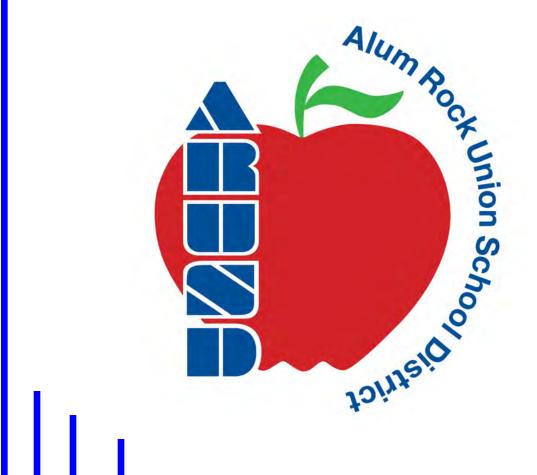
### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### **BOARD OF TRUSTEES**



Regular Board Meeting March 14, 2019



### Thursday, March 14, 2019 REGULAR BOARD MEETING AGENDA

Type: REGULAR BOARD MEETING AGENDA

Time: 5:30 p.m.

Code: Regular #12-18/19

Location: ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT, 2930 Gay

Avenue, San Jose, CA 95127; Board Room.

In compliance with the Americans with Disabilities Act, if you need special assistance in order to participate in the public meeting of the Board of Trustees, please contact the Office of the Superintendent at (408) 928-6822. Notification 72 hours prior to the meeting will enable the District to make reasonable accommodations.

### 1. OPEN SESSION - CALL TO ORDER AND ROLL CALL

- 1.01 CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE -- BOARD PRESIDENT LINDA CHAVEZ.
- 1.02 ANNOUNCEMENT AND PUBLIC COMMENTS REGARDING ITEMS TO BE DISCUSSED IN CLOSED SESSION (Government Code Section 54957.7).
- 1.03 ADJOURNMENT TO CLOSED SESSION: The Board will adjourn to Closed Session at approximately 5:35 p.m. Open Session will resume approximately 60 minutes after the start of Closed Session.

### 2. CLOSED SESSION

- 2.01 DISCUSSION/ACTION: CONFERENCE WITH LEGAL COUNSEL -- ANTICIPATED LITIGATION: Significant Exposure to Initiation of Litigation Pursuant to Government Code, 54956.9, subdivision (d)(2). 1 Potential Case.
- 2.02 DISCUSSION/ACTION: CONFERENCE WITH LEGAL COUNSEL -- EXISTING LITIGATION: Litigation, to which the local agency is a party, has been initiated formally, Pursuant to Government Code, 54956.9, subdivision (d)(1). One Case -- Case Name: No. 2019020106.
- 2.03 DISCUSSION/ACTION: PUBLIC EMPLOYEE DISCIPLINE/DISMISSAL/RELEASE (Government Code section 54957); Title: Probationary Certificated Employees.
- 2.04 INFORMATION/DISCUSSION: CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: AREA (Alum Rock Educator's Association); District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; and (2) Jess Serna, Interim Assistant Superintendent, Human Resources.
- 2.05 INFORMATION/DISCUSSION: CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: CSEA (California School Employees Association); District Negotiators: (1)

Hilaria Bauer, Ph.D., Superintendent; and (2) Jess Serna, Interim Assistant Superintendent, Human Resources.

2.06 INFORMATION/DISCUSSION: CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: TEAMSTERS; District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; and (2) Jess Serna, Interim Assistant Superintendent, Human Resources.

### 3. RECONVENE TO OPEN SESSION - DISTRICT OFFICE BOARD ROOM

- 3.01 CALL TO ORDER / ROLL CALL --- BOARD PRESIDENT LINDA CHAVEZ.
- 3.02 REPORT OF ACTION TAKEN IN CLOSED SESSION.
- 3.03 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action.

### 4. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

4.01 "REQUESTS TO ADDRESS THE BOARD" provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to proceed to the podium. There is a three-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

### 5. COMMENTS AND COMMUNICATION

- 5.01 Teamsters.
- 5.02 California School Employee's Association (CSEA).
- 5.03 Alum Rock Administrator's Association (ARAA).
- 5.04 Alum Rock Educator's Association (AREA).
- 5.05 Superintendent.
- 5.06 Board of Trustees/Communications/Comments.

### 6. SPECIAL PRESENTATION

6.01 SPECIAL PRESENTATION: Ocala STEAM Academy -- Project Based Learning (PBL) Presentation.

### 7. INSTRUCTIONAL SERVICES

- 7.01 INFORMATION/PRESENTATION: Comprehensive Support Intervention Presentation.
- 7.02 INFORMATION/PRESENTATION: California Dashboard and Systems Support (Identified Schools for Support).
- 7.03 INFORMATION: Local Control Accountability Plan (LCAP) Update-Stakeholder Input.

### 8. CONTRACTS OVER \$100,000

- 8.01 ACTION: Approve Silicon Valley Education Foundation, State & Federal, \$112,000.00.
- 8.02 ACTION: Approve Contract Increase for San Jose Police Dept., Secondary Employment Unit, Provide a safe and positive school environment, Student Services, Original amount \$180,000, Increase \$30,000.
- 8.03 ACTION: Approve Contract Increase For Ro Health, Inc., Nursing Care Services for Students, Student Services, Original amount \$100,000, Increase \$50,000.
- 8.04 ACTION: Approve Crown Castle Fiber, LLC., Technology Department, \$107,407.16 for two years.

### 9. SUPERINTENDENT / BOARD BUSINESS

9.01 DISCUSSION/ACTION: BOARD STUDY SESSIONS. Board to consider, and take action, on whether to hold Board Study sessions for goal setting. Recommendation: Board to direct staff to secure a third party facilitator to support these sessions--The Arbinger Institute, LLC., \$14,888.

9.02 INFORMATION/UPDATE: LEGAL SERVICES. Staff will provide an update.

### 10. BUSINESS

- 10.01 ACTION: Second Interim Financial Report.
- 10.02 ACTION: Adopt Board Policy First Reading, BP5030-Student Wellness.
- 10.03 INFORMATIONDISCUSSION/ACTION: Alpha Public Schools Playground Structure Project.
- 10.04 INFORMATION/DISCUSSION: Resolution No. 20-18/19 Update Agreement Between the Governing Board of the Alum Rock Union Elementary School District and the Santa Clara County Superintendent of Schools Regarding Necessary Revisions for Compliance with Statutory Budget Requirements in Conjunction with the Local Control Accountability Plan (Education Code 42127 and 52070).
- 10.05 INFORMATION/UPDATE: Supplemental Employee Retirement Plan (SERP).

### 11. HUMAN RESOURCES

11.01 INFORMATION: INFORMATION Regarding Resignations.

### 12. CONSENT CALENDAR

- 12.01 ACTION: Approval of Minutes for the following meetings: (1) February 4, 2019, Special Board Meeting/Community Forum; (2) February 6, 2019, Special Board Meeting, (3) February 14, 2019, Regular Board Meeting; and (4) February 28, 2019, Special Board Meeting/Board Training.
- 12.02 ACTION: Approve Contracts for Professional Services Firms.
- 12.03 ACTION: Approve Memorandum(s) of Understanding(s).
- 12.04 ACTION: Acceptance of Donations.
- 12.05 ACTION: Approval of Fundraising Activities.

- 12.06 ACTION: Acceptance of Vendor & Payroll Warrants
- 12.07 ACTION: Enrollment/Attendance Report for Month 6 (January 21, 2019 thru February 15, 2019).
- 12.08 ACTION: Approve Certification of Correction for the 2017-2018 Audit Report.
- 12.09 ACTION: Approve the Santa Clara County Treasury Investment Portfolio Status.
- 12.10 ACTION: Approve/Ratify Notices of Employment and Changes of Status/March 14, 2019/Human Resources Department.
- 12.11 ACTION: Resolution No. 30-18/19: California Public Schools Month April 2019.
- 12.12 ACTION: Resolution No. 31-18/19; Public School Volunteer Week.
- 12.13 ACTION: UPDATED Reclassification Criteria for students classified as English Learners.
- 12.14 ACTION: Approve Out of State Travel, COSN Conference: Envision 2030, Portland, OR, April 1-4, 2019 for Avtar Gill. Estimated cost \$2770.
- 12.15 ACTION: School-sponsored Field Trip.

### 13. FUTURE BOARD AGENDA REQUESTS

13.01 Requests from Board of Trustees and/or from the Public.

### 14. ADJOURNMENT

14.01 President adjourns the meeting.



### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

Board of Trustees:	March 7, 2019
SUBJECT:	SPECIAL PRESENTATION Ocala STEAM Academy – Project Based Learning (PBL)  Engineering Empathy – 8 <sup>TH</sup> Grade Students from Ocala will present the Design Thinking Process and how they used this process in order to create assistive devices for local community members. These devices aim to support the community members in their daily lives as people with differing abilities.
Presentation	
Submitted by:	Hilaria Bauer Title: Superintendent
To the Board of Trus	Meeting: March 14, 2019 Regular Board Meeting
Presentation  6.01  Agenda Placement	Hilania Bauer, Ph.D., Superintendent
	DISPOSITION BY BOARD OF TRUSTEES
Motion by:	Seconded by:
Approved:	Not Approved: Tabled:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



### Office of Superintendent of Schools

Board of Trustees:		March 7, 2019
	PRESENTATION  Comprehensive Support Interver	ntion
PRESENTATION		
Submitted by:	Hilaria Bauer	Title: Superintendent
To the Board of Truste	ees: Meeting:	March 14, 2019 Regular Board Meeting
Presentation		
Agenda Placement	Hi	Jaria/Bauer, Ph.D., Superintendent
	DISPOSITION BY BOAR	<b>Q</b>
intotion by.		beconded by.
Approved:	Not Approved:	Tabled:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

7.02

### Office of Superintendent of Schools

To the Board o	f Trustees:		Date:	February 26, 2019
Subject:	California Dashboar (Identified Schools	d and Systems of Supp for Support)	ort	
Staff Analysis:	The California Dashboard i weaknesses and pinpoint stuprogress on both state and central components of Cali Based on Dashboard results Systems of Support. These the needs of each studen improvements.	dent groups that may local indicators. Cali fornia's accountability, some LEA's and school three levels of systems	be struggling fornia's syste and continuous are eligible of support	. It reports performance and em of support is one of the lous improvement systems. e for assistance through the help LEAs and schools meet
	The "Every Student Succeed school eligibility for target support and improvement (A	ed support and impr		그리고 있어요? 그렇죠. 그 그 이렇게 있는데 이렇게 되었어요? 그 모양이 되었다.
	<u>Differentiated Assistance</u> - A <u>Comprehensive Support and</u> <u>Additional Targeted Support</u>	Improvement Schools		ischer, George, Sheppard
Information/Pr	resentation			
Submitted by:	Barbara Campbell	Title: <u>Director</u> , Aca	demic Service	es
Approved by: F	Rene Sanchez RS.	Title: Assistant Sup	erintendent,	Instructional Services
To the Board of	Trustees:		rch 14, 2019	alesa s
Information/Pr	esentation	Reg	gular Board N	leeting
7.	20	MY	Amo	
Agenda Placem	ent	Hilaria Bauer, Ph.D,	Superintend	ent
	DISPOSITI	ON BY BOARD OF TRU	STEES	
Motion by:	and the	Seconded by:		
Approved:	Not App	roved:	Та	bled:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

### Office of Superintendent of Schools

To the Board of Trus	tees:		Date: February 26, 2019
Subject:	Local Control Accou	ntability Plan (LCA	P) Update – Stakeholder Input
Staff Analysis:	the Annual Update t	to the Local Contr	ering stakeholder input, progress with ol Accountability Plan (LCAP) and next ings will be presented to the Board in
Recommendation:	Information only		
Submitted by: Sand Approved by: Rene	0/		of State and Federal Programs Superintendent, Instructional Services
To the Board of Trus	tees:		arch 14, 2019
Information Only		H	egular Board Meeting
Agenda Placement		Hilaria Bauer Ph	.D., Superintendent
	DISPOSITION	N BY BOARD OF TE	RUSTEES
Motion by:		Seconded by	
Approved:	Not Appro	oved:	Tabled:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

8.01

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Tr	rustees:	Date: February 27, 2019
Subject:	Silicon Valley Education Four	ndation (Summer School)
Staff Analysis:	additional math support for significant discussed a potential contract 2 <sup>nd</sup> , 5 <sup>th</sup> , 6 <sup>th</sup> and 7 <sup>th</sup> grade studies June 24, 2019 to July 23, 20175 hours of classroom instructions of classroom instructions.	ta and local assessments staff has identified the need for tudents currently in 2 <sup>nd</sup> , 5 <sup>th</sup> , 6 <sup>th</sup> and 7 <sup>th</sup> grade. ARUSD has with Silicon Valley Education Foundation to support current dents in math through a summer intervention program from 9. The Elevate Math program provides a 19-day program of etion and 35 hours of Professional Development to teachers. The supported by a teacher and college-level assistant so that eath skills, are ready for high school math, and prepared for
Recommendation:		Board approve the contract with Silicon Valley Education h intervention for identified students. Total cost of this
Submitted by:	Sandra Garcia	Title: Director, State & Federal Programs
Approved by:	Rene Sanchez	Title: Assistant Superintendent, Instructional Services
To the Board of Tro	ustees:	Meeting: March 14, 2019
	ded Approval	1 A Bruer
Agenda Pla	cement	Hilaria Bauer, Ph.D., Superintendent
Motion by:	DISPOSITION B	Y BOARD OF TRUSTEES _ Seconded by:
Annroyed	Not Approve	

### INDEPENDENT CONTRACTOR AGREEMENT

TO: _	DIVISIO	N OF BUSINESS SE	RVICES			CONTRACT N	0
FROM	Stat	e & Federal		(Sc	nool/Dept.)	VENDOR NO.	18650
PROG	SRAM MA	ANAGER: Sandra	Garcia				
		: The Alum Rock Uni		District (ARUES	D), whose address is	s 2930 Gay Avenue,	San Jose, CA 95127, and
N	lame of I	ndividual/Company:	Silicon Valley E	ducation	Foundation		
A	ddress:	1400 Parkmo	or ave #200	Cit	y: San Jose	State: CA	Zip: 95126
Р	hone: (4	108 ) 790-947	79	En	nail Address:	* 17-11-1	
S	SN:			or Fe	d I.D. #:		
			as follows: March 15, 2019	9	end date	July 30, 2019	
pr A	Descri exhibit	and/or reports:  ption of services to sand other documer	be provided and expect ntation if necessary;	ed results (e.g	services, materials	, products and/or rep	wing services, materials, ports). Attach proposals, for incoming 3rd
- S	8th g standa OMPENS cpressed nvoice) w pon appro	rade students irds.  SATION: In conside herein, ARUESD s which shall be submit oval of such demand	whose test score	es indicate rovision of ser- roon Contractor yys from the en (Check either a	vices as described a submission of a d of the month in wha, b, or c)	above, and subject to properly documente nich the contract serv	
	(b. (	service. Other: \$\\$112,00	00 (describe	rate agreemer	tt) 4 classes- E	Elevate Math 3	rd ; 5 classes-
	Test 1, 167	production of the land	classes- Elevate	Math 7th;	2 classes - E	levate Math 8t	n
	FUND 03	DEPARTMENT 360	PROG/COUNTER 5051	OBJECT 5815	\$ AMOUNT 112,000	PROG. TITLE Summer	BUS OFC
	00	300	3031	3013	112,000	Summer	

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

8,02

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Tr	ustees:	Date: February 28, 2019
Subject:	Contract Increase with San Jose	Police Department Secondary Employment Unit
Staff Analysis:	safe and positive school enviro- establish and maintain positive and community. Officer's also	rogram supports Alum Rock Middle Schools in providing a nament. Officers are present at ARUSD Middle Schools to relationships with administration, staff, families, students provide mentoring services to at-risk youth, promote the serve as positive role models to our students.
Recommendation:		prove the contract increase of \$30,000 with the City of San contract total amount is \$210,000.
Submitted by:	Norma Flores	Title: Director of Student Services
Approved by:	Rene Sanchez	Title: Assistant Superintendent, Instructional Services
To the Board of Tr	ustees:	Meeting: March 14, 2019
Recommend	ded Approval	Henry
Agenda Pla	cement	Hilaria Bauer, Ph.D., Superintendent
	DISPOSITION BY	BOARD OF TRUSTEES
Motion by:		Seconded by:
Approved	Not Approved	Tabled



### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### PURCHASE ORDER / CONTRACT ADJUSTMENT

P.O. No.			Contract N	C18191	130	Contra	act Original A	mount: \$_180,000.00
Vendor N	lame: S	San Jose Polic	e Department		Vendor No.	4 400E		
Please ch	neck:							
l,		Cancel P.O. / Co						
-	3.4	Change Item No.		4		C	ONTRACTS	OMLY
		Increase Amount			12.251		DIVINACIO	ONLI
-		Decrease Amour		-	Change C	Orders:		
		Add Line No.:			Contracts	Original Ar	nount	\$ 180,000.00
>		Delete Line No.:			Change C	Order #: 1		\$ 30,000.00
-		Change org key/o	A CONTRACTOR OF THE PROPERTY O	-	Change C	order #:	_	\$
-		Change Vendor I			Change C	order#:		\$
- 5		Add Freight: \$ Add Tax:			New Con	tract Total		\$ 210,000.00
		Disencumber			(including C	Change Orde	rs)	
Line #		OtherLOCATION		ACCOUN'	T SAM	IOUNT	Accounts	ant Signature & Date
1	03	340	5210	5815	77.5		Accounta	ant Signature & Date
	00	1340	3210	3013	\$210,0	00.00		
rest of the	he 201 ding a s		year. SJPD F	Resource C	Officers sup	port ARU	ISD middle	xpenses for the school campuses role models
School/D Approval		tudent Service	es page Only)		Requested b		Araujo	s Services
	_/	NIMIZ	MA		Program Mai	nager		
	-				Purchasing N	Manager		
Date Req	uested:		Date E	ntered:		Ente	ered By:	

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

PUR 101

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127



Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of T	rustees:	Date: February 28, 2019
Subject:	Contract Increase with Ro H	Iealth, Inc.
Staff Analysis:	Health provides diabetes ca for low and high blood glue	contract adjustment for LVN services with Ro Health Inc. Ro are (e.g., insulin administration, blood glucose checks, treatment cose levels) for students with diabetes residing within the Alum it is necessary that the services to be provided throughout the arc.
Recommendation	Staff recommends the board Inc., New contract total amount	ed approve the contract increase of \$50,000.00 with Ro Health, bunt \$150,000.
Submitted by:	Norma Flores	Title: Director II, Student Services
Approved by:	Rene Sanchez	Title: Assistant Superintendent, Instructional Services
To the Board of T	rustees:	Meeting: March 14, 2019
Pagamma	nded Approval	
Recomme	8.03	Menmer
Agenda P	acement	Hilaria Bauer, Ph.D., Superintendent
	DISPOSITION	N BY BOARD OF TRUSTEES
Motion by:		Seconded by:
Approved	Not Appro	ovedTabled



### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### PURCHASE ORDER / CONTRACT ADJUSTMENT

P.O. No.			Contract N	o. C181901	4	Contrac	ct Original	Amount: \$ 100,000.00
Vendor Na	ame: Ro	Health Inc			Vendor No.	22244		
Please che	eck:							
	c	ancel P.O. / Co	ntract					
\-		hange Item No.		1		co	NTRACTS	ONLY
-			Line Item No.:		Change C	Orders:		
		dd Line No.:				Original Am	ount	\$ 100,000.00
		elete Line No.				order #: 1		\$50,000.00
-	c	hange org key/o	object code to: _			Order #:		\$
		hange Vendor N				Order #:		\$
		dd Freight: \$			N			s 150,000.00
		dd Tax:	%			tract Total Change Order	s)	\$
		isencumber hange Order No						
9-		ther						
Line #	FUND	LOCATION	PROGRAM	ACCOUNT	\$ AN	MOUNT	Accoun	tant Signature & Date
1	03	340	5240	5815	150,00	00.00		
					1 .			
Reason fo	r Adjustm	Current o	contract with I	RO Health n	eeds to b	e increase	ed to cove	er remaining
2018-20								
School/D	ept. Sti	udent Service	es		Requested	by: Norma	Flores	
		A . A				uperintenden		ess Services
Approval	-	(for Con	racks Only)		nssistant of	uperintenden	it of Busine	sas ociviocs
	1	Um &	unu		Program Ma	anager		
		1			Purchasing	Manager		
Date De-	woods de		Date F	Entered:			arad Ru	
Date Req	uested.		Date E	niered:			eled by	

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

PUR 101 Rev. 07/15

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

8.04

Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Ti	rustees:	Date: March 7, 2019
Subject:	Crown Castle Fiber, LLC Wide Area Network Services	, E-Rate YR 22, RFP NO. B1819-T001
Staff Analysis:	Connectivity from District O with Crown Castle Fiber will published an RFP on January Rate program. The E-Rate preceived from the following reviewed all the responses and was selected as the most response Total estimated cost for the divided into monthly fees of forms to receive the E-Rate of	of District's current Wide Area Network (WAN) Services to deliver office to all School Sites via fiber optic cables. The current contract I be expiring June 30, 2019. The Alum Rock Union School District 22, 2019 for a new WAN Service contract for the Federal Funded E-rogram offers 90% discount on the services. Three responses were companies, AT&T, COMCAST and Crown Castle. A committee discored them through an evaluation matrix worksheet. Crown Castle consive, and responsible bidder. It wo-year contract is approximately \$1,074,071.57, which would get approximately \$44,752.98. The District will file the required E-Rate discount. The estimated cost to the District after E-Rate discount for 7.16, with estimated monthly payments of \$4,475.30.
Recommendation:	Network Services and enter	d to approve Crown Castle Fiber as the provider for Wide Area into contract for the two-year term (07/01/2019 – 06/30/2021) with 1-22) and (2022-23) of one year each.
Submitted by:	Avtar Gill	Title: Director of Technology
Approved by:	Kolvira Chheng	Title: Assistant Superintendent, Business Services
To the Board of Tr	rustees:	Meeting: March 14, 2019
Recommen	Approval	framer
Agenda Pla	acement	Hilaria Baller, Rh.D., Superintendent
	DISPOSITIO	ON BY BOARD OF TRUSTEES
Motion by:		Seconded by:

Tabled

Not Approved

Approved





### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### INDEPENDENT CONTRACTOR AGREEMENT

PROGRAM MA  1. PARTIES the follow Name of I Address: Phone: ( SSN: Mutually 2. CONTRA	hnology Depart  ANAGER: Avtar Gi  The Alum Rock Union ing named Contractor: Individual/Company: 226 N. Lincoln 951 )339-7266  agree and promise as CT TERM: start date	II  Description Elementary School Description Castle Factor Ave.  Stollows:	istrict (ARUESI Tiber, LLC City Em	o), whose address is  c: Corona  ail Address:	State: CA	San Jose, CA 95127, and A Zip: <u>92882</u>
1. PARTIES the follow Name of I Address: Phone: ( SSN: Mutually CONTRA CONTRA)	: The Alum Rock Union ing named Contractor: Individual/Company:	Crown Castle F Ave.	City City Em	: Corona	State: CA	A_ zip: <u>92882</u>
the follow Name of I Address: Phone: ( SSN: Mutually CONTRA CONTRA	ing named Contractor; Individual/Company:	Crown Castle F Ave.	City City Em	: Corona	State: CA	A_ zip: <u>92882</u>
Address: Phone: ( SSN: Mutually CONTRA CONTRA	226 N. Lincoln 951 )339-7266 agree and promise as	Ave.	City Em	: Corona		
Phone: ( SSN: Mutually CONTRA CONTRA	951 )339-7266 agree and promise as	follows:	Em or Fed	ail Address:		
SSN: Mutually 2. CONTRA 3. CONTRA	agree and promise as	follows:	or Fed			
Mutually CONTRA CONTRA	agree and promise as	follows:		I.D. #:		
2. CONTRA	CT TERM: start date					
3. CONTRA		7-1-2019				
3. CONTRA				end date	6-30-2021	
exhibi Wide	ts and other documents	NAM) Services				ports). Attach proposals,
expressed (Invoice) v upon appr	d herein, ARUESD she which shall be submitted to all of such demand be see Rate: \$	all pay Contractor up ad not later than 30 da y ARUESD as follows:  per hour/ rs of services. ARUES	oon Contractor' ys from the end (Check either a day of service SD may, but is	s submission of a t of the month in wh , b, or c) as may be requeste not obligated to, rec	properly documented the contract send of the contract send of by ARUESD, not quest the maximum	to the payment provisions of demand for payment vices were rendered, and to exceed a maximum of number of hours/days of month.)
5. BUDGET	CODE:					
FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	450	81100	5910	\$107,407.16		

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

Alum Rock Union Elementary School District
RFP # B1819-T001 WAN Connection

(a)	(b)	(5)	(p)	(e)	(4)	(g)=d+e+f	(h)=24 X (g)	(i) = 90% (h)	(i)=(h)-(i)
Bidder	Solution Proposal	Construction Cost	Monthly Fee before Erate Discount	Tax & Surcharges	Any other Tarrif	Total Monthly Fee	Total Cost for 2 year Term before Erate Discounts	Erate Discounts District Cost	District Cost
Crown Castle	24 months term - 10 Gbps Fiber	s	\$ 35,163.81	v,	\$ 7,032.76	\$ 44,752.98	2,556.41 \$ 7,032.76 \$ 44,752.98 \$ 1,074,071.57 \$ 966,664.41 \$ 107,407.16	\$ 966,664.41	\$ 107,407.16
at&t	24 months term - 10 Gbps Fiber	S	\$ 85,440.00 \$		\$ 17,088.00	\$ 108,739.49	6,211.49 \$ 17,088.00 \$ 108,739.49 \$ 2,609,747.71	\$ 2,348,772.94 \$ 260,974.77	\$ 260,974.77
Comcast	24 months term - 10 Gbps Fiber Option -1	\$ 318,668.96	\$ 37,300.00 \$	\$ 2,711.71	4	\$ 47,471.71	7,460.00 \$ 47,471.71 \$ 1,139,321.04 \$ 1,025,388.94 \$ 113,932.10	\$ 1,025,388.94	\$ 113,932.10
Comcast	24 months term - 10 Gbps Fiber Option -2	•	\$ 58,444.14 \$	- 1	\$ 11,688.83	\$ 74,381.86	4,248.89 \$ 11,688.83 \$ 74,381.86 \$ 1,785,164.57 \$ 1,606,648.11 \$ 178,516.46	\$ 1,606,648.11	\$ 178,516.46

Dedicated Internet Access (DIA)

### APPENDIX D - Crown Castle Fiber Proposed Pricing

Crown Castle Fiber is pleased to offer several comprehensive pricing options for the proposed network. Pricing is inclusive of all construction, services and network maintenance throughout the life of the contract.

10Gbps Managed Private	Ethernet – Hub & Spoke Design	
	24 Month Term	Renewals
NRC	\$0	\$0
MRC	\$35,163.81	\$35,163.81
CA Surcharges	\$2,556.41	\$2,556.41
Total MRC	\$37,720.22	\$37,720.22

### Notes:

Excludes cross-connects and building access fees, where applicable.

The monthly recurring fees in this proposal contain only an estimate of taxes and surcharges. Any applicable taxes and surcharges will be invoiced at the actual rate at the time of invoice.



### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

### Office of Superintendent of Schools

Board of Trustees:	March 7, 2019
Subject:	BOARD STUDY SESSIONS
	Board to consider, and take action, on whether to hold Board Study sessions for goal-setting.
Recommendation:	Board to direct staff to secure a third party facilitator to support these sessions – The Arbinger Institute, LLC., \$14,888.
DISCUSSION/ACT	TION
Submitted by:	Hilaria Bauer Title: Superintendent
To the Board of Trus	tees: Meeting: March 14, 2019 Regular Board Meeting
Recommend Appro	Myma
	DISPOSITION BY BOARD OF TRUSTEES
Motion by:	Seconded by:
Approved:	Not Approved: Tabled:

### INDEPENDENT CONTRACTOR AGREEMENT

2014	DIVISION OF BUSINESS SERVICES				CONTRACT N	0
KOIVI:	Superintendent's	Office	(Scho	pol/Dept.)	VENDOR NO.	
ROGRA	AM MANAGER: Dr. Hi	laria Bauer				
	RTIES: The Alum Rock Unio		istrict (ARUESD	), whose address is	s 2930 Gay Avenue,	San Jose, CA 95127, and
Nam	ne of Individual/Company: _	The Arbinger	Institute, L	LC		
Add	ress: 1379 N. 1075	5 W, Suite 100	City	Farmingto	n State: U7	Γ zip: 84025
Pho	ne: (385 ) 220-263	30	Ema	ail Address:	Land et Va	1111111
SSN	t:		or Fed	ID#: _27-41	12578	
Mut	ually agree and promise a	s follows:				
CON	NTRACT TERM: start date	3/15/19		end date	6/30/19	
	Description of services to be exhibits and other document	tation if necessary:				
I		tation if necessary:	Board to I	pegin the pro		
an comexprise (Involupor	me Institute will ass d developing and i  MPENSATION: In consider ressed herein, ARUESD s bice) which shall be submitt n approval of such demand if a. Fee Rate: \$	ration if necessary:  ist the ARUESD mplementing an  ration of Contractor's period not later than 30 day by ARUESD as follows:  per hour/ ays of services. ARUES	Board to I outward n rovision of service from Contractor's ys from the end (Check either a day of service a SD may, but is	ces as described as submission of a of the month in w. b. or c.)	above, and subject to properly documents hich the contract sen-	the payment provisions ded demand for payment provisions were rendered, and to exceed a maximum of number of hours/days of
an comexprise (Involupor	MPENSATION: In consider essed herein, ARUESD soice) which shall be submitted approval of such demand in approval of such demand in approval.	ration if necessary:  ist the ARUESD mplementing an  ration of Contractor's period not later than 30 day by ARUESD as follows:  per hour/ ays of services. ARUES	Board to I outward n rovision of service from Contractor's ys from the end (Check either a day of service a SD may, but is	ces as described as submission of a of the month in w. b. or c.)	above, and subject to properly documents hich the contract sen-	the payment provisions ded demand for payment process were rendered, and to exceed a maximum on number of hours/days o
COM expri (Invo upor	MPENSATION: In consider december of the institute will asset to developing and institute will asset developing and institute will asset developing and institute approval of such demand in approval of such demand institute approval of such demand in the institute approval of such demands in the institute will asset approval of such demands in the institute approval of such demands in th	ration if necessary:  ist the ARUESD mplementing an  ration of Contractor's period not later than 30 day by ARUESD as follows:  per hour/ ays of services. ARUES	Board to I outward n rovision of service from Contractor's ys from the end (Check either a day of service a SD may, but is	ces as described as submission of a of the month in w. b. or c.)	above, and subject to properly documents hich the contract sen-	the payment provisions and demand for payment process were rendered, and to exceed a maximum on number of hours/days o

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

March 6<sup>th</sup>, 2019

Hilaria Bauer

Superintendent

Alum Rock Union School District (408)928-6822

hilaria.bauer@arusd.org

With regard to your request for a quotation, the details are as follows:

Description	Additional Information	Unit	Quantity	Price	Total Price
One-Day Onsite Facilitation - Master Facilitator	2 Consecutive Days Dates: TBD Location: TBD	Per Day	5	\$4,875.00	\$9,750.00
Developing and Implementing an Outward Mindset Participant Packet		Per Packet	20	\$195.00	\$3,900.00
Travel	Firm Fixed Price Includes airfare, lodging, food, and ground transportation.	Per Facilitator	-	\$1,200.00	\$1,200.00
Shipping and Handling Charge	Firm Fixed Price Includes cost to ship via FedEx Ground from Salt Lake City, UT to San Jose, CA	Per Shipment	-	\$38.00	\$38.00
Total Price*:					\$14,888.00

Please review all Terms and Conditions included in this quotation. To make a payment, please contact our Finance Department during their office hours of 9 am Mountain Time to 5 pm Mountain Time at Phone: (801) 447-9244, email: finance@arbinger.com. Mailing Address: The Arbinger Institute ATTN: Finance Department Mailing address: Arbinger Institute, 1379 N. 1075 W. Suite 100, Farmington, UT 84025. If you have any other questions, please reach me at the contact information below. Thank you!

Regards,

Dan Dellenbach

Client Solutions Manager



Terms and Conditions:

\*\* The following conditions apply to the prices quoted:

1. Quote Details

a. This quote is valid until 3/31/2019

invitation for course participants, assist the leader with opening remarks, as well as guide the leader towards an implementation plan to follow the workshop telephone conversations with our Executive Coaching staff. These conversations help to prepare the organizational leader for the upcoming Arbinger-led, on-site, workshop by clarifying organizational and personal goals for change (and identifying potential roadblocks), assist the leader with preparing the Leader Prep Calls are included in the price quoted. Arbinger offers additional 1:1 support to leaders of client teams engaging in training via a series of with targeted actions and initiatives.

This quotation is offered at firm-fixed price and Travel, shipping and handling are offered at firm fixed price

2. Payment Terms

Purchase order and payment questions should be directed to The Arbinger Institute, ATTN: Finance, phone: +1 (801) 447-9244, email: finance@arbinger.com, Mailing address: 1379 N. 1075 W. Suite 100, Farmington, UT 84025.

Client can pay with a credit card, billed via electronic invoice, or as directed by a Purchase Agent or Contracting Officer. þ.

c. Materials sales are final. Should the Client desire to exchange materials; there is up to a \$65 exchange fee per handbook plus shipping costs. Client agrees to pay return shipping costs. Certain restrictions apply.

information, contract, or task order) or payment is received from the client. Official commitments must be received 30 days in advance of the scheduled event to ensure timely purchase of travel arrangements and delivery of course materials. An event is considered confirmed and becomes binding upon receipt of an All dates are considered tentative and subject to availability until an official commitment to pay (e.g., purchase order, service agreement, SF182, credit card o.

If the commitment is received less than 21 days before the scheduled event, the client may be assessed expedited shipping and travel charges.

3. Copyright Information

The Arbinger Institute is the sole owner and deliverer of Arbinger programs, trainings, consulting and materials, which are Arbinger's central training programs and materials of organizations around the world. The Arbinger Institute owns all proprietary rights and copyrights to all training principles and materials. ä

Any unauthorized use, reuse, copying, reproduction, recording, transmittal, modifications, or revisions of any such materials or principles of the scheduled training is prohibited. Ď.

4. Shipping

orders must be placed at least 15 days prior to the scheduled start of the event to ensure enough time for FedEx arrival. Materials orders received less than 15 days prior to a scheduled event may be subject to expedited delivery, 2-day delivery and/or overnight delivery which will be billed at additional Client expense. Shipping cost reflects FedEx ground shipping inside the contiguous U.S. Outside the contiguous U.S. shipping cost reflect FedEx 2-day shipping. Material ä

Client should expect that materials will be shipped on the first business day after receipt of an official commitment from a Purchase Agent or Contracting Officer who is authorized to make financial obligations. þ.



### 5. Refund Policy

a. Client may request a refund of unopened boxes of materials, if the materials are returned within 30 days of original shipping date. If requesting a refund, client
agrees to pay return shipping costs of materials. Certain restrictions apply.

### 6. Event Logistics

- a. For in-person events, client is responsible for providing event space and logistical items relevant to the presentation, including VGA/HDMI capable projector, screen, two (2) flip charts, markers, seating, tables, and sound.
  - b. Number of participants must be confirmed no later than 15 days before in-person events to allow for timely printing and shipping costs. Client may incur additional expenses for expedited printing and shipping if number of participants changes within 15 days of the event.

### 7. Cancellation and Reschedule

- Events canceled after a commitment is received will be subjected to a 30% cancellation fee. Events rescheduled at the convenience of the client after a purchase order or equivalent is received from the client will result in a rescheduling fee of 15%. ä.
  - b. Client agrees to incur return shipping costs for materials already shipped at the time of cancellation.
    - c. Client may incur additional fees if shipped materials are not returned in unused/unopened condition.



Modifications: Any Change or modification of this Agreement shall be made only upon the mutual written agreement of both parties. This Agreement supersedes all prior written or oral agreements between parties. Governing Law: This Agreement shall be governed in accordance with the laws of the State of Utah in the United States of America. In the event that any action is necessary to enforce the terms of this Agreement, the prevailing party shall be entitled to recover reasonable costs and attorney's fees, whether or not any suit is filed.

Entire Agreement: The provisions of this Agreement are intended by The Arbinger Institute and Client as a final expression of their agreement and are intended also as a complete and exclusive statement of all terms applicable to The Arbinger Institute's provision of services to Client under this Agreement.

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Client	Signature:	By:	Title:
The Arbinger Institute	Signature:	By:	Title:



### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

9.02

### Office of Superintendent of Schools

Board of Trustees:		March	7, 2019
SUBJECT:	LEGAL SERVICES Staff will provide an update.		
Information/Update			
Submitted by:	Hilaria Bauer	Title: Su	perintendent
To the Board of Trus	stees: Meetin	g: March 14, 2019 Regular Board M	leeting
Information/Update  Q.07  Agenda Placement		Hilaria Bayer, Ph.D., Su	perintendent
	DISPOSITION BY BOA	RD OF TRUSTEES	
Motion by:		Seconded by:	
Approved:	Not Approved:	Tab	led:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

10.01

Date: February 27, 2019

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Subject:	Second Interim Financial Report
Staff Analysis:	The Second Interim Report is one measure designed to help the County and ultimately the State to monitor each school district's fiscal health. According to the State requirements, in order to have a Positive Certification, ARUSD must project a least 3% in unrestricted reserves in each of the current and following two years Districts that may not meet this requirement receive a qualified budget certification and Districts that do not demonstrate that they will meet this requirement receive a negative budget certification. If any problems are anticipated, the County will offer immediate fiscal management advisory services.
	School districts in California are required to maintain their financial affairs in accordance with prudent financial management principles. Those principles were strengthened and codified in 1991 with the passage of AB 1200. The increased oversight provided by AB 1200 was in response to a number of school district near bankruptcies, requests for state loans, and defaults on school district financial obligations.
	The statute requires school districts to self-certify budgets, interim reports, unaudited actuals, and disclosure statements related to collective bargaining. The law also requires county offices of education (COE) to review the various certifications and determine whether the financial position of the district is in conformance with state recommended standards and criteria. The COE is empowered to initiate action i problems are disclosed or discovered. That action may take the form of additional questions or warnings, a lowering of the self-rating from "positive" to either "qualified" or "negative," appointment of a fiscal advisor, or more stringent measures if the
	situation warrants.
Recommendation:	situation warrants.  The Alum Rock Union Elementary School District is presenting a Qualified Certification of its financial condition. This means that the District may not meet its
Recommendation: Approved by: Ko	situation warrants.  The Alum Rock Union Elementary School District is presenting a Qualified Certification of its financial condition. This means that the District may not meet its financial obligations for the current fiscal year or two subsequent fiscal years.
	The Alum Rock Union Elementary School District is presenting a Qualified Certification of its financial condition. This means that the District may not meet its financial obligations for the current fiscal year or two subsequent fiscal years.  Staff recommends approval of the Second Interim Financial Report.  Vira Chheng Title: Assistant Superintendent, Business Services  Title: Meeting: March 14, 2019  Regular Board Meeting
Approved by: Ko  To the Board of Trus  Recommend Appro	The Alum Rock Union Elementary School District is presenting a Qualified Certification of its financial condition. This means that the District may not meet its financial obligations for the current fiscal year or two subsequent fiscal years.  Staff recommends approval of the Second Interim Financial Report.  Vira Chheng Title: Assistant Superintendent, Business Services  Title: Meeting: March 14, 2019  Regular Board Meeting
Approved by: Ko  To the Board of Trus  Recommend Appro	The Alum Rock Union Elementary School District is presenting a Qualified Certification of its financial condition. This means that the District may not meet its financial obligations for the current fiscal year or two subsequent fiscal years.  Staff recommends approval of the Second Interim Financial Report.  Vira Chheng Title: Assistant Superintendent, Business Services  Title: Meeting: March 14, 2019  Regular Board Meeting
Approved by: Ko  To the Board of Trus  Recommend Appro  (O.C)  Agenda Placement	The Alum Rock Union Elementary School District is presenting a Qualified Certification of its financial condition. This means that the District may not meet its financial obligations for the current fiscal year or two subsequent fiscal years.  Staff recommends approval of the Second Interim Financial Report.  Vira Chheng Title: Assistant Superintendent, Business Services  Meeting: March 14, 2019  Regular Board Meeting  Hilaria Bauer, Ph.D., Superintendent

### Second Interim DISTRICT CERTIFICATION OF INTERIM REPORT For the Fiscal Year 2018-19

43 69369 0000000 Form CI

	Signed:	Date:
	District Superintendent or Designer	В
IOTICE Oneeting of	F INTERIM REVIEW. All action shall be taken of the governing board.	on this report during a regular or authorized special
o the Cou	nty Superintendent of Schools:	
This in of the	terim report and certification of financial condit school district. (Pursuant to EC Section 42131)	ion are hereby filed by the governing board
Mee	ting Date: March 14, 2019	Signed:
ERTIFICA	ATION OF FINANCIAL CONDITION	President of the Governing Board
POS	SITIVE CERTIFICATION	
		I district, I certify that based upon current projections this rent fiscal year and subsequent two fiscal years.
X QU	ALIFIED CERTIFICATION	
As I	President of the Governing Board of this school rict may not meet its financial obligations for the	I district, I certify that based upon current projections this e current fiscal year or two subsequent fiscal years.
NEC	GATIVE CERTIFICATION	
dist	President of the Governing Board of this school rict will be unable to meet its financial obligation sequent fiscal year.	I district, I certify that based upon current projections this ns for the remainder of the current fiscal year or for the
Contac	ct person for additional information on the interi	im report:
	Name: Efrain Robles	Telephone: (408) 928-6839

### Criteria and Standards Review Summary

The following summary is automatically completed based on data provided in the Criteria and Standards Review form (Form 01CSI). Criteria and standards that are "Not Met," and supplemental information and additional fiscal indicators that are "Yes," may indicate areas of potential concern, which could affect the interim report certification, and should be carefully reviewed.

CRITE	RIA AND STANDARDS		Met	Not Met
1	Average Daily Attendance	Funded ADA for any of the current or two subsequent fiscal years has not changed by more than two percent since first interim.	x	

CRITE	RIA AND STANDARDS (contin		Met	Not
2	Enrollment	Projected enrollment for any of the current or two subsequent fiscal years has not changed by more than two percent since first interim.		x
3	ADA to Enrollment	Projected second period (P-2) ADA to enrollment ratio for the current and two subsequent fiscal years is consistent with historical ratios.		х
4	Local Control Funding Formula (LCFF) Revenue	Projected LCFF revenue for any of the current or two subsequent fiscal years has not changed by more than two percent since first interim.	х	
5	Salaries and Benefits	Projected ratio of total unrestricted salaries and benefits to total unrestricted general fund expenditures has not changed by more than the standard for the current and two subsequent fiscal years.	x	
6a	Other Revenues	Projected operating revenues (federal, other state, other local) for the current and two subsequent fiscal years have not changed by more than five percent since first interim.	х	
6b	Other Expenditures	Projected operating expenditures (books and supplies, services and other expenditures) for the current and two subsequent fiscal years have not changed by more than five percent since first interim.		x
7	Ongoing and Major Maintenance Account	If applicable, changes occurring since first interim meet the required contribution to the ongoing and major maintenance account (i.e., restricted maintenance account).	х	
8	Deficit Spending	Unrestricted deficit spending, if any, has not exceeded the standard in any of the current or two subsequent fiscal years.		х
9a	Fund Balance	Projected general fund balance will be positive at the end of the current and two subsequent fiscal years.	х	
9b	Cash Balance	Projected general fund cash balance will be positive at the end of the current fiscal year.	Х	
10	Reserves	Available reserves (e.g., reserve for economic uncertainties, unassigned/unappropriated amounts) meet minimum requirements for the current and two subsequent fiscal years.	х	

S1	EMENTAL INFORMATION	I Karana da ana ana ana ana ana ana ana ana a	No	Yes
31	Contingent Liabilities	Have any known or contingent liabilities (e.g., financial or program audits, litigation, state compliance reviews) occurred since first interim that may impact the budget?	х	
S2	Using One-time Revenues to Fund Ongoing Expenditures	Are there ongoing general fund expenditures funded with one-time revenues that have changed since first interim by more than five percent?	х	
S3	Temporary Interfund Borrowings	Are there projected temporary borrowings between funds?		х
S4	Contingent Revenues	Are any projected revenues for any of the current or two subsequent fiscal years contingent on reauthorization by the local government, special legislation, or other definitive act (e.g., parcel taxes, forest reserves)?	x	
S5	Contributions	Have contributions from unrestricted to restricted resources, or transfers to or from the general fund to cover operating deficits, changed since first interim by more than \$20,000 and more than 5% for any of the current or two subsequent fiscal years?		x

S6	EMENTAL INFORMATION (cor		No	Yes
30	Long-term Commitments	Does the district have long-term (multiyear) commitments or debt agreements?		Х
		<ul> <li>If yes, have annual payments for the current or two subsequent fiscal years increased over prior year's (2017-18) annual payment?</li> </ul>	х	
		<ul> <li>If yes, will funding sources used to pay long-term commitments decrease or expire prior to the end of the commitment period, or are they one-time sources?</li> </ul>		х
S7a	Postemployment Benefits Other than Pensions	Does the district provide postemployment benefits other than pensions (OPEB)?	х	
		<ul> <li>If yes, have there been changes since first interim in OPEB liabilities?</li> </ul>	n/a	
S7b	Other Self-insurance Benefits	Does the district operate any self-insurance programs (e.g., workers' compensation)?	х	-
		<ul> <li>If yes, have there been changes since first interim in self- insurance liabilities?</li> </ul>	n/a	
S8	Status of Labor Agreements	As of second interim projections, are salary and benefit negotiations still unsettled for:	1,71	
		Certificated? (Section S8A, Line 1b)	Х	
- 1		<ul> <li>Classified? (Section S8B, Line 1b)</li> <li>Management/supervisor/confidential? (Section S8C, Line 1b)</li> </ul>	X	
S8	Labor Agreement Budget	For negotiations settled since first interim, per Government Code	n/a	-
	Revisions	Section 3547.5(c), are budget revisions still needed to meet the costs of the collective bargaining agreement(s) for:		
		Certificated? (Section S8A, Line 3)	n/a	
-		Classified? (Section S8B, Line 3)	n/a	
S9	Status of Other Funds	Are any funds other than the general fund projected to have a negative fund balance at the end of the current fiscal year?	х	

	IONAL FISCAL INDICATORS		No	Yes
A1	Negative Cash Flow	Do cash flow projections show that the district will end the current fiscal year with a negative cash balance in the general fund?	х	60-
A2	Independent Position Control	Is personnel position control independent from the payroll system?		х
A3	Declining Enrollment	Is enrollment decreasing in both the prior and current fiscal years?		X
A4	New Charter Schools Impacting District Enrollment	Are any new charter schools operating in district boundaries that are impacting the district's enrollment, either in the prior or current fiscal year?	х	
A5	Salary Increases Exceed COLA	Has the district entered into a bargaining agreement where any of the current or subsequent fiscal years of the agreement would result in salary increases that are expected to exceed the projected state funded cost-of-living adjustment?	x	
A6	Uncapped Health Benefits	Does the district provide uncapped (100% employer paid) health benefits for current or retired employees?	Х	
A7	Independent Financial System	Is the district's financial system independent from the county office system?		х
A8	Fiscal Distress Reports	Does the district have any reports that indicate fiscal distress? If yes, provide copies to the COE, pursuant to EC 42127.6(a).	х	
A9	Change of CBO or Superintendent	Have there been personnel changes in the superintendent or chief business official (CBO) positions within the last 12 months?	х	

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

10.02

### Office of Superintendent of Schools

	Trustees:	Date: January 17, 2019
Subject:	Board Policy 1 <sup>st</sup> Reading BP 5030-Student Wellness	
Staff Analysis:	The Board Policy has been rev regulations.	vised according to current codes and
Recommendation	on: Staff recommends acceptance o Student Wellness.	f the revised Board Policy 5030 on
Submitted by: M	lary H. Fell, SNS Title: Director,	Child Nutrition Services
Approved by: K	olvira Chheng 🔾 Title: Assistant	Superintendent, Business Services
Approved by: K		March 14, 2019
	Trustees: Meeting:	
To the Board of Recommend Ap	Trustees: Meeting:	March 14, 2019
To the Board of Recommend Ap	Trustees: Meeting:	March 14, 2019
To the Board of Recommend Ap	Trustees: Meeting:	March 14, 2019 Regular Board Meeting  aria Bauer, Ph.D., Superintendent
To the Board of Recommend Ap	Trustees: Meeting:  proval  nent Hils	March 14, 2019 Regular Board Meeting  Akia Bauer, Ph.D., Superintendent

### **Board Policy** Student Wellness

BP 5030 Students

The Governing Board recognizes the link between student health and learning and desires to provide a comprehensive program promoting healthy eating and physical activity for district students. The Superintendent or designee shall coordinate and align district efforts to support student wellness through health education, physical education and activity, health services, nutrition services, psychological and counseling services, and a safe and healthy school environment. In addition, the Superintendent or designee shall develop strategies for promoting staff wellness and for involving parents/guardians and the community in reinforcing students' understanding and appreciation of the importance of a healthy lifestyle.

### Student Wellness Committee

The Superintendent or designee shall encourage parents/guardians, students, food service employees, physical education teachers, school health professionals, Board members, school administrators, and members of the public to participate in the development, implementation, and periodic review and update of the district's student wellness policy. (42 USC 1758b; 7 CFR 210.31)

To fulfill this requirement, the Superintendent or designee may appoint a Student Wellness Committee whose membership may include representatives of the groups listed above, as well as health educators, curriculum directors, counselors, before- and after-school program staff, health practitioners, and/or others interested in school health issues.

The student wellness committee may act as advisory body for the following issues, activities, policies, and programs. The duties of the committee may also include the planning, implementation, and evaluation of activities to promote health within the school and/or community.

The student wellness committee shall meet minimally twice a year and advise the District on health-related issues, activities, policies and programs. Duties of the committee may also include the planning, implementation, and evaluation of activities to promote health within the school and community.

The District Superintendent or designee, Director of Child Nutrition Services, site administrators, and the student wellness committee will be charged with annually ensuring that the Student Wellness Policy is implemented, monitored and revised.

### Goals for Nutrition, Physical Activity, and Other Wellness Activities

The Board shall adopt specific goals for nutrition promotion and education, physical activity, and other school-based activities that promote student wellness. In developing such goals, the Board shall review and consider evidence-based strategies and techniques. (42 USC 1758b; 7 CFR 210.31)

The district's nutrition education and physical education programs shall be based on research, shall be consistent with the expectations established in the state's curriculum frameworks and content standards, and shall be designed to build the skills and knowledge that all students need to maintain a healthy lifestyle.

The nutrition education program shall include, but is not limited to, information about the benefits of healthy eating for learning, disease prevention, weight management, and oral health. Nutrition education shall be provided as part of the health education program and, as appropriate, shall be integrated into other academic subjects in the regular educational program, before- and after-school programs, summer learning programs, and school garden programs.

All students shall be provided opportunities to be physically active on a regular basis. Opportunities for moderate to vigorous physical activity shall be provided through physical education and recess and may also be provided through school athletic programs, extracurricular programs, before- and after-school programs, summer learning programs, programs encouraging students to walk or bicycle to and from school, in-class physical activity breaks, and other structured and unstructured activities.

The Board prohibits the marketing and advertising of non-nutritious foods and beverages through signage, vending machine fronts, logos, scoreboards, school supplies, advertisements in school publications, coupon or incentive programs, free giveaways, or other means

The Board may enter into a joint use agreement or memorandum of understanding to make district facilities or grounds available for recreational or sports activities outside the school day and/or to use community facilities to expand students' access to opportunity for physical activity.

Professional development may be regularly offered to the nutrition program director, managers, and staff, as well as health education teachers, physical education teachers, coaches, activity supervisors, and other staff as appropriate to enhance their knowledge and skills related to student health and wellness.

In order to ensure that students have access to comprehensive health services, the district may provide access to health services at or near district schools and/or may provide referrals to community resources.

The Board recognizes that a safe, positive school environment is also conducive to students' physical and mental health and thus prohibits bullying and harassment of all students, including bullying on the basis of weight or health condition.

The Superintendent or designee shall encourage staff to serve as positive role models for healthy eating and physical fitness. He/she shall promote work-site wellness programs and may provide opportunities for regular physical activity among employees.

### Nutrition Guidelines for All Foods Available at School

For all foods and beverages available on each campus during the school day, the district shall adopt nutrition guidelines which are consistent with 42 USC 1758, 1766, 1773, and 1779 and federal regulations and which support the objectives of promoting student health and reducing childhood obesity. (42 USC 1758b)

In order to maximize the district's ability to provide nutritious meals and snacks, all district schools shall participate in available federal school nutrition programs, including the National School Lunch and School Breakfast Programs and after-school supper programs, to the extent possible. When approved by the California Department of Education, the district may sponsor a summer meal program. Food and beverages provided through federally funded school meal programs shall meet or exceed federal regulations and guidelines issued in the Healthy Hunger-Free Act 2010 as they apply to schools. (42 USC 1758 and 1766 (a) and (b)

The Superintendent or designee shall provide access to free, potable water in the food service area during meal times in accordance with Education Code 38086 and 42 USC 1758, and shall encourage students' consumption of water by educating them about the health benefits of water and by serving water in an appealing manner.

The Board believes that all foods and beverages sold to students at district schools, including those available outside the district's reimbursable food services program, should support the health curriculum and promote optimal health. Nutrition standards adopted by the district for foods and beverages provided through student stores, vending machines, or other venues shall meet or exceed state and federal nutrition standards. (Smart Snacks in School Nutrition Standards)

The Superintendent or designee shall encourage school organizations to use healthy food items or non-food items for fundraising purposes. Fundraisers that do not meet nutrition requirements may not be sold on campus or district ground from midnight to one hour at the end of the school day.

Incorporate practicable and profitable healthy fundraisers that support nutrition education, physical activity and other wellness related activities. Any student-run fundraiser occurring on school campus during the school day that involves food and/or beverages may not interfere with the federally funded school meal programs.

He/she also shall encourage school staff to avoid the use of non-nutritious foods as a reward for students' academic performance, accomplishments, or classroom behavior.

School staff shall encourage parents/guardians or other volunteers to support the district's nutrition education program by considering nutritional quality when selecting any snacks which

they may donate for occasional class parties. Class parties or celebrations shall be held after the lunch period when possible. Foods that do not meet the nutrition requirements may not be offered on school or district grounds from midnight to one hour at the end of the school day. All foods available on campus shall come from Health Department approved food service distribution sources and food made at home are discouraged in order to prevent foodborne illness in schools. Food made at home may not be sold to students at any time.

To reinforce the district's nutrition education program, the Board prohibits the marketing and advertising of foods and beverages that do not meet nutrition standards for the sale of foods and beverages on campus during the school day. (Education Code 49431.9; 7 CFR 210.31). This policy does allow for marketing and advertising of only those foods and beverages that meet the Smart Snacks in School nutrition standards.

### **Program Implementation and Evaluation**

The Superintendent or designee shall inform and update the public, including parents/guardians, students and others in the community, about the content and implementation of this policy and assessment results.

The Superintendent or designee shall designate one or more district school officials, as appropriate, to ensure that each school site complies with this policy. (42 USC 1758b; 7 CFR 210.31)

The Superintendent or designee shall assess the implementation and effectiveness of this policy at least once every three years. (42 USC 1758b; 7 CFR 210.31)

This requires that the district assessment include a comparison of the district's policy with model wellness policies. The assessment shall include the extent to which district schools are in compliance with this policy, the extent to which this policy compares to model wellness policies available from the U.S. Department of Agriculture, and a description of the progress made in attaining the goals of the wellness policy. (42 USC 1758b)

The Superintendent or designee shall invite feedback on district and school wellness activities from food service personnel, school administrators, the wellness council, parents/guardians, students, teachers, before- and after-school program staff, and/or other appropriate persons.

The Board and the Superintendent or designee shall establish indicators that will be used to measure the implementation and effectiveness of the district activities related to student wellness. Such indicators may include, but are not limited to:

- 1. Descriptions of the district's nutrition education, physical education, and health education curricula and the extent to which they align with state academic content standards and legal requirements
- 2. An analysis of the nutritional content of school meals and snacks served in all district

programs, based on a sample of menus and production records

- 3. Student participation rates in all school meal and/or supper programs, including the number of students enrolled in the free and reduced-price meals program compared to the number of students eligible for that program
- 4. Extent to which foods and beverages sold on campus outside the food services program, such as through vending machines, student stores, or fundraisers, comply with nutrition standards
- 5. Extent to which other foods and beverages that are available on campus during the school day, such as foods and beverages for classroom parties, school celebrations, and rewards/incentives, comply with nutrition standards
- 6. Extent to which school organizations use healthy food items or non-food items for fundraising purposes
- 7. Results of the state's physical fitness test at applicable grade levels
- 8. Number of minutes of physical education offered at each grade span, and the estimated percentage of class time spent in moderate to vigorous physical activity
- 9. A description of district efforts to provide additional opportunities for physical activity outside of the physical education program
- 10. A description of other districtwide or school-based wellness activities offered, including the number of sites and/or students participating, as appropriate

As feasible, the assessment report may include a comparison of results across multiple years, a comparison of district data with county, statewide, or national data, and/or a comparison of wellness data with other student outcomes such as academic indicators or student discipline rates.

In addition, the Superintendent or designee shall prepare and maintain the proper documentation and records needed for the administrative review of the district's wellness policy conducted by the California Department of Education (CDE) every three years.

The assessment results of both the district and state evaluations shall be submitted to the Board for the purposes of evaluating policy and practice, recognizing accomplishments, and making policy adjustments as needed to focus district resources and efforts on actions that are most likely to make a positive impact on student health and achievement.

### **Posting Requirements**

Each school shall post the district's policies and regulations on nutrition and physical activity in public view with all school cafeterias or in other central eating areas. – BP5030 Student Wellness

#### Notifications

The Superintendent or designee shall inform the public about the content and implementation of the district's wellness policy and shall make the policy, and any updates to the policy, available to the public on an annual basis. He/she shall also inform the public of the district's progress towards meeting the goals of the wellness policy, including the availability of the triennial district assessment. (Education Code 49432; 42 USC 1758b; 7 CFR 210.31)

The Superintendent or designee shall distribute this information through the most effective methods of communication, including district or school newsletters, handouts, parent/guardian meetings, district and school web sites, and other communications. Outreach to parents/guardians shall emphasize the relationship between student health and wellness and academic performance.

Each school may post a summary of nutrition and physical activity laws and regulations prepared by the CDE.

#### Records

The Superintendent or designee shall retain records that document compliance with 7 CFR 210.31, including, but not limited to, the written student wellness policy, documentation of the triennial assessment of the wellness policy for each school site, and documentation demonstrating compliance with the community involvement requirements, including requirements to make the policy and assessment results available to the public. (7 CFR 210.31)

#### Legal Reference:

EDUCATION CODE

33350-33354 CDE responsibilities re: physical education

38086 Free fresh drinking water

49430-49434 Pupil Nutrition, Health, and Achievement Act of 2001

49490-49494 School breakfast and lunch programs

49500-49505 School meals

49510-49520 Nutrition

49530-49536 Child Nutrition Act

49540-49546 Child care food program

49547-49548.3 Comprehensive nutrition services

49550-49562 Meals for needy students

49565-49565.8 California Fresh Start pilot program

49570 National School Lunch Act

51210 Course of study, grades 1-6

51210.1-51210.2 Physical education, grades 1-6

51210.4 Nutrition education

51220 Course of study, grades 7-12

51222 Physical education

51223 Physical education, elementary schools

51795-51798 School instructional gardens

51880-51921 Comprehensive health education

CODE OF REGULATIONS, TITLE 5

15500-15501 Food sales by student organizations

15510 Mandatory meals for needy students

15530-15535 Nutrition education

15550-15565 School lunch and breakfast programs

UNITED STATES CODE, TITLE 42

1751-1769j National School Lunch Program, especially:

1758b Local wellness policy

1771-1793 Child Nutrition Act, especially:

1773 School Breakfast Program

1779 Rules and regulations, Child Nutrition Act

CODE OF FEDERAL REGULATIONS, TITLE 7

210.1-210.33 National School Lunch Program, especially:

210.31 Wellness policy

220.1-220.22 National School Breakfast Program

COURT DECISIONS

Frazer v. Dixon Unified School District, (1993) 18 Cal. App. 4th 781

#### Management Resources:

**CSBA PUBLICATIONS** 

Integrating Physical Activity into the School Day, Governance Brief, April 2016

Increasing Access to Drinking Water in Schools, Policy Brief, April 2013

Monitoring for Success: A Guide for Assessing and Strengthening Student Wellness Policies, rev. 2012

Nutrition Standards for Schools: Implications for Student Wellness, Policy Brief, rev. April 2012

Student Wellness: A Healthy Food and Physical Activity Policy Resource Guide, rev. 2012

Physical Activity and Physical Education in California Schools, Research Brief, April 2010

Building Healthy Communities: A School Leader's Guide to Collaboration and Community Engagement, 2009

Safe Routes to School: Program and Policy Strategies for School Districts, Policy Brief, 2009

Physical Education and California Schools, Policy Brief, rev. October 2007

School-Based Marketing of Foods and Beverages: Policy Implications for School Boards, Policy Brief, March 2006

#### CALIFORNIA DEPARTMENT OF EDUCATION PUBLICATIONS

Physical Education Framework for California Public Schools, Kindergarten Through Grade Twelve, 2009

Health Framework for California Public Schools, Kindergarten Through Grade Twelve, 2003 CALIFORNIA PROJECT LEAN PUBLICATIONS

Policy in Action: A Guide to Implementing Your Local School Wellness Policy, October 2006 CENTER FOR COLLABORATIVE SOLUTIONS

Changing Lives, Saving Lives: A Step-by-Step Guide to Developing Exemplary Practices in Healthy Eating, Physical Activity and Food Security in Afterschool Programs, January 2015 CENTERS FOR DISEASE CONTROL AND PREVENTION PUBLICATIONS

School Health Index for Physical Activity and Healthy Eating: A Self-Assessment and Planning Guide, rev. 2012

#### FEDERAL REGISTER

Rules and Regulations, July 29, 2016, Vol. 81, Number 146, pages 50151-50170

NATIONAL ASSOCIATION OF STATE BOARDS OF EDUCATION PUBLICATIONS

Fit, Healthy and Ready to Learn, rev. 2012

U.S. DEPARTMENT OF AGRICULTURE PUBLICATIONS

Dietary Guidelines for Americans, 2016

WEB SITES

CSBA: http://www.csba.org

Action for Healthy Kids: http://www.actionforhealthykids.org

Alliance for a Healthier Generation: http://www.healthiergeneration.org

California Department of Education, Nutrition Services Division: http://www.cde.ca.gov/ls/nu

California Department of Public Health: http://www.cdph.ca.gov

California Healthy Kids Resource Center: http://www.californiahealthykids.org

California Project LEAN (Leaders Encouraging Activity and Nutrition):

http://www.californiaprojectlean.org

California School Nutrition Association: http://www.calsna.org

Center for Collaborative Solutions: http://www.ccscenter.org

Centers for Disease Control and Prevention: http://www.cdc.gov

Dairy Council of California: http://www.dairycouncilofca.org

National Alliance for Nutrition and Activity: http://www.cspinet.org/nutritionpolicy/nana.html

National Association of State Boards of Education: http://www.nasbe.org

School Nutrition Association: http://www.schoolnutrition.org

Society for Nutrition Education: http://www.sne.org

U.S. Department of Agriculture, Food Nutrition Service, wellness policy:

http://www.fns.usda.gov/tn/Healthy/wellnesspolicy.html

U.S. Department of Agriculture, Healthy Meals Resource System:

http://healthymeals.fns.usda.gov

# Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Revised: March 14, 2019 San Jose, California

In accordance with Federal civil rights law and U.S. Department of Agriculture (USDA) civil rights regulations and policies, the USDA, its Agencies, offices, and employees, and institutions participating in or administering USDA programs are prohibited from discriminating based on race, color, national origin, sex, disability, age, or reprisal or retaliation for prior civil rights activity in any program or activity conducted or funded by USDA.

Persons with disabilities who require alternative means of communication for program information (e.g. Braille, large print, audiotape, American Sign Language, etc.), should contact the Agency (State or local) where they applied for benefits. Individuals who are deaf, hard of hearing or have speech disabilities may contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information may be made available in languages other than English.

To file a program complaint of discrimination, complete the USDA Program Discrimination Complaint Form, (AD-3027) found online at: <a href="http://www.ascr.usda.gov/complaint-filing-cust.html">http://www.ascr.usda.gov/complaint-filing-cust.html</a> <a href="http://www.ascr.us

at any USDA office, or write a letter addressed to USDA and provide in the letter all of the information requested in the form. To request a copy of the complaint form, call (866) 632-9992. Submit your completed form or letter to USDA by:

(1) mail: U.S. Department of Agriculture Office of the Assistant Secretary for Civil Rights 1400 Independence Avenue, SW Washington, D.C. 20250-9410;

(2) fax: (202) 690-7442; or

(3) email: program.intake@usda.gov.

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

# **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

	rustees:	Date: March 7, 2019
Subject:	Alpha Public Schools Playgrou	und Structure Project
Staff Analysis:	playground structure located of Cunningham Avenue. APS inter- secure a grant to fund the proj- responsibility and any cost over- responsibility of APS. In the case	is requesting approval to build a in the Slonaker school site at 1601 ands to partner with KaBOOM!, Inc. to ect. The District will bear no financial runs related to the project are the sole that either party permanently ending ool site, APS shall gift the proposed ict.
Recommendatio		d of Trustees approve Alpha's request ocated on the Slonaker school site.
**The MOU and b	eackup documentation will be provid	led under separate cover**
Approved by: <u>Ko</u>	Ivira Chheng Title: Assistant	Superintendent, Business Services
To the Board of T	rustees: Meeting:	March 14, 2019
	A DOMESTIC AND A STATE OF THE ADMINISTRATION	Regular Board Meeting
Recommend App		
Recommend App (O - Agenda Placem	03	
10.	O3 nent Hila	Regular Board Meeting  Inia Bauer, Ph.D., Superintendent
Agenda Placem	DISPOSITION BY BOARD O	Regular Board Meeting  This Bauer, Ph.D., Superintendent  OF TRUSTEES
Agenda Placem	O3 nent Hila	Regular Board Meeting  This Bauer, Ph.D., Superintendent  OF TRUSTEES  and by:

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

# **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

	Trustees:		Date: March 7, 2019
Subject:	Agreement Be Elementary S Superintenden Compliance w	School District at of Schools Regith Statutory Budge	ng Board of the Alum Rock Union and the Santa Clara County garding Necessary Revisions for t Requirements in Conjunction with Plan (Education Code § 42127 and
20-18/19, a res Union Element Schools regard in conjunction required to con	olution establishing a ary School District ( ing necessary revision with the Local Contr only with the recom	agreement between (ARUSD) and the Sons for compliance volumentability Planmendations and ne	the board adopted RESOLUTON NO. the governing board of the Alum Rock anta Clara County Superintendent of with the statutory budget requirements an (LCAP). As a result, the District is cessary revisions as outlined in said on a monthly basis.
**INFORMATION			
**INFORMATIO	N/UPDATE**		uperintendent, Business Services
**INFORMATION  Approved by:  To the Board of INFORMATION	Kolvira Chheng  Trustees:	Meeting: Ma	arch 14, 2019 egular Board Meeting Bauer, Ph.D., Superintendent
**INFORMATION Approved by:_ To the Board of INFORMATION	Kolvira Chheng  Trustees:  I/UPDATE  ement	Meeting: Ma	arch 14, 2019 egular Board Meeting Bauer, Ph.D., Superintendent
**INFORMATION Approved by:_ To the Board of INFORMATION ( ) Agenda Place	Kolvira Chheng  Trustees:  I/UPDATE  ement	Meeting: Marketing: Marketing: Meeting: Hilaria	arch 14, 2019 egular Board Meeting Bauer, Ph.D., Superintendent

2930 Gay Avenue, San José, CA 95127

Phone: 408-928-6800

Fax: 408-928-6416

www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent, Business Services

4

Re: Resolution No. 20-18/19 Update

Date: March 14, 2019

At a regular board meeting held on December 13, 2018, the board adopted RESOLUTON NO. 20-18/19, a resolution establishing agreement between the governing board of the Alum Rock Union Elementary School District (ARUSD) and the Santa Clara County Superintendent of Schools regarding necessary revisions for compliance with the statutory budget requirements in conjunction with the Local Control Accountability Plan (LCAP). As a result, the District is required to comply with the recommendations and necessary revisions as outlined in said resolution. Staff will provide a status update to the board on a monthly basis.

# Below are Updates at the March 14, 2019 Board Meeting

Pursuant of RESOLUTION NO. 20-18/19, the District is required to comply with the following items:

Starting in January 2019 and continuing at a minimum through January 2020, the District
will present to the board a monthly report of certificated FTE. The monthly report of FTE
will be reconciled monthly with payroll to ensure the accuracy of the count. The monthly
report of FTE will be compared to the current budgeted FTE to ensure the budget is on
track.

#### Status

Completed and ongoing. Attachment A includes the position control report and payroll report for the month of February 2019.

2. Starting in January 2019 and continuing at a minimum through January 2020, the District will present to the board a monthly report of cash reconciliation for all funds.

#### Status

Completed and ongoing. Attachment B includes cash reconciliation reports for all funds for the month of January 2018.

