

Alto ISD Continuity of Operations Plan (COOP)

RECORD OF CHANGES

Change #	Date of Change	Change Entered By	Change Notes	Location/Section of Change
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				
11.				
12.				
13.				
14.				

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OVERVIEW

A Continuity of Operations Plan, or "COOP," is an effort within Alto Independent School District to ensure that essential functions continue to be performed during a wide range of disruptions to routine operations, including localized infrastructure outages and natural, technological, or human-caused disasters. This COOP planning template provides a basic outline for continuity of operations program development. This template and documentation herein is reflective of and supports the district's Emergency Operations Plan.

The Continuity of Operations Plan also includes continuity guidance for each district level department, district facility and campus. As a document developed in close collaboration with the district emergency management program, the COOP uses a "multi-hazards" approach to program development and an integrated approach to continuity incident management. Alto Independent School District is committed to maintaining and rapidly restoring essential services to students, faculty, and staff in the event of an emergency or disaster. In the event of facility damage or if essential functions are disrupted, it is imperative that functions and services be resumed as quickly as possible. Support for resuming essential functions and services includes consideration for staffing, educational programs, student support, alternate facilities, records, equipment/supplies, and vendors/contracts.

CAMPUS & DEPARTMENT CONTINUITY PROGRAMS

Key personnel who are relocated under this plan are collectively known as the Safety & Security Team. Upon activation, these team members will deploy to A. Frank Smith United Methodist Church if the primary facility is compromised. Upon arrival, continuity personnel will establish an operational capability and perform essential functions within 12 hours from the time of the activation of the COOP, for up to a 30 day period or until normal operations can be resumed.

Each Campus Administrator and Department Director is responsible for Campus or Department Continuity Programs including development of operating guidelines and job aids to support essential functions, staff contact lists, coordinating continuity operations when activated, and completing training and testing as appropriate.

Campus/Department specific plans are developed to facilitate the continuity and recovery process for the following District departments:

Superintendent's Office/Administration	Curriculum and Instruction	Region 7 Educational Service Center
Special Programs/Accountability/Assessments	Food Service/Child Nutrition	High School Office
Communications/Curriculum and Instruction	Nursing/Health	Middle School Office
Technology	Transportation	Elementary School Office
Business/Human Resources	Custodial	District Counselor's Office
Accounts Payable/PEIMS		

ESSENTIAL FUNCTIONS

A critical step in developing a COOP is identifying the district's essential functions, their associated key personnel, and supporting critical systems/processes that must be sustained.

While all functions are important, each activity may not be an essential function that must be continued in a disruption.

Steps in identifying essential functions are:

1. Identify departmental and campus functions.
2. Determine essential functions - functions that have one or more of these attributes:
 - Has direct, immediate effect in preventing loss of life, personal injury, or loss of property.
 - Is essential for teaching and maintaining the educational environment.
 - Provides vital support to essential function(s) of another department.
 - Is required by law.
 - Must be continued under all circumstances.
 - Cannot suffer a significant interruption.
3. Prioritize these essential functions and list below.
4. Identify staff responsible for performing essential function by job title.
5. Identify the vital record and record location for each essential function.
6. Determine the duration of time that a function or service can be interrupted before it must be restored to an acceptable level of operation.

				Function Restoration Needed Within....			
Essential Function	Person(s) Responsible (by Position Title)	Essential Records or IT Systems Needed	Location of Record (Network, Cabinet)	0-24 Hrs.	2-3 Days	1 Week	Beyond
Emergency and Continuity Incident Management Coordination	Superintendent	District COOP and EOP, Continuity Staff Contact Information	Electronic Database and Hard Copies in Office/ EOC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Re-establish curriculum delivery for affected campus(s) at alternate location(s) or via home delivery using distance-learning resources	Curriculum and Instruction personnel; Education Support personnel	Education delivery technology; alternate classroom space or virtual curriculum delivery system	Shared Electronic Database	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

District functions and services not deemed essential may be suspended to focus personnel and resources on essential functions during large scale or long-term disruption incidents.

