

A procession of flagellants at Tournai in Flanders in 1349, marching with the crucified Christ and scourging themselves in imitation of his suffering. © ARPL/HIP/The Image Works



# 9

## The Late Middle Ages: Social and Political Breakdown (1300–1453)

### ▼ The Black Death

Preconditions and Causes of the Plague • Popular Remedies • Social and Economic Consequences  
• New Conflicts and Opportunities

### ▼ The Hundred Years' War and the Rise of National Sentiment

The Causes of the War • Progress of the War

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### ▼ Medieval Russia

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### ▼ In Perspective

**T**HE LATE MIDDLE Ages saw almost unprecedented political, social, and ecclesiastical calamity. Bubonic plague, known to contemporaries as the Black Death, swept over almost all of Europe between 1348 and 1350 leaving as much as two fifths of the population dead and transforming many pious Christians into believers in the omnipotence of death. France and England grappled with each other in a bitter conflict known as the Hundred Years' War (1337–1453), an exercise in seemingly willful self-destruction that was made even more terrible in its later stages by the introduction of gunpowder and the invention of heavy artillery. A schism emerged within the church, which lasted thirty-nine years (1378–1417) and

### KEY TOPICS

- The effects of the bubonic plague on population and society
- The Hundred Years' War between England and France
- The growing power of secular rulers over the papacy
- Schism, heresy, and reform of the church

led, by 1409, to the election of three competing popes and colleges of cardinals. In 1453, the Turks marched seemingly invincibly through Constantinople and toward the West. As their political and religious institutions buckled, disease, bandits, and wolves ravaged their cities, and Islamic armies gathered at their borders, Europeans beheld what seemed to be the imminent total collapse of Western civilization.

It was against this background that such scholars as Marsilius of Padua, William of Ockham, and Lorenzo Valla produced lasting criticisms of medieval assumptions about the nature of God, humankind, and society. Kings worked through parliaments and clergy through councils to limit the pope's temporal power. The notion, derived from Roman law, that a secular ruler is accountable to the body he or she governs had already found expression in documents like the Magna Carta. It came increasingly to carry the force of accepted principle, and conciliarists, who advocated the judicial superiority of church councils over popes, now sought to extend it to papal accountability to the church.

Viewed in terms of their three great calamities—war, plague, and schism—the fourteenth and fifteenth centuries were years in which politics resisted wisdom, nature strained mercy, and the church was less than faithful to its flock.

## ▼ The Black Death

The virulent plague known as the Black Death struck fourteenth-century Europe when it was already suffering from overpopulation and malnutrition.

### Preconditions and Causes of the Plague

In the fourteenth century, nine tenths of the population worked the land. The three-field system of crop production increased the amount of arable land and with it the food supply. As that supply grew, however, so did the population. It is estimated that Europe's population doubled between the years 1000 and 1300 and began thereafter to outstrip food production. There were now more people than there was food to feed them or jobs to employ them, and the average European faced the probability of extreme hunger at least once during his or her expected thirty-five-year life span.

Between 1315 and 1317, crop failures produced the greatest famine of the Middle Ages. Densely populated urban areas such as the industrial towns of the Netherlands suffered greatly. Decades of overpopulation, economic depression, famine, and bad health progressively weakened Europe's population and made it highly vul-

nerable to a virulent bubonic plague that struck with full force in 1348.

The **Black Death**, so called by contemporaries because of the way it discolored the body, followed the trade routes from Asia into Europe. Rats, or more precisely, the fleas the rats bore, on ships from the Black Sea area most likely brought it to Western Europe. Appearing in Constantinople in 1346 and Sicily in late 1347, it entered Europe through the ports of Venice, Genoa, and Pisa in 1348. From there it swept rapidly through Spain and southern France and into northern Europe. Areas that lay outside the major trade routes, like Bohemia, appear to have remained virtually unaffected. Bubonic plague made numerous reappearances in succeeding decades. (See Map 9–1.)

### Popular Remedies

The plague often reached a victim's lungs during the course of the disease. From the lungs, the victim's sneezing and wheezing spread it from person to person. Physicians had little understanding of these processes, so even the most rudimentary preventive measures against the disease were lacking. Contemporaries could neither explain the plague nor defend themselves against it. To them, the Black Death was a catastrophe with no apparent explanation and against which there was no known defense. Throughout much of Western Europe, it inspired an obsession with death and dying and a deep pessimism that endured long after the plague years. (See "Encountering the Past: Dealing with Death," page 261.)

Popular wisdom held that a corruption in the atmosphere caused the disease. Some blamed poisonous fumes released by earthquakes. Many wore aromatic amulets as a remedy. According to the contemporary observations of Giovanni Boccaccio, an Italian who recorded the reactions in his *Decameron* (1353), some sought a remedy in moderation and a temperate life, others gave themselves over entirely to their passions (sexual promiscuity ran high within the stricken areas), and still others, "the most sound, perhaps, in judgment," chose flight and seclusion as the best medicine.

One extreme reaction was processions of flagellants, religious fanatics who beat themselves in ritual penance, believing such action would bring divine intervention. The terror the flagellants created—and their dirty, bleeding bodies may have spread the disease—became so socially disruptive and threatening that the church finally outlawed such processions.

In some places, Jews were cast as scapegoats. Centuries of Christian propaganda had bred hatred toward Jews, as had their role as society's moneylenders. Pogroms occurred in several cities, sometimes incited by the flagellants.

## MAP EXPLORATION

Interactive map: To explore this map further, go to [www.myhistorylab.com](http://www.myhistorylab.com)



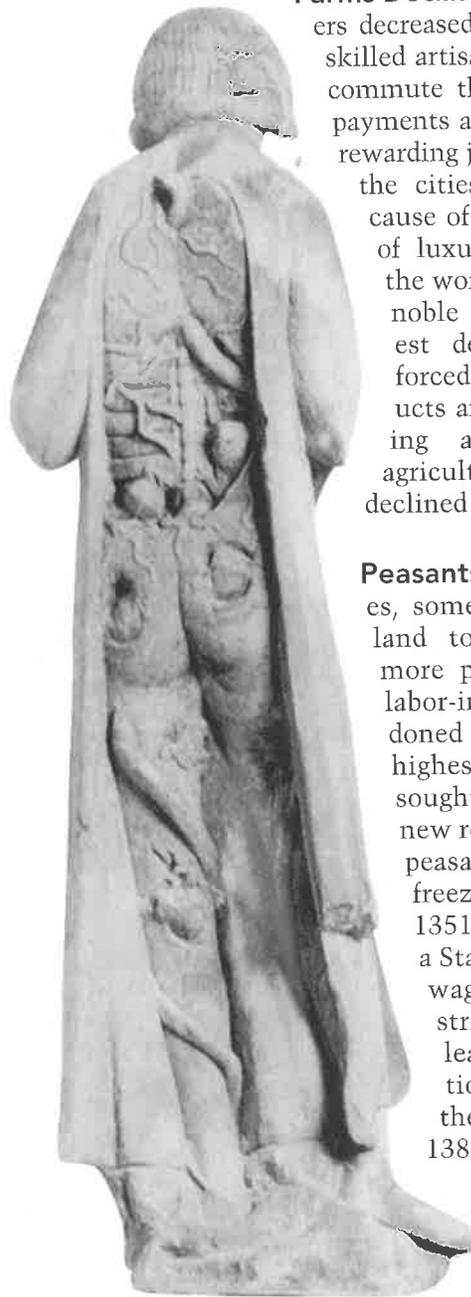
**Map 9-1 SPREAD OF THE BLACK DEATH** Apparently introduced by seaborne rats from Black Sea areas where plague-infested rodents had long been known, the Black Death brought huge human, social, and economic consequences. One of the lower estimates of Europeans dying is 25 million. The map charts the plague's spread in the mid-fourteenth century. Generally following trade routes, the plague reached Scandinavia by 1350, and some believe it then went on to Iceland and even Greenland. Areas off the main trade routes were largely spared.



*The Prince of the World*, a sandstone sculpture, vividly portrays the transitory nature of life. When viewers look behind the attractive young prince, they discover his beauty to be only skin deep. His body, like every human body, is filled with death, here symbolized by worms and flesh-eating frogs. A serpent spirals up his left leg and enters his back, an allusion to the biblical teaching that the wages of sin are death. Stadt Nürnberg

## Social and Economic Consequences

Whole villages vanished in the wake of the plague. Among the social and economic consequences of such high depopulation were a shrunken labor supply and a decline in the value of the estates of the nobility.



**Farms Decline** As the number of farm laborers decreased, wages increased and those of skilled artisans soared. Many serfs chose to commute their labor services into money payments and pursue more interesting and rewarding jobs in skilled craft industries in the cities. Agricultural prices fell because of waning demand, and the price of luxury and manufactured goods—the work of skilled artisans—rose. The noble landholders suffered the greatest decline in power. They were forced to pay more for finished products and for farm labor, while receiving a smaller return on their agricultural produce. Everywhere rents declined after the plague.

**Peasants Revolt** To recoup their losses, some landowners converted arable land to sheep pasture, substituting more profitable wool production for labor-intensive grains. Others abandoned the farms, leasing them to the highest bidder. Landowners also sought to reverse their misfortune by new repressive legislation that forced peasants to stay on their farms while freezing their wages at low levels. In 1351, the English Parliament passed a Statute of Laborers, which limited wages to pre-plague levels and restricted the ability of peasants to leave their masters' land. Opposition to such legislation sparked the English peasants' revolt in 1381. In France the direct tax on the peasantry, the *taille*, was increased, and opposition to it helped ignite the French peasant uprising known as the Jacquerie.

**Cities Rebound** Although the plague hit urban populations hard, the cities and their skilled industries came in time to prosper from its effects. Cities had always protected their own interests, passing legislation as they grew to regulate competition from rural areas and to control immigration. After the plague, the reach of such laws extended beyond the cities to include the surrounding lands of nobles and landlords, many of whom now peacefully integrated into urban life.

The omnipresence of death also whetted the appetite for goods that only skilled industries could produce. Expensive clothes and jewelry, furs from the north, and silks from the south were in great demand in

## DEALING WITH DEATH

DEATH WAS ALL too familiar in the late Middle Ages, and not just in the time of the plague, when both princes and the simple folk buried their children in the same communal pits. In popular art and literature, the living and the dead embraced in the "Dance of Death," reminding rich and poor, young and old, of their mortality. In the fourteenth century, death divided the Middle Ages from the Renaissance: On one side of the divide was an overpopulated medieval society devastated by the four horsemen of the Apocalypse, while on the other side, a newly disciplined Renaissance society learned to forestall famine, plague, war, and conquest, by abstinence, late marriage, birth control, and diplomacy.

