

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BOARD OF TRUSTEES



**Regular Board Meeting
December 13, 2018**



Thursday, December 13, 2018
AGENDA - ORGANIZATIONAL REGULAR BOARD MEETING

Type: AGENDA - ORGANIZATIONAL REGULAR BOARD MEETING

Time: 5:30 p.m.

Code: Regular #06-18/19

Location: ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT, 2930 Gay Avenue, San Jose, CA 95127; Board Room.

1. OPEN SESSION - CALL TO ORDER AND ROLL CALL

1.01 CALL TO ORDER / ROLL CALL -- BOARD VICE-PRESIDENT.

1.02 ACTION: Ceremonial Oath of Office for Re-Elected/Newly Elected Trustees: Andres Quintero, Linda Chavez, and Ernesto Bejarano.

1.03 ACTION: Annual Organizational Meeting: Nomination and Election of the new Board President.

1.04 ACTION: Annual Organizational Meeting: Nomination and Election of the new Board Vice-President.

1.05 ACTION: Annual Organizational Meeting: Nomination and Election of the new Board Clerk.

1.06 ANNOUNCEMENT AND PUBLIC COMMENTS REGARDING ITEMS TO BE DISCUSSED IN CLOSED SESSION (Government Code Section 54957.7).

1.07 ADJOURNMENT TO CLOSED SESSION: The Board will adjourn to Closed Session at approximately 5:35 p.m. Open Session will resume approximately 60 minutes after the start of Closed Session.

2. CLOSED SESSION

2.01 DISCUSSION/ACTION: CONFERENCE WITH LEGAL COUNSEL -- ANTICIPATED LITIGATION: Initiation of litigation pursuant to Government Code, 54956.9, subdivision (d)(2). Three potential cases.

2.02 DISCUSSION/ACTION: PUBLIC EMPLOYEE DISCIPLINE/DISMISSAL/RELEASE (Government Code Section 54957). Title: Para-Educator.

2.03 DISCUSSION/ACTION: PUBLIC EMPLOYEE APPOINTMENT/EMPLOYMENT (Government Code Section 54957); Title: Interim Assistant Superintendent, Renewal Contract.

2.04 INFORMATION/DISCUSSION: CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: AREA (Alum Rock Educator's Association); District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; and (2) Jess Serna, Interim Assistant Superintendent, Human Resources.

2.05 INFORMATION/DISCUSSION: CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: CSEA (California Schools Employees Association); District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; and (2) Jess Serna, Interim Assistant Superintendent, Human Resources.

2.06 INFORMATION/DISCUSSION: CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: TEAMSTERS; District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; and (2) Jess Serna, Interim Assistant Superintendent, Human Resources.

3. RECONVENE TO OPEN SESSION - DISTRICT OFFICE BOARD ROOM

3.01 CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE BY NEWLY ELECTED BOARD PRESIDENT.

3.02 REPORT OF ACTION TAKEN IN CLOSED SESSION.

3.03 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action.

4. SPECIAL RECOGNITION / PRESENTATION

4.01 SPECIAL PRESENTATION: The Winter Showcase. The Winter Showcase tradition marks a milestone in student achievement both artistically and academically. We believe in our students and we believe they can reach unimaginable goals with the unique programs we have to offer in our VAPA cluster of schools. We appreciate that the Arts allow our students to express themselves, to gain an appreciation for the wider world, to think outside the box, and to communicate in new ways.

5. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

5.01 "REQUESTS TO ADDRESS THE BOARD" provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to proceed to the podium. There is a three-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

6. COMMENTS AND COMMUNICATION

6.01 Teamsters.

6.02 California School Employee's Association (CSEA).

6.03 Alum Rock Administrator's Association (ARAA).

6.04 Alum Rock Educator's Association (AREA).

6.05 Superintendent.

6.06 Board of Trustees/Communications/Comments.

7. BOND / FACILITIES

7.01 INFORMATION: The Board will receive a written update on the status of Bond Projects.

7.02 INFORMATION/DISCUSSION: The Board will receive an update on the Citizen's Bond Oversight Committee (CBOC).

7.03 INFORMATION/DISCUSSION/ACTION: Citizen's Bond Oversight Committee (CBOC) Appointments.

8. SUPERINTENDENT/BOARD BUSINESS

8.01 INFORMATION/DISCUSSION/ACTION: Approve and Appoint the Superintendent as the Secretary to the Board.

8.02 INFORMATION/DISCUSSION/ACTION: Action to accept the County of Santa Clara Registrar of Voters' Certified Statement of Election Results from November 6, 2018, declaring (a) Andres Quintero; (b) Linda Chavez; and (c) Ernesto Bejarano were elected to the Office of Governing Board Members, Alum Rock Union Elementary School District. Pursuant to Elections Code Section 15400, the Governing Board shall accept the Certified Statement of Election Results, and declare the totals to be the final results of the Election.

8.03 INFORMATION/DISCUSSION/ACTION: Termination of the Leal Trejo, APC Contract with Alum Rock Union Elementary School District.

8.04 INFORMATION/DISCUSSION/ACTION: The Board will authorize staff to utilize the current contract with Lozano Smith as General Counsel on a temporary basis until the new General Counsel is hired.

8.05 INFORMATION/DISCUSSION/ACTION: Construction Management Agreement for Measure J. Terminate Contract between Alum Rock Elementary School District (ARUSD) and Del Terra Real Estate Services, Inc., dba Del Terra Group.

8.06 INFORMATION/DISCUSSION/ACTION: Construction Management Agreement for Measure I. Terminate Contract between Alum Rock Elementary School District (ARUSD) and Del Terra Real Estate Services, Inc., dba Del Terra Group.

8.07 INFORMATION/DISCUSSION/ACTION: Response to the FCMAT Report.

8.08 INFORMATION/DISCUSSION/ACTION: Proposed Board Meeting Calendar for 2019. The Board will discuss and determine the proposed schedule for the Regular Board Meetings for the calendar year from January through December 2019. Proposed dates include: January 17; February 14; March 14; April 11; May 9; June 13 and June 27; July 11 (if needed); August 8; September 12; October 10; November 14; and December 12.

8.09 INFORMATION/DISCUSSION/ACTION: Board Retreats and Governance Team Trainings. The Board will discuss the merits of scheduling and conducting a series of Board Retreats and Governance Team Trainings. Proposed meetings/dates include the following Study Sessions: (1) Ethics including The Brown Act and Robert's Rules of Order, January 24, 25, or 26; (2) Goal Setting/Values, March 21 or 22; (3) Goal Setting/Decision-Making, April 4 or 5; and (4) Facilities Assessment--Series 1, May 2, 3, or 4 and Series 2, May 16, 17, or 18.

8.10 INFORMATION/DISCUSSION/ACTION: Call for Nominations to CSBA's Delegate Assembly. Nomination and candidate biographical sketch forms are now being accepted until Monday, January 7, 2019. Elected Delegates serve a two-year term beginning April 1, 2019 through March 31, 2021.

8.11 INFORMATION/DISCUSSION/ACTION: Naming the Board Representative for the Annual County Committee Election for 2019. The California Education Code requires that the Governing Board of each school district, at its annual initial meeting, select one of its members to vote in the election for members of the County Committee on School District Organization.

9. BUSINESS

9.01 INFORMATION/DISCUSSION: FISCAL EXPERT UPDATE. Dr. Donald Zimring, Fiscal Expert and Dr. William Gillaspie, Fiscal Advisor, in conjunction with Assistant Superintendent Kolvira Chheng, will provide a Fiscal Expert update to the Board.

9.02 INFORMATION/DISCUSSION/ACTION: Approve Resolution No. 13-18/19 Supplemental Employee Retirement Plan (SERP).

9.03 INFORMATION/DISCUSSION/ACTION: Resolution No. 20-18/19 Resolution Establishing Agreement Between the Governing Board of the Alum Rock Union Elementary School District and the Santa Clara County Superintendent of Schools Regarding Necessary Revisions for Compliance with Statutory Budget Requirements in Conjunction with the Local Control Accountability Plan (Education Code § 42127 and 52070).

9.04 INFORMATION/DISCUSSION/ACTION: To receive and approve the First Interim Financial Report.

10. HUMAN RESOURCES

10.01 INFORMATION Regarding Resignations.

11. CONSENT CALENDAR

11.01 ACTION: Approval of Minutes for the following meeting: November 8, 2018, Regular Board Meeting.

11.02 ACTION: Approve Memorandum(s) of Understanding(s).

11.03 ACTION: Approve Contracts for Professional Services - Firms.

11.04 ACTION: Acceptance of Donations.

11.05 ACTION: Approval of Fundraising Activities.

11.06 ACTION: Acceptance of Vendor & Payroll Warrants

11.07 ACTION: Enrollment/Attendance Report for Month 3 (October 15, 2018 thru November 9, 2018).

11.08 ACTION: Approve the Santa Clara County Treasury Investment Portfolio Status.

11.09 ACTION: Approve/Ratify Notices of Employment and Changes of Status/December 13, 2018/Human Resources Department.

11.10 ACTION: Single Plan for Student Achievement (SPSA) 2018-2019.

11.11 ACTION: Approve Out of State Travel, PBIS Northwestern Conference, Portland, OR, February 27-March 1, 2019 for Sandra Rivera & Nereida Astorga. Estimated cost \$2160.

11.12 ACTION: Approve Out of State Travel, Deloitte Courageous Principals, Dallas, TX, January 18-20, 2019 for Brittany Rykels. Estimated cost \$520.

11.13 ACTION: Approve Out of State Travel, PBIS Northwestern Conference, Portland, OR, February 27- March 1, 2019 for Ana Gonzalez. Estimated cost \$1410.

11.14 ACTION: Approve Out of State Travel, PBIS Northwestern Conference, Portland, OR, February 27-March 1, 2019 for Evelyn Loughran, Julio Villalobos, George Kleidon, Ariana Arenas & Juan Flores. Estimated cost \$5170.

11.15 ACTION: Resolution No. 17-18/19: THINK Together Designation of California State Preschool Programs (CSPP) Facility Responsibility--Adelante 1.

11.16 ACTION: Resolution No. 18-18/19: THINK Together Designation of California State Preschool Programs (CSPP) Facility Responsibility--Adelante II.

11.17 ACTION: Approve Resolution No. 19-18/19 Inventory Disposal.

11.18 ACTION: School-sponsored Field Trip List.

12. FUTURE BOARD AGENDA REQUESTS

12.01 Requests from Board of Trustees and/or from the Public.

13. ADJOURNMENT

13.01 Board President adjourns the meeting.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

1.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

November 19, 2018

Subject:

CEREMONIAL OATH OF OFFICE FOR RE-ELECTED/NEWLY ELECTED TRUSTEES:

- Andrés Quintero
- Linda Chavez
- Ernesto Bejarano

Action

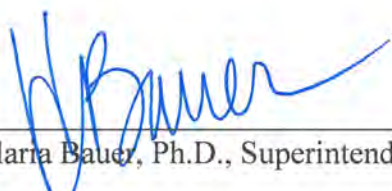
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: December 13, 2018
Organizational Board Meeting

Action

1.02
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

1.03

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

November 27, 2018

Action

Hilaria Bauer, Ph.D., Superintendent

NOMINATED BY: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

1.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

November 27, 2018

**Subject: NOMINATION OF THE NEW BOARD VICE-PRESIDENT
ANNUAL ORGANIZATIONAL MEETING**

Action

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Approval

1.04
Agenda Placement

Hilaria Bauer
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

NOMINATED BY: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

1.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

November 27, 2018

**Subject: NOMINATION OF THE NEW BOARD CLERK
ANNUAL ORGANIZATIONAL MEETING**

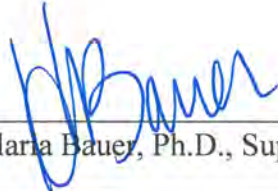
Action

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Approval

1.05
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

NOMINATED BY: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

4.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

December 10, 2018

Subject: SPECIAL PRESENTATION: THE WINTER SHOWCASE

The Winter Showcase tradition marks a milestone in student achievement both artistically and academically. We believe in our students and we believe they can reach unimaginable goals with the unique programs we have to offer in our VAPA cluster of schools. We appreciate that the Arts allow our students to express themselves, to gain an appreciation for the wider world, to think outside the box, and to communicate in new ways.

Special Presentation

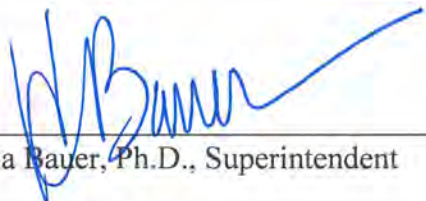
Submitted by: Rene Sanchez Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: December 13, 2018
Organizational Board Meeting

Special Presentation

4.01
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

Board of Trustees:

Date: December 2, 2018

Subject: Bond / Facilities Update

Staff Analysis: The Board will receive a written update on the status of Bond Projects.

INFORMATION

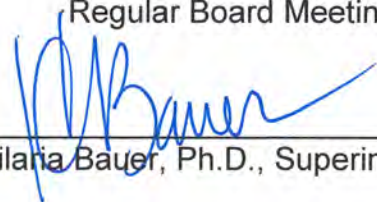
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

FOR INFORMATION ONLY

7.01
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

7.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: November 30, 2018

Subject: Citizen's Bond Oversight Committee (CBOC)

The Board will receive an update from the Citizen's Bond Oversight Committee.

INFORMATION/DISCUSSION

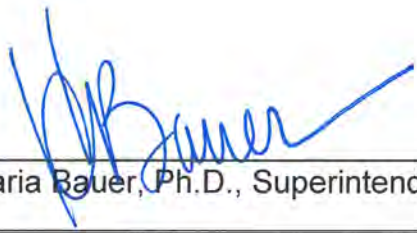
Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

INFORMATION/DISCUSSION

7.02
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: November 30, 2018

Subject: **Citizen's Bond Oversight Committee (CBOC) Appointment**

Staff Analysis: Alum Rock Union Elementary School District Independent Citizen's Bond Oversight Committee (CBOC) Bylaws; "Bylaws" defines Membership, Qualification Standards, Ethics: Conflict of Interest, Term, Appointment and Removal Process.

Based on the membership requirements, defined in the Bylaws, the CBOC is a seven (7) members committee, which consists of one (1) Senior Citizen Group, two (2) Community-at-Large, two (2) PTA/SCC/Parent, one (1) Taxpayer's Organization and one (1) Business Representative. The CBOC currently has two vacancies, which include one (1) Community-at-Large and one (1) Taxpayer's Organization.

The following individuals have applied for the vacant positions:

Flor De Leon	PTA/SCC Parent
Robert Duran	Community-at-Large

Recommendation: Superintendent has reviewed the applications and recommends that the Board appoint accordingly the following applicants to the CBOC:

Flor De Leon	PTA/SCC Parent
Robert Duran	Community-at-Large

Approved by: Kolvira Chheng



Title: Assistant Superintendent, Business Services

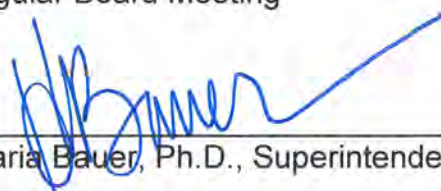
To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

Recommend Approval

7.03

Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

November 19, 2018

**Subject: APPROVE AND APPOINT THE SUPERINTENDENT AS
THE SECRETARY TO THE BOARD**

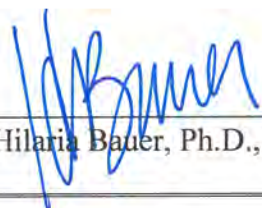
Information/Discussion/Action

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

8.01
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

November 27, 2018

**Subject: ACCEPTANCE OF REGISTRAR OF VOTERS' CERTIFIED STATEMENT
OF ELECTION RESULTS FROM NOVEMBER 6, 2018**

Action to accept the County of Santa Clara Registrar of Voters' Certified Statement of Election Results from November 6, 2018, declaring (a) Andrés Quintero; (b) Linda Chavez; and (c) Ernesto Bejarano were elected to the Office of Governing Board Members, Alum Rock Union Elementary School District. Pursuant to Elections Code Section 15400, the Governing Board shall accept the Certified Statement of Election Results, and declare the totals to be the final results of the Election.

INFORMATION/DISCUSSION/ACTION

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

8.02
Agenda Placement

Hilaria Bauer
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

County of Santa Clara

Registrar of Voters

1555 Berger Drive, Bldg. 2
San Jose, CA 95112
Mailing Address: P.O. Box 611360, San Jose, CA 95161-360
(408) 299-VOTE (8683) 866-430-VOTE (8683) FAX: (408) 998-7314
www.sccvote.org



December 6, 2018

Dr. Hilaria Bauer
Superintendent
Alum Rock Union School District
2930 Gay Avenue
San Jose, CA 95127

RE: Certification of Election Results – November 6, 2018 General Election

Dear Dr. Bauer:

Enclosed are the Certificate of Election Results, Official Results, Statement of Votes and Certificates of Election/Oaths of Office for the contest in your jurisdiction.

You may forward the executed oaths, to the County Recorder's Office, at 70 W. Hedding Street, San Jose, CA 95110.

Pursuant to Elections Code Section 15400, the Governing Board should accept the Statement of Votes and Certificate of Election Results, and declare the totals to be the final results of the election.

Please contact Mary Herrera at 408-282-3041 if you have any questions.

Sincerely,

Shannon Bushey
Registrar of Voters

Enclosures

CERTIFICATE OF ELECTION RESULTS

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

I, Shannon Bushey, Registrar of Voters of the County of Santa Clara, State of California, hereby declare:

1. A General Election was held in the County of Santa Clara, for the **Alum Rock Union School District**, on November 6, 2018 for the purpose of electing **Three (3) Governing Board Members** to the Governing Board.

2. The official canvass of the returns of this election was conducted by the Office of the Registrar of Voters in accordance with the appropriate provisions of the Elections Code of the State of California.

3. The Statement of Votes Cast, now on file in my office, shows the number of votes for each candidate for the Governing Board of the **Alum Rock Union School District** and in each of the precincts and that the total shown for each candidate are true and correct.

WITNESS my hand and Official Seal this 6th day of December, 2018.



Shannon Bushey
Shannon Bushey, Registrar of Voters

CERTIFICATE OF ELECTION

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

This certifies that, at the General Election held in and for the County of Santa Clara on November 6, 2018

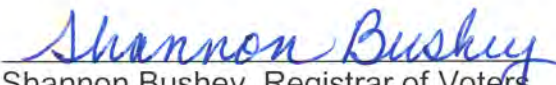
Andres Quintero

was elected to the office of

Governing Board Member, Alum Rock Union School District

as appears by the official returns of the election and the Statement of Votes Cast now on file in my office.

IN WITNESS WHEREOF, I, Shannon Bushey, Registrar of Voters of the County of Santa Clara, hereby issue this official Certificate of Election this 6th day of December, 2018.


Shannon Bushey, Registrar of Voters



CERTIFICATE OF ELECTION

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

This certifies that, at the General Election held in and for the County of Santa Clara on November 6, 2018

Linda Chavez

was elected to the office of

Governing Board Member, Alum Rock Union School District

as appears by the official returns of the election and the Statement of Votes Cast now on file in my office.

IN WITNESS WHEREOF, I, Shannon Bushey, Registrar of Voters of the County of Santa Clara, hereby issue this official Certificate of Election this 6th day of December, 2018.


Shannon Bushey, Registrar of Voters



CERTIFICATE OF ELECTION

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

This certifies that, at the General Election held in and for the County of Santa Clara on November 6, 2018

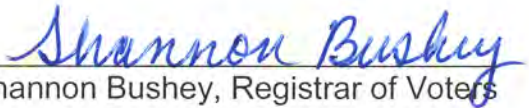
Ernesto A. Bejarano

was elected to the office of

Governing Board Member, Alum Rock Union School District

as appears by the official returns of the election and the Statement of Votes Cast now on file in my office.

IN WITNESS WHEREOF, I, Shannon Bushey, Registrar of Voters of the County of Santa Clara, hereby issue this official Certificate of Election this 6th day of December, 2018.


Shannon Bushey, Registrar of Voters



COUNTY OF SANTA CLARA Statement of Vote
GENERAL ELECTION

100050		ALUM ROCK UNION SCHOOL DISTRICT - GBM													
		Registration	Ballots Cast	Turnout (%)		LINDA CHAVEZ	BRENDA ZENDEJAS	BRUCE HUYNH	ESAU HERRERA	KHANH TRAN	RAYMOND "RAY" MUELLER	ANDRES QUINTERO	ERNESTO A. BEJARANO		
1074 1074		0	0	0.00		0	0	0	0	0	0	0	0		
1074 - VBM Reporting		0	0	0.00		0	0	0	0	0	0	0	0		
1099 1099		124	0	0.00		0	0	0	0	0	0	0	0		
1099 - VBM Reporting		124	68	54.84		18	13	9	14	22	16	29	15		
1384 1384		1194	160	13.40		50	31	12	31	24	28	55	39		
1384 - VBM Reporting		1194	450	37.69		112	87	83	120	151	91	201	79		
1391 1391		923	111	12.03		24	22	18	19	26	25	32	21		
1391 - VBM Reporting		923	477	51.68		130	96	81	112	130	119	160	120		
1395 1395		1308	179	13.69		41	24	23	29	32	32	54	40		
1395 - VBM Reporting		1308	514	39.30		129	93	101	153	169	113	159	87		
1399 1399		1335	178	13.33		45	44	18	30	22	34	67	43		
1399 - VBM Reporting		1335	600	44.94		174	109	94	137	136	146	214	157		
1423 1423		1049	82	7.82		18	15	8	20	21	23	22	21		
1423 - VBM Reporting		1049	568	54.15		131	83	143	127	204	135	144	107		
1424 1424		1327	182	13.72		43	31	20	41	34	49	45	40		
1424 - VBM Reporting		1327	573	43.18		139	104	136	129	161	163	184	142		
1426 1426		397	41	10.33		13	10	5	10	4	8	10	14		
1426 - VBM Reporting		397	200	50.38		55	42	30	35	45	43	65	42		
1440 1440		187	0	0.00		0	0	0	0	0	0	0	0		
1440 - VBM Reporting		187	107	57.22		24	15	21	29	50	18	37	17		
1443 1443		166	0	0.00		0	0	0	0	0	0	0	0		
1443 - VBM Reporting		166	105	63.25		35	25	12	16	15	28	34	39		
1470 1470		848	89	10.50		14	14	18	20	23	17	27	13		
1470 - VBM Reporting		848	389	45.87		67	61	118	77	172	59	134	69		
1504 1504		1429	182	12.74		35	44	27	33	29	43	50	51		
1504 - VBM Reporting		1429	633	44.30		160	113	114	120	135	191	220	151		
1508 1508		1241	119	9.59		25	21	15	18	30	17	33	33		
1508 - VBM Reporting		1241	478	38.52		92	88	114	132	199	65	144	91		
1510 1510		847	164	19.36		51	28	20	22	27	21	57	34		
1510 - VBM Reporting		847	336	39.67		59	61	111	66	114	65	128	61		
1512 1512		1388	193	13.90		41	44	21	44	29	38	74	59		
1512 - VBM Reporting		1388	511	36.82		126	130	90	98	116	106	197	135		
1516 1516		1442	191	13.25		54	27	27	42	19	25	65	52		
1516 - VBM Reporting		1442	578	40.08		150	134	97	158	145	120	258	142		
1518 1518		1146	195	17.02		64	44	13	41	23	51	81	36		
1518 - VBM Reporting		1146	393	34.29		79	84	55	84	107	83	174	75		
1519 1519		798	121	15.16		37	25	12	26	18	23	33	21		
1519 - VBM Reporting		798	338	42.36		63	48	67	85	123	70	138	77		
1522 1522		755	88	11.66		20	13	9	16	10	14	33	25		
1522 - VBM Reporting		755	283	37.48		69	73	61	46	59	87	91	86		
1523 1523		1409	62	4.40		14	15	3	15	3	7	23	14		
1523 - VBM Reporting		1409	551	39.11		168	126	76	114	102	91	218	124		
1525 1525		846	140	16.55		32	26	10	41	18	24	50	41		
1525 - VBM Reporting		846	394	46.57		91	81	85	103	104	83	146	104		
1526 1526		709	106	14.95		30	17	10	22	20	8	28	16		
1526 - VBM Reporting		709	329	46.40		66	62	54	91	119	70	148	45		
1527 1527		811	152	18.74		40	25	20	36	16	35	48	44		
1527 - VBM Reporting		811	314	38.72		66	64	70	78	105	65	128	75		
1529 1529		1400	205	14.64		62	49	22	34	29	29	72	46		
1529 - VBM Reporting		1400	531	37.93		128	102	99	133	139	115	206	125		
1530 1530		797	126	15.81		36	34	8	24	11	15	50	33		
1530 - VBM Reporting		797	341	42.79		104	73	36	98	55	71	153	112		
1531 1531		730	114	15.62		33	26	10	35	20	18	50	17		
1531 - VBM Reporting		730	276	37.81		72	54	40	66	55	58	119	58		
1533 1533		900	119	13.22		21	24	18	19	24	6	26	21		
1533 - VBM Reporting		900	387	43.00		78	63	89	88	129	74	114	79		
1534 1534		1201	180	14.99		47	37	17	41	30	25	67	36		
1534 - VBM Reporting		1201	458	38.13		121	93	80	106	141	60	176	109		
1536 1536		1262	213	16.88		56	45	10	48	28	47	93	67		
1536 - VBM Reporting		1262	497	39.38		125	91	95	119	125	80	183	123		
1537 1537		1327	213	16.05		83	54	14	32	19	27	73	50		

COUNTY OF SANTA CLARA Statement of Vote
GENERAL ELECTION

100050		ALUM ROCK UNION SCHOOL DISTRICT - GBM													
	Registration	Ballots Cast	Turnout (%)		LINDA CHAVEZ	BRENDA ZENDEJAS	BRUCE HUYNH	ESAU HERRERA	KHANH TRAN	RAYMOND "RAY" MUELLER	ANDRES QUINTERO	ERNESTO A. BEJARANO			
1537 - VBM Reporting	1327	549	41.37		162	138	91	123	105	85	264	127			
1542 1542	836	147	17.58		40	32	17	28	16	22	53	50			
1542 - VBM Reporting	836	298	35.65		59	77	56	81	75	57	133	77			
1544 1544	1304	194	14.88		49	44	16	49	22	39	68	54			
1544 - VBM Reporting	1304	533	40.87		120	142	74	138	99	98	207	146			
1548 1548	246	0	0.00		0	0	0	0	0	0	0	0			
1548 - VBM Reporting	246	148	60.16		44	28	36	35	41	24	53	36			
1550 1550	178	0	0.00		0	0	0	0	0	0	0	0			
1550 - VBM Reporting	178	131	73.60		45	33	29	16	15	49	44	32			
1558 1558	1320	131	9.92		27	16	38	31	49	13	35	14			
1558 - VBM Reporting	1320	625	47.35		103	80	253	138	367	76	210	79			
1560 1560	0	0	0.00		0	0	0	0	0	0	0	0			
1560 - VBM Reporting	0	0	0.00		0	0	0	0	0	0	0	0			
1567 1567	4	0	0.00		0	0	0	0	0	0	0	0			
1567 - VBM Reporting	4	2	50.00		0	1	0	1	2	0	1	1			
1569 1569	26	0	0.00		0	0	0	0	0	0	0	0			
1569 - VBM Reporting	26	22	84.62		5	3	3	2	2	13	11	3			
1570 1570	1	0	0.00		0	0	0	0	0	0	0	0			
1570 - VBM Reporting	1	1	100.00		1	0	0	0	0	1	0	0			
1591 1591	7	0	0.00		0	0	0	0	0	0	0	0			
1591 - VBM Reporting	7	6	85.71		0	3	5	3	2	0	1	0			
1801 1801	73	0	0.00		0	0	0	0	0	0	0	0			
1801 - VBM Reporting	73	61	83.56		16	11	6	11	4	26	22	26			
5456 5456	4	0	0.00		0	0	0	0	0	0	0	0			
5456 - VBM Reporting	4	4	100.00		3	1	1	0	0	3	3	1			
5505 5505	153	1	0.65		1	1	1	0	0	0	0	0			
5505 - VBM Reporting	153	126	82.35		23	20	22	25	17	42	40	25			
6404 6404	889	160	18.00		43	36	28	26	14	49	47	47			
6404 - VBM Reporting	889	504	56.69		127	125	111	70	58	215	207	189			
6417 6417	1054	152	14.42		52	25	13	16	10	56	64	70			
6417 - VBM Reporting	1054	628	59.58		163	135	118	104	81	285	255	227			
6418 6418	789	132	16.73		33	25	6	16	12	61	41	49			
6418 - VBM Reporting	789	483	61.22		147	102	92	83	45	226	180	154			
6427 6427	1	0	0.00		0	0	0	0	0	0	0	0			
6427 - VBM Reporting	1	1	100.00		0	0	0	0	0	0	0	0			
6452 6452	1051	128	12.18		32	31	9	22	12	18	65	32			
6452 - VBM Reporting	1051	395	37.58		122	82	48	79	73	65	151	105			
6454 6454	24	0	0.00		0	0	0	0	0	0	0	0			
6454 - VBM Reporting	24	20	83.33		5	9	4	1	2	5	8	7			
6455 6455	1007	157	15.59		56	43	16	31	12	23	46	31			
6455 - VBM Reporting	1007	465	46.18		157	116	102	81	78	111	164	154			
6457 6457	1077	155	14.39		46	35	20	33	15	36	44	38			
6457 - VBM Reporting	1077	518	48.10		129	112	81	96	73	156	169	147			
6458 6458	757	111	14.66		46	26	11	13	8	16	42	36			
6458 - VBM Reporting	757	355	46.90		99	73	54	72	58	83	137	113			
6462 6462	45	0	0.00		0	0	0	0	0	0	0	0			
6462 - VBM Reporting	45	25	55.56		3	3	0	3	7	4	7	8			
6463 6463	9	0	0.00		0	0	0	0	0	0	0	0			
6463 - VBM Reporting	9	7	77.78		3	2	1	0	3	2	0	0			
6464 6464	1	0	0.00		0	0	0	0	0	0	0	0			
6464 - VBM Reporting	1	1	100.00		1	1	0	0	0	0	0	1			
6465 6465	178	0	0.00		0	0	0	0	0	0	0	0			
6465 - VBM Reporting	178	103	57.87		24	18	12	18	18	28	43	31			
6466 6466	179	0	0.00		0	0	0	0	0	0	0	0			
6466 - VBM Reporting	179	115	64.25		35	21	23	16	13	49	42	31			
6470 6470	664	102	15.36		31	19	17	13	7	33	31	31			
6470 - VBM Reporting	664	421	63.40		106	88	76	58	53	195	156	144			
6490 6490	15	0	0.00		0	0	0	0	0	0	0	0			
6490 - VBM Reporting	15	10	66.67		3	2	3	4	1	5	4	0			
6491 6491	14	0	0.00		0	0	0	0	0	0	0	0			
6491 - VBM Reporting	14	8	57.14		4	1	0	2	2	4	2	1			

COUNTY OF SANTA CLARA Statement of Vote
GENERAL ELECTION

100050	ALUM ROCK UNION SCHOOL DISTRICT - GBM														
	Registration	Ballots Cast	Turnout (%)		LINDA CHAVEZ	BRENDA ZENDEJAS	BRUCE HUYNH	ESAU HERRERA	KHANH TRAN	RAYMOND "RAY" MUELLER	ANDRES QUINTERO	ERNESTO A. BEJARANO			
6493 6493	9	0	0.00		0	0	0	0	0	0	0	0			
6493 - VBM Reporting	9	5	55.56		1	0	0	0	0	2	1	3			
6502 6502	317	69	21.77		25	15	11	10	9	15	19	24			
6502 - VBM Reporting	317	134	42.27		38	36	24	33	29	41	50	35			
Precinct Totals	41528	5544	13.35		1510	1137	611	1077	775	1070	1873	1403			
VBM Reporting Totals	41528	18383	44.27		4579	3731	3586	4027	4650	4435	6867	4549			
Grand Totals	41528	23927	57.62		6089	4868	4197	5104	5425	5505	8740	5952			
STATEWIDE	41528	23927	57.62		6089	4868	4197	5104	5425	5505	8740	5952			
US Representative, District 17	6187	3677	59.43		812	620	911	803	1323	696	1138	712			
US Representative, District 19	35341	20250	57.30		5277	4248	3286	4301	4102	4809	7602	5240			
State Senate, District 10	1	1	100.00		1	0	0	0	0	1	0	0			
State Senate, District 15	41527	23926	57.62		6088	4868	4197	5104	5425	5504	8740	5952			
State Assembly District 25	7592	4730	62.30		1115	830	1077	976	1432	1143	1507	1042			
State Assembly District 27	33936	19197	56.57		4974	4038	3120	4128	3993	4362	7233	4910			
State Board of Equal. District 2	41528	23927	57.62		6089	4868	4197	5104	5425	5505	8740	5952			
Supervisory District 2	31608	17372	54.96		4498	3632	2795	3904	3848	3526	6517	4232			
Supervisory District 3	9920	6555	66.08		1591	1236	1402	1200	1577	1979	2223	1720			
City of San Jose	33291	18432	55.37		4531	3665	3293	4179	4715	3677	6722	4218			
Unincorporated Area	8237	5495	66.71		1558	1203	904	925	710	1828	2018	1734			

Alum Rock Union SD Governing Board

	ANDRES QUINTERO		BRENDA ZENDEJAS		BRUCE HUYNH		ERNESTO A. BEJARANO		ESAU HERRERA		KHANH TRAN		LINDA CHAVEZ		RAYMOND "RAY" MUELLER	
Precinct	VBM	PCT	VBM	PCT	VBM	PCT	VBM	PCT	VBM	PCT	VBM	PCT	VBM	PCT	VBM	PCT
1384	0	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
1391	1	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
1395	0	0	0	0	0	2	0	0	0	0	0	2	0	0	0	0
1399	1	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0
1423	0	0	0	0	0	0	2	0	0	0	2	0	0	0	2	0
1424	2	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0
1440	0	0	0	0	2	0	0	0	0	0	2	0	2	0	0	0
1470	1	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0
1504	2	0	0	0	0	0	0	0	0	0	2	0	1	0	1	0
1508	3	0	0	0	2	0	1	0	1	0	2	0	0	0	0	0
1510	0	0	0	1	2	1	0	0	0	0	2	1	0	0	0	0
1512	2	0	2	0	3	0	1	0	2	0	2	0	3	0	2	0
1516	0	0	0	1	0	0	0	1	0	1	0	0	0	0	0	0
1518	1	0	0	0	0	0	1	0	1	0	0	0	0	0	0	0
1519	0	0	2	0	2	0	0	0	0	0	0	0	2	0	0	0
1523	1	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0
1527	0	1	0	0	3	0	0	0	0	0	3	1	3	0	0	1
1530	1	0	0	0	0	0	1	0	0	0	0	0	0	0	1	0
1531	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
1533	0	1	0	0	0	0	0	0	0	1	0	0	0	1	0	0
1536	1	0	1	0	1	0	0	0	1	0	5	0	0	0	0	0
1558	3	0	1	1	4	1	0	0	4	0	9	0	2	0	2	0
TOTAL	19	2	7	3	20	4	8	1	11	2	30	4	15	1	10	1
G-Total	21		10		24		9		13		34		16		11	

OFFICIAL FINAL RESULTS

Cumulative Totals

November 6, 2018

REGISTRATION & TURNOUT County of Santa Clara				U. S. REPRESENTATIVE, DISTRICT 17	
Total Registration	Completed Precincts:	1,098 of 1,098 885,764	Completed Precincts:	1,098 of 1,098	Completed Precincts: 260 of 260
PCT Reporting Ballots Cast		138,468 15.63%			
VBM Reporting Ballots Cast		486,957 54.98%			
Total Ballots Cast		625,425 70.61%			
DEM - RO KHANNA REP - RON COHEN		120,125 75.67% 38,614 24.33%			
GOVERNOR				U. S. REPRESENTATIVE, DISTRICT 18	
Completed Precincts:	1,098 of 1,098		Completed Precincts:	1,098 of 1,098	Completed Precincts: 371 of 371
DEM - GAVIN NEWSOM REP - JOHN H. COX		438,758 71.40% 175,791 28.60%			
DEM - ANNA G. ESHOO REP - CHRISTINE RUSSELL		163,535 73.95% 57,596 26.05%			
LIEUTENANT GOVERNOR				U. S. REPRESENTATIVE, DISTRICT 19	
Completed Precincts:	1,098 of 1,098		Completed Precincts:	1,098 of 1,098	Completed Precincts: 457 of 457
DEM - ELENI KOUNALAKIS DEM - ED HERNANDEZ		331,520 62.00% 203,178 38.00%			
DEM - ZOE LOFGREN REP - JUSTIN JAMES AGUILERA		162,496 73.75% 57,823 26.25%			
SECRETARY OF STATE				U. S. REPRESENTATIVE, DISTRICT 20	
Completed Precincts:	1,098 of 1,098		Completed Precincts:	1,098 of 1,098	Completed Precincts: 10 of 10
DEM - ALEX PADILLA REP - MARK P. MEUSER		439,258 72.94% 162,962 27.06%			
DEM - JIMMY PANETTA NPP - RONALD PAUL KABAT		3,059 79.45% 791 20.55%			
CONTROLLER				STATE SENATOR, DISTRICT 10	
Completed Precincts:	1,098 of 1,098		Completed Precincts:	1,098 of 1,098	Completed Precincts: 159 of 159
DEM - BETTY T. YEE REP - KONSTANTINOS RODITIS		447,306 74.12% 156,164 25.88%			
DEM - BOB WIECKOWSKI REP - VICTOR G. SAN VICENTE		67,117 74.06% 23,506 25.94%			

OFFICIAL FINAL RESULTS

Cumulative Totals

November 6, 2018

STATE ASSEMBLY, DISTRICT 24	Completed Precincts: 192 of 192	STATE ASSEMBLY, DISTRICT 30	Completed Precincts: 84 of 84	ASSOC. JUSTICE 6TH COURT OF APPEALS - MIHARA	Completed Precincts: 1,098 of 1,098
DEM - MARC BERMAN REP - ALEX GLEW	97,726 76.80% 29,519 23.20%	DEM - ROBERT RIVAS REP - NEIL G. KITCHENS	25,094 63.26% 14,575 36.74%	YES NO	322,323 77.26% 94,876 22.74%
STATE ASSEMBLY, DISTRICT 25	Completed Precincts: 192 of 192	ASSOC. JUSTICE SUPREME COURT - CORRIGAN	Completed Precincts: 1,098 of 1,098	STATE SUPERINTENDENT OF PUBLIC INSTRUCTION	
DEM - KANSEN CHU REP - BOB BRUNTON	66,652 74.15% 23,231 25.85%	YES NO	321,421 73.42% 116,351 26.58%	TONY K. THURMOND MARSHALL TUCK	294,172 55.72% 233,752 44.28%
STATE ASSEMBLY, DISTRICT 27	Completed Precincts: 229 of 229	ASSOC. JUSTICE SUPREME COURT - KRUGER	Completed Precincts: 1,098 of 1,098	SANTA CLARA COUNTY BOARD OF EDUCATION, TA 2 - GBM	
DEM - ASH KALRA REP - G. BURT LANCASTER	90,068 76.29% 27,990 23.71%	YES NO	345,421 80.23% 85,095 19.77%	KATHLEEN M. KING BARRY CHANG	48,087 61.58% 30,007 38.42%
STATE ASSEMBLY, DISTRICT 28	Completed Precincts: 312 of 312	PRESIDING JUSTICE 6TH COURT OF APPEALS - GREENWOOD	Completed Precincts: 1,098 of 1,098	SANTA CLARA COUNTY BOARD OF EDUCATION, TA 6 - GBM	
DEM - EVAN LOW REP - MICHAEL L. SNYDER	130,815 71.09% 53,195 28.91%	YES NO	349,742 82.45% 74,421 17.55%	PETER ORTIZ KIARA KASSANDRA	34,411 69.81% 14,884 30.19%
STATE ASSEMBLY, DISTRICT 29	Completed Precincts: 89 of 89	ASSOC. JUSTICE 6TH COURT OF APPEALS - DANNER	Completed Precincts: 1,098 of 1,098	SANTA CLARA COUNTY BOARD OF EDUCATION, TA 7 - GBM	
DEM - MARK STONE REP - VICKI L. NOHRDEN	24,084 66.41% 12,184 33.59%	YES NO	341,348 80.90% 80,575 19.10%	CLAUDIA ROSSI GINO BORGIOLO	43,179 57.10% 32,444 42.90%

OFFICIAL FINAL RESULTS

Cumulative Totals

November 6, 2018

GAVILAN JOINT CCD, TA 3 - GBM		Completed Precincts:	34 of 34	6,675 66.99% 3,289 33.01%	EDWIN DIAZ WALTER A. GLINES	
SAN JOSE-EVERGREEN CCD, TA 2 - GBM		Completed Precincts:	55 of 55	7,543 36.98% 6,442 31.58% 6,414 31.44%	KAREN MARTINEZ PAULA QUINTERO SCOTT HUNG PHAM	
SAN JOSE-EVERGREEN CCD, TA 4 - GBM		Completed Precincts:	59 of 59	14,669 52.56% 13,241 47.44%	MARIA R. FUENTES HUONG NGUYEN	
YOSEMITE CCD, TA 4 - GBM		Completed Precincts:	1 of 1	23 67.65% 11 32.35%	ANNE DEMARTINI PENNY WILLIAMS	
MILPITAS UNIFIED SD - GBM		Number to Vote For: 3	Completed Precincts:	39 of 39	10,071 28.44% 9,856 27.83% 9,414 26.58%	KELLY YIP-CHUAN CHRIS NORWOOD MICHAEL TSAI
MILPITAS UNIFIED SD - GBM		Number to Vote For: 3	Completed Precincts:	39 of 39	6,070 17.14%	AMIN FAZAL
MORGAN HILL UNIFIED SD, TA 5 - SHORT TERM - GBM		Completed Precincts:	17 of 17	1,886 60.24% 1,245 39.76%	VANESSA SUTTER ANGELICA DIAZ	
MORGAN HILL UNIFIED SD, TA 1 - GBM		Completed Precincts:	14 of 14	1,479 38.47% 1,361 35.40% 1,005 26.14%	WENDY SULLIVAN PETER MANDEL EMMA NUNEZ	
MORGAN HILL UNIFIED SD, TA 2 - GBM		Completed Precincts:	16 of 16	1,284 38.04% 1,255 37.19% 467 13.84% 369 10.93%	JOHN T. HORNER TARA BEVINGTON ENRIQUE NAVARRO-DONNELLAN JAMES B. DILL	
MORGAN HILL UNIFIED SD, TA 4 - GBM		Completed Precincts:	7 of 7	1,590 52.72% 1,051 34.85%	CAROL GITTENS JILL PROVENCAL	
MILPITAS UNIFIED SD - GBM		Number to Vote For: 3	Completed Precincts:	39 of 39	7 of 7	Completed Precincts:
MORGAN HILL UNIFIED SD, TA 4 - GBM		Completed Precincts:	39 of 39	7,314 42.93% 6,803 39.93% 2,167 12.72% 752 4.41%	JOSE MAGANA HELEN CHAPMAN PETER ALLEN ROUMEN BOYADJIEV	
SAN JOSE UNIFIED SD, TA 2 - GBM		Completed Precincts:	39 of 39	8,375 56.63% 6,413 43.37%	BRIAN WHEATLEY MICHAEL MELILLO	
MORGAN HILL UNIFIED SD, TA 4 - GBM		Completed Precincts:	39 of 39	14,474 27.05% 12,445 23.26% 11,302 21.12% 10,980 20.52% 3,933 7.35% 370 0.69%	KEN DAUBER SHOUNAK DHARAP STACEY ASHLUND KATHY JORDAN ALEX SCHARF CHRISTOPHER BOYD	
PALO ALTO UNIFIED SD - GBM		Number to Vote For: 2	Completed Precincts:	47 of 47	7 of 7	Completed Precincts:
MORGAN HILL UNIFIED SD, TA 4 - GBM		Completed Precincts:	7 of 7	375 12.43%	ROBERT GUYNN	

