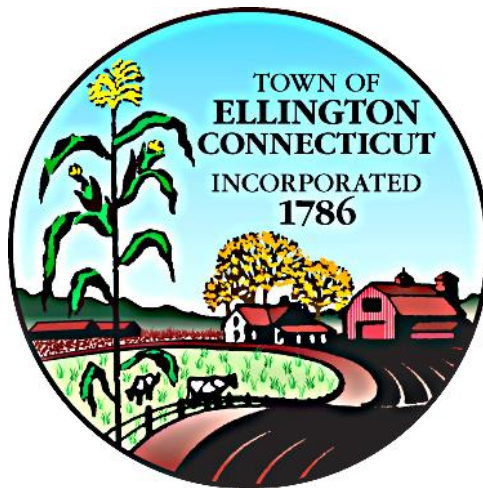


TOWN OF ELLINGTON

CODE OF ETHICS



**Board of Selectmen Approved
January 13, 2014**

**Revised:
June 8, 2021
September 13, 2021**

TOWN OF ELLINGTON ~ CODE OF ETHICS

Table of Contents		
Section	Subject	Page
Section 1	Statement of Purpose	1
Section 2	Definitions	1
Section 3	Ethics Commission – Establishment, Appointments, Terms, Responsibilities and Procedures	3
Section 4	Conflict of Interest with Discharge of Duties and Standards of Ethical Conduct	5
Section 5	Statute of Limitations	6
Section 6	Advisory Opinions	7
Section 7	Procedure for Receiving Complaints, Jurisdiction, Preliminary Reviews, Evaluations and Hearings	7
Section 8	Penalties	10
Section 9	Appeal Process	11
Section 10	Distribution of the Code of Ethics	12
Section 11	Severability	12
Forms	Advisory Opinion Request	13
	Ethics Complaint Form	15
	Acknowledgement of Receipt	17

TOWN OF ELLINGTON ~ CODE OF ETHICS

Section 1. Statement of Purpose

The purpose of the Town of Ellington Code of Ethics is to:

- Provide a Code of ethical conduct to guide its elected officials, appointed officials and employees in the proper discharge of their official responsibilities.
- Provide a Code of Ethics for the prevention of the misuse of one's official position for personal financial gain.
- Provide an independent Commission to hear, review and evaluate complaints under the Code of Ethics which is a critical link between Persons Subject to the Code and our residents' confidence in ethical Town governance.

Section 2. Definitions

Advisory Opinions: Written opinions of the Ethics Commission regarding whether situations or activities may or may not result in a violation of the Code of Ethics not pertaining to a specific Person Subject to the Code. (Refer to Section 6 for further details).

Appointed Official: A person who is appointed by the Board of Selectmen to serve on any appointed board, agency or commission of the Town, with or without compensation, in accordance with the Town Charter.

Business with which he is associated: Any sole proprietorship, partnership, firm, corporation, trust or other entity through which business for profit or not for profit is conducted in which a Person Subject to the Code or his Immediate Family Member is a director, officer, owner, limited or general partner, beneficiary or holder of stock constituting 5% or more of the total outstanding stock or any class; provided, however that a Person Subject to the Code shall not be deemed to be associated with a not for profit entity solely by virtue of his or his Immediate Family Member's status as an unpaid director or officer of the not for profit entity.

Code: The Code of Ethics adopted by the Board of Selectmen in accordance with Section 925 of the Town Charter.

Complainant: The person who alleges a violation of the Code of Ethics by a Person Subject to the Code.

Confidential Information: Information, whether transmitted orally or in writing, which is obtained by reason of the public position or office held and is of such nature

TOWN OF ELLINGTON ~ CODE OF ETHICS

that it is not, at the time of transmission, a matter of public record or public knowledge, and not subject to disclosure under the Freedom of Information Act.

Conflict of Interest: See Section 4A of the Code.

Elected Official: A person who is elected by electors of the Town, with or without compensation, to any elected board, agency or commission of the Town in accordance with the Town Charter.

Employee/Personnel: A person who holds a compensated position of employment with the Town, with the exception of (a) individuals who receive only stipends; (b) seasonal workers; and/or (c) independent contractors.