# ATTACHMENT

A

# Monthly Report of Certificated FTE for Month of February 28, 2019 Position Control - Ran on February 26, 2019 (Date actual Payroll checks were ran)

Class Type FTE Certificated FTE

Sum of fill_fte Distr classify	title	Incation	acct
2000		location	1100
2000	TEACHER - ELEMENTARY	010 CURETON ELEMENTARY	15
		020 LINDA VISTA ELEM	20
		030 LYNDALE ELEMENTARY	14
		040 MCCOLLAM ELEMENTARY	21
		060 CHAVEZ ELEMENTARY	16
		080 HUBBARD ELEMENTARY	20
		100 RYAN ELEMENTARY	13
		120 APTITUD COMM AT GOSS	12
		140 ARBUCKLE ELEMENTARY	8
			14
		150 DORSA ELEMENTARY	
		160 PAINTER ELEMENTARY	13
		180 MEYER ELEMENTARY	14
		200 CASSELL ELEMENTARY	16
		240 SAN ANTONIO ELEM	14
		270 L.U.C.H.A. ACADEMY	13
		280 ADELANTE ACADEMY	19
		285 ADELANTE II	9
		320 RUSSO/MCENTEE ACDMY	19
2000 Total		320 ROSSO/FICEIVILE ACDITI	270
	TEACHER MIDDLE COURSE	AFO MATUCON MDD CCUON	-
2001	TEACHER-MIDDLE SCHOOL	L 050 MATHSON MDD SCHOOL	12
		080 HUBBARD ELEMENTARY	6
		120 APTITUD COMM AT GOSS	6
		130 FISCHER MIDD SCHOOL	12
		170 SHEPPARD MDD SCHOOL	21
		250 GEORGE MIDD SCHOOL	20
		260 OCALA MDD SCHOOL	17
		280 ADELANTE ACADEMY	5
		290 RENAISSANCE ACADEMY	
			11
		295 RENAISSANCE II	11
2001 Total			121
2004	SDC, SPECIAL ED	380 SPECIAL EDUC DEPT.	29
2004 Total			29
2008	TEACHER, PREP	300 INST. SERVICES	5
2008 Total			5
2009	TEACHER, MUSIC	300 INST. SERVICES	15.75
2009 Total	TENOTETY TIOSES	SOU INSTITUTED	15.75
2013	ADDITIONAL FTE	050 MATHSON MDD SCHOOL	0.4
2013	ADDITIONAL FIE		
		080 HUBBARD ELEMENTARY	0.2
		170 SHEPPARD MDD SCHOOL	1.2
		260 OCALA MDD SCHOOL	1.4
		280 ADELANTE ACADEMY	0.2
		290 RENAISSANCE ACADEMY	0.4
		295 RENAISSANCE II	0.4
2013 Total			4.2
2014	ADAPTED P.E.	380 SPECIAL EDUC DEPT.	3
And the Contract of the Contra	ADAPTED P.E.	380 SPECIAL EDUC DEFT.	
2014 Total			3
2015	SPEECH THERAPIST	380 SPECIAL EDUC DEPT.	15.35
2015 Total			15.35
2016	SDC, PRE K	380 SPECIAL EDUC DEPT.	7
2016 Total			7
2017	BEHAVIOR SPECIALIST	380 SPECIAL EDUC DEPT.	2
2017 Total	DEFINITION OF EGINERAL	See of Low L Look DET 11	2
2018	DDOGDAM CDECTALTET CD	390 CDECTAL EDUC DEDT	
A CARLO DE LA CARLO DEL CARLO DE LA CARLO DE LA CARLO DEL CARLO DE LA CARONDO DE LA CARLO	PROGRAM SPECIALIST-SP	SOU SPECIAL EDUC DEPT.	1
2018 Total			1
2019	RSP, SPECIAL ED	380 SPECIAL EDUC DEPT.	32.75
2019 Total			32.75
Grand Total			506.05

1100 - TEACHER SALARIES

Job ClassAdditional FTE - Middle school teachers working additional time

Distribution Detail Report Check Date: February 28, 2019

Object Code: 1100 "Certificated Teachers"

Row Labels	Sum of DISTRIBUTION	DOLLAR AMOUNT	Sum of FTE
10	\$	140,505.10	15
20	\$	191,338.57	20
30	\$	136,781.76	14
40	\$ \$ \$	198,860.80	21
50	\$	106,204.88	12.2
60	\$	154,123.20	16
80	\$	216,588.50	25
100	\$	111,012.21	13
120	\$	154,683.30	18
130	\$	118,228.80	13
140	\$	68,709.30	8
150	\$ \$ \$ \$ \$ \$ \$ \$	127,186.40	14
160	\$	120,033.00	13
170	\$	196,546.66	22
180	\$ \$	140,551.60	14
200	\$	152,818.50	16
240	\$	140,389.50	14
250	\$	189,243.20	20
260	\$	155,514.62	17.6
270	\$	92,047.90	13
280	\$	208,428.42	24.2
285	\$	61,353.96	8
290	\$	82,305.40	10
295	\$ \$ \$ \$ \$ \$ \$ \$ \$	85,683.10	11
305	\$	35,087.08	5
310	\$	175,001.30	20.75
320		180,118.10	19
380	\$	777,191.17	90.1
(blank)			
Grand Total	\$	4,516,536.33	506.85

# **ATTACHMENT**

B

CASH RECONCILIATION			
FOR MONTH ENDED: January 31, 2019			
	Controller	District	Variance
Ending Balance	20,402,263.41	18,869,466.54	1,532,796.87
			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
			1
Adjustments			
Less Wells Fargo Bank AP Outstanding Checks	(985,569.42)		
Less Wells Fargo Bank PR Outstanding Checks	(191,549.74)		
Less Manual Adjustments	(354,059.28)		
Add Manual Adjustments	0.00	1,618.43	
		,,,,,,,,,	
Total Adjustments	(4 504 470 44)	1 0 10 10	
Total Adjustificities	(1,531,178.44)	1,618.43	
Advantage in the second			
Adjusted Ending Balance	18,871,084.97	18,871,084.97	0.00
APRROVED BY:	DATE APPROVED		
	1110		
2/1	2/21/19		
Efrain Robles /			
Director, Fiscal Services			
PREPARED BY:	DATE D.		
	DATE Prepared		
Il Barilla	2/20/2019		
Maria Bonilla	2/20/2019		

Other Funds RECONCILIATION FOR MONTH ENDED: January 31, 2019

FD-Fund CC-Cost Center

		49		49		40		49		49		•		40		45		49		49	Variance
	564,123,89	0	2,433,838.76	\$ (6	(41,705.49)	50	130,819.79	s	2,846,002.00	20	\$ 3,000,982.98	9.97	5,531,799.91	6	234,486.16	4	1,206,171.34	65		61	Adjusted Controller Ending Balance
	564,123.89	69	2,433,838.76	\$	(41,705.49)	49	130,819.79	40	2,846,002.00	40	\$ 3,000,982.98	9.97	5,531,799.97	6	234,486.10	4	1,206,171.34	*	i	40	Adjusted District Ending Balance
	126.68	49		49		49	1	40		69	•	•	-	40		\$ (8	(126.68)	49	1.	49	Total Adjustments
	126.68	69		85		es		40		65				40		8) \$	(126.68)	49		69	Controller- Net Adjustments
		49	,	45		49	i	40		49	•			40	,	49		45	ï	40	District- Net Adjustments
*	126.68	*		40		40		40		40	•	•		**		\$ (86	(126.68)	49		40	Variance
\$ 15,906,519.40 \$ 15,906,519.40	564,123.89 563,997.21	en en	2,433,838.76 2,433,838.76	8 8	(41,705.49) (41,705.49)	50 50	130,819.79 130,819.79	***	2,846,002.00	***	\$ 3,000,982.98 \$ 3,000,982.98	9.97	5,531,799.97 5,531,799.97	0 0	234,486.16 234,486.16	4 5	1,206,171.34 1,206,298.02	s s		w w	District Ending Balance Controller Ending Balance
Total	FD - 68 CC - 4051		FD - 67 CC - 4050		FD - 53 CC - 4040		FD - 40 CC - 4045		FD-35 CC-3982		FD - 25 CC- 4044	2	FD - 21 CC - 4042		FD-14 CC-4047		FD-13 CC-4046		FD-12 CC-4043	22	

**DATE Prepared** 

2/20/2019

PREPARED BY:

(M. ) Amilla

Maria Bonilla

Senior Accountant

APRROVED BY:

DATE APPROVED

Efrain Robles Director, Fiscal Services

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San Jose, CA 95127

# Office of Superintendent of Schools

# **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

	rustees:	Date: March 4, 2019
Subject:	Supplemental Employee Retir	rement Plan (SERP)
Staff Analysis:	SERP in accordance Californ certificated and management e financially viable for the distrirequired. The District has exce	ovide the Board with an update on the
**INFORMATION ***The updates wi	/UPDATE** ill be provided under separate cove	er.***
Approved by:	Kolvira Chheng Title: Assista	ant Superintendent, Business Services
To the Board of To INFORMATION/U	rustees: Meeting:	March 14, 2019 Regular Board Meeting
To the Board of To	rustees: Meeting:	March 14, 2019
To the Board of To INFORMATION/U	rustees: Meeting:	March 14, 2019 Regular Board Meeting ilaria Bauer, Ph.D., Superintendent
To the Board of To INFORMATION/U (0.0) Agenda Placem	rustees: Meeting:  IPDATE  ent H  DISPOSITION BY BOARI	March 14, 2019 Regular Board Meeting ilaria Bauer, Ph.D., Superintendent

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

11.01

# Office of Superintendent of Schools

# ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

ubject: Information Regar	ding Resignations	
taff Analysis:		
Pursuant to Board following resignation		rintendent or her designee has accepted t
Submitted by: Jess Serna	Title:	Interim Assistant Superintendent, Human Resources
To the Board of Trustees:	Meeting:	March 14, 2019 Regular Board Meeting
Agenda Placemen	nt	Hilaria Bauer, Ph.D., Superintendent
	DISPOSITION BY BOAR	O OF TRUSTEES
Motion by:	Seco	onded by:
		Tabled:

# HUMAN RESOURCES DEPARTMENT MEETING OF THE BOARD OF TRUSTEES

# March 14, 2019

#### CLASSIFIED RESIGNATIONS:

# I. Resignations:

1.	Azevedo, Nelia	Child Nutrition Assistant II/Aptitud	06/11/19
2.	Blanco, Annette A.	Administrative Assistant/M.O.T	02/21/19
3.	Manacap, Tiffany Marie	Health Assistant/Chavez	02/28/19
4.	Rios, Justine	Human Resources Assistant/Human Resources	06/30/19
5.	Urango Jr., Alfonso Christopher	Plumber Maintenance Worker III/M.O.T	03/15/19
6.	Torres, Stephanie	Administrative Assistant/Chavez-Enrollment Center	02/28/19

#### II. Resignation/SERP:

i con many	<ol> <li>Fell, Mary</li> </ol>	Director/Child Nutrition Services	06/30/19
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# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-HUMAN RESOURCES DEPARTMENT MEETING OF THE BOARD OF TRUSTEES

# March 14, 2019

## CERTIFICATED RESIGNATIONS:

I. Resignations:		
1. Rivera, Sezcov	School Psychologist/Special Education Dept.	03/01/2019
II. Resignations/SERP:		
1. Chu, Kim Thoa Thi	2/Cassell Elementary	06/30/2019
2. Guzman, Amalia	1/Arbuckle Elementary	06/15/2019
3. Locke, Debra	RSP/George Middle School	06/12/2019
4. Oliver, Debbie	RSP/George Middle School	06/11/2019
5. Vergara, Maria	K/Cassell Elementary	06/11/2019
III. Resignation in Lieu of N	on Reelect	
1. Conklin, Julia	RSP/Ryan Elementary	06/30/2019
2. Guerrero, Jaime	Core/ADELANTE Academy I	06/11/2019
3. Porter, Linda	5/Dorsa Elementary	06/11/2019
4. Tarde, Ketki	Core/APTITUD Community at Goss	06/11/2019

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

# ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:			March 7, 2019
Subject:	APPROVAL OF BOARD ME FOLLOWING DATES:	ETING MINU	UTES FOR THE
	<ul> <li>February 4, 2019, Specia</li> <li>February 6, 2019, Specia</li> <li>February 14, 2019, Regulary 28, 2019, Specia</li> </ul>	al Board Meetir lar Board Mee	ng ting
Action			
Submitted by:	Hilaria Bauer	Title: _	Superintendent
To the Board of Tru	stees: Meeting:		, 2019 Board Meeting
Recommend Appro		110	
Agenda Placemen	_	ilaria Bauer, Ph	D., Superintendent
	DISPOSITION BY BOAR	D OF TRUST	TEES
Motion by:		Seconded by:	
Approved:	Not Approved:		Tabled:

# DRAFT MINUTES ALUM ROCK ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San Jose, CA 95127

# MINUTES OF A SPECIAL MEETING/COMMUNITY FORUM AND NOTICE OF VACANCY, BOARD OF TRUSTEES

of the Alum Rock Union School District

Held on Monday, February 4, 2019, 6:00 p.m. at the Alum Rock Union School
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127

Meeting #08-18/19

#### 1. OPEN SESSION

#### 1.01 Call to Order / Roll Call

President Linda Chavez welcomed everyone in the audience. President Linda Chavez called the Board Meeting to order at 6:10 p.m. followed by Roll Call and the Pledge of Allegiance.

#### Board Members Present:

Linda Chavez President
Ernesto Bejarano Vice-President

Dolores Marquez-Frausto Clerk

Andrés Quintero Member (arrived late at 6:44 p.m.)

Board Member Vacant Seat

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer Superintendent

Rene Sanchez Assistant Superintendent, Instructional Services
Kolvira Chheng Assistant Superintendent, Business Services
Marie Sanchez Sr. Executive Assistant, Superintendent's Office

Administrative and Support Staff Members Absent:

Jess Serna Interim Assistant Superintendent, Human Resources

#### 1. OPEN SESSION (continued)

President Linda Chavez announced the items that would be discussed throughout the agenda. She proposed to her colleagues that Agenda Item 3.01 Community Forum, Input from the Community be addressed prior to Agenda Item 2.01 Process and Procedure for Candidates for Board Vacancy so that the Board could hear public comment at this time. President Linda Chavez introduced Mr. Albert Gonzalez, Board Member from Santa Clara Unified School District and CSBA Regional Director.

Mr. Gonzalez gave a short summary about himself and commented that Alum Rock holds a special place in his heart – this was, at one time, his community.

Vice-President Ernesto Bejarano asked President Linda Chavez for clarification on certain items of the agenda. President Linda Chavez explained and clarified the transparent process which would give the community the opportunity to be heard with important input.

Clerk Dolores Marquez-Frausto also asked for further clarification, specifically on Agenda Items 2.01 Process and Procedure for Candidates for Board Vacancy and 3.02 The Board will Identify Values that will guide Board Work.

President Linda Chavez explained her reasons for placing these items on the agenda with the purpose to set certain perimeters as an entire Board. She reported that the two sets of questions that were made available to the Board were just samples and the Board would be developing the final questions that would be given to the candidates on Wednesday, February 6, 2019.

#### 3. COMMUNITY FORUM

#### 3.01 Community Forum - Input from the Community

Trustee Albert Gonzalez briefly explained the process and informed the public that they would have 2 minutes to speak on their topic.

#### Public Comments:

- Natalie Dowd-Abal Community member, commented that she wants someone that is involved with the community.
- 2) Male speaker Evergreen School District Board Member, briefly spoke about his outreach. He commented that he hopes that he will never be put in the situation where he will have to go through the process of appointing a board member. He wished the Alum Rock School Board the best of luck with the responsibility of the appointment of the vacant seat.

#### 3. COMMUNITY FORUM (continued)

# 3.01 Community Forum - Input from the Community

- 3) Manuel Mejia Renaissance parent, gave thanks to the Board for giving the community the opportunity to speak and give input. He commented that he was here to support Mr. Ray Mueller.
- 4) Dilza Gonzalez Community member, commented that she was here representing the EMPUJE community. She read out loud some of the organization's recommendations for the candidate that would fill the vacant seat.
- 5) Maria Martinez Community member, reported that she has a child in the district. She asked the Board to consider the points that Dilza mentioned. She would like the candidate to be involved in parent engagement and attend various meetings like DAC, DELAC. She wants a candidate that demonstrates leadership and can hold difficult situations with a positive behavior. Lastly, she wants the candidate to come prepared to the board meetings!
- 6) Flor DeLeon Community member representing SOMOS Mayfair and EMPUJE, stated that this is a very important decision the Board has to make and to keep the students in mind. This position is not to be used as a stairway to the Board's own agenda. Remember that the students come first!
- 7) Tanya Freudenberger Former board member, stated she wants the new board member to learn and know the board policies and to come prepared to all the board meetings. She commented that meeting with either the Superintendent or the Board President prior to the board meetings is critical do your research prior to the board meetings! Lastly, she commented that she still reads and keeps current with the board policies!
- 8) Female speaker (last name Ortiz) Parent, commented she would like the candidate to be open-minded to other people's ideas but to focus on the students.
- 9) Steven Schnosky (? spelling of last name) Community member, stated that he is new to this community and believes that Ray Mueller would be a good asset because he puts the students first. He is very collaborative and would be the best choice!
- 10) Bertha Razo Community member, commented she has been active in the community since 2005 and has seen several superintendents come and go. She shared she was part of the team that interviewed Stephen Fiss.
- 11) Mr. Soto Parent, stated he would like to see a person that is respectful, honest, puts education first, makes the right decisions to spend the dollars where they belong, is open-minded and does what is best for the District!
- 12) Jocelyn Merz AREA President, commented that she was puzzled because she thought she and the community would be made aware of whom the candidates were. She wanted the next board member to have integrity, be an honest person, and have the best interest of the students at heart. She reported that AREA had endorsed Mr. Ray Mueller and she felt he would be the best choice for the next board member. She commented that she had some concerns about the process.

#### COMMUNITY FORUM (continued)

## 3.01 Community Forum – Input from the Community

- 13) Ellen Turner Community member, commented she would like the next board member to have some background in construction and bonds and Mr. Ray Mueller has the background. He has put in the time, has lots of experience and the skills.
- 14) Mimi Hernandez Community member, stated some of the things she would like in the next board member would be the following: good ethnics, interested in the students, active community member, stands up to bullies, loves the community and possesses loyalty. She commented she was glad that the community was part of the process.
- 15) Ray Mueller Candidate for the board vacancy, invited whatever candidates that were in the audience to come up to the podium and introduce themselves.
- 16) Victor Vasquez Community member, briefly commented on some of the values. He stated that he would like the next board member to have the following characteristics: respect, accountability, parent engagement, knowledge of all policies, good leadership, putting the students first, knowledge of special education and school climate.

# The community forum ended at 6:52 p.m.

Member Andrés Quintero commented that he had understood from the previous board meeting that the applicants would attend this community forum with the understanding that they would have the opportunity to speak about themselves and have the community know them.

Clerk Dolores Marquez-Frausto commented that she was confused about the process.

Vice-President Ernesto Bejarano commented that he was in agreement with Member Andrés Quintero.

The Board had dialogue on whether or not to let the 7 candidates come to the podium and introduce themselves and say a few words on their behalf. Clerk Dolores Marquez-Frausto was not in agreement. She felt that all 8 candidates needed to be present and 1 candidate was not in attendance. After more dialogue from the Board, there was consensus from the majority to give the 7 candidates an opportunity to speak with a 3-minute time limit.

# Clerk Dolores Marquez-Frausto recused herself from the meeting at 7:05 p.m.

The board meeting continued with public comments from the 7 candidates with a 3-minute time limit.

<u>Candidate Roberto Carlos Alvarez</u> – Introduced himself and spoke on his values, philosophy, and his vision.

#### COMMUNITY FORUM (continued)

# 3.01 Community Forum - Input from the Community

<u>Candidate Jamil Carter</u> – Introduced herself reporting that she was an alumnus of McCollam Elementary, George Middle, East Side Union High School School District, and Santa Clara University. She has two children and is truly vested in her children's education. She has been employed for the past 17 years with the City of San Jose Police Department, currently assigned to Patrol Division. She stated that she knows about accountability and understands education. She currently volunteers at Linda Vista Elementary and is bilingual in Spanish.

Candidate Richard Chesney Keller, Jr. – Introduced himself and reported that he has had a connection with the district since 1966. He taught math at Piedmont Hills, James Lick, and Independence High Schools. He commented that he has a good handle on what the students need to do. He feels that he could make a difference as a board member. His own children attended schools in the district graduating from Linda Vista.

<u>Candidate Corina Herrera-Loera</u> – Introduced herself and reported that she moved from Hayward to East San Jose and has been living in this community for the past 21 years. She currently has a 4<sup>th</sup> grade student at Adelante Academy. Her parents migrated from Zacatecas, Mexico when she was young. She was the first in her family to attain a four-year degree. She now works as a Deputy Probation Officer, County of Santa Clara Probation Department.

<u>Candidate Ray Mueller</u> – Introduced himself and reported that some traits of a good trustee are the following: passionate, honest, transparent, creative, intelligent, generous, restrained, patient and a parent. He stated that he is fully committed and invested in this district. He wants to see change in this district. He has been a PTA/PTO leader, District Advisory Committee Vice-Chair, Citizens Bond Oversight Committee Chair, and a successful volunteer at his son's schools. He commented that together we will be able to enhance the spectrum and shine as any district in the county.

<u>Candidate Juan Quinones</u> — Introduced himself and reported that he grew up in the Tropicana neighborhood near Story and King Road. Superintendent Hilaria Bauer was his principal at Fischer Middle. He graduated with two degrees from UC Santa Cruz. He truly believes that education is the key to success and the students should come first! He has had the opportunity of working with several elected officials such as County Supervisor Cindy Chavez, and Silicon Valley Leadership CEO Carl Guardino.

# 3. COMMUNITY FORUM (continued)

# 3.01 Community Forum - Input from the Community

<u>Candidate Brenda Zendejas</u> – Introduced herself and reported her son attends Linda Vista Elementary. She works at Hubbard as a para-educator and enjoys working with Special Education students. She currently sits on her son's School Site Council and is also active with DAC. She reported that she has taken advantage of trustee trainings to better educate herself on how a board works. As the district moves ahead with planning and budget allocations, she is capable of working toward decisions that support established goals and objectives.

Candidate Dr. Adriana Ayala – Candidate was not in attendance.

#### 2. SUPERINTENDENT / BOARD BUSINESS

#### 2.01 Process and Procedure for Candidates for Board Vacancy

The Board had lengthy discussion on the process to be implemented at the Special Board Meeting scheduled on Wednesday, February 6, 2019.

After dialogue back-and-forth, the Board decided on the following interview questions to be used at Wednesday's meeting:

- Do you currently work and/or will you take a job with a Charter School?
- 2. Will you support the sale of long-term leases to anyone?
- 3. How will you utilize your experience working with children, families, and community and inform the Strategic Plan for the District?
- 4. When things have not gone your way in the past, how do you work with colleagues to face challenges and solve problems? What strategies and techniques have you utilized for a positive and productive outcome?
- 5. What makes a good Trustee or a bad Trustee?
- 6. Why should we select you as the new Trustee above all the others?

The Board was in consensus to have administration contact Clerk Dolores Marquez-Frausto and have her add her two questions to this list for a total of 8.

The Board had dialogue on the 3 scenarios to be given to the 3 finalists on Wednesday. The Board was in consensus to use the following 3 scenarios starting on the following page:

#### 2. SUPERINTENDENT / BOARD BUSINESS

## 2.01 Process and Procedure for Candidates for Board Vacancy

#### SCENARIO 1: BUDGET

Declining enrollment is a factor that affects all areas of budgeting in Alum Rock. Budget considerations have to account for this factor not only on a yearly basis, but as the budget cycle evolves within the year. At first interim, the Superintendent brings to the board a recommendation to self-certify the district as having a "qualified" budget, which means that the district may not meet its financial obligations for the current or two subsequent fiscal years. Please share what thoughts, questions, and/or decisions you would have as an individual board member.

#### SCENARIO 2: PERSONNEL

As a leader in our community, people are aware that you are now a board member. You know that the district staff is working hard on recruiting and retaining the best individuals to be part of the Alum Rock team. You know so many great people in the community that you think would be great additions to the Alum Rock team. As a board member, what is your role in making recommendations to staff about potential applicants for jobs in the district?

#### **SCENARIO 3: CHARTERS**

Offering options for parents in the community is essential in any district. Alum Rock Union Elementary School District has 5 charter organizations that manage 11 different charter schools in the district. As board member, describe your opinion about school choice and how would you expect to implement your view as board member.

MOTION #08-01 by Member Andrés Quintero to approve the Process and Procedure for Candidates for Board Vacancy as presented during deliberation. MOTION #08-01 was seconded by Vice-President Ernesto Bejarano.

#### Public Comment:

Ray Mueller - Community Member commented that he wanted to make sure this
process was not going to be altered on Wednesday.

MOTION #08-01 carried with a vote of 3 in favor; no opposition; 1 absent (Clerk Dolores Marquez-Frausto); 1 vacant seat; and no abstention.

#### COMMUNITY FORUM (continued)

#### 3.02 The Board will identify Values that will guide Board work

The Board had lengthy discussion on the values to be identified at the Special Board Meeting scheduled on Wednesday, February 6, 2019. After dialogue back-and-forth, the Board identified the values to be used at Wednesday's meeting:

- 1. Each applicant will be student/family-focused and bring a parent experience in school and district roles with a level-headed look.
- 2. Look at their work, on the Board, with a bigger picture focus.
- 3. Time Commitment. Trustee to attend various trainings and participate in Board Retreats with experience that they can share. Work to unify this Board and become a team that works together. Time commitment to the job!
- 4. Be honest, respectful, and support each other as a Board remember that we are all working for the betterment of the students' education the students come first! Learn on a daily basis but especially learn from our mistakes. Have the mindset to work as a team.
  - 5. Does the individual put Alum Rock first with the students, parents, teachers, and the taxpayers? Are they first here for the community and not for themselves?

Superintendent Hilaria Bauer asked Marie Sanchez to read off the list of identified values to make sure that all were captured correctly.

MOTION #08-02 by Member Andrés Quintero to accept and approve the Identified Values by the Board as presented. MOTION #08-02 was seconded by Vice-President Ernesto Bejarano.

#### Public Comments:

- Maria Martinez Community member, commented that Clerk Dolores Marquez-Frausto should have never left the meeting—this was the reason to be present at the meetings—the community wants to see positive changes.
- 2) Tanya Freudenberger Former board member, asked if the 8<sup>th</sup> applicant was not present, were they excluded.
- 3) Male speaker Community member, commented that the Board should be wise and select the best candidate. The students need good quality education!

# 4. ADJOURNMENT

# 4.01 President Adjourns the Meeting

President Linda Chavez adjourned the meeting at 8:03 p.m.

Respectfully submitted,

Dolores Marquez-Frausto Board Clerk

# DRAFT MINUTES ALUM ROCK ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San Jose, CA 95127

# MINUTES OF A SPECIAL MEETING AND NOTICE OF VACANCY, BOARD OF TRUSTEES

of the Alum Rock Union School District

Held on Monday, February 6, 2019, 6:30 p.m. at the Alum Rock Union School
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127

Meeting #09-18/19

#### 1. OPEN SESSION

#### 1.01 Call to Order / Roll Call

President Linda Chavez welcomed everyone in the audience. President Linda Chavez called the Board Meeting to order at 6:30 p.m. followed by Roll Call and the Pledge of Allegiance.

#### Board Members Present:

Linda Chavez President
Ernesto Bejarano Vice-President

Dolores Marquez-Frausto Clerk Andrés Quintero Member

#### Board Member Vacant Seat

# Administrative and Support Staff Members Present:

Dr. Hilaria Bauer

Rene Sanchez

Jess Serna

Kolvira Chheng

Superintendent

Assistant Superintendent, Instructional Services

Interim Assistant Superintendent, Human Resources

Assistant Superintendent, Business Services

Marie Sanchez
Angel Rodriguez

Assistant Superintendent, Business Services

Sr. Executive Assistant, Superintendent's Office

Executive Assistant/Communications Support,

Superintendent's Office

#### 1. OPEN SESSION (continued)

#### 1.01 Call to Order / Roll Call

President Linda Chavez reads the agenda out loud to the audience.

#### 2. PUBLIC MEMBERS WHO WISH TO ADDRRESS THE BOARD

# 2.01 Requests to Address the Board

President Linda Chavez informs the public that comments will be limited to only 2 minutes per individual because ample time was given to the public on the business at hand on Monday, February 4, 2019.

#### Public Comments:

- 1) Brian Wheatley Community member and president of the Teacher's Association, congratulated the new board members. He reported that he sits as a trustee at San Jose Unified School District and recently had to also appoint and fill a vacant seat at his district. Unfortunately, his board did not include community input which would have been nice. Lastly, he stated that he was here to support Candidate Ray Mueller.
- Randy Barber Band teacher at Fischer Middle, congratulated the new board and thanked the Superintendent for her support and hard work. He addressed the board and asked that they consider Candidate Ray Mueller to fill the vacant seat.
- Mark Murray Representative from Peace Officers Association, spoke in support of Candidate Corina Herrera-Loera. He gave some highlights of her work ethics.
- Mimi Hernandez Representative from RISE Alum Rock Organization, spoke on behalf of Candidate Corina Herrera-Loera.
- 5) Female speaker (no name given) Speaker spoke on behalf of Corina Herrera-Loera and gave some highlights.
- Joel Ruelas Community member, spoke on behalf of Corina Herrera-Loera and gave some highlights.
- Male speaker (no name given) Community member, spoke on behalf of Corina Herrera-Loera and gave some highlights.
- 8) Dilza Gonzalez Community member, addressed the board and stated that they have the opportunity to select the right person. The community wants a person that will represent them. She read her comments from her notes to the audience.
- Maria Martinez Community member, continued to read notes from the previous speaker.
- 10) Victor Vasquez Community member, continued to read notes from the previous speaker.
- 11) Flor DeLeon Community member, commented she was happy to know that some of the candidates were from our own parents. We need to support public education and not the charters.

#### 2. PUBLIC MEMBERS WHO WISH TO ADDRRESS THE BOARD

## 2.01 Requests to Address the Board

- 12) Gerardo Loera Husband to Candidate Corina Herrera-Loera, has a family of 5 children, spoke in support of Candidate Corina Herrera-Loera.
- 13) Esperanza Alejandres Renaissance Academy at Mathson student, commented that we need someone that understands our culture.
- 14) Reverend Moore President, San Jose Silicon Valley, spoke on behalf of Candidate Corina Herrera-Loera and gave some highlights.

#### Public comments closed at 6:50 p.m.

President Linda Chavez introduced Candidate Dr. Adriana Ayala since she was absent on Monday, February 4, 2019, when all the other 7 candidates had been introduced.

<u>Candidate Dr. Adriana Ayala</u> – Introduced herself and stated that she has worked as an educator for approximately 20 years. She is a mother of 2 elementary children who believes in shared leadership, participatory governance, learning communities, fostering innovation, and systemic implementation of vision, values, and mission.

#### 3. SUPERINTENDENT / BOARD BUSINESS

#### 3.01 Interview of Candidates

Interim Assistant Superintendent Jess Serna, explained to the Board the ranking system that would be used to interview the candidates and gave examples. He stated that he would be their facilitator and would guide them to the best of his ability. He urged the Board to take good notes on each of the applicants; however, to be aware that their notes could be subject to Public Records Request.

Attorney Manuel Martinez also emphasized that the Board keep their notes very professional. Their notes were only a tool to help them to remember and to focus on their thoughts.

Interim Assistant Superintendent Jess Serna explained the process and gave the Board instructions:

- Each applicant would have 4 minutes to answer 8 questions
- · Each board member had submitted 2 questions
- Time would be kept on the screen-when the 4 minutes are up, the interview will end (regardless of if the applicant was able to answer all 8 questions within the 4 minutes time limit)

#### 3.01 Interview of Candidates

- The order of the applicant interviews would be determined by random order, names would be drawn to determine the order
- Applicants not being interviewed would wait in Conference Room 2 until called
- · Applicants would be rated using a forced ranking system which was described
- The Board would rank the applicants using the forced ranking system and determine the top 3 finalists (majority decision)
- Those applicants not selected as one of the top 3 finalist could choose to leave or remain
- The 3 finalists would be asked a set of scenarios
- The 3 finalists would have 5 minutes to answer each scenario
- The finalist who are not being interviewed would wait in Conference Room 2 until called
- The Board would rank the 3 finalists utilizing the forced ranking grid, and determine the applicant that would fill the vacant board seat (majority decision)

The candidates were selected randomly by a student in the audience and were interviewed in the following order:

- 1) Dr. Adriana Ayala
- 2) Mrs. Corina Herrera-Loera
- 3) Mr. Richard Chesney Keller, Jr.
- 4) Mr. Juan M. Quinones
- 5) Ms. Jamil Carter
- 6) Mr. Ray Mueller
- 7) Ms. Brenda Zendejas
- 8) Mr. Roberto Carlos Alvarez

At this time, the interview process began and each candidate had their opportunity to answer the 8 questions to the best of their ability.

Attorney Manuel Martinez answered questions and clarified concerns from the Board throughout the interview session when needed.

After the interviews were completed, Interim Assistant Superintendent Jess Serna recommended to the Board that they carefully read and refer to their notes before ranking each candidate.

#### 3.02 Selection of the Semi-Finalist (3)

# Final Ranking from the Board for all 8 applicants

#### President Linda Chavez

#1	#2	#3	#4	#5	#6	#7	#8
Zendejas	Keller	Alvarez	Carter	Quinones	Ayala	Herrera- Loera	Mueller

Vice-President Ernesto Bejarano

#1	#2	#3	#4	#5	#6	#7	#8
Herrera- Loera	Quinones	Mueller	Carter	Alvarez	Zendejas	Ayala	Keller

Clerk Dolores Marquez-Frausto

#1	#2	#3	#4	#5	#6	#7	#8
Zendejas	Carter	Herrera- Loera	Quinones	Ayala	Alvarez	Keller	Mueller

Member Andrés Quintero

#1	#2	#3	#4	#5	#6	#7	#8
Quinones	Mueller	Herrera- Loera	Ayala	Carter	Keller	Zendejas	Alvarez

Interim Assistant Superintendent Jess Serna individually confirmed with all the Board members that his reporting of the ranking order was reported and recorded accurately on the whiteboard.

Vice-President Ernesto Bejarano proposed to his colleagues that they use a numeric score and assign a point value, add the numbers and the candidate with the highest score would be the finalist.

After some dialogue and clarifications with Interim Assistant Superintendent Jess Serna and Attorney Manuel Martinez, the Board was in consensus to use this proposed numeric score system.

The 8 numeric point values would be as follows:

#1 = 8	#5 = 4
#2 = 7	#6 = 3
#3 = 6	#7 = 2
#4 = 5	#8 = 1

# 3.02 Selection of the Semi-Finalist (3)

#### Final Score Tally from the Board for the top 3 finalists

Zendejas	Keller	Alvarez	Carter	Quinones	Ayala	Herrera- Loera	Mueller
8	7	6	5	4	3	2	1
3	1	4	5	7	2	8	6
8	2	3	7	5	4	6	1
2	3	1	4	8	5	6	7
21	13	14	21	24	14	22	15

There was consensus from the Board to hear and interview the 4<sup>th</sup> finalist in order to break the tie (Zendejas and Carter with a score of 21).

The 4 finalists were selected randomly by a student in the audience and were interviewed in the following order:

- 1) Brenda Zendejas
- 2) Corina Herrera-Loera
- 3) Juan Quinones
- 4) Jamil Carter

The Board gave the following scenarios to each finalist and continued to interview them:

- Scenario 1: Budget
- Scenario 2: Personnel
- Scenario 3: Charters

The Board adjourned for a short recess at 9:42 p.m.

# The Board reconvenes to the board meeting at 9:47 p.m.

Interim Assistant Superintendent Jess Serna commends the Board and the community for their participation in this process.

After some dialogue and clarifications with Interim Assistant Superintendent Jess Serna and Attorney Manuel Martinez, the Board was in consensus to use the proposed system for the final selection.

#### 3.02 Selection of the Semi-Finalists

The 4 numeric point values would be as follows:

	_
#1 = 4	
#2 = 3	
#3 = 2	
#4 = 1	

# Final Ranking from the Board for the 4 Finalists

#### President Linda Chavez

#1	#2	#3	#4	
Zendejas	Carter	Herrera-Loera	Quinones	

Vice-President Ernesto Bejarano

#1	#2	#3	#4	
Herrera-Loera	Quinones	Carter	Zendejas	

Clerk Dolores Marquez-Frausto

#1	#2	#3	#4
Zendejas	Carter	Quinones	Herrera-Loera

Member Andrés Quintero

#1	#2	#3	#4
Herrera-Loera	Ouinones	Carter	Zendejas

#### 3.03 Selection of the Finalist

### Final Score Tally for the Finalist

Zendejas	Carter	Herrera-Loera	Quinones	
4	3	. 2	1	
1	2	4	3	
1	2	4	3	
4 3		1	2	
10	10	11	9	

MOTION #09-01 by Member Andrés Quintero to appoint Candidate Corina Herrera-Loera to fill the vacant seat on this Board as the provisional member. MOTION #09-01 was seconded by Vice-President Ernesto Bejarano.

#### 3.03 Selection of the Finalist

The Board had lengthy dialogue on this motion since Candidates Zendejas and Carter came in with a tie vote of 10.

A FRIENDLY AMENDMENT TO MOTION #09-01 by Vice-President Ernesto Bejarano to accept the appointment of Corina Herrera-Loera, the top vote-getter for the provisional seat, based on the process that we agreed upon as a team in terms of scoring and ranking them throughout this meeting and this process. Member Andrés Quintero accepted this FRIENDLY AMENDMENT to his original MOTION.

MOTION #09-01 carried with a vote of 2 in favor (Vice-President Ernesto Bejarano and Member Andrés Quintero); 2 in opposition (President Linda Chavez and Clerk Dolores Marquez-Frausto); no absent; 1 vacant seat; and no abstention.

The Board had additional dialogue on the **FRIENDLY AMENDMENT**. Clerk Dolores Marquez-Frausto and President Linda Chavez shared some of their concerns with regards to Candidate Corina Herrera-Loera.

Vice-President Ernesto Bejarano commented that he appreciated the passion that Clerk Dolores Marquez-Frausto projected; however, what happened to the process that the Board agreed to as a team at the beginning of the meeting? He commented that the district needs to continue to be fiscally responsible and a special election was unnecessary.

Member Andrés Quintero commented that the Board was elected to put the students and community first, and spending dollars on an unnecessary election was the wrong way to go. He encourages the Board to move forward as a team.

Clerk Dolores Marquez-Frausto commented to the audience not to worry about the cost of an election since administration was taking unnecessary travel expenses and was not too worried about the cost.

President Linda Chavez commented that she would be reconsidering her vote because a special election would be too expensive and she was thinking and putting the students first.

At this time, after having additional dialogue, the Board reconsidered and re-took the vote.

MOTION #09-01 carried with a vote of 3 in favor (President Linda Chavez, Vice-President Ernesto Bejarano, and Member Andrés Quintero); no opposition; 1 absent (Clerk Dolores Marquez-Frausto left the board meeting at 10:20 p.m. and did not vote); 1 vacant seat; and no abstention.

#### 3.03 Selection of the Finalist

Member Andrés Quintero gave thanks to all the candidates for participating in the interview process.

President Linda Chavez also gave thanks to all the candidates, and stated there were many good candidates in the pool!

#### 4. ADJOURNMENT

# 4.01 President Adjourns the Meeting

President Linda Chavez adjourned the meeting at 10:27 p.m.

Respectfully submitted,

Dolores Marquez-Frausto Board Clerk

# DRAFT MINUTES ALUM ROCK ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San Jose, CA 95127

MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES of the Alum Rock Union School District Held on Thursday, February 14, 2019, 5:30 p.m. at the Alum Rock Union School District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127 Meeting #10-18/19

#### 1. OPEN SESSION

#### 1.01 Call to Order / Roll Call

President Linda Chavez welcomed everyone in the audience. President Linda Chavez called the Board Meeting to order at 5:33 p.m. followed by Roll Call.

## Board Members Present:

Linda Chavez

President

Ernesto Bejarano

Vice-President

Dolores Marquez-Frausto

Clerk

Andrés Quintero

Member

Corina Herrera-Loera

Member

# Administrative and Support Staff Members Present:

Dr. Hilaria Bauer

Superintendent

Rene Sanchez

Assistant Superintendent, Instructional Services Interim Assistant Superintendent, Human Resources

Kolvira Chheng

Jess Serna

Marie Sanchez

Assistant Superintendent, Business Services Sr. Executive Assistant, Superintendent's Office

President Linda Chavez welcomed everyone and led the Pledge of Allegiance at this time.

# 1. OPEN SESSION (continued)

# 1.02 Ceremonial Oath of Office for Newly Appointed Trustee Corina Herrera-Loera

The Ceremonial Oath of Office for Corina Cihuachimalli Herrera-Loera was held outside in the patio. The newly appointed Trustee was given the Oath of Office by her husband, Mr. Gerardo Loera.

# 1.03 Announcement and Public Comments Regarding Items to be Discussed In Closed Session

President Linda Chavez announced the items that would be discussed in Closed Session. There were no public comments from the audience at this time.

# 1.04 The Board will Adjourn to Closed Session at Approximately 5:35 p.m.

President Linda Chavez announced that the Board would reconvene to Open Session at approximately 6:30 p.m. The Board adjourned to Closed Session at 5:52 p.m.

## 3. RECONVENE TO OPEN SESSION

# 3.01 Call to Order / Pledge of Allegiance

The Board reconvened to Open Session at 7:08 p.m. President Linda Chavez welcomed everyone and took a second Roll Call in which all Board Members were present.

# 3.02 Report of Action Taken in Closed Session

Superintendent Hilaria Bauer reported that the Board, with a unanimous vote, took action to vote to approve a written settlement agreement to resolve the litigation for the family.

## 3.03 Agenda Review and Adoption

President Linda Chavez reported that the public requested from her to move Agenda Items 9.01 Review and Approve the Implementation Plan for the Low Performing Students Block Grant and 10.02 Resolution No. 20-18/19 Update Agreement Between the Governing Board of the Alum Rock Union Elementary School District and the Santa Clara County Superintendent of Schools regarding Necessary Revisions for Compliance with Statutory Budget Requirements in Conjunction with the Local Control Accountability Plan to follow Agenda Item 4.01 Special Recognition.

The Board was in consensus to accept this request.

## 4. SPECIAL PRESENTATION

# 4.01 Special Recognition: Ms. Ella Southworth

Superintendent Hilaria Bauer introduced Dr. George Kleidon, Painter Principal, who introduced Ms. Ella Southworth, long-term substitute paraprofessional at Painter Elementary. Dr. George Kleidon reported that Ms. Ella Southworth was being recognized for her immediate action to resolve an incident involving action and performing the Heimlich Maneuver on a 4-year old student and ultimately saving her life!

Member Andrés Quintero thanked Ms. Ella Southworth for her quick action and changing the life of the family.

Vice-President Ernesto Bejarano joined in on the gratitude. He commented that his daughter had shared this incident with him and she attends Sheppard Middle School.

Clerk Dolores Marquez-Frausto gave thanks to Ms. Ella Southworth and expressed her appreciation for what she did and commented that she remembered her from many years ago.

President Linda Chavez also gave thanks to Ms. Ella Southworth for the action she took and stated she wished other people could be trained to acquire these skills.

#### 9. INSTRUCTIONAL SERVICES

# 9.01 Review and Approve the Implementation Plan for the Low Performing Students Block Grant

Assistant Superintendent Rene Sanchez introduced Ms. Sandra Garcia, Director for State and Federal, who gave a Power Point presentation on the grant. Some of the highlights included the following:

## Details of the Grant

- One time grant
- Timeframe: March 2019 November 2021
- Serves students currently in 4<sup>th</sup> 8<sup>th</sup> grades
- Serves students identified as low-performing on state English Language Arts or Mathematics Assessments

# Requirements of the Grant

- Create a plan describing how the funds will provide support for identified pupils
- Plan must be approved by the Local Governing Board
- Submit first report by March 1, 2019
- Submit last report by November 2021

# 9. INSTRUCTIONAL SERVICES (continued)

# 9.01 Review and Approve the Implementation Plan for the Low Performing Students Block Grant

Student Breakdown by Middle Schools

School	Students
Adelante	27
Fischer / Renaissance @ Fischer	13
George	14
Hubbard	11
Mathson / Renaissance @ Mathson	6
Ocala	17
Sheppard	20
Total	108

Students Breakdown by Elementary Schools

School	Students	School	Students
Aptitud	1	Lyndale	1
Chavez	2	Cureton	1
Dorsa	3	Adelante II	2
LUCHA	3	McCollam	3
McEntee/Russo	2	Linda Vista	4
Painter	3	Cassell	1
Ryan	3	Meyer	1
		Total	30

# Draft Plan

- Small group tutoring (8.1) to support ELA/Math 8 week sessions
- Access to online learning programs with regular monitoring by certificated teachers
- All students identified for math will be given priority spots in a Summer Math Institute
- Provide professional development for teachers in Differentiated Instruction strategies for addressing the needs of these students in their classroom
- Consult with site administrators

Board Comments: Corina Herrera-Loera, Ernesto Bejarano, and Dolores Marquez-Frausto.

# 9. INSTRUCTIONAL SERVICES (continued)

# 9.01 Review and Approve the Implementation Plan for the Low Performing Students Block Grant

#### Public Comments:

- 1) Maria Martinez Community member, asked if there were any students from Mathson Institute of Technology, what is their ethnicity were they, and in what area did they need improvement?
  - Rudy Macias Community member, asked how the students were identified and how the students' hearing and vision were being evaluated. Lastly, he commented that Painter School needed a crossguard at that site.
  - 3) Flor DeLeon Community member, commented that she was concerned about the online classes because some students need one-on-one instruction. She also commented that the vendors need to be evaluated because some of them are not good vendors. She also commented that counselors are needed for students because not all teachers teach properly.
  - 4) Brenda Zendejas Community member, commented that teachers need better support programs like one-to-one for teachers who have students who are not performing.

Vice-President Ernesto Bejarano asked if there is a plan for evaluating effectiveness and if there would be more community engagement. He also asked if the district has a plan to get more input from principals and the teaching staff.

MOTION #10-01 by Member Andrés Quintero to accept and approve the Implementation Plan for the Low Performing Students Block Grant as presented. MOTION #10-01 was seconded by Clerk Dolores Marquez-Frausto.

Clerk Dolores Marquez-Frausto commended administration for bringing this in so quickly and wants a summer program for them.

Member Corina Herrera-Loera commented she wants a parent committee and is looking forward to the program.

MOTION #10-01 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

#### 10. BUSINESS

10.02 Resolution No. 20-18/19 Update Agreement Between the Governing Board of ARUSD and the SCCOE Superintendent of Schools Regarding Necessary Revisions for Compliance with Statutory Budget Requirements in Conjunction with the Local Control Accountability Plan (Ed Code 42127 and 52070)

Assistant Superintendent Kolvira Chheng, reported that at the December 13, 2018 board meeting, the Board adopted Resolution No. 20-18/19. As a result, the District is required to comply with the recommendation and necessary revisions as outlined in said Resolution. Staff will provide a status report to the Board on a monthly basis.

# Updates

Assistant Superintendent Kolvira Chheng reported the District is required to comply with the following:

- Starting in January 2019 and continuing at a minimum through January 2020, the
  District will present a monthly report of certificated FTE. The report will be
  reconciled monthly with payroll to ensure the accuracy of the count. The monthly
  report of FTE will be compared to the current budgeted FTE to ensure the budget is
  on track.
  - Status: Attachment A includes the position control and payroll reports for the month of January 2019.
- Starting in January 2019 and continuing at a minimum through January 2020, the District will present a monthly report of cash reconciliation for all funds.
   Status: Attachment B includes a cash reconciliation report for all funds for the month of December 2018.

Clerk Dolores Marquez-Frausto and Vice-President Ernesto Bejarano both asked for clarification of the attachments. Assistant Superintendent Kolvira Chheng gave his response. Superintendent Hilaria Bauer commented that she would be more than happy to provide additional clarification to each of the Board members during their 1:1 board prep appointments with her.

# 5. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

# 5.01 Requests to Address the Board

 Ellen Turner – Community member and representative for Better Alum Rock Schools, commented she was happy to finally see a full board in attendance. She stated that the community was paying attention and they would be holding the Board to their promises. She called on the community and Board to work on student success.

# 5. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

# 5.01 Requests to Address the Board

- 2) Natalie Dowd-Abal Community member and representative from Rise Up Alum Rock, with a child at Ryan, gave thanks to the current Board for putting the students ahead of other agendas. She urged to the Board to use the process that was used for filling vacancies for future vacancies since it seemed to work well. She commented that the community will continue to keep the Board accountable we need to work together!
- 3) Brenda Zendejas Community member, congratulated Member Corina Herrera-Loera for her seat on the Board. She also commented on the process used for filling the board vacancy and stated they did not follow agreed protocol because they went with 4 instead of 3 finalists. She encouraged the Board to make sure things are more transparent for future candidates. Lastly, she reminded the Board not to forget the conversation about installing cameras at the schools for safety reasons.
- 4) Olivia Alma Fajardo Community member, who read a letter from a parent that had to leave the meeting to go to work. The letter mentioned that in the past, the Board has asked for respect from the audience; however, when a Board member disrespects the audience, the same respect is not expressed and this is "inequality and one-sided". When parents are in attendance at the meetings, the purpose is to advocate for the education of the students! The community hopes to work in collaboration with the Board.
- 5) Dilza Gonzalez Community member, commented the LCAP forums were successful, the youth were involved and they left the room feeling important. She is looking forward to the new LCAP draft. Lastly, she commented that we are now on the right track working together and putting the students first. She gave thanks for finally having a relaxed meeting and focusing on the students!
- 6) Judy Thompson Community member representing the Indivisible East San Jose Organization. She gave special recognition to the new Board and commented she was looking forward to a new beginning.
- 7) Flor DeLeon Community member, gave thanks for the LCAP forums and for providing budget information. She spoke about several items that she felt were important such as: social and emotional development; arts to motivate students; restorative justice; and maintaining our budget.

#### 6. COMMENTS AND COMMUNICATION

#### 6.01 Teamsters

There were no representatives from Teamsters at this time.

# 6. COMMENTS AND COMMUNICATION (continued)

# 6.02 California School Employee's Association (CSEA)

There were no representatives from CSEA at this time.

# 6.03 Alum Rock Administrator's Association (ARAA)

Tara Bickford, President-Elect, congratulated the new Board members. She shared brief information on ILT, ELPAC, Interim Assessments, and the 3 ACSA candidates for moving forward to the State level. Candidates include Tracy Leathers, Ocala Principal, Middle School Administrator of the Year; Anna Nguyen, Renaissance Assistant Principal, Middle School Co-Administrator of the Year; and Denisse Mendez, Coordinator, Child Nutrition Services, Classified Leader of the Year. Alum Rock is proud to have these amazing leaders!

# 6.04 Alum Rock Educator's Association (AREA)

Jocelyn Merz – AREA President, welcomed Member Corina Herrera-Loera to the Board and commented that she knows she will bring a fresh start and is looking forward to working together. She also thanked the remaining members for not having to go the special election route. She also commented that she is hopeful the coaches will find a position and placement in the District. She now has more confidence for the future of the District and appreciates all the friendships she has made throughout the years.

## 6.05 Superintendent

Superintendent Hilaria Bauer acknowledged the Board with a "Happy Valentines Day". She gave the Board a book entitled, "Leadership and Self-Deception" that had previously been given to the management team. She announced that Linda Vista had recently held a presentation, "The Grinch", where Ms. Jessica Aguirre, anchor from NBC, donated a check for \$1,000 to the school. She shared that some of our Alum Rock students from the EL Summer Program had recently been on the cover of THINK Magazine 2018. Lastly, she gave thanks to the Board for giving her the opportunity to work as the Superintendent of Alum Rock Union Elementary School District!

#### 6.06 Board of Trustees

Member Corina Herrera-Loera gave thanks and was very grateful to the community for their support. She also gave thanks to the staff that greets and smiles at our students each and every day. She commented that she is looking forward to connecting with the community. She stated that we need to love ourselves as well!

# 6. COMMENTS AND COMMUNICATION (continued)

#### 6.06 Board of Trustees

Vice-President Ernesto Bejarano gave thanks to everyone for coming to the meeting on Valentines Day. He welcomed Member Corina Herrera-Loera to the Board. He also gave thanks to the community for their participation in the selection process and the candidates for applying. Vice-President Ernesto Bejarano commented that he was not happy and felt offended about what the media had portrayed about the District. He wanted 'embattled' gone and loves the idea of 'resurgent'.

Member Andrés Quintero stated "Happy New Year" to those who celebrate Lunar New Year! He commented that he was very appreciative of the staff supporting the student in need at Painter Elementary.

President Linda Chavez shared that she recently visited three schools and was very pleased to see that Linda Vista has a 'kids club'. She reported that she has been attending various meetings held at the Santa Clara County Office of Education and recently attended a Homeowners "Empower Home Buyers Program" – a great opportunity for certificated staff.

Clerk Dolores Marquez-Frausto welcomed Member Corina Herrera-Loera to the Board. She gave special thanks to Ms. Ella Southworth for her herotic gesture. Lastly, she spoke about her disappointment with the recent process used with the selection of the newest board member. There was a tie and the Board should have moved forward with 3 instead of taking the 4<sup>th</sup>.

# 7. BOND / FACILITIES

# 7.01 Approve the 2017-2018 Annual Performance and Financial Audit of General Obligation Bonds Reports for Measures J and I

Superintendent Hilaria Bauer reported that this agenda item was being pulled because the reports were not ready yet.

## 8. SUPERINTENDENT / BOARD BUSINESS

# 8.01 2019 Ballot for CSBA Delegate Assembly

The Board had dialogue on this agenda item.

MOTION #10-02 by Vice-President Ernesto Bejarano to move forward with the followings candidates for the 2019 CSBA Delegate Assembly (see names on following page):

# 8.01 2019 Ballot for CSBA Delegate Assembly

NAME OF CANDIDATE	SCHOOL DISTRICT
Andrés Quintero	Alum Rock SD
Bonnie Mace	Evergreen SD
David Cohen	Berryessa SD
George Sanchez	Franklin McKinley SD
Reid Myers	Sunnyvale SD
Van Le	East Side SD

MOTION #10-02 was seconded by Member Andrés Quintero.

The Board had additional dialogue.

A FRIENDLY AMENDMENT TO MOTION #10-02 by Vice-President Ernesto Bejarano to delete Candidate Reid Myers, Sunnyvale SD from his original MOTION and replace him with Candidate Danielle Cohen, Campbell SD. Member Andrés Quintero accepted his FRIENDLY AMENDMENT.

The revised list of candidates for the 2019 CSBA Delegate Assembly was as follows:

NAME OF CANDIDATE	SCHOOL DISTRICT
Andrés Quintero	Alum Rock SD
Bonnie Mace	Evergreen SD
Danielle Cohen	Campbell SD
David Cohen	Berryessa SD
George Sanchez	Franklin McKinley SD
Van Le	East Side SD

MOTION #10-02 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

## 8.02 Board Study Sessions

The Board had lengthy dialogue on this agenda item.

MOTION #10-03 by Member Andrés Quintero to move forward to set-up Board Study Sessions and authorize the Superintendent and her staff to poll the Board with appropriate times to move forward with goal-setting and team-building. MOTION #10-03 was seconded by Member Corina Herrera-Loera.

# 8.02 Board Study Sessions

Superintendent Hilaria Bauer stated the purpose of this was to set goals for the District and align them with their goals. The sessions would be designed to goal-setting and teambuilding.

Board Comments: Dolores Marquez-Frausto; Andrés Quintero; Ernesto Bejarano, and Linda Chavez.

MOTION #10-03 carried with a vote of 4 in favor; no opposition; no absent; and 1 abstention (Clerk Dolores Marquez-Frausto).

## 10. BUSINESS

# 10.01 Adopt Board Policy First Reading, BP5030-Student Wellness

Superintendent Hilaria Bauer reported during her 1:1 board prep with some of the board members, they indicated to her this Board Policy 5030 Student Wellness was not ready for the First Reading. She stated that there was no timeframe on this policy and they could bring it forward at the next meeting and this would allow the members to come back with edits.

Member Andrés Quintero cautioned his colleagues with coming back with too many edits because we pay CSBA to give us the proper language in order to stay within the law on all documents.

Vice-President Ernesto Bejarano stated he wants to ensure balance between the needs of our students and still remaining prudent with CSBA.

There was consensus from the Board to table this agenda item until March.

# 10.03 Alpha Public Schools Playground Structure Project

Assistant Superintendent Kolvira Chheng reported that Alpha Public Schools (APS) was requesting approval to build a playground structure located on the Slonaker School site and was intending to partner with KaBOOM, Inc., in order to secure a grant to fund the project. He stated that the District would not bear any financial responsibility and all costs would be the sole responsibility of APS. Assistant Superintendent Kolvira Chheng also reported that in the case of either party permanently ending its lease of the Slonaker site, APS would gift the proposed playground structure to the District. He was recommending that this project be approved. He reported the financial expert had reviewed the MOU for the structure.

# 10. BUSINESS (continued)

# 10.03 Alpha Public Schools Playground Structure Project

A few of the Board members had concerns regarding this MOU and asked if legal counsel could also review it.

Attorney Manuel Martinez shared that he could not analyze this MOU in a matter of an hour—he had to take many factors into account.

President Linda Chavez recommended this agenda item be tabled until the March meeting. There was consensus from the Board to table this agenda item until March.

# 11. HUMAN RESOURCES

# 11.01 Information Regarding Resignations

There was consensus from the Board to accept the resignations as submitted.

# 11.02 Approve Resolution No. 29-18/19 Elimination of Particular Kinds of Service and Criteria for Skipping and for Tie-Breaking for Certificated Employees

Interim Assistant Superintendent Jess Serna reported that given the current State of California budget crisis, the District is forced to consider the elimination of Particular Kinds of Service (PKS), for certificated positions for the 2019-20 school year. He reported that in order to implement this reduction in force, it might be necessary to apply the tie-breaker criteria to distinguish among permanent or probationary employees who first rendered paid service to the District on the same date. Interim Assistant Superintendent Jess Serna reported that the Superintendent was recommending the Board adopt the resolution to reduce the programs and services for 2019-20 as follows:

SERVICES	# OF FULL-TIME EQUIVALENT POSITIONS
Assistant Principals	3.0
Chief Special Services Officer	1.0
Coordinator, Special Education	1.0
Instructional Coaches (teachers)	25.1
Teacher, Physical Education	1,0
Total FTE Reduction	31.1

# 11. HUMAN RESOURCES (continued)

# 11.02 Approve Resolution No. 29-18/19 Elimination of Particular Kinds of Service and Criteria for Skipping and for Tie-Breaking for Certificated Employees

Interim Assistant Superintendent Jess Serna reported that Education Code Section 44949 and 44955 set forth the process and procedure that school districts must follow in order to conduct a reduction in force of certificated staff. This procedure requires a board resolution to reduce or eliminate particular kinds of services and the issuance of a March 15 notice to employee(s) affected by this action.

The Board had dialogue on this agenda item. They shared concerns they had about some of the affected positions. The Board was in consensus these suggested positions were the furthest away from the classroom.

#### Public Comments:

- Flor DeLeon Community member, commented she was concerned about losing the principals and assistant principals at certain schools. She was specifically concerned about losing the coach at Renaissance. She asked administration what the solutions were.
- Jocelyn Merz AREA President, commented she believes the instructional leadership at the school is the site administrator. She stated that come June, July, and August, the District will be hiring teachers to fill the classroom positions.

President Linda Chavez commented that the Board was elected by the voters to be here, and they have to balance the budget and make \$14M cuts somewhere—yes, these are very hard decisions that have to be made!

MOTION #10-04 by Member Andrés Quintero to accept and approve Resolution No. 28-18/19 as submitted. MOTION #10-04 was seconded by President Linda Chavez.

MOTION #10-04 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

## 12. CONSENT CALENDAR

Member Andrés Quintero asked to pull Agenda Items 12.03 Contracts for Professional Services-Firms, specifically the Franklin Global Education Foundation Contract and 12.09 Resolution No. 23-18/19 Women's History Month-March 2019 to be discussed separately.

# 12. CONSENT CALENDAR (continued)

MOTION #10-05 by Member Andrés Quintero to accept the remainder of the Consent Calendar as amended. MOTION #10-05 was seconded by Clerk Dolores Marquez-Frausto.

MOTION #10-05 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

# 12.03 Contracts for Professional Services-Firms Franklin Global Education Foundation, McCollam School

Contract provides 10 weeks of immersive Chinese learning sessions. As a result, students will establish a foundation of basic mandarin and raise the cultural awareness.

Member Andrés Quintero gave thanks and congratulated administration for bringing this contract forward. He commented a dual-immersion Mandarin school would be nice!

MOTION #10-06 by Member Andrés Quintero to accept and approve the Franklin Global Education Foundation as submitted. MOTION #10-06 was seconded by Vice-President Ernesto Bejarano.

MOTION #10-06 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

# 12.09 Resolution No. 23-18/19 Women's History Month, March 2019

Member Andrés Quintero spoke about the importance of recognizing the female gender. He gave kudos to the many important and powerful women but especially his mother for being a very strong-willed woman.

Vice-President Ernesto Bejarano commented that he was fine with the resolution as written except for one sentence. He requested that the word "now" be deleted from the sentence found in the 5<sup>th</sup> WHEREAS. The revised sentence should read as follows:

WHEREAS, the role of women in history has been overlooked and undervalued and is an important part of the body of American and world history; and

# 12. CONSENT CALENDAR (continued)

12.09 Resolution No. 23-18/19 Women's History Month, March 2019

MOTION #10-07 by Vice-President Ernesto Bejarano to accept and approve Resolution No. 23-18/19 Women's History Month, March 2019 as amended. MOTION #10-07 was seconded by Member Andrés Quintero.

MOTION #10-07 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

# 13. FUTURE BOARD AGENDA REQUESTS

13.01 Requests from Board of Trustees and/or from the Public

There were no requests made at this time.

# 14. ADJOURNMENT

14.01 President Adjourns the Meeting

MOTION #10-08 by Member Corina Herrera-Loera to adjourn the board meeting. MOTION #10-08 was seconded by Member Andrés Quintero.

MOTION #10-08 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

President Linda Chavez adjourned the meeting at 9:28 p.m.

Respectfully submitted,

Dolores Marquez-Frausto Board Clerk

# DRAFT MINUTES ALUM ROCK ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San Jose, CA 95127

# MINUTES OF A SPECIAL BOARD MEETING AND BOARD TRAINING BOARD OF TRUSTEES

of the Alum Rock Union School District

Held on Thursday, February 28, 2019, 5:30 p.m. at the Alum Rock Union School
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127

Meeting #11-18/19

# 1. OPEN SESSION

# 1.01 Call to Order / Roll Call

President Linda Chavez welcomed everyone in the audience. She announced she wanted to wait to start the meeting until Member Andrés Quintero and Clerk Dolores Marquez-Frausto arrived since this was a board training.

President Linda Chavez called the Board Meeting to order at 5:47 p.m. followed by Roll Call and the Pledge of Allegiance.

## Board Members Present:

Linda Chavez President
Ernesto Bejarano Vice-President

Dolores Marquez-Frausto Clerk (arrived late at 5:44 p.m.)
Andrés Quintero Member (arrived late at 5:54 pm.)

Corina Herrera-Loera Member

# Administrative and Support Staff Members Present:

Dr. Hilaria Bauer Superintendent

Rene Sanchez

Kolvira Chheng

Marie Sanchez

Assistant Superintendent, Instructional Services
Assistant Superintendent, Business Services
Sr. Executive Assistant, Superintendent's Office

#### Administrative Member Absent:

Jess Serna Interim Assistant Superintendent, Human Resources

# 1. OPEN SESSION (continued)

## 1.01 Call to Order / Roll Call

President Linda Chavez read the agenda out loud to the audience.

## 2. PUBLIC MEMBERS WHO WISH TO ADDRRESS THE BOARD

# 2.01 Requests to Address the Board

There were no public comments from the audience at this time.

# 3. SUPERINTENDENT / BOARD BUSINESS

# 3.01 Board Study Session: Required Training as per AB 1234, Ethics

President Linda Chavez introduced Attorney Catherine Groves, Hanson Bridgett LLP. Attorney Catherine Groves shared some history of her background. She reported that this AB 1234 Ethics was a mandatory training required every two years. She reported she had specific topics she was required to cover in this training and the majority of the training would be participating in an "AB 1234 Training Mock Board Meeting".

Attorney Catherine Groves assigned the positions that each individual would role play during the mock board meeting, including the Superintendent and particular staff members.

Name of Individual	Role Play Position
Superintendent Hilaria Bauer	Board Member
Assistant Superintendent Kolvira Chheng	Staff Member
Assistant Superintendent Rene Sanchez	Board Member
President Linda Chavez	Community Member
Vice-President Ernesto Bejarano	Board Member
Member Corina Herrera-Loera	Superintendent

Attorney Catherine Groves provided handouts to the Board and the audience.

She distributed a script to each participant and the mock board meeting began. Throughout the mock board meeting, the script had various scenarios related to the selected topic and discussion followed to include all participants.

Attorney Catherine Groves highlighted and gave an explanation to all the responses that were correct and incorrect so that the audience could comprehend them.

# 3.01 Board Study Session: Required Training as per AB 1234, Ethics

The highlights of the mock board meeting and required training included the following:

# AB 1234 Training - Overview

- Who gets AB 1234 training?
- · Applicability to legislative bodies vs. staff
- Topics
  - Part 1: Ethics and Scandals
  - Part 2: Personal Financial Gain (aka Conflicts of Interest)
  - Part 3: Perks of Office
  - Part 4: Fair Processes & Procedures
  - Part 5: Government Transparency

# **SECTION 2**

# Part 1: Ethics and Scandals

Ethics: What, and So What?

- What are Ethics?
- · Ethics vs. Laws: Minimum Standards vs. Moral Standards
- · Public Officials held to Higher Standards
- What Sets off your Ethics Alarm?

# Part 2: Personal Financial Gain (aka Conflicts of Interest)

- Laws to Mitigate Inevitable Conflicts of Interest
- Public Officials should not Benefit Financially from their Positions
- Laws Govern Conflicts of Interest

#### Part 3: Perks of the Office

- Public Officials should not Benefit Personally from their Positions
- Laws Govern (1) gifts; (2) honoraria; (3) free/discounted transportation; and (4) use of public resources

## Part 4: Fair Processes & Procedures

- Fair Processes
- Constitutional Due Process & Fair Processes
- Competitive Bidding
- Incompatible Offices & Activities

# Part 5: Government Transparency

- Open Meetings: Brown Act
- Closed Session Exceptions
- · Brown Act Pitfalls
- · Public Records
- Key Lessons

# 3.01 Board Study Session: Required Training as per AB 1234, Ethics

## SECTION 3

California Fair Political Practices Commission (FPPC)

Attorney Catherine Groves provided lots of important information on the FPPC.

#### SECTION 4

Institute for Local Government, Public Service Ethics

This section provides information for everyday ethics for local officials.

At this time, the mock board meeting concluded.

The Board adjourned for a short recess at 7:54 p.m.

The Board reconvenes to the board meeting at 8:04 p.m.

# 3.02 Board Study Session: Board Governance

Attorney Catherine Groves provided a Power Point presentation on Board Governance and some of the highlights included the following:

School District Boards' Role & Responsibilities

- Trustees are not employees
- Authority rests with the Board as a whole and not with individuals
- Represent the entire school district and not any single school
- Role of the school board is to ensure that school districts are responsive to the values, beliefs and priorities of their communities

# Superintendents' Role & Responsibilities

- Superintendents implement policies and manage staff
- Approve hiring all personnel, discipline, dismissal, layoffs, evaluations, and leaves
- Supervise and evaluate all employees' work
- Ensure personnel policies and regulations are consistent with collective bargaining agreements and conform with state and federal law and regulations

# 3.02 Board Study Session: Board Governance

# Working Together

- The agenda is a collaboration between the Board President and the Superintendent (with input from the board members and staff)
- Role of the Board is to set policy for the District. The Superintendent solves specific problems with schools and students
- Understand the distinctions between Board and staff roles, and refrain from performing management functions that are the responsibility of the Superintendent and staff
- Board members can offer suggestions to improve the District generally
- Board members can improve board policies
- Board members should engage during the business meetings
- Board members should ask questions about the agenda
- Participate in professional development and commit the time and energy necessary to be an informed and effective leader
- To ensure the smooth functioning of the organization, board members should direct communications to the Superintendent or her designee

#### Governance Standards

- · Keep learning and achievement for all students as primary focus
- Value, support and advocate for public education
- Recognize and respect differences of perspective and style on the Board and among staff, students, parents and the community
- Act with dignity, and understand the implications of demeanor and behavior

#### **Public Statements**

- Board members have a right to freely express their personal views
- The Board encourages open discussion during board meetings in ways that promote the Board's ability to govern the District
- When speaking to community groups, the media, or other members of the public, individual Board members should recognize that their statements may be perceived as reflecting the views and positions of the Board
- Board members have a responsibility to identify personal viewpoints as such and not as the viewpoint of the Board
- Do not make public statements on behalf of the Board
- Do not direct staff to issue statements through publications, electronic media, etc. on behalf of the Board
- Do not disclose confidential information or information received in Closed Session
- Do identify personal viewpoints when speaking to community groups, members of the public or the media
- Do model respectful, courteous, and professional behavior for District students and the community on social networking sites, blogs and other websites

# 3.02 Board Study Session: Board Governance

The Board had dialogue on this agenda item.

Attorney Catherine Groves answered the Board's questions and clarified some of their concerns.

## 4. CONSENT CALENDAR

# 4.01 School-Sponsored Field Trips

MOTION #11-01 by Member Andrés Quintero to accept and approve the Consent Calendar as presented. MOTION #11-01 was seconded by Member Corina Herrera-Loera.

MOTION #11-01 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

# 3. SUPERINTENDENT / BOARD BUSINESS (continued)

## 3.03 Board-Created Committees

President Linda Chavez explained the importance of creating these committees which included the following:

- Budget and Finance
- Curriculum
- District Marketing
- Bond and Facilities
- District Growth and Development

## Public Comments:

- Frank Chavez Former Board Member, gave a little history of the committees during his tenure as a board member—he participated on the Budget & Finance Committee. He suggested to eliminate the District Marketing Committee since the District already has a public relations partner
- Jocelyn Merz AREA President, commented these were only advisory committees and suggested that the Board not put too much on their plate if they volunteered for any of these committees; besides, these committees did not need to begin right now

#### 3.03 Board-Created Committees

The Board had dialogue on this agenda item and shared their views and concerns on the various committees.

Superintendent Hilaria Bauer reminded the Board of the following items they still had to develop and implement:

- District Board Goals
- 2. Board Self-Evaluation

MOTION #11-02 by Member Andrés Quintero to approve the following Board-Created Committees as such. MOTION #11-02 was seconded by Clerk Dolores Marquez-Frausto.

Board-Created Committees	Board Member Volunteers
Bond & Facilities	Clerk Dolores Marquez-Frausto
Budget & Finance	Vice-President Ernesto Bejarano
Curriculum	President Linda Chavez
District Growth & Development	President Linda Chavez
	Member Corina Herrera-Loera
District Marketing	President Linda Chavez
	Clerk Dolores Marquez-Frausto
Safety & Wellness	Vice-President Ernesto Bejarano
	Member Corina Herrera-Loera
Small Schools & Innovation	Member Andrés Quintero
	Vice-President Ernesto Bejarano

MOTION #11-02 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

# 5. ADJOURNMENT

# 5.01 President Adjourns the Meeting

President Linda Chavez adjourned the meeting at 9:14 p.m.