OFFICIAL FINAL RESULTS

Cumulative Totals

GENERAL ELECTION

November 6, 2018

SANTA CLARA UNIFIED SD, TA 2 - GBM		Number to Vote For: 2	Completed Precincts: 66 of 66
VICKIE FAIRCHILD	21,886	43.07%	
JODI MUIRHEAD	21,015	41.36%	
ASHISH MANGLA	7,914	15.57%	
CAMPBELL UNION HIGH SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 128 of 128
KRISTIINA ARRASMITH	44,953	32.77%	
STACEY BROWN	43,069	31.40%	
ROBERT VARICH	28,178	20.54%	
BASIL SALEH	20,971	15.29%	
EAST SIDE UNION HIGH SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 287 of 287
LORENA CHAVEZ	56,458	20.64%	
VAN THILE	41,164	15.05%	
J. MANUEL HERRERA	40,255	14.72%	
FRANK BIEHL	39,452	14.42%	
KRISTIN RIVERS	33,916	12.40%	
PATRICIA MARTINEZ-ROACH	31,949	11.68%	
DEREK GRASTY	17,339	6.34%	
ROBERT IMHOFF	12,996	4.75%	
FREMONT UNION HIGH SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 114 of 114
WILLIAM (BILL) WILSON	28,790	20.34%	
NAOMI NAKANO-MATSUMOTO	28,782	20.34%	
ROSA KIM	25,160	17.78%	
MEENA JUTTUKONDA-GAJULA	24,502	17.31%	
DON SUN	18,670	13.19%	
BENAIFER DASTOOR	15,630	11.04%	
LOS GATOS-SARATOGA JOINT UNION HIGH SD - GBM		Number to Vote For: 3	Completed Precincts: 76 of 76
CYNTHIA CHANG	15,386	35.63%	
DAVID GUIDRY	9,875	22.87%	
PETER L. HERTAN	9,614	22.27%	
DENISE RAMON HERRERA	8,304	19.23%	
MOUNTAIN VIEW-LOS ALTOS UNION HIGH SD - GBM		Number to Vote For: 3	Completed Precincts: 68 of 68
FIONA WALTER	25,792	30.57%	
DEBBIE TOROK	23,360	27.69%	
CATHERINE J. VONNEGUT	20,918	24.80%	
STEVEN E. NELSON	14,288	16.94%	
ALUM ROCK UNION SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 63 of 63
ANDRES QUINTERO	8,740	19.05%	
LINDA CHAVEZ	6,089	13.27%	
ERNESTO A. BEJARANO	5,952	12.97%	
RAYMOND "RAY" MUELLER	5,505	12.00%	
KHANH TRAN	5,425	11.82%	
ESAU HERRERA	5,104	11.12%	
BRENDA ZENDEJAS	4,868	10.61%	
BRUCE HUYNH	4,197	9.15%	
BERRYESSA UNION SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 41 of 41
DAVID COHEN	13,440	30.77%	
THELMA BOAC	10,220	23.40%	
HUGO JIMENEZ	10,067	23.05%	
LONG NGUYEN	8,542	19.56%	
JENNIFER IMHOFF	1,403	3.21%	
CAMBRIAN SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 21 of 21
JAROD MIDDLETON	5,642	29.32%	
CAROL PRESUNKA	4,757	24.72%	
RANDY SCOFIELD	4,674	24.29%	
TERESA CALVERT	4,170	21.67%	
CUPERTINO SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 76 of 76
LORI CUNNINGHAM	28,675	32.97%	
JERRY LIU	26,332	30.28%	
SATHEESH KUMAR MADHATHIL	18,237	20.97%	
WIL FLUEWELLING	13,721	15.78%	
EVERGREEN SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 56 of 56
CHRISTOPHER CORPUS	14,793	24.15%	
MARISA HANSON	13,993	22.84%	
JIM ZITO	12,185	19.89%	
PATTI ANDRADE	10,289	16.80%	
BALAJI VENKATRAMAN	10,000	16.32%	
FRANKLIN-MCKINLEY SD, SHORT TERM - GBM		Number to Vote For: 3	Completed Precincts: 44 of 44
MAIMONA AFZAL BERTA	10,689	66.91%	
SYLVIA ORNELAS-WISE	5,285	33.09%	

OFFICIAL FINAL RESULTS

Cumulative Totals

November 6, 2018

LOMA PRIETA JOINT UNION SD - GBM		Number to Vote For: 3	Completed Precincts: 6 of 6	DEANA ARNOLD BEN ABELN RONALD A. BOURQUE LEAH ROGERS	318 30.20% 307 29.15% 245 23.27% 183 17.38%
LOMA PRIETA JOINT UNION SD, SHORT TERM - GBM		Number to Vote For: 3	Completed Precincts: 6 of 6	MARCO V. MENENDEZ LILA JONES TURKALJ KEVIN HAGEDORN	181 43.41% 161 38.61% 75 17.99%
LOS ALTOS SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 36 of 36	BRYAN JOHNSON VLADIMIR G. IVANOVIC VAISHALI "SHALI" SIRKAY YING LIU	13,165 31.43% 11,046 26.37% 9,757 23.29% 7,917 18.90%
LUTHER BURBANK SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 4 of 4	NORMA CASTANEDA VINCENT ROBINSON ADRIANA RODRIGUEZ RIOS GUADALUPE REYES ERICKA JAZMIN GUZMAN CHRIS PULA	566 32.32% 292 16.68% 249 14.22% 230 13.14% 223 12.74% 191 10.91%
MOUNTAIN VIEW WHISMAN SD - GBM		Number to Vote For: 2	Completed Precincts: 32 of 32	DEVON CONLEY ELLEN WHEELER TAMARA BECHER PATTERSON GREG COLADONATO	11,001 34.56% 8,799 27.64% 6,657 20.91% 5,373 16.88%
MOUNT PLEASANT SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 18 of 18	BRENDA SERRANO BETTY MARTINEZ ROBERT (BOB) RAMIREZ GAIL TREMAINE BALTAZAR LOPEZ YESENIA PINEDA MORENO JESSE ESQUIVEL	2,329 21.30% 1,986 18.17% 1,599 14.63% 1,398 12.79% 1,371 12.54% 1,242 11.36% 1,008 9.22%
NORTH COUNTY JOINT UNION SD - GBM		Number to Vote For: 2	Completed Precincts: 1 of 1	CINDY KING TED BRIAN ZANELLA EDUARDO NAVARRO	28 42.42% 23 34.85% 15 22.73%
OAK GROVE SCHOOL DISTRICT, TA 2 - GBM		Number to Vote For: 3	Completed Precincts: 10 of 10	TAMI C. MOORE DIEGO ALFREDO MARTINEZ	2,233 50.16% 2,219 49.84%
OAK GROVE SCHOOL DISTRICT, TA 3 - GBM		Number to Vote For: 3	Completed Precincts: 11 of 11	JORGE PACHECO, JR. DENNIS D. HAWKINS KAUSHIK C. JOGLEKAR	3,295 52.84% 2,563 41.10% 378 6.06%
OAK GROVE SCHOOL DISTRICT, TA 5 - GBM		Number to Vote For: 3	Completed Precincts: 14 of 14	BRIAN LOBUE ROBERTO PLAMENCO CAROLYN M. BAUER	3,331 55.70% 1,411 23.60% 1,238 20.70%
ORCHARD SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 8 of 8	JEFF TANG STEPHANIE HILL JOSEPH J. ZANONE NIDA MORAGAS SPETTER	1,539 28.88% 1,420 26.65% 1,188 22.29% 1,182 22.18%
SARATOGA UNION SCHOOL DISTRICT - GBM		Number to Vote For: 3	Completed Precincts: 32 of 32	CYNTHIA MILLER PHYLLIS TUNG ERIC CAO RESHMA HYDER	6,079 34.27% 4,561 25.71% 4,085 23.03% 3,015 17.00%

OFFICIAL FINAL RESULTS

Cumulative Totals

GENERAL ELECTION

November 6, 2018

SUNNYVALE SCHOOL DISTRICT - GBM		CITY OF CAMPBELL - COUNCIL MEMBER		CITY OF LOS ALTOS - COUNCIL MEMBER	
Number to Vote For: 3	37 of 37	Number to Vote For: 3	23 of 23	Number to Vote For: 2	22 of 22
Completed Precincts:		Completed Precincts:		Completed Precincts:	
MICHELLE MAGINOT BRIDGET WATSON JEFF ARNETT ANITA HERRMANN	12,209 29.07% 11,656 27.75% 9,299 22.14% 8,841 21.05%	ELIZABETH "LIZ" GIBBONS PAUL RESNIKOFF ANNE COYNE BYBEE ANNE SOUZA DARAUS R. SORABJI	8,323 26.02% 7,128 22.29% 6,564 20.52% 5,916 18.50% 4,051 12.67%	NEYSA FLIGOR ANITA ENANDER JEAN (JOHN) H. MORDO NANCY BREMEAU TERESA MORRIS	8,359 32.81% 5,154 20.23% 5,023 19.72% 4,682 18.38% 2,259 8.87%
UNION SCHOOL DISTRICT - GBM		CITY OF CUPERTINO - COUNCIL MEMBER		TOWN OF LOS ALTOS HILLS - COUNCIL MEMBER	
Number to Vote For: 3	30 of 30	Number to Vote For: 3	30 of 30	Number to Vote For: 2	8 of 8
Completed Precincts:		Completed Precincts:		Completed Precincts:	
SHEILA BILLINGS DOUGLAS EVANS THOMAS E. ROSSMEISL STEVE STOUT	10,692 35.26% 7,598 25.06% 7,073 23.32% 4,961 16.36%	DARCY PAUL LIANG-FANG "LIANG" CHAO JON ROBERT WILLEY SAVITA VAIDHYANATHAN HUNG WEI ORRIN MAHONEY TARA SREEKRISHNAN TIM GORSULOWSKY	9,518 18.09% 8,529 16.21% 7,400 14.06% 7,355 13.98% 7,116 13.52% 6,580 12.51% 4,937 9.38% 1,181 2.24%	KAVITA TANKHA GEORGE K. TYSON NEIL FERRARI	3,015 45.95% 2,013 30.68% 1,534 23.38%
COUNTY SUPERVISOR, DISTRICT 4		CITY OF GILROY - COUNCIL MEMBER		TOWN OF LOS GATOS - COUNCIL MEMBER	
Completed Precincts:	199 of 199	Number to Vote For: 3	25 of 25	Number to Vote For: 3	26 of 26
SUSAN ELLENBERG DON ROCHA	65,227 59.21% 44,942 40.79%	Completed Precincts:		Completed Precincts:	
SHERIFF		MARIE BLANKLEY PETER LEROE-MUNOZ DION BRACCO TOM FISCHER REID LERNER TIM RENGGLI	8,938 25.25% 6,624 18.71% 6,527 18.44% 5,539 15.65% 4,180 11.81% 3,589 10.14%	ROB RENNIE MARICO SAYOC STEVEN LEONARDIS LARRY MAGGIO	9,108 31.12% 8,325 28.45% 7,428 25.38% 4,406 15.05%
LAURIE SMITH JOHN HIROKAWA		CITY OF GILROY, SHORT TERM - COUNCIL MEMBER		CITY OF MILPITAS - MAYOR	
Completed Precincts:	1,098 of 1,098	Completed Precincts:	25 of 25	Completed Precincts:	33 of 33
294,500 55.74% 233,877 44.26%		CAROL MARQUES DANIEL HARNEY	8,693 59.30% 5,966 40.70%	RICH TRAN JOSE 'JOE' ESTEVES BOB NUNEZ VOLTAIRE S. MONTEMAYOR YOON IL LEE	8,928 48.02% 4,758 25.59% 3,460 18.61% 787 4.23% 659 3.54%

OFFICIAL FINAL RESULTS

Cumulative Totals

GENERAL ELECTION

November 6, 2018

CITY OF MILPITAS - COUNCIL MEMBER		CITY OF MORGAN HILL, DISTRICT D - COUNCIL MEMBER		CITY OF SAN JOSE, DISTRICT 9 - COUNCIL MEMBER	
Number to Vote For: 2	Completed Precincts: 33 of 33	Completed Precincts: 8 of 8		Completed Precincts: 55 of 55	
CARMEN MONTANO KARINA DOMINGUEZ GARRY BARBADILLO MARSHA GRILLI VAN LAN TRUONG SURAJ "SUN" VISWANATHAN ROBERT MARINI TIMOTHY ALCORN	5,898 19.50% 5,748 19.01% 5,057 16.72% 4,406 14.57% 3,297 10.90% 2,240 7.41% 1,900 6.28% 1,694 5.60%	JOHN K. MCKAY JULIE MAKRAI HUTCHESON MARILYN LIBRERS		PAM FOLEY KALEN GALLAGHER	
		1,687 37.06% 1,641 36.05% 1,224 26.89%		15,930 50.82% 15,414 49.18%	
CITY OF MONTE SERENO - COUNCIL MEMBER		CITY OF MOUNTAIN VIEW - COUNCIL MEMBER		CITY OF SANTA CLARA - MAYOR	
Number to Vote For: 3	Completed Precincts: 5 of 5	Number to Vote For: 3		Completed Precincts: 55 of 55	
LIZ LAWLER SHAWN LEUTHOLD JAVED I. ELLAHIE EVERT WOLSHEIMER BENJAMIN MACLEAN RONALD LESNIAK	897 22.73% 895 22.68% 735 18.63% 670 16.98% 390 9.88% 359 9.10%	ELLEN KAMEI LUCAS RAMIREZ ALISON HICKS PAT SHOWALTER LEONARD M. "LENNY" SIEGEL JOHN INKS		LISA M. GILLMOR ANTHONY J. BECKER	
		11,916 19.00% 11,397 18.17% 11,129 17.74% 11,032 17.59% 9,929 15.83% 7,319 11.67%		23,334 74.17% 8,127 25.83%	
CITY OF MORGAN HILL - MAYOR		CITY OF PALO ALTO - COUNCIL MEMBER		CITY OF SANTA CLARA, DISTRICT 2 - COUNCIL MEMBER	
Completed Precincts: 27 of 27	Completed Precincts: 42 of 42	Number to Vote For: 3		Completed Precincts: 10 of 10	
RICH CONSTANTINE GREG SELLERS KIRK R. BERTOLET	7,243 46.51% 6,642 42.65% 1,688 10.84%	ALISON CORMACK TOM DUBOIS ERIC FILSETH CORY WOLBACH PAT BOONE		RAJ CHAHAL NANCY A. BIAGINI MARIO BOUZA	
		18,581 28.57% 15,454 23.76% 14,865 22.86% 11,692 17.98% 4,446 6.84%		2,690 53.35% 1,859 36.87% 493 9.78%	
CITY OF MORGAN HILL, DISTRICT B - COUNCIL MEMBER		CITY OF SAN JOSE, DISTRICT 7 - COUNCIL MEMBER		CITY OF SANTA CLARA, DISTRICT 3 - COUNCIL MEMBER	
Completed Precincts: 8 of 8	Completed Precincts: 41 of 41	Completed Precincts: 8 of 8		Completed Precincts: 55 of 55	
YVONNE MARTINEZ BELTRAN MATT LOEWENSTEIN KEN MURRAY	1,766 52.83% 936 28.00% 641 19.17%	MAYA ESPARZA TAM NGUYEN		KAREN HARDY SRINIVASAN SAMBATHKUMAR	
		11,165 54.15% 9,452 45.85%		3,578 71.22% 1,446 28.78%	
				CITY OF SANTA CLARA, SHORT TERM - CITY CLERK	
				HOSAM HAGGAG ROBERT J. O'KEEFE ROSEANN LACOURSIERE	
				7,079 25.01% 6,662 23.54% 4,952 17.50%	

OFFICIAL FINAL RESULTS

Cumulative Totals

November 6, 2018

CITY OF SANTA CLARA, SHORT TERM - CITY CLERK	Completed Precincts: 55 of 55	PETA ROBERTS CHRISTOPHER R. STAMPOLIS THOMAS MACDEVITT	4,231 14.95% 3,604 12.73% 1,776 6.27%
CITY OF SARATOGA - COUNCIL MEMBER	Number to Vote For: 3 Completed Precincts: 26 of 26	RISHI KUMAR MARY-LYNNE BERNALD YAN ZHAO CORINNE VITA ANJALI KAUSAR	9,859 29.62% 8,321 25.00% 6,888 20.70% 4,612 13.86% 3,600 10.82%
CITY OF SUNNYVALE, SEAT 1 - COUNCIL MEMBER	Completed Precincts: 65 of 65	GUSTAV LARSSON HENRY ALEXANDER, III	22,316 60.38% 14,646 39.62%
CITY OF SUNNYVALE, SEAT 2 - COUNCIL MEMBER	Completed Precincts: 65 of 65	GLENN HENDRICKS JOSH GROSSMAN	20,639 56.06% 16,178 43.94%
CITY OF SUNNYVALE, SEAT 3 - COUNCIL MEMBER	Completed Precincts: 65 of 65	MASON FONG JOHN CORDES	20,230 55.12% 16,473 44.88%
SANTA CLARA VALLEY WATER, DISTRICT 6 - DIRECTOR	Completed Precincts: 118 of 118	TONY ESTREMERA OMAR TORRES	26,131 56.15% 20,404 43.85%
EL CAMINO HEALTHCARE DISTRICT - DIRECTOR	Number to Vote For: 2 Completed Precincts: 109 of 109	PETER C. FUNG GEORGE O. TING MIKE KASPERZAK JAMES DAVIS	37,880 38.18% 36,674 36.97% 16,637 16.77% 8,019 8.08%
MIDPENINSULA REGIONAL OPEN SPACE DIST, #1-DIRECTOR	Completed Precincts: 101 of 101	PETE SIEMENS MIKE BUNCIC	24,030 65.99% 12,382 34.01%
MIDPENINSULA REGIONAL OPEN SPACE DIST, #5-DIRECTOR	Completed Precincts: 39 of 39	KAREN HOLMAN	14,603 70.15%
MIDPENINSULA REGIONAL OPEN SPACE DIST, #5-DIRECTOR	Completed Precincts: 39 of 39	GREG SCHARFF	6,215 29.85%
SANTA CLARA VALLEY OPEN SPACE AUTHORITY - DIRECTOR	Completed Precincts: 74 of 74	MIKE POTTER ANTHONY MACIAS	19,446 64.54% 10,684 35.46%
RANCHO RINCONADA REC AND PARK DISTRICT - DIRECTOR	Number to Vote For: 2 Completed Precincts: 2 of 2	SOPHIA BADILLO STEVEN M. WESOLOWSKI JORDAN DANIEL ELDRIDGE	649 38.18% 619 36.41% 432 25.41%
PROPOSITION 1 - HOUSING ASSISTANCE BONDS	Completed Precincts: 1,098 of 1,098	YES NO	357,419 59.85% 239,756 40.15%
PROPOSITION 2 - MENTAL HEALTH SERVICES ACT AMEND	Completed Precincts: 1,098 of 1,098	YES NO	395,151 66.06% 203,041 33.94%

OFFICIAL FINAL RESULTS

Cumulative Totals

November 6, 2018

PROPOSITION 3 - WATER QUALITY INITIATIVE	Completed Precincts: 1,098 of 1,098	PROPOSITION 8 - OUTPATIENT DIALYSIS CLINIC BILLING	Completed Precincts: 1,098 of 1,098	MEASURE C-CITY OF LOS ALTOS-GENERAL PLAN AMENDMENT	Completed Precincts: 22 of 22
YES 313,841 53.04%		NO 322,779 55.04%		NO 8,542 53.04%	
NO 277,861 46.96%		YES 263,653 44.96%		YES 7,562 46.96%	
PROPOSITION 4 - CHILDRENS HOSPITAL BONDS	Completed Precincts: 1,098 of 1,098	PROPOSITION 10 - LOCAL RENT CONTROL	Completed Precincts: 1,098 of 1,098	MEASURE D-CITY OF LOS ALTOS-TRANS OCCUPANCY TAX	Completed Precincts: 22 of 22
YES 379,578 63.92%		NO 337,601 56.33%		YES 9,141 58.94%	
NO 214,273 36.08%		YES 261,691 43.67%		NO 6,369 41.06%	
PROPOSITION 5 - PROPERTY TAX TRANSFER INITIATIVE	Completed Precincts: 1,098 of 1,098	PROPOSITION 11 - AMBULANCE INITIATIVE	Completed Precincts: 1,098 of 1,098	MEASURE E-CITY OF PALO ALTO-TRANS OCCUPANCY TAX	Completed Precincts: 42 of 42
NO 350,829 59.71%		YES 361,363 61.24%		YES 20,547 68.96%	
YES 236,749 40.29%		NO 228,702 38.76%		NO 9,249 31.04%	
PROPOSITION 6 - TRANSPORTATION FUNDING INITIATIVE	Completed Precincts: 1,098 of 1,098	PROPOSITION 12 - FARM ANIMAL CONFINEMENT	Completed Precincts: 1,098 of 1,098	MEASURE F-CITY OF PALO ALTO-HEALTH CARE COSTS INIT	Completed Precincts: 42 of 42
NO 406,249 68.20%		YES 399,445 67.60%		AGAINST THE ORDINANCE 22,463 76.49%	
YES 189,463 31.80%		NO 191,468 32.40%		FOR THE ORDINANCE 6,905 23.51%	
PROPOSITION 7 - CHANGE TO DAYLIGHT SAVINGS TIME	Completed Precincts: 1,098 of 1,098	MEASURE A-COUNTY OF SANTA CLARA-SALES TAX	Completed Precincts: 1,098 of 1,098	MEASURE G-TOWN OF LOS GATOS-GENERAL REVENUE TAX	Completed Precincts: 26 of 26
YES 345,793 58.76%		YES 433,171 74.20%		YES 8,062 54.65%	
NO 242,696 41.24%		NO 150,582 25.80%		NO 6,691 45.35%	

OFFICIAL FINAL RESULTS

Cumulative Totals

November 6, 2018

MEASURE H-CITY OF MORGAN HILL-TRANS OCCUPANCY TAX	Completed Precincts: 27 of 27	YES NO	13,008 79.23% 3,409 20.77%	MEASURE M-CITY OF SANTA CLARA-CANNABIS TAX	Completed Precincts: 55 of 55	YES NO	25,613 76.68% 7,789 23.32%	MEASURE R-CITY OF MILPITAS-TRANSIENT OCCUPANCY TAX	Completed Precincts: 33 of 33	YES NO	12,552 67.76% 5,971 32.24%
MEASURE I-CITY OF MORGAN HILL-CANNABIS TAX	Completed Precincts: 27 of 27	YES NO	12,765 79.06% 3,380 20.94%	MEASURE N-CITY OF SANTA CLARA-CHARTER AMENDMENT	Completed Precincts: 55 of 55	YES NO	21,902 70.40% 9,208 29.60%	MEASURE S-CITY OF SAN JOSE-CONSTRUCTION CONTRACTS	Completed Precincts: 501 of 501	YES NO	218,424 79.48% 56,377 20.52%
MEASURE J-CITY OF MORGAN HILL-APPOINTEE POSITIONS	Completed Precincts: 27 of 27	YES NO	9,437 61.92% 5,804 38.08%	MEASURE O-CITY OF CAMPBELL-GENERAL OBLIGATION BOND	Completed Precincts: 23 of 23	YES NO	11,071 69.60% 4,836 30.40%	MEASURE T-CITY OF SAN JOSE-PUBLIC SAFETY BOND	Completed Precincts: 501 of 501	YES NO	197,110 70.95% 80,687 29.05%
MEASURE K-CITY OF SUNNYVALE-TRANS OCCUPANCY TAX	Completed Precincts: 65 of 65	YES NO	32,743 78.53% 8,951 21.47%	MEASURE P-CITY OF MOUNTAIN VIEW-BUSINESS TAX	Completed Precincts: 35 of 35	YES NO	19,011 71.05% 7,747 28.95%	MEASURE U-CITY OF SAN JOSE-SALARY ADJUSTMENTS	Completed Precincts: 501 of 501	YES NO	232,246 85.93% 38,034 14.07%
MEASURE L-CITY OF SUNNYVALE-CHARTER AMENDMENT	Completed Precincts: 65 of 65	YES NO	28,163 71.38% 11,290 28.62%	MEASURE Q-CITY OF MOUNTAIN VIEW-CANNABIS TAX	Completed Precincts: 35 of 35	YES NO	21,694 81.42% 4,952 18.58%	MEASURE V-CITY OF SAN JOSE-AFFORDABLE HOUSING BOND	Completed Precincts: 501 of 501	YES NO	177,525 64.01% 99,816 35.99%

OFFICIAL FINAL RESULTS

Cumulative Totals

November 6, 2018

MEASURE W-WEST VALLEY-MISSION CCD-SCHOOL BOND Completed Precincts: 269 of 269 BONDS YES 83,285 60.46% BONDS NO 54,477 39.54%	MEASURE BB-SANTA CLARA UNIFIED SD-SCHOOL BOND Completed Precincts: 66 of 66 BONDS YES 27,512 67.35% BONDS NO 13,339 32.65%	MEASURE JJ-MOUNT PLEASANT SD-SCHOOL BOND Completed Precincts: 18 of 18 BONDS YES 3,932 70.33% BONDS NO 1,659 29.67%
MEASURE X-GAVILAN JOINT CCD-SCHOOL BOND Completed Precincts: 120 of 120 BONDS YES 26,799 62.47% BONDS NO 16,103 37.53%	MEASURE CC-FREMONT UNION HIGH SD-SCHOOL BOND Completed Precincts: 114 of 114 BONDS YES 44,844 64.61% BONDS NO 24,566 35.39%	
MEASURE Y-PALO ALTO UNIFIED SD-TERM LIMITS Completed Precincts: 47 of 47 YES 24,009 73.19% NO 8,796 26.81%	MEASURE EE-EVERGREEN SD-PARCEL TAX Completed Precincts: 56 of 56 YES 21,009 70.21% NO 8,916 29.79%	
MEASURE Z-PALO ALTO UNIFIED SD-SCHOOL BOND Completed Precincts: 47 of 47 BONDS YES 23,013 69.36% BONDS NO 10,166 30.64%	MEASURE GG-SUNNYVALE SD-SCHOOL BOND Completed Precincts: 37 of 37 BONDS YES 15,771 70.92% BONDS NO 6,468 29.08%	
MEASURE AA-MILPITAS UNIFIED SD-SCHOOL BOND Completed Precincts: 39 of 39 BONDS YES 13,479 71.41% BONDS NO 5,397 28.59%	MEASURE HH-LUTHER BURBANK SD-SCHOOL BOND Completed Precincts: 4 of 4 BONDS YES 668 69.22% BONDS NO 297 30.78%	

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

November 19, 2018

Subject: TERMINATION OF LEAL TREJO, APC CONTRACT

Termination of contract with Alum Rock Union Elementary
School District

INFORMATION/DISCUSSION/ACTION

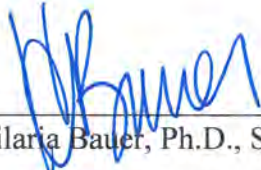
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

8.03

Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

December 3, 2018

Subject: LOZANO SMITH, GENERAL COUNSEL ON TEMPORARY BASIS

The Board will authorize staff to utilize the current contract with Lozano Smith as General Counsel on a temporary basis until the new General Counsel is hired.

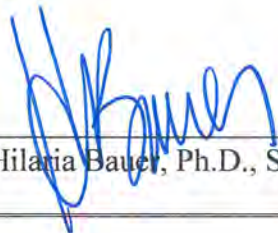
INFORMATION/DISCUSSION/ACTION

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

8.04
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

December 6, 2018

**Subject: CONSTRUCTION MANAGEMENT AGREEMENT FOR MEASURE J.
TERMINATE CONTRACT BETWEEN ALUM ROCK SCHOOL
DISTRICT (ARUSD) AND DEL TERRA REAL ESTATE SERVICES,
INC., DBA DEL TERRA GROUP.**

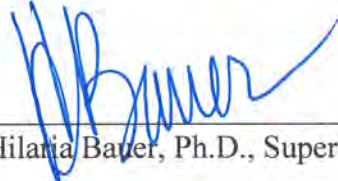
INFORMATION/DISCUSSION/ACTION

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

8.05
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.06

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

December 6, 2018

Subject:

**CONSTRUCTION MANAGEMENT AGREEMENT FOR MEASURE I.
TERMINATE CONTRACT BETWEEN ALUM ROCK SCHOOL
DISTRICT (ARUSD) AND DEL TERRA REAL ESTATE SERVICES,
INC., DBA DEL TERRA GROUP.**

INFORMATION/DISCUSSION/ACTION

Submitted by: Hilaria Bauer

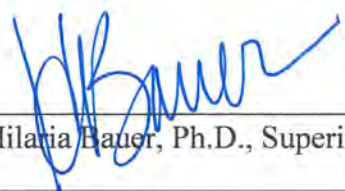
Title: Superintendent

To the Board of Trustees:

Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

8.06
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____

Seconded by: _____

Approved: _____

Not Approved: _____

Tabled: _____

8.07

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

December 6, 2018

Information/Discussion/Action

Hilaria Bauer, Ph.D., Superintendent

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.08

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

December 1, 2018

Subject: PROPOSED REGULAR BOARD MEETING CALENDAR FOR 2019

January 17	May 9	September 12
February 14	June 13 June 27	October 10
March 14	July 11 (if needed)	November 14
April 11	August 8	December 12

Information/Discussion/Action

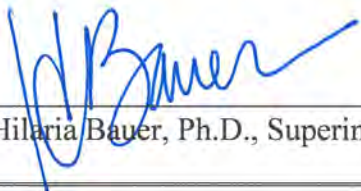
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

8.08

Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

PROPOSED BOARD MEETING CALENDAR FOR 2019

Meetings are normally scheduled to be held at the Alum Rock School District, 2930 Gay Avenue, San Jose, California, on the **SECOND THURSDAY** of each month at 5:30 p.m. Open Session will resume approximately 60 minutes after the start of Closed Session. Dates and location are subject to change to accommodate holidays and other conflicts in scheduling. Notification of any changes in dates, times or location will be publicized.

MONTH	DATE	DAY OF WEEK
2018-2019 School Year		
January	17	3 rd Thursday
February	14	2 nd Thursday
March	14	2 nd Thursday
April	11	2 nd Thursday
May	09	2 nd Thursday
June June	13 27	2 nd Thursday 4 th Thursday
2019-2020 School Year		
July	11 (if needed)	2 nd Thursday
August	08	2 nd Thursday
September	12	2 nd Thursday
October	10	2 nd Thursday
November	14	2 nd Thursday
December	12	2 nd Thursday

Proposed Board Meeting Calendar – Subject to change at the December 13, 2018 Organizational Board Meeting

2019

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

8.09

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

December 3, 2018

Subject: BOARD RETREATS AND GOVERNANCE TEAM TRAININGS

The Board will discuss the merits of scheduling and conducting a series of Board Retreats and Governance Team Trainings. Proposed meetings/dates include the following study Sessions:

- (1) Ethics including The Brown Act and Robert's Rules of Order: January 24, 25, or 26
- (2) Goal Setting/Values, Series 1: March 21 or 22
- (3) Goal Setting/Decision-Making, Series 2: April 4 or 5
- (4) Facilities Assessment, Series 1: May 2, 3, or 4
- (5) Facilities Assessment, Series 2: May 16, 17, or 18

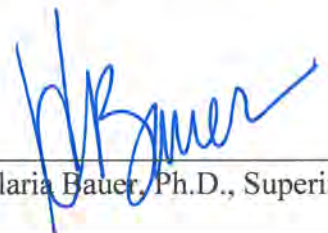
Information/Discussion/Action

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

8.09
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

December 3, 2018

Subject: CALL FOR NOMINATIONS TO CSBA'S DELEGATE ASSEMBLY

Nomination and candidate biographical sketch forms are now being accepted until Monday, January 7, 2019. Elected Delegates serve a two-year term beginning April 1, 2019 through March 31, 2021.

Information/Discussion/Action

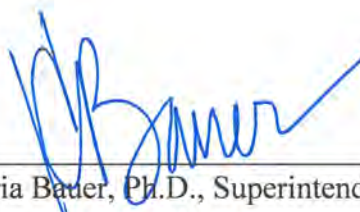
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

8.10
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____



October 26, 2018

MEMORANDUM

To: CSBA Member Boards
From: Mike Walsh, CSBA President
Re: Call for Nominations to CSBA's Delegate Assembly

DEADLINE: Monday, January 7, 2019

IF NOMINATING, BOARD ACTION REQUIRED

Please deliver to all governing board members. See envelope label for Region/Subregion number or visit

<https://www.csba.org/About/Leadership/CSBARegions>

Each year, member boards elect representatives to the California School Boards Association's Delegate Assembly. The Delegate Assembly is a vital link in the Association's governance and sets the general policy direction. Working with member boards, the Board of Directors, and Executive Committee, Delegates ensure that the Association promotes the interests of California's school districts and county offices of education.

Nomination and candidate biographical sketch forms for CSBA's Delegate Assembly are now being accepted until **Monday, January 7, 2019**. Nomination instructions are listed below:

- Any CSBA member board is eligible to nominate board members within their geographical region or subregion.
- A member board may nominate as many individuals as it chooses, but only one nomination form per nominee.
- All nominees must serve on a CSBA member boards and must give their approval prior to being nominated.
- All nominees must submit a **one-page, single-sided**, candidate biographical sketch form.
- An optional one-page, one-sided résumé may also be submitted, but cannot be substituted for the biographical sketch form.
- It is the nominee's responsibility to confirm that all nomination materials have been received deadline. Late submissions will not be accepted.
- The nomination form, candidate biographical sketch form, and optional resume may be returned by Monday, January 7, 2019, via the following methods. Please choose only one method:
 - E-mail to nominations@csba.org by 11:59 p.m.
 - Fax to (916) 371-3407 by 11:59 p.m.
 - Postmarked by U.S.P.S. no later than January 7, 2019.

Elected Delegates serve a two-year term beginning April 1, 2019 through March 31, 2021. There are two required Delegate Assembly meetings each year. In 2019, the dates are May 18-19 in Sacramento and November 4-5 in San Diego. *District eligible to appoint a member of their board to the Delegate Assembly will receive a separate communication from CSBA.* However, districts that appoint may also nominate board members to run for the Delegate Assembly.

The nomination form and current list of CSBA Delegates with their expiration terms are attached. Terms that end in 2019 are up for election. All materials related to the nomination process for elected Delegates are available to download at www.csba.org/ElectionToDA. For more information, please contact the Executive Office at (800) 266-3382. Thank you.

Enclosures: Nomination Form, Candidate Biographical Sketch Form, Delegate Assembly Roster

S:\EO\Nominations & Elections\DA\For 2019 elections\Nominations\nominations memo.docx



IMPORTANT DELEGATE ASSEMBLY NOMINATION AND ELECTION 2019 DATES

Important 2019 Dates:

- **Monday, January 7:** U.S.P.S. postmarked, fax, or email deadline for *required* Nomination and Candidate Biographical Sketch Forms
- By Friday, February 1: Ballots mailed to Member Boards
- February 1 – March 15: Boards vote for Delegates
- Friday, March 15: Deadline for the ballots to be returned to CSBA (U.S.P.S. POSTMARK ONLY)
- By Saturday, March 30: Ballots to be tallied
- By Monday, April 1: Election results, except for run-offs, posted on CSBA's Web site
- Tuesday, April 30: Deadline for run-off ballots to be returned to CSBA (U.S.P.S. POSTMARK ONLY)

CSBA Delegate Assembly Meeting Dates in 2018

- Saturday, May 18 – Sunday, May 19, Sacramento
- Wednesday, December 4 – Thursday, December 5, San Diego



2019 Delegate Assembly Nomination Form

DUE Monday, January 7, 2019

E-mail to nominations@csba.org or, fax (916) 371-3704 or, Mail to: CSBA Exec. Office | 3251 Beacon Bl., W. Sacramento, 95691.

CSBA Region/subregion # _____

The Board of Education of the _____ voted to
(Nominating District)
nominate _____ The nominee is a member of the
(Nominee)
_____, which is a member of the California
(Nominee's Board)
School Boards Association.

- ☐ The nominee has consented to this nomination.
- ☐ Attached is the nominee's required one-page, single-sided, candidate biographical sketch form and optional one-page, single-sided résumé, if submitted.
- ☐ The nominee's required one-page, single-sided, candidate biographical sketch form and optional one-page, single-sided résumé, if submitted, will be sent by Monday, January 7, 2019.

Board Clerk or Board Secretary (signed)

Date

Board Clerk or Board Secretary (printed)

PLEASE NOTE: This nomination form and nominee's candidate biographical sketch form are both due Monday, January 7, 2019. They may be emailed to nominations@csba.org, or faxed to (916) 371-3407 by 11:59 p.m., or mailed to CSBA, Attn: Executive Office, 3251 Beacon Blvd., West Sacramento, CA 95691, postmarked by the U.S.P.S. no later than **Monday, January 7, 2019**. *It is the nominee's responsibility to confirm that all nomination materials have been received by the CSBA Executive Office by the due date. Late submissions cannot be accepted.* Please contact CSBA's Executive Office (800) 266-3382, should you have any questions. Thank you.

2019 Delegate Assembly Candidate Biographical Sketch Form

DUE: Monday, January 7, 2019 – no late submissions accepted

Please complete, sign, and date this required ONE-page candidate biographical sketch form. An optional, ONE-page, single-sided, résumé may also be submitted; both will be copied exactly as received. Please do not state “see résumé” and do not re-type this form. It is the candidate’s responsibility to confirm that all nomination materials have been received by the CSBA Executive Office.

Your signature indicates your consent to have your name placed on the ballot and to serve as a Delegate, if elected.

Signature: _____ **Date:** _____

Name: _____ CSBA Region & subregion #: _____
 District or COE: _____ Years on board: _____
 Profession: _____ Contact Number (please v ☐ Cell ☐ Home ☐ Bus.): _____
 *Primary E-mail: _____
 (*Communications from CSBA will be sent to primary email)
 Are you an incumbent Delegate? ☐ Yes ☐ No | If yes, year you became Delegate: _____

Why are you interested in becoming a Delegate? Please describe the skills and experiences you would bring to the Delegate Assembly.

Please describe your activities and involvement on your local board, community, and/or CSBA.

What do you see as the biggest challenge facing governing boards and how can CSBA help address it?

E-mail: nominations@csba.org, or fax to (916) 371-3407, or US Mail to: CSBA | Attn: Executive Office | 3251 Beacon Blvd., West Sacramento, CA 95691. Please only submit biosketch form via one of these modes only; do not send multiple times. If you have any questions, please contact the Executive Office at (800) 266-3382.

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

December 3, 2018

Subject:

**NAMING THE BOARD REPRESENTATIVE FOR THE
ANNUAL COUNTY COMMITTEE ELECTION FOR 2019**

The California Education Code requires that the Governing Board of each school district, at its annual initial meeting, select one of its members to vote in the election for members of the County Committee on School District Organization.

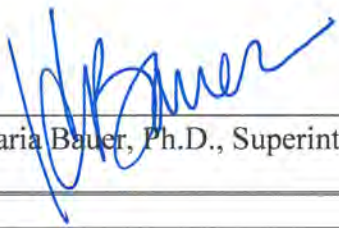
Information/Discussion/Action

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 13, 2018
Organizational Board Meeting

Information/Discussion/Action

8.11
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____



Santa Clara County Office of Education

Mary Ann Dewan, Ph.D.
County Superintendent of Schools

November 20, 2018

TO: District Superintendents
School District Board Presidents

FROM: Suzanne Carrig, Office of the Superintendent

SUBJECT: NAMING THE BOARD REPRESENTATIVE FOR THE COUNTY
COMMITTEE

Enclosed is a copy of the certificate for this year's naming of the County Committee Board Representative. The purpose of the certificate is to name a board member to complete the County Committee Election ballot that comes to each district in late October/early November (unless there's no election like this year); the board member indicated on this form will vote in the 2019 County Committee election. ***The County Committee Board Representative is NOT required to attend County Committee meetings, only vote in the election.*** Instructions for completing and returning the certificate are contained in the document. Forms can be emailed back to suzanne_carrig@sccoe.org. Please return certificates by the end of February 2019.

If you have any questions regarding this matter, please contact me via email or at (408) 453-6869. Thank you.

**SCHOOL DISTRICT
CERTIFICATE
NAMING THE BOARD REPRESENTATIVE
FOR THE COUNTY COMMITTEE ELECTION**

The California Education Code requires that the governing board of each school district, at its annual initial meeting, select one of its members to vote in the election for members of the County Committee on School District Organization. The relevant Education Code Section is as follows:

§ 35023. Election of representative for county committee

The governing board of each school district of every kind or class shall annually at its initial meeting select one of its members as its representative who shall have one vote for each member to be elected to the county committee as provided by Article 1 (commencing with Section 4000 of Chapter 1 of Part 3). The secretary or clerk of the district shall furnish the county superintendent with a certificate naming the representative selected by the board.

To comply with the Education Code, please provide the information requested below and return to the address below.

Name of School District _____

**Name of Board Member
Selected to Vote in the
County Committee Election** _____

**Date of the Board Meeting
at Which the Above Board
Member was Selected** _____

**Signature of the
Secretary or Clerk
of the District** _____

Please return this Certificate to:

**Suzanne Carrig
Mail Code 243
Santa Clara County Office of Education
1290 Ridder Park Drive
San Jose, CA 95131
Fax: (408) 453-6525 email: suzanne_carrig@sccoe.org**

If you have any questions regarding this procedure, please call (408) 453-6869.

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: November 28, 2018

Subject: FCMAT and Fiscal Expert Updates

Staff Analysis: The Board is requesting to have an updated status on the FCMAT Report and the requested documents. Assistant Superintendent of Business Services will provide a FCMAT update.

Dr. Donald Zimring, Fiscal Expert and Dr. William Gillaspie, Fiscal Advisor, in conjunction with Assistant Superintendent, Kolvira Chheng, will provide a Fiscal Expert update to the Board.

