

# **ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**

## **BOARD OF TRUSTEES**



**Regular Board Meeting  
September 14, 2017**



**Thursday, September 14, 2017  
AGENDA - REGULAR BOARD MEETING**

**Type: AGENDA - REGULAR BOARD MEETING**

**Time: 5:30 p.m.**

**Code: Regular #06-17/18**

**Location: ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT, 2930 Gay Avenue, San Jose, CA 95127; Board Room.**

**In compliance with the Americans with Disabilities Act, if you need special assistance in order to participate in the public meeting of the Board of Trustees, please contact the Office of the Superintendent at (408) 928-6822. Notification 72 hours prior to the meeting will enable the District to make reasonable accommodations.**

**1. OPEN SESSION - CALL TO ORDER AND ROLL CALL**

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1.01 CALL TO ORDER / ROLL CALL -- PRESIDENT ESAU RUIZ HERRERA.

1.02 ANNOUNCEMENT AND PUBLIC COMMENTS REGARDING ITEMS TO BE DISCUSSED IN CLOSED SESSION (Government Code Section 54957.7).

1.03 ADJOURNMENT TO CLOSED SESSION: The Board will adjourn to Closed Session at approximately 5:30 p.m. Open Session will resume at the end of Closed Session in the Board Room at approximately 6:30 p.m.

**2. CLOSED SESSION**

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2.01 INFORMATION/DISCUSSION: PUBLIC EMPLOYEE PERFORMANCE EVALUATION (Government Code Section 54957). Title: Superintendent.

2.02 INFORMATION/DISCUSSION: CONFERENCE WITH LABOR NEGOTIATOR - Agency Designated Representative: Board President Esau Ruiz Herrera: Unrepresented Employee: Superintendent.

2.03 INFORMATION/DISCUSSION/ACTION: LIABILITY CLAIMS (Government Code Section 54956.95) Tort Claim Claimant: Guardian Pauline Cervantez, on Behalf of Student JP Tort Claim #17/18-001. Agency: Alum Rock Union Elementary School District.

2.04 INFORMATION/DISCUSSION/ACTION; CONFERENCE WITH LEGAL COUNSEL -- ANTICIPATED LITIGATION. Significant exposure to litigation pursuant to paragraph (2) of subdivision (d) (Government Code Section 54956.9): One (1) potential cases.

2.05 INFORMATION/DISCUSSION/ACTION; CONFERENCE WITH LEGAL COUNSEL -- ANTICIPATED LITIGATION. Initiation of litigation pursuant to paragraph (4) of subdivision (d) of Section 54956.9: One (1) potential case.

2.06 DISCUSSION/ACTION: PUBLIC EMPLOYEE APPOINTMENT/EMPLOYMENT (Government Code Section 54957); Title: Director of State & Federal.

2.07 DISCUSSION/ACTION; PUBLIC EMPLOYEE DISCIPLINE/DISMISSAL/RELEASE (Government Code Section 54957).

### **3. RECONVENE TO OPEN SESSION - DISTRICT OFFICE BOARD ROOM**

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3.01 CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE BY PRESIDENT ESAU RUIZ HERRERA.

3.02 REPORT OF ACTION TAKEN IN CLOSED SESSION.

3.03 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, or rescheduled.

### **4. SPECIAL RECOGNITION AND/OR PRESENTATION**

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4.01 SPECIAL RECOGNITION: Excellence Award. Two teachers who teach in the Therapeutic Special Day Classes at Ryan Elementary School have been awarded an Excellence Award by the South East Consortium SELPA. These teachers are Ms. Clarissa Johnston and Mr. Prabhjot Singh.

### **5. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD**

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5.01 "REQUESTS TO ADDRESS THE BOARD" provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to proceed to the podium. There is a three-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

### **6. PUBLIC HEARING**

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6.01 PUBLIC HEARING: Hold a Public Hearing regarding Sufficiency of Instructional Materials.

### **7. COMMENTS AND COMMUNICATION**

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7.01 Teamsters.

7.02 California School Employee's Association (CSEA).

7.03 Alum Rock Administrator's Association (ARAA).

7.04 Alum Rock Educator's Association (AREA).

7.05 Superintendent.

7.06 Board of Trustees/Communications/Comments

### **8. SUPERINTENDENT/BOARD BUSINESS**

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8.01 DISCUSSION/ACTION: Approve the Employment Agreement with Superintendent Dr. Hilaria Bauer for the term from September 14, 2017 thru June 30, 2020 to provide services as Superintendent.

8.02 DISCUSSION/ACTION: Renewal Contract for Continued Representation of Legal Services. The Board will discuss and may take action to approve the renewal of the Contract Agreement for Legal Services with Office of the County Counsel, County of Santa Clara for the term of July 1, 2017 through June 30, 2018.

8.03 DISCUSSION: The Board will review hours spent on legal counsel.

8.04 INFORMATION/DISCUSSION/ACTION: Process and Selection for a New District Legal Counsel.

8.05 INFORMATION/DISCUSSION: Discuss and approve \$250,000 set aside as restricted funds for use later as legal funds to initiate and defend lawsuits against federal immigration assessments and raids impacting sanctuary school district like Alum Rock.

8.06 DISCUSSION/ACTION: Board Training and Professional Development. The Board will discuss and may take action to schedule a Professional Development Session facilitated by CSBA, focused on "Board Self-Evaluation".

8.07 INFORMATION/DISCUSSION/ACTION: Call for Nominations for Directors-at-Large African American, American Indian, and County. The nominations for Directors-at-Large must be made by a CSBA Member Board and the nominee must be a Board Member from a CSBA Member District or County Office of Education. Nominations are currently being accepted until Friday, September 29, 2017.

8.08 INFORMATION/DISCUSSION: The Board is requesting to have an updated status on the FCMAT Report and the requested documents. In addition, the Board will provide clarification for the FCMAT response and recommendations.

8.09 INFORMATION/DISCUSSION: A Report from the MOT Department regarding the process and status of completed and pending work orders and maintenance projects for 2016-17 school year.

8.10 INFORMATION/DISCUSSION: Program and Construction Management Agreement for Measure I. Terminate Contract between Alum Rock Elementary School District (ARUSD) and Del Terra Real Estate Services, Inc., dba Del Terra Group.

8.11 INFORMATION/DISCUSSION: Construction Management Agreement, Measure J. Terminate Contract between Alum Rock Union Elementary School District (ARUSD) and Del Terra Real Estate Services, Inc., dba Del Terra Group for Construction Management of the ARUSD Bond Program.

8.12 INFORMATION/DISCUSSION: Program Management Agreement, Measure J. Terminate Contract between Alum Rock Union Elementary School District (ARUSD) and Del Terra Real Estate Services, Inc., dba Del Terra Group for Program Management of the ARUSD Bond Program.

8.13 INFORMATION/DISCUSSION: An Executive Summary will be presented by Assistant Superintendent Kolvira Chheng: (1) Facility Assessment; (2) Air Conditioning Assessment Report; (3) Measure J Bond Expenditures.

8.14 DISCUSSION/ACTION: Request of Citizens Oversight Committee (COC) for Technical Assistance.

8.15 DISCUSSION: The Board will reconsider the meeting schedule for the Bonds, Facilities, and Finance Committee.



8.16 ACTION: Approve the Fourth Amendment to Joint Use Agreement Between Alum Rock Union Elementary School District and Alpha Public Schools, Inc., Operating Blanca Alvarado Middle School.

8.17 INFORMATION/DISCUSSION: Board Created Standing Committees. The Board will report on the following committees: (1) Bond, Facilities, and Finance; (2) Curriculum and Technology; (3) Parent Engagement; and (4) Small Schools and Innovation.

## **9. BOND / FACILITIES**

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9.01 PRESENTATION AND/OR UPDATE ON THE BOND/FACILITIES. Mr. Luis Rojas will present an update on the status of the Bond Projects.

9.02 INFORMATION/DISCUSSION/ACTION: Report and Update regarding Resolutions No. 53-16/17 and 54-16/17 pertaining to Debt and Bond Issuance.

9.03 ACTION: Approve Re-Roofing Project at L.U.C.H.A. Elementary School Notice of Completion.

9.04 ACTION: Approve Re-Roofing Project at Mathson Middle School Notice of Completion.

9.05 ACTION: Approve Re-Roofing Project at Russo Elementary Notice of Completion.

9.06 ACTION: Approve Contract with Sugimura Finney Architects, Inc., Bonds for Arbuckle School, \$152,000.00.

9.07 ACTION: Approve Contract with Sugimura Finney Architects, Inc. Bonds for Cureton School, \$152,000.00.

9.08 ACTION: Approve Contract with Sugimura Finney Architects, Inc. Bonds for McCollam E. S. \$152,000.00.

## **10. CONTRACTS OVER \$100,000**

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10.01 ACTION: Approve ASES Contract Adjustment with City Year, Inc., State & Federal, \$155,069.00.

## **11. INSTRUCTIONAL SERVICES**

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11.01 PRESENTATION: Extended Year Presentation.

11.02 INFORMATION: 2017 California Assessment of Student Performance and Progress (CAASPP).

11.03 INFORMATION: Local Control Accountability Plan (LCAP) Timeline.

## **12. HUMAN RESOURCES**

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12.01 INFORMATION Regarding Resignations.

## **13. BUSINESS**

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13.01 INFORMATION/ACTION: Unaudited Actual Financial Reports for 2016 – 2017 Report to the Board on Unaudited Actuals as Required by Law.

13.02 INFORMATION: Small Business Outreach and Information Session Report.

## **14. CONSENT CALENDAR**

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- 14.01 ACTION: Approve Board Meeting Minutes for the following dates: (1) July 18, 2017, Special Board Meeting; and (2) July 27, 2017, Special Board Meeting.
- 14.02 ACTION: Acceptance of Grant/Award Notification.
- 14.03 ACTION: Approve Memorandum(s) of Understanding(s).
- 14.04 ACTION: Approve Contracts for Professional Services - Firms.
- 14.05 ACTION: Approval of Fundraising Activities.
- 14.06 ACTION: Acceptance of Donations.
- 14.07 ACTION: Acceptance of the Vendor & Payroll Warrants-JULY
- 14.08 ACTION: Acceptance of the Vendor & Payroll Warrants-AUGUST.
- 14.09 ACTION: Approve the Santa Clara County Treasury Investment Portfolio Status.
- 14.10 ACTION: Approve UpKeep Maintenance Management Work Order System.
- 14.11 ACTION: Approve Resolution No. 07-17/18; Creating a College Culture.
- 14.12 ACTION: Approve Resolution No. 08-17/18; Hispanic Heritage Month, September 15-October 15, 2017.
- 14.13 ACTION: Approve Resolution No. 09-17/18; Character Education Month; October, 2017.
- 14.14 ACTION: Approve Resolution No. 10-17/18; Week of the School Administrator; October 8-14, 2017.
- 14.15 ACTION: Approve Resolution No. 11-17/18, Regarding Sufficiency or Insufficiency of Instructional Materials.
- 14.16 ACTION: Approve Resolution No. 12-17/18 October - Disability Awareness Month.
- 14.17 ACTION: Approve Resolution 13-17/18 NJPA Piggyback Contract #031715 Furniture with Related Accessories and Services.
- 14.18 ACTION: Approve Out of State Travel, Harvard Law School: Negotiation & Leadership Program, Cambridge, MA, October 16-18, 2017 for Hilaria Bauer, Jess Serna, Kolvira Chheng, Carlos Moran, Jocelyn Merz, Seyem Estep, Danielle Letts, Kris Clarke and Esau Ruiz Herrera. Estimated cost is \$45,540.
- 14.19 ACTION: Acceptance of Reclassification Criteria. English Language Learners are reclassified fluent English Proficient based on a set of State and District criteria. This is an overview of the criteria for the 2017-18 school year.
- 14.20 ACTION: Acceptance of Seal of Biliteracy Achievement Awards - Criteria.
- 14.21 ACTION: Approve/Ratify Notices of Employment and Changes of Status/September 14, 2017/Human Resources Department.
- 14.22 ACTION: Approve School-sponsored Field Trip List.

**15. FUTURE BOARD AGENDA REQUESTS**

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15.01 Requests from Board of Trustees and/or from the Public.

**16. ADJOURNMENT**

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4.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**


To the Board of Trustees:

Date: August 24, 2017

**Subject:** Excellence Award

**Staff Analysis:** I am pleased to report that two of our teachers who teach in the Therapeutic Special Day Classes at Ryan Elementary School have been awarded an Excellence Award by the South East Consortium SELPA. These teachers are Ms. Clarissa Johnston and Mr. Prabhjot Singh. They have both been recognized for their efforts in establishing and maintaining evidence-based practices within a therapeutic milieu. We are proud of them, as well as their support staff, as they provide exemplary services for our students. Our students have made tremendous gains, both academically and behaviorally.

**Recognition Only**

Submitted by: Jean Gallagher 

Title: Chief Special Services Officer

Approved by: Rene Sanchez 

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017

**Recognition Only**

4.01  
Agenda Placement

  
Hilaria Bauer Ph. D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

6.01

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 24, 2017

**Subject:** **Public Hearing Regarding Sufficiency of Instructional Materials**

**Staff Analysis:** Education Code Section 60119 requires an annual Public Hearing and a resolution adopted in order to obtain assurances from the Governing Board that there are sufficient textbooks and instructional materials.

\*PUBLIC HEARING\*

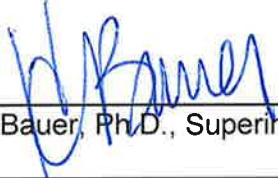
Submitted by: Carlos Moran  Title: Director of State and Federal Programs  
Approved by: Rene Sanchez  Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**PUBLIC HEARING**

6.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

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2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · [www.arusd.org](http://www.arusd.org)

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## **PUBLIC HEARING ANNOUNCEMENT**

Issued on August 29, 2017

An annual Public Hearing is required and a resolution adopted in order to obtain assurances from the Governing Board that the school district will comply with Education Code Section 60119, which states that the governing boards of school districts which receive funds for the instructional materials from any state source are subject to the requirements of this section. The requirements include the following:

1. The Governing Board will hold a public hearing.
2. If the Governing Board determines that there are insufficient textbooks or instructional materials, the Governing Board shall provide information to the public setting for the reason that each pupil does not have sufficient textbooks or instructional materials.

**Public Hearing will be held on  
September 14, 2017  
5:30 p.m.  
Alum Rock Union Elementary School District  
Board Room**

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Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Khanh Tran, President · Andrés Quintero, Vice-President  
Dolores Márquez-Frausto, Clerk · Esau Ruiz Herrera, Member · Karen Martinez, Member



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127

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Fax: 408-928-6416

www.arusd.org

## AVISO DE AUDIENCIA PÚBLICA

Emitido el 29 de agosto de 2017

Se requiere de una audiencia pública anual y de una resolución adoptada con el propósito de obtener garantía de parte de la Mesa Directiva que el distrito escolar cumplirá con la Sección 60119 del Código de Educación, la cual estipula que las mesas directivas de aquellos distritos escolares que reciben fondos para materiales de enseñanza provenientes de cualquier tipo de fuente estatal están sujetos a los requisitos de esta sección. Los requisitos incluyen lo siguiente:

1. La Mesa Directiva llevará a cabo una audiencia pública.
2. En caso de que la Mesa Directiva determine que no existen suficientes libros de texto o materiales de enseñanza, la Mesa Directiva le proporcionará información al público indicando la razón por la cual cada estudiante no tiene suficientes libros o materiales educativos.

**La audiencia pública se llevará a cabo el  
14 de septiembre de 2017  
a las 5:30 p.m.**

**En la Sala de Reuniones de la Mesa Directiva  
del Distrito Escolar Elemental de Alum Rock**

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Khanh Tran, President · Andrés Quintero, Vice-President  
Dolores Márquez-Frausto, Clerk · Esau Ruiz Herrera, Member · Karen Martinez, Member





**ALUM ROCK**

**UNION ELEMENTARY SCHOOL DISTRICT**

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www.arusd.org

## **THÔNG BÁO HỌP ĐIỀU GIẢI CÔNG CỘNG**

**Phát hành ngày 29 tháng 8 năm 2017**

Một buổi Họp Điều Giải Công Cộng hàng năm là cần thiết và một quyết định được chấp thuận để có bảo đảm của Hội Đồng Quản Trị rằng học khu sẽ tuân thủ theo Luật Giáo Dục Đoạn 60119, mà đoạn luật này qui định rằng các hội đồng quản trị của những học khu mà có nhận ngân khoản về tài liệu giáo huấn của bất kỳ một nguồn trợ cấp nào của tiểu bang thì sẽ bị chi phối bởi các đòi hỏi của đoạn luật này. Các đòi hỏi gồm cả những điều sau đây:

1. Hội Đồng Quản Trị Học Khu phải mở một buổi điều giải công cộng.
2. Nếu Hội Đồng Quản Trị cho rằng đã không có đủ sách học hay tài liệu học vấn thì Hội Đồng Quản Trị phải cung cấp thông tin cho công chúng chứng minh là mỗi học sinh không có đủ sách học hay tài liệu học vấn.

**Buổi Điều Giải Công Cộng sẽ được tổ chức vào ngày**

**14 tháng 9 năm 2017**

**Vào lúc 5:30 chiều tại**

**Học Khu Alum Rock Union Elementary School**

**Phòng Hội Đồng Quản Trị (Board Room)**

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Khanh Tran, President · Andrés Quintero, Vice-President  
Dolores Márquez-Frausto, Clerk · Esau Ruiz Herrera, Member · Karen Martinez, Member

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

8.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 4, 2017

**Subject: APPROVE THE EMPLOYMENT CONTRACT WITH  
SUPERINTENDENT DR. HILARIA BAUER FOR THE  
TERM FROM SEPTEMBER 14, 2017 THRU JUNE 30, 2020  
TO PROVIDE SERVICES AS SUPERINTENDENT.**

**DISCUSSION/ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: September 14, 2017  
Regular Board Meeting

**DISCUSSION/ACTION**

8.01  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**EMPLOYMENT AGREEMENT BETWEEN  
ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
AND  
DR. HILARIA BAUER**

The following agreement ("Agreement") is entered into between the Alum Rock Union Elementary School District ("District") through its Board of Trustees ("Board"), and Dr. Hilaria Bauer ("Superintendent").

WHEREAS, the District desires to employ Superintendent and Superintendent desires to be employed by the District upon the terms and conditions herein;

WHEREAS, the Board and Superintendent believe that a written employment contract is necessary to describe the terms of their relationship and to serve as the basis of effective communication between them as they fulfill their governance and administrative functions in the operation of the education program of the District; and

WHEREAS, the management of the District's affairs requires the full-time professional energy and attention of the Superintendent.

NOW THEREFORE, in consideration of the provisions and mutual promises contained herein and as herein specified, the District and Superintendent agree to the following:

1. TERM OF AGREEMENT: Subject to the terms and conditions set forth herein including but not limited to the Board's right to terminate this Agreement in accordance with Section 11 herein, the District hereby employs Superintendent commencing September 14, 2017, and ending June 30, 2020.
2. DUTIES AND RESPONSIBILITIES:
  - 2.1. Superintendent is hereby employed as District Superintendent, shall serve as Chief Executive Officer of the Board pursuant to Section 35035 of the California Education Code, and shall perform the duties of Superintendent as prescribed by the laws of the State of California, including but not limited to those duties described in Education Code Section 35035, and in any job description, Board Policy, or Administrative Regulation that the Board may adopt from time to time governing the responsibilities of the Superintendent. The Superintendent shall be responsible for the preparation and timely submission to the Board, at the time the Board may direct, the local control and accountability plan of the District for the subsequent school year, and revise and take other action in connection with the local control and accountability plan as the Board may desire, and ensure that the local control and accountability plan is implemented. The Superintendent shall also assume responsibility to the Board for those duties specified in Education Code Section 35250. The Superintendent shall be present at and attend all public meetings of the Board (closed and open session, as directed by the

Board) and such other District meetings and events and functions as directed by the Board or Board committee chairpersons.

- 2.2. All powers and duties which may lawfully be delegated to the Superintendent are to be performed and executed by the Superintendent in accordance with the policies adopted by the Board and subject to those powers specifically vested in the Board by the California Education Code.
- 2.3. The Superintendent shall be the Chief Executive Officer of the District during the term hereof. As such, the Superintendent shall be subject to the terms herein, have the primary responsibility for performing all acts, services and things necessary to manage and conduct the business of the District and for implementing and executing Board policy as established in the policies adopted by the Board (as they may be modified or amended from time to time) which may include, but which shall not be limited to, the policy governance model, if such model is adopted by the Board. The Board shall retain the ultimate responsibility for formulating and adopting said policy.
- 2.4. As Chief Executive Officer of the District, Superintendent's duties shall include but not be limited to: (1) reviewing all policies considered or adopted by the Board and shall make appropriate recommendations to the Board; (2) periodically evaluating or causing to be evaluated all District employees; (3) advising the Board regarding sources of funds that might be available to implement present or contemplated District programs; (4) establishing and maintaining positive community, staff, and Board relations; (5) serving as liaison to the Board with respect to all matters of employer-employee relations at the direction of the Board, and making recommendations to the Board concerning those matters; (6) recommending District goals and objectives to the Board; (7) performing other duties as assigned by the Board.
- 2.5. The Superintendent shall be responsible for the District achieving objectives set and adopted by the Board on an on-going basis. The Board may modify such objectives from time to time through Board action and shall communicate any such modifications to the Superintendent in writing. Superintendent and the Board understand and agree that the Board is vested with ultimate power and authority over decisions affecting the District, and the Board relies upon the Superintendent to manage the District's day-to-day operations. Under this managerial framework, and as may be permitted by the Education Code and Board Policy, the management of the District's daily operations should be optimally conducted by the Superintendent, consistent with Board's policies and directives and without the need for daily Board involvement in these operations.
- 2.6. The Superintendent shall have the additional responsibility for organizing, reorganizing and overseeing the administrative and supervisory staff. The Superintendent shall have responsibility in all personnel matters, including

selection, assignment and transfer of employees, provided that all personnel hiring, termination, assignments and re-assignments are subject to the approval of the Board. In addition, the Superintendent shall not award or grant to any District employee any bonus, salary, or benefit increase, or off schedule increase or bonus of any kind without the prior approval of the Board.

- 2.7. The Superintendent shall, taking into account the employment termination dates of all Assistant Superintendents and any other District administrators with an employment contract in excess of one year, provide written notice to the Board of the Board's obligation to notify these individuals of non-renewal of such person's employment contract at least sixty (60) days prior to the deadline of such notice.
- 2.8. Superintendent shall devote her full time and efforts to the performance of her duties and responsibilities as Superintendent in a diligent and efficient manner; Superintendent understands and agrees that the position and duties of Superintendent herein require her to be present at District sites and performing District functions each workday during business hours (except in the case of illness or other necessary or unforeseen absences), and to be reasonably available, accessible and responsive to Board members at all times and on all days, including District non-workdays.
- 2.9. The Superintendent may undertake consulting, speaking engagements, writing, lecturing, or other professional duties and obligations provided that:
  - i. Prior to the Superintendent's engagement in outside activities, the Superintendent inform the Board in writing of the nature, and compensation, of the outside activity;
  - ii. Prior to the Superintendent's engagement in outside activities, the Board, through the Board President, approve, in writing, of the Superintendent's participation in the outside activity;
  - iii. Such outside activities do not interfere, or conflict, with the Superintendent's performance of her duties under this Agreement; and
  - iv. Such outside activities do not violate the District's conflict of interest code or other applicable conflict of interest provisions under common, state or federal law.
- 2.10. The Superintendent shall immediately notify in writing each member of the Board if she accepts an invitation for an interview for other full-time employment elsewhere.

- 2.11. Superintendent shall obtain and maintain in good standing at all times all licenses, credentials, certificates, permits and approvals of whatever nature that are legally required to fulfill Superintendent's obligations as the Superintendent of the District.
- 2.12. The Superintendent shall dutifully perform such other or lesser tasks as may be determined by the Board in its own discretion.

3. SALARY:

- 3.1. BASE SALARY: The Superintendent's annual salary hereunder shall be Two Hundred and Twelve Thousand One Hundred and Seventy-Eight Dollars (\$212,178.00), which shall be paid in twelve (12) monthly payments on the last working day of each calendar month during the term of this Agreement. Any partial month shall be prorated based on a thirty-day month. The Board reserves the right to increase Superintendent's annual salary during the term of the Agreement. The foregoing annual base salary shall be retroactive to July 1, 2016, and the retroactive payments shall be paid to Superintendent no later than May 31, 2017.
- 3.2. OFF-SCHEDULE PAYMENT: For the 2016-17 school year only, Superintendent shall receive a one time off schedule payment of Seven Thousand Four Hundred Twenty-Six Dollars (\$7,426.00), which is 3.5% of Superintendent's foregoing base salary, and shall be paid to Superintendent no later than May 31, 2017.
- 3.3. DOCTORAL STIPEND: The Superintendent shall receive a Doctoral Stipend of Two Thousand Dollars (\$2,000.00) per year as an addition to the base salary, which shall be paid on a monthly prorated basis.

4. BENEFITS:

- 4.1. FRINGE BENEFITS: In addition to the Superintendent's base annual salary above, the Superintendent shall also be provided with the same fringe benefits, including but not limited to health, dental and vision coverage, that are granted to the District's certificated management personnel as may be amended, changed or modified by approval or resolution of the Board for such administrative team members.
- 4.2. PROFESSIONAL CONFERENCES: The Superintendent may attend professional conferences, seminars and meetings at local, state and national levels as may be authorized by the Board. The reasonable expenses of attendance at such approved events shall be paid by the District in accordance with Board Policies and Administrative Regulations.



- 4.2.1. In addition to any other expense reimbursement authorized pursuant to the terms and conditions of this Agreement, the District agrees to pay Superintendent's annual professional membership dues for the Association of California School Administrators (ACSA) and for the California Association of Latino Superintendents and Administrators. The District shall pay for Superintendent's membership in other appropriate professional groups that are substantially related to the duties and responsibilities of the Superintendent, as approved by the Board.
- 4.3. EXPENSES: The District shall reimburse Superintendent for all reasonable, actual and necessary out-of-pocket expenses incurred by Superintendent in the performance of her duties hereunder; provided that Superintendent shall present all such expenses for Board approval, with supporting receipts and evidence of payment. All expenses submitted by Superintendent for reimbursement or payment shall comply with District's Policies and Administrative Regulations regarding reimbursable and other expenses.
- 4.4. CELL PHONE: The Superintendent shall be issued a District cell phone for use in connection with District's official business.
- 4.5. AUTOMOBILE ALLOWANCE: The Superintendent requires the use of an automobile in order to fulfill her duties and responsibilities hereunder. Superintendent shall be granted a monthly allowance in the amount of Six Hundred Dollars (\$600.00) for automobile expenses, including but not limited to auto insurance and mileage in connection with District business. Superintendent shall submit to District annually proof of paid insurance coverage. Superintendent shall not be entitled to any additional reimbursement from the District for any additional automobile expenses for travel in connection with District business within 75 miles of the District Office located at 2930 Gay Ave, San Jose, California. Any automobile-related expenses in connection with travel farther than 75 miles from the District Office (including but not limited to mileage), shall be subject to the appropriate District Policies and Regulations.
5. WORK YEAR; VACATION, HOLIDAYS AND SICK LEAVE: The Superintendent shall be required to render twelve (12) months of full regular service to the District during each school year included within the term of this Agreement which shall include no less than two hundred and twenty (220) paid service work days annually depending on the then-current District work year. The Superintendent shall not be entitled to overtime or added or extra compensation for days worked in excess of the District work year. The Superintendent shall not be entitled to vacation or other paid time off, except that: (1) Superintendent shall be entitled to all paid holidays afforded to management employees pursuant to the District's annual Classified/Certificated Management Holiday calendar, and (2) Superintendent shall accrue sick leave days at the rate of one day of sick leave per month worked. Superintendent's use of sick



leave days shall be in accordance with the policies applied to the District's other senior management employees. Superintendent shall not be entitled to any buy-out or pay-out of unused sick leave days at the expiration or earlier termination of this Agreement.

6. PROFESSIONAL GROWTH: The District encourages the Superintendent to endeavor to continue her professional growth by all available means including attendance at professional meetings at the local, state and national level, seminars and courses offered by public or private institutions, and informal meetings with other persons whose particular skills or backgrounds would serve to improve the capacity and skills of the Superintendent to perform her professional duties. The Superintendent shall request permission from the Board for her attendance at out of county meetings and periodically report to the Board her appraisal of the meetings.

- 6.1. The Superintendent shall provide the District with a record of her participation in any professional growth activities held outside Santa Clara County and a copy of receipts for the costs involved.

- 6.2. The Superintendent shall be reimbursed according to the procedures and parameters set forth in the District's Policies and Administrative Regulations for necessary expenses incurred for those activities described in Section 4.3. Such expenses shall be subject to Board approval and listed as a separate action item on an open session Board meeting agenda.

7. PROFESSIONAL LIABILITY:

- 7.1. In accordance with Government Codes sections 825 and 995, the District agrees that it shall defend, hold harmless and indemnify the Superintendent from any civil demands, civil claims, civil actions, civil suits, or civil legal proceedings brought against the Superintendent for any incident arising out of the course and scope of her employment, provided, however, that if the District is providing the defense for the Superintendent on a matter which the Board deems in the best interest of the District to settle, the Superintendent agrees to assume full responsibility for the cost of her own defense should she further pursue or defend the matter.

- 7.2. The provisions of Section 7.1 shall not apply to any action brought against the Superintendent for a breach of, or dispute arising out of this Agreement, by either party, any willful and wanton conduct or gross negligence giving rise to civil or criminal liability, or any willful violation of federal, state, county, or local laws or regulations.

8. GOALS AND OBJECTIVES: On or before the first regularly scheduled Board meeting in May 2016, and then in May of each subsequent school year, the parties will meet to establish: (1) Performance objectives for the next succeeding school year, and (2) A written evaluation format for the next succeeding school year based

upon developed performance objectives. These performance objectives shall be reduced to writing and shall be based on the duties and responsibilities set forth in this Agreement, and any other designated criteria.

9. REPORTING AND EVALUATION:

- 9.1. To assist the Superintendent in her performance, members of the Board, individually and collectively, will endeavor to promptly convey to the Superintendent all criticism, complaints and suggestions called to the Board's attention.
- 9.2. No later than June 30<sup>th</sup> of each year this Agreement is in effect, the Board shall evaluate the performance of Superintendent in writing according to the format mutually agreed to by Superintendent and the Board. The Board will consider a salary increase for the Superintendent in conjunction with the Superintendent's annual evaluation.
- 9.3. In addition to Superintendent's annual evaluations, the Board may evaluate Superintendent's performance at any other time it deems necessary. Such evaluation shall evaluate the performance of Superintendent in writing according to the agreed upon evaluation format.
- 9.4. In the event the Board determines the performance of Superintendent is less than satisfactory in any respect, it shall describe, in writing and in reasonable detail, the less than satisfactory performance indicating specific instances where appropriate. The evaluation shall include recommendations as to areas of improvement in all instances where the Board deems performance to be less than satisfactory and all other instances where the Board deems such to be necessary or appropriate. A copy of the written evaluation shall be delivered to Superintendent and Superintendent shall have the right to make a written response to the evaluation, which shall become a permanent attachment to the evaluation in Superintendent's personnel file.

10. REPRESENTATION AND WARRANTIES OF SUPERINTENDENT:

Superintendent represents and warrants that she has the full authority and right to enter into this Agreement without creating liability against herself and/or the District or to any persons or entity not a party to this Agreement.

11. TERMINATION OF EMPLOYMENT:

11.1. TERMINATION BY MUTUAL CONSENT, RETIREMENT, RESIGNATION, DEATH, DISABILITY AND INCAPACITY:

This Agreement may be terminated, during the term of the Agreement by the mutual agreement of the parties, or by the retirement, resignation, death or permanent disability of Superintendent which renders her incapable of

performing her duties hereunder. Disability of Superintendent is defined in Section 11.5 below. Except in the case of resignation or retirement due to disability or incapacity, Superintendent shall provide District at least 60 days prior written notice to the Board of her intention to retire or resign from her position as Superintendent. In the event Superintendent fails to provide such notice, the District shall be entitled to terminate this Agreement at will and without cause and Superintendent shall forfeit and waive any right to receive any right to receive any severance in accordance with Section 11.4, "Unilateral Termination" below.

## 11.2. TERMINATION FOR CAUSE:

11.2.1. The District reserves the right to unilaterally terminate this Agreement and all of Superintendent's employment rights and entitlements with the District effective immediately in any position, for cause and without Superintendent's consent at any time. Cause under this Agreement is defined as any one or more of the following:

11.2.1.1. The commission by Superintendent of any act of dishonesty, misconduct, fraud, misrepresentation, or other acts of moral turpitude, or other ground for dismissal of any permanent employee as set forth in Education Code section 44932(a)(1)-(11);

11.2.1.2. A breach of any covenant or condition of this Agreement by the Superintendent, or a breach of any representation or warranty made by the Superintendent in or in connection with this Agreement;

11.2.1.3. The commission or omission of any act by the Superintendent which could constitute a permissible for cause termination under federal or California law.

11.2.2. Should the District terminate this Agreement for cause, as defined above, the District shall give at least ten (10) days written notice to the Superintendent, and shall specify the grounds for termination, and shall specify the effective date of termination. Any termination by the District shall be without prejudice to any other remedy entitled to the District in law or equity or any other ground or termination stated in this Agreement.

## 11.3. ABUSE OF OFFICE PROVISIONS:

11.3.1. In the event this Agreement is terminated for cause, any paid leave paid to Superintendent pending an investigation in advance of and in connection with such termination shall be fully reimbursed by

Superintendent to the District if and when Superintendent is convicted of or pleads guilty/nolo contendere of a crime involving an abuse of her office or position. An “abuse of office” means either an abuse of public authority, including, but not limited to, waste, fraud, and violation of the law under color of authority or a crime against public justice, including, but not limited to, a crime described in Title 7, commencing with Section 92, of Part 1 of the California Penal Code. This section is intended to comply with California Government Code sections 53243, *et seq.*

- 11.3.2. In addition, if the District funds the criminal defense of the Superintendent against charges involving abuse of office, as defined in Section 11.3.1, and the Superintendent is then convicted of or pleads guilty/nolo contendere of such charges, the Superintendent shall fully reimburse the District for all funds expended for the Superintendent’s criminal defense.
- 11.4. UNILATERAL TERMINATION: The District may terminate this Agreement upon ten (10) days written notice to the Superintendent. If this Agreement is so terminated prior to its expiration, the District will pay the Superintendent a lump sum equal to twelve (12) months base salary, or the number of months remaining in the term of this Agreement, whichever is less, minus all appropriate withholding amounts. In addition, upon unilateral termination, Superintendent shall continue to receive District paid health benefits for the same number of months to which she is entitled to receive payments for unilateral termination, but not to exceed twelve (12) months. The Superintendent agrees that payment pursuant to this provision shall constitute satisfaction of all federal and/or state claims for contract damages.
- 11.5. SUPERINTENDENT’S DISABILITY: The Superintendent may be removed from her position by the Board if after an absence from her duties for a period of sixty (60) continuous days due to illness or incapacity, it is the Board’s opinion that Superintendent is unable to serve in her position due to illness or incapacity and a licensed physician selected by the Board evaluates the Superintendent and determines that the Superintendent has a continuing disability which makes her unable to perform the essential functions of the Superintendent’s position even with reasonable accommodation. The evaluation shall be done at the expense of the District.
- 11.6. EXPIRATION OF TERM: Pursuant to Education Code section 35031, the District and Superintendent agree that if the District does not wish to reemploy Superintendent beyond the expiration of this Agreement, the District shall give at least forty-five (45) days’ written notice to Superintendent prior to the expiration of this Agreement of its decision not to reemploy her after the expiration of this Agreement.

11.7 TERMINATION FOR INAPPROPRIATE FISCAL PRACTICES:

Notwithstanding any other provision of this Agreement to the contrary, if the Board believes, and subsequently confirms through an independent audit, that the Superintendent has engaged in fraud, misappropriation of funds, or other illegal fiscal practices, then the Board may terminate the Superintendent and the Superintendent shall not be entitled to any salary payments, health benefits or other non-cash benefits.

12. TAX/RETIREMENT LIABILITY: The District makes no representations or warranties with respect to the tax or retirement consequences of this Agreement. Notwithstanding any other provision of this Agreement, the District shall not be liable for any state or federal tax consequences or any retirement consequences of any nature occurring as a result of this Agreement, including but not limited to, whether specific forms of compensation are creditable for retirement purposes, and the tax or retirement consequences of receiving retiree health benefits, Section 403b contributions, life insurance or other benefits provided to the Superintendent or any designated beneficiary, heirs, administrators, executors, successors or assigns of the Superintendent. The Superintendent shall assume sole liability for all tax and retirement consequences of any nature occurring at any time.

13. GENERAL PROVISIONS:

- 13.1. SOLE AGREEMENT: The parties warrant that no promise, inducement, or agreement not expressed in this Agreement has been made in connection with this Agreement; that this Agreement constitutes the entire Agreement between Superintendent and the District and the terms of this Agreement are contractual and not a mere recital. In addition, the Parties expressly agree that the prior employment contract and all contract addendums are hereby deemed void and are no longer in full force or effect.
- 13.2. SAVINGS CLAUSE: If, during the time this Agreement is in effect, any specific provision or clause of this Agreement is declared illegal or void under federal, state, or local law or regulation, the remainder of the Agreement not effected by such ruling shall remain in full force and effect. The provisions of this Agreement are declared to be severable.
- 13.3. NO ASSIGNMENT OR TRANSFER: Superintendent may not assign or transfer any of her rights or interests under this Agreement to any person.
- 13.4. APPLICABLE LAWS: This Agreement is subject to all applicable laws of the State of California, to the rules and regulations of the State Board of Education, and to the lawful rules, policies and regulations of the District. By this reference the laws, rules, regulations and policies are hereby made a part of this Agreement as though fully set forth at this point. Venue shall be in Santa Clara County, California.



- 13.5. NOTICES: All notices required under this Agreement to Superintendent shall be delivered either personally or via Certified or Registered U.S. Mail to Superintendent at Superintendent's personal residence address on file with the District or to Superintendent's attention at the District's Administrative Offices located at 2930 Gay Avenue, San Jose, CA 95127 (the "Administrative Office"). Notices to the District shall be personally served or mailed via Certified or Registered U.S. Mail to the District's Administrative Offices, in care of the Board President and the Board Vice President labeled "CONFIDENTIAL: FOR IMMEDIATE ATTENTION".
- 13.6. COUNTERPARTS: This Agreement may be executed in any number of counterparts such that the signatures may appear on separate signature pages. A copy, facsimile, or an original, with all signatures appended together, shall be deemed a fully executed Agreement and shall be as valid as an original.
- 13.7. CONSULTATION WITH COUNSEL; CONSTRUCTION OF AGREEMENT: The parties have had the opportunity to obtain, and have obtained, independent legal or other professional advice with regard to this Agreement, including tax and retirement consequences. The parties acknowledge that the terms of this Agreement have been read and fully explained and that those terms are fully understood and voluntarily accepted. This Agreement is the result of negotiation between the parties. In determining the meaning of, or resolving any ambiguity with respect to, any word, phrase or provision of this Agreement, neither this Agreement nor any uncertainty or ambiguity herein will be construed or resolved against either party as the sole drafter.
- 13.8. PROOF OF ELIGIBILITY FOR EMPLOYMENT; FURTHER REPRESENTATIONS AND WARRANTIES OF ACCURACY: Superintendent acknowledges and agrees that this Agreement shall not be effective unless and until Superintendent provides to District proof of her valid California driver's license and automobile insurance, proof of eligibility for employment in the United States, clearance by the California Department of Justice, and fingerprinting clearance as may be required by State law. Superintendent shall maintain a valid California driver's license and automobile insurance and valid clearances and required certifications and credentials at all times during the term of this Agreement; failure to main such licenses, insurance, certifications and credentials in valid and good standing shall constitute grounds for immediate termination of this Agreement in the discretion of the Board. Superintendent represents and warrants that all degrees, licenses, credentials and certifications disclosed on her professional resume and application materials submitted to the District in connection with the position of Superintendent are true and accurate as of the date hereof. Superintendent represents and warrants that she possesses all valid credentials and certificates required pursuant to Education Code section 35028 and as may otherwise be required by law.

- 13.9. EXCLUSIVITY: To the maximum extent permitted by law, the parties agree that the employment relationship between the District and the Superintendent shall be governed exclusively by the provisions of this Agreement and not by board policies, administrative regulations, management handbooks or similar documents.
- 13.10. BOARD APPROVAL: The effectiveness of this Agreement shall be contingent upon approval by the District's Board as required by law.
- 13.11. EXECUTION OF OTHER DOCUMENTS: The parties shall cooperate fully in the execution of any other documents and in the completion of any other acts that may be necessary or appropriate to give full force and effect to this Agreement.
- 13.12. PUBLIC RECORD: The parties recognize that, once final, this Agreement is a public record and must be made available to the public upon request.
- 13.13. INTEGRATION: This Agreement constitutes a complete and exclusive statement of the understanding between the parties with respect to its subject matter. This Agreement supersedes any and all other prior communications between the parties, whether written or oral. Any prior agreements, promises, negotiations or representations related to the subject matter not expressly set forth in this Agreement are of no force and effect.

IN WITNESS HEREIN the District and Superintendent affix their signatures to this Agreement as the full and complete understanding of the relationships and agreement between the parties.

The Board duly approved the terms and conditions of this Agreement, and the Board President is authorized to execute this Agreement on behalf of the Board of Trustees.

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**

For the Board of Trustees:

By: \_\_\_\_\_  
Esau Ruiz Herrera  
President of the Board of Trustees

Date: September 14, 2017



I hereby accept this Agreement and agree to comply with its conditions and to fulfill all of the duties of employment as Superintendent of the Alum Rock Union Elementary School District.

Date of Acceptance: September 14, 2017

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Hilaria Bauer, Ph.D.

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

August 22, 2017

**Subject: RENEWAL CONTRACT FOR CONTINUED REPRESENTATION  
OF LEGAL SERVICES WITH THE OFFICE OF THE COUNTY  
COUNSEL, COUNTY OF SANTA CLARA**

The Board will discuss and may take action to approve the renewal of the Contract Agreement for Legal Services with the Office of the County Counsel, County of Santa Clara for term of July 1, 2017 through June 30, 2018.

**DISCUSSION/ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: September 14, 2017  
Regular Board Meeting

**DISCUSSION/ACTION**

8.02  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**OFFICE OF THE COUNTY COUNSEL  
COUNTY OF SANTA CLARA**

County Government Center  
70 West Hedding Street  
East Wing, 9<sup>th</sup> Floor  
San Jose, California 95110-1770

(408) 299-5900  
(408) 292-7240 (FAX)



**James R. Williams  
COUNTY COUNSEL**

Greta S. Hansen  
**CHIEF ASSISTANT COUNTY COUNSEL**

Winifred Botha  
Danny Y. Chou  
Robert M. Coelho  
Steve Mitra

**ASSISTANT COUNTY COUNSEL**

May 1, 2017

Hilaria Bauer  
Superintendent  
Alum Rock Union Elementary School District  
2930 Gay Avenue  
San Jose, CA 95127

Re: Agreement for Legal Services for July 1, 2017 – June 30, 2018

Dear Superintendent Bauer:

We have an existing legal services agreement with the Alum Rock Union Elementary School District through June 30, 2017. We hope that you have been pleased with the advice and representation you have received from Santa Clara County Counsel's Office. Enclosed are two originals of the Agreement for Legal Services between the County of Santa Clara and the Alum Rock Union Elementary School District for a one-year term beginning July 1, 2017 through June 30, 2018.

If this Agreement meets with your approval, please execute both originals and return one to my attention.

We look forward to a continued working relationship with you and the Alum Rock Union Elementary School District.

Very truly yours,

JAMES R. WILLIAMS  
County Counsel

A handwritten signature in blue ink that reads "Robert M. Coelho". The signature is fluid and cursive, with a long horizontal line extending to the right.

ROBERT M. COELHO  
Assistant County Counsel

Enclosures (2)

**AGREEMENT BETWEEN  
THE COUNTY OF SANTA CLARA AND  
ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
FOR LEGAL SERVICES**

This Agreement ("Agreement") is made effective July 1, 2017, by and between the County of Santa Clara ("County") and Alum Rock Union Elementary School District ("District") so that the County may provide legal services to District.

WHEREAS, District desires to engage County through the Office of the County Counsel to provide legal services; and

WHEREAS, County has experience and expertise necessary to provide such services;

THEREFORE, the parties agree as follows:

**1. Nature of Services.**

County, through the Office of the County Counsel, will provide legal services for District including without limitation, research and general advice as requested by District.

**2. Term of Agreement.**

This Agreement is effective from July 1, 2017, to and including June 30, 2018, unless terminated earlier in accordance with Section 4.

**3. Compensation.**

A. For the fiscal year ending June 30, 2018, County will be compensated for services provided under this Agreement at the hourly rate of \$250.00 for attorneys, \$105.00 for paralegals, and reimbursable expenses and costs incurred. County's hourly rates are revised annually. No less than thirty days prior to the beginning of the fiscal year to which any new fee schedule will apply, County will provide District with a new rate schedule.

B. County will provide District with monthly invoices, which shall be accompanied by a detailed summary of activities undertaken over the course of the preceding month.

C. District will cooperate with the Office of the County Counsel completely, including without limitation, promptly paying all invoices for services rendered and costs advanced. In no event will payments be made later than 45 days after receipt.

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**4. Termination.**

A. Either party may terminate this Agreement without cause by giving the other party ten (10) days' written notice.

B. In the event of termination, County will deliver to District copies of all reports and other work performed by County under this Agreement and upon receipt thereof, County will be paid for services performed and reimbursable expenses incurred to the date of termination.

**5. Project Managers.**

County designates Robert M. Coelho, Assistant County Counsel, as County's Project Manager for the purpose of performing the services under this Agreement. District designates the Superintendent as its Project Manager for the purpose of managing the services performed under this Agreement.

**6. Conflicts of Interest.**

The District acknowledges that the County Counsel's Office is charged with responsibility to represent the County of Santa Clara and its dependent special districts, including all its boards, commissions, departments and officers. Given the nature of the County Counsel's Office, it must preserve its ability to represent the County on matters that may arise in the future, including matters in which the County's interests are adverse to the District's interest. The County Counsel's Office is not willing to undertake representation of the District in the absence of the District's consent as set forth in this section because the County Counsel's Office must preserve the ability to represent its primary client, the County. The District's engagement of the County Counsel's Office with respect to any particular matter includes the District's consent to the County Counsel's Office's ongoing representation of its primary client, the County, in all matters, including transactions and litigation, in which the interests of the County are potentially or actually adverse to the interests of the District and notwithstanding that the County Counsel's Office may have obtained confidential information from the District, subject to the conditions below.

At the time the District engages the services of the County Counsel's Office for a particular matter, the County Counsel's Office will inform the District based on the available facts of any specific matters in which the County's interests and the District's interests are then actually or potentially adverse. Neither the County, the District, nor the County Counsel's Office intends to provide for the County Counsel's Office continuing representation of both the District and the County in matters where the interests of the District and the County are actually adverse, but the parties anticipate that any such instances are likely to be very rare. In the event that an unanticipated actual conflict of interest arises during the course of representation, the County Counsel's Office will promptly inform the District that a conflict has arisen, and will discontinue representing the District and will continue to represent the County, and further provided that the

County Counsel's Office shall not disclose the reasons for the conflict to the District if the County client has requested that those reasons remain confidential. The District acknowledges that in such a circumstance it is free to hire substitute counsel of its own choosing and at its own expense.

The District acknowledges that its consent to the County Counsel's ongoing representation of the County in matters where the interests of the County and District are potentially or actually adverse has significant implications that the District has considered. For example, the County Counsel's Office may take positions antagonistic to the District, or seek to compel documents or testimony from the District, in litigation brought by third parties against the County and the District where the County does not represent the District. The County Counsel's Office may learn confidential information in the course of representing the District that may be relevant to matters in which the interests of the County and District become adverse. In that case, the County Counsel's Office will create an ethical wall between the attorney who formerly represented the District and the attorney representing the County.

By signing this agreement, the District acknowledges that it has been advised of the potential conflicts associated with concurrent representation; that it has been advised of the County Counsel's Office's present and continuing relationship with the County; and that the District, upon requesting assistance from the County Counsel's Office on a particular matter, and having been presented with the information about potential and actual conflicts of interest as required by this section, provides its consent under Rule 3-310 of the Rules of Professional Conduct to the County Counsel's Office's ongoing representation of the County, notwithstanding any potential or actual conflict of interest between the County and the District that exists or may develop. The District waives any and all rights to disqualify the County Counsel's Office from representing the County based on a conflict of interest arising out of concurrent representation of the County and the District. And the District's consent and waiver extends to "subsequent representation," where by reason of the County's former representation of the District in a matter, the County has confidential information material to a matter in which the County's interests are adverse to the District's interests, and the County Counsel's Office no longer represents the District in any matter.

In addition, the County Counsel's Office represents other school districts and other public entities in Santa Clara County. In the event that an unanticipated actual or potential conflict of interest arises between or among two or more non-County public-entity clients represented by the County Counsel's Office during the course of representation of the District, the County Counsel's Office will immediately notify the District of the actual or potential conflict and either (1) the District will acknowledge and waive the actual or potential conflict, in which case the County Counsel's Office will erect an ethical wall between the attorney representing the District and the attorney representing the other non-County public entity client; or (2) if the District declines to waive the actual or potential conflict, the County Counsel's Office will withdraw from representing all non-County public-entity clients in that matter. If the County Counsel's Office must withdraw from

representing all non-County public-entity clients in a matter, the District acknowledges that it is free to hire substitute counsel of its own choosing and at its own expense.

## **7. Insurance.**

Each party is self-insured and, during the term of this Agreement shall maintain in force (i) a commercial general liability insurance or program of self-insurance that provides limits of no less than one million dollars (\$1,000,000.00) per occurrence or two million dollars (\$2,000,000.00) per annual aggregate; (ii) a policy of workers' compensation providing statutory coverage; (iii) such other insurance or self-insurance as shall be necessary to insure it against any claim or claims for damages arising under the Agreement. The policy shall require the insurer to provide to the other party a thirty (30) day written notice of any cancellation or reduction of such insurance or the insured party shall provide such written notice under its self-insurance plan. Each party agrees to provide the other with a certificate of insurance upon request.

## **8. Indemnification.**

In lieu of and notwithstanding the pro rata risk allocation which might otherwise be imposed between the Parties pursuant to Government Code section 895.6, or any other statute, regulation or rule that may otherwise affect the terms of this Agreement, the Parties agree that all losses or liabilities incurred by a party shall not be shared pro rata but instead the County and District agree to the following:

### **A. Claims Arising From Sole Acts or Omissions of County.**

The County agrees to defend and indemnify District, its agents, officers and employees (hereinafter collectively referred to as "District") from any claim, action or proceeding against District, arising solely out of the acts or omissions of the County in the performance of this Agreement. At its sole discretion, District may participate at its own expense in the defense of any claim, action or proceeding, but such participation shall not relieve the County of any obligation imposed by this Agreement. District shall notify County promptly of any claim, action or proceeding and cooperate fully in the defense.

### **B. Claims Arising from the Sole Acts or Omissions of District.**

District agrees to defend and indemnify the County, its agents, officers and employees (hereinafter collectively referred to as "County") from any claim, action or proceeding against County, arising solely out of the acts or omissions of District in the performance of this Agreement. At its sole discretion, County may participate at its own expense in the defense of any claim, action or proceeding, but such participation shall not relieve District of any obligation imposed by this Agreement. County shall notify District promptly of any claim, action or proceeding and cooperate fully in the defense.

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C. Claims Arising From Concurrent Acts or Omissions.

County agrees to defend itself and the District agrees to defend itself, from any claim, action or proceeding arising out of the concurrent action or omissions of County and District. In such cases, County and District agree to retain their own legal counsel, bear their own defense costs, and waive their right to seek reimbursement of such costs except as provided in section E below.

D. Joint Defense.

Notwithstanding paragraph C above, in any case where County and District agree in writing to a joint defense, County and District may appoint joint defense counsel to defend the claim, action or proceeding arising out of the concurrent acts or omissions of District and County. Joint defense counsel shall be selected by mutual agreement of the County and District. County and District agree to share the costs of such joint defense and any agreed settlement in equal amounts, except as provided in section E below. County and District further agree that neither party may bind the other to a settlement agreement without the written consent of both County and District.

E. Reimbursement and/or Reallocation.

Where a trial verdict or arbitration award allocates or determines the comparative fault of the parties, County and District may seek reimbursement and/or reallocation of defense costs, settlement payments, judgments and awards, consistent with such comparative fault.

9. Notices.

All notices required by this Agreement will be deemed given when in writing and delivered personally or deposited in the United States mail, postage prepaid, return receipt requested, addressed to the other party at the address set forth below or at such other address as the party may designate in writing in accordance with this section:

To District: Alum Rock Union Elementary School District  
2930 Gay Avenue  
San Jose, CA 95127  
Attn: Superintendent

To the County: Office of the County Counsel  
County of Santa Clara  
70 West Hedding Street, Ninth Floor  
San Jose, CA 95110  
Attn: Robert M. Coelho, Assistant County Counsel

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**10. Governing Law.**

This Agreement has been executed and delivered in, and will be construed and enforced in accordance with, the laws of the State of California.

**11. Relationship of Parties; Independent Contractor.**

County will perform all work and services described herein as an independent contractor and not as an officer, agent, servant or employee of District. None of the provisions of this Agreement is intended to create, nor shall be deemed or construed to create, any relationship between the parties other than that of independent parties contracting with each other for the purpose of effecting the provisions of this Agreement. The parties are not, and will not be construed to be in a relationship of joint venture, partnership or employer-employee. Neither party has the authority to make any statements, representations or commitments of any kind on behalf of the other party, or to use the name of the other party in any publications or advertisements, except with the written consent of the other party or as is explicitly provided herein.

**12. Amendments.**

This Agreement may be amended only by an instrument signed by the parties.

**13. Counterparts.**

This Agreement may be executed in one or more counterparts, each of which shall be deemed to be an original, but all of which together shall constitute one and the same instrument.

**14. Severability.**

If any provision of this Agreement is found by a court of competent jurisdiction to be void, invalid or unenforceable, the same will either be reformed to comply with applicable law or stricken if not so conformable, so as not to affect the validity or enforceability of this Agreement.

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**15. Waiver.**

No delay or failure to require performance of any provision of this Agreement shall constitute a waiver of that provision as to that or any other instance. Any waiver granted by a party must be in writing, and shall apply to the specific instance expressly stated.

IN WITNESS WHEREOF, County and District have executed this Agreement as of the date above written.

ALUM ROCK UNION ELEMENTARY  
SCHOOL DISTRICT

COUNTY OF SANTA CLARA

\_\_\_\_\_  
HILARIA BAUER

Superintendent

Date: \_\_\_\_\_

\_\_\_\_\_  
JAMES R. WILLIAMS

County Counsel

Date: 5/1/17

\_\_\_\_\_  
SYLVIA GALLEGOS

Deputy County Executive

Date: 04-26-2017

Approved as to Form and Legality:

\_\_\_\_\_  
ROBERT M. COELHO

Assistant County Counsel

Date: 4/18/17

1519349

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

8.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 4, 2017

**SUBJECT: THE BOARD WILL REVIEW HOURS SPENT ON LEGAL COUNSEL**

**DISCUSSION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**DISCUSSION**

8.03  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 7, 2017

**Subject: PROCESS AND SELECTION FOR NEW DISTRICT LEGAL COUNSEL**

Process and selection for a new District Legal Counsel.

**Information/Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Information/Discussion/Action**

8.04  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

8.05

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 7, 2017

**Subject: LEGAL FEES SET ASIDE \$250,000 RELATED TO IMMIGRATION ENFORCEMENT ACTIVITIES**

The Board will discuss and approve \$250,000 set aside as restricted funds for use later as legal funds to initiate and defend lawsuits against federal immigration assessments and raids impacting sanctuary school district like Alum Rock.