Respectfully submitted,

Dolores Marquez-Frausto Board Clerk HB/mcs

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

12.02

2930 Gay Avenue San Jose, CA 95127

Office of Superintendent of Schools

# ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Boar	rd of Trustees:	
Subject:	CONTRACTS FOR PROFESSIONAL SI	ERVICES – FIRMS/ORGANIZATIONS
Staff Analy The followi approval.		g presented to the Board of Trustees for review and
		for professional services on the attached sheets.
Prepared by	y: Maria Martinez M. M. Title:	Procurement Manager
Approved b	by: Kolvira Chheng Lol & Title:	Assistant Superintendent of Business Services
To the B	Recommend Approval  Mee	March 14, 2019  Regular Board Meeting
	12.02	Regular Board Weeting
	Agenda Placement	Hilaria Bauer, Ph.D., Superintendent
	DISPOSITION BY BO	ARD OF TRUSTEES
Motion l	by:	Seconded by:
Approve	ed: Not Approved:	Tabled:

# PROFESSIONAL CONSULTANT SERVICES - FIRMS/ORGANIZATIONS

DEPARTMENT	DATE OF SERVICE	CONSULTANT NAME	COST & FUNDING	PURPOSE
Ocala Tracy Leathers	03/06/19- 06/11/19	Youth Science Institute	\$400.00	Present information and live animal exhibition at STEAM Night Funding: Restricted
Ocala Tracy Leathers	03/14/19- 06/11/19	School of Arts and Culture at MHP	\$3,500.00	Provide art classes that will be culturally appropriate for school population Funding: Restricted
Russo/McEntee Tereasa Smith	03/20/19- 05/30/19	San Jose Museum of Art	No Cost	Provide free art classes to 5 <sup>th</sup> grade students Funding: N/A
Academic Services Jason Sorich	03/15/19- 03/15/19	Digital Promise	No Cost	Renaissance I & II will receive IPADS for all staff/students, including wireless access at home for all students and professional development teachers Funding: N/A
Business Services Kolvira Chheng	01/01/19- 06/30/19	Keenan & Associates	\$1,800.00	AB 1200 Actuarial Evaluation of Health and Welfare Plans Services-Dental Funding: Restricted
Business Services Kolvira Chheng	07/01/18- 06/30/19	Mission Trail Advisors	\$1,9000.00	Provide financial services on continuing disclosure obligations for FY 2017-18; bond related matters Funding: Bonds
Maintenance Daniel Flores	07/01/18- 06/30/19	Silicon Valley Security and Patrol	\$83,000.00	Provide overnight security patrol five days a week from 10pm to 6am. Service on weekends, holiday coverage including mobile and walking at facilities District-wide Funding: General

# Alum Rock Union Elementary School District March 14, 2019 Board Meeting

# PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Student Services Norma Flores	03/15/19- 06/30/19	School Innovations & Achievement – Attention 2 Attendance Software	\$19,700.00	Student attendance management software provides attendance analysis reports and also prepares and distributes attendance letters to parents Funding: General
Transportation Daniel Flores	02/15/19- 03/15/19	Corona Innovative Solutions	\$36,907.04	Provide and install "Child Safety Alert System" devices on school buses for compliance with Title 13 CCR Division 2, Chapter 6.5 Article 6, section 1294 Funding: General





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

10.	DIVISION OF BUSINESS SERV	IOEG				0,
FRO	ом: Ocala STEAM Acad	lemy	(Sc	chool/Dept.)	VENDOR NO.	
PRO	OGRAM MANAGER: Tracy Le	athers				
1.	PARTIES: The Alum Rock Union the following named Contractor:	Elementary School D	District (ARUES	6D), whose address is	2930 Gay Avenue, S	San Jose, CA 95127, and
	Name of Individual/Company:	outh Science	Institute (	YSI)		
	Address: 296 Garden Hill	Dr	c	ty: Los Gatos	State: CA	Zip: 95032
	Phone: (408-356-4945		Er	mail Address:		
	SSN:		or Fe	ed I.D. #:		
	Mutually agree and promise as	follows:				
2.	CONTRACT TERM: start date	03/06/2019		end date	06/11/2019	
3.	contractor's obligation: products, and/or reports:  A. Description of services to be exhibits and other documentat	provided and expect				
3.	products, and/or reports:  A. Description of services to be	provided and expect ion if necessary: ation and live	ed results (e.ç	a. services, materials,	products and/or repo	orts). Attach proposals
4.	A. Description of services to be exhibits and other documentate.  YSI will present inform answer students' ques  COMPENSATION: In considerate expressed herein, ARUESD sha (Invoice) which shall be submitted upon approval of such demand by  X a. Fee Rate: \$200 hours/days service.	provided and expection if necessary:  ation and live tions about the ion of Contractor's pill pay Contractor up not later than 30 da ARUESD as follows:  per hour/ of services. ARUES	animals (region of services of contractors) and the error (Check either day of services of may, but is	vices as described at r's submission of a ad of the month in wha, b, or c)	bove, and subject to properly documented ich the contract serviced by ARUESD, not to quest the maximum n	the payment provisions d demand for payment ces were rendered, and be exceed a maximum of number of hours/days of
4.	A. Description of services to be exhibits and other documentate.  YSI will present inform answer students' ques  COMPENSATION: In considerate expressed herein, ARUESD sha (Invoice) which shall be submitted upon approval of such demand by  X a. Fee Rate: \$200 hours/days	provided and expection if necessary:  ation and live tions about the ion of Contractor's pill pay Contractor up not later than 30 da ARUESD as follows:  per hour/ of services. ARUES	animals (region of services of contractors) and the error (Check either day of services of may, but is	vices as described at r's submission of a ad of the month in wha, b, or c)	bove, and subject to properly documented ich the contract serviced by ARUESD, not to quest the maximum n	the payment provisions d demand for payment ces were rendered, and be exceed a maximum of number of hours/days of
4.	A. Description of services to be exhibits and other documentate.  YSI will present inform answer students' ques.  COMPENSATION: In considerate expressed herein, ARUESD shate (Invoice) which shall be submitted upon approval of such demand by  X a. Fee Rate: \$200  2 hours/days service.  b. Other: \$	provided and expection if necessary:  ation and live tions about the tion of Contractor's pi Il pay Contractor up not later than 30 da ARUESD as follows:  per hour/ of services. ARUES	animals (response) animals (response) animals (response) revision of service (check either (check ei	vices as described at r's submission of a ad of the month in wha, b, or c)	bove, and subject to properly documented ich the contract serviced by ARUESD, not to quest the maximum n	the payment provisions demand for payment ces were rendered, and o exceed a maximum of number of hours/days of
4.	A. Description of services to be exhibits and other documentate.  YSI will present inform answer students' ques.  COMPENSATION: In considerate expressed herein, ARUESD shate (Invoice) which shall be submitted upon approval of such demand by  X a. Fee Rate: \$200  2 hours/days service.  b. Other: \$	provided and expection if necessary:  ation and live tions about the ion of Contractor's pill pay Contractor up not later than 30 da ARUESD as follows:  per hour/ of services. ARUES	animals (region of services of contractors) and the error (Check either day of services of may, but is	vices as described at r's submission of a ad of the month in wha, b, or c)	bove, and subject to properly documented ich the contract serviced by ARUESD, not to quest the maximum n	the payment provisions d demand for payment ces were rendered, and be exceed a maximum of number of hours/days of

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

DIVIS	ION OF BUSINESS SE	RVICES			CONTRACT NO	0
OM: OC	cala STEAM Ac	ademy	(Sc	hool/Dept.)	VENDOR NO.	21331
ROGRAM	MANAGER: Tracy	Leathers				
PARTIE the follo	ES: The Alum Rock Un wing named Contracto	ion Elementary School [	District (ARUES	D), whose address	is 2930 Gay Avenue, S	an Jose, CA 95127, and
Name o	of Individual/Company:	School of Arts	and Cultur	e at MHP		
Address	1700 Alum R	ock Avenue	Cit	y: San Jose	State: CA	Zip: 95116
Phone:	(408 ) 794-62	42	En	nail Address: Vane	essa@schoolofa	artsandculture.o
SSN: _			or Fe	d l.D. #: 80-071	4882	
	y agree and promise					
CONTR	ACT TERM: start date	03/14/2019		end date	06/11/2019	
A. Desc	ACTOR'S OBLIGATION and/or reports: cription of services to bits and other documen	be provided and expect				
A. Desc exhit	s, and/or reports: cription of services to bits and other documer	be provided and expect	ted results (e.g	services, materials	s, products and/or repo	orts). Attach proposals
Provi Provi Popul Competexpresse (Invoice) upon app	cription of services to bits and other documer de art classes tations.  NSATION: In consider de the submit or oval of such demand service, hours/diservice,	be provided and expect station if necessary;	arovision of senon Contractor lys from the en (Check either and Check eith	vices as described is submission of a d of the month in was, b, or c) as may be request not obligated to, re	above, and subject to properly documented hich the contract service and by ARUESD, not to equest the maximum n	the payment provisions demand for payment ces were rendered, and exceed a maximum of umber of hours/days of
Provi Provi Provi Popul COMPE expresse (Invoice) upon app	s, and/or reports:  cription of services to bits and other documer de art classes to lations.  NSATION: In considered herein, ARUESD submits shall be submit proval of such demand service.  Other: \$3,500	per provided and expect intation if necessary:  Do Ocala families  Peration of Contractor's peration of Contractor up ted not later than 30 day by ARUESD as follows:  per hour, ays of services. ARUE	arovision of senon Contractor lys from the en (Check either and Check eith	vices as described is submission of a d of the month in was, b, or c) as may be request not obligated to, re	above, and subject to properly documented hich the contract service and by ARUESD, not to equest the maximum n	the payment provisions demand for payment ces were rendered, and exceed a maximum of umber of hours/days of
Provi Provi Popul Competexpresse (Invoice) upon app	s, and/or reports:  cription of services to bits and other documer de art classes to lations.  NSATION: In considered herein, ARUESD submits shall be submit proval of such demand service.  Other: \$3,500	per provided and expect intation if necessary:  Do Ocala families  Peration of Contractor's peration of Contractor up ted not later than 30 day by ARUESD as follows:  per hour, ays of services. ARUE	arovision of senon Contractor lys from the en (Check either and Check eith	vices as described is submission of a d of the month in was, b, or c) as may be request not obligated to, re	above, and subject to properly documented hich the contract service and by ARUESD, not to equest the maximum n	the payment provisions demand for payment ces were rendered, and exceed a maximum of umber of hours/days of

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



#### INDEPENDENT CONTRACTOR AGREEMENT

TO:	DIVISIO	ON OF BUSINESS SE	ERVICES	-		CONTRACT	NO
FROI	M: Ru	sso/McEntee /	Academy	(Sc	hool/Dept.)	VENDOR NO.	
PRO	GRAM M	ANAGER: Tereas	sa Smith				
t	the follow	ving named Contracto	nion Elementary School Dor: San Jose Muse				San Jose, CA 95127, an
		110 S. Marke					A zip: 95113
F	Phone: (	408)271-6810					
5	SSN:			or Fe	d I.D. #:		
		agree and promise					
2. 0	CONTRA	CT TERM: start date	March 20, 201	9	end date	May 30, 2019	
1	TO PIO	vide free art c	lasses to 5th gra	de classe	s at Russo/M	centee Acade	ту
(Ir up	xpressed nvoice) w pon appro	herein, ARUESD sylvhich shall be submit oval of such demand  Fee Rate: \$hours/d service.	eration of Contractor's proshall pay Contractor up ted not later than 30 day by ARUESD as follows:  Open per hour/ays of services. ARUESD (describe)	on Contractor ys from the end (Check either a day of service SD may, but is	s submission of a d of the month in w a, b, or c) as may be request not obligated to, n	properly documents thich the contract sen ed by ARUESD, not equest the maximum	ed demand for paymen vices were rendered, and to exceed a maximum on number of hours/days of
. BI	UDGET (	CODE:					
	FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PURCHASING FIRE 20 20 L9 PASSES

# Request for Contracted Services

To: BUSINESS OFFICE Contract No.	Vendor No.:
	lum Rock Union Elementary School District (ARUESD) whose
✓ MOU (negotiated Agreement)  Exhibit B & C (Fingerprinting and TB Test)	MASTER CONTRACT PARTICIPATION  Scope of Work/Proposal
Note: All Contracts over \$5,000 require pre-approval.	
* Use Independent Contractor Agreement (PUR-116) for uninc	corporated individuals or in the absent of negotiated agreement.
Name of Individual/Company: Digital Promise	
Address: 1001 Connecticut Avenue NW #935	City: Washington State: DC Zip: 20036
Phone: 703 ) 919-1894	P 9 1
SSN	Fed I.D, #:
CONTRACT TERM: start dates March 15, 2019	
CONTRACTOR'S OBLIGATION: Description of services to be provided: (Please attach proposals.)	scope of work and other documentation )
	ischer will receive IPADS for all staff/students.
Included with this is wireless access at home f	
for teachers.	
provisions expressed herein, ARUESD shall pay Contractor, u	n of services as described above, and subject to the payment pon Contractor's submission of a properly documented demand 0 days from the end of the month in which the contract services D as follows: (Check either a or b)
a. Fee Rate: \$ per	Not to Exceed of services.
b. Other: \$	
Describe other related costs: Zero cost to the district.	
BUDGET CODE:	
	Accountant Initials
	Accountant Initials
APPROVALS:	Accountant Initials  Accountant Initials
APPROVALS: ALUM ROCK UNION ELEMENTARY SCHOOL DISTRIC	Accountant Initials  Accountant Initials
APPROVALS:  ALUM ROCK UNION ELEMENTARY SCHOOL DISTRIC  Site/Department Administrator:	Accountant Initials  Accountant Initials
ALUM ROCK UNION ELEMENTARY SCHOOL DISTRIC	Accountant Initials  Accountant Initials
ALUM ROCK UNION ELEMENTARY SCHOOL DISTRIC Site/Department Administrator:	Accountant Initials  Accountant Initials  5: 5   127   2019

2930 Gay Avenue, San José, CA 95127

Phone: 408-928-6800

Fax: 408-928-6416

www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent, Business Services

Re: Approve Contract with Keenan and Associates for Actuarial Evaluation

Date: March 14, 2019

# Background

Pursuant to AB 1200 and Education Codes 17566 and 17567, school districts are required to complete an actuarial evaluation of the cost of self-funded health and welfare benefits every three years. The District is currently self-insured for its dental insurance. The intent of the law is for districts to ensure that self-insured health and welfare benefit funds maintain sufficient fund balances to meet their projected liabilities. Keenan and Associates has the expertise and has proven to be dependable and reliable to assist the District in meeting this requirement.

## Recommendation

Staff recommends that the Board of Trustees approve the contract with Keenan and Associates in the amount not to exceed \$1,800.

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT Request for Contracted Services



TO:	BUSINESS OFFICE	Contract No.	Vendor No. 12273
		(School/Dept.) and the Alum Rock Un A 95127, and the following named Con	ion Elementary School District (ARUESD), whose
	MOU (Negotiated Agreed Exhibit B & C (Fingerprinti		ER CONTRACT PARTICIPATION  Orl/Proposal
Note:	All Contracts over \$5,000 require	pre-approval.	
* Use	Independent Contractor Agreement	form B-252 for unincorporated individ	luals or in the absent of negotiated agreement.
	1		
	of Individual/Company Keenan 8		0.5110
	1732 North First Street, Sui		
Teleph	one 408 441-0876	Fax # 408 436-	
SSN_		Fed. 1.D.# 0451	12/1
CONT	FRACT TERM: effective dates	anuary 1, 2019 to	June 30, 2019
		ease attach proposals and other docume ealth and Welfare Plans Services	
express B-210)	sed herein, ARUESD shall pay Con which shall be submitted not later	tractor, upon Contractor's submission	escribed above, and subject to the payment provision of a properly documented demand for payment (Fonth in which the contract services were rendered, and
	a. Fee Rate: \$	per Not To Exce	edof services.
×	_b. Other: \$ NTE \$1,	800.00 (Dental)	
	Describe rate agreement		
BUDG	ET CODE: 67-600- 9	1894-0-5815	N 3619
APPR	OVALS:		
Alum R	Rock Union Elementary School Distric	et:	
Sit	e/Department Administrator	/	Date
Dir	rector of Fiscal Services S	4	Date 3/6/19
Ass	st. Supt. of Business Services	tol S	Date 3/1/19
Suj	perintendent	0	Date
AD	LIESD Board Approval		Date

2930 Gay Avenue, San José, CA 95127

Phone: 408-928-6800

Fax: 408-928-6416

www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent, Business Services

Re: Approve Contract with Mission Trail Advisors to Assist the District with

Continuing Disclosure Obligations

Date: March 14, 2019

# Background

The Security and Exchange Commission (SEC), under its Rule 15c2-12, Section (by)(5), requires that the District provide investors holding the District's general obligation bonds, certificates of participation, and other publicly sold debt annual updates of certain financial and other information. Due to the sensitive timeline of February 25, 2019 and knowledge of the District, Mission Trails was able to assist the District in meeting this requirement.

#### Recommend

Staff recommends the Board of Trustees approve the contract with Mission Trail Advisors in the amount not to exceed \$1,900.

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

**Request for Contracted Services** 

Contract No.	Vendor No. 21766
Dept.) and the Alum Rock Union Elem and the following named Contractor w	nentary School District (ARUESD), who vishes to enter into a:
Test) Scope of Work/Prop	ONTRACT PARTICIPATION osal
52 for unincorporated individuals or i	in the absent of negotiated agreement.
isors	
0 City Long Beach	ST_CA Zip 90802
Fax # 562 449-2205	
Fed. I.D.#	
h proposals and other documentation i sclosure obligations for FY 2017	
pon Contractor's submission of a prop	above, and subject to the payment provi perly documented demand for payment ( hich the contract services were rendered of services.
w 3/6/19	
	Date
	Date 3/6/19
5	2/1/2
1	rest) Scope of Work/Propoval.  52 for unincorporated individuals or displays from the end of the month in works: (Check either a or b)  Not To Exceed

2930 Gay Avenue, San José, CA 95127

Phone: 408-928-6800

Fax: 408-928-6416

www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Daniel Flores, Director of Maintenance, Operation & Transportation

Re: Contract Approval: Silicon Valley Security & Patrol

Date: March 1st 2019

# Summary:

Due to frequent vandalism, safety and security issues, it is necessary for the District to provide overnight security patrol District-wide. Their services include security from 10pm-6am on a nightly basis as well as service on weekends, holiday coverage including mobile and walking at facilities District-wide. They will also offer additional security on an as needed basis district-wide.

## Recommendation:

Staff recommends that the Board of Trustees approve the contract for security services from Silicon Valley Security and Patrol in the amount of \$83,000.00 to pay past due invoices and remaining of the 2018-2019 Fiscal Year.

PUR-107



# INDEPENDENT CONTRACTOR AGREEMENT

PURCHASING MAR 7 20 L9 AND 102

DIVISIO	ON OF BUSINESS SEF	VICEO			CONTRACT N	0
OM:	MO	Г	(Scho	ool/Dept.)	VENDOR NO.	21151
OGRAM M	ANAGER: Dan	Flores				
PARTIES the follow	S: The Alum Rock Unic ving named Contractor:	n Elementary School D	listrict (ARUESD	), whose address is	2930 Gay Avenue, 8	San Jose, CA 95127, a
Name of	Individual/Company: _	Silicon Va	lley Securit	ty and Patrol		
Address:	1131 L	uchessi Dr	City	San Jose	State: C	A zip 95118
Phone: [	408 ) 978-219	8	Ema	ail Address: (408)	978-2197	
SSN:			or Fed	I.D. #:		
	agree and promise a					
Service and the	Luciania de la constante de la	07/01/2	018	and data	06/30	/2019
CONTRA products, A. Descr exhibi	and/or reports: ription of services to b	N: In consideration of expect	the compensation	on, the Contractor si	hall provide the follo	wing services, materia
contra products, A. Descr exhibi	and/or reports: ription of services to bits and other document	N: In consideration of e provided and expect ation if necessary:	the compensation the compensation of the compe	services, materials,	products and/or rep	wing services, materi
A. Descreshibitorics of the contract of the co	and/or reports:  ription of services to be its and other document de overnight sector y coverage included in the coverage	N: In consideration of e provided and expect ation if necessary: curity patrol five	days a week days a	services, materials, ek from 10pm at facilities Dis ces as described at submission of a of the month in whi b, or c) as may be requested not obligated to, requested	products and/or rep -6am. Service strict-wide.  pove, and subject to properly documente the contract servi	wing services, material corts). Attach propose on weekends the payment provision demand for paymices were rendered, at the payment provision of th
CONTRA products,  A. Descrexhibit Provided holida  COMPEN expressed (Invoice) upon approximate a  X b.	and/or reports:  ription of services to be its and other document de overnight sector of the coverage included in the cov	e provided and expect ation if necessary: curity patrol five uding mobile ar ation of Contractor's purificial pay Contractor uped not later than 30 day ARUESD as follows:  per hour/ys of services. ARUES	days a week days a	services, materials, ek from 10pm at facilities Dis ces as described at submission of a of the month in whi b, or c) as may be requested not obligated to, requested	products and/or rep -6am. Service strict-wide.  pove, and subject to properly documente the contract servi	wing services, material corts). Attach propose on weekends the payment provision demand for payment ces were rendered, at the payment of hours/days
A. Descreshibitorics of the contract of the co	and/or reports:  ription of services to be its and other document de overnight sector of the coverage included in the cov	e provided and expect ation if necessary: curity patrol five uding mobile ar ation of Contractor's purificial pay Contractor uped not later than 30 day ARUESD as follows:  per hour/ys of services. ARUES	days a week days a	services, materials, ek from 10pm at facilities Dis ces as described at submission of a of the month in whi b, or c) as may be requested not obligated to, requested	products and/or rep -6am. Service strict-wide.  pove, and subject to properly documente the contract servi	wing services, material corts). Attach propositions on weekends the payment provision demand for payment ices were rendered, at the payment provision of the payment provision were rendered, at the payment provision of the

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

J. DIVIN	SION OF BUSINESS SE	RVICES			CONTRACT N	10
ROM: <u>S</u>	tudent Services		(Sch	ool/Dept.)	VENDOR NO.	
ROGRAM	MANAGER: Norma	Flores				
PARTI	IES: The Alum Rock Uni lowing named Contracto	ion Elementary School D	District (ARUESD	)), whose address is	2930 Gay Avenue,	San Jose, CA 95127, and
Name	of Individual/Company:	School Innovat	ions & Ach	ievement- Att	ention 2 Atter	ndance Software
Addres	ss: 5200 Golden	Foothill Parkway	/ City	El Dorado H	Hills State: C/	A zip: 95762
Phone	(916 ) 669-513	31	Em.	ail Address: Jill D	Dsia-us.com	
SSN:	77/17/17		or Fed	(I.D. #:		
Mutua	lly agree and promise a	as follows:				
CONT	RACT TERM: start date	March 15, 2019	9	end date	June 30, 2019	9
7	ts, and/or reports:					
A. De ext	scription of services to libits and other documen	ntation if necessary: ce is a student a reports as well a	ttendance is prepare	management and distribute	software in w	which it provides
A. Desert	scription of services to libits and other documention 2 Attendant and ance analysis ent's parents. The ENSATION: In consideration of such demand approval of such demand	reports as well a reports as well a ris is year 2 that ration of Contractor's pr shall pay Contractor up ted not later than 30 da by ARUESD as follows:  per hour/ ays of services. ARUES	as prepare ARUSD ut rovision of servi son Contractor's ys from the end (Check either a	management and distribute dilizes software des as described al s submission of a of the month in whi b, or c) as may be requeste not obligated to, rec	software in watering attendance lee.  bove, and subject to properly documente ich the contract service.	ports). Attach proposals  which it provides  etters to  the payment provisions ed demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
A. Deserta	scription of services to hibits and other documentation 2 Attendantation 2	reports as well a reports as well a ris is year 2 that ration of Contractor's pr shall pay Contractor up ted not later than 30 da by ARUESD as follows:  per hour/ ays of services. ARUES	as prepare ARUSD ut rovision of servi son Contractor's ys from the end (Check either a	management and distribute dilizes software des as described al s submission of a of the month in whi b, or c) as may be requeste not obligated to, rec	software in watering attendance lee.  bove, and subject to properly documente ich the contract service.	etters to  o the payment provisions ed demand for payment vices were rendered, and
A. Deserta	scription of services to hibits and other documentation 2 Attendantation 2	reports as well a reports as well a ris is year 2 that ration of Contractor's pr shall pay Contractor up ted not later than 30 da by ARUESD as follows:  per hour/ ays of services. ARUES	as prepare ARUSD ut rovision of servi son Contractor's ys from the end (Check either a	management and distribute dilizes software des as described al s submission of a of the month in whi b, or c) as may be requeste not obligated to, rec	software in watering attendance lee.  bove, and subject to properly documente ich the contract service.	etters to  o the payment provisions ed demand for payment vices were rendered, and

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two Independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

2930 Gay Avenue, San José, CA 95127

Phone: 408-928-6800

Fax: 408-928-6416

www.arusd.org

To:

Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent, Business Services

K

Re:

Approve Contract with Corona Innovative Solutions

Date: March 14, 2019

### Background

Pursuant to California Vehicle Code 28160, on or before March 1, 2019, each school bus, school pupil activity bus, youth bus, and childcare motor vehicle shall be equipped with an operational child safety alert system. A "child safety alert system" is a device located at the interior rear of a vehicle that requires the driver to either manually contact or scan the device before exiting the vehicle, thereby prompting the driver to inspect the entirety of the interior of the vehicle before exiting. The District reached out to various contractors for proposals and Corona Innovative Solutions submitted the lowest proposal to provide and install child safety alert system devices, and was able to assist the District in meeting this time sensitive requirement.

### Recommendation

Staff recommends that the Board of Trustees approve the contract with Corona Innovative Solutions in the amount not to exceed \$36,907.04.





### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### INDEPENDENT CONTRACTOR AGREEMENT

DIVIO	ON OF BUSINESS SE	RVICES			CONTRACTN	10
ROM:	Tran	sportation	(Scho	ol/Dept.)	VENDOR NO	
ROGRAM I	MANAGER:	Daniel Flores				
PARTIE the follo	S: The Alum Rock Ur wing named Contracto	nion Elementary School D	District (ARUESD)	, whose address is	2930 Gay Avenue,	San Jose, CA 95127, and
Name o	f Individual/Company:	(	Corona Inno	vative Soluti	ons	
Address	86	1 Nash Rd.	City:	Holliste	r State: C	A zip: 95023
Phone:	(831) 236-3	329	Ema	il Address: bill.m	artin@corona	innovativesolutio
SSN: _			or Fed	I.D. #:		
Mutually	y agree and promise	as follows:				
CONTR	ACT TERM: start date		2/15/19	end date	3/15/1	9
products	s, and/or reports:	ON: In consideration of be provided and expect				
A. Desc exhit	s, and/or reports: cription of services to bits and other docume de and install "	be provided and expect ntation if necessary:	ed results (e.g. s	services, materials,	products and/or rep	ports). Attach proposals
Provided With Competer Services (Invoice) upon app	oription of services to obts and other docume de and install "  Title 13 CCR D  NSATION: In consided herein, ARUESD which shall be submit proval of such demand for the service.	be provided and expect ntation if necessary:  Child Safety Aler ivision2, chapter  eration of Contractor's poshall pay Contractor upited not later than 30 dat by ARUESD as follows:  per hour/lays of services. ARUES	t System" d 6.5, Article  rovision of service con Contractor's ys from the end (Check either a, day of service as SD may, but is n	devices on so 6, section 12 des as described al submission of a of the month in white, or c) s may be requested to obligated to, reco	products and/or rep hool buses for 94 pove, and subject to properly documente ich the contract service d by ARUESD, not suest the maximum	o the payment provisions demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
Provided With Towns of the Competence of the Com	oription of services to obts and other docume de and install "  Title 13 CCR D  NSATION: In consided herein, ARUESD which shall be submit proval of such demand for the service.	be provided and expect notation if necessary:  Child Safety Aler ivision2, chapter  eration of Contractor's poshall pay Contractor upited not later than 30 dat by ARUESD as follows:	t System" d 6.5, Article  rovision of service con Contractor's ys from the end (Check either a, day of service as SD may, but is n	devices on so 6, section 12 des as described al submission of a of the month in white, or c) s may be requested to obligated to, reco	products and/or rep hool buses for 94 pove, and subject to properly documente ich the contract service d by ARUESD, not suest the maximum	ports). Attach proposals or compliance  the payment provisions and demand for payment prices were rendered, and to exceed a maximum of number of hours/days of the exceed the
Provided With Towns and A. Descential Provided With Towns and Town	oription of services to cription of services.  Other: \$850.00	be provided and expect notation if necessary:  Child Safety Aler ivision2, chapter  eration of Contractor's poshall pay Contractor upited not later than 30 dat by ARUESD as follows:	t System" d 6.5, Article  rovision of service con Contractor's ys from the end (Check either a, day of service as SD may, but is n	devices on so 6, section 12 des as described al submission of a of the month in white, or c) s may be requested to obligated to, reco	products and/or rep hool buses for 94 pove, and subject to properly documente ich the contract service d by ARUESD, not suest the maximum	o the payment provisions demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
Provided With Towns and A. Descential Provided With Towns and Town	oription of services to bits and other docume de and install "  Title 13 CCR D  NSATION: In consider determine, ARUESD which shall be submit or oval of such demand service.  Other: \$850.00 ant of \$36,907.0	be provided and expect ntation if necessary:  Child Safety Aler ivision2, chapter  eration of Contractor's poshall pay Contractor upted not later than 30 dat by ARUESD as follows:	t System" d 6.5, Article  rovision of service con Contractor's ys from the end (Check either a, day of service as SD may, but is n erate agreement)	devices on so 6, section 12 des as described al submission of a of the month in white, or c) s may be requested to obligated to, reco	products and/or rep hool buses for 94 pove, and subject to properly documente ich the contract service d by ARUESD, not quest the maximum	o the payment provisions demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
Provided With Towns and A. Descentile Provided With Towns and A. Desce	oription of services to bits and other docume de and install "  Title 13 CCR D  NSATION: In consider determine, ARUESD which shall be submit or oval of such demand service.  Other: \$850.00 ant of \$36,907.0 code:  DEPARTMENT	be provided and expect ntation if necessary:  Child Safety Aler ivision2, chapter  eration of Contractor's poshall pay Contractor upted not later than 30 dat by ARUESD as follows:	t System" d 6.5, Article  rovision of service con Contractor's ys from the end (Check either a, day of service as SD may, but is n erate agreement)	levices on so 6, section 12 es as described al submission of a of the month in whib, or c) s may be requested to obligated to, red	products and/or rep hool buses for 94 pove, and subject to properly documente ich the contract service d by ARUESD, not suest the maximum	o the payment provisions demand for payment vices were rendered, and to exceed a maximum of number of hours/days of to exceed the

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
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- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

12.03

2930 Gay Avenue San Jose, CA 95127

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

o the Board of Trustees:		
Subject: Acceptance	of Memorandum(s) of Understanding	g
Staff Analysis: The District has received t March 14, 2019.	he following Memorandum of Understa	anding as summarized on the sheet dated
Recommendation: Staff recommends accepta	nce of this memorandum of understand	ing.
Prepared by: Maria M  Approved by: Kolvira (	V	ement Manager  nt Superintendent of Business Services
Roivila C	-	
Roivila V		
To the Board of Trustee		March 14, 2019
	es: Meeting: N	
To the Board of Trustee	es: Meeting: N	March 14, 2019
To the Board of Trustee	es: Meeting: Neeting:	March 14, 2019
To the Board of Trustee	es: Meeting: Neeting:	March 14, 2019 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
To the Board of Trustee Recommend Agenda Pl	es: Meeting: No Provide Recognition By BOARD OF	March 14, 2019 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent

### MEMORANDUMS OF UNDERSTANDING

DEPARTMENT	DATE OF SERVICE	CONSULTANT NAME	COST	PURPOSE
Early Learning Dr. Dianna Ballesteros	07/01/18- 06/30/19	Santa Clara County Office of Education	\$3,208.50	Contract Increase: Original Contract amount \$26,242.50 New Contract amount \$29,451.00 Increase due to student enrollment (Datazone) Funding: General



### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

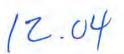
### PURCHASE ORDER / CONTRACT ADJUSTMENT

PERCHASINO MARS 2019 (4512)

				40	Contr	act Original	Amount: \$
endor N	Name: So	CCOE			Vendor No. 10547		
lease ch							
		Cancel P.O. / Co	ntract				
		Change Item No.					
	X I	ncrease Amount	Line Item No.:	1_	<u>c</u>	ONTRACT	SONLY
34		ecrease Amour	nt Line Item No.:		Change Orders:		
		dd Line No.:			Contracts Original A	mount	\$ 26,242.50
4-		elete Line No.:			Change Order #:		\$ 3,208.50
1.		change org key/d	object code to:		Change Order #:		\$
		hange Vendor N	No. to:		Change Order #:		\$
	A	dd Freight: \$					I Ku Ak Jaka
	A	dd Tax:	%		New Contract Total		\$29,451.00
	0	isencumber			(including Change Order	ers)	
	c	hange Order No	0.:				
		other			_		
ine#	FUND	LOCATION	PROGRAM	ACCOUNT	\$ AMOUNT	Accour	ntant Signature & Date
ine#				ACCOUNT 5815	\$ AMOUNT \$,3208.50	Accour	ntant Signature & Date
8,817	FUND 03 or Adjustm 7 studen	LOCATION 395	PROGRAM 1100-0 e (DZ) per st	5815 udent fee bas	\$,3208.50 sed on DZ student		
ason fo 8,817 crease	FUND 03 or Adjustm 7 studen e due to	LOCATION 395  ent: DataZonets x \$3.00	PROGRAM 1100-0 e (DZ) per st Ilment 9,817	students x \$	\$,3208.50 sed on DZ student	enrollme	nt

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of T	rustees:	Date:	February 17, 2019
Subject:	Acceptance of Donations		
Staff Analysis:	The District has received donate March 14, 2019.	ions as summari	zed on the sheet dated
Recommendatio	n: Staff recommends approval for	acceptance of th	ese donations.
Approved by: <u>Ko</u>	lvira Chheng Title: Assistant	Superintendent, l	Business Services
	proval U	March14, 2019 Regular Board	Meeting
Agenda Placem	7.11	V	D., Superintendent
Agenda Placem	DISPOSITION BY BOARD	V	D., Superintendent

### ACCEPTANCE OF DONATIONS

DONOR NAME	DESCRIPTION OR PURPOSE	EST VAL	IMATED UE	RECEIVING SCHOOL OR DEPARTMENT
Synopsys Inc.	Materials & Supplies	\$	39.32	ARUESD-Family Winter Luncheon
Philanthropic Ventures Foundation	Materials & Supplies	\$	500.00	Adelante Academy
Bright Funds	Materials & Supplies	\$	38.84	Adelante Academy
Trust for Hidden Villa	Materials & Supplies	\$	426.29	Aptitud Academy at Goss
Benevity Community Impact Fund	Materials & Supplies	\$	80.00	Chavez Elementary
Dorsa Elementary School Community	Materials & Supplies	\$	120.00	Dorsa Elementary
California Emerging Technology Fund	Materials & Supplies	\$	3,600.00	Fischer Middle
Philanthropic Ventures Foundation	Materials & Supplies	\$	500.00	Hubbard Academy
Philanthropic Ventures Foundation	Materials & Supplies	\$	500.00	Hubbard Academy
Very Special Events	Materials & Supplies	\$	1,000.00	Linda Vista Elementary
East Bay Community Foundation	Materials & Supplies	\$	5,000.00	Mathson Middle
Scholarship AMERICA	Materials & Supplies	\$	700.00	Mathson Middle
Silicon Valley Education Foundation	Materials & Supplies	\$	7,000.00	Ocala STEAM Academy
Applied Materials Foundation	Materials & Supplies	\$	400.00	Painter Elementary
Environmental Volunteers	Materials & Supplies	\$	1,000.00	Russo/McEntee Academy
Spirit & Pride	Materials & Supplies	\$	26.00	Russo/McEntee Academy
Environmental Volunteers	Materials & Supplies	\$	355.74	Ryan Elementary
Environmental Volunteers	Materials & Supplies	\$	1,000.00	Ryan Elementary
Foothill DeAnza Colleges Foundation	Materials & Supplies	\$	975.00	San Antonio Elementary

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of T	rustees:	Date: February 17, 2019
Subject:	Approval of Fundraising Activ	ities
Staff Analysis:	The District has received fundra attached sheet dated March 14,	aising requests as summarized on the 2019.
Recommendatio	n: Staff recommends approval of th	nese fundraising event requests.
	V	
Approved by: <u>Ko</u>	vira Chheng Title: Assistant S	uperintendent, Business Services
To the Board of T	rustees: Meeting:	March14, 2019 Regular Board Meeting aria Bauer, Ph.D., Superintendent
To the Board of T Recommend App してい	rustees: Meeting:	March14, 2019 Regular Board Meeting aria Bauer, Ph.D., Superintendent
	rustees: Meeting:  proval  ent Hil	March14, 2019 Regular Board Meeting aria Bauer, Ph.D., Superintendent

### APPROVAL OF FUNDRAISING ACTIVITY

SCHOOL	FUNDRAISING ACTIVITY	ACTIVITY SPONSOR	DATE	
DHO Music	Chocolate Bar Sales	AYA	03/15/19-03/29/19	
Adelante Academy	Chocolate Bar Sales	PTA	04/01/19-04/15/19	
Aptitud Academy	Chocolate Sales	PTA	02/15/19-03/08/19	
Arbuckle Elementary	Book Fair Sales	PTO	04/01/19-04/05/19	
Cureton Elementary	Enrollment Carnaval Sales	ASB	03/18/19-03/18/19	
Linda Vista Elementary	Int'l Dance Concessions' Sales	PTA	06/05/19-06/05/19	
Linda Vista Elementary	Movie Night Sales	PTA	04/12/19-04/12/19	
Linda Vista Elementary	Someone Special Dance Sales	PTA	03/28/19-03/28/19	
LUCHA	Spring Dance Sales	PLG	04/05/19-04/19/19	
LUCHA	Chuck E. Cheese Sales	PLG	04/25/19-04/25/19	
Meyer Elementary	PTA Dear Night Sales	PTA	03/13/19-03/13/19	
Meyer Elementary	Jamba Juice Sales	PTA	05/01/19-05/16/19	
Meyer Elementary	Open House Sales	PTA	04/11/19-04/11/19	
Ryan Elementary	Walk-a-Thon Sales	ASB	04/17/19-04/17/19	
Sheppard Middle	Pi-Day Sales	ASB	03/14/19-03/14/19	



### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

### Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

0.11	V	10
Subject:	Vendor & Payroll Warran	nts
Staff Analysis:	Summary of Vendor and P of Fiscal Year 2018/2019.	ayroll Warrants issued during February month
	Total of vendor warrants	\$ 4,379,721.48
	Total of payroll warrants  Total	\$ 4,818,746.52 \$ 9,198,468.00
Necommendation	month of February 2019.	al of the Vendor & Payroll Warrants for the
	V	
		ing: March 14, 2019
To the Board of Ti	rustees: Meeti	
To the Board of Ti	rustees: Meeti	ing: March 14, 2019
Approved by: Kol To the Board of Ti Recommend App  / ここ Agenda Placem	rustees: Meeti	ing: March 14, 2019
To the Board of Ti Recommend App / こ.C	rustees: Meeti	ing: March 14, 2019 Regular Board Meeting
To the Board of To Recommend App してこの Agenda Placem	rustees: Meeti	ing: March 14, 2019 Regular Board Meeting Hilana Bauer, Ph.D., Superintendent

### February 2019

Policies (	VENDOR WARRANTS	AMOUNT
Fund 03,05,06,08	General Fund (Incl Payroll A/P)	\$2,514,358.57
12	Child Development Fund	\$0.00
13	Cafeteria Fund	\$364,880.01
14	Deferred Maintenance Fund	\$0.00
21	Building Fund	\$10,557.50
25	Capital Facilities Fund	\$0.00
35	County School Facility Fund	\$0.00
40	Special Reserve for Capital Outlay Fund	\$0.00
67	Health and Welfare	\$1,474,426.53
68	Worker's Comp	\$65.71
	Subtotal	\$4,364,288.32
95	Student Body Fund	\$15,433.16
	Total Vendor Warrants	\$4,379,721.48
	PAYROLL WARRANTS	
	10th of the month	\$482,733.89
	EOM Payroll	\$4,328,879.27
	Vacation Pay Out	\$0.00
	Manual Checks	\$7,133.36
	Void Checks	\$0.00
	Total Payroll Warrants	\$4,818,746.52
	Grand Total All Warrants	\$9,198,468.00

AP Warrants used # 145907 - 146322
AP Direct Deposit Stub Used # V60293610 - V60295151
Payroll Checks used # 10120977 - 10121145

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San Jose, CA 95127

12.07

Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

	Trustees:		
Subject:	Enrollment/Attenda (January 21, 2019 ti		
Staff Analysis:	provides information and what percent percentages for the	on how many attended class first seven mon	or the attendance February 15, 2019 students are enrolled at each school . This report captures our ADA ths of the school year. It establishes LCFF) for 2018/2019 school year.
	Month 6		
	February 15, 2019 Regular Ed & SDC A	NDA	95.19%
Recommendation	on: Staff recommends as Report.	cceptance of this	month's Enrollment/Attendance
Submitted by: D	oug Durham Title:	Student Data	Coordinator
Submitted by: _D Approved by: _K To the Board of T	olvira Chheng Title:	Student Data Assistant Sup Meeting:	erintendent, Business Services  March14, 2019
Approved by: Ko	olvira Chheng Title:	Assistant Sup	erintendent, Business Services
Approved by: Ko	Title:	Assistant Sup	erintendent, Business Services  March14, 2019
Approved by: Ko	Title:	Assistant Sup  Meeting:	March14, 2019 Regular Board Meeting  uer, Ph.D., Superintendent
Approved by: Ko	rustees:  Approval Accement	Meeting:  Hilaria Ba	March14, 2019 Regular Board Meeting  uer, Ph.D., Superintendent

## Enrollment/ADA 2018-2019 for Elementary and Middle Schools

i	Mo	Month 1	Month 2	ıth 2	Month 3	th 3	Month 4	h 4	Month 5 12/10	12/10	Month 6	th 6		Ī							
Elementary	8/21	8/21-9/14	9/17-	9/17-10/12	10/15-11/09	11/09	11/12-12/7	12/7	- 1/18	18	1/21 - 2/15	2/15	Month 7	17	Month 8	Mo	Month 9	Month 10	10 ر	Month 11	11
School	Enroll	Enroll ADA	$\overline{}$	Enroll ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll ADA	A Enroll	ADA	Enroll	ADA	Enroll	ADA
Adelante	532	97.04	532	97.45	528	96.75	527	95.15	525	95.99	524	96.49									
Adelante 2	186	97.90	186	97.17	189	96.20	187	94.36	188	94.44	186	95.03									
Aptitud	431	96.19	437	96.66	435	96.02	436	94.66	436	95.43	439	95,10		Ī							
Arbuckle	223	96.40	223	95.48	226	95.05	230	92.71	227	93.46	226	95.16									
Cassell	392	95.89	395	95.07	392	94.33	389	92.80	395	92.53	393	94.81									
Chavez	345	96.54	351	96.02	355	94.90	355	93.92	354	94.45	357	94.81									
Cureton	393	97.46	393	97.21	392	96.05	394	94.53	387	95.21	388	95.57									
Dorsa	375	97.13	376	96.64	371	95.24	366	95.12	363	94.35	360	95.14									
Hubbard	628	96.38	629	95.67	632	94.48	631	93.34	632	93.46	929	94.40						1			
Linda Vista	524	96.21	524	96.66	528	95.13	526	95.47	517	93.51	516	94.73									
Lucha	297	96.01	296	96.29	293	96.20	292	95.26	291	95.87	293	95.36						1			K
Lyndale	340	96.75	343	96.48	346	95.87	346	94.76	343	95.13	344	95.26									
McCollam	520	96.50	523	96.62	525	96.42	533	95.09	525	95.65	525	94.51									
Meyer	372	96.57	376	96.76	380	95.81	375	95.02	377	93.36	377	94.17									
Painter	347	97.52	346	96.62	345	96.08	342	94.40	341	95.17	338	94.57				1					17
Russo/McEntee	455	96.97	456	96.26	457	95.94	454	94.47	453	95.65	453	95.68									
Ryan	323	96.28	323	96.67	320	95.88	321	94.81	324	92.06	326	94.88		Ī							
San Antonio	349	96.62	349	95.81	347	95.27	348	94.12	351	94.87	360	94.55									

	Mo	Month 1	Mon	Month 2	Mor	Month 3	Month 4		Month 5 12/10	12/10	Month 6	9 H										
Middle	8/21	8/21-9/14	9/17-	9/17-10/12	10/15	10/15-11/09	11/12-12/7	12/7	- 1/18	18	1/21 - 2/15	2/15	Month 7	th 7	Month 8	h 8	Month 9	6 H	Month 10	10	Month 11	11
School	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA Enroll ADA	Enroll	ADA	Enroll	ADA	Enroll ADA	ADA	Enroll	ADA Enroll	Enroll	ADA	Enroll	ADA
Fischer	285	97.04	290	96.27	295	96.17	298	93.81	302	94.33	306	94.73					IT					
George	517	96.66	521	97.37	527	95.96	527	95.02	529	95.02	525	95.87				Ĭ						
Mathson	292	95.84	296	95.02	300	94.94	302	92.35	296	93.56	297	93.47										
Ocala	450	96.65	451	96.45	449	95.83	449	95.26	451	94.19	455	95.65										
Renaissance	310	97.73	307	98.29	305	97.91	306	96.50	303	97.37	303	97.52										
Ren 2	279	97.59	278	97.66	281	96.73	281	96.82	279	96.75	279	96.51										
Sheppard	009	97.47	602	96.82	603	96.78	604	95.55	601	96.93	669	95.71										
Total	9765	9765 96.77	9803	96.55	9821	95.80	9819	94 66 9790 94 89 9805 95 19	9790	94 89	OROF	95.19	c		c		c		C		C	

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

12.08

Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: March 7, 2019

Subject:

Certification of Correction for the 2017-2018 Audit Report

**Staff Analysis:** Assembly Bill (AB) 3627, Chapter 1002/94 requires the County Office of Education to review the District's independent audit report for audit exceptions, and to determine that the District has either corrected the exceptions or has an acceptable plan of corrections (see attached letter from SCCOE dated January 15, 2019) for the 2017-18. Important to note is that the deadline for 2016-17 audit was extended from December 15, 2017 to March 31, 2018, thus essentially covering almost two fiscal years. As such, many findings noted in the 2017-18 audit report are carryovers from the prior year audit and have already been corrected. Nonetheless, below are findings for fiscal year 2017-18 and the District's responses and corrective action plans for each finding.

### Current Year - Finding Number 2018-001 - MATERIAL WEEKNESS - FINANCIAL REPORT

The District has outsourced both bond program management and construction management services
to a third party vendor. As part of that contract, the vendor is to provide documentation to assist with
the District's financial reporting and internal control procedures. We noted a deficiency in internal
controls related to lack of documentation provided by that third party.

### District's Response

Consistent with the response, actions and timelines approved by the Board at the July 27, 2017 special board meeting, the District sent its third party vendor, Del Terra, six (6) separate letters on August 4, 2017, requesting deliverables, documents and records in relation to the various agreements between Del Terra and the District. On May 29, 2018 the Governing Board voted to terminate the program management services for both Measure J and I. On December 13, 2018, the Governing Board voted to terminate construction management services for Measure J and I.

The District's third party vendor for program management and construction management services has
incurred time and costs under the terms of the contract which have not been paid at the direction of
District's County Office of Education. There are remaining invoiced expenses which have not been
accrued as a payable at June 30, 2018.

### District's Response

The District does not agree with this finding as per the District's records. All invoices were accrued prior to closing its books for fiscal year 2017-18. The District is working with its auditors to clear this finding.

3. The District's evidence in support of internal controls related to pay rate changes and the reconciliation between Payroll Prelist and the Final Payroll Register identified that these control activities were not consistently completed on a timely basis. Further, evidence supporting approval by a supervisor for employee absences and timecards were not consistently documented with the respective with the respective District forms.

### District's Response

District has implemented internal control procedures to document paper trails of communication between payroll, human resources and accounting departments, whereby any actions taken are confirmed via e-mail communication amongst appropriate staff. The District has provided training to departments and school sites on the procedures to submit an absence report using the appropriate forms with the supervisor's signature.

4. Unearned revenue was recorded for the Emergency Repair Program, a state program to cover costs of repairing or replacing existing building systems or structural components. These amounts were received in previous fiscal years, however the District received conflicting information as to whether these should be reimbursements of prior year costs or grants toward future projects. During the year ended June 30, 2018, District management concluded that the amount should be recorded as

reimbursement and the funds are therefore eligible for unrestricted resources. However, management did not record the adjusting entries to recognize the revenue, or the transfer of funds from the Special Reserve for Capital Outlay Projects Fund to the General Fund. Adjusting entries have been recorded to correctly report the disposition of these funds as unrestricted revenue in the General Fund.

### District's Response

Recommendation:

As noted above, entries have been recorded to correctly report the disposition of these unrestricted revenue in the General Fund, thus no further action is needed.

### Prior Year Finding Partially Implemented- 2007-001 MATERIAL WEAKNESS - FINANCIAL REPORTING (3000)

Below are the District's responses and corrective actions plans for the fiscal year 2016-17 audit findings which were noted in the attached SCCOE's letter dated January 15, 2019 as partially implemented:

- District has trained designated staff to perform monthly reconciliation of cash and developed written
  procedures for cash reconciliation. All of prior period reconciling items have been cleared and the
  District is current with its monthly cash reconciliation. Additional staff in the accounting department
  has been cross-trained to ensure coverage. On a monthly basis since January 2019, staff has
  presented the cash reconciliation report to the Board at its regular monthly meetings and will continue
  to do so
- District has assigned and trained staff to work with the Fiscal Expert Team and consultant to perform reconciliation of payable accounts.
- 3. District has assigned and trained staff to work with the Fiscal Expert Team and consultant to perform reconciliation of receivable accounts.
- 4. Entries have been recorded, thus no further action is needed.
- District has implemented internal control procedures to document paper trails of communication between payroll, human resources and accounting departments, whereby any actions taken are confirmed via e-mail communication amongst appropriate staff.
- 6. Consistent with the response, actions and timelines approved by the Board at the July 27, 2017 special board meeting, the District sent its third party vendor, Del Terra, six (6) separate letters on August 4, 2017, requesting deliverables, documents and records in relation to the various agreements between Del Terra and the District. On May 29, 2018 the Governing Board voted to terminate the program management services for both Measure J and I. On December 13, 2018, the Governing Board voted to terminate construction management services for Measure J and I.

Staff recommends the Board of Trustees approve the above responses and

- District has implemented internal control procedures that require a three tiered signatures before invoices for capital asset additions are processed for payments.
- 8. Entries have been recorded, thus no further action is needed.

corrective action plans.

Approved by:	Kolvira Chheng	K	Title:	Assistant Superintendent, Business Services
To the Board o				Meeting: March 14, 2019 Regular Board Meeting
Agenda Place	2.08			Hilaria Bauer, Ph.D., Superintendent

	DISPOSITION BY	BOARD OF TRUSTEES
Motion by:		Seconded by:
Approved:	Not Approved:	Tabled:



January 15, 2019

Kolvira Chheng, Assistant Superintendent of Business Services Alum Rock Union Elementary School District 2930 Gay Avenue San Jose, CA 95127

Dear Mr. Chheng,

Thank you for submitting the annual Financial Audit report in accordance with Education Code Sections 41020(i) and (j), which we received on December 18, 2018. We have completed our required review of the audit, including any audit exceptions, to determine whether each audit exception has been corrected or the district has developed an acceptable plan of correction.

Based our review of Alum Rock Union Elementary School District's 2017-18 audit report, we have identified one (1) finding and one (1) prior year finding, which require corrective action plans. Please provide clear and concise responses with the attached Audit Finding forms.

Please have your Board review our request for action with your response and have your Superintendent or Board designee sign the Certification of Correction. Please make copies for your file and return the original signed certification with supporting documentation to our office by March 15, 2019. [Education Code Section 41020 (j)(2)].

In addition, pursuant to Education Code Section 41020.3, by **January 31** of each year, the governing body of each local education agency shall review, at a public meeting, the annual audit of the LEA for the prior year, any audit exceptions identified in that audit, the recommendations or findings of any management letter issued by the auditor and any description of correction or plans to correct any exceptions or management letter issue. This review shall be placed on the agenda of the meeting pursuant to Education Code Section 35145.

If you need additional information about the audit exception, please contact your auditor. If you need technical assistance to resolve the exception, please contact me at (408) 453-6593.

Thank you for your continued cooperation.

l. Red Ordele

Sincerely,

Ann Redd-Oyedele

District Business Advisor - Senior, District Business Services

cc: Efrain Robles, Director of Fiscal Services, Alum Rock Union Elementary School District

Judy Lee Kershaw, Director, District Business & Advisory Services, SCCOE

### Certification of Correction 2017-18 Audit Finding 2018-001

Finding Number: 2018-001 Code: 30000 - MATERIAL WEAKNESS - FINANCIAL REPORTING page: 72-73

### Criteria

Management of the District is responsible for establishing and maintaining effective internal control over financial reporting, including the financial statements and the Schedule of Expenditures of Federal Awards. The District is required to have proper internal controls in place, specifically in regards to review and approval of accounts.

### Condition

The following are areas where noted as deficiencies in internal control which collectively were determined to be a material weakness.

- The District has outsourced both bond program management and construction management services to a
  third party vendor. As part of that contract, the vendor is to provide documentation to assist with the
  District's financial reporting and internal control procedures. We noted a deficiency in internal controls
  related to a lack of documentation provided by that third party.
- The District's third party vendor for program management and construction management services has
  incurred time and costs under the terms of the contract which have not paid at the direction of the District's
  County Office of Education. There are remaining invoiced expenses which have not been accrued as a
  payable at June 30, 2018.
- The District's evidence in support of internal controls related to pay rate changes and the reconciliation between the Payroll Prelist and the Final Payroll Register identified that these control activities were not consistently completed on a timely basis. Further, evidence supporting approval by a supervisor for employee absences and timecards were not consistently documented with the respective District forms.
- Unearned revenue was recorded for the Emergency Repair Program, a State program to cover costs of repairing or replacing existing building systems or structural components. These amounts were received in previous fiscal years, however the District received conflicting information as to whether these should be reimbursements of prior costs or grants toward future projects. During the year ended June 30, 2018, District management concluded that the amounts should be recorded as reimbursements and the funds are therefore eligible for unrestricted resources. However, management did not record the adjusting entries to recognize the revenue, or the transfer of funds from the Special Reserve for Capital Outlay Projects Fund to the General Fund. Adjusting entries have been recorded to correctly report the disposition of these funds as unrestricted revenue in the General Fund.

January		
Page 3 c	11 3	

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Rei	con	nm	ρn	d	at	ion

We recommend that the District implement necessary internal controls to ensure the accuracy of financial reporting.

### District Business and Advisory Services Request:

Please provide internal control procedures and training schedules adopted by the District's administration addressing each condition and planned corrective action. Please provide us with your response by March 15, 2019.

The Board of Alum Rock Union Elementary School District certifies that all corrective action(s) specified has/have been taken or that the plan(s) of correction will be followed.

Signature:		Date:	
	District Superintendent/Board Designee		
Contact Per	rson:	Phone:	

### Certification of Correction 2016-17 Prior Year Audit Finding 2017-001

Finding Number: 2017-001 - Prior Year Audit Finding Partially Implemented, Pages 76-77

### Condition

While conducting our audit procedures it was determined that several adjustments were required due to accounts not being reconciled as of June 30, 2017. The following are areas where we noted deficiencies in internal control that collectively were determined to be a material weakness.

- Cash in County Treasury reconciliations were not accurately performed as of June 30, 2017. It was
  determined that several significant adjustments were required to correct the reported Cash in County Treasury
  balances as of June 30, 2017. Adjustments were made to recognize an interfund loan to the Self-Insurance
  Fund, reduce cash for suspense accounts, record parcel tax revenue and other miscellaneous items.
- Payables in the Audited Financial Statements are the accumulation of 20 sub accounts (object codes 9500 9585). Reconciliations for these accounts were not performed as of June 30, 2017 and it was determined that several significant adjustments were required to correct the reported balances as of June 30, 2017. Adjustments were made to record deferred pay and adjust the CalPERS and CalSTRS suspense accounts.
- Receivable balances reported in the Unaudited Actuals provided for the audit included amounts that were
  outstanding for prior year and that needed to be removed from the general ledger. An adjustment was made
  to remove the overstatement of receivables.
- The District issued a refunding bond during the year ended June 30, 2017 in the amount of \$8,940,000. The
  required journal entries for the refunding were not included in the Unaudited Actuals provided for the audit.
  An adjustment was made to record the refunding bond in the fund financial statements.
- The District does not have any evidence to support that the internal controls related to pay rate changes and
  the reconciliation between the Payroll Prelist and the Final Payroll Register had taken place. Because of the
  lack of evidence, we were unable to determine appropriate controls were in place and operating to ensure
  that only authorized transactions had taken place.
- The District has outsourced bond program management and construction management services to a third
  party vendor. As part of that contract the vendor is to provide documentation to assist with the District's
  financial reporting and internal control procedures. We noted a deficiency in internal controls related to a
  lack of documentation provided by that third party.
- The approval of the business office designee was missing for 20 out of 150 invoices tested as capital asset additions during the year ended June 30, 2017.
- Internal controls related to the payment of pension benefits were deficient and the District made an overpayment to CalPERS which resulted in a credit of \$ 782,000 as of June 30, 2017.

January	15,	2019
Page 5 c	of 5	

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We recommend that the District implement necessary internal controls to ensure the accuracy of financial reporting.

### District Business and Advisory Services Request:

Please provide internal control procedures and training schedules adopted by the District's administration addressing each condition and planned corrective action. Please provide us with your response by March 15, 2019.

The Board of Alum Rock Union Elementary School District certifies that	all corrective action(s) specified
has/have been taken or that the plan(s) of correction will be followed.	

Signature: _	District Superintendent/Board Designee	Date:	
Contact Per	rson:	Phone:	

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San Jose, CA 95127

12.09

Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of T	rustees:	Date: March 4, 2019
Subject:	Santa Clara County Treas	sury Investment Portfolio Status
Staff Analysis:	by Chapters 783 and 784, policy, the Santa Clara Co	te of California Government Code as amended Statues of 1995 and in compliance with county- unty Treasury Investment Portfolio Report as of bmitted for the Board of Trustee's review and
Recommendatio	n: Staff recommends that Investment Portfolio Repor	the Board of Trustees approve the SCC tas of December 31, 2018.
Approved by:	Kolvira Chheng Title: As	ssistant Superintendent, Business Services
To the Board of T  Recommend App	proval	Regular Board Meeting
Agenda Placem	ent	Hilaria Bauer, Ph.D., Superintendent
	DISPOSITION BY BO	OARD OF TRUSTEES
Motion by:	DISPOSITION BY BO	

### County of Santa Clara

Finance Agency Controller-Treasurer Department

County Government Center 70 W. Hedding Street, East Wing, 2nd Floor San Jose, California 95110-1705 (408) 299-5200 FAX (408) 288-9237



March 4, 2019

Submitted by:

Alan Minato, Director of Finance

TO:

BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS

BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM:

ALAN MINATO, DIRECTOR OF FINANCE

SUBJECT:

COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

### RECOMMENDATION

Receive and file the December 31, 2018 Detailed Investment Portfolio Listing.

### DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of December 31, 2018 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

Cost Market Value (Decrease) Percent

Commingled Investments \$8,198,763,772 \$8,162,704,925 (\$36,058,846) (0.44%)

Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Susan Ellenberg, Joe Simitian County Executive: Jeffrey Smith

### TO: SANTA CLARA COUNTY SCHOOL DISTRICTS AND SPECIAL PURPOSE DISTRICT Page 2

The yield of the pool on December 31, 2018 was 2.13%. As a comparison, on December 31, 2018 the yield of a 6-month Treasury Bill was 2.48%. A two-year Treasury Note was 2.49%. The State of California Local Agency Investment Fund (LAIF) yield was 2.29%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

Attachments:

December 31 2018 Quarterly Investment Summary



# Quarterly Investment Report



## Quarterly Investment Review Table of Contents

### Quarterly Investment Report Table of Contents

Summary of Cost Values versus Market Values and Yields	
Portfolio Strategy, Compliance, Review and Monitoring	
Commingled Pool: Allocation by Security Types	
Commingled Pool: Allocation by Ratings	
Commingled Pool: Holdings by Issuer	
Commingled Pool: Historical Month End Book Values	
Commingled Pool: Distribution by Maturity	
Commingled Pool: Yield to Maturity and Weighted Average Maturity	
Approved Issuers and Broker/Dealers	
Commingled Pool: Compliance with Investment Policy	
Holdings Report: Commingled Pool	
Holdings Report: Worker's Compensation	
Holdings Report: Park Charter Fund	
Holdings Report: San Jose-Evergreen	
Transaction Activity Report	

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Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Ken Yeager, S. Joseph Simitian

County Executive: Jeffrey V. Smith





### December 31, 2018

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	\$8,198,763,772	\$8,162,704,925	-\$36,058,846	-0.44%
Worker's Compensation	\$28,535,469	\$28,532,495	-\$2,974	-0.01%
Park Charter Fund	\$4,203,582	\$4,190,481	-\$13,101	-0.31%
San Jose-Evergreen	\$17,024,332	\$16,978,735	-\$45,597	-0.27%
Medical Malpractice Insurance Fund (1)	\$9,294,751	\$9,199,477	-\$95,274	-1.03%
Total	\$8,257,821,905	\$8,221,606,114	-\$36,215,791	-0.44%

(1) Managed by Chandler Asset Management, Inc.

# Summary of Yields\* for Select Santa Clara County Investment Funds

Fund		2018		2017
	Oct 31	Nov 30	Dec 31	Dec 31
Commingled Investment Pool	1.93%	2.03%	2.13%	1.43%
Worker's Compensation	2.07%	2.10%	2.34%	1.49%
Weighted Yield	1.93%	2.03%	2.13%	1.43%

paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price \*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

<sup>\*\*</sup>Cost Value is the amortized book value of the securities as of the date of this report.

# Santa Clara County Commingled Pool and Segregated Investments



### Portfolio Strategy

December 31, 2018

percent during January 2019. Matching the past year's strength in employment growth may be difficult. Businesses continue to cite a shortage of qualified The U.S. economy as measured by gross domestic product (GDP) grew by 3.2 percent in 2018 bolstered by low unemployment rate, the lowest in 48 years, and ongoing strength in household spending which accounts for about 70 percent of the domestic economy. Most recently, GDP expanded by 2.9 percent workers as a restraint on hiring. Even if job growth should decelerate, consumer spending continues to be as a growth driver benefiting from increases in unemployment rate in December 2018 was 3,8 percent and, even with the impact of the government shutdown, the jobless rate further declined to 3.7 wage income, support from corporate profitability and access to credit, Furthermore, economists expect mounting labor cost pressures will motivate in the quarter ended December 31, 2018. The current economic expansion is relatively long and in its tenth year. Job gains remain robust. The businesses to increase capital spending to adopt and acquire productivity-enhancing practices.

encountered significant headwinds. Equity markets returned a negative 20 percent in 2018. The U.S. imposed tariffs worth \$250 billion on Chinese exports and borrowing. Similarly, Europe experienced faltering growth in 2018. The German economy nearly entered a recession while Italy's political crisis and the Unlike the domestic U.S. economy, there are concerning signs of a synchronized global economic slow-down emanating from China and Europe. China has sold in the United States. Most critically, China has been absorbing the negative consequences of deleveraging its economy to contain an overuse of credit protracted difficulties related to Britain removing itself from European Union took their toll.

costs four times in 2018 and nine times since the Fed's initial rate hike in December 2015. The Fed, in crafting monetary policy, attempts to strike a delicate policy makers. Most frequently cited factors include globalization, foreign competition, automation and technology, outsourcing, on-line retailing, erosion balance between containment of inflation, which is accomplished by restraining the economy from over-heating, and stimulating economic growth. With Nevertheless, the expected pass-through from low unemployment to rising wages has not materialized, all of which should have been inflationary even with policy makers hiking interest rates. Numerous factors including structural pressures, which continue to suppress wages, are unexpectedly assisting The Federal Reserve Bank ("Fed") policy makers in December 2018 increased their benchmark interest rate by a quarter of a percentage point with the intent that the rate will fluctuate between 2.25 and 2.5 percent. This decision had been widely anticipated. So far, policy makers have lifted borrowing U.S. unemployment at its lowest level since 1969, the latest data show the effects of a tight labor market have begun to translate into higher wages. of low-skill workers' bargaining power, industry concentration, and hidden slack in the labor markets.

# Santa Clara County Commingled Pool and Segregated Investments



### Portfolio Strategy

December 31, 2018

Core inflation, which excludes food and energy, rose 0.2 percent in January 2019 from the prior month, an increase that has been the same each month for percent gain in December, suggests that the Fed's preferred measure of gauging inflation based on consumption continues to remain below the 2 percent the fifth straight time, indicating inflation is steady. The 2.1 percent gain in January measured over the prior twelve trailing months following the 2.2 inflation goal set by policy makers.

The portfolio strategy continues to focus on the:

- (1) acquisition of high-quality issuers;
- (2) identifying and selecting bonds with attractive valuations;
- (3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
- (4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curbing rules crafted after the 2008 financial crisis. These risk curbing rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. The Treasury Division has increased its capability to review a larger volume of inventory listings to find attractive bonds. Portfolio structuring does not solely rely on interest rate anticipation strategies, which primarily speculate on the direction of interest rates to earn favorable returns.



# Santa Clara County Commingled Pool and Segregated Investments

## Portfolio Compliance, Review, and Monitoring

December 31, 2018

## Yield and Weighted Average Maturity

The yield of the Commingled Pool is 2.13 and the weighted average life is 406 days.

### Compliance

The County Treasurer believes the Commingled Pool contains sufficent cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

### Review and Monitoring

FTN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

### Additional Information

Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations. The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an

independent pricing service.

## Allocation by Security Types

Sector	12/31/2018	9/30/2018	% Chng
Federal Agencies	49.86%	57.04%	-7.2%
Corporate Bonds	%60.9	7.98%	-1.9%
Mortgage Backed Securities	1.89%	1.83%	0.1%
Commercial Paper	7.60%	3.81%	3.8%
ABS	5.44%	6.63%	-1.2%
ABS Green Bonds	0.01%	0.03%	%0.0
Municipal Securities	0.39%	0.52%	-0.1%
J.S. Treasuries	3.40%	1.87%	1.5%
Negotiable CDs	11.10%	4.57%	6.5%
AIF	0.50%	%29.0	-0.2%
Money Market Funds	10.79%	10.48%	0.3%
Supranationals	2.08%	3.43%	-1.4%
Supranationals Green Bonds	0.85%	1.14%	-0.3%
Total	100.00%	100.00%	

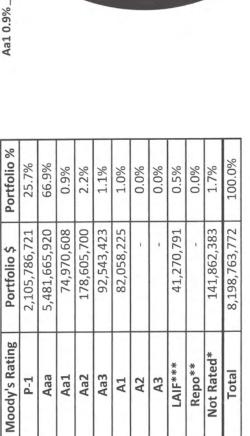
Supra 2.1% Supra Green 0.9%	Agy 49.9%
CDS 11.1% LAIF 0.5%	
Muni 0.4%	Corp 6.1%
ABS Green 0.0% ABS 5.4%	CP 7.6%
0 2 0 2 0 2 0 2	% 445

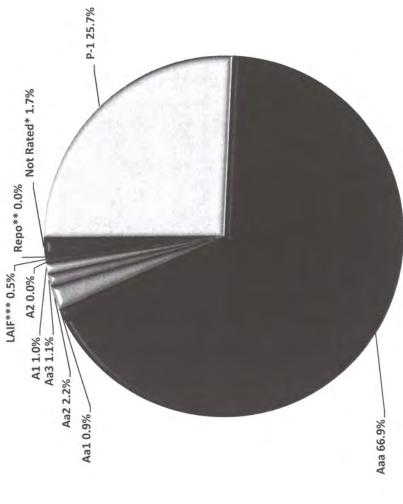
Sector	12/31/2018	9/30/2018
Federal Agencies	4,087,750,692	3,494,862,445
Corporate Bonds	498,895,940	488,697,843
Mortgage Backed Securities	155,243,940	112,012,904
Commercial Paper	623,225,009	233,227,345
ABS	445,793,370	406,502,129
ABS Green Bonds	1,035,737	1,627,580
Municipal Securities	32,001,276	32,005,371
U.S. Treasuries	279,065,937	114,375,248
Negotiable CDs	910,000,000	280,000,000
LAIF	41,270,791	41,047,764
Money Market Funds	884,353,094	642,369,779
Supranational	170,127,986	210,134,135
Supranationals Green Bonds	70,000,000	70,000,000
Total	8,198,763,772	6,126,862,543

Amounts are based on book value

### Allocation by Ratings

December 31, 2018





Amounts are based on book values

<sup>\*</sup>Not Rated by Moody's but A-1+ by S&P

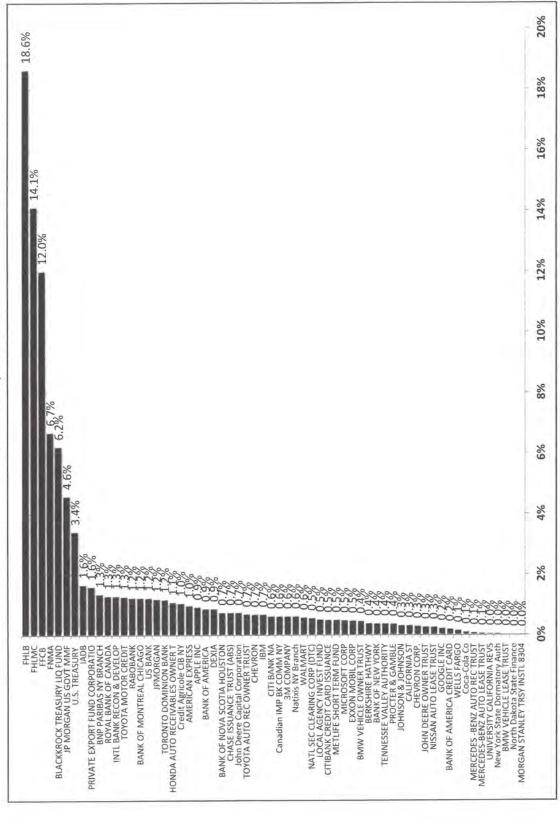
<sup>\*\*</sup>Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

<sup>\*\*\*</sup>LAIF is not rated, but is comprised of State Code allowable securities



### December 31, 2018

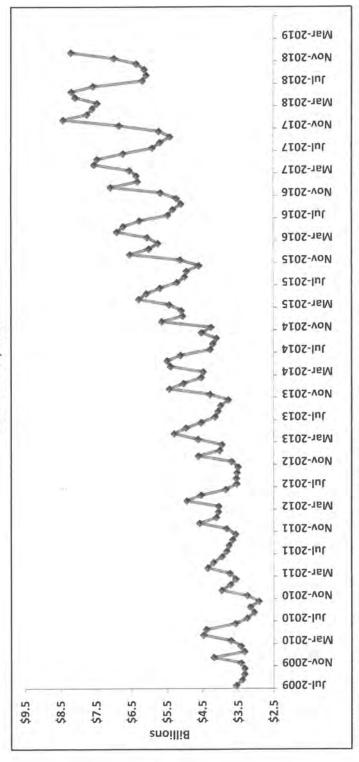
Holdings by Issuer - Percent of Commingled Pool



Amounts are based on book values



## **Historical Month End Book Values**



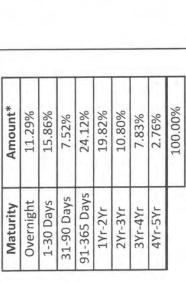
Fiscal Year	Inf	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	\$3.541	\$3.373	\$3.307	\$3.307	\$3.408	\$4.175	\$3.307	\$3.408	\$3.687	\$4.463	\$4.384	\$3.536
FY 2011	\$3.230	\$3.032	\$3.143	\$2.898	\$3.227	\$3.943	\$3.695	\$3,551	\$3.712	\$4.339	\$4.179	\$3.935
FY 2012	\$3.801	\$3.736	\$3.637	\$3.555	\$3.805	\$4.567	\$4.097	\$4.040	\$4.032	\$4.926	\$4.525	\$3.833
FY 2013	\$3.508	\$3.517	\$3.515	\$3.469	\$3.645	\$4.600	\$3.918	\$3.982	\$4.606	\$5.286	\$4.952	\$4.521
FY 2014	\$4.133	\$4.052	\$3.975	\$3.758	\$4.271	\$5.419	\$5.019	\$4.520	\$4.461	\$5.386	\$5.487	\$5.108
FY 2015	\$4.267	\$4.194	\$4.096	\$4.051	\$4.247	\$5.639	\$5.045	\$5.085	\$5.420	\$6.284	\$6.065	\$5.690
FY 2016	\$5.212	\$4.990	\$4.941	\$4.587	\$5.120	\$6.543	\$5.997	\$5.752	\$6.040	\$6.911	\$6.728	\$6.263
FY 2017	\$5.469	\$5.328	\$5.088	\$5.220	\$5.671	\$7.082	\$6.319	\$6.348	\$6.550	\$7.556	\$7.469	\$6.730
FY 2018	\$5.898	\$5.689	\$5.408	\$5.720	\$6.850	\$8.427	\$7.754	\$7.608	\$7.472	\$8.079	\$8.192	\$7.584
FY 2019	\$6.180	\$6.068	\$6.127	\$6.350	\$6.987	\$8.199						
Amounts in billions	ions											



### Distribution by Maturity

Maturity	Amount*
Overnight	925,623,885
1-30 Days	1,300,478,392
31-90 Days	616,676,842
91-365 Days	1,977,231,553
1Yr-2Yr	1,624,689,530
2Yr-3Yr	885,528,989
3Yr-4Yr	641,901,671
4Yr-5Yr	226,632,910
	8 198 763 777

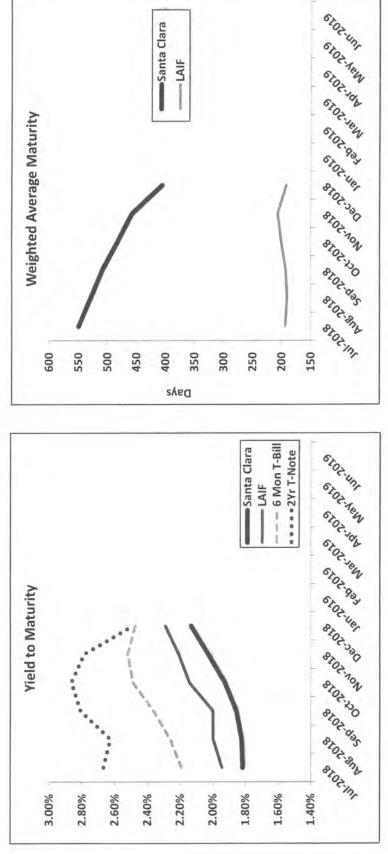
\$2.500.000.000	Distribution By Maturity Dollars			
\$2,000,000,000				
\$1,500,000,000				
\$1,000,000,000		1		
\$500,000,000\$				
\$0				
	Overnight 1-30 Days 31-90 Days 91-365 Days 1Yr-2Yr 2Yr	r-3Vr	2Vr-3Vr 3Vr-4Vr	4Vr-5Vr







## Vield to Maturity and Weighted Average Maturity



Item	Jul-18	Aug-18	Sep-18	Oct-18	Aug-18 Sep-18 Oct-18 Nov-18 Dec-18 Jan-19	Dec-18	Jan-19	Feb-19	Feb-19 Mar-19	Apr-19	Apr-19   May-19   Jun-19	Jun-19
SCC YTM	1.82%	1.82%	1.86%	1.93%	2.03%	2.13%						
LAIF YTM	1.94%	2.00%	2.00%	2.14%	2.21%	2.29%						
6 Mon T-Bill	2.19%	2.26%	2.36%	2.49%	2.52%	2.48%						
2Yr T-Note	2.67%	2.63%	2.81%	2.87%	2.79%	2.49%						
SCC WAM	550	529	509	483	458	406						
LAIF WAM	194	191	193	201	207	192						



### Santa Clara County

## Approved Issuers and Broker/Dealers

### December 31, 2018

## Direct Commercial Paper Issuers

Toyota Motor Credit US Bank, NA Dexia Credit Local, NY

### **Broker/Dealers**

Academy Securities, Inc
Bank of America Merrill Lynch
Barclays Capital, Inc
BMO Capital Markets
BNP Paribas Securities Corp
BNY Mellon Capital Markets, LLC
BOK Financial Securities (Bank of Oklahoma)
Brean Capital LLC
Cantor Fitzgerald & Co
Citigroup Global Markets Inc
Daiwa Capital Markets America Inc
Deutsche Bank Securities Inc
FTN Financial Capital Markets

Keybanc Capital Markets, Inc

JP Morgan Securities, Inc

Jefferies & Co

Loop Capital Markets LLC Mizuho Securities USA, Inc

MUFG Securities USA LLC

Raymond James, Inc.