IDENTIFICATION OF CONTINUITY PERSONNEL

Essential Function	Minimum Staffing Requirements	Continuity Strategy/Shifts	Support Staff Requirements Number/Type	Total Staff
District Continuity Incident Management	10 Administrators	Full staff day and evening as needed	Administrators-10 Business Manager Accounts Payable/PEIMS Maintenance & Transportation Director Food Service Director	10 Admin staff 4 district support staff.
Identifying alternate district operations facilities	10 Administrators	Full staff as needed	Full staff as needed	subjective

During an event requiring activation of the COOP, the AISD Safety and Security Team, as well as other employees, will be activated by the Superintendent or designee to perform assigned response duties.

In order to support continuity of operations, individuals assigned to support these operations must be knowledgeable about their roles and responsibilities and have the proper access, equipment, and skills. The chart below identifies key continuity staffing needs. Contact information for each staff member filling these roles is critical and should be kept updated under separate cover for privacy purposes.¹

The District also maintains a list of individuals who are trained and certified to support educational activities if assigned teachers and/or administrators are unavailable to support their routine or educational continuity activities.

TRAINING, TESTING, AND EXERCISES

The District has established an effective Training, Testing, and Exercise (TT&E) program to support the organization’s preparedness and validate the continuity capabilities, program, and ability to perform essential functions during an emergency. The testing, training, and exercising of continuity capabilities is essential to demonstrating, assessing, and improving the district’s ability to execute the continuity program, plans, and procedures.

- **Training** familiarizes continuity personnel with their roles and responsibilities in support of the performance of essential functions during a continuity event.
- **Tests and exercises** serve to assess, validate, or identify for subsequent correction, all components of continuity plans, policies, procedures, systems, and facilities used in response to a continuity event. Periodic testing also ensures that equipment and procedures are kept in a constant state of readiness.

The District performs TT&E events at regular intervals, as shown in the table below.

Continuity Training & Exercise Requirements	Monthly	Quarterly	Annually	As Required
Test and validate equipment to ensure internal and external interoperability and viability of communications systems.	✓			
Test alert, notification, and activation procedures for all continuity personnel.		✓		
Test primary and back-up infrastructure systems and services at continuity facilities.			✓	
Test capabilities to perform essential functions.			✓	
Test Annexes for recovering vital records, critical information systems, services, and data.			✓	
Test and exercise of required physical security capabilities at continuity facilities.			✓	
Test internal and external interdependencies with respect to performance of essential functions.			✓	
Train continuity personnel on roles and responsibilities.			✓	
Conduct continuity awareness briefings or orientation for the entire workforce.			✓	

Continuity Training & Exercise Requirements	Monthly	Quarterly	Annually	As Required
Train district/campus leadership on essential functions.			✓	
Train personnel on all reconstitution procedures.			✓	
Allow opportunity for continuity personnel to demonstrate familiarity with continuity plans and procedures and demonstrate district/campus capability to continue essential functions.			✓	
Conduct an exercise that incorporates the movement of continuity personnel to continuity facilities.			✓	
Conduct assessment of district/campus continuity TT&E programs and continuity plans and programs.			✓	
Report findings of all annual assessments to the Superintendent.			✓	
Train on the identification, protection, and ready availability of electronic and hard copy documents, references, records, information systems, and data management software and equipment needed to support essential functions during a continuity situation for all staff involved in the vital records program.			✓	
Test capabilities for protecting classified and unclassified vital records and for providing access to them from the continuity facility.			✓	
Train on a campus devolution option for continuity, addressing how the district/campus will identify and conduct its essential functions during a disaster or in the aftermath of a catastrophic emergency.			✓	
Conduct personnel briefings on continuity plans that involve using or relocating to continuity facilities, existing facilities, or virtual offices.				✓
Allow opportunity to demonstrate intra- and interagency continuity communications capability.				✓
Allow opportunity to demonstrate back-up data and records required for supporting essential functions at continuity facilities are sufficient, complete, and current.				✓
Allow opportunity for continuity personnel to demonstrate their familiarity with the reconstitution procedures to transition from a continuity environment to normal activities.				✓
Allow opportunity for continuity personnel to demonstrate their familiarity with agency devolution procedures.				✓

The District formally documents all conducted continuity TT&E, including the event date, type, and participants. Documentation should include test results, feedback forms, participant questionnaires, and other documents resulting from the event. Continuity TT&E documentation for the district is managed by the Superintendent, Kelly West, and is found at the Administration Building. Further, the District conducts a comprehensive debriefing after each exercise, which allows participants to

identify systemic weaknesses in plans and procedures and recommend revisions to the district/campus continuity annex.

