ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BOARD OF TRUSTEES

Regular Board Meeting
September 10, 2020
Book 2 of 2
(Items 10.04 -10.13)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees: Date: August 24, 2020

Subject: **Santa Clara County Treasury Investment Portfolio Status**

Staff Analysis: In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statues of 1995 and in compliance with county-policy, the Santa Clara County Treasury Investment Portfolio Report as of June 30, 2020 is submitted for the Board of Trustee's review and acceptance.

Recommendation: Staff recommends that the Board of Trustees approve the SCC Investment Portfolio Report as of June 30, 2020.

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Recommend Approval

10.04
Agenda Placement

Meeting: September 10, 2020
Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

County of Santa Clara

Finance Agency
Controller-Treasurer Department

County Government Center
70 W. Hedding Street, East Wing, 2nd Floor
San Jose, California 95110-1705
(408) 299-5200 FAX (408) 288-9237

August 12, 2020

Submitted by: DocuSigned by: Alan Minato — Alan Minato, Director of Finance

TO: BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS
BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM: GEORGE DOORLEY, CONTROLLER-TREASURER — DocuSigned by: 6991198CBE39482...

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

RECOMMENDATION

Receive and file the June 30, 2020 Detailed Investment Portfolio Listing.

DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of June 30, 2020 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

	Cost	Market Value	Increase (Decrease)	Percent
Commingled Investments	$8,977,141,112	$9,084,210,851	$107,069,739	1.19%

Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Susan Ellenberg, Joe Simitian
County Executive: Jeffrey Smith

The yield of the Pool on June 30, 2020 was 1.54%. As a comparison, on June 30, 2020 the yield of a 6-month Treasury Bill was .13%. A two-year Treasury Note was .15%. The State of California Local Agency Investment Fund (LAIF) yield was 1.22%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

Attachments:

June 30, 2020 Quarterly Investment Summary

Quarterly Investment Report

June 30, 2020

Quarterly Investment Review Table of Contents

Quarterly Investment Report
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Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Susan Ellenberg, S. Joseph Simitian

County Executive: Jeffrey V. Smith

Santa Clara County Commingled Pool and Segregated Investments

June 30, 2020

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	$8,977,141,112	$9,084,210,851	$107,069,739	1.19%
Worker's Compensation	$29,429,692	$30,046,833	$617,141	2.10%
Park Charter Fund	$4,335,811	$4,408,496	$72,685	1.68%
San Jose-Evergreen	$20,873,482	$21,174,815	$301,333	1.44%
Medical Malpractice Insurance Fund (1)	$9,549,621	$9,912,807	$363,186	3.80%
Total	**$9,041,329,718**	**$9,149,753,802**	**$108,424,084**	**1.20%**

(1) Managed by Chandler Asset Management, Inc.

Summary of Yields* for Select Santa Clara County Investment Funds

Fund	2020			2019
	Apr 30	May 31	Jun 30	Jun 30
Commingled Investment Pool	1.64%	1.65%	1.54%	2.27%
Worker's Compensation	1.26%	1.39%	1.39%	2.37%
Weighted Yield	**1.64%**	**1.65%**	**1.54%**	**2.27%**

*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

**Cost Value is the amortized book value of the securities as of the date of this report.

Santa Clara County Commingled Pool and Segregated Investments

Portfolio Strategy

June 30, 2020

Encouraging signs of an improving economy emerged in May and June of 2020 following the onset of a swift and steep down-turn. A deep economic recession began in March and April after state and local governments shuttered economic activity across the U.S. to mitigate the spread of the pandemic COVID-19 virus. Since the domestic economy began re-opening, labor market reports and other recently released data have illustrated an impressive across the board start to recovery. Employers added workers to payrolls in May and June in back-to-back record monthly increases. The 4.8 million net new jobs in June were almost twice the 2.5 million added in May. Both months came well ahead of median consensus forecasts. During the last recession, employment continued to deteriorate for more than a year after the 2008 collapse of Lehman Brothers; now, in comparison, the beginnings of an upswing have emerged only a few months after the economic damage of the virus began. Although a good start, the two-month tally of more than 7 million new jobs is still only a third of what is needed to fully reverse the March-April unprecedented loss in employment. Non-farm payrolls dropped by 22 million during this period. The employment-population ratio remains well below its February level.

The unemployment rate since the recession began has been dismal and at unprecedented highs. In February 2020, the jobless rate hovered at a historical low of 3.5 percent. The Bureau of Labor Statistics (BLS) reported unemployment for April, May, and June as 14.7 percent, 13.3 percent and 11.1 percent respectively. Nonetheless, the official unemployment rate understates the degree of joblessness. The BLS has admitted to misclassifying some individuals as employed by incorrectly counting those who are still getting paid but not actually showing up for work, probably workers on temporary paid leave. The unemployment rate was actually 19.7 percent in April and 16.3 percent in May.

Providing money directly to companies helped curb job losses. Funding provided by the stimulus program, the Coronavirus Aid, Relief, and Economic Security (CARES) Act enacted by the U.S. Congress and the Paycheck Protection Program (PPP), a principal component made this possible. PPP distributed more than $500 billion in loans to small businesses and was set to expire at the end of June before Congress extended it through the beginning of August. Economist studies indicate the program boosted employment by 2 to 4.5 percent. PPP has been controversial and faced criticism for giving loans to businesses that were not severely impacted by the pandemic along with loans to larger, publicly traded firms who by virtue of their status have plenty access to substantial resources.

Recovery in consumer spending began as states restarted their economies and consumers used their government stimulus checks. Increased spending in June brought the value of retail sales about in line with pre-pandemic levels. The value of retail purchases increased 7.5 percent in June from the prior month. May recorded an 18.2 percent surge, according to the Commerce Department. Retail purchases in May reflected the largest increase in more than six decades, after falling by the most on record in April. Expanded unemployment-insurance benefits gave concrete support to U.S. personal incomes during the quarter. Data indicate the CARES relief measures which augmented weekly payments by $600, has been successful in stabilizing household incomes and overall economic growth.

As an indication of consumption trends, more than half of the total reduction in credit card spending between January and early June came from households in the top quartile of the income distribution, while only 5 percent came from households in the bottom income quartile, the researchers found. The reason is twofold, the rich account for a larger share of total spending, and high-income households reduced their spending by 17 percent, whereas low-income households reduced their spending by only 4 percent. Most of the decline came from reduced buying of goods or services that require in-person contact such as hotels, transportation and food services while spending on categories like landscaping services continued.

Santa Clara County Commingled Pool and Segregated Investments

Portfolio Strategy

June 30, 2020

Equity markets performed well, even as the underlying economy have experienced high levels unemployment and disruption. In the quarter ending June 30, 2020, the S&P 500 Index had one of its best quarters ever, increasing by 26 percent. The index lost 3.08 percent the first half of 2020, ending June 30th. The Nasdaq Composite is up more than 16 percent over the same period, but the gains have been more concentrated than in any similar period in the past decade. The top-10 stocks account for 90 percent of the Nasdaq's 16 percent advance year to date, and the top-5 generated 73 percent of those gains. Most of these were tech stocks and others benefiting from the shift to remote working and sheltering in place. As of this writing, the 28th of July, Nasdaq had returned 22 percent in 2020.

The housing market has showed surprising strength. Home prices have accelerated while house sales rebounded. Various factors account for this. For mostly structural reasons, the supply of homes continues to fall to record lows. Furthermore, mortgage interest rates declined to historical lows, with few signs they are likely to rise for the foreseeable future. Demand for housing has been bolstered by strong interest in relocating from urban centers to the suburbs with the wide acceptance of working remotely from home, a structural change in the work environment. Weekly mortgage data showed that purchase applications rose for the eighth consecutive week ending June 30th and are approaching an 11-year high on a seasonally adjusted basis.

Faced with the prospect of another prolonged period of low inflation, Federal Reserve Bank (Fed) policy officials have signaled they intend to keep rates near zero for a sustained period of time. Policy makers were widely criticized during the most recent economic recovery for having tighten monetary policy too early and as a consequence, they are leaning in the opposite direction. Inflation remained dormant after the great 2008 recession and continued so as the unemployment rate fell to 3.5 percent during the subsequent recovery. Inflation remained stubbornly below the Fed's 2 percent target throughout that period. The Fed's bias towards anticipating to pre-empt inflation induced it to raise interest rates too early in the cycle and continue to boost rates into late 2018 even as equity and bond markets signaled the hikes had gone too far. The Fed was eventually forced to lower rates 75 basis points in 2019 to cushion a decelerating economy and then lowered rates to zero at the onset of the pandemic.

The CARES Act has been effective in supporting household income but key elements of the relief bill including expanded and enhanced unemployment benefits expire at the end of July. State and local governments need much more support than they have received so far. If Congress does enact an additional stimulus bill that extends unemployment benefits along with local governments, the likelihood of a stalled recovery increases significantly.

Concerns also exist that progress made in the second quarter could slow with the number of states, including some of the largest such as California and Texas, either halting or reversing re-openings of local economies in response to record new COVID-19 infections and concerns about declining hospitalization capacity. Workers in service jobs, such as leisure and hospitality, may see renewed job losses. Even if states continue re-openings, thereby restoring some jobs, the fear of the virus will likely persist until treatments or a vaccine are available. That means re-openings will only partially restore business activity. A major survey, conducted during the last week of May and first half of June, including 184 executives and 37 investors across pharmaceuticals and biotech, medical technology and health care services, found three in four health care executives and investors believe an effective and safe vaccine will not be widely available until the second half of 2021 or even later.

A more fundamental concern relates to structural adjustment. The pandemic has propelled consumers to online shopping; from working in offices to working remotely; and from consuming entertainment outside to consuming at home. Those demand shifts might never fully reverse themselves. Temporary job losses will become permanent if many of these retailers, restaurants and commercial property owners shrink or go out of business.

The portfolio strategy continues to focus on the:
(1) acquisition of high-quality issuers;
(2) identifying and selecting bonds with attractive valuations;
(3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
(4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curbing rules crafted after the 2008 financial crisis. These risk curbing rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. The Treasury Division has increased its capability to review a larger volume of inventory listings to find attractive bonds. Portfolio structuring does not solely rely on interest rate anticipation strategies, which primarily speculate on the direction of interest rates to earn favorable returns.

Santa Clara County Commingled Pool and Segregated Investments

Portfolio Liquidity Adequacy, Review, and Monitoring

June 30, 2020

Yield and Weighted Average Maturity

The yield of the Commingled Pool is 1.54 and the weighted average life is 517 days.

Liquidity Adequacy

The County Treasurer believes the Commingled Pool contains sufficient cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

Review and Monitoring

FHN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

Additional Information

Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations.

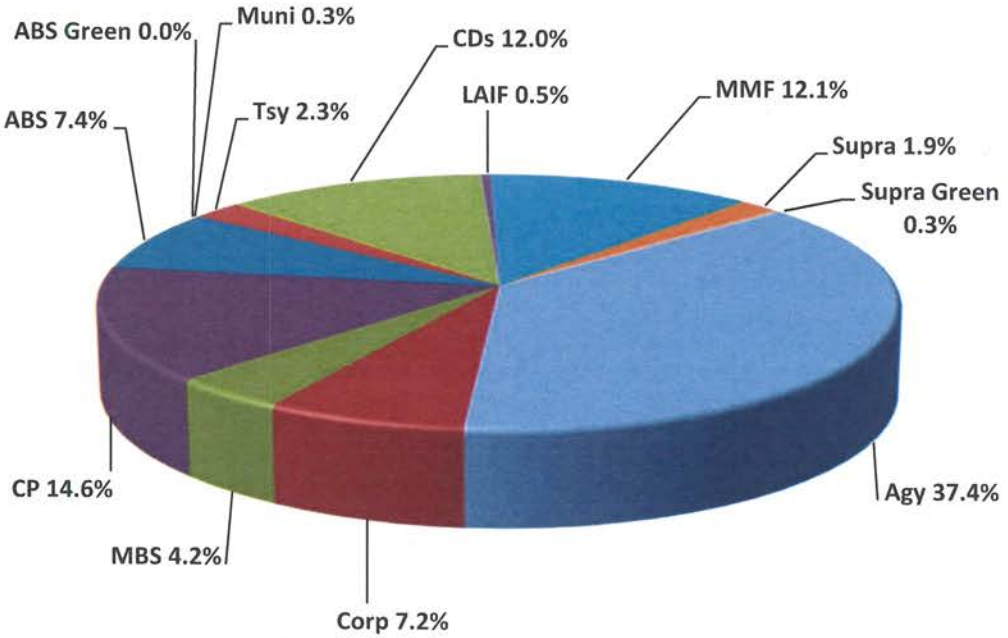
The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

Santa Clara County Commingled Pool

Allocation by Security Types

June 30, 2020

Sector	6/30/2020	3/31/2020	% Chng
Federal Agencies	37.36%	35.75%	1.6%
Corporate Bonds	7.22%	8.07%	-0.8%
Mortgage Backed Securities	4.22%	4.66%	-0.4%
Commercial Paper	14.56%	14.73%	-0.2%
ABS	7.35%	7.96%	-0.6%
ABS Green Bonds	0.00%	0.00%	0.0%
Municipal Securities	0.29%	0.37%	-0.1%
U.S. Treasuries	2.29%	3.88%	-1.6%
Negotiable CDs	11.97%	11.13%	0.8%
LAIF	0.48%	0.50%	0.0%
Money Market Funds	12.06%	9.33%	2.7%
Supranationals	1.92%	3.33%	-1.4%
Supranationals Green Bonds	0.28%	0.29%	0.0%
Total	100.00%	100.00%	



Sector	6/30/2020	3/31/2020
Federal Agencies	3,353,648,345	3,035,718,784
Corporate Bonds	648,454,093	685,019,170
Mortgage Backed Securities	378,497,505	396,108,612
Commercial Paper	1,307,125,176	1,251,019,417
ABS	660,107,365	675,685,487
ABS Green Bonds	-	-
Municipal Securities	25,911,692	31,483,790
U.S. Treasuries	205,516,899	329,742,397
Negotiable CDs	1,074,892,703	945,430,205
LAIF	42,763,798	42,549,653
Money Market Funds	1,082,563,925	792,142,405
Supranational	172,659,611	282,384,791
Supranationals Green Bonds	25,000,000	25,000,000
Total	8,977,141,112	8,492,284,710

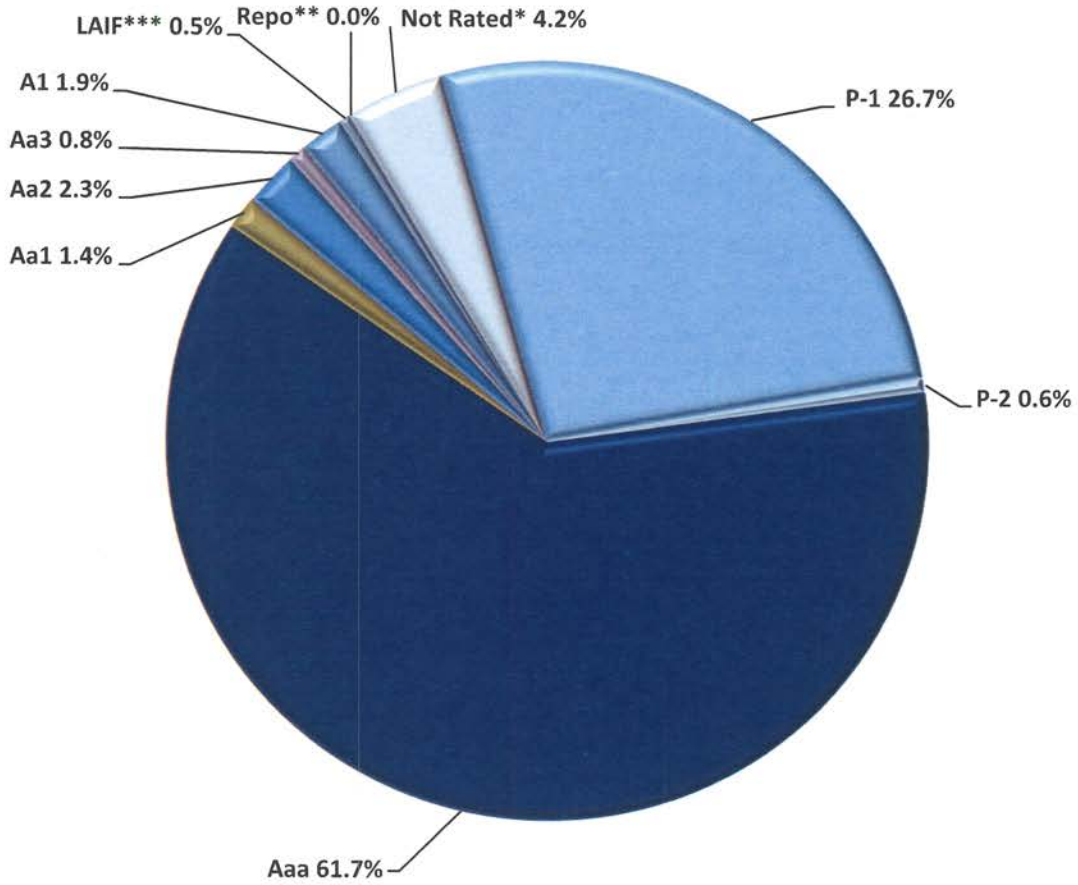
Amounts are based on book value

Santa Clara County Commingled Pool

Allocation by Ratings

June 30, 2020

Moody's Rating	Portfolio $	Portfolio %
P-1	2,398,007,567	26.7%
P-2	49,909,919	0.6%
Aaa	5,538,184,613	61.7%
Aa1	129,461,334	1.4%
Aa2	204,694,806	2.3%
Aa3	67,439,780	0.8%
A1	166,676,193	1.9%
A2	-	0.0%
A3	-	0.0%
LAIF***	42,763,798	0.5%
Repo**	-	0.0%
Not Rated*	380,003,102	4.2%
Total	8,977,141,112	100.0%



*Not Rated by Moody's but A-1+ by S&P

**Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

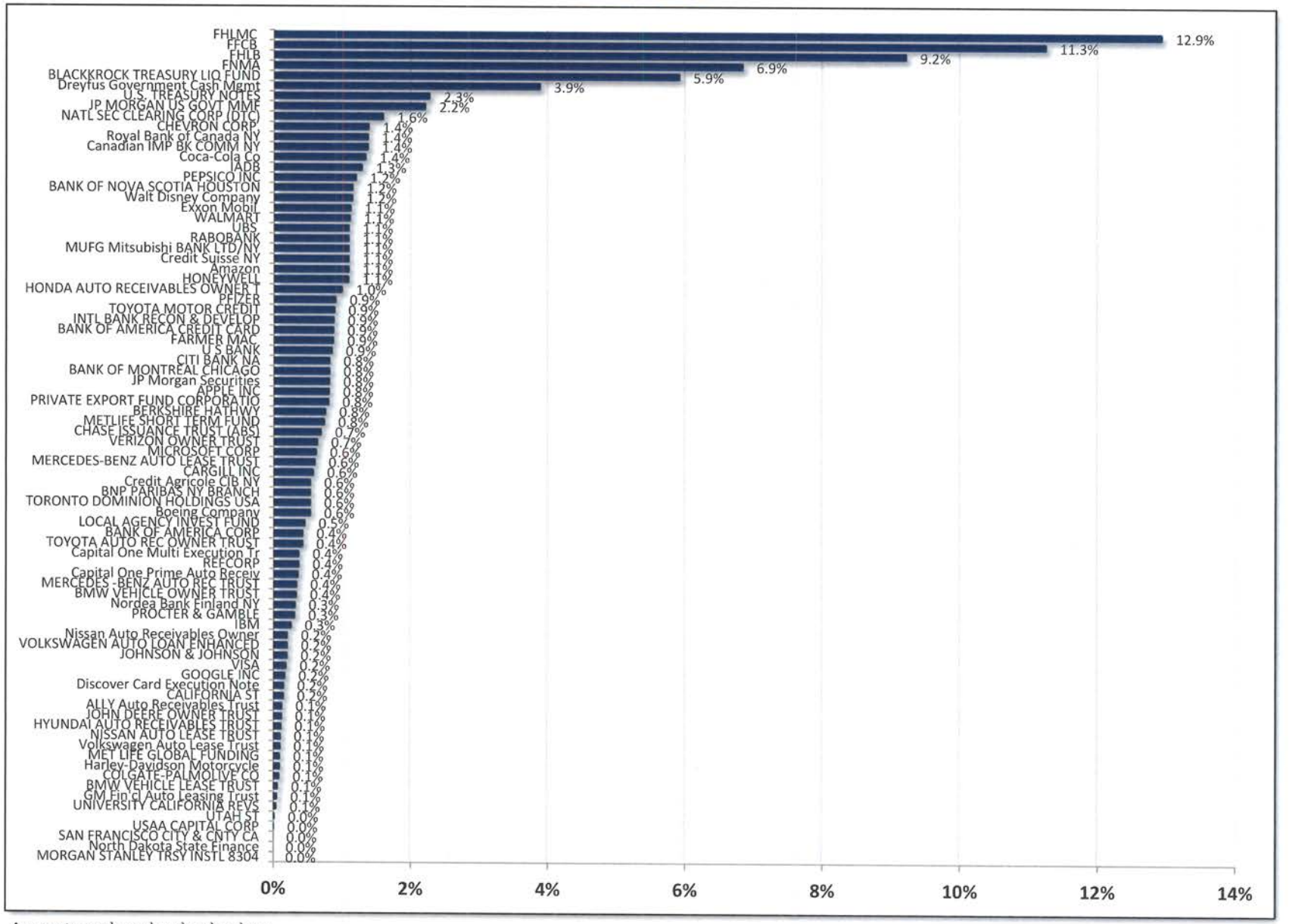
***LAIF is not rated, but is comprised of State Code allowable securities

Amounts are based on book values

Santa Clara County Commingled Pool

Holdings by Issuer - Percent of Commingled Pool

June 30, 2020

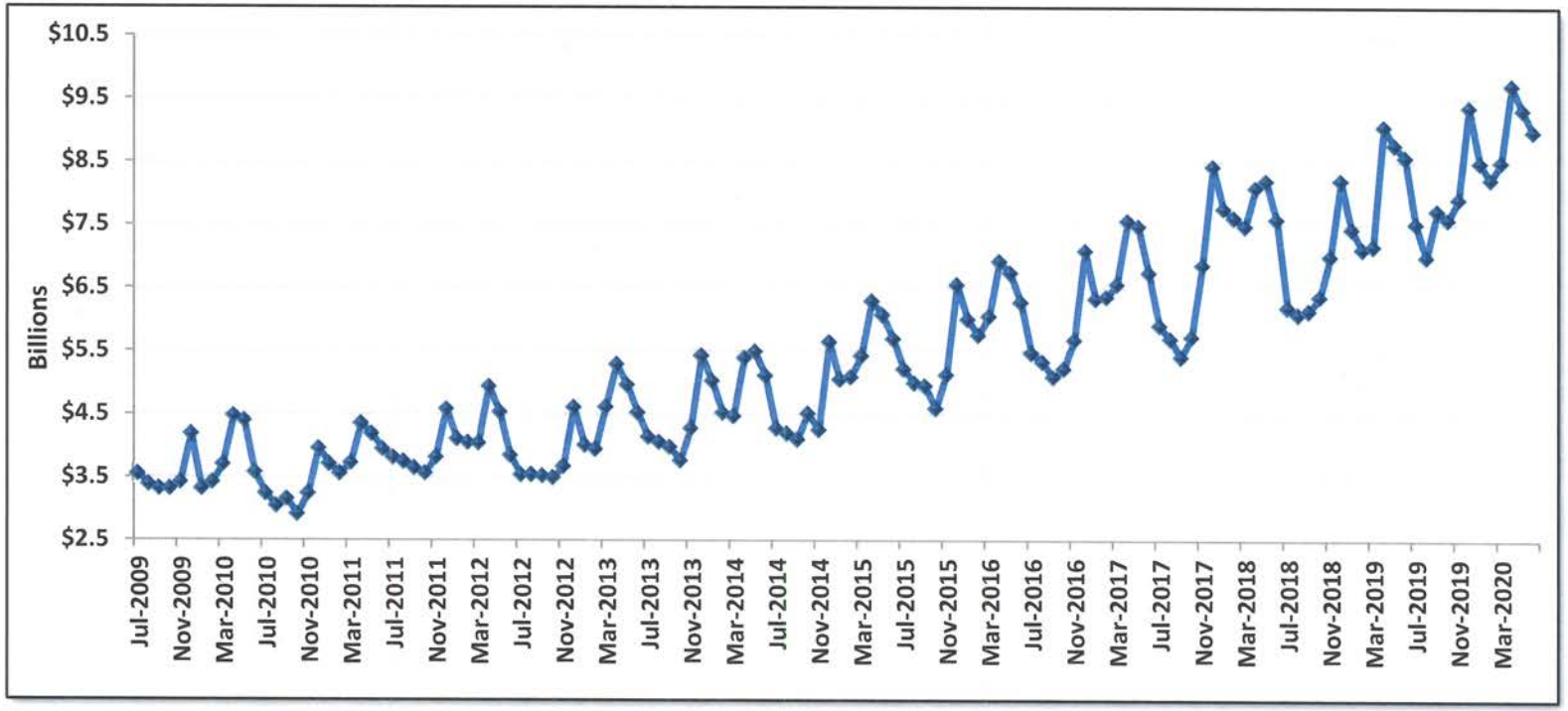


Amounts are based on book values

Santa Clara County Commingled Pool

Historical Month End Book Values

June 30, 2020



Fiscal Year	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	$3.541	$3.373	$3.307	$3.307	$3.408	$4.175	$3.307	$3.408	$3.687	$4.463	$4.384	$3.536
FY 2011	$3.230	$3.032	$3.143	$2.898	$3.227	$3.943	$3.695	$3.551	$3.712	$4.339	$4.179	$3.935
FY 2012	$3.801	$3.736	$3.637	$3.555	$3.805	$4.567	$4.097	$4.040	$4.032	$4.926	$4.525	$3.833
FY 2013	$3.508	$3.517	$3.515	$3.469	$3.645	$4.600	$3.918	$3.982	$4.606	$5.286	$4.952	$4.521
FY 2014	$4.133	$4.052	$3.975	$3.758	$4.271	$5.419	$5.019	$4.520	$4.461	$5.386	$5.487	$5.108
FY 2015	$4.267	$4.194	$4.096	$4.051	$4.247	$5.639	$5.045	$5.085	$5.420	$6.284	$6.065	$5.690
FY 2016	$5.212	$4.990	$4.941	$4.587	$5.120	$6.543	$5.997	$5.752	$6.040	$6.911	$6.728	$6.263
FY 2017	$5.469	$5.328	$5.088	$5.220	$5.671	$7.082	$6.319	$6.348	$6.550	$7.556	$7.469	$6.730
FY 2018	$5.898	$5.689	$5.408	$5.720	$6.850	$8.427	$7.754	$7.608	$7.472	$8.079	$8.192	$7.584
FY 2019	$6.180	$6.068	$6.127	$6.350	$6.987	$8.199	$7.425	$7.105	$7.151	$9.054	$8.767	$8.565
FY 2020	$7.510	$6.984	$7.179	$7.583	$7.909	$9.363	$8.487	$8.219	$8.494	$9.705	$9.321	$8.977

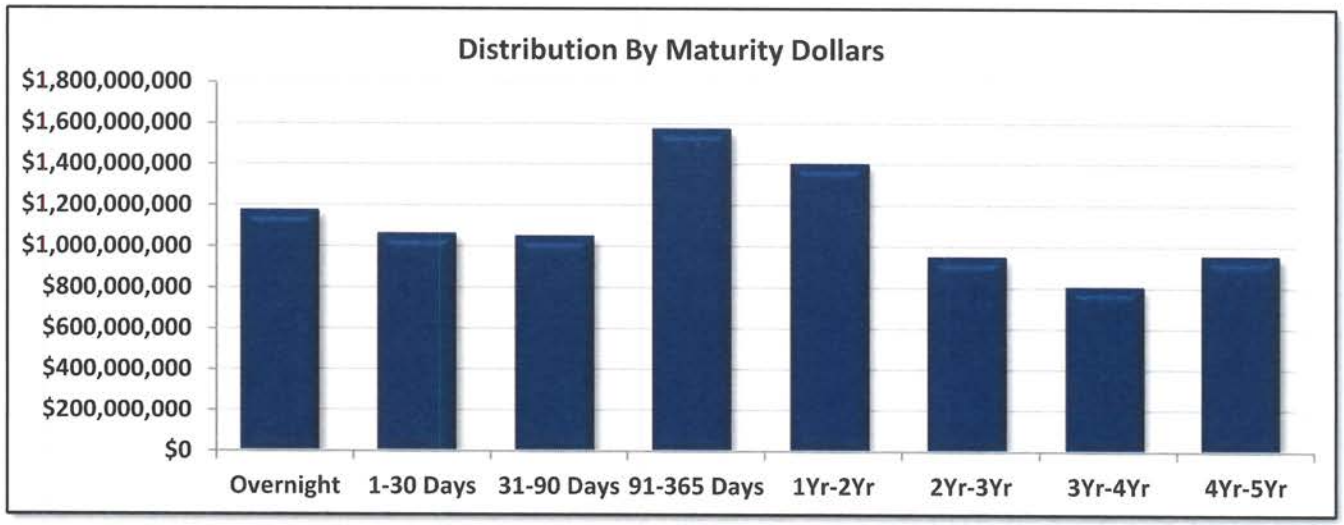
Amounts in billions

Santa Clara County Commingled Pool

Distribution by Maturity

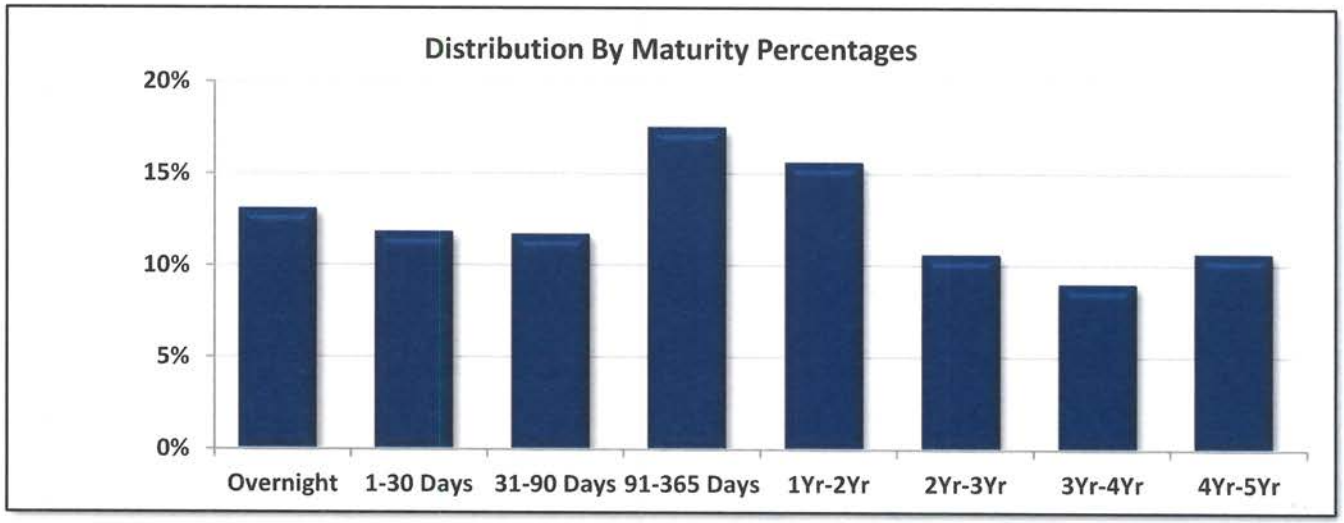
June 30, 2020

Maturity	Amount*
Overnight	1,175,327,724
1-30 Days	1,061,645,800
31-90 Days	1,050,718,907
91-365 Days	1,573,332,765
1Yr-2Yr	1,401,011,211
2Yr-3Yr	951,651,785
3Yr-4Yr	807,146,776
4Yr-5Yr	956,306,144
	8,977,141,112



Maturity	Amount*
Overnight	13.09%
1-30 Days	11.83%
31-90 Days	11.70%
91-365 Days	17.53%
1Yr-2Yr	15.61%
2Yr-3Yr	10.60%
3Yr-4Yr	8.99%
4Yr-5Yr	10.65%
	100.00%

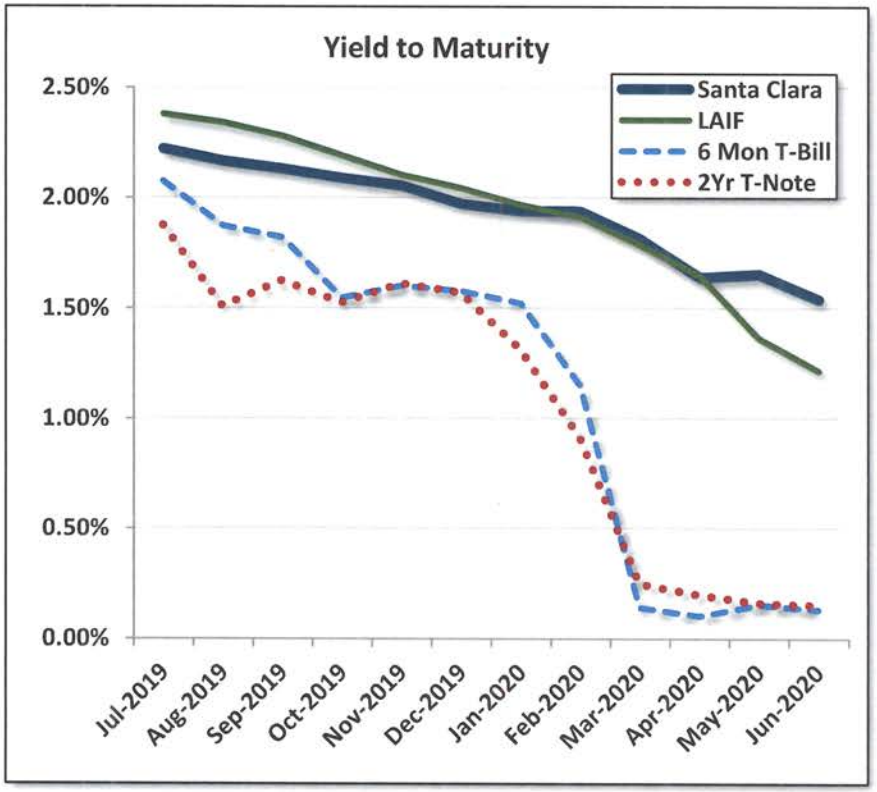
*Amounts are based on book value

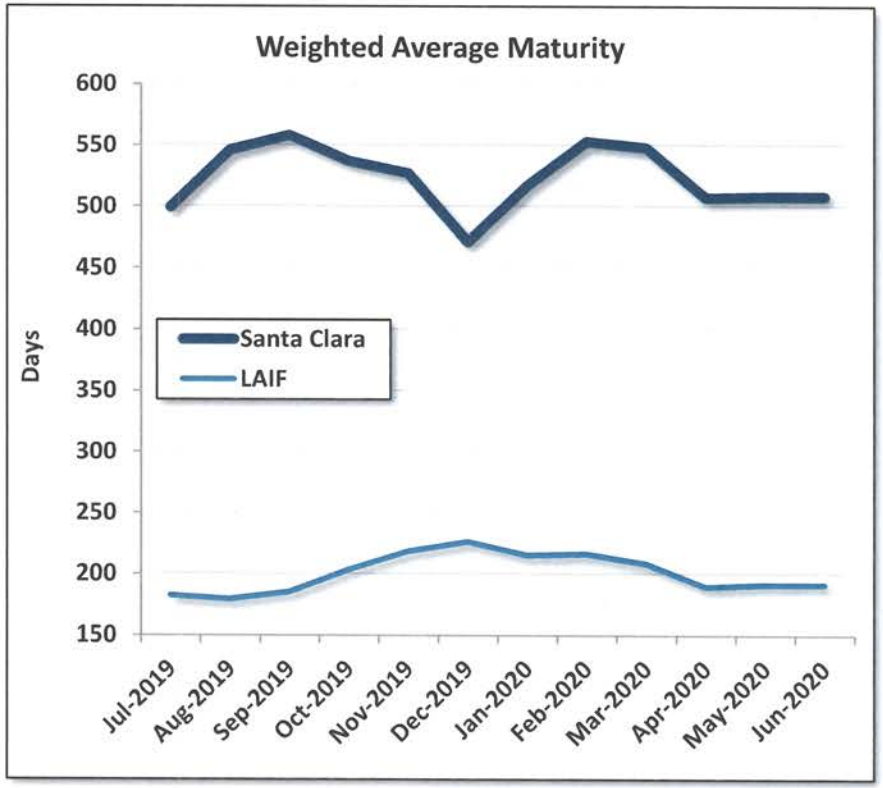


Santa Clara County Commingled Pool

Yield to Maturity and Weighted Average Maturity

June 30, 2020





Item	Jul-19	Aug-19	Sep-19	Oct-19	Nov-19	Dec-19	Jan-20	Feb-20	Mar-20	Apr-20	May-20	Jun-20
SCC YTM	2.22%	2.17%	2.13%	2.09%	2.05%	1.97%	1.94%	1.94%	1.81%	1.64%	1.65%	1.54%
LAIF YTM	2.38%	2.34%	2.28%	2.19%	2.10%	2.04%	1.97%	1.91%	1.79%	1.65%	1.36%	1.22%
6 Mon T-Bill	2.07%	1.87%	1.82%	1.55%	1.60%	1.58%	1.52%	1.15%	0.14%	0.10%	0.15%	0.13%
2Yr T-Note	1.87%	1.51%	1.62%	1.52%	1.61%	1.57%	1.31%	0.91%	0.25%	0.20%	0.16%	0.15%
SCC WAM	499	546	558	537	527	471	517	553	548	507	508	508
LAIF WAM	182	179	185	203	218	226	215	216	208	189	191	191

Santa Clara County

Approved Issuers and Broker/Dealers

June 30, 2020

Direct Commercial Paper Issuers

Toyota Motor Credit

US Bank, NA

Broker/Dealers

Academy Securities, Inc

Bank of America Merrill Lynch

Barclays Capital, Inc

BMO Capital Markets

BNP Paribas Securities Corp

BNY Mellon Capital Markets, LLC

BOK Financial Securities (Bank of Oklahoma)

Brean Capital LLC

Cantor Fitzgerald & Co

Citigroup Global Markets Inc

Daiwa Capital Markets America Inc

Deutsche Bank Securities Inc

Incapital LLC

Jefferies & Co

JP Morgan Securities, Inc

Keybanc Capital Markets, Inc

Loop Capital Markets LLC

Mizuho Securities USA, Inc

MUFG Securities USA LLC

Raymond James, Inc.

RBC Capital Markets, Inc

UBS Financial Serviec Inc

Vining Sparks LP

Williams Capital

Santa Clara County Commingled Pool
Compliance with Investment Policy
June 30, 2020

Item/Sector	Parameters	In Compliance
Maturity	Weighted Average Maturity (WAM) must be less than 24 months	Yes
Interest Periods	Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Yes
Investment Swaps	Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	Yes
Issuer Limits	No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	Yes
U.S. Treasuries	No sector limit, no issuer limit, max maturity 5 years	Yes
U.S. Federal Agencies	No sector limit, no issuer limit, max maturity 5 years	Yes
LAIF	No sector limit, no issuer limit, CA State's deposit limit $65 million	Yes
Repurchase Agreements	No sector limit, no Issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Yes
Commercial Paper	Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least $500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Corporate Bonds	Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Yes
Money Market Funds	Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least $500 mil managed	Yes
Negotiable Certificates of Deposit	Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Municipal Securities	Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Yes
Mortgage-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgage loans insured by FHLMC/FNMA and residential mortgages guaranteed by FHA (GNMA)	Yes
Asset-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)	Yes
Supranational Debt Obligations	Sector limit 10%, max maturity 5 years, issued or unconditionally gauranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Yes
Bankers' Acceptances	Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks , collateral must exceed market value of security by 2%	Yes, None in Portfolio
Securities Lending	Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days	Yes, None in Portfolio

Santa Clara County Commingled Pool

Allocation by Security Types

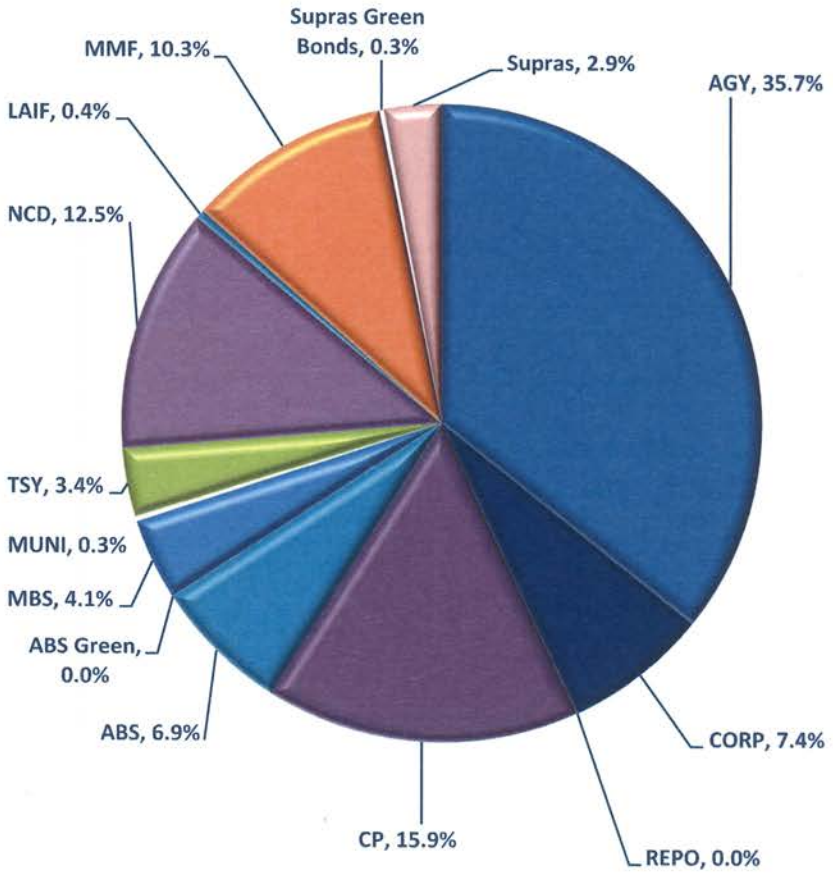
For the Month Ended April 30, 2020

Average Daily Balance	**$ 9,468,018,588.96**
Book Yield	**1.639%**
Weighted Average Maturity	**507 Days**

Investment Type	Par Value (Millions)	Book Value* (Millions)	Value (Millions)
Federal Agencies	$ 3,447.49	$ 3,450.46	$ 3,500.12
Corporate Bonds	701.47	705.88	722.35
Repurchase Agreements	-	-	-
Commercial Paper	1,565.48	1,558.19	1,562.08
Asset-Backed Securities	667.70	667.40	676.85
Asset-Backed Sec Green Bds	-	-	-
Mortgage Backed Securities	389.13	390.86	405.41
Municipal Securities	24.17	24.58	24.81
U.S. Treasuries	330.00	330.36	335.33
Negotiable CDs	1,220.00	1,219.86	1,223.83
LAIF	42.76	42.76	42.76
Money Market Funds	1,006.62	1,006.62	1,006.62
Supranationals Green Bonds	25.00	25.00	24.95
Supranationals	282.00	282.58	285.05
Total	**$ 9,701.82**	**$ 9,704.57**	**$ 9,810.15**

*Represents Amortized Book Value

Asset Allocation By Market Value



Santa Clara County Commingled Pool

Allocation by Security Types

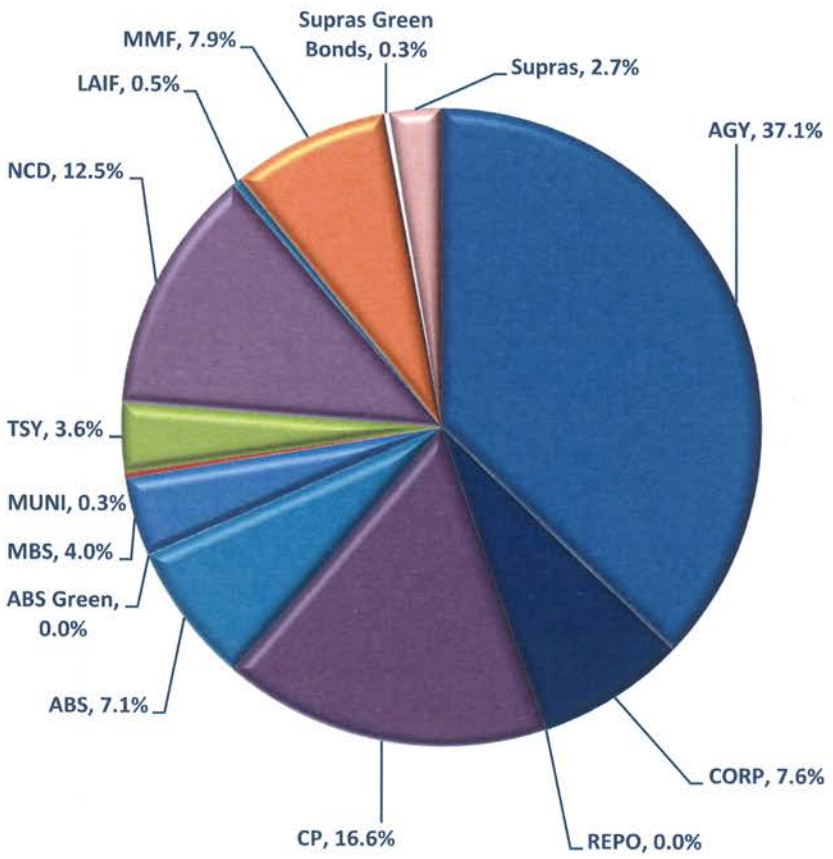
For the Month Ended May 31, 2020

Average Daily Balance	**$ 9,466,232,608.16**
Book Yield	**1.654%**
Weighted Average Maturity	**508 Days**

Investment Type	Par Value (Millions)	Book Value* (Millions)	Value (Millions)
Federal Agencies	$ 3,446.19	$ 3,449.58	$ 3,498.73
Corporate Bonds	691.47	696.10	716.82
Repurchase Agreements	-	-	-
Commercial Paper	1,565.48	1,560.27	1,563.44
Asset-Backed Securities	660.84	660.54	673.04
Asset-Backed Sec Green Bds	-	-	-
Mortgage Backed Securities	358.93	360.93	374.30
Municipal Securities	25.42	25.93	26.30
U.S. Treasuries	330.00	330.49	335.12
Negotiable CDs	1,175.00	1,174.88	1,178.51
LAIF	42.76	42.76	42.76
Money Market Funds	746.99	746.99	746.99
Supranationals Green Bonds	25.00	25.00	25.01
Supranationals	247.00	247.64	250.16
Total	**$ 9,315.07**	**$ 9,321.12**	**$ 9,431.20**

*Represents Amortized Book Value

Asset Allocation By Market Value



SANTA CLARA COUNTY INVESTMENTS
Fund COMM - COMMINGLED POOL
Investments by Fund
June 30, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Negotiable CDs											
06052TH94	40256	BANK OF AMERICA CORP	01/16/2020	40,000,000.00	40,000,000.00	40,076,240.00	1.770	1.770	1.794	08/10/2020	40
06367BBX6	40118	BANK OF MONTREAL CHICAGO	12/09/2019	50,000,000.00	50,000,000.00	50,069,800.00	1.800	1.800	1.825	07/31/2020	30
06367BJT7	40384	BANK OF MONTREAL CHICAGO	03/16/2020	25,000,000.00	25,000,000.00	25,137,275.00	1.250	1.250	1.267	01/21/2021	204
05586FWT0	40465	BNP PARIBAS NY BRANCH	04/16/2020	50,000,000.00	50,000,000.00	50,086,166.50	0.840	0.840	0.851	10/16/2020	107
06417MEF8	39984	BANK OF NOVA SCOTIA HOUSTON	10/29/2019	50,000,000.00	50,000,000.00	50,072,800.00	1.880	1.880	1.906	07/30/2020	29
06417MHH1	40407	BANK OF NOVA SCOTIA HOUSTON	03/20/2020	9,892,703.18	10,000,000.00	10,002,428.60	0.525	2.504	2.538	02/10/2021	224
06417MJA4	40441	BANK OF NOVA SCOTIA HOUSTON	04/07/2020	45,000,000.00	45,000,000.00	45,039,465.00	1.150	1.149	1.165	07/31/2020	30
22535CJM4	40075	Credit Agricole CIB NY	11/25/2019	50,000,000.00	50,000,000.00	50,056,350.00	1.820	1.795	1.820	07/24/2020	23
13606B7L4	40004	Canadian IMP BK COMM NY	11/01/2019	75,000,000.00	75,000,000.00	75,060,000.00	1.830	1.830	1.855	07/17/2020	16
13606C2P8	40061	Canadian IMP BK COMM NY	11/20/2019	50,000,000.00	50,000,000.00	50,119,550.00	1.800	1.800	1.825	08/28/2020	58
17305TM25	40107	CITI BANK NA	12/06/2019	30,000,000.00	30,000,000.00	30,014,550.00	1.840	1.840	1.865	07/10/2020	9
17305TN65	40127	CITI BANK NA	12/10/2019	45,000,000.00	45,000,000.00	45,071,865.00	1.830	1.830	1.855	08/03/2020	33
22549LC37	39990	Credit Suisse NY	10/30/2019	50,000,000.00	50,000,000.00	50,072,500.00	1.910	1.909	1.936	07/31/2020	30
22549LZ24	40439	Credit Suisse NY	04/07/2020	50,000,000.00	50,000,000.00	50,062,400.00	1.250	1.250	1.267	08/14/2020	44
55379W5A0	39994	MUFG Mitsubishi BANK LTD/NY	10/30/2019	50,000,000.00	50,000,000.00	50,058,450.00	1.920	1.920	1.946	07/24/2020	23
55380TCG3	40452	MUFG Mitsubishi BANK LTD/NY	04/09/2020	50,000,000.00	50,000,000.00	50,147,950.00	1.180	1.180	1.196	10/30/2020	121
65558TWX9	40466	Nordea Bank Finland NY	04/16/2020	30,000,000.00	30,000,000.00	30,134,100.00	1.050	1.050	1.064	01/22/2021	205
21684XDR4	40225	RABOBANK	01/08/2020	50,000,000.00	50,000,000.00	50,072,200.00	1.780	1.780	1.804	07/31/2020	30
21684XDW3	40304	RABOBANK	02/03/2020	50,000,000.00	50,000,000.00	50,004,300.00	1.640	1.640	1.662	07/02/2020	1
78012USC0	40122	Royal Bank of Canada NY	12/10/2019	50,000,000.00	50,000,000.00	50,075,700.00	1.820	1.820	1.845	07/31/2020	30
78012USE6	40133	Royal Bank of Canada NY	12/11/2019	25,000,000.00	25,000,000.00	25,029,300.00	1.820	1.796	1.821	07/24/2020	23
78012USR7	40257	Royal Bank of Canada NY	01/16/2020	50,000,000.00	50,000,000.00	50,008,250.00	0.325	0.779	0.790	10/16/2020	107
90275DLL2	40438	UBS AMERICA	04/07/2020	50,000,000.00	50,000,000.00	50,334,300.00	1.520	1.499	1.520	01/29/2021	212
90275DKW9	40432	UBS AG STAMFORD CT	03/30/2020	50,000,000.00	50,000,000.00	50,433,700.00	1.900	1.900	1.926	01/22/2021	205
		Subtotal and Average		**1,074,892,703.18**	**1,075,000,000.00**	**1,077,239,640.10**		**1.600**	**1.622**		**67**
Mortgage Backed Securities (MBS)											
3137AYCE9	38387	FHLMC Multi-Family	01/12/2018	20,157,000.00	20,000,000.00	20,847,090.00	2.682	2.443	2.477	10/25/2022	846
3137AYCE9	38391	FHLMC Multi-Family	01/16/2018	7,555,078.13	7,500,000.00	7,817,658.75	2.682	2.454	2.488	10/25/2022	846
3137AWQH1	38465	FHLMC Multi-Family	02/22/2018	5,460,553.13	5,580,000.00	5,759,034.30	2.307	3.155	3.198	08/25/2022	785
3137B36J2	38643	FHLMC Multi-Family	03/20/2018	20,345,312.50	20,000,000.00	21,269,212.00	3.316	1.706	1.730	02/25/2023	969

Fund COMM - COMMINGLED POOL
Investments by Fund
June 30, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Mortgage Backed Securities (MBS)											
3137AYCE9	38666	FHLMC Multi-Family	04/17/2018	11,616,539.06	11,745,000.00	12,242,453.60	2.682	2.879	2.919	10/25/2022	846
3137AYCE9	38744	FHLMC Multi-Family	06/05/2018	19,788,281.25	20,000,000.00	20,847,090.00	2.682	2.877	2.917	10/25/2022	846
3137B4WB8	38854	FHLMC Multi-Family	09/12/2018	4,768,285.16	4,775,000.00	5,100,035.21	3.060	2.968	3.009	07/25/2023	1,119
3137B5JM6	38864	FHLMC Multi-Family	09/25/2018	10,135,937.50	10,000,000.00	10,819,224.00	3.531	2.710	2.748	07/25/2023	1,119
3137B3NA2	38945	FHLMC Multi-Family	11/02/2018	10,605,782.00	10,605,782.00	11,287,994.68	3.250	3.173	3.217	04/25/2023	1,028
3137B5KW2	39026	FHLMC Multi-Family	12/03/2018	10,092,187.50	10,000,000.00	10,806,683.00	3.458	3.164	3.208	08/25/2023	1,150
3137B04Y7	39295	FHLMC Multi-Family	03/11/2019	26,755,312.50	27,000,000.00	28,319,225.40	2.615	2.792	2.831	01/25/2023	938
3137BP4J5	39654	FHLMC Multi-Family	07/01/2019	20,649,294.22	20,366,863.14	21,329,539.59	2.446	1.911	1.938	03/25/2026	2,093
3137BUWM6	40385	FHLMC Multi-Family	03/16/2020	15,385,283.87	14,744,806.35	15,593,768.07	2.932	1.185	1.202	01/25/2023	938
3137BHCY1	40390	FHLMC Multi-Family	03/17/2020	11,331,300.00	10,590,000.00	11,527,668.25	2.811	1.026	1.040	01/25/2025	1,669
3138LAYM5	38477	FNMA Multi-Family	02/27/2018	3,398,481.98	3,437,149.92	3,540,410.81	2.550	2.795	2.834	09/01/2022	792
3136B1XP4	38664	FNMA Multi-Family	04/30/2018	2,512,552.10	2,463,549.63	2,495,554.84	3.560	2.746	2.784	09/25/2021	451
3136B1XP4	38665	FNMA Multi-Family	04/30/2018	2,512,552.10	2,463,549.63	2,495,554.84	3.560	2.746	2.784	09/25/2021	451
31381TYT1	39150	FNMA Multi-Family	01/14/2019	28,986,955.44	29,042,544.68	29,923,290.92	2.750	2.670	2.707	03/01/2022	608
31381RZ23	39158	FNMA Multi-Family	01/16/2019	14,446,835.22	14,122,999.26	14,410,476.58	3.840	2.825	2.865	08/01/2021	396
31381RLL6	39218	FNMA Multi-Family	02/07/2019	11,819,727.39	11,572,013.96	11,781,091.90	3.840	2.833	2.872	07/01/2021	365
31381RLL6	39219	FNMA Multi-Family	02/07/2019	1,972,985.25	1,931,636.16	1,966,536.09	3.840	2.833	2.872	07/01/2021	365
3138LGKH8	39319	FNMA Multi-Family	03/19/2019	24,609,375.00	25,000,000.00	26,224,369.50	2.470	2.794	2.833	01/01/2024	1,279
3138EKX67	39457	FNMA Multi-Family	04/18/2019	10,631,079.74	10,674,026.01	11,088,300.97	2.513	2.578	2.614	03/01/2023	973
3138LEYD7	39587	FNMA Multi-Family	05/30/2019	18,214,581.12	18,544,912.38	19,351,706.01	1.970	2.656	2.693	11/01/2023	1,218
3138LGFF8	39609	FNMA Multi-Family	06/11/2019	4,976,953.13	5,000,000.00	5,103,953.25	2.150	2.249	2.280	01/01/2024	1,279
3138L2BU0	39632	FNMA Multi-Family	06/24/2019	6,691,503.37	6,699,878.21	6,695,189.90	2.310	2.315	2.347	12/01/2022	883
3138L0U90	39734	FNMA Multi-Family	08/13/2019	5,085,937.50	5,000,000.00	5,167,483.10	2.590	1.983	2.011	09/01/2022	792
31381VBJ3	39735	FNMA Multi-Family	08/13/2019	5,070,735.42	4,968,264.96	5,124,523.55	2.830	2.010	2.038	06/01/2022	700
3138L0RM5	39844	FNMA Multi-Family	09/13/2019	8,755,951.12	8,697,177.23	8,932,768.63	2.135	1.872	1.898	11/01/2022	853
3136A9MN5	40056	FNMA Multi-Family	11/19/2019	8,474,461.78	8,390,556.23	8,603,311.37	2.301	1.834	1.859	09/25/2022	816
3138L0RC7	40293	FNMA Multi-Family	01/28/2020	6,770,646.71	6,676,754.85	6,886,457.16	2.350	1.778	1.803	11/01/2022	853
3136AXVB8	40511	FNMA Multi-Family	06/17/2020	17,995,248.08	16,808,171.00	17,692,845.55	2.646	0.677	0.687	07/25/2024	1,485
3138L0RM5A	40517	FNMA Multi-Family	06/24/2020	924,795.71	894,805.73	919,044.46	2.135	-0.699	-0.708	11/01/2022	853
		Subtotal and Average		**378,497,504.98**	**375,295,441.33**	**391,969,546.28**		**2.349**	**2.382**		**1,003**

Fund COMM - COMMINGLED POOL
Investments by Fund
June 30, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Federal Agency Bonds											
31422BWJ5	40391	FARMER MAC	03/17/2020	20,000,000.00	20,000,000.00	19,992,920.40	0.190	0.190	0.192	04/01/2022	639
3133EGWH4	37018	FFCB NOTES	09/30/2016	10,002,340.86	10,000,000.00	10,135,275.90	1.280	1.243	1.260	09/29/2021	455
3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000.00	10,000,000.00	10,258,774.80	2.010	1.982	2.010	12/08/2021	525
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	5,142,451.45	2.030	2.002	2.030	01/27/2022	575
3133EHHG1	37761	FFCB NOTES	05/03/2017	5,000,000.00	5,000,000.00	5,059,915.10	1.750	1.726	1.750	05/03/2021	306
3133EHHG1	37762	FFCB NOTES	05/03/2017	10,000,000.00	10,000,000.00	10,119,830.20	1.750	1.726	1.750	05/03/2021	306
3133EHKH5	37841	FFCB NOTES	05/23/2017	12,000,192.21	12,000,000.00	12,015,360.72	1.570	1.522	1.543	07/23/2020	22
3133EHWM1	38024	FFCB NOTES	09/01/2017	3,001,785.00	3,000,000.00	3,052,816.77	1.700	1.624	1.647	09/01/2021	427
3133EHWM1	38025	FFCB NOTES	09/01/2017	5,000,000.00	5,000,000.00	5,088,027.95	1.700	1.676	1.700	09/01/2021	427
3133EHWM1	38026	FFCB NOTES	09/01/2017	7,004,552.92	7,000,000.00	7,123,239.13	1.700	1.619	1.642	09/01/2021	427
3133EHZA4	38046	FFCB NOTES	09/20/2017	9,990,640.76	10,000,000.00	10,178,747.30	1.660	1.715	1.739	09/20/2021	446
3133EHJ95	38081	FFCB NOTES	10/26/2017	9,999,627.31	10,000,000.00	10,050,128.70	1.750	1.737	1.762	10/26/2020	117
3133EHJ95	38082	FFCB NOTES	10/26/2017	4,999,520.83	5,000,000.00	5,025,064.35	1.750	1.756	1.780	10/26/2020	117
3133EHP31	38126	FFCB NOTES	11/10/2017	9,974,329.89	9,975,000.00	10,208,359.64	1.950	1.928	1.955	11/02/2021	489
3133EHW58	38169	FFCB NOTES	11/27/2017	14,999,391.67	15,000,000.00	15,106,896.90	1.900	1.943	1.970	11/27/2020	149
3133EHW58	38204	FFCB NOTES	12/01/2017	21,543,069.95	21,550,000.00	21,703,575.21	1.900	1.954	1.982	11/27/2020	149
3133EJGH6	38506	FFCB NOTES	03/15/2018	23,000,720.17	23,000,000.00	23,161,832.37	2.440	2.395	2.429	10/15/2020	106
3133EJPX1	38718	FFCB NOTES	05/21/2018	4,988,106.36	5,000,000.00	5,319,200.70	2.875	3.253	3.299	12/21/2022	903
3133EJTT6	38837	FFCB NOTES	07/05/2018	4,999,141.33	5,000,000.00	5,062,118.70	2.625	2.623	2.659	01/05/2021	188
3133EJTT6	38838	FFCB NOTES	07/05/2018	4,999,141.33	5,000,000.00	5,062,118.70	2.625	2.623	2.659	01/05/2021	188
3133EJZH5	38878	FFCB NOTES	10/05/2018	13,931,110.52	14,000,000.00	14,777,088.76	2.800	2.997	3.038	09/13/2022	804
3133EJK24	38943	FFCB NOTES	11/01/2018	14,999,079.78	15,000,000.00	15,546,775.35	3.000	2.963	3.004	10/19/2021	475
3133EJW70	38999	FFCB NOTES	11/26/2018	34,989,509.72	35,000,000.00	35,849,110.15	2.875	2.869	2.909	05/26/2021	329
3133EJW70	39023	FFCB NOTES	11/30/2018	26,815,659.15	26,825,000.00	27,475,782.28	2.875	2.875	2.915	05/26/2021	329
3133EJ3B3	39075	FFCB NOTES	12/17/2018	9,993,863.33	10,000,000.00	10,378,722.50	2.800	2.805	2.844	12/17/2021	534
3133EJY60	39105	FFCB NOTES	12/24/2018	12,567,814.94	12,500,000.00	13,405,740.25	3.020	2.765	2.803	03/03/2023	975
3133EJ3B3	39108	FFCB NOTES	12/24/2018	4,304,026.13	4,300,000.00	4,462,850.68	2.800	2.695	2.732	12/17/2021	534
3133EJ4Q9	39157	FFCB NOTES	01/16/2019	19,995,057.34	20,000,000.00	20,227,215.80	2.550	2.562	2.598	01/11/2021	194
3133EJ5V7	39165	FFCB NOTES	01/18/2019	14,856,433.93	14,875,000.00	15,756,079.72	2.625	2.640	2.676	01/18/2023	931
3133EJ5P0	39173	FFCB NOTES	01/25/2019	9,992,265.33	10,000,000.00	10,370,510.80	2.600	2.615	2.652	01/18/2022	566
3133EKCS3	39296	FFCB NOTES	03/11/2019	20,005,833.33	20,000,000.00	20,319,064.40	2.550	2.472	2.506	03/11/2021	253
3133EKEW2	39357	FFCB NOTES	03/28/2019	19,991,988.33	20,000,000.00	20,714,803.20	2.280	2.272	2.303	03/28/2022	635