RBC Capital Markets, Inc UBS Financial Serviec Inc

Vining Sparks LP Williams Capital

### Santa Clara County Commingled Pool Compliance with Investment Policy December 31, 2018



Itom/Costor	December 31, 2018	la Compliance
item/sector	rarameters	in compilarice
Maturity	Weighted Average Maturity (WAM) must be less than 24 months	Yes
Interest Periods	Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Yes
Investment Swaps	Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	Yes
Issuer Limits	No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	Yes
U.S. Treasuries	No sector limit, no issuer limit, max maturity 5 years	Yes
U.S. Federal Agencies	No sector limit, no issuer limit, max maturity 5 years	Yes
LAIF	No sector limit, no issuer limit, CA State's deposit limit \$65 million	Yes
Repurchase Agreements	No sector limit, no Issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Yes
Commercial Paper	Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least \$500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Corporate Bonds	Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Yes
Money Market Funds	Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least \$500 mil managed	Yes
Negotiable Certificates of Deposit	Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Municipal Securities	Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Yes
Mortgage-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgages guaranteed by FHA (GNMA)	Yes
Asset-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)	Yes
Supranational Debt Obligations	Sector limit 10%, max maturity 5 years, issued or unconditionally gauranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Yes
Bankers' Acceptances	Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks, collateral must exceed market value of security by 2%	Yes, None in Portfolio
Securities Lending	Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days.	Yes, None in Portfolio



# Santa Clara County Commingled Pool

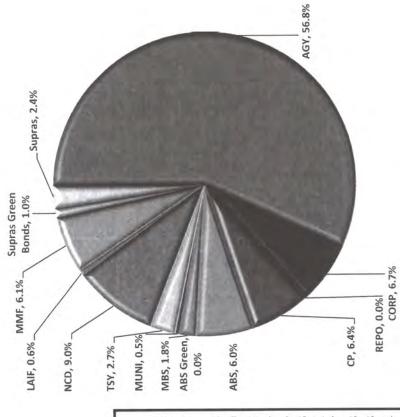
### Allocation by Security Types

For the Month Ended November 30, 2018

Average Daily Balance \$ 6,549,656,803.35

Book Yield
Weighted Average Maturity 458 Days

### Asset Allocation By Market Value



	Par Value	Book Value*	Value
Investment Type	(Millions)	(Millions)	(Millions)
Federal Agencies	\$ 3,983.54	\$ 3,980.05	\$ 3,934.84
Corporate Bonds	469.83	468.83	464.65
Repurchase Agreements	1	1	ı
Commercial Paper	445.00	442.67	442.58
Asset-Backed Securities	420.21	420.13	417.20
Asset-Backed Sec Green Bds	1.22	1.22	1.22
Mortgage Backed Securities	127.54	127.90	126.24
Municipal Securities	31.97	32.00	31.23
U.S. Treasuries	185.00	184.29	183.88
Negotiable CDs	625.00	625.00	624.91
LAIF	41.27	41.27	41.27
Money Market Funds	423.53	423.53	423.53
Supranationals Green Bonds	70.00	70.00	69.63
Supranationals	170.00	170.13	168.71
Total	\$ 6,994.11	\$ 6,987.03	\$ 6,930.17

<sup>\*</sup>Represents Amortized Book Value



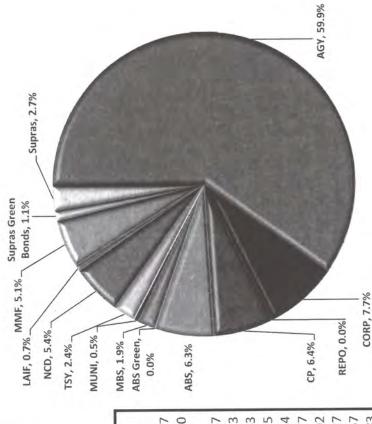
# Santa Clara County Commingled Pool

### Allocation by Security Types

For the Month Ended October 31, 2018

Average Daily Balance \$ 6,163,420,898.62 Book Yield Weighted Average Maturity 483 Days

### Asset Allocation By Market Value



	Par Value	Book Value*	Value
Investment Type	(Millions)	(Millions)	(Millions)
Federal Agencies	\$ 3,817.51	\$ 3,814.25	\$ 3,762.87
Corporate Bonds	489.83	488.76	484.20
Repurchase Agreements	í	1	ſ
Commercial Paper	405.00	402.59	402.47
Asset-Backed Securities	398.17	398.10	394.83
Asset-Backed Sec Green Bds	1.43	1,43	1.43
Mortgage Backed Securities	119.87	120.24	118.25
Municipal Securities	31.97	32.00	31.24
U.S. Treasuries	150.00	149.26	148.77
Negotiable CDs	340.00	340.00	339.92
LAIF	41.27	41.27	41.27
Money Market Funds	321.57	321.57	321.57
Supranationals Green Bonds	70.00	70.00	69.83
Supranationals	170.00	170.13	168.43
Total	\$ 6,356.63	\$ 6,349.60	\$ 6,285.08

<sup>\*</sup>Represents Amortized Book Value

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SANTA CLARA COUNTY INVESTMENTS
Fund COMM - COMMINGLED POOL
Investments by Fund
December 31, 2018

CUSIP	Investment #	Issuer	Purchase Date	Remaining Cost	Par Value	Market Value	Current Rate	YTW 360	YTM 365	Maturity Days To Date Maturity	s To urity
Negotiable CDs											
06052TZT0	38564	BANK OF AMERICA	04/05/2018	50,000,000,00	20,000,000,00	49,999,650,00	2.490	2.490	2.524	01/04/2019	67
06370RNQ8	38957	BANK OF MONTREAL CHICAGO	11/07/2018	50,000,000,00	50,000,000.00	49,999,850.00	2.880	2.880	2.920	07/31/2019	211
06370RRU5	39081	BANK OF MONTREAL CHICAGO	12/18/2018	50,000,000.00	50,000,000,00	50,004,800.00	2.880	2.880	2.920	07/15/2019	195
34959TMZ6	38456	BNP PARIBAS NY BRANCH	02/15/2018	60,000,000,00	60,000,000,00	59,985,240.00	2.290	2.290	2.321	02/01/2019	31
05586FGN1	38949	BNP PARIBAS NY BRANCH	11/05/2018	50,000,000.00	50,000,000,00	50,000,200.00	2.930	2.930	2.970	08/05/2019	216
06417G3L0	39046	BANK OF NOVA SCOTIA HOUSTON	12/10/2018	60,000,000,00	60,000,000.00	59,988,840.00	2.880	2.880	2.920	07/08/2019	188
22534H3T6	38562	Credit Agricole CIB NY	04/05/2018	45,000,000.00	45,000,000.00	44,997,660.00	2.510	2.475	2.510	01/31/2019	30
22535CAQ4	39008	Credit Agricole CIB NY	11/27/2018	40,000,000.00	40,000,000.00	40,005,080.00	2,980	2.980	3.021	08/26/2019	237
13606BK54	39015	Canadian IMP BK COMM NY	11/28/2018	50,000,000.00	50,000,000,00	50,022,700.00	2.970	2.970	3.011	08/26/2019	237
17305TC83	39054	CITI BANK NA	12/11/2018	50,000,000.00	00'000'000'09	50,006,450.00	2.920	2.920	2.960	06/10/2019	160
25215FDD3	38688	DEXIA	05/04/2018	40,000,000.00	40,000,000,00	39,998,360.00	2.490	2,455	2.490	01/28/2019	27
25215FEK6	39061	DEXIA	12/12/2018	30,000,000.00	30,000,000,00	30,000,390.00	2.750	2.750	2.788	06/07/2019	157
21685V7C7	39087	RABOBANK	12/18/2018	50,000,000,00	50,000,000.00	49,996,450,00	2.850	2.850	2,889	07/19/2019	199
21685V6U8	38984	RABOBANK	11/20/2018	50,000,000,00	50,000,000,00	49,994,700.00	2.850	2,850	2,889	07/19/2019	199
78012UDV4	38751	ROYAL BANK OF CANADA	05/23/2018	15,000,000.00	15,000,000.00	14,988,365,10	2.660	2,660	2,696	05/24/2019	143
78012UGJ8	38848	ROYAL BANK OF CANADA	08/29/2018	20,000,000.00	20,000,000.00	19,970,863,20	2.630	2.630	2.666	07/05/2019	185
78012UJP1	38908	ROYAL BANK OF CANADA	10/24/2018	25,000,000.00	25,000,000.00	24,995,198,25	2.890	2,890	2,930	08/02/2019	213
78012UKV6	39045	ROYAL BANK OF CANADA	12/07/2018	45,000,000.00	45,000,000,00	45,001,048.50	2.900	2,900	2.940	07/12/2019	192
89113XE26	38563	TORONTO DOMINION BANK	04/05/2018	50,000,000,00	50,000,000.00	50,001,407.50	2.550	2,515	2,550	01/31/2019	30
89114MMK0	38991	TORONTO DOMINION BANK	11/21/2018	45,000,000.00	45,000,000,00	45,023,314.05	3.000	3.000	3.041	08/16/2019	227
90333VZU7	38907	USBANK	10/24/2018	35,000,000.00	35,000,000,00	35,000,799.05	2.910	2.873	2.913	07/22/2019	202
		Subtotal	tal and Average	910,000,000.00	910,000,000,016	909,981,365.65		2.786	2.804		153
Mortgage Backed Securities (MBS)	Securities (MBS	10									
3137AYCE9	38387	FHLMC Multi-Family	01/12/2018	20,157,000.00	20,000,000.00	19,851,968.00	2.682	2,199	2.230	10/25/2022	1,393
3137AYCE9	38391	FHLMC Multi-Family	01/16/2018	7,555,078.13	7,500,000,00	7,444,488.00	2.682	2.209	2.239	10/25/2022	1,393
3137AWQH1	38465	FHLMC Multi-Family	02/22/2018	5,460,553.13	5,580,000.00	5,471,437.75	2.307	3,155	3,198	08/25/2022	1,332
3137B36J2	38643	FHLMC Multi-Family	03/20/2018	20,345,312.50	20,000,000,00	20,289,304,00	3,320	2.865	2.905	02/25/2023	1,516
3137AYCE9	38666	FHLMC Multi-Family	04/17/2018	11,616,539.06	11,745,000.00	11,658,068.21	2.682	3.032	3.074	10/25/2022	1,393
3137AYCE9	38744	FHLMC Multi-Family	06/05/2018	19,788,281,25	20,000,000.00	19,851,968.00	2,682	3.070	3.112	10/25/2022	1,393
3137B4WB8	38854	FHLMC Multi-Family	09/12/2018	4,768,285.16	4,775,000.00	4,795,686.26	3.060	2.968	3,009	07/25/2023	1,666

Portfolio SCL2
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Report Ver. 7.3.5.1

Fund COMM - COMMINGLED POOL Investments by Fund December 31, 2018

Page 2

CUSIP	Investment #	Issuer	Purchase Date	Remaining Cost	Par Value	Market Value	Current	YTM 360	365	Maturity Days To Date Maturity	ys To turity
Mortgage Back	Mortgage Backed Securities (MBS)	3)									
3137B5JM6	38864	FHLMC Multi-Family	09/25/2018	10,135,937,50	10,000,000,00	10,233,811.00	3.531	2.710	2.748	07/25/2023	1,666
3137B3NA2	38945	FHLMC Multi-Family	11/02/2018	10,605,782.00	10,605,782.00	10,729,184.52	3.250	3.120	3,163	04/25/2023	1,575
3137B5KW2	39026	FHLMC Mulli-Family	12/03/2018	10,092,187,50	10,000,000,00	10,200,869,00	3.458	2,907	2.947	08/25/2023	1,697
3137FBUV6	39093	FHLMC Multi-Family	12/20/2018	19,987,500,00	20,000,000,00	19,987,966,00	2.527	2.422	2,456	07/25/2020	571
3138LAYM5	38477	FNMA Multi-Family	02/27/2018	3,503,076.49	3,542,934,51	3,494,123,25	2.550	2.888	2.928	09/01/2022	1,339
3136B1XP4	38664	FNMA Multi-Family	04/30/2018	3,875,286,57	3,799,706.61	3,842,384,53	3.560	2.746	2,784	09/25/2021	966
3136B1XP4	38665	FNMA Multi-Family	04/30/2018	3,875,286.57	3,799,706.61	3,842,384.53	3.560	2.746	2.784	09/25/2021	866
31381N7G2	38884	FNMA Multi-Family	10/11/2018	3,477,834.28	3,468,620.76	3,483,623.76	3.270	3.045	3.088	10/01/2020	639
			Subtotal and Average	155,243,940.15	154,816,750.49	155,177,266.81		2.751	2.789		1,321
Federal Agency Bonds	/ Bonds										
3133EEWG1	35799	FFCB NOTES	04/01/2015	9,922,209,65	9,950,000.00	9,922,169.85	1.300	1.353	1.371	04/01/2019	06
3133EFZN0	36584	FFCB NOTES	02/24/2016	14,981,550.00	15,000,000.00	14,979,180.00	1.030	1.057	1.072	02/12/2019	42
3133EF4Y0	36726	FFCB NOTES	04/27/2016	26,753,864.07	26,855,000.00	26,731,225,31	1.000	1.112	1.128	04/26/2019	115
3133EGWH4	37018	FFCB NOTES	09/30/2016	10,009,400.00	10,000,000,00	9,657,540.00	1,280	1.243	1.260	09/29/2021	1,002
3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000.00	10,000,000,00	9,837,190.00	2.010	1.982	2.010	12/08/2021	1.072
3133EG2D6	37305	FFCB NOTES	12/30/2016	25,026,250.00	25,000,000.00	24,801,550.00	1,550	1.490	1,511	09/27/2019	269
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	4,911,855.00	2.030	2.002	2.030	01/27/2022	1,122
3133EG5Q4	37389	FFCB NOTES	02/01/2017	20,000,000.00	20,000,000,00	19,984,380,00	1.300	1.282	1,300	02/01/2019	31
3133EG6C4	37404	PFCB NOTES.	02/03/2017	14,997,900,00	15,000,000.00	14,844,675,00	1,550	1,533	1,554	02/03/2020	398
3133EG3X1	37466	FFCB NOTES.	02/16/2017	14,981,340,00	15,000,000,00	14,993,040,00	1,250	1,297	1,315	01/17/2019	16
3133EHBA0	37502	FFCB NOTES	03/02/2017	19,977,600.00	20,000,000.00	19,769,840,00	1.520	1.537	1,558	03/02/2020	426
3133EHCX9	37566	FFCB NOTES	03/20/2017	13,460,042.75	13,475,000.00	13,445,920.95	1.450	1.485	1.506	03/20/2019	78
3133EHCX9	37574	FFCB NOTES	03/20/2017	25,004,000.00	25,000,000,00	24,946,050,00	1,450	1.422	1.441	03/20/2019	78
3133EG3X1	37628	FFCB NOTES	03/30/2017	24,962,500.00	25,000,000.00	24,988,400.00	1,250	1,316	1,334	01/17/2019	16
3133EHEZ2	37639	FFCB NOTES	04/06/2017	15,000,000.00	15,000,000.00	14,821,230.00	1.600	1.578	1,600	04/06/2020	461
3133EHFL2	37665	FFCB NOTES	04/13/2017	14,994,255.00	15,000,000,00	14,802,285.00	1.550	1.541	1,563	04/13/2020	468
3133EHFL2	37666	FFCB NOTES	04/13/2017	9,996,900,00	10,000,000,00	9,868,190,00	1,550	1.539	1.560	04/13/2020	468
3133EHFL2	37667	FFCB NOTES	04/13/2017	9,996,900,00	10,000,000,00	9,868,190.00	1.550	1,539	1,560	04/13/2020	468
3133EHGA5	37725	FFCB NOTES	04/21/2017	25,008,250.00	25,000,000,00	24,756,525.00	1.440	1.406	1,426	10/21/2019	293
3133EHHG1	37761	FFCB NOTES	05/03/2017	5,000,000.00	5,000,000,00	4,907,875,00	1.750	1.726	1,750	05/03/2021	853
3133EHHG1	37762	FFCB NOTES	05/03/2017	10,000,000,00	10,000,000,00	9,815,750.00	1.750	1.726	1.750	05/03/2021	853

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Federal Agency Bonds	Bonds										
3133EHJA2	37793	FFCB NOTES	05/08/2017	34,988,800.00	35,000,000.00	34,519,835.00	1.550	1.539	1.561	05/08/2020	493
3133EHKH5	37841	FFCB NOTES	05/23/2017	12,009,960.00	12,000,000,00	11,815,488,00	1,570	1,522	1,543	07/23/2020	569
3133EHMR1	37901	FFCB NOTES	06/12/2017	5,000,000,00	5,000,000,00	4,973,615.00	1.375	1.356	1.375	06/12/2019	162
3133EHMR1	37905	FFCB NOTES	06/12/2017	5,000,000,00	5,000,000.00	4,973,615,00	1.375	1.356	1.375	06/12/2019	162
3133EHWM1	38024	FFCB NOTES	09/01/2017	3,005,120.00	3,000,000.00	2,932,668.00	1,700	1.624	1.647	09/01/2021	974
3133EHWM1	38025	FFCB NOTES	09/01/2017	5,000,000.00	5,000,000.00	4,887,780.00	1.700	1.676	1.700	09/01/2021	974
3133EHWM1	38026	FFCB NOTES	09/01/2017	7,015,610.00	7,000,000.00	6,842,892.00	1.700	1,619	1.642	09/01/2021	974
3133EHZA4	38046	FFCB NOTES	09/20/2017	9,969,300.00	10,000,000,00	9,760,000.00	1.660	1.715	1.739	09/20/2021	993
3133EHJ95	38081	FFCB NOTES	10/26/2017	9,996,500.00	10,000,000,01	9,851,200.00	1,750	1.737	1.762	10/26/2020	664
3133EHJ95	38082	FFCB NOTES	10/26/2017	4,995,500.00	5,000,000.00	4,925,600.00	1,750	1.756	1,780	10/26/2020	664
3133EHP31	38126	FFCB NOTES.	11/10/2017	9,973,005.00	9,975,000,00	9,802,841,48	1.950	1.928	1,955	11/02/2021	1,036
3133EHW58	38169	FFCB NOTES.	11/27/2017	14,995,500,00	15,000,000.00	14,808,690,00	1.900	1,943	1,970	11/27/2020	969
3133EHW58	38204	FFCB NOTES.	12/01/2017	21,498,926.50	21,550,000.00	21,275,151,30	1.900	1.954	1,982	11/27/2020	969
3133EGYC3	38310	FFCB NOTES	12/20/2017	8,302,050.00	8,550,000.00	8,258,727.15	1.730	2.338	2.371	10/12/2022	1,380
3133EJGH6	38506	FFCB NOTES	03/15/2018	23,006,440.00	23,000,000.00	22,939,073.00	2.440	2,395	2,429	10/15/2020	653
3133EJHS1	38544	FFCB NOTES	03/27/2018	24,499,265.00	24,500,000.00	24,467,635.50	2.250	2.222	2.253	06/27/2019	177
3133EJHL6	38545	FFCB NOTES	03/27/2018	9,991,200,00	10,000,000,00	9,981,500,00	2.375	2.387	2,420	03/27/2020	451
3133EJLU1	38629	FFCB NOTES	04/24/2018	14,992,500.00	15,000,000.00	14,969,925,00	2.420	2,829	2.869	01/24/2020	388
3133EJLU1	38630	FFCB NOTES	04/24/2018	29,991,600.00	30,000,000,00	29,939,850.00	2.420	2.814	2.854	01/24/2020	388
3133EJPX1	38718	FFCB NOTES	05/21/2018	4,977,950.00	5,000,000,00	5,033,210.00	2.875	3.253	3.299	12/21/2022	1,450
3133EJSU4	38831	FFCB NOTES	06/29/2018	10,002,700.00	10,000,000,00	9,985,980.00	2.530	2.478	2.511	12/26/2019	359
3133EJTT6	38837	FFCB NOTES	07/05/2018	4,995,800.00	5,000,000,00	5,007,725.00	2.625	2.623	2.659	01/05/2021	735
3133EJTT6	38838	FFCB NOTES	07/05/2018	4,995,800.00	5,000,000.00	5,007,725.00	2.625	2.623	2.659	01/05/2021	735
3133EJZH5	38878	FFCB NOTES	10/05/2018	13,876,660.00	14,000,000,00	14,086,702.00	2.800	2.997	3.038	09/13/2022	1,351
3133EJK24	38943	FFCB NOTES	11/01/2018	14,997,900.00	15,000,000.00	15,177,480.00	3.000	2.963	3.004	10/19/2021	1,022
3133EJW70	38999	FFCB NOTES	11/26/2018	34,970,950.00	35,000,000,00	35,224,490.00	2.875	2.869	2.909	05/26/2021	876
3133EJW70	39023	FFCB NOTES	11/30/2018	26,799,248.00	26,825,000,00	26,997,055.55	2.875	2.875	2.915	05/26/2021	876
3133EJ3B3	39075	FFCB NOTES	12/17/2018	9,987,400.00	10,000,000,00	10,060,630.00	2.800	2.805	2.844	12/17/2021	1,081
3133EJY60	39105	FFCB NOTES	12/24/2018	12,606,375.00	12,500,000.00	12,678,912.50	3.020	2,765	2,803	03/03/2023	1,522
3133EJ3B3	39108	FFCB NOTES	12/24/2018	4,308,213,00	4,300,000.00	4,326,070.90	2.800	2.695	2.732	12/17/2021	1,081
313383VNB	35826	FHLB NOTES	04/09/2015	9,727,434.20	9,460,000.00	9,417,146.20	2.000	1.321	1,340	09/13/2019	255
313383VN8	35827	FHLB NOTES	04/09/2015	25,854,057.45	25,155,000,00	25,041,047,85	2.000	1.332	1.351	09/13/2019	255

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Federal Agency Bonds	/ Bonds										
313378QK0	35867	FHLB NOTES	04/15/2015	10,251,100.00	10,000,000,00	9,989,550.00	1.875	1.196	1.213	03/08/2019	99
3130A5Z77	36288	FHLB NOTES	10/21/2015	5,077,950.00	5,000,000.00	4.943,110,00	1.830	1,469	1.490	07/29/2020	575
3130A5Z77	36289	FHLB NOTES	10/19/2015	5,088,700,00	5,000,000,00	4,943,110,00	1,830	1,424	1,444	07/29/2020	575
3130A5Z77	36298	FHLB NOTES	10/23/2015	5,086,650.00	5,000,000.00	4,943,110,00	1.830	1,432	1,452	07/29/2020	575
3130A5Z77	36308	FHLB NOTES	10/26/2015	10,142,000.00	10,000,000.00	9,886,220,00	1.830	1,498	1,519	07/29/2020	575
3130A5Z77	36310	FHLB NOTES	10/26/2015	5,072,900.00	5,000,000.00	4,943,110.00	1.830	1,490	1.511	07/29/2020	575
313379EE5	36402	FHLB NOTES	12/02/2015	17,305,608.00	17,200,000.00	17,125,438.00	1.625	1.243	1,261	06/14/2019	164
313379EE5	36550	FHLB NOTES	02/05/2016	15,250,200,00	15,000,000,00	14,934,975.00	1.625	1.102	1,117	06/14/2019	164
3130A7PU3	36679	FHLB NOTES	04/11/2016	10,020,500.00	10,000,000,00	9,830,900.00	1.200	1,131	1,147	04/06/2020	461
3133782M2	36707	FHLB NOTES	04/19/2016	20,321,829.95	20,015,000.00	19,979,833.64	1.500	0.946	0.960	03/08/2019	99
313378J77	36756	FHLB NOTES	05/12/2016	15,423,750.00	15,000,000.00	14,871,690.00	1.875	1.105	1.120	03/13/2020	437
313378J77	36760	FHLB NOTES	05/13/2016	6,162,780.00	6,000,000.00	5,948,676.00	1.875	1.133	1.149	03/13/2020	437
3133834H1	36877	FHLB NOTES	07/12/2016	15,183,600.00	15,000,000,00	14,742,060.00	1,375	1.040	1.055	06/12/2020	528
313378J77	36886	FHLB NOTES	07/15/2016	15,427,350.00	15,000,000,00	14,871,690.00	1,875	1.055	1.069	03/13/2020	437
3130A7L37	36896	FHLB NOTES	07/21/2016	5,039,100,00	5,000,000.00	4,987,665.00	1,250	0,939	0.952	03/15/2019	73
313380WG8	36993	FHLB NOTES	09/30/2016	19,694,805.00	19,500,000.00	19,113,295.50	1,375	1.100	1.115	09/11/2020	619
313380WG8	37022	FHLB NOTES	10/06/2016	12,067,800.00	12,000,000.00	11,762,028.00	1.375	1.210	1.227	09/11/2020	619
3133834H1	37037	FHLB NOTES	10/13/2016	10,047,400,00	10,000,000,00	9,828,040.00	1.375	1,225	1,242	06/12/2020	528
313380WG8	37054	FHLB NOTES	10/24/2016	10,060,000,00	10,000,000,00	9,801,690.00	1,375	1.199	1.216	09/11/2020	619
313383HU8	37058	FHLB NOTES	10/19/2016	15,313,350,00	15,000,000,00	14,826,960,00	1,750	1.147	1,163	06/12/2020	528
313380WG8	37072	FHLB NOTES	10/28/2016	13,916,687.40	13,860,000.00	13,585,142.34	1.375	1.248	1.266	09/11/2020	619
3130AA3R7	37108	FHLB NOTES	11/17/2016	34,991,950.00	35,000,000,00	34,621,090.00	1.375	1,363	1,382	11/15/2019	318
3130AABG2	37149	FHLB NOTES	11/30/2016	14,940,300,00	15,000,000.00	14,729,190.00	1.875	1,931	1.957	11/29/2021	1,063
3130AAE46	37190	FHLB NOTES	12/08/2016	49,998,000,00	50,000,000,00	49,974,900.00	1.250	1.234	1.252	01/16/2019	15
3130AADN5	37289	FHLB NOTES	12/28/2016	40,003,120,00	40,000,000,00	39,761,480,00	1.375	1,353	1.371	06/28/2019	178
3130ABY72	37372	FHLB NOTES	01/26/2017	34,502,650,00	35,000,000.00	34,641,950,00	0.875	1.430	1,450	08/05/2019	216
3130AAXX1	37548	FHLB NOTES	03/10/2017	19,953,600.00	20,000,000.00	19,954,660,00	1.375	1,471	1,491	03/18/2019	92
3130AAXX1	37549	FHLB NOTES	03/10/2017	19,953,600.00	20,000,000.00	19,954,660.00	1.375	1.471	1.491	03/18/2019	92
3130AAXX1	37614	FHLB NOTES	03/28/2017	25,000,625.00	25,000,000,00	24,943,325,00	1.375	1,354	1.373	03/18/2019	92
313382K69	37711	FHLB NOTES	04/13/2017	25,894,911.00	25,880,000,00	25,400,079.90	1.750	1.690	1.714	03/12/2021	108
313378CR0	37726	FHLB NOTES	04/19/2017	25,502,000.00	25,000,000,00	24,701,500,00	2.250	1.794	1.819	03/11/2022	1,165
313378J77	37778	FHLB NOTES	04/28/2017	14,133,280.00	14,000,000,00	13,880,244.00	1.875	1.513	1.535	03/13/2020	437

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Federal Agency Bonds	Bonds										
3130ABDX1	37816	FHLB NOTES	05/24/2017	30,000,000.00	30,000,000,00	29,862,090.00	1,400	1.380	1,400	05/24/2019	143
313379RB7	37961	FHLB NOTES	06/30/2017	5,024,350.00	5,000,000.00	4,906,995,00	1,875	1,722	1,746	06/11/2021	892
3130ACE26	38033	FHLB NOTES	09/08/2017	21,929,380.00	22,000,000.00	21,555,160.00	1.375	1.462	1.482	09/28/2020	636
3130A3UQ5	38102	FMLB NOTES	11/03/2017	10,020,600.00	10,000,000,00	9,868,430,00	1.875	1,781	1,806	12/11/2020	710
3130ACUK8	38178	FHLB NOTES	11/28/2017	25,000,000.00	25,000,000,00	24,639,875.00	2.000	1.972	2.000	05/28/2021	878
3130AAE46	38202	FHLB NOTES	11/30/2017	24,870,250.00	25,000,000.00	24,987,450.00	1.250	1.692	1.716	01/16/2019	15
313383HU8	38247	FHLB NOTES.	12/08/2017	24,888,750.00	25,000,000,00	24,711,600.00	1,750	1,905	1.932	06/12/2020	528
3130A66T9	38269	FHLB NOTES	12/13/2017	24,757,750.00	25,000,000.00	24,613,475.00	1.625	1.96.1	1.989	09/11/2020	619
3130ADJH6	38418	FHLB NOTES	01/29/2018	7,610,811.75	7,615,000,00	7,576,163.50	2.100	2.099	2.128	01/29/2020	393
313370US5	38436	FHLB NOTES	02/05/2018	5,069,050.00	5,000,000,00	5,026,450.00	2.875	2,292	2.324	09/11/2020	619
313379EE5	38469	FHLB NOTES	02/21/2018	24,821,250.00	25,000,000,00	24,891,625.00	1.625	2.149	2.179	06/14/2019	164
3130ADUY6	38570	FHLB NOTES	04/06/2018	31,568,053.50	31,575,000,00	31,529,405.70	2.450	2.425	2,459	09/21/2020	629
3130ADXU1	38578	FHLB NOTES	04/09/2018	19,982,400.00	20,000,000.00	19,945,780.00	2.320	2.340	2.372	01/09/2020	373
3130A02T6	38596	FHLB NOTES	04/13/2018	25,018,250.00	25,000,000.00	24,949,775.00	2,375	2.289	2.321	09/13/2019	255
3130A8Y72	38615	FHLB NOTES	04/19/2018	24,528,250.00	25,000,000.00	24,744,250.00	0.875	2,330	2.363	08/05/2019	216
3130AECJ7	38733	FHLB NOTES	05/21/2018	9,996,400.00	10,000,000.00	10,014,430.00	2.625	2.607	2.643	05/28/2020	513
3130AFB63	38896	FHLB NOTES	10/22/2018	14,984,250,00	15,000,000.00	15,123,525.00	2.950	2,958	2.999	01/22/2021	752
3130AFB63	38901	FHLB NOTES	10/23/2018	4,994,750.00	5,000,000.00	5,041,175.00	2,950	2.958	2,999	01/22/2021	752
3130AFB63	38920	FHLB NOTES	10/26/2018	25,006,500.00	25,000,000.00	25,205,875.00	2.950	2.898	2.939	01/22/2021	752
3130AFB63	38922	FHLB NOTES	10/26/2018	5,000,850.00	5,000,000.00	5,041,175,00	2.950	2.902	2.943	01/22/2021	752
313370E38	38978	FHLB NOTES	11/19/2018	20,166,400.00	20,000,000.00	20,238,200.00	3,375	2,787	2.826	06/12/2020	528
3130AFE78	39016	FHLB NOTES	11/29/2018	14,342,968.50	14,350,000.00	14,548,001,30	3.000	2,970	3,012	12/09/2022	1,438
3130A0F70	39069	FHLB NOTES	12/14/2018	10,208,100.00	10,000,000.00	10,305,490,00	3,375	2.883	2.923	12/08/2023	1,802
3130A0F70	39106	FHLB NOTES	12/24/2018	3,589,705.00	3,500,000.00	3,606,921,50	3.375	2,778	2.817	12/08/2023	1,802
3137EADM8	35873	FHLMC NOTES	04/16/2015	29,916,300.00	30,000,000.00	29,690,010.00	1.250	1,296	1.314	10/02/2019	274
3137EADM8	36322	FHLMC NOTES	10/29/2015	9,957,300.00	10,000,000,00	9,896,670.00	1.250	1.343	1.362	10/02/2019	274
3137EADM8	36332	FHLMC NOTES	11/04/2015	18,192,740.50	18,350,000.00	18,160,389.45	1,250	1,456	1,476	10/02/2019	274
3137EADM8	36337	FHLMC NOTES	11/06/2015	7,912,320.00	8,000,000.00	7,917,336.00	1.250	1,519	1.540	10/02/2019	274
3137EADM8	36340	FHLMC NOTES	11/09/2015	9,868,900.00	10,000,000,00	9,896,670,00	1,250	1,576	1.598	10/02/2019	274
3137EAEC9	36989	FHLMC NOTES	09/27/2016	19,855,200.00	20,000,000.00	19,316,140,00	1.125	1.261	1.278	08/12/2021	954
3137EAEC9	36992	FHLMC NOTES	09/29/2016	9,933,800,00	10,000,000,00	9,658,070,00	1.125	1,248	1.265	08/12/2021	954
3137EAEE5	37443	FHLMC NOTES	02/09/2017	20,013,000.00	20,000,000,00	19,775,660.00	1.500	1.456	1.477	01/17/2020	381

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PATACOPAGE	Bonds										
313463A81	37454	FHLMC NOTES	01/19/2017	19,997,400,00	20,000,000,00	19,838,780.00	1,400	1,385	1.404	08/22/2019	233
3134GA6W9	37580	FHLMC NOTES	02/28/2017	11,150,000.00	11,150,000.00	11,061,625,10	1.500	1.479	1,500	08/28/2019	239
3137EAEF2	37835	FHLMC NOTES	04/20/2017	44,846,100.00	45,000,000.00	44,318,790.00	1,375	1.471	1.491	04/20/2020	475
3137EAEJ4	38057	FHLMC NOTES	09/29/2017	14,972,850,00	15,000,000.00	14,765,175.00	1,625	1,663	1,687	09/29/2020	637
3137EAEK1	38135	FHLMC NOTES	11/15/2017	24,976,000,00	25,000,000.00	24,688,700.00	1.875	1.881	1.908	11/17/2020	989
3137EAEB1	38403	FHLMC NOTES	01/19/2018	24,581,500,00	25,000,000,00	24,761,525,00	0,875	1.985	2,013	07/19/2019	199
3137EAEL9	38462	FHLMC NOTES	02/16/2018	44,881,200.00	45,000,000.00	44,843,760.00	2.375	2,433	2,466	02/16/2021	111
3135G0UU5	35847	FNMA NOTES	04/13/2015	7,084,070.00	7,000,000,00	6,932,793.00	1.750	1.474	1.494	03/06/2020	430
3135G0UU5	35856	FNMA NOTES	04/14/2015	14,762,362.32	14,584,000,00	14,443,979.02	1.750	1.469	1.489	03/06/2020	430
3136FTZZ5	36088	FNMA NOTES	06/26/2015	7,455,639,40	7,370,000.00	7,365,990.72	1.750	1.397	1.417	01/30/2019	59
3135G0A78	36347	FNMA NOTES	11/13/2015	9,980,600.00	10,000,000,00	9,900,470.00	1.625	1.650	1.672	01/21/2020	385
3135G0A78	36361	FNMA NOTES	11/18/2015	20,006,200.00	20,000,000.00	19,800,940.00	1.625	1,585	1.607	01/21/2020	385
3135G0A78	36383	FNMA NOTES	11/24/2015	9,986,700.00	10,000,000.00	9,900,470,00	1.625	1.635	1.658	01/21/2020	385
3135G0A78	36384	FNMA NOTES	11/24/2015	9,987,500.00	10,000,000,00	9,900,470,00	1,625	1.633	1.656	01/21/2020	385
3135G0H63	36505	FNMA NOTES	01/08/2016	24,984,500.00	25,000,000.00	24,980,350.00	1.375	1.376	1.395	01/28/2019	27
3135G0N33	37253	FNMA NOTES	12/19/2016	19,661,600,00	20,000,000,00	19,798,020,00	0.875	1.515	1.536	08/02/2019	213
3135G0S38	37582	FNMA NOTES	02/09/2017	10,050,300,00	10,000,000,00	9,852,030,00	2.000	1,866	1.892	01/05/2022	1,100
3136FTZZ5	37620	FNMA NOTES	03/29/2017	10,944,857,75	10,865,000.00	10,859,089,44	1,750	1,324	1,343	01/30/2019	29
3135G0T45	37783	FNMA NOTES	04/10/2017	39,820,400.00	40,000,000.00	39,195,000,00	1.875	1.943	1.970	04/05/2022	1,190
3135G0S38	38079	FNMA NOTES	10/25/2017	9,997,000.00	10,000,000.00	9,852,030.00	2.000	1.979	2.007	01/05/2022	1,100
3135G0S38	38142	FNMA NOTES	11/16/2017	11,390,328,05	11,395,000.00	11,226,388,19	2,000	1,982	2.010	01/05/2022	1,100
3135G0T78	38163	FNMA NOTES	11/22/2017	4,958,800,00	5,000,000.00	4,905,480,00	2.000	2,149	2.179	10/05/2022	1,373
3135G0T60	38209	FNMA NOTES.	12/04/2017	9,898,800.00	10,000,000.00	9,834,650,00	1.500	1.866	1,892	07/30/2020	9/9
3135G0N33	38367	FNMA NOTES	01/05/2018	39,321,600.00	40,000,000.00	39,596,040,00	0.875	1.946	1,973	08/02/2019	213
880591EV0	38503	TENNESSEE VALLEY AUTHORITY	03/08/2018	19,956,800.00	20,000,000,00	19,932,000,00	2.250	2.327	2.360	03/15/2020	439
880591EV0	38815	TENNESSEE VALLEY AUTHORITY	06/21/2018	9,940,800.00	10,000,000.00	9,986,000.00	2,250	2.535	2.570	03/15/2020	439
		Subtotal	tal and Average	2,318,856,358.74	2,318,284,000.00	2,300,809,502.84		1.776	1.800		489
Federal Agency	Federal Agency Bonds - CALLABLE	TE STATE OF THE ST									
3133EF2L0	36688	FFCB NOTES	04/13/2016	20,000,000,00	20,000,000.00	19,687,160,00	1,400	1.380	1.400	04/13/2020	468
3133EF2L0	36689	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,765,370.00	1.400	1.380	1.400	04/13/2020	468
3133EF2L0	36690	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000,00	14,765,370.00	1.400	1.380	1.400	04/13/2020	468

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CUSIP	Investment #	Issuer	Purchase Date	Remaining Cost	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity	s To urity
Federal Agency	Federal Agency Bonds - CALLABLE	4									
3133EGKA2	36866	FFCB NOTES	07/06/2016	20,000,000.00	20,000,000,00	19,482,600.00	1.500	1.479	1.500	07/06/2021	917
3133EG2P9	37300	FFCB NOTES	12/29/2016	10,000,000,01	10,000,000,00	9,887,820,00	2.320	2.288	2.320	12/29/2021	1,093
3133EG2P9	37301	FFCB NOTES	12/29/2016	15,000,000.00	15,000,000.00	14,831,730.00	2.320	2.288	2.320	12/29/2021	1,093
3133EHKT9	38272	FFCB NOTES	12/13/2017	10,823,314,00	10,915,000.00	10,662,306.84	2,220	2.368	2.400	11/25/2022	1,424
3133EGG82	38326	FFCB NOTES	12/26/2017	1,942,780.00	2,000,600.00	1,941,318.00	1.520	2.262	2.293	11/15/2021	1,049
3133EGNK7	38341	FFCB NOTES	12/29/2017	4,365,761.50	4,450,000.00	4,363,999,30	1.320	2.049	2.077	07/27/2020	573
3133EGAH8	38342	FFCB NOTES	12/29/2017	10,654,672.50	10,875,000.00	10,621,590.75	1.550	2.144	2.174	05/17/2021	867
3133EGKD6	38346	FFCB NOTES	12/29/2017	6,850,480.00	7,000,000.00	6,822,816.00	1.625	2,188	2.218	10/05/2021	1,008
3133EFF28	38368	FFCB NOTES	01/05/2018	5,157,146,25	5,245,000,00	5,144,505.80	1,650	2.171	2.202	03/01/2021	790
3133EHFD0	39022	FFCB NOTES	11/30/2018	4,708,089.00	4,850,000.00	4,769,140.80	2.400	3.079	3.121	04/11/2023	1,561
3133EFX44	39107	FFCB NOTES	12/24/2018	5,332,931.10	5,490,000.00	5,358,240.00	2.050	2.814	2.853	10/05/2022	1,373
3130A3XL3	36117	FHLB NOTES	07/09/2015	7,203,165,00	7,250,000,00	7,161,535.50	1.500	1,624	1,646	02/10/2020	405
3130A7HM0	36641	FHLB NOTES	03/29/2016	19,990,000.00	20,000,000.00	19,941,020.00	1.250	1,249	1.267	03/29/2019	87
3130A9W49	37131	FHLB NOTES	11/25/2016	15,000,000.00	15,000,000.00	14,775,735.00	1.250	1.232	1.250	02/24/2020	419
3130AANA2	37382	FHLB NOTES	01/30/2017	25,000,000.00	25,000,000,00	24,695,550.00	1.750	1.726	1.750	07/30/2020	975
3130AANA2	37383	FHLB NOTES	01/30/2017	5,000,000.00	5,000,000,00	4,939,110.00	1.750	1.726	1.750	07/30/2020	976
3130AB3T1	37641	FHLB NOTES	04/24/2017	20,000,000.00	20,000,000,00	19,758,280.00	1.750	1.726	1.750	07/24/2020	929
3130ABZE9	38016	FHLB NOTES	08/28/2017	8,400,000.00	8,400,000,00	8,279,342,40	1.650	1.627	1.650	08/28/2020	605
3130ACK52	38389	FHLB NOTES	01/12/2018	24,693,500.00	25,000,000,00	24,633,200.00	1,700	2.134	2.164	10/05/2020	643
3130AEXG0	38855	FHLB NOTES	09/25/2018	15,000,000.00	15,000,000,00	15,056,610.00	3.000	2.968	3.000	03/25/2022	1,179
3130A9MR9	38858	FHLB NOTES	09/17/2018	9,179,249.30	9,685,000.00	9,341,037.23	1,650	2,981	3,023	10/12/2022	1,380
3130AC2P8	38859	FHLB NOTES	09/17/2018	9,798,600.00	10,000,000,00	9,876,100.00	1.800	2.831	2.870	08/28/2020	605
3130AFA72	38921	FHLB NOTES	10/26/2018	12,000,000.00	12,000,000.00	12,112,152.00	3.250	3.205	3.250	04/26/2023	1,576
3130AFC54	38942	FHLB NOTES	11/01/2018	14,997,000.00	15,000,000.00	15,012,210.00	3,250	3.212	3.256	11/08/2021	1,042
3130A8R54	39014	FHLB NOTES	11/28/2018	4,710,050.00	5,000,000.00	4,782,025.00	1.800	3.102	3,145	07/28/2023	1,669
3134GBJT7	36583	FHLMC NOTES	02/24/2016	12,500,000,00	12,500,000,00	12,344,700.00	1.500	1,479	1.500	02/24/2020	419
3134G8TV1	36644	FHLMC NOTES	03/29/2016	7,000,000.00	7,000,000.00	6,980,848.00	1.220	1.203	1,220	03/29/2019	87
3134G8S83	36648	FHLMG NOTES	03/30/2016	20,000,000,00	20,000,000,00	19,777,180,00	1,500	1,479	1,500	12/30/2019	363
3134G8PD5	36649	FHLMC NOTES	03/30/2016	19,996,000.00	20,000,000,00	19,802,960.00	1.350	1.337	1.355	09/30/2019	272
3134G8PD5	36650	FHLMG NOTES	03/30/2016	3,714,257,00	3,715,000.00	3,678,399,82	1.350	1.337	1,355	09/30/2019	272
3134G8PD5	36651	FHLMC NOTES	03/30/2016	6,375,000,00	6,375,000.00	6,312,193.50	1.350	1.331	1.350	09/30/2019	272
3134G8PD5	36654	FHLMC NOTES.	03/30/2016	12,498,125,00	12,500,000,00	12,376,850,00	1.350	1,335	1.354	09/30/2019	272

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CUSIP	Investment #	Issuer	Purchase Date	Remaining Cost	Par Value	Market Value	Current	YTM 360	YTM 365	Maturity Days To Date Maturity	s To urity
Federal Agency	Federal Agency Bonds - CALLABLE	LE .									
3134G9DD6	36721	FHLMC NOTES	04/26/2016	11,988,000.00	12,000,000,00	11,950,440.00	1.150	1.167	1.184	04/26/2019	115
3134G9AV9	36729	FHLMC NOTES	04/28/2016	14,985,000,00	15,000,000,00	14,827,410.00	1,250	1,261	1,279	10/28/2019	300
3134G9AV9	36730	FHLMC NOTES	04/28/2016	4,245,750.00	4,250,000.00	4,201,099.50	1.250	1.261	1.279	10/28/2019	300
3134G9HM2	36785	FHLMC NOTES	05/26/2016	00'000'000'6	00'000'000'6	8,892,036.00	1.300	1.282	1.300	11/26/2019	329
3134G9HW0	36787	FHLMC NOTES	05/26/2016	5,250,000.00	5,250,000.00	5,184,700.50	1,250	1,232	1.250	11/26/2019	329
3134G9QW0	36823	FHLMC NOTES	06/14/2016	20,000,000.00	20,000,000.00	19,884,360,00	1,280	1.262	1.280	06/14/2019	164
3134G3K90	37344	FHLMC NOTES	01/19/2017	10,003,400,00	10,000,000,01	9,850,030.00	1,700	1,667	1.690	09/25/2020	633
3134GBBM3	37518	FHLMC NOTES	03/29/2017	25,000,000.00	25,000,000.00	24,701,075.00	2.000	1.972	2.000	03/29/2021	818
3134GA5T7	37578	FHLMC NOTES	02/28/2017	3,500,000,00	3,500,000,00	3,458,378,00	2.125	2.095	2,125	01/26/2022	1,121
3134GA5T7	37579	FHLMC NOTES.	02/28/2017	20,000,000.00	20,000,000,00	19,762,160.00	2.125	2,096	2.125	01/26/2022	1,121
3134GBEW8	37621	FHLMC NOTES	04/26/2017	25,000,000,00	25,000,000.00	24,831,075,00	1.500	1,479	1,500	07/26/2019	206
3134GBEW8	37668	FHLMG NOTES	04/26/2017	8,000,000,00	8,000,000,00	7,945,844,00	1.500	1.479	1.500	07/26/2019	206
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000.00	15,000,000.00	14,774,730.00	2.000	1.972	2.000	01/27/2022	1,122
3134GBEF5	37696	FHLMC NOTES	04/27/2017	3,640,000.00	3,640,000,00	3,599,111.88	1.700	1.676	1.700	04/27/2020	482
3134GBJJ2	37741	FHLMC NOTES	04/26/2017	10,000,000.00	10,000,000,00	9,829,500,00	1,750	1,726	1,750	01/26/2021	756
3134GBJJ2	37742	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000,00	24,573,750,00	1.750	1,726	1.750	01/26/2021	756
3134GBLR1	37801	FHLMC NOTES	05/25/2017	20,000,000.00	20,000,000,00	19,691,120.00	1.750	1.726	1.750	11/25/2020	694
3134GBLQ3	37804	FHLMC NOTES	05/22/2017	20,000,000.00	20,000,000.00	19,756,040.00	1.650	1.627	1.650	05/22/2020	205
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000.00	15,000,000,00	14,766,420,00	2.000	1.972	2.000	02/24/2022	1,150
3134GBRR5	37849	FHLMC NOTES	06/15/2017	20,000,000.00	20,000,000,00	19,650,260.00	1.800	1.775	1,800	06/15/2021	968
3134GBRU8	37861	FHLMC NOTES	06/22/2017	30,000,000.00	30,000,000,00	29,596,140.00	1.625	1.602	1.625	06/22/2020	538
3134GBSM5	37880	FHLMC NOTES	06/22/2017	5,450,000.00	5,450,000.00	5,368,854.95	1.700	1.676	1.700	09/22/2020	630
3134GBRZ7	37893	FHLMC NOTES	06/20/2017	15,000,000.00	15,000,000.00	14,836,860.00	1.500	1.479	1.500	12/20/2019	353
3134GBSW3	37894	FHLMC NOTES	06/22/2017	5,000,000.00	5,000,000,00	4,919,135.00	1.750	1,726	1.750	12/22/2020	721
3134GBYF3	37988	FHLMC NOTES	07/27/2017	5,750,000.00	5,750,000.00	5,657,459,50	1.800	1,775	1.800	01/27/2021	757
3134GBF64	38055	FHLMC NOTES	09/28/2017	6,000,000,00	6,000,000.00	5,899,416,00	1,600	1.578	1.600	09/28/2020	636
3134GBU83	38090	FHLMC NOTES	10/30/2017	15,000,000.00	15,000,000.00	14,788,980.00	2.000	1,972	2.000	10/29/2021	1,032
3134GBZ70	38170	FHLMC NOTES	11/27/2017	25,000,000.00	25,000,000.00	24,637,200.00	1.875	1.849	1.875	11/27/2020	969
3134GBZ70	38171	FHLMC NOTES	11/27/2017	10,000,000,00	10,000,000.00	9,854,880.00	1.875	1,849	1,875	11/27/2020	969
3134GSAC9	38334	FHLMC NOTES	12/28/2017	10,000,000.00	10,000,000,01	9,885,630.00	2,050	2.021	2.050	12/28/2020	727
3134GSAX3	38352	FHLMC NOTES	01/08/2018	25,000,000.00	25,000,000.00	24,719,550.00	2.220	2.189	2.220	07/01/2022	1,277
3134G9Q75	38408	FHLMC NOTES	01/23/2018	19,760,000,00	20,000,000,00	19,837,860.00	1.250	2.033	2.062	07/26/2019	506

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CUSIP	Investment#	Issuer	Date	Remaining Cost	Par Value	Market Value	Rate	360	365	Date Maturity	turity
Federal Agenc	Federal Agency Bonds - CALLABLE	щ									
3134GSFE0	38466	FHLMC NOTES	02/26/2018	20,000,000.00	20,000,000.00	19,999,580,00	2.500	2,465	2.500	02/26/2021	787
313465658	38496	FHLMC NOTES.	03/29/2018	20,000,000,00	20,000,000,00	20,005,800.00	2.700	2.663	2.700	03/29/2022	1_183
3134GSMY8	38796	FHLMC NOTES	06/15/2018	15,000,000,00	15,000,000.00	15,051,750,00	2.750	3.426	3.474	06/15/2023	1,626
3134GSPR0	38827	FHLMC NOTES	06/28/2018	22,000,000.00	22,000,000.00	22,027,104,00	3.000	2,958	3.000	06/28/2022	1,274
3134GSZX6	38961	FHLMC NOTES	11/08/2018	19,967,000.00	20,000,000.00	20,003,220,00	3.020	3.056	3.098	01/25/2021	755
3134GSB95	39006	FHLMC NOTES	11/27/2018	10,000,000,00	10,000,000,00	10,021,620,00	3.000	2,958	3,000	11/27/2020	969
3134G94B0	39118	FHLMC NOTES	12/26/2018	1,969,000.00	2,000,000.00	1,975,814.00	2.250	2.824	2.863	08/16/2021	856
3134GSL60	39122	FHLMC NOTES	12/27/2018	25,000,000.00	25,000,000.00	25,043,750,00	3.000	2.959	3,000	09/27/2022	1,365
3134GSJ48	39123	FHLMC NOTES	12/27/2018	30,000,000,00	30,000,000,00	30,079,470.00	3.100	3.057	3.100	06/27/2022	1,273
3136G2WX1	36576	FNMA NOTES	02/22/2016	15,500,000.00	15,500,000.00	15,473,371.00	1,250	1.232	1.250	02/22/2019	52
3136G3NC5	36782	FNMA NOTES	05/24/2016	4,997,500,00	5,000,000,00	4,970,225.00	1,000	1.003	1.016	05/24/2019	143
3136G3QY4	36793	FNMA NOTES	05/27/2016	5,000,000,00	5,000,000.00	4,973,985.00	1,250	1.212	1.229	05/30/2019	149
3136G3QY4	36794	FNMA NOTES	05/27/2016	15,000,000.00	15,000,000.00	14,921,955.00	1.250	1.212	1.229	05/30/2019	149
3136G3QY4	36795	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,973,985,00	1,250	1,212	1,229	05/30/2019	149
3136G3PR0	36797	FNMA NOTES	05/27/2016	24,942,500.00	25,000,000.00	24,418,275.00	1.500	1.526	1.548	05/26/2021	876
3136G3TD7	38845	FNMA NOTES	06/28/2016	12,992,980.00	12,980,000.00	12,895,214,64	1,200	1.150	1,165	06/28/2019	178
3136G3TB1	36846	FNMA NOTES	06/28/2016	12,065,062,50	12,050,000.00	11,965,360.80	1.100	1.043	1.057	06/28/2019	178
3136G3SQ9	36849	FNMA NOTES	06/28/2016	25,000,000,00	25,000,000.00	24,830,425,00	1,150	1,134	1.150	06/28/2019	178
3135G0L50	36875	FNMA NOTES	07/12/2016	30,000,000,00	30,000,000,00	29,768,280.00	1.050	1.035	1.050	07/12/2019	192
3136G3SY2	36880	FNMA NOTES	07/13/2016	7,500,000.00	7,500,000.00	7,422,982.50	1.250	1.232	1.250	09/30/2019	272
3136G3A62	36902	FNMA NOTES	07/26/2016	15,000,000,00	15,000,000,00	14,868,540.00	1.050	1.035	1.050	07/26/2019	206
3136G4BV4	37017	FNMA NOTES	09/30/2016	20,015,000.00	20,000,000.00	19,576,760.00	1.450	1.411	1,430	09/30/2020	638
313500030	37103	FNMA NOTES	10/21/2016	29,997,000.00	30,000,000,00	29,674,080.00	1,180	1.167	1.183	09/27/2019	269
3136G4MD2	37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	2,961,492.00	1,850	1.824	1.850	09/16/2020	624
3136G4NP4	38233	FNMA NOTES	12/06/2017	39,788,000.00	40,000,000,00	39,487,360.00	1.800	1.979	2.007	07/24/2020	570
3136G4NP4	38235	FNMA NOTES	12/07/2017	5,967,600.00	6,000,000.00	5,923,104.00	1.800	1,983	2.011	07/24/2020	570
			Subtotal and Average	1,311,163,913,15	1,314,120,000.00	1,300,186,114.21		1.854	1.880		663
<b>US Treasury Notes</b>	lotes										
912828543	38300	U.S. TREASURY NOTES	12/15/2017	19,675,000.00	20,000,000.00	19,808,600.00	0.750	1.770	1.794	07/15/2019	195
912828TN0	38302	U.S. TREASURY NOTES	12/19/2017	24,660,156,25	25,000,000.00	24,734,500,00	1.000	1.792	1.817	08/31/2019	242
912828B33	38309	U.S. TREASURY NOTES	12/20/2017	24 919 921 88	25.000.000.00	24.983.500.00	1 500	1 766	4 70.4	Stocked to	200

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Distriction	CUSIP	Investment #	Issuer	Purchase Date	Remaining Cost	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity	s To urity
September   U.S. TREEASURY NOTES   24/724/021   24/24/04   24/24/04   24/04	US Treasury Notes	15										
8447 U.S. TREASURY NOTES TOTAL AVERAGE (1227)018 (1244-12615) (125,000,000 0 12,000,000 0 14,001,000 0 1,001,000 0 1,001,000 0 1,001,00	912828SX9	38386	U.S. TREASURY NOTES	01/12/2018	19,788,281.25	20,000,000.00	19,887,600.00	1.125	1.878	1.904	05/31/2019	150
Sept. 20   U.S. THEASURY NOTES   122/21/2018   14,884 17.9 88   15,000,000.00   15,008 15.000   0.750   2.557   2.568   0.7750   0.750   2.557   2.568   0.7750   0.750   0.750   2.557   2.568   0.7750   0.750   0	9128282K5	38457	U.S. TREASURY NOTES	02/15/2018	24,729,492.19	25,000,000,00	24,829,000.00	1.375	2.102	2.132	07/31/2019	211
Sign	912828Y46	39102	U.S. TREASURY NOTES	12/21/2018	14,984,179,69	15,000,000,00	15,018,150.00	2,625	2.654	2.691	07/31/2020	577
38912         Subtoal and Average         153,401,582.51         165,000,000.00         29701,740.00         1.10         1.11         1.12         2.06           3805.18         APPLE INC.         (4804,201)         23,900,000.00         45,000,000.00         44,407,215.00         1.10         1.11	912828543	39103	U.S. TREASURY NOTES	12/21/2018	34,644,531.25	35,000,000.00	34,665,050.00	0,750	2.527	2.562	07/15/2019	195
38543         APPLE INC.         080442016         29,970,0000         30,000,000,00         29,701,740,00         1110         1118         1134         9802201           38624         APPLE INC.         111/13/2014         44,500,000,00         24,968,000         24,968,000         20,00         200				Subtotal and Average	163,401,562.51	165,000,000.00	163,926,400.00		2.077	2.106		208
38918         APPLEING         08042016         29,370,000.00         4,901,206.00         1,10         1,11         1,13         08022019           38924         APPLEING         08042016         23,970,000.00         45,000,000.00         44,407,216.00         2.00         200	Corporate Bonds											
3812A         APPLE INC         11/13/2017         44,982,200.00         45,000,000.00         44,407/216.00         2.00         2.00         2.00         2.00         11/13/2017         45,982,200.00         44,407/216.00         2.	037833CB4	36918	APPLE INC.	08/04/2016	29,970,000.00	30,000,000,00	29,701,740.00	1,100	1.118	1.134	08/02/2019	213
39031         BANK OF NEW YORK         120442016         25 000 000 0         24,958 000 0         3 056         2 94         3 05         2 1,004           36032         BANK OF NEW YORK         120442016         5,000 000 0         5,000 000 0         3 05         2 94         3 05         1,102         2 0           36032         BERKSHIRE HATHWY         09152016         4,960 300 0         5,000 000 0         4,968 500 0         1,702 <td>0378330.16</td> <td>38124</td> <td>APPLE INC</td> <td>11/13/2017</td> <td>44,962,200.00</td> <td>45,000,000.00</td> <td>44,407,215,00</td> <td>2,000</td> <td>2,001</td> <td>2.029</td> <td>11/13/2020</td> <td>682</td>	0378330.16	38124	APPLE INC	11/13/2017	44,962,200.00	45,000,000.00	44,407,215,00	2,000	2,001	2.029	11/13/2020	682
38032         BANK OF NEW YORK         1204/2016         5,000,000,00         4,991,600,00         3,036         2,994,600,00         3,036         2,994,600,00         1,700         1,	06405LAA9	39031	BANK OF NEW YORK	12/04/2018	25,000,000.00	25,000,000,00	24,958,000.00	3.036	2.994	3.036	12/04/2020	703
366.20         BERKSHIRE HATHWY         03/15/2016         4,996,200.00         5,000,000.00         4,988,960.00         1,700         1,702         1,726         1,720 </td <td>06405LAA9</td> <td>39032</td> <td>BANK OF NEW YORK</td> <td>12/04/2018</td> <td>5,000,000.00</td> <td>5,000,000,00</td> <td>4,991,600,00</td> <td>3.036</td> <td>2.994</td> <td>3,036</td> <td>12/04/2020</td> <td>703</td>	06405LAA9	39032	BANK OF NEW YORK	12/04/2018	5,000,000.00	5,000,000,00	4,991,600,00	3.036	2.994	3,036	12/04/2020	703
36833         BERKSHIRE HATHWY         08745/2016         9.960,300.00         10,000,000.00         9.886,030.00         1.314         1.333         08145/2019           38834         BERKSHIRE HATHWY         06/29/2018         9.861,300.00         10,000,000.00         9.886,300.00         2.732         2.770         2342,120.00           38832         BERKSHIRE HATHWY         07/02/2018         9.861,900.00         10,000,000.00         2.200         2.730         2.770         2342,120.00           38675         CHEVRON CORP.         03/03/2017         8,000,000.00         1,591,000.00         1,591         1,591         1,591         03/03/2020           37503         CHEVRON CORP.         03/03/2017         8,000,000.00         1,591,000         1,591         1,991	084664CG4	36620	BERKSHIRE HATHWY	03/15/2016	4,996,200.00	5,000,000.00	4,988,960.00	1,700	1,702	1,726	03/15/2019	73
38830         BERKSHIRE HATHWY         06/29/2018         9,851,300.00         10,000,000.00         2,968,840.00         2,700         2,770         0,151/2021           38832         BERKSHIRE HATHWY         07/02/2018         5,923,600.00         1,691,000.00	084664CK5	36933	BERKSHIRE HATHWY	08/15/2016	9,990,300,00	10,000,000,00	9,895,030,00	1,300	1.314	1,333	08/15/2019	226
38832         BERKSHIRE HATHWY         07/02/2018         5,920,556.15         5,933,000.00         5,924,258.92         2.100         2.561         2.597         08/14/2019           37676         CHEVRON CORP.         05/16/2016         15,000,000.00         1,919,000.00         1,561         1,561         1,561         1,516         1,517         1,517         1,518         1,518         1,519         1,517         1,518         1,	084670BQ0	38830	BERKSHIRE HATHWY	06/29/2018	9,851,900.00	10,000,000,00	9,868,840.00	2.200	2,732	2.770	03/15/2021	804
38765         CHEVRON CORP.         05/16/2016         15,000,000.00         14,919,000.00         1,551         1,582         1,581	084670BL1	38832	BERKSHIRE HATHWY	07/02/2018	5,920,556.15	5,953,000,00	5,924,258,92	2,100	2.561	2.597	08/14/2019	225
375G3         CHEVRON CORP.         03/03/2017         8,000,000.00         6,000,000.00         7,928,632.00         1.961         1.962         1.962         1.962         1.962         1.962         1.962         1.962         1.963         1.962         1.962         1.963         1.962         1.962         1.962         1.962         1.962         1.962         1.962         1.962         1.962         1.962         1.962         1.962         1.962         1.963         1.962         1.962         1.963         1.962         1.962         1.963         1.962         1.962         1.962         1.962         1.963         1.962         1.963         1.963         1.962         1.963         1.963         1.972         1.972         1.963         1.972	166764BH2	36765	CHEVRON CORP.	05/16/2016	15,000,000.00	15,000,000.00	14,919,000.00	1.561	1.539	1,561	05/16/2019	135
38601         GOOGLE INC         04/16/2018         17,169,064.30         16,705,000.00         17,040,803.31         3,625         2,645         2,645         2,649         05/13/2021           37380         JOHNSON & JOHNSON & OILYZ/2017         0,127/2017         9,990,400.00         10,000,000.00         9,886,200.00         1,500         1,906         1,933         0,127/2020           38504         JOHNSON & JOHNSON         0,127/2017         25,022,101.04         25,225,000.00         1,500         1,600	166764BP4	37503	CHEVRON CORP.	03/03/2017	8,000,000.00	8,000,000.00	7,928,832,00	1.991	1.963	1.991	03/03/2020	427
37386 IBM O1127/2017 9,990,400.00 10,000,000.00 25,215,508.34 1,125 2,180 1,900 03/01/2019 38504 JOHNSON &	02079KAA5	38601	GOOGLE INC	04/16/2018	17,169,064,90	16,705,000.00	17,040,803,91	3.625	2.645	2.682	05/19/2021	869
38504         JOHNSON & JOHNSON         JOHNSON & JOHNSON         G3/08/2018         25,221,01.04         25,282,000.00         25,215,568.34         1.125         2.146         2.190         3/01/2019           38966         JPMORGAN         09/23/2016         12,500,000.00         1,650         1,650         1,681         1,685         03/01/2019           38968         JPMORGAN         09/23/2016         1,2487,250.00         7,571,495.00         1,650         1,661         1,687         2,682         10/27/2020           38629         Coca-Cola Co         03/19/2018         7,500,000.00         7,571,495.00         1,875         2,646         2,682         10/27/2020           38623         MICROSOFT CORP         03/19/2017         14,989,950.00         10,000,000.00         1,891,100.00         1,875         1,847         1,875         2,646         2,682         10/27/2020           38123         MICROSOFT CORP         03/15/2018         14,088,811.04         14,096,000.00         1,887,000.00         1,887,000.00         1,887,000.00         1,887,000.00         1,887,000.00         1,887,000.00         1,887,000.00         1,797         1,707         2,748         1,717/2020           38526         PROCTER & GAMBLE         03/16/2016         19,267,200.00	459200JN2	37380	IBM	01/27/2017	9,990,400,00	10,000,000,00	9,886,200.00	1.900	1.906	1,933	01/27/2020	391
385G         JPMORGAN         199/23/2016         12,487,250.00         12,585,000.00         16,50         1,68         1,68         9/23/2019           38529         Coca-Cola Co         03/19/2018         7,344,425.00         7,500,000.00         7,371,495.00         1,68	478160BR4	38504	JOHNSON & JOHNSON	03/08/2018	25,022,101,04	25,282,000.00	25,215,508.34	1.125	2.180	2.190	03/01/2019	59
38529         Coca-Cola Co         03/19/2018         7,348,425.00         7,500,000.00         7,371,495.00	48125LRG9	36966	JPMORGAN	09/23/2016	12,487,250.00	12,500,000.00	12,385,000.00	1.650	1.661	1.685	09/23/2019	265
36923 MICROSOFT CORP 08/08/2016 9,989,700.00 10,000,000.00 14,891,100.00 1.100 1.119 1.135 08/08/2019 37419 MICROSOFT CORP 02/06/2017 14,989,950.00 15,000,000.00 13,976,043.04 1.867 1.877 1.872 02/12/2020 1.847 1.872 02/12/2020 1.847 1.872 02/12/2020 1.847 1.872 02/12/2020 1.847 1.872 02/12/2020 1.847 1.872 02/12/2020 1.847 1.872 02/12/2020 1.8487,800.00 1.700 2.728 2.768 11/03/2021 1.88526 PROCTER & GAMBLE 03/16/2018 19,972,000.00 20,000,000.00 19,887,000.00 1.700 2.709 2.709 2.709 1.700 2.709 1.700 2.709 1.700 2.709 1.700 2.709 1.700 2.709 1.700 1.820 1.847 11/03/2019 1.9872,000.00 1.9887,000.00 1.992019 1.9981,974.56 1.988 1.986 1.988 1.986 1.988 1.986 1.988 1.986 1.988 1.988 1.986 1.988 1.	191216BT6	38529	Coca-Cola Co	03/19/2018	7,348,425.00	7,500,000.00	7,371,495.00	1.875	2.646	2,682	10/27/2020	999
34123 MICROSOFT CORP 11/13/2017 14,989,950.00 15,000,000.00 14,891,100.00 1,867 1,847 1,873 02/06/2020 38123 MICROSOFT CORP 11/13/2017 14,088,811.04 14,096,000.00 13,976,043.04 1,867 1,873 02/12/2020 1,847 1,873 02/12/2020 1,847 1,873 02/12/2020 1,847 1,873 02/12/2020 1,848 1,448 1,848 1,848 1,448 1	594918BN3	36923	MICROSOFT CORP	08/08/2016	9,989,700.00	10,000,000,01	9,900,500.00	1.100	4.119	1.135	08/08/2019	219
38123 MICROSOFT CORP 11/13/2017 14,088,811.04 14,096,000.00 13,976,043.04 1.867 1.872 02/12/2020 18.487,800.00 17.00 2.728 2.766 11/03/2021 1.38524 PROCTER & GAMBLE 03/16/2018 9,640,400.00 10,000,000.00 19,487,800.00 1.700 2.728 2.766 11/03/2021 1.38526 PROCTER & GAMBLE 03/16/2018 9,640,400.00 10,000,000 0 19,487,000.00 17.00 2.728 2.747 11/03/2021 1.38776	594918BV5	37419	MICROSOFT CORP	02/06/2017	14,989,950.00	15,000,000.00	14,891,100.00	1.850	1.847	1.873	02/06/2020	401
38524         PROCTER & GAMBLE         03/15/2018         19,267,200.00         20,000,000.00         19,487,800.00         1.700         2.728         2.766         11,03/2021         1.700           38526         PROCTER & GAMBLE         03/16/2018         9,640,400.00         10,000,000.00         19,487,900.00         1.700         2.709         2.747         11,03/2021         1.700           36776         TOYOTA MOTOR CREDIT         05/20/2016         19,497,500.00         5,000,000.00         4,941,550.00         1.428         1.447         05/20/2019           37702         TOYOTA MOTOR CREDIT         04/17/2017         4,997,700.00         5,000,000.00         4,941,550.00         1.950         1.948         1.948         1.947/2019           37702         TOYOTA MOTOR CREDIT         05/10/2018         8,159,902.00         5,000,000.00         4,937,900.00         1.950         1.988         1.948         1.948         1.949         1.949         1.949         1.949         1.940         1.	594B18AY0	38123	MICROSOFT CORP	11/13/2017	14,088,811.04	14,096,000.00	13,976,043,04	1.850	1.847	1.872	02/12/2020	407
38526         PROCTER & GAMBLE         03/16/2018         9,640,400.00         10,000,000.00         1,743,900.00         1,700         2,709         2,747         11/03/2021         1,700         2,709         2,747         11/03/2021         1,700         2,709         2,747         11/03/2021         1,700         2,700         1,700         2,700         1,400         1,700         2,747         11/03/2021         1,700         2,747         11/03/2021         1,700         2,747         1,700         1,700         2,747         1,700         2,700         1,700         2,700         1,700         2,700         1,700         2,747         1,720         1,700         2,747         1,720         1,720         1,547         1,547         1,720         1,720         1,547         1,547         1,720	742718EQ8	38524	PROCTER & GAMBLE	03/15/2018	19,267,200.00	20,000,000,00	19,487,800.00	1.700	2.728	2.766	11/03/2021	1.037
36776 TOYOTA MOTOR CREDIT 05/20/2016 19,972,000.00 20,000,000.00 19,887,000.00 1.400 1.428 1.447 05/20/2019 1.201 1.0019/2019	742718EQ8	38526	PROCTER & GAMBLE	03/16/2018	9,640,400,00	10,000,000,01	9,743,900.00	1.700	2.709	2,747	11/03/2021	1,037
37057 TOYOTA MOTOR CREDIT 10/18/2016 4,997,500.00 5,000,000.00 4,947,550.00 1.550 1.545 1.587 10/18/2019 37702 TOYOTA MOTOR CREDIT 04/17/2017 4,997,700.00 5,000,000.00 4,937,900.00 1.950 1.938 1.965 04/17/2020 38700 TOYOTA MOTOR CREDIT 05/10/2018 8,159.902.00 8,198,278.00 1.700 2.411 2.445 01/09/2019 38700 US BANK 1.554 10/28/2016 19,861,974.56 19,589,000.00 19,450,701.66 2.125 1.533 1.554 10/28/2019	89236TDE2	36776	TOYOTA MOTOR CREDIT	05/20/2016	19,972,000.00	20,000,000,00	19,887,000.00	1.400	1.428	1.447	05/20/2019	139
37702 TOYOTA MOTOR CREDIT 04/17/2017 4,997,700.00 5,000,000 00 1,950 1,938 1,985 04/17/2020 8,200,000,00 8,198,278,00 1,700 2,411 2,445 01/09/2019 36773 U.S.BANK 05/19/2016 19,961,974.56 19,589,000,00 19,450,701.66 2,125 1,533 1,554 10/28/2019	89236TDH5	37057	TOYOTA MOTOR CREDIT	10/18/2016	4,997,500.00	5,000,000.00	4,941,550.00	1.550	1.545	1.567	10/18/2019	290
38700 TOYOTA MOTOR CREDIT 05/10/2018 8,159,902.00 8,700,000.00 8,798,278.00 1,700 2.411 2.445 01/09/2019 36773 U.S.BANK 05/19/2016 19,961,974.56 19,589,000.00 19,450,701.66 2.125 1.533 1,554 10/28/2019	89236TDU6	37702	TOYOTA MOTOR CREDIT	04/17/2017	4,997,700.00	5,000,000,00	4,937,900.00	1.950	1.938	1.965	04/17/2020	472
36773 U.S.BANK 05/19/2016 19,961,974.56 19,589,000,00 19,450,701.66 2.125 1.533 1.554 10/28/2019	89236TDM4	38700	TOYOTA MOTOR CREDIT	05/10/2018	8,159,902.00	8,200,000.00	8,198,278.00	1,700	2.411	2.445	01/09/2019	00
	90331HML4	36773	U S BANK	05/19/2016	19,961,974.56	19,589,000.00	19,450,701.66	2.125	1.533	1,554	10/28/2019	300

