

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BOARD OF TRUSTEES



**Regular Board Meeting
June 25, 2020**



**Thursday, June 25, 2020
REGULAR BOARD MEETING AGENDA**

Type: REGULAR BOARD MEETING AGENDA

Time: 5:30 p.m.

Code: Regular #19-19/20

PURSUANT TO GOVERNOR GAVIN NEWSON'S EXECUTIVE ORDERS NOS. N-29-20 AND N-33-20, AND IN ORDER TO NOT PREVENT, HINDER, OR DELAY APPROPRIATE ACTIONS TO PREVENT AND MITIGATE THE EFFECTS OF THE COVID-19 PANDEMIC, THE June 25, 2020, REGULAR BOARD MEETING WILL BE CONDUCTED EXCLUSIVELY VIA CONFERENCE TELEPHONE AND THROUGH "ZOOM" VIDEO CONFERENCING. THE DISTRICT OFFICE AND BOARD ROOM WILL NOT BE OPEN AND AVAILABLE FOR THE PUBLIC TO ATTEND AND PARTICIPATE IN THE BOARD MEETING AT THE DISTRICT OFFICE. MEMBERS OF THE PUBLIC MAY PARTICIPATE AND COMMENT IN OPEN SESSION THROUGH THE FOLLOWING MEANS:

- 1. Send Email to- publiccomment@arUSD.org**
- 2. Text message to phone number- 408-963-0058 (No Voicemail or No call).**
- 3. Zoom - Live Board Meeting and use "Raise Hand" function to request opportunity to make a comments.**

4. THE ZOOM MEETING CAN BE ACCESSED AS FOLLOWS:

Join Zoom Meeting <https://zoom.us/j/91717612406>

One tap mobile

+4086380968, Meeting ID:91717612406# US (San Jose)

+16699006833, Meeting ID:91717612406# US (San Jose)

THE MEETING MAY ALSO BE VIEWED THROUGH LIVE STREAM AS FOLLOWS:

- 1. Live stream Youtube English Channel "AlumRock TV"**

<https://www.arUSD.org/alumrocktv>

- 2. Live stream - Youtube Spanish Channel**

<https://www.arUSD.org/alumrocktvesp>

In compliance with the Americans with Disabilities Act and Executive Order N-29-20, if you need Special Assistance, Disability-Related modifications or accommodation, including auxiliary aids or services, in order to participate in the Regular Board Meeting of the Board of Trustees, please contact the office of the District Superintendent at (408) 928-6822 or by email at maribel.carrillo@arUSD.org immediately and the district will make reasonable arrangements to ensure accommodation and electronic accessibility to this meeting.

1. OPEN SESSION - CALL TO ORDER AND ROLL CALL

1.01 CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE -- BOARD PRESIDENT ERNESTO BEJARANO.

1.02 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action.

2. PUBLIC HEARING

2.01 PUBLIC HEARING: Disclosure of major provisions of the collective bargaining agreement with Teamsters Local 150, including, but not limited to, the costs that would be incurred by the public school employer under the agreement for the current and subsequent fiscal years.

2.02 PUBLIC HEARING: Proposed 2020-2021 Budget Adoption. A copy of the proposed Budget is available for inspection on www.arUSD.org under news and announcements.

3. BUSINESS--BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

3.01 ACTION: Adoption of the District's Proposed 2020-2021 Budget.

4. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

4.01 REQUESTS TO ADDRESS THE BOARD provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to submit a comment or request for comment as specified above. There is a two-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

5. COMMENTS AND COMMUNICATION

5.01 Teamsters.

5.02 California School Employee's Association (CSEA).

5.03 Alum Rock Administrator's Association (ARAA).

5.04 Alum Rock Educator's Association (AREA).

5.05 Superintendent.

5.06 Board of Trustees/Communications/Comments.

6. CONTRACTS OVER \$100,000--BOARD DISCUSSION AND/OR ACTION (The Board may take an action on any item in this section, unless it is designated for information only.)

6.01 ACTION: Approve the contract with Accountable Healthcare Staffing, Inc., in order to provide occupational therapist services to all Special Education Students, Special Education, \$105,840.00.

6.02 ACTION: Approve the contract with Lozano Smith LLP., for legal counsel in order to insure compliance in relation to Special Education matters, Special Education, \$125,000.00.00.

6.03 ACTION: Approve the contract with Ester B. Clark School, a specialized non public school to support autistic or severe behavioral disordered students, Special Education, \$100,296.00.00.

6.04 ACTION: Approve the contract with AON, Risk Services West, Inc., Business Services, \$231,000.00.

7. SUPERINTENDENT / BOARD BUSINESS--BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

7.01 Board Policy 5131.2, Bullying - First Reading (Information Only).

7.02 Board Policy 5141.4, Child Abuse Prevention and Reporting - First Reading (Information Only).

7.03 Board Policy 0450, Comprehensive Safety Plan - First Reading (Information Only).

7.04 Approve Board Policy 9240, Board Training- Second Reading.

7.05 The board will discuss and review the merits of School Resource Officers Program in Alum Rock (Information Only).

7.06 Resolution #44-19/20 Language Access.

7.07 Approve Resolution #41-19/20 of the Board Trustees of the Alum Rock Union Elementary School District that Black Lives Matter.

8. SPECIAL PRESENTATION-- BOARD DISCUSSION AND/ OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

8.01 Presentation of the Citizen's Bond Oversight Committee (CBOC) Annual Report (Information Only).

8.02 Review and Approve the LCAP Federal Addendum.

8.03 LCAP Operations Report.

8.04 Seal of Biliteracy Achievement Awards - Student Recognition (Information Only).

8.05 2020-2021 REOPENING SCHOOL SCENARIOS (Information Only).

9. HUMAN RESOURCES-- BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

9.01 INFORMATION Regarding Resignations.

9.02 Approval and Ratification of Tentative Agreement between the Alum Rock Union Elementary School District and Teamsters Local 150, for the period of July 1, 2019 - June 30, 2020, pending ratification by Teamsters membership.

9.03 Resolution # 42-19/20 Reduction or Elimination of Classified Services.

10. CONSENT CALENDAR-- BOARD DISCUSSION AND/ OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

10.01 Approve Board Meeting Minutes for the following dates:(1) March 12, 2020 and (2) April 9, 2020, Regular Board Meeting.

10.02 Approve Acceptance of Donations.

10.03 Approve Acceptance of Vendor & Payroll Warrants.

10.04 Approve the Santa Clara County Treasury Investment Portfolio Status.

10.05 Approve Education Protection Account (EPA) Spending Plan for 2020-2021.

10.06 Approve/Ratify Notices of Employment and Changes of Status/June 25, 2020/Human Resources Department

10.07 Approve Contracts for Professional Services - Firms/Organizations

10.08 Approve Memorandum(s) of Understanding(s)

10.09 Approve Amended 2020-2021 Supervisory Calendar

10.10 School Plan for Student Achievement (SPSA) 2020-2021

10.11 Acceptance of S. H. Cowell Foundation Grant

11. RECEIVE REPORTS

12. FUTURE BOARD AGENDA REQUESTS

12.01 Requests from Board of Trustees and/or from the Public. Requests shall be submitted in writing to the Superintendent or designee with supporting documents and information, if any, at least one week before the scheduled meeting date. Items submitted less than a week before the scheduled meeting date may be postponed to a later meeting in order to allow sufficient time for consideration and research of the issue. BB 9322

13. ADJOURNMENT

13.01 President adjourns the meeting.

14. NEXT MEETING(S)

14.01 July 9, 2020 (2020-21 School Year).

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

2.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **PUBLIC HEARING: Disclosure of major provisions of the collective bargaining agreement with Teamsters Local 150, including, but not limited to, the costs that would be incurred by the public school employer under the agreement for the current and subsequent fiscal years.**

Staff Analysis:

The major provisions of the collective bargaining agreement with Teamsters Local 150 for the period of July 1, 2019 – June 30, 2020, including, but not limited to, the costs that would be incurred by the District under the agreement for the current and subsequent fiscal years, shall be disclosed in a format established for this purpose by the Superintendent of Public Instruction. (Government Code section 3547.5)

Submitted by: Kolvira Chheng



Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Public Hearing

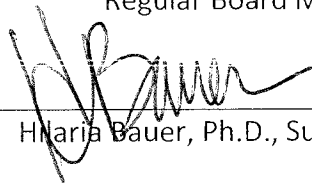
2.01

Agenda Placement

Meeting:

June 25, 2020

Regular Board Meeting



Maria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____

Seconded by: _____

Approved: _____

Not Approved: _____

Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

2.02

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION


To the Board of Trustees:

Date: June 15, 2020

Subject: **Public Hearing:**
Proposed 2020-2021 Budget Adoption

Staff Analysis: The Public Hearing is scheduled at 5:30 p.m., or soon thereafter, to solicit the recommendations and comments of members of the public regarding the Proposed Budget for Adoption for 2020-21 school year. The Proposed Budget will be available on or before Monday, June 22, 2020 at 5:30 p.m. for inspection on the District's website: www.arusd.org.

PUBLIC HEARING

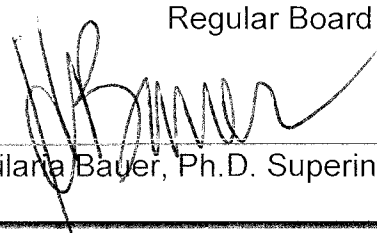
Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

PUBLIC HEARING


Agenda Placement


Hilana Bauer, Ph.D. Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

3.07

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Superintendent of Schools:

Date: June 20, 2020

Subject: Adoption of the District's Proposed 2020-2021 Budget

Staff Analysis: Education Code Section 42127 requires the governing board of each school district to adopt a budget for the subsequent year on or before July 1st. The budget is also subject to the review and approval of the County Superintendent. Any revisions to the school district's budget that result from the passage of the state budget will be presented to the governing board within 45 days after the Governor signs the State Budget Act.

Revenue projections are based on guidelines from Santa Clara County Office of Education and Department of Finance (DOF). Considering declining enrollment, and the impact of the LCFF funding shift, we are presenting a multi-year projection which shows the District will meet its financial obligations in the current and two subsequent fiscal years. The District is committed to a balanced budget, and continues to work on a multi-year budget plan to maintain fiscal solvency.

Recommendation: Staff recommends adoption of the District's proposed 2020 – 2021 Budget.

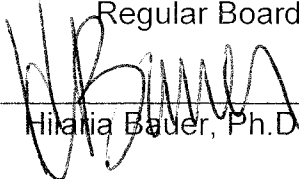
Prepared by: Kolvira Chheng 

Title: Assistant Superintendent Business Services

To the Board of Trustees:
Recommend Approval

Meeting: June 25, 2020
Regular Board Meeting

3.07
Agenda Placement


Hilalia Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

6:01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:


Date: **June 17, 2020**

Subject: **Accountable Healthcare Staffing, Inc.**

Staff Analysis: The proposed contract is for occupational therapy services with Accountable Healthcare Staffing Inc.. Services will take place on days school is in session and during ESY. Occupational therapist services will be provided for students at various sites where occupational therapy has been identified per the students' IEP.

Recommendation: Staff recommends that the Board of Trustees approve the contract with Accountable Healthcare Staffing Inc. for the 2020-2021 school year. The cost of this contract is \$105,840.00.

Submitted by: Anthony Colonna  Title: Director, Special Education

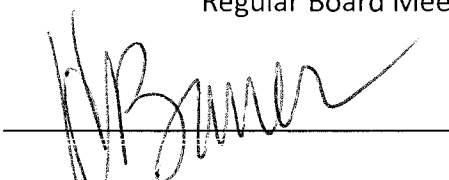
Approved by: Rene Sanchez  Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Recommend Approval


Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by:

Seconded by:

Approved: _____

Not Approved: _____

Tabled: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
 FROM: Special Education (School/Dept.) VENDOR NO. _____
 PROGRAM MANAGER: Anthony Colonna

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Accountable Healthcare Staffing
 Address: 999 Yamato Rd. #210 City: Boca Raton State: FL Zip: 33431
 Phone: (888) 853-0979 Email Address: schools@ahcstaff.com
 SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date August 1, 2020 end date June 30, 2021
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Occupational therapist services students at various sites with occupational therapy

identified needs per the students' IEP. Therapist will provide services to all special

education students in need of required educationally-related occupational therapy.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☒ a. **Fee Rate:** \$ 84/hr per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☐ b. **Other:** \$ _____ (describe rate agreement) _____

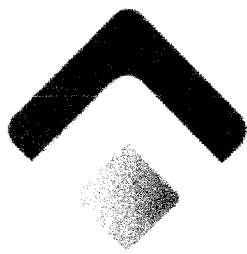
080-6500-0-5815-00-5001-3140-000000-380-1801

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
				105,840		<i>08/26/18/20</i>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



accountable
SCHOOL STAFFING

Scope of Work
Occupational Therapist-Nachelle Bastianelli

Nachelle Bastianelli is a licensed OT and can perform the same duties as a district OT employee within the normal scope of practice for an OT as outlined by the CDE. Nachelle's bill rate for the 2020-21 school year is \$84 per hour.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

6.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: **June 17, 2020**

Subject: **Lozano Smith LLP.**

Staff Analysis: The use of legal counsel with issues related to special education ensures compliance. Attorney will also consult and assist staff in developing legally defensible IEPs aligned with the most current information regarding Special Education Law.

Recommendation: Staff recommends that the Board of Trustees approve the contract with Lozano Smith LLP. for the 2020-2021 school year. The cost of this contract is \$125,000.

Submitted by: Anthony Colonna  Title: Director, Special Education

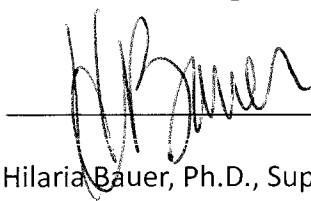
Approved by: Rene Sanchez  Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Recommend Approval


Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by:

Seconded by:

Approved: _____

Not Approved: _____

Tabled: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. _____

FROM: Special Education (School/Dept.)

VENDOR NO. 21317

PROGRAM MANAGER: Anthony Colonna

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Lozano Smith, LLP

Address: 7404 Spalding Ave. City: Fresno State: CA Zip: 93720

Phone: (559) 431-5600 Email Address: _____

SSN: _____ or Fed I.D. #: 80-0874383

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

The use of legal counsel with issues related to special education ensures compliance.

Attorney will also consult and assist staff in developing legally defensible IEPs aligned with the most current information regarding Special Education Law.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☒ a. **Fee Rate:** \$ 185.00-295.00 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☐ b. **Other:** \$ _____ (describe rate agreement) _____

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
				125,000		
080	6500-0	5810-00-5001	2100	000000	380	1820

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

6.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: **June 17, 2020**

Subject: **Esther B. Clark School**

Staff Analysis: Esther B. Clark School is a certified, highly specialized nonpublic school that provides individualized instruction and behavior support services to autistic or severe behaviorally disordered students. Due to the severity of their disability, a nonpublic school placement must continue.

Recommendation: Staff recommends that the Board of Trustees approve the contract with Esther B. Clark School for the 2020-2021 school year. The cost of this contract is \$100,296.

Submitted by: Anthony Colonna *AC* Title: Director, Special Education

Approved by: Rene Sanchez *RS* Title: Assistant Superintendent, Instructional Services

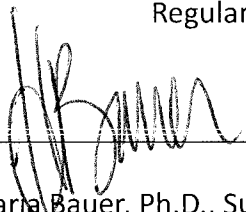
To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Recommend Approval

6.03

Agenda Placement



Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by:

Seconded by:

Approved: _____

Not Approved: _____

Tabled: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: BUSINESS OFFICE Contract No.: _____ Vendor No.: 16288

Special Education (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☐ MOU (negotiated Agreement) ☒ MASTER CONTRACT PARTICIPATION
☐ Exhibit B & C (Fingerprinting and TB Test) ☐ Scope of Work/Proposal

Note: All Contracts over \$5,000 require pre-approval.

* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Esther B. Clark School

Address: 2280 Kenwood Ave City: San Jose State: Ca Zip: 95128

Phone: (650) 823-7446 Email: _____

SSN: _____ Fed I.D. #: _____

CONTRACT TERM: start dates July 1, 2020 end date June 30, 2021

CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Esther B. Clark is a certified, highly specialized nonpublic school that provides individualized instruction & behavior support services to autistic or severe behaviorally disordered students. Due to the severity of their disability a nonpublic school placement must continue.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

X a. **Fee Rate:** \$ 252.00 per day Not to Exceed 200 days of services.

X b. **Other:** \$ 49,896 + 50,400 = 100, 296.00

Describe other related costs: Counseling and transportation

BUDGET CODE: 080-6500-0-5100-00-5750-1120-000000-380-1820 CS 6/18/20
 Accountant Initials

Accountant Initials

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] IRS Date: 6/17/20

Director of Fiscal Services: [Signature] Date: 6/18/20

Asst. Supt., of Business Services: [Signature] Rae 8 Date: 6/18/20

Superintendent: _____ Date: _____



**CALIFORNIA DEPARTMENT OF EDUCATION
NOTICE OF NONPUBLIC SCHOOL CERTIFICATION**

Date: November 18, 2019

NPS ID: 43-69666-6154371

Nonpublic School: Esther B. Clark South Bay

Site Administrator: Jody Miller

Site Address: 2280 Kenwood Avenue,

City: San Jose CA 95126

Grades: 3 to 10 Approved Classrooms: 5 Student Gender: Coed

2020 CERTIFICATION STATUS:

APPROVED

☐ Amended

Per California Education Code 56366.4(a)(5)(A), the superintendent may revoke or suspend the certification of a nonpublic, nonsectarian school or agency for any of the following reasons: Failure to notify the department in writing of any of the following within 45 days of the occurrence: changes in credentialed, licensed, or registered staff who render special education and related services; ownership; management; or control of the nonpublic, nonsectarian school or agency.

EFFECTIVE DATES:

November 18, 2019, through December 31, 2020

Authorized to Provide Special Education Instruction to Students Identified with the Following Primary Disabling Conditions:

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> Autism | <input type="checkbox"/> Hard of Hearing | <input checked="" type="checkbox"/> Other Health Impairment |
| <input type="checkbox"/> Deaf/Blind | <input type="checkbox"/> Hearing Impaired | <input type="checkbox"/> Speech and Language Impairment |
| <input type="checkbox"/> Deaf | <input type="checkbox"/> Intellectual Disability | <input checked="" type="checkbox"/> Specific Learning Disability |
| <input checked="" type="checkbox"/> Emotional Disturbance | <input type="checkbox"/> Multiple Disabilities | <input type="checkbox"/> Traumatic Brain Injury |
| <input type="checkbox"/> Established Medical Disability | <input type="checkbox"/> Orthopedic Impairment | <input type="checkbox"/> Visual Impairment |

Authorized to Provide the Following Related Services:

- | | | | | | |
|---|---|--|---|---|---|
| <input type="checkbox"/> APE | <input checked="" type="checkbox"/> BII | <input checked="" type="checkbox"/> LSDR | <input checked="" type="checkbox"/> PCT | <input checked="" type="checkbox"/> SAI | <input type="checkbox"/> VECD |
| <input type="checkbox"/> AS | <input checked="" type="checkbox"/> CG | <input type="checkbox"/> MT | <input checked="" type="checkbox"/> PS | <input type="checkbox"/> SDTI | <input type="checkbox"/> LI: |
| <input type="checkbox"/> ATS | <input type="checkbox"/> EE | <input type="checkbox"/> OM | <input type="checkbox"/> PT | <input type="checkbox"/> SW | <input type="checkbox"/> Other Services Authorized: |
| <input checked="" type="checkbox"/> BID | <input type="checkbox"/> HNS | <input checked="" type="checkbox"/> OT | <input type="checkbox"/> RS | <input type="checkbox"/> TS | |
| | | | | <input type="checkbox"/> VS | |

☐ Residential Component

If checked, this box acknowledges that the NPS has submitted documentation related to a residential component, and should not be construed as an evaluation, accreditation, approval, recognition, or endorsement.

Certification is not an endorsement of the services offered by the nonpublic school (NPS), but states only that the NPS meets minimum legal standards. "Approved" or "Conditional" certifications authorize the NPS to accept students placed by local educational agencies (LEAs) under California Education Code, Section 56366.

Focused Monitoring and Technical Assistance VI Unit
Special Education Division



Santa Clara Co. SELPA Rates for Fiscal Year: 2020-2021.

DRAFT FORMAT (RATES ARE FINAL AND APPROVED)

Rates in Effect from July 1, 2020 through June 30, 2021

Per Diem: \$252.00 per day
\$126.00 per half day (< 3 hours on campus when transitioning out of Esther B Clark School)

Note: For admissions with children who have a history of School Refusal, District will be charged the full day rate for the first 30 days of enrollment.

All Therapies (Individual, Group, and Parent) \$175.00 per hour

Crisis Intervention (5150 Assessment) \$262.40 per hour

Designated Instructional Services \$150.00 per hour

- Occupational Therapy
- Speech and Language
- Intensive Special Education Instruction (1:1)
- Behavior Intervention Service (BIS)

1:1 Behavioral Aide \$48.00 per hour

Translation Services \$50.00 per hour

Transportation (within 30 miles) \$121.00 per day

Outside 30 miles Negotiated

NO show but driver goes out \$58.00 (one way chg.)

Lunch (if needed) \$7.50 per day

EBC School 650.322.3065

www.geconline.org

650.326.5530

650 Clark Way • Palo Alto, CA 94304

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

6.04

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES


To the Board of Trustees:

June 17, 2020

Subject: **Approval of AON Contract**

Staff Analysis: Alum Rock currently has a 3-year agreement, which will expire at the end of December 2020, with AON for broker and consultant services associated with workers' compensation and various property and liability insurances. As the District's broker, AON's role is to negotiate with insurance carriers in the open market to procure various insurance policies for the District. As the District's consultant, AON's role is to provide monthly safety training for District staff. Over the last decade AON has helped the District with the implementation of programs and policies that have created a safer work environment and moved the District closer to state and federal safety standards and compliance. AON currently charges an annual fee of \$206,000 for broker services and \$50,000 for safety consultant services. The District is requesting to renew this contract for a one-year term from January 1, 2021 through January 1, 2022 with an annual fee of \$206,000 for broker services and \$25,000 for safety consultant services.

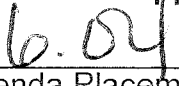
Recommendation: Staff is recommending that the Board of Trustees approve AON contract with an annual fee of \$206,000 for broker services and \$25,000 for safety consultant services, totaling \$231,000 with a term of January 1, 2021 through January 1, 2022.

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Recommend Approval


Agenda Placement


Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: BUSINESS OFFICE Contract No.: _____ Vendor No.: 18563

Business Services (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☐ **MOU** (negotiated Agreement)

☐ Exhibit B & C (Fingerprinting and TB Test)

☒ **MASTER CONTRACT PARTICIPATION**

☐ Scope of Work/Proposal

Note: All Contracts over \$5,000 require pre-approval.

* Use Independent Contractor Agreement (BUS-109) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: AON Risk Insurance Services West

Address: 707 Wilshire Blvd, Suite 2600 City: Los Angeles State: CA Zip: 90017

Phone: (213) 200-0800 Fax: (213) 996-1783

SSN: _____ Fed I.D. #: _____

CONTRACT TERM: effective dates January 1, 2021 to January 1, 2022

CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals and other documentation if necessary.)

To provide insurance and brokerage management services per year; plus safety consultant services

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

 a. **Fee Rate:** \$ _____ per _____ Not to Exceed _____ of services.

☒ b. **Other:** \$ 231,000.00

Describe rate agreement or other costs: _____

BUDGET CODE: ~~68-600-99940-5460~~

670-0000-0-5450-00-0000-6000-000000-600-9994
M. Newton 6/18/20

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: _____ Date: _____

Director of Fiscal Services: _____ Date: _____

Asst. Supt., of Business Services: Roe S Date: 6/12/20

Superintendent: _____ Date: _____

Board of Trustees: _____ Date: _____



Date: June 16, 2020

Re: Compensation Agreement

Dear Dr. Bauer and Mr. Chheng:

Alum Rock Union Elementary School District (hereinafter "You" or "Your" or "Client") has appointed Aon Risk Services West, Inc. ("ARS" or "Us" or "We" or "Our"), as Your Broker of Record for certain casualty, property and other insurance policies, and programs ("Insurance Programs") as scheduled and outlined in Exhibit A – Part I. This Compensation Agreement ("Agreement") confirms our mutual understanding concerning the insurance and/or financial and risk management support services ("Services") to be provided by Us to You in connection with the Insurance Programs as outlined in Exhibit A – Part II. The Services hereunder will be provided in accordance with the terms of this Agreement and with the notices and policies set forth in ARS Disclosures and Commitments to Our Clients, (see also <http://www.aon.com/about-aon/corporate-governance/guidelines-policies/ars-disclosures-commitments.jsp>) the content of which is hereby acknowledged by Alum Rock Union Elementary School District.

The Services shall commence as of January 1, 2021 and shall end on January 1, 2022 (the "Service Period"). We will not be responsible or liable for any insurance policies, coverages or programs that We did not negotiate or place.

In consideration of the Services to be provided under this Agreement by Us to You during the Service Period, You agree that We shall be compensated as follows:

In consideration of the Services related to the Insurance Programs scheduled in Exhibit A- Part I (A) ("Part A Insurance Programs"), You agree We shall earn compensation comprised of 1) an annual fee payable by You in the amount of \$206,000 ("WC Broker Fee") and \$25,000 ("Loss Control Services and Training Fee") and 2) where allowable by law, such commission amounts in addition to the Fee as with your approval may be payable to Us by Your insurers. The Fee shall be payable to Us prior to the commencement of the Service Period and thereafter at each annual anniversary of the commencement of the Service Period unless otherwise set forth in Exhibit A and shall include expenses for Our travel and entertainment unless otherwise set forth in Exhibit A.

In consideration of the Services related to the Insurance Programs scheduled in Exhibit A - Part I (B) ("Part B Insurance Programs"), You agree We shall be entitled to earn and retain the full amount of commissions payable to Us by Your insurers. Commissions payable on the Part B Insurance Programs shall be in addition to and not, for any reason, credited against the Fee.

In addition to the compensation set forth herein, We may be entitled to receive revenue from third parties due to, including but not limited to, contingencies, overrides, bonus commissions, national additional commissions, and/or administrative expense reimbursements. This revenue shall be retained by Us and shall not, for any reason, be credited against the Fee or any other compensation earned hereunder.

Premiums paid by Client to ARS for remittance to insurers and Client premium refunds paid to ARS by insurance companies for remittance to Client are deposited into fiduciary accounts in accordance with applicable insurance laws until they are due to be paid to the insurance company or Client. Subject to such laws and the applicable insurance company's consent, where required, ARS will retain the interest or investment income earned while such funds are on deposit in such accounts.

To the extent that any portion of Our compensation, by operation of law, agreement or otherwise, becomes adjusted or credited to You, it is Your responsibility to disclose the actual net cost to You of the brokerage and insurance costs You have incurred to third party(ies) having an interest in such amounts.

We agree to reasonably assist You with administering policies, coverages or programs preceding those listed in Exhibit A, Part I to the extent You have advised Us of Your need for such assistance. If You need or request unanticipated levels of assistance for such policies, coverages or programs, You



and We shall negotiate and agree in writing to the additional amount(s) of compensation We shall be entitled to receive from You for such services.

We will deliver the Services based upon the information You or Your representatives provide to Us and the demands and needs that You expressly advise Us of. You understand You are responsible for the accuracy and completeness of any information You or Your representatives provide Us and that We accept no responsibility for losses, damages or costs arising from Your failure to so inform Us. You acknowledge the importance of providing Us promptly with the information needed to deliver the Services and to update any information where there has been a material change which may affect the scope of delivery of the Services, such as a change in the nature of the risk, insured entities, property values and persons or entities to be covered.

You shall inform Us in the event that Your operations change substantially by merger, acquisition, expansion or other material change in scope and nature of exposures, losses and/or Insurance Program, or if there is a substantial midterm change to programs or limits, You and We will negotiate in good faith to revise the Agreement as appropriate.

You acknowledge that You will carefully review all documents We give you (including binders, policies and endorsements) and advise Us immediately of any mistakes of fact or contents in such documents that do not address Your needs. The absence of such notification to Us will be deemed as confirmation that the documents fully conform to Your needs and instructions.

When, in ARS' judgment, it should become necessary or appropriate to use the services of other intermediaries, including, but not limited to, managing general agents/managing general underwriters, wholesale brokers or facultative reinsurance brokers (collectively "Intermediaries") to assist in accessing, negotiating, placing, or procuring insurance markets for the Insurance Programs, ARS will, in all such instances, endeavor to use the services of an ARS-affiliated intermediary. In the event circumstances or Alum Rock Union Elementary School District should require that a non-ARS affiliated intermediary, co-broker or sub-broker, be appointed, retained or engaged to assist in accessing, negotiating, placing or procuring insurance for the Insurance Programs, ARS will not be responsible for any actual or alleged act, error or omission of any such Intermediary, co-broker or sub-broker or of its officers, directors or employees in assisting in accessing, negotiating, placing or procuring insurance for the Insurance Programs. Under all circumstances, any and all compensation earned by any Intermediary, or by any co-broker or sub-broker in connection with the Insurance Programs shall be in addition to the compensation paid to ARS hereunder.

In some instances, insurance placements made by Us on Your behalf may require the payment of state surplus lines or other premium taxes and/or fees in addition to the premium itself. We will make every effort to identify any such tax and/or fee in advance, but in all instances the payment of these taxes and/or fees will remain the responsibility of Alum Rock Union Elementary School District and We will invoice Alum Rock Union Elementary School District for the payment of such taxes and fees.

You acknowledge and agree that the work products provided hereunder by Us are not to be distributed to, used or relied upon by third parties without the written consent of both Us and You, except



such materials may be provided without such written consent to Your legal, accounting and non-insurance financial advisors.

Our obligation to render any and all Services or any further services under this Agreement will terminate at the end of the Service Period. Termination will occur on January 1, 2026 or after We or You have two hundred and forty (240) days after ARS or Alum Rock has tendered either through the U.S. Postal Service, hand delivery, or facsimile transmission, a written notice of termination, whichever occurs first. This agreement may be terminated by either party, with or without cause, upon issuance of such written notice. All annual fees and commissions will be fully earned annually if contract is cancelled after this 240 day window has passed.

All commissions payable to Us under this Agreement shall be deemed fully earned at the inception of the Insurance Programs and are excluded from the above reduction schedule.

Notwithstanding the provisions in this Agreement, its Exhibits and any amendments hereto, in no event will either party, its parent(s), affiliates, subsidiaries, and their respective directors, officers, employees and agents ("Group") be liable to the other Group for any indirect, incidental, special, consequential, exemplary, punitive or reliance damages (including, without limitation, lost or anticipated revenues, lost business opportunities or lost sales or profits, whether or not either Group has been advised of the likelihood of such damages) arising out of services provided by Aon or any of its affiliates.

Each of Us on behalf of ourselves and our respective officers, directors and employees agrees to waive its right to a trial by jury in any lawsuit or other legal proceeding against the other party and/or its parent(s), affiliates, or subsidiaries, in connection with, arising out of or relating to this Agreement, or any services provided to You by ARS or its affiliates. In any such action or legal proceeding, neither party shall name, as a defendant any individual employee, officer or director of the other party or its parent(s), affiliates or subsidiaries.

You hereby consent to the use of Your logo, pictures, and other publicly available information about You, for the purpose of marketing Your Insurance Programs or for use in lists of Aon clients.

This Agreement and its Exhibits may not be changed or modified nor any provision waived without the prior written consent of the parties.

If any provision of this Agreement or its Exhibits or amendments is held to be in violation of any applicable law, statute, regulation, or judicial or administrative order, such provision shall be deemed to be amended to conform to such applicable law, statute, regulation or judicial or administrative order, to the maximum extent permitted by law, and where not so permitted by law, such offending provision shall be deemed to be of no force and effect.

Accepted and Agreed:

Aon Risk Services West, Inc

By:

William S. Deeb, Director of Public
Entities

Date:

May 22, 2020

Alum Rock Union Elementary School District

By:

Date:



Exhibit A – Part I – Insurance Programs

This Exhibit pertains only to those policies and programs listed below having policy periods incepting during the Service Period and for which We propose to develop, recommend, negotiate and place insurance and/or risk financing programs are as follows:

(A) Fee-Based Insurance Programs

(B) Commission-Based Insurance Programs

See Attached Schedule of Insurance



Exhibit A – Part II – Scope of Services

This Exhibit covers only those Services to be performed during the Service Period. Compensation for additional services required by You, and compensation for services to be performed after the end of the Service Period shall be separately negotiated.

1. We will develop, recommend, negotiate and place insurance and/or risk financing programs for all coverages listed in the Exhibit A – Part I.
2. We perform various administrative functions related to the procurement of coverage, including, but not limited to, electronic policy filing and storage, expiration tracking, client data management and administration, and invoice processing. Where legally permitted to do so, We charge for Our own account and collect from Our clients, Policy Administration and Invoicing charges to defray the costs of these services. The current Policy Administration Charge is \$500 per policy placed. These administrative fees are in addition to and not in lieu of any other service fees agreed to and paid to Us by our clients and/or any commissions paid to Us by insurers, and appear as separately billed charges on the invoices we issue.
3. We will assist You in the gathering and preparation of underwriting information and completion of insurance applications. We will not assume responsibility for the accuracy and completeness of such information and shall be entitled to rely on information provided by You and Your employees. Those applications requiring signature shall be signed by You.
4. We will administer Your relationship with insurance companies including, but not limited to, issues such as billings in connection with selected programs, data reporting other than claim data, and compliance with negotiated requirements.
5. We will provide services such as risk control, claim advocacy and claim consulting, as outlined herein up to a maximum of 120 hours. We will not provide any other risk control, claim advocacy or claim consulting services without Your approval and for which You agree to pay Us additional compensation. We offer no representation or warranty, either express or implied, that as a result of such services You will receive a specific claim payment or monetary savings generally.

We will provide “basic property and casualty claims consulting.” Basic property and casualty claims consulting services consist of: (a) providing information as may be requested by You to enable You to provide notice to insurers whose coverages may apply to any circumstances, occurrences, claims, suits, demands and losses; (b) facilitating contact between You and the insurer(s); (c) providing an overview of coverages that may be available to You under the applicable insurance policy; and, (d) advising You on requirements for payment of a claim. Basic claims advocacy does not include claims notifications to insurers and We do not provide, as part of the Services, legal representation, testimony or depositions.

You acknowledge it is Your responsibility to take such steps as are necessary to notify directly those insurers whose coverages may apply to any circumstances, occurrences, claims, suits, demands and losses in accordance with and as may be required by the terms and conditions of the policies placed for You under this agreement. You may send copies of such notices to Us as may assist Us in carrying out services relating to claim advocacy and claim consulting as may be set forth above. We undertake no duty or responsibility to monitor Your obligation to place insurers on notice of Your circumstances, occurrences, claims, suits, demands and losses nor to place Your insurers on such notice.

6. We will provide You with an insurance schedule for all coverages listed in Exhibit A – Part I.



Exhibit B – Risk Control Conditions and Limitations

The Services to be performed by Us hereunder, including, without limitation, all surveys and reports, are advisory only and for the sole purpose of assisting You in the development of risk control procedures. We assume no responsibility for management or operation of risk control and safety procedures. You acknowledge and agree that, in the performance of Our duties and obligations hereunder, We shall not be deemed to be acting for or on behalf of Your safety, risk management or other similar department.

Any survey performed by Us pursuant hereto, and any report, recommendation or other material prepared by Us pursuant hereto, shall be based upon the conditions observed and the information supplied by You during any Our visit to Your location(s). We shall be under no obligation to verify or investigate the accuracy or completeness of the data and information provided by You, and We shall have no liability or responsibility for any inaccuracies in the data or information and/or for any reports, services, materials or documents prepared or provided to You based on such inaccurate or incomplete data or information. Any survey performed by Us pursuant hereto is not intended and shall not be deemed to be a comprehensive safety inspection.

You acknowledge and agree that, in Our performance of Services hereunder, We shall not be deemed to have guaranteed, assured or warranted on Your behalf, or for the benefit of others:

- 1) the safety of Your location(s);
- 2) that You are in compliance with federal, state and local laws, statutes, ordinances, recommendations, regulations, consensus codes or other standards; or
- 3) that compliance with, or implementation of, Our recommendations will eliminate or reduce any or all hazards, accidents or other losses.

To the extent any survey prepared by Us pursuant hereto contains a valuation of Your physical structure(s), We shall not be deemed to have made any representation that We are an appraisal agent, and You acknowledge that any values calculated by utilizing the Marshall Swift Valuation (or any other method) are estimates only.

If We or one of Our affiliates prepares a loss control report regarding some or all of Your operations, You hereby consent and agree that any such report may be disclosed to insurers or prospective insurers for the Insurance Programs.

This Attachment covers only those Services listed below which are to be performed during the Service Period. Compensation for additional services required by Alum Rock, and compensation for services to be performed after the end of the Service Period shall be separately negotiated.

1. ARS will develop, recommend, negotiate and place insurance and/or risk financing programs [including contracts, techniques and methods] for all coverages in Exhibit 1.
2. ARS will assist Alum Rock in the gathering and preparation of underwriting information and completion of insurance applications. ARS will not assume responsibility for the accuracy and completeness of such information and shall be entitled to rely on information provided by Alum Rock and it's employees. Those applications requiring signature shall be signed by Alum Rock.
3. ARS will perform loss reserve analysis and provide loss forecasting to Alum Rock for their purposes.
4. ARS will participate in negotiations concerning collateral/security required by insurers and assistance in providing data required.
5. ARS will administer all aspects of Alum Rock's relationship with property/casualty insurance companies including, but not limited to, issues such as billings in connection with selected programs, reporting data, and compliance with negotiated requirements.
6. ARS will organize and conduct quarterly claim reviews of workers compensation reserves.



7. ARS will assist in securing and analyzing claims administration proposals from Third Party Administrators as may be required.
8. ARS will assist Alum Rock in establishing claim administrative requirements and settlement authority procedures with Insurance carrier claims departments or selected Third Party Administrators.
9. ARS will assist in identifying Workers' Compensation Injury Management resources.
10. ARS will, at Alum Rock's request and on their behalf, participate in any claim or administrative settlement discussions and/or communications with insurance carriers.
11. ARS shall undertake to provide such loss control and preventive services as determined by Alum Rock and ARS.
12. ARS will provide Alum Rock with an insurance schedule for all coverages in Exhibit 1.
13. ARS will conduct Safety Inspections as determined by Alum Rock and ARS.
14. ARS will conduct Training as determined by Alum Rock and ARS.
15. ARS will conduct OSHA Compliance Reviews and help Alum Rock implement internal procedures for self-review.
16. ARS will work closely with Alum Rock to correct recommendations and citations issued by OSHA.
17. ARS will assist Alum Rock in developing policies, procedures, and guidelines for their risk management program.
18. ARS will conduct periodic internal file specific reviews at the request of Alum Rock.
19. ARS will provide Alum Rock with an annual analysis of new laws or changes in laws related to workers compensation and OSHA.
20. ARS will assist Alum Rock in identifying training as required by OSHA and implementing this training.
21. ARS will monitor mandatory postings within Alum Rock for OSHA compliance.
22. ARS will assist Alum Rock in providing employees state-mandated information regarding workers compensation benefits.
23. ARS will maintain its records as respects Alum Rock insurance coverage and risk management programs in accordance with ARS's record keeping requirements.
24. ARS will perform a Risk Management Analysis to identify all possible exposures and then present solutions to address these issues.

As respects claims administration and/or loss control/prevention services provided, ARS offers no warranty either express or implied that as a result of such services Alum Rock will either receive a specific claim payment or monetary savings.



In addition, Alum Rock acknowledges that ARS cannot detect or include every loss potential hazard, statutory or code violation, or violation of good practice.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Board Policy 5131.2, Bullying – First Reading**

Attached is the first reading for Board Policy 5131.2, Bullying.

Recommendation:

Staff recommends approval of first reading.

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Information Only

7.01

Agenda Placement

Meeting:

June 25, 2020

Regular Board Meeting



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Policy

Bullying

BP 5131.2

Students

The Board of Trustees recognizes the harmful effects of bullying on student well-being, student learning, and school attendance and desires to provide a safe school environments that protects students from physical and emotional harm. District employees shall establish student safety as a high priority and shall not tolerate bullying of any student.

No ~~student~~ or group ~~of students~~ shall, through physical, written, verbal, visual, ~~or~~ other means, harass, sexually harass, threaten, intimidate, cyberbully, cause bodily injury to, or commit hate violence against any ~~other~~ student or school personnel, or retaliate against them for filing a complaint or participating in the complaint resolution process.

(cf. 5131 - Conduct)

(cf. 5136 - Gangs)

(cf. 5145.3 - Nondiscrimination/Harassment)

(cf. 5145.7 - Sexual Harassment)

(cf. 5145.9 - Hate-Motivated Behavior)

~~Cyberbullying includes the transmission of harassing communications, direct threats, or other harmful texts, sounds, or images on the Internet, social media, or other technologies using a telephone, computer, or any wireless communication device. Cyberbullying also includes breaking into another person's electronic account and assuming that person's identity in order to damage that person's reputation.~~

~~(cf. 5145.2 - Freedom of Speech/Expression)~~

The Superintendent or designee shall develop strategies for addressing bullying in district schools with the involvement of students, parents/guardians, and staff. As appropriate, the Superintendent or designee may also collaborate with social services, mental health services, law enforcement, courts, and other agencies and community organizations in the development and implementation of effective strategies to promote safety in schools and the community.

~~Strategies for bullying prevention and intervention shall be developed with involvement of key stakeholders in accordance with law, Board policy, and administrative regulation governing the development of comprehensive safety plans and shall be incorporated into such plans.~~

(cf. 0420 - School Plans/Site Councils)

(cf. 0450 - Comprehensive Safety Plan)

(cf. 1220 - Citizen Advisory Committees)

(cf. 1400 - Relations Between Other Governmental Agencies and the Schools)

(cf. 6020 - Parent Involvement)

Such strategies shall be incorporated into the comprehensive safety plan and, to the extent possible, into the local control and accountability plan, and other applicable district and school plans.

(cf. 0420 - School Plans/Site Councils)

(cf. 0450 - Comprehensive Safety Plan)

(cf. 0460 - Local Control and Accountability Plan)

Any complaint of bullying shall be investigated and, if determined to be discriminatory, resolved in accordance with law and the district's uniform complaint procedures specified in AR 1312.3. If, during the investigation, it is determined that a complaint is about nondiscriminatory bullying, the principal or designee shall inform the complainant and shall take all necessary actions to resolve the complaint.

(cf. 1312.3 - Uniform Complaint Procedures)

If the Superintendent or designee believes it is in the best interest of a student who has been the victim of an act of bullying, as defined in Education Code 48900, the Superintendent or designee shall advise the student's parents/guardians that the student may transfer to another school. If the parents/guardians of a student who has been the victim of an act of bullying requests a transfer for the student pursuant to Education Code 46600, the Superintendent or designee shall allow the transfer in accordance with law and district policy on intradistrict or interdistrict transfer, as applicable.

(cf. 5116.1 - Intradistrict Open Enrollment)

(cf. 5117 - Interdistrict Attendance)

Any employee who permits or engages in bullying or retaliation related to bullying shall be subject to disciplinary action, up to and including dismissal.

(cf. 4118 - Dismissal/Suspension/Disciplinary Action)

(cf. 4119.21/4219.21/4319.21 - Professional Standards)

(cf. 4218 - Dismissal/Suspension/Disciplinary Action)

Bullying Prevention

To the extent possible, district and school strategies shall focus on prevention of bullying by establishing clear rules for student conduct and strategies to establish a positive, collaborative school climate. Students shall be informed, through student handbooks and other appropriate means, of district and school rules related to bullying, mechanisms available for reporting incidents or threats, and the consequences for perpetrators of bullying.

(cf. 5137—Positive School Climate)
(cf. 6164.2—Guidance/Counseling Services)

The district may provide students with instruction, in the classroom or other educational settings, that promotes effective communication and conflict resolution skills, social skills, character/values education, respect for cultural and individual differences, self-esteem development, assertiveness skills, and appropriate online behavior.

(cf. 6163.4—Student Use of Technology)
(cf. 6142.8—Comprehensive Health Education)
(cf. 6142.94—History-Social Science Instruction)

School staff shall receive related professional development, including information about early warning signs of harassing/intimidating behaviors and effective prevention and intervention strategies.

(cf. 4131—Staff Development)
(cf. 4231—Staff Development)
(cf. 4331—Staff Development)

Based on an assessment of bullying incidents at school, the Superintendent or designee may increase supervision and security in areas where bullying most often occurs, such as classrooms, playgrounds, hallways, restrooms, cafeterias.

Intervention

Students are encouraged to notify school staff when they are being bullied or suspect that another student is being victimized. In addition, the Superintendent or designee shall develop means for students to report threats or incidents confidentially and anonymously.

School staff who witness bullying shall immediately intervene to stop the incident when it is safe to do so. (Education Code 234.1)

As appropriate, the Superintendent or designee shall notify the parents/guardians of victims and perpetrators. He/she also may involve school counselors, mental health counselors, and/or law enforcement.

Complaints and Investigation

Students may submit to a teacher or administrator a verbal or written complaint of conduct they consider to be bullying. Complaints of bullying shall be investigated and resolved in accordance with site-level grievance procedures specified in AR 5145.7—Sexual Harassment.

When a student is reported to be engaging in bullying off campus, the Superintendent or designee shall investigate and document the activity and shall identify specific facts or circumstances that explain the impact or potential impact on school activity, school attendance,

~~or the targeted student's educational performance.~~

~~When the circumstances involve cyberbullying, individuals with information about the activity shall be encouraged to save and print any electronic or digital messages sent to them that they feel constitute cyberbullying and to notify a teacher, the principal, or other employee so that the matter may be investigated.~~

~~If the student is using a social networking site or service that has terms of use that prohibit posting of harmful material, the Superintendent or designee also may file a complaint with the Internet site or service to have the material removed.~~

Discipline

~~Any student who engages in bullying on school premises, or off campus in a manner that causes or is likely to cause a substantial disruption of a school activity or school attendance, shall be subject to discipline, which may include suspension or expulsion, in accordance with district policies and regulations.~~

~~(cf. 5138—Conflict Resolution/Peer Mediation)~~

~~(cf. 5144—Discipline)~~

~~(cf. 5144.1—Suspension and Expulsion/Due Process)~~

~~(cf. 5144.2—Suspension and Expulsion/Due Process (Students with Disabilities))~~

~~(cf. 6159.4—Behavioral Interventions for Special Education Students)~~

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of discrimination

32282 Comprehensive safety plan

32283.5 Bullying; online training

35181 Governing board policy on responsibilities of students

35291-35291.5 Rules

46600 Student transfers

48900-48925 Suspension or expulsion

48985 Translation of notices

52060-52077 Local control and accountability plan

PENAL CODE

422.55 Definition of hate crime

647 Use of camera or other instrument to invade person's privacy; misdemeanor

647.7 Use of camera or other instrument to invade person's privacy; punishment

653.2 Electronic communication devices, threats to safety

CODE OF REGULATIONS, TITLE 5

4600-4670 Uniform complaint procedures

UNITED STATES CODE, TITLE 47

254 Universal service discounts (e-rate)

CODE OF FEDERAL REGULATIONS, TITLE 28

35.107 Nondiscrimination on basis of disability; complaints

CODE OF FEDERAL REGULATIONS, TITLE 34

104.7 Designation of responsible employee for Section 504

106.8 Designation of responsible employee for Title IX

110.25 Notification of nondiscrimination on the basis of age

COURT DECISIONS

Wynar v. Douglas County School District, (2013) 728 F.3d 1062

J.C. v. Beverly Hills Unified School District, (2010) 711 F.Supp.2d 1094

Lavine v. Blaine School District, (2002) 279 F.3d 719

Management Resources:

CSBA PUBLICATIONS

Final Guidance: AB 1266, Transgender and Gender Nonconforming Students, Privacy, Programs, Activities & Facilities, Legal Guidance, March 2014

Providing a Safe, Nondiscriminatory School Environment for Transgender and Gender-Nonconforming Students, Policy Brief, February 2014

Addressing the Conditions of Children: Focus on Bullying, Governance Brief, December 2012

Safe Schools: Strategies for Governing Boards to Ensure Student Success, 2011

Providing a Safe, Nondiscriminatory School Environment for All Students, Policy Brief, April 2010

Cyberbullying: Policy Considerations for Boards, Policy Brief, July 2007/2010

Building Healthy Communities: A School Leaders Guide to Collaboration and Community Engagement, 2009

CALIFORNIA DEPARTMENT OF EDUCATION PUBLICATIONS

Bullying Module

California's Social and Emotional Learning: Guiding Principles, 2018

Social and Emotional Learning in California: A Guide to Resources, 2018

Health Education Content Standards for California Public Schools: Kindergarten Through Grade Twelve, 2008

Bullying at School, 2003

CALIFORNIA OFFICE OF THE ATTORNEY GENERAL PUBLICATIONS

Promoting a Safe and Secure Learning Environment for All: Guidance and Model Policies to Assist California K-12 Schools in Responding to Immigration Issues, April 2018

U.S. DEPARTMENT OF EDUCATION, OFFICE FOR CIVIL RIGHTS PUBLICATIONS

Dear Colleague Letter: Responding to Bullying of Students with Disabilities, October 2014

Guidance to America's Schools: Bullying of Students with Disabilities, October 2014

Dear Colleague Letter: Guidance on Schools' Obligations to Protect Students from Student-on-Student Harassment on the Basis of Sex; Race, Color and National Origin; and Disability, October 26, 2010

Dear Colleague Letter: Harassment and Bullying, October 2010

WEB SITES

CSBA: <http://www.csba.org>

California Cybersafety for Children: <http://www.cybersafety.ca.gov>

California Department of Education, Safe Schools Office: <http://www.cde.ca.gov/lss>

California Office of the Attorney General: <http://oag.ca.gov>

Center on Great Teachers and Leaders: <https://gtlcenter.org>

Collaborative for Academic Social and Emotional Learning: <https://casel.org>

Common Sense Media: <http://www.commonsensemedia.org>

Center for Safe and Responsible Internet Use: <http://cyberbully.org>

National School Boards Association: <http://www.nsba.org>

National School Safety Center: <http://www.schoolsafety.us>

U.S. Department of Education, ~~Office for Civil Rights~~: <http://www.ed.gov/about/offices/list/ocr>

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 _____ San Jose, California

Revised: June 25, 2020

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Board Policy 5141.4, Child Abuse Prevention and Reporting – First Reading**

Attached is the first reading for Board Policy 5141.4, Child Abuse Prevention and Reporting.

Recommendation:

Staff recommends approval of first reading.

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting:

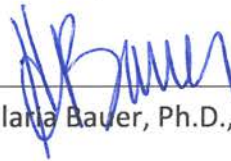
June 25, 2020

Information Only

Regular Board Meeting

7.02

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Policy

Child Abuse Prevention And Reporting

BP 5141.4

Students

The Governing Board is committed to supporting the safety and well-being of district students and desires to facilitate the prevention of and response to child abuse and neglect. The Superintendent or designee shall develop and implement strategies for preventing, recognizing, and promptly reporting known or suspected child abuse and neglect.

The Superintendent or designee may provide a student who is a victim of abuse with school-based mental health services or other support services and/or may refer the student to resources available within the community as needed.

(cf. 1400 - Relations Between Other Governmental Agencies and the Schools)

(cf. 5141.6 - School Health Services)

(cf. 6164.2 - Guidance/Counseling Services)

Child Abuse Prevention

The district's instructional program shall include age-appropriate and culturally sensitive child abuse prevention curriculum. This curriculum shall explain students' right to live free of abuse, include instruction in the skills and techniques needed to identify unsafe situations and react appropriately and promptly, inform students of available support resources, and teach students how to obtain help and disclose incidents of abuse.

(cf. 6142.8 - Comprehensive Health Education)

(cf. 6143 - Courses of Study)

The district's program also may include age-appropriate curriculum in sexual abuse and sexual assault awareness and prevention. Upon written request of a student's parent/guardian, the student shall be excused from taking such instruction. (Education Code 51900.6)

~~The Board of Trustees recognizes the district's responsibility to educate students about the dangers of child abuse so that they will acquire the skills and techniques needed to identify unsafe situations and to react appropriately and promptly.~~

~~As appropriate~~ The Superintendent or designee shall, to the extent feasible, seek to incorporate community resources into the district's child abuse prevention programs. ~~To the extent feasible, the Superintendent or designee shall~~ use these community resources to provide parents/guardians with instruction in parenting skills and child abuse prevention.

~~(cf. 1020 — Youth Services)~~

Child Abuse Reporting

~~The Board recognizes that child abuse has severe consequences and that the district has a responsibility to protect students by facilitating the prompt reporting of known and suspected incidents of child abuse.~~ The Superintendent or designee shall establish procedures for the identification and reporting of known and suspected child abuse and neglect ~~such incidents~~ in accordance with law.

~~(cf. 4119.21/4219.21/4319.21 - Professional Standards)~~

~~(cf. 5145.7 - Sexual Harassment)~~

Procedures for reporting child abuse shall be included in the district and/or school comprehensive safety plan. (Education Code 32282)

~~(cf. 0450 - Comprehensive Safety Plan)~~

~~Employees~~ District employees who are mandated reporters, as defined by law and administrative regulation, are obligated to report all known or suspected incidents of child abuse and neglect. ~~Mandated reporters shall not investigate any suspected incidents but rather shall cooperate with agencies responsible for investigating and prosecuting cases of child abuse and neglect.~~

The Superintendent or designee shall provide training regarding the reporting duties of mandated reporters.

~~In the event that training is not provided to mandated reporters, the Superintendent or designee shall report to the California Department of Education the reasons that such training is not provided. (Penal Code 11165.7)~~

Legal Reference:

EDUCATION CODE

32280-32288 Comprehensive school safety plans

33195 Heritage schools, mandated reporters

33308.1 Guidelines on procedure for filing child abuse complaints

44252 Teacher credentialing

~~44690~~ 44691 Staff development in the detection of child abuse and neglect

44807 Duty concerning conduct of students

48906 Notification when student released to peace officer

48987 Dissemination of reporting guidelines to parents

49001 Prohibition of corporal punishment

51220.5 Parenting skills education

51900.6 Sexual abuse and sexual assault awareness and prevention

PENAL CODE

152.3 Duty to report murder, rape, or lewd or lascivious act

273a Willful cruelty or unjustifiable punishment of child; endangering life or health

288 Definition of lewd or lascivious act requiring reporting

11164-11174.4-3 Child Abuse and Neglect Reporting Act

WELFARE AND INSTITUTIONS CODE

15630-15637 Dependent adult abuse reporting

CODE OF REGULATIONS, TITLE 5

4650 Filing complaints with CDE, special education students

UNITED STATES CODE, TITLE 42

11434a McKinney-Vento Homeless Assistance Act; definitions

COURT DECISIONS

Camreta v. Greene (2011) 131 S.Ct. 2020

Management Resources:

CALIFORNIA DEPARTMENT OF EDUCATION PUBLICATIONS

Health Education Content Standards for California Public Schools, Kindergarten Through Grade Twelve

Health Framework for California Public Schools, Kindergarten Through Grade Twelve

CDE LEGAL ADVISORIES

~~0514.93—Guidelines for parents to report suspected child abuse~~

WEB SITES

California Attorney General's Office, Suspected Child Abuse Report Form ~~Crime and Violence Prevention Center~~: http://www.ag.ca.gov/childabuse/pdf/ss_8572.pdf ~~http://safestate.org~~

California Department of Education, Safe Schools: <http://www.cde.ca.gov/lr/ss/ap>

California Department of Social Services, Children and Family Services Division:
<http://www.childsworld.ca.gov>

U.S. Department of Health and Human Services,

Child Welfare Information Gateway: <https://www.childwelfare.gov/can>

~~National Clearinghouse on Child Abuse and Neglect Information: <http://nccan.ch.acf.hhs.gov>~~

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 San Jose, California

Revised: June 25, 2020

FIRST READING

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Board Policy 0450, Comprehensive Safety Plan – First Reading**

Attached is the first reading for Board Policy 0450, Comprehensive Safety Plan.

Recommendation:

Staff recommends approval of first reading.

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Information Only

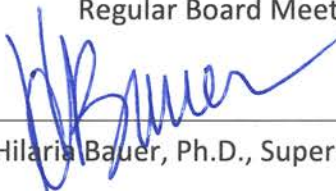
7.03

Agenda Placement

Meeting:

June 25, 2020

Regular Board Meeting


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Policy

Comprehensive Safety Plan

BP 0450

Philosophy, Goals, Objectives and Comprehensive Plans

The Board of Trustees recognizes that students and staff have the right to a safe and secure campus where they are free from physical and psychological harm. The Board is fully committed to maximizing school safety and to creating a positive learning environment that includes strategies for violence prevention and high expectations for student conduct, responsible behavior, and respect for others.

(cf. 0410 - Nondiscrimination in District Programs and Activities)

(cf. 1312.3 - Uniform Complaint Procedures)

(cf. 3515 - Campus Security)

(cf. 3515.2 - Disruptions)

(cf. 3515.3 - District Police/Security Department)

(cf. 3515.7 - Firearms on School Grounds)

(cf. 5131 - Conduct)

(cf. 5131.2 - Bullying)

(cf. 5131.4 - Student Disturbances)

(cf. 5131.41 - Use of Seclusion and Restraint)

(cf. 5131.7 - Weapons and Dangerous Instruments)

(cf. 5136 - Gangs)

(cf. 5137 - Positive School Climate)

(cf. 5138 - Conflict Resolution/Peer Mediation)

(cf. 5144 - Discipline)

(cf. 5144.1 - Suspension and Expulsion/Due Process)

(cf. 5144.2 - Suspension and Expulsion/Due Process (Students with Disabilities))

(cf. 5145.3 - Nondiscrimination/Harassment)

(cf. 5145.7 - Sexual Harassment)

(cf. 5145.9 - Hate-Motivated Behavior)

The school site council at each district school shall develop a comprehensive school safety plan relevant to the needs and resources of that particular school. New school campuses shall develop a safety plan within one year of initiating operations. (Education Code 32281, 32286)

(cf. 0420 - School Plans/Site Councils)

(cf. 1220 - Citizen Advisory Committees)

The school safety plan shall take into account the school's staffing, available resources, and building design, as well as other factors unique to the site.

~~Each school shall forward its comprehensive safety plan to the Board for approval. (Education Code 32288)~~

The comprehensive safety plan(s) shall be reviewed and updated by March 1 of each year and forwarded to the Board for approval. (Education Code 32286, 32288)

The Board shall review the comprehensive safety plan(s) in order to ensure compliance with state law, Board policy, and administrative regulation and shall approve the plan(s) at a regularly scheduled meeting.

(cf. 0500 - Accountability)
(cf. 9320 - Meetings and Notices)

By October 15 of each year, the Superintendent or designee shall notify the California Department of Education of any schools that have not complied with the requirements of Education Code 32281. (Education Code 32288)

Tactical Response Plan

Notwithstanding the process described above, any portion of a comprehensive safety plan that ~~addresses~~includes tactical responses to criminal incidents that may result in death or serious bodily injury at the school site, including steps to be taken to safeguard students and staff, secure the affected school premises, and apprehend the criminal perpetrator(s), shall be developed by district administrators in accordance with Education Code 32281. In developing such strategies, district administrators shall consult with law enforcement officials and with ~~a~~ representative(s) of ~~an~~ employee bargaining unit(s), if ~~he/she/they~~ chooses to participate.

When reviewing the tactical response plan, the Board may meet in closed session to confer with law enforcement officials, ~~provided that~~ any vote to approve the tactical response plan is announced in open session following the closed session. (Education Code 32281)

(cf. 4119.23/4219.23/4319.23 - Unauthorized Release of Confidential/Privileged Information)
(cf. 9011 - Disclosure of Confidential/Privileged Information)
(cf. 9321 - Closed Session Purposes and Agendas)
(cf. 9321.1 - Closed Session Actions and Reports)

~~Public~~ Access to Safety Plan(s)

The Superintendent or designee shall ensure that an updated file of all safety-related plans and materials is readily available for inspection by the public. (Education Code 32282)

(cf. 1340 - Access to District Records)

However, those portions of the comprehensive safety plan that include tactical responses to criminal incidents shall not be publicly disclosed.

The Superintendent or designee shall share the comprehensive safety plans and any updates to the plans with local law enforcement, the local fire department, and other first responder entities. (Education Code 32281)

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of discrimination

32260-32262 Interagency School Safety Demonstration Act of 1985

32270 School safety cadre

32280-32289 School safety plans

32290 Safety devices

35147 School site councils and advisory committees

35183 School dress code; uniforms

35291 Rules

35291.5 School-adopted discipline rules

~~35294.10-35294.15 School Safety and Violence Prevention Act~~

~~41020 Annual audits~~

~~41510-41514 School Safety Consolidated Competitive Grant Program~~

48900-48927 Suspension and expulsion

48950 Speech and other communication

49079 Notification to teacher; student act constituting grounds for suspension or expulsion

67381 Violent crime

GOVERNMENT CODE

54957 Closed session meetings for threats to security

PENAL CODE

422.55 Definition of hate crime

626.8 Disruptions

11164-11174.3 Child Abuse and Neglect Reporting Act

CALIFORNIA CONSTITUTION

Article 1, Section 28(c) Right to Safe Schools

CODE OF REGULATIONS, TITLE 5

11987-11987.7 School Community Violence Prevention Program requirements

11992-11993 Definition, persistently dangerous schools

UNITED STATES CODE, TITLE 20

7111-7122 Student Support and Academic Enrichment Grants

~~7101-7165 Safe and Drug Free Schools and Communities~~

7912 Transfers from persistently dangerous schools

UNITED STATES CODE, TITLE 42

12101-12213 Americans with Disabilities Act

Management Resources:

CSBA PUBLICATIONS

Updated Legal Guidance: Protecting Transgender and Gender Nonconforming Students Against Sex Discrimination, July 2016

Providing a Safe, Nondiscriminatory School Environment for Transgender and Gender-Nonconforming Students, Policy Brief, February 2014

Safe Schools: Strategies for Governing Boards to Ensure Student Success, ~~Third Edition, revised October~~ 2011

Community Schools: Partnerships Supporting Students, Families and Communities, Policy Brief, October 2010

Cyberbullying: Policy Considerations for Boards, Policy Brief, July 2010

~~Providing a Safe, Nondiscriminatory School Environment for All Students, Policy Brief, April 2010~~

CALIFORNIA DEPARTMENT OF EDUCATION PUBLICATIONS

Safe Schools: A Planning Guide for Action, 2002

FEDERAL BUREAU OF INVESTIGATION PUBLICATIONS

Uniform Crime Reporting Handbook, 2004

U.S. DEPARTMENT OF EDUCATION PUBLICATIONS

Practical Information on Crisis Planning: A Guide for Schools and Communities, January 2007

~~Early Warning, Timely Response: A Guide to Safe Schools, August 1998~~

U.S. SECRET SERVICE AND U.S. DEPARTMENT OF EDUCATION PUBLICATIONS

Threat Assessment in Schools: A Guide to Managing Threatening Situations and to Creating Safe School Climates, ~~2002~~2004

WEB SITES

CSBA: <http://www.csba.org>

California Department of Education, Safe Schools: <http://www.cde.ca.gov/lr/ss>

~~California Emergency Management Agency: <http://www.calema.ca.gov>~~

~~California Governor's Office of Emergency Services: <http://www.caloes.ca.gov>~~

California Healthy Kids Survey: <http://chks.wested.org>

Centers for Disease Control and Prevention: <http://www.cdc.gov/ViolencePrevention>

Federal Bureau of Investigation: <http://www.fbi.gov>

~~National Alliance for Safe Schools: <http://www.safeschools.org>~~

National Center for Crisis Management: <http://www.schoolcrisisresponse.com>

National School Safety Center: <http://www.schoolsafety.us>

U.S. Department of Education: <http://www.ed.gov>

U.S. Secret Service, National Threat Assessment Center:

http://www.secretservice.gov/protection/ntac_ntac_ssi.shtml

Policy ____ ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 _____ San Jose, California

Revised: June 25, 2020

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Approve Board Policy 9240, Board Training- Second Reading

Attached is the second reading for Board Policy 9240, Board Training.

Recommendation:

Staff recommends approval of second reading.

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting:

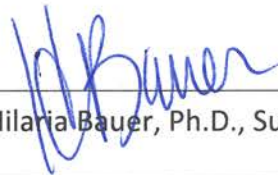
June 25, 2020

Approval

Regular Board Meeting

7.04

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Bylaw

Board Training

BB 9240

Board Bylaws

The Board of Trustees believes that the Board's ability to effectively and responsibly govern the district is essential to promoting student achievement, building positive community relations, and protecting the public interest in district schools. Board members shall be provided sufficient opportunities for professional development that helps them understand their responsibilities, stay abreast of new developments in education, and develop boardsmanship skills.

(cf. 9000 - Role of the Board)

(cf. 9005 - Governance Standards)

The Board and/or the Superintendent or designee shall provide an orientation to newly elected or appointed Board members which includes comprehensive information regarding Board roles, policies, and procedures and the district's vision and goals, operations, and current challenges. Throughout their first term, Board members shall continue to participate in additional educational opportunities designed to assist them in understanding the principles of effective governance, including, but not limited to, information on school finance and budgets, student achievement and assessment, labor relations, community relations, program evaluation, open meeting laws (the Brown Act), conflict of interest laws, and other topics necessary to govern effectively and in compliance with law.

(cf. 9230 - Orientation)

All Board members are encouraged to continuously participate in advanced training offered by the California School Boards Association and/or education through an accredited college or university -in order to reinforce boardsmanship skills and build knowledge related to key education issues. Such activities may include online courses, webinars, webcasts, and in-person attendance at workshops and conferences. In addition, workshops and consultations may be held within the district on issues that involve the entire governance team.

The Superintendent shall make available the dates for the Masters in Governance Courses. The Superintendent shall provide, to the extent possible, registration and hotel arrangements which shall be made through the Superintendent's office.

The goal is to increase academic achievement by improving organizational effectiveness and efficiency, learning best practices to identify and fix weaknesses.

Funds for board training shall be budgeted annually for the Board and each Board member. In selecting appropriate activities, the Board and/or individual Board members shall consider atraining and/or education etivities that are-is aligned with the district's vision and goals and the needs of the Board or individual member to obtain specific knowledge and skills. The Board shall annually develop a board training calendar in order to schedule and track board training activities and to schedule opportunities for Board members to report on the activities in which they participated.

(cf. 0000 - Vision)

(cf. 0200 - Goals for the School District)

(cf. 3100 - Budget)

(cf. 9250 - Remuneration, Reimbursement, and Other Benefits)

Board members may attend a conference or similar public gathering with other Board members and/or with the Superintendent or designee in order to develop common knowledge and understanding of an issue or engage in team-building exercises. In such cases, a majority of the Board members shall not discuss among themselves, other than as part of the scheduled program, business of a specified nature that is within the district's jurisdiction, so as not to violate the Brown Act open meeting laws pursuant to Government Code 54952.2.

(cf. 9320 - Meetings and Notices)

Board members shall report, orally or in writing, on the board training activities they attend, for the purpose of sharing the acquired knowledge or skills with the full Board and enlarging the benefit of the activity to the Board and district.

Legal Reference:

GOVERNMENT CODE

54950-54963 The Ralph M. Brown Act, especially:

54952.2 Meeting

Management Resources:

CSBA PUBLICATIONS

Professional Governance Standards for School Boards

WEB SITES

CSBA: <http://www.csba.org>

California County Boards of Education: <http://www.theccbe.org>

National School Boards Association: <http://www.nsba.org>

Bylaw __ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: __July 2, 2013 _____San Jose, California

Revised: October 10, 2019

| Revised: June 1125, 2020

SECOND READING

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **The board will discuss and review the merits of School Resource Officers Program in Alum Rock.**

Information

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting:

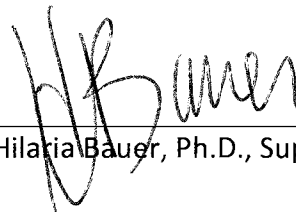
June 25, 2020

Regular Board Meeting

Information Only

7.05

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.06

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Resolution # 44-19/20 Language Access.**

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

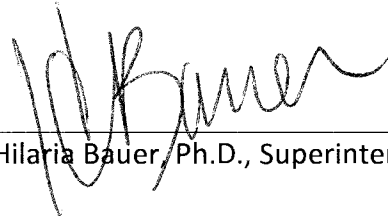
Meeting:

June 25, 2020

Regular Board Meeting

7.06

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.07

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Approve Resolution # 41-19/20 of the Board of Trustees of the Alum Rock Union Elementary School District that Black Lives Matter.**

Approve

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting:

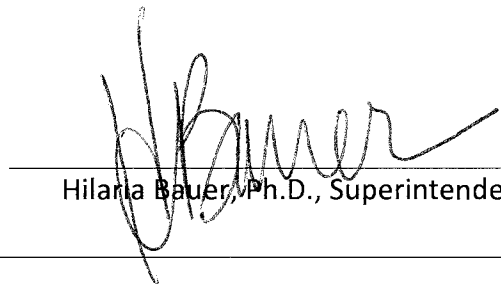
June 25, 2020

Regular Board Meeting

Approve

7.07

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**Resolution of the Board of Trustees of the Alum Rock Union Elementary School District
that Black Lives Matter**

RESOLUTION 41-19/20

WHEREAS, current events have enhanced the urgent need for communities and leaders to stand in solidarity against the murder of unarmed Black men and women by declaring unequivocally that the lives of Black students and Black people in our community matters; and

WHEREAS, proclaiming that Black Lives Matter and condemning ALL discrimination and racism ensuring an inclusion and supportive school environment for all students regardless of race, sexual orientation, immigration status and other protected groups aligns with the District's values of being responsive to the needs of our community and ensuring that we elevate conversations to forge racial equity and disrupt systems of inequity; and

WHEREAS, ARUESD has a shared core value of equity and the District and its stakeholders celebrate our diversity; and

WHEREAS, as a public school district, it is our solemn duty to drive the limitless growth potential of human beings, with a charge to guide our youth to find and achieve their purpose with a belief that every human being deserves to live with dignity, safety, and liberty; and

WHEREAS, the ARUESD administration and employees take responsibility for actions, decisions, and statements that impact the education community and the public; and

WHEREAS, the ARUESD Board, has outlined in the Board Resolution #39-19/20 adopted at the June 11, 2020 Board meeting, has committed to relentlessly pursue systemic improvements to policies, programs, and practices that eliminate inequities; ensure educational success for every racial, ethnic, and demographically diverse group of students; and enrich the lives of all children in ARUESD; and

the Board recognizes that disparities between the achievement of other students and that of Black students persist, even though these students have the same learning and academic potential and all bring unique, valuable perspectives and experiences to our classrooms; and

WHEREAS, the Board recognizes that general education research and practice have created a picture that overemphasizes the deficits of Black children, their families and community instead of celebrating them for the unique gifts they bring with them into schools and providing them the opportunity to access more rigorous grade-level classes and materials.

NOW THEREFORE, BE IT RESOLVED THAT, the Board of Trustees declares that the lives of Black students, Black families, Black employees and of our Black community members matter.

BE IT FURTHER RESOLVED THAT, the Board is therefore committed to continuing to address systemic racism towards Black students and the Board will in collaboration with the community identify high need issues and shall reconvene with the Superintendent in [60] days to begin to effectively address the issues by using the tools, systems, resources and talent that exist within our district and community and equitably investing resources for our students and their families to achieve marked and measurable improvements for Black students within our District over the next year.

PASSED AND ADOPTED by the Board of Education of the Alum Rock Union Elementary School District at a regular meeting held on this 25th day of June, 2020, by the following vote:

Ayes _____ Noes _____ Absent _____ Abstain _____

Board of Trustees:

Ernesto Bejarano, President	_____
Corina Herrera-Loera, Vice President	_____
Andrés Quintero, Clerk	_____
Linda Chavez, Member	_____
Dolores Marquez-Frausto, Member	_____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on June 25, 2020.

Hilaria Bauer, Ph.D., Superintendent

Date

8.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: June 12, 2020

Subject: Citizen's Bond Oversight Committee Report

Staff Analysis: The Measure J and Measure I Independent Citizen's Bond Oversight Committee (CBOC) will present its Annual Report. The report will reflect the Committee's oversight of Bond projects and expenditures between July 1, 2018 through June 30, 2019. The report will be presented by the committee members.

****FOR INFORMATION ONLY****

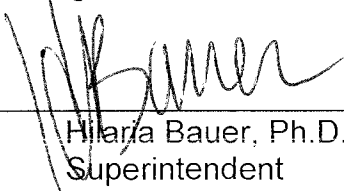
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

FOR INFORMATION ONLY

8.01
Agenda Placement


Hilary Bauer, Ph.D.,
Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: June 5, 2020

Subject: Approve the LCAP Federal Addendum

Staff Analysis: The LCAP Federal Addendum Template must be reviewed annually and kept on file locally to meet the requirements for ESSA funding.

The LCAP Federal Addendum is meant to supplement the LCAP to ensure that eligible LEAs have the opportunity to meet the provisions of the Every Student Succeeds Act (ESSA) ESSA funds (Title I, Title II, Title III, Title IV) are supplemental to state funds, just as the LCAP Federal Addendum supplements the LCAP. Furthermore, the LCAP Federal Addendum provides LEAs the opportunity to document their approach to maximizing the impact of federal investments in support of underserved students.

Recommendation: Approval of the LCAP Federal Addendum

Submitted by: Sandra Garcia

Title: Director of State and Federal Programs

Approved by: Rene Sanchez

R.S.

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

8.02

Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by:

Seconded by:

Approved: _____

Not Approved: _____

Tabled: _____

**Local Control and Accountability Plan (LCAP)
Every Student Succeeds Act (ESSA)
Federal Addendum Template**

LEA Name

Alum Rock Union Elementary School District

CDS Code:

43693690000000

Link to the LCAP:

(optional)

For which ESSA programs apply to your LEA?

Choose From:

TITLE I, PART A

Improving Basic Programs Operated by
State and Local Educational Agencies

TITLE II, PART A

Supporting Effective Instruction

TITLE III, PART A

Language Instruction for English Learners
and Immigrant Students

TITLE IV, PART A

Student Support and Academic
Enrichment Grants

(note: This list only includes ESSA programs with LEA plan requirements; not all ESSA programs.)

In the following pages, ONLY complete the sections for the corresponding programs.

Strategy

Explain the LEA's strategy for using federal funds to supplement and enhance local priorities or initiatives funded with state funds, as reflected in the LEA's LCAP. This shall include describing the rationale/evidence for the selected use(s) of federal funds within the context of the LEA's broader strategy reflected in the LCAP.

Alum Rock School District uses federal funds to supplement and enhance local priorities and initiatives written into our district's Local Control Accountability Plan. The federal resources serve a population of students that need additional support above the core. These funds are designed to close the achievement gap for our neediest students and ensure that they reach their full potential. The students served through the various categorical funds include economically disadvantaged students, students who lack English language proficiency, students at risk of failing, students experiencing trauma and homeless students. A comprehensive needs assessment is conducted based on a variety of data ranging from academic assessments, attendance data, suspension data and parent, student and staff surveys to allocate funding for supplemental services, programs and development of staff.

Alignment

Describe the efforts that the LEA will take to align use of federal funds with activities funded by state and local funds and, as applicable, across different federal grant programs.

Alum Rock staff engages in continuous cycles of improvement across the district. During our annual LCAP planning process, district staff engages in conversations with the various stakeholders in our community as an integral component of our program review. This information combined with results of our annual measurable outcomes, student assessment data and our comprehensive needs assessment allows us to align priorities for all students. Federal funds allow us to supplement the actions and services reflected in our district's LCAP. This funding allows us to provide additional services that address the learning gaps for disadvantaged and English learner students. In addition, utilizing federal funds for professional development builds capacity in teachers and provides strategies that help students reach their full potential. Furthermore, activities for supporting students' social emotional needs and 21st Century skills are needs that are supported with district federal dollars.

ESSA Provisions Not Addressed in the LCAP

For the majority of LEAs the ESSA provisions on the following pages do not align with state priorities. **Each provision for each program provided on the following pages must be addressed**, unless the provision is not applicable to the LEA. In addressing these provisions, LEAs must provide a narrative that addresses the provision **within this addendum**.

As previously stated, the CDE emphasizes that the LCAP Federal Addendum should not drive LCAP development. ESSA funds are supplemental to state funds, just as the LCAP Federal Addendum supplements your LCAP. LEAs are encouraged to integrate their ESSA funds into their LCAP development as much as possible to promote strategic planning of all resources; however, this is not a requirement. In reviewing the LCAP Federal Addendum, staff will evaluate the LEA's responses to the ESSA plan provisions. There is no standard length for the responses. LEAs will be asked to clarify insufficient responses during the review process.

TITLE I, PART A

Educator Equity

ESSA SECTION 1112(b)(2)

Describe how the LEA will identify and address, as required under State plans as described in Section 1111(g)(1)(B), any disparities that result in low-income students and minority students being taught at higher rates than other students by ineffective, inexperienced, or out-of-field teachers.

THIS ESSA PROVISION IS ADDRESSED BELOW:

The Alum Rock School District works to ensure that all students are taught by effective, experienced, and fully credentialed teachers. Each year the Department of Human Resources reviews each applicant to ensure all teachers have the credential and experience for their assigned placement. All schools in Alum Rock Union School District are designated as Title I Schoolwide Programs. Our District population serves 83% socioeconomically disadvantaged and 98% minority youth. Based on the data attained through the CDE website, we do not have disparities that result in low-income students and minority students being taught at higher rates by ineffective, inexperienced or out of field teachers. In addition, as part of the ConApp Reporting System our district completes a comparability report to ensure there are no disparities in student/teacher ratio across the sites. A District team consisting of representatives from Human Resources, Student Services and Academic Services coordinates staffing meetings with Principals on an annual basis to discuss the specific needs of the site. During these meetings, vacancies are discussed and HR supports in matching teachers to the needs of the site considering each candidate's experience, type of credential and language proficiency. The district actively recruits applicants for all positions and believes the competitive process results in the best candidate chosen for a site based on the needs of the school and works with Principals to ensure all students are taught by highly effective teachers. We currently have support in place for our Intern teachers or teachers on permit. Each teacher receives additional support through a mentor teacher assigned by our Academic Services Department. These teachers meet with their mentors to receive coaching support and attend regular teacher collaborative group meetings to analyze student data, inform instruction and discuss best practices with experienced teachers. If a disparity presents itself in the future through program evaluation and data analysis, we would identify the need at the affected school sites and allocate additional resources for professional development, mentorship and support to address the gaps.

Parent and Family Engagement

ESSA SECTIONS 1112(B)(3) AND 1112(B)(7)

Describe how the LEA will carry out its responsibility under Section 1111(d).

Alum Rock Union School District believes in engaging Stakeholders in a meaningful way that promotes a positive learning, working and community environment geared toward student achievement. We offer a variety of opportunities for parents to receive important information regarding their child's academic program and provide opportunities for involvement at school and at the District level. The district encourages parent leadership and monitors parent participation in site-based advisory committee groups such as ELAC and School Site Council and District-level advisory committees such as DAC, DELAC and SPARC. As a requirement for Title I funding, all schools discuss the Family Engagement Plan with their School Site Council and Parent Advisory groups to gather input for updating the plan. Once approved, it is shared with the school community through Mini Parent Universities, Principal's Coffees and Leadership meetings. During this same time, site administrators present information regarding Title I requirements and the programs and services offered in our District. Site administrators align the goals for parent engagement required under Title I with their LCAP goal centered around parent engagement. This alignment allows schools to allocate funding based on the needs of parents and families. Parent involvement is an integral step in developing the improvement plan for schools identified for Comprehensive Support and Improvement (CSI) and Additional Targeted Support and Improvement (ATSI). The LEA will establish/train a team to support the schools in their continuous improvement efforts. As a component of this support, information around CSI and ATSI will be presented at various parent meetings including district-level advisory committee meetings and others. Annual updates will be provided to the local governing board and plans will be made available via our district website. In addition, each school site will form a team that will support site administration for planning, reporting, and implementation of action plan. This team will include parent representatives from the designated CSI/ATSI schools. Our central office also provides opportunities for parents to attain the knowledge and skills to support their students' academic and social-emotional growth through parent trainings including classes in technology skills, Social Emotional Learning and English as a Second Language. Parent trainings are also becoming more common as an integrated component of student extended learning opportunities. In addition, translation and interpretation services provide access for parents with limited English skills. Furthermore, school community liaisons serve as a bridge between home and school and connect families to community resources.