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Federal Agency Bonds											
3133EKLA2	39565	FFCB NOTES	05/22/2019	9,595,466.63	9,590,000.00	10,136,400.13	2.300	2.247	2.279	05/15/2023	1,048
3133EKNR3	39594	FFCB NOTES	06/03/2019	10,003,011.48	10,000,000.00	10,373,054.80	2.200	2.153	2.183	06/03/2022	702
3133EKSN7	39639	FFCB NOTES	06/26/2019	9,969,616.32	10,000,000.00	10,440,713.30	1.770	1.850	1.876	06/26/2023	1,090
3133EKPS9	39689	FFCB NOTES	07/17/2019	20,031,623.53	20,000,000.00	20,360,246.20	2.125	1.924	1.951	06/07/2021	341
3133EKVD5	39693	FFCB NOTES	07/18/2019	11,241,923.50	11,250,000.00	11,539,107.00	1.875	1.896	1.922	01/18/2022	566
3133EKVC7	39701	FFCB NOTES	07/22/2019	14,998,497.49	15,000,000.00	15,258,605.40	1.875	1.858	1.884	07/19/2021	383
3133EKZV1	39751	FFCB NOTES	08/19/2019	19,995,933.05	20,000,000.00	20,305,993.60	1.550	1.546	1.568	08/16/2021	411
3133ELCS1	40135	FFCB NOTES	12/11/2019	14,404,780.00	14,450,000.00	15,088,778.00	1.550	1.615	1.638	03/11/2024	1,349
3133ELAE4	40136	FFCB NOTES	12/11/2019	19,976,179.20	20,000,000.00	20,580,642.40	1.625	1.659	1.682	08/22/2022	782
3133ELDK7	40162	FFCB NOTES	12/16/2019	24,998,962.79	25,000,000.00	25,669,975.50	1.630	1.609	1.632	06/15/2022	714
3133ELDK7	40163	FFCB NOTES	12/16/2019	24,998,962.79	25,000,000.00	25,669,975.50	1.630	1.609	1.632	06/15/2022	714
3133ELDK7	40164	FFCB NOTES	12/16/2019	24,998,962.79	25,000,000.00	25,669,975.50	1.630	1.609	1.632	06/15/2022	714
3133ELHR8	40267	FFCB NOTES	01/21/2020	24,997,277.78	25,000,000.00	25,538,795.25	1.600	1.585	1.607	01/21/2022	569
3133ELXM1	40484	FFCB NOTES	04/27/2020	29,990,221.33	30,000,000.00	30,019,284.60	0.250	0.276	0.280	07/27/2021	391
3130A5Z77	36288	FHLB NOTES	10/21/2015	5,001,270.43	5,000,000.00	5,006,404.75	1.830	1.469	1.490	07/29/2020	28
3130A5Z77	36289	FHLB NOTES	10/19/2015	5,001,443.95	5,000,000.00	5,006,404.75	1.830	1.424	1.444	07/29/2020	28
3130A5Z77	36298	FHLB NOTES	10/23/2015	5,001,413.87	5,000,000.00	5,006,404.75	1.830	1.432	1.452	07/29/2020	28
3130A5Z77	36308	FHLB NOTES	10/26/2015	10,002,321.07	10,000,000.00	10,012,809.50	1.830	1.498	1.519	07/29/2020	28
3130A5Z77	36310	FHLB NOTES	10/26/2015	5,001,191.59	5,000,000.00	5,006,404.75	1.830	1.490	1.511	07/29/2020	28
313380WG8	36993	FHLB NOTES	09/30/2016	19,509,596.31	19,500,000.00	19,544,943.60	1.375	1.100	1.115	09/11/2020	72
313380WG8	37022	FHLB NOTES	10/06/2016	12,003,354.06	12,000,000.00	12,027,657.60	1.375	1.210	1.227	09/11/2020	72
313380WG8	37054	FHLB NOTES	10/24/2016	10,003,006.44	10,000,000.00	10,023,048.00	1.375	1.199	1.216	09/11/2020	72
313380WG8	37072	FHLB NOTES	10/28/2016	13,862,848.61	13,860,000.00	13,891,944.53	1.375	1.248	1.266	09/11/2020	72
3130AABG2	37149	FHLB NOTES	11/30/2016	14,983,141.97	15,000,000.00	15,364,020.30	1.875	1.931	1.957	11/29/2021	516
313382K69	37711	FHLB NOTES	04/13/2017	25,866,219.06	25,860,000.00	26,131,162.01	1.750	1.690	1.714	03/12/2021	254
313378CR0	37726	FHLB NOTES	04/19/2017	25,173,791.15	25,000,000.00	25,826,487.75	2.250	1.794	1.819	03/11/2022	618
313379RB7	37961	FHLB NOTES	06/30/2017	5,005,826.18	5,000,000.00	5,075,251.15	1.875	1.722	1.746	06/11/2021	345
3130ACE26	38033	FHLB NOTES	09/08/2017	21,994,414.60	22,000,000.00	22,064,478.26	1.375	1.462	1.482	09/28/2020	89
3130A3UQ5	38102	FHLB NOTES	11/03/2017	10,002,948.12	10,000,000.00	10,076,885.40	1.875	1.781	1.806	12/11/2020	163
3130ACUK8	38178	FHLB NOTES	11/28/2017	25,000,000.00	25,000,000.00	25,403,842.00	2.000	1.972	2.000	05/28/2021	331
3130A66T9	38269	FHLB NOTES	12/13/2017	24,982,836.54	25,000,000.00	25,069,287.00	1.625	1.961	1.989	09/11/2020	72
313370US5	38436	FHLB NOTES	02/05/2018	5,005,164.00	5,000,000.00	5,025,998.65	2.875	2.292	2.324	09/11/2020	72

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Federal Agency Bonds											
3130ADUY6	38570	FHLB NOTES	04/06/2018	31,574,372.07	31,575,000.00	31,733,756.57	2.450	2.425	2.459	09/21/2020	82
3130AFB63	38896	FHLB NOTES	10/22/2018	14,996,091.67	15,000,000.00	15,232,539.30	2.950	2.958	2.999	01/22/2021	205
3130AFB63	38901	FHLB NOTES	10/23/2018	4,998,695.61	5,000,000.00	5,077,513.10	2.950	2.958	2.999	01/22/2021	205
3130AFB63	38920	FHLB NOTES	10/26/2018	25,001,620.97	25,000,000.00	25,387,565.50	2.950	2.898	2.939	01/22/2021	205
3130AFB63	38922	FHLB NOTES	10/26/2018	5,000,211.97	5,000,000.00	5,077,513.10	2.950	2.902	2.943	01/22/2021	205
3130AFE78	39016	FHLB NOTES	11/29/2018	14,345,742.31	14,350,000.00	15,296,222.07	3.000	2.970	3.012	12/09/2022	891
3130A0F70	39069	FHLB NOTES	12/14/2018	10,143,489.24	10,000,000.00	11,037,293.60	3.375	2.883	2.923	12/08/2023	1,255
3130A0F70	39106	FHLB NOTES	12/24/2018	3,562,200.16	3,500,000.00	3,863,052.76	3.375	2.778	2.817	12/08/2023	1,255
3130ABFD3	39138	FHLB NOTES	01/08/2019	15,002,293.57	15,000,000.00	15,681,150.75	2.625	2.580	2.616	06/07/2022	706
3130AB3H7	39362	FHLB NOTES	03/29/2019	14,301,977.90	14,250,000.00	15,278,784.74	2.375	2.238	2.269	03/08/2024	1,346
313379Q69	39545	FHLB NOTES	05/16/2019	24,964,860.05	25,000,000.00	25,898,210.75	2.125	2.169	2.200	06/10/2022	709
3130A5P45	39552	FHLB NOTES	05/20/2019	15,043,560.41	15,000,000.00	15,611,242.05	2.375	2.188	2.219	06/10/2022	709
3133834G3	39559	FHLB NOTES	05/21/2019	9,968,796.98	10,000,000.00	10,537,701.70	2.125	2.205	2.236	06/09/2023	1,073
3130A1W95	39563	FHLB NOTES	05/22/2019	24,988,152.91	25,000,000.00	25,469,648.75	2.250	2.269	2.301	06/11/2021	345
3133834G3	39592	FHLB NOTES	06/03/2019	9,991,732.09	10,000,000.00	10,537,701.70	2.125	2.124	2.154	06/09/2023	1,073
3133834G3	39665	FHLB NOTES	07/08/2019	21,626,729.43	21,505,000.00	22,661,327.51	2.125	1.897	1.923	06/09/2023	1,073
313378JP7	39786	FHLB NOTES	08/29/2019	5,497,725.63	5,445,000.00	5,580,031.92	2.375	1.525	1.546	09/10/2021	436
3130A2UW4	39852	FHLB NOTES	09/16/2019	10,429,535.89	10,000,000.00	11,041,550.10	2.875	1.776	1.801	09/13/2024	1,535
3130A3DL5	39936	FHLB NOTES	10/16/2019	10,164,689.45	9,940,000.00	10,565,992.67	2.375	1.617	1.639	09/08/2023	1,164
3130A2UW4	39985	FHLB NOTES	10/29/2019	31,337,783.81	30,000,000.00	33,124,650.30	2.875	1.737	1.762	09/13/2024	1,535
3130AHJY0	40025	FHLB NOTES	11/08/2019	9,988,350.48	10,000,000.00	10,197,091.00	1.625	1.687	1.710	11/19/2021	506
313379Q69	40459	FHLB NOTES	04/14/2020	10,340,402.19	10,000,000.00	10,359,284.30	2.125	0.358	0.363	06/10/2022	709
313379RB7	40467	FHLB NOTES	04/16/2020	25,370,927.71	25,000,000.00	25,376,255.75	1.875	0.295	0.299	06/11/2021	345
3130A2UW4	40501	FHLB NOTES	05/14/2020	5,496,903.91	5,000,000.00	5,520,775.05	2.875	0.474	0.481	09/13/2024	1,535
3137EAEC9	36989	FHLMC NOTES	09/27/2016	19,966,914.64	20,000,000.00	20,212,717.80	1.125	1.261	1.278	08/12/2021	407
3137EAEC9	36992	FHLMC NOTES	09/29/2016	9,984,856.70	10,000,000.00	10,106,358.90	1.125	1.248	1.265	08/12/2021	407
3137EAEJ4	38057	FHLMC NOTES	09/29/2017	14,997,787.78	15,000,000.00	15,053,357.85	1.625	1.663	1.687	09/29/2020	90
3137EAEK1	38135	FHLMC NOTES	11/15/2017	24,996,983.36	25,000,000.00	25,160,822.50	1.875	1.881	1.908	11/17/2020	139
3137EAEL9	38462	FHLMC NOTES	02/16/2018	44,975,250.00	45,000,000.00	45,602,836.20	2.375	2.433	2.466	02/16/2021	230
3137EADB2	40083	FHLMC NOTES	11/27/2019	20,226,853.26	20,000,000.00	20,666,741.40	2.375	1.596	1.619	01/13/2022	561
3137EAEP0	40331	FHLMC NOTES	02/14/2020	24,982,216.77	25,000,000.00	26,195,222.75	1.500	1.497	1.517	02/12/2025	1,687
3135G0S38	37582	FNMA NOTES	02/09/2017	10,015,494.45	10,000,000.00	10,274,025.10	2.000	1.866	1.892	01/05/2022	553

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Investments by Fund
June 30, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Federal Agency Bonds											
3135G0T45	37783	FNMA NOTES	04/10/2017	39,936,564.68	40,000,000.00	41,180,566.40	1.875	1.943	1.970	04/05/2022	643
3135G0S38	38079	FNMA NOTES	10/25/2017	9,998,919.21	10,000,000.00	10,274,025.10	2.000	1.979	2.007	01/05/2022	553
3135G0S38	38142	FNMA NOTES	11/16/2017	11,393,293.12	11,395,000.00	11,707,251.60	2.000	1.982	2.010	01/05/2022	553
3135G0T78	38163	FNMA NOTES	11/22/2017	4,980,868.91	5,000,000.00	5,191,525.40	2.000	2.149	2.179	10/05/2022	826
3135G0T60	38209	FNMA NOTES	12/04/2017	9,996,930.13	10,000,000.00	10,011,070.90	1.500	1.866	1.892	07/30/2020	29
3135G0V75	40044	FNMA NOTES	11/14/2019	25,009,934.95	25,000,000.00	26,393,431.25	1.750	1.715	1.739	07/02/2024	1,462
3135G0X24	40233	FNMA NOTES	01/10/2020	14,956,703.34	15,000,000.00	15,802,999.35	1.625	1.668	1.691	01/07/2025	1,651
3135G03K7	40472	FNMA NOTES	04/20/2020	100,000,000.00	100,000,000.00	100,261,038.00	0.370	0.364	0.370	10/20/2021	476
3135G03M3	40473	FNMA NOTES	04/20/2020	50,000,000.00	50,000,000.00	50,161,415.00	0.390	0.384	0.390	01/20/2022	568
3135G03U5	40489	FNMA NOTES	04/30/2020	7,017,850.94	7,000,000.00	7,056,702.38	0.625	0.563	0.571	04/22/2025	1,756
3135G04Z3	40514	FNMA NOTES	06/19/2020	19,958,876.31	20,000,000.00	20,007,631.20	0.500	0.534	0.542	06/17/2025	1,812
		Subtotal and Average		**1,720,080,554.01**	**1,716,495,000.00**	**1,757,831,974.93**		**1.758**	**1.782**		**556**
Federal Agency Bonds - CALLABLE											
3133EKRP3	39628	FFCB NOTES	06/21/2019	15,000,000.00	15,000,000.00	15,226,288.50	2.220	2.189	2.220	06/21/2024	1,451
3133EKRP3	39629	FFCB NOTES	06/21/2019	10,000,000.00	10,000,000.00	10,150,859.00	2.220	2.189	2.220	06/21/2024	1,451
3133EKZM1	39739	FFCB NOTES	08/14/2019	24,000,000.00	24,000,000.00	24,048,986.16	1.990	1.962	1.990	08/14/2023	1,139
3133EKC61	39756	FFCB NOTES	08/20/2019	5,000,000.00	5,000,000.00	5,011,569.85	2.100	2.071	2.100	08/20/2024	1,511
3133EKC61	39757	FFCB NOTES	08/20/2019	10,000,000.00	10,000,000.00	10,023,139.70	2.100	2.071	2.100	08/20/2024	1,511
3133EKC61	39758	FFCB NOTES	08/20/2019	5,000,000.00	5,000,000.00	5,011,569.85	2.100	2.071	2.100	08/20/2024	1,511
3133EKZN9	39768	FFCB NOTES	08/23/2019	15,000,000.00	15,000,000.00	15,020,479.35	2.060	2.031	2.059	08/13/2024	1,504
3133EKH66	39801	FFCB NOTES	09/03/2019	20,000,000.00	20,000,000.00	20,036,841.20	1.850	1.824	1.850	03/03/2022	610
3133EKM94	39832	FFCB NOTES	09/11/2019	15,000,000.00	15,000,000.00	15,025,105.20	1.900	1.873	1.900	09/11/2023	1,167
3133EKM94	39833	FFCB NOTES	09/11/2019	15,000,000.00	15,000,000.00	15,025,105.20	1.900	1.873	1.900	09/11/2023	1,167
3133EKN93	39853	FFCB NOTES	09/16/2019	14,976,012.50	15,000,000.00	15,041,211.00	1.960	1.972	2.000	09/16/2024	1,538
3133EK4N3	40009	FFCB NOTES	11/04/2019	25,000,000.00	25,000,000.00	25,115,246.75	1.800	1.775	1.800	11/04/2022	856
3133ELJN5	40281	FFCB NOTES	01/24/2020	19,995,726.85	20,000,000.00	20,352,482.40	1.640	1.625	1.648	01/24/2023	937
3133ELMJ0	40323	FFCB NOTES	02/12/2020	22,000,000.00	22,000,000.00	22,145,453.88	1.690	1.666	1.690	02/12/2024	1,321
3133ELMD3	40330	FFCB NOTES	02/14/2020	15,986,037.17	16,000,000.00	16,092,636.64	1.600	1.612	1.634	02/10/2023	954
3133ELTC8	40388	FFCB NOTES	03/17/2020	20,000,000.00	20,000,000.00	20,046,492.60	1.125	1.109	1.125	03/17/2025	1,720
3133ELUJ1	40415	FFCB NOTES	03/24/2020	30,000,000.00	30,000,000.00	30,117,881.10	1.000	0.986	1.000	03/24/2023	996
3133ELUX0	40431	FFCB NOTES	03/30/2020	10,000,000.00	10,000,000.00	10,006,877.30	1.000	0.986	1.000	03/30/2022	637

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Federal Agency Bonds - CALLABLE											
3133ELVX9	40445	FFCB NOTES	04/08/2020	15,000,000.00	15,000,000.00	15,044,769.30	0.875	0.863	0.875	04/08/2024	1,377
3133ELVV3	40446	FFCB NOTES	04/08/2020	15,000,000.00	15,000,000.00	15,028,444.50	0.950	0.936	0.950	04/08/2022	646
3133ELYJ7	40495	FFCB NOTES	05/06/2020	15,000,000.00	15,000,000.00	15,000,478.95	0.740	0.729	0.740	05/06/2024	1,405
3133ELL93	40510	FFCB NOTES	06/17/2020	15,000,000.00	15,000,000.00	14,995,669.65	0.710	0.700	0.710	06/17/2024	1,447
3133ELE42	40513	FFCB NOTES	06/17/2020	19,990,078.43	20,000,000.00	20,001,071.40	0.800	0.799	0.810	06/02/2025	1,797
3130AANA2	37382	FHLB NOTES	01/30/2017	25,000,000.00	25,000,000.00	25,033,419.25	1.750	1.726	1.750	07/30/2020	29
3130AANA2	37383	FHLB NOTES	01/30/2017	5,000,000.00	5,000,000.00	5,006,683.85	1.750	1.726	1.750	07/30/2020	29
3130AB3T1	37641	FHLB NOTES	04/24/2017	20,000,000.00	20,000,000.00	20,019,068.80	1.750	1.726	1.750	07/24/2020	23
3130ABZE9	38016	FHLB NOTES	08/28/2017	8,400,000.00	8,400,000.00	8,418,810.96	1.650	1.627	1.650	08/28/2020	58
3130ACK52	38389	FHLB NOTES	01/12/2018	24,970,690.74	25,000,000.00	25,097,124.50	1.700	2.134	2.164	10/05/2020	96
3130AFA72	38921	FHLB NOTES	10/26/2018	12,000,000.00	12,000,000.00	12,106,674.24	3.250	3.205	3.250	04/26/2023	1,029
3130AH2B8	39834	FHLB NOTES	09/11/2019	20,000,000.00	20,000,000.00	20,049,891.40	1.970	1.943	1.970	09/11/2024	1,533
3130AHGL1	40010	FHLB NOTES	11/04/2019	25,000,000.00	25,000,000.00	25,420,691.25	1.875	1.849	1.875	11/04/2024	1,587
3130AJB65	40419	FHLB NOTES	03/25/2020	20,000,000.00	20,000,000.00	20,029,508.20	1.620	1.597	1.620	03/25/2024	1,363
3130AJLA5	40502	FHLB NOTES	05/19/2020	5,000,000.00	5,000,000.00	5,001,846.05	0.750	0.739	0.750	05/19/2025	1,783
3134G3K90	37344	FHLMC NOTES	01/19/2017	10,000,215.38	10,000,000.00	10,034,395.70	1.700	1.667	1.690	09/25/2020	86
3134GBBM3	37518	FHLMC NOTES	03/29/2017	25,000,000.00	25,000,000.00	25,289,651.50	2.000	1.972	2.000	03/29/2021	271
3134GA5T7	37578	FHLMC NOTES	02/28/2017	3,500,000.00	3,500,000.00	3,611,398.39	2.125	2.095	2.125	01/26/2022	574
3134GA5T7	37579	FHLMC NOTES	02/28/2017	20,000,000.00	20,000,000.00	20,636,562.20	2.125	2.096	2.125	01/26/2022	574
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000.00	15,000,000.00	15,424,557.75	2.000	1.972	2.000	01/27/2022	575
3134GBJJ2	37741	FHLMC NOTES	04/26/2017	10,000,000.00	10,000,000.00	10,085,156.10	1.750	1.726	1.750	01/26/2021	209
3134GBJJ2	37742	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	25,212,890.25	1.750	1.726	1.750	01/26/2021	209
3134GBLR1	37801	FHLMC NOTES	05/25/2017	20,000,000.00	20,000,000.00	20,114,500.00	1.750	1.726	1.750	11/25/2020	147
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000.00	15,000,000.00	15,443,581.05	2.000	1.972	2.000	02/24/2022	603
3134GBRR5	37849	FHLMC NOTES	06/15/2017	20,000,000.00	20,000,000.00	20,301,921.20	1.800	1.775	1.800	06/15/2021	349
3134GBSM5	37880	FHLMC NOTES	06/22/2017	5,450,000.00	5,450,000.00	5,465,219.94	1.700	1.676	1.700	09/22/2020	83
3134GBSW3	37894	FHLMC NOTES	06/22/2017	5,000,000.00	5,000,000.00	5,034,674.00	1.750	1.726	1.750	12/22/2020	174
3134GBYF3	37988	FHLMC NOTES	07/27/2017	5,750,000.00	5,750,000.00	5,800,879.51	1.800	1.775	1.800	01/27/2021	210
3134GBF64	38055	FHLMC NOTES	09/28/2017	6,000,000.00	6,000,000.00	6,017,302.80	1.600	1.578	1.600	09/28/2020	89
3134GBU83	38090	FHLMC NOTES	10/30/2017	15,000,000.00	15,000,000.00	15,352,456.50	2.000	1.972	2.000	10/29/2021	485
3134GBZ70	38170	FHLMC NOTES	11/27/2017	25,000,000.00	25,000,000.00	25,157,985.00	1.875	1.849	1.875	11/27/2020	149
3134GBZ70	38171	FHLMC NOTES	11/27/2017	10,000,000.00	10,000,000.00	10,063,194.00	1.875	1.849	1.875	11/27/2020	149

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Federal Agency Bonds - CALLABLE											
3134GSAC9	38334	FHLMC NOTES	12/28/2017	10,000,000.00	10,000,000.00	10,086,823.10	2.050	2.021	2.050	12/28/2020	180
3134GSAX3	38352	FHLMC NOTES	01/08/2018	25,000,000.00	25,000,000.00	25,000,000.00	2.220	2.189	2.220	07/01/2022	730
3134GSFE0	38466	FHLMC NOTES	02/26/2018	20,000,000.00	20,000,000.00	20,267,626.60	2.500	2.465	2.500	02/26/2021	240
3134GTFW8	39473	FHLMC NOTES	04/24/2019	25,000,000.00	25,000,000.00	25,034,558.50	2.560	2.525	2.560	07/24/2023	1,118
3134GTA52	39682	FHLMC NOTES	07/15/2019	15,000,000.00	15,000,000.00	15,011,119.50	2.300	2.268	2.300	07/15/2024	1,475
3134GTH55	39698	FHLMC NOTES	07/19/2019	25,000,000.00	25,000,000.00	25,228,130.50	2.200	2.169	2.200	07/19/2024	1,479
3134GTS20	39726	FHLMC NOTES	08/02/2019	20,000,000.00	20,000,000.00	20,376,258.80	2.070	2.041	2.070	08/02/2024	1,493
3134GTS20	39727	FHLMC NOTES	08/02/2019	5,000,000.00	5,000,000.00	5,094,064.70	2.070	2.041	2.070	08/02/2024	1,493
3134GTS61	39728	FHLMC NOTES	08/05/2019	20,000,000.00	20,000,000.00	20,184,758.40	2.100	2.071	2.100	02/05/2024	1,314
3134GUFC9	39904	FHLMC NOTES	10/02/2019	25,000,000.00	25,000,000.00	25,091,672.50	2.000	1.972	2.000	10/02/2024	1,554
3134GUH78	40207	FHLMC NOTES	12/30/2019	15,000,000.00	15,000,000.00	15,108,463.20	2.000	1.972	2.000	12/30/2024	1,643
3134GUH78	40208	FHLMC NOTES	12/30/2019	20,000,000.00	20,000,000.00	20,144,617.60	2.000	1.972	2.000	12/30/2024	1,643
3134GUK66	40209	FHLMC NOTES	12/30/2019	8,790,000.00	8,790,000.00	8,821,156.07	2.000	1.972	2.000	09/30/2024	1,552
3134GUF21	40218	FHLMC NOTES	01/06/2020	25,000,000.00	25,000,000.00	25,172,269.50	1.920	1.893	1.920	01/06/2025	1,650
3134GUX88	40232	FHLMC NOTES	01/10/2020	20,000,000.00	20,000,000.00	20,144,387.60	1.750	1.725	1.749	01/22/2024	1,300
3134GUQ94	40234	FHLMC NOTES	01/10/2020	20,000,000.00	20,000,000.00	20,302,107.20	1.800	1.775	1.800	01/10/2025	1,654
3134GVCZ9	40334	FHLMC NOTES	02/18/2020	7,000,000.00	7,000,000.00	7,045,660.58	1.700	1.676	1.700	02/18/2025	1,693
3134GVCZ9	40335	FHLMC NOTES	02/18/2020	5,000,000.00	5,000,000.00	5,032,614.70	1.700	1.676	1.700	02/18/2025	1,693
3134GVKA5	40469	FHLMC NOTES	04/17/2020	30,000,000.00	30,000,000.00	30,008,877.90	1.200	1.183	1.200	04/16/2025	1,750
3134GVLJ5	40470	FHLMC NOTES	04/17/2020	25,000,000.00	25,000,000.00	25,000,228.00	1.150	1.134	1.150	04/17/2025	1,751
3134GVMA3	40475	FHLMC NOTES	04/21/2020	40,000,000.00	40,000,000.00	40,031,718.80	0.430	0.424	0.430	10/21/2021	477
3134GVMA3	40476	FHLMC NOTES	04/21/2020	40,000,000.00	40,000,000.00	40,031,718.80	0.430	0.424	0.430	10/21/2021	477
3134GVMN5	40483	FHLMC NOTES	04/27/2020	10,000,000.00	10,000,000.00	10,001,335.40	0.800	0.789	0.800	10/27/2023	1,213
3134GVNG9	40487	FHLMC NOTES	04/29/2020	30,000,000.00	30,000,000.00	30,004,645.50	0.750	0.739	0.750	01/29/2024	1,307
3134GVQE1	40491	FHLMC NOTES	05/01/2020	20,000,000.00	20,000,000.00	20,003,265.40	0.750	0.739	0.749	10/30/2024	1,582
3134GVVX3	40506	FHLMC NOTES	05/28/2020	6,000,000.00	6,000,000.00	6,005,202.18	0.750	0.739	0.750	05/28/2025	1,792
3134GVA73	40507	FHLMC NOTES	05/29/2020	25,000,000.00	25,000,000.00	24,998,301.00	0.400	0.394	0.400	11/28/2022	880
3134GVYX0	40508	FHLMC NOTES	06/03/2020	10,000,000.00	10,000,000.00	10,001,446.80	0.500	0.493	0.500	06/03/2024	1,433
3134GVYX0	40509	FHLMC NOTES	06/03/2020	10,000,000.00	10,000,000.00	10,001,446.80	0.500	0.493	0.500	06/03/2024	1,433
3134GVT73	40519	FHLMC NOTES	06/30/2020	15,000,000.00	15,000,000.00	15,005,278.80	0.700	0.690	0.700	06/30/2025	1,825
3136G3PR0	36797	FNMA NOTES	05/27/2016	24,989,612.28	25,000,000.00	25,295,351.75	1.500	1.526	1.548	05/26/2021	329
3136G4MD2	37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	3,010,625.19	1.850	1.824	1.850	09/16/2020	77

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Federal Agency Bonds - CALLABLE											
3135G04B6	40488	FNMA NOTES	04/29/2020	30,000,000.00	30,000,000.00	30,005,165.40	0.625	0.616	0.624	04/28/2023	1,031
3136G4WH2	40520	FNMA NOTES	06/30/2020	20,000,000.00	20,000,000.00	20,008,785.00	0.800	0.789	0.800	06/30/2025	1,825
3136G4XU2	40521	FNMA NOTES	06/30/2020	20,000,000.00	20,000,000.00	19,994,454.40	0.700	0.690	0.700	06/30/2025	1,825
3136G4XZ1	40522	FNMA NOTES	06/30/2020	15,000,000.00	15,000,000.00	14,978,362.95	0.740	0.729	0.740	06/30/2025	1,825
3136G4XZ1	40523	FNMA NOTES	06/30/2020	5,000,000.00	5,000,000.00	4,992,787.65	0.740	0.729	0.740	06/30/2025	1,825
3136G4YF4	40524	FNMA NOTES	06/30/2020	10,000,000.00	10,000,000.00	9,998,273.90	0.700	0.690	0.700	06/30/2025	1,825
		Subtotal and Average		**1,472,798,373.35**	**1,472,890,000.00**	**1,481,322,264.54**		**1.522**	**1.544**		**1,035**
US Treasury Notes											
912828Y46	39102	U.S. TREASURY NOTES	12/21/2018	14,999,192.84	15,000,000.00	15,030,330.00	2.625	2.654	2.691	07/31/2020	30
912828XM7	40084	U.S. TREASURY NOTES	11/27/2019	40,000,000.00	40,000,000.00	40,047,920.00	1.625	1.601	1.623	07/31/2020	30
9128287F1	40099	U.S. TREASURY NOTES	12/05/2019	40,043,938.85	40,000,000.00	40,681,240.00	1.750	1.623	1.646	07/31/2021	395
912828WY2	40115	U.S. TREASURY NOTES	12/09/2019	40,249,960.94	40,000,000.00	40,890,640.00	2.250	1.638	1.661	07/31/2021	395
9128286Z8	40155	U.S. TREASURY NOTES	12/13/2019	30,007,210.46	30,000,000.00	31,822,260.00	1.750	1.719	1.743	06/30/2024	1,460
9128282P4	40210	U.S. TREASURY NOTES	12/31/2019	40,216,595.97	40,000,000.00	41,418,760.00	1.875	1.586	1.608	07/31/2022	760
		Subtotal and Average		**205,516,899.06**	**205,000,000.00**	**209,891,150.00**		**1.704**	**1.728**		**524**
Corporate Bonds											
037833DJ6	38124	APPLE INC	11/13/2017	44,995,380.00	45,000,000.00	45,286,015.05	2.000	2.001	2.029	11/13/2020	135
037833DL1	39831	APPLE INC	09/11/2019	6,999,129.54	7,000,000.00	7,209,034.35	1.700	1.682	1.705	09/11/2022	802
037833CU2	40165	APPLE INC	12/16/2019	10,297,994.95	10,000,000.00	10,769,221.30	2.850	2.010	2.038	05/11/2024	1,410
037833DF4	40274	APPLE INC	01/23/2020	5,178,972.96	5,000,000.00	5,427,316.65	2.750	1.892	1.918	01/13/2025	1,657
037833DV9	40499	APPLE INC	05/11/2020	6,981,841.48	7,000,000.00	7,073,746.33	0.750	0.830	0.842	05/11/2023	1,044
084670BQ0	38830	BERKSHIRE HATHWY	06/29/2018	9,961,457.58	10,000,000.00	10,119,910.70	2.200	2.732	2.770	03/15/2021	257
084670BJ6	39496	BERKSHIRE HATHWY	04/30/2019	10,616,177.37	10,500,000.00	11,200,682.54	3.000	2.517	2.552	02/11/2023	955
084670BR8	39544	BERKSHIRE HATHWY	05/16/2019	16,682,473.56	16,624,000.00	17,605,469.32	2.750	2.576	2.611	03/15/2023	987
084664BQ3	39658	BERKSHIRE HATHWY	07/03/2019	10,117,559.78	10,000,000.00	10,210,254.10	4.250	1.995	2.023	01/15/2021	198
084670BR8	40080	BERKSHIRE HATHWY	11/26/2019	22,116,130.97	21,623,000.00	22,899,606.78	2.750	1.850	1.876	03/15/2023	987
19416QEL0	39553	COLGATE-PALMOLIVE CO	05/20/2019	9,478,557.25	9,506,000.00	9,940,098.05	2.250	2.344	2.377	11/15/2022	867
166764BG4	39549	CHEVRON CORP.	05/17/2019	8,547,913.61	8,575,000.00	8,692,846.05	2.100	2.438	2.472	05/16/2021	319
166764BN9	39573	CHEVRON CORP.	05/24/2019	8,878,082.47	8,885,000.00	9,193,335.00	2.498	2.510	2.545	03/03/2022	610
166764AB6	40454	CHEVRON CORP.	04/13/2020	23,683,639.96	23,318,000.00	24,274,729.85	2.355	1.668	1.691	12/05/2022	887

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Corporate Bonds											
166764BV1	40500	CHEVRON CORP.	05/11/2020	5,000,000.00	5,000,000.00	5,086,118.30	1.141	1.125	1.141	05/11/2023	1,044
02079KAA5	38601	GOOGLE INC	04/16/2018	16,837,589.97	16,705,000.00	17,186,505.25	3.625	2.645	2.682	05/19/2021	322
478160CD4	40031	JOHNSON & JOHNSON	11/12/2019	19,577,835.79	19,445,000.00	20,041,127.31	2.250	1.805	1.830	03/03/2022	610
191216BT6	38529	Coca-Cola Co	03/19/2018	7,481,255.12	7,500,000.00	7,537,178.93	1.875	2.646	2.682	10/27/2020	118
59217GEE5	40238	MET LIFE GLOBAL FUNDING	01/13/2020	10,007,600.00	10,000,000.00	10,349,893.70	1.950	1.892	1.918	01/13/2023	926
594918BW3	39355	MICROSOFT CORP	03/27/2019	20,018,551.99	20,000,000.00	20,638,193.20	2.400	2.305	2.337	02/06/2022	585
594918BW3	39361	MICROSOFT CORP	03/29/2019	20,039,863.68	20,000,000.00	20,638,193.20	2.400	2.235	2.266	02/06/2022	585
594918BX1	39489	MICROSOFT CORP	04/29/2019	6,544,122.02	6,500,000.00	7,001,988.11	2.875	2.635	2.672	02/06/2024	1,315
594918BQ6	40005	MICROSOFT CORP	11/01/2019	10,883,814.40	10,815,000.00	11,319,632.01	2.000	1.762	1.786	08/08/2023	1,133
742651DP4	40337	PRIVATE EXPORT FUND CORPORATIO	02/18/2020	11,784,293.69	11,385,000.00	12,225,779.75	2.450	1.526	1.548	07/15/2024	1,475
742651DX7	40379	PRIVATE EXPORT FUND CORPORATIO	03/13/2020	12,005,686.19	11,610,000.00	12,232,125.22	1.750	0.938	0.951	11/15/2024	1,598
742718EQ8	38524	PROCTER & GAMBLE	03/15/2018	19,729,962.08	20,000,000.00	20,353,517.40	1.700	2.728	2.766	11/03/2021	490
742718EQ8	38526	PROCTER & GAMBLE	03/16/2018	9,867,385.46	10,000,000.00	10,176,758.70	1.700	2.709	2.747	11/03/2021	490
89233P5F9	39332	TOYOTA MOTOR CREDIT	03/21/2019	11,302,272.54	11,213,000.00	11,594,642.30	3.400	2.675	2.712	09/15/2021	441
89236TFX8	39439	TOYOTA MOTOR CREDIT	04/12/2019	24,990,652.08	25,000,000.00	25,897,864.25	2.650	2.635	2.671	04/12/2022	650
89236TFX8	39452	TOYOTA MOTOR CREDIT	04/17/2019	14,990,071.95	15,000,000.00	15,538,718.55	2.650	2.652	2.688	04/12/2022	650
89236TGT6	40326	TOYOTA MOTOR CREDIT	02/13/2020	29,981,441.00	30,000,000.00	31,095,933.30	1.800	1.789	1.814	02/13/2025	1,688
90327QD48	40477	USAA CAPITAL CORP	04/21/2020	2,497,099.08	2,500,000.00	2,564,950.45	1.500	1.520	1.542	05/01/2023	1,034
90331HPA5	39207	U S BANK	02/04/2019	2,999,281.13	3,000,000.00	3,040,108.35	3.000	2.974	3.016	02/04/2021	218
90331HPC1	39569	U S BANK	05/23/2019	14,989,959.44	15,000,000.00	15,621,606.30	2.650	2.650	2.687	05/23/2022	691
90331HPF4	40117	U S BANK	12/09/2019	28,980,073.08	29,000,000.00	30,058,559.45	1.950	1.950	1.977	01/09/2023	922
90331HPF4	40154	U S BANK	12/13/2019	11,000,000.00	11,000,000.00	11,401,522.55	1.950	1.922	1.949	01/09/2023	922
90331HPL1	40268	U S BANK	01/21/2020	19,961,186.67	20,000,000.00	21,127,185.20	2.050	2.066	2.095	01/21/2025	1,665
92826CAC6	39527	VISA	05/09/2019	11,202,781.54	11,156,000.00	11,781,783.88	2.800	2.583	2.619	12/14/2022	896
92826CAB8	39917	VISA	10/07/2019	7,153,493.69	7,135,000.00	7,182,005.31	2.200	1.554	1.576	12/14/2020	166
931142EA7	38076	WALMART	10/20/2017	6,998,533.39	7,000,000.00	7,046,341.89	1.900	1.920	1.947	12/15/2020	167
931142EL3	39714	WALMART	07/29/2019	5,127,253.04	5,000,000.00	5,441,244.30	2.850	2.148	2.178	07/08/2024	1,468
931142EK5	39996	WALMART	10/30/2019	10,429,346.50	10,000,000.00	10,893,669.00	3.400	1.878	1.904	06/26/2023	1,090
931142DV2	40315	WALMART	02/07/2020	29,026,460.54	27,975,000.00	30,358,681.49	2.650	1.741	1.765	12/15/2024	1,628
30231GAV4	38852	EXXON MOBIL CORP	09/10/2018	9,958,141.41	10,000,000.00	10,114,943.20	2.222	2.837	2.876	03/01/2021	243
30231GBB7	39747	EXXON MOBIL CORP	08/16/2019	5,000,000.00	5,000,000.00	5,155,844.95	1.902	1.875	1.902	08/16/2022	776
30231GAV4	39951	EXXON MOBIL CORP	10/22/2019	11,999,109.18	11,963,000.00	12,100,506.55	2.222	1.736	1.761	03/01/2021	243

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Corporate Bonds											
30231GAC6	39998	EXXON MOBIL CORP	10/31/2019	5,211,668.68	5,000,000.00	5,401,664.70	3.176	1.950	1.977	03/15/2024	1,353
30231GBH4	40403	EXXON MOBIL CORP	03/19/2020	15,000,000.00	15,000,000.00	16,286,772.60	2.992	2.951	2.992	03/19/2025	1,722
30231GAF9	40505	EXXON MOBIL CORP	05/26/2020	5,341,996.22	5,000,000.00	5,366,207.40	2.709	1.184	1.200	03/06/2025	1,709
		Subtotal and Average		**648,454,093.03**	**643,933,000.00**	**669,760,033.12**		**2.124**	**2.153**		**856**
Asset Backed Securities (ABS)											
02007TAC9	40134	ALLY Auto Receivables Trust	12/11/2019	12,997,761.40	13,000,000.00	13,252,527.60	1.840	1.829	1.854	06/17/2024	1,447
05522RCZ9	39062	BANK OF AMERICA CREDIT CARD	12/12/2018	20,147,080.47	20,140,000.00	20,550,537.79	3.000	2.862	2.902	09/15/2023	1,171
05522RDA3	39082	BANK OF AMERICA CREDIT CARD	12/18/2018	15,000,585.94	15,000,000.00	15,422,334.00	3.100	3.064	3.107	12/15/2023	1,262
05522RCY2	39220	BANK OF AMERICA CREDIT CARD	02/08/2019	24,936,523.44	25,000,000.00	25,381,587.50	2.700	2.716	2.753	07/17/2023	1,111
05522RCY2	39320	BANK OF AMERICA CREDIT CARD	03/19/2019	19,987,500.00	20,000,000.00	20,305,270.00	2.700	2.711	2.749	07/17/2023	1,111
05586VAD4	39327	BMW VEHICLE LEASE TRUST	03/20/2019	7,498,898.25	7,500,000.00	7,653,862.50	2.920	2.906	2.946	08/22/2022	782
09659QAD9	38412	BMW VEHICLE OWNER TRUST	01/24/2018	14,444,069.52	14,444,213.96	14,541,227.08	2.350	2.329	2.362	04/25/2022	663
05588CAC6	39861	BMW VEHICLE OWNER TRUST	09/18/2019	17,247,681.60	17,250,000.00	17,659,737.52	1.920	1.907	1.934	01/25/2024	1,303
161571HN7	38708	CHASE ISSUANCE TRUST (ABS)	05/10/2018	37,000,000.00	37,000,000.00	37,029,281.80	0.385	1.168	1.184	04/17/2023	1,020
161571HP2	40336	CHASE ISSUANCE TRUST (ABS)	02/18/2020	26,993,814.30	27,000,000.00	27,785,716.20	1.530	1.523	1.544	01/15/2025	1,659
14041NFU0	39811	Capital One Multi Execution Tr	09/05/2019	34,991,187.00	35,000,000.00	35,973,409.50	1.720	1.711	1.735	08/15/2024	1,506
14042WAC4	39586	Capital One Prime Auto Receiv	05/30/2019	8,998,176.60	9,000,000.00	9,235,610.10	2.510	2.500	2.535	11/15/2023	1,232
14043MAC5	40340	Capital One Prime Auto Receiv	02/19/2020	24,994,685.00	25,000,000.00	25,504,592.50	1.600	1.592	1.614	11/15/2024	1,598
254683CH6	40411	Discover Card Execution Note	03/23/2020	15,131,250.00	15,000,000.00	15,527,319.00	3.320	2.792	2.831	03/15/2024	1,353
36259KAD9	40341	GM Fin'cl Auto Leasing Trust	02/19/2020	4,999,550.00	5,000,000.00	5,072,830.00	1.670	1.659	1.682	12/20/2022	902
36259PAD8	40512	GM Fin'cl Auto Leasing Trust	06/17/2020	1,499,805.75	1,500,000.00	1,502,326.80	0.800	0.798	0.809	07/20/2023	1,114
43814TAC6	37615	HONDA AUTO RECEIVABLES OWNER T	03/28/2017	1,264,367.14	1,264,442.37	1,266,369.76	1.720	1.705	1.729	07/21/2021	385
43811BAC8	37976	HONDA AUTO RECEIVABLES OWNER T	06/27/2017	4,734,075.36	4,734,484.89	4,747,434.18	1.680	1.666	1.690	08/16/2021	411
43814UAG4	38738	HONDA AUTO RECEIVABLES OWNER T	05/30/2018	8,992,725.62	8,992,921.67	9,118,020.40	3.010	2.988	3.030	05/18/2022	686
43815AAC6	39013	HONDA AUTO RECEIVABLES OWNER T	11/28/2018	21,746,748.38	21,750,000.00	22,261,925.40	3.160	3.144	3.188	01/17/2023	930
43814WAC9	39269	HONDA AUTO RECEIVABLES OWNER T	02/27/2019	13,749,631.50	13,750,000.00	14,126,743.13	2.830	2.809	2.848	03/20/2023	992
43815MAC0	39582	HONDA AUTO RECEIVABLES OWNER T	05/29/2019	16,999,365.90	17,000,000.00	17,509,770.50	2.520	2.500	2.535	06/21/2023	1,085
43815NAC8	39777	HONDA AUTO RECEIVABLES OWNER T	08/27/2019	7,249,939.83	7,250,000.00	7,399,030.28	1.780	1.762	1.787	08/15/2023	1,140
43813RAC1	40346	HONDA AUTO RECEIVABLES OWNER T	02/26/2020	16,496,766.00	16,500,000.00	16,899,889.05	1.610	1.602	1.625	04/21/2024	1,390
44891JAC2	40017	HYUNDAI AUTO RECEIVABLES TRUST	11/06/2019	11,749,458.33	11,750,000.00	12,043,415.13	1.940	1.923	1.950	02/15/2024	1,324
41284UAD6	40294	Harley-Davidson Motorcycle	01/29/2020	9,997,819.00	10,000,000.00	10,186,644.00	1.870	1.861	1.886	10/15/2024	1,567

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Asset Backed Securities (ABS)											
47788CAC6	38476	JOHN DEERE OWNER TRUST	02/28/2018	3,677,170.31	3,677,434.72	3,702,326.91	2.660	2.641	2.678	04/18/2022	656
477870AC3	39706	JOHN DEERE OWNER TRUST	07/24/2019	8,748,142.38	8,750,000.00	8,908,736.38	2.210	2.199	2.230	12/15/2023	1,262
58772TAC4	39190	MERCEDES-BENZ AUTO LEASE TRUST	01/30/2019	9,999,707.00	10,000,000.00	10,096,896.00	3.100	3.079	3.122	11/15/2021	502
58769QAC5	40062	MERCEDES-BENZ AUTO LEASE TRUST	11/20/2019	9,998,410.00	10,000,000.00	10,150,395.00	2.000	1.991	2.019	10/15/2022	836
58770FAC6	40392	MERCEDES-BENZ AUTO LEASE TRUST	03/17/2020	29,889,843.75	30,000,000.00	30,459,927.00	1.840	2.080	2.109	12/15/2022	897
58770FAC6	40410	MERCEDES-BENZ AUTO LEASE TRUST	03/23/2020	5,895,000.00	6,000,000.00	6,091,985.40	1.840	3.073	3.116	12/15/2022	897
58769TAD7	39883	MERCEDES -BENZ AUTO REC TRUST	09/25/2019	26,996,282.10	27,000,000.00	27,599,940.00	1.930	1.917	1.944	03/15/2024	1,353
58769VAC4	40515	MERCEDES -BENZ AUTO REC TRUST	06/23/2020	4,999,609.50	5,000,000.00	5,001,562.00	0.550	0.546	0.554	02/18/2025	1,693
65478DAD9	38475	NISSAN AUTO LEASE TRUST	02/28/2018	10,799,829.48	10,801,064.04	10,892,932.49	2.650	2.585	2.621	05/16/2022	684
65479HAC1	39578	Nissan Auto Receivables Owner	05/28/2019	19,995,478.00	20,000,000.00	20,583,786.00	2.500	2.489	2.523	11/15/2023	1,232
89238KAD4	38137	TOYOTA AUTO REC OWNER TRUST	11/15/2017	10,348,491.19	10,349,445.41	10,410,728.62	1.930	1.914	1.940	01/18/2022	566
89231PAD0	38958	TOYOTA AUTO REC OWNER TRUST	11/07/2018	9,997,837.00	10,000,000.00	10,258,004.00	3.180	3.167	3.211	03/15/2023	987
89233MAD5	40038	TOYOTA AUTO REC OWNER TRUST	11/13/2019	19,498,592.10	19,500,000.00	20,010,449.55	1.920	1.904	1.931	01/15/2024	1,293
92868LAD3	40416	VOLKSWAGEN AUTO LOAN ENHANCED	03/24/2020	19,917,968.75	20,000,000.00	20,368,524.00	3.020	3.281	3.326	11/21/2022	873
92867XAD8	39913	Volkswagen Auto Lease Trust	10/04/2019	10,499,834.10	10,500,000.00	10,677,624.30	1.990	1.971	1.999	11/21/2022	873
92349GAA9	39612	VERIZON OWNER TRUST	06/12/2019	34,997,553.50	35,000,000.00	35,921,700.50	2.330	2.312	2.344	12/20/2023	1,267
92348AAA3	39920	VERIZON OWNER TRUST	10/08/2019	23,998,149.60	24,000,000.00	24,619,972.80	1.940	1.924	1.951	04/20/2024	1,389
		Subtotal and Average		**660,107,365.09**	**660,404,007.06**	**673,712,902.67**		**2.207**	**2.238**		**1,161**
Municipal Bonds											
13063CP87	36731	CALIFORNIA ST	04/28/2016	14,988,670.05	15,000,000.00	15,105,750.00	1.500	1.608	1.630	04/01/2021	274
65887PHS7	37523	North Dakota State Finance	03/06/2017	521,587.69	500,000.00	529,230.00	5.000	1.400	1.420	10/01/2021	457
7976466Q4	40498	SAN FRANCISCO CITY & CNTY CA	05/07/2020	1,349,296.04	1,250,000.00	1,367,400.00	5.000	0.877	0.889	06/15/2022	714
91412GWY7	39314	UNIVERSITY CALIFORNIA REVS	03/15/2019	4,018,517.26	4,000,000.00	4,159,760.00	2.826	2.530	2.566	05/15/2022	683
91412HEX7	39321	UNIVERSITY CALIFORNIA REVS	03/19/2019	1,000,000.00	1,000,000.00	1,053,280.00	2.657	2.620	2.657	05/15/2023	1,048
91412HEW9	39322	UNIVERSITY CALIFORNIA REVS	03/19/2019	665,000.00	665,000.00	688,866.85	2.608	2.571	2.606	05/15/2022	683
917542WF5	40481	UTAH ST	04/23/2020	3,368,620.56	3,000,000.00	3,421,020.00	5.000	0.828	0.840	07/01/2023	1,095
		Subtotal and Average		**25,911,691.60**	**25,415,000.00**	**26,325,306.85**		**1.672**	**1.695**		**511**
Commercial Paper, Discount											
02314PH79	40463	Amazon	04/16/2020	49,979,669.25	50,000,000.00	49,995,496.50	0.400	0.400	0.406	08/07/2020	37
02314PH79	40464	Amazon	04/16/2020	49,979,669.25	50,000,000.00	49,995,496.50	0.400	0.400	0.406	08/07/2020	37

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Commercial Paper, Discount											
09702LGX7	40037	Boeing Company	11/13/2019	49,909,918.99	50,000,000.00	49,980,151.50	2.140	2.199	2.230	07/31/2020	30
14178LJ43	40448	CARGILL INC	04/09/2020	18,956,330.83	19,000,000.00	18,993,660.27	1.300	1.306	1.325	09/04/2020	65
14178LK58	40449	CARGILL INC	04/09/2020	19,929,068.98	20,000,000.00	19,989,258.20	1.350	1.359	1.378	10/05/2020	96
14178LKK5	40455	CARGILL INC	04/13/2020	14,935,745.60	15,000,000.00	14,989,917.45	1.400	1.433	1.453	10/19/2020	110
16677JHU3	40396	CHEVRON CORP.	03/18/2020	49,852,272.49	50,000,000.00	49,991,860.00	1.850	1.865	1.891	08/28/2020	58
16677JH74	40430	CHEVRON CORP.	03/30/2020	29,934,045.37	30,000,000.00	29,997,297.90	2.160	2.176	2.207	08/07/2020	37
43851TH41	40440	HONEYWELL	04/07/2020	49,933,181.67	50,000,000.00	49,992,465.50	1.430	1.436	1.456	08/04/2020	34
43851TN44	40451	HONEYWELL	04/09/2020	49,638,345.87	50,000,000.00	49,919,578.00	1.400	1.433	1.453	01/04/2021	187
45920FKW7	40428	IBM	03/27/2020	24,890,253.21	25,000,000.00	24,980,344.50	1.300	1.335	1.353	10/30/2020	121
46640PGX5	40244	JP Morgan Securities	01/14/2020	49,925,031.82	50,000,000.00	49,993,498.50	1.790	1.828	1.854	07/31/2020	30
46640PHU0	40248	JP Morgan Securities	01/15/2020	24,927,446.36	25,000,000.00	24,992,666.00	1.790	1.832	1.857	08/28/2020	58
19121ANU1	40372	Coca-Cola Co	03/12/2020	49,684,758.51	50,000,000.00	49,902,637.00	1.080	1.104	1.119	01/28/2021	211
19121AGX3	40413	Coca-Cola Co	03/24/2020	39,931,332.10	40,000,000.00	39,994,798.80	2.080	2.095	2.124	07/31/2020	30
19121AJR3	40418	Coca-Cola Co	03/25/2020	24,874,222.87	25,000,000.00	24,988,581.25	2.100	2.169	2.200	09/25/2020	86
59157TGQ0	40116	METLIFE SHORT TERM FUND	12/09/2019	44,946,236.63	45,000,000.00	44,997,600.15	1.850	1.900	1.927	07/24/2020	23
59157THU0	40397	METLIFE SHORT TERM FUND	03/18/2020	23,194,326.90	23,250,000.00	23,246,214.90	1.500	1.510	1.531	08/28/2020	58
63763PGE3	40250	NATL SEC CLEARING CORP (DTC)	01/15/2020	29,981,388.53	30,000,000.00	29,999,066.70	1.730	1.745	1.769	07/14/2020	13
63763PGH6	40443	NATL SEC CLEARING CORP (DTC)	04/08/2020	49,984,614.75	50,000,000.00	49,998,111.00	0.700	0.701	0.711	07/17/2020	16
63763PGE3	40516	NATL SEC CLEARING CORP (DTC)	06/24/2020	39,997,857.83	40,000,000.00	39,998,755.60	0.150	0.150	0.152	07/14/2020	13
63763PGH6	40518	NATL SEC CLEARING CORP (DTC)	06/29/2020	24,998,242.38	25,000,000.00	24,999,055.50	0.160	0.160	0.162	07/17/2020	16
7426M2HU8	40422	PRIVATE EXPORT FUND CORPORATIO	03/25/2020	49,844,327.07	50,000,000.00	49,985,332.00	1.950	1.966	1.993	08/28/2020	58
71344THX3	40414	PEPSICO INC	03/24/2020	49,834,699.74	50,000,000.00	49,984,442.50	1.990	2.007	2.035	08/31/2020	61
71344TKT8	40421	PEPSICO INC	03/25/2020	59,554,808.54	60,000,000.00	59,954,647.80	2.250	2.323	2.355	10/27/2020	118
71708EHA6	40363	PFIZER	03/09/2020	49,950,494.64	50,000,000.00	49,995,027.00	0.900	0.903	0.916	08/10/2020	40
71708EJP1	40398	PFIZER	03/18/2020	18,131,184.07	18,225,000.00	18,219,621.07	2.200	2.273	2.305	09/23/2020	84
71708EJE6	40458	PFIZER	04/14/2020	14,972,468.26	15,000,000.00	14,996,326.65	0.900	0.903	0.916	09/14/2020	75
89116EH71	40316	TORONTO DOMINION HOLDINGS USA	02/07/2020	49,916,367.10	50,000,000.00	50,000,000.00	1.640	1.653	1.676	08/07/2020	37
2546R2JW7	40378	Walt Disney Company	03/13/2020	29,915,039.80	30,000,000.00	29,956,657.80	1.120	1.150	1.166	09/30/2020	91
2546R2HU3	40395	Walt Disney Company	03/18/2020	49,852,272.49	50,000,000.00	49,965,255.50	1.850	1.865	1.891	08/28/2020	58
2546R2J15	40444	Walt Disney Company	04/08/2020	24,917,751.89	25,000,000.00	24,980,618.75	1.950	1.965	1.992	09/01/2020	62
93114EGH4	40400	WALMART STORES	03/18/2020	49,967,221.27	50,000,000.00	49,998,111.00	1.490	1.497	1.518	07/17/2020	16
30229AHX0	40383	Exxon Mobil Group	03/16/2020	49,884,581.14	50,000,000.00	49,991,216.50	1.390	1.399	1.418	08/31/2020	61

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		Subtotal and Average		**1,307,125,176.20**	**1,310,475,000.00**	**1,309,963,768.29**		**1.488**	**1.508**		**60**
Federal Agency Discount Notes											
313385BE5	40457	FHLB DISCOUNT	04/14/2020	65,899,606.30	66,000,000.00	65,930,040.00	0.260	0.264	0.267	01/29/2021	212
31315KM98	40450	FARMER MAC DISCOUNT NOTE	04/09/2020	59,942,590.48	60,000,000.00	59,964,866.40	0.280	0.284	0.288	11/02/2020	124
76116FAE7	40426	REFCORP	03/26/2020	34,927,220.60	35,000,000.00	34,968,756.90	0.708	0.720	0.730	10/15/2020	106
		Subtotal and Average		**160,769,417.38**	**161,000,000.00**	**160,863,663.30**		**0.371**	**0.376**		**156**
Local Agency Investment Fund											
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	42,763,798.18	42,763,798.18	42,763,798.18	1.218	1.201	1.218		1
		Subtotal and Average		**42,763,798.18**	**42,763,798.18**	**42,763,798.18**		**1.201**	**1.218**		**1**
Money Market											
SYS40461	40461	Dreyfus Government Cash Mgmt	04/15/2020	350,003,850.34	350,003,850.34	350,003,850.34	0.090	0.088	0.090		1
SYS37590	37590	JP MORGAN US GOVT MMF	02/27/2017	200,000,000.00	200,000,000.00	200,000,000.00	0.070	0.069	0.070		1
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	83,130.39	83,130.39	83,130.39	0.060	0.059	0.060		1
SYS23519	23519	BLACKKROCK TREASURY LIQ FUND	02/01/2008	532,476,944.62	532,476,944.62	532,476,944.62	0.110	0.108	0.110		1
		Subtotal and Average		**1,082,563,925.35**	**1,082,563,925.35**	**1,082,563,925.35**		**0.095**	**0.096**		**1**
Supranationals - Green Bond											
45818WBU9	38324	IADB	12/21/2017	25,000,000.00	25,000,000.00	24,990,671.25	1.269	1.851	1.877	01/15/2022	563
		Subtotal and Average		**25,000,000.00**	**25,000,000.00**	**24,990,671.25**		**1.852**	**1.878**		**563**
Supranationals											
45818WBP0	38317	IADB	12/21/2017	45,016,268.03	45,000,000.00	44,972,100.00	1.289	1.772	1.797	07/15/2022	744
45818WBM7	38385	IADB	01/12/2018	20,038,729.06	20,000,000.00	20,026,533.60	1.419	1.875	1.901	07/15/2021	379
45818WBM7A	38739	IADB	05/30/2018	10,025,620.67	10,000,000.00	10,013,266.80	1.419	1.844	1.870	07/15/2021	379
4581X0DF2	39495	IADB	04/29/2019	17,124,276.81	17,000,000.00	18,346,417.17	2.625	2.372	2.405	01/16/2024	1,294
459058GQ0	39367	INTL BANK RECON & DEVELOP	04/02/2019	5,026,505.43	5,000,000.00	5,392,556.50	2.500	2.315	2.347	03/19/2024	1,357
459058HG1	39871	INTL BANK RECON & DEVELOP	09/23/2019	10,000,000.00	10,000,000.00	10,035,095.80	2.200	2.169	2.200	09/23/2024	1,545
45905U5S9	40146	INTL BANK RECON & DEVELOP	12/12/2019	25,000,000.00	25,000,000.00	25,163,436.75	2.000	1.767	1.791	12/12/2024	1,625
459058GH0	40161	INTL BANK RECON & DEVELOP	12/16/2019	40,428,210.75	40,000,000.00	41,062,800.00	2.750	1.698	1.722	07/23/2021	387
		Subtotal and Average		**172,659,610.75**	**172,000,000.00**	**175,012,206.62**		**1.869**	**1.895**		**843**

Fund COMM - COMMINGLED POOL
Investments by Fund
June 30, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
		Total Investments and Average		8,977,141,112.16	8,968,235,171.92	9,084,210,851.48		1.518	1.539	517

Fund WK - WORKERS COMP
Investments by Fund
June 30, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Mortgage Backed Securities (MBS)											
3138LGKH8	39318	FNMA Multi-Family	03/19/2019	2,953,125.00	3,000,000.00	3,146,924.34	2.470	2.794	2.833	01/01/2024	1,279
3136AEX69	40525	FNMA Multi-Family	06/26/2020	496,783.16	478,286.91	494,688.66	2.389	0.728	0.739	01/25/2023	938
		Subtotal and Average		**3,449,908.16**	**3,478,286.91**	**3,641,613.00**		**2.497**	**2.532**		**1,229**
Federal Agency Bonds											
3133EJZU6	38872	FFCB NOTES	09/27/2018	2,996,293.25	3,000,000.00	3,097,254.18	2.850	2.915	2.956	09/20/2021	446
3133EJZH5	38879	FFCB NOTES	10/05/2018	995,079.32	1,000,000.00	1,055,506.34	2.800	2.997	3.038	09/13/2022	804
3133EJ3B3	39112	FFCB NOTES	12/24/2018	2,001,872.62	2,000,000.00	2,075,744.50	2.800	2.695	2.732	12/17/2021	534
3130A0F70	39114	FHLB NOTES	12/24/2018	1,526,657.21	1,500,000.00	1,655,594.04	3.375	2.778	2.817	12/08/2023	1,255
3137EAEC9	37310	FHLMC NOTES	12/30/2016	2,477,121.04	2,500,000.00	2,526,589.72	1.125	1.961	1.988	08/12/2021	407
3135G03U5	40497	FNMA NOTES	05/07/2020	1,502,225.57	1,500,000.00	1,512,150.51	0.625	0.585	0.593	04/22/2025	1,756
		Subtotal and Average		**11,499,249.01**	**11,500,000.00**	**11,922,839.29**		**2.356**	**2.389**		**762**
Federal Agency Bonds - CALLABLE											
3130AJLA5	40503	FHLB NOTES	05/19/2020	3,000,000.00	3,000,000.00	3,001,107.63	0.750	0.739	0.750	05/19/2025	1,783
3130AJLA5	40504	FHLB NOTES	05/19/2020	2,000,000.00	2,000,000.00	2,000,738.42	0.750	0.739	0.750	05/19/2025	1,783
		Subtotal and Average		**5,000,000.00**	**5,000,000.00**	**5,001,846.05**		**0.740**	**0.750**		**1,783**
Money Market											
SYS34789	34789	BLACKKROCK TREASURY LIQ FUND	12/26/2013	9,480,534.78	9,480,534.78	9,480,534.78	0.110	0.108	0.110		1
		Subtotal and Average		**9,480,534.78**	**9,480,534.78**	**9,480,534.78**		**0.108**	**0.110**		**1**
		Total Investments and Average		**29,429,691.95**	**29,458,821.69**	**30,046,833.12**		**1.374**	**1.393**		**745**

Fund PCF - PARK CHARTER FUND
Investments by Fund
June 30, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Federal Agency Bonds											
3133EJ3B3	39113	FFCB NOTES	12/24/2018	1,701,591.73	1,700,000.00	1,764,382.83	2.800	2.695	2.732	12/17/2021	534
3137EAEC9	37307	FHLMC NOTES	12/30/2016	495,424.21	500,000.00	505,317.95	1.125	1.961	1.988	08/12/2021	407
		Subtotal and Average		**2,197,015.94**	**2,200,000.00**	**2,269,700.78**		**2.530**	**2.565**		**505**
Money Market											
SYS33657	33657	BLACKKROCK TREASURY LIQ FUND	09/30/2012	2,138,795.49	2,138,795.49	2,138,795.49	0.110	0.108	0.110		1
		Subtotal and Average		**2,138,795.49**	**2,138,795.49**	**2,138,795.49**		**0.108**	**0.110**		**1**
		Total Investments and Average		**4,335,811.43**	**4,338,795.49**	**4,408,496.27**		**1.335**	**1.354**		**256**