Gift: Anything of value, including without limitation entertainment, food, beverage, travel and lodging, payment, forbearance, or rendering of service, to the extent that consideration of equal or greater value is not received.

The term "gift" shall not include: a political contribution otherwise reported by law, services provided to support a political candidate or political party without compensation by persons volunteering their time; a commercially reasonable loan made on terms not more favorable than loans made in the ordinary course of business; anything of value received because of a family member or other close personal relationship with the donor; a meal and/or beverages provided at an event and/or the registration or entrance fee to attend such an event, in which the Person Subject to the Code participates in his official capacity; an occasional non-pecuniary gift, provided the value shall not exceed \$25.00 for any one gift or a cumulative value of all such gifts provided by a donor to a Person Subject to the Code in any calendar year of \$100.00 (said \$100 limit shall apply to any and all gifts from all persons within one organization and to any and all gifts from all organizations under common control); an award publicly presented in recognition of public service, or any other gift which would have been offered or given to a Person Subject to the Code if he were not an Elected Official, Appointed Official or Town Employee.

Immediate Family Member: Includes spouse, domestic partner, parents, step-parents, brother, sister, children, grandparents and grandchildren, as well as any other person who permanently resides in the individual's household, whether related or not.

Person Subject to the Code: A person bound by reason of his office or position not to violate the provisions of this Code of Ethics and includes Appointed Officials, Elected Officials, Employee/Personnel, Town Attorney and Town Engineer.

Personal Financial Interest: A direct or indirect pecuniary or material benefit accruing to a Person Subject to the Code of Ethics or an Immediate Family Member.

TOWN OF ELLINGTON ~ CODE OF ETHICS

Probable Cause: The existence of facts and circumstances within one's knowledge, and of which one has reasonably trustworthy information, sufficient in itself to warrant a person of reasonable caution to believe that a violation of the Code of Ethics has occurred.

Proprietary Interest: A financial asset that is privately owned and/or controlled.

Respondent: The person against whom a complaint is brought.

Usage: When the context so requires, the masculine gender shall include the feminine and neuter genders, and the singular shall include the plural, and the plural the singular.

Section 3. Ethics Commission - Establishment; Appointments; Terms; Responsibilities and Procedures

In Section 7-148h(a) of the General Statutes, the Legislature of the State of Connecticut delegates to local government units the power to establish a commission to investigate allegations of unethical conduct, corrupting influence, illegal activities or other behavior levied against any Elected Official, Appointed Official or Employee that would reflect adversely against the interests of the Town of Ellington. Therefore, the Town of Ellington has included in the Town Charter, Section 925, establishing an Ethics Commission as follows:

“The Board of Selectmen shall appoint an Ethics Commission, in accordance with the provisions of Section 902 of this charter, consisting of five (5) members to serve staggered terms of four (4) years. The Ethics Commission shall promote and affirm ethical behavior of the Town’s boards, commissions, employees, elected and appointed officials. The Ethics Commission shall draft an Ethics Code for the Town for approval by the Board of Selectmen. The Ethics Commission will receive inquiries and requests for advisory opinions from town officials, employees, consultants and residents regarding possible code violations and will respond with formal procedures. The Ethics Commission will review, evaluate, resolve and adjudicate, as appropriate, ethical issues and concerns; disseminate informational and educational materials to enhance public awareness of the code; and maintain open-minded impartiality in all Ethics Commission dealings consistent with the application of precedent and rationale in its decision making.”

The Ethics Commission has established the following rules by which it shall function:

- A. Vacancies shall be filled by the Board of Selectmen in accordance with the Town Charter.
- B. The Ethics Commission shall elect a Chairman and Vice Chairman annually.