INFORMATION / DISCUSSION

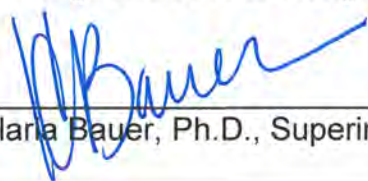
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

INFORMATION / DISCUSSION

9.01
Agenda Placement


Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

December 7, 2018

Subject: Resolution No. 13-18/19
Supplemental Employee Retirement Plan (SERP)

Staff Analysis: California Government Code Section 53224 authorizes school districts to make SERP available to its employees. The Alum Rock Union Elementary School District (ARUSD) has prepared SERP in accordance with this statute for certificated employees. This plan may be offered to our employees as a reward for their many years of service. In order for the program to be financially viable for the district, a certain number of participants are required. Once the SERP has been offered and specific eligible employees have indicated their interest, the financial calculations will need to be run to determine whether such an offer is financially viable. The SERP program would become effective for July 1, 2019.

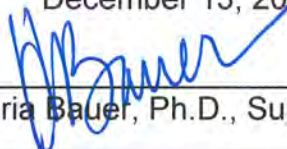
Recommendation: Staff recommends that the Board of Trustees approve Resolution No. 13-18/19 the SERP programs to be offered to employees.

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018

9.02
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
BOARD OF TRUSTEES**

RESOLUTION No. 13-18/19

SUPPLEMENTAL EMPLOYEE RETIREMENT PLAN (SERP)

On December 13, 2018 the Board of Education (the "Board") of the Alum Rock Union Elementary School District (the "District") held a meeting. All members of the Board were present except the following:

WHEREAS, California Government Code Section 53224 authorizes school districts to make contributions to retirement plans; and

WHEREAS, the ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT recommends this Supplemental Employee Retirement Plan as an element of its 2019-2020 budget mitigation plan; and

WHEREAS, the ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT desires to provide retirement benefits to its employees under such a plan; and

THEREFORE, IT IS RESOLVED, that the Board of Education of ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT hereby establishes a retirement plan for certain eligible employees of the District effective July 1, 2019.

RESOLVED FURTHER that the eligibility requirements for employees to participate in such plan shall be as follows:

- ☐ Employee must be a Certificated employee of the District.
- ☐ Employee must be 55 years of age by June 30, 2019.
- ☐ Employee must have at least five (5) years of service with the District by June 30, 2019.
- ☐ Employee must be eligible to retire from CalSTRS.
- ☐ Employee must retire from the District by June 30, 2019.
- ☐ Employee must submit a Letter of Resignation and SERP Enrollment Package by February 26, 2019.

RESOLVED FURTHER that the Board hereby adopts that certain plan known as the ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT Supplemental Employee Retirement Plan, effective July 1, 2019.

RESOLVED FURTHER that the employer contribution required to fund each participant's benefit shall equal 65% of the participant's annual salary for the participant's last school year of employment.

RESOLVED FURTHER that the ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT shall make all contributions to the Plan to fund the annuities purchased pursuant to the Plan.

RESOLVED FURTHER that, for the purposes of the limitations on contributions under the Plan, as prescribed by section 415 of the Internal Revenue Code of 1986, as amended, the "limitation year" shall be the Plan Year, as defined under the terms and provision of the Plan.

RESOLVED FURTHER that, for purposes of clarification of administration of the Plan but not for purposes of making said Plan subject to title I of ERISA, the Board hereby designates the District as the plan administrator.

RESOLVED FURTHER that the Board hereby appoints the following individuals to comprise the Plan Committee:

Jess Serna
Interim Assistant Superintendent, Human Resources

Kolvira Chheng
Assistant Superintendent, Business Services

RESOLVED FURTHER that the Board hereby authorizes any member of the Plan Committee to execute on behalf of the District the Form 2848, Power of Attorney and Declaration of Representative.

RESOLVED FURTHER that the Board hereby appoints Keenan Financial Services as the contract administrator to assist the District in the implementation and administration of the Plan.

RESOLVED FURTHER that the Board hereby authorizes and directs Jess Serna and Kolvira Chheng to take the following actions:

- A. Execute the Plan and any and all other documents necessary or proper to implement the Plan.
- B. Contract with Keenan Financial Services as contract administrator to provide all services described in the contract with a fee of 5.00% administration fee.
- C. Execute any and all documents, including any amendment to the Plan, necessary or proper to obtain and maintain IRS approval of the form of the Plan if the IRS make available a procedure for approval.
- D. Enter into any other contract or agreement which he or she deems necessary or proper to administer and/or fund the Plan and to attain and maintain income tax qualification of the Plan under the Internal Revenue Code of 1986, as amended.

PASSED AND ADOPTED this 13th day of December 2018, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

Ayes _____ Noes _____ Absent _____ Abstain _____

Board of Trustees:

Karen Martinez, Board Member	_____
Andrés Quintero, Board Member	_____
Dolores Marquez-Frausto, Board Member	_____
Linda Chavez, Board Member	_____
Ernesto Bejarano, Board Member	_____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on December 13, 2018.

Hilaria Bauer, Ph.D., Superintendent

Date

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

9.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:

Date: December 6, 2018

Subject: **Resolution No. 20-18/19**
Resolution Establishing Agreement Between the Governing Board of the Alum Rock Union Elementary School District and the Santa Clara County Superintendent of Schools Regarding Necessary Revisions for Compliance with Statutory Budget Requirements in Conjunction with the Local Control Accountability Plan (Education Code § 42127 and 52070)

Recommendation: Staff recommends that the Board of Trustees approve Resolution No. 20-18/19 as presented by staff.

***The resolution will be provided under separate cover**.*

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

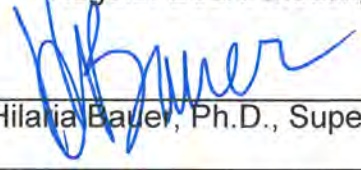
To the Board of Trustees:

Meeting: December 13, 2018
 Regular Board Meeting

Recommend Approval

9.03

Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:

Subject: 2018 – 2019 First Interim Report

Staff Analysis: The First Interim Report is one measure designed to help the County and ultimately the State to monitor each school district's fiscal health. According to the State requirements, in order to have a Positive Certification, ARUESD must project at least 3% in unrestricted reserves in each of the current and following two years. Districts that may not meet this requirement receive a qualified budget certification and Districts that do not demonstrate that they will meet this requirement receive a negative budget certification. If any problems are anticipated, the County will offer immediate fiscal management advisory services.

School districts in California are required to maintain their financial affairs in accordance with prudent financial management principles. Those principles were strengthened and codified in 1991 with the passage of AB 1200. The increased oversight provided by AB 1200 was in response to a number of school district near-bankruptcies, requests for state loans, and defaults on school district financial obligations.

The statute requires school districts to self-certify budgets, interim reports, unaudited actuals, and disclosure statements related to collective bargaining. The law also requires county offices of education (COE) to review the various certifications and determine whether the financial position of the district is in conformance with state-recommended standards and criteria. The COE is empowered to initiate action if problems are disclosed or discovered. That action may take the form of additional questions or warnings, a lowering of the self-rating from "positive" to either "qualified" or "negative," appointment of a fiscal advisor, or more stringent measures if the situation warrants.

The Alum Rock Union Elementary School District is presenting a Qualified Certification of its financial condition. This means that the District may not be able to meet its financial obligations for the current fiscal year or subsequent two years.

Recommendation: Staff recommends approval of the First Interim Financial Report for 2018 – 2019.

Submitted by: Kolvira Chheng 

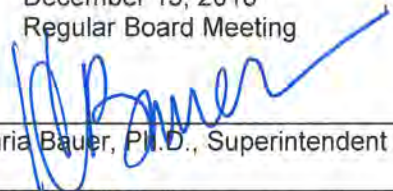
Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

Recommend Approval

9.04
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

APPROVED: _____ NOT APPROVED: _____ TABLED: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

16.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Information Regarding Resignations

Staff Analysis:

Pursuant to Board Policy 4117.2 the Superintendent or her designee has accepted the following resignations.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,
Human Resources

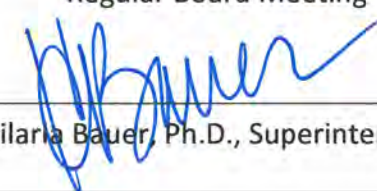
To the Board of Trustees:

Information Only

Meeting:

December 13, 2018
Regular Board Meeting

16.01
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-
HUMAN RESOURCES DEPARTMENT
MEETING OF THE BOARD OF TRUSTEES**

December 13, 2018

CERTIFICATED RESIGNATIONS:

I. Resignations:

1. Mary Vasquez

Residential Substitute Teacher Contract

11/26/2018

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

11.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:

November 19, 2018

Subject:

**APPROVAL OF BOARD MEETING MINUTES FOR THE
FOLLOWING DATE:**

- November 8, 2018; Regular Board Meeting Minutes

Action

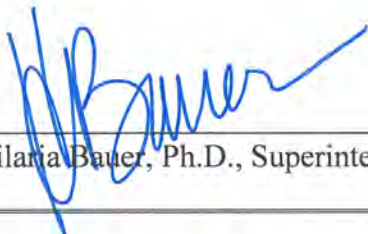
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: December 13, 2018
Organizational Board Meeting

Recommend Approval

11.01
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**DRAFT MINUTES
ALUM ROCK ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127**

**MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES
of the Alum Rock Union School District
Held on Thursday, November 8, 2018, 5:30 p.m. at the Alum Rock Union School
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127
Meeting #05-18/19**

1. OPEN SESSION

1.01 Call to Order / Roll Call

President Esau Ruiz Herrera welcomed everyone in the audience. President Esau Ruiz Herrera called the Board Meeting to order at 5:32 p.m. followed by Roll Call.

Board Members Present:

Esau Ruiz Herrera	President
Karen Martinez	Vice-President
Dolores Marquez-Frausto	Clerk
Andrés Quintero	Member

Board Member Absent:

Khanh Tran	Member
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Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Jess Serna	Interim Assistant Superintendent, Human Resources
Kolvira Chheng	Assistant Superintendent, Business Services
Marie Sanchez	Sr. Executive Assistant, Superintendent's Office
Angel Rodriguez	Executive Assistant/Communications Support, Superintendent's Office
Jackie Guevara	Executive Assistant, Superintendent's Office

1. OPEN SESSION *(continued)*

1.02 Announcement and Public Comments Regarding Items to be Discussed In Closed Session

President Esau Ruiz Herrera announced the items that would be discussed in Closed Session.

1.03 The Board will Adjourn to Closed Session at Approximately 5:30 p.m.

The Board recessed to Closed Session at 5:33 p.m. President Esau Ruiz Herrera announced that the Board would reconvene to Open Session at approximately 6:05 p.m.

3. RECONVENE TO OPEN SESSION

3.01 Call to Order / Pledge of Allegiance

The Board reconvened to Open Session at 5:54 p.m. President Esau Ruiz Herrera welcomed everyone and took a second Roll Call in which all Board Members were present with the exception of Member Khanh Tran.

3.02 Report of Action Taken in Closed Session

Superintendent Hilaria Bauer reported that in Closed Session under *Agenda Item 2.01 Public Employee Appointment/Employment*, the Board took action, with a vote of 4 in favor and Member Khanh Tran absent, to appoint Mr. Doug Durham as the new Coordinator of Student Information Systems, effective immediately.

3.03 Agenda Review and Adoption

President Esau Ruiz Herrera asked to move *Agenda Items 10.03 KIPP Bay Area Schools: KIPP Heartwood Academy and 10.04 KIPP Bay Area Schools: KIPP Prize Preparatory Academy* up in order to follow *Agenda Item 7.06 Board of Trustees/Communications and Comments* and to precede *Agenda Item 8.01 Update on Bond Projects*. There was consensus from the Board to accept the agenda as amended.

4. PUBLIC HEARING

4.01 Public Hearing: GANN Limit Calculations

President Esau Ruiz Herrera opened the Public Hearing at 5:56 p.m.

4. PUBLIC HEARING *(continued)*

4.01 Public Hearing: GANN Limit Calculations

Public Comments:

- 1) Rudy Macias – Community member, spoke about the degrading of the Board President and demanded that the Board begin to learn how to work together. His comments had nothing to do with the GANN Limit Calculations agenda item.
- 2) Ray Mueller – Community member, applauds the community for making some positive changes; however, the community is still not happy and is still working for better positive things. He commented the community has had enough and the votes show it.

President Esau Ruiz Herrera closed the Public Hearing at 6:02 p.m.

4.02 Resolution No. 08-18/19 GANN Limit Calculations

MOTION #05-01 by Member Andrés Quintero to accept and approve Resolution No. 08-18/19 as presented. MOTION #05-01 was seconded by Vice-President Karen Martinez.

MOTION #05-01 carried with a vote of 4 in favor; no opposition; 1 absent (Member Khanh Tran); and no abstention.

5. SPECIAL PRESENTATION

5.01 2018 CA Assessment of Student Performance and Progress (CAASPP)

Assistant Superintendent Rene Sanchez introduced Mr. Ivan Montes, EL Coordinator, Academic Services, who gave a Power Point presentation. Some of the highlights included the following:

English Language Arts/Literacy	Mathematics
-Reading: How well do students understand stories and information that they read? -Writing: How well do students communicate in writing? -Listening: How well do students understand spoken information? -Research/Inquiry: How well can students find and present information about a topic?	-Concepts & Procedures: How well do students use mathematical rules and ideas? -Problem Solving and Modeling & Data Analysis: How well can students show and apply their problem-solving skills? -Communicating Reasoning: How well can students think logically and express their thoughts in order to solve a problem?

5. SPECIAL PRESENTATION *(continued)*

5.01 2018 CA Assessment of Student Performance and Progress (CAASPP)

- ARUSD ELA Area Achievement Level Descriptors by: Reading; Writing; Listening; and Research/Inquiry
- Mathematics Area Achievement Level Descriptors Above Standard by: Concepts and Procedures; Problem-Solving and Modeling and Data Analysis; and Communicating Reasoning
- School Achievement Overall ELA and Mathematics – Met/Exceeded Standards by: Site; Language Proficiency; Economic Status; and Disability Status
- Ethnicity: English Language Arts Met or Exceeded Standard by Ethnicity

Initiatives for 2018-19

Common Core Aligned Instructional Tools

- Balanced Literacy Professional Learning Community
- Guided Reading Professional Learning Community

Common Core Instructional Shifts

- Math Professional Learning Communities (elementary and middle school)
- Teacher Driven Professional Learning Opportunities

English Language Learner Support

- English 3D, deepening implementation of an English Language Development Program, specifically designed for our long-term English Learners
- Deepening professional learning around ELD Standards and ELPAC tasks
- Year 2-iPads and digital technology to support our ELs in K-2
- Year 2-Targeted EL summer learning opportunity for ELs K-3

Special Education Support

- Participation in the Inclusion Collaborative with the SCCOE
- Targeted cross-departmental learning opportunities for our staff
- Application of supports from SCCOE's English Learners with Disabilities Community of Practice

A copy of this presentation was made available to the public.

Vice-President Karen Martinez asked Mr. Ivan Montes what the District was doing to collaborate amongst our schools and provide support to teachers and staff.

Clerk Dolores Marquez-Frausto commented she would like to know the advantages of the succeeding students. What are the things that are making them successful vs. the students not succeeding?

5. SPECIAL PRESENTATION *(continued)*

5.01 2018 CA Assessment of Student Performance and Progress (CAASPP)

Public Comments:

- 1) Flor DeLeon – Community member, commented that we are not where we want or need to be. We still have a problem with hiring teachers. Her daughter's teacher used to teach grade 2 and now she has to teach grade 7! The district has a lot of teacher and substitute vacancies that have been difficult to fill and this is affecting our students. We need to establish a parent committee in order to vet teachers and subs before they are allowed in the classrooms.
- 2) Maria Martinez – Community member, commented that Clerk Dolores Marquez-Frausto asked a very good question regarding LCAP for student improvement.

5.02 Progress of Local Performance Indicators

Assistant Superintendent Rene Sanchez introduced Ms. Barbara Campbell, Director of Academic Services, who gave a Power Point presentation on California Dashboard Local Indicators. Some of the highlights included the following:

Purpose of the Dashboard

- Parents and guardians, educators, other stakeholders and the public can use the Dashboard to see how local educational agencies and schools are performing under California's new school accountability system
- Provides a quick overview about overall performance and student group performance on multiple measures of student success
- Information provided will assist in identifying strengths, weaknesses and areas in need of improvement for local educational agencies and schools

State Indicators	Local Indicators
-Academic Indicators (State Priority 4) with ELA Assessment (SBAC scores) and Math Assessment (SBAC score) -Suspension Rate Indicator (State Priority 6) -English Learner Indicator (State Priority 5) Progress of ELL's -Chronic Absenteeism Rate (State Priority 5) [new this year] <u>NOTE:</u> Not reported in elementary districts, Graduation Rate Indicator (State Priority 7); and College/Career Indicator (State Priority 8)	State approves local indicators and a required self-reflection tool, which is based on information the local Educational agencies collect locally: -Basic Services and Conditions of learning (State Priority 1) -Implementation of State Academic Standards (State Priority 2) -Parent Engagement (State Priority 3) -School Climate (State Priority 6) -Broad Course of Study (State Priority 7)

5. SPECIAL PRESENTATION *(continued)*

5.02 Progress of Local Performance Indicators

Barbara Campbell reviewed the Local Indicator District Performance and spoke about the various State Priorities.

Next Steps

- Upload data to the Dashboard – the anticipated release date is December 3, 2018
- Continue work on eight state priorities
- Administer survey in the Spring

Board Comments: Karen Martinez and Dolores Marquez-Frausto.

A copy of this presentation was made available to the public.

6. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

6.01 Requests to Address the Board

Public Comments:

- 1) Vanessa Rios – Ryan parent, commented that she was in attendance tonight to report that she was also present at the October 11, 2018 board meeting where Trustee Khanh Tran was participating in a teleconference call and she heard when he stated that the Superintendents' days were numbered—which was a threat! She observed that the Board President did not bring this to his attention and call it out—on the contrary, he seemed like he was approving the threat! She addressed this comment to the Board President, “You have been in power for 26 years, how much more people will you allow to be bullied? How many Superintendents have you fired? You do not deserve to wear Cesar Chavez shirts because you do not have the respect of the District school workers. You approve bullies like Trustee Khanh Tran and we do not accept these values in our school district. We want respect, security, tranquility, and to focus on our students. This is the reason why this District needs to establish a term-limit so that people like you cannot be re-elected.”
- 2) Lily (*no last name given*) – Middle school parent, commented that when there are incidents at the schools, parents should be informed and counselors should be made available! She reported that when this specific incident occurred, some parents received a robo-call and other parents did not.
- 3) Dilza Gonzalez – Parent and community member, commented that we need to establish term-limits because we cannot have the same people making the same decisions. At the previous board meeting, certain board members did not do their homework and came to the meeting unprepared!

6. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD *(continued)*

6.01 Requests to Address the Board

- 4) Esperanza (no last name given) – Renaissance at Mathson student, commented that the Board has bad governance. She has been present at the board meetings and has witnessed board members sleeping at these meetings and have threatened community members by calling the police. Board members have praised charter students but silence the public school students. Board members come to the board meetings but do not speak about instructional programs that the students need. This is why we need to establish term-limits now!
- 5) Ray Mueller – Community member, read an email message sent to him. He read excerpts from the email that was originated by President Esau Ruiz Herrera which stated that Member Khanh Tran was an elected member of the Governing Board of Alum Rock School District and had a right and a duty to attend board meetings. The email stated that given the pending election, this November 8 board meeting might be the last meeting that Member Khanh Tran would be obligated to attend. President Esau Ruiz Herrera was directing Superintendent Hilaria Bauer to absent herself from the District office premises no later than 5:15 p.m. on November 8 so that both Member Khanh Tran and Superintendent Hilaria Bauer could have their respective interest addressed and protected. Ray Mueller also commented that he would like the Board to start using District emails since they are elected officials and should be using public emails and not their own personal emails. He requested that this item be agendaized for a future board meeting.

Member Andrés Quintero reported that, for the record, he uses a District-issued email.

- 6) Maria Martinez – Community member, commented that she dittoed what Esperanza, Renaissance at Mathson student, had commented on earlier. We do have poor board governance in our district. She commented that it was a disappointment to see Clerk Dolores Marquez-Frausto supporting Member Khanh Tran in the courthouse the previous week. This bad board governance must end now. This is a democracy and we should have term-limits now!
- 7) Sharon Chin – Community member, stated that she wanted to express support for Superintendent Hilaria Bauer and the work that she has done for the district. She commented that she has noticed some incompetency of some board members how they come unprepared to the board meetings and have been members with 20 years experience. One board member has a restraining order and the board president has requested that the Superintendent not attend the board meeting-he should know better! Lastly, she commented that she would like to see school board members put the students first and not themselves.

7. COMMENTS AND COMMUNICATION

7.01 Teamsters

There were no representatives from Teamsters at this time.

7.02 California School Employee's Association (CSEA)

There were no representatives from CSEA at this time.

7.03 Alum Rock Administrator's Association (ARAA)

Ms. Lyssa Perry, ARAA President, gave a few highlights. There are two administrators attending an ACSA event in San Diego this week. She gave kudos to the Parent University event that held 600+ families for such a big success! ARAA will be hosting a Winter Showcase during the Christmas season and will be decorating a Christmas tree.

7.04 Alum Rock Educator's Association (AREA)

Jocelyn Merz, AREA President, stated that the campaign season is over; however, ballots are still being counted. The board majority will no longer have the power they have been exercising. She stated that it was safe to say that students and the community will prevail—the necessary changes will happen, and the board majority will be overthrown! She gave thanks to those that voted and took the time to study the candidates. She reported that she attended the Civil Grand Jury the prior week to support Superintendent Hilaria Bauer. There were several take-a-ways, the first was that Member Dolores Marquez-Frausto was in defense of Member Khanh Tran and that Attorney Maribel Medina helped Member Dolores Marquez-Fausto prepare a declaration. She asked, "Isn't that a conflict of interest and who is paying for her services?" Another observation was that the judge offered advice to Member Khanh Tran and instructed him to take it down a notch. After the judge made her order, Member Khanh Tran made several attempts to bargain with her. She stated that President Esau Ruiz Herrera also made a declaration. In addition, President Esau Ruiz Herrera had suggested that Superintendent Hilaria Bauer not be present at this board meeting so that Member Khanh Tran could be present. She commented that if it was so important for Member Khanh Tran to be present at this meeting, why did he not call in tonight?

7.05 Superintendent

Superintendent Hilaria Bauer reported that she wanted to ditto what ARAA President Lyssa Perry said about Parent University. She gave special thanks to Norma Flores and all her staff in Student Services for their magnificent work with Parent University. It takes devoted staff to make events like this happen and be successful! She reported that 98% of the evaluations were positive.

7. COMMENTS AND COMMUNICATION *(continued)*

7.05 Superintendent

Superintendent Hilaria Bauer reported that Alum Rock has just launched a Universal Preschool Pilot Program at Painter Elementary beginning with 12 families. This is a pilot program with our Santa Clara County and First 5 partners. She reported that we just have McCollam Elementary that needs preschool. Adelante I and II are already in the works. Superintendent Hilaria Bauer commented that Universal Preschool is going to be a reality here at Alum Rock. She gave special thanks to all her outside partners for their support and assistance.

7.06 Board of Trustees

Vice-President Karen Martinez commented that her heart goes out to the families of Thousand Oaks. She asked the audience to take a moment tonight and count their blessings. She reported that she had requested three agenda items to be placed on this agenda and the Board President denied her request. The agenda items included: (1) Request to receive copies of invoices from Leal & Trejo; (2) Termination of the Leal and Trejo Contract with Alum Rock; and (3) Termination of Del Terra Contract with Alum Rock. Vice-President Karen Martinez reported that she will not be resigning from her seat – she has choices, and this is not the time for her to leave. She will not back down regardless of the threats from some members of this Board. She commented that she believes in term-limits for the board members – after a while, members get stale!

Member Andrés Quintero gave thanks to the Alum Rock Community for entrusting him when they cast their ballot. He commented that the last two years have not been easy here at Alum Rock. It would have been easy to just say goodbye and leave the district. However, it is very personal for him because his children attend this district, and if the schools are not good enough for them, then they are not good enough for any student.

Clerk Dolores Marquez-Frausto stated that she had gotten a call from Member Khanh Tran informing her that he had gotten married over the weekend and wanted to thank the community for putting him back on the Board for another four years. She also explained her reason for reaching out to Attorney Maribel Medina for helping her with a declaration. She had to be present at the courthouse because she was named on the complaint. She stated that she was very happy with the successful event with the Parent University. She gave special thanks to all the staff that made this event a success. Lastly, she announced that she was very pleased with the opening of another preschool.

10. BUSINESS

10.03 KIPP Bay Area Schools: KIPP Heartwood Academy Renewal

10.04 KIPP Bay Area Schools: KIPP Prize Preparatory Academy Renewal

Superintendent Hilaria Bauer stated that these two KIPP Charter Renewal Petitions were a follow-up of the Public Hearings from the previous board meeting in October and both of these agenda items would be addressed at the same time.

Public Comments:

- 1) Susan Oba -- KIPP Heartwood Academy principal, stated that she was very grateful to be here at this meeting. She gave special thanks to Superintendent Hilaria Bauer and her staff for their support with the renewal. She also thanked those board members that visited her site. She reported that for the past 15 years, KIPP Heartwood Academy has had the honor of educating students. They are committed to growing, including strengthening instructional practices and intervention programs.
- 2) Kimberly Flores – KIPP Heartwood Academy student, briefly shared her experience at her school.
- 3) Alexandria Wasco – Leader, spoke about her experience.
- 4) Jamie Juni – Speaker gave special thanks to Vice-President Karen Martinez for visiting her school.
- 5) Jose Vasquez – KIPP Prize parent and community member, spoke about his good experience at KIPP. He stated that when he was a student, he was pushed to pursue a blue collar job and not really encouraged to pursue higher education.
- 6) Autumn Zangrilli - KIPP Prize principal, gave thanks to Superintendent Hilaria Bauer and her staff for renewing their petition for another five years. She commented that KIPP has been recognized by Innovate Public Schools. Their mission is grounded in providing equity and instilling a rigorous program.
- 7) Mimi (*no last name given*) - 7th grade KIPP Prize student, spoke about her experience at school and stressed the educational opportunities offered at KIPP.
- 8) Sam (*no last name given*) - KIPP teacher, stressed the educational and emotional impact KIPP has had on him.
- 9) Male speaker – Retired fire captain, commented that his son has endured many challenges and thanks to KIPP, he is now a 4.0 student.
- 10) Heriberto (*no last name given*) - KIPP Prize parent, asked the Board to give their children the opportunity to continue at both schools.

MOTION #05-02 by Member Andrés Quintero to accept and approve both Agenda Items 10.03 KIPP Heartwood Academy and 10.04 KIPP Prize Preparatory Academy Renewal Petitions as presented. MOTION #05-02 was seconded by Member Esau Ruiz Herrera.

10. BUSINESS (continued)

10.03 KIPP Bay Area Schools: KIPP Heartwood Academy Renewal

10.04 KIPP Bay Area Schools: KIPP Prize Preparatory Academy Renewal

Member Andrés Quintero stated that he wanted to make sure to inform the audience where his stance was, which was that he is all for public education. He commented that it is very disheartening to him to hear disrespect on public schooling. He commented that he is proud of the education that is provided at Alum Rock and the only way to get a good education is through charters—that is not true! However, he will be supporting these two renewals.

Member Dolores Marquez-Frausto apologized for not visiting the KIPP sites yet; however, she commented that she appreciates the partnership that they have with Alum Rock.

President Esau Ruiz Herrera commented that he will be supporting these two renewals. This is a good partnership and excellent collaboration that they have. He invites them to be even a stronger partner.

MOTION #05-02 carried with a vote of 4 in favor; no opposition; 1 absent (Member Khanh Tran); and no abstention.

8. BOND / FACILITIES

8.01 The Board will receive a written update on the status of Bond Projects

There was no action taken on this agenda item.

8.02 Citizen's Bond Oversight Committee (CBOC)

Mr. Ray Mueller, CBOC Chair, reported that this was going to be his last week as Chair of the CBOC. He reported that there has been another opening and he would like for it to be on the agenda for the next board meeting. He announced that the next CBOC meeting was scheduled for Tuesday, November 13, 2018, 6:30 p.m., District Office.

9. CONTRACTS OVER \$100,000

9.01 Approve New Tech Network (contract continuation from 2017-18 FY), Fischer and Sheppard Middle Schools, 2018-19 Year 2, \$190,200; 2019-20 Year 3, \$201,900

9. CONTRACTS OVER \$100,000 (continued)

- 9.01 Approve New Tech Network (contract continuation from 2017-18 FY), Fischer and Sheppard Middle Schools, 2018-19 Year 2, \$190,200; 2019-20 Year 3, \$201,900**

MOTION #05-03 by Member Andrés Quintero to accept and approve the New Tech Network Contract as presented. MOTION #05-03 was seconded by Vice-President Karen Martinez.

MOTION #05-03 carried with a vote of 3 in favor; no opposition; 2 absent (Clerk Dolores Marquez-Frausto temporarily absent and Member Khanh Tran absent); and no abstention.

- 9.02 Approve Silicon Valley Education Foundation, \$103,586.40**

MOTION #05-04 by Member Andrés to accept and approve the Silicon Valley Education Foundation as presented. MOTION #05-04 was seconded by Vice-President Karen Martinez.

MOTION #05-04 carried with a vote of 3 in favor; no opposition; 2 absent (Clerk Dolores Marquez-Frausto temporarily absent and Member Khanh Tran absent); and no abstention.

10. BUSINESS

10.01 Fiscal Expert and FCMAT Update

Dr. Donald Zimring, Fiscal Expert, briefly spoke about the Conditional Approval Letter from the Santa Clara County Office of Education regarding the 2018-19 Adopted Budget. He reported that the District's governance structure continues to be a destabilizing factor towards a resolution of outstanding items from the FCMAT report. Dr. Donald Zimring reported that a key issue we have on the fiscal side was the failure to meet the targeted staffing reductions this year. He stated that the SCCOE did not approve the LCAP, which triggered the disapproval of the budget. He also emphasized the fact that the SCCOE takes this very serious. He briefly spoke about the waiver budget committee.

Vice-President Karen Martinez commented that she was concerned about the LCAP, and if it was not approved, did this mean we loose it? She asked Dr. Donald Zimring if the District staff was performing their duties and needing to do what they were supposed to be doing?

10. BUSINESS (continued)

10.01 Fiscal Expert and FCMAT Update

Dr. Donald Zimring replied that the District would not lose the LCAP funds, the district staff was capable and yes they were doing what was expected of them.

Member Dolores Marquez-Frausto commented that she does not believe that the fiscal experts are here to help the district alongside with the Business Office. She could not understand how the LCAP was disapproved if we have the two SCCOE Fiscal Experts assisting with this—so what's going on? She asked what was the cost of the two SCCOE Fiscal Experts?

Dr. Donald Zimring replied that the SCCOE was covering the costs for the Fiscal Expert and the Fiscal Advisor only through December 31, 2018; however, the problem was from the bad board governance and Del Terra issues.

10.02 Waiver Budget Committee

Assistant Superintendent Kolvira Chheng, briefly reported on the Waiver Budget Committee. He reported that no later than November 8, the County Superintendent must do the following:

Approve or disapprove the revised budget for the districts whose budgets were conditionally approved or disapproved as of September 15, 2018. If the County Superintendent disapproves the revised budget, they will need to call for a Budget Review Committee under Education Code 42127.1 unless the Governing Board of the district and the County Superintendent agree to waive the requirement for a committee and the California Department of Education approves the waiver. Assistant Superintendent Kolvira Chheng reported that based on the waiver, the County Superintendent immediately has the authority and responsibility provided by Education Code Section 42127.3.

Dr. William Gillaspie, Fiscal Advisor, commented that the SCCOE's only intent is to help the Board and the District. Dr. Mary Ann Dewan, County Superintendent, requests a waiver to help reduce the demands on the over-worked Business Office.

MOTION #05-05 by Vice-President Karen Martinez to accept and approve the Waiver of the statutory Budget Committee as presented. MOTION #05-05 was seconded by Member Andrés Quintero.

President Esau Ruiz Herrera stated that he disagrees that the Business Office staff is overworked because of the investigations! He stated that he wished the Board had received this information in advance and not during the board meeting. This was very frustrating! The Board had been informed that our budget was good for this year and the next – and now was not! He did not understand why this was happening if the two SCCOE Fiscal Experts have full access to our budget information and our board books.

10. BUSINESS (continued)

10.02 Budget Waiver Committee

Clerk Dolores Marquez-Frausto stated that the SCCOE Fiscal Experts are the gatekeepers of the budget often giving district staff recommendations. She commented that the Fiscal Experts knew this was coming and should have given the Board more ample time. She commented that she felt the same way that President Esau Ruiz Herrera did and agreed with his comments. She will not be supporting this motion.

Member Andrés Quintero stated that he just wants the Board to vote on this and do what is needed. The reason district staff is overworked is because of the decisions that this Board has made—it should not be a huge surprise!

Public Comment:

- 1) Janet Odelle – Community member, asked what was the timeframe?

Superintendent Hilaria Bauer commented that the sentiment had nothing to do with the work put in—the recommendation has more to do with the timeline in order to fulfill this requirement. She commented if the Board has already made up their mind, then just vote on this.

MOTION #05-05 failed with a vote of 2 in favor (Vice-President Karen Martinez and Member Andrés Quintero); 2 in opposition (President Esau Ruiz Herrera and Clerk Dolores Marquez-Frausto); 1 absent (Member Khanh Tran); and no abstention.

11. HUMAN RESOURCES

11.01 Information Regarding Resignations

There was consensus from the Board to accept the resignations as submitted.

12. CONSENT CALENDAR

Clerk Dolores Marquez-Frausto asked to pull *Agenda Items 12.07 Acceptance of Vendor & Payroll Warrants and 12.10 Approve/Ratify Notices of Employment and Changes of Status/November 8, 2018* to discuss separately.

MOTION #05-06 by Member Andrés Quintero to accept the Consent Calendar as amended. MOTION #05-06 was seconded by President Esau Ruiz Herrera.

12. CONSENT CALENDAR *(continued)*

MOTION #05-06 carried with a vote of 4 in favor; no opposition; 1 absent (Member Khanh Tran); and no abstention.

12.07 Acceptance of Vendor & Payroll Warrants

Clerk Dolores Marquez-Frausto ask administration if this agenda item could be visible on the agenda as separate items with more detailed information and not bundled together.

The Board had brief discussion on this agenda item.

Clerk Dolores Marquez-Frausto asked the Superintendent make herself available to review some of the material under this agenda item on an as-needed basis from the Board.

MOTION #05-07 by Member Andrés Quintero to accept and approve the Vendor & Payroll Warrants as presented. MOTION #05-07 was seconded by President Esau Ruiz Herrera.

MOTION #05-07 carried with a vote of 4 in favor; no opposition; 1 absent (Member Khanh Tran); and no abstention.

12.10 Notices of Employment and Changes of Status/November 8, 2018

Clerk Dolores Marquez-Frausto asked the Superintendent to elaborate the changes listed on the changes of status.

Superintendent Hilaria Bauer explained the changes listed on the document.

President Esau Ruiz Herrera requested to bring back the names listed on Roman Numeral I of the Classified list.

MOTION #05-08 by Member Andrés Quintero to accept and approve the entire agenda item as presented. MOTION #05-08 was seconded by Vice-President Karen Martinez.

MOTION #05-08 failed with a vote of 2 in favor (Vice-President Karen Martinez and Member Andrés Quintero); 2 in opposition (Clerk Dolores Marquez-Frausto and President Esau Ruiz Herrera); 1 absent (Member Khanh Tran); and no abstention.

MOTION #05-09 by Clerk Dolores Marquez-Frausto to remove Roman Numeral I of the Classified list and approve the remaining document as amended. MOTION #05-09 was seconded by President Esau Ruiz Herrera.

12. CONSENT CALENDAR *(continued)*

12.10 Notices of Employment and Changes of Status/November 8, 2018

Member Andrés Quintero commented that he can't imagine what is now happening and finds this very sickening that as a Board, two individuals are now going down to the parent classified level.

Clerk Dolores Marquez-Frausto stated that this was far from the truth! She has had several complaints from other individuals with higher seniority.

Public Comments:

- 1) Ernesto Bejarano – Community member, commented that from a very objective position, the level of gross incompetence that explains the budget issues is on full display here. Anybody with common sense—this is just pure incompetence! It is very clear to me, and two years is too long from now!
- 2) Dilza Gonzales – Community member, commented that is was the lowest of the low—retaliating against a mother who has been doing a wonderful job—this is low—and this is the message that the Board is trying to send to the employees. The students will be punished for this—this is not right!
- 3) Victor Vasquez – Somos Mayfair representative, commented that there was no conflict of interest and for the Board to do this, you are singling her out. This employee is allowed to have freedom of speech and she is also a part of the union. You are picking on someone—this is collusion—the three amigos!
- 4) Janet Odelle – Community member, commented that the comments that were shared by a certain Board member about the employee's church, she could sue you and that was a wrong thing to do!
- 5) Dan Flores – MOT Director, commented that he has sat during various board meetings quietly and has not said anything, but this was the last straw! He reported that he also goes to church and now the Board can fire him as well. Member Khanh Tran recently approached him and threatened his family! Dan Flores commented that he would appreciate it if the Board fires him so that he can be the one to sue them. This is enough—please fire me!

The Board had more discussion on this agenda item.

Clerk Dolores Marquez-Frausto withdrew MOTION #05-09.

MOTION #05-10 by Member Andrés Quintero to accept and approve the entire Agenda Item 12.10 as presented. MOTION #05-10 was seconded by President Esau Ruiz Herrera.

MOTION #05-10 carried with a vote of 3 in favor (President Esau Ruiz Herrera, Vice-President Karen Martinez, and Andrés Quintero); no opposition; 1 absent (Member Khanh Tran); and 1 abstention (Clerk Dolores Marquez-Frausto).

13. FUTURE BOARD AGENDA REQUESTS

13.01 Requests from Board of Trustees and/or Public

Vice-President Karen Martinez asked to include the following items on the December agenda:

- 1) Terminate the contract with legal counsel, Leal & Trejo and Alum Rock Union Elementary School District
- 2) Terminate the contract with Del Terra and Alum Rock Union Elementary School District

14. ADJOURNMENT

14.01 President Adjourns the Meeting

President Esau Ruiz Herrera adjourned the meeting at 9:27 p.m.

Respectfully submitted,

Dolores Marquez-Frausto
Board Clerk

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue
San Jose, CA 95127

11.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

Subject : Acceptance of Memorandum(s) of Understanding

Staff Analysis:

The District has received the following Memorandums of Understanding as summarized on the sheet dated December 13, 2018.

Recommendation:

Staff recommends acceptance of these memorandums of understanding.

Prepared by:: Maria Martinez M. M. Title: Procurement Manager
Approved by: Kolvira Chheng K Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Recommend Approval

11.02

Agenda Placement

Meeting: December 13, 2018

Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**Alum Rock Union Elementary School District
December 13, 2018 Board Meeting**

MEMORANDUMS OF UNDERSTANDING

<u>SCHOOL / DEPARTMENT</u>	<u>DATE OF SERVICE</u>	<u>CONSULTANT NAME</u>	<u>COST</u>	<u>PURPOSE</u>
Academic Services Rene Sanchez	12/14/18- 06/30/19	Santa Cruz Office of Education Outdoor Science School	\$324.00 Per Student \$139.00 Per Teacher	Master Contract: Five Day Outdoor Science camp program for 5 th grade students Funding: Various
Human Resources Carlos Moran	12/14/18- 12/31/23	California State University East Bay	No Cost	Social Work Field Placement Agreement Agency (District) offers University students learning opportunities in Social Work Education Funding: N/A
Human Resources Carlos Moran	12/14/18- 12/31/23	San Jose State University	No Cost	Social Work Field Placement Agreement. The Social Work Practicum is an essential part of professional education for Social Work Funding: N/A



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: BUSINESS OFFICE Contract No.: _____ Vendor No.: 13260

Academic Services (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)
☒ Exhibit B & C (Fingerprinting and TB Test)

☒ **MASTER CONTRACT PARTICIPATION**
☒ Scope of Work/Proposal

Note: All Contracts over \$5,000 require pre-approval.

* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Santa Cruz County Office of Education/Outdoor Science School

Address: 400 Encinal Street City: Santa Cruz State: CA Zip: 95060

Phone: (831) 466-5846 Email: tdinsmore@santacruzcoe.org

SSN: _____ Fed I.D. #: 94-6002633

CONTRACT TERM: start dates December 14, 2018 end date June 30, 2019

CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Science camp outdoor school for 5th grade students.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

_____ a. **Fee Rate:** \$ _____ per _____ Not to Exceed _____ of services.

X b. **Other:** \$ 5-day program

Describe other related costs: _____

BUDGET CODE: 06 360 1190 0 5815 - Title I

Accountant Initials

Accountant Initials

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] Date: _____

Director of Fiscal Services: _____ Date: _____

Asst. Supt., of Business Services: _____ Date: _____

Superintendent: _____ Date: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
Request for Contracted Services

TO: BUSINESS OFFICE

Contract No. _____

Vendor No. _____

Human Resources _____ (School/Dept.) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter into a:

☒ **MOU** (Negotiated Agreement)
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**
☐ Scope of Work/Agreement

Note: All Contracts over \$5,000 require pre-approval.

** Use Independent Contractor Agreement form B-252 for unincorporated individuals or in the absent of negotiated agreement.*

Name of Individual/Company California State University East Bay

Address 25800 Carlos Bee Blvd, AE235 City Hayward ST CA Zip 94542

Telephone 510.885.3959 Fax # 510.885.4258

SSN _____ Fed. I.D.# _____

CONTRACT TERM: effective dates 12/14/2018 to 12/31/2023

CONTRACTOR'S OBLIGATION:

Description of services to be provided. Please attach proposals and other documentation if necessary:

Social Work Field Placement Agreement

Agency (District) offers University students learning opportunities with Social Work Education

University operates a fully accredited Social Work program

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

 a. **Fee Rate:** \$ _____ per _____ Not To Exceed _____ of services.

☒ b. **Other:** \$ _____ (describe rate agreement or other costs) NO COST

BUDGET CODE: NO COST

APPROVALS:

Alum Rock Union Elementary School District:

Site/Department Administrator  Date 12/4/18

Program/Budget Manager _____ Date _____

Asst. Supt. of Business Services _____ Date _____

Superintendent _____ Date _____

ARUESD Board Approval _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
Request for Contracted Services

TO: BUSINESS OFFICE

Contract No. _____

Vendor No. _____

Human Resources _____ (School/Dept.) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter into a:

☒ **MOU** (Negotiated Agreement)
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**
☐ Scope of Work/Agreement

Note: All Contracts over \$5,000 require pre-approval.

* Use Independent Contractor Agreement form B-252 for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company San Jose State University
Address One Washington Square City San Jose ST CA Zip 95192
Telephone 408-924-1000 Fax # _____
SSN _____ Fed. I.D.# 77-0414438

CONTRACT TERM: effective dates 12/14/2018 to 12/31/2023

CONTRACTOR'S OBLIGATION:

Description of services to be provided. Please attach proposals and other documentation if necessary:

Social Work Field Placement Agreement - The Social Work Practicum is an essential part of professional
education for Social Work; an essential element of the practicum must be the inclusion of learning experiences
that provide for students' direct engagement in service activities.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

___ a. **Fee Rate:** \$ _____ per _____ Not To Exceed _____ of services.

☒ b. **Other:** \$ _____ (describe rate agreement or other costs) NO COST

BUDGET CODE: NO COST

APPROVALS:

Alum Rock Union Elementary School District:

Site/Department Administrator Cecilia Moran Date 12/4/18

Program/Budget Manager _____ Date _____

Asst. Supt. of Business Services _____ Date _____

Superintendent _____ Date _____

ARUESD Board Approval _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue
San Jose, CA 95127

11.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

Subject: **CONTRACTS FOR PROFESSIONAL SERVICES – FIRMS/ORGANIZATIONS**

Staff Analysis:

The following contracts for professional services are being presented to the Board of Trustees for review and approval.