**INFORMATION/DISCUSSION**

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

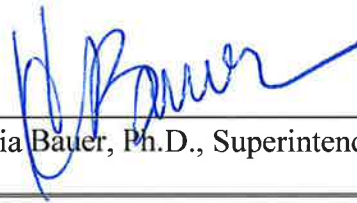
To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Information/Discussion**

8.05

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 4, 2017

**SUBJECT: BOARD TRAINING AND PROFESSIONAL DEVELOPMENT**

The Board will discuss and may take action to schedule a Professional Development Session facilitated by CSBA, focused on "Board Self-Evaluation".

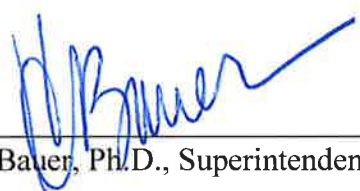
**DISCUSSION/ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: September 14, 2017  
Regular Board Meeting

**DISCUSSION/ACTION**

8.06  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

Date: August 22, 2017

**Subject: CSBA CALL FOR NOMINATIONS FOR DIRECTORS-AT-LARGE  
AFRICAN AMERICAN, AMERICAN INDIAN, AND COUNTY**

The nominations for Directors-at-Large African American, American Indian, and County. The nominations for Directors-at-Large must be made by a CSBA Member Board and the nominee must be a Board Member from a CSBA Member District or County Office of Education. Nominations are currently being accepted until Friday, September 29, 2017

**Recommend Approval**

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

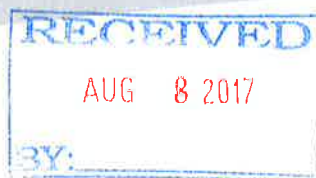
8.07  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



**TIME SENSITIVE, REQUIRES BOARD ACTION**

**DEADLINE Friday, September 29, 2017**

**Please deliver to all members of the governing board.**

July 31, 2017

## MEMORANDUM

TO: All Board Presidents and Superintendents  
CSBA Member Districts and County Offices of Education  
FROM: Susan M. Henry, President  
SUBJECT: Call for Nominations for Directors-at-Large African American, American Indian, and County

Nominations for CSBA Directors-at-Large African American, American Indian, and County are currently being accepted until **Friday, September 29**. The nomination form and all information related to the election process are available online, please visit [www.csba.org](http://www.csba.org).

The elections will take place at CSBA's Delegate Assembly meeting held at the Marriott Marquis San Diego Marina on Wednesday, November 29 and Thursday, November 30. Directors-at-Large serve two-year terms and take office immediately upon the close of the Association's Annual Education Conference on Saturday, December 2.

The nominations for Directors-at-Large must be made by a CSBA member board and the nominee must be a board member from a CSBA member district or county office of education. **(Please note: Only a CSBA member County Board of Education may submit a nomination for the Director-at-Large, County seat.)**

A valid nomination includes the following:

- **Nomination form:** A completed, signed and dated nomination form is due to CSBA no later than **Friday, September 29** (U.S. Postal Service postmark or email [nominations@csba.org](mailto:nominations@csba.org)). *Nominating boards must secure permission from the board member prior to nominating him or her.*
- **Two letters of recommendation:** A one page, single-sided, letter addressed to CSBA President Susan Henry is due to CSBA no later than **Friday, October 6** (U.S. Postal Service postmark or email [nominations@csba.org](mailto:nominations@csba.org)). The letter may be from of the following entities:
  - 1) A CSBA member district or county office of education (COE) board - *if signed by the Superintendent, it must state in the letter "on behalf of the board."*
  - 2) An individual board member from a CSBA member district or COE
  - 3) Another board member association
- **Candidate Form:** A signed and dated candidate form completed by the nominee is due to CSBA no later than **Friday, October 6** (U.S. Postal Service postmark or email [nominations@csba.org](mailto:nominations@csba.org)). An optional, one-page résumé may also be submitted and is due by Friday, October 6.

The completed candidate form and two letters of recommendation will be published in the Delegate Assembly meeting agenda packet exactly as submitted.

Please contact CSBA's Executive Office at 800-266-3382 should you have any questions. Thank you.



## 2017 Directors-at-Large, African American, American Indian, and County Nomination Form

*(Please submit a separate nomination form for each Director position and each nominee.)*

The nominations for Directors-at-Large must be made by a CSBA member board and the nominee must be a board member from a CSBA member district or county office of education. **(\*Only a CSBA member County Board of Education may submit a nomination for the Director-at-Large, County seat.)** The U.S. Postal Service postmark or email deadline for the nomination form is Friday, September 29, 2017.

Two letters of recommendation are required to be submitted by Friday, October 6, 2017.

The governing board of the \_\_\_\_\_ School District or County Office

Board of Education voted to nominate \_\_\_\_\_

*(Nominee name)*

as a candidate for the following Director-at-Large position: *(please indicate below)*

- ☐ Director-at-Large, African American
- ☐ Director-at-Large, American Indian
- ☐ Director-at-Large, \*County

The nominee is a member of the \_\_\_\_\_

School District or County Office Board of Education, which is a member of CSBA. The nominee has been contacted and has given permission to be nominated.

\_\_\_\_\_  
*Signature of the Board Clerk or Board Secretary*

\_\_\_\_\_  
*Date*

By US Postal Service, please submit your **nomination form to:**

Susan Henry, President

California School Boards Association

3251 Beacon Blvd. | West Sacramento, CA 95691

Or you may Email: [nominations@csba.org](mailto:nominations@csba.org)



## Frequently Asked Questions for Director-at-Large Nominations & Elections Process - 2017

- Which Directors-at-Large position are up for election in 2017? **African American, American Indian, and County are elected in 2017.**
- Who may run for Directors-at-Large, African American and American Indian? **Any board member of a district or a county office of education board that is a member of CSBA.**
- *Who may run for Directors-at-Large, County?* **Only board members from a CSBA member county office of education board.**
- Who may nominate the Directors-at-Large, African American and American Indian? **Any district board or county office of education whose board is a member of CSBA.**
- *Who may nominate Directors-at-Large, County?* **Only a county office of education whose board is a member of CSBA.**
- When and where are the elections held? **The elections will take place on November 29 -30, at CSBA's Delegate Assembly meeting held at the Marriott Marquis San Diego Marina.**
- What is the term for Directors-at-Large? **Directors-at-Large serve two-year terms and take office immediately upon the close of the Association's Annual Education Conference and Trade Show.**
- **What meetings are Directors-at-Large required to attend?** All CSBA Board of Directors meetings: (approximately five per year) and Delegate Assembly meetings: (two per year). See 2018 Meeting Dates
- What does a valid nomination consist of?
  - **Nomination form:** A completed, signed and dated nomination form is due to CSBA no later than **Friday, September 29** (U.S. Postal Service postmark or email [nominations@csba.org](mailto:nominations@csba.org)). *Nominating boards must secure permission from the board member prior to nominating him or her.*
  - **Two letters of recommendation:** A one page, single-sided, letter addressed to CSBA President Susan Henry is due to CSBA no later than **Friday, October 6** (U.S. Postal Service postmark or email [nominations@csba.org](mailto:nominations@csba.org)). The letter may be from of the following entities:
    - 1) A CSBA member district or county office of education (COE) board - *if signed by the Superintendent, it must state in the letter "on behalf of the board."*
    - 2) An individual board member from a CSBA member district or COE
    - 3) Another board member association
  - **Candidate Form:** A signed and dated candidate form completed by the nominee is due to CSBA no later than **Friday, October 6** (U.S. Postal Service postmark or email [nominations@csba.org](mailto:nominations@csba.org)). An optional, one-page resumé may also be submitted and is due by Friday, October 6.
- May the same CSBA member board that submits a nomination also submit a recommendation letter in support of the candidate? **Yes. The letter is due Friday, October 6.**
- Where do I submit completed forms? **Please choose one the following two options to submit completed forms: 1) U.S. Postal Service: ATTN: President, California School Boards Association, 3251 Beacon Blvd., West Sacramento, CA 95691; OR 2) Email: [nominations@csba.org](mailto:nominations@csba.org)**
- **Who are the current Directors-at-Large?**  
Director-at-Large African American – Bettye Lusk, Monterey Peninsula USD  
Director-at-Large, American Indian – Shelly Yarbrough, Val Verde USD  
Director-at-Large, County – Heidi Weiland, El Dorado COE

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

8.08

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 4, 2017

**Subject: THE BOARD IS REQUESTING TO HAVE AN UPDATED STATUS ON THE FCMAT REPORT AND THE REQUESTED DOCUMENTS. IN ADDITION, THE BOARD WILL PROVIDE CLARIFICATION FOR THE FCMAT RESPONSE AND RECOMMENDATIONS.**

**INFORMATION/DISCUSSION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: September 14, 2017  
Regular Board Meeting

**Information/Discussion**

8.08  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 4, 2017

**Subject: REPORT FROM THE MOT DEPARTMENT REGARDING THE  
PROCESS AND STATUS OF COMPLETED AND PENDING  
WORK ORDERS AND MAINTENANCE PROJECTS FOR 2016-17  
SCHOOL YEAR**

**INFORMATION/DISCUSSION**

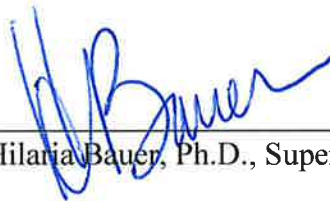
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: September 14, 2017  
Regular Board Meeting

**Information/Discussion**

8.09

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127  
Office of Superintendent of Schools

8.10

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 7, 2017

**Subject: PROGRAM AND CONSTRUCTION MANAGEMENT AGREEMENT  
FOR MEASURE I**

Terminate the contract between Alum Rock Elementary School District and  
Del Terra Real Estate Services, Inc., dba Del Terra Group.

**Information/Discussion**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Information/Discussion**

8.10  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127  
Office of Superintendent of Schools

8.11

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 7, 2017

**Subject: CONSTRUCTION MANAGEMENT AGREEMENT FOR MEASURE J**

Terminate Contract between Alum Rock Union Elementary School District  
and Del Terra Real Estate Services, Inc., dba Del Terra Group for  
Construction Management of the ARUSD Bond Program.

**Information/Discussion**

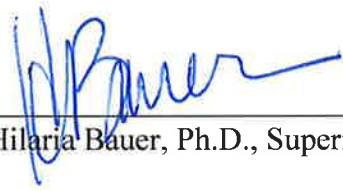
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Information/Discussion**

8.11  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

8.12

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 7, 2017

**Subject: PROGRAM MANAGEMENT AGREEMENT FOR MEASURE J**

Terminate Contract between Alum Rock Union Elementary School District and Del Terra Real Estate Services, Inc., dba Del Terra Group for Program Management of the ARUSD Bond Program.

**Information/Discussion**

Submitted by: Hilaria Bauer Title: Superintendent

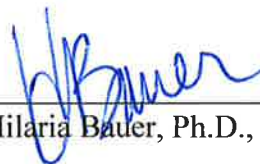
To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Information/Discussion**

8.12

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

Board of Trustees:

Date: August 31, 2017

Subject: **INFORMATION/DISCUSSION**  
**An Executive Summary will be presented by Assistant Superintendent of Business Services:**

- **Facility Assessment**
- **Air Conditioning Assessment Report**
- **Measure J Bond Expenditures**

Staff Analysis: Kolvira Chheng, Assistant Superintendent of Business Services, will provide updates for the following items: Facility Assessment, A/C Assessment Report, and Measure J Bond Expenditures.

The reports will be provided to the Board under separate cover before the board meeting.

**\*\*INFORMATION ONLY\*\***

Submitted by: Kolvira Chheng *KCPT*

Title: Assistant Superintendent, Business Services

Approved by: Hilaria Bauer, Ph.D.

Title: Superintendent

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**\*INFORMATION ONLY\***

*8.13*  
Agenda Placement

*H. Bauer*  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 4, 2017

**Subject: REQUEST OF CITIZENS OVERSIGHT COMMITTEE (COC)**

Request of Citizens Oversight Committee (COC) for Technical Assistance.

Discussion/Action

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Discussion/Action**

8.14

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

8.15

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 4, 2017

**Subject: THE BOARD WILL RECONSIDER THE MEETING SCHEDULE  
FOR THE BONDS, FACILITIES, AND FINANCE COMMITTEE**

Discussion

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Discussion**

8.15

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

8.16

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

Board of Trustees:

Date: September 7, 2017

**Subject:** Approve the Fourth Amendment to Joint Use Agreement Between Alum Rock Union Elementary School District and Alpha Public Schools, Inc., Operating Blanca Alvarado Middle School

**Staff Analysis:** The Blanca Alvarado Middle School was chartered by the Alum Rock Union Elementary School. The District and Alpha Public Schools executed an agreement in October 26, 2012 for the joint use of the Slonaker school premises. Subsequently, the parties agreed to first amendment in July 2013, second amendment in January 2014, and third amendment in January 2017.

The Joint Use Agreement (JUA) and related amendments expire June 30, 2018. Rather than proceed with Proposition 39 charter facilities process for the 2018-19 and 2019-2020 school years, the parties wish to approve a Fourth Amendment to extend the current agreement for two years, to June 30, 2020. The Fourth Amendment would leave all other provisions in place with the rent increasing by 3% for the subsequent year, consistent with the original Agreement which requires a 3% increase in rent each year.

**Recommendation:** Staff recommends the Board approve the Fourth Amendment to JUA between Alum Rock Union Elementary School District and Alpha Public Schools, Inc., operating Blanca Alvarado Middle School.

Submitted by: Kolvira Chheng  Title: Assistant Superintendent Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

Recommended Approval

8.16

Agenda Placement



Hilaria Bauer, Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

**FOURTH AMENDMENT TO  
JOINT USE AGREEMENT**

**THIS FOURTH AMENDMENT TO JOINT USE AGREEMENT ("FOURTH AMENDMENT")** is effective September 14, 2017, by and between, Alum Rock Union Elementary School District, a subdivision of the State of California, (hereinafter referred to as "DISTRICT") and Alpha Public Schools, Inc., a California Non-Profit Public Benefit Corporation, dba and operating Alpha: Blanca Alvarado Middle School, (hereinafter referred to as "OCCUPANT").

WHEREAS, DISTRICT and OCCUPANT are parties to a Joint Use Agreement ("JUA") executed on October 26, 2012, and Amended on July 1, 2013 (First Amendment), amended on January 6, 2014 (Second Amendment), and amended on December 1, 2016 (Third Amendment) for the provision of facilities in lieu of Prop. 39 and relating to OCCUPANT's use of DISTRICT Premises at 1601 Cunningham Avenue, San Jose, California, as described in the JUA (the JUA and the First Amendment, the Second Amendment, and the Third Amendment are hereinafter collectively referred to as the "JUA");

WHEREAS, Section 2 of the JUA provides for the option to OCCUPANT to extend the JUA by a term of two (2) years at OCCUPANT's discretion upon notice to DISTRICT and upon such other terms and conditions set forth in Section 2 (the "Option");

WHEREAS, by letter dated October 14, 2014, OCCUPANT notified DISTRICT of its exercise of the Option to extend the JUA through June 30, 2017, on the terms and conditions therein;

WHEREAS, by the Third Amendment, the DISTRICT and OCCUPANT amended the JUA to extend its Term for one additional year from July 1, 2017 to June 30, 2018; and

WHEREAS, the District and OCCUPANT desire to amend the JUA to extend its Term for two (2) additional years from July 1, 2018 to June 30, 2020.

NOW THEREFORE, for good and valuable consideration, the sufficiency of which is hereby acknowledged, the DISTRICT and OCCUPANT agree to amend the JUA as follows:

1. Section 2 of the JUA ("Term") is hereby amended to extend the Term of the JUA for two (2) additional years from July 1, 2018 to June 30, 2020 (the "Extension Period").
2. Pursuant to Section 4 of the JUA, the base monthly rent during the Extension Period shall be increased by three percent (3%) over the base monthly rent payable under the JUA for the period July 1, 2017 – June 30, 2018, and shall equal \$1.4642 per square foot.
3. All other terms of the JUA not inconsistent with this Fourth Amendment shall remain in full force and effect.
4. This Fourth Amendment shall be subject to approval of DISTRICT's Board of Trustees.



IN WITNESS WHEREOF, the parties have executed this Agreement on the dates set forth below.

DISTRICT: ALUM ROCK UNION ELEMENTARY SCHOOL

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_  
Board Approved: \_\_\_\_\_

OCCUPANT: ALPHA PUBLIC SCHOOLS, INC.  
DBA Alpha: Blanca Alvarado Middle School

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 4, 2017

**Subject: BOARD CREATED STANDING COMMITTEES**

The Board will report on Board Created Standing Committees:

- Bond, Facilities, and Finance
- Curriculum and Technology
- Parent Engagement
- Small Schools and Innovation

**Information/Discussion**

Submitted by: Hilaria Bauer Title: Superintendent

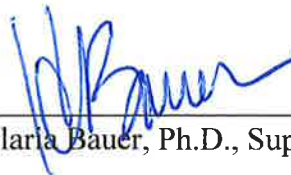
To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Information/Discussion**

8.17

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

9.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 4, 2017

**Subject: PRESENTATION AND/OR UPDATE ON THE BOND/FACILITIES**

Mr. Luis Rojas, CEO, Del Terra, will present an update on the status of the Bond Projects.

Presentation/Update

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Presentation/Update**

9.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

9.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 8, 2017

**Subject: REPORT AND UPDATE REGARDING RESOLUTIONS  
NO. 53-16/17 AND 54-16/17 PERTAINING TO DEBT AND  
BOND ISSUANCE**

**INFORMATION/DISCUSSION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: September 14, 2017  
Regular Board Meeting

**Information/Discussion**

9.02

Agenda Placement

H. Bauer

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

9.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: August 31, 2017

**Subject:** Re-Roofing Project at L.U.C.H.A. Elementary School Notice of Completion

**Staff Analysis:** The Re-Roofing at L.U.C.H.A. Elementary School project is completed. To formally close the contract, a *Notice of Completion* needs to be filed with the Santa Clara County Recorder to reflect the status.

The original contract value was \$278,811.00 and the final contract value is now \$276,907.50. The decrease on this project totaled to (\$1,903.50), the funds are being decreased via change order at the close of the project.

**Recommendation:** Staff recommends that the Board approve the Notice of Completion document with Roofing Constructors, Inc. dba Western Roofing Service, and the release of all retention monies will be issued thirty five (35) calendar days after the Notice of Completion has been recorded.

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommended Approval**

9.03  
Agenda Placement

  
Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

After Recording, Return to:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
BONDS/FACILITIES CONSTRUCTION OFFICE  
2930 GAY AVENUE  
SAN JOSE, CA 95127

Issue Without Fee Under  
Section 6103, Government Code

SPACE ABOVE THIS LINE RESERVED FOR RECORDER'S USE

## NOTICE OF COMPLETION

**NOTICE IS HEREBY GIVEN** in accordance with the provisions of Civil Code Section 3093, the Alum Rock Union Elementary School District of Santa Clara County, State of California, acting by and through its Governing Board, entered into a contract on **June 9, 2017**, with **Roofing Constructors Inc. dba Western Roofing Services** whose address is at **15002 Wicks Blvd., San Leandro, Ca 94577** for **Re-Roofing Project at L.U.C.H.A. Elementary School** located at **1250 King Rd., San Jose, CA 95122**, County of Santa Clara, all in accordance with Plans and Specifications therefore adopted by said Governing Board and upon the terms and conditions set forth and identified by said written contract.

**NOTICE IS FURTHER GIVEN** that the work provided to be done under said contract was completed on the **31<sup>st</sup>** day of **August 2017**.

**NOTICE IS FURTHER GIVEN** that the public board for which said work was done is the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California; that the name of the surety upon the Bond by said contractor pursuant to the provisions of Chapter 3, Divisions 5 of Title 1 of the Government Code is **Westchester Fire Insurance Company**

**DATED** this **14<sup>th</sup>** day of **September 2017**.

By: \_\_\_\_\_  
Esau Ruiz Herrera  
President, Board of Trustees

## VERIFICATION

State of California  
County of Santa Clara

Dolores Marquez, being first duly sworn, deposes and says:

That she is the Clerk of the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California, and that he makes this affidavit of verification for and on behalf of the said School District and its Governing Board; that the said School District is the owner of the property described in the foregoing notice; that affiant has read the said Notice and knows the contents thereof, and the facts therein stated are true of her own knowledge, except as to those matters therein stated on her information and belief, and as to these matters, she believes it to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Date: \_\_\_\_\_

\_\_\_\_\_  
Dolores Marquez, Clerk, Board of Trustees

9.04

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: August 31, 2017

**Subject:** **Re-Roofing Project at Mathson Middle School Notice of Completion**

**Staff Analysis:** The Re-Roofing at Mathson Middle School project is completed. To formally close the contract, a *Notice of Completion* needs to be filed with the Santa Clara County Recorder to reflect the status.

The original contract value was \$384,944.00 and the final contract value is now \$383,226.00. The decrease on this project totaled to (\$1,718.00), the funds are being decreased via change order at the close of the project.

**Recommendation:** Staff recommends that the Board approve the Notice of Completion document with Waterproofing Associates, Inc., and the release of all retention monies will be issued thirty five (35) calendar days after the Notice of Completion has been recorded.

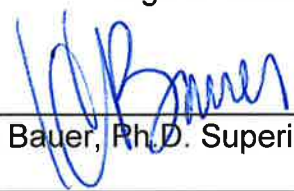
Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommended Approval**

9.04  
Agenda Placement

  
Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_



After Recording, Return to:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
BONDS/FACILITIES CONSTRUCTION OFFICE  
2930 GAY AVENUE  
SAN JOSE, CA 95127

Issue Without Fee Under  
Section 6103, Government Code

SPACE ABOVE THIS LINE RESERVED FOR RECORDER'S USE

## NOTICE OF COMPLETION

**NOTICE IS HEREBY GIVEN** in accordance with the provisions of Civil Code Section 3093, the Alum Rock Union Elementary School District of Santa Clara County, State of California, acting by and through its Governing Board, entered into a contract on **April 14, 2017**, with **Waterproofing Associates, Inc.**, whose address is at **975 Terra Bella Ave., Mountain View, Ca 94043** for **Re-Roofing Project at Mathson Middle School** located at **2050 Kammerer Ave, San Jose, CA 95116**, County of Santa Clara, all in accordance with Plans and Specifications therefore adopted by said Governing Board and upon the terms and conditions set forth and identified by said written contract.

**NOTICE IS FURTHER GIVEN** that the work provided to be done under said contract was completed on the **31<sup>st</sup>** day of **August 2017**.

**NOTICE IS FURTHER GIVEN** that the public board for which said work was done is the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California; that the name of the surety upon the Bond by said contractor pursuant to the provisions of Chapter 3, Divisions 5 of Title 1 of the Government Code is **International Fidelity Insurance Company**.

**DATED** this **14<sup>th</sup>** day of **September 2017**.

By: \_\_\_\_\_  
Esau Ruiz Herrera  
President, Board of Trustees

## VERIFICATION

State of California  
County of Santa Clara

Dolores Marquez-Frausto, being first duly sworn, deposes and says:

That she is the Clerk of the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California, and that he makes this affidavit of verification for and on behalf of the said School District and its Governing Board; that the said School District is the owner of the property described in the foregoing notice; that affiant has read the said Notice and knows the contents thereof, and the facts therein stated are true of her own knowledge, except as to those matters therein stated on her information and belief, and as to these matters, she believes it to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Date: \_\_\_\_\_

\_\_\_\_\_  
Dolores Marquez-Frausto, Clerk, Board of Trustees

9.05

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: August 31, 2017

**Subject:** Re-Roofing Project at Russo Elementary School Notice of Completion

**Staff Analysis:** The Re-Roofing at Russo Elementary School project is completed. To formally close the contract, a *Notice of Completion* needs to be filed with the Santa Clara County Recorder to reflect the status.

The original contract value was \$236,967.00 and the final contract value is now \$236,752.51. The decrease on this project totaled to (\$214.49), the funds are being decreased via change order at the close of the project.

**Recommendation:** Staff recommends that the Board approve the Notice of Completion document with Roofing Constructors, Inc. dba Western Roofing Service, and the release of all retention monies will be issued thirty five (35) calendar days after the Notice of Completion has been recorded.

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommended Approval**

9.05

Agenda Placement

  
Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

After Recording, Return to:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
BONDS/FACILITIES CONSTRUCTION OFFICE  
2930 GAY AVENUE  
SAN JOSE, CA 95127

Issue Without Fee Under  
Section 6103, Government Code

SPACE ABOVE THIS LINE RESERVED FOR RECORDER'S USE

## NOTICE OF COMPLETION

**NOTICE IS HEREBY GIVEN** in accordance with the provisions of Civil Code Section 3093, the Alum Rock Union Elementary School District of Santa Clara County, State of California, acting by and through its Governing Board, entered into a contract on **April 14, 2017**, with **Roofing Constructors Inc. dba Western Roofing Services** whose address is at **15002 Wicks Blvd., San Leandro, Ca 94577** for **Re-Roofing Project at Russo Elementary School** located at **2851 Gay Ave., San Jose, CA 95127**, County of Santa Clara, all in accordance with Plans and Specifications therefore adopted by said Governing Board and upon the terms and conditions set forth and identified by said written contract.

**NOTICE IS FURTHER GIVEN** that the work provided to be done under said contract was completed on the **31<sup>st</sup>** day of **August 2017**.

**NOTICE IS FURTHER GIVEN** that the public board for which said work was done is the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California; that the name of the surety upon the Bond by said contractor pursuant to the provisions of Chapter 3, Divisions 5 of Title 1 of the Government Code is **Westchester Fire Insurance Company**

**DATED** this **14<sup>th</sup>** day of **September 2017**.

By: \_\_\_\_\_  
Esau Ruiz Herrera  
President, Board of Trustees

## VERIFICATION

State of California  
County of Santa Clara

Dolores Marquez, being first duly sworn, deposes and says:

That she is the Clerk of the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California, and that he makes this affidavit of verification for and on behalf of the said School District and its Governing Board; that the said School District is the owner of the property described in the foregoing notice; that affiant has read the said Notice and knows the contents thereof, and the facts therein stated are true of her own knowledge, except as to those matters therein stated on her information and belief, and as to these matters, she believes it to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Date: \_\_\_\_\_

\_\_\_\_\_  
Dolores Marquez, Clerk, Board of Trustees

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

9.06

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: September 8, 2017

Subject: Award of Contract to Sugimura Finney Architects – Arbuckle

Summary: District staff and Program Manager Del Terra Group have determined there is an urgent need to replace the HVAC systems at Arbuckle, Cureton and McCollam Elementary Schools. In January 2017 the Board of Trustee's approved the Bond Projects "T" list which include HVAC upgrades at Arbuckle, Cureton and McCollam Elementary Schools

Del Terra reached out to Board approved architect Sugimura Finney Architects to provide proposals for Architectural and Engineering Services for the design and development of construction documents for approval by Division State Architects (DSA). Proposal also includes biddable documents, and construction administration service.

The proposal received for the following school sites are as follows:

<u>School</u>	<u>Contract Amount</u>	<u>Reimbursable Amount</u>
Arbuckle Elementary School	\$150,000.00	\$2,000.00
Cureton Elementary School	\$150,000.00	\$2,000.00
McCollam Elementary School	\$150,000.00	\$2,000.00

Recommendation: Based on the evaluation, experience, and reputation of Sugimura Finney Architects, Del Terra Group and District staff recommends the Board of Trustees award the contracts to Sugimura Finney Architects in the amount of \$152,000.00

Submitted by: <u>Louie Moran</u> <i>RT</i>	Title: <u>Director of Facilities, Bonds and Leases</u>
Approved by: <u>Kolvira Chheng</u> <i>Kal J</i>	Title: <u>Assistant Superintendent, Business Services</u>

To the Board of Trustees:

Meeting: July 13, 2017

Recommended Approval

9.06

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: BONDS (School/Dept.)

VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: SUGIMURA FINNEY ARCHITECTS

Address: 2155 SO. BASCOM SUITE 200 City: CAMPBELL State: CA Zip: 95008

Phone: (408) 879-0600 Fax: ( )

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates SEPTEMBER 15, 2017 to SEPTEMBER 15, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

PROVIDE ARCHITECTURAL SERVICES FOR THE HVAC PROJECT AT ARBUCKLE E.S.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

PROVIDE ARCHITECTURAL & ENGINEERING SERVICES FOR THE DESIGN AND PREPARATION OF DRAWINGS AND SPECIFICATION FOR NEW HVAC AT ARBUCKLE E.S.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

c. **Other:** \$152,000.00 (describe rate agreement) based on construction estimate

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
21	140	9601 0	6210	\$ 150,000.00		
21	140	9601 0	6211	\$ 2,000.00	Reimbursables	

MM 9/18/17

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

9.07

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: September 8, 2017

Subject: Award of Contract to Sugimura Finney Architects – Cureton

Summary: District staff and Program Manager Del Terra Group have determined there is an urgent need to replace the HVAC systems at Arbuckle, Cureton and McCollam Elementary Schools. In January 2017 the Board of Trustee's approved the Bond Projects "I" list which include HVAC upgrades at Arbuckle, Cureton and McCollam Elementary Schools

Del Terra reached out to Board approved architect Sugimura Finney Architects to provide proposals for Architectural and Engineering Services for the design and development of construction documents for approval by Division State Architects (DSA). Proposal also includes biddable documents, and construction administration service.

The proposal received for the following school sites are as follows:

<u>School</u>	<u>Contract Amount</u>	<u>Reimbursable Amount</u>
Arbuckle Elementary School	\$150,000.00	\$2,000.00
Cureton Elementary School	\$150,000.00	\$2,000.00
McCollam Elementary School	\$150,000.00	\$2,000.00

Recommendation: Based on the evaluation, experience, and reputation of Sugimura Finney Architects, Del Terra Group and District staff recommends the Board of Trustees award the contracts to Sugimura Finney Architects in the amount of \$152,000.00

Submitted by:

Louie Moran (R)  
Louie Moran

Title: Director of Facilities, Bonds and Leases

Approved by:

Kolvira Chheng  
Kolvira Chheng

Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: July 13, 2017

Recommended Approval

9.07

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_





09/08/17 9:20 PURCH

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT****INDEPENDENT CONTRACTOR AGREEMENT**TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: BONDS (School/Dept.)

VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: SUGIMURA FINNEY ARCHITECTSAddress: 2155 So. BASCOM AVE, SUITE 200 City: CAMPBELL State: CA Zip: 95008Phone: (408) 879-0600 Fax: ( )

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates SEPTEMBER 15, 2017 to SEPTEMBER 15, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

PROVIDE ARCHITECTURAL SERVICES FOR THE HVAC PROJECT AT CURETON E.S.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

PROVIDE ARCHITECTURAL & ENGINEERING SERVICES FOR THE DESIGN AND PREPARATION OF DRAWINGS AND SPECIFICATIONS FOR NEW HVAC AT CURETON E.S.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

\_\_\_\_\_ b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_\_ c. **Other:** \$ 152,000.00 (describe rate agreement) Based on Construction estimate

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>21</u>	<u>010</u>	<u>9601 0</u>	<u>6210</u>	<u>150,000.00</u>		
<u>21</u>	<u>010</u>	<u>9601 0</u>	<u>6211</u>	<u>2,000.00</u>	<u>reimbursables</u>	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



9.08

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: September 8, 2017

Subject: Award of Contract to Sugimura Finney Architects – McCollam

Summary: District staff and Program Manager Del Terra Group have determined there is an urgent need to replace the HVAC systems at Arbuckle, Cureton and McCollam Elementary Schools. In January 2017 the Board of Trustees approved the Bond Projects "T" list which include HVAC upgrades at Arbuckle, Cureton and McCollam Elementary Schools

Del Terra reached out to Board approved architect Sugimura Finney Architects to provide proposals for Architectural and Engineering Services for the design and development of construction documents for approval by Division State Architects (DSA). Proposal also includes biddable documents, and construction administration service.

The proposal received for the following school sites are as follows:

<u>School</u>	<u>Contract Amount</u>	<u>Reimbursable Amount</u>
Arbuckle Elementary School	\$150,000.00	\$2,000.00
Cureton Elementary School	\$150,000.00	\$2,000.00
McCollam Elementary School	\$150,000.00	\$2,000.00

Recommendation: Based on the evaluation, experience, and reputation of Sugimura Finney Architects, Del Terra Group and District staff recommends the Board of Trustees award the contracts to Sugimura Finney Architects in the amount of \$152,000.00

Submitted by:

Louie Moran 

Title: Director of Facilities, Bonds and Leases

Approved by:

Louie Moran

Kolvira Chheng

Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: July 13, 2017

Recommended Approval

9.08

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: BONDS (School/Dept.)

VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: SUGIMURA FINNEY ARCHITECTS  
 Address: 2155 So. BASCOM AVE #200 City: CAMPBELL State: CA Zip: 95008  
 Phone: (408) 879-0600 Fax: ( )  
 SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_  
 Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates SEPTEMBER 15, 2017 to SEPTEMBER 15, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

PROVIDE ARCHITECTURAL SERVICES FOR THE HVAC PROJECT AT McCOLLUM E.S.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

PROVIDE ARCHITECTURAL & ENGINEERING SERVICES FOR THE DESIGN AND PREPARATION OF DRAWINGS AND SPECIFICATIONS FOR NEW HVAC AT McCOLLUM ES

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

\_\_\_\_\_ b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_\_ c. **Other:** \$ 152,000.00 (describe rate agreement) based on construction estimate

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>21</u>	<u>040</u>	<u>91001 0</u>	<u>6210</u>	<u>150,000.00</u>		
<u>21</u>	<u>040</u>	<u>91001 0</u>	<u>6211</u>	<u>2,000.00</u>	<u>Reimbursables</u>	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

10.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: September 8, 2017

Subject: City Year ASES contract for 2017-2018

Staff Analysis: Governor of California increased the rate per student from \$7.50 to \$8.19. Therefore, ASES City Year has an increase of \$155,069.00 to add to the previous amount of \$1,256,832.00. The new total for the ASES City Year contract is \$1,411,901.00.

Recommendation: Staff recommends approval of the increase of \$155,069.00 to the original ASES contract for City Year to reflect the Governor's increase.

Submitted by:



Sandra Garcia

Title: Coordinator, State and Federal Programs

Approved by:



Rene Sanchez

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017

Recommended Approval

10.01

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## PURCHASE ORDER / CONTRACT ADJUSTMENT

P.O. No. \_\_\_\_\_ Contract No. C1718061 Contract Original Amount: \$ 1,256,832.00

Vendor Name: City Year, Inc. Vendor No. 15415

Please check:

- ☐ Cancel P.O. / Contract  
☐ Change Item No.: \_\_\_\_\_  
☐ Increase Amount Line Item No.: \_\_\_\_\_  
☐ Decrease Amount Line Item No.: \_\_\_\_\_  
☐ Add Line No.: \_\_\_\_\_  
☐ Delete Line No.: \_\_\_\_\_  
☐ Change org key/object code to: \_\_\_\_\_  
☐ Change Vendor No. to: \_\_\_\_\_  
☐ Add Freight: \$ \_\_\_\_\_  
☐ Add Tax: \_\_\_\_\_ %  
☐ Disencumber  
☒ Change Order No.: \_\_\_\_\_  
☐ Other \_\_\_\_\_

### CONTRACTS ONLY

Change Orders:

Contracts Original Amount \$ 1,256,832.00  
 Change Order #: \_\_\_\_\_ \$ 155,069.00  
 Change Order #: \_\_\_\_\_ \$ \_\_\_\_\_  
 Change Order #: \_\_\_\_\_ \$ \_\_\_\_\_

**New Contract Total** \$ 1,411,901.00  
 (including Change Orders)

Line #	FUND	LOCATION	PROGRAM	ACCOUNT	\$ AMOUNT	Accountant Signature & Date
	06	360	13860	5815	1,411,901.00	<i>[Signature]</i> 8/30/17

Reason for Adjustment: Change amount due to rate increase per student from \$7.50 to \$8.19.

School/Dept.: <u>State &amp; Federal Dept</u>	Requested by: <u>Sandra Garcia</u>
Approval: <i>[Signature]</i> (for Contracts Only)	Assistant Superintendent of Business Services
<i>[Signature]</i>	Program Manager
	Purchasing Manager
Date Requested: <u>08/18/2017</u>	Date Entered: <u>08/18/2017</u> Entered By: <u>Elisa Ugapo</u>

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

11.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: September 7, 2017

**Subject:** Extended Year Presentation

**Staff Analysis:** Extended year presentation to review ARUSD summer programming and highlight the summer's offerings, and enrollment figures.

**Presentation/Information Only**

Submitted by: Jason Sorich

Title: EdTech Administrator, Academic Services

Approved by: Rene Sanchez

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Presentation/Information Only**

11.01  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: **September 5, 2017**

**Subject:** 2017 California Assessment of Student Performance and Progress (CAASPP)

**Staff Analysis:** The purpose of the presentation is to inform the Board of Trustees about the District's performance on the CAASPP assessments.

**Recommendation:** Information Only

Submitted by: Iván Montes

*IM*

Title: Coordinator, Academic Services

Approved by: Rene Sanchez

*RS.*

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Information Only**

11.02  
Agenda Placement

*H. Bauer*  
Hilaria Bauer, Ph.D, Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



11.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: September 7, 2017

**Subject:** Local Control Accountability Plan (LCAP) Timeline

**Staff Analysis:** The LCAP is an important component of the Local Control Funding Formula (LCFF). Under the LCFF all LEAs are required to prepare an LCAP, which describes how they intend to meet annual goals for all pupils, with specific activities to address state and local priorities identified pursuant to *Education Code* Section 52060(d).

Staff will provide a Timeline for the development of the 2018-2019 Local Control Accountability Plan.

**Recommendation:** Informational Item

Prepared by: Sandra Garcia *SG* Title: Coordinator of State and Federal Programs Department

Submitted by: Rene Sanchez *RS* Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Information Only**

*11.03*  
Agenda Placement

*[Signature]*  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



## Local Control Accountability Plan (LCAP) Timeline

September 14, 2017

This summary is to provide information regarding the LCAP Timeline.

### What is the LCAP?

California schools are funded under a model known as the Local Control Funding Formula (LCFF). Under this plan Districts are required to develop a Local Control Accountability Plan (LCAP) to guide priorities in the budget development process. Central to the process is providing opportunities for public input.

The LCAP must be built around eight (8) priority areas identified by the California Department of Education.

- |                          |                           |
|--------------------------|---------------------------|
| 1. Basic Necessities     | 5. Student Engagement     |
| 2. Implementation (CCSS) | 6. School Climate         |
| 3. Parental Involvement  | 7. Access to Courses      |
| 4. Student Achievement   | 8. Other student outcomes |

### Current 2018-2019 LCAP goals

Goal 1 – *Provide all students with a rigorous, challenging, and innovative instructional program that prepares them for college-readiness and for excellence in the 21<sup>st</sup> Century.*

Goal 2 – *English Learners will have the required skills to reach grade level standards/proficiency.*

Goal 3 – *Provide all students and families a safe, welcoming, and caring environment conducive to learning.*

Goal 4 – *Engage stakeholders in a meaningful way that promotes a positive learning, working, and community environment that is geared toward student achievement.*

### The following stakeholders will be engaged to provide input into the development of the LCAP:

- Parents & Community members via community forums, school site meetings, and on-line surveys
- AREA, CSEA & Teamsters units via input session meetings
- Middle School students via on-line surveys
- Teachers & District Administrators via staff meetings, input sessions, and on-line surveys

### Timeline for Stakeholder Meetings

October	January & February	March	April	May	June
<ul style="list-style-type: none"> <li>LCFF/LCAP Parent Informational Meetings</li> </ul>	<ul style="list-style-type: none"> <li>DELAC LCAP review</li> <li>DAC LCAP review</li> <li>SPARC LCAP review</li> <li>Parent/Community input forum</li> </ul>	<ul style="list-style-type: none"> <li>AREA, CSEA &amp; Teamsters LCAP Review &amp; Input Session</li> <li>Staff &amp; Parent Meetings (school sites)</li> <li>On-line parent and student surveys</li> </ul>	<ul style="list-style-type: none"> <li>Board update – Initial findings, parent survey data</li> <li>LCAP draft</li> </ul>	<ul style="list-style-type: none"> <li>Board update – LCAP draft</li> <li>Board direction</li> <li>Stakeholder feedback</li> <li>Public Hearing (tentative)</li> </ul>	<ul style="list-style-type: none"> <li>Board adopts LCAP</li> <li>Submit LCAP to Santa Clara</li> <li>County Office of Education for approval</li> </ul>

### Board Updates

LCAP Board presentations and updates will begin in January 2018.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

12.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: **Information Regarding Resignations**

Staff Analysis:

Pursuant to Board Policy 4117.2 the Superintendent or her designee has accepted the following resignations.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Information Only**

Meeting:

September 14, 2017  
Regular Board Meeting

12.01  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**HUMAN RESOURCES DEPARTMENT  
MEETING OF THE BOARD OF TRUSTEES**

**September 14, 2017**

**CLASSIFIED RESIGNATIONS:**

**I. Resignations:**

1. Dang, Kaylyn	Payroll Technician/Payroll	09/01/17
2. De Andrade, Maria Elena	Custodian/39mo Re-Employment	07/31/17
3. DeRamirez, Jesmina	School Office Assistant/Renaissance I	08/15/17
4. Macias, Deanna	Paraeducator Special Education II/Lyndale	08/11/17
5. Lisa Marshall	School Administrative Assistant/Renaissance II	08/31/17

Office of Superintendent of Schools


**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

**Subject:** **Unaudited Actual Financial Reports for 2016 – 2017**  
***Report to the Board on Unaudited Actuals as Required by Law***

**Staff Analysis:** Staff will provide an update on the Unaudited Actuals Financial Report for Fiscal Year 2016 – 2017. Education Code section 42100 requires that the Governing Board of each school district approve an annual statement for all revenues and expenditures of the district for the fiscal year.

**Recommendation:** Staff recommends that the Board approve the 2016 – 2017 Unaudited Actuals Financial Report.

Prepared by: Efrain Robles Title: Director, Fiscal Services  
Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

13.01

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

UNAUDITED ACTUAL FINANCIAL REPORT:

To the County Superintendent of Schools:

2016-17 UNAUDITED ACTUAL FINANCIAL REPORT. This report was prepared in accordance with Education Code Section 41010 and is hereby approved and filed by the governing board of the school district pursuant to Education Code Section 42100.

Signed: \_\_\_\_\_  
Clerk/Secretary of the Governing Board  
(Original signature required)

Date of Meeting: Sep 14, 2017

To the Superintendent of Public Instruction:

2016-17 UNAUDITED ACTUAL FINANCIAL REPORT. This report has been verified for accuracy by the County Superintendent of Schools pursuant to Education Code Section 42100.

Signed: \_\_\_\_\_  
County Superintendent/Designee  
(Original signature required)

Date: \_\_\_\_\_

For additional information on the unaudited actual reports, please contact:

For County Office of Education:

Anita Maharaj  
Name  
Advisor  
Title  
408-453-6594  
Telephone  
Anita\_Maharaj@sccoe.org  
E-mail Address

For School District:

Efrain Robles  
Name  
Director, Fiscal services  
Title  
408-928-6839  
Telephone  
efrain.robles@arUSD.org  
E-mail Address

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

13.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: August 31, 2017

**Subject:** Small Business Outreach and Information Session Report

**Staff Analysis:** The District advertised with the following sources (total advertisement cost \$1,470):


San Jose Mercury News (Advertised in English)  
Vietnam Daily News (Advertised in English and Vietnamese)  
El Observador (Advertised in English and Spanish)  
San Jose Chamber of Commerce (Advertised in English)  
Hispanic Chamber of Commerce (Advertised in English and Spanish)  
Alum Rock Santa Clara Street Business Association (Advertised in English, Spanish and Vietnamese)

The session was held on Saturday, July 1, 2017 in the District's Board Room. Two companies registered; only one showed up with 2 representatives (Builders' Exchange of Santa Clara County). Although attendance was not what we hoped for, the dialogue between the Builders' Exchange and the District was informative for them and us. Additionally, Builders' Exchange of Santa Clara County informed District staff that it will advertise any District's future announcements in its publication at no cost.

The District will hold similar sessions twice a year in order to increase local small business participation along with attending similar events held by business associations with and around Alum Rock.

A copy of the presentation is attached.

\*\*\*INFORMATION ONLY\*\*\*

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

Information Only

13.02  
Agenda Placement

  
Hilaria Bauer, Ph.D. Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

August 22, 2017

**Subject: APPROVAL OF BOARD MEETING MINUTES FOR THE  
FOLLOWING DATES:**

- July 18, 2017, Special Board Meeting
- July 27, 2017, Special Board Meeting

**Recommend Approval**

Submitted by: Hilaria Bauer Title: Superintendent

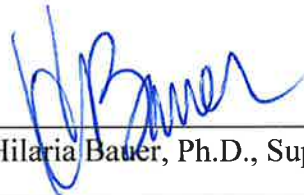
To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.01

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



**DRAFT MINUTES  
ALUM ROCK ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127**

**MINUTES OF A SPECIAL MEETING OF THE BOARD OF TRUSTEES  
of the Alum Rock Union School District  
Held on Tuesday, July 18, 2017, 6:00 p.m. at the Alum Rock Union School  
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127  
Meeting #02-17/18**

**1. OPEN SESSION**

**1.01 Call to Order / Roll Call**

President Khanh Tran welcomed everyone in the audience. President Khanh Tran called the Board Meeting to order at 6:01 p.m. followed by Roll Call.

Board Members Present:

Khanh Tran	President
Andrés Quintero	Vice-President
Dolores Marquez-Frausto	Clerk
Esau Ruiz Herrera	Member
Karen Martinez	Member ( <i>arrived late at 6:18 p.m.</i> )

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Kolvira Chheng	Assistant Superintendent, Business Services
Marie Sanchez	Sr. Executive Assistant, Superintendent's Office
Angel Rodriguez	Executive Assistant/Communications Support

**1. OPEN SESSION *(continued)***

**1.02 Announcement and Public Comment Regarding Items to be Discussed in Closed Session**

President Khanh Tran announced the items that would be discussed in Closed Session.

**1.03 The Board Will Adjourn in Closed Session at Approximately 6:00 p.m.**

The Board recessed to Closed Session at 6:03 p.m. President Khanh Tran announced that the Board would reconvene to Open Session at approximately 6:15 p.m.

**3. RECONVENE TO OPEN SESSION**

**3.01 Call to Order / Pledge of Allegiance**

The Board reconvened to Open Session at 6:33 p.m. President Khanh Tran welcomed everyone back to the meeting.

**3.02 Report of Action Taken in Closed Session**

Superintendent Hilaria Bauer reported the following:

***By a vote of 5 to 0, the Board took action to accept and approve the Stipulated Student Expulsion for Case No. 16-17-006.***

There was no other action taken at this time.

**4. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD**

**4.01 Requests to Address the Board**

Per Legal Counsel, public comments can be made after the Board has dialogue with ***Agenda Items 5.01 Approval of Contract for Legal Services with Fagen Friedman and Fulfrost Law Firm from June 26, 2017 through July 13, 2017 to assist with the District's response to the FCMAT Extraordinary Audit Report and 5.02 Approval of Contract for Legal Services with Fagen Friedman and Fulfrost Law Firm from July 19, 2017 through July 30, 2017*** to assist with the District's response to the FCMAT Extraordinary Audit Report as appropriate.

## **5. SUPERINTENDENT / BOARD BUSINESS**

### **5.01 Approval of Contract for Legal Services with Fagen Friedman and Fulfrost Law Firm from June 26, 2017 through July 13, 2017 to assist with the District's Response to the June 9, 2017 FCMAT Extraordinary Audit Report**

**MOTION #02-01 by Clerk Dolores Marquez-Frausto to accept and approve the Contract for Legal Services with Fagen Friedman Fulfrost Law Firm from June 26, 2017 through July 13, 2017, for services already rendered. MOTION #02-01 was seconded by Member Esau Ruiz Herrera.**

The Board had dialogue on this agenda item. Vice-President Andrés Quintero reported that he would not be supporting this motion. He stated that he felt that the District did not need a new legal firm to assist with the District's response to the FCMAT Extraordinary Audit Report. He felt very confident that our own legal counsel, Rehon and Roberts, could handle the response since they already had had this kind of experience at another school district.

Member Karen Martinez also was in agreement with Vice-President Andrés Quintero – she would also not be supporting the motion on the floor. She felt that there was no need to hire an outside law firm when we already have a competent attorney.

Member Esau Ruiz Herrera stated that he felt it was best to hire an outside legal firm that would be a neutral firm with a fresh set of eyes. He stated that July 28 is the District's deadline to respond to the Santa Clara Office of Education.

Vice-President Andrés Quintero stated that he had spoken with the Santa Clara County Office of Education and they confirmed that the response does not need any legal action-why do we need to pay legal costs when the District can respond on our own?

President Khanh Tran reported that since the District has lost its fiscal independency, the FCMAT Audit has been damaging, has hurt us with the fund ratings, he would only be supporting the approval of legal services with Fagen Friedman Fulfrost (FFF) for the period from June 26, 2017 through July 13, 2017.

Clerk Dolores Marquez-Frausto stated that she felt we needed to finish what we started. The Fagen Friedman Fulfrost (FFF) law firm has already started the work and the District is not capable of doing this report. She commented that she will be supporting the new law firm. She also commented that the District also needs to respond to the Alum Rock Community with answers.

Attorney Luis Saenz reported that on June 8, 2017, the Board majority passed a motion to go outside and get an outside legal firm. Superintendent Hilaria Bauer assigned the task to Assistant Superintendent Kolvira Chheng and himself. Attorney Luis Saenz reported that on Tuesday and Wednesday, June 20 and 21, 2017, he spoke to attorneys from the following

## **5. SUPERINTENDENT / BOARD BUSINESS *(continued)***

### **5.01 Approval of Contract for Legal Services with Fagen Friedman and Fulfroft Law Firm from June 26, 2017 through July 13, 2017 to assist with the District's Response to the June 9, 2017 FCMAT Extraordinary Audit Report**

law firms about their interest in being interviewed by a panel consisting of Member Esau Ruiz Herrera, Assistant Superintendent Kolvira Chheng, and himself regarding the selection of a law firm to assist the District in responding to FCMAT's June 9, 2017 Audit. The law firms were the following:

1. Lozano Smith
2. Best Best and Krieger
3. Burke Williams and Sorenson
4. Dannis Woliver and Kelley
5. Atkinson Andelson (AA)
6. Fagan Friedman and Fulfroft (FFF)

Attorney Luis Saenz reported that these are the very best large firms that represent California school districts. He reported that one firm was not sufficiently experienced in these types of matters and three were not interested. This left Attorneys David Soldani from AA and Mark Williams and Roy Combs from FFF. He reported that on June 22, 2017, the committee consisted of Member Esau Ruiz Herrera, Assistant Superintendent Kolvira Chheng, and himself. There were two interviews conducted, one with Attorney Soldani and the second with Attorneys Williams and Combs. The committee unanimously selected Williams and Combs from FFF. Attorney Saenz reported that FFF lowered their \$335-\$360/hr. fee to a maximum rate of \$290/hr. which was accepted by the committee.

Attorney Luis Saenz stated that the District needs to pay the FFF Law Firm for services already rendered and a new contract will need to be submitted by the legal firm for only the period from June 26, 2017 through July 13, 2017 along with an invoice. He also stated that the District still has the opportunity to set the record straight.

President Khanh Tran commented that he has 'lost faith in the law firm FFF and will delegate this to Attorney Rogelio Ruiz.

Clerk Dolores Marquez-Frausto asked that why not use Rogelio Ruiz from Rehon & Roberts, who has lots of experience? We have a responsibility to the community. If we do not use the new law firm FFF, then we need to bring in Rogelio Ruiz.

Member Esau Ruiz Herrera stated that we now will need to rely on legal counsel to provide proper direction and recommendations and to provide this type of support in order to avoid these situations. We need to be accountable for our actions.

## **5. SUPERINTENDENT / BOARD BUSINESS *(continued)***

### **5.01 Approval of Contract for Legal Services with Fagen Friedman and Fulfroft Law Firm from June 26, 2017 through July 13, 2017 to assist with the District's Response to the June 9, 2017 FCMAT Extraordinary Audit Report**

Member Karen Martinez stated that Rogelio Ruiz has lots of experience; however, we are not really given him enough time to take over this project and we do not need to set him up for failure. She stated she wanted to respond to FCMAT but also wants to move forward. We now need to rely on legal counsel to provide proper direction and recommendation and to provide this type of support in order to avoid the situations – we need to be accountable for our actions.

#### **Public Comments:**

1. Anthony Alberts – Parent and Educator that spoke on the cost amount of the legal expense to the District
2. Flor DeLeon – Parent and Community member that spoke about replacing the DelTerra contract and moving forward. There are lots of schools without air-conditioning and water fountains, and that have bad bathrooms.
3. Jeff Markham – A community member reported that the District has no legal requirement other than to respond to the Santa Clara County Office of Education Superintendent and acknowledge that the District received the FCMAT report.

***MOTION #02-01 carried with a vote of 3 in favor; 2 in opposition (Member Karen Martinez and Vice-President Andrés Quintero); no absent; and no abstention.***

### **5.02 Approval of Contract for Legal Services with Fagen Friedman & Fulfroft Law Firm from July 19, 2017 through July 30, 2017, to assist with the District's response to the June 9, 2017 FCMAT Extraordinary Audit Report**

**MOTION #02-02 by Vice-President Andrés Quintero to Decline the Contract for Legal Services with Fagen Friedman & Fulfroft Law Firm from July 19, 2017 through July 30, 2017 to assist with the District's response. MOTION #02-02 was seconded by Member Karen Martinez.**

***There was no vote taken on this motion.***

1. Alison Cingolani – Parent of two students at Russo/McEntee Academy that spoke about the fact of the short-notice announcement from the Thursday to the Tuesday board meeting date -- this was not a positive, reassuring, and transparent move.

**5. SUPERINTENDENT / BOARD BUSINESS** *(continued)*

**5.02 Approval of Contract for Legal Services with Fagen Friedman and Fulfroft Law Firm from June 26, 2017 through July 13, 2017 to assist with the District's Response to the June 9, 2017 FCMAT Extraordinary Audit Report**

2. Ron Hanson – Community member that urged a NO VOTE on Agenda Item 5.02. He stated that the response should be on a plan on how the recommendation will be addressed. He commented that we should incorporate the FCMAT report recommendation from Superintendent Jon Gundry.
3. Flor DeLeon – Community member and parent that commented that we need to do the right thing and move forward – we have a life!

**MOTION #02-03 by President Khanh Tran to not approve the Contract for Legal Services with Fagen Friedman & Fulfroft Law Firm from July 19, 2017 through July 30, 2017 to assist with the District's response. There was no second made to this motion.**

*MOTION #02-03 dies because there was no second made.*

**MOTION #02-04 by Member Esau Ruiz Herrera to postpone this agenda item indefinitely. MOTION #02-04 was seconded by Clerk Dolores Marquez-Frausto.**

Board Comments: Esau Ruiz Herrera, Karen Martinez, and Khanh Tran.

*MOTION #02-04 dies with a vote of 2 in favor (Clerk Dolores Marquez-Frausto and Member Esau Ruiz Herrera); 2 in opposition (Member Karen Martinez and Vice-President Andrés Quintero); no absent; and one abstention (President Khanh Tran)*

**6. SUPERINTENDENT / BOARD BUSINESS**

**6.01 Award of Bid Package and Contract for #B1617-BOND013 New Fischer Multi-Purpose Building Project**

Superintendent Hilaria Bauer deferred this agenda item to Assistant Superintendent Kolvira Chheng who spoke on this item. He reported that DL Falk Construction was the lowest bidder.

**MOTION #02-05 by President Khanh Tran to accept the award of Bid Package and Contract for #B1617-BOND013 New Fischer Multi-Purpose Building Project as submitted. MOTION #02-05 was seconded by Member Esau Ruiz Herrera.**



## 6. SUPERINTENDENT / BOARD BUSINESS *(continued)*

### 6.01 Award of Bid Package and Contract for #B1617-BOND013 New Fischer Multi-Purpose Building Project

Vice-President Andrés Quintero commented that he is concerned about DL Falk Construction Company.

Member Karen Martinez stated that she wants to consider tabling this item and putting it on hold until the schools are up to par. She has visited all the schools and wants to first focus on the basic needs. We need to focus on our academics.

Member Esau Ruiz Herrera agreed with staying with the lowest bidder. He shared his reasons for moving forward with the Fisher Multi-Purpose Building Project. He commented that the District is already working on the basic needs projects at the school sites. We need to look at the ‘bigger picture’.