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Corporate Bonds           90331HNJB         38409         U S BANK         01/23/2018           90331HNJB         38076         WALMART         10/20/2017           931142EA7         38076         WALMART         06/20/2017           9498BAD5         38495         WALMART         06/02/2018           9498BAD5         38495         EXXON MOBIL CORP         05/06/2018           30231GAP7         38505         EXXON MOBIL CORP         03/06/2018           30231GAP4         38516         EXXON MOBIL CORP         03/06/2018           30231GAP4         38565         EXXON MOBIL CORP         03/10/2018           30231GAP4         38565         EXXON MOBIL CORP         03/10/2018           Asset Backed Securities (ABS)         EXXON MOBIL CORP         03/10/2018           02582AHG8         38062         BANK OF AMERICA CORP         03/10/2018           02582AD406         38686         AMERICAN EXPRESS         05/30/2018           05552RCZ3         33062         BANK OF AMERICA CORP         12/12/2018           05552AD4         38680         CHASE ISSUANCE TRUST         01/24/2018           05562AD4         38412         BANK OF AMERICA CORP         12/12/2018           05652AD4	Date	Remaining Cost	Par Value	Market Value	Rate	360	365 365	Maturity Days 10 Date Maturity	urity
38076 38076 38076 38076 38086 38086 38086 380876 38869 38869 38869 38082 38083 38082 38082 38082 38083 38082 38083 38083 38083 38083 38083 38084 400000 40000 40000 40000 400000 4000000									
3826 WALMART 38826 WELLS FARGO 38495 EXXON MOBIL CORP 38505 EXXON MOBIL CORP 38515 EXXON MOBIL CORP 38546 EXXON MOBIL CORP 38646 AMERICAN EXPRESS 39062 AMERICAN EXPRESS 39062 BANK OF AMERICA CREDIT CARD 37036 BANK OF AMERICA CREDIT CARD 37036 BANK OF HICLE OWNER TRUST 38412 BANK VEHICLE OWNER TRUST CHASE ISSUANCE TRUST 38687 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37050 JOHN DEERE OWNER T T 38000 JOHN DEERE OWNER TRUST	01/23/2018	44,979,750.00	45,000,000.00	44,714,250.00	2.350	2.340	2.373	01/23/2020	387
38826 WELLS FARGO 38495 EXXON MOBIL CORP 38505 EXXON MOBIL CORP 38505 EXXON MOBIL CORP 38505 EXXON MOBIL CORP 38515 EXXON MOBIL CORP 38646 AMERICAN EXPRESS 39062 BANK OF AMERICA CORP 39082 BANK OF AMERICA CORP 39082 BANK OF AMERICA CORP 39082 BANK VEHICLE LEASE TRUST 36893 BANW VEHICLE COWNER TRUST 36893 BANW VEHICLE OWNER TRUST CHASE ISSUANCE TRUST 36800 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37050 JOHN DEERE OWNER TRUST 36600 JOHN DEERE OWNER TRUST 36600 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST	10/20/2017	6,989,850.00	7,000,000,00	6,890,170.00	1.900	1.920	1.947	12/15/2020	714
38905  38495  38495  EXXON MOBIL CORP  38505  EXXON MOBIL CORP  38515  EXXON MOBIL CORP  38646  AMERICAN EXPRESS  39062  BANK OF AMERICA CORP  39082  BANK OF AMERICA CREDIT CARD  37036  BANK VEHICLE LEASE TRUST  38829  CHASE ISSUANCE TRUST  38929  CHASE ISSUANCE TRUST  38929  CHASE ISSUANCE TRUST  40NDA AUTO RECEIVABLES OWNER T  40NDA AUTO RECEIVABLES OWNER T  37059  HONDA AUTO RECEIVABLES OWNER T  40NDA AUTO RECEIVABLES OWNER T  37059  HONDA AUTO RECEIVABLES OWNER T  37059  HONDA AUTO RECEIVABLES OWNER T  370615  HONDA AUTO RECEIVABLES OWNER T  37060  JOHN DEERE OWNER TRUST  60 JOHN DEERE OWNER TRUST  1004N DEERE OWNER TRUST  1004N DEERE OWNER TRUST  1004N DEERE OWNER TRUST  1004N DEERE OWNER TRUST	06/27/2018	39,987,600.00	40,000,000,00	40,064,800,00	2.850	2.843	2.882	06/23/2020	539
38505	06/02/2016	11,994,840.00	12,000,000.00	11,939,040,00	1.750	1.740	1.764	05/24/2019	143
38505	03/06/2018	6,707,177.76	6,744,000,00	6,731,988.94	1.708	2.239	2,270	03/01/2019	53
EXXON MOBIL CORP  BAMERICAN EXPRESS  AMERICAN EXPRESS  AMERICAN EXPRESS  BANK OF AMERICA CORP  BANK OF HICLE OWNER TRUST  CHASE ISSUANCE TRUST  GHASE ISSUANCE TRUST  CHASE ISSUANCE TRUST  CHASE ISSUANCE TRUST  CHASE ISSUANCE TRUST  HONDA AUTO RECEIVABLES OWNER T  JOHN DEERE OWNER TRUST  JOHN DEERE OWNER TRUST  JOHN DEERE OWNER TRUST	03/09/2018	8,219,873.10	8,265,000.00	8,250,280.04	1.708	2.244	2,275	03/01/2019	59
Subtotal and  AMERICAN EXPRESS  AMERICAN EXPRESS  AMERICAN EXPRESS  BANK OF AMERICA CORP  BANK OF AMERICA CORP  BANK OF AMERICA CORP  BANK OF HICLE LEASE TRUST  BANK VEHICLE OWNER TRUST  CHASE ISSUANCE TRUST (ABS)  CHASE ISSUANCE TRUST (ABS)  CHASE ISSUANCE TRUST  HONDA AUTO RECEIVABLES OWNER T  JOHN DEERE OWNER TRUST  JOHN DEERE OWNER TRUST  JOHN DEERE OWNER TRUST	03/12/2018	12,942,150.00	13,000,000.00	12,976,054.00	1.819	2.236	2.287	03/15/2019	73
AMERICAN EXPRESS  AMERICAN EXPRESS  BANK OF AMERICA CORP  CHASE ISSUANCE TRUST  CHASE ISSUANCE TRUST  CHASE ISSUANCE TRUST  CHASE ISSUANCE TRUST  CHASE ISSUANCE CEIVABLES OWNER T  HONDA AUTO RECEIVABLES OWNER T  JOHN DEERE OWNER TRUST  JOHN DEERE OWNER TRUST  JOHN DEERE OWNER TRUST	09/10/2018	9,844,600.00	10,000,000,00	9,856,020.00	2.222	2.837	2.876	03/01/2021	790
AMERICAN EXPRESS  AMERICAN EXPRESS  BANK OF AMERICA CORP  BANK OF AMERICA CORP  BANK OF AMERICA CREDIT CARD  BMW VEHICLE LEASE TRUST  BMW VEHICLE OWNER TRUST  CHASE ISSUANCE TRUST (ABS)  CHASE ISSUANCE TRUST (ABS)  HONDA AUTO RECEIVABLES OWNER T  JOHN DEERE OWNER TRUST  JOHN DEERE OWNER TRUST		498,439,375.55	499,834,000.00	496,319,858.85		2,123	2.153		432
37862 AMERICAN EXPRESS 38646 BANK OF AMERICA CORP 39062 BANK OF AMERICA CORP 39062 BANK OF AMERICA CORP 39082 BANK OF AMERICA CREDIT CARD 37036 BANK VEHICLE LEASE TRUST 36893 BAWW VEHICLE OWNER TRUST 38412 BAWW VEHICLE OWNER TRUST 38412 BAWW VEHICLE OWNER TRUST CHASE ISSUANCE TRUST 38076 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37076 HONDA AUTO RECEIVABLES OWNER T 37076 HONDA AUTO RECEIVABLES OWNER T 37076 HONDA AUTO RECEIVABLES OWNER T 36600 JOHN DEERE OWNER TRUST 36600 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST									
38646         AMERICAN EXPRESS           39062         BANK OF AMERICA CORP           39082         BANK OF AMERICA CREDIT CARD           37036         BANW VEHICLE LEASE TRUST           38412         BMW VEHICLE OWNER TRUST           38429         CHASE ISSUANCE TRUST (ABS)           38708         CHASE ISSUANCE TRUST (ABS)           38687         HONDA AUTO RECEIVABLES OWNER T           37059         HONDA AUTO RECEIVABLES OWNER T           38738         HONDA AUTO RECEIVABLES OWNER T           38013         HONDA AUTO RECEIVABLES OWNER T           36600         JOHN DEERE OWNER TRUST           37601         JOHN DEERE OWNER TRUST           37602         JOHN DEERE OWNER TRUST           37603         JOHN DEERE OWNER TRUST	05/30/2017	33,994,553.20	34,000,000.00	33,841,209.80	1.640	1.602	1.624	12/15/2021	1,079
39062 BANK OF AMERICA CORP 39082 BANK OF AMERICA CREDIT CARD 37036 BMW VEHICLE LEASE TRUST 38412 BMW VEHICLE OWNER TRUST 38422 CHASE ISSUANCE TRUST (ABS) 38708 CHASE ISSUANCE TRUST (ABS) 38687 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 47051 JOHN DEERE OWNER TRUST	03/21/2018	44,994,775.50	45,000,000.00	44,824,837,50	2.670	2.653	2.690	10/17/2022	1,385
39082  3082  BANK OF AMERICA CREDIT CARD 37036  BMW VEHICLE LEASE TRUST 38412  BMW VEHICLE OWNER TRUST 38929  CHASE ISSUANCE TRUST (ABS) 38708  CHASE ISSUANCE TRUST (ABS) 38687  HONDA AUTO RECEIVABLES OWNER T 37059  HONDA AUTO RECEIVABLES OWNER T 47056  JOHN DEERE OWNER TRUST 47050  JOHN DEERE OWNER TRUST	12/12/2018	20,147,080,47	20,140,000.00	20,196,909.60	3.000	2.862	2,902	09/15/2023	1,718
37036 BMW VEHICLE LEASE TRUST 36893 BMW VEHICLE OWNER TRUST 38412 BMW VEHICLE OWNER TRUST 38929 CHASE ISSUANCE TRUST (ABS) 38708 CHASE ISSUANCE TRUST (ABS) 36687 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 47056 HONDA AUTO RECEIVABLES OWNER T 37076 HONDA AUTO RECEIVABLES OWNER T 37076 HONDA AUTO RECEIVABLES OWNER T 38073 HONDA AUTO RECEIVABLES OWNER T 39073 HONDA AUTO RECEIVABLES OWNER T 39073 JOHN DEERE OWNER TRUST 3600 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 37607 JOHN DEERE OWNER TRUST	12/18/2018	15,000,585,94	15,000,000.00	15,093,901,50	3,100	3.064	3,107	12/15/2023	1,809
36893 BMW VEHICLE OWNER TRUST 38412 BMW VEHICLE OWNER TRUST 36829 CHASE ISSUANCE TRUST (ABS) 36587 HONDA AUTO RECEIVABLES OWNER T 36800 HONDA AUTO RECEIVABLES OWNER T 37645 HONDA AUTO RECEIVABLES OWNER T 37645 HONDA AUTO RECEIVABLES OWNER T 37645 HONDA AUTO RECEIVABLES OWNER T 37646 HONDA AUTO RECEIVABLES OWNER T 38738 HONDA AUTO RECEIVABLES OWNER T 38043 JOHN DEERE OWNER T 36600 JOHN DEERE OWNER TRUST 36600 JOHN DEERE OWNER TRUST 36605 JOHN DEERE OWNER TRUST 36606 JOHN DEERE OWNER TRUST 36606 JOHN DEERE OWNER TRUST	10/13/2016	2,016,486,00	2,016,752.62	2,013,757,34	1.430	1,423	1,443	09/20/2019	262
38412 BMW VEHICLE OWNER TRUST 38929 CHASE ISSUANCE TRUST (ABS) 38708 CHASE ISSUANCE TRUST (ABS) 38687 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37765 HONDA AUTO RECEIVABLES OWNER T 38736 HONDA AUTO RECEIVABLES OWNER T 38737 HONDA AUTO RECEIVABLES OWNER T 38738 HONDA AUTO RECEIVABLES OWNER T 38013 HONDA AUTO RECEIVABLES OWNER T 38013 JOHN DEERE OWNER TRUST 36000 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST	07/20/2016	4,532,828.81	4,532,849.21	4,503,394.30	1,160	1.147	1.163	11/25/2020	694
38929 CHASE ISSUANCE TRUST (ABS) 38708 CHASE (SSUANCE TRUST (ABS) 36687 HONDA AUTO RECEIVABLES OWNER T 37059 HONDA AUTO RECEIVABLES OWNER T 37765 HONDA AUTO RECEIVABLES OWNER T 37876 HONDA AUTO RECEIVABLES OWNER T 38738 HONDA AUTO RECEIVABLES OWNER T 38738 HONDA AUTO RECEIVABLES OWNER T 39013 JOHN DEERE OWNER TRUST 36500 JOHN DEERE OWNER TRUST 36505 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST	01/24/2018	31,999,680.00	32,000,000.00	31,772,345.60	2.350	2,329	2,362	04/25/2022	1,210
38708         CHASE ISSUANCE TRUST (ABS)         6           36687         HONDA AUTO RECEIVABLES OWNER T         6           37059         HONDA AUTO RECEIVABLES OWNER T         7           37976         HONDA AUTO RECEIVABLES OWNER T         6           38738         HONDA AUTO RECEIVABLES OWNER T         6           38738         HONDA AUTO RECEIVABLES OWNER T         6           38043         HONDA AUTO RECEIVABLES OWNER T         6           36500         JOHN DEERE OWNER TRUST         6           37507         JOHN DEERE OWNER TRUST         6           37507         JOHN DEERE OWNER TRUST         6           37507         JOHN DEERE OWNER TRUST         6	08/11/2016	22,993,668,10	23,000,000.00	22,798,393.50	1.270	1,267	1,285	07/15/2021	926
36587         HONDA AUTO RECEIVABLES OWNER T           36800         HONDA AUTO RECEIVABLES OWNER T           37059         HONDA AUTO RECEIVABLES OWNER T           37976         HONDA AUTO RECEIVABLES OWNER T           38738         HONDA AUTO RECEIVABLES OWNER T           39043         HONDA AUTO RECEIVABLES OWNER T           36600         JOHN DEERE OWNER TRUST           36905         JOHN DEERE OWNER TRUST           3436         JOHN DEERE OWNER TRUST           38476         JOHN DEERE OWNER TRUST	05/10/2018	37,000,000,00	37,000,000.00	36,886,084,40	2.665	2.537	2.572	04/17/2023	1,567
36800         HONDA AUTO RECEIVABLES OWNER T           37059         HONDA AUTO RECEIVABLES OWNER T           37976         HONDA AUTO RECEIVABLES OWNER T           38738         HONDA AUTO RECEIVABLES OWNER T           39013         HONDA AUTO RECEIVABLES OWNER T           3600         JOHN DEERE OWNER TRUST           36905         JOHN DEERE OWNER TRUST           37507         JOHN DEERE OWNER TRUST           38476         JOHN DEERE OWNER TRUST		1,715,227.95	1,715,471,72	1,711,541.06	1.220	1,213	1.230	12/18/2019	351
37659 HONDA AUTO RECEIVABLES OWNER T 37615 HONDA AUTO RECEIVABLES OWNER T 38738 HONDA AUTO RECEIVABLES OWNER T 39013 HONDA AUTO RECEIVABLES OWNER T 39013 HONDA AUTO RECEIVABLES OWNER T 36600 JOHN DEERE OWNER TRUST 36505 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 38476 JOHN DEERE OWNER TRUST 6600 JOHN DEERE OWNER TRUST	_	2,992,143.46	2,992,201.51	2,980,361.67	1,390	1,375	1.395	04/15/2020	470
37615 HONDA AUTO RECEIVABLES OWNER T C 37976 HONDA AUTO RECEIVABLES OWNER T C 39013 HONDA AUTO RECEIVABLES OWNER T C 39013 HONDA AUTO RECEIVABLES OWNER T C 36000 JOHN DEERE OWNER TRUST C 36905 JOHN DEERE OWNER TRUST C 37507 JOHN DEERE OWNER TRUST C 38476 JOHN DEERE OWNER TRUST C	-	7,572,806.86	7,573,298.37	7,505,097.03	1.210	1.199	1.216	12/18/2020	717
39736 HONDA AUTO RECEIVABLES OWNER T 39013 HONDA AUTO RECEIVABLES OWNER T 39600 JOHN DEERE OWNER TRUST 36905 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 38476 JOHN DEERE OWNER TRUST	_	13,555,083.28	13,555,889.86	13,439,341.74	1,720	1,706	1,729	06/22/2020	538
38738 HONDA AUTO RECEIVABLES OWNER T 39013 HONDA AUTO RECEIVABLES OWNER T 36600 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 68476 JOHN DEERE OWNER TRUST	_	27,997,578.00	28,000,000.00	27,710,482.80	1.680	1.666	1.690	08/16/2021	958
39013 HONDA AUTO RECEIVABLES OWNER T 36600 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST 38476 JOHN DEERE OWNER TRUST OHN DEERE OWNER TRUST	_	11,999,738.40	12,000,000,00	12,011,922,00	3.010	2.988	3.030	05/18/2022	1,233
36900 JOHN DEERE OWNER TRUST 36905 JOHN DEERE OWNER TRUST 37507 JOHN DEERE OWNER TRUST OHN DEERE OWNER TRUST	-	21,746,748.38	21,750,000.00	21,853,332.08	3.160	3.144	3.188	01/17/2023	1,477
36905 JOHN DEERE OWNER TRUST JOHN DEERE OWNER TRUST JOHN DEERE OWNER TRUST	03/02/2016	1,914,012.89	1,914,314,21	1,909,829.74	1.360	1,353	1.371	04/15/2020	470
37507 JOHN DEERE OWNER TRUST	07/27/2016	3,336,210.53	3,336,476,12	3,321,325.18	1.250	1.240	1,257	06/15/2020	531
38476 CHN DEERE OWNER TRIST	03/02/2017	7,484,890.47	7,485,956,48	7,432,468.57	1.780	1.769	1.793	04/15/2021	835
SOUNDER DEEN CONNECTION	02/28/2018	8,249,406.83	8,250,000,00	8,223,281.55	2.660	2.641	2.678	04/18/2022	1,203
58768MAC5 37065 MERCEDES-BENZ AUTO LEASE TRUST 10/26/2016		234,978.26	234,979.02	234,862,56	1,350	1.335	1.354	08/15/2019	226

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CUSIP	Investment #	İssuer	Purchase Date	Remaining Cost	Par Value	Market Value	Current	YTM 360	YTM 365	Maturity Days To Date Maturity	/s To turity
Asset Backed S	Asset Backed Securities (ABS)										
58769DAE0	38785	MERCEDES-BENZ AUTO LEASE TRUST	06/11/2018	3,965,156.25	4,000,000.00	3,968,163.20	2.010	2.701	2.739	01/17/2023	1,477
58769BAD6	36956	MERCEDES -BENZ AUTO REC TRUST	09/14/2016	5,604,160.73	5,604,843.97	5,561,017.45	1.260	1,251	1,269	02/16/2021	777
65478UAD1	36557	NISSAN AUTO LEASE TRUST	02/10/2016	2,101,159.28	2,101,636.78	2,090,577,55	1.340	1.335	1.353	10/15/2020	653
65478DAD9	38475	NISSAN AUTO LEASE TRUST	02/28/2018	18,497,885,45	18,500,000.00	18,411,930.75	2.650	2.585	2.621	05/16/2022	1,231
89237KAD5	36601	TOYOTA AUTO REC OWNER TRUST	03/02/2016	2,769,044.87	2,769,201,89	2,760,523.77	1,250	1.238	1,256	03/16/2020	440
89231LAD9	37035	TOYOTA AUTO REC OWNER TRUST	10/12/2016	8,393,966,80	8,395,017.86	8,336,934,41	1.230	1.222	1.239	10/15/2020	653
89238MAD0	37568	TOYOTA AUTO REC OWNER TRUST	03/15/2017	8,989,138,48	8,990,196,63	8,926,136.98	1,730	1.684	1.708	02/16/2021	777
89238KAD4	38137	TOYOTA AUTO REC. OWNER TRUST	11/15/2017	23,997,787.20	24,000,000.00	23,624,714.40	1,930	1.914	1.940	01/18/2022	1,113
89231PAD0	38958	TOYOTA AUTO REC OWNER TRUST	11/07/2018	9,997,837.00	10,000,000.00	10,079,834.00	3,180	3.167	3.211	03/15/2023	1,534
		Subtotal	I and Average	405,794,619.47	405,859,086,25	404,024,512.03		2:192	2.222		1,182
Asset Backed S	Asset Backed Securities-Green Bond	puo									
89231UAD9	36753	TOYOTA AUTO REC OWNER TRUST	05/11/2016	1,035,701.80	1,035,754.73	1,030,166.00	1.300	1,283	1.301	04/15/2020	470
		Subtota	Subtotal and Average	1,035,701.80	1,035,754.73	1,030,166.00		1.284	1.301		470
Municipal Bonds	ds										
13063CSQ4	36313	CALIFORNIA ST	10/27/2015	10,282,955.20	10,220,000.00	10,084,074,00	1.800	1.632	1.655	04/01/2020	456
13063CP87	36731	CALIFORNIA ST	04/28/2016	14,925,600.00	15,000,000,00	14,565,900.00	1.500	1.608	1.630	04/01/2021	821
65887PHS7	37523	North Dakota State Finance	03/06/2017	578,915.00	500,000.00	541,665.00	5.000	1,400	1,420	10/01/2021	1,004
64990CEM9	36822	New York State Dormatory Auth	06/14/2016	3,000,000,00	3,000,000.00	2,978,640,00	1.456	1,435	1,455	07/01/2019	181
91412GWV3	35763	UNIVERSITY CALIFORNIA REVS	03/25/2015	250,000.00	250,000,00	249,422.50	2.003	1.975	2,002	05/15/2019	134
91412GD36	36710	UNIVERSITY CALIFORNIA REVS	04/20/2016	3,000,000.00	3,000,000.00	2,984,040.00	1.169	1.152	1.168	05/15/2019	134
		Subtotal	I and Average	32,037,470.20	31,970,000.00	31,403,741.50		1,557	1.578		22.2
Commercial Paper, Discount	aper, Discount										
16677KNJ8	38987	CHEVRON	11/21/2018	14,942,966.70	15,000,000.00	14,982,300.00	2.360	2.369	2.401	01/18/2019	17
16677KNJ8	38988	CHEVRON	11/21/2018	39,847,911.11	40,000,000.00	39,952,800.00	2.360	2,369	2.401	01/18/2019	17
45113VPS3	39065	IBM	12/13/2018	44,754,375.00	45,000,000.00	44,802,352.35	2.620	2.634	2.670	02/26/2019	56
24422MNW7	39060	John Deere Capital Corporation	12/12/2018	59,796,850.00	00'000'000'09	59,868,499.80	2.490	2.498	2.533	01/30/2019	29
48640QNU1	38685	JP Morgan Securities	05/03/2018	39,235,000.00	40,000,000.00	39,918,177.60	2,550	2.635	2.671	01/28/2019	27
46640QUS8	38931	JP Morgan Securities	10/29/2018	44,011,125.00	45,000,000.00	44,229,960.00	2.930	3.023	3.065	07/26/2019	206
59157UNU0	39000	METLIFE SHORT TERM FUND	11/26/2018	23,151,536.25	23,250,000.00	23,207,323,23	2,420	2.430	2.464	01/28/2019	27

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Commercial Paper, Discount 59157UNV6 39007 88580DN26 39092 63753QNX1 38099 63753QP84 38687 7426M3NJ4 38766 7426M3PF0 38766 387788 89233HN42 38577	scount		The state of the s	nemalling cost				200	200		Date maturity
	-000										
	39007	METLIFE SHORT TERM FUND	11/27/2018	16,677,937.78	16,750,000.00	16,717,058.28	2.420	2.430	2.464	01/30/2019	29
	39092	3M COMPANY	12/19/2018	49,953,527.78	50,000,000.00	49,993,444,50	2,390	2,392	2,425	01/02/2019	ŧ
	39099	Natixis NY Branch	12/21/2018	49,851,944,44	50,000,000.00	49,886,534,50	2.600	2,607	2,643	01/31/2019	30
	38974	NATL SEC CLEARING CORP (DTC)	5) 11/16/2018	44,741,700.00	45,000,000.00	44,884,511.10	2.460	2.474	2.508	02/08/2019	38
	38687	PRIVATE EXPORT FUND CORPORATIO	RATIO 05/03/2018	49,194,722,22	50,000,000,00	49,934,250.00	2.230	2.300	2.332	01/18/2019	17
	38766	PRIVATE EXPORT FUND CORPORATIO	RATIO 06/01/2018	49,179,833.33	50,000,000,00	49,826,520.50	2.280	2,347	2,379	02/15/2019	45
	38788	PRIVATE EXPORT FUND CORPORATIO	RATIO 06/13/2018	29,538,300.00	30,000,000.00	29,905,710,00	2.280	2.336	2.369	02/11/2019	41
	38577	TOYOTA MOTOR CREDIT	04/09/2018	63,820,250.00	65,000,000.00	64,982,955.70	2.420	2.493	2.528	01/04/2019	3
		on.	Subtotal and Average	618,697,779.61	625,000,000.00	623,092,397.56		2.501	2.535		38
Federal Agency Discount Notes	unt Notes										
313312BT6 3	38610	FFCB DISCOUNT NOTE	04/18/2018	35,372,100.00	36,000,000.00	35,903,988.00	2,100	2.157	2,187	02/11/2019	41
313312BM1 3	38980	FFCB DISCOUNT NOTE	11/20/2018	24,875,409,72	25,000,000.00	24,943,325.00	2.330	2.374	2.407	02/05/2019	35
313312BG4 3	39019	FFCB DISCOUNT NOTE	11/29/2018	29,878,725.00	30,000,000,00	29,942,490.00	2.310	2.351	2.384	01/31/2019	30
313312BE9 3	38883	FFCB DISC NOTE	10/11/2018	24,827,361.11	25,000,000,00	24,955,375.00		2.275	2.307	01/29/2019	28
313384AD1 3	38365	FHLB DISCOUNT	01/04/2018	34,361,250.00	35,000,000,00	34,995,380.00	1.800	1.850	1.876	01/04/2019	en
313384CH0 3	38543	FHLB DISCOUNT	03/27/2018	16,960,319.31	17,300,000.00	17,237,720.00	2.110	2.171	2.201	02/25/2019	55
313384BF5 3	38936	FHLB DISCOUNT	10/31/2018	49,708,041,67	50,000,000,00	49,907,450.00	2.310	2.355	2.388	01/30/2019	29
313384BF5 3	39035	FHLB DISCOUNT	12/06/2018	39,854,922 22	40,000,000.00	39,925,960.00	2.374	2.415	2.449	01/30/2019	29
313384CL1 3	39128	FHLB DISCOUNT	12/28/2018	24,897,097.22	25,000,000.00	24,905,000.00		2.399	2.433	02/28/2019	58
313384AB5 3	39129	FHLB DISCOUNT	12/31/2018	174,979,097.23	175,000,000.00	175,000,000,00	2,150	2.180	2.210	01/02/2019	÷
		53	Subtotal and Average	455,714,323.48	458,300,000.00	457,716,688.00		2.232	2.263		20
Treasury Bills											
912796QU6 3	38915	U S TREASURY BILL	10/25/2018	34,797,840.97	35,000,000,00	34,951,350.00		2.330	2.362	01/24/2019	23
912796UJ6 3	38998	U S TREASURY BILL	11/26/2018	34,889,118.06	35,000,000,00	34,971,300.00		2.320	2.352	01/15/2019	14
912796UL1 3	39040	U S TREASURY BILL	12/07/2018	44,843,318,75	45,000,000.00	44,918,179,07		2.406	2,439	01/29/2019	28.
			Subtotal and Average	114,530,277.78	115,000,000.00	114,840,829.07		2,357	2,390		22
Local Agency Investment Fund	nent Fund										
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	41,270,790.90	41,270,790.90	41,270,790.90	2.210	2.179	2.210		-
		51	Subtotal and Average	41,270,790.90	41,270,790.90	41,270,790.90		2.180	2,210		-

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CUSIP	Investment #	Issuer	Purchase Date	Remaining Cost	Par Value	Market Value	Current	360	YTM 365	Maturity Days To Date Maturity	s To urity
Money Market											
SYS37590	37590	JP MORGAN US GOVT MMF	02/27/2017	375,000,038.34	375,000,038.34	375,000,038,34	2.280	2.248	2.280		+
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	193,644.09	193,644.09	193,644,09	2.330	2.298	2.330		+
SYS23519	23519	BLACKKROCK TREASURY LIQ FUND	02/01/2008	509,159,411.27	509,159,411.27	509,159,411.27	2.320	2.288	2.320		+
		Subtotal	al and Average	884,353,093.70	884,353,093.70	884,353,093.70		2.271	2,303		-
Supranationals - Green Bond	- Green Bond										
45818WBU9 45905UVR2	38324	IADB INTI BANK RECON & DEVELOP	12/21/2017	25,000,000.00	25,000,000.00	25,022,000.00	2.486	2,417	1.170	01/15/2022	1,110
			Subtotal and Average	70,000,000.00	70,000,000.00	69,981,050.00		1.605	1.628		414
Supranationals											
4581X0CX4	37663	IADB	04/12/2017	34,917,050.00	35,000,000,00	34,551,300.00	1.625	1,680	1,704	05/12/2020	497
45818WBP0	38317	IADB	12/21/2017	45,036,450,00	45,000,000.00	45,070,200.00	2,506	2.384	2.417	07/15/2022	1,291
45818WBM7	38385	IADB	01/12/2018	20,130,800.00	20,000,000.00	20,089,000.00	2.636	2.325	2,357	07/15/2021	926
45818WBM7A	38739	IADB	05/30/2018	10,077,200.00	10,000,000.00	10,044,500,00	2.636	2.292	2.324	07/15/2021	926
459058FA6	36653	INTL BANK RECON & DEVELOP	03/30/2016	19,938,800.00	20,000,000.00	19,704,600.00	1.376	1.435	1,455	03/30/2020	454
459058FK4	36881	INTL BANK RECON & DEVELOP	07/13/2016	39,991,600.00	40,000,000.00	39,556,000,00	0.876	0.869	0.881	08/15/2019	226
		Subtotal	al and Average	170,091,900.00	170,000,000.00	169,015,600.00		1.760	1.784	l	714
ABS - Cont.											
17305EFW0	37208	CITIBANK CREDIT CARD ISSUANCE	12/08/2016	39,997,856.00	40,000,000.00	39,575,548,00	1.750	1.725	1.749	11/19/2021	1,053
		Subtotal	al and Average	39,997,856,00	40,000,000.00	39,575,548.00		1.726	1.750		1,053
		Total Investments	ts and Average	8,190,628,963.04	8,204,843,476.07	8,162,704,925.12		2.106	2.135		406

#### Fund WK - WORKERS COMP Investments by Fund December 31, 2018

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CUSIP	Investment # Issuer	Issuer	Purchase Date	Remaining Cost	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity	vs To
Federal Agency Bonds	Bonds										
3133EJZU6	38872	FFCB NOTES	09/27/2018	2,990,940.00	3,000,000.00	3,022,479,00	2.850	2,915	2.956	09/20/2021	993
3133EJZH5	38879	FFCB NOTES	10/05/2018	991,190,00	1,000,000.00	1,006,193.00	2,800	2,997	3,038	09/13/2022	1,351
3133EJ3B3	39112	FFCB NOTES	12/24/2018	2,003,820.00	2,000,000.00	2,012,126.00	2.800	2,695	2.732	12/17/2021	1,081
3130A0F70	39114	FHLB NOTES	12/24/2018	1,538,445.00	1,500,000.00	1,545,823.50	3.375	2,778	2.817	12/08/2023	1,802
3137EAEC9	37310	FHLMC NOTES	12/30/2016	2,405,175.00	2,500,000.00	2,414,517.50	1.125	1.961	1.988	08/12/2021	954
3135G0R39	37309	FNMA NOTES	12/30/2016	3,451,070,00	3,500,000.00	3,453,607,50	1.000	1,489	1.510	10/24/2019	296
		Subtotal	tal and Average	13,380,640.00	13,500,000.00	13,454,746.50		2.330	2.363		937
Money Market SYS34789	34789	BLACKKROCK TREASURY LIQ FUND	12/26/2013	15,077,748.67	15,077,748.67	15,077,748,67	2.320	2,288	2.320		1
		Subtotal	tal and Average	15,077,748.67	15,077,748.67	15,077,748,67		2.288	2.320		٠
		Total Investments	its and Average	28,458,388,67	28,577,748.67	28,532,495.17		2.308	2.340		442

## Fund PCF - PARK CHARTER FUND Investments by Fund

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December 31, 2018

	Investment# Issuer	Purchase	Remaining Cost	Par Value	Market Value	Rate	360	365	Maturity Days To Date Maturity	sturity
Federal Agency Bonds										
3133EJ3B3 39113	FFCB NOTES	12/24/2018	1,703,247.00	1,700,000.00	1,710,307.10	2.800	2.695	2.732	12/17/2021	1,081
3137EAEC9 37307	FHLMC NOTES	12/30/2016	481,035.00	500,000,00	482,903,50	1,125	1,96,1	1.988	08/12/2021	954
3135G0R39 37308	FNMA NOTES	12/30/2016	1,479,030.00	1,500,000,00	1,480,117.50	1.000	1.488	1.508	10/24/2019	296
		Subtotal and Average	3,663,312.00	3,700,000.00	3,673,328.10		2.109	2.138		746
Money Market										
SYS33657 33657	BLACKKROCK TREASURY LIQ FUND	RY LIG FUND 09/30/2012	517,153.29	517,153.29	517,153,29	2.320	2.288	2,320		+
		Subtotal and Average	517,153.29	517,153.29	517,153.29		2.288	2.320		1
		Total Investments and Average	4,180,465.29	4,217,153.29	4,190,481.39		2.131	2.160		654

### Fund SJE - SAN JOSE- EVERGREEN Investments by Fund December 31, 2018

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CUSIP	Investment #	Issuer	Date	Remaining Cost	Par Value	Market Value	Rate	360	365	Date Maturity	urity
Municipal Bonds											
011770256	35082	ALASKA ST	05/08/2014	237,632.00	200,000.00	203,682.00	5.000	1.252	1.270	08/01/2019	212
011770256	35083	ALASKA ST	05/08/2014	297,040.00	250,000.00	254,602.50	5,000	1,252	1.270	08/01/2019	212
13063C5Q9	37543	CALIFORNIA ST	03/14/2017	579,740,00	500,000.00	555,195,00	5.000	1.844	1.870	08/01/2022	1,308
3063BFJ6	37573	CALIFORNIA ST	03/20/2017	447,000.00	400,000.00	416,200.00	5.250	2.661	2,698	03/01/2022	1,155
13063BAM4	37714	CALIFORNIA ST	03/08/2017	249,300.00	225,000.00	230,980.50	5.250	0.957	0.971	10/01/2021	1,004
20772.10,96	37546	Connecticut-F	03/09/2017	569,125,00	500,000.00	536,945.00	5.000	1,873	1,900	11/15/2021	1,049
34153P3H9	34972	FLORIDA STATE BOARD EDUCATION	04/01/2014	413,157.50	350,000.00	354,690.00	5.000	1.351	1.370	06/01/2019	151
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,271,056,20	1,065,000.00	1,115,512,95	5.000	1.588	1.610	07/01/2020	547
373384YJ9	34915	GEORGIA ST	03/10/2014	421,190,00	350,000.00	355,645.50	5.000	1.035	1,050	07/01/2019	181
57582PK74	35081	MASSACHUSETTS ST	05/08/2014	623,841.80	530,000.00	534,255.90	5.000	1.242	1.260	04/01/2019	90
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	232,492.00	200,000.00	219,744.00	5.000	1.807	1.630	04/01/2022	1,186
5741925H9	34869	MARYLAND ST	02/05/2014	243,999,20	205,000,00	206,094.70	5.000	1.114	1.130	03/01/2019	59
5741927Y0	34870	MARYLAND ST	02/05/2014	119,165.00	100,000,00	100,663.00	5.000	1.114	1.130	03/15/2019	73
574193JK5	35122	MARYLAND ST	06/02/2014	518,927.20	440,000.00	442,349,60	5.000	1.095	1,110	03/01/2019	59
6041294D5	35288	MINNESOTA ST	08/21/2014	240,548.00	200,000.00	210,004.00	5.000	1,410	1.430	08/01/2020	578
658256B43	34900	NORTH CAROLINA ST	03/03/2014	137,045.50	115,000.00	115,615.25	5.000	1.035	1.050	03/01/2019	59
658256T93	35131	NORTH CAROLINA ST	06/05/2014	438,762.85	365,000.00	380,592.80	5.000	1,400	1,420	05/01/2020	486
677521082	37526	OHIOST	03/08/2017	351,478,99	305,000,00	329,732.45	5.000	1.509	1.530	10/01/2021	1,004
924258ZN9	34946	VERMONTST	03/21/2014	119,348.00	100,000,00	101,989.00	5.000	1.262	1.280	08/15/2019	226
93974CC65	37524	WASHINGTON ST	03/08/2017	575,750.00	500,000.00	538,730.00	5.000	1.352	1.371	07/01/2021	912
97705L4E4	34880	WISCONSIN STATE	02/13/2014	296,920,00	250,000.00	252,660.00	5,000	1.198	1.214	05/01/2019	120
97705L4E4	34881	WISCONSIN STATE	02/13/2014	118,935.00	100,000.00	101,064.00	5,000	1.169	1,185	05/01/2019	120
97705MFT7	37717	WISCONSIN STATE	03/29/2017	580,895.00	500,000.00	550,420.00	9.000	1.647	1.670	05/01/2022	1,216
		Subtotal	al and Average	9,083,349.20	7,750,000.00	8,107,368.15		1.485	1.506		624
Money Market - Tax Exempt	x Exempt										
SYS33615	33615	Dreyfus Tax Exempl Inst	09/25/2012	8,871,366.95	8,871,366.95	8,871,366.95	1.530	1,509	1.530		-
		Subtotal	al and Average	8,871,366.95	8,871,366.95	8,871,366.95	1	1.509	1.530		4

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# SANTA CLARA COUNTY INVESTMENTS Transaction Activity Report

October 1, 2018 - December 31, 2018
Sorted by Transaction Date
COMMINGLED POOL Fund

Investment #	Fund	CUSIP	Inv Descrip	TransactionDate	Dealer	Issuer	New	Principal	Interest	Total
38873	COMM	36960MK28	GE DISC NOTE MAT 10/01/2018	10/01/2018	GENERAL ELECTI	GENERAL ELECTRICGENERAL ELECTRIC	149,990,916.66			-149,990,916.66
38379	COMM	912828RH5	UNITED STATES	10/01/2018	Daiwa Capital Mark	Daiwa Capital Market U.S. TREASURY		25,000,000.00		25,000,000.00
35799	COMM	3133EEWG1	FEDERAL FARM CR 10/01/2018	10/01/2018	INCAPITAL ELC	FFCB NOTES			64,675.00	64,675.00
36313	COMM	13063CSQ4	CALIFORNIA ST FOR10/01/2018	10/01/2018	WELLS FARGO	CALIFORNIA ST			91,980.00	91,980.00
36641	COMM	3130A7HM0	FEDERAL HOME	10/01/2018	INCAPITAL LLC	FHLB NOTES			125,000.00	125,000.00
36644	COMM	3134G8TV1	FHLMC 1.22% MAT	10/01/2018	INCAPITAL LLC	FHLMC NOTES			42,700.00	42,700.00
36648	COMM	3134G8S83	FEDERAL HOME LN 10/01/2018	10/01/2018	INCAPITAL LLC	FHLMC NOTES			150,000.00	150,000,00
36649	COMM	3134G8PD5	FEDERAL HOME LN 10/01/2018	10/01/2018	INCAPITAL LLC	FHLMC NOTES			135,000.00	135,000.00
36650	COMM	3134G8PD5	FEDERAL HOME LN 10/01/2018	10/01/2018	INCAPITAL LLC	FHLMC NOTES.			25,076.25	25,076.25
36651	COMM	3134G8PD5	FEDERAL HOME LN 10/01/2018	10/01/2018	INCAPITAL LLC	FHLMC NOTES			43,031.25	43,031,25
36653	COMM	459058FA6	IBRD 1.375% MAT 10/01/2018	10/01/2018	MERRILL LYNCH	INTL BANK RECON &			137,600.00	137,600.00
36654	COMM	3134G8PD5	FEDERAL HOME LN 10/01/2018	10/01/2018	SUNTRUST	FHLMC NOTES			84,375.00	84,375.00
36731	COMM	13063CP87	CALIFORNIA ST FOR 10/01/2018	10/01/2018	WELLS FARGO	CALIFORNIA ST			112,500.00	112,500.00
36880	COMM	3136G3SV2	FEDERAL NATL MTG10/01/2018	10/01/2018	INCAPITAL LLC	FNMA NOTES			46,875.00	46,875.00
37017	COMM	3136G4BV4	FEDERAL NATL MTG10/01/2018	10/01/2018	BREAN CAPITAL I	LLCFNMA NOTES			145,000.00	145,000.00
37018	COMM	3133EGWH4	FEDERAL FARM CR 10/01/2018	10/01/2018	INCAPITAL LLC	FFCB NOTES			64,000,00	64,000.00
37518	COMM	3134GBBM3	FEDERAL HOME LN 10/01/2018	10/01/2018	INCAPITAL LLC	FHLMC NOTES			250,000.00	250,000.00
37523	COMM	65887PHS7	NORTH DAKOTA	10/01/2018	LOOP CAPITAL	North Dakota State F			12,500.00	12,500.00
38057	COMM	3137EAEJ4	FEDERAL HOME LN 10/01/2018	10/01/2018	KEYBANC CAPITAL	AL FHLMC NOTES			121,875.00	121,875.00
38379	COMM	912828RH5	UNITED STATES	10/01/2018	Daiwa Capital Mari	Daiwa Capital Market U.S. TREASURY			171,875.00	171,875.00
38496	COMM	3134GSGS8	FHLMC 2.7% MAT	10/01/2018	INCAPITAL LLC	FHLMC NOTES			270,000.00	270,000.00
		Totals for 10/01/2018	1018				149,990,916.66	25,000,000.00	2,094,062.50	2,094,062.50 -122,896,854.16
38874	COMM	36960MK36	GE DISC NOTE MAT 10/02/2018	10/02/2018	GENERAL ELECT	GENERAL ELECTRICGENERAL ELECTRIC	149,990,874 99			-149,990,874.99
38873	COMM	36960MK28	GE DISC NOTE MAT 10/02/2018	10/02/2018	GENERAL ELECT	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000,00		150,000,000.00
35873	COMM	3137EADM8	FEDERAL HOME LN 10/02/2018	10/02/2018	Daiwa Capital Mari	Daiwa Capital Market FHLMC NOTES			187,500.00	187,500.00
36322	COMM	3137EADM8	FEDERAL HOME LN 10/02/2018	10/02/2018	KEYBANC CAPITAL	AL FHLMC NOTES			62,500.00	62,500.00
36332	COMM	3137EADM8	FEDERAL HOME LN 10/02/2018	10/02/2018	KEYBANC CAPITAL	AL FHLMC NOTES			114,687,50	114,687,50
36337	COMM	3137EADM8	FEDERAL HOME LN 10/02/2018	10/02/2018	KEYBANC CAPITAL	AL FHLMC NOTES			20,000.00	50,000.00
36340	COMM	3137EADM8	FEDERAL HOME LN 10/02/2018	10/02/2018	KEYBANC CAPITAL	AL FILIMC NOTES			62,500.00	62,500.00
34292	COMM	SYS34292	MSTI0.%	10/02/2018		MORGAN STANLEY			299.68	299.68
34292	COMM	SYS34292	WSTI0.%	10/02/2018		MORGAN STANLEY	299.68			-299.68
37590	COMM	SYS37590	JPM TE 0.44%	10/02/2018	<b>JPMorganChase</b>	JP MORGAN US			90'0	0.05
37590	COMM	SYS37590	JPM TE 0.44%	10/02/2018	JPMorganChase	JP MORGAN US	90'0			50'0-

SANTA CLARA COUNTY INVESTMENTS
Transaction Activity Report
Sorted by Transaction Date - Transaction Date

		Totals for 10/02/2018	dinced Alli	ransactionDate	Dealer	Issuer	Principal Paydowns 149,991,174.72 150,000,000.00	47	Interest 7,487.23	Cash 486,312.51
38875	COMM	36960MK44	GE DISC NOTE MAT 10/03/2018	10/03/2018	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	149,990,416,68		-14	-149,990,416.68
38874	COMM	36960MK36	GE DISC NOTE MAT 10/03/2018	10/03/2018	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,00	00.00	15	150,000,000.00
		Totals for 10/03/2018					149,990,416.68 150,000,000.00	00.00		9,583.32
	COMM	313385,149	FHDN DISC NOTE	10/04/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055.56		-18	-199,988,055.56
38875	COMM	36960MK44	GE DISC NOTE MAT 10/04/2018	10/04/2018	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	00.000,000,02 L	00:00	4.5	150,000,000,00
		Totals for 10/04/2018					199,988,055.56 150,000,000.00	00.00	4	-49,988,055.56
38878	COMM	3133EJZH5	FEDERAL FARM CR 10/05/2018	10/05/2018	INCAPITALLLC	FFCB NOTES	13,900,615.56		5	-13,900,615.56
	COMM	313385,180	FHDN DISC NOTE	10/05/2018	UBS FINANCE	FHLB DISCOUNT	199,952,666,68		-18	-199,952,666.68
	COMM	459058ER0	IBRD 1 % MAT	10/05/2018	Daiwa Capital Market		15,000,000.00	00.00	5	15,000,000.00
36259	COMM	459058ER0	IBRD 1.% MAT	10/05/2018	MERRILL LYNCH	INTL BANK RECON &	25,000,000.00	00.00	e.	25,000,000.00
38876	COMM	313385,149	FHDN DISC NOTE	10/05/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,000	00.00	20	200,000,000.00
36258	COMM	459058ER0	IBRD 1.% MAT	10/05/2018	Daiwa Capital Market	STINTL BANK RECON &		75,0	75,000.00	75,000.00
36259	COMM	459058ER0	IBRD 1.% MAT	10/05/2018	MERRILL LYNCH	INTL BANK RECON &		125,0	125,000.00	125,000.00
37783	COMM	3135G0T45	FEDERAL NATL MTG10/05/2018	\$10/05/2018	JPMorganChase.	FNMA NOTES		375,0	375,000,00	375,000.00
38163	COMM	3135G0T78	FEDERAL NATL MTG10/05/2018	;10/05/2018	KEYBANC CAPITAL	FNMA NOTES		50,0	50,000.00	50,000.00
38346	COMM	3133EGKD6	FEDERAL FARM CR 10/05/2018	10/05/2018	BREAN CAPITAL LLCFFCB NOTES	CFFCB NOTES		56,8	56,875.00	56,875,00
38389	COMM	3130ACK52	FEDERAL HOME	10/05/2018	DEUTSCHE BANK	FHLB NOTES		212,5	212,500.00	212,500.00
		Totals for 10/05/2018	97				213,853,282.24 240,000,000.00		894,375.00 2	27,041,092.76
38880	COMM	313385,198	FHDN DISC NOTE	10/09/2018	UBS FINANCE	FHLB DISCOUNT	199,988,166,68		-19	-199,988,166.68
38877	COMM	313385,180	FHDN DISC NOTE	10/09/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,000	00:00	20	200,000,000.00
36679	COMM	3130A7PU3	FEDERAL HOME	10/09/2018	KEYBANC CAPITAL	FHLB NOTES		0'09	00'000'09	60,000,00
37639	COMM	3133EHEZ2	FEDERAL FARM CR. 10/09/2018	10/09/2018	INCAPITAL LLC	FFCB NOTES	1000	120,0	120,000.00	120,000.00
		Totals for 10/09/2018	25				199,988,166.68 200,000,000.00		180,000.00	191,833.32
	COMM	313385K21	FHDN DISC NOTE	10/10/2018	UBS FINANCE	FHLB DISCOUNT	199,988,166.68		-18	-199,988,166.68
	COMM	313385,198	FHDN DISC NOTE	10/10/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,00	00'00	20	200,000,000.00
		Totals for 10/10/2018	67				199,988,166.68 200,000,000.00	00.00		11,833,32
	COMM	313312BE9	FFCBDN DISC NOTE 10/11/2018	10/11/2018	MIZUHO	FFCB DISC NOTE	24,827,361,11		d	-24.827.361.11
	COMM	313385K39	FHLB DISC NOTE	10/11/2018	UBS FINANCE	FHLB DISCOUNT	199,988,166.68		-15	-199,988,166,68
	COMM	31381N7G2	FNMAM 3.27% MAT	10/11/2018	BOK FINANCIAL	FNMA Mutti-Family	8,642,168.42			-8,642,168.42
38881	COMM	313385K21	FHDN DISC NOTE	10/11/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,000	00.00	20	200,000,000.00
		Totals for 10/11/2018	g				233,457,696.21 200,000,000.00	00.00	7	-33,457,696,21
38885	COMM	313385K62	FHDN DISC NOTE	10/12/2018	UBS FINANCE	FHLB DISCOUNT	199,964,500.00		-15	-199,964,500.00
38882	COMM	313385K39	FHLB DISC NOTE	10/12/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,000.00	00.00	20	200,000,000.00
38310	COMM	3133EGYC3	FEDERAL FARM CR	10/12/2018	INCAPITAL LLC	FFCB NOTES		73.9	73,957,50	73,957.50
38858	COMM	3130A9MR9	FEDERAL HOME	10/12/2018	BREAN CAPITAL LLCFHLB NOTES	CFHLB NOTES		664	79,901.25	79,901.25
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		diam'r.	200		issaei	Principal	Paydowns	Interest	Casu
	Totals for 10/12/2018	8				199,964,500.00 200,068,803.85	3,068,803.85	85,054.90	189,358.75
38886 COMM	313385K70	FHDN DISC NOTE	10/15/2018	UBS FINANCE	FHLB DISCOUNT	199,988,222.24			-199,988,222.24
8506 COMM	SYS8506	LAIF 1.65%	10/15/2018		LOCAL AGENCY	7223,027.07			-223,027.07
36216 COMM	47787WAC3	JDOT 1.44% MAT	10/15/2018	ROYAL BANK OF	JOHN DEERE		191,815,29		191,815.29
36753 COMM	89231UAD9	TOYO 1.3% MAT	10/15/2018	CITIGROUP GLOB/	CITIGROUP GLOBAL TOYOTA AUTO REC		194,031.07		194,031.07
36753 COMM	89231UAD9	TOYO 1.3% MAT	10/15/2018	CITIGROUP GLOBA	CITIGROUP GLOBAL TOYOTA AUTO REC		9.92		9.92
38885 COMM	3133B5K62	FHDN DISC NOTE	10/15/2018	UBS FINANCE	FHLB DISCOUNT	200	200,000,000,002		200,000,000.00
36688 COMM	3133EF2L0	FEDERAL FARM CR	10/15/2018	INCAPITAL LLC	FFCB NOTES			140,000.00	140,000.00
36689 COMM	3133EF2L0	FEDERAL FARM CR	10/15/2018	Daiwa Capital Market FFCB NOTES	et FFCB NOTES.			105,000.00	105,000.00
36690 COMM	3133EF2L0	FEDERAL FARM CR	10/15/2018	CANTOR	FFCB NOTES.			105,000.00	105,000.00
36753 COMM	89231UAD9	TOYO 1.3% MAT	10/15/2018	CITIGROUP GLOB/	CITIGROUP GLOBAL TOYOTA AUTO REC.			1,763.25	1,763.25
37665 COMM	3133EHFL2	FEDERAL FARM CR 10/15/2018	10/15/2018	Daiwa Capital Market FFCB NOTES	et FFCB NOTES			116,250.00	116,250.00
37666 COMM	3133EHFL2	FEDERAL FARM CR 10/15/2018	10/15/2018	DEUTSCHE BANK	FFCB NOTES			77,500.00	77,500.00
37667 COMM	3133EHFL2	FEDERAL FARM CR 10/15/2018	10/15/2018	INCAPITAL LLC	FFCB NOTES.			77,500.00	77,500.00
38317 COMM	45818WBP0	IADB 1.42917% MAT 10/15/2018	10/15/2018	BMO CAPITAL	IADB			274,050.00	274,050.00
38324 COMM	45818WBU9	IADB 1.64203% MAT 10/15/2018	10/15/2018	BMO CAPITAL	IADB			147,750.00	147,750.00
38385 COMM	45818WBM7	IADB 1.92152% MAT	10/15/2018	MERRILL LYNCH	IADB			128,400.00	128,400.00
38506 COMM	3133EJGH6	FEDERAL FARM CR 10/15/2018	10/15/2018	INCAPITAL LLC	FFCB NOTES			280,600.00	280,600.00
38739 COMM	458T8WBM7A	IADB 1.92152% MAT	10/15/2018	BMO CAPITAL	IADB			64,200.00	64,200.00
36216 COMM	47787WAC3	JDOT 1.44% MAT	10/15/2018	ROYAL BANK OF	JOHN DEERE			230.24	230.24
36216 COMM	47787WAC3	JDOT 1.44% MAT	10/15/2018	ROYAL BANK OF	JOHN DEERE		15,596.84		15,596.84
36216 COMM	47787WAC3	JDOT 1.44% MAT	10/15/2018	ROYAL BANK OF	JOHN DEERE				0.00
36216 COMM	47787WAC3	JDOT 1,44% MAT	10/15/2018	ROYAL BANK OF	JOHN DEERE				0.00
36557 COMM	65478UAD1	NALT 1.34% MAT	10/15/2018	MERRILL LYNCH	NISSAN AUTO			3,356.01	3,356.01
36557 COMM	65478UAD1	NALT 1,34% MAT	10/15/2018	MERRILL LYNCH	NISSAN AUTO		297,271.13		297,271.13
36600 COMM	47788MAC4	JDOT 1.36% MAT	10/15/2018	MERRILL LYNCH	JOHN DEERE			3,977,01	3,977.01
36600 COMM	47788MAC4	JDOT 1,36% MAT	10/15/2018	MERRILL LYNCH	JOHN DEERE		484,125.68		484,125.68
36601 COMM	89237KAD5	TAOT 1,25% MAT	10/15/2018	MERRILL LYNCH	TOYOTA AUTO REC			4,965,60	4,965.60
36601 COMM	89237KAD5	TAOT 1.25% MAT	10/15/2018	MERRILL LYNCH	TOYOTA AUTO REC		664,411.23		664,411.23
36800 COMM	43814QAC2	HAROT 1.39% MAT	10/15/2018	MERRILL LYNCH	HONDA AUTO			5,487.52	5,487.52
36800 COMM	438140AC2	HAROT 1.39% MAT	10/15/2018	MERRILL LYNCH	HONDA AUTO		598,365.25		598,365.25
36905 COMM	47788NAC2	JDOT 1.25% MAT	10/15/2018	ROYAL BANK OF	JOHN DEERE			5,175,08	5,175.08
36905 COMM	47788NAC2	JDOT 1.25% MAT	10/15/2018	ROYAL BANK OF	JOHN DEERE		485,565.85		485,565.85
36929 COMM	161571HF4	CHAIT 1.27% MAT	10/15/2018	JPMorganChase	CHASE ISSUANCE			24,341.66	24,341.66
	161571HF4	CHAIT 1.27% MAT	10/15/2018	<b>JPMorganChase</b>	CHASE ISSUANCE		763,692.88		763,692.88
	58769BAD6	MBART 1.26% MAT	10/15/2018	CITIGROUP GLOBA	CITIGROUP GLOBAL MERCEDES -BENZ			7,793.12	7,793.12
36956 COMM	58769BAD6	MBART 1.26% MAT	10/15/2018	CITIGROUP GLOB,	CITIGROUP GLOBALMERCEDES -BENZ		591,420.36		591,420.36
37035 COMM	89231LAD9	TAOT 1.23% MAT	10/15/2018	<b>JPMorganChase</b>	TOYOTA AUTO REC			11,544.92	11,544.92
37035 COMM	89231LAD9	TAOT 1.23% MAT	10/15/2018	JPMorganChase	TOYOTA AUTO REC		941,554,03		941,554.03
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COMM	58768MAC5	MBALT 1,35% MAT	10/15/2018	BANK OF AMERICA	MERCEDES-BENZ		538,675,99		538,675.99
COMM	47787XAC1	JDOT 1.78% MAT	10/15/2018	BANK OF AMERICA	JOHN DEERE			12,608,33	12,608.33
COMM	47787XAC1	JDOT 1.78% MAT	10/15/2018	BANK OF AMERICA	JOHN DEERE		294,631.91		294,631.91
COMM	89238MAD0	TAOT 1 73% MAT	10/15/2018	BANK OF AMERICA	TOYOTA AUTO REC			14,416,67	14,416.67
COMM	89238MAD0	<b>TAOT 1.73% MAT</b>	10/15/2018	BANK OF AMERICA	TOYOTA AUTO REC		357,554.91		357,554.91
COMM	02582JHG8	AME 1.64% MAT	10/15/2018	ROYAL BANK OF	AMERICAN			46,466.68	46,466.68
COMM	02582JHG8	AME 1.64% MAT	10/15/2018	ROYAL BANK OF	AMERICAN		944,635.12		944,635.12
COMM	43811BAC8	<b>HAROT 1.68% MAT</b>	10/15/2018	CITIGROUP GLOBAL HONDA AUTO	LHONDA AUTO			39,200.00	39,200.00
COMM	43811BAC8	HAROT 1,68% MAT	10/15/2018	CITIGROUP GLOBAL HONDA AUTO	LHONDA AUTO		832,545.30		832,545.30
COMM	89238KAD4	TAOT 1.93% MAT	10/15/2018	JPMorganChase	TOYOTA AUTO REC			38,599.99	38,599.99
COMM	89238KAD4	TAOT 1.93% MAT	10/15/2018	JPMorganChase	TOYOTA AUTO REC		610,092,47		610,092.47
COMM	65478DAD9	NALT 2.65% MAT	10/15/2018	MERRILL LYNCH	NISSAN AUTO			40,854.16	40,854.16
COMM	65478DAD9	NALT 2.65% MAT	10/15/2018	MERRILL LYNCH	NISSAN AUTO		415,751.75		415,751,75
COMM	47788CAC6	JDOT 2.66% MAT	10/15/2018	ROYAL BANK OF	JOHN DEERE			18,287.50	18,287.50
COMM	47788CAC6	JDQT 2.66% MAT	10/15/2018	ROYAL BANK OF	JOHN DEERE		188,176.38		188,176,38
COMM	02582JHQ6	AME 2.67% MAT	10/15/2018	BARCLAYS CAPITAL AMERICAN	LAMERICAN			100,125.00	100,125.00
COMM	02582JHQ6	AME 2,67% MAT	10/15/2018	BARCLAYS CAPITAL AMERICAN	LAMERICAN		903,600,12		903,600.12
COMM	161571HN7	CHAIT 2 1227% MAT 10/15/2018	10/15/2018	BARCLAYS CAPITA	BARCLAYS CAPITAL CHASE ISSUANCE			67,870.65	67,870.65
COMM	T61571HN7	CHAIT 2,1227% MAT 10/15/2018	10/15/2018	BARCLAYS CAPITA	BARCLAYS CAPITAL CHASE ISSUANCE		656,121,99		656,121.99
COMM	58769DAE0	MBALT 2.01% MAT	10/15/2018	BOK FINANCIAL	MERCEDES-BENZ			6,700.00	6,700.00
COMM	58769DAE0	MBALT 2.01% MAT	10/15/2018	BOK FINANCIAL	MERCEDES-BENZ		82,812.65		82,812.65
COMM	SYS8506	LAIF 1.65%	10/15/2018		LOCAL AGENCY			223,027.07	223,027.07
	Totals for 10/15/2018	118				200,211,249.31 211,052,458.12	11,052,458.12	2,195,452.09	13,036,660.90
COMM	313385KBB	FHDN DISC NOTE	10/16/2018	UBS FINANCE	FHLB DISCOUNT	199,988,222.24			-199,988,222.24
COMM	313385K70	FHDN DISC NOTE	10/16/2018	UBS FINANCE	FHLB DISCOUNT	20	200,000,000,00		200,000,000.00
	Totals for 10/16/2018	118				199,988,222.24 200,000,000.00	00'000'000'00		11,777.76
COMM	313385K96	FHDN DISC NOTE	10/17/2018	UBS FINANCE	FHLB DISCOUNT	199,988,166.68		-2	-199,988,166.68
COMM	313385K96	FHDN DISC NOTE	10/17/2018	MIZUHO	FHLB DISCOUNT	49,997,041.67			49,997,041.67
COMM	3133EGYN9	FEDERAL FARM CR	8 10/17/2018	INCAPITAL LLC	FFCB NOTES		5,000,000,00		5,000,000.00
COMM	313385K88	FHDN DISC NOTE	10/17/2018	UBS FINANCE	FHLB DISCOUNT	20	200,000,000.00		200,000,000.00
COMM	3133EGYN9	FEDERAL FARM CR	3 10/17/2018	INCAPITAL LLC	FFCB NOTES			23,500.00	23,500.00
COMM	89236TDU6	TOYOTA MOTOR	10/17/2018	BANK OF AMERICA	TOYOTA MOTOR			48,750,00	48,750.00
	Totals for 10/17/2018	118				249,985,208.35 205,000,000.00	05,000,000,00	72,250.00	-44,912,958.35
COMM	313385L20	FHDN DISC NOTE	10/18/2018	UBS FINANCE	FHLB DISCOUNT	199,988,166.68			-199,988,166.68
COMM	3133851.20	FHDN DISC NOTE	10/18/2018	MIZUHO	FHLB DISCOUNT	49,997,041.67			49,997,041.67
COMM	313385K96	FHDN DISC NOTE	10/18/2018	UBS FINANCE	FHLB DISCOUNT	20	200,000,000.00		200,000,000.00
COMM	313385K96	FHDN DISC NOTE	10/18/2018	MIZUHO	FHLB DISCOUNT		50,000,000.00		50,000,000.00
COMM	89236TDH5	TOYOTA MOTOR	10/18/2018	MERRILL LYNCH	TOYOTA MOTOR			38,750.00	38,750.00
CONANA	43814NAC9	HAPOT 1 22% MAT	10/18/2018	IDMordanChase	HONDA AUTO			7 457 01	2 654 64

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36587	COMM	43814NAC9	HAROT 1,22% MAT	10/18/2018	JPMorganChase	HONDA AUTO		640,006.74		640,006.74
37059	COMM	43814RAC0	HAROT 1.21% MAT	10/18/2018	BARCLAYS CAPITAL HONDA AUTO	L HONDA AUTO			98'661'6	9,799.86
37059	COMM	43814RAC0	HAROT 1,21% MAT	10/18/2018	BARCLAYS CAPITAL HONDA AUTO	LHONDA AUTO		715,317.86		715,317.86
38738	COMM	43814UAG4	HAROT 3.01% MAT	10/18/2018	BARCLAYS CAPITAL HONDA AUTO	L HONDA AUTO			30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	10/18/2018	BARCLAYS CAPITAL HONDA AUTO	L HONDA AUTO		268,119.65		268,119.65
		Totals for 10/18/2018	18				249,985,208.35 25	251,623,444.25	82,301.77	1,720,537.67
38893	COMM	09659CKN7	BNP P DISC NOTE	10/19/2018	MERRILL LYNCH	BNP PARIBAS NY	49,991,000.00			49,991,000.00
38894	COMM	313385L53	FHDN DISC NOTE	10/19/2018	MIZUHO	FHLB DISCOUNT	49,991,125.00			-49,991,125.00
38895	COMM	313385153	FHDN DISC NOTE	10/19/2018	UBS FINANCE	FHLB DISCOUNT	199,964,500.00			-199,964,500.00
38892	COMM	63873KKN6	NATXNY DISC NOTE 10/19/2018	10/19/2018	MERRILL LYNCH	Nalixis NY Branch	99,982,000,00			-99,982,000.00
38890	COMM	313385L20	FHDN DISC NOTE	10/19/2018	UBS FINANCE	FHLB DISCOUNT	3(	200,000,000,002		200,000,000.00
38891	COMM	313385L20	FHDN DISC NOTE	10/19/2018	MIZUHO	FHLB DISCOUNT		50,000,000.00		50,000,000.00
		Totals for 10/19/2018	148				399,928,625.00 25	250,000,000.00		-149,928,625.00
38897	COMM	313385161	FHDN DISC NOTE	10/22/2018	MIZUHO	FHLB DISCOUNT	49,997,041.67			49,997,041.67
38900	COMM	313385L61	FHDN DISC NOTE	10/22/2018	UBS FINANCE	FHLB DISCOUNT	199,988,166.68			-199,988,166.68
38896	COMM	3130AFB63	FEDERAL HOME	10/22/2018	INCAPITAL LLC	FHLB NOTES	14,984,250.00			-14,984,250.00
38898	COMM	63873KKP1	NATXNY DISC NOTE 10/22/2018	510/22/2018	MERRILLLYNCH	Natixis NY Branch	49,997,000.00			49,997,000.00
38899	COMM	21687BKP5	RABO DISC NOTE	10/22/2018	MERRILL LYNCH	RABOBANK	99,994,027.78			-99,994,027.78
38892	COMM	63873KKN6	NATXNY DISC NOTE 10/22/2018	10/22/2018	MERRILL LYNCH	Natixis NY Branch	Ē	00'000'000'001		100,000,000,001
38893	COMM	09659CKN7	BNP P DISC NOTE	10/22/2018	MERRILL LYNCH	BNP PARIBAS NY		50,000,000.00		50,000,000.00
38894	COMM	313385L53	FHDN DISC NOTE	10/22/2018	MIZUHO	FHLB DISCOUNT		50,000,000.00		50,000,000.00
38895	COMM	313385L53	FHDN DISC NOTE	10/22/2018	UBS FINANCE	FHLB DISCOUNT	Z.	200,000,000,00		200,000,000.00
37725	COMM	3133EHGA5	FEDERAL FARM CR	10/22/2018	INCAPITAL LLC	FFCB NOTES			180,000.00	180,000.00
37835	COMM	3137EAEF2	FHLMC 1.375% MAT	10/22/2018	CITIGROUP GLOBAL FHILMC NOTES	ILFHLMC NOTES			309,375.00	309,375.00
37036	COMM	05582XAD4	BMWLT 1.43% MAT	10/22/2018	MIZUHO	BMW VEHICLE			5,012,63	5,012.63
37036	COMM	05582XAD4	BMWLT 1.43% MAT	10/22/2018	MIZUHO			17.067,979		679,790.71
37615	COMM	43814TAC6	HAROT 1.72% MAT		BANK OF AMERICA	N HONDA AUTO			23,617.52	23,617.52
37675	COMM	43814TAC6	HAROT 1,72% MAT	10/22/2018	BANK OF AMERICA HONDA AUTO	HONDA AUTO		973,364.88		973,364.88
		Totals for 10/22/2018	218				414,960,486.13 401,653,155.59	101,653,155.59	518,005.15	-12,789,325.39
38904	COMM	09659CKO0	BNP P DISC NOTE	10/23/2018	MERRILL LYNCH	BNP PARIBAS NY	49,997,000.00			49,997,000.00
38905	COMM	313385L79	FHDN DISC NOTE	10/23/2018	UBS FINANCE	FHLB DISCOUNT	199,988,222.24			-199,988,222.24
38906	COMM	3133851.79	FHDN DISC NOTE	10/23/2018	MIZUHO	FHLB DISCOUNT	49,997,055,56			49,997,055.56
38901	COMM	3130AFB63	FEDERAL HOME	10/23/2018	INCAPITAL LLC	FHLB NOTES	4,995,159.72			4,995,159.72
38903	COMM	63873KKO9	NATXNY DISC NOTE 10/23/2018	E 10/23/2018	MERRILL LYNCH	Natixis NY Branch	49,997,000.00			-49,997,000.00
38902	COMM	21687BKQ3	RABO DISC NOTE	10/23/2018	MERRILL LYNCH	RABOBANK	99,994,000.00			-99,994,000.00
38897	COMM	313385[61	FHDN DISC NOTE	10/23/2018	MIZUHO	FHLB DISCOUNT		50,000,000,00		50,000,000.00
38898	COMM	63873KKP1	NATXNY DISC NOTE 10/23/2018	E 10/23/2018	MERRILL LYNCH	Natixis NY Branch		50,000,000,00		50,000,000,00
38899	COMM	21687BKP5	RABO DISC NOTE	10/23/2018	MERRILL LYNCH	RABOBANK		100,000,000,00		100,000,000.00
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							454,968,437.52 400,000,000.00		-54,968,437.52
		313385L87	FHDN DISC NOTE	10/24/2018	UBS FINANCE	FHLB DISCOUNT	199,988,166,68		-199,988,166.68
		313385L87	FHDN DISC NOTE	10/24/2018	MIZUHO	FHLB DISCOUNT	49,997,041.67		-49,997,041.67
		313385L87	FHDN DISC NOTE	10/24/2018	MERRILL LYNCH.	FHLB DISCOUNT	49,997,041.67		-49,997,041.67
		63873KKR7	NATXNY DISC NOTE 10/24/2018	10/24/2018	MERRILL LYNCH	Natixis NY Branch	49,997,000,00		49,997,000.00
	COMM 2	21687BKR1	RABO DISC NOTE	10/24/2018	MERRILL LYNCH	RABOBANK	99,994,027.78		-99,994,027.78
	COMM 7	78012UJP1	RBC: 2.89% MAT	10/24/2018	ROYAL BANK OF	ROYAL BANK OF	25,000,000,00		-25,000,000.00
	COMM 9	90333VZU7	USBKMN 2.91% MAT 10/24/2018	10/24/2018	U S BANK	US BANK	35,000,000,00		-35,000,000,00
	COMM 3	3133EHGE7	FEDERAL FARM CR 10/24/2018	10/24/2018	MIZUHO	FFCB NOTES	26,502,000.00		26,502,000.00
	COMM 2	21687BKQ3	RABO DISC NOTE	10/24/2018	MERRILL LYNCH	RABOBANK	100,000,000,001		100,000,000,001
	COMM 6	63873KKQ9	NATXNY DISC NOTE 10/24/2018	10/24/2018	MERRILL LYNCH	Natixis NY Branch	50,000,000,00		50,000,000,00
38904 C	COMM 0	09659CKO0	BNP P DISC NOTE	10/24/2018	MERRILL LYNCH	BNP PARIBAS NY	50,000,000.00		50,000,000.00
38905	COMM 3	3133851.79	FHDN DISC NOTE	10/24/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,000		200,000,000,00
38906	COMM 3	313385L79	FHDN DISC NOTE	10/24/2018	MIZUHO	FHLB DISCOUNT	50,000,000,00		50,000,000,00
37641 C	COMM 3	3130AB3T1	FEDERAL HOME	10/24/2018	INCAPITAL LLC	FHLB NOTES		175,000,00	175,000.00
37746	COMM 3	3133EHGE7	FEDERAL FARM CR 10/24/2018	10/24/2018	MIZUHO	FFCB NOTES		159,012.00	159,012.00
	F	Totals for 10/24/2018	8				509,973,277.80 476,502,000.00	334,012.00	-33,137,265.80
38918	COMM 3	313385L95	FHDN DISC NOTE	10/25/2018	MIZUHO	FHLB DISCOUNT	49,997,055.56		-49,997,055,56
38919	COMM 3	3133851.95	FHDN DISC NOTE	10/25/2018	UBS FINANCE	FHLB DISCOUNT	199,988,222,24		-199,988,222.24
38916	COMM 6	63873KKS5	NATXNY DISC NOTE 10/25/2018	10/25/2018	MERRILL LYNCH	Natixis NY Branch	99,994,000.00		-99,994,000.00
38917	COMM 2	21687BKS9	RABO DISC NOTE	10/25/2018	MERRILL LYNCH	RABOBANK	99,994,027.78		-99,994,027.78
38915	COMM 9	912796QU6	UNITED STATES	10/25/2018	MIZUHO	U S TREASURY BILL	34,797,840.97		-34,797,840.97
38910	COMM 3	3133851.87	FHDN DISC NOTE	10/25/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,00		200,000,000.00
38911		313385L87	FHDN DISC NOTE	10/25/2018	MIZUHO	FHLB DISCOUNT	50,000,000,00		50,000,000.00
38912	COMM 6	63873KKR7	NATXNY DISC NOTE 10/25/2018	10/25/2018	MERRILL LYNCH	Natixis NY Branch	50,000,000,00		50,000,000,00
38913	COMM	21687BKR1	RABO DISC NOTE	10/25/2018	MERRILL LYNCH	RABOBANK	00.000,000,001		100,000,000,001
38914	COMM 3	313385L87	FHDN DISC NOTE	10/25/2018	MERRILL LYNCH	FHLB DISCOUNT	20,000,000,00		50,000,000,00
36893	COMM	05582QAD9	BMWOT 1.16% MAT	10/25/2018	<b>JPMorganChase</b>	BMW VEHICLE		6,109.48	6,109.48
36893	COMM	05582QAD9	BMWOT 1.16% MAT	10/25/2018	JPMorganChase	BMW VEHICLE	603,779.17		603,779.17
38387	COMM	3137AYCE9	FHLMCM 2.682%	10/25/2018	BREAN CAPITAL LL	BREAN CAPITAL LLCFHLMC Multi-Family		44,700.00	44,700.00
		3137AYCE9	FHLMCM 2.682%	10/25/2018	BREAN CAPITAL LL	BREAN CAPITAL LLCFHLMC Multi-Family	483,189.60		483,189.60
38391	COMM	3137AYCE9	FHLMCM 2,682%	10/25/2018	BREAN CAPITAL LL	BREAN CAPITAL LLCFHLMC Multi-Family		16,762.50	16,762,50
38391		3137AYCE9	FHLMCM 2.682%	10/25/2018	BREAN CAPITAL LL	BREAN CAPITAL LLCFHLMC Multi-Family	181,105,08		181,105.08
	COMM	09659QAD9	BMWOT 2.35% MAT	10/25/2018	<b>JPMorganChase</b>	BMW VEHICLE		62,666.66	62,666.66
	COMM	09659QAD9	BMWOT 2,35% MAT	10/25/2018	<b>JPMorganChase</b>	BMW VEHICLE	761,333.47		761,333.47
	COMM	3137AWQH1	FHLMCM 2,307%	10/25/2018	BREAN CAPITAL LI	BREAN CAPITAL LLCFHLMC Multi-Family		10,727.55	10,727.55
	COMM	3137AWOH1	FHEMCM 2.307%	10/25/2018	BREAN CAPITAL LI	BREAN CAPITAL LLCFHLMC Multi-Family	136,227.16		136,227.16
	COMM	3138LAYM5	FHLMCM 2.55% MAT 10/25/2018	10/25/2018	BOK FINANCIAL	FNMA Multi-Family		7,565.10	7,565.10
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT 10/25/2018	10/25/2018	BOK FINANCIAL	FNMA Mulli-Family	5,823,86		5,823.86