Recommendation:

Staff recommends approval of the following contracts for professional services on the attached sheets. Contract details are on file in the Purchasing Office.

Prepared by: Maria Martinez M. M. Title: Procurement Manager

Approved by: Kolvira Chheng X Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Recommend Approval

11.03

Agenda Placement

Meeting: December 13, 2018

Regular Board Meeting

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

<u>DEPARTMENT</u>	<u>DATE OF SERVICE</u>	<u>CONSULTANT NAME</u>	<u>COST & FUNDING</u>	<u>PURPOSE</u>
Adelante Academy Nuria Bravo	10/01/18- 06/30/21	GreeneSports Association	\$55.00- \$110.00 Per game	Master Contract: Provide referee services to ARUSD after school sports program Funding: Various
LUCHA Academy Kristin Burt	01/07/19 – 03/15/19	The Audacity Performing Arts Project	\$3,000.00	Lead students through auditions and rehearsals to put on a musical production featuring LUCHA leaders Funding: Restricted
Painter School George Kleidon	01/07/19 - 07/01/19	Southbay Chinese Baptist Church	No Cost	Provide free tutoring and academic support for struggling and incoming students on referral basis only Funding: N/A
Academic Services Rene Sanchez	11/09/18 – 08/31/19	Kajitani Education, LLC.	\$3,000.00	Professional development keynote speech “Leadership Secrets That Work: From the Classroom to the Boardroom” Funding: Restricted
Special Education Jean Gallagher	12/14/18- 06/30/19	Benchmark Education	\$2,800.00	Professional development for reviewing steps to advance curriculum and best practices with district staff Funding: Sp Ed
Special Education Jean Gallagher	12/13/18- 06/30/19	Kathy Futterman, Ed.D, ET/P, C-SLT	\$2,000.00	Professional development in “Understanding Dyslexia & Structured Literacy Instruction” for Special Education staff Funding: Sp Ed
Special Education Jean Gallagher	10/01/18 - 06/30/19	Second Start Learning Disabilities, dba: Pine Hill School	\$64,709.40	Tuition related cost for non-public school for students residing in Alum Rock requiring this service Funding: Sp Ed

**Alum Rock Union Elementary School District
December 13, 2018 Board Meeting**

PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Student Services Norma Flores	12/14/18 – 06/30/19	Southwest Key Programs, Inc.	No Cost	Provide group mentoring program at all district sites Funding: N/A
Student Services Norma Flores	12/14/18- 06/30/19	The Board of Trustees of the Leland Stanford Junior University	No Cost	Girl Band research project out of Stanford University Center for Interdisciplinary Brain Sciences in collaboration with ARUSD aims to investigate anxiety symptoms within our community Funding: N/A
VAPA Noli Magsino	12/10/18- 12/12/18	Mexican Heritage Plaza School of Arts and Culture	\$3,850.00	VAPA Winter Showcase 2018 includes performances by VAPA Bands, Drumline, Choirs, and Art Exhibits Funding: General



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: ADELANTE DUAL LANG. ACADEMY (School/Dept.) VENDOR NO. 22088

PROGRAM MANAGER: Nuria Bravo

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: GreeneSports Association (John Greene) *MASTER CONTRACT

Address: 3199 Sinberlan Drive City: San Jose State: CA Zip: 95148

Phone: (408) 288-1363 Email Address: _____

SSN: _____ or Fed I.D. #: 57-1197569

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 10/01/2018 end date 06/30/2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Refereeing services for the Alum Rock Union School District After School Sports Program

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

 a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 110 -50 (describe rate agreement) Soccer \$110.00, Softball \$55.00 Volleyball \$50.00

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
		Various	Site	Budgets		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
FROM: LUCHA (School/Dept.) VENDOR NO. 32678
PROGRAM MANAGER: Kristin Burt

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The Audacity Performing Arts Project
Address: 1484 Pollard Rd, Suite 546 City: Los Gatos State: CA Zip: 95032
Phone: 408348-4026 Email Address: stonecollonge@gmail.com
SSN: _____ or Fed I.D. #: 46-1444034

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date January 7, 2019 end date March 15, 2019
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

The Audacity Performing Arts Project will lead students through auditions and rehearsals over a three month period to put on a musical production featuring our LUCHA leaders!

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$3,000 (describe rate agreement) _____

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	270	2125	5815	\$3000		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
FROM: Ben Painter Elementary (School/Dept.) VENDOR NO. _____
PROGRAM MANAGER: George Kleidon

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Southbay Chinese Baptist Church
Address: 448 Francis Drive City: San Jose State: CA Zip: 95133
Phone: (408) 926-2621 Email Address: pstjcwong@yahoo.com
SSN: _____ or Fed I.D. #: 77-0031567

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date January 7, 2019 end date July 1st, 2019
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

This organization will be offering free tutoring and academic support for students who are struggling academically and are considered newcomers. Tutoring will take place during school and after school hours. This will be on a teacher referral basis.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$ 0 (describe rate agreement) _____

This service is at no cost to the district.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
0	0	0	0	0	0	0

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
 FROM: Academic Services (School/Dept.) VENDOR NO. _____
 PROGRAM MANAGER: Rene Sanchez

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Kajitani Education, LLC
 Address: 3761 Portland Ct. City: Carlsbad State: CA Zip: 92010
 Phone: (858) 336-8678 Email Address: AlexKajitani@gmail.com
 SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date November 9, 2018 end date August 31, 2019
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Keynote Speaker for ARUSD's Leadership Team Professional Development Day.

Keynote Speech: Leadership Secrets That Work: From the Classroom to the Boardroom

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD; not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$ 3000.00 (describe rate agreement) _____

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	305	1461	05818	\$3000.00	Title II	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. _____

FROM: Special Education Department (School/Dept.)

VENDOR NO. _____

PROGRAM MANAGER: Jean Gallagher

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Benchmark Education

Address: 145 Huguenot Street City: New Rochelle State: NY Zip: 10801

Phone: (877) 732-8273 Email Address: neworders@benchmarkeducation.ca

SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date December 14, 2018 end date June 30, 2019

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Professional development for reviewing Steps to Advance Curriculum and best practices with district staff.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

X a. **Fee Rate:** \$2,800 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

_____ b. **Other:** \$ _____ (describe rate agreement) _____

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
08	380	1820	5200	2,800		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
 FROM: Special Education Department (School/Dept.) VENDOR NO. _____
 PROGRAM MANAGER: Jean Gallagher

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Kathy Futterman, ED.D, ET/P, C-SLT
 Address: 3075 Citrus Circle #165 City: Walnut Creek State: CA Zip: 94598
 Phone: (925) 639-1807 Email Address: _____
 SSN: _____ or Fed I.D. #: _____

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 12/13/2018 end date 6/30/2019
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Professional Development on "Understanding Dyslexia & Structured Literacy Instruction"
for Special Education Staff

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$ 1,800 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Other:** \$ 200.00 (describe rate agreement) Travel Expense

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
08	380	1820-A	5815	2,000		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



RECEIVED NOV 19 ENT'D

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**Request for Contracted Services**To: BUSINESS OFFICE Contract No.: _____ Vendor No.: 12952Special Education (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:☐ **MOU** (negotiated Agreement)☒ **MASTER CONTRACT PARTICIPATION**☐ Exhibit B & C (Fingerprinting and TB Test)☐ Scope of Work/Proposal**Note:** All Contracts over \$5,000 require pre-approval.

* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Second Start Learning Disabilities, DBA - Pine hill SchoolAddress: 1325 Bouret Drive City: San Jose State: CA Zip: 95118Phone: 408 , 979-8210 Email: _____

SSN: _____ Fed I.D. #: _____

CONTRACT TERM: start dates October 1, 2018 end date June 30, 2019**CONTRACTOR'S OBLIGATION:**

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Tuition related cost for non-public school for students residing in Alum Rock requiring this service.**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)X a. **Fee Rate:** \$ 236.00 per day Not to Exceed 194 days of services.X b. **Other:** \$ 18,925.40 45,784+18,925.40 = 64,709.40Describe other related costs: Counseling & Transportation**BUDGET CODE:** 08-380-1820-5100

Accountant Initials _____

Accountant Initials _____

APPROVALS:**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:**Site/Department Administrator: [Signature] Date: 11-19-18Director of Fiscal Services: [Signature] Date: _____

Asst. Supt., of Business Services: _____ Date: _____

Superintendent: _____ Date: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____
 FROM: Student Services (School/Dept.) VENDOR NO. _____
 PROGRAM MANAGER: Norma Flores

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Southwest Key Programs Inc.
 Address: 6322 Sovereign Suite 262 City: San Antonio State: TX Zip: 78229
 Phone: (210) 349-8957 Email Address: _____
 SSN: _____ or Fed I.D. #: 74-2481167

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date December 14, 2018 end date June 30, 2019
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Southwest Key Program will offer group mentoring at the following sites: Fischer, Mathson, Renaissance at Fischer and Renaissance at Mathson. All ARUSD sites may refer to the one-on-one mentoring program facilitated by Southwest Keys.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$0 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Other:** \$0 (describe rate agreement) No Cost to District

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Contracted Services

To: BUSINESS OFFICE Contract No.: _____ Vendor No.: _____

Student Services (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☐ MOU (negotiated Agreement)
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ MASTER CONTRACT PARTICIPATION
☐ Scope of Work/Proposal

Note: All Contracts over \$5,000 require pre-approval.

* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: The Board of Trustees of the Leland Stanford Junior University

Address: 3145 Porter Drive City: Palo Alto State: CA Zip: 94304

Phone: () _____ Email: reikor@stanford.edu

SSN: _____ Fed I.D. #: 94-1156365

CONTRACT TERM: start dates December 14, 2018 end date June 30, 2019

CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Girl BAND research project out of Stanford University's Center for Interdisciplinary Brain Sciences seeks collaboration with ARUSD by sharing information about participation to district families by coordinating informal presentations. Aims to investigate anxiety symptoms.

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

 a. **Fee Rate:** \$ _____ per _____ Not to Exceed _____ of services.

 b. **Other:** \$ _____

Describe other related costs: No Cost to District

BUDGET CODE: _____

Accountant Initials

Accountant Initials

APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: Nancy Pluta / R.S. Date: 10/22/18

Director of Fiscal Services: _____ Date: _____

Asst. Supt., of Business Services: _____ Date: _____

Superintendent: _____ Date: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. _____

FROM: Visual and Performing Arts (School/Dept.)

VENDOR NO. 21331

PROGRAM MANAGER: Noli Magsino

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Mexican Heritage Plaza (School of Arts & Culture)

Address: 1700 Alum Rock Avenue City: San Jose State: CA Zip: 95116

Phone: (408) 794-6250 Email Address: _____

SSN: _____ or Fed I.D. #: 80-07-14-882

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date December 10, 2018 end date December 12, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

VAPA Winter Showcase 2018 includes performances by VAPA Bands, Drumline, Choirs,
Art Exhibits in the MHP Theater and Pavilion.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☐ a. **Fee Rate:** \$_____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$3850.00 (describe rate agreement) Not to Exceed \$3850.00

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	666	52610	5610	3850.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

11.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: November 28, 2018

Subject: **Acceptance of Donations**

Staff Analysis: The District has received donations as summarized on the sheet dated December 13, 2018.

Recommendation: Staff recommends approval for acceptance of these donations.

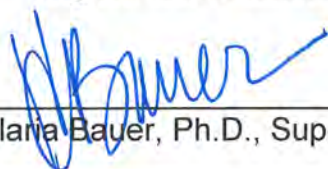
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018
 Regular Board Meeting

Recommend Approval

11.04
Agenda Placement


Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ACCEPTANCE OF DONATIONS -OCTOBER

<u>DONOR NAME</u>	<u>DESCRIPTION OR PURPOSE</u>	<u>ESTIMATED VALUE</u>	<u>RECEIVING SCHOOL OR DEPARTMENT</u>
Mr. Buddy Parden Jr.	Materials & Supplies	\$ 100.00	ARUESD-Family Winter Luncheon
Ms. Cheryl Jordan	Materials & Supplies	\$ 150.00	ARUESD-Family Winter Luncheon
Ms. Kristine McNatt	Materials & Supplies	\$ 20.00	ARUESD-Family Winter Luncheon
Ms. Tiffany Walsh	Materials & Supplies	\$ 1,200.00	ARUESD-Family Winter Luncheon
Synopsys Silicon Valley Science & Tech	Materials & Supplies	\$ 500.00	Adelante Academy
The Trust for Hidden Villa	Materials & Supplies	\$ 719.42	Cassell Elementary
Synopsys Silicon Valley Science & Tech	Materials & Supplies	\$ 400.00	Cureton Elementary
Synopsys Silicon Valley Science & Tech	Materials & Supplies	\$ 600.00	Hubbard Elementary
Hillcresters	Materials & Supplies	\$ 1,000.00	Lyndale Elementary
YourCause	Materials & Supplies	\$ 40.00	McCollam Elementary
Synopsys Silicon Valley Science & Tech	Materials & Supplies	\$ 500.00	McCollam Elementary
YourCause	Materials & Supplies	\$ 40.00	McCollam Elementary
eScrip	Materials & Supplies	\$ 128.17	McCollam Elementary
Synopsys Silicon Valley Science & Tech	Materials & Supplies	\$ 300.00	Meyer Elementary
Synopsys Silicon Valley Science & Tech	Materials & Supplies	\$ 500.00	Ocala STEAM Academy
Mr. Thu Nguyen	Materials & Supplies	\$ 10.00	Painter Elementary
Environmental Volunteers	Materials & Supplies	\$ 217.60	Painter Elementary
Synopsys Silicon Valley Science & Tech	Materials & Supplies	\$ 500.00	McCollam Elementary
Renaissance Acad PTO	Materials & Supplies	\$ 1,207.20	Renaissance Academy
Russo McEntee PTA	Materials & Supplies	\$ 115.00	Russo/McEntee Academy

11.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: November 28, 2018

Subject: **Approval of Fundraising Activities**

Staff Analysis: The District has received fundraising requests as summarized on the attached sheet dated December 13, 2018.

Recommendation: Staff recommends approval of these fundraising event requests.

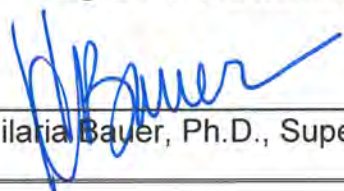
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018
 Regular Board Meeting

Recommend Approval

11.05
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

APPROVAL OF FUNDRAISING ACTIVITY

<u>SCHOOL</u>	<u>FUNDRAISING ACTIVITY</u>	<u>ACTIVITY SPONSOR</u>	<u>DATE</u>
ARUESD-DHO Music	Brochure Sales	AREF (AYA)	10/22/18-11/02/18
Adelante Academy	School Dances' Sales	ASB	12/07/18-05/02/19
Adelante Academy	Leukemia Cancer Sales	PTA	12/03/18-12/21/18
Adelante Academy	School Dances' Sales	PTA	12/07/18-02/08/19
Adelante Academy	See's Candies Sales	PTA	11/26/18-12/07/18
Adelante Academy	Holiday Boutique Sales	PTA	11/30/18-11/30/18
Adelante Academy	Wrestling Match Sales	PTA	11/08/18-11/08/18
Adelante Academy	Yearbook Sales	ASB	01/08/19-01/31/19
Adelante Academy	Photos with Santa Sales	PTA	12/19/18-12/19/18
Aptitud Academy	Christmas Event Sales	PTA	12/20/18-12/20/18
Aptitud Academy	Dance Sales	PTA	12/13/18-12/13/18
Aptitud Academy	Chocolate Sales	PTA	10/15/18-10/30/18
Aptitud Academy	Chuck E Cheese Family Night Sales	ASB	12/07/18-12/07/18
Dorsa Elementary	World's Finest Chocolate Sales	ASB	09/24/18-10/12/18
Hubbard Elementary	Chuck E Cheese Family Night Sales	ASB	01/25/19-01/25/19
Hubbard Elementary	Chuck E Cheese Family Night Sales	ASB	03/08/19-03/08/19
Hubbard Elementary	Read-a-Thon Sales	ASB	03/27/19-03/27/19
Hubbard Elementary	Chuck E Cheese Family Night Sales	ASB	05/03/19-05/03/19
Lyndale Elementary	Candy Bar Sales	ASB	12/14/18-01/25/19
Mathson Middle	Contributions to the ASB Account	ASB	08/24/18-06/30/19
Mathson Middle	Thanksgiving Feast Ticket Sales	PTO	11/16/18-11/16/18
Ryan Elementary	Clothing Sales	ASB	10/01/18-01/31/19
Ryan Elementary	Box Top Sales	ASB	12/01/18-06/11/19
Russo/McEntee Academy	Book Fair Sales	ASB	12/17/18-12/21/18
San Antonio Elementary	Book Fair Sales	ASB	12/17/18-12/21/18
San Antonio Elementary	Movie Night Sales	PTA	08/24/18-06/11/19

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

11.06

To the Board of Trustees:

December 1, 2018

Subject: Vendor & Payroll Warrants

Staff Analysis: Summary of Vendor and Payroll Warrants issued during November month of Fiscal Year 2018/2019.

Total of vendor warrants	\$	5,620,588.34
Total of payroll warrants	\$	4,800,433.45
Total	\$	10,421,021.79

Recommendation: Staff recommends approval of the Vendor & Payroll Warrants for the month of November 2018.

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

Recommend Approval

11.06
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

November 2018

	<u>VENDOR WARRANTS</u>	<u>AMOUNT</u>
Fund		
03,05,06,08	General Fund (Incl Payroll A/P)	\$3,073,497.36
13	Cafeteria Fund	\$429,837.35
14	Deferred Maintenance Fund	\$0.00
21	Building Fund	\$45,373.85
25	Capital Facilities Fund	\$0.00
35	County School Facility Fund	\$553,541.87
40	Special Reserve for Capital Outlay Fund	\$0.00
67	Health and Welfare	\$1,506,149.55
68	Worker's Comp	\$297.48
	Subtotal	<u>\$5,608,697.46</u>
95	Student Body Fund	\$11,890.88
	Total Vendor Warrants	<u>\$5,620,588.34</u>

PAYROLL WARRANTS

10th of the month	\$482,621.30
EOM Payroll	\$4,314,009.77
Vacation Pay Out	\$0.00
Manual Checks	\$3,802.38
Void Checks	\$0.00
Total Payroll Warrants	<u>\$4,800,433.45</u>
Grand Total All Warrants	<u>\$10,421,021.79</u>

AP Warrants used #
AP Direct Deposit Stub Used #

From	To
144278	- 144870
V1011277	- V1011284

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

11.07

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:

December 1, 2018

Subject: Enrollment/Attendance Report for Month 3
(October 15, 2018 thru November 9, 2018)

Staff Analysis: The Enrollment/Attendance Report for the attendance November 9, 2018 provides information on how many students are enrolled at each school and what percent attended class. This report captures our ADA percentages for the first seven months of the school year. It establishes our Local Control Funding Formula (LCFF) for 2018/2019 school year.

Month 3

November 9, 2018

Regular Ed & SDC ADA 95.84%

Recommendation: Staff recommends acceptance of this month's Enrollment/Attendance Report.

Submitted by: Alison Howard Title: Data Tech, Student Services

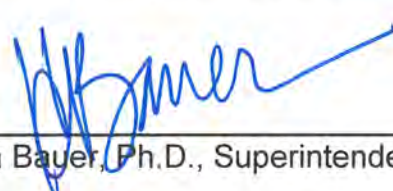
Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

Recommend Approval

11.07
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Enrollment/ADA 2018-2019

[illegible][illegible][illegible]

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

11.08

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:

Date: December 4, 2018

Subject: Santa Clara County Treasury Investment Portfolio Status

Staff Analysis: In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with county-policy, the Santa Clara County Treasury Investment Portfolio Report as of September 30, 2018 is submitted for the Board of Trustee's review and acceptance.

Recommendation: Staff recommends that the Board of Trustees approve the SCC Investment Portfolio Report as of September 30, 2018.

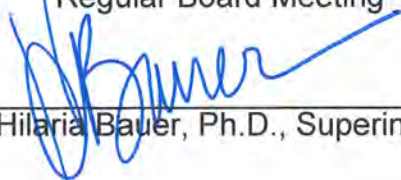
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

Recommend Approval

11.08
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

County of Santa Clara



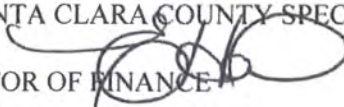
Finance Agency Controller-Treasurer Department

County Government Center
70 W. Hedding Street, East Wing, 2nd Floor
San Jose, California 95110-1705
(408) 299-5200 FAX (408) 288-9237

November 28, 2018

Submitted by: Alan Minato
Alan Minato, Controller-Treasurer

TO: BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS
BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM: EMILY HARRISON, DIRECTOR OF FINANCE 

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

RECOMMENDATION

Receive and file the September 30, 2018 Detailed Investment Portfolio Listing.

DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of September 30, 2018 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

	Cost	Market Value	Increase (Decrease)	Percent
Commingled Investments	\$ 6,126,862,543	\$6,064,553,473	(\$62,309,070)	(1.02%)

The yield of the pool on September 30, 2018 was 1.86%. As a comparison, on September 30, 2018 the yield of a 6-month Treasury Bill was 2.36%. A two-year Treasury Note was 2.81%. The State of California Local Agency Investment Fund (LAIF) yield was 2.00%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

Attachments:

September 2018 Quarterly Investment Summary



Quarterly Investment Report

September 30, 2018



Quarterly Investment Review Table of Contents

Quarterly Investment Report Table of Contents

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Commingled Pool: Allocation by Ratings	6
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Holdings Report: San Jose-Evergreen	27
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Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Ken Yeager, S. Joseph Simitian

County Executive: Jeffrey V. Smith



Santa Clara County Commingled Pool and Segregated Investments

September 30, 2018

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	\$6,126,862,543	\$6,064,553,473	-\$62,309,070	-1.02%
Worker's Compensation	\$28,400,012	\$28,289,105	-\$110,906	-0.39%
Park Charter Fund	\$4,183,065	\$4,152,311	-\$30,753	-0.74%
San Jose-Evergreen	\$16,942,574	\$16,864,672	-\$77,903	-0.46%
Medical Malpractice Insurance Fund (1)	\$9,259,164	\$9,073,412	-\$185,753	-2.01%
Total	\$6,185,647,358	\$6,122,932,973	-\$62,714,385	-1.01%

(1) Managed by Chandler Asset Management, Inc.

Summary of Yields* for Select Santa Clara County Investment Funds

Fund	2018		2017
	Jul 31	Aug 31	Sep 30
Commingled Investment Pool	1.82%	1.82%	1.86%
Worker's Compensation	1.77%	1.80%	1.98%
Weighted Yield	1.82%	1.82%	1.86%

*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest is paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

**Cost Value is the amortized book value of the securities as of the date of this report.



Santa Clara County Commingled Pool and Segregated Investments

Portfolio Strategy

September 30, 2018

Federal Reserve Bank (Fed) policy makers increased interest rates by a quarter of a percentage point on September 26, 2018. This was the eighth increase since December 2015. The hike shifted the targeted trading range for the federal funds rate, the Fed's benchmark interest rate, to a range of 2 percent to 2.25 percent. It is widely expected that policy makers will raise rates again in December 2018. In lockstep with ongoing interest rate hikes being made by the Fed, U.S. government bond yields have also steadily climbed. The two-year U.S. Treasury Note yielded 2.82 percent at September 30, 2018, an increase of 92 basis points from December 31, 2017.

So far, rising interest rates has not constrained growth even though the Fed has raised its benchmark rate by two percentage points since 2015. The current economic expansion is already the second-longest in history, surpassed only by the decade of the 1990s. The economy appears to be on sturdy footing and poised to grow at an above-trend pace for the next several years. Gross domestic product (GDP)—the value of all goods and services produced in the U.S.—grew 3.5 percent during the third quarter, July through September. Third-quarter GDP results were considered strong even though growth decelerated from the 4.2 percent pace of the prior quarter. Some economists conclude that broad financial conditions, typically factors such as equity prices, foreign-exchange values, credit spreads and commodity prices, which influence economic growth, are as favorable today as in 2015 before the Fed began lifting rates.

Furthermore, the shift in interest rates has not had a discernible impact upon labor markets. Through the first nine months of the 2018, employers added an average of 211,000 workers to their payroll each month, well outpacing average monthly growth in 2017. The Labor Department reported that employers added 134,000 jobs this September, slightly lower than expected but the increase reflected a record 96th straight month of gains. Wages rose a solid 2.8 percent from a year earlier. Labor market strength was acutely underscored by the unemployment rate which fell from 3.9 percent to 3.7 percent, the lowest since December 1969. Only during the Vietnam and Korean Wars has the U.S. economy experienced a sustained period in which unemployment rates remained below 4 percent.

Currently, market observers perceive the potential effects of the trade dispute between the U.S. and China as a greater threat to growth than the domestic economy's ability to absorb higher borrowing costs. President Donald Trump's renegotiation of the NAFTA Treaty has allayed some fears, but the trade war with China is still ongoing. A sharp escalation in tensions between the two have resulted in increased tariffs on each other's goods and the threatening of more protectionist measures. Higher U.S. tariffs on Chinese goods are likely to slow GDP growth in China which would restrain economies in the rest of Asia. Japan is particularly vulnerable since roughly 20% of its exports go to China. There are no winners in a trade war. Eventually, the U.S. economy would be adversely impacted by a slowing global economy and a reduction in domestic consumption due to higher tariff costs.



Santa Clara County Commingled Pool and Segregated Investments

Portfolio Strategy

September 30, 2018

The portfolio strategy continues to focus on the:

- (1) acquisition of high-quality issuers;
- (2) identifying and selecting bonds with attractive valuations;
- (3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
- (4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curbing rules crafted after the 2008 financial crisis. These risk curbing rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. The Treasury Division has increased its capability to review a larger volume of inventory listings to find attractive bonds. Portfolio structuring does not solely rely on interest rate anticipation strategies, which primarily speculate on the direction of interest rates to earn favorable returns.



Santa Clara County Commingled Pool and Segregated Investments

Portfolio Compliance, Review, and Monitoring

September 30, 2018

Yield and Weighted Average Maturity

The yield of the Commingled Pool is 1.86 and the weighted average life is 509 days.

Compliance

The County Treasurer believes the Commingled Pool contains sufficient cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

Review and Monitoring

FTN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

Additional Information

Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations.

The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

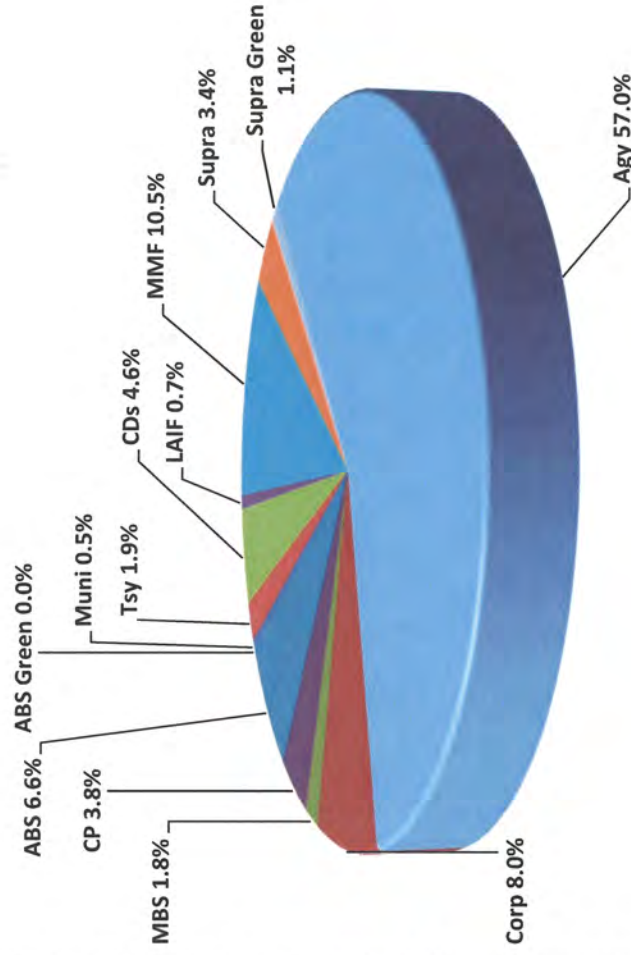


Santa Clara County Commingled Pool

Allocation by Security Types

September 30, 2018

Sector	9/30/2018	6/30/2018	% Chng
Federal Agencies	57.04%	52.73%	4.3%
Corporate Bonds	7.98%	6.23%	1.7%
Mortgage Backed Securities	1.83%	1.29%	0.5%
Commercial Paper	3.81%	4.50%	-0.7%
ABS	6.63%	5.68%	1.0%
ABS Green Bonds	0.03%	0.03%	0.0%
Municipal Securities	0.52%	0.42%	0.1%
U.S. Treasuries	1.87%	3.22%	-1.4%
Negotiable CDs	4.57%	17.93%	-13.4%
LAIF	0.67%	0.54%	0.1%
Money Market Funds	10.48%	3.40%	7.1%
Supranationals	3.43%	3.10%	0.3%
Supranationals Green Bonds	1.14%	0.92%	0.2%
Total	100.00%	100.00%	



Sector	9/30/2018	6/30/2018
Federal Agencies	3,494,862,445	3,999,083,670
Corporate Bonds	488,697,843	472,745,630
Mortgage Backed Securities	112,012,904	97,638,101
Commercial Paper	233,227,345	341,479,876
ABS	406,502,129	430,657,064
ABS Green Bonds	1,627,580	2,317,839
Municipal Securities	32,005,371	32,009,467
U.S. Treasuries	114,375,248	244,084,503
Negotiable CDs	280,000,000	1,360,000,000
LAIF	41,047,764	40,853,909
Money Market Funds	642,369,779	258,136,233
Supranational	210,134,135	235,134,859
Supranationals Green Bonds	70,000,000	70,000,000
Total	6,126,862,543	7,584,141,151

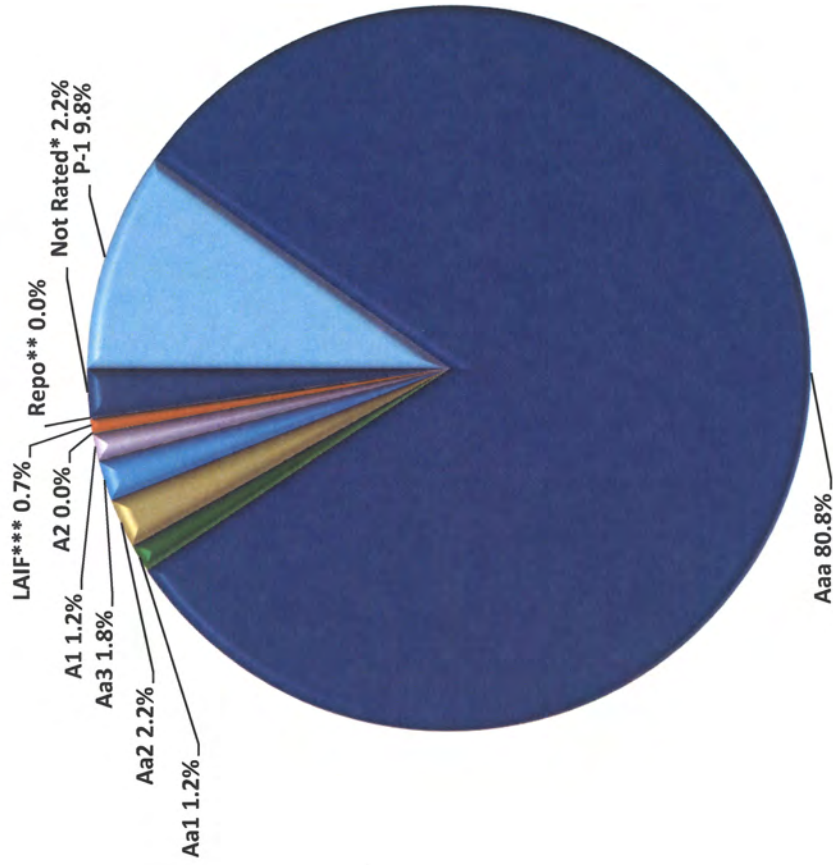
Amounts are based on book value



Santa Clara County Commingled Pool

Allocation by Ratings

September 30, 2018



Moody's Rating	Portfolio \$	Portfolio %
P-1	600,934,538	9.8%
Aaa	4,951,890,471	80.8%
Aa1	74,964,953	1.2%
Aa2	136,121,476	2.2%
Aa3	112,325,480	1.8%
A1	74,687,992	1.2%
A2	-	0.0%
A3	-	0.0%
LAIF***	41,047,764	0.7%
Repo**	-	0.0%
Not Rated*	134,889,868	2.2%
Total	6,126,862,543	100.0%

*Not Rated by Moody's but A-1+ by S&P

**Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

***LAIF is not rated, but is comprised of State Code allowable securities

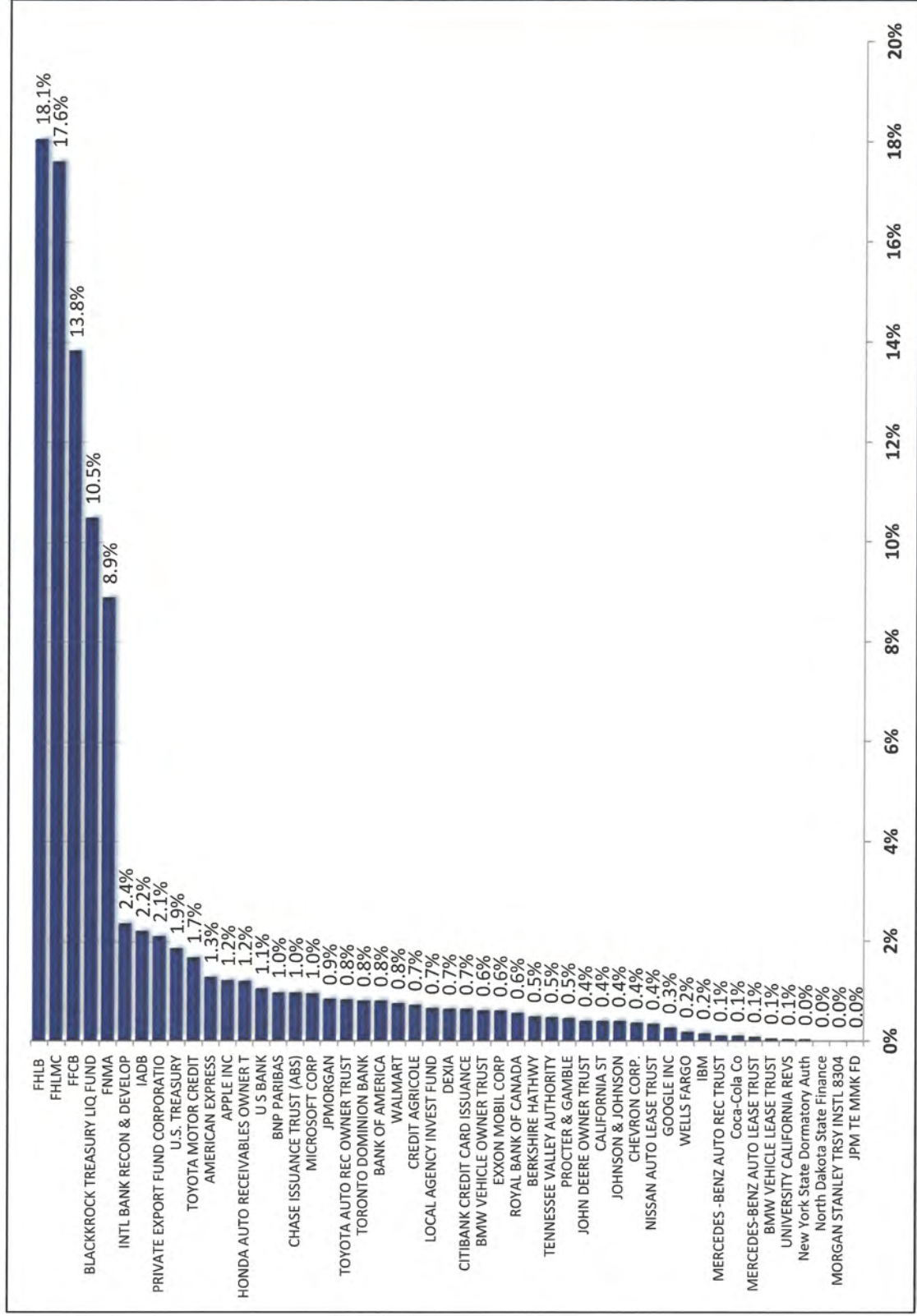
Amounts are based on book values



Santa Clara County Commingled Pool

Holdings by Issuer - Percent of Commingled Pool

September 30, 2018



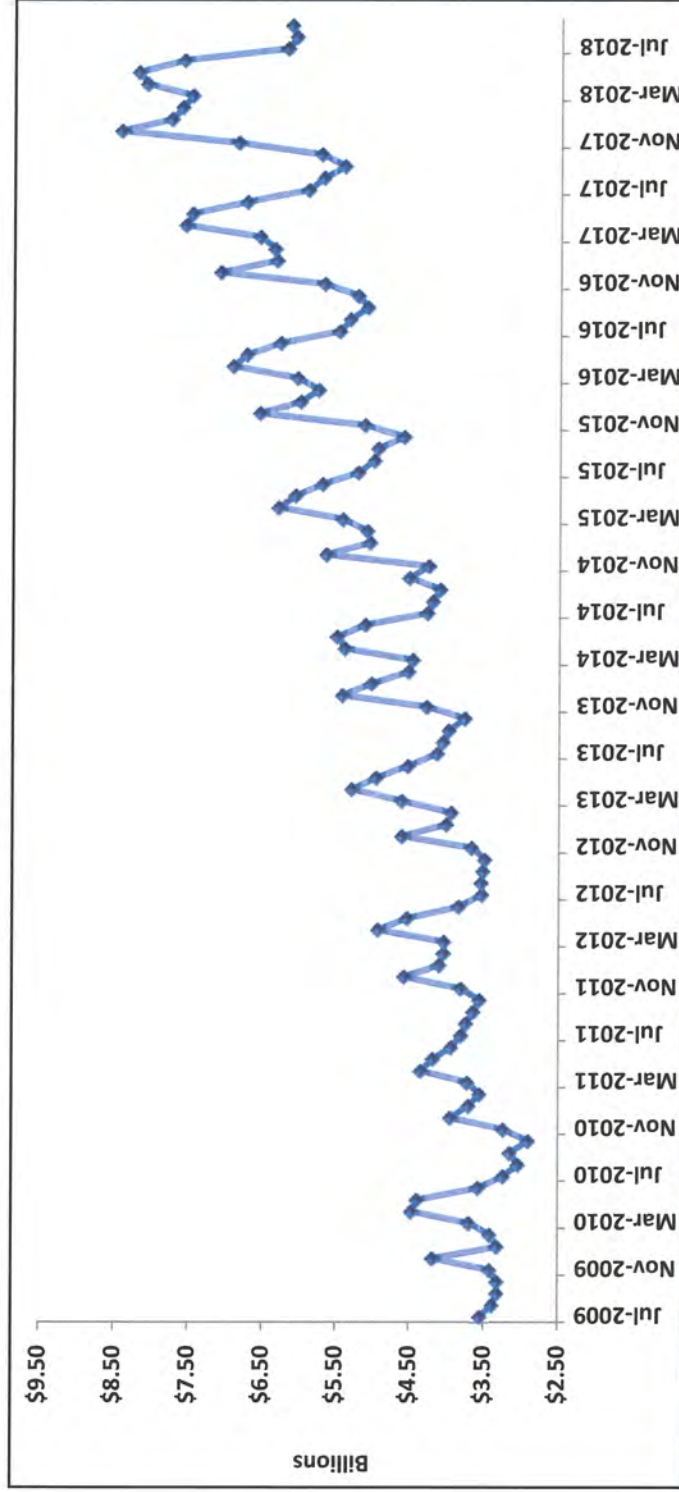
Amounts are based on book values



Santa Clara County Commingled Pool

Historical Month End Book Values

September 30, 2018



Fiscal Year	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	\$3.541	\$3.373	\$3.307	\$3.307	\$3.408	\$4.175	\$3.307	\$3.408	\$3.687	\$4.463	\$4.384	\$3.536
FY 2011	\$3.230	\$3.032	\$3.143	\$2.898	\$3.227	\$3.943	\$3.695	\$3.551	\$3.712	\$4.339	\$4.179	\$3.935
FY 2012	\$3.801	\$3.736	\$3.637	\$3.555	\$3.805	\$4.567	\$4.097	\$4.040	\$4.032	\$4.926	\$4.525	\$3.833
FY 2013	\$3.508	\$3.517	\$3.515	\$3.469	\$3.645	\$4.600	\$3.918	\$3.982	\$4.606	\$5.286	\$4.952	\$4.521
FY 2014	\$4.133	\$4.052	\$3.975	\$3.758	\$4.271	\$5.419	\$5.019	\$4.520	\$4.461	\$5.386	\$5.487	\$5.108
FY 2015	\$4.267	\$4.194	\$4.096	\$4.051	\$4.247	\$5.639	\$5.045	\$5.085	\$5.420	\$6.284	\$6.065	\$5.690
FY 2016	\$5.212	\$4.990	\$4.941	\$4.587	\$5.120	\$6.543	\$5.997	\$5.752	\$6.040	\$6.911	\$6.728	\$6.263
FY 2017	\$5.469	\$5.328	\$5.088	\$5.220	\$5.671	\$7.082	\$6.319	\$6.348	\$6.550	\$7.556	\$7.469	\$6.730
FY 2018	\$5.898	\$5.689	\$5.408	\$5.720	\$6.850	\$8.427	\$7.754	\$7.608	\$7.472	\$8.079	\$8.192	\$7.584
FY 2019	\$6.180	\$6.068	\$6.127									