Fund SJE - SAN JOSE- EVERGREEN
Investments by Fund
June 30, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Municipal Bonds											
010411AE6	39793	Alabama State	08/30/2019	521,627.79	500,000.00	525,610.00	5.000	0.947	0.960	08/01/2021	396
041042UP5	40021	ARKANSAS ST	11/07/2019	520,862.93	500,000.00	529,945.00	3.000	1.094	1.110	10/01/2022	822
13063C5Q9	37543	CALIFORNIA ST	03/14/2017	530,875.06	500,000.00	549,185.00	5.000	1.844	1.870	08/01/2022	761
13063DSG4	40064	CALIFORNIA ST	11/20/2019	544,889.73	500,000.00	554,950.00	5.000	1.065	1.080	11/01/2022	853
20772JQ96	37546	Connecticut-F	03/09/2017	520,253.71	500,000.00	530,595.00	5.000	1.873	1.900	11/15/2021	502
246381NB9	39874	DELAWARE ST	09/23/2019	564,993.62	500,000.00	582,800.00	5.000	1.242	1.260	02/01/2024	1,310
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,065,000.00	1,065,000.00	1,065,000.00	5.000	1.588	1.610	07/01/2020	0
373385CF8	40048	GEORGIA ST	11/15/2019	538,435.52	500,000.00	547,390.00	5.000	1.075	1.090	07/01/2022	730
419792JY3	39790	Hawaii State	08/30/2019	564,918.69	500,000.00	573,870.00	5.000	0.907	0.920	10/01/2023	1,187
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	211,278.21	200,000.00	216,478.00	5.000	1.607	1.630	04/01/2022	639
57582RWB8	39846	MASSACHUSETTS ST	09/13/2019	537,564.29	500,000.00	546,970.00	5.000	1.154	1.170	07/01/2022	730
57582RQU3	40039	MASSACHUSETTS ST	11/13/2019	112,438.66	100,000.00	115,486.00	5.000	1.154	1.170	11/01/2023	1,218
574193KT4	39795	MARYLAND ST	08/30/2019	108,147.95	100,000.00	109,837.00	5.000	1.006	1.020	08/01/2022	761
574193RL4	39807	MARYLAND ST	09/04/2019	559,996.80	500,000.00	571,995.00	5.000	1.006	1.020	08/01/2023	1,126
574193PA0	40057	MARYLAND ST	11/19/2019	323,946.76	300,000.00	329,511.00	5.000	1.085	1.100	08/01/2022	761
56052AZE9	39802	Maine State	09/03/2019	435,303.38	420,000.00	438,396.00	5.000	0.966	0.980	06/01/2021	335
6041294D5	35288	MINNESOTA ST	08/21/2014	200,568.43	200,000.00	200,784.00	5.000	1.410	1.430	08/01/2020	31
60412AQP1	39803	MINNESOTA ST	09/03/2019	560,813.49	500,000.00	572,990.00	5.000	0.957	0.970	08/01/2023	1,126
6041297C4	39813	MINNESOTA ST	09/05/2019	540,740.92	500,000.00	549,625.00	5.000	1.006	1.020	08/01/2022	761
60412ADC4	40040	MINNESOTA ST	11/13/2019	431,676.62	400,000.00	439,700.00	5.000	1.115	1.131	08/01/2022	761
658256Z21	39792	NORTH CAROLINA ST	08/30/2019	557,567.36	500,000.00	568,590.00	5.000	0.956	0.970	06/01/2023	1,065
647293RQ4	39826	NEW MEXICO ST SEVERANCE TAX	09/10/2019	532,407.41	500,000.00	538,800.00	5.000	1.035	1.050	03/01/2022	608
677521Q82	37526	OHIO ST	03/08/2017	317,730.08	305,000.00	322,790.65	5.000	1.509	1.530	10/01/2021	457
677522XY5	39812	OHIO ST	09/05/2019	531,040.65	500,000.00	537,440.00	5.000	1.006	1.020	02/01/2022	580
677522Q80	40063	OHIO ST	11/20/2019	549,946.82	500,000.00	562,015.00	5.000	1.155	1.171	03/01/2023	973
68609BSH1	39791	OREGON ST	08/30/2019	575,510.55	500,000.00	587,690.00	5.000	0.948	0.961	05/01/2024	1,400
68609TJY5	39873	OREGON ST	09/23/2019	206,760.66	200,000.00	208,722.00	5.000	1.242	1.260	06/01/2021	335
882723RA9	40026	TEXAS STATE REFUNDING	11/08/2019	552,053.92	500,000.00	564,490.00	5.000	1.114	1.130	04/01/2023	1,004
917542UG5	40033	UTAH ST	11/12/2019	556,392.67	500,000.00	570,170.00	5.000	1.134	1.150	07/01/2023	1,095
9242582V7	39794	VERMONT ST	08/30/2019	551,832.80	500,000.00	561,570.00	5.000	0.956	0.970	02/15/2023	959
93974CC65	37524	WASHINGTON ST	03/08/2017	517,559.56	500,000.00	523,690.00	5.000	1.352	1.371	07/01/2021	365
93974DM70	40027	WASHINGTON ST	11/08/2019	633,427.89	550,000.00	651,920.50	5.000	1.154	1.170	08/01/2024	1,492

Fund SJE - SAN JOSE- EVERGREEN
Investments by Fund
June 30, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Municipal Bonds											
97705MFT7	37717	WISCONSIN STATE	03/29/2017	529,143.40	500,000.00	543,060.00	5.000	1.647	1.670	05/01/2022	669
956553XU0	40032	West Virginia State	11/12/2019	626,120.06	565,000.00	641,094.20	5.000	1.183	1.200	06/01/2023	1,065
		Subtotal and Average		**16,631,826.39**	**15,405,000.00**	**16,933,159.35**		**1.192**	**1.208**		**802**
Money Market - Tax Exempt											
SYS33615	33615	Dreyfus Tax Exempt Inst	09/25/2012	4,241,655.71	4,241,655.71	4,241,655.71	0.010	0.009	0.010		1
		Subtotal and Average		**4,241,655.71**	**4,241,655.71**	**4,241,655.71**		**0.010**	**0.010**		**1**
		Total Investments and Average		**20,873,482.10**	**19,646,655.71**	**21,174,815.06**		**0.952**	**0.965**		**639**

SANTA CLARA COUNTY INVESTMENTS
Transaction Activity Report
April 1, 2020 - June 30, 2020
Sorted by Transaction Date - Transaction Date
COMMINGLED POOL Fund

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40433	COMM	SYS40433	BCREPO 0.01% MAT	Purchase	04/01/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
36313	COMM	13063CSQ4	CALIFORNIA ST FOR	Redemption	04/01/2020	CALIFORNIA ST		10,220,000.00		**10,220,000.00**
38341	COMM	3133EGNK7	FEDERAL FARM CR	Redemption	04/01/2020	FFCB NOTES		4,365,761.50		**4,365,761.50**
38341	COMM	3133EGNK7	FEDERAL FARM CR	Cap G/L	04/01/2020	FFCB NOTES		84,238.50		**84,238.50**
40417	COMM	1247P2D19	CAFCO DISC NOTE	Redemption	04/01/2020	CORPORATE		40,000,000.00		**40,000,000.00**
36313	COMM	13063CSQ4	CALIFORNIA ST FOR	Interest	04/01/2020	CALIFORNIA ST			91,980.00	**91,980.00**
36731	COMM	13063CP87	CALIFORNIA ST FOR	Interest	04/01/2020	CALIFORNIA ST			112,500.00	**112,500.00**
37523	COMM	65887PHS7	NORTH DAKOTA	Interest	04/01/2020	North Dakota State F			12,500.00	**12,500.00**
38341	COMM	3133EGNK7	FEDERAL FARM CR	Interest	04/01/2020	FFCB NOTES			10,442.66	**10,442.66**
40391	COMM	31422BWJ5	FEDERAL AGRIC	Interest	04/01/2020	FARMER MAC			2,150.00	**2,150.00**
		Totals for 04/01/2020					**200,000,000.00**	**54,670,000.00**	**229,572.66**	**-145,100,427.34**
40434	COMM	SYS40434	BCREPO 0.01% MAT	Purchase	04/02/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40433	COMM	SYS40433	BCREPO 0.01% MAT	Redemption	04/02/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
39904	COMM	3134GUFC9	FEDERAL HOME LN	Interest	04/02/2020	FHLMC NOTES			250,000.00	**250,000.00**
40433	COMM	SYS40433	BCREPO 0.01% MAT	Interest	04/02/2020	BARCLAYS CAPITAL			55.55	**55.55**
34292	COMM	SYS34292	MSTI 0.%	Interest	04/02/2020	MORGAN STANLEY			83,120.02	**83,120.02**
34292	COMM	SYS34292	MSTI 0.%	Purchase	04/02/2020	MORGAN STANLEY	83,120.02			**-83,120.02**
37590	COMM	SYS37590	JPM TE 0.44%	Interest	04/02/2020	JP MORGAN US			210,296.16	**210,296.16**
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	04/02/2020	JP MORGAN US	210,296.16			**-210,296.16**
		Totals for 04/02/2020					**200,293,416.18**	**200,000,000.00**	**543,471.73**	**250,055.55**
40435	COMM	SYS40435	BCREPO 0.01% MAT	Purchase	04/03/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40434	COMM	SYS40434	BCREPO 0.01% MAT	Redemption	04/03/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
40434	COMM	SYS40434	BCREPO 0.01% MAT	Interest	04/03/2020	BARCLAYS CAPITAL			55.55	**55.55**
		Totals for 04/03/2020					**200,000,000.00**	**200,000,000.00**	**55.55**	**55.55**
40436	COMM	SYS40436	BCREPO 0.01% MAT	Purchase	04/06/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	04/06/2020	JP MORGAN US	50,000,000.00			**-50,000,000.00**
36679	COMM	3130A7PU3	FEDERAL HOME	Redemption	04/06/2020	FHLB NOTES		10,000,000.00		**10,000,000.00**
37639	COMM	3133EHEZ2	FEDERAL FARM CR	Redemption	04/06/2020	FFCB NOTES		15,000,000.00		**15,000,000.00**
40435	COMM	SYS40435	BCREPO 0.01% MAT	Redemption	04/06/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
36679	COMM	3130A7PU3	FEDERAL HOME	Interest	04/06/2020	FHLB NOTES			60,000.00	**60,000.00**
37639	COMM	3133EHEZ2	FEDERAL FARM CR	Interest	04/06/2020	FFCB NOTES			120,000.00	**120,000.00**
37783	COMM	3135G0T45	FEDERAL NATL MTG	Interest	04/06/2020	FNMA NOTES			375,000.00	**375,000.00**
38163	COMM	3135G0T78	FEDERAL NATL MTG	Interest	04/06/2020	FNMA NOTES			50,000.00	**50,000.00**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38389	COMM	3130ACK52	FEDERAL HOME	Interest	04/06/2020	FHLB NOTES			212,500.00	**212,500.00**
40435	COMM	SYS40435	BCREPO 0.01% MAT	Interest	04/06/2020	BARCLAYS CAPITAL			166.67	**166.67**
		Totals for 04/06/2020					**250,000,000.00**	**225,000,000.00**	**817,666.67**	**-24,182,333.33**
40437	COMM	SYS40437	BCREPO 0.001%	Purchase	04/07/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40441	COMM	06417MJA4	BNSHOU 1.15% MAT	Purchase	04/07/2020	BANK OF NOVA	45,000,000.00			**-45,000,000.00**
40439	COMM	22549LZ24	CRSNY 1.25% MAT	Purchase	04/07/2020	Credit Suisse NY	50,000,000.00			**-50,000,000.00**
40440	COMM	43851TH41	H DISC NOTE MAT	Purchase	04/07/2020	HONEYWELL	49,763,652.78			**-49,763,652.78**
40438	COMM	90275DLL2	UBS AG STAMFORD	Purchase	04/07/2020	UBS AMERICA	50,000,000.00			**-50,000,000.00**
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	04/07/2020	JP MORGAN US	50,000,000.00			**-50,000,000.00**
40436	COMM	SYS40436	BCREPO 0.01% MAT	Redemption	04/07/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
40436	COMM	SYS40436	BCREPO 0.01% MAT	Interest	04/07/2020	BARCLAYS CAPITAL			55.56	**55.56**
		Totals for 04/07/2020					**444,763,652.78**	**200,000,000.00**	**55.56**	**-244,763,597.22**
40442	COMM	SYS40442	BCREPO 0.01% MAT	Purchase	04/08/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40445	COMM	3133ELVX9	FEDERAL FARM CR	Purchase	04/08/2020	FFCB NOTES	15,000,000.00			**-15,000,000.00**
40446	COMM	3133ELVV3	FEDERAL FARM CR	Purchase	04/08/2020	FFCB NOTES	15,000,000.00			**-15,000,000.00**
40443	COMM	63763PGH6	NSCCPP DISC NOTE	Purchase	04/08/2020	NATL SEC	49,902,777.78			**-49,902,777.78**
40444	COMM	2546R2J15	WALTPP DISC NOTE	Purchase	04/08/2020	Walt Disney Company	24,802,291.67			**-24,802,291.67**
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	04/08/2020	JP MORGAN US	50,000,000.00			**-50,000,000.00**
38326	COMM	3133EGG82	FEDERAL FARM CR	Redemption	04/08/2020	FFCB NOTES		1,942,780.00		**1,942,780.00**
38326	COMM	3133EGG82	FEDERAL FARM CR	Cap G/L	04/08/2020	FFCB NOTES		57,220.00		**57,220.00**
40437	COMM	SYS40437	BCREPO 0.001%	Redemption	04/08/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
38326	COMM	3133EGG82	FEDERAL FARM CR	Interest	04/08/2020	FFCB NOTES			12,075.55	**12,075.55**
40437	COMM	SYS40437	BCREPO 0.001%	Interest	04/08/2020	BARCLAYS CAPITAL			55.56	**55.56**
		Totals for 04/08/2020					**354,705,069.45**	**202,000,000.00**	**12,131.11**	**-152,692,938.34**
40447	COMM	SYS40447	BCREPO 0.01% MAT	Purchase	04/09/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40448	COMM	14178LJ43	CARGIL DISC NOTE	Purchase	04/09/2020	CARGILL INC	18,898,455.56			**-18,898,455.56**
40449	COMM	14178LK58	CARGIL DISC NOTE	Purchase	04/09/2020	CARGILL INC	19,865,750.00			**-19,865,750.00**
40450	COMM	31315KM98	FRMDN ZERO CPN	Purchase	04/09/2020	FARMER MAC	59,903,400.00			**-59,903,400.00**
40451	COMM	43851TN44	H ZERO CPN MAT	Purchase	04/09/2020	HONEYWELL	49,475,000.00			**-49,475,000.00**
40452	COMM	55380TCG3	MUFG 1.18% MAT	Purchase	04/09/2020	MUFG Mitsubishi	50,000,000.00			**-50,000,000.00**
40442	COMM	SYS40442	BCREPO 0.01% MAT	Redemption	04/09/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
40442	COMM	SYS40442	BCREPO 0.01% MAT	Interest	04/09/2020	BARCLAYS CAPITAL			55.57	**55.57**
		Totals for 04/09/2020					**398,142,605.56**	**200,000,000.00**	**55.57**	**-198,142,549.99**
40453	COMM	SYS40453	BCREPO 0.01% MAT	Purchase	04/13/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40455	COMM	14178LKK5	CARGIL ZERO CPN	Purchase	04/13/2020	CARGILL INC	14,889,750.00			**-14,889,750.00**
40454	COMM	166764AB6	CHEVRON CORP	Purchase	04/13/2020	CHEVRON CORP.	23,911,520.83			**-23,911,520.83**
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	04/13/2020	JP MORGAN US	100,000,000.00			**-100,000,000.00**
36866	COMM	3133EGKA2	FEDERAL FARM CR	Redemption	04/13/2020	FFCB NOTES		20,000,000.00		**20,000,000.00**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37665	COMM	3133EHFL2	FEDERAL FARM CR	Redemption	04/13/2020	FFCB NOTES		15,000,000.00		**15,000,000.00**
37666	COMM	3133EHFL2	FEDERAL FARM CR	Redemption	04/13/2020	FFCB NOTES		10,000,000.00		**10,000,000.00**
37667	COMM	3133EHFL2	FEDERAL FARM CR	Redemption	04/13/2020	FFCB NOTES		10,000,000.00		**10,000,000.00**
38342	COMM	3133EGAH8	FEDERAL FARM CR	Redemption	04/13/2020	FFCB NOTES		10,654,672.50		**10,654,672.50**
38342	COMM	3133EGAH8	FEDERAL FARM CR	Cap G/L	04/13/2020	FFCB NOTES		220,327.50		**220,327.50**
39206	COMM	3133EGXA8	FEDERAL FARM CR	Redemption	04/13/2020	FFCB NOTES		17,377,406.80		**17,377,406.80**
39206	COMM	3133EGXA8	FEDERAL FARM CR	Cap G/L	04/13/2020	FFCB NOTES		642,593.20		**642,593.20**
40447	COMM	SYS40447	BCREPO 0.01% MAT	Redemption	04/13/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
36866	COMM	3133EGKA2	FEDERAL FARM CR	Interest	04/13/2020	FFCB NOTES			80,833.32	**80,833.32**
37665	COMM	3133EHFL2	FEDERAL FARM CR	Interest	04/13/2020	FFCB NOTES			116,250.00	**116,250.00**
37666	COMM	3133EHFL2	FEDERAL FARM CR	Interest	04/13/2020	FFCB NOTES			77,500.00	**77,500.00**
37667	COMM	3133EHFL2	FEDERAL FARM CR	Interest	04/13/2020	FFCB NOTES			77,500.00	**77,500.00**
38342	COMM	3133EGAH8	FEDERAL FARM CR	Interest	04/13/2020	FFCB NOTES			68,361.46	**68,361.46**
39206	COMM	3133EGXA8	FEDERAL FARM CR	Interest	04/13/2020	FFCB NOTES			85,730.15	**85,730.15**
39439	COMM	89236TFX8	TOYOTA MOTOR	Interest	04/13/2020	TOYOTA MOTOR			331,250.00	**331,250.00**
39452	COMM	89236TFX8	TOYOTA MOTOR	Interest	04/13/2020	TOYOTA MOTOR			198,750.00	**198,750.00**
40447	COMM	SYS40447	BCREPO 0.01% MAT	Interest	04/13/2020	BARCLAYS CAPITAL			222.23	**222.23**
		Totals for 04/13/2020					**338,801,270.83**	**283,895,000.00**	**1,036,397.16**	**-53,869,873.67**
40456	COMM	SYS40456	BCREPO 0.05% MAT	Purchase	04/14/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40457	COMM	313385BE5	FHDN ZERO CPN	Purchase	04/14/2020	FHLB DISCOUNT	65,861,766.67			**-65,861,766.67**
40459	COMM	313379Q69	FEDERAL HOME	Purchase	04/14/2020	FHLB NOTES	10,451,094.44			**-10,451,094.44**
40458	COMM	71708EJE6	PFIZER DISC NOTE	Purchase	04/14/2020	PFIZER	14,942,625.00			**-14,942,625.00**
40453	COMM	SYS40453	BCREPO 0.01% MAT	Redemption	04/14/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
40453	COMM	SYS40453	BCREPO 0.01% MAT	Interest	04/14/2020	BARCLAYS CAPITAL			55.55	**55.55**
		Totals for 04/14/2020					**291,255,486.11**	**200,000,000.00**	**55.55**	**-91,255,430.56**
40460	COMM	SYS40460	BCREPO 0.03% MAT	Purchase	04/15/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40461	COMM	SYS40461	DGCXX 0.%	Purchase	04/15/2020	Dreyfus Government	100,000,000.00			**-100,000,000.00**
8506	COMM	SYS8506	LAIF 1.65%	Purchase	04/15/2020	LOCAL AGENCY	214,145.71			**-214,145.71**
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	04/15/2020	JOHN DEERE		146,777.64		**146,777.64**
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	04/15/2020	TOYOTA AUTO REC		0.02		**0.02**
40456	COMM	SYS40456	BCREPO 0.05% MAT	Redemption	04/15/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
38317	COMM	45818WBP0	IADB 1.42917% MAT	Interest	04/15/2020	IADB			216,450.00	**216,450.00**
38324	COMM	45818WBU9	IADB 1.64203% MAT	Interest	04/15/2020	IADB			117,500.00	**117,500.00**
38385	COMM	45818WBM7	IADB 1.92152% MAT	Interest	04/15/2020	IADB			102,600.00	**102,600.00**
38506	COMM	3133EJGH6	FEDERAL FARM CR	Interest	04/15/2020	FFCB NOTES			280,600.00	**280,600.00**
38739	COMM	45818WBM7A	IADB 1.92152% MAT	Interest	04/15/2020	IADB			51,300.00	**51,300.00**
40456	COMM	SYS40456	BCREPO 0.05% MAT	Interest	04/15/2020	BARCLAYS CAPITAL			277.77	**277.77**
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	04/15/2020	JOHN DEERE			217.75	**217.75**
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	04/15/2020	JOHN DEERE		20.90		**20.90**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	04/15/2020	JOHN DEERE				**0.00**
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	04/15/2020	JOHN DEERE				**0.00**
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	04/15/2020	TOYOTA AUTO REC			525.54	**525.54**
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	04/15/2020	TOYOTA AUTO REC		364,537.86		**364,537.86**
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	04/15/2020	TOYOTA AUTO REC				**0.00**
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	04/15/2020	TOYOTA AUTO REC				**0.00**
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	04/15/2020	HONDA AUTO			10,955.08	**10,955.08**
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	04/15/2020	HONDA AUTO		1,076,611.48		**1,076,611.48**
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	04/15/2020	TOYOTA AUTO REC			23,197.30	**23,197.30**
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	04/15/2020	TOYOTA AUTO REC		1,483,620.94		**1,483,620.94**
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	04/15/2020	NISSAN AUTO			31,496.58	**31,496.58**
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	04/15/2020	NISSAN AUTO		1,269,862.40		**1,269,862.40**
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	04/15/2020	JOHN DEERE			11,351.27	**11,351.27**
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	04/15/2020	JOHN DEERE		480,898.65		**480,898.65**
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Interest	04/15/2020	CHASE ISSUANCE			27,892.75	**27,892.75**
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Redemption	04/15/2020	CHASE ISSUANCE		1,101,325.33		**1,101,325.33**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	04/15/2020	TOYOTA AUTO REC			26,500.00	**26,500.00**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	04/15/2020	TOYOTA AUTO REC		293,064.23		**293,064.23**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	04/15/2020	HONDA AUTO			57,274.99	**57,274.99**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	04/15/2020	HONDA AUTO		654,847.05		**654,847.05**
39062	COMM	05522RCZ9	BACCT 3.% MAT	Interest	04/15/2020	BANK OF AMERICA			50,350.00	**50,350.00**
39062	COMM	05522RCZ9	BACCT 3.% MAT	Redemption	04/15/2020	BANK OF AMERICA		529,248.65		**529,248.65**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	04/15/2020	BANK OF AMERICA			38,750.00	**38,750.00**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	04/15/2020	BANK OF AMERICA		362,111.07		**362,111.07**
39190	COMM	58772TAC4	MBALT 3.1% MAT	Interest	04/15/2020	MERCEDES-BENZ			25,833.33	**25,833.33**
39190	COMM	58772TAC4	MBALT 3.1% MAT	Redemption	04/15/2020	MERCEDES-BENZ		511,938.76		**511,938.76**
39220	COMM	05522RCY2	BACCT 2.7% MAT	Interest	04/15/2020	BANK OF AMERICA			56,250.00	**56,250.00**
39220	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	04/15/2020	BANK OF AMERICA		685,151.98		**685,151.98**
39320	COMM	05522RCY2	BACCT 2.7% MAT	Interest	04/15/2020	BANK OF AMERICA			45,000.00	**45,000.00**
39320	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	04/15/2020	BANK OF AMERICA		549,173.39		**549,173.39**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	04/15/2020	Nissan Auto Receivab			41,666.66	**41,666.66**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	04/15/2020	Nissan Auto Receivab		470,633.86		**470,633.86**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	04/15/2020	Capital One Prime Au			18,825.00	**18,825.00**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	04/15/2020	Capital One Prime Au		211,754.78		**211,754.78**
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	04/15/2020	JOHN DEERE			16,114.59	**16,114.59**
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	04/15/2020	JOHN DEERE		198,137.61		**198,137.61**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	04/15/2020	HONDA AUTO			10,754.16	**10,754.16**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	04/15/2020	HONDA AUTO		181,144.00		**181,144.00**
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	04/15/2020	Capital One Multi Ex			50,166.66	**50,166.66**
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	04/15/2020	Capital One Multi Ex		676,264.99		**676,264.99**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	04/15/2020	MERCEDES -BENZ			43,650.01	**43,650.01**
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	04/15/2020	MERCEDES -BENZ		572,508.08		**572,508.08**
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	04/15/2020	HYUNDAI AUTO			18,995.84	**18,995.84**
40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	04/15/2020	HYUNDAI AUTO		250,447.96		**250,447.96**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	04/15/2020	TOYOTA AUTO REC			31,200.00	**31,200.00**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	04/15/2020	TOYOTA AUTO REC		424,795.29		**424,795.29**
40062	COMM	58769QAC5	MBALT 2.% MAT	Interest	04/15/2020	MERCEDES-BENZ			16,666.67	**16,666.67**
40062	COMM	58769QAC5	MBALT 2.% MAT	Redemption	04/15/2020	MERCEDES-BENZ		322,644.85		**322,644.85**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Interest	04/15/2020	ALLY Auto			19,933.33	**19,933.33**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Redemption	04/15/2020	ALLY Auto		250,996.14		**250,996.14**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Interest	04/15/2020	Harley-Davidson Moto			15,583.33	**15,583.33**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Redemption	04/15/2020	Harley-Davidson Moto		179,166.69		**179,166.69**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Interest	04/15/2020	CHASE ISSUANCE			34,425.00	**34,425.00**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Redemption	04/15/2020	CHASE ISSUANCE		457,575.25		**457,575.25**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Interest	04/15/2020	Capital One Prime Au			33,333.33	**33,333.33**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Redemption	04/15/2020	Capital One Prime Au		438,378.45		**438,378.45**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Interest	04/15/2020	MERCEDES-BENZ			45,999.99	**45,999.99**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	04/15/2020	MERCEDES-BENZ		898,267.72		**898,267.72**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Interest	04/15/2020	MERCEDES-BENZ			-3,066.67	**-3,066.67**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	04/15/2020	MERCEDES-BENZ				**0.00**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Interest	04/15/2020	MERCEDES-BENZ			9,200.00	**9,200.00**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	04/15/2020	MERCEDES-BENZ		177,160.12		**177,160.12**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Interest	04/15/2020	MERCEDES-BENZ			-2,453.33	**-2,453.33**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	04/15/2020	MERCEDES-BENZ				**0.00**
40411	COMM	254683CH6	DCENT 3.32% MAT	Interest	04/15/2020	Discover Card Execut			41,500.01	**41,500.01**
40411	COMM	254683CH6	DCENT 3.32% MAT	Redemption	04/15/2020	Discover Card Execut		337,906.31		**337,906.31**
40411	COMM	254683CH6	DCENT 3.32% MAT	Interest	04/15/2020	Discover Card Execut			-11,066.67	**-11,066.67**
40411	COMM	254683CH6	DCENT 3.32% MAT	Redemption	04/15/2020	Discover Card Execut				**0.00**
8506	COMM	SYS8506	LAIF 1.65%	Interest	04/15/2020	LOCAL AGENCY			214,145.71	**214,145.71**
		Totals for 04/15/2020					**300,214,145.71**	**215,556,972.45**	**1,819,895.98**	**-82,837,277.28**
40463	COMM	02314PH79	AMZN DISC NOTE	Purchase	04/16/2020	Amazon	49,937,222.22			**-49,937,222.22**
40464	COMM	02314PH79	AMZN DISC NOTE	Purchase	04/16/2020	Amazon	49,937,222.22			**-49,937,222.22**
40462	COMM	SYS40462	BCREPO 0.02% MAT	Purchase	04/16/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40465	COMM	05586FWT0	BNP P 0.84% MAT	Purchase	04/16/2020	BNP PARIBAS NY	50,000,000.00			**-50,000,000.00**
40467	COMM	313379RB7	FEDERAL HOME	Purchase	04/16/2020	FHLB NOTES	25,615,510.42			**-25,615,510.42**
40466	COMM	65558TWX9	NDAFNY 1.05% MAT	Purchase	04/16/2020	Nordea Bank Finland	30,000,000.00			**-30,000,000.00**
40460	COMM	SYS40460	BCREPO 0.03% MAT	Redemption	04/16/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
40257	COMM	78012USR7	RBCNY 1.79963%	Interest	04/16/2020	Royal Bank of			35,935.45	**35,935.45**
40460	COMM	SYS40460	BCREPO 0.03% MAT	Interest	04/16/2020	BARCLAYS CAPITAL			166.67	**166.67**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
		Totals for 04/16/2020					**405,489,954.86**	**200,000,000.00**	**36,102.12**	**-205,453,852.74**
40468	COMM	SYS40468	BCREPO 0.02% MAT	Purchase	04/17/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40469	COMM	3134GVKA5	FHLMC 1.2% MAT	Purchase	04/17/2020	FHLMC NOTES	30,000,000.00			**-30,000,000.00**
40470	COMM	3134GVLJ5	FHLMC 1.15% MAT	Purchase	04/17/2020	FHLMC NOTES	25,000,000.00			**-25,000,000.00**
37702	COMM	89236TDU6	TOYOTA MOTOR	Redemption	04/17/2020	TOYOTA MOTOR		5,000,000.00		**5,000,000.00**
37702	COMM	89236TDU6	TOYOTA MOTOR	Interest	04/17/2020	TOYOTA MOTOR			48,750.00	**48,750.00**
		Totals for 04/17/2020					**255,000,000.00**	**5,000,000.00**	**48,750.00**	**-249,951,250.00**
40471	COMM	SYS40471	BCREPO 0.01% MAT	Purchase	04/20/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40472	COMM	3135G03K7	FEDERAL NATL MTG	Purchase	04/20/2020	FNMA NOTES	100,000,000.00			**-100,000,000.00**
40473	COMM	3135G03M3	FEDERAL NATL MTG	Purchase	04/20/2020	FNMA NOTES	50,000,000.00			**-50,000,000.00**
37835	COMM	3137EAEF2	FHLMC 1.375% MAT	Redemption	04/20/2020	FHLMC NOTES		45,000,000.00		**45,000,000.00**
40462	COMM	SYS40462	BCREPO 0.02% MAT	Redemption	04/20/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
40468	COMM	SYS40468	BCREPO 0.02% MAT	Redemption	04/20/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
37835	COMM	3137EAEF2	FHLMC 1.375% MAT	Interest	04/20/2020	FHLMC NOTES			309,375.00	**309,375.00**
38943	COMM	3133EJK24	FEDERAL FARM CR	Interest	04/20/2020	FFCB NOTES			225,000.00	**225,000.00**
40462	COMM	SYS40462	BCREPO 0.02% MAT	Interest	04/20/2020	BARCLAYS CAPITAL			111.11	**111.11**
40468	COMM	SYS40468	BCREPO 0.02% MAT	Interest	04/20/2020	BARCLAYS CAPITAL			333.32	**333.32**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	04/20/2020	HONDA AUTO			28,532.70	**28,532.70**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	04/20/2020	HONDA AUTO		843,741.12		**843,741.12**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	04/20/2020	HONDA AUTO			32,427.08	**32,427.08**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	04/20/2020	HONDA AUTO		387,732.08		**387,732.08**
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Interest	04/20/2020	BMW VEHICLE			18,250.00	**18,250.00**
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Redemption	04/20/2020	BMW VEHICLE		257,169.93		**257,169.93**
39612	COMM	92349GAA9	VERIZON OWNER	Interest	04/20/2020	VERIZON OWNER			67,958.35	**67,958.35**
39612	COMM	92349GAA9	VERIZON OWNER	Redemption	04/20/2020	VERIZON OWNER		796,803.31		**796,803.31**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Interest	04/20/2020	Volkswagen Auto			17,412.50	**17,412.50**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Redemption	04/20/2020	Volkswagen Auto		320,060.26		**320,060.26**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Interest	04/20/2020	VERIZON OWNER			38,800.01	**38,800.01**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Redemption	04/20/2020	VERIZON OWNER		494,668.85		**494,668.85**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Interest	04/20/2020	GM Fin'cl Auto Leasi			6,958.34	**6,958.34**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Redemption	04/20/2020	GM Fin'cl Auto Leasi		149,774.77		**149,774.77**
40416	COMM	92868LAD3	VALET 3.02% MAT	Interest	04/20/2020	VOLKSWAGEN			50,333.34	**50,333.34**
40416	COMM	92868LAD3	VALET 3.02% MAT	Redemption	04/20/2020	VOLKSWAGEN		648,640.21		**648,640.21**
40416	COMM	92868LAD3	VALET 3.02% MAT	Interest	04/20/2020	VOLKSWAGEN			-6,711.11	**-6,711.11**
40416	COMM	92868LAD3	VALET 3.02% MAT	Redemption	04/20/2020	VOLKSWAGEN				**0.00**
		Totals for 04/20/2020					**350,000,000.00**	**448,898,590.53**	**788,780.64**	**99,687,371.17**
40474	COMM	SYS40474	BCREPO 0.01% MAT	Purchase	04/21/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40475	COMM	3134GVMA3	FEDERAL HOME LN	Purchase	04/21/2020	FHLMC NOTES	40,000,000.00			**-40,000,000.00**
40476	COMM	3134GVMA3	FEDERAL HOME LN	Purchase	04/21/2020	FHLMC NOTES	40,000,000.00			**-40,000,000.00**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40477	COMM	90327QD48	USAA CAP CORP	Purchase	04/21/2020	USAA CAPITAL	2,496,900.00			-2,496,900.00
40471	COMM	SYS40471	BCREPO 0.01% MAT	Redemption	04/21/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40471	COMM	SYS40471	BCREPO 0.01% MAT	Interest	04/21/2020	BARCLAYS CAPITAL			55.57	55.57
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	04/21/2020	HONDA AUTO			3,917.91	3,917.91
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	04/21/2020	HONDA AUTO		517,883.03		517,883.03
39582	COMM	43815MAC0	HAROT 2.52% MAT	Interest	04/21/2020	HONDA AUTO			35,700.00	35,700.00
39582	COMM	43815MAC0	HAROT 2.52% MAT	Redemption	04/21/2020	HONDA AUTO		449,441.44		449,441.44
40346	COMM	43813RAC1	HAROT 1.61% MAT	Interest	04/21/2020	HONDA AUTO			22,137.51	22,137.51
40346	COMM	43813RAC1	HAROT 1.61% MAT	Redemption	04/21/2020	HONDA AUTO		331,349.25		331,349.25
		Totals for 04/21/2020					**282,496,900.00**	**201,298,673.72**	**61,810.99**	**-81,136,415.29**
40478	COMM	SYS40478	BCREPO 0.01% MAT	Purchase	04/22/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40474	COMM	SYS40474	BCREPO 0.01% MAT	Redemption	04/22/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40474	COMM	SYS40474	BCREPO 0.01% MAT	Interest	04/22/2020	BARCLAYS CAPITAL			55.56	55.56
		Totals for 04/22/2020					**200,000,000.00**	**200,000,000.00**	**55.56**	**55.56**
40479	COMM	SYS40479	BCREPO 0.01% MAT	Purchase	04/23/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40481	COMM	917542WF5	UTAH ST, GO BDS	Purchase	04/23/2020	UTAH ST	3,415,163.33			-3,415,163.33
40478	COMM	SYS40478	BCREPO 0.01% MAT	Redemption	04/23/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40478	COMM	SYS40478	BCREPO 0.01% MAT	Interest	04/23/2020	BARCLAYS CAPITAL			55.56	55.56
		Totals for 04/23/2020					**203,415,163.33**	**200,000,000.00**	**55.56**	**-3,415,107.77**
40480	COMM	SYS40480	BCREPO 0.02% MAT	Purchase	04/24/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40479	COMM	SYS40479	BCREPO 0.01% MAT	Redemption	04/24/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40479	COMM	SYS40479	BCREPO 0.01% MAT	Interest	04/24/2020	BARCLAYS CAPITAL			55.54	55.54
		Totals for 04/24/2020					**200,000,000.00**	**200,000,000.00**	**55.54**	**55.54**
40482	COMM	SYS40482	BCREPO 0.02% MAT	Purchase	04/27/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40484	COMM	3133ELXM1	FEDERAL FARM CR	Purchase	04/27/2020	FFCB NOTES	29,988,600.00			-29,988,600.00
40483	COMM	3134GVMN5	FEDERAL HOME LN	Purchase	04/27/2020	FHLMC NOTES	10,000,000.00			-10,000,000.00
37696	COMM	3134GBEF5	FEDERAL HOME LN	Redemption	04/27/2020	FHLMC NOTES		3,640,000.00		3,640,000.00
39014	COMM	3130A8R54	FEDERAL HOME	Redemption	04/27/2020	FHLB NOTES		4,710,050.00		4,710,050.00
39014	COMM	3130A8R54	FEDERAL HOME	Cap G/L	04/27/2020	FHLB NOTES		289,950.00		289,950.00
40480	COMM	SYS40480	BCREPO 0.02% MAT	Redemption	04/27/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
37641	COMM	3130AB3T1	FEDERAL HOME	Interest	04/27/2020	FHLB NOTES			175,000.00	175,000.00
37696	COMM	3134GBEF5	FEDERAL HOME LN	Interest	04/27/2020	FHLMC NOTES			30,940.00	30,940.00
38081	COMM	3133EHJ95	FEDERAL FARM CR	Interest	04/27/2020	FFCB NOTES			87,500.00	87,500.00
38082	COMM	3133EHJ95	FEDERAL FARM CR	Interest	04/27/2020	FFCB NOTES			43,750.00	43,750.00
38529	COMM	191216BT6	KOPP 1.875% MAT	Interest	04/27/2020	Coca-Cola Co			70,312.50	70,312.50
38921	COMM	3130AFA72	FEDERAL HOME	Interest	04/27/2020	FHLB NOTES			195,000.00	195,000.00
39014	COMM	3130A8R54	FEDERAL HOME	Interest	04/27/2020	FHLB NOTES			22,250.00	22,250.00
40480	COMM	SYS40480	BCREPO 0.02% MAT	Interest	04/27/2020	BARCLAYS CAPITAL			333.34	333.34

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38387	COMM	3137AYCE9		Interest	04/27/2020	FHLMC Multi-Family			44,700.00	**44,700.00**
38387	COMM	3137AYCE9		Redemption	04/27/2020	FHLMC Multi-Family		708,891.59		**708,891.59**
38391	COMM	3137AYCE9		Interest	04/27/2020	FHLMC Multi-Family			16,762.50	**16,762.50**
38391	COMM	3137AYCE9		Redemption	04/27/2020	FHLMC Multi-Family		265,700.82		**265,700.82**
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	04/27/2020	BMW VEHICLE			39,299.84	**39,299.84**
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	04/27/2020	BMW VEHICLE		2,073,872.51		**2,073,872.51**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	04/27/2020	FHLMC Multi-Family			10,727.55	**10,727.55**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	04/27/2020	FHLMC Multi-Family		204,168.20		**204,168.20**
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	04/27/2020	FNMA Multi-Family			7,586.58	**7,586.58**
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	04/27/2020	FNMA Multi-Family		5,787.90		**5,787.90**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	04/27/2020	FHLMC Multi-Family			55,333.33	**55,333.33**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	04/27/2020	FHLMC Multi-Family		640,119.09		**640,119.09**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	04/27/2020	FNMA Multi-Family			8,322.97	**8,322.97**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	04/27/2020	FNMA Multi-Family		43,987.63		**43,987.63**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	04/27/2020	FNMA Multi-Family			8,322.97	**8,322.97**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	04/27/2020	FNMA Multi-Family		43,987.63		**43,987.63**
38666	COMM	3137AYCE9		Interest	04/27/2020	FHLMC Multi-Family			26,250.08	**26,250.08**
38666	COMM	3137AYCE9		Redemption	04/27/2020	FHLMC Multi-Family		408,536.33		**408,536.33**
38744	COMM	3137AYCE9		Interest	04/27/2020	FHLMC Multi-Family			44,700.00	**44,700.00**
38744	COMM	3137AYCE9		Redemption	04/27/2020	FHLMC Multi-Family		695,924.30		**695,924.30**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	04/27/2020	FHLMC Multi-Family			12,176.25	**12,176.25**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	04/27/2020	FHLMC Multi-Family		134,429.26		**134,429.26**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Interest	04/27/2020	FHLMC Multi-Family			29,425.00	**29,425.00**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Redemption	04/27/2020	FHLMC Multi-Family		283,899.46		**283,899.46**
38945	COMM	3137B3NA2	FHLMC REMIC	Interest	04/27/2020	FHLMC Multi-Family			28,723.99	**28,723.99**
38945	COMM	3137B3NA2	FHLMC REMIC	Redemption	04/27/2020	FHLMC Multi-Family		318,542.20		**318,542.20**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Interest	04/27/2020	FHLMC Multi-Family			28,816.67	**28,816.67**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Redemption	04/27/2020	FHLMC Multi-Family		277,127.84		**277,127.84**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Interest	04/27/2020	FNMA Multi-Family			69,231.13	**69,231.13**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Redemption	04/27/2020	FNMA Multi-Family		62,925.93		**62,925.93**
39158	COMM	31381RZ23	FNMAM 3.84% MAT	Interest	04/27/2020	FNMA Multi-Family			46,974.86	**46,974.86**
39158	COMM	31381RZ23	FNMAM 3.84% MAT	Redemption	04/27/2020	FNMA Multi-Family		26,880.81		**26,880.81**
39218	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	04/27/2020	FNMA Multi-Family			38,473.19	**38,473.19**
39218	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	04/27/2020	FNMA Multi-Family		20,421.96		**20,421.96**
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	04/27/2020	FNMA Multi-Family			6,422.06	**6,422.06**
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	04/27/2020	FNMA Multi-Family		3,408.90		**3,408.90**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Interest	04/27/2020	FHLMC Multi-Family			58,837.50	**58,837.50**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Redemption	04/27/2020	FHLMC Multi-Family		870,303.26		**870,303.26**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Interest	04/27/2020	FNMA Multi-Family			53,173.61	**53,173.61**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Redemption	04/27/2020	FNMA Multi-Family		634,549.13		**634,549.13**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39342	COMM	3137BQR90	FHLMCM 2.272%	Interest	04/27/2020	FHLMC Multi-Family			44,304.00	**44,304.00**
39342	COMM	3137BQR90	FHLMCM 2.272%	Redemption	04/27/2020	FHLMC Multi-Family		752,371.59		**752,371.59**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Interest	04/27/2020	FNMA Multi-Family			154,461.97	**154,461.97**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Redemption	04/27/2020	FNMA Multi-Family		4,640,499.36		**4,640,499.36**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Interest	04/27/2020	FNMA Multi-Family			31,618.86	**31,618.86**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Redemption	04/27/2020	FNMA Multi-Family		30,672.07		**30,672.07**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Interest	04/27/2020	FNMA Multi-Family			9,256.94	**9,256.94**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Redemption	04/27/2020	FNMA Multi-Family		128,956.39		**128,956.39**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Interest	04/27/2020	FNMA Multi-Family			13,406.05	**13,406.05**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Redemption	04/27/2020	FNMA Multi-Family		12,939.68		**12,939.68**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Interest	04/27/2020	FHLMC Multi-Family			43,066.72	**43,066.72**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Redemption	04/27/2020	FHLMC Multi-Family		246,791.36		**246,791.36**
39721	COMM	3137BQR90-A	FHLMCM 2.272%	Interest	04/27/2020	FHLMC Multi-Family			6,778.13	**6,778.13**
39721	COMM	3137BQR90-A	FHLMCM 2.272%	Redemption	04/27/2020	FHLMC Multi-Family		117,294.36		**117,294.36**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Interest	04/27/2020	FNMA Multi-Family			11,151.39	**11,151.39**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Redemption	04/27/2020	FNMA Multi-Family		189,596.85		**189,596.85**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Interest	04/27/2020	FNMA Multi-Family			12,196.14	**12,196.14**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Redemption	04/27/2020	FNMA Multi-Family		11,946.48		**11,946.48**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Interest	04/27/2020	FNMA Multi-Family			16,105.88	**16,105.88**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Redemption	04/27/2020	FNMA Multi-Family		20,772.96		**20,772.96**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	04/27/2020	BMW VEHICLE			27,600.00	**27,600.00**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	04/27/2020	BMW VEHICLE		381,448.40		**381,448.40**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Interest	04/27/2020	FNMA Multi-Family			19,364.81	**19,364.81**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Redemption	04/27/2020	FNMA Multi-Family		20,865.40		**20,865.40**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Interest	04/27/2020	FNMA Multi-Family			13,592.46	**13,592.46**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Redemption	04/27/2020	FNMA Multi-Family		13,120.35		**13,120.35**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Interest	04/27/2020	FHLMC Multi-Family			39,314.14	**39,314.14**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Redemption	04/27/2020	FHLMC Multi-Family		22,654.12		**22,654.12**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Interest	04/27/2020	FHLMC Multi-Family			-19,657.07	**-19,657.07**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Redemption	04/27/2020	FHLMC Multi-Family				**0.00**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Interest	04/27/2020	FHLMC Multi-Family			24,807.08	**24,807.08**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Redemption	04/27/2020	FHLMC Multi-Family		236,669.06		**236,669.06**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Interest	04/27/2020	FHLMC Multi-Family			-13,230.44	**-13,230.44**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Redemption	04/27/2020	FHLMC Multi-Family				**0.00**
		Totals for 04/27/2020					**239,988,600.00**	**223,190,063.18**	**1,693,482.98**	**-15,105,053.84**
40485	COMM	SYS40485	BCREPO 0.01% MAT	Purchase	04/28/2020	BARCLAYS CAPITAL	200,000,000.00			**-200,000,000.00**
40482	COMM	SYS40482	BCREPO 0.02% MAT	Redemption	04/28/2020	BARCLAYS CAPITAL		200,000,000.00		**200,000,000.00**
40482	COMM	SYS40482	BCREPO 0.02% MAT	Interest	04/28/2020	BARCLAYS CAPITAL			111.10	**111.10**
		Totals for 04/28/2020					**200,000,000.00**	**200,000,000.00**	**111.10**	**111.10**

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40486	COMM	SYS40486	BCREPO 0.01% MAT	Purchase	04/29/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40487	COMM	3134GVNG9	FEDERAL HOME LN	Purchase	04/29/2020	FHLMC NOTES	30,000,000.00			-30,000,000.00
40488	COMM	3135G04B6	FEDERAL NATL MTG	Purchase	04/29/2020	FNMA NOTES	30,000,000.00			-30,000,000.00
40485	COMM	SYS40485	BCREPO 0.01% MAT	Redemption	04/29/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
38090	COMM	3134GBU83	FEDERAL HOME LN	Interest	04/29/2020	FHLMC NOTES			150,000.00	150,000.00
40485	COMM	SYS40485	BCREPO 0.01% MAT	Interest	04/29/2020	BARCLAYS CAPITAL			55.56	55.56
		Totals for 04/29/2020					**260,000,000.00**	**200,000,000.00**	**150,055.56**	**-59,849,944.44**
40489	COMM	3135G03U5	FNMA 0.625% MAT	Purchase	04/30/2020	FNMA NOTES	7,019,209.17			-7,019,209.17
40486	COMM	SYS40486	BCREPO 0.01% MAT	Redemption	04/30/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40486	COMM	SYS40486	BCREPO 0.01% MAT	Interest	04/30/2020	BARCLAYS CAPITAL			55.56	55.56
		Totals for 04/30/2020					**7,019,209.17**	**200,000,000.00**	**55.56**	**192,980,846.39**
40490	COMM	SYS40490	BCREPO 0.02% MAT	Purchase	05/01/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40491	COMM	3134GVQE1	FEDERAL HOME LN	Purchase	05/01/2020	FHLMC NOTES	20,000,416.67			-20,000,416.67
40370	COMM	17325FAN8	CITINA 3.05% MAT	Redemption	05/01/2020	CITI BANK NA		45,000,000.00		45,000,000.00
40370	COMM	17325FAN8	CITINA 3.05% MAT	Interest	05/01/2020	CITI BANK NA			686,250.00	686,250.00
40370	COMM	17325FAN8	CITINA 3.05% MAT	Accr Int	05/01/2020	CITI BANK NA		495,625.00	-495,625.00	0.00
		Totals for 05/01/2020					**220,000,416.67**	**45,495,625.00**	**190,625.00**	**-174,314,166.67**
40492	COMM	SYS40492	BCREPO 0.04% MAT	Purchase	05/04/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40490	COMM	SYS40490	BCREPO 0.02% MAT	Redemption	05/04/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
37761	COMM	3133EHHG1	FFCB 1.75% MAT	Interest	05/04/2020	FFCB NOTES			43,750.00	43,750.00
37762	COMM	3133EHHG1	FFCB 1.75% MAT	Interest	05/04/2020	FFCB NOTES			87,500.00	87,500.00
38126	COMM	3133EHP31	FFCB 1.95% MAT	Interest	05/04/2020	FFCB NOTES			97,256.25	97,256.25
38524	COMM	742718EQ8	PG 1.7% MAT	Interest	05/04/2020	PROCTER &			170,000.00	170,000.00
38526	COMM	742718EQ8	PG 1.7% MAT	Interest	05/04/2020	PROCTER &			85,000.00	85,000.00
40009	COMM	3133EK4N3	FEDERAL FARM CR	Interest	05/04/2020	FFCB NOTES			225,000.00	225,000.00
40010	COMM	3130AHGL1	FEDERAL HOME	Interest	05/04/2020	FHLB NOTES			234,375.00	234,375.00
40490	COMM	SYS40490	BCREPO 0.02% MAT	Interest	05/04/2020	BARCLAYS CAPITAL			333.34	333.34
34292	COMM	SYS34292	MSTI 0.%	Interest	05/04/2020	MORGAN STANLEY			6.04	6.04
34292	COMM	SYS34292	MSTI 0.%	Purchase	05/04/2020	MORGAN STANLEY	6.04			-6.04
37590	COMM	SYS37590	JPM TE 0.44%	Interest	05/04/2020	JP MORGAN US			84,635.69	84,635.69
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	05/04/2020	JP MORGAN US	84,635.69			-84,635.69
40461	COMM	SYS40461	DGCXX 0.%	Interest	05/04/2020	Dreyfus Government			8,525.40	8,525.40
40461	COMM	SYS40461	DGCXX 0.%	Purchase	05/04/2020	Dreyfus Government	8,525.40			-8,525.40
		Totals for 05/04/2020					**200,093,167.13**	**200,000,000.00**	**1,036,381.72**	**943,214.59**
40493	COMM	SYS40493	BCREPO 0.03% MAT	Purchase	05/05/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40492	COMM	SYS40492	BCREPO 0.04% MAT	Redemption	05/05/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40492	COMM	SYS40492	BCREPO 0.04% MAT	Interest	05/05/2020	BARCLAYS CAPITAL			222.24	222.24
		Totals for 05/05/2020					**200,000,000.00**	**200,000,000.00**	**222.24**	**222.24**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40494	COMM	SYS40494	BCREPO 0.04% MAT	Purchase	05/06/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40495	COMM	3133ELYJ7	FEDERAL FARM CR	Purchase	05/06/2020	FFCB NOTES	15,000,000.00			-15,000,000.00
40493	COMM	SYS40493	BCREPO 0.03% MAT	Redemption	05/06/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40493	COMM	SYS40493	BCREPO 0.03% MAT	Interest	05/06/2020	BARCLAYS CAPITAL			166.68	166.68
		Totals for 05/06/2020					**215,000,000.00**	**200,000,000.00**	**166.68**	**-14,999,833.32**
40496	COMM	SYS40496	BCREPO 0.04% MAT	Purchase	05/07/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40498	COMM	7976466Q4	SAN FRANCISCO	Purchase	05/07/2020	SAN FRANCISCO	1,356,912.50			-1,356,912.50
40494	COMM	SYS40494	BCREPO 0.04% MAT	Redemption	05/07/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40494	COMM	SYS40494	BCREPO 0.04% MAT	Interest	05/07/2020	BARCLAYS CAPITAL			222.21	222.21
		Totals for 05/07/2020					**201,356,912.50**	**200,000,000.00**	**222.21**	**-1,356,690.29**
37793	COMM	3133EHJA2	FEDERAL FARM CR	Redemption	05/08/2020	FFCB NOTES		35,000,000.00		35,000,000.00
40496	COMM	SYS40496	BCREPO 0.04% MAT	Redemption	05/08/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
37793	COMM	3133EHJA2	FEDERAL FARM CR	Interest	05/08/2020	FFCB NOTES			271,250.00	271,250.00
40496	COMM	SYS40496	BCREPO 0.04% MAT	Interest	05/08/2020	BARCLAYS CAPITAL			222.21	222.21
		Totals for 05/08/2020						**235,000,000.00**	**271,472.21**	**235,271,472.21**
40499	COMM	037833DV9	APPLE INC, SR NT	Purchase	05/11/2020	APPLE INC	6,980,960.00			-6,980,960.00
40500	COMM	166764BV1	CHEVRON CORP	Purchase	05/11/2020	CHEVRON CORP.	5,000,000.00			-5,000,000.00
37590	COMM	SYS37590	JPM TE 0.44%	Redemption	05/11/2020	JP MORGAN US		100,000,000.00		100,000,000.00
40461	COMM	SYS40461	DGCXX 0.%	Redemption	05/11/2020	Dreyfus Government		100,008,525.40		100,008,525.40
40165	COMM	037833CU2	APPLE INC, SR GLBL	Interest	05/11/2020	APPLE INC			142,500.00	142,500.00
40165	COMM	037833CU2	APPLE INC, SR GLBL	Accr Int	05/11/2020	APPLE INC		27,708.33	-27,708.33	0.00
40407	COMM	06417MHH1	BANK NOVA SCOTIA	Interest	05/11/2020	BANK OF NOVA			65,642.78	65,642.78
40407	COMM	06417MHH1	BANK NOVA SCOTIA	Accr Int	05/11/2020	BANK OF NOVA		37,663.89	-37,663.89	0.00
		Totals for 05/11/2020					**11,980,960.00**	**200,073,897.62**	**142,770.56**	**188,235,708.18**
37663	COMM	4581X0CX4	IADB 1.625% MAT	Redemption	05/12/2020	IADB		35,000,000.00		35,000,000.00
37663	COMM	4581X0CX4	IADB 1.625% MAT	Interest	05/12/2020	IADB			284,375.00	284,375.00
		Totals for 05/12/2020						**35,000,000.00**	**284,375.00**	**35,284,375.00**
38124	COMM	037833DJ6	APPLE INC, SR NT	Interest	05/13/2020	APPLE INC			450,000.00	450,000.00
		Totals for 05/13/2020							**450,000.00**	**450,000.00**
40501	COMM	3130A2UW4	FEDERAL HOME	Purchase	05/14/2020	FHLB NOTES	5,536,707.64			-5,536,707.64
		Totals for 05/14/2020					**5,536,707.64**			**-5,536,707.64**
39314	COMM	91412GWY7	UNIVERSITY CALIF	Interest	05/15/2020	UNIVERSITY			56,520.00	56,520.00
39321	COMM	91412HEX7	UNIVCA 2.657% MAT	Interest	05/15/2020	UNIVERSITY			13,285.00	13,285.00
39322	COMM	91412HEW9	UNIVERSITY CALIF	Interest	05/15/2020	UNIVERSITY			8,671.60	8,671.60
39553	COMM	19416QEL0	CP 2.25% MAT	Interest	05/15/2020	COLGATE-PALMOLI			106,942.50	106,942.50
39565	COMM	3133EKLA2	FEDERAL FARM CR	Interest	05/15/2020	FFCB NOTES			110,285.00	110,285.00
40379	COMM	742651DX7	PRIVATE EXPT FDG	Interest	05/15/2020	PRIVATE EXPORT			106,102.49	106,102.49