Clerk Dolores Marquez-Frausto stated that she dittoed what Member Esau Ruiz Herrera commented on. We have an obligation to the voters and we need to finish the Fischer Project.

President Khanh Tran commented he wants to move forward with both Multi-Purpose Building Projects as planned.

Vice-President Andrés Quintero commented that DL Falk Construction has been pre-qualified just like CTG and look what happened there. He also commented that this agenda item should have been agendized for a regular board meeting and not a special meeting so that more of the community could have participated.

The Board had more dialogue on this agenda item.

#### Public Comments:

1. Randy Masters – First time Community Member that commented that she does not have trust in the Board – think about the priorities. Del Terra is a ‘red flag’ – we need to pull away.
2. Esperanza Gonzales – Student that has been bullied through 4<sup>th</sup> grade.
3. Diltza Gonzales – Parent that commented to the Board to reject the contractors for the Multi-Purpose Building Projects and fix the bathrooms. She also commented that her child has yet to be re-classified as an English Learner.
4. Antonio Martinez – Mathson student that stated to teach more about the culture- don’t use \$9M on the Multi-Purpose Building Project, stop wasting time with these contractors that don’t fix the broken bathrooms.
5. Female student (no name given) – She commented that she wished she had learned her history better. She also asked the Board to stop wasting time with these contractors – they are only taking away money from the students.



## **6. SUPERINTENDENT / BOARD BUSINESS *(continued)***

### **6.01 Award of Bid Package and Contract for #B1617-BOND013 New Fischer Multi-Purpose Building Project**

#### **Public Comments (continued):**

6. Victor Vasquez – Parent that commented to make the board meetings more transparent. The schools need to first have the basic needs taken care of. Focus more on the students and their education.
7. Ms. Tanner – George teacher that commented that all the speakers had great comments. She stated that George Multi-Purpose Building Project should NOT be postponed over Fischer Multi-Purpose Building Project. It is only fair to postpone both projects rather than one over the other
8. Peter Tinkleberg – Teacher that felt we should postpone both projects until we have addressed the basic needs – the parents are not being listened to.
9. Alison Cingolani – Parent that commented to perform the necessities first; felt we needed to first do an assessment.
10. Maria Barcelenas – Parent with 3 students that stated she is opposed to the two centers; first need to take care of the basic needs, we need to make the necessary needed changes.
11. Maria Martinez – San Antonio and Mathson parent that stated that this contract is not right with Del Terra. She also commented that her daughter has yet to be re-classified as an English Learner.
12. Flor DeLeon – Parent that asked the Board to start prioritizing the needs and to spend the money more wisely.
13. Jocelyn Merz – AREA President that spoke on a personal level. Asked the Board to fix the basic needs first (air conditioning, heating, bathrooms, water fountains) because we are hurting the education of the students. Asked to postpone the two centers, fix the basic needs first, then move forward with the centers.
14. Ernesto (no last name given) – Sheppard parent that commented he was disappointed when both centers were approved – asked the Board to look at the real issue – they need to be more transparent.

#### **Additional Board Comments:**

Vice-President Andrés Quintero asked his colleagues to wait for the facility assessment, and then vote on how to proceed.

Member Esau Ruiz Herrera – The facility assessment will take a long time.

Clerk Dolores Marquez-Frausto – She commented she agreed the facility assessment would take a long time and that would hold the building projects back.

**6. SUPERINTENDENT / BOARD BUSINESS** *(continued)*

**6.01 Award of Bid Package and Contract for #B1617-BOND013 New Fischer Multi-Purpose Building Project**

*MOTION #02-06 carried with a vote of 3 in favor; 2 in opposition (Vice-President Andrés Quintero and Member Karen Martinez); no absent; and no abstention.*

**7. ADJOURNMENT**

**7.01 President Adjourns the Meeting**

President Khanh Tran adjourned the meeting at 9:15 p.m.

Respectfully submitted,

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Dolores Marquez-Frausto  
Board Clerk

HB/mcs

**DRAFT MINUTES**  
**ALUM ROCK ELEMENTARY SCHOOL DISTRICT**  
**2930 Gay Avenue**  
**San Jose, CA 95127**

**MINUTES OF A SPECIAL MEETING OF THE BOARD OF TRUSTEES**  
**of the Alum Rock Union School District**  
**Held on Thursday, July 27, 2017, 6:00 p.m. at the Alum Rock Union School**  
**District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127**  
**Meeting #03-17/18**

**1. OPEN SESSION**

**1.01 Call to Order / Roll Call**

President Khanh Tran welcomed everyone in the audience. President Khanh Tran called the Board Meeting to order at 6:01 p.m. followed by Roll Call.

Board Members Present:

Khanh Tran	President
Dolores Marquez-Frausto	Clerk
Esau Ruiz Herrera	Member
Karen Martinez	Member

Board Member Absent:

Andrés Quintero	Vice-President
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Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Jess Serna	Interim Assistant Superintendent, Human Resources
Kolvira Chheng	Assistant Superintendent, Business Services
Marie Sanchez	Sr. Executive Assistant, Superintendent's Office
Angel Rodriguez	Executive Assistant/Communications Support

## **2. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD *(continued)***

### **2.01 Requests to Address the Board**

3. Bill James – Chairman of the Santa Clara Democratic Party who reported that they support local school boards and strongly endorse and support local school bond issues. It is very important to the Santa Clara Democratic Party that the school bonds in every county are being used well. His recommendation was that the Board set aside the language of the report (fraud, etc.) and respond to the report with great openness and sincerity even if the Board disagrees with some of the recommendations.
4. Dilza Gonzalez – Parent of 3 students that commented that she is pleased the Board has accepted the recommendations of the FCMAT report. It is now time to focus on the needs of the students because school begins in one month. Lastly, she reported that the EMPUJE parents and other parents in the community will be meeting soon to create solutions.

## **3. SUPERINTENDENT / BOARD BUSINESS**

### **3.01 Response to FCMAT Extraordinary Audit and Proposed Actions to Address Recommendations**

**MOTION #03-01 by Member Esau Ruiz Herrera to accept and approve the recommendation by Superintendent Hilaria Bauer as submitted. MOTION #03-01 was seconded by Clerk Dolores Marquez-Frausto.**

Member Karen Martinez asked Legal Counsel what the impact of what we are proposing to submit will be on our credit report?

Attorney Rogelio Ruiz reported that as a consequence of the FCMAT audit, Moody's and S&P have placed the District "on watch" and are considering downgrading the rating of the District. He continued to report that the credit downgrade would not affect the already issued bonds; however, it could impact the District's future borrowing.

Clerk Dolores Marquez-Frausto asked Legal Counsel if the level of the detail we have provided is a benefit?

Attorney Rogelio Ruiz reported that not all FCMAT audits include this many recommendations. He said that recommended responses substantially adopt the recommendations of the report – repayment of Certificates of Participation (COP) were addressed and this was a concern because FCMAT indicated there was not a plan and insufficient funds to handle COPs. He continued to report that FCMAT asserted there was no close-out of the San Antonio Project. He reported that the COPs issue was a primary issue for the ratings agencies and this has been addressed in the District's response.

### **3. SUPERINTENDENT / BOARD BUSINESS *(continued)***

#### **3.01 Response to FCMAT Extraordinary Audit and Proposed Actions to Address Recommendations**

Member Esau Ruiz Herrera gave a brief summary of Attorney Rogelio Ruiz's executive summary which said that the FCMAT audit was incorrect in its assertion to put our credit rating at risk. Our responses indicate that we take these allegations very seriously and that we will correct the issues and provide support where necessary and that this report is due tomorrow!

President Khanh Tran reported that Del Terra had emailed a report during the morning. Superintendent Hilaria Bauer commented that she would check into making this report available on the District website.

#### **Public Comments:**

1. Natalie (no last name given) – Parent of a student that commented that it is very hard to find information on our website on what is happening with construction and that she suggests, in the interest of transparency, that there is a master plan with pictures of construction, updated schedules, etc.
2. Andrea Flores Shelton – Community member that commented that the community will continue to monitor the changes the Board is implementing and the effects on the District's bond rating. We need to strengthen our District!

#### **Additional Board Comments:**

Clerk Dolores Marquez-Frausto commented that she wants administration to ensure that there are no typos and date errors on the response report.

Member Esau Ruiz Herrera commented that this report was well prepared by administration with the facts and figures and reviewed by Legal Counsel.

President Khanh Tran echoed what Member Esau Ruiz Herrera had commented.

#### **Additional Public Comment:**

Superintendent Hilaria Bauer reported that she will review the response report one more time and make sure of the COC Chair's observations.

#### **Additional Board Comments:**

President Khanh Tran reported that FCMAT will not be responding to our response and that this is just to fulfill the requirement that we are legally required to provide.

### **3. SUPERINTENDENT / BOARD BUSINESS *(continued)***

#### **3.01 Response to FCMAT Extraordinary Audit and Proposed Actions to Address Recommendations**

Superintendent Hilaria Bauer reported that the final version would be shared with the Board and then posted on the website. The report will also be translated into Spanish and Vietnamese.

***MOTION #03-01 carried with a vote of 4 in favor; no opposition; 1 absent (Vice-President Andrés Quintero); and no abstention.***

### **4. ADJOURNMENT**

#### **4.01 President Adjourns the Meeting**

President Khanh Tran adjourned the meeting at 6:59 p.m.

Respectfully submitted,

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Dolores Marquez-Frausto  
Board Clerk

HB/mcs

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

14.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 27, 2017

**Subject:** Acceptance of Grant/Award Notification

**Staff Analysis:** The District has received a grant/award as summarized on the attached sheet dated September 14, 2017.

**Recommendation:** Staff recommends acceptance of these grant/award.

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.02  
Agenda Placement

  
Hilana Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



**Alum Rock Union Elementary School District  
September 14, 2017 Board Meeting**

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**GRANT/AWARD NOTIFICATIONS**

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<b><u>PROJECT NAME</u></b>	<b><u>PROJECT AMOUNT</u></b>	<b><u>FUNDING AGENCY</u></b>	<b><u>COMMENTS</u></b>
2016/17 Measure Q Urban Open Space	\$ 24,675.00	Santa Clara Valley Open Space Authority	Preserve and protect open space, including open space of outstanding scenic, recreational and agricultural importance within the Authority's approved jurisdiction; a competitive grant program which allocates to assist, provide and/or enhance open space to the Josepl George Learning Lab/Community Garden thru May 15, 2018.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127

14.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Subject: Acceptance of Memorandum(s) of Understanding

**Staff Analysis:**

The District has received the following Memorandum(s) of Understanding as summarized on the sheet dated September 14, 2017.

**Recommendation:**

Staff recommends acceptance of these memorandum(s) of understanding.

Prepared by: Maria Martinez *M.M.* Title: Procurement Manager  
Approved by: Kolvira Chheng *KCC* Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Meeting: September 14, 2017

Recommend Approval

*14.03*

*H. Bauer*

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Alum Rock Union Elementary School District  
September 14, 2017 Board Meeting**

**MEMORANDUMS OF UNDERSTANDING**

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<b><u>SCHOOL / DEPARTMENT</u></b>	<b><u>DATE OF SERVICE</u></b>	<b><u>CONSULTANT NAME</u></b>	<b><u>COST</u></b>	<b><u>PURPOSE</u></b>
Academic Services Rene Sanchez	09/15/17- 06/30/18	Planned Parenthood Mar Monte	\$20,000.00	Provide puberty education to 5 <sup>th</sup> and 7 <sup>th</sup> grade students Funding: General
Human Resources Carlos Moran	08/01/17 – 06/30/20	Brandman University	No cost	School Psychology intern agreement Funding: N/A
Human Resources Carlos Moran	09/15/17- 06/30/18	California State University, East Bay	2,000.00 Per Intern	Intern Teacher agreement Funding: General
Student Services Norma Flores	08/28/17 – 06/30/18	Santa Clara County Office of Education	\$38,500.00	Provide Positive Behavioral Interventions and Support (PBIS) year 3 training to 11 school sites Funding: General



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Academic Services (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Planned Parenthood Mar Monte  
 Address: 1746 The Alameda City: San Jose State: CA Zip: 95126  
 Phone: (408) 808-1804 Fax: 408, 998-0542  
 SSN: \_\_\_\_\_ Fed I.D. #: 94-1583439  
 Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 9/15/2017 to 6/30/2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Provide Comprehensive Sex Education + Puberty Education to 5<sup>th</sup> & 7<sup>th</sup> grade students.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Comprehensive Sex Education presentations for CA Healthy Youth Act Compliance (7<sup>th</sup> grade) + Puberty Ed to 5<sup>th</sup> (See Attachment A)

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$20,000.00 to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_\_ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>03</u>	<u>305</u>	<u>5210-0</u>	<u>5815</u>	<u>\$20,000.00</u>	<u>92</u>	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 9/5/17 AM 10:45 PURCH  
**Request for Contracted Services**

TO: BUSINESS OFFICE

Contract No. \_\_\_\_\_

Vendor No. \_\_\_\_\_

Human Resources (School/Dept.) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter into a:

☒ **MOU** (Negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**  
☐ Scope of Work/Agreement

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement form B-252 for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company Brandman University

Address 16355 Laguna Canyon Road City Irvine ST CA Zip 92618

Telephone 949-341-9818 Fax # 800-775-0128

SSN \_\_\_\_\_ Fed. I.D.# \_\_\_\_\_

**CONTRACT TERM:** effective dates 08/01/2017 to 06/30/2020

**CONTRACTOR'S OBLIGATION:**

Description of services to be provided. Please attach proposals and other documentation if necessary:

School Psychology Intern Agreement

"Intern" is defined according to the commission as a person who is enrolled in a commission-approved intership program and is serving with an Internship Credential issued upon the recommendation of the University.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

     a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not To Exceed \_\_\_\_\_ of services.

☒ b. **Other:** \$ \_\_\_\_\_ (describe rate agreement or other costs) NO COST

**BUDGET CODE:** NO COST

**APPROVALS:**

Alum Rock Union Elementary School District:

Site/Department Administrator [Signature] Date 9-5-17

Program/Budget Manager \_\_\_\_\_ Date \_\_\_\_\_

Asst. Supt. of Business Services \_\_\_\_\_ Date \_\_\_\_\_

Superintendent \_\_\_\_\_ Date \_\_\_\_\_

ARUESD Board Approval \_\_\_\_\_

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## Request for Contracted Services

TO: BUSINESS OFFICE

Contract No. \_\_\_\_\_

Vendor No. \_\_\_\_\_

Human Resources (School/Dept.) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter into a:

☒ **MOU** (Negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**  
☐ Scope of Work/Agreement

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement form B-252 for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company California State University, East Bay  
 Address 25800 Carlos Bee Blvd City Hayward ST CA Zip 94542  
 Telephone 510-885-3000 Fax # \_\_\_\_\_  
 SSN \_\_\_\_\_ Fed. I.D.# \_\_\_\_\_

**CONTRACT TERM:** effective dates 9/15/2017 to 6/30/2018

### CONTRACTOR'S OBLIGATION:

Description of services to be provided. Please attach proposals and other documentation if necessary:

Intern Teacher Agreement

"Intern" is defined according to the commission as a person who is enrolled in a commission-approved intership  
program and is serving with an Internship Credential issued upon the recommendation of the University.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

☒ a. **Fee Rate:** \$ 2,000 per intern Not To Exceed \$2,000 of services.

☐ b. **Other:** \$ \_\_\_\_\_ (describe rate agreement or other costs) \_\_\_\_\_

**BUDGET CODE:** 03-600-5010-0-5815

### APPROVALS:

Alum Rock Union Elementary School District:

Site/Department Administrator \_\_\_\_\_ Date 9-5-17

Program/Budget Manager \_\_\_\_\_ Date \_\_\_\_\_

Asst. Supt. of Business Services \_\_\_\_\_ Date \_\_\_\_\_

Superintendent \_\_\_\_\_ Date \_\_\_\_\_

ARUESD Board Approval \_\_\_\_\_





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

1290 Ridder Park Dr. MC221 (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)

☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**

☐ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (BUS-109) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Santa Clara County Office of Education (SCCOE)

Address: 1290 Ridder Park Dr. MC221 City: San Jose State: CA Zip: 95131

Phone: (408) 453-6708 Fax: (408) 453-3668

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** effective dates August 28, 2017 September 14, 2017 June 30, 2018

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals and other documentation if necessary.)

SCCOE will provide year 3 training to 11 school sites: Meyer, Arbuckle, Hubbard, Ryan, Aptitud, Cureton, Painter, Lyndale, McCollam, Chavez and Ocala.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

☒ a. **Fee Rate:** \$ 38,500.00 per 11 schools Not to Exceed \$ 38,500.00 of services.

☐ b. **Other:** \$ \_\_\_\_\_

Describe rate agreement or other costs: \_\_\_\_\_

**BUDGET CODE:** 03-340-1947-5815 2 9/20/17

### APPROVALS:

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:**

Site/Department Administrator: [Signature] Date: 7/19/17

Director of Fiscal Services: [Signature] Date: 8/28/17

Asst. Supt., of Business Services: [Signature] Date: 8/30/17

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_

Board of Trustees: \_\_\_\_\_ Date: \_\_\_\_\_



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127

14.04

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Subject: **CONTRACTS FOR PROFESSIONAL SERVICES – FIRMS/ORGANIZATIONS**

**Staff Analysis:**

The following contracts for professional services are being presented to the Board of Trustees for review and approval.

**Recommendation:**

Staff recommends approval of the following contracts for professional services on the attached sheets. Contract details are on file in the Purchasing Office.

Prepared by: Maria Martinez *M.M.* Title: Procurement Manager  
Approved by: Kolvira Chheng *KC* Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Meeting: September 14, 2017

Recommend Approval

*14.04*

Agenda Placement

*H. Bauer*

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Alum Rock Union Elementary School District  
September 14, 2017 Board Meeting**

**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

<b><u>DEPARTMENT</u></b>	<b><u>DATE OF SERVICE</u></b>	<b><u>CONSULTANT NAME</u></b>	<b><u>COST &amp; FUNDING</u></b>	<b><u>PURPOSE</u></b>
Renaissance I & II Doug Kleinhenz	08/30/17- 06/30/18	SEEDS Community Resolution Center	\$4,500.00	Restorative justice training for administrators, school staff and students Funding: Restricted
Chavez Julio Villalobos	09/14/17 – 06/30/18	Reading Partners	\$10,000.00	Tutoring for students reading below grade level Funding: Restricted
San Antonio Lyssa Perry	09/14/17 – 06/30/18	Reading Partners	\$10,000.00	Tutoring for students reading below grade level Funding: General
Academic Services Rene Sanchez	08/28/17 – 06/30/18	Curriculum Associates, LLC.	\$60,633.75	Provide iReady Diagnostic and Instruction online system along with professional development and onsite leadership Funding: General
Academic Services Rene Sanchez	09/15/17- 06/30/18	New Teacher Center	\$90,000.00	Coaching and training for administrators Funding: General
Academic Services Rene Sanchez	09/15/17 - 09/15/18	Panorama Education, Inc.	\$26,620.00	Administer District-wide family engagement surveys for family, staff, and students of ARUSD Funding: Restricted
Academic Services Rene Sanchez	09/15/17 – 06/30/18	WestEd	No Cost	Observe and measure the effectiveness of online, supplemental math products as they are integrated into seventh grade mathematics instruction Funding: N/A
Bonds Louie Moran	07/01/17 – 06/30/18	Earth Systems Consultants	\$5,000.00	Contract Adjustment: Increase amount due to standby time charges, non-conforming items/failed test requiring additional visits and testing Funding: Restricted

**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

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Fiscal Services Efrain Robles	09/01/17- 06/30/18	SyTech Solutions, Inc.	\$8,500.00 NTE	Household Income Data Sheet (HIDS) storage and document archiving system Funding: General
Special Education Jean Gallagher	08/28/17- 11/01/17	Accountable Healthcare Staffing, Inc.	\$24,675.00	Occupational therapy for students with special needs Funding: Sp Ed
Special Education Jean Gallagher	09/15/17 - 06/30/18	Center for Speech Language and Occupational Therapy	\$1,500.00	Provide independent evaluation per parent's request, in the area of occupational therapy Funding: Sp Ed
State & Federal Sandra Garcia	09/15/17 – 06/30/18	Grail Family Services	\$8,000.00	Kinder and 1 <sup>st</sup> grade student workshops to provide strategies that address bullying and conflict resolution at Dorsa E.S. Funding: Restricted
State & Federal Sandra Garcia	09/15/17 – 06/30/18	Grail Family Services	\$10,000.00	Parent education about the importance of reading to improve students' reading abilities at Dorsa and San Antonio schools Funding: Restricted
State & Federal Sandra Garcia	09/15/17 – 06/30/18	Grail Family Services	No Cost	Parent and staff training through activities that promote family engagement Funding: N/A
State & Federal Sandra Garcia	09/15/17 – 06/30/18	Playworks Education Energized	\$43,000.00 Per School	Master Contract: Youth development program building on creating a positive, inclusive and engaging recess and school climate Funding:
Student Services Norma Flores	09/15/17 – 06/30/18	Coast 2 Coast Coaching	\$32,400.00	STEM & Soccer co-ed program to engage Chaves E.S. students Funding: Restricted

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**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

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Student Services Norma Flores	10/02/17- 08/17/20	School Linked Services – County Behavioral Health	No Cost	Develop a School Linked Services (SLS) internship program at each elementary site that will address social-emotional well-being and academic support of students Funding: N/A
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**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
INDEPENDENT CONTRACTOR AGREEMENT**

09/01/17 11:50 PM

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Renaissance I & II (School/Dept.)

VENDOR NO. 22286

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: SEEDS Community Resolution Center

Address: 2530 San Pablo Ave., STE A

City: Berkeley ST CA Zip: 94702

Telephone: (510) 548-2377

Fax #: (510) 548-4051

SSN: \_\_\_\_\_

Fed I.D.#: \_\_\_\_\_

94-3054165

Contractor's License: \_\_\_\_\_

Type: \_\_\_\_\_

Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates August 1<sup>st</sup> 2017 to June 30, 2017<sup>8</sup>
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Restorative justice training for administrators, school staff, and students

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Strengthen social justice focus by implementing a restorative justice program that creates consistent practices and language regarding discipline & conflict

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

- a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

- b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

- ☒ c. **Other:** \$ 4,500.00 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	290	1190	5815	\$2,250		
06	295	1190	5815	\$2,250		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: CHAVEZ ELEMENTARY (School/Dept.)

VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Reading Partners

Address: 600B Valley Way City: Milpitas State: CA Zip: 95035

Phone: (408) 945-5720 Fax: ( )

SSN: \_\_\_\_\_ Fed I.D. #: 77-0568469

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates September 14, 2017 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Tutoring to students reading below grade level.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

In collaboration with school leadership and teaching staff, identify students based on school response to intervention

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☐ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$ 10,000 to be the total payment to the Contractor including travel and/or other expenses.

☐ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	060	1190	5815	10,000	Title I	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



08/25/17 11:24 AM

## ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: San Antonio Elementary (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Reading Partners  
 Address: 600 B Valley Way City: Milpitas State: CA Zip: 95035  
 Phone: (408) 945-5720 Fax: \_\_\_\_\_  
 SSN: \_\_\_\_\_ Fed I.D. #: 77-0568469  
 Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates September 14, 2017 to June 30, 2018  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:  
Tutoring to students reading below grade level.  
 B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:  
In collaboration with school leadership and teaching staff, identify students based on school Response to Intervention.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$ 10,000.00 to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_\_ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

## 5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>0003</u>	<u>240</u>	<u>2850</u>	<u>5815</u>	<u>\$10,000</u>	<u>Reading Partners</u>	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
INDEPENDENT CONTRACTOR AGREEMENT

05/03/17 11:13 AM

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Academic Services (School/Dept.)

VENDOR NO. 11466

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Curriculum Associates, LLC

Address: P.O. Box 2001

City: N. Billerica ST: MA Zip: 01862-0901

Telephone: (800) 225-0248

Fax #: (800) 366-1158

SSN: \_\_\_\_\_

Fed I.D.#: 26-3954988

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 8/28/16 to 6/30/18
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. One line description for Governing Board Report:

On Site Professional Development

B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

iReady Diagnostic and Instruction Professional Development  
On Site Leadership, Advanced User and Tailored Support Sessions

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

\_\_\_\_\_ b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

X c. **Other:** \$ 60,633.75 (describe rate agreement) All iReady purchases require professional development.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>03</u>	<u>305</u>	<u>5030/0</u>	<u>5815</u>	<u>60,633.75</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Academic Services (School/Dept.)

VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: New Teacher Center  
 Address: 110 Cooper St. Ste 500 City: San Jose State: CA Zip: 95060  
 Phone: (830) 600-2200 Fax: (831)  
 SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_  
 Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates September 15, 2017 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Coaching and Training for Administrators

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

New Teacher Center will provide coaching and training for the New First and Second year School site administrators.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$ 90,000.00 to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_\_ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	305	5020	5815	90,000.00	LEAP	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two Independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.





09/07/17 AM 10:11 PURCH

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT****INDEPENDENT CONTRACTOR AGREEMENT**

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Academic Services (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Parosama Education, Inc.  
 Address: 109 Kingston Street City: Boston State: MA Zip: 02111  
 Phone: (617) 356-8123 5th Floor Fax: ( )  
 SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_  
 Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 9/15/17 to 9/15/18  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Administer District-wide surveys of family, staff and students of ARUESD.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Parosama Education will partner with ARUESD to administer district-wide surveys to TK-8th grade students, parents, and staff. Study will include social-emotional learning measures.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

☒ c. **Other:** \$ 26,620 (describe rate agreement) 2 payments 9/30/17 and 9/30/18

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>016</u>	<u>360</u>	<u>1190</u>	<u>985</u>	<u>13,310</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

\_\_\_\_ (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)

☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**

☒ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (BUS-109) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: WestEd

Address: 300 Lakeside Drive, 25th Floor City: Oakland State: CA Zip: 94612-3540

Phone: (510) 302-4253 Fax: (510) 302-4242

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** effective dates September 15, 2017 to July 30, 2018

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals and other documentation if necessary.)

Observe and measure the effectiveness of online, supplemental math products as they are integrated into seventh grade mathematics instruction.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

     a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.

☒ b. **Other:** \$ No Charge to the District

Describe rate agreement or other costs: \_\_\_\_\_

**BUDGET CODE:** \_\_\_\_\_

### APPROVALS:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] Date: 9-8-17

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: [Signature] Date: 9/8/17

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_

Board of Trustees: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## PURCHASE ORDER / CONTRACT ADJUSTMENT

P.O. No. C1617436 Contract No. C1718150 Contract Original Amount: \$ 7,950.00  
 Vendor Name: Earth Systems Consultants Vendor No. 17786

Please check:

☐ Cancel P.O. / Contract  
☐ Change Item No.: \_\_\_\_\_  
☐ Increase Amount Line Item No.: \_\_\_\_\_  
☐ Decrease Amount Line Item No.: \_\_\_\_\_  
☐ Add Line No.: \_\_\_\_\_  
☐ Delete Line No.: \_\_\_\_\_  
☐ Change org key/object code to: \_\_\_\_\_  
☐ Change Vendor No. to: \_\_\_\_\_  
☐ Add Freight: \$ \_\_\_\_\_  
☐ Add Tax: \_\_\_\_\_ %  
☐ Disencumber  
☐ Change Order No.: \_\_\_\_\_  
☐ Other \_\_\_\_\_

### CONTRACTS ONLY

Change Orders:

Contracts Original Amount \$ 7,950.00  
 Change Order #: 1 \$ 5,000.00  
 Change Order #: \_\_\_\_\_ \$ \_\_\_\_\_  
 Change Order #: \_\_\_\_\_ \$ \_\_\_\_\_

**New Contract Total** \$ 12,950.00  
 (including Change Orders)

Line #	FUND	LOCATION	PROGRAM	ACCOUNT	\$ AMOUNT	Accountant Signature & Date
	21	080	9611 0	6290	\$5,000	M. Newton 8/31/17

Reason for Adjustment: Increase to due to Standby Time Charges, Non-Conforming items/failed  
test requiring additional visits and testing, Rush Charges and  
Additional consulting services related to unforeseen problems

School/Dept.: <u>Bonds</u>	Requested by: <u>Louie Moran</u>
Approval: <u>Kal S</u> <u>8/31/17</u> (for Contracts Only)	Assistant Superintendent of Business Services
<u>Javi M</u> <u>8/31/17</u>	Program Manager
	Purchasing Manager
Date Requested: _____	Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

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2930 Gay Avenue, San José, CA 95127 • Phone: 408-928-6800 • Fax: 408-928-6416 • [www.arusd.org](http://www.arusd.org)

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To: Hilaria Bauer, Ph.D., Superintendent

From: Efrain Robles

Re: SyTech Solutions, Inc., Household Income Data Survey Sheets

Date: 08/25/2017

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***Background:***

Student Services is responsible for providing information on students that fall under the Unduplicated Pupil Student Count. This includes Low Income, Foster Youth, and English Learners. These numbers are used to calculate our funding for the Supplemental Concentration State funding.

***Summary:***

A Household Income Data Sheet (HIDS) survey is sent out every three years. The information on HIDS survey is used to determine the districts unduplicated pupil count percentage. Our Supplemental/Concentration funding is based on this percentage. At each annual audit, our auditors will select samples from our current data and will need to see and verify the corresponding HIDS. We have over 10,000 HIDS that are filed and currently stored in banker boxes, this can make it difficult to sort through and find the necessary documents.

***Recommendation or Next Steps:***

We would like to partner with SyTech Solutions to help enter, file and archive our forms electronically. SyTech will provide a platform that will allow us to do searches using several different methods including searching by student Id. It will store an electronic copy of the form that can be given to the auditors when requested. It has the capability to provide us various reports that can help us track the forms and know which students have not yet submitted one. We would like for the Board to approve a contract with Sytech Solutions Inc. for these services.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: FISCAL SERVICES (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: SYNCH SOLUTIONS INC.  
 Address: 9362 STUDIO CT. City: ELK GARDEN State: CA Zip: 95758  
 Phone: (916) 381-3010 x 224 Fax: (916) 381-3350  
 SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_  
 Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 9/1/17 to 6/30

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

DATA ENTRY & ARCHIVING OF HOUSEHOLD INCOME DATA SURVEY SHEETS

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

SYNCH WILL ENTER & ARCHIVE INCOME DATA SHEETS FOR RETRIEVAL.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☐ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☐ b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

☒ c. **Other:** \$ NTE \$8,500 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>03</u>	<u>430</u>	<u>5030 0</u>	<u>5815</u>	<u>\$ 8,500</u>		<u>IC 8/31/12</u>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Special Education (School/Dept.)

VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Accountable Healthcare Staffing, INC.

Address: 999 Yamato Road, suite 210 City: Boca Raton State: FL Zip: 33431

Phone: 861 300-7386 Fax: N/A

SSN: N/A Fed I.D. #: 45-2469689

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates August 28, 2017 to Nov 4, 2017

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Occupational Therapy

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Occupational therapy for students with special needs

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☒ a. **Fee Rate:** \$ 75 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of 47 hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☐ b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

☐ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>08</u>	<u>380</u>	<u>1801-H</u>	<u>5815</u>	<u>24,675</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Sp. Ed. Dept (School/Dept.) VENDOR NO. 21634

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Center for Speech Language and Occupational Therapy (CSLOT)

Address: 393 Blossom Hill Rd #200 City: San Jose State: Ca Zip: 95123

Phone: ( ) Fax: ( )

SSN: Fed I.D. #: 77-0529440

Contractor's License: Type: Expiration:

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates Sept 15, 2017 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

OT evaluation

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Independent assessment was requested for OT  
Assessment not to exceed \$1,500.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$ to be the total payment to the Contractor including travel and/or other expenses.

c. **Other:** \$ (describe rate agreement)

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>02</u>	<u>380</u>	<u>1790-0</u>	<u>5815</u>	<u>\$1,500.-</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

09/02/17 11:23 AM PURCH

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: STATE & FEDERAL (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: GRAIL FAMILY SERVICES  
Address: 2003 E. San Antonio St. City: San Jose State: CA Zip: 95116  
Phone: (408) 347-7892 Fax: ( )  
SSN: \_\_\_\_\_ Fed I.D. #: 77-0397354  
Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates September 15, 2017 to June 30, 2018
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:
- A. One line description for Governing Board Report: Workshops for K and 1st grade students to provide strategies that address bullying and conflict resolution.
- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:  
100 "K" and 1st grade students at Dorsa Elementary will receive a series of workshop that are designed to promote self-esteem and strengthen literacy skills while providing them with conflict resolution strategies.
4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)
- \_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.
- \_\_\_\_\_ b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.
- ☒ c. **Other:** \$ 8,000.00 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	150	1190-0	5815	8,000.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

05/01/17 05:27:13 PM  
FB

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_

FROM: STATE & FEDERAL (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: GRAIL FAMILY SERVICES

Address: 2003 E. San Antonio St City: San Jose State: CA Zip: 95116

Phone: (408) 347-7892 Fax: ( )

SSN: \_\_\_\_\_ Fed I.D. #: 77-0397354

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates September 15, 2017 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. One line description for Governing Board Report: Parent Education about the importance of reading to improve students' reading abilities.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

The purpose is to educate parents and caregivers about the importance of reading aloud and sharing stories in the home; to increase lines of communication between parents and teachers to ultimately improve children's reading abilities at Dorsa & San Antonio.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

     b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

  X   c. **Other:** \$10,000.00 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	360	1190-0	5815	10,000.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: STATE & FEDERAL (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: GRAIL FAMILY SERVICES  
Address: 2003 E. San Antonio St. City: San Jose State: CA Zip: 95116  
Phone: (408) 347-7892 Fax: ( )  
SSN: \_\_\_\_\_ Fed I.D. #: 77-0397354  
Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates September 15, 2017 to June 30, 2018
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:
- A. One line description for Governing Board Report:  
This Project is intended to build capacity for family engagement.
- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:  
Parents and staff at Dorsa Elementary School will receive training and support through activities that promote family engagement.
4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)
- \_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.
- \_\_\_\_ b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.
- \_\_\_\_ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) "NO COST"
5. **BUDGET CODE:**
- | FUND | DEPARTMENT | PROG/COUNTER | OBJECT | \$ AMOUNT | PROG. TITLE | BUS OFC |
|------|------------|--------------|--------|-----------|-------------|---------|
|      |            | "NO COST"    |        |           |             |         |
|      |            |              |        |           |             |         |
|      |            |              |        |           |             |         |
6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: State & Federal (School/Dept.) VENDOR NO. 18026

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: PLAYWORKS EDUCATION ENERGIZED

Address: 2155 S. Bascom Avenue Ste# 201 City: Campbell State: CA Zip: 95008

Phone: (408) 412-8340 Fax: ( )

SSN: \_\_\_\_\_ Fed I.D. #: 94-325-7867

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates September 15, 2017 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report: Full day youth development programming building on creating positive, inclusive and engaging recess and school climate.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Playworks will provide students in ARUSD with a high quality multi-faceted sports program to include organized recess activities, leadership, skill development and meaningful play.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

     b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

  X   c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) Not to exceed \$43,000.00 per school.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
			"MASTER CONTRACT"			

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Student Services (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Coast 2 Coast Coaching  
Address: 27324 Camino Capistrano #203 City: Laguna Niguel State: CA Zip: 92677  
Phone: (760) 687-3763 Fax: (760) 452-7509  
SSN: \_\_\_\_\_ Fed I.D. #: 26-4615732

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 9/15/17 to 6/30/18

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Co-ed, engaging STEU & Soccer Program for Chavez Elementary

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Soccer program, 5 days per week, 2 coaches teaching three 20 mins sessions each day. Pilot 30 week program

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☐ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$32,400 to be the total payment to the Contractor including travel and/or other expenses.

☐ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>06</u>	<u>060</u>	<u>11900</u>	<u>5815</u>			
<u>06</u>	<u>060</u>	<u>11900</u>	<u>5815</u>			

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





09/07/17 PM 3:47 PURCH

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT****Request for Contracted Services**To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

Student Services (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**  
☐ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (BUS-109) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: School Linked Services- County Behavioral Health

Address: 725 E. Santa Clara Sr. 3rd floor City: San Jose State: CA Zip: 95112

Phone: ( ) Fax: ( )

SSN: Fed I.D. #:

**CONTRACT TERM:** effective dates 10/2/2017 to 08/17/2020

**CONTRACTOR'S OBLIGATION:**

Description of services to be provided: (Please attach proposals and other documentation if necessary.)

3 year funding through Behavioral Health- County of Santa Clara; Goal is to develop a School Linked Services dual internship program at each elementary school is ARUESD to address social-emotional wellbeing and academic support of students.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.

☒ b. **Other:** \$ No cost to the District

Describe rate agreement or other costs: \_\_\_\_\_

**BUDGET CODE:** \_\_\_\_\_

**APPROVALS:**

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:**

Site/Department Administrator: Newman Date: 9/7/17

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_

Board of Trustees: \_\_\_\_\_ Date: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**


To the Board of Trustees:

Date: August 27, 2017

**Subject:**                    **Approval of Fundraising Activities**

**Staff Analysis:**        The District has received fundraising requests as summarized on the attached sheet dated September 14, 2017.

**Recommendation:** Staff recommends approval of these fundraising event requests.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting:        September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.05  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**APPROVAL OF FUNDRAISING ACTIVITY**

---

<b><u>SCHOOL</u></b>	<b><u>FUNDRAISING ACTIVITY</u></b>	<b><u>ACTIVITY SPONSOR</u></b>	<b><u>DATE</u></b>
Meyer Elementary	Book Fair Sales	PTA	09/15/17-09/15/17
Meyer Elementary	Contributions to the ASB Account	ASB	08/28/17-06/14/18
Painter Elementary	Snack Cart Sales	ASB	09/01/17-06/14/18
Painter Elementary	Smencils' Sales	ASB	09/01/17-06/14/18
Painter Elementary	Walk-a-Thon Sales	ASB	03/29/18-03/29/18
Painter Elementary	Scholastic Book Fair Sales	ASB	04/23/18-04/27/18
Painter Elementary	Contributions to the ASB Account	ASB	09/01/17-06/14/18
San Antonio Elementary	Movie Night Sales	PTA	09/22/17-04/27/18
San Antonio Elementary	Chocolate Sales	PTA	09/15/17-02/15/18
San Antonio Elementary	Winter Festival Sales	PTA	12/20/17-12/20/17
San Antonio Elementary	Basket Auction Sales	PTA	10/16/17-10/16/17

14.06

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 27, 2017

**Subject:**                    **Acceptance of Donations**

**Staff Analysis:**        The District has received donations as summarized on the sheet dated September 14, 2017.

**Recommendation:** Staff recommends approval for acceptance of these donations.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting:        September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.06  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**ACCEPTANCE OF DONATIONS**

<b><u>DONOR NAME</u></b>	<b><u>DESCRIPTION OR PURPOSE</u></b>	<b><u>ESTIMATED VALUE</u></b>	<b><u>RECEIVING SCHOOL OR DEPARTMENT</u></b>
Lifetouch	Materials & Supplies	\$ 458.02	Chavez Elementary
The Kula Foundation	Materials & Supplies	\$ 3.42	Chavez Elementary
Lifetouch	Materials & Supplies	\$ 495.46	Dorsa Elementary
YourCause, LLC Trustee for PG&E	Materials & Supplies	\$ 300.00	Dorsa Elementary
The Kula Foundation	Materials & Supplies	\$ 17.28	Dorsa Elementary
The Kula Foundation	Materials & Supplies	\$ 7.17	Fischer Middle
Costco	Materials & Supplies	\$ 30.00	Fischer Middle
The Kula Foundation	Materials & Supplies	\$ 12.22	Linda Vista Elementary
The Tech Museum of Innovation	Materials & Supplies	\$ 50.00	Linda Vista Elementary
Environmental Volunteers	Materials & Supplies	\$ 1,000.00	Linda Vista Elementary
The Kula Foundation	Materials & Supplies	\$ 2.69	Painter Elementary
NVIDIA	Materials & Supplies	\$ 460.00	Painter Elementary
Environmental Volunteers	Materials & Supplies	\$ 500.00	Painter Elementary

14.07

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:


September 1, 2017

**Subject:** Vendor & Payroll Warrants-JULY

**Staff Analysis:** Summary of Vendor and Payroll Warrants issued during July month of Fiscal Year 2017/2018.

Total of vendor warrants	\$	11,369,325.05
Total of payroll warrants	\$	<u>1,279,657.49</u>
<b>Total</b>	<b>\$</b>	<b>12,648,982.54</b>

**Recommendation:** Staff recommends approval of the Vendor & Payroll Warrants for the month of July 2017.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.07  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



July 2017

<u>VENDOR WARRANTS</u>	<u>AMOUNT</u>
General Fund (Incl Payroll A/P)	\$4,937,218.54
Cafeteria Fund	\$85,588.45
Deferred Maintenance Fund	\$0.00
Building Fund	\$5,041,404.77
Capital Facilities Fund	\$0.00
County School Facility Fund	\$0.00
Special Reserve for Capital Outlay Fund	\$0.00
Health and Welfare	\$1,228,535.31
Worker's Comp	\$548.17
<b>Subtotal</b>	<b><u>\$11,293,295.24</u></b>
Student Body Fund	\$76,029.81
<b>Total Vendor Warrants</b>	<b><u>\$11,369,325.05</u></b>
<u>PAYROLL WARRANTS</u>	
10th of the month	\$349,767.38
EOM Payroll	\$929,956.41
Manual Checks	\$15,752.01
Void Checks	(\$15,818.31)
<b>Total Payroll Warrants</b>	<b><u>\$1,279,657.49</u></b>
 <b>Grand Total All Warrants</b>	 <b><u>\$12,648,982.54</u></b>

AP Warrants used # 135975 - 136411  
AP Direct Deposit Stub Used #1008962 - 1009554  
Payroll Direct Deposit Pay Stub used # 60267796 - 60268455  
Payroll Checks used #10117538 - 10117671

14.08

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

September 1, 2017

**Subject:** Vendor & Payroll Warrants-AUGUST

**Staff Analysis:** Summary of Vendor and Payroll Warrants issued during August month of Fiscal Year 2017/2018.

Total of vendor warrants	\$	7,864,624.31
Total of payroll warrants	\$	2,140,641.78
<b>Total</b>	<b>\$</b>	<b>10,005,266.09</b>

**Recommendation:** Staff recommends approval of the Vendor & Payroll Warrants for the month of August 2017.

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.08  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

August 2017

<u>VENDOR WARRANTS</u>	<u>AMOUNT</u>
General Fund (Incl Payroll A/P)	\$5,681,851.66
Cafeteria Fund	\$16,684.30
Deferred Maintenance Fund	\$0.00
Building Fund	\$683,085.64
Capital Facilities Fund	\$0.00
County School Facility Fund	\$0.00
Special Reserve for Capital Outlay Fund	\$0.00
Health and Welfare	\$1,456,478.72
Worker's Comp	\$336.54
<b>Subtotal</b>	<b><u>\$7,838,436.86</u></b>
Student Body Fund	\$26,187.45
<b>Total Vendor Warrants</b>	<b><u>\$7,864,624.31</u></b>
<u>PAYROLL WARRANTS</u>	
10th of the month	\$532,027.01
EOM Payroll	\$1,507,869.42
Special Run - STRS Refund	\$61,462.51
Manual Checks	\$45,139.33
Void Checks	(\$5,856.49)
<b>Total Payroll Warrants</b>	<b><u>\$2,140,641.78</u></b>
<b>Grand Total All Warrants</b>	<b><u>\$10,005,266.09</u></b>

AP Warrants used # 136412 - 136765  
AP Direct Deposit Stub Used #1009555 - 1010000  
Payroll Direct Deposit Pay Stub used # 60268456 - 60269640  
Payroll Checks used #10117672 - 10117867

14.09

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**


To the Board of Trustees:

Date: August 27, 2017

**Subject:** Santa Clara County Treasury Investment Portfolio Status

**Staff Analysis:** In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with county-policy, the Santa Clara County Treasury Investment Portfolio Report as of June 30, 2017 is submitted for the Board of Trustee's review and acceptance.

**Recommendation:** Staff recommends that the Board of Trustees approve the SCC Investment Portfolio Report as of June 30, 2017.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.09  
Agenda Placement

  
Hilaria Bauer Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

# County of Santa Clara



## Finance Agency Controller-Treasurer Department

County Government Center  
70 W. Hedding Street, East Wing, 2nd Floor  
San Jose, California 95110-1705  
(408) 299-5200 FAX (408) 288-9237

August 15, 2017

Submitted by:

A handwritten signature in black ink, appearing to read "Alan Minato".

Alan Minato, Controller-Treasurer

TO: BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS  
BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM: EMILY HARRISON, DIRECTOR OF FINANCE A handwritten signature in black ink, appearing to read "Emily Harrison".

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

### **RECOMMENDATION**

Receive and file the June 30, 2017 Detailed Investment Portfolio Listing.

### **DISCUSSION**

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of June 30, 2017 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

	Cost	Market Value	Increase (Decrease)	Percent
Commingled Investments	\$6,730,196,172	\$6,714,607,595	(\$15,588,577)	(0.23%)

The yield of the pool on June 30, 2017 was 1.25%. As a comparison, on June 30, 2017 the yield of a 6-month Treasury Bill was 1.13%. A two-year Treasury Note was 1.38%. The State of California Local Agency Investment Fund (LAIF) yield was 0.98%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

**Attachments:**

June 2017 Quarterly Investment Summary





# Quarterly Investment Report

June 30, 2017



## Quarterly Investment Review Table of Contents

### Quarterly Investment Report Table of Contents

Summary of Cost Values versus Market Values and Yields	1
Portfolio Strategy, Compliance, Review and Monitoring	2
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Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Ken Yeager, S. Joseph Simitian

County Executive: Jeffrey V. Smith



## Santa Clara County Commingled Pool and Segregated Investments

June 30, 2017

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	\$6,730,196,172	\$6,714,607,595	-\$15,588,577	-0.23%
Worker's Compensation	\$27,883,395	\$27,941,817	\$58,422	0.21%
Park Charter Fund	\$4,107,787	\$4,108,947	\$1,159	0.03%
San Jose-Evergreen	\$20,095,477	\$20,142,574	\$47,097	0.23%
Medical Malpractice Insurance Fund (1)	\$9,098,495	\$9,081,592	-\$16,903	-0.19%
<b>Total</b>	<b>\$6,791,381,326</b>	<b>\$6,775,882,524</b>	<b>-\$15,498,802</b>	<b>-0.23%</b>

(1) Managed by Chandler Asset Management, Inc.

### Summary of Yields\* for Select Santa Clara County Investment Funds

Fund	2017		2016
	Apr 30	May 31	Jun 30
Commingled Investment Pool	0.99%	1.18%	1.25%
Worker's Compensation	1.43%	1.40%	1.42%
<b>Weighted Yield</b>	<b>1.13%</b>	<b>1.18%</b>	<b>1.25%</b>

\*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

\*\*Cost Value is the amortized book value of the securities as of the date of this report.



## Santa Clara County Commingled Pool and Segregated Investments

### Portfolio Strategy

June 30, 2017

During the June 14, 2017 meeting of the Federal Reserve Bank (Fed), policy makers decided to increase interest rates for the third time in six months. The Fed had kept rates at near zero from the end of 2008 through most of 2015. Policy makers began lifting the bank's benchmark lending rate in equal increments of 25 basis points (a quarter of one percent) in December 2015. The Fed hiked rates again in December 2016 and most recently in March 2017. This specific rate applies to overnight loans charged among large banks. It is widely expected that the new rate will fluctuate in a range between 1 percent and 1.25 percent.

Policy makers have reiterated their resolve to further increase interest rates in 2017 continuing into 2018 and 2019 while acknowledging that inflation is occurring at a pace much slower than anticipated. The Fed has hiked rates with the express intent of constraining inflation growth, but lately, inflation growth has been decelerating. The personal consumption expenditures price index (PCE deflator), the inflation measure, favored by policy makers grew by only 1.4 percent over the past twelve months ending in June and has dropped for four consecutive months since February. The consumer price index (CPI), after excluding volatile categories such as food and energy prices, rose 1.7 percent over the past twelve months ending in June, a reduction compared to the 2.2 percent rise measured in March. Policy makers who gauge growth in excess of 2 percent as inflationary feel it is too early for concern over recent inflationary weakness. Policy makers cite non-recurring, one-time events as factors causing recent inflation trends and contend that an ever-tightening job market eventually will boost wages further and cause inflation to accelerate.

Although June's unemployment rate of 4.4 percent barely changed from the prior month, the overall trend in employment growth remains more than robust enough to keep the unemployment rate trending lower. Non-farm payrolls increased by 222.0 thousand jobs during the month. Many economists along with Federal Reserve policy makers comfortably conclude the labor market is at or near full employment. This means that most workers who are seeking a job can find one and businesses are left struggling to find qualified workers in an increasingly tighter market.

Historically, during this stage of the cycle, wage growth quickly accelerates. So far, this has not occurred. Average hourly earnings for private-sector workers over 12 months ending in June, 2017 rose only by 2.5 percent (63 cents), the same annual growth rate in late 2015. This was unchanged from May's reading, a month earlier. Economists suspect that the global labor market, a source for alternative cheaper labor may be constraining U.S. wages. A more persuasive explanation is that corporations are reluctant to raise pay, in part to protect their profit margins particularly, in the absence of rebounding revenues. Job openings are currently at an all-time high because some employers have delayed hiring to avoid paying elevated levels for the talent matching their requirements. It is also important to keep in mind the statistical impact of recent trends in job growth which has tilted toward lower wage fields including work at restaurants and retail stores. Although, minimum-wage increases in many states have boosted pay in those low paying sectors, employment growth in those fields reduces the average wage gains as measured across all jobs.

The portfolio strategy continues to focus on the:

- (1) acquisition of high quality issuers;
- (2) identifying and selecting bonds with attractive valuations;
- (3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
- (4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk adjusted yields.

Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curbing rules crafted after the 2008 financial crisis. These risk curbing rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. With more efficient software, the Treasury Division is addressing this issue by having increased its capability to review a larger volume of inventory listings to find attractive bonds. Portfolio structuring does not rely on interest rate anticipation strategies. These are strategies which primarily speculate on the direction of interest rates as a means to earn favorable returns.



## **Santa Clara County Commingled Pool and Segregated Investments**

### **Portfolio Compliance, Review, and Monitoring**

**June 30, 2017**

#### **Yield and Weighted Average Maturity**

The yield of the Commingled Pool is 1.25 and the weighted average life is 528 days.

#### **Compliance**

The County Treasurer believes the Commingled Pool contains sufficient cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

#### **Review and Monitoring**

FTN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

#### **Additional Information**

Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations. The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.