Amounts in billions

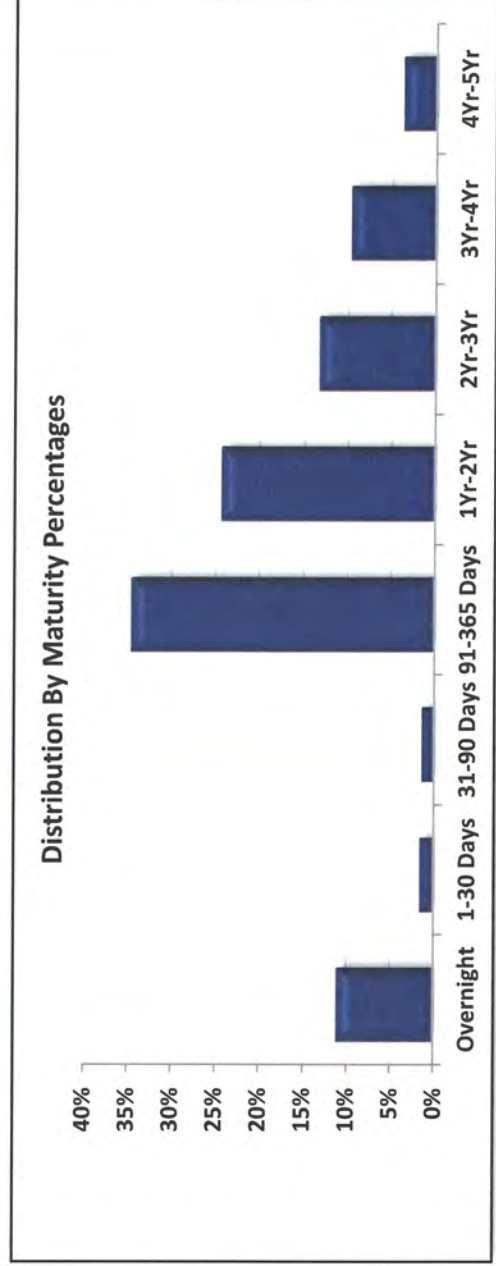
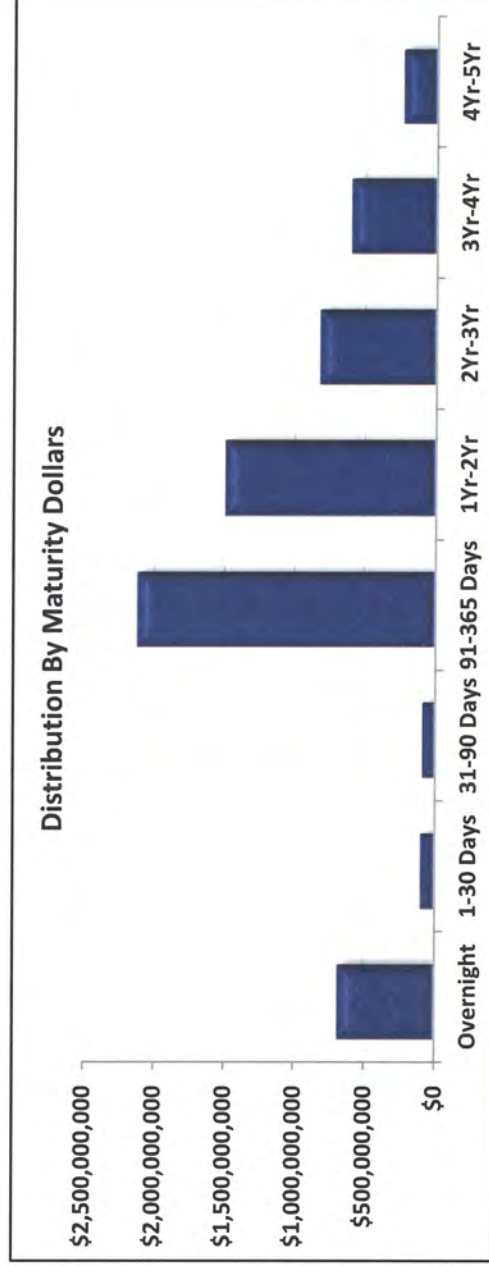


Santa Clara County Commingled Pool

Distribution by Maturity

September 30, 2018

Maturity	Amount*
Overnight	683,417,543
1-30 Days	96,500,693
31-90 Days	85,618,960
91-365 Days	2,117,147,402
1Yr-2Yr	1,493,520,640
2Yr-3Yr	818,729,928
3Yr-4Yr	598,290,770
4Yr-5Yr	233,636,607
	6,126,862,543



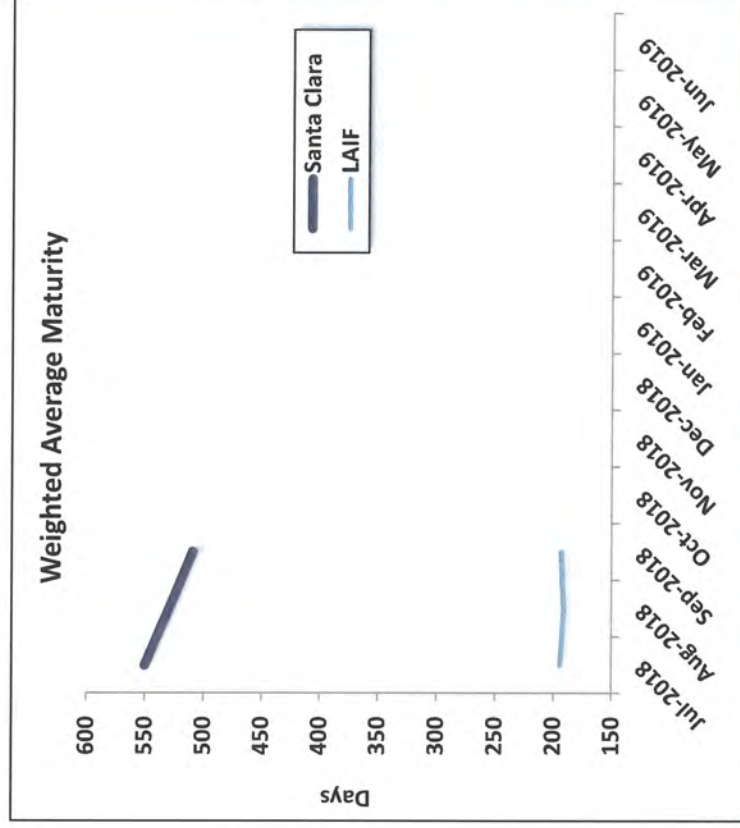
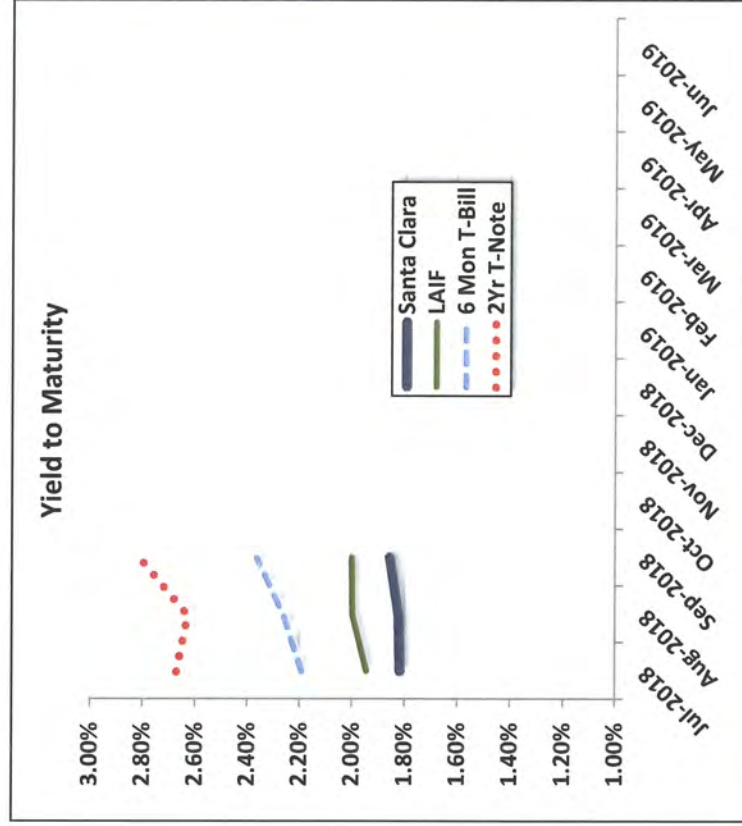
Maturity	Amount*
Overnight	11.15%
1-30 Days	1.58%
31-90 Days	1.40%
91-365 Days	34.56%
1Yr-2Yr	24.38%
2Yr-3Yr	13.36%
3Yr-4Yr	9.77%
4Yr-5Yr	3.81%
	100.00%

*Amounts are based on book value



Santa Clara County Commingled Pool Yield to Maturity and Weighted Average Maturity

September 30, 2018



Item	Jul-18	Aug-18	Sep-18	Oct-18	Nov-18	Dec-18	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19
SCC YTM	1.82%	1.82%	1.86%									
LAIF YTM	1.94%	2.00%	2.00%									
6 Mon T-Bill	2.19%	2.26%	2.36%									
2Yr T-Note	2.67%	2.63%	2.81%									
SCC WAM	550	529	509									
LAIF WAM	194	191	193									



Santa Clara County

Approved Issuers and Broker/Dealers

September 30, 2018

Direct Commercial Paper Issuers

General Electric
Toyota Motor Credit
US Bank, NA
Dexia Credit Local, NY

Broker/Dealers

Academy Securities, Inc
Bank of America Merrill Lynch
Barclays Capital, Inc
BMO Capital Markets
BNP Paribas Securities Corp
BNY Mellon Capital Markets, LLC
BOK Financial Securities (Bank of Oklahoma)
Brean Capital LLC
Cantor Fitzgerald & Co
Citigroup Global Markets Inc
Daiwa Capital Markets America Inc
Deutsche Bank Securities Inc
FTN Financial Capital Markets
Incapital LLC
Jefferies & Co
JP Morgan Securities, Inc
Keybanc Capital Markets, Inc
Loop Capital Markets LLC
Mizuho Securities USA, Inc
MUFG Securities USA LLC
Raymond James, Inc.
RBC Capital Markets, Inc
UBS Financial Service Inc
Vining Sparks LP
Williams Capital

Santa Clara County Commingled Pool Compliance with Investment Policy

September 30, 2018



Item/Sector	Parameters		In Compliance
	Weighted Average Maturity (WAM)	Must be less than 24 months	
Maturity	Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years		Yes
Interest Periods	Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain		Yes
Investment Swaps	No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers' Acceptances, CP, Negotiable CDs, and Corporate Notes		Yes
Issuer Limits	No sector limit, no issuer limit, max maturity 5 years		Yes
U.S. Treasuries	No sector limit, no issuer limit, max maturity 5 years		Yes
U.S. Federal Agencies	No sector limit, no issuer limit, max maturity 5 years		Yes
LAIF	No sector limit, no issuer limit, CA State's deposit limit \$65 million		Yes
Repurchase Agreements	No sector limit, no issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities		Yes
Commercial Paper	Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least \$500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)		Yes
Corporate Bonds	Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories		Yes
Money Market Funds	Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least \$500 mil managed		No
Negotiable Certificates of Deposit	Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)		Yes
Municipal Securities	Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues		Yes
Mortgage-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgage loans insured by FHLMC/FNMA and residential mortgages guaranteed by FHA (GNMA)		Yes
Asset-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)		Yes
Supranational Debt Obligations	Sector limit 10%, max maturity 5 years, issued or unconditionally guaranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)		Yes
Bankers' Acceptances	Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks, collateral must exceed market value of security by 2%		Yes, None in Portfolio
Securities Lending	Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days		Yes, None in Portfolio

SANTA CLARA COUNTY INVESTMENTS
Fund COMM - COMMINGLED POOL
Investments by Fund
September 30, 2018

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Negotiable CDs											
06052TZT0	38564	BANK OF AMERICA	04/05/2018	50,000,000.00	50,000,000.00	50,017,350.00	2.490	2.455	2.490	01/04/2019	95
34959TMZ6	38456	BNP PARIBAS	02/15/2018	60,000,000.00	60,000,000.00	59,968,200.00	2.290	2.290	2.321	02/01/2019	123
22534H3T6	38562	CREDIT AGRICOLE	04/05/2018	45,000,000.00	45,000,000.00	45,011,655.00	2.510	2.475	2.510	01/31/2019	122
25215FDD3	38688	DEXIA	05/04/2018	40,000,000.00	40,000,000.00	40,014,920.00	2.490	2.455	2.490	01/28/2019	119
78012UDV4	38751	ROYAL BANK OF CANADA	05/23/2018	15,000,000.00	15,000,000.00	14,999,700.00	2.660	2.660	2.696	05/24/2019	235
78012UGJ8	38848	ROYAL BANK OF CANADA	08/29/2018	20,000,000.00	20,000,000.00	19,985,380.00	2.630	2.630	2.666	07/05/2019	277
89113XE26	38563	TORONTO DOMINION BANK	04/05/2018	50,000,000.00	50,000,000.00	50,008,800.00	2.550	2.515	2.550	01/31/2019	122
Subtotal and Average				280,000,000.00	280,000,000.00	280,006,005.00		2.457	2.492		134
Mortgage Backed Securities (MBS)											
3137AYCE9	38387	FHLMC Multi-Family	01/12/2018	20,157,000.00	20,000,000.00	19,621,800.00	2.682	2.199	2.230	10/25/2022	1,485
3137AYCE9	38391	FHLMC Multi-Family	01/16/2018	7,555,078.13	7,500,000.00	7,358,175.00	2.682	2.209	2.239	10/25/2022	1,485
3137AWQH1	38465	FHLMC Multi-Family	02/22/2018	5,460,553.13	5,580,000.00	5,412,236.18	2.307	3.155	3.198	08/25/2022	1,424
3137B36J2	38643	FHLMC Multi-Family	03/20/2018	20,345,312.50	20,000,000.00	20,091,872.00	3.320	2.865	2.905	02/25/2023	1,608
3137AYCE9	38666	FHLMC Multi-Family	04/17/2018	11,616,539.06	11,745,000.00	11,522,902.05	2.682	3.032	3.074	10/25/2022	1,485
3137AYCE9	38744	FHLMC Multi-Family	06/05/2018	19,788,281.25	20,000,000.00	19,621,800.00	2.682	3.070	3.112	10/25/2022	1,485
3137B4WB8	38854	FHLMC Multi-Family	09/12/2018	4,768,285.16	4,775,000.00	4,768,285.16	3.060	2.968	3.009	07/25/2023	1,758
3137B5JM6	38864	FHLMC Multi-Family	09/25/2018	10,135,937.50	10,000,000.00	10,135,937.50	3.531	2.710	2.748	07/25/2023	1,758
3138LAYM5	38477	FNMA Multi-Family	02/27/2018	3,519,994.72	3,560,045.24	3,468,571.55	2.550	2.888	2.928	09/01/2022	1,431
3138B1XP4	38664	FNMA Multi-Family	04/30/2018	4,332,981.15	4,248,455.13	4,288,530.81	3.560	2.746	2.784	09/25/2021	1,090
3138B1XP4	38665	FNMA Multi-Family	04/30/2018	4,332,981.15	4,248,455.13	4,288,530.81	3.560	2.746	2.784	09/25/2021	1,090
Subtotal and Average				112,012,903.75	111,656,955.50	110,578,641.06		2.751	2.789		1,508
Federal Agency Bonds											
3133EEWG1	35799	FFCB NOTES	04/01/2015	9,946,526.21	9,950,000.00	9,893,215.35	1.300	1.353	1.371	04/01/2019	182
3133EFPJ0	36370	FFCB NOTES	11/19/2015	14,999,920.00	15,000,000.00	14,982,195.00	1.290	1.276	1.294	11/19/2018	49
3133EFPZ0	36584	FFCB NOTES	02/24/2016	14,997,736.94	15,000,000.00	14,925,750.00	1.030	1.057	1.072	02/12/2019	134
3133EFAY0	36726	FFCB NOTES	04/27/2016	26,835,785.11	26,855,000.00	26,631,673.82	1.000	1.112	1.128	04/26/2019	207
3133EGWH4	37018	FFCB NOTES	09/30/2016	10,005,632.68	10,000,000.00	9,529,860.00	1.280	1.243	1.260	09/29/2021	1,094
3133EGYN9	37049	FFCB NOTES	10/17/2016	5,000,000.00	5,000,000.00	4,997,030.00	0.940	0.927	0.940	10/17/2018	16
3133EGJ48	37112	FFCB NOTES	11/17/2016	24,993,616.13	25,000,000.00	24,954,925.00	0.890	1.082	1.097	11/16/2018	46

Portfolio SCL2
AP
FI (PRF_FI) 7.1.1
Report Ver. 7.3.6.1

Fund COMM - COMMINGLED POOL
Investments by Fund
September 30, 2018

Page 2

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Federal Agency Bonds											
3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000.00	10,000,000.00	9,718,200.00	2.010	1.982	2.010	12/08/2021	1,164
3133EGD26	37305	FFCB NOTES	12/30/2016	25,009,488.09	25,000,000.00	24,733,200.00	1.550	1.490	1.511	09/27/2019	361
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	4,855,870.00	2.030	2.002	2.030	01/27/2022	1,214
3133EGSQ4	37399	FFCB NOTES	02/01/2017	20,000,000.00	20,000,000.00	19,928,440.00	1.300	1.282	1.300	02/01/2019	123
3133EG6C4	37404	FFCB NOTES	02/03/2017	14,999,062.78	15,000,000.00	14,767,230.00	1.550	1.533	1.554	02/03/2020	490
3133EG3X1	37466	FFCB NOTES	02/16/2017	14,997,137.54	15,000,000.00	14,950,095.00	1.250	1.297	1.315	01/17/2019	108
3133EHB40	37502	FFCB NOTES	03/02/2017	19,989,401.48	20,000,000.00	19,654,900.00	1.520	1.537	1.558	03/02/2020	518
3133EHCX9	37566	FFCB NOTES	03/20/2017	13,471,489.20	13,475,000.00	13,413,594.43	1.450	1.485	1.506	03/20/2019	170
3133EHCX9	37574	FFCB NOTES	03/20/2017	25,000,938.89	25,000,000.00	24,886,075.00	1.450	1.422	1.441	03/20/2019	170
3133EG3X1	37628	FFCB NOTES	03/30/2017	24,993,856.26	25,000,000.00	24,916,825.00	1.250	1.316	1.334	01/17/2019	108
3133EHEZ2	37639	FFCB NOTES	04/06/2017	15,000,000.00	15,000,000.00	14,735,985.00	1.600	1.578	1.600	04/06/2020	553
3133EHL2	37665	FFCB NOTES	04/13/2017	14,997,063.67	15,000,000.00	14,721,030.00	1.550	1.541	1.563	04/13/2020	560
3133EHL2	37666	FFCB NOTES	04/13/2017	9,998,415.56	10,000,000.00	9,814,020.00	1.550	1.539	1.560	04/13/2020	560
3133EHL2	37667	FFCB NOTES	04/13/2017	9,998,415.56	10,000,000.00	9,814,020.00	1.550	1.539	1.560	04/13/2020	560
3133EHGA5	37725	FFCB NOTES	04/21/2017	25,003,483.33	25,000,000.00	24,689,925.00	1.440	1.406	1.426	10/21/2019	385
3133EHGE7	37746	FFCB NOTES	04/24/2017	26,502,056.44	26,502,000.00	26,485,144.73	1.200	1.180	1.196	10/24/2018	23
3133EHHG1	37761	FFCB NOTES	05/03/2017	5,000,000.00	5,000,000.00	4,858,690.00	1.750	1.726	1.750	05/03/2021	945
3133EHHG1	37762	FFCB NOTES	05/03/2017	10,000,000.00	10,000,000.00	9,717,380.00	1.750	1.728	1.750	05/03/2021	945
3133EHJA2	37793	FFCB NOTES	05/08/2017	34,994,016.30	35,000,000.00	34,316,450.00	1.550	1.539	1.561	05/08/2020	585
3133EHHK5	37841	FFCB NOTES	05/23/2017	12,005,696.42	12,000,000.00	11,735,868.00	1.570	1.522	1.543	07/23/2020	661
3133EHMR1	37901	FFCB NOTES	06/12/2017	5,000,000.00	5,000,000.00	4,960,760.00	1.375	1.356	1.375	06/12/2019	254
3133EHMR1	37905	FFCB NOTES	06/12/2017	5,000,000.00	5,000,000.00	4,960,760.00	1.375	1.356	1.375	06/12/2019	254
3133EHW1	38024	FFCB NOTES	09/01/2017	3,004,462.50	3,000,000.00	2,898,072.00	1.700	1.624	1.647	09/01/2021	1,066
3133EHW1	38025	FFCB NOTES	09/01/2017	5,000,000.00	5,000,000.00	4,830,120.00	1.700	1.676	1.700	09/01/2021	1,066
3133EHW1	38026	FFCB NOTES	09/01/2017	7,011,382.29	7,000,000.00	6,762,168.00	1.700	1.619	1.642	09/01/2021	1,066
3133EHA24	38046	FFCB NOTES	09/20/2017	9,977,209.51	10,000,000.00	9,641,680.00	1.660	1.715	1.739	09/20/2021	1,085
3133EHJ95	38081	FFCB NOTES	10/26/2017	9,997,585.65	10,000,000.00	9,780,410.00	1.750	1.737	1.762	10/26/2020	756
3133EHJ95	38082	FFCB NOTES	10/26/2017	4,996,895.83	5,000,000.00	4,890,205.00	1.750	1.756	1.780	10/26/2020	756
3133EHP31	38126	FFCB NOTES	11/10/2017	9,973,452.20	9,975,000.00	9,686,503.05	1.950	1.928	1.955	11/02/2021	1,128
3133EHW58	38169	FFCB NOTES	11/27/2017	14,996,766.67	15,000,000.00	14,701,335.00	1.900	1.943	1.970	11/27/2020	788
3133EHW58	38204	FFCB NOTES	12/01/2017	21,513,166.32	21,550,000.00	21,120,917.95	1.900	1.954	1.982	11/27/2020	788
3133EGYC3	38310	FFCB NOTES	12/20/2017	8,342,277.45	8,550,000.00	8,144,234.10	1.730	2.338	2.371	10/12/2022	1,472

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3133EJGH6	38506	FFCB NOTES	03/15/2018	23,005,082.75	23,000,000.00	22,816,161.00	2.440	2.395	2.429	10/15/2020	745
3133EJHS1	38544	FFCB NOTES	03/27/2018	24,499,565.53	24,500,000.00	24,450,877.50	2.250	2.222	2.253	06/27/2019	269
3133EJHL6	38545	FFCB NOTES	03/27/2018	9,993,448.89	10,000,000.00	9,939,680.00	2.375	2.387	2.420	03/27/2020	543
3133EJLU1	38629	FFCB NOTES	04/24/2018	14,994,369.05	15,000,000.00	14,941,095.00	2.420	2.829	2.869	01/24/2020	480
3133EJLU1	38630	FFCB NOTES	04/24/2018	29,993,693.33	30,000,000.00	29,882,190.00	2.420	2.814	2.854	01/24/2020	480
3133EJPU1	38718	FFCB NOTES	05/21/2018	4,979,687.27	5,000,000.00	4,975,495.00	2.875	3.253	3.299	12/21/2022	1,542
3133EJSU4	38831	FFCB NOTES	06/29/2018	10,002,237.43	10,000,000.00	9,980,060.00	2.530	2.476	2.511	12/26/2019	451
3133EJTT6	38837	FFCB NOTES	07/05/2018	4,996,201.33	5,000,000.00	4,974,500.00	2.625	2.623	2.659	01/05/2021	827
3133EJTT6	38838	FFCB NOTES	07/05/2018	4,996,201.33	5,000,000.00	4,974,500.00	2.625	2.623	2.659	01/05/2021	827
313383VN8	35826	FHLB NOTES	04/09/2015	9,517,379.23	9,460,000.00	9,403,627.86	2.000	1.321	1.340	09/13/2019	347
313383VN8	35827	FHLB NOTES	04/09/2015	25,304,985.98	25,155,000.00	25,005,101.36	2.000	1.332	1.351	09/13/2019	347
313378QK0	35867	FHLB NOTES	04/15/2015	10,028,098.86	10,000,000.00	9,979,290.00	1.875	1.196	1.213	03/08/2019	158
3130A5Z77	36288	FHLB NOTES	10/21/2015	5,029,855.12	5,000,000.00	4,911,300.00	1.830	1.469	1.490	07/29/2020	667
3130A5Z77	36289	FHLB NOTES	10/19/2015	5,033,932.91	5,000,000.00	4,911,300.00	1.830	1.424	1.444	07/29/2020	667
3130A5Z77	36298	FHLB NOTES	10/23/2015	5,033,225.93	5,000,000.00	4,911,300.00	1.830	1.432	1.452	07/29/2020	667
3130A5Z77	36308	FHLB NOTES	10/26/2015	10,054,545.24	10,000,000.00	9,822,600.00	1.830	1.498	1.519	07/29/2020	667
3130A5Z77	36310	FHLB NOTES	10/26/2015	5,028,002.45	5,000,000.00	4,911,300.00	1.830	1.490	1.511	07/29/2020	667
313379EE5	36402	FHLB NOTES	12/02/2015	17,221,005.36	17,200,000.00	17,091,657.20	1.625	1.243	1.261	06/14/2019	256
313379EE5	36550	FHLB NOTES	02/05/2016	15,052,357.82	15,000,000.00	14,905,515.00	1.625	1.102	1.117	08/14/2019	256
3130A7PU3	36679	FHLB NOTES	04/11/2016	10,007,785.71	10,000,000.00	9,764,590.00	1.200	1.131	1.147	04/06/2020	553
313378M2	36707	FHLB NOTES	04/19/2016	20,061,364.10	20,015,000.00	19,941,204.70	1.500	0.946	0.960	03/08/2019	158
313378J77	36756	FHLB NOTES	05/12/2016	15,160,171.98	15,000,000.00	14,800,785.00	1.875	1.105	1.120	03/13/2020	529
313378J77	36760	FHLB NOTES	05/13/2016	6,061,573.30	6,000,000.00	5,920,314.00	1.875	1.133	1.149	03/13/2020	529
3133834H1	36877	FHLB NOTES	07/12/2016	15,079,560.00	15,000,000.00	14,641,950.00	1.375	1.040	1.055	08/12/2020	529
313378J77	36886	FHLB NOTES	07/15/2016	15,169,253.95	15,000,000.00	14,800,785.00	1.875	1.055	1.069	03/13/2020	529
3130A7L37	36896	FHLB NOTES	07/21/2016	5,006,721.59	5,000,000.00	4,974,855.00	1.250	0.939	0.952	03/15/2019	165
313380WG8	36993	FHLB NOTES	09/30/2016	19,595,963.05	19,500,000.00	18,962,151.00	1.375	1.100	1.115	09/11/2020	711
313380WG8	37022	FHLB NOTES	10/06/2016	12,033,540.64	12,000,000.00	11,669,016.00	1.375	1.210	1.227	09/11/2020	711
3133834H1	37037	FHLB NOTES	10/13/2016	10,021,957.09	10,000,000.00	9,761,300.00	1.375	1.225	1.242	06/12/2020	620
313380WG8	37054	FHLB NOTES	10/24/2016	10,030,064.42	10,000,000.00	9,724,180.00	1.375	1.199	1.216	09/11/2020	711
313383HU8	37058	FHLB NOTES	10/19/2016	15,145,816.34	15,000,000.00	14,729,115.00	1.750	1.147	1.163	06/12/2020	620
313380WG8	37072	FHLB NOTES	10/28/2016	13,888,486.13	13,860,000.00	13,477,713.48	1.375	1.248	1.266	09/11/2020	711

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3130AA3R7	37108	FHLB NOTES	11/17/2016	34,996,983.12	35,000,000.00	34,457,820.00	1.375	1.363	1.382	11/15/2019	410
3130AABG2	37149	FHLB NOTES	11/30/2016	14,962,235.35	15,000,000.00	14,530,995.00	1.875	1.931	1.957	11/29/2021	1,155
3130AAE46	37190	FHLB NOTES	12/08/2016	49,999,722.96	50,000,000.00	49,851,850.00	1.250	1.234	1.252	01/16/2019	107
3130AADN5	37289	FHLB NOTES	12/28/2016	40,000,925.60	40,000,000.00	39,643,440.00	1.375	1.353	1.371	06/28/2019	270
3130ABY72	37372	FHLB NOTES	01/26/2017	34,833,669.53	35,000,000.00	34,496,700.00	0.875	1.430	1.450	08/05/2019	308
3130AAXX1	37548	FHLB NOTES	03/10/2017	19,989,356.04	20,000,000.00	19,908,860.00	1.375	1.471	1.491	03/18/2019	168
3130AAXX1	37549	FHLB NOTES	03/10/2017	19,989,356.04	20,000,000.00	19,908,860.00	1.375	1.471	1.491	03/18/2019	168
3130AAXX1	37614	FHLB NOTES	03/28/2017	25,000,147.01	25,000,000.00	24,886,075.00	1.375	1.354	1.373	03/18/2019	168
313382K69	37711	FHLB NOTES	04/13/2017	25,881,828.67	25,860,000.00	25,179,494.10	1.750	1.690	1.714	03/12/2021	893
313378CR0	37726	FHLB NOTES	04/19/2017	25,353,280.36	25,000,000.00	24,434,325.00	2.250	1.794	1.819	03/11/2022	1,257
313378J77	37778	FHLB NOTES	04/28/2017	14,067,219.48	14,000,000.00	13,814,086.00	1.875	1.513	1.535	03/13/2020	529
3130ABDX1	37816	FHLB NOTES	05/24/2017	30,000,000.00	30,000,000.00	29,788,920.00	1.400	1.380	1.400	05/24/2019	235
313379R87	37961	FHLB NOTES	06/30/2017	5,016,621.75	5,000,000.00	4,869,480.00	1.875	1.722	1.746	06/11/2021	984
3130ACE26	38033	FHLB NOTES	09/08/2017	21,953,968.80	22,000,000.00	21,380,590.00	1.375	1.462	1.482	09/28/2020	728
3130A3UQ5	38102	FHLB NOTES	11/03/2017	10,014,556.35	10,000,000.00	9,775,700.00	1.875	1.781	1.805	12/11/2020	802
3130ACUK8	38178	FHLB NOTES	11/28/2017	25,000,000.00	25,000,000.00	24,380,825.00	2.000	1.972	2.000	05/28/2021	970
3130AAE46	38202	FHLB NOTES	11/30/2017	24,966,443.97	25,000,000.00	24,925,925.00	1.250	1.692	1.716	01/16/2019	107
313383HU8	38247	FHLB NOTES	12/08/2017	24,824,807.80	25,000,000.00	24,548,525.00	1.750	1.905	1.932	06/12/2020	620
3130A68T9	38269	FHLB NOTES	12/13/2017	24,828,365.38	25,000,000.00	24,428,000.00	1.625	1.961	1.989	09/11/2020	711
3130ADJH6	38418	FHLB NOTES	01/29/2018	7,612,219.47	7,615,000.00	7,550,500.95	2.100	2.099	2.128	01/29/2020	485
313370US5	38436	FHLB NOTES	02/05/2018	5,051,639.96	5,000,000.00	5,001,490.00	2.875	2.292	2.324	09/11/2020	711
313378EE5	38469	FHLB NOTES	02/21/2018	24,904,389.53	25,000,000.00	24,842,525.00	1.625	2.149	2.179	06/14/2019	256
3130ADUY6	38570	FHLB NOTES	04/06/2018	31,569,427.10	31,575,000.00	31,338,440.10	2.450	2.425	2.459	09/21/2020	721
3130ADXU1	38578	FHLB NOTES	04/09/2018	19,987,205.08	20,000,000.00	19,893,240.00	2.320	2.340	2.372	01/09/2020	465
3130A02T6	38596	FHLB NOTES	04/13/2018	25,012,238.24	25,000,000.00	24,927,800.00	2.375	2.289	2.321	09/13/2019	347
3130ABY72	38615	FHLB NOTES	04/19/2018	24,682,248.93	25,000,000.00	24,640,500.00	0.875	2.330	2.363	08/05/2019	308
3130AECJ7	38733	FHLB NOTES	05/21/2018	9,997,043.74	10,000,000.00	9,971,780.00	2.625	2.607	2.643	05/28/2020	605
3137EADM8	35873	FHLMC NOTES	04/16/2015	29,981,185.74	30,000,000.00	29,586,630.00	1.250	1.296	1.314	10/02/2019	366
3137EADM8	36322	FHLMC NOTES	10/29/2015	9,989,090.80	10,000,000.00	9,862,210.00	1.250	1.343	1.362	10/02/2019	366
3137EADM8	36332	FHLMC NOTES	11/04/2015	18,309,679.92	18,350,000.00	18,097,155.35	1.250	1.456	1.476	10/02/2019	366
3137EADM8	36337	FHLMC NOTES	11/06/2015	7,977,487.57	8,000,000.00	7,889,768.00	1.250	1.519	1.540	10/02/2019	366
3137EADM8	36340	FHLMC NOTES	11/09/2015	9,966,267.21	10,000,000.00	9,862,210.00	1.250	1.576	1.598	10/02/2019	366

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3137EAE09	36989	FHLMC NOTES	09/27/2016	19,914,935.16	20,000,000.00	19,033,000.00	1.125	1.261	1.278	08/12/2021	1,046
3137EAE09	36992	FHLMC NOTES	09/29/2016	9,961,065.49	10,000,000.00	9,516,500.00	1.125	1.248	1.265	08/12/2021	1,046
3137EAE05	37443	FHLMC NOTES	02/09/2017	20,005,725.90	20,000,000.00	19,687,980.00	1.500	1.456	1.477	01/17/2020	473
3134G3A91	37454	FHLMC NOTES	01/19/2017	19,999,105.47	20,000,000.00	19,786,460.00	1.400	1.385	1.404	08/22/2019	325
3134GA6W9	37580	FHLMC NOTES	02/28/2017	11,150,000.00	11,150,000.00	11,034,664.40	1.500	1.479	1.500	08/28/2019	331
3137EAEF2	37835	FHLMC NOTES	04/20/2017	44,920,342.50	45,000,000.00	44,040,150.00	1.375	1.471	1.491	04/20/2020	567
3137EAEJ4	38057	FHLMC NOTES	09/29/2017	14,981,950.28	15,000,000.00	14,653,350.00	1.625	1.663	1.687	09/29/2020	729
3137EAEK1	38135	FHLMC NOTES	11/15/2017	24,983,009.24	25,000,000.00	24,500,550.00	1.875	1.881	1.908	11/17/2020	778
3137EAE01	38403	FHLMC NOTES	01/19/2018	24,776,800.00	25,000,000.00	24,671,100.00	0.875	1.985	2.013	07/19/2019	291
3137EAE09	38462	FHLMC NOTES	02/16/2018	44,905,950.00	45,000,000.00	44,482,275.00	2.375	2.433	2.466	02/16/2021	869
3135G0U05	35847	FNMA NOTES	04/13/2015	7,024,558.17	7,000,000.00	6,902,504.00	1.750	1.474	1.494	03/06/2020	522
3135G0U05	35856	FNMA NOTES	04/14/2015	14,636,132.01	14,584,000.00	14,380,874.05	1.750	1.469	1.489	03/08/2020	522
3136FTZ25	36088	FNMA NOTES	06/26/2015	7,377,875.65	7,370,000.00	7,357,109.87	1.750	1.397	1.417	01/31/2019	121
3135G0A78	36347	FNMA NOTES	11/13/2015	9,993,953.58	10,000,000.00	9,857,690.00	1.625	1.585	1.607	01/21/2020	477
3135G0A78	36361	FNMA NOTES	11/18/2015	20,001,938.79	20,000,000.00	19,715,380.00	1.625	1.585	1.607	01/21/2020	477
3135G0A78	36383	FNMA NOTES	11/24/2015	9,995,824.32	10,000,000.00	9,857,690.00	1.625	1.635	1.658	01/21/2020	477
3135G0A78	36384	FNMA NOTES	11/24/2015	9,996,075.48	10,000,000.00	9,857,690.00	1.625	1.633	1.656	01/21/2020	477
3135G0H63	36505	FNMA NOTES	01/08/2016	24,998,351.36	25,000,000.00	24,923,425.00	1.375	1.376	1.395	01/28/2019	119
3135G0N33	37253	FNMA NOTES	12/19/2016	19,891,984.73	20,000,000.00	19,719,340.00	0.875	1.515	1.536	08/02/2019	305
3135G0S38	37582	FNMA NOTES	02/09/2017	10,033,438.39	10,000,000.00	9,711,320.00	2.000	1.866	1.892	01/05/2022	1,192
3136FTZ25	37620	FNMA NOTES	03/29/2017	10,879,376.81	10,865,000.00	10,845,997.12	1.750	1.324	1.343	01/30/2019	121
3135G0T45	37783	FNMA NOTES	04/10/2017	39,873,528.58	40,000,000.00	38,586,720.00	1.875	1.943	1.970	04/05/2022	1,282
3135G0S38	38079	FNMA NOTES	10/25/2017	9,997,667.55	10,000,000.00	9,711,320.00	2.000	1.979	2.007	01/05/2022	1,192
3135G0S38	38142	FNMA NOTES	11/16/2017	11,391,316.41	11,395,000.00	11,066,049.14	2.000	1.982	2.010	01/05/2022	1,192
3135G0T78	38163	FNMA NOTES	11/22/2017	4,966,062.29	5,000,000.00	4,809,720.00	2.000	2.149	2.179	10/05/2022	1,465
3135G0T60	38209	FNMA NOTES	12/04/2017	9,930,239.75	10,000,000.00	9,772,400.00	1.500	1.866	1.892	07/30/2020	668
3135G0N33	38367	FNMA NOTES	01/05/2018	39,639,861.73	40,000,000.00	39,438,680.00	0.875	1.946	1.973	08/02/2019	305
880591E00	38503	TENNESSEE VALLEY AUTHORITY	03/08/2018	19,968,862.72	20,000,000.00	19,852,260.00	2.250	2.327	2.360	03/15/2020	531
880591E00	38815	TENNESSEE VALLEY AUTHORITY	06/21/2018	9,950,287.18	10,000,000.00	9,926,130.00	2.250	2.535	2.570	03/15/2020	531
Subtotal and Average				2,173,554,882.96	2,174,311,000.00	2,143,373,293.61		1.645	1.668		512

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Federal Agency Bonds - CALLABLE											
3133EF2L0	36688	FFCB NOTES	04/13/2016	20,000,000.00	20,000,000.00	19,593,240.00	1.400	1.380	1.400	04/13/2020	560
3133EF2L0	36689	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,687,430.00	1.400	1.380	1.400	04/13/2020	560
3133EF2L0	36690	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,687,430.00	1.400	1.380	1.400	04/13/2020	560
3133EGKA2	36866	FFCB NOTES	07/08/2016	20,000,000.00	20,000,000.00	19,257,080.00	1.500	1.479	1.500	07/08/2021	1,009
3133EG2P9	37300	FFCB NOTES	12/29/2016	10,000,000.00	10,000,000.00	9,747,250.00	2.320	2.288	2.320	12/29/2021	1,185
3133EG2P9	37301	FFCB NOTES	12/29/2016	15,000,000.00	15,000,000.00	14,620,875.00	2.320	2.288	2.320	12/29/2021	1,185
3133EHKT9	38272	FFCB NOTES	12/13/2017	10,838,131.94	10,915,000.00	10,547,710.25	2.220	2.368	2.400	11/25/2022	1,516
3133EGGB2	38326	FFCB NOTES	12/28/2017	1,954,027.68	2,000,000.00	1,915,912.00	1.520	2.262	2.293	11/15/2021	1,141
3133EGNK7	38341	FFCB NOTES	12/29/2017	4,390,452.09	4,450,000.00	4,331,741.25	1.320	2.049	2.077	07/27/2020	665
3133EGAH8	38342	FFCB NOTES	12/29/2017	10,703,875.36	10,875,000.00	10,507,512.00	1.550	2.144	2.174	05/17/2021	959
3133EGKD6	38346	FFCB NOTES	12/29/2017	6,880,472.21	7,000,000.00	6,738,074.00	1.625	2.188	2.218	10/05/2021	1,100
3133EFF28	38368	FFCB NOTES	01/05/2018	5,177,717.64	5,245,000.00	5,096,178.37	1.650	2.171	2.202	03/01/2021	882
3130A3XL3	36117	FHLB NOTES	07/09/2015	7,236,128.22	7,250,000.00	7,129,265.75	1.500	1.524	1.646	02/10/2020	497
3130A7HM0	36641	FHLB NOTES	03/29/2016	19,998,351.85	20,000,000.00	19,888,660.00	1.250	1.249	1.267	03/29/2019	179
3130A9W49	37131	FHLB NOTES	11/25/2016	15,000,000.00	15,000,000.00	14,688,135.00	1.250	1.232	1.250	02/24/2020	511
3130AANA2	37382	FHLB NOTES	01/30/2017	25,000,000.00	25,000,000.00	24,520,325.00	1.750	1.726	1.750	07/30/2020	668
3130AANA2	37383	FHLB NOTES	01/30/2017	5,000,000.00	5,000,000.00	4,904,065.00	1.750	1.726	1.750	07/30/2020	668
3130A83T1	37641	FHLB NOTES	04/24/2017	20,000,000.00	20,000,000.00	19,620,380.00	1.750	1.726	1.750	07/24/2020	662
3130ABZE9	38016	FHLB NOTES	08/28/2017	8,400,000.00	8,400,000.00	8,215,124.40	1.650	1.627	1.650	08/28/2020	697
3130ACK52	38389	FHLB NOTES	01/12/2018	24,774,256.36	25,000,000.00	24,432,425.00	1.700	2.134	2.164	10/05/2020	735
3130AEXG0	38855	FHLB NOTES	09/25/2018	15,000,000.00	15,000,000.00	14,966,130.00	3.000	2.958	3.000	03/25/2022	1,271
3130A9MR9	38858	FHLB NOTES	09/17/2018	9,184,082.41	9,685,000.00	9,190,493.59	1.650	2.981	3.023	10/12/2022	1,472
3130AC2P8	38859	FHLB NOTES	09/17/2018	9,802,622.25	10,000,000.00	9,808,050.00	1.800	2.831	2.870	08/28/2020	697
3134G8JT7	36583	FHLMC NOTES	02/24/2016	12,500,000.00	12,500,000.00	12,282,775.00	1.500	1.479	1.500	02/24/2020	511
3134G8TV1	36644	FHLMC NOTES	03/29/2016	7,000,000.00	7,000,000.00	6,958,301.00	1.220	1.203	1.220	03/29/2019	179
3134G8S83	36648	FHLMC NOTES	03/30/2016	20,000,000.00	20,000,000.00	19,694,740.00	1.500	1.479	1.500	12/30/2019	455
3134G8PD5	36649	FHLMC NOTES	03/30/2016	19,998,860.32	20,000,000.00	19,737,420.00	1.350	1.337	1.355	09/30/2019	364
3134G8PD5	36650	FHLMC NOTES	03/30/2016	3,714,788.30	3,715,000.00	3,666,225.77	1.350	1.337	1.355	09/30/2019	364
3134G8PD5	36651	FHLMC NOTES	03/30/2016	6,375,000.00	6,375,000.00	6,291,302.63	1.350	1.331	1.350	09/30/2019	364
3134G8PD5	36654	FHLMC NOTES	03/30/2016	12,499,465.77	12,500,000.00	12,335,887.50	1.350	1.335	1.354	09/30/2019	364
3134G9DD6	36721	FHLMC NOTES	04/26/2016	11,997,722.22	12,000,000.00	11,909,112.00	1.150	1.167	1.184	04/26/2019	207
3134G8YU7	36722	FHLMC NOTES	04/26/2016	24,998,888.89	25,000,000.00	24,978,875.00	1.050	1.099	1.115	10/26/2018	25