TT&E Documentation

The following table is included for documentation of TT&E events.

Event	Event Type and Purpose	Date	Confirmation Initials or Signature
Continuity Facility Communications Check	Test and validate equipment to ensure internal and external interoperability and viability of communications systems		
Participants	Office	Phone/Email	Location

The District has developed a Corrective Action Plan (CAP) to assist in documenting, prioritizing, and resourcing continuity issues identified during TT&E activities, assessments, and emergency operations. The District CAP incorporates evaluations, After Action Reviews (AARs), and lessons learned from a cycle of events into the development and implementation of its CAP. The District CAP is maintained by the Superintendent and documentation is found at the Administration Building.

HUMAN RESOURCES

It is important that all staff, especially individuals not identified as continuity personnel, stay informed and accounted for during a continuity event. The District has established procedures for contacting and accounting for employees, including their operating status, in the event of an emergency.

District employees are expected to remain in contact with their direct supervisor during any facility closure or relocation situation.

The District will provide staff with guidance in order to continue essential functions during an emergency. The District may use any of the following methods to communicate with staff during a continuity event:

- Website or social media.
- Live employee briefings.
- Telephonic contact (voice, text).
- Local television, radio or print media.
- Other forms of communication as necessary.

Accounting for all personnel during a continuity event is of utmost importance. To account for all staff, the District will access the checklist of all continuity personnel in the Go Kit and attempt to contact personnel that are unaccounted for. Accountability information is reported to the Superintendent at (2) hour increments. The Superintendent’s Office has the responsibility of attempting contact with those individuals who are unaccounted for.

An event that requires the activation of the COOP may personally affect district staff. Therefore, the Business Office has the responsibility to create provisions and procedures to assist all staff, especially those who are disaster victims, with special human resource concerns following a catastrophic disaster.

HUMAN RESOURCE CONSIDERATIONS

The district continuity program, annexes, and procedures incorporate existing district/campus-specific guidance and direction for human resource management, including guidance on pay, leave/time off, work scheduling, benefits, telework, hiring and termination, authorities, and flexibilities. The Human Resources Director has the responsibility for district human resource related issues.

The Superintendent and all other Administrators will work closely with the Human Resources Office to resolve human resource issues related to continuity activities. The Human Resources Director serves as the District Liaison to work with the Continuity Personnel when developing or updating the District's Emergency Operations Plan.

CONCEPT OF OPERATIONS

The Concept of Operations section explains how the district will implement its continuity plan, and specifically, how it will address each continuity element. The district's continuity implementation process should include the following **four phases: readiness and preparedness, activation, continuity operations, and reconstitution.**

A. Readiness and Preparedness Phase

Alto Independent School District will participate in the full spectrum of readiness and preparedness activities to ensure personnel can continue essential district functions in a multi-hazard environment. Alto Independent School District readiness activities are divided into two key areas:

- District readiness and preparedness
- Campus readiness and preparedness

1. District Readiness and Preparedness

The Alto Independent School District Superintendent's Office is the lead entity for developing and maintaining overarching district disaster readiness and preparedness plans and training including (but not limited to):

- Hazard Identification and Risk Assessment (HIRA)
- District Emergency Operations Plan (EOP)
- Assisting district departments and campuses to develop and maintain their site-specific emergency operations plans and procedures
- Coordinating emergency management training for personnel

2. Campus Readiness and Preparedness

Alto Independent School District personnel will prepare for a continuity event and plan in advance for what to do in an emergency. Alto Independent School District personnel will also be encouraged to develop a Family Emergency Plan to increase personal and family preparedness.

B. Activation Phase

To ensure the ability to attain operational capability at alternate facilities and with minimal disruption to operations, Alto Independent School District will execute activation plans as described in the following sections.

1. Decision Process Matrix

The Alto Independent School District COOP will be activated by the Superintendent, or designee, based on a hazard or an emergency directed at the district.