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40379	COMM	742651DX7	PRIVATE EXPT FDG	Accr Int	05/15/2020	PRIVATE EXPORT		71,111.25	-71,111.25	**0.00**
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	05/15/2020	HONDA AUTO			9,447.82	**9,447.82**
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	05/15/2020	HONDA AUTO		993,758.44		**993,758.44**
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	05/15/2020	TOYOTA AUTO REC			20,811.12	**20,811.12**
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	05/15/2020	TOYOTA AUTO REC		1,291,213.92		**1,291,213.92**
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	05/15/2020	NISSAN AUTO			28,692.30	**28,692.30**
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	05/15/2020	NISSAN AUTO		1,089,687.09		**1,089,687.09**
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	05/15/2020	JOHN DEERE			10,285.28	**10,285.28**
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	05/15/2020	JOHN DEERE		571,150.21		**571,150.21**
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Interest	05/15/2020	CHASE ISSUANCE			31,265.00	**31,265.00**
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Redemption	05/15/2020	CHASE ISSUANCE		1,132,201.94		**1,132,201.94**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	05/15/2020	TOYOTA AUTO REC			26,500.00	**26,500.00**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	05/15/2020	TOYOTA AUTO REC		302,655.45		**302,655.45**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	05/15/2020	HONDA AUTO			57,274.99	**57,274.99**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	05/15/2020	HONDA AUTO		676,719.85		**676,719.85**
39062	COMM	05522RCZ9	BACCT 3.% MAT	Interest	05/15/2020	BANK OF AMERICA			50,350.00	**50,350.00**
39062	COMM	05522RCZ9	BACCT 3.% MAT	Redemption	05/15/2020	BANK OF AMERICA		544,330.18		**544,330.18**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	05/15/2020	BANK OF AMERICA			38,750.00	**38,750.00**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	05/15/2020	BANK OF AMERICA		372,201.39		**372,201.39**
39190	COMM	58772TAC4	MBALT 3.1% MAT	Interest	05/15/2020	MERCEDES-BENZ			25,833.33	**25,833.33**
39190	COMM	58772TAC4	MBALT 3.1% MAT	Redemption	05/15/2020	MERCEDES-BENZ		539,842.64		**539,842.64**
39220	COMM	05522RCY2	BACCT 2.7% MAT	Interest	05/15/2020	BANK OF AMERICA			56,250.00	**56,250.00**
39220	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	05/15/2020	BANK OF AMERICA		704,554.97		**704,554.97**
39320	COMM	05522RCY2	BACCT 2.7% MAT	Interest	05/15/2020	BANK OF AMERICA			45,000.00	**45,000.00**
39320	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	05/15/2020	BANK OF AMERICA		564,725.57		**564,725.57**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	05/15/2020	Nissan Auto Receivab			41,666.66	**41,666.66**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	05/15/2020	Nissan Auto Receivab		484,515.16		**484,515.16**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	05/15/2020	Capital One Prime Au			18,825.00	**18,825.00**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	05/15/2020	Capital One Prime Au		218,001.51		**218,001.51**
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	05/15/2020	JOHN DEERE			16,114.59	**16,114.59**
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	05/15/2020	JOHN DEERE		204,000.30		**204,000.30**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	05/15/2020	HONDA AUTO			10,754.16	**10,754.16**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	05/15/2020	HONDA AUTO		186,758.36		**186,758.36**
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	05/15/2020	Capital One Multi Ex			50,166.66	**50,166.66**
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	05/15/2020	Capital One Multi Ex		694,753.17		**694,753.17**
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	05/15/2020	MERCEDES -BENZ			43,650.01	**43,650.01**
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	05/15/2020	MERCEDES -BENZ		588,932.90		**588,932.90**
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	05/15/2020	HYUNDAI AUTO			18,995.84	**18,995.84**
40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	05/15/2020	HYUNDAI AUTO		257,816.51		**257,816.51**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	05/15/2020	TOYOTA AUTO REC			31,200.00	**31,200.00**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	05/15/2020	TOYOTA AUTO REC		437,422.86		**437,422.86**
40062	COMM	58769QAC5	MBALT 2.% MAT	Interest	05/15/2020	MERCEDES-BENZ			16,666.67	**16,666.67**
40062	COMM	58769QAC5	MBALT 2.% MAT	Redemption	05/15/2020	MERCEDES-BENZ		335,022.08		**335,022.08**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Interest	05/15/2020	ALLY Auto			19,933.33	**19,933.33**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Redemption	05/15/2020	ALLY Auto		258,041.45		**258,041.45**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Interest	05/15/2020	Harley-Davidson Moto			15,583.33	**15,583.33**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Redemption	05/15/2020	Harley-Davidson Moto		184,179.14		**184,179.14**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Interest	05/15/2020	CHASE ISSUANCE			34,425.00	**34,425.00**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Redemption	05/15/2020	CHASE ISSUANCE		470,182.36		**470,182.36**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Interest	05/15/2020	Capital One Prime Au			33,333.33	**33,333.33**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Redemption	05/15/2020	Capital One Prime Au		450,604.19		**450,604.19**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Interest	05/15/2020	MERCEDES-BENZ			45,999.99	**45,999.99**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	05/15/2020	MERCEDES-BENZ		931,434.97		**931,434.97**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Interest	05/15/2020	MERCEDES-BENZ			9,200.00	**9,200.00**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	05/15/2020	MERCEDES-BENZ		183,701.50		**183,701.50**
40411	COMM	254683CH6	DCENT 3.32% MAT	Interest	05/15/2020	Discover Card Execut			41,500.01	**41,500.01**
40411	COMM	254683CH6	DCENT 3.32% MAT	Redemption	05/15/2020	Discover Card Execut		347,147.37		**347,147.37**
		Totals for 05/15/2020						**15,086,666.73**	**1,179,169.76**	**16,265,836.49**
38135	COMM	3137EAEK1	FHLMC 1.875% MAT	Interest	05/18/2020	FHLMC NOTES			234,375.00	**234,375.00**
39549	COMM	166764BG4	CHEVRON CORP	Interest	05/18/2020	CHEVRON CORP.			90,037.50	**90,037.50**
40257	COMM	78012USR7	RBCNY 1.79963%	Interest	05/18/2020	Royal Bank of			41,072.45	**41,072.45**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	05/18/2020	HONDA AUTO			26,416.31	**26,416.31**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	05/18/2020	HONDA AUTO		747,066.35		**747,066.35**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	05/18/2020	HONDA AUTO			32,427.08	**32,427.08**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	05/18/2020	HONDA AUTO		400,327.55		**400,327.55**
		Totals for 05/18/2020						**1,147,393.90**	**424,328.34**	**1,571,722.24**
40502	COMM	3130AJLA5	FEDERAL HOME	Purchase	05/19/2020	FHLB NOTES	5,000,000.00			**-5,000,000.00**
38601	COMM	02079KAA5	GOOG 3.625% MAT	Interest	05/19/2020	GOOGLE INC			302,778.13	**302,778.13**
40025	COMM	3130AHJY0	FEDERAL HOME	Interest	05/19/2020	FHLB NOTES			86,215.30	**86,215.30**
		Totals for 05/19/2020					**5,000,000.00**		**388,993.43**	**-4,611,006.57**
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Interest	05/20/2020	BMW VEHICLE			18,250.00	**18,250.00**
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Redemption	05/20/2020	BMW VEHICLE		267,016.09		**267,016.09**
39612	COMM	92349GAA9	VERIZON OWNER	Interest	05/20/2020	VERIZON OWNER			67,958.35	**67,958.35**
39612	COMM	92349GAA9	VERIZON OWNER	Redemption	05/20/2020	VERIZON OWNER		820,265.31		**820,265.31**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Interest	05/20/2020	Volkswagen Auto			17,412.50	**17,412.50**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Redemption	05/20/2020	Volkswagen Auto		331,716.28		**331,716.28**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Interest	05/20/2020	VERIZON OWNER			38,800.01	**38,800.01**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Redemption	05/20/2020	VERIZON OWNER		508,831.70		**508,831.70**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Interest	05/20/2020	GM Fin'cl Auto Leasi			6,958.34	**6,958.34**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40341	COMM	36259KAD9	GMALT 1.67% MAT	Redemption	05/20/2020	GM Fin'cl Auto Leasi		155,321.59		**155,321.59**
40416	COMM	92868LAD3	VALET 3.02% MAT	Interest	05/20/2020	VOLKSWAGEN			50,333.34	**50,333.34**
40416	COMM	92868LAD3	VALET 3.02% MAT	Redemption	05/20/2020	VOLKSWAGEN		670,800.07		**670,800.07**
		Totals for 05/20/2020						**2,753,951.04**	**199,712.54**	**2,953,663.58**
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	05/21/2020	HONDA AUTO			3,175.61	**3,175.61**
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	05/21/2020	HONDA AUTO		474,214.03		**474,214.03**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Interest	05/21/2020	HONDA AUTO			35,700.00	**35,700.00**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Redemption	05/21/2020	HONDA AUTO		463,717.60		**463,717.60**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Interest	05/21/2020	HONDA AUTO			22,137.51	**22,137.51**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Redemption	05/21/2020	HONDA AUTO		341,069.45		**341,069.45**
		Totals for 05/21/2020						**1,279,001.08**	**61,013.12**	**1,340,014.20**
37804	COMM	3134GBLQ3	FEDERAL HOME LN	Redemption	05/22/2020	FHLMC NOTES		20,000,000.00		**20,000,000.00**
39564	COMM	3134GTMQ3	FEDERAL HOME LN	Redemption	05/22/2020	FHLMC NOTES		12,300,000.00		**12,300,000.00**
40399	COMM	89236TFZ3	TOYOTA MOTOR	Redemption	05/22/2020	TOYOTA MOTOR		20,000,000.00		**20,000,000.00**
40404	COMM	89236TFZ3	TOYOTA MOTOR	Redemption	05/22/2020	TOYOTA MOTOR		7,000,000.00		**7,000,000.00**
37804	COMM	3134GBLQ3	FEDERAL HOME LN	Interest	05/22/2020	FHLMC NOTES			165,000.00	**165,000.00**
39564	COMM	3134GTMQ3	FEDERAL HOME LN	Interest	05/22/2020	FHLMC NOTES			153,750.00	**153,750.00**
40399	COMM	89236TFZ3	TOYOTA MOTOR	Interest	05/22/2020	TOYOTA MOTOR			85,690.00	**85,690.00**
40399	COMM	89236TFZ3	TOYOTA MOTOR	Accr Int	05/22/2020	TOYOTA MOTOR		22,396.25	-22,396.25	**0.00**
40404	COMM	89236TFZ3	TOYOTA MOTOR	Interest	05/22/2020	TOYOTA MOTOR			29,991.50	**29,991.50**
40404	COMM	89236TFZ3	TOYOTA MOTOR	Accr Int	05/22/2020	TOYOTA MOTOR		8,179.50	-8,179.50	**0.00**
		Totals for 05/22/2020						**59,330,575.75**	**403,855.75**	**59,734,431.50**
40505	COMM	30231GAF9	EXXON MOBIL	Purchase	05/26/2020	EXXON MOBIL	5,379,200.00			**-5,379,200.00**
39342	COMM	3137BQR90	FHLMCM 2.272%	Redemption	05/26/2020	FHLMC Multi-Family		0.01		**0.01**
39721	COMM	3137BQR90-A	FHLMCM 2.272%	Redemption	05/26/2020	FHLMC Multi-Family		0.01		**0.01**
36797	COMM	3136G3PR0	FEDERAL NATL MTG	Interest	05/26/2020	FNMA NOTES			187,500.00	**187,500.00**
37801	COMM	3134GBLR1	FEDERAL HOME LN	Interest	05/26/2020	FHLMC NOTES			175,000.00	**175,000.00**
38999	COMM	3133EJW70	FEDERAL FARM CR	Interest	05/26/2020	FFCB NOTES			503,125.00	**503,125.00**
39023	COMM	3133EJW70	FEDERAL FARM CR	Interest	05/26/2020	FFCB NOTES			385,609.38	**385,609.38**
39569	COMM	90331HPC1	USB 2.65% MAT	Interest	05/26/2020	U S BANK			198,750.00	**198,750.00**
38387	COMM	3137AYCE9		Interest	05/26/2020	FHLMC Multi-Family			44,700.00	**44,700.00**
38387	COMM	3137AYCE9		Redemption	05/26/2020	FHLMC Multi-Family		729,120.89		**729,120.89**
38391	COMM	3137AYCE9		Interest	05/26/2020	FHLMC Multi-Family			16,762.50	**16,762.50**
38391	COMM	3137AYCE9		Redemption	05/26/2020	FHLMC Multi-Family		273,282.99		**273,282.99**
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	05/26/2020	BMW VEHICLE			35,238.53	**35,238.53**
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	05/26/2020	BMW VEHICLE		1,697,757.18		**1,697,757.18**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	05/26/2020	FHLMC Multi-Family			10,727.55	**10,727.55**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	05/26/2020	FHLMC Multi-Family		210,416.75		**210,416.75**
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	05/26/2020	FNMA Multi-Family			7,329.55	**7,329.55**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	05/26/2020	FNMA Multi-Family		6,218.25		**6,218.25**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	05/26/2020	FHLMC Multi-Family			55,274.08	**55,274.08**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	05/26/2020	FHLMC Multi-Family		656,192.45		**656,192.45**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	05/26/2020	FNMA Multi-Family			8,676.94	**8,676.94**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	05/26/2020	FNMA Multi-Family		222,239.66		**222,239.66**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	05/26/2020	FNMA Multi-Family			8,676.94	**8,676.94**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	05/26/2020	FNMA Multi-Family		222,239.66		**222,239.66**
38666	COMM	3137AYCE9		Interest	05/26/2020	FHLMC Multi-Family			26,250.08	**26,250.08**
38666	COMM	3137AYCE9		Redemption	05/26/2020	FHLMC Multi-Family		420,194.54		**420,194.54**
38744	COMM	3137AYCE9		Interest	05/26/2020	FHLMC Multi-Family			44,700.00	**44,700.00**
38744	COMM	3137AYCE9		Redemption	05/26/2020	FHLMC Multi-Family		715,783.56		**715,783.56**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	05/26/2020	FHLMC Multi-Family			12,176.25	**12,176.25**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	05/26/2020	FHLMC Multi-Family		137,322.92		**137,322.92**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Interest	05/26/2020	FHLMC Multi-Family			29,425.00	**29,425.00**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Redemption	05/26/2020	FHLMC Multi-Family		290,049.91		**290,049.91**
38945	COMM	3137B3NA2	FHLMC REMIC	Interest	05/26/2020	FHLMC Multi-Family			28,723.99	**28,723.99**
38945	COMM	3137B3NA2	FHLMC REMIC	Redemption	05/26/2020	FHLMC Multi-Family		326,050.35		**326,050.35**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Interest	05/26/2020	FHLMC Multi-Family			28,816.67	**28,816.67**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Redemption	05/26/2020	FHLMC Multi-Family		282,959.89		**282,959.89**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Interest	05/26/2020	FNMA Multi-Family			66,853.66	**66,853.66**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Redemption	05/26/2020	FNMA Multi-Family		66,565.34		**66,565.34**
39158	COMM	31381RZ23	FNMAM 3.84% MAT	Interest	05/26/2020	FNMA Multi-Family			45,373.52	**45,373.52**
39158	COMM	31381RZ23	FNMAM 3.84% MAT	Redemption	05/26/2020	FNMA Multi-Family		29,091.15		**29,091.15**
39218	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	05/26/2020	FNMA Multi-Family			37,166.76	**37,166.76**
39218	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	05/26/2020	FNMA Multi-Family		22,007.31		**22,007.31**
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	05/26/2020	FNMA Multi-Family			6,203.99	**6,203.99**
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	05/26/2020	FNMA Multi-Family		3,673.53		**3,673.53**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Interest	05/26/2020	FHLMC Multi-Family			58,837.51	**58,837.51**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Redemption	05/26/2020	FHLMC Multi-Family		892,684.93		**892,684.93**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Interest	05/26/2020	FNMA Multi-Family			51,458.33	**51,458.33**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Redemption	05/26/2020	FNMA Multi-Family		646,380.12		**646,380.12**
39342	COMM	3137BQR90	FHLMCM 2.272%	Interest	05/26/2020	FHLMC Multi-Family			1,077,004.00	**1,077,004.00**
39342	COMM	3137BQR90	FHLMCM 2.272%	Redemption	05/26/2020	FHLMC Multi-Family		23,399,999.99		**23,399,999.99**
39342	COMM	3137BQR90	FHLMCM 2.272%	Interest	05/26/2020	FHLMC Multi-Family				**0.00**
39342	COMM	3137BQR90	FHLMCM 2.272%	Redemption	05/26/2020	FHLMC Multi-Family				**0.00**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Interest	05/26/2020	FNMA Multi-Family			22,211.04	**22,211.04**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Redemption	05/26/2020	FNMA Multi-Family		24,187.37		**24,187.37**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Interest	05/26/2020	FNMA Multi-Family			30,548.54	**30,548.54**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Redemption	05/26/2020	FNMA Multi-Family		32,481.28		**32,481.28**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Interest	05/26/2020	FNMA Multi-Family			8,958.33	**8,958.33**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Redemption	05/26/2020	FNMA Multi-Family		131,349.17		**131,349.17**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Interest	05/26/2020	FNMA Multi-Family			12,948.69	**12,948.69**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Redemption	05/26/2020	FNMA Multi-Family		13,686.15		**13,686.15**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Interest	05/26/2020	FHLMC Multi-Family			42,563.68	**42,563.68**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Redemption	05/26/2020	FHLMC Multi-Family		266,051.84		**266,051.84**
39721	COMM	3137BQR90-A	FHLMCM 2.272%	Interest	05/26/2020	FHLMC Multi-Family			164,772.41	**164,772.41**
39721	COMM	3137BQR90-A	FHLMCM 2.272%	Redemption	05/26/2020	FHLMC Multi-Family		3,579,999.99		**3,579,999.99**
39721	COMM	3137BQR90-A	FHLMCM 2.272%	Interest	05/26/2020	FHLMC Multi-Family				**0.00**
39721	COMM	3137BQR90-A	FHLMCM 2.272%	Redemption	05/26/2020	FHLMC Multi-Family				**0.00**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Interest	05/26/2020	FNMA Multi-Family			10,791.67	**10,791.67**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Redemption	05/26/2020	FNMA Multi-Family		195,417.00		**195,417.00**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Interest	05/26/2020	FNMA Multi-Family			11,774.54	**11,774.54**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Redemption	05/26/2020	FNMA Multi-Family		12,454.44		**12,454.44**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Interest	05/26/2020	FNMA Multi-Family			15,549.38	**15,549.38**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Redemption	05/26/2020	FNMA Multi-Family		21,626.59		**21,626.59**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	05/26/2020	BMW VEHICLE			27,600.00	**27,600.00**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	05/26/2020	BMW VEHICLE		392,626.01		**392,626.01**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Interest	05/26/2020	FNMA Multi-Family			31,410.99	**31,410.99**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Redemption	05/26/2020	FNMA Multi-Family		964,920.45		**964,920.45**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Interest	05/26/2020	FNMA Multi-Family			13,128.30	**13,128.30**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Redemption	05/26/2020	FNMA Multi-Family		13,851.15		**13,851.15**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Interest	05/26/2020	FHLMC Multi-Family			112,024.34	**112,024.34**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Redemption	05/26/2020	FHLMC Multi-Family		1,301,940.48		**1,301,940.48**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Interest	05/26/2020	FHLMC Multi-Family			24,807.08	**24,807.08**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Redemption	05/26/2020	FHLMC Multi-Family		239,962.06		**239,962.06**
		Totals for 05/26/2020					**5,379,200.00**	**38,440,785.37**	**3,679,449.22**	**36,741,034.59**
38169	COMM	3133EHW58	FFCB 1.9% MAT	Interest	05/27/2020	FFCB NOTES			142,500.00	**142,500.00**
38170	COMM	3134GBZ70	FEDERAL HOME LN	Interest	05/27/2020	FHLMC NOTES			234,375.00	**234,375.00**
38171	COMM	3134GBZ70	FEDERAL HOME LN	Interest	05/27/2020	FHLMC NOTES			93,750.00	**93,750.00**
38204	COMM	3133EHW58	FFCB 1.9% MAT	Interest	05/27/2020	FFCB NOTES			204,725.00	**204,725.00**
		Totals for 05/27/2020							**675,350.00**	**675,350.00**
40506	COMM	3134GVVX3	FEDERAL HOME LN	Purchase	05/28/2020	FHLMC NOTES	6,000,000.00			**-6,000,000.00**
38733	COMM	3130AECJ7	FEDERAL HOME	Redemption	05/28/2020	FHLB NOTES		10,000,000.00		**10,000,000.00**
38178	COMM	3130ACUK8	FEDERAL HOME	Interest	05/28/2020	FHLB NOTES			250,000.00	**250,000.00**
38733	COMM	3130AECJ7	FEDERAL HOME	Interest	05/28/2020	FHLB NOTES			131,250.00	**131,250.00**
		Totals for 05/28/2020					**6,000,000.00**	**10,000,000.00**	**381,250.00**	**4,381,250.00**
40507	COMM	3134GVA73	FEDERAL HOME LN	Purchase	05/29/2020	FHLMC NOTES	25,000,277.78			**-25,000,277.78**
37149	COMM	3130AABG2	FEDERAL HOME	Interest	05/29/2020	FHLB NOTES			140,625.00	**140,625.00**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
		Totals for 05/29/2020					**25,000,277.78**		**140,625.00**	**-24,859,652.78**
40425	COMM	1247P2F17	CAFCO DISC NOTE	Redemption	06/01/2020	CORPORATE		50,000,000.00		**50,000,000.00**
8506	COMM	SYS8506	LAIF 1.65%	Redemption	06/01/2020	LOCAL AGENCY		0.69		**0.69**
		Totals for 06/01/2020						**50,000,000.69**		**50,000,000.69**
34292	COMM	SYS34292	MSTI 0.%	Interest	06/02/2020	MORGAN STANLEY			4.33	**4.33**
34292	COMM	SYS34292	MSTI 0.%	Purchase	06/02/2020	MORGAN STANLEY	4.33			**-4.33**
37590	COMM	SYS37590	JPM TE 0.44%	Interest	06/02/2020	JP MORGAN US			44,393.87	**44,393.87**
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	06/02/2020	JP MORGAN US	44,393.87			**-44,393.87**
40461	COMM	SYS40461	DGCXX 0.%	Interest	06/02/2020	Dreyfus Government			3,850.34	**3,850.34**
40461	COMM	SYS40461	DGCXX 0.%	Purchase	06/02/2020	Dreyfus Government	3,850.34			**-3,850.34**
		Totals for 06/02/2020					**48,248.54**		**48,248.54**	**0.00**
40508	COMM	3134GVYX0	FEDERAL HOME LN	Purchase	06/03/2020	FHLMC NOTES	10,000,000.00			**-10,000,000.00**
40509	COMM	3134GVYX0	FEDERAL HOME LN	Purchase	06/03/2020	FHLMC NOTES	10,000,000.00			**-10,000,000.00**
39593	COMM	3134GTQS5	FEDERAL HOME LN	Redemption	06/03/2020	FHLMC NOTES		10,260,250.00		**10,260,250.00**
39593	COMM	3134GTQS5	FEDERAL HOME LN	Cap G/L	06/03/2020	FHLMC NOTES		-10,250.00		**-10,250.00**
39593	COMM	3134GTQS5	FEDERAL HOME LN	Interest	06/03/2020	FHLMC NOTES			134,531.25	**134,531.25**
39594	COMM	3133EKNR3	FEDERAL FARM CR	Interest	06/03/2020	FFCB NOTES			110,000.00	**110,000.00**
		Totals for 06/03/2020					**20,000,000.00**	**10,250,000.00**	**244,531.25**	**-9,505,468.75**
37590	COMM	SYS37590	JPM TE 0.44%	Redemption	06/04/2020	JP MORGAN US		400,339,325.72		**400,339,325.72**
		Totals for 06/04/2020						**400,339,325.72**		**400,339,325.72**
40454	COMM	166764AB6	CHEVRON CORP	Interest	06/05/2020	CHEVRON CORP.			274,569.45	**274,569.45**
40454	COMM	166764AB6	CHEVRON CORP	Accr Int	06/05/2020	CHEVRON CORP.		195,249.39	-195,249.39	**0.00**
		Totals for 06/05/2020						**195,249.39**	**79,320.06**	**274,569.45**
37194	COMM	3133EGT47	FEDERAL FARM CR	Interest	06/08/2020	FFCB NOTES			100,500.00	**100,500.00**
39069	COMM	3130A0F70	FEDERAL HOME	Interest	06/08/2020	FHLB NOTES			168,750.00	**168,750.00**
39106	COMM	3130A0F70	FEDERAL HOME	Interest	06/08/2020	FHLB NOTES			59,062.50	**59,062.50**
39138	COMM	3130ABFD3	FEDERAL HOME	Interest	06/08/2020	FHLB NOTES			98,437.50	**98,437.50**
39689	COMM	3133EKPS9	FEDERAL FARM CR	Interest	06/08/2020	FFCB NOTES			212,500.00	**212,500.00**
		Totals for 06/08/2020							**639,250.00**	**639,250.00**
39016	COMM	3130AFE78	FEDERAL HOME	Interest	06/09/2020	FHLB NOTES			215,250.00	**215,250.00**
39559	COMM	3133834G3	FEDERAL HOME	Interest	06/09/2020	FHLB NOTES			106,250.00	**106,250.00**
39592	COMM	3133834G3	FEDERAL HOME	Interest	06/09/2020	FHLB NOTES			106,250.00	**106,250.00**
39665	COMM	3133834G3	FEDERAL HOME	Interest	06/09/2020	FHLB NOTES			228,490.63	**228,490.63**
		Totals for 06/09/2020							**656,240.63**	**656,240.63**
39545	COMM	313379Q69	FEDERAL HOME	Interest	06/10/2020	FHLB NOTES			265,625.00	**265,625.00**
39552	COMM	3130A5P45	FEDERAL HOME	Interest	06/10/2020	FHLB NOTES			178,125.00	**178,125.00**

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40459	COMM	313379Q69	FEDERAL HOME	Interest	06/10/2020	FHLB NOTES			106,250.00	**106,250.00**
40459	COMM	313379Q69	FEDERAL HOME	Accr Int	06/10/2020	FHLB NOTES		73,194.44	-73,194.44	**0.00**
		Totals for 06/10/2020						**73,194.44**	**476,805.56**	**550,000.00**
40142	COMM	912796TZ2	UNITED STATES	Redemption	06/11/2020	U S TREASURY BILL		75,000,000.00		**75,000,000.00**
40143	COMM	912796TZ2	UNITED STATES	Redemption	06/11/2020	U S TREASURY BILL		50,000,000.00		**50,000,000.00**
40369	COMM	3130AJCR8	FEDERAL HOME	Redemption	06/11/2020	FHLB NOTES		3,500,000.00		**3,500,000.00**
37961	COMM	313379RB7	FEDERAL HOME	Interest	06/11/2020	FHLB NOTES			46,875.00	**46,875.00**
38102	COMM	3130A3UQ5	FEDERAL HOME	Interest	06/11/2020	FHLB NOTES			93,750.00	**93,750.00**
39563	COMM	3130A1W95	FEDERAL HOME	Interest	06/11/2020	FHLB NOTES			281,250.00	**281,250.00**
40133	COMM	78012USE6	RBCNY 1.82% MAT	Interest	06/11/2020	Royal Bank of			227,500.00	**227,500.00**
40369	COMM	3130AJCR8	FEDERAL HOME	Interest	06/11/2020	FHLB NOTES			11,375.00	**11,375.00**
40467	COMM	313379RB7	FEDERAL HOME	Interest	06/11/2020	FHLB NOTES			234,375.00	**234,375.00**
40467	COMM	313379RB7	FEDERAL HOME	Accr Int	06/11/2020	FHLB NOTES		162,760.42	-162,760.42	**0.00**
		Totals for 06/11/2020						**128,662,760.42**	**732,364.58**	**129,395,125.00**
36877	COMM	3133834H1	FEDERAL HOME	Redemption	06/12/2020	FHLB NOTES		15,000,000.00		**15,000,000.00**
37037	COMM	3133834H1	FEDERAL HOME	Redemption	06/12/2020	FHLB NOTES		10,000,000.00		**10,000,000.00**
37058	COMM	313383HU8	FHLB 1.75% MAT	Redemption	06/12/2020	FHLB NOTES		15,000,000.00		**15,000,000.00**
38247	COMM	313383HU8	FHLB 1.75% MAT	Redemption	06/12/2020	FHLB NOTES		25,000,000.00		**25,000,000.00**
38978	COMM	313370E38	FEDERAL HOME	Redemption	06/12/2020	FHLB NOTES		20,000,000.00		**20,000,000.00**
39383	COMM	313370E38	FEDERAL HOME	Redemption	06/12/2020	FHLB NOTES		10,000,000.00		**10,000,000.00**
39393	COMM	313370E38	FEDERAL HOME	Redemption	06/12/2020	FHLB NOTES		23,295,000.00		**23,295,000.00**
40329	COMM	71708EFC4	PFIZER DISC NOTE	Redemption	06/12/2020	PFIZER		25,000,000.00		**25,000,000.00**
36877	COMM	3133834H1	FEDERAL HOME	Interest	06/12/2020	FHLB NOTES			103,125.00	**103,125.00**
37037	COMM	3133834H1	FEDERAL HOME	Interest	06/12/2020	FHLB NOTES			68,750.00	**68,750.00**
37058	COMM	313383HU8	FHLB 1.75% MAT	Interest	06/12/2020	FHLB NOTES			131,250.00	**131,250.00**
38247	COMM	313383HU8	FHLB 1.75% MAT	Interest	06/12/2020	FHLB NOTES			218,750.00	**218,750.00**
38978	COMM	313370E38	FEDERAL HOME	Interest	06/12/2020	FHLB NOTES			337,500.00	**337,500.00**
39383	COMM	313370E38	FEDERAL HOME	Interest	06/12/2020	FHLB NOTES			168,750.00	**168,750.00**
39393	COMM	313370E38	FEDERAL HOME	Interest	06/12/2020	FHLB NOTES			393,103.13	**393,103.13**
40146	COMM	45905U5S9	IBRD 2.% MAT	Interest	06/12/2020	INTL BANK RECON &			250,000.00	**250,000.00**
		Totals for 06/12/2020						**143,295,000.00**	**1,671,228.13**	**144,966,228.13**
40461	COMM	SYS40461	DGCXX 0.%	Purchase	06/15/2020	Dreyfus Government	200,000,000.00			**-200,000,000.00**
38796	COMM	3134GSMY8	FEDERAL HOME LN	Redemption	06/15/2020	FHLMC NOTES		15,000,000.00		**15,000,000.00**
37849	COMM	3134GBRR5	FHLMC 1.8% MAT	Interest	06/15/2020	FHLMC NOTES			180,000.00	**180,000.00**
38076	COMM	931142EA7	WAL-MART STORES	Interest	06/15/2020	WALMART			66,500.00	**66,500.00**
38796	COMM	3134GSMY8	FEDERAL HOME LN	Interest	06/15/2020	FHLMC NOTES			206,250.00	**206,250.00**
39527	COMM	92826CAC6	VISA INC, SR GLBL	Interest	06/15/2020	VISA			156,184.00	**156,184.00**
39917	COMM	92826CAB8	VISA INC, SR GLBL	Interest	06/15/2020	VISA			78,485.00	**78,485.00**
40162	COMM	3133ELDK7	FEDERAL FARM CR	Interest	06/15/2020	FFCB NOTES			202,618.00	**202,618.00**

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40163	COMM	3133ELDK7	FEDERAL FARM CR	Interest	06/15/2020	FFCB NOTES			202,618.00	**202,618.00**
40164	COMM	3133ELDK7	FEDERAL FARM CR	Interest	06/15/2020	FFCB NOTES			202,618.00	**202,618.00**
40315	COMM	931142DV2	WALMART INC, SR	Interest	06/15/2020	WALMART			370,668.75	**370,668.75**
40315	COMM	931142DV2	WALMART INC, SR	Accr Int	06/15/2020	WALMART		107,082.08	-107,082.08	**0.00**
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	06/15/2020	HONDA AUTO			8,056.55	**8,056.55**
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	06/15/2020	HONDA AUTO		1,020,202.65		**1,020,202.65**
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	06/15/2020	TOYOTA AUTO REC			18,734.42	**18,734.42**
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	06/15/2020	TOYOTA AUTO REC		1,298,902.87		**1,298,902.87**
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	06/15/2020	NISSAN AUTO			26,285.91	**26,285.91**
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	06/15/2020	NISSAN AUTO		1,101,989.57		**1,101,989.57**
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	06/15/2020	JOHN DEERE			9,019.23	**9,019.23**
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	06/15/2020	JOHN DEERE		391,391.57		**391,391.57**
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Interest	06/15/2020	CHASE ISSUANCE			12,222.88	**12,222.88**
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Redemption	06/15/2020	CHASE ISSUANCE		1,166,230.00		**1,166,230.00**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	06/15/2020	TOYOTA AUTO REC			26,500.00	**26,500.00**
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	06/15/2020	TOYOTA AUTO REC		312,712.99		**312,712.99**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	06/15/2020	HONDA AUTO			57,274.99	**57,274.99**
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	06/15/2020	HONDA AUTO		699,698.70		**699,698.70**
39062	COMM	05522RCZ9	BACCT 3.% MAT	Interest	06/15/2020	BANK OF AMERICA			50,350.00	**50,350.00**
39062	COMM	05522RCZ9	BACCT 3.% MAT	Redemption	06/15/2020	BANK OF AMERICA		559,997.53		**559,997.53**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	06/15/2020	BANK OF AMERICA			38,750.00	**38,750.00**
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	06/15/2020	BANK OF AMERICA		382,645.50		**382,645.50**
39190	COMM	58772TAC4	MBALT 3.1% MAT	Interest	06/15/2020	MERCEDES-BENZ			25,833.33	**25,833.33**
39190	COMM	58772TAC4	MBALT 3.1% MAT	Redemption	06/15/2020	MERCEDES-BENZ		570,659.80		**570,659.80**
39220	COMM	05522RCY2	BACCT 2.7% MAT	Interest	06/15/2020	BANK OF AMERICA			56,250.00	**56,250.00**
39220	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	06/15/2020	BANK OF AMERICA		724,737.89		**724,737.89**
39320	COMM	05522RCY2	BACCT 2.7% MAT	Interest	06/15/2020	BANK OF AMERICA			45,000.00	**45,000.00**
39320	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	06/15/2020	BANK OF AMERICA		580,902.90		**580,902.90**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	06/15/2020	Nissan Auto Receivab			41,666.66	**41,666.66**
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	06/15/2020	Nissan Auto Receivab		498,901.79		**498,901.79**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	06/15/2020	Capital One Prime Au			18,825.00	**18,825.00**
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	06/15/2020	Capital One Prime Au		224,475.65		**224,475.65**
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	06/15/2020	JOHN DEERE			16,114.59	**16,114.59**
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	06/15/2020	JOHN DEERE		210,069.54		**210,069.54**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	06/15/2020	HONDA AUTO			10,754.16	**10,754.16**
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	06/15/2020	HONDA AUTO		192,599.95		**192,599.95**
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	06/15/2020	Capital One Multi Ex			50,166.66	**50,166.66**
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	06/15/2020	Capital One Multi Ex		713,745.37		**713,745.37**
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	06/15/2020	MERCEDES -BENZ			43,650.01	**43,650.01**
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	06/15/2020	MERCEDES -BENZ		605,881.91		**605,881.91**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	06/15/2020	HYUNDAI AUTO			18,995.84	**18,995.84**
40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	06/15/2020	HYUNDAI AUTO		265,428.28		**265,428.28**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	06/15/2020	TOYOTA AUTO REC			31,200.00	**31,200.00**
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	06/15/2020	TOYOTA AUTO REC		450,481.21		**450,481.21**
40062	COMM	58769QAC5	MBALT 2.% MAT	Interest	06/15/2020	MERCEDES-BENZ			16,666.67	**16,666.67**
40062	COMM	58769QAC5	MBALT 2.% MAT	Redemption	06/15/2020	MERCEDES-BENZ		348,139.91		**348,139.91**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Interest	06/15/2020	ALLY Auto			19,933.33	**19,933.33**
40134	COMM	02007TAC9	ALLY 1.84% MAT	Redemption	06/15/2020	ALLY Auto		265,285.06		**265,285.06**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Interest	06/15/2020	Harley-Davidson Moto			15,583.33	**15,583.33**
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Redemption	06/15/2020	Harley-Davidson Moto		189,320.54		**189,320.54**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Interest	06/15/2020	CHASE ISSUANCE			34,425.00	**34,425.00**
40336	COMM	161571HP2	CHAIT 1.53% MAT	Redemption	06/15/2020	CHASE ISSUANCE		483,086.54		**483,086.54**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Interest	06/15/2020	Capital One Prime Au			33,333.25	**33,333.25**
40340	COMM	14043MAC5	COPAR 1.6% MAT	Redemption	06/15/2020	Capital One Prime Au		463,135.36		**463,135.36**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Interest	06/15/2020	MERCEDES-BENZ			45,999.99	**45,999.99**
40392	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	06/15/2020	MERCEDES-BENZ		966,427.70		**966,427.70**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Interest	06/15/2020	MERCEDES-BENZ			9,200.00	**9,200.00**
40410	COMM	58770FAC6	MBALT 1.84% MAT	Redemption	06/15/2020	MERCEDES-BENZ		190,602.92		**190,602.92**
40411	COMM	254683CH6	DCENT 3.32% MAT	Interest	06/15/2020	Discover Card Execut			41,500.01	**41,500.01**
40411	COMM	254683CH6	DCENT 3.32% MAT	Redemption	06/15/2020	Discover Card Execut		356,682.06		**356,682.06**
		Totals for 06/15/2020					**200,000,000.00**	**30,341,417.84**	**2,381,151.48**	**-167,277,430.68**
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	06/16/2020	JP MORGAN US	200,000,000.00			**-200,000,000.00**
40461	COMM	SYS40461	DGCXX 0.%	Purchase	06/16/2020	Dreyfus Government	150,000,000.00			**-150,000,000.00**
40257	COMM	78012USR7	RBCNY 1.79963%	Interest	06/16/2020	Royal Bank of			12,571.90	**12,571.90**
		Totals for 06/16/2020					**350,000,000.00**		**12,571.90**	**-349,987,428.10**
40510	COMM	3133ELL93	FEDERAL FARM CR	Purchase	06/17/2020	FFCB NOTES	15,000,000.00			**-15,000,000.00**
40513	COMM	3133ELE42	FFCB 0.8% MAT	Purchase	06/17/2020	FFCB NOTES	19,996,666.67			**-19,996,666.67**
40511	COMM	3136AXVB8	FNMAM 2.646% MAT	Purchase	06/17/2020	FNMA Multi-Family	18,015,014.49			**-18,015,014.49**
40512	COMM	36259PAD8	GMALT 0.8% MAT	Purchase	06/17/2020	GM Fin'cl Auto Leasi	1,499,805.75			**-1,499,805.75**
40375	COMM	3133ELSU9	FEDERAL FARM CR	Redemption	06/17/2020	FFCB NOTES		15,756,060.00		**15,756,060.00**
40375	COMM	3133ELSU9	FEDERAL FARM CR	Cap G/L	06/17/2020	FFCB NOTES		3,940.00		**3,940.00**
40389	COMM	3133ELTE4	FEDERAL FARM CR	Redemption	06/17/2020	FFCB NOTES		23,000,000.00		**23,000,000.00**
39075	COMM	3133EJ3B3	FEDERAL FARM CR	Interest	06/17/2020	FFCB NOTES			140,000.00	**140,000.00**
39108	COMM	3133EJ3B3	FEDERAL FARM CR	Interest	06/17/2020	FFCB NOTES			60,200.00	**60,200.00**
40375	COMM	3133ELSU9	FEDERAL FARM CR	Interest	06/17/2020	FFCB NOTES			51,986.11	**51,986.11**
40389	COMM	3133ELTE4	FEDERAL FARM CR	Interest	06/17/2020	FFCB NOTES			70,150.00	**70,150.00**
		Totals for 06/17/2020					**54,511,486.91**	**38,760,000.00**	**322,336.11**	**-15,429,150.80**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	06/18/2020	HONDA AUTO			24,542.42	**24,542.42**
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	06/18/2020	HONDA AUTO		791,432.04		**791,432.04**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	06/18/2020	HONDA AUTO			32,427.08	**32,427.08**
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	06/18/2020	HONDA AUTO		413,512.77		**413,512.77**
		Totals for 06/18/2020						**1,204,944.81**	**56,969.50**	**1,261,914.31**
40514	COMM	3135G04Z3	FNMA 0.5% MAT	Purchase	06/19/2020	FNMA NOTES	19,958,600.00			**-19,958,600.00**
40147	COMM	459052YH3	WBDN ZERO CPN	Redemption	06/19/2020	World Bank Discount		50,000,000.00		**50,000,000.00**
40153	COMM	459052YH3	WBDN ZERO CPN	Redemption	06/19/2020	World Bank Discount		25,000,000.00		**25,000,000.00**
		Totals for 06/19/2020					**19,958,600.00**	**75,000,000.00**		**55,041,400.00**
37861	COMM	3134GBRU8	FEDERAL HOME LN	Redemption	06/22/2020	FHLMC NOTES		30,000,000.00		**30,000,000.00**
37861	COMM	3134GBRU8	FEDERAL HOME LN	Interest	06/22/2020	FHLMC NOTES			243,750.00	**243,750.00**
37880	COMM	3134GBSM5	FEDERAL HOME LN	Interest	06/22/2020	FHLMC NOTES			46,325.00	**46,325.00**
37894	COMM	3134GBSW3	FEDERAL HOME LN	Interest	06/22/2020	FHLMC NOTES			43,750.00	**43,750.00**
38718	COMM	3133EJPX1	FEDERAL FARM CR	Interest	06/22/2020	FFCB NOTES			71,875.00	**71,875.00**
39628	COMM	3133EKRP3	FEDERAL FARM CR	Interest	06/22/2020	FFCB NOTES			166,500.00	**166,500.00**
39629	COMM	3133EKRP3	FEDERAL FARM CR	Interest	06/22/2020	FFCB NOTES			111,000.00	**111,000.00**
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	06/22/2020	HONDA AUTO			2,495.91	**2,495.91**
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	06/22/2020	HONDA AUTO		476,882.97		**476,882.97**
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Interest	06/22/2020	BMW VEHICLE			18,250.00	**18,250.00**
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Redemption	06/22/2020	BMW VEHICLE		277,476.50		**277,476.50**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Interest	06/22/2020	HONDA AUTO			35,700.00	**35,700.00**
39582	COMM	43815MAC0	HAROT 2.52% MAT	Redemption	06/22/2020	HONDA AUTO		478,614.48		**478,614.48**
39612	COMM	92349GAA9	VERIZON OWNER	Interest	06/22/2020	VERIZON OWNER			67,958.35	**67,958.35**
39612	COMM	92349GAA9	VERIZON OWNER	Redemption	06/22/2020	VERIZON OWNER		844,553.56		**844,553.56**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Interest	06/22/2020	Volkswagen Auto			17,412.50	**17,412.50**
39913	COMM	92867XAD8	VWALT 1.99% MAT	Redemption	06/22/2020	Volkswagen Auto		344,013.27		**344,013.27**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Interest	06/22/2020	VERIZON OWNER			38,800.01	**38,800.01**
39920	COMM	92348AAA3	VZOT 1.94% MAT	Redemption	06/22/2020	VERIZON OWNER		523,432.42		**523,432.42**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Interest	06/22/2020	GM Fin'cl Auto Leasi			6,958.34	**6,958.34**
40341	COMM	36259KAD9	GMALT 1.67% MAT	Redemption	06/22/2020	GM Fin'cl Auto Leasi		161,173.78		**161,173.78**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Interest	06/22/2020	HONDA AUTO			22,137.51	**22,137.51**
40346	COMM	43813RAC1	HAROT 1.61% MAT	Redemption	06/22/2020	HONDA AUTO		351,090.75		**351,090.75**
40416	COMM	92868LAD3	VALET 3.02% MAT	Interest	06/22/2020	VOLKSWAGEN			50,333.34	**50,333.34**
40416	COMM	92868LAD3	VALET 3.02% MAT	Redemption	06/22/2020	VOLKSWAGEN		694,173.46		**694,173.46**
		Totals for 06/22/2020						**34,151,411.19**	**943,245.96**	**35,094,657.15**
40515	COMM	58769VAC4	MBART 0.55% MAT	Purchase	06/23/2020	MERCEDES -BENZ	4,999,609.50			**-4,999,609.50**
38826	COMM	931142EG4	WALMART INC, SR	Redemption	06/23/2020	WALMART		40,000,000.00		**40,000,000.00**
39672	COMM	931142EG4	WALMART INC, SR	Redemption	06/23/2020	WALMART		7,532,000.00		**7,532,000.00**
38826	COMM	931142EG4	WALMART INC, SR	Interest	06/23/2020	WALMART			570,000.00	**570,000.00**
39672	COMM	931142EG4	WALMART INC, SR	Interest	06/23/2020	WALMART			107,331.00	**107,331.00**

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		Totals for 06/23/2020					**4,999,609.50**	**47,532,000.00**	**677,331.00**	**43,209,721.50**
40517	COMM	3138L0RM5A	FNMAM 2.135% MAT	Purchase	06/24/2020	FNMA Multi-Family	926,016.25			**-926,016.25**
40516	COMM	63763PGE3	NSCCPP DISC NOTE	Purchase	06/24/2020	NATL SEC	39,996,666.67			**-39,996,666.67**
		Totals for 06/24/2020					**40,922,682.92**			**-40,922,682.92**
40420	COMM	3130AJF46	FEDERAL HOME	Redemption	06/25/2020	FHLB NOTES		15,000,000.00		**15,000,000.00**
40420	COMM	3130AJF46	FEDERAL HOME	Interest	06/25/2020	FHLB NOTES			46,875.00	**46,875.00**
38387	COMM	3137AYCE9		Interest	06/25/2020	FHLMC Multi-Family			44,700.00	**44,700.00**
38387	COMM	3137AYCE9		Redemption	06/25/2020	FHLMC Multi-Family		750,699.36		**750,699.36**
38391	COMM	3137AYCE9		Interest	06/25/2020	FHLMC Multi-Family			16,762.50	**16,762.50**
38391	COMM	3137AYCE9		Redemption	06/25/2020	FHLMC Multi-Family		281,370.85		**281,370.85**
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	06/25/2020	BMW VEHICLE			31,913.73	**31,913.73**
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	06/25/2020	BMW VEHICLE		1,852,165.28		**1,852,165.28**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	06/25/2020	FHLMC Multi-Family			10,727.55	**10,727.55**
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	06/25/2020	FHLMC Multi-Family		217,111.74		**217,111.74**
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	06/25/2020	FNMA Multi-Family			7,560.21	**7,560.21**
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	06/25/2020	FNMA Multi-Family		5,832.04		**5,832.04**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	06/25/2020	FHLMC Multi-Family			55,333.34	**55,333.34**
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	06/25/2020	FHLMC Multi-Family		673,212.05		**673,212.05**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	06/25/2020	FNMA Multi-Family			7,557.23	**7,557.23**
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	06/25/2020	FNMA Multi-Family		56,558.03		**56,558.03**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	06/25/2020	FNMA Multi-Family			7,557.23	**7,557.23**
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	06/25/2020	FNMA Multi-Family		56,558.03		**56,558.03**
38666	COMM	3137AYCE9		Interest	06/25/2020	FHLMC Multi-Family			26,250.08	**26,250.08**
38666	COMM	3137AYCE9		Redemption	06/25/2020	FHLMC Multi-Family		432,630.27		**432,630.27**
38744	COMM	3137AYCE9		Interest	06/25/2020	FHLMC Multi-Family			44,700.00	**44,700.00**
38744	COMM	3137AYCE9		Redemption	06/25/2020	FHLMC Multi-Family		736,967.31		**736,967.31**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	06/25/2020	FHLMC Multi-Family			12,176.25	**12,176.25**
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	06/25/2020	FHLMC Multi-Family		140,365.10		**140,365.10**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Interest	06/25/2020	FHLMC Multi-Family			29,425.00	**29,425.00**
38864	COMM	3137B5JM6	FHLMCM 3.531%	Redemption	06/25/2020	FHLMC Multi-Family		296,516.14		**296,516.14**
38945	COMM	3137B3NA2	FHLMC REMIC	Interest	06/25/2020	FHLMC Multi-Family			28,723.99	**28,723.99**
38945	COMM	3137B3NA2	FHLMC REMIC	Redemption	06/25/2020	FHLMC Multi-Family		333,975.98		**333,975.98**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Interest	06/25/2020	FHLMC Multi-Family			28,816.67	**28,816.67**
39026	COMM	3137B5KW2	FHLMCM 3.458%	Redemption	06/25/2020	FHLMC Multi-Family		289,083.90		**289,083.90**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Interest	06/25/2020	FNMA Multi-Family			68,924.48	**68,924.48**
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Redemption	06/25/2020	FNMA Multi-Family		63,395.48		**63,395.48**
39158	COMM	31381RZ23	FNMAM 3.84% MAT	Interest	06/25/2020	FNMA Multi-Family			46,789.78	**46,789.78**
39158	COMM	31381RZ23	FNMAM 3.84% MAT	Redemption	06/25/2020	FNMA Multi-Family		27,136.23		**27,136.23**
39218	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	06/25/2020	FNMA Multi-Family			38,332.89	**38,332.89**

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39218	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	06/25/2020	FNMA Multi-Family		20,592.13		**20,592.13**
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	06/25/2020	FNMA Multi-Family			6,398.64	**6,398.64**
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	06/25/2020	FNMA Multi-Family		3,437.30		**3,437.30**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Interest	06/25/2020	FHLMC Multi-Family			58,837.51	**58,837.51**
39295	COMM	3137B04Y7	FHLMCM 2.615%	Redemption	06/25/2020	FHLMC Multi-Family		916,423.70		**916,423.70**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Interest	06/25/2020	FNMA Multi-Family			53,173.61	**53,173.61**
39319	COMM	3138LGKH8	FNMAM 2.47% MAT	Redemption	06/25/2020	FNMA Multi-Family		658,749.26		**658,749.26**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Interest	06/25/2020	FNMA Multi-Family			22,899.63	**22,899.63**
39457	COMM	3138EKX67	FNMAM 2.537% MAT	Redemption	06/25/2020	FNMA Multi-Family		23,125.09		**23,125.09**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Interest	06/25/2020	FNMA Multi-Family			31,511.72	**31,511.72**
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Redemption	06/25/2020	FNMA Multi-Family		30,853.27		**30,853.27**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Interest	06/25/2020	FNMA Multi-Family			9,256.94	**9,256.94**
39609	COMM	3138LGFF8	FNMAM 2.15% MAT	Redemption	06/25/2020	FNMA Multi-Family		133,850.77		**133,850.77**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Interest	06/25/2020	FNMA Multi-Family			13,353.09	**13,353.09**
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Redemption	06/25/2020	FNMA Multi-Family		13,026.15		**13,026.15**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Interest	06/25/2020	FHLMC Multi-Family			42,021.38	**42,021.38**
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Redemption	06/25/2020	FHLMC Multi-Family		248,694.72		**248,694.72**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Interest	06/25/2020	FNMA Multi-Family			11,151.39	**11,151.39**
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Redemption	06/25/2020	FNMA Multi-Family		201,653.02		**201,653.02**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Interest	06/25/2020	FNMA Multi-Family			12,136.67	**12,136.67**
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Redemption	06/25/2020	FNMA Multi-Family		12,018.12		**12,018.12**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Interest	06/25/2020	FNMA Multi-Family			16,027.93	**16,027.93**
39844	COMM	3138L0RM5	FNMAM 2.135% MAT	Redemption	06/25/2020	FNMA Multi-Family		20,892.56		**20,892.56**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	06/25/2020	BMW VEHICLE			27,600.00	**27,600.00**
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	06/25/2020	BMW VEHICLE		404,184.59		**404,184.59**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Interest	06/25/2020	FNMA Multi-Family			26,313.38	**26,313.38**
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Redemption	06/25/2020	FNMA Multi-Family		722,645.83		**722,645.83**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Interest	06/25/2020	FNMA Multi-Family			13,537.88	**13,537.88**
40293	COMM	3138L0RC7	FNMAM 2.35% MAT	Redemption	06/25/2020	FNMA Multi-Family		13,206.23		**13,206.23**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Interest	06/25/2020	FHLMC Multi-Family			36,077.70	**36,077.70**
40385	COMM	3137BUWM6	FHLMCM 2.932%	Redemption	06/25/2020	FHLMC Multi-Family		20,969.64		**20,969.64**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Interest	06/25/2020	FHLMC Multi-Family			24,807.08	**24,807.08**
40390	COMM	3137BHCY1	FHLMCM 2.811%	Redemption	06/25/2020	FHLMC Multi-Family		243,370.77		**243,370.77**
		Totals for 06/25/2020						**24,901,270.94**	**958,230.48**	**25,859,501.42**
39649	COMM	3134GTXX6	FEDERAL HOME LN	Redemption	06/26/2020	FHLMC NOTES		25,000,000.00		**25,000,000.00**
39857	COMM	89116EFS7	TDHUSA ZERO CPN	Redemption	06/26/2020	TORONTO		40,000,000.00		**40,000,000.00**
39973	COMM	46640PFS7	JPMSCC ZERO CPN	Redemption	06/26/2020	JP Morgan Securities		50,000,000.00		**50,000,000.00**
39986	COMM	05586FKT3	BNP P 1.86% MAT	Redemption	06/26/2020	BNP PARIBAS NY		50,000,000.00		**50,000,000.00**
40082	COMM	7426M2FS5	PEFCO ZERO CPN	Redemption	06/26/2020	PRIVATE EXPORT		25,000,000.00		**25,000,000.00**
40090	COMM	06367BBQ1	BMOCHI 1.83% MAT	Redemption	06/26/2020	BANK OF		50,000,000.00		**50,000,000.00**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40366	COMM	45920FFS2	IBM DISC NOTE MAT	Redemption	06/26/2020	IBM		50,000,000.00		**50,000,000.00**
40371	COMM	45920FFS2	IBM DISC NOTE MAT	Redemption	06/26/2020	IBM		25,000,000.00		**25,000,000.00**
40382	COMM	58934AFS0	MERCK DISC NOTE	Redemption	06/26/2020	MERCK & CO		40,000,000.00		**40,000,000.00**
39639	COMM	3133EKSN7	FFCB 1.77% MAT	Interest	06/26/2020	FFCB NOTES			88,500.00	**88,500.00**
39649	COMM	3134GTXX6	FEDERAL HOME LN	Interest	06/26/2020	FHLMC NOTES			262,500.00	**262,500.00**
39986	COMM	05586FKT3	BNP P 1.86% MAT	Interest	06/26/2020	BNP PARIBAS NY			622,583.33	**622,583.33**
39996	COMM	931142EK5	WALMART INC, SR	Interest	06/26/2020	WALMART			170,000.00	**170,000.00**
40090	COMM	06367BBQ1	BMOCHI 1.83% MAT	Interest	06/26/2020	BANK OF			521,041.67	**521,041.67**
		Totals for 06/26/2020						**355,000,000.00**	**1,664,625.00**	**356,664,625.00**
40518	COMM	63763PGH6	NSCCPP DISC NOTE	Purchase	06/29/2020	NATL SEC	24,998,000.00			**-24,998,000.00**
38334	COMM	3134GSAC9	FEDERAL HOME LN	Interest	06/29/2020	FHLMC NOTES			102,500.00	**102,500.00**
		Totals for 06/29/2020					**24,998,000.00**		**102,500.00**	**-24,895,500.00**
40519	COMM	3134GVT73	FEDERAL HOME LN	Purchase	06/30/2020	FHLMC NOTES	15,000,000.00			**-15,000,000.00**
40520	COMM	3136G4WH2	FEDERAL NATL MTG	Purchase	06/30/2020	FNMA NOTES	20,000,000.00			**-20,000,000.00**
40521	COMM	3136G4XU2	FEDERAL NATL MTG	Purchase	06/30/2020	FNMA NOTES	20,000,000.00			**-20,000,000.00**
40522	COMM	3136G4XZ1	FEDERAL NATL MTG	Purchase	06/30/2020	FNMA NOTES	15,000,000.00			**-15,000,000.00**
40523	COMM	3136G4XZ1	FEDERAL NATL MTG	Purchase	06/30/2020	FNMA NOTES	5,000,000.00			**-5,000,000.00**
40524	COMM	3136G4YF4	FEDERAL NATL MTG	Purchase	06/30/2020	FNMA NOTES	10,000,000.00			**-10,000,000.00**
40186	COMM	7426M2FW6	PEFCO ZERO CPN	Redemption	06/30/2020	PRIVATE EXPORT		15,000,000.00		**15,000,000.00**
40155	COMM	9128286Z8	UNITED STATES	Interest	06/30/2020	U.S. TREASURY			262,500.00	**262,500.00**
40207	COMM	3134GUH78	FEDERAL HOME LN	Interest	06/30/2020	FHLMC NOTES			150,000.00	**150,000.00**
40208	COMM	3134GUH78	FEDERAL HOME LN	Interest	06/30/2020	FHLMC NOTES			200,000.00	**200,000.00**
		Totals for 06/30/2020					**85,000,000.00**	**15,000,000.00**	**612,500.00**	**-69,387,500.00**
		Grand Total					**7,477,371,743.5**	**7,057,823,771.8**	**29,428,106.11**	**-390,119,865.65**

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

10.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Approve/Ratify Notices of Employment and Changes of Status/September 10, 2020/ Human Resources Department**

Staff Analysis:

This item includes recommendations such as new hires, leaves of absence, or other changes in employee status.

Recommendation:

District administration is recommending that the Board of Trustees approve the hiring of any new employee, and any change of status for existing employees.

Submitted by: Carlos Moran Title: Assistant Superintendent, Human Resources

To the Board of Trustees: **Recommend Approval**

10.05
Agenda Placement

Meeting: September 10, 2020
Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-
HUMAN RESOURCES DEPARTMENT
MEETING OF THE BOARD OF TRUSTEES
September 10, 2020

CERTIFICATED OFFERS OF EMPLOYMENT:

I. NEW HIRES:

1.	Arnison, Ellen	3/ADELANTE Academy I	08/12/2020
2.	Becerra, Jessica	5/ADELANTE Academy II	08/12/2020
3.	Dam, Tuan	Core/Renaissance Academy I	08/12/2020
4.	Dano, Joshua	SDC/Special Education Dept.	08/12/2020
5.	Garcia, Natasha	1/ADELANTE Academy I	08/12/2020
6.	Gonzalez, Karina	Speech Therapist/Special Education Dept.	08/12/2020
7.	Gutierrez, Victor	3/ADLENTE Academy II	08/12/2020
8.	Gutierrez, Yaritza	6/ADELANTE Academy II	08/12/2020
9.	Ivy, Kimberly	SDC/Special Education Dept.	08/12/2020
10.	Murphy, Emma	SDC/Special Education Dept.	08/12/2020
11.	Needleman, Erez	RSP/Special Education Dept.	08/12/2020
12.	Nguyen, Teresa	Core/Fischer Middle School	08/12/2020
13.	Paz, Adriana	Psychologist/Special Education Dept.	08/12/2020
14.	Santarina, Travis	Adapted P.E./Special Education Dept.	08/12/2020
15.	Sarabia, Gian	4/ADELANTE Academy I	08/12/2020
16.	Sarmiento, Kaithlynn	Core/Renaissance Academy I	08/12/2020
17.	Saxton, Rochelle	Math/Sheppard Middle School	08/12/2020
18.	Solis, Luvia	Core/Renaissance Academy I	08/12/2020
19.	Solorio, Ruth	2/ADELANTE Academy II	08/12/2020
20.	Souda, Manali	Core/Renaissance Academy I	08/12/2020
21.	Stewart, Jessica	Speech Therapist/Special Education Dept.	08/20/2020

II. Substitute Residential Teacher Contracts:

1.	Butler, Tanisha	08/12/2020-10/30/2020
2.	Faines, Ana	08/12/2020-10/30/2020
3.	Faines, James	08/18/2020-10/30/2020
4.	Mendoza, Javier	08/12/2020-10/30/2020
5.	Millan, Yvonne	08/12/2020-10/30/2020
6.	Morquecho, Leticia	08/18/2020-10/30/2020
7.	Ravishanker, Uma	08/27/2020-09/30/2020
8.	Rojas, Raul	08/18/2020-10/30/2020
9.	Sanchez, Melany	08/12/2020-10/30/2020
10.	Solis, Salvador	08/12/2020-10/30/2020

III. Rehired from Temporary Contract and Change of Status Effective 8/24/2020:

1.	Morales, Elizabeth Montoya	1/ADELANTE Academy II	Intern Status

IV. Employee Contract:

1.	Colon-Arellano, Mildred	Substitute Principal	09/11/2020-06/30/2021

CERTIFICATED CHANGES OF STATUS:

V. Retirement:

1. Aiono, Mary	1/Linda Vista Elementary	08/03/2020

VI. Provisional Internship Permit:

1. Bland, Samuel	Teacher, Special Education – SDC

VII. Ed Code Assignment EC § 44831
2020/2021 School Year:

Stewart, Jessica — **Speech Therapist/Special Education Department**

Under the provisions of this statute, the governing board of a school district or a county office of education may contract or employ an individual to provide speech and language services if the individual has verified the following: 1) master's degree in communicative disorders, 2) valid license issued by the Speech-Language Pathology and Audiology Board, 3) passage of the California Basic Educational Skills Test (CBEST), and 4) the criminal record summary pursuant to EC §44332.6.

VIII. Initial Variable Term Credential Waiver Request:

1. Arnison, Ellen	BCLAD: Spanish Program

CLASSIFIED PERSONNEL – September 10, 2020

CLASSIFIED OFFERS OF EMPLOYMENT:

I. New Employee:

1.	Bernal, Stephanie Lizette	Community Liaison 9.5mo/Adelante II	08/18/2020

II. Re-Hire:

1.	Padilla, Violet Josephina	Paraeducator Special Education II/Russo-McEntee	08/17/2020

III. Change of Status:

1.	Manzo, Yadira	Night Custodian I – Elementary/Linda Vista	08/03/2020
2.	Ortiz, Bianca	Autism Intervention Assistant/Special Educational Services	08/17/2020

IV. Retirement:

1.	Avilez, Mary	Campus Paraeducator/Lyndale	08/17/2020

V. Contracted Employees:

1.	Elliot, Debra	Coordinator, Student Data	10/09/2020 - 06/30/2021
2.	Nguyen, Khoi	Vietnamese Translator/Interpreter	08/14/2020 - 06/30/2021
3.	Floirendo, Ian Carl	Instructor, Jazz Program: Vocal & Rhythm	09/11/2020 - 06/30/2021
4.	Pangilinan, Oscar	Instructor, Jazz Program: Audio Technician	09/11/2020 - 06/30/2021
5.	Sanchez, Clayton	Instructor, Jazz Program: Trumpet	09/11/2020 - 06/30/2021
6.	Cervantes, Rogelio	Instructor, Mariachi Program	09/11/2020 - 06/30/2021
7.	Ramos-Perez, Juan-Manuel	Music Director, Mariachi Program	09/11/2020 - 06/30/2021
8.	Ramos, Zaida,	Artistic/Music Director, Mariachi Program	09/11/2020 - 06/30/2021
9.	Borceguin, Monique	Instructor, Mariachi Program	09/11/2020 - 06/30/2021

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

10.06

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees: Date: August 18, 2020

Subject: **Resolution No. 11-20/21, Regarding Sufficiency or Insufficiency of Instructional Materials**

Staff Analysis: Education Code Section 60119 requires that the Governing Board adopt a resolution determining that every student is provided with sufficient textbooks and instructional materials aligned to the academic content standards and consistent with the cycles and content of the curriculum frameworks. Staff evaluation of all sites has determined that this is the case.

Recommendation: Staff recommends approval of Resolution No. 11-20/21, Sufficiency of Instructional Materials as presented.

Submitted by: Sandra Garcia Title: Director of State & Federal Programs

Approved by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Meeting: September 10, 2020
Regular Board Meeting

Recommended Approval

10.06
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

2020-2021 Core Curriculum Matrix

(Special Education students will receive the core curriculum per Board policy.)

		ading /Language Arts	English Language Development	athematics	istory /Social Science	ience
Elementary School	K	Benchmark: Advance Benchmark: Adelante Voyager Sopris -Read Well	Benchmark: Advance Benchmark: Adelante	Pearson:enVision Math	Benchark Studies Weekly	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)
	1	Benchmark: Advance Benchmark: Adelante Voyager Sopris -Read Well	Benchmark: Advance Benchmark: Adelante	Pearson:enVision Math	Benchark Studies Weekly	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)
	2	Benchmark: Advance Benchmark: Adelante Steps to Advance Voyager Sopris -Read Well	Benchmark: Advance Benchmark: Adelante	Pearson:enVision Math	Benchark Studies Weekly	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)
	3	Benchmark: Advance Steps to Advance Benchmark: Adelante Voyager Sopris -Read Well	Benchmark: Advance Benchmark: Adelante	Pearson:enVision Math	Benchark Studies Weekly	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)
	4	Benchmark: Advance Steps to Advance Benchmark: Adelante Voyager Sopris - Language Live	Benchmark: Advance Benchmark: Adelante	Pearson:enVision Math	Benchark Studies Weekly	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)
	5	Benchmark: Advance Steps to Advance Benchmark: Adelante Voyager Sopris - Language Live	Benchmark: Advance Benchmark: Adelante	Pearson:enVision Math	Benchark Studies Weekly	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)
Middle School	6	McGraw Hill-Study Sync Voyager Sopris - Language Live	Houghton Mifflin-English 3D McGraw Hill-Study Sync	CPM (College Preparatory Mathematics) CC1	TCI History Alive: The Ancient World McGraw Hill-IMPACTO (Adelante)	Accelerate Learning: STEMscopes
	7	McGraw Hill-Study Sync Voyager Sopris - Language Live	Houghton Mifflin-English 3D McGraw Hill-Study Sync	CPM (College Preparatory Mathematics) CC2	TCI History Alive: The Medieval World and Beyond McGraw Hill-IMPACTO (Adelante)	Accelerate Learning: STEMscopes
	8	McGraw Hill-Study Sync Voyager Sopris - Language Live	Houghton Mifflin-English 3D McGraw Hill-Study Sync	CPM (College Preparatory Mathematics) CC3 Accelerated H S Course 1 Math Vision Project	TCI History Alive: The United States Through Industrialism McGraw Hill-IMPACTO (Adelante)	Accelerate Learning: STEMscopes

RESOLUTION No. 11-20/21
REGARDING SUFFICIENCY OR INSUFFICIENCY OF INSTRUCTIONAL MATERIALS

WHEREAS, the Governing Board of Alum Rock Union School District, in order to comply with the requirements of *Education Code Section 60119* held a public hearing September 10, 2020 at 5:30 p.m., which is on or before the eighth week of school (between the first day that students attend school and the eighth week from that day) and which did not take place during or immediately following school hours, and;

WHEREAS, the Governing Board provided at least 10 days notice of the public hearing posted in at least three public places within the district that stated the time, place, and purpose of the hearing, and;

WHEREAS, the Governing Board encouraged participation by parents/guardians, teachers, members of the community, and bargaining unit leaders in the public hearing, and;

FOR A FINDING OF SUFFICIENT INSTRUCTIONAL MATERIALS:

WHEREAS, information provided at the public hearing and to the governing board at the public meeting detailed the extent to which textbooks and instructional materials were provided to all students, including English learners, in the Alum Rock Union School District, and;

WHEREAS, the definition of "sufficient textbooks or instructional materials" means that each student, including English learners, has a textbook or instructional materials, or both, to use in class and take home, and;

WHEREAS, between 2008-2009 through 2020-2021 fiscal years, the definition of "sufficient textbooks or instructional materials" also means that all students who are enrolled in the same course within the Alum Rock Union School District, have standards-aligned textbooks or instructional materials from the same adoption cycle, and;

WHEREAS, sufficient textbooks and instructional materials were provided to each student, including English learners that **are aligned to the academic content standards** and consistent with the cycles and content of the curriculum frameworks **in the following subjects:**

- Mathematics – **(See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)**
- Science – **(See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)**
- History – Social Science – **(See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)**
- English/language arts, including the English language development component of an adopted program – **(See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)**

THEREFORE, IT IS RESOLVED that for the 2020-2021 school year, the Alum Rock Union School District has provided each student with sufficient textbooks and instructional materials aligned to the academic content standards and consistent with the cycles and content of the curriculum frameworks.

PASSED AND ADOPTED this 10th day of September 2020, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes _____ Noes _____ Absent _____ Abstain _____

Board of Trustees:

Ernesto Bejarano, President _____

Corina Herrera-Loera, Vice President _____

Andres Quintero, Board Clerk _____

Linda Chavez, Member _____

Dolores Marquez-Frausto, Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 12, 2019.

_____ _____
Hilaria Bauer, Ph.D., Superintendent Date

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.07

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: August 24, 2020

Subject: **Resolution No. 12 – 20/21**
GANN Limit Calculations

Staff Analysis: In November of 1979, California voters approved Proposition 4, an initiative that added Article XIII B to the California Constitution. This constitutional amendment, known as the Gann Initiative, placed limits on the growth of expenditures for publicly funded programs. Division 9 of Title 1, beginning with Section 7900 of the Government Code, was then added to law to specify the process for calculating state and local government appropriation limits and appropriations subject to limitation under Article XII B of the Constitution. These constitutional and statutory sections explain and define the appropriations limit and appropriations subject to limitation as they apply to state and local government, and require that each entity of government formally "adopt" its appropriations limit for a given fiscal year.

Education Code sections 1629 and 42132 specify that each year district Governing Boards shall adopt a resolution identifying their estimated appropriations limits for the current year and their actual appropriations limit for the preceding year. The documentation supporting the GANN adoption resolution shall be made available to the public.

Recommendation: Staff recommends that the Board accept the GANN Limit Calculations and adopt Resolution #12 – 20/21 as presented.

Submitted by: Efrain Robles Title: Director, Fiscal Services

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees: Meeting: September 10, 2020
Regular Board Meeting

Recommend Approval

10.07
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
RESOLUTION NO 12 – 20/21

RESOLUTION FOR ADOPTING THE "GANN" LIMIT
(Normal, no increase to Limit pursuant to G.C. 7902.1 [nothing on line K {COE line P}])

WHEREAS, in November of 1979, the California electorate did adopt Proposition 4, commonly called the Gann Amendment, which added Article XIII-B to the California Constitution; and,

WHEREAS, the provisions of that Article establish maximum appropriation limitations, commonly called "Gann Limits," for public agencies, including school districts; and,

WHEREAS, the District must establish a revised Gann limit for the 2019-2020 fiscal year and a projected Gann Limit for the 2020-2021 fiscal year in accordance with the provisions of Article XIII-B and applicable statutory law; and,

NOW, THEREFORE, BE IT RESOLVED that this Board does provide public notice that the attached calculations and documentation of the Gann limits for the 2019-2020 and 2020-2021 fiscal years are made in accord with applicable constitutional and statutory law;

AND BE IT FURTHER RESOLVED that this Board does hereby declare that the appropriations in the Budget for the 2019-2020 and 2020-2021 fiscal years do not exceed the limitations imposed by Proposition 4;

AND BE IT FURTHER RESOLVED that the Superintendent provides copies of this resolution along with the appropriate attachments to interested citizens of this district.