Yet death rates in the past were three times those of the modern West and life expectancy only half as long. Life was a progressive dying, and death a promise of everlasting life. In sixteenth-century Florence, fully a third of newborns died in infancy. In seventeenth-century England, infant mortality was 2 percent on the day of birth, 4 percent at the first week, 9 percent by the first month, and 13 percent at the end of the first year. Almost everyone by their teens and adulthood suffered from some chronic illness (tuberculosis), debilitating condition (arthritis, gout), or life-threatening infection (streptococci) that pitted them in a personal battle with death.

In Renaissance Italy, Lorenzo de' Medici, duke of Urbino (d. 1519), was plagued with leg ulcers and syphilis in his early twenties. At twenty-five, he received a head wound that was treated by trephination, or by boring holes in his skull. He also developed an abscessed foot that never healed. At twenty-six, he fell prey to chills, fever, diarrhea, vomiting, joint pains, and

anorexia, and was dead at twenty-seven. His physicians identified the cause of death as a catarrhal phlegm, or tuberculosis, that caused "suffocation of the heart."

In Reformation Germany, at thirty-nine, Elector Frederick III the Wise of Saxony (d. 1525), Luther's protector, spent the last year of his life enclosed in his favorite residence. When his strength permitted, he rolled about the castle on a specially made stool with wheels. Cursed with kidney stones, he died from a septic infection and kidney failure when the stones became too many and too large to pass through his urethra. An autopsy discovered stones "almost two finger joints long and spiked."

Those who suffered from such afflictions found themselves, in the words of a sixteenth-century merchant, "between God and the physicians," a precarious position for the chronically ill in any age. The clergy and the physicians profited greatly from the age's great mortality. People feared both dying and dying out of God's grace. Together, the physician and the priest prepared the way to a good temporal death, while the priest guided the dead through purgatory and into heaven, assisted by the

laity's purchase of indulgences and commemorative masses. Like the physicians' bleedings and herbal remedies, the church's sacraments and commemorations both exploited and eased the feared passage into eternity that every Christian soul had to make.



A caricature of physicians (early sixteenth century). A physician carries a uroscopé (for collecting and examining urine); discolored urine signaled an immediate need for bleeding. The physician/surgeon wears surgical shoes and his assistant carries a flail—a comment on the risks of medical services. Hacker Art Books Inc.

Source: Bruce Gordon and Peter Marshall, eds. *The Place of the Dead: Death and Remembrance in Late Medieval and Early Modern Europe* (Cambridge: Cambridge University Press, 2008), chaps. 2, 14; Ann C. Carmichael, "The Health Status of Florentines in the Fifteenth Century," in M. Tetel et al., eds. *Life and Death in Fifteenth-Century Florence* (Durham: Duke University Press, 1989), chap. 3.

**How do illness and death shape history and culture?**

**How effective were the physicians and the clergy in the face of everyday afflictions? Why did people bother with them?**



This illustration from the *Canon of Medicine* by the Persian physician and philosopher Avicenna (980–1037), whose Arabic name was Ibn Sina, shows him visiting the homes of rich patients. In the High Middle Ages, the *Canon of Medicine* was the standard medical textbook in the Middle East and Europe. Biblioteca Universitaria, Bologna, Italy. Scala/Art Resource, NY

the decades after the plague. Initially this new demand could not be met. The basic unit of urban industry, the master and his apprentices (usually one or two), purposely kept its numbers low, jealously guarding its privileges. The first wave of plague turned this already restricted supply of skilled artisans into a shortage almost overnight. As a result, the prices of manufactured and luxury items rose to new heights, which, in turn, encouraged workers to migrate from the countryside to the city and learn the skills of artisans. Townspeople profited coming and going. As wealth poured into the cities and per capita income rose, the prices of agricultural products from the countryside, now less in demand, declined.

The church also gained and lost. It suffered as a landholder and was politically weakened, yet it also received new revenues from the vastly increased demand for religious services for the dead and the dying, along with new gifts and bequests.

### New Conflicts and Opportunities

By increasing the importance of skilled artisans, the plague contributed to new social conflicts within the cities. The economic and political power of local arti-

sans and trade guilds grew steadily in the late Middle Ages, along with the demand for their goods and services. The merchant and patrician classes found it increasingly difficult to maintain their traditional dominance and grudgingly gave guild masters a voice in city government. As the guilds won political power, they encouraged restrictive legislation to protect local industries. The restrictions, in turn, caused conflict between master artisans, who wanted to keep their numbers low and expand their industries at a snail's pace, and the many journeymen, who were eager to rise to the rank of master. To the long-existing conflict between the guilds and the ruling urban patriciate was now added one within the guilds themselves.

Also, after 1350, the results of the plague put two traditional "containers" of monarchy—the landed nobility and the church—on the defensive. Kings now exploited growing national sentiment in an effort to centralize their governments and economies. At the same time, the battles of the Hundred Years' War demonstrated the military superiority of paid professional armies over the traditional noble cavalry, thus bringing the latter's future role into question. The plague also killed many members of the clergy—perhaps one third of the German clergy fell victim as they dutifully ministered to the sick and dying. This reduction in clerical ranks occurred in the same century that saw the pope move from Rome to Avignon in southeast France (1309–1377) and the Great Schism (1378–1417) divide the Church into warring factions.

### ▼ The Hundred Years' War and the Rise of National Sentiment

Medieval governments were by no means all-powerful and secure. The rivalry of petty lords kept localities in turmoil, and dynastic rivalries could plunge entire lands into war, especially when power was being transferred to a new ruler—and woe to the ruling dynasty that failed to produce a male heir.

To field the armies and collect the revenues that made their existence possible, late medieval rulers depended on carefully negotiated alliances among a wide range of lesser powers. Like kings and queens in earlier centuries, they, too, practiced the art of feudal government, but on a grander scale and with greater sophistication. To maintain the order they required, the Norman kings of England and the Capetian kings of France fine-tuned traditional feudal relationships by stressing the duties of lesser to higher

powers and the unquestioning loyalty noble vassals owed to the king. The result was a degree of centralized royal power unseen before in these lands and a growing national consciousness that together equipped both France and England for international warfare.

## The Causes of the War

The conflict that came to be known as the Hundred Years' War began in May 1337 and lasted until October 1453. The English king Edward III (r. 1327–1377), the grandson of Philip the Fair of France (r. 1285–1314), may have started the war by asserting a claim to the French throne after the French king Charles IV (r. 1322–1328), the last of Philip the Fair's surviving sons, died without a male heir. The French barons had no intention of placing the then fifteen-year-old Edward on the French throne. They chose instead the first cousin of Charles IV, Philip VI of Valois (r. 1328–1350), the first of a new French dynasty that would rule into the sixteenth century.

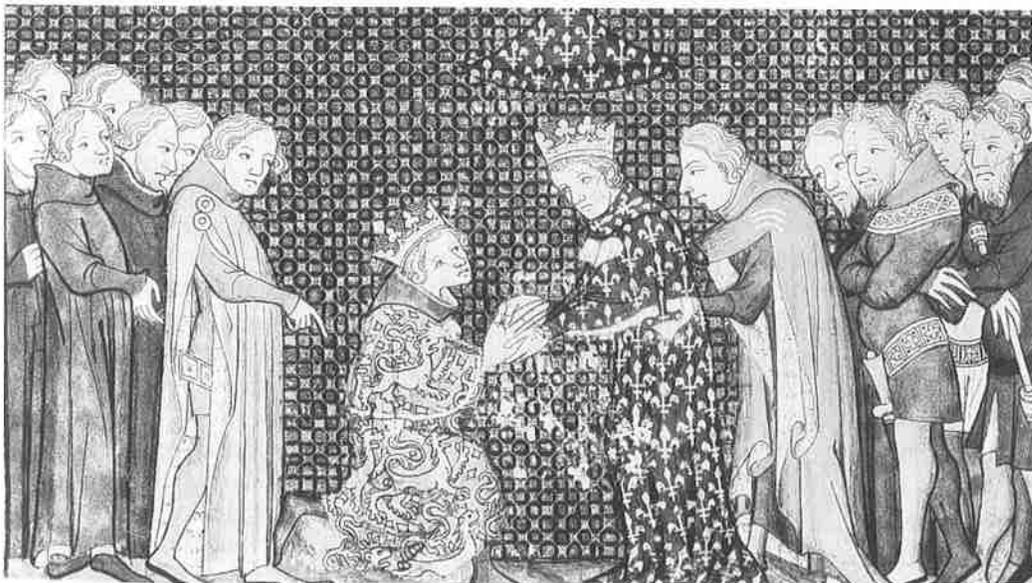
But there was, of course, more to the war than just an English king's assertion of a claim to the French throne. England and France were then emergent territorial powers in too close proximity to one another. Edward was actually a vassal of Philip VI, holding several sizable French territories as fiefs from the king of France, a relationship that went back to the days of the Norman conquest. English possession of any French land was repugnant to the French because it threatened the royal policy of centralization. England and France also quarreled over control of Flanders, which, although a French fief, was subject to political influence from England because its principal industry, the manufacture

of cloth, depended on supplies of imported English wool. Compounding these frictions was a long history of prejudice and animosity between the French and English people, who constantly confronted one another on the high seas and in ports. Taken together, these various factors made the Hundred Years' War a struggle for national identity as well as for control of territory.

**French Weakness** France had three times the population of England, was far the wealthier of the two countries, and fought on its own soil. Yet, for most of the conflict, until after 1415, the major battles ended in often stunning English victories. (See Map 9–2, page 264.) The primary reason for these French failures was internal disunity caused by endemic social conflicts. Unlike England, fourteenth-century France was still struggling to make the transition from a splintered feudal society to a centralized “modern” state.

Desperate to raise money for the war, French kings resorted to such financial policies as depreciating the currency and borrowing heavily from Italian bankers, which aggravated internal conflicts. In 1355, in a bid to secure funds, the king turned to the **Estates General**, a representative council of townspeople, clergy, and nobles. Although it levied taxes at the king's request, its independent members also exploited the king's plight to broaden their own regional sovereignty, thereby deepening territorial divisions.