Describe the strategy the LEA will use to implement effective parent and family engagement under Section 1116.

THIS ESSA PROVISION IS ADDRESSED BELOW:

At ARUSD we believe in engaging parents and arming them with information for helping their students make progress. Annually, our District Advisory Committee (DAC) provides input and participates in the development of our District Parent Engagement Policy. The policy is centered around the inclusion of parents as partners and valuing their contribution as part of a child's educational team. As part of this process, a plan for parent engagement activities including strategies for building capacity for all staff is aligned with the policy. The plan includes family involvement activities that improve student academic achievement and school performance, provide information for understanding State academic standards and coordination of strategies with other Federal, State and local programs. Once approved as part of this process, all Alum Rock families receive a copy of the District policy in three languages. An annual evaluation of the effectiveness of these programs is conducted as part of the development process. Every school in Alum Rock follows a similar process for developing their site parent involvement policy and plan through their school site councils. Teachers, support personnel and other school leaders are included in this process to ensure that strategies for outreach, communication and integration of parent involvement opportunities are included in the school's parent involvement policy. One of the mainstays of parent education in Alum Rock is our Parent University which is a semi annual event that focuses on providing information and resources to parents on a variety of topics that support student academic and socio-emotional development. In addition to Parent University, Alum Rock provides a variety of parent trainings throughout the year consisting of English as a Second Language, supporting students with special needs, parenting classes, computer education, bullying prevention, health education and more. Interpretation services are offered for all parenting classes to increase access for parents. Due to the number of students classified English learners in TK-3, it is critical to provide strategic and foundational support to these students and their parents. Another area of need is with English Learner students who also have special needs. Providing support and education to these parents is essential for progress to be realized. Each year we work in partnership with our site principals, teachers and parents via an EL Liaison group that meets one time per month in addition to our regularly scheduled ELAC and DELAC meetings to consult and engage our stakeholders on meeting the needs of students. Each school has a parent representative on the District English Learner Advisory Committee (DELAC) as well as site English Learner Advisory Committee (ELAC). Parent education classes are offered on a regular basis at school sites and centrally through Parent University and parent meetings. Parent trainings are offered throughout the year and consist of exposure to the ELD Standards/Framework/Roadmap, understanding of the ELPAC assessment and reclassification process, introduction to web-based apps that support language acquisition, and best practices for parents of English Learners. Furthermore, additional support is prioritized for identified Migrant students including parent trainings and meetings, intervention programs, tutoring, and counseling services. These services provide students the additional support needed to stay on track for academic success. Parent participation is a focus area for Alum Rock. Our District values the input of parents in regards to the resources needed to support their students. Our Local Control Accountability Plan (LCAP) also reflects this priority by committing one of our goals to this effort. The input we receive as part of our annual LCAP development process is considered when developing our District Parent Involvement policy and plan. As a means for engaging parents with limited English proficiency, parents with disabilities and parents of migratory students, District schools and central office reach out to parents in a variety of ways including translation of documents, interpretation services at meetings and trainings, invitations by email, phone calls and outreach by school community liaisons. Our District's goal is to provide accommodations in a variety of ways to ensure access for parents.

Schoolwide Programs, Targeted Support Programs, and Programs for Neglected or Delinquent Children

ESSA SECTIONS 1112(b)(5) and 1112(b)(9)

Describe, in general, the nature of the programs to be conducted by the LEA's schools under sections 1114 and 1115 and, where appropriate, educational services outside such schools for children living in local institutions for neglected or delinquent children, and for neglected and delinquent children in community day school programs.

All schools in Alum Rock Union School District are designated as Title I Schoolwide Programs. In order to support our neediest students, administrators attend an annual training on developing a School Plan for Student Achievement (SPSA) and the requirements for School Site Council (SSC) involvement and oversight. Administrators receive information on allowable expenses under Title I as a way to support and strengthen the academic program for disadvantaged students. Principals are advised to insert actions and services that increase the quality of learning time, support at risk students, involve parents, and enrich/accelerate the adopted curriculum. In addition, School Site Councils which include parents also attend a training on their roles and responsibilities under Title I as a way to build leadership capacity and participation in their schools. Annually, school site councils engage in program evaluation for actions and services included in their School Plan for Student Achievement (formerly known as Single School Plan for Student Achievement). As part of this process, School Site Council members analyze data including student data from standardized and formative assessments, survey data and any other pertinent local data. This process provides the information and guidance for develop the next school year. Included in these goals are actions and services that support students' academic and overall success.

Describe how teachers and school leaders, in consultation with parents, administrators, paraprofessionals, and specialized instructional support personnel, in schools operating a targeted assistance school program under Section 1115, will identify the eligible children most in need of services under this part.

THIS ESSA PROVISION IS ADDRESSED BELOW:

N/A

Homeless Children and Youth Services

ESSA SECTION 1112(b)(6)

Describe the services the LEA will provide homeless children and youths, including services provided with funds reserved under Section 1113(c)(3)(A), to support the enrollment, attendance, and success of homeless children and youths, in coordination with the services the LEA is providing under the McKinney-Vento Homeless Assistance Act (42 United States Code 11301 et seq.).

THIS ESSA PROVISION IS ADDRESSED BELOW:

Alum Rock Union School District allocates Title I funding to provide support for homeless children and youths including services to support enrollment, attendance and academic progress at school. Some of the specific resources provided are school uniforms, school supplies, backpacks, shoes, transportation, linking students to additional resources in school and the wider community, priority registration in after school programs, summer programs and tutoring addition, our school counselors and interns conduct regular check-ins with students to intervene when needed.

Student Transitions

ESSA SECTIONS 1112(b)(8), 1112(b)(10), and 1112(b)(10) (A–B)

Describe, if applicable, how the LEA will support, coordinate, and integrate services provided under this part with early childhood education programs at the LEA or individual school level, including plans for the transition of participants in such programs to local elementary school programs.

In order to facilitate a strong transition to TK and Kindergarten, we highly encourage students with minimal or no preschool experience to participate in our Bridge to TK/Kinder Summer Program. This program supports the whole family with transition to school including various parent workshops and classroom paras to lower class size. Elementary schools also host TK/Kindergarten orientations as a time to share information with parents on expectations, curriculum and ideas for effective transition to school.

Describe, if applicable, how the LEA will implement strategies to facilitate effective transitions for students from middle grades to high school and from high school to postsecondary education including:

- (A) coordination with institutions of higher education, employers, and other local partners; and
- (B) increased student access to early college high school or dual or concurrent enrollment opportunities, or career counseling to identify student interests and skills.

THIS ESSA PROVISION IS ADDRESSED BELOW:

For students transitioning from elementary to middle school, all middle schools provide student orientation events and parent orientations providing information on how to be successful in middle school. Middle Schools recruit incoming sixth graders to their summer programs around each school's focus. In addition, middle school counselors communicate with elementary counselors to better support students with unique needs. Master scheduling is done strategically to support students' academic needs. All middle schools work with their local high school district to provide opportunities for high schools to come and present information on their school programs. Middle school counselors support students by collaborating with the high school counselors on high need students, such as, foster youth, homeless students, students with trauma, etc. In addition, we offer high school integrated math for students that qualify. Identified middle Long term English Learner students are offered a zero period as a way of supporting their reclassification to English proficiency before promoting to high school. Reclassification ensures that these students are able to access A-G classes in high school. All special education teachers plan intake meetings with high school teams to provide a smooth transition for these students. During Parent University and throughout the year, our Student Services Department provides specific presentations on A-G requirements to support students and parents. Overall, our District has a college and career ready focus through a variety of activities such as College Day, participation in ALAS (Accelerating Latino/a Achievement and Success) conference and college campus visits. These activities bridge the gap for our neediest students that may not have access otherwise.

Additional Information Regarding Use of Funds Under this Part

ESSA SECTION 1112(b)(13) (A–B)

Provide any other information on how the LEA proposes to use funds to meet the purposes of this part, and that the LEA determines appropriate to provide, which may include how the LEA will:

- (A) assist schools in identifying and serving gifted and talented students; and
- (B) assist schools in developing effective school library programs to provide students an opportunity to develop digital literacy skills and improve academic achievement.

THIS ESSA PROVISION IS ADDRESSED BELOW:

Alum Rock School District allocates Title I funds to a variety of supplemental components that support our overall programming geared towards improved school and student achievement. Professional development activities, enrichment and intervention programs including summer programs, counselor intern support, parenting classes, Foster and McKinney Vento Youth support, supplemental technology initiatives, direct and indirect services, and salary differentials of instructional and administrative personnel are all budgeted in this part of Title I.

TITLE II, PART A

Professional Growth and Improvement

ESSA SECTION 2102(b)(2)(B)

Provide a description of the LEA's systems of professional growth and improvement, such as induction for teachers, principals, or other school leaders and opportunities for building the capacity of teachers and opportunities to develop meaningful teacher leadership.

THIS ESSA PROVISION IS ADDRESSED BELOW:

On an annual bases ARUSD surveys teachers on their professional development needs to assist with district and site planning. Input is provided in areas of curriculum and CCSS needs, technology learning and teacher interests. Administrators participate in their own PLC around improvement science. On a yearly basis, Alum Rock offers three professional development days for teachers to delve into districtwide initiatives and curricular components or site level to learn about teacher selected topics and/or site level focus areas. During the three days, teachers come together to strengthen their knowledge on a variety of topics such as Project-based learning using technology integration, guided reading, instructional techniques and other district initiatives. Teachers complete surveys and give feedback on their learning and the relevance and usefulness of the trainings. Professional growth is monitored through use of these surveys, classroom walkthroughs and attendance at trainings. Teachers have an opportunity during the evaluation process to discuss plans for professional development and growth in this area. Smarter balanced, CA Dashboard and local diagnostic assessments data are used to help plan our PD offerings. The district aligns the LCAP and site priorities to develop a professional development plan for all. The professional development offerings are delivered in a number of configurations - one time shot, PLC's, and workshop series. All professional development is centered around building our teachers capacity, improving teacher practices, engaging students and supporting the unique learning styles and needs of our students. In addition, during the preparation for completing the local indicators (Priority 2), teachers are given an opportunity to provide feedback on the topics of interest for professional development, how well PD offerings support their teaching of the CCSS and how effectively these opportunities are preparing them as teachers. At the end of each PLC, teachers are given surveys to evaluate the effectiveness of the specific workshop and inform future offerings. In terms of new teacher support, Alum Rock teachers are provided a mentor who guides them through the induction process. New teachers who do not qualify for induction receive an onsite mentor who supports with instruction and management. Participating teachers take surveys at the end of the year and each present their inquiry project as a culmination of the process. Principals receive professional development through our monthly Instructional Leadership PLC in partnership with professional agencies. Topics such as school improvement, needs assessments, best practices and equity are addressed. Each site creates a Theory of Change and action plan to guide them through different inquiry cycles throughout the year. Two times a year, site leaders and their Instructional Leadership Team come together for capacity building and planning. New site administrators receive coaching and mentorship from a professional agency. In addition, Assistant Principals are part of a monthly professional learning community led by our Academic Services team. Furthermore, teams of Principals have been able to attend leadership institutes to develop their practice. As part of their professional growth, leaders receive feedback during their annual evaluation process with the Superintendent and Assistant Superintendent with a focus on academic growth and their Dashboard data.

Prioritizing Funding

ESSA SECTION 2102(b)(2)(C)

Provide a description of how the LEA will prioritize funds to schools served by the agency that are implementing comprehensive support and improvement activities and targeted support and improvement activities under Section 1111(d) and have the highest percentage of children counted under Section 1124(c).

THIS ESSA PROVISION IS ADDRESSED BELOW:

All professional development activities are designed to support improved classroom practice and yield improvements in student achievement. The district uses data from the CA Dashboard to look at the areas of need for professional development. We identify trends and needs for subgroups, areas of focus for curricular areas and overall needs for capacity building. We use a Differentiated Assistance lens and analyze the needs of our CSI/ATSI schools to allocate funding to high need schools and their staff. In addition, we use data from Panorama survey and the LCAP engagement meetings for identification of needs. District wide professional development are planned for areas identified by parents in areas such as Socio-Emotional Learning, methods for reducing the suspension rate, improving chronic absenteeism rates and school climate, etc. A curriculum council comes together to discuss potential professional development opportunities. At the end of the year we evaluate staff participations in the planned trainings.

Data and Ongoing Consultation to Support Continuous Improvement

ESSA SECTION 2102(b)(2)(D)

Provide a description of how the LEA will use data and ongoing consultation described in Section 2102(b)(3) to continually update and improve activities supported under this part.

THIS ESSA PROVISION IS ADDRESSED BELOW:

As a Differentiated Assistance district we use identified areas from the CA Dashboard as a focus and the root causes from our needs assessment process to develop capacity building and professional development activities for our principals. Strategic plans are created across departments to address student needs. We identify trends and seek to utilize research-based professional development in an effort to support our most disadvantaged students. Site leaders participate in professional development around improvement science as a means to focus the work at the sites and develop Plan-Do-Study-Act (PDSA) cycles. Each CSI school and ATSI school, conducts a needs assessment with all stakeholders using data from SBAC, CA Dashboard and local formative and diagnostic assessments. Each school through their root cause analysis determines areas of focus tied to the LCAP areas of focus and District priorities to write their school plan for student achievement (SPSA). Goals established are a direct result of the needs assessment work with their stakeholders. Stakeholder groups include parents, staff, school site council/ELAC and students. On an annual basis, programs and actions stated in the plans are evaluated for effectiveness. Based on these site level plans, PD is planned for staff meetings, etc. As part of our annual LCAP development process and program evaluation, Alum Rock regularly includes a variety of district stakeholders (i.e. parents, teachers, administrators, members of various bargaining units, community partners, students and partners from professional organizations) at our community forums, advisory committee meetings and other district meetings in an effort to gather input around program effectiveness. Parent, student and staff surveys are an additional data source we consider when developing improvement plans. Alum Rock coordinates professional learning activities with teacher capacity building as a way to prepare teachers to lead activities such as STEAM showcase, District Spelling Bee, and VAPA showcases, etc. In order to encourage professional growth among our teaching staff, a variety of our professional development activities for teachers are developed and given by teachers using the Trainer of Trainers approach.

TITLE III, PART A

Title III Professional Development

ESSA SECTION 3115(c)(2)

Describe how the eligible entity will provide effective professional development to classroom teachers, principals and other school leaders, administrators, and other school or community-based organizational personnel.

THIS ESSA PROVISION IS ADDRESSED BELOW:

Professional development to teachers, principals and partner stakeholders is differentiated to best meet the needs of participants. PD is aligned to language domains (i.e., speaking, listening, reading and writing) and is high-yield and foundational to planning. Alternative programs such as Two Way Dual Immersion are planned for and developed to provide a unique approach for language acquisition. There is a blend of workshops, half-day and full day sessions to meet our learning goals. We facilitate a number of PDs in house as well as partner with our local county office of education for professional learning for our stakeholders. DELAC leaders receive trainings to inform, educate and develop leadership skills in all areas relevant to their English Learner student's academic program.

Enhanced Instructional Opportunities

ESSA SECTIONS 3115(e)(1) and 3116

Describe how the eligible entity will provide enhanced instructional opportunities for immigrant children and youth.

THIS ESSA PROVISION IS ADDRESSED BELOW:

N/A

Title III Programs and Activities

ESSA SECTIONS 3116(b)(1)

Describe the effective programs and activities, including language instruction educational programs, proposed to be developed, implemented, and administered under the subgrant that will help English learners increase their English language proficiency and meet the challenging State academic standards.

THIS ESSA PROVISION IS ADDRESSED BELOW:

Alum Rock Union School District provides the following to ensure that our English Learner students have a high quality, standards-aligned program based on scientific research. We provide both before and after school interventions and extended day instruction for students identified as English learners. During this supplemental instructional time, we have used common core aligned supplemental instructional materials that are designed for supporting students' language development in English many times using the support of web-based programs. Summer programs are offered for targeted students needing additional support with language acquisition in English. Additionally, we have worked in partnership with our site principals, teachers and parents via an EL Liaison group that meets one time per month in addition to our regularly scheduled ELAC and DELAC meetings to consult and engage our stakeholders on meeting the needs of students. We actively use data to measure changes in student achievement both at the site and district levels. We also have multiple data sessions with parents and stakeholders to best design a support program for students.

English Proficiency and Academic Achievement
ESSA SECTIONS 3116(b)(2)(A-B)

Describe how the eligible entity will ensure that elementary schools and secondary schools receiving funds under Subpart 1 assist English learners in:

- (A) achieving English proficiency based on the State's English language proficiency assessment under Section 1111(b)(2)(G), consistent with the State's long-term goals, as described in Section 1111(c)(4)(A)(ii); and
- (B) meeting the challenging State academic standards.

THIS ESSA PROVISION IS ADDRESSED BELOW:

Title III funds are centrally managed to maximize services in areas of most need based on student assessment data, staff input and needs assessment. Resources, services and training is planned through Academic Services. To ensure that schools assist students identified as English learners in meeting state standards we actively monitor designated ELD times to target the needs of students. This is a protected time during the instructional day. Additionally, we provide ongoing professional learning opportunities for teachers on our CA CCSS standards and well as the CA ELD standards to support their scaffolding and planning of standards during their integrated ELD lessons. For accountability purposes sites actively monitor students' literacy development via interim assessments 3 times yearly i.e., beginning-, middle- and end-of-year). Teachers and site administrators engage in inquiry cycles around student learning to surface what is working and what may be enhanced to maximize student learning. Folders are updated annually at the school sites to monitor student English Language Development progress.

TITLE IV, PART A

Title IV, Part A Activities and Programs

ESSA SECTION 4106(e)(1)

Describe the activities and programming that the LEA, or consortium of such agencies, will carry out under Subpart 1, including a description of:

- (A) any partnership with an institution of higher education, business, nonprofit organization, community-based organization, or other public or private entity with a demonstrated record of success in implementing activities under this subpart;
- (B) if applicable, how funds will be used for activities related to supporting well-rounded education under Section 4107;
- (C) if applicable, how funds will be used for activities related to supporting safe and healthy students under Section 4108;
- (D) if applicable, how funds will be used for activities related to supporting the effective use of technology in schools under Section 4109; and
- (E) the program objectives and intended outcomes for activities under Subpart 1, and how the LEA, or consortium of such agencies, will periodically evaluate the effectiveness of the activities carried out under this section based on such objectives and outcomes.

THIS ESSA PROVISION IS ADDRESSED BELOW:

The Student Support and Academic Enrichment Grant under ESSA, Title IV, Part A is intended to improve students' academic achievement by increasing the capacity of local educational agencies (LEAs), and local communities to provide increased levels of support and funding to improve the academic achievement and digital literacy of all students. Alum Rock has a robust stakeholder input process which includes participation from students, teachers, administrators, District office personnel, parents, and community members. In addition, the metrics used for our LCAP annual evaluation provide us with information to analyze the effectiveness of programs and activities as well as underscoring additional needs. Our Local Control Accountability Plan (LCAP) includes goals and activities that align with the components of the Title IV grant which further strengthens the need for supplemental support in the areas of academic enrichment opportunities and programs, socio-emotional support and development and capacity building for the use of technology as an instructional tool. The feedback from our annual LCAP Stakeholder Forums continues to highlight the need for support in the aforementioned areas.

Some of the planned activities under the Title IV grant include the following:

1. Activities to support well rounded educational opportunities include but are not limited to accelerated learning opportunities and/or enrichment programs that appeal to the varying learning styles and needs of students including VAPA and STEM programs. Offering these extended learning opportunities to students will improve student satisfaction with the academic program in Alum Rock as well positively affect academic performance for participating students.
2. Activities to support safe and healthy students include but are not limited to those that strengthen and develop students' socioemotional development and support improved school climate such as programs that provide alternatives to suspension, leadership development programs for students, socioemotional trainings, and trainings in restorative justice. These activities will assist with the efforts to lower suspension rates and chronic absenteeism cases in Alum Rock as well as increase climate survey ratings among students.
3. Activities that support the effective use of technology by providing professional development for the use of technology in classrooms, strengthening our tech infrastructure to stay current with the new technology and offering specialized courses in technology for students and their teachers. These activities will assist with efforts to increase use of technology and for technology to become a common classroom tool for all students in Alum Rock.

Evaluation of program effectiveness is a standard practice in Alum Rock through parent/student/staff surveys, programmatic evaluation surveys and the needs assessment conducted through our annual LCAP development process.

COVID-19 Operations Written Report for Alum Rock Union Elementary School District

Local Educational Agency (LEA) Name	Contact Name and Title	Email and Phone	Date of Adoption
Alum Rock Union Elementary School District	Sandra Garcia Director, State & Federal Programs	sandra.garcia@arusd.org (408) 928-6935	June 25, 2020

Descriptions provided should include sufficient detail yet be succinctly succinct to promote a broader understanding of the changes your LEA has put in place. LEAs are strongly encouraged to provide descriptions that do not exceed 300 words.

Provide an overview explaining the changes to program offerings that the LEA has made in response to school closures to address the COVID-19 emergency and the major impacts of the closures on students and families.

Alum Rock Union Elementary School District's response to COVID-19 school closures was implemented in three phases—1) the preparation stage, 2) the rollout phase, 3) the maintenance phase. The preparation phase began a few weeks before the March 13 school closure. Principals disseminated information to the teaching staff and began planning for distance learning and technology distribution. In addition, all District managers worked on plans to support students and families during the school closures and our CNS department developed a plan for meal distribution at six Alum Rock sites. Superintendent Bauer convened a meeting of district managers, principals and community partners on Saturday March 14 to generate ideas around communication protocols, childcare, meal distribution, distance learning and other support services. The rollout phase began March 16 and consisted of the distribution of student work and devices, development of site plans, organization and distribution of meals, establishment of online platforms, creation of websites detailing information on District and community resources and support, and the coordination of temporary agreements with bargaining units. The maintenance phase focused on strengthening the implementation of distance learning, integrating the support of our after school and tutoring partners, conducting check-ins with students and connecting families with services. During this phase, attendance and grading policies were modified and public meetings were held virtually.

Unsurprisingly, we did face some unique challenges with Distance Learning. Initially, SPED was not able to provide 1:1 services such as Speech, APE, OT. By Phase II, staff was able to communicate 1:1 with families to develop plans for providing IEP services virtually. Communication with parents was another challenge addressed by involving Principals, teachers, support staff, SLS coordinators and District partners in check-in calls and home visits.

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Mental Health Support: ARUSD School Counselor Interns provided support for students & families through provision of the following: discussing & scaling open cases with the Coordinator of Mental Wellness Support Services to refer students for higher level therapeutic services, referring families to ARUSD partners for resource coordination, developing termination plans for students, scheduling & conducting a final telecounseling session for caseload students which incorporates the introduction of activities to promote positive closure, coping skills, and strength-based reflective work, and providing crisis support to elementary school students and families who may be struggling with self-harm or ideation.

In addition, the Social and Emotional Learning (SEL) team developed and launched an SEL Resource page on the district webpage which provides resources for students (K-8), parents, and educators. Currently, a District webpage link is being developed which will allow ARUSD parents and guardians to fill out a form requesting support for counseling and related resources.

Provide a description of the steps that have been taken by the LEA to continue delivering high-quality distance learning opportunities.

Alum Rock Union Elementary School District was successful in delivering high-quality synchronous/asynchronous educational opportunities to our students through distance learning during school closures. Although students were not physically attending school, class instruction continued with the expectation for students to engage in their class sessions and interact with their teachers using the designated online tools such as Google Classroom and Zoom. Alum Rock's Distance Learning plan consists of a combination of online classes and the completion of work packets. The goal was to continue the educational process while providing some structure for students at home.

Communication regarding our Distance Learning plan was delivered through a variety of methods including mailers, Facebook updates, Parent Square communication portal, Parent Link messages, newsletters, and student check-ins. Updates were also provided at community meetings, parent advisory group meetings, and town hall meetings.

In preparation for distance learning, ARUSD designed short and long terms goals contained within our ARUSD Distance Learning Plan to ensure continuity of instructional delivery for all students through the following action steps:

- *Establishing structure and support to ensure instructional plans are developed at 3 week intervals or longer
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- *Curating and developing teacher training around distance learning instructional delivery, differentiation of instruction for all student demographics, including English Language Learners, students with disabilities, and hard to reach students
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- *Establishing onsite supports for students with IEPs
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- *Supporting site administrators to develop weekly grade level collaborations to ensure consistencies among grade levels and/or departmental teams
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teletherapy to those families consenting to the service. When needed, in order to ensure equal access during school closures, IEP teams met to consider the needs of individual students and design individual distance learning plans to meet the student's needs and ensure equal access to the extent possible.

Provide a description of the steps that have been taken by the LEA to provide school meals while maintaining social distancing practices.

Beginning with the March 16 school closures, ARUSD Child Nutrition Services transitioned to a curbside grab and go meal service through the Seamless Summer Option Waiver. We provided meals Monday-Thursday from 11:00-1:00pm out of seven (7) Alum Rock schools.

Outreach and information regarding our COVID-19 meal service program was disseminated through flyers, messages on the District's Parent Square app, social media outlets such as facebook and instagramAny participating youth 18 and younger received a breakfast and lunch meal. Friday meals were also available for pickup with Thursday's meals. Sites were selected based on ease of traffic and flow patterns and to span the District boundaries. To date, we have served over 320,000 meals. Meal service has been extended until July 30th at this time.

On May 11th, CNS added supper meals. Qualifying families were eligible to receive a supper meal for all children 18 and younger living in the same household. Supper meals were provided through the last day of school, June 9th, based on our At Risk After School Program CACFP waiver. Over 80,000 meals were provided through this program.

On May 15th, we added weekend meals on Friday's for our families (children and adults) through a partnership with the City of San Jose and Santa Clara County. This meal service was provided through June 19th. Every participating child and adult received a breakfast, lunch and supper meal for Saturday and Sunday.

Alum Rock Child Nutrition Services Department established various procedures and protocols to ensure the safety of staff and community members by requiring staff and volunteers to use proper PPE and follow safety guidelines. In addition, strict procedures were established at all sites to ensure social distancing throughout the day.

CNS staff continued to adhere to rigorous food safety procedures and standard protocols. In addition, we developed a menu that consists of food items that are safe to transport through this alternate meal service. We applied for the various waivers for meal service time requirement, to allow parent and guardian pick up meals for children, non-congregate feeding, monitoring requirements, CACFP approved meal service, after school activity waiver, meal pattern flexibility, and all extensions.

Provide a description of the steps that have been taken by the LEA to arrange for supervision of students during ordinary school hours.

Under the Santa Clara County Public Health Order to shelter in place on March 16, 2020, only essential workers in the county were allowed to perform work at essential businesses. This caused the potential need for these essential workers to find supervision of their children during ordinary school hours. The Santa Clara County Office of Education in partnership with other community organizations and community based agencies in Santa Clara County coordinated child care programs through an online portal SCCOE Childcare for Essential Workers

Portal made available on April 10, 2020 to provide essential workers access to childcare during the shelter-in-place order. Essential workers seeking support with childcare were provided the link to the portal to find the closest provider, find information about who is considered an essential worker, how to know if the facility is safe, and how to qualify for financial assistance. ARUSD staff served as liaisons for linking families to these services. SLS coordinators shared information with affected families while staff provided ongoing messaging of information through social media platforms, District webpage and our family resource centers.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

8.03

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: **May 19, 2020**

Subject: **COVID-19 Operations Report**

Staff Analysis: Per Executive Order N-56-20, LEAs are required to submit a written report to the community that explains the changes their local education agency (LEA) made to program offerings during COVID-19 which shall include, at a minimum, a description of how the LEA is meeting the needs of unduplicated pupils. This report must be adopted during the same meeting at which the school board adopts the annual budget and before July 1, 2020.

Recommendation: Staff recommends approval of the COVID-19 Operations Report.

Submitted by: Sandra Garcia

Title: Director of State and Federal Programs

Approved by: Rene Sanchez *RS*

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

8.03

Agenda Placement

Hilaria Bauer

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by:

Seconded by:

Approved: _____

Not Approved: _____

Tabled: _____

COVID-19 Operations Written Report for Alum Rock Union Elementary School District

Local Educational Agency (LEA) Name	Contact Name and Title	Email and Phone	Date of Adoption
Alum Rock Union Elementary School District	Sandra Garcia Director, State & Federal Programs	sandra.garcia@arusd.org (408) 928-6935	June 25, 2020

Descriptions provided should include sufficient detail yet be succinctly succinct to promote a broader understanding of the changes your LEA has put in place. LEAs are strongly encouraged to provide descriptions that do not exceed 300 words.

Provide an overview explaining the changes to program offerings that the LEA has made in response to school closures to address the COVID-19 emergency and the major impacts of the closures on students and families.

Alum Rock Union Elementary School District's response to COVID-19 school closures was implemented in three phases—1) the preparation stage, 2) the rollout phase, 3) the maintenance phase. The preparation phase began a few weeks before the March 13 school closure. Principals disseminated information to the teaching staff and began planning for distance learning and technology distribution. In addition, all District managers worked on plans to support students and families during the school closures and our CNS department developed a plan for meal distribution at six Alum Rock sites. Superintendent Bauer convened a meeting of district managers, principals and community partners on Saturday March 14 to generate ideas around communication protocols, childcare, meal distribution, distance learning and other support services. The rollout phase began March 16 and consisted of the distribution of student work and devices, development of site plans, organization and distribution of meals, establishment of online platforms, creation of websites detailing information on District and community resources and support, and the coordination of temporary agreements with bargaining units. The maintenance phase focused on strengthening the implementation of distance learning, integrating the support of our after school and tutoring partners, conducting check-ins with students and connecting families with services. During this phase, attendance and grading policies were modified and public meetings were held virtually.

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ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San Jose, CA 95127

8.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: June 2, 2020

Subject: **Seal of Biliteracy Achievement Awards
Student Recognition**

Staff Analysis: The Seal of Biliteracy is an award given to students that meet strict and rigorous criteria and show proficiency skills in two languages. Alum Rock Union School District students on the path of bilingualism are recognized in both 5th and 8th grade for maintaining high linguistic proficiency in both Spanish and English.

Recognition Only

Submitted by: Brittany Rykels

Title: Coordinator, Academic Services

Approved by: Rene Sanchez RS

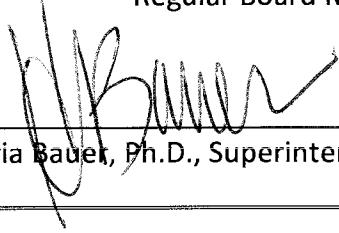
Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: June 25, 2020
 Regular Board Meeting

Recognition Only

8.04
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Adelante Middle School
Eighth Grade

Llanto, Arlene Gisel

Villalobos Heredia, Jocriss Adolfo

Sanchez Morfin, Betzy

Zarate, Nahiely

Flores, Leilani Castellano

Beltran Contreras, Giselle

Medina Ramos, Eric

Escobar, Franchesca Margarita

Gonzalez, Melanie Lizette

Hernandez Pantoja, Elizabeth

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: **June 25, 2020**

Subject: 2020/2021 Reopening School Scenarios

Staff Analysis: Instructional services team members will provide an update on the potential return to school scenarios for the 2020/2021 school year. This presentation will focus specifically on the instructional program.

Presentation Only

Submitted by: Jason Sorich

JS

Title: EdTech Administrator, Academic Services

Approved by: Rene Sanchez

RS

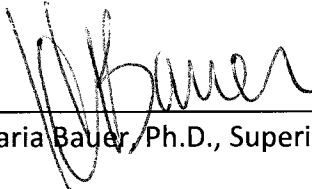
Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Presentation Only

8.05
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by:

Seconded by:

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

9.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

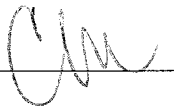
To the Board of Trustees:

Subject: Information Regarding Resignations

Staff Analysis:

Pursuant to Board Policy 4117.2 the Superintendent or her designee has accepted the following resignations.

Submitted by: Carlos Moran



Title: Assistant Superintendent, Human Resources

To the Board of Trustees:

Information Only

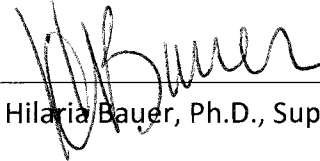
9.01

Agenda Placement

Meeting:

June 25, 2020

Regular Board Meeting



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-
HUMAN RESOURCES DEPARTMENT
MEETING OF THE BOARD OF TRUSTEES**

June 25, 2020

CERTIFICATED RESIGNATIONS:

I. Resignations:

1. Carrasco, Kataraina	From PLOA 2019-20	06/09/2020
2. Hathaway, Andrew	Music/V.A.P.A. Program	06/09/2020
3. Lee-Curtis, Kianga	Core/Mathson Middle School	06/09/2020
4. MacArthur, George	Core/Fischer Middle School	06/10/2020
5. Miller, Kimberly	District R.N./Student Services Dept.	08/17/2020
6. Perez, Gabriela Aquino	3/Adelante Academy II	06/09/2020

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

9.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Approval and Ratification of Tentative Agreement between the Alum Rock Union Elementary School District and Teamsters Local 150, for the period of July 1, 2019 - June 30, 2020, pending ratification by Teamsters membership.**

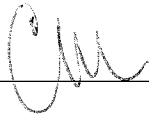
Staff Analysis:

The District and Teamsters Local 150 have reached the attached Tentative Agreement on collective bargaining issues for the period of July 1, 2019 - June 30, 2020.

Recommendation:

District administration is recommending that the Board of Trustees approve and ratify the Tentative Agreement, pending ratification by Teamsters membership.

Submitted by: Carlos Moran



Title: Assistant Superintendent, Human Resources

To the Board of Trustees:

Meeting:

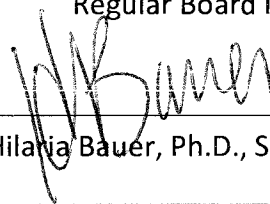
June 25, 2020

Recommend Approval

Regular Board Meeting

9.02

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District

And

Teamsters Local #150

Tentative Agreement

June 10, 2020

Article 22.1.1 Pay and Allowance:

Effective July 1, 2019, the District shall increase the salary schedule for all bargaining unit members by zero percent (0%).

*For the 2019-2020 school year, the District shall pay a one-time off-salary schedule payment of \$500.00 to all bargaining unit members with a 0.75 FTE (Full-Time Equivalent) or higher. Bargaining members with less than a 0.75 FTE (Full-Time Equivalent), or members who worked a portion of the year, shall be paid the \$500.00 off schedule payment on a pro-rated basis. This one-time payment applies to any bargaining unit members in paid status during the 2019-2020 school year.

* This one-time off salary schedule payment would be contingent on the 2020-21 State Enacted Budget, based on the agreement that was reached by the Senate and the Assembly on June 3, 2020, which fully funds the Local Control Funding Formula (LCFF), including the 2.31% cost-of-living-adjustment (COLA).

Effective July 1, 2020, the District shall increase the salary schedule for all bargaining unit member by zero percent, (0%).

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For the term of this agreement, if any other bargaining unit receives a salary schedule increase, off schedule bonus or health and welfare premium increase or reopener(s) greater than the Teamster's bargaining unit, then Teamsters shall receive the same increase.

ARUSD EBT L150
T/S 1 of 2
M L W Daurie

Article XVII Bargaining Unit Member and Dependent Insurance Coverage:

Status quo. (Maintenance of Health Benefit Insurance Coverage) Effective July 1, 2019, the District shall pay 85% of Health and Welfare plans through Teamsters Managed Trust.

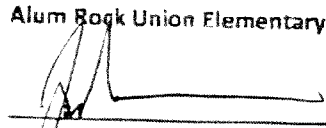
Article V: Duration


5.1 Length of Agreement


This agreement concludes the 2019-2020 reopener. The 2020-2023 agreement shall be a successor agreement and all Articles shall be available for negotiation in 2020-2021. For 2021-2022 and 2022-2023, the parties shall negotiate Article 22 "Pay and Allowance" and Article 17.1 "Bargaining Unit Member and Dependent Insurance Coverage" plus two (2) articles each.


Dated: June 10, 2020

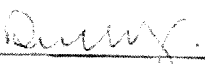
Alum Rock Union Elementary School District



Jess Serna, Chief Negotiator

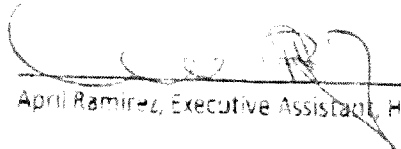

Carlos Moran, Assistant Superintendent, Human Resources


Kolvira Chheng, Assistant Superintendent, Business



Tereasa Smith, Director, Human Resources



Denisse Mendez, Director, Child Nutrition



Ed Villarreal, Manager, Operations



April Ramirez, Executive Assistant, Human Resources


Teamsters Local 150


Alan Daurie, Business Agent, Teamster 150

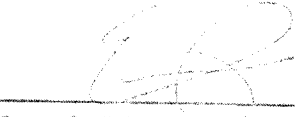

Thanh Phu, Teamster 150


Elias Vidrio, Teamster 150


Trevor Emmett, Teamster 150


Dolores Quintero, Teamster 150


Yadiria Reyes, Teamster 150


Edgar Gudiel, Teamster 150

ARUSD & IBT L 150 T/A 2 of 2
Alan Daurie

9.03

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

Subject: Resolution # 42-19/20 Reduction or Elimination of Classified Services

District administration is recommending that the Board of Trustees approve Resolution #42-19/20 as presented.

Om

Title: Assistant Superintendent, Human Resources

9.03

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

Motion by:

Seconded by:

Approved:

Not Approved:

Tabled:

**RESOLUTION OF
ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
REGARDING
REDUCTION OR ELIMINATION OF SERVICES**

RESOLUTION # 42-19/20

WHEREAS, the continued employment of the current number of classified positions is no longer possible due to lack of funds and/or lack of work;

WHEREAS, Sections 45114, 45117, 45298 and 45308 of the California Education Code authorize the Governing Board to eliminate the service of such positions, for lack of funds and/or lack of work;

WHEREAS, the Governing Board has determined that certain services and/or positions performed and held by classified employees should be reduced or eliminated due to lack of funds and/or lack of work;

WHEREAS, the Governing Board has determined that the following classified positions shall be eliminated and the persons occupying said positions are laid off subject to appropriate notice:

Position	Number of Full-Time Equivalent Positions ("FTEs")
Certification Specialist	1.0
Senior Accounting Assistant	1.0
Warehouse Worker I	1.0
Office Assistant III	.75
Accountant	1.0
Paraeducator	2.71875
Library Technician	.75
Total FTE	<hr/> 8.21875

NOW THEREFORE, BE IT RESOLVED that the District Superintendent or his designee is directed to give notice to the affected employees in the appropriate classifications in light of the positions to be eliminated herein. Such notice shall inform the employees that they will be laid

off and shall further advise the employees of their last date of employment, their displacement rights, if any, and reemployment rights pursuant to Education Code Sections 45114, 45117, 45298 and 45308.

Adopted by the Alum Rock Union Elementary School District Board of Trustees on June 25, 2020.

By the following vote:

AYES: _____

NOES: _____

ABSENT: _____

ABSTENTIONS: _____

Andres Quintero
Clerk of the Board of Trustees
of the Alum Rock Union Elementary School District

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

10.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **APPROVAL OF BOARD MEETING MINUTES FOR THE FOLLOWING
DATE:**

- Amended March 12, 2020, Regular Board Meeting
- April 9, 2020, Regular Board Meeting

RECOMMEND APPROVAL

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting:

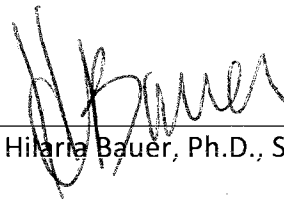
June 25, 2020

Regular Board Meeting

Recommend Approval

10.01

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**APPROVED AMENDED MINUTES
ALUM ROCK ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127**

**MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES
of the Alum Rock Union School District
Held on Thursday, March 12, 2020, 5:30 p.m. at the Alum Rock Union School
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127
Meeting #12-19/20**

1. OPEN SESSION

1.01 Call to Order/Roll Call/Pledge of Allegiance

President Ernesto Bejarano welcomed everyone in the audience. President Ernesto Bejarano called the Board Meeting to order at 5:40 p.m. followed by Roll Call.

Board Members Present:

Ernesto Bejarano	President	
Corina Herrera-Loera	Vice-President	
Andrés Quintero	Clerk	
Linda Chavez	Member	
Dolores Marquez-Frausto	Member	(absent)

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Carlos Moran	Assistant Superintendent, Human Resources
Kolvira Chheng	Assistant Superintendent, Business Services
Maribel Carrillo	Sr. Executive Assistant, Superintendent's Office

1. OPEN SESSION *(continued)*

1.01 Call to order/Roll Call/Pledge of Allegiance

President Ernesto Bejarano said there is a quorum and the meeting is being video recorded.

President Ernesto Bejarano said, before starting the meeting, that he would like to read the following statement:

Thank you all for attending during this very unique and serious time with the coronavirus. It is important for all of us to do our part. You can see the seating arrangement has been changed in the Boardroom and the overflow room for everyone to keep a safe distance. The Board commits to transparency in conducting its business to the public and is also mindful of unnecessarily exposing people to the spread of the virus. As Board President, I am making my colleagues and members of the public help conduct this meeting as fast and effectively as possible. The Superintendent has identified several items tonight on the agenda that are not time-sensitive. As part of the next agenda item, the meeting the Board will consider postponing and discussing those items for the next Regular Board Meeting or Special Board Meeting. Also, I am asking my Board colleagues and all members of the public to speak on all items no more than 1 minute for each person. Each item shall have a maximum of 10 minutes for comments. We are implementing these simple measures so we can conduct the district's business very effectively and still allow the public to comment.

President Ernesto Bejarano asked Counsel Rogelio Ruiz if what was discussed is accurate or if there have been any changes.

Counsel Rogelio Ruiz replied that it is accurate. He said the limitations of time are still subject to the Board. He said the Board would need to decide that since these items are already on the agenda.

Clerk Andrés Quintero said to table items 2.01, 4.03, 4.05, 4.06, 6.03, 6.04, 6.05 and 6.06. He said these are the items that Superintendent Bauer suggested to table because they are not time-sensitive.

MOTION #01-12 by Clerk Andrés Quintero to move item 6.01 after 4.01. MOTION #01-12 was seconded by Member Linda Chavez.

Vice-President Corina Herrera-Loera requested to have 11.14 moved up before 6.01 because there are two speakers present who would like to speak on the item.

MOTION #02-12 by Vice-President Corina Herrera-Loera to move item 11.14 before 6.01. MOTION #02-12 was seconded by Member Linda Chavez.

MOTION #02-12 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

2. OPEN SESSION *(continued)*

President Ernesto Bejarano said the change to public comment is because of the situation. He said the Board desires to get the people out of the Boardroom. He commented there will be one minute per comment and two minutes if there is a language need for a maximum of 10 minutes per item.

MOTION #03-12 by Clerk Andrés Quintero to approve the comments stated earlier. MOTION #03-12 was seconded by Member Linda Chavez.

Vice-President Corina Herrera-Loera said she was wondering if there was only one speaker, if additional time would be allowed depending on the speakers, with a maximum time of 10 minutes.

President Ernesto Bejarano replied that the Board would need to ask Counsel Rogelio Ruiz if there could be a change in the speaking time per item.

Counsel Rogelio Ruiz said this is a function on how the Board is going to operate. He said if that is what the Board wants to do, the Board is in their right to do that. He commented the key here is to give a reasonable opportunity.

President Ernesto Bejarano said his thought is that there are people that took the time to come here and speak on other items. He said, unfortunately, the Board is unable to accommodate everyone. He said there will be a minute per person.

President Ernesto Bejarano said there is a motion on the floor to restrict comments to a minute per individual for a total of ten minutes for any particular item.

MOTION #03-12 carried with a vote of 3 in favor; one opposition (Corina Herrera-Loera); one absent (Dolores Marquez-Frausto); and no abstention.

11. CONSENT CALENDAR

11.14 Approve Resolution No. 21-19/20: Dolores Huerta Day-April 10, 2020

President Ernesto Bejarano said he will be calling two speakers at a time to give enough space.

MOTION #04-12 by Vice-President Corina Herrera-Loera to approve Action Item 11.14 as presented. MOTION #04-12 was seconded by Clerk Andrés Quintero.

Vice-President Corina Herrera-Loera said Dolores Huerta is the most prominent Mexican-American labor leader and co-founder of Cesar Chavez United Farm Workers of America. She said they are celebrating a woman leader from our home to our community.

11. CONSENT CALENDAR *(continued)*

Public Comments:

- 1) Wendy Greenfield thanked Superintendent Bauer and the Board Trustees for entertaining this motion. She said she has been an educator for 37 years before retiring from San Jose Unified. She commented she is part of a committee that has been working for months to develop a curriculum for teachers.

President Ernesto Bejarano said he wanted to apologize because of the time constraint due to the circumstances. He said if there is an opportunity when things settle to come back and give a presentation.

- 2) Barbara Lynn said she is a retired teacher from Santa Clara County and supports Dolores Huerta for her 90th birthday. She said she is the only living person to be honored on a state level. She commented Dolores Huerta started her career as a teacher. She said Dolores Huerta started working full time for civil rights and social justice.

MOTION #04-12 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

6. Superintendent/Board Business—Board Discussion And/ Or Action

6.01 Approve Board Member Term Limits of two consecutive four-year terms.

MOTION #05-12 by Vice-President Corina Herrera-Loera to approve for member term limits amended from two to three consecutive term limits.

Clerk Andrés Quintero said the item presented is two four-year terms.

President Ernesto Bejarano asked Counsel Rogelio Ruiz if the motion needs to be discussed as requested or does it need to be agendaized.

Counsel Rogelio Ruiz replied there could be that discussion part of this agenda item. He said the agendaized item very specifically states two consecutive four-year terms. He said there could be a discussion of what is on the floor, but it has to be on what has been agendaized.

MOTION #06-12 by Vice-President Corina Herrera-Loera to approve Action Item 6.01 as presented. MOTION #06-12 was seconded by Clerk Andrés Quintero for the purpose of discussion.

6. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

Public Comment:

Public Comment:

- 1) Luis Cardoza thanked the Board for bringing Board Term Limits to the agenda. He said he should have a voice in the process. He said they are the advocates for their children and for that reason everyone is here. He commented the district needs a governance policy to provide quality education. He said currently the district does not have a term limit policy in place.
- 2) Gabriela Cardoza said she is a parent of Alum Rock School District. She said, for the past months, parents have been advocating for a policy for an opportunity. She said Member Linda Chavez had stated that this is an unnecessary expense, but the parents see it as a way to better the system. She said that Member Linda Chavez also said the parents would pay for the cost of having the policy on the ballot. Ms. Cardoza reminded Member Linda Chavez that this has already been paid for by having their children in schools.
- 3) Claudia Cuello said parents want term limits. She asked what the Board is afraid of. She reminded Member Linda Chavez that she is there to represent the parents. She said she is asking for term limits today. She thanked Vice-President Corina Herrera-Loera for supporting this policy and for taking the time to listen. She told Clerk Andrés Quintero that he said, from the beginning, that he did not agree with term limits. But today, she asks that he vote yes on term limits.
- 4) Araceli Sandoval said putting term limits on the 2020 ballot will cost less. She said they want to save as much money now. She said this is the time to vote yes on term limits. She said this will allow voters to vote on term limits.
- 5) Silvia Nolasco thanked the Board for allowing her to address them. She said she wants the term policy. She said last time she heard someone suggest for parents to pay to have the policy on the ballot. She said she feels that it is not fair. She said to let the voter decide.
- 6) Maria Alejandez said she did not understand why her mom attended the Board meetings. She said now she understands because her mom believes their education is a priority. She said she noticed two things from the Board members--they limit the time of her mom and other parents. She said at the last meeting, the Board disrespected many parents and students by not allowing them to speak. She said President Ernesto Bejarano got out of the room without listening. She commented that they need to listen to each other. She said please listen and support parents by saying yes to term limits.

6. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

Public Comment:

- 7) Flor De Leon said she is a parent of the district and a coordinator of Empuje. She said parents volunteer in PTA and bring money to their schools. She said to Member Linda Chavez, the money that comes into the district is from our children. She said for those reasons she asks Member Linda Chavez to listen to them. She said the parents are at the Board meeting because they want positive changes. She commented the parents need to be proactive in creating the idea of the term limit policy. She said the management of the district is necessary.
- 1) Delza Gonzalez said she is a parent of this school district. She said the little girl who spoke is her child. She said the reason why she spoke was that she was curious and mad. She said, as a mother, she needs to teach her child how to advocate for herself for her education. She commented that she is standing there to send a message not only to the parents but to their community. She asked the Board to vote yes on term limits and let the voters decide.
- 8) Brenda Zendejas reminded the Board that the community collected signatures. She said she does not understand why the Board will not support term limits. She said she feels that a two-year term limit is enough to show their capacity. She commented if the Board wants to show what they can do for the school Board, they can also do it outside.

Clerk Andrés Quintero said the Board discussed this particular item and he had been very clear on his position. He said he has not changed his position. He said he attended a forum and he was asked about term limits. He said he was very clear with the public there. He commented he does not support two to four-year terms. He said the reason why he does not support them is because the school district would lose its investment. He said training is expensive.

Vice-President Corina Herrera-Loera said she wanted to amend her motion to three consecutive four-year terms. She asked Counsel Rogelio Ruiz if this was appropriate at this time.

Counsel Rogelio Ruiz replied the concern and the issue he has is that it has been agendized for two consecutive four-year terms. He said that would be the appropriate action for today. He said the Board could discuss the motion for term limits. He said the Board could ask the administration to bring this back.

6. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

Member Linda Chavez said she does support term limits and she is in favor of term limits. She said she does not support that the district pays for it. She said she is glad that the community wants this. She said the community should put the time and money towards this. She commented that the funds are for the students for their education. She commented that the first speaker said the most important factor is the students and, yes, they are the most important factor. She said the majority of Empuje and Somos asked to put air conditioning at the schools. She said she is in favor of the community doing this but not at the cost of the children's education.

Vice-President Corina Herrera-Loera reminded Member Linda Chavez that, as parents, they all bring a lot of money--more than 81 thousand dollars. She said she was concerned about asking for term limits to be on the agenda, but not for two terms. She commented she was clear that she wanted three consecutive terms. She asked Counsel Rogelio Ruiz if she can pull this item off and brings it for next month.

Counsel Rogelio Ruiz replied that it is appropriate to request a motion to bring it back to the next Board meeting.

President Ernesto Bejarano asked Vice-President Corina Herrera-Loera if she is amending her motion.

Vice-President Corina Herrera-Loera replied, per Counsel Rogelio Ruiz's directive, she is requesting to move this item to next month with the specific wording that she requested, three consecutive four-year term limits.

Counsel Rogelio Ruiz said he believed there was a first and a second on the table. He said under the Robert Rules of Order, there has to be a vote on the motion. He said it is already on the table. If that motion fails, then the Board can make that next motion.

President Ernesto Bejarano said the Board has discussed this item several times. He said he wants to be very transparent to the public why some of the Board members are voting the way they are. He said the Board members who have served past the two-year term limits got reelected by the voting public who knew that they already had served two terms. He said 13 thousand votes says something as well. He commented that research indicates that the more experience Trustees have, the more effective they are and the more collaborative relationships they have to bring resources to the district. He said he certainly understands and appreciates Vice-President Corina Herrera-Loera's attention to the desires of the segments of our community who have specifically requested to have two four-year terms. He commented that, at the same time, there are many thousands of voters who are unable to come to these meetings and many of the voters have a different opinion. He said, as Member Linda Chavez has mentioned, 82 thousand dollars is at the cost of safety at our schools and facilities and at the cost of services for things that are needed for our students at the district. He commented that last month there were two agenda items, the handbook, and strategic plan, with specific instructions by their state audit.

6. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

President Ernesto Bejarano said the Board turned it down because the Board said it was too much money. He feels their community should not be forced to choose someone that is on the ballot box. He said he will not support this for those factors.

MOTION #06-12 failed with a vote of 0 in favor; three opposition (President Ernesto Bejarano, Clerk Andrés Quintero and Member Linda Chavez; one absent (Dolores Marquez-Frausto); and one abstention (Vice-President Corina Herrera-Loera).

3. Public Members Who Wish To Address The Board

President Ernesto Bejarano said the Board Meeting may need to be canceled. He asked Counsel Rogelio Ruiz what the directive of the state is. He said people were not complying in the name of safety. He asked Counsel Rogelio Ruiz if the Board needs to cancel the Board Meeting.

Counsel Rogelio Ruiz replied that, at this point, he would ask to have individuals spread apart as far as possible and, once they speak, to have them wait in the lobby area.

President Ernesto Bejarano asked the staff if they are comfortable with the distance proximity by these individuals.

Clerk Andrés Quintero said the governor asked that six feet apart and that is what they are asking the district to do. He commented the district is complying with the state.

Member Linda Chavez said the Board did not make the rules. This is for everyone's safety.

President Ernesto Bejarano said to Counsel Rogelio Ruiz that he was concerned with the current situation. He said it is not about the Board. It is about everyone in the room potentially spreading the virus.

Counsel Rogelio Ruiz replied it would be like any other disruption and President Ernesto Bejarano has the option to recess the meeting. He said to the Board to reorganize the room to make it a safe condition consistent with the governor's order. He said this is the advisory of the public health department in which the governor incorporated in the executive order. He said this is an executive order.

President Ernesto Bejarano asked Counsel Rogelio Ruiz what he meant when he said 'the force of law'.

Counsel Rogelio Ruiz replied it is like law--it supersedes the law for a period of time.

President Ernesto Bejarano asked Counsel Rogelio Ruiz if the district does not keep compliance with the law, does this violate the law or do individuals break the law?

3. Public Members Who Wish To Address The Board *(continued)*

Counsel Rogelio Ruiz replied the district is not compiling a lawful edict from the governor. He said to recess until it is reset and then restart the meeting if you choose to.

President Ernesto Bejarano said at this point, due to the time consideration, for the Board to go to closed session and maximize the time.

Superintendent Bauer said before you take that action, closed session needs to happen in the Boardroom.

President Ernesto Bejarano said the Board will be asking everyone to leave the Boardroom. He said the Board can go to recess and hope that things change or the Board can maximize their time. He said the Board will recess to closed session, clear the room, and come back. He said hopefully, by that time, the Board can move forward.

President Ernesto Bejarano asked AREA President, Ms. Jocelyn Merz, if she could contribute to the facilitation of solving this.

Ms. Jocelyn Merz said she would agree with having a recess and having a discussion with the Board members or canceling the meeting for another day.

Vice-President Corina Herrera-Loera said she is not opposed to having a meeting with Ms. Jocelyn Merz. She said she is concerned about her and her family's health. She wants to follow the social distancing of six feet space.

President Ernesto Bejarano thanked everyone for their comments and he does agree to a recess of five minutes to discuss a solution.

The Board adjourned to recess at 6:44 p.m.

The Board reconvened to Open Session at 6:53 p.m

Public Comment:

- 1) Female teacher from Aptitud read a letter from a 7th-grade student by the name of Aiden. He said that he wanted everyone to know that Ms. Manzanedo helped him and supported him. He said Ms. Manzandeo told him that whenever he wanted to talk to her, she was there to listen to him.
- 2) Female teacher from Aptitud said she wanted to speak on behalf of the staff of Aptitud. She said there was something seriously wrong with the resignation of the principal of Aptitud. She commented when the principal left, it created confusion with many unsolved questions from family and students. She said she is concerned about her well being.

3. Public Members Who Wish To Address The Board *(continued)*

- 3) Male speaker said he is a tax payor, parent, and teacher at Alum Rock School District. He said if he was a starting teacher, he would be making 59 thousand four hundred dollars annually which would be very low income. He said it is ridiculous to be offered zero from the district.
- 4) Female speaker said employees are at risk of exposure. She said the district should be bringing measures for safety precautions for students to have their temperature taken to see if they have any symptoms.
- 5) Flor De Leon said she is a parent and to finish what the other parent said, there is no soap at the school. She said the Board is only allowing a minute to speak--it does not even give the speaker time to breathe. She asked the Board to also limit themselves. She thanked the Board for moving the closed session to the end of the meeting.
- 6) Dannette Ambrosio, teacher from Lyndale, said she has been teaching for nineteen years. She said the district came to the table and only offered zero--that is unacceptable. She said she teaches a high autistic student without an aid to assist her. She said she shows up to work positive. But after she heard she was worth zero, it is really hard to stay positive.
- 7) Nannette Ruiz said many good teachers should be recognized by the district for their dedication and their hard work. She said each teacher does not feel the value of the district. She commented one of the best things the district can do for the students is to attract quality teachers.
- 8) Sandra Gomez, teacher at Chavez, commented that there are forty-five students in her classroom and they are kinder students. Their health is at risk. She said some of the teachers have underlying health conditions. She said it is unfair while the Board stays protected by six feet.

President Ernesto Bejarano said he wanted to clarify that these are not the Board's rules. He said these are the rules of the State of California. He said the Board is following the public health guidelines.

4. Comments and Communications

4.01 Teamsters

There were no representatives from Teamsters at this time.

4.02 California School Employee's Association (CSEA)

There were no representatives from CSEA at this time.

4. Comments and Communications *(continued)*

4.03 Alum Rock Administrator's (ARAA)

There were no representatives from ARAA at this time.

4.04 Alum Rock Educator's Association (AREA)

Jocelyn Merz, AREA President, thanked President Ernesto Bejarano for adjusting the agenda by having closed session at the end. She said she would like to speak about two top issues affecting both members and students. She commented she would like to start with the coronavirus epidemic. She said there have been many calls and emails from members with concerns. She said she does appreciate very much the input and the information sent out with the district's plan. She commented it does not seem unreasonable to take a proactive approach to disinfectant cleaning at all the schools even if it means hiring crews--whatever it takes. She said this disease is considered a pandemic and California has a state of emergency. She said there was an unwillingness to bring an offer for teachers' benefits after four days of the meeting. The district did not take one step off of zero. She said the teacher compensation for COLA this year was at 2.6%. She asked why the Board thinks it is okay to use a portion of COLA from AREA members for something else. She commented she has spent over 30 years at Alum Rock School District and when she began her 10 years at the district, she was proud to say that Alum Rock School District was the highest-paid district in the county.

4.05 Superintendent

There were no comments.

4.06 Board of Trustees/Communication/Comments

There were no comments

5. Contracts Over \$100,000

5.01 Approve Contract with IT Management Corporation, Replacement of District's Data Center and Main Distribution Frame at Hubbard, George and Sheppard schools, IT Department, \$ 237, 285.48.

**MOTION #07-12 by Clerk Andrés Quintero to approve Action Item 5.01 as presented.
MOTION #07-12 was seconded by Vice-President Corina Herrera-Loera.**

5. Contracts Over \$100,000 (continued)

Superintendent Bauer said that the district will be refunded the money. She asked Director Avtar Gill to tell the Board how much money the district is going to pay.

Mr. Avtar Gill said the total is \$237,285.48 and the district will be reimbursed \$201,266.00. He said the district will only spend \$35,000.85.

MOTION #07-12 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

8. Superintendent/Board Business—Board Discussion And/ Or Action

6.02 Approve Resolution No. 24-19/20: Authorizing Closure of Arbuckle Elementary School and Consolidation with Adelante II Academy.

Public Comment:

1. Camille Llanes-Fontanilla said she thinks that community members and parents know they are going to have to make difficult decisions for the next few years. She asked that the Board reconsider having some kind of open transparent community process. She asked the Board to have an open space or think creatively on how to meet on these critical demands and she hopes to do it in one community.
2. Brenda Zendejas said she feels the lack of transparency with parent involvement. She asked how many community forums were there to discuss the closure of Arbuckle. She asked why Arbuckle and not another school and why in the poorest area.
3. Jocelyn Merz said she was asked to attend the Advisory Committee. She wanted to make it clear that this does not mean that AREA is supporting or endorsing the closure of Arbuckle. She said she would think that the closing is because of the declining enrollment.

MOTION #08-12 by President Ernesto Bejarano to approve Action Item 5.01 as presented for the purpose of discussion. MOTION #08-12 was seconded by Vice-President Corina Herrera-Loera.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

Clerk Andrés Quintero said, as a school board, you do not want to shut down schools. He said the circumstances are such that the Board needs to make tough decisions. He said that is why the Board is placed here to make the decision that is going to be solvent and allows the district to move forward. He commented that this is a school his cousins went to and there is history there. He said there was another dual immersion school opened and we moved forward with Adelante II. He said thank God for that site or the district would be shutting down the entire school and the charter schools would be demanding to put their school at that site.

Clerk Andrés Quintero asked if this is time-sensitive and if the Board needs to make a decision now. He said if so, he would like to ask the administration because he wants people to be aware as to why this decision needs to be made. He said he is not excited about this, but he needs to make a decision and cast his vote which he feels would allow the district to operate.

Superintendent Bauer replied that this is a time-sensitive issue because the district needs to notify the teachers where they are going to be next school year to follow the bargaining unit agreement.

Clerk Andrés Quintero said, at this point, the exploration phase is out. He said Superintendent Bauer said it was time-sensitive and now he can explore that.

Superintendent Bauer said, as the Superintendent, that is her recommendation. She did not sign up to close schools. She said she understands what Clerk Andrés Quintero is saying. She said Arbuckle has 120 students with 5 teachers. She said this prolongs what needs to happen and it is not the best thing for the students.

Vice-President Corina Herrera-Loera said she agrees with the comments made by Clerk Andrés Quintero. She said she is one of the parents that opened up Adelante II. She said she agrees that this is a tough decision to make; however, she wants to make it clear that the doors are not being shut completely. She asked Superintendent Bauer if the students are allowed to go to Adelante II to get the bilingual part of schooling there.

Superintendent Bauer said absolutely and that there are a good number of families from Arbuckle already attending Adelante II.

Vice-President Corina Herrera-Loera said, as parents, they have choices to make and the district cannot force them.

President Ernesto Bejarano said that Superintendent Bauer has addressed the impact on the staff. He asked what efforts were made on the current status of Arbuckle and what was done to make it work with the school.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

Superintendent Bauer replied that she has updated the Board several times and then the impact on English learning families. She said there has been an increase in charter school's presence within walking distance.

President Ernesto Bejarano asked what the plan is, roughly, twelve to eighteen months from now in terms of transition. He said Superintendent Bauer already spoke about teachers and asked if families have a choice.

Superintendent Bauer replied that there was a meeting with the parents at Arbuckle. She said she was waiting for the follow-up meeting after the Board makes a decision. She said parents have already been told they can choose any school within Alum Rock School District. She said parents can choose what school they want their kids to attend and they will not be turned away.

President Ernesto Bejarano said he heard Superintendent Bauer say that Adelante II is an option. He said he did receive a couple of emails and he needs to ask a question on behalf of those emails. The parents do have the option of attending Adelante II, but what are the parameters of which schools they can choose from. He asked if there a requirement of testing as a potential ability to choose Arbuckle II.

Superintendent Bauer replied that dual immersion programs have certain guidelines. She said the test is designed where TK and Kinder are not required for testing. She said for grades 1st to 5th, there is a test requirement.

Clerk Andrés Quintero said some folks come out to the Board meetings. He said he does understand the passion that they feel because it is not something he wants to do. He commented there are also folks posting things on social media and, at the same time, they are out there making decisions to push the charter agenda. He said those are the people that are choking the life out of the public schools. He commented this is not directed at anyone in particular. This is directed to folks here advocating for these organizations.

President Ernesto Bejarano said his decision is based on the information given. He said the Board does not like to make these decisions. He said he likes to make them with all the available information.

MOTION #08-12 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

7. Instructional Services

7.01 Approve Board Policy 5145.7, Sexual Harassment (Student), Second Reading.

MOTION #09-12 by Clerk Andrés Quintero to approve Action Item 7.01 as presented. MOTION #09-12 was seconded by Vice-President Corina Herrera-Loera.

MOTION #09-12 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

7.02 Approve Board Policy 5131.62, Tobacco (Use Prevention Education Program), Second Reading.

MOTION #10-12 by Clerk Andrés Quintero to approve Action Item 7.02 as presented. MOTION #10-12 was seconded by Vice-President Corina Herrera-Loera.

MOTION #10-12 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

7.03 Approve Amended Board Policy 3513.3, Tobacco-Free Schools, Second Reading.

MOTION #11-12 by Clerk Andrés Quintero to approve Action Item 7.03 as presented. MOTION #11-12 was seconded by Member Linda Chavez.

MOTION #11-12 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

8. Business

8.01 Approve Second Interim Financial Report.

Assistant Superintendent Kolvira Chheng had a PowerPoint presentation regarding the Second Interim Financial Report and the highlights are as follows:

Key Assumptions – MYP - Unrestricted General Fund

Revenues

- Prior year P-2 ADA for District's LCFF calculation due to declining enrollment
- Current Year ADA for Aptitud's LCFF calculation
- COLA based on DOF's recommendation
- Remove one-time funds in out years
- COLA based on DOF's recommendation
- Remove one-time funds in out years

8. Business *(continued)*

Expenditures

- Increase Step and Column for all three years
- Increase STRS and PERS rates for all three years
- Increase contribution for Special Education Program for all three years
- Meet 3% Contribution Requirement to Routine Restricted Maintenance Account (RRMA) for all three years

For 2019-20:

- Starting in 2019-20, 3% salaries increase for all employees retroactive to FY 2018-19 Starting in 2019-20, savings of \$380K from unfilled vacancies (Coordinator = 1 FTE, Classified Mgmt. = 1FTE and Classified = 1 FTE)

For 2020-21:

- Starting in 2020-21, back-out amount paid in 2019-20 for 3% salaries increase for FY 2018-19
- Savings in 2020-21 for 30 certificated FTEs at an average salary of \$85K to align with declining enrollment
- Starting in 2020-21, savings for 3.2 FTEs Coordinator =\$457K and 1 FTE Director II = \$197K, to be funded with various grants
- For 2021-22:
- Savings in 2021-22 for 20 certificated FTEs at an average salary of \$85K to align with declining enrollment
- Unspecified reduction of \$780K in 2021-22 to be determined by Budget Adoption for FY 2020-21

Superintendent Bauer said non reelects are not counted here adding enrollment. She said it is the district's goal to have those thirty non reelects, but they need to figure out how many students are coming back. She said, in the name of transparency, she wants everyone to know if the district has the good fortune of bringing enough students so the district will need more teachers, then there will need to be cut in other areas.

President Ernesto Bejarano asked if the thirty FTEs that the Board is speaking about go to the \$780K that Assistant Superintendent Chheng mentioned.

Assistant Superintendent Chheng replied that it is at the on top of the \$780K. He said for next year's budget, the district is reducing 30 certificated positions and for the year 20/21, the district is reducing 20 certificated positions for a total of 50. He said the district needs to come up with \$780K.

8. Business *(continued)*

President Ernesto Bejarano asked what the strategy will be or what thoughts will be addressed.

Assistant Superintendent Chheng said the district brought those cuts last year, the same principle will be applied. He said the district will try to keep away from the classroom as best as possible.

President Ernesto Bejarano asked what the projection is in enrollment. He said he is wondering how the district figures that out. He said for the past couple of years it was underestimated.

Assistant Superintendent Chheng replied this is based on 3% baseline. He said what is not showing in the report is the number of T4 students the district serves. He said this is a challenge in terms of enrollment projection.

Superintendent Bauer said if the district would say to cut the T4's, the district would have less enrollment. She said it is important for the district to continue the early learning program because families need it.

President Ernesto Bejarano said, in the short term, those numbers are not counting for the district. But the idea is that the district keeps them because those students will stay with the district.

Clerk Andrés Quintero said the district made all these cuts and teachers are no longer there. He said this is a safer approach and there are more tough choices for the Board.

Vice-President Corina Herrera-Loera said the district will be getting money from the preschool the following 20/21 year. She said the district is thinking forward with creative ideas and is planning ahead versus waiting for that year.

Superintendent Bauer thanked Vice-President Corina Herrera-Loera for her question. She said what is not seen here in the budget is approximately ten thousand dollars bringing in partnerships to provide services. She commented if the district did not have those partnerships that Vice-President Corina Herrera-Loera spoke of, the district would not have the family resource centers. She said to the Board that the district is always seeking those types of partnerships.

Vice-President Corina Herrera-Loera said she does not discount anything the district is doing. She said she has been a witness to a lot of it. She thanked the staff for everything they do.

8. Business *(continued)*

Public Comment:

- 1) Camille Llanes-Fontanilla said, as a school board that has adopted the resolution to support schools and communities, she thinks this is a good time to strategize as one community. She said, on behalf of her organization, she would like to work with the district to work out a plan.

Clerk Andrés Quintero said he knows the district is in the middle of negotiations and he does not intend to negotiate in public. He said, at some point, the Board needs to provide direction. He said this Board needs to make tough choices. He said the Board could direct staff and the Board can incorporate a motion to begin to explore a process in which the Board can go ahead and start getting public input.

President Ernesto Bejarano asked Clerk Andrés Quintero if he is saying to go above and beyond the Budget Committee.

Clerk Andrés Quintero replied yes.

President Ernesto Bejarano asked Clerk Andrés Quintero what the difference is on what he is proposing.

Clerk Andrés Quintero replied this would be for a broader base group of stakeholders beyond a subcommittee more of a proactive effort. He said this would involve more people than the subcommittee. He said the idea would be temporary to give input.

President Ernesto Bejarano said if it was a kind of relook and refresh Budget Committee to allow a more accessible ability and input.

Clerk Andrés Quintero replied it would be a temporary subcommittee, part of another entity. He said this would establish a timeframe and seek input from the community and stakeholders in order to incorporate as much information as possible.

Vice-President Corina Herrera-Loera said she agrees to have an outside subcommittee and to have two Board members bring back recommendations to the Board.

Member Linda Chavez said she we should first start with all of the stuff that was done at the LCAP and everything that the people at the LCAP said and then, I'm sure the district is compiling all the information, Right? and so once we get that-because there was a lot of different stakeholders there. And then we can go from there. And then that's a good idea to maybe do a subcommittee, if somebody wants to take that on, but I think we should also follow what we already started with the LCAP, Because, there was a lot of concerned parents there. That were there giving a lot of input and you know we haven't brought that to the board yet, the district hasn't brought, but I look forward to seeing all that and then- I don't know, how many were able to attend the LCAPS?

8. Business *(continued)*

Member Linda Chavez said but there was a lot of parent involvement there, from a lot of different schools. There was 3 LCAPS, 2 in which I was in attendance of.

Superintendent Bauer informed the Board that they received the information on the LCAP that they can peruse.

Clerk Andrés Quintero said the reason why he brought this up was that the Board brought this information to the public. He said the district is facing tough challenges right now.

President Ernesto Bejarano said, in the interest of time for tonight, to do this offline and for next month.

Clerk Andrés Quintero said he is sure the staff gathered the information discussed with the idea that it came from the Board.

President Ernesto Bejarano asked if everything Clerk Andrés Quintero described is a direction for the staff to look into--to start to take action in that direction.

Member Linda Chavez said she would like to see the focus on that if Board needs to add it.

Vice-President Corina Herrera-Loera said it is important to get data from the parents. She commented that what was stated is a possible summit.

Superintendent Bauer said the information provided from the LCAP item 7.04 was given to the Board and has the input of the stakeholders. She commented if the Board needs a formal presentation, the staff would be happy to do that.

Vice-President Corina Herrera-Loera said she appreciated the clarification. She wants to be able to sit with the stakeholders and go over the data. She said the stakeholders may have it or they may not have it.

Superintendent Bauer said this was provided to the stakeholders.

Vice-President Corina Herrera-Loera said staff would break it down to the stakeholders and community to get their input. She said then the Board can move forward towards the future.

Member Linda Chavez said there are too many chiefs.

Clerk Andrés Quintero said the point is to bring a professional option to staff, hear the desires of the community, and go ahead and make a decision in order to move forward.

**MOTION #12-12 by Clerk Andrés Quintero to approve Action Item 8.01 as presented.
MOTION #12-12 was seconded by Vice-President Corina Herrera-Loera.**

9. Board / Facilities

MOTION #12-12 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

9.01 Update on Request for Proposal (RFP) for Program Management.

Assistant Superintendent Chheng said that he prepared a memo that is in the Board's folder. He said he wanted to highlight that the key date is March 17, 2020. He said he would like to ask the Board to do a proposal.

Vice-President Corina Herrera-Loera asked if the process could be the same that was done for counsel.

President Ernesto Bejarano asked Assistant Superintendent Chheng if he needs the direction of the Board or if the Board needs to do something.

Superintendent Bauer replied that the Board has given direction before and staff can follow the direction as they did before.

Member Linda Chavez said she remembers Rehon & Roberts said when selecting lawyers, the Board needs to be concise.

President Ernesto Bejarano said the Board appreciates the feedback. He said the process was thorough and well thought out.

Clerk Andrés Quintero agreed that he also liked the process and that it allowed the public to see what was going on.

Vice-President Corina Herrera-Loera said, considering where the Board has been, the Board needs to have the process that way.

Member Linda Chavez said there were a lot of firms. She asked if the Board could have it in a way that the staff could reduce the number of people that apply--depending on how many people apply.

President Ernesto Bejarano said the finalist should come before the Board.

Member Linda Chavez asked if there is a number of applicants now.

Superintendent Bauer said the deadline is March 17. She said they can keep monitoring it and work with Board President.

Assistant Superintendent Chheng said most applicants wait till the last minute. He said the applicants are going through the documents and finalizing them.

9. Board / Facilities

Clerk Andrés Quintero said there are other districts where the administration has only two candidates and pick one. He said this is the way the Board wants it.

President Ernesto Bejarano said if the applicants meet the minimum standard of the application, they are in that pool. He commented that some applicants do not meet the standard requirements and they can be weeded out.

Superintendent Bauer said the staff will be happy to bring everybody who meets the criteria. She said the process will be very transparent for the entire Board and stakeholders.

President Ernesto Bejarano said if someone meets the minimum qualifications, he would want them in front of him in order to see what they can offer.

10. Human Resources

10.01 Information Regarding Resignations

11. CONSENT CALENDAR

There was a consensus from the Board to combine and take action on Agenda Items 11.02, 11.03, 11.04, 11.05, 11.06, 11.07, 11.08, 11.09, 11.10, 11.12, and 11.13.

President Ernesto Bejarano asked to pull Agenda Item 11.01 Approve Board Meeting Minutes for the following dates: (1) June 13, 2019, Amended Minutes for Regular Board Meeting and (2) February 13, 2020, Regular Board Meeting to be discussed separately.

Member Linda Chavez asked to pull Agenda Item 11.15 Approve Resolution No. 19/20: Public School Volunteer Week- April 20-24, 2020 to be discussed separately.

11.02 Approve Acceptance of Donations

11.03 Approve Fundraising Activities.

11.04 Approve Acceptance of Vendor & Payroll

11.05 Acceptance of Enrollment/Attendance Report for Month 6 (January 20, 2020 thru February 14, 2020).

11.06 Approve the Santa Clara County Treasury Investment Portfolio Status.

11.07 Approve/Ratify Notices of Employment and Changes of Status March 12, 2020/Human Resources Department

11.08 Approve School-sponsored Field Trip List.

11.09 Approve School Accountability Report Cards (SARC)

11.10 Approve Resolution No. 19-19/20: California Public School Months- April 2020

11. CONSENT CALENDAR *(continued)*

11.11 Approve Resolution No. 20-19/20: Public School Volunteer Week- April 20-24, 2020

11.12 Approve Contracts for Professional Services – Firms/Organizations

11.13 Approve Memorandum(s) of Understanding(s)

MOTION #13-12 by Clerk Andrés Quintero to approve Agenda Items, 11.02, 11.03, 11.04, 11.05, 11.06, 11.07, 11.08, 11.09, 11.10, 11.11, 11.12 and 11.13, as presented with the expectation of 11.01.. MOTION #13-12 was seconded by Vice-President Corina Herrera-Loera.

AMENDED MOTION #14-12 by Clerk Andrés Quintero to approve Agenda Items, 11.02, 11.03, 11.04, 11.05, 11.06, 11.07, 11.08, 11.09, 11.10, 11.12 and 11.13, as presented with the expectation of 11.01 & 11.11. MOTION #14-12 was seconded by Vice-President Corina Herrera-Loera.

MOTION #14-12 carried with a vote of 4 in favor; no opposition; one absent(Dolores Marquez-Frausto); and no abstention.

11.01 Approve Board Meeting Minutes for the following dates: (1) June 13, 2019, Amended Minutes for Regular Board Meeting and (2) February 13, 2020, Regular Board Meeting.

President Ernesto Bejarano said he will send the edits to Senior Executive Assistant Maribel Carrillo.

Member Linda Chavez said she will also send edits to Senior Executive Assistant Maribel Carrillo.

Counsel Rogelio Ruiz said item 11.01 consists of separate items of amended minutes of June 13, 2019, and the other is February 13, 2020, Regular Board Meeting. He asked if the Board had questions on both meeting minutes, if they are he said he is happy to answer them.

MOTION #15-12 by Member Linda Chavez to approve the Amended Minutes of June 13, 2019 as presented. MOTION #15-12 was seconded by Vice-President Corina Herrera-Loera.

MOTION #15-12 carried with a vote of 4 in favor; no opposition; one absent(Dolores Marquez-Frausto); and no abstention.

11. CONSENT CALENDAR *(continued)*

11.11 Approve Resolution No. 19/20: Public School Volunteer Week- April 20-24, 2020.

Member Linda Chavez asked if school volunteer week is at the Mexican Heritage Plaza. She asked what the district does.

Superintendent Bauer replied the district celebrates all the volunteers at all the schools.

MOTION #16-12 by Member Linda Chavez to approve Action Item 11.11 as presented. MOTION #16-12 was seconded by Clerk Andrés Quintero.

MOTION #16-12 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

12. Closed Session

12.01 Announcement and Public Comments Regarding Items to be Discussed In Closed Session

President Ernesto Bejarano announced the items that would be discussed in Closed Session. There were no public comments from the audience at this time.

2.02 Recess To Closed Session: The Board will recess to Closed Session at approximately 8:23 p.m. Open session will resume approximately 1 ½ hours after the start of Closed Session.

President Ernesto Bejarano announced that the Board would reconvene to Open Session in approximately 1 ½ hours. The Board adjourned to Closed Session at 8:23 p.m.

13. RECONVENE TO OPEN SESSION

13.01 Call to Order / Roll Call

The Board reconvened to Open Session at 9:59 p.m. President Ernesto Bejarano welcomed everyone and took a second Roll Call in which all Board Members were present with the exception of Member Dolores Marquez-Frausto. There was a quorum.

13. RECONVENE TO OPEN SESSION *(continued)*

Superintendent Bauer reported that the Board took the following actions:

12.04 Board approved the final settlement agreement with parents of student B. Rios with a payment of \$1,750.00 for legal fees and \$3,500.00 for Academic Services. The Board voted 3-2 (Member Linda Chavez voting no and Member Dolores Marquez-Frausto absent).

12.05 CTG Construction submitted its claim for damages to the District. The Board voted 4-1 (Member Dolores Marquez-Frausto absent) voted to reject the claim from CTG Construction.

Superintendent Bauer reported that there was no other action to report at this time.

14. RECEIVE REPORTS

There were none.

15. FUTURE BOARD AGENDA REQUESTS

14.01 Requests from Board of Trustees and/or from the Public

Vice-President Corina Herrera-Loera requested to have the member term limits 3 consecutive four-year terms to be placed on the April 2020 agenda.

16. ADJOURNMENT

15.01 President Adjourns the Meeting

President Ernesto Bejarano adjourned the meeting at 10:15 p.m.

Respectfully submitted,

Andrés Quintero, Board Clerk
mc

DRAFT MINUTES
ALUM ROCK ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127

MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES
of the Alum Rock Union School District
Held on Thursday, April 9, 2020, 5:30 p.m. at the Alum Rock Union School
Board Members and Superintendent participated via Zoom Meeting
Meeting #14-19/20

1. OPEN SESSION

1.01 Call to Order/Roll Call/Pledge of Allegiance

President Ernesto Bejarano welcomed everyone in the audience. President Ernesto Bejarano called the Board Meeting to order at 5:40 p.m. followed by Roll Call.