If an incident only affects a single district campus, the principal of that campus has the authority to activate their campus continuity procedures, but they must communicate the activation to the Alto Independent School District Superintendent (through the chain of organizational structure) as soon as it is possible to do so.

The activation of the COOP is a situation-driven process that allows flexible and scalable responses to multi-hazard incidents that could disrupt operations with or without warning and during work or non-work hours. Activation of the COOP will not be required for all emergencies or disruptions, since other actions may be more appropriate.

The decision to activate the Alto Independent School District’s COOP and related actions will be tailored for the situation and based on projected or actual impact. There may or may not be advanced warning, depending on the disaster. To support the decision-making process regarding plan activation, key organization personnel will use the decision matrix below.

Decision Matrix for Continuity Plan Implementation		
	Business Hours	Non-Business Hours
Event <u>with</u> Warning	<ul style="list-style-type: none"> • Is the hazard aimed at the facility or campus area? • Is the hazard aimed at campus personnel? • Are employees unsafe remaining in the facility and/or campus area? 	<ul style="list-style-type: none"> • Is the hazard aimed at the facility or campus area? • Is the hazard aimed at campus personnel? • Who should be notified of the hazard? • Is it safe for employees to come to work the next day?

Decision Matrix for Continuity Plan Implementation		
	Business Hours	Non-Business Hours
Event <u>without</u> Warning	<ul style="list-style-type: none"> • Is the facility/campus affected? • Are personnel affected? Have personnel safely evacuated or are they sheltering-in-place? • What are instructions from first responders? • How soon must the district be operational? 	<ul style="list-style-type: none"> • Is the facility/campus affected? • What are instructions from first responders? • How soon must the district be operational?

As the decision authority, the Alto Independent School District Superintendent (or designee) will be kept informed of the situation and will evaluate all available information relating to:

- Direction and guidance from local/state/federal emergency authorities.
- Incident status of on-campus institutions.
- The health and safety of personnel.
- The ability to execute essential functions.
- Changes in hazard advisories.
- Intelligence reports.
- The potential or actual effects on campus power infrastructure, communications/information systems, office facilities, and other vital equipment.
- The expected duration of the emergency situation.

2. Alert and Notification Procedures

Alto Independent School District maintains plans and procedures for communicating and coordinating activities with personnel before, during, and after a continuity event.

Before an event, personnel in Alto Independent School District will monitor potential hazard information, including (but not limited to):

- Relevant social media and news outlets.
- NOAA/NWS.
- Intelligence provided by local/state/federal public safety agencies.
- On-campus emergency alarms and notification systems.

In the event normal operations are interrupted or an incident appears to be imminent, Alto Independent School District will communicate the campus' operating status with all stakeholders per the provisions of the Alto Independent School District Communications Plan.

Upon the decision to activate the COOP, Alto Independent School District will notify all affected and interdependent campus entities with information regarding continuity activation status, operational and communications status, and the anticipated duration of relocation(s).

3. Relocation Process

Once the COOP is activated and personnel are notified, Alto Independent School District will relocate critical personnel and essential operational functions to an alternate facility(s) if necessary. Alto Independent School District critical personnel will deploy/relocate to a pre-determined alternate facility(s) to perform the campus' essential functions and other continuity/reconstitution-related tasks.

C. Continuity Operations Phase

Upon activation of the COOP, all Alto Independent School District departments will continue to operate at their home operating facilities (if safely possible) until ordered to cease operations by the Superintendent's Office through the heads of each department. At that time, affected campuses/departments' essential functions will transfer to their alternate facility. Alto Independent School District should ensure that the COOP can be operational within 12 hours of plan activation.

First arriving district/campus/department critical personnel at the alternate facility will prepare the site for essential function operations. Upon arrival at the alternate facility, critical personnel will:

- Ensure infrastructure systems, such as lighting, power, water, sanitation (bathrooms), and climate control (if applicable) are functional.
- Ensure communications and network connections are in place and functional.
- Verify all district/campus/department critical personnel are on-duty, or en-route, and are aware of the move to the alternate facility.
 - The current supervisor on site at the alternate facility will be responsible for verifying district/campus/department personnel accountability (critical and support), and ensuring they are updated on current communications (department radio channels and phone numbers), and department leadership (who is in charge of the department operations at that time).
- Notify the Superintendent's Office (through the building principal) when said department has resumed essential functions at the alternate facility.
 - If resumption of department essential functions will be delayed at the alternate facility, notify the Superintendent's Office immediately to give a status report and request additional resources as needed to restore department essential functionality.