PASSED AND ADOPTED this 10th day of September, 2020, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

Ayes_____ Noes_____ Absent_____ Abstain_____

Board of Trustees:

Ernesto Bejarano, President _____
Corina Herrera-Loera, Vice-President _____
Andrés Quintero, Clerk _____
Dolores Márquez-Frausto, Member _____
Linda Chavez, Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on September 10, 2020.

_____ _____
Hilaria Bauer, Ph.D., Superintendent Date

	2019-20 Calculations			2020-21 Calculations		
	Extracted Data	Adjustments*	Entered Data/ Totals	Extracted Data	Adjustments*	Entered Data/ Totals
A. PRIOR YEAR DATA (2018-19 Actual Appropriations Limit and Gann ADA are from district's prior year Gann data reported to the CDE)		**2018-19 Actual**			**2019-20 Actual**	
1. FINAL PRIOR YEAR APPROPRIATIONS LIMIT (Preload/Line D11, PY column)	63,727,999.00		63,727,999.00			62,885,686.92
2. PRIOR YEAR GANN ADA (Preload/Line B3, PY column)	9,314.77		9,314.77			8,851.22
ADJUSTMENTS TO PRIOR YEAR LIMIT		**Adjustments to 2018-19**			**Adjustments to 2019-20**	
3. District Lapses, Reorganizations and Other Transfers						
4. Temporary Voter Approved Increases						
5. Less: Lapses of Voter Approved Increases						
6. TOTAL ADJUSTMENTS TO PRIOR YEAR LIMIT (Lines A3 plus A4 minus A5)			0.00			0.00
7. ADJUSTMENTS TO PRIOR YEAR ADA (Only for district lapses, reorganizations and other transfers, and only if adjustments to the appropriations limit are entered in Line A3 above)						
B. CURRENT YEAR GANN ADA (2019-20 data should tie to Principal Apportionment Software Attendance reports and include ADA for charter schools reporting with the district)		**2019-20 P2 Report**			**2020-21 P2 Estimate**	
1. Total K-12 ADA (Form A, Line A6)	8,452.94		8,452.94	7,831.65		7,831.65
2. Total Charter Schools ADA (Form A, Line C9)	398.28		398.28	418.21		418.21
3. TOTAL CURRENT YEAR P2 ADA (Line B1 plus B2)			8,851.22			8,249.86
C. CURRENT YEAR LOCAL PROCEEDS OF TAXES/STATE AID RECEIVED		**2019-20 Actual**			**2020-21 Budget**	
TAXES AND SUBVENTIONS (Funds 01, 09, and 62)						
1. Homeowners' Exemption (Object 8021)	111,311.64		111,311.64	111,000.00		111,000.00
2. Timber Yield Tax (Object 8022)	0.00		0.00	0.00		0.00
3. Other Subventions/In-Lieu Taxes (Object 8029)	0.00		0.00	0.00		0.00
4. Secured Roll Taxes (Object 8041)	28,313,806.40		28,313,806.40	28,251,839.00		28,251,839.00
5. Unsecured Roll Taxes (Object 8042)	1,805,391.30		1,805,391.30	1,805,000.00		1,805,000.00
6. Prior Years' Taxes (Object 8043)	0.00		0.00	0.00		0.00
7. Supplemental Taxes (Object 8044)	4,150,032.44		4,150,032.44	3,336,000.00		3,336,000.00
8. Ed. Rev. Augmentation Fund (ERAF) (Object 8045)	(123,053.28)		(123,053.28)	(447,000.00)		(447,000.00)
9. Penalties and Int. from Delinquent Taxes (Object 8048)	0.00		0.00	0.00		0.00
10. Other In-Lieu Taxes (Object 8082)	0.00		0.00	0.00		0.00
11. Comm. Redevelopment Funds (objects 8047 & 8625)	0.00		0.00	0.00		0.00
12. Parcel Taxes (Object 8621)	4,302,393.78		4,302,393.78	4,274,350.00		4,274,350.00
13. Other Non-Ad Valorem Taxes (Object 8622) (Taxes only)	0.00		0.00	0.00		0.00
14. Penalties and Int. from Delinquent Non-LCFF Taxes (Object 8629) (Only those for the above taxes)	0.00		0.00	0.00		0.00
15. Transfers to Charter Schools in Lieu of Property Taxes (Object 8096)						
16. TOTAL TAXES AND SUBVENTIONS (Lines C1 through C15)	38,559,882.28	0.00	38,559,882.28	37,331,189.00	0.00	37,331,189.00
OTHER LOCAL REVENUES (Funds 01, 09, and 62)						
17. To General Fund from Bond Interest and Redemption Fund (Excess debt service taxes) (Object 8914)	0.00		0.00	0.00		0.00
18. TOTAL LOCAL PROCEEDS OF TAXES (Lines C16 plus C17)	38,559,882.28	0.00	38,559,882.28	37,331,189.00	0.00	37,331,189.00

	2019-20 Calculations			2020-21 Calculations		
	Extracted Data	Adjustments*	Entered Data/ Totals	Extracted Data	Adjustments*	Entered Data/ Totals
10. Adjustments to the Limit Per Government Code Section 7902.1 (Line D9d minus D4; if negative, then zero)			0.00			
If not zero report amount to: Keely Bosler, Director, State Department of Finance, Attention: School Gann Limits, State Capitol, Room 1145, Sacramento, CA 95814						
SUMMARY	**2019-20 Actual**			**2020-21 Budget**		
11. Adjusted Appropriations Limit (Lines D4 plus D10)			62,885,686.92			60,802,116.21
12. Appropriations Subject to the Limit (Line D9d)			62,885,686.92			

* Please provide below an explanation for each entry in the adjustments column.

Efrain Robles
Gann Contact Person

(408) 928-6839
Contact Phone Number

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.08

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: August 7, 2020

Subject: **Resolution No. 08-20/21**
CREATING A COLLEGE CULTURE
Creating a college-bound culture supports the district's goal of maintaining high student achievement and providing all students with access to university preparation courses.

Staff Analysis: Creating a college-bound culture in our district provides a framework for staff, parents, and the community to engage in collaboration to prepare all students to attend college. Through a variety of activities for students and their families, Alum Rock schools promote a college-going culture in order to celebrate, inspire, and promote college aspirations for all.

Recommendation: Staff recommends approval of Resolution No. 08-20/21, Creating a College Culture, as submitted.

Submitted by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Meeting: September 10, 2020
Regular Board Meeting

Recommend Approval

10.08
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

RESOLUTION NO. 08-20/21
CREATING A COLLEGE CULTURE IN THE ALUM ROCK SCHOOL DISTRICT
OCTOBER 2020

WHEREAS, the mission of the Alum Rock Union School District states: We believe that all students can learn, all students can succeed. We recognize and value the diversity of our student population. We believe that diversity enhances students' ability and opportunity to learn. In order to achieve this mission, we have a single goal: to increase the academic achievement of our students.

WHEREAS, according to the Alum Rock Union School District Board of Trustees, the parent and community goal for 2020-2021 is to focus on the development and strengthening of a system for increasing parent support of District schools and involvement in student learning; and

WHEREAS, the demand for educated workers will rise by 2025, with two out of five jobs requiring a college degree; and

WHEREAS, a Bachelor's Degree provides young people with a lifetime income gain of approximately $1,000,000 and college graduates are 50% more likely to vote; and

WHEREAS, we believe every child should have a dream for college or post-secondary education; and

WHEREAS, when adults share their belief that everyone can go to college and talk with young people about their education, they inspire young people to design their own pathway to college and demystify college for children and youth;

NOW, THEREFORE BE IT RESOLVED, that the Board of Trustees of the Alum Rock Union School District and the Superintendent create a multilingual "College for All" public engagement campaign to inform students, parents and staff of the value of a college education and the requirements necessary for students to enroll in four-year colleges and universities in the 2020-2021 school year; and

RESOLVED, further, that the Superintendent establish an implementation committee that collaborates with employee and employer organizations, post-secondary institutions, and other stakeholders, including parents and students, to incorporate community involvement in the development and implementation of the District's strategy; and

BE IT FURTHER RESOLVED, that the Alum Rock Union School District encourages every educator and every staff member to inspire and encourage students to believe that they can go to college by creating a college culture.

PASSED AND ADOPTED this 10th day of September, 2020, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes_____ Noes_____ Absent_____ Abstain_____

Board of Trustees:

Ernesto Bejarano, President _____

Corina Herrera-Loera, Vice-President _____

Andrés Quintero, Clerk _____

Linda Chavez, Member _____

Dolores Marquéz - Frausto, Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 10, 2020.

_____ _____

Hilaria Bauer, Ph.D., Superintendent Date

Teacher Lessons, Resources and Ideas
October 2020

Alum Rock is proud to recognize the following celebrations during the month of October :

Creating a College Culture

Through readings, historical documents, art, videos, class discussions, stories, and activities we can, as educators, teach our children about these important and relevant celebrations and recognitions.

Attached are links to various online resources to look for ideas, lessons or resources.

Subject	Link
Article	Fostering a College-Going Culture in Elementary School
Article	Four Ways to Teach Kids about College
Resources for lessons	College Awareness Activities for Elementary Students
Career lessons	Career Awareness and Exploration
Resources	Achieve.org
RESOURCES	SVEF -COLLEGE DAY 2020
Events	National College Colors Day
Resources	College Awareness Week Activities
Exploration	California Career Zone

10.09

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: August 7, 2020

Subject: **Resolution No. 09-20/21**
Hispanic Heritage Month
September 15 – October 15, 2020

Staff Analysis: Latino contributions to the growth and development of the United States have been many. As this nation looks forward to the 21st century, Latinos will continue to play a major role in meeting the opportunities and challenges ahead. Hispanic Heritage Month celebrates the Latino contribution. It also lets us recognize America's cultural diversity – and the contributions of all of its citizens. Seventy-eight percent of the students in the Alum Rock Union School District are of Hispanic origin; therefore, the Board is encouraged to proclaim September 15th – October 15th *Hispanic Heritage Month.* Educational communities are encouraged to commemorate this time with appropriate instructional activities.

Recommendation: Staff recommends approval of Resolution No. 09-20/21, Hispanic Heritage Month, as submitted.

Submitted by: Rene Sanchez RS Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Meeting: September 10, 2020
Regular Board Meeting

Recommend Approval

10.09
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

RESOLUTION NO. 09-20/21
HISPANIC HERITAGE MONTH
SEPTEMBER 15 – OCTOBER 15, 2020

WHEREAS, more than one in eight people in the United States are of Hispanic origin; and

WHEREAS, 44.2% of the population in the West Region of the United States is of Hispanic origin; and

WHEREAS, 78% of the students in the Alum Rock Union School District are of Hispanic origin

WHEREAS, the contributions of the Hispanics in the development and success of America are woven into almost every segment of the nation's history, and to envision America untouched by Latinos is to imagine a country without much of the folklore and many of its achievements; and

WHEREAS, recognizing that America's cultural diversity-and the contributions of all its citizens-has made America's fabric strong throughout its history; and

WHEREAS, Hispanics have always played major roles in building this country and making it a better place;

NOW, THERFORE, BE IT RESOLVED, that the Alum Rock Union School District joins with all other educational agencies, governmental bodies, and community organizations in proclaiming and designating September 15 to October 15, 2020, *Hispanic Heritage Month*, and encourages all educational communities to observe this month with appropriate instructional activities.

PASSED AND ADOPTED this 10th day of September, 2020, at a regular meeting by the following vote:

Ayes_____ Noes_____ Absent_____ Abstain_____

Board of Trustees:

Ernesto Bejarano, President _____

Corina Herrera-Loera, Vice-President _____

Andrés Quintero, Clerk _____

Linda Chavez, Member _____

Dolores Marquéz - Frausto, Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 10, 2020.

_____ _____

Dr. Hilaria Bauer, Ph.D., Superintendent Date

Teacher Lessons, Resources and Ideas
October 2020

Alum Rock is proud to recognize the following celebrations during the month of October :

Hispanic Heritage Month

Through readings, historical documents, art, videos, class discussions, stories, and activities we can, as educators, teach our children about these important and relevant celebrations and recognitions.

Attached are links to various online resources to look for ideas, lessons or resources.

Grade Span	Subject	Link
K-12	All	Hispanic Heritage Lessons -Descriptions
All	Resources	A month of ideas and activities
All	Lesson -Resources	Hispanic Heritage Month Activities
All	Lessons	Lessons for Hispanic Heritage Month
All	Resources	NPS.gov
All	Art	nga- Hispanice Heritage Month Resources and art
All	Resources - art, lessons and music	Teacher vision -resources
Upper	Resources	Impromptu prompts- Speech and Debate
Upper	Resources	Hispanic Heritage Month -NAACP

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.10

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: August 7, 2020

Subject: **Resolution No. 10-20/21**
Character Education Month
October, 2020

Staff Analysis: The State Superintendent of Public Instruction and the State Board of Education encourage California's local education agencies to promote effective character education programs by taking a proactive and comprehensive approach that encourages responsible, ethical, civic-minded behavior in all phases of school life. Local school boards are encouraged to observe the month of October, 2020, as Character Education Month.

Recommendation: Staff recommends proclaiming October, 2020, as Character Education Month.

Submitted by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees: Meeting: September 10, 2020
Regular Board Meeting

Recommend Approval

10.10
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

RESOLUTION NO. 10-20/21

CHARACTER EDUCATION MONTH, OCTOBER 2020

WHEREAS, California's State Superintendent of Public Instruction and the State Board of Education believe that all students enrolled in California Public Schools have the right to a comprehensive curriculum that promotes a common core of personal and social values as the basis of good character;

WHEREAS, the State Superintendent of Public Instruction and the State Board of Education believe that America's heritage and laws reflect a common core of personal and social values that holds the citizens of this democracy responsible for acting morally and ethically, being actively involved in school and community, resolving differences peacefully, and respecting the rights, dignity, and property of others;

WHEREAS, the State Superintendent of Public Instruction and the State Board of Education believe that the character of our state is only as strong as the character of each individual citizen;

WHEREAS, although character development is, first and foremost, an obligation of families, the efforts of Faith communities and schools, as well as youth, civic, and human services organizations also play a very significant role by supporting families and otherwise fostering and promoting good character;

WHEREAS, state law calls upon educators to impress upon students the principles of morality, truth, justice, patriotism, and a true comprehension of the rights, duties, and dignity of American citizenship; and the meaning of equality and human dignity, all of which result in awakening students to the moral and ethical virtues that build a fundamental strength of character;

WHEREAS, an effective character education program requires an intentional, proactive, consistent, and comprehensive approach that promotes a common core of personal and social values in all phases of school life, core values that include trustworthiness, respect, responsibility, fairness, caring citizenship, civic virtue, honesty, and personal responsibilities; now, therefore, be it

RESOLVED, the State Superintendent of Public Instruction and the State Board of Education, join in proclaiming and observing the month of October, 2020, as CHARACTER EDUCATION MONTH, and be it further

RESOLVED, the State Superintendent of Public Instruction and the State Board of Education encourage California's local education agencies to promote effective character education programs by taking a proactive and comprehensive approach that encourages responsible, ethical, civic-minded behavior in all phases of school life. Local school boards are encouraged to observe the month of October, 2020, as Character Education Month.

PASSED AND ADOPTED this 10th day of September, 2020, at a regular meeting by the following vote:

Ayes_____ Noes_____ Absent_____ Abstain_____

Board of Trustees:

Ernesto Bejarano, President _____

Corina Herrera-Loera, Vice-President _____

Andrés Quintero, Clerk _____

Linda Chavez, Member _____

Dolores Marquéz - Frausto, Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 10, 2020.

_____ _____

Dr. Hilaria Bauer, Ph.D., Superintendent Date

Teacher Lessons, Resources and Ideas
October 2020

Alum Rock is proud to recognize the following celebrations during the month of October : ***Character Education Month***

Through readings, historical documents, art, videos, class discussions, stories, and activities we can, as educators, teach our children about these important and relevant celebrations and recognitions.

Attached are links to various online resources to look for ideas, lessons or resources.

Grade Span	Subject	Link
K-5	Character Ed /SEL	good character.com K-5 lessons
MS	Character Ed /SEL	good character.com 4-8 lessons
4-8	Physical Education	Character Ed and SEL in Sports
ALL	Character Ed /SEL	Teaching Tolerance
Select	Character Ed	Learning to Give Lessons
ALL	Character Ed	Edutopia -Character Education
ALL	Article for teachers	CDE -The role of Character Education
ALL	Building community	Icebreaker ideas -

Distance Learning Mini Lesson- CARING
Distance Learning Mini Lessons- COURAGE
Distance Learning Mini Lesson - Gratitude
Distance Learning Mini Lesson - Inclusiveness
Distance Learning Mini Lesson - Kindness- Part 1
Distance Learning Mini Lesson - Kindness Part II
Distance Learning Mini Lesson - RESPECT
Distance Learning Mini Lesson - RESPONSIBILITY

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.11

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees: Date: August 7, 2020

Subject: **Resolution No. 11-20/21**
WEEK OF THE SCHOOL ADMINISTRATOR – OCTOBER 11 – 17, 2020
October 11 – 17, 2020, has been proclaimed as the Week of the School Administrator

Staff Analysis: The week of October 11 through October 17, 2020, has been designated as the "Week of the School Administrator" to commend the administrators of California public schools for their support and contributions to the quality of public education in this state.

Recommendation: Staff recommends approval of Resolution No. 11-20/21, Week of the School Administrator, as submitted.

Submitted by: Rene Sanchez R.S Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 10, 2020
Regular Board Meeting

Recommend Approval

10.11
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Resolution No. 11-20/21
PROCLAIMING OCTOBER 11-17, AS THE
"WEEK OF THE SCHOOL ADMINISTRATOR"

WHEREAS, the week of October 11 through October 17, 2020, has been designated as the "Week of the School Administrator," and

WHEREAS, approximately 15,000 certificated and classified school administrators work in California's public schools; and

WHEREAS, nearly sixty percent (60%) of these administrators are principals, and vice principals providing direct support for the educational programs at school sites; and

WHEREAS, research has determined that one of the main attributes of effective schools is the competent leadership of principals; and

WHEREAS, other certificated and classified administrators provide leadership and support for the educational program by developing and implementing the curriculum, recruiting, training, supporting teachers and parents, planning and mainstreaming school facilities, and providing transportation, nutrition, and social service programs to pupils and their families; and

WHEREAS, a school's administrative team includes confidential employees who perform and assist in the performance of many critical functions;

NOW, THEREFORE, BE IT RESOLVED, that the week of October 11-17, 2020, be proclaimed the "Week of the School Administrator", in honor of the many outstanding administrative teams in California's public school districts; and

PASSED AND ADOPTED this 10th day of September, 2020, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes_____ Noes_____ Absent_____ Abstain_____

Board of Trustees:

Ernesto Bejarano, President _____

Corina Herrera-Loera, Vice-President _____

Andrés Quintero, Clerk _____

Linda Chavez, Member _____

Dolores Marquéz - Frausto, Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 10, 2020.

_____ _____

Hilaria Bauer, Ph.D., Superintendent Date

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 10.12

2930 Gay Avenue
San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

Subject : **ACCEPTANCE OF MEMORANDUM(S) OF UNDERSTANDING**

Staff Analysis:
The District has received the following Memorandums of Understanding as summarized on the sheet dated September 10, 2020.

Recommendation:
Staff recommends acceptance of these memorandums of understanding.

Prepared by:: Maria Martinez M.M. Title: Procurement Manager

Approved by: Kolvira Chheng Title: Assistant Superintendent of Business Services

To the Board of Trustees: Meeting: September 10, 2020

Recommend Approval Regular Board Meeting

10.12

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District
September 10, 2020 Board Meeting

MEMORANDUMS OF UNDERSTANDING

DEPARTMENT	DATE OF SERVICE	CONSULTANT NAME	COST	PURPOSE
McCollam Pablo Fiene	09/15/20- 10/20/20	Santa Clara County Office of Education	$500.00	Provide a six-session workshop series for McCollam parents. The focus of the workshops will be to expose TK-5th grade parents to Common Core Math & Literacy activities and the acquisition of parenting strategies that they will be able to use to support their child's emotional and academic growth. Funding: Restricted
Human Resources Carlos Moran	09/11/20- 08/31/23	Alliant International University	No Cost	For Practicum Students, Student Interns and Teacher Interns enrolled in the Teacher Intern Program who will be serving their practicum with the district. Funding: General

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: **BUSINESS OFFICE** Contract No.: _____ Vendor No.: 10547

McCollam Elementary (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☑ **MOU** (negotiated Agreement) ☐ **MASTER CONTRACT PARTICIPATION**

☐ Exhibit B & C (Fingerprinting and TB Test) ☐ Scope of Work/Proposal

Note: All Contracts over $5,000 require pre-approval.

** Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement*

Name of Individual/Company Santa Clara County Office of Education

Address: 1290 Ridder Park Dr. City: San Jose State: CA Zip: 95131

Phone: (408) 453-6992 Email: jaime_koo@sccoe.org

SSN: n/a Fed I.D. #: 77-0272168

CONTRACT TERM: start dates September 15, 2020 end date October 20, 2020

CONTRACTOR'S OBLIGATION

Description of services to be provided: (Please attach proposals, scope of work, and other documentation)

The SCCOE will provide a six-session workshop series for McCollam parents. The focus of the workshops will be to expose TK-5th grade parents to Common Core Math & Literacy activities, and the acquisition of parenting strategies that they will be able to use to support their child's emotional and academic growth.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

X a **Fee Rate:** $ 500.00 per workshop Not to Exceed $500.00 of services

_____ b **Other:** $ _____

Describe other related costs: _____

BUDGET CODE: 06 3010 0 5815 00 1110 1000 000000 040 1190 _____ Accountant Initials

_____ _____ Accountant Initials

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: _____ Date: 8/20/20

Director of Fiscal Services: _____ Date: _____

Asst. Supt., of Business Services: _____ Date: _____

Superintendent: _____ Date: _____

Santa Clara County Office of Education

McCollam Elementary School
and
Santa Clara County Office of Education

Memorandum of Understanding

August 19, 2020

This is a memorandum of understanding between **McCollam Elementary School (MES)** and the **Santa Clara County Office of Education (SCCOE)**. The goal of this agreement is to provide Parent Engagement workshop series in an online format for the academic school year 2020- 2021. This document is intended to define and clarify the following items between the Santa Clara County Office of Education and the McCollam Elementary School.

Start Date: September 15, 2020 **End Date: October 20, 2020**

This memorandum of understanding is intended to define

- Use of resources
- Articulation of monies
- Coordination and delivery of Parent Engagement workshop

1.0 Use of Resources

1.1 **SCCOE** will provide 10 copies total of the Parent Project Jr. or Active Parenting book in English or Spanish to be disseminated to parents. Schools will have the option to purchase more books.

1.2 **MES** will decide on the manner to disseminate books.

1.3 The **MES** will receive a Zoom link for the live online workshop series accessible by all parents.

1.4 A recording will also be available for any parent to access at a later date or time, up to a month (4 weeks) after the last webinar session.

2.0 Articulation of Monies-Reimbursement Plan

2.1 **MES** will pay $500.00 flat rate.
2.2 Monies will be paid upon receipt of invoice.

3.0 Coordination and Delivery of Parent Engagement workshop

3.1 The **Santa Clara County Office of Education (SCCOE)** will provide six (6) Parent Project Jr. Loving Solutions workshops for Elementary or six (6) Active Parenting workshops for Secondary with integrated CCSS support for parents.
3.2 **SCCOE** will provide a Parent Engagement Specialist to facilitate the training.
3.3 Training will be offered in English and Spanish.
3.4 The online training will take place on a date and time as stated:

- September 15, 22, 29, 2020 4:30 to 6 p.m.
- October 6, 13, 20, 2020 4:30 to 6 p.m.

3.5 **MES** will provide Parent Engagement Specialist with the intended outcomes/topic of consultation. If the outcomes are not determined within this timeframe, **SCCOE** reserves the right to cancel the training.
3.6 Date, Time, and Location: **MES** will notify **SCCOE** of the specific location and start/end times for each Parent Engagement workshop in advance of the Parent Engagement workshop and finalize the dates with at least 20 days' notice beginning September 15, 2020.

4.0 Other Conditions

4.1 Insurance: **MES** and the Santa Clara County Office of Education shall maintain a certificate of insurance in the business office of each respective office.
4.2 Indemnity: **MES** and the Santa Clara County Office of Education shall be held harmless from and against any and all claims arising from a default in the performance of any obligation of the memorandum of understanding.

By: _____
Signature of Authorized Official

Santa Clara County Office of Education

Title: Director, School Climate, Leadership and Instructional Services Dept.
Date: 8/26/2020

By: _____
Signature of Authorized Official

McCollam Elementary School

Title: Superintendent

Date: _____

2.0 Articulation of Monies-Reimbursement Plan

2.1 **MES** will pay $500.00 flat rate.
2.2 Monies will be paid upon receipt of invoice.

3.0 Coordination and Delivery of Parent Engagement workshop

3.1 The **Santa Clara County Office of Education (SCCOE)** will provide six (6) Parent Project Jr. Loving Solutions workshops for Elementary or six (6) Active Parenting workshops for Secondary with integrated CCSS support for parents.
3.2 **SCCOE** will provide a Parent Engagement Specialist to facilitate the training.
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4.0 Other Conditions

4.1 Insurance: **MES** and the Santa Clara County Office of Education shall maintain a certificate of insurance in the business office of each respective office.
4.2 Indemnity: **MES** and the Santa Clara County Office of Education shall be held harmless from and against any and all claims arising from a default in the performance of any obligation of the memorandum of understanding.

By: _____
Signature of Authorized Official

Santa Clara County Office of Education

Title: Director, School Climate, Leadership and Instructional Services Dept.
Date: _____

By: _____
Signature of Authorized Official

Alum Rock Union School District

Title: Superintendent

Date: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: **BUSINESS OFFICE** Contract No.: _____ Vendor No.: _____

Human Resources (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☑ **MOU** (negotiated Agreement) ☐ **MASTER CONTRACT PARTICIPATION**

☐ Exhibit B & C (Fingerprinting and TB Test) ☐ Scope of Work/Proposal

Note: All Contracts over $5,000 require pre-approval.

* *Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.*

Name of Individual/Company: Alliant International University

Address: One Beach Street, Suite 100 City: San Francisco State: CA Zip: 94133

Phone: (415) 955-2102 Email: erika.albarran@alliant.edu

SSN: _____ Fed I.D. #: _____

CONTRACT TERM: start dates September 11, 2020 end date August 31, 2023

CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Memorandum of Understanding for Practicum Students, Student Interns and Teacher Interns enrolled in a the Teacher Intern Program who will be serving their practicum with the District.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

____ a. **Fee Rate:** $_____ per _____ Not to Exceed _____ of services.

X b. **Other:** $ No cost to the District

Describe other related costs: _____

BUDGET CODE: 010-0000-0-5815-00-0000-7200-00000-600-7700 8/26/20
Accountant Initials

_____ _____
Accountant Initials

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: Carlos Morán Date: 8/25/2020

Director of Fiscal Services: [signature] Date: 8/26/20

Asst. Supt., of Business Services: Karl S Date: 8/26/20

Superintendent: _____ Date: _____

Alliant International University
California School of Education

MEMORANDUM OF UNDERSTANDING
Between
ALLIANT INTERNATIONAL UNIVERSITY, INC. A CALIFORNIA BENEFIT CORPORATION
And
Alum Rock Union Elementary School District

Alliant International University, Inc., a California Benefit Corporation (the "University"), and Alum Rock Union Elementary School District (the "District") agree to the following conditions that apply to Practicum Students, Student Teachers, and Teacher Interns (collectively, "Interns") who are or will be enrolled in the Teacher Credential Program, the MA/PPS: School Psychology Program or School Counseling Program through the California School of Education at Alliant International University and will be serving their Practicum or Internship in the District. Interns nominated by either the University or the District shall be mutually acceptable by both the University and the District, and shall be subject to a mutually acceptable placement within the District. This Memorandum of Understanding shall become effective September 11, 2020 for a period of three (3) calendar years. This Memorandum of Understanding may be terminated by either party with sixty (60) days' written notice, unless both parties agree to an earlier termination date. Any termination of the Memorandum of Understanding by either party shall not affect the status of any Intern who has been placed with the District prior to the effective date of termination.

Subject to the suspension of any requirements pursuant to Executive Order N-66-20 or other related Executive Orders.

The University agrees and certifies that:

1. Each Candidate shall have passed the Basic Skills Requirement or California Educational Basic Skill Test (CEBST) and, for Student Teachers and Teacher Interns, required subject matter competency prior to assuming Student Teaching or Intern services or responsibilities.

2. Each Candidate shall possess a Bachelor's Degree, documented by official transcripts with a minimum overall GPA of 2.5. Teacher Credential Interns shall have passed the subject matter requirement.

3. Each Teacher Intern shall have a minimum of 120 hours of verified pre-service experience with students in educational settings. Each School Psychology Intern shall have a minimum of 400 hours of verified Practicum experience, and each School Counseling Intern shall have a minimum of 100 hours of verified Practicum experience.

4. Each Teacher Intern shall have passed U.S. Constitution coursework or examination.

5. Each Candidate shall be provided adequate supervision, advice, encouragement and support, as appropriate, by University personnel, including but not limited to the University faculty and the University field supervisor as directed by the California Commission on Teacher Credentialing Standards.

6. University Supervisors will observe and evaluate Teacher Interns at least three (3) times during an 8-week term and allocate time with each Intern after each visit to discuss the video observation.

7. University Supervisors will meet with District Support Providers at the beginning of the Candidate's field placement in order to establish roles and duties in order to best support the Candidate.

8. For Teacher Education programs, District Support Providers will be required to provide one evaluation per Alliant academic term (8 weeks) using Alliant's evaluative matrix based on the Teacher Performance Expectations (TPE) established by the Commission on Teacher Credentialing (CTC).

9. The University agrees to pay a stipend to master teachers in the amount of $175 per 8-week term.

The District agrees and certifies that:

1. The purpose of the Internship Program is to add to the pool of qualified teachers, school psychologists, or school counselors that the District has continually sought to maintain.

2. The Intern's services shall meet the instructional or service needs of the District.

3. Each Intern shall be assigned as an Intern under a contract with an appointment of at least .60 FTE of her/his workday, and placed in a job that shall allow for substantial experience in instructional or service duties.

4. No appointment shall be made unless the prospective Intern provides proof of fingerprint clearance or a photocopy of a California teaching permit, and verification that he or she is free from tuberculosis.

5. No Intern shall displace any fully credentialed employee in the District.

6. Each Intern shall be provided adequate supervision, advice, encouragement and support, as appropriate, by District personnel, including but not limited to both an immediate field supervisor and an in-district mentor as directed by the California Commission on Teacher Credentialing Standards.

7. The District and the University, in partnership, must provide support for each Intern.

8. The District and University, in partnership, must provide a total of 189 hours annually of support for each teacher intern (45 hours of which will be dedicated to ELL support).

9. The Intern's salary shall not be reduced to pay for the supervision of the Intern.

10. District Support Providers will meet with University Supervisors at the beginning of the candidate's field placement in order to establish roles and duties in order to best support the candidate.

11. The District Support Provider will observe and evaluate each Intern Teacher at least one time during a term (4 times in an academic year because the candidate is required to complete four 8-week terms of clinical practice over the course of the academic year) and allocate time with each Intern after each visit to discuss the observation. The District Support Provider will provide evidence of each observation and evaluation to the University Supervisor.

12. District Site Support Providers must hold credentials in the same areas as the Interns they support and/or hold an Administrative Services Credential.

13. All Intern Teachers and Student Teachers must have experience working with diverse student populations including English Language Learners (ELLs), students with disabilities, and students from varying socioeconomic statuses. For Clinical Practice placements, at least 10% of the student body must be comprised of each of the following: ELLs, students with disabilities, and students from a low socio-economic background. If a candidate is in a Clinical Practice placement that falls short of the 10% threshold in any of the aforementioned areas, the District understands that for each percentage point below that

threshold, the candidate will be required to observe for two (2) full days in either an ELL classroom, a Special Education classroom, or a classroom at a Title 1 school, depending on the area or areas, of deficient diverse student population group(s), to gain sufficient experience in those student population groups.

14. District Intern Support Providers, , and master teachers must have a minimum of three (3) years' teaching experience, have a Clear Credential in the credential area they are supervising (or an Administrative Service Credential), and have a Master's degree or equivalent. The District confirms that its Intern Support Providers have been adequately trained in their supervisory roles.

INSURANCE

Alliant International University, Inc. shall maintain commercial general liability insurance from an insurance carrier with an AM Best rating of A- VII or better in the minimum amounts of $1,000,000 per occurrence, $3,000,000 general aggregate, and shall furnish proof thereof in the form of a certificate of insurance within 30 days of the effective date of this Memorandum of Understanding.

The District shall provide and maintain commercial general liability insurance acceptable to Alliant International University, Inc., or utilize a program of self-insurance in the minimum amounts of $1,000,000 combined single limit, $3,000,000 general aggregate, and upon request shall furnish proof thereof in the form of a certificate of insurance within 30 days of the effective date of this Memorandum of Understanding.

Alliant International University does not furnish workers' compensation for students participating in this program. It is understood that Student Teachers are not employees of the District. Alliant International University, Inc., at its discretion, may maintain at its sole expense workers' compensation and employer's liability for students who are participating in its program.

NON-DISCRIMINATION, HARASSMENT, RETALIATION CLAUSE

The University and the District agree to abide by the requirements of all federal and state laws regarding prohibited discrimination, harassment, and retaliation, as well as equal opportunity, including, but not limited to: Titles VI and VII of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972, Federal Executive Order 11246, as amended, the Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Discrimination in Employment Act of 1975, the Americans with Disabilities Act of 1990, the Equal Pay Act, the Fair Employment & Housing Act of 1968, as amended, the California Unruh Civil Rights Act, the California Fair Pay Act, and the California Fair Employment & Housing Act of 1959, as amended.

The University and the District agree not to discriminate in their enrollment and employment practices, and will render services under this Memorandum of Understanding without regard to an individual's age, race, color, religion, creed, sex (including pregnancy, childbirth, breastfeeding, and related medical conditions), sexual orientation, gender, gender expression, gender identification, national origin, ancestry, genetic information, military or veteran status, political affiliation, disabilities, or any other legally protected status. The University and the District will not permit harassment against individuals based on any of the aforementioned characteristics, nor will they permit retaliation against any individual who makes a good faith complaint regarding discrimination or harassment. Any act of discrimination, harassment, or retaliation committed by the University or the District or failure to comply with these statutory obligations when applicable shall be grounds for termination of this Memorandum of Understanding.

MUTUAL HOLD HARMLESS AND INDEMNIFICATION; LIMITATION OF LIABILITY; STUDENT STATUS

The University shall hold harmless, defend and indemnify the District and its officers, employees, and agents from and against any and all losses, demands, claims, damages (including costs and attorneys' fees), or causes of action arising from any negligent act or omission or willful misconduct of the University, its officers, employees, or student teachers, incurred in the performance of this Memorandum of Understanding, but only in proportion in and to the extent that such liability, loss, expense, attorneys' fees or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of the University, its officers, employees and agents.

The District shall hold harmless, defend and indemnify the University and its officers, employees, and agents from and against any and all losses, demands, claims, damages (including costs and attorneys' fees), or causes of action arising from any negligent act or omission or willful misconduct of the District, its officers, employees, or agents, incurred in the performance of this Memorandum of Understanding, but only in proportion in and to the extent that such liability, loss, expense, attorneys' fees or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of the District, its officers, employees and agents.

Except for the indemnifying party's obligations pursuant to the immediately preceding two paragraphs or the other party's gross negligence or willful misconduct: (i) neither party shall be liable to the other party for any special, incidental, consequential, indirect or punitive damages (including loss of (anticipated) profits), and/or reasonable attorneys' fees and costs, arising in any way out of this Memorandum of Understanding, however caused and on any theory of liability.

Subject to the first two paragraphs of this section, a party shall have no liability to the other party for any loss suffered which arises out of any action or inaction if, in good faith, it is determined that such course of conduct was in the best interests of the parties involved and such course of conduct did not constitute gross negligence or intentional misconduct.

The parties to this Memorandum of Understanding hereby assert that no liability is assumed by either party for damages or injuries which arise from participants independently traveling to or from service sites.

The parties understand and agree that Interns are not employees, contractors or agents of the parties. Interns are students of the University. It is understood and agreed that the University's students are not to be considered employees of the District and therefore students are not eligible for worker's compensation insurance and the University does not maintain worker's compensation insurance for student coverage.

The parties to this Memorandum of Understanding also agree that each is responsible only for the actions of their respective officers, agents, and employees. Neither party hereto is to be considered the agent of the other party for any purpose whatsoever, and neither party has any authority to enter into any contract or assume any obligation for the other party or to make any warranty or representation on behalf of the other party.

CONFIDENTIALITY

The parties acknowledge that many student educational records are protected by the Family Educational Rights and Privacy Act, 20 U.S.C. § 1232g; 34 C.F.R. Part 99, as amended ("FERPA"), and that the permission of students must be obtained before student data can be released to anyone.

The parties' mutual understanding on the treatment of Confidential Information (as defined below) is as follows:

1. The District and the University shall not, and shall not permit any of their respective employees, agents or contractors, to use, reproduce, distribute, publish, disclose, transmit or otherwise transfer, directly or indirectly, to any other person, organization or entity, any Confidential Information of the other party (or any portion thereof), except (i) to the extent necessary to perform its obligations to the other party in connection with this Memorandum of Understanding; or (ii) with the prior written permission of the other party. Each party agrees to disclose the Confidential Information of the other party solely to those of its employees, agents and contractors having a good faith need to know such information. Each party shall protect the Confidential Information of the other party by exercising at least the same measures that such party uses to protect its own confidential information of like character, which shall be no less than a reasonable standard of care. Each party shall be held responsible for any and all breaches of this paragraph by or through any employee, agent or contractor of such party. Each party shall (x) inform all employees, agents and contractors having access to any or all of the Confidential Information of the other party of the existence of this Memorandum of Understanding and the confidentiality obligations set forth herein; and (y) take sufficient steps to cause such employees, agents and contractors to observe the confidentiality

obligations set forth herein. If either party or one of their employees, agents or contractors is compelled (by deposition, interrogatory, request for documents, subpoena, civil investigation demand or similar process) to disclose any of the Confidential Information of the other party, that party shall provide the other party with prompt prior written notice of such compulsion so that the other party may seek, at its own expense, a protective order or other appropriate remedy or, if appropriate, waive compliance with the terms of this Memorandum of Understanding.

2. As used herein, "Confidential Information" means all confidential information in documents or other tangible materials clearly marked as proprietary or confidential about, or disclosed by, either party to this Memorandum of Understanding, including knowledge, technical and business information relating to such party's products, research and development, production, costs, engineering processes, artwork, designs, computer software, formulas, methods, ideas, concepts, contemplated new services, improvements, associations with other organizations, profit or margin information, finances, customers, suppliers, marketing, and past, present or future business plans and business arrangements, and information concerning employees (including, in the case of the University and the District, faculty), Interns, and students or prospective students (provided any disclosure relating to any student or prospective student is permitted by and carried out in accordance with FERPA). Notwithstanding the foregoing, no information shall be deemed Confidential Information if such information: (i) is generally known to the public on the date of disclosure of same or becomes generally known to the public after such date through no breach of this Memorandum of Understanding or any other obligation of confidentiality; (ii) was known by the party receiving such information under this Memorandum of Understanding (the "Receiving Party") without any obligation to hold it in confidence at the time of disclosure; (iii) is received by the Receiving Party after the date of disclosure by the other party (the "Disclosing Party") hereunder from a third party without imposition, knowledge or breach of any obligation of confidentiality; (iv) is independently developed by the Receiving Party after the date of disclosure by the Receiving Party without access to Confidential Information of the Disclosing Party; or (v) is approved for release by written authorization of the Disclosing Party.

3. The District and the University acknowledge that the University's use of the internship programs may be subject to the privacy regulations outlined in FERPA, for the handling of such information. The District shall not knowingly disclose Confidential Information to any third party in violation of FERPA. The District represents and warrants that it will comply with FERPA to the extent applicable and will instruct its employees handling Intern student information provided by the University of its obligations under FERPA. The District further agrees that it will prohibit its employees from accessing any records of any student or prospective students at the University, including Interns, without a valid business reason to access such records.

GENERAL TERMS

This Memorandum of Understanding contains all of the terms and conditions between the parties. This Memorandum of Understanding may be revised or modified only by mutual agreement and written amendment signed by both parties.

Each party represents and warrants to the other party that: (i) it has all requisite power and authority to execute this Memorandum of Understanding and to perform its obligations hereunder; (ii) the execution, delivery and performance of this Memorandum of Understanding have been duly authorized and approved by each party, and will not conflict with any agreement of, or law applicable to, such party; (iii) this Memorandum of Understanding is a valid and binding agreement of each party enforceable in accordance with its terms.

In addition to its representations in the immediately preceding paragraph, the District represents and warrants to the University that:

1. it is and will continue to be in compliance all applicable federal, state, and local laws, including without limitation all privacy, data protection, advertising and marketing laws, and contracts;

2. neither it nor any of its affiliates has been debarred or suspended, or engaged in any activity that is cause for debarment or suspension, pursuant to applicable state law; and

3. it shall take any and all actions, or refrain from or cease such actions, as is necessary to maintain the University's reputation, accreditation, state approvals, Title IV eligibility, and academic integrity, including, but not limited to, adherence with the U.S. Department of Education's misrepresentation regulations provided at 34 C.F.R. Part 668 Subpart F.

Neither party may, without written approval of the other, assign this Memorandum of Understanding or transfer its interest or any part thereof under this Memorandum of Understanding to any third party, except that a party may assign its rights or obligations to a third party in connection with the merger, reorganization or acquisition of stock or assets affecting all or substantially all of the properties or assets of the assigning party.

This Memorandum of Understanding constitutes the entire understanding and agreement among the parties hereto with respect to the subject matter hereof, and there are no agreements, understandings, restrictions or warranties among the parties other than those set forth herein provided for.

If any of the sections of this Memorandum of Understanding shall be deemed invalid, void, or for any reason unenforceable, that section shall be deemed severable and shall not affect the validity and enforceability of any remaining section.

Except for ancillary measures in aid of arbitration and for proceedings to obtain provisional or equitable remedies and interim relief, including, without limitation, injunctive relief, any controversy, dispute or claim arising out of or in connection with or relating to this Memorandum of Understanding, or the breach, termination or validity thereof or any transaction contemplated hereby (any such controversy, dispute or claim being referred to as a "Dispute"), shall be finally settled by arbitration administered by Judicial Arbitration & Mediation Services, Inc. ("JAMS"), pursuant to its Comprehensive Arbitration Rules & Procedures (the "JAMS Rules"). The parties understand and agree that, by signing this Agreement, they are expressly waiving, to the fullest extent permitted by law, any and all rights to a trial before a judge or jury or hearing before an adjudicative agency, regarding any disputes and claims which they now have or which they may in the future have that are subject to arbitration under this Agreement. There shall be one neutral arbitrator that shall be mutually agreed to by the parties or, if the parties do not agree, then one shall be appointed pursuant to JAMS's procedures, in each case, within 30 business days of receipt of the demand for arbitration by the respondent(s) in any such proceeding. An arbitration pursuant to this paragraph shall take place in San Diego, California. A final award shall be rendered as soon as reasonably possible. The Arbitrator shall permit both parties to engage in reasonable pre-hearing discovery to obtain information to prosecute or defend the asserted claims. The arbitration decision or award shall be in writing. The arbitrator shall have the authority to award any relief authorized by law in connection with the asserted claims or disputes. Judgment on the decision or award rendered by the arbitrator may be entered and specifically enforced in any court having jurisdiction thereof. All arbitrations commenced pursuant to this Memorandum of Understanding, or any other related agreement or document, shall be consolidated and heard by the initially appointed arbitrator. The arbitration award or ruling shall provide for payment by the losing party of the fees and costs of the arbitration, including without limitation, the reasonable attorneys' fees and attorneys' costs incurred by the prevailing parties.

This Memorandum of Understanding, and any controversy arising out of or relating to this Memorandum of Understanding, shall be governed by and construed in accordance with the internal laws of the State of California, without regard to conflict of law principles that would result in the application of any law other than the law of the State of California.

This Memorandum of Understanding may be executed and delivered by facsimile signature and in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument and each of which may be executed by less than all parties, each of which shall be enforceable against the parties actually executing such counterparts, and all of which together shall constitute one instrument.

The titles and subtitles used in this Memorandum of Understanding are used for convenience only and are not to be considered in construing or interpreting this Memorandum of Understanding.

All notices and other communications given or made pursuant to this Memorandum of Understanding shall be in writing and shall be deemed effectively given: (a) upon personal delivery to the party to be notified, (b) when sent by confirmed facsimile if sent during normal business hours of the recipient, and if not so confirmed, then on the next business day, (c) five (5) days after having been sent by registered or certified mail, return receipt requested, postage prepaid, or (d) one (1) business day after deposit with a nationally recognized overnight courier, specifying next business day delivery, with written verification of receipt. All communications shall be sent to the respective parties at their address as set forth on the signature page hereto, or to such facsimile number or address as subsequently modified by written notice given in accordance with this paragraph.

The Sections titled "Non-Discrimination, Harassment, and Retaliation Clause," "Mutual Hold Harmless and Indemnification; Limitation of Liability; Student Status," "Confidentiality," and "General Terms" shall survive the termination of this Memorandum of Understanding.

(*Signatures on following page*)

IN WITNESS WHEREOF, and intending to be legally bound, the parties have duly executed this Memorandum of Understanding by their authorized representatives as of the date first written above.

Alliant International University, Inc.:

_____ _____

Dr. Kristy Pruitt, Dean **Date**
California School of Education
Alliant International University, Inc.

Address:

10455 Pomerado Rd.
San Diego, CA 92131

Alum Rock Union Elementary School District:

_____ _____

Superintendent, Dr. Hilaria Bauer **Date**

Address:
2930 Gay Avenue
San Jose, CA 95127

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

10.13

2930 Gay Avenue
San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

Subject : **CONTRACTS FOR PROFESSIONAL SERVICES – FIRMS/ORGANIZATIONS**

Staff Analysis:
The following contracts for professional services are being presented to the Board of Trustees for review and approval.

Recommendation:
Staff recommends approval of the following contracts for professional services on the attached sheets. Contract details are on file in the Purchasing Office.

Prepared by: Maria Martinez M.M. Title: Procurement Manager

Approved by: Kolvira Chheng Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Recommend Approval

10.13

Agenda Placement

Meeting: September 10, 2020

Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District
September 10, 2020 Board Meeting

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

DEPARTMENT	DATE OF SERVICE	CONSULTANT NAME	COST & FUNDING	PURPOSE
Fischer M.S George Kleidon	09/11/20- 06/01/21	Silicon Valley Mathematics Initiative	$16,800.00	Provide a coach to co-facilitate department meetings focused on analyzing student data, best practices, and strategies. SVMI will also provide eight (8) days of coaching cycles throughout the school year with an emphasis on strategies rooted in best practices and problem based learning. Funding: Restricted
George M.S Tara Bickford	09/11/20- 06/08/21	The Audacity Performance Arts Project	$35,000.00	Provide 2 productions after school hours in practice and performance. Also provide support and partnership 2 times a week during the one Theater Tech elective period in the school day. Students will learn how to design and create a production. Due to COVID-19 and social distancing orders, all sessions will be virtual until further notice. Content will be delivered through kits and online communication platforms. Funding: Restricted
Mathson M.S Vince Iwasaki	09/15/20- 06/15/21	Amigos de Guadalupe	No Cost	Facilitate virtual and/or on campus learning labs with up to 10 students each. Amigos will collaborate with school staff to support students (include in online breakout rooms), and also work with designated families to support student achievement. Funding: N/A

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Mathson M.S Vince Iwasaki	09/01/20- 06/30/21	SEEDS	$10,000.00	Provide professional development in restorative practices to Mathson staff. Also provide insight and coaching to site principal and counselor to support the next steps in our evolution as a restorative practices school. Will receive 9 hours of all staff training time and 16 hours of small group/individual coaching time. Funding: Restricted
Ryan Yeny Rosales	10/01/20- 10/31/20	Paul J. Gonzalez DBA: Art Studios	$1,000.00	Clean and restore mural located at the school entrance. Mural has been vandalized in several areas. Anti-graffiti coat will be applied. Funding: General
Academic Services Brittany Rykels	09/11/20- 12/31/20	Accelerate Learning, Inc.	No Cost	Provide professional development and materials necessary for a middle school science pilot. The materials and virtual PD will be for 20 middle school science pilot teachers at Adelante, Aptitud, George, Hubbard and Ocala. Funding: N/A
Academic Services Rene Sanchez	09/10/20- 06/30/21	Association of California School Administrators (ACSA)	$22,500.00	Provide on-going leadership coaching and training for Kasturi Basu, Lyndale; Victor Guendulain, George; Rebecca Jensen and Joseph Manluco, Aptitud; Maria Guadalupe Gutierrez, Ocala; and Yenny Rosales at Ryan. Funding: General
Business Services Kolvira Chheng	07/01/20- 06/30/21	David Taussig & Associates, Inc. (DTA)	$2,055.00 NTE	Provide financial consulting services to assist in the administration of parcel tax, special tax or special assessment, determination of those taxes and assessment changes and to facilitate the collection of the charges for one fiscal year (2020-2021) by County of Santa Clara. Funding: General

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Business Services Kolvira Chheng	10/01/20- 09/30/21	SchoolWorks, Inc.	$20,000.00 NTE	Provide a Demographic Study with Enrollment Projections; district-wide & school-specific enrollment projections meant to serve as a planning tool to help with both long & short-term planning; other factors to identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization/new construction and attendance boundary redistricting; study will provide info on 2020-2021 enrollments/program. Funding: General
Maintenance Ed Villarreal	08/01/20- 06/30/21	ArcLite, Inc.	$22,000.00	Service and repair all fire alarm, security and PA intercoms. Also inspect, rest maintain and certify fire alarm systems. Emergency respond service provided 24 hours a day - 7 days a week. Funding: Restricted
Maintenance Ed Villarreal	07/01/20- 06/30/21	United Mechanical, Inc.	$20,000.00	Provide routine maintenance and service for the refrigerator and freezer units located at the district office. Funding: Restricted
Special Education Anthony Colonna	07/01/20- 06/30/21	Beacon School	$98,029.00	Provide individualized instruction & behavior support services to autistic or severe behaviorally disordered students. Due to the severity of their disability a nonpublic school placement is recommended. Funding: Special Education
Special Education Anthony Colonna	07/01/20- 06/30/21	Cinnamon Hill	$15,543.51	Tuition related cost for residential, therapeutic program in Utah. This is for an 8th grade student that has recently moved into the Sheppard boundary. As part of student's current IEP, the student has been placed in a residential, therapeutic program in Utah. Funding: Special Education

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Special Education Anthony Colonna	08/18/20- 06/11/21	Sonja Biggs Educational Services	$89,713.00 ($8,971.30 per month)	An intervener will provide information that an individual with deaf-blindness is unable to gather on his/her own ensuring the safety of the student. Intervener will provide flexibility of services (remote or virtual) during the 2020-2021 SY, maximum 7 hours per day. Funding: Special Education
Special Education Anthony Colonna	07/01/20- 06/30/21	The Reilly Behavioral Group	$25,000.00	Provide on-going training, direct services, parent support, assessments, data analysis and program support to students diagnosed with autism. These services assist the District in developing and maintaining defensible programs for students with autism. Funding: Special Education
State and Federal Sandra Garcia	09/11/20- 06/30/21	Panorama Education, Inc.	$26,260.00 NTE	Provide district-wide climate and social-emotional learning surveys to family, staff and students at all school sites in ARUSD for 2020-2021 SY. Staff training, reports and professional development tools included in the contract cost. Funding: Restricted
State and Federal Sandra Garcia	09/11/20- 06/30/21	Panorama Education, Inc.	$5,500.00 NTE	Work with District CSI school teams at Fischer, George, Sheppard, and Mathson to analyze and interpret social-emotional learning and climate data for Panorama surveys, guide through protocols to set goals and develop a plan of action for the 2020-2021 SY. Funding: Restricted

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

State and Federal Sandra Garcia	09/11/20- 06/30/21	San Jose Grail Family Services	$10,000.00 NTE	Pair students with reading tutors and provide parent workshops to educate parents about the importance of reading aloud and sharing the stories in the home. Also provide on-site or virtual services. This contract is open to any TK/K or 1st grade student and their parents at Dorsa and San Antonio Schools. Funding: Restricted
Student Services Norma Flores	09/11/20- 06/30/21	Asian American for Community Involvement (AACI)	No Cost	Provide substance use prevention through youth development meetings (lunch clubs) and projects (lunch pro-social events) at Renaissance at Fischer and Sheppard M.S sites. Materials are provided by AACI. Services offered to all grade levels at these sites. Services can be provided on-site or virtual. Funding: N/A
Student Services Norma Flores	09/11/20- 06/30/21	Fresh Lifelines for Youth, Inc.	No Cost	Provide law-related education and case management to identified 7th or 8th grade middle school students at selected middle school sites. Also provide case management services to a limited number of 7th & 8th grade students who are identified by the school as being at risk of suspension or expulsion with ARUSD. Service can be provided in person and remotely. Funding: N/A
Student Services Norma Flores	09/11/20- 06/30/21	Uplift Family Services Addition Prevention Services (APS)	No Cost	Provide truancy prevention and intervention. Services to be provided district-wide in the form of presentations to parents/students/staff and case management with students/parents. Services can be provided on site and remotely. Funding: N/A

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Fischer Middle School (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: George Kleidon

1 **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Silicon Valley Mathematics Intiative

Address: 17485 Monterey Road, Suite 205 City: Morgan Hill State: CA Zip: 95037

Phone: (408)909-7864 Email Address: cdimas@svmimac.org

SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2 **CONTRACT TERM:** start date 09/11/2020 end date 06/01/2021

3 **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

SVMI-will provide one coach to co-faciliate department meetings focused on analyzing student data, best practices, and strategies. SVMI will also provide 8 days of coaching cycles through out the school year with an emphasis on strategies rooted in best practices and problem based learning.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b **Other:** $ 16,800 (describe rate agreement) Services to be paid: Sept. Dec. Mar. & May

060-3182-0-5815-00-1110-1000-000000-130-3182

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
				16,800		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

DocuSign Envelope ID: 6C4A2C10-7110-48AE-AE97-3C240A4C09E7

SERVICE AGREEMENT

Between

Alum Rock School District (Fischer Middle School) *and*

The Silicon Valley Mathematics Initiative, LLC

This document is to serve as an Agreement between Alum Rock School District (Fischer Middle School) and the Silicon Valley Mathematics Initiative, LLC (SVMI). The effective date of this Agreement shall be July 2, 2020. This Agreement may be extended upon mutual written agreement of the parties.

1. Service Deliverables

SVMI will provide:

- 1 facilitator/coach to attend, facilitate, and/or co-facilitate at least 5 of the 9 math department meetings during the 2020-2021 school year.

- 1 facilitator/coach for up to 8 full days of coaching cycles with the Mathematics Department during the 2020-2021 school year.
    - 2 days of coaching cycles per quarter with an emphasis on strategies rooted in best practices and problem-based learning.

- Dates are to be determined and can be updated, in writing, by either party.

2. Funding and Payment

Alum Rock School District (Fischer Middle School) shall pay SVMI, LLC up to $16,800 ($1,200 x 8 days full days and $800 x 9 partial days) for the services outlined above in Section #1.

The facilitator/coaching fee does not include the cost of handouts. SVMI will send a handout request prior to the PD/coaching dates for Alum Rock School District (Fischer Middle School) to print.

As part of this MOU, SVMI, LLC shall invoice Alum Rock School District (Fischer Middle School) in 4 parts (approximately at the end of September, December, March, & May).

DocuSign Envelope ID: 6C4A2C16-F116-48AE-AE97-3C240A4CC9E7

3. **Indemnification**

Alum Rock School District (Fischer Middle School) and SVMI, LLC mutually agree to indemnify and to hold harmless the other party from damage to persons or property resulting from any act or omission on the part of each party, its employees, agents or officers.

4. **Termination**

Either Alum Rock School District (Fischer Middle School) or SVMI may terminate this Agreement by giving thirty (30) days written notice to the other party. Alum Rock School District (Fischer Middle School) shall reimburse SVMI for acceptable and allowable work performed under this Contract, and for all non-cancelable obligations made in connection with such work, through the date of termination. All related data that has been transferred shall be destroyed, deleted, or otherwise removed from SVMI computers and servers.

5. **Entire Agreement**

This Consulting Agreement and the Attachment hereto constitutes the entire agreement of the parties concerning the services described herein.

IN WITNESS WHEREOF, the parties have executed this Service Agreement as of the effective date referenced above.

Alum Rock School District 2930 Gay Avenue San Jose, CA 95127	Silicon Valley Mathematics Initiative, LLC 17485 Monterey Road, Suite 205 Morgan Hill, CA 95037
By: _____	By: DocuSigned by: Cecilio Dimas 8E87B38C85714E9...
Name: _____	Name: Cecilio Dimas
Title: _____	Title: Partner and Director
Date: _____	Date: July 2, 2020

Cecilio Dimas
Partner & Co-Director
(408) 909-7864
cdimas@svmimac.org

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Joseph George Middle School (School/Dept.) VENDOR NO. 22678

PROGRAM MANAGER: Tara Bickford

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: The Audacity Performing Arts Project

   Address: 979 Bucknam Ave. City: Campbell State: CA Zip: 95008

   Phone: (408.) 348-4926 Email Address: louis@audacityperformingarts.com

   SSN: _____ or Fed I.D. #: 46-1444043

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 11, 2020 end date June 8, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Will provide 2 production after school hours in practice and performance. Will also provide support and partnership two times a week during the one Theater Tech elective period in the school day. Students will learn how to design and create a production. Due to Covid-19 and social distancing orders, all sessions will be virtual and presented virtually until further notice. Content will be delivered through kits and online communication platforms.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   _____ b. **Other:** $ $35,000 (describe rate agreement) $7,500 per show X 2= $15,000, $20,000 for in class partnership and equipment. $15,000 + $20,000= $35,000

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
060	250	119000	5815	$35,000	Title 1	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

AUDACITY PERFORMING ARTS PROJECT

Scope of Work Summary
George Middle School

Part 1

After School Musical Productions

The Audacity Performing Arts Project will produce two musicals (one in Fall 2020 and one in Spring 2021. Specific titles will be chosen in consultation with Tara Bickford. This project includes directing rehearsals and performances.

Due to social distancing requirements, both productions may be required to take place online with video streaming performances (these will likely be pre-recorded).

Fall Dates: Fall program will begin in late August/early September and dates are TBD and will be agreed upon in alignment with social distancing rules set forth by District and County health officials.

Spring Dates: Spring program will begin in January 2021 and dates are TBD and will be agreed upon in alignment with social distancing rules set forth by District and County health officials.

Social Distance/Covid-19 and After School Musicals
In keeping with "Phase One" of Alum Rock School Reopening Model 2020, the Fall 2020 musical will be run online via Zoom. The show will be captured on video and provided to the cast, their families and Joseph George Middle School via a private streaming link (physical media will be provided for those families without necessary internet connections).

The Spring 2021 musical will be implemented in alignment with the current phase of the Alum Rock School Reopening Model 2020.

Audacity will provide the following:
- Scripts and associated fees to Musical Theater International

- Director
- Assistant Director
- Costumes
- Props
- Sets
- Professional Sound System w/wireless mics
- Lighting System

The Audacity Performing Arts Project will provide an Insurance Cert ($1,000,000 liability x2/year) to Alum Rock Union Elementary School District. This Cert includes a rider for contact with minors. Background checks are carried out to keep in compliance with this policy (not Livescan).

Part 2

Middle School Technical Theatre Program and Black Box Theatre

Program Dates

Setup: Begins August 18, 2020
Program August 18, 2020 to June 8, 2021

Social Distance/Covid-19 and Technical Theatre
In keeping with "Phase One" of Alum Rock School Reopening Model 2020, the Tech Theatre program will be conducted online. The spring semester will be conducted in alignment with the current "phase" in place at that time. For online classes, supplies and class kits will be provided to each student. The development of the physical classroom space will progress in alignment with what is allowed under district policies and in coordination with Tara Bickford.

The Program
The Audacity Performing Arts Project will continue the conversion of two two classrooms (provided by George Middle School) into a working black box style theatre and technical theatre learning space. In addition, this space will be prepared to function as an after school venue for additional VAPA programs such as improv, dance, music, video production, hip hop DJ, etc.

The primary educational focus will be a curricular elective in Technical Theatre (6th period) for middle school students. In this elective all students will gain a basic level of understanding in all areas of technical theatre in addition to being allowed to specialize ("major) in their primary area (or areas) of interest. Students will be provided with practical experience opportunities working on the 2 annual musicals produced at George Middle (2 productions) and Cureton Elementary (2 productions).

Lighting Design
Students studying stage lighting will explore basic lighting design theory, lighting installation and focusing, lighting color theory, DMX programing, light board operations.

Sound Design
Students participating in sound design will explore the impact of sound tracks and music on setting a scene, creating a mood and defining place. Students will learn how to program, adjust and run sound cues in a production environment.

Set Design (Production Design)
Students will explore how to create a design concept and bring that concept forward into a full set design. Opportunities will be created for students to bring their concepts to the modeling stage as well as being able to bring their concepts to life in various Audacity productions where opportunities allow.

Set Building
Students will explore basic tool use and tool safety in a variety of scenarios. Students will have hands-on opportunities to build staging required for the Black Box stage, as well as several opportunities to build Audacity sets that will be deployed throughout the entirety of the Audacity production season.

Set Painting
Students will be trained to paint basic techniques of painting for theatre. Guest mentors will be invited to teach various techniques of stage painting.

Show Run Crew
Opportunities will be created for those students who have a desire to serve as run crew for musical performances Audacity produces at George Middle School in order to apply their acquired skills in a real world production environment.

Costuming design and build
Audacity will provide sewing machines and materials in order to provide opportunities for students to experiment with costume design and building. Guest Mentors and videos will be provided to help teach basic costuming concepts and practices.

Prop build and design
Lessons and opportunities to design and build properties will be provided as production needs arise. Interested students will be given the opportunity to learn about production prop needs and how to fulfill those needs. In addition, students will be afforded the opportunity to build concept props based on their own ideas for the purposes of design and build skill development.

In addition to the material outlined above, this program will include field trips to local theatre companies to tour the facilities and talk with the professional staff members about working as a theatre/arts professional. Confirmed theatre companies today are City Lights Theatre Company and Silicon Valley Shakespeare.

Additional details, modifications and adjustments will be carried out in cooperation and in consultation with the administration of George Middle School and the VAPA Coordinator for Alum Rock Union Elementary School District.

Part 3

Fee Structure

Fall Musical: $7500

Spring Musical: $7500

Middle School Technical Theatre Program and Black Box Theatre: $20,000
This program will be invoiced in three parts:

- $18,500 August 15, 2020 (equipment, Fall Staff, Fall Production)
- $4,000 October 1, 2020 (Fall Production)
- $5,000 December 1, 2020 (Spring Staff)
- $3,500 January 1, 2021 (Spring Production)
- $4,000 March 1, 2021 (Spring Production)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Mathson Middle School (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: Vince Iwasaki

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: Amigos de Guadalupe

   Address: 1897 Alum Rock Avenue #35 City: San Jose State: CA Zip: 95116

   Phone (408) 341 - 6080 Email Address: Amigos95116@gmail.com

   SSN: _____ or Fed I.D. #: 77-0555838

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 15, 2020 end date June15, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Amigos will facilitate virtual and/or on campus learning labs w/ up to 10 students each.