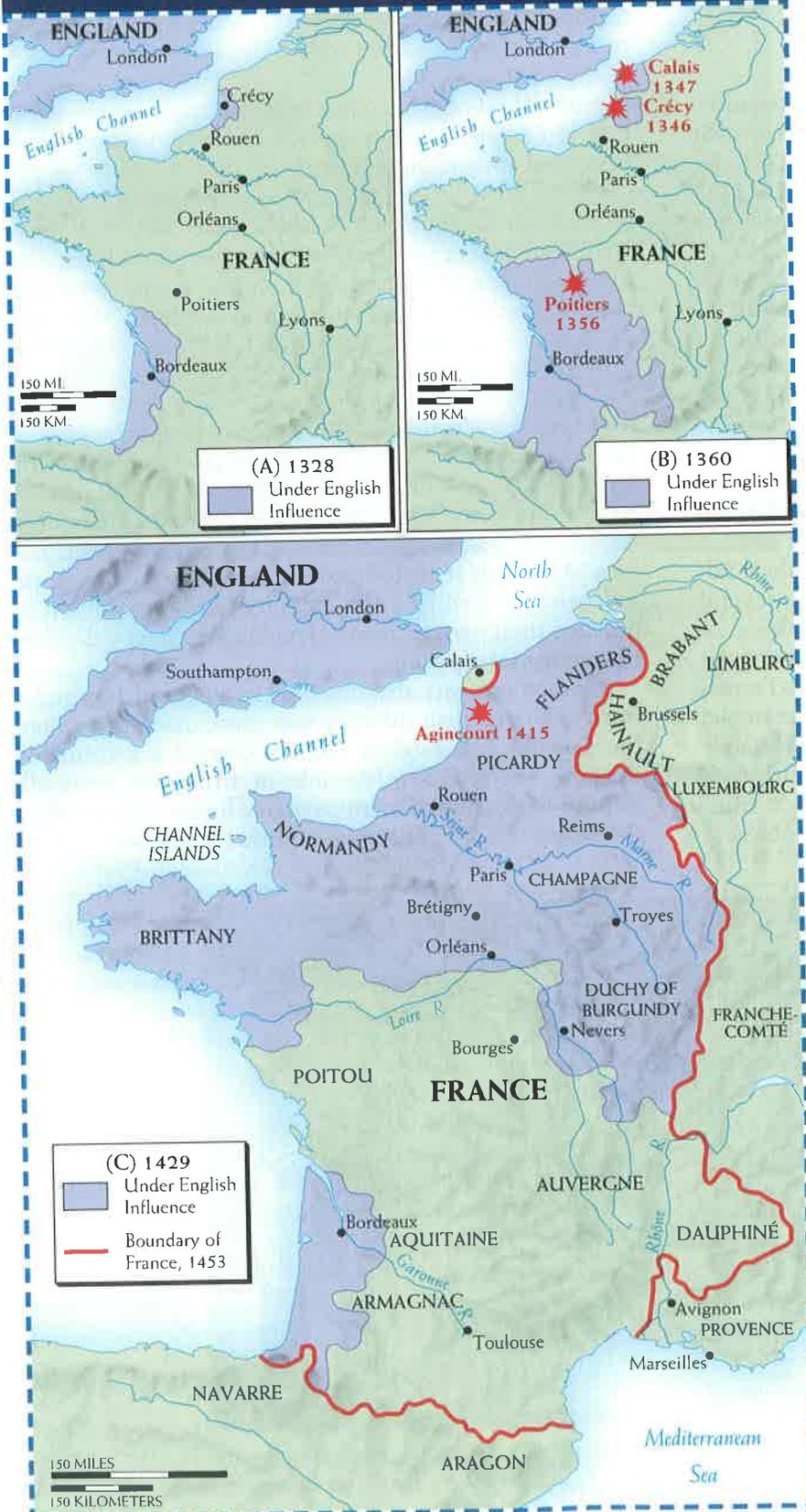
France's defeats also reflected English military superiority. The English infantry was more disciplined than the French, and English archers carried a formidable weapon, the longbow, capable of firing six arrows a minute with enough force to pierce an inch of wood or the armor of a knight at two hundred yards.



Edward III pays homage to his feudal lord Philip VI of France. Legally, Edward was a vassal of the king of France. Archives Snark International/Art Resource, NY

## MAP EXPLORATION

Interactive map: To explore this map further, go to [www.myhistorylab.com](http://www.myhistorylab.com)



**Map 9-2 THE HUNDRED YEARS' WAR**  
The Hundred Years' War went on intermittently from the late 1330s until 1453. These maps show the remarkable English territorial gains up to the sudden and decisive turning of the tide of battle in favor of the French by the forces of Joan of Arc in 1429.

Finally, French weakness during the Hundred Years' War was due, in no small degree, to the comparative mediocrity of its royal leadership. English kings were far shrewder.

## Progress of the War

The war had three major stages of development, each ending with a seemingly decisive victory by one or the other side.

**The Conflict During the Reign of Edward III** In the first stage of the war, Edward embargoed English wool to Flanders, sparking urban rebellions by merchants and the trade guilds. Inspired by a rich merchant, Jacob van Artevelde, the Flemish cities, led by Ghent, revolted against the French and in 1340 signed an alliance with England acknowledging Edward as king of France. On June 23 of that same year, in the first great battle of the war, Edward defeated the French fleet in the Bay of Sluys, but his subsequent effort to invade France by way of Flanders failed.

In 1346, Edward attacked Normandy and, after a series of easy victories that culminated at the Battle of Crécy, seized the port of Calais (which the English would retain until 1558). Exhaustion of both sides and the onset of the Black Death forced a truce in late 1347, as the war entered a brief lull. In 1356, near Poitiers, the English won their greatest victory, routing France's noble cavalry and taking the French king, John II the Good (r. 1350–1364), captive back to England. A complete breakdown of political order in France followed.

Power in France now lay with the Estates General. Led by the powerful merchants of Paris under Etienne Marcel, that body took advantage of royal weakness, demanding and receiving rights similar to those the Magna Carta had granted to the English privileged classes. Yet, unlike the English Parliament, which represented the interests of a comparatively unified English nobility, the French Estates General was too divided to be an instrument for effective government.

To secure their rights, the French privileged classes forced the peasantry to pay ever-increasing taxes and to repair their war-damaged properties without compensation. This bullying became more than the peasants could bear, and they rose up in several regions in a series of bloody rebellions known as the **Jacquerie** in 1358 (after the peasant revolutionary popularly known as Jacques Bonhomme, or "simple Jack"). The nobility quickly put down the revolt, matching the rebels' atrocity for atrocity.

On May 9, 1360, another milestone of the war was reached when England forced the Peace of Brétigny-Calais on the French. This agreement declared an end to Edward's vassalage to the king of France and affirmed his sovereignty over English territories in France (including Gascony, Guyenne, Poitou, and Calais). France

also agreed to pay a ransom of 3 million gold crowns to win King John the Good's release. In return, Edward simply renounced his claim to the French throne.

Such a partition was unrealistic, and sober observers on both sides knew it could not last. France struck back in the late 1360s and, by the time of Edward's death in 1377, had beaten the English back to coastal enclaves and the territory around Bordeaux.

**French Defeat and the Treaty of Troyes** After Edward's death the English war effort lessened, partly because of domestic problems within England. During the reign of Richard II (r. 1377–1399), England had its own version of the Jacquerie. In June 1381, long-oppressed peasants and artisans joined in a great revolt of the underprivileged classes under the leadership of John Ball, a secular priest, and Wat Tyler, a journeyman. As in France, the revolt was brutally crushed within the year, but it left the country divided for decades.

England recommenced the war under Henry V (r. 1413–1422), who took advantage of internal French turmoil created by the rise to power of the duchy of Burgundy. With France deeply divided, Henry V struck hard in Normandy. Happy to see the rest of France besieged, the Burgundians foolishly watched from the sidelines while Henry's army routed the French at Agincourt on October 25, 1415. In the years thereafter, belatedly recognizing that the defeat of France would leave them easy prey for the English, the Burgundians closed ranks with French royal forces. The renewed French unity, loose as it was, promised to bring eventual victory over the English, but it was shattered in September 1419 when the duke of Burgundy was assassinated. The duke's son and heir, determined to avenge his father's death, joined forces with the English.

France now became Henry V's for the taking—at least in the short run. The Treaty of Troyes in 1420 disinherited the legitimate heir to the French throne and proclaimed Henry V the successor to the French king, Charles VI. When Henry and Charles died within months of one another in 1422, the infant Henry VI of England was proclaimed in Paris to be king of both France and England. The dream of Edward III that had set the war in motion—to make the ruler of England the ruler also of France—seemed to have been realized.

The son of Charles VI went into retreat in Bourges, where, on the death of his father, he became Charles VII to most of the French people, who ignored the Treaty of Troyes. Displaying unprecedented national feeling inspired by the remarkable Joan of Arc, they soon rallied to his cause and united in an ultimately victorious coalition.

**Joan of Arc and the War's Conclusion** Joan of Arc (1412–1431), a peasant from Domrémy in Lorraine in eastern France, presented herself to Charles VII in March



A contemporary portrait of Joan of Arc (1412–1431). Anonymous, 15th century. "Joan of Arc." Franco-Flemish miniature. Archives Nationales, Paris, France. Photograph copyright Bridgeman-Giraudon/Art Resource, NY

1429, declaring that the King of Heaven had called her to deliver besieged Orléans from the English. Charles was skeptical, but being in retreat from what seemed to be a hopeless war, he was also willing to try anything to reverse French fortunes. The deliverance of Orléans, a city key to the control of the territory south of the Loire River, would be a godsend. Charles's desperation overcame his skepticism, and he gave Joan his leave.

Circumstances worked perfectly to her advantage. The English force, already exhausted by a six-month siege, was at the point of withdrawal when Joan arrived with fresh French troops. After repulsing the English from Orléans, the French enjoyed a succession of victories they popularly attributed to Joan. She did deserve much of this credit, but not because she was a military genius. She rather gave the French something military experts could not: an enraged sense of national identity and destiny.

Within a few months of the liberation of Orléans, Charles VII received his crown in Rheims, ending the nine-year "disinheritance" prescribed by the Treaty of Troyes. The king now forgot his liberator as quickly as he had embraced her. When the Burgundians captured Joan in May 1430, he might have secured her release but did little to help her. The Burgundians and the English wanted her publicly discredited, believing this would also discredit Charles VII and demoralize French resistance. She was turned over to the Inquisition in English-held Rouen. The inquisitors there broke the courageous "Maid of Orléans" after ten weeks of interrogation, and she was executed as a relapsed heretic on May 30, 1431. Twenty-five years later, in 1456, Charles reopened her trial, as the French state and church moved to get on history's side. She was now declared innocent of all the charges against her. In 1920, the Roman Catholic Church declared her a saint.

In 1435, the duke of Burgundy made peace with Charles, allowing France to force the English back. By 1453, when the war ended, the English held only their coastal enclave of Calais.