A requirement of each building principal is to account for all personnel under their authority. Each district department will outline a process of personnel accountability in their department continuity procedures. This process may include using call-down telephone trees, email, or text verification. The accountability process should also include what to do about department personnel who are unaccounted for.

During continuity operations, Alto Independent School District may need to acquire necessary personnel, equipment, and supplies that are not already in place for continuity operations on an emergency basis and/or to sustain operations for up to 30 days or until normal operations can be resumed. The Superintendent's Office maintains the authority for emergency procurement.

D. Reconstitution Operations Phase

Within 48 business hours after receiving approval from the appropriate emergency services, and health and safety authorities that the incident has ended and is unlikely to immediately reoccur, reconstitution will begin. Alto Independent School District will initiate a reconstitution plan, and begin to coordinate operations to salvage, restore, and recover the district’s primary operating functions and department home facilities.

During continuity operations, the Superintendent should determine the status of the department/facility(s) affected by the event as soon as practical (once all essential district functions have been restored). Upon obtaining the status of the facility(s), the Superintendent will determine how much time is needed to repair the district/campus operating facility and/or acquire a new facility(s). Should Alto Independent School District decide to repair the facility, the Superintendent has the responsibility of supervising the repair process and should notify the Board of Trustees of the status of repairs, including estimates of when the repairs will be completed.

These reconstitution plans are viable regardless of the level of disruption that originally prompted implementation of the COOP. Once the appropriate district authority has made this determination, one or a combination of the following options may be implemented, depending on the situation:

- The affected campus/department(s) continues to operate from its alternate facility(s).
- Reconstitute the affected campus/department home operating facility(s) and begin an orderly return to said facility(s).
- Begin to establish a reconstituted affected campus/department(s) in another facility or at another designated location.

1. Reconstitution Planning Levels

Reconstitution planning levels have been created to focus and support the reconstitution planning process. A description of the levels is provided in the table below:

Reconstitution Level	Description
Level 1: Operational Planning	<p>The primary operating facility has suffered no damage or has been minimally damaged.</p> <p>Planning for this level of disruption focuses on returning operations to the primary operating facilities, which includes restoring normal operations with personnel, records, and equipment at the primary operating facility once the incident or disruption has ended. This level generally would last less than 30 days.</p>

<p>Level 2: Short-Term Planning</p>	<p>The primary operating facility has been moderately damaged.</p> <p>Planning for this level of disruption focuses on moving operations to a temporary operating facility, which includes restoring normal operations with personnel, records, and equipment at a temporary operating facility. This level generally would last from one-to-six months.</p>
<p>Level 3: Long-Term Planning</p>	<p>The primary operating facility has been severely damaged or damaged beyond repair.</p> <p>Planning for this level of disruption focuses on moving operations to a new or temporary primary operating facility, which includes restoring normal operations with personnel, records, and equipment at a new or rebuilt operating facility. This level generally will last six months or longer.</p>

2. Returning to Full Operations

Before relocating back to the primary operating facility or another facility, the local or district law enforcement agency, environmental and safety, and facility services will conduct appropriate security, safety, and health assessments to determine building and/or workspace suitability. In addition, district IT/Telecom will verify that all systems, communications, and other required capabilities are available and operational and that the affected district/campuses/department(s) are fully capable of accomplishing its normal functions and operations at the new or restored home operating facility.

Upon a decision by the Superintendent’s Office or designee that the home operating facility of the affected facility(s) can be reoccupied or that the facility(s) will be re-established in a different facility:

- The building principals will develop space allocation and facility requirements for their affected campus.
- The building principals will notify their personnel that the emergency has passed, and will develop procedures, as necessary, for restructuring/reassigning their staff in support of the reconstitution process.