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Federal Agency Bonds - CALLABLE											
3134G9AV9	36729	FHLMC NOTES	04/28/2016	14,995,392.86	15,000,000.00	14,769,780.00	1.250	1.261	1.279	10/28/2019	392
3134G9AV9	36730	FHLMC NOTES	04/28/2016	4,248,694.64	4,250,000.00	4,184,771.00	1.250	1.261	1.279	10/28/2019	392
3134G9HM2	36785	FHLMC NOTES	05/26/2016	9,000,000.00	9,000,000.00	8,855,046.00	1.300	1.282	1.300	11/26/2019	421
3134G9HW0	36787	FHLMC NOTES	05/26/2016	5,250,000.00	5,250,000.00	5,162,487.75	1.250	1.232	1.250	11/26/2019	421
3134G9QW0	36823	FHLMC NOTES	06/14/2016	20,000,000.00	20,000,000.00	19,825,060.00	1.280	1.262	1.280	06/14/2019	256
3134G9ZR1	36848	FHLMC NOTES	06/28/2016	10,626,016.81	10,625,000.00	10,591,669.38	1.000	0.946	0.959	12/28/2018	88
3134GAXX7	37148	FHLMC NOTES	11/30/2016	15,000,000.00	15,000,000.00	14,969,790.00	1.000	0.986	1.000	11/30/2018	60
3134G3K90	37344	FHLMC NOTES	01/19/2017	10,001,830.77	10,000,000.00	9,777,240.00	1.700	1.667	1.690	09/25/2020	725
3134GBBM3	37518	FHLMC NOTES	03/29/2017	25,000,000.00	25,000,000.00	24,460,550.00	2.000	1.972	2.000	03/29/2021	910
3134GA5T7	37578	FHLMC NOTES	02/28/2017	3,500,000.00	3,500,000.00	3,408,041.00	2.125	2.095	2.125	01/26/2022	1,213
3134GA5T7	37579	FHLMC NOTES	02/28/2017	20,000,000.00	20,000,000.00	19,474,520.00	2.125	2.096	2.125	01/26/2022	1,213
3134GBEW8	37621	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,778,525.00	1.500	1.479	1.500	07/26/2019	298
3134GBEW8	37668	FHLMC NOTES	04/26/2017	8,000,000.00	8,000,000.00	7,929,128.00	1.500	1.479	1.500	07/26/2019	298
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000.00	15,000,000.00	14,546,775.00	2.000	1.972	2.000	01/27/2022	1,214
3134GBEF5	37696	FHLMC NOTES	04/27/2017	3,640,000.00	3,640,000.00	3,579,619.68	1.700	1.676	1.700	04/27/2020	574
3134GBJJ2	37741	FHLMC NOTES	04/26/2017	10,000,000.00	10,000,000.00	9,743,020.00	1.750	1.726	1.750	01/26/2021	848
3134GBJJ2	37742	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,357,550.00	1.750	1.726	1.750	01/26/2021	848
3134GBLR1	37801	FHLMC NOTES	05/25/2017	20,000,000.00	20,000,000.00	19,534,320.00	1.750	1.726	1.750	11/25/2020	786
3134GBLQ3	37804	FHLMC NOTES	05/22/2017	20,000,000.00	20,000,000.00	19,641,200.00	1.650	1.627	1.650	05/22/2020	599
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000.00	15,000,000.00	14,566,995.00	2.000	1.972	2.000	02/24/2022	1,242
3134GBRR5	37849	FHLMC NOTES	06/15/2017	20,000,000.00	20,000,000.00	19,436,140.00	1.800	1.775	1.800	06/15/2021	988
3134GBRU8	37861	FHLMC NOTES	06/22/2017	30,000,000.00	30,000,000.00	29,408,970.00	1.625	1.602	1.625	06/22/2020	630
3134GBSM5	37880	FHLMC NOTES	06/22/2017	5,450,000.00	5,450,000.00	5,329,282.50	1.700	1.676	1.700	09/22/2020	722
3134GBRZ7	37893	FHLMC NOTES	06/20/2017	15,000,000.00	15,000,000.00	14,777,040.00	1.500	1.479	1.500	12/20/2019	445
3134GBSW3	37894	FHLMC NOTES	06/22/2017	5,000,000.00	5,000,000.00	4,878,300.00	1.750	1.726	1.750	12/22/2020	813
3134GBVF3	37988	FHLMC NOTES	07/27/2017	5,750,000.00	5,750,000.00	5,608,291.25	1.800	1.775	1.800	01/27/2021	849
3134GBF64	38055	FHLMC NOTES	09/29/2017	6,000,000.00	6,000,000.00	5,854,104.00	1.600	1.578	1.600	09/28/2020	728
3134GBU83	38090	FHLMC NOTES	10/30/2017	15,000,000.00	15,000,000.00	14,577,720.00	2.000	1.972	2.000	10/29/2021	1,124
3134GBZ70	38170	FHLMC NOTES	11/27/2017	25,000,000.00	25,000,000.00	24,443,875.00	1.875	1.849	1.875	11/27/2020	788
3134GBZ70	38171	FHLMC NOTES	11/27/2017	10,000,000.00	10,000,000.00	9,777,550.00	1.875	1.849	1.875	11/27/2020	788
3134GSAC9	38334	FHLMC NOTES	12/28/2017	10,000,000.00	10,000,000.00	9,809,760.00	2.050	2.021	2.050	12/28/2020	819
3134GSAX3	38352	FHLMC NOTES	01/09/2018	25,000,000.00	25,000,000.00	24,307,250.00	2.220	2.189	2.220	07/01/2022	1,369

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Federal Agency Bonds - CALLABLE											
3134G9Q75	38408	FHLMC NOTES	01/23/2018	19,869,613.26	20,000,000.00	19,788,900.00	1.250	2.033	2.062	07/26/2019	298
3134GSFE0	38466	FHLMC NOTES	02/28/2018	20,000,000.00	20,000,000.00	19,800,080.00	2.500	2.465	2.500	02/26/2021	879
3134GSGS8	38496	FHLMC NOTES	03/29/2018	20,000,000.00	20,000,000.00	19,795,800.00	2.700	2.663	2.700	03/29/2022	1,275
3134GSMY8	38796	FHLMC NOTES	06/15/2018	15,000,000.00	15,000,000.00	14,948,550.00	2.750	3.426	3.474	06/15/2023	1,718
3134GSPR0	38827	FHLMC NOTES	06/28/2018	22,000,000.00	22,000,000.00	21,865,602.00	3.000	2.958	3.000	06/28/2022	1,366
3136G2WX1	36576	FNMA NOTES	02/22/2016	15,500,000.00	15,500,000.00	15,436,667.00	1.250	1.232	1.250	02/22/2019	144
3136G3NC5	36782	FNMA NOTES	05/24/2016	4,999,460.65	5,000,000.00	4,953,165.00	1.000	1.003	1.016	05/24/2019	235
3136G3QY4	36793	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,959,790.00	1.250	1.212	1.229	05/30/2019	241
3136G3QY4	36794	FNMA NOTES	05/27/2016	15,000,000.00	15,000,000.00	14,879,370.00	1.250	1.212	1.229	05/30/2019	241
3136G3QY4	36795	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,959,790.00	1.250	1.212	1.229	05/30/2019	241
3136G3PR0	36797	FNMA NOTES	05/27/2016	24,969,476.10	25,000,000.00	24,138,300.00	1.500	1.526	1.548	05/26/2021	968
3136G3TD7	36845	FNMA NOTES	06/29/2016	12,983,208.94	12,980,000.00	12,851,485.02	1.200	1.150	1.165	06/28/2019	270
3136G3TB1	36846	FNMA NOTES	06/29/2016	12,053,723.78	12,050,000.00	11,923,125.55	1.100	1.043	1.057	06/28/2019	270
3136G3SQ8	36849	FNMA NOTES	06/28/2016	25,000,000.00	25,000,000.00	24,745,900.00	1.150	1.134	1.150	06/28/2019	270
3135G0L50	36875	FNMA NOTES	07/12/2016	30,000,000.00	30,000,000.00	29,651,610.00	1.050	1.035	1.050	07/12/2019	284
3136G3SY2	36880	FNMA NOTES	07/13/2016	7,500,000.00	7,500,000.00	7,397,970.00	1.250	1.232	1.250	09/30/2019	364
3136G3A52	36902	FNMA NOTES	07/26/2016	15,000,000.00	15,000,000.00	14,812,455.00	1.050	1.035	1.050	07/26/2019	298
3136G4BV4	37017	FNMA NOTES	09/30/2016	20,007,489.58	20,000,000.00	19,425,220.00	1.450	1.411	1.430	09/30/2020	730
3135G0Q30	37103	FNMA NOTES	10/21/2016	29,998,988.64	30,000,000.00	29,561,250.00	1.180	1.167	1.183	09/27/2019	361
3136G4MD2	37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	2,942,301.00	1.850	1.824	1.850	09/16/2020	716
3136G4NP4	38233	FNMA NOTES	12/06/2017	39,853,970.46	40,000,000.00	39,213,440.00	1.800	1.979	2.007	07/24/2020	662
3136G4NP4	38235	FNMA NOTES	12/07/2017	5,977,658.71	6,000,000.00	5,882,016.00	1.800	1.983	2.011	07/24/2020	662
Subtotal and Average				1,233,600,368.71	1,235,405,000.00	1,213,235,282.64		1.696	1.719		671
US Treasury Notes											
912828S43	38300	U.S. TREASURY NOTES	12/15/2017	19,838,344.89	20,000,000.00	19,719,540.00	0.750	1.770	1.794	07/15/2019	287
912828TN0	38302	U.S. TREASURY NOTES	12/19/2017	24,816,922.88	25,000,000.00	24,629,875.00	1.000	1.792	1.817	08/31/2019	334
912828B33	38309	U.S. TREASURY NOTES	12/20/2017	24,975,996.24	25,000,000.00	24,932,075.00	1.500	1.766	1.791	01/31/2019	122
912828SX9	38386	U.S. TREASURY NOTES	01/12/2018	19,898,341.39	20,000,000.00	19,817,960.00	1.125	1.878	1.904	05/31/2019	242
9128282K5	38457	U.S. TREASURY NOTES	02/15/2018	24,845,642.44	25,000,000.00	24,752,925.00	1.375	2.102	2.132	07/31/2019	303
Subtotal and Average				114,375,247.84	115,000,000.00	113,852,375.00		1.865	1.891		256

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912828S43	38300	U.S. TREASURY NOTES	12/15/2017	19,838,344.89	20,000,000.00	19,719,540.00	0.750	1.770	1.794	07/15/2019	287
912828TN0	38302	U.S. TREASURY NOTES	12/19/2017	24,816,922.88	25,000,000.00	24,629,875.00	1.000	1.792	1.817	08/31/2019	334
912828B33	38309	U.S. TREASURY NOTES	12/20/2017	24,975,996.24	25,000,000.00	24,932,075.00	1.500	1.766	1.791	01/31/2019	122
912828SX9	38386	U.S. TREASURY NOTES	01/12/2018	19,898,341.39	20,000,000.00	19,817,960.00	1.125	1.878	1.904	05/31/2019	242
9128282K5	38457	U.S. TREASURY NOTES	02/15/2018	24,845,642.44	25,000,000.00	24,752,925.00	1.375	2.102	2.132	07/31/2019	303
Subtotal and Average				114,375,247.84	115,000,000.00	113,852,375.00		1.865	1.891		256

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Corporate Bonds											
037833CB4	36918	APPLE INC	08/04/2016	29,991,623.38	30,000,000.00	29,612,280.00	1.100	1.118	1.134	08/02/2019	305
037833DJ6	38124	APPLE INC	11/13/2017	44,973,330.00	45,000,000.00	44,199,135.00	2.000	2.001	2.029	11/13/2020	774
084664CG4	36620	BERKSHIRE HATHWY	03/15/2016	4,999,422.96	5,000,000.00	4,982,790.00	1.700	1.702	1.726	03/15/2019	165
084664CK5	36933	BERKSHIRE HATHWY	08/15/2016	9,997,179.81	10,000,000.00	9,879,410.00	1.300	1.314	1.333	08/15/2019	318
084670BQ0	38830	BERKSHIRE HATHWY	06/29/2018	9,865,860.25	10,000,000.00	9,805,440.00	2.200	2.732	2.770	03/15/2021	896
084670BL1	38832	BERKSHIRE HATHWY	07/02/2018	5,927,738.99	5,953,000.00	5,922,621.84	2.100	2.561	2.597	08/14/2019	317
166764BH2	36765	CHEVRON CORP.	05/16/2016	15,000,000.00	15,000,000.00	14,906,355.00	1.561	1.539	1.561	05/16/2019	227
166764BP4	37503	CHEVRON CORP.	03/03/2017	8,000,000.00	8,000,000.00	7,900,352.00	1.991	1.963	1.991	03/03/2020	519
02079KAA5	38601	GOOGLE INC	04/16/2018	17,100,268.22	16,705,000.00	16,967,920.00	3.625	2.645	2.682	05/19/2021	961
459200JN2	37380	IBM	01/27/2017	9,995,768.89	10,000,000.00	9,878,660.00	1.900	1.906	1.933	01/27/2020	483
478160BR4	38504	JOHNSON & JOHNSON	03/08/2018	25,171,561.35	25,282,000.00	25,145,730.02	1.125	2.160	2.190	03/01/2019	151
48125LRG9	36966	JPMORGAN	09/23/2016	12,495,844.44	12,500,000.00	12,359,000.00	1.650	1.661	1.685	09/23/2019	357
191216BT6	38529	Coca-Cola Co	03/19/2018	7,379,451.01	7,500,000.00	7,334,647.50	1.875	2.646	2.682	10/27/2020	757
594918BF0	36329	MICROSOFT CORP	11/03/2015	19,999,407.41	20,000,000.00	19,977,680.00	1.300	1.315	1.334	11/03/2018	33
594918BN3	36923	MICROSOFT CORP	08/08/2016	9,997,072.13	10,000,000.00	9,871,790.00	1.100	1.119	1.135	08/08/2019	311
594918BV5	37419	MICROSOFT CORP	02/06/2017	14,995,486.81	15,000,000.00	14,818,050.00	1.850	1.847	1.873	02/06/2020	493
594918AY0	38123	MICROSOFT CORP	11/13/2017	14,091,636.86	14,096,000.00	13,916,191.42	1.850	1.847	1.872	02/12/2020	499
742718EQ8	38524	PROCTER & GAMBLE	03/15/2018	19,377,007.95	20,000,000.00	19,226,800.00	1.700	2.728	2.766	11/03/2021	1,129
742718EQ8	38526	PROCTER & GAMBLE	03/16/2018	9,694,051.11	10,000,000.00	9,613,400.00	1.700	2.709	2.747	11/03/2021	1,129
89236TDE2	36776	TOYOTA MOTOR CREDIT	05/20/2016	19,994,062.96	20,000,000.00	19,845,340.00	1.400	1.428	1.447	05/20/2019	231
89236TDH5	37057	TOYOTA MOTOR CREDIT	10/19/2016	4,999,127.31	5,000,000.00	4,933,790.00	1.550	1.545	1.567	10/18/2019	382
89236TDJ6	37702	TOYOTA MOTOR CREDIT	04/17/2017	4,998,815.93	5,000,000.00	4,924,490.00	1.950	1.938	1.965	04/17/2020	564
89236TDM4	38700	TOYOTA MOTOR CREDIT	05/10/2018	8,183,558.14	8,200,000.00	8,182,509.40	1.700	2.411	2.445	01/09/2019	100
90331HML4	36773	U S BANK	05/19/2016	19,705,498.11	19,589,000.00	19,436,401.69	2.125	1.533	1.554	10/28/2019	392
90331HNJ8	38409	U S BANK	01/23/2018	44,986,725.00	45,000,000.00	44,628,705.00	2.350	2.340	2.373	01/23/2020	479
931142EA7	38076	WALMART	10/20/2017	6,992,899.47	7,000,000.00	6,851,005.00	1.900	1.920	1.947	12/15/2020	806
931142EG4	38826	WALMART	06/27/2018	39,989,227.93	40,000,000.00	40,013,560.00	2.850	2.843	2.882	06/23/2020	631
94988J5D5	36805	WELLS FARGO	06/02/2016	11,998,878.47	12,000,000.00	11,925,792.00	1.750	1.740	1.764	05/24/2019	235
30231GAP7	38495	EXXON MOBIL CORP	03/06/2018	6,728,441.31	6,744,000.00	6,722,675.47	1.708	2.239	2.270	03/01/2019	151
30231GAP7	38505	EXXON MOBIL CORP	03/09/2018	8,245,769.79	8,265,000.00	8,238,866.07	1.708	2.244	2.275	03/01/2019	151
30231GAD4	38515	EXXON MOBIL CORP	03/12/2018	12,973,863.91	13,000,000.00	12,955,267.00	1.819	2.236	2.267	03/15/2019	165
30231GAV4	38852	EXXON MOBIL CORP	09/10/2018	9,848,262.63	10,000,000.00	9,801,290.00	2.222	2.837	2.876	03/01/2021	882

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Subtotal and Average				488,697,842.53	489,834,000.00	484,777,944.41		2.037	2.065		488
Asset Backed Securities (ABS)											
02582JHG8	37862	AMERICAN EXPRESS	05/30/2017	33,994,553.20	34,000,000.00	33,784,300.60	1.640	1.602	1.624	12/15/2021	1,171
02582JHQ6	38646	AMERICAN EXPRESS	03/21/2018	44,994,775.50	45,000,000.00	44,821,224.00	2.670	2.653	2.690	10/17/2022	1,477
05582XAD4	37036	BMW VEHICLE LEASE TRUST	10/13/2016	4,205,849.36	4,206,405.45	4,194,732.25	1.430	1.423	1.443	09/20/2019	354
05582QAD9	36893	BMW VEHICLE OWNER TRUST	07/20/2016	6,320,127.87	6,320,156.31	6,276,851.23	1.160	1.147	1.163	11/25/2020	786
09659QAD9	38412	BMW VEHICLE OWNER TRUST	01/24/2018	31,999,880.00	32,000,000.00	31,645,875.20	2.350	2.329	2.362	04/25/2022	1,302
161571HF4	36929	CHASE ISSUANCE TRUST (ABS)	08/11/2016	22,993,668.10	23,000,000.00	22,740,139.10	1.270	1.267	1.285	07/15/2021	1,018
161571HN7	38708	CHASE ISSUANCE TRUST (ABS)	05/10/2018	37,000,000.00	37,000,000.00	37,051,914.70	2.358	2.275	2.307	04/17/2023	1,659
43814NAC9	36587	HONDA AUTO RECEIVABLES OWNER T	02/25/2016	3,591,537.03	3,592,047.46	3,580,871.52	1.220	1.213	1.230	12/18/2019	443
43814QAC2	36800	HONDA AUTO RECEIVABLES OWNER T	05/31/2016	4,737,327.79	4,737,419.70	4,718,926.71	1.390	1.375	1.395	04/15/2020	562
43814RAC0	37059	HONDA AUTO RECEIVABLES OWNER T	10/25/2016	9,718,244.39	9,718,875.14	9,630,574.30	1.210	1.199	1.216	12/18/2020	809
43814TAC6	37615	HONDA AUTO RECEIVABLES OWNER T	03/28/2017	16,476,366.39	16,477,346.79	16,332,545.51	1.720	1.706	1.729	06/22/2020	630
43811BAC8	37976	HONDA AUTO RECEIVABLES OWNER T	06/27/2017	27,997,578.00	28,000,000.00	27,648,429.20	1.680	1.666	1.690	08/16/2021	1,050
43814UAG4	38738	HONDA AUTO RECEIVABLES OWNER T	05/30/2018	11,999,738.40	12,000,000.00	11,986,694.40	3.010	2.988	3.030	05/18/2022	1,325
47787WAC3	36216	JOHN DEERE OWNER TRUST	09/09/2015	191,815.29	191,851.88	191,741.24	1.440	1.552	1.573	10/15/2019	379
47788MAC4	36600	JOHN DEERE OWNER TRUST	03/02/2016	3,508,574.55	3,509,126.89	3,497,821.18	1.360	1.353	1.371	04/15/2020	562
47788NAC2	36905	JOHN DEERE OWNER TRUST	07/27/2016	4,967,684.57	4,968,080.03	4,943,025.01	1.250	1.240	1.257	06/15/2020	623
47787XAC1	37507	JOHN DEERE OWNER TRUST	03/02/2017	8,498,789.60	8,500,000.00	8,434,018.75	1.780	1.769	1.793	04/15/2021	927
47788CAC6	38476	JOHN DEERE OWNER TRUST	02/28/2018	8,249,406.83	8,250,000.00	8,189,491.20	2.660	2.641	2.678	04/18/2022	1,295
58768MAC5	37055	MERCEDES-BENZ AUTO LEASE TRUST	10/26/2016	2,143,666.38	2,143,673.24	2,139,958.04	1.350	1.335	1.354	08/15/2019	318
58769DAE0	38785	MERCEDES-BENZ AUTO LEASE TRUST	06/11/2018	3,965,156.25	4,000,000.00	3,978,515.60	2.010	2.701	2.739	01/17/2023	1,569
58769BAD6	36956	MERCEDES -BENZ AUTO REC TRUST	09/14/2016	7,421,121.41	7,422,026.15	7,363,251.12	1.260	1.251	1.269	02/16/2021	869
65478UAD1	36557	NISSAN AUTO LEASE TRUST	02/10/2016	3,004,701.87	3,005,384.69	2,990,517.95	1.340	1.335	1.353	10/15/2020	745
65478DAD9	38475	NISSAN AUTO LEASE TRUST	02/28/2018	18,497,885.45	18,500,000.00	18,376,416.30	2.650	2.585	2.621	05/16/2022	1,323
89237KAD5	36601	TOYOTA AUTO REC OWNER TRUST	03/02/2016	4,766,705.84	4,766,976.13	4,748,710.51	1.250	1.238	1.256	03/16/2020	532
89231LAD9	37035	TOYOTA AUTO REC OWNER TRUST	10/12/2016	11,261,922.99	11,263,333.16	11,185,724.29	1.230	1.222	1.239	10/15/2020	745
89238MAD0	37568	TOYOTA AUTO REC OWNER TRUST	03/15/2017	9,998,823.00	10,000,000.00	9,929,223.00	1.730	1.684	1.708	02/16/2021	869
89238KAD4	38137	TOYOTA AUTO REC OWNER TRUST	11/15/2017	23,997,787.20	24,000,000.00	23,594,284.80	1.930	1.914	1.940	01/18/2022	1,205
Subtotal and Average				366,503,487.26	366,572,703.02	363,975,777.71		1.946	1.973		1,143
Asset Backed Securities-Green Bond											
89231UAD9	36753	TOYOTA AUTO REC OWNER TRUST	05/11/2016	1,627,580.48	1,627,613.07	1,620,502.35	1.300	1.283	1.301	04/15/2020	562

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Municipal Bonds											
13083CSQ4	36313	CALIFORNIA ST	10/27/2015	10,241,327.36	10,220,000.00	10,063,020.80	1.800	1.832	1.655	04/01/2020	562
13083CP87	36731	CALIFORNIA ST	04/28/2016	14,962,233.50	15,000,000.00	14,484,000.00	1.500	1.608	1.630	04/01/2021	913
65887PHS7	37523	North Dakota State Finance	03/06/2017	551,810.46	500,000.00	542,060.00	5.000	1.400	1.420	10/01/2021	1,096
64990CEM9	36822	New York State Dormitory Auth	06/14/2016	3,000,000.00	3,000,000.00	2,968,110.00	1.456	1.435	1.455	07/01/2019	273
91412GWV3	35763	UNIVERSITY CALIFORNIA REVS	03/25/2015	250,000.00	250,000.00	249,077.50	2.003	1.975	2.002	05/15/2019	226
91412GDD36	36710	UNIVERSITY CALIFORNIA REVS	04/20/2016	3,000,000.00	3,000,000.00	2,973,660.00	1.169	1.152	1.168	05/15/2019	226
Subtotal and Average				32,005,371.32	31,970,000.00	31,279,928.30		1.557	1.578		669
Commercial Paper, Discount											
46840QNU1	36885	JP Morgan Securities	05/03/2018	39,662,784.74	40,000,000.00	39,669,967.60	2.550	2.635	2.671	01/28/2019	119
7428M3NJ4	36887	PRIVATE EXPORT FUND CORPORATIO	05/03/2018	49,662,440.22	50,000,000.00	49,624,489.00	2.230	2.300	2.332	01/18/2019	109
7428M3PF0	36766	PRIVATE EXPORT FUND CORPORATIO	06/01/2018	49,567,527.94	50,000,000.00	49,518,426.00	2.280	2.347	2.379	02/15/2019	137
7428M3PB9	36788	PRIVATE EXPORT FUND CORPORATIO	06/13/2018	29,749,161.72	30,000,000.00	29,720,368.80	2.280	2.336	2.369	02/11/2019	133
89233HN42	36577	TOYOTA MOTOR CREDIT	04/09/2018	64,585,430.29	65,000,000.00	64,595,387.35	2.420	2.493	2.528	01/04/2019	95
Subtotal and Average				233,227,344.91	235,000,000.00	233,128,638.75		2.425	2.459		115
Federal Agency Discount Notes											
313312BT6	36510	FFCB DISCOUNT NOTE	04/18/2018	35,721,823.82	36,000,000.00	35,695,440.00	2.100	2.157	2.187	02/11/2019	133
313384AD1	36365	FHLB DISCOUNT	01/04/2018	34,833,977.09	35,000,000.00	34,793,115.00	1.800	1.850	1.876	01/04/2019	95
313384CH0	36543	FHLB DISCOUNT	03/27/2018	17,151,392.64	17,300,000.00	17,138,227.70	2.110	2.171	2.201	02/25/2019	147
Subtotal and Average				87,707,193.55	88,300,000.00	87,626,782.70		2.038	2.067		120
Local Agency Investment Fund											
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	41,047,763.83	41,047,763.83	41,047,763.83	2.000	1.972	2.000		1
Subtotal and Average				41,047,763.83	41,047,763.83	41,047,763.83		1.973	2.000		1
Money Market											
SYS37590	37590	JPM TE MMK FD	02/27/2017	38.21	38.21	38.21	1.930	1.903	1.930		1
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	192,675.67	192,675.67	192,675.67	1.930	1.903	1.930		1
SYS23519	23519	BLACKROCK TREASURY LIQ FUND	02/01/2008	642,177,064.80	642,177,064.80	642,177,064.80	1.970	1.943	1.970		1
Subtotal and Average				642,369,778.68	642,369,778.68	642,369,778.68		1.943	1.970		1

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Supranationals - Green Bond											
45818WBU9	38324	IADB	12/21/2017	25,000,000.00	25,000,000.00	24,976,325.00	2.389	2.339	2.372	01/15/2022	1,202
45905UVR2	36643	INTL BANK RECON & DEVELOP	03/29/2016	45,000,000.00	45,000,000.00	44,822,565.00	1.170	1.154	1.170	01/29/2019	120
		Subtotal and Average		70,000,000.00	70,000,000.00	69,798,890.00		1.577	1.599		506
Supranationals											
4581X0CX4	37663	IADB	04/12/2017	34,956,582.03	35,000,000.00	34,315,155.00	1.625	1.680	1.704	05/12/2020	589
45818WBP0	38317	IADB	12/21/2017	45,030,240.16	45,000,000.00	44,983,350.00	2.409	2.307	2.339	07/15/2022	1,383
45818WBM7	38385	IADB	01/12/2018	20,104,026.87	20,000,000.00	20,086,920.00	2.548	2.306	2.338	07/15/2021	1,018
45818WBM7	38739	IADB	05/30/2018	10,068,817.51	10,000,000.00	10,043,460.00	2.548	2.236	2.267	07/15/2021	1,018
459058ER0	36258	INTL BANK RECON & DEVELOP	10/07/2015	14,999,905.38	15,000,000.00	14,998,350.00	1.000	1.043	1.057	10/05/2018	4
459058ER0	36259	INTL BANK RECON & DEVELOP	10/07/2015	24,999,842.30	25,000,000.00	24,997,250.00	1.000	1.043	1.057	10/05/2018	4
459058FA6	36653	INTL BANK RECON & DEVELOP	03/30/2016	19,977,092.50	20,000,000.00	19,573,320.00	1.376	1.435	1.455	03/30/2020	546
459058FK4	36881	INTL BANK RECON & DEVELOP	07/13/2016	39,997,628.06	40,000,000.00	39,394,760.00	0.876	0.869	0.881	08/15/2019	318
		Subtotal and Average		210,134,134.81	210,000,000.00	208,392,565.00		1.603	1.625		653
ABS - Cont.											
17305EFW0	37208	CITIBANK CREDIT CARD ISSUANCE	12/08/2016	39,998,642.09	40,000,000.00	39,489,304.00	1.750	1.725	1.749	11/19/2021	1,145
		Subtotal and Average		39,998,642.09	40,000,000.00	39,489,304.00		1.726	1.750		1,145
		Total Investments and Average		6,126,862,542.72	6,133,094,814.10	6,064,553,473.04		1.832	1.858		508

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Federal Agency Bonds											
3133EJZU6	38872	FFCB NOTES	09/27/2018	2,990,973.77	3,000,000.00	2,990,463.00	2.850	2.915	2.955	09/20/2021	1,085
3137EAE09	37310	FHLMC NOTES	12/30/2016	2,441,176.55	2,500,000.00	2,379,125.00	1.125	1.961	1.988	08/12/2021	1,046
3135G0R39	37309	FNMA NOTES	12/30/2016	3,481,518.55	3,500,000.00	3,438,718.50	1.000	1.489	1.510	10/24/2019	388
		Subtotal and Average		8,913,668.87	9,000,000.00	8,808,306.50		2.097	2.127		802
US Treasury Notes											
912828A75	34792	U.S. TREASURY NOTES	12/31/2013	4,497,371.95	4,500,000.00	4,491,828.00	1.500	1.721	1.745	12/31/2018	91
		Subtotal and Average		4,497,371.95	4,500,000.00	4,491,828.00		1.722	1.746		91
Money Market											
SYS34789	34789	BLACKROCK TREASURY LIQ FUND	12/26/2013	14,988,970.94	14,988,970.94	14,988,970.94	1.970	1.943	1.970		1
		Subtotal and Average		14,988,970.94	14,988,970.94	14,988,970.94		1.943	1.970		1
		Total Investments and Average		28,400,011.76	28,488,970.94	28,289,105.44		1.956	1.984		266

Fund PCF - PARK CHARTER FUND
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Federal Agency Bonds											
3137EAE9	37307	FHLMC NOTES	12/30/2016	488,235.31	500,000.00	475,825.00	1.125	1.961	1.988	08/12/2021	1,046
3135G0R39	37308	FNMA NOTES	12/30/2016	1,492,079.38	1,500,000.00	1,473,736.50	1.000	1.488	1.508	10/24/2019	388
		Subtotal and Average		1,980,314.69	2,000,000.00	1,949,561.50		1.605	1.627		550
Money Market											
SYS33657	33657	BLACKROCK TREASURY LIQ FUND	09/30/2012	2,202,749.89	2,202,749.89	2,202,749.89	1.970	1.943	1.970		1
		Subtotal and Average		2,202,749.89	2,202,749.89	2,202,749.89		1.943	1.970		1
		Total Investments and Average		4,183,064.58	4,202,749.89	4,152,311.39		1.783	1.808		261

Fund SJE - SAN JOSE- EVERGREEN
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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
Municipal Bonds											
011770Z56	35082	ALASKA ST	05/08/2014	205,995.54	200,000.00	204,980.00	5.000	1.252	1.270	08/01/2019	304
011770Z56	35083	ALASKA ST	05/08/2014	257,494.42	250,000.00	256,225.00	5.000	1.252	1.270	08/01/2019	304
13063C509	37543	CALIFORNIA ST	03/14/2017	556,810.12	500,000.00	555,620.00	5.000	1.844	1.870	08/01/2022	1,400
13063BFJ6	37573	CALIFORNIA ST	03/20/2017	432,459.29	400,000.00	420,036.00	5.250	2.661	2.698	03/01/2022	1,247
13063BAM4	37714	CALIFORNIA ST	03/08/2017	240,973.22	225,000.00	232,751.25	5.250	0.957	0.971	10/01/2021	1,096
20772JQ96	37546	Connecticut-F	03/09/2017	546,083.33	500,000.00	536,805.00	5.000	1.873	1.900	11/15/2021	1,141
34153P3H9	34972	FLORIDA STATE BOARD EDUCATION	04/01/2014	358,149.35	350,000.00	356,996.50	5.000	1.351	1.370	06/01/2019	243
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,124,988.63	1,065,000.00	1,119,559.95	5.000	1.588	1.610	07/01/2020	639
373384N28	34845	GEORGIA ST	01/22/2014	503,182.68	500,000.00	502,605.00	5.000	1.055	1.070	12/01/2018	61
373384YJ9	34915	GEORGIA ST	03/10/2014	360,058.24	350,000.00	358,106.00	5.000	1.035	1.050	07/01/2019	273
57582PK74	35081	MASSACHUSETTS ST	05/08/2014	539,581.13	530,000.00	538,225.60	5.000	1.242	1.260	04/01/2019	182
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	222,556.43	200,000.00	219,246.00	5.000	1.607	1.630	04/01/2022	1,278
5741925H9	34869	MARYLAND ST	02/05/2014	208,203.66	205,000.00	207,679.35	5.000	1.114	1.130	03/01/2019	151
574192TY0	34870	MARYLAND ST	02/05/2014	101,708.18	100,000.00	101,431.00	5.000	1.114	1.130	03/15/2019	165
574193JK5	35122	MARYLAND ST	06/02/2014	446,927.49	440,000.00	445,750.80	5.000	1.095	1.110	03/01/2019	151
604129P81	34860	MINNESOTA ST	01/30/2014	1,000,000.00	1,000,000.00	1,000,000.00	5.000	1.035	1.050	10/01/2018	0
6041294D5	35288	MINNESOTA ST	08/21/2014	212,505.46	200,000.00	210,838.00	5.000	1.410	1.430	08/01/2020	670
658256B43	34900	NORTH CAROLINA ST	03/03/2014	116,839.17	115,000.00	116,493.85	5.000	1.035	1.050	03/01/2019	151
658256T93	35131	NORTH CAROLINA ST	06/05/2014	384,776.49	365,000.00	382,238.95	5.000	1.400	1.420	05/01/2020	578
677521Q82	37526	OHIO ST	03/08/2017	335,552.20	305,000.00	330,010.00	5.000	1.509	1.530	10/01/2021	1,096
924258ZN9	34946	VERMONT ST	03/21/2014	103,125.14	100,000.00	102,667.00	5.000	1.262	1.280	08/15/2019	318
93974CC65	37524	WASHINGTON ST	03/08/2017	548,288.80	500,000.00	538,495.00	5.000	1.352	1.371	07/01/2021	1,004
97705L4E4	34880	WISCONSIN STATE	02/13/2014	255,246.65	250,000.00	254,405.00	5.000	1.198	1.214	05/01/2019	212
97705L4E4	34881	WISCONSIN STATE	02/13/2014	102,117.33	100,000.00	101,762.00	5.000	1.169	1.185	05/01/2019	212
97705MFT7	37717	WISCONSIN STATE	03/29/2017	556,962.09	500,000.00	549,755.00	5.000	1.647	1.670	05/01/2022	1,308
Subtotal and Average				9,720,585.04	9,250,000.00	9,642,682.25		1.416	1.436		608
Money Market - Tax Exempt											
SYS33615	33615	Dreyfus Tax Exempt Inst	09/25/2012	7,221,989.41	7,221,989.41	7,221,989.41	1.400	1.380	1.400		1
Subtotal and Average				7,221,989.41	7,221,989.41	7,221,989.41		1.381	1.400		1
Total Investments and Average				16,942,574.45	16,471,989.41	16,864,671.66		1.401	1.421		349

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Fund SJE - SAN JOSE-EVERGREEN
Investments by Fund
September 30, 2018

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Market Value	Current Rate	YTM	YTM
							360	365

SANTA CLARA COUNTY INVESTMENTS
Transaction Activity Report
July 1, 2018 - September 30, 2018
Sorted by Transaction Date - Transaction Date
COMMINGLED POOL FUND

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38832	COMM	084670BL1	BERKSHIRE	Purchase	INCAPITAL LLC	BERKSHIRE	5,968,477.80			-5,968,477.80
38833	COMM	36960MG31	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC	99,994,722.22			-99,994,722.22
38834	COMM	36164KG39	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC	49,997,361.11			-49,997,361.11
35886	COMM	912828VK3	UNITED STATES	Redemption	MERRILL LYNCH	U.S. TREASURY		25,000,000.00		25,000,000.00
38338	COMM	912828VK3	UNITED STATES	Redemption	DEUTSCHE BANK	U.S. TREASURY		30,000,000.00		30,000,000.00
35886	COMM	912828VK3	UNITED STATES	Interest	MERRILL LYNCH	U.S. TREASURY			171,875.00	171,875.00
36822	COMM	64990CEM9	NYSHGR 1.456%	Interest	WELLS FARGO	New York State			21,840.00	21,840.00
38338	COMM	912828VK3	UNITED STATES	Interest	DEUTSCHE BANK	U.S. TREASURY			206,250.00	206,250.00
38352	COMM	3134GSAX3	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			266,708.25	266,708.25
Totals for 07/02/2018							155,960,561.13	55,000,000.00	666,673.25	-100,293,887.88
38835	COMM	36960MG56	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC	99,989,444.44			-99,989,444.44
38836	COMM	36164KG54	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC	49,994,722.22			-49,994,722.22
38340	COMM	96121T5N4	WSTNY 1.79% MAT	Redemption	ROYAL BANK OF	WESTPAC BANKING		50,000,000.00		50,000,000.00
38833	COMM	36960MG31	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC		100,000,000.00		100,000,000.00
38834	COMM	36164KG39	GE CAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC		50,000,000.00		50,000,000.00
38340	COMM	96121T5N4	WSTNY 1.79% MAT	Interest	ROYAL BANK OF	WESTPAC BANKING			462,416.67	462,416.67
34292	COMM	SYS34292	MSTI 0.0%	Interest		MORGAN STANLEY			246.32	246.32
34292	COMM	SYS34292	MSTI 0.0%	Purchase	JPMorganChase	MORGAN STANLEY	246.32			-246.32
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD			0.02	0.02
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	0.02			-0.02
Totals for 07/03/2018							149,984,413.00	200,000,000.00	462,663.01	50,478,250.01
38837	COMM	3133EJTT6	FEDERAL FARM CR	Purchase	INCAPITAL LLC	FFCB NOTES	4,995,800.00			-4,995,800.00
38838	COMM	3133EJTT6	FEDERAL FARM CR	Purchase	INCAPITAL LLC	FFCB NOTES	4,995,800.00			-4,995,800.00
38840	COMM	36960MG64	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC	99,994,722.22			-99,994,722.22
38839	COMM	36164KG62	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC	49,997,361.11			-49,997,361.11
38828	COMM	31338EYV6	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		200,000,000.00		200,000,000.00
38835	COMM	36960MG56	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC		100,000,000.00		100,000,000.00
38836	COMM	36164KG54	GE CAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC		50,000,000.00		50,000,000.00
37582	COMM	3135GOS38	FEDERAL NATL MTGInterest		KEYBANC CAPITAL	FNMA NOTES			100,000.00	100,000.00
38079	COMM	3135GOS38	FEDERAL NATL MTGInterest		KEYBANC CAPITAL	FNMA NOTES			100,000.00	100,000.00
38142	COMM	3135GOS38	FEDERAL NATL MTGInterest		KEYBANC CAPITAL	FNMA NOTES			113,950.00	113,950.00
38567	COMM	45905LTT9	IBRD 1.0% MAT	Interest	BMO CAPITAL	INTL BANK RECON &			135,500.00	135,500.00
Totals for 07/05/2018							159,983,683.33	350,000,000.00	449,450.00	190,465,766.67

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SANTA CLARA COUNTY INVESTMENTS

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38841	COMM	36164KGG6	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	74,988,125.00			-74,988,125.00
38842	COMM	36960MG98	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	74,988,125.00			-74,988,125.00
35803	COMM	3130A4WA6	FHLB 1.1% MAT	Redemption	WELLS FARGO	FHLB NOTES		25,000,000.00		25,000,000.00
35855	COMM	3130A4WA6	FHLB 1.1% MAT	Redemption	WELLS FARGO	FHLB NOTES		12,000,000.00		12,000,000.00
35852	COMM	3130A57J2	FEDERAL HOME	Redemption	WELLS FARGO	FHLB NOTES		10,000,000.00		10,000,000.00
36854	COMM	3130A8KR3	FEDERAL HOME	Redemption	KEYBANC CAPITAL	FHLB NOTES		25,250,000.00		25,250,000.00
38185	COMM	21685VP78	RB 1.6% MAT	Redemption	BANK OF AMERICA	RABOBANK		50,000,000.00		50,000,000.00
38839	COMM	36164KGG6	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38840	COMM	36960MG64	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
35803	COMM	3130A4WA6	FHLB 1.1% MAT	Interest	WELLS FARGO	FHLB NOTES			125,000.00	125,000.00
35855	COMM	3130A4WA6	FHLB 1.1% MAT	Interest	WELLS FARGO	FHLB NOTES			60,000.00	60,000.00
35852	COMM	3130A57J2	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES			50,000.00	50,000.00
36854	COMM	3130A8KR3	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			103,525.00	103,525.00
36866	COMM	3133EGKA2	FEDERAL FARM CR	Interest	MERRILL LYNCH	FFCB NOTES			150,000.00	150,000.00
38185	COMM	21685VP78	RB 1.6% MAT	Interest	BANK OF AMERICA	RABOBANK			528,888.89	528,888.89
Totals for 07/06/2018							149,976,250.00	272,250,000.00	1,017,413.89	123,291,163.89
38843	COMM	36164KGA3	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
38841	COMM	36164KGG6	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		75,000,000.00		75,000,000.00
38842	COMM	36960MG98	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		75,000,000.00		75,000,000.00
38578	COMM	3130ADXU1	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			116,000.00	116,000.00
38700	COMM	89236TDM4	TOYOTA MOTOR	Interest	KEYBANC CAPITAL	TOYOTA MOTOR			69,700.00	69,700.00
38700	COMM	89236TDM4	TOYOTA MOTOR	Accr Int	KEYBANC CAPITAL	TOYOTA MOTOR		46,853.89	-46,853.89	0.00
Totals for 07/09/2018							149,992,083.33	150,046,853.89	138,846.11	193,616.67
38844	COMM	36164KGB1	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
38814	COMM	3130AEHE3	FEDERAL HOME	Redemption	DEUTSCHE BANK	FHLB NOTES		35,000,000.00		35,000,000.00
38814	COMM	3130AEHE3	FEDERAL HOME	Cap G/L	DEUTSCHE BANK	FHLB NOTES		1,033.65		1,033.65
38843	COMM	36164KGA3	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38814	COMM	3130AEHE3	FEDERAL HOME	Interest	DEUTSCHE BANK	FHLB NOTES			35,891.53	35,891.53
Totals for 07/10/2018							149,992,083.33	185,001,033.65	35,891.53	35,044,841.85
38845	COMM	36164KGC9	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
37265	COMM	3133EGX67	FFCB 0.859% MAT	Redemption	INCAPITAL LLC	FFCB NOTES		35,000,000.00		35,000,000.00
37265	COMM	3133EGX67	FFCB 0.859% MAT	Cap G/L	INCAPITAL LLC	FFCB NOTES		94,850.00		94,850.00
38844	COMM	36164KGB1	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37265	COMM	3133EGX67	FFCB 0.859% MAT	Interest	INCAPITAL LLC	FFCB NOTES			44,993.23	44,993.23
Totals for 07/11/2018							149,992,083.33	185,094,850.00	44,993.23	35,147,759.90
38846	COMM	36164KGD7	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
38845	COMM	36164KGC9	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38875	COMM	3135G0L50	FEDERAL NATL MTG	Interest	BARCLAYS CAPITAL	FNMA NOTES			157,500.00	157,500.00