The Hundred Years' War, with sixty-eight years of nominal peace and forty-four of hot war, had lasting political and social consequences. It devastated France, but it also awakened French nationalism and hastened

### THE HUNDRED YEARS' WAR (1337–1453)

1340	English victory at Bay of Sluys
1346	English victory at Crécy and seizure of Calais
1347	Black Death strikes
1356	English victory at Poitiers
1358	Jacquerie disrupts France
1360	Peace of Brétigny-Calais recognizes English holdings in France
1381	English peasants revolt
1415	English victory at Agincourt
1420	Treaty of Troyes recognizes the English king as heir to the French throne
1422	Henry VI proclaimed king of both England and France
1429	Joan of Arc leads French to victory at Orléans
1431	Joan of Arc executed as a heretic
1453	War ends; English retain only Calais

the transition there from a feudal monarchy to a centralized state. It saw Burgundy become a major European political power. It also encouraged the English, in response to the seesawing allegiance of the Netherlands throughout the conflict, to develop their own clothing industry and foreign markets. In both France and England, the burden of the on-again, off-again war fell most heavily on the peasantry, who were forced to support it with taxes and services.

## ▼ Ecclesiastical Breakdown and Revival: The Late Medieval Church

At first glance, the popes may appear to have been in a favorable position in the latter thirteenth century. Frederick II had been vanquished and imperial pressure on Rome had been removed. (See Chapter 8.) The French king, Louis IX, was an enthusiastic supporter of the church, as evidenced by his two disastrous Crusades, which won him sainthood. Although it lasted only seven years, a reunion of the Eastern church with Rome was proclaimed by the Council of Lyons in 1274, when the Western church took advantage of Byzantine emperor Michael VII Palaeologus's (r. 1261–1282) request for aid against the Turks. But despite these positive events, the church's position was less favorable than it appeared.

### The Thirteenth-Century Papacy

As early as the reign of Pope Innocent III (r. 1198–1216), when papal power reached its height, there were ominous developments. Innocent had elaborated the doctrine of papal **plenitude of power** and on that authority had declared saints, disposed of *benefices*, and created a centralized papal monarchy with a clearly political mission. Innocent's transformation of the papacy into a great secular power weakened the church spiritually even as it strengthened it politically. Thereafter, the church as a papal monarchy increasingly parted company with the church as the "body of the faithful." It was against this perceived "papal church" and in the name of the "true Christian church" that both reformers and heretics protested until the Protestant Reformation.

What Innocent began, his successors perfected. Under Urban IV (r. 1261–1264), the papacy established its own law court, the *Rota Romana*, which tightened and centralized the church's legal proceedings. The latter half of the thirteenth century saw an elaboration of the system of clerical taxation; what had begun in the twelfth century as an emergency measure to raise funds for the Crusades became a fixed institution. In the same period, papal power to determine appointments to many major and minor church offices—the "reserva-

tion of *benefices*"—was greatly broadened. The thirteenth-century papacy became a powerful political institution governed by its own law and courts, serviced by an efficient international bureaucracy, and preoccupied with secular goals.

Papal centralization of the church undermined both diocesan authority and popular support. Rome's interests, not local needs, came to control church appointments, policies, and discipline. Discontented lower clergy appealed to the higher authority of Rome against the discipline of local bishops. In the second half of the thirteenth century, bishops and abbots protested such undercutting of their power. To its critics, the church in Rome was hardly more than a legalized, "fiscalized," bureaucratic institution. As early as the late twelfth century, heretical movements of Cathars and Waldensians had appealed to the biblical ideal of simplicity and separation from the world. Other reformers who were unquestionably loyal to the church, such as Saint Francis of Assisi, also protested perceived materialism in official religion.

**Political Fragmentation** More than internal religious disunity was undermining the thirteenth-century church. The demise of imperial power meant the papacy in Rome was no longer the leader of anti-imperial (Guelf, or propapal) sentiment in Italy. Instead of being the center of Italian resistance to the emperor, popes now found themselves on the defensive against their old allies. That was the ironic price the papacy paid to vanquish the Hohenstaufens.

Rulers with a stake in Italian politics now directed the intrigue formerly aimed at the emperor toward the College of Cardinals. For example, Charles of Anjou, the French king of Naples and Sicily (r. 1266–1285), managed to create a French-Sicilian faction within the college. Such efforts to control the decisions of the college led Pope Gregory X (r. 1271–1276) to establish the practice of sequestering the cardinals immediately upon the death of the pope. The purpose of this so-called conclave of cardinals was to minimize political influence on the election of new popes, but the college became so politicized that it proved to be of little avail.

In 1294, such a conclave, in frustration after a deadlock of more than two years, chose a saintly, but inept, hermit as Pope Celestine V. Celestine abdicated under suspicious circumstances after only a few weeks in office. He also died under suspicious circumstances; his successor's critics later argued that the powers behind the papal throne had murdered him to ensure the survival of the papal office. Celestine's tragicomic reign shocked the Cardinals into electing his opposite, Pope Boniface VIII (r. 1294–1303), a nobleman and a skilled politician. His pontificate, however, would mark the beginning of the end of papal pretensions to great-power status.

## JOAN OF ARC REFUSES TO RECANT HER BELIEFS

*Joan of Arc, threatened with torture, refused to recant her beliefs and instead defended the instructions she had received from the voices that spoke to her. Here is a part of her self-defense from the contemporary trial record.*

**Do the judges appear to have made up their minds about Joan in advance? How does this judicial process, which was based on intensive interrogation of the accused, differ from a trial today? Why was Joan deemed heretical and not insane when she acknowledged hearing voices?**

On Wednesday, May 9th of the same year [1431], Joan was brought into the great tower of the castle of Rouen before us the said judges and in the presence of the reverend father, lord abbot of St. Cormeille de Compiègne, of masters Jean de Châtillon and Guillaume Erart, doctors of sacred theology, of André Marguerie and Nicolas de Venderos, archdeacons of the church of Rouen, of William Haiton, bachelor of theology, Aubert Morel, licentiate in canon law, Nicolas Loiseleur, canon of the cathedral of Rouen, and master Jean Massieu.

And Joan was required and admonished to speak the truth on many different points contained in her trial which she had denied or to which she had given false replies, whereas we possessed certain information, proofs, and vehement presumptions upon them. Many of the points were read and explained to her, and she was told that if she did not confess them truthfully she would be put to the torture, the instruments of which were shown to her all ready in the tower. There were also present by our instruction men

ready to put her to the torture in order to restore her to the way and knowledge of truth, and by this means to procure the salvation of her body and soul which by her lying inventions she exposed to such grave perils.

To which the said Joan answered in this manner: "Truly if you were to tear me limb from limb and separate my soul from my body, I would not tell you anything more and if I did say anything, I should afterwards declare that you had compelled me to say it by force." Then she said that on Holy Cross Day last she received comfort from St. Gabriel, she firmly believes it was St. Gabriel. She knew by her voices whether she should submit to the Church, since the clergy were pressing her hard to submit. Her voices told her that if she desired Our Lord to aid her, she must wait upon Him in all her doings. She said that Our Lord has always been the master of her doings, and the Enemy never had power over them. She asked her voices if she would be burned and they answered that she must wait upon God, and He would aid her.

W. P. Barrett, *The Trial of Jeanne D'Arc* (New York: Gotham House, 1932), pp. 303–304.

## Boniface VIII and Philip the Fair

Boniface came to rule when England and France were maturing as nation-states. In England, a long tradition of consultation between the king and powerful members of English society evolved into formal parliaments during the reigns of Henry III (r. 1216–1272) and Edward I (r. 1272–1307), and these meetings helped create a unified kingdom. The reign of the French king Philip IV the Fair (r. 1285–1314) saw France become an efficient, central-

ized monarchy. Philip was no Saint Louis, but a ruthless politician. He was determined to end England's continental holdings, control wealthy Flanders, and establish French hegemony within the Holy Roman Empire.

Boniface had the further misfortune of bringing to the papal throne memories of the way earlier popes had brought kings and emperors to their knees. Painfully he was to discover that the papal monarchy of the early thirteenth century was no match for the new political powers of the late thirteenth century.

**The Royal Challenge to Papal Authority** France and England were on the brink of all-out war when Boniface became pope in 1294. Only Edward I's preoccupation with rebellion in Scotland, which the French encouraged, prevented him from invading France and starting the Hundred Years' War a half century earlier than it did start. As both countries mobilized for war, they used the pretext of preparing for a Crusade to tax the clergy heavily. In 1215, Pope Innocent III had decreed that the clergy were to pay no taxes to rulers without papal consent. Viewing English and French taxation of the clergy as an assault on traditional clerical rights, Boniface took a strong stand against it. On February 5, 1296, he issued a bull, *Clericis laicos*, which forbade lay taxation of the clergy without papal approval and revoked all previous papal dispensations in this regard.

In England, Edward I retaliated by denying the clergy the right to be heard in royal court, in effect removing from them the protection of the king. But Philip the Fair struck back with a vengeance: In August 1296, he forbade the exportation of money from France to Rome, thereby denying the papacy the revenues it needed to operate. Boniface had no choice but to come to terms quickly with Philip. He conceded Philip the right to tax the French clergy "during an emergency," and, not coincidentally, he canonized Louis IX in the same year.

Boniface was then also under siege by powerful Italian enemies, whom Philip did not fail to patronize. A noble family (the Colonnas), rivals of Boniface's family (the Gaetani) and radical followers of Saint Francis of Assisi (the Spiritual Franciscans), were seeking to invalidate Boniface's election as pope on the grounds that Celestine V had been forced to resign the office. Charges of heresy, simony, and even the murder of Celestine were hurled against Boniface.

Boniface's fortunes appeared to revive in 1300, a "Jubilee year." During such a year, all Catholics who visited Rome and fulfilled certain conditions had the penalties for their unrepented sins remitted. Tens of thousands of pilgrims flocked to Rome, and Boniface, heady with this display of popular religiosity, reinserted himself into international politics. He championed Scottish resistance to England, for which he received a firm rebuke from an outraged Edward I and from Parliament.