Upon verification that the required capabilities are available and operational and that the affected facility(s) is fully capable of accomplishing all normal functions and operations at the new or restored campus, the building principals will begin supervising a return of personnel, equipment, and documents to the department’s permanent operating facility.

Alto Independent School District Human Resources will develop processes for receiving and processing employee claims during the continuity event, including personnel claims (such as workers’ compensation, compensation for injuries, overtime pay, etc.) and replacing lost or broken equipment.

Alto Independent School District will conduct an After-Action Review (AAR) once back in full operating functionality. The Superintendent’s Office is responsible for initiating and completing the

AAR, and all affected departments within Alto Independent School District will have the opportunity to provide input to the report. The AAR will address the effectiveness of the continuity plans and procedures, identify areas for improvement, and then develop an Alto Independent School District Continuity of Operations Improvement Plan as soon as possible after the reconstitution.

BUDGETING AND ACQUISITION OF RESOURCES

The District budgets for and acquires those resources and capabilities essential to continuity operations. The Business Office maintains the continuity budget. Within this budget, the District allocates funds for continuity resources and capabilities in accordance with district policy and other applicable directives and provides for the acquisition of those resources necessary for continuity operations on an emergency basis for up to 30 days or until normal operations can be resumed.

As part of the budget process, the District utilizes a risk management methodology to identify, prioritize, and justify the allocation of budgetary resources. The District integrates the continuity budget with its long-term strategic plan and links the budget directly to objectives and metrics set forth in that plan.

For those contracts vital to the support of district/campus essential functions, the District has ensured contractor statements of work include the provision to provide staffing, services and resources during emergency conditions. A list of vital contracts is found at the Administration Building and maintained by the Business Office. During an emergency situation, the Business Manager is responsible for oversight of emergency work by contractors.

The District will keep thorough documentation of all expenses. The District must consider documentation of expenses that are in excess of normal operations. Specific documentation on forms provided by the State of Texas and/or FEMA may be required to be eligible for reimbursement in a declared disaster.

CONTINUITY COMMUNICATION & KEY EXTERNAL CONTACTS

The District recognizes communication as a critical component of successful management of an emergency and continuity of operations. The District has identified primary and alternate modes of communication in the district Multi-Hazard Emergency Operations Plan (EOP) and will use those capabilities in support of internal and external communication for continuity or operations.

Listed below are the key external contacts that must be notified if continuity activation is declared.

Notification Level:

Level 1 = Provide immediate notification of service interruption and coordinate for immediate continuity resource support.

Level 2 = Provide notification of service interruption as time permits.

Level 3 = Provide courtesy notification of service interruption.

Notification Priority* (1, 2, 3)	List Supplier/Vendor/ Stakeholder/Customer Name	Type of Service Provided/ Received	Email Address	24 Hour Contact #
1	City of Alto Utilities Department	Provides water and gas services	N/A	936-858-4224
1	Oncor	Provides electricity services	tom.trimble@oncor.com	214-497-9984
1	Consolidated Communications via Region 7 ESC	Provides phone and internet services	svaughn@esc7.net	903-988-6922

COMMUNICATIONS

The District has identified available and redundant critical communications systems that are located at the primary operating facility and continuity facility. Further, the District maintains fully capable continuity communications that support district/campus needs during all hazards.

All necessary and required district communications and IT capabilities should be operational within 12 hours of continuity activation.

Additional detailed information on the district communications systems and requirements is located in the Go-Kits.

EMERGENCY ORDER OF SUCCESSION

One of the fundamental principles of continuity planning is to maintain leadership in the event of an emergency by establishing an order of succession in the event the district, department or campus leaders are not available during an emergency. Orders of Succession run from three to five deep utilizing position titles rather than individual names.

Continuity succession is critical in the event leadership is debilitated or incapable of performing their legally authorized duties, roles and responsibilities. The following predetermined Orders of Succession is designed to allow for an orderly, and predefined, transition of leadership within the district. Successors to the Superintendent, will serve only until the Board of Trustees designates a replacement.