Board Members Present:

Ernesto Bejarano	President
Corina Herrera-Loera	Vice-President
Andrés Quintero	Clerk
Linda Chavez	Member
Dolores Marquez-Frausto	Member

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Carlos Moran	Assistant Superintendent, Human Resources
Kolvira Chheng	Assistant Superintendent, Business Services
Maribel Carrillo	Sr. Executive Assistant, Superintendent's Office

1. OPEN SESSION *(continued)*

1.01 Call to order/Roll Call/Pledge of Allegiance

President Ernesto Bejarano said there is a quorum.

President Ernesto Bejarano said the meeting is being live-streamed by the Youtube channel which is Alum Rock TV. He said there are multiple ways to participate in the meeting including text messaging and raising your hand through email.

Director Avtar Gill said Member Dolores Marquez-Frausto has been disconnected from the meeting and he is bringing her back to the meeting.

President Ernesto Bejarano said there is a quorum and the Board can move forward.

Vice-President Corina Herrera-Loera said a Spanish-speaking parent said the Spanish Youtube channel is not working.

1.02 Discussion And/Or Modification(s) of the Agenda.

Member Dolores Marquez-Frausto said that before they discuss item 1.02, she wants to know if any formalities have taken place since she was disconnected.

President Ernesto Bejarano said the Board was talking about the challenges that the Board may confront with technology and they will try to do their best to make this transparent and accessible to the public.

President Ernesto Bejarano said he wants to move forward with item 12.01 after this item because, at the end of the meeting, the Board will be going into closed session.

Member Linda Chavez asked if something happens during the meeting as it progresses and later on, a Board member has something for future requests, is it limited to the beginning or can it be added toward the end of the meeting.

President Ernesto Bejarano asked Counsel Rogelio Ruiz if the Board recesses to go to closed session, can he ask to see if a Board member has an item that they would like to add.

Counsel Rogelio Ruiz replied that he did not see a problem with that because it is not an action item. He said, per the Board Bylaws, every Board member is authorized to ask for any agenda item at any time.

President Ernesto Bejarano said he will try his best to keep the meeting moving and make it brief. He said the district staff is at their offices and they need to do a full cleaning.

2. Public Members Who Wish To Address The Board

2.01 Requests to Address the Board

Public Comments:

1. Flor De Leon said it is really confusing with the closing of the schools and how the kids are learning now. She said parents were visiting the campus and there was no one there to give them direction.
2. Brenda Zendejas said she did not see the Board meeting posted on Facebook and asked if it could be more transparent for the public. She said she wanted to thank her son's teacher. She commented that in her son's class there are a lot of kids that do not have a device.
3. Victor Vasquez said that, in the last meeting, there were a lot of different ways to log into the meeting and he wanted to thank the Board for that. He also thanked the Board for providing a translation in Spanish.

3. Comments and Communications

3.01 Teamsters

There were no representatives from Teamsters at this time.

3.02 California School Employee's Association (CSEA)

There were no representatives from CSEA at this time.

3.03 Alum Rock Administrator's (ARAA)

There were no representatives from ARAA at this time.

3.04 Alum Rock Educator's Association (AREA)

Jocelyn Merz, AREA President, said she hopes everyone is doing well. She said there are days that she feels like she is living in the Twilight Zone. She said she wants to acknowledge all CSEA and Teamsters employees who are working in the front line to make sure students are fed. She thanked the IT department for providing devices to students and admins for pitching in during the new transition. She sent a shout out to the AREA bargaining team who had the extreme task of coming to a Memorandum of Understanding. She asked to be sensitive to the teachers and district employees during this time.

3. Comments and Communications *(continued)*

3.05 Superintendent

Superintendent Bauer thanked everyone.

3.06 Board of Trustees/Communication/Comments

Vice-President Corina Herrera-Loera thanked everyone. She commented that Avtar Gill, Director of IT, is doing a great job and Superintendent Bauer is as well. She said she appreciated the comments made by parents. She said that Jocelyn Merz mentioned that Superintendent Bauer is not sleeping until children are connected. She can assure that because she is on the phone with her constantly.

Clerk Andrés Quintero said he wants to express his gratitude to all essential employees who are putting in the work to make sure that children are fed and to teachers who have had to adjust to the new way of dealing with education for children. He thanked the staff for keeping the Board meeting in a safe space.

Member Linda Chavez thanked everyone for the extra work and for guiding the children and putting the extra time. She thanked the staff.

Member Dolores Marquez-Frausto thanked the staff and community. She spoke about a letter that came from Ms. Parks regarding the Fischer garden. Ms. Parks is asking for \$800.00 to do the garden again. Member Dolores Marquez-Frausto said she supports the funding except that it would require people to be together. But, at this point, people should not be together. She said she wanted to acknowledge that she received the letter and she wanted to say thank you. She asked that staff contact Ms. Parks and thank her.

President Ernesto Bejarano said that, in the past month, he has attended Zoom meetings with other Board members across the county. It is a place where other Board members can learn from each other. He said the district has been giving out between 2 to 3 thousand meals a day from what he last heard. He said, as everyone had mentioned, this is a new way to teach with the distribution of devices. He said he does not think there is a district or any community that is better prepared to face adversity and meet that challenge than Alum Rock.

4. Contracts Over \$100,000

4.01 Approve Contract with Springboard Collaborative, Provide Summer Literacy Intervention Program for K-3rd Graders, Academic Services, \$172,500.00

4. Contracts Over \$100,000 (continued)

President Ernesto Bejarano said he failed to ask, for each item, if there are any emails or text messages for public comments. He said they will be read at the end of the course of the item.

Member Dolores Marquez-Frausto asked if the district was going to provide a summer literacy program for grades K-3 and asked how is this is going to work when everyone is not supposed to be with the children. She asked if it was one school or two schools. She said she needs it to be clear.

Superintendent Bauer thanked Member Dolores Marquez-Frausto for her question. She said this is an effective program teachers like to teach. She said these are Alum Rock teachers teaching the program. She said the staff is already working with Springboard. She said this will be implemented via distance learning. She is asking the Board respectfully to approve this contract.

Member Dolores Marquez-Frausto asked Superintendent Bauer to clarify how this going to be done.

Superintendent Bauer replied that it would be a distance learning class similar to what is going on right now.

Member Dolores Marquez-Frausto said she would support it as long as Superintendent Bauer can assure her that there will not be any problems connecting with the devices.

Superintendent Bauer replied that she has a parent committee that meets every week so that everybody knows. She said 85% of the district's students are connected and the other 5% have packets. She said teachers are working with the students. She said classified staff has worked tremendously going through different phone numbers to contact students. She said she hopes, with this response, Member Dolores Marquez-Frausto can feel comfortable enough to support this item.

Member Dolores Marquez-Frausto asked if the teachers are going to be working with students in the curriculum area.

Superintendent Bauer replied this is a Springboard curriculum. She said they will be implementing assessment on professional development.

Member Dolores Marquez-Frausto said she will be supporting this item. She said she is disappointed that the Springboard executive officers are from Philadelphia, Pennsylvania. She said she would like to have those services provided from the West Coast.

4. Contracts Over \$100,000 (continued)

President Ernesto Bejarano said Superintendent Bauer mentioned 85% of students are connected and asked if she had a rough estimate of where the district started in terms of connection. He said the district is building the plane while the district is flying it on day one by giving meals and giving out devices.

Superintendent Bauer replied the district had half of the students with a device by March 16th, the first day of shelter in place. She said this was a decision that the County Office of Education made before public health called the shelter in place on March 16th. She said there was not a gap in learning for the majority of the students. She commented all the teachers had packets ready for parents to pick up on March 16th and the parents that were unable to pick up the packets received them by mail. She said there were a couple of glitches here and there. She commented that the district is a model for other districts to follow.

President Ernesto Bejarano said that, in less than a month, the district had a 35% increase in connectivity of students.

Superintendent Bauer said that occurred within two weeks. She said the district had a partnership with T-Mobile before COVID-19 happened which the Board approved. She said Alum Rock was in a good position.

Member Linda Chavez thanked the two translators for working so hard. She said Carlos Tripodi and Carmelina Bautista have been working overtime.

Vice-President Corina Herrera-Loera thanked Superintendent Bauer for answering the questions. She said she is doing her best to ensure students are connecting 100% for the summer. She said Santa Clara County has extended the school year to continue this way until the end of the school year. She thanked Superintendent Bauer for bringing this item to allow children to participate.

Public Comment:

- 1) Olivia Ortiz mentioned that her son attended the Springboard for two summers and it helped him a lot with his reading. She is sure that it will help a lot of kids. She said the Springboard gives each kid a tablet at the end of the program.

MOTION #01-14 by Member Linda Chavez to approve Action Item 4.01 as presented. MOTION #01-14 was seconded by Vice-President Corina Herrera-Loera.

MOTION #01-14 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

5. Superintendent/ Board Business

5.01 Approve Board Member Term Limits of three consecutive four-year terms.

Member Linda Chavez made a motion to amend to include that no expenditures, disbursements, resources, staff time will be incurred by the Alum Rock School District in putting this item forward and or, on the ballot.

President Ernesto Bejarano said the Board needs to consult Counsel Rogelio Ruiz. He said there is no motion to amend yet on the floor. He said the agenda items need to be approved. He asked Counsel Rogelio Ruiz to confirm that.

Counsel Rogelio Ruiz said there needs to be a motion first before something can be amended.

Member Linda Chavez said she motioned and it was seconded by Member Dolores Marquez-Frausto.

President Ernesto Bejarano asked Member Linda Chavez if she could repeat the motion.

Member Linda Chavez replied that she just moved and, the thing is, she is not voting the way it is.

MOTION #02-14 by Vice-President Corina Herrera-Loera to approve Action Item 5.01 as presented. MOTION #02-14 was seconded by Clerk Andrés Quintero for the purpose of discussion.

Clerk Andrés Quintero said he would like to defer to the maker of the motion who put the item first and then he would raise his comments after that.

Vice-President Corina Herrera-Loera She said this has been discussed in great length to agree and not to agree on term limits. She said she is aware of the possible rounded off \$80 thousand possible incumbent addition to the district's budget. She said she is the person who brought forth this item to all five Board members.

Clerk Andrés Quintero said he has a position with regards to term limits. He said the district does not need Board term limits but folks are asking for them. The community feels they are necessary. He said when he was at the forums, he told folks that he would not support two terms--that he would support three terms. He said he still supports them. However, everyone is facing circumstances that are beyond their control. He said, about two days ago, the Board received a letter from the Assembly Budget Committee specifically speaking about the COVID-19 situation. He said it looks like the district's budget is not going to be off to a good start and now the district is facing a dire circumstance. He commented that the district does not need to incur the cost of putting things on the ballot.

6. Superintendent/ Board Business

Clerk Andrés Quintero said he would still support the three terms, but at this time, the district is going to incur this significant cost. He said he would ask to consider this down the road once the Board has a better understanding of the budget.

Clerk Andrés Quintero asked Superintendent Bauer and Assistant Superintendent Chheng to elaborate on the contents of the letter of the Assembly Budget Committee.

President Ernesto Bejarano said that, before they respond, he does see that Member Dolores Marquez-Frausto has a comment.

Assistant Superintendent Chheng said the Budget Committee speaks towards the state itself and it's is going to implement a workload budget. He said that means the state is going to carry all the programs, but everything is postponed in the January budget in terms of new programs. He said there is no consideration for any additional funding. He commented that COLA has dropped to 2.29 and could be reduced further.

Member Linda Chavez said she will support three-term limits but, like she has mentioned before, she does not support the district paying for it at this time. She said especially with what is going on because the district is going to have less money.

President Ernesto Bejarano told Counsel Rogelio Ruiz it was his understanding that to put it on the ballot, the district would incur the cost and he believes Counsel Rogelio Ruiz gave the information to the Board in November 2019.

Counsel Rogelio Ruiz replied that is correct. He said if the Board approves that motion on the table in this agenda item, what would happen is a resolution embodying this vote will come back to this Board meeting for approval by the Board with the direction to the Registrar of Voters to place this on the November 2020 ballot. He said, in which case, the district will have to pay for the fees for it to be placed on the ballot.

President Ernesto Bejarano asked if there is any other option or is that way set to occur.

Counsel Rogelio Ruiz replied the other avenue is to get something in the ballot by the initiative, but the initiative is presented to the Registrar of Voters. Even then, the district helps with the fees--there is no way around this.

President Ernesto Bejarano said the district would be on the hook for \$82 thousand.

Counsel Rogelio Ruiz replied that is correct. The November election is a general election. He said the Board would need to consolidate this with the general election. He commented that he does not know the cost, but it is a reduced cost because it would be on the ballot.

President Ernesto Bejarano said he spoke to the Registrar of Voters and they informed him it would start with the \$82 thousand in addition to attorney fees, language, and all those other costs.

5. Superintendent/ Board Business

President Ernesto Bejarano said this item has been on the agenda for three out of the six months. He said most of the Board, including himself, have given the information they have to the community. He said that when he makes a vote, his rationale is to be transparent on what his position is. He said in the past twenty years, seventeen trustees have served and only two of them have served more than two terms. He said the facts are that in the past twenty years, there has not been a decade of bad governance. He said people that he has mentioned that have served terms, they cannot get reelected after a break in their term. He said they both got elected in first place by a voting public. He commented that, in his research, several voters with high academic performance trustees had a minimum of twelve years. He said he certainly understands that Vice-President Corina Herrera-Loera's attention and desires of one segment of the community who feel passionate about this item. He said on the table tonight, for him at least, is to consider their perspectives as well as the cost that the Board has brought up which is a very concerning situation. He mentioned the district is losing students and there is a trend of people leaving the district. He said, last year, the Board cut the School Resource Officers from the district. He commented the Board cut that budget in half. He said the Board does not need to take the money from the kids to do that. He commented he will not be supporting this item.

Member Linda Chavez said this could go to the ballot in the future, but not now. The district does not have the funds. She said the district has funds, but she does not believe the Board should allocate those resources for this at this time. She commented that if the public wanted to get a certain Board member out, they still would be here for another three more terms technically. She asked Counsel Rogelio Ruiz if she was correct in saying this.

Counsel Rogelio Ruiz replied if the measure is approved by the Board, then it would only apply prospectively.

President Ernesto Bejarano said he will go ahead with public comment since there are six and hopefully some connection will be established with Member Dolores Marquez-Frausto.

Public Comment:

Assistant Superintendent Sanchez read public comments made through email.

- 1) Araceli Serra said she is a mother of two students in the district. She said she supports Board Term Limits not only as a mother but as a community member. She said this policy allows the Board to learn and focus on student's success bringing good governance.
- 2) Raymond Mueller said term limits are good for the community as they provide better opportunities. He said when the flag is raised, trustees dig in their heels and use terms like fake news, discrediting the public who speaks out. He said, after two terms, an elected official is replaced by the next generation and the working knowledge stays current at that time.

5. Superintendent/ Board Business

Public Comment:

- 3) Claudia Cuello said, as a parent of a special needs student at the district, she is here to support Board term limits. She said she has seen much corruption and a lack of student representation. She said the community is tired of poor management that past Board members have shown and the only way the public can guarantee good management is through term limits.
- 4) Sonia Solano said she wants to support the policy of Board term limits because it is the opportunity to have access to the community.
- 5) Natalie Dowd-Abal said she is a parent of three Alum Rock Union School District students. She said she is in support of having three-term limits for the Alum Rock Board. She asked to meet the community in the middle and support three-term limits.
- 6) Flor De Leon said it is very important to have Member Dolores Marquez-Frausto connect for her to hear the comments being said for transparency. She said Member Dolores Marquez-Frausto has been having problems with her cell phone. She said she understands that the district is going through hard times. She said the way the district was running has not been the best. She said the district has spent millions of dollars in lawsuits. She commented that the Board has seen the public in the meetings to give input and has stayed very late at the meetings. She said hopefully the district will have this policy.
- 7) Janet O'Dell said, as a member of the community, she implores the Board to show their commitment to good governance by approving the three-term limits.
- 8) Laura Aguirre said she is a parent of the district and she is supportive of Board term limits. She said this is something the public has been asking for the last six months. She said term limits are going to help finish and end twenty years of corruption and will provide the public the opportunity to ensure school success for the children.
- 9) Mimi Hernandez said Raise Up was born the last election to eliminate corruption and stagnation by the Board. She said many of the Board members supported term limits as candidates and some of them are now condescending. She said a policy for three terms is fair because it allows the member to grow and focus on student success. She commented that voting yes for term limits is the right step to bring transparency and good governance.
- 10) Deliza Gonzalez said she is a parent of three students that attend Alum Rock. She asked members of the Board to pass term limits. This will finish the years of bad governance. She said new Board members will focus on children's education.

5. Superintendent/ Board Business

Superintendent Bauer said there is an update from Member Dolores Marquez-Frausto. Her phone is not working and she would like to be excused until closed session.

Clerk Andrés Quintero said he thinks this is an important item. He said the community has expressed the fact that Member Dolores Marquez-Frausto is not here. He commented he does see anything that holds the Board from deferring this item to the end of the meeting just before the Board goes to closed session. He said Member Dolores Marquez-Frausto can make her position public and take a vote on this particular item. He said once the district gets a better understanding of the finances, he would gladly go ahead and move forward to support this item. He commented he would encourage the public to look at other places like County Board of Education who went through a series of Superintendents. He said he would defer action on this item before the Board goes to closed session.

President Ernesto Bejarano asked Clerk Andrés Quintero for clarification of what he mentioned regarding a couple of school districts' term limits.

Clerk Andrés Quintero replied that he appreciated the fact that folks brought this item up here in Alum Rock and he looks forward to seeing it in a Springboard. He said he would like to join them by going to places like the County Office of Education's Board of Education that had some significant disfunction for quite a bit of time. He said the County Office of Education went through a series of Superintendents for a short period.

President Ernesto Bejarano said the thing that makes him worry and be cautious in looking at the recent or short-term incidents--not necessarily short term but being very reactive of things that have occurred. He said as humans also make decisions based on factual information.

Vice-President Corina Herrera-Loera said she agrees with Clerk Andrés Quintero to move this item at the end. She said she would like to suggest to hear what the public has to say because most people have their hands raised. She said the reasons why the Board is here is because they were voted to their seat to represent the constituents. She commented that her colleagues and herself respect one another. She said she is looking forward to the vote to take place on this item.

President Ernesto Bejarano said he appreciates the comments made by Vice-President Corina Herrera-Loera. He said that the Boardmembers all have different minds and perspectives. He said he certainly respects everyone's opinion. He said he would use the term reckless and irresponsible to spend 100 thousand dollars or 80 thousand dollars or whatever it is at the time.

Vice-President Corina Herrea-Loera said during this time you know our budget is getting hit as we speak. She said she has committed herself to look at rents and support the district in getting more money for general funds. She said she commits herself to help the district in that direction.

5. Superintendent/ Board Business

President Ernesto Bejarano said he appreciates this dialogue and he is still open to being informed, but he agrees that Vice-President Corina Herrera-Loera works hard. He said all the Board is looking at things they can do for the district. He said who knows what kinds of grants the Board can get. He said the “who knows” is important to him and that is a variable the “who knows” if the Board can move forward on this item. He said the Board knows each other and he knows the work Vice-President Corina Herrera-Loera does to bring resources to the district and the community as well as their working to make this collaboration.

Public Comments:

- 1) Olivia Ortiz said that she is very disappointed with the Board. She said President Bejarano is showing her how much he respects the community and parents. She said President Bejarano changed his mind so many times on this policy of term limits. She said last time President Ernesto Bejarano said that he would support three terms and so did Clerk Andrés Quintero. She commented that the community has been asking for this for the past six months. She said the public is engaged in the meeting every month and the public wants to work together.

President Ernesto Bejarano said he wants to make a brief clarification of public comment. He said in the past comment he stated he would support three-term limits as a reasonable thing to discuss. He said he clearly stated it and Olivia Ortiz can check the video. That did not mean that he would support it as an action item.

- 1) Brenda Zendejas said she is here to support three-term limits. She said she is here to stand with the community in solidarity. She commented that she is disappointed but understands why President Ernesto Bejarano is changing his mind. She said she sees that the Board is not going to support the item. She said the Board is concerned about money and the district can save money right now because the kids are not in school. She said she knows Clerk Andrés Quintero said he would support three-term limits, but she knows why he is changing his mind.
- 2) Maria Martinez said that the public has tried to get new Board members to represent their kids. She said the previous Trustees all made a mess and she asks to approve the term limits.
- 3) Victor Vasquez said he represents Somos Mayfair and they believe this is an important policy to pass. He said people believe in the new Alum Rock progressive and think about new resolutions. He said they are interested in working together but that would take action.

President Bejarano mentioned Member Dolores Marquez-Frausto will for sure be at the end. He said it sounds like this item will be tabled until the meeting. He said this is in hopes that Member Dolores Marquez-Frausto will have the opportunity to provide public comment. He said he is asking to table this item per the suggestion of Clerk Andrés Quintero.

5. Superintendent/ Board Business

Clerk Andrés Quintero said that is what he intended. When the Board gets to item 7.02, staff can contact her.

President Ernesto Bejarano asked Counsel Rogelio Ruiz if the Board could do that and if there needs to be a motion.

Counsel Rogelio Ruiz replied that you do not need to make a motion.

President Ernesto Bejarano said the Board will table item 5.01 to allow Member Dolores Marquez-Frausto to provide a comment. He said the Board will take action on the last item before they go to closed session.

5.02 Approve Renewal of Contract for Legal Services with Rehon & Roberts, APC.

Superintendent Bauer said she would like to ask the Board to approve.

MOTION #03-14 by Member Linda Chavez to approve Action Item 5.02 as presented. MOTION #03-14 was seconded by Clerk Andrés Quintero.

MOTION #03-14 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

5.03 Approve Amended Board Bylaw 9121 President, Second Reading.

MOTION #04-14 by Clerk Andrés Quintero to approve Action Item 5.03 as presented. MOTION #04-14 was seconded by Vice-President Corina Herrera-Loera.

MOTION #04-14 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

5.04 Approve Board Policy 4119.11/4219.11/4319.11 Sexual Harassment, Second Reading.

MOTION #05-14 by Clerk Andrés Quintero to approve Action Item 5.04 as presented. MOTION #05-14 was seconded by Member Linda Chavez.

MOTION #05-14 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

5. Superintendent/ Board Business

5.05 Approve Board Policy 4111/4211/4311 Recruitment and Selection, Second Reading.

MOTION #05-14 by Clerk Andrés Quintero to approve Action Item 5.05 as presented. MOTION #05-14 was seconded by Vice-President Corina Herrera-Loera.

MOTION #05-14 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

5.06 Approve Board Policy 5131 Conduct, Second Reading.

MOTION #05-14 by Clerk Andrés Quintero to approve Action Item 5.06 as presented. MOTION #05-14 was seconded by Vice-President Corina Herrera-Loera.

MOTION #05-14 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

6. Instructional Services

6.01 Adelante II TK-8 School Proposal (Information Only).

Superintendent Bauer said this item is information only. She said Adelante II will be a TK-8. She the district has completed paperwork with the state. She said this is for the school year 2020/2021.

Vice-President Corina Herrera-Loera commented that she was one of the parents that opened Adelante II. She said she can envision children continuing to grow with the district.

Public Comment:

- 1) Brenda Zendejas asked if there will be a principal and vice-principal for both schools. She said she feels that the schools will need a lot of support.
- 2) Flor De Leon said she has some concerns because she knows Arbuckle and Adelante II are basically in the same location. She said she feels there should be a consolidation of both schools. There has to be a principal and vice-principal.

Member Linda Chavez said she agrees with the last two parents that spoke. She said the schools need to have a principal and vice-principal.

6. Instructional Services *(continued)*

6.01 Local Control Accountability Plan (LCAP)- Initial findings Presentation.

Director Sandra Garcia had a PowerPoint presentation regarding the LCAP and the highlights are as follows:

Which stakeholders? ●Parents and Community ●ARUSD staff ●Students	How did we gather input? ●Community Forums ●School Input Sessions (Community/Staff) ●Surveys (Parents) **Spring Staff/Student survey has been postponed due to school shutdown
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Trends/Findings (Forums)

GOAL #1 Rigorous Instruction	GOAL #2 Proficiency in English	GOAL #3 Safe, welcoming environment	GOAL #4 Stakeholder Engagement
1. Advanced classes --math, college readiness 2. Tutoring -contracted -homework clubs 3. More social- emotional counseling and training 4. PD in content areas and train staff to use equipment that school has (drones, tech, etc.) in order to ensure materials is being used 5. Computers for all students 6. Coding courses at all middle schools	1. Professional Development for ALL teachers and administrators on an annual basis 2. Support for ELs during summer programs 3. After school tutoring	1. Need for more custodians to keep school clean 2. Improvement of school facilities 3. Increase counseling services 4. Cameras for security 5. Positive school culture 6. Need Library services	1. Advertisement & outreach to parents about school events 2. Flexible scheduling of events/meetings 3. Recruitment of parent volunteers 4. Diverse menu of parent training/workshops 5. Increase Community Liaisons support

6. Instructional Services *(continued)*

Vice-President Corina Herrera-Loera thanked Sandra Garcia for the presentation. She said that Sandra Garcia answered some questions she had regarding the survey. She said she did not see the fall information in the presentation. She said that Sandra Garcia did make it clear that the family surveys were done. She asked Sandra Garcia if she was going to send surveys out to staff and students by the end of the school year.

Sandra Garcia replied that she is talking to the principals about the surveys and she can do this. She said she wanted the distance learning to get off the ground to not bombard everyone. She commented she has concerns about students taking the survey at home without the guidance of their teacher.

Vice-President Corina Herrera-Loera asked if, before COVID-19, the plan was to have staff do the survey.

Sandra Garcia replied that it was already going to be launched and on the first day of shelter in place, it was postponed.

Vice-President Corina Herrera-Loera asked Sandra Garcia what she suggested would have the best outcome--if it's postponed or if it's done in a month or two.

Sandra Garcia replied that she would like to talk to a couple of principals and get Assistant Superintendent Sanchez involved to see what the outcome would be. She said it is important to get the voice of the students and the staff for the spring. It would good to compare the two.

Member Linda Chavez asked Sandra Garcia if she saw the students and teachers responding differently. She said if it would be postponed the needs would be different.

Sandra Garcia replied that there is going to be an emotional piece to this. She said students and staff may not feel secure with the situation the district is in. She feels that might affect the results. She said the district can give the survey, but the results may not be reliable.

President Ernesto Bejarano asked about the items that have goals 1, 2, 3 and 4 in different areas. They are numbered one-ten. Is there an order or are they just random numbers?

Sandra Garcia replied number one is what would appear most in the survey.

President Ernesto Bejarano asked if there was a threshold of items. He said he is trying to get a sense of what made it to the themes and what the threshold was for items that did not make it to the themes.

6. Instructional Services *(continued)*

Sandra Garcia replied what was looked at were things that were mentioned. She said all of the ones that were mentioned five to six times, they were mentioned a few times.

President Ernesto Bejarano thanked Sandra Garcia for the presentation—for the thoughtfulness and the formatting which was easy to understand.

Member Linda Chavez said, after COVID-19, she thinks that the first and second goals have changed drastically. She commented that if the staff were to ask parents now about learning goals, they will have different goals. She said parents need to be more tech-savvy to help students at home.

Public Comment:

- 1) Victor Vasquez thanked Sandra Garcia for providing the update and to continue to create ways to engage students. He said the timelines with this COVID-19 need to be approved.
- 2) Brenda Zendejas thanked Sandra Garcia for her report. She commented that if Sandra Garcia gives the survey, she feels it would be a good idea to get the emotions that kids are going through. She commented that the staff does not know if kids are safe at home. She said this would be a good way to get that input. She said teachers are in Zoom and she feels teachers can explain the survey that way.
- 3) Maria Martinez thanked Sandra Garcia for the information she presented. She commented that a lot of parents are asking for security cameras. She said she understands that the LCAP is conducted for learning purposes. She said she hopes there is more counseling for students.
- 4) Deliza Gonzalez thanked Sandra Garcia for the explanation of the LCAP. She said she is glad to see that the social-emotional program is continuing. She said she cannot wait to see what else the district can accomplish along with the parents and work as a team for the future of the students.
- 5) Olivia Ortiz thanked Sandra Garcia for the amazing job she is doing. She said she is a sub LCAP committee for the teachers. She said she is hoping to have the community attend the forums. She said to continue to work together.
- 6) Flor Del Leon said what people want to see is homework class and tutoring. She said she spoke to a lot of parents and they want to see tutoring programs. She said the LCAP is for low income and foster kids. She asked how security cameras are going to help these types of kids.

6. Instructional Services (continued)

Sandra Garcia mentioned that the translation in Spanish can be found on the district webpage.

Superintendent Bauer said Member Dolores Marquez-Frausto is back in the meeting.

Member Dolores Marquez-Frausto said she thought the presentation there would be more substantial than giving the report on surveys. She said she went to the forums in the beginning and they were very poorly attended. There were lots of staff but very little parents. She said she is very disappointed it was all done in surveys.

President Ernesto Bejarano said what has been presented looks very rigorous as far as he can tell. He said the total numbers of the surveys the Board received are significant at least in his estimation. He asked Superintendent Bauer if State and Federal Coordinator Imee Almazan helped to do some of this work. .

Superintendent Bauer said that is correct and the presentation is part of his packet.

President Ernesto Bejarano said with what is being said, he knows the type of work Sandra Garcia and Imee Almazan do given Imee Almazan's background. He said if Member Dolores Marquez-Frausto has concerns, he encourages her to voice them to the team so that they can answer her questions.

Member Linda Chavez said she attended the LCAP meetings and they were well staffed with Alum Rock employees there. She said that at each LCAP, she noticed that the parents had plenty of time to get to where the LCAPS were held. She commented the staff did a good job in asking questions, breaking up into groups.

7. Human Resources

7.02 Approve Resolution # 29-19/20 Classified School Employees Week May 17-23, 2020.

MOTION #06-14 by Member Linda Chavez to approve Action Item 7.02 as presented. MOTION #06-14 was seconded by Vice-President Corina Herrera-Loera.

Vice-President Corina Herrera-Loera thanked the classified staff in a personal way. She said she remembers that last year there was a celebration and she was taking pictures. She said this year things are different. She said she wanted to highlight everything they are doing--custodians and cafeteria workers. She commented that many continue to do the essential work for the students. She said she wanted to highlight them going above and beyond during this time, sacrificing time with their families, and being outside of their homes.

7. Human Resources (continued)

President Ernesto Bejarano said he wanted to echo those comments, thanking the district's classified employees for their service. He said these classified employees are the backbone of the district. He said a lot of what the teachers needed to do could not happen if the district did not have these staffers doing the work they do.

MOTION #06-14 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

8. CONSENT CALENDAR

There was a consensus from the Board to combine and take action on Agenda Items 8.02, 8.04, 8.05, 8.07, and 8.11.

Member Linda Chavez asked to pull Agenda Item 8.08 Approve Out of State Travel, NALEO Conference, Las Vegas, NV, June 23-25, 2020 for Dolores Marquez-Frausto Estimated cost \$2115.28 to be discussed separately.

President Ernesto Bejarano asked to pull Agenda Item 8.01, 8.06, 8.09 and 8.10

- ***Agenda Item 8.01 Approve Board Meeting Minutes for the following dates: (1) January 21, 2020, Board Study Session and (2) February 13, 2020, Regular Board Meeting,***
- ***Agenda Item 8.06 Approve Contracts for Professional Services-Firms/Organizations,***
- ***Agenda Item 8.09 Resolution No. 25-19/20 Asian Pacific Heritage Month***
- ***Agenda Item 8.10 Resolution No. 27-19/20 Week of the Teacher; May 4-8, 2020 to be discussed separately.***

Vice-President Corina Herrera-Loera asked to pull Agenda Item 8.03 Approve Fundraising Activities to be discussed separately.

MOTION #07-14 by Member Linda Chavez to approve Agenda Items, 8.02, 8.04, 8.05, 8.07, and 8.11, as presented with the expectation of 8.01, 8.03, 8.06, 8.08, 8.09 & 8.10
MOTION #07-14 was seconded by Vice-President Corina Herrera-Loera.

8.02 Approve of Acceptance of Donations.

8.04 Approve Acceptance of Vendor & Payroll Warrants.

8.05 Approve Acceptance of Enrollment/Attendance Report for Month 7 (February 17, 2020 thru March 13, 2020)

8.07 Approve/Ratify Notices of Employment and Changes of Status/April 9, 2020/Human Resources Department.

8.11 Resolution No. 27-19/20: Week of the Teacher; May 4-8, 2020.

MOTION #07-14 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

8. CONSENT CALENDAR *(continued)*

MOTION #11-14 by Vice-President Corina Herrera-Loera to approve Action Item 8.08 as presented. MOTION #11-16 was seconded by President Ernesto Bejarano.

MOTION #11-14 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

Vice-President Corina Herrera-Loera asked that since this is for Member Dolores Marquez-Frausto, should she be voting on items for herself.

Counsel Rogelio Ruiz replied that this is a typical Board expense for professional development. He said the safe thing to do is to recuse herself.

President Ernesto Bejarano asked if the Board needed to take the vote again.

Counsel Rogelio Ruiz replied no. Member Dolores Marquez-Frausto can just recuse herself.

Member Dolores Marquez-Frausto said she wants to get a second opinion because the only gain she would get would be professional development. She said her colleague Clerk Andrés Quintero voted for this item when he went to NALEO. She said she challenges that for a second opinion because there is no financial gain.

Counsel Rogelio Ruiz said he does not think this is mandatory, but he is recommending it would be a safer thing to do. He said he does not believe that it is mandatory because it is not compensation. It is only an expense for professional development.

Member Linda Chavez said the way it was written made it seem like it was an addition to the \$5 thousand for professional development. She said she believes that it should not be on the agenda. It should just be approved. She said it should be brought to the Board for approval.

Superintendent Bauer replied that the reason why it comes to the Board for approval is because it is out of state.

8.10 Resolution No. 26-19/20: Cinco De Mayo Week; May 4 – May 8, 2020

Clerk Andrés Quintero said he is excited that the Board is going ahead and approving this resolution. He said he wants to mention the erroneous thought that this is a Mexican holiday. He said it was done away with under David Bautista.

President Ernesto Bejarano asked Clerk Andrés Quintero if he is saying that it is a dual celebration with the Batalla de Puebla.

8. CONSENT CALENDAR *(continued)*

Clerk Andrés Quintero replied that the name was taken from Batalla de Puebla. He said they are celebrating both primary Latinos and Mexicans--all kinds of people. He said they were already here in the United States. He said they came for the gold rush so they were all involved, primarily Mexicans.

President Ernesto Bejarano said he wanted to comment on the Batalla de Puebla. He said under-resourced individuals were fighting against a larger, more well-resourced, funded army coming out victorious. He said he feels that the community is under-resourced. He said the community has a challenge in terms of social economics. He commented that the district is still able to serve families to provide meals and get technology going. He said in many ways the Mexican army was able to fight and defeat.

MOTION #12-14 by Member Linda Chavez to approve Action Item 8.10 as presented. MOTION #12-14 was seconded by Clerk Andrés Quintero.

MOTION #12-14 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

President Ernesto Bejarano said the Board had 5.01 tabled to have Member Dolores Marquez-Frausto give comments or ask questions if she has them.

Member Dolores Marquez-Frausto asked why the Board did not move forward with this item. Is it because the Board wanted her to make comment?

President Ernesto Bejarano replied that there were a couple of requests from the Board to give her time to make any comments. He said it is because she was having a lot of technical issues. He said that even though there was a quorum, and since this is an important item, the Board wanted to make sure she was given the opportunity if she wanted it.

Member Dolores Marquez-Frausto said she wishes she had heard everyone's comments. She said all she remembered hearing was Counsel Rogelio Ruiz's voice. She said she does not know what she can add it to. She said she does not have a problem with term limits. She said the community was talking about two-term limits and she is not in favor of three-term limits. She said with two terms, the community made a statement but the three-terms that is saying nothing. She commented she has already been a Board member for three terms.

President Ernesto Bejarano commented that he will not be supporting this item for several reasons, primarily financial.

Member Dolores Marquez-Frausto said to President Ernesto Bejarano that the Board needs to move forward with the agenda and take a vote.

8. CONSENT CALENDAR *(continued)*

Clerk Andrés Quintero said he wanted to make sure that everyone is reasonable--people that can agree to disagree. He mentioned this based on information the Board received two days ago. He said this is an important component of democracy. He said he still stands on what he has stated before, but he wanted to make sure that the district's finances are going to be able to stand a great commitment of the general fund at the moment.

President Ernesto Bejarano thanked Clerk Andrés Quintero for saying that. He said he understood the comments and the sentiment that the Board is not listening. He said he hears them very clearly. He said he disagrees with that because the Board is having three or five ways to give public comment to participate. He said this is the reason why Superintendent Bauer created a group of parents to get feedback because the Board wants to listen. He said he believes, but could be mistaken, that a large part of the reason Vice-President Corina Herrera-Loera brought up this item is because she is also closely listening. He commented that he wanted to make it clear that he is listening, but he has a different opinion.

Member Linda Chavez said she wanted to add what she had said. She actually wanted to amend the motion because she does believe in term limits, but she does not believe the district should pay for them. She said either way whichever way the Board votes is the community gets the resolution on the ballot Alum Rock pays if Alum Rock puts it in the ballot Alum Rock pays. She wanted to amend the motion, but it hasn't been amended. What she stated is that she would like to amend the motion to include that no expenditures, disbursements, resources, staff time will be incurred by Alum Rock School District in putting this item forward and/or on the ballot. She said the reason being is because with everything happening, there is money but not enough money, especially now with this Coronavirus. She commented that there is going to be less, so she does not want to spend it on this right now. She doesn't think the Board should spend it on this now. In the future, yes, but not now. She said right now the district needs to use all their resources wisely.

President Ernesto Bejarano asked Member Linda Chavez, just to be clear, if she is putting an amendment forward right now.

Member Linda Chavez said no because the Board still has not voted on the other one. That is what she was told.

President Ernesto Bejarano said that from his understanding from what Counsel Rogelio Ruiz said, anyway it is sliced, the district bears the financial responsibility--whatever decision is made.

Vice-President Corina Herrera-Loera said to just move forward with the vote. She said she thinks it is pretty clear that she has said everything she had to.

MOTION #2-14 failed with a vote of 1 in favor; 4 opposition (Member Linda Chavez, Clerk Andrés Quintero, President Ernesto Bejarano, and Member Dolores Marquez-Frausto); no absent; and no abstention.

9. Closed Session

9.01 Announcement and Public Comments Regarding Items to be Discussed In Closed Session

President Ernesto Bejarano said the way closed session will work is all the Trustees have a link already to a separate Zoom meeting for closed session.

Superintendent Bauer asked, as a reminder, if there are any desires for the May agenda items.

President Ernesto Bejarano said he knows CSBA recommends that the Superintendent and President of the Board at the time be able to have discretion on the items that go onto the agenda. He said it is his understanding from Counsel Rogelio Ruiz that his recommendation, as well as that of the Board, is to allow for whoever the President may be at the time, to work in conjunction with the Superintendent to bring items to the agenda. He said this is to expedite the business of the district efficiently. He asked Counsel Rogelio Ruiz to confirm what he said.

Counsel Rogelio Ruiz replied yes, your description of the Board policy is correct. He said the district Board policy also differs from the standard form of policy issue.

President Ernesto Bejarano said he will be asking staff to see if he may request to bring that as a reading from a policy to relook at how we can do that to conform with CSBA's recommendations.

Counsel Rogelio Ruiz said that with the Board President's description of Alum Rock's Board bylaw, this issue is accurate. He said it is also true that the Alum Rock Board bylaw differs from the form of policy that is recommended by CSBA and also from other districts as well.

Vice-President Corina Herrera-Loera said that Ray Mueller came out to speak to the Board about the Census and she would like for him to come out again to do a presentation. She said if the Board wants to place an agenda item, make sure to email them to Superintendent Bauer.

President Ernesto Bejarano replied that she can always provide them in writing to Superintendent Bauer and himself.

President Ernesto Bejarano announced the items that would be discussed in Closed Session. There were no public comments from the audience at this time.

Superintendent Bauer said the Board needs to vote to see if they are willing to extend the meeting until 11:00 p.m.

9. Closed Session

Counsel Rogelio Ruiz said there is an item in the closed session agenda that is for claims for damages which may be an action which may be reportable.

MOTION #13-14 by Vice-President Corina Herrera-Loera to extend the meeting until 11:00 p.m. MOTION #13-14 was seconded by Member Linda Chavez.

MOTION #13-14 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

9.02 Recess To Closed Session: The Board will recess to Closed Session at approximately 9:56 p.m. Open session will resume approximately 1 ½ hours after the start of Closed Session.

President Ernesto Bejarano announced that the Board would reconvene to Open Session in approximately 1 ½ hours. The Board adjourned to Closed Session at 9:56 p.m.

10. RECONVENE TO OPEN SESSION

10.01 Call to Order / Roll Call

The Board reconvened to Open Session at 11:00 p.m. President Ernesto Bejarano welcomed everyone and took a second Roll Call in which all Board Members were present. There was a quorum.

Counsel Rogelio Ruiz reported that the Board took the following action:

9.05 Board rejected the claim for C. Guerra for claim damages. The Board voted 4-1 (Member Linda Chavez voting no).

Counsel Rogelio Ruiz reported that there is no other action to report at this time.

11. RECEIVE REPORTS

There are none.

12. FUTURE BOARD AGENDA REQUESTS

12.01 Requests from Board of Trustees and/or from the Public

There are none.

13. ADJOURNMENT

13.01 President Adjourns the Meeting

President Ernesto Bejarano adjourned the meeting at 11:03 p.m.

Respectfully submitted,

Andrés Quintero, Board Clerk
mc

10.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: June 12, 2020

Subject: Acceptance of Donations

Staff Analysis: The District has received donations as summarized on the sheet dated June 25, 2020.

Recommendation: Staff recommends approval for acceptance of these donations.

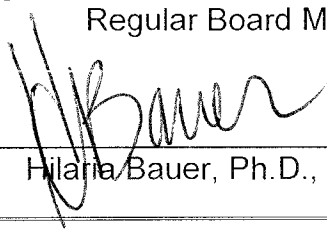
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Recommend Approval

10.02
Agenda Placement


Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ACCEPTANCE OF DONATIONS

<u>DONOR NAME</u>	<u>DESCRIPTION OR PURPOSE</u>	<u>ESTIMATED VALUE</u>	<u>RECEIVING SCHOOL OR DEPARTMENT</u>
Hugh Stuart Center Charitable Trust	Materials & Supplies	\$ 17,000.00	Aptitud Academy at Goss
Silicon Valley Education Foundation	Materials & Supplies	\$ 3,000.00	Cureton Elementary
Box Tops for Education	Materials & Supplies	\$ 3.00	Cureton Elementary
Friends of Deer Hollow Farm	Materials & Supplies	\$ 457.51	Cureton Elementary
Environmental Volunteers	Materials & Supplies	\$ 798.48	Cureton Elementary
Box Tops for Education	Materials & Supplies	\$ 4.40	Linda Vista Elementary
Box Tops for Education	Materials & Supplies	\$ 4.00	Lyndale Elementary
AT&T Giving Campaign	Materials & Supplies	\$ 40.00	McCollam Elementary
Box Tops for Education	Materials & Supplies	\$ 27.50	McCollam Elementary
AT&T Giving Campaign	Materials & Supplies	\$ 40.00	McCollam Elementary
AT&T Giving Campaign	Materials & Supplies	\$ 40.00	McCollam Elementary
AT&T Giving Campaign	Materials & Supplies	\$ 200.00	McCollam Elementary
YourCause, LLC	Materials & Supplies	\$ 25.00	Meyer Elementary
YourCause, LLC	Materials & Supplies	\$ 25.00	Renaissance Academy
Hillcresters	Materials & Supplies	\$ 1,000.00	Russo/McEntee Academy
The Benevity Community Impact Fund	Materials & Supplies	\$ 250.00	Sheppard Middle
Applied Materials YourCause	Materials & Supplies	\$ 100.00	Sheppard Middle
Box Tops for Education	Materials & Supplies	\$ 8.50	Sheppard Middle



APTITUD COMMUNITY ACADEMY AT GOSS

2475 Van Winkle Lane

San José, CA 95127

(408) 928-7650

FAX (408) 928-7651

Rebecca Jensen, Assistant Principal

May 4, 2020

To Whom It May Concern,

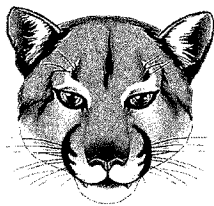
We received a check for \$17,000.00 from the Hugh Stuart Center Charitable Trust.

Please deposit this money into our donations account. The money will be utilized to purchase a color copy machine and other materials and supplies.

Thank you,

Rebecca Jensen
Assistant Principal

2020 MAY 4 10:56 AM
COMMUNITY ACADEMY AT GOSS
SAN JOSE, CA 95127



Horace Cureton Elementary School
3720 East Hills Drive, San Jose, CA 95127
(408) 928-7350 FAX (408) 928-7351

Principal: Alfredo Acosta

Business office
Attention: Cynthia Sanchez
May 26, 2020

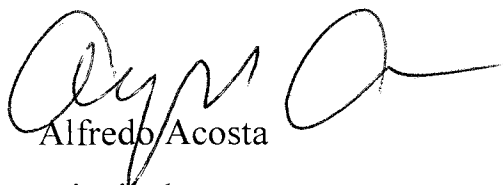
Cynthia,

We have received a total of \$3,000.00 to Cureton Elementary from
Silicon Valley Education Foundation.

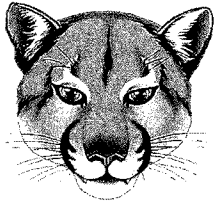
Please accept the donation of \$3,000.00, and forward this
communication to the Alum Rock Board of Education for
approval.

Thank you for your support.

Sincerely,


Alfredo Acosta
Principal

5/26/2020
Cynthia Sanchez
Business Office
Horace Cureton Elementary School
3720 East Hills Drive
San Jose, CA 95127
(408) 928-7350



Horace Cureton Elementary School
3720 East Hills Drive, San Jose, CA 95127
(408) 928-7350 FAX (408) 928-7351

Principal: Alfredo Acosta

Business office
Attention: Cynthia Sanchez
May 26, 2020

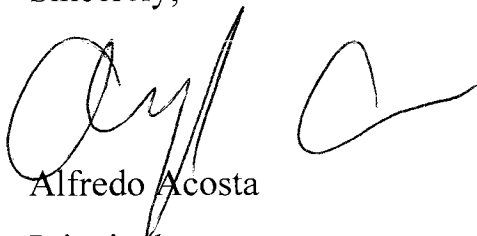
Cynthia,

We have received a total of \$3.00 to Cureton Elementary from Box
Tops for Education.

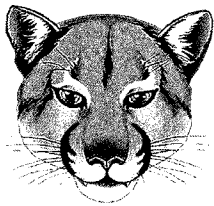
Please accept the donation of \$3.00, and forward this
communication to the Alum Rock Board of Education for
approval.

Thank you for your support.

Sincerely,


Alfredo Acosta
Principal

2020 MAY 27 P 10:50
C-7564 57103



Horace Cureton Elementary School
3720 East Hills Drive, San Jose, CA 95127
(408) 928-7350 FAX (408) 928-7351

Principal: Alfredo Acosta

Business office
Attention: Cynthia Sanchez
May 26, 2020

Cynthia,

We have received a total of \$457.51 to Cureton Elementary from Friends of Deer Hollow Farm. Transportation reimbursement for first grade field trip.

Please accept the donation of \$457.51, and forward this communication to the Alum Rock Board of Education for approval.

Thank you for your support.

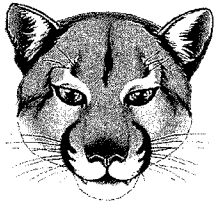
Sincerely,

A handwritten signature in black ink, appearing to read 'Alfredo Acosta'. The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Alfredo Acosta

Principal

RECEIVED
MAY 27 2020
CYNTHIA SANCHEZ
BUSINESS OFFICE
HORACE CURETON ELEMENTARY SCHOOL
3720 EAST HILLS DRIVE
SAN JOSE, CA 95127
(408) 928-7350



Horace Cureton Elementary School
3720 East Hills Drive, San Jose, CA 95127
(408) 928-7350 FAX (408) 928-7351

Principal: Alfredo Acosta

Business office
Attention: Cynthia Sanchez
May 26, 2020


Cynthia,

We have received a total of \$798.48 to Cureton Elementary from Environmental Volunteers. Donated to help with fifth grade Science Camp transportation.

Please accept the donation of \$798.48, and forward this communication to the Alum Rock Board of Education for approval.

Thank you for your support.

Sincerely,


Alfredo Acosta
Principal

2020 MAY 27 10:10 AM
ALUM ROCK BOARD OF EDUCATION



Linda Vista Elementary

100 Kirk Av, San Jose, CA 95127
(408) 928-7800 Fax: (408) 928-7801

*



To: Alum Rock Union Elementary School District – Board of Trustees

From: Rigoberto Gomez Principal – Linda Vista

Re: Donation to Linda Vista

Date: May 22, 2020

Please place the acceptance of these donations totaling \$4.40 for Linda Vista on the consent calendar for the next board meeting.

The donations are comprised of the following:

\$4.40, Box Top Education, check donation

Please deposit in donation account: 010 9010 0 4300 00 1110 2700 0000 020 2110

Thank you,

Rigoberto Gomez



Lyndale Elementary School

All Students Can Learn.....All Students Can Succeed

13901 Nordyke Drive
San Jose, California 95127
(408) 928-7900

Ms. Kasturi Basu, Principal
Ms. Vanessa Esquibel, School Secretary
May 14, 2020

Dear Alum Rock School Board,

I am happy to inform you that Lyndale School is the recipient of a \$4.00 donation from Wells Box Tops for Education . Thank you for accepting this donation, and your continued support of Lyndale School.

Sincerely,

A handwritten signature in black ink that reads "Kasturi Basu".

Kasturi Basu,
Principal



McCollam Elementary School

3311 Lucian Ave. • San Jose, CA 95127 • (408) 928-8000 Fax (408) 928-8001

TO: Hilaria Bauer, Superintendent
Honorable Board Members, Alum Rock Union Elementary
School District
Business Office

FR: Pablo Fiene, Principal

DATE: April 2, 2020

RE: Monetary Donation to McCollam School

Please accept a donation, a check in the amount of \$40.00 from AT&T Giving Campaign. This money will go towards the purchase of materials, supplies, and field trips for classrooms. Please deposit the check into the following donation account: # 06-040-21100-8699.

Thank you for your assistance.



McCollam Elementary School

3311 Lucian Ave. • San Jose, CA 95127 • (408) 928-8000 Fax (408) 928-8001

TO: Hilaria Bauer, Superintendent
Honorable Board Members, Alum Rock Union Elementary
School District
Business Office

FR: Pablo Fiene, Principal

DATE: May 29, 2020

RE: Monetary Donation to McCollam School

Please accept a donation, a check in the amount of \$27.50 from Box Tops for Education. This money will go towards the purchase of materials, supplies, and field trips for classrooms. Please deposit the check into the following donation account: # 06-040-21100-8699.

Thank you for your assistance.



McCollam Elementary School

3311 Lucian Ave. • San Jose, CA 95127 • (408) 928-8000 Fax (408) 928-8001

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Honorable Board Members, Alum Rock Union Elementary
School District
Business Office

FR: Pablo Fiene, Principal

DATE: May 29, 2020

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Thank you for your assistance.



McCollam Elementary School

3311 Lucian Ave. • San Jose, CA 95127 • (408) 928-8000 Fax (408) 928-8001

TO: Hilaria Bauer, Superintendent
Honorable Board Members, Alum Rock Union Elementary
School District
Business Office

FR: Pablo Fiene, Principal

DATE: May 29, 2020

RE: Monetary Donation to McCollam School

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Thank you for your assistance.



McCollam Elementary School

3311 Lucian Ave. • San Jose, CA 95127 • (408) 928-8000 Fax (408) 928-8001

TO: Hilaria Bauer, Superintendent
Honorable Board Members, Alum Rock Union Elementary
School District
Business Office

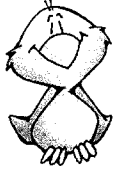
FR: Pablo Fiene, Principal

DATE: April 2, 2020

RE: Monetary Donation to McCollam School

Please accept a donation, a check in the amount of \$200.00 from Applied Materials Foundation. This money will go towards the purchase of materials, supplies, and field trips for classrooms. Please deposit the check into the following donation account: # 06-040-21100-8699.

Thank you for your assistance.



Donald J. Meyer Elementary School

"Home of the Blue Jays"

1824 Daytona Drive
Phone: 408.928.8200

San Jose, Ca. 95122
Fax: 408.928.8201

Dr. Silvia Carrillo- Principal

To: The Board of Trustees
From: Silvia Carrillo
Date: June 1, 2020
Re: YourCause, LLC Trustee for Wells Fargo
Community Support Campaign

To Whom It May Concern,

The amount of **\$25.00** was donated to Meyer from YourCause, LLC Trustee for Wells Fargo Community Support Campaign. Please accept and deposit into our local donations account
0600000043000011010000000001802110.

Thank you,

0600000043000011010000000001802110



Please accept the following Donation for Board approval:

Company/ Sponsor(s) Name

Amount

To Be Used For

Your Cause, LLC

\$25.00


Field Trips/School Activities/Classroom Furniture/Printers/Art Supplies. Student Incentives, Murals.

Total Amount of Donations: \$25.00

Please credit account#060-9010-0-4300-00-1110-2700-000000-290-2110

We would like to thank this **Organization** for their continuous support in providing our students' with the tools to ensure a successful academic year at the Renaissance Academy.

Sincerely,


Doug Kleinhenz – School Principal

69-000000



Russo/McEntee Academy

2851 Gay Ave San Jose, CA 95127

Phone: (408)928-8850

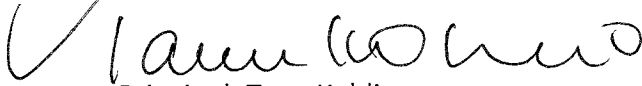
Fax: 408-928-8901

May 19, 2020

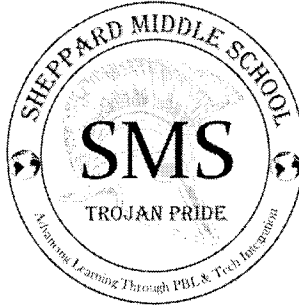
Dear Board Members:

Please accept the following donation from the Hillcresters on the amount of \$1,000.00 (check).
For materials supplies and other essentials for Russo/McEntee Academy!

Thank you,


Principal, Tanu Kohli

Tanu Kohli, Principal



May 12, 2020

To Hilaria Bauer, Ph.D., Superintendent
Honorable Board Members
Alum Rock Union Elementary School District

From: Jacqueline Montejano. Principal

Re: Monetary Donations to Sheppard Middle School

Please accept the following donations to be used toward Sheppard's instructional program including, integrating technology, professional development, staff activities that build capacity for shared leadership, community connections and events, student events, students, staff and family recognition.

The Benevity Community Impact Fund	\$ 250.00
Applied Materials YourCause	\$ 100.00
Box Top Education	\$ 8.50

Please deposit into donation account 06 2110 0 4300 00 1110 1000 0000000 170 2110

Thank you in advance for your approval.

Jacqueline Montejano

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:

June 12, 2020

Subject: Vendor & Payroll Warrants

Staff Analysis: Summary of Vendor and Payroll Warrants issued during May month of Fiscal Year 2019/2020.

Total of vendor warrants	\$	3,438,031.48
Total of payroll warrants	\$	4,316,475.85
Total	\$	7,754,507.33

Recommendation: Staff recommends approval of the Vendor & Payroll Warrants for the month of May 2020.

Approved by: Kolvira Chheng



Title: Assistant Superintendent, Business Services

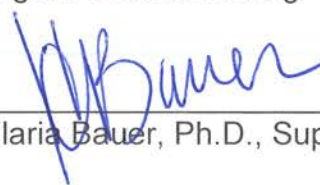
To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Recommend Approval

10.03

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

May 2020

	<u>VENDOR WARRANTS</u>	<u>AMOUNT</u>
Fund		
010,050,060,080	General Fund (Incl Payroll A/P)	\$1,696,114.60
120	Child Development Fund	\$644.82
130	Cafeteria Fund	\$156,045.11
140	Deferred Maintenance Fund	\$808.70
210	Building Fund	\$3,950.00
250	Capital Facilities Fund	\$0.00
350	County School Facility Fund	\$0.00
400	Special Reserve for Capital Outlay Fund	\$0.00
670	Health and Welfare & Workers Comp	\$1,550,086.77
	Subtotal	\$3,407,650.00
95	Student Body Fund	\$30,381.48
	Total Vendor Warrants	\$3,438,031.48
 <u>PAYROLL WARRANTS</u>		
	10th of the month	\$184,278.01
	EOM Payroll	\$4,133,302.77
	Manual Checks	\$0.00
	Void Checks	(\$1,104.93)
	Total Payroll Warrants	\$4,316,475.85
	Grand Total All Warrants	\$7,754,507.33

	From	To
AP Warrants used #	4003099	- 4003453
Payroll Direct Deposit Pay Stub used #	4705217	- 4706347
Payroll Checks used #	4400512	- 4400597

AP Check Register - Pivot Table Summary by Vendor
May 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
4IMPRINT INC.	5/28/2020	4003371	060	\$ 565.43
4IMPRINT INC. Total				\$ 565.43
ACCOUNTABLE HEALTHCARE	5/14/2020	4003237	080	\$ 13,280.00
ACCOUNTABLE HEALTHCARE Total				\$ 13,280.00
ACE EMPOWER ACADEMY	5/7/2020	4003141	010	\$ 23,085.00
ACE EMPOWER ACADEMY Total				\$ 23,085.00
ADRIANA DIAZ	5/29/2020	4003429	010	\$ 32.00
ADRIANA DIAZ Total				\$ 32.00
ADVERTISERS DIRECT INC	5/28/2020	4003417	010	\$ 1,635.00
ADVERTISERS DIRECT INC Total				\$ 1,635.00
AIRGAS, INC.	5/7/2020	4003106	010	\$ 42.07
	5/28/2020	4003359	010	\$ 41.00
AIRGAS, INC. Total				\$ 83.07
ALBERT, WENDY E.	5/4/2020	4003100	010	\$ 2,000.00
	5/21/2020	4003311	080	\$ 164.44
ALBERT, WENDY E. Total				\$ 2,164.44
ALETTE M. C. BROOKS	5/14/2020	4003238	080	\$ 2,062.50
ALETTE M. C. BROOKS Total				\$ 2,062.50
ALLIANCE FOR YOUTH ACHIEVEMENT	5/28/2020	4003399	010	\$ 30.00
ALLIANCE FOR YOUTH ACHIEVEMENT Total				\$ 30.00
ALPHA BLANCA ALVARADO(ALPHA1)	5/7/2020	4003142	010	\$ 51,669.00
ALPHA BLANCA ALVARADO(ALPHA1) Total				\$ 51,669.00
ALPHA JOSE HERNANDEZ (ALPHA	5/7/2020	4003143	010	\$ 68,977.00
ALPHA JOSE HERNANDEZ (ALPHA Total				\$ 68,977.00
ALUM ROCK #305	5/28/2020	4003400	010	\$ 532.00
ALUM ROCK #305 Total				\$ 532.00
ALUM ROCK ADMINISTRATORS ASSOC	5/28/2020	4003401	010	\$ 525.00
ALUM ROCK ADMINISTRATORS ASSOC Total				\$ 525.00
AMADO TORRES	5/29/2020	4003430	010	\$ 6,789.99
AMADO TORRES Total				\$ 6,789.99
AMANDA LEA CHAMBERS	5/14/2020	4003177	010	\$ 59.00
AMANDA LEA CHAMBERS Total				\$ 59.00
AMAZON WEB SERVICES INC.	5/14/2020	4003260	010	\$ 1,525.92
AMAZON WEB SERVICES INC. Total				\$ 1,525.92
AMERICAN FIDELITY ASSURANCE	5/28/2020	4003402	010	\$ 20,515.00
AMERICAN FIDELITY ASSURANCE Total				\$ 20,515.00
AMERICAN FIDELITY ASSURANCE CO	5/28/2020	4003403	010	\$ 32,481.28
AMERICAN FIDELITY ASSURANCE CO Total				\$ 32,481.28
ANDRE BOUDIN BAKERIES	5/7/2020	4003160	010	\$ 8,971.71
ANDRE BOUDIN BAKERIES Total				\$ 8,971.71
ANGELINA METSERS	5/28/2020	4003338	080	\$ 41.37
ANGELINA METSERS Total				\$ 41.37
APPLE INC.	5/7/2020	4003134	010	\$ 6,059.84
			060	\$ 10,436.38
		4003161	010	\$ 9,269.87
			060	\$ 60.03
	5/14/2020	4003194	060	\$ 15,773.68

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
APPLE INC.	5/21/2020	4003293	010	\$ 10,380.66
			060	\$ 9,925.91
APPLE INC. Total				\$ 61,906.37
ARAMARK UNIFORM SERVICES	5/14/2020	4003251	130	\$ 551.20
ARAMARK UNIFORM SERVICES Total				\$ 551.20
ARCLITE INC	5/21/2020	4003280	050	\$ 5,765.93
ARCLITE INC Total				\$ 5,765.93
ASSOCIATION OF CALIFORNIA	5/28/2020	4003404	010	\$ 3,322.76
ASSOCIATION OF CALIFORNIA Total				\$ 3,322.76
AT&T	5/7/2020	4003135	010	\$ 398.17
	5/21/2020	4003323	010	\$ 3,356.81
	5/28/2020	4003418	010	\$ 393.55
		4003419	010	\$ 80.25
AT&T Total				\$ 4,228.78
BALCO HOLDINGS INC	5/7/2020	4003107	010	\$ 347.37
	5/14/2020	4003231	010	\$ 35,195.19
BALCO HOLDINGS INC Total				\$ 35,542.56
BALLARD & TIGHE COMPANY	5/14/2020	4003195	060	\$ 1,394.00
BALLARD & TIGHE COMPANY Total				\$ 1,394.00
BAY AREA FLOOR MACHINE	5/21/2020	4003281	010	\$ 667.36
	5/28/2020	4003330	010	\$ 456.34
BAY AREA FLOOR MACHINE Total				\$ 1,123.70
BENCHMARK EDUCATION COMPANY	5/21/2020	4003294	060	\$ 2,828.61
	5/28/2020	4003372	060	\$ 1,281.94
BENCHMARK EDUCATION COMPANY Total				\$ 4,110.55
BETTENCOURT, MARIA F.	5/14/2020	4003239	010	\$ 204.18
BETTENCOURT, MARIA F. Total				\$ 204.18
BRADFORD LIGHT	5/29/2020	4003431	010	\$ 36.00
BRADFORD LIGHT Total				\$ 36.00
CALIFORNIA DEPARTMENT OF ED	5/7/2020	4003168	010	\$ 8,974.07
	5/28/2020	4003420	010	\$ 106.98
CALIFORNIA DEPARTMENT OF ED Total				\$ 9,081.05
CAL-STEAM INC.	5/14/2020	4003203	050	\$ 1,074.85
	5/21/2020	4003282	050	\$ 4,751.92
	5/28/2020	4003360	050	\$ 517.93
CAL-STEAM INC. Total				\$ 6,344.70
CALVIN JOHNSON	5/29/2020	4003432	010	\$ 106.40
CALVIN JOHNSON Total				\$ 106.40
CAMBIUM LEARNING INC.	5/28/2020	4003421	060	\$ 1,000.00
CAMBIUM LEARNING INC. Total				\$ 1,000.00
CANON FINANCIAL SERVICES INC.	5/7/2020	4003108	010	\$ 71.01
	5/21/2020	4003302	010	\$ 494.93
			060	\$ 316.84
		4003310	010	\$ 9,870.41
			060	\$ 1,828.96
			050	\$ 737.43
			080	\$ 340.85

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
CANON FINANCIAL SERVICES INC.	43972	4003310	130	\$ 175.89
CANON FINANCIAL SERVICES INC. Total				\$ 13,836.32
CARLOS HERNANDEZ	5/15/2020	4003266	010	\$ 66.00
CARLOS HERNANDEZ Total				\$ 66.00
CARRILLO SYLVIA T.	5/14/2020	4003240	060	\$ 37.81
CARRILLO SYLVIA T. Total				\$ 37.81
CELLCO PARTNERSHIP	5/14/2020	4003230	010	\$ 5,299.61
CELLCO PARTNERSHIP Total				\$ 5,299.61
CHRISTINE GARCIA-COGLIANDRO	5/7/2020	4003144	130	\$ 67.28
	5/28/2020	4003380	130	\$ 226.69
CHRISTINE GARCIA-COGLIANDRO Total				\$ 293.97
CHRISTOPHER WHITE	5/29/2020	4003433	010	\$ 36.00
CHRISTOPHER WHITE Total				\$ 36.00
CINTAS CORPORATION	5/7/2020	4003103	010	\$ 424.28
		4003109	010	\$ 105.00
		4003121	010	\$ 416.68
			050	\$ 87.51
	5/14/2020	4003178	010	\$ 861.90
			050	\$ 182.62
		4003204	010	\$ 416.68
			050	\$ 432.58
		4003232	010	\$ 416.68
			050	\$ 87.51
	5/21/2020	4003283	010	\$ 381.68
			050	\$ 87.51
	5/28/2020	4003331	010	\$ 35.00
		4003361	010	\$ 540.70
			050	\$ 87.51
CINTAS CORPORATION Total				\$ 4,563.84
COUNTY BUILDING MATERIALS INC.	5/14/2020	4003213	050	\$ 293.58
COUNTY BUILDING MATERIALS INC. Total				\$ 293.58
CRARY, FREDERICK ALLEN	5/7/2020	4003162	010	\$ 204.18
CRARY, FREDERICK ALLEN Total				\$ 204.18
CROWN CASTLE INTERNATIONAL	5/28/2020	4003373	010	\$ 2,964.64
CROWN CASTLE INTERNATIONAL Total				\$ 2,964.64
CSEA VICTORY CLUB	5/28/2020	4003405	010	\$ 51.00
CSEA VICTORY CLUB Total				\$ 51.00
D&S COMMUNICATION	5/21/2020	4003277	010	\$ 622.61
D&S COMMUNICATION Total				\$ 622.61
DANNY SANCHEZ	5/7/2020	4003110	060	\$ 1,300.00
	5/14/2020	4003179	060	\$ 2,500.00
		4003255	060	\$ 7,300.00
DANNY SANCHEZ Total				\$ 11,100.00
DAYLIGHT FOODS INC	5/14/2020	4003252	130	\$ 15,075.04
DAYLIGHT FOODS INC Total				\$ 15,075.04
DEBORAH ROBERTS	5/29/2020	4003434	010	\$ 60.00
DEBORAH ROBERTS Total				\$ 60.00

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
DELTA DENTAL	5/7/2020	4003169 670	\$	859.52
DELTA DENTAL Total			\$	859.52
DENISSE MENDEZ	5/28/2020	4003381 130	\$	109.14
DENISSE MENDEZ Total			\$	109.14
DISCOVERY BENEFITS	5/14/2020	4003241 670	\$	60.00
DISCOVERY BENEFITS Total			\$	60.00
DONA, CRISTOBAL M.	5/7/2020	4003163 010	\$	401.78
DONA, CRISTOBAL M. Total			\$	401.78
E.L. ACHIEVE INC.	5/21/2020	4003295 060	\$	6,054.41
E.L. ACHIEVE INC. Total			\$	6,054.41
E3 DIAGNOSTICS INC.	5/21/2020	4003312 080	\$	1,054.26
E3 DIAGNOSTICS INC. Total			\$	1,054.26
EDGES ELECTRICAL GROUP LLC	5/14/2020	4003233 050	\$	1,479.67
EDGES ELECTRICAL GROUP LLC Total			\$	1,479.67
ELIZABETH UPHAUS	5/29/2020	4003435 010	\$	54.00
ELIZABETH UPHAUS Total			\$	54.00
ELLIOT LOWE	5/29/2020	4003436 010	\$	36.00
ELLIOT LOWE Total			\$	36.00
ELSA HERMOSILLO	5/28/2020	4003382 130	\$	140.86
ELSA HERMOSILLO Total			\$	140.86
EMILIO ATIENZAR	5/29/2020	4003437 010	\$	971.98
EMILIO ATIENZAR Total			\$	971.98
ERIKA JEANETTE IRELAND	5/14/2020	4003242 010	\$	17.02
ERIKA JEANETTE IRELAND Total			\$	17.02
ERNESTO A BEJARANO	5/28/2020	4003397 010	\$	369.40
ERNESTO A BEJARANO Total			\$	369.40
ESTHER B. CLARK SCHOOL AT	5/7/2020	4003145 080	\$	8,982.86
	5/28/2020	4003422 080	\$	6,354.88
ESTHER B. CLARK SCHOOL AT Total			\$	15,337.74
FACILITRON INC	5/14/2020	4003261 010	\$	244.08
FACILITRON INC Total			\$	244.08
FEDERAL EXPRESS	5/21/2020	4003313 010	\$	58.61
FEDERAL EXPRESS Total			\$	58.61
FLOCABULARY INC.	5/29/2020	4003428 010	\$	2,000.00
FLOCABULARY INC. Total			\$	2,000.00
FLORENCE MARIE SUL	5/14/2020	4003214 080	\$	121.96
FLORENCE MARIE SUL Total			\$	121.96
FOLEY & SONS INC	5/14/2020	4003180 010	\$	1,252.57
	5/21/2020	4003267 010	\$	24.24
FOLEY & SONS INC Total			\$	1,276.81
FOSTER DAIRY FARMS	5/14/2020	4003250 130	\$	26,402.03
FOSTER DAIRY FARMS Total			\$	26,402.03
FOX, FRANCES	5/14/2020	4003243 010	\$	401.78
FOX, FRANCES Total			\$	401.78
GARDA CL WEST	5/21/2020	4003303 130	\$	117.78
GARDA CL WEST Total			\$	117.78
GARDENLAND CENTER INC.	5/7/2020	4003111 050	\$	1,530.78

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
GARDENLAND CENTER INC.	5/14/2020	4003234 050	\$	2,124.20
	5/28/2020	4003383 050	\$	219.56
GARDENLAND CENTER INC. Total			\$	3,874.54
GOLD STAR FOODS	5/14/2020	4003253 130	\$	99,824.09
			\$	
GOLD STAR FOODS Total			\$	99,824.09
GREENESPORT ASSOCIATION	5/14/2020	4003244 010	\$	600.00
			\$	
GREENESPORT ASSOCIATION Total			\$	600.00
GUITAR SHOWCASE	5/21/2020	4003324 010	\$	700.81
			\$	
GUITAR SHOWCASE Total			\$	700.81
HARRY L. MURPHY INC.	5/14/2020	4003215 050	\$	632.18
	5/28/2020	4003416 050	\$	25,547.00
HARRY L. MURPHY INC. Total			\$	26,179.18
HEWLETT PACKARD COMPANY	5/28/2020	4003374 010	\$	653.32
			\$	
HEWLETT PACKARD COMPANY Total			\$	653.32
HIROCHIMA L SANCHEZ	5/4/2020	4003101 080	\$	549.73
			\$	
HIROCHIMA L SANCHEZ Total			\$	549.73
HOANG DO	5/29/2020	4003438 010	\$	54.00
			\$	
HOANG DO Total			\$	54.00
HODGES BADGE COMPANY	5/14/2020	4003196 010	\$	64.03
			\$	
HODGES BADGE COMPANY Total			\$	64.03
HOME DEPOT U.S.A. INC.	5/7/2020	4003122 050	\$	797.65
		4003123 010	\$	126.62
	5/14/2020	4003181 010	\$	365.57
		4003205 010	\$	744.69
		4003235 050	\$	1,901.76
	5/21/2020	4003268 050	\$	790.81
		4003269 010	\$	10,705.16
	5/28/2020	4003325 010	\$	5,460.19
		4003332 050	\$	812.45
		4003333 010	\$	2,123.04
		4003336 010	\$	1,645.26
		4003337 010	\$	2,876.27
		4003339 010	\$	4,161.82
		4003340 010	\$	3,579.95
		4003362 050	\$	565.50
		4003384 050	\$	380.83
		4003385 010	\$	169.09
HOME DEPOT U.S.A. INC. Total			\$	37,206.66
HORIZON DISTRIBUTORS INC	5/7/2020	4003124 050	\$	479.00
	5/21/2020	4003270 050	\$	463.44
HORIZON DISTRIBUTORS INC Total			\$	942.44
HU, XIAOLU AND LEW MARK	5/7/2020	4003164 010	\$	401.78
			\$	
HU, XIAOLU AND LEW MARK Total			\$	401.78
INTERNAL REVENUE SERVICE	5/28/2020	4003406 010	\$	500.00
			\$	
INTERNAL REVENUE SERVICE Total			\$	500.00
IT MANAGEMENT CORPORATION	5/14/2020	4003245 010	\$	5,798.81
	5/21/2020	4003314 010	\$	3,334.63

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
IT MANAGEMENT CORPORATION Total				\$ 9,133.44
ITSAVVY LLC	5/7/2020	4003136 010	\$	2,298.35
		060	\$	380.55
	5/14/2020	4003197 010	\$	322.41
		060	\$	633.52
	5/21/2020	4003278 010	\$	13,999.70
		060	\$	8,317.82
	5/28/2020	4003375 010	\$	1,080.62
		120	\$	644.82
ITSAVVY LLC Total			\$	27,677.79
JESSE M SERNA	5/7/2020	4003165 010	\$	8,664.00
JESSE M SERNA Total			\$	8,664.00
JESUS L ARAUJO JR	5/14/2020	4003246 060	\$	546.25
JESUS L ARAUJO JR Total			\$	546.25
JOAN LEE	5/29/2020	4003439 010	\$	230.64
JOAN LEE Total			\$	230.64
JOAN NILSON	5/29/2020	4003440 010	\$	4.11
JOAN NILSON Total			\$	4.11
JONES SCHOOL SUPPLY CO. INC.	5/7/2020	4003137 010	\$	246.32
	5/14/2020	4003198 010	\$	544.97
JONES SCHOOL SUPPLY CO. INC. Total			\$	791.29
JOURNEYED.COM INC.	5/28/2020	4003423 010	\$	4,726.38
		060	\$	96.46
JOURNEYED.COM INC. Total			\$	4,822.84
JUAN CRUZ	5/29/2020	4003441 010	\$	4.11
JUAN CRUZ Total			\$	4.11
JUANITA SANCHEZ	5/21/2020	4003284 080	\$	1,763.60
JUANITA SANCHEZ Total			\$	1,763.60
KAISER	5/7/2020	4003170 670	\$	302.00
	5/14/2020	4003262 670	\$	192,003.80
KAISER Total			\$	192,305.80
KAISER PERMANENTE	5/7/2020	4003171 670	\$	412.66
KAISER PERMANENTE Total			\$	412.66
KATHLEEN EDWARDS	5/29/2020	4003442 010	\$	28.00
KATHLEEN EDWARDS Total			\$	28.00
KEENAN & ASSOCIATES	5/7/2020	4003172 670	\$	233,178.00
KEENAN & ASSOCIATES Total			\$	233,178.00
KELLY-MOORE PAINT COMPANY INC.	5/28/2020	4003363 050	\$	78.86
KELLY-MOORE PAINT COMPANY INC. Total			\$	78.86
KIMOCHIS	5/28/2020	4003376 060	\$	59,137.35
KIMOCHIS Total			\$	59,137.35
KIPP HEARTWOOD ACADEMY	5/7/2020	4003146 010	\$	64,878.00
KIPP HEARTWOOD ACADEMY Total			\$	64,878.00
KIPP PRIZE PREPARATORY ACADEMY	5/7/2020	4003147 010	\$	64,859.00
KIPP PRIZE PREPARATORY ACADEMY Total			\$	64,859.00
KRISTIN ANN BURT	5/21/2020	4003315 010	\$	347.09
KRISTIN ANN BURT Total			\$	347.09

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
LAKESHORE EQUIPMENT COMPANY	5/7/2020	4003158	010	\$ 1,063.90
			060	\$ 1,125.60
	5/14/2020	4003199	010	\$ 203.70
			060	\$ 207.49
	5/28/2020	4003329	010	\$ 5,333.53
			060	\$ 8,768.86
		4003424	010	\$ 328.89
LAKESHORE EQUIPMENT COMPANY Total				\$ 17,031.97
LANA FAGOT	5/29/2020	4003443	010	\$ 16.80
LANA FAGOT Total				\$ 16.80
LATINO FILM INSTITUTE	5/21/2020	4003316	010	\$ 21,564.00
LATINO FILM INSTITUTE Total				\$ 21,564.00
LAZEL INC	5/28/2020	4003377	060	\$ 80.00
LAZEL INC Total				\$ 80.00
LDP INC	5/21/2020	4003317	060	\$ 442.82
LDP INC Total				\$ 442.82
LEASE SERVICING CENTER INC.	5/14/2020	4003193	010	\$ 140.93
			060	\$ 1,389.66
LEASE SERVICING CENTER INC. Total				\$ 1,530.59
LISTEN INNOVATION INC	5/7/2020	4003138	060	\$ 5,275.00
LISTEN INNOVATION INC Total				\$ 5,275.00
LOWES COMPANIES INC.	5/14/2020	4003216	050	\$ 493.65
	5/21/2020	4003271	050	\$ 170.89
		4003285	050	\$ 40.14
	5/28/2020	4003386	050	\$ 1,141.64
LOWES COMPANIES INC. Total				\$ 1,846.32
LOZANO SMITH LLP	5/7/2020	4003104	010	\$ 4,796.35
			080	\$ 2,268.00
LOZANO SMITH LLP Total				\$ 7,064.35
MARIA C. GOCHEZ BLANCO	5/14/2020	4003217	050	\$ 348.67
	5/21/2020	4003286	050	\$ 135.00
MARIA C. GOCHEZ BLANCO Total				\$ 483.67
MARIA CAMARA	5/29/2020	4003444	010	\$ 3,113.10
MARIA CAMARA Total				\$ 3,113.10
MARIE MCMAHON	5/29/2020	4003445	010	\$ 4.11
MARIE MCMAHON Total				\$ 4.11
MARK L. FARNELL	5/14/2020	4003256	670	\$ 241.71
MARK L. FARNELL Total				\$ 241.71
MAXIM HEALTHCARE	5/28/2020	4003387	080	\$ 24,679.00
MAXIM HEALTHCARE Total				\$ 24,679.00
MCARTHUR & LEVIN LLP	5/21/2020	4003304	080	\$ 387.50
MCARTHUR & LEVIN LLP Total				\$ 387.50
MCSIG	5/7/2020	4003173	670	\$ 50,426.00
MCSIG Total				\$ 50,426.00
METLIFE SMALL MARKET	5/14/2020	4003263	010	\$ 4,535.86
METLIFE SMALL MARKET Total				\$ 4,535.86
MICHAEL PERRY	5/29/2020	4003446	010	\$ 80.00