   Amigos will collaborate w/school staff to support students (incl in online breakout rooms).
   Amigos will work with designated families to support student achievement.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $ No Cost per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   _____ b. **Other:** $ No Cost (describe rate agreement) District agrees to provide classroom space and internet access.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

Amigos de Guadalupe

Center for Justice & Empowerment

Amigos de Guadalupe-Afterschool Program for 2020-2021

Students' families require additional support due to inexperience with distance learning techniques and protocols, levels of English proficiency, and lack of adequate technology. Students who are English Learners (EL) would benefit from English language support outside of the classroom. Amigos offers to support students, especially EL students, in the following areas:

1. Provide literacy and technical support to students, including a computer adaptive test (CAT) assessment tool to assess and modify the progress of each student, with additional support concerning internet access and potentially supporting extra classroom space after school, as mutually agreed upon by the identified school and Amigos staff.
2. Support students on-campus periodically during the school day, as mutually agreed upon,
3. Support students to interact effectively with other students and staff,
4. Provide social-emotional support for students, and
5. Maintain a friendly, academically rich, structured environment for students.

Agreement:

1. Amigos will facilitate virtual and/or on campus learning labs, with up to 10 students each, from Monday through Friday, at a mutually agreed upon time, to provide the above-mentioned (See items 1 and 2 above) instructional support. Amigos will follow all recommended protocols related to maintaining a safe environment in this period of COVID-19. Amigos staff will work with school staff to identify the level of intervention needed for each student supported by Amigos staff, including Tier I, Tier II, and/or Tier III interventions.
2. Amigos staff will collaborate and coordinate with identified school staff to support students. Amigos staff will work with school staff to establish and maintain supportive online breakout rooms for students.
3. Working with students and parents, Amigos staff will help students establish learning goals.
4. Amigos staff will strive to provide mutually agreed upon periodic reports of student progress including, behavioral, academic, and family resource support progress to identified school staff.
5. Following COVID-19 safe protocols, Amigos staff will convene visits with parents to discuss student progress and address parent concerns.
6. Identified school and Amigos staff will meet monthly, as needed, to evaluate services and their value in supporting students and families. Mutually agreed upon modifications to service offerings will be made, as indicated.

Term: The term of this Agreement will begin upon District Governing Board Approval and will continue

through the last day of the 2020-2021 school year for students

1897 Alum Rock Ave. Suite 35 | Phone: (408) 341-6080 | E-mail: amgios95116@gmail.com

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Mathson Middle School (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: Vince Iwasaki

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: SEEDS

   Address: 2530 San Pablo Ave, Suite A City: Berkeley State: CA Zip: 94702

   Phone: (510) 548 - 2377 Email Address: aleida@seedscrc.org

   SSN: _____ or Fed I.D. #: 94-3054165

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 9/1/2020 end date 6/30/2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Provide professional development in restorative practices to Mathson staff.

   Provide restorative practices coaching to small groups of Mathson staff.

   Provide insight and coaching to site principal and counselor to support the next steps in our evolution as a restorative practices school.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   _____ b. **Other:** $10,000 / year (describe rate agreement) We will receive 9 hours of all staff training time and 16 hours of small group / individual coaching time

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
06	050	3182	5815	$10,000	CSI	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

School Services

1. Parties:
This Memorandum of Understanding (hereinafter referred to as MOU) is made and entered into between **Lee Mathson Middle School** and **SEEDS Community Resolution Center**, whose address is 2530 San Pablo Ave., Berkeley, CA 94702.

2. Purpose:
The purpose of this MOU is to establish the terms of the training and workshop services to be provided by **SEEDS Community Resolution Center** for **Lee Mathson Middle School**

3. Description of the Services to be Provided:
SEEDS shall provide up to 9 hours of training to all staff and the school counselor and 16 coaching hours.

4. Times, Dates and Location:
Due to the current circumstances, the dates and times are going to be determined in conversation with Principal Vince Iwasaki. The determination of whether they will be in-person or on a virtual platform will depend on what is recommended for the counties both parties are housed within.

5. Services Provided by SEEDS:
SEEDS shall provide a up to 9 hours of training, the content of which will be customized to fit the needs of the school in that particular moment. The 16 coaching hours will be used as needed as well, either for a small cohort of teachers interested in coaching or by the principal to discuss implementation.

6. Services Provided by Client:
Suitable facilities with chairs that can be moved around easily to facilitate small group work and interactive activities during in-person trainings.

7. Scope of this Memorandum of Understanding:
The parties agree that this MOU is effective only for the project specified.

8. Rights to Training Materials:
The parties agree that SEEDS retains all rights to training and facilitation materials and content prepared by the Instructor and SEEDS.

9. Payment:
Total payment is agreed in the amount of **$10,000** to be paid in full after our initial meeting date TBD.

10. Cancellation:

2530 San Pablo Ave. Suite A, Berkeley, CA 94702 | (510) 548-2377 | www.seedscrc.org

The parties agree that it may be necessary to cancel or postpone the training due to emergency. In the event of such emergency cancellation, the parties may, but are not required to agree to an alternate date.

11. SEEDS Mailing List:

SEEDS maintains a mailing list of current clients so that we can easily inform you of upcoming trainings and events and the release of relevant resources and materials. It is our practice to automatically add a new client to our mailing list, unless the client specifically requests not to be added.

By their signatures below, the undersigned parties and/or their authorized representatives agree to the foregoing:

Vince Iwasaki
Mathson Middle School

7/24/2020
Date

Aleida Moreno, School Services Manager
SEEDS Community Resolution Center

Date

2530 San Pablo Ave. Suite A, Berkeley, CA 94702 | (510) 548-2377 | www.seedscrc.org

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Ryan STEAM Academy (School/Dept.) VENDOR NO 17375

Submitted by: Yeny Rosales

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: Paul J. Gonzalez DBA: Art Studios

   Address: 1562 Bahama Wy City: San Jose State: CA Zip: 95112

   Phone: (408) 929-2854 Email Address: paul@pauljgonzalezartsudio.com

   SSN: _____ or Fed I.D. #: _____

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 10/01/20 end date 10/31/20

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Vendor will clean and restore mural located at the school entrance. Mural has been vandalized in several areas. Anti-graffiti coat will be applied.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $1,000.00 (describe rate agreement) _____

5. **BUDGET CODE:**

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	BUS OFC Initials
010	0000	0	5815	00	0000	8100	0000000	450	8140	$1,000.00	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

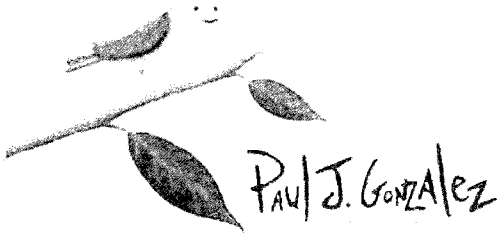
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

Ryan Mural Repair

1241 Mcginness Ave
San Jose, CA 95127



Project Contact:
Mrs. Rosales New Principal

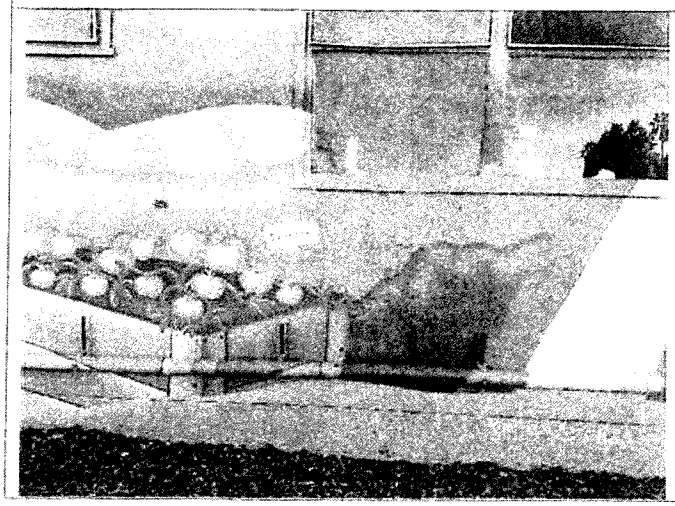
Budget:
$1000 for repair of main wall and some details on a second mural, paint, supplies and graffiti coating

Repair Details:
The first wall mural has three main areas that were vandalized. We will need to paint match an estimated 15 colors an fill in the large wall spaces with paint so the repair blends in. Many objects were graffiti so each object will need to be outlined and filled with color. The second mural was tagged and previously abated. The second wall still shows some graffiti damage. I will also clean the area of the Road Runner logo head and around the skate boarder. There is a lot to do here in addition of applying an AntiGraffiti coating. AntiGraffiti coatings are pricey and will be a big part of the cost. Even though it is essential to have an AntiGraffiti coating to protect the mural, it is not 100% full proof.

Important: All murals painted on school or public property can be removed or painted over by the owners or managing parties. I give consent for the work to be removed if needed. Upon removal one does not need to contact me to inform of the removal.

Estimated Completion Date:
09.01.20 – 09.30.20 Aug

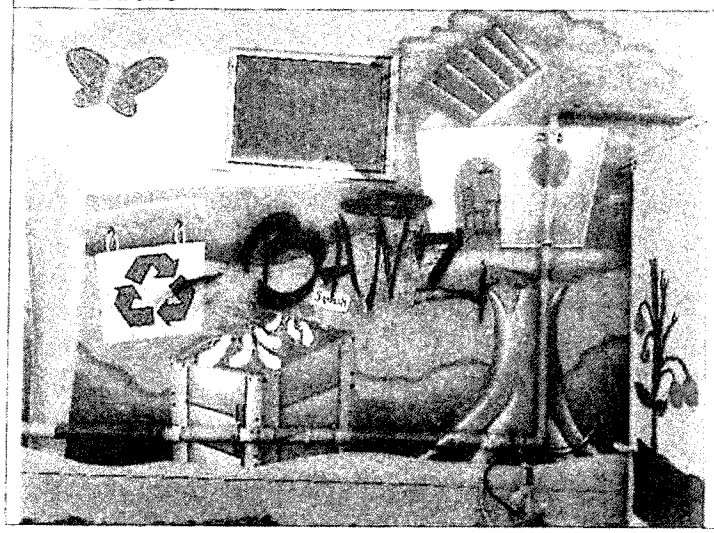
Wall 1 Area 1



Wall 1 Area 2



Wall 1 Area 3



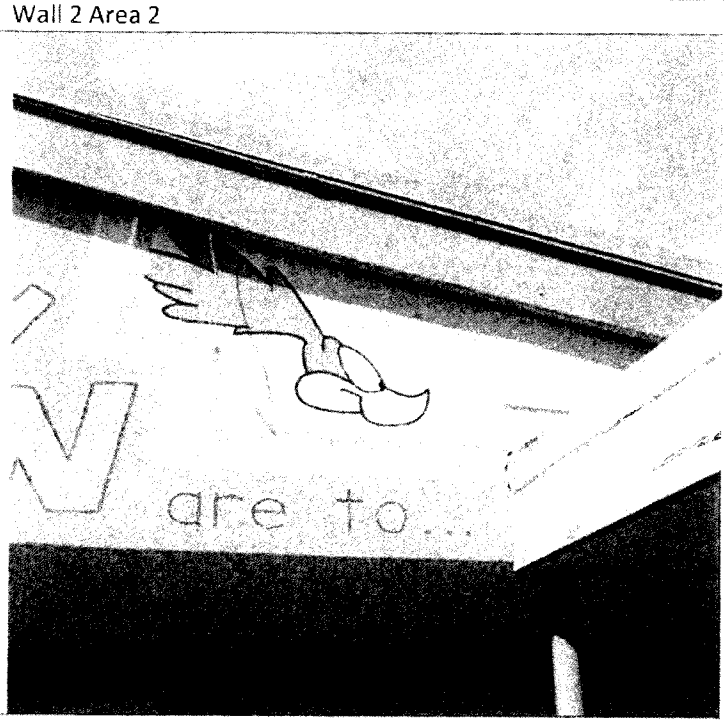
Wall 1 Area 4



Wall 2 Area 1



Wall 2 Area 2



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Academic Services (School/Dept.) VENDOR NO. 022966

PROGRAM MANAGER: Brittany Rykels

1 **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Accelerate Learning, Inc.

Address: 5177 Richmond Ave., Ste. 1025 City: Houston State: TX Zip: 77056

Phone (858) 775-7131 Email Address stemscopes@acceleratedlearning.com

SSN: _____ or Fed I.D. #: 46-4015703

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 11, 2020 end date December 31, 2020

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Accelerate Learning Inc. will provide professional development and materials necessary for a middle school science pilot. The materials and virtual PD will be for 20 middle school science pilot teachers at Adelante, Aptitud, George, Hubbard, and Ocala.

4 **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** $ No Cost (describe rate agreement) _____

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7 **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

STEMscopes™
THE LEADER IN STEM EDUCATION

5177 Richmond Ave, Suite 1025, Houston, TX 77056
1-800-531-0864
stemscopes.com
stemscopes@acceleratelearning.com

To whom it may concern,

This Statement of Work describes the professional development services that Accelerate Learning Inc. will provide for Alum Rock Union Elementary School District free of charge for the middle school pilot of the STEMscopes CA NGSS 3D curriculum. This pilot will take place beginning on September 1st, 2020 and run through December 31st, 2020. All professional development services will be provided during this time period.

Accelerate Learning Inc. will provide professional development services at no cost for the pilot teachers. This will include, but may not be limited to, the following:

- 3 Introduction to STEMscopes 90 minute Zoom PD Webinars
- 3 Advance STEMscopes 90 minute Zoom PD Webinars focused on Distance Learning
- Maximizing STEMscopes online course for all Pilot teachers
- Optional opt-in "office hours" support webinars

We look forward the opportunity to work with your team in support of your district's goals for science instruction.

Sincerely,

Brooks Dougherty
Regional Director, CA
bdougherty@acceleratelearning.com
(858) 775-7131

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. _____

FROM: Academic Services (School/Dept.)

VENDOR NO. 11861

Submitted by: Rene Sanchez

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: Assoc. of California School Administrators/Foundation for Education Administration

   Address: 1029 J Street, #500 City: Sacramento State: CA Zip: 95814

   Phone: (916) 329-3843 Email Address: credentialing@acsa.org

   SSN: _____ or Fed I.D. #: 94-2702741

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 10, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   The Association of California School Administrators (ACSA) will provide on-going leadership coaching and training for Kasturi Basu, Lyndale; Victor Guendulain, George; Rebecca Jensen, Aptitud, Joseph Manluco, Aptitud; Maria Guadalupe Gutierrez, Ocala; and Yeny Rosales, Ryan STEAM Academy.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $22,500.00 (describe rate agreement) 6 administrators @ $3750ea

5. **BUDGET CODE:**

FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	$AMOUNT	BUS. OFC Initials
010	0000	0	5815	00	1110	1000	000000	305	5020	$22,500.00	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

ASSOCIATION OF CALIFORNIA SCHOOL ADMINISTRATORS (ACSA) /FOUNDATION FOR EDUCATIONAL ADMINISTRATION (FEA) COACHING SERVICES AGREEMENT

This contract is to verify that **Alum Rock Union School District** has agreed to allow the Association of California School Administrators/Foundation for Educational Administration (ACSA/FEA) to provide Clear Administrative Credential Services for the following personnel:

Year Two CACP Candidates (One Year Contract)

Katsuri Basu	Principal	Lyndale Elementary/Alum Rock Union Elementary School District
Victor Adalberto Guendulain	Assistant Principal	Joseph George Middle School/Alum Rock Union Elementary School District
Rebecca Marie Jensen	Principal	Aptitud Community Academy at Goss/Alum Rock Union Elementary School District
Maria Guadalupe Gutierrez	Assistant Principal	Ocala Middle School/Alum Rock Union Elementary School District
Yeny M. Rosales	Principal	Ryan STEAM Academy/Alum Rock Union Elementary School District
Joseph M. Manluco	Assistant Principal	Aptitud Community Academy at Goss/Alum Rock Union Elementary School District

PROGRAM: **ACSA Silicon Valley - Coaching Services**
PROGRAM #: **ES-440**

CONDITIONS OF AGREEMENT

Services are to include:

1. Clear Administrative Credential Program (CACP) Services
2. On-site job embedded, individualized, and confidential coaching services to be provided in-person or remotely
3. Between three (3) and six (6) hours per month of coaching documented in Collaborative Coaching Logs
4. Goal oriented coaching supported by a detailed Action Plan
5. Additional phone and email access to coach, as needed by candidate
6. Coaching provided by a trained ACSA/FEA Coach
7. Goals and Action Plan coaching outcomes founded upon the California Professional Standards for Education leaders (CPSEL)

ALUM ROCK
UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent of Business Services

Re: Contract Approval – DTA

Date: September 10, 2020

Summary:
It is necessary for the District to maintain a contract with a firm to assist with the administration of parcel tax, special tax, and special assessment charges. The focus of these services shall be to determine the parcel tax, special tax, or special assessment and to facilitate the collection of the charges by the County of Santa Clara. DTA is a reputable firm that has 35 years of experience in this field and has worked with over 3,000 public and private sector clients. Specific activities and tasks to be provided by DTA will include the following:

- Database Management
- Parcel Research
- Determine Parcel Tax, Special Tax, or Special Assessment Charge Requirement
- Parcel Tax, Special Tax, or Special Assessment Charge
- Summary Memo
- Submittal of Parcel Tax, Special Tax, or Special Assessment Charges to County of Santa Clara

Recommendation:
Staff recommends that the Board of Trustees approve the contract with DTA in the amount not to exceed $2,100.00 for fiscal year 2020-2021.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Business Services (School/Dept.) VENDOR NO. 22947

PROGRAM MANAGER: Kolvira Chheng

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: David Taussig & Associates, Inc (DTA)

   Address: 99 Almaden Boulevard, Suite 875 City: San Jose State: CA Zip: 95113

   Phone: (800)969-4DTA Email Address: kyle@financedta.com

   SSN: _____ or Fed I.D. #: 33-0171945

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Provide financial consulting services to assist in the administration of parcel tax, special tax, or special assessment; determination of those taxes and assessment charges and to facilitate the collection of the charges for one fiscal year 20-21 by County of Santa Clara.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $ 2,055.00 NTE (describe rate agreement) _____

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
010	0000-0-5815	00-0000-7200	000000	400-7200	$2,055.00	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

www.FinanceDTA.com

AGREEMENT FOR CONSULTING SERVICES

PARCEL TAX ADMINISTRATION

ALUM ROCK UNION SCHOOL DISTRICT

August 24, 2020

Public Finance
Public Private Partnerships
Development Economics
Clean Energy Bonds

dta
www.FinanceDTA.com

AGREEMENT FOR CONSULTING SERVICES

THIS AGREEMENT is made and entered into this _____ day of _____ 2020, by and between the Alum Rock Union School District at 2930 Gay Avenue, San Jose, CA 95127, herein called "Client," and DTA at 99 Almaden Boulevard, Suite 875, San Jose, CA 95113, herein after called "Consultant." The Client and the Consultant in consideration of the mutual promises and conditions herein contained agree as follows.

ARTICLE I
TERM OF CONTRACT

Section 1.1 This agreement shall become effective on the date stated above and will continue in effect until the earlier of (i) _____ _____, 2020 or (ii) until terminated as provided in Article 6 below.

ARTICLE II
SERVICES TO BE PERFORMED BY CONSULTANT

Section 2.1 Consultant agrees to perform the professional services for the Client in accordance with the applicable professional standard of care and to deliver the work products to the Client as described in the Scope of Work statement attached as Exhibit "A" hereto. Such professional services and work products, as from time to time modified in accordance with Section 2.3 hereof, are collectively referred to as the "Consulting Services."

Section 2.2 Instruments of Service. All computer software (including without limitation financial models, compilations of formulas and spreadsheet models), inventions, designs, programs, improvements, processes and methods (collectively, the "Proprietary Models"), reports, drawings, specifications, computer files, field data, notes and other documents and instruments prepared by Consultant are Instruments of Service of Consultant and shall remain the property of Consultant. Consultant shall likewise retain all common law, statutory and other reserved rights, including the copyright thereto. Client acknowledges and agrees that the consideration paid by Client herein only entitles Client to a license to use the hard copy or electronically transmitted reports generated pursuant to the Consulting Services and that any Proprietary Model that Consultant uses to generate such reports is owned by or is duly licensed from a third party to Consultant and is not being provided to Client hereunder. The reports and models used to generate such reports are for use on this Project only. The Client shall not reuse or make any modification to the hard copy or electronically transmitted reports generated pursuant to the Consulting Services without the prior written authorization of the Consultant. The Client agrees, to the fullest extent permitted by law, to indemnify and hold harmless the Consultant, its shareholders, officers, directors, employees and subconsultants (collectively, Consultants) against any damages, liabilities or costs, including reasonable attorneys' par fees and defense costs, arising from or allegedly arising from or in any way connected with the unauthorized use, reuse or modification of the hard copy or electronically transmitted reports generated

pursuant to the Consulting Services or any of Consultant's Instruments of Service, including models, by the Client or any person or entity that acquires or obtains the reports from or through the Client without the written authorization of the Consultant. Client acknowledges that Consultant may have used reports and analyses that Consultant authored for other clients as base works or templates for the reports and analyses prepared for Client pursuant to this Agreement, and Client acknowledges and agrees that Consultant has the right to use the reports and analyses that it authors pursuant to this Agreement as base works or templates for reports and analyses that Consultant authors for Consultant's other clients, provided, however, that Consultant shall not use any confidential information provided by Client in such future reports and analyses. Client further acknowledges and agrees that Consultant has spent substantial time and effort in collection and compiling data and information (the "Data Compilations") in connection with the Consulting Services and that such Data Compilations may be used by Consultant for its own purposes, including, without limitation, sale or distribution to third parties; provided, however, that Consultant will not sell or distribute any of Client's confidential information that may be contained in such Data Compilations, unless such confidential information is used only on an aggregated and anonymous basis.

Section 2.3 Any proposed changes in the Consulting Services hereunder shall be submitted to the other party hereto, and any such changes agreed to by the parties shall be reflected in an amendment to Exhibit "A" in accordance with Section 7.2 hereto.

Section 2.4 Nothing in this Agreement shall give the Consultant possession of authority with respect to any Client decision beyond the rendition of information, advice, recommendation or counsel.

ARTICLE III
COMPENSATION

Section 3.1 Client agrees to pay Consultant for its Consulting Services in accordance with this Agreement, a professional fee computed according to the Professional Fee Schedule attached as Exhibit "B" hereto and incorporated herein by reference (the "Fee Schedule"). Client acknowledges and agrees that portions of Consultant's professional fees and expenses may have been incurred by Consultant prior to the execution of this Agreement (the "Pre-Agreement Fees") and Client agrees to pay such Pre-Agreement Fees in accordance with this Agreement.

Section 3.2 The Client shall reimburse the Consultant for Consultant's out-of-pocket expenses plus a 15% administrative charge. Expenses shall include all actual expenditures made by Consultant in the performance of any Consulting Services undertaken pursuant to the Agreement, including, without limitation, the following expenditures:

(a) Cost of clerical assistance at a rate of $75 per hour, including typing, collation, printing and copying, plus copier and photography costs, including photographic reproduction of drawings and documents.

(b) Transportation costs, including mileage for the use of personal automobiles at the prevailing IRS standard rate, rental vehicles, lodging and regularly scheduled commercial airline ticket costs.

(c) Courier services, facsimile, and telephone expenses.

Section 3.3 On or about the first two weeks of each month during which Consulting Services are rendered hereunder, Consultant shall present to Client an invoice covering the current Consulting Services performed and the reimbursable expenses incurred pursuant to this Agreement and exhibits thereto. Such invoices shall be paid by Client within thirty (30) days of the date of each invoice. A 1.2% charge may be imposed against accounts which are not paid within thirty (30) days of the date of each invoice.

Section 3.4 The maximum total fee amount set forth in Exhibit "B" may be increased as a result of any expansion of the Consulting Services to be rendered hereunder pursuant to Section 2.3 or as provided in Exhibit "A" hereto.

Section 3.5 Records of the Consultant's costs relating to (i) Consulting Services performed under this Agreement and (ii) reimbursable expenses shall be kept and be available to the Client or to Client's authorized representative at reasonable intervals during normal business hours.

ARTICLE IV
OTHER OBLIGATIONS OF CONSULTANT

Section 4.1 Consultant agrees to perform the Consulting Services in accordance with Exhibit "A" and the applicable standard of care. Should any errors caused by Consultant's negligence be found in such services or products, Consultant will correct them at no additional charge by revising the work products called for in Exhibit "A" to eliminate the errors.

Section 4.2 Consultant will supply all tools and instrumentalities required to perform the Consulting Services under the Agreement.

Section 4.3 Neither this Agreement nor any duties or obligations under this Agreement may be assigned by Consultant without the prior written consent of Client. However, Consultant may subcontract portions of the work to be performed hereunder to other persons or concerns provided Consultant notifies Client of the name and address of said proposed subcontractor and Client either consents or fails to respond to notification with respect to the use of any particular proposed subcontractor.

Section 4.4 In the performance of its Consulting Service hereunder, Consultant is, and shall be deemed to be for all purposes, an independent contractor (and not an agent, officer, employee or representative of Client) under any and all laws, whether existing or future. Consultant is not authorized to make any representation, contract or commitment on behalf of Client.

Section 4.5 Neither this Agreement, any duties or obligations under this Agreement, nor the intentions or expectations of Client will cause the Consultant to be a "public official" as that term is used in Section 87100 of Title 9 of the California Government Code. Client and Consultant agree that Consultant is not a "public official" or "participating in governmental decision" as those terms are used in Section 87100. The Client and Consultant also agree that no actions and opinions necessary for the performance of duties under the Contract will cause the Consultant to be a "public official" or "participating in a governmental decision" as those terms are used in Section 87100.

ARTICLE V
OTHER OBLIGATIONS OF CLIENT

Section 5.1 The Client shall provide full information in a timely manner regarding requirements for and limitations on the Project. Client agrees to comply with all reasonable requests of Consultant and provide access to all documents reasonably necessary to the performance of Consultant's duties under this Agreement with the exception of those documents which Exhibit "A" calls upon the Consultant to prepare.

Section 5.2 Neither this Agreement nor any duties or obligations under this Agreement may be assigned by Client without the prior written consent of Consultant.

Section 5.3 Consultant frequently is retained by developers, landowners, and other persons and concerns interested in development projects which often eventually lead to the preparation on a contract basis by Consultant of preliminary tax spread models for government agencies to determine tax rates and other matters necessary to accomplish various improvements to realty for financing under a Mello-Roos or other financing programs. In light of the foregoing, Client will determine whether or not it is appropriate to conduct a "significant substantive review" or a "significant intervening substantive review" of Consultant's activities conducted pursuant to this Agreement as such terms are defined in Section 18700(c)h of Title 2 of the California Administrative Code. Should Client elect to conduct such a substantive review, then Client shall determine whether it has sufficient expertise on staff to conduct such a review, and, if not, will retain an independent expert consultant to review Consultant's work. Thereafter, Client shall conduct such review, or cause such independent review to be conducted, prior to the making of any governmental decision relating to the matters contained within the Scope of Work described in Exhibit "A". The parties do not intend and nothing in this Section 5.3 is meant to imply that Consultant is a "public official," "participating in a governmental decision," or

has a "financial interest" in the services provided as such terms are used in Section 87100 of Title 9 of the California Governmental Code.

Section 5.4 The Client shall provide prompt written notice to the Consultant if the Client becomes aware of any fault or defect in the Project, including any errors, omissions or inconsistencies in the Consultant's Instruments of Service.

Section 5.5 Client, public agencies, landowners, consultants and other parties dealing with Client or involved in the subject development project referred to in Exhibit "A" will be furnishing to Consultant various data, reports, studies, computer printouts and other information and representations as to the facts involved in the project which Client understands Consultant will be using and relying upon in preparing the reports, studies, computer printouts and other work products called for by Exhibit "A." Consultant shall not be obligated to establish or verify the accuracy of the information furnished by or on behalf of Client, nor shall Consultant be responsible for the impact or effect on its work products of the information furnished by or on behalf of Client, in the event that such information is in error and therefore introduces error into Consultant's work products.

Section 5.6 Indemnity by Client. Client agrees to defend, indemnify and hold Consultant harmless from and against all obligations, losses, liabilities, damages, claims, attachments, executions, demands, actions and/or proceedings (collectively, "Claims") and all costs and expenses in connection therewith, including reasonable attorneys' fees, arising out of or connected with the performance of Consultant's Consulting Services under this Agreement, except as may arise from Consultant's willful misconduct or gross negligence. In that regard, Client will indemnify and hold Consultant harmless from any Claims arising from, growing out of, or in any way resulting from, errors contained in data or information furnished by Client or Client's designee to Consultant for use in carrying out the Consulting Services called for by this agreement. If for any reason the indemnification under this Section 5.6 is unavailable to Consultant or insufficient to hold it harmless, then the Client shall contribute to the amount paid or payable by Consultant as a result of such loss, liability, damage, claim, demand, action or proceeding in such proportion as is appropriate to reflect not only the relative benefits received by the Client on the one hand and Consultant on the other hand but also the relative fault of the Client and Consultant as well as any relevant equitable considerations; provided that Consultant's contribution obligations hereunder shall in no event exceed the amounts received by Consultant under this Agreement.

Section 5.7 In the event that court appearances, testimony, or depositions are required of Consultant by Client in connection with the services rendered hereunder, Client shall compensate Consultant at a rate of $400 per hour and shall reimburse Consultant for out-of-pocket expenses on a cost basis.

ARTICLE VI
TERMINATION OF AGREEMENT

Section 6.1 Either party may terminate or suspend this Agreement upon thirty (30) days' written notice. Unless terminated as provided herein, this Agreement shall continue in force until the Consulting Services set forth in Exhibit "A" have been fully and completely performed and all proper invoices have been rendered and paid.

Section 6.2 Should either party default in the performance of this Agreement or materially breach any of its provisions, the other party at its option may terminate this Agreement by giving written notification to the defaulting party. Such termination shall be effective upon receipt by the defaulting party, provided that the defaulting party shall be allowed ten (10) days in which to cure any default following receipt of notice of same.

Section 6.3 In the event of any termination that is not the fault of the Consultant, the Client shall pay the Consultant, in addition to payment for services rendered and reimbursable costs incurred, for all expenses reasonably incurred by the Consultant in connection with the orderly termination of this Agreement, including but not limited to demobilization, reassignment of personnel, associated overhead costs and all other expenses directly resulting from the termination, plus an amount for the Consultant's anticipated profit on the value of the services not performed by the Consultant.

Section 6.4 Suspension and Termination for Non-Payment. (i) In addition to any other provisions in this Agreement regarding breach of the Agreement, if the Client fails to make payments when due, the Consultant may suspend performance of services upon ten (10) calendar days' notice to the Client. The Consultant shall have no liability whatsoever to the Client for any costs or damages as a result of such suspension caused by any breach of this Agreement by the Client. Upon payment in full by the Client, the Consultant shall resume services under this Agreement, and the time schedule and compensation shall be equitably adjusted to compensate for the period of suspension plus any other reasonable time and expense necessary for the Consultant to resume performance. (ii) If the Client fails to make payment to the Consultant in accordance with the payment terms herein, and/or Client has failed to cure its breach or default following a suspension of services as set forth above, this shall constitute a material breach of this Agreement and shall be cause for termination of this Agreement by the Consultant upon seven (7) days' written notice to the Client. (iii) Payment of invoices shall not be subject to any discounts or set-offs by the Client, unless agreed to in writing by the Consultant. Payment to the Consultant for services rendered and expenses incurred shall be due and payable regardless of any subsequent suspension or termination of this Agreement by either party.

Section 6.5 The covenants contained in Sections 3.1, 3.2, 4.4, 5.3, 5.4, 5.5, 5.6 and all of Article VII shall survive the termination of this Agreement.

ARTICLE VII
GENERAL PROVISIONS

Section 7.1 Any notices to be given hereunder by either party to the other may be effected either by personal delivery in writing or by mail. Mailed notices shall be addressed to the parties at the addresses appearing in the introductory paragraph of this Agreement, but each party may change the address by written notice in accordance with the first sentence of this Section 7.1. Notices delivered personally will be deemed communicated as of actual receipt. Mailed notices will be deemed communicated as of two (2) days after mailing.

Section 7.2 This Agreement and exhibits hereto supersede any and all agreements, either oral or written, between the parties hereto with respect to the rendering of service by Consultant for Client and contains all of the covenants and agreements between the parties with respect to the rendering of such services. Each party to this Agreement acknowledges that no representations, inducements, promises, or agreements, orally or otherwise, have been made by any party, or anyone acting on behalf of any party, which are not embodied herein, and that no other agreement, statement, or promise not contained in this Agreement shall be valid or binding. Any modification of this Agreement (including any exhibit hereto) will be effective if it is in writing and signed by the party against whom it is sought to be enforced.

Section 7.3 If any provision in this Agreement is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remaining provisions will nevertheless continue in full force without being impaired or invalidated in any way.

Section 7.4 Disputes. The parties agree to first try in good faith to settle the dispute by mediation pursuant to the Mediation Rules of the American Arbitration Association. If the claim or controversy is not settled by mediation, the claim or controversy may be resolved by final and binding arbitration. On the written request of one party served on the other, the dispute shall be submitted to binding arbitration in accordance with the commercial rules and regulations of the American Arbitration Association and the provisions of the California Arbitration Act (Sections 1280 through 1294.2 of the California Code of Civil Procedure). The arbitration shall take place in Newport Beach, California, or such other location mutually agreed to by the parties.

The arbitrator(s) shall be selected as follows: In the event that Consultant and Client agree on one arbitrator, the arbitration shall be conducted by such arbitrator. In the event Consultant and Client do not so agree, Consultant and Client shall each select an arbitrator and the two arbitrators so selected shall select the third arbitrator. If there is more than one arbitrator, the arbitrators shall act by majority vote. The parties may propose arbitrators from JAMS, ADR, ARC or any independent arbitrator/neutral for dispute resolution. The parties are not required to hire an AAA arbitrator for resolution of a dispute hereunder.

No arbitration shall include by way of consolidation or joinder any parties or entities nor a party to this Agreement without the express written consent of the Client, the Consultant and any party or entity sought to be joined with an express reference to this provision. Any party or entity joined in the arbitration, after mutual consent, shall be bound by this provision.

The decree or judgment of an award rendered by the arbitrator(s) may be entered in any court having jurisdiction thereof.

Section 7.5 The prevailing party in any arbitration or legal action brought by one party against the other and arising out of this Agreement shall be entitled, in addition to any other rights and remedies it may have, to reimbursement for its expenses, including court costs and reasonable attorneys' fees. The non-prevailing party shall be liable, to the extent allowable under law, for all fees and expenses of the arbitrator(s) and all costs of the arbitration.

Section 7.6 This Agreement will be governed by and construed in accordance with the laws of the State of California.

Section 7.7 Nothing contained in this Agreement shall create a contractual relationship with or a cause of action in favor of a third party against either the Client or the Consultant. The Consultant's services under this Agreement are being performed solely for the Client's benefit, and no other party or entity shall have any claim against the Consultant because of this Agreement or the performance or nonperformance of services hereunder.

Section 7.8 Notwithstanding any other provision of this Agreement, and to the fullest extent permitted by law, neither the Consultant nor the Client, their respective officers, directors, partners, employees, contractors or subconsultants shall be liable to the other for, or shall make, any claim for any incidental, indirect or consequential damages arising out of or connected in any way to the Project or to this Agreement. This mutual waiver of consequential damages shall include, but is not limited to, loss of use, loss of profit, loss of business, loss of income, loss of reputation or any other consequential damages that either party may have incurred from any cause of action including negligence, strict liability, breach of contract and breach of strict or implied warranty.

Section 7.9 It is intended by the parties to this Agreement that the Consultant's services in connection with the Project shall not subject the Consultant's individual shareholders, officers, directors, members, managers or employees to any personal legal exposure for the risks associated with this Project. Therefore, and notwithstanding anything to the contrary contained herein, Client agrees that as Client's sole and exclusive remedy, any claim, demand or suit shall be directed and/or asserted only against Client and not against any of the individual shareholders, officers, directors, members, managers or employees.

Section 7.10 Limitation of Liability – for available insurance: In recognition of the relative risks and benefits of the Project to both the Client and the Consultant, the risks have been allocated such that the Client agrees, to the fullest extent permitted by law, to limit the liability of the Consultant to the Client for any and all claims, losses, costs, damages of any nature whatsoever or claims expenses from any cause or causes, including attorneys' fees and costs and expert-witness fees and costs, so that the total aggregate liability of the Consultant to the Client shall not exceed the sum of insurance coverage available at the time of settlement or judgment. It is intended that this limitation apply to any and all liability or cause of action however alleged or arising, except for Consultant's willful misconduct or unless otherwise prohibited by law.

IN WITNESS WHEREOF, this Agreement has been executed on the date and year first above written.

CONSULTANT:	CLIENT:
David Taussig and Associates, Inc. d/b/a DTA	**Alum Rock Union School District**
By: _____	By: _____
Nathan Perez, Managing Director	
Date: _____	Date: _____

dta
www.FinanceDTA.com

EXHIBIT A
SCOPE OF WORK

ALUM ROCK UNION SCHOOL DISTRICT
PARCEL TAX ADMINISTRATION

DTA ("Consultant") shall provide financial consulting services to assist the Alum Rock Union School District ("Client") in the administration of Client's parcel tax, special tax, or special assessment. The focus of these services shall be to determine the parcel tax, special tax, or special assessment charges and to facilitate the collection of the charges for one (1) fiscal year, 2020-2021, by the County of Santa Clara.

The specific activities and tasks to be performed under this Scope of Work include the following:

Task 1 Parcel Database

This task involves organizing the land use data required to apportion and collect parcel tax, special tax, or special assessment charges, and includes the following subtasks:

1.1 **Database Management:** Create automated parcel database to include all parcels. Data items will include Assessor Parcel Number, acreage, and owner of record, as necessary and applicable.

1.2 **Parcel Research:** Review Assessor Parcel Maps to determine which parcel numbers will be valid for each fiscal year.

Task 2 Parcel Tax, Special Tax, or Special Assessment Budget

This task involves working with the Client to determine the parcel tax, special tax, or special assessment budget for each fiscal year and calculating the charge for each parcel in the parcel tax, special tax, or special assessment, and includes the following subtasks:

2.1 **Determine Parcel Tax, Special Tax, or Special Assessment Charge Requirement:** Determine parcel tax, special tax, or special assessment budget based on information provided by the Client.

2.2 **Parcel Tax, Special Tax, or Special Assessment Charge:** Based on the parcel tax, special tax, or special assessment budget, compute the parcel tax, special tax, or special assessment charges for all parcels for a given fiscal year.

Task 3 Summary Memo

This task includes the preparation of a summary memo. Included in the memo is a list of parcel tax, special tax, or special assessment charges by Assessor's Parcel.

Task 4 Submittal of Parcel Tax, Special Tax, or Special Assessment Charges to County of Santa Clara

This task involves submitting the parcel tax, special tax, or special assessment charges on or before August 10, of each year, or such other date specified by the County of Santa Clara to the Auditor-Controller for inclusion on each fiscal year's consolidated property tax bills. The parcel tax, special tax, or special assessment levy will be submitted via email or other media as specified by the County.

Optional Services

Task 5 Provide Information to Interested Parties

This task involves the provision of information to individuals and other interested parties regarding the amount and calculation of the special tax. DTA's "800" phone number will be placed on the property tax bills mailed by the County. This task includes brief written responses to property owners as necessary. Formal meetings with property owners to resolve disputes will be classified as "Additional Work" and billed at the hourly rates listed in Exhibit B.

Task 6 Roll Monitoring and Adjusted Property Tax Bills

This task involves monitoring any changes to the secured tax roll which necessitate new or adjusted property tax bills. This task includes the calculation of new or adjusted bills and the preparation of requests to the County to prepare such bills.

Task 7 Delinquent Property Owner Research

This task involves the review and research of County records to determine which parcels are delinquent in the payment of property and special taxes, and includes the following subtasks:

7.1 **Static Delinquent Special Tax Report:** Request the First, Second, and Final Installment Paid/Unpaid Status Reports from the Santa Clara County Auditor-Controller to determine which parcels are delinquent and the corresponding amount of delinquent special taxes. Prepare report summarizing the amount of delinquent special taxes.

7.2 **Dynamic Delinquent Special Tax Report:** As necessary, conduct a review of on-line records to provide an up-to-the-minute status report for any given number of delinquent parcels. Please note that our research for this task is dependent upon the rate at which the County updates their on-line payment records.

Task 8 Ownership/Exempt Parcel Research

This task involves researching and monitoring any changes in ownership and offers of dedication of property to public agencies and other entities exempt from the special tax.

dta
www.FinanceDTA.com

EXHIBIT B
FEE SCHEDULE

ALUM ROCK UNION SCHOOL DISTRICT
PARCEL TAX ADMINISTRATION

Consultant shall charge the following hourly fees for services related to Tasks 1 through 4:

Table 1: DTA's Fee Schedule

Labor Category	Labor Rate
President/Managing Director	$290/Hour
Senior Vice President	$260/Hour
Vice President	$240/Hour
Manager	$190/Hour
Senior Associate	$180/Hour
Associate II	$165/Hour
Associate I	$150/Hour
Research Associate II	$140/Hour
Research Associate I	$125/Hour

Subject to the limitations below, fees related to Tasks 1 through 4 shall not exceed **$1,900** for the upcoming fiscal year. Monthly progress payments will be made by Client upon presentation of invoice by Consultant providing details or services rendered and expenses incurred. At Client's request services in addition to those identified in the Scope of Work may be provided if the total fee required to complete Tasks 1 through 4 is less than the amounts shown above. Alternatively, if the Scope of Work can be completed for less than the maximum amount, only the hours actually expended will be billed.

In addition to fees for services, Client shall reimburse Consultant for travel, copying, courier, facsimile, telephone expenses, data services, maps, clerical charges, administrative charges, and other out-of-pocket expenses, in an amount not to exceed $500 for each fiscal year. Monthly progress payments shall be made by Client upon presentation of invoices by Consultant providing details of services rendered and expenses incurred.

Limitations

The preceding hourly rates apply for a twelve (12) month period from execution of the Agreement and are subject to a cost-of-living and/or other appropriate increase every twelve (12) months thereafter. Consultant generally reviews its hourly rates annually and,

if appropriate, adjusts them to reflect increases in seniority, experience, cost-of-living, and other relevant factors. Consultant shall notify Client in advance of any such increase. Additional services other than those necessary to amend errors on the part of Consultant in Tasks 1 through 4 are not covered by the maximum fee listed above.

ALUM ROCK
UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent of Business Services

Re: Contract Approval – SchoolWorks, Inc.

Date: September 10, 2020

Summary:
The District is in need of a demographic study and enrollment projections to be performed to assist with both short-term and long-term planning. SchoolWorks is a reputable firm that has over 25 years of experience in this field and has worked with over 200 California school districts. Deliverables to be provided by SchoolWorks will include the following:

- Updated six-year district and individual school enrollment projections
- Updated attendance boundary demographic trend analysis
- Updated classroom utilization analysis
- Updated new housing impacts and yield rate study
- Update Interactive web based school attendance boundary locator
- Final report both in electronic form and eight (8) printed copies

Recommendation:
Staff recommends that the Board of Trustees approve the contract with SchoolWorks, Inc., in the amount not to exceed $20,000.00 for the period of October 1, 2020 to September 30, 2021.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Business Services (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: Kolvira Chheng

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: SchoolWorks, Inc.

   Address: 8331 Sierra College Blvd., #221 City: Roseville State: CA Zip: 95661

   Phone: (916)733-0402 Email Address: brett@schoolworksgis.com

   SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date October 1, 2020 end date September 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Provide a Demographic Study with Enrollment Projections; District-wide & school-specific ~~enrollment projections meant to serve as a planning tool to help w/both long & short-term~~ planning; other factors to identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization/new construction and attendance ~~boundary redistricting; study will provide info on 20/21 enrollments/programs, etc.. (see att)~~

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $20,000.00 (NTE) (describe rate agreement) _____

   _____

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
010-	0000-0-5815	00-0000-7200	000000	400-7200	$20,000.00	M. Vlasten
						8/25/20

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

August 13, 2020

Alum Rock Union School District

Professional Services

Demographics & Enrollment Projections 2020-2021

SchoolWorks, Inc.

8331 Sierra College Blvd., #221, Roseville, CA 95661 | 916.733.0402 | www.schoolworksgis.com

Facility Problem Solvers

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Proposal Summary

Board of Trustees
Alum Rock Union School District
2930 Gay Avenue
San Jose, CA 95127

Thank you for the opportunity to present this Proposal for the 2020/2021 Demographic Services with Enrollment Projections Study. As you prepare for the upcoming school year, SchoolWorks, Inc., is fully aware of the unprecedented challenges the Covid-19 pandemic has presented to our clients. Our goal is to continue to take whatever steps necessary to help you get through these challenging times. As budgets will undoubtedly take a hit due to this pandemic, we wanted to remind you these facility planning services can be paid for using Developer Fee Funds. It would be an honor to work with you again.

Demographic Services and Enrollment Projections help establish guidelines designed to ensure the District's school facilities are able to accommodate and support its current and future enrollment. SchoolWorks, Inc. provides a total comprehensive analysis. The district-wide and school-specific enrollment projections are meant to serve as a planning tool to help with both long-term and short-term planning. Our services help identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization or new construction, and attendance boundary analysis or redistricting.

In addition to our standard annual services, we have found many of our clients inquiring how specific data available in our GIS system may be able to assist with the unique Covid-19 planning challenges. These include how Covid-19 may affect future enrollment, tracking distance learning procedures to ensure every student is presented with the same opportunities, and even planning how to best utilize facilities and assign students to multi-track schedules if school is only able to operate at a reduced capacity.

Proposal Includes:

- Collaborate with the District staff throughout the project
- Updated six year district & individual school enrollment projections
- Updated attendance boundary demographics trend analysis
- Updated classroom utilization analysis
- Updated new housing impacts & yield rate study
- Updated Interactive web based school attendance boundary locator (Complimentary)
- Draft/Final documentation in electronic form and eight (8) printed copies if requested
- Total fee proposal of **$17,500**

Ken Reynolds
President
916.771.4605
ken@schoolworksgis.com

Brett Merrick
Vice President
916.771.4606
brett@schoolworksgis.com

Company Profile

ABOUT SCHOOLWORKS, INC.

SchoolWorks, Inc. established in 2002 by founder and President Ken Reynolds, has a proud history of guiding California school districts through the complexities of school facility planning.

Our firm takes pride in focusing on the unique challenges facing California school districts today. We offer a wide range of services that provide the building blocks towards smart and successful facility planning.

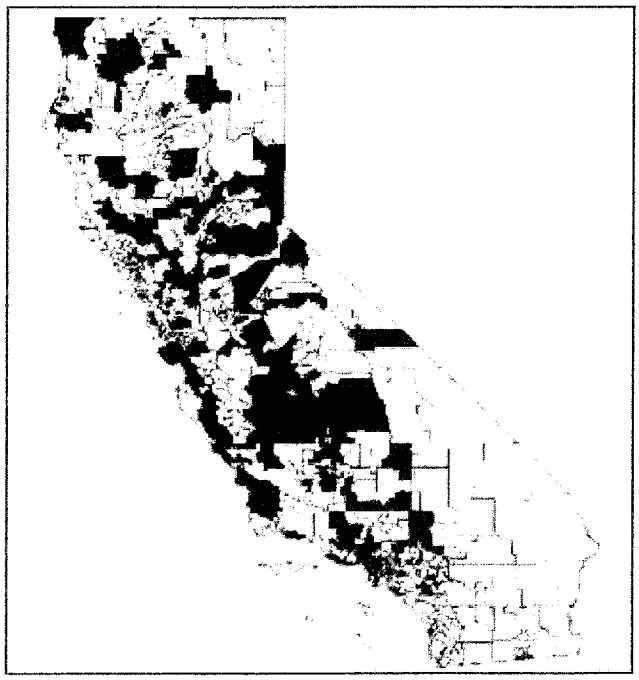
SchoolWorks, Inc. provides services exclusively to California school districts, ranging in size from under 100 students to more than 600,000 students.

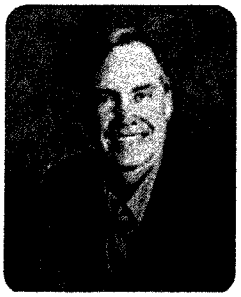
Our headquarters is located near Sacramento, providing easy access to CDE, SAB and OPSC. We have additional satellite offices located in the Central Valley and Southern California.

At SchoolWorks, Inc. our mission is simple: Take pride in developing trusting personal relationships. Our unique hands-on approach makes us more than just another facility planning and demographics consultant. Our goal is to become an extension of your staff and community. We value integrity and going the extra mile to make sure we provide the highest in quality service.

OUR SERVICES

- ☐ Demographic Studies
- ☐ Enrollment Projections
- ☐ Facilities Master Plans
- ☐ Facilities Planning Assessments
- ☐ State Building Program Assistance
  - Modernization
  - New Construction
  - Financial Hardship
  - CTE
- ☐ Developer Fee Studies
  - Level 1
  - Level 2
- ☐ Attendance Boundaries Studies
- ☐ GIS Facility Planning Software





Ken Reynolds
President

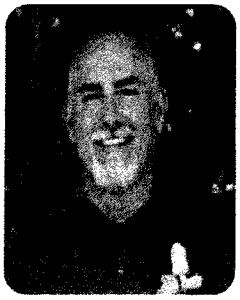


Brett Merrick
Vice President

Facilities Master Plan/Assessments



Luke Smith
Consultant



Ron Groenveld
Consultant



Ed Gonzales
Consultant

State Building Program



Owen Alvarez
VP, State Building Program



Alex Rutherford
Project Manager

Demographics/Developer Fees



Kathy Reynolds
Associate Director



Ryan Reynolds
Analyst

Office and Support Staff



Alice Turney
Accounts Manager





Jodi Grayem
Office Manager

Proposal

ENROLLMENT PROJECTIONS

This Demographic Study provides a comprehensive enrollment analysis. The district-wide and school-specific enrollment projections are meant to serve as a planning tool to help with both long-and short-term planning. Demographic Studies examine the factors that influence school enrollments, namely trends in demographics, birth rates and housing development.

The study is also used as a tool to identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization or new construction and attendance boundary redistricting.

This study provides information based on the 2020/2021 District enrollments and programs, local planning policies and residential development. As these factors change and time lines are adjusted, the Demographic Study should be revised to reflect the most current information.

METHODOLOGY

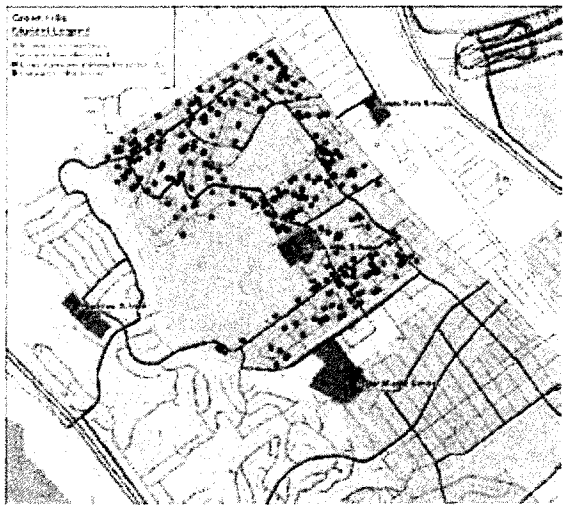
The enrollment projections for each school are generated using a State standard weighted cohort trend analysis. The basic projections are created by studying the individual geographic areas. Once the trends are analyzed for each area, the base projections are modified using the following procedures:

- **Neighborhood School Attendance Area Analysis:** Each school attendance boundary will be input into our GIS (Geographic Information Systems) Software. Students are counted in each of the attendance area boundaries based on their residential address and can be studied to view optimum and balanced utilizations. Attendance pattern maps for each individual boundary will analyze impacts of intra-district transfers from within the district boundary, as well as inter-district transfers from neighboring school districts.

- **Inter-district** student counts are not included in the base geographic trend analysis since these students reside outside of the District. Therefore, the current number of students-per-school and students-per-grade are added to the base projections.

- **Intra-district** students are those who transfer from one school to another. The number of students transferring into and out of each school are calculated and used to determine the difference between the projections for students living in each attendance area versus those that are projected to attend the school.

- **Cohort Trend Analysis:** The number of students living in the boundary are used to generate the cohort factors. The weighted average of the three (3) years is determined with the current year weighted 50%, the prior year 33.3% and the last year 16.7%. This gives the current trends more value in determining the projections. Those cohorts are then used to determine the students who will be residing in each attendance area for the following years.

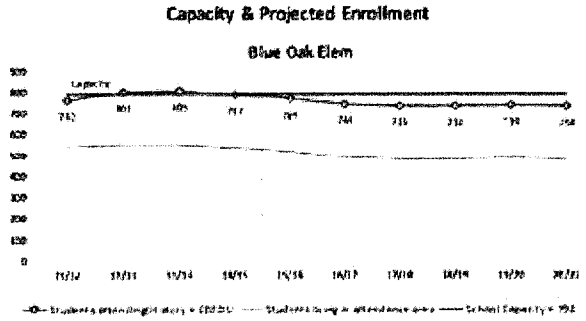


- **Birth Rate Analysis:** Birth rates are used to project future kindergarten enrollment. It is assumed if the births indicate there was an increase of 4% one year, then there will be a corresponding 4% increase in the kindergarten class five (5) years later.

- **Housing Development and Yield Rate Study:** New housing development can have a significant impact on future facility and demographic planning. A complete analysis of all current and future new housing developments will be researched by working with city, county and other local municipalities. A student yield rate analysis will also be conducted using the most current Census data. New housing development rates and yield factors are compared to the historical impact of development and if the future projections exceed the historical values, the projections are augmented accordingly.

- **District Special Education and Alternative Programs:** The projections for special education students and alternative programs are created by assuming those programs typically serve a percentage of the total District population. Therefore, as the District grows or declines, the enrollment in those programs would increase or decrease accordingly.

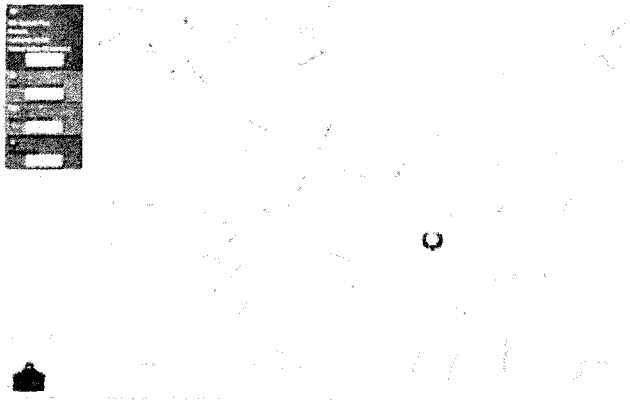
Site Capacity and Utilization Analysis: By reviewing current district loading standards and how many available classrooms are situated at each school site, we can determine which schools have room for growth, which schools are overcrowded or which school sites may need to be considered for boundary changes or grade level reconfiguration. The classroom counts may not represent the current classrooms being used, as there may be unused rooms on the school site. In some cases, there may be fewer classrooms counted than current teaching stations if some of the rooms being used were designed for other purposes but are currently being used as classrooms due to overcrowding. The purpose of the classroom count and capacity are to show what the school capacity should be if all teaching spaces are being used in accordance with the educational programs of the District.



OTHER AVAILABLE SERVICES

ONLINE SCHOOL BOUNDARY LOCATOR

Offered complimentary with SchoolWorks Demographic Services and Enrollment Projections. School Locator is an interactive, online address search service. School Locator is linked directly to your website for easy accessibility and customized to blend with existing design. School Locator also allows for multiple boundary layers to be added at anytime so if your district is going through a boundary change you have the ability to view both the current and proposed boundaries.



UNLIMITED ON-CALL SERVICES

SchoolWorks utilizes our GIS (Geographic Information System) Facility Planning Software as the foundation to input and analyze the Demographic and Enrollment information provided by the District. GIS lets you capture, manage, display and analyze geographically all the data that's critical in planning for your future. This information is stored on our secured serve.

SchoolWorks, Inc. provides unlimited on-call services to all our clients. If you have questions about the number of students in a boundary, in a specific neighborhood, or within a certain radius, our staff is available on-call Monday through Friday 7am to 5pm to help answer those questions. We will make every attempt to answer within the same day.

GIS FACILITIES PLANNING SOFTWARE

The SchoolWorks, Inc. GIS Facility Planning Software is available for purchase by the District, if requested. For more information on this powerful tool please contact a SchoolWorks, Inc. representative.

HOURLY RATES

If SchoolWorks presense is requested on various projects or committee meetings beyond the Statement of Work in this proposal, the District will be billed an hourly rate of $185 per hour.

Fee Proposal

STATEMENT OF WORK

Schoolworks, Inc. is proposing a fixed fee for the basic services provided in this proposal. Optional services may be added. Changes in the scope of work can have impacts on the overall fee. If SchoolWorks, Inc. presence at meetings or additional services are requested beyond the scope of work, the District will be billed at $185 per hour, plus travel time and expenses or issued a new contract for those specific services.

DEMOGRAPHICS & ENROLLMENT PROJECTIONS	
❑ Geocode current student enrollment data October 2020, October 2019, October 2018, October 2017	
❑ Update and analyze student demographic trends	
❑ Update six year district enrollment projections	
❑ Update enrollment projections for each individual school	
❑ Update classroom utilization analysis	
❑ Update new housing impacts & yield rate study	
❑ One (1) Board presentation	
❑ Interactive web based school attendance boundary locator (Complimentary)	
❑ Unlimited on-call services	
Total Proposed Fee	**$17,500**

Annual Services Update & Cost	
Consultant may be retained by the District to update the Demographic Study and Enrollment Projections on an annual basis. This would include an update to the existing Statement of Work on a year to year basis. A separate agreement would be provided in August 2021.	
Project Cost	**$10,750**

PROJECT TIMELINE

The proposed timeline for completion and delivery of the project is TWO (2) month from the time the Schoolworks, Inc. team is able to collect the appropriate information. A project RFI will be submitted to the District upon signing of this agreement. A schedule is intended to be a flexible timeline and will be adjusted accordingly, depending on coordination of schedules and efficient data collection. It is our goal to complete this project under the TWO (2) month window. The schedule is generated through a team effort involving District administration and SchoolWorks, Inc. Consultants.

Agreement

PROFESSIONAL SERVICES AGREEMENT

DEMOGRAPHICS & ENROLLMENT PROJECTIONS

Alum Rock Union School District
2930 Gay Avenue
San Jose, CA 95127
TEL: 408.928.6800

and

SchoolWorks, Inc.
8331 Sierra College Blvd., 221
Roseville, CA 95661
TEL: 916.733.0402

THIS AGREEMENT, is made by and between SchoolWorks, Inc. (hereinafter referred to as "SCHOOLWORKS") and Alum Rock Union School District, (hereinafter referred to as "the DISTRICT")

WHEREAS, the DISTRICT is authorized to retain consulting services to assist the DISTRICT in updating demographics and enrollment projections.

SCOPE OF SERVICES

SCHOOLWORKS will provide the DISTRICT a Demographic Study with Enrollment Projections. The district-wide and school-specific enrollment projections are meant to serve as a planning tool to help with both long-and short-term planning. Demographic Studies examine the factors that influence school enrollments, namely trends in demographics, birth rates and housing development. It is also used as a tool to identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization or new construction and attendance boundary redistricting. This study provides information based on the 2020/2021 District enrollments and programs, local planning policies and residential development

AGREEMENT PERIOD

The agreement period begins October 1, 2020, (the "Effective Date") and will automatically expire on September 30, 2021 (the "Expiration Date").

OBLIGATIONS OF THE DISTRICT

DISTRICT agrees that it's employees will cooperate with SCHOOLWORKS and be available for scheduled consultations and meetings at reasonable times.

DISTRICT shall provide data which is required or requested by SCHOOLWORKS. All data and records, including student information will remain confidential.

DISTRICT will assist SCHOOLWORKS in obtaining data from public municipalities or agencies or private citizen groups whenever such data is necessary for completion of the work outlined in this agreement.

CONFIDENTIALITY

Student records obtained by SCHOOLWORKS, Inc. and/or its third parties from the DISTRICT continue to be the property and under the control of the DISTRICT. The procedures by which students may retain possession and control of their own student generated content will be determined and controlled by the DISTRICT, not by SCHOOLWORKS, Inc. The options by which a Student may transfer student-generated content to a personal account will be determined by the DISTRICT, not by SCHOOLWORKS, Inc. Representatives of the DISTRICT, not SCHOOLWORKS, Inc. or its third parties, will work directly with parents, legal guardians, or eligible students to review personally identifiable information in the student's records and correct erroneous information. SCHOOLWORKS, Inc. staff members or its third parties shall act to ensure the security and confidentiality of student records, including, but not limited to, designating and training experienced staff members to ensure the security and confidentiality of student records, by use of the following measures: SCHOOLWORKS, Inc. staff members will periodically review and test the security and confidentiality of records stored in its computer systems and its related data drives, and make adjustments to security protocols as required. In the event of an unauthorized disclosure of a student's records, staff of SCHOOLWORKS, Inc. and its third parties will assist the DISTRICT by providing any information provided in the unauthorized disclosure to the DISTRICT so that the DISTRICT can report the disclosure to the affected parent or student, and resolve the issue in a satisfactory manner. The DISTRICT, not SCHOOLWORKS, Inc., will work with students who choose to retain possession of their student generated content or to transfer such content to a student's personal account. SCHOOLWORKS, Inc. agrees to comply with all standards regarding the privacy of the student data provided by the DISTRICT, relating to "COPPA," "FERPA," and SOPIPA. In accordance with COPPA, FERPA and SOPIPA. SCHOOLWORKS will not use its site or services for other than its school district client's K-12 school purposes, and will use security protocols to secure DISTRICT data that is used in conducting certain studies and reports for or on behalf of the DISTRICT. SCHOOLWORKS, Inc. will not use any personally identifiable information in student records to engage in targeted advertising. SCHOOLWORKS, Inc. will not sell a student's information. SCHOOLWORKS, Inc. will not use any personally identifiable information in student records to create a "student profile" for any purpose other than those required or specifically permitted by the Technology Services Agreement. SCHOOLWORKS and/or its third parties shall not disclose any personally identifiable information in student records, unless for legal, regulatory, judicial, safety, or operational improvement reasons, and must disclose student information: when required by law, for legitimate research purposes; or for school purposes to educational agencies authorized by the DISTRICT

TERMINATION

It is understood and agreed that the DISTRICT may terminate this agreement without cause by giving SCHOOLWORKS written notice at least thirty (30) days before effective date of such termination. Required payments include payment for hours completed.

COMPENSATION

The full amount of **$17,500** will be billed upon completion of the Study and submitted to the District for review. The amount is due within thirty days of the date of the invoice. If SchoolWorks presence is requested at additional school board meetings or other committee meetings beyond the scope of work, the District will be billed at $185 per hour, plus travel time and expenses.

The parties hereto have caused this agreement to be executed by their authorized representatives.

SchoolWorks, Inc.	Alum Rock Union School District
Ken Reynolds	
	Signature
Kenneth R. Reynolds	Hilaria Bauer, Ph.D.
	Print Name
President	Superintendent
	Title
August 13, 2020	
	Date

ALUM ROCK
UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Director of Maintenance, Operation & Transportation

Re: Contract Approval- Arclite, Inc.