Superintendent Order of Successors
Superintendent-Kelly West
<ul style="list-style-type: none"> ○ Paula Low
<ul style="list-style-type: none"> ○ Misty Townsend

Director Order of Successors
Director of Transportation & Maintenance-Kim Bradshaw
<ul style="list-style-type: none"> ○ Johnny Thacker
Director of Food Services-Courtney Stephenson
<ul style="list-style-type: none"> ○ Lashonnah Holmes
District Nurse-Kim Holmes
<ul style="list-style-type: none"> ○ Mandy Power
Director IT-Debbie Grimes
<ul style="list-style-type: none"> ○ Rachel Campa
Business Manager-Kelley Robertson
<ul style="list-style-type: none"> ○ Allison Threadgill
Accounts Payable-Allison Threadgill
<ul style="list-style-type: none"> ○ Kelley Robertson

Principal Order of Successors
HS Principal-Shanequa Redd-Dorsey
<ul style="list-style-type: none"> ○ Tonya Watson
MS Principal-Krystin Lucas
<ul style="list-style-type: none"> ○ Leann Jones
EL Principal-Candis Mabry
<ul style="list-style-type: none"> ○ Laci Meeks

EMERGENCY DELEGATION OF AUTHORITY

The Delegation of Authority outlines explicitly in a statement the authority of an official so designated to exercise the district’s direction. The District at all levels must coordinate the development and revision of Delegations of Authority with their General or Chief Counsel to ensure legal sufficiency.

Initial COOP delegation of authority will follow department specific guidelines utilizing the described orders of succession. In some circumstances, the District may choose to delegate specific authorities in a manner other than through the order of succession to ensure the requirements for competency related to essential functions are met. Additionally, specific limitations may be implemented during continuity or emergency situations. The authorities, responsibilities and exceptions for orders of succession are clearly noted in the district, department or campus delegations of authority. (*See Appendix 1.*)

GO-KITS

Each department and campus is responsible for having available items such as checklists, contact lists, files important to department or campus continued operation, and any other specialized items essential to coordinate an effective response during an emergency and provide for the rapid resumption of essential functions.

Department/Campus Go-Kit	
<ul style="list-style-type: none"> • District identification badge • Communication equipment <ul style="list-style-type: none"> – Personal cell phone • Hard copies of student records, including medical needs • Reunification material • COOP • Directions to continuity facility • Key to continuity facility • Master contact lists 	<ul style="list-style-type: none"> • District and emergency contact numbers • Nurses medical kit • Chargers/extra batteries for phones, GPS, and laptop • AED, first aid kit • Maps of surrounding area • Flashlight • Emergency Operations Plan • Educational materials/ supplies • Pens, pencils and paper

The administration office, each campus office and each department building will have go-kits.

VITAL DATA, INFORMATION SYSTEMS & ESSENTIAL RECORDS MANAGEMENT

Planning for resumption of vital information technology (IT) applications, data, hardware, communications (such as networking) and other IT infrastructure is an important part of the district’s comprehensive planning process. This component of the district’s plan is located in the District IT Disaster Recovery Guidelines and supporting documentation. The IT Disaster Recovery Guidelines, maintained under separate cover, include Recovery Time Objectives, Recovery Point Objectives, and Technical Recovery Guidance for each IT system that supports

district essential functions. It is the responsibility of IT to support the operational needs of the district departments, campuses and essential functions. As such, IT Disaster Recovery Guidelines and processes focus on supporting these needs rather than identifying limitations.

Essential records refer to information systems and applications, electronic and hard copy documents, references and records, including sensitive data, needed to support essential functions during a continuity incident. These records include information needed to protect the legal and financial rights of the District and its staff. The District has incorporated its essential records program into the overall continuity program, plans and procedures. The District Records Management Program is responsible for coordinating support of records management into the COOP.

The back-up and off-site storage of vital data and essential records listed in the IT Disaster Recovery Plan and Records Management Retention Schedule are coordinated between the IT department and records management staff in conjunction with input by each district operation or support department. When needed, vital data and essential records can be retrieved from off-site storage for duplication and distribution. The District IT Disaster Recovery Plan and Record Management Retention Schedule can be found at Region 7 ESC.