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SANTA CLARA COUNTY INVESTMENTS
Transaction Activity Report
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Interest Cash	55,333,34 55,333,34	452,678,18	12,603.75 12,603.75	193,527.68	12,603.75 12,603.75	193,527.68	26,250.08 26,250.08	278,463.61	44,700.00 44,700.00	474,350.94	12,176.25 12,176.25	99,131.68	29,425.00 29,425.00	208,885.21	-23,540.00 -23,540.00	00.00	7,815.01	0.00	310,268,45 -30,388,854.78	49,991,166,67	-199,964,666.68	-25,014,694,44	-12,000,000.00	-5,002,488.89	-99,982,000.00	-99,982,083.34	25,000,000.00	100,000,000,000	100,000,000,00	50,000,000,00	200,000,000.00	69,000.00	131,250.00 131,250.00	134,275.00 134,275.00	187,500.00 187,500.00	60,000.00 60,000.00	87,500,00 87,500.00	42 750 00 A3 750 00
Principal Paydowns In		452,678,18	12.6	193,527.68	12.4	193,527,68	26,7	278,463.61	44	474,350.94	12,	99,131,68	24,4	208,885.21	.23,		1,1-		484,771,146.55 454,072,023,32 310,3	49,991,166.67	199,964,665.68	25,014,694.44	12,000,000,00	5,002,488.89	99,982,000,00	99,982,083.34	25,000,000.00	100,000,000,000	100,000,000,001	50,000,000,000	200,000,000,00	69	131,	134,	781	09	87.	69
Issuer	BARCLAYS CAPITAL FHLMC Multi-Family	BARCLAYS CAPITAL FHLMC Multi-Family	VINING SPARKS IBG FNMA Multi-Family	VINING SPARKS IBG FNMA Multi-Family	e FNMA Multi-Family	e FNMA Multi-Family	BREAN CAPITAL LLCFHLMC Mulli-Family	BREAN CAPITAL LLCFHLMC Multi-Family	BREAN CAPITAL LLCFHLMC Mulli-Family	BREAN CAPITAL LLCFHLMC Mulli-Family	BREAN CAPITAL LLCFHLMC Multi-Family	BREAN CAPITAL LLCFHLMC Multi-Family	C FHLMC Multi-Family	C FHLMC Multi-Family	C FHLMC Multi-Family	C FHLMC Multi-Family	AL FNMA Mulli-Family	AL FNMA Multi-Family		FHLB DISCOUNT	FHLB DISCOUNT	PITAL FHLB NOTES	BREAN CAPITAL LLCFHLB NOTES	PITAL FHLB NOTES	CH Natixis NY Branch	CH RABOBANK	SE FHLMC NOTES	CH Natixis NY Branch	CH RABOBANK	FHLB DISCOUNT	FHLB DISCOUNT	C FHLMC NOTES	se FHLMC NOTES	ANK FFCB NOTES	C FHLMC NOTES	C FHLMC NOTES	FFCB NOTES	CEND MOTEO
Dealer	BARCLAYS CA	BARCLAYSCA	VINING SPARK	VINING SPARK	<b>JPMorganChase</b>	<b>JPMorganChase</b>	BREAN CAPITA	INCAPITAL LLC	INCAPITAL LLC	INCAPITAL LLC	INCAPITAL LLC	BOK FINANCIAL	<b>BOK FINANCIAL</b>		MIZUHO	UBS FINANCE	KEYBANC CAPITAL	BREAN CAPITA	KEYBANC CAPITAL	MERRILL LYNCH	MERRILL LYNCH	<b>JPMorganChase</b>	MERRILL LYNCH	MERRILL LYNCH	MIZUHO	UBS FINANCE	INCAPITAL LLC	JPMorganChase	DEUTSCHE BANK	INCAPITAL LLC	INCAPITAL LLC	MIZUHO	MIZITION					
TransactionDate	FHLMCM 3.32% MAT 10/25/2018	FHLMCM 3.32% MAT 10/25/2018	% MAT 10/25/2018	% MAT 10/25/2018	MAT 10/25/2018	% MAT 10/25/2018	82% 10/25/2018	82% 10/25/2018	82% 10/25/2018	82% 10/25/2018	FHLMCM 3.06% MAT 10/25/2018	FHLMCM 3.06% MAT 10/25/2018	31% 10/25/2018	31% 10/25/2018	31% 10/25/2018	31% 10/25/2018	% MAT 10/25/2018	% MAT 10/25/2018		VOTE 10/26/2018	NOTE 10/26/2018	JME 10/26/2018	20/26/2018	DME 10/26/2018	NATXNY DISC NOTE 10/26/2018	NOTE 10/26/2018	FEDERAL HOME LN 10/26/2018	NATXNY DISC NOTE 10/26/2018	VOTE 10/26/2018	NOTE 10/26/2018	VOTE 10/26/2018	DME LN. 10/26/2018	OME LN 10/26/2018	RM CR 10/26/2018	FEDERAL HOME LN 10/26/2018	DME_LN 10/26/2018	FEDERAL FARM CR 10/26/2018	BLOCACOL GO MODE INCRESSED
Inv Descrip	FHLMCM 3.32	FHLMCM 3.32	FNMAM 3.56% MAT	FNMAM 3.56% MAT	FNMAM 3.56% MAT	FNMAM 3.56% MAT	FHLMCM 2.682%	FHLMCM 2.682%	FHLMCM 2.682%	FHLMCM 2.682%	FHLMCM 3.06	FHLMCM 3.06	FHLMCM 3,531%	FHLMCM 3.531%	FHLMCM 3.531%	FHLMCM 3.531%	FNMAM 3.27% MAT	FNMAM 3.27% MAT	118	FHDN DISC NOTE	FHDN DISC NOTE	FEDERAL HOME	FEDERAL HOME	FEDERAL HOME	NATXNY DIS	RABO DISC NOTE	FEDERAL HO	NATXNY DIS	RABO DISC NOTE	FHDN DISC NOTE	FHDN DISC NOTE	FEDERAL HOME LN.	FEDERAL HOME LN	FEDERAL FARM CR	FEDERAL HC	FEDERAL HOME LN	FEDERAL FA	FEDERAL FA
CUSIP	3137B36J2	3137B36J2	3136B1XP4	3136B1XP4	3136B1XP4	3136B1XP4	3137AYCE9	3137AYCE9	3137AYCE9	3137AYCE9	3137B4WB8	3137B4WB8	3137B5JM6	3137B5JM6	3137B5JM6	3137B5JM6	31381N7G2	31381N7G2	Totals for 10/25/2018	313385M45	313385M45	3130AFB63	3130AFA72	3130AFB63	63873KKV8	21687BKV2	3134G8YU7	63873KKS5	21687BKS9	313385195	313385195	3134G9DD6	3134G8YU7	3133EF4Y0	3134GBEW8	3134GBEW8	3133EHJ95	3133FH 195
Fund	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	L'ONARA
Investment #	38643	38643	38664	38664	38665	38665	38666	38666	38744	38744	38854	38854	38864	38864	38864	38864	38884	38884		38925	38926	38920	38921	38922	38923	38924	36722	38916	38917	38918	38919	36721	36722	36726	37621	37668	38081	38082

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Investment #	Fund	CUSIP	Inv Describ	TransactionDate	Dealer	Lennys	New	Principal	Interest	Total
38927	COMM	313385M52	FHDN DISC NOTE	10/29/2018	UBS FINANCE	FHLB DISCOUNT	4		100	-199,988,222,24
38928	COMM	313385M52	FHDN DISC NOTE	10/29/2018	MIZUHO	FHLB DISCOUNT	49,997,055.56			-49,997,055.56
38931	COMM	46640QUS8	JPMSCC ZERO CPN 10/29/2018	1 10/29/2018	JPMorganChase.	JP Morgan Securities	44.011,125.00			-44,011,125.00
38930	COMM	63873KKW6	NATXNY DISC NOTE 10/29/2018	E 10/29/2018	MERRILL LYNCH	Natixis NY Branch	99,994,000.00			-99,994,000.00
38929	COMM	21687BKW0	RABO DISC NOTE	10/29/2018	MERRILL LYNCH	RABOBANK	99,994,027.78			-99,994,027.78
38923	COMM	63873KKV8	NATXNY DISC NOTE 10/29/2018	E 10/29/2018	MERRILL LYNCH	Natixis NY Branch	1001	00'000'000'00		100,000,000,001
38924	COMM	21687BKV2	RABO DISC NOTE	10/29/2018	MERRILL LYNCH	RABOBANK	1001	100,000,000,001		100,000,000,001
38925	COMM	313385M45	FHDN DISC NOTE	10/29/2018	MIZUHO	FHLB DISCOUNT	50,0	50,000,000,00		50,000,000,00
38926	COMM	313385M45	FHDN DISC NOTE	10/29/2018	UBS FINANCE	FHLB DISCOUNT	200,0	200,000,000,00		200,000,000,00
36729	COMM	3134G9AV9	FEDERAL HOME LN	10/29/2018	INCAPITAL LLC	FHLMC NOTES			93,750.00	93,750.00
36730	COMM	3134G9AV9	FEDERAL HOME LN	10/29/2018	WELLS FARGO	FHLMC NOTES			26,562.50	26,562,50
36773	COMM	90331HML4	USB 2 125% MAT	10/29/2018	BARCLAYS CAPITAL U S BANK	AL U S BANK			208,133.13	208,133.13
37696	COMM	3134GBEF5	FEDERAL HOME LN	J 10/29/2018	INCAPITAL LLC	FHLMC NOTES			30,940.00	30,940.00
38090	COMM	3134GBU83	FEDERAL HOME LN	10/29/2018	INCAPITAL LLC	FHLMC NOTES			150,000.00	150,000.00
38529	COMM	191216BT6	KOPP 1.875% MAT	10/29/2018	INCAPITAL LLC	Coca-Cola Co			70,312.50	70,312.50
		Totals for 10/29/2018	118				493,984,430.58 450,000,000.00	00.000,000	579,698.13	-43,404,732.45
38934	COMM	313385M60	FHDN DISC NOTE	10/30/2018	MIZUHO	FHLB DISCOUNT	49,997,055,56			-49,997,055,56
38935	COMM	313385M60	FHDN DISC NOTE	10/30/2018	UBS FINANCE	FHLB DISCOUNT	199,988,222.24			-199,988,222.24
38933	COMM	63873KL13	NATXNY DISC NOTE 10/30/2018	E 10/30/2018	MERRILL LYNCH	Natixis NY Branch	00'000'886'66			-99,988,000.00
38932	COMM	21687BKX8	RABO DISC NOTE	10/30/2018	MERRILL LYNCH	RABOBANK	99,994,027.78			-99,994,027.78
38927	COMM	313385M52	FHDN DISC NOTE	10/30/2018	UBS FINANCE	FHLB DISCOUNT	200,	200,000,000,00		200,000,000,00
38928	COMM	313385M52	FHDN DISC NOTE	10/30/2018	MIZUHO	FHLB DISCOUNT	20,	50,000,000,00		50,000,000,00
38929	COMM	21687BKW0	RABO DISC NOTE	10/30/2018	MERRILL LYNCH	RABOBANK	100.	100.000,000.001		100,000,000,001
38930	COMM	63873KKW6	NATXNY DISC NOTE 10/30/2018	E 10/30/2018	MERRILL LYNCH	Natixis NY Branch	100,	100,000,000,001		100,000,000,001
		Totals for 10/30/2018	918				449,967,305.58 450,000,000.00	000,000,000		32,694.42
38936	COMM	313384BF5	FHDN DISC NOTE	10/31/2018	MIZUHO	FHLB DISCOUNT	49,708,041.67			-49,708,041.67
38937	COMM	313385M78	FHLB DISC NOTE	10/31/2018	UBS FINANCE	FHLB DISCOUNT	21,113,988,991			-199,988,611.12
38938	COMM	313385M78	FHI B DISC NOTE	10/31/2018	MIZUHO	FHLB DISCOUNT	49,997,152,78			-49,997,152.78
38932	COMM	21687BKX8	RABO DISC NOTE	10/31/2018	MERRILL LYNCH	RABOBANK	1001	100,000,000,001		100,000,000,001
38934	COMM	313385M60	FHDN DISC NOTE	10/31/2018	MIZUHO	FHLB DISCOUNT	20	50,000,000.00		50,000,000,00
38935	COMM	313385M60	FHON DISC NOTE	10/31/2018	UBS FINANCE	FHLB DISCOUNT	200.	200,000,000.00		200,000,000,002
		Totals for 10/31/2018	018				299,693,805.57 350,000,000.00	000'000'000		50,306,194.43
38943	COMM	3133E.JK24	FEDERAL FARM CR 11/01/2018	3 11/01/2018	INCAPITAL LLC	FFCB NOTES.	15,012,900.00			-15,012,900.00
38939	COMM	313385M86	FHUN DISC NOTE	11/01/2018	MIZUHO	FHLB DISCOUNT	49,997,041,67			49,997,041.67
38940	COMM	313385M86	FHDN DISC NOTE	11/01/2018	MERRILL LYNCH	FHLB DISCOUNT	199,988,166.68			-199,988,166.68
38942	COMM	3130AFC54	FEDERAL HOME	11/01/2018	KEYBANC CAPITAL	L FHLB NOTES	14,998,354.17			-14,998,354.17
38941	COMM	63873KL21	NATXNY DISC NOTE 11/01/2018	E 11/01/2018	MERRILL LYNCH	Natixis NY Branch	99,994,000.00			-99,994,000,00
38933	COMM	63873KL13	NATXNY DISC NOTE 11/01/2018	E 11/01/2018	MERRILL LYNCH	Natixis NY Branch	100,	100,000,000,001		100,000,000,001
38937	COMM	313385M78	FHLB DISC NOTE	11/01/2018	UBS FINANCE	FHLB DISCOUNT	200,	200,000,000,00		200,000,000.00

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38938 COMM	313385M78	Inv Descrip FHLB DISC NOTE	TransactionDate	MIZUHO	Issuer FHLB DISCOUNT	Principal	Paydowns 50,000,000.00	Interest	Cash 50,000,000.00
	Totals for 11/01/2018					379,990,462,52	350,000,000.00		-29,990,462.52
COMM	313885N36	FHDN DISC NOTE	11/02/2018	MIZUHO	FHLB DISCOUNT	49,991,041,67			-49,991,041.67
COMM	313385N36	FHDN DISC NOTE	11/02/2018	UBS FINANCE	FHLB DISCOUNT	199,964,166.68			-199,964,166.68
COMM		FHLMC REMIC	11/02/2018	INCAPITAL LLC	FHLMC Multi-Family	10,607,696.94			-10,607,696.94
COMM	63873KL54	NATXNY DISC NOTE 11/02/2018	11/02/2018	MERRILL LYNCH	Natixis NY Branch	99,982,000,00			-99,982,000.00
COMM	313385M86	FHDN DISC NOTE	11/02/2018	MIZUHO	FHLB DISCOUNT		50,000,000,00		50,000,000.00
COMM	313385M86	FHDN DISC NOTE	11/02/2018	MERRILL LYNCH	FHLB DISCOUNT	2	200,000,000,00		200,000,000.00
COMM	63873KL21	NATXNY DISC NOTE 11/02/2018	11/02/2018	MERRILL LYNCH	Natixis NY Branch		100,000,000,001		100,000,000,001
COMM	3133EHP31	FFCB 1.95% MAT	11/02/2018	KEYBANC CAPITAL	FFCB NOTES			97,256.25	97,256.25
COMM	SVS34292	MSTI 0.%	11/02/2018		MORGAN STANLEY			335.90	335,90
COMM	SYS34292	MSTI 0.%	11/02/2018		MORGAN STANLEY	335.90			-335.90
COMM	SYS37590	JPM TE 0.44%	11/02/2018	JPMorganChase	JP MORGAN US			0.04	0.04
COMM	SYS37590	JPM TE 0.44%	11/02/2018	JPMorganChase.	JP MORGAN US	0.04			-0.04
	Totals for 11/02/2018					360,545,241.23 350,000,000.00	00'000'000'05	97,592.19	-10,447,649.04
COMM	05586FGN1	BNP P 2.93% MAT	11/05/2018	JPMorganChase	BNP PARIBAS NY	50,000,000,00			-50,000,000,00
COMM	313385N44	FHDN DISC NOTE	11/05/2018	UBS FINANCE	FHLB DISCOUNT	199,988,333.32			-199,988,333.32
COMM	313385N44	FHDN DISC NOTE	11/05/2018	MIZUHO	FHLB DISCOUNT	49,997,041.67			49,997,041.67
COMM	21687BL66	RB DISC NOTE MAT 11/05/2018	11/05/2018	MERRILL LYNCH	RABOBANK	99,994,027.78			-99,994,027.78
COMM	594918BF0	MSFT 1.3% MAT	11/05/2018	JPMorganChase	MICROSOFT CORP		20,000,000.00		20,000,000.00
COMM	313885N36	FHDN DISC NOTE	11/05/2018	MIZUHO	FHLB DISCOUNT		50,000,000,00		50,000,000,00
COMM	313385N36	FHDN DISC NOTE	11/05/2018	UBS FINANCE	FHLB DISCOUNT	, ix	200,000,000,002		200,000,000,00
COMM	63873KL54	NATXNY DISC NOTE 11/05/2018	11/05/2018	MERRILL LYNCH	Natixis NY Branch		100,000,000,001		100,000,000,001
COMM	594918BF0	MSFT 1.3% MAT	11/05/2018	JPMorganChase	MICROSOFT CORP			130,000.00	130,000.00
COMM	3133EHHG1	FFCB 1.75% MAT	11/05/2018	INCAPITAL LLC	FFCB NOTES			43,750.00	43,750.00
COMM	3133EHHG1	FFCB 1.75% MAT	11/05/2018	Daiwa Capital Market FFCB NOTES	IL FECB NOTES			87,500.00	87,500.00
COMM	742718EQ8	PG 1.7% MAT	11/05/2018	MIZUHO	PROCTER &			170,000,00	170,000.00
COMM	742718EQ8	PG 1.7% MAT	11/05/2018	MIZUHO	PROCTER &			85,000.00	85,000.00
	Totals for 11/05/2018					399,979,402.77 370,000,000.00	00.000,000,000	516,250.00	-29,463,152.77
COMM	313385N51	FHLB DISC NOTE	11/06/2018	UBS FINANCE	FHLB NOTES	199,988,166.68			-199,988,166.68
COMM	1 313385N51	FHLB DISC NOTE	11/06/2018	MIZUHO	FHLB NOTES	49,997,041.67			49,997,041.67
COMM	313385N44	FHDN DISC NOTE	11/06/2018	UBS FINANCE	FHLB DISCOUNT		200,000,000,002		200,000,000.00
COMM	1 21687BL66	RB DISC NOTE MAT	11/06/2018	MERRILL LYNCH	RABOBANK		100,000,000,001		100,000,000,001
COMM	313385N44	FHDN DISC NOTE	11/06/2018	MIZUHO	FHLB DISCOUNT		50,000,000,00		50,000,000.00
	Totals for 11/06/2018					249,985,208.35	350,000,000.00		100,014,791.65
COMM		BMOCHI 2.88% MAT 11/07/2018	11/07/2018	BMO CAPITAL	BANK OF	50,000,000,00			-50,000,000.00
COMM		FHDN DISC NOTE	11/07/2018	MIZUHO	FHLB DISCOUNT	49,997,083.33			49,997,083.33
CONTRA	STOOGENED	TTOM COLO MOLL	A A LICENTIAN OF	The Property of the Party of th	Pini Coole a lite	00 000 000			The same of the same

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Investment #	Fund	CUSIP	Inv Descrip	TransactionDate	Dealer	Issuer	Principal Paydowns	Interest	Cash
38958	COMM	89231PAD0	TAOT 3,18% MAT	11/07/2018	JPMorganChase	TOYOTA AUTO REC	0		-9,997,837.00
38955	COMM	313385N51	FHLB DISC NOTE	11/07/2018	UBS FINANCE	FHLB NOTES	200,000,000,000		200,000,000.00
38956	COMM	313385N51	FHLB DISC NOTE	11/07/2018	MIZUHO	FHLB NOTES	50,000,000.00		50,000,000.00
		Totals for 11/07/2018					309,983,253.65 250,000,000.00		-59,983,253.65
38962	COMM	313385N77	FHDN DISC NOTE	11/08/2018	MIZUHO	FHLB DISCOUNT	49 997,069.44		-49,997,069.44
38963	COMM	313385N77	FHDN DISC NOTE	11/08/2018	UBS FINANCE	FHLB DISCOUNT	199,988,277.76		-199,988,277.76
38961	COMM	3134GSZX6	FEDERAL HOME LN	11/08/2018	BARCLAYS CAPIT,	BARCLAYS CAPITAL FHLMC:NOTES	19,988,811,11		-19,988,811.11
38959	COMM	313385N69	FHDN DISC NOTE	11/08/2018	MIZUHO	FHLB DISCOUNT	20,000,000,00		50,000,000,00
38960	COMM	313385N69	FHDN DISC NOTE	11/08/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,000		200,000,000.00
37793	COMM	3133EHJA2	FEDERAL FARM CR 11/08/2018	11/08/2018	JPMorganChase.	FFCB NOTES		271,250.00	271,250.00
		Totals for 11/08/2018	8				269,974,158.31 250,000,000.00	271,250.00	-19,702,908.31
38964	COMM	313385P34	FHDN DISC NOTE	11/09/2018	UBS FINANCE	FHLB DISCOUNT	199,952,666.68		-199,952,666.68
38965	COMM	313385P34	FHDN DISC NOTE	11/09/2018	MIZUHO	FHLB DISCOUNT	49,988,166.67		49,988,166.67
38962	COMM	313385N77	FHDN DISC NOTE	11/09/2018	MIZUHO	FHLB DISCOUNT	90,000,000,00		50,000,000.00
38963	COMM	313385N77	FHDN DISC NOTE	11/09/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,00		200,000,000,00
		Totals for 11/09/2018	80				249,940,833.35 250,000,000.00		59,166.65
38966	COMM	313385P42	FHDN DISC NOTE	11/13/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055.56		-199,988,055.56
38967	COMM	313385P42	FHDN DISC NOTE	11/13/2018	MIZUHO	FHLB DISCOUNT	49,997,013.89		-49,997,013.89
38964	COMM	313385P34	FHDN DISC NOTE	11/13/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000.00		200,000,000.00
38965	COMM	313385P34	FHDN DISC NOTE	11/13/2018	MIZUHO	FHLB DISCOUNT	50,000,000,00		50,000,000.00
37663	COMM	4581X0CX4	IADB 1.625% MAT	11/13/2018	ROYAL BANK OF	IADB		284,375.00	284,375.00
38124	COMM	037833016	APPLE INC, SR NT	11/13/2018	JPMorganChase	APPLE INC		450,000.00	450,000.00
		Totals for 11/13/2018	8				249,985,069.45 250,000,000.00	734,375.00	749,305.55
38968	COMM	313385P59	FHDN DISC NOTE	11/14/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055.56		-199,988,055.56
38969	COMM	313385P59	FHON DISC NOTE	11/14/2018	MIZUHO	FHLB DISCOUNT	49,997,013.89		-49,997,013.89
38966	COMM	313385P42	FHDN DISC NOTE	11/14/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,00		200,000,000,00
38967	COMM	313385P42	FHDN DISC NOTE	11/14/2018	MIZUHO	FHLB DISCOUNT	50,000,000.00		50,000,000.00
		Totals for 11/14/2018	8				249,985,069.45 250,000,000.00		14,930.55
38970	COMM	313385P67	FHDN DISC NOTE	11/15/2018	UBS FINANCE	FHLB DISCOUNT	199,987,944.44		-199,987,944.44
38971	COMM	313385P67	FHON DISC NOTE	11/15/2018	MIZUHO	FHLB DISCOUNT	49,996,986,11		-49,996,986.11
36753	COMM	89231UAD9	TOYO 1.3% MAT	11/15/2018	CITIGROUP GLOB	CITIGROUP GLOBALTOYOTA AUTO REC	209,087.96		209,087,96
36753	COMM	89231UAD9	<b>TOYO 1.3% MAT</b>	11/15/2018	CITICROUP GLOB	CITIGROUP GLOBAL TOYOTA AUTO REC	10.68		10.68
38968	COMM	313385P59	FHON DISC NOTE	11/15/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,000		200,000,000.00
38969	COMM	313385P59	FHDN DISC NOTE	11/15/2018	MIZUHO	FHLB DISCOUNT	50,000,000,00		50,000,000.00
35763	COMM	91412GWV3		11/15/2018	MORGAN STANLEY	Y UNIVERSITY		2,503.75	2,503.75
36710	COMM	91412GD36	UNIVCA 1.169% MAT 11/15/2018	T 11/15/2018	WELLS FARGO	UNIVERSITY		17,535.00	17,535.00
36753	COMM	89231UAD9	TOYO 1.3% MAT	11/15/2018	CITIGROUP GLOB	CITIGROUP GLOBAL TOYOTA AUTO REC		1,553,04	1,553.04
37108	COMM	3130AA3R7	FHLB 1.375% MAT	11/15/2018	BANK OF AMERICA FHLB NOTES	A FHLB NOTES		240,625,00	240,625.00

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FEDERAL FARM CR 11/15/2018 BREAN CAPITAL LLC NALT 1.34% MAT 11/15/2018 MERRILL LYNCH 1000T 1.36% MAT 11/15/2018 MERRILL LYNCH 1000T 1.36% MAT 11/15/2018 MERRILL LYNCH 100T 1.35% MAT 11/15/2018 MERRILL LYNCH 100T 1.35% MAT 11/15/2018 MERRILL LYNCH 100T 1.25% MAT 11/15/2018 MERRILL LYNCH 11/15/2018 JPMorganChase MBART 1.26% MAT 11/15/2018 JPMorganChase MBART 1.23% MAT 11/15/2018 JPMorganChase MBART 1.35% MAT 11/15/2018 JPMorganChase MBART 1.35% MAT 11/15/2018 BANK OF AMERICA 1.04% MAT 11/15/2018 BANK OF BANC OF AMERICA 1.04% MAT 11/15/2018 BANC OF AMERICA 1.05% MAT 11/15/201			Patrician .	Total
COMM         313EGGB         FEDRAL FARM CR 11/15/2018         BREAN CAPITAL LLO           COMM         6478UADT         NALT 1.34% MAT         11/15/2018         MERRILL LYNCH           COMM         4778BMACA         JDOT 1.36% MAT         11/15/2018         MERRILL LYNCH           COMM         4778BMACA         JDOT 1.36% MAT         11/15/2018         MERRILL LYNCH           COMM         4778BMACA         JDOT 1.25% MAT         11/15/2018         PROYAL BANK OF           COMM         4778BMACA         JDOT 1.25% MAT         11/15/2018         PROYAL BANK OF           COMM         4778TAACT         JDOT 1.25% MAT         11/15/2018         BANK OF AMERICA           COMM         4778TAACT         JDOT 1.75% MAT         11/15/2018         BANK OF AMERICA <th>Inv Descrip TransactionDate Dealer Issuer</th> <th>Principal Paydowns</th> <th>Interest</th> <th>Cash</th>	Inv Descrip TransactionDate Dealer Issuer	Principal Paydowns	Interest	Cash
COMM         65478UADI         NALT 134% MAT         11/15/2018         MERRILL LYNCH           COMM         47788MACA         JODT 136% MAT         11/15/2018         MERRILL LYNCH           COMM         47788MACA         JODT 136% MAT         11/15/2018         MERRILL LYNCH           COMM         47788MACA         JODT 136% MAT         11/15/2018         MERRILL LYNCH           COMM         47788MACA         JODT 125% MAT         11/15/2018         MERRILL LYNCH           COMM         43784MACA         HAROT 136% MAT         11/15/2018         MERRILL LYNCH           COMM         43788MACA         JODT 125% MAT         11/15/2018         MERRILL LYNCH           COMM         47788MACA         JODT 125% MAT         11/15/2018         MERRILL LYNCH           COMM         47787HF4         CHAIT 127% MAT         11/15/2018         JPMOGgaChase           COMM         16157HF4         CHAIT 127% MAT         11/15/2018         JPMOGgaChase           COMM         8923RADG         MAALT 128% MAT         11/15/2018         JPMOGgaChase           COMM         8923RADG         TAOT 123% MAT         11/15/2018         JPMOGgaChase           COMM         47787ACT         JDOT 178% MAT         11/15/2018         BANK OF AMERICA			15,200.00	15,200.00
COMM         65478UADJ         NALT 1.34% MAT         11/15/2018         MERRILL LYNCH           COMM         4778BMAC-4         JDOTT 1.36% MAT         11/15/2018         MERRILL LYNCH           COMM         4778BMAC-2         JDOTT 1.26% MAT         11/15/2018         MERRILL LYNCH           COMM         487140AC-2         1400T 1.25% MAT         11/15/2018         MERRILL LYNCH           COMM         4778BMAC-2         140T 1.25% MAT         11/15/2018         PROYAL BANK OF           COMM         4778BMAC-2         140T 1.25% MAT         11/15/2018         PROYAL BANK OF           COMM         4876BBAD-6         MBART 1.26% MAT         11/15/2018         PROYAL BANK OF           COMM         4877BMAC-5         140T 1.23% MAT         11/15/2018         JPMGGBACDASSE           COMM         4877BMAC-5         140T 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         4877BMAC-5         140T 1.73% MAT         11/15/2018	11/15/2018 MERRILL LYNCH		3,024.06	3,024.06
COMM         47788MAC4         JDDT 1.36% MAT         11/15/2018         MERRILL LYNCH           COMM         47788MAC4         JDDT 1.36% MAT         11/15/2018         MERRILL LYNCH           COMM         47788MAC2         JDDT 1.25% MAT         11/15/2018         MERRILL LYNCH           COMM         47381AGAC2         HARDT 1.39% MAT         11/15/2018         MERRILL LYNCH           COMM         47381AGAC2         JDDT 1.25% MAT         11/15/2018         MERRILL LYNCH           COMM         47381AGC2         JDDT 1.25% MAT         11/15/2018         MERRILL LYNCH           COMM         47788NAC2         JDDT 1.25% MAT         11/15/2018         JPMorganChase           COMM         47788NAC2         JDDT 1.25% MAT         11/15/2018         JPMorganChase           COMM         47788NAC2         JDDT 1.23% MAT         11/15/2018         JPMorganChase           COMM         58768MAC5         MBATT 1.25% MAT         11/15/2018         JPMorganChase           COMM         58768MAC5         MBATT 1.35% MAT         11/15/2018         JPMorganChase           COMM         58768MAC5         JDDT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787ACA         JDDT 1.78% MAT         11/15/2018	11/15/2018 MERRILL LYNCH	324,633.60		324,633.60
COMM         47788MACA         JDDT 136% MAT         11/15/2018         MERRILL LYNCH           COMM         89237KADS         TAOT 125% MAT         11/15/2018         MERRILL LYNCH           COMM         43814QAC2         14AROT 139% MAT         11/15/2018         MERRILL LYNCH           COMM         47788NAC2         14AROT 139% MAT         11/15/2018         MERRILL LYNCH           COMM         47788NAC2         JDOT 125% MAT         11/15/2018         MERRILL LYNCH           COMM         47788NAC2         JDOT 125% MAT         11/15/2018         MERRILL LYNCH           COMM         58769BAD6         MBART 1 26% MAT         11/15/2018         JPMorganChase           COMM         58769BAD6         MBART 1 26% MAT         11/15/2018         JPMorganChase           COMM         58769BAD6         MBART 1 26% MAT         11/15/2018         JPMorganChase           COMM         5876BAAC5         MBATT 1 23% MAT         11/15/2018         JPMorganChase           COMM         5876BAAC5         MBATT 1 33% MAT         11/15/2018         JPMorganChase           COMM         5876BAAC5         JDOT 1 73% MAT         11/15/2018         JPMorganChase           COMM         5876BAAC5         JDOT 1 73% MAT         11/15/2018         JPM	11/15/2018 MERRILL LYNCH		3,428,33	3,428.33
COMM         89237KAD5         TAOT 1.25% MAT         11/15/2018         MERRILLLYNCH           COMM         89237KAD5         TAOT 1.25% MAT         11/15/2018         MERRILLLYNCH           COMM         43814QAC2         HAROT 1.39% MAT         11/15/2018         MERRILLLYNCH           COMM         47788NAC2         JDOT 1.25% MAT         11/15/2018         MERRILLLYNCH           COMM         47788NAC2         JDOT 1.25% MAT         11/15/2018         JPMorganChase           COMM         47788NAC2         JDOT 1.25% MAT         11/15/2018         JPMorganChase           COMM         58769AD6         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         58769AAC5         MARAT 1.26% MAT         11/15/2018         JPMorganChase           COMM         58769AAC5         JDOT 1.23% MAT         11/15/2018         JPMorganChase           COMM         58769AAC5         JDOT 1.23% MAT         11/15/2018         JPMorganChase           COMM         58768AAC5         JDOT 1.23% MAT         11/15/2018         JPMorganChase           COMM         58768AAC5         JDOT 1.23% MAT         11/15/2018         BANK OF AMERICA           COMM         58768AAC5         JDOT 1.24% MAT         11/15/2018         BANK O	11/15/2018 MERRILL LYNCH	655,767,51		655,167.51
COMM         89237RAD5         TAOT 1.25% MAT         11/15/2018         MERRILL LYNCH           COMM         43814GAC2         HAROT 1.25% MAT         11/15/2018         MERRILL LYNCH           COMM         47788NAC2         JOOT 1.25% MAT         11/15/2018         MERRILL LYNCH           COMM         161571HF4         CHAIT 1.27% MAT         11/15/2018         ROYAL BANK OF           COMM         161571HF4         CHAIT 1.27% MAT         11/15/2018         PROYAL BANK OF           COMM         161571HF4         CHAIT 1.27% MAT         11/15/2018         JPMorganChase           COMM         89231LAD9         TAOT 1.23% MAT         11/15/2018         JPMorganChase           COMM         89231LAD9         TAOT 1.23% MAT         11/15/2018         JPMorganChase           COMM         89238MAD5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47811BAC8         HAROT 1.68% MAT         11/15/2018         BANK OF AMERICA           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018	11/15/2018		4,273,50	4,273.50
COMM         438140AC2         HAROT 1.39% MAT         11/15/2018         MERRILL LYNCH           COMM         A38140AC2         JAROT 1.39% MAT         11/15/2018         MERRILL LYNCH           COMM         47788NAC2         JDOT 1.25% MAT         11/15/2018         ROYAL BANK OF           COMM         161571HF4         CHAIT 1.25% MAT         11/15/2018         ROYAL BANK OF           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         58768MAC5         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         58768MAC5         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         47787AC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787AC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787AC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787AC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         43231BAC8         AME 1.44% MAT         11/15/2018	11/15/2018	702,085.76		702,085.76
COMM         43814GAC2         HAROT 139% MAT         11/15/2018         MERRILL LYNCH           COMM         47788BAGC2         JDOT 125% MAT         11/15/2018         ROYAL BANK OF           COMM         161571HF4         CHAIT 127% MAT         11/15/2018         PROYAL BANK OF           COMM         161571HF4         CHAIT 127% MAT         11/15/2018         JPMorganChase           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         58768BAC5         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         58768BAC5         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         58768BAC5         JDOT 1.23% MAT         11/15/2018         BANK OF AMERICA           COMM         47787AC1         JDOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         47787AC1         JDOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         43811BAC8         HAROT 1.63% MAT         11/15/2018         BANK OF AMERICA           COMM         43811BAC8         HAROT 1.63% MAT         11/15/2018	11/15/2018 MERRILL LYNCH		4,794.41	4,794.41
COMM         4778BNAG2         JDOT 1.25% MAT         11/15/2018         ROYAL BANK OF           COMM         161571HF4         CHATI 1.27% MAT         11/15/2018         PROYAL BANK OF           COMM         161571HF4         CHATI 1.27% MAT         11/15/2018         JPMorganChase           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         58769BAD6         TAOT 1.23% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         5876BMAC5         TAOT 1.23% MAT         11/15/2018         JPMorganChase           COMM         5876BMAC5         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         477887AC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         477887AC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         477897AC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         GOYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018	11/15/2018 MERRILL LYNCH	592,324,25		592,324.25
COMM 161571HF4 CHAIT L25% MAT 11/15/2018 PROVAL BANK OF A FABRIACZ COMM 58769BAD6 MBART 1.26% MAT 11/15/2018 JPMorganChase COMM 58769BAD6 MBART 1.26% MAT 11/15/2018 CTITGROUP GLOBALL COMM 58769BAD6 MBART 1.26% MAT 11/15/2018 CTITGROUP GLOBALL COMM 58769BAD6 TAOT 1.23% MAT 11/15/2018 CTITGROUP GLOBALL COMM 58768NAC5 MBALT 1.35% MAT 11/15/2018 BANK OF AMERICA COMM 47787XAC1 JDOT 1.78% MAT 11/15/2018 BANK OF AMERICA COMM 47787XAC1 JDOT 1.78% MAT 11/15/2018 BANK OF AMERICA COMM 47787XAC1 JDOT 1.78% MAT 11/15/2018 BANK OF AMERICA COMM 47787XAC1 JDOT 1.78% MAT 11/15/2018 BANK OF AMERICA COMM 47787XAC1 JDOT 1.78% MAT 11/15/2018 BANK OF AMERICA COMM 47787XAC1 JDOT 1.78% MAT 11/15/2018 BANK OF AMERICA COMM 47787XAC1 JDOT 1.78% MAT 11/15/2018 BANK OF AMERICA COMM 43811BAC8 HAROT 1.68% MAT 11/15/2018 BANK OF AMERICA COMM 43811BAC8 HAROT 1.68% MAT 11/15/2018 BANK OF AMERICA COMM 43811BAC8 HAROT 1.68% MAT 11/15/2018 BANK OF AMERICA COMM 6547BDAD9 HAROT 1.68% MAT 11/15/2018 BANK OF COMM 6547BDAD9 HAROT 2.65% MAT 11/15/2018 BARCLAYS CAPITAL C	11/15/2018 ROYAL BANK OF		4,669.29	4,669.29
COMM         161571HF4         CHATT 1.27% MAT         11/15/2018         JPMorganChase           COMM         16157THF4         CHATT 1.27% MAT         11/15/2018         JPMorganChase           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         JPMorganChase           COMM         89231LAD9         TAOT 1.23% MAT         11/15/2018         JPMorganChase           COMM         89231LAD9         TAOT 1.23% MAT         11/15/2018         JPMorganChase           COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         JPMorganChase           COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         58768MAC5         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47887XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018	11/15/2018 ROYAL BANK OF	652,937,70		652,937.70
COMM         161571HF4         CHATT 1.27% MAT         11/15/2018         JPMorganchase           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         JPMorganchase           COMM         58768MAC5         MBART 1.26% MAT         11/15/2018         JPMorganchase           COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         43811BAC8         HARCOT 1.68% MAT         11/15/2018         PMORGANCA           COMM         43811BAC8         HARCOT 1.68% MAT         11/15/2018         PMORGANCA           COMM         43780AD4         TAOT 1.93% MAT         11/15/2018	11/15/2018		24,341.66	24,341.66
COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         89231LAD9         TACT 1.23% MAT         11/15/2018         JPMorganchase           COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         43811BAC8         HARD 1.68% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HARD 1.68% MAT         11/15/2018         SOYAL BANK OF           COMM         43811BAC8         HARD 1.68% MAT         11/15/2018         JPMorganChase           COMM         4381BAC8         HARD 1.63% MAT         11/15/2018         JPMorganChase           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018	11/15/2018	788,012.42		788,012.42
COMM         58769BAD6         MBART 1.26% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         89231LAD9         TAOT 1.23% MAT         11/15/2018         JPWorganChase           COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         JPWorganChase           COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         89238RADO         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         JPWorganChase           COMM         438238KAD4         TAOT 1.93% MAT         11/15/2018         JPWorganChase           COMM         4378BCAC6         JDOT 2.66% MAT         11/15/2018			7,172.14	7,172.14
COMM         89231LAD9         TAOT 1.23% MAT         11/15/2018         JPMorganChase           COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         JPMorganChase           COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         89238MAD0         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         PMorganChase           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         JPMorganChase           COMM         4378BADA9         NALT 2.65% MAT         11/15/2018         ROYAL BANK OF           COMM         4778BCAC6         JDOT 2.66% MAT         11/15/2018		628,929.74		628,929.74
COMM         89231LAD9         TAOT 1.23% MAT         11/15/2018         JPNiorganChase           COMM         58768NAC5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         89238MAD0         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         43811BAC8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         PIMMOGRANCHARA           COMM         43238KAD4         TAOT 1.93% MAT         11/15/2018         MERRILL LYNCH           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         ROYAL BANK OF           COMM         47786CAC6         JDOT 2.66% MAT         11/15/2018	11/15/2018		10,579.83	10,579.83
COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         82238MAD0         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         JPMOGGANCHASA           COMM         43811BAC8         HAROT 1.93% MAT         11/15/2018         JPMOGGANCHASA           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         ROYAL BANK OF           COMM         6582JHG6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47786CAC6         JDOT 2.66% MAT         11/15/2018	11/15/2018	1,009,878.93		1,009,878.93
COMM         58768MAC5         MBALT 1.35% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDOT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         89238MAD0         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         JPMOTGANG           COMM         43810BAC9         NALT 2.65% MAT         11/15/2018         JPMOTGANCHASE           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         ROYAL BANK OF           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         ROYAL BANK OF           COMM         65478DAD6         JDOT 2.66% MAT         11/15/2018 <t< td=""><td>11/15/2018</td><td></td><td>1,805.62</td><td>1,805.62</td></t<>	11/15/2018		1,805.62	1,805.62
COMM         47787XAC1         JDDT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         47787XAC1         JDDT 1.78% MAT         11/15/2018         BANK OF AMERICA           COMM         89238MADO         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         JPMorganChase           COMM         43810BAC9         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         461571HN7         CHAIT 2.1227% MAT         11/15/2018	11/15/2018	668.149.09		668,149.09
COMM         47787XAC1         JDOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         89238MADO         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         JPMorganChase           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         461571HN7         CHAIT 2.1227% MAT 11/15/2018         BARCLAYS CAPITA	11/15/2018 BANK OF AMERICA		12,608:33	12,608.33
COMM         89238MADO         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         89238MADO         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         402582JHG6         AME 2.67% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT 11/15/2018         BARCLAYS C	11/15/2018 BANK OF AMERICA	398,691,31		398,691.31
COMM         89238MADO         TAOT 1.73% MAT         11/15/2018         BANK OF AMERICA           COMM         02582JHG8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         402582JHG6         AME 2.67% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT 11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT 11/15/2018         BOK FINANCIAL	11/15/2018		14,416.67	14,416.67
COMM         02582JHG8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT 11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT 11/15/2018         BOK FINANCIAL           COMM         58769DAE0         MBALT 2.01% MAT 11/15/2018         BOK FINANCIAL	11/15/2018	295,675.83		295,675.83
COMM         02582JHG8         AME 1.64% MAT         11/15/2018         ROYAL BANK OF           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         402582JHG6         AME 2.67% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT 11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT 11/15/2018         BOK FINANCIAL           COMM         58769DAE0         MBALT 2.01% MAT 11/15/2018         BOK FINANCIAL	11/15/2018 ROYAL BANK OF		46,466.68	46,466.68
COMM         43811BAC8         HAROT 1 68% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         02582JHO6         AME 2.67% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         16157HN7         CHAIT 2.1227% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         16157HN7         CHAIT 2.1227% MAT         11/15/2018         BOK FINANCIAL           COMM         58769DAE0         MBALT 2.01% MAT         11/15/2018         BOK FINANCIAL	11/15/2018 ROYAL BANK OF	973,221,39		973,221.39
COMM         43811BAC8         HAROT 1.68% MAT         11/15/2018         CITIGROUP GLOBAL           COMM         89238KAD4         TAOT 1.93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         02582JHO6         AME 2.67% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         02582JHO6         AME 2.67% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         58769DAE0         MBALT 2.01% MAT         11/15/2018         BOK FINANCIAL           COMM         58769DAE0         MBALT 2.01% MAT         11/15/2018         BOK FINANCIAL			39,200.00	39,200.00
COMM         89238KAD4         TAOT 1-93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2.65% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2.66% MAT         11/15/2018         ROYAL BANK OF           COMM         02582JHO6         AME 2.67% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         02582JHO6         AME 2.67% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         58769DAE0         MBALT 2.01% MAT         11/15/2018         BOK FINANCIAL           COMM         58769DAE0         MBALT 2.01% MAT         11/15/2018         BOK FINANCIAL		859,394.20		859,394.20
COMM         89238KAD4         TAOT 1-93% MAT         11/15/2018         JPMorganChase           COMM         65478DAD9         NALT 2-65% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2-66% MAT         11/15/2018         MERRILL LYNCH           COMM         47788CAC6         JDOT 2-66% MAT         11/15/2018         ROYAL BANK OF           COMM         47788CAC6         JDOT 2-66% MAT         11/15/2018         ROYAL BANK OF           COMM         02582JHO6         AME 2-67% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         161571HN7         CHAIT 2.1227% MAT         11/15/2018         BARCLAYS CAPITAL           COMM         58769DAE0         MBALT 2.01% MAT         11/15/2018         BOK FINANCIAL           COMM         58769DAE0         MBALT 2.01% MAT         11/15/2018         BOK FINANCIAL	11/15/2018		38,599,99	38,599.99
COMM 65478DAD9 NALT 2.65% MAT 11/15/2018 COMM 47788CAC6 JDOT 2.66% MAT 11/15/2018 COMM 47788CAC6 JDOT 2.66% MAT 11/15/2018 COMM 47788CAC6 JDOT 2.66% MAT 11/15/2018 COMM 62582JHQ6 AME 2.67% MAT 11/15/2018 COMM 16157JHN7 CHAIT 2.1227% MAT 11/15/2018 COMM 16157JHN7 CHAIT 2.1227% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018	11/15/2018	628,691,63		628,691.63
COMM 65478DAD9 NALT 2.65% MAT 11/15/2018 COMM 47788CAC6 JDOT 2.66% MAT 11/15/2018 COMM 47788CAC6 JDOT 2.66% MAT 11/15/2018 COMM 02582JHQ6 AME 2.67% MAT 11/15/2018 COMM 16157JHN7 CHAIT 2.1227% MAT 11/15/2018 COMM 16157JHN7 CHAIT 2.1227% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018	11/15/2018		40,854.16	40,854.16
COMM 47788CAC6 JDOT 2.66% MAT 11/15/2018 COMM 47788CAC6 JDOT 2.66% MAT 11/15/2018 COMM 02582JHQ6 AME 2.67% MAT 11/15/2018 COMM 161571HN7 CHAIT 2.1227% MAT 11/15/2018 COMM 161571HN7 CHAIT 2.1227% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018	11/15/2018	428,148.35		428,148.35
COMM 47788CAC6 JDOT 2.66% MAT 11/15/2018 COMM 02582JHQ6 AME 2.67% MAT 11/15/2018 COMM 161571HN7 CHAIT 2.1227% MAT 11/15/2018 COMM 161571HN7 CHAIT 2.1227% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018	11/15/2018 ROYAL BANK OF		18,287.50	18,287.50
COMM 02582JHQ6 AME 2.67% MAT 11/15/2018 COMM 16157JHN7 CHAIT 2.1227% MAT 11/15/2018 COMM 16157JHN7 CHAIT 2.1227% MAT 11/15/2018 COMM 16157JHN7 CHAIT 2.1227% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018	11/15/2018 ROYAL BANK OF	193,896.01		193,896.01
COMM 02582JHG6 AME 2.67% MAT 11/15/2018 COMM 161571HN7 CHAIT 2.1227% MAT 11/15/2018 COMM 161571HN7 CHAIT 2.1227% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018	11/15/2018		100,125.00	100,125.00
COMM 161571HN7 CHAIT 2.1227% MAT 11/15/2018 COMM 161571HN7 CHAIT 2.1227% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018	11/15/2018	929,402,36		929,402.36
COMM 58769DAE0 MBALT 2.1227% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018 COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018			78,999,63	78,999.63
COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018 BOK FINANCIAL COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018 BOK FINANCIAL	CHAIT 2.1227% MAT 11/15/2018 BARCLAYS CAPITAL CHASE ISSUANCE	672,485.16		672,485.16
COMM 58769DAE0 MBALT 2.01% MAT 11/15/2018 BOK FINANCIAL	11/15/2018		6,700,00	6,700.00
The second of th	11/15/2018	84,917.74		84,917.74
10tats 10f 11/13/2018		249,984,930.55 261,695,741.62	737,763.59	12,448,574.66

Portfolio SCL2
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Report Ver. 7.3.6.1

SANTA CLARA COUNTY INVESTMENTS
Transaction Activity Report
Sorted by Transaction Date - Transaction Date

COMM         31336591         HIND DISCOUNT         THE DISCOUNT         1990 9333333           COMM         31336591         HIND DISCOUNT         THE DISCOUNT         1990 9333333           COMM         31336592         HIND DISCOUNT         1990 9333333           COMM         31336594         HIND DISCOUNT         1990 9333333           COMM         31336595         HIND DISCOUNT         1990 930000           TOOM         31336597         HIND DISCOUNT         1990 930000           COMM         31336597         HIND DISCOUNT         790 930000           TOAS DISCOUNT         THE DISCOUNT         30000000         11112600           COMM         31336597         HIND DISCOUNT         11112600         3223200           COMM         31336597         HIND DISCOUNT         11112600         3232320           COMM         31336597         <	Investment #	Fund	CUSIP	Inv Descrip	TransactionDate	Dealer	Issuer		Principal Paydowns	Interest	Total
COMM         31338293         HOND DOSC WOTE THIRDSON         ROYAL BANKE         PHEB BISCOUNT         199,4633332         443,700.00         443,700.00           COMM         31338849         HOND DOSC WOTE THIRDSON         ROYAL BANKE         PHEB BISCOUNT         350,000.00         200,00           COMM         31338847         HOND DOSC WOTE THIRDSON         MIZCHAO         CHEVRANC CRAFT         20,466,461.63         750,00         30,00           COMM         31338673         HOND DOSC WOTE THIRDSON         MIZCHAO         CHEVRANC CRAFT         324,666,461.63         750,00         30,00           COMM         313380025         HOND DOSC WOTE THIRDSON	38972	COMM	313385P91	FHDN DISC NOTE	11/16/2018	MIZUHO	FHLB DISCOUNT	49,990,958.33			-49,990,958.33
COMM         STREAGER         NOTE DEPOSE ADDRESS ONCE THINDOOR         KEYNAM CENTAL FEER NOTES         447A1,700.00         25,000.000.00         25,000           COMM         31328EAST         HUNDOOR         STREAGER         47A1,700.00         25,000.000.00         200           COMM         31328EAST         HUNDOOR         STREAGER         CHEYNON CORP         CHEYNON CORP         111,200.00         30           COMM         31328EAST         HUNDOOR         THINGOOR         CHEYNON CORP         CHEYNON CORP         111,200.00         30           COMM         31328EAST         HUNDOOR         THINGOOR         25,000.00         25,000.00         30         31           COMM         31328EAST         HUNDOOR         CHEYNON CORP         HUNDOOR         111,200.00         25,000.00         30         31           COMM         31328EAST         HUNDOOR         CHEYNON CORP         HUNDOOR         HUNDOOR         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         30,000.00         3	973	COMM	313385P91	FHDN DISC NOTE	11/16/2018	UBS FINANCE	FHLB DISCOUNT	199,963,833,32			199,963,833.32
COMM         3133EGALE         FTCB DEPTEMBLY         FTCB DEPTEMBLY         FTCB DEPTEMBLY         SAGONODO OGO OGO OGO OGO OGO OGO OGO OGO OGO	974	COMM	63763QP84	NSCCPP DISC NOTE	E11/16/2018	ROYAL BANK OF	NATL SEC	44,741,700.00			-44,741,700.00
COMM         313388691         FHON DISC WOIL ITH/AZORB         WILLS FARCO         CHURNON CORP         TITIAZORB         TITIAZORB         COMM           COMM         313388692         FHON DISC WOIL ITH/AZORB         MIZUHO         CHURNON CORP         TITIAZORB         11170500         1110700         1946           COMM         313388025         FHON DISC WOIL ITH/AZORB         WILLS FARCO         CHURNON CORP         1170700         1946           COMM         313388025         FHON DISC WOIL ITH/AZORB         WILLS FARCO         FHEB DISCOUNT         1190700         223,325.00         1946           COMM         313388025         FHON DISC WOIL ITH/AZORB         WILLS FARCO         FHEB DISCOUNT         1190700         230,000         20,000         1946           COMM         313388025         FHON DISC WOIL ITH/92018         WILLS FARCO         FHEB DISCOUNT         1190700         20,000	112	COMM	3133EGJ48	FFCB 0.89% MAT	11/16/2018	KEYBANC CAPITAL		25.	00'000'000'		25,000,000.00
COMM         1333856-20         FHON DISC, WORT         THORDRIS         MIZHUA         FHOR DISC, DUMY         THORDRIS         MIZHUA         FHOR DISC, DUMY         THORDRIS         THORDR	070	COMM	313385P67	FHDN DISC NOTE	11/16/2018	UBS FINANCE	FHLB DISCOUNT	200,1	000,000,000		200,000,000.00
COMM         TITADE DATE DATE DATE DATE DATE DATE DATE	176	COMM	313385P67	FHDN DISC NOTE	11/16/2018	MIZUHO	FHLB DISCOUNT	200	00'000'000'		50,000,000,00
COMM         3133EG18         FCED 0.89% MAT         III.78/2018         MIZUHO         FHEB DISCOUNT         140,000.00         223,355.00         111,250.00         112,250.00         111,250.00<	765	COMM	166764BH2	CHEVRON CORP	11/16/2018	WELLS FARGO	CHEVRON CORP.			117,075.00	117,075.00
Tobas for 11/16/2018   HUND DISC NOTE   11/19/2018   MIZUHO   HUB DISCOUNT   19,466,491 65 275,600,000.00   223,325.00   1-9,46	112	COMM	3133EG.148	FFCB 0.89% MAT	11/16/2018	KEYBANC CAPITAL				111,250,00	111,250.00
COMM         31338023         FHIPD DISC MOTE         FHIB DISCOUNT         FHIB DISCOUNT         49,998,055,80         49,999,013,89           COMM         31338023         FHIPD DISC MOTE         FHIB DISCOUNT         199,988,055,60         20,460,775,00         15,00           COMM         313381694         FEDERAL HOME         1119/2018         WELLS FARCO         FFGB NOTES         20,460,775,00         50,00         20,00           COMM         313381694         FHIP DISC MOTE         HIF BOOK         FFGB NOTES         20,460,775,00         50,00         20,00         15,00           COMM         313381694         FHIP DISC MOTE         HIF BOOK         FFGB NOTES         FFGB NOTES         20,460,775,00         20,00         20,00         20,00         15,00         20,00         15,00         20,00         15,00         20,00         15,00         20,00         15,00         1			Totals for 11/16/20	118				294,696,491.65 275,	000,000,000,	228,325.00	-19,468,166.65
COMM         31338GQDS         FEDERAL FARMOR         FINBORDCANTE         FILB MOTES         19988655.6         19988655.6         19988655.6         19988655.6         1500	916	COMM	313385025	FHDN DISC NOTE	11/19/2018	MIZUHO	FHLB DISCOUNT	49,997,013.89			49,997,013.89
COMM         31337EFAD         FEDERAL HOWE         11/19/2018         WELLS FARGO         FFGB NOTES         20,46a,775.00         50,000,000.00         50,40a           COMM         3133EFAD         FEDERAL HOWE         11/19/2018         WELLS FARGO         FFGB NOTES         200,46a,775.00         50,000,000.00         50,000           COMM         3133EFAD         FEDERAL HORN GENOTE         11/19/2018         WELLS FARGO         FFGB NOTES         200,000         36,000         30,000           COMM         313EFAD         FEDERAL FARM CR 11/19/2018         WELLS FARGO         FFCB NOTES         200,000         36,000	716	COMM	313385025	FHDN DISC NOTE	11/19/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055.56			-199,988,055.56
COMM         3133EFPLD         FEDERAL FRANCE 11/19/2018         MRLUS FRANCE         FFGE NOTES         15,000,0000         50,000           COMM         3133EFPLD         FEDERAL FRANCE 11/19/2018         MRLUS FRANCE         FFGE NOTES         15,000,0000         200,000         200,000           COMM         3133EFPLD         FEDERAL FRANCE 11/19/2018         MRLUS FRANCE         FFGE NOTES         200,000         200,000         200,000         200,000           COMM         3133EFPLD         FEDERAL FRANCE 11/19/2018         CTICGEOLD CLOSAL CHEAN CREDIT         200,000	826	COMM	313370E38	FEDERAL HOME	11/19/2018	KEYBANC CAPITAL		20,460,775.00			-20,460,775.00
COMM         313385941         FHON DISC NOTE         1119/2018         MIZUHO         FHIB DISCOUNT         50,000 000 00         50,000 000 00         50,000 000 00         50,000 000 00         50,000 000 00         50,000 000 00         50,000 000 00         50,000 000 00         50,000 000 00         50,000 00	370	COMM	3133EFPJ0	FEDERAL FARM CR	11/19/2018	WELLS FARGO	FFCB NOTES	15,	000,000,000		15,000,000.00
COMM         313385P31         FHND DISC NOTE         11/19/2018         USE FIRMACE         FHED BISCOUNT         200,000,000.00         9         750,000         9         750,000         9         750,000         9         750,000         9         750,000         9         9         750,000         9         750,000         9         9         750,000         9         750,000         9         750,000         9         9         750,000         9         9         750,000         9         9         750,000         9	972	COMM	313385P91	FHDN DISC NOTE	11/19/2018	MIZUHO	FHLB DISCOUNT	50,	000'000'000'		50,000,000.00
COMM         3133EFAD         FEDERAL FARAC         TITRODOR         WIELLS FARGO         CTITRE MOITS         36,750 DO	673	COMM	313385P91	FHDN DISC NOTE	11/19/2018	UBS FINANCE	FHLB DISCOUNT	200,	00'000'000'		200,000,000,00
COMM         1730EEKW0         CCCIT 175% MAT 11/19/2018         CTIGGOLD GLOBAL CITICIANIA CREDIT         243,975.00         236,000.00         356,	370	COMM	3133EFPJ0	FEDERAL FARM CR	11/19/2018	WELLS FARGO	FFCB NOTES			96,750.00	96,750.00
COMM         3137EAEKT         FFLMCT 1875% MAT 11/19/2018         PHOMOGRACHASE         FFLMCK NOTES         243,375 00         23           COMM         3133EAAH8         FEDERAL FAROR 11/19/2018         BREAN CAPITAL LLC         GOGGLE NOTES         302,78 31         300,278 31         300           COMM         43814AAC9         HAROT 122% MAT 11/19/2018         JPMogganChase         HONDA AUTO         640,993,25         300,124         30           COMM         43814AC9         HAROT 122% MAT 11/19/2018         JPMogganChase         HONDA AUTO         5276,434.15         9,078.60         30           COMM         43814AC9         HAROT 127% MAT 11/19/2018         BARCLAYS CAPITAL HONDA AUTO         276,434.15         30,100.00         3           COMM         43814AC9         HAROT 3.0% MAT 11/19/2018         BARCLAYS CAPITAL HONDA AUTO         276,434.15         30,100.00         3           COMM         43814AC6         HAROT 3.0% MAT 11/19/2018         BARCLAYS CAPITAL HONDA AUTO         276,434.15         11/10/2018         30,100.00         3           COMM         43814AC6         HAROT 3.0% MAT 11/19/2018         MAZUHO         FFCB DISCOUNT         226,434.15         11/10/2018         30,100.00         3           COMM         313312BMT         FCDN DISC NOTE	208	COMM	17305EFW0	CCCIT 1.75% MAT	11/19/2018	CITIGROUP GLOBA	AL CITIBANK CREDIT			350,000,00	350,000.00
COMM         3133EGAHB         FEDERAL FARM CR 11/19/2018         BREAN CAPITAL LLCFFCB NOTES         84,281,35         8           COMM         43814AAGS         GOOG 3425% MAT 11/19/2018         INCAPITAL LLC         GOOGLEINC         640,993,25         3,001,34         301,134           COMM         43814AAGS         HAROT 122% MAT 11/19/2018         JPMorganChase         HONDA AUTO         640,993,25         9,078.58         84           COMM         43814AAGS         HAROT 122% MAT 11/19/2018         BARCLAYS CAPITAL HONDA AUTO         752,807,34         775           COMM         43814AAGS         HAROT 3.01% MAT 11/19/2018         BARCLAYS CAPITAL HONDA AUTO         276,434.15         30,100.00           COMM         43814AAGS         HAROT 3.01% MAT 11/19/2018         BARCLAYS CAPITAL HONDA AUTO         276,434.15         1,100.00           COMM         43814AAG         HAROT 3.01% MAT 11/19/2018         BARCLAYS CAPITAL HONDA AUTO         276,434.15         1,100.00           COMM         43814AAG         HAROT 3.01% MAT 11/19/2018         MATUHO         FICE BISCOUNT         199,997,013.89         2,100.00           COMM         313312BMT         RADO DISC NOTE 11/20/2018         MATUHO         FILE BISCOUNT         199,997,013.89         4,110,999           COMM         31388CGS	135	COMM	3137EAEK1	FHLMC 1.875% MAT	11/19/2018	JPMorganChase	FHLMC NOTES			234,375.00	234,375.00
COMM         43814MAG9         GOOG 3.62% MAT         11/19/2018         INCAPITAL LLC         GOOGLE INC         300,2778.13         300           COMM         43814MAG9         HAROT 1.22% MAT         11/19/2018         JPMorganChase         HONDA AUTO         640,993,25         9.078.58         9.078.58           COMM         43814MAG9         HAROT 1.22% MAT         11/19/2018         BARCLAYS CAPITAL HONDA AUTO         752,807.34         9.078.58         775           COMM         43814AGG         HAROT 1.27% MAT         11/19/2018         BARCLAYS CAPITAL HONDA AUTO         270,445,844.45         26,670,23.47         176,2807.34         775           COMM         43814AGG         HAROT 1.27% MAT         11/19/2018         BARCLAYS CAPITAL HONDA AUTO         270,445,844.45         26,670,23.47         1710,00.00         30,100.00 </td <td>342</td> <td>COMM</td> <td>3133EGAH8</td> <td>FEDERAL FARM CR</td> <td>11/19/2018</td> <td>BREAN CAPITAL LL</td> <td>CFFCB NOTES</td> <td></td> <td></td> <td>84,281.25</td> <td>84,281.25</td>	342	COMM	3133EGAH8	FEDERAL FARM CR	11/19/2018	BREAN CAPITAL LL	CFFCB NOTES			84,281.25	84,281.25
COMM         43814NAC9         HAROTI 122% MAT         11/19/2018         JPMorganchase         HONDA AUTO         640,993.25         3 (01) 24         660,993.25         3 (01) 24         640,993.25         3 (01) 24         640,993.25         3 (01) 24         640,993.25         3 (01) 24         640,993.25         643,993.25         643,993.25         643,993.25         643,993.25         643,993.25         643,993.25         643,993.25         643,993.25         643,993.25         643,993.25         643,993.25         643,993.23         643,993.23         643,993.23         643,993.23         643,993.23         643,993.23         643,993.23<	109	COMM	02079KAA5	GOOG 3.625% MAT		INCAPITAL LLC	GOOGLE INC			302,778,13	302,778.13
COMM         43814NAC9         HAROT 1.22% MAT         11/19/2018         JPMorganChase         HONDA AUTO         FGD         640,993.25         640         640,993.25         640         640,993.25         640         640,993.25         640 <th< td=""><td>587</td><td>COMM</td><td>43814NAC9</td><td>HAROT 1,22% MAT</td><td>11/19/2018</td><td>JPMorganChase</td><td>HONDA AUTO</td><td></td><td></td><td>3,001.24</td><td>3,001.24</td></th<>	587	COMM	43814NAC9	HAROT 1,22% MAT	11/19/2018	JPMorganChase	HONDA AUTO			3,001.24	3,001.24
COMM         43814RACO         HAROT 121% MAT         11/19/2018         BARCLAYS CAPITAL HONDA AUTO         752,807,34         9,078.58         75           COMM         43814/RACO         HAROT 121% MAT         11/19/2018         BARCLAYS CAPITAL HONDA AUTO         270,445,844.45         276,434.15         30,100.00         27,644.15         27,644.45         26,670,234.74         1,110,364.20         2,168         27,644.15	587	COMM	43814NAC9	HAROT 1.22% MAT	11/19/2018	JPMorganChase	HONDA AUTO		640,993.25		640,993.25
COMM         43814RACO         HAROT 1.21% MAT         11/19/2018         BARCLAYS CAPITAL HONDA AUTO         752,807,334         75           COMM         43814LUG4         HAROT 3.01% MAT         11/19/2018         BARCLAYS CAPITAL HONDA AUTO         276,443,415         276,434.15         276,434.15         276,434.15         276,443.415	959	COMM	43814RAC0	HAROT 1.21% MAT	11/19/2018	BARCLAYS CAPITA	AL HONDA AUTO			9,078.58	9,078.58
COMM         43814UAG4         HAROT 3.01% MAT         11/19/2018         BARCLAYS CAPITAL HONDA AUTO         276,434.15         27         276,434.15         27         27         24         27         24         27         24         27         24         24         24         24         36,100.00         36,100.00         36,100.00         37         20         276,434.15         276,436.15         276,434.15         276	650	COMM	43814RAC0	HAROT 1.21% MAT	11/19/2018	BARCLAYS CAPITA	AL HONDA AUTO		752,807.34		752,807.34
COMM         43814UAG4         HAROT 3.01% MAT         11/19/2018         BARCLAVS CAPITAL HONDA AUTO         270,445,844.45 266,670,234.74         1,10,364.20         27,6434.15         27           COMM         313312BM1         FCDN DISC NOTE         11/20/2018         MIZUHO         FFCB DISCOUNT         24,875,409.72         24,935,409.72         24,935,409.	738	COMM	43814UAG4	HAROT 3,01% MAT	11/19/2018	BARCLAYS CAPITA	AL HONDA AUTO			30,100.00	30,100.00
COMM         313312BM1         FCDN DISC NOTE         11/20/2018         MIZUHO         FFCB DISCOUNT         24,875,409.72         1,110,364.26         -24,87           COMM         313312BM1         FCDN DISC NOTE         11/20/2018         MIZUHO         FFCB DISCOUNT         49,997,013.89         -24,87           COMM         313312BM1         FCDN DISC NOTE         11/20/2018         MIZUHO         FFLB DISCOUNT         199,948,055.56         -49,99           COMM         31332BC028         FHDN DISC NOTE         11/20/2018         MERRILL LYNCH         RABOBANK         49,997,003.89         -49,99           COMM         21687BLM1         RABO DISC NOTE         11/20/2018         MERRILL LYNCH         RABOBANK         50,000,000.00         -50,000           COMM         21687BLM1         RABO DISC NOTE         11/20/2018         MIZUHO         FHLB DISCOUNT         50,000,000.00         -50,000           COMM         313385025         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISCOUNT         50,000,000.00         50,000           COMM         313385025         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISCOUNT         200,000,000.00         140,000.00           COMM         313385025         FHDN DISC NOTE	738	COMM	43814UAG4	HAROT 3.01% MAT	11/19/2018	BARCLAYS CAPITA	AL HONDA AUTO		276,434.15		276,434.15
COMM         3133128M1         FCDN DISC NOTE         11/20/2018         MIZUHO         FFCB DISCOUNT         24,875,409.72         -49,997,013.89         -49,997           COMM         313385033         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISCOUNT         199,988,055.56         -199,988           COMM         313385033         FHDN DISC NOTE         11/20/2018         MERRILL LYNCH         RABOBANK         49,997,013.89         -49,997           COMM         21687BLM1         RABO DISC NOTE         11/20/2018         MERRILL LYNCH         RABOBANK         50,000,000.00         50,000           COMM         21685VBUB         RB 2.85% MAT         11/20/2018         MIZUHO         FHLB DISC OUNT         50,000,000.00         50,000           COMM         313385Q25         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISC OUNT         200,000,000         50,000           COMM         313385Q25         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISC OUNT         140,000.00         50,000           COMM         36582XAD4         BWWLT 1.43% MAT         11/20/2018         MIZUHO         BMWW VEHICLE         42,025.65           COMM         05582XAD4         BWWLT 1.43% MAT         11/20/2018			Totals for 11/19/20	018				270,445,844,45 266,	,670,234.74	1,110,364.20	-2,665,245.51
COMM         313385033         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISCOUNT         49,997,013.89         49,997,013.89         49,999,013.89         41,202,013.89         41,202,013.89 <th< td=""><td>086</td><td>COMM</td><td>313312BM1</td><td>FCDN DISC NOTE</td><td>11/20/2018</td><td>MIZUHO</td><td>FFCB DISCOUNT</td><td>24,875,409.72</td><td></td><td></td><td>-24,875,409.72</td></th<>	086	COMM	313312BM1	FCDN DISC NOTE	11/20/2018	MIZUHO	FFCB DISCOUNT	24,875,409.72			-24,875,409.72
COMM         313385Q33         FHDN DISC NOTE         11/20/2018         UBS FINANCE         FHLB DISCOUNT         199,988,055.56         -199,98           COMM         63873KLM7         NATXNY DISC NOTE         11/20/2018         MERRILL LYNCH         RABOBANK         49,997,013.89         49,997           COMM         21683BLM1         RABO DISC NOTE         11/20/2018         MERRILL LYNCH         RABOBANK         50,000,000.00         50,000           COMM         21683VLM3         RB 2.85% MAT         11/20/2018         MERRILL LYNCH         RABOBANK         50,000,000.00         50,000           COMM         313385Q25         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISCOUNT         200,000         50,000           COMM         89236TDEZ         TOYO 1.4% MAT         11/20/2018         BARCLAYS CAPITAL TOYOTA MOTOR         A1,202,55         A2,202,55           COMM         05582XAD4         BNWLT 1.43% MAT         11/20/2018         MIZUHO         BMW VEHICLE         A2,202,55           COMM         05582XAD4         BNWLT 1.43% MAT         11/20/2018         BMW VEHICLE         A2,202,55	981	COMM	313385033	FHDN DISC NOTE	11/20/2018	MIZUHO	FHLB DISCOUNT	49,997,013.89			-49,997,013.89
COMM         63873KLM7         NATXNY DISC NOTE 11/20/2018         MERRILL LYNCH         RABOBANK         49,997,013.89         49,997	982	COMM	313385033	FHDN DISC NOTE	11/20/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055.56			-199,988,055.56
COMM         21687BLM1         RABO DISC NOTE         11/20/2018         MERRILL LYNCH         RABOBANK         49,997,013.89         49,997           COMM         21685V6U8         RB 2.85% MAT         11/20/2018         MERRILL LYNCH         RABOBANK         50,000,000.00         50,000,000.00         50,000           COMM         313385Q25         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISCOUNT         200,000,000.00         200,000           COMM         89236TDEZ         TOYO 1.4% MAT         11/20/2018         BARCLAYS CAPITAL TOYOTA MOTOR         140,000.00         14           COMM         05582XAD4         BNWLT 1.43% MAT         11/20/2018         MIZUHO         BMW VEHICLE         745,856.09         74	983	COMM	63873KLM7	NATXNY DISC NOT	E 11/20/2018	MERRILL LYNCH	Natixis NY Branch	49,997,000.00			49,997,000.00
COMM         21685V6U8         RB 2.85% MAT         11/20/2018         MERRILL LYNCH         RABOBANK         50,000,000,00         50,000,000,00         50,000           COMM         313385Q25         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISCOUNT         200,000,000,00         200,000           COMM         313385Q25         FHDN DISC NOTE         11/20/2018         UBS FINANCE         FHLB DISCOUNT         200,000,000,00         14           COMM         89236TDEZ         TOYO 1.4% MAT         11/20/2018         BARCLAYS CAPITAL TOYOTA MOTOR         4,202,55           COMM         05582XAD4         BMWLT 1.43% MAT         11/20/2018         MIZUHO         BMW VEHICLE           COMM         05582XAD4         BMWLT 1.43% MAT         11/20/2018         MIZUHO         BMW VEHICLE	616	COMM	21687BLM1	RABO DISC NOTE	11/20/2018	MERRILL LYNCH	RABOBANK	49,997,013.89			49,997,013.89
COMM         313385Q26         FHDN DISC NOTE         11/20/2018         MIZUHO         FHLB DISCOUNT         50,000,000.00         50,000,000.00         50,000           COMM         313385Q25         FHDN DISC NOTE         11/20/2018         UBS FINANCE         FHLB DISCOUNT         200,000         200,000           COMM         89236TDEZ         TOYO 1.4% MAT         11/20/2018         BARCLAYS CAPITAL TOYOTA MOTOR         140,000.00         14           COMM         05582XAD4         BNWLT 1.43% MAT         11/20/2018         MIZUHO         BMW VEHICLE         745,856.09         745,856.09         74	984	COMM	21685V6U8	RB 2.85% MAT	11/20/2018	MERRILL LYNCH	RABOBANK	50,000,000,00			-50,000,000,00
COMM         313385025         FHDN DISC NOTE         11/20/2018         UBS FINANCE         FHLB DISCOUNT         200,000,000,000         200,000           COMM         89236TDEZ         TOYO 1.4% MAT         11/20/2018         BARCLAYS CAPITAL TOYOTA MOTOR         140,000.00         14           COMM         05582XAD4         BNWLT 1.43% MAT         11/20/2018         MIZUHO         BMW VEHICLE         745,856.09         745,856.09         74	976	COMM	313385025	FHDN DISC NOTE	11/20/2018	MIZUHO	FHLB DISCOUNT	50,	00'000'000'0		50,000,000.00
COMM         89236TDEZ         TOYO 1.4% MAT         11/20/2018         BARCLAYS CAPITAL TOYOTA MOTOR         140,000.00         14           COMM         05582XAD4         BMWLT 1.43% MAT         11/20/2018         MIZUHO         BMW VEHICLE         745,856.09         745,856.09         74	1779	COMM	313385025	FHDN DISC NOTE	11/20/2018	UBS FINANCE	FHLB DISCOUNT	200,	00'000'000'0		200,000,000.00
COMM 05582XAD4 BMWLT 1.43% MAT 11/20/2018 MIZUHO BMWV VEHICLE 4,202.55 74 COMM 05582XAD4 BMWLT 1.43% MAT 11/20/2018 MIZUHO BMW VEHICLE 745,856.09 745,856.09	977	COMM	89236TDE2	TOYO 1.4% MAT		BARCLAYS CAPITA	AL TOYOTA MOTOR			140,000.00	140,000.00
COMM 05582XAD4 BMWLT 1.43% MAT 11/20/2018 MIZUHO BMW VEHICLE 745,856.09	036	COMM	05582XAD4	BIMWLT 1,43% MAT		MIZUHO	BMW VEHICLE			4,202,55	4,202.55
	37036	COMM	05582XAD4	BMWLT 1.43% MAT		MIZUHO	BMW VEHICLE		745,856.09		745,856.09