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
MICHAEL PERRY Total			\$	80.00
MICHAEL V. GALLEGOS	5/14/2020	4003200 010	\$	1,496.32
MICHAEL V. GALLEGOS Total			\$	1,496.32
MID AMERICA ADMINISTRATION	5/8/2020	4003175 010	\$	5,072.60
	5/28/2020	4003407 010	\$	2,556.20
MID AMERICA ADMINISTRATION Total			\$	7,628.80
MIKE BROPHY	5/29/2020	4003447 010	\$	271.20
MIKE BROPHY Total			\$	271.20
MITCHELL DERR	5/29/2020	4003448 010	\$	4.11
MITCHELL DERR Total			\$	4.11
MOBYMAX LLC	5/7/2020	4003112 060	\$	4,995.00
MOBYMAX LLC Total			\$	4,995.00
MONARCH TRUCK SERVICE	5/4/2020	4003102 010	\$	4,043.08
MONARCH TRUCK SERVICE Total			\$	4,043.08
MUNOZ, ENRIQUE A. AND RUTH G.	5/7/2020	4003148 010	\$	401.78
MUNOZ, ENRIQUE A. AND RUTH G. Total			\$	401.78
MUS VIL INC.	5/21/2020	4003287 010	\$	120.00
MUS VIL INC. Total			\$	120.00
MUSICIAN'S FRIEND, INC.	5/7/2020	4003113 010	\$	2,541.27
	5/14/2020	4003182 010	\$	1,056.10
	5/21/2020	4003297 010	\$	148.56
MUSICIAN'S FRIEND, INC. Total			\$	3,745.93
MUSSON THEATRICAL	5/28/2020	4003341 010	\$	348.40
MUSSON THEATRICAL Total			\$	348.40
NASCO MODESTO A DIV.OF	5/14/2020	4003218 010	\$	293.98
		060	\$	99.42
NASCO MODESTO A DIV.OF Total			\$	393.40
NESTLE WATERS NORTH AMERICA	5/14/2020	4003257 010	\$	432.93
		050	\$	39.02
	5/28/2020	4003364 010	\$	167.15
		130	\$	45.38
NESTLE WATERS NORTH AMERICA Total			\$	684.48
NEXTEL OF CALIFORNIA INC.	5/21/2020	4003298 010	\$	2,335.88
NEXTEL OF CALIFORNIA INC. Total			\$	2,335.88
NGUYEN, HUY QUANG	5/7/2020	4003149 010	\$	204.18
NGUYEN, HUY QUANG Total			\$	204.18
NOLI LADRA MAGSINO JR	5/21/2020	4003318 010	\$	42.55
NOLI LADRA MAGSINO JR Total			\$	42.55
NOR CAL FUNDRAISING	5/14/2020	4003206 060	\$	829.99
NOR CAL FUNDRAISING Total			\$	829.99
NORCAL TRUCK LEASING INC	5/28/2020	4003326 010	\$	2,010.00
NORCAL TRUCK LEASING INC Total			\$	2,010.00
NORTHSTAR AV LLC	5/7/2020	4003114 010	\$	620.54
NORTHSTAR AV LLC Total			\$	620.54
OCCUPATIONAL HEALTH CTR OF CA	5/21/2020	4003319 010	\$	132.50
OCCUPATIONAL HEALTH CTR OF CA Total			\$	132.50
OFFICE DEPOT	5/7/2020	4003159 010	\$	619.18

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
OFFICE DEPOT	43958	4003159	060	\$ 17.47
			050	\$ 173.74
	5/14/2020	4003229	010	\$ 240.33
	5/28/2020	4003357	010	\$ 5,049.08
			060	\$ 5,518.32
		4003358	010	\$ 5,180.23
			060	\$ 136.06
			050	\$ 123.66
			670	\$ 27.52
		4003378	010	\$ 11,884.49
		4003379	010	\$ 8,938.77
			060	\$ 136.51
OFFICE DEPOT	Total			\$ 38,045.36
O'REILLY AUTOMOTIVE STORES INC	5/14/2020	4003183	010	\$ 77.50
	5/28/2020	4003388	010	\$ 489.09
O'REILLY AUTOMOTIVE STORES INC	Total			\$ 566.59
OTC DIRECT INC	5/14/2020	4003219	010	\$ 395.24
	5/21/2020	4003299	010	\$ 582.57
			060	\$ 706.27
	5/28/2020	4003342	060	\$ 1,044.20
			010	\$ 756.68
OTC DIRECT INC	Total			\$ 3,484.96
P & R PAPER SUPPLY COMPANY	5/14/2020	4003258	130	\$ 3,478.31
P & R PAPER SUPPLY COMPANY	Total			\$ 3,478.31
PACIFIC EDUCATORS INC.	5/28/2020	4003408	010	\$ 98.58
PACIFIC EDUCATORS INC.	Total			\$ 98.58
PARTRIDGE CONSULTING INC.	5/14/2020	4003184	080	\$ 5,500.00
PARTRIDGE CONSULTING INC.	Total			\$ 5,500.00
PEARSON EDUCATION INCORPORATED	5/7/2020	4003150	080	\$ 33.00
PEARSON EDUCATION INCORPORATED	Total			\$ 33.00
PERSEUS ASSOCIATES	5/14/2020	4003207	010	\$ 1,050.00
PERSEUS ASSOCIATES	Total			\$ 1,050.00
PG&E	5/7/2020	4003139	010	\$ 50.05
	5/21/2020	4003320	010	\$ 96,034.18
PG&E	Total			\$ 96,084.23
PIONEER VALLEY EDUCATIONAL	5/14/2020	4003220	010	\$ 197.09
	5/21/2020	4003272	010	\$ 222.32
PIONEER VALLEY EDUCATIONAL	Total			\$ 419.41
PLANNED PARENTHOOD MAR MONTE	5/21/2020	4003288	010	\$ 15,000.00
PLANNED PARENTHOOD MAR MONTE	Total			\$ 15,000.00
PLAY THERAPY SUPPLY LLC	5/28/2020	4003343	010	\$ 1,308.17
PLAY THERAPY SUPPLY LLC	Total			\$ 1,308.17
POSITIVE PROMOTIONS INC	5/21/2020	4003273	010	\$ 401.34
	5/28/2020	4003344	060	\$ 1,168.81
POSITIVE PROMOTIONS INC	Total			\$ 1,570.15
PRE-PAID LEGAL SERVICES INC.	5/28/2020	4003409	010	\$ 278.00
PRE-PAID LEGAL SERVICES INC.	Total			\$ 278.00

AP Check Register - Pivot Table Summary by Vendor
May 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
PRESENTATION PRODUCTS INC.	5/7/2020	4003151	010	\$ 3,048.47
PRESENTATION PRODUCTS INC. Total				\$ 3,048.47
PRESTON W JONES	5/14/2020	4003247	010	\$ 75.01
PRESTON W JONES Total				\$ 75.01
QUADIENT FINANCE USA, INC.	5/14/2020	4003185	010	\$ 5,050.00
QUADIENT FINANCE USA, INC. Total				\$ 5,050.00
QUADIENT, INC.	5/14/2020	4003186	010	\$ 315.05
QUADIENT, INC. Total				\$ 315.05
RANDALL E. KLEIN	5/7/2020	4003140	010	\$ 360.00
RANDALL E. KLEIN Total				\$ 360.00
REALLY GOOD STUFF LLC	5/14/2020	4003187	010	\$ 263.13
			060	\$ 23.94
REALLY GOOD STUFF LLC Total				\$ 287.07
REBECCA M JENSEN	5/21/2020	4003321	010	\$ 120.42
REBECCA M JENSEN Total				\$ 120.42
REBECCA SALGADO	5/29/2020	4003449	010	\$ 7.20
REBECCA SALGADO Total				\$ 7.20
RECOLOGY SOUTH BAY	5/14/2020	4003221	010	\$ 1,048.33
	5/29/2020	4003427	010	\$ 7,852.06
RECOLOGY SOUTH BAY Total				\$ 8,900.39
REHON & ROBERTS	5/7/2020	4003105	010	\$ 22,875.00
			210	\$ 3,950.00
REHON & ROBERTS Total				\$ 26,825.00
REILLY BEHAVIORAL &	5/21/2020	4003289	080	\$ 2,860.00
REILLY BEHAVIORAL & Total				\$ 2,860.00
RESOURCE AREA FOR TEACHERS	5/7/2020	4003115	010	\$ 2,614.67
RESOURCE AREA FOR TEACHERS Total				\$ 2,614.67
RESOURCE SOLUTION OF SF GROUP	5/21/2020	4003274	010	\$ 3,097.24
RESOURCE SOLUTION OF SF GROUP Total				\$ 3,097.24
RICHARD MCDONALD	5/29/2020	4003450	010	\$ 42.74
RICHARD MCDONALD Total				\$ 42.74
RICHARD PILGRIM	5/29/2020	4003451	010	\$ 36.00
RICHARD PILGRIM Total				\$ 36.00
RICK DELONG	5/7/2020	4003116	010	\$ 1,890.00
	5/14/2020	4003208	010	\$ 790.00
		4003222	010	\$ 395.00
	5/28/2020	4003334	010	\$ 930.00
		4003389	010	\$ 2,745.00
RICK DELONG Total				\$ 6,750.00
RMC A RAY MORGAN COMPANY	5/7/2020	4003117	010	\$ 86.86
	5/14/2020	4003188	010	\$ 123.30
			060	\$ 373.92
			080	\$ 7.32
		4003223	010	\$ 37.74
			060	\$ 153.70
	5/21/2020	4003275	010	\$ 252.83
			060	\$ 276.17

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
RMC A RAY MORGAN COMPANY	43972	4003305 010	\$	1.08
RMC A RAY MORGAN COMPANY Total			\$	1,312.92
RO HEALTH INC.	5/14/2020	4003209 010	\$	3,582.75
RO HEALTH INC. Total			\$	3,582.75
ROBERT QUINN	5/29/2020	4003452 010	\$	75.60
ROBERT QUINN Total			\$	75.60
ROCHESTER 100 INC.	5/7/2020	4003118 010	\$	675.00
	5/28/2020	4003345 060	\$	810.00
ROCHESTER 100 INC. Total			\$	1,485.00
ROCKETSHIP FUERZA	5/7/2020	4003152 010	\$	92,537.00
ROCKETSHIP FUERZA Total			\$	92,537.00
ROCKETSHIP SI SE PUEDE	5/7/2020	4003153 010	\$	50,628.00
ROCKETSHIP SI SE PUEDE Total			\$	50,628.00
RODAN ENTERPRISES INC.	5/21/2020	4003306 060	\$	150.50
RODAN ENTERPRISES INC. Total			\$	150.50
ROSELLE GILDORRE ANDACA	5/14/2020	4003248 060	\$	99.40
ROSELLE GILDORRE ANDACA Total			\$	99.40
S&S WORLDWIDE INC	5/28/2020	4003346 010	\$	328.76
S&S WORLDWIDE INC Total			\$	328.76
SAAVEDRA, IRMA C.	5/1/2020	4003099 010	\$	15.28
SAAVEDRA, IRMA C. Total			\$	15.28
SAN JOSE BOILER WORKS	5/7/2020	4003125 050	\$	4,505.33
		4003154 050	\$	585.00
	5/21/2020	4003290 050	\$	5,071.38
SAN JOSE BOILER WORKS Total			\$	10,161.71
SAN JOSE UNIFIED SCHOOL	5/28/2020	4003390 080	\$	1,190.00
SAN JOSE UNIFIED SCHOOL Total			\$	1,190.00
SAN JOSE WATER COMPANY	5/7/2020	4003166 010	\$	3,149.58
	5/21/2020	4003322 010	\$	20,014.27
	5/28/2020	4003425 010	\$	84.44
SAN JOSE WATER COMPANY Total			\$	23,248.29
SANTA CLARA COUNTY OFFICE	5/14/2020	4003224 060	\$	4,000.00
	5/21/2020	4003307 010	\$	3,750.00
SANTA CLARA COUNTY OFFICE Total			\$	7,750.00
SANTA CLARA COUNTY OFFICE OF	5/7/2020	4003155 010	\$	10,275.00
	5/14/2020	4003210 060	\$	3,000.00
	5/28/2020	4003347 010	\$	551.52
		4003366 010	\$	44,000.00
		080	\$	15,210.00
		4003391 010	\$	1,314.44
SANTA CLARA COUNTY OFFICE OF Total			\$	74,350.96
SANTA CLARA COUNTY SHERIFF DPT	5/8/2020	4003176 010	\$	984.80
	5/28/2020	4003410 010	\$	207.96
SANTA CLARA COUNTY SHERIFF DPT Total			\$	1,192.76
SCHINDLER ELEVATOR CORPORATION	5/28/2020	4003335 050	\$	1,556.16
SCHINDLER ELEVATOR CORPORATION Total			\$	1,556.16
SCHOOL HEALTH CORPORATION	5/28/2020	4003348 060	\$	63.22

AP Check Register - Pivot Table Summary by Vendor
May 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
SCHOOL HEALTH CORPORATION Total			\$	63.22
SCHOOL NURSE SUPPLY INC.	5/14/2020	4003189 010	\$	553.59
	5/28/2020	4003349 010	\$	852.04
		060	\$	3,041.25
SCHOOL NURSE SUPPLY INC. Total			\$	4,446.88
SCHOOL SERVICES OF CALIFORNIA	5/7/2020	4003156 010	\$	325.00
SCHOOL SERVICES OF CALIFORNIA Total			\$	325.00
SCHOOL SPECIALTY	5/14/2020	4003190 060	\$	383.69
SCHOOL SPECIALTY Total			\$	383.69
SCHOOL SPECIALTY INC.	5/14/2020	4003259 060	\$	353.45
	5/28/2020	4003350 010	\$	47.90
SCHOOL SPECIALTY INC. Total			\$	401.35
SCHOOLS LINKED IN COMMODITIES	5/28/2020	4003367 130	\$	250.00
SCHOOLS LINKED IN COMMODITIES Total			\$	250.00
SECURITY CONTRACTOR SERVICES	5/7/2020	4003126 050	\$	84.38
	5/14/2020	4003191 050	\$	84.38
SECURITY CONTRACTOR SERVICES Total			\$	168.76
SELF INSURED SCHOOLS OF	5/15/2020	4003265 670	\$	828,063.70
SELF INSURED SCHOOLS OF Total			\$	828,063.70
SERVICE STATION SYSTEMS	5/28/2020	4003368 010	\$	243.15
SERVICE STATION SYSTEMS Total			\$	243.15
SHARP ELECTRONICS CORPORATION	5/28/2020	4003327 010	\$	226.62
		060	\$	1,031.12
SHARP ELECTRONICS CORPORATION Total			\$	1,257.74
SILICON VALLEY MATHEMATICS	5/28/2020	4003369 060	\$	1,200.00
SILICON VALLEY MATHEMATICS Total			\$	1,200.00
SILICON VALLEY SECURITY &	5/7/2020	4003127 010	\$	7,113.25
	5/21/2020	4003308 010	\$	8,764.00
SILICON VALLEY SECURITY & Total			\$	15,877.25
SOFTCHOICE CORPORATION	5/14/2020	4003211 010	\$	46,475.94
SOFTCHOICE CORPORATION Total			\$	46,475.94
SOLIS, FLORA	5/28/2020	4003370 010	\$	204.18
SOLIS, FLORA Total			\$	204.18
SPECTRUM CENTER INC.	5/28/2020	4003392 080	\$	8,923.00
SPECTRUM CENTER INC. Total			\$	8,923.00
SPHERO INC.	5/21/2020	4003300 060	\$	6,421.16
SPHERO INC. Total			\$	6,421.16
SPURR	5/21/2020	4003279 010	\$	10,233.72
SPURR Total			\$	10,233.72
STANDARD INSURANCE CO.	5/28/2020	4003411 010	\$	17,387.24
STANDARD INSURANCE CO. Total			\$	17,387.24
STARFALL EDUCATION FOUNDATION	5/14/2020	4003225 010	\$	28.56
STARFALL EDUCATION FOUNDATION Total			\$	28.56
STATE DISBURSEMENT UNIT	5/28/2020	4003412 010	\$	1,083.75
STATE DISBURSEMENT UNIT Total			\$	1,083.75
STATE OF CALIFORNIA	5/28/2020	4003413 010	\$	2,014.30
STATE OF CALIFORNIA Total			\$	2,014.30

AP Check Register - Pivot Table Summary by Vendor
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Vendor Name	Date	Warrant #	Fund	Sum of Amount
STATEWIDE TRAFFIC SAFETY AND	5/7/2020	4003128 050	\$	60.71
STATEWIDE TRAFFIC SAFETY AND Total			\$	60.71
STEVEN WAYNE DOTY	5/28/2020	4003393 050	\$	250.00
STEVEN WAYNE DOTY Total			\$	250.00
STLR CORPORATION	5/21/2020	4003276 010	\$	1,960.00
STLR CORPORATION Total			\$	1,960.00
SYSCO SAN FRANCISCO INC.	5/14/2020	4003254 130	\$	9,581.42
SYSCO SAN FRANCISCO INC. Total			\$	9,581.42
TAG/AMS. INC.	5/7/2020	4003129 010	\$	232.00
TAG/AMS. INC. Total			\$	232.00
TARGET SPECIALTY PRODUCTS	5/7/2020	4003130 050	\$	377.14
TARGET SPECIALTY PRODUCTS Total			\$	377.14
TEAMSTERS LOCAL #150	5/28/2020	4003414 010	\$	10,604.00
TEAMSTERS LOCAL #150 Total			\$	10,604.00
TEAMSTERS MANAGED TRUST FUNDS	5/7/2020	4003174 670	\$	232,272.00
TEAMSTERS MANAGED TRUST FUNDS Total			\$	232,272.00
TERESA L. LOPEZ	5/7/2020	4003167 010	\$	204.18
TERESA L. LOPEZ Total			\$	204.18
TEXAS LIFE INSURANCE	5/28/2020	4003415 010	\$	5,250.08
TEXAS LIFE INSURANCE Total			\$	5,250.08
THE MASTER TEACHER	5/28/2020	4003351 010	\$	682.70
THE MASTER TEACHER Total			\$	682.70
THE PROPHET CORPORATION	5/14/2020	4003201 010	\$	3,073.61
		060	\$	462.97
	5/21/2020	4003296 010	\$	316.01
THE PROPHET CORPORATION Total			\$	3,852.59
THE READING WAREHOUSE	5/28/2020	4003352 010	\$	771.61
THE READING WAREHOUSE Total			\$	771.61
THERAPY SHOPPE INC.	5/28/2020	4003353 010	\$	243.43
THERAPY SHOPPE INC. Total			\$	243.43
THINK TOGETHER	5/21/2020	4003291 010	\$	50,855.80
		060	\$	106,023.10
THINK TOGETHER Total			\$	156,878.90
TURF AND INDUSTRIAL EQUIPMENT	5/7/2020	4003131 050	\$	335.87
	5/14/2020	4003212 050	\$	812.73
	5/21/2020	4003309 050	\$	302.16
TURF AND INDUSTRIAL EQUIPMENT Total			\$	1,450.76
TURF STAR	5/7/2020	4003132 050	\$	457.52
	5/14/2020	4003236 050	\$	336.42
	5/28/2020	4003394 050	\$	844.63
TURF STAR Total			\$	1,638.57
TXL HOLDING CORPORATION	5/7/2020	4003119 010	\$	1,737.76
	5/14/2020	4003226 010	\$	1,677.01
	5/28/2020	4003354 010	\$	4,889.52
		060	\$	2,470.18
TXL HOLDING CORPORATION Total			\$	10,774.47
U.S. BANK	5/21/2020	4003301 010	\$	494.97

AP Check Register - Pivot Table Summary by Vendor
May 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
U.S. BANK Total			\$	494.97
ULINE INC	5/28/2020	4003355 010	\$	4,131.89
ULINE INC Total			\$	4,131.89
UNITED PARCEL SERVICE	5/28/2020	4003328 010	\$	125.44
UNITED PARCEL SERVICE Total			\$	125.44
UNITED REFRIGERATION INC.	5/28/2020	4003395 050	\$	140.53
UNITED REFRIGERATION INC. Total			\$	140.53
UNITED RENTALS INC	5/7/2020	4003120 050	\$	357.89
UNITED RENTALS INC Total			\$	357.89
VERONICA DYKES	5/29/2020	4003453 010	\$	4.11
VERONICA DYKES Total			\$	4.11
VISION SERVICE PLAN	5/14/2020	4003264 670	\$	12,239.86
VISION SERVICE PLAN Total			\$	12,239.86
VISTA CENTER FOR THE BLIND &	5/14/2020	4003249 080	\$	1,380.60
VISTA CENTER FOR THE BLIND & Total			\$	1,380.60
W.W. GRAINGER INC.	5/7/2020	4003133 050	\$	148.21
	5/14/2020	4003202 010	\$	105.71
	5/21/2020	4003292 050	\$	(73.26)
		140	\$	808.70
	5/28/2020	4003396 050	\$	285.50
		4003426 010	\$	211.91
W.W. GRAINGER INC. Total			\$	1,486.77
WASHINGTON MUSIC SALES CENTER	5/28/2020	4003356 060	\$	1,737.13
WASHINGTON MUSIC SALES CENTER Total			\$	1,737.13
WOODWIND & BRASSWIND INC.	5/14/2020	4003227 010	\$	207.53
WOODWIND & BRASSWIND INC. Total			\$	207.53
WORTHINGTON DIRECT	5/14/2020	4003228 010	\$	388.00
WORTHINGTON DIRECT Total			\$	388.00
YMCA OF SILICON VALLEY	5/14/2020	4003192 010	\$	5,000.00
YMCA OF SILICON VALLEY Total			\$	5,000.00
ZANE GRAY HAWKINS	5/28/2020	4003398 010	\$	54.35
ZANE GRAY HAWKINS Total			\$	54.35
ZUM SERVICES INC	5/7/2020	4003157 010	\$	9,052.00
ZUM SERVICES INC Total			\$	9,052.00
Grand Total			\$	3,407,650.00

10.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:

Date: June 12, 2020

Subject: Santa Clara County Treasury Investment Portfolio Status

Staff Analysis: In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with county-policy, the Santa Clara County Treasury Investment Portfolio Report as of March 31, 2020 is submitted for the Board of Trustee's review and acceptance.

Recommendation: Staff recommends that the Board of Trustees approve the SCC Investment Portfolio Report as of March 31, 2020.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Recommend Approval

10.04
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

County of Santa Clara




Finance Agency Controller-Treasurer Department

County Government Center
70 W. Hedding Street, East Wing, 2nd Floor
San Jose, California 95110-1705
(408) 299-5200 FAX (408) 288-9237

May 20, 2020

Submitted by: 
0F8E7F60DA754BD...
Alan Minato, Director of Finance

TO: BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS
BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM: ANNIE TOM, ACTING ASSISTANT CONTROLLER-TREASURER 

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

RECOMMENDATION

Receive and file the March 31, 2020 Detailed Investment Portfolio Listing.

DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of March 31, 2020 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

	Cost	Market Value	Increase (Decrease)	Percent
Commingled Investments	\$8,494,222,830	\$8,577,676,049	\$83,453,219	0.98%

The yield of the Pool on March 31, 2020 was 1.80%. As a comparison, on March 31, 2020 the yield of a 6-month Treasury Bill was .14%. A two-year Treasury Note was .25%. The State of California Local Agency Investment Fund (LAIF) yield was 1.79%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

Attachments:

March 31, 2020 Quarterly Investment Summary



Quarterly Investment Report

March 31, 2020



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Quarterly Investment Report Table of Contents

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Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Susan Ellenberg, S. Joseph Simitian

County Executive: Jeffrey V. Smith



Santa Clara County Commingled Pool and Segregated Investments

March 31, 2020

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	\$8,494,222,830	\$8,577,676,049	\$83,453,219	0.98%
Worker's Compensation	\$29,340,991	\$29,965,902	\$624,911	2.13%
Park Charter Fund	\$4,309,480	\$4,381,320	\$71,840	1.67%
San Jose-Evergreen	\$20,870,770	\$20,864,653	-\$6,117	-0.03%
Medical Malpractice Insurance Fund (1)	\$9,513,478	\$9,863,523	\$350,046	3.68%
Total	\$8,558,257,548	\$8,642,751,447	\$84,493,899	0.99%

(1) Managed by Chandler Asset Management, Inc.

Summary of Yields* for Select Santa Clara County Investment Funds

Fund	2020		2019
	Jan 31	Feb 29	Mar 31
Commingled Investment Pool	1.94%	1.94%	2.22%
Worker's Compensation	2.02%	2.03%	2.41%
Weighted Yield	1.94%	1.94%	2.22%

*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

**Cost Value is the amortized book value of the securities as of the date of this report.



Santa Clara County Commingled Pool and Segregated Investments

Portfolio Strategy

March 31, 2020

The U.S. domestic economy abruptly entered a recessionary cycle, ending a ten year stretch of uninterrupted, record-long expansion. During the first quarter of 2020, the economy shrank at an 4.8 percent annualized pace. Economic activity sharply slowed after government, local and state, issued shelter-in-place and other social distancing protocol orders in response to mounting concerns over the spread of Covid-19 virus infections. The U.S. eventually became the worldwide epicenter of the epidemic with more than one million infections, as of April 28th. Roughly, three in four Americans were told to stay at home with limited allowances for those whose work involve provision of essential services. In a matter of weeks, the U.S. economic outlook for 2020 deteriorated from solid consumer-driven growth underscored by a strong and resilient labor market to that of one teetering on the brink of a significant downturn. Global financial markets including the S&P 500 Index, the benchmark U.S. stock gauge, experienced severe and elevated market volatility spurred by investor uncertainty on how disruptions caused by virus lockdowns would impact global economic growth.

Businesses most adversely impacted were initially those in travel, hospitality, restaurant, airline and retailing sectors, many of whom were shuttered due to public health orders. The economic impact of the Covid-19 outbreak quickly shifted to other sectors including a wave of layoffs in manufacturing. U.S. automakers idled production plants while other factories were closed due to declining demand or parts shortages. U.S. factory output dropped in March by the most since 1946. The unprecedented and pervasive economic toll levied by the Covid-19 outbreak is most glaringly evidenced by the historically large number of Americans, surpassing 30 million, who filed for unemployment benefits due to widespread closure of non-essential businesses between mid-March and April 25, 2020. At least 24 percent of the entire labor force in Hawaii, Kentucky and Michigan became unemployed over that same period. The steepest employment losses appeared to occur between mid and late March, when the economy shed about 13 million jobs, largely in leisure and hospitality according to Federal Reserve Bank analysis. By comparison, only 9 million jobs were lost over the entire course of the 2007-09 recession. Government claims report do not specify if lay-offs were temporary or permanent. A significant portion of employees laid-off by retailers were not fired but furloughed, according to data compiled by Bloomberg. Even though furloughs are meant to be temporary, it is unclear whether workers of any sector will have guaranteed jobs to return to after containment of this virus.

To provide direct economic relief addressing soaring unemployment and plunging financial markets, the Federal Reserve Bank (Fed) and the U.S. Congress authorized sweeping and unprecedented monetary and fiscal emergency measures. The \$2.4 trillion spending bill signed by President Donald Trump is the largest stimulus package in U.S. history which consists of cash handouts and loans intended to incentivize certain companies to avoid immediate layoffs and complete shutdowns and help individual households meet urgent needs. Only half of U.S. small businesses have enough cash reserves to cover 15 days of operating expenses.

Households benefit from an one-time direct cash payment, vastly expanded unemployment benefits including a larger pay-out, expanded eligibility and an longer payment period. For businesses, the bill creates a \$500 billion fund to provides loans to big businesses. In addition, small businesses will get \$350 billion in loans and direct assistance. If small businesses maintain their payrolls, more of the assistance will come in the form of grants rather than loans. The bill also gives \$150 billion to state and local governments.



Santa Clara County Commingled Pool and Segregated Investments

Portfolio Strategy

March 31, 2020

The Fed announced it would buy unlimited amounts of Treasury bonds and mortgage-backed securities to keep borrowing costs at rock-bottom levels. The Fed also set up special programs to reduce the risk of financial market dislocations; to prevent liquidity pressures from turning into solvency ones and ensure ample funding is available for corporations as well as for state and local governments. Unlike the 2008-2009 credit crisis, in which the Fed focused solely on propping up the banking system, the central bank's support is much more expansive this time around. Almost every sector of fixed income, from Treasuries to municipals bonds in addition to money market mutual funds, came under stress in March. The Fed has indicated it is aiming to spend trillions of dollars to support its initiatives. The initial stages of the Covid-19 scare had previously prompted the Fed to deliver 50 basis points of emergency easing on March 3rd. With current interest rates at near-zero, the Fed policy makers have given strong assurances they intend to keep rates very low for the foreseeable future.

Official data releases on employment, inflation and gross domestic product will eventually reflect the full effect of Covid-19 on economic activity, but so far, many economists believe recent indicators are behind the curve in mirroring the pandemic's true impact. Government measures including closures of restaurants and stores essentially did not begin until mid-March. More than 30 million Americans filed for unemployment benefits in six weeks, pushing the jobless rate likely to the highest since the Great Depression. Yet, the unemployment rate for March only jumped to 4.4 percent from the prior month's historically low, not seen in five decades, rate of 3.5 percent. Undoubtedly, April's labor report will post harsher numbers. The duration of the economic downturn and the shape of a subsequent recovery is difficult to predict and subject to many considerations. The effectiveness of monetary and fiscal relief will be critical. State unemployment agencies have struggled to cope with the unprecedented surge in applicants resulting in significant processing delays. Other factors include when and how fast restrictions are lifted on companies and schools, changes in consumer spending patterns, restoration of supply chains and critically, emergence of a vaccine or effective therapy.

The portfolio strategy continues to focus on the:

- (1) acquisition of high-quality issuers;
- (2) identifying and selecting bonds with attractive valuations;
- (3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
- (4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

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- (2) identifying and selecting bonds with attractive valuations;
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- (4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curbing rules crafted after the 2008 financial crisis. These risk curbing rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. The Treasury Division has increased its capability to review a larger volume of inventory listings to find attractive bonds. Portfolio structuring does not solely rely on interest rate anticipation strategies, which primarily speculate on the direction of interest rates to earn favorable returns.



Santa Clara County Commingled Pool and Segregated Investments

Portfolio Liquidity Adequacy, Review, and Monitoring

March 31, 2020

Yield and Weighted Average Maturity

The yield of the Commingled Pool is 1.8 and the weighted average life is 547 days.

Liquidity Adequacy

The County Treasurer believes the Commingled Pool contains sufficient cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

Review and Monitoring

FHN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

Additional Information

Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations.

The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

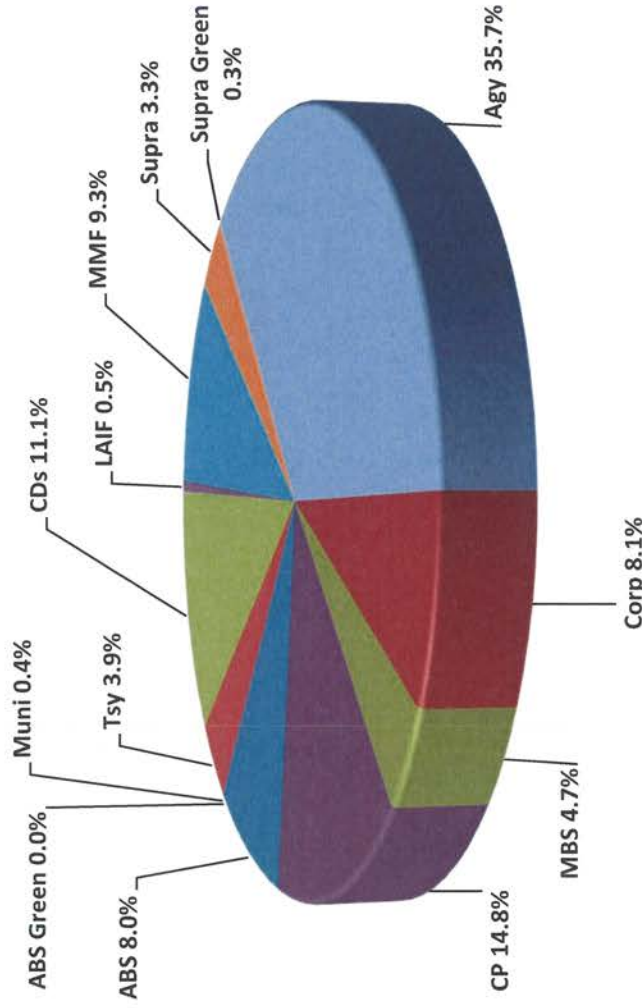


Santa Clara County Commingled Pool

Allocation by Security Types

March 31, 2020

Sector	3/31/2020	12/31/2019	% Chng
Federal Agencies	35.73%	42.06%	-6.3%
Corporate Bonds	8.06%	6.11%	2.0%
Mortgage Backed Securities	4.66%	3.88%	0.8%
Commercial Paper	14.76%	10.99%	3.8%
ABS	7.95%	6.33%	1.6%
ABS Green Bonds	0.00%	0.00%	0.0%
Municipal Securities	0.37%	0.34%	0.0%
U.S. Treasuries	3.89%	3.52%	0.4%
Negotiable CDs	11.12%	10.20%	0.9%
LAIF	0.50%	0.45%	0.0%
Money Market Funds	9.33%	13.05%	-3.7%
Supranationals	3.33%	2.81%	0.5%
Supranationals Green Bonds	0.29%	0.27%	0.0%
Total	100.00%	100.00%	



Sector	3/31/2020	12/31/2019
Federal Agencies	3,035,148,701	3,937,928,439
Corporate Bonds	684,763,817	572,003,613
Mortgage Backed Securities	396,075,724	363,586,967
Commercial Paper	1,253,825,935	1,028,835,149
ABS	675,662,189	592,684,553
ABS Green Bonds	-	-
Municipal Securities	31,416,789	31,423,357
U.S. Treasuries	330,231,984	329,842,654
Negotiable CDs	944,880,880	955,000,000
LAIF	42,549,653	42,306,613
Money Market Funds	792,142,405	1,221,663,058
Supranational	282,524,754	262,903,805
Supranationals Green Bonds	25,000,000	25,000,000
Total	8,494,222,830	9,363,178,207

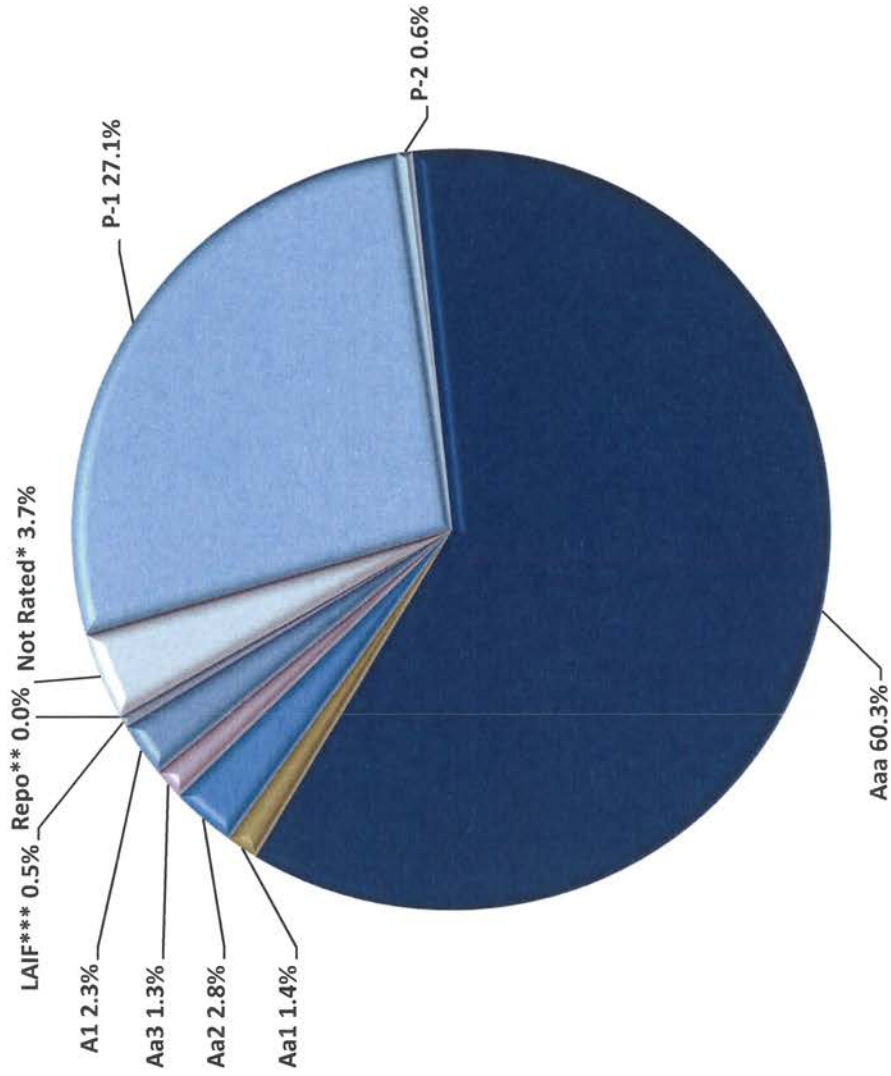
Amounts are based on book value



Santa Clara County Commingled Pool

Allocation by Ratings

March 31, 2020



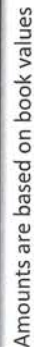
Moody's Rating	Portfolio \$	Portfolio %
P-1	2,303,399,485	27.1%
P-2	49,637,665	0.6%
Aaa	5,121,507,588	60.3%
Aa1	114,678,436	1.4%
Aa2	234,006,429	2.8%
Aa3	112,409,563	1.3%
A1	198,653,279	2.3%
A2	-	0.0%
A3	-	0.0%
LAIF***	42,549,653	0.5%
Repo**	-	0.0%
Not Rated*	317,380,730	3.7%
Total	8,494,222,830	100.0%

*Not Rated by Moody's but A-1+ by S&P

**Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

***LAIF is not rated, but is comprised of State Code allowable securities

Amounts are based on book values

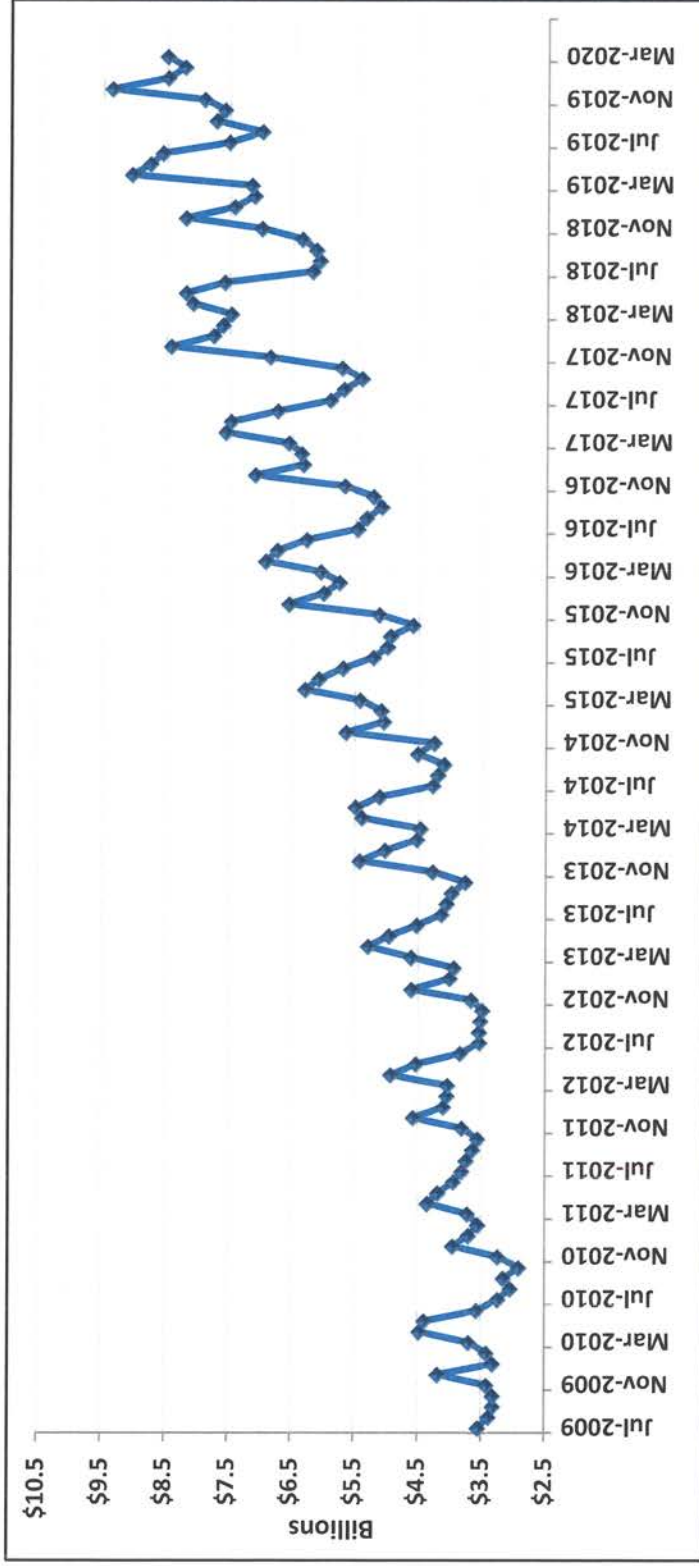




Santa Clara County Commingled Pool

Historical Month End Book Values

March 31, 2020



Fiscal Year	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	\$3.541	\$3.373	\$3.307	\$3.307	\$3.408	\$4.175	\$3.307	\$3.408	\$3.687	\$4.463	\$4.384	\$3.536
FY 2011	\$3.230	\$3.032	\$3.143	\$2.898	\$3.227	\$3.943	\$3.695	\$3.551	\$3.712	\$4.339	\$4.179	\$3.935
FY 2012	\$3.801	\$3.736	\$3.637	\$3.555	\$3.805	\$4.567	\$4.097	\$4.040	\$4.032	\$4.926	\$4.525	\$3.833
FY 2013	\$3.508	\$3.517	\$3.515	\$3.469	\$3.645	\$4.600	\$3.918	\$3.982	\$4.606	\$5.286	\$4.952	\$4.521
FY 2014	\$4.133	\$4.052	\$3.975	\$3.758	\$4.271	\$5.419	\$5.019	\$4.520	\$4.461	\$5.386	\$5.487	\$5.108
FY 2015	\$4.267	\$4.194	\$4.096	\$4.051	\$4.247	\$5.639	\$5.045	\$5.085	\$5.420	\$6.284	\$6.065	\$5.690
FY 2016	\$5.212	\$4.990	\$4.941	\$4.587	\$5.120	\$6.543	\$5.997	\$5.752	\$6.040	\$6.911	\$6.728	\$6.263
FY 2017	\$5.469	\$5.328	\$5.088	\$5.220	\$5.671	\$7.082	\$6.319	\$6.348	\$6.550	\$7.556	\$7.469	\$6.730
FY 2018	\$5.898	\$5.689	\$5.408	\$5.720	\$6.850	\$8.427	\$7.754	\$7.608	\$7.472	\$8.079	\$8.192	\$7.584
FY 2019	\$6.180	\$6.068	\$6.127	\$6.350	\$6.987	\$8.199	\$7.425	\$7.105	\$7.151	\$9.054	\$8.767	\$8.565
FY 2020	\$7.510	\$6.984	\$7.179	\$7.583	\$7.909	\$9.363	\$8.487	\$8.219	\$8.494			

Amounts in billions

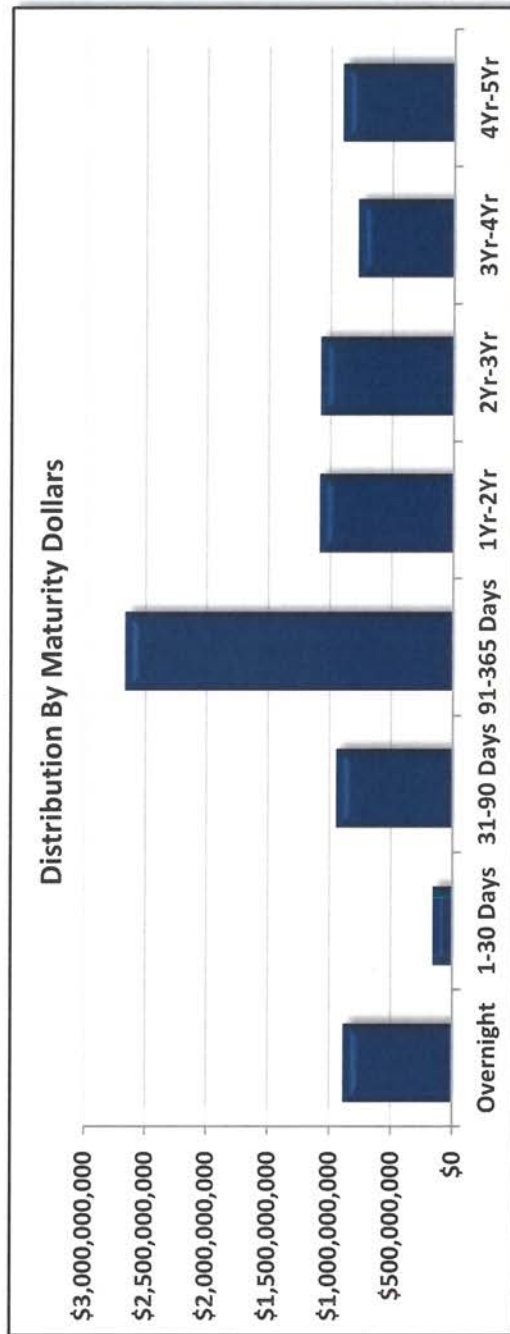


Santa Clara County Commingled Pool

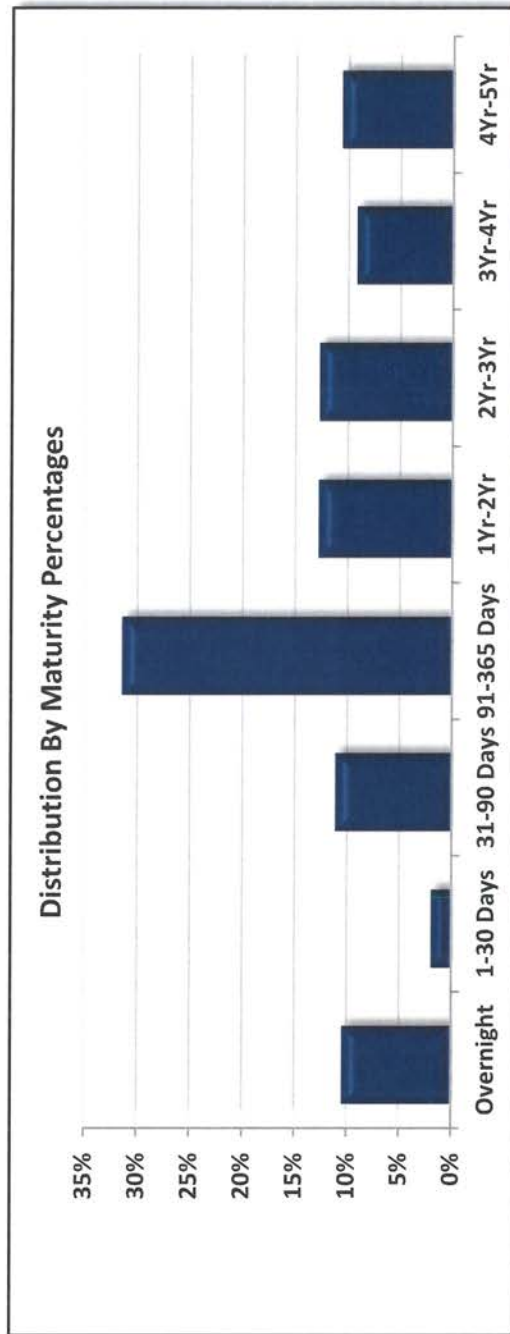
Distribution by Maturity

March 31, 2020

Maturity	Amount*
Overnight	884,959,458
1-30 Days	159,041,530
31-90 Days	942,508,398
91-365 Days	2,666,226,427
1Yr-2Yr	1,082,964,863
2Yr-3Yr	1,077,620,136
3Yr-4Yr	778,533,450
4Yr-5Yr	902,368,568
	8,494,222,830



Maturity	Amount*
Overnight	10.42%
1-30 Days	1.87%
31-90 Days	11.10%
91-365 Days	31.39%
1Yr-2Yr	12.75%
2Yr-3Yr	12.69%
3Yr-4Yr	9.17%
4Yr-5Yr	10.62%
	100.00%



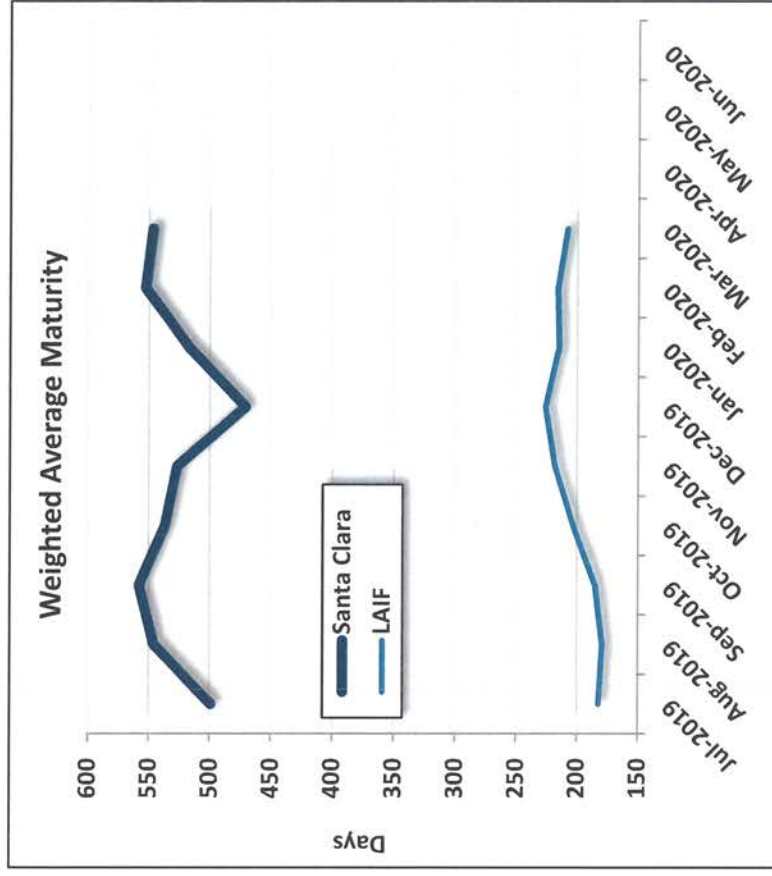
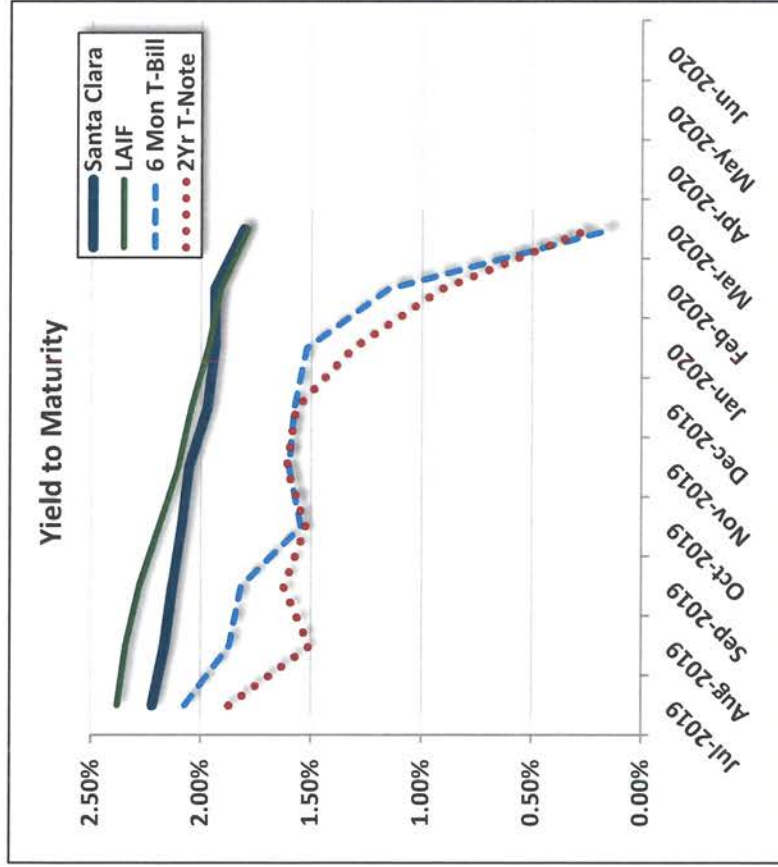
* Amounts are based on book value



Santa Clara County Commingled Pool

Yield to Maturity and Weighted Average Maturity

March 31, 2020



Item	Jul-19	Aug-19	Sep-19	Oct-19	Nov-19	Dec-19	Jan-20	Feb-20	Mar-20	Apr-20	May-20	Jun-20
SCC YTM	2.22%	2.17%	2.13%	2.09%	2.05%	1.97%	1.94%	1.94%	1.80%			
LAIF YTM	2.38%	2.34%	2.28%	2.19%	2.10%	2.04%	1.97%	1.91%	1.79%			
6 Mon T-Bill	2.07%	1.87%	1.82%	1.55%	1.60%	1.58%	1.52%	1.15%	0.14%			
2Yr T-Note	1.87%	1.51%	1.62%	1.52%	1.61%	1.57%	1.31%	0.91%	0.25%			
SCC WAM	499	546	558	537	527	471	517	553	547			
LAIF WAM	182	179	185	203	218	226	215	216	208			



Santa Clara County

Approved Issuers and Broker/Dealers

March 31, 2020

Direct Commercial Paper Issuers

Toyota Motor Credit
US Bank, NA

Broker/Dealers

Academy Securities, Inc
Bank of America Merrill Lynch
Barclays Capital, Inc
BMO Capital Markets
BNP Paribas Securities Corp
BNY Mellon Capital Markets, LLC
BOK Financial Securities (Bank of Oklahoma)
Brean Capital LLC
Cantor Fitzgerald & Co
Citigroup Global Markets Inc
Daiwa Capital Markets America Inc
Deutsche Bank Securities Inc
Incapital LLC
Jefferies & Co
JP Morgan Securities, Inc
Keybanc Capital Markets, Inc
Loop Capital Markets LLC
Mizuho Securities USA, Inc
MUFG Securities USA LLC
Raymond James, Inc.
RBC Capital Markets, Inc
UBS Financial Service Inc
Vining Sparks LP
Williams Capital

Santa Clara County Commingled Pool

Compliance with Investment Policy

March 31, 2020



Item/Sector		Parameters	In Compliance
Maturity		Weighted Average Maturity (WAM) must be less than 24 months	Yes
Interest Periods		Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Yes
Investment Swaps		Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	Yes
Issuer Limits		No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	Yes
U.S. Treasuries		No sector limit, no issuer limit, max maturity 5 years	Yes
U.S. Federal Agencies		No sector limit, no issuer limit, max maturity 5 years	Yes
LAIF		No sector limit, no issuer limit, CA State's deposit limit \$65 million	Yes
Repurchase Agreements		No sector limit, no issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Yes
Commercial Paper		Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least \$500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	No
Corporate Bonds		Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Yes
Money Market Funds		Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least \$500 mil managed	Yes
Negotiable Certificates of Deposit		Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Municipal Securities		Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Yes
Mortgage-Backed Securities		Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgage loans insured by FHLMC/FNMA and residential mortgages guaranteed by FHA (GNMA)	Yes
Asset-Backed Securities		Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)	Yes
Supranational Debt Obligations		Sector limit 10%, max maturity 5 years, issued or unconditionally guaranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Yes
Bankers' Acceptances		Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks, collateral must exceed market value of security by 2%	Yes, None in Portfolio
Securities Lending		Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days	Yes, None in Portfolio

Santa Clara County Commingled Pool

Compliance with Investment Policy

March 31, 2020



Compliance Notes

One commercial paper investment was purchased with a maturity greater than the allowed 270 days limit outlined in California Code and the Investment Policy, and thus was not in compliance at March 31, 2020. The investment is commercial paper issued by Coca Cola. The investment will be in compliance as of April 28th, whereby the breach will be cured. This purchase does not elevate, in any noteworthy way, the interest rate risk or credit risk of the Pool. Coca Cola is a strong company and considered defensive in a recessionary economic environment. It did not make sense to sell the Coca Cola commercial paper given volatile market conditions. Pursuant to the Investment Policy, the Treasury Department is not forced to sell a security into an adverse market. The Coca Cola commercial paper purchased was less than 1 percent of current book value and at time of acquisition.



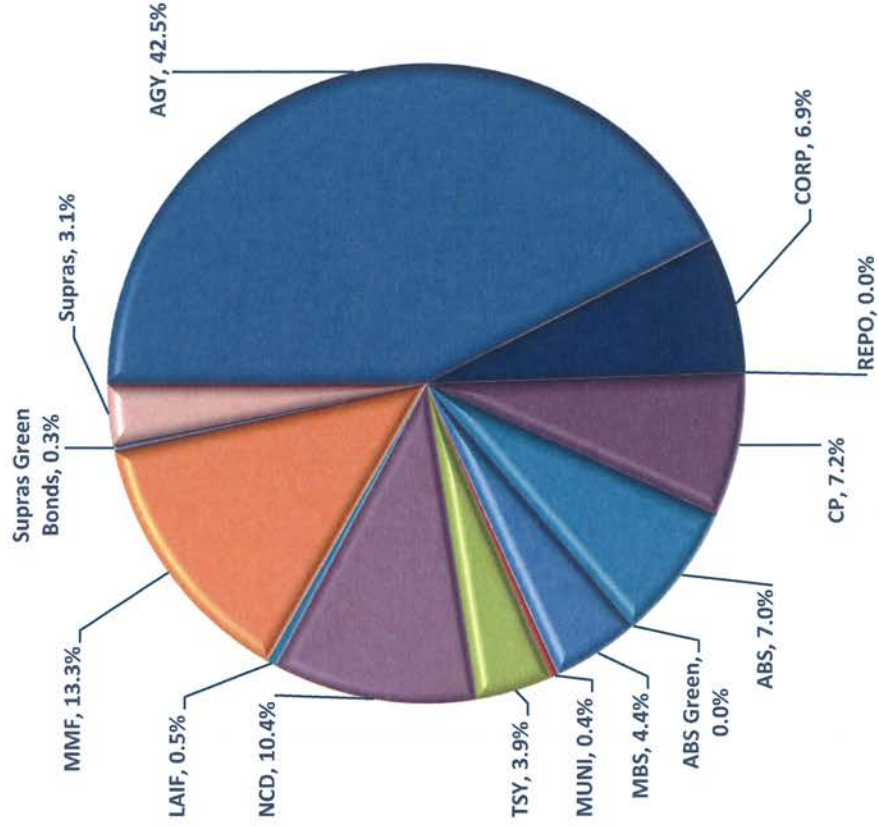
Santa Clara County Commingled Pool

Allocation by Security Types

For the Month Ended January 31, 2020

Average Daily Balance \$ 8,917,307,315.10
 Book Yield 1.939%
 Weighted Average Maturity 517 Days

Asset Allocation By Market Value



Investment Type	Par Value (Millions)	Book Value* (Millions)	Value (Millions)
Federal Agencies	\$ 3,608.03	\$ 3,609.29	\$ 3,630.98
Corporate Bonds	580.68	583.01	590.39
Repurchase Agreements	-	-	-
Commercial Paper	621.50	618.03	618.56
Asset-Backed Securities	593.63	593.51	598.84
Asset-Backed Sec Green Bds	-	-	-
Mortgage Backed Securities	369.55	369.84	378.50
Municipal Securities	31.39	31.42	31.57
U.S. Treasuries	330.00	329.98	331.18
Negotiable CDs	885.00	885.00	885.47
LAIF	42.55	42.55	42.55
Money Market Funds	1,137.36	1,137.36	1,137.36
Supranationals Green Bonds	25.00	25.00	25.01
Supranationals	262.00	262.86	264.00
Total	\$ 8,486.69	\$ 8,487.85	\$ 8,534.41

*Represents Amortized Book Value



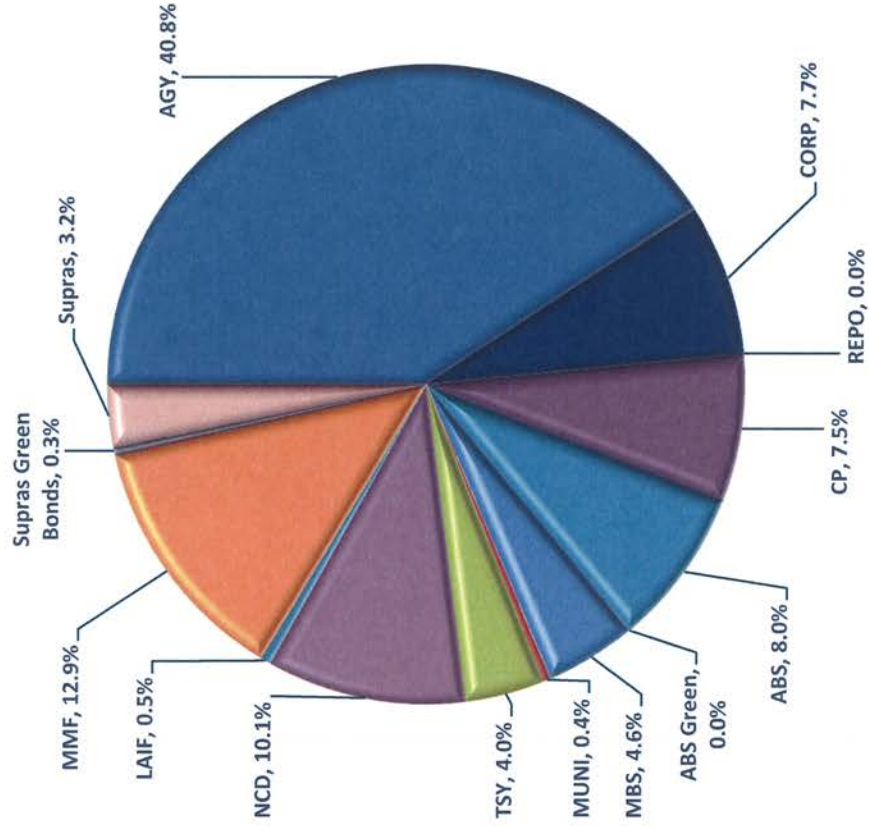
Santa Clara County Commingled Pool

Allocation by Security Types

For the Month Ended February 29, 2020

Average Daily Balance \$ 8,404,417,346.65
 Book Yield 1.936%
 Weighted Average Maturity 553 Days

Asset Allocation By Market Value



Investment Type	Par Value (Millions)	Book Value* (Millions)	Value (Millions)
Federal Agencies	\$ 3,344.66	\$ 3,346.41	\$ 3,381.90
Corporate Bonds	623.65	627.03	638.01
Repurchase Agreements	-	-	-
Commercial Paper	623.00	619.75	620.62
Asset-Backed Securities	658.17	658.03	666.11
Asset-Backed Sec Green Bds	-	-	-
Mortgage Backed Securities	368.48	368.76	380.36
Municipal Securities	31.39	31.42	31.68
U.S. Treasuries	330.00	330.10	332.85
Negotiable CDs	840.00	840.00	841.49
LAIF	42.55	42.55	42.55
Money Market Funds	1,067.91	1,067.91	1,067.91
Supranationals Green Bonds	25.00	25.00	25.01
Supranationals	262.00	262.83	264.61
Total	\$ 8,216.81	\$ 8,219.78	\$ 8,293.11

*Represents Amortized Book Value

SANTA CLARA COUNTY INVESTMENTS
Fund COMM - COMMINGLED POOL
Investments by Fund
March 31, 2020

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
Negotiable CDs										
06052TH94	40256	BANK OF AMERICA CORP	01/16/2020	40,000,000.00	40,000,000.00	40,078,160.00	1.770	1.770	1.794	08/10/2020 131
06367BBQ1	40090	BANK OF MONTREAL CHICAGO	12/04/2019	50,000,000.00	50,000,000.00	50,064,100.00	1.830	1.830	1.855	06/26/2020 86
06367BBX6	40118	BANK OF MONTREAL CHICAGO	12/09/2019	50,000,000.00	50,000,000.00	50,049,100.00	1.800	1.800	1.825	07/31/2020 121
06367BJT7	40384	BANK OF MONTREAL CHICAGO	03/16/2020	25,000,000.00	25,000,000.00	24,918,700.00	1.250	1.250	1.267	01/21/2021 295
05586FKT3	39986	BNP PARIBAS NY BRANCH	10/29/2019	50,000,000.00	50,000,000.00	50,082,000.00	1.860	1.859	1.885	06/26/2020 86
06417MEF8	39984	BANK OF NOVA SCOTIA HOUSTON	10/29/2019	50,000,000.00	50,000,000.00	50,110,500.00	1.880	1.880	1.906	07/30/2020 120
06417MH11	40407	BANK OF NOVA SCOTIA HOUSTON	03/20/2020	9,848,750.10	10,000,000.00	9,931,087.50	1.937	3.696	3.747	02/10/2021 315
22535CJM4	40075	Credit Agricole CIB NY	11/25/2019	50,000,000.00	50,000,000.00	50,107,350.00	1.820	1.795	1.820	07/24/2020 114
13606B7L4	40004	Canadian IMP BK COMM NY	11/01/2019	75,000,000.00	75,000,000.00	75,075,825.00	1.830	1.830	1.855	07/17/2020 107
13606C2P8	40061	Canadian IMP BK COMM NY	11/20/2019	50,000,000.00	50,000,000.00	50,108,300.00	1.800	1.800	1.825	08/28/2020 149
17305TM25	40107	CITI BANK NA	12/06/2019	30,000,000.00	30,000,000.00	30,039,630.00	1.840	1.840	1.865	07/10/2020 100
17305TN65	40127	CITI BANK NA	12/10/2019	45,000,000.00	45,000,000.00	45,086,760.00	1.830	1.830	1.855	08/03/2020 124
17325FAN8	40370	CITI BANK NA	03/11/2020	45,032,130.00	45,000,000.00	44,982,018.45	3.050	2.137	2.166	05/01/2020 30
22549LC37	39990	Credit Suisse NY	10/30/2019	50,000,000.00	50,000,000.00	50,097,700.00	1.910	1.909	1.936	07/31/2020 121
55379W5A0	39994	MUFG Mitsubishi BANK LTD/NY	10/30/2019	50,000,000.00	50,000,000.00	50,076,500.00	1.920	1.920	1.946	07/24/2020 114
21684XDR4	40225	RABOBANK	01/08/2020	50,000,000.00	50,000,000.00	50,086,850.00	1.780	1.780	1.804	07/31/2020 121
21684XDW3	40304	RABOBANK	02/03/2020	50,000,000.00	50,000,000.00	50,054,300.00	1.640	1.617	1.640	07/02/2020 92
78012USC0	40122	Royal Bank of Canada NY	12/10/2019	50,000,000.00	50,000,000.00	50,024,850.00	1.820	1.820	1.845	07/31/2020 121
78012USE6	40133	Royal Bank of Canada NY	12/11/2019	25,000,000.00	25,000,000.00	25,011,150.00	1.820	1.796	1.821	07/24/2020 114
78012USR7	40257	Royal Bank of Canada NY	01/16/2020	50,000,000.00	50,000,000.00	49,910,392.50	0.835	1.051	1.066	10/16/2020 198
90275DKW9	40432	UBS AG STAMFORD CT	03/30/2020	50,000,000.00	50,000,000.00	49,981,650.00	1.900	1.900	1.926	01/22/2021 296
Subtotal and Average				944,880,880.10	945,000,000.00	945,876,923.45		1.802	1.827	130
Mortgage Backed Securities (MBS)										
3137AYCE9	38387	FHLMC Multi-Family	01/12/2018	20,157,000.00	20,000,000.00	20,650,698.00	2.682	2.443	2.477	10/25/2022 937
3137AYCE9	38391	FHLMC Multi-Family	01/16/2018	7,555,078.13	7,500,000.00	7,744,011.75	2.682	2.454	2.488	10/25/2022 937
3137AWQH1	38465	FHLMC Multi-Family	02/22/2018	5,460,553.13	5,580,000.00	5,704,008.80	2.307	3.155	3.198	08/25/2022 876
3137B36J2	38643	FHLMC Multi-Family	02/20/2018	20,345,312.50	20,000,000.00	21,078,588.00	3.316	1.706	1.730	02/25/2023 1,060
3137AYCE9	38666	FHLMC Multi-Family	04/17/2018	11,616,539.06	11,745,000.00	12,127,122.40	2.682	2.879	2.919	10/25/2022 937
3137AYCE9	38744	FHLMC Multi-Family	06/05/2018	19,788,281.25	20,000,000.00	20,650,698.00	2.682	2.877	2.917	10/25/2022 937

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Mortgage Backed Securities (MBS)										
3137B4WB8	38854	FHLMC Multi-Family	09/12/2018	4,768,285.16	4,775,000.00	5,046,513.18	3.060	2.968	3.009	07/25/2023 1,210
3137B5JM6	38864	FHLMC Multi-Family	09/25/2018	10,135,937.50	10,000,000.00	10,717,887.00	3.531	2.710	2.748	07/25/2023 1,210
3137B3NA2	38945	FHLMC Multi-Family	11/02/2018	10,605,782.00	10,605,782.00	11,172,891.19	3.250	3.173	3.217	04/25/2023 1,119
3137B5KW2	39026	FHLMC Multi-Family	12/03/2018	10,092,187.50	10,000,000.00	10,698,705.00	3.458	3.164	3.208	08/25/2023 1,241
3137B04Y7	39295	FHLMC Multi-Family	03/11/2019	26,755,312.50	27,000,000.00	28,152,079.20	2.615	2.792	2.831	01/25/2023 1,029
3137BQR90	39342	FHLMC Multi-Family	03/26/2019	23,034,375.00	23,400,000.00	24,102,100.62	2.272	2.637	2.674	01/25/2023 1,029
3137BP4J5	39654	FHLMC Multi-Family	07/01/2019	21,421,392.52	21,128,401.06	22,149,390.90	2.446	1.911	1.938	03/25/2026 2,184
3137BQR90	39721	FHLMC Multi-Family	07/31/2019	3,591,047.66	3,580,000.00	3,687,415.39	2.272	2.113	2.142	01/25/2023 1,029
3137BUWM6	40385	FHLMC Multi-Family	03/16/2020	16,789,296.06	16,090,370.59	16,929,357.91	2.932	1.185	1.202	01/25/2023 1,029
3137BHCY1	40390	FHLMC Multi-Family	03/17/2020	11,331,300.00	10,590,000.00	11,290,330.47	2.811	1.026	1.040	01/25/2025 1,760
3138LAYM5	38477	FNMA Multi-Family	02/27/2018	3,416,119.49	3,454,988.11	3,578,625.41	2.550	2.795	2.834	09/01/2022 883
3136B1XP4	38664	FNMA Multi-Family	04/30/2018	2,841,757.94	2,786,334.95	2,823,345.28	3.560	2.746	2.784	09/25/2021 542
3136B1XP4	38665	FNMA Multi-Family	04/30/2018	2,841,757.94	2,786,334.95	2,823,345.28	3.560	2.746	2.784	09/25/2021 542
31381TYT1	39150	FNMA Multi-Family	01/14/2019	29,179,472.99	29,235,431.43	30,103,570.84	2.750	2.670	2.707	03/01/2022 699
31381RZ23	39158	FNMA Multi-Family	01/16/2019	14,531,849.05	14,206,107.45	14,529,129.05	3.840	2.825	2.865	08/01/2021 487
31381RLLE	39218	FNMA Multi-Family	02/07/2019	11,884,097.84	11,635,035.36	11,875,472.20	3.840	2.833	2.872	07/01/2021 456
31381RLLE	39219	FNMA Multi-Family	02/07/2019	1,983,730.17	1,942,155.89	1,982,290.35	3.840	2.833	2.872	07/01/2021 456
3138LGH8	39319	FNMA Multi-Family	03/19/2019	24,609,375.00	25,000,000.00	26,188,148.50	2.470	2.794	2.833	01/01/2024 1,370
3138EKX67	39457	FNMA Multi-Family	04/18/2019	15,300,030.44	15,361,837.83	15,991,290.52	2.513	2.578	2.614	03/01/2023 1,064
3138LEYD7	39587	FNMA Multi-Family	05/30/2019	18,306,913.25	18,638,919.00	19,252,928.61	1.970	2.656	2.693	11/01/2023 1,309
3138LGF8	39609	FNMA Multi-Family	06/11/2019	4,976,953.13	5,000,000.00	5,101,389.10	2.150	2.249	2.280	01/01/2024 1,370
3138L2BU0	39632	FNMA Multi-Family	06/24/2019	6,731,105.78	6,739,530.19	6,728,578.72	2.310	2.315	2.347	12/01/2022 974
3138L0U90	39734	FNMA Multi-Family	08/13/2019	5,085,937.50	5,000,000.00	5,160,873.50	2.590	1.983	2.011	09/01/2022 883
31381VB3	39735	FNMA Multi-Family	08/13/2019	5,107,905.61	5,004,684.00	5,159,785.56	2.830	2.010	2.038	06/01/2022 791
3138L0RM5	39844	FNMA Multi-Family	09/13/2019	8,819,670.94	8,760,469.34	9,001,229.64	2.135	1.872	1.898	11/01/2022 944
3136A9MN5	40056	FNMA Multi-Family	11/19/2019	10,199,977.78	10,098,987.91	10,294,283.15	2.301	1.834	1.859	09/25/2022 907
3138L0RC7	40293	FNMA Multi-Family	01/28/2020	6,811,389.44	6,716,932.58	6,940,015.34	2.350	1.778	1.803	11/01/2022 944
Subtotal and Average				396,075,724.26	394,362,302.64	409,436,098.86		2.443	2.477	1,067
Federal Agency Bonds										
31422BWJ5	40391	FARMER MAC	03/17/2020	20,000,000.00	20,000,000.00	19,991,908.60	0.190	0.187	0.190	04/01/2022 730
3133EGWH4	37018	FFCB NOTES	09/30/2016	10,002,811.12	10,000,000.00	10,131,254.10	1.280	1.243	1.260	09/29/2021 546

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Federal Agency Bonds										
3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000.00	10,000,000.00	10,268,405.90	2.010	1.982	2.010	12/08/2021 616
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	5,142,523.15	2.030	2.002	2.030	01/27/2022 666
3133EHEZ2	37639	FFCB NOTES	04/06/2017	15,000,000.00	15,000,000.00	15,002,149.80	1.600	1.578	1.600	04/06/2020 5
3133EHFL2	37665	FFCB NOTES	04/13/2017	14,999,936.17	15,000,000.00	15,006,323.40	1.550	1.541	1.563	04/13/2020 12
3133EHFL2	37666	FFCB NOTES	04/13/2017	9,999,965.56	10,000,000.00	10,004,215.60	1.550	1.539	1.560	04/13/2020 12
3133EHFL2	37667	FFCB NOTES	04/13/2017	9,999,965.56	10,000,000.00	10,004,215.60	1.550	1.539	1.560	04/13/2020 12
3133EHG1	37761	FFCB NOTES	05/03/2017	5,000,000.00	5,000,000.00	5,074,673.15	1.750	1.726	1.750	05/03/2021 397
3133EHG1	37762	FFCB NOTES	05/03/2017	10,000,000.00	10,000,000.00	10,149,346.30	1.750	1.726	1.750	05/03/2021 397
3133EHJA2	37793	FFCB NOTES	05/08/2017	34,999,616.30	35,000,000.00	35,050,308.65	1.550	1.539	1.561	05/08/2020 37
3133EHKH5	37841	FFCB NOTES	05/23/2017	12,000,978.53	12,000,000.00	12,056,750.76	1.570	1.522	1.543	07/23/2020 113
3133EHW1	38024	FFCB NOTES	09/01/2017	3,002,167.50	3,000,000.00	3,055,764.81	1.700	1.624	1.647	09/01/2021 518
3133EHW1	38025	FFCB NOTES	09/01/2017	5,000,000.00	5,000,000.00	5,092,941.35	1.700	1.676	1.700	09/01/2021 518
3133EHW1	38026	FFCB NOTES	09/01/2017	7,005,528.54	7,000,000.00	7,130,117.89	1.700	1.619	1.642	09/01/2021 518
3133EHA4	38046	FFCB NOTES	09/20/2017	9,988,722.01	10,000,000.00	10,185,472.90	1.660	1.715	1.739	09/20/2021 537
3133EHJ95	38081	FFCB NOTES	10/26/2017	9,999,335.65	10,000,000.00	10,085,805.00	1.750	1.737	1.762	10/26/2020 208
3133EHJ95	38082	FFCB NOTES	10/26/2017	4,999,145.83	5,000,000.00	5,042,902.50	1.750	1.756	1.780	10/26/2020 208
3133EHP31	38126	FFCB NOTES	11/10/2017	9,974,204.51	9,975,000.00	10,215,344.43	1.950	1.928	1.955	11/02/2021 580
3133EHW58	38169	FFCB NOTES	11/27/2017	14,999,016.67	15,000,000.00	15,162,410.85	1.900	1.943	1.970	11/27/2020 240
3133EHW58	38204	FFCB NOTES	12/01/2017	21,538,798.01	21,550,000.00	21,783,330.25	1.900	1.954	1.982	11/27/2020 240
3133EJGH6	38506	FFCB NOTES	03/15/2018	23,001,343.40	23,000,000.00	23,273,788.32	2.440	2.395	2.429	10/15/2020 197
3133EJXP1	38718	FFCB NOTES	05/21/2018	4,986,903.64	5,000,000.00	5,275,472.95	2.875	3.253	3.299	12/21/2022 994
3133EJTT6	38837	FFCB NOTES	07/05/2018	4,998,721.33	5,000,000.00	5,090,250.90	2.625	2.623	2.659	01/05/2021 279
3133EJTT6	38838	FFCB NOTES	07/05/2018	4,998,721.33	5,000,000.00	5,090,250.90	2.625	2.623	2.659	01/05/2021 279
3133EJZH5	38878	FFCB NOTES	10/05/2018	13,923,282.17	14,000,000.00	14,747,340.58	2.800	2.997	3.038	09/13/2022 895
3133EJK24	38943	FFCB NOTES	11/01/2018	14,998,902.81	15,000,000.00	15,600,215.25	3.000	2.963	3.004	10/19/2021 566
3133EJW70	38999	FFCB NOTES	11/26/2018	34,986,604.72	35,000,000.00	35,963,618.25	2.875	2.869	2.909	05/26/2021 420
3133EJW70	39023	FFCB NOTES	11/30/2018	26,813,072.46	26,825,000.00	27,563,544.56	2.875	2.875	2.915	05/26/2021 420
3133EJJB3	39075	FFCB NOTES	12/17/2018	9,992,813.33	10,000,000.00	10,370,374.40	2.800	2.805	2.844	12/17/2021 625
3133EJY60	39105	FFCB NOTES	12/24/2018	12,574,159.38	12,500,000.00	13,318,426.88	3.020	2.765	2.803	03/03/2023 1,066
3133EJJB3	39108	FFCB NOTES	12/24/2018	4,304,715.01	4,300,000.00	4,459,260.99	2.800	2.695	2.732	12/17/2021 625
3133EJQ09	39157	FFCB NOTES	01/16/2019	19,992,716.08	20,000,000.00	20,326,265.60	2.550	2.562	2.598	01/11/2021 285