Date: August 25, 2020

Summary:
It is necessary for the District to maintain a contract with an experienced and reliable company that can provide fire alarm inspection and maintenance services district-wide.
Based on pricing, experience and responsiveness, the District is recommending to contract with Arclite, Inc.

Recommendation:
Staff recommends that the Board of Trustees approve the contract with Arclite Electric in the amount of $22,000 for fiscal year 2020-2021.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Maintenance (School/Dept.) VENDOR NO. 22774

PROGRAM MANAGER: Ed Villarreal - Director

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: ArcLite, Inc.

   Address: 915 Boulder Drive City: San Jose State: CA Zip: 95132

   Phone: (408) 872-2445 Email Address: WWW.ArcliteElectric.com

   SSN: _____ or Fed I.D. #: _____

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date August 1, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Service and repair all fire alarm, security and PA intercoms. Inspect, rest maintain and, certify fire alarm systems. Emergency respond service 24 hours a day-7 days a week.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $ 22,000.00 (describe rate agreement) _____

   Budget code: 050-8150-0-5815-00-0000-8100-000000-450-8130

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
				$22,000.00		M. Newton 8/26/2020

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

Arclite L.V. Division(Arclite)
915 Boulder drive
San Jose, CA. 95132 408-872-2445
www.ArcliteElectric.com
Fax: 1-866-349-8843

allanone@gmail.com contractor Lic. C10 1010150

Date : 08/24/2020

Customer : Alum Rock Union School District August1st 2020 till August 1st 2021

Scope of work

Fire Alarm ,Intercom Pa and Security systems

Arclite will service and repair all fire alarm ,security and PA intercom systems., surveillance equipment any more.

We also Inspect, Test, Maintain, and Certify the fire alarm systems detailed o. Arclite will satisfy requirements of NFPA 72, Chapter 10, conform to the equipment manufacturer's recommendations, and verify operation of the fire alarm systems. Inspection A visual inspection of every device in the "List of Equipment" will be performed to ensure that no facility changes have occurred which could affect equipment or system performance based on the original design. Testing Every device and control function shown in the "List of Equipment" will be physically activated to ensure its functionality as designed and installed. Testing takes into consideration the AHJ (Authority Having Jurisdiction) requirements, local ambient conditions and the manufacturer's recommendations. Contractor will follow NFPA 72 recommended test methods and frequencies as a minimum guideline for system testing. System configuration is verified during quarterly inspections and compared to existing records. Complete documentation of testing and a report of any deficiencies will be presented for review before the Technician leaves the facility. Audible Testing will be conducted during off or non-operational hours and the schedule will be pre-approved by the(OWNER LOCATION). Preventative Maintenance System components will be cleaned, recalibrate and retested if necessary to ensure continued performance and reduce the risk of component or system failure. During scheduled visits, minor repairs will be made, such as replacement of faulty detectors or damaged devices. Smoke Detector Sensitivity Testing Smoke Detector Sensitivity testing will be performed in accordance with NFPA 72 using the manufacturer's recommended test methods and a UL approved testing device. Contractor will provide the necessary documentation to satisfy the AHJ. Arclite will provide an analysis of the report along with recommendations for detectors that require cleaning or replacement. Corrective Maintenance Necessary repairs for deficient or inoperable devices such as those found during the course of system testing, inspection or preventative maintenance, or have failed during operation will be provided. Only original replacement components manufactured by the original equipment manufacturer or other compatible components are used in order to preserve Underwriters Laboratories (U.L.) Listings and meet NFPA requirements. Emergency Service Emergency service response between scheduled tests will be available 24 hours a day / 7 days a week to minimize system downtime. Emergencies will be determined by Owner and Subcontractor. On-Site Response will be provided via a telephone response within 30 minutes and Service Technicians will be onsite within four (4) hours. Non-

emergency calls, as determined by facility's staff, will be handled the next business day. On Site Staffing Trained and certified personnel for on-site system support will be provided. As part of the services, any additional training will be provided while technicians are on site. List of Equipment Annual Testing Frequencies

We offer $115.00 per hour(minimum 2 hours) for regular hours(8:00 am to 4:00 pm) Monday $115.00.00 through Friday except holidays.

Overtime rate time and half ($172.50)(min 3 hours) from 4:00 pm to midnight and Saturday 8:00$172.50 am to 4:00 pm.

Double time rate($230) is after midnight to 8:00 am M-F Saturday after 4:00 pm and all Sunday.230.

Sincerely: Allan
Service manager
Arclite L.V. Division.

A Salehi Salehi 08/24/20

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Director of Maintenance, Operation & Transportation

Re: Contract Approval: United Mechanical, Inc.

Date: August 25, 2020

Summary:
The District needs to maintain a contract with an experienced and reliable company that can provide routine repair and maintenance of the refrigerator and freezer units located at the district office.

Recommendation:
Staff recommends that the Board of Trustees approve the contract with United Mechanical, Inc., in the amount of $20,000.00 for 2020-2021 fiscal year.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: M.O.T (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: Ed Villarreal - Director

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: United Mechanical, Inc

   Address: 2185 Oakland Rd City: San Jose State: CA Zip: 95131

   Phone: (408) 228-1913 Email Address: _____

   SSN: _____ or Fed I.D. #: _____

   Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Routine maintenance and service for the refrigerator and freezer units located at the district office.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $20,000.00 (describe rate agreement) _____

   Budget code: 050-8150-0-5815-00-0000-8100-000000-450-8130

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
						M.Newton 8/28/2020

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

UNITED
MECHANICAL

Service Proposal

2185 Oakland Road
San Jose, CA 95131
24/7 Service: (408) 228-1913
Fax: (408) 433-5203
HVAC Lic. 828335

Date: Thursday, August 20, 2020 ***Reference #: PM20-QN0407 - Refrigeration PM***

SUBMITTED TO:

Client: Alum Rock School District
Contact Name: Carlos Hernandez
Billing Address: 2930 Gay Ave
San Jose, CA 95127

SERVICE LOCATION:

Tenant: Alum Rock School District
Onsite Contact: Carlos Hernandez
Service Address: 2930 Gay Ave
San Jose, CA 95127

FACILITY STATUS: Standard

SCOPE OF WORK / DIAGNOSIS:

United Mechanical proposes to perform quarterly operational maintenance on the following refrigeration equipment and annual condenser/evaporator coil cleaning.

$7,688.00 Annually ($1,922.00 per quarter)

- Kramer warehouse condenser - M#CTT2P1000L44, S#H9477421-011
- Kramer warehouse fan Coil - M#TV-55OD, S#H94 77421-021
- Kramer walk in freezer condenser - M#SC1-1/2**12XS-C130, S#E28079
- Kramer walk in freezer fan coil - M#TBA, S# TBA
- Masterbilt walk in freezer condenser - M#MSLD060AC, S#267980
- Standex walk in fan coil - M#TBA, S# TBA

ADDITIONAL INFORMATION:

Unless otherwise stipulated herein, our pricing is based on all work taking place during normal business hours, and excludes permits, fees, engineering, or original service call costs. If needed, additional quote to follow.

The scope of work described is offered for the lump sum price of: $7,688

By accepting this proposal, the purchaser agrees to the attached terms and conditions. This quotation will be valid for a period of 30 days and payment is due 30 days from invoicing. If payment is not received interest will accrue at the rate of 1.5% per month. If an action is brought for collection the prevailing party shall be entitled to attorney's fees.

WARNING: Contractors are required by law to be licensed by the Contractor's State License Board which has jurisdiction to investigate complaints against Contractors if a complaint is filed within three years of the date of the alleged violation. Any questions concerning a Contractor may be referred to the Registrar, Contractor's State License Board, P.O. Box 26000, Sacramento, CA 95826
[California Business and Professional Code 7030(a)]

UMI Rep: Quoc Nguyen
Title: Account Manager
Date: 08/20/20

Client: _____
Title: _____
Date: _____

UNITED
MECHANICAL

SERVICE RATES & INFORMATION

Date: 4/10/2020
Gloria Fernandez
Alum Rock School District
2930 Gay Avenue
San Jose, CA 95127

Thank you for considering United Mechanical Inc. to provide HVAC & Plumbing Services for your facility. We appreciate the opportunity and your business. Therefore, for the 2020/2021 Year, we are happy to provide you with the following UMI Service hours, labor rates, response times and office contacts:

Service office and field hours:
Monday- Friday: 7:00AM to 4:30PM.

Service Labor Rates:
Hourly Rate: $155.00
Overtime Rate: $232.50
Holiday Rate: $310.00
Emergency Service Rate: $232.50
Truck Charge Rate: $60.00

Response Times for after hours:
We guarantee that if Alum Rock School District calls during over time or after hours, that UMI will be onsite within 2-3 hours of that received phone call. When the call is placed to our 24/7 line, you should receive a call back within 30 minutes of that call.

Office Contacts/247 Service Line:
Quoc Nguyen (Account Manager) - Phone: 408.712.1179 Email: qnguyen@umi1.com
24/7 Service Line- Phone: 408.228.1913 Email serviceContracts@umi1.com

I hope this meets with your approval. Please do not hesitate to call if you have any questions.

Sincerely,

Quoc Nguyen
United Mechanical, Inc.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: **BUSINESS OFFICE** Contract No _____ Vendor No _____

Special Education (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☐ **MOU** (negotiated Agreement) ☑ **MASTER CONTRACT PARTICIPATION**
☐ Exhibit B & C (Fingerprinting and TB Test) ☐ Scope of Work/Proposal

Note: All Contracts over $5,000 require pre-approval.

** Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement*

Name of Individual/Company: Beacon School

Address: 5670 Camden Ave. City: San Jose State: Ca Zip: 95124

Phone: (_____) _____ Email: _____

SSN: _____ Fed I D. #: _____

CONTRACT TERM: start dates July 1, 2020 end date June 30, 2021

CONTRACTOR'S OBLIGATION
Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Beacon School is a certified, highly specialized nonpublic school that provides individualized instruction & behavior support services to autistic or severe behaviorally disordered students. Due to the severity of their disability a nonpublic school placement is recommended.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

X a. **Fee Rate:** $251.00 per day Not to Exceed 185/197 days of services.

X b. **Other:** $2,900 + 95,129 = 98,029

Describe other related costs: Speech Therapy

BUDGET CODE: 080-6500-0-5100-00-5750 _____ Accountant Initials

1120-000000-380-1820 _____ Accountant Initials

APPROVALS

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [signature] P.S Date: 8/13/20

Director of Fiscal Services: _____ Date: _____

Asst. Supt , of Business Services: _____ Date: _____

Superintendent: _____ Date: _____

South East SELPA
Making a Difference

SELPA
Santa Clara County Office of Education

MASTER CONTRACT

GENERAL AGREEMENT FOR NONSECTARIAN, NONPUBLIC SCHOOL AND AGENCY SERVICES

LEA	**ALUM ROCK UNION SCHOOL DISTRICT**
Contract Year	**2020-2021**
Nonpublic School	**BEACON SCHOOL**
Nonpublic Agency	

Type of Contract:

X — Master Contract for fiscal year with Individual Service Agreements (ISA) to be approved throughout the term of this contract.

____ Individual Master Contract for a specific student incorporating the Individual Service Agreement (ISA) into the terms of this Individual Master Contract specific to a single student.

____ Interim Contract: an extension of the previous fiscal years approved contracts and rates. The sole purpose of this Interim Contract is to provide for ongoing funding at the prior year's rates for 90 days at the sole discretion of the LEA. Expiration Date: _____

When this section is included as part of any Master Contract, the changes specified above shall amend Section 2 – Term of Master Contract.

SANTA CLARA COUNTY SELPAs/ DISTRICT MASTER CONTRACT
GENERAL AGREEMENT
FOR NONSECTARIAN, NONPUBLIC SCHOOL/AGENCY SERVICES

2020-2021

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SANTA CLARA COUNTY SELPAS
MASTER CONTRACT
FOR NONPUBLIC, NONSECTARIAN SCHOOL/AGENCY SERVICES
2020-2021

THIS MASTER CONTRACT (contract) is made and entered into this ***Seventh*** day of ***August*** 2020, between the ***Alum Rock Union School District***, County of Santa Clara, hereinafter referred to as the "LEA" and ***Beacon School***, hereinafter referred to as "CONTRACTOR" for the purpose of providing special education and/or related services to individuals with disabilities under the authorization of Education Code Sections 56157, and 56365-56366.7. It is understood that this Contract does not commit LEA to payment for special education and related services provided to any individual unless and until an Individual Service Agreement (ISA) for Nonpublic, Nonsectarian School/Agency (NPS/NPA) Services is executed between LEA and CONTRACTOR on behalf of such individual or interim telephone approval is given to the CONTRACTOR by a representative of LEA.

WITNESSETH:

Whereas, LEA has determined that the need for such services exists;

Whereas, CONTRACTOR is a nonpublic nonsectarian school or agency holding all required certificates and licenses; and

Whereas, that CONTRACTOR is capable of and willing to provide such services.

A current copy of California Department of Education NPS/NPA certification is attached. If certification expires during Contract period, CONTRACTOR must provide an updated copy.

In consideration of mutual promises contained herein, it is mutually agreed between the parties as follows:

I. GENERAL PROVISIONS

1. INDIVIDUAL SERVICE AGREEMENT FOR NONPUBLIC, NONSECTARIAN SCHOOL/AGENCY SERVICES

An Individual Services Agreement (ISA) for Nonpublic, Nonsectarian School/Agency (NPS/NPA) Services shall be a part of this Master Contract, which CONTRACTOR will be required to execute with LEA with respect to each pupil for whom CONTRACTOR is to provide special education and/or designated instruction and services. Each pupil's Individual Services Agreement (ISA) shall identify the provider of each service required by the pupil's IEP/IFSP (CCR 3062(e)). Individual Services Agreements shall only be issued for those pupils enrolled with the approval of the LEA. CONTRACTOR is responsible for providing those services, which it has agreed to provide for each individual pupil in that pupil's ISA, unless mutually agreed upon otherwise. Individual Services Agreements are null and void upon termination of the Master Contract.

The Individual Services Agreement may be terminated by the LEA or the CONTRACTOR for cause, as set forth in EC section 56366 (a) (4), with twenty (20) days written notice. The ISA may be terminated without advance notice if both parties agree to do so in writing.

2. TERM

The term of this Master Contract shall be from July 1, 2020 to June 30, 2021 (Title 5 California Code of Regulations section 3062(a) unless otherwise stated. Neither the CONTRACTOR nor the LEA is required to renew this Master Contract in subsequent contract years. However, the parties acknowledge that any subsequent Master Contract is to be renegotiated prior to June 30, 2020. In the event a Master Contract is not renegotiated by June 30th, an interim contract may be made available as mutually agreed upon for up to 90 days from July 1 of the new fiscal year. (Title 5 California Code of Regulations section 3062(d).)

3. MODIFICATIONS AND AMENDMENTS

This Contract may be modified or amended by a written document, which complies with legal mandates, executed by CONTRACTOR and LEA. If certification expires during Master Contract period, CONTRACTOR must provide an updated copy or a copy of a waiver of such certification issued by the CDE. This Master Contract will be null and void if such certification or waiver is expired and not renewed or waived, or if such certification is revoked, rescinded, or otherwise nullified during the effective period of this Master Contract. The CONTRACTOR agrees to apply the same rate for the term of the contract unless mutually agreed otherwise in writing by LEA and contractor. Changes in the administrative or financial provisions of the Contract which do not alter the educational services or placement may be made at any time during the term of the Contract, as mutually agreed in writing by CONTRACTOR and LEA.

4. COMPLIANCE WITH LAWS

During the term of this Contract, CONTRACTOR shall comply with all applicable federal, state, CDE, LEA and other local statutes, laws, ordinances, and regulations including, but not limited to, those relating to the required special education services, facilities for individuals with disabilities, student enrollment and transfer, corporal punishment, pupil discipline, and positive behavior interventions. The CONTRACTOR shall also fully cooperate with any due process or complaint investigations.

5. DEFINITIONS AND ACRONYMS

The following acronyms and definitions shall apply for the purpose of the contract:

- BIP (Behavior Intervention Plan)
- CONTRACT (Master Contract)
- CONTRACTOR (The term "CONTRACTOR" means a nonpublic, nonsectarian school/agency certified by the California Department of Education and its officers, agents and employees.)
- CDE (California Department of Education)
- CDOJ (California Department of Justice)
- DAYS (Calendar days, unless otherwise specified)
- DIS (Designated Instruction Service) also known as "Related Services"
- FAPE (Free Appropriate Public Education)
- FERPA (Family Educational Rights and Privacy Act of 1974)
- IEP/IFSP (Individualized Education Program) / (Individual Family Services Plan)
- ISA (Individual Service Agreement)
- ITP (Individual Transition Plan)
- IMMEDIATE (within 24 Hours)
- LEA (Local Education Agency)
- LEA Representative (The term "LEA representative" means a LEA administrator designated to be responsible for nonpublic school/agencies. It is understood, a representative of the Special Education Local Plan Area

(SELPA) of which the LEA is a member is an authorized LEA representative in collaboration with the LEA. The LEA maintains sole responsibility for the contract, unless otherwise specified in the contract.)

- LICENSE (The term "license" means a valid non-expired document issued by a licensing agency within the Department of Consumer Affairs or other state licensing office authorized to grant licenses and authorizing the bearer of the document to provide certain professional services or refer to themselves using a specified professional title including but not limited to mental health and board and care services at a residential placement. If a license is not available through an appropriate state licensing agency, a certificate of registration with the appropriate professional organization at the national or state level which has standards established for the certificate that are equivalent to a license shall be deemed to be a license as defined in Title 5 of the California Code of Regulations section 3001(l).)
- CREDENTIAL (The term "credential" means a valid credential, life diploma, permit, or document in special education or pupil personnel services issued by, or under the jurisdiction of, the State Board of Education if issued prior to 1970 or the California Commission on Teacher Credentialing, which entitles the holder thereof to perform services for which certification qualifications are required as defined in Title 5 of the California Code of Regulations section 3001(g).
- LRE (Least Restrictive Environment)
- NOTIFICATION (Within fourteen (14) calendar days, unless otherwise specified)
- NPA: Nonpublic agency as defined in EC 56035
- NPS: Nonpublic school as defined in EC 56034
- OAH (Office of Administrative Hearings)
- PARENT ("Parent" means:
  i. a biological or adoptive parent; unless the biological or adoptive parent does not have legal authority to make educational decisions for the child,
  ii. a guardian generally authorized to act as the child's parent or authorized to make educational decisions for the child,
  iii. an individual acting in the place of a biological or adoptive parent, including a grandparent, stepparent, or other relative with whom the child lives, or an individual who is legally responsible for the child's welfare,
  iv. a surrogate parent,
  v. a foster parent if the authority of the biological or adoptive parent to make educational decisions on the child's behalf has been specifically limited by court order in accordance with Code of Federal Regulations 300.30(b)(1) or (2).

Parent does not include the state or any political subdivision of government or the nonpublic school or agency under contract with the LEA for the provision of special education or designated instruction and services for a child. (California Education Code section 56028).

- Qualified (The term "qualified" means that a person holds a certificate, permit or other document equivalent to that which staff in a public school are required to hold to provide special education and designated instruction and services and has met federal and state certification, licensing, registration, or other comparable requirements which apply to the area in which he or she is providing special education or related services, including those requirements set forth in Title 34 of the Code of Federal Regulations sections 200.56 and 200.58, and those requirements set forth in Title 5 of the California Code of Regulations Sections 3064 and 3065, and adheres to the standards of professional practice established in federal and state law or regulation, including the standards contained in the California Business and Professions Code.

Nothing in this definition shall be construed as restricting the activities in services of a graduate needing direct hours leading to licensure, or of a student teacher or intern leading to a graduate degree at an accredited or approved college or university, as authorized by state laws or regulations. (Title 5 of the California Code of Regulations Section 3001 (r)).)

- SELPA (Special Education Local Plan Area)
- SELPA AU (Special Education Local Plan Area Administrative Unit)
- SubCONTRACTOR (Any individual contracted to provide direct service to student)
- SPI (Superintendent of Public Instruction)

6. NO DISCRIMINATION

CONTRACTOR shall not, in employment or operation of its programs, unlawfully discriminate on the basis of gender, nationality, national origin, ancestry, race, color, ethnicity, ethnic group affiliation, religion, age, marital status, pregnancy or parental status, sex, sexual orientation, gender, gender identity or expression, physical or mental disability, genetic information or any other classification protected by federal or state law or the perception of one or more of such characteristics or association with a person or group with one or more of these actual or perceived characteristics.

7. GOVERNING LAW

The terms and conditions of the Contract shall be governed by the laws of the State of California with venue in Santa Clara County, California.

II. ADMINISTRATION OF MASTER CONTRACT

8. NOTICES

All notices involving 1) revocation of CONTRACTOR's certification, 2) Master Contract disputes, 3) changes of LEA pupil's residence outside of current LEA attendance area, 4) termination of ISA, 5) termination of Master Contract, 6) notification of cancellation or material change in CONTRACTOR's or LEA's insurance coverage, and 7) LEA's reasonable objections to a subCONTRACTORs liability insurance policy, are required to be given to the LEA and/or CONTRACTOR in writing and may be delivered in person or by certified or registered mail, postage prepaid or by other delivery services. Notices to LEA shall be addressed to ***Alum Rock Union School District, 2930 Gay Avenue, San Jose, CA 95127.*** *insert district person's name and address).* Notices to CONTRACTOR shall be addressed to CONTRACTOR's address. *(insert CONTRACTOR's name and address)* ***Beacon School, 5670 Camden Ave., San Jose, CA 95124.*** If mailed, notice shall be effective as of the date of postmark on receipt by addressee. If delivered by hand, the effective date shall be the date of receipt of addressee.

9. MASTER CONTRACT DISPUTES

Any disputes or disagreements between CONTRACTOR and LEA regarding implementation or interpretation of this Master Contract, or otherwise relating to this Master Contract, that are not informally voluntarily resolved shall be addressed and/or resolved as set forth in this section of the Master Contract. This provision in this section of the Master Contract, shall apply to all disputes and disagreements related to events that occur and/or injuries that are incurred and/or commence during the term of this Master Contract, even if the party claiming injury first discovers the events and/or injuries giving rise to the disagreement or dispute or first notifies the other party of the disagreement or dispute, after expiration of this Master Contract. For purposes of this section of the Master Contract, the term "injury" shall include monetary and/or non–monetary injuries.

Step One: The party claiming injury as a result of the facts underlying the dispute or disagreement shall first attempt to resolve the dispute directly between senior level representatives of the parties. If CONTRACTOR is the party claiming injury, CONTRACTOR shall notify the LEA's Special Education Director or other LEA employee known or reasonably believed to be responsible for LEA's special education program, of the existence of a disagreement or dispute and attempt to resolve the matter informally.

Step Two: If the dispute or disagreement is not resolved at Step One, the party claiming injury as a result of the facts underlying the dispute or disagreement shall notify the Director of the SELPA of which the LEA is a member and request that the SELPA Director participate in attempting to resolve the matter informally.

Step Three: If the dispute or disagreement is not resolved at Step Two, the party claiming injury, if it wishes to pursue resolution of the dispute or disagreement, shall notify the other party in writing that it wishes to proceed to Step Three. The notice shall include: (a) written statement of applicable facts supporting its position; (b) all applicable documents; (c) a proposed remedy to resolve the dispute; (d) the time and date during the regular or extended school year at which a Step Three meeting will be held, which date shall be no less than fifteen and no more than forty-five days after the date of the notice; (e) a location in, Santa Clara County at which the Step Three meeting will be held; (f) a statement that the LEA shall invite a representative from a SELPA different from the SELPA that LEA belongs to and CONTRACTOR shall invite a representative from a different nonpublic school, to attend. The date, time or location of the meeting may be changed by written agreement of the parties, including but not limited to a date earlier than fifteen days or later than forty-five days after the date of the notice.

At the Step Three meeting, LEA and CONTRACTOR shall be given the opportunity to present all applicable facts and documents to the invited representatives, who will be asked to provide a suggested resolution of the dispute or disagreement, that is both equitable and consistent with applicable law and which may include a recommendation for one or more additional meetings, at which the representatives will attempt to mediate a resolution to the dispute or disagreement

Step Four: If the dispute or disagreement is not resolved at Step Three, the party claiming injury may seek legal and/or equitable redress in a court of competent jurisdiction, or if mutually agreed to in writing by the parties, may submit the matter to the binding arbitration by an arbitrator or arbitration service to be agreed to by the parties.

10. SUBCONTRACTS AND ASSIGNMENT

A. CONTRACTOR shall have available a list to LEA of subcontracts for any of the related services contemplated, including transportation, under this Contract.

B. SubContracts for the provision of special education and related services may be entered into only with NPS/NPA's certified by the California Department of Education (CDE) except for an individual providing speech and language therapy, occupational therapy, or psychological or educational assessment who has a current license issued by the applicable licensing authority for those services provided.

C. CONTRACTOR agrees that any subCONTRACTORs providing educational instruction or services including transportation shall keep in effect an appropriate policy of liability insurance as mutually agreed by CONTRACTOR and LEA. CONTRACTOR agrees that subCONTRACTORs providing transportation shall keep in effect a liability insurance policy providing at least $1,000,000.00 coverage. CONTRACTOR shall ensure that such subCONTRACTOR shall require the subcontractor's insurance provider to send written notice of cancellation to LEA at least twenty (20) days prior to cancellation or material change in coverage. Proof of insurance shall be provided to LEA prior to the beginning of transportation services by a subCONTRACTOR and upon renewal of coverage thereafter. Transportation subCONTRACTORs shall submit copies of insurance policies upon request of LEA; otherwise, certificates of insurance may be acceptable proof, provided that the information thereon is adequate and verifiable.

11. INDEPENDENT CONTRACTOR STATUS

This Contract is by and between two independent entities and is not intended to and shall not be constructed to create the relationship of agent, servant, employee, partnership, joint venture or association.

12. CONFLICTS OF INTEREST

CONTRACTOR shall provide to SELPA a copy of its current bylaws and a current list of its Board of Directors (or Trustees), if it is incorporated. CONTRACTOR and any member of its Board of Directors (or Trustees) shall disclose any relationship with LEA that constitutes or may constitute a conflict of interest pursuant to California Education Code section 56042 and including, but not limited to, employment with LEA, provision of private party assessments and/or reports, and attendance at IEP team meetings acting as a student's advocate. Pursuant to California Education code section 56042, an attorney or advocate for a parent of an individual with exceptional needs shall not request or recommend placement at CONTRACTOR's facility if the attorney or advocate is employed or contracted by the CONTRACTOR, or will receive a benefit from the CONTRACTOR, or otherwise has a conflict of interest. The CONTRACTOR shall have in place a policy to ensure that employees inform CONTRACTOR of any dual relationship with parents of students who are clients of LEA/CONTRACTOR. CONTRACTOR shall inform LEA of parents who hire employees of CONTRACTOR to provide independent services for parents on behalf of their students outside business hours. The LEA may find that this type of dual relationship is a conflict of interest and may request that any employee of the CONTRACTOR who engages in a dual relationship be reassigned from providing support services to the student during school hours.

Unless CONTRACTOR and LEA otherwise agree in writing, LEA shall neither execute an ISA with CONTRACTOR nor amend an existing ISA for a student when a recommendation for special education and/or related services is based in whole or in part on assessment(s) or reports provided by CONTRACTOR to the student without prior written authorization by LEA. This paragraph shall apply to CONTRACTOR regardless of when an assessment is performed or a report is prepared (i.e. before or after the student is enrolled in CONTRACTOR's school/agency) or whether an assessment of the student is performed or a report is prepared in the normal course of the services provided to the student by CONTRACTOR. To avoid conflict of interest, and in order to ensure the appropriateness of an Independent Educational Evaluation (hereinafter referred to as "IEE") and its recommendations, the LEA may, in its discretion, not fund an IEE by an evaluator who provides ongoing service(s) or is sought to provide service(s) to the student for whom the IEE is requested. Likewise, the LEA may, in its discretion, not fund services through the evaluator who's IEE the LEA agrees to fund. When no other appropriate assessor is available, LEA may request and if CONTRACTOR agrees, the CONTRACTOR may provide an IEE.

13. TERMINATION

A. This Contract may be terminated for cause by LEA or CONTRACTOR at any time. To terminate this Contract either party shall give 20-calendar days written notice prior to the date of the termination (EC 56366 (a) (4)). Upon termination without default of CONTRACTOR, LEA shall make payment, without duplication, for all services satisfactorily performed and verified expenses incurred to date of termination. Expenses shall be itemized for review and approval by LEA.

B. In consideration of this payment, CONTRACTOR waives all right to any further payment of damage, and shall turn over to LEA everything pertaining to its services hereunder, possessed by CONTRACTOR or under its control at the time of termination.

C. Individual Service Agreements (ISA's) for NPS/NPA services may be terminated without advance notice if both parties agree to do so in writing.

D. LEA shall not terminate this contract or Individual Service Agreements for Nonpublic, Nonsectarian School/Agency Services because of the availability of a public class initiated during the course of the contract unless the parent agrees to the transfer of a pupil to a public school program through the IEP process. (EC 56366 (a) (4))

E. Continued enrollment and provision of a free appropriate public education ("FAPE") to a student currently attending NPS and/or receiving services from a NPA shall not be terminated when stay put is ordered by OAH under California Education Code § 56505.

14. INDEMNIFICATION

CONTRACTOR shall defend, hold harmless and indemnify LEA and its governing board, officers, administrators, agents, employees, independent contractors, subCONTRACTORs, consultants, and other representatives, from all liabilities and claims for damage for death, sickness, or injury to any person(s) or damage to any property, including reasonable attorney's fees and costs without limitation all consequential damages, from any cause whatsoever arising from or connected with its service hereunder, whether or not resulting from the negligence of CONTRACTOR, its agents or employees. CONTRACTOR shall save harmless and indemnify and defend LEA and its governing board, officers, agents and employees from all damages of every nature and description proximately caused by negligent or willful acts or omissions by CONTRACTOR, its agents or employees in the course of rendering service(s) under this Agreement.

LEA shall defend, hold harmless and indemnify CONTRACTOR and its governing board, officers, administrators, agents and employees from all liabilities and claims for damage for death, sickness, or injury to any person(s) or damage to any property, including, without limitation all consequential damages, from any cause whatsoever arising from or connected with its service hereunder, whether or not resulting from the negligence of LEA, its agents or employees. LEA shall save harmless and indemnify and defend CONTRACTOR and its governing board, officers, administrators, agents and employees from all damages of every nature and description proximately caused by negligent or willful acts of omissions by LEA, its agents or employees in the course of rendering service(s) under Contract.

15. INSURANCE

CONTRACTOR shall, at his, her, or its sole cost and expense, maintain in full force and effect, during the term of this Agreement, the following insurance coverage from a California licensed and/or admitted insurer with an A minus (A-), VII, or better rating from A.M. Best, sufficient to cover any claims, damages, liabilities, costs and expenses (including counsel fees) arising out of or in connection with CONTRACTOR'S fulfillment of any of its obligations under this Agreement or either party's use of the Work or any component or part thereof:

A. **Commercial General Liability Insurance**, including both bodily injury and property damage, with limits as follows:

$ 2,000,000 per occurrence
$ 500,000 fire damage
$ 5,000 medical expenses
$ 1,000,000 personal & adv. injury
$ 3,000,000 general aggregate
$ 2,000,000 products/completed operations aggregate

B. **Business Auto Liability Insurance** for all owned scheduled, non-owned or hired automobiles with a $1 million combined single limit.

If no owned automobiles, then only hired and non-owned is required.

If CONTRACTOR uses a vehicle to travel to/from school sites, between schools and/or to/from students' homes or other locations as an approved service location by the LEA, CONTRACTOR must comply with State of California auto insurance requirements.

C. **Workers' Compensation and Employers Liability Insurance** in a form and amount covering CONTRACTOR'S full liability under the California Workers' Compensation Insurance and Safety Act and in accordance with applicable state and federal laws.

Part A – Statutory Limits
Part B - $1,000,000/$1,000,000/$1,000,000 Employers Liability

D. **Errors & Omissions (E & O)/Malpractice (Professional Liability)** coverage, **including Sexual Molestation and Abuse coverage, unless that coverage is afforded elsewhere in the Commercial General Liability policy by endorsement or separate policy,** with the following limits:

$ 1,000,000 per occurrence
$ 2,000,000 general aggregate

E. CONTRACTOR, upon execution of this Master Contract and periodically thereafter upon request, shall furnish the LEA with certificates of insurance evidencing such coverage. The certificate of insurance shall include a ten (10) day non-renewal notice provision. The Commercial General Liability and Automobile Liability policy shall name the LEA and the District's Board of Education as additional insured's premiums on all insurance policies shall be paid by CONTRACTOR and shall be deemed included in CONTRACTOR's obligations under this contract at no additional charge.

F. Any deductibles or self-insured retentions above $100,000 must be declared to and approved by the LEA. At its option, the LEA may require the CONTRACTOR, at the CONTRACTOR's sole cost, to: (a) cause its insurer to reduce to levels specified by the LEA or eliminate such deductibles or self-insured retentions with respect to the LEA, its officials and employees, or (b) procure a bond guaranteeing payment of losses and related investigation.

G. For any claims related to the services, the CONTRACTOR's insurance coverage shall be primary insurance as respects the LEA, its subsidiaries, officials and employees. Any insurance or self-insurance maintained by the LEA, its subsidiaries, officials and employees shall be excess of the CONTRACTOR's insurance and shall not contribute with it.

H. All Certificates of Insurance shall reference the contract number, name of the school or agency submitting the certificate, and the location of the school or agency submitting the certificate on the certificate.

If the LEA or CONTRACTOR determines that changes in insurance coverage obligations under this section is necessary, either party may reopen negotiations to modify the insurance obligations.

INSURANCE REQUIREMENTS FOR NONPUBLIC SCHOOLS AFFILIATED WITH RESIDENTIAL TREATMENT FACILITY ("RTC")

When CONTRACTOR is a nonpublic school affiliated with a residential treatment center (NPS/RTC), the following insurance policies are required:

A. **Commercial General Liability** coverage of **$3,000,000** per **Occurrence** and **$6,000,000 in General Aggregate**. The policy shall be endorsed to name the LEA and the Board of Education as named additional insured and shall provide specifically that any insurance carried by the LEA which may be applicable to any claims or loss shall be deemed excess and the RTC's insurance primary despite any conflicting provisions in the RTC's policy. Coverage shall be maintained with no Self-Insured Retention above $100,000 without the prior written approval of the LEA.

B. **Workers' Compensation Insurance** in accordance with provisions of the California Labor Code adequate to protect the RTC from claims that may arise from its operations pursuant to the Workers' Compensation Act (Statutory Coverage). The Workers' Compensation Insurance coverage must also include Employers Liability coverage with limits of **$1,000,000/$1,000,000/$1,000,000**.

C. **Commercial Auto Liability** coverage with limits of **$1,000,000** Combined Single Limit per Occurrence if the RTC does not operate a student bus service. If the RTC provides student bus services, the required coverage limit is $5,000,000 Combined Single Limit per Occurrence.

D. **Fidelity Bond or Crime Coverage** shall be maintained by the RTC to cover all employees who process or otherwise have responsibility for RTC funds, supplies, equipment or other assets. Minimum amount of coverage shall be **$250,000** per occurrence, with no self-insured retention.

E. **Professional Liability/Errors & Omissions/Malpractice** coverage with minimum limits of **$3,000,000** per occurrence and **$6,000,000** general aggregate.

F. **Sexual Molestation and Abuse Coverage**, unless that coverage is afforded elsewhere in the Commercial General Liability or Professional liability policy by endorsement, with minimum limits of **$3,000,000** per occurrence and **$6,000,000** general aggregate.

If LEA or CONTRACTOR determines that a change in insurance coverage obligations under this section is necessary, either party may reopen negotiations to modify the insurance obligations.

16. CHANGE OF RESIDENCE

CONTRACTOR shall notify parents in writing of their obligation to notify CONTRACTOR of changes in pupil's residence. CONTRACTOR shall notify LEA in writing of pupil and/or parent's change of residence within five (5) school days after CONTRACTOR becomes aware of said change.

If the student's new residence is located within an area outside of LEA's service boundaries and CONTRACTOR fails to follow the procedures specified in this provision, LEA shall not be responsible for the costs of services delivered after the student's change of residence if CONTRACTOR had knowledge of the student's change of residence.

CONTRACTOR shall notify LEA immediately (within 24 hours) when the CONTRACTOR becomes aware of an emergency change of placement (e.g. hospitalization, juvenile hall, shelter, etc.)

17. LCI CONTRACTOR

If CONTRACTOR is also a licensed childcare institution (LCI), Contractor shall be licensed by the state, or other public agency having delegated authority by contract with the state to license, to provide nonmedical care to children, including, but not limited to, individuals with exceptional needs. The LCI must also comply with all licensing requirements relevant to the protection of the child, and have a special permit, if necessary, to meet the needs of each child so placed. If the Contractor operates a program outside of the state, Contractor must obtain all required licenses from the appropriate licensing agency in both California and in the state where the LCI is located. CONTRACTOR shall adhere to the legal requirement regarding educational placements for LCI pupils as stated in Education Code Section 56366.9. A LCI shall not require that a pupil be placed in its NPS, or receive NPA services from the LCI provider as a condition of being placed in its residential facility. In providing appropriate programs to individuals with exceptional needs residing in LCIs or foster family homes, the LEA shall first consider services in programs operated by public agencies. If those programs are not appropriate, special education and related services shall be provided by contract with an NPS.

The LEA which placed a student living in an LCI or foster family home in an NPS shall conduct an annual evaluation, as part of IEP process, to determine whether the placement is in the least restrictive environment (LRE). The CONTRACTOR shall report to the LEA that made the placement, on a quarterly or trimester basis, as appropriate, the educational progress demonstrated by the pupil toward the attainment of goals and objectives specified in the IEP.

The LEA is not responsible for the costs associated with NPS placements and related services until the date on which an IEP/IFSP meeting is convened pursuant to law during which the IEP/IFSP team determines that a NPS placement and related services are appropriate, and the IEP/IFSP is signed by all necessary parties, including the LEA pupil's parent or another adult with educational decision-making rights.

Any educational funds received from an LEA for the educational costs of pupils placed in an NPS shall be used solely for those purposes and not for the costs of the residential programs.

18. CERTIFICATION AND WAIVER

When a CONTRACTOR seeks renewal of certification, the CONTRACTOR shall provide the LEA, in which the applicant is located, written notification of its intent to seek renewal.

CONTRACTOR shall be certified by the California Department of Education (hereinafter referred to as "CDE") as a nonpublic, nonsectarian school/agency. All nonpublic school and nonpublic agency services shall be provided consistent with the area of certification specified by CDE Certification and as defined in California Education Code, section 56366 et seq. and within the professional scope of practice of each provider's license, certification and/or credential. A current copy of CONTRACTOR's nonpublic school/agency certification or a waiver of such certification issued by the CDE pursuant to Education Code section 56366.2 must be provided to LEA on or before the date this contract is executed by CONTRACTOR. This Master Contract shall be null and void if such certification or waiver is expired, revoked, rescinded, or otherwise nullified during the effective period of this Master Contract. Total student enrollment shall be limited to capacity as stated on CDE certification.

In addition to meeting the certification requirements of the State of California, Contractor that operates a program outside of this state shall be certified or licensed by that state to provide, respectively, special education and related services to pupils under the federal Individuals with Disabilities Act (20 U.S.C. Sec. 1400 et seq.).

19. FACILITIES MODIFICATION

CONTRACTOR shall provide special education and/or related services to students in facilities that comply with all applicable federal, state, and local laws, regulations, and ordinances related, but not limited to: disability access; fire, health, sanitation, and building standards and safety; fire warning systems; zoning permits; and occupancy capacity. When CONTRACTOR is a nonpublic school, CONTRACTOR shall conduct fire drills as required by Title 5 California Code of Regulations, Section 550, and California Education Code, section 32001. CONTRACTOR shall be responsible for any structural changes and/or modifications to CONTRACTOR's facilities as required complying with applicable federal, state, and local laws, regulations, and ordinances.

20. RENEWAL

LEA/CONTRACTOR may, but is not required to, renew this Master Contract in subsequent Contract years.

21. ENTIRE AGREEMENT

This Contract and any exhibits or attachments hereto constitute the entire Contract between LEA and CONTRACTOR and supersedes any prior or contemporaneous understanding or contract with respect to the services contemplated. This Contract binds the heirs, successors, assignees, agents, and representatives of CONTRACTOR.

22. SEVERABILITY CLAUSE

If any provision of this Contract is held in whole or in part to be unenforceable for any reason, the remainder of that provision and of the entire Contract shall be severable and remain in effect.

23. AUTHORIZED REPRESENTATIVE

Authorization to enter into this agreement on behalf of the LEA is strictly limited to the LEA Governing Board/Superintendent/designee as the sole individual who has the capacity to act as a representative on behalf of the school district.

III. EDUCATIONAL PROGRAMS

24. FREE AND APPROPRIATE PUBLIC EDUCATION

Unless otherwise agreed to, in writing, by LEA and CONTRACTOR, CONTRACTOR shall not accept payment from a parent for, or provide any services outside of those identified in a particular pupil's ISA. This clause shall not apply to the ability of a CONTRACTOR to accept payment from parents for additional services funded solely by parents' private insurance, so long as LEA and CONTRACTOR agree in writing to this arrangement, and so long as CONTRACTOR ensures that parents' payments are being made from a private insurance source only. Further, this clause is not intended to affect a CONTRACTOR'S ability to accept private payment for a pupil not being served under an ISA by that CONTRACTOR.
Unless otherwise agreed to by LEA and CONTRACTOR, CONTRACTOR shall be responsible for the provision of all programmatic supplies, equipment, or facilities for a pupil as required by his or her IEP/IFSP. No charge of any kind to parents shall be made by CONTRACTOR for special education and/or related services specified on the student's IEP/IFSP, including screening or interviews which occur prior to or as a condition of the student's enrollment under the terms of this Contract, except as specified in writing signed by all relevant parties and attached to the student's ISA. This provision does not apply to voluntary activities unnecessary to provide the student with a free appropriate public education conducted after written notification to parents as to the cost and the voluntary nature of the activity. Unless the activity takes place during a school vacation or holiday, students not participating in such activities shall continue to receive special education and/or related services as set forth in their IEP/IFSPs. CONTRACTOR shall guarantee that all charges to the LEA are consistent, justified, and based on standards applied to all students enrolled and attending the NPS/NPA.

If an individual's IEP/IFSP requires specialized low incidence equipment based on their qualifying disability, the specialized equipment and/or supplies shall be provided by the LEA unless otherwise specified in the ISA. The LEA shall provide the low incidence equipment and/or supplies identified in the pupil's IEP/IFSP, unless the CONTRACTOR specifically agrees in the ISA to provide them. Supplies and/or equipment purchased and/or provided by the SELPA/LEA remain the property of the SELPA/LEA, and supplies and/or equipment purchased by the CONTRACTOR remain the property of the CONTRACTOR.

If the IEP team determines that the individual with an IEP/IFSP requires Assistive Technology and/or Augmentative Alternative Communication (AT/AAC) devices, equipment and/or materials, the LEA shall provide such items unless the LEA and the NPS/NPA agree otherwise. AT and/or AAC devices, equipment and/or materials purchased by the LEA remain the property of the LEA.

In the event that a foster youth may be placed in a NPS, the contractor and LEA will work collaboratively with the LEA Foster Youth Liaison to ensure and facilitate proper school placement enrollment, transfer of credits, records, grades and checkout from school. (EC 48853.5 (e) (1) and AB490) Whenever a change in foster child's residence occurs, the foster child has a right to remain in the school of origin to the end of the highest grade maintained at that school. Decisions regarding placement are IEP decisions which should include participation of LEA Foster Youth Liaison as appropriate.

25. COPY OF IEP/IFSP AND PROGRAM OF INSTRUCTION

Upon referral of a pupil to CONTRACTOR the LEA shall provide CONTRACTOR with a copy of that pupil's IEP/IFSP, as well as available assessment information, and facilitate, if requested, an observation of the pupil. CONTRACTOR retains the right to decline enrollment of any pupil. CONTRACTOR shall notify the LEA of its decision to decline enrollment. CONTRACTOR will provide pupil a program of instruction that is consistent with each pupil's IEP/IFSP as specified in the ISA's for NPS/NPA. The general program of instruction provided to pupils under the ISA for NPS/NPA shall be responsive to LEA's required sequence of courses and related curriculum for pupils and be responsive to the IEP goals and objectives assigned by NPS/NPA. The program of instruction shall be described in writing and a copy provided to LEA prior to the effective date of this Contract. Designated instruction and related services will only be provided during the period of the pupil's regular or extended school year program, or both, and shall occur at the school site, unless otherwise specified by the pupil's IEP/IFSP. CONTRACTOR shall ensure that Individual Transition Plans (ITP's) are completed for all pupils at the age of 16 years and older. CONTRACTOR agrees to use SELPA IEP/IFSP/ITP forms and SELPA service logs.

26. SERVICE/PROGRAM MONITORING

CONTRACTOR shall allow access by LEA to its facilities for periodic monitoring of each LEA student's instructional program and shall participate in the formal review of each student's progress. LEA shall have access to observe each LEA student at work, observe the instructional setting, interview CONTRACTOR, and review each LEA student's records and progress. Such access shall include unannounced monitoring visits. When making site visits, LEA shall initially report to CONTRACTOR's site administrative office. If CONTRACTOR is also a LCI (and/or NPS/RTC), the CDE shall annually evaluate whether CONTRACTOR is in compliance with Education Code section 56366.9 and Health and Safety Code section 1501.1(b).

CONTRACTOR shall participate in the LEA/CDE On-site and Self Review and if applicable, CDE-led district reviews. This review will address programmatic aspects of the Nonpublic School/Agency, compliance with relevant state and federal regulations, and Master Contract compliance.

27. STUDENT DISCIPLINE/ SUSPENSION AND EXPULSION

Suspensions and expulsions of pupils by CONTRACTOR shall be consistent with the provisions of the California Education Code and California Code of Regulations (EC 48900 et seq. and 48915.5 et seq.) CONTRACTOR shall have a written policy regarding suspension and expulsion procedures. CONTRACTOR shall notify within five (5) days and provide written documentation to LEA each time a pupil is suspended, including the reason for said suspension. Written documentation shall include, but not be limited to, pupil's name, date, time, offense, rationale for disciplinary action taken, and an attached copy of all applicable Behavior Plans (i.e. goals, BIP's, etc.). CONTRACTOR agrees to contact LEA to schedule a manifestation determination at an IEP meeting no later than the 10th day of suspension. CONTRACTOR shall collaborate with LEA representatives at an IEP team meeting where the manifestation determination will be made.

28. GRADUATION REQUIREMENTS

If the pupil is enrolled in a NPS and is of secondary school age, LEA will list the course requirements to be satisfied by the CONTRACTOR leading toward graduation or completion of diploma requirements and specify levels of proficiency in basic skills as measured by LEA approved proficiency tests. Standardized tests including state mandated assessments shall be administered pursuant to LEA state and federal guidelines. For students in grades nine through twelve, inclusive, LEA will provide a current transcript and a specific list of courses required. At the close of each semester CONTRACTOR shall prepare transcripts and submit them to the pupil's district of residence for evaluation of progress toward completion of diploma requirements.

At the close of each semester, or upon student transfer for students in grades nine (9) through twelve (12), inclusive, CONTRACTOR shall update transcripts and submit them upon request to the student's school of residence. Six months prior to graduation, CONTRACTOR shall notify LEA of the transition to high school for graduation.

FOSTER YOUTH - AB 167 – Assembly Bill 167 refers to California legislation that amended Ed Code to exempt pupils in foster care from school district graduation requirements that exceed state graduation requirements if the pupil transfers to the district, or transfers from one high school to another within a district in the 11th or 12th grade if the pupil would not be reasonably able to complete the additional district requirements. AB 167 requires school districts to provide notice to foster youth exempted from additional district requirements if failure to satisfy such local requirements will affect the pupil's ability to gain admission to a postsecondary educational institution.

When a student exits from special education, as a result of earning a diploma, aging out or returning back to LEA, the CONTRACTOR shall provide to the LEA a summary of student's academic achievement and functional performance along with recommendations how to assist the student in meeting their post-secondary goals.

For purposes of this provision if the needs of the students are such that the IEP/IFSP team determines that a functional skills curriculum is most appropriate, the educational program shall be designed to be in alignment with the essential state standards and will result in the awarding of a certificate of completion either by the LEA or the NPS as determined by mutual agreement.

When CONTRACTOR is a nonpublic school, CONTRACTOR's general program of instruction shall: (a) be consistent with LEA's and CDE's standards regarding the particular course of study and curriculum; (b) include curriculum that addresses mathematics, literacy and the use of educational, assistive technology and transition services; and (c) provide the services as specified in the LEA student's IEP and ISA. LEA students shall have access to: (a) State Board of Education (SBE) - adopted standards-based, core curriculum and the same instructional materials for kindergarten and grades 1 to 8, inclusive; and provide standards –aligned core curriculum and instructional materials for grades 9 to 12, inclusive, used by a local education agency (LEA), that contracts with the nonpublic school; (b) college preparation courses; (c) extracurricular activities, such as art, sports, music and academic clubs; (d) career preparation and vocational training, consistent with transition plans that are in compliance with state and federal law and; (e) supplemental assistance, including individual academic tutoring, psychological counseling, and career and college counseling. CONTRACTOR's general program of instruction shall be described in writing and available to the SELPA prior to the effective date of this Master Contract.

29. REASONABLE VISITATION

CONTRACTOR will provide for reasonable parental access to LEA pupils and all facilities including, but not limited to: the instructional setting, recreational activity areas, meeting rooms and LEA pupil living quarters, for those parents whose children reside in the living quarters. CONTRACTOR shall ensure that parental visits are in agreement with court order, if any.

CONTRACTORs operating programs with residential components shall cooperate with parents reasonable requests for student visits in their home during, but not limited to, holidays and weekends. If the CONTRACTOR has knowledge that permission is required for parental visits, CONTRACTOR shall require the parents to provide written authorization from the designated individual or agency authorized to grant permission for the parental visit.

30. WITHDRAWAL BY PARENT

CONTRACTOR shall immediately report by telephone to LEA if a pupil is withdrawn from school by the parent. CONTRACTOR shall confirm such telephone call in writing immediately or no later than five (5) school days.

31. MEDICATIONS

Unless otherwise set forth in the student's ISP, CONTRACTOR shall comply with the requirements of California Education Code section 49423 when CONTRACTOR serves a LEA student that is required to take prescription and/or over-the-counter medication during the school day. CONTRACTOR may designate personnel to assist the LEA student with the administration of such medication after the LEA student's parent(s) provides to CONTRACTOR: (a) a written statement from a physician detailing the type, administration method, amount, and time schedules by which such medication shall be taken; and (b) a written statement from the LEA student's parent(s) granting CONTRACTOR permission to administer medication(s) as specified in the physician's statement. CONTRACTOR shall maintain, and provide to LEA upon request, copies of such written statements. CONTRACTOR shall maintain a written log for each LEA student to whom medication is administered. Such written log shall specify the student's name; the type of medication; the date, time, and amount of each administration; and the name of CONTRACTOR's employee who administered the medication. CONTRACTOR maintains full responsibility for assuring appropriate staff training in the administration of such medication consistent with physician's written orders. Any change in medication type, administration method, amount or schedule must be authorized by both a licensed physician and parent.

32. ACCIDENT/INCIDENT REPORT

Contractor agrees to submit a written accident report to LEA within 24 hours of incident when a pupil has suffered an injury requiring medical attention provided by a medical practitioner resulting from physical restraint, injured another individual, is named as a suspected perpetrator or victim in an official sexual harassment complaint, or has been involved in an activity requiring notification of law enforcement or emergency personnel.

33. STUDENT RECORDS

CONTRACTOR agrees to keep a current listing of names and positions of employees who have access to confidential records. All student records shall be kept in a secure location preventing access by unauthorized individuals. CONTRACTOR will maintain access log delineating date, time, agency, and identity of individual for any authorized person accessing student records whom is not in the direct employ of the CONTRACTOR. SubCONTRACTORs shall not be considered in the direct employ of the CONTRACTOR for the purposes of accessing student records. Nevertheless, pursuant to EC 49076(b)(6), CONTRACTOR may provide subCONTRACTORs access to pupil records when the subCONTRACTOR provides services within CONTRACTOR's educational institution and has a legitimate interest in the information contained in the pupil records. CONTRACTOR also agrees to comply with the parental right to request records and the parental right to inspect a student's file as defined in the federal law under Family Educational Rights and Privacy Act of 1974 ("FERPA") and the California Educational Code § 49000 et seq. and § 56000 et seq.

34. ACCESS TO RECORDS

CONTRACTOR shall insure that records of access are maintained for individual files. These records shall include the name of the party, date, and purpose of access.

35. PROGRESS REPORTS

Progress reports relating to goals and objectives in a pupil's IEP/IFSP and other data required for review shall be sent by CONTRACTOR to LEA and parent on a quarterly basis or trimester as appropriate. Additional reporting periods may be identified by the IEP/IFSP team. Upon request, an updated report shall be provided if there is no current progress report, whenever a pupil is scheduled for an IEP/IFSP review by LEA's Individualized Education Program team or when a pupil's enrollment is terminated. CONTRACTOR shall establish grading policies to be followed unless otherwise specified in student's IEP/IFSP.

36. FORWARDING OF RECORDS

CONTRACTOR agrees, in the event of school or agency closure, to forward pupil records immediately but no later than seven (7) days to LEA. These shall include, but are not limited to, current transcripts, IEP/IFSP reports and results of proficiency testing and State Assessments.

37. IEP/IFSP MEETINGS

The CONTRACTOR is responsible for providing the student's special education teacher and the related services or personnel appropriate to represent the related services at required IEP/IFSP/ITP meetings. These meetings will be held at the NPS unless otherwise approved by the LEA and CONTRACTOR. Except as otherwise provided in the Master Contract, CONTRACTOR and LEA shall participate in all IEP team meetings regarding LEA students for whom ISAs have been or may be executed. The LEA is responsible for scheduling and issuing the Notice of Meeting for all IEP team meetings. Parents or guardians shall be notified of the IEP meeting early enough to ensure an opportunity to attend. Proper notice of meeting shall include the purpose, time and location and who shall be in attendance. Every effort shall be made to schedule the IEP/IFSP meetings at a time that is mutually convenient to parents, LEA, and CONTRACTOR's staff. Parents or guardians shall be informed in the notice of the right to bring other people to the meeting who has knowledge or special expertise of the pupil. The CONTRACTOR shall ensure private and confidential communication between the pupil and members of the IEP team, at the pupil's discretion. Transition services (designed with a results oriented process focused on improving the academic functional achievement of the child) must be addressed in the IEP for the student no later than in the year in which they turn 16 years of age. Extended school year shall be discussed as part of the IEP meeting with an emphasis on student need.

It is understood that the CONTRACTOR shall utilize the approved electronic IEP system of the LEA for all IEP planning and progress reporting at the LEA's discretion. The SELPA shall provide training for any NPS and NPA to assure access to the approved system. The NPS and/or NPA shall maintain confidentiality of all IEP data on the approved system and shall protect the password requirements of the system. When a student dis-enrolls from the NPS, the NPS/NPA shall discontinue use of the approved system for that student.

38. STATEWIDE MANDATED ASSESSMENT

CONTRACTOR shall administer all statewide achievement tests and any other state assessment as mandated by LEA pursuant to LEA and/or CDE guidelines.

Each student, subject to the alternative accountability system pursuant to EC section 52052, placed in an NPS by an LEA, shall be tested by qualified staff of the NPS in accordance with that accountability program.

The CONTRACTOR agrees to conform to the approved testing calendar window. The LEA shall provide opportunities for training as necessary and requested by the contractor. The LEA shall provide all appropriate test materials to the NPS for administration of the tests.

NOTE: CONTRACTOR shall determine its state-mandated testing period subject to EC section 60640. CONTRACTOR shall notify the LEA of a pupil enrolled in the school of its testing period. CONTRACTOR's staff, who shall administer the assessments, shall attend the regular testing training sessions provided by the LEA. If CONTRACTOR's staff have received training from one LEA or similar agency, that training will be sufficient for all LEAs that send pupils to the CONTRACTOR.

39. SCHOOL ACCOUNTABILITY REPORT CARD

The NPS shall prepare a school accountability report card in accordance with EC section 33126.

40. CLASS SIZE-NONPUBLIC SCHOOL

When Contractor is a nonpublic school, CONTRACTOR shall ensure that class size shall not exceed a ratio of one teacher per twelve (12) students. Upon written approval by an authorized LEA representative, class size may be temporarily increased by a ratio of one (1) teacher to fourteen (14) students when necessary during the regular or extended school year to provide services to students with disabilities.

In the event a nonpublic school is unable to fill a vacant teaching position responsible for direct instruction to students, and the vacancy has direct impact on the California Department of Education Certification of that school, the nonpublic school shall develop a plan to assure appropriate coverage of students by first utilizing existing certificated staff. The nonpublic school and the LEA may agree to one thirty (30) school day period per contract year where class size may be increased to assure coverage by an appropriately credentialed teacher. Such an agreement shall be in writing and signed by both parties. This provision does not apply to a nonpublic agency.

CONTRACTOR providing special education instruction for individuals with exceptional needs between the ages of three and five years, inclusive, shall also comply with the appropriate instructional adult to child ratios pursuant to California Education Code sections 56440 et seq.

41. RELATED SERVICES

Unless otherwise specified in the LEA student's IEP/IFSP and/or ISA, CONTRACTOR shall provide related services to LEA students on only those days that the LEA student's school of attendance is in session and the LEA student is scheduled to attend school. If pupil misses a related service session due to staff absence, that session shall be provided within thirty (30) school days.

42. DUE PROCESS AND COMPLAINTS

CONTRACTOR shall fully participate in due process proceedings before OAH, including mediations and hearings, as requested by LEA. CONTRACTOR shall also fully participate in the investigation and provision of documentation related to any complaint filed with the State of California, the Office of Civil Rights, or any other state and/or federal governmental body or agency.

LEA shall inform parent(s) of their due process rights upon 1) each notification of an IEP/IFSP meeting; 2) reevaluation of their child; 3) registration of a complaint or a request for a due process hearing; and (4) the commencement of an expulsion proceeding. Upon request by LEA, CONTRACTOR shall assist the LEA in meeting its due process notification obligations.

43. STATE MEAL MANDATE

CONTRACTOR shall assist LEA to meet the LEA's responsibilities to satisfy the State Meal Mandate under EC 49530, 49530.5 and 49550.

44. HEALTH AND SAFETY

CONTRACTOR shall require all regular and substitute employees, volunteers, and any other individual who may come into contact with a student on school grounds to provide verification of having been tested for tuberculosis and cleared to work with minors as evidenced by a state licensed medical doctor's signature. CONTRACTOR shall keep a copy of said information in the employee file or volunteer file.

CONTRACTOR agrees all employees, subCONTRACTORs, volunteers, and any other individual who may come into contact with a student on school grounds to follow universal health care precautions when providing medical treatment or assistance to a student.

CONTRACTOR further agrees to provide annual training to all employees, volunteers, and any other individual who may come into contact with a student on school grounds regarding universal health care precautions and to post required notices in areas designated by the California Health & Safety Code.

45. BEHAVIOR MANAGEMENT/ POSITIVE BEHAVIOR SUPPORT

CONTRACTOR shall comply with the requirements of Education Code section 56521.1 and 56521.2 regarding positive behavior interventions. LEA students who exhibit serious behavioral challenges must receive timely and appropriate assessments and positive supports and interventions in accordance with the federal law and it's implementing regulations. If the individualized education program (IEP) team determines that a student's behavior impedes his or her learning or the learning of others, the IEP team is required to consider the use of positive behavioral interventions and supports, and other strategies, to address that behavior, consistent with Section 1414(d)(3)(B)(i) and (d)(4) of Title 20 of the United States Code and associated federal regulations. This could mean that instead of developing a BIP, the IEP team may conclude it is sufficient to address the student's behavioral problems through the development of behavioral goals and behavioral interventions to support those goals.

CONTRACTOR shall maintain a written policy pursuant to California Education Code section 56521.1 regarding emergency interventions and Behavioral Emergency Reports (BER). Evidence of such training shall be maintained by CONTRACTOR and made available upon written request from the LEA or SELPA. CONTRACTOR shall ensure that all of its staff members are trained annually in crisis intervention and emergency procedures as related to appropriate behavior management strategies. Training includes certification with a crisis intervention program.

Pursuant to Education Code section 56521.1 emergency interventions shall not be used as a substitute for a Behavior Intervention Plan (BIP), and instead may only be used to control behavior that is unpredictable and spontaneous. For an emergency intervention to be used, the behavior must pose a clear and present danger of serious physical harm to the individual with exceptional needs, or others. Before emergency interventions may be applied, the behavior must be of the kind that cannot be immediately prevented by a response less restrictive than the temporary application of a technique used to contain the behavior. Emergency intervention shall not be employed longer than necessary to contain the behavior. If a situation requires prolonged use of emergency intervention, staff must seek assistance from the school site administrator or a law enforcement agency.

CONTRACTOR shall complete a BER when an emergency occurs that is defined as a serious, dangerous behavior that staff has determined to present a clear and present danger to others. It requires a non-violent physical intervention to protect the safety of student, self, or others and a physical intervention has been used; or a physical intervention has not been used, but an injury or serious property damage has occurred. Personal Safety Techniques may or may not have been used. Emergencies ***require*** a BER form be completed and submitted to the LEA within twenty- four (24) hours for administrative action.

CONTRACTOR shall notify Parent within twenty-four (24) hours. If the student does not have a Behavior Intervention Plan (BIP) or Positive Behavior Intervention Plan (PBIP), an IEP team shall schedule a meeting to review the BER, determine if there is a need for a functional behavioral assessment, and determine an interim plan. If the student already has a BIP, the IEP team shall review and modify the BIP if a new serious behavior has been exhibited or if the IEP team finds it necessary to modify the existing BIP. CONTRACTOR shall schedule with LEA an IEP meeting within two (2) days. A copy of the BER shall be provided to the LEA.

Pursuant to Education Code Section 56521.2, CONTRACTOR shall not utilize, authorize, order, consent to, or pay for any of the following prohibited interventions, or any other intervention similar to or like the following:
(a) any intervention that is designed to, or likely to, cause physical pain;

(b) releasing noxious, toxic, or otherwise unpleasant sprays, mists, or substances in proximity to the student's face;
(c) any intervention which denies adequate sleep, food, water, shelter, bedding, physical comfort, or access to bathroom facilities;
(d) any intervention which is designed to subject, used to subject, or likely to subject the student to verbal abuse, ridicule, or humiliation, or which can be expected to cause excessive emotional trauma;
(e) restrictive interventions which employ a device, material, or objects that simultaneously immobilize all four extremities, including the procedure known as prone containment, except that prone containment or similar techniques may be used as a short term emergency intervention by CONTRACTOR's trained and qualified personnel as allowable by applicable law and regulations;
(f) locked seclusion except as allowable by applicable law and regulations;
(g) any intervention that precludes adequate supervision of individual; and
(h) any intervention which deprives the student of one or more of his or her senses, consistent with Section 141(d)(3)(B)(i) and (d)(4) of Title 20 of the United States Code and associated federal regulations. (Added by Stats. 2013, Ch. 48, Sec. 43. Effective July 1, 2013).

46. STUDENT RETURN TO DISTRICT

CONTRACTOR and LEA shall assist all SELPA and LEA policies and procedures that support Least Restrictive Environment ("LRE") options and/or Dual Enrollment options for students to have access to the general curriculum and to be educated with their nondisabled peers to the maximum extent appropriate.