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### SANTA CLARA COUNTY INVESTMENTS Transaction Activity Report Sorted by Transaction Date - Transaction Date

			2000	I all sacion pale	00000	iganci	Principal	LAVOWIS	100,000	Cash
38987	COMM	16677KNJ8	CHEVRO DISC NOTE11/21/2018	11/21/2018	CITIGROUP GLOBAL CHEVRON	NCHEVRON	14,942,966.70			-14,942,966.70
38988	COMM	16677KNJ8	CHEVRO DISC NOTE11/21/2018	11/21/2018	CITIGROUP GLOBAL CHEVRON	AL CHEVRON	39,847,911,11			-39,847,911.11
38985	COMM	313385058	FHDN DISC NOTE	11/21/2018	MIZUHO	FHLB DISCOUNT	49,994,027.78			-49,994,027.78
38986	COMM	313385058	FHDN DISC NOTE	11/21/2018	UBS FINANCE	FHLB DISCOUNT	199,976,111.12			-199,976,111.12
38989	COMM	63873KLP0	NATXNY DISC NOTE 11/21/2018	11/21/2018	MERRILL LYNCH	Natixis NY Branch	49,994,000,00			-49,994,000.00
38990	COMM	21687BLP4	RABO DISC NOTE	11/21/2018	MERRILL LYNCH	RABOBANK	49,994,027,78			-49,994,027.78
38991	COMM	89114MMK0	TDNY 3.% MAT	11/21/2018	JPMorganChase.	TORONTO	45,000,000,00			-45,000,000.00
38979	COMM	21687BLM1	RABO DISC NOTE	11/21/2018	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
38981	COMM	313385033	FHDN DISC NOTE	11/21/2018	MIZUHO	FHLB DISCOUNT		50,000,000,00		50,000,000.00
38982	COMM	313385033	FHDN DISC NOTE	11/21/2018	UBS FINANCE	FHLB DISCOUNT		200,000,000,00		200,000,000,002
38983	COMM	63873KLM7	NATXNY DISC NOTE 11/21/2018	11/21/2018	MERRILL LYNCH	Natixis NY Branch		50,000,000,00		50,000,000,00
37615	COMM	43814TAC6	HAROT 1,72% MAT	11/21/2018	BANK OF AMERICA	HONDA AUTO			22,222,38	22,222.38
37,615	COMM	43814TAC6	HAROT 1,72% MAT	11/21/2018	BANK OF AMERICA	HONDA AUTO		1,000,625,32		1,000,625.32
		Totals for 11/21/2018	918				449,749,044.49	351,000,625.32	22,222.38	-98,726,196.79
38992	COMM	313385082	FHDN DISC NOTE	11/23/2018	UBS FINANCE	FHLB DISCOUNT	199,963,833,32			-199,963,833.32
38993	COMM	313385082	FHDN DISC NOTE	11/23/2018	MIZUHO	FHLB DISCOUNT	49,990,958.33			-49,990,958.33
38994	COMM	313385082	FHDN DISC NOTE	11/23/2018	MERRILL LYNCH	FHLB DISCOUNT	49,990,958.33			49,990,958.33
38995	COMM	63873KLS4	NATXNY DISC NOTE 11/23/2018	11/23/2018	MERRILL LYNCH	Nalixis NY Branch	49,991,000.00			49,991,000.00
38985	COMM	313385058	FHDN DISC NOTE	11/23/2018	MIZUHO	FHLB DISCOUNT		20,000,000,00		50,000,000.00
38986	COMM	313385058	FHDN DISC NOTE	11/23/2018	UBS FINANCE	FHLB DISCOUNT		200,000,000,00		200,000,000.00
38989	COMM	63873KLP0	NATXNY DISC NOTE 11/23/2018	11/23/2018	MERRILL LYNCH	Natixis NY Branch		50,000,000.00		50,000,000.00
38990	COMM	21687BLP4	RABO DISC NOTE	11/23/2018	MERRILL LYNCH	RABOBANK		50,000,000,00		50,000,000,00
37804	COMM	3134GBLQ3	FEDERAL HOME LN 11/23/2018	11/23/2018	INCAPITAL LLC.	FHLMC NOTES			165,000.00	165,000.00
		Totals for 11/23/2018	018				349,936,749.98 350,000,000.00	350,000,000.00	165,000.00	228,250.02
38999	COMM	3133EJW70	FEDERAL FARM CR 11/26/2018	11/26/2018	DEUTSCHE BANK	FFCB NOTES	34,970,950,00			-34,970,950.00
38996	COMM	313385090	FHDN DISC NOTE	11/26/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055,56			-199,988,055.56
38997	COMM	313385090	FHDN DISC NOTE	11/26/2018.	MIZUHO	FHLB DISCOUNT	49,997,013.89			-49,997,013.89
39000	COMM	59157UNU0	METSHR DISC NOTE11/26/2018	11/26/2018	CITIGROUP GLOBA	CITIGROUP GLOBAL METLIFE SHORT	23,151,536.25			-23,151,536,25
39001	COMM	63873KLT2	NATXNY DISC NOTE 11/26/2018	11/26/2018	MERRILL LYNCH	Natixis NY Branch	99,994,000.00			-99,994,000.00
38998	COMM	912796UJ6	UNITED STATES	11/26/2018	BMO CAPITAL	U S TREASURY BILL	34,889,118.06			-34,889,118.06
38992	COMM	313385082	FHDN DISC NOTE	11/26/2018	UBS FINANCE	FHLB DISCOUNT		200,000,000,00		200,000,000.00
38993	COMM	313385082	FHDN DISC NOTE	11/26/2018	MIZUHO	FHLB DISCOUNT		50,000,000.00		50,000,000.00
38994	COMM	313385082	FHDN DISC NOTE	11/26/2018	MERRILL LYNCH	FHLB DISCOUNT		50,000,000.00		50,000,000.00
38995	COMM	63873KLS4	NATXNY DISC NOTE 11/26/2018	11/26/2018	MERRILL LYNCH	Natixis NY Branch		50,000,000,00		50,000,000.00
36782	COMM	3136G3NC5	FEDERAL NATL MTG11/26/2018	311/26/2018	INCAPITAL LLC	FNMA NOTES			25,000.00	25,000.00
36785	COMM	3134G9HM2	FEDERAL HOME LN 11/26/2018	11/26/2018	INCAPITAL LLC	FHLMC NOTES			58,500.00	58,500.00
36787	COMM	3134G9HW0	FEDERAL HOME LN 11/26/2018	11/26/2018	INCAPITAL LLC	FHLMC NOTES			32,812.50	32,812.50
36797	COMM	3136G3PR0	FEDERAL NATL MTG11/26/2018	511/26/2018	BREAN CAPITAL L	LCFNMA NOTES			187,500.00	187,500,00
36805	COMM	94988J5D5	WELLS 1 75% MAT 11/26/2018	11/26/2018	WELLS FARGO	WELLS FARGO			105,000.00	105,000.00

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3731 COMM 37801 COMM 38272 COMM 36893 COMM 36893 COMM 38387 COMM 38391 COMM 38391 COMM 38391 COMM		FEDERAL HOME 11/26/2018 FEDERAL HOME LN 11/26/2018 FEDERAL HOME 11/26/2018 FEDERAL FARM CR 11/26/2018 BMWOT 1.16% MAT 11/26/2018			93,750.00	93,750.00
		FEDERAL HOME 11/26/2018 FEDERAL HOME 11/26/2018 FEDERAL FARM CR 11/26/2018 BMWOT 1.16% MAT 11/26/2018			175,000.00	
						175,000,00
			INCAPITAL LLC FHLB NOTES		210,000,00	210,000,00
			INCAPITAL LLC FFCB NOTES		121,156.50	121,156.50
			JPMorganChase BMW VEHICLE		5,525.83	5,525.83
		BMWOT 1.16% MAT 11/26/2018	JPMorganChase BMW VEHICLE	642,342.71		642,342.71
		FHLMCM 2.682% 11/26/2018	BREAN CAPITAL LLCFHLMC Mulli-Family		44,700.00	44,700.00
		FHLMCM 2,682% 11/26/2018	BREAN CAPITAL LLCFHLMC Multi-Family	491,375.45		491,375,45
		FHLMCM 2.682% 11/26/2018	BREAN CAPITAL LLCFHLMC Multi-Family		16,762.50	16,762.50
		FHLMCM 2.682% 11/26/2018	BREAN CAPITAL LLCFHLMC Multi-Family	184,173,23		184,173.23
		BMWOT 2.35% MAT 11/26/2018	JPMorganChase BMW VEHICLE		62,666.66	62,666.66
		BMWOT 2.35% MAT 11/26/2018	JPMorganChase BMW VEHICLE	784,336.10		784,336.10
38465 COMM		FHLMCM 2.307% 11/26/2018	BREAN CAPITAL LLCFHLMC Multi-Family		10,727.55	10,727.55
38465 COMM		FHLMCM 2.307% 11/26/2018	BREAN CAPITAL LLCFHLMC Mulli-Family	138,635,81		138,635.81
38477 COMM		FHLMCM 2.55% MAT 11/26/2018	BOK FINANCIAL FNMA Multi-Family		7,804.48	7,804.48
38477 COMM	M 3138LAYM5	FHLMCM 2.55% MAT 11/26/2018	BOK FINANCIAL FNMA Mutti-Family	5,422.99		5,422.99
38643 COMM	M 3137B36J2	FHLMCM 3.32% MAT 11/26/2018	BARCLAYS CAPITAL FHLMC Multi-Family		55,333.34	55,333.34
38643 COMM	M 3137B36J2	FHLMCM 3.32% MAT 11/26/2018	BARCLAYS CAPITAL FHLMC Multi-Family	459,747.30		459,747.30
38664 COMM	M 3136B1XP4	FNMAM 3.56% MAT 11/26/2018	VINING SPARKS IBG FNMA Multi-Family		12,029,62	12,029.62
38664 COMM	M 3136B1XP4	FNMAM 3.56% MAT 11/26/2018	VINING SPARKS IBG FNMA Multi-Family	172,644,62		172,644.62
38665 COMM	M 3136B1XP4	FNMAM 3.56% MAT 11/26/2018	JPMorganChase FNMA Multi-Family		12,029.62	12,029.62
38665 COMM	M 3136B1XP4	FNMAM 3.56% MAT 11/26/2018	JPMorganChase FNMA Multi-Family	172,644,62		172,644.62
38666 COMM	M 3137AYCE9	FHLMCM 2.682% 11/26/2018	BREAN CAPITAL LLCFHLMC Multi-Family		26,250.08	26,250.08
38666 COMM	M 3137AYCE9	FHLMCM 2.682% 11/26/2018	BREAN CAPITAL LLCFHLMC Multi-Family	283,181.14		283,181.14
38744 COMM	M 3137AYCE9	FHLMCM 2 682% 11/26/2018	BREAN CAPITAL LLCFHLMC Mulli-Family		44,700.00	44,700.00
38744 COMM	M 3137AYCE9	FHLMCM 2.682% 11/26/2018	BREAN CAPITAL LLCFHLMC Multi-Family	482,387.05		482,387.05
38854 COMM	M 3137B4WB8	FHLMCM 3.06% MAT 11/26/2018	BREAN CAPITAL LLCFHLMC Multi-Family		12,176,25	12,176.25
38854 COMM	M 3137B4WB8	FHLMCM 3.06% MAT 11/26/2018	TITC	100,517.14		100,517.14
38864 COMM	M 3137B5JM6	FHLMCM 3.531% 11/26/2018			29,425.00	29,425.00
38864 COMM	M 3137B5JM6	FHLMCM 3:531% 11/26/2018	INCAPITAL LLC FHLMC. Mulli-Family	211,829.05		211,829.05
38884 COMM					24,226.54	24,226.54
38884 COMM	M 31381N7G2	FNMAM 3.27% MAT 11/26/2018	BOK FINANCIAL FNMA Multi-Family	2,581,578,57		2,581,578.57
	Totals for 11/26/2018	2018		442,990,673.76 356,710,815.78	1,373,076.47	-84,906,781.51
39008 COMM	M 22535CAD4	CANYCD 2.98% MAT 11/27/2018	JPMorganChase Credil Agricole CIB	40,000,000.00		-40,000,000,00
39004 COMM	M 313385R24	FHDN DISC NOTE 11/27/2018	MIZUHO FHLB DISCOUNT	49,997,013.89		-49,997,013.89
39005 COMM	M 313385R24	FHDN DISC NOTE 11/27/2018		199,988,055.56		-199,988,055.56
39006 COMM	IM 3134GSB95	FEDERAL HOME LN 11/27/2018	INCAPITAL LLC FHLMC NOTES	10,000,000,01		-10,000,000,00
39007 COMM		METSHR DISC NOTE11/27/2018	3AL	16,677,937.78		-16,677,937.78
39002 COMM		NATXNY DISC NOTE 11/27/2018		49,997,000.00		49,997,000.00
39003 COMM	IM 21687BLU3	RABO DISC NOTE 11/27/2018	MERRILL LYNCH RABOBANK	49,997,013,89		-49,997,013.89

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Investment #	Fund	CUSIP	inv Descrip	LansactionDate	Dealer	Issuer	Principal	Paydowns	Interest	Cash
38996	COMM	313385090	FHDN DISC NOTE	11/27/2018	UBS FINANCE	FHLB DISCOUNT		200,000,000,002		200,000,000.00
38997	COMM	313385090	FHDN DISC NOTE	11/27/2018	MIZUHO	FHLB DISCOUNT		50,000,000,00		50,000,000.00
39001	COMM	63873KLT2	NATXNY DISC NOTE 11/27/2018	11/27/2018	MERRILL LYNCH	Natixis NY Branch		100,000,000,001		100,000,000,001
38169	COMM	3133EHW58	FFCB 1.9% MAT	11/27/2018	INCAPITAL LLC	FFCB NOTES			142,500.00	142,500.00
38170	COMM	3134GBZ70	FEDERAL HOME LN 11/27/2018	11/27/2018	INCAPITAL LLC	FHLMC NOTES			234,375,00	234,375.00
38171	COMM	3134GBZ70	FEDERAL HOME LN 11/27/2018	11/27/2018	INCAPITAL LLC	FHLMC NOTES			93,750.00	93,750.00
38204	COMM	3133EHW58	FFCB 1.9% MAT	11/27/2018	INCAPITAL LLC.	FFCB NOTES			204,725.00	204,725.00
		Totals for 11/27/2018	910				416,657,021,12 350,000,000.00	350,000,000.00	675,350.00	-65,981,671.12
39015	COMM	13606BK54	CIBCNY 2.97% MAT	11/28/2018	JPMorganChase	Canadian IMP BK	50,000,000,00			-50,000,000.00
39009	COMM	313385R32	FHDN DISC NOTE	11/28/2018	MIZUHO	FHLB DISCOUNT	49,997,013.89			-49,997,013.89
39010	COMM	313385R32	FHDN DISC NOTE	11/28/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055,56			-199,988,055.56
39014	COMM	3130A8R54	FEDERAL HOME	11/28/2018	BREAN CAPITAL LI	LLCFHLB NOTES	4,740,050.00			-4,740,050.00
39013	COMM	43815AAC6	HAROT 3.16% MAT	11/28/2018	JPMorganChase	HONDA AUTO	21,746,748.38			-21,746,748.38
39012	COMM	63873KLV7	NATXNY DISC NOTE 11/28/2018	11/28/2018	MERRILL LYNCH	Natixis NY Branch	49,997,000.00			49,997,000.00
39011	COMM	21687BLV1	RABO DISC NOTE	11/28/2018	MERRILL LYNCH	RABOBANK	49,997,013,89			49,997,013.89
39002	COMM	63873KLU9	NATXNY DISC NOTE 11/28/2018	11/28/2018	MERRILL LYNCH	Nalixis NY Branch		50,000,000,00		50,000,000.00
39003	COMM	21687BLU3	RABO DISC NOTE	11/28/2018	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
39004	COMM	313385R24	FHDN DISC NOTE	11/28/2018	MIZUHO	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39005	COMM	313385R24	FHDN DISC NOTE	11/28/2018	UBS FINANCE	FHLB DISCOUNT		200,000,000.00		200,000,000.00
38178	COMM	3130ACUK8	FEDERAL HOME	11/28/2018	INCAPITAL LLC	FHLB NOTES			250,000,00	250,000.00
38733	COMM	3130AECJ7	FEDERAL HOME	11/28/2018	INCAPITAL LLC	FHLB NOTES			136,354,20	136,354.20
		Totals for 11/28/2018	018				426,465,881.72 350,000,000.00	350,000,000.00	386,354.20	-76,079,527.52
39019	COMM	313312BG4	FCDN DISC NOTE	11/29/2018	MIZUHO	FFCB DISCOUNT	29,878,725,00			-29,878,725.00
39017	COMM	313385R40	FHDN DISC NOTE	11/29/2018	MIZUHO	FHLB DISCOUNT	49.997.097.22			49,997,097.22
39018	COMM	313385R40	FHDN DISC NOTE	11/29/2018	UBS FINANCE	FHLB DISCOUNT	199,988,388.88			-199,988,388.88
39016	COMM	3130AFE78	FEDERAL HOME	11/29/2018	INCAPITAL LLC	FHLB NOTES	14,370,472.67			-14,370,472.67
39021	COMM	63873KLW5	NATXNY DISC NOTE 11/29/2018	11/29/2018	MERRILL LYNCH	Natixis NY Branch	49,997,000.00			-49,997,000.00
39020	COMM	21687BLW9	RABO DISC NOTE	11/29/2018	MERRILL LYNCH	RABOBANK	49,997,013.89			-49,997,013.89
39009	COMM	313385R32	FHDN DISC NOTE	11/29/2018	MIZUHO	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39010	COMM	313385R32	FHDN DISC NOTE	11/29/2018	UBS FINANCE	FHLB DISCOUNT		200,000,000,00		200,000,000.00
39011	COMM	21687BLV1	RABO DISC NOTE	11/29/2018	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000,00
39012	COMM	63873KLV7	NATXNY DISC NOTE 11/29/2018	11/29/2018	MERRILL LYNCH			50,000,000,00		50,000,000,00
37149	COMM	3130AABG2	FEDERAL HOME	11/29/2018	BANK OF AMERICA	A FHLB NOTES			140,625.00	140,625.00
		Totals for 11/29/2018	018				394,228,697.66 350,000,000.00	350,000,000.00	140,625.00	-44,088,072.66
39022	COMM	3133EHFD0	FEDERAL FARM CR 11/30/2018	11/30/2018	BREAN CAPITAL LLCFFCB NOTES	LCFFCB NOTES	4,723,932,33			4,723,932,33
39023	COMM	3133E.JW70	FEDERAL FARM CR 11/30/2018	11/30/2018	KEYBANC CAPITAL	L FFCB NOTES	26,807,817.10			-26,807,817.10
39024	COMM	313385R73		11/30/2018	MIZUHO	FHLB DISCOUNT	49,991,541,67			49,991,541.67
39025	COMM	313385R73	FHDN DISC NOTE	11/30/2018	UBS FINANCE	FHLB DISCOUNT	199,966,166,68			-199,966,166.68
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Investment #	Fund	CUSIP	Inv Descrip	TransactionDate	Dealer	Issuer	New Principal Principal Paydowns	Interest	Total
39017	COMM	313385R40	FHIDN DISC NOTE	11/30/2018	MIZUHO	FHLB DISCOUNT	50,000,000,000		50,000,000,00
39018	COMM	313385R40	FHDN DISC NOTE	11/30/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,00		200,000,000,002
39020	COMM	21687BLW9	RABO DISC NOTE	11/30/2018	MERRILL LYNCH	RABOBANK	00'000'000'000		50,000,000.00
39021	COMM	63873KLW5	NATXNY DISC NOTE 11/30/2018	E 11/30/2018	MERRILL LYNCH	Natixis NY Branch	50,000,000.00		50,000,000.00
36793	COMM	3136G3QY4	FEDERAL NATL MTG11/30/2018	G11/30/2018	INCAPITAL LLC	<b>FNMA NOTES</b>		31,250,00	31,250.00
36794	COMM	3136G3QY4	FEDERAL NATL MTG11/30/2018	G11/30/2018	INCAPITAL LLC	FNMA NOTES		93,750.00	93,750.00
36795	COMM	3136G3QY4	FEDERAL NATL MTG11/30/2018	G11/30/2018	INCAPITAL LLC	FNMA NOTES		31,250.00	31,250.00
37148	COMM	3134GAXX7	FEDERAL HOME LN: 11/30/2018	11/30/2018	INCAPITAL LLC	FHLMC NOTES		75,000,00	75,000.00
38386	COMM	912828SX9	UNITED STATES	11/30/2018	DEUTSCHE BANK	U.S. TREASURY		112,500,00	112,500.00
		Totals for 11/30/2018	118				281,489,457.78 365,000,000.00	343,750.00	83,854,292.22
39027	COMM	313385R81	FHDN DISC NOTE	12/03/2018	UBS FINANCE	FHLB DISCOUNT	199,988,166,68		-199,988,166.68
39028	COMM	313385R81	FHDN DISC NOTE	12/03/2018	MIZUHO	FHLB DISCOUNT	49,997,041.67		-49,997,041.67
39026	COMM	3137B5KW2	FHLMC SERIES	12/03/2018	INCAPITALILC	FHLMC Multi-Family	10,096,029.72		-10,096,029.72
39024	COMM	313385R73	FHDN DISC NOTE	12/03/2018	MIZUHO	FHLB DISCOUNT	50,000,000,00		50,000,000,00
39025	COMM	313385R73	FHDN DISC NOTE	12/03/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,00		200,000,000.00
		Totals for 12/03/2018	118				260,081,238.07 250,000,000.00		-10,081,238.07
39031	COMM	06405LAA9	BANK NEW YORK	12/04/2018	CITIGROUP GLOBALBANK OF NEW	ALBANK OF NEW	25,000,000.00		-25,000,000.00
39032	COMM	06405LAA9	BANK NEW YORK	12/04/2018	Academy Securities	BANK OF NEW	5,000,000.00		-5,000,000.00
39029	COMM	313385523	FHDN DISC NOTE	12/04/2018	MIZUHO	FHLB DISCOUNT	49,994,166.67		-49,994,166.67
39030	COMM	313385523	FHDN DISC NOTE	12/04/2018	UBS FINANCE	FHLB DISCOUNT	199,976,666.68		-199,976,666.68
39033	COMM	63873KM61	NATXNY DISC NOTE 12/04/2018	E 12/04/2018	MERRILL LYNCH	Natixis NY Branch	00.000,889,999		00'000'886'66-
39034	COMM	21687BM65	RABO DISC NOTE	12/04/2018	MERRILL LYNCH	RABOBANK	49,994,027.78		-49,994,027.78
39027	COMM	313385R81	FHDN DISC NOTE	12/04/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,000		200,000,000.00
39028	COMM	313385R81	FHDN DISC NOTE	12/04/2018	MIZUHO	FHLB DISCOUNT	20,000,000,000		50,000,000,00
34292	COMM	SYS34292	MSTI 0.%	12/04/2018		MORGAN STANLEY		332.84	332.84
34292	COMM	SYS34292	MSTI 0.%	12/04/2018		MORGAN STANLEY	332.84		-332.84
37590	COMM	SYS37590	JPM TE 0.44%	12/04/2018	JPMorganChase	JP MORGAN US		0.04	0.04
37590	COMM	SYS37590	JPM TE 0.44%	12/04/2018	<b>JPMorganChase</b>	JP MORGAN US	0.04		-0.04
		Totals for 12/04/2018	018				429,953,194.01 250,000,000.00	332.88	-179,952,861.13
39035	COMM	313384BF5	FHDN DISC NOTE	12/06/2018	MIZUHO	FHLB DISCOUNT	39,854,922,22		-39,854,922,22
39036	COMM	313385531	FHDN DISC NOTE	12/06/2018	MIZUHO	FHLB DISCOUNT	49,997,013,89		49,997,013.89
39037	COMM	313385531	FHDN DISC NOTE	12/06/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055.56		-199,988,055.56
39039	COMM	63873KM79	NATXNY DISC NOTE 12/06/2018	E 12/06/2018	MERRILL LYNCH	Natixis NY Branch	99,994,000,00		-99,994,000.00
39038	COMM	21687BM73	RABO DISC NOTE	12/06/2018	MERRILL LYNCH	RABOBANK	49,997,013.89		-49,997,013.89
37590	COMM	SYS37590	JPM TE 0,44%	12/06/2018	JPMorganChase	JP MORGAN US	200,000,000,00		-200,000,000.00
39029	COMM	313385523	FHDN DISC NOTE	12/06/2018	MIZUHO	FHLB DISCOUNT	20,000,000,000		50,000,000,00
39030	COMM	313385523	FHDN DISC NOTE	12/06/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,00		200,000,000,00
39033	COMM	63873KM61	NATXNY DISC NOTE 12/06/2018	E 12/06/2018	MERRILL LYNCH	Natikis NY Branch	00,000,000,001		100,000,000.00
39034	COMM	21687BM65	RABO DISC NOTE	12/06/2018	MERRILL LYNCH	RABOBANK	50,000,000,00		50,000,000.00

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## SANTA CLARA COUNTY INVESTMENTS Transaction Activity Report Sorted by Transaction Date - Transaction Date

Investment #	Fund	CUSIP	Inv Descrip	TransactionDate	Dealer	Issuer	New Principal Principal Paydowns	Interest	Total
		Totals for 12/06/2018	8				639,831,005.56 400,000,000.00	-5	239,831,005.56
39041	COMM	313385564	FHDN DISC NOTE	12/07/2018	MIZUHO	FHLB DISCOUNT	49,991,041.67		49,991,041.67
39042	COMM	313385564	FHDN DISC NOTE	12/07/2018	UBS FINANCE	FHLB DISCOUNT	199,964,166.68	7	-199,964,166.68
39043	COMM	21687BMA6	FHDN DISC NOTE	12/07/2018	MERRILL LYNCH	FHLB DISCOUNT	49,991,041.67		49,991,041.67
39044	COMM	63873KMA2	NATXNY DISC NOTE 12/07/2018	12/07/2018	MERRILL LYNCH	Natixis NY Branch	99,982,000.00		-99,982,000.00
39045	COMM	78012UKV6	RBC 2.9% MAT	12/07/2018	ROYAL BANK OF	ROYAL BANK OF	45,000,000.00		-45,000,000.00
39040	COMM	912796UL1	UNITED STATES	12/07/2018	MIZUHO	U S TREASURY BILL	44,843,318.75		44,843,318.75
39036	COMM	313385531	FHDN DISC NOTE	12/07/2018	MIZUHO	FHLB DISCOUNT	50,000,000,000		50,000,000,00
39037	COMM	313385531	FHDN DISC NOTE	12/07/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,00	2	200,000,000,002
39038	COMM	21687BM73	RABO DISC NOTE	12/07/2018	MERRILL LYNCH	RABOBANK	50,000,000,00		50,000,000.00
39039	COMM	63873KM79	NATXNY DISC NOTE 12/07/2018	E 12/07/2018	MERRILL LYNCH	Natixis NY Branch	100,000,000,001	-	00'000'000'001
		Totals for 12/07/2018	81				489,771,568.77 400,000,000.00		-89,771,568.77
39046	COMM	06417G3L0	BNSHOU 2.88% MAT 12/10/2018	T 12/10/2018	JPMorganChase	BANK OF NOVA	60,000,000,00		-60,000,000,00
39049	COMM	313385572	FHDN DISC NOTE	12/10/2018	MIZUHO	FHLB DISCOUNT	49,997,041,67		-49,997,041.67
39050	COMM	313385572	FHDN DISC NOTE	12/10/2018	UBS FINANCE	FHLB DISCOUNT	199,988,166.68	7	-199,988,166.68
39048	COMM	63873KMB0	NATXNY DISC NOTE 12/10/2018	E 12/10/2018		Natixis NY Branch	99,994,000,00	6	-99,994,000,00
39047	COMM	21687BMB4	RABO DISC NOTE	12/10/2018	MERRILL LYNCH	RABOBANK	49,997,013.89		49,997,013.89
37590	COMM	SYS37590	JPM TE 0.44%	12/10/2018	JPMorganChase	JP MORGAN US	130,000,000.00	ች	-130,000,000.00
39041	COMM	313385564	FHDN DISC NOTE	12/10/2018	MIZUHO	FHLB DISCOUNT	50,000,000.00		50,000,000.00
39042	COMM	313385564	FHDN DISC NOTE	12/10/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000,00	.,	200,000,000,002
39043	COMM	21687BMA6	FHDN DISC NOTE	12/10/2018	MERRILL LYNCH	FHLB DISCOUNT	50,000,000,00		50,000,000.00
39044	COMM	63873KMA2	NATXNY DISC NOTE 12/10/2018	E 12/10/2018	MERRILL LYNCH	Natixis NY Branch	100,000,000,00		100,000,000,001
37194	COMM	3133EGT47	FEDERAL FARM CR 12/10/2018	12/10/2018	INCAPITAL LLC	FFCB NOTES		100,500.00	100,500.00
		Totals for 12/10/2018	18				589,976,222.24 400,000,000.00	100,500.00	-189,875,722.24
39053	COMM	0530A3MC9	ADPPP DISC NOTE	12/11/2018	CITIGROUP GLOB,	CITIGROUP GLOBAL AUTO DATA PROC	99,993,888,88		-99,993,888.88
39054	COMM	17305TC83	CITINA 2.92% MAT	12/11/2018	CITIGROUP GLOBALCITI BANK NA	ALCITI BANK NA	50,000,000.00		-50,000,000.00
39051	COMM	313385580	FHDN DISC NOTE	12/11/2018	UBS FINANCE	FHLB DISCOUNT	199,988,333,32	7	-199,988,333.32
39052	COMM	313385580	FHDN DISC NOTE	12/11/2018	MIZUHO	FHLB DISCOUNT	49,997,083.33		-49,997,083.33
39056	COMM	63873KMC8	NATXNY DISC NOTE 12/11/2018	E 12/11/2018	MERRILLLYNCH	Natixis NY Branch	99,994,000.00		-99,994,000.00
39055	COMM	21687BMC2	RABO DISC NOTE	12/11/2018	MERRILL LYNCH	RABOBANK	49,997,013.89		-49,997,013.89
37590	COMM	SYS37590	JPM TE 0.44%	12/11/2018	JPMorganChase	JP MORGAN US	45,000,000,00		-45,000,000.00
39047	COMM	21687BMB4	RABO DISC NOTE	12/11/2018	MERRILL LYNCH	RABOBANK	20,000,000,000		50,000,000.00
39048	COMM	63873KMB0	NATXNY DISC NOTE 12/11/2018	E 12/11/2018		Natixis NY Branch	00'000'000'001		100,000,000,001
39049	COMM	313385572	FHDN DISC NOTE	12/11/2018	MIZUHO	FHI.B DISCOUNT	50,000,000,00		50,000,000.00
39050	COMM	313385572	FHDN DISC NOTE	12/11/2018	UBS FINANCE	FHLB DISCOUNT	200,000,000.00		200,000,000.00
37961	COMM	313379RB7	FEDERAL HOME	12/11/2018	INCAPITAL LLC	FHLB NOTES		46,875.00	46,875.00
38102	COMM	3130A3UQ5	FEDERAL HOME	12/11/2018	INCAPITAL LLC	FHLB NOTES		93,750.00	93,750.00
		Totals for 12/11/2018	18				594,970,319.42 400,000,000.00	140,625.00	140,625.00 -194,829,694.42

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39062	COMM	05522RCZ9	BAC 3,% MAT	12/12/2018	ROYAL BANK OF	BANK OF AMERICA	7			-20,237,710.47
39061	COMM	25215FEK6	DX 2.75% MAT	12/12/2018	DEXIA.	DEXIA	30,000,000.00			-30,000,000,00
39058	COMM	313385722	FHDN DISC NOTE	12/12/2018	MIZUHO	FHLB DISCOUNT	49,994,138.89			-49,994,138.89
39059	COMM	313385722	FHDN DISC NOTE	12/12/2018	UBS FINANCE	FHLB DISCOUNT	199,976,555.56			-199,976,555.56
39060	COMM	24422MNW7	JDCCP DISC NOTE	12/12/2018	CITIGROUP GLOBAL	CITIGROUP GLOBAL John Deere Capital C	59,796,650,00			-59,796,650.00
39057	COMM	63873KMD6	NATXNY DISC NOTE 12/12/2018	12/12/2018	MERRILL LYNCH	Natixis NY Branch	124,992,500.00			-124,992,500.00
39063	COMM	SVS39063	<b>USTN 2.17% MAT</b>	12/12/2018	BARCLAYS CAPITAL U.S. TREASURY	LUS TREASURY	200,000,000.00			-200,000,000.00
39051	COMM	313385580	FHDN DISC NOTE	12/12/2018	UBS FINANCE	FHLB DISCOUNT	200,000	200,000,000,002		200,000,000.00
39052	COMM	313385580	FHDN DISC NOTE	12/12/2018	MIZUHO	FHLB DISCOUNT	50,000	50,000,000,00		50,000,000,00
39053	COMM	0530A3MC9	ADPPP DISC NOTE	12/12/2018	CITIGROUP GLOBA	CITIGROUP GLOBAL AUTO DATA PROC.	100,000	00.000,000,001		100,000,000,001
39055	COMM	21687BMC2	RABO DISC NOTE	12/12/2018	MERRILL LYNCH	RABOBANK	000'09	50,000,000.00		50,000,000.00
39056	COMM	63873KMC8	NATXNY DISC NOTE 12/12/2018	12/12/2018	MERRILL LYNCH	Natixis NY Branch	000'001	100,000,000,001		100,000,000.00
36877	COMM	3133834H1	FEDERAL HOME	12/12/2018	KEYBANC CAPITAL	FHLB NOTES			103,125.00	103,125.00
37037	COMM	3133834H1	FEDERAL HOME	12/12/2018	KEYBANC CAPITAL	FHLB NOTES			68,750.00	68,750.00
37058	COMM	313383HU8	FHLB 1,75% MAT	12/12/2018	KEYBANC CAPITAL	FHLB NOTES			131,250.00	131,250.00
37901	COMM	3133EHMR1	FFCB 1,375% MAT	12/12/2018	Daiwa Capital Market	I FFCB NOTES			34,375.00	34,375.00
37905	COMM	3133EHMR1	FFCB 1.375% MAT	12/12/2018	INCAPITAL LLC	FFCB NOTES			34,375.00	34,375.00
38247	COMM	313383HU8	FHLB 1.75% MAT	12/12/2018	KEYBANC CAPITAL	FHLB NOTES			218,750.00	218,750.00
38978	COMM	313370E38	FEDERAL HOME	12/12/2018	KEYBANC CAPITAL	FHLB NOTES			337,500.00	337,500.00
38978	COMM	313370E38	FEDERAL HOME	12/12/2018	KEYBANC CAPITAL	FHLB NOTES	29.	294,375.00	-294,375.00	0.00
		Totals for 12/12/2018	118				684,997,554.92, 500,294	500,294,375.00	633,750.00	-184,069,429.92
39068	COMM	313385722	FHDN DISC NOTE	12/13/2018	MERRILL LYNCH	FHLB DISCOUNT	99,994,416.66			-99,994,416.66
39065	COMM	45113VPS3	IBM DISC NOTE MAT12/13/2018	T12/13/2018	CITIGROUP GLOBALIBM	LIBM	44,754,375,00			-44,754,375.00
39066	COMM	63873KME4	NATXNY DISC NOTE 12/13/2018	E 12/13/2018	MERRILL LYNCH	Natixis NY Branch	99,994,000.00			-99,994,000,00
39067	COMM	21687BME8	RABO DISC NOTE	12/13/2018	MERRILL LYNCH	RABOBANK	49,997,013,89			-49,997,013.89
39064	COMM	SYS39064	USTN 2.17% MAT	12/13/2018	BARCLAYS CAPITAL U.S. TREASURY	LU.S. TREASURY	200,000,000,00			-200,000,000,00
39057	COMM	63873KMD6	NATXNY DISC NOTE 12/13/2018	E 12/13/2018	MERRILL LYNCH	Natixis NV Branch	125,000	125,000,000.00		125,000,000.00
39063	COMM	SYS39063	USTN 2.17% MAT	12/13/2018	BARCLAYS CAPITAL U.S. TREASURY	LU.S. TREASURY	200,000	200,000,000,002		200,000,000,00
39063	COMM	SYS39063	USTN 217% MAT	12/13/2018	BARCLAYS CAPITAL U.S. TREASURY	LU.S. TREASURY			12,055.54	12,055,54
		Totals for 12/13/2018	118				494,739,805.55 325,000,000.00	00'000'00	12,055.54	-169,727,750.01
39072	COMM	313385755	FHDN DISC NOTE	12/14/2018	MIZUHO	FHLB DISCOUNT	99,982,833.00			-99,982,833.00
39073	COMM	313385755	FHDN DISC NOTE	12/14/2018	UBS FINANCE	FHLB DISCOUNT	199,965,666,68			-199,965,666.68
39066	COMM	3130A0F70	FEDERAL HOME	12/14/2018	KEYBANC CAPITAL	FHLB NOTES	10,213,725.00			-10,213,725.00
39070	COMM	63873KMH7	NATXNY DISC NOTE 12/14/2018	E 12/14/2018	MERRILL LYNCH	Natixis NV Branch	99,982,000.00			-99,982,000.00
39071	COMM	21687BMH1	RABO DISC NOTE	12/14/2018	MERRILL LYNCH	RABOBANK	49,991,041,67			-49,991,041,67
39074	COMM	SYS39074	USTN 2.18% MAT	12/14/2018	BARCLAYS CAPITAL U.S. TREASURY	LU.S. TREASURY	200,000,000.00			-200,000,000.00
39058	COMM	313385722	FHDN DISC NOTE	12/14/2018	MIZUHO	FHLB DISCOUNT	50,000	50,000,000,00		50,000,000.00
39059	COMM	313385722	FHDN DISC NOTE	12/14/2018	UBS FINANCE	FHLB DISCOUNT	200,000	200,000,000,00		200,000,000.00
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39067 39068 36402	COMINI	63873KME4	NATXNY DISC NOTE 12/14/2018	E 12/14/2018	MERRILL LYNCH	Natixis NY Branch	ľ	100,000,000,001		100.000.000.001
39068	COMM	21687BME8	RABO DISC NOTE	12/14/2018	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000,00
36402	COMM	313385T22	EHDN DISC NOTE	12/14/2018	MERRILL LYNCH	FHLB DISCOUNT		100,000,000,001		100.000,000,001
	COMM	313379EE5	FEDERAL HOME	12/14/2018	INCAPITAL LLC	FHLB NOTES			139,750.00	139,750.00
36550	COMM	313379EE5	FEDERAL HOME	12/14/2018	DEUTSCHE BANK	FHLB NOTES			121.875.00	121,875.00
36823	COMM	3134G9QW0	FEDERAL HOME LN	1 12/14/2018	JEFFERIES & CO.	FHLMC NOTES			128,000.00	128,000.00
38469	COMM	313379EE5	FEDERAL HOME	12/14/2018	KEYBANC CAPITAL	FHLB NOTES			203,125,00	203,125.00
39064	COMM	SYS39064	<b>USTN 2.17% MAT</b>	12/14/2018	BARCLAYS CAPITAL U.S. TREASURY	LU.S. TREASURY			12,055.54	12,055.54
		Totals for 12/14/2018	918				660,135,266.35 700,000,000.00	700,000,000,007	604,805.54	40,469,539.19
39075	COMM	3133EJ3B3	FEDERAL FARM CR 12/17/2018	3 12/17/2018	INCAPITAL LLC.	FFCB NOTES	9,987,400.00			-9,987,400.00
39077	COMM	313385T63	FHDN DISC NOTE	12/17/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055.56			-199,988,055.56
39078	COMM	313385163	FHDN DISC NOTE	12/17/2018	MIZUHO	FHLB DISCOUNT	99,994,028,00			-99,994,028.00
39080	COMM	63873KMJ3	NATXNY-DISC NOTE 12/17/2018	E 12/17/2018	MERRILL LYNCH	Natixis NY Branch	99,994,000.00			-99,994,000.00
39079	COMM	21687BMJ7	RABO DISC NOTE	12/17/2018	MERRILL LYNCH	RABOBANK	49,997,013.89			-49,997,013.89
39076	COMM	SYS39076	USTN 2.27% MAT	12/17/2018	BARCLAYS CAPITAL U.S. TREASURY	LU.S. TREASURY	200,000,000,00			-200,000,000.00
36753	COMM	89231UAD9	TOYO 1,3% MAT	12/17/2018	CITIGROUP GLOBA	CITIGROUP GLOBAL TOYOTA AUTO REC		188,709.07		188,709.07
36753	COMM	89231UAD9	<b>TOYO 1.3% MAT</b>	12/17/2018	CITIGROUP GLOBA	CITIGROUP GLOBAL TOYOTA AUTO REC		9.64		9.64
39070	COMM	63873KMH7	NATXNY DISC NOTE 12/17/2018	E 12/17/2018	MERRILL LYNCH	Natixis NY Branch		00'000'000'001		100,000,000,001
39071	COMM	21687BMH1	RABO DISC NOTE	12/17/2018	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
39072	COMM	313385T55	FHDN DISC NOTE	12/17/2018	MIZUHO	FHLB DISCOUNT		00.000,000,001		100,000,000,001
39073	COMM	313385155	FHDN DISC NOTE	12/17/2018	UBS FINANCE	FHLB DISCOUNT		200,000,000.00		200,000,000.00
39074	COMM	SYS39074	<b>USTN 2.18% MAT</b>	12/17/2018	BARCLAYS CAPITAL U.S. TREASURY	LU.S. TREASURY		200,000,000,00		200,000,000.00
36753	COMM	89231UAD9	TOYO 1.3% MAT	12/17/2018	CITIGROUP GLOBA	CITIGROUP GLOBAL TOYOTA AUTO REC			1,326,51	1,326.51
37849	COMM	3134GBRR5	FHLMC 1.8% MAT	12/17/2018	INCAPITAL LLC	FHLMC NOTES			180,000,00	180,000.00
38076	COMM	931142EA7	WAL-MART STORES 12/17/2018	S 12/17/2018	BARCLAYS CAPITAL WALMART	LWALMART			66,500,00	66,500.00
38796	COMM	3134GSMYB	FEDERAL HOME LN 12/17/2018	1 12/17/2018	INCAPITAL LLC	FHLMC NOTES			206,250.00	206,250.00
39074	COMM	SYS39074	<b>USTN 2.18% MAT</b>	12/17/2018	BARCLAYS CAPITAL U.S. TREASURY	LU.S. TREASURY			36,333,32	36,333.32
36557	COMM	65478UAD1	NALT 1.34% MAT	12/17/2018	MERRILL LYNCH	NISSAN AUTO			2,661.55	2,661.55
36557	COMM	65478UAD1	NALT 1.34% MAT	12/17/2018	MERRILL LYNCH	NISSAN AUTO		281,843.18		281,843.18
36600	COMM	47788MAC4	JDOT 1,36% MAT	12/17/2018	MERRILL LYNCH	JOHN DEERE			2,685,82	2,685.82
36600	COMM	47788MAC4	JDOT 1.36% MAT	12/17/2018	MERRILL LYNCH	JOHN DEERE		455,519.49		455,519.49
36601	COMM	89237KAD5	TAOT 1,25% MAT	12/17/2018	MERRILL LYNCH	TOYOTA AUTO REC			3,542.16	3,542.16
36601	COMM	89237KAD5	TAOT 1,25% MAT	12/17/2018	MERRILL LYNCH	TOYOTA AUTO REC		631,277.27		631,277.27
36800	COMM	438140AC2	HAROT 1.39% MAT	12/17/2018	MERRILL LYNCH	HONDA AUTO			4,108.30	4,108.30
36800	COMM	43814QAC2	HAROT 1.39% MAT	12/17/2018	MERRILL LYNCH	HONDA AUTO		554,528.69		554,528.69
36905	COMM	47788NAC2	JDOT 1.25% MAT	12/17/2018	ROYAL BANK OF	JOHN DEERE			3,989,14	3,989,14
36905	COMM	47788NAC2	JDOT 1.25% MAT	12/17/2018	ROYAL BANK OF	JOHN DEERE		493,100,36		493,100.36
36929	COMM	161571HF4	CHAIT 1.27% MAT	12/17/2018	<b>JPMorganChase</b>	CHASE ISSUANCE			24,341,66	24,341.66
36929	COMM	161571HF4	CHAIT 1.27% MAT	12/17/2018	JPMorganChase .	CHASE ISSUANCE		813,607.73		813,607.73
36956	COMM	58769BAD6	MBART 1.26% MAT	12/17/2018	CITIGROUP GLOBA	CITIGROUP GLOBAL MERCEDES -BENZ			6,511.76	6,511.76

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596,832.08         9.544.70         9.9           916,882.34         1,053.96         1,1           701,869.14         1,053.96         1,1           701,869.14         12,016.94         12,016.94           714,127.54         46,466.68         46,100.39           1,003,047.39         39,200.00         39,887,548.33           887,548.33         38,599.99         38,700.00           955,977.21         18,287.50         440,981.76           199,824.25         100,125.00         82,436.00           87,000.00         955,977.21         82,436.00         6,680.0           87,079.90         33,566.67         33,32,455.83         32,455.83         32,455.83           402,535.90         45,315.00         46,700.00         46,700.00         46,700.00           56,662,803,735.79         1,018,583.05         3,43,35.79         402,599.4           6         1,018,583.05         3,999.94         -150.000,00           7000.00         -199,994,00         -199,994,00         -199,994,00	Investment # F	Fund	CUSIP	Inv Descrip	TransactionDate	Dealer	Issuer	Principal	Paydowns	Interest	Cash
99231LAGD         TAGDIT STATEM         PHOREPROCNOSE         TOYOTA ALITO REC         96.887.38         9.544.00         9		COMM	58769BAD6	MBART 1.26% MAT	12/17/2018	CITIGROUP GLOBA	IL MERCEDES -BENZ		596,832.08		596,832.08
987231ALADO         BANK OF AMERCED S-BENZ         FOR SERVICADO         F	,	COMM	89231LAD9	TAOT 1.23% MAT	12/17/2018	JPMorganChase.	TOYOTA AUTO REC			9,544.70	9,544.70
SERIBBANCO         MBALTI 355% MINT 131770318         BANK OF AMERICAS MINCESSERVAZ         TOTA SERVANA         TOTA SERVANA </td <td>_</td> <td>COMM</td> <td>89231LAD9</td> <td>TAOT 1, 23% MAT</td> <td>12/17/2018</td> <td>JPMorganChase</td> <td>TOYOTA AUTO REC</td> <td></td> <td>916,882,34</td> <td></td> <td>916,882.34</td>	_	COMM	89231LAD9	TAOT 1, 23% MAT	12/17/2018	JPMorganChase	TOYOTA AUTO REC		916,882,34		916,882.34
SEXTRANCO         DODOT 178% MAT 1 27/17/2018         BANK OF MARERCA MERCENS SERVA         TOTAL         TOTAL         TOTAL           47787-XAC1         JODOT 178% MAT 1 27/17/2018         BANK OF MARERCA JOHN DEEDE         615.33         12/16.64 <td>7</td> <td>COMM</td> <td>58768MAC5</td> <td>MBALT 1.35% MAT</td> <td>12/17/2018</td> <td>BANK OF AMERICA</td> <td></td> <td></td> <td></td> <td>1,053.96</td> <td>1,053.96</td>	7	COMM	58768MAC5	MBALT 1.35% MAT	12/17/2018	BANK OF AMERICA				1,053.96	1,053.96
A7787AACT   DOOT 1789, MAT   2277208   BANK OF AMERICA. DOIN9 DEER   BASSANC   BANK OF AMERICA. NOVICA AUTO REC   714, 127.5   714	7	COMM	58768MAC5	MBALT 1.35% MAT	12/17/2018	BANK OF AMERICA			701,869.14		701,869,14
99238MADD         140171298         BANK OF MARRICA TOVÍTA ALTO REC         615.35.2.7.3         13.99.0.4         13.99.0.4         13.99.0.4         13.99.0.4         13.99.0.4         13.99.0.4         13.99.0.4         13.99.0.4         14.45.2.5	-	COMM	47787XAC1	JDOT 1,78% MAT	12/17/2018	BANK OF AMERICA				12,016,94	12,016.94
99238MAND         1401   1736 MAT         1217/2018         BANK CF AMERICA         TOYOTA ALID REC         13,990,40         13,990,40         13,990,40         13,990,40         13,990,40         146,46,56         146,46         146,	_	COMM	47787XAC1	JDOT 1.78% MAT	12/17/2018	BANK OF AMERICA			615,352,21		615,352.21
2582-BMCB         AMEL LAFF, MAT         1271/2018         ROVAL BANK OF AMERICAN         1003 04739         46.466.6         <	7	COMM	89238MAD0	TAOT 1,73% MAT	12/17/2018	BANK OF AMERICA				13,990.40	13,990,40
Q2823-HGS         AMEL 64% MAT         21772018         ROYAL BANK OF AMERICAN         AMERICAN         46,466.66 </td <td></td> <td>COMM</td> <td>89238MAD0</td> <td>TAOT 1,73% MAT</td> <td>12/17/2018</td> <td>BANK OF AMERICA</td> <td></td> <td></td> <td>714,127.54</td> <td></td> <td>714,127.54</td>		COMM	89238MAD0	TAOT 1,73% MAT	12/17/2018	BANK OF AMERICA			714,127.54		714,127.54
29282JHGS         AME 146% MAT 12/17/2018         CTICIGOLD GLOBALHONDA AUTO         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,047.39         1,0002,048.44         1,0002,047.39         1,0002,048.44         1,0002,047.39	-	COMM	02582JHG8	AME 1.64% MAT	12/17/2018	ROYAL BANK OF	AMERICAN			46,466,68	46,466.68
43811BAGS         HARDOTI 68% MAT 12/17/2018         CTICIGROUP GLOBALHONDA AUTO         199,280.00         39,200.00         30,200.00	3	COMM	02582JHG8	AME 1.64% MAT	12/17/2018	ROYAL BANK OF	AMERICAN		1,003,047.39		1,003,047.39
4381 BACKS         HAROT 1 68% MA         1 217/2018         CITCGOLD GLOBAL HONDA AUTO         887,548 23         887,548 24	-	COMM	43811BAC8	HAROT 1.68% MAT	12/17/2018	CITIGROUP GLOBA	AL HONDA AUTO			39,200:00	39,200.00
99238KAD4         TAOTT 193% MAT         12/11/2018         PPMSganChase         TOYOTA AUTO REC         648.046         38.599 pg         38.59 pg         3		COMM	43811BAC8	HAROT 1.68% MAT	12/17/2018	CITIGROUP GLOBA	ALHONDA AUTO		887,548,33		887,548,33
64778BAZHO         TAOT 1 93%, MAT         12/17/2018         MERRILL LYNCH         TOOT A ALTO REC         648 0A2 66         440,981 76	9	COMM	89238KAD4	TAOT 1.93% IMAT	12/17/2018	JPMorganChase.	TOYOTA AUTO REC			38,599,99	38,599.99
6461780ADD         NALT 266% MAT         121712018         MERRILL LYNCH         NISSAN AUTO         440 981 76         40,854 16 <td>~</td> <td>COMM</td> <td>89238KAD4</td> <td><b>TAOT 1.93% MAT</b></td> <td>12/17/2018</td> <td>JPMorganChase</td> <td>TOYOTA AUTO REC</td> <td></td> <td>648,042.65</td> <td></td> <td>648,042.65</td>	~	COMM	89238KAD4	<b>TAOT 1.93% MAT</b>	12/17/2018	JPMorganChase	TOYOTA AUTO REC		648,042.65		648,042.65
643/380AD9         NALT 265% MAT 12/17/2018         MERRILL LYNCH         NISSAN AUTO         440,981.76         18,287.56         440,981.76         18,287.56         450,981.76         460,981.76	~	COMM	65478DAD9	NALT 2,65% MAT	12/17/2018	MERRILL LYNCH	NISSAN AUTO			40,854.16	40,854.16
47788CACÓ         JDOT 2.66% MAT         1217/3018         ROYAL BANK OF         JOHN DEERE         199,824.25         18,297.20         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         18,287.50         199,824.25	~	COMM	65478DAD9	NALT 2.65% MAT	12/17/2018	MERRILL LYNCH	NISSAN AUTO		440,981.76		440,981.76
77788CACCA         IDOT 2 66% MAT         12/17/2018         ROYAL BANK OF         JOHN DEERE         199,824.25         190,325.00	~	COMM	47788CAC6	JDOT 2.66% MAT	12/17/2018	ROYAL BANK OF	JOHN DEERE			18,287,50	18,287.50
02582JHQ6         AME 26% MAT         12/17/2018         BARCLAYS CAPITAL AMERICAN         100,125.00         100,135.00		COMM	47788CAC6	JDOT 2.66% MAT	12/17/2018	ROYAL BANK OF	JOHN DEERE		199,824.25		199,824,25
Q5582JHQ6         AME 2.67% MAT         12/17/2018         BARCLAYS CAPITAL AMERICAN         955,917.27         955,91           161571HN7         CHAIT 2.1227% MAT         12/17/2018         BARCLAYS CAPITAL CHASE ISSUANCE         690,669.44         82,436.00         620,669.44           161571HN7         CHAIT 2.1227% MAT         12/17/2018         BARCLAYS CAPITAL CHASE ISSUANCE         690,669.44         6,700.00         6,700.00           58769DAEO         MBALT 2.01% MAT         12/17/2018         BARCLAYS CAPITAL CHASE ISSUANCE         690,669.44         6,700.00         6,700.00           58736DAEO         MBALT 2.01% MAT         12/17/2018         BOK FINANCIAL         MERCEDES-BENZ         87,009.90         47,000.00         6,700.00         6,700.00         6,700.00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         402,53         95,60         33,61         87,00         87,00         87,00         87,00         87,00         87,00         47,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         87,00         <	-	COMM	02582JHQ6	AME 2.67% MAT	12/17/2018	BARCLAYS CAPITA	LAMERICAN			100,125.00	100,125.00
161571 HN7		COMM	02582JHQ6	AME 2.67% MAT	12/17/2018	BARCLAYS CAPITA	AL AMERICAN		955,977.21		955,977.21
161571HN7         CHAIT 2.1227% MAT 12/17/2018         BARCLAYS CAPITAL CHASE ISSUANCE         690,669 44         6,700.00         6,700.00           58769A6E         MBALT 2.01% MAT 12/17/2018         BOK FINANCIAL         MECEDES-BENZ         87,079.90         6,700.00         6,700.00           58769A6E         MBALT 2.01% MAT 12/17/2018         BOK FINANCIAL         MECEDES-BENZ         87,079.90         87,079.90         87,00           89231PADO         1.07073 18% MAT 12/17/2018         JPMorganChase         TOYOTA ALTO REC         181,215.22         33,566.67         33,576.60         402,56.60         402,56.60         402,56.60         402,56.60	~	COMM	161571HN7	CHAIT 2.1227% MAT	12/17/2018	BARCLAYS CAPITA	L CHASE ISSUANCE			82,436,00	82,436.00
58769DAEO         MBALT 2.01% MAT         12/1/2018         BOK FINANCIAL         MFRCEDES-BENZ         6,700.00         6,700.00           58769DAEO         MBALT 2.01% MAT         12/1/2018         BOK FINANCIAL         MFRCEDES-BENZ         87,079.90         87,000.00         87,000.00           89231PADO         1AOT 3.18% MAT         12/1/2018         JPMorganChase         1OYOTA AUTO REC         181,215,22         32,455.83 <td< td=""><td>7</td><td>COMM</td><td>161571HN7</td><td>CHAIT 2.1227% MAT</td><td>12/17/2018</td><td>BARCLAYS CAPITA</td><td>IL CHASE ISSUANCE</td><td></td><td>690,669 44</td><td></td><td>690,669.44</td></td<>	7	COMM	161571HN7	CHAIT 2.1227% MAT	12/17/2018	BARCLAYS CAPITA	IL CHASE ISSUANCE		690,669 44		690,669.44
58769DAEO         MBALT Z.01% MAT         1217/2018         BOK FINANCIAL         MERCEDES-BENZ         87,079         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97,000         97	-	COMM	58769DAE0	MBALT 2.01% MAT	12/17/2018	BOK FINANCIAL	MERCEDES-BENZ			6,700.00	6,700.00
89231PADO         TAOT 3.18% MAT         12/17/2018         JPMorganChase         TOYOTA AUTO REC         181,215.22         33,566 67         33,566           89231PADO         TAOT 3.18% MAT         12/17/2018         JPMorganChase         TOYOTA AUTO REC         181,215.22         32,455.83         32	~	COMM	58769DAE0	MBALT 2.01% MAT	12/17/2018	BOK FINANCIAL	MERCEDES-BENZ		87,079.90		87,079.90
89231PADO         TAOT 3.18% MAT         12/17/2018         JPMorganChase         TOYOTA AUTO         402,535.90         462,535.90	4	COMM	89231PAD0	<b>TAOT 3.18% MAT</b>	12/17/2018	JPMorganChase	TOYOTA AUTO REC			33,566.67	33,566.67
43815AAC6         HAROT 3.16% MAT         12/17/2018         JPMorganChase         HONDA AUTO         402,535.90         402,535.90         402,535.90         402,535.90         402,535.90         402,535.90         402,535.90         402,535.90         402,535.00	-	COMM	89231PAD0	TAOT 3.18% MAT	12/17/2018	JPMorganChase	TOYOTA AUTO REC		181,215,22		181,215.22
43815AAC6         HAROT 3.16% MAT         12/17/2018         Powda Bank OF America         HONDA AUTO         402,535.90         402,535.90         402,535.90         402,535.90         402,535.00	-	COMM	43815AAC6	<b>HAROT 3.16% MAT</b>	12/17/2018	<b>JPMorganChase</b>	HONDA AUTO			32,455,83	32,455.83
05522RCZ9         BAC 3.% MAT         12/17/2018         ROVAL BANK OF AMERICA         BANK OF AMERICA         45,315.00         45,315.00         45,315.00         45,315.00         45,315.00         45,315.00         45,315.00         45,315.00         45,315.00         50,350.00         45,315.00         50,350.00         45,315.00         50,350.00         50,350.00         50,350.00         50,331.05         50,3		COMM	43815AAC6	HAROT 3.16% MAT	12/17/2018	JPMorganChase.	HONDA AUTO		402,535.90		402,535.90
05522RCZ9         BAC 3.% MAT         12/17/2018         ROYAL BANK OF         BANK OF AMERICA         50,350.00         50,350.00         50,331.15           05522RCZ9         BAC 3.% MAT         12/17/2018         ROYAL BANK OF         BANK OF AMERICA         55,350.00         50,350.00         50,331.15           Totals for 12/17/2018         ROYAL BANK OF         BANK OF AMERICA         BANK OF AMERICA         15,008,335.94         1,018,583.05         3,861,81           105522RDA3         BACCT 3.1% MAT         12/18/2018         ROYAL BANK OF         BANK OF         BANK OF         199,988,055.56         199,988,05         199,988,00           313385771         FHDN DISC NOTE         12/18/2018         MIZUHO         FHLB DISCOUNT         99,940,000.00         199,940,00         199,940,00           63873KMK0         NATXNY DISC NOTE         12/18/2018         MERRILL LYNCH         RABOBANK         FABOBANK         50,000,000.00         50,000,000.00         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000         50,000,000<	7	COMM	05522RCZ9	BAC 3 % MAT	12/17/2018	ROYAL BANK OF	BANK OF AMERICA			-45,315,00	-45,315.00
05652RCZ9         BAC 3.% MAT         12/17/2018         ROYAL BANK OF         BANK OF AMERICA         56,350.00         50,350.00         50,350.00         3           Totals for 12/17/2018         BAC 3.% MAT         12/17/2018         ROYAL BANK OF         BANK OF AMERICA         BANK OF AMERICA         15,008,335.94         1,018,583.05         3,8           Totals for 12/17/2018         ROYAL BANK OF         BANK OF         BANK OF         HLEB DISCOUNT         199,988,055.56         199,988,055.56         -99,94           313385771         FHDN DISC NOTE         12/18/2018         MIZUHO         FHLB DISCOUNT         99,994,002,00         -99,99           463873KMK0         NATXNY DISC NOTE         12/18/2018         MERRILL LYNCH         RABOBANK         ABBOBANK         50,000,000,00         -99,99		COMM	05522RCZ9	BAC 3.% MAT	12/17/2018	ROYAL BANK OF	BANK OF AMERICA				0.00
05522RCZ9         BAC 3.% MAT         12/17/2018         ROYAL BANK OF         BANK OF AMERICA         555,960         437.155,00           Totals for 12/17/2018         BACCT 3% MAT         12/18/2018         ROYAL BANK OF         BANK OF AMERICA         15,008.335,94         1,018,583.05           313385771         FHDN DISC NOTE         12/18/2018         MIZUHO         FHLB DISCOUNT         99,94,028.00         99,94,028.00           63873KMK0         NATXNY DISC NOTE         12/18/2018         MERRILL LYNCH         RABOBANK         50,000,000.00	7	COMM	05522RCZ9	BAC 3.% MAT	12/17/2018	ROYAL BANK OF	BANK OF AMERICA			50,350,00	50,350.00
Totals for 12/17/2018         ROYAL BANK OF AMERICA         BANK OF AMERICA         659,960,497.45         662,803,735.79         1,018,583.05           05522RDA3         BACCT 3.1% MAT 12/18/2018         ROYAL BANK OF BANK OF BANK OF FILE DISCOUNT BANK BANK BANK BANK BANK BANK BANK BANK	7	COMM	05522RCZ9	BAC 3.% MAT	12/17/2018	ROYAL BANK OF	BANK OF AMERICA		343,155.00		343,155.00
05522RDA3         BACCT 3.1% MAT         12/18/2018         ROYAL BANK OF         BANK OF         15,008,335,94           06370RRU5         BMOCHI 2.88% MAT 12/18/2018         BMO CAPITAL         BANK OF         199,988,055,56           313385771         FHDN DISC NOTE         12/18/2018         MIZUHO         FHLB DISCOUNT         99,994,028.00           63873KMK0         NATXNY DISC NOTE 12/18/2018         MERRILL LYNCH         Nalixis NY Branch         99,994,000.00           21685V7C7         RABO 2.85% MAT         12/18/2018         MERRILL LYNCH         RABOBANK         50,000,000.00			Totals for 12/17/201	18				659,960,497.45	62,803,735.79	1,018,583.05	3,861,821.39
06370RRU5         BMOCHI 2.88% MAT 12/18/2018         BMO CAPITAL         BANK OF         50,000,000.00           313385771         FHDN DISC NOTE         12/18/2018         UBS FINANCE         FHLB DISCOUNT         199,988,055.56           313385771         FHDN DISC NOTE         12/18/2018         MIZUHO         FHLB DISCOUNT         99,994,028.00           63873KMK0         NATXNY DISC NOTE         12/18/2018         MERRILL LYNCH         Nalixis NY Branch         99,994,000.00           21685V7C7         RABO 2.85% MAT         12/18/2018         MERRILL LYNCH         RABOBANK         50,000,000.00		COMM	05522RDA3	BACCT 3.1% MAT	12/18/2018	ROYAL BANK OF	BANK OF AMERICA	15,008,335,94			15,008,335.94
313385771 FHDN DISC NOTE 12/18/2018 UBS FINANCE FHLB DISCOUNT 199/988,055,56 313385771 FHDN DISC NOTE 12/18/2018 MIZUHO FHLB DISCOUNT 99,994,028,00 63873KMK0 NATXNY DISC NOTE 12/18/2018 MERRILL LYNCH Nalixis NY Branch 99,994,000,00 21685V7C7 RABO 2.85% MAT 12/18/2018 MERRILL LYNCH RABOBANK 50,000,000,000		COMM	06370RRU5	BMOCHI 2.88% MAT	12/18/2018	BMO CAPITAL	BANK OF	50,000,000,00			50,000,000.00
313385771 FHDN DISC NOTE 12/18/2018 MIZUHO FHLB DISCOUNT 99,994,028,00. 63873KMK0 NATXNY DISC NOTE 12/18/2018 MERRILL LYNCH Nalixis NY Branch 99,994,000,00. 21685V7C7 RABO 2.85% MAT 12/18/2018 MERRILL LYNCH RABOBANK 50,000,000,000,000		COMM	313385771	FHDN DISC NOTE	12/18/2018	UBS FINANCE	FHLB DISCOUNT	199,988,055,56			99,988,055,56
63873KMK0 NATXNY DISC NOTE 12/18/2018 MERRILL LYNCH Nalixis NY Branch 99,994,000,000 21685V7C7 RABO 2.85% MAT 12/18/2018 MERRILL LYNCH RABOBANK 50,000,000,000		COMM	313385771	FHDN DISC NOTE	12/18/2018	MIZUHO	FHLB DISCOUNT	99,994,028.00			99,994,028.00
21685V7C7 RABO 2.85% MAT 12/18/2018 MERRILL LYNCH RABOBANK. 50,000,000,000	-	COMM	63873KMK0	NATXNY DISC NOTE	12/18/2018	MERRILL LYNCH	Natixis NY Branch	99 994,000,00			99,994,000.00
		COMM	21685V7C7	RABO 2.85% MAT	12/18/2018	MERRILL LYNCH	RABOBANK	50,000,000,00			50,000,000,00