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Investment #	Fund	CUSIP	Inv Descrpt	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
Totals for 07/12/2018										
38847	COMM	36960MGG2	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,976,249.99		-157,500.00	-149,976,249.99
8506	COMM	SY8506	LAIF 1.65% Purchase		LOCAL AGENCY	LOCAL AGENCY	193,854.89			-193,854.89
38097	COMM	06371ESY4	BMOCHI 1.61% MAT Redemption		BMO CAPITAL	BANK OF		50,000,000.00		50,000,000.00
38339	COMM	96121TSP9	WSTNY 1.8% MAT Redemption		ROYAL BANK OF	WESTPAC BANKING		50,000,000.00		50,000,000.00
38415	COMM	912796PR4	USTB DISC NOTE Redemption		MIZUHO	U S TREASURY BILL	34,715,119.60			34,715,119.60
38415	COMM	912796PR4	USTB DISC NOTE Cap G/L		MIZUHO	U S TREASURY BILL	262,130.40			262,130.40
38846	COMM	36164KGD7	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38097	COMM	06371ESY4	BMOCHI 1.61% MAT Interest		BMO CAPITAL	BANK OF			567,972.22	567,972.22
38339	COMM	96121TSP9	WSTNY 1.8% MAT Interest		ROYAL BANK OF	WESTPAC BANKING			490,000.00	490,000.00
38708	COMM	161571HN7	CHAIT 2.1227% MAT Interest		BARCLAYS CAPITAL	CHASE ISSUANCE			72,428.24	72,428.24
38708	COMM	161571HN7	CHAIT 2.1227% MAT Redemption		BARCLAYS CAPITAL	CHASE ISSUANCE		605,476.47		605,476.47
8506	COMM	SY8506	LAIF 1.65% Interest		LOCAL AGENCY	LOCAL AGENCY			193,854.89	193,854.89
Totals for 07/13/2018							150,170,104.88	285,582,726.47	1,324,255.35	136,736,876.94
36753	COMM	89231UAD9	TOYO 1.3% MAT Redemption		CITIGROUP GLOBAL	TOYOTA AUTO REC		231,347.82		231,347.82
36753	COMM	89231UAD9	TOYO 1.3% MAT Cap G/L		CITIGROUP GLOBAL	TOYOTA AUTO REC		11.82		11.82
38355	COMM	912828XK1	UNITED STATES Redemption		MIZUHO	U.S. TREASURY		30,000,000.00		30,000,000.00
38847	COMM	36960MGG2	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36753	COMM	89231UAD9	TOYO 1.3% MAT Interest		CITIGROUP GLOBAL	TOYOTA AUTO REC			2,511.05	2,511.05
37190	COMM	3130AAE46	FEDERAL HOME Interest		BANK OF AMERICA	FHLB NOTES			312,500.00	312,500.00
38202	COMM	3130AAE46	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES			156,250.00	156,250.00
38300	COMM	912828S43	UNITED STATES Interest		DEUTSCHE BANK	U.S. TREASURY			75,000.00	75,000.00
38317	COMM	45818WBPM	IADB 1.42917% MAT Interest		BMO CAPITAL	IADB			274,950.00	274,950.00
38324	COMM	45818WBPM	IADB 1.64203% MAT Interest		BMO CAPITAL	IADB			149,750.00	149,750.00
38355	COMM	912828XK1	UNITED STATES Interest		MIZUHO	U.S. TREASURY			131,250.00	131,250.00
38385	COMM	45818WBPM	IADB 1.92152% MAT Interest		MERRILL LYNCH	IADB			128,800.00	128,800.00
38567	COMM	45905UT79	IBRD 1.1% MAT Interest		BMO CAPITAL	INTL BANK RECON &			16,402.60	16,402.60
38739	COMM	45818WBPM	IADB 1.92152% MAT Interest		BMO CAPITAL	IADB			64,400.00	64,400.00
38739	COMM	45818WBPM	IADB 1.92152% MAT Accr Int		BMO CAPITAL	IADB		31,138.43		0.00
36216	COMM	47787WAC3	JDOT 1.44% MAT Interest		ROYAL BANK OF	JOHN DEERE			1,044.61	1,044.61
36216	COMM	47787WAC3	JDOT 1.44% MAT Redemption		ROYAL BANK OF	JOHN DEERE		225,527.60		225,527.60
36557	COMM	65478UAD1	NALT 1.34% MAT Interest		MERRILL LYNCH	NISSAN AUTO			4,611.73	4,611.73
36557	COMM	65478UAD1	NALT 1.34% MAT Redemption		MERRILL LYNCH	NISSAN AUTO		344,421.07		344,421.07
36600	COMM	47788MAC4	JDOT 1.36% MAT Interest		MERRILL LYNCH	JOHN DEERE			5,948.89	5,948.89
36600	COMM	47788MAC4	JDOT 1.36% MAT Redemption		MERRILL LYNCH	JOHN DEERE		491,874.56		491,874.56
36601	COMM	89237KAD5	TAOT 1.25% MAT Interest		MERRILL LYNCH	TOYOTA AUTO REC			7,427.49	7,427.49
36601	COMM	89237KAD5	TAOT 1.25% MAT Redemption		MERRILL LYNCH	TOYOTA AUTO REC		775,295.42		775,295.42
36800	COMM	43814QAC2	HAROT 1.39% MAT Interest		MERRILL LYNCH	HONDA AUTO			7,887.19	7,887.19
36800	COMM	43814QAC2	HAROT 1.39% MAT Redemption		MERRILL LYNCH	HONDA AUTO		717,761.89		717,761.89

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			6,820.72	6,820.72
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		492,557.43		492,557.43
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.66	24,341.66
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE		697,360.48		697,360.48
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ			10,010.90	10,010.90
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ		685,530.48		685,530.48
37035	COMM	58769BAD6	MBART 1.26% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			14,418.23	14,418.23
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC		544,893.66		544,893.66
37065	COMM	58769MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ		4,389.64		4,389.64
37065	COMM	58769MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ		591,292.46		591,292.46
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			12,608.33	12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE		266,242.07		266,242.07
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC		322,324.07		322,324.07
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			46,466.68	46,466.68
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN		865,436.51		865,436.51
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBAL	HONDA AUTO			39,200.00	39,200.00
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBAL	HONDA AUTO		758,832.69		758,832.69
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			38,599.99	38,599.99
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC		558,298.48		558,298.48
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			40,854.16	40,854.16
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		380,913.43		380,913.43
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	ROYAL BANK OF	JOHN DEERE			18,287.50	18,287.50
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		172,137.53		172,137.53
38646	COMM	02582JH06	AME 2.67% MAT	Interest	BARCLAYS CAPITAL	AMERICAN			100,125.00	100,125.00
38646	COMM	02582JH06	AME 2.67% MAT	Redemption	BARCLAYS CAPITAL	AMERICAN		830,399.18		830,399.18
38785	COMM	58769DAE0	MBALT 2.01% MAT	Interest	BOK FINANCIAL	MERCEDES-BENZ			6,700.00	6,700.00
38785	COMM	58769DAE0	MBALT 2.01% MAT	Redemption	BOK FINANCIAL	MERCEDES-BENZ		76,809.83		76,809.83
Totals for 07/16/2018									1,684,834.61	191,745,241.52
38567	COMM	45905UT79	IBRD 1.1% MAT	Redemption	BMO CAPITAL	INTL BANK RECON &		25,000,000.00		25,000,000.00
38567	COMM	45905UT79	IBRD 1.1% MAT	Cap G/L	BMO CAPITAL	INTL BANK RECON &		-5,842.00		-5,842.00
37443	COMM	3137EAE5	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	FHLMC NOTES			150,000.00	150,000.00
37466	COMM	3133EG3X1	FEDERAL FARM CR	Interest	Daiwa Capital Market	FFCB NOTES			93,750.00	93,750.00
37628	COMM	3133EG3X1	FEDERAL FARM CR	Interest	KEYBANC CAPITAL	FFCB NOTES			156,250.00	156,250.00
Totals for 07/17/2018									400,000.00	25,394,158.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			5,878.02	5,878.02
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO		722,012.04		722,012.04
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			11,604.41	11,604.41
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO		132,156.67		132,156.67

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38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	BARCLAYS CAPITAL HONDA AUTO				30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	BARCLAYS CAPITAL HONDA AUTO		244,806.07			244,806.07
Totals for 07/18/2018										
38303	COMM	78009N5M4	RBC 1.735% MAT	Interest	ROYAL BANK OF	ROYAL BANK OF				
38403	COMM	3137EAE1	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	KEYBANC CAPITAL				
Totals for 07/19/2018										
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE	141,290.30			141,290.30
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Cap G/L	MERRILL LYNCH	BMW VEHICLE	16.60			16.60
38180	COMM	06052TYS3	BAC 1.66% MAT	Redemption	BANK OF AMERICA	BANK OF AMERICA	50,000,000.00			50,000,000.00
38180	COMM	06052TYS3	BAC 1.66% MAT	Interest	BANK OF AMERICA	BANK OF AMERICA			581,000.00	581,000.00
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE			7,693.13	7,693.13
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE	540,344.70			540,344.70
Totals for 07/20/2018										
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Interest	MERRILL LYNCH	BMW VEHICLE			588,693.13	588,693.13
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE	50,681,651.60			51,270,344.73
Totals for 07/21/2018										
36347	COMM	3135GGA78	FEDERAL NATL MTGInterest		CITIGROUP GLOBAL FNMA NOTES				81,250.00	81,250.00
36361	COMM	3135GGA78	FEDERAL NATL MTGInterest		KEYBANC CAPITAL FNMA NOTES				162,500.00	162,500.00
36383	COMM	3135GGA78	FEDERAL NATL MTGInterest		BARCLAYS CAPITAL FNMA NOTES				81,250.00	81,250.00
36384	COMM	3135GGA78	FEDERAL NATL MTGInterest		KEYBANC CAPITAL FNMA NOTES				81,250.00	81,250.00
37841	COMM	3133EHK5	FEDERAL FARM CR Interest		INCAPITAL LLC FFCB NOTES				94,200.00	94,200.00
38409	COMM	90331HNJ8	USB 2.35% MAT	Interest	BARCLAYS CAPITAL U S BANK				528,750.00	528,750.00
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA HONDA AUTO				23,649.99	23,649.99
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA HONDA AUTO		691,580.33			691,580.33
Totals for 07/23/2018										
38377	COMM	912828K82	UNITED STATES	Redemption	DEUTSCHE BANK	U.S. TREASURY	24,904,296.88			24,904,296.88
38377	COMM	912828K82	UNITED STATES	Cap G/L	DEUTSCHE BANK	U.S. TREASURY	82,031.25			82,031.25
38233	COMM	3136G4NP4	FEDERAL NATL MTGInterest		DEUTSCHE BANK	FNMA NOTES		360,000.00		360,000.00
38235	COMM	3136G4NP4	FEDERAL NATL MTGInterest		DEUTSCHE BANK	FNMA NOTES		54,000.00		54,000.00
38377	COMM	912828K82	UNITED STATES	Interest	DEUTSCHE BANK	U.S. TREASURY			109,806.63	109,806.63
38629	COMM	3133EJLU1	FEDERAL FARM CR Interest		Daiwa Capital Market	FFCB NOTES			90,750.00	90,750.00
38630	COMM	3133EJLU1	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES			181,500.00	181,500.00
Totals for 07/24/2018										
38251	COMM	06742TCQ7	BCNY 1.84% MAT	Redemption	BARCLAYS CAPITAL BARCLAYS CAPITAL		70,000,000.00			70,000,000.00
38251	COMM	06742TCQ7	BCNY 1.84% MAT	Cap G/L	BARCLAYS CAPITAL BARCLAYS CAPITAL		-8,196.30			-8,196.30
38301	COMM	313313D96	FCDN ZERO CPN	Redemption	MIZUHO	FFCB DISCOUNT	49,426,250.00			49,426,250.00
38301	COMM	313313D96	FCDN ZERO CPN	Cap G/L	MIZUHO	FFCB DISCOUNT	476,625.00			476,625.00

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38251	COMM	06742TQ7	BCNY 1.84% MAT	Interest	BARCLAYS CAPITAL	BARCLAYS CAPITAL			822,888.89	822,888.89
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE			8,158.65	8,158.65
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Redemption	JPMorganChase	BMW VEHICLE		720,800.97		720,800.97
38387	COMM	3137AYCE9	FHLMCM 2.682% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			44,700.00	44,700.00
38387	COMM	3137AYCE9	FHLMCM 2.682% Interest	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family		460,487.35		460,487.35
38391	COMM	3137AYCE9	FHLMCM 2.682% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			16,762.50	16,762.50
38391	COMM	3137AYCE9	FHLMCM 2.682% Interest	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family		172,596.02		172,596.02
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	JPMorganChase	BMW VEHICLE			62,666.66	62,666.66
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	JPMorganChase	BMW VEHICLE		696,970.92		696,970.92
38465	COMM	3137AWQH1	FHLMCM 2.307% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307% Interest	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family		129,568.34		129,568.34
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	BOK FINANCIAL	FNMA Multi-Family			7,665.04	7,665.04
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	BOK FINANCIAL	FNMA Multi-Family		5,700.24		5,700.24
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	BARCLAYS CAPITAL	FHLMCM Multi-Family			55,333.34	55,333.34
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	BARCLAYS CAPITAL	FHLMCM Multi-Family		432,961.78		432,961.78
38664	COMM	3136B1XP4	FNMM 3.56% MAT	Interest	VINING SPARKS IBG	FNMA Multi-Family			13,350.00	13,350.00
38664	COMM	3136B1XP4	FNMM 3.56% MAT	Redemption	VINING SPARKS IBG	FNMA Multi-Family				0.00
38665	COMM	3136B1XP4	FNMM 3.56% MAT	Interest	JPMorganChase	FNMA Multi-Family			13,350.00	13,350.00
38665	COMM	3136B1XP4	FNMM 3.56% MAT	Redemption	JPMorganChase	FNMA Multi-Family		110,481.31		110,481.31
38666	COMM	3137AYCE9	FHLMCM 2.682% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			26,250.08	26,250.08
38666	COMM	3137AYCE9	FHLMCM 2.682% Interest	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family		265,380.24		265,380.24
38744	COMM	3137AYCE9	FHLMCM 2.682% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			44,700.00	44,700.00
38744	COMM	3137AYCE9	FHLMCM 2.682% Interest	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family		452,063.97		452,063.97
38744	COMM	3137AYCE9	FHLMCM 2.682% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			-5,960.00	-5,960.00
38744	COMM	3137AYCE9	FHLMCM 2.682% Interest	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family			0.00	0.00
Totals for 07/25/2018							123,341,689.85		1,120,592.71	124,462,282.56
36902	COMM	3136G3A62	FEDERAL NATL MTG Interest	Interest	INCAPITAL LLC	FNMA NOTES			78,750.00	78,750.00
37741	COMM	3134GBJ2	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMCM NOTES			87,500.00	87,500.00
37742	COMM	3134GBJ2	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMCM NOTES			218,750.00	218,750.00
38408	COMM	3134G9Q75	FHLMCM 1.25% MAT	Interest	DEUTSCHE BANK	FHLMCM NOTES			125,000.00	125,000.00
Totals for 07/26/2018								510,000.00		510,000.00
36725	COMM	3134GBXA2	FEDERAL HOME LN	Redemption	INCAPITAL LLC	FHLMCM NOTES				10,000,000.00
38091	COMM	4664QGT2	JPMSCC ZERO CPN	Redemption	JPMorganChase	JP Morgan Securities		10,000,000.00		10,000,000.00
38181	COMM	89113XPM0	TDNY 1.72% MAT	Redemption	BANK OF AMERICA	TORONTO		60,000,000.00		60,000,000.00
36725	COMM	3134GBXA2	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMCM NOTES			25,000.00	25,000.00
37378	COMM	3133EG5D3	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			50,750.00	50,750.00
37380	COMM	459200JN2	INTERNATIONAL	Interest	ROYAL BANK OF	IBM			95,000.00	95,000.00
37669	COMM	3134GBGZ9	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMCM NOTES			150,000.00	150,000.00
38181	COMM	89113XPM0	TDNY 1.72% MAT	Interest	BANK OF AMERICA	TORONTO			690,866.66	690,866.66

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38341	COMM	3133EGNK7	FEDERAL FARM CR	Interest	BREAN CAPITAL	LLCFECS NOTES				
Totals for 07/27/2018							130,000,000.00	1,040,986.66	29,370.00	131,040,986.66
35865	COMM	3130A4YA4	FEDERAL HOME	Redemption	WELLS FARGO	FHLB NOTES	16,920,581.95			16,920,581.95
35865	COMM	3130A4YA4	FEDERAL HOME	Cap G/L	WELLS FARGO	FHLB NOTES	-12,415.61			-12,415.61
35858	COMM	3130A57G8	FEDERAL HOME	Redemption	WELLS FARGO	FHLB NOTES	14,985,240.00			14,985,240.00
35858	COMM	3130A57G8	FEDERAL HOME	Cap G/L	WELLS FARGO	FHLB NOTES	11,535.00			11,535.00
36156	COMM	78009NVR4	RBC 1.693% MAT	Redemption	ROYAL BANK OF	ROYAL BANK OF	20,000,000.00			20,000,000.00
35865	COMM	3130A4YA4	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES		81,403.44		81,403.44
35858	COMM	3130A57G8	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES		75,687.50		75,687.50
36088	COMM	3136FTZ25	FEDERAL NATL MTG	Interest	KEYBANC CAPITAL	FNMA NOTES		64,487.50		64,487.50
36156	COMM	78009NVR4	RBC 1.693% MAT	Interest	ROYAL BANK OF	ROYAL BANK OF		169,300.00		169,300.00
36288	COMM	3130A5Z77	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		45,750.00		45,750.00
36289	COMM	3130A5Z77	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		45,750.00		45,750.00
36298	COMM	3130A5Z77	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		45,750.00		45,750.00
36308	COMM	3130A5Z77	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		45,750.00		45,750.00
36310	COMM	3130A5Z77	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		91,500.00		91,500.00
36505	COMM	3135G0H63	FEDERAL NATL MTG	Interest	DEUTSCHE BANK	FNMA NOTES		45,750.00		45,750.00
36643	COMM	45805LVR2	IBRD 1.17% MAT	Interest	BMO CAPITAL	INTL BANK RECON &		171,875.00		171,875.00
37382	COMM	3130AANA2	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES		263,250.00		263,250.00
37383	COMM	3130AANA2	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES		218,750.00		218,750.00
37620	COMM	3136FTZ25	FEDERAL NATL MTG	Interest	KEYBANC CAPITAL	FNMA NOTES		43,750.00		43,750.00
37988	COMM	3134GBYF3	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLB NOTES		95,068.75		95,068.75
38209	COMM	3135G0T60	FEDERAL NATL MTG	Interest	BREAN CAPITAL	LLCFNMA NOTES		51,750.00		51,750.00
38418	COMM	3130ADJH6	FHLB 2.1% MAT	Interest	INCAPITAL LLC	FHLB NOTES		75,000.00		75,000.00
Totals for 07/30/2018							51,904,941.34	1,664,779.69	79,957.50	53,569,721.03
35887	COMM	912828VQ0	UNITED STATES	Redemption	CANTOR	U.S. TREASURY	15,000,000.00			15,000,000.00
38168	COMM	06371EVN4	BMOCHI 1.72% MAT	Redemption	BMO CAPITAL	BANK OF	50,000,000.00			50,000,000.00
38186	COMM	06417GZU5	BNSHOU 1.67% MAT	Redemption	CITIGROUP GLOBAL	BANK OF NOVA	30,000,000.00			30,000,000.00
38190	COMM	25215FCN2	DX 1.6% MAT	Redemption	JPMorganChase	DEXIA	70,000,000.00			70,000,000.00
38191	COMM	13608BQL3	CIBC 1.7% MAT	Redemption	BANK OF AMERICA	CANADIAN IMP BK	50,000,000.00			50,000,000.00
38382	COMM	65590AQT6	NDAFNY 1.85% MAT	Redemption	UBS FINANCE	Nordea Bank Finland	55,000,000.00			55,000,000.00
38382	COMM	65590AQT6	NDAFNY 1.85% MAT	Cap G/L	UBS FINANCE	Nordea Bank Finland	-2,900.70			-2,900.70
38658	COMM	17305TYP1	CITIBANK N A Y	Redemption	CITIGROUP GLOBAL	CITI BANK NA	70,000,000.00			70,000,000.00
38658	COMM	17305TYP1	CITIBANK N A Y	Cap G/L	CITIGROUP GLOBAL	CITI BANK NA	15,491.14			15,491.14
35887	COMM	912828VQ0	UNITED STATES	Interest	CANTOR	U.S. TREASURY			103,125.00	103,125.00
38168	COMM	06371EVN4	BMOCHI 1.72% MAT	Interest	BMO CAPITAL	BANK OF			599,611.11	599,611.11
38186	COMM	06417GZU5	BNSHOU 1.67% MAT	Interest	CITIGROUP GLOBAL	BANK OF NOVA			375,750.00	375,750.00
38190	COMM	25215FCN2	DX 1.6% MAT	Interest	JPMorganChase	DEXIA			799,555.55	799,555.55
38191	COMM	13608BQL3	CIBC 1.7% MAT	Interest	BANK OF AMERICA	CANADIAN IMP BK			604,444.45	604,444.45

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38309	COMM	912828B33	UNITED STATES	Interest	Daiwa Capital Market	U.S. TREASURY			187,500.00	187,500.00
38382	COMM	65590AQ76	NDAFNY 1.85% MAT	Interest	UBS FINANCE	Nordea Bank Finland			568,104.16	568,104.16
38457	COMM	912828K5	UNITED STATES	Interest	JEFFERIES & CO.	U.S. TREASURY			171,875.00	171,875.00
38457	COMM	912828K5	UNITED STATES	Accr Int	JEFFERIES & CO.	U.S. TREASURY		14,243.78	-14,243.78	0.00
38658	COMM	17305TYP1	CITIBANK N A N Y	Interest	CITIGROUP GLOBAL CITI BANK NA				428,555.55	428,555.55
Totals for 07/31/2018							340,026,834.22	3,824,277.04	343,851,111.26	
37399	COMM	3133EG5Q4	FFCB 1.3% MAT	Interest	INCAPITAL LLC	FFCB NOTES			130,000.00	130,000.00
Totals for 08/01/2018									130,000.00	130,000.00
38351	COMM	46640QK59	JPMSCC ZERO CPN Redemption		JPMorganChase	JP Morgan Securities	49,250,000.00			49,250,000.00
38351	COMM	46640QK59	JPMSCC ZERO CPN G/L		JPMorganChase	JP Morgan Securities	558,000.00			558,000.00
37253	COMM	3135GON33	FNMA 0.875% MAT	Interest	KEYBANC CAPITAL	FNMA NOTES			87,500.00	87,500.00
38367	COMM	3135GON33	FNMA 0.875% MAT	Interest	DEUTSCHE BANK	FNMA NOTES			175,000.00	175,000.00
34292	COMM	SYS34292	MSTI 0.0%	Interest		MORGAN STANLEY			292.06	292.06
34292	COMM	SYS34292	MSTI 0.0%	Purchase		MORGAN STANLEY	292.06			-292.06
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD			0.04	0.04
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	0.04			-0.04
Totals for 08/02/2018							292.10	49,808,000.00	262,792.10	50,070,500.00
37404	COMM	3133EG6C4	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			116,250.00	116,250.00
Totals for 08/03/2018									116,250.00	116,250.00
36918	COMM	037833CB4	APPLE INC, SR GLBL	Interest	MERRILL LYNCH	APPLE INC			165,000.00	165,000.00
37372	COMM	3130A8Y72	FEDERAL HOME	Interest	CITIGROUP GLOBAL	FLHB NOTES			153,125.00	153,125.00
37419	COMM	594918BV5	MICROSOFT CORP.	Interest	BARCLAYS CAPITAL	MICROSOFT CORP			138,750.00	138,750.00
38615	COMM	3130A8Y72	FEDERAL HOME	Interest	KEYBANC CAPITAL	FLHB NOTES			109,375.00	109,375.00
38615	COMM	3130A8Y72	FEDERAL HOME	Accr Int	KEYBANC CAPITAL	FLHB NOTES	44,965.28		-44,965.28	0.00
Totals for 08/06/2018							44,965.28	521,284.72	566,250.00	
36923	COMM	594918BN3	MICROSOFT CORP.	Interest	MERRILL LYNCH	MICROSOFT CORP			55,000.00	55,000.00
Totals for 08/08/2018									55,000.00	55,000.00
36117	COMM	3130A3XL3	FEDERAL HOME	Interest	INCAPITAL LLC	FLHB NOTES			54,375.00	54,375.00
Totals for 08/10/2018									54,375.00	54,375.00
38303	COMM	78009N5M4	RBC 1.735% MAT	Redemption	ROYAL BANK OF	ROYAL BANK OF	40,000,000.00			40,000,000.00
38303	COMM	78009N5M4	RBC 1.735% MAT	Cap G/L	ROYAL BANK OF	ROYAL BANK OF	18,050.40			18,050.40
36584	COMM	3133EFZN0	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			77,250.00	77,250.00
36989	COMM	3137EAE9	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	FLHMC NOTES			112,500.00	112,500.00
36992	COMM	3137EAE9	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	FLHMC NOTES			56,250.00	56,250.00
38123	COMM	594918AY0	MICROSOFT CORP.	Interest	INCAPITAL LLC	MICROSOFT CORP			130,388.00	130,388.00
38303	COMM	78009N5M4	RBC 1.735% MAT	Interest	ROYAL BANK OF	ROYAL BANK OF			64,493.06	64,493.06

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Totals for 08/13/2018										
38189	COMM	13608BQF6	CITI 1.72% MAT	Redemption	JPMorganChase	CANADIAN IMP BK	40,018,950.40	60,000,000.00	440,881.06	40,458,931.46
38189	COMM	13608BQF6	CITI 1.72% MAT	Interest	JPMorganChase	CANADIAN IMP BK			782,600.00	60,000,000.00
38832	COMM	084670BL1	BERKSHIRE	Interest	INCAPITAL LLC	BERKSHIRE			62,506.50	782,600.00
38832	COMM	084670BL1	BERKSHIRE	Accr Int	INCAPITAL LLC	BERKSHIRE		47,921.65	-47,921.65	62,506.50
Totals for 08/14/2018										
36753	COMM	89231UAD9	TOYO 1.3% MAT	Redemption	CITIGROUP GLOBAL	TOYOTA AUTO REC	60,047,921.65	797,184.85	60,845,106.50	797,184.85
36753	COMM	89231UAD9	TOYO 1.3% MAT	Cap G/L	CITIGROUP GLOBAL	TOYOTA AUTO REC		230,243.46		230,243.46
36753	COMM	89231UAD9	TOYO 1.3% MAT	Interest	CITIGROUP GLOBAL	TOYOTA AUTO REC		11.77	2,260.41	11.77
36881	COMM	459058FK4	IBRD 0.875% MAT	Interest	BANK OF AMERICA	INTL BANK RECON & DEV			175,200.00	2,260.41
36933	COMM	084664CK5	BERKSHIRE	Interest	ROYAL BANK OF CANADA	BERKSHIRE			65,000.00	175,200.00
36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF CANADA	JOHN DEERE			773.45	65,000.00
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF CANADA	JOHN DEERE		223,091.05		773.45
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			4,231.79	223,091.05
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		365,333.36		4,231.79
36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			5,408.48	365,333.36
36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE		600,724.40		5,408.48
36601	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC			6,620.26	600,724.40
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC		782,711.30		6,620.26
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO			7,055.04	782,711.30
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO		680,586.52		7,055.04
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF CANADA	JOHN DEERE			6,314.72	680,586.52
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF CANADA	JOHN DEERE		581,870.66		6,314.72
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.66	581,870.66
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE		718,458.32		24,341.66
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ			9,289.06	718,458.32
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ		669,599.31		9,289.06
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			13,930.52	669,599.31
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC		1,110,938.49		13,930.52
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			3,724.30	1,110,938.49
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ		548,104.21		3,724.30
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			12,608.33	548,104.21
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE		275,217.79		12,608.33
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	275,217.79
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC		333,438.12		14,416.67
37862	COMM	02582JHC8	AME 1.64% MAT	Interest	ROYAL BANK OF CANADA	AMERICAN			46,466.68	333,438.12
37862	COMM	02582JHC8	AME 1.64% MAT	Redemption	ROYAL BANK OF CANADA	AMERICAN		890,821.91		46,466.68
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBAL	HONDA AUTO			39,200.00	890,821.91
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBAL	HONDA AUTO		782,353.78		39,200.00

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38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			38,599.99	38,599.99
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC	574,941.95			574,941.95
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			40,854.16	40,854.16
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO	392,158.96			392,158.96
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	ROYAL BANK OF	JOHN DEERE			18,287.50	18,287.50
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	ROYAL BANK OF	JOHN DEERE	177,309.15			177,309.15
38646	COMM	02582JHQ6	AME 2.67% MAT	Interest	BARCLAYS CAPITAL AMERICAN				100,125.00	100,125.00
38646	COMM	02582JHQ6	AME 2.67% MAT	Redemption	BARCLAYS CAPITAL AMERICAN		854,138.20			854,138.20
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Interest	BARCLAYS CAPITAL CHASE ISSUANCE				70,041.93	70,041.93
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Redemption	BARCLAYS CAPITAL CHASE ISSUANCE		624,576.19			624,576.19
38785	COMM	58769DAE0	MBALT 2.01% MAT	Interest	BOK FINANCIAL	MERCEDES-BENZ			6,700.00	6,700.00
38785	COMM	58769DAE0	MBALT 2.01% MAT	Redemption	BOK FINANCIAL	MERCEDES-BENZ	78,761.47			78,761.47
Totals for 08/15/2018							11,495,390.37		711,449.95	12,206,840.32
38462	COMM	3137EAE19	FEDERAL HOME LN	Interest	BARCLAYS CAPITAL FHLMC NOTES				534,375.00	534,375.00
Totals for 08/16/2018									534,375.00	534,375.00
38395	COMM	3132X0E98	FEDERAL AGRIC	Redemption	MIZUHO	FARMER MAC	40,000,000.00			40,000,000.00
38395	COMM	3132X0E98	FEDERAL AGRIC	Interest	MIZUHO	FARMER MAC			330,000.00	330,000.00
Totals for 08/17/2018							40,000,000.00		330,000.00	40,330,000.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			5,138.82	5,138.82
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO	686,444.52			686,444.52
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE			7,081.30	7,081.30
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE	783,536.90			783,536.90
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL HONDA AUTO				11,515.98	11,515.98
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL HONDA AUTO		822,847.66			822,847.66
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	BARCLAYS CAPITAL HONDA AUTO				30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	BARCLAYS CAPITAL HONDA AUTO		242,282.89			242,282.89
Totals for 08/20/2018							2,535,111.97		53,836.10	2,588,948.07
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA	HONDA AUTO			23,649.99	23,649.99
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	HONDA AUTO	721,740.61			721,740.61
Totals for 08/21/2018							721,740.61		23,649.99	745,390.60
36576	COMM	3136G2WX1	FEDERAL NATL MTG Interest	Interest	INCAPITAL LLC	FNMA NOTES			96,875.00	96,875.00
37454	COMM	3134G3A91	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			140,000.00	140,000.00
Totals for 08/22/2018									236,875.00	236,875.00
38158	COMM	78009N3W4	RBC 1.72% MAT	Redemption	ROYAL BANK OF	ROYAL BANK OF	75,000,000.00			75,000,000.00
38200	COMM	05582WQ64	BNP P 1.77% MAT	Redemption	JPMorganChase	BNP PARIBAS	60,000,000.00			60,000,000.00
38284	COMM	21685VT66	RB 1.77% MAT	Redemption	BANK OF AMERICA	RABOBANK	90,000,000.00			90,000,000.00
38583	COMM	3134GBJ77	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			93,750.00	93,750.00

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37817	COMM	3134GBPM8	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			150,000.00	150,000.00
38158	COMM	78009N3W4	RBC 1.72% MAT	Interest	ROYAL BANK OF	ROYAL BANK OF			989,000.00	989,000.00
38200	COMM	05582W064	BNP P 1.77% MAT	Interest	JPMorganChase	BNP PARIBAS			787,650.00	787,650.00
38284	COMM	21685VT66	RB 1.77% MAT	Interest	BANK OF AMERICA	RABOBANK			1,119,525.00	1,119,525.00
Totals for 08/24/2018							225,000,000.00	3,139,925.00	228,139,925.00	
36393	COMM	3134GT4S7	FEDERAL HOME LN Redemption	Redemption	INCAPITAL LLC	FHLMC NOTES				5,000,000.00
36393	COMM	3134GT4S7	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			25,000.00	25,000.00
38466	COMM	3134GSFE0	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			250,000.00	250,000.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE			7,461.90	7,461.90
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Redemption	JPMorganChase	BMW VEHICLE	723,524.14			723,524.14
38387	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			44,700.00	44,700.00
38387	COMM	3137AYCE9	FHLMCM 2.682% MAT	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family	467,763.40			467,763.40
38391	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			16,762.50	16,762.50
38391	COMM	3137AYCE9	FHLMCM 2.682% MAT	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family	175,323.16			175,323.16
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	JPMorganChase	BMW VEHICLE			62,666.66	62,666.66
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	JPMorganChase	BMW VEHICLE	717,701.85			717,701.85
38465	COMM	3137AWQH1	FHLMCM 2.307% MAT	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307% MAT	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family	131,699.10			131,699.10
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	BOK FINANCIAL	FNMA Multi-Family			7,901.18	7,901.18
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	BOK FINANCIAL	FNMA Multi-Family	5,301.77			5,301.77
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	BARCLAYS CAPITAL	FHLMCM Multi-Family			55,333.34	55,333.34
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	BARCLAYS CAPITAL	FHLMCM Multi-Family	439,298.73			439,298.73
38664	COMM	3136B1XP4	FNMM 3.56% MAT	Interest	VINING SPARKS IBG	FNMA Multi-Family			10,792.48	10,792.48
38664	COMM	3136B1XP4	FNMM 3.56% MAT	Redemption	VINING SPARKS IBG	FNMA Multi-Family	131,134.22			131,134.22
38665	COMM	3136B1XP4	FNMM 3.56% MAT	Interest	JPMorganChase	FNMA Multi-Family			10,792.48	10,792.48
38665	COMM	3136B1XP4	FNMM 3.56% MAT	Redemption	JPMorganChase	FNMA Multi-Family	131,134.22			131,134.22
38666	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			26,250.08	26,250.08
38666	COMM	3137AYCE9	FHLMCM 2.682% MAT	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family	269,573.44			269,573.44
38744	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			44,700.00	44,700.00
38744	COMM	3137AYCE9	FHLMCM 2.682% MAT	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family	459,206.91			459,206.91
Totals for 08/27/2018							8,651,660.94	573,088.17	9,224,749.11	
37578	COMM	3134GA5T7	FHLMCM 2.125% MAT	Interest	INCAPITAL LLC	FHLMC NOTES			37,187.50	37,187.50
37579	COMM	3134GA5T7	FHLMCM 2.125% MAT	Interest	INCAPITAL LLC	FHLMC NOTES			212,500.00	212,500.00
37580	COMM	3134GA6W9	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			83,625.00	83,625.00
Totals for 08/28/2018								333,312.50	333,312.50	
38850	COMM	63873KH00	NATXNY DISC NOTE Purchase	Purchase	MERRILL LYNCH	Natixis NY Branch	99,994,750.00			-99,994,750.00
38849	COMM	21687BHW4	RABO DISC NOTE Purchase	Purchase	MERRILL LYNCH	RABOBANK	149,992,166.67			-149,992,166.67
38848	COMM	78012UGJ8	RBC 2.63% MAT Purchase	Purchase	ROYAL BANK OF	ROYAL BANK OF	20,000,000.00			-20,000,000.00
38016	COMM	3130ABZE9	FEDERAL HOME Interest	Interest	INCAPITAL LLC	FHLM NOTES			69,300.00	69,300.00

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Totals for 08/29/2018										
38851	COMM	313385D94	FHLB DISC NOTE	Purchase	MERRILL LYNCH	FHLB NOTES	289,989,916.67		69,300.00	-289,917,616.67
38849	COMM	21687BHW4	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	199,989,888.88			-199,989,888.88
38850	COMM	63873KH00	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		150,000,000.00		150,000,000.00
								100,000,000.00		100,000,000.00
Totals for 08/30/2018							199,989,888.88	250,000,000.00		50,010,111.12
38851	COMM	313385D94	FHLB DISC NOTE	Redemption	MERRILL LYNCH	FHLB NOTES	200,000,000.00			200,000,000.00
38302	COMM	912828TN0	UNITED STATES	Interest	DEUTSCHE BANK	U.S. TREASURY			125,000.00	125,000.00
Totals for 08/31/2018								200,000,000.00	125,000.00	125,000.00
37502	COMM	3133EHB00	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			152,000.00	152,000.00
37503	COMM	166764BP4	CHEVRON CORP	Interest	CITIGROUP GLOBAL CHEVRON CORP.				79,640.00	79,640.00
38024	COMM	3133EHW01	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			25,500.00	25,500.00
38025	COMM	3133EHW01	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			42,500.00	42,500.00
38026	COMM	3133EHW01	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			59,500.00	59,500.00
38368	COMM	3133EFF28	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			43,271.25	43,271.25
38495	COMM	30231GAP7	EXXON MOBIL	Interest	INCAPITAL LLC	EXXON MOBIL			57,593.76	57,593.76
38495	COMM	30231GAP7	EXXON MOBIL	Accr Int	INCAPITAL LLC	EXXON MOBIL	1,599.83		-1,599.83	0.00
38504	COMM	478160BR4	JOHNSON &	Interest	INCAPITAL LLC	JOHNSON &			142,211.25	142,211.25
38504	COMM	478160BR4	JOHNSON &	Accr Int	INCAPITAL LLC	JOHNSON &	5,530.44		-5,530.44	0.00
38505	COMM	30231GAP7	EXXON MOBIL	Interest	INCAPITAL LLC	EXXON MOBIL			70,583.10	70,583.10
38505	COMM	30231GAP7	EXXON MOBIL	Accr Int	INCAPITAL LLC	EXXON MOBIL	3,137.03		-3,137.03	0.00
Totals for 09/04/2018							10,267.30		662,532.06	672,799.36
34292	COMM	SYS34292	MSTI 0.0%	Interest		MORGAN STANLEY			296.61	296.61
34292	COMM	SYS34292	MSTI 0.0%	Purchase		MORGAN STANLEY	296.61		-296.61	
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD			0.04	0.04
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	0.04		-0.04	
Totals for 09/05/2018							296.65		296.65	0.00
35847	COMM	3135G0U05	FEDERAL NATL MTG	Interest	BREAN CAPITAL LLC	FNMA NOTES			61,250.00	61,250.00
35856	COMM	3135G0U05	FEDERAL NATL MTG	Interest	BREAN CAPITAL LLC	FNMA NOTES			127,610.00	127,610.00
Totals for 09/06/2018									188,860.00	188,860.00
38852	COMM	30231GAV4	EXXON MOBIL	Purchase	INCAPITAL LLC	EXXON MOBIL	9,850,155.00			-9,850,155.00
35867	COMM	313378QK0	FEDERAL HOME	Interest	UBS FINANCE	FHLB NOTES			93,750.00	93,750.00
36707	COMM	3133782M2	FEDERAL HOME	Interest	MERRILL LYNCH	FHLB NOTES			150,112.50	150,112.50
Totals for 09/10/2018							9,850,155.00		243,862.50	-9,606,292.50
36993	COMM	313380WG8	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			134,062.50	134,062.50
37022	COMM	313380WG8	FEDERAL HOME	Interest		FHLB NOTES			82,500.00	82,500.00
37054	COMM	313380WG8	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			68,750.00	68,750.00
37072	COMM	313380WG8	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			95,287.50	95,287.50

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37726	COMM	313378CR0	FHLB 2.25% MAT	Interest	KEYBANC CAPITAL	FHLB NOTES			281,250.00	281,250.00
38269	COMM	3130A6679	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			203,125.00	203,125.00
38436	COMM	313370US5	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			71,875.00	71,875.00
Totals for 09/11/2018										
38554	COMM	3137BAWB8	FHLMCM 3.06% MAT Purchase	Purchase	BREAN CAPITAL LLC	FHLMCM Multi-Family	4,777,214.42			-4,777,214.42
37711	COMM	313382K69	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			226,275.00	226,275.00
Totals for 09/12/2018										
38556	COMM	36164KJE2	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
35826	COMM	313383VN8	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES		94,600.00	94,600.00	94,600.00
35927	COMM	313383VN8	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		251,550.00	251,550.00	251,550.00
36756	COMM	313378J77	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES		140,625.00	140,625.00	140,625.00
36760	COMM	313378J77	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES		56,250.00	56,250.00	56,250.00
36886	COMM	313378J77	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		140,625.00	140,625.00	140,625.00
37778	COMM	313378J77	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		131,250.00	131,250.00	131,250.00
38596	COMM	3130A02T6	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		296,875.00	296,875.00	296,875.00
38596	COMM	3130A02T6	FEDERAL HOME	Accr Int	KEYBANC CAPITAL	FHLB NOTES		49,479.17	-49,479.17	0.00
Totals for 09/13/2018										
38557	COMM	36164KJE2	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,976,249.99			-149,976,249.99
36228	COMM	3130A6AE7	FEDERAL HOME	Redemption	KEYBANC CAPITAL	FHLB NOTES		5,000,000.00		5,000,000.00
36508	COMM	313375K48	FEDERAL HOME	Redemption	BREAN CAPITAL LLC	FHLB NOTES		20,000,000.00		20,000,000.00
37626	COMM	3130A6AE7	FEDERAL HOME	Redemption	INCAPITAL LLC	FHLB NOTES		20,000,000.00		20,000,000.00
38556	COMM	36164KJE2	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36228	COMM	3130A6AE7	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		28,125.00	28,125.00	28,125.00
36508	COMM	313375K48	FEDERAL HOME	Interest	BREAN CAPITAL LLC	FHLB NOTES		200,000.00	200,000.00	200,000.00
37626	COMM	3130A6AE7	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES		112,500.00	112,500.00	112,500.00
Totals for 09/14/2018										
38815	COMM	880591EVO	TENNESSEE	Accr Int	KEYBANC CAPITAL	TENNESSEE		64,375.00	-64,375.00	0.00
Totals for 09/15/2018										
38858	COMM	3130A9MR9	FEDERAL HOME	Purchase	BREAN CAPITAL LLC	FHLB NOTES	9,248,053.15			-9,248,053.15
38859	COMM	3130AC2P8	FEDERAL HOME	Purchase	KEYBANC CAPITAL	FHLB NOTES		9,808,100.00		-9,808,100.00
38860	COMM	36164KJE2	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
36753	COMM	89231UAD9	TOYO 1.3% MAT	Redemption	CITIGROUP GLOBAL	TOYOTA AUTO REC		228,652.99		228,652.99
36753	COMM	89231UAD9	TOYO 1.3% MAT	Cap G/L	CITIGROUP GLOBAL	TOYOTA AUTO REC		11.68		11.68
38857	COMM	36164KJE2	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36820	COMM	084684CG4	BERKSHIRE	Interest	JPMorganChase	BERKSHIRE		42,500.00	42,500.00	42,500.00
36753	COMM	89231UAD9	TOYO 1.3% MAT	Interest	CITIGROUP GLOBAL	TOYOTA AUTO REC		2,010.97	2,010.97	2,010.97
36896	COMM	3130A7L37	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES		31,250.00	31,250.00	31,250.00
37509	COMM	3138G4MD2	FEDERAL NATL MTG	Interest	INCAPITAL LLC	FNMA NOTES		27,750.00	27,750.00	27,750.00