TOWN OF ELLINGTON ~ CODE OF ETHICS

- C. Three (3) members shall constitute a quorum and, except as otherwise expressly provided herein, a majority vote of all members at a meeting in which a quorum is present shall be required for action of the Commission.
- D. The Commission will meet according to the published schedule, but at least quarterly. The Commission will review the Code for revisions and amendments at least annually. The Commission shall keep minutes of its meetings.
- E. Any amendments to the Code will be made on the recommendation of the Ethics Commission, adopted by the Board of Selectmen and in accordance with the Town Charter, effective as set forth by the Board of Selectmen.
- F. The Commission will establish regulations and procedures for the initiation and handling of complaints.
- G. The Commission may retain independent counsel, as deemed necessary, in accordance with the Town Charter, Section 1013 "Town Attorney" subject to approval of the Board of Selectmen. The independent counsel shall not have a vote in any decision of the Commission.
- H. No Ethics Commission member shall:
 - 1. hold or campaign for any Town public office, paid or unpaid during his term;
 - 2. serve as a Person Subject to the Code, with the exception of said member's service as an appointed member of the Ethics Commission or if said member serves solely as an Ad-Hoc committee member on another town board, agency or commission or as an election poll worker;
 - 3. be a principal party under any contract doing business with the Town;
 - 4. receive any compensation for serving on the Commission, either directly or indirectly;
 - 5. have been a Person Subject to the Code for a period of one (1) year prior to appointment to the Ethics Commission, with the exception of said member's service as an appointed member of the Ethics Commission or if said member serves solely as an Ad-Hoc committee member on another town board, agency or commission or as an election poll worker;
 - 6. have been found in violation of any state, municipal or professional Code of Ethics within the past seven (7) years;
 - 7. participate in any Ethics Commission probable cause review, evaluation or hearing, including the decision and voting process, that involves an Immediate Family Member or the supervisor/employer of that family member;
 - 8. be a member of a Town Political Committee
 - 9. serve on the Ethics Commission for more than two (2) full consecutive, or non-consecutive, four (4) year terms. Note, if a member is initially

TOWN OF ELLINGTON ~ CODE OF ETHICS

appointed to fill the vacancy on the Ethics Commission, that period of time will not be counted towards the two (2) full four (4) year terms that the member may serve.

- I. In accordance with Connecticut General Statutes, Section 1-82a, Commission members are required to keep confidential all complaints, allegations, information supplied to or received from the Commission, information learned from any preliminary review or evaluation discussions, decisions and records until such time that the Commission makes a finding of probable cause, except upon the request of the respondent. Notwithstanding the foregoing, no provision contained herein shall prevent the Commission from reporting the possible commission of a crime to the appropriate authorities.

All past members of the Ethics Commission are bound to this same rule of confidentiality.

Section 4. Conflict of Interest regarding Discharge of Duties and Standards of Ethical Conduct

The requirements herein set forth shall constitute reasonable standards and guidelines for the ethical conduct of Persons Subject to the Code. The Commission will review and evaluate allegations of unethical conduct levied against any Person Subject to the Code.

- A. Conflict of Interest: Failure to abide by any of the following shall be deemed a Conflict of Interest. In the event of a Conflict of Interest, the Person Subject to the Code shall recuse or disqualify himself from the matter and shall enter upon the record of said board, agency or commission the fact of disqualification, but is not required to enter the specific reason for disqualification.
 1. No Person Subject to the Code shall participate in the hearing or decision of any board, agency or commission of which he is a member upon any matter in which he or an Immediate Family Member or a business with which he is associated knowingly has a Personal Financial Interest.
 2. No Person Subject to the Code shall appear for or represent any person in any matter pending before any board, agency or commission of which he is a member.
 3. In addition to other restrictions of this chapter, an Employee who serves on any governmental body of the Town shall not vote or participate on any matter involving, for the department or program employing such Employee: (1) collective bargaining, (2) compensation or benefits, (3) personnel policies and procedures, (4) the budget,

TOWN OF ELLINGTON ~ CODE OF ETHICS

other appropriation or capital funding, or (5) employment, compensation, benefits or performance of personnel.