CONTINUITY FACILITIES

When a district facility is lost due to an incident, continuity facilities will be needed to support district essential functions. Potential continuity facility locations should be pre-identified to include: Use of noneducational sites; consolidating within other district educational facilities or via agreement with external partners such as local community centers or other partner school districts, and/or facilities owned or operated by private or nongovernmental sectors.

Continuity operations could include alternate uses of existing facilities or virtual options for the relocation of campus-level educational activities or key district leaders and support staff.

The District has assessed the organization’s essential functions to identify which functions the district must conduct onsite and which functions, if any, district staff can conduct via telework, including evaluating the use of telework for supporting extended continuity operations.

Essential Function	Current Telework Capabilities
Emergency and Continuity Incident Management Coordination	Laptop & wi-fi/mobile hotspot are available; function requires a physical location for coordinating activities
Technology Director and needed staff	Hub of AISD Technology 1 st choice-stand alone from other ISD facilities AISD Technology Building 731 FM 851 Alto, TX 75925 Ph#936-858-7199

Essential Function	Current Telework Capabilities
	2 nd choice- A.Frank Smith United Methodist Church 131 Cooper St Alto, TX 75925 936-858-4347
Region 7 ESC Technology Services Director and Staff	Region & ESC Technology Services 1909 N. Longview St Kilgore, TX 75662 903-988-6922 WAN Engineering & Management High-Speed All-Fiber Network <i>PRIME IT SUPPORT</i> <i>PRIME IT SECURITY</i>

Continuity Facility	Physical Address	Point of Contact Information	Site Capabilities (Network, Phone, Wi-Fi etc.)	District Owned (Y/N)	Agreement in Place (Y/N)	Length of Availability
First Baptist Church	235 San Antonio Rd. Alto, TX 75925	David Middleton 936-858-	Wi-Fi, phone, computers, copier	No	Yes	No time limit, Mon-Fri
A. Frank Smith United Methodist Church	131 Cooper St. Alto, TX 75925	John Black 936-858-4347	Wi-Fi, phone, computers, copier	No	Yes	No time limit, Mon-Fri
Hilltop Baptist Church	210 Mill St. Alto, TX 75925	Dusty Lee 936-858-4826	Wi-Fi, phone, computers, copier	No	Yes	No time limit, Mon-Fri

DEVOLUTION OF CONTROL AND DIRECTION

The District is prepared to transfer all its essential functions and responsibilities to personnel at a different location should emergency events render leadership or staff unavailable to support the execution of the district's essential functions. If deployment of continuity personnel is not feasible due to the unavailability of personnel, temporary leadership of the District will devolve to Texas Education Agency.

Appendix 1

DELEGATION OF AUTHORITY and SUCCESSION for SUPERINTENDENT of SCHOOLS

PURPOSE

This is a delegation of authority for the continuity of essential functions through the orderly succession of administrators at Alto ISD to the Office of the Superintendent in case of the Superintendent's absence, a vacancy in that office or the inability of the Superintendent to act during a disaster or national security emergency.

DELEGATION

I hereby delegate authority to the following administrators, in the order listed below, to exercise the powers and perform the duties of the Superintendent, in case of my absence, inability to perform or vacancy of the office and until that condition ceases.

- 1) Superintendent, Kelly West
- 2) Assistant to Superintendent, Paula Low
- 3) Director of Instruction & Curriculum, Misty Townsend
- 4) High School Principal, Shanequa Redd-Dorsey
- 5) Athletic Director, Ricky Meeks
- 6) Business Manager/Human Resources, Kelly Robertson

If this position is vacant, the next designated official in the order of succession may exercise all the powers, duties, authorities, rights and functions of the Office of the Superintendent but may not perform any function or duty required to be performed exclusively by the office holder.

Eligibility for succession to the Office of the Superintendent shall be limited to officially assigned incumbents of the positions listed in the order of succession, above. Only officials specifically designated in the approved order of succession are eligible. Persons appointed on an acting basis, or on some other temporary basis, are ineligible to serve as a successor; therefore, the order of succession would fall to the next designated administrator in the approved order of succession.

**Superintendent
Alto ISD**

DATE

References

Continuity Guidance Circular 1 (CGC 1), *Continuity Plan Template and Instructions for Non-Federal Governments* dated September 2013, FEMA.