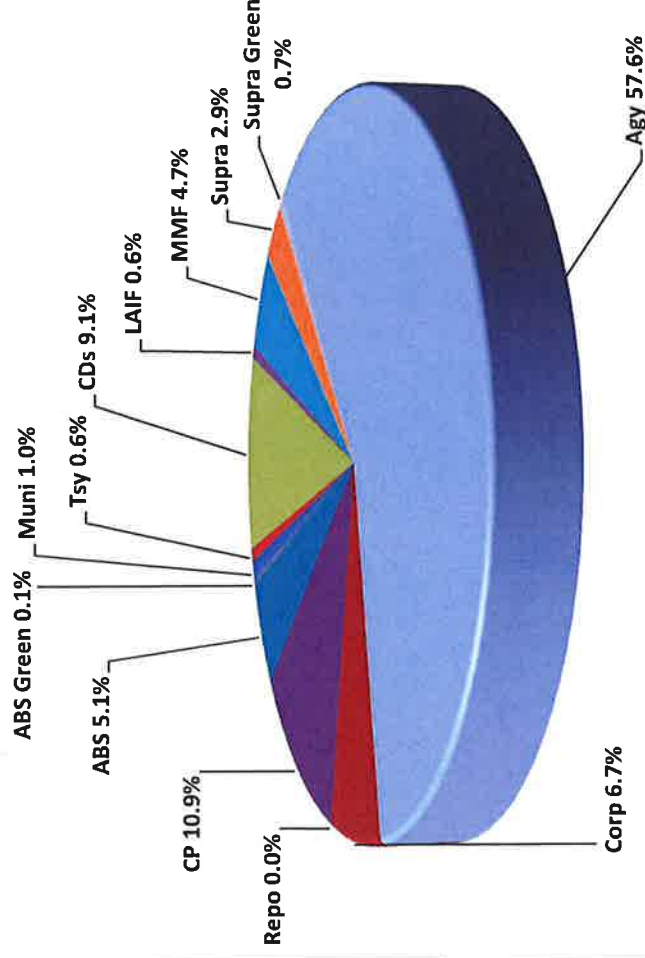
## Santa Clara County Commingled Pool

### Allocation by Security Types

June 30, 2017

Sector	6/30/2017	3/31/2017	% Chng
Federal Agencies	57.60%	55.16%	2.4%
Corporate Bonds	6.72%	7.16%	-0.4%
Repurchase Agreements	0.00%	0.00%	0.0%
Commercial Paper	10.90%	6.31%	4.6%
ABS	5.13%	4.47%	0.7%
ABS Green Bonds	0.06%	0.06%	0.0%
Municipal Securities	1.02%	1.05%	0.0%
U.S. Treasuries	0.60%	0.77%	-0.2%
Negotiable CDs	9.14%	6.18%	3.0%
LAIF	0.60%	0.62%	0.0%
Money Market Funds	4.71%	15.13%	-10.4%
Supranationals	2.86%	2.40%	0.5%
Supranationals Green Bonds	0.67%	0.69%	0.0%
<b>Total</b>	<b>100.00%</b>	<b>100.00%</b>	

Sector	6/30/2017	3/31/2017
Federal Agencies	3,876,524,363	3,613,374,765
Corporate Bonds	452,223,802	469,232,374
Repurchase Agreements	-	-
Commercial Paper	733,603,055	413,372,894
ABS	345,532,895	292,660,894
ABS Green Bonds	3,999,855	3,999,842
Municipal Securities	68,569,877	68,580,743
U.S. Treasuries	40,185,238	50,278,980
Negotiable CDs	615,001,313	405,000,000
LAIF	40,376,758	40,299,659
Money Market Funds	316,813,402	991,145,531
Supranational	192,365,614	157,442,925
Supranationals Green Bonds	45,000,000	45,000,000
<b>Total</b>	<b>6,730,196,172</b>	<b>6,550,388,607</b>



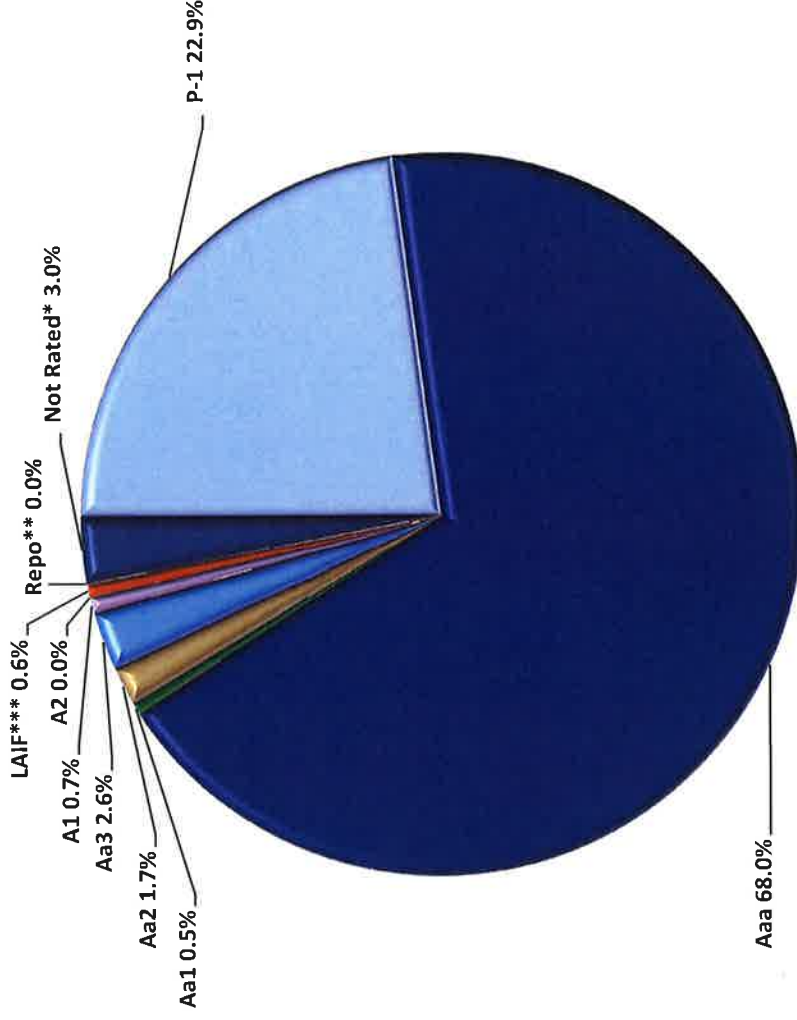
Amounts are based on book value



# Santa Clara County Commingled Pool

## Allocation by Ratings

June 30, 2017



Moody's Rating	Portfolio \$	Portfolio %
P-1	1,538,309,252	22.9%
Aaa	4,576,802,180	68.0%
Aa1	34,978,407	0.5%
Aa2	116,232,873	1.7%
Aa3	174,215,350	2.6%
A1	49,823,469	0.7%
A2	-	0.0%
A3	-	0.0%
LAIF***	40,376,758	0.6%
Repo**	-	0.0%
Not Rated*	199,457,883	3.0%
Total	6,730,196,172	100.0%

\*Not Rated by Moody's but A-1+ by S&P

\*\*Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

\*\*\* LAIF is not rated, but is comprised of State Code allowable securities

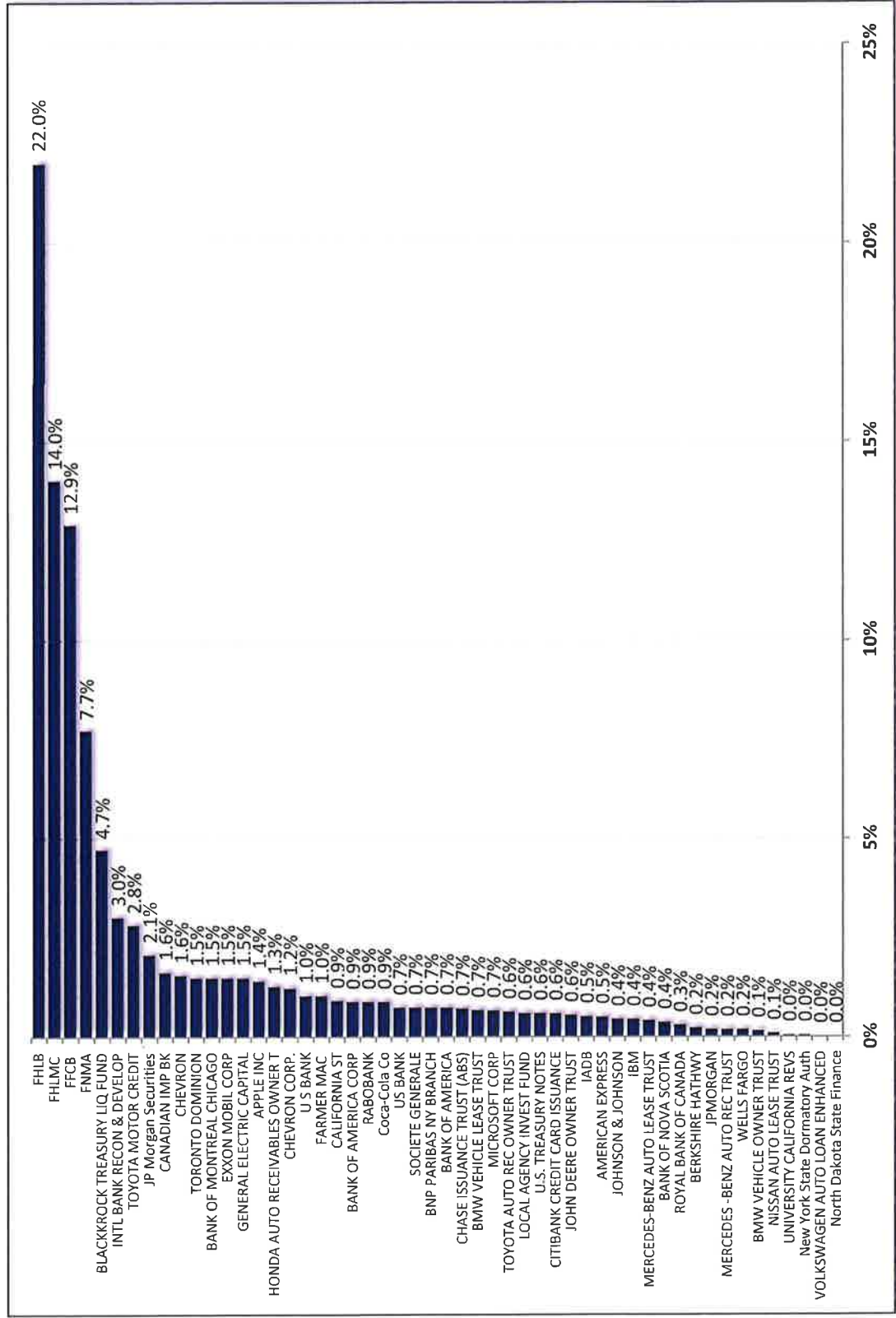
Amounts are based on book values



## Santa Clara County Commingled Pool

### Holdings by Issuer - Percent of Commingled Pool

June 30, 2017



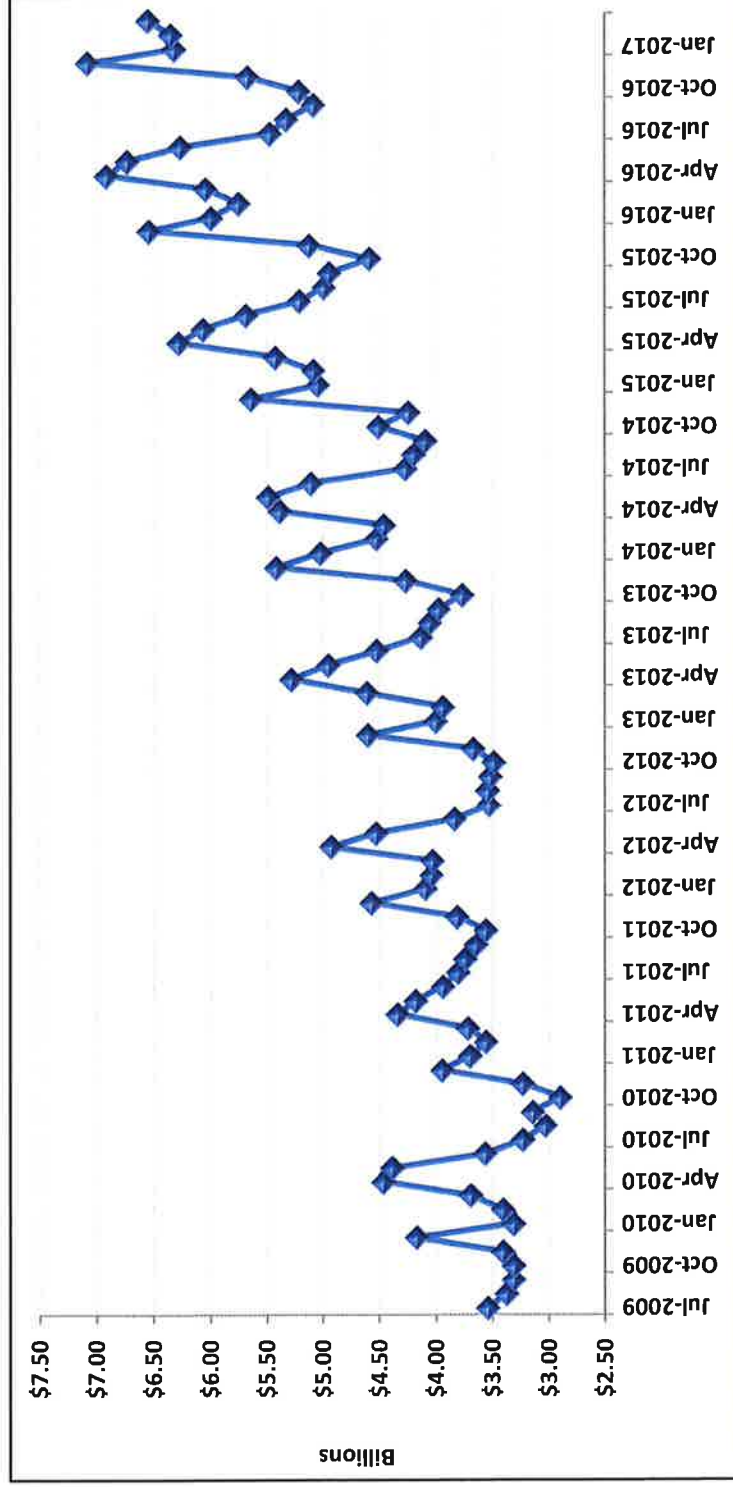
Amounts are based on book values



## Santa Clara County Commingled Pool

### Historical Month End Book Values

June 30, 2017



Fiscal Year	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	\$3.541	\$3.373	\$3.307	\$3.307	\$3.408	\$4.175	\$3.307	\$3.408	\$3.687	\$4.463	\$4.384	\$3.536
FY 2011	\$3.230	\$3.032	\$3.143	\$2.898	\$3.227	\$3.943	\$3.695	\$3.551	\$3.712	\$4.339	\$4.179	\$3.935
FY 2012	\$3.801	\$3.736	\$3.637	\$3.555	\$3.805	\$4.567	\$4.097	\$4.040	\$4.032	\$4.926	\$4.525	\$3.833
FY 2013	\$3.508	\$3.517	\$3.515	\$3.469	\$3.645	\$4.600	\$3.918	\$3.982	\$4.606	\$5.286	\$4.952	\$4.521
FY 2014	\$4.133	\$4.052	\$3.975	\$3.758	\$4.271	\$5.419	\$5.019	\$4.520	\$4.461	\$5.386	\$5.487	\$5.108
FY 2015	\$4.267	\$4.194	\$4.096	\$4.051	\$4.247	\$5.639	\$5.045	\$5.085	\$5.420	\$6.284	\$6.065	\$5.690
FY 2016	\$5.212	\$4.990	\$4.941	\$4.587	\$5.120	\$6.543	\$5.997	\$5.752	\$6.040	\$6.911	\$6.728	\$6.263
FY 2017	\$5.469	\$5.328	\$5.088	\$5.220	\$5.671	\$7.082	\$6.319	\$6.348	\$6.550	\$7.556	\$7.469	\$6.730

Amounts in billions

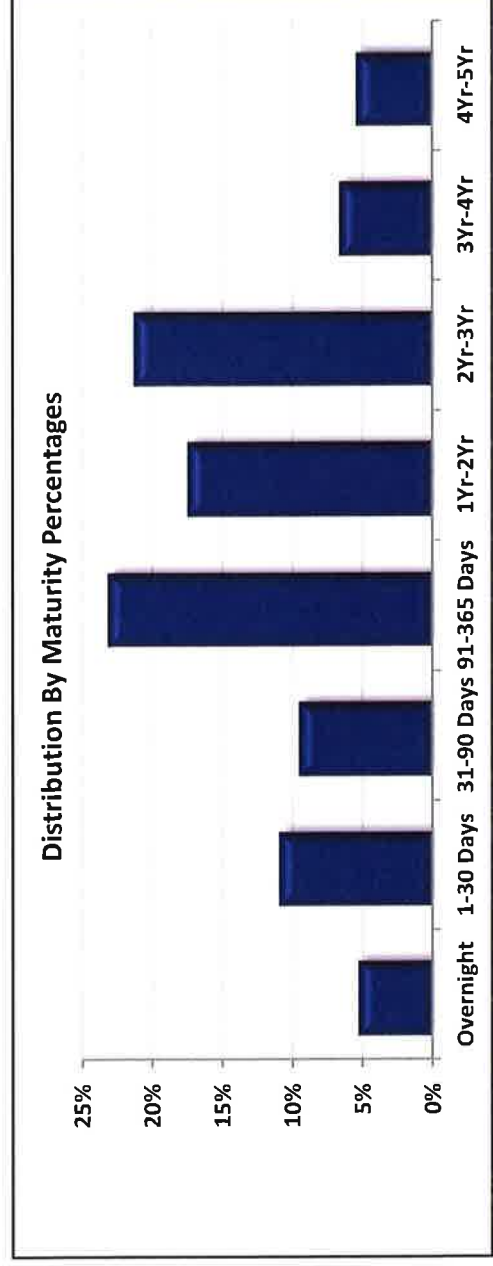
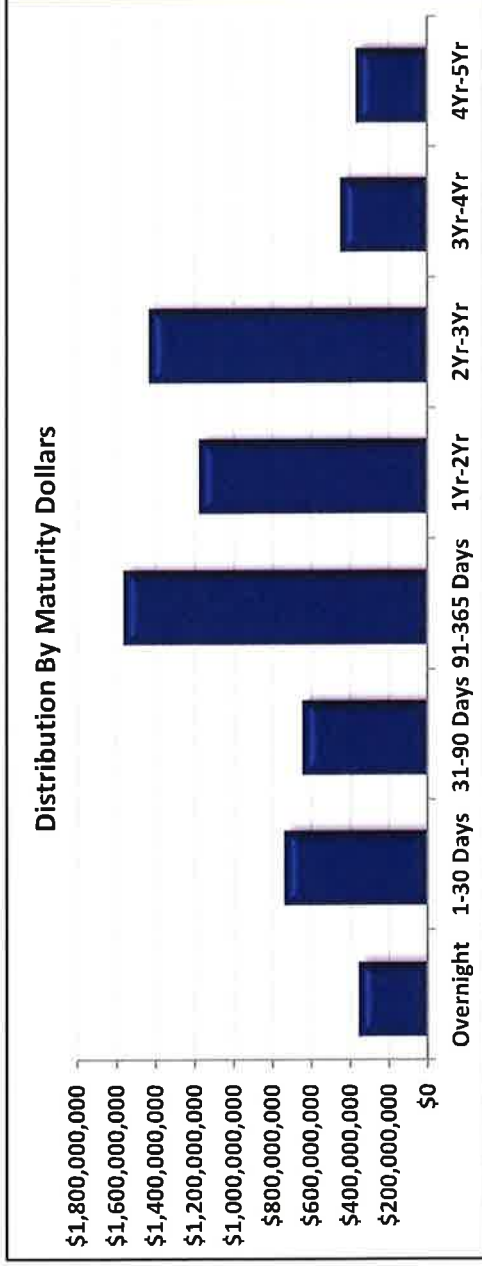


## Santa Clara County Commingled Pool

### Distribution by Maturity

June 30, 2017

Maturity	Amount*
Overnight	357,190,160
1-30 Days	737,276,764
31-90 Days	642,896,258
91-365 Days	1,564,455,132
1Yr-2Yr	1,176,985,566
2Yr-3Yr	1,432,947,422
3Yr-4Yr	449,254,162
4Yr-5Yr	369,190,710
	6,730,196,172



Maturity	Amount*
Overnight	5.31%
1-30 Days	10.95%
31-90 Days	9.55%
91-365 Days	23.25%
1Yr-2Yr	17.49%
2Yr-3Yr	21.29%
3Yr-4Yr	6.68%
4Yr-5Yr	5.49%
	100.00%

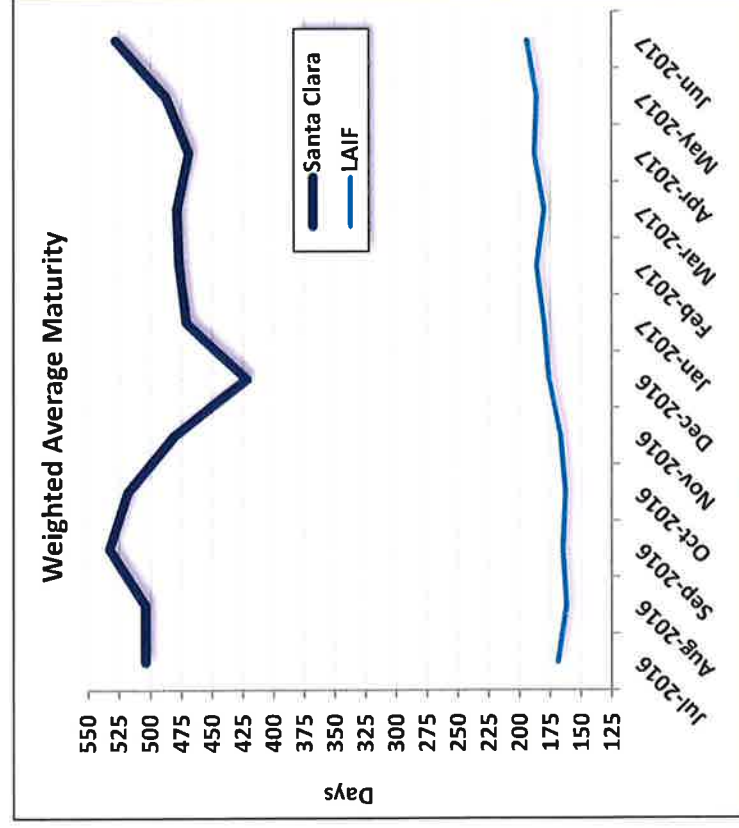
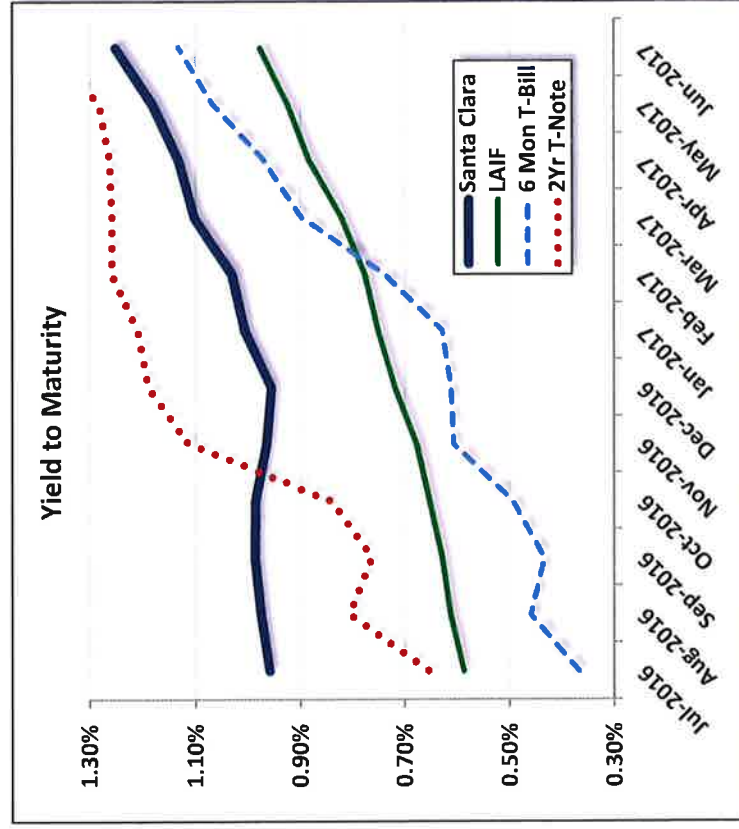
\*Amounts are based on book value



## Santa Clara County Commingled Pool

### Yield to Maturity and Weighted Average Maturity

June 30, 2017



Item	Jul-16	Aug-16	Sep-16	Oct-16	Nov-16	Dec-16	Jan-17	Feb-17	Mar-17	Apr-17	May-17	Jun-17
SCC YTM	0.96%	0.98%	0.99%	0.99%	0.97%	0.96%	1.01%	1.03%	1.10%	1.13%	1.18%	1.25%
LAIF YTM	0.59%	0.61%	0.63%	0.65%	0.68%	0.72%	0.75%	0.78%	0.82%	0.88%	0.93%	0.98%
6 Mon T-Bill	0.37%	0.46%	0.43%	0.50%	0.61%	0.61%	0.63%	0.74%	0.90%	0.97%	1.07%	1.13%
2Yr T-Note	0.66%	0.81%	0.76%	0.84%	1.12%	1.19%	1.21%	1.26%	1.26%	1.26%	1.28%	1.38%
SCC WAM	504	504	533	518	481	422	471	477	479	469	488	528
LAIF WAM	169	162	165	163	167	176	180	186	180	188	186	194

## Santa Clara County



### Approved Issuers and Broker/Dealers

June 30, 2017

#### Direct Commercial Paper Issuers

General Electric  
Toyota Motor Credit  
US Bank, NA

#### Broker/Dealers

Bank of America Merrill Lynch  
Barclays Capital, Inc  
BMO Capital Markets  
BNP Paribas Securities Corp  
BNY Mellon Capital Markets, LLC  
Brean Capital LLC  
Cantor Fitzgerald & Co  
Citigroup Global Markets Inc  
Daiwa Capital Markets America Inc  
Deutsche Bank Securities Inc  
FTN Financial, Inc  
Incapital LLC  
Jefferies & Co  
JP Morgan Securities, Inc  
Keybanc Capital Markets, Inc  
Loop Capital Markets LLC  
Mizuho Securities USA, Inc  
Piper Jaffray & Co  
Raymond James, Inc.  
RBC Capital Markets, Inc  
Stifel Nicolaus & Co  
Suntrust Robinson Humphrey Inc  
UBS Financial Service Inc  
Vining Sparks LP  
Williams Capital



# Santa Clara County Commingled Pool

## Compliance with Investment Policy

June 30, 2017



Item/Sector		Parameters	In Compliance
Maturity		Weighted Average Maturity (WAM) must be less than 24 months	Yes
Interest Periods		Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Yes
Investment Swaps		Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	Yes
Issuer Limits		No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	Yes
U.S. Treasuries		No sector limit, no issuer limit, max maturity 5 years	Yes
U.S. Federal Agencies		No sector limit, no issuer limit, max maturity 5 years	Yes
LAIF		No sector limit, no issuer limit, CA State's deposit limit \$65 million	Yes
Repurchase Agreements		No sector limit, no issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Yes
Commercial Paper		Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least \$500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Corporate Bonds		Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Yes
Money Market Funds		Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least \$500 mil managed	Yes
Negotiable Certificates of Deposit		Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Municipal Securities		Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Yes
Mortgage-Backed Securities		Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgage loans insured by FHLMC/FNMA and residential mortgages guaranteed by FHA (GNMA)	Yes, None in Portfolio
Asset-Backed Securities		Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)	Yes
Supranational Debt Obligations		Sector limit 10%, max maturity 5 years, issued or unconditionally guaranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Yes
Bankers' Acceptances		Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks	Yes, None in Portfolio
Securities Lending		Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days	Yes, None in Portfolio

**SANTA CLARA COUNTY INVESTMENTS**  
**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**June 30, 2017**

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Negotiable CDs</b>											
06052TVX5	37375	BANK OF AMERICA	01/27/2017	50,000,000.00	50,000,000.00	50,000,300.00	1.170	1.170	1.186	07/07/2017	6
06052TXD7	37728	BANK OF AMERICA CORP	04/18/2017	60,000,000.00	60,000,000.00	60,006,120.00	1.370	1.370	1.389	01/19/2018	202
06427KHS9	37139	BANK OF MONTREAL CHICAGO	11/29/2016	50,000,000.00	50,000,000.00	49,998,200.00	1.260	1.260	1.277	08/31/2017	61
06427KS32	37701	BANK OF MONTREAL CHICAGO	02/22/2017	50,000,000.00	50,000,000.00	49,991,650.00	0.950	0.950	0.963	07/21/2017	20
05582WRH9	37616	BNP PARIBAS NY BRANCH	03/28/2017	50,000,000.00	50,000,000.00	50,001,250.00	1.450	1.450	1.470	01/16/2018	199
06417GQY7	37164	BANK OF NOVA SCOTIA	12/02/2016	25,000,000.00	25,000,000.00	25,025,300.00	1.522	1.419	1.438	12/01/2017	153
13606A5U8	37133	CANADIAN IMP BK	11/28/2016	60,000,000.00	60,000,000.00	60,005,760.00	1.240	1.240	1.257	08/25/2017	55
13606BFD3	37664	CANADIAN IMP BK	04/07/2017	50,000,000.00	50,000,000.00	49,999,300.00	1.380	1.361	1.380	01/19/2018	202
78009NV/R4	36156	ROYAL BANK OF CANADA	07/30/2015	20,000,000.00	20,000,000.00	19,992,434.80	1.693	1.669	1.693	07/30/2018	394
83369YPE0	37629	SOCIETE GENERALE	03/29/2017	50,000,000.00	50,000,000.00	49,998,550.00	1.160	1.160	1.176	07/31/2017	30
89113WNA0	37121	TORONTO DOMINION	11/21/2016	50,000,000.00	50,000,000.00	49,992,400.00	1.140	1.140	1.155	08/30/2017	60
89113WJ98	37732	TORONTO DOMINION	04/07/2017	50,001,312.65	50,000,000.00	50,007,600.00	1.370	1.365	1.383	01/08/2018	191
90333VTG5	37723	US BANK	04/17/2017	50,000,000.00	50,000,000.00	49,967,300.00	1.200	1.200	1.216	01/31/2018	214
<b>Subtotal and Average</b>				<b>615,001,312.65</b>	<b>615,000,000.00</b>	<b>614,986,164.80</b>		<b>1.265</b>	<b>1.283</b>		<b>124</b>
<b>Federal Agency Bonds</b>											
3132X0LA7	37079	FARMER MAC	11/02/2016	25,000,000.00	25,000,000.00	24,950,050.00	0.800	0.789	0.800	02/02/2018	216
3133EDQM7	35497	FFCB NOTES	12/10/2014	15,000,294.56	15,000,000.00	15,000,360.00	1.000	0.942	0.955	07/17/2017	16
3133EEFE5	35527	FFCB NOTES	12/18/2014	10,001,484.44	10,000,000.00	9,996,250.00	1.125	1.077	1.092	12/18/2017	170
3133EEFE5	35529	FFCB NOTES	12/18/2014	10,000,000.00	10,000,000.00	9,996,250.00	1.125	1.109	1.125	12/18/2017	170
3133EEQX1	35678	FFCB NOTES	02/26/2015	10,001,781.91	10,000,000.00	9,999,070.00	1.000	0.909	0.922	09/25/2017	86
3133EEQX1	35683	FFCB NOTES	02/27/2015	15,000,991.16	15,000,000.00	14,998,605.00	1.000	0.958	0.971	09/25/2017	86
3133EEQX1	35757	FFCB NOTES	03/24/2015	20,004,919.36	20,000,000.00	19,998,140.00	1.000	0.880	0.893	09/25/2017	86
3133EEWG1	35799	FFCB NOTES	04/01/2015	9,937,841.72	9,950,000.00	9,925,523.00	1.300	1.353	1.371	04/01/2019	639
3133EEQX1	35872	FFCB NOTES	04/16/2015	10,005,475.77	10,000,000.00	9,999,070.00	1.000	0.752	0.762	09/25/2017	86
3133ECBX1	35892	FFCB NOTES	04/21/2015	5,000,257.92	5,000,000.00	4,997,940.00	0.820	0.787	0.798	09/28/2017	89
3133EEE71	35940	FFCB NOTES	05/01/2015	5,000,000.00	5,000,000.00	4,985,930.00	0.920	0.907	0.920	05/01/2018	304
3133EEE71	35941	FFCB NOTES	05/01/2015	5,000,000.00	5,000,000.00	4,985,930.00	0.920	0.907	0.920	05/01/2018	304
3133EEJ50	35965	FFCB NOTES	05/11/2015	4,997,162.64	5,000,000.00	4,988,940.00	1.030	1.082	1.097	05/11/2018	314
3133EFPJ0	36370	FFCB NOTES	11/19/2015	14,999,170.00	15,000,000.00	14,972,070.00	1.290	1.276	1.294	11/19/2018	506
3133EFGS3	36440	FFCB NOTES	12/14/2015	20,000,000.00	20,000,000.00	19,981,440.00	1.100	1.085	1.100	03/14/2018	256

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Fund COMM - COMMINGLED POOL  
Investments by Fund  
June 30, 2017

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Federal Agency Bonds											
3133EFSG3	36441	FFCB NOTES	12/14/2015	15,000,000.00	15,000,000.00	14,986,080.00	1.100	1.085	1.100	03/14/2018	256
3133EFSH1	36442	FFCB NOTES	12/14/2015	14,997,141.67	15,000,000.00	14,983,230.00	1.170	1.174	1.190	06/14/2018	348
3133EFSH1	36443	FFCB NOTES	12/14/2015	19,996,112.67	20,000,000.00	19,977,640.00	1.170	1.174	1.190	06/14/2018	348
3133EFZN0	36584	FFCB NOTES	02/24/2016	14,989,963.06	15,000,000.00	14,916,360.00	1.030	1.057	1.072	02/12/2019	591
3133EF3B1	36683	FFCB NOTES	04/12/2016	34,981,182.92	35,000,000.00	34,861,190.00	0.750	0.800	0.811	04/18/2018	291
3133EF4Y0	36726	FFCB NOTES	04/27/2016	26,793,606.09	26,855,000.00	26,586,503.71	1.000	1.112	1.128	04/26/2019	664
3133EGAS4	36768	FFCB NOTES	05/18/2016	24,984,056.53	25,000,000.00	24,938,950.00	0.750	0.841	0.853	02/16/2018	230
3133EGWH4	37018	FFCB NOTES	09/30/2016	10,007,983.99	10,000,000.00	9,698,870.00	1.280	1.243	1.260	09/29/2021	1,551
3133EGYN9	37049	FFCB NOTES	10/17/2016	5,000,000.00	5,000,000.00	4,977,075.00	0.940	0.927	0.940	10/17/2018	473
3133EGJ48	37112	FFCB NOTES	11/17/2016	24,929,777.47	25,000,000.00	24,824,850.00	0.890	1.082	1.097	11/16/2018	503
3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000.00	10,000,000.00	10,047,000.00	2.010	1.982	2.010	12/08/2021	1,621
3133EGX67	37265	FFCB NOTES	12/20/2016	35,000,000.00	35,000,000.00	35,156,905.00	1.130	1.105	1.121	08/20/2019	780
3133EGD06	37305	FFCB NOTES	12/30/2016	25,021,436.17	25,000,000.00	24,972,750.00	1.550	1.490	1.511	09/27/2019	818
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	5,008,865.00	2.030	2.002	2.030	01/27/2022	1,671
3133EGSQ4	37399	FFCB NOTES	02/01/2017	20,000,000.00	20,000,000.00	19,953,380.00	1.300	1.282	1.300	02/01/2019	580
3133EG6C4	37404	FFCB NOTES	02/03/2017	14,998,187.78	15,000,000.00	14,978,835.00	1.550	1.533	1.554	02/03/2020	947
3133EG3X1	37466	FFCB NOTES	02/16/2017	14,984,985.59	15,000,000.00	14,963,070.00	1.250	1.297	1.315	01/17/2019	565
3133EHB40	37502	FFCB NOTES	03/02/2017	19,980,068.15	20,000,000.00	19,964,420.00	1.520	1.537	1.558	03/02/2020	975
3133EHCX9	37566	FFCB NOTES	03/20/2017	13,462,140.92	13,475,000.00	13,472,695.78	1.450	1.485	1.506	03/20/2019	627
3133EHCX9	37574	FFCB NOTES	03/20/2017	25,003,438.89	25,000,000.00	24,995,725.00	1.450	1.422	1.441	03/20/2019	627
3133EG3X1	37628	FFCB NOTES	03/30/2017	24,967,774.34	25,000,000.00	24,938,450.00	1.250	1.316	1.334	01/17/2019	565
3133EHEZ2	37639	FFCB NOTES	04/06/2017	15,000,000.00	15,000,000.00	14,987,130.00	1.600	1.578	1.600	04/06/2020	1,010
3133EHFL2	37665	FFCB NOTES	04/13/2017	14,994,669.92	15,000,000.00	14,964,480.00	1.550	1.541	1.563	04/13/2020	1,017
3133EHFL2	37666	FFCB NOTES	04/13/2017	9,997,123.89	10,000,000.00	9,976,320.00	1.550	1.539	1.560	04/13/2020	1,017
3133EHFL2	37667	FFCB NOTES	04/13/2017	9,997,123.89	10,000,000.00	9,976,320.00	1.550	1.539	1.560	04/13/2020	1,017
3133EHGA5	37725	FFCB NOTES	04/21/2017	25,007,608.33	25,000,000.00	24,948,275.00	1.440	1.406	1.426	10/21/2019	842
3133EHGE7	37746	FFCB NOTES	04/24/2017	26,503,160.69	26,502,000.00	26,438,342.20	1.200	1.180	1.196	10/24/2018	480
3133EHHG1	37761	FFCB NOTES	05/03/2017	5,000,000.00	5,000,000.00	4,985,540.00	1.750	1.726	1.750	05/03/2021	1,402
3133EHHG1	37762	FFCB NOTES	05/03/2017	10,000,000.00	10,000,000.00	9,971,080.00	1.750	1.726	1.750	05/03/2021	1,402
3133EHJ42	37793	FFCB NOTES	05/08/2017	34,989,349.63	35,000,000.00	34,901,230.00	1.550	1.539	1.561	05/08/2020	1,042
3133ECHK5	37841	FFCB NOTES	05/23/2017	12,009,628.00	12,000,000.00	11,969,220.00	1.570	1.522	1.543	07/23/2020	1,118
3133EHMR1	37901	FFCB NOTES	06/12/2017	5,000,000.00	5,000,000.00	4,997,275.00	1.375	1.356	1.375	06/12/2019	711

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# Fund COMM - COMMINGLED POOL

## Investments by Fund

June 30, 2017

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3133EHMR1	37905	FFCB NOTES	06/12/2017	5,000,000.00	5,000,000.00	4,997,275.00	1.375	1.356	1.375	06/12/2019	711
3130A3P40	35505	FHLB NOTES	12/11/2014	24,999,931.56	25,000,000.00	25,000,000.00	0.875	0.912	0.925	07/03/2017	2
3130A3RW6	35528	FHLB NOTES	12/18/2014	25,001,471.26	25,000,000.00	24,995,250.00	1.000	0.938	0.951	08/15/2017	45
3130A3SZ8	35531	FHLB NOTES	12/18/2014	16,999,388.00	17,000,000.00	16,995,767.00	0.950	0.966	0.980	08/15/2017	45
3130A4GJ5	35684	FHLB NOTES	02/27/2015	19,997,623.20	20,000,000.00	19,978,140.00	1.125	1.124	1.139	04/25/2018	298
3130A4GJ5	35685	FHLB NOTES	02/27/2015	11,998,573.92	12,000,000.00	11,986,884.00	1.125	1.124	1.139	04/25/2018	298
3130A4WA6	35803	FHLB NOTES	04/06/2015	25,000,000.00	25,000,000.00	24,941,000.00	1.000	0.986	1.000	07/06/2018	370
3130A4WB4	35815	FHLB NOTES	04/08/2015	20,000,000.00	20,000,000.00	19,966,480.00	0.875	0.863	0.875	01/08/2018	191
3130A4UP5	35816	FHLB NOTES	04/08/2015	25,014,646.86	25,000,000.00	24,958,350.00	1.050	0.976	0.990	06/29/2018	363
313383VN8	35826	FHLB NOTES	04/09/2015	9,592,878.22	9,460,000.00	9,562,470.72	2.000	1.321	1.340	09/13/2019	804
313383VN8	35827	FHLB NOTES	04/09/2015	25,502,335.95	25,155,000.00	25,427,478.96	2.000	1.332	1.351	09/13/2019	804
3130A4WA6	35855	FHLB NOTES	04/14/2015	11,998,793.80	12,000,000.00	11,971,680.00	1.000	0.996	1.010	07/06/2018	370
3130A4V71	35864	FHLB NOTES	04/15/2015	26,501,279.44	26,500,000.00	26,453,333.50	1.000	0.981	0.994	06/15/2018	349
3130A4YA4	35865	FHLB NOTES	04/15/2015	16,916,878.26	16,915,000.00	16,874,928.36	1.050	1.025	1.039	08/15/2018	410
313378QK0	35867	FHLB NOTES	04/15/2015	10,108,636.99	10,000,000.00	10,076,800.00	1.875	1.196	1.213	03/08/2019	615
313379DT3	35906	FHLB NOTES	04/23/2015	20,044,813.51	20,000,000.00	19,992,660.00	1.250	0.992	1.006	06/08/2018	342
313378A43	35922	FHLB NOTES	04/28/2015	10,029,875.46	10,000,000.00	10,010,010.00	1.375	0.921	0.934	03/09/2018	251
3130A57J2	35952	FHLB NOTES	05/06/2015	9,998,863.38	10,000,000.00	9,977,190.00	1.000	0.997	1.011	07/06/2018	370
3133XRFZ8	35956	FHLB NOTES	05/07/2015	5,164,860.04	5,000,000.00	5,159,660.00	4.750	1.138	1.154	06/08/2018	342
313379DT3	35957	FHLB NOTES	05/07/2015	5,006,324.44	5,000,000.00	4,998,165.00	1.250	1.096	1.112	06/08/2018	342
3130A57G8	35958	FHLB NOTES	05/07/2015	14,995,004.31	15,000,000.00	14,958,840.00	1.050	1.066	1.081	08/07/2018	402
313378A43	36053	FHLB NOTES	06/18/2015	5,008,001.22	5,000,000.00	5,005,005.00	1.375	1.122	1.138	03/09/2018	251
313378A43	36059	FHLB NOTES	06/19/2015	5,011,160.00	5,000,000.00	5,005,005.00	1.375	1.031	1.045	03/09/2018	251
3133XQSE3	36129	FHLB NOTES	07/16/2015	5,119,530.29	5,000,000.00	5,112,235.00	4.110	1.044	1.058	04/18/2018	291
3130A62S5	36143	FHLB NOTES	07/24/2015	9,998,306.63	10,000,000.00	9,994,510.00	0.750	0.846	0.858	08/28/2017	58
3130A62S5	36144	FHLB NOTES	07/24/2015	14,997,459.95	15,000,000.00	14,991,765.00	0.750	0.846	0.858	08/28/2017	58
3130A6AE7	36228	FHLB NOTES	09/16/2015	4,995,621.80	5,000,000.00	4,984,600.00	1.125	1.182	1.199	09/14/2018	440
3130A6LZ8	36267	FHLB NOTES	10/09/2015	10,996,275.37	11,000,000.00	10,983,423.00	0.625	0.928	0.941	10/26/2017	117
3130A5Z77	36288	FHLB NOTES	10/21/2015	5,050,272.76	5,000,000.00	5,023,880.00	1.830	1.461	1.482	07/29/2020	1,124
3130A5Z77	36289	FHLB NOTES	10/21/2015	5,057,205.82	5,000,000.00	5,023,880.00	1.830	1.418	1.438	07/29/2020	1,124
3130A5Z77	36298	FHLB NOTES	10/23/2015	5,055,948.83	5,000,000.00	5,023,880.00	1.830	1.432	1.452	07/29/2020	1,124
3130A5Z77	36308	FHLB NOTES	10/26/2015	10,091,848.22	10,000,000.00	10,047,760.00	1.830	1.498	1.519	07/29/2020	1,124

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**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3130A5Z77	36310	FHLB NOTES	10/26/2015	5,047,153.06	5,000,000.00	5,023,880.00	1.830	1.490	1.511	07/29/2020	1,124
3130A6SW8	36376	FHLB NOTES	11/20/2015	20,000,000.00	20,000,000.00	19,982,340.00	1.000	0.986	1.000	12/19/2017	171
3130A6SW8	36377	FHLB NOTES	11/20/2015	20,000,000.00	20,000,000.00	19,982,340.00	1.000	0.986	1.000	12/19/2017	171
313379EE5	36402	FHLB NOTES	12/02/2015	17,258,366.69	17,200,000.00	17,258,462.80	1.625	1.243	1.261	06/14/2019	713
3130A4PA4	36407	FHLB NOTES	12/03/2015	10,000,687.17	10,000,000.00	9,989,160.00	1.100	1.075	1.090	03/19/2018	261
313375K48	36508	FHLB NOTES	01/11/2016	20,190,825.75	20,000,000.00	20,148,320.00	2.000	1.175	1.191	09/14/2018	440
313379EE5	36550	FHLB NOTES	02/05/2016	15,145,484.37	15,000,000.00	15,050,985.00	1.625	1.102	1.117	06/14/2019	713
3130A7PU3	36679	FHLB NOTES	04/11/2016	10,014,214.29	10,000,000.00	9,887,330.00	1.200	1.131	1.147	04/06/2020	1,010
3133782M2	36707	FHLB NOTES	04/19/2016	20,194,254.84	20,015,000.00	20,039,578.42	1.500	0.946	0.960	03/08/2019	615
313378A43	36735	FHLB NOTES	04/29/2016	20,070,624.48	20,000,000.00	20,020,020.00	1.375	0.845	0.857	03/09/2018	251
313378J77	36756	FHLB NOTES	05/12/2016	15,298,251.27	15,000,000.00	15,111,765.00	1.875	1.105	1.120	03/13/2020	986
313378J77	36760	FHLB NOTES	05/13/2016	6,114,653.74	6,000,000.00	6,044,706.00	1.875	1.133	1.149	03/13/2020	986
3130A8BD4	36796	FHLB NOTES	05/27/2016	29,965,580.59	30,000,000.00	29,874,600.00	0.875	1.220	1.237	06/29/2018	363
3130A8KR3	36854	FHLB NOTES	06/29/2016	25,270,663.67	25,250,000.00	25,137,435.50	0.820	0.715	0.725	07/06/2018	370
3133834H1	36877	FHLB NOTES	07/12/2016	15,138,155.74	15,000,000.00	14,875,140.00	1.375	1.040	1.055	06/12/2020	1,077
313378J77	36886	FHLB NOTES	07/15/2016	15,315,162.52	15,000,000.00	15,111,765.00	1.875	1.055	1.069	03/13/2020	986
3130A7L37	36896	FHLB NOTES	07/21/2016	5,025,164.99	5,000,000.00	4,989,310.00	1.250	0.939	0.952	03/15/2019	622
313380WG8	36993	FHLB NOTES	09/30/2016	19,657,653.59	19,500,000.00	19,308,744.00	1.375	1.100	1.115	09/11/2020	1,168
313380WG8	37022	FHLB NOTES	10/06/2016	12,055,102.47	12,000,000.00	11,882,304.00	1.375	1.210	1.227	09/11/2020	1,168
3133834H1	37037	FHLB NOTES	10/13/2016	10,038,128.43	10,000,000.00	9,916,760.00	1.375	1.225	1.242	06/12/2020	1,077
313380WG8	37054	FHLB NOTES	10/24/2016	10,049,391.55	10,000,000.00	9,901,920.00	1.375	1.199	1.216	09/11/2020	1,168
313383HU8	37058	FHLB NOTES	10/19/2016	15,253,209.71	15,000,000.00	15,050,955.00	1.750	1.147	1.163	06/12/2020	1,077
313380WG8	37072	FHLB NOTES	10/28/2016	13,906,798.64	13,860,000.00	13,724,061.12	1.375	1.248	1.266	09/11/2020	1,168
3130AA3R7	37108	FHLB NOTES	11/17/2016	34,993,622.73	35,000,000.00	34,892,410.00	1.375	1.363	1.382	11/15/2019	867
3130AABG2	37149	FHLB NOTES	11/30/2016	14,947,302.06	15,000,000.00	15,031,470.00	1.875	1.931	1.957	11/29/2021	1,612
3130AAE46	37190	FHLB NOTES	12/08/2016	49,998,535.62	50,000,000.00	49,898,300.00	1.250	1.234	1.252	01/16/2019	564
3130AADN5	37289	FHLB NOTES	12/28/2016	40,002,485.60	40,000,000.00	39,863,280.00	1.375	1.353	1.371	06/28/2019	727
3130A8Y72	37372	FHLB NOTES	01/26/2017	34,587,456.66	35,000,000.00	34,572,195.00	0.875	1.430	1.450	08/05/2019	765
3130AAQ43	37405	FHLB NOTES	02/03/2017	24,983,338.07	25,000,000.00	24,939,750.00	0.750	0.856	0.868	01/25/2018	208
3130AAXX1	37548	FHLB NOTES	03/10/2017	19,960,674.73	20,000,000.00	19,991,240.00	1.492	1.586	1.608	03/18/2019	625
3130AAXX1	37549	FHLB NOTES	03/10/2017	19,960,674.73	20,000,000.00	19,991,240.00	1.492	1.586	1.608	03/18/2019	625
3130AAXX1	37614	FHLB NOTES	03/28/2017	25,000,543.13	25,000,000.00	24,989,050.00	1.492	1.469	1.490	03/18/2019	625

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<b>Federal Agency Bonds</b>											
3130A6AE7	37626	FHLB NOTES	03/31/2017	19,974,003.44	20,000,000.00	19,938,400.00	1.125	1.217	1.234	09/14/2018	440
3130A7CX1	37656	FHLB NOTES	04/05/2017	24,961,750.00	25,000,000.00	24,936,350.00	0.875	1.075	1.090	03/19/2018	261
313382K69	37711	FHLB NOTES	04/13/2017	25,892,978.38	25,860,000.00	25,832,045.34	1.750	1.690	1.714	03/12/2021	1,350
313378CR0	37726	FHLB NOTES	04/19/2017	25,481,486.95	25,000,000.00	25,357,600.00	2.250	1.794	1.819	03/11/2022	1,714
313378J77	37778	FHLB NOTES	04/28/2017	14,125,167.30	14,000,000.00	14,104,314.00	1.875	1.513	1.535	03/13/2020	986
3130ABDX1	37816	FHLB NOTES	05/24/2017	30,000,000.00	30,000,000.00	29,959,200.00	1.400	1.380	1.400	05/24/2019	692
313379RB7	37961	FHLB NOTES	06/30/2017	5,024,332.86	5,000,000.00	5,014,765.00	1.875	1.722	1.746	06/11/2021	1,441
3130A8BJ1	37207	FHLB VARIABLE	12/09/2016	16,013,480.07	16,000,000.00	16,007,712.00	1.125	0.793	0.804	11/27/2017	149
3130AAF52	37234	FHLB VARIABLE	12/14/2016	50,000,000.00	50,000,000.00	50,000,500.00	1.004	0.757	0.767	07/14/2017	13
3137EADN6	35207	FHLMC NOTES	07/09/2014	9,972,945.45	10,000,000.00	9,976,810.00	0.750	1.255	1.273	01/12/2018	195
3137EADJ5	35556	FHLMC NOTES	12/30/2014	24,999,978.18	25,000,000.00	24,999,125.00	1.000	0.987	1.001	07/28/2017	27
3134G3M31	35755	FHLMC NOTES	03/23/2015	20,004,813.72	20,000,000.00	19,995,360.00	1.000	0.885	0.897	09/27/2017	88
3134G3B90	35756	FHLMC NOTES	03/24/2015	15,000,482.93	15,000,000.00	14,997,465.00	0.875	0.836	0.848	08/15/2017	45
3137EADN6	35760	FHLMC NOTES	03/25/2015	9,991,578.55	10,000,000.00	9,976,810.00	0.750	0.898	0.911	01/12/2018	195
3137EADN6	35761	FHLMC NOTES	03/25/2015	9,990,383.61	10,000,000.00	9,976,810.00	0.750	0.921	0.933	01/12/2018	195
3137EADN6	35785	FHLMC NOTES	03/30/2015	4,995,377.50	5,000,000.00	4,988,405.00	0.750	0.914	0.926	01/12/2018	195
3137EADM8	35873	FHLMC NOTES	04/16/2015	29,957,733.06	30,000,000.00	29,834,970.00	1.250	1.296	1.314	10/02/2019	823
3134G6TF0	35893	FHLMC NOTES	04/21/2015	24,998,830.57	25,000,000.00	24,908,350.00	0.930	0.922	0.934	06/29/2018	363
3134G3ZH6	35942	FHLMC NOTES	05/01/2015	10,001,628.96	10,000,000.00	9,999,780.00	1.000	0.742	0.753	07/25/2017	24
3137EADM8	36322	FHLMC NOTES	10/29/2015	9,975,492.07	10,000,000.00	9,944,990.00	1.250	1.343	1.362	10/02/2019	823
3137EADM8	36332	FHLMC NOTES	11/04/2015	18,259,419.42	18,350,000.00	18,249,056.65	1.250	1.456	1.476	10/02/2019	823
3137EADM8	36337	FHLMC NOTES	11/06/2015	7,949,424.98	8,000,000.00	7,955,992.00	1.250	1.519	1.540	10/02/2019	823
3137EADM8	36340	FHLMC NOTES	11/09/2015	9,924,218.03	10,000,000.00	9,944,990.00	1.250	1.576	1.598	10/02/2019	823
3137EADX4	36436	FHLMC NOTES	12/11/2015	19,995,333.70	20,000,000.00	19,984,720.00	1.000	1.031	1.046	12/15/2017	167
3137EADX4	36437	FHLMC NOTES	12/11/2015	14,996,500.28	15,000,000.00	14,988,540.00	1.000	1.031	1.046	12/15/2017	167
3137EAECE9	36989	FHLMC NOTES	09/27/2016	19,877,806.95	20,000,000.00	19,453,720.00	1.125	1.261	1.278	08/12/2021	1,503
3137EAECE9	36992	FHLMC NOTES	09/29/2016	9,944,071.76	10,000,000.00	9,926,860.00	1.125	1.248	1.265	08/12/2021	1,503
3137EAECE5	37443	FHLMC NOTES	02/09/2017	20,011,255.20	20,000,000.00	19,983,200.00	1.500	1.456	1.477	01/17/2020	930
3134G3A91	37454	FHLMC NOTES	01/19/2017	19,997,851.45	20,000,000.00	19,962,820.00	1.400	1.385	1.404	08/22/2019	782
3134GA6W9	37580	FHLMC NOTES	02/28/2017	11,150,000.00	11,150,000.00	11,128,001.05	1.500	1.479	1.500	08/28/2019	788
3137EAEF2	37835	FHLMC NOTES	04/20/2017	44,856,217.50	45,000,000.00	44,733,825.00	1.375	1.471	1.491	04/20/2020	1,024
3135G0VC4	35447	FNMA NOTES	11/25/2014	9,996,322.76	10,000,000.00	9,979,960.00	1.130	1.170	1.186	02/28/2018	242

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<b>Federal Agency Bonds</b>											
3135G0VC4	35454	FNMA NOTES	11/26/2014	5,998,677.49	6,000,000.00	5,987,976.00	1.130	1.148	1.164	02/28/2018	242
3135G0PP2	35750	FNMA NOTES	03/20/2015	16,367,758.05	16,365,000.00	16,362,594.35	1.000	0.909	0.922	09/20/2017	81
3135G0WJ8	35762	FNMA NOTES	03/25/2015	14,978,830.77	15,000,000.00	14,948,715.00	0.875	1.022	1.036	05/21/2018	324
3135G0YM9	35814	FNMA NOTES	04/07/2015	25,253,273.37	25,000,000.00	25,156,100.00	1.875	1.009	1.023	09/18/2018	444
3135G0UJ5	35847	FNMA NOTES	04/13/2015	7,046,016.76	7,000,000.00	7,021,518.00	1.750	1.474	1.494	03/06/2020	979
3135G0UJ5	35856	FNMA NOTES	04/14/2015	14,681,684.24	14,584,000.00	14,628,831.22	1.750	1.469	1.489	03/06/2020	979
3135G0MZ3	35943	FNMA NOTES	05/01/2015	10,001,707.43	10,000,000.00	9,996,670.00	0.875	0.755	0.765	08/28/2017	58
3136FTZ5	36088	FNMA NOTES	06/26/2015	7,407,657.51	7,370,000.00	7,408,441.92	1.750	1.397	1.417	01/30/2019	578
3135G0A78	36347	FNMA NOTES	11/13/2015	9,988,164.46	10,000,000.00	10,026,490.00	1.625	1.650	1.673	01/21/2020	934
3135G0A78	36361	FNMA NOTES	11/18/2015	20,003,795.08	20,000,000.00	20,052,980.00	1.625	1.585	1.607	01/21/2020	934
3135G0WJ8	36378	FNMA NOTES	11/20/2015	14,961,482.80	15,000,000.00	14,948,715.00	0.875	1.152	1.168	05/21/2018	324
3135G0A78	36383	FNMA NOTES	11/24/2015	9,991,826.32	10,000,000.00	10,026,490.00	1.625	1.635	1.658	01/21/2020	934
3135G0A78	36384	FNMA NOTES	11/24/2015	9,992,317.97	10,000,000.00	10,026,490.00	1.625	1.633	1.656	01/21/2020	934
3135G0H63	36505	FNMA NOTES	01/08/2016	24,992,010.45	25,000,000.00	24,996,575.00	1.375	1.376	1.395	01/28/2019	576
3135G0N33	37253	FNMA NOTES	12/19/2016	19,730,500.11	20,000,000.00	19,759,560.00	0.875	1.515	1.536	08/02/2019	762
3135G0S38	37582	FNMA NOTES	02/09/2017	10,046,255.49	10,000,000.00	10,033,250.00	2.000	1.866	1.892	01/05/2022	1,649
3136FTZ5	37620	FNMA NOTES	03/29/2017	10,933,742.90	10,865,000.00	10,921,671.84	1.750	1.324	1.343	01/30/2019	578
3135G0T45	37783	FNMA NOTES	04/10/2017	39,828,504.51	40,000,000.00	39,874,400.00	1.875	1.943	1.970	04/05/2022	1,739
<b>Subtotal and Average</b>				<b>2,645,768,942.79</b>	<b>2,643,181,000.00</b>	<b>2,639,071,661.44</b>		<b>1.209</b>	<b>1.226</b>		<b>608</b>
<b>Federal Agency Bonds - CALLABLE</b>											
3133EFKM8	36323	FFCB NOTES	10/29/2015	14,995,860.76	15,000,000.00	14,978,955.00	0.710	0.791	0.802	10/20/2017	111
3133EFMU8	36492	FFCB NOTES	12/31/2015	13,257,063.30	13,300,000.00	13,273,506.40	0.950	1.324	1.343	05/02/2018	305
3133EF2L0	36688	FFCB NOTES	04/13/2016	20,000,000.00	20,000,000.00	19,821,240.00	1.400	1.380	1.400	04/13/2020	1,017
3133EF2L0	36689	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,865,930.00	1.400	1.380	1.400	04/13/2020	1,017
3133EF2L0	36690	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,865,930.00	1.400	1.380	1.400	04/13/2020	1,017
3133EGKA2	36866	FFCB NOTES	07/06/2016	20,000,000.00	20,000,000.00	19,664,880.00	1.500	1.479	1.500	07/06/2021	1,466
3133EG2P8	37300	FFCB NOTES	12/29/2016	10,000,000.00	10,000,000.00	10,005,140.00	2.320	2.288	2.320	12/29/2021	1,642
3133EG2P9	37301	FFCB NOTES	12/29/2016	15,000,000.00	15,000,000.00	15,007,710.00	2.320	2.288	2.320	12/29/2021	1,642
3130A3XL3	36117	FHLB NOTES	07/09/2015	7,223,362.77	7,250,000.00	7,233,201.75	1.500	1.624	1.646	02/10/2020	954
3130A7HM0	36641	FHLB NOTES	03/29/2016	19,994,185.19	20,000,000.00	19,928,160.00	1.250	1.249	1.267	03/29/2019	636
3130A9W49	37131	FHLB NOTES	11/25/2016	15,000,000.00	15,000,000.00	14,818,695.00	1.250	1.232	1.250	02/24/2020	968

