A
HP LASERJET 1320DN PRINTER	CNDC52213X	10856
HP LASERJET 2420DN PRINTER	CNDJC44991	10597
MONITORS	**SERIAL**	**TAG**
HP 19" MONITOR	N/A	N/A
DESKTOPS	**SERIAL**	**TAG**
DELL OPTIPLEX 755	JM6Y4H1	14865
DELL OPTIPLEX 755	1N6Y4H1	14862
DELL OPTIPLEX 755	2N6Y4H1	14863
DELL OPTIPLEX 755	3N6Y4H1	14864
DELL OPTIPLEX 780	2TP6FN1	16151
DELL OPTIPLEX 780	5KBGJN1	16172
DELL OPTIPLEX 780	5KHBGQ1	16936
DELL OPTIPLEX 780	4TP6FN1	N/A
DELL OPTIPLEX 780	5TP6FN1	00016152
DELL OPTIPLEX 780	1TP6FN1	00016154
DELL OPTIPLEX 760	4XMQ4J1	N/A
DELL OPTIPLEX 780	3TP6FN1	N/A
DELL OPTIPLEX 780	GLRXBP1	00016638
HP ZERO CLIENT	2TG4381966	N/A
HP ZERO CLIENT	2TG3060671	N/A
HP ZERO CLIENT	2TG3061107	N/A
HP ZERO CLIENT	2TG3061987	N/A
PROJECTORS	**SERIAL**	**TAG**
HITACHI CP-RS56	H6E005771	12161
HITACHI CP-X305	F7JU02149	13397
HITACHI CP-X305	F7KU02664	13615
HITACHI CP-X305	F7LU03074	13628
HITACHI CP-X305	F8CU04682	14368
HITACHI CP-X2515N	F2FU03571	2001107
PROMETHEAN UST-P1	WUST-P14131272	N/A
HITACHI CP-X2542WN	F7FU06166	001000352
PROMETHEAN UST-P1	WUST-P14230539	N/A
HITACHI CP-X2530WN	F3KU10548	2002305
HITACHI CP-X2530WN	F3KU10524	2002307
PROMETHEAN UST-P1	WUST-P14141407	N/A
PROMETHEAN UST-P1	WUST-P14131271	N/A
PROMETHEAN DLP PROJECTOR	WPRM-352130322	2000703-01

HITACHI CP-X305	F7LU03257	00013617
HITACHI CP-RS55	H6B000423	08886
HITACHI CP-X2530WN	F3KU10505	2002303
LAPTOPS	**SERIAL**	**TAG**
HP MT40	CNU350DW12	N/A
HP MT40	CNU350CS9B	N/A
HP MT40	CNU350DRD4	N/A
HP MT40	CNU402C4X0	N/A
HP MT40	CNU350DS6F	N/A
HP MT40	CNU350DVX2	N/A
HP MT40	CNU344B4LJ	N/A
HP MT40	CNU3259N69	N/A
HP MT40	CNU411B4R0	N/A
HP MT40	CNU411B52B	N/A
HP MT40	CNU411B4PV	N/A
HP MT40	CNU411B4SC	N/A
HP MT40	CNU411B4WT	N/A
HP MT40	CNU411B4CN	N/A
HP MT40	CNU411B535	N/A
HP MT40	CNU411B4RD	N/A
HP MT40	CNU411B548	N/A
HP MT40	CNU411B534	N/A
HP MT40	CNU411B4ST	N/A
HP MT40	CNU411B4SZ	N/A
HP MT41	5CG521232P	N/A
HP MT41	CNU417C02L	N/A
DELL LATITUDE E5400	24QMQN1	00016317
DELL LATITUDE E5400	BN3ZYJ1	00015898
DELL LATITUDE E5400	J3QMQN1	00016275
DELL LATITUDE E5400	9M3ZYJ1	00015913
DELL LATITUDE E5400	7P3ZYJ1	00015851
DELL LATITUDE E5400	8T7NCL1	00015957
DELL LATITUDE E5400	N/A	00016100
DELL LATITUDE E5400	3CQZYJ1	00016022
DELL LATITUDE E5400	8L3ZYJ1	00015881
DELL LATITUDE E5500	GDBH9K1	N/A
DELL LATITUDE E5400	D1QMQN1	00016250
DELL LATITUDE E5400	5M3ZYJ1	00015879
HP PROBOOK 430 G1	2CE3340Q96	N/A
HP MT40	CNU402C56S	N/A
DELL LATITUDE P16G	5SWCBT1	2000756
HP MT41	CNU420CHZ5	N/A
HP MT41	CNU417C067	N/A
HP MT40	CNU3259NFT	2002192
DELL LATITUDE D630	BLQHGD1	00013195
DELL LATITUDE E5400	N/A	00016130
DELL LATITUDE E5400	N/A	00016216
DELL LATITUDE E5400	N/A	00016269
DELL LATITUDE E5400	1CQZYJ1	00016017
DELL LATITUDE E5400	75KNQN1	00016257
DELL LATITUDE E5400	N/A	00015986
DELL LATITUDE E5400	5GQZYJ1	00015991
DELL LATITUDE E5400	6L3ZYJ1	00015874
DELL LATITUDE E5400	8CQZYJ1	00015980
DELL LATITUDE E5400	8K6PCL1	00016149
DELL LATITUDE E5400	N/A	00015899
DELL LATITUDE E5400	GN3ZYJ1	00015858
DELL LATITUDE E5400	64QMQN1	00016312
DELL LATITUDE E5400	N/A	00016222