4. Nothing contained in this Code shall prohibit or restrict a Person Subject to the Code from appearing before any board, agency or commission on his own behalf, or from being a party in any action, proceeding or litigation brought by or against said Person Subject to the Code to which the Town is a party.
-
- B. Disclosures: No Person Subject to the Code shall disclose or use any Confidential Information concerning the Town of Ellington affairs for the purpose of advancing his or others' Personal Financial Interest.
 - C. Gifts and favors: No Person Subject to the Code, or member of his immediate family, or business with which he is associated shall solicit or accept any Gift from any person or organization who, to his knowledge, has a direct or indirect financial interest in any manner in business dealings with the Town.
 - D. Use of influence: No Person Subject to the Code shall use his position or office for the financial benefit of himself, a business with which he is associated, or an Immediate Family Member.
 - E. Incompatible dealing: No Person Subject to the Code shall engage in or participate in any business or transaction, including outside employment with a private business, or have an interest, direct or indirect, which is incompatible with the proper discharge of his official responsibilities or which would tend to impair his judgment or action in the performance of his official responsibilities.
 - F. Competitive bidding: No Persons Subject to the Code, or business with which he is associated, or member of his immediate family, shall enter into a contract with the Town unless it is awarded in accordance with the Town's competitive bidding requirements.
 - G. Use of Town Assets: No Person Subject to the Code shall use or permit the use of Town funds, services, equipment, owned or leased vehicles, materials or other property for personal convenience or profit, except when such are available to the public generally or are provided in conformance with established Town policies.

Section 5. Statute of Limitations

No complaint may be made under this section later than three (3) years after the violation alleged in the complaint has been committed. Complaints involving alleged violations prior to the adoption of this Code will not be considered.

TOWN OF ELLINGTON ~ CODE OF ETHICS

Section 6. Advisory Opinions

The Commission may render an Advisory Opinion with respect to the applicability of the Code regarding specific situations to any Person Subject to the Code, person serving the Town, persons doing business with the Town and Town residents, pursuant to a written request. The Commission will maintain a record of all Advisory Opinions and make the same reasonably available to the public upon request. An Advisory Opinion shall provide a defense to any complaint brought before the Commission on that subject unless material facts were omitted or misstated in the request for the Advisory Opinion. An Advisory Opinion Request form may be obtained from the Town Clerk's Office. (Refer to Page 13)

Section 7. Procedure for Receiving Complaints, Jurisdiction, Preliminary Reviews, Evaluations and Hearings

- A. Procedure for Receiving Complaints: Complaints alleging a violation(s) of the Code shall be submitted in writing in the following fashion:
1. All complaints must be submitted using the Ethics Complaint Form. The Ethics Complaint Form can be obtained from the Town Clerk's Office. (Refer to Page 15)
 2. The Ethics Complaint Form must be signed, under penalty of false statement, before a Notary. If a person makes a false statement in a complaint, the complainant shall be subject to penalties under the provisions of Connecticut General Statutes Section 53a-157b.
 3. The Ethics Complaint Form may be submitted to the Commission by delivery in any manner in a sealed envelope to the:

Ellington Town Hall
Town Clerk's Office
Attn: Ethics Commission-Confidential
55 Main Street, PO Box 187
Ellington, CT 06029

4. Upon receipt of the sealed envelope, the Town Clerk's Office shall notify the Chairman of the Commission of such receipt via phone or other methods to ensure notification and forward the sealed envelope to the Ethics Commission Chairman or his designee.
5. All filed complaints must specify the alleged violation act(s), identify the section of the Code that is alleged to have been violated, the dates or approximate dates of such alleged acts, and set forth a summary of the evidence upon which the complainant will rely to support the allegations in the complaint. In summarizing the evidence, the complainant must summarize the nature and content of expected testimony but need not identify any witness other than himself who may testify.
6. Not later than ten (10) business days after the receipt of such complaint by the Town Clerk, the Commission shall provide notice of such receipt

TOWN OF ELLINGTON ~ CODE OF ETHICS

and a copy of the complaint and all submitted attachments by certified mail to the Respondent and notice of receipt to the Complainant.

7. The Commission will begin its evaluation, as described below, of any complaint(s) alleging a violation of the Code at its next regularly scheduled meeting, or a special meeting if necessary.

B. Jurisdiction

The jurisdiction of the Commission's investigatory powers is limited to alleged violations of the Code.