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds - CALLABLE</b>											
3130AANA2	37382	FHLB NOTES	01/30/2017	25,000,000.00	25,000,000.00	24,986,950.00	1.750	1.726	1.750	07/30/2020	1,125
3130AANA2	37383	FHLB NOTES	01/30/2017	5,000,000.00	5,000,000.00	4,997,390.00	1.750	1.726	1.750	07/30/2020	1,125
3130AB3T1	37641	FHLB NOTES	04/24/2017	20,000,000.00	20,000,000.00	19,957,140.00	1.750	1.726	1.750	07/24/2020	1,119
3134G67C1	36066	FHLMC NOTES	06/22/2015	9,995,937.50	10,000,000.00	9,975,190.00	1.200	1.225	1.242	06/22/2018	356
3134G34W7	36309	FHLMC NOTES	10/26/2015	2,004,970.81	2,000,000.00	1,999,752.00	1.250	0.805	0.816	01/30/2018	213
3134G74S7	36393	FHLMC NOTES	11/27/2015	5,000,000.00	5,000,000.00	4,982,475.00	1.000	0.986	1.000	08/27/2018	422
3134G76G1	36497	FHLMC NOTES	01/04/2016	13,839,584.75	13,875,000.00	13,849,511.63	1.050	1.327	1.346	05/17/2018	320
3134G8JT7	36583	FHLMC NOTES	02/24/2016	12,500,000.00	12,500,000.00	12,454,062.50	1.500	1.479	1.500	02/24/2020	968
3134G8L98	36590	FHLMC NOTES	02/26/2016	5,000,000.00	5,000,000.00	4,990,675.00	1.050	1.035	1.050	02/26/2018	240
3134G8L98	36591	FHLMC NOTES	02/26/2016	5,000,000.00	5,000,000.00	4,990,675.00	1.050	1.035	1.050	02/26/2018	240
3134G8L98	36592	FHLMC NOTES	02/26/2016	5,000,000.00	5,000,000.00	4,990,675.00	1.050	1.035	1.050	02/26/2018	240
3134G8L98	36593	FHLMC NOTES	02/26/2016	5,000,000.00	5,000,000.00	4,990,675.00	1.050	1.035	1.050	02/26/2018	240
3134G8TV1	36644	FHLMC NOTES	03/29/2016	7,000,000.00	7,000,000.00	6,951,399.00	1.220	1.203	1.220	03/29/2019	636
3134G8S83	36648	FHLMC NOTES	03/30/2016	20,000,000.00	20,000,000.00	19,962,340.00	1.500	1.479	1.500	12/30/2019	912
3134G8PD5	36649	FHLMC NOTES	03/30/2016	19,997,431.75	20,000,000.00	19,919,020.00	1.350	1.337	1.355	09/30/2019	821
3134G8PD5	36650	FHLMC NOTES	03/30/2016	3,714,522.95	3,715,000.00	3,699,957.97	1.350	1.337	1.355	09/30/2019	821
3134G8PD5	36651	FHLMC NOTES	03/30/2016	6,375,000.00	6,375,000.00	6,349,187.63	1.350	1.331	1.350	09/30/2019	821
3134G8PD5	36654	FHLMC NOTES	03/30/2016	12,498,796.13	12,500,000.00	12,449,387.50	1.350	1.335	1.354	09/30/2019	821
3134G9DD6	36721	FHLMC NOTES	04/26/2016	11,992,722.22	12,000,000.00	11,901,936.00	1.150	1.167	1.184	04/26/2019	664
3134G8YU7	36722	FHLMC NOTES	04/26/2016	24,978,888.89	25,000,000.00	24,863,850.00	1.050	1.099	1.115	10/26/2018	482
3134G8XA2	36725	FHLMC NOTES	04/27/2016	10,000,000.00	10,000,000.00	9,948,510.00	1.000	0.986	1.000	07/27/2018	391
3134G9AV9	36729	FHLMC NOTES	04/28/2016	14,990,035.71	15,000,000.00	14,911,785.00	1.250	1.261	1.279	10/28/2019	849
3134G9AV9	36730	FHLMC NOTES	04/28/2016	4,247,176.79	4,250,000.00	4,225,005.75	1.250	1.261	1.279	10/28/2019	849
3134G9HM2	36785	FHLMC NOTES	05/26/2016	9,000,000.00	9,000,000.00	8,924,337.00	1.300	1.282	1.300	11/26/2019	878
3134G9HW0	36787	FHLMC NOTES	05/26/2016	5,250,000.00	5,250,000.00	5,203,343.25	1.250	1.232	1.250	11/26/2019	878
3134G9QW0	36823	FHLMC NOTES	06/14/2016	20,000,000.00	20,000,000.00	19,798,160.00	1.280	1.262	1.280	06/14/2019	713
3134G9ZR1	36848	FHLMC NOTES	06/28/2016	10,631,276.19	10,625,000.00	10,549,509.38	1.000	0.946	0.959	12/28/2018	545
3134GAXX7	37148	FHLMC NOTES	11/30/2016	15,000,000.00	15,000,000.00	14,908,365.00	1.000	0.986	1.000	11/30/2018	517
3134G3K90	37344	FHLMC NOTES	01/19/2017	10,002,984.62	10,000,000.00	10,006,780.00	1.700	1.667	1.690	09/25/2020	1,182
3134GBBM3	37518	FHLMC NOTES	03/29/2017	25,000,000.00	25,000,000.00	25,023,375.00	2.000	1.972	2.000	03/29/2021	1,367
3134GA5T7	37578	FHLMC NOTES	02/28/2017	3,500,000.00	3,500,000.00	3,500,535.50	2.125	2.095	2.125	01/26/2022	1,670
3134GA5T7	37579	FHLMC NOTES	02/28/2017	20,000,000.00	20,000,000.00	20,003,060.00	2.125	2.096	2.125	01/26/2022	1,670

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<b>Federal Agency Bonds - CALLABLE</b>											
3134GBEW8	37621	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,926,150.00	1.500	1.479	1.500	07/26/2019	755
3134GBEW8	37668	FHLMC NOTES	04/26/2017	8,000,000.00	8,000,000.00	7,976,368.00	1.500	1.479	1.500	07/26/2019	755
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000.00	15,000,000.00	14,979,195.00	2.000	1.972	2.000	01/27/2022	1,671
3134GBEF5	37696	FHLMC NOTES	04/27/2017	3,640,000.00	3,640,000.00	3,632,596.24	1.700	1.676	1.700	04/27/2020	1,031
3134GBHF2	37703	FHLMC NOTES	04/28/2017	40,000,000.00	40,000,000.00	39,910,600.00	1.800	1.775	1.800	04/28/2020	1,032
3134GBJJ2	37741	FHLMC NOTES	04/26/2017	10,000,000.00	10,000,000.00	9,970,200.00	1.750	1.726	1.750	01/26/2021	1,305
3134GBJJ2	37742	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,925,500.00	1.750	1.726	1.750	01/26/2021	1,305
3134GBLR1	37801	FHLMC NOTES	05/25/2017	20,000,000.00	20,000,000.00	19,969,640.00	1.750	1.726	1.750	11/25/2020	1,243
3134GBLQ3	37804	FHLMC NOTES	05/22/2017	20,000,000.00	20,000,000.00	19,940,640.00	1.650	1.627	1.650	05/22/2020	1,056
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000.00	15,000,000.00	15,003,165.00	2.000	1.972	2.000	02/24/2022	1,699
3134GBRR5	37849	FHLMC NOTES	06/15/2017	20,000,000.00	20,000,000.00	19,853,720.00	1.800	1.775	1.800	06/15/2021	1,445
3134GBRU8	37861	FHLMC NOTES	06/22/2017	30,000,000.00	30,000,000.00	29,945,820.00	1.625	1.602	1.625	06/22/2020	1,087
3134GBSM5	37880	FHLMC NOTES	06/22/2017	5,450,000.00	5,450,000.00	5,423,921.75	1.700	1.676	1.700	09/22/2020	1,179
3134GBRZ7	37893	FHLMC NOTES	06/20/2017	15,000,000.00	15,000,000.00	14,979,300.00	1.500	1.479	1.500	12/20/2019	902
3134GBSW3	37894	FHLMC NOTES	06/22/2017	5,000,000.00	5,000,000.00	4,986,360.00	1.750	1.726	1.750	12/22/2020	1,270
3135G0XA6	34464	FNMA NOTES	08/12/2013	10,448,964.75	10,500,000.00	10,474,506.00	1.030	1.578	1.600	05/21/2018	324
3136G2WX1	36576	FNMA NOTES	02/22/2016	15,500,000.00	15,500,000.00	15,446,308.00	1.250	1.232	1.250	02/22/2019	601
3136G3NC5	36782	FNMA NOTES	05/24/2016	4,998,418.98	5,000,000.00	4,938,035.00	1.000	1.003	1.016	05/24/2019	692
3136G3QY4	36783	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,983,800.00	1.250	1.212	1.229	05/30/2019	698
3136G3QY4	36794	FNMA NOTES	05/27/2016	15,000,000.00	15,000,000.00	14,951,400.00	1.250	1.212	1.229	05/30/2019	698
3136G3QY4	36795	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,983,800.00	1.250	1.212	1.229	05/30/2019	698
3136G3PRO	36797	FNMA NOTES	05/27/2016	24,955,093.11	25,000,000.00	24,409,175.00	1.500	1.526	1.548	05/26/2021	1,425
3136G3TD7	36845	FNMA NOTES	06/28/2016	12,988,617.28	12,980,000.00	12,877,042.64	1.200	1.150	1.165	06/28/2019	727
3136G3TB1	36846	FNMA NOTES	06/28/2016	12,059,999.83	12,050,000.00	11,905,387.95	1.100	1.043	1.057	06/28/2019	727
3136G3SQ9	36849	FNMA NOTES	06/28/2016	25,000,000.00	25,000,000.00	24,760,075.00	1.150	1.134	1.150	06/28/2019	727
3135G0L50	36875	FNMA NOTES	07/12/2016	30,000,000.00	30,000,000.00	29,668,260.00	1.050	1.035	1.050	07/12/2019	741
3136G3SY2	36880	FNMA NOTES	07/13/2016	7,500,000.00	7,500,000.00	7,423,350.00	1.250	1.232	1.250	09/30/2019	821
3136G3A62	36902	FNMA NOTES	07/26/2016	15,000,000.00	15,000,000.00	14,790,840.00	1.050	1.035	1.050	07/26/2019	755
3136G4BV4	37017	FNMA NOTES	09/30/2016	20,012,177.08	20,000,000.00	19,576,940.00	1.450	1.411	1.430	09/30/2020	1,187
3135G0Q30	37103	FNMA NOTES	10/21/2016	29,987,710.23	30,000,000.00	29,718,540.00	1.180	1.167	1.183	09/27/2019	818
3136G4MD2	37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	3,000,846.00	1.850	1.824	1.850	09/16/2020	1,173
<b>Subtotal and Average</b>				<b>1,026,540,781.59</b>	<b>1,026,760,000.00</b>	<b>1,021,059,844.84</b>		<b>1.444</b>	<b>1.464</b>		<b>940</b>

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<b>US Treasury Notes</b>											
912828VK3	35886	U.S. TREASURY NOTES	04/20/2015	25,114,529.78	25,000,000.00	25,021,475.00	1.375	0.895	0.907	06/30/2018	364
912828VQ0	35887	U.S. TREASURY NOTES	04/20/2015	15,070,708.67	15,000,000.00	15,012,885.00	1.375	0.918	0.931	07/31/2018	395
<b>Subtotal and Average</b>				<b>40,185,238.45</b>	<b>40,000,000.00</b>	<b>40,034,360.00</b>		<b>0.904</b>	<b>0.917</b>		<b>375</b>
<b>Corporate Bonds</b>											
037833BN9	36580	APPLE INC	02/23/2016	4,999,307.22	5,000,000.00	4,998,180.00	1.300	1.303	1.321	02/23/2018	237
037833CB4	36918	APPLE INC	08/04/2016	29,978,100.19	30,000,000.00	29,679,480.00	1.100	1.118	1.134	08/02/2019	762
084864CC4	36520	BERKSHIRE HATHWAY	03/15/2016	4,997,839.63	5,000,000.00	5,011,885.00	1.700	1.702	1.726	03/15/2019	622
084864CK5	36933	BERKSHIRE HATHWAY	08/15/2016	9,993,138.15	10,000,000.00	9,927,400.00	1.300	1.314	1.333	08/15/2019	775
17305EFW0	37208	CITIBANK CREDIT CARD ISSUANCE	12/08/2016	39,998,100.38	40,000,000.00	39,964,376.00	1.750	1.725	1.749	11/19/2021	1,602
166764AA8	35280	CHEVRON CORP.	08/19/2014	9,995,182.63	10,000,000.00	9,994,180.00	1.104	1.202	1.219	12/05/2017	157
166764AL4	35427	CHEVRON CORP.	11/18/2014	30,000,000.00	30,000,000.00	30,003,240.00	1.345	1.326	1.345	11/15/2017	137
166764AV2	35694	CHEVRON CORP.	03/03/2015	10,000,000.00	10,000,000.00	9,997,440.00	1.365	1.346	1.365	03/02/2018	244
166764BC3	36355	CHEVRON CORP.	11/17/2015	10,000,000.00	10,000,000.00	9,998,250.00	1.344	1.340	1.359	11/09/2017	131
166764BH2	36765	CHEVRON CORP.	05/16/2016	15,000,000.00	15,000,000.00	14,956,305.00	1.561	1.539	1.561	05/16/2019	684
166764BP4	37503	CHEVRON CORP.	03/03/2017	8,000,000.00	8,000,000.00	8,020,872.00	1.991	1.963	1.991	03/03/2020	976
459200HZ7	35633	IBM	02/06/2015	9,993,928.24	10,000,000.00	9,985,000.00	1.125	1.212	1.228	02/06/2018	220
459200HK0	35644	IBM	02/13/2015	9,996,810.60	10,000,000.00	9,996,200.00	1.250	1.286	1.304	02/08/2018	222
459200JN2	37380	IBM	01/27/2017	9,991,768.89	10,000,000.00	10,002,760.00	1.900	1.906	1.933	01/27/2020	940
478160BL7	35435	JOHNSON & JOHNSON	11/21/2014	29,996,927.78	30,000,000.00	29,979,180.00	1.125	1.136	1.151	11/21/2017	143
48125LRG9	36966	JPMORGAN	09/23/2016	12,490,531.94	12,500,000.00	12,430,225.00	1.650	1.661	1.685	09/23/2019	814
594918BF0	36329	MICROSOFT CORP	11/03/2015	19,991,074.07	20,000,000.00	19,988,220.00	1.300	1.315	1.334	11/03/2018	490
594918BN3	36923	MICROSOFT CORP	08/08/2016	9,992,780.46	10,000,000.00	9,881,480.00	1.100	1.119	1.135	08/08/2019	768
594918BV5	37419	MICROSOFT CORP	02/06/2017	14,991,299.31	15,000,000.00	15,038,235.00	1.850	1.847	1.873	02/06/2020	950
89236TDE2	36776	TOYOTA MOTOR CREDIT	05/20/2016	19,982,396.30	20,000,000.00	19,879,020.00	1.400	1.428	1.447	05/20/2019	688
89236TDH5	37057	TOYOTA MOTOR CREDIT	10/18/2016	4,998,085.65	5,000,000.00	4,978,030.00	1.550	1.545	1.567	10/18/2019	839
89236TDL6	37170	TOYOTA MOTOR CREDIT	12/05/2016	50,000,000.00	50,000,000.00	50,042,250.00	1.468	1.369	1.388	12/05/2017	157
89236TDU6	37702	TOYOTA MOTOR CREDIT	04/17/2017	4,997,857.59	5,000,000.00	4,998,455.00	1.950	1.938	1.965	04/17/2020	1,021
90331HML4	36773	U S BANK	05/19/2016	19,840,961.02	19,589,000.00	19,734,702.98	2.125	1.533	1.554	10/28/2019	849
94988J5D5	36805	WELLS FARGO	06/02/2016	11,996,712.43	12,000,000.00	11,976,720.00	1.750	1.740	1.764	05/24/2019	692
30231GAL6	35710	EXXON MOBIL CORP	03/06/2015	50,000,000.00	50,000,000.00	49,969,700.00	1.305	1.287	1.305	03/06/2018	248
<b>Subtotal and Average</b>				<b>452,223,802.48</b>	<b>452,089,000.00</b>	<b>451,411,785.98</b>		<b>1.420</b>	<b>1.440</b>		<b>563</b>

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Asset Backed Securities (ABS)</b>											
02582JHG8	37862	AMERICAN EXPRESS	05/30/2017	33,994,553.20	34,000,000.00	33,973,235.20	1.640	1.602	1.624	12/15/2021	1,628
05581QAD0	36274	BMW VEHICLE LEASE TRUST	10/14/2015	25,383,246.10	25,383,680.16	25,385,761.62	1.400	1.385	1.405	02/20/2019	599
05581RAD8	36569	BMW VEHICLE LEASE TRUST	02/17/2016	12,998,472.50	13,000,000.00	12,992,736.90	1.340	1.440	1.460	06/20/2018	354
05582XAD4	37036	BMW VEHICLE LEASE TRUST	10/13/2016	6,999,074.60	7,000,000.00	6,980,719.90	1.430	1.423	1.443	09/20/2019	811
05582QAD9	36893	BMW VEHICLE OWNER TRUST	07/20/2016	9,999,955.00	10,000,000.00	9,933,857.00	1.160	1.147	1.163	11/25/2020	1,243
161571GY4	35953	CHASE ISSUANCE TRUST (ABS)	05/06/2015	24,995,595.00	25,000,000.00	24,978,495.00	1.360	1.352	1.371	04/15/2020	1,019
161571HF4	36929	CHASE ISSUANCE TRUST (ABS)	08/11/2016	22,993,668.10	23,000,000.00	22,789,564.60	1.270	1.267	1.285	07/15/2021	1,475
43813NAC0	35986	HONDA AUTO RECEIVABLES OWNER T	05/20/2015	3,469,436.28	3,469,968.92	3,465,165.44	1.040	1.036	1.050	02/21/2019	600
43814NAC9	36587	HONDA AUTO RECEIVABLES OWNER T	02/25/2016	13,998,010.60	14,000,000.00	13,963,846.40	1.220	1.213	1.230	12/18/2019	900
43814QAC2	36800	HONDA AUTO RECEIVABLES OWNER T	05/31/2016	11,999,767.20	12,000,000.00	11,974,584.00	1.390	1.375	1.395	04/15/2020	1,019
43814RAC0	37059	HONDA AUTO RECEIVABLES OWNER T	10/25/2016	11,499,253.65	11,500,000.00	11,406,751.10	1.210	1.199	1.216	12/18/2020	1,266
43814TAC6	37615	HONDA AUTO RECEIVABLES OWNER T	03/28/2017	16,499,018.25	16,500,000.00	16,510,500.60	1.720	1.706	1.729	06/22/2020	1,087
43811BAC8	37958	HONDA AUTO RECEIVABLES OWNER T	06/27/2017	27,997,578.00	28,000,000.00	27,957,412.00	1.870	1.855	1.881	08/15/2021	1,506
47787UAB9	35725	JOHN DEERE OWNER TRUST	03/11/2015	0.00	0.00	0.00	0.870	0.863	0.875	02/15/2018	229
47787UAD5	35726	JOHN DEERE OWNER TRUST	03/11/2015	4,004,533.00	4,004,851.39	4,003,421.66	1.320	1.309	1.327	06/17/2019	716
47787WAC3	36216	JOHN DEERE OWNER TRUST	09/09/2015	5,390,786.63	5,391,814.84	5,389,969.76	1.440	1.552	1.573	10/15/2019	836
47788MAC4	36600	JOHN DEERE OWNER TRUST	03/02/2016	10,498,347.30	10,500,000.00	10,476,446.40	1.360	1.353	1.371	04/15/2020	1,019
47788NAC2	36905	JOHN DEERE OWNER TRUST	07/27/2016	8,749,303.50	8,750,000.00	8,711,080.00	1.250	1.240	1.257	06/15/2020	1,080
47787XAC1	37507	JOHN DEERE OWNER TRUST	03/02/2017	8,498,789.60	8,500,000.00	8,509,740.15	1.780	1.769	1.793	04/15/2021	1,384
58768FAD8	36623	MERCEDES-BENZ AUTO LEASE TRUST	03/16/2016	22,996,660.40	23,000,000.00	23,003,286.70	1.520	1.513	1.534	03/15/2019	622
58768MAC5	37065	MERCEDES-BENZ AUTO LEASE TRUST	10/26/2016	4,999,984.00	5,000,000.00	4,987,233.50	1.350	1.335	1.354	08/15/2019	775
58769BAD6	36956	MERCEDES -BENZ AUTO REC TRUST	09/14/2016	11,998,537.20	12,000,000.00	11,941,881.60	1.260	1.251	1.269	02/16/2021	1,326
65473DAB8	36078	NISSAN AUTO LEASE TRUST	06/24/2015	0.00	0.00	0.00	0.990	0.985	0.999	11/15/2017	137
65478UAD1	36557	NISSAN AUTO LEASE TRUST	02/10/2016	5,998,636.80	6,000,000.00	5,979,975.00	1.340	1.335	1.353	10/15/2020	1,202
89231MAC9	34933	TOYOTA AUTO REC OWNER TRUST	03/19/2014	-0.02	-0.02	-0.02	0.670	0.671	0.680	12/15/2017	167
89237CAB7	36060	TOYOTA AUTO REC OWNER TRUST	06/17/2015	0.00	0.00	0.00	0.770	0.766	0.776	11/15/2017	137
89237KAD5	36601	TOYOTA AUTO REC OWNER TRUST	03/02/2016	14,999,149.50	15,000,000.00	14,961,582.00	1.250	1.238	1.256	03/16/2020	989
89231LAD9	37035	TOYOTA AUTO REC OWNER TRUST	10/12/2016	13,998,247.20	14,000,000.00	13,910,124.20	1.230	1.222	1.239	10/15/2020	1,202
89238MAD0	37568	TOYOTA AUTO REC OWNER TRUST	03/15/2017	9,998,823.00	10,000,000.00	10,012,751.00	1.730	1.684	1.708	02/16/2021	1,326
92867RAC3	35064	VOLKSWAGEN AUTO LOAN ENHANCED	04/30/2014	573,468.17	573,581.17	573,255.20	0.910	0.908	0.920	10/22/2018	478
<b>Subtotal and Average</b>						<b>345,532,894.76</b>		<b>1.433</b>	<b>1.453</b>		<b>1,099</b>
						<b>344,773,366.91</b>					

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<b>Asset Backed Securities-Green Bond</b>											
89231UAD9	36753	TOYOTA AUTO REC OWNER TRUST	05/11/2016	3,999,854.87	4,000,000.00	3,988,797.20	1.300	1.283	1.301	04/15/2020	1,019
<b>Subtotal and Average</b>				<b>3,999,854.87</b>	<b>4,000,000.00</b>	<b>3,988,797.20</b>		<b>1.284</b>	<b>1.301</b>		<b>1,019</b>
<b>Municipal Bonds</b>											
13063CFC9	34650	CALIFORNIA ST	11/13/2013	10,007,764.71	10,000,000.00	10,014,100.00	1.750	1.488	1.508	11/01/2017	123
13063CFC9	34729	CALIFORNIA ST	12/11/2013	9,086,393.90	9,085,000.00	9,097,809.85	1.750	1.678	1.701	11/01/2017	123
13063CPN4	35534	CALIFORNIA ST	12/22/2014	12,449,869.33	12,450,000.00	12,447,759.00	1.250	1.236	1.253	11/01/2017	123
13063CSQ4	36313	CALIFORNIA ST	10/27/2015	10,259,100.16	10,220,000.00	10,142,328.00	1.800	1.632	1.655	04/01/2020	1,005
13063CXU9	36390	CALIFORNIA ST	11/25/2015	5,000,000.00	5,000,000.00	4,995,150.00	1.000	0.989	1.002	11/01/2017	123
13063CP87	36731	CALIFORNIA ST	04/28/2016	14,943,350.25	15,000,000.00	14,642,550.00	1.500	1.608	1.630	04/01/2021	1,370
65887PHS7	37523	North Dakota State Finance	03/06/2017	573,398.15	500,000.00	576,000.00	5.000	1.400	1.420	10/01/2021	1,553
64990CEM9	36822	New York State Dormitory Auth	06/14/2016	3,000,000.00	3,000,000.00	2,988,630.00	1.456	1.435	1.455	07/01/2019	730
91412GWV3	35763	UNIVERSITY CALIFORNIA REVS	03/25/2015	250,000.00	250,000.00	251,287.50	2.003	1.975	2.002	05/15/2019	683
91412GD36	36710	UNIVERSITY CALIFORNIA REVS	04/20/2016	3,000,000.00	3,000,000.00	2,969,880.00	1.169	1.152	1.168	05/15/2019	683
<b>Subtotal and Average</b>				<b>68,569,876.50</b>	<b>68,505,000.00</b>	<b>68,125,494.35</b>		<b>1.463</b>	<b>1.483</b>		<b>591</b>
<b>Commercial Paper, Discount</b>											
03785DUU8	37686	APPLE INC	04/11/2017	59,957,480.98	60,000,000.00	59,948,666.40	0.950	0.952	0.965	07/28/2017	27
16677JUT1	37143	CHEVRON	11/29/2016	54,959,196.49	55,000,000.00	54,955,081.50	1.020	1.035	1.050	07/27/2017	26
16677JVB9	37210	CHEVRON	12/12/2016	49,940,772.87	50,000,000.00	49,935,485.00	1.030	1.049	1.063	08/11/2017	41
36164JYX9	37806	GENERAL ELECTRIC CAPITAL	05/05/2017	49,917,123.64	50,000,000.00	49,900,742.50	1.000	1.003	1.017	08/31/2017	61
36164JU36	37952	GENERAL ELECTRIC CAPITAL	06/30/2017	49,987,051.89	50,000,000.00	49,995,416.50	1.070	1.070	1.084	07/03/2017	2
46640PVR1	37313	JP Morgan Securities	01/03/2017	59,877,225.22	60,000,000.00	59,881,840.20	1.330	1.352	1.371	08/25/2017	55
46640PWU3	37632	JP Morgan Securities	03/30/2017	29,904,057.50	30,000,000.00	29,904,000.00	1.320	1.328	1.347	09/28/2017	89
46640QA50	37690	JP Morgan Securities	04/11/2017	49,631,153.74	50,000,000.00	49,636,437.50	1.420	1.454	1.474	01/05/2018	188
19121AV81	37203	Coca-Cola Co	12/09/2016	59,937,331.73	60,000,000.00	59,928,762.00	0.980	0.997	1.011	08/08/2017	38
21687AUX9	37397	RABOBANK	02/01/2017	59,942,180.40	60,000,000.00	59,935,881.60	1.160	1.166	1.182	07/31/2017	30
89233GUE4	37381	TOYOTA MOTOR CREDIT	01/27/2017	49,979,123.09	50,000,000.00	49,978,611.00	1.160	1.166	1.182	07/14/2017	13
89233HA46	37691	TOYOTA MOTOR CREDIT	04/11/2017	59,581,506.02	60,000,000.00	59,587,862.40	1.350	1.381	1.400	01/04/2018	187
9033E0UE4	37475	U S BANK	02/22/2017	49,984,721.12	50,000,000.00	49,978,611.00	0.850	0.852	0.864	07/14/2017	13
30229AU64	37688	Exxon Mobil Group	04/11/2017	49,994,130.72	50,000,000.00	49,990,833.50		0.851	0.863	07/05/2017	5
<b>Subtotal and Average</b>				<b>733,603,055.41</b>	<b>735,000,000.00</b>	<b>733,558,231.10</b>		<b>1.116</b>	<b>1.131</b>		<b>54</b>

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Discount Notes</b>											
3133131J7	37795	FFCB DISCOUNT NOTE	05/03/2017	14,891,755.14	15,000,000.00	14,889,330.00	1.110	1.133	1.149	02/21/2018	235
313385KZ8	37767	FHLB DISCOUNT	04/25/2017	44,934,932.86	45,000,000.00	44,928,585.00	0.890	0.905	0.917	08/28/2017	58
313385NQ5	37777	FHLB DISCOUNT	04/27/2017	24,917,808.36	25,000,000.00	24,912,400.00	0.980	0.998	1.012	10/30/2017	121
313385JK3	37876	FHLB NOTES	05/26/2017	74,960,388.07	75,000,000.00	74,962,500.00	0.944	0.958	0.971	07/21/2017	20
31315LTC2	37610	FARMER MAC DISCOUNT NOTE	03/27/2017	23,640,131.14	23,800,000.00	23,628,925.60	1.060	1.082	1.097	02/15/2018	229
31315LSK5	37766	FARMER MAC DISCOUNT NOTE	04/25/2017	20,869,623.07	21,000,000.00	20,861,568.00	1.060	1.081	1.096	01/29/2018	212
<b>Subtotal and Average</b>				<b>204,214,638.64</b>	<b>204,800,000.00</b>	<b>204,183,308.60</b>		<b>0.991</b>	<b>1.005</b>		<b>100</b>
<b>Local Agency Investment Fund</b>											
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	40,376,758.09	40,376,758.09	40,376,758.09	0.920	0.907	0.920		1
<b>Subtotal and Average</b>				<b>40,376,758.09</b>	<b>40,376,758.09</b>	<b>40,376,758.09</b>		<b>0.907</b>	<b>0.920</b>		<b>1</b>
<b>Money Market</b>											
SYS37590	37590	JPM TE MMK FD	02/27/2017	0.00	0.00	0.00	0.820	0.808	0.820		1
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	0.00	0.00	0.00	0.880	0.867	0.880		1
SYS23519	23519	BLACKROCK TREASURY LIQ FUND	02/01/2008	316,813,401.81	316,813,401.81	316,813,401.81	0.840	0.828	0.840		1
<b>Subtotal and Average</b>				<b>316,813,401.81</b>	<b>316,813,401.81</b>	<b>316,813,401.81</b>		<b>0.828</b>	<b>0.840</b>		<b>1</b>
<b>Supranationals - Green Bond</b>											
45905UVR2	36843	INTL BANK RECON & DEVELOP	03/29/2016	45,000,000.00	45,000,000.00	44,859,960.00	1.170	1.154	1.170	01/29/2019	577
<b>Subtotal and Average</b>				<b>45,000,000.00</b>	<b>45,000,000.00</b>	<b>44,859,960.00</b>		<b>1.154</b>	<b>1.170</b>		<b>577</b>
<b>Supranationals</b>											
4581X0CX4	37663	IADB	04/12/2017	34,922,953.65	35,000,000.00	34,908,790.00	1.625	1.680	1.704	05/12/2020	1,046
45905URF3	35802	INTL BANK RECON & DEVELOP	04/06/2015	12,500,657.73	12,500,000.00	12,497,625.00	0.900	0.769	0.780	07/17/2017	16
459058EJ8	35936	INTL BANK RECON & DEVELOP	04/30/2015	14,992,156.80	15,000,000.00	14,949,735.00	1.000	1.034	1.048	06/15/2018	349
459058EJ8	35937	INTL BANK RECON & DEVELOP	04/30/2015	9,994,771.20	10,000,000.00	9,966,490.00	1.000	1.041	1.055	06/15/2018	349
459058ER0	36258	INTL BANK RECON & DEVELOP	10/07/2015	14,989,260.67	15,000,000.00	14,916,375.00	1.000	1.043	1.057	10/05/2018	461
459058ER0	36259	INTL BANK RECON & DEVELOP	10/07/2015	24,982,101.11	25,000,000.00	24,860,625.00	1.000	1.043	1.057	10/05/2018	461
459058DN0	36352	INTL BANK RECON & DEVELOP	11/16/2015	20,031,516.67	20,000,000.00	20,004,720.00	1.376	1.153	1.169	04/10/2018	283
459058FA6	36653	INTL BANK RECON & DEVELOP	03/30/2016	19,957,967.50	20,000,000.00	19,829,780.00	1.376	1.435	1.455	03/30/2020	1,003
459058FK4	36881	INTL BANK RECON & DEVELOP	07/13/2016	39,994,228.78	40,000,000.00	39,430,320.00	0.875	0.869	0.881	08/15/2019	775

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			Subtotal and Average	192,365,614.11	192,500,000.00	191,364,460.00		1.156	1.173		626
			Total Investments and Average	6,730,196,172.15	6,729,599,056.36	6,714,607,595.12		1.238	1.255		528

**Fund WK - WORKERS COMP**  
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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3137EAE9	37310	FHLMC NOTES	12/30/2016	2,415,501.91	2,500,000.00	2,431,715.00	1.125	1.961	1.988	08/12/2021	1,503
3135G0MZ3	34806	FNMA NOTES	01/08/2014	4,497,830.52	4,500,000.00	4,498,501.50	0.875	1.170	1.186	08/28/2017	58
3135G0WJ8	34807	FNMA NOTES	01/08/2014	4,573,451.60	4,600,000.00	4,584,272.60	0.875	1.527	1.548	05/21/2018	324
3135G0R39	37309	FNMA NOTES	12/30/2016	3,459,804.05	3,500,000.00	3,461,223.50	1.000	1.489	1.510	10/24/2019	845
<b>Subtotal and Average</b>				<b>14,946,588.08</b>	<b>15,100,000.00</b>	<b>14,975,712.60</b>		<b>1.482</b>	<b>1.502</b>		<b>555</b>
<b>US Treasury Notes</b>											
912828UE8	34791	U.S. TREASURY NOTES	12/27/2013	4,487,352.39	4,500,000.00	4,490,977.50	0.750	1.309	1.327	12/31/2017	183
912828A75	34792	U.S. TREASURY NOTES	12/31/2013	4,484,173.91	4,500,000.00	4,509,846.00	1.500	1.721	1.745	12/31/2018	548
<b>Subtotal and Average</b>				<b>8,971,526.30</b>	<b>9,000,000.00</b>	<b>9,000,823.50</b>		<b>1.516</b>	<b>1.537</b>		<b>365</b>
<b>Money Market</b>											
SYS34789	34789	BLACKROCK TREASURY LIQ FUND	12/26/2013	3,965,280.40	3,965,280.40	3,965,280.40	0.840	0.828	0.840		1
<b>Subtotal and Average</b>				<b>3,965,280.40</b>	<b>3,965,280.40</b>	<b>3,965,280.40</b>		<b>0.828</b>	<b>0.840</b>		<b>1</b>
<b>Total Investments and Average</b>				<b>27,883,394.78</b>	<b>28,065,280.40</b>	<b>27,941,816.50</b>		<b>1.400</b>	<b>1.419</b>		<b>415</b>

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**Fund PCF - PARK CHARTER FUND**  
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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3133EGAS4	36777	FFCB NOTES	05/20/2016	1,997,807.51	2,000,000.00	1,995,116.00	0.750	0.914	0.927	02/16/2018	230
3137EAEC9	37307	FHLMC NOTES	12/30/2016	483,100.38	500,000.00	486,343.00	1.125	1.961	1.988	08/12/2021	1,503
3135G0R39	37308	FNMA NOTES	12/30/2016	1,482,773.17	1,500,000.00	1,483,381.50	1.000	1.488	1.508	10/24/2019	845
		<b>Subtotal and Average</b>		<b>3,963,681.06</b>	<b>4,000,000.00</b>	<b>3,964,840.50</b>		<b>1.257</b>	<b>1.274</b>		<b>615</b>
<b>Money Market</b>											
SYS33657	33657	BLACKROCK TREASURY LIQ FUND	09/30/2012	144,106.16	144,106.16	144,106.16	0.840	0.828	0.840		1
		<b>Subtotal and Average</b>		<b>144,106.16</b>	<b>144,106.16</b>	<b>144,106.16</b>		<b>0.828</b>	<b>0.840</b>		<b>1</b>
		<b>Total Investments and Average</b>		<b>4,107,787.22</b>	<b>4,144,106.16</b>	<b>4,108,946.66</b>		<b>1.242</b>	<b>1.259</b>		<b>593</b>

**Fund SJE - SAN JOSE- EVERGREEN**  
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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Municipal Bonds</b>											
011770Z56	35082	ALASKA ST	05/08/2014	214,988.85	200,000.00	215,144.00	5.000	1.252	1.270	08/01/2019	761
011770Z56	35083	ALASKA ST	05/08/2014	268,736.06	250,000.00	268,930.00	5.000	1.252	1.270	08/01/2019	761
041042UD2	34919	ARKANSAS ST	03/10/2014	505,473.77	500,000.00	504,815.00	5.000	0.562	0.570	10/01/2017	92
13063CSQ9	37543	CALIFORNIA ST	03/14/2017	575,335.16	500,000.00	586,450.00	5.000	1.844	1.870	08/01/2022	1,857
13063BFJ6	37573	CALIFORNIA ST	03/20/2017	444,334.64	400,000.00	442,612.00	5.250	2.661	2.698	03/01/2022	1,704
13063BAM4	37714	CALIFORNIA ST	03/08/2017	247,628.73	225,000.00	245,355.75	5.250	0.957	0.971	10/01/2021	1,553
20772JQ96	37546	Connecticut-F	03/09/2017	564,533.07	500,000.00	562,740.00	5.000	1.873	1.900	11/15/2021	1,598
246381AZ0	34859	DELAWARE ST	01/30/2014	750,000.00	750,000.00	750,000.00	5.000	0.552	0.560	07/01/2017	0
246380B65	34872	DELAWARE ST	02/07/2014	102,727.87	100,000.00	102,668.00	5.000	0.818	0.830	03/01/2018	243
34153P3H9	34972	FLORIDA STATE BOARD EDUCATION	04/01/2014	373,429.40	350,000.00	375,630.50	5.000	1.351	1.370	06/01/2019	700
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,167,837.66	1,065,000.00	1,183,694.25	5.000	1.588	1.610	07/01/2020	1,096
373384N28	34845	GEORGIA ST	01/22/2014	527,052.74	500,000.00	528,075.00	5.000	1.055	1.070	12/01/2018	518
373384YJ9	34915	GEORGIA ST	03/10/2014	376,821.98	350,000.00	376,813.50	5.000	1.035	1.050	07/01/2019	730
544525RT7	34702	LOS ANGELES CALIF DEPT WTR & P	12/05/2013	519,715.80	500,000.00	520,080.00	5.000	0.946	0.960	07/01/2018	365
544525RS9	34703	LOS ANGELES CALIF DEPT WTR & P	12/05/2013	500,000.00	500,000.00	500,000.00	5.000	0.602	0.610	07/01/2017	0
57582PK74	35081	MASSACHUSETTS ST	05/08/2014	563,533.94	530,000.00	565,722.00	5.000	1.242	1.260	04/01/2019	639
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	230,612.30	200,000.00	232,678.00	5.000	1.607	1.630	04/01/2022	1,735
574193HF8	34837	MARYLAND ST	01/22/2014	501,609.93	500,000.00	501,270.00	4.500	0.582	0.590	08/01/2017	31
5741925H9	34869	MARYLAND ST	02/05/2014	217,814.63	205,000.00	218,300.40	5.000	1.114	1.130	03/01/2019	608
5741927Y0	34870	MARYLAND ST	02/05/2014	106,395.28	100,000.00	106,639.00	5.000	1.114	1.130	03/15/2019	622
574193JK5	35122	MARYLAND ST	06/02/2014	467,709.96	440,000.00	468,547.20	5.000	1.095	1.110	03/01/2019	608
574193KQ0	35289	MARYLAND ST	08/21/2014	731,208.51	700,000.00	730,240.00	5.000	0.798	0.810	08/01/2018	396
604129P91	34860	MINNESOTA ST	01/30/2014	1,048,057.11	1,000,000.00	1,049,380.00	5.000	1.035	1.050	10/01/2018	457
6041294D5	35288	MINNESOTA ST	08/21/2014	221,031.91	200,000.00	222,898.00	5.000	1.410	1.430	08/01/2020	1,127
658256T77	34874	NORTH CAROLINA ST	02/07/2014	811,325.31	785,000.00	811,211.15	5.000	0.877	0.890	05/01/2018	304
658256B43	34900	NORTH CAROLINA ST	03/03/2014	122,356.67	115,000.00	122,461.20	5.000	1.035	1.050	03/01/2019	608
658256T93	35131	NORTH CAROLINA ST	06/05/2014	400,389.51	365,000.00	404,084.20	5.000	1.400	1.420	05/01/2020	1,035
644682F27	34961	NEW HAMPSHIRE ST	03/27/2014	760,028.40	840,000.00	873,314.40	5.000	1.045	1.060	07/01/2018	365
6775213J8	34836	OHIO ST	01/22/2014	780,028.40	750,000.00	760,222.50	3.000	0.936	0.949	03/01/2018	243
677521Q82	37526	OHIO ST	03/08/2017	348,282.28	305,000.00	349,731.30	5.000	1.509	1.530	10/01/2021	1,553
79771TJ57	34839	SAN FRANCISCO CITY & CNTY CA	01/23/2014	103,740.29	100,000.00	103,874.00	5.000	0.976	0.990	06/15/2018	349
797646XL5	34853	SAN FRANCISCO CITY & CNTY CA	01/28/2014	467,200.76	450,000.00	467,347.50	5.000	0.925	0.938	06/15/2018	349

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Municipal Bonds</b>											
882722D99	35100	TEXAS STATE REFUNDING	05/20/2014	171,830.19	170,000.00	171,662.60	5.000	0.631	0.640	10/01/2017	92
924258Z99	34946	VERMONT ST	03/21/2014	107,603.84	100,000.00	108,148.00	5.000	1.262	1.280	08/15/2019	775
93974CJH4	34840	WASHINGTON ST	01/23/2014	255,063.93	250,000.00	254,997.50	5.000	0.858	0.870	01/01/2018	184
93974CC65	37524	WASHINGTON ST	03/08/2017	570,238.25	500,000.00	571,010.00	5.000	1.352	1.371	07/01/2021	1,461
97705L4E4	34880	WISCONSIN STATE	02/13/2014	266,489.46	250,000.00	267,417.50	5.000	1.198	1.214	05/01/2019	669
97705L4E4	34881	WISCONSIN STATE	02/13/2014	106,654.47	100,000.00	106,967.00	5.000	1.169	1.185	05/01/2019	669
97705MFT7	37717	WISCONSIN STATE	03/29/2017	576,804.56	500,000.00	582,835.00	5.000	1.647	1.670	05/01/2022	1,765
<b>Subtotal and Average</b>				<b>17,166,869.65</b>	<b>16,145,000.00</b>	<b>17,213,966.45</b>		<b>1.162</b>	<b>1.179</b>		<b>718</b>
<b>Money Market - Tax Exempt</b>											
SYS33615	33615	Dreyfus Tax Exempt Inst	09/25/2012	2,928,607.35	2,928,607.35	2,928,607.35	0.640	0.631	0.640		<b>1</b>
<b>Subtotal and Average</b>				<b>2,928,607.35</b>	<b>2,928,607.35</b>	<b>2,928,607.35</b>		<b>0.631</b>	<b>0.640</b>		<b>1</b>
<b>Total Investments and Average</b>				<b>20,095,477.00</b>	<b>19,073,607.35</b>	<b>20,142,573.80</b>		<b>1.085</b>	<b>1.100</b>		<b>613</b>



**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**April 1, 2017 - June 30, 2017**  
**Sorted by Transaction Date - Transaction Date**  
**COMMINGLED POOL Fund**

Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
35799	COMM	3133EEWG1	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES			64,675.00	64,675.00
36313	COMM	13063CSQ4	CALIFORNIA ST FOR Interest		WELLS FARGO	CALIFORNIA ST			91,980.00	91,980.00
36731	COMM	13063CP87	CALIFORNIA ST FOR Interest		WELLS FARGO	CALIFORNIA ST			112,500.00	112,500.00
37523	COMM	65887PHS7	NORTH DAKOTA Interest		LOOP CAPITAL	North Dakota State F			12,500.00	12,500.00
37523	COMM	65887PHS7	NORTH DAKOTA Acrr Int		LOOP CAPITAL	North Dakota State F		10,763.89	-10,763.89	0.00
<b>Totals for 04/01/2017</b>								<b>10,763.89</b>	<b>270,891.11</b>	<b>281,655.00</b>
35873	COMM	3137EADM8	FEDERAL HOME LN Interest		Daiwa Capital Market	FHLMC NOTES			187,500.00	187,500.00
36322	COMM	3137EADM8	FEDERAL HOME LN Interest		KEYBANC CAPITAL	FHLMC NOTES			62,500.00	62,500.00
36332	COMM	3137EADM8	FEDERAL HOME LN Interest		KEYBANC CAPITAL	FHLMC NOTES			114,687.50	114,687.50
36337	COMM	3137EADM8	FEDERAL HOME LN Interest		KEYBANC CAPITAL	FHLMC NOTES			50,000.00	50,000.00
36340	COMM	3137EADM8	FEDERAL HOME LN Interest		KEYBANC CAPITAL	FHLMC NOTES			62,500.00	62,500.00
<b>Totals for 04/02/2017</b>									<b>477,187.50</b>	<b>477,187.50</b>
37645	COMM	313385DX1	FHND DISC NOTE Purchase		MIZUHO	FHNB DISCOUNT	249,995,485.00			-249,995,485.00
37638	COMM	313589DX8	FHND DISC NOTE Purchase		UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37648	COMM	36960LR49	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37630	COMM	313385DW3	FHND DISC NOTE Redemption		MIZUHO	FHNB DISCOUNT	75,000,000.00			75,000,000.00
37631	COMM	313385DW3	FHND DISC NOTE Redemption		MIZUHO	FHNB DISCOUNT	50,000,000.00			50,000,000.00
37635	COMM	313589DW0	FHND DISC NOTE Redemption		UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
<b>Totals for 04/03/2017</b>							<b>599,988,262.79</b>	<b>325,000,000.00</b>		<b>-274,988,262.79</b>
37643	COMM	313385DY9	FHND DISC NOTE Purchase		MIZUHO	FHNB DISCOUNT	249,995,277.50			-249,995,277.50
37646	COMM	313589DY6	FHND DISC NOTE Purchase		UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37642	COMM	36960LR56	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37638	COMM	313589DX8	FHND DISC NOTE Redemption		UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37645	COMM	313385DX1	FHND DISC NOTE Redemption		MIZUHO	FHNB DISCOUNT	250,000,000.00			250,000,000.00
37648	COMM	36960LR49	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
34292	COMM	SYS34292	MSTI 0.0% Interest			MORGAN STANLEY			138,302.31	138,302.31
34292	COMM	SYS34292	MSTI 0.0% Purchase		JPMorganChase	MORGAN STANLEY	138,302.31			-138,302.31
37590	COMM	SYS37590	JPM TE 0.44% Interest		JPMorganChase	JPM TE MMK FD			86,798.05	86,798.05
37590	COMM	SYS37590	JPM TE 0.44% Purchase		JPMorganChase	JPM TE MMK FD	86,798.05			-86,798.05
<b>Totals for 04/04/2017</b>							<b>600,213,155.65</b>	<b>600,000,000.00</b>	<b>225,100.36</b>	<b>11,944.71</b>
37653	COMM	313385DZ6	FHND DISC NOTE Purchase		MIZUHO	FHNB DISCOUNT	249,995,140.00			-249,995,140.00
37656	COMM	3130A7CX1	FEDERAL HOME Purchase		MIZUHO	FHNB NOTES	24,958,722.22			-24,958,722.22

**SANTA CLARA COUNTY INVESTMENTS**  
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Investment #	Fund	CUSIP	Inv Descr	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37644	COMM	313589DZ3	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37655	COMM	36960LR64	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,777.78			-99,997,777.78
37654	COMM	36164JR63	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,888.89			-49,998,888.89
37642	COMM	36960LR56	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00	150,000,000.00		150,000,000.00
37643	COMM	313385DY9	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00	250,000,000.00		250,000,000.00
37646	COMM	313589DY6	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00	200,000,000.00		200,000,000.00
36258	COMM	459058ER0	IBRD 1.1% MAT	Interest	Daiwa Capital Market	INTL BANK RECON &			75,000.00	75,000.00
36259	COMM	459058ER0	IBRD 1.1% MAT	Interest	MERRILL LYNCH	INTL BANK RECON &			125,000.00	125,000.00
<b>Totals for 04/05/2017</b>							<b>624,946,640.01</b>	<b>600,000,000.00</b>	<b>200,000.00</b>	<b>-24,746,640.01</b>
37639	COMM	3133EHEZ2	FEDERAL FARM CR	Purchase	INCAPITAL LLC	FFCB NOTES	15,000,000.00			-15,000,000.00
37658	COMM	313385EA0	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,995,140.00			-249,995,140.00
37651	COMM	313589EA7	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37657	COMM	36960LR72	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37662	COMM	21687AR70	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37644	COMM	313589DZ3	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00	200,000,000.00		200,000,000.00
37653	COMM	313385DZ6	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00	250,000,000.00		250,000,000.00
37654	COMM	36164JR63	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00	50,000,000.00		50,000,000.00
37655	COMM	36960LR64	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00	100,000,000.00		100,000,000.00
36679	COMM	3130A7PU3	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			60,000.00	60,000.00
<b>Totals for 04/06/2017</b>							<b>714,985,417.79</b>	<b>600,000,000.00</b>	<b>60,000.00</b>	<b>-114,925,417.79</b>
37664	COMM	13606BFD3	CIBC 1.38% MAT	Purchase	JPMorganChase	CANADIAN IMP BK	50,000,000.00			-50,000,000.00
37671	COMM	313385ED4	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,985,000.00			-249,985,000.00
37660	COMM	313589ED1	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,988,333.32			-199,988,333.32
37672	COMM	36164JRA4	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,990,000.01			-149,990,000.01
37673	COMM	21687ARA3	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,992,500.00			-99,992,500.00
37732	COMM	89113WU98	TDNY 1.37% MAT	Purchase	BANK OF AMERICA	TORONTO	50,001,896.82			-50,001,896.82
37651	COMM	313589EA7	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00	200,000,000.00		200,000,000.00
37657	COMM	36960LR72	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00	150,000,000.00		150,000,000.00
37658	COMM	313385EA0	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00	250,000,000.00		250,000,000.00
37662	COMM	21687AR70	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00	100,000,000.00		100,000,000.00
37590	COMM	SYS37590	JPM TE 0.44%	Redemption	JPMorganChase	JPM TE MMK FD	150,000,000.00	150,000,000.00		150,000,000.00
<b>Totals for 04/07/2017</b>							<b>799,957,730.15</b>	<b>850,000,000.00</b>		<b>50,042,269.85</b>
37677	COMM	313385EE2	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,995,140.00			-249,995,140.00
37670	COMM	313589EE9	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37783	COMM	3135G0T45	FEDERAL NATL MTGP	Purchase	JPMorganChase	FNMA NOTES	39,820,400.00			-39,820,400.00
37678	COMM	36164JR62	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37678	COMM	21687ARB1	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	175,000,000.00			-175,000,000.00
37660	COMM	313589ED1	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00	200,000,000.00		200,000,000.00

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Run Date: 07/18/2017 - 12:34

**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**Sorted by Transaction Date - Transaction Date**

Investment #	Fund	CUSIP	Inv Descr	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37671	COMM	313385ED4	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37672	COMM	36164JRA4	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37673	COMM	21687ARA3	RB DISC NOTE MAT	Redemption	JP Morgan Chase	RABOBANK	100,000,000.00			100,000,000.00
36352	COMM	459058DNO	IBRD 1.375% MAT	Interest	DEUTSCHE BANK	INTL BANK RECON &	137,600.00			137,600.00
<b>Totals for 04/10/2017</b>							<b>914,805,817.79</b>	<b>700,000,000.00</b>	<b>137,600.00</b>	<b>-214,668,217.79</b>
37686	COMM	03785DUU8	AAFL DISC NOTE	Purchase	BANK OF AMERICA	APPLE INC	59,829,000.00			-59,829,000.00
37684	COMM	313385EF9	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,995,000.00			-249,995,000.00
37695	COMM	313589EF6	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37682	COMM	36960LRC1	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,888.89			-49,998,888.89
37681	COMM	36164JRC0	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,777.78			-99,997,777.78
37690	COMM	46640QA50	JPMSCC ZERO CPN	Purchase	JP Morgan Chase	JP Morgan Securities	49,469,472.22			-49,469,472.22
37687	COMM	59515MTV7	MSFT DISC NOTE	Purchase	CITIGROUP	GLOBAL MICROSOFT CORP	49,903,444.44			-49,903,444.44
37685	COMM	21687ARC9	RB DISC NOTE MAT	Purchase	JP Morgan Chase	RABOBANK	99,997,500.00			-99,997,500.00
37691	COMM	89233HA46	TOYO ZERO CPN	Purchase	TOYOTA MOTOR	TOYOTA MOTOR	59,397,000.00			-59,397,000.00
37688	COMM	30229AU64	EX DISC NOTE MAT	Purchase	CITIGROUP	GLOBAL Exxon Mobil Group	49,898,472.22			-49,898,472.22
37670	COMM	313589EE9	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37677	COMM	313385EE2	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37678	COMM	21687ARB1	RB DISC NOTE MAT	Redemption	JP Morgan Chase	RABOBANK	100,000,000.00			100,000,000.00
37679	COMM	36164JRB2	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
<b>Totals for 04/11/2017</b>							<b>968,482,666.67</b>	<b>700,000,000.00</b>		<b>-268,482,666.67</b>
37697	COMM	313385EG7	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37683	COMM	313589EG4	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37699	COMM	36164JRD8	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37663	COMM	4581XOCX4	IADB 1.625% MAT	Purchase	ROYAL BANK OF	IADB	34,917,050.00			-34,917,050.00
37700	COMM	21687ARD7	RB DISC NOTE MAT	Purchase	JP Morgan Chase	RABOBANK	99,997,500.00			-99,997,500.00
37681	COMM	36164JRC0	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37682	COMM	36960LRC1	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
37684	COMM	313385EF9	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37685	COMM	21687ARC9	RB DISC NOTE MAT	Redemption	JP Morgan Chase	RABOBANK	100,000,000.00			100,000,000.00
37695	COMM	313589EF6	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
<b>Totals for 04/12/2017</b>							<b>734,902,120.29</b>	<b>700,000,000.00</b>		<b>-34,902,120.29</b>
37665	COMM	3133EHFL2	FEDERAL FARM CR	Purchase	Daiwa Capital Market	FFCB NOTES	14,994,255.00			-14,994,255.00
37666	COMM	3133EHFL2	FEDERAL FARM CR	Purchase	DEUTSCHE BANK	FFCB NOTES	9,996,900.00			-9,996,900.00
37667	COMM	3133EHFL2	FEDERAL FARM CR	Purchase	INCAPITAL LLC	FFCB NOTES	9,996,900.00			-9,996,900.00
37707	COMM	313385EL6	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,978,890.00			-249,978,890.00
37711	COMM	313382K69	FEDERAL HOME	Purchase	KEYBANC CAPITAL	FHLB NOTES	25,933,880.58			-25,933,880.58
37698	COMM	313589EL3	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,984,444.44			-199,984,444.44
37708	COMM	36960LRH0	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,666.68			-149,986,666.68
37683	COMM	313589EG4	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00