DELL LATITUDE E5500	7NMD9K1	N/A
DELL LATITUDE E5500	8NMD9K1	N/A
HP MT41	5CG521237P	2008868
HP MT41	5CG5211NO3	2009356
DELL LATITUDE E5500	5Q2CNN1	16524
CHROMEBOOKS	**SERIAL**	**TAG**
ACER C740	NXEF2AA0025520BED77600	2012353
ACER C740	NXEF2AA0025520BF277600	2012342
ACER C740	NXEF2AA0025511E1247600	2012545
ACER C731	NXGM8AA001825159637600	N/A
ACER C731	NXGM8AA00181402D097600	N/A
ACER C740	NXEF2AA0025511E3E77600	2012332
ACER C731	NXGM8AA001809128AA7600	N/A
ACER C730	NXGC1AA0026341C75A7600	N/A
ACER C731	NXGM8AA0017491462F7600	N/A
ACER C731	NXGM8AA006850041F77600	N/A
ACER C731	NXGM8AA0018100D92E7600	N/A
ACER C731	NXGM8AA006850042197600	N/A
ACER C731	NXGM8AA00684702A587600	N/A
ACER C731	NXGM8AA00173517FB87600	N/A
ACER C731	NXGM8AA001809128F17600	N/A
ACER C731	NXGM8AA001750153C47600	N/A
ACER C731	NXGM8AA00173317DF27600	N/A
ACER C731	NXGM8AA0068500417E7600	N/A
ACER C731	NXGM8AA001735173047600	N/A
ACER C730	NXGC1AA0026281B2ED7600	N/A
ACER C740	NXEF2AA0025300EAB27600	N/A
ACER C740	NXEF2AA0025300F32B7600	N/A
ACER C740	NXEF2AA00252802D087600	N/A
ACER C740	NXEF2AA0025300EA987600	N/A
ACER C740	NXEF2AA0025300F8577600	N/A
ACER C740	NXEF2AA0025300F84F7600	N/A
ACER C740	NXEF2AA0025300E68D7600	N/A
ACER C740	NXEF2AA0025300F7A17600	N/A
ACER C740	NXEF2AA0025300E68F7600	N/A
ACER C740	NXEF2AA0025511E50F7600	N/A
ACER C740	NXEF2AA0025300E66D7600	N/A
ACER C740	NXEF2AA0025511DF097600	N/A
ACER C740	NXEF2AA0025300F7857600	N/A
ACER C740	NXEF2AA0025300F32C7600	N/A
ACER C730	NXGC1AA00263421F1A7600	N/A
ACER C740	NXEF2AA0025300EDC97600	N/A
ACER C740	NXEF2AA0025280330F7600	N/A
ACER C730	NXGC1AA00263417E9A7600	N/A
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ACER C731	NXGM8AA00181402BD97600	N/A
ACER C731	NXGM8AA006850041BB7600	N/A
ACER C731	NXGM8AA00181212A2E7600	N/A
ACER C731	NXGM8AA006847032077600	N/A
ACER C731	NXGM8AA006847030607600	N/A
ACER C730	NXGC1AA00263314F657600	N/A
ACER C731	NXGM8AA00173310B107600	N/A
ACER C731	NXGM8AA00173310B7B7600	N/A
ACER C731	NXGM8AA0017510181F7600	N/A
ACER C721	NXHBNAA0010210DEB17600	N/A
ACER C740	NXEF2AA00252802C367600	N/A
ACER C740	NXEF2AA00252802C597600	N/A
ACER C740	NXEF2AA0025511E1367600	N/A