But once again a confrontation with the king of France proved the more costly. Philip seemed to be eager for another fight with the pope. He arrested Boniface's Parisian legate, Bernard Saisset, the bishop of Pamiers and also a powerful secular lord, whose independence Philip had opposed. Accused of heresy and treason, Saisset was tried and convicted in the king's court. Thereafter, Philip demanded that Boniface recognize the process against Saisset, something Boniface could do only if he was prepared to surrender his jurisdiction over the French episco-



Pope Boniface VIII (r. 1294–1303), depicted here, opposed the taxation of the clergy by the kings of France and England and issued one of the strongest declarations of papal authority over rulers, the bull *Unam Sanctam*. This statue is in the Museo Civico, Bologna, Italy. Statue of Pope Boniface VIII. Museo Civico, Bologna. Scala/Art Resource, NY

pate. Boniface could not sidestep this challenge, and he acted swiftly to champion Saisset as a defender of clerical political independence within France. He demanded Saisset's unconditional release, revoked all previous agreements with Philip regarding clerical taxation, and ordered the French bishops to convene in Rome within a year. A bull, *Ausculta fili*, or "Listen, My Son," was sent to Philip



## Who Runs the World: Priests or Princes?

IN ONE OF the boldest papal bulls in the history of Christianity, Pope Boniface VIII declared the temporal authority of rulers to be subject to papal authority. Behind that ideology lay a long, bitter dispute between the papacy and the kings of France and England. Despite the strained scholastic arguments from each side's apologists, the issue was paramount and kingdoms were at stake. The debaters were Giles of Rome, a philosopher and papal adviser, and John of Paris, a French Dominican and Aristotle expert. Quoting ecclesiastical authorities, Giles defended a papal theocracy, while John made the royal case for secular authority.

### QUESTIONS

1. Are the arguments pro and con logical and transparent?

2. How is history invoked to support their positions?
3. Which of the two seems to have the better authorities behind his arguments?

### I. Giles of Rome, *On Ecclesiastical Power* (1301)

Hugh of St. Victor . . . declares that the spiritual power has to institute the earthly power and to judge it if it has not been good . . . We can clearly prove from the order of the universe that the church is set above nations and kingdoms [Jeremias 1:10] . . . It is the law of divinity that the lowest are led to the highest through intermediaries . . . At Romans 13 . . . the Apostle, having said that there is no power except from God, immediately added: "And those that are, are ordained of God." If then there are two swords [governments], one spiritual, the other temporal, as can be gathered from the words of the Gospel, "Behold, here are two swords" (Luke 22:38), [to which] the Lord at once added, "It is enough" because these two swords suffice for the church, [then] it follows that these two swords, these two powers and authorities, are [both] from God, since there is no power except from God. But, therefore they must be rightly ordered since, what is from God must be ordered. [And] they would not be so ordered unless one sword was led by the other and one was under the other since, as Dionysius said, the law of divinity which God gave to all created things requires this . . . Therefore the temporal sword, as being inferior, is led by the spiritual sword, as being superior, and the one is set below the other as an inferior below a superior.

It may be said that kings and princes ought to be subject spiritually but not temporally . . . But those who

speak thus have not grasped the force of the argument. For if kings and princes were only spiritually subject to the church, one sword would not be below the other, nor temporalities below spiritualities; there would be no order in the powers, the lowest would not be led to the highest through intermediaries. If they *are* ordered, the temporal sword must be below the spiritual, and [royal] kingdoms below the vicar of Christ, and that by law . . . [then] the vicar of Christ must hold dominion over temporal affairs.

### II. John of Paris, *Treatise on Royal and Papal Power* (1302–1303)

It is easy to see which is first in dignity, the kingship or the priesthood . . . A kingdom is ordered to this end, that an assembled multitude may live virtuously . . . and it is further ordered to a higher end which is the enjoyment of God; and responsibility for this end belongs to Christ, whose ministers and vicars are the priests. Therefore, the priestly power is of greater dignity than the secular and this is commonly conceded . . .

But if the priest is greater in himself than the prince and is greater in dignity, it does not follow that he is greater in all respects. For the lesser secular power is not related to the greater spiritual power as having its origin from it or being derived from it as the power of a consul is related to that of the emperor, which is greater in

all respects since the power of the former is derived from the latter. The relationship is rather like that of a head of a household to a general of armies, since one is not derived from the other but both from a superior power. And so the secular power is greater than the spiritual in some things, namely in temporal affairs, and in such affairs it is not subject to the spiritual power in any way because it does not have its origin from it, but rather both have their origin immediately from the one supreme power, namely, the divine. Accordingly the inferior power is not subject to the superior in all things, but only in those where the supreme power has subordinated it to the greater. [For example] a teacher of literature or an instructor in morals directs the members of a household to a very noble end: the knowledge of truth. [That] end is more noble than



[that] of a doctor who is concerned with a lower end, namely, the health of bodies. But who would say therefore that the doctor should be subjected to the teacher in preparing his medicines . . .? Therefore, the priest is greater than the prince in spiritual affairs and, on the other hand, the prince is greater in temporal affairs.

Source: Brian Tierney, *The Crisis of Church and State 1050-1300* (Toronto: Toronto University Press, 1996) pp. 198-199 [Giles of Rome], 209-209 [John of Paris].

Papal ring: gold with an engraving on each side and set with a square stone.

Dorling Kindersley Media Library. Geoff Dann © The British Museum

in December 1301, pointedly informing him that “God has set popes over kings and kingdoms.”

**Unam Sanctam (1302)** Philip unleashed a ruthless antipapal campaign. Two royal apologists, Pierre Dubois and John of Paris, refuted papal claims to the right to intervene in temporal matters. Increasingly placed on the defensive, Boniface made a last-ditch stand against state control of national churches. On November 18, 1302, he issued the bull *Unam Sanctam*. This famous statement of papal power declared that temporal authority was “subject” to the spiritual power of the church. On its face a bold assertion, *Unam Sanctam* was, in truth, the desperate act of a besieged papacy. (See “Compare & Connect: Who Runs the World: Priests or Princes?” pages 270–271.)

After *Unam Sanctam*, the French and the Colonnas moved against Boniface with force. Philip’s chief minister, Guillaume de Nogaret, denounced Boniface to the French clergy as a heretic and common criminal. In mid-August 1303, his army surprised the pope at his retreat in Anagni, beat him up, and almost executed him before an aroused populace returned him safely to Rome. The ordeal, however, proved to be too much, and Boniface died in October 1303.

Boniface’s immediate successor, Benedict XI (r. 1303–1304), excommunicated Nogaret for his deed, but there was to be no lasting papal retaliation. Benedict’s successor, Clement V (r. 1305–1314), was forced into French subservience. A former archbishop of Bordeaux, Clement declared that *Unam Sanctam* should not be understood as in any way diminishing French royal authority. He released Nogaret from excommunication and pliantly condemned the Knights Templars, whose treasure Philip thereafter seized.

In 1309, Clement moved the papal court to Avignon, an imperial city on the southeastern border of France. Situated on land that belonged to the pope, the city maintained its independence from the French king. In 1311, Clement made it his permanent residence, to escape both a Rome ridden with strife after the confrontation between Boniface and Philip and further pressure from Philip. There the papacy would remain until 1377.

After Boniface’s humiliation, popes never again seriously threatened kings and emperors, despite continuing papal excommunications and political intrigue. The relationship between church and state now tilted in favor of the state, and the control of religion fell into the hands of powerful monarchies. Ecclesiastical authority would become subordinate to larger secular political policies.

## The Avignon Papacy (1309–1377)

The Avignon papacy was in appearance, although not always in fact, under strong French influence. Under Clement V, the French dominated the College of Cardinals, testing the papacy’s agility both politically and

economically. Finding itself cut off from its Roman estates, the papacy had to innovate to get needed funds. Clement expanded papal taxes, especially the practice of collecting *annates*, the first year’s revenue of a church office, or *benefice*, bestowed by the pope. Clement VI (r. 1342–1352) began the practice of selling *indulgences*, or pardons, for unrepented sins. To make the purchase of indulgences more compelling, church doctrine on purgatory—a place of punishment where souls would atone for venial sins—also developed enterprisingly during this period. By the fifteenth century, the church had extended indulgences to cover the souls of people already dead, allowing the living to buy a reduced sentence in purgatory for their deceased loved ones. Such practices contributed to the Avignon papacy’s reputation for materialism and political scheming and gave reformers new ammunition.

**Pope John XXII** Pope John XXII (r. 1316–1334), the most powerful Avignon pope, tried to restore papal independence and to return to Italy. This goal led him into war with the Visconti, the powerful ruling family of Milan, and a costly contest with Emperor Louis IV (r. 1314–1347). John had challenged Louis’s election as emperor in 1314 in favor of the rival Habsburg candidate. The result was a minor replay of the confrontation between Philip the Fair and Boniface VIII. When John obstinately and without legal justification refused to recognize Louis’s election, the emperor declared him deposed and put in his place an antipope. As Philip the Fair had also done, Louis enlisted the support of the Spiritual Franciscans, whose views on absolute poverty John had condemned as heretical. Two outstanding pamphleteers wrote lasting tracts for the royal cause: William of Ockham, whom John excommunicated in 1328, and Marsilius of Padua (ca. 1290–1342), whose teaching John declared heretical in 1327.

In his *Defender of Peace* (1324), Marsilius of Padua stressed the independent origins and autonomy of secular government. Clergy were subjected to the strictest apostolic ideals and confined to purely spiritual functions, and all power of coercive judgment was denied the pope. Marsilius argued that spiritual crimes must await an eternal punishment. Transgressions of divine law, over which the pope had jurisdiction, were to be punished in the next life, not in the present one, unless the secular ruler declared a divine law also a secular law. This assertion directly challenged the power of the pope to excommunicate rulers and place countries under interdict. The *Defender of Peace* depicted the pope as a subordinate member of a society over which the emperor ruled supreme and in which temporal peace was the highest good.

John XXII made the papacy a sophisticated international agency and adroitly adjusted it to the growing European money economy. The more the Curia, or papal court, mastered the latter, however, the more vulnerable it became to criticism. Under John’s successor, Benedict

XII (r. 1334–1342), the papacy became entrenched in Avignon. Seemingly forgetting Rome altogether, Benedict began to build the great Palace of the Popes and attempted to reform both papal government and the religious life. His high-living French successor, Clement VI (r. 1342–1352), placed papal policy in lockstep with the French. In this period the cardinals became barely more than lobbyists for policies their secular patrons favored.

**National Opposition to the Avignon Papacy** As Avignon's fiscal tentacles probed new areas, monarchies took strong action to protect their interests. The latter half of the fourteenth century saw legislation restricting papal jurisdiction and taxation in France, England, and Germany. In England, where the Avignon papacy was identified with the French enemy after the outbreak of the Hundred Years' War, Parliament passed statutes that restricted payments and appeals to Rome and the pope's power to make high ecclesiastical appointments several times between 1351 and 1393.

In France, the so-called Gallican, or French, liberties regulated ecclesiastical appointments and taxation.