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Investment #	Fund	CUSIP	Inv Descip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37697	COMM	313385EG7	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00	250,000,000.00		250,000,000.00
37699	COMM	36164JRD8	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00	150,000,000.00		150,000,000.00
37700	COMM	21687ARD7	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00	100,000,000.00		100,000,000.00
36688	COMM	3133EF2L0	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			140,000.00	140,000.00
36689	COMM	3133EF2L0	FEDERAL FARM CR	Interest	Daiwa Capital Market	FFCB NOTES			105,000.00	105,000.00
36690	COMM	3133EF2L0	FEDERAL FARM CR	Interest	CANTOR	FFCB NOTES			105,000.00	105,000.00
<b>Totals for 04/13/2017</b>							<b>660,871,936.70</b>	<b>700,000,000.00</b>	<b>350,000.00</b>	<b>39,478,063.30</b>
8506	COMM	SYS8506	LAIF 1.65%	Purchase		LOCAL AGENCY	77,098.93			-77,098.93
37234	COMM	3130AAF52	FHLBVR 0.56% MAT	Interest	DEUTSCHE BANK	FHLB VARIABLE			32,550.00	32,550.00
8506	COMM	SYS8506	LAIF 1.65%	Interest		LOCAL AGENCY			77,098.93	77,098.93
<b>Totals for 04/14/2017</b>							<b>77,098.93</b>		<b>109,648.93</b>	<b>32,550.00</b>
36753	COMM	89231UAD9	TOYO 1.3% MAT	Interest	CITIGROUP GLOBAL	TOYOTA AUTO REC			4,333.33	4,333.33
35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBAL	JOHN DEERE			5,967.26	5,967.26
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL	JOHN DEERE	482,569.02	482,569.02		482,569.02
35953	COMM	161571GY4	CHAIT 1.36% MAT	Interest	JPMorganChase	CHASE ISSUANCE			28,333.33	28,333.33
35953	COMM	161571GY4	CHAIT 1.36% MAT	Redemption	JPMorganChase	CHASE ISSUANCE	757,179.84	757,179.84		757,179.84
36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE			6,900.00	6,900.00
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE	200,074.58	200,074.58		200,074.58
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			6,700.00	6,700.00
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO	150,116.24	150,116.24		150,116.24
36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			11,900.00	11,900.00
36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE	300,404.82	300,404.82		300,404.82
36601	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC			15,625.01	15,625.01
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC	429,851.19	429,851.19		429,851.19
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL	MERCEDES-BENZ			29,133.33	29,133.33
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ	992,669.22	992,669.22		992,669.22
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO			13,900.00	13,900.00
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO	339,241.92	339,241.92		339,241.92
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			9,114.58	9,114.58
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE	231,388.60	231,388.60		231,388.60
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.67	24,341.67
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE	460,671.23	460,671.23		460,671.23
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			14,350.00	14,350.00
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC	332,341.50	332,341.50		332,341.50
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			5,625.00	5,625.00
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ	174,570.04	174,570.04		174,570.04
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			18,071.94	18,071.94
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE	168,736.17	168,736.17		168,736.17
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC		202,968.92		202,968.92
<b>Totals for 04/15/2017</b>										
37720	COMM	313385EM4	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,995,000.00			-249,995,000.00
37705	COMM	313589EM1	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37719	COMM	36960LRJ6	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37721	COMM	21687ARJ4	RB DISC NOTE MAT	Purchase	JP MorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37702	COMM	89236TDU6	TOYOTA MOTOR	Purchase	BANK OF AMERICA	TOYOTA MOTOR	4,997,700.00			-4,997,700.00
37723	COMM	90333VTG5	USBKMN 1.2% MAT	Purchase	U S BANK	US BANK	50,000,000.00			-50,000,000.00
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JP MorganChase	JPM TE MMK FD	50,000,000.00			-50,000,000.00
37698	COMM	313599EL3	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37707	COMM	313385EL6	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37708	COMM	36960LRH0	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37049	COMM	3133EGYN9	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			23,500.00	23,500.00
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES -BENZ			12,600.00	12,600.00
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES -BENZ		262,970.92		262,970.92
<b>Totals for 04/17/2017</b>							<b>804,982,977.79</b>	<b>600,262,970.92</b>	<b>36,100.00</b>	<b>-204,683,908.87</b>
37728	COMM	06052TXD7	BAC 1.37% MAT	Purchase	BANK OF AMERICA	BANK OF AMERICA	60,000,000.00			-60,000,000.00
37730	COMM	313385EN2	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,995,000.00			-249,995,000.00
37718	COMM	313589EN9	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37729	COMM	36960LRK3	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37727	COMM	21687ARK1	RB DISC NOTE MAT	Purchase	JP MorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JP MorganChase	JPM TE MMK FD	50,000,000.00			-50,000,000.00
37705	COMM	313589EM1	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37719	COMM	36960LRJ6	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37720	COMM	313385EM4	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37721	COMM	21687ARJ4	RB DISC NOTE MAT	Redemption	JP MorganChase	RABOBANK	100,000,000.00			100,000,000.00
36129	COMM	3133XQSE3	FEDERAL HOME	Interest	BREAN CAPITAL	LLCFHLB NOTES			102,750.00	102,750.00
36683	COMM	3133EF3B1	FEDERAL FARM CR	Interest	MERRILL LYNCH	FFCB NOTES			131,250.00	131,250.00
37057	COMM	89236TDH5	TOYOTA MOTOR	Interest	JP MorganChase	HONDA AUTO			38,750.00	38,750.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JP MorganChase	HONDA AUTO			14,233.34	14,233.34
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JP MorganChase	HONDA AUTO	449,424.21			449,424.21
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			11,595.83	11,595.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO		261,225.76		261,225.76
<b>Totals for 04/18/2017</b>							<b>809,985,277.79</b>	<b>700,710,649.97</b>	<b>298,579.17</b>	<b>-108,976,048.65</b>
37736	COMM	313385EP7	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37726	COMM	313378CR0	FHLB 2.25% MAT	Purchase	KEYBANC CAPITAL	FHLB NOTES	25,561,375.00			-25,561,375.00
37731	COMM	313589EP4	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37738	COMM	36960LRL1	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37739	COMM	21687ARL9	RB DISC NOTE MAT	Purchase	JP MorganChase	RABOBANK	99,997,500.00			-99,997,500.00

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37718	COMM	313589EN9	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37727	COMM	21687ARK1	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00			100,000,000.00
37729	COMM	36960LRK3	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37730	COMM	313385EN2	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
<b>Totals for 04/19/2017</b>							<b>725,546,445.29</b>	<b>700,000,000.00</b>		<b>-25,546,445.29</b>
37744	COMM	313385EQ5	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,860.00			-249,994,860.00
37835	COMM	3137EAEF2	FHLMC 1.375% MAT	Purchase	CITIGROUP	GLOBAL FLMC NOTES	44,846,100.00			-44,846,100.00
37737	COMM	313589EQ2	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37752	COMM	36960LRM9	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37751	COMM	21687ARM7	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
34913	COMM	3135G0ZB2	FEDERAL NATL MTG	Redemption	CITIGROUP	GLOBAL FNMA NOTES	10,000,000.00			10,000,000.00
37731	COMM	313589EP4	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37736	COMM	313385EP7	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37738	COMM	36960RL1	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37739	COMM	21687ARL9	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00			100,000,000.00
34913	COMM	3135G0ZB2	FEDERAL NATL MTG	Interest	CITIGROUP	GLOBAL FNMA NOTES			37,500.00	37,500.00
36323	COMM	3133EFKM8	FEDERAL FARM CR	Interest	DEUTSCHE BANK	FFCB NOTES			53,250.00	53,250.00
37265	COMM	3133EGX67	FFCB 0.859% MAT	Interest	INCAPITAL LLC	FFCB NOTES			33,102.45	33,102.45
35064	COMM	92867RAC3	VALET 0.91% MAT	Interest	JPMorganChase	VOLKSWAGEN			1,826.71	1,826.71
35064	COMM	05581QAD0	BMWLT 1.4% MAT	Interest	JPMorganChase	BMW VEHICLE		775,876.42		775,876.42
36274	COMM	05581RAD8	BMWLT 1.4% MAT	Redemption	CREDIT SUISSE	BMW VEHICLE		1,375,255.02		1,375,255.02
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Interest	MERRILL LYNCH	BMW VEHICLE		888,505.01		888,505.01
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE			8,341.67	8,341.67
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE		236,103.32		236,103.32
<b>Totals for 04/20/2017</b>							<b>744,831,237.79</b>	<b>713,275,739.77</b>	<b>183,537.50</b>	<b>-31,371,960.52</b>
37725	COMM	3133EHGA5	FEDERAL FARM CR	Purchase	INCAPITAL LLC	FFCB NOTES	25,008,250.00			-25,008,250.00
37745	COMM	313385ET9	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,984,582.50			-249,984,582.50
37750	COMM	313589ET6	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,988,333.32			-199,988,333.32
37749	COMM	36960LRQ0	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,990,000.01			-149,990,000.01
37748	COMM	21687ARQ8	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,992,500.00			-99,992,500.00
35034	COMM	931142DN0	WAL-MART STORES	Redemption	CITIGROUP	GLOBAL WALMART		10,000,000.00		10,000,000.00
35269	COMM	931142DN0	WAL-MART STORES	Redemption	JEFFERIES & CO.	WALMART		9,000,000.00		9,000,000.00
37737	COMM	313589EQ2	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37744	COMM	313385EQ5	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37751	COMM	21687ARM7	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00			100,000,000.00
37752	COMM	36960LRM9	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
35034	COMM	931142DN0	WAL-MART STORES	Interest	CITIGROUP	GLOBAL WALMART			50,000.00	50,000.00

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35269	COMM	931142DN0	WAL-MART STORES Interest	Interest	JEFFERIES & CO.	WALMART			45,000.00	45,000.00
35986	COMM	43813NAC0	HAROT 1.04% MAT	Interest	JP MorganChase	HONDA AUTO			4,257.32	4,257.32
35986	COMM	43813NAC0	HAROT 1.04% MAT	Redemption	JP MorganChase	HONDA AUTO		492,104.00		492,104.00
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA	HONDA AUTO			18,131.67	18,131.67
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	HONDA AUTO		403,745.06		403,745.06
<b>Totals for 04/21/2017</b>							<b>724,963,665.83</b>	<b>719,895,849.06</b>	<b>117,388.99</b>	<b>-4,950,427.78</b>
37746	COMM	3133EHGE7	FEDERAL FARM CR	Purchase	MIZUHO	FCB NOTES	26,503,325.10			-26,503,325.10
37754	COMM	313385EU6	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37641	COMM	3130AB3T1	FEDERAL HOME	Purchase	INCAPITAL LLC	FHLB NOTES	20,000,000.00			-20,000,000.00
37747	COMM	313589EU3	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37756	COMM	36164JRR7	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37755	COMM	21687ARR6	RABO DISC NOTE	Purchase	JP MorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37745	COMM	313385ET9	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37748	COMM	21687ARQ8	RB DISC NOTE MAT	Redemption	JP MorganChase	RABOBANK	100,000,000.00			100,000,000.00
37749	COMM	36960LRQ0	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37750	COMM	313589ET6	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
<b>Totals for 04/24/2017</b>							<b>746,488,395.39</b>	<b>700,000,000.00</b>		<b>-46,488,395.39</b>
37765	COMM	313385EV4	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37767	COMM	313385KZ8	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	44,860,937.40			-44,860,937.40
37757	COMM	313589EV1	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,995,833.33			-199,995,833.33
37766	COMM	31315LSK5	FRMDN ZERO CPN	Purchase	MIZUHO	FARMER MAC	20,827,485.00			-20,827,485.00
37763	COMM	36164JRS5	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37747	COMM	313589EU3	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37754	COMM	313385EU6	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37755	COMM	21687ARR6	RABO DISC NOTE	Redemption	JP MorganChase	RABOBANK	100,000,000.00			100,000,000.00
37756	COMM	36164JRR7	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
35684	COMM	3130A4GJ5	FEDERAL HOME	Interest	DEUTSCHE BANK	FHLB NOTES			112,500.00	112,500.00
35685	COMM	3130A4GJ5	FEDERAL HOME	Interest	MERRILL LYNCH	FHLB NOTES			67,500.00	67,500.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Interest	JP MorganChase	BMW VEHICLE			9,666.67	9,666.67
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Redemption	JP MorganChase	BMW VEHICLE		237,370.93		237,370.93
<b>Totals for 04/25/2017</b>							<b>665,675,714.90</b>	<b>700,237,370.93</b>	<b>189,666.67</b>	<b>34,751,322.70</b>
37771	COMM	313385EW2	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37621	COMM	3134GBEW8	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLB NOTES	25,000,000.00			-25,000,000.00
37668	COMM	3134GBEW8	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLB NOTES	8,000,000.00			-8,000,000.00
37741	COMM	3134GBUJ2	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLB NOTES	10,000,000.00			-10,000,000.00
37742	COMM	3134GBUJ2	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLB NOTES	25,000,000.00			-25,000,000.00
37764	COMM	313589EW9	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37769	COMM	36164JRT3	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37772	COMM	21687ART2	RB DISC NOTE MAT	Purchase	JP MorganChase	RABOBANK	99,997,500.00			-99,997,500.00

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37757	COMM	313589EV1	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		200,000,000.00		200,000,000.00
37763	COMM	36164JRS5	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37765	COMM	313385EV4	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
36267	COMM	3130A6LZ8	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES			34,375.00	34,375.00
36721	COMM	3134G9DD6	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			69,000.00	69,000.00
36722	COMM	3134G8YU7	FEDERAL HOME LN	Interest	JPMorganChase	FHLMC NOTES			131,250.00	131,250.00
36726	COMM	3133EF4Y0	FEDERAL FARM CR	Interest	DEUTSCHE BANK	FFCB NOTES			134,275.00	134,275.00
<b>Totals for 04/26/2017</b>							<b>767,985,070.29</b>	<b>600,000,000.00</b>	<b>368,900.00</b>	<b>-167,616,170.29</b>
37775	COMM	313385EX0	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37777	COMM	313385NQ5	FHDN ZERO CPN	Purchase	MIZUHO	FHLB DISCOUNT	24,873,416.67			-24,873,416.67
37669	COMM	3134GBGZ9	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMC NOTES	15,000,000.00			-15,000,000.00
37696	COMM	3134GBEF5	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMC NOTES	3,640,000.00			-3,640,000.00
37770	COMM	313589EX7	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37776	COMM	36164JRU0	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37774	COMM	21687ARU9	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37379	COMM	3134GAN59	FEDERAL HOME LN	Redemption	BARCLAYS CAPITAL	FHLMC NOTES		30,000,000.00		30,000,000.00
37764	COMM	313589EW9	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		200,000,000.00		200,000,000.00
37769	COMM	36164JRT3	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37771	COMM	313385EW2	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
37772	COMM	21687ART2	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK		100,000,000.00		100,000,000.00
36725	COMM	3134G8XA2	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			50,000.00	50,000.00
37379	COMM	3134GAN59	FEDERAL HOME LN	Interest	BARCLAYS CAPITAL	FHLMC NOTES			135,000.00	135,000.00
<b>Totals for 04/27/2017</b>							<b>743,498,486.96</b>	<b>730,000,000.00</b>	<b>185,000.00</b>	<b>-13,313,486.96</b>
37779	COMM	313385FA9	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,985,832.50			-249,985,832.50
37778	COMM	313378J77	FEDERAL HOME	Purchase	KEYBANC CAPITAL	FHLB NOTES	14,166,092.50			-14,166,092.50
37703	COMM	3134GBHF2	FEDERAL HOME LN	Purchase	BARCLAYS CAPITAL	FHLMC NOTES	40,000,000.00			-40,000,000.00
37773	COMM	313589FA6	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,988,333.32			-199,988,333.32
37781	COMM	36164JS13	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,990,000.01			-149,990,000.01
37770	COMM	313589EX7	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		200,000,000.00		200,000,000.00
37774	COMM	21687ARU9	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK		100,000,000.00		100,000,000.00
37775	COMM	313385EX0	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
37776	COMM	36164JRU0	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36729	COMM	3134G9AV9	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			93,750.00	93,750.00
36730	COMM	3134G9AV9	FEDERAL HOME LN	Interest	WELLS FARGO	FHLMC NOTES			26,562.50	26,562.50
36773	COMM	90331HML4	USB 2.125% MAT	Interest	BARCLAYS CAPITAL	U S BANK			208,133.13	208,133.13
<b>Totals for 04/28/2017</b>							<b>654,130,258.33</b>	<b>700,000,000.00</b>	<b>328,445.63</b>	<b>46,198,187.30</b>
37790	COMM	313385FB7	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37780	COMM	313589FB4	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37792	COMM	36164JS21	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67

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37791	COMM	21687AS20	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37773	COMM	313589FA6	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		200,000,000.00		200,000,000.00
37779	COMM	313385FA9	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
37781	COMM	36164JS13	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
34292	COMM	SYS34292	MSTI 0.0%	Redemption		MORGAN STANLEY		100,000,000.00		100,000,000.00
37590	COMM	SYS37590	JPM TE 0.44%	Redemption	JPMorganChase	JPM TE MMK FD		100,000,000.00		100,000,000.00
34650	COMM	13063CFC9	CITIF 1.75% MAT	Interest	CITIGROUP GLOBAL	CALIFORNIA ST			87,500.00	87,500.00
34729	COMM	13063CFC9	CITIF 1.75% MAT	Interest	CITIGROUP GLOBAL	CALIFORNIA ST			79,493.75	79,493.75
35534	COMM	13063CPN4	CALIFORNIA ST FOR	Interest	CITIGROUP GLOBAL	CALIFORNIA ST			77,812.50	77,812.50
35940	COMM	3133EEE71	FEDERAL FARM CR	Interest	RAYMOND JAMES &	FFCB NOTES			23,000.00	23,000.00
35941	COMM	3133EEE71	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			23,000.00	23,000.00
36390	COMM	13063CXU9	CALIFORNIA ST FOR	Interest	WELLS FARGO	CALIFORNIA ST			25,000.00	25,000.00
<b>Totals for 05/01/2017</b>							<b>699,985,070.29</b>	<b>800,000,000.00</b>	<b>315,806.25</b>	<b>100,330,735.96</b>
37787	COMM	313385FC5	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37789	COMM	313589FC2	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37785	COMM	36960LS30	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,888.89			-49,998,888.89
37786	COMM	36164JS39	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,777.78			-99,997,777.78
37784	COMM	21687AS38	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37780	COMM	313589FB4	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		200,000,000.00		200,000,000.00
37790	COMM	313385FB7	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
37791	COMM	21687AS20	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK		100,000,000.00		100,000,000.00
37792	COMM	36164JS21	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36492	COMM	3133EFMU8	FEDERAL FARM CR	Interest	DEUTSCHE BANK	FFCB NOTES			63,175.00	63,175.00
34292	COMM	SYS34292	MSTI 0.0%	Interest		MORGAN STANLEY			160,295.31	160,295.31
34292	COMM	SYS34292	MSTI 0.0%	Purchase		MORGAN STANLEY	160,295.31			-160,295.31
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD			148,553.43	148,553.43
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	148,553.43			-148,553.43
<b>Totals for 05/02/2017</b>							<b>700,293,919.03</b>	<b>700,000,000.00</b>	<b>372,023.74</b>	<b>78,104.71</b>
37795	COMM	313313TJ7	FCDN ZERO CPN	Purchase	MIZUHO	FFCB DISCOUNT	14,864,025.00			-14,864,025.00
37761	COMM	3133EHHG1	FFCB 1.75% MAT	Purchase	INCAPITAL LLC	FFCB NOTES	5,000,000.00			-5,000,000.00
37762	COMM	3133EHHG1	FFCB 1.75% MAT	Purchase	Daiwa Capital Market	FFCB NOTES	10,000,000.00			-10,000,000.00
37799	COMM	313385FD3	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37788	COMM	313589FD0	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37797	COMM	36960LS48	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,888.89			-49,998,888.89
37796	COMM	36164JS47	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,777.78			-99,997,777.78
37798	COMM	21687AS46	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37784	COMM	21687AS38	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK		100,000,000.00		100,000,000.00
37785	COMM	36960LS30	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
37786	COMM	36164JS39	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00

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37787	COMM	313385FC5	FHDN DISC NOTE	Redemption	MIZUHO	FHLD DISCOUNT				
37789	COMM	313589FC2	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	250,000,000.00			250,000,000.00
36329	COMM	594918BF0	MSFT 1.3% MAT	Interest	JPMorganChase	MICROSOFT CORP	200,000,000.00		130,000.00	200,000,000.00
<b>Totals for 05/03/2017</b>							<b>729,849,095.29</b>	<b>700,000,000.00</b>	<b>130,000.00</b>	<b>-29,719,095.29</b>
37800	COMM	313385FE1	FHDN DISC NOTE	Purchase	MIZUHO	FHLD DISCOUNT	249,994,792.50			-249,994,792.50
37803	COMM	313589FE8	FHDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,996,111.12			-199,996,111.12
37809	COMM	36960LS55	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,888.89			-49,998,888.89
37808	COMM	36164JS54	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,777.78			-99,997,777.78
37805	COMM	21687AS53	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37788	COMM	313589FD0	FHDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37796	COMM	36164JS47	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37797	COMM	36960LS48	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
37798	COMM	21687AS46	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00			100,000,000.00
37799	COMM	313385FD3	FHDN DISC NOTE	Redemption	MIZUHO	FHLD DISCOUNT	250,000,000.00			250,000,000.00
37590	COMM	SYS37590	JPM TE 0.44%	Redemption	JPMorganChase	JPM TE MMK FD	60,000,000.00			60,000,000.00
<b>Totals for 05/04/2017</b>							<b>699,985,070.29</b>	<b>760,000,000.00</b>		<b>60,014,929.71</b>
37813	COMM	313385FH4	FHDN DISC NOTE	Purchase	MIZUHO	FHLD DISCOUNT	249,984,375.00			-249,984,375.00
37802	COMM	313589FH1	FHDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	199,988,333.32			-199,988,333.32
37810	COMM	36960LS89	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,993,333.34			-99,993,333.34
37806	COMM	36164JX9	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,836,111.11			-49,836,111.11
37811	COMM	36164JS88	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,996,666.67			-49,996,666.67
37812	COMM	21687AS87	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,992,500.00			-99,992,500.00
37800	COMM	313385FE1	FHDN DISC NOTE	Redemption	MIZUHO	FHLD DISCOUNT	250,000,000.00			250,000,000.00
37803	COMM	313589FE8	FHDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37805	COMM	21687AS53	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00			100,000,000.00
37808	COMM	36164JS54	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37809	COMM	36960LS55	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
34292	COMM	SYS34292	MSTI 0.0%	Redemption		MORGAN STANLEY	50,000,000.00			50,000,000.00
<b>Totals for 05/05/2017</b>							<b>749,791,319.44</b>	<b>750,000,000.00</b>		<b>208,680.56</b>
37793	COMM	313385HJ2	FEDERAL FARM CR	Purchase	JPMorganChase	FFCB NOTES	34,988,800.00			-34,988,800.00
37818	COMM	313385FJ0	FHDN DISC NOTE	Purchase	MIZUHO	FHLD DISCOUNT	249,994,860.00			-249,994,860.00
37815	COMM	313589FJ7	FNDN DISC NOTE	Purchase	UBS FINANCE	Fannie Mae	99,998,055.56			-99,998,055.56
37802	COMM	313589FH1	FHDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	200,000,000.00			200,000,000.00
37810	COMM	36960LS89	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37811	COMM	36164JS88	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
37812	COMM	21687AS87	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00			100,000,000.00
37813	COMM	313385FH4	FHDN DISC NOTE	Redemption	MIZUHO	FHLD DISCOUNT	250,000,000.00			250,000,000.00
<b>Totals for 05/08/2017</b>							<b>384,981,715.56</b>	<b>700,000,000.00</b>		<b>315,018,284.44</b>

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37821	COMM	313385FK7	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37819	COMM	313589FK4	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37815	COMM	313589FJ7	FNMDN DISC NOTE	Redemption	UBS FINANCE	Fannie Mae		100,000,000.00		100,000,000.00
37818	COMM	313385FJ0	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
36355	COMM	166764BC3	CHEVRON CORP	Interest	JP MorganChase	CHEVRON CORP.			67,200.00	67,200.00
<b>Totals for 05/09/2017</b>							<b>349,992,848.06</b>	<b>350,000,000.00</b>	<b>67,200.00</b>	<b>74,351.94</b>
37823	COMM	313385FL5	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37822	COMM	313589FL2	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37819	COMM	313589FK4	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37821	COMM	313385FK7	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
<b>Totals for 05/10/2017</b>							<b>349,992,848.06</b>	<b>350,000,000.00</b>		<b>7,151.94</b>
37826	COMM	313385FM3	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37824	COMM	313589FM0	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37822	COMM	313589FL2	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37823	COMM	313385FL5	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
35965	COMM	3133EEJ50	FEDERAL FARM CR	Interest	JP MorganChase	FFCB NOTES			25,750.00	25,750.00
<b>Totals for 05/11/2017</b>							<b>349,992,848.06</b>	<b>350,000,000.00</b>	<b>25,750.00</b>	<b>32,901.94</b>
37828	COMM	313385FQ4	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,984,375.00			-249,984,375.00
37827	COMM	313589FQ1	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,994,166.66			-99,994,166.66
35970	COMM	037833BB5	AAPL 0.9% MAT	Redemption	MERRILL LYNCH	APPLE INC		3,000,000.00		3,000,000.00
37824	COMM	313589FM0	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37826	COMM	313385FM3	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
35970	COMM	037833BB5	AAPL 0.9% MAT	Interest	MERRILL LYNCH	APPLE INC			13,425.00	13,425.00
<b>Totals for 05/12/2017</b>							<b>349,978,541.66</b>	<b>353,000,000.00</b>	<b>13,425.00</b>	<b>3,034,883.34</b>
37831	COMM	313385FR2	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37829	COMM	313589FR9	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37827	COMM	313589FQ1	FHDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37828	COMM	313385FQ4	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
35427	COMM	166764AL4	CHEVRON CORP	Interest	MERRILL LYNCH	CHEVRON CORP.			201,750.00	201,750.00
35763	COMM	91412GWW3	UNIVCA 1.169% MAT	Interest	MORGAN STANLEY	UNIVERSITY			2,503.75	2,503.75
36710	COMM	91412GD36	TOYO 1.3% MAT	Interest	WELLS FARGO	UNIVERSITY			17,535.00	17,535.00
36753	COMM	89231UAD9	FHLB 1.375% MAT	Interest	CITIGROUP GLOBAL TOYOTA AUTO REC				4,333.33	4,333.33
37108	COMM	3130AA3R7	FHLBVR 0.56% MAT	Interest	BANK OF AMERICA	FHLB NOTES			237,951.39	237,951.39
37234	COMM	3130AAF52	FHLBVR 0.56% MAT	Interest	DEUTSCHE BANK	FHLB VARIABLE			35,791.65	35,791.65
35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBAL JOHN DEERE				5,443.04	5,443.04
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL JOHN DEERE					
35953	COMM	161571GY4	CHAIT 1.36% MAT	Interest	JP MorganChase	CHASE ISSUANCE		566,331.55		566,331.55
35953	COMM	161571GY4	CHAIT 1.36% MAT	Redemption	JP MorganChase	CHASE ISSUANCE		780,115.06		780,115.06

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36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE			6,900.00	6,900.00
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		207,200.46		207,200.46
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			6,700.00	6,700.00
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		154,434.33		154,434.33
36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			11,900.00	11,900.00
36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE		310,017.84		310,017.84
36601	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC			15,625.01	15,625.01
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC		443,585.08		443,585.08
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL	MERCEDES-BENZ			29,133.34	29,133.34
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ		1,038,023.39		1,038,023.39
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO			13,900.00	13,900.00
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO		350,225.41		350,225.41
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			9,114.58	9,114.58
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		238,729.75		238,729.75
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.67	24,341.67
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE		473,151.00		473,151.00
36956	COMM	58768BAC5	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ			12,600.00	12,600.00
36956	COMM	58768BAC5	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ		270,500.56		270,500.56
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			14,350.00	14,350.00
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC		342,393.56		342,393.56
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			5,625.00	5,625.00
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ		181,538.12		181,538.12
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			12,608.33	12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE		173,741.92		173,741.92
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC		209,038.42		209,038.42
<b>Totals for 05/15/2017</b>									<b>710,856.09</b>	<b>6,457,034.48</b>
37833	COMM	313385FS0	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT				-249,994,792.50
37830	COMM	313589FS7	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE				-99,998,055.56
37829	COMM	313589FR9	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE				100,000,000.00
37831	COMM	313385FR2	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT				250,000,000.00
37831	COMM	313385FR2	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT				250,000,000.00
37865	COMM	166764BH2	CHEVRON CORP	Interest	WELLS FARGO	CHEVRON CORP			117,075.00	117,075.00
37112	COMM	3133EGJ48	FFCB 0.89% MAT	Interest	KEYBANC CAPITAL	FFCB NOTES			111,250.00	111,250.00
37112	COMM	3133EGJ48	FFCB 0.89% MAT	Accr Int	KEYBANC CAPITAL	FFCB NOTES		618.06	-618.06	0.00
<b>Totals for 05/16/2017</b>									<b>227,706.94</b>	<b>235,476.94</b>
37838	COMM	313385FT8	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT				-249,994,792.50
37834	COMM	313589FT5	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE				-99,998,055.56
37839	COMM	36960LSJ5	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-99,997,777.78
37840	COMM	21687ASJ3	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK				-99,997,500.00

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37830	COMM	313589FS7	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37833	COMM	313385FS0	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
36497	COMM	3134G76G1	FEDERAL HOME LN Interest		DEUTSCHE BANK	FHLMC NOTES			72,843.75	72,843.75
<b>Totals for 05/17/2017</b>							<b>549,988,125.84</b>	<b>350,000,000.00</b>	<b>72,843.75</b>	<b>-199,915,282.09</b>
37843	COMM	313385FU5	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37837	COMM	313589FU2	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37844	COMM	36960LSK2	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,888.89			-49,998,888.89
37845	COMM	36164JSK1	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,777.78			-99,997,777.78
37834	COMM	313589FT5	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37838	COMM	313385FT8	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37839	COMM	36960LSJ5	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37840	COMM	21687ASJ3	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00		14,233.33	100,000,000.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO		464,977.16		14,233.33
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO			11,595.83	464,977.16
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO		268,926.11		11,595.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO				268,926.11
<b>Totals for 05/18/2017</b>							<b>499,989,514.73</b>	<b>550,733,903.27</b>	<b>25,829.16</b>	<b>50,770,217.70</b>
37850	COMM	313385FX9	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,984,375.00			-249,984,375.00
37842	COMM	313589FX6	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,994,166.66			-99,994,166.66
37847	COMM	36960LSN6	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,996,666.67			-49,996,666.67
37846	COMM	36164JSN5	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,993,333.34			-99,993,333.34
37848	COMM	21687ASN4	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,992,500.00			-99,992,500.00
37837	COMM	313589FU2	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37843	COMM	313385FU5	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
37844	COMM	36960LSK2	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
37845	COMM	36164JSK1	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
36370	COMM	3133EFPJ0	FEDERAL FARM CR	Interest	WELLS FARGO	FFCB NOTES			96,750.00	96,750.00
37208	COMM	17305EFW0	CCCIT 1.75% MAT	Interest	CITIGROUP GLOBAL	CITIBANK CREDIT			313,055.56	313,055.56
<b>Totals for 05/19/2017</b>							<b>599,961,041.67</b>	<b>500,000,000.00</b>	<b>409,805.56</b>	<b>-99,551,236.11</b>
37265	COMM	3133EGX67	FFCB 0.859% MAT	Interest	INCAPITAL LLC	FFCB NOTES			32,456.08	32,456.08
35064	COMM	92867RAC3	VALET 0.91% MAT	Interest	JPMorganChase	VOLKSWAGEN			1,172.19	1,172.19
35064	COMM	92867RAC3	VALET 0.91% MAT	Redemption	JPMorganChase	VOLKSWAGEN	440,779.31			440,779.31
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Interest	CREDIT SUISSE	BMW VEHICLE			35,000.00	35,000.00
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Redemption	CREDIT SUISSE	BMW VEHICLE	1,439,336.10			1,439,336.10
<b>Totals for 05/20/2017</b>							<b>1,880,115.41</b>	<b>952,357.13</b>	<b>83,416.57</b>	<b>1,948,743.68</b>
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Interest	MERRILL LYNCH	BMW VEHICLE			14,516.57	14,516.57
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE	952,357.13			952,357.13
37036	COMM	05582XAD4	BMWLT 1.49% MAT	Interest	MIZUHO	BMW VEHICLE			8,341.67	8,341.67

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37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE	249,994,792.50	245,284.66		245,284.66
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA	HONDA AUTO	20,000,000.00		23,650.00	23,650.00
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	HONDA AUTO	99,998,055.56	417,012.97		417,012.97
<b>Totals for 05/21/2017</b>							<b>1,614,654.76</b>	<b>46,508.34</b>		<b>1,661,163.10</b>
37856	COMM	313385FY7	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37804	COMM	3134GBLQ3	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMC NOTES	20,000,000.00			-20,000,000.00
37852	COMM	313589FY4	FNMDN DISC NOTE	Purchase	UBS FINANCE	Fannie Mae	99,998,055.56			-99,998,055.56
37855	COMM	36164JSP0	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37853	COMM	21687ASP9	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37842	COMM	313589FX6	FNMDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37846	COMM	36164JNS5	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37847	COMM	36960LSN6	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
37848	COMM	21687ASN4	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00			100,000,000.00
37850	COMM	313385FX9	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
34464	COMM	3135G0XA6	FEDERAL NATL MTGInterest		UBS FINANCE	FNMA NOTES			54,075.00	54,075.00
35435	COMM	478160BL7	JOHNSON & Interest		JPMorganChase	JOHNSON &			168,750.00	168,750.00
35762	COMM	3135G0WJ8	FEDERAL NATL MTGInterest		MIZUHO	FNMA NOTES			65,625.00	65,625.00
36378	COMM	3135G0WJ8	FEDERAL NATL MTGInterest		DEUTSCHE BANK	FNMA NOTES			65,625.00	65,625.00
36776	COMM	89236TDE2	TOYO 1.4% MAT	Interest	BARCLAYS CAPITAL	TOYOTA MOTOR			140,000.00	140,000.00
35886	COMM	43813NAC0	HAROT 1.04% MAT	Interest	JPMorganChase	HONDA AUTO			3,821.59	3,821.59
35986	COMM	43813NAC0	HAROT 1.04% MAT	Redemption	JPMorganChase	HONDA AUTO	432,375.91			432,375.91
<b>Totals for 05/22/2017</b>							<b>619,987,014.73</b>	<b>600,432,375.91</b>	<b>497,896.59</b>	<b>-19,056,742.23</b>
37841	COMM	3133EHKH5	FEDERAL FARM CR	Purchase	INCAPITAL LLC	FFCB NOTES	12,009,960.00			-12,009,960.00
37858	COMM	313385FZ4	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37854	COMM	313589FZ1	FNMDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37859	COMM	36164JSQ8	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37860	COMM	21687ASQ7	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
36128	COMM	3136FPYB7	FNMA 2.05% MAT	Redemption	KEYBANC CAPITAL	FNMA NOTES	4,619,000.00			4,619,000.00
37852	COMM	313589FY4	FNMDN DISC NOTE	Redemption	UBS FINANCE	Fannie Mae	100,000,000.00			100,000,000.00
37853	COMM	21687ASP9	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK	100,000,000.00			100,000,000.00
37855	COMM	36164JSP0	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37856	COMM	313385FY7	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
36128	COMM	3136FPYB7	FNMA 2.05% MAT	Interest	KEYBANC CAPITAL	FNMA NOTES			47,344.75	47,344.75
<b>Totals for 05/23/2017</b>							<b>611,996,974.73</b>	<b>604,619,000.00</b>	<b>47,344.75</b>	<b>-7,330,629.98</b>
37865	COMM	313385GA8	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37816	COMM	3130ABDX1	FEDERAL HOME	Purchase	INCAPITAL LLC	FHLB NOTES	30,000,000.00			-30,000,000.00
37817	COMM	3134GBPM8	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMC NOTES	15,000,000.00			-15,000,000.00
37857	COMM	313589GA5	FNMDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37866	COMM	36164JSR6	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67

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37864	COMM	21687ASR5	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
35004	COMM	3130A1NN4	FEDERAL HOME	Redemption	DEUTSCHE BANK	FHLB NOTES		15,000,000.00		15,000,000.00
37854	COMM	313589FZ1	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37858	COMM	313385FZ4	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
37859	COMM	36164JSQ8	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37860	COMM	21687ASQ7	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK		100,000,000.00		100,000,000.00
35004	COMM	3130A1NN4	FEDERAL HOME	Interest	DEUTSCHE BANK	FHLB NOTES			65,625.00	65,625.00
36782	COMM	3136G3NC5	FEDERAL NATL MTGInterest		INCAPITAL LLC	FNMA NOTES			25,000.00	25,000.00
36805	COMM	94988J5D5	WELLS 1.75% MAT	Interest	WELLS FARGO	WELLS FARGO			105,000.00	105,000.00
37131	COMM	3130A9W49	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			93,229.17	93,229.17
<b>Totals for 05/24/2017</b>							<b>644,987,014.73</b>	<b>615,000,000.00</b>	<b>288,854.17</b>	<b>-29,698,160.56</b>
37868	COMM	313385GB6	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37801	COMM	3134GBLR1	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMC NOTES	20,000,000.00			-20,000,000.00
37863	COMM	313589GB3	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,997,916.66			-99,997,916.66
37870	COMM	36164JSS4	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37871	COMM	21687ASS3	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37857	COMM	313589GA5	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37864	COMM	21687ASR5	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK		100,000,000.00		100,000,000.00
37865	COMM	313385GA8	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
37866	COMM	36164JSR6	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE			9,666.67	9,666.67
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Redemption	JPMorganChase	BMW VEHICLE		244,307.67		244,307.67
<b>Totals for 05/25/2017</b>							<b>619,986,875.83</b>	<b>600,244,307.67</b>	<b>9,666.67</b>	<b>-19,732,901.49</b>
37876	COMM	313385JK3	FHLB DISC NOTE	Purchase	CITIGROUP GLOBAL	FHLB NOTES	74,889,867.67			-74,889,867.67
37869	COMM	313589GF4	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,992,222.22			-99,992,222.22
37874	COMM	313385GF7	FNDN DISC NOTE	Purchase	MIZUHO	FANNIE MAE	249,979,722.50			-249,979,722.50
37872	COMM	36164JSW5	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,666.68			-149,986,666.68
37877	COMM	88580CTV8	MMPP DISC NOTE	Purchase	CITIGROUP GLOBAL	3M COMPANY	49,961,277.78			-49,961,277.78
37875	COMM	21687ASW4	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,990,000.00			-99,990,000.00
37879	COMM	2546R2TV8	WAL.TPP DISC NOTE	Purchase	CITIGROUP GLOBAL	Walt Disney Company	49,961,277.78			-49,961,277.78
37878	COMM	30229ATU3	XON DISC NOTE	Purchase	CITIGROUP GLOBAL	Exxon Mobil Group	49,961,958.33			-49,961,958.33
37863	COMM	313589GB3	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37868	COMM	313385GB6	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
37870	COMM	36164JSS4	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37871	COMM	21687ASS3	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK		100,000,000.00		100,000,000.00
37590	COMM	SYS37590	JPM TE 0.44% Redemption		JPMorganChase	JPM TE MMK FD		200,000,000.00		200,000,000.00
36785	COMM	3134G9HM2	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			58,500.00	58,500.00
36787	COMM	3134G9HW0	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			32,812.50	32,812.50
36797	COMM	3136G3PR0	FEDERAL NATL MTGInterest		BREAN CAPITAL LLC	FNMA NOTES			187,500.00	187,500.00

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37149	COMM	3130AABG2	FEDERAL HOME	Interest	BANK OF AMERICA	FHLB NOTES	824,722,992.96	800,000,000.00	278,812.50	-24,444,180.46
<b>Totals for 05/25/2017</b>										
									139,843.75	139,843.75
<b>Totals for 05/29/2017</b>										
37862	COMM	02582JHG8	AME 1.64% MAT	Purchase	ROYAL BANK OF	AMERICAN	33,994,553.20			-33,994,553.20
37881	COMM	313385GG5	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,930.00			-249,994,930.00
37873	COMM	313589GG2	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37885	COMM	36960LSX4	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,888.89			-49,998,888.89
37884	COMM	36164JSX3	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,777.78			-99,997,777.78
37883	COMM	21687ASX2	RB DISC NOTE MAT	Purchase	JPMorganChase	RABOBANK	99,997,500.00			-99,997,500.00
37869	COMM	313589GF4	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37872	COMM	36164JSW5	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37874	COMM	313385GF7	FNDN DISC NOTE	Redemption	MIZUHO	FANNIE MAE		250,000,000.00		250,000,000.00
37875	COMM	21687ASW4	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK		100,000,000.00		100,000,000.00
36793	COMM	3136G3QY4	FEDERAL NATL MTG Interest		INCAPITAL LLC	FNMA NOTES		31,250.00		31,250.00
36794	COMM	3136G3QY4	FEDERAL NATL MTG Interest		INCAPITAL LLC	FNMA NOTES		93,750.00		93,750.00
36795	COMM	3136G3QY4	FEDERAL NATL MTG Interest		INCAPITAL LLC	FNMA NOTES		31,250.00		31,250.00
37148	COMM	3134GAXX7	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES		75,000.00		75,000.00
37207	COMM	3130AABJ1	FHLBVR 0.855% MAT Interest		DEUTSCHE BANK	FHLB VARIABLE		38,645.78		38,645.78
<b>Totals for 05/30/2017</b>							<b>633,981,705.43</b>	<b>600,000,000.00</b>	<b>269,895.78</b>	<b>-33,711,809.65</b>
37888	COMM	313385GH3	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,995,140.00			-249,995,140.00
37882	COMM	313589GH0	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37889	COMM	36164JT12	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37873	COMM	313589GG2	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37881	COMM	313385GG5	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
37883	COMM	21687ASX2	RB DISC NOTE MAT	Redemption	JPMorganChase	RABOBANK		100,000,000.00		100,000,000.00
37884	COMM	36164JSX3	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
37885	COMM	36960LSX4	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
<b>Totals for 05/31/2017</b>							<b>499,989,862.23</b>	<b>600,000,000.00</b>		<b>100,010,137.77</b>
37892	COMM	313385GJ9	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,994,792.50			-249,994,792.50
37887	COMM	313589GJ6	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37890	COMM	36164JT20	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,777.78			-99,997,777.78
37882	COMM	313589GH0	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37888	COMM	313385GH3	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		250,000,000.00		250,000,000.00
37889	COMM	36164JT12	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37164	COMM	06417GQY7	BNS 1.25417% MAT	Interest	ROYAL BANK OF	BANK OF NOVA			87,819.11	87,819.11
<b>Totals for 06/01/2017</b>							<b>449,990,625.84</b>	<b>500,000,000.00</b>	<b>87,819.11</b>	<b>50,097,193.27</b>
37895	COMM	313385GM2	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	249,984,792.50			-249,984,792.50
37891	COMM	313589GM9	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,994,166.66			-99,994,166.66

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37887	COMM	313589GJ6	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37890	COMM	36164JT20	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37892	COMM	313385GJ9	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
34292	COMM	SYS34292	MSTI 0.0%	Interest		MORGAN STANLEY			98,396.93	98,396.93
34292	COMM	SYS34292	MSTI 0.0%	Purchase	JPMorganChase	MORGAN STANLEY	98,396.93			-98,396.93
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD	97,912.42		97,912.42	97,912.42
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	97,912.42			-97,912.42
<b>Totals for 06/02/2017</b>							<b>350,175,268.51</b>	<b>450,000,000.00</b>	<b>196,309.35</b>	<b>100,021,040.84</b>
37900	COMM	313385GN0	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,972.00			-99,997,972.00
37897	COMM	313589GN7	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37898	COMM	36164JT61	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37891	COMM	313589GM9	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37895	COMM	313385GM2	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	250,000,000.00			250,000,000.00
34292	COMM	SYS34292	MSTI 0.0%	Redemption		MORGAN STANLEY	170,640,478.24			170,640,478.24
37590	COMM	SYS37590	JPM TE 0.44%	Redemption	JPMorganChase	JPM TE MMK FD	15,338,126.30			15,338,126.30
35280	COMM	166764AA8	CHEVRON CORP	Interest	JEFFERIES & CO,	CHEVRON CORP.	55,200.00		55,200.00	55,200.00
37170	COMM	89236TDL6	TOYO 0.19167% MA	Interest	BANK OF AMERICA	TOYOTA MOTOR	170,625.00		170,625.00	170,625.00
<b>Totals for 06/05/2017</b>							<b>349,992,694.23</b>	<b>535,978,604.54</b>	<b>225,825.00</b>	<b>186,211,735.31</b>
37902	COMM	313385GP5	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,972.00			-99,997,972.00
37899	COMM	313589GP2	FNDN DISC NOTE	Purchase	UBS FINANCE	Fannie Mae	99,998,055.56			-99,998,055.56
37904	COMM	36164JT79	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37897	COMM	313589GN7	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37898	COMM	36164JT61	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37900	COMM	313385GN0	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	100,000,000.00			100,000,000.00
<b>Totals for 06/06/2017</b>							<b>349,992,694.23</b>	<b>350,000,000.00</b>		<b>7,305.77</b>
37906	COMM	313385GQ3	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,972.00			-99,997,972.00
37903	COMM	313589GQ0	FNDN DISC NOTE	Purchase	UBS FINANCE	Fannie Mae	99,998,055.56			-99,998,055.56
37907	COMM	36164JT87	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37899	COMM	313589GP2	FNDN DISC NOTE	Redemption	UBS FINANCE	Fannie Mae	100,000,000.00			100,000,000.00
37902	COMM	313385GP5	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	100,000,000.00			100,000,000.00
37904	COMM	36164JT79	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
<b>Totals for 06/07/2017</b>							<b>349,992,694.23</b>	<b>350,000,000.00</b>		<b>7,305.77</b>
37909	COMM	313385GR1	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,917.00			-99,997,917.00
37908	COMM	313589GR8	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37910	COMM	36164JT95	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,666.67			-149,996,666.67
37903	COMM	313589GQ0	FNDN DISC NOTE	Redemption	UBS FINANCE	Fannie Mae	100,000,000.00			100,000,000.00
37906	COMM	313385GQ3	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	100,000,000.00			100,000,000.00
37907	COMM	36164JT87	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00

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35906	COMM	313379DT3	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES			125,000.00	125,000.00
35956	COMM	3133XRFZ8	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			118,750.00	118,750.00
35957	COMM	313379DT3	FEDERAL HOME	Interest	BREAN CAPITAL LLC	FHLB NOTES			31,250.00	31,250.00
37194	COMM	3133EGT47	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			100,500.00	100,500.00
<b>Totals for 06/08/2017</b>							<b>349,992,639.23</b>	<b>350,000,000.00</b>	<b>375,500.00</b>	<b>382,860.77</b>
37914	COMM	313385GU4	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,993,917.00			-99,993,917.00
37911	COMM	313589GU1	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,994,166.66			-99,994,166.66
37913	COMM	36164JTC8	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,990,000.01			-149,990,000.01
36676	COMM	313379FW4	FEDERAL HOME	Redemption	MIZUHO	FHLB NOTES		20,000,000.00		20,000,000.00
36703	COMM	313379FW4	FEDERAL HOME	Redemption	MIZUHO	FHLB NOTES		37,375,000.00		37,375,000.00
37908	COMM	313589GR8	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37909	COMM	313385GR1	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		100,000,000.00		100,000,000.00
37910	COMM	36164JTD6	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36676	COMM	313379FW4	FEDERAL HOME	Interest	MIZUHO	FHLB NOTES			100,000.00	100,000.00
36703	COMM	313379FW4	FEDERAL HOME	Interest	MIZUHO	FHLB NOTES			186,875.00	186,875.00
<b>Totals for 06/09/2017</b>							<b>349,978,083.67</b>	<b>407,375,000.00</b>	<b>286,875.00</b>	<b>57,683,791.33</b>
37901	COMM	3133EHMR1	FFCB 1.375% MAT	Purchase	Daiwa Capital Market	FFCB NOTES	5,000,000.00			-5,000,000.00
37905	COMM	3133EHMR1	FFCB 1.375% MAT	Purchase	INCAPITAL LLC	FFCB NOTES	5,000,000.00			-5,000,000.00
37917	COMM	313385GV2	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,972.00			-99,997,972.00
37912	COMM	313589GV9	FNMDN DISC NOTE	Purchase	UBS FINANCE	Fannie Mae	99,998,055.56			-99,998,055.56
37918	COMM	36164JTD6	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,996,625.00			-149,996,625.00
37911	COMM	313589GU1	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37913	COMM	36164JTC8	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37914	COMM	313385GU4	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		100,000,000.00		100,000,000.00
36877	COMM	3133834H1	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			103,125.00	103,125.00
37037	COMM	3133834H1	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			68,750.00	68,750.00
37058	COMM	313383HU8	FHLB 1.75% MAT	Interest	KEYBANC CAPITAL	FHLB NOTES			131,250.00	131,250.00
<b>Totals for 06/12/2017</b>							<b>359,992,652.56</b>	<b>350,000,000.00</b>	<b>303,125.00</b>	<b>-9,689,527.56</b>
37921	COMM	313385GW0	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,917.00			-99,997,917.00
37916	COMM	313589GW7	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56
37919	COMM	36164JTF1	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,997,750.00			-49,997,750.00
37920	COMM	36164JTE4	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,750.00			-99,997,750.00
37912	COMM	313589GV9	FNMDN DISC NOTE	Redemption	UBS FINANCE	Fannie Mae		100,000,000.00		100,000,000.00
37917	COMM	313385GV2	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		100,000,000.00		100,000,000.00
37918	COMM	36164JTD6	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
<b>Totals for 06/13/2017</b>							<b>349,991,472.56</b>	<b>350,000,000.00</b>		<b>8,527.44</b>
37924	COMM	313385GX8	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,917.00			-99,997,917.00
37922	COMM	313589GX5	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,998,055.56			-99,998,055.56



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37925	COMM	36164JTF1	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,694.44			-99,997,694.44
37916	COMM	313589GW7	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37920	COMM	36164JTE4	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
37921	COMM	313385GW0	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		100,000,000.00		100,000,000.00
36402	COMM	313378EE5	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			139,750.00	139,750.00
36442	COMM	3133EFSH1	FEDERAL FARM CR	Interest	WELLS FARGO	FFCB NOTES			87,750.00	87,750.00
36443	COMM	3133EFSH1	FEDERAL FARM CR	Interest	MERRILL LYNCH	FFCB NOTES			117,000.00	117,000.00
36550	COMM	313378EE5	FEDERAL HOME	Interest	DEUTSCHE BANK	FHLB NOTES			121,875.00	121,875.00
36823	COMM	3134G9QW0	FEDERAL HOME LN	Interest	JEFFERIES & CO,	FHLMC NOTES			128,000.00	128,000.00
37234	COMM	3130AAF52	FHLBVR 0.56% MAT	Interest	DEUTSCHE BANK	FHLB VARIABLE			36,769.44	36,769.44
<b>Totals for 06/14/2017</b>							<b>299,993,667.00</b>	<b>300,000,000.00</b>	<b>631,144.44</b>	<b>637,477.44</b>
37931	COMM	313385GY6	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,222.00			-99,997,222.00
37849	COMM	3134GBRR5	FHLMC 1.8% MAT	Purchase	INCAPITAL LLC	FHLMC NOTES	20,000,000.00			-20,000,000.00
37923	COMM	313589GY3	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,997,500.00			-99,997,500.00
37927	COMM	36164JTG9	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
37928	COMM	36164JTK0	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,994,055.56			-49,994,055.56
35549	COMM	3130A3SL9	FEDERAL HOME	Redemption	WELLS FARGO	FHLB NOTES		10,000,000.00		10,000,000.00
37919	COMM	36164JTF1	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
37922	COMM	313589GX5	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37924	COMM	313385GX8	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		100,000,000.00		100,000,000.00
37925	COMM	36164JTF1	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
35549	COMM	3130A3SL9	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES		100,000,000.00		100,000,000.00
35864	COMM	3130A4Y71	FHLB 1.1% MAT	Interest	WELLS FARGO	FHLB NOTES			47,500.00	47,500.00
35936	COMM	459058EJ8	IBRD 1.1% MAT	Interest	BARCLAYS CAPITAL	INTL BANK RECON &			132,500.00	132,500.00
35937	COMM	459058EJ8	IBRD 1.1% MAT	Interest	BMO CAPITAL	INTL BANK RECON &			75,000.00	75,000.00
36436	COMM	3137EADY4	FEDERAL HOME LN	Interest	WELLS FARGO	FHLMC NOTES			50,000.00	50,000.00
36437	COMM	3137EADY4	FEDERAL HOME LN	Interest	DEUTSCHE BANK	FHLMC NOTES			100,000.00	100,000.00
36753	COMM	89231UAD9	TOYO 1.3% MAT	Interest	CITIGROUP GLOBAL	TOYOTA AUTO REC			75,000.00	75,000.00
35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBAL	JOHN DEERE			4,333.33	4,333.33
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL	JOHN DEERE		336,033.44	4,801.72	4,801.72
35953	COMM	161571GY4	CHAIT 1.36% MAT	Interest	JPMorganChase	CHASE ISSUANCE			28,333.33	336,033.44
35953	COMM	161571GY4	CHAIT 1.36% MAT	Redemption	JPMorganChase	CHASE ISSUANCE		804,120.24	28,333.33	28,333.33
36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE			6,968.31	804,120.24
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		358,116.85	6,968.31	6,968.31
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			6,700.00	6,968.31
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		158,914.86	6,700.00	358,116.85
36600	COMM	47788MAC4	JDOT 1.35% MAT	Interest	MERRILL LYNCH	JOHN DEERE			11,900.00	6,700.00
36600	COMM	47788MAC4	JDOT 1.35% MAT	Redemption	MERRILL LYNCH	JOHN DEERE		320,080.94	11,900.00	158,914.86
36601	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC			15,625.00	11,900.00
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC		457,961.98	15,625.00	320,080.94
										15,625.00
										457,961.98

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36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL	MERCEDES-BENZ			29,133.33	29,133.33
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ	1,087,150.51			1,087,150.51
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO			13,900.00	13,900.00
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO	361,723.51			361,723.51
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			9,114.58	9,114.58
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE	246,390.34			246,390.34
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.67	24,341.67
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE	485,981.37			485,981.37
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ			12,600.00	12,600.00
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ	278,278.43			278,278.43
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			14,350.00	14,350.00
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC	352,825.08			352,825.08
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			5,625.00	5,625.00
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ	188,961.40			188,961.40
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			12,608.33	12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE	178,902.82			178,902.82
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC	215,302.21			215,302.21
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			23,233.32	23,233.32
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN	601,137.83			601,137.83
<b>Totals for 06/15/2017</b>							<b>369,985,805.34</b>	<b>366,431,881.81</b>	<b>717,984.59</b>	<b>-2,835,938.94</b>
37933	COMM	313385HB5	FHND DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,991,833.00			-99,991,833.00
37932	COMM	313589HB2	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,992,083.34			-99,992,083.34
37936	COMM	36164JTK0	GEAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,991,083.34			-99,991,083.34
37923	COMM	313589GY3	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37927	COMM	36164JTG9	GEAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37931	COMM	313385GY6	FHND DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	100,000,000.00			100,000,000.00
<b>Totals for 06/16/2017</b>							<b>299,974,999.68</b>	<b>300,000,000.00</b>		<b>25,000.32</b>
37939	COMM	313385HC3	FHND DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,306.00			-99,997,306.00
37934	COMM	313589HC0	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,997,361.12			-99,997,361.12
37937	COMM	36164JTM6	GEAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,997,027.78			-49,997,027.78
37938	COMM	36164JTL8	GEAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
37928	COMM	36164JTK0	GEAP DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	50,000,000.00			50,000,000.00
37932	COMM	313589HB2	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37933	COMM	313385HB5	FHND DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	100,000,000.00			100,000,000.00
37936	COMM	36164JTK0	GEAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
35527	COMM	3135EEFE5	FEDERAL FARM CR	Interest	MERRILL LYNCH	FFCB NOTES			56,250.00	56,250.00
35529	COMM	3135EEFE5	FEDERAL FARM CR	Interest	MERRILL LYNCH	FFCB NOTES			56,250.00	56,250.00
36376	COMM	3130A6SW8	FEDERAL HOME	Interest	BARCLAYS CAPITAL	FHLB NOTES			100,000.00	100,000.00

Portfolio SCL2  
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Report Ver. 7.3.5

SANTA CLARA COUNTY INVESTMENTS

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Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
36377	COMM	3130A6SW8	FEDERAL HOME	Interest	DEUTSCHE BANK	FHLB NOTES			100,000.00	100,000.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			14,233.33	14,233.33
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO		481,383.28		481,383.28
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			11,595.83	11,595.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO		276,897.92		276,897.92
<b>Totals for 06/19/2017</b>							<b>349,988,722.68</b>	<b>350,758,281.20</b>	<b>338,329.16</b>	<b>1,107,887.68</b>
37942	COMM	313385HD1	FHND DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,222.00			-99,997,222.00
37893	COMM	3134GBRZ7	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMC NOTES	15,000,000.00			-15,000,000.00
37940	COMM	313589HD8	FHND DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,997,361.12			-99,997,361.12
37941	COMM	36164JTM6	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
37934	COMM	313589HC0	FHND DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37938	COMM	36164JTL8	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37939	COMM	313385HC3	FHND DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	100,000,000.00			100,000,000.00
37265	COMM	3133EGX67	FFCB 0.859% MAT	Interest	INCAPITAL LLC	FFCB NOTES			34,055.14	34,055.14
35064	COMM	92867RAC3	VALET 0.91% MAT	Interest	JPMorganChase	VOLKSWAGEN			833.12	833.12
35064	COMM	92867RAC3	VALET 0.91% MAT	Redemption	JPMorganChase	VOLKSWAGEN		416,690.02		416,690.02
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Interest	CREDIT SUISSE	BMW VEHICLE			35,078.94	35,078.94
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Redemption	CREDIT SUISSE	BMW VEHICLE		4,616,240.90		4,616,240.90
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Interest	MERRILL LYNCH	BMW VEHICLE			14,516.67	14,516.67
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE		1,025,694.16		1,025,694.16
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE			8,341.67	8,341.67
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE		255,039.61		255,039.61
<b>Totals for 06/20/2017</b>							<b>314,997,610.90</b>	<b>306,313,664.69</b>	<b>92,825.54</b>	<b>-8,585,120.67</b>
37944	COMM	313385HE9	FHLB DISC NOTE	Purchase	MIZUHO	FHLB NOTES	99,997,222.00			-99,997,222.00
37943	COMM	313589HE6	FHND DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,997,361.12			-99,997,361.12
37945	COMM	36164JTP9	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,997,027.78			-49,997,027.78
37946	COMM	36164JTN4	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
33890	COMM	313379DD8	FHLB 1. % MAT	Redemption	MERRILL LYNCH	FHLB NOTES		25,000,000.00		25,000,000.00
37937	COMM	36164JTM6	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
37940	COMM	313589HD8	FHND DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE		100,000,000.00		100,000,000.00
37941	COMM	36164JTM6	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
37942	COMM	313385HD1	FHND DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		100,000,000.00		100,000,000.00
33890	COMM	313379DD8	FHLB 1. % MAT	Interest	MERRILL LYNCH	FHLB NOTES			125,000.00	125,000.00
35986	COMM	43813NAC0	HAROT 1.04% MAT	Interest	JPMorganChase	HONDA AUTO			3,446.50	3,446.50
35986	COMM	43813NAC0	HAROT 1.04% MAT	Redemption	JPMorganChase	HONDA AUTO		430,452.99		430,452.99
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA	HONDA AUTO			23,650.00	23,650.00
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	HONDA AUTO		430,839.05		430,839.05
<b>Totals for 06/21/2017</b>							<b>349,988,638.68</b>	<b>375,861,292.04</b>	<b>152,096.50</b>	<b>26,024,749.86</b>