AP 7A (PRE\_TA) 7.1.1 Report Ver. 7.3.6.1

Partfolio SCL2

Investment #	Fund	COSIP	Inv Descrip	TransactionDate	Dealer	Princinal Paydowns	Interest	rest	Cash
39086	COMM	SYS39086	USTN 2.3% MAT	12/18/2018	BARCLAYS CAPITAL U.S. TREASURY			-200	-200,000,000.00
39076	COMM	SYS39076	USTN 2.27% MAT	12/18/2018	BARCLAYS CAPITAL U.S. TREASURY	200,000,000,000	00.00	200	200,000,000,00
39077	COMM	313385763	FHDN DISC NOTE	12/18/2018	UBS FINANCE FHLB DISCOUNT	200,000,000,000	00.00	200	200,000,000,00
39078	COMM	313385763	FHDN DISC NOTE	12/18/2018	MIZUHO FHLB DISCOUNT	100,000,000,001	00.00	100	100,000,000,001
39079	COMM	21687BMJ7	RABO DISC NOTE	12/18/2018	MERRILL LYNCH RABOBANK	50,000,000,00	00'00	50	50,000,000,00
39080	COMM	63873KMJ3	NATXNY DISC NOTE 12/18/2018	E 12/18/2018	MERRILL LYNCH Natixis NY Branch	100,000,000,000	00.00	100	100,000,000,001
39076	COMM	SYS39076	USTN 2,27% MAT	12/18/2018	BARCLAYS CAPITAL U.S. TREASURY		12,611.12	1.12	12,611.12
36587	COMM	43814NAC9	HAROT 1.22% MAT	12/18/2018	JPMorganChase HONDA AUTO		2,34	2,349.56	2,349.56
36587	COMM	43814NAC9	HAROT 1,22% MAT	12/18/2018	JPMorganChase HONDA AUTO	595,575,75	57.5		595,575.75
37059	COMM	43814RAC0	HAROT 1.21% MAT	12/18/2018	BARCLAYS CAPITAL HONDA AUTO		8,31	8,319,50	8,319.50
37059	COMM	43814RAC0	HAROT 1.21% MAT	12/18/2018	BARCLAYS CAPITAL HONDA AUTO	677,451.57	17.57		677,451.57
38738	COMM	43814UAG4	HAROT 3.01% MAT	12/18/2018	BARCLAYS CAPITAL HONDA AUTO		30,10	30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	12/18/2018	BARCLAYS CAPITAL HONDA AUTO	285,052.23	52.23		285,052.23
		Totals for 12/18/2018	118			714,984,419.50 651,558,079.55		53,380.18 -63	-63,372,959.77
39089	COMM	313385789	FHDN DISC NOTE	12/19/2018	UBS FINANCE FHLB DISCOUNT	199,987,944,44		-196	199,987,944.44
39090	COMM	313385789	FHDN DISC NOTE	12/19/2018	MIZUHO FHLB DISCOUNT	99,993,972.00		6-	-99,993,972.00
39092	COMM	88580DN26	MMMPP DISC NOTE 12/19/2018	12/19/2018	CITIGROUP GLOBAL 3M COMPANY	49,953,527.78		A	49,953,527.78
39091	COMM	63873KML8	NATXNY DISC NOTE 12/19/2018	E 12/19/2018	MERRILL LYNCH Natixis NY Branch	99,994,000.00		6	-99,994,000.00
39088	COMM	SYS39088	USTN 2.3% MAT	12/19/2018	BARCLAYS CAPITAL U.S. TREASURY	200,000,000,00		-201	-200,000,000.00
39083	COMM	313385171	FHDN DISC NOTE	12/19/2018	UBS FINANCE FHLB DISCOUNT	200,000,000,00	00.00	200	200,000,000.00
39084	COMM	313385171	FHDN DISC NOTE	12/19/2018	MIZUHO FHLB DISCOUNT	100,000,000,000	00'00	101	100,000,000,001
39085	COMM	63873KMK0	NATXNY DISC NOTE 12/19/2018	E 12/19/2018	MERRILL LYNCH Nalixis NY Branch	100,000,000.00	00.00	101	100,000,000,001
39086	COMM	SVS39086	USTN 2.3% MAT	12/19/2018	BARCLAYS CAPITAL U.S. TREASURY	200,000,000.00	00.00	20	200,000,000.00
39086	COMM	SYS39086	USTN 2.3% MAT	12/19/2018	BARCLAYS CAPITAL U.S. TREASURY		12,7	12,777.78	12,777,78
		Totals for 12/19/2018	118			649,929,444.22 600,000,000.00		12,777.78 49	49,916,666.44
39094	COMM	313385197	FHDN DISC NOTE	12/20/2018	UBS FINANCE FHLB DISCOUNT	199,986,944,44		-19	-199,986,944.44
39095	COMM	313385197	FHDN DISC NOTE	12/20/2018	MIZUHO FHLB DISCOUNT	99,993,472,00		6	-99,993,472.00
39096	COMM	313385797	FHDN DISC NOTE	12/20/2018	MERRILL LYNCH FHLB DISCOUNT	49,996,736.11		4	49,996,736.11
39093	COMM	3137FBUV6	FHLMCM 2.52688%	12/20/2018		20,057,691.12		-2	-20,057,691.12
39097	COMM	63873KMM6	NATXNY DISC NOTE 12/20/2018	E 12/20/2018	MERRILL LYNCH Natixis NY Branch	49,996,722,22		4	49,996,722.22
39098	COMM	SYS39098	<b>USTN 2.38% MAT</b>	12/20/2018	BARCLAYS CAPITAL U.S. TREASURY	200,000,000.00		-20	-200,000,000.00
39088	COMM	SYS39088	USTN 2.3% MAT	12/20/2018	PITAL	200,000,000,000	00'00	20	200,000,000.00
39089	COMM	313385789	FHDN DISC NOTE	12/20/2018	ANCE	200,000,000,000	00.00	20	200,000,000,002
39090	COMM	313385789	FHDN DISC NOTE	12/20/2018		100,000,000,001	00.00	10	100,000,000,001
39091	COMM	63873KML8	NATXNY DISC NOTE 12/20/2018	E 12/20/2018	_	100,000,000,001			100,000,000,001
37893	COMM	3134GBRZ7	FEDERAL HOME LN 12/20/2018	V 12/20/2018	INCAPITAL LLC FHLMC NOTES		112,500,00	00'00	112,500.00
39088	COMM	SYS39088	USTN 2.3% MAT		BARCLAYS CAPITAL U.S. TREASURY		12.7	12.777.78	12,777.78
37036	COMM	05582XAD4	BMWLT 1.43% MAT	12/20/2018			3,3	3.313.74	3,313.74
20000									

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## SANTA CLARA COUNTY INVESTMENTS Transaction Activity Report Sorted by Transaction Date - Transaction Date

	MIZUHO FHLB DISCOUNT	
ANK US TREASURY	DEUTSCHE RANK USTRE	
PITALUS TRE	AL	RCLAYS CAPITAL U.S. TRE
FHLB DISCOUNT	UBS FINANCE FHLB DIS	
CH Natixis NY Branch	MERRILL LYNCH Natikis NY	RRILL LYNCH Natixis NY
IPITAL U.S. TRE	ITAL	RCLAYS CAPITAL U.S. TRE.
C FFCB NOTES	INCAPITAL LLC. FFCB NO	
IPITAL U.S. TRE	BARCLAYS CAPITAL U.S. TREASURY	RCLAYS CAPITAL U.S. TRE
RICA HONDA	BANK OF AMERICA HONDA AUTO	NK OF AMERICA HONDA
RICA HONDA AUTO	BANK OF AMERICA HONDA	NK OF AMERICA HONDA
C FFCB NOTES	INCAPITAL LLC FFCB N	
AL LLCFFCB.N	BREAN CAPITAL LLCFFCB NOTES	EAN CAPITAL LLCFFCB N
C FFCB NOTES	INCAPITAL LLC FFCB N	
PITAL FHLB NOTES	KEYBANC CAPITAL FHLB	YBANC CAPITAL FHLB
INTALUS. I	BARCLAYS CAPITAL U.S. IREASURY	RCLAYS CAPITAL U.S. 1
FHLB DISCOUNT		
FHLB DISCOUNT	UBS FINANCE FHLB	
VPITAL U.S. T	BARCLAYS CAPITAL U.S. TREASURY	RCLAYS CAPITAL U.S. T
C FHLMC NOTES	INCAPITAL LLC FHLMG	
C FHLMC NOTES		
C FHLMC NOTES	INCAPITAL LLC FHLMC	
LOBAL WALM	CITIGROUP GLOBAL WALMART	IGROUP GLOBAL WALM
APITAL U.S TR	BARCLAYS CAPITAL U.S TREASURY	RCLAYS CAPITAL U.S TR
C FHLMC Multi-Family	INCAPITAL LLC FHLM	
	UBS FINANCE FHLB	
FHI B DISCOUNT	The state of the state of	

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## SANTA CLARA COUNTY INVESTMENTS Transaction Activity Report Sorted by Transaction Date - Transaction Date

39118         COMM         3134694B0         FEDERAL HOME IN 1276/2018         BREAN CAPITAL LICFHLM           39119         COMM         3134694B0         FEDERAL HOME IN 1276/2018         BRACLAYS CAPITAL LICFHLM           39119         COMM         313385U61         FHDN DISC NOTE         1226/2018         BARCLAYS CAPITAL LICFHLM           39110         COMM         313385U61         FHDN DISC NOTE         1226/2018         BARCLAYS CAPITAL LICFHLM           39111         COMM         313385U61         FHDN DISC NOTE         1226/2018         BARCLAYS CAPITAL FEG           3911         COMM         313361LM         FEDERAL FARM CR         1226/2018         RFERANC CAPITAL LICFHLM           3981         COMM         3134CDG         BARWOTT 116% MAT         1226/2018         BARCLAYS CAPITAL LICFHLM           3883         COMM         3134CDG         BARWOTT 116% MAT         1226/2018         BARCLAYS CAPITAL LICFHLM           3883         COMM         3134CDG         FHLMCM2 2682%         1226/2018         BARCLAYS CAPITAL LICFHLM           3883         COMM         3134ACE         FHLMCM2 2682%         1226/2018         BARCLAYS CAPITAL LICFHLM           3883         COMM         3134ACE         FHLMCM2 2682%         1226/2018         BARCLAYS CAPITAL LICFHLM <th></th> <th></th> <th></th> <th></th>				
COMM         SYS39115         USTN 2.43% MAT         1226/2018         BARCLAYS CAPITAL           COMM         3133896161         FHDN DISC NOTE         1226/2018         MIZUMO           COMM         313381617         FEDERAL FARM CR         1226/2018         BARCLAYS CAPITAL           COMM         313381517         USTN 2.4% MAT         1226/2018         BARCLAYS CAPITAL           COMM         313381517         USTN 2.4% MAT         1226/2018         BARCLAYS CAPITAL           COMM         3133747CE9         FHLMCM 2.682%         1226/2018         BARCLAYS CAPITAL           COMM         31374VCE9         FHLMCM 2.682%         1226/2018         BRREAN CAPITALLIC           COMM         31374VCE9         FHLMCM 2.682%         1226/2018         BREAN CAPITALLIC           COMM         31374VCE9         FHLMCM 2.682%         1226/2018         BREAN CAPITALLIC           COMM         31374VCE9         FHLMCM 2.682%         1226/2018         BREAN CAPITALLIC           COMM         3138LAYB         FHLMCM 2.682%         1226/2018         BREAN CAPITALLIC           COMM         3138LAYB         FHLMCM 2.682%         1226/2018         BREAN CAPITALLIC           COMM         3133BAYB         FHLMCM 2.58% MAT 1226/2018         BREAN CAPITALLIC<	BREAN CAPITAL LLCFHLMC, NOTES	1,985,250,00		-1,985,250,00
COMM         313385U61         FHON DISC NOTE         1226/2018         MIZUHO           COMM         313385U61         HON DISC NOTE         1226/2018         UBS FINANCE           COMM         313385U61         HON DISC NOTE         1226/2018         UBS FINANCE           COMM         313385U61         HON DISC NOTE         1226/2018         BARCLAYS CAPITAL           COMM         313385U61         FEDERAL FARM CR 1226/2018         KEYBANC CAPITAL           COMM         313387CE9         FEDERAL FARM CR 1226/2018         KEYBANC CAPITAL           COMM         3137AYCE9         FHUMCM 2.682%         1226/2018         JPMorganChase           COMM         3138AYCE9         FHUMCM 2.682%         1226/2018         JPMorganChase           COMM         3138LAYMS         FHUMCM 2.682%         1226/2018         JPMorganChase           COMM         3138LAYMS         FHUMCM 2.682%         MAT 1226/2018         BREAN CAPITAL LIC           COMM <td>BARCLAYS CAPITAL U.S. TREASURY</td> <td>200,000,000,00</td> <td></td> <td>-200,000,000.00</td>	BARCLAYS CAPITAL U.S. TREASURY	200,000,000,00		-200,000,000.00
COMM         313385U61         FHDN DISC NOTE         1226/2018         UBS FINANCE           COMM         313385U61         LGTNZ 45,8 MAT         1226/2018         BARCLAYS CAPITAL           COMM         3133EJSU4         FEDERAL FARM CR         1226/2018         BARCLAYS CAPITAL           COMM         3133EJSU4         FEDERAL FARM CR         1226/2018         BARCLAYS CAPITAL           COMM         3133EJSU4         FEDERAL FARM CR         1226/2018         BARCLAYS CAPITAL           COMM         3137AYCE9         FHLMCM 2.682%         1226/2018         BARCLAYS CAPITAL LIC           COMM         3137AYCE9         FHLMCM 2.682%         1226/2018         BREAN CAPITAL LIC           COMM         3137AYCE9         FHLMCM 2.682%         1226/2018         BREAN CAPITAL LIC           COMM         3137AYCE9         FHLMCM 2.682%         1226/2018         BREAN CAPITAL LIC           COMM         3137AYCE9         FHLMCM 2.58% MAT         1226/2018         BREAN CAPITAL LIC           COMM         3133BAYWB         FHLMCM 2.58% MAT         1226/2018         BREAN CAPITAL LIC           COMM         3138BAYWB         FHLMCM 2.58% MAT         1226/2018         BOK FINANCIAL           COMM         3136B1XPA         FINACMA 3.28% MAT         1	MIZUHO FHLB DISCOUNT	00.000,000,001		100,000,000,001
COMM         SYS39111         USTN 2-4% MAT         12/26/2018         BARCLAYS CAPITAL           COMM         3133E-SIJA         FEDFRAL FARM CR         12/26/2018         KEYBANG CAPITAL           COMM         5582QAD         FEDFRAL FARM CR         12/26/2018         KEYBANG CAPITAL           COMM         5582QAD         BAWWOT 1.16% MAT         12/26/2018         BARCLAYS CAPITAL           COMM         3137AYCE9         FHAMCM 2.682%         12/26/2018         BREAN CAPITALLIC           COMM         3131AWCH1         FHAMCM 2.682%         12/26/2018         BREAN CAPITALLIC           COMM         3131AWCH1         FHAMCM 2.682%         12/26/2018         BREAN CAPITALLIC           COMM         3134BAYAB         FNAMM 3.56% MAT         12/26/20	UBS FINANCE FHLB DISCOUNT	200,000,000,000		200,000,000.00
COMM         3132EJSU4         FEDERAL FARM OR 12/26/2018         KEYBANG CAPITAL ICOMM           COMM         3132EJSU4         FEDERAL FARM OR 12/26/2018         KEYBANG CAPITAL ICOMM           COMM         3137EJSU4         LEDERAL FARM OR 12/26/2018         KEYBANG CAPITAL ICOMM           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         BARCAL CAPITAL LICOMM           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LICOMM           COMM         3137AWCH9         FHLMCM 2.835%         MAT 12/26/2018         BREAN CAPITAL LICOMM           COMM         3138LAYMS         FHLMCM 2.835%         MAT 12/26/2018         BREAN CAPITAL LICOMM           COMM         3136B1XPA         FHLMCM 2.85%         MAT 12/26/2018         BREAN CAPITAL LICOMM           COMM         3136B1XPA         FHLMCM 2.85%         MAT 12/26/2018         BREAN CAPITAL LICOMM           COMM         3136B1XPA         FHLMCM 2.862%	BARCLAYS CAPITAL U.S. TREASURY	200,000,000.00		200,000,000.00
COMM         3133EJSU4         FEDERAL FARM CR 12/26/2018         KEYBANC CAPITAL LOCOMM           COMM         S55820AD9         BMWOTT 16% MAT 12/26/2018         BARCAYS CAPITAL LOCOMM           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         JPMogganChase           COMM         3137AWCH1         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LIC           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LIC     <	KEYBANG CAPITAL FFGB NOTES		126,500.00	126,500.00
COMM         SYS39111         USTN 2.4% MAT         12/26/2018         BARCLAYS CAPITAL           COMM         055820AD9         BMWOT 1.16% MAT         12/26/2018         JPMOrganChase           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         JPMOrganChase           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITALLC           COMM         3137AWCH9         FHLMCM 2.682%         12/26/2018         BREAN CAPITALLC           COMM         3137AWCH9         FHLMCM 2.682%         12/26/2018         BREAN CAPITALLC           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITALLC           COMM         3137AYCE9         FHLMCM 3.26%         MAT 12/26/2018         BREAN CAPITALLC           COMM         3137AYCE9         FHLMCM 3.682%         12/26/2018	KEYBANC CAPITAL FFCB NOTES	2,108,33	-2,108,33	00'0
COMM         055820AD9         BMWOT 1.16% MAT 12/26/2018         JPMorganchase         6           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITALLIC           COMM         3137AWCH         FHLMCM 2.682%         12/26/2018         BREAN CAPITALLIC           COMM         3137AWCH         FHLMCM 2.682%         12/26/2018         BREAN CAPITALLIC           COMM         3137AWCH         FHLMCM 2.55% MAT 12/26/2018         BREAN CAPITALLIC           COMM         3137BAYB         FHLMCM 3.55% MAT 12/26/2018         BREAN CAPITALLIC           COMM         3137BAYB         FNMAM 3.56% MAT 12/26/2018         BREAN CAPITALLIC           COMM         3137BAYB         FNMAM 3.56% MAT 12/26/2018         BREAN CAPITALLIC           COMM         3137AYCE9         FHLMCM 3.562%         12/26/2018         BREAN CAPITALLIC	BARCLAYS CAPITAL U.S. TREASURY		26,666.67	26,666.67
COMM         3137AYCE9         FHLMCM 2.682%         1226/2018         BREAN CAPITAL LLC           COMM         3137AWCH1         FHLMCM 2.682%         1226/2018         BREAN CAPITAL LLC           COMM         3137AWCH1         FHLMCM 2.682%         1226/2018         BREAN CAPITAL LLC           COMM         3137BLAYM5         FHLMCM 2.682%         1226/2018         BREAN CAPITAL LLC           COMM         3137BLAYM5         FHLMCM 2.582%         MAT 1226/2018         BOK FINANCIAL           COMM         3136BLXP4         FNMAM 3.56%         MAT 1226/2018         JIMOGGBACHASC BEA           COMM         3136BLXP4         FNMAM 3.56%         MAT 1226/2018         JIMOGGBACHASC BEA           COMM         3137BLXCE9         FHLMCM 2.682%         1226	JPMorganChase BMW VEHICLE		4.904.90	4,904.90
COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         JPMorganChase           COMM         3137AWCH9         FHLMCM 2.682%         12/26/2018         JPMorganChase           COMM         3137AWCH9         FHLMCM 2.837%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AWCH9         FHLMCM 2.837%         12/26/2018         BREAN CAPITAL LLC           COMM         3137BAWDH1         FHLMCM 2.837%         12/26/2018         BREAN CAPITAL LLC           COMM         3137BSAD2         FHLMCM 2.58%         MAT 12/26/2018         BREAN CAPITAL LLC           COMM         3137BSAD2         FHLMCM 3.28%         MAT 12/26/2018         BRCK INANCIAL           COMM         3137BYACE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AYCE9         FHLMCM 2.682%         12/	JPMorganChase BMW VEHICLE	541,185,22		541,185.22
COMM         31374VCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         31374VCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         09659OAD9         BAWWOT 2.38% MAT 12/26/2018         JPMorganChase           COMM         3137AWCH         FHLMCM 2.36% MAT 12/26/2018         JPMorganChase           COMM         3138LAYMS         FHLMCM 2.36% MAT 12/26/2018         BREAN CAPITAL LLC           COMM         3138LAYMS         FHLMCM 2.36% MAT 12/26/2018         BREAN CAPITAL LLC           COMM         3138LAYMS         FHLMCM 2.35% MAT 12/26/2018         BREAN CAPITAL LLC           COMM         3138LAYMS         FHLMCM 2.55% MAT 12/26/2018         BREAN CAPITAL LLC           COMM         3136B1XPA         FHLMCM 2.55% MAT 12/26/2018         BREAL CAPS CAPITAL           COMM         3136B1XPA         FNMAMM 3.56% MAT 12/26/2018         UINING SPARKS IBG           COMM         3136B1XPA         FNMAMM 3.56% MAT 12/26/2018         JPMorganChase           COMM         3137B4VEB         FHLMCM 2.682%         12/26/2018         JPMorganChase           COMM         3137B4WEB         FHLMCM 3.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137B4WEB         FHLMCM 3.6	BREAN CAPITAL LLCFHLMC Multi-Family		44,700.00	44,700.00
COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         JPMorganchase           COMM         96590AD9         BAWWOT 2.35% MAT 12/26/2018         JPMorganchase         JPMORGANCHA           COMM         3137AWCH         FHLMCM 2.307%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AWCH         FHLMCM 2.307%         12/26/2018         BREAN CAPITAL LLC           COMM         3133BAYMS         FHLMCM 2.307%         12/26/2018         BREAN CAPITAL LLC           COMM         3133BAYMS         FHLMCM 2.365% MAT 12/26/2018         BOK FINANCIAL         BOK FINANCIAL           COMM         3137B36JZ         FHLMCM 3.32% MAT 12/26/2018         BOK FINANCIAL         BARCLAYS CAPITAL LLC           COMM         3136B1XPA         FNMAM 3.56% MAT 12/26/2018         JPMorganchase         JPMorganchase           COMM         3137AYCE9         FHLMCM 3.682%         12/26/2018         JPMorganchase           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         JPMorganchase           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         JPMorganchase           COMM         3137AYCE9	BREAN CAPITAL LLCFHLMC Multi-Family	499,902.72		499,902.72
COMM         3137AVCE9         FHLMCM 2.882%         12/26/2018         BRRANCTLLC           COMM         09659CAD9         BAWWOT 2.35% MAT 12/26/2018         JPMorganChase         JPMorganChase           COMM         3137AWCH1         FHLMCM 2.35% MAT 12/26/2018         BREAN CAPITALLLC           COMM         3137AWCH1         FHLMCM 2.55% MAT 12/26/2018         BOK FINANCIAL           COMM         3138LAYM5         FHLMCM 2.55% MAT 12/26/2018         BOK FINANCIAL           COMM         3137BAYM5         FHLMCM 3.32% MAT 12/26/2018         BOK FINANCIAL           COMM         3137BAYM5         FHLMCM 3.32% MAT 12/26/2018         BOK FINANCIAL           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         BOK FINANCIAL           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         JPMorganChase           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITALLIC           COMM         3137BAVBB         FHLMCM 2.682% <td< td=""><td>BREAN CAPITAL LLCFHLMC Multi-Family</td><td></td><td>16,762,50</td><td>16,762.50</td></td<>	BREAN CAPITAL LLCFHLMC Multi-Family		16,762,50	16,762.50
COMM         09659QAD9         BMWOT 2.35% MAT 12/26/2018         JPMorganChase           COMM         3137AWOH1         FHLMCM 2.35% MAT 12/26/2018         BREAN CAPITAL LLC           COMM         3137AWOH1         FHLMCM 2.35% MAT 12/26/2018         BREAN CAPITAL LLC           COMM         313BLAYMS         FHLMCM 2.55% MAT 12/26/2018         BREAN CAPITAL LLC           COMM         3137B36JZ         FHLMCM 2.55% MAT 12/26/2018         BARCLAYS CAPITAL           COMM         3137B3LAYMS         FHLMCM 2.55% MAT 12/26/2018         BARCLAYS CAPITAL           COMM         3137B3LAYMS         FHLMCM 3.32% MAT 12/26/2018         BARCLAYS CAPITAL           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         JPMorganChase           COMM         3137BSJM6         FHLMCM 2.682%	BREAN CAPITAL LLCFHLMC Multi-Family	187,369.35		187,369.35
COMM         3137AWQH1         FHLMCM 2.35% MAT 12/26/2018         JPMorganChase           COMM         3137AWQH1         FHLMCM 2.35% MAT 12/26/2018         BREAN CAPITAL LLC           COMM         3138LAYM5         FHLMCM 2.55% MAT 12/26/2018         BREAN CAPITAL LLC           COMM         3138LAYM5         FHLMCM 2.55% MAT 12/26/2018         BOK FINANCIAL           COMM         3138LAYM5         FHLMCM 2.55% MAT 12/26/2018         BOK FINANCIAL           COMM         3137B36J2         FHLMCM 3.32% MAT 12/26/2018         BOK FINANCIAL           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         BARCLAYS CAPITAL           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         JPMorganChase           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137B4WB         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137B4WB         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137B4WB         FHLMCM 2.6	JPMorganChase BMW VEHICLE		62,666.66	62,666.66
COMM         3137AWQH1         FHLMCM 2.307%         12/26/2018         BREAN CAPITAL LLC           COMM         3138LAYM5         FHLMCM 2.55% MAT 12/26/2018         BOK FINANCIAL           COMM         3138LAYM5         FHLMCM 2.55% MAT 12/26/2018         BOK FINANCIAL           COMM         3138LAYM5         FHLMCM 2.55% MAT 12/26/2018         BOK FINANCIAL           COMM         3138B1XP4         FHLMCM 3.32% MAT 12/26/2018         BARCLAYS CAPITAL           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           COMM         3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         JPMorganChase           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137AVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137B4WB         FHLMCM 3.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137B4WB         FHLMCM 3.682%         12/26/2018         BREAN CAPITAL LLC           COMM         3137B5JM	JPMorganChase BMW VEHICLE	808,206.20		808,206.20
3137AWQH1         FHLMCM 2.307%         12/26/2018         BREAN CAPITAL LLC           3138LAYM5         FHLMCM 2.55% MAT 12/26/2018         BOK FINANCIAL           313BLAYM5         FHLMCM 2.55% MAT 12/26/2018         BOK FINANCIAL           313BS36J2         FHLMCM 3.32% MAT 12/26/2018         BOK FINANCIAL           313BS1XP4         FNMAM 3.56% MAT 12/26/2018         BARCLAYS CAPITAL           3136B1XP4         FNMAM 3.56% MAT 12/26/2018         VINING SPARKS IBG           3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           313AB1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           313AB1XP4         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           313AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           313AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           313ABJMC         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           313ABJMAC         FHLMCM 3.663%         12/26/2018         BREAN CAPITAL LLC           3137BJMG         FHLMCM 3.662%         12/26/2018         BOK FINANCIAL           31381N7G2         FNMAM 3.27% MAT 12/26/2018         BOK FINANCIAL           3137B3NA2         FHLMC REMIC         12/26/2018	BREAN CAPITAL LLCFINLMC Multi-Family		10,727.55	10,727.55
3138LAYM5 FHLMCM 2.55% MAT 12/26/2018 BOK FINANCIAL 3138LAYM5 FHLMCM 3.55% MAT 12/26/2018 BOK FINANCIAL 3137B36J2 FHLMCM 3.32% MAT 12/26/2018 BARCLAYS CAPITAL 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 UNING SPARKS IBG 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 UNING SPARKS IBG 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 UNING SPARKS IBG 3137AYCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137AYCE9 FHLMCM 3.56% MAT 12/26/2018 BREAN CAPITAL LLC 3137B3/M6 FHLMCM 3.56% MAT 12/26/2018 BREAN CAPITAL LLC 3137B3/M6 FHLMCM 3.53% 12/26/2018 BREAN CAPITAL LLC 3137B3/M6 FHLMCM 3.53% MAT 12/26/2018 BREAN CAPITAL LLC 3137B3/M2 FHLMCM 3.53% MAT 12/26/2018 BOK FINANCIAL 3337B3/M3 2.7% MAT 12/26/2018 BOK FINANCIAL LC 3137B3/M2 FHLMC REMIC 12/26/2018 BOK FINANCIAL C 3137B3/M2 FHLMC REMIC 12/26/2018 INCAPITAL LLC 3137B3/M2 FHLMC M2 32/M2 M2 12/26/2018 INCAPITAL LLC 3137B3/M2 FHLMC M2 32/M2 M2 12/26/2018 INCAPITAL LLC 3137B	BREAN CAPITAL LLCFHLMC Mulli-Family	141,149.26		141,149.26
3137B36J2 FHLMCM 2.55% MAT 12/26/2018 BOK FINANCIAL 3137B36J2 FHLMCM 3.32% MAT 12/26/2018 BARCLAYS CAPITALI 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 VINING SPARKS IBG 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 VINING SPARKS IBG 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 JPMorganChase 3137B1XP4 FNMAM 3.56% MAT 12/26/2018 JPMorganChase 3137AYCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137AYCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137B4VB8 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137B4VB8 FHLMCM 3.682% 12/26/2018 BREAN CAPITAL LLC 3137B4VB8 FHLMCM 3.682% 12/26/2018 BREAN CAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 BREAN CAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 BOK FINANCIAL 3.337B3NA2 FHLMC 3.531% 12/26/2018 BOK FINANCIAL 3.337B3NA2 FHLMC REMIC 12/26/2018 NCAPITAL LLC 3137B3NA2 FHLMC SEMIC 12/26/2018 NCAPITAL LLC 3137B3NA2 FHLMC REMIC	BOK FINANCIAL FINMA Multi-Family		7,541.20	7,541.20
3137B36J2         FHLMCM 3.32% MAT 12/26/2018         BARCLAYS CAPITAL           3137B36J2         FHLMCM 3.32% MAT 12/26/2018         BARCLAYS CAPITAL           3136B1XP4         FNMAM 3.56% MAT 12/26/2018         VINING SPARKS IBG           3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           3136B1XP4         FNMAM 3.56% MAT 12/26/2018         JPMorganChase           3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           3137BAVCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           3137BAVCE9         FHLMCM 3.663%         MAT 12/26/2018         BREAN CAPITAL LLC           3137BAVBB         FHLMCM 3.662%         12/26/2018         BOK FINAL LLC           3137BAWB         FHLMCM 3.531%         12/26/2018         BOK FINAL LLC           31381N7G2         FNMAM 3.27% MAT 12/26/2018         BOK FINAL LLC           3137B3NA2         F	BOK FINANCIAL FINMA Multi-Family	5,863,88		5,863.88
3137B36J2 FHLMCM 3.32% MAT 12/26/2018 BARCLAYS CAPITAL 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 VINING SPARKS IBG1 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 VINING SPARKS IBG1 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 JPMorganGhase 3137AYCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137B4WB8 FHLMCM 3.682% 12/26/2018 BREAN CAPITAL LLC 3137B5JM6 FHLMCM 3.68% MAT 12/26/2018 BREAN CAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 BOK FINANCIAL S1337B3NAC2 FHLMCM 3.531% 12/26/2018 BOK FINANCIAL LLC 3137B3NAC2 FHLMC REMIC 12/26/2018 BOK FINANCIAL 3137B3NAC2 FHLMC REMIC 12/26/2018 NICAPITAL LLC 3137B3NAC2 FHLMC REMIC 12/26/2018 INCAPITAL LLC 3137B3NAC2	BARCLAYS CAPITAL FHLMC Multi-Family		55,333.34	55,333.34
3136B1XP4         FNMAMM 3.56% MAT         12/26/2018         VINING SPARKS IBGI           3136B1XP4         FNMAMM 3.56% MAT         12/26/2018         VINING SPARKS IBGI           3136B1XP4         FNMAMM 3.56% MAT         12/26/2018         JPMorganChase           3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           3137AYCE9         FHLMCM 3.663%         MAT 12/26/2018         BREAN CAPITAL LLC           3137BAWB         FHLMCM 3.531%         12/26/2018         BREAN CAPITAL LLC           3137BJMG         FHLMCM 3.531%         12/26/2018         BOK FINANCIAL           31381N7G2         FNMAM 3.27% MAT 12/26/2018         BOK FINANCIAL           3137B3NA2         FHLMC REMIC         12/26/2018         BOK FINANCIAL           3137B3NA2         FHLMC REMIC         12/26/2018         INCAPITAL LLC           3137B3NA2         FHLMC REMIC         12/26/2018         INCAP	BARCLAYS CAPITAL FHLMC Multi-Family	467,088.79		467,088.79
3136B1XP4 FNMAM 3.56% MAT 12/26/2018 VINING SPARKS IBG1 3136B1XP4 FNMAM 3.56% MAT 12/26/2018 JPMoganChase B FNMAM 3.56% MAT 12/26/2018 JPMoganChase B FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137AYCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137AYCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137AYCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137B4WB8 FHLMCM 3.682% MAT 12/26/2018 BREAN CAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 BREAN CAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 BOK FINANCIAL AND 3.531% 12/26/2018 BOK FINANCIAL 3137B3NA2 FHLMC REMIC 12/26/2018 BOK FINANCIAL 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL	VINING SPARKS IBG FNMA Multi-Family		11,517.44	11,517.44
3136B1XP4         FNMAM 3.56% MAT         12/26/2018         JPMorganChase           3136B1XP4         FNMAM 3.56% MAT         12/26/2018         JPMorganChase           3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           3137B4WB         FHLMCM 3.682%         12/26/2018         BREAN CAPITAL LLC           3137B4WB         FHLMCM 3.687         MAT 12/26/2018         BREAN CAPITAL LLC           3137B3WB         FHLMCM 3.531%         12/26/2018         BOK FINANCIAL           31337B3WB         FHLMCM 3.531%         12/26/2018         BOK FINANCIAL           3137B3NA2         FHLMC REMIC         12/26/2018         BOK FINANCIAL           3137B3NA2         FHLMC REMIC         12/26/2018         INCAPITAL LLC           3137B3NA2         FHLMC REMIC         12/26/2018         INCAPITAL LLC           3137B3NA2         FHLMC REMIC         12/26/2018         INCAPITAL LLC           3137B3NA2         FHLMC REMIC         12/26/2018         INCAPITAL LLC </td <td>VINING SPARKS IBG FNMA Multi-Family</td> <td>82,576,22</td> <td></td> <td>82,576.22</td>	VINING SPARKS IBG FNMA Multi-Family	82,576,22		82,576.22
3136B1XP4         FNMAMM 3.56% MAT         12/26/2018         JPMorganChase           3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           3137B4WB8         FHLMCM 3.682%         12/26/2018         BREAN CAPITAL LLC           3137B4WB8         FHLMCM 3.637%         12/26/2018         BREAN CAPITAL LLC           3137B5JM6         FHLMCM 3.531%         12/26/2018         BOK FINANCIAL           31381N7G2         FNMAM 3.27% MAT         12/26/2018         BOK FINANCIAL           3137B3NA2         FHLMC REMIC         12/26/2018         BOK FINANCIAL           3137B3NA2         FHLMC REMIC         12/26/2018         INCAPITAL LLC	JPMorganChase FNMA Multi-Family		11,517,44	11,517,44
3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           3137AYCE9         FHLMCM 2.682%         12/26/2018         BREAN CAPITAL LLC           3137BAWB8         FHLMCM 3.682%         12/26/2018         BREAN CAPITAL LLC           3137BAWB8         FHLMCM 3.682%         12/26/2018         BREAN CAPITAL LLC           3137BJM6         FHLMCM 3.531%         12/26/2018         BREAN CAPITAL LLC           3137BJM6         FHLMCM 3.531%         12/26/2018         BOK FINANCIAL           31381N7G2         FNMAM 3.27% MAT 12/26/2018         BOK FINANCIAL           3137B3NA2         FHLMC REMIC         12/26/2018         BOK FINANCIAL           3137B3NA2         FHLMC REMIC         12/26/2018         INCAPITAL LLC	JPMorganChase FNMA Multi-Family	82,576.22		82,576.22
3137AVCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137AVCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137AVCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137BAWB8 FHLMCM 3.682% MAT 12/26/2018 BREAN CAPITAL LLC 3137BJM6 FHLMCM 3.631% 12/26/2018 BREAN CAPITAL LLC 3137BJM6 FHLMCM 3.531% 12/26/2018 BREAN CAPITAL LLC 3137BJM6 FHLMCM 3.531% 12/26/2018 BOK FINANCIAL 31381N7G2 FHLMC MAT 12/26/2018 BOK FINANCIAL 3137BJMA2 FHLMC REMIC 12/26/2018 BOK FINANCIAL 3137BJMA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	BREAN CAPITAL LLCFHLMC Mulli-Family		26,250.08	26,250.08
3137AVCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137AVCE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 3137B4WB8 FHLMCM 3.06% MAT 12/26/2018 BREAN CAPITAL LLC 3137B4WB8 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 3137B3NA2 FHLMC REMIC 12/26/2018 BOK FINANCIAL 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	BREAN CAPITAL LLCFHLMC Multi-Family	288,095.42		288,095.42
313784CE9 FHLMCM 2.682% 12/26/2018 BREAN CAPITAL LLC 313784WB8 FHLMCM 3.06% MAT 12/26/2018 BREAN CAPITAL LLC 313784WB8 FHLMCM 3.631% 12/26/2018 BREAN CAPITAL LLC 313785JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 313785JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 313783NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	BREAN CAPITAL LLCFHLMC Multi-Family		44,700,00	44,700.00
3137B4WB8 FHLMCM 3.06% MAT 12/26/2018 BREAN CAPITAL LLC 3137B4WB8 FHLMCM 3.06% MAT 12/26/2018 BREAN CAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 31381N7G2 FNMAM 3.27% MAT 12/26/2018 BOK FINANCIAL 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	BREAN CAPITAL LLCFHLMC Multi-Family	490,758.33		490,758.33
3137B4WB8 FHLMCM 3.06% MAT 12/26/2018 BREAN CAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 31381N7G2 FNMAM 3.27% MAT 12/26/2018 BOK FINANCIAL 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	BREAN CAPITAL LLCFHLMC Multi-Family		12,176.25	12,176.25
3137B5JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 3137B5JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 31381N7G2 FNMAM 3.27% MAT 12/26/2018 BOK FINANCIAL 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	BREAN CAPITAL LLCFHLMC Multi-Family	101,951,30		101,951.30
313785JM6 FHLMCM 3.531% 12/26/2018 INCAPITAL LLC 31381N7G2 FNMAM 3.27% MAT 12/26/2018 BOK FINANCIAL 31381N7G2 FNMAM 3.27% MAT 12/26/2018 BOK FINANCIAL 313783NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	INCAPITAL LLC FHLMC Multi-Family		29,425.00	29,425.00
31381N7G2 FNMAM 3.27% MAT 12/26/2018 BOK FINANCIAL 31381N7G2 FNMAM 3.27% MAT 12/26/2018 BOK FINANCIAL 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	INCAPITAL LLC FHLMC Multi-Family	214,876.43		214,876.43
3137B3NA2 FNMAM 3.27% MAT 12/26/2018 BOK FINANCIAL 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	BOK FINANCIAL FINMA Multi-Family		16,410.24	16,410.24
3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	BOK FINANCIAL FINMA Multi-Family	2,553,485,53		2,553,485,53
3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	INCAPITAL LLC FHLMC Multi-Family		28,723.99	28,723.99
COMM 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC COMM 3137B3NA2 FHLMC REMIC 12/26/2018 INCAPITAL LLC	INCAPITAL LLC FHLMC Multi-Family	236,262.96		236,262.96
COMM 3137B3NA2 FHLMCREMIC 12/26/2018 INCAPITAL LLC	INCAPITAL LLC FHLMC Multi-Family		1957.47	-957.47
	INCAPITAL LLC FHLMC Multi-Family			0.00

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COMM	M 3137FBUV6	FHLMCM 2.52688%	12/26/2018	BOK FINANCIAL	FHLMC Multi-Family			42,114.66	42,114.66
COMM	M 3137FBUV6	FHLMCM 2.52688%	12/26/2018	<b>BOK FINANCIAL</b>	FHLMC Multi-Family		1,021,213,24		1.021.213.24
COMM	M 3137FBUV6	FHLMCM 2.52688%	12/26/2018	BOK FINANCIAL	FHLMC Multi-Family			-35,095,56	-35,095,56
COMM	M 3137FBUV6	FHLMCM 2.52688%	12/26/2018	BOK FINANCIAL	FHLMC Multi-Family				0.00
	Totals for 12/26/2018	118				501,965,666.66 507	507,724,669.40	540,476.56	6,299,479.30
COMM	M 313385U87	FHDN DISC NOTE	12/27/2018	UBS FINANCE	FHLB DISCOUNT	199,986,944,44			-199.986,944.44
COMM	M 313385U87	FHDN DISC NOTE	12/27/2018	MIZUHO	FHLB DISCOUNT	99,993,472,22			-99.993,472,22
COMM	M 3134GSL60	FHLMC 3.% MAT	12/27/2018	INCAPITAL LLC	FHLMC NOTES	25,000,000.00			-25,000,000.00
COMM	M 3134GSJ48	FEDERAL HOME LN	12/27/2018	INCAPITAL LLC	FHLMC NOTES	30,000,000.00			-30,000,000,00
COMM	M SYS39119	USTN 2.43% MAT	12/27/2018	BARCLAYS CAPITA	BARCLAYS CAPITAL U.S. TREASURY	200,000,000.00		,	-200,000,000.00
COMM	W SYS39115	USTN 2.43% MAT	12/27/2018	BARCLAYS CAPITA	BARCLAYS CAPITAL U.S. TREASURY	200	200,000,000,002		200,000,000.00
COMM	M 313385U79	FHDN DISC NOTE	12/27/2018	UBS FINANCE	FHLB DISCOUNT	200	200,000,000,00		200,000,000.00
COMM	M 313385U79	FHON DISC NOTE	12/27/2018	MIZUHO	FHLB DISCOUNT	001	100,000,000,001		100,000,000.00
COMM	M 3133EJHS1	FEDERAL FARM CR.	12/27/2018	INCAPITAL LLC	FFCB NOTES			275,625.00	275,625.00
COMM	W SYS39115	USTN 2.43% MAT	12/27/2018	BARCLAYS CAPITA	BARCLAYS CAPITAL U.S. TREASURY			13,499.98	13,499.98
	Totals for 12/27/2018	918.				554,980,416.66 500	500,000,000.00	289,124.98	-54,691,291.68
COMM	M 313385V37	FHDN DISC NOTE	12/28/2018	UBS FINANCE	FHLB DISCOUNT	174,967,333.34			-174,967,333,34
COMM	M 313384CL1	FHDN DISC NOTE	12/28/2018	UBS FINANCE	FHLB DISCOUNT	24,897,097,22			-24,897,097,22
COMM	M 63873KMX2	NATXNY DISC NOTE 12/28/2018	12/28/2018	MERRILL LYNCH	Natixis NY Branch	49,990,166.67			-49,990,166.67
COMM	M 21687BMX6	RABO DISC NOTE	12/28/2018	MERRILL LYNCH	RABOBANK	24,995,104,17			-24,995,104.17
COMM	M SYS39124	USTN 2.4% MAT	12/28/2018	BARCLAYS CAPITA	BARCLAYS CAPITAL U.S. TREASURY	200,000,000,002			-200,000,000.00
COMM	M 3134G9ZR1	FEDERAL HOME LN	12/28/2018	INCAPITAL LLC:	FHLMC NOTES	01	10,625,000.00		10,625,000.00
COMM	W SYS39119	USTN 2.43% MAT	12/28/2018	BARCLAYS CAPITA	BARCLAYS CAPITAL U.S. TREASURY	200	200,000,000.00		200,000,000,00
COMM	M 313385U87	FHDN DISC NOTE	12/28/2018	UBS FINANCE	FHLB DISCOUNT	200	200,000,000,00		200,000,000.00
COMM	M 313385U87	FHDN DISC NOTE	12/28/2018	MIZUHO	FHLB DISCOUNT	100	00.000,000,001		100,000,000.001
COMM	M 3136G3TD7	FEDERAL NATL MTG12/28/2018	512/28/2018	BREAN CAPITAL LLCFNMA NOTES	LCFNMA NOTES			77,880.00	77,880.00
COMM	M 3136G3TB1	FEDERAL NATL MTG12/28/2018	512/28/2018	INCAPITAL LLC	FNMA NOTES			66,275.00	66,275.00
COMM	M 3134G9ZR1	FEDERAL HOME LN 12/28/2018	12/28/2018	INCAPITAL LLC	FHLMC NOTES			53,725.00	53,125.00
COMM		FEDERAL NATL MTG12/28/2018	312/28/2018	INCAPITAL LLC	FNMA NOTES			143,750.00	143,750.00
COMM	M 3130AADN5	FEDERAL HOME	12/28/2018	INCAPITAL LLC	FHLB NOTES			275,000.00	275,000.00
COMM	M 3134GSAC9	FEDERAL HOME LN	12/28/2018	INCAPITAL LLC	FHLMC NOTES			102,500,00	102,500.00
COMM		FHLMC 3.% MAT	12/28/2018	INCAPITAL LLC	FHLMC NOTES			330,000.00	330,000,00
COMM	W SYS39119	<b>USTN 2.43% MAT</b>	12/28/2018	BARCLAYS CAPITA	BARCLAYS CAPITAL U.S. TREASURY			13,499.99	13,499.99
	Totals for 12/28/2018	918				474,849,701.40 510,625,000.00	,625,000.00	1,062,029.99	36,837,328.59
COMM		FHDN DISC NOTE	12/31/2018	UBS FINANCE	FHLB DISCOUNT	174,979,097,23			-174,979,097.23
COMM		USTN 2.4% MAT	12/31/2018	BARCLAYS CAPITA	BARCLAYS CAPITAL U.S. TREASURY	200	200,000,000.00		200,000,000,002
COMM		RABO DISC NOTE	12/31/2018	MERRILL LYNCH	RABOBANK	25	25,000,000.00		25,000,000.00
COMM	M 313385V37	FHDN DISC NOTE	12/31/2018	UBS FINANCE	FHLB DISCOUNT	175	175,000,000.00		175,000,000.00
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### Transaction Activity Report Sorted by Transaction Date SANTA CLARA COUNTY INVESTMENTS

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ivestment #	Fund	CUSIP	Inv Descrip	TransactionDate	Dealer	Issuer	New	Pavdowns	Interest	Total
	COMM	3133EG2P9	FEDERAL FARM CR 12/31/20	CR 12/31/2018	INCAPITAL LLC	FFCB NOTES			116,000.00	116,000.00
	COMM	3133EG2P9	FEDERAL FARM CR 12/31/20	CR 12/31/2018	Daiwa Capital Mark	cet FFCB NOTES			174,000.00	174,000.00
	COMM	SYS39124	USTN 2.4% MAT	12/31/2018	BARCLAYS CAPIT	'ALU,S. TREASURY			39,999,99	39,999.99
		Totals for 12/31/2018	018				174,979,097.23	450,000,000.00	329,999.99	275,350,902.76

23,641,112,376. 21,496,512,491. 21,444,731.74 -2,123,155,153.

**Grand Total** 

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

12.10

### Office of Superintendent of Schools

To the Bo	ard of Trustees:		
Subject:	Approve/Ratify Notices of	Employment and	Changes of Status/March 14, 2019/
	Human Resources Departn	nent	
Staff Anal	ysis:		
	This item includes recomme in employee status.	endations such as i	new hires, leaves of absence, or other changes
Recomme	endation:		
	The Board is requested to status for existing employee	The state of the s	ng of any new employee, and any change of
Submitte	d by: Jess Serna	Title:	Interim Assistant Superintendent, Human Resources
To the	Board of Trustees:  Recommend Approval	Meeting:	March 14, 2019 Regular Board Meeting
1	LZ.(O	-	Hilaria Bauer, Ph.D., Superintendent
	DISPO	SITION BY BOARD	OF TRUSTEES
Motion	ı by:	Secor	nded by:
Approv	ved: Not	Approved:	Tabled:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-HUMAN RESOURCES DEPARTMENT MEETING OF THE BOARD OF TRUSTEES March 14, 2019

### **CERTIFICATED OFFERS OF EMPLOYMENT:**

1.	New	Hire	Substitute	Teacher
	IACAA	11111	SUDSTITUTE	Leacher.

1.	Acosta, Carlos	Substitute Teacher	03/01/2019
2.	Cook, Steve	Substitute Residential Teacher	03/01/2019-04/30/2019
3.	Cox, Elizabeth	Substitute Teacher	01/07/2019
4.	Faines, Anna	Substitute Residential Teacher	03/01/2019-04/30/2019
5.	Faines, James	Substitute Residential Teacher	03/01/2019-04/30/2019
6.	Hammar, Jennifer	Substitute Teacher	03/01/2019
7.	Hawkins, Zane	Substitute Residential Teacher	03/01/2019-04/30/2019
8.	Lopez, Lois	Substitute Residential Teacher	03/04/2019-006/11/2019
9.	Loui, Florence	Substitute Residential Teacher	03/01/2019-04/30/2019
10.	Manundo, Marlon	Substitute Residential Teacher	03/01/2019-04/30/2019
11.	Morquecho, Leticia	Substitute Residential Teacher	03/01/2019-04/30/2019
12.	Peterson, Sarah	Substitute Residential Teacher	03/01/2019-04/30/2019
13.	Rojas, Raul	Substitute Residential Teacher	03/01/2019-04/30/2019
14.	Sharma, Shikha	Substitute Teacher	02/25/2019
15.	Solis, Salvador	Substitute Residential Teacher	03/01/2019-04/30/2019
16.	Varshney, Krati	Substitute Teacher	03/04/2019
17.	Vasquez, Mary	Substitute Residential Teacher	03/01/2019-04/30/2019

### **CERTIFICATED CHANGES OF STATUS:**

14. Galvan, Bertha

### II. Change of Status Effective 7/1/2019:

	1. Sanz, Nuria Bravo	Principal/Adelante I Academy	Teacher/site TBD					
m.	Retirement/SERP:							
	1. Ahn, Kyung	SDC/Painter Elementary	06/11/2019					
	2. Alarcon, Rebecca	SDC/San Antonio Elementary	06/11/2019					
	3. Alli, Paula	Principal/Lyndale Elementary	06/30/2019					
	4. Aquino, Rosa	2/Lyndale Elementary	06/30/2019					
	5. Auclaire, Rolene	SS/Sheppard Middle School	06/11/2019					
	6. Bailey, Sharrie	2/APTITUD Community at Goss	06/11/2019					
	7. Bettencourt, Mary Anne	Instructional Coach/Meyer Elementary	06/11/2019					
	8. Chung, Gladys	2/Linda Vista Elementary	06/11/2019					
	9. Cox, Roberta	5/McCollam Elementary	06/11/2019					
	10. De La Selva, Raul	Core/Mathson Middle School	06/11/2019					
	11. Dias, Andrew	Core/George Middle School	06/11/2019					
	12. Frost, Margee	2/Meyer Elementary	06/11/2019					
	13. Gallagher, Jean	Chief Officer/Special Education Dept.	06/30/2019					

K/Russo/McEntee Academy

06/11/2019

	Garcia, Rosamaria	K Bilingual/ADELANTE Academy I	06/11/2019
16.	Graham, Patricia	TK/Linda Vista Elementary	06/11/2019
17.	Holland, Laura	1/Dorsa Elementary	06/11/2019
18.	Margarito, Francisco	Core/Mathson Middle School	06/11/2019
19.	Mc Callum, Donna	Behavior Specialist/Special Ed. Dept.	06/11/2019
20.	Miller, Joyce	1/Hubbard Media Arts Academy	06/11/2019
21. 1	Mirigian, David	Music/V.A.P.A. Program	06/11/2019
22. 1	Neff, Rosemary	4/APTITUD Community at Goss	06/11/2019
23. 1	Niemeyer, Christine	TK/Arbuckle Elementary	06/11/2019
24. 1	Patton, Joanne	K/Lyndale Elementary	06/11/2019
25. 1	Renteria, Helen	3/Cassell Elementary	06/11/2019
26. 1	Riehl, Janet	RSP/Ocala Middle School	06/11/2019
27. 1	Riley, Meredith	K/Linda Vista Elementary	02/08/2019
28. 1	Riley, Thomas	Adapted P.E./Special Ed. Dept.	06/11/2019
29. 1	Rodriguez, Eliza	K/Cassell Elementary	06/30/2019
30. 9	Santiago, Maria	SDC/APTITUD Community at Goss	06/11/2019
31. 9	Savoy-Kelley, Christi	Christi 1/Linda Vista Elementary	06/11/2019
32. 9	Shelton, Diane	3/Cureton Elementary	06/11/2019
33. 9	smith, Randall	Core/Fischer Middle School	06/11/2019
34. 5	Spacek, Timothy	Music/V.A.P.A. Program	06/11/2019
35. 9	Stensrud, Kathleen	4/Russo/McEntee Academy	06/11/2019
36.	an, Amelia	2/Ryan Elementary	06/11/2019
36.	an, Amelia	2/Ryan Elementary	06/11/201

### CLASSIFIED PERSONNEL - March 14, 2019

### CLASSIFIED OFFERS OF EMPLOYMENT:

I. New Hire:		
1. Wilson, Jeremiah Paul	Library & Learning Center Assistant/	
	Dorsa & Hubbard	02/13/19
II. Change of Status:		A Tour or since
1. Azevedo, Nelia Lima	Child Nutrition Assistant II/Aptitud	02/28/19
III. Working Out of Classification:		
1. Cereceres, Corina	Senior Accounting Assistant/Purchasing	02/12/19-02/15/19
IV. 39 Mo. Re-Employment:		
<ol> <li>Duran, Rachel Elizabeth</li> </ol>	Paraeducator Special Education II &	
	Campus Paraeducator/Linda Vista	03/02/19-06/02/22
V. Contracted Employee:		
<ol> <li>Friga, Ayla</li> </ol>	Behavior Assistant/ Special Ed	02/15/19-06/30/19
2. McChristian, Jason	Festival Judge-Clinician/ VAPA	01/07/19-04/30/19
VI. Retirement:		
<ol> <li>Amutan, Aurora</li> </ol>	Accountant/Child Nutrition Services	06/30/19
2. Gaeta, Helen	School Office Assistant/Cassell & Meyer	06/30/19
3. Hobson, Renee	Child Nutrition Assistant II/Cureton	06/30/19
<ol><li>Market, Rosemary</li></ol>	Paraeducator Sped I/Campus Para	06/30/19
5. Wero, Jeannette	Health Assistant/Arbuckle & Cureton	06/30/19
VII. Retirement/SERP:		
<ol> <li>Sanchez, Marie</li> </ol>	Senior Executive Assistant/Superintendent	06/28/19

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



### Office of Superintendent of Schools

To the Board of Trus	stees:	Date: February 26, 2019
Subject:	Resolution No. 30-18/19 California Public Schools	Month - April 2019
Staff Analysis:	and schools to set aside	Public Schools Month is to encourage communities time during the month of April to honor our puble mmunity's continued support for public education.
Recommendation:	Staff recommends appro- Schools Month, as submi-	val of Resolution No. 30-18/19, California Public tted.
v a vet - de - Est d	0	
Submitted by: Rene	Sanchez Titl	e: Assistant Superintendent, Instructional Service
To the Board of Trus  Recommend Approx	itees:	e: Assistant Superintendent, Instructional Service  Meeting: March 14, 2019  Regular Board Meeting
To the Board of Trus	rtees:	Meeting: March 14, 2019
To the Board of Trus Recommend Approv	rtees:	Meeting: March 14, 2019 Regular Board Meeting
To the Board of Trus Recommend Approx して、(し Agenda Placement	otees:  val  DISPOSITION BY	Meeting: March 14, 2019 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent

### Resolution No. 30-18/19 PROCLAIMING APRIL 2019, "CALIFORNIA PUBLIC SCHOOLS MONTH"

WHEREAS, the issues and challenges confronting California's public schools are often complex, the decisions difficult, the expectations and demands many, and the rewards, at times too few;

WHEREAS, the California State Board of Education has the utmost respect and admiration for all those involved in the California public schools: teachers, administrators, educational support personnel, local governing board members, students, and their families;

WHEREAS, the State Board of Education's mission is "to create strong, effective schools that provide a wholesome learning environment through incentives that cause a high standard of student accomplishment, as measured by a valid, reliable accountability system," which is, in turn, a natural extension of the State Board's vision:

"All California students of the 21st century will attain a level of academic knowledge, applied learning, and performance skills to ensure fulfilling personal lives and careers and contribute to civic and economic progress in our diverse and changing democratic society;" and

WHEREAS, research has determined that one of the main attributes of effective schools is the competent leadership of principals; and

WHEREAS, the Free and Accepted Masons of California have sponsored and promoted *Public Schools Month* since 1920 to encourage communities and schools to set aside one month as a special time for the discussion of public schools and to enlist the support of the general public to the cause of public education;

NOW, THEREFORE, BE IT RESOLVED, that the Alum Rock Union Elementary School District joins with the California State Board of Education and proclaims April, 2019, California Public Schools Month, extending its sincere appreciation to all who make our public schools successful and improving, and urging local and all educational agencies to schedule fitting and appropriate events during this month.

PASSED AND ADOPTED this 14<sup>th</sup> day of March, 2019, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

Ayes	Noes	Absent	Abstain
Board of Trustees:			
Linda Chavez, President			
Ernesto Bejarano, Vice-Pre	sident		
Dolores Marquéz - Frausto	, Clerk		
Andrés Quintero, Member			
Corina Herrera-Loera, Mer	mber		
	ard of Trustees of th	ne Alum Rock Union Elem	introduced, passed, and adopted nentary School District at a public

Date

Hilaria Bauer, Ph.D., Superintendent

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

12.12

### Office of Superintendent of Schools

	tees:	Date: February 26, 2019
Subject:	Resolution No. 31-18/19 Public School Volunteer Wo	ek
Staff Analysis:	men and women who tire classrooms, offices, and penriched the lives of stude place in which to study a Volunteer Week. A focus thank you and recognize A the true spirit of giving. volunteers have to "inspir	entary School District is privileged to have a group of ssly volunteer in our schools to provide support in the aygrounds. Hundreds of Alum Rock volunteers have its and staff and have made Alum Rock schools a better id teach. April 22 — April 26, 2019, is <i>Public School</i> in volunteers during this week is a modest way to say am Rock volunteers for demonstrating, on a daily basis, <i>Public School Volunteer Week</i> reflects the power that by example" since volunteers both encourage those to serve, and are positive role models for our children
Recommendation:	Staff recommends approva	of Resolution No. 31-18/19, Public School Volunteer
Recommendation.	Week, as submitted.	
Submitted by: Rene	Week, as submitted.	: Assistant Superintendent, Instructional Services
	Week, as submitted.	Meeting: March 14, 2019
Submitted by: <u>Rene</u>	Week, as submitted.  e Sanchez  Title tees:	Meeting: March 14, 2019 Regular Board Meeting
Submitted by: Rene To the Board of Trus	Week, as submitted.  E Sanchez  Title  tees:  Tal  Title   Meeting: March 14, 2019	
Submitted by: Rene To the Board of Trus  Recommend Approv	Week, as submitted.  Sanchez  Title  tees:	Meeting: March 14, 2019 Regular Board Meeting
Submitted by: Rene To the Board of Trus Recommend Approv ( Agenda Placement	Week, as submitted.  E Sanchez  Title  tees:  Tal  DISPOSITION BY	Meeting: March 14, 2019 Regular Board Meeting  Hilaria Bauek, Rh.D., Superintendent

### Resolution No. 31-18/19 PROCLAIMING APRIL 22 - 26, 2019, "CELEBRATE PUBLIC SCHOOL VOLUNTEER WEEK"

WHEREAS, across the nation, millions of volunteers work in their communities utilizing their time and their talents daily to make a real difference in the lives of others; and

WHEREAS, April 22 - 26, 2019, is *Public School Volunteer Week* and a most appropriate time to recognize these volunteers for demonstrating, on a daily basis, the true spirit of giving; and

WHEREAS, this special week offers opportunities to thank some of Alum Rock's most valuable assets – our volunteers- and to recognize the myriad of ways in which they improve our communities; and

WHEREAS, Public School Volunteer Week reflects the power that volunteers have to 'inspire by example' since volunteers both encourage those they help and motivate others to serve, and are positive role models for our children and our community; and

WHEREAS, the Alum Rock Union Elementary School District is fortunate to have volunteers who give thousands of hours each year to provide support in the classroom, offices, and playgrounds of our schools; and

WHEREAS, the Alum Rock Union Elementary School District is privileged to have this group of men and women who tirelessly give of themselves to provide much needed support to our schools, and hundreds of Alum Rock volunteers have enriched the lives of students and staff and have made Alum Rock schools a better place in which to study; and

WHEREAS, the Alum Rock Union Elementary School District Trustees urge our employees and community members to honor all volunteers in our community.

**NOW, THEREFORE, BE IT RESOLVED**, that the Alum Rock Union Elementary School District Trustees do hereby announce and proclaim to all its recognition and sincere appreciation of Alum Rock volunteers and the invaluable services they provide through their concern, commitment, and generosity of spirit.

PASSED AND ADOPTED this 14<sup>th</sup> day of March, 2019, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

Ayes	Noes	Absent	Abstain
Board of Trustees:			
Linda Chavez, President			
Ernesto Bejarano, Vice-	President		
Dolores Marquéz - Frau	sto, Clerk		
Andrés Quintero, Mem	ber		
Corina Herrera-Loera, N	Member		
by the members of the		e Alum Rock Union Elem	introduced, passed, and adopted entary School District at a public

Date

Hilaria Bauer, Ph.D., Superintendent

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

12.13

### Office of Superintendent of Schools

To the Board of Trus	tees:	Date	February 26, 2019
Subject:	UPDATED Reclassification C	Criteria for students clas	ssified as English Learners
Staff Analysis:	In January 2019, based on scores, the SBE approved the statewide standard with the 2018–19 Summat policy change requires that student has met the ELI compliance with State pocriteria to be used with the	ne use of ELPAC Overall ized ELP criterion for tive ELPAC administrati t LEAs use Overall PL 4 P assessment criterior licy, we have updated	Performance Level (PL) 4 reclassification beginning on for grades K-12. This to determine whether an In order to continue ARUSD's reclassification
Recommendation:	Staff recommends Accept Learners Reclassification Cri		of the English Language
Submitted by: <u>Iván</u> Approved by: <u>Rene</u>	0 /	Coordinator, Academi Assistant Superintende	c Services nt, Instructional Services
To the Board of Trus  Recommend Approx  1 7 . (  Agenda Placement	al	Regular Board  Bauer, Ph.D., Superint	Meeting
	DISPOSITION BY BO	OARD OF TRUSTEES	
Motion by:	Se	econded by:	
Approved:	Not Approved: _	Т:	abled:



### UPDATED 2018/2019 Reclassification Criteria

### Reclassification Defined

When an English learner demonstrates that they have reached a level of proficiency in English that is comparable to that of an average native English speaker and can participate equally with native speakers in the school's regular instructional program, they will be reclassified as Fluent English Proficient (FEP). The district's criteria and process for making this determination is explained below.

		Distriction in	NAMES OF STREET
1.	English Language Proficiency	English Language Proficiency Assessments of California (ELPAC) or Ventura County Comprehensive	Overall: Level 4 Oral and Written Language: Level 3 or Level 4 A minimum of Level 2 in all domains: Listening, Speaking, Reading and Writing Overall: Well Developed
		Alternate Language Proficiency Survey (VCCALPS)	A minimum of Moderately or Well Developed in all domains: Listening, Speaking, Reading and Writing
		Smarter Balanced Assessment Consortium (SBAC)for ELA grades 3-8 or	Level 3 or Level 4
2.		California Alternate Assessment (CAA) for ELA grades 3 – 8 or	Level 2 or Level 3
		i-Ready Benchmark Assessment grades K - 8 or	Student achieved a level of Approaching Standard (beginning of year view)
	Demonstration of "Basic Skills" (one criteria met of the following options)	Level Screener grades K - 5 or	Kindergarten – Level D Grade 1 – Level H Grade 2 – Level L Grade 3 – Level O Grade 4 – Level Q Grade 5 – Level T
		Reader Measures for Special Day Class and Resources Specialist Program students	Grade 4 – 445 Lexile and above Grade 5 – 545 Lexile and above Grade 6 – 645 Lexile and above Grade 7 – 745 Lexile and above Grade 8 – 845 Lexile and above
		Reading Inventory (RI) grade 6	800 Lexile and above
		Reading Inventory (RI) grade 7	850 Lexile and above
		Reading Inventory (RI) grade 8	900 Lexile and above
3.	Teacher Evaluation	Teacher's Recommendation	After having reviewed the data and received a appropriate explanation of the reclassification criteriand process, the teacher may agree or disagree to have the student reclassified.
4.	Parent Consultation	Description and results of consultation with parent	After having reviewed the data and received as appropriate explanation of the reclassification criteria and process, the parent may agree or disagree to have the child reclassified.

<sup>\*</sup> Students with disabilities, including severe cognitive disabilities, are to be provided the same opportunities to be reclassified as students without disabilities. Local IEP teams, therefore, may determine appropriate measures of English Language Proficiency and performance in basic skills and minimum levels of proficiency on these measures that would be equivalent to an English proficient peer with similar disabilities, in accordance with ARUSD's reclassification policies based on the state definition of English Language Proficiency.

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

12.14

### Office of Superintendent of Schools

To the Board o	f Trustees:		
Subject: Ou	t of State Travel		
CoSN Conferer Portland, OR April 1-4, 2019	nce: Envision 2030		
Summary:			
class of 2030, will be the ro	with the skills they need	for success in a w play in envisionin	we provide the today's kindergarteners, the orld of rapid technological change. The focus ig, shaping and leading the school system \$2770.
Recommendat	ion:		
Staff recomme	nds approval of out-of-s	tate travel for Avta	r Gill.
Submitted by:	Jess Serna	Title:	Interim Assistant Superintendent, Human Resources
	d of Trustees:	Meeting:	March 14, 2019 Regular Board Meeting
	1Z 14		Hilaria Bauer, Ph.D., Superintendent
	- AA	SITION BY BOARD	
Motion by:	<u> </u>		ded by:
	Not		



### TRAVEL APPROVAL FORM Attach Supporting Document

Program Manager / Principal: Dept. / School Site: 1 7 5 5						
Title of Conference / Meeting:	COSN	confe	ren	ce - Envision	20	30
Place: Portland,						
Purpose:						
Date (s) of Conference / Meetings	s: _ A-	pril /		+ April 4, 201	7	
Principal / Program Manager App				S	Date	2/27/19
Departure Date / Time: March 31 2019 Hour: AM PM D						
Return Date / Time:	019	Hour:	AM D PM 🗹			
Human Resources:						
Names(s) of Employees Attending	Sub?		gual	Sub Request?: (Name)		Code Sub To:
	Yes 1		No	Cas Hodgesti (Hanne)		1
Arter Gill	-	X	-			
		-				
Substitute:	Unavail	able 📮	No S	Sub Needed		
Substitute:						
Remarks:				Sub Needed  Asst. Superintendent of I	Human Re	esources Date
Remarks:Human Resources Dept. Approval				Asst. Superintendent of I		
Remarks:	I: □ Yes	s	o ed Cos	Asst. Superintendent of H		esources Date
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation	l: □ˌYes	s 🗆 N	o ed Cos	Asst. Superintendent of h		
Remarks:	I: □ Yes	s	o ed Cos	Asst. Superintendent of H		
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation	I: □ Yes	s 🗆 No	o ed Cos	Asst. Superintendent of I		
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration	I: □ Yes	s D N al Estimate 4 8 6	o ed Cos	Asst. Superintendent of I		
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration  Lodging	I: □ Yes	al Estimate 480 94	o ed Cos	Asst. Superintendent of I		
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration  Lodging  Meals	I: □ Yes	al Estimate 4 8 6 9 4 8 5 3 0	o ed Cos	Asst. Superintendent of I		
Human Resources Dept. Approval  D. Business Services: Cost Description Transportation Registration Lodging Meals Shuttle / Parking	I: □ Yes	al Estimate 4 8 6 9 4 8 5 3 0 2 6	o ed Cos	Asst. Superintendent of I		
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration  Lodging  Meals  Shuttle / Parking  Other  Total	I: ☐ Yes	al Estimate	o ed Cos	Asst. Superintendent of H		
Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration  Lodging  Meals  Shuttle / Parking  Other  Total  Attach Purchase Order(s), Invoice	Tot \$	al Estimate  4 8 6  9 4  8 5  3 0  2 7  Registratio	o ed Cos	Asst. Superintendent of H		
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration  Lodging  Meals  Shuttle / Parking  Other  Total  Attach Purchase Order(s), Invoice	Tot \$	al Estimate  4 8 6  9 4  8 5  3 0  2 7  Registratio	o ed Cos	Asst. Superintendent of H		
Remarks:  Human Resources Dept. Approval  O. Business Services:  Cost Description  Transportation  Registration  Lodging  Meals  Shuttle / Parking  Other  Total  Attach Purchase Order(s), Invoice	Tot \$	al Estimate  4 8 6  9 4  8 5  3 0  2 7  Registratio	o ed Cos	Asst. Superintendent of H		

1. This form must be completed and submitted at least 60 days prior to the proposed trip.

 Cash advance request, submit the completed form 60 days prior to cash advance due date.
 The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



Date: February 26, 2019

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Subject:	School-sponsored I	ield Trip List	
Staff Analysis:	Field trips supple	ment and enrich	mponent of the educational program. classroom-learning experiences and o relate their new learning to the
Recommendation:	Staff recommends a sponsored field trip		county and overnight school-
Submitted by: <u>Sand</u> Approved by: <u>Rene</u>	0		f State and Federal Programs  uperintendent, Instructional Services
To the Board of Trus	stees:		arch 14, 2019 gular Board Meeting
Recommend Approx	val S	1/1/	AVINO
Agenda Placement		Hilaria Bauer, Ph.	D., Superintendent
	DISPOSITIO	ON BY BOARD OF TR	USTEES
Motion by:			<u>USTEES</u>



## School-Sponsored Field Trip Information Sheet

Overnight Field Trips are in BOLD

Field Trips for Board Approval: March 14, 2019

School	Field Trip Event	City, and County	Date	Grade	# Of Classes / Students	Budget (Coding)	Purpose: (Academic standard the trip supports)	
Aptitud	Mission San Juan Bautista	San Juan Bautista/ San Benito	03/25/2019	4	64 Students	ASB/Title I	Students will learn about the history of California, and the California mission system on native Americans.	2
Aptitud	Nature Bridges Science Camp	Sausalito/ Marin	06/03/2019-	5	45 Students	Title I	Students will explore sea life in their natural habitat and learn about tide pools, their zones, and how they survive.	
Arbuckle	Walden West Saratoga Camp	Cupertino/ Santa Clara	04/15/2019-	40	40 Students	Donations/ Title I	Students will learn about the environment for camp, explore the forest, and participate in hands-on science activities.	
Arbuckle	Seymour Marine Discovery Center	Santa Cruz/ Santa Cruz	04/10/2019	TK-2	70 Students	PTO/ Donations	Students will learn about ocean animals and their habitats.	
L.U.C.H.A.	Mission San Juan Bautista	San Juan Bautista/ San Benito	03/18/2019	4	44 Students	PLG	Students will learn about the history of California, and the California mission system on native Americans.	
Painter	California Academy of Science	San Francisco/ San Franciso	05/29/2019	ю	49 Students	ASB	Students will learn about life science, marine animals, plants, rainforest, and planets, and participate in hands-on activities.	
Renaissance II/ Mathson	Sandia National Laboratories	Livermore/ Alameda	04/04/2019	6-8 <sup>th</sup>	24 Students	ASES/ Citizen Schools	Students will tour laboratories, explore STEM careers path, and interact with the employees.	
San Antonio	Monterey Bay Aquarium	Monterey/ Monterey	04/12/2019	n	67 Students	Title I	Students will learn about ocean animals and their habitats.	