ACER C740	NXEF2AA0025511E12E7600	N/A
ACER C740	NXEF2AA00252811D577600	N/A
ACER C740	NXEF2AA00252909B687600	N/A
ACER C740	NXEF2AA00252802C287600	N/A
ACER C740	NXEF2AA002530142617600	N/A
ACER C720	NXSHEAA0064470FF0C7600	N/A
ACER C740	NXEF2AA00252909BF97600	N/A
ACER C740	NXEF2AA0025520BEFC7600	N/A
ACER C740	NXEF2AA0025300E68B7600	N/A
ACER C740	NXEF2AA0025511E1E67600	N/A
ACER C740	NXEF2AA0025520BF137600	N/A
ACER C720	NXSHEAA0064470FF257600	N/A
ACER C740	NXEF2AA00252801B517600	N/A
ACER C730	NXGC1AA00263421F747600	N/A
ACER C730	NXGC1AA00262810E4C7600	N/A
ACER C730	NXGC1AA0026281B2C27600	N/A
ACER C731	NXGM8AA006847031D47600	N/A
NETWORK DEVICES	**SERIAL**	**TAG**
CISCO 2851 ROUTER	FTX1214A3AU	00014080
CISCO 2851 ROUTER	FTX1214A3AT	00014073
CISCO 2851 ROUTER	FTX1214A3B3	00014079
CISCO 2821 ROUTER	FTX1214A3CZ	00014088
CISCO 2821 ROUTER	FTX1214A3D0	00014090
CISCO 3845 ROUTER	FTX1346AJLT	1001508
CISCO 2851 ROUTER	FTX1214A3AX	00014076
CISCO 2851 ROUTER	FTX1214A3B4	00014077
CISCO 2851 ROUTER	FTX1214A3AR	00014085
CISCO 2851 ROUTER	FTX1453AHX8	N/A
CISCO 2851 ROUTER	FTX1214A3B0	00014084
CISCO 2851 ROUTER	FTX1236A07D	00014941
DELL POWEREDGE 2161 DS-2	8TZL91	11804
DELL POWEREDGE 2161 DS	BSQT331	10049
HARD DRIVE STORAGE RACK	FCNCH082501886	00014855
DELL POWEREDGE 1950	970M0G1	00014522
DELL POWEREDGE 1950	180M0G1	00014523
HARD DRIVE STORAGE RACK	GJ8V7B1	00012875
R5000-17HS	B081000573	N/A
R5000-17HS	B082601531	N/A
TRIPP-LITE SMARTPRO UPS	970ALCSM538900402	N/A
CISCO 1000	02044899	N/A
CISCO 1000	02045438	N/A
CISCO 1000	05195036	N/A
CISCO 1000	02045057	N/A
CISCO 7200VXR	284193	08881
DELL POWEREDGE 2850	DW5Z181	00014874
CISCO CATALYST 3500	FAB0534U3ZS	07038
DELL POWEREDGE 2850	3RX0W71	11411
CISCO IRONPORT M160	HR5DFP1	00017003
CISCO 5585	JMX170280MU	1000082
CISCO IRONPORT C370	FDT5DQ1	00017004
CISCO IRONPORT C370	41T5DQ1	00017005
CISCO CATALYST 2950	FOC0829Y17H	N/A
CISCO CATALYST 2950	FOC0829T069	N/A
CISCO CATALYST 3750	FDO1344X1BH	N/A
CISCO CATALYST 3750	CAT0928R0GJ	N/A
CISCO CATALYST 3560	FDO1339X0KL	00015448
GADZOOX GILBRATAR-GLS	USG1008154	N/A
CISCO 2851 ROUTER	FTX1214A3AS	00014074
VBRICK 9190-4300	01050800137	00015386