These national rights over religion had long been exercised in fact, and the church legally acknowledged them in the *Pragmatic Sanction of Bourges* in 1438. This agreement recognized the right of the French church to elect its own clergy without papal interference, prohibited the payment of *annates* to Rome, and limited the right of appeals from French courts to the Curia in Rome. In German and Swiss cities in the fourteenth and fifteenth centuries, local governments also limited and even overturned traditional clerical privileges and immunities.

## John Wycliffe and John Huss

The popular lay religious movements that most successfully assailed the late medieval church were the **Lollards** in England and the **Hussites** in Bohemia. The Lollards looked to the writings of John Wycliffe (d. 1384) to justify their demands, while moderate and extreme Hussites turned to those of John Huss (d. 1415), although both Wycliffe and Huss would have disclaimed the extremists who revolted in their names.



A portrayal of John Huss as he was led to the stake at Constance. After his execution, his bones and ashes were scattered in the Rhine River to prevent his followers from claiming them as relics. This pen-and-ink drawing is from Ulrich von Richenthal's *Chronicle of the Council of Constance* (ca. 1450). CORBIS/Bettmann

Wycliffe was an Oxford theologian and a philosopher of high standing. His work initially served the anticlerical policies of the English government. He became within England what William of Ockham and Marsilius of Padua had been for Emperor Louis IV: a major intellectual spokesman for the rights of royalty against the secular pretensions of popes. After 1350, English kings greatly reduced the power of the Avignon papacy to make ecclesiastical appointments and to collect taxes within England, a position that Wycliffe strongly supported. His views on clerical poverty followed original Franciscan ideals and, more by accident than by design, gave justification to government restriction and even confiscation of church properties within England. Wycliffe argued that the clergy "ought to be content with food and clothing."

Wycliffe also maintained that personal merit, not rank and office, was the true basis of religious authority. This was a dangerous teaching, because it raised allegedly pious laypeople above allegedly corrupt ecclesiastics, regardless of the latter's official stature. It thus threatened secular as well as ecclesiastical dominion and jurisdiction. At his posthumous condemnation by the pope, Wycliffe was accused of the ancient heresy of **Donatism**—the teaching that the efficacy of the church's sacraments did not only lie in their true performance but also depended on the moral character of the clergy who administered them. Wycliffe also anticipated certain Protestant criticisms of the medieval church by challenging papal infallibility, the sale of indulgences, the authority of Scripture, and the dogma of transubstantiation.

The Lollards, English advocates of Wycliffe's teaching, like the Waldensians, preached in the vernacular, disseminated translations of Holy Scripture, and championed clerical poverty. At first, they came from every social class. Lollards were especially prominent among the groups that had something tangible to gain from confiscating clerical properties (the nobility and the gentry) or that had suffered most under the current church system (the lower clergy and the poor people). After the English peasants' revolt in 1381, an uprising filled with egalitarian notions that could find support in Wycliffe's teaching, Lollardy was officially viewed as subversive. Opposed by an alliance of church and crown, it became a capital offense in England by 1401.

Heresy was less easily brought to heel in Bohemia, where it coalesced with a strong national movement. The University of Prague, founded in 1348, became the center for both Czech nationalism and a religious reform movement. The latter began within the bounds of orthodoxy. It was led by local intellectuals and preachers, the most famous of whom was John Huss, the rector of the university after 1403.

The Czech reformers supported vernacular translations of the Bible and were critical of traditional ceremonies and allegedly superstitious practices, particularly

those relating to the sacrament of the Eucharist. They advocated lay communion with cup as well as bread, which was traditionally reserved only for the clergy as a sign of the clergy's spiritual superiority over the laity. Hussites taught that bread and wine remained bread and wine after priestly consecration, and they questioned the validity of sacraments performed by priests in mortal sin.

Wycliffe's teaching appears early to have influenced the movement. Regular traffic between England and Bohemia had existed since the marriage in 1381 of Anne of Bohemia to King Richard II. Czech students studied at Oxford and returned with Wycliffe's writings.

Huss became the leader of the pro-Wycliffe faction at the University of Prague. In 1410, his activities brought about his excommunication, and Prague was placed under papal interdict. In 1414, Huss won an audience with the newly assembled Council of Constance. He journeyed to the council eagerly under a safe-conduct pass from Emperor Sigismund (r. 1410–1437), naïvely believing he would convince his strongest critics of the truth of his teaching. Within weeks of his arrival in early November 1414, he was accused of heresy and imprisoned. He died at the stake on July 6, 1415, and was followed there less than a year later by his colleague Jerome of Prague.

The reaction in Bohemia to the execution of these national heroes was fierce revolt. Militant Hussites, the Taborites, set out to transform Bohemia by force into a religious and social paradise under the military leadership of John Ziska. After a decade of belligerent protest, the Hussites won significant religious reforms and control over the Bohemian church from the Council of Basel.

## The Great Schism (1378–1417) and the Conciliar Movement to 1449

Pope Gregory XI (r. 1370–1378) reestablished the papacy in Rome in January 1377, ending what had come to be known as the "Babylonian Captivity" of the church in Avignon, a reference to the biblical bondage of the Israelites. The return to Rome proved to be short lived, however.

**Urban VI and Clement VII** On Gregory's death, the cardinals, in Rome, elected an Italian archbishop as Pope Urban VI (r. 1378–1389), who immediately announced his intention to reform the Curia. The cardinals, most of whom were French, responded by calling for the return of the papacy to Avignon. The French king, Charles V (r. 1364–1380), wanting to keep the papacy within the sphere of French influence, lent his support to what came to be known as the **Great Schism**.

On September 20, 1378, five months after Urban's election, thirteen cardinals, all but one of whom was French, formed their own conclave and elected Pope

Clement VII (r. 1378–1397), a cousin of the French king. They insisted they had voted for Urban in fear of their lives, surrounded by a Roman mob demanding the election of an Italian pope. Be that as it may, the papacy now became a “two-headed thing” and a scandal to Christendom. Allegiance to the two papal courts divided along political lines. England and its allies (the Holy Roman Empire, Hungary, Bohemia, and Poland) acknowledged

Urban VI, whereas France and those in its orbit (Naples, Scotland, Castile, and Aragon) supported Clement VII. Subsequent church history has, however, recognized the Roman line of popes as legitimate.

Two approaches were initially taken to end the schism. One tried to win the mutual cession of both popes, thereby clearing the way for the election of a new pope. The other sought to secure the resignation



Justice in the late Middle Ages. Depicted are the most common forms of corporal and capital punishment in Europe in the late Middle Ages and the Renaissance. At top: burning, hanging, drowning. At center: blinding, quartering, the wheel, cutting of hair (a mark of great shame for a freeman). At bottom: thrashing, decapitation, amputation of hand (for thieves). Herzog August Bibliothek

of the one in favor of the other. Both approaches proved fruitless. Each pope considered himself fully legitimate, and too much was at stake for either to make a magnanimous concession. One way remained: the deposition of both popes by a special council of the church.

**Conciliar Theory of Church Government** Legally, only a pope could convene a church council, but the competing popes were not inclined to summon a council they knew would depose them. Also, the deposition of a legitimate pope against his will by a council of the church was as serious as the deposition of a monarch by a representative assembly.

The correctness of a conciliar deposition of a pope was thus debated a full thirty years before any direct action was taken. Advocates of **conciliar theory** sought to fashion a church in which a representative council could effectively regulate the actions of the pope. The conciliarists defined the church as the whole body of the faithful, of which the elected head, the pope, was only one part. And the pope's sole purpose was to maintain the unity and well-being of the church—something the schismatic popes were far from doing. The conciliarists further argued that a council of the church acted with greater authority than the pope alone. In the eyes of the pope(s), such a concept of the church threatened both its political and its religious unity.

**The Council of Pisa (1409–1410)** On the basis of the arguments of the conciliarists, cardinals representing both popes convened a council on their own authority in Pisa in 1409, deposed both the Roman and the Avignon popes, and elected a new pope, Alexander V. To the council's consternation, neither pope accepted its action, and Christendom suddenly faced the spectacle of three contending popes. Although most of Latin Christendom accepted Alexander and his Pisan successor John XXIII (r. 1410–1415), the popes of Rome and Avignon refused to step down.

**The Council of Constance (1414–1417)** The intolerable situation ended when Emperor Sigismund prevailed on John XXIII to summon a new council in Constance in 1414, which the Roman pope Gregory XII also recognized. In a famous declaration entitled *Sacrosancta*, the council asserted its supremacy and elected a new pope, Martin V (r. 1417–1431), after the three contending popes had either resigned or been deposed. The council then made provisions for regular

meetings of church councils, within five, then seven, and thereafter every ten years.

**The Council of Basel (r. 1431–1449)** Conciliar government of the church peaked at the Council of Basel, when the council directly negotiated church doctrine with heretics. In 1432, the Hussites of Bohemia presented the *Four Articles of Prague* to the council as a basis for negotiations. This document contained requests for (1) giving the laity the Eucharist with cup as well as bread; (2) free, itinerant preaching; (3) the exclusion of the clergy from holding secular offices and owning property; and (4) just punishment of clergy who commit mortal sins.

In November 1433, an agreement among the emperor, the council, and the Hussites gave the Bohemians jurisdiction over their church similar to what the French and the English held. Three of the four Prague articles were conceded: communion with cup, free preaching by ordained clergy, and similar punishment of clergy and laity for mortal sins.

The end of the Hussite wars and the new reform legislation curtailing the pope's powers of appointment and taxation were the high points of the Council of Basel and ominous signs of what lay ahead for the church. The exercise of such power by a council did not please the pope, and in 1438, he upstaged the Council of Basel by negotiating a reunion with the Eastern church. Although the agreement, signed in Florence in 1439, was short lived, it restored papal prestige and signaled the demise of the conciliar movement. Having overreached itself, the Council of Basel collapsed in 1449. A decade later, Pope Pius II (r. 1458–1464) issued the papal bull *Execrabilis* (1460) condemning appeals to councils as "erroneous and abominable" and "completely null and void."

**Consequences** A major consequence of the conciliar movement was the devolving of greater religious responsibility onto the laity and secular governments. Without effective papal authority and leadership, secular control of national or territorial churches increased. Kings asserted their power over the church in England and France. In German, Swiss, and Italian cities, magistrates and city councils reformed and regulated religious life. The High Renaissance could not reverse this development. On the contrary, as the papacy became a limited, Italian territorial regime, national control of the church ran apace. Perceived as just one among several Italian states, the Papal States could now be opposed as much on the grounds of "national" policy as for religious reasons.