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Federal Agency Bonds										
3133EJ5V7	39165	FFCB NOTES	01/18/2019	14,854,611.75	14,875,000.00	15,625,227.32	2.625	2.640	2.676	01/18/2023 1,022
3133EJ5P0	39173	FFCB NOTES	01/25/2019	9,991,015.56	10,000,000.00	10,377,326.90	2.600	2.615	2.652	01/18/2022 657
3133EKCS3	39296	FFCB NOTES	03/11/2019	20,007,933.33	20,000,000.00	20,425,656.20	2.550	2.472	2.506	03/11/2021 344
3133EKEW2	39357	FFCB NOTES	03/28/2019	19,990,838.33	20,000,000.00	20,680,871.00	2.280	2.272	2.303	03/28/2022 726
3133EKL2	39565	FFCB NOTES	05/22/2019	9,595,942.45	9,590,000.00	10,102,521.73	2.300	2.247	2.279	05/15/2023 1,139
3133EKNR3	39594	FFCB NOTES	06/03/2019	10,003,403.15	10,000,000.00	10,350,005.50	2.200	2.153	2.183	06/03/2022 793
3133EKSNT	39639	FFCB NOTES	06/26/2019	9,967,072.57	10,000,000.00	10,380,609.40	1.770	1.850	1.876	06/26/2023 1,181
3133EKPS9	39689	FFCB NOTES	07/17/2019	20,040,094.12	20,000,000.00	20,413,722.00	2.125	1.924	1.951	06/07/2021 432
3133EKVD5	39693	FFCB NOTES	07/18/2019	11,240,618.50	11,250,000.00	11,535,700.16	1.875	1.896	1.922	01/18/2022 657
3133EKVC7	39701	FFCB NOTES	07/22/2019	14,998,139.75	15,000,000.00	15,294,467.55	1.875	1.858	1.884	07/19/2021 474
3133EKZV1	39751	FFCB NOTES	08/19/2019	19,995,029.29	20,000,000.00	20,273,947.40	1.550	1.546	1.568	08/16/2021 502
3133ELCS1	40135	FFCB NOTES	12/11/2019	14,401,720.00	14,450,000.00	14,977,329.34	1.550	1.615	1.638	03/11/2024 1,440
3133ELAE4	40136	FFCB NOTES	12/11/2019	19,973,398.56	20,000,000.00	20,487,307.40	1.625	1.659	1.682	08/22/2022 873
3133ELDK7	40162	FFCB NOTES	12/16/2019	24,998,830.20	25,000,000.00	25,572,714.75	1.630	1.609	1.632	06/15/2022 805
3133ELDK7	40163	FFCB NOTES	12/16/2019	24,998,830.20	25,000,000.00	25,572,714.75	1.630	1.609	1.632	06/15/2022 805
3133ELDK7	40164	FFCB NOTES	12/16/2019	24,998,830.20	25,000,000.00	25,572,714.75	1.630	1.609	1.632	06/15/2022 805
3133ELHR8	40267	FFCB NOTES	01/21/2020	24,996,840.28	25,000,000.00	25,511,585.75	1.600	1.585	1.607	01/21/2022 660
3130A5Z77	36288	FHLB NOTES	10/21/2015	5,005,353.96	5,000,000.00	5,025,378.35	1.830	1.469	1.490	07/29/2020 119
3130A5Z77	36289	FHLB NOTES	10/19/2015	5,006,085.23	5,000,000.00	5,025,378.35	1.830	1.424	1.444	07/29/2020 119
3130A5Z77	36298	FHLB NOTES	10/23/2015	5,005,958.45	5,000,000.00	5,025,378.35	1.830	1.432	1.452	07/29/2020 119
3130A5Z77	36308	FHLB NOTES	10/26/2015	10,009,781.67	10,000,000.00	10,050,756.70	1.830	1.498	1.519	07/29/2020 119
3130A5Z77	36310	FHLB NOTES	10/26/2015	5,005,021.72	5,000,000.00	5,025,378.35	1.830	1.490	1.511	07/29/2020 119
3130A7PU3	36679	FHLB NOTES	04/11/2016	10,000,071.43	10,000,000.00	10,001,315.10	1.200	1.131	1.147	04/06/2020 5
3133834H1	36877	FHLB NOTES	07/12/2016	15,009,245.11	15,000,000.00	15,030,849.15	1.375	1.040	1.055	06/12/2020 72
313380WG8	36993	FHLB NOTES	09/30/2016	19,521,934.41	19,500,000.00	19,596,377.19	1.375	1.100	1.115	09/11/2020 163
313380WG8	37022	FHLB NOTES	10/06/2016	12,007,666.43	12,000,000.00	12,059,309.04	1.375	1.210	1.227	09/11/2020 163
3133834H1	37037	FHLB NOTES	10/13/2016	10,002,551.48	10,020,566.10	10,020,566.10	1.375	1.225	1.242	06/12/2020 72
313380WG8	37054	FHLB NOTES	10/24/2016	10,006,871.87	10,000,000.00	10,049,424.20	1.375	1.199	1.216	09/11/2020 163
313383HU8	37058	FHLB NOTES	10/19/2016	15,016,944.29	15,000,000.00	15,041,323.50	1.750	1.147	1.163	06/12/2020 72
313380WG8	37072	FHLB NOTES	10/28/2016	13,866,511.12	13,860,000.00	13,928,501.94	1.375	1.248	1.266	09/11/2020 163
3130AABG2	37149	FHLB NOTES	11/30/2016	14,980,155.31	15,000,000.00	15,358,212.15	1.875	1.931	1.957	11/29/2021 607

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Federal Agency Bonds											
313382K69	37711	FHLB NOTES	04/13/2017	25,868,449.01	25,860,000.00	26,214,381.30	1.750	1.690	1.714	03/12/2021	345
313378CR0	37726	FHLB NOTES	04/19/2017	25,195,432.46	25,000,000.00	25,909,198.75	2.250	1.794	1.819	03/11/2022	709
313379RB7	37961	FHLB NOTES	06/30/2017	5,007,368.40	5,000,000.00	5,090,622.95	1.875	1.722	1.746	06/11/2021	436
3130ACE26	38033	FHLB NOTES	09/08/2017	21,988,636.60	22,000,000.00	22,119,205.90	1.375	1.462	1.482	09/28/2020	180
3130A3UQ5	38102	FHLB NOTES	11/03/2017	10,004,606.44	10,000,000.00	10,110,088.20	1.875	1.781	1.806	12/11/2020	254
3130ACUK8	38178	FHLB NOTES	11/28/2017	25,000,000.00	25,000,000.00	25,489,571.25	2.000	1.972	2.000	05/28/2021	422
313383HU8	38247	FHLB NOTES	12/08/2017	24,991,262.44	25,000,000.00	25,068,872.50	1.750	1.905	1.932	06/12/2020	72
3130A66T9	38269	FHLB NOTES	12/13/2017	24,960,769.23	25,000,000.00	25,166,176.25	1.625	1.961	1.989	09/11/2020	163
313370US5	38436	FHLB NOTES	02/05/2018	5,011,803.42	5,000,000.00	5,058,119.20	2.875	2.292	2.324	09/11/2020	163
3130ADUY6	38570	FHLB NOTES	04/06/2018	31,573,665.64	31,575,000.00	31,899,836.65	2.450	2.425	2.459	09/21/2020	173
3130AECJ7	38733	FHLB NOTES	05/21/2018	9,999,717.74	10,000,000.00	10,035,620.00	2.625	2.607	2.643	05/28/2020	57
3130AFB63	38896	FHLB NOTES	10/22/2018	14,994,341.67	15,000,000.00	15,323,964.75	2.950	2.958	2.999	01/22/2021	296
3130AFB63	38901	FHLB NOTES	10/23/2018	4,998,111.56	5,000,000.00	5,107,988.25	2.950	2.958	2.999	01/22/2021	296
3130AFB63	38920	FHLB NOTES	10/26/2018	25,002,346.77	25,000,000.00	25,539,941.25	2.950	2.898	2.939	01/22/2021	296
3130AFB63	38922	FHLB NOTES	10/26/2018	5,000,306.89	5,000,000.00	5,107,988.25	2.950	2.902	2.943	01/22/2021	296
313370E38	38978	FHLB NOTES	11/19/2018	20,020,984.72	20,000,000.00	20,119,890.80	3.375	2.787	2.826	06/12/2020	72
3130AFE78	39016	FHLB NOTES	11/29/2018	14,345,305.87	14,350,000.00	15,301,190.75	3.000	2.970	3.012	12/09/2022	982
3130A0F70	39069	FHLB NOTES	12/14/2018	10,153,929.04	10,000,000.00	11,114,340.60	3.375	2.883	2.923	12/08/2023	1,346
3130A0F70	39106	FHLB NOTES	12/24/2018	3,566,725.64	3,500,000.00	3,890,019.21	3.375	2.778	2.817	12/08/2023	1,346
3130ABFD3	39138	FHLB NOTES	01/08/2019	15,002,590.15	15,000,000.00	15,723,961.05	2.625	2.580	2.616	06/07/2022	797
3130AB3H7	39362	FHLB NOTES	03/29/2019	14,305,503.15	14,250,000.00	15,251,175.93	2.375	2.238	2.269	03/08/2024	1,437
313370E38	39383	FHLB NOTES	04/08/2019	10,018,068.16	10,000,000.00	10,059,945.40	3.375	2.404	2.438	06/12/2020	72
313370E38	39393	FHLB NOTES	04/08/2019	23,337,167.80	23,295,000.00	23,434,642.81	3.375	2.402	2.436	06/12/2020	72
313379Q69	39545	FHLB NOTES	05/16/2019	24,960,335.60	25,000,000.00	25,960,851.25	2.125	2.169	2.200	06/10/2022	800
3130A5P45	39552	FHLB NOTES	05/20/2019	15,049,169.05	15,000,000.00	15,623,440.35	2.375	2.188	2.219	06/10/2022	800
313383G3	39559	FHLB NOTES	05/21/2019	9,966,142.66	10,000,000.00	10,553,889.50	2.125	2.205	2.236	06/09/2023	1,164
3130A1W95	39563	FHLB NOTES	05/22/2019	24,985,016.91	25,000,000.00	25,550,718.00	2.250	2.269	2.301	06/11/2021	436
313383G3	39592	FHLB NOTES	06/03/2019	9,991,028.77	10,000,000.00	10,553,889.50	2.125	2.124	2.154	06/09/2023	1,164
313383G3	39665	FHLB NOTES	07/08/2019	21,637,084.49	21,505,000.00	22,696,139.37	2.125	1.897	1.923	06/09/2023	1,164
313378JP7	39786	FHLB NOTES	08/29/2019	5,508,786.95	5,445,000.00	5,593,890.97	2.375	1.525	1.546	09/10/2021	527
3130A2UW4	39852	FHLB NOTES	09/16/2019	10,455,103.51	10,000,000.00	10,990,884.90	2.875	1.776	1.801	09/13/2024	1,626

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
Federal Agency Bonds										
3130A3DL5	39936	FHLL NOTES	10/16/2019	10,182,319.83	9,940,000.00	10,575,518.37	2.375	1.617	1.639	09/08/2023 1,255
3130A2UW4	39985	FHLL NOTES	10/29/2019	31,417,413.80	30,000,000.00	32,972,654.70	2.875	1.737	1.762	09/13/2024 1,626
3130AHJY0	40025	FHLL NOTES	11/08/2019	9,986,245.14	10,000,000.00	10,195,264.90	1.625	1.687	1.710	11/19/2021 597
3137EAE09	36989	FHLLC NOTES	09/27/2016	19,959,489.00	20,000,000.00	20,205,488.00	1.125	1.261	1.278	08/12/2021 498
3137EAE09	36992	FHLLC NOTES	09/29/2016	9,981,457.96	10,000,000.00	10,102,744.00	1.125	1.248	1.265	08/12/2021 498
3137EAEF2	37835	FHLLC NOTES	04/20/2017	44,997,292.50	45,000,000.00	45,021,402.90	1.375	1.471	1.491	04/20/2020 19
3137EAEJ4	38057	FHLLC NOTES	09/29/2017	14,995,525.28	15,000,000.00	15,082,102.05	1.625	1.663	1.687	09/29/2020 181
3137EAEK1	38135	FHLLC NOTES	11/15/2017	24,994,987.06	25,000,000.00	25,260,525.25	1.875	1.881	1.908	11/17/2020 230
3137EAEK9	38462	FHLLC NOTES	02/16/2018	44,965,350.00	45,000,000.00	45,753,384.15	2.375	2.433	2.466	02/16/2021 321
3137EADB2	40083	FHLLC NOTES	11/27/2019	20,263,840.21	20,000,000.00	20,701,306.80	2.375	1.596	1.619	01/13/2022 652
3137EAP0	40331	FHLLC NOTES	02/14/2020	24,981,253.20	25,000,000.00	26,087,149.50	1.500	1.497	1.517	02/12/2025 1,778
3135G0S38	37582	FNMA NOTES	02/09/2017	10,018,057.87	10,000,000.00	10,286,525.90	2.000	1.866	1.892	01/05/2022 644
3135G0T45	37783	FNMA NOTES	04/10/2017	39,927,559.67	40,000,000.00	41,191,978.80	1.875	1.943	1.970	04/05/2022 734
3135G0S38	38079	FNMA NOTES	10/25/2017	9,998,740.40	10,000,000.00	10,286,525.90	2.000	1.979	2.007	01/05/2022 644
3135G0S38	38142	FNMA NOTES	11/16/2017	11,393,010.73	11,395,000.00	11,721,496.26	2.000	1.982	2.010	01/05/2022 644
3135G0T78	38163	FNMA NOTES	11/22/2017	4,978,753.68	5,000,000.00	5,180,589.20	2.000	2.149	2.179	10/05/2022 917
3135G0T60	38209	FNMA NOTES	12/04/2017	9,987,402.93	10,000,000.00	10,043,420.40	1.500	1.866	1.892	07/30/2020 120
3135G0V75	40044	FNMA NOTES	11/14/2019	25,010,555.46	25,000,000.00	26,148,520.00	1.750	1.715	1.739	07/02/2024 1,553
3135G0X24	40233	FNMA NOTES	01/10/2020	14,954,306.84	15,000,000.00	15,745,382.85	1.625	1.668	1.691	01/07/2025 1,742
Subtotal and Average				1,740,476,770.64	1,737,790,000.00	1,779,329,970.71		1.951	1.978	541

Federal Agency Bonds - CALLABLE

3135EGKA2	36866	FFCB NOTES	07/06/2016	20,000,000.00	20,000,000.00	20,002,600.20	1.500	1.479	1.500	07/06/2021 461
3135EGG82	38326	FFCB NOTES	12/26/2017	1,976,114.02	2,000,000.00	2,000,172.66	1.520	2.262	2.293	11/15/2021 593
3135EGNK7	38341	FFCB NOTES	12/29/2017	4,439,470.19	4,450,000.00	4,450,000.00	1.320	2.049	2.077	07/27/2020 117
3135EGAH8	38342	FFCB NOTES	12/29/2017	10,801,557.50	10,875,000.00	10,875,736.89	1.550	2.144	2.174	05/17/2021 411
3135EGXA8	39206	FFCB NOTES	02/04/2019	17,567,450.32	18,020,000.00	18,022,431.62	1.730	2.658	2.695	01/04/2023 1,008
3135EKP3	39628	FFCB NOTES	06/21/2019	15,000,000.00	15,000,000.00	15,265,387.95	2.220	2.189	2.220	06/21/2024 1,542
3135EKP3	39629	FFCB NOTES	06/21/2019	10,000,000.00	10,000,000.00	10,176,925.30	2.220	2.189	2.220	06/21/2024 1,542
3135EKM1	39739	FFCB NOTES	08/14/2019	24,000,000.00	24,000,000.00	24,106,925.28	1.990	1.962	1.990	08/14/2023 1,230
3135EKC61	39756	FFCB NOTES	08/20/2019	5,000,000.00	5,000,000.00	5,021,317.80	2.100	2.071	2.100	08/20/2024 1,602
3135EKC61	39757	FFCB NOTES	08/20/2019	10,000,000.00	10,000,000.00	10,042,635.60	2.100	2.071	2.100	08/20/2024 1,602

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Federal Agency Bonds - CALLABLE										
3133EKC61	39758	FFCB NOTES	08/20/2019	5,000,000.00	5,000,000.00	5,021,317.80	2.100	2.071	2.100	08/20/2024 1,602
3133EKN9	39768	FFCB NOTES	08/23/2019	15,000,000.00	15,000,000.00	15,056,857.65	2.060	2.031	2.059	08/13/2024 1,595
3133EKH66	39801	FFCB NOTES	09/03/2019	20,000,000.00	20,000,000.00	20,088,375.60	1.850	1.824	1.850	03/03/2022 701
3133EKM94	39832	FFCB NOTES	09/11/2019	15,000,000.00	15,000,000.00	15,047,186.70	1.900	1.873	1.900	09/11/2023 1,258
3133EKM94	39833	FFCB NOTES	09/11/2019	15,000,000.00	15,000,000.00	15,047,186.70	1.900	1.873	1.900	09/11/2023 1,258
3133EKN93	39853	FFCB NOTES	09/16/2019	14,974,587.50	15,000,000.00	15,088,572.45	1.960	1.972	2.000	09/16/2024 1,629
3133EK4N3	40009	FFCB NOTES	11/04/2019	25,000,000.00	25,000,000.00	25,185,924.50	1.800	1.775	1.800	11/04/2022 947
3133ELJN5	40281	FFCB NOTES	01/24/2020	19,995,310.19	20,000,000.00	20,354,205.40	1.640	1.625	1.648	01/24/2023 1,028
3133ELMJ0	40323	FFCB NOTES	02/12/2020	22,000,000.00	22,000,000.00	22,173,184.22	1.690	1.666	1.690	02/12/2024 1,412
3133ELMD3	40330	FFCB NOTES	02/14/2020	15,984,698.88	16,000,000.00	16,109,852.96	1.600	1.612	1.634	02/10/2023 1,045
3133ELSU9	40375	FFCB NOTES	03/12/2020	15,756,106.21	15,760,000.00	15,764,600.19	1.250	1.238	1.255	09/12/2024 1,625
3133ELTC8	40388	FFCB NOTES	03/17/2020	20,000,000.00	20,000,000.00	20,044,565.40	1.125	1.109	1.125	03/17/2025 1,811
3133ELTE4	40389	FFCB NOTES	03/17/2020	23,000,000.00	23,000,000.00	23,007,919.13	1.220	1.203	1.220	03/17/2025 1,811
3133ELUJ1	40415	FFCB NOTES	03/24/2020	30,000,000.00	30,000,000.00	30,107,010.90	1.000	0.986	1.000	03/24/2023 1,087
3133ELUX0	40431	FFCB NOTES	03/30/2020	10,000,000.00	10,000,000.00	10,002,380.90	1.000	0.986	1.000	03/30/2022 728
3130AANA2	37382	FHLB NOTES	01/30/2017	25,000,000.00	25,000,000.00	25,123,710.00	1.750	1.726	1.750	07/30/2020 120
3130AANA2	37383	FHLB NOTES	01/30/2017	5,000,000.00	5,000,000.00	5,024,742.00	1.750	1.726	1.750	07/30/2020 120
3130AB3T1	37641	FHLB NOTES	04/24/2017	20,000,000.00	20,000,000.00	20,093,744.40	1.750	1.726	1.750	07/24/2020 114
3130ABZE9	38016	FHLB NOTES	08/28/2017	8,400,000.00	8,400,000.00	8,447,871.52	1.650	1.627	1.650	08/28/2020 149
3130ACK52	38389	FHLB NOTES	01/12/2018	24,942,628.69	25,000,000.00	25,175,332.25	1.700	2.134	2.164	10/05/2020 187
3130AFAT2	38921	FHLB NOTES	10/26/2018	12,000,000.00	12,000,000.00	12,177,795.60	3.250	3.205	3.250	04/26/2023 1,120
3130A8R54	39014	FHLB NOTES	11/28/2018	4,793,410.63	5,000,000.00	5,000,094.00	1.800	3.102	3.145	07/28/2023 1,213
3130AH2B8	39834	FHLB NOTES	09/11/2019	20,000,000.00	20,000,000.00	20,118,430.20	1.970	1.943	1.970	09/11/2024 1,624
3130AHGL1	40010	FHLB NOTES	11/04/2019	25,000,000.00	25,000,000.00	25,459,850.00	1.875	1.849	1.875	11/04/2024 1,678
3130AJCR8	40369	FHLB NOTES	03/11/2020	3,500,000.00	3,500,000.00	3,502,644.01	1.300	1.282	1.300	09/11/2024 1,624
3130AJB65	40419	FHLB NOTES	03/25/2020	20,000,000.00	20,000,000.00	20,051,191.60	1.620	1.597	1.620	03/25/2024 1,454
3130AJF46	40420	FHLB NOTES	03/25/2020	15,000,000.00	15,000,000.00	15,005,210.55	1.250	1.232	1.250	03/25/2024 1,454
3134G3K90	37344	FHLMC NOTES	01/19/2017	10,000,446.15	10,000,000.00	10,060,414.90	1.700	1.667	1.690	09/25/2020 177
3134GBBM3	37518	FHLMC NOTES	03/29/2017	25,000,000.00	25,000,000.00	25,330,861.00	2.000	1.972	2.000	03/29/2021 362
3134GA5T7	37578	FHLMC NOTES	02/28/2017	3,500,000.00	3,500,000.00	3,614,349.10	2.125	2.095	2.125	01/26/2022 665
3134GA5T7	37579	FHLMC NOTES	02/28/2017	20,000,000.00	20,000,000.00	20,653,423.40	2.125	2.096	2.125	01/26/2022 665

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Federal Agency Bonds - CALLABLE											
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000.00	15,000,000.00	15,435,567.15	2.000	1.972	2.000	01/27/2022	666
3134GBEF5	37696	FHLMC NOTES	04/27/2017	3,640,000.00	3,640,000.00	3,643,533.86	1.700	1.676	1.700	04/27/2020	26
3134GBJJ2	37741	FHLMC NOTES	04/26/2017	10,000,000.00	10,000,000.00	10,103,592.50	1.750	1.726	1.750	01/26/2021	300
3134GBJJ2	37742	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	25,258,981.25	1.750	1.726	1.750	01/26/2021	300
3134GBLR1	37801	FHLMC NOTES	05/25/2017	20,000,000.00	20,000,000.00	20,155,825.00	1.750	1.726	1.750	11/25/2020	238
3134GBLQ3	37804	FHLMC NOTES	05/22/2017	20,000,000.00	20,000,000.00	20,034,138.80	1.650	1.627	1.650	05/22/2020	51
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000.00	15,000,000.00	15,452,025.15	2.000	1.972	2.000	02/24/2022	694
3134GBRR5	37849	FHLMC NOTES	06/15/2017	20,000,000.00	20,000,000.00	20,328,140.60	1.800	1.775	1.800	06/15/2021	440
3134GBRU8	37861	FHLMC NOTES	06/22/2017	30,000,000.00	30,000,000.00	30,078,607.50	1.625	1.602	1.625	06/22/2020	82
3134GBSM5	37880	FHLMC NOTES	06/22/2017	5,450,000.00	5,450,000.00	5,476,326.88	1.700	1.676	1.700	09/22/2020	174
3134GBSW3	37894	FHLMC NOTES	06/22/2017	5,000,000.00	5,000,000.00	5,044,470.70	1.750	1.726	1.750	12/22/2020	265
3134GBYF3	37988	FHLMC NOTES	07/27/2017	5,750,000.00	5,750,000.00	5,812,174.75	1.800	1.775	1.800	01/27/2021	301
3134GBF64	38055	FHLMC NOTES	09/28/2017	6,000,000.00	6,000,000.00	6,028,590.60	1.600	1.578	1.600	09/28/2020	180
3134GBU83	38090	FHLMC NOTES	10/30/2017	15,000,000.00	15,000,000.00	15,369,430.05	2.000	1.972	2.000	10/29/2021	576
3134GBZ70	38170	FHLMC NOTES	11/27/2017	25,000,000.00	25,000,000.00	25,217,190.00	1.875	1.849	1.875	11/27/2020	240
3134GBZ70	38171	FHLMC NOTES	11/27/2017	10,000,000.00	10,000,000.00	10,086,876.00	1.875	1.849	1.875	11/27/2020	240
3134GSAC9	38334	FHLMC NOTES	12/28/2017	10,000,000.00	10,000,000.00	10,113,631.80	2.050	2.021	2.050	12/28/2020	271
3134GSAX3	38352	FHLMC NOTES	01/08/2018	25,000,000.00	25,000,000.00	25,107,094.00	2.220	2.189	2.220	07/01/2022	821
3134GSFE0	38466	FHLMC NOTES	02/26/2018	20,000,000.00	20,000,000.00	20,328,746.60	2.500	2.465	2.500	02/26/2021	331
3134GSMY8	38796	FHLMC NOTES	06/15/2018	15,000,000.00	15,000,000.00	15,075,927.45	2.750	3.426	3.474	06/15/2023	1,170
3134GTFW8	39473	FHLMC NOTES	04/24/2019	25,000,000.00	25,000,000.00	25,151,796.25	2.560	2.525	2.560	07/24/2023	1,209
3134GTMQ3	39564	FHLMC NOTES	05/22/2019	12,300,000.00	12,300,000.00	12,333,699.05	2.500	2.465	2.500	05/22/2023	1,146
3134GTQS5	39593	FHLMC NOTES	06/03/2019	10,258,553.06	10,250,000.00	10,281,985.13	2.625	2.567	2.603	06/03/2024	1,524
3134GTXX6	39649	FHLMC NOTES	06/28/2019	25,000,000.00	25,000,000.00	25,083,778.25	2.100	2.073	2.102	06/26/2024	1,547
3134GTA52	39682	FHLMC NOTES	07/15/2019	15,000,000.00	15,000,000.00	15,072,076.80	2.300	2.268	2.300	07/15/2024	1,566
3134GTH55	39698	FHLMC NOTES	07/19/2019	25,000,000.00	25,000,000.00	25,309,227.00	2.200	2.169	2.200	07/19/2024	1,570
3134GTS20	39726	FHLMC NOTES	08/02/2019	20,000,000.00	20,000,000.00	20,376,558.40	2.070	2.041	2.070	08/02/2024	1,584
3134GTS20	39727	FHLMC NOTES	08/02/2019	5,000,000.00	5,000,000.00	5,094,139.60	2.070	2.041	2.070	08/02/2024	1,584
3134GTS61	39728	FHLMC NOTES	08/05/2019	20,000,000.00	20,000,000.00	20,243,876.20	2.100	2.071	2.100	02/05/2024	1,405
3134GUFC9	39904	FHLMC NOTES	10/02/2019	25,000,000.00	25,000,000.00	25,166,531.75	2.000	1.972	2.000	10/02/2024	1,645
3134GUH78	40207	FHLMC NOTES	12/30/2019	15,000,000.00	15,000,000.00	15,150,451.65	2.000	1.972	2.000	12/30/2024	1,734

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Federal Agency Bonds - CALLABLE										
3134GUH78	40208	FHLMC NOTES	12/30/2019	20,000,000.00	20,000,000.00	20,200,602.20	2.000	1.972	2.000	12/30/2024 1,734
3134GUK66	40209	FHLMC NOTES	12/30/2019	8,790,000.00	8,790,000.00	8,847,197.85	2.000	1.972	2.000	09/30/2024 1,643
3134GUF21	40218	FHLMC NOTES	01/06/2020	25,000,000.00	25,000,000.00	25,234,983.50	1.920	1.893	1.920	01/06/2025 1,741
3134GUX88	40232	FHLMC NOTES	01/10/2020	20,000,000.00	20,000,000.00	20,191,534.60	1.750	1.725	1.749	01/22/2024 1,391
3134GUQ94	40234	FHLMC NOTES	01/10/2020	20,000,000.00	20,000,000.00	20,393,501.60	1.800	1.775	1.800	01/10/2025 1,745
3134GVCZ9	40334	FHLMC NOTES	02/18/2020	7,000,000.00	7,000,000.00	7,057,451.73	1.700	1.676	1.700	02/18/2025 1,784
3134GVCZ9	40335	FHLMC NOTES	02/18/2020	5,000,000.00	5,000,000.00	5,041,036.95	1.700	1.676	1.700	02/18/2025 1,784
3136G3PR0	36797	FNMA NOTES	05/27/2016	24,986,735.69	25,000,000.00	25,293,640.00	1.500	1.526	1.548	05/26/2021 420
3136G4MD2	37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	3,019,648.83	1.850	1.824	1.850	09/16/2020 168
Subtotal and Average				1,259,807,069.03	1,260,685,000.00	1,271,597,526.31		1.883	1.909	999
US Treasury Notes										
912828Y46	39102	U.S. TREASURY NOTES	12/21/2018	14,996,744.46	15,000,000.00	15,130,080.00	2.625	2.654	2.691	07/31/2020 121
912828XM7	40084	U.S. TREASURY NOTES	11/27/2019	40,000,000.00	40,000,000.00	40,200,000.00	1.625	1.601	1.623	07/31/2020 121
912828F1	40099	U.S. TREASURY NOTES	12/05/2019	40,054,061.47	40,000,000.00	40,837,520.00	1.750	1.623	1.646	07/31/2021 486
912828WY2	40115	U.S. TREASURY NOTES	12/09/2019	40,307,546.87	40,000,000.00	41,103,120.00	2.250	1.638	1.661	07/31/2021 486
9128286Z8	40155	U.S. TREASURY NOTES	12/13/2019	30,007,659.88	30,000,000.00	31,769,520.00	1.750	1.719	1.743	06/30/2024 1,551
9128282P4	40210	U.S. TREASURY NOTES	12/31/2019	40,242,530.49	40,000,000.00	41,529,680.00	1.875	1.586	1.608	07/31/2022 851
Subtotal and Average				205,608,543.17	205,000,000.00	210,569,920.00		1.704	1.728	615
Corporate Bonds										
037833DJ6	38124	APPLE INC	11/13/2017	44,992,230.00	45,000,000.00	45,177,841.35	2.000	2.001	2.029	11/13/2020 226
037833DL1	39831	APPLE INC	09/11/2019	6,999,030.37	7,000,000.00	7,121,453.43	1.700	1.682	1.705	09/11/2022 893
037833CU2	40165	APPLE INC	12/16/2019	10,317,289.59	10,000,000.00	10,625,839.80	2.850	2.010	2.038	05/11/2024 1,501
037833DF4	40274	APPLE INC	01/23/2020	5,188,842.79	5,000,000.00	5,273,799.50	2.750	1.892	1.918	01/13/2025 1,748
084670BQ0	39830	BERKSHIRE HATHAWY	06/29/2018	9,947,800.82	10,000,000.00	10,047,824.20	2.200	2.732	2.770	03/15/2021 348
084670BJ6	39496	BERKSHIRE HATHAWY	04/30/2019	10,627,300.73	10,500,000.00	10,955,662.52	3.000	2.517	2.552	02/11/2023 1,046
084670BR8	39544	BERKSHIRE HATHAWY	05/16/2019	16,687,876.67	16,624,000.00	17,200,906.83	2.750	2.576	2.611	03/15/2023 1,078
084664BQ3	39658	BERKSHIRE HATHAWY	07/03/2019	10,172,097.83	10,000,000.00	10,213,082.80	4.250	1.995	2.023	01/15/2021 289
084670BR8	40080	BERKSHIRE HATHAWY	11/26/2019	22,161,697.49	21,623,000.00	22,373,388.37	2.750	1.850	1.876	03/15/2023 1,078
19416QEL0	39553	COLGATE-PALMOLIVE CO	05/20/2019	9,475,665.16	9,506,000.00	9,473,208.29	2.250	2.344	2.377	11/15/2022 958
166764BG4	39549	CHEVRON CORP.	05/17/2019	8,540,174.65	8,575,000.00	8,577,829.24	2.100	2.438	2.472	05/16/2021 410

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Corporate Bonds										
166764BN9	39573	CHEVRON CORP.	05/24/2019	8,877,048.29	8,885,000.00	9,017,594.05	2.498	2.510	2.545	03/03/2022 701
02079KAA5	38601	GOOGLE INC	04/16/2018	16,875,115.44	16,705,000.00	17,193,426.14	3.625	2.645	2.682	05/19/2021 413
478160CD4	40031	JOHNSON & JOHNSON	11/12/2019	19,597,694.96	19,445,000.00	20,145,793.33	2.250	1.805	1.830	03/03/2022 701
191216BT6	38529	Coca-Cola Co	03/19/2018	7,466,711.67	7,500,000.00	7,503,794.25	1.875	2.646	2.682	10/27/2020 209
59217GEE5	40238	MET LIFE GLOBAL FUNDING	01/13/2020	10,008,350.00	10,000,000.00	9,789,171.90	1.950	1.892	1.918	01/13/2023 1,017
594918BW3	39355	MICROSCFT CORP	03/27/2019	20,021,455.78	20,000,000.00	20,557,104.20	2.400	2.305	2.337	02/06/2022 676
594918BW3	39361	MICROSCFT CORP	03/29/2019	20,046,103.21	20,000,000.00	20,557,104.20	2.400	2.235	2.266	02/06/2022 676
594918BX1	39489	MICROSCFT CORP	04/29/2019	6,547,188.41	6,500,000.00	6,915,575.55	2.875	2.635	2.672	02/06/2024 1,406
594918BQ6	40005	MICROSCFT CORP	11/01/2019	10,889,358.98	10,815,000.00	11,183,345.06	2.000	1.762	1.786	08/08/2023 1,224
742651DP4	40337	PRIVATE EXPORT FUND CORPORATIO	02/18/2020	11,809,009.25	11,385,000.00	12,056,289.96	2.450	1.526	1.548	07/15/2024 1,566
742651DX7	40379	PRIVATE EXPORT FUND CORPORATIO	03/13/2020	12,028,311.20	11,610,000.00	12,097,838.50	1.750	0.938	0.951	11/15/2024 1,689
742718EQ8	38524	PROCTER & GAMBLE	03/15/2018	19,679,540.06	20,000,000.00	20,186,928.40	1.700	2.728	2.766	11/03/2021 581
742718EQ8	38526	PROCTER & GAMBLE	03/16/2018	9,842,623.41	10,000,000.00	10,093,464.20	1.700	2.709	2.747	11/03/2021 581
89236TDF6	37702	TOYOTA MOTOR CREDIT	04/17/2017	4,999,965.93	5,000,000.00	4,998,903.05	1.950	1.938	1.965	04/17/2020 16
89233PF59	39332	TOYOTA MOTOR CREDIT	03/21/2019	11,320,785.28	11,213,000.00	11,359,217.52	3.400	2.675	2.712	09/15/2021 532
89236TFX8	39439	TOYOTA MOTOR CREDIT	04/12/2019	24,989,339.58	25,000,000.00	25,234,622.25	2.650	2.635	2.671	04/12/2022 741
89236TFX8	39452	TOYOTA MOTOR CREDIT	04/17/2019	14,988,678.00	15,000,000.00	15,140,773.35	2.650	2.652	2.688	04/12/2022 741
89236TGT6	40326	TOYOTA MOTOR CREDIT	02/13/2020	29,980,436.00	30,000,000.00	28,944,218.70	1.800	1.789	1.814	02/13/2025 1,779
89236TFZ3	40399	TOYOTA MOTOR CREDIT	03/18/2020	19,987,110.23	20,000,000.00	19,918,914.00	1.753	2.176	2.206	05/22/2020 51
89236TFZ3	40404	TOYOTA MOTOR CREDIT	03/19/2020	6,995,486.10	7,000,000.00	6,971,619.90	1.753	2.176	2.206	05/22/2020 51
90331HPA5	39207	U S BANK	02/04/2019	2,998,977.38	3,000,000.00	3,019,205.40	3.000	2.974	3.016	02/04/2021 309
90331HPC1	39569	U S BANK	05/23/2019	14,988,634.44	15,000,000.00	15,281,553.60	2.650	2.650	2.687	05/23/2022 782
90331HPF4	40117	U S BANK	12/09/2019	28,978,097.95	29,000,000.00	29,054,745.33	1.950	1.950	1.977	01/09/2023 1,013
90331HPF4	40154	U S BANK	12/13/2019	11,000,000.00	11,000,000.00	11,020,765.47	1.950	1.922	1.949	01/09/2023 1,013
90331HPL1	40268	U S BANK	01/21/2020	19,959,056.67	20,000,000.00	19,852,727.80	2.050	2.066	2.095	01/21/2025 1,756
92826CAC6	39527	VISA	05/09/2019	11,207,549.77	11,156,000.00	11,619,309.57	2.800	2.583	2.619	12/14/2022 987
92826CAB8	39917	VISA	10/07/2019	7,163,704.92	7,135,000.00	7,162,924.82	2.200	1.554	1.576	12/14/2020 257
931142EA7	38076	WALMART	10/20/2017	6,997,728.55	7,000,000.00	7,018,926.25	1.900	1.920	1.947	12/15/2020 258
931142EG4	38826	WALMART	06/27/2018	39,998,579.89	40,000,000.00	40,039,278.40	2.850	2.843	2.882	06/23/2020 83
931142EG4	39672	WALMART	07/10/2019	7,544,208.43	7,532,000.00	7,539,396.12	2.850	2.097	2.126	06/23/2020 83
931142EL3	39714	WALMART	07/29/2019	5,135,167.88	5,000,000.00	5,256,425.60	2.850	2.148	2.178	07/08/2024 1,559

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Corporate Bonds										
931142EK5	39996	WALMART	10/30/2019	10,465,291.79	10,000,000.00	10,605,518.80	3.400	1.878	1.904	06/26/2023 1,181
931142DV2	40315	WALMART	02/07/2020	29,085,457.70	27,975,000.00	29,120,119.98	2.650	1.741	1.765	12/15/2024 1,719
30231GAV4	38852	EXXON MOBIL CORP	09/10/2018	9,942,444.44	10,000,000.00	10,065,032.30	2.222	2.837	2.876	03/01/2021 334
30231GBB7	39747	EXXON MOBIL CORP	08/16/2019	5,000,000.00	5,000,000.00	5,017,070.35	1.902	1.875	1.902	08/16/2022 867
30231GAV4	39951	EXXON MOBIL CORP	10/22/2019	12,012,650.12	11,963,000.00	12,040,798.14	2.222	1.736	1.761	03/01/2021 334
30231GAC6	39998	EXXON MOBIL CORP	10/31/2019	5,225,949.17	5,000,000.00	5,234,883.85	3.176	1.950	1.977	03/15/2024 1,444
30231GBH4	40403	EXXON MOBIL CORP	03/19/2020	15,000,000.00	15,000,000.00	15,887,000.10	2.992	2.951	2.992	03/19/2025 1,813
Subtotal and Average				684,763,816.98	680,647,000.00	691,742,196.72		2.212	2.243	834
Asset Backed Securities (ABS)										
02007TAC9	40134	ALLY Auto Receivables Trust	12/11/2019	12,997,761.40	13,000,000.00	13,049,671.70	1.840	1.829	1.854	06/17/2024 1,538
05522RCZ9	39062	BANK OF AMERICA CREDIT CARD	12/12/2018	20,147,080.47	20,140,000.00	20,441,129.25	3.000	2.862	2.902	09/15/2023 1,262
05522RDA3	39082	BANK OF AMERICA CREDIT CARD	12/18/2018	15,000,585.94	15,000,000.00	15,290,590.50	3.100	3.064	3.107	12/15/2023 1,353
05522RCY2	39220	BANK OF AMERICA CREDIT CARD	02/08/2019	24,936,523.44	25,000,000.00	25,236,880.00	2.700	2.716	2.753	07/17/2023 1,202
05522RCY2	39320	BANK OF AMERICA CREDIT CARD	03/19/2019	19,987,500.00	20,000,000.00	20,189,504.00	2.700	2.711	2.749	07/17/2023 1,202
05586VAD4	39327	BMW VEHICLE LEASE TRUST	03/20/2019	7,498,898.25	7,500,000.00	7,584,115.50	2.920	2.906	2.946	08/22/2022 873
09659QAD9	38412	BMW VEHICLE OWNER TRUST	01/24/2018	20,067,808.25	20,068,008.93	20,084,510.85	2.350	2.329	2.362	04/25/2022 754
05588CAC6	39861	BMW VEHICLE OWNER TRUST	09/18/2019	17,247,681.60	17,250,000.00	17,415,662.10	1.920	1.907	1.934	01/25/2024 1,394
161571HN7	38708	CHASE ISSUANCE TRUST (ABS)	05/10/2018	37,000,000.00	37,000,000.00	36,692,907.40	0.905	1.466	1.486	04/17/2023 1,111
161571HP2	40336	CHASE ISSUANCE TRUST (ABS)	02/18/2020	26,993,814.30	27,000,000.00	27,181,807.20	1.530	1.523	1.544	01/15/2025 1,750
14041NFU0	39811	Capital One Multi Execution Tr	09/05/2019	34,991,187.00	35,000,000.00	35,337,288.00	1.720	1.711	1.735	08/15/2024 1,597
14042WAC4	39586	Capital One Prime Auto Receiv	05/30/2019	8,998,176.60	9,000,000.00	9,097,624.80	2.510	2.500	2.535	11/15/2023 1,323
14043MAC5	40340	Capital One Prime Auto Receiv	02/19/2020	24,994,685.00	25,000,000.00	24,616,980.00	1.600	1.592	1.614	11/15/2024 1,689
254683CH6	40411	Discover Card Execution Note	03/23/2020	15,131,250.00	15,000,000.00	15,167,785.50	3.320	2.792	2.831	03/15/2024 1,444
36259KAD9	40341	GM Fin'l Auto Leasing Trust	02/19/2020	4,999,550.00	5,000,000.00	4,910,708.50	1.670	1.659	1.682	12/20/2022 993
43814TAC6	37615	HONDA AUTO RECEIVABLES OWNER T	03/28/2017	2,733,259.76	2,733,422.40	2,725,594.97	1.720	1.706	1.729	06/22/2020 82
43811BAC8	37976	HONDA AUTO RECEIVABLES OWNER T	06/27/2017	7,824,380.59	7,825,057.46	7,806,009.71	1.680	1.666	1.690	08/16/2021 502
43814UAG4	38738	HONDA AUTO RECEIVABLES OWNER T	05/30/2018	11,374,913.20	11,375,161.18	11,441,768.44	3.010	2.988	3.030	05/18/2022 777
43815AAC6	39013	HONDA AUTO RECEIVABLES OWNER T	11/28/2018	21,746,748.38	21,750,000.00	22,092,155.78	3.160	3.144	3.188	01/17/2023 1,021
43814WAC9	39269	HONDA AUTO RECEIVABLES OWNER T	02/27/2019	13,749,631.50	13,750,000.00	13,971,373.63	2.830	2.809	2.848	03/20/2023 1,083
43815MAC0	39582	HONDA AUTO RECEIVABLES OWNER T	05/29/2019	16,999,365.90	17,000,000.00	17,185,745.40	2.520	2.500	2.535	06/21/2023 1,176
43815NAC8	39777	HONDA AUTO RECEIVABLES OWNER T	08/27/2019	7,249,939.83	7,250,000.00	7,288,284.35	1.780	1.762	1.787	08/15/2023 1,231

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Asset Backed Securities (ABS)										
43813RAC1	40346	HONDA AUTO RECEIVABLES OWNER TR	02/26/2020	16,496,766.00	16,500,000.00	16,522,393.80	1.610	1.602	1.625	04/21/2024 1,481
44891JAC2	40017	HYUNDAI AUTO RECEIVABLES TRUST	11/06/2019	11,749,458.33	11,750,000.00	11,551,063.10	1.940	1.923	1.950	02/15/2024 1,415
41264UAD6	40294	Harley-Davidson Motorcycle	01/29/2020	9,997,819.00	10,000,000.00	9,774,873.00	1.870	1.861	1.886	10/15/2024 1,658
47787XAC1	37507	JOHN DEERE OWNER TRUST	03/02/2017	146,777.64	146,798.54	146,647.63	1.780	1.769	1.793	04/15/2021 379
47788CAC6	38476	JOHN DEERE OWNER TRUST	02/28/2018	5,120,506.96	5,120,875.15	5,083,472.28	2.660	2.641	2.678	04/18/2022 747
477870AC3	39706	JOHN DEERE OWNER TRUST	07/24/2019	8,748,142.38	8,750,000.00	8,821,507.63	2.210	2.199	2.230	12/15/2023 1,353
58772TAC4	39190	MERCEDES-BENZ AUTO LEASE TRUST	01/30/2019	9,999,707.00	10,000,000.00	10,047,539.00	3.100	3.079	3.122	11/15/2021 593
58769QAC5	40062	MERCEDES-BENZ AUTO LEASE TRUST	11/20/2019	9,998,410.00	10,000,000.00	9,958,571.00	2.000	1.991	2.019	10/15/2022 927
58770FAC6	40392	MERCEDES-BENZ AUTO LEASE TRUST	03/17/2020	29,889,843.75	30,000,000.00	30,019,524.00	1.840	2.080	2.109	12/15/2022 988
58770FAC6	40410	MERCEDES-BENZ AUTO LEASE TRUST	03/23/2020	5,895,000.00	6,000,000.00	6,003,904.80	1.840	3.073	3.116	12/15/2022 988
58769TAD7	39883	MERCEDES-BENZ AUTO REC TRUST	09/25/2019	26,996,282.10	27,000,000.00	27,011,280.60	1.930	1.917	1.944	03/15/2024 1,444
65478DAD9	38475	NISSAN AUTO LEASE TRUST	02/28/2018	14,260,972.88	14,262,603.10	14,310,679.48	2.650	2.589	2.621	05/16/2022 775
65479HAC1	39578	Nissan Auto Receivables Owner	05/28/2019	19,995,478.00	20,000,000.00	20,286,298.00	2.500	2.485	2.523	11/15/2023 1,323
89238MAD0	37568	TOYOTA AUTO REC OWNER TRUST	03/15/2017	364,494.97	364,537.88	364,277.49	1.730	1.718	1.742	02/16/2021 321
89238KAD4	38137	TOYOTA AUTO REC OWNER TRUST	11/15/2017	14,421,853.32	14,423,183.14	14,418,080.22	1.930	1.914	1.940	01/18/2022 657
89231PAD0	38958	TOYOTA AUTO REC OWNER TRUST	11/07/2018	9,997,837.00	10,000,000.00	10,171,503.00	3.180	3.167	3.211	03/15/2023 1,078
89233MAD5	40038	TOYOTA AUTO REC OWNER TRUST	11/13/2019	19,498,592.10	19,500,000.00	19,458,907.65	1.920	1.904	1.931	01/15/2024 1,384
92868LAD3	40416	VOLKSWAGEN AUTO LOAN ENHANCED	03/24/2020	19,917,968.75	20,000,000.00	20,347,056.00	3.020	3.281	3.326	11/21/2022 964
92867XAD8	39913	Volkswagen Auto Lease Trust	10/04/2019	10,499,834.10	10,500,000.00	10,651,575.90	1.990	1.971	1.999	11/21/2022 964
92349GAA9	39612	VERIZON OWNER TRUST	06/12/2019	34,997,553.50	35,000,000.00	35,213,724.00	2.330	2.312	2.344	12/20/2023 1,358
92348AAA3	39920	VERIZON OWNER TRUST	10/08/2019	23,998,149.60	24,000,000.00	22,877,632.80	1.940	1.924	1.951	04/20/2024 1,480
Subtotal and Average				675,662,188.79	675,959,647.78	677,848,608.96		2.240	2.271	1,227
Municipal Bonds										
13063CSQ4	36313	CALIFORNIA ST	10/27/2015	10,220,000.00	10,220,000.00	10,220,000.00	1.800	1.632	1.655	04/01/2020 0
13063CP87	36731	CALIFORNIA ST	04/28/2016	14,984,893.40	15,000,000.00	15,022,650.00	1.500	1.608	1.630	04/01/2021 365
65887PHS7	37523	North Dakota State Finance	03/06/2017	525,905.23	500,000.00	528,880.00	5.000	1.400	1.420	10/01/2021 548
91412GWY7	39314	UNIVERSITY CALIFORNIA REVS	03/15/2019	4,020,989.89	4,000,000.00	4,118,760.00	2.826	2.530	2.566	05/15/2022 774
91412HEX7	39321	UNIVERSITY CALIFORNIA REVS	03/19/2019	1,000,000.00	1,000,000.00	1,035,640.00	2.657	2.620	2.657	05/15/2023 1,139
91412HEW9	39322	UNIVERSITY CALIFORNIA REVS	03/19/2019	665,000.00	665,000.00	681,724.75	2.608	2.571	2.606	05/15/2022 774
Subtotal and Average				31,416,788.52	31,385,000.00	31,607,654.75		1.783	1.808	334

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Supranational Discount Notes										
459052YH3	40147	World Bank Discount Note	12/12/2019	49,826,214.74	50,000,000.00	49,992,319.50		1.619	1.642	06/19/2020 79
459052YH3	40153	World Bank Discount Note	12/13/2019	24,913,109.29	25,000,000.00	24,996,159.75		1.619	1.642	06/19/2020 79
		Subtotal and Average		74,739,324.03	75,000,000.00	74,988,479.25		1.620	1.642	79
Commercial Paper, Discount										
09702LX7	40037	Boeing Company	11/13/2019	49,637,664.84	50,000,000.00	49,626,996.50	2.140	2.199	2.230	07/31/2020 121
1247P2D19	40417	CORPORATE ASSET FUNDING CO.	03/25/2020	40,000,000.00	40,000,000.00	39,998,655.60	2.000	2.000	2.028	04/01/2020 0
1247P2F17	40425	CORPORATE ASSET FUNDING CO.	03/26/2020	49,813,117.30	50,000,000.00	49,866,355.50	2.250	2.259	2.290	06/01/2020 61
16677JHJ3	40396	CHEVRON CORP.	03/18/2020	49,621,372.26	50,000,000.00	49,650,000.00	1.850	1.865	1.891	08/28/2020 149
16677JH74	40430	CHEVRON CORP.	03/30/2020	29,772,448.82	30,000,000.00	29,804,349.90	2.160	2.176	2.207	08/07/2020 128
45920FFS2	40366	IBM	03/10/2020	49,883,765.99	50,000,000.00	49,809,446.00	0.990	0.992	1.006	06/26/2020 86
45920FFS2	40371	IBM	03/11/2020	24,938,365.04	25,000,000.00	24,904,723.00	1.050	1.053	1.067	06/26/2020 86
45920FKW7	40428	IBM	03/27/2020	24,808,033.89	25,000,000.00	24,855,929.25	1.300	1.335	1.353	10/30/2020 212
46640PFS7	39973	JP Morgan Securities	10/28/2019	49,779,340.05	50,000,000.00	49,809,446.00	1.840	1.891	1.917	06/26/2020 86
46640PGX5	40244	JP Morgan Securities	01/14/2020	49,698,315.24	50,000,000.00	49,754,644.50	1.790	1.828	1.854	07/31/2020 121
46640PHU0	40248	JP Morgan Securities	01/15/2020	24,814,036.30	25,000,000.00	24,866,666.75	1.790	1.832	1.857	08/28/2020 149
19121ANU1	40372	Coca-Cola Co	03/12/2020	49,548,122.08	50,000,000.00	50,000,000.00	1.080	1.104	1.119	01/28/2021 302
19121AGX3	40413	Coca-Cola Co	03/24/2020	39,723,759.73	40,000,000.00	39,803,715.60	2.080	2.095	2.124	07/31/2020 121
19121AJR3	40418	Coca-Cola Co	03/25/2020	24,741,822.11	25,000,000.00	24,862,544.50	2.100	2.169	2.200	09/25/2020 177
58934AFS0	40382	MERCK & CO	03/16/2020	39,861,068.73	40,000,000.00	39,796,710.00	1.480	1.486	1.506	06/26/2020 86
59157TGQ0	40116	METLIFE SHORT TERM FUND	12/09/2019	44,734,149.78	45,000,000.00	44,647,812.45	1.850	1.900	1.927	07/24/2020 114
59157THU0	40397	METLIFE SHORT TERM FUND	03/18/2020	23,107,246.27	23,250,000.00	23,087,250.00	1.500	1.510	1.531	08/28/2020 149
63763PGE3	40250	NATL SEC CLEARING CORP (DTC)	01/15/2020	29,851,431.13	30,000,000.00	29,826,312.60	1.730	1.745	1.769	07/14/2020 104
7426M2FS5	40082	PRIVATE EXPORT FUND CORPORATIO	11/27/2019	24,897,371.24	25,000,000.00	24,904,723.00	1.720	1.758	1.782	06/26/2020 86
7426M2FW6	40186	PRIVATE EXPORT FUND CORPORATIO	12/20/2019	14,934,963.68	15,000,000.00	14,940,243.30	1.730	1.774	1.799	06/30/2020 90
7426M2HU8	40422	PRIVATE EXPORT FUND CORPORATIO	03/25/2020	49,601,057.80	50,000,000.00	49,733,333.50	1.950	1.966	1.993	08/28/2020 149
71344THX3	40414	PEPSICO INC	03/24/2020	49,589,119.43	50,000,000.00	49,731,825.00	1.990	2.007	2.035	08/31/2020 152
71344TKT8	40421	PEPSICO INC	03/25/2020	59,213,740.19	60,000,000.00	59,660,500.20	2.250	2.323	2.355	10/27/2020 209
71708EFC4	40329	PFIZER	02/14/2020	24,920,350.47	25,000,000.00	24,890,314.25	1.620	1.628	1.651	06/12/2020 72
71708EHA6	40363	PFIZER	03/09/2020	49,838,052.48	50,000,000.00	49,670,000.00	0.900	0.903	0.916	08/10/2020 131
71708EJP1	40398	PFIZER	03/18/2020	18,030,095.03	18,225,000.00	18,083,033.99	2.200	2.273	2.305	09/23/2020 175
89116EFS7	39857	TORONTO DOMINION HOLDINGS USA	09/17/2019	39,810,067.92	40,000,000.00	39,377,400.00	1.980	2.035	2.064	06/26/2020 86

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Commercial Paper, Discount										
89116EH71	40316	TORONTO DOMINION HOLDINGS USA	02/07/2020	49,711,269.99	50,000,000.00	50,000,000.00	1.640	1.653	1.676	08/07/2020
2546R2JW7	40378	Walt Disney Company	03/13/2020	29,830,320.21	30,000,000.00	29,834,232.60	1.120	1.150	1.166	09/30/2020
2546R2HU3	40395	Walt Disney Company	03/18/2020	49,621,372.26	50,000,000.00	49,733,333.50	1.850	1.865	1.891	08/28/2020
93114EGH4	40400	WALMART STORES	03/18/2020	49,781,200.50	50,000,000.00	49,705,400.00	1.490	1.497	1.518	07/17/2020
30229AHX0	40383	Exxon Mobil Group	03/16/2020	49,712,893.92	50,000,000.00	49,645,125.00	1.390	1.399	1.418	08/31/2020
Subtotal and Average				1,253,825,934.68	1,261,475,000.00	1,254,881,022.49		1.745	1.769	129
Federal Agency Discount Notes										
76116FAE7	40426	REFCORP	03/26/2020	34,864,860.91	35,000,000.00	34,898,218.60	0.708	0.720	0.730	10/15/2020
Subtotal and Average				34,864,860.91	35,000,000.00	34,898,218.60		0.720	0.730	197
Treasury Bills										
912796T22	40142	U S TREASURY BILL	12/12/2019	74,773,767.90	75,000,000.00	74,986,500.00		1.563	1.585	06/11/2020
912796T22	40143	U S TREASURY BILL	12/12/2019	49,849,672.66	50,000,000.00	49,991,000.00	1.525	1.558	1.579	06/11/2020
Subtotal and Average				124,623,440.56	125,000,000.00	124,977,500.00		1.561	1.583	71
Local Agency Investment Fund										
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	42,549,653.16	42,549,653.16	42,549,653.16	1.787	1.762	1.787	1
Subtotal and Average				42,549,653.16	42,549,653.16	42,549,653.16		1.763	1.787	1
Money Market										
SYS37590	37590	JP MORGAN US GOVT MMF	02/27/2017	250,000,000.00	250,000,000.00	250,000,000.00	0.290	0.286	0.290	1
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	0.00	0.00	0.00	0.120	0.118	0.120	1
SYS23519	23519	BLACKROCK TREASURY LIQ FUND	02/01/2008	542,142,404.78	542,142,404.78	542,142,404.78	0.210	0.207	0.210	1
Subtotal and Average				792,142,404.78	792,142,404.78	792,142,404.78		0.232	0.235	1
Supranationals - Green Bond										
45818WBU9	38324	IADB	12/21/2017	25,000,000.00	25,000,000.00	25,010,899.25	1.881	2.115	2.145	01/15/2022
Subtotal and Average				25,000,000.00	25,000,000.00	25,010,899.25		2.116	2.145	654
Supranationals										
4581XOCX4	37663	IADB	04/12/2017	34,996,936.08	35,000,000.00	35,054,543.30	1.625	1.680	1.704	05/12/2020

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Supranationals										
45818WBP0	38317	IADB	12/21/2017	45,018,257.80	45,000,000.00	45,032,497.65	1.901	2.062	2.091	07/15/2022 835
45818WBM7	38385	IADB	01/12/2018	20,048,028.12	20,000,000.00	20,021,110.40	2.031	2.079	2.108	07/15/2021 470
45818WBM7A	38739	IADB	05/30/2018	10,031,772.33	10,000,000.00	10,010,555.20	2.031	2.047	2.075	07/15/2021 470
4581X0DF2	39495	IADB	04/29/2019	17,133,049.29	17,000,000.00	18,273,767.50	2.625	2.372	2.405	01/16/2024 1,385
459058GQ0	39367	INTL BANK RECON & DEVELOP	04/02/2019	5,028,288.30	5,000,000.00	5,374,362.55	2.500	2.315	2.347	03/19/2024 1,448
459058HG1	39871	INTL BANK RECON & DEVELOP	09/23/2019	10,000,000.00	10,000,000.00	10,067,448.20	2.200	2.169	2.200	09/23/2024 1,636
45905U5S9	40146	INTL BANK RECON & DEVELOP	12/12/2019	25,000,000.00	25,000,000.00	25,233,790.00	2.000	1.767	1.791	12/12/2024 1,716
459058GH0	40161	INTL BANK RECON & DEVELOP	12/16/2019	40,529,098.09	40,000,000.00	41,150,896.80	2.750	1.698	1.722	07/23/2021 478
Subtotal and Average				207,785,430.01	207,000,000.00	210,218,971.60		1.929	1.956	783
Total Investments and Average				8,494,222,829.62	8,493,996,008.36	8,577,676,048.89		1.779	1.804	547

Fund WK - WORKERS COMP
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Mortgage Backed Securities (MBS)										
3138LQKH8	39318	FNMA Multi-Family	03/19/2019	2,953,125.00	3,000,000.00	3,142,577.82	2.470	2.794	2.833	01/01/2024 1,370
		Subtotal and Average		2,953,125.00	3,000,000.00	3,142,577.82		2.795	2.834	1,370
Federal Agency Bonds										
3133EJZU6	38872	FFCB NOTES	09/27/2018	2,995,533.33	3,000,000.00	3,107,994.36	2.850	2.915	2.956	09/20/2021 537
3133EJZH5	38879	FFCB NOTES	10/05/2018	994,520.16	1,000,000.00	1,053,381.47	2.800	2.997	3.038	09/13/2022 895
3133EJ3B3	39112	FFCB NOTES	12/24/2018	2,002,193.03	2,000,000.00	2,074,074.88	2.800	2.695	2.732	12/17/2021 625
3130A0F70	39114	FHLB NOTES	12/24/2018	1,528,596.70	1,500,000.00	1,667,151.09	3.375	2.778	2.817	12/08/2023 1,346
3137EAEC9	37310	FHLMC NOTES	12/30/2016	2,471,986.12	2,500,000.00	2,525,686.00	1.125	1.961	1.988	08/12/2021 498
		Subtotal and Average		9,992,829.34	10,000,000.00	10,428,287.80		2.623	2.659	704
Money Market										
SYS34789	34789	BLACKROCK TREASURY LIQ FUND	12/26/2013	16,395,036.64	16,395,036.64	16,395,036.64	0.210	0.207	0.210	1
		Subtotal and Average		16,395,036.64	16,395,036.64	16,395,036.64		0.207	0.210	1
		Total Investments and Average		29,340,990.98	29,395,036.64	29,965,902.26		1.290	1.308	378

Fund PCF - PARK CHARTER FUND
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Federal Agency Bonds										
3133EJ3B3	39113	FFCB NOTES	12/24/2018	1,701,864.07	1,700,000.00	1,762,963.65	2.800	2.695	2.732	12/17/2021 625
3137EAE09	37307	FHLMC NOTES	12/30/2016	494,397.22	500,000.00	505,137.20	1.125	1.961	1.988	08/12/2021 498
		Subtotal and Average		2,196,261.29	2,200,000.00	2,268,100.85		2.530	2.565	596
Money Market										
SYS33657	33657	BLACKROCK TREASURY LIQ FUND	09/30/2012	2,113,218.97	2,113,218.97	2,113,218.97	0.210	0.207	0.210	1
		Subtotal and Average		2,113,218.97	2,113,218.97	2,113,218.97		0.207	0.210	1
		Total Investments and Average		4,309,480.26	4,313,218.97	4,381,319.82		1.391	1.410	304

Fund SJE - SAN JOSE- EVERGREEN
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Municipal Bonds										
010411AE6	39793	Alabama State	08/30/2019	526,618.81	500,000.00	525,950.00	5.000	0.947	0.960	08/01/2021 487
041042UP5	40021	ARKANSAS ST	11/07/2019	523,181.03	500,000.00	521,315.00	3.000	1.094	1.110	10/01/2022 913
13063C5Q9	37543	CALIFORNIA ST	03/14/2017	534,580.07	500,000.00	544,205.00	5.000	1.844	1.870	08/01/2022 852
13063BFJ6	37573	CALIFORNIA ST	03/20/2017	418,208.87	400,000.00	401,332.00	5.250	2.661	2.698	03/01/2022 699
13063DSG4	40064	CALIFORNIA ST	11/20/2019	549,699.34	500,000.00	548,750.00	5.000	1.065	1.080	11/01/2022 944
20772JQ96	37546	Connecticut-F	03/09/2017	523,943.65	500,000.00	527,970.00	5.000	1.873	1.900	11/15/2021 593
246381NB9	39874	DELAWARE ST	09/23/2019	569,528.06	500,000.00	571,865.00	5.000	1.242	1.260	02/01/2024 1,401
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,073,569.80	1,065,000.00	1,075,362.45	5.000	1.588	1.610	07/01/2020 91
373385CF8	40048	GEORGIA ST	11/15/2019	543,239.96	500,000.00	543,265.00	5.000	1.075	1.090	07/01/2022 821
419792JY3	39790	Hawaii State	08/30/2019	569,912.44	500,000.00	565,100.00	5.000	0.907	0.920	10/01/2023 1,278
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	212,889.39	200,000.00	215,282.00	5.000	1.607	1.630	04/01/2022 730
57582RWB8	39846	MASSACHUSETTS ST	09/13/2019	542,259.82	500,000.00	542,800.00	5.000	1.154	1.170	07/01/2022 821
57582RQU3	40039	MASSACHUSETTS ST	11/13/2019	113,371.55	100,000.00	113,356.00	5.000	1.154	1.170	11/01/2023 1,309
574193KT4	39795	MARYLAND ST	08/30/2019	109,125.71	100,000.00	108,938.00	5.000	1.006	1.020	08/01/2022 852
574193RL4	39807	MARYLAND ST	09/04/2019	564,861.41	500,000.00	562,965.00	5.000	1.006	1.020	08/01/2023 1,217
574193PA0	40057	MARYLAND ST	11/19/2019	326,820.37	300,000.00	326,814.00	5.000	1.085	1.100	08/01/2022 852
56052AZE9	39802	Maine State	09/03/2019	439,477.03	420,000.00	439,084.80	5.000	0.966	0.980	06/01/2021 426
6041294D5	35288	MINNESOTA ST	08/21/2014	202,273.72	200,000.00	202,610.00	5.000	1.410	1.430	08/01/2020 122
60412AQP1	39803	MINNESOTA ST	09/03/2019	565,744.32	500,000.00	563,315.00	5.000	0.957	0.970	08/01/2023 1,217
6041297C4	39813	MINNESOTA ST	09/05/2019	545,629.83	500,000.00	544,935.00	5.000	1.006	1.020	08/01/2022 852
60412ADC4	40040	MINNESOTA ST	11/13/2019	435,464.50	400,000.00	435,948.00	5.000	1.115	1.131	08/01/2022 852
658256T93	35131	NORTH CAROLINA ST	06/05/2014	366,040.87	365,000.00	366,149.75	5.000	1.400	1.420	05/01/2020 30
658256Z21	39792	NORTH CAROLINA ST	08/30/2019	562,501.70	500,000.00	560,080.00	5.000	0.956	0.970	06/01/2023 1,156
647293RQ4	39826	NEW MEXICO ST SEVERANCE TAX	09/10/2019	537,268.52	500,000.00	536,925.00	5.000	1.035	1.050	03/01/2022 699
677521Q82	37526	OHIO ST	03/08/2017	320,276.10	305,000.00	322,333.15	5.000	1.509	1.530	10/01/2021 548
677522XY5	39812	OHIO ST	09/05/2019	535,941.80	500,000.00	535,045.00	5.000	1.006	1.020	02/01/2022 671
677522Q80	40063	OHIO ST	11/20/2019	554,629.34	500,000.00	554,890.00	5.000	1.155	1.171	03/01/2023 1,064
68609BSH1	39791	OREGON ST	08/30/2019	580,418.74	500,000.00	575,920.00	5.000	0.948	0.961	05/01/2024 1,491
68609TJY5	39873	OREGON ST	09/23/2019	208,604.47	200,000.00	209,112.00	5.000	1.242	1.260	06/01/2021 426
882723RA9	40026	TEXAS STATE REFUNDING	11/08/2019	556,786.10	500,000.00	557,170.00	5.000	1.114	1.130	04/01/2023 1,095
917542UG5	40033	UTAH ST	11/12/2019	561,092.06	500,000.00	561,440.00	5.000	1.134	1.150	07/01/2023 1,186

Fund SJE - SAN JOSE- EVERGREEN

Investments by Fund

March 31, 2020

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
Municipal Bonds										
9242582V7	39794	VERMONT ST	08/30/2019	556,774.49	500,000.00	554,535.00	5.000	0.956	0.970	02/15/2023 1,050
93974ACC65	37524	WASHINGTON ST	03/08/2017	521,949.45	500,000.00	524,465.00	5.000	1.352	1.371	07/01/2021 456
93974DM70	40027	WASHINGTON ST	11/08/2019	638,535.73	550,000.00	637,587.50	5.000	1.154	1.170	08/01/2024 1,583
97705MFT7	37717	WISCONSIN STATE	03/29/2017	533,117.49	500,000.00	540,060.00	5.000	1.647	1.670	05/01/2022 760
956553XU0	40032	West Virginia State	11/12/2019	631,358.92	565,000.00	632,703.95	5.000	1.183	1.200	06/01/2023 1,156
Subtotal and Average				17,555,695.46	16,170,000.00	17,549,578.60		1.231	1.248	870
Money Market - Tax Exempt										
SYS33615	33615	Dreyfus Tax Exempt Inst	09/25/2012	3,315,074.33	3,315,074.33	3,315,074.33	3.510	3.461	3.510	1
Subtotal and Average				3,315,074.33	3,315,074.33	3,315,074.33		3.462	3.510	1
Total Investments and Average				20,870,769.79	19,485,074.33	20,864,652.93		1.585	1.607	732

SANTA CLARA COUNTY INVESTMENTS

Transaction Activity Report

January 1, 2020 - March 31, 2020

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COMMINGLED POOL Fund

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40212	COMM	SYS40212	BCREPO 1.5% MAT	Purchase	01/02/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40213	COMM	21687AA37	RABO DISC NOTE	Purchase	01/02/2020	RABOBANK	99,995,777.78			-99,995,777.78
39597	COMM	31315KRG7	FRMDN ZERO CPN	Redemption	01/02/2020	FARMER MAC		25,000,000.00		25,000,000.00
38352	COMM	3134GSAX3	FEDERAL HOME LN	Interest	01/02/2020	FHLMC NOTES			277,500.00	277,500.00
40044	COMM	3135GOV75	FEDERAL NATL MTGInterest		01/02/2020	FNMA NOTES			211,458.25	211,458.25
40044	COMM	3135GOV75	FEDERAL NATL MTGAcrr Int		01/02/2020	FNMA NOTES		153,125.00	-153,125.00	0.00
40214	COMM	SYS40214	BCREPO 1.52% MAT	Purchase	01/03/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40215	COMM	21687AA60	RABO DISC NOTE	Purchase	01/03/2020	RABOBANK	99,987,333.34			-99,987,333.34
40138	COMM	24023GA31	CAT DISC NOTE	Redemption	01/03/2020	CATERPILLAR		89,500,000.00		89,500,000.00
40192	COMM	313384RH4	FHDN DISC NOTE	Redemption	01/03/2020	FHBL DISCOUNT		150,000,000.00		150,000,000.00
40212	COMM	SYS40212	BCREPO 1.5% MAT	Redemption	01/03/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40213	COMM	21687AA37	RABO DISC NOTE	Redemption	01/03/2020	RABOBANK		100,000,000.00		100,000,000.00
40212	COMM	SYS40212	BCREPO 1.5% MAT	Interest	01/03/2020	BARCLAYS CAPITAL			8,333.35	8,333.35
34292	COMM	SYS34292	MSTI 0.0%	Interest	01/03/2020	MORGAN STANLEY	212,496.01		212,496.01	-212,496.01
34292	COMM	SYS34292	MSTI 0.0%	Purchase	01/03/2020	MORGAN STANLEY			392,094.62	392,094.62
37590	COMM	SYS37590	JPM TE 0.44%	Interest	01/03/2020	JP MORGAN US				-392,094.62
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	01/03/2020	JP MORGAN US	392,094.62			
40216	COMM	SYS40216	BCREPO 1.53% MAT	Purchase	01/06/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40217	COMM	313384RM3	FHDN DISC NOTE	Purchase	01/06/2020	FHBL DISCOUNT	149,994,375.00			-149,994,375.00
40218	COMM	3134GUF21	FEDERAL HOME LN	Purchase	01/06/2020	FHLMC NOTES	25,000,000.00			-25,000,000.00
39736	COMM	313312RL6	FCDN DISC NOTE	Redemption	01/06/2020	FFCB DISCOUNT		25,000,000.00		25,000,000.00
40214	COMM	SYS40214	BCREPO 1.52% MAT	Redemption	01/06/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40215	COMM	21687AA60	RABO DISC NOTE	Redemption	01/06/2020	RABOBANK		100,000,000.00		100,000,000.00
36866	COMM	3133EGKA2	FEDERAL FARM CR	Interest	01/06/2020	FFCB NOTES			150,000.00	150,000.00
37582	COMM	3135GOS38	FEDERAL NATL MTGInterest		01/06/2020	FNMA NOTES			100,000.00	100,000.00
38079	COMM	3135GOS38	FEDERAL NATL MTGInterest		01/06/2020	FNMA NOTES			100,000.00	100,000.00
38142	COMM	3135GOS38	FEDERAL NATL MTGInterest		01/06/2020	FNMA NOTES			113,950.00	113,950.00
38837	COMM	3133EJTT6	FEDERAL FARM CR	Interest	01/06/2020	FFCB NOTES			65,625.00	65,625.00
38838	COMM	3133EJTT6	FEDERAL FARM CR	Interest	01/06/2020	FFCB NOTES			65,625.00	65,625.00
39206	COMM	3133EGXA8	FEDERAL FARM CR	Interest	01/06/2020	FFCB NOTES			155,873.00	155,873.00
40214	COMM	SYS40214	BCREPO 1.52% MAT	Interest	01/06/2020	FFCB NOTES			25,333.34	25,333.34
40219	COMM	SYS40219	BCREPO 1.53% MAT	Purchase	01/07/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40221	COMM	313384RN1	FHDN DISC NOTE	Purchase	01/07/2020	BARCLAYS CAPITAL	149,994,375.00			-149,994,375.00
40220	COMM	21687AA86	RABO DISC NOTE	Purchase	01/07/2020	RABOBANK	49,997,888.89			-49,997,888.89

SANTA CLARA COUNTY INVESTMENTS

Transaction Activity Report

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39645	COMM	89233GA71	TOYO ZERO CPN	Redemption	01/07/2020	TOYOTA MOTOR		45,000,000.00		45,000,000.00
40204	COMM	313384RM3	FHDN DISC NOTE	Redemption	01/07/2020	FHLB DISCOUNT		200,000,000.00		200,000,000.00
40211	COMM	14177TA79	CARGIL DISC NOTE	Redemption	01/07/2020	CARGILL INC		65,000,000.00		65,000,000.00
40216	COMM	SYS40216	BCREPO 1.53% MAT	Redemption	01/07/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40217	COMM	313384RM3	FHDN DISC NOTE	Redemption	01/07/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40216	COMM	SYS40216	BCREPO 1.53% MAT	Interest	01/07/2020	BARCLAYS CAPITAL			8,499.99	8,499.99
40222	COMM	SYS40222	BCREPO 1.52% MAT	Purchase	01/08/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40224	COMM	313384RP6	FHDN DISC NOTE	Purchase	01/08/2020	FHLB DISCOUNT	149,994,375.00			-149,994,375.00
40223	COMM	21687AA94	RABO DISC NOTE	Purchase	01/08/2020	RABOBANK	49,997,888.89			-49,997,888.89
40225	COMM	21684XDR4	RABO 1.78% MAT	Purchase	01/08/2020	RABOBANK	50,000,000.00			-50,000,000.00
39670	COMM	09702LA88	BOEING ZERO CPN	Redemption	01/08/2020	Boeing Company		23,000,000.00		23,000,000.00
39673	COMM	313384RN1	FHDN DISC NOTE	Redemption	01/08/2020	FHLB DISCOUNT		25,000,000.00		25,000,000.00
39719	COMM	09702LA88	BOEING ZERO CPN	Redemption	01/08/2020	Boeing Company		21,203,000.00		21,203,000.00
40219	COMM	SYS40219	BCREPO 1.53% MAT	Redemption	01/08/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40220	COMM	21687AA86	RABO DISC NOTE	Redemption	01/08/2020	RABOBANK		50,000,000.00		50,000,000.00
40221	COMM	313384RN1	FHDN DISC NOTE	Redemption	01/08/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
39714	COMM	931142EL3	WALMART INC, SR	Interest	01/08/2020	WALMART			71,250.00	71,250.00
39714	COMM	931142EL3	WALMART INC, SR	Accr Int	01/08/2020	WALMART		8,312.50		0.00
40219	COMM	SYS40219	BCREPO 1.53% MAT	Interest	01/08/2020	BARCLAYS CAPITAL			8,499.99	8,499.99
40226	COMM	SYS40226	BCREPO 1.52% MAT	Purchase	01/09/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40227	COMM	313384RQ4	FHDN DISC NOTE	Purchase	01/09/2020	FHLB DISCOUNT	149,994,375.00			-149,994,375.00
40228	COMM	21687AAA1	RABO DISC NOTE	Purchase	01/09/2020	RABOBANK				-49,997,888.89
38578	COMM	3130ADXU1	FEDERAL HOME	Redemption	01/09/2020	FHLB NOTES		20,000,000.00		20,000,000.00
39789	COMM	63763PA90	NSCCPP DISC NOTE	Redemption	01/09/2020	NATL SEC		25,000,000.00		25,000,000.00
40222	COMM	SYS40222	BCREPO 1.52% MAT	Redemption	01/09/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40223	COMM	21687AA94	RABO DISC NOTE	Redemption	01/09/2020	RABOBANK		50,000,000.00		50,000,000.00
40224	COMM	313384RP6	FHDN DISC NOTE	Redemption	01/09/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
38578	COMM	3130ADXU1	FEDERAL HOME	Interest	01/09/2020	FHLB NOTES			232,000.00	232,000.00
40222	COMM	SYS40222	BCREPO 1.52% MAT	Interest	01/09/2020	BARCLAYS CAPITAL			8,444.45	8,444.45
40229	COMM	SYS40229	BCREPO 1.52% MAT	Purchase	01/10/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40231	COMM	313384RT8	FHDN DISC NOTE	Purchase	01/10/2020	FHLB DISCOUNT	149,983,125.00			-149,983,125.00
40232	COMM	3134GUX88	FHLMC 1.75% MAT	Purchase	01/10/2020	FHLMC NOTES	20,000,000.00			-20,000,000.00
40234	COMM	3134GUO94	FEDERAL HOME LN	Purchase	01/10/2020	FHLMC NOTES	20,000,000.00			-20,000,000.00
40233	COMM	3135GQX24	FEDERAL NATL MTGP	Purchase	01/10/2020	FNMA NOTES	14,952,150.00			-14,952,150.00
40230	COMM	21687AAD5	RABO DISC NOTE	Purchase	01/10/2020	RABOBANK	49,993,666.67			-49,993,666.67
39671	COMM	17305TK50	CITINA 2.19% MAT	Redemption	01/10/2020	CITI BANK NA		35,000,000.00		35,000,000.00
39926	COMM	3133EKX84	FEDERAL FARM CR	Redemption	01/10/2020	FFCB NOTES		20,000,000.00		20,000,000.00
39988	COMM	17327AAA5	CITIGR DISC NOTE	Redemption	01/10/2020	CITIGROUP GLOBAL		25,000,000.00		25,000,000.00
40226	COMM	SYS40226	BCREPO 1.52% MAT	Redemption	01/10/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40227	COMM	313384RQ4	FHDN DISC NOTE	Redemption	01/10/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00

SANTA CLARA COUNTY INVESTMENTS

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40228	COMM	21687AAA1	RABO DISC NOTE	Redemption	01/10/2020	RABOBANK		50,000,000.00		50,000,000.00
39671	COMM	17305TK50	CITINA 2.19% MAT	Interest	01/10/2020	CITI BANK NA			391,766.67	391,766.67
39926	COMM	3133EKK84	FEDERAL FARM CR	Interest	01/10/2020	FFCB NOTES			103,500.00	103,500.00
40226	COMM	SYS40226	BCREPO 1.52% MAT	Interest	01/10/2020	BARCLAYS CAPITAL			8,444.43	8,444.43
40235	COMM	SYS40235	BCREPO 1.52% MAT	Purchase	01/13/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40236	COMM	313384RU5	FHDN DISC NOTE	Purchase	01/13/2020	FHLB DISCOUNT	149,994,375.00			-149,994,375.00
40238	COMM	59217GEE5	MET LI 1.95% MAT	Purchase	01/13/2020	MET LIFE GLOBAL	10,009,000.00			-10,009,000.00
40237	COMM	21687AAE3	RABO DISC NOTE	Purchase	01/13/2020	RABOBANK	49,997,888.89			-49,997,888.89
38123	COMM	594918AY0	MICROSOFT CORP, Cap G/L	Redemption	01/13/2020	MICROSOFT CORP		14,088,811.04		14,088,811.04
39300	COMM	78012UMJ1	MICROSOFT CORP, Cap G/L	Redemption	01/13/2020	MICROSOFT CORP		7,188.96		7,188.96
40229	COMM	SYS40229	RBCNY 2.64% MAT	Redemption	01/13/2020	ROYAL BANK OF	45,000,000.00			45,000,000.00
40230	COMM	21687AAD5	BCREPO 1.52% MAT	Redemption	01/13/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40231	COMM	313384RT8	RABO DISC NOTE	Redemption	01/13/2020	RABOBANK	50,000,000.00			50,000,000.00
38123	COMM	594918AY0	FHDN DISC NOTE	Redemption	01/13/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
39157	COMM	3133EJQ9	MICROSOFT CORP, Interest	Interest	01/13/2020	MICROSOFT CORP			108,656.66	108,656.66
39300	COMM	78012UMJ1	FEDERAL FARM CR	Interest	01/13/2020	FFCB NOTES			255,000.00	255,000.00
40083	COMM	3137EADB2	FEDERAL HOME LN	Interest	01/13/2020	ROYAL BANK OF			1,013,100.00	1,013,100.00
40083	COMM	3137EADB2	FEDERAL HOME LN	Accr Int	01/13/2020	FHLMC NOTES			237,500.00	237,500.00
40229	COMM	SYS40229	BCREPO 1.52% MAT	Interest	01/13/2020	FHLMC NOTES	176,805.56		-176,805.56	0.00
40239	COMM	SYS40239	BCREPO 1.52% MAT	Purchase	01/14/2020	BARCLAYS CAPITAL			25,333.34	25,333.34
40241	COMM	313384RV3A	FHDN DISC NOTE	Purchase	01/14/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40244	COMM	46640PGX5	JPMSCC ZERO CPN	Purchase	01/14/2020	FHLB DISCOUNT	149,994,375.00			-149,994,375.00
40243	COMM	63873JAF7	NATXNY DISC NOTE	Purchase	01/14/2020	JP Morgan Securities	49,505,263.89			-49,505,263.89
40242	COMM	21687AAFO	RABO DISC NOTE	Purchase	01/14/2020	Natixis NY Branch	49,997,888.89			-49,997,888.89
40235	COMM	SYS40235	BCREPO 1.52% MAT	Redemption	01/14/2020	RABOBANK	49,997,888.89			-49,997,888.89
40236	COMM	313384RU5	FHDN DISC NOTE	Redemption	01/14/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40237	COMM	21687AAE3	RABO DISC NOTE	Redemption	01/14/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40235	COMM	SYS40235	BCREPO 1.52% MAT	Interest	01/14/2020	RABOBANK	50,000,000.00			50,000,000.00
40245	COMM	SYS40245	BCREPO 1.52% MAT	Purchase	01/15/2020	BARCLAYS CAPITAL			8,444.43	8,444.43
40247	COMM	313384RW1	FHDN DISC NOTE	Purchase	01/15/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40248	COMM	46640PHU0	JPMSCC DISC NOTE	Purchase	01/15/2020	FHLB DISCOUNT	149,994,249.99			-149,994,249.99
40246	COMM	63873JAG5	NATXNY DISC NOTE	Purchase	01/15/2020	JP Morgan Securities	24,719,069.44			-24,719,069.44
40250	COMM	63763PGE3	NSCCPP DISC NOTE	Purchase	01/15/2020	Natixis NY Branch	49,997,888.89			-49,997,888.89
40249	COMM	21687AAAG8	RABO DISC NOTE	Purchase	01/15/2020	NATL SEC	29,739,058.33			-29,739,058.33
8506	COMM	SYS8506	LAIF 1.65%	Purchase	01/15/2020	RABOBANK	49,997,888.89			-49,997,888.89
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	01/15/2020	LOCAL AGENCY	243,039.74			-243,039.74
39731	COMM	63763PAF6	NSCCPP DISC NOTE	Redemption	01/15/2020	MERCEDES -BENZ	276,000.73			276,000.73
40126	COMM	313588RV9	FHDN DISC NOTE	Redemption	01/15/2020	NATL SEC	25,000,000.00			25,000,000.00
40239	COMM	SYS40239	BCREPO 1.52% MAT	Redemption	01/15/2020	FANNIE MAE	60,000,000.00			60,000,000.00
						BARCLAYS CAPITAL	200,000,000.00			200,000,000.00