VBRICK 9190-4300	01050800101	00015385
VBRICK 9190-4300	01050800144	00015387
VBRICK 9190-4300	01050800098	00015389
VBRICK 9190-4300	01050800097	00015394
VBRICK 9190-4300	01050800089	00015395
VBRICK 9190-4300	01050800136	00015392
VBRICK 9190-4300	01050800094	00015393
VBRICK 9190-4300	01050800090	00015381
VBRICK 9190-4300	01050800103	00015384
VBRICK 9190-4300	01050800141	00015376
VBRICK 9190-4300	01050800138	00015390
VBRICK 9190-4300	01050800095	00015388
VBRICK 9190-4300	01050800102	00015383
VBRICK 9190-4300	01050800143	00015391
VBRICK 9190-4300	01050800096	00015382
VBRICK 9190-4300	01050800099	00015375
VBRICK 9190-4300	01050800139	00015373
VBRICK 9190-4300	01050800100	00015370
VBRICK 9190-4300	01050800140	00015378
VBRICK 9190-4300	01050800091	00015371
VBRICK 9190-4300	01050800142	00015380
VBRICK 9190-4300	01050800145	00015379
VBRICK 9190-4300	01050800093	00015372
VBRICK 9190-4300	01050800146	00015374
VBRICK 9190-4300	01050800092	00015377

10.09

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Approve/Ratify Notices of Employment and Changes of Status/December 16, 2021.**

Staff Analysis:

This item includes recommendations such as new hires, leaves of absence, or other changes in employee status.

Recommendation:

District administration is recommending that the Board of Trustees approve the hiring of any new employee, and any change of status for existing employees.

Submitted by: Tereasa Smith TRS Title: Director, Human Resources

To the Board of Trustees: **Recommend Approval**

10.09

Agenda Placement

Meeting: December 16, 2021
Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-
HUMAN RESOURCES DEPARTMENT
MEETING OF THE BOARD OF TRUSTEES
December 16, 2021

CERTIFICATED OFFER OF EMPLOYMENT:

I. New Hire:

1.	Hernandez, Roberto	Counselor, K-5/Student Services Dept.	11/29/2021
2.	Palomo, Mariela	Counselor, K-5/Student Services Dept.	11/30/2021
3.	Surber, Robinson	Teacher, Newcomer/Renaissance II	11/18/2021

II. Short Term Employees:

1.	Artiaga, Ariel	Student Counselor/Student Services Dept.	12/14/2021-06/30/2022
2.	Chase, Taylor	Student Counselor/Student Services Dept.	12/14/2021-06/30/2022
3.	Sarabia, Ricardo	Student Counselor/Student Services Dept.	12/14/2021-06/30/2022
4.	Torres, Jasmine	Student Counselor/Student Services Dept.	12/14/2021-06/30/2022

III. New Hire-Substitute Teacher:

1.	Jennifer Hinojosa	11/30/2021

CLASSIFIED PERSONNEL – December 16, 2021

CLASSIFIED OFFERS OF EMPLOYMENT:/

I. New Employee:

1.	Alexander, Latisha Annette	Bus Driver/Transportation	11/01/2021
2.	Rivera, Melvin	Bus Driver/Transportation	11/15/2021
3.	Tripodi, Brenda	Campus Paraeducator/Linda Vista	11/17/2021

II. Re-Hire from Personal Leave of Absence:

1.	Torres, Gloria	Campus Paraeducator/San Antonio	11/03/2021

III. Change of Status:

1.	Andrew, Raul F	Maintenance Worker II/M.O.T	09/01/2021
2.	Morataya, Frannco S	Maintenance Worker III/M.O.T	07/01/2021
3.	Razo, Bertha	Community Liaison/Dorsa	11/15/2021
4.	Solis, Rubi	Community Liaison & School Office Assistant/ L.U.C.H.A	11/01/2021

IV. Retirement:

1.	Brasil, Maria	Paraeducator Special Education II / Renaissance II	12/31/2021
2.	Del Rosario, Lourdes	Child Nutrition I/Russo-McEntee Academy	01/01/2021
3.	Ugapo, Elisapeta	Administrative Assistant/State & Federal	12/31/2021

V. Contracted Employee:

1.	Rollerson, Dion	Basketball Coach / Aptitud	10/04/2021-01/25/2022