# A Closer LOOK

## THE ENCAMPMENT OF THE IMPERIAL ARMY

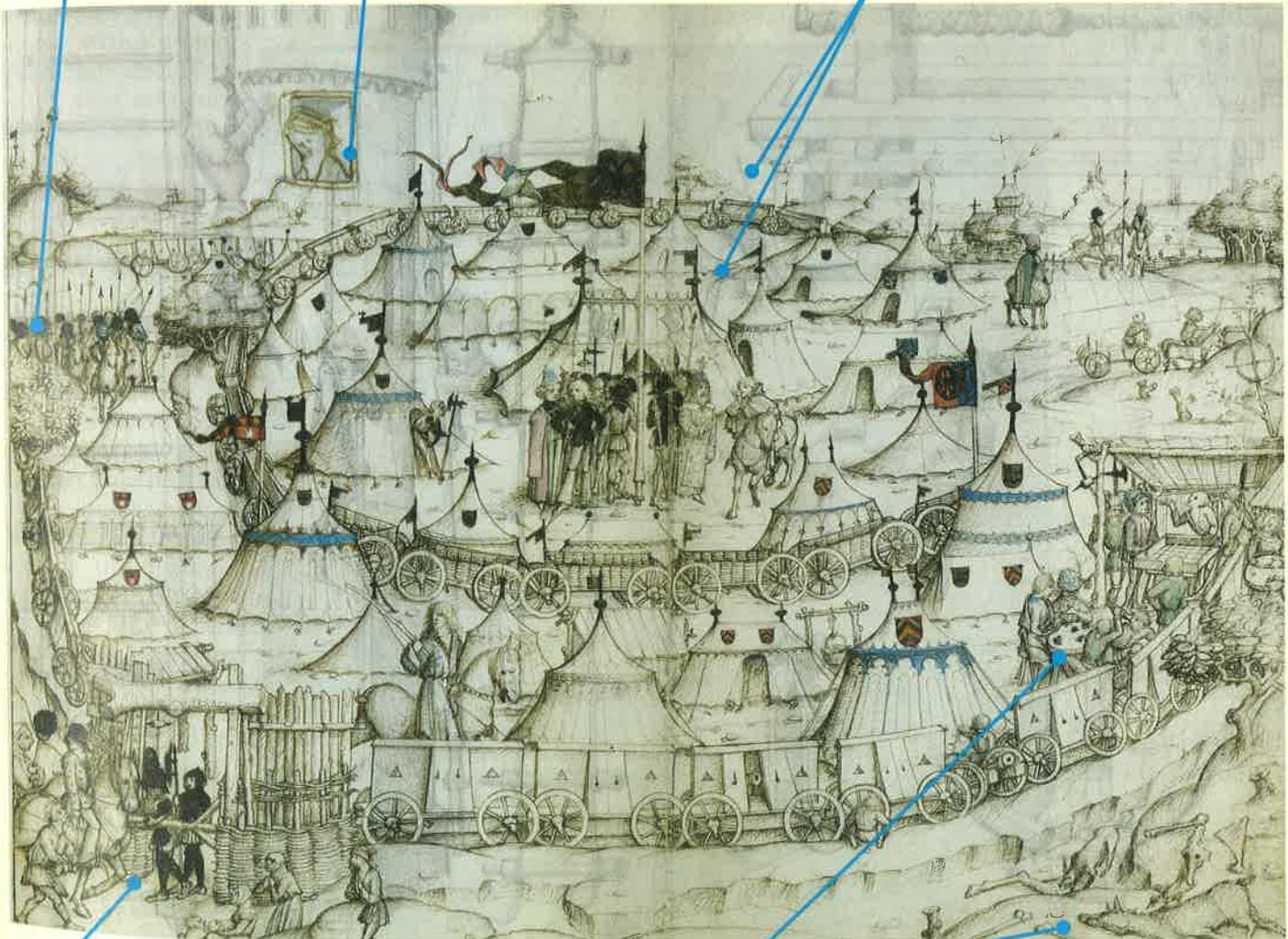
**T**HIS FIFTEENTH-CENTURY scene, drawn by a skilled and imaginative artist, depicts an imperial Habsburg army. Because every army marches on its stomach,

the wagons carrying provisions make up the inner circle, which is protected by a wider circle of war wagons.

The cavalry is either mustering for a foray or returning from one.

A face appears to be looking out of a window on the scene below. Could this be a crude depiction of God gazing down from the heavens? Or is it the artist's signature? Most likely it is graffiti added later by an opportunist seeking a bit of fame.

The provision wagons are empty because the tents, weapons, clothing, food, and cooking pots they carry have been unloaded. In the middle, standing around the towering black and gold Habsburg flag, are the commanders, their staff, and perhaps the emperor himself (speaking to a rider).



Kunstsammlungen der Fürsten zu Waldburg-Wolfegg

Armed soldiers man a gate as scouts enter with a captive whose hands are bound. To the right of the gate, a mother with two small children and another beggar seek alms.

Workers and soldiers play games of cards and dice, while outside the barrier is danger, death, and desolation: Wolves gnaw at dead horses.

To examine this image in an interactive fashion, please go to [www.myhistorylab.com](http://www.myhistorylab.com)

**myhistorylab**

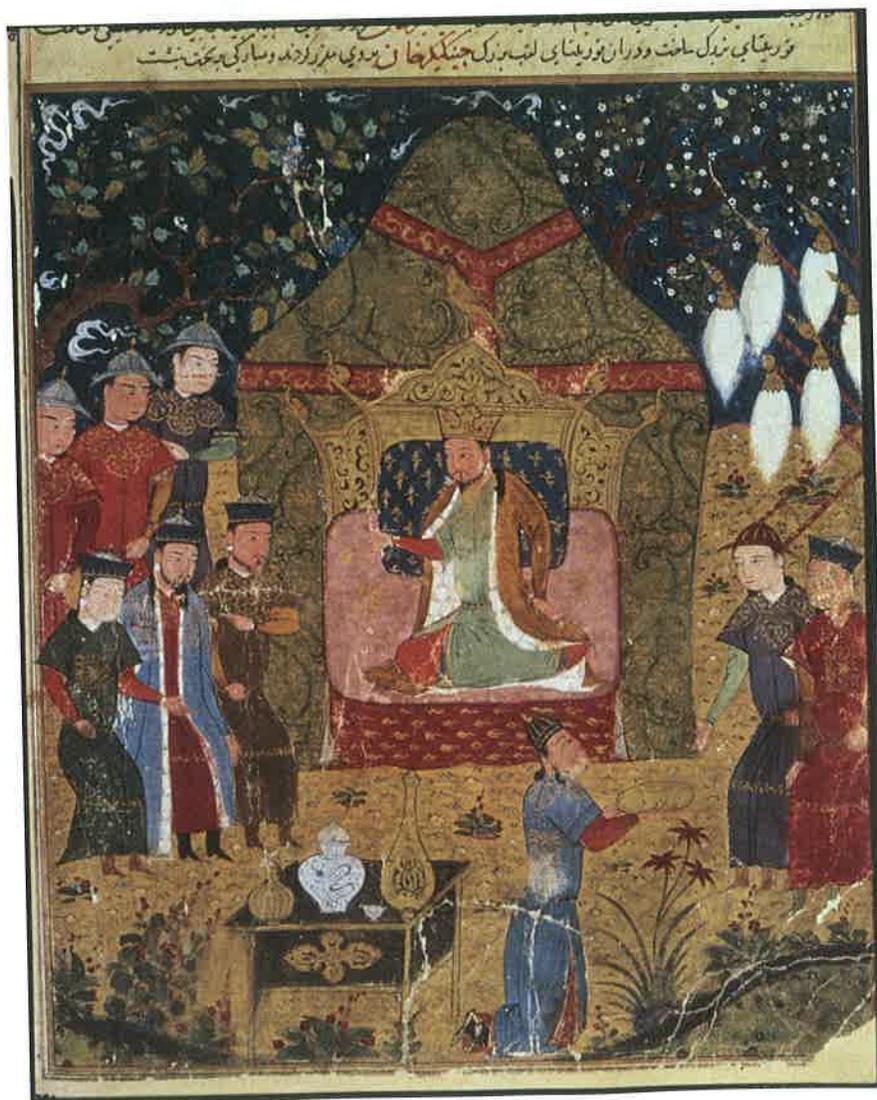
## ▼ Medieval Russia

In the late tenth century, Prince Vladimir of Kiev (r. 980–1015), then Russia's dominant city, received delegations of Muslims, Roman Catholics, Jews, and Greek Orthodox Christians, each of which hoped to persuade the Russians to embrace their religion. Vladimir chose Greek Orthodoxy, which became the religion of Russia, adding strong cultural bonds to the close commercial ties that had long linked Russia to the Byzantine Empire.

### Politics and Society

Vladimir's successor, Yaroslav the Wise (r. 1016–1054), developed Kiev into a magnificent political and cultural center, with architecture rivaling that of Constantino-

ple. He also pursued contacts with the West in an unsuccessful effort to counter the political influence of the Byzantine emperors. After his death, rivalry among their princes slowly divided Russians into three cultural groups: the Great Russians, the White Russians, and the Little Russians (Ukrainians). Autonomous principalities also challenged Kiev's dominance, and it became just one of several national centers. Government in the principalities combined monarchy (the prince), aristocracy (the prince's council of noblemen), and democracy (a popular assembly of all free adult males). The broadest social division was between freemen and slaves. Freemen included the clergy, army officers, **boyars** (wealthy landowners), townspeople, and peasants. Slaves were mostly prisoners of war. Debtors working off their debts made up a large, semifree, group.



Genghis Khan holding an audience. This Persian miniature shows the great conqueror and founder of the Mongol empire with members of his army and entourage as well as an apparent supplicant (lower right). Picture Desk, Inc./Kobal Collection

## Mongol Rule (1243–1480)

In the thirteenth century, Mongol, or Tatar, armies swept through China, much of the Islamic world, and Russia. These were steppe peoples with strongholds in the south, whence they raided the north, devastating Russia and compelling the obedience of Moscow for a while. Ghengis Khan (1155–1227) invaded Russia in 1223, and Kiev fell to his grandson Batu Khan in 1240. Russian cities became dependent, tribute-paying principalities of the segment of the Mongol Empire known as the *Golden Horde* (the Tatar words for the color of Batu Khan's tent). Geographically, the Golden Horde included the steppe region of what is today southern Russia and its capital at Sarai on the lower Volga. The conquerors stationed their own officials in all the principal Russian towns to oversee taxation and the conscription of Russians into Tatar armies. The Mongols filled their harems with Russian women and sold Russians who resisted into slavery in foreign lands. Russian women—under the influence of Islam, which became the religion of the Golden Horde—began to wear veils and lead more secluded lives. This forced integration of Mongol and Russian created further cultural divisions between Russia and the West. The Mongols, however, left Russian political and religious institutions largely intact and, thanks to their far-flung trade, brought most Russians greater prosperity. Princes of Moscow collected tribute for their overlords and grew wealthy under Mongol rule. As that rule weakened, the Moscow princes took control of the territory surrounding the city in what was called “the gathering of the Russian Land.” Gradually the principality of Moscow expanded through land purchases, colonization, and conquest. In 1380, Grand Duke Dimitri of Moscow (r. 1350–1389) defeated Tatar forces at Kulikov Meadow, a victory that marked the beginning of the decline of the Mongol hegemony. Another century would pass, however, before Ivan III, the Great (d. 1505), would bring all of northern Russia under Moscow's control and end Mongol rule (1480). Moscow replaced Kiev as the political and religious center of Russia. After Constantinople fell to the Turks in 1453, the city became, in Russian eyes, the “third Rome.”