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
40241	COMM	313384RV3A	FHDB DISC NOTE	Redemption	01/15/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40242	COMM	21687AAFO	RABO DISC NOTE	Redemption	01/15/2020	RABOBANK	50,000,000.00			50,000,000.00
40243	COMM	63873JAF7	NATXNY DISC NOTE	Redemption	01/15/2020	Natixis NY Branch	50,000,000.00			50,000,000.00
38317	COMM	45818WBP0	IADB 1.42917% MAT	Interest	01/15/2020	IADB			238,050.00	238,050.00
38324	COMM	45818WBU9	IADB 1.64203% MAT	Interest	01/15/2020	IADB			128,250.00	128,250.00
38385	COMM	45818WBM7	IADB 1.92152% MAT	Interest	01/15/2020	IADB			112,400.00	112,400.00
38739	COMM	45818WBM7A	IADB 1.92152% MAT	Interest	01/15/2020	IADB			56,200.00	56,200.00
39658	COMM	084664BQ3	BERKSHIRE	Interest	01/15/2020	BERKSHIRE			212,500.00	212,500.00
39682	COMM	3134GTA52	FHLMC 2.3% MAT	Interest	01/15/2020	FHLMC NOTES			172,500.00	172,500.00
40239	COMM	SYS40239	BCREPO 1.52% MAT	Interest	01/15/2020	BARCLAYS CAPITAL			8,444.45	8,444.45
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	01/15/2020	MERCEDES -BENZ			289.84	289.84
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	01/15/2020	MERCEDES -BENZ		33.65	33.65	
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	01/15/2020	MERCEDES -BENZ			0.00	0.00
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	01/15/2020	MERCEDES -BENZ			0.00	0.00
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	01/15/2020	JOHN DEERE			1,726.55	1,726.55
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	01/15/2020	JOHN DEERE		346,464.17	346,464.17	
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	01/15/2020	TOYOTA AUTO REC			2,504.45	2,504.45
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	01/15/2020	TOYOTA AUTO REC		479,052.17	479,052.17	
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	01/15/2020	HONDA AUTO			15,981.11	15,981.11
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	01/15/2020	HONDA AUTO		1,248,113.52	1,248,113.52	
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	01/15/2020	TOYOTA AUTO REC			30,627.70	30,627.70
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	01/15/2020	TOYOTA AUTO REC		1,592,554.92	1,592,554.92	
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	01/15/2020	NISSAN AUTO			40,130.53	40,130.53
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	01/15/2020	NISSAN AUTO		1,363,041.89	1,363,041.89	
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	01/15/2020	JOHN DEERE			15,192.18	15,192.18
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	01/15/2020	JOHN DEERE		597,817.10	597,817.10	
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Interest	01/15/2020	American Express			100,125.00	100,125.00
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Redemption	01/15/2020	American Express		1,401,359.50	1,401,359.50	
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Interest	01/15/2020	CHASE ISSUANCE			59,808.95	59,808.95
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Redemption	01/15/2020	CHASE ISSUANCE		996,280.51	996,280.51	
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	01/15/2020	TOYOTA AUTO REC			26,500.00	26,500.00
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	01/15/2020	TOYOTA AUTO REC		266,732.15	266,732.15	
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	01/15/2020	HONDA AUTO			57,274.99	57,274.99
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	01/15/2020	HONDA AUTO		594,997.14	594,997.14	
39062	COMM	05522RCZ9	BACCT 3.1% MAT	Interest	01/15/2020	BANK OF AMERICA			50,350.00	50,350.00
39062	COMM	05522RCZ9	BACCT 3.1% MAT	Redemption	01/15/2020	BANK OF AMERICA		487,132.75	487,132.75	
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	01/15/2020	BANK OF AMERICA			38,750.00	38,750.00
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	01/15/2020	BANK OF AMERICA		333,744.71	333,744.71	
39190	COMM	58772TAC4	MBALT 3.1% MAT	Interest	01/15/2020	MERCEDES-BENZ			25,833.33	25,833.33
39190	COMM	58772TAC4	MBALT 3.1% MAT	Redemption	01/15/2020	MERCEDES-BENZ		441,910.97	441,910.97	

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39220	COMM	05522RCY2	BACCT 2.7% MAT	Interest	01/15/2020	BANK OF AMERICA			56,250.00	56,250.00
39220	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	01/15/2020	BANK OF AMERICA		631,096.32		631,096.32
39320	COMM	05522RCY2	BACCT 2.7% MAT	Interest	01/15/2020	BANK OF AMERICA			45,000.00	45,000.00
39320	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	01/15/2020	BANK OF AMERICA		505,845.88		505,845.88
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	01/15/2020	Nissan Auto Receivab			41,666.66	41,666.66
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	01/15/2020	Nissan Auto Receivab		431,703.24		431,703.24
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	01/15/2020	Capital One Prime Au			18,825.00	18,825.00
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	01/15/2020	Capital One Prime Au		194,235.60		194,235.60
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	01/15/2020	JOHN DEERE			16,114.59	16,114.59
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	01/15/2020	JOHN DEERE		181,661.40		181,661.40
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	01/15/2020	HONDA AUTO			10,754.16	10,754.16
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	01/15/2020	HONDA AUTO		165,510.84		165,510.84
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	01/15/2020	Capital One Multi Ex			50,166.66	50,166.66
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	01/15/2020	Capital One Multi Ex		623,560.10		623,560.10
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	01/15/2020	MERCEDES -BENZ			43,650.01	43,650.01
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	01/15/2020	MERCEDES -BENZ		526,075.13		526,075.13
40017	COMM	58769TAD7	MBART 1.93% MAT	Interest	01/15/2020	HYUNDAI AUTO			18,995.84	18,995.84
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	01/15/2020	HYUNDAI AUTO				
40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	01/15/2020	TOYOTA AUTO REC		229,657.70		229,657.70
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	01/15/2020	TOYOTA AUTO REC			31,200.00	31,200.00
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	01/15/2020	TOYOTA AUTO REC		389,237.11		389,237.11
40062	COMM	58769OAC5	MBALT 2% MAT	Interest	01/15/2020	MERCEDES-BENZ			16,666.67	16,666.67
40062	COMM	58769OAC5	MBALT 2% MAT	Redemption	01/15/2020	MERCEDES-BENZ		289,305.88		289,305.88
40134	COMM	02007TAC9	ALLY 1.84% MAT	Interest	01/15/2020	ALLY Auto			22,591.11	22,591.11
40134	COMM	02007TAC9	ALLY 1.84% MAT	Redemption	01/15/2020	ALLY Auto		451,542.89		451,542.89
8506	COMM	SYS8506	LAIF 1.65%	Interest	01/15/2020	LOCAL AGENCY			243,039.74	243,039.74
40256	COMM	06052TH94	BAC 1.77% MAT	Purchase	01/16/2020	BANK OF AMERICA	40,000,000.00			-40,000,000.00
40251	COMM	SYS40251	BCREPO 1.52% MAT Purchase	Purchase	01/16/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40252	COMM	313384RX9	FHDN DISC NOTE	Purchase	01/16/2020	FHLB DISCOUNT	149,994,166.68			-149,994,166.68
40254	COMM	63873JAH3	NATXNY DISC NOTE Purchase	Purchase	01/16/2020	Natixis NY Branch	49,997,888.89			-49,997,888.89
40255	COMM	21687AAH6	RABO DISC NOTE	Purchase	01/16/2020	RABOBANK	49,997,888.89			-49,997,888.89
40257	COMM	78012USR7	RBCNY 1.79963% Purchase	Purchase	01/16/2020	Royal Bank of	50,000,000.00			-50,000,000.00
39662	COMM	313312RW2	FCDN ZERO CPN	Redemption	01/16/2020	FFCB DISCOUNT		50,000,000.00		50,000,000.00
40245	COMM	SYS40245	BCREPO 1.52% MAT Redemption	Redemption	01/16/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40246	COMM	63873JAG5	NATXNY DISC NOTE Redemption	Redemption	01/16/2020	Natixis NY Branch		50,000,000.00		50,000,000.00
40247	COMM	313384RW1	FHDN DISC NOTE	Redemption	01/16/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40249	COMM	21687AAG8	RABO DISC NOTE	Redemption	01/16/2020	RABOBANK	50,000,000.00			50,000,000.00
39495	COMM	4581XODF2	IADB 2.625% MAT	Interest	01/16/2020	IADB			223,125.00	223,125.00
40245	COMM	SYS40245	BCREPO 1.52% MAT Interest	Interest	01/16/2020	BARCLAYS CAPITAL			8,444.45	8,444.45
40258	COMM	SYS40258	BCREPO 1.52% MAT Purchase	Purchase	01/17/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40259	COMM	313384SB6	FHDN DISC NOTE	Purchase	01/17/2020	FHLB DISCOUNT	149,975,833.32			-149,975,833.32

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40260	COMM	63873JAM2	NATXNY DISC NOTE Purchase	Purchase	01/17/2020	Natixis NY Branch	49,991,555.56			-49,991,555.56
40261	COMM	21687AAM5	RABO DISC NOTE Purchase	Purchase	01/17/2020	RABOBANK	49,991,555.56			-49,991,555.56
37443	COMM	3137EAE5	FEDERAL HOME LN Redemption	Redemption	01/17/2020	FHLMC NOTES		20,000,000.00		20,000,000.00
39351	COMM	06417G5U8	BNSHOU 2.58% MAT Redemption	Redemption	01/17/2020	BANK OF NOVA		45,000,000.00		45,000,000.00
39681	COMM	7426M2AH4	PEFCO ZERO CPN Redemption	Redemption	01/17/2020	PRIVATE EXPORT		20,000,000.00		20,000,000.00
39743	COMM	06052TF96	BAC 2.01% MAT Redemption	Redemption	01/17/2020	BANK OF AMERICA		40,000,000.00		40,000,000.00
39787	COMM	59157TAH6	METSHR DISC NOTE Redemption	Redemption	01/17/2020	METLIFE SHORT		35,000,000.00		35,000,000.00
40251	COMM	SY540251	BCREPO 1.52% MAT Redemption	Redemption	01/17/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40252	COMM	313384RX9	FHDN DISC NOTE Redemption	Redemption	01/17/2020	FHDL DISCOUNT		150,000,000.00		150,000,000.00
40254	COMM	63873JAH3	NATXNY DISC NOTE Redemption	Redemption	01/17/2020	Natixis NY Branch		50,000,000.00		50,000,000.00
40255	COMM	21687AAH6	RABO DISC NOTE Redemption	Redemption	01/17/2020	RABOBANK		50,000,000.00		50,000,000.00
37443	COMM	3137EAE5	FEDERAL HOME LN Interest	Interest	01/17/2020	FHLMC NOTES		150,000.00	150,000.00	150,000.00
39351	COMM	06417G5U8	BNSHOU 2.58% MAT Interest	Interest	01/17/2020	BANK OF NOVA		954,600.00	954,600.00	954,600.00
39743	COMM	06052TF96	BAC 2.01% MAT Interest	Interest	01/17/2020	BANK OF AMERICA		346,166.67	346,166.67	346,166.67
40251	COMM	SY540251	BCREPO 1.52% MAT Interest	Interest	01/17/2020	BARCLAYS CAPITAL		8,444.43	8,444.43	8,444.43
40262	COMM	SY540262	BCREPO 1.51% MAT Purchase	Purchase	01/21/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40266	COMM	14177TAN4	CARGIL DISC NOTE Purchase	Purchase	01/21/2020	CARGILL INC	99,995,750.00			-99,995,750.00
40267	COMM	3133ELHR8	FFCB 1.6% MAT Purchase	Purchase	01/21/2020	FFCB NOTES	24,996,500.00			-24,996,500.00
40263	COMM	313384SC4	FHDN DISC NOTE Purchase	Purchase	01/21/2020	FHDL DISCOUNT	149,993,958.33			-149,993,958.33
40264	COMM	63873JANO	NATXNY DISC NOTE Purchase	Purchase	01/21/2020	Natixis NY Branch	49,997,888.89			-49,997,888.89
40265	COMM	21687AAN3	RABO DISC NOTE Purchase	Purchase	01/21/2020	RABOBANK	49,997,888.89			-49,997,888.89
40268	COMM	90331HPL1	USB 2.05% MAT Purchase	Purchase	01/21/2020	U S BANK	19,957,400.00			-19,957,400.00
36347	COMM	3135G0A78	FEDERAL NATL MTG Redemption	Redemption	01/21/2020	FNMA NOTES		10,000,000.00		10,000,000.00
36361	COMM	3135G0A78	FEDERAL NATL MTG Redemption	Redemption	01/21/2020	FNMA NOTES		20,000,000.00		20,000,000.00
36383	COMM	3135G0A78	FEDERAL NATL MTG Redemption	Redemption	01/21/2020	FNMA NOTES		10,000,000.00		10,000,000.00
36384	COMM	3135G0A78	FEDERAL NATL MTG Redemption	Redemption	01/21/2020	FNMA NOTES		10,000,000.00		10,000,000.00
40258	COMM	SY540258	BCREPO 1.52% MAT Redemption	Redemption	01/21/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40259	COMM	313384SB6	FHDN DISC NOTE Redemption	Redemption	01/21/2020	FHDL DISCOUNT	150,000,000.00			150,000,000.00
40260	COMM	63873JAM2	NATXNY DISC NOTE Redemption	Redemption	01/21/2020	Natixis NY Branch	50,000,000.00			50,000,000.00
40261	COMM	21687AAM5	RABO DISC NOTE Redemption	Redemption	01/21/2020	RABOBANK	50,000,000.00			50,000,000.00
36347	COMM	3135G0A78	FEDERAL NATL MTG Interest	Interest	01/21/2020	FNMA NOTES		81,250.00	81,250.00	81,250.00
36361	COMM	3135G0A78	FEDERAL NATL MTG Interest	Interest	01/21/2020	FNMA NOTES		162,500.00	162,500.00	162,500.00
36383	COMM	3135G0A78	FEDERAL NATL MTG Interest	Interest	01/21/2020	FNMA NOTES		81,250.00	81,250.00	81,250.00
36384	COMM	3135G0A78	FEDERAL NATL MTG Interest	Interest	01/21/2020	FNMA NOTES		81,250.00	81,250.00	81,250.00
39165	COMM	3133EJ5V7	FEDERAL FARM CR Interest	Interest	01/21/2020	FFCB NOTES		195,234.38	195,234.38	195,234.38
39173	COMM	3133EJ5P0	FEDERAL FARM CR Interest	Interest	01/21/2020	FFCB NOTES		130,000.00	130,000.00	130,000.00
39693	COMM	3133EKVD5	FEDERAL FARM CR Interest	Interest	01/21/2020	FFCB NOTES		105,468.75	105,468.75	105,468.75
39698	COMM	3134GTH55	FEDERAL HOME LN Interest	Interest	01/21/2020	FHLMC NOTES		275,000.00	275,000.00	275,000.00
39701	COMM	3133EKVC7	FEDERAL FARM CR Interest	Interest	01/21/2020	FFCB NOTES		140,625.00	140,625.00	140,625.00
39701	COMM	3133EKVC7	FEDERAL FARM CR Accr Int	Accr Int	01/21/2020	FFCB NOTES		2,343.75	-2,343.75	0.00

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40258	COMM	SYS40258	BCREPO 1.52% MAT Interest	Interest	01/21/2020	BARCLAYS CAPITAL			33,777.79	33,777.79
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	01/21/2020	HONDA AUTO			923.83	923.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	01/21/2020	HONDA AUTO		411,522.66		411,522.66
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	01/21/2020	HONDA AUTO			6,313.16	6,313.16
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	01/21/2020	HONDA AUTO		570,327.76		570,327.76
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	01/21/2020	HONDA AUTO			30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	01/21/2020	HONDA AUTO		438,830.60		438,830.60
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	01/21/2020	HONDA AUTO			32,427.08	32,427.08
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	01/21/2020	HONDA AUTO		353,045.05		353,045.05
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Interest	01/21/2020	BMW VEHICLE			18,250.00	18,250.00
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Redemption	01/21/2020	BMW VEHICLE		230,760.64		230,760.64
39582	COMM	43815MAC0	HAROT 2.52% MAT	Interest	01/21/2020	HONDA AUTO			35,700.00	35,700.00
39582	COMM	43815MAC0	HAROT 2.52% MAT	Redemption	01/21/2020	HONDA AUTO		409,897.53		409,897.53
39612	COMM	92349CAA9	VERIZON OWNER	Interest	01/21/2020	VERIZON OWNER			67,958.35	67,958.35
39612	COMM	92349CAA9	VERIZON OWNER	Redemption	01/21/2020	VERIZON OWNER		730,865.01		730,865.01
39913	COMM	92867XAD8	VWALT 1.99% MAT	Interest	01/21/2020	Volkswagen Auto			17,412.50	17,412.50
39913	COMM	92867XAD8	VWALT 1.99% MAT	Redemption	01/21/2020	Volkswagen Auto		288,406.64		288,406.64
39920	COMM	92348AAA3	VZOT 1.94% MAT	Interest	01/21/2020	VERIZON OWNER			38,800.01	38,800.01
39920	COMM	92348AAA3	VZOT 1.94% MAT	Redemption	01/21/2020	VERIZON OWNER		454,559.08		454,559.08
40269	COMM	SYS40269	BCREPO 1.51% MAT Purchase	Purchase	01/22/2020	BARCLAYS CAPITAL	200,000,000.00		-200,000,000.00	-200,000,000.00
40272	COMM	313384SD2	FHDN DISC NOTE	Purchase	01/22/2020	FHLB DISCOUNT	149,993,958.33		-149,993,958.33	-149,993,958.33
40271	COMM	63873JAP5	NATXNY DISC NOTE Purchase	Purchase	01/22/2020	Natixis NY Branch	49,997,888.89		-49,997,888.89	-49,997,888.89
40270	COMM	21687AAP8	RABO DISC NOTE	Purchase	01/22/2020	RABOBANK	49,997,888.89		-49,997,888.89	-49,997,888.89
39336	COMM	25215FES9	DX 2.55% MAT	Redemption	01/22/2020	DEXIA		45,000,000.00		45,000,000.00
40262	COMM	SYS40262	BCREPO 1.51% MAT Redemption	Redemption	01/22/2020	BARCLAYS CAPITAL	200,000,000.00		200,000,000.00	200,000,000.00
40263	COMM	313384SC4	FHDN DISC NOTE	Redemption	01/22/2020	FHLB DISCOUNT	150,000,000.00		150,000,000.00	150,000,000.00
40264	COMM	63873JANO	NATXNY DISC NOTE Redemption	Redemption	01/22/2020	Natixis NY Branch	50,000,000.00		50,000,000.00	50,000,000.00
40265	COMM	21687AAN3	RABO DISC NOTE	Redemption	01/22/2020	RABOBANK	50,000,000.00		50,000,000.00	50,000,000.00
40266	COMM	14177TAN4	CARGIL DISC NOTE	Redemption	01/22/2020	CARGILL INC	100,000,000.00		100,000,000.00	100,000,000.00
38896	COMM	3130AFB63	FEDERAL HOME	Interest	01/22/2020	FHLB NOTES			221,250.00	221,250.00
38901	COMM	3130AFB63	FEDERAL HOME	Interest	01/22/2020	FHLB NOTES			73,750.00	73,750.00
38920	COMM	3130AFB63	FEDERAL HOME	Interest	01/22/2020	FHLB NOTES			368,750.00	368,750.00
38922	COMM	3130AFB63	FEDERAL HOME	Interest	01/22/2020	FHLB NOTES			73,750.00	73,750.00
39336	COMM	25215FES9	DX 2.55% MAT	Interest	01/22/2020	DEXIA			975,375.00	975,375.00
40262	COMM	SYS40262	BCREPO 1.51% MAT Interest	Interest	01/22/2020	BARCLAYS CAPITAL			8,388.88	8,388.88
40274	COMM	037833DF4	APPLE INC, SR GBLP	Purchase	01/23/2020	APPLE INC	5,200,119.44		-5,200,119.44	-5,200,119.44
40273	COMM	SYS40273	BCREPO 1.51% MAT Purchase	Purchase	01/23/2020	BARCLAYS CAPITAL	200,000,000.00		-200,000,000.00	-200,000,000.00
40276	COMM	14177TAQ7	CARGIL DISC NOTE	Purchase	01/23/2020	CARGILL INC	84,996,411.11		-84,996,411.11	-84,996,411.11
40277	COMM	313384SE0	FHDN DISC NOTE	Purchase	01/23/2020	FHLB DISCOUNT	149,993,958.33		-149,993,958.33	-149,993,958.33
40275	COMM	21687AAQ6	RABO DISC NOTE	Purchase	01/23/2020	RABOBANK	49,997,888.89		-49,997,888.89	-49,997,888.89

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40269	COMM	SYS40269	BCREPO 1.51% MAT Redemption		01/23/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40270	COMM	21687AAP8	RABO DISC NOTE Redemption		01/23/2020	RABOBANK		50,000,000.00		50,000,000.00
40271	COMM	63873JAP5	NATXNY DISC NOTE Redemption		01/23/2020	Natixis NY Branch		50,000,000.00		50,000,000.00
40272	COMM	313384SD2	FHDN DISC NOTE Redemption		01/23/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
37841	COMM	3133EHKH5	FEDERAL FARM CR Interest		01/23/2020	FFCB NOTES			94,200.00	94,200.00
40161	COMM	459058GH0	IBRD 2.75% MAT Interest		01/23/2020	INTL BANK RECON &			550,000.00	550,000.00
40161	COMM	459058GH0	IBRD 2.75% MAT Accr Int		01/23/2020	INTL BANK RECON &		436,944.44	-436,944.44	0.00
40269	COMM	SYS40269	BCREPO 1.51% MAT Interest		01/23/2020	BARCLAYS CAPITAL			8,388.88	8,388.88
40278	COMM	SYS40278	BCREPO 1.51% MAT Purchase		01/24/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40281	COMM	3133ELJN5	FEDERAL FARM CR Purchase		01/24/2020	FFCB NOTES	19,995,000.00			-19,995,000.00
40280	COMM	313384SH3	FHDN DISC NOTE Purchase		01/24/2020	FHLB DISCOUNT	149,981,874.99			-149,981,874.99
40279	COMM	21687AAT0	RABO DISC NOTE Purchase		01/24/2020	RABOBANK	49,993,666.67			-49,993,666.67
38233	COMM	3136G4NP4	FEDERAL NATL MTGRedemption		01/24/2020	FNMA NOTES		39,788,000.00		39,788,000.00
38233	COMM	3136G4NP4	FEDERAL NATL MTGCap G/L		01/24/2020	FNMA NOTES		212,000.00		212,000.00
38235	COMM	3136G4NP4	FEDERAL NATL MTGRedemption		01/24/2020	FNMA NOTES		5,967,600.00		5,967,600.00
38235	COMM	3136G4NP4	FEDERAL NATL MTGCap G/L		01/24/2020	FNMA NOTES		32,400.00		32,400.00
38629	COMM	3133EJLU1	FEDERAL FARM CR Redemption		01/24/2020	FFCB NOTES		15,000,000.00		15,000,000.00
38630	COMM	3133EJLU1	FEDERAL FARM CR Redemption		01/24/2020	FFCB NOTES		30,000,000.00		30,000,000.00
39472	COMM	3134GTGT4	FEDERAL HOME LN Redemption		01/24/2020	FHLMC NOTES		25,000,000.00		25,000,000.00
39782	COMM	2546R2AQ9	WALTPP DISC NOTE Redemption		01/24/2020	Walt Disney Company		20,000,000.00		20,000,000.00
40273	COMM	SYS40273	BCREPO 1.51% MAT Redemption		01/24/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40275	COMM	21687AAQ6	RABO DISC NOTE Redemption		01/24/2020	RABOBANK		50,000,000.00		50,000,000.00
40276	COMM	14177TAQ7	CARGIL DISC NOTE Redemption		01/24/2020	CARGILL INC		85,000,000.00		85,000,000.00
40277	COMM	313384SE0	FHDN DISC NOTE Redemption		01/24/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
38233	COMM	3136G4NP4	FEDERAL NATL MTGInterest		01/24/2020	FNMA NOTES		360,000.00	360,000.00	360,000.00
38235	COMM	3136G4NP4	FEDERAL NATL MTGInterest		01/24/2020	FNMA NOTES		54,000.00	54,000.00	54,000.00
38629	COMM	3133EJLU1	FEDERAL FARM CR Interest		01/24/2020	FFCB NOTES		181,500.00	181,500.00	181,500.00
38630	COMM	3133EJLU1	FEDERAL FARM CR Interest		01/24/2020	FFCB NOTES		363,000.00	363,000.00	363,000.00
39472	COMM	3134GTGT4	FEDERAL HOME LN Interest		01/24/2020	FHLMC NOTES		318,750.00	318,750.00	318,750.00
39473	COMM	3134GTFW8	FEDERAL HOME LN Interest		01/24/2020	FHLMC NOTES		320,000.00	320,000.00	320,000.00
40273	COMM	SYS40273	BCREPO 1.51% MAT Interest		01/24/2020	BARCLAYS CAPITAL			8,388.88	8,388.88
40282	COMM	SYS40282	BCREPO 1.51% MAT Purchase		01/27/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40284	COMM	313384SJ9	FHDN DISC NOTE Purchase		01/27/2020	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40283	COMM	21687AAU7	RABO DISC NOTE Purchase		01/27/2020	RABOBANK	49,997,888.89			-49,997,888.89
37380	COMM	30229AAAT6	INTERNATIONAL Redemption		01/27/2020	IBM		10,000,000.00		10,000,000.00
40139	COMM	30229AAAT6	XON DISC NOTE Redemption		01/27/2020	Exxon Mobil Group		75,000,000.00		75,000,000.00
40278	COMM	SYS40278	BCREPO 1.51% MAT Redemption		01/27/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40279	COMM	21687AAT0	RABO DISC NOTE Redemption		01/27/2020	RABOBANK		50,000,000.00		50,000,000.00
40280	COMM	313384SH3	FHDN DISC NOTE Redemption		01/27/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
37378	COMM	3133EG5D3	FEDERAL FARM CR Interest		01/27/2020	FFCB NOTES			50,750.00	50,750.00

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37380	COMM	459200JN2	INTERNATIONAL	Interest	01/27/2020	IBM			95,000.00	95,000.00
37669	COMM	3134GBGZ9	FEDERAL HOME LN	Interest	01/27/2020	FHLMC NOTES			150,000.00	150,000.00
37741	COMM	3134GBJJ2	FEDERAL HOME LN	Interest	01/27/2020	FHLMC NOTES			87,500.00	87,500.00
37742	COMM	3134GBJJ2	FEDERAL HOME LN	Interest	01/27/2020	FHLMC NOTES			218,750.00	218,750.00
37988	COMM	3134GBYF3	FEDERAL HOME LN	Interest	01/27/2020	FHLMC NOTES			51,750.00	51,750.00
38341	COMM	3133EGNK7	FEDERAL FARM CR	Interest	01/27/2020	FFCB NOTES			29,370.00	29,370.00
40278	COMM	SYS40278	BCREPO 1.51% MAT	Interest	01/27/2020	BARCLAYS CAPITAL			25,166.68	25,166.68
38387	COMM	3137AYCE9	Interest	Interest	01/27/2020	FHLMC Multi-Family		655,142.31	44,700.00	44,700.00
38387	COMM	3137AYCE9	Redemption	Redemption	01/27/2020	FHLMC Multi-Family		16,762.50		16,762.50
38391	COMM	3137AYCE9	Interest	Interest	01/27/2020	FHLMC Multi-Family		245,554.96		245,554.96
38391	COMM	3137AYCE9	Redemption	Redemption	01/27/2020	FHLMC Multi-Family		52,255.68		52,255.68
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	01/27/2020	BMW VEHICLE		2,286,146.72		2,286,146.72
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	01/27/2020	BMW VEHICLE			10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	01/27/2020	FHLMC Multi-Family				
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	01/27/2020	FHLMC Multi-Family		187,695.37		187,695.37
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	01/27/2020	FNMA Multi-Family			7,626.21	7,626.21
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	01/27/2020	FNMA Multi-Family		5,721.53		5,721.53
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	01/27/2020	FHLMC Multi-Family			55,333.34	55,333.34
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	01/27/2020	FHLMC Multi-Family		596,848.64		596,848.64
38664	COMM	3136B1XP4	FNMMAM 3.56% MAT	Interest	01/27/2020	FNMA Multi-Family			8,747.22	8,747.22
38664	COMM	3136B1XP4	FNMMAM 3.56% MAT	Redemption	01/27/2020	FNMA Multi-Family		44,698.86		44,698.86
38665	COMM	3136B1XP4	FNMMAM 3.56% MAT	Interest	01/27/2020	FNMA Multi-Family			8,747.22	8,747.22
38665	COMM	3136B1XP4	FNMMAM 3.56% MAT	Redemption	01/27/2020	FNMA Multi-Family		44,698.86		44,698.86
38666	COMM	3137AYCE9	Interest	Interest	01/27/2020	FHLMC Multi-Family		377,560.46		377,560.46
38744	COMM	3137AYCE9	Redemption	Redemption	01/27/2020	FHLMC Multi-Family		26,250.08		26,250.08
38744	COMM	3137AYCE9	Interest	Interest	01/27/2020	FHLMC Multi-Family		44,700.00		44,700.00
38744	COMM	3137AYCE9	Redemption	Redemption	01/27/2020	FHLMC Multi-Family		643,158.22		643,158.22
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	01/27/2020	FHLMC Multi-Family		126,538.17		126,538.17
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	01/27/2020	FHLMC Multi-Family		29,425.00		29,425.00
38864	COMM	3137B5JM6	FHLMCM 3.531%	Interest	01/27/2020	FHLMC Multi-Family		267,127.49		267,127.49
38864	COMM	3137B5JM6	FHLMCM 3.531%	Redemption	01/27/2020	FHLMC Multi-Family		28,723.99		28,723.99
38945	COMM	3137B3NA2	FHLMC REMIC	Interest	01/27/2020	FHLMC Multi-Family		298,217.12		298,217.12
38945	COMM	3137B3NA2	FHLMC REMIC	Redemption	01/27/2020	FHLMC Multi-Family		28,816.67		28,816.67
39026	COMM	3137B5KW2	FHLMCM 3.458%	Interest	01/27/2020	FHLMC Multi-Family		261,188.72		261,188.72
39026	COMM	3137B5KW2	FHLMCM 3.458%	Redemption	01/27/2020	FHLMC Multi-Family		69,690.99		69,690.99
39150	COMM	31381TYT1	FNMMAM 2.75% MAT	Interest	01/27/2020	FNMA Multi-Family		62,221.79		62,221.79
39150	COMM	31381TYT1	FNMMAM 2.75% MAT	Redemption	01/27/2020	FNMA Multi-Family		47,252.78		47,252.78
39158	COMM	31381RZZ3	FNMMAM 3.84% MAT	Interest	01/27/2020	FNMA Multi-Family		26,497.35		26,497.35
39158	COMM	31381RZZ3	FNMMAM 3.84% MAT	Redemption	01/27/2020	FNMA Multi-Family		38,684.02		38,684.02
39218	COMM	31381RLL6	FNMMAM 3.84% MAT	Interest	01/27/2020	FNMA Multi-Family				

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39218	COMM	31381RL6	FNMM 3.84% MAT	Redemption	01/27/2020	FNMA Multi-Family		20,165.99		20,165.99
39219	COMM	31381RL6	FNMM 3.84% MAT	Interest	01/27/2020	FNMA Multi-Family			6,457.25	6,457.25
39219	COMM	31381RL6	FNMM 3.84% MAT	Redemption	01/27/2020	FNMA Multi-Family		3,366.17		3,366.17
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Interest	01/27/2020	FHLMC Multi-Family			58,837.51	58,837.51
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Redemption	01/27/2020	FHLMC Multi-Family		810,229.47		810,229.47
39319	COMM	3138LQKH8	FNMM 2.47% MAT	Interest	01/27/2020	FNMA Multi-Family			53,173.61	53,173.61
39319	COMM	3138LQKH8	FNMM 2.47% MAT	Redemption	01/27/2020	FNMA Multi-Family		601,955.69		601,955.69
39342	COMM	3137BOR90	FHLMCM 2.272% Interest	Interest	01/27/2020	FHLMC Multi-Family			44,303.99	44,303.99
39342	COMM	3137BOR90	FHLMCM 2.272% Interest	Redemption	01/27/2020	FHLMC Multi-Family		700,653.16		700,653.16
39457	COMM	3138EXK67	FNMM 2.537% MAT	Interest	01/27/2020	FNMA Multi-Family			33,356.12	33,356.12
39457	COMM	3138EXK67	FNMM 2.537% MAT	Redemption	01/27/2020	FNMA Multi-Family		32,711.33		32,711.33
39587	COMM	3138LEYD7	FNMM 1.97% MAT	Interest	01/27/2020	FNMA Multi-Family			31,779.87	31,779.87
39587	COMM	3138LEYD7	FNMM 1.97% MAT	Redemption	01/27/2020	FNMA Multi-Family		30,399.97		30,399.97
39609	COMM	3138LGF8	FNMM 2.15% MAT	Interest	01/27/2020	FNMA Multi-Family			9,256.94	9,256.94
39609	COMM	3138LGF8	FNMM 2.15% MAT	Redemption	01/27/2020	FNMA Multi-Family		122,364.37		122,364.37
39632	COMM	3138L2BU0	FNMM 2.31% MAT	Interest	01/27/2020	FNMA Multi-Family			13,485.55	13,485.55
39632	COMM	3138L2BU0	FNMM 2.31% MAT	Redemption	01/27/2020	FNMA Multi-Family		12,809.93		12,809.93
39654	COMM	3137BP4J5	FNMM 2.446% MAT	Interest	01/27/2020	FHLMC Multi-Family			44,638.94	44,638.94
39654	COMM	3137BP4J5	FNMM 2.446% MAT	Redemption	01/27/2020	FHLMC Multi-Family		243,928.64		243,928.64
39721	COMM	3137BOR90	FHLMCM 2.272% Interest	Interest	01/27/2020	FHLMC Multi-Family			6,778.13	6,778.13
39721	COMM	3137BOR90	FHLMCM 2.272% Interest	Redemption	01/27/2020	FHLMC Multi-Family		109,231.48		109,231.48
39734	COMM	3138LOU90	FNMM 2.59% MAT	Interest	01/27/2020	FNMA Multi-Family			11,151.39	11,151.39
39734	COMM	3138LOU90	FNMM 2.59% MAT	Redemption	01/27/2020	FNMA Multi-Family		174,253.53		174,253.53
39735	COMM	31381VBJ3	FNMM 2.83% MAT	Interest	01/27/2020	FNMA Multi-Family			12,285.26	12,285.26
39735	COMM	31381VBJ3	FNMM 2.83% MAT	Redemption	01/27/2020	FNMA Multi-Family		11,839.02		11,839.02
39844	COMM	3138LORM5	FNMM 2.135% MAT	Interest	01/27/2020	FNMA Multi-Family			16,222.72	16,222.72
39844	COMM	3138LORM5	FNMM 2.135% MAT	Redemption	01/27/2020	FNMA Multi-Family		20,593.77		20,593.77
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	01/27/2020	BMW VEHICLE			27,600.00	27,600.00
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	01/27/2020	BMW VEHICLE		349,971.24		349,971.24
40056	COMM	3136A9MN5	FNMM 2.301% MAT	Interest	01/27/2020	FNMA Multi-Family			20,724.59	20,724.59
40056	COMM	3136A9MN5	FNMM 2.301% MAT	Redemption	01/27/2020	FNMA Multi-Family		22,046.36		22,046.36
40285	COMM	SYS40285	BCREPO 1.51% MAT	Purchase	01/28/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40286	COMM	31384SK6A	FHDN DISC NOTE	Purchase	01/28/2020	FHNB DISCOUNT	149,993,958.33			-149,993,958.33
40293	COMM	3138LORC7	FNMM 2.35% MAT	Purchase	01/28/2020	FNMA Multi-Family	6,851,153.73			-6,851,153.73
40288	COMM	63873JB41	NATXNY DISC NOTE	Purchase	01/28/2020	Natixis NY Branch	49,985,027.78			-49,985,027.78
40287	COMM	21687AAV5	RABO DISC NOTE	Purchase	01/28/2020	RABOBANK	74,996,833.33			-74,996,833.33
40282	COMM	SYS40282	BCREPO 1.51% MAT	Redemption	01/28/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40283	COMM	21687AAU7	RABO DISC NOTE	Redemption	01/28/2020	RABOBANK		50,000,000.00		50,000,000.00
40284	COMM	31384SJ9	FHDN DISC NOTE	Redemption	01/28/2020	FHNB DISCOUNT		150,000,000.00		150,000,000.00
39014	COMM	3130A8R54	FEDERAL HOME	Interest	01/28/2020	FHNB NOTES			45,000.00	45,000.00

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40282	COMM	SYS40282	BCREPO 1.51% MAT Interest		01/28/2020	BARCLAYS CAPITAL			8,388.88	8,388.88
40289	COMM	SYS40289	BCREPO 1.51% MAT Purchase		01/29/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40290	COMM	313384SL4	FHDN DISC NOTE Purchase		01/29/2020	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40292	COMM	31315KSL5	FRMDN DISC NOTE Purchase		01/29/2020	FARMER MAC	49,997,986.11			-49,997,986.11
40294	COMM	41284UAD6	HDMOT 1.87% MAT Purchase		01/29/2020	Harley-Davidson Moto	9,997,819.00			-9,997,819.00
40291	COMM	21687AAW3	RABO DISC NOTE Purchase		01/29/2020	RABOBANK	74,996,833.33			-74,996,833.33
38418	COMM	3130ADJH6	FHLB 2.1% MAT Redemption		01/29/2020	FHLB NOTES		7,615,000.00		7,615,000.00
39781	COMM	71708EAV7	PFIZER DISC NOTE Redemption		01/29/2020	PFIZER		30,000,000.00		30,000,000.00
39825	COMM	71708EAV7	PFIZER DISC NOTE Redemption		01/29/2020	PFIZER		25,000,000.00		25,000,000.00
40285	COMM	SYS40285	BCREPO 1.51% MAT Redemption		01/29/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40286	COMM	313384SK6A	FHDN DISC NOTE Redemption		01/29/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40287	COMM	21687AAV5	RABO DISC NOTE Redemption		01/29/2020	RABOBANK	75,000,000.00			75,000,000.00
36288	COMM	3130A5Z77	FEDERAL HOME Interest		01/29/2020	FHLB NOTES		45,750.00		45,750.00
36289	COMM	3130A5Z77	FEDERAL HOME Interest		01/29/2020	FHLB NOTES		45,750.00		45,750.00
36298	COMM	3130A5Z77	FEDERAL HOME Interest		01/29/2020	FHLB NOTES		45,750.00		45,750.00
36308	COMM	3130A5Z77	FEDERAL HOME Interest		01/29/2020	FHLB NOTES		91,500.00		91,500.00
36310	COMM	3130A5Z77	FEDERAL HOME Interest		01/29/2020	FHLB NOTES		45,750.00		45,750.00
38418	COMM	3130ADJH6	FHLB 2.1% MAT Interest		01/29/2020	FHLB NOTES		79,957.50		79,957.50
40285	COMM	SYS40285	BCREPO 1.51% MAT Interest		01/29/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40295	COMM	SYS40295	BCREPO 1.54% MAT Purchase		01/30/2020	BARCLAYS CAPITAL	149,993,958.33			-149,993,958.33
40297	COMM	313384SM2	FHDN DISC NOTE Purchase		01/30/2020	FANNIE MAE	99,996,027.78			-99,996,027.78
40298	COMM	313588SM8	FNDN DISC NOTE Purchase		01/30/2020	RABOBANK	74,996,750.00			-74,996,750.00
40296	COMM	21687AAAX1	RABO DISC NOTE Purchase		01/30/2020	FHLB NOTES		15,000,000.00		15,000,000.00
39188	COMM	3134GSS63	FEDERAL HOME LN Redemption		01/30/2020	FHLB NOTES		10,000,000.00		10,000,000.00
39189	COMM	3134GSS63	FEDERAL HOME LN Redemption		01/30/2020	FHLB NOTES		10,185,000.00		10,185,000.00
39199	COMM	3134GSS63	FEDERAL HOME LN Redemption		01/30/2020	FHLB NOTES		200,000,000.00		200,000,000.00
40289	COMM	SYS40289	BCREPO 1.51% MAT Redemption		01/30/2020	BARCLAYS CAPITAL	150,000,000.00			150,000,000.00
40290	COMM	313384SL4	FHDN DISC NOTE Redemption		01/30/2020	FHLB DISCOUNT	75,000,000.00			75,000,000.00
40291	COMM	21687AAW3	RABO DISC NOTE Redemption		01/30/2020	RABOBANK	50,000,000.00			50,000,000.00
40292	COMM	31315KSL5	FRMDN DISC NOTE Redemption		01/30/2020	FARMER MAC		218,750.00		218,750.00
37382	COMM	3130AANA2	FEDERAL HOME Interest		01/30/2020	FHLB NOTES		43,750.00		43,750.00
37383	COMM	3130AANA2	FEDERAL HOME Interest		01/30/2020	FHLB NOTES		75,000.00		75,000.00
38209	COMM	3135GOT60	FEDERAL NATL MTG Interest		01/30/2020	FNMA NOTES		210,000.00		210,000.00
39188	COMM	3134GSS63	FEDERAL HOME LN Interest		01/30/2020	FHLB NOTES		140,000.00		140,000.00
39189	COMM	3134GSS63	FEDERAL HOME LN Interest		01/30/2020	FHLB NOTES		142,590.00		142,590.00
39199	COMM	3134GSS63	FEDERAL HOME LN Interest		01/30/2020	FHLB NOTES		8,388.88		8,388.88
40289	COMM	SYS40289	BCREPO 1.51% MAT Interest		01/30/2020	BARCLAYS CAPITAL				
40299	COMM	313384SQ3	FHDN DISC NOTE Purchase		01/31/2020	FHLB DISCOUNT	149,981,250.00			-149,981,250.00
40300	COMM	313384SQ3	FHDN DISC NOTE Purchase		01/31/2020	FHLB DISCOUNT	199,975,000.00			-199,975,000.00
40301	COMM	9113A2B39	UPS DISC NOTE Purchase		01/31/2020	UNITED PARCEL	49,994,375.00			-49,994,375.00

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39720	COMM	89116EAX1	TDHUSA ZERO CPN Redemption		01/31/2020	TORONTO		35,000,000.00		35,000,000.00
39723	COMM	89116EAX1	TDHUSA ZERO CPN Redemption		01/31/2020	TORONTO		20,000,000.00		20,000,000.00
39799	COMM	2546RZAX4	WALTPP DISC NOTE Redemption		01/31/2020	Walt Disney Company		12,300,000.00		12,300,000.00
39839	COMM	19121AAX9	KOPP DISC NOTE Redemption		01/31/2020	Coca-Cola Co		25,000,000.00		25,000,000.00
40295	COMM	SYS40295	BCREPO 1.54% MAT Redemption		01/31/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40296	COMM	21687AAX1	RABO DISC NOTE Redemption		01/31/2020	RABOBANK		75,000,000.00		75,000,000.00
40297	COMM	313384SM2	FHDN DISC NOTE Redemption		01/31/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40298	COMM	313588SM8	FNDN DISC NOTE Redemption		01/31/2020	FANNIE MAE		100,000,000.00		100,000,000.00
39102	COMM	912828XY46	UNITED STATES Interest		01/31/2020	U.S. TREASURY			196,875.00	196,875.00
40084	COMM	912828XY7	UNITED STATES Interest		01/31/2020	U.S. TREASURY			325,000.00	325,000.00
40084	COMM	912828XY7	UNITED STATES Accr Int		01/31/2020	U.S. TREASURY		210,190.22	-210,190.22	0.00
40099	COMM	912828XY1	UNITED STATES Interest		01/31/2020	U.S. TREASURY			350,000.00	350,000.00
40099	COMM	912828XY1	UNITED STATES Accr Int		01/31/2020	U.S. TREASURY		241,576.09	-241,576.09	0.00
40115	COMM	912828WY2	UNITED STATES Interest		01/31/2020	U.S. TREASURY			450,000.00	450,000.00
40115	COMM	912828WY2	UNITED STATES Accr Int		01/31/2020	U.S. TREASURY		320,380.43	-320,380.43	0.00
40210	COMM	912828P4	UNITED STATES Interest		01/31/2020	U.S. TREASURY			375,000.00	375,000.00
40210	COMM	912828P4	UNITED STATES Accr Int		01/31/2020	U.S. TREASURY		311,820.65	-311,820.65	0.00
40295	COMM	SYS40295	BCREPO 1.54% MAT Interest		01/31/2020	BARCLAYS CAPITAL	200,000,000.00		8,555.56	8,555.56
40302	COMM	SYS40302	BCREPO 1.57% MAT Purchase		02/03/2020	BARCLAYS CAPITAL	149,993,833.32		-200,000,000.00	-200,000,000.00
40303	COMM	313384SR1	FHDN DISC NOTE Purchase		02/03/2020	FHLB DISCOUNT	50,000,000.00		-149,993,833.32	-149,993,833.32
40304	COMM	21684XDW3	COOPERATIVE Purchase		02/03/2020	RABOBANK			-50,000,000.00	-50,000,000.00
37404	COMM	3133EG6C4	FEDERAL FARM CR Redemption		02/03/2020	FFCB NOTES		15,000,000.00		15,000,000.00
40299	COMM	313384SQ3	FHDN DISC NOTE Redemption		02/03/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40300	COMM	313384SQ3	FHDN DISC NOTE Redemption		02/03/2020	FHLB DISCOUNT		200,000,000.00		200,000,000.00
40301	COMM	9113A2B39	UPS DISC NOTE Redemption		02/03/2020	UNITED PARCEL		50,000,000.00		50,000,000.00
37404	COMM	3133EG6C4	FEDERAL FARM CR Interest		02/03/2020	FFCB NOTES			116,250.00	116,250.00
39726	COMM	3134GTS20	FHLMC 2.07% MAT Interest		02/03/2020	FHLMC NOTES			207,000.00	207,000.00
39727	COMM	3134GTS20	FHLMC 2.07% MAT Interest		02/03/2020	FHLMC NOTES			51,750.00	51,750.00
40305	COMM	SYS40305	BCREPO 1.58% MAT Purchase		02/04/2020	BARCLAYS CAPITAL	200,000,000.00		-200,000,000.00	-200,000,000.00
40307	COMM	313384SS9	FHDN DISC NOTE Purchase		02/04/2020	FHLB DISCOUNT	149,993,916.66		-149,993,916.66	-149,993,916.66
40306	COMM	63873JB5	NATXNY DISC NOTE Purchase		02/04/2020	Natixis NY Branch	49,984,736.11		-49,984,736.11	-49,984,736.11
40288	COMM	63873JB41	NATXNY DISC NOTE Redemption		02/04/2020	Natixis NY Branch		50,000,000.00		50,000,000.00
40302	COMM	SYS40302	BCREPO 1.57% MAT Redemption		02/04/2020	BARCLAYS CAPITAL	200,000,000.00		200,000,000.00	200,000,000.00
40303	COMM	313384SR1	FHDN DISC NOTE Redemption		02/04/2020	FHLB DISCOUNT	150,000,000.00		150,000,000.00	150,000,000.00
39207	COMM	90331HPA5	UBS F 3.3% MAT Interest		02/04/2020	U S BANK			45,000.00	45,000.00
40302	COMM	SYS40302	BCREPO 1.57% MAT Interest		02/04/2020	BARCLAYS CAPITAL			8,722.23	8,722.23
34292	COMM	SYS34292	MSTI 0.0% Interest		02/04/2020	MORGAN STANLEY			342,856.92	342,856.92
34292	COMM	SYS34292	MSTI 0.0% Purchase		02/04/2020	MORGAN STANLEY	342,856.92		-342,856.92	-342,856.92
37590	COMM	SYS37590	JPM TE 0.44% Interest		02/04/2020	JP MORGAN US			447,596.52	447,596.52
37590	COMM	SYS37590	JPM TE 0.44% Purchase		02/04/2020	JP MORGAN US	447,596.52		-447,596.52	-447,596.52

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40308	COMM	SYS40308	BCREPO 1.57% MAT Purchase	Purchase	02/05/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40309	COMM	313384ST7	FHDN DISC NOTE	Purchase	02/05/2020	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40310	COMM	313384ST7	FHDN DISC NOTE	Purchase	02/05/2020	FHLB DISCOUNT	49,997,986.11			-49,997,986.11
39679	COMM	06052TF70	BAC 2.15% MAT	Redemption	02/05/2020	BANK OF AMERICA		45,000,000.00		45,000,000.00
40305	COMM	SYS40305	BCREPO 1.58% MAT Redemption	Redemption	02/05/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40307	COMM	313384SS9	FHDN DISC NOTE	Redemption	02/05/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
39679	COMM	06052TF70	BAC 2.15% MAT	Interest	02/05/2020	BANK OF AMERICA			559,000.00	559,000.00
39728	COMM	3134GTS61	FEDERAL HOME LN	Interest	02/05/2020	FHLMC NOTES			210,000.00	210,000.00
40305	COMM	SYS40305	BCREPO 1.58% MAT Interest	Interest	02/05/2020	BARCLAYS CAPITAL			8,777.78	8,777.78
40311	COMM	SYS40311	BCREPO 1.57% MAT Purchase	Purchase	02/06/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40312	COMM	313384SU4	FHDN DISC NOTE	Purchase	02/06/2020	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
37419	COMM	594918BV5	MICROSOFT CORP.	Redemption	02/06/2020	MICROSOFT CORP		15,000,000.00		15,000,000.00
40308	COMM	SYS40308	BCREPO 1.57% MAT Redemption	Redemption	02/06/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40309	COMM	313384ST7	FHDN DISC NOTE	Redemption	02/06/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40310	COMM	313384ST7	FHDN DISC NOTE	Redemption	02/06/2020	FHLB DISCOUNT	50,000,000.00			50,000,000.00
37419	COMM	594918BV5	MICROSOFT CORP.	Interest	02/06/2020	MICROSOFT CORP			138,750.00	138,750.00
39355	COMM	594918BW3	MICROSOFT CORP.	Interest	02/06/2020	MICROSOFT CORP			240,000.00	240,000.00
39361	COMM	594918BW3	MICROSOFT CORP.	Interest	02/06/2020	MICROSOFT CORP			240,000.00	240,000.00
39489	COMM	594918BX1	MICROSOFT CORP.	Interest	02/06/2020	MICROSOFT CORP			93,437.50	93,437.50
40308	COMM	SYS40308	BCREPO 1.57% MAT Interest	Interest	02/06/2020	BARCLAYS CAPITAL			8,722.23	8,722.23
40313	COMM	SYS40313	BCREPO 1.56% MAT Purchase	Purchase	02/07/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40314	COMM	313384SX8	FHDN DISC NOTE	Purchase	02/07/2020	FHLB DISCOUNT	149,982,000.00			-149,982,000.00
40316	COMM	89116EH71	TDHUSA DISC NOTE	Purchase	02/07/2020	TORONTO	49,585,444.44			-49,585,444.44
40315	COMM	931142DV2	WALMART INC, SR	Purchase	02/07/2020	WALMART	29,227,938.08			-29,227,938.08
39995	COMM	63763PB73	NSCCPP DISC NOTE	Redemption	02/07/2020	NATL SEC		50,000,000.00		50,000,000.00
40311	COMM	SYS40311	BCREPO 1.57% MAT Redemption	Redemption	02/07/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40312	COMM	313384SU4	FHDN DISC NOTE	Redemption	02/07/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40311	COMM	SYS40311	BCREPO 1.57% MAT Interest	Interest	02/07/2020	BARCLAYS CAPITAL			8,722.22	8,722.22
40317	COMM	SYS40317	BCREPO 1.56% MAT Purchase	Purchase	02/10/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40318	COMM	313384SY6	FHDN DISC NOTE	Purchase	02/10/2020	FHLB DISCOUNT	149,994,000.00			-149,994,000.00
36117	COMM	3130A3XL3	FEDERAL HOME	Redemption	02/10/2020	FHLB NOTES		7,250,000.00		7,250,000.00
40313	COMM	SYS40313	BCREPO 1.56% MAT Redemption	Redemption	02/10/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40314	COMM	313384SX8	FHDN DISC NOTE	Redemption	02/10/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
36117	COMM	3130A3XL3	FEDERAL HOME	Interest	02/10/2020	FHLB NOTES			54,375.00	54,375.00
40005	COMM	594918BQ6	MICROSOFT CORP.	Interest	02/10/2020	MICROSOFT CORP			108,150.00	108,150.00
40005	COMM	594918BQ6	MICROSOFT CORP.	Accr Int	02/10/2020	MICROSOFT CORP		49,869.17		0.00
40313	COMM	SYS40313	BCREPO 1.56% MAT Interest	Interest	02/10/2020	BARCLAYS CAPITAL			26,000.00	26,000.00
40319	COMM	SYS40319	BCREPO 1.56% MAT Purchase	Purchase	02/11/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40320	COMM	313384SZ3	FHDN DISC NOTE	Purchase	02/11/2020	FHLB DISCOUNT	149,994,000.00			-149,994,000.00
40306	COMM	63873JB85	NATXNY DISC NOTE	Redemption	02/11/2020	Natixis NY Branch		50,000,000.00		50,000,000.00

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40317	COMM	SYS40317	BCREPO 1.56% MAT Redemption		02/11/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40318	COMM	313384SY6	FHDN DISC NOTE Redemption		02/11/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
39496	COMM	084670BJ6	BERKSHIRE Interest		02/11/2020	BERKSHIRE			157,500.00	157,500.00
40317	COMM	SYS40317	BCREPO 1.56% MAT Interest		02/11/2020	BARCLAYS CAPITAL			8,666.66	8,666.66
40321	COMM	SYS40321	BCREPO 1.55% MAT Purchase		02/12/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40323	COMM	3133ELMJ0	FEDERAL FARM CR Purchase		02/12/2020	FFCB NOTES	22,000,000.00			-22,000,000.00
40322	COMM	313384TA7	FHDN DISC NOTE Purchase		02/12/2020	FHLB DISCOUNT	149,994,000.00			-149,994,000.00
40319	COMM	SYS40319	BCREPO 1.56% MAT Redemption		02/12/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40320	COMM	313384SZ3	FHDN DISC NOTE Redemption		02/12/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
36989	COMM	3137EAE9	FEDERAL HOME LN Interest		02/12/2020	FHLMC NOTES			112,500.00	112,500.00
36992	COMM	3137EAE9	FEDERAL HOME LN Interest		02/12/2020	FHLMC NOTES			56,250.00	56,250.00
40319	COMM	SYS40319	BCREPO 1.56% MAT Interest		02/12/2020	BARCLAYS CAPITAL			8,666.67	8,666.67
40324	COMM	SYS40324	BCREPO 1.55% MAT Purchase		02/13/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40325	COMM	313384TB5	FHDN DISC NOTE Purchase		02/13/2020	FHLB DISCOUNT	149,994,041.67			-149,994,041.67
40326	COMM	892361GT6	TOYOTA MOTOR Purchase		02/13/2020	TOYOTA MOTOR	29,979,900.00			-29,979,900.00
39772	COMM	71708EBD6	PFIZER DISC NOTE Redemption		02/13/2020	PFIZER		20,000,000.00		20,000,000.00
40321	COMM	SYS40321	BCREPO 1.55% MAT Redemption		02/13/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40322	COMM	313384TA7	FHDN DISC NOTE Redemption		02/13/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
39768	COMM	3133EKZ9	FEDERAL FARM CR Interest		02/13/2020	FFCB NOTES			154,500.00	154,500.00
39768	COMM	3133EKZ9	FEDERAL FARM CR Accr Int		02/13/2020	FFCB NOTES		8,583.33	-8,583.33	0.00
40321	COMM	SYS40321	BCREPO 1.55% MAT Interest		02/13/2020	BARCLAYS CAPITAL			8,611.10	8,611.10
40327	COMM	SYS40327	BCREPO 1.56% MAT Purchase		02/14/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40330	COMM	3133ELMD3	FEDERAL FARM CR Purchase		02/14/2020	FFCB NOTES	15,986,844.44			-15,986,844.44
40328	COMM	313384TF6	FHDN DISC NOTE Purchase		02/14/2020	FHLB DISCOUNT	149,975,833.32			-149,975,833.32
40331	COMM	3137EAE9	FEDERAL HOME LN Purchase		02/14/2020	FHLMC NOTES	24,980,750.00			-24,980,750.00
40329	COMM	71708EFC4	PFIZER DISC NOTE Purchase		02/14/2020	PFIZER	24,866,125.00			-24,866,125.00
40324	COMM	SYS40324	BCREPO 1.55% MAT Redemption		02/14/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40325	COMM	313384TB5	FHDN DISC NOTE Redemption		02/14/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
39739	COMM	3133EKZM1	FEDERAL FARM CR Interest		02/14/2020	FFCB NOTES			238,800.00	238,800.00
40324	COMM	SYS40324	BCREPO 1.55% MAT Interest		02/14/2020	BARCLAYS CAPITAL			8,611.10	8,611.10
40332	COMM	SYS40332	BCREPO 1.57% MAT Purchase		02/18/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40336	COMM	161571HP2	CHAIT 1.53% MAT Purchase		02/18/2020	CHASE ISSUANCE	26,993,814.30			-26,993,814.30
40333	COMM	313384TG4	FHDN DISC NOTE Purchase		02/18/2020	FHLB DISCOUNT	149,993,835.00			-149,993,835.00
40334	COMM	3134GVCZ9	FHLMC 1.7% MAT Purchase		02/18/2020	FHLMC NOTES	7,000,000.00			-7,000,000.00
40335	COMM	3134GVCZ9	FHLMC 1.7% MAT Purchase		02/18/2020	FHLMC NOTES	5,000,000.00			-5,000,000.00
40337	COMM	742651DP4	PEFCO 2.45% MAT Purchase		02/18/2020	PRIVATE EXPORT	11,846,386.61			-11,846,386.61
39755	COMM	31315KTF7	FAMCA DISC NOTE Redemption		02/18/2020	FARMER MAC		15,000,000.00		15,000,000.00
40327	COMM	SYS40327	BCREPO 1.56% MAT Redemption		02/18/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40328	COMM	313384TF6	FHDN DISC NOTE Redemption		02/18/2020	FHLB DISCOUNT		150,000,000.00		150,000,000.00
38462	COMM	3137EAE9	FEDERAL HOME LN Interest		02/18/2020	FHLMC NOTES			534,375.00	534,375.00

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39747	COMM	30231GBB7	EXXON MOBIL	Interest	02/18/2020	EXXON MOBIL			47,550.00	47,550.00
39751	COMM	3133EKZV1	FEDERAL FARM CR	Interest	02/18/2020	FFCB NOTES			155,000.00	155,000.00
39751	COMM	3133EKZV1	FEDERAL FARM CR	Accr Int	02/18/2020	FFCB NOTES		2,583.33	-2,583.33	0.00
40257	COMM	78012USR7	RBCNY 1.79963% Interest	Interest	02/18/2020	Royal Bank of			82,483.05	82,483.05
40327	COMM	SYS40327	BCREPO 1.56% MAT Interest	Interest	02/18/2020	BARCLAYS CAPITAL			34,666.65	34,666.65
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	02/18/2020	HONDA AUTO			508.88	508.88
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	02/18/2020	HONDA AUTO		410,899.08		410,899.08
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	02/18/2020	JOHN DEERE			1,212.63	1,212.63
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	02/18/2020	JOHN DEERE		392,202.40		392,202.40
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	02/18/2020	TOYOTA AUTO REC			1,813.81	1,813.81
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	02/18/2020	TOYOTA AUTO REC		463,530.71		463,530.71
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	02/18/2020	HONDA AUTO			14,233.77	14,233.77
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	02/18/2020	HONDA AUTO		1,218,476.92		1,218,476.92
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	02/18/2020	TOYOTA AUTO REC			28,066.34	28,066.34
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	02/18/2020	TOYOTA AUTO REC		1,581,388.08		1,581,388.08
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	02/18/2020	NISSAN AUTO			37,120.47	37,120.47
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	02/18/2020	NISSAN AUTO		1,353,158.84		1,353,158.84
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	02/18/2020	JOHN DEERE			13,867.01	13,867.01
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	02/18/2020	JOHN DEERE		679,534.83		679,534.83
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Interest	02/18/2020	American Express			100,125.00	100,125.00
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Redemption	02/18/2020	American Express		1,446,652.83		1,446,652.83
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Interest	02/18/2020	CHASE ISSUANCE			65,564.52	65,564.52
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Redemption	02/18/2020	CHASE ISSUANCE		1,025,958.61		1,025,958.61
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	02/18/2020	HONDA AUTO			30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	02/18/2020	HONDA AUTO		455,577.09		455,577.09
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	02/18/2020	TOYOTA AUTO REC			26,500.00	26,500.00
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	02/18/2020	TOYOTA AUTO REC		275,134.46		275,134.46
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	02/18/2020	HONDA AUTO			57,274.99	57,274.99
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	02/18/2020	HONDA AUTO		614,063.09		614,063.09
39062	COMM	05522RCZ9	BACCT 3.1% MAT	Interest	02/18/2020	BANK OF AMERICA			50,350.00	50,350.00
39062	COMM	05522RCZ9	BACCT 3.1% MAT	Redemption	02/18/2020	BANK OF AMERICA		500,685.06		500,685.06
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	02/18/2020	BANK OF AMERICA			38,750.00	38,750.00
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	02/18/2020	BANK OF AMERICA		342,902.70		342,902.70
39190	COMM	58772TAC4	MBALT 3.1% MAT	Interest	02/18/2020	MERCEDES-BENZ			25,833.33	25,833.33
39190	COMM	58772TAC4	MBALT 3.1% MAT	Redemption	02/18/2020	MERCEDES-BENZ		463,283.49		463,283.49
39220	COMM	05522RCY2	BACCT 2.7% MAT	Interest	02/18/2020	BANK OF AMERICA			56,250.00	56,250.00
39220	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	02/18/2020	BANK OF AMERICA		648,470.40		648,470.40
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	02/18/2020	HONDA AUTO			32,427.08	32,427.08
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	02/18/2020	HONDA AUTO		364,130.24		364,130.24
39320	COMM	05522RCY2	BACCT 2.7% MAT	Interest	02/18/2020	BANK OF AMERICA			45,000.00	45,000.00

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39320	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	02/18/2020	BANK OF AMERICA		519,771.82		519,771.82
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	02/18/2020	Nissan Auto Receivab			41,666.66	41,666.66
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	02/18/2020	Nissan Auto Receivab		444,256.99		444,256.99
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	02/18/2020	Capital One Prime Au			18,825.00	18,825.00
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	02/18/2020	Capital One Prime Au		199,884.93		199,884.93
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	02/18/2020	JOHN DEERE			16,114.59	16,114.59
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	02/18/2020	JOHN DEERE		186,979.82		186,979.82
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	02/18/2020	HONDA AUTO			10,754.16	10,754.16
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	02/18/2020	HONDA AUTO		170,534.15		170,534.15
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	02/18/2020	Capital One Multi Ex			50,166.66	50,166.66
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	02/18/2020	Capital One Multi Ex		640,692.98		640,692.98
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	02/18/2020	MERCEDES -BENZ			43,650.01	43,650.01
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	02/18/2020	MERCEDES -BENZ		541,107.14		541,107.14
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	02/18/2020	HYUNDAI AUTO			18,995.84	18,995.84
40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	02/18/2020	HYUNDAI AUTO		236,381.76		236,381.76
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	02/18/2020	TOYOTA AUTO REC			31,200.00	31,200.00
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	02/18/2020	TOYOTA AUTO REC		400,726.27		400,726.27
40062	COMM	58769OAC5	MBALT 2.2% MAT	Interest	02/18/2020	MERCEDES-BENZ			16,666.67	16,666.67
40062	COMM	58769OAC5	MBALT 2.2% MAT	Redemption	02/18/2020	MERCEDES-BENZ		299,843.95		299,843.95
40134	COMM	02007TAC9	ALLY 1.84% MAT	Interest	02/18/2020	ALLY Auto			19,933.33	19,933.33
40134	COMM	02007TAC9	ALLY 1.84% MAT	Redemption	02/18/2020	ALLY Auto		237,457.19		237,457.19
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Interest	02/18/2020	Harley-Davidson Moto			8,311.11	8,311.11
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Redemption	02/18/2020	Harley-Davidson Moto		169,502.04		169,502.04
40338	COMM	SYS40338	BCREPO 1.57% MAT	Purchase	02/19/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40340	COMM	14043MAC5	COPAR 1.6% MAT	Purchase	02/19/2020	Capital One Prime Au	24,994,685.00			-24,994,685.00
40339	COMM	313384TH2	FHDN DISC NOTE	Purchase	02/19/2020	FHDL DISCOUNT	149,993,833.32			-149,993,833.32
40341	COMM	36259KAD9	GMALT 1.67% MAT	Purchase	02/19/2020	GM Fincl Auto Leasi	4,999,550.00			-4,999,550.00
40332	COMM	SYS40332	BCREPO 1.57% MAT	Redemption	02/19/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40333	COMM	313384TG4	FHDN DISC NOTE	Redemption	02/19/2020	FHDL DISCOUNT	150,000,000.00			150,000,000.00
40332	COMM	SYS40332	BCREPO 1.57% MAT	Interest	02/19/2020	BARCLAYS CAPITAL			8,722.22	8,722.22
40342	COMM	SYS40342	BCREPO 1.59% MAT	Purchase	02/20/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40343	COMM	313384TJ8	FHDN DISC NOTE	Purchase	02/20/2020	FHDL DISCOUNT	149,993,833.32			-149,993,833.32
40338	COMM	SYS40338	BCREPO 1.57% MAT	Redemption	02/20/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40339	COMM	313384TH2	FHDN DISC NOTE	Redemption	02/20/2020	FHDL DISCOUNT	150,000,000.00			150,000,000.00
39756	COMM	3133EKC61	FEDERAL FARM CR	Interest	02/20/2020	FFCB NOTES			52,500.00	52,500.00
39757	COMM	3133EKC61	FEDERAL FARM CR	Interest	02/20/2020	FFCB NOTES			105,000.00	105,000.00
39758	COMM	3133EKC61	FEDERAL FARM CR	Interest	02/20/2020	FFCB NOTES			52,500.00	52,500.00
40338	COMM	SYS40338	BCREPO 1.57% MAT	Interest	02/20/2020	BARCLAYS CAPITAL			8,722.22	8,722.22
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Interest	02/20/2020	BMW VEHICLE			18,250.00	18,250.00
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Redemption	02/20/2020	BMW VEHICLE		239,090.87		239,090.87

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39612	COMM	92349GAA9	VERIZON OWNER	Interest	02/20/2020	VERIZON OWNER			67,958.35	67,958.35
39612	COMM	92349GAA9	VERIZON OWNER	Redemption	02/20/2020	VERIZON OWNER		752,149.81		752,149.81
39913	COMM	92867XAD8	VWALT 1.99% MAT	Interest	02/20/2020	Volkswagen Auto			17,412.50	17,412.50
39913	COMM	92867XAD8	VWALT 1.99% MAT	Redemption	02/20/2020	Volkswagen Auto		298,452.52		298,452.52
39920	COMM	92348AAA3	VZOT 1.94% MAT	Interest	02/20/2020	VERIZON OWNER			38,800.01	38,800.01
39920	COMM	92348AAA3	VZOT 1.94% MAT	Redemption	02/20/2020	VERIZON OWNER		467,555.45		467,555.45
40344	COMM	SVS40344	BCREPO 1.55% MAT Purchase		02/21/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40345	COMM	313384TQ2-2	FHDN DISC NOTE	Purchase	02/21/2020	FHLB DISCOUNT	149,962,995.00			-149,962,995.00
39304	COMM	05586FXA0	BNP P 2.69% MAT	Redemption	02/21/2020	BNP PARIBAS NY		50,000,000.00		50,000,000.00
40342	COMM	SVS40342	BCREPO 1.59% MAT	Redemption	02/21/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40343	COMM	313384TJ8	FHDN DISC NOTE	Redemption	02/21/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
39304	COMM	05586FXA0	BNP P 2.69% MAT	Interest	02/21/2020	BNP PARIBAS NY			1,288,958.34	1,288,958.34
40342	COMM	SVS40342	BCREPO 1.59% MAT	Interest	02/21/2020	BARCLAYS CAPITAL			8,833.32	8,833.32
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	02/21/2020	HONDA AUTO			5,495.70	5,495.70
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	02/21/2020	HONDA AUTO		565,213.97		565,213.97
39582	COMM	43815MAC0	HAROT 2.52% MAT	Interest	02/21/2020	HONDA AUTO			35,700.00	35,700.00
39582	COMM	43815MAC0	HAROT 2.52% MAT	Redemption	02/21/2020	HONDA AUTO		422,571.08		422,571.08
36583	COMM	3134G8J77	FEDERAL HOME LN	Redemption	02/24/2020	FHLMC NOTES	12,500,000.00			12,500,000.00
37131	COMM	3130A9W49	FEDERAL HOME	Redemption	02/24/2020	FHLMC NOTES	15,000,000.00			15,000,000.00
36583	COMM	3134G8J77	FEDERAL HOME LN	Interest	02/24/2020	FHLMC NOTES			93,750.00	93,750.00
37131	COMM	3130A9W49	FEDERAL HOME	Interest	02/24/2020	FHLMC NOTES			46,875.00	46,875.00
37817	COMM	3134GBPM8	FEDERAL HOME LN	Interest	02/24/2020	FHLMC NOTES			150,000.00	150,000.00
40136	COMM	3133ELAE4	FEDERAL FARM CR	Interest	02/24/2020	FFCB NOTES			81,250.00	81,250.00
40136	COMM	3133ELAE4	FEDERAL FARM CR	Accr Int	02/24/2020	FFCB NOTES		17,152.78	-17,152.78	0.00
38387	COMM	3137AYCE9	Interest	Interest	02/25/2020	FHLMC Multi-Family			44,700.00	44,700.00
38387	COMM	3137AYCE9	Redemption	Redemption	02/25/2020	FHLMC Multi-Family		672,004.34		672,004.34
38391	COMM	3137AYCE9	Interest	Interest	02/25/2020	FHLMC Multi-Family			16,762.50	16,762.50
38391	COMM	3137AYCE9	Redemption	Redemption	02/25/2020	FHLMC Multi-Family		251,875.04		251,875.04
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	02/25/2020	BMW VEHICLE			47,778.66	47,778.66
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	02/25/2020	BMW VEHICLE		2,304,330.62		2,304,330.62
38465	COMM	3137AWQH1	FHLMCM 2.307% MAT	Interest	02/25/2020	FHLMC Multi-Family			10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307% MAT	Redemption	02/25/2020	FHLMC Multi-Family		192,843.03		192,843.03
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	02/25/2020	FNMA Multi-Family			7,613.65	7,613.65
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	02/25/2020	FNMA Multi-Family		5,742.56		5,742.56
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	02/25/2020	FHLMC Multi-Family			55,274.58	55,274.58
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	02/25/2020	FHLMC Multi-Family		610,512.32		610,512.32
38664	COMM	3136B1XP4	FNMMAM 3.56% MAT	Interest	02/25/2020	FNMA Multi-Family			8,493.34	8,493.34
38664	COMM	3136B1XP4	FNMMAM 3.56% MAT	Redemption	02/25/2020	FNMA Multi-Family		24,076.26		24,076.26
38665	COMM	3136B1XP4	FNMMAM 3.56% MAT	Interest	02/25/2020	FNMA Multi-Family			8,493.34	8,493.34
38665	COMM	3136B1XP4	FNMMAM 3.56% MAT	Redemption	02/25/2020	FNMA Multi-Family		24,076.26		24,076.26

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38666	COMM	3137AYCE9		Interest	02/25/2020	FHLMC Multi-Family			26,250.08	26,250.08
38666	COMM	3137AYCE9	Redemption	Interest	02/25/2020	FHLMC Multi-Family		387,278.09		387,278.09
38744	COMM	3137AYCE9		Interest	02/25/2020	FHLMC Multi-Family			44,700.00	44,700.00
38744	COMM	3137AYCE9	Redemption	Interest	02/25/2020	FHLMC Multi-Family		659,711.80		659,711.80
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	02/25/2020	FHLMC Multi-Family			12,176.25	12,176.25
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	02/25/2020	FHLMC Multi-Family		129,046.06		129,046.06
38864	COMM	3137B5JM6	FHLMCM 3.531% Interest	Interest	02/25/2020	FHLMC Multi-Family			29,425.00	29,425.00
38864	COMM	3137B5JM6	FHLMCM 3.531% Interest	Redemption	02/25/2020	FHLMC Multi-Family		272,457.78		272,457.78
38945	COMM	3137B3NA2	FHLMC REMIC	Interest	02/25/2020	FHLMC Multi-Family			28,723.99	28,723.99
38945	COMM	3137B3NA2	FHLMC REMIC	Redemption	02/25/2020	FHLMC Multi-Family		304,653.07		304,653.07
39026	COMM	3137B5KW2	FHLMCM 3.458% Interest	Interest	02/25/2020	FHLMC Multi-Family			28,816.67	28,816.67
39026	COMM	3137B5KW2	FHLMCM 3.458% Interest	Redemption	02/25/2020	FHLMC Multi-Family		266,259.94		266,259.94
39150	COMM	31381TYT1	FNMMAM 2.75% MAT	Interest	02/25/2020	FNMA Multi-Family			69,543.65	69,543.65
39150	COMM	31381TYT1	FNMMAM 2.75% MAT	Redemption	02/25/2020	FNMA Multi-Family		62,447.07		62,447.07
39158	COMM	31381RZ23	FNMMAM 3.84% MAT	Interest	02/25/2020	FNMA Multi-Family			47,165.16	47,165.16
39158	COMM	31381RZ23	FNMMAM 3.84% MAT	Redemption	02/25/2020	FNMA Multi-Family		26,618.30		26,618.30
39218	COMM	31381RL6	FNMMAM 3.84% MAT	Interest	02/25/2020	FNMA Multi-Family			38,617.34	38,617.34
39218	COMM	31381RL6	FNMMAM 3.84% MAT	Redemption	02/25/2020	FNMA Multi-Family		20,246.98		20,246.98
39219	COMM	31381RL6	FNMMAM 3.84% MAT	Interest	02/25/2020	FNMA Multi-Family			6,446.12	6,446.12
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Interest	02/25/2020	FHLMC Multi-Family		3,379.69		3,379.69
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Redemption	02/25/2020	FHLMC Multi-Family		829,171.09		829,171.09
39319	COMM	3138LQKH8	FNMMAM 2.47% MAT	Interest	02/25/2020	FNMA Multi-Family			53,173.61	53,173.61
39319	COMM	3138LQKH8	FNMMAM 2.47% MAT	Redemption	02/25/2020	FNMA Multi-Family		612,367.13		612,367.13
39342	COMM	3137BOR90	FHLMCM 2.272% Interest	Interest	02/25/2020	FHLMC Multi-Family			44,303.99	44,303.99
39342	COMM	3137BOR90	FHLMCM 2.272% Interest	Redemption	02/25/2020	FHLMC Multi-Family		716,960.41		716,960.41
39457	COMM	3138EX67	FNMMAM 2.537% MAT	Interest	02/25/2020	FNMA Multi-Family			33,285.56	33,285.56
39457	COMM	3138EX67	FNMMAM 2.537% MAT	Redemption	02/25/2020	FNMA Multi-Family		32,817.59		32,817.59
39587	COMM	3138LEYD7	FNMMAM 1.97% MAT	Interest	02/25/2020	FNMA Multi-Family			31,728.30	31,728.30
39587	COMM	3138LEYD7	FNMMAM 1.97% MAT	Redemption	02/25/2020	FNMA Multi-Family		30,487.03		30,487.03
39609	COMM	3138LGF8	FNMMAM 2.15% MAT	Interest	02/25/2020	FNMA Multi-Family			9,256.94	9,256.94
39609	COMM	3138LGF8	FNMMAM 2.15% MAT	Redemption	02/25/2020	FNMA Multi-Family		124,470.09		124,470.09
39632	COMM	3138L2BU0	FNMMAM 2.31% MAT	Interest	02/25/2020	FNMA Multi-Family			13,460.07	13,460.07
39632	COMM	3138L2BU0	FNMMAM 2.31% MAT	Redemption	02/25/2020	FNMA Multi-Family		12,851.55		12,851.55
39654	COMM	3137BP4J5	FNMMAM 2.446% MAT	Interest	02/25/2020	FHLMC Multi-Family			44,141.73	44,141.73
39654	COMM	3137BP4J5	FNMMAM 2.446% MAT	Redemption	02/25/2020	FHLMC Multi-Family		244,833.92		244,833.92
39721	COMM	3137BOR90	FHLMCM 2.272% Interest	Interest	02/25/2020	FHLMC Multi-Family			6,778.13	6,778.13
39721	COMM	3137BOR90	FHLMCM 2.272% Interest	Redemption	02/25/2020	FHLMC Multi-Family		111,773.78		111,773.78
39734	COMM	3138LOU90	FNMMAM 2.59% MAT	Interest	02/25/2020	FNMA Multi-Family			11,151.39	11,151.39
39734	COMM	3138LOU90	FNMMAM 2.59% MAT	Redemption	02/25/2020	FNMA Multi-Family		179,048.19		179,048.19