The Commission will confirm that the Commission has jurisdiction over the subject matter, the Commission has jurisdiction over the person (Respondent), and the complaint states a claim upon which relief can be granted. If any of these are lacking, the Commission shall dismiss the Complaint and notify the Complainant and Respondent in writing of its finding within ten (10) business days, by certified mail.

The Commission will conduct a probable cause review and evaluation of the alleged violation of the Code, unless the evaluation discloses a lack of jurisdiction or subject matter.

If the Commission finds that it has jurisdiction and the complaint meets the requirements above, it shall notify the Complainant and the Respondent in writing of its finding and provide a summary of its reasons for making that finding within thirty (30) business days, by certified mail. The Commission's decision of jurisdiction will be final, unless new evidence is introduced, and will be binding on all involved individuals.

C. Probable Cause Determination

In accordance with General Statutes, Section 1-82a, the Commission shall conduct a confidential review and evaluation to determine if probable cause exists that a violation of the Code could have or is occurring. These inquiries may include interviews or discussions with the Complainant, Respondent, any Person Subject to the Code, persons serving the Town or persons doing business with the Town or members of other public and private agencies or persons who may have first-hand knowledge relating to the complaint. All probable cause reviews and evaluations shall be held in compliance with Connecticut General Statutes, Section 7-148h(a). All review and evaluation discussions will be conducted in Executive Session, unless waived by the Respondent. There must be facts and circumstances of which the Commission is aware and deems trustworthy sufficient to justify the belief of a reasonable person that a violation of the Code has been or is being committed and that the Respondent is the person who committed it.

TOWN OF ELLINGTON ~ CODE OF ETHICS

At the conclusion of the review and evaluation, the Commission will dismiss the complaint if it finds that there is a lack of probable cause. The Commission shall inform the Complainant and the Respondent in writing of its findings and decision by certified mail within three (3) business days.

At the conclusion of the review and evaluation, if the Commission finds that probable cause does exist, by a concurring vote of at least three (3) of its members, the Commission will schedule a violation hearing to determine if a violation of the Code did occur or is occurring. The Commission shall inform the Complainant and the Respondent in writing of its decision and the date of the violation hearing, by certified mail, within three (3) business days of the conclusion of the review and evaluation. That finding shall become public and be included in the Commission's minutes and, within five (5) business days from the conclusion of the review and evaluation, the entire record of the review and evaluation to date shall be reasonably available to the public upon request.

The Commission's probable cause review and evaluation shall be completed within forty-five (45) business days from the determination of jurisdiction.

D. Hearings After Determination of Probable Cause

The Commission shall have the power to hold violation hearings, administer oaths, examine witnesses, and receive oral and documentary evidence. In accordance with the provisions of Connecticut General Statutes, Section 7-148h(a), it may issue subpoenas or subpoenas duces tecum, enforceable upon application to the Superior Court, to compel the attendance of persons at hearings and the production of books, documents, records and papers which the Commission deems relevant to the hearing process.

No later than the ten (10) days prior to the commencement of any hearing conducted pursuant to this subsection, the Commission, Complainant and Respondent shall exchange lists of intended witnesses. Failure to give such notice will act as a waiver of the omitting parties' right to present testimony from a witness not on their witness list. The Commission may waive this requirement or reschedule a hearing for good cause shown.

At the violation hearing, or any continuation thereof:

1. The Commission shall make a record of all proceedings conducted pursuant to this subsection.
2. The Commission shall examine witnesses and receive oral and documentary evidence it deems relevant to the matter under consideration.
3. The Complainant shall have the opportunity to appear before the Commission to present corroborating evidence and witnesses, who must testify under oath, in support of the allegations in the complaint.

TOWN OF ELLINGTON ~ CODE OF ETHICS

4. The Respondent shall have the right to appear and be heard, to present witnesses and offer any evidence which may establish that no violation of this Code exists.
5. The Respondent and Complainant shall have the right to be represented by legal counsel and to examine and cross-examine witnesses.
6. The Commission shall be governed by the administrative rules of evidence.