### In Perspective

Plague, war, and schism convulsed much of late medieval Europe throughout the fourteenth and into the fifteenth centuries. Two fifths of the population, particularly along the major trade routes, died from plague in the fourteenth century. War and famine continued to take untold numbers after the plague had

passed. Revolts erupted in town and countryside as ordinary people attempted to defend their traditional communal rights and privileges against the new autocratic territorial regimes. Even God's house seemed to be in shambles in 1409, when three popes came to rule simultaneously.

There is, however, another side to the late Middle Ages. By the end of the fifteenth century, the population losses were rapidly being made up. Between 1300 and 1500, education had become far more accessible, especially to lay people. The number of universities increased from twenty to seventy, and the rise in the number of residential colleges was even more impressive, especially in France, where sixty-three were built. The fourteenth century saw the birth of humanism, and the fifteenth century gave us the printing press. Most impressive were the artistic and cultural achievements of the Italian Renaissance during the fifteenth century. The later Middle Ages were thus a period of growth and creativity, as well as one of waning and decline.

### AP\* TEST PREP

- Which social group suffered the greatest decline in power as a result of the plague?
  - noble landholders
  - urban elites
  - peasants
  - clergy
  - artisans
- The Statute of Laborers, enacted in the aftermath of the plague,
  - guaranteed a minimum wage for artisans.
  - barred laborers from moving from one city to another.
  - governed working conditions in early factories.
  - barred laborers from moving from one profession to another.
  - limited wages to preplague levels.
- In the mid-fourteenth century, France had
  - about the same population as England but was much poorer.
  - twice the population of England but was much poorer.
  - half the population of England.
  - a smaller army than England but was much richer.
  - three times the population of England and was much richer.
- What papal doctrine contributed to the transformation of the papacy into a great secular power?
  - papal primacy

- b. plenitude of power
  - c. Petrine doctrine
  - d. papal infallibility
  - e. Donation of Constantine
5. The writings of John Wycliffe inspired the
    - a. Jacquerie.
    - b. Levelers.
    - c. Hussites.
    - d. Lollards.
    - e. Ciompi.
  6. As a result of the Black Death, it is estimated that the population of Western Europe was reduced by
    - a. 40 percent.
    - b. 50 percent.
    - c. 60 percent.
    - d. 70 percent.
    - e. 80 percent.
  7. The basic argument of the conciliarists was that
    - a. church councils working with the pope were best suited to lead the faithful.
    - b. church councils guided and directed by a non-schismatic pope would be best.
    - c. church legislation could be decided only through a series of regionally sponsored councils.
    - d. church councils, guided by the Holy Spirit, had greater authority than popes.
    - e. the primitive church had been governed by church councils.
  8. All of the following contributed to the outbreak of the Hundred Years' War *except*
    - a. the proximity of England and France.
    - b. Edward III of England's control of French territories.
    - c. religious differences.
    - d. a quarrel over Flanders.
    - e. a history of prejudice and animosity between the two peoples.
  9. The French Estates General was a representative council of
    - a. townspeople, clergy, and nobles.
    - b. landowners.
    - c. high clergy and royal officials.
    - d. lawyers and advocates.
    - e. nobles and their allies.
  10. Joan of Arc gave the French
    - a. a sense of national identity and destiny.
    - b. a skilled military leader.
    - c. new allies within the church.
    - d. hope, but no immediate success.
    - e. access to a vast peasant army.
  11. According to *Unam Sanctum*,
    - a. temporal authorities were subject to the spiritual power of the church.
    - b. temporal and spiritual authorities enjoyed equal power.
    - c. states should control their own churches, but ultimate authority resided in the papacy.
    - d. all state churches should be dissolved.
    - e. all power on earth emanated from the papacy.
  12. Boyars were
    - a. peasants.
    - b. slaves.
    - c. army officers.
    - d. nobles.
    - e. wealthy landowners.
  13. In his *Defender of the Peace*, Marsilius of Padua stressed
    - a. the independence of the papacy.
    - b. the independent origins and autonomy of secular governments.
    - c. the role of the church in preventing war.
    - d. the need for a unified Christendom.
    - e. the valor and righteousness of Pope John XXII.
  14. All of the following are true of the Lollards *except* that they
    - a. preached in the vernacular.
    - b. disseminated translations of Holy Scripture.
    - c. came from every social class.
    - d. could be put to death for their beliefs after 1401.
    - e. believed that Christ was a man, not the son of God.
  15. The establishment of two competing popes in 1378 is known as the
    - a. Babylonian Captivity.
    - b. Conciliar Controversy.
    - c. Division of Christendom.
    - d. Great Schism.
    - e. Malbourg Divide.
  16. Advocates of conciliar theory sought to create a church in which
    - a. lawyers played a central role.
    - b. a representative council regulated the actions of the pope.
    - c. each nation-state had its own church.
    - d. the pope was the head of a council that served at his discretion.
    - e. men and women had equal status.
  17. In the fourteenth century, agricultural laborers made up \_\_\_\_\_ percent of the population.
    - a. 50
    - b. 40
    - c. 90
    - d. 75
    - e. 25

18. As a result of the Black Death,
- skilled artisans found little demand for their products.
  - noble landowners gained increased control over serfs.
  - women's status and rights increased.
  - cities became ghost towns, never to return to their former glory.
  - wages for farm laborers and artisans increased.
19. Which of the following groups gained new political power in the late Middle Ages?
- urban patricians
  - merchant elites
  - noble landowners
  - trade guilds
  - high-ranking clergy
20. One explanation for France's defeats in the first two phases of the Hundred Years' War is
- France's relatively small population.
  - France's relatively decentralized state.
  - the absence of large urban centers in France.
  - the unwillingness of French kings to spend money on large armies.
  - popular opposition in France to the war.

## DOCUMENT-BASED QUESTION

**Directions:** Construct a valid essay that directly addresses the central issue of the following question. Use facts from the documents provided and from the chapter to prove the position you take in your thesis statement.

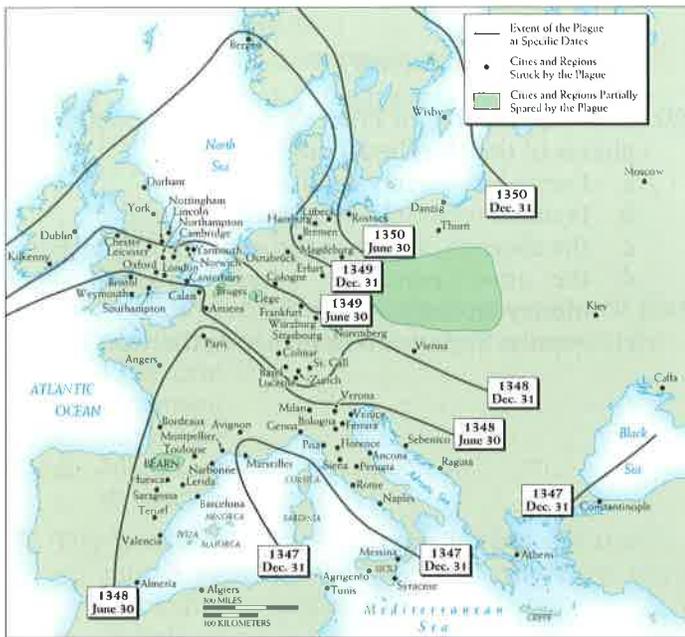
**How did Europeans respond to the plague that struck Europe in 1347? What does their response tell us about their understanding of disease and its spread?**

### Document A



A procession of flagellants at Tournai in Flanders in 1349.

## Document B



Map 9-1 SPREAD OF THE BLACK DEATH.

## Document C

Source: Giovanni Boccaccio, poet and humanist, describes the ravages of the Black Death, *The Decameron*.

In Florence, despite all that human wisdom and forethought could devise to avert it, even as the cleansing of the city from many impurities by officials appointed for the purpose, the refusal of entrance to all sick folk, and the adoption of many precautions for the preservation of health; despite also humble supplications to God, and often repeated both in public procession and otherwise by the devout; towards the beginning of the spring of the said year [1348] the doleful effects of the pestilence began to be horribly apparent by the symptoms that [appeared] as if miraculous.

Which maladies seemed to set entirely at naught both the art of the physician and the virtues of physic; indeed, whether it was that the disorder was of a nature to defy such treatment, or that the physicians were at fault . . . and, being in ignorance of its source, failed to apply the proper remedies; in either case, . . . almost all died within three days of the appearance of the said symptoms.

## Document D



A caricature of physicians.

## Document E

Source: Robert of Avesbury reports on a procession of flagellants through the streets of London in 1349.

About Michaelmas 1349 over six hundred men came to London from Flanders, mostly of Zeeland and Holland origin. Sometimes at St. Paul's and sometimes at other points in the city they made two daily public appearances wearing cloths from the thighs to the ankles, but otherwise stripped bare. Each wore a cap marked with a red cross in front and behind. Each had in his right hand a scourge with three tails. Each tail had a knot and through the middle of it there were sometimes sharp nails fixed. They marched naked in a file one behind the other and whipped themselves with these scourges on their naked and bleeding bodies. Four of them would chant in their native tongue and another four would chant in response like a litany. Thrice they would all cast themselves on the ground in this sort of procession, stretching out their hands like the arms of the cross. The singing would go on and, the one who was in the rear of those thus prostrate acting first, each of them in turn would step over the others and give one stroke with his scourge to the man lying under him. This went on from the first to the last until each of them had observed the ritual to the full tale of those on the ground. Then each put on his customary garments and always wearing their caps and carrying their whips in their hands they retired to their lodgings. It is said that every night they performed the same Penance.

For more on the plague and its impact, see pages 258–261.