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39735	COMM	31381VB3	FNMM 2.83% MAT	Interest	02/25/2020	FNMA Multi-Family			12,256.41	12,256.41
39735	COMM	31381VB3	FNMM 2.83% MAT	Redemption	02/25/2020	FNMA Multi-Family		11,873.88		11,873.88
39844	COMM	3138LORM5	FNMM 2.135% MAT	Interest	02/25/2020	FNMA Multi-Family			16,184.86	16,184.86
39844	COMM	3138LORM5	FNMM 2.135% MAT	Redemption	02/25/2020	FNMA Multi-Family		20,651.80		20,651.80
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	02/25/2020	BMW VEHICLE			27,600.00	27,600.00
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	02/25/2020	BMW VEHICLE		360,142.11		360,142.11
40056	COMM	3136A9MN5	FNMM 2.301% MAT	Interest	02/25/2020	FNMA Multi-Family			23,553.43	23,553.43
40056	COMM	3136A9MN5	FNMM 2.301% MAT	Redemption	02/25/2020	FNMA Multi-Family		532,793.87		532,793.87
40293	COMM	3138LORC7	FNMM 2.35% MAT	Interest	02/25/2020	FNMA Multi-Family			13,648.09	13,648.09
40293	COMM	3138LORC7	FNMM 2.35% MAT	Redemption	02/25/2020	FNMA Multi-Family		13,032.75		13,032.75
40293	COMM	3138LORC7	FNMM 2.35% MAT	Interest	02/25/2020	FNMA Multi-Family			-11,887.05	-11,887.05
40293	COMM	3138LORC7	FNMM 2.35% MAT	Redemption	02/25/2020	FNMA Multi-Family				0.00
40346	COMM	43813RAC1	HAROT 1.61% MAT	Purchase	02/26/2020	HONDA AUTO	16,496,766.00			-16,496,766.00
39773	COMM	3134GT3M3	FHLMC 2.05% MAT	Redemption	02/26/2020	FHLMC NOTES		25,000,000.00		25,000,000.00
38466	COMM	3134GSFE0	FEDERAL HOME LN	Interest	02/26/2020	FHLMC NOTES			250,000.00	250,000.00
39773	COMM	3134GT3M3	FHLMC 2.05% MAT	Interest	02/26/2020	FHLMC NOTES			256,250.00	256,250.00
40348	COMM	SYS40348	BCREPO 1.57% MAT	Purchase	02/27/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40347	COMM	5148X0BU8	LANDES DISC NOTE	Purchase	02/27/2020	LANDES	74,996,729.16			-74,996,729.16
40344	COMM	SYS40344	BCREPO 1.55% MAT	Redemption	02/27/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40345	COMM	313384TQ2-2	FHDN DISC NOTE	Redemption	02/27/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40344	COMM	SYS40344	BCREPO 1.55% MAT	Interest	02/27/2020	BARCLAYS CAPITAL			51,666.68	51,666.68
40350	COMM	313384TU3-2	FHDN DISC NOTE	Purchase	02/28/2020	FHLB DISCOUNT	149,983,500.00			-149,983,500.00
40349	COMM	5148X0C29	LANDES DISC NOTE	Purchase	02/28/2020	LANDES	99,986,916.66			-99,986,916.66
38859	COMM	3130AC2P8	FEDERAL HOME	Redemption	02/28/2020	FHLB NOTES		9,798,600.00		9,798,600.00
38859	COMM	3130AC2P8	FEDERAL HOME	Cap G/L	02/28/2020	FHLB NOTES		201,400.00		201,400.00
39635	COMM	7426M2BU4	PEFCO ZERO CPN	Redemption	02/28/2020	PRIVATE EXPORT		3,500,000.00		3,500,000.00
40187	COMM	313384TR0	FHDN DISC NOTE	Redemption	02/28/2020	FHLB DISCOUNT		50,000,000.00		50,000,000.00
40347	COMM	5148X0BU8	LANDES DISC NOTE	Redemption	02/28/2020	LANDES		75,000,000.00		75,000,000.00
40348	COMM	SYS40348	BCREPO 1.57% MAT	Redemption	02/28/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
37578	COMM	3134GA5T7	FHLMC 2.125% MAT	Interest	02/28/2020	FHLMC NOTES			37,187.50	37,187.50
37579	COMM	3134GA5T7	FHLMC 2.125% MAT	Interest	02/28/2020	FHLMC NOTES			212,500.00	212,500.00
38016	COMM	3130ABZE9	FEDERAL HOME	Interest	02/28/2020	FHLB NOTES			69,300.00	69,300.00
38859	COMM	3130AC2P8	FEDERAL HOME	Interest	02/28/2020	FHLB NOTES			90,000.00	90,000.00
40348	COMM	SYS40348	BCREPO 1.57% MAT	Interest	02/28/2020	BARCLAYS CAPITAL			8,722.22	8,722.22
40351	COMM	SYS40351	BCREPO 1.56% MAT	Purchase	03/02/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40352	COMM	313384TV1	FHDN DISC NOTE	Purchase	03/02/2020	FHLB DISCOUNT	149,993,750.01			-149,993,750.01
37502	COMM	3133EHBA0	FEDERAL FARM CR	Redemption	03/02/2020	FFCB NOTES		20,000,000.00		20,000,000.00
40349	COMM	5148X0C29	LANDES DISC NOTE	Redemption	03/02/2020	LANDES	100,000,000.00			100,000,000.00
40350	COMM	313384TU3-2	FHDN DISC NOTE	Redemption	03/02/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
37502	COMM	3133EHBA0	FEDERAL FARM CR	Interest	03/02/2020	FFCB NOTES			152,000.00	152,000.00

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38024	COMM	3133EHW1	FEDERAL FARM CR Interest	Interest	03/02/2020	FFCB NOTES			25,500.00	25,500.00
38025	COMM	3133EHW1	FEDERAL FARM CR Interest	Interest	03/02/2020	FFCB NOTES			42,500.00	42,500.00
38026	COMM	3133EHW1	FEDERAL FARM CR Interest	Interest	03/02/2020	FFCB NOTES			59,500.00	59,500.00
38368	COMM	3133EFF28	FEDERAL FARM CR Interest	Interest	03/02/2020	FFCB NOTES			43,271.25	43,271.25
38852	COMM	30231GAV4	EXXON MOBIL Interest	Interest	03/02/2020	EXXON MOBIL			111,100.00	111,100.00
39951	COMM	30231GAV4	EXXON MOBIL Interest	Interest	03/02/2020	EXXON MOBIL			132,908.93	132,908.93
39951	COMM	30231GAV4	EXXON MOBIL Accr Int	Accr Int	03/02/2020	EXXON MOBIL		37,657.53	-37,657.53	0.00
40353	COMM	SYS40353	BCREPO 1.63% MAT Purchase	Purchase	03/03/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40354	COMM	313384TW9	FHFN DISC NOTE Purchase	Purchase	03/03/2020	FHFN DISCOUNT	149,993,541.66			-149,993,541.66
37503	COMM	166764BP4	CHEVRON CORP Redemption	Redemption	03/03/2020	CHEVRON CORP.		8,000,000.00		8,000,000.00
40351	COMM	SYS40351	BCREPO 1.56% MAT Redemption	Redemption	03/03/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40352	COMM	313384TV1	FHFN DISC NOTE Redemption	Redemption	03/03/2020	FHFN DISCOUNT	150,000,000.00			150,000,000.00
37503	COMM	166764BP4	CHEVRON CORP Interest	Interest	03/03/2020	CHEVRON CORP.			79,640.00	79,640.00
39105	COMM	3133EJY60	FEDERAL FARM CR Interest	Interest	03/03/2020	FFCB NOTES			188,750.00	188,750.00
39573	COMM	166764BN9	CHEVRON CORP Interest	Interest	03/03/2020	CHEVRON CORP.			110,973.65	110,973.65
39801	COMM	3133EKH66	FEDERAL FARM CR Interest	Interest	03/03/2020	FFCB NOTES			185,000.00	185,000.00
40031	COMM	478160CD4	JOHNSON & JOHNSON Interest	Interest	03/03/2020	JOHNSON & JOHNSON			218,756.25	218,756.25
40351	COMM	478160CD4	JOHNSON & JOHNSON Accr Int	Accr Int	03/03/2020	JOHNSON & JOHNSON		83,856.56	-83,856.56	0.00
34292	COMM	SYS34292	BCREPO 1.56% MAT Interest	Interest	03/03/2020	BARCLAYS CAPITAL			8,666.67	8,666.67
34292	COMM	SYS34292	MSTI 0.0% Interest	Interest	03/03/2020	MORGAN STANLEY			316,241.61	316,241.61
37590	COMM	SYS37590	JPM TE 0.44% Interest	Interest	03/03/2020	MORGAN STANLEY	316,241.61		419,802.84	-419,802.84
37590	COMM	SYS37590	JPM TE 0.44% Purchase	Purchase	03/03/2020	JP MORGAN US		419,802.84		-419,802.84
40355	COMM	SYS40355	BCREPO 1.22% MAT Purchase	Purchase	03/04/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40356	COMM	313384TX7	FHFN DISC NOTE Purchase	Purchase	03/04/2020	FHFN DISCOUNT	149,995,625.01			-149,995,625.01
40353	COMM	SYS40353	BCREPO 1.63% MAT Redemption	Redemption	03/04/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40354	COMM	313384TW9	FHFN DISC NOTE Redemption	Redemption	03/04/2020	FHFN DISCOUNT	150,000,000.00			150,000,000.00
40353	COMM	SYS40353	BCREPO 1.63% MAT Interest	Interest	03/04/2020	BARCLAYS CAPITAL			9,055.55	9,055.55
40357	COMM	SYS40357	BCREPO 1.1% MAT Purchase	Purchase	03/05/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40358	COMM	313384TV5	FHFN DISC NOTE Purchase	Purchase	03/05/2020	FHFN DISCOUNT	149,996,041.68			-149,996,041.68
40355	COMM	SYS40355	BCREPO 1.22% MAT Redemption	Redemption	03/05/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40356	COMM	313384TX7	FHFN DISC NOTE Redemption	Redemption	03/05/2020	FHFN DISCOUNT	150,000,000.00			150,000,000.00
40355	COMM	SYS40355	BCREPO 1.22% MAT Interest	Interest	03/05/2020	BARCLAYS CAPITAL			6,777.77	6,777.77
40359	COMM	SYS40359	BCREPO 1.08% MAT Purchase	Purchase	03/06/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40360	COMM	313384UB3	FHFN DISC NOTE Purchase	Purchase	03/06/2020	FHFN DISCOUNT	149,988,125.01			-149,988,125.01
35847	COMM	3135GOUU5	FEDERAL NATL MTGR Redemption	Redemption	03/06/2020	FNMA NOTES		7,000,000.00		7,000,000.00
35856	COMM	3135GOUU5	FEDERAL NATL MTGR Redemption	Redemption	03/06/2020	FNMA NOTES		14,584,000.00		14,584,000.00
39800	COMM	90275DJV3	UBS AG STAMFORD Redemption	Redemption	03/06/2020	UBS AG STAMFORD		25,000,000.00		25,000,000.00
40357	COMM	SYS40357	BCREPO 1.1% MAT Redemption	Redemption	03/06/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40358	COMM	313384TV5	FHFN DISC NOTE Redemption	Redemption	03/06/2020	FHFN DISCOUNT	150,000,000.00			150,000,000.00

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35847	COMM	3135G0U05	FEDERAL NATL MTGInterest		03/06/2020	FNMA NOTES			61,250.00	61,250.00
35856	COMM	3135G0U05	FEDERAL NATL MTGInterest		03/06/2020	FNMA NOTES			127,610.00	127,610.00
39800	COMM	90275DJV3	UBS AG STAMFORD Interest		03/06/2020	UBS AG STAMFORD			256,944.45	256,944.45
40357	COMM	SYS40357	BCREPO 1.1% MAT Interest		03/06/2020	BARCLAYS CAPITAL			6,111.11	6,111.11
40361	COMM	SYS40361	BCREPO 1.08% MAT Purchase		03/09/2020	BARCLAYS CAPITAL	200,000,000.00		-200,000,000.00	-200,000,000.00
40362	COMM	313384UC1	FHDN DISC NOTE Purchase		03/09/2020	FHLB DISCOUNT	149,996,041.68		-149,996,041.68	-149,996,041.68
40363	COMM	71708EHA6	PFIZER DISC NOTE Purchase		03/09/2020	PFIZER	49,807,500.00		-49,807,500.00	-49,807,500.00
40359	COMM	SYS40359	BCREPO 1.08% MAT Redemption		03/09/2020	BARCLAYS CAPITAL		200,000,000.00	200,000,000.00	200,000,000.00
40360	COMM	313384UB3	FHDN DISC NOTE Redemption		03/09/2020	FHLB DISCOUNT		150,000,000.00	150,000,000.00	150,000,000.00
39138	COMM	3130ABFD3	FEDERAL HOME Interest		03/09/2020	FHLB NOTES		98,437.50	98,437.50	98,437.50
39362	COMM	3130AB3H7	FEDERAL HOME Interest		03/09/2020	FHLB NOTES		169,218.75	169,218.75	169,218.75
39936	COMM	3130A3DL5	FEDERAL HOME Interest		03/09/2020	FHLB NOTES		118,037.50	118,037.50	118,037.50
39936	COMM	3130A3DL5	FEDERAL HOME Accr Int		03/09/2020	FHLB NOTES		-24,919.03	-24,919.03	0.00
40359	COMM	SYS40359	BCREPO 1.08% MAT Interest		03/09/2020	BARCLAYS CAPITAL		24,919.03	24,919.03	24,919.03
40364	COMM	SYS40364	BCREPO 1.1% MAT Purchase		03/10/2020	BARCLAYS CAPITAL	200,000,000.00		18,000.01	18,000.01
40365	COMM	313384UD9	FHDN DISC NOTE Purchase		03/10/2020	BARCLAYS CAPITAL	200,000,000.00		-200,000,000.00	-200,000,000.00
40366	COMM	45920FFS2	IBM DISC NOTE MATPurchase		03/10/2020	FHLB DISCOUNT	149,995,833.33		-149,995,833.33	-149,995,833.33
40361	COMM	SYS40361	BCREPO 1.08% MAT Redemption		03/10/2020	BARCLAYS CAPITAL	49,851,500.00		-49,851,500.00	-49,851,500.00
40362	COMM	313384UC1	FHDN DISC NOTE Redemption		03/10/2020	IBM		200,000,000.00	200,000,000.00	200,000,000.00
34292	COMM	SYS34292	MSTI 0.0% Redemption		03/10/2020	MORGAN STANLEY	275,944,976.25		275,944,976.25	275,944,976.25
39786	COMM	313378JP7	FEDERAL HOME Interest		03/10/2020	FHLB NOTES		64,659.38	64,659.38	64,659.38
40361	COMM	SYS40361	BCREPO 1.08% MAT Interest		03/10/2020	BARCLAYS CAPITAL	200,000,000.00		6,000.00	6,000.00
40367	COMM	SYS40367	BCREPO 1.13% MAT Purchase		03/11/2020	BARCLAYS CAPITAL	45,549,175.00		-200,000,000.00	-200,000,000.00
40370	COMM	17325FAN8	CITINA 3.05% MAT Purchase		03/11/2020	CITI BANK NA	149,995,833.33		-45,549,175.00	-45,549,175.00
40368	COMM	313384UE7	FHDN DISC NOTE Purchase		03/11/2020	FHLB DISCOUNT	3,500,000.00		-149,995,833.33	-149,995,833.33
40369	COMM	3130AJCR8	FEDERAL HOME Purchase		03/11/2020	FHLB NOTES			-3,500,000.00	-3,500,000.00
40371	COMM	45920FFS2	IBM DISC NOTE MATPurchase		03/11/2020	IBM	24,921,979.17		-24,921,979.17	-24,921,979.17
38310	COMM	3133EGYC3	FEDERAL FARM CR Redemption		03/11/2020	FFCB NOTES		8,302,050.00	8,302,050.00	8,302,050.00
38310	COMM	3133EGYC3	FEDERAL FARM CR Cap G/L		03/11/2020	FFCB NOTES		247,950.00	247,950.00	247,950.00
38346	COMM	3133EGKD6	FEDERAL FARM CR Redemption		03/11/2020	FFCB NOTES	6,850,480.00		6,850,480.00	6,850,480.00
38346	COMM	3133EGKD6	FEDERAL FARM CR Cap G/L		03/11/2020	FFCB NOTES	149,520.00		149,520.00	149,520.00
38368	COMM	3133EFF28	FEDERAL FARM CR Redemption		03/11/2020	FFCB NOTES	5,157,146.25		5,157,146.25	5,157,146.25
38368	COMM	3133EFF28	FEDERAL FARM CR Cap G/L		03/11/2020	FFCB NOTES	87,853.75		87,853.75	87,853.75
40364	COMM	SYS40364	BCREPO 1.1% MAT Redemption		03/11/2020	BARCLAYS CAPITAL	200,000,000.00		200,000,000.00	200,000,000.00
40365	COMM	313384UD9	FHDN DISC NOTE Redemption		03/11/2020	FHLB DISCOUNT	150,000,000.00		150,000,000.00	150,000,000.00
36993	COMM	313380WG8	FEDERAL HOME Interest		03/11/2020	FHLB NOTES		134,062.50	134,062.50	134,062.50
37022	COMM	313380WG8	FEDERAL HOME Interest		03/11/2020	FHLB NOTES		82,500.00	82,500.00	82,500.00
37054	COMM	313380WG8	FEDERAL HOME Interest		03/11/2020	FHLB NOTES		68,750.00	68,750.00	68,750.00
37072	COMM	313380WG8	FEDERAL HOME Interest		03/11/2020	FHLB NOTES		95,287.50	95,287.50	95,287.50
37726	COMM	313378CR0	FHLB 2.25% MAT Interest		03/11/2020	FHLB NOTES		281,250.00	281,250.00	281,250.00

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38269	COMM	3130A6619	FEDERAL HOME	Interest	03/11/2020	FHLB NOTES			203,125.00	203,125.00
38310	COMM	3133EGYC3	FEDERAL FARM CR	Interest	03/11/2020	FFCB NOTES			61,220.37	61,220.37
38346	COMM	3133EGKD6	FEDERAL FARM CR	Interest	03/11/2020	FFCB NOTES			49,291.66	49,291.66
38368	COMM	3133EFF28	FEDERAL FARM CR	Interest	03/11/2020	FFCB NOTES			2,403.96	2,403.96
38436	COMM	313370US5	FEDERAL HOME	Interest	03/11/2020	FHLB NOTES			71,875.00	71,875.00
39296	COMM	3133EKCS3	FEDERAL FARM CR	Interest	03/11/2020	FFCB NOTES			255,000.00	255,000.00
39831	COMM	03783DL1	APPLE INC, SR GLBL	Interest	03/11/2020	APPLE INC			59,500.00	59,500.00
39832	COMM	3133EKM94	FEDERAL FARM CR	Interest	03/11/2020	FFCB NOTES			142,500.00	142,500.00
39833	COMM	3133EKM94	FEDERAL FARM CR	Interest	03/11/2020	FFCB NOTES			142,500.00	142,500.00
39834	COMM	3130AH2B8	FEDERAL HOME	Interest	03/11/2020	FHLB NOTES			197,000.00	197,000.00
40135	COMM	3133ELCS1	FEDERAL FARM CR	Interest	03/11/2020	FFCB NOTES			55,993.75	55,993.75
40364	COMM	SYS40364	BCREPO 1.1% MAT	Interest	03/11/2020	BARCLAYS CAPITAL			6,111.13	6,111.13
40374	COMM	SYS40374	BCREPO 1.2% MAT	Purchase	03/12/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40375	COMM	3133ELSU9	FEDERAL FARM CR	Purchase	03/12/2020	FFCB NOTES	15,756,060.00			-15,756,060.00
40373	COMM	313384UF4	FHDN DISC NOTE	Purchase	03/12/2020	FHLB DISCOUNT	149,995,833.33			-149,995,833.33
40372	COMM	19121ANU1	KOPP ZERO CPN	Purchase	03/12/2020	Coca-Cola Co	49,517,000.00			-49,517,000.00
39845	COMM	3136G4TX1	FEDERAL NATL MTG	Redemption	03/12/2020	FNMA NOTES		24,987,500.00		24,987,500.00
39845	COMM	3136G4TX1	FEDERAL NATL MTGCap	G/L	03/12/2020	FNMA NOTES		12,500.00		12,500.00
40367	COMM	SYS40367	BCREPO 1.13% MAT	Redemption	03/12/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40368	COMM	313384UE7	FHDN DISC NOTE	Redemption	03/12/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
37711	COMM	313382K69	FEDERAL HOME	Interest	03/12/2020	FHLB NOTES			226,275.00	226,275.00
39845	COMM	3136G4TX1	FEDERAL NATL MTG	Interest	03/12/2020	FNMA NOTES			265,625.00	265,625.00
39845	COMM	3136G4TX1	FEDERAL NATL MTGAccr	Int	03/12/2020	FNMA NOTES		1,475.69	-1,475.69	0.00
40367	COMM	SYS40367	BCREPO 1.13% MAT	Interest	03/12/2020	BARCLAYS CAPITAL			6,277.78	6,277.78
40377	COMM	SYS40377	BCREPO 1.08% MAT	Purchase	03/13/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40376	COMM	313384UJ6	FHDN DISC NOTE	Purchase	03/13/2020	FHLB DISCOUNT	149,986,875.00			-149,986,875.00
40379	COMM	742651DX7	PRIVATE EXPT FDG	Purchase	03/13/2020	PRIVATE EXPORT	12,103,947.45			-12,103,947.45
40378	COMM	2546R2JW7	WALTPP ZERO CPN	Purchase	03/13/2020	Walt Disney Company	29,812,400.00			-29,812,400.00
36756	COMM	313378J77	FEDERAL HOME	Redemption	03/13/2020	FHLB NOTES		15,000,000.00		15,000,000.00
36760	COMM	313378J77	FEDERAL HOME	Redemption	03/13/2020	FHLB NOTES		6,000,000.00		6,000,000.00
36886	COMM	313378J77	FEDERAL HOME	Redemption	03/13/2020	FHLB NOTES		15,000,000.00		15,000,000.00
37778	COMM	313378J77	FEDERAL HOME	Redemption	03/13/2020	FHLB NOTES		14,000,000.00		14,000,000.00
39884	COMM	7426M2CD1	PEFCO DISC NOTE	Redemption	03/13/2020	PRIVATE EXPORT	13,100,000.00			13,100,000.00
40373	COMM	313384UF4	FHDN DISC NOTE	Redemption	03/13/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40374	COMM	SYS40374	BCREPO 1.2% MAT	Redemption	03/13/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
36756	COMM	313378J77	FEDERAL HOME	Interest	03/13/2020	FHLB NOTES			140,625.00	140,625.00
36760	COMM	313378J77	FEDERAL HOME	Interest	03/13/2020	FHLB NOTES			56,250.00	56,250.00
36886	COMM	313378J77	FEDERAL HOME	Interest	03/13/2020	FHLB NOTES			140,625.00	140,625.00
37778	COMM	313378J77	FEDERAL HOME	Interest	03/13/2020	FHLB NOTES			131,250.00	131,250.00
38878	COMM	3133EJZH5	FEDERAL FARM CR	Interest	03/13/2020	FFCB NOTES			196,000.00	196,000.00

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39852	COMM	3130A2UW4	FEDERAL HOME	Interest	03/13/2020	FHLB NOTES			143,750.00	143,750.00
39852	COMM	3130A2UW4	FEDERAL HOME	Accr Int	03/13/2020	FHLB NOTES		2,395.83	-2,395.83	0.00
39985	COMM	3130A2UW4	FEDERAL HOME	Interest	03/13/2020	FHLB NOTES			431,250.00	431,250.00
39985	COMM	3130A2UW4	FEDERAL HOME	Accr Int	03/13/2020	FHLB NOTES		110,208.33	-110,208.33	0.00
40374	COMM	SV540374	BCREPO 1.2% MAT	Interest	03/13/2020	BARCLAYS CAPITAL				
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Interest	03/15/2020	American Express			6,666.66	6,666.66
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Redemption	03/15/2020	American Express				0.00
40380	COMM	SYS40380	BCREPO 0.22% MAT	Purchase	03/16/2020	BARCLAYS CAPITAL	200,000,000.00			0.00
40384	COMM	06367BJ77	BMOCHI 1.25% MAT	Purchase	03/16/2020	BANK OF	25,000,000.00			-200,000,000.00
40381	COMM	313384UK3	FHDM DISC NOTE	Purchase	03/16/2020	FHLB DISCOUNT	149,998,333.32			-25,000,000.00
40385	COMM	3137BUWM6	FHLMCM 2.932%	Purchase	03/16/2020	FHLMC Multi-Family	16,808,953.13			-149,998,333.32
40382	COMM	58934AFS0	MERCK DISC NOTE	Purchase	03/16/2020	MERCK & CO	39,832,266.67			-16,808,953.13
40383	COMM	30229AHX0	XON DISC NOTE	Purchase	03/16/2020	Exxon Mobil Group	49,675,666.67			-39,832,266.67
36688	COMM	3133EF2L0	FEDERAL FARM CR	Redemption	03/16/2020	FFCB NOTES	20,000,000.00	20,000,000.00		-49,675,666.67
36689	COMM	3133EF2L0	FEDERAL FARM CR	Redemption	03/16/2020	FFCB NOTES	15,000,000.00	15,000,000.00		20,000,000.00
36690	COMM	3133EF2L0	FEDERAL FARM CR	Redemption	03/16/2020	FFCB NOTES	15,000,000.00	15,000,000.00		15,000,000.00
38503	COMM	880591EV0	TENNESSEE	Redemption	03/16/2020	TENNESSEE	20,000,000.00	20,000,000.00		20,000,000.00
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Redemption	03/16/2020	American Express	44,994,775.50	44,994,775.50		44,994,775.50
38815	COMM	880591EV0	TENNESSEE	Redemption	03/16/2020	TENNESSEE	10,000,000.00	10,000,000.00		10,000,000.00
40376	COMM	313384UJ6	FHDM DISC NOTE	Redemption	03/16/2020	FHLB DISCOUNT	150,000,000.00	150,000,000.00		150,000,000.00
40377	COMM	SV540377	BCREPO 1.08% MAT	Redemption	03/16/2020	BARCLAYS CAPITAL	200,000,000.00	200,000,000.00		200,000,000.00
36688	COMM	3133EF2L0	FEDERAL FARM CR	Interest	03/16/2020	FFCB NOTES			119,000.00	119,000.00
36689	COMM	3133EF2L0	FEDERAL FARM CR	Interest	03/16/2020	FFCB NOTES			89,250.00	89,250.00
36690	COMM	3133EF2L0	FEDERAL FARM CR	Interest	03/16/2020	FFCB NOTES			89,250.00	89,250.00
37509	COMM	3136G4MD2	FEDERAL NATL MTG	Interest	03/16/2020	FNMA NOTES			27,750.00	27,750.00
38503	COMM	880591EV0	TENNESSEE	Interest	03/16/2020	TENNESSEE			225,000.00	225,000.00
38815	COMM	880591EV0	TENNESSEE	Interest	03/16/2020	TENNESSEE			112,500.00	112,500.00
38830	COMM	084670BQ0	BERKSHIRE	Interest	03/16/2020	BERKSHIRE			110,000.00	110,000.00
39332	COMM	89233P5F9	TOYO 3.4% MAT	Interest	03/16/2020	TOYOTA MOTOR			190,621.00	190,621.00
39544	COMM	084670BR8	BERKSHIRE	Interest	03/16/2020	BERKSHIRE			228,580.00	228,580.00
39853	COMM	3133EKN93	FEDERAL FARM CR	Interest	03/16/2020	FFCB NOTES			147,000.00	147,000.00
39998	COMM	30231GAC6	EXXON MOBIL	Interest	03/16/2020	EXXON MOBIL			79,400.00	79,400.00
39998	COMM	30231GAC6	EXXON MOBIL	Accr Int	03/16/2020	EXXON MOBIL		20,291.11	-20,291.11	0.00
40080	COMM	084670BR8	BERKSHIRE	Interest	03/16/2020	BERKSHIRE			297,316.25	297,316.25
40080	COMM	084670BR8	BERKSHIRE	Accr Int	03/16/2020	BERKSHIRE		117,274.74	-117,274.74	0.00
40257	COMM	78012USR7	RBCNY 1.79963%	Interest	03/16/2020	Royal Bank of			67,059.40	67,059.40
40377	COMM	SV540377	BCREPO 1.08% MAT	Interest	03/16/2020	BARCLAYS CAPITAL			18,000.00	18,000.00
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	03/16/2020	JOHN DEERE			630.86	630.86
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	03/16/2020	JOHN DEERE		278,500.21		278,500.21
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	03/16/2020	TOYOTA AUTO REC			1,145.56	1,145.56

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37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	03/16/2020	TOYOTA AUTO REC		430,067.94		430,067.94
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	03/16/2020	HONDA AUTO			12,527.90	12,527.90
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	03/16/2020	HONDA AUTO		1,123,437.98		1,123,437.98
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	03/16/2020	TOYOTA AUTO REC			25,522.94	25,522.94
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	03/16/2020	TOYOTA AUTO REC		1,446,004.34		1,446,004.34
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	03/16/2020	NISSAN AUTO			34,132.26	34,132.26
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	03/16/2020	NISSAN AUTO		1,193,511.16		1,193,511.16
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	03/16/2020	JOHN DEERE			12,360.71	12,360.71
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	03/16/2020	JOHN DEERE		455,385.64		455,385.64
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Interest	03/16/2020	American Express			100,125.00	100,125.00
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Redemption	03/16/2020	American Express		1,499,456.84		1,499,456.84
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Interest	03/16/2020	CHASE ISSUANCE			51,573.38	51,573.38
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Redemption	03/16/2020	CHASE ISSUANCE		1,056,123.41		1,056,123.41
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	03/16/2020	TOYOTA AUTO REC			26,500.00	26,500.00
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	03/16/2020	TOYOTA AUTO REC		283,901.77		283,901.77
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	03/16/2020	HONDA AUTO			57,274.99	57,274.99
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	03/16/2020	HONDA AUTO		633,988.69		633,988.69
39062	COMM	05522RCZ9	BACCT 3.3% MAT	Interest	03/16/2020	BANK OF AMERICA			50,350.00	50,350.00
39062	COMM	05522RCZ9	BACCT 3.3% MAT	Redemption	03/16/2020	BANK OF AMERICA		514,712.53		514,712.53
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	03/16/2020	BANK OF AMERICA			38,750.00	38,750.00
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	03/16/2020	BANK OF AMERICA		352,351.79		352,351.79
39190	COMM	58772TAC4	MBALT 3.1% MAT	Interest	03/16/2020	MERCEDES-BENZ			25,833.33	25,833.33
39190	COMM	58772TAC4	MBALT 3.1% MAT	Redemption	03/16/2020	MERCEDES-BENZ		486,532.42		486,532.42
39220	COMM	05522RCY2	BACCT 2.7% MAT	Interest	03/16/2020	BANK OF AMERICA			56,250.00	56,250.00
39220	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	03/16/2020	BANK OF AMERICA		666,473.79		666,473.79
39320	COMM	05522RCY2	BACCT 2.7% MAT	Interest	03/16/2020	BANK OF AMERICA			45,000.00	45,000.00
39320	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	03/16/2020	BANK OF AMERICA		534,202.17		534,202.17
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	03/16/2020	Nissan Auto Receivab			41,666.66	41,666.66
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	03/16/2020	Nissan Auto Receivab		457,224.60		457,224.60
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	03/16/2020	Capital One Prime Au			18,825.00	18,825.00
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	03/16/2020	Capital One Prime Au		205,720.47		205,720.47
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	03/16/2020	JOHN DEERE			16,114.59	16,114.59
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	03/16/2020	JOHN DEERE		192,468.17		192,468.17
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	03/16/2020	HONDA AUTO			10,754.16	10,754.16
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	03/16/2020	HONDA AUTO		175,740.79		175,740.79
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	03/16/2020	Capital One Multi Ex			50,166.66	50,166.66
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	03/16/2020	Capital One Multi Ex		658,253.41		658,253.41
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	03/16/2020	MERCEDES-BENZ			43,650.01	43,650.01
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	03/16/2020	MERCEDES-BENZ		556,575.86		556,575.86
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	03/16/2020	HYUNDAI AUTO			18,995.84	18,995.84

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40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	03/16/2020	HYUNDAI AUTO		243,307.63		243,307.63
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	03/16/2020	TOYOTA AUTO REC			31,200.00	31,200.00
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	03/16/2020	TOYOTA AUTO REC		412,571.38		412,571.38
40062	COMM	58769OAC5	MBALT 2.2% MAT	Interest	03/16/2020	MERCEDES-BENZ			16,666.67	16,666.67
40062	COMM	58769OAC5	MBALT 2.2% MAT	Redemption	03/16/2020	MERCEDES-BENZ		310,939.06		310,939.06
40134	COMM	02007TAC9	ALLY 1.84% MAT	Interest	03/16/2020	ALLY Auto			19,933.33	19,933.33
40134	COMM	02007TAC9	ALLY 1.84% MAT	Redemption	03/16/2020	ALLY Auto		244,138.12		244,138.12
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Interest	03/16/2020	Harley-Davidson Moto			15,583.33	15,583.33
40294	COMM	41284UAD6	HDMOT 1.87% MAT	Redemption	03/16/2020	Harley-Davidson Moto		174,276.41		174,276.41
40336	COMM	161571HP2	CHAIT 1.53% MAT	Interest	03/16/2020	CHASE ISSUANCE			30,982.50	30,982.50
40336	COMM	161571HP2	CHAIT 1.53% MAT	Redemption	03/16/2020	CHASE ISSUANCE		445,250.48		445,250.48
40340	COMM	14043MAC5	COPAR 1.6% MAT	Interest	03/16/2020	Capital One Prime Au			28,888.88	28,888.88
40340	COMM	14043MAC5	COPAR 1.6% MAT	Redemption	03/16/2020	Capital One Prime Au		426,442.45		426,442.45
40386	COMM	SYS40386	BCREPO 0.45% MAT Purchase	Purchase	03/17/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40391	COMM	31422BWJ5	FEDERAL AGRIC	Purchase	03/17/2020	FARMER MAC	20,000,000.00			-20,000,000.00
40388	COMM	3133ELTC8	FEDERAL FARM CR	Purchase	03/17/2020	FFCB NOTES	20,000,000.00			-20,000,000.00
40389	COMM	3133ELTE4	FEDERAL FARM CR	Purchase	03/17/2020	FFCB NOTES	23,000,000.00			-23,000,000.00
40387	COMM	313384UL1	FHDN DISC NOTE	Purchase	03/17/2020	FHLMC Multi-Family	149,998,333.32			-149,998,333.32
40390	COMM	3137BHCY1	FHLMCM 2.811% MAT	Purchase	03/17/2020	FHLMC Multi-Family	11,344,530.44			-11,344,530.44
40392	COMM	58770FAC6	MBALT 1.84% MAT	Purchase	03/17/2020	MERCEDES-BENZ	29,892,910.42			-29,892,910.42
40380	COMM	SYS40380	BCREPO 0.22% MAT Redemption	Redemption	03/17/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40381	COMM	313384UK3	FHDN DISC NOTE	Redemption	03/17/2020	FHLM DISCOUNT	150,000,000.00		1,222.21	1,222.21
40380	COMM	SYS40380	BCREPO 0.22% MAT Interest	Interest	03/17/2020	BARCLAYS CAPITAL				-200,000,000.00
40393	COMM	SYS40393	BCREPO 0.07% MAT Purchase	Purchase	03/18/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40396	COMM	16677JHU3	CVX DISC NOTE	Purchase	03/18/2020	CHEVRON CORP.	49,581,180.56			-49,581,180.56
40394	COMM	313384UM9	FHDN DISC NOTE	Purchase	03/18/2020	FHLM DISCOUNT	99,999,861.12			-99,999,861.12
40397	COMM	59157THU0	METSHR DISC NOTEPurchase	Purchase	03/18/2020	METLIFE SHORT	23,092,093.75			-23,092,093.75
40398	COMM	71708EJP1	PFIZER ZERO CPN	Purchase	03/18/2020	PFIZER	18,014,501.25			-18,014,501.25
40399	COMM	89236TFZ3	TOYOTA MOTOR	Purchase	03/18/2020	TOYOTA MOTOR	20,006,220.85			-20,006,220.85
40395	COMM	2546R2HU3	WALTPP DISC NOTEPurchase	Purchase	03/18/2020	Walt Disney Company	49,581,180.56			-49,581,180.56
40400	COMM	93114EGH4	WMTTP DISC NOTE	Purchase	03/18/2020	WALMART STORES	49,749,597.22			-49,749,597.22
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	03/18/2020	HONDA AUTO		93,767.66		93,767.66
38858	COMM	3130A9MR9	FEDERAL HOME	Redemption	03/18/2020	FHLM NOTES	9,179,249.30			9,179,249.30
38858	COMM	3130A9MR9	FEDERAL HOME	Cap G/L	03/18/2020	FHLM NOTES	505,750.70			505,750.70
40386	COMM	SYS40386	BCREPO 0.45% MAT Redemption	Redemption	03/18/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40387	COMM	313384UL1	FHDN DISC NOTE	Redemption	03/18/2020	FHLM DISCOUNT	150,000,000.00			150,000,000.00
37590	COMM	SYS37590	JPM TE 0.44%	Redemption	03/18/2020	JP MORGAN US	364,787,236.01			364,787,236.01
38858	COMM	3130A9MR9	FEDERAL HOME	Interest	03/18/2020	FHLM NOTES			69,247.75	69,247.75
40386	COMM	SYS40386	BCREPO 0.45% MAT Interest	Interest	03/18/2020	BARCLAYS CAPITAL			2,500.00	2,500.00
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	03/18/2020	HONDA AUTO			94.55	94.55

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37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	03/18/2020	HONDA AUTO		9,774.63		9,774.63
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	03/18/2020	HONDA AUTO				0.00
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	03/18/2020	HONDA AUTO				0.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	03/18/2020	HONDA AUTO			30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	03/18/2020	HONDA AUTO		624,838.82		624,838.82
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	03/18/2020	HONDA AUTO			32,427.08	32,427.08
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	03/18/2020	HONDA AUTO		375,680.14		375,680.14
40401	COMM	SYSA0401	BCREPO 0.05% MAT	Purchase	03/19/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40402	COMM	313384UN7	FHDN DISC NOTE	Purchase	03/19/2020	FHLB DISCOUNT	149,999,958.33			-149,999,958.33
40403	COMM	89236TFZ3	TOYOTA MOTOR	Purchase	03/19/2020	TOYOTA MOTOR	7,002,603.41			-7,002,603.41
40403	COMM	30231GBH4	XOM 2.992% MAT	Purchase	03/19/2020	EXXON MOBIL	15,000,000.00			-15,000,000.00
39865	COMM	45905U4D3	IBRD 2.08% MAT	Redemption	03/19/2020	INTL BANK RECON &		35,000,000.00		35,000,000.00
40393	COMM	SYSA0393	BCREPO 0.07% MAT	Redemption	03/19/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40394	COMM	313384UM9	FHDN DISC NOTE	Redemption	03/19/2020	FHLB DISCOUNT	100,000,000.00			100,000,000.00
39367	COMM	459058GO0	IBRD 2.5% MAT	Interest	03/19/2020	INTL BANK RECON &			62,500.00	62,500.00
39865	COMM	45905U4D3	IBRD 2.08% MAT	Interest	03/19/2020	INTL BANK RECON &			364,000.00	364,000.00
40393	COMM	SYSA0393	BCREPO 0.07% MAT	Interest	03/19/2020	BARCLAYS CAPITAL			388.90	388.90
40405	COMM	SYSA0405	BCREPO 0.03% MAT	Purchase	03/20/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40407	COMM	06417MH11	BANK NOVA SCOTIA	Purchase	03/20/2020	BANK OF NOVA	9,881,029.69			-9,881,029.69
40406	COMM	313384UR8	FHDN DISC NOTE	Purchase	03/20/2020	FHLB DISCOUNT	99,999,958.34			-99,999,958.34
37590	COMM	SYSA37590	JPM TE 0.44%	Purchase	03/20/2020	JP MORGAN US	150,000,000.00			-150,000,000.00
39999	COMM	59157TCL5	METSHR DISC NOTE	Redemption	03/20/2020	METLIFE SHORT		29,900,000.00		29,900,000.00
40401	COMM	SYSA0401	BCREPO 0.05% MAT	Redemption	03/20/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40402	COMM	313384UN7	FHDN DISC NOTE	Redemption	03/20/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
38046	COMM	3133EHZA4	FEDERAL FARM CR	Interest	03/20/2020	FFCB NOTES		83,000.00		83,000.00
40401	COMM	SYSA0401	BCREPO 0.05% MAT	Interest	03/20/2020	BARCLAYS CAPITAL		277.78		277.78
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Interest	03/20/2020	BMW VEHICLE	18,250.00			18,250.00
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Redemption	03/20/2020	BMW VEHICLE		247,878.80		247,878.80
39612	COMM	92349GAA9	VERIZON OWNER	Interest	03/20/2020	VERIZON OWNER			67,958.35	67,958.35
39612	COMM	92349GAA9	VERIZON OWNER	Redemption	03/20/2020	VERIZON OWNER		774,114.38		774,114.38
39913	COMM	92867XAD8	VWALT 1.99% MAT	Interest	03/20/2020	Volkswagen Auto			17,412.50	17,412.50
39913	COMM	92867XAD8	VWALT 1.99% MAT	Redemption	03/20/2020	Volkswagen Auto		308,988.97		308,988.97
39920	COMM	92348AAA3	VZOT 1.94% MAT	Interest	03/20/2020	VERIZON OWNER			38,800.01	38,800.01
39920	COMM	92348AAA3	VZOT 1.94% MAT	Redemption	03/20/2020	VERIZON OWNER		480,918.05		480,918.05
40341	COMM	36259KAD9	GMALT 1.67% MAT	Interest	03/20/2020	GM Fin'cl Auto Leasi			7,190.29	7,190.29
40341	COMM	36259KAD9	GMALT 1.67% MAT	Redemption	03/20/2020	GM Fin'cl Auto Leasi		144,506.49		144,506.49
40409	COMM	SYSA0409	BCREPO 0.01% MAT	Purchase	03/23/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40411	COMM	254683CH6	DCENT 3.32% MAT	Purchase	03/23/2020	Discover Card Execut	15,142,316.67			-15,142,316.67
40408	COMM	313384US6	FHDN DISC NOTE	Purchase	03/23/2020	FHLB DISCOUNT	149,999,979.18			-149,999,979.18
40410	COMM	58770FAC6	MBALT 1.84% MAT	Purchase	03/23/2020	MERCEDES-BENZ	5,897,453.33			-5,897,453.33

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40405	COMM	SYS40405	BCREPO 0.03% MAT Redemption	Redemption	03/23/2020	BARCLAYS CAPITAL	200,000,000.00	200,000,000.00		200,000,000.00
40406	COMM	313384UR8	FHDN DISC NOTE Redemption	Redemption	03/23/2020	FHLB DISCOUNT	100,000,000.00	100,000,000.00		100,000,000.00
38570	COMM	3130ADUY6	FEDERAL HOME Interest	Interest	03/23/2020	FHLB NOTES			386,793.75	386,793.75
39871	COMM	459058HG1	IBRD 2.2% MAT Interest	Interest	03/23/2020	INTL BANK RECON &			110,000.00	110,000.00
40405	COMM	SYS40405	BCREPO 0.03% MAT Interest	Interest	03/23/2020	BARCLAYS CAPITAL			500.01	500.01
37615	COMM	43814TAC6	HAROT 1.72% MAT Interest	Interest	03/23/2020	HONDA AUTO			4,685.55	4,685.55
37615	COMM	43814TAC6	HAROT 1.72% MAT Redemption	Redemption	03/23/2020	HONDA AUTO		535,572.18		535,572.18
39582	COMM	43815MAC0	HAROT 2.52% MAT Interest	Interest	03/23/2020	HONDA AUTO			35,700.00	35,700.00
39582	COMM	43815MAC0	HAROT 2.52% MAT Redemption	Redemption	03/23/2020	HONDA AUTO		435,739.82		435,739.82
40346	COMM	43813RAC1	HAROT 1.61% MAT Interest	Interest	03/23/2020	HONDA AUTO			18,447.92	18,447.92
40346	COMM	43813RAC1	HAROT 1.61% MAT Redemption	Redemption	03/23/2020	HONDA AUTO		321,912.39		321,912.39
40412	COMM	SYS40412	BCREPO 0.01% MAT Purchase	Purchase	03/24/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40415	COMM	3133ELUJ1	FEDERAL FARM CR Purchase	Purchase	03/24/2020	FFCB NOTES	30,000,000.00			-30,000,000.00
40413	COMM	19121AGX3	KOPP DISC NOTE Purchase	Purchase	03/24/2020	Coca-Cola Co	39,701,866.67			-39,701,866.67
40414	COMM	71344THX3	PEPPP DISC NOTE Purchase	Purchase	03/24/2020	PEPSICO INC	49,557,777.78			-49,557,777.78
40416	COMM	92868LAD3	VALET 3.02% MAT Purchase	Purchase	03/24/2020	VOLKSWAGEN	19,924,679.86			-19,924,679.86
40408	COMM	313384US6	FHDN DISC NOTE Redemption	Redemption	03/24/2020	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40409	COMM	SYS40409	BCREPO 0.01% MAT Redemption	Redemption	03/24/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40409	COMM	SYS40409	BCREPO 0.01% MAT Interest	Interest	03/24/2020	BARCLAYS CAPITAL			55.55	55.55
40423	COMM	SYS40423	BCREPO 0.01% MAT Purchase	Purchase	03/25/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40417	COMM	1247P2D19	CAFCO DISC NOTE Purchase	Purchase	03/25/2020	CORPORATE	39,984,444.44			-39,984,444.44
40419	COMM	3130AJB65	FEDERAL HOME Purchase	Purchase	03/25/2020	FHLB NOTES	20,000,000.00			-20,000,000.00
40420	COMM	3130AJF46	FEDERAL HOME Purchase	Purchase	03/25/2020	FHLB NOTES	15,000,000.00			-15,000,000.00
40418	COMM	19121AJR3	KOPP ZERO CPN Purchase	Purchase	03/25/2020	Coca-Cola Co	24,731,666.67			-24,731,666.67
40422	COMM	7426M2HU8	PEFCO DISC NOTE Purchase	Purchase	03/25/2020	PRIVATE EXPORT	49,577,500.00			-49,577,500.00
40421	COMM	71344TKT8	PEPPP ZERO CPN Purchase	Purchase	03/25/2020	PEPSICO INC	59,190,000.00			-59,190,000.00
38855	COMM	3130AEXG0	FEDERAL HOME Redemption	Redemption	03/25/2020	FHLB NOTES	15,000,000.00			15,000,000.00
39341	COMM	31422BDZ0	FAMCA 2.61% MAT Redemption	Redemption	03/25/2020	FARMER MAC	25,000,000.00			25,000,000.00
40412	COMM	SYS40412	BCREPO 0.01% MAT Redemption	Redemption	03/25/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
37344	COMM	3134G3K90	FEDERAL HOME LN Interest	Interest	03/25/2020	FHLMC NOTES			85,000.00	85,000.00
38855	COMM	3130AEXG0	FEDERAL HOME Interest	Interest	03/25/2020	FHLB NOTES			225,000.00	225,000.00
39341	COMM	31422BDZ0	FAMCA 2.61% MAT Interest	Interest	03/25/2020	FARMER MAC			326,250.00	326,250.00
40412	COMM	SYS40412	BCREPO 0.01% MAT Interest	Interest	03/25/2020	BARCLAYS CAPITAL			55.56	55.56
38387	COMM	3137AYCE9	Interest	Interest	03/25/2020	FHLMC Multi-Family			44,700.00	44,700.00
38387	COMM	3137AYCE9	Redemption	Redemption	03/25/2020	FHLMC Multi-Family	689,888.82			689,888.82
38391	COMM	3137AYCE9	Interest	Interest	03/25/2020	FHLMC Multi-Family			16,762.50	16,762.50
38391	COMM	3137AYCE9	Redemption	Redemption	03/25/2020	FHLMC Multi-Family	258,578.35			258,578.35
38412	COMM	09659QAD9	BMWOT 2.35% MAT Interest	Interest	03/25/2020	BMW VEHICLE			43,266.02	43,266.02
38412	COMM	09659QAD9	BMWOT 2.35% MAT Redemption	Redemption	03/25/2020	BMW VEHICLE	2,025,269.57			2,025,269.57
38465	COMM	3137AWQH1	FHLMCM 2.307% Interest	Interest	03/25/2020	FHLMC Multi-Family			10,727.55	10,727.55

Portfolio SCL2

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SANTA CLARA COUNTY INVESTMENTS

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38465	COMM	3137AWQH1	FHLMCM 2.307% MAT	Redemption	03/25/2020	FHLMC Multi-Family		198,322.90		198,322.90
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	03/25/2020	FNMA Multi-Family			7,110.65	7,110.65
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	03/25/2020	FNMA Multi-Family		6,584.81		6,584.81
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	03/25/2020	FHLMC Multi-Family			55,277.96	55,277.96
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	03/25/2020	FHLMC Multi-Family		624,915.27		624,915.27
38664	COMM	3136B1XP4	FNMMAM 3.56% MAT	Interest	03/25/2020	FNMA Multi-Family			8,504.99	8,504.99
38664	COMM	3136B1XP4	FNMMAM 3.56% MAT	Redemption	03/25/2020	FNMA Multi-Family		46,730.02		46,730.02
38665	COMM	3136B1XP4	FNMMAM 3.56% MAT	Interest	03/25/2020	FNMA Multi-Family			8,504.99	8,504.99
38665	COMM	3136B1XP4	FNMMAM 3.56% MAT	Redemption	03/25/2020	FNMA Multi-Family		46,730.02		46,730.02
38666	COMM	3137AYCE9	FNMMAM 3.56% MAT	Interest	03/25/2020	FHLMC Multi-Family			26,250.08	26,250.08
38666	COMM	3137AYCE9	FNMMAM 3.56% MAT	Redemption	03/25/2020	FHLMC Multi-Family		397,584.98		397,584.98
38744	COMM	3137AYCE9	Interest	Interest	03/25/2020	FHLMC Multi-Family			44,700.00	44,700.00
38744	COMM	3137AYCE9	Redemption	Redemption	03/25/2020	FHLMC Multi-Family		677,269.13		677,269.13
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	03/25/2020	FHLMC Multi-Family			12,176.25	12,176.25
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	03/25/2020	FHLMC Multi-Family		131,673.51		131,673.51
38864	COMM	3137B5JM6	FHLMCM 3.531% MAT	Interest	03/25/2020	FHLMC Multi-Family			29,425.00	29,425.00
38864	COMM	3137B5JM6	FHLMCM 3.531% MAT	Redemption	03/25/2020	FHLMC Multi-Family		278,042.24		278,042.24
38945	COMM	3137B3NA2	FHLMCM 3.531% MAT	Interest	03/25/2020	FHLMC Multi-Family			28,723.99	28,723.99
38945	COMM	3137B3NA2	FHLMCM 3.531% MAT	Redemption	03/25/2020	FHLMC Multi-Family		311,419.40		311,419.40
39026	COMM	3137B5KW2	FHLMCM 3.458% MAT	Interest	03/25/2020	FHLMC Multi-Family			28,816.67	28,816.67
39026	COMM	3137B5KW2	FHLMCM 3.458% MAT	Redemption	03/25/2020	FHLMC Multi-Family		271,567.35		271,567.35
39150	COMM	31381TYT1	FNMMAM 2.75% MAT	Interest	03/25/2020	FNMA Multi-Family			64,918.63	64,918.63
39150	COMM	31381TYT1	FNMMAM 2.75% MAT	Redemption	03/25/2020	FNMA Multi-Family		69,527.85		69,527.85
39158	COMM	31381RZ23	FNMMAM 3.84% MAT	Interest	03/25/2020	FNMA Multi-Family			44,039.91	44,039.91
39158	COMM	31381RZ23	FNMMAM 3.84% MAT	Redemption	03/25/2020	FNMA Multi-Family		30,931.73		30,931.73
39218	COMM	31381RLL6	FNMMAM 3.84% MAT	Interest	03/25/2020	FNMA Multi-Family			36,063.26	36,063.26
39218	COMM	31381RLL6	FNMMAM 3.84% MAT	Redemption	03/25/2020	FNMA Multi-Family		23,346.44		23,346.44
39219	COMM	31381RLL6	FNMMAM 3.84% MAT	Interest	03/25/2020	FNMA Multi-Family			6,019.79	6,019.79
39219	COMM	31381RLL6	FNMMAM 3.84% MAT	Redemption	03/25/2020	FNMA Multi-Family		3,897.06		3,897.06
39295	COMM	3137B04Y7	FHLMCM 2.615% MAT	Interest	03/25/2020	FHLMC Multi-Family			58,837.51	58,837.51
39295	COMM	3137B04Y7	FHLMCM 2.615% MAT	Redemption	03/25/2020	FHLMC Multi-Family		849,165.60		849,165.60
39319	COMM	3138LQKH8	FNMMAM 2.47% MAT	Interest	03/25/2020	FNMA Multi-Family			49,743.06	49,743.06
39319	COMM	3138LQKH8	FNMMAM 2.47% MAT	Redemption	03/25/2020	FNMA Multi-Family		623,221.97		623,221.97
39342	COMM	3137BQR90	FHLMCM 2.272% MAT	Interest	03/25/2020	FHLMC Multi-Family			44,303.99	44,303.99
39342	COMM	3137BQR90	FHLMCM 2.272% MAT	Redemption	03/25/2020	FHLMC Multi-Family		734,173.98		734,173.98
39457	COMM	3138EXK67	FNMMAM 2.537% MAT	Interest	03/25/2020	FNMA Multi-Family			31,071.88	31,071.88
39457	COMM	3138EXK67	FNMMAM 2.537% MAT	Redemption	03/25/2020	FNMA Multi-Family		36,163.98		36,163.98
39587	COMM	3138LEYD7	FNMMAM 1.97% MAT	Interest	03/25/2020	FNMA Multi-Family			29,632.93	29,632.93
39587	COMM	3138LEYD7	FNMMAM 1.97% MAT	Redemption	03/25/2020	FNMA Multi-Family		34,029.11		34,029.11
39609	COMM	3138LGF68	FNMMAM 2.15% MAT	Interest	03/25/2020	FNMA Multi-Family			8,659.72	8,659.72

Portfolio SCL2

AP

TA (PRF_TA) 7.1.1
Report Ver. 7.3.6.1

SANTA CLARA COUNTY INVESTMENTS

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39609	COMM	3138LGF8	FNMM 2.15% MAT	Redemption	03/25/2020	FNMA Multi-Family		126,665.49		126,665.49
39632	COMM	3138L2BU0	FNMM 2.31% MAT	Interest	03/25/2020	FNMA Multi-Family			12,567.77	12,567.77
39632	COMM	3138L2BU0	FNMM 2.31% MAT	Redemption	03/25/2020	FNMA Multi-Family		14,307.83		14,307.83
39654	COMM	3137BP4J5	FNMM 2.446% MAT	Interest	03/25/2020	FHLMC Multi-Family			43,642.69	43,642.69
39654	COMM	3137BP4J5	FNMM 2.446% MAT	Redemption	03/25/2020	FHLMC Multi-Family		282,558.40		282,558.40
39721	COMM	3137BOR90	FHLMCM 2.272% Interest	Interest	03/25/2020	FHLMC Multi-Family			6,778.13	6,778.13
39721	COMM	3137BOR90	FHLMCM 2.272% Interest	Redemption	03/25/2020	FHLMC Multi-Family		114,457.36		114,457.36
39734	COMM	3138LOU90	FNMM 2.59% MAT	Interest	03/25/2020	FNMA Multi-Family			10,431.94	10,431.94
39734	COMM	3138LOU90	FNMM 2.59% MAT	Redemption	03/25/2020	FNMA Multi-Family		184,152.32		184,152.32
39735	COMM	3138TVBJ3	FNMM 2.83% MAT	Interest	03/25/2020	FNMA Multi-Family			11,438.60	11,438.60
39735	COMM	3138TVBJ3	FNMM 2.83% MAT	Redemption	03/25/2020	FNMA Multi-Family		12,859.20		12,859.20
39844	COMM	3138LORM5	FNMM 2.135% MAT	Interest	03/25/2020	FNMA Multi-Family			15,105.16	15,105.16
39844	COMM	3138LORM5	FNMM 2.135% MAT	Redemption	03/25/2020	FNMA Multi-Family		22,308.10		22,308.10
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	03/25/2020	BMW VEHICLE			27,600.00	27,600.00
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	03/25/2020	BMW VEHICLE		370,627.76		370,627.76
40056	COMM	3136A9MN5	FNMM 2.301% MAT	Interest	03/25/2020	FNMA Multi-Family			20,269.13	20,269.13
40056	COMM	3136A9MN5	FNMM 2.301% MAT	Redemption	03/25/2020	FNMA Multi-Family		154,296.16		154,296.16
40293	COMM	3138LORC7	FNMM 2.35% MAT	Interest	03/25/2020	FNMA Multi-Family			12,742.90	12,742.90
40293	COMM	3138LORC7	FNMM 2.35% MAT	Redemption	03/25/2020	FNMA Multi-Family		14,457.90		14,457.90
40424	COMM	SYS40424	BCREPO 0.01% MAT	Purchase	03/26/2020	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40425	COMM	12472F7	CAFCO DISC NOTE	Purchase	03/26/2020	CORPORATE	49,790,625.00			-49,790,625.00
40426	COMM	76116FAE7	RFCSP ZERO CPN	Purchase	03/26/2020	REFCORP	34,860,350.00			-34,860,350.00
40423	COMM	SYS40423	BCREPO 0.01% MAT	Redemption	03/26/2020	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40423	COMM	SYS40423	BCREPO 0.01% MAT	Interest	03/26/2020	BARCLAYS CAPITAL			55.56	55.56
40427	COMM	SYS40427	BCREPO 0.01% MAT	Purchase	03/27/2020	BARCLAYS CAPITAL	160,000,000.00			-160,000,000.00
40428	COMM	45920FKW7	IBM ZERO CPN	Purchase	03/27/2020	IBM	24,804,097.22			-24,804,097.22
38545	COMM	3133EJHL6	FEDERAL FARM CR	Purchase	03/27/2020	FFCB NOTES		10,000,000.00		10,000,000.00
39353	COMM	3134GS6T7	FEDERAL HOME LN	Redemption	03/27/2020	FHLMC NOTES		15,000,000.00		15,000,000.00
39354	COMM	3134GS6F7	FEDERAL HOME LN	Redemption	03/27/2020	FHLMC NOTES		30,000,000.00		30,000,000.00
40424	COMM	SYS40424	BCREPO 0.01% MAT	Redemption	03/27/2020	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
38545	COMM	3133EJHL6	FEDERAL FARM CR	Interest	03/27/2020	FFCB NOTES			118,750.00	118,750.00
39353	COMM	3134GS6T7	FEDERAL HOME LN	Interest	03/27/2020	FHLMC NOTES			196,875.00	196,875.00
39354	COMM	3134GS6F7	FEDERAL HOME LN	Interest	03/27/2020	FHLMC NOTES			408,000.00	408,000.00
40429	COMM	SYS40429	BCREPO 0.01% MAT	Interest	03/27/2020	BARCLAYS CAPITAL			55.57	55.57
40429	COMM	SYS40429	BCREPO 0.01% MAT	Purchase	03/30/2020	BARCLAYS CAPITAL	160,000,000.00			-160,000,000.00
40430	COMM	16677JH74	CVX DISC NOTE	Purchase	03/30/2020	CHEVRON CORP.	29,766,000.00			-29,766,000.00
40431	COMM	3133ELUX0	FEDERAL FARM CR	Purchase	03/30/2020	FFCB NOTES	10,000,000.00			-10,000,000.00
40432	COMM	90275DKW9	UBSNCT 1.9% MAT	Purchase	03/30/2020	UBS AG STAMFORD	50,000,000.00			-50,000,000.00
36653	COMM	459058FA6	IBRD 1.375% MAT	Redemption	03/30/2020	INTL BANK RECON &		20,000,000.00		20,000,000.00
37017	COMM	3136G4BV4	FEDERAL NATL MTG	Redemption	03/30/2020	FNMA NOTES		20,015,000.00		20,015,000.00

Portfolio SCL2

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Report Ver. 7.3.6.1

Run Date: 04/16/2020 - 13:59

SANTA CLARA COUNTY INVESTMENTS

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37017	COMM	3136G4BV4	FEDERAL NATL MTGCap G/L		03/30/2020	FNMA NOTES		-15,000.00		-15,000.00
40427	COMM	SYS40427	BCREPO 0.01% MAT Redemption		03/30/2020	BARCLAYS CAPITAL		160,000,000.00		160,000,000.00
36653	COMM	459058FA6	IBRD 1.375% MAT Interest		03/30/2020	INTL BANK RECON &			137,600.00	137,600.00
37017	COMM	3136G4BV4	FEDERAL NATL MTGInterest		03/30/2020	FNMA NOTES			145,000.00	145,000.00
37018	COMM	3133EGWH4	FEDERAL FARM CR Interest		03/30/2020	FFCB NOTES			64,000.00	64,000.00
37518	COMM	3134GBBM3	FEDERAL HOME LN Interest		03/30/2020	FHLMC NOTES			250,000.00	250,000.00
38033	COMM	3130ACE26	FEDERAL HOME Interest		03/30/2020	FHLB NOTES			151,250.00	151,250.00
38055	COMM	3134GBF64	FEDERAL HOME LN Interest		03/30/2020	FHLMC NOTES			48,000.00	48,000.00
38057	COMM	3137EAEJ4	FEDERAL HOME LN Interest		03/30/2020	FHLMC NOTES			121,875.00	121,875.00
39357	COMM	3133EKEW2	FEDERAL FARM CR Interest		03/30/2020	FFCB NOTES			228,000.00	228,000.00
40209	COMM	3134GUK66	FEDERAL HOME LN Interest		03/30/2020	FHLMC NOTES			43,950.00	43,950.00
40427	COMM	SYS40427	BCREPO 0.01% MAT Interest		03/30/2020	BARCLAYS CAPITAL	100,000,000.00		133.34	133.34
37590	COMM	SYS37590	JPM TE 0.44% Purchase		03/31/2020	JP MORGAN US				
40429	COMM	SYS40429	BCREPO 0.01% MAT Redemption		03/31/2020	BARCLAYS CAPITAL	160,000,000.00			-100,000,000.00
40429	COMM	SYS40429	BCREPO 0.01% MAT Interest		03/31/2020	BARCLAYS CAPITAL			44.44	160,000,000.00
Totals for COMMINGLED POOL										
							23,197,889,000.	24,090,162,205.	39,395,115.23	931,668,320.76
Grand Total										
							23,197,889,000.	24,090,162,205.	39,395,115.23	931,668,320.76

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

10.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Superintendent of Schools:

Date: June 20, 2020

Subject: Education Protection Account (EPA) Spending Plan for 2020-2021


Staff Analysis: Proposition 30 provides that all K-14 local agencies have the sole authority to determine how the funds received from the EPA are spent, but with these provisions:

The spending plan must be approved by the governing board during a public meeting; EPA funds cannot be used for salaries or benefits of administrators or any other administrative costs (as determined through the account code structure); Each year, the local agency must publish on its website an accounting of how much money was received from the EPA, and how the funds were expended.

In addition, there will now be a requirement for the annual financial audit to include verification that the EPA funds were used as specified by Proposition 30, and the additional costs of the audit would be an allowable expense from the EPA. If EPA funds are not expended in accordance with the requirements of Proposition 30, civil or criminal penalties could be incurred.

The District's spending plan is as follows: salary and benefit expenditures for teachers in the amount of \$10,434,911 (the estimated amount of EPA funds to be received quarterly during the last ten days of September, December, March, and June for the 2020-2021 fiscal year) will be transferred from resource 0000 (unrestricted) to resource 1400 (Education Protection Act). No administrative costs will be charged to the EPA resource.

Recommendation: Staff recommends adoption of the District's proposed 2020 – 2021 Budget.

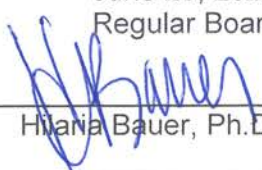
Prepared by: Kolvira Chheng 

Title: Assistant Superintendent Business Services

To the Board of Trustees:
Recommend Approval

Meeting: June 25, 2020
Regular Board Meeting

10.05
Agenda Placement


Maria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

EDUCATION PROTECTION ACCOUNT

Certification:		2019-20	2020-21	2021-22	2022-23	2023-24
EDUCATION PROTECTION ACCOUNT (EPA) MINIMUM ENTITLEMENT						
A-1	Total ADA for EPA Minimum	8,857.90	8,538.72	8,286.18	8,044.71	7,779.19
A-2	Minimum Funding per ADA	200	200	200	200	200
A-3	EPA Minimum Funding (A-1 * A-2)	1,771,580	1,707,744	1,657,236	1,608,942	1,555,838
EPA PROPORTIONATE SHARE CAP						
	<i>Adjusted Total Revenue Limit</i>	44,985,111	43,364,146	42,081,614	40,855,301	39,506,849
	<i>Current Year Adjusted NSS Allowance</i>	-	-	-	-	-
B-1	Adjusted Revenue Limit/Adjusted General Purpose Funding for EPA	44,985,111	43,364,146	42,081,614	40,855,301	39,506,849
B-2	Local Revenue/In-lieu of Property Taxes	24,750,334	24,421,878	24,228,989	24,036,311	-
B-3	EPA Proportionate Share Cap (B-1 - B-2; if less than 0, B-3 = 0)	20,234,777	18,942,268	17,852,625	16,818,990	39,506,849
EPA PROPORTIONATE SHARE						
C-1	Adjusted Revenue Limit/Adjusted General Purpose Funding for EPA	44,985,111	43,364,146	42,081,614	40,855,301	39,506,849
C-2	Statewide EPA Proportionate Share Ratio <i>(as of P-2 certification)</i>	23.88234575%	23.88234575%	23.88234575%	23.88234575%	23.88234575%
C-3	EPA Proportionate Share (C-1 * C-2)	10,743,500	10,356,375	10,050,077	9,757,204	9,435,162
EPA ENTITLEMENT						
D-1	EPA Entitlement (if C-3 < B-3, then C-3, else greater of A-3 or B-3)	10,743,500	10,356,375	10,050,077	9,757,204	9,435,162
D-2	Miscellaneous Adjustments**	-	-	-	-	-
D-3	Adjusted EPA Entitlement (D-1 + D-2)	10,743,500	10,356,375	10,050,077	9,757,204	9,435,162
D-4	Prior Year Annual Adjustment	111,498	(0)	0	(0)	0
D-5	P2 Entitlement Net of PY Adjustment	10,854,998	10,356,375	10,050,077	9,757,204	9,435,162
C-2	Statewide EPA Proportionate Share Ratio <i>(as of Annual certification)</i>	23.88234575%	23.88234575%	23.88234575%	23.88234575%	23.88234575%
	<i>Adjusted EPA Allocation (used to calculate LCFF Revenue)</i>	10,743,500	10,356,375	10,050,077	9,757,204	9,435,162

EDUCATION PROTECTION ACCOUNT

Certification:		2019-20	2020-21	2021-22	2022-23
EDUCATION PROTECTION ACCOUNT (EPA) MINIMUM ENTITLEMENT					
A-1	Total ADA for EPA Minimum	395.40	392.68	393.01	393.34
A-2	Minimum Funding per ADA	200	200	200	200
A-3	EPA Minimum Funding (A-1 * A-2)	79,080	78,536	78,602	78,668
EPA PROPORTIONATE SHARE CAP					
	Adjusted Total Revenue Limit	-	-	-	-
	Current Year Adjusted NSS Allowance	-	-	-	-
B-1	Adjusted Revenue Limit/Adjusted General Purpose Funding for EPA	-	-	-	-
B-2	Local Revenue/In-lieu of Property Taxes	1,103,740	1,123,116	1,149,169	1,175,237
B-3	EPA Proportionate Share Cap (B-1 - B-2; If less than 0, B-3 = 0)	-	-	-	-
EPA PROPORTIONATE SHARE					
C-1	Adjusted Revenue Limit/Adjusted General Purpose Funding for EPA	-	-	-	-
C-2	Statewide EPA Proportionate Share Ratio <i>(as of P-2 certification)</i>	23.88234575%	23.88234575%	23.88234575%	23.88234575%
C-3	EPA Proportionate Share (C-1 * C-2)	-	-	-	-
EPA ENTITLEMENT					
D-1	EPA Entitlement (if C-3 < B-3, then C-3, else greater of A-3 or B-3)	79,080	78,536	78,602	78,668
D-2	Miscellaneous Adjustments**	-	-	-	-
D-3	Adjusted EPA Entitlement (D-1 + D-2)	79,080	78,536	78,602	78,668
D-4	Prior Year Annual Adjustment	-	-	-	-
D-5	P2 Entitlement Net of PY Adjustment	79,080	78,536	78,602	78,668
C-2	Statewide EPA Proportionate Share Ratio <i>(as of Annual certification)</i>	23.88234575%	23.88234575%	23.88234575%	23.88234575%
	Adjusted EPA Allocation (used to calculate LCFF Revenue)	79,080	78,536	78,602	78,668

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

10.06

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Approve/Ratify Notices of Employment and Changes of Status/June 25, 2020/
Human Resources Department

Staff Analysis:

This item includes recommendations such as new hires, leaves of absence, or other changes in employee status.

Recommendation:

District administration is recommending that the Board of Trustees approve the hiring of any new employee, and any change of status for existing employees.

Submitted by: Carlos Moran



Title: Assistant Superintendent, Human Resources

To the Board of Trustees:

Recommend Approval

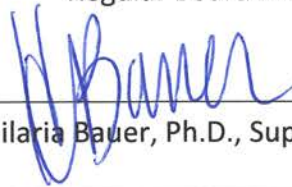
10.06

Agenda Placement

Meeting:

June 25, 2020

Regular Board Meeting



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-
HUMAN RESOURCES DEPARTMENT
MEETING OF THE BOARD OF TRUSTEES**

June 25, 2020

CERTIFICATED OFFERS OF EMPLOYMENT:

I. Employee Contracts:

1. Devine, Erin	Marriage Family Therapist (MFT)	07/01/2020-06/30/2021
2. Hernandez, Reyes	Behavior Assistant	07/01/2020-06/30/2021
3. Loia, Tiffany	Consulting RS	08/15/2020-06/30/2021
4. Lopez, Jose	Behavior Assistant	07/01/2020-06/30/2021
5. London, Corrine	Behavior Support	07/01/2020-06/30/2021
6. Mesters, Angelina	Marriage Family Therapist (MFT)	07/01/2020-06/30/2021
7. Qin, Jie	Nurse	07/01/2020-06/30/2021
8. Raquedan, Christina	Occupational Therapist	07/01/2020-06/30/2021
9. Floirendo, Ian-Carl	Jazz Music Instructor	06/26/2020-07/30/2020
10. Sanchez, Clayton	Jazz Music Instructor	06/26/2020-07/30/2020
11. Pangilinan, Oscar	Jazz Music Instructor	06/26/2020-07/30/2020
12. Ramos, Juan-Manuel	Mariachi Music Instructor	06/26/2020-07/30/2020
13. Ramos, Zaida	Mariachi Music Instructor	06/26/2020-07/30/2020
14. Valenzuela, Monique	Programming Music Instructor	06/26/2020-07/30/2020
15. Cervantes, Rogelio	Programming Music Instructor	06/26/2020-07/30/2020

CERTIFICATED CHANGES OF STATUS:

II. Administrative Changes Effective 7/1/2020:

1. Jensen, Rebecca	Interim Principal/APTITUD Community at Goss
2. Rosales, Yeny	Interim Principal/Ryan Elementary
3. Velasco, Victor Guendulain	Interim Principal/Meyer Elementary

CLASSIFIED PERSONNEL – June 25, 2020

CLASSIFIED OFFERS OF EMPLOYMENT:

I. Change of Status:

1. Villarreal Jr., Edmundo	Director/M.O.T	07/01/2020
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II. Short Term Employee

TBD	Accounting Assistant/Business Department	6/26/2020-8/26/2020
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ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue
San Jose, CA 95127

10.07

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

Subject : **CONTRACTS FOR PROFESSIONAL SERVICES – FIRMS/ORGANIZATIONS**

Staff Analysis:

The following contracts for professional services are being presented to the Board of Trustees for review and approval.

Recommendation:

Staff recommends approval of the following contracts for professional services on the attached sheets. Contract details are on file in the Purchasing Office.

Prepared by: Maria Martinez m.m. Title: Procurement Manager

Approved by: Kolvira Chheng Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Recommend Approval

10.07

Agenda Placement

Meeting: June 25, 2020

Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District
June 25, 2020 Board Meeting

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

<u>DEPARTMENT</u>	<u>DATE OF SERVICE</u>	<u>CONSULTANT NAME</u>	<u>COST & FUNDING</u>	<u>PURPOSE</u>
Maintenance Ed Villarreal	07/01/20- 06/30/21	ACME Pacific Repairs, Inc.	\$20,000.00	Repair of kitchen equipment such as ovens, warmers, retherm ovens, and steam tables. All equipment used for heating and holding food for student breakfast/lunch programs. Funding: Restricted
Maintenance Ed Villarreal	07/01/20- 06/30/21	Environmental System, Inc. of Northern CA	\$84,000.00	Provide HVAC maintenance and repair district-wide. Funding: Restricted
Maintenance Ed Villarreal	07/01/20- 06/30/21	Harry L. Murphy, Inc.	\$85,000.00	Provide services that include repair and/or replacement of flooring as needed district-wide. Funding: Restricted
Maintenance Ed Villarreal	07/01/20- 06/30/21	Khai Heating & Air Conditioning	\$44,000.00	Service Airedale heat and air units' district-wide as needed. Funding: Restricted
Maintenance Ed Villarreal	07/01/20- 06/30/21	Khai Heating & Air Conditioning	\$44,000.00	Service HVAC units, heat pumps, and straight cool units as needed district-wide. Funding: Restricted
Transportation Ed Villarreal	07/01/20- 06/30/23	P&J Imperial Association dba: Imperial Fire	\$2,127.94	Multi-year contract. Provide annual fire extinguisher training for employees in the transportation department. Funding: General
Maintenance Ed Villarreal	07/01/20- 06/30/21	Quick Light Recycling, LLC	\$5,000.00	Provide proper disposal containers and removal of hazardous material such as non-pcp ballast, florescent lights, batteries and broken glass as needed district-wide. Funding: Restricted

Alum Rock Union Elementary School District
June 25, 2020 Board Meeting

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Maintenance Ed Villarreal	07/01/20- 06/30/21	Silicon Valley Lockmasters, Inc.	\$10,000.00	Provide emergency support and repairs such as re-keying doors, locks and cylinders on an as needed basis due to vandalism district-wide. Funding: Restricted
Maintenance Ed Villarreal	07/01/20- 06/30/21	Water Quality Plumbing, Inc.	\$44,000.00	Provide emergency support and repairs related to plumbing such as: Inspections, copper re-piping, sewer repairs, remodeling and new construction, and water conditioning and purification. Funding: Restricted
Special Education Anthony Colonna	08/01/20- 06/30/21	Vista Center for the Blind and Visually Impaired	\$25,000.00	Provide travel training to six (6) Alum Rock Students from the following schools: George 8th grade, Fischer 8th grade, Hubbard 1st and 4th grades, Linda Vista 2nd grade and Lyndale 1st grade. Orientation and Mobility training will be provided to students who have a visual impairment which limits or causes a safety issue when traveling in familiar or unfamiliar environments. These services will assess the students travel skills and prove training to meet IEP goals. Funding: Special Education
Business Office Kolvira Chheng	07/01/20- 06/30/21	STLR Corp dba: Ryland School Business Consulting	\$49,000.00 NTE	Provide general financial planning and business services as needed; assist with business office assistance, training, budget develop; year-end closing; fiscal viability; analyze long-term cash flow schedules; and presentations to the Board. Funding: General

Alum Rock Union Elementary School District
June 25, 2020 Board Meeting

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Transportation Ed Villarreal	07/01/20- 06/30/23	Action Towing and Road Services	\$18,000.00 (\$6,000 per year)	Provide towing and recovery of vehicles, transportation of containers and equipment district- wide. Funding: General
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ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 • Phone: 408-928-6800 • Fax: 408-928-6416 • www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Manager of Maintenance, Operation & Transportation 

Re: Contract Approval- ACME Pacific Repairs, Inc

Date: June 11, 2020

Summary:

The Child Nutrition Service Department has a need to repair kitchen equipment due to usage of heating and holding food for meal distribution. It is necessary for the District to maintain a contract with a reliable company that has been responsive and capable in providing repair services within 24-hours. The service will be provided district-wide on as needed basis.

Recommendation:

Staff recommends that the Board of Trustees approve the contract with ACME Pacific Repairs, Inc. in the amount of \$20,000.00 for the 2020 – 2021 fiscal year.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
FROM: Maintenance (School/Dept.) VENDOR NO. 10681
PROGRAM MANAGER: Ed Villarreal - Manager

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: ACME Pacific Repairs, Inc
Address: 1347 Fulton Place City: Fremont State: CA Zip: 94539
Phone: (408) 881-2495 Email Address: _____
SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2020 end date June 30, 2021
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Repair of kitchen equipment such as ovens, warmers, re-therm ovens, and steam tables.

All equipment used for heating and holding food.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 20,000.00 (describe rate agreement) _____

Budget Code: 050-8150-0-5815-00-0000-8100-000000-450-8130

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
050	450	8130	5815	\$ 20,000.00		<u>M. Villarreal</u> <u>6/15/20</u>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



acme pacific
Repairs, Inc.

Foodservice Equipment Specialist

1347 Fulton Place, Fremont CA, 94539 – Tel. (510) 252-2070 – Fax (510) 656-1753

June 11, 2020

Alum Rock Union Elementary School District
Attn: Gloria Rocha
2930 Gay Avenue
San Jose, CA 95127

RE: Service Proposal

Dear Gloria

We propose to provide repair and maintenance service on kitchen / cafeteria equipment at the various School locations, on an as needed time and material basis.

Copy of our current labor rate and scope of work is also attached for your reference.

Thank you for this opportunity, we are looking forward to working with you.

Sincerely

Ranjit Ratra
Owner & CEO



acme pacific

Repairs, Inc.

Foodservice Equipment Specialist

1347 Fulton Place, Fremont CA, 94539 – Tel. (510) 252-2070 – Fax (510) 656-1753

Current LABOR RATES :

Trip (Travel) regular charge - **\$ 150.00**

Straight time - **\$ 150.00 per hour**

Trip (Travel) Overtime - **\$ 225.00**

Overtime - **\$ 225.00 per hour**

Emergency \$ 225.00 per hour (3 – hours minimum charge) - **\$ 675.00** (*Saturday & Sunday*)

Service Provided:

- Check/repair electrical components for proper operation
- Check/repair functioning of safety controls
- Adjust burners and pilots
- Calibrate thermostats
- Check/repair mechanical components for proper operational and lubricate as needed
- Inspect, descale or replace boilers

Acme will provide the service described and repair or replace defective parts as necessary. All parts installed shall be new and carry warranties in compliance with the appliance manufacturer or the part supplier/maker. Any parts shall be authorized by Customer prior to installation and billed.

Acme Pacific Repairs
INC.

Contractors License #341021

1-800-696-6942



*Foodservice Equipment
Specialists*

***Parts & Service
for
Gas-Electric-Steam:***

- DISHWASHERS
- RANGES
- STEAMERS
- FRYERS
- BROILERS
- DISPOSERS
- WARMERS
- OVENS
- MIXERS & SLICERS
- REVOLVING TRAY
OVENS

Experienced Technicians

Prompt, Courteous Service

Reasonable Rates

Free Estimates on
Installations

Large Parts Inventory

About Acme Pacific Repairs



Acme Pacific Repairs was established in 1988 by a group of individuals with extensive experience of commercial restaurant appliances. We are presently located in Fremont, California and specialize in the repair, servicing and installation of all types of foodservice equipment. Our staff of highly trained technicians provide services for some of the finest and most well known restaurants, hotels, institutions and corporate cafeterias in the entire San Francisco Bay Area.

Our fully equipped service vehicles can respond quickly to your equipment repair needs, whether it be electric, gas or steam heated. We maintain an extensive inventory of repair parts available for immediate delivery.

We offer Service Contracts, Time and Material Service, Installation Service, and Factory Authorized Warranty Service on many brands.



Acme Pacific Repairs
INC.

1347 Fulton Place • Fremont, CA 94539

Tel. (510) 252-2070 • Fax (510) 656-1753



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Operation Manager

Re: Contract Approval- Environmental System Inc.

Date: June 5, 2020

Summary:

It is necessary for the District to contract with an experienced and reliable company for completing HVAC-repairs as need district-wide. Environmental Systems Inc. is currently part of our energy management system and has proven to be responsible and reliable.

Time and labor rates:

Regular time	- \$150.00 per hr.
Over time	- \$200.00 per hr.
Double time	- \$250.00 per hr.
Office Control Time	- \$125.00 per hr.
Onside Control Time	- \$150.00 per hr.

There is NOT a truck charge, mileage fee or Minimum call out fee for ARUSD.

Recommendation:

Staff recommends that the board approve the contract with Environmental System, Inc. in the amount of \$84,000.00 for the 2020-2021 FY.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
AGREEMENT FOR REPAIR AND MAINTENANCE PROJECTS

PURCHASING
JUN 17 2020 AM 9:59

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO: _____

FROM: Maintenance, Operations & Transportation (M.O.T.)