The hearing(s) shall be completed within sixty (60) business days from the time of the determination of probable cause. The Commission shall make no finding that there is a violation of any provision of the Code except upon the concurring vote of at least four (4) of its members or, in the event of any unfilled vacancy on the Commission, upon the unanimous vote of the then-existing members. Upon decision, the Commission shall inform the Complainant and the Respondent of its finding and provide a written statement containing its decision and reasons for making that decision (hereinafter referred to as the "Memorandum of Decision"). This notification will be made by certified mail within ten (10) business days of the conclusion of the hearing. A copy of the Memorandum of Decision shall also be delivered to the Board of Selectmen or the Board of Education, as appropriate, within said ten (10) day period.

Within ten (10) business days of the conclusion of the hearing, the entire record of the Commission's review and its findings shall be made reasonably available to the public upon request.

Any of the time limits set forth in this Code are directory and not mandatory and may be extended upon the written request of any party with the approval of the Commission. The extension of a deadline shall automatically extend all subsequent deadlines by the period of the extension unless specifically otherwise provided. The Commission may extend any timeline at its discretion.

The Commission shall maintain a record of all complaints filed. If, in the Commission's opinion, it perceives abuse in the filing of baseless complaints by an individual or organization, the Commission may refuse to take action on future complaints.

Section 8. Penalties

Upon a finding of violation of the Code and the issuance of a Memorandum of Decision, the Commission shall include a recommendation for action to be taken by the Board of Selectmen or the Board of Education, as appropriate. The authority affected shall inform the Commission of the action taken or lack of action and the reasons therefore.

- A. The recommended action may include, but not be limited to:

TOWN OF ELLINGTON ~ CODE OF ETHICS

1. Reprimand and/or public censure;
 2. Termination, suspension of compensation or suspension of position for not more than ninety (90) days;
 3. A penalty of not more than \$250 per violation;
 4. Restitution of any pecuniary benefits received because of the violation committed;
 5. Referral to law enforcement.
- B. No action may be recommended which would violate the provisions of state or federal law. In the case of union employees, such recommended action does not constitute a unilateral change in the conditions of employment. No such recommendation shall limit the authority of the Board of Selectmen or Board of Education under the Town Charter or under any ordinance, statute, or any other law or contract. Any discussion by the Board of Selectmen or Board of Education of an individual affected by the Memorandum of Decision shall be in Executive Session, unless the individual affected requests that such discussion be held in open session, and shall be done in accordance with due process.
- C. Any person who knowingly files a false statement under this Code is subject to prosecution under General Statutes, Section 53a-157b.

Section 9. Appeal Process

The Commission's finding and its Memorandum of Decision and recommendation for action filed with the Board of Selectmen or the Board of Education is a preliminary step pursuant to this Code. The Board of Selectmen or the Board of Education, as appropriate, may review the record, conduct further hearings or meetings, and/or permit either Complainant or Respondent to present further evidence as it deems appropriate. The Board of Selectmen or the Board of Education, as appropriate must provide written notice to the Commission, the Complainant and the Respondent, by certified mail, within ten (10) business days following issuance of the Memorandum of Decision, if it elects to conduct such further review. Failure to provide such notice within said time frame shall be deemed a waiver of the Board of Selectmen or the Board of Education, as appropriate, to undertake any such additional review, in which case the decision of the Commission shall be final and binding upon the Complainant and the Respondent, from which either party who is aggrieved may appeal to the Superior Court under its rules, and said Board shall take any action recommended by the Ethics Commission accordingly.

In the event the Board of Selectmen or the Board of Education, as appropriate, provides notice of such further review, then any such further review or proceedings shall be held in accordance with the procedures set forth in Section 7D and shall be concluded within sixty (60) business days of the issuance of the Memorandum of Decision. Within ten (10) business days following conclusion of the Board of Selectmen's or Board of Education's review, as appropriate, said Board shall issue a

TOWN OF ELLINGTON ~ CODE OF ETHICS

written decision on the matter, including its reasons for the decision and any action to be taken, and deliver the same, by certified mail, to the Commission, the Complainant and the Respondent. Thereafter, its decision will be final and binding upon the Complainant, the Respondent and the Ethics Commission and any party who is aggrieved may appeal to the Superior Court under its rules.