When an IEP team has determined that a student should be transitioned into the public school setting, CONTRACTOR shall assist the LEA in implementing the IEP team's recommendations activities to support the transition. This may include, but not be limited to, facilitation of dual enrollment, scheduling, transportation arrangements and other student supports. These provisions shall also apply to mediated agreements and OAH decisions.

47. EDUCATIONAL REPRESENTATIVE/SURROGATE PARENT

CONTRACTOR agrees to notify the SELPA office within seven (7) days when the CONTRACTOR becomes aware of the need for the appointment of a surrogate parent. LEA agrees to notify the CONTRACTOR within seven (7) days if an Educational Representative has been appointed for the student by court order. A surrogate parent is necessary only when an Educational Representative has not been found/ appointed by the Court. CONTRACTOR agrees that the LEA will select and appoint said surrogate. CONTRACTOR agrees that the SELPA will train the surrogate. A surrogate parent must have no interests that conflict with the interests of the child, have knowledge and skills that ensure adequate representation of the child, and may not be an employee of a public or private agency involved in the education and/or care of the child. The surrogate parent will sign an affidavit stating that no such conflict of interest exists and this affidavit will be kept on file by the SELPA.

48. GRADE LEVEL ASSIGNMENT

All students enrolled in NPS shall be assigned a grade level commensurate with their chronological age if it is not designated on the IEP prior to admission. If student is participating in a functional skill development program, the grade assigned shall be determined by the following formula (age – 5 = grade level).

49. MIDDLE SCHOOL/ HIGH SCHOOL TRANSITION

When student is to transition to a high school district, the LEA/CONTRACTOR shall follow the SELPA policy as outlined in the Local Plan as it relates to fiscal responsibility. All services provided in ESY are the fiscal responsibility of the elementary district.

50. OVERSIGHT/ PLACEMENTS/ EDUCATIONAL PROGRESS

The LEA shall evaluate NPS placements through the IEP/IFSP process. Included in this evaluation, is a review of IEP stated goals and state assessment results in order to determine if student is making appropriate educational progress. The LEA shall consider whether or not the needs of the pupil require an NPS and whether changes to the IEP are necessary, including whether the pupil may be transitioned to a public school setting.

IV. PERSONNEL

51. CREDENTIALS AND LICENSES/FINGERPRINT CLEARANCE

A. The CONTRACTOR providing special education and designated instruction and services must utilize staff that holds a certificate, permit, or other document equivalent to that which staff in a public school are required to hold.

EC Section 56366.1(n) requires all teachers in nonpublic schools to meet the same credentialing requirements as those for public school teachers.

Only those nonpublic, nonsectarian schools or agencies located outside of California that employ staff that hold a current valid credential or license to render special education and related services as required by that state shall be eligible to be certified.

B. CONTRACTOR shall be responsible for verification of security clearance, credentials, and licenses held by its employees, agents and subCONTRACTORs. Education credentials shall be on file at the NPS/NPA office. A copy of the CDE Certification will be submitted with the contract to the LEA.

C. CONTRACTOR shall provide to LEA on annual basis copies of appropriate credentials and/or license(s) if change of staff occurs, which directly affects the pupils. CONTRACTOR shall notify LEA in writing within forty-five (45) days when personnel changes occur which may affect the provision of special education and/or related services to LEA students.

D. CONTRACTOR shall require all employees and all subCONTRACTORs to submit fingerprints consistent with California Education Code Section 44237. CONTRACTOR shall comply with the requirements of Education Code Section 45125.1 including, but not limited to: obtaining California Department of Justice (CDOJ) clearance for CONTRACTOR'S employees; prohibiting its employees from coming in contact with students until CDOJ clearance is ascertained: and certifying in writing and providing such certification to the LEA that none of its employees who may come in contact with students have been, convicted of a violent or serious felony. Nor will any person be employed who has been convicted of or entered a plea of nolo contender to charges of any sex offense as defined in Education Code § 44010 or to a felony that would disqualify that person from employment pursuant to EC 44237. The requirements of this section apply to all of contractors, subcontractors, in addition to employees.

E. This provision shall apply in all circumstances except those in which a written waiver has been granted by the State of California Board of Education with respect to state laws and regulations or by LEA with respect to its requirements.

52. TEACHER ABSENCE

When a classroom teacher/instructor is absent, CONTRACTOR shall employ a substitute teacher/instructor or provide coverage by an appropriately qualified and credentialed staff person. Coverage shall be provided in the classroom in place of the absent teacher for the entirety of the school day to provide instruction and fulfill other duties of the absent teacher. Upon written or oral request, CONTRACTOR shall provide to LEA documentation

of substitute coverage. Substitute teachers shall remain with their assigned class during all instructional time. In regard to NPA, when a related service provider is absent, CONTRACTOR shall employ a substitute service provider who is an appropriately credentialed staff person to provide service and fulfill other duties of the absent provider. The CONTRACTOR shall elect to reschedule the related service session consistent with Section 40 and 56 related services of this contract.

53. MANDATED REPORTING

CONTRACTOR hereby agrees to annually train all staff members, including volunteers, so that they are familiar with and agree to adhere to its own child and dependent adult abuse reporting obligations and procedures as specified in California Penal Code section 11164, et seq., and maintain documentation of such trainings. To protect the privacy rights of all parties involved (i.e. reporter, child and alleged abuser), reports will remain confidential as required by law and professional ethical mandates. The contractor shall acknowledge the legal requirements and maintain written documentation and verification of staff training and adherence to such reporting including timelines, which shall be submitted upon request to the SELPA and the LEA (EC § 44691). CONTRACTOR shall further ensure that its SUBCONTRACTORS are similarly trained.

54. REPORTING OF MISSING CHILDREN

CONTRACTOR assures the LEA that all staff members, including volunteers, is familiar with and agrees to adhere to requirements for reporting missing children as specified in California Education Code section 49370. A written statement acknowledging the legal requirements of such reporting and verification of staff adherence to such reporting shall be properly submitted to the LEA. The written statement shall be submitted as specified by the LEA.

55. SEXUAL HARRASSMENT

CONTRACTOR shall have policy procedures in place to immediately (within 24 hours) report any allegations of conduct that may constitute sexual harassment as per federal and state law. This reporting must include appropriate governmental, social service, and law enforcement agencies as well as the LEA. CONTRACTOR shall ensure that an immediate and comprehensive investigation is commenced and shall keep the LEA fully informed of the progress and result of such investigation. CONTRACTOR further agrees to provide annual training to all employees regarding regulations concerning sexual harassment and related procedures.

V. FINANCIAL SECTION

56. SCHOOL CALENDAR

A. The CONTRACTOR shall submit to LEA a school calendar with the total number of billable days not less than the number of school days prescribed by the State of California for the regular school year (RSY) days, plus extended school year (ESY) as determined by the IEP team. Unless otherwise stated in a particular Student's IEP, billable days shall include only those days that are included in the submitted and approved school calendar, or required by the IEP for each LEA student.

B. Services are not provided during winter break, spring break, intersessions, or the period between extended year and the fall semester.

C. LEA observes legal holidays including, but not limited to, the following: Independence Day, Labor Day, Veterans Day, Thanksgiving Day, Christmas Day, New Year's Day, Dr. Martin Luther King, Jr. Day, Lincoln's Birthday, Washington's Birthday, and Memorial Day, as specified in the LEA's official calendar.

57. ABSENCES-NONPUBLIC SCHOOL

No later than the fifth (5th) consecutive day of a pupil's absence, CONTRACTOR shall notify LEA of such absence in writing; unless a written time extension is granted by a LEA authorized representative. CONTRACTOR will maintain written records regarding all LEA pupils' absences. These records shall indicate school and/or residential absences, as appropriate.

In the event of an NPS school closure due to an emergency consistent with guidelines followed by LEAs under Education Code Section 41422, the NPS would be permitted to schedule a makeup day of service. The NPS would work collaboratively with LEAs and inform them of a date(s) during the current school calendar year on which the makeup day of service would occur.

The following shall apply in the event of a LEA or NPS school closure due to an emergency consistent with guidelines followed by LEAs under Education Code Section 41422:

a. If CONTRACTOR remains open during an emergency and serves students appropriately as delineated in the ISA, CONTRACTOR shall receive payment, regardless of whether a sending LEA is open or closed.

b. NPS School Closure- If the LEA is able to obtain alternative placement for the student, CONTRACTOR shall not receive payment for days the student is not in attendance due to school closure. If the LEA is unable to obtain an alternative placement, CONTRACTOR shall receive payment consistent with the signed ISA, as though the student were continuing in their regular attendance, until alternative placement can be found.

c. LEA and NPS School Closure- On days the LEA is funded, CONTRACTOR shall receive payment consistent with the signed ISA, until alternative placement can be found. If the LEA is able to obtain alternative placement for the student, CONTRACTOR shall not receive payment for days the student is not in attendance due to school closure.

When the emergency school closure is lifted, CONTRACTOR shall notify the LEAs it serves of any lost instructional minutes. CONTRACTOR and LEAs shall work collaboratively to determine the need for make-up days or service changes, and shall work together to amend IEP and ISA paperwork as appropriate.

58. ABSENCES-AGENCY ONLY

If the pupil is enrolled in a NPA, the LEA shall not be responsible for the payment of educational services when the pupil is absent. CONTRACTOR shall notify LEA in writing when the pupil absences exceed three (3) sessions. Make-up sessions may be scheduled but shall be limited 30 school days in which the original services were scheduled. Unless otherwise agreed, all related services shall be provided by CONTRACTOR during the LEA's regular school and extended school calendar days unless otherwise specified on the IEP/IFSP. A unit of service for payment purposes is one day of attendance. LEA shall not be responsible for payment of services for days on which a pupil's attendance does not qualify for reimbursement under state law.

59. ATTENDANCE RECORDS

A. CONTRACTOR shall keep daily attendance of each pupil and shall report attendance monthly to LEA. Such attendance shall be filed with monthly invoices to LEA within thirty (30) days of the close of the school month. Separate attendance register forms must be submitted for all related services as specified on the IEP/IFSP.

B. Original attendance registers submitted to the LEA with invoices for payment must be completed by the service provider whose signature must appear on said register. CONTRACTOR is responsible for verifying accuracy of said registers and for informing service providers of their personal responsibility for the completion and accuracy of said attendance registers.

C. CONTRACTOR shall permit LEA representatives, upon reasonable notice, to meet with staff of CONTRACTOR for the purpose of auditing attendance reporting.

D. The LEA is required to maintain school records in order to ensure the appropriate high school graduation credit is received by the pupil. The contract may allow for partial or full-time attendance at the NPS.

60. MAINTENANCE OF RECORDS

All records shall be maintained by CONTRACTOR as required by state and federal laws and regulations. Notwithstanding the foregoing sentence, CONTRACTOR shall maintain all records for at least five (5) years after the termination of this Master Contract. For purposes of this Master Contract, "records" shall include, but not be limited to student records as defined by California Education Code section 49061(b) including electronically stored information; cost data records as set forth in Title 5 of the California Code of Regulations section 3061; registers and roll books of teachers and/or daily service providers; daily service logs and notes and other documents used to record the provision of related services including supervision; daily service logs and notes used to record the provision of services provided through additional instructional assistants, NPA behavior intervention aides, and bus aides; absence verification records (parent/doctor notes, telephone logs, and related documents) if the CONTRACTOR is funded for excused absences, however, such records are not required if positive attendance is required; bus rosters; staff lists specifying credentials held and documents evidencing other staff qualifications, social security numbers, dates of hire, and dates of termination; records of employee training and certification, staff time sheets; non-paid staff and volunteer sign-in sheets; transportation and other related services subcontracts; school calendars; bell/class schedules when applicable; liability and worker's compensation insurance policies; state nonpublic school and/or agency certifications by-laws; lists of current board of directors/trustees, if incorporated; statement of income and expenses; general journals; cash receipts and disbursement books; general ledgers and supporting documents; documents evidencing financial expenditures; federal/state payroll quarterly reports; and bank statements and canceled checks or facsimile thereof.

CONTRACTOR shall maintain student records in a secure location to ensure confidentiality and prevent unauthorized access. CONTRACTOR shall maintain a current list of the names and positions of CONTRACTOR's employees who have access to confidential records. CONTRACTOR shall maintain an access log for each student's record which lists all persons, agencies, or organizations requesting or receiving information from the record. Such log shall be maintained as required by California Education Code section 49064 and include the name, title, agency/organization affiliation, and date/time of access for each individual requesting or receiving information from the student's record. Such log needs to record access to the student's records by: (a) the student's parent; (b) an individual to whom written consent has been executed by the student's parent; or (c) employees of LEA or CONTRACTOR having a legitimate educational interest in requesting or receiving information from the record. CONTRACTOR/LEA shall maintain copies of any written parental concerns granting access to student records. For purposes of this paragraph, "employees of LEA or CONTRACTOR" do not include subcontractors. CONTRACTOR shall grant parents access to student records, and comply with parents' requests for copies of student records, as required by state and federal laws and regulations. CONTRACTOR agrees, in the event of school or agency closure, to forward student records within ten (10) business days to LEA. These shall include, but not limited to, current transcripts, IEP/IFSPs, and reports. LEA and/or SELPA shall have access to and receive copies of any and all records upon request within five (5) business days

61. INSTRUCTIONAL DAY

The total number of instructional minutes per school year provided by CONTRACTOR shall be equivalent to the number of instructional minutes established for LEA schools unless otherwise specified within the student's IEP/IFSP or ISA, provided the ISA meets the minimum standards for instructional minutes as specified in Education Code. The number of instructional minutes shall be exclusive of recess, lunch, and passing time. For Pre-K through grade twelve (12), unless otherwise specified on the student's IEP/IFSP or ISA, the number of minimum instructional minutes shall be as follows: one hundred eighty (180) instructional minutes shall be

provided to pre & kindergarten students: two hundred thirty (230) instructional minutes shall be provided to students in grades one (1) through three (3): two hundred forty (240) instructional minutes shall be provided to students in grades four (4) through twelve (12).

62. PAYMENT UNIT-NONPUBLIC SCHOOL ONLY

A unit of service for payment purposes is one day of attendance or apportionment absence as defined in California Education Code 46010. LEA shall not be responsible for payment of services for days on which a pupil's attendance or absence does not qualify for Average Daily Attendance (ADA) reimbursement under state law. Per Diem rates for pupils whose IEP/IFSP's authorize less than full instructional day may be adjusted on a pro rata basis in accordance with the actual proportion of the school day serviced.

CONTRACTOR shall notify LEA when Medi-Cal is billed for educationally related expenses.

63. PAYMENT UNIT-NONPUBLIC AGENCY ONLY

A unit of service for payment purposes is one hour of related service. LEA shall not be responsible for payment of services for days on which a pupil's attendance does not qualify for Average Daily Attendance (ADA) reimbursement under state law.

64. RATE SCHEDULE

The CONTRACTOR agrees to apply the same rate for the entire fiscal year. Education service(s) and/or related services offered by CONTRACTOR in accordance with the IEP/IFSP and the charges for such service(s) during the term of this Agreement shall be as follows:

A. Nonpublic School only	Rate	Period (specify)
Basic Education Program	$ 251.00	Day

B. Designated Instruction and Service and/or Other Related Services

(1)	Adapted Physical Education	$	per	
(2)	Behavior Intervention Services		per	
(3)	Day Treatment Services		per	
(4)	Language/Speech Therapy/Group		per	
(5)	Language/Speech Therapy/Indiv.	$ 145.00	per	Hour
(6)	Mental Health		per	
	a) Counseling/Group	$ 75.00	per	Hour
	b) Counseling/Individual	$ 181.00	per	Hour
	c) Counseling and Guidance		per	
(7)	Occupational Therapy	$ 145.00	per	Hour
(8)	One-on-One Aide	$ 145.00	per	Day
(9)	Parent Counseling	$ 181.00	per	Hour
(10)	Physical Therapy		per	
(11)	Psychological Services		per	

(12)	Residential Treatment Services *Educationally Related Mental Health Board and Care*		per		
(13)	Social Work Services		per		
(14)	Transportation (if required)	$ 100.00	per	Day	
(15)	Other: Mental Health Assessment	$ 625.00	per	Assessment	
	Other: Consultation	$ 165.00	per	Hour	
	Other: In Service Training	$ 137.00	per	Hour	
	Other: Short Term Intensive Behavioral. Support	$ 42.00	per	Hour	

65. PAYMENT DEMAND

If a pupil is enrolled in an NPS/NPA, with the approval of the LEA, prior to agreement to a contract or ISA, the LEA shall issue a warrant for services for up to 90 days during which time the contract shall be consummated. If after 60 days the Master Contract has not been finalized, either party may appeal to the SPI. Within 30 days of receipt of appeal, the SPI, or designee, shall mediate the formulation of a contract which shall be binding upon both parties.

CONTRACTOR shall submit written demand monthly for payment on forms supplied by LEA. Said demand shall be made in the manner prescribed by the California Department of Education (CDE). CONTRACTOR shall submit said demands for payment for services rendered no later than thirty (30) days after the end of the attendance accounting period in which said services are actually rendered. CONTRACTOR shall maintain separate registers for the basic education program and each related service. Original attendance forms (i.e., roll books for the basic education program and service logs and notes for each related service) shall be completed by the service provider whose signature must appear on such forms and shall be available for review, inspection, or audit by LEA during the effective period of this Contract and for a period of five (5) years thereafter. CONTRACTOR shall verify the accuracy of reported attendance which is the basis of services being billed for payment and shall inform service providers of their personal responsibility for the services being reported as rendered.

Upon approval of said payment demand, LEA shall make payment in an amount equal to the number of creditable days of attendance multiplied by the agreed upon unit amount. Payment shall be made within forty-five (45) days of receipt by LEA of invoices properly submitted and approved by LEA.

If an LEA fails to send a warrant for the amount requested within forty five (45) days or failed to notify the contractor within 10 working days of any reason why the requested payment shall not be paid, the NPS may require the LEA to pay an additional amount of 1.5 % of the unpaid balance per month until full payment is made, such interest being calculated beginning forty-six (46) days from receipt of the invoice. The CONTRACTOR shall bill the LEA for the interest due.

66. RIGHT TO WITHHOLD

LEA has the right to withhold payment to CONTRACTOR when: (A) CONTRACTORS performance, in whole or in part, either has not been carried out or is insufficiently documented; including but not limited to, failure to implement the individualized education program and all attachments incorporated therein of the student(s) for whom this Contract is written; (B) CONTRACTOR has neglected, failed or refused to furnish information or to cooperate with the inspection, review or audit of its program, work or records; (C) education and/or related services is provided by LEA students by personnel who are not appropriately credentialed/licensed or otherwise qualified; (D) Contractor was overpaid by LEA as determined by inspection, review, and/or audit of its program, work, and /or records; (E) CONTRACTOR has failed to provide to LEA all documents prior to school closure;

(F) CONTRACTOR has failed to provide to LEA properly prepared invoices within thirty (30) days from the end of the attendance accounting period: or (G) CONTRACTOR has failed to provide to LEA proper rebilling invoices within (30) calendar days from the date that the original invoice was returned to CONTRACTOR. If LEA determines that cause exists to withhold payment to CONTRACTOR, within ten (10) working days written notice shall be sent that LEA is withholding payment to CONTRACTOR. Such notice shall specify the basis for LEA's withholding payment. Within fourteen (14) days from the date of receipt of such notice, CONTRACTOR shall take all necessary and appropriate action to correct the deficiencies which form the basis for LEA's withholding payment. Upon receipt of CONTRACTOR's written request showing good cause, LEA shall extend CONTRACTOR'S time to respond by an additional fourteen (14) days.

67. AUDIT EXCEPTIONS

CONTRACTOR agrees to accept responsibility for receiving, replying to, and/or complying with any audit exceptions by LEA or by appropriate state or federal audit agencies occurring as a result of CONTRACTOR's performance of this Contract. CONTRACTOR also agrees to pay to LEA within thirty (30) days of written demand by LEA the full amount of LEA'S liability to the state, if any, resulting from any audit exceptions, to the extent such are attributable to CONTRACTOR's failure to perform properly any of its obligations under this Contract.

Upon request of LEA and except as otherwise provided by law, CONTRACTOR shall provide LEA, a state agency, a federal agency, and/or an independent agency/firm contracted by LEA, access to the following records: registers and roll books of teachers; daily service logs and notes or other documents used to record the provision of related services: absence verification records (parent doctor notes, telephone logs, and related documents); staff lists specifying credentials held, business licenses held, documents evidencing other qualifications, social security numbers, dates of hire, and dates of termination: staff time sheets: non-paid staff and volunteer sign-in sheets; transportation and other related services subAgreements; school calendars; bell/class schedules; liability and workers compensation insurance policies; state nonpublic school and/or agency certifications; marketing materials; by-laws; lists of current board of directors/trustees, if incorporated; statements of income and expenses; general journals; cash receipts and disbursement books; general ledgers and supporting documents; federal/state payroll quarterly reports; and bank statements and cancelled checks. Such access may include inspections by LEA with prior notice.

All budgetary information and projections submitted by CONTRACTOR to LEA for purpose of contract negotiations shall be made available for the relevant Contract period being audited in order to assess the extent to which funds were expended consistent with such budgetary information.

CONTRACTOR shall comply with any requests resulting from an inspection, review, or audit by LEA, a state agency, a federal agency, and/or an independent agency/firm in a reasonable and timely manner. If an inspection, review, or audit by LEA, a state agency, a federal agency, and/or an independent agency/firm determines that CONTRACTOR owes LEA monies as a result of CONTRACTOR's over billing or failure to perform, in whole or in part, any of its obligations under this Contract, LEA shall provide to CONTRACTOR written notice demanding payment from CONTRACTOR and specifying the basis for such demand. Unless CONTRACTOR and LEA otherwise agree in writing, CONTRACTOR shall pay to LEA the full amount owed as result of CONTRACTOR's failure to perform, in whole or in part any of its obligations under this Contract as determined by an inspection, review, or audit by LEA, a state agency, a federal agency, and/or an independent agency/firm CONTRACTOR shall make such payment to LEA within thirty (30) days of receipt of LEA's written notice demanding payment.

68. INSPECTION AND AUDIT

CONTRACTOR shall provide access to or forward copies of any books, documents, papers, reports, records, or other matter relating to the Contract upon request by LEA except as otherwise provided by state and federal law. All budgetary information and projections submitted by CONTRACTOR to LEA for purpose of contract

negotiations shall be made available for the relevant Contract period being audited to assess the extent to which funds were expended consistent with said budgetary information. Fiscal records shall be maintained by CONTRACTOR for five (5) years and shall be available for audit. To the extent, the CONTRACTOR disagrees with the findings of the audit; the dispute process as authorized in section 9 shall be utilized for the resolution of the dispute.

69. PAYMENT FROM OUTSIDE AGENCIES

CONTRACTOR shall notify the LEA when Medi-Cal or any other agency is billed for the costs associated with the provision of special education and/or related services to LEA students. Upon request, CONTRACTOR shall provide to the LEA any and all documentation regarding reports, billing, and/or payment by Medi-Cal or any other agency for the costs associated with the provision of special education and/or related services to LEA students.

70. DEBARMENT CERTIFICATION

By signing this agreement, CONTRACTOR certifies that:

(a) CONTRACTOR and any of its shareholders, partners, or executive officers are not presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency, and

(b) Has/have not, within a three-year period preceding this contract, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a Federal, state or local government contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and are not presently indicted for, or otherwise criminally or civilly charged by a Government entity with, commission of any of these offenses.

The parties hereto have executed this Master Contract by and through their duly authorized agents or representatives.

CONTRACTOR	**LEA**
Beacon School Name of Nonpublic, Nonsectarian School/Agency	Alum Rock Union School District Authorized Representative/School District
Elizabeth Young Contracting Officer's Signature	 Signature
Elizabeth Young – Business Manager (Type) Name and Title	Hilaria Bauer, Ph.D., Superintendent (Type) Name and Title
08/07/2020 Date	 Date

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: **BUSINESS OFFICE** Contract No.: _____ Vendor No.: _____

Special Education (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☐ **MOU** (negotiated Agreement) ☑ **MASTER CONTRACT PARTICIPATION**

☐ Exhibit B & C (Fingerprinting and TB Test) ☑ Scope of Work/Proposal

Note: All Contracts over $5,000 require pre-approval.

** Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.*

Name of Individual/Company: Cinnamon Hill

Address: 770 East St. George Blvd. City: St. George State: UT Zip: 84770

Phone: (800) 782-2888 Email: _____

SSN: _____ Fed I.D. #: _____

CONTRACT TERM: start dates 07/01/2020 end date 8/30/2020

CONTRACTOR'S OBLIGATION:
Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Tuition related cost for residential , therapeutic program in Utah. This is for an 8th grade student that has recently moved into the Sheppard boundary. As part of student's current IEP, the student is placed in a residential, therapeutic program in Utah.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

X a. **Fee Rate:** $151.88 per day Not to Exceed _____ of services.

X b. **Other:** $6,430.71+ 9,112.80= 15,543.51

Describe other related costs: Room and board, speech, psychological services

BUDGET CODE: 08065000510057501120 _____
Accountant Initials

0000003801820 _____
Accountant Initials

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: _____ Date: 9/2/20

Director of Fiscal Services: _____ Date: _____

Asst. Supt., of Business Services: _____ Date: _____

Superintendent: _____ Date: _____

Cinnamon Hills

Our highly structured environment and well-trained staff work together to ensure student behaviors are under control and the educational environment remains a safe and productive place for learning. Each student is provided with the opportunity to engage effectively in the full scope of academic and therapeutic services that we offer. *Individual Students Behavior Support Goals* are designed to resolve ongoing obstacles specific to each student's needs. Our mission focuses on providing quality educational, therapeutic, and behavior support services for students at risk.

About Us

Cinnamon Hills is a residential treatment program for youth ages 12-17, whose emotional and behavioral characteristics are impeding their ability to succeed in their current educational setting. We have been treating youth for over 52 years and are located 109 miles north of Las Vegas, also an easy drive from California.

Therapy Support

Our *Educational Related Mental Health Services* are designed to help youth identify problem behaviors and attitudes that may be preventing their full potential from being realized. Our services help our students build inner strengths, identify and achieve short and long term goals, and visualize a healthy positive future upon returning home.

Students participate in weekly individual and family therapy, and attend group therapy three times a week. As part of our *Parent Seminars*, we provide on-site family therapy sessions for the preparation for reunification with their family, school, and the community. Our Licensed Master-level therapists are experienced in utilizing IEP goals to develop your student's treatment plan.

SANTA CLARA COUNTY SELPAs
INDIVIDUAL SERVICE AGREEMENT FOR NONPUBLIC, NONSECTARIAN SCHOOL/AGENCY SERVICES
(Education Code Sections 56365 et seq.)

This agreement is effective on July 1, 2020, or the date the student begins attending a Non-public school and/or receiving services from a Non-public agency. The agreement terminates at 5:00 p.m. on June 30, 20 21, unless terminated earlier in accordance with the terms of the Master Contract and applicable law.

NAME OF LOCAL EDUCATION AGENCY ("LEA"): Alum Rock Union Elementary School District

ADDRESS OF LOCAL EDUCATION AGENCY ("LEA"): 2930 Gay Ave., San Jose, CA 95127

NAME OF NONPUBLIC SCHOOL/AGENCY ("NPS/NPA"): Cinnamon Hills Youth Crisis Center

ADDRESS OF NONPUBLIC SCHOOL/AGENCY ("NPS/NPA"): 770 E St. George Blvd., St. George, UT 84770

PUPIL NAME: _____ _____ _____ GENDER: M F
(Last) (First) (Middle)

ADDRESS: _____ CITY: _____ STATE: _____ ZIP: _____

PUPIL TELEPHONE NUMBER: (___) _____ DOB: 06 / 26 / 2006

PUPIL ID/SS NUMBER: 1192075683 GRADE: 7

RESIDENTIAL SETTING: ☐ HOME ☐ JCS ☐ FOSTER/LCI NAME: _____ #_____

☒ OTHER Cinnamon Hills Youth Crisis Center

PARENT/GUARDIAN: _____ PHONE: (___) _____ (___) _____
(Residence) (Business)

ADDRESS: _____ CITY: _____ STATE: _____ ZIP: _____
(If different from pupil)

CONTRACT TERMS:

1. The Contractor will implement all elements of the Master Contract.
   Master Contract approved by the governing board on _____.

2. CONTRACTOR will provide written progress reports to the Office of Special Education before (***insert quarterly dates here***):
   10/15/20, 01/15/21, 04/15/21, 07/15/21 as shown on the Academic Calendar

3. Other Provisions (provide attachments as necessary): _____

INDIVIDUAL SERVICE AGREEMENT FOR NONPUBLIC, NONSECTARIAN SCHOOL/AGENCY SERVICES
(Education Code Sections 56365, et seq.)

PUPIL NAME: _____ _____ _____
(Last) (First) (Middle)

A. BASIC EDUCATION PROGRAM (Applies to NPS only)

Number of Days 24 x Per Diem $ 151.88 = TOTAL BASIC EDUCATION COSTS (A) $ 3,645.12
(Include extended school year days as appropriate to the pupil's IEP).

B. RELATED SERVICES:

	SERVICE PROVIDER			TOTAL MINUTES PER WEEK/ OR SESSION	COST PER SESSION					MAX TOTAL COST FOR CONTRACT PERIOD
	Freq	Duration	Start Date		HOURLY	DAILY	WEEKLY	INDIV	GROUP	
1 Adapted PE										
2 Aide Support										
3 Counseling										
4 OT										
5 PT										
6 Speech/Language	Week		07/14/2020	30 Minutes	$100			X		$300.00
7 Transportation										
8 Residential Board & Care	Daily		07/17/2020	1,440 Minutes		$331.92				$10,621.44
8 Other Psychological Services	Daily		07/17/2020	1,440 Minues		$74.24				$2,375.68
9. Other										
10 Other										

MAXIMUM TOTAL RELATED SERVICES COST (B) $ 13,297.12
MAXIMUM TOTAL BASIC EDUCATION AND RELATED SERVICES COSTS (A+B) $ 16,942.24

All terms and conditions of the current Master Contract for NPS/NPA Service(s) previously executed by the parties hereto, are incorporated herein by reference. The CONTRACTOR will implement the IEP in accordance with this ISA and the Master Contract, and will request an IEP review prior to any change in the service(s).

The parties hereto have executed this contract by and through their duly authorized agents or representatives. This contract is effective on July 1, 2020 and terminates at 5:00 p.m. on June 30, 2020 unless sooner terminated as provided herein.

LEA

_____
(Signature)

Hilaria Bauer, Ph.D. Superintendent
(Type or Print Name)

Alum Rock Union Elementary School District
(Name of LEA)

2930 Gay Ave.
(Mailing Address)

San Jose, CA 95127
(City, State, Zip Code)

CONTRACTOR

Tara Smith
(Signature)

Tara Smith, Business Manager
(Type or Print Name)

Cinnamon Hills Youth Crisis Center
(Name of NPS/NPA)

770 E St. George Blvd.
(Mailing Address)

St. George, UT 84770
(City, State, Zip Code)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Special Education (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: Anthony Colonna

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Sonja Biggs Educational Services, Inc.

Address: 7483 Dornoch Ct. City: Gilroy State: CA Zip: 95020

Phone: (650) 833-9766 Email Address: Sonja.biggs@sbesinc.com

SSN: _____ or Fed I.D. #: 47-5227770

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date August18, 2020 end date June 11, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Intervener is to provide information that an individual with deafblindness is unable to gather on his/her own. Ensuring the safety of the student. Intervener will provide flexibility of services (remote or virtual) during the 2020-2021 school year. Maximum hours: 7 hours per day.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

X a. **Fee Rate:** $8,971.30/mth per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

_____ b. **Other:** $_____ (describe rate agreement) _____

080-65112-0-5815-00-5750-1190-000000-380-6512

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
				89,713.00		

6 **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

Sonja Biggs Educational Services, Inc.

SCOPE OF SERVICE FOR INTERVENERS

Sonja Biggs Educational Services, Inc. partners with districts in providing quality, highly trained Interveners who are fluent in sign language, know braille, and are a bridge between the sighted/hearing world and the student who is both deaf and blind. Our interveners obtain their credential through the University of Utah Intervener Program.

Deaf can mean any type of hearing loss and Blind can mean any type of vision loss. When both are combined together, the student misses so much of in the way of language development, concept development, and being able to access their education. An Intervener can open up language, communication, concept development, and access for the student with a dual sensory loss. Our Interveners are either credentialed or enrolled in the credentialing program through Utah State University's Intervener program.

Interveners work one-on-one with the student who has the dual sensory loss. Interveners have specialized training and skills in deafblindness. An intervener provides a bridge to the world for the student who is deafblind. The intervener helps the student gather information, learn concepts and skills, develop communication and language, and establish relationships that lead to greater independence. The intervener is a support person who does with, not for the student.

Specialized training is needed to become an effective intervener. training should address a wide range of topics necessary to understanding the nature and impact of deafblindness, the role of the intervener, and appropriate educational strategies to work with students with combined vision and hearing loss (Alsop, Killoran, Robinson, Durkel, & Prouty, 2004; McGinnes, 1986; Robinson et al., 2000).

An Intervener:

- Facilitates access to the environmental information that is usually gained through vision and hearing, but which is unavailable or incomplete to the child who is deafblind.
- Facilitates the development and/or use of receptive and expressive communication skills.
- Develops and maintains a trusting, interactive relationship that can promote social and emotional well being for the child who is deafblind.
- An Intervener is an important part of the IEP team, providing useful information about how the student communicates and learns new concepts.

1230 1st Street, Gilroy, CA 95020 Mailing: 7483 Dornoch Ct., Gilroy, CA 95020 www.sbesinc.com
info@sbesinc.com 408-455-6559

What is Deafblindness?

Deafblindness involves a combined vision and hearing loss, to the extent that neither of these primary information-gathering senses (vision or hearing) compensates for the loss of the other sense. The educational and functional impact of these combined losses on each student will vary depending upon the degree and type of vision and hearing losses, the stability of the losses, the age of onset of each loss, the presence or absence of additional disabilities, and the quality of educational services provided. It is critically important to understand that the student's vision and hearing losses are not additive in nature (deafness plus blindness), but rather are multiplicative (deafness times blindness). Therefore, sometimes even students with seemingly mild simultaneous vision and hearing losses can be greatly impacted by them (Huebner, Prickett, Welch, & Joffee, 1995; McInnes & Treffry, 1982).

Deafblindness creates a disability of access to the visual and auditory information about the environment (people, things, events) that is necessary for learning, communication, and overall development. Consequently, incidental information (visual and auditory information which sighted and hearing students receive automatically without effort) is not readily accessible to students with combined vision and hearing loss. Instead of effortlessly receiving a flow of information like others, these students must work to attend, gather, and interpret partial amounts of information which are often distorted and incomplete. Without clear and consistent information, the brain cannot function normally, and learning cannot occur naturally. As a result, students who are deafblind have a difficult time connecting with and understanding the world, and often experience significant isolation and limited opportunities for self-determination (Alsop, Blaha, & Kloos, 2002; Robinson et al., 2000).

Intervener Rate: $8971.30 per month or $89,713.00 per year
Maximum Hours: 7 hours per day

1230 1st Street, Gilroy, CA 95020 Mailing: 7483 Dornoch Ct., Gilroy, CA 95020 www.sbesinc.com
info@sbesinc.com 408-455-6559

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Special Education Department (School/Dept.) VENDOR NO. 22129

PROGRAM MANAGER: Anthony Colonna

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The Reilly Behavioral Group, LLC.

Address: 1360 N. Winchester Blvd. #5 City: San Jose State: Ca Zip: 95128

Phone: (408) 709-9214 Email Address: _____

SSN: _____ or Fed I.D. #: 90-0512413

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

The Reilly Behavioral Group, LLC. provides ongoing training, direct services, parent support, assessments, data analysis and program support to students diagnosed with autism. These services assist the District in developing and maintaining defensible programs for students with autism.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** $25,000 (describe rate agreement) $130/hr ABA BID $60/hr ABA BII

$3,150 FBA 080-6512-0-XXXX-00-5750-1190-000000-380-6512

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
				25,000		
080-	6512-0-	5815-00-5750-	1190-	000000-	380-	6512

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

The Reilly Behavioral Group, LLC

Behavioral Health, Meaningful Outcomes, Quality of Life

ABA SCOPE OF SERVICE 2020-2021

Behavior Intervention Services (BIS)

1. Direct behavior intervention services to students (BII, BID)
2. Supervision and session overlap with registered behavior technicians' direct sessions (BID)
3. Consultation with and training to students' teacher(s) and related service providers (BID)
4. Design and implementation of behavior programs (BID)
5. Monitor and report progress on IEP goals, as per the district's progress report due dates and students' annual/triennial IEPs due dates

Participants Served: 1
Dates of Services Rendered: 8/15/2020 - 6/15/2021

Functional Behavior Assessment (FBA)

1. File review
2. Clinical interviews with parent(s), teachers and district specialists working with the student
3. 3-5 direct observations of the student
4. Testing session(s) with the student
5. Written report and recommendations
6. Attendance at IEP meeting to discuss findings and recommendations

Participants Served: 1
Dates of Services Rendered: 8/15/2020 - 6/15/2021

Summary of Services Provided

Service Level	Rendered Hours	Hourly Rate
BID	8 hours/month	$130.00
BII	9.5 hours/week	$60.00
FBA	22 hours	$130

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO _____

FROM: State and Federal (School/Dept.) VENDOR NO. 22390

PROGRAM MANAGER: Sandra Garcia

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Panorama Education, Inc.

Address: 24 School Street, Suite 4 City: Boston State: MA Zip: 02108

Phone: (617) 356-8123 Email Address: finance@panoramaed.com

SSN: _____ or Fed I.D #: 90-0995958

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 11, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary: Administer District-wide climate and social-emotional learning surveys to family, staff and students at all school sites in the Alum Rock Union Elementary School District for the 2020-2021 school year. Staff training, reports and professional development tools included in the contract cost.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** $26,260.00 (describe rate agreement) Not To Exceed $26,260.00

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
06	360	1190/0	5815	$13,760.00		
06	360	5210/0	5815	$12,500.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

PANORAMA

PANORAMA EDUCATION – SERVICE ORDER

Primary Contact Information

	Client		Panorama Education, Inc. ("Panorama")
Client Legal Name ("Client")	Alum Rock Union Elementary School District	*Company Name*	Panorama Education
Primary Contact, Title	Sandra Garcia, Director of State and Federal Programs	*Primary Contact, Title*	Christina Eng, Account Director
Billing / Payment Address	2930 Gay Ave.	*Billing Address*	24 School Street, 4th Floor
City / State / Zip	San Jose, CA 95127	*City / State / Zip*	Boston, MA 02108
Email	sandra.garcia@arusd.org	*Email*	ceng@panoramaed.com
Phone	(408) 928-6935	*Phone*	(617) 766-5115
Billing Contact			
Billing Email Address			

(1) Description of Services and (2) Fees

Description of Services	Fees	
Annual License Fees:	***Effective Date:***	9/15/2020
12-month Panorama Platform License Fee – Full Platform for Grades 4-8 Access to Platform and Support (as defined in the Terms and Conditions): Survey administration, analysis and reporting. • Student, parent, and staff surveys • Social-emotional learning measures • Community survey • Software license fee includes 20% license fee discount for CORE District Shared Purchasing Agreement Discount	***Contract Term:*** *(From Effective Date)*	1 year
	Annual License Fee: Full Platform Grades 4-8	$18,700.00 / year
	Annual License Fee: Family and Staff Surveys Grades TK-3	$7,875.00 / year
12-month Panorama Platform License Fee – Family and Staff Surveys for Grades TK-3 Access to Platform and Support (as defined in the Terms and Conditions): Survey administration, analysis and reporting. • Family surveys • Teacher/staff surveys • Software license fee includes 20% license fee discount for CORE District Shared Purchasing Agreement Discount	*CORE District Shared Purchasing Agreement Discount*	($5,315.00)
	Subtotal License Fee:	$21,260.00
Services Fees:	*Project Management*	$5,000.00 / year
	Subtotal Services Fees Over Contract Term:	$5,000.00
Project Management Includes dedicated Client Success Manager who will work with the client's main point of contact to execute a successful project administration. • Develop project timeline • Manage setup and administration	***Annual Total:*** *(Due on Effective Date for Year 1)*	**$26,260.00 / year**

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO _____

FROM State and Federal (School/Dept.) VENDOR NO. 22390

PROGRAM MANAGER: Sandra Garcia

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Panorama Education, Inc.

Address: 24 School Street, Suite 4 City: Boston State: MA Zip: 02108

Phone: (617) 356-8123 Email Address: finance@panoramaed.com

SSN: _____ or Fed I.D. #: 90-0995958

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 11, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports) Attach proposals, exhibits and other documentation if necessary:

Work with District CSI school teams at Fischer, George, Sheppard, Mathson to analyze and interpret social-emotional learning and climate data for Panorama surveys, guide through protocols to set goals and develop a plan of action for the 2020-2021 school year

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service

X b. **Other:** $5,500.00 (describe rate agreement) Not To Exceed $5,500.00

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
06	360	3182/0	5815	$5,500.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

PANORAMA EDUCATION – SERVICE ORDER

PANORAMA

Primary Contact Information

	Client		Panorama Education, Inc. ("Panorama")
Client Legal Name ("Client")	Alum Rock Union Elementary School District	*Company Name*	Panorama Education
Primary Contact, Title	Sandra Garcia, Director of State and Federal Programs	*Primary Contact, Title*	Christina Eng, Account Director
Billing / Payment Address	2930 Gay Ave.	*Billing Address*	24 School Street, 4th Floor
City / State / Zip	San Jose, CA 95127	*City / State / Zip*	Boston, MA 02108
Email	sandra.garcia@arusd.org	*Email*	ceng@panoramaed.com
Phone	(408) 928-6935	*Phone*	(617) 766-5115
Billing Contact			
Billing Email Address			

(1) Description of Services and (2) Fees

Description of Services	Fees	
Services Fees:	***Effective Date:***	9/15/2020
Professional Learning Workshops for CSI and ATS Schools Give school leaders or other groups of educators in the district strategies and tools to understand, interpret, and take action based on SEL and climate data. Panorama team members facilitate interactive, hands-on engagement with Panorama reports and guide groups through protocols to set goals and plan for action. • Data Inquiry and Action Planning Workshop • School-focused Strategic Coaching Sessions (ongoing)	***Contract Term:*** *(From Effective Date)*	1 year
	Data Inquiry and Action Planning Workshop	$3,000.00
	Strategic Coaching Sessions	$2,500.00
	Subtotal Services Fees Over Contract Term:	$5,500.00
	Annual Total: *(Due on Effective Date for Year 1)*	**$5,500.00 / year**

Other Terms and Conditions (if any)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: State and Federal (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: _____

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: San Jose Grail Family Services

Address: 1650 Senter Road City: San Jose State: CA Zip: 95112

Phone: (408) 281-7595 x10 Email Address: schedule@schmahlscience.org

SSN: _____ or Fed I.D #: 06-1780217

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 11, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports) Attach proposals, exhibits and other documentation if necessary: Grail Family Services pairs student with reading tutors and provides parents workshops to educate parents about the importance of reading aloud and sharing the stories in the home. Vendor will provide on-site or virtual services. This contract is open to any TK, K or 1st grade student and their parents at Dorsa and San Antonio Schools.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** $ 10,000.00 (describe rate agreement) Not To Exceed $10,000.00

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC
06	360	1190/0	5815	$10,000.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

Memorandum of Understanding (MOU)
between **Grail Family Services** and
Alum Rock Union Elementary School District
FY2021

This Memorandum of Understanding (MOU) establishes the working relationship between **Grail Family Services**, a 501(c)(3) entity located at 2003 E. San Antonio Street, San Jose, CA 95116, herein after referred to as **"GFS"** and **Alum Rock Union Elementary School District**, located at 2930 Gay Avenue, San Jose, CA 95127, hereinafter referred to as **"ARUSD"** in the **Yes We Can...READ (Plus) Initiative,** hereinafter referred to as **"YWCR+."**

GFS and **ARUSD** understand that **YWCR+** is continually evolving and, therefore, flexibility is a crucial component in the successful creation and implementation of this initiative. In addition, both recognize the important role of clear communication in determining and carrying out every phase of **YWCR+**.

I. Purpose and Scope

The purpose of this MOU is to clearly identify the roles and responsibilities of each party as they relate to the implementation of **YWCR+**. This MOU will set forth the principles and procedures to which the agencies will be bound.

YWCR+ is intended to educate parents and caregivers about the importance of reading aloud and sharing stories in the home; to increase lines of communication between parents and teachers; to utilize a variety of volunteers as classroom mentors and to ultimately improve children's reading abilities through consistent exposure to books and read aloud sessions. Because current research shows that early math is as strong a predictor of academic success as literacy, we have added an early math component to YWCR. This means that as a part of the Mentor Sessions, Parent Engagement Workshops, and Family Literacy Nights, participants will receive support in early math concepts through books and activities that introduce skills such as number sense, representation, spatial sense, measurement, patterns, and problem solving. To support this goal, **GFS** and **ARUSD** will fully participate in the planning, delivery and evaluation of **YWCR+**.

The proposed project will serve adults and their children that reside primarily in the Mayfair community of East San José in Santa Clara County. The Mayfair community is a predominately Latino community. Estimates from the 2000 U.S. census show that 80 percent of the population is of Hispanic/Latino origin and 25 percent of the neighborhood's population lives below the poverty line, with a median household income of $36,712. The

Mayfair community has been designated as a child poverty zone by Santa Clara County because of the disproportionately high number of children under five living in poverty.

The specific activities relative to the initiative include:

- This project will be implemented during the 2020-2021 school year at two schools part of the ARUSD, Dorsa Elementary and San Antonio Elementary School.
- **GFS** staff will train and recruit corporate and community volunteers and match them with kindergarten and selected first grade students attending San Antonio Elementary and Dorsa Elementary School. Given the COVID-19 pandemic, the mentoring sessions will be conducted virtually or in person as the situation allows.

- **GFS** will implement six parent workshops at each participating school (San Antonio and Dorsa Elementary). GFS will facilitate these workshops and teachers will participate in order to engage parents in activities that would strengthen communication. Given the COVID-19 pandemic, the parent engagement workshops will be conducted virtually or in person as the situation allows.

- **GFS** staff and/or in conjunction with community partners such as The San Jose Public Library will implement 4 Family Literacy Nights 2 of which will take place at Dorsa and San Antonio Elementary and the other 2 at a designated public library space. Given the COVID-19 pandemic, the Family Literacy Nights will be conducted virtually or in person as the situation allows.

- Pre and post evaluations will be conducted by **GFS** staff of the corporate volunteers (i.e. receiving community) as a form of measurement.

- Trained volunteer mentors will provide one-on-one read-aloud and math thirty-minute sessions. Each volunteer mentor will work individually with two children once a week for ten to twelve weeks.

- Each ten-week cycle will be conducted once in the fall and once in the spring of the academic school year.

- Scores for i-Ready assessments at the beginning and at the end of the program will be made available to **GFS** including all domains in reading and math for purposes of assessing program outcomes.

- Both **GFS** and **ARUSD** should ensure that **YWCR+** activities are conducted in compliance with all applicable Federal and State laws, rules, and regulations including Civil Rights issues.

II. Background

GFS fosters the learning and the empowerment of vulnerable families with young children through the delivery of programs that educate, develop leadership skills, and build a sense of community. Its' vision is to become an incubator of quality, result oriented, programs that educate, develop leadership, and build a sense of community.

Alum Rock is a family-oriented community committed to its children and schools. The City of San José provides a wealth of cultural, recreational, and entertainment activities for all ages in one of the most pleasant climates in the country.

ARUSD schools are neighborhood schools. Classrooms and schools represent the demographics of East San José. Parent involvement, community support, English classes, and health outreach services all contribute to school improvement. Standards-based instructional materials and immaculate campuses make **ARUSD** schools a fine place to work and grow as a teacher.

ARUSD serves a student body rich in racial, ethnic, and cultural diversity. Our curriculum is designed to meet the needs of all students. Professional Development is provided for teachers to assist students in reaching their full potential. The district provides a supplemental instructional program for English Learners as well as programs for gifted students and students with special needs.

ARUSD staff is committed to high expectations of achievement by delivering effective instruction that result in outstanding student performance.

III. Goals of YWCR+

YWCR+, a community based project will:

- Improve the literacy skills of children attending the targeted and identified ARUSD schools
- Improve the early math skills of children attending the targeted ARUSD schools
- Increase parents' engagement in their children's learning activities
- Facilitate meaningful interaction and cultural exchange between low-income children residing in East San José and employees of the corporate sector working in Silicon Valley.

IV. GFS Responsibilities under this MOU

- Serve as the fiscal agent for this project
- Recruit and train all volunteers
- Facilitate volunteer training and prerequisites
- Conduct workshops for adults/parents
- Provide overall project management and leadership

- Chair the YWCR+ Advisory Committee and oversee work therein
- Facilitate feedback reporting to all volunteer/mentors
- Facilitate the reporting of evaluation data to all stakeholders

V. ARUSD Responsibilities under this MOU

- Provide $10,000 to GFS in financial support for YWCR+ to provide materials, facilitation, childcare, and refreshments for the adult/parent engagement workshops.
- Provide for the compensation of teachers when extended duty is required to participate in the parent workshop sessions in support of YWCR+.
- Encourage and support school site participation.
- Make available i-Ready assessments, or any other assessments utilized by the school, at the beginning of program and at the end of the program including all domains in reading and math for the purpose of assessing program outcomes.
- Make space available at both Dorsa and San Antonio Elementary for mentors to conduct mentoring sessions during school hours and after school hours.
- Make space available at both Dorsa and San Antonio Elementary for GFS staff to conduct Parent Engagement Workshops during school hours and after school hours.
- Make space available at both Dorsa and San Antonio Elementary for Family Literacy Night events.

VI. Shared Decision-Making

GFS and **ARUSD** understand that during the implementation process, innovations may necessitate a divergence from the original implementation/work plan. We agree that **ARUSD** will be notified and be present at the discussions centered on significant program changes and changes that impact the evaluation of **YWCR+**. Changes to the original implementation/work plan need to be negotiated and have full consent of both parties before the changes are implemented. In addition, **GFS** and **ARUSD** agree to communicate with one another regarding any organizational changes that arise, including, but not limited to, changes in key personnel.

VII. Dispute Resolution

This agreement includes a mutual commitment to attempt to resolve any disputes or disagreements that may emerge while working in collaboration. Initially when a dispute occurs, we will use our collaborative skills to adequately problem-solve these issues. However, if we cannot reach consensus, a third party will be asked to help resolve the conflict. Any cost associated with the third party mediation will be borne equally by **GFS** and **ARUSD**.

In the event mediation does not resolve conflict, both parts will agree to dissolve the partnership.

VIII. EFFECTIVE DATE AND SIGNATURE

This MOU shall be effective upon the signature of **GFS** and **ARUSD** authorized personnel. It shall be in force from **July 1, 2020 to June 30, 2021.**

GFS and **ARUSD** indicate agreement with this MOU by their signatures.

Please sign and return one copy of this memorandum of understanding as evidence of your understanding and agreement with the terms and conditions defined herein.

IMEE ALMAZAN FOR SANDRA GARCIA — 8/25/2020

Sandra Garcia
Director, State & Federal Programs,
Alum Rock Union Elementary School District

Date

Dr. Hilaria Bauer
Superintendent,
Alum Rock Union Elementary School District

Date

Veronica Goei,
Executive Director, Grail Family Services

08/20/2020

Date

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO _____

FROM: Student Services (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: Norma Flores

1 **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Asian American for Community Involvement (AACI)

Address: 2400 Moorpark Avenue City: San Jose State: CA Zip 95128

Phone: (408)975-2730 Email Address: _____

SSN _____ or Fed I.D #: 94-2292491

Mutually agree and promise as follows:

2 **CONTRACT TERM:** start date September 11, 2020 end date June 30, 2021

3 **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports) Attach proposals, exhibits and other documentation if necessary:

Asian American for Community Involvement (AACI) will provide Substance Use prevention through youth development meetings(lunch clubs) and projects (lunch pro-social events) at Renaissance at Fischer and Sheppard Middle school sites. Materials are provided by AACI. These services all offered to all grade levels at these sites. Services can be provided on site or virtual.

4 **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service

X b **Other:** $0 (describe rate agreement) No Cost to District

5 **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC

6 **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7 **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8 **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

Memorandum of Understanding (MOU) Between
Asian Americans for Community Involvement (AACI)
&
Alum Rock Union School District

By this agreement made and entered into on this 11th day of September 2020 between Alum Rock Union School District (hereinafter referred to as ARUSD) and Asian Americans for Community Involvement (AACI) (hereinafter referred to as Provider), in consideration of their mutual covenants, the parties hereto agree as follows:

1. DESCRIPTION OF SERVICES: Asian Americans for Community Involvement (AACI) will provide the Club Live (CL) program to Renaissance Academy (at Fischer Middle School) and William Sheppard Middle School (hereinafter referred to as RA and WSMS). The Friday Night/Club Live mission is to build partnerships for positive and healthy youth development and to engage youth as active leaders and resources in their communities. The primary goal of Friday Night/Club Live is to form partnerships between young people and adults to provide programs rich in opportunities and support so young people will less likely engage in problem behaviors and more likely to achieve in school, attend higher education, and secure full-time jobs. Friday Night/Club Live's vision is to work hand-in-hand with young people so they are both problem free and fully prepared for their futures. Friday Night/Club Live programs support youth-driven school and community efforts. Engaging youth in creating change around issues that impact them benefits young people in supporting positive development and also benefits adults, organizations, and communities. Young people provide valuable insight, perspective, and experience and engaging them ensures that change being created is relevant to their lives.

A. PURPOSE OF MOU: To outline the services provided by AACI for ARUSD on the RA and WSMS campuses.

B. DUTIES OF PROVIDER: The Provider agrees to provide the following services, materials, and/or products: On-site and/or virtual Club Live services through capacity skill building training, assist youth in implementing projects that are designed to elicit community or neighborhood-wide norm or policy change, foster mental and emotional health and well-being, foster academic commitment and achievement, youth engagement in community service, social action activities, and advocacy for safe and healthy environments, collaboration with school staff, and provide ongoing support services to student and families and referrals to community agencies as needed.

C. DISTRICT/SCHOOL OBLIGATIONS: For the period of this agreement, ARUSD shall provide the following: Provide physical space for Friday Night/Club Live services, digital space for virtual and online programming, student referrals, assistance for AACI staff to provide effective services and opportunities to staff about the referral process for teachers and staff. Provided, however, the "assistance for AACI staff" shall not significantly impact the work-load of any ARUSD employee.

D. COMPENSATION: For the full performance of this agreement, ARUSD shall pay $0.00 to Provider. The Santa Clara County Department of Behavioral Health Services (BHS) has contracted with AACI for all program services outlined in section 1, Description of Services and Duties of Provider. ARUSD is therefore not responsible for any program related expenses incurred by the Provider unless the parties agree in advance.

E. PERIOD OF MOU: The Provider's work as specified in this agreement shall commence on **September 11, 2020** and shall be completed on or before **June 30, 2021.**

Attachment A
RENAISSANCE ACADEMY (FISCHER MIDDLE SCHOOL), WILLIAM SHEPPARD MIDDLE SCHOOL

Schools: Renaissance Academy (Fischer Middle School), William Sheppard Middle School

Service Provider/Agency: Asian Americans for Community Involvement

Agency Contact: Sarita Kohli, President/CEO
Phone Number: 408-975-2730 ext. 106

Description of Services: Asian Americans for Community Involvement (AACI) will provide the Friday Night/Club Live program to ARUSD. The Friday Night/Club Live mission is to build partnerships for positive and healthy youth development and to engage youth as active leaders and resources in their communities. The primary goal of Friday Night/Club Live is to form partnerships between young people and adults to provide programs rich in opportunities and support so young people will be less likely to engage in problem behaviors and more likely to achieve in school, attend higher education, and secure full-time jobs. Friday Night/Club Live's vision is to work hand-in-hand with young people so they are both problem free and fully prepared for their futures. Friday Night/Club Live programs support youth-driven school and community efforts. Engaging youth in creating change around issues that impact them benefits young people in supporting positive development and also benefits adults, organizations, and communities. Young people provide valuable insight, perspective, and experience and engaging them ensures that change being created is relevant to their lives.

Services Provided: AACI Friday Night Live youth programming through youth capacity building, skill training, and assisting youth in implementing projects that are designed to elicit community or neighborhood-wide, norm or policy change, foster mental and emotional health and well-being, foster academic commitment and achievement, youth engagement in community service, social action activities, and advocacy for safe and healthy environments, collaboration with school staff, and provide ongoing support services to student and families and referrals to community agencies as needed.

MOU/Contract: Yes No **Board/District Approved:** Yes No

Date Approved:

If yes, term of MOU/contract dates: **September 11, 2020** through **June 29, 2021**

(ATTACH COPY OF MOU/CONTRACT)
Contract cost to the District: $0.00

List names of agency staff on campus with or without MOU:

Tony Do	Tony.do@aaci.org	(408) 975-2730 ext. 172
Ishani Dugar	Ishani.dugar@aaci.org	(408) 975-2730 ext. 164
Cesar Jacob Jr. (CJ)	Cesar.jacobjr@aaci.org	(408) 975-2730 ext. 322

Information submitted by: Sarita Kohli Phone Number: 408-975-2730 ext. 106

School Principal Approval:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Student Services (School/Dept.) VENDOR NO _____

PROGRAM MANAGER: Norma Flores

1 **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Fresh Lifelines for Youth, Inc.

Address: 568 Valley Way City: Milpitas State: CA Zip 95035

Phone: (408)263-2630 Email Address: susie@flyprogram.org

SSN: _____ or Fed I.D. #: 52-2234595

Mutually agree and promise as follows:

2 **CONTRACT TERM:** start date September 11, 2020 end date June 30, 2021

3 **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports) Attach proposals, exhibits and other documentation if necessary:

Fresh Lifelines for Youth, Inc.(FLY) will provide law-related education and case management to identified 7th or 8th grade middle school students at selected middle school sites. FLY will also provide case management services to a limited number of 7th & 8th grade students who are identified by the school as being at risk of suspension or expulsion within ARUSD. Services can be provided in person and remotely.

4 **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services ARUESD may, but is not obligated to, request the maximum number of hours/days of service

X b **Other:** $0 (describe rate agreement) No Cost to District

5 **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG TITLE	BUS OFC

6 **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7 **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8 **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

Fresh Lifelines for Youth

Scope of Services

This Memorandum of Understanding provides a framework for Fresh Lifelines for Youth, Inc., ("FLY") and Alum Rock Union Elementary School District, including Clyde L Fischer Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School to work together toward the mutual goal of providing maximum available assistance to students of said school. Given that staff at Alum Rock Union Elementary School District, including Clyde L Fischer Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School would like additional services for youth at risk of not completing 8th grade or at risk of school push-out, both agencies believe that implementation of this proposal, as described herein, will further this goal. To this end, each agency agrees to participate in furthering law-related education and case management services through FLY's Middle School Program.

General Description of Arrangements between Agencies

Based upon available funding, Fresh Lifelines For Youth, Inc. shall provide a law-related education course for 7th or 8th grade youth attending Clyde L. Fischer Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School, as agreed upon by the said school's administration and FLY staff. The law course will provide youth with practical information about laws relevant to their daily lives, a positive experience with the law and legal actors, an environment that fosters the cultivation of skills essential for positive youth development, and links to social services. FLY will provide case management services to a limited number of 7th and 8th grade students who are identified by the school as being at risk of suspension or expulsion.

Clyde L Fischer Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School shall provide designated school time/period for the delivery of the 8 to 12 week-long Middle School Law Program and the provision of classrooms at no cost to FLY. Clyde L Fischer Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School will identify and refer a limited number of 7th or 8th grade students to receive one-on-one case FLY case management, and provide FLY, at no cost, space to meet with the student. Services can be offered in person or virtually.

FLY will use its own evaluation tools which include but are not limited to worksheets to assess the student's knowledge, skills, attitudes, and behaviors, and exit interviews. Clyde L Fischer Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School will respect the confidentiality of the student's responses.

FLY will contribute to a network preventing school push-out. This includes but is not limited to FLY Program Staff and appropriate school administrators/contacts attending collaborative meetings to share information about youth as well as receive input on additional services and planning that could assist youth and their families.

Time Frame of Agreement

The time frame of this agreement will commence on August 1, 2020 through June 30, 2021.

Protocol if MOU is Not Being Met

Any adjustments to this MOU must be mutually agreed upon and in writing. Any Partner may terminate their participation in the MOU by providing written notice to the other Partner. If funding is not available for all or part of projected services, then the MOU will be revised or cancelled.

We, the undersigned, as authorized representatives of Fresh Lifelines for Youth, Inc. and Alum Rock Union Elementary School District, do hereby approve this document.

Accepted by:

Susie Rivera (authorized signatory)
Vice President of Youth Voice and
Executive Director of Santa Clara County
Fresh Lifelines for Youth
Date: 7/31/2020

Alum Rock Union Elementary School District
(authorized signatory)

Printed Name and Title of Signatory
Date: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: Student Services (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: Norma Flores

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

   Name of Individual/Company: Uplift Family Services Addiction Prevention Services(APS)

   Address: 251 Llewellyn Ave City: Campbell State: CA Zip: 95008

   Phone: (408)379-3790 Email Address: _____

   SSN: _____ or Fed I.D. #: 94-2295953

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 11, 2020 end date June 30, 2020

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

   A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

   Uplift Family Services Addiction Prevention Services(APS) will provide truancy prevention and intervention. Services to be provided district wide in the form of presentations to parents/students/staff and case management with students/parents. Services can be provided on site and remotely.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   _____ a. **Fee Rate:** $_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   X b. **Other:** $0 (describe rate agreement) No Cost to District

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

Uplift Family Services

MEMORANDUM OF UNDERSTANDING

This agreement is entered into between **Uplift Family Services/ Addiction Prevention Services Program (APS)**, a non-profit organization, (here in after referred to as "**AGENCY**") **Alum Rock Union Elementary School District** (here in after referred to as "**DISTRICT/SCHOOL)** in which the **AGENCY** will provide Addiction Prevention Services (here in after referred to as **PROGRAM)** at the identified school(s) **2020/2021** school year and until one or both parties wishes to cancel it.

RESPONSIBILITIES OF THE AGENCY

The **AGENCY** will be responsible for providing **APS** services (PROGRAM) at identified Middle Schools in the DISTRICT/SCHOOL, which will include but not be limited to the following:

- Provide presentations and workshops with students, caregivers, school staff
- Provide student screening and assessments when referred
- Attend SARB meetings
- Provide case management for chronically truant students and families
- Services will be provided in Spanish and English as requested
- The **AGENCY** and the **DISTRICT/SCHOOL** will request permission from the parent or legal guardian of students being provided services
- Days of the week, and times which the **PROGRAM** will be offered will be mutually determined by the **AGENCY** and the participating school
- Services will be adapted to telehealth to provide virtual support.

RESPONSIBILITIES OF THE DISTRICT/SCHOOL

- Provide the **AGENCY** staff with a private room/office with a phone and locked file or a storage cabinet.
- Provide the **AGENCY** with referrals of students at risk or chronically truant
- Work collaboratively with the **AGENCY** to insure optimum benefit to students in need.
- Involve the **AGENCY** staff in appropriate team meetings or SARB meetings (or equivalent) as direct services providers or consultants.

_____ **Date**_____
District Office Representative

_____ **Date** _____
School Administrative Representative

_____ **Date** 08.21.20
APS Uplift Family Services Representative