INDIVIDUAL/COMPANY NAME: **Environmental System, Inc. of Northern CA**

VENDOR NO: **22377**

ADDRESS: 3353 De La Cruz Blvd. Santa Clara CA. 95054

FAX NUMBER:

TELEPHONE NUMBER: 408-980-1711

TAX ID NUMBER: 94-2298197

E-Mail: www.esite.net

BOARD APPROVAL DATE: June 25, 2020

CONTRACT TERM: From: July 1, 2020 - June 30, 2021

CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/ or reports:

- A. One-line description for the Governing Board report: **Provide HVAC maintenance and repair district-wide.**
- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach Scope of work, proposals exhibit and other pertinent documentation.

BUDGET CODE:

FD	RESC	PY	OBJECT	SB-OB	GOAL	FCTN	CST-CTR	LOC	PROG	AMOUNT
050	8150	0	5815	00	0000	8100	000000	450	8130	\$84,000.00

Program Manager/Principal [Signature] Date: 6/10/20

Supervisor _____ Date: _____

Fiscal Approval [Signature] Date: 6/10/2020
Accountant

Director of Fiscal Services [Signature] Date: 6/5/20

Asst Supt, Bus Services [Signature] Date: 4/18/20

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

_____ b. **Flat Rate:** \$ _____ to be the total payment to the Contractor including travel and/or other expenses.

X

_____ c. **Other:** \$84,000.00 FY 2020-2021

June 15, 2020



CC18422478

40 YEARS OF SERVICE
1975- 2015

Alum Rock Union School District
2390 Gay Avenue
San Jose, CA 95127
Email : gloria.fernandez@arusd.org

Attention: Gloria Fernandez
Regarding: HVAC/Control Equipment Service for period July 1, 2020 thru June 30, 2021
ESI Quote No. ARUS343-20

Environmental Systems, Inc. proposes to provide the labor and material to complete the following:

Scope of Work:

- Perform HVAC/Control equipment work per District request at all District sites
- Perform scheduled preventive maintenance at Dorsa and LUCHA.
- Make authorized repairs or improvements to the equipment during the hours of 7:30 to 3:30 at the contract labor rate. Emergency service outside of regular working hours and weekends will be provided at the overtime rate of time and one half with a two-hour response time. Service on a holiday will be at double time
- Payment is due upon receipt of invoice. In the event of commencement of suit to enforce payment of this order, customer promises to pay such additional sums for attorney's fees and costs as the Courts may adjudge reasonable. Interest will be charged at the rate of 1 ½% per month on overdue accounts. We retain the right to refuse service on delinquent accounts

Environmental Systems, Inc. shall perform all work as specified, for a **Not-to-exceed pricing of Eight-four Thousand Dollars (\$ 84,000.00)**

Payment made as follows:
P.O. Required

Order/Purchase of Materials	_____ % \$ _____
Base Scope of Work	_____ % \$ _____
Not-to-exceed pricing	100 % \$ 84,000.00

Date: June 15, 2020

By:

Quote is good for 90 days

Name: Ken Filice **Title:** Project Manager

ACCEPTANCE OF PROPOSAL AND CONTRACT

The price, specifications, and conditions of this proposal are satisfactory and hereby acceptable. I/We have read and acknowledge, and understand Environmental System's Inc.'s General Terms and conditions attached, and hereby incorporate the same as part of this Proposal & Contract. I/We agree to make all payments as outlined above.

Owner/Agent for Owner: _____ P.O. #: _____

Title: _____ Date: _____

Environmental Systems Inc – General Terms and Conditions

SECTION 1. ENTIRE AGREEMENT. The proposal on page 1, and these General Terms and Conditions represents the entire agreement. Owner may issue a Purchase order for accounting purposes, but these General terms and conditions will prevail.

SECTION 2. PAYMENT TERMS: Owner agrees to pay Environmental Systems Inc as follows: (A) 100% upon completion of project. (B) Any other payment terms must be mutually agreed to in writing between Owner and Environmental Systems Inc. (C) Progress payments based on amount of work completed each month.

SECTION 3. CHANGES IN WORK. Contractor shall not be required to make any changes in the work without a mutually agreed to written change order authorization which includes the new scope of work and agreed to price. If Owner provides verbal direction, Owner agrees to follow up in writing within 5 days, but in no event shall verbal direction preclude Environmental Systems Inc from being reimbursed for the change order work. Written changes will not be required if Contractor acts in response to an emergency situation.

SECTION 4. CLAIMS. If any dispute shall arise between Owner and Contractor regarding performance of the work, or any alleged change in the work, Contractor may perform the disputed work and shall submit a notice of a claim for additional compensation for the work within ten (10) days after commencement of the disputed work. Any and all claims shall first be addressed in an Owner/Contractor meeting for resolution. If that is unsuccessful, Contractor may request and Owner agrees to binding arbitration.

SECTION 5. GUARANTEE. Environmental Systems Inc guarantees and warrants all materials and workmanship and agrees to replace at his sole cost and expense any and all materials adjudged defective or damaged from said installation during a period of either (A) 30 days for repair work or (B) one year from completion for installation of new equipment. These specifications shall control unless a greater period of guarantee is provided by Equipment or material manufacturers.

SECTION 6. INSURANCE. Environmental Systems Inc shall, at its expense, procure limits and maintain insurance on all of its operations. The following will be considered acceptable insurance requirements on all projects. a. Workers Compensation and Employer's Liability insurance; amounts as required by statute. b. Comprehensive General Liability or Commercial General Liability insurance covering all operations: \$1,000,000.00 c. Automobile Liability insurance, including coverage for allow owned, hired and non-owned automobiles: \$1,000,000.00

All insurance coverages shall be in amounts and for duration of the project.

SECTION 7. INDEPENDENT CONTRACTOR. Environmental Systems Inc is an independent contractor and not an agent for Owner

SECTION 8. ASBESTOS. Contractor agrees to stop work immediately and notify Owner should asbestos or asbestos related products be discovered during the course of construction. Work will continue only after the asbestos hazard has been abated by Owner and the Owner has authorized work to be restarted. Any such Asbestos remediation is not included in this contract.

SECTION 9. SEVERABILITY. If any one or more of the provisions of this Agreement, or the applicability

of any such provision to a specific situation, is deemed invalid or unenforceable, then such provision shall be modified to the minimum extent necessary to make it or its application valid or enforceable, and the validity and enforceability of all other provisions of this agreement, and all other applications of such provisions, shall not be affected.

SECTION 10. JURISDICTION AND VENUE. Contractor and Owner agree that this contract shall be construed in accordance with, and governed by, the laws of the State of California. The jurisdiction and venue for any dispute arising from this contract shall be Santa Clara County, California.

SECTION 11. ATTORNEYS FEES. If any action is commenced between the parties hereto concerning the project or enforcement of this Agreement, the prevailing party in such litigation shall be entitled to, in addition to such other relief as may be granted, court costs, legal expenses, and reasonable attorneys' fees.

CONTRACTORS ARE REQUIRED, BY LAW, TO BE LICENSED AND REGULATED BY THE CONTRACTORS' STATE LICENSE

BOARD WHICH HAS JURISDICTION TO INVESTIGATE COMPLAINTS AGAINST CONTRACTORS IF A COMPLAINT REGARDING A PATENT ACT OR OMISSION IS FILED WITHIN FOUR YEARS OF THE DATE OF THE ALLEGED VIOLATION. A COMPLAINT REGARDING A LATENT ACT OR OMISSION PERTAINING TO STRUCTURAL DEFECTS MUST BE FILED WITHIN

10 YEARS OF THE DATE OF THE ALLEGED VIOLATION. ANY QUESTIONS CONCERNING A CONTRACTOR MAY BE REFERRED TO THE REGISTRAR, CONTRACTORS' STATE LICENSE BOARD, and P.O. BOX 26000,

SACRAMENTO, CALIFORNIA 94826.

ESI Q#ARUS343-20



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 • Phone: 408-928-6800 • Fax: 408-928-6416 • www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Manager of Maintenance, Operation & Transportation *K*

Re: Contract Approval- Harry L. Murphy, Inc.

Date: June 11, 2020

Summary:

The District must maintain a contract with a flooring company that can repair and/ or replace flooring as needed at various sites District-wide. Harry L. Murphy, Inc. also specialize in demolition of existing abatement of asbestos. Harry L. Murphy, Inc. has been a very responsible and responsive company.

Recommendation:

Staff recommends that the Board of Trustees approve the contract with Harry L. Murphy, Inc. in the amount of \$85,000.00 for the 2020 – 2021 fiscal year.

PURCHASING
JUN 15 2020 AM 10:05

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
AGREEMENT FOR REPAIR AND MAINTENANCE PROJECTS

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO: _____

FROM: Maintenance, Operations & Transportation (M.O.T.)

INDIVIDUAL/COMPANY NAME: **HARRY L. MURPHY, INC.**

VENDOR NO: 12641

ADDRESS: 42 BONAVENTURA DR, SAN JOSE, CA 95134

FAX NUMBER: (408)955-1111

TELEPHONE NUMBER: (408)995-1100

TAX ID NUMBER:

E-Mail:

BOARD APPROVAL DATE: June 25, 2020

CONTRACT TERM: From: July 1, 2020 – June 30, 2021

CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/ or reports:

- A. One-line description for the Governing Board report: Provide flooring services that include repair and/ or replacement district-wide.
- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach Scope of work, proposals exhibit and other pertinent documentation.

BUDGET CODE:

FD	RESC	PY	OBJECT	SB-OB	GOAL	FCTN	CST-CTR	LOC	PROG	AMOUNT
050	8150	0	5815	00	0000	8100	000000	450	8130	\$85,000.00

Program Manager/Principal [Signature] Date: 6/12/20
 Supervisor [Signature] Date: 6/15/20
 Fiscal Approval [Signature] Date: 6/15/20
Accountant
 Director of Fiscal Services [Signature] Date: 6/12/20
 Asst Supt, Bus Services _____ Date: _____

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

- _____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.
- _____ b. **Flat Rate:** \$ _____ to be the total payment to the Contractor including travel and/or other expenses.
- X _____ c. **Other:** \$85,000.00 FY 2020 - 2021



CONTRACTORS LICENSE # C-15 145985
NEW DIR # 1000004204 Exp. 6/30/2020

DATE	SALES PERSON
6/11/2020	DARYL STACY

Proposal

CUSTOMER	PROJECT
Company: Alum Rock Union School District Address: 2930 Gau Avenue, San Jose, CA 95127 Attn: Edgar Gudiel Phone # 408-426-0210 Email: edgar.gudiel@arUSD.org	Project Name: ARUSD Office Address: 2930 Gay Avenue, San Jose, CA 95127 Project Contact: Edgar Gudiel Phone Number: 408-426-0210

UNION SHOP * BONDABLE PRICES * PRICE GOOD FOR 60 DAYS * APPRENTICESHIP TRAINING

SCOPE OF WORK

For the removal of existing flooring, and furnishing/installing new carpet, vet, lvt and 611 rubber base.

SECTIONS:

ADDENDA:

Item	Amounts	Description	Break Down (If Required)
		Labor Rate Regular Time - \$148.00/hr Time and 1/2 - \$194/hr Double Time - \$236/hr	

Please call or email with any questions or concerns!

BASE PRICE \$ **26,125.00**



OUR CARPET AND RUBBER RECYCLING PROGRAM PROMOTES AWARENESS TO OUR ENVIRONMENTAL RESPONSIBILITY!

Inclusions: Minimal prep (light sweeping, fill hairline cracks), install weekday hours (M-F 5:00am - 5:00pm).

Exclusions: Any overtime or premium hours; demolition of existing flooring; excessive preparation work (floating, leveling, grinding, etc.); concrete vapor control, final sealing, waxing, protection and cleaning, and any phasing of the project outside that specified in the contract documents.

NOTE:

Concrete moisture testing is not included in this price. Costs for Relative Humidity and/or Calcium Chloride Tests are charged at \$100 per test.

Neither relative humidity nor calcium chloride test will not predict any future occurrence of moisture activity in the concrete, but will only give you a picture of the moisture content at the time of the test. Harry L. Murphy, Inc. is not responsible for any failures due to moisture.

Authorization of the proposal:

When the Owner/Owners Representative signs this proposal it will be treated as a binding contract. Only after Harry L. Murphy, Inc. receives a copy of this signed document will the process of submittals and ordering of material proceed. By signing this proposal, the owner/owners representative agrees to invoice terms of NET 30 DAYS.

By signing this proposal the owner/owners' representative agrees to all terms and conditions set forth by this contract.

Signature: _____

Date: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 • Phone: 408-928-6800 • Fax: 408-928-6416 • www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Operation Manager

K

Re: Contract Approval- Khai Heating & Air Conditioning

Date: June 2, 2020

Summary:

Khai Heating & Air Conditioning is experienced with Allerton controllers and program settings along with HVAC units. This includes filter change, vacuum and wipes units. Clean indoor and outdoor coils, drain pans and bird screen.

Recommendation:

Staff recommends that the Board of Trustees approve the contract with Khai Heating & Air Conditioning in the amount of \$44,000 for the 2020-2021 fiscal year.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
AGREEMENT FOR REPAIR AND MAINTENANCE PROJECTS

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO: _____

FROM: **Maintenance, Operations & Transportation (M.O.T.)**INDIVIDUAL/COMPANY NAME: **KHAI HEATING & AIR CONDITIONING** VENDOR NO: 21763

ADDRESS: 1182 Raposa DR., San Jose, CA 95121

FAX NUMBER:

TELEPHONE NUMBER: (408) 204-0682

TAX ID NUMBER

E-Mail: khaiqtran@yahoo.com

BOARD APPROVAL DATE: June 25, 2020**CONTRACT TERM:** From: July 1, 2020 - June 30, 2021**CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One-line description for the Governing Board report: **Services Airedale Units district-wide.**
 B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach Scope of work, proposals exhibit and other pertinent documentation.

BUDGET CODE:

ED	RESC	PY	OBJECT	SB-OB	GOAL	FCTN	CST-CTR	LOC	PROG	\$ AMOUNT
050	8150	0	5650	00	0000	8100	000000	450	8130	\$44,000.00

M. Newton 6/10/2020

Program Manager/Principal

Date: 6/10/20

Supervisor

Date: 6/15/20Fiscal Approval
AccountantDate: 6/15/20

Director of Fiscal Services

Date: _____

Asst Supt, Bus Services

Date: 6/15/20

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

_____ b. **Flat Rate:** \$ _____ to be the total payment to the Contractor including travel and/or other expenses.

X c. **Other:** \$ 44,000.00

#1
KHAI HEATING & AIR CONDITIONING
1182 RAPOSA DR SAN JOSE, CA 95121
PHONE (408)2040682
CONTRACTOR LICENSE 994721

Scope of work

Service and repair heating and cooling equipment and the response time is usually the same day or within 24 hours. I am specialized in Airedale heat pumps as well as straight cool units, however, non-Airedale units can also be fixed as well. Experience with Alerton controllers and program settings. I also work with school district HVAC technicians on units that fail more often than others to find the root causes and make recommendations.

Khai Tran

#2

KHAI HEATING & AIR CONDITIONING

1182 RAPOSA DR

SAN JOSE, CA 95121

PHONE (408)204-0682

CONTRACTOR LICENSE # 994721

PM SCHEDULE AND SERVICE CONTRACT PRICING

THE PM WILL BE PERFORMED TWICE PER YEAR.

THE FIRST PM WILL START IN THE FIRST WEEK OF MAY AND WILL BE COMPLETED IN THE SECOND WEEK OF JUNE (ABOUT SIX WEEKS).

THE SECOND PM WILL BE PERFORMED IN THE FIRST WEEK OF NOVEMBER AND WILL BE COMPLETED IN THE SECOND WEEK OF DECEMBER (ABOUT SIX WEEKS).

THE PRICING FOR THE FIRST GENERATION AIREDALE UNIT IS \$75 PER UNNIT.

THE PRICING FOR THE SECOND GENERATION AIREDALE UNIT IS \$95 PER UNIT.

THE PRICING FOR SERVICE IS \$105 PER HOUR.

THE MINIMUM IS ONE HOUR FOR ANY SERVICE PLUS \$40 TRUCK CHARGE.

OVERTIME WORK RATE IS AT \$150 PER HOUR.

THE RATE FOR EMERGENCY IS AT \$150 PER HOUR.

THE NEW RATE IS EFFECTIVE ON 7/1/2020.

LET ME KNOW IF YOU HAVE ANY QUESTION

KHAI TRAN

#2



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 • Phone: 408-928-6800 • Fax: 408-928-6416 • www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent
From: Daniel Flores, Operation Manager *K*
Re: Contract Approval- Khai Heating & Air Conditioning
Date: June 2, 2020

Summary:

Khai Heating & Air Conditioning is experienced with not only Allerton controllers and program settings along with HVAC units but all other non-Airedale units. Khai will service and repair and heating and cooling equipment in a quick and timely manner.

Recommendation:

Staff recommends that the Board of Trustees approve the contract with Khai Heating & Air Conditioning in the amount of \$44,000 for the 2019-2020 fiscal year.

#2

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASING
JUN 15 2020 PM 3:52AGREEMENT FOR REPAIR AND MAINTENANCE PROJECTSTO: DIVISION OF BUSINESS SERVICES

CONTRACT NO: _____

FROM: **Maintenance, Operations & Transportation (M.O.T.)**INDIVIDUAL/COMPANY NAME: **KHAI HEATING & AIR CONDITIONING** VENDOR NO: 21763

ADDRESS: 1182 Raposa DR., San Jose, CA 95121

FAX NUMBER:

TELEPHONE NUMBER: (408) 204-0682

TAX ID NUMBER

E-Mail: khaiqtran@yahoo.com

BOARD APPROVAL DATE: June 25, 2020

CONTRACT TERM: From: July 1, 2020 - June 30, 2021**CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and /or reports:

- A. One-line description for the Governing Board report: **Service HVAC units, heat pumps as well as straight cools units district- wide.**
- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach Scope of work, proposals exhibit and other pertinent documentation.

BUDGET CODE:

ED	RESC	PY	OBJECT	SB-OB	GOAL	FCTN	CST-CTR	LOC	PROG	\$ AMOUNT
050	8150	0	5650	00	0000	8100	000000	450	8130	\$44,000.00

Program Manager/Principal

Date: 6/10/20

Supervisor

Date: 6/15/20Fiscal Approval
AccountantDate: 6/10/20

Director of Fiscal Services

Date: _____

Asst Supt, Bus Services

Date: 6/15/20

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

_____ b. **Flat Rate:** \$ _____ to be the total payment to the Contractor including travel and/or other expenses.

X c. **Other:** \$ 44,000.00

KHAI HEATING & AIR CONDITIONING
1182 RAPOSA DR SAN JOSE, CA 95121
PHONE (408)2040682
CONTRACTOR LICENSE 994721

Scope of work

Service and repair heating and cooling equipment and the response time is usually the same day or within 24 hours. I am specialized in Airedale heat pumps as well as straight cool units, however, non-Airedale units can also be fixed as well. Experience with Alerton controllers and program settings. I also work with school district HVAC technicians on units that fail more often than others to find the root causes and make recommendations.

Khai Tran

KHAI HEATING & AIR CONDITIONING

1182 RAPOSA DR

SAN JOSE, CA 95121

PHONE (408)204-0682

CONTRACTOR LICENSE # 994721

PM SCHEDULE AND SERVICE CONTRACT PRICING

THE PM WILL BE PERFORMED TWICE PER YEAR.

THE FIRST PM WILL START IN THE FIRST WEEK OF MAY AND WILL BE COMPLETED IN THE SECOND WEEK OF JUNE (ABOUT SIX WEEKS).

THE SECOND PM WILL BE PERFORMED IN THE FIRST WEEK OF NOVEMBER AND WILL BE COMPLETED IN THE SECOND WEEK OF DECEMBER (ABOUT SIX WEEKS).

THE PRICING FOR THE FIRST GENERATION AIREDALE UNIT IS \$75 PER UNNIT.

THE PRICING FOR THE SECOND GENERATION AIREDALE UNIT IS \$95 PER UNIT.

THE PRICING FOR SERVICE IS \$105 PER HOUR.

THE MINIMUM IS ONE HOUR FOR ANY SERVICE PLUS \$40 TRUCK CHARGE.

OVERTIME WORK RATE IS AT \$150 PER HOUR.

THE RATE FOR EMERGENCY IS AT \$150 PER HOUR.

THE NEW RATE IS EFFECTIVE ON 7/1/2020.

LET ME KNOW IF YOU HAVE ANY QUESTION

KHAI TRAN



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 • Phone: 408-928-6800 • Fax: 408-928-6416 • www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Operations Manager

Re: Contract Approval for P & J Imperial

Date: June 25, 2020

Summary

The District is required to provide safe transportation for its Special Education Students. In order to ensure the District complies with its requirement, it is necessary for the District to have fire extinguisher on its school buses and have all school bus drivers be proficient in using the fire extinguishers. P and J provides the necessary training for all the District's bus drivers.

Recommendation

Staff recommends the Board of Trustees approve the three (3) year contract with P & J Imperial for the 2020-2021 through 2022-2023 school year, in the amount of \$675.00 for the 2020-2021 SY with a 5% increase for each additional year of the contract.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASING
07/20/20 4:05:05

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
 FROM: Transportation (School/Dept.) VENDOR NO. 18739
 PROGRAM MANAGER: Michelle Barron

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: P & J Imperial Assoc / Imperial Fire
 Address: 1538 Willard Garden Ct. City: San Jose State: CA Zip: 95126
 Phone: (408) 406-3091 Email Address: peterimperial@yahoo.com
 SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 07/01/2020 end date 06/30/2023
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:
 A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Multi Year Service Contract

Provide annual fire extinguisher training for employees in the transportation department

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

 a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 2,127.94 (describe rate agreement) \$675.00 for 2020-2021 SY, \$708.75 for 2021-2022 SY and \$744.19 for 2022-2023 SY

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
010	000-0-8515	00-1110-3600	00000	470-3270		
<u>010</u>	<u>0000 0</u>	<u>5815 00 1110 3600</u>	<u>000000</u>	<u>470 3270</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

IMPERIAL FIRE, Safety & First Aid

June 2, 2020

1538 Willard Garden Court

San Jose, CA 95126

408 406-3091

SCOPE of Work: Fire Extinguisher Annual Maintenance Certification

Alum Rock Union School District, 2020-2021

1. Perform yearly inspection service
2. Perform internal 6 year service
3. Pressure test cylinders every 12th year
4. Replace old, damage, or missing parts
5. Replace old fire extinguishers

Job sites: All schools, shops, offices, buses

Peter Imperial

IMPERIAL FIRE

408 406-3091

peterimperial@yahoo.com

IMPERIAL Fire

1538 Willard Garden Ct
San Jose, Ca
95126

408-406-3091
petenimperial@yahoo.com



Alum Rock Union School
2930 Gay ave.
San Jose, Ca
95127
Michelle.barron@arUSD.org

Quote

SERVICE NUMBER:
#0522020

Listed Item	UNIT COST	
Fire extinguisher training, set up, clean up, material, travel	\$675	2020
Fire extinguisher training, set up, clean up, material, travel	\$708.75	2021
Fire extinguisher training, set up, clean up, material, travel	\$744.19	2022

ATTENTION:
Michelle/Dolores

Cloud-based accounting software for small businesses

FRESHBOOKS
cloud accounting



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 • Phone: 408-928-6800 • Fax: 408-928-6416 • www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Manager of Maintenance, Operation & Transportation

Re: Contract Approval- Quick Light Recycling, LLC

Date: June 11, 2020

Summary:

The District is required by state regulations to properly dispose of all environmental hazardous material. Quick Light Recycling, LLC. has demonstrated the capability to provide the services that comply with these regulations as well as for the guidelines set by the District. Quick Light Recycling, LLC. will provide the service of removal for hazardous material, and provide the proper containers for disposal district-wide.

Recommendation:

Staff recommends that the Board of Trustees approve the contract with Quick Light Recycling, LLC. in the amount of \$5,000.00 for the 2020 – 2021 fiscal year.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASING
2020 PM2:03

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
 FROM: Maintenance (School/Dept.) VENDOR NO. 20129
 PROGRAM MANAGER: Ed Villarreal - Manager

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Quick Light Recycling, LLC
 Address: P.O. Box 34 City: Brisbane State: CA Zip: 94005
 Phone: (415) 596-2305 Email Address: pam@quicklightrecycling.com
 SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Provide proper disposal containers and removal of hazardous material district- wide.

Removal of non-pcp ballast, florescent lights, batteries and broken glass.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

 a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

 X b. **Other:** \$ 5,000.00 (describe rate agreement) _____

Budget Code: 050-8150-0-5815-00-0000-8100-000000-450-8130

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
050	450	8130	5815	\$ 5,000.00		<i>H. Neumann</i> <i>6/15/20</i>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



Quick Light Recycling
www.quicklightrecycling.com

170 S. Spruce Ave, SSF, CA 94080
Mailing: P.O. Box 34, Brisbane, CA 94005
pam@quicklightrecycling.com
(415) 596-2305

Alum Rock Elementary School District
2930 Gay Ave
San Jose CA 95127
May 11, 2020

Dear Gloria,

Description of services:

We provide containers which are fully labeled, free of charge, to each school, for the purpose of collecting spent fluorescent and other mercury containing lights, ballasts and batteries.

All of the material is then collected and recycled according to state and federal standards.

The lights are processed through:

Environmental Protection Services of Oregon
P.O. Box 21763
Keizer, Oregon 97307

The ballasts and batteries are processed through:

Zarc Recycling
26 South Linden Avenue
South San Francisco, CA 94080-6408
zarc@zarcnet.com

Cost:

See attached sheet

Duration of job:

2020-2021

Terms and Conditions:

Estimate emailed when order is called in. Once product is counted and weighed, an Invoice will be emailed.

Quick Light Recycling

Left to Right:

WT-13--\$.99/LB

Non-PCB ballasts

WT-3--\$175.00

Compact Fluorescents 80 to 100

WT-5--\$175.00

HID, Metal Halide, Halogens

WT-7 --\$1.80/LB

Batteries Alkaline \$3.00/LB

WT-4-- \$125.00

U-bents holds 72

WT--2a--\$79.00

Holds 88 T8

WT-1--\$185.00

Holds 410 T8 or 190 T12

WT-6--\$180.00

Holds 400 T5

Delivery: \$25 - \$45



Special Note: Wet /taped tubes: \$2.00 ea. Broken glass: \$3.50/lb Plastic shields covering lights: \$2.50 ea.

Quick Light Recycling 415/596-2305

sales@quicklightrecycling.com



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 • Phone: 408-928-6800 • Fax: 408-928-6416 • www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Manager of Maintenance, Operation & Transportation

Re: Contract Approval- Silicon Valley Lockmasters, Inc.

Date: June 11, 2020

Summary:

The District has the need to maintain a contract with an experienced and reliable company. Silicon Valley Lockmasters, Inc. specializes in installation and repair of both doors and locks on as needed basis district-wide.

Recommendation:

Staff recommends that the Board of Trustees approve the contract with Silicon Valley Lockmasters, Inc. in the amount of \$10,000.00 for the 2020 – 2021 fiscal year.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED
JUN 15 2020 PM 2:10

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
 FROM: Maintenance (School/Dept.) VENDOR NO. 17554
 PROGRAM MANAGER: Ed Villarreal - Manager

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Silicon Valley Lockmasters, Inc
 Address: 1444 S. Main St City: Milpitas State: CA Zip: 95035
 Phone: (408) 262-2096 Email Address: _____
 SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2020 end date June 30, 2021
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Provide emergency support and repairs on re-keying doors, locks, and cylinders on an as needed basis due to vandalism district-wide.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$ 10,000.00 (describe rate agreement) _____

Budget Code: 050-8150-0-5815-00-0000-8100-000000-450-8130

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
050	450	8130	5815	\$ 10,000.00		<u>M. Lopez</u> <u>6/15/20</u>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

SILICON VALLEY LOCKMASTERS, INC.

1444 S. MAIN ST. MILPITAS, CA. 95035

408-262-2096 PHONE 408-262-5517 FAX

May 18, 2020

SILICON VALLEY LOCKMASTERS IS TO PROPOSE SUPPLYING SERVICE AND LOCKING HARDWARE WHEN NECESSARY TO ALUM ROCK SCHOOL DISTRICT.

REPAIR, ADJUST, AND REPLACE ALL TYPES OF LOCKS INCLUDING BUT NOT LIMITED TO DOOR LOCKS, PADLOCKS, DESK LOCKS AND PANIC HARDWARE.

REPAIR, ADJUST OR REPLACE DOORS, FRAMES, AND DOOR CLOSERS.

REKEY OF LOCK CYLINDERS TO DISTRICT MASTER KEY SYSTEM.

PROVIDE CONTACT INFO FOR EMERGENCY AFTER HOUR CALLS.

THANK YOU,

DEAN REESE

SILICON VALLEY LOCKMASTERS, INC.
1444 S. MAIN ST.
MILPITAS, CA. 95035
PH. (408) 262-2096 FAX (408) 262-5517

RATE SHEET:

The following prices will remain firm for a period from 01/01/2020 thru 12-31-2020.

STANDARD HOURLY RATES: Per Hour :@\$135
Service call @\$75

OVERTIME HOURLY RATES: Weekday's After 5:00 PM: @\$135.00 2 HR Min.
Saturday's: @\$135.00 2HR Min.
Sunday's / Holiday: @\$135.00 2HR Min.

LOCKSHOP (REGULAR) HOURS: 8:00AM TO 5:00 PM Monday thru Friday

COMMON SERVICE & HARDWARE:

REKEYS	\$18.00 Each
DO NOT DUPLICATE	\$3.95 Each
PRIMUS KEYS	\$12.75 Each
PRIMUS CORES	\$147.00 Each

TERMS: Net 30 payment terms are extended as a courtesy to companies who purchase from our company when you have established an account. We require a standard letter of credit to open an account.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 • Phone: 408-928-6800 • Fax: 408-928-6416 • www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Manager of Maintenance, Operation & Transportation

Re: Contract Approval- Water Quality Plumbing, Inc.

Date: June 11, 2020

Summary:

The District has experienced emergency issues with our plumbing and needs to maintain a contract with a company who can provide emergency support and repair. Water Quality Plumbing, Inc. is experienced and knowledgeable to serve the District needs.

Recommendation:

Staff recommends that the Board of Trustees approve the contract with Water Quality Plumbing, Inc. in the amount of \$44,000.00 for the 2020 – 2021 fiscal year.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASING
JUN 15 2020 PM 2:14

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
FROM: Maintenance (School/Dept.) VENDOR NO. 18249
PROGRAM MANAGER: Ed Villarreal - Manager

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Water Quality Plumbing, Inc
Address: 1716 Stone Ave, Suite E City: San Jose State: CA Zip: 95125
Phone: (408) 267-9330 Email Address: _____
SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Provide emergency support and repairs related to plumbing. Inspections, copper re-piping, sewer, remodeling and new construction, water conditioning and purification.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

 a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

 X b. **Other:** \$ 44,000.00 (describe rate agreement) _____

Budget Code: 050-8150-0-5815-00-0000-8100-000000-450-8130

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
050	450	8130	5815	\$ 44,000.00		<u>Uwech</u> <u>6/30/20</u>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



TEL: (408) 267-9330
FAX: (408) 448-6231

LICENSE # 305018
1716 STONE AVENUE, SUITE E
SAN JOSE, CA 95125



TEL: (408) 266-0707
FAX: (408) 266-1761

Submitted To : Alum Rock School District
Address: All San Jose Locations
City/Zip: San Jose
Phone : Gloria (408) 928-6859
E-Mail : gloria.fernandez@arUSD.org

Date: 6/8/2020

Water Quality Plumbing will provide regular working hours and emergency support and repairs related to plumbing and water treatment district wide.

Rates:

Regular rates - \$160.00 per hour with a one hour minimum / \$25.00 trip charge

OT Rates - \$240.00 per hour with a one hour minimum / \$25.00 trip charge

Marty Satalino, Jr.
President
Water Quality Plumbing



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. _____

FROM: Special Education (School/Dept.)

VENDOR NO. _____

PROGRAM MANAGER: Anthony Colonna

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Vista Center for the Blind and Visually Impaired

Address: 2500 El Camino Real #100 City: Palo Alto State: Ca Zip: 94306

Phone: (650) 858-0202 Email Address: _____

SSN: _____ or Fed I.D. #: 94-1196206

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date August 1, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Provide travel training to six (6) Alum Rock Students from the following schools: George 8th grade, Fischer 8th grade, Hubbard 1st and 4th grades, Linda Vista 2nd grade and Lyndale 2nd grade. Orientation and Mobility training will be provided to students who have a visual impairment which limits or causes a safety issue when traveling in familiar or unfamiliar environment.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☒ a. **Fee Rate:** \$ 190.00 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☐ b. **Other:** \$ _____ (describe rate agreement) _____

080-6500-0-XXXX-00-5001-3140-000000-380-1801

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
				25,000		<i>Ch 6/18/20</i>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



for the blind and visually impaired

SCOPE OF SERVICES

General Description:

Vista Center for the Blind and Visually Impaired (Vista Center) will provide travel training to visually impaired students identified by the School District as needing such services and meeting the set criteria in the students' IEP. Orientation and Mobility (O&M) training will be provided to students who have a visual impairment which limits or causes a safety issue when traveling in familiar and unfamiliar environments. The School District will send an authorization to Vista Center to provide O&M training for each student based on the IEP goals. Vista Center will provide a credentialed Orientation and Mobility Instructor to assess the students travel skills and provide training to meet IEP goals.

Purpose:

The goal of Vista Center is to provide greater independence to visually impaired students to enable them to travel safely and efficiently in the school, home and community.

Model for Providing Services

Referral Process:

The School District will refer students who have Orientation and Mobility services listed in their IEP. The School District will send Vista Center an Individual Service Agreement or purchase order stating the eligible student's information (name, address, phone number, etc.), his/her IEP, and any medical information relevant to providing O&M services to the student. All information related to students will be kept strictly confidential by both the School District and Vista Center staff.

The O&M Instructor will go to the student's school/home for the initial intake, assessment and to begin training.

Assessment and Training Plan:

All new students will be screened to determine their eligibility for Orientation and Mobility. Part of the functional assessment will be to determine the students' level of competence in necessary travel skills including but not limited to:

- ability to walk around home/school environment safely
- ability to describe present location in relationship to other objects
- (if a cane user) ability to demonstrate safe cane techniques as defined by the O&M Profession.
- ability to walk up and down a short flight of stairs safely
- ability to perform intersection analysis for safe crossings

2500 El Camino Real, Palo Alto, CA 94306
3315 B Mission Drive, Santa Cruz, CA 95065
101 N. Bascom Ave. San Jose, CA 95128

P 650-858-0202 F 650-858-0214 W vistacenter.org
P 831-458-9766 F 831-426-6233
P 408-295-4016 F 408-295-1398



For the blind and visually impaired

- physical stamina to walk

Such skills are deemed necessary based on the standard curriculum set by the professional field of Orientation and Mobility. The student's ability to perform these skills will determine what level of training the student needs.

Generally, Orientation and Mobility training is taught one-on-one.

Safety Issues:

Safety of the student is of utmost importance to the O&M Instructor. A student is monitored at all times during a lesson. Until the student's skills are proven to the instructor, the student is always within arms reach. During a street crossing, the instructor is at the student's side at all times, although no body contact is made unless the student steps off the curb at an inappropriate time.

Performance Standards:

A student is considered to have completed his/her training when he/she demonstrates the ability to complete all IEP goals and travel safely and independently. Before signing off on a goal, each student is interviewed by the instructor to insure that he/she feels able to perform the goal safely.

Reporting/Documentation:

Vista Center has an electronic database where all student information and daily progress notes are recorded. Vista Center will provide monthly invoices detailing the lesson date, hours used during the month. As requested by the school or parents detailed progress notes can be provided. For the IEP meeting a final written report stating present level of progress and what the student learned, assessment of customer's ability to learn, and an assessment of the effectiveness of training as well as new goals for the coming year will be provided.

RESPONDER'S QUALIFICATIONS AND EXPERIENCE

Vista Center has provided Orientation and Mobility for over 50 years to the community, schools, and home environment. Currently, Vista Center has 2 O&M Instructors who have extensive training in Orientation and Mobility and can provide the services discussed in the IEP.

Orientation and Mobility Instructors at Vista Center are required to have a Master's Degree and/or Credential in Orientation and Mobility from an accredited university. Continuing education is stressed at Vista Center.

2500 El Camino Real, Palo Alto, CA 94306
3315 B Mission Drive, Santa Cruz, CA 95065
101 N. Bascom Ave. San Jose, CA 95128

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P 831-458-9766 F 831-426-6233
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for the blind and visually impaired

To maintain high standards Vista Center instructors are observed 1 or 2 times a year working with a consumer by his/her supervisor. Vista Center also conducts yearly evaluations of all instructors to ensure the quality of his/her work.

Our agency specializes in working with blind and visually impaired individuals. However, many of our students have secondary disabilities such as developmentally delayed, CP, etc. which have to be considered in their training.

School Year 2020-2021

Fee Structure for Services

<u>Service</u>	<u>Rate</u>
Orientation and Mobility	\$190 per hour
Teacher of the Visually Impaired (TVI)	\$190 per hour
Assistive Technology	\$190 per hour
IEP meetings	\$190 per hour
Transcription Services	\$190 per hour
<u>Assessment Fees</u>	<u>Flat Fee</u>
Orientation and Mobility (includes assessment 4-8 hrs and attendance of 1 IEP meeting)	\$1250
Functional Vision Assessment (TVI) (includes assessment 12-20 hrs and attendance of 1 IEP meeting)	\$2250

2500 El Camino Real, Palo Alto, CA 94306
3315 B Mission Drive, Santa Cruz, CA 95065
101 N. Bascom Ave. San Jose, CA 95128

P 650-858-0202 F 650-858-0214 W vistacenter.org
P 831-458-9766 F 831-426-6233
P 408-295-4016 F 408-295-1398



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. _____

FROM: Business Services (School/Dept.)

VENDOR NO. 21752

PROGRAM MANAGER: Kolvira Chheng

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: STLR Corp, dba Ryland School Business Consulting

Address: 8334 Parus Way City: Granite Bay State: CA Zip: 95746

Phone: (916) 652-7165 Email Address: terri@rylandsbc.com

SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2020 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Ryland SBC will provide general financial planning and business services as needed; assist with business office assistance, training, budget develop; year-end closing; fiscal viability; analyze long-term cash flow schedules; and presentations to the board.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☒ a. **Fee Rate:** \$ \$160.00 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☐ b. **Other:** \$ NTE \$49,000.00 (describe rate agreement) District will be billed on monthly basis for fees and expenses as approved by the Supt.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	400	72000	5815	NTE \$49K		<u>6/17/20</u>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



SCHOOL BUSINESS SERVICES CONTRACT

This contract is made by and between STLR Corp, dba RYLAND SCHOOL BUSINESS CONSULTING (Contractor) and the ALUM ROCK UNION SCHOOL DISTRICT. Contractor will provide financial and business office services (described more specifically below) as needed and directed by District staff. In consideration of the services provided, the ALUM ROCK UNION SCHOOL DISTRICT will pay to Contractor hourly fees of \$160 for professional services and for travel time. All charges, including expenses, will be approved by the Superintendent or designee of the ALUM ROCK UNION SCHOOL DISTRICT. Expenses are defined as actual, out-of-pocket expenses, such as lodging, meals, telephone charges, express or overnight mail charges, etc. The District will be billed on a monthly basis for fees and expenses, not to exceed \$49,000. The term of this contract is twelve months.

RYLAND SCHOOL BUSINESS CONSULTING will provide general financial planning and business services to ALUM ROCK UNION SCHOOL DISTRICT and its districts which may include but are not limited to the following: business office assistance and training; budget development; year-end closing of the books; general financial analysis as needed for negotiations; charter school petition evaluation and fiscal viability analysis; documentation of procedures; development of financial strategies and analysis related to growth or decline; recommendations for board policy; preparation or review of short-term and long-term cash flow schedules; and presentations to the governing board.

It is expressly understood and agreed to by both parties that the Contractor, while carrying out and complying with any of the terms and conditions of this agreement, is a corporation licensed in California and not an employee of the District. This contract may be terminated by either party with 30 days' notice. In the case of early termination, ALUM ROCK UNION SCHOOL DISTRICT will be entitled to completion of all work in progress at its option, and RYLAND SCHOOL BUSINESS CONSULTING will be entitled to payment in full of all expenses and fees incurred.

AGREED:

Hilaria Bauer, Superintendent
ALUM ROCK UNION SCHOOL DISTRICT

s/ Teresa R Ryland
President
RYLAND SCHOOL BUSINESS CONSULTING

Date

Date



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Operations Manager

Re: Contract Approval for Action Towing and Services

Date: June 25, 2020

Summary:

It is necessary for the District to maintain towing services district wide for various towing needs. The District has been contracting with Action Towing and Road Services which includes signage at all sites indicating their contact information. Action Towing and Road Services has demonstrated to be both responsive and reliable. The District had also considered looking into other companies but changing companies would require the District to incur additional costs associated with purchasing and installing new signage

Recommendation:

Staff recommends the Board of Trustees approve at three (3) year contract with Action Towing and Road Services for the 2020-2021 through 2021-2023 school years in the amount of \$6,000 per year.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
 FROM: Transportation (School/Dept.) VENDOR NO. 11984
 PROGRAM MANAGER: Michelle Barron

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Action Towing and Road Services
 Address: 655 E. Gish Road City: San Jose State: CA Zip: 95112
 Phone: (408) 988-4774 Email Address: _____
 SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 07/01/2020 end date 06/30/2023
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

*****Multi Year Service Contract*****

Provide towing and recovery of vehicles, transportation of containers and equipment

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

 a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 18,000.00 (describe rate agreement) per each year of contract. \$6,000.00 for 2020-2021 SY, \$6,000.00 for 2021-2022 SY and \$6,000.00 for 2022-2023 SY

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
010	000-0-8515	00-1110-3600	00000	470-3270		
010	0000	05815	00 1110	36000000000	470 3270	M. Newk 6/5/2020

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



1790 Industrial Way
Redwood City, CA 94063



TOWING
SINCE 1975
655 E. Gish Road
San Jose, CA 95112

June 11, 2020

Scope of work

Client name: Alum Rock School District

Schedule of rates:

<i>Service provided</i>	<i>Pricing</i>
Light duty towing	\$135.00 p/HR, 1 hour minimum
Medium duty towing	\$195.00 P/HR, 1 hour minimum
Heavy duty towing	\$200.00 P/HR, 1 hour minimum
Service calls/minor repairs	\$195.00 P/HR, 1 hour minimum

Please feel free to call or email me with any questions.

Sincerely,

Allen Guy
Operations Manager
(408) 829-9050
alleng@actiontowandrecovery.com



Remit to:
1790 INDUSTRIAL WAY
REDWOOD CITY, CA 94063

Action Towing & Road Service (650) 593-5555
Great America Towing (408) 988-4774

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue
San Jose, CA 95127

10.08

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

Subject : ACCEPTANCE OF MEMORANDUM(S) OF UNDERSTANDING

Staff Analysis:

The District has received the following Memorandum(s) of Understanding(s) as summarized on the sheet dated June 25, 2020

Recommendation:

Staff recommends acceptance of this memorandum(s) of understanding.

Prepared by:: Maria Martinez m.m. Title: Procurement Manager

Approved by: Kolvira Chheng Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Recommend Approval

10.08

Agenda Placement

Meeting: June 25, 2020

Regular Board Meeting

Hilaria Bauer
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union Elementary School District
June 25, 2020 Board Meeting

MEMORANDUMS OF UNDERSTANDING

<u>DEPARTMENT</u>	<u>DATE OF SERVICE</u>	<u>CONSULTANT NAME</u>	<u>COST</u>	<u>PURPOSE</u>
Academic Services Barbara Campbell	09/03/20- 06/30/21	Santa Clara County Office of Education	\$8,480.00	Provide professional development to ARUSD teachers and Administrators. Participants will learn specific EL Toolkit strategies and practices that can be utilized to support the needs of students. Focus will be on English Language Development. This training may be live or virtual. Funding: General



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: BUSINESS OFFICE Contract No.: _____ Vendor No.: 10547

Academic Services (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**
☒ Scope of Work/Proposal

Note: All Contracts over \$5,000 require pre-approval.

* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Santa Clara County Office of Education - EL Toolkit

Address: 1290 Ridder Park Drive City: San Jose State: CA Zip: 95131

Phone: (408) 453-6756 Email: barb_flores@sccoe.org

SSN: _____ Fed I.D. #: _____

CONTRACT TERM: start dates September 3, 2020 end date June 30, 2021

CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

The SCCOE will provide professional development to ARUSD teachers and administrators.

Participants will learn specific EL Toolkit strategies and practices that can be utilized to

support the needs of our students. Focus: English Language Development.

Trainings may be live or virtual.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

X a. Fee Rate: \$ 405. per session(16) Not to Exceed \$8,480.00 of services.

X b. Other: \$ 2,000.

Describe other related costs: Cost for EL Toolkit of Strategies Book: \$20 per/100 total books

BUDGET CODE: 010 0000 0 5815 00 1110 1000 000000 305 5100 LCAP 2.1

Accountant Initials _____

Accountant Initials _____

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: Barbara Campbell Date: 5/26/2020

Director of Fiscal Services: _____ Date: _____

Asst. Supt., of Business Services: _____ Date: _____

Superintendent: _____ Date: _____

Santa Clara County Office of Education

Alum Rock Union School District and Santa Clara County Office of Education

Memorandum of Understanding

May 27, 2020

This is a memorandum of understanding between Alum Rock Union School District (ARUSD) and the Santa Clara County Office of Education (SCCOE). The goal of this professional learning is to provide training to a PLC of teachers on the EL Toolkit of Strategies over the course of four afternoon sessions.

Details:

ARUSD will recruit four cohorts of teachers (Two Cohorts will be TK-3rd, Two Cohorts 4th-8th) to participate in the EL Toolkit of Strategies professional learning. As part of this training, teachers will receive the EL Toolkit of Strategies book, which is included in this MOU. The work will take place August 2020 through June 2021.

This memorandum of understanding is intended to define:

- Use of resources
- Articulation of monies
- Coordination and delivery of professional learning

1.0 Use of Resources

- ARUSD will provide a meeting place for the professional learning for face to face meetings, otherwise, the trainings will be virtual with the SCCOE providing the resources to access the meetings.
- The SCCOE will provide 2 Multilingual and Humanities Education coordinators for the professional learning.
- The SCCOE will provide physical materials (i.e., EL Toolkit books) and electronic materials.

2.0 Articulation of Monies-Reimbursement Plan

- 2.1 ARUSD will pay up to \$8,480 to the SCCOE (see attached Proposal of Services for the breakdowns). The rates for services provided by the SCCOE are \$405 for half day (less than 4 hours).
- 2.2 Monies will be paid upon receipt of invoice. Invoices for completed work will be issued quarterly.
- 2.3 In the event of session cancellation(s) or rescheduling, notification must be made to the SCCOE *in writing at least ten working days prior to the training dates.*

3.0 Coordination and Delivery of Professional Learning

- 3.1 The SCCOE and ARUSD will finalize the dates and presenters with at least 20 days' notice beginning 05/27/2020. The proposed dates are 09/03, 09/10, 09/17, 09/24, 10/01, 10/08, 10/15, 10/22, 11/04, 11/18, 12/02, 12/09, 01/13, 01/20, 01/27, AND 02/03.
- 3.2 The SCCOE will provide 2 Multilingual and Humanities Education coordinators to facilitate the services.
- 3.3 The services will take place between August 2020 and June 2021.
- 3.4 ARUSD will provide the SCCOE coordinators with the intended outcomes/topic of each workshop no later than three weeks before the workshop date. If the outcomes are not determined within this timeframe, the SCCOE reserves the right to cancel the training.
- 3.5 Date, Time, and Location: ARUSD will notify the SCCOE of the specific location and start/end times for each meeting at least 3 days prior to the meeting.

4.0 Other Conditions

- 4.1 Insurance: ARUSD and the Santa Clara County Office of Education shall maintain a certificate of insurance in the business office of each respective office.
- 4.2 Indemnity: ARUSD and the Santa Clara County Office of Education shall be held harmless from and against any and all claims arising from a default in the performance of any obligation of the memorandum of understanding.

By: _____
Signature of Authorized Official

Santa Clara County Office of Education

Title: _____

Date: _____

Address: 1290 Ridder Park Drive
San Jose CA 95131

By: _____
Signature of Authorized Official

Alum Rock Union School District

Title: Superintendent

Date: _____

Address: 2930 Gay Ave
San Jose CA 95127

**Proposal of Services: Alum Rock School District
2020-2021 School Year**

Below is an outline of our professional learning plan for the staff at Alum Rock School District. As per your request, the goal of this professional learning series is to provide training to a PLC of teachers on the EL Toolkit of Strategies over the course of four afternoon sessions.

Teachers: K-5

Location: Alum Rock School District/Virtual trainings

Days: 16 half day sessions, 3:15-5:15 (Two Groups, 4th -8th Grade/Two Groups K-3rd Grade)

Trainers: Barb Flores, Deedy Camarena

Rate: \$405 per half day

Cost for EL Toolkit of Strategies Book: \$20 per/100 total books

Key areas to cover:

- EL Toolkit of Strategies

Focus: English Language Development		
Dates	Activity	Fee Structure
Thursday, 9/3	EL Toolkit of Strategies Part 1 [4th-8th Grade]	\$405
Thursday, 9/10	EL Toolkit of Strategies Part 2 [4th-8th Grade]	\$405
Thursday, 9/17	EL Toolkit of Strategies Part 3 [4th-8th Grade]	\$405
Thursday, 9/24	EL Toolkit of Strategies Part 4 [4th-8th Grade]	\$405
Thursday, 10/1	EL Toolkit of Strategies Part 1 [K-3rd Grade]	\$405
Thursday, 10/8	EL Toolkit of Strategies Part 2 [K-3rd Grade]	\$405
Thursday, 10/15	EL Toolkit of Strategies Part 3 [K-3rd Grade]	\$405
Thursday, 10/22	EL Toolkit of Strategies Part 4 [K-3rd Grade]	\$405
Wed., 11/4	EL Toolkit of Strategies Part 1 [4th-8th Grade]	\$405
Wed., 11/18	EL Toolkit of Strategies Part 2 [4th-8th Grade]	\$405
Wed., 12/2	EL Toolkit of Strategies Part 3 [4th-8th Grade]	\$405

Wed., 12/9	EL Toolkit of Strategies Part 4 [4th-8th Grade]	\$405
Wed., 1/13	EL Toolkit of Strategies Part 1 [K-3rd Grade]	\$405
Wed., 1/20	EL Toolkit of Strategies Part 2 [K-3rd Grade]	\$405
Wed., 1/27	EL Toolkit of Strategies Part 3 [K-3rd Grade]	\$405
Wed., 2/3	EL Toolkit of Strategies Part 4 [K-3rd Grade]	\$405
	Cost for EL Toolkit of Strategies Book: \$20 per/100 total books	\$2,000

	Total (not to exceed)	\$8,480
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ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

10.09

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Approve Amended 2020-2021 Supervisory Calendar

Staff Analysis:

The Supervisory calendar which was board approved on June 11, 2020 has been amended to reflect the correct number of work days for the 10 month supervisory employees. Supervisory employees who work 10 months are required to work 185 days.

Recommendation:

District staff is recommending that the Board of Trustees approve the amended Supervisory calendar for the 2020-2021 school year.

Submitted by: Carlos Moran



Title: Assistant Superintendent, Human Resources

To the Board of Trustees:

Recommend Approval

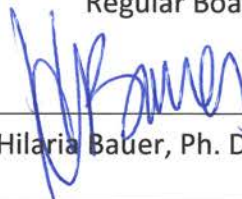
10.09

Agenda Placement

Meeting:

June 25, 2020

Regular Board Meeting



Hilaria Bauer, Ph. D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT SUPERVISORY 2020-2021

AMENDED

JULY				
M	T	W	TH	F
		1	2	3
6	7	8	9	10
13	14	15	16	17
20	21	22	23	24
27	28	29	30	31

7/3 Fourth of July

JANUARY				
M	T	W	TH	F
				1
4	5	6	7	8
11	12	13	14	15
18	19	20	21	22
25	26	27	28	29

1/1 New Year's Day

1/18 MLK

AUGUST				
M	T	W	TH	F
3	4	5	6	7
10	11	12	13★	14
17	18	19	20	21
24	25	26	27	28
31				

FEBRUARY				
M	T	W	TH	F
1	2	3	4	5
8	9	10	11	12
15	16*	17*	18*	19
22	23	24	25	26

2/15 President's Day

SEPTEMBER				
M	T	W	TH	F
	1	2	3	4
7	8	9	10	11
14	15	16	17	18
21	22	23	24	25
28	29	30		

9/7 Labor Day

MARCH				
M	T	W	TH	F
1	2	3	4	5
8	9	10	11	12
15	16	17	18	19
22	23	24	25	26
29	30	31		

OCTOBER				
M	T	W	TH	F
			1	2
5	6	7	8	9
12*	13	14	15	16
19	20	21	22	23
26	27	28	29	30

10/12 Non-work Day
for 10 month

APRIL				
M	T	W	TH	F
			1	2
5*	6*	7*	8*	9*
12	13	14	15	16
19	20	21	22	23
26	27	28	29	30

4/2 Cesar Chavez (Observed)
4/5 - 4/9 Spring Break
4/8 - 4/9 Non-work Days
for 10 month

NOVEMBER				
M	T	W	TH	F
2	3	4	5	6
9	10	11	12	13
16	17	18	19	20
23*	24*	25*	26	27
30				

11/11 Veteran's Day
11/26 Thanksgiving Day





MAY				
M	T	W	TH	F
3	4	5	6	7
10	11	12	13	14
17	18	19	20	21
24	25	26	27	28
31				

5/31 Memorial Day

DECEMBER				
M	T	W	TH	F
	1	2	3	4
7	8	9	10	11
14	15	16	17	18
21*	22*	23*	24	25
28*	29*	30*	31	

12/25 Christmas Day

JUNE				
M	T	W	TH	F
	1	2	3	4
7	8	9	10★	11
14	15	16	17	18
21	22	23	24	25
28	29	30		

	Legal Holiday
	Local Holiday
	Students Not In Attendance
	Starting/Ending Dates for Students

★	1st & Last Day for Kitchen/Satellite Supervisors (185 Days)
	12 Month Supervisor Work Year = 225 Days
	10 Month Supervisor Work Year = 185 Days

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

10.10

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: May 21, 2020

Subject: **School Plan for Student Achievement (SPSA) 2020-2021**
(School plans are available in the Board Library for review)

Staff Analysis: The School Plan for Student Achievement (SPSA) is a strategic plan that maximizes the resources available to the school while minimizing duplication of effort with the ultimate goal of increasing student achievement SPSA development should be aligned with and inform the Local Control and Accountability Plan process.

The SPSA consolidates all school-level planning efforts into one plan for programs funded through the consolidated application (ConApp), and for federal school improvement programs, including school wide programs, Comprehensive Support and Improvement (CSI), Targeted Support and Improvement (TSI), and Additional Targeted Support and Improvement (ATSI), pursuant to California Education Code (EC) Section 64001 and the Elementary and Secondary Education Act as amended by the Every Student Succeeds Act (ESSA).

Recommendation: Staff recommends approval of the 2020/2021 School Plan for Student Achievement for all Alum Rock Schools.

Submitted by: Sandra Garcia Title: Director of State and Federal Programs

Approved by: Rene Sanchez *R.S.* Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Recommend Approval

10.10
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

10.11

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: May 26, 2020

Subject: Acceptance of S. H. Cowell Foundation Grant

Staff Analysis: S.H. Cowell Foundation has awarded a one-year general operating grant to Alum Rock Union Elementary School District in the amount of \$100,000 (one-hundred-thousand dollars) under the Alum Rock's Early Learning Initiative, as provided in Roadmap to a High Quality Prenatal through 3rd Grade Health & Early Learning System in Alum Rock Union Elementary School District, Strategic Plan (2017-2025).

Recommendation: Staff recommends acceptance of the S. H. Cowell Foundation Grant Award.

Submitted by: Dr. Dianna Ballesteros

Title: Director of Early Learning

Approved by: Rene Sanchez *R.S.*

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: June 25, 2020
Regular Board Meeting

Recommend Approval

10.11

Agenda Placement

[Signature]

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by:

Seconded by:

Approved: _____

Not Approved: _____

Tabled: _____

PROPOSAL NARRATIVE

OPENING STATEMENT

Alum Rock Union Elementary School District (ARUSD) is applying for a one-year grant with a request of one hundred thousand dollars from the S.H. Cowell Foundation, to support Alum Rock's Early Learning Initiative. This initiative focuses on our Quality for All: Roadmap to a High Quality Prenatal through 3rd Grade Health & Early Learning System in Alum Rock Union Elementary School District, Strategic Plan (2017-2025).

The grant will support the following grant objectives, which guide the Early Learning Initiative efforts:

1. Provide access to high-quality early learning experiences
2. Forge strong partnerships between families, schools, and the community
3. Improve and align early education best practices across the entire district

DISTRICT NARRATIVE

The Alum Rock Union Elementary School District in San Jose is nestled in the foothills of the Santa Clara Valley in the San Francisco Bay Area. Alum Rock is a family-oriented community that is committed to its children and schools. The City of San Jose provides a wealth of cultural, recreational, and entertainment activities for all ages. The bonds between families, friends, and neighbors are the most important assets of the Alum Rock Union Elementary School District. Alum Rock schools are neighborhood schools. Classrooms and schools represent the demographics of East San Jose. Parent engagement, community support, creative innovative classes, and health outreach services all contribute to the continuous improvement and overall wellness of our schools. Standards-based instructional materials and large campuses make Alum Rock schools a good place to work, grow, and learn. Alum Rock School district employees serve children in the context of their families, communities, and society.

Alum Rock student body of families, children and personnel are rich in racial, ethnic, cultural, and linguistic diversity. Families across Alum Rock face barriers to opportunity. Our early learning work is to increase families' access to services and information that empowers families to prosper.

The District provides supplemental instructional programs for English Learners (Dual-Language, and emergent multilingualism) as well as, inclusion programs for gifted and special needs children. All with the aim of creating a way for this diverse community to grow and flourish.

According to recent data from Applied Survey Research (2019), the Alum Rock community is:

1. 79% of the community is Latino
2. 72% of our students have foreign-born parents
3. 40% of students are acquiring English as their second and academic language
4. 82 % of children qualify for free or reduced lunch
5. 40% of Alum Rock family's income is less than twenty-five thousand dollars a year
6. 25% of children are being raised by single parents
7. 51% of parents have a high school education or less, and
8. 43% of families noted were concerned about food security

This recent data demonstrates Alum Rock students and their families are likely to face multiple challenges on many fronts. Our Alum Rock initiative and our early learning practices strive to eliminate said challenges. Disparities in 3rd grade are correlated with poverty and the lack of access to Early Learning opportunities. In 2011, The Annie E. Casey Foundation commissioned a study. The findings confirmed the link between third grade reading scores and poverty experiences. The findings suggest the need to help families, and their children who daily face poverty experiences.

EARLY LEARNING INITIATIVE

The Alum Rock Union Elementary School District's Quality for All: Prenatal through 3rd Grade Health & Early Learning System Plan outlines a strategic vision and "Pathway to Success" (2017-2025) to ensure that by 3rd grade, all the children in Alum Rock are healthy, thriving and on a path to reach their full optimal potential in school and life success. The Pathway to Success is collective work; it cannot be done solely by one organization or district. We work together to ensure the most impact and change for families and children. It's about partnerships.

This conceptual framework reflects the efforts of our partnerships, to ensure the success of all students. It's a purposeful holistic system and transformational plan. A plan based on relationships of mutual respect for what each agency brings to support families and children in The Alum Rock School District.

The Alum Rock Union Elementary School District Prenatal through 3rd Grade Partners are committed to building an inclusive, equitable high quality, integrated system that promotes children's health, development and learning.

Selective key partners with brief descriptions include:

1. **Applied Survey Research** evaluation partner gathers and provides data
2. **Families in Alum Rock District** participates and gives family perspective, and supports student achievement
3. **First 5 of Santa Clara County** provides funding to support a total of four family resource centers in Alum Rock
4. **Grail Family Services** provides family engagement professional development for teachers, and administrators
5. **Healthier Kids Foundation** provides health screenings, vision, hearing, and dental
6. **Kidango** provides Early Learning State Preschool access to our community
7. **SJB** provides spaces in State Preschool
8. **Santa Clara County Office of Education (SCCOE)** provides leadership, through The Strong Start Coalition and Early Learning Plan Master
9. **SCCOE Early Learning Services Department** provides Early Head Start and Head Start spaces, prioritizing our children with Individual Education Plans (IEP's), foster, and children without stable homes
10. **SOMOS Mayfair** operates a flagship Family Resource Center in Alum Rock, among many other supports; they provide developmental screenings in the district for children from birth up to six-year-olds
11. **The Universal Access Pilot Program Santa Clara County Board of Supervisors through the Office of Cultural Competency** provides onsite team members, eight of Community Worker-Navigators to our early learning initiative through a cross-system coordination with other key departments. Age's served are birth up to six-years old
12. **County of Santa Clara School Linked Services (SLS)** provides students and families with school based coordinated services to improve health and wellbeing of families through a community participatory approach. All students in the school district and their families are eligible to receive SLS, including service linkage to community

resources and family engagement programs. The ages served are Kindergarten to eighth-grade

The alignment of the above partners works with all relevant policies, programs, standards, practices, professional development, and evaluation within our collaborative partnerships is of the utmost importance.

Alum Rock's Early Learning initiative aligns with First 5 of Santa Clara County strategic goals and community priorities:

1. Children's Health
2. Family Strengthening and Support
3. Early Care and Education
4. Community and Neighborhood Engagement
5. Systems Change
6. Workforce Development
7. Communications and Public Awareness

Our collaboration with key partners like First 5 accelerates the rate of success for Alum Rock families and children.

PROGRAM DESCRIPTION

MISSION

The Alum Rock Union Elementary School District Prenatal-3rd Grade Partners are staff and parent leaders from organizations that are committed to families and children's wellness. A committed collective who are building an inclusive, equitable, high-quality, integrated system to promote children's health, development, and learning from prenatal through third grade (eight-year-olds). We work in partnership with families to build this inclusive, equitable, and universal system that closes the achievement gaps, ensuring all children in The Alum Rock Union Elementary School District are succeeding in school by the end of 3rd grade. Designing and implementing a system that will develop new innovative strategies that will strengthen early learning and development for all children.

VISION OF SUCCESS

A vision in which we see all children in Alum Rock Union Elementary School District being healthy, thriving and on the path to reach their full potential in school and life. This vision is the

anchor point of this strategic plan, and initiative. It outlines what each of our partner organizations want to ultimately achieve, for every child and family in Alum Rock, it drives and gives purpose to the work to serve. With a successful implementation of education services that lead to Quality for All, we iterate and strive for sustained creation and delivery of access to these solutions for the community.

A Partner's Story... FLAGSHIP FAMILY RESOURCE CENTER

SOMOS Mayfair Family Resource Center, on site of our Cesar Chavez Elementary School. Its reputation is known widely, in the nation, state, city, and community. It is known as the flagship resource center in Alum Rock School District. According to the First 5 of Santa Clara County database, SOMOS served 975 families in 2019. Families, participate in evaluation and note that the FRC model, with its free programs, services, and activities open to everyone, and located in neighborhoods, is appreciated. This best practice is being replicated by adding three more FRC's in Alum Rock neighborhoods and campuses. Three new Family Resource Centers will launch in a quadrant model. Serving and supporting more families with this bold goal to serve by 2021 an additional 400 more families in each of the three Family Resource Centers.

Alum Rock's partners and the intentionality of continuous improvement, and enhancement across the districts networks are focused on the early learning support of families and children's school-life success. Health, language, literacy, math proficiency by third grade is at the heart of this work.

Alum Rock children's population, based on zip codes, from the US Census Bureau, America Community Survey, 2016 indicate who our Alum Rock children are:

1. 9,312 one-to five year olds
2. 1,204 Kindergarten age children
3. 3,143 first through third graders

A total of 13, 659 children with an initiated plan to outreach and support, at minimum 50% of families, with Quality for All: Roadmap to a High-Quality Prenatal through 3rd Grade Health & Early Learning System in Alum Rock Union Elementary School District.

Support from the S.H. Cowell Foundation will be instrumental to continue our early learning initiative. It will support our expansion, enhancements, and new innovative outreach efforts. With these funds we can ensure impact for all and support the best outcomes, for every child to be healthy, to feel safe, to embrace lifelong learning, and be happy in life. Partner leveraged programs are operating in Alum Rock.

Specific and leveraged programs to support families in Alum Rock include:

Alum Rock Programs Setting Up for Student Success	Partners Support and Collective Work
Family Resource Centers Quadrant-Four Participating Elementary Schools, Cesar Chavez, Painter, Hubbard, and Cureton.	First 5 of Santa Clara County SOMOS Mayfair & Catholic Charities Behavioral Health and School Linked Services (SLS)
Universal Access Pilot Early Learning, Painter Parent Cooperative Preschool-Cross System Coordination & Navigation to Resources.	Office of Cultural Competency, Santa Clara County-Moving to disbursing a team of eight Community Navigator Specialist, two at each site in Quadrant FRC's
Workforce Professional Development-Quality Matters-Child Teacher Interaction- SEEDS, (sensitivity, encouragement, education, development, through doing, and self-image) a relationship-based approach to develop language-rich environments, with teachers and families.	First 5 of Santa Clara County
Master Early Childhood Education Teacher, at Painter Parent Cooperative Preschool, evaluation, assessment, of 24 enrolled children and their families volunteering as early learning educators guided by a coach.	County Office of Education
DataZone Interface and Data Collection, with universal consents, to provide early learning dashboards, that will link data from all of source systems and provide the ability to share data with all ECE partners and TKK-12 districts.	County Office of Education
Health Vision, Hearing and Dental, on school campuses screening and health education for children, of those who reside in Alum Rock 99% of children have health insurance, while 88% of parents are insured.	Healthier Kids Foundation (HKF) prioritizes Alum Rock screens, approximately 32% receive a referral. HKF case manager follows through with completion and until the child file is closed.
Early Learning High-Quality Experiences, Multi-&-Mixed Delivery Service for families, Dual Language Immersion Preschool – Adelante I and Adelante II, Painter Parent Cooperative.	Think Together, Kidango, SJB, Early Learning Department SCCOE Head Start, Grail Family Services, SOMOS Mayfair, Family Child Care Providers, all partners participate in CA, Quality Matters, a standard of quality rating process, in early learning.
Data and Evaluation of ARUSD early learning strategic plan and system, School Readiness Assessments, Kindergarten Observation Form, and Four-Week-Summer Program Bridge to Kindergarten (B2K).	Applied Survey Research

<p>Transitional Kindergarten (TK) in 18 Elementary School, plus Expanded Transitional Kindergarten, a local option for Districts to provide early learning to four-year-olds with TK's –Children served in 20 classrooms, 27 T4TK, 404 TK</p> <p>Children served: 431</p>	<p>New Teacher Center Coaching Support</p>
<p>Bridge to Kindergarten (B2K) a summer school readiness four-week summer program designed to assist children and families make a transition from home to school into ARUSD's T4TK and Kindergarten classroom. Annually, four schools, eight classrooms of 20 children, taught with Alum Rock credentialed teachers.</p> <p>Children served: 99 to 124 each summer, estimated over 600 children since 2015 through 2019</p> <p>Source: Eschool-Plus 2020</p>	<p>SOMOS Mayfair, in the fall 2019 34 children who attended B2K were assessed and showed significant higher readiness scores in domains; K Academics, Self-Regulation, and Social-Expression, although this was their first early learning experience.</p>
<p>Alum Rock Special Day Classes, Inclusion Special Education -Individual Education Plans (IEP's)</p> <p>Children served: 317 source: Eschool Plus, 2020</p>	<p>Four Special Day Classes (SDC), serve children with IEP's and service to community younger three--year olds children with IEP's,</p>
<p>Raising a Reader (RAR) engages Alum Rock families with high-quality books that go home with children, to help develop home-based literacy habits and in-home connection tied to academic success. # classroom in T4TK & Kindergarten total of engaged families and children.</p> <p>Children served: 525, in 2020</p>	<p>Raising a Reader, with funding from The Masons of California –have generously provided nine of our eighteen Elementary Schools, with this program, teachers engage families to get weekly rotation bags of high-quality literacy books to go home and be used.</p>

Evaluation, and data gathering is part of how we measure incremental changes. SOMOS Mayfair, in the fall 2019, 34 children who attended Bridge to Kindergarten four-week summer program were evaluated in Alum Rock's Kindergarten classes. The 34 children pipelined into ARUSD kindergartens, where in the first four-weeks children were assessed. The children who attended Bridge to Kindergarten, showed significant higher readiness scores in domains; Kindergarten Academics, Self-Regulation, and Social-Expression, key developmental areas identified for all children to have to be ready in Kindergarten. Significant data for Alum Rock and SOMOS Mayfair to see, because according to the Parent Survey they indicated that the 34

children that experienced the four-week dosage of Bridge to Kindergarten, while being their only early learning experience, it supports children's learning prior to entrance to formal schooling.

QUALITY FOR ALL STRATEGIC GOALS

1) ACCESS TO HIGH-QUALITY EARLY LEARNING EXPERIENCES

The Early Learning Initiative, falls under the direction of the Assistant Superintendent of Instructional Services. The Director of Early Learning coordinates, organizes, and is responsible for alignment, integration, and implementation of Quality for All: Roadmap to High Quality Prenatal-3rd Grade Health & Early Learning System.

Leadership is committed to the strategic plans impact goals:

1. Children ready for school
2. Children meeting or exceeding 3rd grade standards in English and Math
3. Families engaged in their child's school success
4. Birth through 3rd Grade Early Learning System being effective, sustainable, and a replicable model providing evidence-based opportunities to Alum Rock families.

Partners in the Early Learning Initiative efforts work to design early learning support systems and program improvement across the Mayfair Community. One project in the Mayfair Community was the collaborative efforts of SOMOS Mayfair's Escuelita.

Escuelita provides services to families and their children in high quality early learning experiences. Family members participate in educational learning workshops, while the child is with Escuelita staff experiencing high quality early learning experiences, building vocabulary, talking about numbers, singing and dancing. Most importantly learning to be in a classroom environment with others. Emphasis in Escuelita is parallel to Alum Rock's work, high quality early learning experiences, supporting the youngest with foundational structure in domains of self-regulation, self-expression, and developmentally appropriate kindergarten readiness academics. Escuelita is an extraordinary early learning place, both for children and their family. It's an example of generational change, in the community. This program is affordable, accessible early learning at its best. It meets what families have told us, they need from the early learning community. It meets family's needs if it is free, implements quality, and supports their learning. Escuelita is a successful model, an example of listening to parents voice, who are the experts and lifelong people in their children's lives, they contribute valuable feedback on growing our strategic plans.

Parent voice was instrumental in growing our strategic focus. One courageous parent, their voice became the voice of many *"I cannot not afford to take out my family budget \$800 for tuition*

fees, even if it is a State preschool subsidized fee". We listened. We acted. Painter Elementary School has the first Parent Cooperative Preschool Program in our district. Partners from SOMOS Mayfair, County Office of Education, Early Learning Services, Santa Clara County Board of Supervisors, and First 5 of Santa Clara County work together in this unique model which serves 24 children ages three-to four-year-olds, in a morning three-hour program. By year two our goal is to serve an additional 24 children in the afternoon, for a total of 48.

The Parent Co-op's early education team is a Master teacher from the County Office of Education-Early Learning Department, two parent-teacher educators from SOMOS Mayfair, and enrolled parent-education-volunteers, who rotate and work in their child's classroom. It is high quality, using all the quality elements of the State of California Early Learning system. This innovative district preschool is tuition free; the cost is parent's labor of love working in their enrolled child's preschool.

Alum Rock, provides high quality early learning experiences in eighteen elementary schools, educating Transitional Kindergarten-Expanded and Kindergarten children, ages of four to five years-olds. The Director of Early Learning provides leadership in the school district and community to ensure all staff understand and support our Alum Rock School District strategic plan from Prenatal through 3rd grade initiative and programs. Early educators meet monthly in professional learning communities, and in collaborative curriculum building sessions.

This collective work initiates, supports and improves professional development, curriculum, early interventions and academic achievement for all students from birth to eight year-olds. These components give a theoretical knowledge base to promote high-quality and early learning best practices throughout the District, and Mayfair community. Alum Rock's leadership team oversees the operational implementation. With a clear focus on how, when, and where the district's early learning movement is growing and developing.

In addition, the Early Learning team leads and coordinates birth through third grade initiatives and collaborates with city, county and state agencies and representatives. These relationships link Alum Rock's early learning initiatives on a broader scale. San Jose Parks and Recreation, San Jose Public Library Early Learning Department is a deeper and growing relationship to Alum Rock's partnerships. We are working to identify collaborative structures in the City of San Jose, unifying systems that can support quality early learning access to families. Change and on-going program improvement with new partners across the district networks are focused on the early learning support of families and children's school-life success, good health, language, literacy, and math proficiency by third grade.

2) STRONG FAMILY-SUPPORT-SCHOOL COMMUNITY- PARTNERSHIPS

Integration of aligned systems with partners provides a purposeful equitable plan. This plan with the spirit of hope, a hope to ensure mutual respectful engagement with families as equals. Families, equal partners to foster children's health, well-being and bridging school and home, to enhance our community and society.

Through this multi-contextual, multi-disciplinary action and paying close attention to the whole child-whole family, and whole community approach, our teams address six significant social determinants.

1. **Education:** early engagement, empowerment, excellence
2. **Health:** body and mind wellness, physical wellness - dental, vision, and hearing
3. **High Quality Early Education:** families enrolled in quality programs
4. **Early Behavioral Healthiness:** screening and support of children; quality preschool experiences, and early intervention as needed
5. **Food Security:** networks of food distribution in the school district
6. **Housing Security:** where to find resources, vouchers, and legal help

Intentional efforts, like these, research demonstrates how families and children who have connections to all these social determinants, or at least three have a better chance of life success. Children will be ready to learn by kindergarten. This proposal to support the Early Learning Initiative with our partners provides foundational basic needs support for families and children. Families are supported with concrete methods especially in times of high familial need.

The aspiration, in Alum Rock is that our students enter high school and adulthood, with foundational knowledge, skills, powerful command of language, and socially acceptable behaviors. Every graduate of Alum Rock should graduate happy and feel competent to contribute to a fast changing world. Early interventions, best practices rooted in science give Alum Rock children a chance. A chance to develop coping skills during times of adverse human conditions, and grow their ability to be resilient is crucial. It's a partnership investment at the earliest stages of human development, prenatal through third grade. This Early Learning Initiative is driven by a unified mission and shared vision.

We hold our mission in heart, mind, and hands. Our mission is to work in partnership with families to build inclusive, equitable and Universal Early Learning System. A system that closes the opportunity readiness and achievement gaps, ensuring all children in Alum Rock Union School District are succeeding in school by the end of 3rd grade. Through this lens we see our vision of success in all the work. We pursue a vision of a successful future and intentional supported generational changes for all Alum Rock Union Elementary School District families. We see them healthy, thriving and on the path to reaching optimal and full potential in school

and life.

Families and children enter the System of Support through open doors. There is no wrong door, in Alum Rock for families. Families have a choice, to walk into one of our Family Resource Centers or ARUSD schools in our quadrant model. The quadrant model emerged from the interdependent thinking, dialogue and reflections of Alum Rock and partner leadership. People are ready to attend and serve the families.

3) ALIGNED BEST PRACTICES

Cognitive and academic development learning begins at birth, and requires a life-course approach with deliberate intent. Studies suggest that two social determinants provide a route to early school success; quality early preschool experiences, family engagement and participation in their children's school and learning. Literacy and language development is a significant aspect of this student comprehensive plan. Language proficiency, quality early learning, kindergarten readiness and 3rd grade reading, fluency, and comprehension are all interdependent on the 21st Century skill of communication. The art of communication is needed for children of today to succeed tomorrow. These best practices direct the work.

Best practices focus on:

- 1) Developmentally Appropriate Curriculum
- 2) Engaging Developmentally Appropriate Classroom Environment
- 3) Positive Teacher-child Interactions, who are well qualified and prepared
- 4) Play-based intentionally planned learning environments to engage children
- 5) Observation, evaluations, and other age appropriate assessments

Alum Rock's Early Learning Initiative, scope of responsibilities, and accountability is rooted in the Quality for All strategic plan. Early Learning work ripples through all of our eighteen elementary schools. Special Day Classrooms include children with special needs, Transitional Kindergarten and Kindergarten children. This service provides an intention that serves one thousand children from ages three-four and five-year-olds.

The Early Learning Initiative is done in multi-disciplinary teams. Partnerships with The New Teacher Center, University of Santa Cruz, coach and mentor new teachers and our Transitional Kindergarten educators. In unity, other students are supported. Through classroom observations, a plan of support is created to support all children's healthy development.

Goal 1: Access to high-quality learning experiences.

SOMOS Mayfair's Family Resource Center and Alum Rock Early Learning and Centralized Enrollment Center co-exist, at Cesar Chavez Elementary School. In this hub, families are navigated to meet with our Early Learning partners, to access placement in high quality

preschool spaces. Alum Rock Schools who refer children from the elementary schools, up to age six-years-old are screened with the Ages & Stages Developmental Screening tool. If the screen summary deems a referral children and families can be further supported through the Mental Behavioral Health department -Kid Connections. Educational evidence based opportunities provide parents with knowledge of parenting and child development.

Measurement of Success:

Applied Survey Research (ASR), funded by First 5 of Santa Clara County gathers Alum Rock data. Family and teachers from Alum Rock participate. ASR measures: Kindergarten Readiness, access and participation in high quality early education, and how are Alum Rock children doing in their social and emotional development.

Outcome: 5% increase in meeting or exceeding in English Language Arts and Mathematics in State assessment in third grade. Increase the number of students who are kindergarten ready by 5% (social-expression, self-regulation, and kindergarten academics).

Goal 2: Strengthen family-school-community partnerships.

Families in Alum Rock establish quality relationships; ensure early learning educators, and children's family members, engage with each other as equal partners. Working collaboratively helps to foster children's health, well-being and learning both in the home and in school. Families are offered parenting workshops: Language and Literacy SEEDS, Positive Parenting Program (Triple P), 24/7 DAD specific parenting education for fathers, and 10 Steps to a healthier you.

Measurement of Success: Participation rate in parent workshops will increase from 975 families to 1100 parent participants.

Outcome: 5% increase in 24/7 DAD and 5% in English Triple P, a positive parenting program

Goal 3: Aligned best practices in early education

Alum Rock School district recruits; encourages talented and caring employees, teachers, administrators, and leaders. We are people who are committed and dedicated with authentic enthusiasm about education from early learning through middle schools. District-wide professional development for all district employees is provided to assist our staff in meeting the needs of our students. Professional development is ongoing and everyone in the district is supported to continue growing and thriving. The district through partnerships with The New Teacher Center, University of California of Santa Cruz, provides coaching support for newly credentialed teachers, and Transitional Kindergarten teachers. In 2020 Alum Rock serves a student body of over nine thousand children, from preschool through middle schools.

Measurement of Success: Kindergarten teachers will increase participation in assessing Kindergarten Readiness using the Kindergarten Observation Form (KOF), from 20 to 40 teachers.

Outcome: 50% increase of Kindergarten teachers as assessment participants in all ARUSD kindergarten classrooms.

New Teacher Center T4TK coaching increase by 10%.

Early Learning professional development increase by 10%.

ARUSD Team Our Alum Rock Union Elementary School District leadership is a strong talented team, which supports and leads the early learning efforts.

- Dr. Hilaria Bauer, Superintendent
- Rene Sanchez, Assistant Superintendent
- Dr. Dianna Ballesteros, Director of Early Learning
- Norma Flores, Director of Student Services
- Dr. Annya Artigas, Coordinator, Wellness and Support Services
- Dr. Imee Almazan Coordinator, State and Federal Social Emotional Learning (SEL)

The leadership team works interdependently to recruit families and pipeline children into the school district. With the support of the S.H. Cowell Foundation we can continue to advance our implementation of the Quality for All: Roadmap to a High-Quality Prenatal-3rd Grade Health & Early Learning System in Alum Rock.