Section 10. Distribution of the Code of Ethics

The Town Clerk shall provide a copy of this Code to every Person Subject to the Code within sixty (60) days after enactment of this Code. Thereafter, each new Person Subject to the Code shall be furnished a copy before entering upon the duties of his office or employment. This Code shall be made available to the general public at the Town Clerk's Office.

Section 11. Severability

If any section, subdivision, paragraph, sentence, clause or phrase of this Code, or any part thereof is for any reason held to be legally invalid or ineffective by any court of competent jurisdiction, such decision shall not affect the validity or effectiveness of the remaining portions of this Code or any part thereof.

TOWN OF ELLINGTON ~ CODE OF ETHICS

SAMPLE – FORM AVAILABLE IN TOWN CLERK’S OFFICE

ADVISORY OPINION REQUEST

(Page 1 of 2)

Date: _____

Name: _____

Address: _____ Town/City: _____

State: _____ Zip Code: _____ Phone: _____

Per Section 6 of the Code of Ethics, The Commission may render an Advisory Opinion with respect to the applicability of the Code regarding specific situations to any Person Subject to the Code, person serving the Town, persons doing business with the Town and Town residents, pursuant to a written request. For more information, please consult the Code.

To request an Advisory Opinion, please provide the information requested below.

1. Summary: in 2-3 sentences, please summarize the nature of the hypothetical situation about which you are requesting an advisory opinion:

2. Town Role (elected, appointed or employed Person) involved in the hypothetical situation:

TOWN OF ELLINGTON ~ CODE OF ETHICS

ADVISORY OPINION REQUEST

(Page 2 of 2)

3. Detailed Description: please describe, with as much detail as possible, the situation(s), circumstance(s) or issue(s) on which an Advisory Opinion is being requested. Attach additional page(s) if necessary.

4. Code of Ethics Section or Subsection to consider while forming the requested Advisory Opinion.

Signature: _____

TOWN OF ELLINGTON ~ CODE OF ETHICS

SAMPLE – FORM AVAILABLE IN TOWN CLERK’S OFFICE

ETHICS COMPLAINT FORM

(Page 1 of 2)

Date: _____

Name: _____ Phone #: _____

Address: _____

Town/City: _____ State: _____ Zip Code: _____

Name of Respondent (accused):

Specific acts alleged in violation of the Code and dates when these acts occurred:
Attach additional page(s) if necessary.

Is this matter, or the essential facts, subject to any other court, administrative agency, grievance or disciplinary proceeding anywhere? If so, identify the matter and hearing agency:

Under what Section(s) of the Code is this Complaint made:

For Ethics Commission Use Only:

Date Received: _____ Attachments included: Yes No Complaint No. _____

TOWN OF ELLINGTON ~ CODE OF ETHICS

SAMPLE – FORM AVAILABLE IN TOWN CLERK’S OFFICE

ETHICS COMPLAINT FORM

(Page 2 of 2)

OATH AND AFFIRMATION

I/we hereby certify that the information included in this Complaint is true and correct to the best of my knowledge upon the pains and penalties of perjury or false statement and all pertinent documentation is submitted as required by the Ethics Commission.

If a person makes a false statement in a complaint, the complainant shall be subject to penalties under the provisions of Connecticut General Statutes Section 53a-157.

_____ Printed Name _____ Signature _____ Date

NOTARY PUBLIC:

Subscribed and sworn before me this _____ day _____ of 20____.

Notary
Seal
↓

Commissioner of Superior Court or
Notary Public
My Commission Expires:

TOWN OF ELLINGTON ~ CODE OF ETHICS

SAMPLE FORM

ACKNOWLEDGEMENT OF RECEIPT

I hereby acknowledge receiving a copy of the TOWN OF ELLINGTON CODE OF ETHICS adopted by the Board of Selectmen on January 13, 2014 and understand that this ACKNOWLEDGEMENT OF RECEIPT form which I am signing below will be kept in my personnel file or, if not a paid employee, in the Town Clerk's Office.

Printed Name: _____

Signature: _____

Date: _____