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37948	COMM	313385HF6	FHFN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	99,997,222.00			-99,997,222.00
37861	COMM	3134GBRURJ8	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMC NOTES	30,000,000.00			-30,000,000.00
37880	COMM	3134GBSJM5	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMC NOTES	5,450,000.00			-5,450,000.00
37894	COMM	3134GBSW3	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMC NOTES	5,000,000.00			-5,000,000.00
37947	COMM	313588HF3	FNDN DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	99,997,361.12			-99,997,361.12
37950	COMM	36960LTP0	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
37949	COMM	36164JTP9	GEAP DISC NOTE	Purchase	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37943	COMM	313588HE6	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00			100,000,000.00
37944	COMM	313385HE9	FHLB DISC NOTE	Redemption	MIZUHO	FHLB NOTES	100,000,000.00			100,000,000.00
37946	COMM	36164JTN4	GEAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
36066	COMM	3134G67C1	FEDERAL HOME LN	Interest	MORGAN STANLEY	FHLMC NOTES	60,000.00		60,000.00	60,000.00
<b>Totals for 06/22/2017</b>							<b>340,444,610.90</b>	<b>300,000,000.00</b>	<b>60,000.00</b>	<b>-40,381,610.90</b>
37952	COMM	36960LTS4	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	74,993,312.50			-74,993,312.50
37951	COMM	36164JTS3	GEAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	74,993,312.50			-74,993,312.50
36076	COMM	3134G64W0	FEDERAL HOME LN	Redemption	JPMorganChase	FHLMC NOTES	10,000,000.00	10,000,000.00		10,000,000.00
36087	COMM	3134G64W0	FEDERAL HOME LN	Redemption	JPMorganChase	FHLMC NOTES	10,000,000.00	10,000,000.00		10,000,000.00
37945	COMM	36164JTP9	GEAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00	50,000,000.00		50,000,000.00
37947	COMM	313588HF3	FNDN DISC NOTE	Redemption	UBS FINANCE	FANNIE MAE	100,000,000.00	100,000,000.00		100,000,000.00
37948	COMM	313385HF6	FHFN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT	100,000,000.00	100,000,000.00		100,000,000.00
37949	COMM	36164JTP9	GEAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00	50,000,000.00		50,000,000.00
37950	COMM	36960LTP0	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00	50,000,000.00		50,000,000.00
36076	COMM	3134G64W0	FEDERAL HOME LN	Interest	JPMorganChase	FHLMC NOTES	45,000.00	45,000,000.00	45,000.00	45,000.00
36087	COMM	3134G64W0	FEDERAL HOME LN	Interest	JPMorganChase	FHLMC NOTES	45,000.00	45,000,000.00	45,000.00	45,000.00
<b>Totals for 06/23/2017</b>							<b>149,986,625.00</b>	<b>370,000,000.00</b>	<b>90,000.00</b>	<b>220,103,375.00</b>
36893	COMM	05592QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE			9,666.67	9,666.67
36893	COMM	05592QAD9	BMWOT 1.16% MAT	Redemption	JPMorganChase	BMW VEHICLE	251,497.07	251,497.07		251,497.07
<b>Totals for 06/25/2017</b>								<b>251,497.07</b>	<b>9,666.67</b>	<b>261,163.74</b>
37957	COMM	36960LTT2	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
37954	COMM	36164JTT1	GEAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
37951	COMM	36164JTS3	GEAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	75,000,000.00	75,000,000.00		75,000,000.00
37952	COMM	36960LTS4	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	75,000,000.00	75,000,000.00		75,000,000.00
<b>Totals for 06/26/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>		<b>4,458.33</b>
37956	COMM	36960LTU9	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
37955	COMM	36164JTU8	GEAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
37958	COMM	43811BAC8	HAROT 1.87% MAT	Purchase	CITIGROUP	GLOBAL HONDA AUTO	27,997,578.00			-27,997,578.00
37954	COMM	36164JTT1	GEAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00	50,000,000.00		50,000,000.00
37957	COMM	36960LTT2	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00	100,000,000.00		100,000,000.00
<b>Totals for 06/27/2017</b>							<b>177,993,119.67</b>	<b>150,000,000.00</b>		<b>-27,993,119.67</b>

## SANTA CLARA COUNTY INVESTMENTS

## Transaction Activity Report

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37959	COMM	36164JTV6	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
36201	COMM	3133EDEB4	FEDERAL FARM CR	Redemption	BREAN CAPITAL	LLCFFCB NOTES		10,000,000.00		10,000,000.00
37878	COMM	30229ATU3	XON DISC NOTE	Redemption	CITIGROUP GLOBAL	Exxon Mobil Group		50,000,000.00		50,000,000.00
37955	COMM	36164JTV8	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
37956	COMM	36960LTU9	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
36201	COMM	3133EDEB4	FEDERAL FARM CR	Interest	BREAN CAPITAL	LLCFFCB NOTES			55,000.00	55,000.00
36845	COMM	3136G3TD7	FEDERAL NATL MTG	Interest	BREAN CAPITAL	LLCFNMA NOTES			77,880.00	77,880.00
36846	COMM	3136G3TB1	FEDERAL NATL MTG	Interest	INCAPITAL LLC	FNMA NOTES			66,275.00	66,275.00
36848	COMM	3134G9ZR1	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			53,125.00	53,125.00
36849	COMM	3136G3SQ9	FEDERAL NATL MTG	Interest	INCAPITAL LLC	FNMA NOTES			143,750.00	143,750.00
37289	COMM	3130AADN5	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			275,000.00	275,000.00
Totals for 06/28/2017							149,995,541.67	210,000,000.00	671,030.00	60,675,488.33
37960	COMM	36164JTV4	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
35498	COMM	3137EADH9	FEDERAL HOME LN	Redemption	BNP PARIBAS	FHLMC NOTES		25,000,000.00		25,000,000.00
36857	COMM	313313HM3	FCDN ZERO CPN	Redemption	MIZUHO	FFCB DISCOUNT		26,000,000.00		26,000,000.00
37687	COMM	59515MTV7	MSFT DISC NOTE	Redemption	CITIGROUP GLOBAL	MICROSOFT CORP		50,000,000.00		50,000,000.00
37877	COMM	88580CTV8	MMMP DISC NOTE	Redemption	CITIGROUP GLOBAL	3M COMPANY		50,000,000.00		50,000,000.00
37879	COMM	2546R2TV8	WALTPP DISC NOTE	Redemption	CITIGROUP GLOBAL	Walt Disney Company		50,000,000.00		50,000,000.00
37959	COMM	36164JTV6	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35498	COMM	3137EADH9	FEDERAL HOME LN	Interest	BNP PARIBAS	FHLMC NOTES			125,000.00	125,000.00
35816	COMM	3130A4JP5	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES			131,250.00	131,250.00
35893	COMM	3134G6TF0	FEDERAL HOME LN	Interest	Daiwa Capital Market	FHLMC NOTES			116,250.00	116,250.00
36796	COMM	3130A8BD4	FEDERAL HOME	Interest	CITIGROUP GLOBAL	FHLB NOTES			131,250.00	131,250.00
37300	COMM	3133EG2P9	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			116,000.00	116,000.00
37301	COMM	3133EG2P9	FEDERAL FARM CR	Interest	Daiwa Capital Market	FFCB NOTES			174,000.00	174,000.00
Totals for 06/29/2017							149,995,541.67	351,000,000.00	793,750.00	201,798,208.33
37961	COMM	313379RB7	FEDERAL HOME	Purchase	INCAPITAL LLC	FHLB NOTES	5,029,297.92			-5,029,297.92
37962	COMM	36164JU36	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,995,541.67			-49,995,541.67
37306	COMM	3130AAGW2	FEDERAL HOME	Redemption	INCAPITAL LLC	FHLB NOTES		30,000,000.00		30,000,000.00
37960	COMM	36164JTV4	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35886	COMM	912828VK3	UNITED STATES	Interest	MERRILL LYNCH	U.S. TREASURY			171,875.00	171,875.00
37306	COMM	3130AAGW2	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			277,500.00	277,500.00
Totals for 06/30/2017							55,024,839.59	180,000,000.00	449,375.00	125,424,535.41
Grand Total							32,596,193,561.32	341,850,350.00	13,247,110.65	-241,096,100.02

14.10

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 31, 2017

**Subject:** UpKeep Maintenance Management Work Order System

**Staff Analysis:** The current work order system iSupport, is very limited with features and support as required by Information Technology Support Services (ITSS) and MOT departments for efficient operation. Both departments brainstormed the features and functions needed in the new system. We compared products from different vendors by scheduling live demos and also recommendations from other Districts. Upon comparison of price, features and support, ITSS recommends UpKeep as a vendor for the new work order system for our district.

**Recommendation:** Staff recommends that the Board approve the contract with UpKeep, for the District's new work order system. The contract would be awarded for the period beginning September 15, 2017 through June 14, 2019. The total two year cost is \$44,928.00.

Submitted by: Avtar Gill Title: Director, Information Technology

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.10  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_



Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



Name	Pros	Cons	Add Notes
Facilitron	Free Web based	No signature as of yet No App Currently in production (not out yet)	Free
Vivanto	SLA W/O per Categories Knowledge Base building Management Dashboard all charts Customer Surveys Self Service Portal (customizable) Asset Management SaaS and on premise as well	Mobile friendly Web site (trying to find if there's a mobile app) No signature field only attachments but cannot do the signature portion through it.	Pro is \$60 per agent, ITSM is \$80 per agent
SchoolDude	App for both iOS/Android Strong on Reports and over time analysis. Signature for MOT (but not IT) Category break down Business Rules SaaS solution	Weak on the IT side No signature for IT staff HelpDesk is really new (just started 2 years ago, has alot of work to be done on it). One Portal but two different locations one for MOT W/O and the other for IT W/O entered. Asset Management is additional	Demo looked good for MOT side but IT lacks. Price is per student enrollment. One time setup fees are per module (IT, MOT, Asset Management are all separate).
Upkeep	Native app both iOS/Android Built from App first then backwards Reporting appears to be good Allows multiple level of categories drill down Asset Management is present Attach signatures or photos to Work Order SaaS solution	Groups at school sites cannot see each others W/O. New company, just 5 team members (moving to LA area from San Jose) Pro and Enterprise provides different options.	Demo looked good


NetHelp Desk	Native App for Android/iOS		Requested Demo
team supported	Business	No signature option App is web bases	
samage			
Salesforce Service Cloud (einstein)	Native App for Salesforce (iOS). and Android (sales forde mobile app customize what it can show). SAAS Solution We build it, we must know the Business Rules as well of how we want the Web Portal to look. Yes, according to "selena" the software can do signature.	We build it, if we have problems we go through a preferred 3rd party. Salesforce sells the product but does not build it for you, you the customer builds it.  No Demo, its videos, entire software is customizable. SalesForce builds it 80%, you do the custom 20%.	Connecting with Alison Rep for our area.  Charge is based on users who will be in the Work Order System. Per year license. Anyone using the software in general. \$50 annually
Magichelpdesk	App is available Reports look good	No Signature option	Jill product manager
HelpSpot			
Revelation HelpDesk	Web Based Self Service portal Mobile interface Birds Eye View of summary of intake/outtake (graphing, categories, etc) Asset Management Project Management SAAS or On Site Strong on Reporting side (graph/pies)	Mobile website only (no native app) Trying to find out if there is a signature option	On site is \$30K annually  SAAS is \$40 per agent comes out to \$24K annually
Fresh Desk.com	Native Mobile App (iOS/Android) Chat Portal	SAAS only No Signature option (only way is to	Free, \$19, \$35, \$49, \$89

	Customizable Customer Portal Knowledge based for self service solutions SLA Single Sign On Office in San Francisco Other notifications	notify staff and have them reply to ticket)	
Hesk.com	SAAS or on Premise Demo is online (or trial version you can download.	Very basic Reporting limited No signature Interface looks very basic	\$19.95 per agent
<a href="https://onupkeep.com/">https://onupkeep.com/</a>	Allows signatures		\$25 to \$40
<a href="http://www.qwarecms.com/k-12-school-facilities.html">http://www.qwarecms.com/k-12-school-facilities.html</a>	Facilities management system module Asset management module		\$900 per module
WebHelpDesk (Solar Winds)	<ul style="list-style-type: none"> <li>• SAAS or in house</li> <li>• Native Mobile App (android)</li> <li>• Build the Categories based on us</li> <li>• Dashboard has some nice items off the start</li> </ul>	<ul style="list-style-type: none"> <li>• Doesn't appear to have a signature sign off when closing WO from mobile app. (asking Sales Rep)</li> </ul>	
Sysaid.com	?	?	?
Visionhelpdesk.com	Signature Native app Inventory? Integration with A/D and Google	Not much Validation before submitting Not seeing a signature option.	\$21/tech or \$350 per agent one time
Jitbit.com	Simple Native Mobile App- simple Inventory	Too basic No signature feature Based out of the UK	\$249/month unlimited users
BMC Trackit	<ul style="list-style-type: none"> <li>• Mobile Native app</li> </ul>	<ul style="list-style-type: none"> <li>• Mobile Users #1 complaint is app</li> </ul>	X


<h2>Track-It!</h2>	<ul style="list-style-type: none"> <li>• Both IT/MOT</li> <li>• Business Rules</li> <li>• Web Based</li> </ul>	<ul style="list-style-type: none"> <li>• doesn't work in reviews</li> <li>• Per user license</li> <li>• Busy desktop</li> </ul>	
<p>Zendesk 4.0/5</p> 	<ul style="list-style-type: none"> <li>• Mobile Native App</li> <li>• Hosted Solution</li> <li>• Business Rules</li> <li>• Web Based</li> <li>• Satisfaction Survey (only on certain software versions) Rate the person whom did the work</li> <li>• Custom Reporting (creation, email notifications)</li> <li>• Reports are clear visual right off the back (bird's eye view)</li> <li>• Work with Company to create your layout (you must know how you route and what you want clients to see)</li> <li>• GSuite Integrated</li> </ul>	<ul style="list-style-type: none"> <li>• View are like email (ticket views for agents as well)</li> <li>• Too many ways to mess up.</li> <li>• 50 Day backlog on Reports only (max date)</li> <li>• Default 7 days. Month, dash view gives in a sense W/O created vs W/O closed and how many are from a previous month.</li> <li>• Signature integration only with 3rd party integrators like WooCommerce/RedminePro, etc</li> </ul>	<p>Price is per Agent we have 50x \$50 is \$2,500 a month. \$2,500 x 12 = \$30K</p>
<p>Field Pro Max</p> 	<ul style="list-style-type: none"> <li>• Mobile App Native/Web</li> <li>• Signature Option through smart phone</li> <li>• Upload images to Ticket</li> <li>• Real Life actual tracking if WO's are getting closed at the site.</li> <li>• Looks like emails</li> <li>• Asset Tracking</li> </ul>	<ul style="list-style-type: none"> <li>• Invoice portion required approval to post even after closure.</li> <li>• Not easy to design reports</li> <li>• Must know your Business Process before deploying for configuration.</li> <li>• Focus too much on Maps and scheduling</li> <li>• Appears to be more of a tracking cost than support ticket system</li> <li>• Meant to be more for Business Electrician, HVAC,</li> <li>• </li> </ul>	<p>50/50 Getting Demo Left message with sales</p>
<p>EMain</p>	<ul style="list-style-type: none"> <li>• Client site look really basic and simple (only their history is viewable)</li> <li>• Client sees comments and who it's assigned to or any additional</li> </ul>	<ul style="list-style-type: none"> <li>• Everything revolves around asset</li> <li>• <b>Mobile Module is web not an actual app.</b></li> <li>• Looks really busy if closing</li> </ul>	<p>X</p>



	• SLA		
Tikkit		No Native App	Spoke to Sales guy.
Maintenance Connection		No Native App (browser only)	\$55 per agent /per month
MaangerPlus			
WebHelp Desk (Solar Winds)			\$635 per seat X 50 agents = \$31750 for the first year and then its \$97 (reached out going to get together with sales (not sure on Native App)
HappyFox  Demo with Leo Guerra	<ul style="list-style-type: none"> <li>• Client is able to see status (clearly)</li> <li>• Canned replies (to trigger WO to be closed and reply back to client)</li> <li>• Both communication directly to end user or privately internally (you can add others to notify)</li> <li>• Dashboard provides a large amount of information (not cluttered)</li> <li>• Reporting feature give you the flexibility to see the intake and see additional information.</li> <li>• Staff Activity all from the report (quickly change it to a different chart)</li> <li>• Survey after work is completed (see them by staff member who did the work).</li> </ul>	<ul style="list-style-type: none"> <li>• <b>No signature</b> available (but does allow us to add a second person to notify and they can reply back where the work was done).</li> </ul>	\$29 per agent (if going annually education gets 10% off this price)

	<ul style="list-style-type: none"> <li></li> </ul>		
Parago Matthew (with Demo)	<ul style="list-style-type: none"> <li>Mobile App</li> <li>Strong on Asset Management even mobile version for inventory</li> <li>Reports are built based on your search filters</li> <li>Simple interface Web</li> <li>Has the ability to Dispose of Equipment and remove from inventory (of course there are rules).</li> <li>Works based on Offline mode (you can upload when your ready or have better signal).</li> <li>SAAS Solution only. Moving to AWS /Amazon</li> </ul>	<ul style="list-style-type: none"> <li>Eased off the UK (almost 2yrs out came to US)</li> <li>Stronger on the Asset Management Side. Help Desk Software was added about 1 1/2 years ago!</li> </ul>	<ul style="list-style-type: none"> <li>Price is \$2 per student not per agent (only first 6, after \$2 per student)</li> </ul>
Service Max  servicemax	<ul style="list-style-type: none"> <li>Native Mobile App</li> <li>Links to help and other websites to troubleshooting</li> <li>Voice to text feature</li> <li>Customer Signature</li> <li>Mobile App can be offline and still let you work in it (synch once network is back online)</li> <li>Google Maps Integration for tracking and routing</li> <li>Upload Pictures/Videos</li> <li>Signature feature but not clear if only for estimates</li> <li>Voice Recording to Text from Mobile Phone for easy Work Performed closure notes.</li> </ul>	<ul style="list-style-type: none"> <li>Appears to work based on scheduling the work</li> <li>Lots of bells and whistles (perhaps too much..need to see if we can clean it up mobile version appears to be too cluttered and too many steps to do a simple closure)</li> </ul>	X Sent email to ServiceMax Rep Software can do what we need it but Pierce Johnson sales person said it was a bit pricey for us. Service Max charges b # of Agents. Our case it would be \$100 per agent/ per month (for



			us it would be \$5k a month just to use it) on top of this there is a \$60k implementation fee.
Meximo			30-40K just to implement the Work Order System.
	<ul style="list-style-type: none"> <li>• WebBased</li> <li>• Native Mobile App</li> <li>• Dashboard/Reporting (Open, Overdue, New Tickets)</li> </ul>	<ul style="list-style-type: none"> <li>• Lots of HW requirements if self-hosted</li> <li>• Pricing is Per-Agent \$10</li> <li>• Customers report App does not work and crashes almost instantly</li> </ul>	X Called left voicemail

Cambell - Web Help Desk (By Solar Winds)  
 Milpitas Unified School District - Zendesk  
 Los Gatos, Saratoga High School District, Santa Clara University, Santa Cruz,  
 Sunnyvale School District - School Dude  
 Saratoga, Morgan Hill, Union School District, etc - Via email  
 Alisal - MyTechDesk (free for California hosted by Imperial County)  
 Salinas Union HS D, Pajaro Valley, Monterey HS D - K1000

Round table discussions to be included here.  
 Any other APP suggestion

Oak Grove School District - Sent Email to Najeeb Using Solar Winds they appear to like it.

EverGreen School District - Left a message at x6821

Morgan Hill - Can't get through to IT via phone

San Jose Unified - Left information with Aaron he will give my contact information to the right person.

Franklin McKinley School District - School Dude (when we first got it, they were hesitant, but using school dude for 10 yrs, IT/MOT/Facilities)

Trainings online for the users. (vanessa in Maintenance)

Cupertino School District - Maximo

my name is Chris Moynihnan and my email is [cjmoynih@us.ibm.com](mailto:cjmoynih@us.ibm.com). Feel free to contact me should you have questions or need additional information.

Berryessa - Spoke to Ms. Pham too new she just started doesn't know the WO system just yet. CHan Kelvin.

Saratoga - left a message for the Director of IT hopefully they call me back.


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\$19

/agent/month

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\$49

/agent/month

\$89

/agent/month

✓ Email Channel

✓ Standard Phone Channel

✓ Basic Social Channel

✓ Knowledge Base

✓ Access to App Gallery

Everything in Sprout and...

✓ Advanced Social Channel

✓ Team Huddle

✓ Satisfaction Surveys

✓ Custom Domain Mapping

✓ Time Tracking

Everything in Blossom and...

✓ Multilingual Helpdesk

✓ Live Chat Channel

✓ Ticket Templates

✓ Community Forums

✓ Scheduled Reports

Everything in Garden and...

✓ Multiple Products

✓ Portal Customization

✓ Shared Ownership

✓ Enterprise Reports

✓ Custom Agent Roles

Everything in Estate and...

✓ IP Whitelisting

✓ Custom Email Serve

✓ EU Data Center

✓ Advanced Phone Channel

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- Chat history saved to help desk
- Co-browsing & message previews
- Proactive chat invitations

**FREE TRIAL**

## ON-PREM

SELF-HOSTED VER

- Server adm
- Apache ser
- MySQL dat
- ionCube (R
- PHP (Requi
- Linux O/S (I

**FF**



happyfox

TOUR CUSTOMERS PRICING INTEGRATION See

# Simple and Affordable Help Desk Software.

Pay annually

Pay monthly

**\$29**  
per staff / month  
MIGHTY

**BEST VALUE**  
**\$49**  
per staff / month  
FANTASTIC

**\$69**  
per staff / month  
ENTERPRISE

**\$89**  
per staff / month  
ENTERPRISE

Schedule a Live Demo



## Cloud: Flexible pricing for teams of all sizes.

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**Our FREE offering for up to three agents.**

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**select**

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per license month  
billed annually

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**gamep**

**\$59**

per license month  
billed annually

**Take the next step**

**Enables real-time project tracker**

**Delivers powerful visual insight helps you to stay on top of your timelines and work efforts**

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## Team

\$33

Per user, Per month

3 User Package ③

Plan includes:

Standard CMMS Features

Unlimited Helpdesk Support

Software Updates &amp; Upgrades

24/7 Online Training

Access on Mobile Devices

ATTEND A DEMO

## Professional

MOST POPULAR

\$85

Per user Per month

3 or More Users ③

Includes Everything in Team +

Advanced Feature Set

Dedicated Account Management

Interactive Image Mapping

Automated Workflows

Work Request Options

Sandbox Staging Account

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\$120

Per user Per month

5 or More Users ③

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Employee timecards

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SolarWinds Web Help Desk® software is priced per technician. Service desk pricing is based on a tiered structure starting at \$695\* for 1-5 technician comparison to other help desk ticketing or service management solutions, this is not a monthly cost—you own the product in perpetuity. In addition include one year of maintenance with your purchase.

Technicians	End Users	Price Per License
1 to 5	Unlimited	\$695
6 to 10	Unlimited	\$690
11 to 20	Unlimited	\$685
21 to 30	Unlimited	\$680
31 to 40	Unlimited	\$665
41 to 50	Unlimited	\$635
51 to 75	Unlimited	\$595
76 to 100	Unlimited	\$555
100+	Unlimited	Contact us for pricing

\*Price Setting is in USD as of August 1, 2017. Prices vary based on geographic region. SolarWinds reserves the right to change pricing.



Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 22, 2017

**Subject:** Resolution No. 07-17/18  
**CREATING A COLLEGE CULTURE**

Creating a college-bound culture supports the district's goal of maintaining high student achievement and providing all students with access to university preparation courses.

**Staff Analysis:** Creating a college-bound culture in our district provides a framework for staff, parents, and the community to engage in collaboration to prepare all students to attend college. Through a variety of activities for students and their families, Alum Rock schools promote a college-going culture in order to celebrate, inspire, and promote college aspirations for all.

**Recommendation:** Staff recommends approval of the resolution for Creating a College Culture in the 2017-2018 school year.

Submitted by: Rene Sanchez

RS

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.11  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**RESOLUTION NO. 07-17/18**  
**CREATING A COLLEGE CULTURE IN THE ALUM ROCK SCHOOL DISTRICT**  
**OCTOBER 2017**

**WHEREAS**, the mission of the Alum Rock Union School District states: We believe that all students can learn, all students can succeed. We recognize and value the diversity of our student population. We believe that diversity enhances students' ability and opportunity to learn. In order to achieve this mission, we have a single goal: to increase the academic achievement of our students.

**WHEREAS**, according to the Alum Rock Union School District Board of Trustees, the parent and community goal for 2017-2018 is to focus on the development and strengthening of a system for increasing parent support of District schools and involvement in student learning; and

**WHEREAS**, the demand for educated workers will rise by 2025, with two out of five jobs requiring a college degree; and

**WHEREAS**, a Bachelor's Degree provides young people with a lifetime income gain of approximately \$1,000,000 and college graduates are 50% more likely to vote; and

**WHEREAS**, we believe every child should have a dream for college or post-secondary education; and

**WHEREAS**, when adults share their belief that everyone can go to college and talk with young people about their education, they inspire young people to design their own pathway to college and demystify college for children and youth;

**NOW, THEREFORE BE IT RESOLVED**, that the Board of Trustees of the Alum Rock Union School District and the Superintendent create a multilingual "College for All" public engagement campaign to inform students, parents and staff of the value of a college education and the requirements necessary for students to enroll in four-year colleges and universities in the 2017-2018 school year; and

**RESOLVED**, further, that the Superintendent establish an implementation committee that collaborates with employee and employer organizations, post-secondary institutions, and other stakeholders, including parents and students, to incorporate community involvement in the development and implementation of the District's strategy; and

**BE IT FURTHER RESOLVED**, that the Alum Rock Union Elementary School District encourages every educator and every staff member to inspire and encourage students to believe they can go to college by creating a college culture.

**PASSED AND ADOPTED** this 14<sup>th</sup> day of September, 2017, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

Ayes \_\_\_\_\_

Noes \_\_\_\_\_

Absent \_\_\_\_\_

Abstain \_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

\_\_\_\_\_

Andrés Quintero, Vice-President

\_\_\_\_\_

Dolores Marquéz-Frausto, Clerk

\_\_\_\_\_

Karen Martinez, Member

\_\_\_\_\_

Khanh Tran, Member

\_\_\_\_\_

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on September, 14th, 2017.

\_\_\_\_\_  
Hilaria Bauer, Ph.D., Superintendent

\_\_\_\_\_  
Date



14.12

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 22, 2017

**Subject:** Resolution No. 08-17/18  
Hispanic Heritage Month  
September 15 – October 15, 2017

**Staff Analysis:** Latino contributions to the growth and development of the United States have been many. As this nation looks forward to the 21<sup>st</sup> century, Latinos will continue to play a major role in meeting the opportunities and challenges ahead. Hispanic Heritage Month celebrates the Latino contribution. It also lets us recognize America's cultural diversity – and the contributions of all of its citizens. Seventy-eight percent of the students in Alum Rock Union Elementary School District are of Hispanic origin, therefore, the Board is encouraged to proclaim September 15<sup>th</sup> – October 15<sup>th</sup> Hispanic Heritage Month. Educational communities are encouraged to commemorate this time with appropriate instructional activities.

**Recommendation:** Staff recommends approval of Hispanic Heritage Month for September 15<sup>th</sup> – October 15<sup>th</sup>, 2017.

Submitted by: Rene Sanchez

R.S.

Title: Assistant Superintendent, Instructional Services

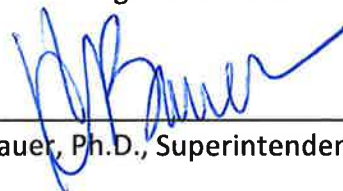
To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.12

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**RESOLUTION NO. 08-17/18**  
**HISPANIC HERITAGE MONTH**  
**SEPTEMBER 15 – OCTOBER 15, 2017**

**WHEREAS**, more than one in eight people in the United States are of Hispanic origin; and

**WHEREAS**, 44.2% of the population in the West Region of the United States is of Hispanic origin; and

**WHEREAS**, 78% of the students in the Alum Rock Union Elementary School District are of Hispanic origin

**WHEREAS**, the contributions of the Hispanics in the development and success of America are woven into almost every segment of the nation's history, and to envision America untouched by Latinos is to imagine a country without much of the folklore and many of its achievements; and

**WHEREAS**, recognizing that America's cultural diversity-and the contributions of all its citizens-has made America's fabric strong throughout its history; and

**WHEREAS**, Hispanics have always played major roles in building this country and making it a better place;

**NOW, THEREFORE, BE IT RESOLVED**, that the Alum Rock Union Elementary School District joins with all other educational agencies, governmental bodies, and community organizations in proclaiming and designating September 15 to October 15, 2017, *Hispanic Heritage Month*, and encourages all educational communities to observe this month with appropriate instructional activities.

**PASSED AND ADOPTED** this 14<sup>th</sup> day of September, 2017, at a regular meeting by the following vote:

---

Ayes\_\_\_\_\_

Noes\_\_\_\_\_

Absent\_\_\_\_\_

Abstain\_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

\_\_\_\_\_

Andrés Quintero, Vice-President

\_\_\_\_\_

Dolores Marquéz-Frausto, Clerk

\_\_\_\_\_

Karen Martinez, Member

\_\_\_\_\_

Khanh Tran, Member

\_\_\_\_\_

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on September 14, 2017.

---

Dr. Hilaria Bauer, Ph.D., Superintendent

---

Date

14.13

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**


To the Board of Trustees:

Date: August 22, 2017

**Subject:** Resolution No. 09-17/18  
Character Education Month  
October, 2017

**Staff Analysis:** The State Superintendent of Public Instruction and the State Board of Education encourage California's local education agencies to promote effective character education programs by taking a proactive and comprehensive approach that encourages responsible, ethical, civic-minded behavior in all phases of school life. Local school boards are encouraged to observe the month of October, 2017, as Character Education Month.

**Recommendation:** Staff recommends proclaiming October, 2017, as Character Education Month.

Submitted by: Rene Sanchez  Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.13

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**RESOLUTION NO. 09-17/18**  
**CHARACTER EDUCATION MONTH, OCTOBER 2017**

**WHEREAS**, California's State Superintendent of Public Instruction and the State Board of Education believe that all students enrolled in California Public Schools have the right to a comprehensive curriculum that promotes a common core of personal and social values as the basis of good character;

**WHEREAS**, the State Superintendent of Public Instruction and the State Board of Education believe that America's heritage and laws reflect a common core of personal and social values that holds the citizens of this democracy responsible for acting morally and ethically, being actively involved in school and community, resolving differences peacefully, and respecting the rights, dignity, and property of others;

**WHEREAS**, the State Superintendent of Public Instruction and the State Board of Education believe that the character of our state is only as strong as the character of each individual citizen;

**WHEREAS**, although character development is, first and foremost, an obligation of families, the efforts of Faith communities and schools, as well as youth, civic, and human services organizations also play a very significant role by supporting families and otherwise fostering and promoting good character;

**WHEREAS**, state law calls upon educators to impress upon students the principles of morality, truth, justice, patriotism, and a true comprehension of the rights, duties, and dignity of American citizenship; and the meaning of equality and human dignity, all of which result in awakening students to the moral and ethical virtues that build a fundamental strength of character;

**WHEREAS**, an effective character education program requires an intentional, proactive, consistent, and comprehensive approach that promotes a common core of personal and social values in all phases of school life, core values that include trustworthiness, respect, responsibility, fairness, caring citizenship, civic virtue, honesty, and personal responsibilities; now, therefore, be it

**RESOLVED**, the State Superintendent of Public Instruction and the State Board of Education, join in proclaiming and observing the month of October, 2017, as CHARACTER EDUCATION MONTH, and be it further

**RESOLVED**, the State Superintendent of Public Instruction and the State Board of Education encourage California's local education agencies to promote effective character education programs by taking a proactive and comprehensive approach that encourages responsible, ethical, civic-minded behavior in all phases of school life. Local school boards are encouraged to observe the month of October, 2017, as Character Education Month.

**PASSED AND ADOPTED** this 14<sup>th</sup> day of September, 2017, at a regular meeting by the following vote:

Ayes \_\_\_\_\_

Noes \_\_\_\_\_

Absent \_\_\_\_\_

Abstain \_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

\_\_\_\_\_

Andrés Quintero, Vice-President

\_\_\_\_\_

Dolores Marquéz-Frausto, Clerk

\_\_\_\_\_

Karen Martinez, Member

\_\_\_\_\_

Khanh Tran, Member

\_\_\_\_\_

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on September 14, 2017.

\_\_\_\_\_  
Dr. Hilaria Bauer, Ph.D., Superintendent

\_\_\_\_\_  
Date

14.14

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 22, 2017

**Subject:**

**Resolution No. 10-17/18**

**WEEK OF THE SCHOOL ADMINISTRATOR – OCTOBER 8 – 14, 2017**

*October 8 – 14, 2017, has been proclaimed as the Week of the School Administrator*

**Staff Analysis:**

The week of October 8 through October 14, 2017, has been designated as the "Week of the School Administrator" to commend the administrators of California public schools for their support and contributions to the quality of public education in this state.

**Recommendation:**

Staff recommends approval of Resolution No. 10-17/18, Week of the School Administrator as presented.

Submitted by: Rene Sanchez

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.14  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_

**Resolution No. 10-17/18**  
**PROCLAIMING OCTOBER 8-14, 2017, AS THE**  
**“WEEK OF THE SCHOOL ADMINISTRATOR”**

**WHEREAS**, the week of October 8 through October 14, 2017, has been designated as the “Week of the School Administrator,” and

**WHEREAS**, approximately 15,000 certificated and classified school administrators work in California’s public schools; and

**WHEREAS**, nearly sixty percent (60%) of these administrators are principals, and vice principals providing direct support for the educational programs at school sites; and

**WHEREAS**, research has determined that one of the main attributes of effective schools is the competent leadership of principals; and

**WHEREAS**, other certificated and classified administrators provide leadership and support for the educational program by developing and implementing the curriculum, recruiting, training, supporting teachers and parents, planning and mainstreaming school facilities, and providing transportation, nutrition, and social service programs to pupils and their families; and

**WHEREAS**, a school’s administrative team includes confidential employees who perform and assist in the performance of many critical functions;

**NOW, THEREFORE, BE IT RESOLVED**, that the week of October 8 - 14, 2017, be proclaimed the “Week of the School Administrator”: in honor of the many outstanding administrative teams in California’s public school districts; and

**PASSED AND ADOPTED** this 14<sup>th</sup> day of September, 2017, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

Ayes \_\_\_\_\_ Noes \_\_\_\_\_ Absent \_\_\_\_\_ Abstain \_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President	_____
Andrés Quintero, Vice-President	_____
Dolores Marquéz-Frausto, Clerk	_____
Karen Martinez, Member	_____
Khanh Tran, Member	_____

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on September 14, 2017.

\_\_\_\_\_  
Hilaria Bauer, Ph.D., Superintendent

\_\_\_\_\_  
Date



14.15

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 24, 2017

**Subject:** **Resolution No. 11-17/18, Regarding Sufficiency or Insufficiency of Instructional Materials**

**Staff Analysis:** Education Code Section 60119 requires that the Governing Board adopt a resolution determining that every student is provided with sufficient textbooks and instructional materials aligned to the academic content standards and consistent with the cycles and content of the curriculum frameworks. Staff evaluation of all sites has determined that this is the case.

**Recommendation:** Staff recommends approval of Resolution No. 11-17/18, Sufficiency of Instructional Materials as presented.

Submitted by: Carlos Moran  Title: Director of State and Federal Programs  
Approved by: Rene Sanchez  Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.15  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**RESOLUTION No. 11-17/18**  
**REGARDING SUFFICIENCY OR INSUFFICIENCY OF INSTRUCTIONAL MATERIALS**

**WHEREAS**, the Governing Board of Alum Rock Union School District, in order to comply with the requirements of *Education Code Section 60119* held a public hearing September 14, 2017, at 5:30 p.m., which is on or before the eighth week of school (between the first day that students attend school and the eighth week from that day) and which did not take place during or immediately following school hours, and;

**WHEREAS**, the Governing Board provided at least 10 days notice of the public hearing posted in at least three public places within the district that stated the time, place, and purpose of the hearing, and;

**WHEREAS**, the Governing Board encouraged participation by parents/guardians, teachers, members of the community, and bargaining unit leaders in the public hearing, and;

**FOR A FINDING OF SUFFICIENT INSTRUCTIONAL MATERIALS:**

**WHEREAS**, information provided at the public hearing and to the governing board at the public meeting detailed the extent to which textbooks and instructional materials were provided to all students, including English learners, in the Alum Rock Union School District, and;

**WHEREAS**, the definition of "sufficient textbooks or instructional materials" means that each student, including English learners, has a textbook or instructional materials, or both, to use in class and take home, and;

**WHEREAS**, between 2008-2009 through 2017-2018 fiscal years, the definition of "sufficient textbooks or instructional materials" also means that all students who are enrolled in the same course within the Alum Rock Union School District, have standards-aligned textbooks or instructional materials from the same adoption cycle, and;

**WHEREAS**, sufficient textbooks and instructional materials were provided to each student, including English learners that **are aligned to the academic content standards** and consistent with the cycles and content of the curriculum frameworks in the following subjects:

- Mathematics – **(See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)**
- Science – **(See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)**
- History – Social Science – **(See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)**
- English/language arts, including the English language development component of an adopted program – **(See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)**

**THEREFORE, IT IS RESOLVED** that for the 2017-2018 school year, the Alum Rock Union School District has provided each student with sufficient textbooks and instructional materials aligned to the academic content standards and consistent with the cycles and content of the curriculum frameworks.

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**PASSED AND ADOPTED** this 14<sup>th</sup> day of September, 2017, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes \_\_\_\_\_

Noes \_\_\_\_\_

Absent \_\_\_\_\_

Abstain \_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

Andrés Quintero, Vice President

Dolores Márquez-Frausto, Board Clerk

Khanh Tran, Member

Karen Martinez, Member

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**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 14, 2017.

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Hilaria Bauer, Ph.D., Superintendent

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Date

**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Middle School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119	PURCHASED		TO USE		
			GRADE	YES	NO	YES	NO
English Language Arts/English Language Development (ELA/ELD) Instructional Materials	School/district provides standards-aligned core instructional materials, and/or accelerated interventions, in ELA/ELD for each pupil to use in class and to take home.	<p>The middle school has distributed to students the district's selection of standards-aligned textbooks or instructional materials.</p> <p><b>SBE-adopted Basic Programs (Program 1) for RLA/ELD include:</b></p> <p><input type="checkbox"/> Amplify Education, Inc., <i>Amplify ELA: California Edition</i>, 2015, Gr. 6–8</p> <p><input type="checkbox"/> EMC Publishing, LLC, <i>Mirrors &amp; Windows: Connecting with Literature</i>, 2015, Gr. 6–8</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>Reading Wonders</i>, 2015, K–6</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>StudySync</i>, 2015, 6–8</p> <p><input type="checkbox"/> Pearson Scott Foresman and Prentice Hall, <i>CA Pearson System of Courses</i>, 2015, Gr. K–8</p> <p><input type="checkbox"/> The College Board – SpringBoard, <i>SpringBoard, English Language Arts</i>, 2015, Gr. 7–8</p> <p><b>SBE-adopted Basic Programs with English Language Development (Program 2) for RLA/ELD include:</b></p> <p><input type="checkbox"/> Amplify Education, Inc., <i>Amplify ELA: California Edition and Amplify ELD: California Edition</i>, 2015, Gr. 6–8</p> <p><input type="checkbox"/> Benchmark Education Company, <i>Benchmark Advance</i>, 2015, K–6</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Houghton Mifflin Harcourt California Journeys</i> ©2017, 2015, Gr. K–5</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Houghton Mifflin Harcourt California Journeys Collections</i> ©2017, 2015, Gr. K–6</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Houghton Mifflin Harcourt California Journeys Collections</i> ©2017, 2015, Gr. 6–8</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>Reading Wonders</i>, 2015, Gr. K–6</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>StudySync</i>, 2015, Gr. 6–8</p> <p><input type="checkbox"/> National Geographic Learning/Cengage Learning, <i>Reach for Reading</i>, 2015, Gr. K–6</p> <p><input type="checkbox"/> The College Board – SpringBoard, <i>SpringBoard, English Language Arts &amp; English Language Development</i>, 2015, Gr. 7–8</p> <p><b>SBE-adopted Primary Language Programs with English Language Development (Program 3) for RLA/ELD include:</b></p> <p><input type="checkbox"/> Benchmark Education Company, <i>Benchmark Adelante</i>, 2015, K–6</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>Lectura Maravillas</i>, 2015, K–6</p>	6				
			7				
			8				
			<b>Comments:</b>				
<p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>							

**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Middle School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119		PURCHASED		TO USE	
		GRADE		YES	NO	YES	NO
ELA/ELD Instructional Materials (cont.)	School/district provides standards-aligned core instructional materials, and/or accelerated interventions, in ELA/ELD for each pupil to use in class and to take home.	6					
		7					
		8					
		Comments:					
<p>The middle school has distributed to students the district's selection of standards-aligned adopted RLA/ELD textbooks or instructional materials.</p> <p><b>SBE-adopted Intervention Programs (Program 4) for RLA/ELD include:</b></p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>FLEX Literacy</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> National Geographic Learning/Cengage Learning, <i>Inside</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> Pearson Education, Inc., p.a. Scott Foresman and Prentice Hall, <i>CA Pearson iLit</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> HMH Intervention Solutions (formerly Scholastic, Inc.), <i>California Read 180 Universal System</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> Voyager Sopris Learning, Inc., <i>LANGUAGE! Live California</i>, 2015, Gr. 4–8</p> <p><b>SBE-adopted Intervention Programs for English Learners (Program 5) for RLA/ELD include:</b></p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Houghton Mifflin Harcourt California Escalate English</i> ©2017, 2015, Gr. 4–8</p> <p><input type="checkbox"/> Pearson Education, Inc., p.a. Scott Foresman and Prentice Hall, <i>CA Pearson iLit ELL</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> HMH Intervention Solutions (formerly Scholastic, Inc.), <i>California English 3D Course A and Course B System</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> <b>Alternative Current Materials</b></p> <p>The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p>		<p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>					

**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Middle School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119		PURCHASED		TO USE	
		GRADE		YES	NO	YES	NO
Mathematics Instructional Materials	School/district provides standards-aligned core instructional materials in mathematics, for each pupil to use in class and to take home.	6	The middle school has distributed to students the district's selection of standards-aligned adopted mathematics textbooks or instructional materials.				
		7					
		8					
		<b>Comments:</b>  <b>SBE-adopted Basic Grade-Level Mathematics Programs include:</b> <input type="checkbox"/> Agile Mind, <i>Common Core Middle School Mathematics</i> , 2014, Gr. 6–8 <input type="checkbox"/> Big Ideas Learning, <i>Big Ideas Math</i> , 2014, Gr. 6–8 <input type="checkbox"/> Center for Mathematics and Teaching, <i>Math Links</i> , 2014, Gr. 8 <input type="checkbox"/> CPM Educational Programs, <i>Core Connections, Courses 1-3</i> , 2014, Gr. 6–8 <input type="checkbox"/> Edgenuity, Inc., <i>Edgenuity California Common Core Mathematics</i> , 2014, Gr. 6–8 <input type="checkbox"/> Houghton Mifflin Harcourt, <i>Go Math!</i> , 2014, Gr. K–6 <input type="checkbox"/> Houghton Mifflin Harcourt, <i>Go Math!</i> , 2014, Gr. 6–8 <input type="checkbox"/> Houghton Mifflin Harcourt, <i>Math Expressions</i> , 2014, Gr. K–6 <input type="checkbox"/> Houghton Mifflin Harcourt, <i>Math in Focus</i> , 2014, Gr. K–8 <input type="checkbox"/> McGraw-Hill, <i>California Math, Courses 1-3</i> , 2014, Gr. 6–8 <input type="checkbox"/> McGraw-Hill, <i>Glencoe Math Accelerated</i> , 2014, Gr. 7 <input type="checkbox"/> Pearson, <i>Common Core System of Courses</i> , 2014, Gr. K–8 <input type="checkbox"/> Pearson, <i>CA Digits</i> , 2014, Gr. 6–8 <input type="checkbox"/> Pearson Scott Foresman, <i>enVision Math</i> , 2014, Gr. K–6 <input type="checkbox"/> Perfection Learning, <i>Kinetic Pre-Algebra</i> , 2014, Gr. 8 <input type="checkbox"/> Reasoning Mind, <i>Reasoning Mind Algebra Readiness Program</i> , 2014, Gr. 2–6 <input type="checkbox"/> The College Board, <i>SpringBoard Mathematics</i> , 2014, Gr. 6–8 <input type="checkbox"/> TPS Publishing Inc., <i>Creative Core Curriculum for Mathematics with STEM, Literacy and Arts</i> , 2014, Gr. K–8 <input type="checkbox"/> Triumph Learning., <i>Common Core Math Curriculum</i> , 2014, Gr. 6–8  <input type="checkbox"/> <b>Alternative Current Materials</b> The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to Education Code Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.					
<p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>							



**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Middle School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119				PURCHASED		TO USE	
		GRADE	YES	NO	YES	NO			
Mathematics Instructional Materials (cont.)	<p>School/district provides standards-aligned core instructional materials in mathematics, for each pupil to use in class and to take home.</p> <p>The middle school has distributed to students the district's selection of standards-aligned adopted mathematics textbooks or instructional materials.</p> <p><b>SBE-adopted Algebra 1 Programs include:</b></p> <p><input type="checkbox"/> Agile Mind, <i>Common Core Algebra 1 Mathematics</i>, 2014</p> <p><input type="checkbox"/> Aleks Corporation, <i>CA Algebra 1</i>, 2014</p> <p><input type="checkbox"/> Big Ideas Learning, <i>Big Ideas Algebra 1</i>, 2014</p> <p><input type="checkbox"/> CPM Educational Programs, <i>Core Connections Algebra 1</i>, 2014</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Algebra 1: Analyze, Connect, Explore California</i>, 2015</p> <p><input type="checkbox"/> JRL Enterprises, <i>I CAN Learn Algebra 1</i>, 2014</p> <p><input type="checkbox"/> McGraw-Hill, <i>Glencoe Algebra 1</i>, 2014</p> <p><input type="checkbox"/> Pearson, <i>CA Common Core Algebra 1</i>, 2014</p> <p><input type="checkbox"/> Perfection Learning, <i>Kinetic Algebra 1</i>, 2014</p> <p><input type="checkbox"/> The College Board, <i>SpringBoard Mathematics Algebra 1</i>, 2014</p> <p><b>SBE-adopted Mathematics 1 Programs include:</b></p> <p><input type="checkbox"/> Pearson, <i>Common Core Integrated Math 1</i>, 2014</p> <p><input type="checkbox"/> <b>Alternative Current Materials</b></p> <p>The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p>	6							
		7							
		8							
		Comments:							
<p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>									



**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Middle School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119		PURCHASED		TO USE	
		GRADE		YES	NO	YES	NO
History– Social Science Instructional Materials	<p>School/district provides standards-aligned core instructional materials in history-social science for each pupil to use in class and to take home.</p>		The middle school has distributed to students the district's selection of standards-aligned history-social science textbooks or instructional materials.				
		6	<b>SBE-adopted history-social science programs include:</b>				
		7	<input type="checkbox"/> Glencoe/McGraw-Hill, <i>Glencoe Discovering Our Past*</i> , 2006, Gr. 6–8 <input type="checkbox"/> Harcourt School Publishers, <i>Reflections: California Series*</i> , 2007, Gr. K–6 <input type="checkbox"/> Holt, Rinehart and Winston, <i>Holt California Social Studies*</i> , 2006, Gr. 6–8 <input type="checkbox"/> Houghton Mifflin, <i>Houghton Mifflin Social Science*</i> , 2007, Gr. K–6 <input type="checkbox"/> Macmillan/McGraw-Hill, <i>California Vistas*</i> , 2007, Gr. K–6 <input type="checkbox"/> McDougal Littell, <i>McDougal Littell California Middle School Social Studies Series*</i> , 2006, Gr. 6–8 <input type="checkbox"/> Oxford University Press, <i>Oxford History-Social Science Program for California</i> , 2005, Gr. 5–8 <input type="checkbox"/> Pearson Prentice Hall, <i>Prentice Hall Social Studies*</i> , 2006, Gr. 6–8 <input type="checkbox"/> Teachers' Curriculum Institute, <i>History Alive! California Middle Schools Program*</i> , 2005, Gr. 6–8				
		8					
		<p><b>Comments:</b></p> <p><b>*Alternative Current Materials</b>                      The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p> <p><b>Note:</b> The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pr/srn">http://www.cde.ca.gov/re/pr/srn</a> provides instructional materials in accessible formats for students with disabilities.</p> <p><b>Note:</b> Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>					

**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Middle School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119				PURCHASED		TO USE	
		GRADE	YES	NO	YES	NO			
Science Instructional Materials	<p>School/district provides standards-aligned core instructional materials in science for each pupil to use in class and to take home.</p> <p>The middle school has distributed to students the district's selection of standards-aligned science textbooks or instructional materials.</p> <p><b>SBE-adopted science programs include:</b></p> <p><input type="checkbox"/> CPO Science, <i>Focus on Earth, Life, and Physical Science</i>*, 2007, Gr. 6–8</p> <p><input type="checkbox"/> Glencoe/McGraw-Hill, <i>Glencoe Science Focus On Series</i>*, 2007, Gr. 6–8</p> <p><input type="checkbox"/> Harcourt School Publishers, <i>California Science</i>*, 2008, Gr. K–6</p> <p><input type="checkbox"/> Holt, Rinehart and Winston, <i>Holt California Science: Earth, Life, and Physical Science</i>*, 2007, Gr. 6–8</p> <p><input type="checkbox"/> Houghton Mifflin, <i>Houghton Mifflin California Science</i>*, 2007, Gr. K–6</p> <p><input type="checkbox"/> It's About Time, <i>Investigating Earth Systems, InterActions in Physical Science</i>, 2007, Gr. 6, 8</p> <p><input type="checkbox"/> Macmillan/McGraw-Hill, <i>Macmillan/McGraw-Hill California Science</i>*, 2008, Gr. K–6</p> <p><input type="checkbox"/> McDougal Littell, <i>McDougal Littell California Middle School Science Series</i>*, 2007, Gr. 6–8</p> <p><input type="checkbox"/> Pearson Prentice Hall, <i>Prentice Hall California Science Explorer, Focus on Earth, Life, and Physical Science</i>*, 2008, Gr. 6–8</p> <p><input type="checkbox"/> Pearson Scott Foresman, <i>Scott Foresman California Science</i>*, 2008, Gr. K–6</p> <p>*Available in Spanish as alternate format.</p> <p><input type="checkbox"/> <b>Alternative Current Materials</b></p> <p>The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p>	6							
		7							
		8							
		Comments:							

Note: The Clearinghouse for Specialized Media and Technology at <http://www.cde.ca.gov/re/pn/sm> provides instructional materials in accessible formats for students with disabilities.

Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.

**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Middle School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119	Program	PURCHASED		TO USE	
				YES	NO	YES	NO
Foreign Language Instructional Materials	School/district provides foreign language instructional materials in all classrooms for all students enrolled in foreign language courses.	<p>The middle school has distributed to students foreign language textbooks or instructional in all classrooms for all students enrolled in foreign language course(s).</p> <p><b>SBE-adopted foreign language programs include:</b></p> <p><b>Spanish</b></p> <input type="checkbox"/> EMC/Paradigm Publishing, <i>Navegando 1A and 1B, 2005*</i> <input type="checkbox"/> Glencoe/McGraw-Hill, <i>Glencoe Spanish 1Buen viaje!</i> <input type="checkbox"/> Glencoe/McGraw-Hill, <i>Glencoe Middle School Spanish Como te va?</i> <input type="checkbox"/> Holt, Rinehart and Winston, <i>Ven conmigo! Holt Spanish</i> <input type="checkbox"/> McDougal, Littell & Company, <i>Tu mundo/Nuestro mundo</i> <input type="checkbox"/> McDougal, Littell & Company, <i>En español!</i> <input type="checkbox"/> Prentice Hall, Inc., <i>Realidades</i> <input type="checkbox"/> Wright Group, <i>¡Viva el español!*</i>	Spanish				
			French				
			German				
			Japanese				
			Latin				
			Chinese				
			Other:				
Comments:							
		<p><b>French</b></p> <input type="checkbox"/> Glencoe/McGraw-Hill, <i>Glencoe French 1 Bon voyage!</i> <input type="checkbox"/> Holt, Rinehart and Winston, <i>Allez, viens! Holt French</i> <input type="checkbox"/> McDougal, Littell & Company, <i>Discovering French, Nouveau!</i>					
		<p><b>German</b></p> <input type="checkbox"/> McDougal, Littell & Company, <i>Auf Deutsch!</i>					
		<p><b>Japanese</b></p> <input type="checkbox"/> Cheng and Tsui, <i>Mirai</i>					
		<p><b>Latin</b></p> <input type="checkbox"/> Cambridge University Press, <i>Cambridge Latin Course</i> <input type="checkbox"/> Glencoe/McGraw-Hill, <i>Glencoe Latin 1: Latin for Americans</i> <input type="checkbox"/> Prentice-Hall, Inc., <i>Ecce Romani</i>					
		*Program added by 2005 Follow-Up Adoption.					

Note: The Clearinghouse for Specialized Media and Technology at <http://www.cde.ca.gov/re/pn/sm> provides instructional materials in accessible formats for students with disabilities.

# Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119 Middle School Level – 2016-17

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119	PURCHASED		TO USE	
			YES	NO	YES	NO
Health Instructional Materials	School/district provides health instructional materials in all classrooms for all students enrolled in health course(s).	<p>The middle school has distributed to students health textbooks or instructional materials in all classrooms for all students enrolled in health course(s).</p> <p><b>SBE-adopted health programs include:</b></p> <p><input type="checkbox"/> Macmillan/McGraw-Hill, <i>Macmillan/McGraw-Hill Health &amp; Wellness</i></p> <p><input type="checkbox"/> Glencoe/McGraw-Hill, <i>Glencoe Teen Health*</i></p> <p><input type="checkbox"/> Holt, Rinehart and Winston, <i>Holt Decisions for Health*</i></p> <p>*Available in Spanish as alternate format.</p>				
<p><b>Program</b></p> <p>Health</p> <p>Other:</p> <p>Other:</p> <p>Comments:</p>						
<p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p>						

This CDE template lists for schools/districts all the SBE-adopted instructional materials. This form is to assist county superintendents of schools to evaluate the sufficiency of textbooks or instructional materials.  
California Department of Education: August 2016

**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Elementary School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119	PURCHASED		TO USE		
			GRADE	YES	NO	YES	NO
English Language Arts/English Language Development (ELA/ELD) Instructional Materials	<p>school/district provides standards-aligned core instructional materials, and/or accelerated interventions, in ELA/ELD for each pupil to use in class and to take home.</p>	<p><b>The elementary school has distributed to students the district's selection of standards-aligned ELA/ELD textbooks or instructional materials.</b></p> <p><b>SBE-adopted Basic Programs (Program 1) for ELA/ELD include:</b></p> <p><input type="checkbox"/> Amplify Education, Inc., <i>Amplify ELA: California Edition</i>, 2015, Gr. 6–8</p> <p><input type="checkbox"/> EMC Publishing, LLC, <i>Mirrors &amp; Windows: Connecting with Literature</i>, 2015, Gr. 6–8</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>Reading Wonders</i>, 2015, Gr. K–6</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>StudySync</i>, 2015, Gr. 6–8</p> <p><input type="checkbox"/> Pearson Education, Inc., p.a. Scott Foresman and Prentice Hall, <i>CA Pearson System of Courses</i>, 2015, Gr. K–8</p> <p><input type="checkbox"/> The College Board – SpringBoard, <i>SpringBoard, English Language Arts</i>, 2015, Gr. 7–8</p> <p><b>SBE-adopted Basic Programs with English Language Development (Program 2) for ELA/ELD include:</b></p> <p><input type="checkbox"/> Amplify Education, Inc., <i>Amplify ELA: California Edition and Amplify ELD: California Edition</i>, 2015, Gr. 6–8</p> <p><input type="checkbox"/> Benchmark Education Company, <i>Benchmark Advance</i>, 2015, Gr. K–6</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Houghton Mifflin Harcourt California Journeys</i> ©2017, Gr. K–5</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Houghton Mifflin Harcourt California Journeys-Collections</i> ©2017, Gr. K–6</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Houghton Mifflin Harcourt California Collections</i> ©2017, Gr. 6–8</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>Reading Wonders</i>, 2015, Gr. K–6</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>StudySync</i>, 2015, Gr. 6–8</p> <p><input type="checkbox"/> National Geographic Learning/Cengage Learning, <i>Reach for Reading</i>, 2015, Gr. K–6</p> <p><input type="checkbox"/> The College Board – SpringBoard, <i>SpringBoard, English Language Arts &amp; English Language Development</i>, 2015, Gr. 7–8</p> <p><b>SBE-adopted Primary Language Programs with English Language Development (Program 3) for ELA/ELD include:</b></p> <p><input type="checkbox"/> Benchmark Education Company, <i>Benchmark Adelante</i>, 2015, Gr. K–6</p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>Lectura Maravillas</i>, 2015 Gr. K–6</p>	K				
			1				
			2				
			3				
			4				
			5				
			6				
Comments:							
<p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>							



**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Elementary School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119	GRADE	PURCHASED		TO USE	
				YES	NO	YES	NO
ELA/ELD Instructional Materials (cont.)	School/district provides standards-aligned core instructional materials, and/or accelerated interventions, in ELA/ELD for each pupil to use in class and to take home.	<p>The elementary school has distributed to students the district's selection of standards-aligned ELA/ELD textbooks or instructional materials.</p> <p><b>SBE-adopted Intervention Programs (Program 4) for ELA/ELD include:</b></p> <p><input type="checkbox"/> McGraw-Hill School Education, <i>FLEX Literacy</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> National Geographic Learning/Cengage Learning, <i>Inside</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> Pearson Education, Inc., p.a. Scott Foresman and Prentice Hall, <i>CA Pearson iLit</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> HMH Intervention Solutions (formerly Scholastic, Inc.), <i>California Read 180 Universal System</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> Voyager Sopris Learning, Inc., <i>LANGUAGE! Live California</i>, 2015, Gr. 4–8</p> <p><b>SBE-adopted Intervention Programs for English Learners (Program 5) for ELA/ELD include:</b></p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Houghton Mifflin Harcourt California Escalate English</i> ©2017, 2015, Gr. 4–8</p> <p><input type="checkbox"/> Pearson Education, Inc., p.a. Scott Foresman and Prentice Hall, <i>CA Pearson iLit ELL</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> HMH Intervention Solutions (formerly Scholastic, Inc.), <i>California English 3D Course A and Course B System</i>, 2015, Gr. 4–8</p> <p><input type="checkbox"/> <b>Alternative Current Materials</b></p> <p>The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p>	K				
			1				
			2				
			3				
			4				
			5				
			6				
Comments:			<p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>				



**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Elementary School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119		PURCHASED		TO USE	
		GRADE	YES	NO	YES	NO	
Mathematics Instructional Materials	School/district provides standards-aligned core instructional materials in mathematics for each pupil to use in class and to take home.	K					
		1					
		2					
		3					
		4					
		5					
		6					
		<p><b>Comments:</b></p> <p>The elementary school has distributed to students the district's selection of standards-aligned mathematics textbooks or instructional materials.</p> <p><b>SBE-adopted Basic Grade-Level Mathematics Programs include:</b></p> <p><input type="checkbox"/> Agile Mind, <i>Common Core Middle School Mathematics</i>, 2014, Gr. 6–8</p> <p><input type="checkbox"/> Big Ideas Learning, <i>Big Ideas Math</i>, 2014, Gr. 6–8</p> <p><input type="checkbox"/> Center for Mathematics and Teaching, <i>Math Links</i>, 2014, Gr. 8</p> <p><input type="checkbox"/> CPM Educational Programs, <i>Core Connections, Courses 1-3</i>, 2014, Gr. 6–8</p> <p><input type="checkbox"/> Edgenuity, Inc., <i>Edgenuity California Common Core Mathematics</i>, 2014, Gr. 6–8</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Go Math!</i>, 2014, Gr. K–6</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Go Math!</i>, 2014, Gr. 6–8</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Math Expressions</i>, 2014, Gr. K–6</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Math in Focus</i>, 2014, Gr. K–8</p> <p><input type="checkbox"/> McGraw-Hill, <i>California Math, Courses 1-3</i>, 2014, Gr. 6–8</p> <p><input type="checkbox"/> McGraw-Hill, <i>Glencoe Math Accelerated</i>, 2014, Gr. 7</p> <p><input type="checkbox"/> McGraw-Hill, <i>McGraw-Hill My Math</i>, 2014, Gr. K–5</p> <p><input type="checkbox"/> Pearson, <i>Common Core System of Courses</i>, 2014, Gr. K–8</p> <p><input type="checkbox"/> Pearson, <i>CA Digits</i>, 2014, Gr. 6–8</p> <p><input type="checkbox"/> Pearson Scott Foresman, <i>enVision Math</i>, 2014, Gr. K–6</p> <p><input type="checkbox"/> Perfection Learning, <i>Kinetic Pre-Algebra</i>, 2014, Gr. 8</p> <p><input type="checkbox"/> Reasoning Mind, <i>Reasoning Mind Algebra Readiness Program</i>, 2014, Gr. 2–6</p> <p><input type="checkbox"/> The College Board, <i>SpringBoard Mathematics</i>, 2014, Gr. 6–8</p> <p><input type="checkbox"/> TPS Publishing, Inc., <i>Creative Core Curriculum for Mathematics with STEM, Literacy and Arts</i>, 2014, Gr. K–8</p> <p><input type="checkbox"/> Triumph Learning., <i>Common Core Math Curriculum</i>, 2014, Gr. 6–8</p> <p><input type="checkbox"/> <b>Alternative Current Materials</b></p> <p>The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p> <p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>					

**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Elementary School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119		PURCHASED		TO USE	
		GRADE	YES	NO	YES	NO	
Mathematics Instructional Materials (cont.)	School/district provides standards-aligned core instructional materials in mathematics for each pupil to use in class and to take home.	K					
		1					
		2					
		3					
		4					
		5					
		6					
		<p><b>Comments:</b></p> <p>The elementary school has distributed to students the district's selection of standards-aligned mathematics textbooks or instructional materials.</p> <p><b>SBE-adopted Algebra 1 Programs include:</b></p> <p><input type="checkbox"/> Agile Mind, <i>Common Core Algebra 1 Mathematics</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> Aleks Corporation, <i>CA Algebra 1</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> Big Ideas Learning, <i>Big Ideas Algebra 1</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> CPM Educational Programs, <i>Core Connections Algebra 1</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> Houghton Mifflin Harcourt, <i>Algebra 1: Analyze, Connect, Explore California</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> JRL Enterprises, <i>I CAN Learn Algebra 1</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> McGraw-Hill, <i>Glencoe Algebra 1</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> Pearson, <i>CA Common Core Algebra 1</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> Perfection Learning, <i>Kinetic Algebra 1</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> The College Board, <i>SpringBoard Mathematics Algebra 1</i>, 2014, Gr. Algebra 1</p> <p><input type="checkbox"/> <b>Alternative Current Materials</b></p> <p>The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p> <p><b>SBE-adopted Mathematics 1 Programs include:</b></p> <p><input type="checkbox"/> Person, <i>Common Core Integrated Math 1</i>, 2014, Gr. Math 1</p> <p><input type="checkbox"/> <b>Alternative Current Materials</b></p> <p>The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p> <p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>					

**Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119  
Elementary School Level – 2016-17**

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119		PURCHASED		TO USE	
		GRADE	YES	NO	YES	NO	
History– Social Science Instructional Materials	School/district provides standards-aligned core instructional materials in history-social science for each pupil to use in class and to take home.	K					
		1					
		2					
		3					
		4					
		5					
		6					
	<p>The elementary school has distributed to students the district's selection of standards-aligned history-social science textbooks or instructional materials.</p> <p><b>SBE-adopted history-social science programs include:</b></p> <p><input type="checkbox"/> Glencoe/McGraw-Hill, <i>Glencoe Discovering Our Past*</i>, 2006, Gr. 6–8</p> <p><input type="checkbox"/> Harcourt School Publishers, <i>Reflections: California Series*</i>, 2007, Gr. K–6</p> <p><input type="checkbox"/> Holt, Rinehart and Winston, <i>Holt California Social Studies*</i>, 2006, Gr. 6–8</p> <p><input type="checkbox"/> Houghton Mifflin, <i>Houghton Mifflin Social Science*</i>, 2007, Gr. K–6</p> <p><input type="checkbox"/> Macmillan/McGraw-Hill, <i>California Vistas*</i>, 2007, Gr. K–6</p> <p><input type="checkbox"/> McDougal Littell, <i>McDougal Littell California Middle School Social Studies Series*</i>, 2006, Gr. 6–8</p> <p><input type="checkbox"/> Oxford University Press, <i>Oxford History-Social Science Program for California</i>, 2005, Gr. 5–8</p> <p><input type="checkbox"/> Pearson Prentice Hall, <i>Prentice Hall Social Studies</i>, 2006, Gr. 6–8</p> <p><input type="checkbox"/> Pearson Scott Foresman, <i>Scott Foresman History-Social Science for California*</i>, 2006, Gr. K–5</p> <p><input type="checkbox"/> Teachers' Curriculum Institute, <i>History Alive! California Middle Schools Program*</i>, 2005, Gr. 6–8</p> <p>*Available in Spanish as alternate format.</p> <p><input type="checkbox"/> <b>Alternative Current Materials</b></p> <p>The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p>	<p><b>Comments:</b></p>		<p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>			

# Instructional Materials Survey For Compliance with Education Code Sections 1240(i) and 60119 Elementary School Level – 2016-17

ESSENTIAL COMPONENT	OBJECTIVE	Compliance With Education Code Sections 1240 (i) And 60119		PURCHASED		TO USE	
		GRADE		YES	NO	YES	NO
Science Instructional Materials	School/district provides standards-aligned core instructional materials in science for each pupil to use in class and to take home.	K					
		1					
		2					
		3					
		4					
		5					
		6					
		<p><b>Comments:</b></p> <p>The elementary school has distributed to students the district's selection of standards-aligned science textbooks or instructional materials.</p> <p><b>SBE-adopted science programs include:</b></p> <p><input type="checkbox"/> CPO Science, <i>Focus on Earth, Life, and Physical Science</i>*, 2007, Gr. 6–8</p> <p><input type="checkbox"/> Delta Education, <i>Full Option Science System</i>, 2007, Gr. K–5</p> <p><input type="checkbox"/> Glencoe/McGraw-Hill, <i>Glencoe Science Focus On Series</i>*, 2007, Gr. 6–8</p> <p><input type="checkbox"/> Harcourt School Publishers, <i>California Science</i>*, 2008, Gr. K–6</p> <p><input type="checkbox"/> Holt, Rinehart and Winston, <i>Holt California Science: Earth, Life, and Physical Science</i>*, 2007, Gr. 6–8</p> <p><input type="checkbox"/> Houghton Mifflin, <i>Houghton Mifflin California Science</i>*, 2007, Gr. K–6</p> <p><input type="checkbox"/> It's About Time, <i>Investigating Earth Systems, InterActions in Physical Science</i>, 2007, Gr. 6, 8</p> <p><input type="checkbox"/> Macmillan/McGraw-Hill, <i>Macmillan/McGraw-Hill California Science</i>*, 2008, Gr. K–6</p> <p><input type="checkbox"/> McDougal Littell, <i>McDougal Littell California Middle School Science Series</i>*, 2007, Gr. 6–8</p> <p><input type="checkbox"/> Pearson Prentice Hall, <i>Prentice Hall California Science Explorer, Focus on Earth, Life, and Physical Science</i>*, 2008, Gr. 6–8</p> <p><input type="checkbox"/> Pearson Scott Foresman, <i>Scott Foresman California Science</i>*, 2008, Gr. K–6</p> <p>*Available in Spanish as alternate format.</p> <p><input type="checkbox"/> <b>Alternative Current Materials</b></p> <p>The district has developed an instructional program consistent with the content and cycles of the curriculum frameworks for this curricular area by combining one or more instructional resources. Pursuant to <i>Education Code</i> Section 60210(c), this program underwent a local review that included a majority of classroom teachers in the subject area. The program is provided to all students at this grade level or enrolled in these courses. A copy of the local governing board resolution of sufficiency is attached.</p> <p>Note: The Clearinghouse for Specialized Media and Technology at <a href="http://www.cde.ca.gov/re/pn/sm">http://www.cde.ca.gov/re/pn/sm</a> provides instructional materials in accessible formats for students with disabilities.</p> <p>Note: Please see California Education Code sections 1240, 60119 and 60210 for reference.</p>					

Office of Superintendent of Schools

14.16

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

Date: August 29, 2017

**Subject: Resolution No. 12-17/18  
October – Disability Awareness Month**

**Staff Analysis:** The California State Board of Education recognizes and proclaims the month of October as “Disability Awareness Month” and encourages all educational communities to commemorate this time with appropriate instructional activities. School-wide and classroom activities are available from the Special Education Department.

**Recommendation:** Staff recommends approval of Resolution No.12-17/18, October – Disability Awareness Month.

Submitted by: Jean Gallagher

Title: Chief Special Services Officer

Approved by: Rene Sanchez

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017

Recommend Approval

14.16  
Agenda Placement

  
Hilaria Bauer Ph. D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_



**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**

**RESOLUTION No# 12-17/18  
PROCLAIMING OCTOBER 2017  
“DISABILITY AWARENESS MONTH”**

**WHEREAS**, individuals with disabilities are a significant and vital group within our society; and

**WHEREAS**, students with disabilities are represented in all grades and programs of the Alum Rock Union Elementary School District from kindergarten through eighth grade; and

**WHEREAS**, the diversity of this group includes the physically disabled, hearing impaired, visually impaired, learning disabled, developmentally delayed, emotionally disturbed, and multiple handicapped; and

**WHEREAS**, despite the hardships, barriers and challenges they encounter, individuals with disabilities have made substantial historical, cultural, social, economic and other contributions that enrich our society; and

**WHEREAS**, Alum Rock Union Elementary School District embraces individuals with disabilities as a part of its ongoing commitment to appreciating and valuing the diversity of our population; and

**WHEREAS**, there will be a positive effect on society as our students gain a greater understanding and appreciation for those citizens who have disabilities; and

**WHEREAS**, all of our students should be educated in the least restrictive environment and fully included to the greatest degree appropriate both in education and the greater society.

**THEREFORE, BE IT RESOLVED**, on September 14th, that the Alum Rock Union Elementary School District Board of Trustees proclaims the month of October, 2017, as “Disability Awareness Month” and encourages all of its schools to commemorate this occasion with appropriate instructional activities, and be it further;

**PASSED AND ADOPTED** this 14<sup>th</sup> day of September, 2017, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

---

Ayes \_\_\_\_\_ Noes \_\_\_\_\_ Absent \_\_\_\_\_ Abstain \_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

Andrés Quintero, Vice-President

Dolores Márquez-Frausto, Clerk

Karen Martinez, Member

Khanh Tran, Member

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**I HERBY CERTIFY** that the forgoing resolution was duly and regularly introduced, passed and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on September 14, 2017.

\_\_\_\_\_  
Hilaria Bauer, Ph. D., Superintendent

\_\_\_\_\_  
Date



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

Office of Superintendent of Schools

14.17

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Superintendent of Schools:


Date: September 7, 2017

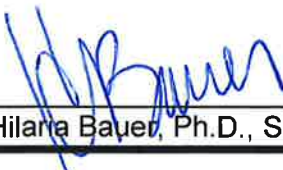
**Subject:** Resolution 13-17/18  
NJPA Piggyback Contract #031715 Furniture with Related Accessories and Services

**Staff Analysis:** Contract #031715 became effective April 9, 2015 and will continue for four years from the award date (April 9, 2019). This contract has the consideration of a fifth year renewal option at the discretion of NJPA.

To support the Districts purchasing needs for furniture, installation and related products, the District is requesting the approval to piggyback on NJPA's Agreement and any applicable contract extensions that may be granted. NJPA contracts are used by public and private schools, colleges and universities, cities, counties, non-profits, and all governmental entities throughout the country. NJPA does not charge any fees to participating government agencies.

**Recommendation:** Staff recommends approving the use of the mentioned piggyback contract and any applicable contract extensions for the purchase of furniture, installation, and related products and services through The National Joint Powers Alliance (NJPA). Full piggyback proposals are available in the Purchasing Department for review.

Prepared by: Maria J. Martinez  Title: Procurement Manager  
Approved by: Kolvira Chheng Title: Assistant Superintendent of Business Services

To the Board of Trustees: Meeting: September 14, 2017  
Recommended Approval  
14.17  
Agenda Placement   
Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_  
Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_



## Contract Acceptance and Award

(To be completed only by NJPA)

NJPA #031715 FURNITURE WITH RELATED ACCESSORIES AND SERVICES

Krueger International, Inc.  
 Proposer's full legal name

Your proposal is hereby accepted and awarded. As an awarded Proposer, you are now bound to provide the defined product/equipment and services contained in your proposal offering according to all terms, conditions, and pricing set forth in this RFP, any amendments to this RFP, your Response, and any exceptions accepted or rejected by NJPA on Form C.

The effective start date of the Contract will be April 9<sup>th</sup>, 20 15 and continue for four years from the board award date. This contract has the consideration of a fifth year renewal option at the discretion of NJPA.

National Joint Powers Alliance® (NJPA)

NJPA Authorized signature:

NJPA Executive Director

Dr. Chad Coquette  
 (Name printed or typed)

Awarded this 9<sup>th</sup> day of April, 20 15 NJPA Contract Number #031715-K11

NJPA Authorized signature:

NJPA Board Member

Scott Veronen  
 (Name printed or typed)

Executed this 9<sup>th</sup> day of April, 20 15 NJPA Contract Number #031715-K11

Proposer hereby accepts contract award including all accepted exceptions and NJPA clarifications identified on FORM C.

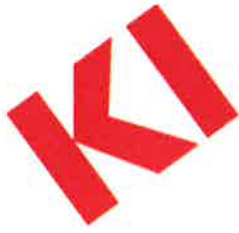
Vendor Name Krueger International, Inc. d.b.a K1

Vendor Authorized signature:

(Name printed or typed)

Title: Asst. Secretary

Executed this 14 day of April, 20 15 NJPA Contract Number #031715-K11



Furnishing Knowledge®



**KI**

**Contract#:** 031715-KII

**Category:** Furniture and Storage

**Description:** Furniture & Storage Solutions

**Maturity Date:** 04/09/2019

Furniture is the foundational building block of a quality working and learning environment. Seating must be comfortable and durable. Work surfaces must be adequate to specific tasks—such as note-taking or laptop and computer use. Beyond these functional aspects, the environmental image portrayed correlates to the perception of your performance expectations. We call on our extensive knowledge of public and private sectors to deliver efficient, ergonomic furniture that enhances any environment and fits any budget. Our furniture portfolio includes office furniture such as: movable walls, desking & storage systems, conference and training tables, task chairs, healthcare furniture, lounge seating, multiple seating, filing cabinets and dormitory furniture.

# Furnishing Knowledge for **NJPA**

National Joint Powers Alliance®



Due to strong market leadership, KI has been awarded a competitive bid contract with NJPA. The contract allows purchasing professionals from local and state K-12 school districts, higher education, government agencies and nonprofits nationwide to select a wide range of education furniture and fabric solutions at favorable pricing. A trusted market resource and the number one preferred furniture supplier for educational solutions, KI provides personalized solutions and simple, durable product design. [www.ki.com](http://www.ki.com)

Contract Number  
031715-KII



Furnishing Knowledge®

1330 Bellevue Street | P.O. Box 8100 | Green Bay, WI 54308 | 800-424-2432





## Educational Spaces

---

Supporting the development of world-class learning environments has been - and always will be - at the very core of KI. It's what we do best. KI built its reputation for excellence in education over 70 years ago, working shoulder-to-shoulder with our educational partners.

Our years of experience have led to insightful ways to design and deliver responsive educational space innovations that support today's active, learning-centered approaches, whether collaborative, social or co-curricular. The right educational furniture can support the versatility needed to create these "spaces."

Elements of mobility support reconfiguration. Teachers can start a class with a lecture, break them into small groups to collaborate, then have students form a large circle for a large group discussion. KI designs and manufactures educational furniture that is appealing, comfortable and supports various uses and approaches.

KI works with administrators and designers to transform all available spaces within a school into "learning spaces," with smart whiteboards in the hallways, movable walls and furniture to easily reconfigure classrooms, and comfortable commons-area seating that allows students to keep learning outside the classroom.

At KI, we anticipate technological advances and incorporate them into the design of our educational furniture. KI understands that schools need to know the educational furniture they invest in today will support the technological advancements of tomorrow.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

14.18

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Out of State Travel

**Harvard Law School: Negotiation and Leadership Program  
October 16 – 18, 2017  
Cambridge, MA**

**Summary:**

The Harvard Law School: Negotiation and Leadership Program distills cutting-edge research and real-world examples into three days of targeted executive education negotiation training. Attendees will examine complex negotiation scenarios and discover a range of competitive and cooperative negotiation strategies taught by top negotiation experts. They will learn to sharpen their negotiation skills, improve working relationships, and achieve better outcomes. Harvard Law School: Program on Negotiation and Leadership will provide intense training on negotiations. Total estimated cost \$45,540.

**Recommendation:**

The staff recommends approval of attendance to Harvard Law School: Negotiation & Leadership Program for Dr. Hilaria Bauer, Jess Serna, Kolvira Chheng, Carlos Moran, Jocelyn Merz, Seyem Estep, Danielle Letts, Kris Clarke, and Esau Ruiz Herrera.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:

Meeting:

September 14, 2017

**Recommend Approval**

**Board Meeting**

14.18

*H. Bauer*

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



**TRAVEL APPROVAL FORM**

ATTACH SUPPORTING DOCUMENT

Date of Request 8/29/17

1. Program Manager / Principal Jess Serna Dept. / School Site Human Resources
2. Title of Conference / Meeting Harvard Law School: Program on Negotiation & Leadership
3. Place Cambridge, Massachusetts
4. Purpose Professional development for District and AREA negotiations team
5. Date (s) of Conference / Meetings October 16-18, 2017
6. Principal / Program Manager Approval \_\_\_\_\_ Date \_\_\_\_\_
7. Departure Date / Time October 15 Hour AM ☒ PM ☐
8. Return Date / Time October 18 Hour AM ☐ PM ☒
9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request (Name)	Code Sub To:
	Yes	No	Yes	No		
Hilaria Bauer		<input checked="" type="checkbox"/>				
Jess Serna		<input checked="" type="checkbox"/>				
Kolvira Chheng		<input checked="" type="checkbox"/>				
Carlos Moran		<input checked="" type="checkbox"/>				
Jocelyn Merz		<input checked="" type="checkbox"/>				
Seyem Estep	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>		0360077000
Danielle Letts	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>		0360077000
Kris Clarke		<input checked="" type="checkbox"/>				
Esau Herrera		<input checked="" type="checkbox"/>				

Substitute: ☐ Available ☐ Unavailable ☐ No Sub Needed

Remarks: \_\_\_\_\_

Human Resources Dept. Approval ☒ Yes ☐ No

Asst. Superintendent of Human Resources \_\_\_\_\_ Date 8/29/17

Board President for Supt. 0370471000

## 10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation	\$ 800.00	\$
Registration	3,000.00	
Lodging	1,200.00	
Meals	60.00	
Shuttle / Parking		
Other		
Total	\$ 5,060.00 per person x <u>9</u> =	<del>\$ 45,540.00</del> \$45,540

Attach Purchase Order(s), Invoice(s) and Registration Form(s).

11. Account Code 03 600 7700 0 5200  
03 704 7100 0 5200 (EH)

Approved by: \_\_\_\_\_ Date \_\_\_\_\_ Approved By: \_\_\_\_\_ Date \_\_\_\_\_  
 Business Services / Purchasing Superintendent

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Cash advance request, submit the completed form 60 days prior to cash advance due date.
- The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

**PROGRAM ON NEGOTIATION**  
HARVARD LAW SCHOOL



# *Negotiation and Leadership*

Dealing With Difficult People and Problems

Fall: September 25-27, 2017 | October 16-18, 2017 | December 4-6, 2017



[Register Fall 2017](#)

[Download 2017 Brochure](#)

22 world-renowned faculty from Harvard, MIT and Tufts comprise the teaching team.

### Who Should Attend

***Negotiation and Leadership*** attracts a diverse, global audience from both the private and public sectors.

Participants span a wide range of titles and industries. The program is appropriate for CEOs, VPs, directors, and managers across a wide range of job functions including sales, operations, human resources, and marketing as well as for individuals in the education, government and nonprofit sectors.

### Past Participants



...And Many More!

**Register Fall 2017**

14.19

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

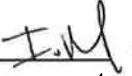
To the Board of Trustees:

Date: August 22, 2017


**Subject:** English Language Learners  
Reclassification Criteria

**Staff Analysis:** English language learners are reclassified fluent English Proficient based on a set of State and District criteria. This is an overview of the criteria for the 2017-2018 school year.

**Recommendation:** Staff recommends Acceptance and Approval of the English Language Learners Reclassification Criteria, as submitted.

Submitted by: Iván Montes 

Title: Coordinator, Academic Services

Approved by: Rene Sanchez 

Title: Assistant Superintendent, Instructional Services

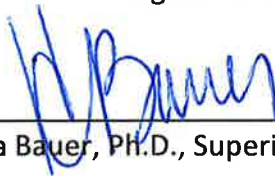
To the Board of Trustees:

Meeting: September 14, 2107  
Regular Board Meeting

**Recommend Approval**

14.19

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



## ARUSD Reclassification Criteria 2017/2018



### Reclassification Defined

When an English learner demonstrates that they have reached a level of proficiency in English that is comparable to that of an average native English speaker and can participate equally with native speakers in the school's regular instructional program, they will be reclassified as Fluent English Proficient (FEP). The district's criteria and process for making this determination is explained below.

Area	Data Gathered	Reclassification Criteria
<b>1. English Language Proficiency</b>	Most recent CELDT test	Advanced or Early Advanced overall and a minimum of Intermediate on all domains (Listening, Speaking, Reading and Writing)
<b>2. Academic Achievement</b> (one criteria met of the following options)	California Assessment of Student Performance and Progress for ELA (SBAC) <b>grades 3-8</b> or	Met or Exceeded Standard for <b>grades 3rd - 8th</b>
	i-Ready Benchmark Assessment <b>grades 1-8</b> or	1 - 8 grades - Student achieved a level of approaching Standard on the - i-Ready Benchmark assessment – (Beginning of the year view)
	Level Screener <b>grades 1-5</b> or	1 grade – Level H 2 grade – Level L 3 grade – Level O 4 grade – Level Q 5 grade – Level T
	Reader Measures for <b>Special Day Class and Resources Specialist Program</b> students	Grade 4 – 445 Lexile and above Grade 5 – 565 Lexile and above Grade 6 – 665 Lexile and above
	Reading Inventory (RI) students <b>grades 6</b>	800 Lexile and above
	Reading Inventory (RI) students <b>grades 7</b>	850 Lexile and above
	Reading Inventory (RI) students <b>grades 8</b>	900 Lexile and above
<b>3. Teacher Recommendation</b>	Teacher's Recommendation	After having reviewed the data and received an appropriate explanation of the reclassification criteria and process, the teacher may agree or disagree to have his/her student reclassified.
<b>4. Parent Consultation</b>	Description and results of consultation with parent	After having reviewed the data and received an appropriate explanation of the reclassification criteria and process, the parent may agree or disagree to have his/her child reclassified.

\* Students with disabilities, including severe cognitive disabilities, are to be provided the same opportunities to be reclassified as students without disabilities. Local IEP teams, therefore, may determine appropriate measures of English Language Proficiency and performance in basic skills and minimum levels of proficiency on these measures that would be equivalent to an English proficient peer with similar disabilities, in accordance with ARUSD's reclassification policies based on the state definition of English Language Proficiency (EC Section 313[f]).

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**

2930 Gay Avenue, San Jose, CA 95127

14.20

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 22, 2017

**Subject:**                    **Seal of Biliteracy Achievement Awards  
CRITERIA**

**Staff Analysis:**        In awarding the Pursuit of Bilingualism Award to outstanding young leaders, ARUSD seeks to:

- Encourage and reward students who develop biliteracy skills.
- Recognize and honor our rich and diverse language assets.
- Affirm positive attitudes toward multilingualism.
- Validate the use of multiple languages for all students.

**Recommendation:**    Staff recommends Acceptance and Approval of the Seal of Biliteracy – CRITERIA, as submitted.

Submitted by: Iván Montes *IM*

Title: Coordinator, Academic Services

Approved by: Rene Sanchez *R.S*

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting:        September 14, 2017  
Regular Board Meeting

**Recommend Approval**

*14.20*

Agenda Placement

*Hilario Bauer*  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · [www.arusd.org](http://www.arusd.org)

## Elementary School Pursuit of Bilingualism Award Application Spring 2018

Return this application & verification documents to your principal by April 26, 2018.

Please indicate the eligibility requirements you have met in order to be awarded the Pursuit of Bilingualism Award upon **5<sup>th</sup> grade** promotion:

All THREE of the following:

1. Earn a score of proficient or higher on the most current ELA SBAC or i-Ready Diagnostic Assessment.
2. Demonstrate proficiency in a language other than English. (ARUSD uses the IDEA Proficiency Tests (IPT) which evaluates proficiency in Spanish.)
3. Be an English Only student (EO), an Initially Fluent English Proficient student (IFEP) or a Redesignated Fluent English Proficient student (RFEP – i.e., student formerly classified as English learner) or an EL student with a CELDT overall score of 4 or 5 in good academic standing.

And TWO of the following:

4. **ESSAY:** Write two essays, one in English and one in the target second language (Spanish) and receive a score of proficient or higher using the district's writing rubric:
  - a. **Prompt #1 in English:** Write an opinion essay persuading or explaining why bilingualism is important to you personally, to your community and to the world.
  - b. **Prompt #2 in the target second language:** Write a personal narrative describing how you intend to use your bilingual skills in the future.
5. **READ** ten books (at grade level) independently in English and ten books independently (at grade level) in a target language and maintain a reading log signed by teacher to verify the accomplishment.

.....

Student's Name: \_\_\_\_\_ Student I.D.: \_\_\_\_\_  
(PLEASE PRINT)

Language: \_\_\_\_\_

Principal's Signature (verifying eligibility requirements have been met): \_\_\_\_\_

\*\*\*\*\*Academic Services use only\*\*\*\*\*

☐ Approved

☐ No Approved due to the following: \_\_\_\_\_

District Coordinator's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Esau Ruiz Herrera, President · Andrés Quintero, Vice-President  
Dolores Márquez-Frausto, Clerk · Khanh Tran, Member · Karen Martinez, Member



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · [www.arusd.org](http://www.arusd.org)

## Middle School Pursuit of Bilingualism Award Application Spring 2018

Return this application & verification documents to your principal by April 26, 2018.

Please indicate the eligibility requirements you have met in order to be awarded the Pursuit of Bilingualism Award upon **8<sup>th</sup> grade** promotion:

All THREE of the following:

1. Earn a score of proficient or higher on the most current ELA SBAC or i-Ready Diagnostic Assessment.
2. Demonstrate proficiency in a language other than English. (ARUSD uses the IDEA Proficiency Tests (IPT) which evaluates proficiency in Spanish.)
3. Be an English Only student (EO), an Initially Fluent English Proficient student (IFEP) or a Redesignated Fluent English Proficient student (RFEP – i.e., student formerly classified as English learner) or an EL student with a CELDT overall score of 4 or 5 in good academic standing.

And TWO of the following:

4. **ESSAY:** Write an essay about one of the two topics below in the target second language and receive a score of proficient or higher using the district's writing rubric:
  - a. Argumentative essay explaining why knowing multiple languages is important.
  - b. Personal Narrative describing your participation or your knowledge gained at two cultural events (each one a minimum of one hour) targeting the second language being studied.
5. **ORAL PRESENTATION:** Make an oral presentation in a target language identifying the importance of cultural understanding and explaining why being culturally respectful is a benefit in the 21st Century. The student should be monitored by a teacher or counselor during the process of creating the presentation and must receive "3s" or above in all areas on the Middle School Oral Presentation Rubric.

Student's Name: \_\_\_\_\_ Student I.D.: \_\_\_\_\_  
(PLEASE PRINT)

Language: \_\_\_\_\_

Principal's Signature (verifying eligibility requirements have been met): \_\_\_\_\_

\*\*\*\*\*Academic Services use only\*\*\*\*\*

☐ Approved

☐ No Approved due to the following: \_\_\_\_\_

District Coordinator's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Esau Ruiz Herrera, President · Andrés Quintero, Vice-President  
Dolores Márquez-Frausto, Clerk · Khanh Tran, Member · Karen Martinez, Member

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

14.21

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: **Approve/Ratify Notices of Employment and Changes of Status/September 14, 2017/**  
**Human Resources Department**

Staff Analysis:

This item includes recommendations such as new hires, leaves of absence, or other changes in employee status.

Recommendation:

The Board is requested to approve the hiring of any new employee, and any change of status for existing employees.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Recommend Approval**

Meeting: September 14, 2017  
Regular Board Meeting

14.21  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-  
HUMAN RESOURCES DEPARTMENT  
MEETING OF THE BOARD OF TRUSTEES  
September 14, 2017**

**CERTIFICATED OFFERS OF EMPLOYMENT:**

**I. New Hires Effective 08/22/2017:**

- |                            |  |
|----------------------------|--|
| 1. Abad, Melanie Anne      | Counselor, Middle School/Fischer Middle School   |
| 2. Acosta, Genevieve       | RSP/Special Education Department                 |
| 3. Astorga, Jose           | 4/Dorsa Elementary                               |
| 4. Baker, Eugene           | Core/Hubbard Media Arts Academy                  |
| 5. Bamberg, Madelyn        | Speech Pathologist/Special Education Department  |
| 6. Bennett, Jessica        | 4/Dorsa Elementary                               |
| 7. Brundidge, Kaitlin      | 5/L.U.C.H.A. Academy                             |
| 8. Buchanan, Blake         | RSP/Dorsa Elementary                             |
| 9. Cervantes, Alondra Rios | SDC/George Middle School                         |
| 10. Cervantes, Beth        | Core/Ocala Middle School                         |
| 11. Chan, Irene            | Speech Pathologist/Special Education Department  |
| 12. Conklin, Julia         | SDC/Mathson Middle school                        |
| 13. Coronado, Maria        | Psychologist/Special Education Department        |
| 14. Daily, Angela          | SDC/Ryan Elementary                              |
| 15. Dhami, Manmit          | SDC/Sheppard Middle School                       |
| 16. Dumpson, Claudia       | 3-Bilingual/ADELANTE Academy II                  |
| 17. Estell, Marline        | Core/Sheppard Middle School                      |
| 18. Estrada, Luis          | 5/ADELANTE Academy I                             |
| 19. Francis, Mark          | RSP/Mathson Middle school                        |
| 20. Franco, Brittany       | 1/Chavez Elementary                              |
| 21. Garibay, Edgar         | Core/Fischer Middle School                       |
| 22. George, Marisa         | Psychologist/Special Education Department        |
| 23. Gonzalez, Rosa         | SDC/Ocala Middle School                          |
| 24. Guerrero, Jaime        | Core-Bilingual/ADELANTE Academy I                |
| 25. Gutierrez, Christina   | RSP/Mathson Middle school                        |
| 26. Hernandez, Taylor      | P.E./George Middle School                        |
| 27. Hinsch, Laura          | Core/Renaissance Academy I                       |
| 28. Husain, Michelle       | Psychologist/Special Education Department        |
| 29. Jackson, Jamaal        | Behavior Specialist/Special Education Department |
| 30. Larios, Maria          | Psychologist/Special Education Department        |
| 31. Lentz, Jillian         | 3-.5 FTE/Lyndale Elementary                      |
| 32. Libuit, Haley          | 4/Ryan Elementary                                |
| 33. Lozano, Francisco      | 4-Bilingual/ADELANTE Academy I                   |
| 34. Martinez, Joycelyn     | 5/Dorsa Elementary                               |
| 35. Mau, Tamara            | Psychologist/Special Education Department        |
| 36. McDonnal, Karen        | 5/Lyndale Elementary                             |
| 37. Moller, John           | Core/Renaissance Academy I                       |
| 38. Moller, Maureen        | Core/Ocala Middle School                         |

39. Morales, Elizabeth	1-Bilingual/ADELANTE Academy I
40. Nguyen, Thuy Thi	Speech Pathologist/Special Education Department
41. O'Neill, Melanie	SDC/Arbuckle Elementary
42. Penaloza, Daisy	2/Dorsa Elementary
43. Perez, Celia	5/Meyer Elementary
44. Perez, Gabriela	2-Bilingual/ADELANTE Academy II
45. Perez-Carrillo, Jennifer	1-Bilingual/ADELANTE Academy I
46. Pipa, Rachel	Speech Pathologist/Special Education Department
47. Poole, Gloria	Core/Fischer Middle School
48. Porter, Linda	5/Dorsa Elementary
49. Pugel, Perry	2/Meyer Elementary
50. Ramirez-Garcia, Kayleen	Psychologist/Special Education Department
51. Rivas, Sandra	1-Bilingual/ADELANTE Academy II
52. Rodriguez, Jocelyn Gutierrez	K-Bilingual/ADELANTE Academy II
53. Springer, Jesse	RSP/Sheppard Middle School
54. Strand, Alyssa	Music/V.A.P.A. Program
55. Swank, Radel	4/Dorsa Elementary
56. Tarde, Ketki	Core/George Middle School
57. Urena, Alondra Beas	K/Cureton Elementary
58. Ury, Patricia	Science/Renaissance Academy I
59. Vega, Benjamin	Music/V.A.P.A. Program
60. Vu, Minh	5/L.U.C.H.A. Academy
61. Werner, David	4/.5 FTE/Painter Elementary

## **II. Substitute Personnel:**

1. Chavez, Nancy	08/16/2017
2. Cortese, Patricia	08/21/2017
3. Montano, Carmen	08/14/2017
4. Rahman, Mansoor	08/23/2017
5. Torres, Alejandra	08/02/2017

## **III. Residential Substitute Teacher Agreement:**

1. Almazan, Epon	Residential Substitute Teacher	08/30/2017-09/29/2017
2. Cook, Steve	Residential Substitute Teacher	08/28/2017-09/29/2017
3. Faines, Anna	Residential Substitute Teacher	08/28/2017-09/29/2017
4. Faines, James	Residential Substitute Teacher	08/28/2017-09/29/2017
5. Harlow, William(Bill)	Residential Substitute Teacher	08/28/2017-09/29/2017
6. Hawkins, Zane	Residential Substitute Teacher	08/28/2017-09/29/2017
7. Hernandez, Frank	Residential Substitute Teacher	08/28/2017-09/29/2017
8. Loreda, Michael	Residential Substitute Teacher	08/28/2017-09/29/2017
9. Loui, Florence	Residential Substitute Teacher	08/28/2017-09/29/2017
10. Manundo, Marlon	Residential Substitute Teacher	08/28/2017-09/29/2017
11. Rojas, Raul	Residential Substitute Teacher	08/28/2017-09/29/2017
12. Vasquez, Mary	Residential Substitute Teacher	08/28/2017-09/29/2017

**IV. Employee Contracts:**

1. Balderas, Irma	Substitute Principal	08/01/2017-06/30/2018
2. Campbell, Douglas	Substitute Principal	08/01/2017-06/30/2018
3. Chen, Maureen	BTSA Mentor Support	08/15/2017-06/30/2018
4. Dauch, Lawrence	Substitute Principal	08/01/2017-06/30/2018
5. Fowlkes, Julie	Intern Support Provider	08/15/2017-06/30/2018
6. Mandujano, Abel Jr.	Behavior Support	08/15/2017-06/30/2018
7. Margossian, Gregg	BTSA Mentor Support	08/15/2017-06/30/2018
8. Miller, Kimberly	District School Nurse	08/22/2017-06/30/2018
9. Moreno, Albert	Substitute Principal	08/01/2017-06/30/2018
10. Santibanes, Gerald	Substitute Principal	08/01/2017-06/30/2018
11. Stephens, Denise Dutkiewicz	Substitute Principal	08/01/2017-06/30/2018

**CERTIFICATED CHANGES OF STATUS:****V. Terminated Certificated Substitutes Effective 8/23/2017:**

1. Adamos, Sheila Anne	Substitute Teacher
2. Alichanh, Xiang(Tim)	Substitute Teacher
3. Alvarez, Alejandra	Substitute Teacher
4. Ausin, Lydia	Substitute Teacher
5. Azuela, Roxana	Substitute Teacher
6. Bajwa, Yogambra Singh	Substitute Teacher
7. Bhatia, Kanwal	Substitute Teacher
8. Brown, Kelsey	Substitute Teacher
9. Chamberlaine, Maryland	Substitute Teacher
10. Chiang, Rebecca	Substitute Teacher
11. Clark, Joseph	Substitute Teacher
12. Courchaine, Clay	Substitute Teacher
13. Curic, Angela	Substitute Teacher
14. Duncan, Michael	Substitute Teacher
15. Eckels, Aaron	Substitute Teacher
16. Fernandez, Xochitl Gonzalez	Substitute Teacher
17. Fong, Amanda	Substitute Teacher
18. Ford, Vicki	Substitute Teacher
19. Gaetano, Elisabetta	Substitute Teacher
20. Gutierrez, Lino	Substitute Teacher
21. Halim, Vivian	Substitute Teacher
22. Hardcastle, Madeline Paige	Substitute Teacher
23. Huynh, Gina	Substitute Teacher
24. Jolene, Rolanda	Substitute Teacher
25. Kahn, Rabia	Substitute Teacher
26. Locicero, Frank	Substitute Teacher
27. Lopez, Daisy	Substitute Teacher
28. Lugliani, Claudia	Substitute Teacher



29. Malarich, Laurie	Substitute Teacher
30. McClean, Kamisha	Substitute Teacher
31. McKay, Jean	Substitute Teacher
32. McLeroy, Stephen	Substitute Teacher
33. Monroy, Alejandra	Substitute Teacher
34. Morales, Abelardo	Substitute Teacher
35. Ochoa, Leticia	Substitute Teacher
36. Paolini, Catherine	Substitute Teacher
37. Parry, Rita	Substitute Teacher
38. Puente, Monique	Substitute Teacher
39. Rivera, Isabelle	Substitute Teacher
40. Rocchi, Jennifer	Substitute Teacher
41. Samrao, Gobinder	Substitute Teacher
42. San Juan, Guadalupe	Substitute Teacher
43. Sanchez, Barbara Aguilar	Substitute Teacher
44. Sar-Ayen, Christopher	Substitute Teacher
45. Sarna-Wojcicki, Matthew	Substitute Teacher
46. Sheldon, Joshua	Substitute Teacher
47. Sheppard, Gina	Substitute Teacher
48. Smith, Elizabeth	Substitute Teacher
49. Tenny, Thomas	Substitute Teacher
50. Terry, Tammy	Substitute Teacher
51. Torres, Eric	Substitute Teacher
52. Verges, Mary Jo	Substitute Teacher
53. Villanueva, Esther	Substitute Teacher
54. Vu, Ana	Substitute Teacher

**VI. Rehired from Temporary Contract for the 2017-18 School Year and Change of Status Effective 08/22/2017:**

1. Alfaro, Sabrina Monica	Cassell Elementary	Probationary 0
2. Ambard, April Heather	McCollam Elementary	Probationary II
3. Aquino, Rosa Elia	Lyndale Elementary	Probationary I
4. Bohigian, Kyle Jacob	Fischer Middle School	Probationary 0
5. Brown, Obafemi Abdu	Hubbard Media Arts Academy	Probationary 0
6. Delahoz, Fernando Flanagan	George Middle School	Probationary 0
7. Duncan, Emily E	George Middle School	Probationary II
8. Flores Jr, Luis Enrique	Ocala Middle School	Probationary 0
9. Grant, Erika Lynn	Renaissance Academy I	Probationary II
10. Gregoire, Nicole Renee	McCollam Elementary	Probationary II
11. Guzman, Elizabeth Nunez	ADELANTE Academy I	Probationary 0
12. Hare, Kayla Diane	Mathson Middle School	Probationary 0
13. Kaur, Jaskamal	Hubbard Media Arts Academy	Probationary II
14. Lee, Allison Han Yun	Renaissance Academy I	Probationary II
15. Maeda, Vincent Takano	Renaissance Academy I	Probationary 0
16. Miller, Christopher J	Meyer Elementary	Probationary I
17. Miller, Haley	Lyndale Elementary	Temporary
18. Moran, Maria	Russo/McEntee Academy	Probationary I

19. Ngo, Angie	Renaissance Academy II	Temporary
20. Nguyen, Bryan Cao	Sheppard Middle School	Probationary 0
21. Pak, Ji Na	Mathson Middle School	Probationary 0
22. Parnell, Jailyn Crystal	Hubbard Media Arts Academy	Probationary 0
23. Pcholkin, Nicholas	Hubbard Media Arts Academy	Probationary II
24. Robinson, Amanda Kelly	Sheppard Middle School	Probationary II
25. Serra, Vanesa Perez	ADELANTE Academy I	Probationary I
26. Sheehy, Ryan Mackenzie	APTITUD Community At Goss	Probationary II
27. Soto, Karina Jasmin	L.U.C.H.A. Academy	Probationary 0
28. Todd, Amber	Ryan Elementary	Temporary
29. Woods, Jasmine La Shaun	Sheppard Middle School	Probationary 0

**VII. Probationary 0 Status to Probationary II Status Effective 08/22/2017:**

1. Bain, Nancy Jane	Arbuckle Elementary
2. Carrell, Lisa Dorothy	Ocala Middle School
3. Cox, Taylor Michele	APTITUD Community At Goss
4. Goodwin, Jacqueline	Renaissance Academy
5. Korten, Monica	APTITUD Community At Goss
6. Moore, Lindsey	Special Education Department
7. Ramos, Barbara	Lyndale Elementary
8. Wade, Jountey	Arbuckle Elementary

**VIII. Probationary I Status to Probationary II Status Effective 08/22/2017:**

1. Cedillo, Nicole Ashley	Renaissance Academy I
2. Harris, Amber	Fischer Middle School
3. Lozano, Lorena	Sheppard Middle School
4. Ordaz, Shaylyn Norene	George Middle School
5. Chan, Sarah	Meyer Elementary
6. Pham, Thao Le Thu	Special Education Department
7. Alderette, Jennifer N	Cassell Elementary
8. Anderson, Allison Rose	Special Education Department
9. Apilado, Aaron Jed Belen	Hubbard Media Arts Academy
10. Berryessa, Kathleen Kristine	Hubbard Media Arts Academy
11. Bisaccia, Nichole Marie	George Middle School
12. Briones, Marisol Elena	Linda Vista Elementary
13. Brooks, Martin Alan	Aptitud Community At Goss
14. Dearing, Brett Aryn	Cureton Elementary
15. Dela Cruz, Kenneth	Special Education Department
16. Desimone, Vito G	Special Education Department
17. Elemen, Annette Marie	L.U.C.H.A. Academy
18. Galgiani, Athena Olivia	Painter Elementary
19. Gonzalez, Maricruz	ADELANTE Academy I
20. Greensides, Karen Jeanne	Meyer Elementary
21. Hanna, Nadia	Linda Vista Elementary

22. Huang, Teresa M	Hubbard Media Arts Academy
23. Husain, Sarah Ali	L.U.C.H.A. Academy
24. Johnston, Clarissa Ann Harvey	Ryan Elementary
25. Landeros, Erin	Cassell Elementary
26. Lee-Curtis, Kianga Shani	Mathson Middle School
27. Leyba, Marisol Andr�de	Linda Vista Elementary
28. Macias, Marisa Celina	Sheppard Middle School
29. Morton, Andrea Elizabeth	Renaissance Academy I
30. Naranjo, John	APTITUD Community At Goss
31. Nielsen, Tobi Stevens	APTITUD Community At Goss
32. Ochinero, Sinead Una Ann	APTITUD Community At Goss
33. Picasso, Jessica	Linda Vista Elementary
34. Reeves, Nannette Gunter	Cassell Elementary
35. Richardson, Patricia	Renaissance Academy II
36. Rodrigues, Brad Steven	Renaissance Academy II
37. Samples, Rachel Samples	Special Education Department
38. Sigmon, Audrey Ellen	Dorsa Elementary
39. Stockdale, Kristen Michelle	McCollam Elementary
40. Thomas, Maria Tomly C	Mathson Middle School
41. Thralls, Gregory Richard	Hubbard Media Arts Academy
42. Rivas, Allison Bautista	Cureton Elementary

**IX. Probationary II Status to Permanent Status Effective 08/22/2017:**

1. Karnes, Dawna D	McCollam Elementary
2. Alfaro, Elizabeth	ADELANTE Academy I
3. Almerido, Jonathan A	L.U.C.H.A. Academy
4. Anderson, Megan E	Renaissance Academy II
5. Berry, Rebecca	Aptitud Community At Goss
6. Berta, Jeffrey	Fischer Middle School
7. Castaneda, Maria E	Sheppard Middle School
8. Chang, Lily	Linda Vista Elementary
9. De Velazquez, Nadia	Hubbard Media Arts Academy
10. Diaz, Elvia Rodriguez	ADELANTE Academy I
11. Evans, Jennifer Marie	Russo/McEntee Academy
12. Fuentes, Laura	ADELANTE Academy I
13. Garza, Nancy	ADELANTE Academy I
14. Gragnola, Lori	Chavez Elementary
15. Hoskins, Mindy Louise	McCollam Elementary
16. Hughes, Mariana Desoto	Hubbard Media Arts Academy
17. Hummel, Raquel D	Aptitud Community At Goss
18. Lovelace, Rocio Lozano	Meyer Elementary
19. Lovins li, Lonny Lee	Aptitud Community At Goss
20. Maloney, Jennifer	Arbuckle Elementary
21. Mendoza, Teodolinda F	ADELANTE Academy I
22. Meza, Edith	Linda Vista Elementary
23. Negrete, Daniel	Cureton Elementary

24. Quezada, Gabriela A
25. Rivera, Bernadette GI
26. Rodriguez, Christian M
27. Schierling, Judith
28. Singh, Prabhjot
29. Tello, Dulce C Cardenas
30. Tiet, Shally L

Aptitud Community At Goss  
 Lyndale Elementary  
 Mathson Middle School  
 Academic Services Dept.  
 Ryan Elementary  
 ADELANTE Academy I  
 L.U.C.H.A. Academy

**X. Provisional Internship Permit:**

1. Garibay, Edgar
2. Gonzalez, Rosa
3. Morales, Elizabeth
4. Perez, Celia

Core/Fischer Middle School  
 SDC/Ocala Middle School  
 1 Bilingual Spanish/ADELANTE Academy I  
 5/Meyer Elementary

**XI. Rescind Unpaid Personal Leave of Absence 2017/18:**

1. Serrano, Martha

3/San Antonio Elementary

08/28/2017

## **CLASSIFIED PERSONNEL – September 14, 2017**

### **CLASSIFIED OFFERS OF EMPLOYMENT:**

#### **I. New Hire:**

- |                           |                                       |          |
|---------------------------|---------------------------------------|----------|
| 1. Garcia, Gerardo Garcia | Autism Intervention Assistant/Lyndale | 08/25/17 |
| 2. Manacap, Tiffany Marie | Health Assistant/Chavez               | 08/25/17 |

#### **II. Re-Hire:**

- |                                 |                           |          |
|---------------------------------|---------------------------|----------|
| 1. Alfaro, Cindy Selene Alvarez | Bus Driver/Transportation | 08/21/17 |
|---------------------------------|---------------------------|----------|

#### **III. Change of Status:**

- |                         |                 |          |
|-------------------------|-----------------|----------|
| 1. Herrera, Jorge Yanis | Locksmith/M.O.T | 07/01/17 |
|-------------------------|-----------------|----------|

#### **IV. 39month Re-Employment:**

- |                         |   |                   |
|-------------------------|---|-------------------|
| 1. Russell, Sharon Faye | Child Nutrition Assistant I/Linda Vista | 08/28/17-10/28/20 |
|-------------------------|---|-------------------|

#### **V. Contracted Employee:**

- |                                |  |                   |
|--------------------------------|--|-------------------|
| 1. Ames, Ruth Ann-Moline       | Accompanist/VAPA                             | 08/28/17-06/30/18 |
| 2. Barajas, Christian Carrasco | Morning Activities Coordinator/Renaissance I | 08/28/17-06/13/18 |
| 3. Cervantes, Rogelio          | Instructor/VAPA                              | 08/28/17-06/30/18 |
| 4. Englund, Flora              | Hearing Officer/Student Services             | 09/15/17-06/30/18 |
| 5. Floirendo, Ian Carl Batto   | Vocal Instructor/VAPA                        | 08/28/17-06/30/18 |
| 6. Holmes, Gregory James       | Audio Specialist/VAPA                        | 08/28/17-06/30/18 |
| 7. Mandujano Jr., Abel C       | Behavior Support/Special Education           | 08/15/17-06/30/18 |
| 8. Nguyen, Vincent Van         | Audio Tech/VAPA                              | 08/28/17-06/30/18 |
| 9. Perez, Juan Ramos           | Music Director/VAPA                          | 08/28/17-06/60/18 |
| 10. Popoff, Alexander          | Saxophone Instructor/VAPA                    | 08/28/17-06/30/18 |
| 11. Sanchez, Clayton           | Trumpet Instructor/VAPA                      | 08/28/17-06/30/18 |
| 12. Santana, Barbara           | Teacher Support/Special Education            | 08/15/17-06/30/18 |
| 13. Steele, Vivian Elise       | Behavior Coach/Special Education             | 07/01/17-06/30/18 |
| 14. Talo, Matthew Julian       | Audio Tech/VAPA                              | 08/28/17-06/30/18 |
| 15. Tang, Phuong Ngoc          | Counseling Intern/Renaissance I              | 08/14/17-06/15/18 |

#### **VI. Classified Substitute Terminated:**

- |                      |                         |          |
|----------------------|-------------------------|----------|
| 1. Sambrano, Cynthia | Substitute Paraeducator | 08/28/17 |
| 2. Martinez, Manuel  | Substitute Maintenance  | 07/31/17 |

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

14.22

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: September 8, 2017

**Subject:** School-sponsored Field Trip List

**Staff Analysis:** Student field trips are an important component of the educational program. Field trips supplement and enrich classroom-learning experiences and provide opportunities for students to relate their new learning to the outside world.

**Recommendation:** Staff recommends approval of out-of-county school-sponsored field trip.

Prepared by: Sandra Garcia 

Title: Coordinator of State and Federal Programs

Submitted by: Rene Sanchez 

Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: September 14, 2017  
Regular Board Meeting

**Recommend Approval**

14.22  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_





**Field Trips for Board Approval: September 14, 2017**

1