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38503	COMM	880591EV0	TENNESSEE	Interest	BARCLAYS CAPITAL	TENNESSEE			233,750.00	233,750.00
38515	COMM	30231GAD4	EXXON MOBIL	Interest	INCAPITAL LLC	EXXON MOBIL			118,235.00	118,235.00
38815	COMM	880591EV0	TENNESSEE	Interest	KEYBANC CAPITAL	TENNESSEE			116,875.00	116,875.00
38830	COMM	084670BQ0	BERKSHIRE	Interest	INCAPITAL LLC	BERKSHIRE			110,000.00	110,000.00
38830	COMM	084670BQ0	BERKSHIRE	Accr Int	INCAPITAL LLC	BERKSHIRE	63,555.56		-63,555.56	0.00
36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE			500.15	500.15
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE	194,062.59			194,062.59
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			3,818.99	3,818.99
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO	344,433.19			344,433.19
36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			4,724.09	4,724.09
36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE	578,709.88			578,709.88
36601	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	JOHN DEERE			5,803.78	5,803.78
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	JOHN DEERE	763,073.20			763,073.20
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC			6,266.32	6,266.32
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO	661,257.19			661,257.19
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			5,699.46	5,699.46
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE	467,623.43			467,623.43
36929	COMM	16157HF4	CHAIR 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.66	24,341.66
36929	COMM	16157HF4	CHAIR 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE	740,540.38			740,540.38
36956	COMM	58769BAC6	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ			8,586.83	8,586.83
36956	COMM	58769BAC6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ	677,192.56			677,192.56
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			12,787.85	12,787.85
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC	1,080,492.06			1,080,492.06
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			3,107.90	3,107.90
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ	617,145.84			617,145.84
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			12,608.33	12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE	284,665.92			284,665.92
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC	345,161.53			345,161.53
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			46,466.68	46,466.68
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN	917,196.35			917,196.35
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBAL	HONDA AUTO			39,200.00	39,200.00
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBAL	HONDA AUTO	806,896.51			806,896.51
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			38,599.99	38,599.99
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC	592,191.98			592,191.98
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			40,854.16	40,854.16
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO	403,763.87			403,763.87
38476	COMM	47789CAC6	JDOT 2.66% MAT	Interest	ROYAL BANK OF	JOHN DEERE			18,287.50	18,287.50
38476	COMM	47789CAC6	JDOT 2.66% MAT	Redemption	ROYAL BANK OF	JOHN DEERE	182,651.61			182,651.61
38646	COMM	02582JHQ6	AME 2.67% MAT	Interest	BARCLAYS CAPITAL	AMERICAN			100,125.00	100,125.00

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38646	COMM	02582JHQ6	AME 2.67% MAT	Redemption	BARCLAYS CAPITAL AMERICAN			878,525.81		878,525.81
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Interest	BARCLAYS CAPITAL CHASE ISSUANCE				76,742.92	76,742.92
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Redemption	BARCLAYS CAPITAL CHASE ISSUANCE			639,821.36		639,821.36
38785	COMM	58769DAE0	MBALT 2.01% MAT	Interest	BOK FINANCIAL	MERCEDES-BENZ			6,700.00	6,700.00
38785	COMM	58769DAE0	MBALT 2.01% MAT	Redemption	BOK FINANCIAL	MERCEDES-BENZ		80,761.52		80,761.52
Totals for 09/17/2018							169,048,236.48	161,548,387.01	1,088,453.69	-6,411,395.78
38861	COMM	36164KJK8	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
35914	COMM	3135G0YM9	FEDERAL NATL MTG	Redemption	BMO CAPITAL	FNMA NOTES		25,000,000.00		25,000,000.00
38860	COMM	36164KJ1	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
35814	COMM	3135G0YM9	FEDERAL NATL MTG	Interest	BMO CAPITAL	FNMA NOTES			234,375.00	234,375.00
37548	COMM	3130AAXX1	FLB 1.4918% MAT	Interest	BANK OF AMERICA	FLB NOTES			137,500.00	137,500.00
37549	COMM	3130AAXX1	FLB 1.4918% MAT	Interest	DEUTSCHE BANK	FLB NOTES			137,500.00	137,500.00
37614	COMM	3130AAXX1	FLB 1.4918% MAT	Interest	JEFFERIES & CO.	FLB NOTES			171,875.00	171,875.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			4,439.91	4,439.91
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO	679,930.13			679,930.13
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			10,686.42	10,686.42
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO	826,004.93			826,004.93
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO	260,088.72			260,088.72
Totals for 09/18/2018							149,992,083.33	176,766,023.76	726,476.33	27,500,416.78
38862	COMM	36164KJL6	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
38861	COMM	36164KJK8	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
Totals for 09/19/2018							149,992,083.33	150,000,000.00		7,916.67
38863	COMM	36164KJM4	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
38862	COMM	36164KJL6	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37566	COMM	3133EHCX9	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			97,693.75	97,693.75
37574	COMM	3133EHCX9	FEDERAL FARM CR	Interest	KEYBANC CAPITAL	FFCB NOTES			181,250.00	181,250.00
38046	COMM	3133EHZA4	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			83,000.00	83,000.00
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE			6,158.26	6,158.26
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE	865,249.83			865,249.83
Totals for 09/20/2018							149,992,083.33	150,865,249.83	368,102.01	1,241,268.51
38865	COMM	36164KJQ5	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,976,249.99			-149,976,249.99
38472	COMM	90275DHB9	UBSNCT 2.17% MAT	Redemption	UBS FINANCE	UBS AG STAMFORD		50,000,000.00		50,000,000.00
38863	COMM	36164KJM4	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38472	COMM	90275DHB9	UBSNCT 2.17% MAT	Interest	UBS FINANCE	UBS AG STAMFORD			638,944.45	638,944.45
38570	COMM	3130ADUY6	FEDERAL HOME	Interest	KEYBANC CAPITAL	FLB NOTES			386,793.75	386,793.75
38570	COMM	3130ADUY6	FEDERAL HOME	Accr Int	KEYBANC CAPITAL	FLB NOTES	32,232.81			0.00
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA	HONDA AUTO			23,651.34	23,651.34

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37615	COMM	438147AC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	HONDA AUTO	149,976,249.99	22,651.86	1,017,156.73	22,651.86
Totals for 09/21/2018										
38866	COMM	36164KJR3	GECAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		149,992,083.33			-149,992,083.33
38865	COMM	36164KJQ5	GECAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00			150,000,000.00
38966	COMM	48125LRG9	JPMCC 1.65% MAT	Interest	JPMorganChase	JPMORGAN			103,125.00	103,125.00
Totals for 09/24/2018										
38855	COMM	3130AEXG0	FEDERAL HOME	Purchase	INCAPITAL LLC	FHLB NOTES	15,000,000.00			-15,000,000.00
38864	COMM	3137B5JM6	FHLMCM 3.531%	Purchase	INCAPITAL LLC	FHLMCM Multi-Family	10,183,017.50			-10,183,017.50
38867	COMM	36164KJST	GECAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		149,992,083.33			-149,992,083.33
38866	COMM	36164KJR3	GECAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00			150,000,000.00
37344	COMM	3134G3K90	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMCM NOTES			85,000.00	85,000.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE			6,762.25	6,762.25
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Redemption	JPMorganChase	BMW VEHICLE	672,144.87			672,144.87
38387	COMM	3137AYCE9	FHLMCM 2.682%	Interest	BREAN CAPITAL LLCFHLMCM Multi-Family				44,700.00	44,700.00
38387	COMM	3137AYCE9	FHLMCM 2.682%	Redemption	BREAN CAPITAL LLCFHLMCM Multi-Family		475,325.11			475,325.11
38391	COMM	3137AYCE9	FHLMCM 2.682%	Interest	BREAN CAPITAL LLCFHLMCM Multi-Family				16,762.50	16,762.50
38391	COMM	3137AYCE9	FHLMCM 2.682%	Redemption	BREAN CAPITAL LLCFHLMCM Multi-Family		178,157.38			178,157.38
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	JPMorganChase	BMW VEHICLE			62,666.66	62,666.66
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	JPMorganChase	BMW VEHICLE	1,440,263.95			1,440,263.95
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	BREAN CAPITAL LLCFHLMCM Multi-Family				10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	BREAN CAPITAL LLCFHLMCM Multi-Family		133,916.89			133,916.89
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	BOK FINANCIAL	FNMA Multi-Family			7,889.63	7,889.63
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	BOK FINANCIAL	FNMA Multi-Family	5,321.26			5,321.26
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	BARCLAYS CAPITAL FHLMLC Multi-Family				55,333.34	55,333.34
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	BARCLAYS CAPITAL FHLMLC Multi-Family		875,538.12			875,538.12
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	VINING SPARKS IBG FNMA Multi-Family				10,522.60	10,522.60
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	VINING SPARKS IBG FNMA Multi-Family		125,414.13			125,414.13
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Interest	JPMorganChase	FNMA Multi-Family			10,522.60	10,522.60
38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	JPMorganChase	FNMA Multi-Family	125,414.13			125,414.13
38666	COMM	3137AYCE9	FHLMCM 2.682%	Interest	BREAN CAPITAL LLCFHLMCM Multi-Family				26,250.08	26,250.08
38666	COMM	3137AYCE9	FHLMCM 2.682%	Redemption	BREAN CAPITAL LLCFHLMCM Multi-Family		273,931.27			273,931.27
38744	COMM	3137AYCE9	FHLMCM 2.682%	Interest	BREAN CAPITAL LLCFHLMCM Multi-Family				44,700.00	44,700.00
38744	COMM	3137AYCE9	FHLMCM 2.682%	Redemption	BREAN CAPITAL LLCFHLMCM Multi-Family		466,630.30			466,630.30
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	BREAN CAPITAL LLCFHLMCM Multi-Family				-4,464.63	-4,464.63
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	BREAN CAPITAL LLCFHLMCM Multi-Family				0.00	0.00
Totals for 09/25/2018										
38868	COMM	36164KJ9	GECAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		149,992,083.33			-149,992,083.33
38870	COMM	63873KJU2	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	49,994,722.22			-49,994,722.22
38869	COMM	21687BJU6	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	99,989,500.00			-99,989,500.00

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38867	COMM	36164KJS1	GE CAP DISC NOTE	Redemption	GENERAL	ELECTRIC GENERAL ELECTRIC	150,000,000.00	150,000,000.00		150,000,000.00
Totals for 09/26/2018										
38871	COMM	36164KJU6	GE CAP DISC NOTE	Purchase	GENERAL	ELECTRIC GENERAL ELECTRIC	149,991,000.00			-149,991,000.00
38868	COMM	36164KJT9	GE CAP DISC NOTE	Redemption	GENERAL	ELECTRIC GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37103	COMM	3135GQO30	FEDERAL NATL MTG Interest		INCAPITAL LLC	FNMA NOTES			177,000.00	177,000.00
37305	COMM	3133EG2D6	FEDERAL FARM CR Interest		KEYBANC CAPITAL	FFCB NOTES			193,750.00	193,750.00
38545	COMM	3133EJHL6	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES			118,750.00	118,750.00
Totals for 09/27/2018										
38869	COMM	21687BUJ6	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	100,000,000.00			100,000,000.00
38870	COMM	63873KJU2	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch	50,000,000.00			50,000,000.00
38871	COMM	36164KJU6	GE CAP DISC NOTE	Redemption	GENERAL	ELECTRIC GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38033	COMM	3130ACE26	FEDERAL HOME Interest		BARCLAYS CAPITAL	FHLB NOTES			151,250.00	151,250.00
38055	COMM	3134GBF64	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			48,000.00	48,000.00
Totals for 09/28/2018										
38379	COMM	912828RH5	UNITED STATES	Redemption	Daiwa Capital Market	U.S. TREASURY	25,000,000.00			25,000,000.00
36641	COMM	3130A7HM0	FEDERAL HOME Interest		INCAPITAL LLC	FHLB NOTES			125,000.00	125,000.00
36644	COMM	3134G8TV1	FHLMC 1.22% MAT	Interest	INCAPITAL LLC	FHLMC NOTES			42,700.00	42,700.00
36648	COMM	3134G8S83	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			150,000.00	150,000.00
36649	COMM	3134G8PD5	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			135,000.00	135,000.00
36650	COMM	3134G8PD5	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			25,076.25	25,076.25
36651	COMM	3134G8PD5	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			43,031.25	43,031.25
36653	COMM	459038FA6	IBRD 1.375% MAT	Interest	MERRILL LYNCH	INTL BANK RECON &			137,600.00	137,600.00
36654	COMM	3134G8PD5	FEDERAL HOME LN Interest		SUNTRUST	FHLMC NOTES			84,375.00	84,375.00
36880	COMM	3136G3SY2	FEDERAL NATL MTG Interest		INCAPITAL LLC	FNMA NOTES			46,875.00	46,875.00
37017	COMM	3136G4BV4	FEDERAL NATL MTG Interest		BREAN CAPITAL LLC	FNMA NOTES			145,000.00	145,000.00
37018	COMM	3133EGWH4	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES			64,000.00	64,000.00
37518	COMM	3134GBBM3	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			250,000.00	250,000.00
38057	COMM	3137EAEJ4	FEDERAL HOME LN Interest		KEYBANC CAPITAL	FHLMC NOTES			121,875.00	121,875.00
38379	COMM	912828RH5	UNITED STATES	Interest	Daiwa Capital Market	U.S. TREASURY			171,875.00	171,875.00
38496	COMM	3134GSGS8	FHLMC 2.7% MAT	Interest	INCAPITAL LLC	FHLMC NOTES			270,000.00	270,000.00
Totals for 10/01/2018										
Grand Total							25,000,000.00	1,812,407.50	26,812,407.50	
							3,694,751,668.8	5,623,215,594.5	35,502,857.58	1,963,966,783.2

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

11.09

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Approve/Ratify Notices of Employment and Changes of Status/December 13, 2018/
Human Resources Department**

Staff Analysis:

This item includes recommendations such as new hires, leaves of absence, or other changes in employee status.

Recommendation:

The Board is requested to approve the hiring of any new employee, and any change of status for existing employees.

Submitted by: Jess Serna

Title: Interim Assistant Superintendent,
Human Resources

To the Board of Trustees:

Recommend Approval

Meeting:

December 13, 2018
Regular Board Meeting

11.09
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-
HUMAN RESOURCES DEPARTMENT
MEETING OF THE BOARD OF TRUSTEES
December 13, 2018**

CERTIFICATED OFFERS OF EMPLOYMENT:

I. New Hires:

1. Almazan, Epsom	Core/Hubbard Media Arts Academy	11/13/2018
2. Fong, Calvin	4/Hubbard Media Arts Academy	11/15/2018
3. Medina, Jose	Core/Fischer Middle School	11/15/2018
4. Sanchez, Monica	Core/Ocala Middle School	11/15/2018

II. New Hire Substitute Teacher:

1. Gil, Erica Vega	10/29/2018
2. Oliphant, Moriah	11/19/2018
3. Ortega, Robert	10/29/2018

III. Employee Contracts:

1. Ausin, Lydia	ELPAC Tester	01/07/2019-05/17/2019
2. Clay, Gertrude	ELPAC Tester	01/07/2019-05/17/2019
3. Clay, Theresa	ELPAC Tester	01/07/2019-05/17/2019
4. Kinoshita, Gloria	ELPAC Tester	01/07/2019-05/17/2019
5. Lynn, Pam	ELPAC Tester	01/07/2019-05/17/2019
6. Martin, Marisol Zavaleta	ELPAC Tester	01/07/2019-05/17/2019
7. Moran, Elba	ELPAC Tester	01/07/2019-05/17/2019
8. Nielsen, Susan	ELPAC Tester	01/07/2019-05/17/2019
9. Rocha, Maria Elena	ELPAC Tester	01/07/2019-05/17/2019
10. Rojas-Gonzales, Evelia	ELPAC Tester	01/07/2019-05/17/2019
11. Wagner, Marilyn	ELPAC Tester	01/07/2019-05/17/2019
12. Wolford, Margaret	ELPAC Tester	01/07/2019-05/17/2019

CERTIFICATED CHANGES OF STATUS:

IV. Substitute Teacher Termination:

1. Lague, Alma	10/29/2018
----------------	------------

CLASSIFIED PERSONNEL – December 13, 2018

CLASSIFIED OFFERS OF EMPLOYMENT:

I. New Hire:

1. Echeverria, Yerania	Campus Paraeducator/Linda Vista	10/29/18
2. Guardado, Martha Susanna	Community Liaison/Renaissance Academy II	12/03/18
3. Villalobos, Lesly Gonzalez	Library & Learning Center Assistant/ L.U.C.H.A & Meyer	11/14/18

II. Change of Status:

1. Razo, Bertha	Bus Monitor & Campus Paraeducator/ Dorsa	10/26/18
-----------------	---	----------

III. Retirement:

1. Crew, Deborah A	Paraeducator Special Education I & Campus Paraeducator/Mathson	12/31/18
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ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

11.10

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: December 1, 2018

Subject: **Single Plan for Student Achievement (SPSA) 2018-2019**
(School plans are available in the Board Library for review)

Staff Analysis: Each year, schools submit a plan of action to raise the academic performance of all students.

The Single Plan for Student Achievement is a plan of action to raise the academic performance of all students to the level of performance goals established under the California Academic Performance Index. California *Education Code* sections 41507, 41572, 64001 and the federal No Child Left Behind Act (NCLB) require each school to consolidate all school plans into the Single Plan for Student Achievement.

Recommendation: Staff recommends approval of the Single Plan for Student Achievement.

Submitted by: Sandra Garcia Title: Director of State and Federal Programs
Approved by: Rene Sanchez *RS* Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

Recommend Approval

11.10
Agenda Placement

[Signature]
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____
Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

11.11

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Out of State Travel

**Positive Behavior Support (PBIS) Northwestern Conference
Portland, OR
February 27 – March 1, 2019**

Summary:

The Annual NWPBIS Network Conference is the largest gathering of educators, researchers, thought leaders, community partners, and practitioners in the field of Schoolwide Positive Behavior Interventions and Supports (SWPBIS) in the Pacific Northwest. Estimated cost \$2160.

Recommendation:

Staff recommends approval of out of state travel for Sandra Rivera and Nereida Astorga.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,
Human Resources

To the Board of Trustees:
Recommend Approval

Meeting: December 13, 2018
Regular Board Meeting

11.11
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

11/5-11/13



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#2

TRAVEL APPROVAL FORM

Attach Supporting Document

 ACADEMIC SERVICE
 NOV 7 10:58 AM
Date of Request: 11/1/181. Program Manager / Principal: Julio Villalobos Dept. / School Site: Chavez2. Title of Conference / Meeting: PBIS - North Western Conference 17th Annual3. Place: Oregon Convention Center - Portland, Oregon4. Purpose: Professional development - PBIS conference5. Date (s) of Conference / Meetings: February 26 through March 1st, 20196. Principal / Program Manager Approval: [Signature] Date: 11/1/187. Departure Date / Time: 2/26/19 9:00 Hour: AM ☒ PM ☐8. Return Date / Time: 3/1/2019 5:00 Hour: AM ☐ PM ☒

9. Human Resources:

Names(s) of Employees Attending	Sub? Yes No	Bilingual Yes No	Sub Request?: (Name)	Code Sub To:
<u>Sandra Rivera</u>	☒	☒	<u>Uma Ravishanker</u>	<u>03060 5000 1199</u>
<u>Nereida Astorga</u>	☒	☒	<u>Daniel Hoang</u>	<u>03060 5000 1199</u>

Substitute: ☐ Available ☐ Unavailable ☐ No Sub Needed

Remarks: _____

Human Resources Dept. Approval: ☐ Yes ☐ No

Asst. Superintendent of Human Resources _____ Date _____

10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation	\$ <u>150 - airfare per person</u>	\$ <u>(300)</u>
Registration	<u>500 - per person</u>	<u>(1,000)</u>
Lodging	<u>180 per night/per room</u>	<u>(360)</u>
Meals	<u>400</u>	<u>(400)</u>
Shuttle / Parking	<u>100</u>	<u>(100)</u>
Other		
Total	\$	\$ <u>2,160</u>

Attach Purchase Order(s), Invoice(s) and Registration Form(s).

11. Account Code: 03060 5000 5200
 Approved by: _____ Date _____ Approved By: _____ Date _____
 Business Services / Purchasing Superintendent

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Cash advance request, submit the completed form 60 days prior to cash advance due date.
- The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue

San José, CA 95127

11.12

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Out of State Travel

Deloitte Courageous Principals Program

Dallas, TX

January 18 – 20, 2019

Summary:

The Courageous Principal Program is a leadership development program focused on honing relationship building, communication and influencing skills. Through this carefully and strategically constructed program, school leaders will work to refine the skills necessary to foster positive interactions and manage change, with the goal of enhancing school leader effectiveness and ultimately, student achievement. Estimated Cost \$520.

Recommendation:

Staff recommends approval of out-of-state travel for Brittany Rykels.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,
Human Resources

To the Board of Trustees:

Recommend Approval

Meeting:

December 13, 2018

Regular Board Meeting

11.12
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

12/3



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

TRAVEL APPROVAL FORM Attach Supporting Document

Date of Request: 12.3.2018 ☐ Conference/Workshop ☐ Field Trip ☒ Out of State

1. Program Manager / Principal Signature: [Signature] Dept. / School Site: _____
 2. Title of Conference / Meeting: Deloitte Contractions Principals Leadership Development
 3. Location: Dallas, TX Deloitte University City/State: Dallas, TX
 4. Purpose: Leadership development
 5. Date (s) of Conference / Meetings: Jan. 18-20
 6. District Office Supervisor Approval: [Signature] Date: _____
 7. Departure Date / Time: 1.18.2019 3:00 Hour: AM ☐ PM ☒
 8. Return Date / Time: 1.20.2019 1:00 Hour: AM ☐ PM ☒
 9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request?: (Name)	Code Sub To:
	Yes	No	Yes	No		
<u>Brittany Rykels</u>		☒				

Substitute: ☐ Available ☐ Unavailable ☐ No Sub Needed ☐ Sub Finder: _____

Remarks: _____

Human Resources Dept. Approval: ☐ Yes ☐ No _____

Asst. Superintendent of Human Resources _____ Date _____

10. SECTION BELOW MUST BE COMPLETED

Cost Description	Total Estimated Costs
Transportation	\$ <u>400.00</u>
Registration	<u>X</u>
Lodging	<u>X</u>
Meals	<u>40 x 3 = 120</u>
Shuttle / Parking	<u>X</u>
Other	<u>X</u>
Total	\$ <u>520 (approx.)</u>

Attach Conference/Workshop/Meeting Information, Registration Form(s), Quote(s)

11. Account Code: 06 305 1461 05200 Accountant Approval: _____

Approved by: _____ Purchasing _____ Superintendent Approval for All Out of State Travel

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Authorization - (yellow) - All approvals must be obtained BEFORE travel arrangements are made and requisition is entered.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

11.13

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Out of State Travel

**Positive Behavior Support (PBIS) Northwestern Conference
Portland, OR
February 27 – March 1, 2019**

Summary:

The Annual NWPBIS Network Conference is the largest gathering of educators, researchers, thought leaders, community partners, and practitioners in the field of Schoolwide Positive Behavior Interventions and Supports (SWPBIS) in the Pacific Northwest. Estimated cost \$1410.

Recommendation:

Staff recommends approval of out of state travel for Ana Gonzalez.

Submitted by: Jess Serna

Title: Interim Assistant Superintendent,
Human Resources

To the Board of Trustees:

Recommend Approval

Meeting:

**December 13, 2018
Regular Board Meeting**

11.13
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

10/30



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

TRAVEL APPROVAL FORM Attach Supporting Document

- Date of Request: 10/29/18 ☐ Conference/Workshop ☐ Field Trip ☒ Out of State
1. Program Manager / Principal Signature: Nam F. Huu Dept. / School Site: SIS
2. Title of Conference / Meeting: 2019 NW PBIS Conference
3. Location: Oregon Convention Center City/State: Portland, Oregon
4. Purpose: PBIS Updates/Professional Development
5. Date (s) of Conference / Meetings: Feb. 27 - March 1, 2019
6. District Office Supervisor Approval: [Signature] Date: _____
7. Departure Date / Time: Feb. 26, 2019 9 Hour: AM ☒ PM ☐
8. Return Date / Time: March 1, 2019 5 Hour: AM ☐ PM ☒
9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request?: (Name)	Code Sub To:
	Yes	No	Yes	No		
<u>Ara Gonzalez</u>		☒				

Substitute: ☐ Available ☐ Unavailable ☒ No Sub Needed ☐ Sub Finder: _____

Remarks: _____

Human Resources Dept. Approval: ☒ Yes ☐ No

[Signature] 11/4/18
Asst. Superintendent of Human Resources Date

10. SECTION BELOW MUST BE COMPLETED

Cost Description	Total Estimated Costs
Transportation	\$ <u>150</u> <u>airfare</u>
Registration	\$ <u>500</u>
Lodging	\$ <u>180</u> <u>per night</u> = <u>1540</u>
Meals	\$ <u>120.00</u>
Shuttle / Parking	
Other	\$ <u>100</u>
Total	\$ <u>1,410.00</u>

Attach Conference/Workshop/Meeting Information, Registration Form(s), Quote(s)

11. Account Code: 06-340-1806 R-5200 Accountant Approval: _____

Approved by: _____
Purchasing

Superintendent Approval for All Out of State Travel

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Authorization - (yellow) - All approvals must be obtained BEFORE travel arrangements are made and requisition is entered.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

11.14

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Out of State Travel

**Positive Behavior Support (PBIS) Northwestern Conference
Portland, OR
February 27 – March 1, 2019**

Summary:

The Annual NWPBIS Network Conference is the largest gathering of educators, researchers, thought leaders, community partners, and practitioners in the field of Schoolwide Positive Behavior Interventions and Supports (SWPBIS) in the Pacific Northwest. Estimated cost \$5170.

Recommendation:

Staff recommends approval of out of state travel for Evelyn Loughran, Julio Villalobos, George Kleidon, Ariana Arenas and Juan Flores.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,
Human Resources

To the Board of Trustees:

Recommend Approval

Meeting:

December 13, 2018

Regular Board Meeting

11.14
Agenda Placement

Hilaria Bauer
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

10/30

#5



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

TRAVEL APPROVAL FORM

Attach Supporting Document

RECEIVED OFFICE
NOV 7 10:00 AM

Date of Request: 10/29/18 Nina Lina ☒ Conference/Workshop ☐ Field Trip ☒ Out of State

1. Program Manager / Principal Signature: Nina Lina Dept. / School Site: SIS

2. Title of Conference / Meeting: 2019 NWPBIS Conference

3. Location: Oregon Convention Center City/State: Portland, Oregon

4. Purpose: PBIS updates / Professional Development

5. Date (s) of Conference / Meetings: Feb. 27 - March 1, 2019

6. District Office Supervisor Approval: [Signature] Date: _____

7. Departure Date / Time: Feb. 26, 2019 9 Hour: AM ☒ PM ☐

8. Return Date / Time: March 1, 2019 5 Hour: AM ☐ PM ☒

9. Human Resources:

Names(s) of Employees Attending	Sub? Yes No	Bilingual Yes No	Sub Request?: (Name)	Code Sub To:
Evelyn Loughran	X			
Julio Villalobos	X			
George Kleidon	X			
Ariana Arenas	X			
Juan Flores	X			

Substitute: ☐ Available ☐ Unavailable ☒ No Sub Needed ☐ Sub Finder: _____

Remarks: _____

Human Resources Dept. Approval: ☒ Yes ☐ No [Signature] 11/2/18
Asst. Superintendent of Human Resources Date

10. SECTION BELOW MUST BE COMPLETED

Cost Description	Total Estimated Costs
Transportation	\$150.00 airfare per person (1750)
Registration	\$500 per person (2,500)
Lodging	\$180 per room/night (1,620) 3 rooms
Meals	\$600.00
Shuttle / Parking	\$300.00
Other	
Total	\$5,170.00

Attach Conference/Workshop/Meeting Information, Registration Form(s), Quote(s)

11. Account Code: 03-340-5210-5800 Accountant Approval: _____

Approved by: _____ Purchasing Superintendent Approval for All Out of State Travel

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Authorization - (yellow) - All approvals must be obtained BEFORE travel arrangements are made and requisition is entered.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

11.15

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

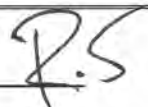
To the Board of Trustees:

Date: December 7, 2018

Subject: **THINK Together Designation of California State Preschool Programs (CSPP)
Facility Responsibility – Adelante I**

Staff Analysis: The Memorandum of Understanding between the Alum Rock Union Elementary School District and THINK Together is a formal agreement to provide California State Preschool Programs (CSPP) at two schools within the district. Preschool services will be provided at Adelante Dual Language Academy I, 2999 Ridgemont Drive, San Jose, California, 95127.

Recommendation: Staff recommends approval of **Resolution No. 17-18/19**, as submitted.

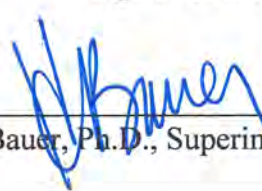
Submitted by: Rene Sanchez  Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

Recommend Approval

11.15
Agenda Placement


Hilario Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RESOLUTION NO. 17-18/19

**THINK Together Designation of
California State Preschool Programs (CSPP) Facility Responsibility**

WHEREAS, The Memorandum of Understanding between the Alum Rock Union Elementary School District and THINK Together is a formal agreement to provide California State Preschool Programs (CSPP) at two schools within the district. It is agreed that THINK Together will provide preschool services at Adelante Dual Language Academy, 2999 Ridgemont Drive, San Jose California, 95127;

WHEREAS, THINK Together Program Manager, Michelle Vasquez, is designated to receive and submit all documents pertaining to Adelante Dual Language Academy, 2999 Ridgemont Drive, San Jose California, 95127;

WHEREAS, Michelle Vasquez is designated as the Administrator-Manager of the above named facility and authorized to receive any licensing documents on behalf of our Board, including reports of inspections and consultations, accusations, and civil and administrative processes;

WHEREAS, THINK Together, in coordination with the ARUESD, will offer a comprehensive, licensed preschool in the Alum Rock School Readiness Program which meets the developmental needs of the children it serves.

NOW, THEREFORE, BE IT RESOLVED, that THINK Together will secure and maintain in force such permits and licenses as are required by law in connection with the furnishing of services pursuant to the fully executed MOU.

BE IT FURTHER RESOLVED, that THINK Together's designee has the authority, on behalf of the Alum Rock Board of Trustees, to submit the application to the State of California, Department of Social Services Community Care Licensing Division Agency, San Jose Child Care Program, 2580 North First Street, Suite 300, San Jose, California, 95131.

PASSED AND ADOPTED, this 13TH day of December, 2018, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California:

Ayes_____

Noes_____

Absent_____

Abstain_____

Board of Trustees:

Andrés Quintero, Board Member

Dolores Marquéz-Frausto, Board Member

Ernesto Bejarano, Board Member

Karen Martinez, Board Member

Linda Chavez, Board Member

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on December 13, 2018.

Hilaria Bauer, Ph.D., Superintendent

Date

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

11.16

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: December 7, 2018

Subject: **THINK Together Designation of California State Preschool Programs (CSPP)
Facility Responsibility – Adelante II**

Staff Analysis: The Memorandum of Understanding between the Alum Rock Union Elementary School District and THINK Together is a formal agreement to provide California State Preschool Programs (CSPP) at two schools within the district. Preschool services will be provided at Adelante Dual Language Academy II, 1970 Cinderella Lane, San Jose, California, 95116.

Recommendation: Staff recommends approval of **Resolution No. 18-18/19**, as submitted.

Submitted by: Rene Sanchez



Title: Assistant Superintendent, Instructional Services

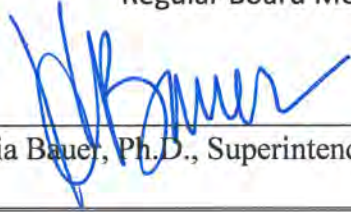
To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

Recommend Approval

11.16

Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RESOLUTION NO. 18-18/19

**THINK Together Designation of
California State Preschool Programs (CSPP) Facility Responsibility**

WHEREAS, The Memorandum of Understanding between the Alum Rock Union Elementary School District and THINK Together is a formal agreement to provide California State Preschool Programs (CSPP) at two schools within the district. It is agreed that THINK Together will provide preschool services at Adelante Dual Language Academy II, 1970 Cinderella Lane, San Jose California, 95116;

WHEREAS, THINK Together Program Manager, Michelle Vasquez, is designated to receive and submit all documents pertaining to Adelante Dual Language Academy II, 1970 Cinderella Lane, San Jose California, 95116;

WHEREAS, Michelle Vasquez is designated as the Administrator-Manager of the above named facility and authorized to receive any licensing documents on behalf of our Board, including reports of inspections and consultations, accusations, and civil and administrative processes;

WHEREAS, THINK Together, in coordination with the ARUESD, will offer a comprehensive, licensed preschool in the Alum Rock School Readiness Program which meets the developmental needs of the children it serves.

NOW, THEREFORE, BE IT RESOLVED, that THINK Together will secure and maintain in force such permits and licenses as are required by law in connection with the furnishing of services pursuant to the fully executed MOU.

BE IT FURTHER RESOLVED, that THINK Together's designee has the authority, on behalf of the Alum Rock Board of Trustees, to submit the application to the State of California, Department of Social Services Community Care Licensing Division Agency, San Jose Child Care Program, 2580 North First Street, Suite 300, San Jose, California, 95131.

PASSED AND ADOPTED, this 13TH day of December, 2018, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California:

Ayes _____

Noes _____

Absent _____

Abstain _____

Board of Trustees:

Andrés Quintero, Board Member

Dolores Marquéz-Frausto, Board Member

Ernesto Bejarano, Board Member

Karen Martinez, Board Member

Linda Chavez, Board Member

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on December 13, 2018.

Hilaria Bauer, Ph.D., Superintendent

Date

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue
San Jose, CA 95127

11.17

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

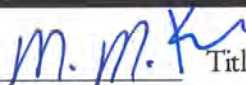
Subject: Resolution #19-18/19 Inventory Disposal

Staff Analysis:

Authorize the sale, donation, and/or disposal of surplus property under the direction of the Procurement Manager, Assistant Superintendent of Business Services and the Superintendent.

Recommendation:

Staff recommends approval of the sale, donation and/or disposal, of surplus property listed on the attached sheets dated December 13, 2018.

Prepared by: Maria Martinez  Title: Procurement Manager

Approved by: Kolvira Chheng  Title: Assistant Superintendent of Business Services

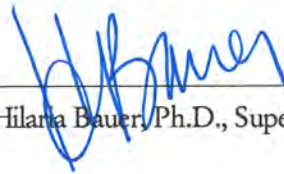
To the Board of Trustees:

Meeting: December 13, 2018

Recommend Approval

11.17

Agenda Placement



Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
OF
SANTA CLARA COUNTY, STATE OF CALIFORNIA**

**RESOLUTION # 19-18/19
SALE, DONATION -AND/OR DISPOSAL OF SURPLUS PROPERTY**

WHEREAS, Education Code 39520 authorizes the sale for cash of any property belonging to the District if the property is not required for school purposes, or it should be disposed for the purpose of replacement, or it is unsatisfactory or not suitable for school use.

WHEREAS, Education Code 39520 and 39521 provides procedures to implement such a sale, public or private, conducted by staff;

WHEREAS, Education Code 39521 provides for donations to charitable organizations or disposal if property is of insufficient value to defray costs of arranging a sale;

NOW, THEREFORE, BE IT RESOLVED, that this Board does hereby authorize such a sale, donation, and/or disposal of surplus property, under the direction of the Procurement Manager, Assistant Superintendent of Business Services, and /or Superintendent.

PASSED AND ADOPTED this 13th day of December, 2018, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, and State of California.

Ayes _____ Noes _____ Absent _____ Abstain _____

Board of Trustees:

Karen Martinez, Member _____

Andrés Quintero, Member _____

Dolores Márquez-Frausto, Member _____

Linda Chavez, Member _____

Ernesto Bejarano, Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on December 13, 2018.

Hilaria Bauer, Ph.D., Superintendent

**SURPLUS EQUIPMENT DISPOSAL
DECEMBER 13, 2018
RESOLUTION # 19-18/19**

[illegible]

HP MONITORS 19"			APTITUD STAGE	APTITUD
HP MONITORS 19"			APTITUD STAGE	APTITUD
HP MONITORS 19"			APTITUD STAGE	APTITUD
HP MONITORS 19"			APTITUD STAGE	APTITUD
HP MONITORS 19"			APTITUD STAGE	APTITUD
HP MONITORS 19"			APTITUD STAGE	APTITUD
HP MONITORS 19"			APTITUD STAGE	APTITUD
HP MONITORS 22"			APTITUD STAGE	APTITUD
CASSETTE PLAYER	28964	UR337557209	SHEPPARD	SHEPPARD
COMPANY EVO HARDDRIVE	07925	W23SKN9	SHEPPARD	SHEPPARD
DELL MONITORS 15"			SHEPPARD	SHEPPARD
DELL LATITUDE D500	09362	H7XGP-DX2XF-HGY4H-XXH4Q	SHEPPARD	SHEPPARD
DELL LATITUDE D500	09360	FP0341	SHEPPARD	SHEPPARD
DELL LATITUDE D500 LAPTOP	09359	00045-462-595-881	SHEPPARD	SHEPPARD
DELL LATITUDE D500 LAPTOP	09385	C6DO341	SHEPPARD	SHEPPARD
DELL LATITUDE D520 LAPTOP	12239	61DHSC1	SHEPPARD	SHEPPARD
DELL LATITUDE DSOS LAPTOP	10789	9QWSQ61	SHEPPARD	SHEPPARD
DELL LATITUDE DSOS LAPTOP	10800	5HWSQ61	SHEPPARD	SHEPPARD
DELL LATITUDE E5400	01626	C2QMQN1	SHEPPARD	SHEPPARD
DELL MONITORS 15"			SHEPPARD	SHEPPARD
DELL MONITORS 17"			SHEPPARD	SHEPPARD
DELL OPTIPLEX	09133	CT97341	SHEPPARD	SHEPPARD
DELL OPTIPLEX	09132	HV97341	SHEPPARD	SHEPPARD
DELL OPTIPLEX	09127	DS97341	SHEPPARD	SHEPPARD
DELL OPTIPLEX 780 TOWER	16925	HBLOGQI	SHEPPARD	SHEPPARD
DELL OPTIPLEX GX270 TOWER	10357	00045-508-202-619	SHEPPARD	SHEPPARD
DELL OPTIPLEXGX280 TOWER	10658	00045-553-890-578	SHEPPARD	SHEPPARD
DESKTOP	11562	X11-45371	SHEPPARD	SHEPPARD
DESKTOP	14365	X13-04660	SHEPPARD	SHEPPARD
DESKTOP	N/A	X13-04660	SHEPPARD	SHEPPARD
DESKTOP	10359	X10-23533	SHEPPARD	SHEPPARD
DESKTOP	16557	X16-96076	SHEPPARD	SHEPPARD
DESKTOP	12203	X12-51822	SHEPPARD	SHEPPARD
HARD DRIVE	10357	00045-508-202-619	SHEPPARD	SHEPPARD
HP LASERJET 1320 PRINTER	N/A	CNFC5340H1	SHEPPARD	SHEPPARD
HP LASERJET 2300N PRINTER	10277	CNBGH42198	SHEPPARD	SHEPPARD
HP LASERJET PZOLSDN		CNBJS42285	SHEPPARD	SHEPPARD
HP LAZERJET PZOLSDN		N/A	SHEPPARD	SHEPPARD
LAPTOP	15921	X15-53895	SHEPPARD	SHEPPARD
LAPTOP	15775	X15-53895	SHEPPARD	SHEPPARD
LAPTOP	15765	X15-53895	SHEPPARD	SHEPPARD
LAPTOP	15750	X15-53895	SHEPPARD	SHEPPARD
LATITUDE 2100	15750	186044817661	SHEPPARD	SHEPPARD
LATITUDE 2100	15765	186037406883	SHEPPARD	SHEPPARD
LATITUDE 2100	15775	186037406963	SHEPPARD	SHEPPARD
LATITUDE 2100	15921	186037387031	SHEPPARD	SHEPPARD
LATITUDE 2100	15770	186037406950	SHEPPARD	SHEPPARD
LATITUDE E500	15837	3392822413	SHEPPARD	SHEPPARD
DELL MONITORS 15"			SHEPPARD	SHEPPARD
DELL MONITORS 15"			SHEPPARD	SHEPPARD
NETWORK BOXES	13466	JBN3JF1	SHEPPARD	SHEPPARD
NETWORK BOXES	N/A	N/A	SHEPPARD	SHEPPARD
PRINTER	N/A	CNO6IC32Q5	SHEPPARD	SHEPPARD
PRINTER	N/A	CNO5LCHOT9	SHEPPARD	SHEPPARD
PRINTER	N/A	CN05LCHOTN	SHEPPARD	SHEPPARD
PRINTER	N/A	CN06IC32Q5	SHEPPARD	SHEPPARD

PRINTER	N/A	PHGFC02801	SHEPPARD	SHEPPARD
PROJECTOR CP-X251UF	12336	N/A	SHEPPARD	SHEPPARD
PROJECTOR CP-X251UF	12336	N/A	SHEPPARD	SHEPPARD
PROJECTOR CP-X251UF	N/A	80041405	SHEPPARD	SHEPPARD
SAMSUNG TV	N/A	N/A	SHEPPARD	SHEPPARD
WINDOWS XP PRO12CPU	10359	45508202618	SHEPPARD	SHEPPARD
WINDOWS XP PRO12CPU	16925	H3L06Q1	SHEPPARD	SHEPPARD
HP LASERJET P2015DN	N/A	CNBJN23667	SHEPPARD	SHEPPARD
HP LASERJET P2015DN	N/A	CNBKY02619	SHEPPARD	SHEPPARD
DELL 2350DN	N/A	CN-0TNW57-48730-21D-25BK	SHEPPARD	SHEPPARD
HP COLOR LASERJET 2605DN	N/A	N/A	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU350DVXD	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU350BHB4	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BTWH	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2006218	CNU305BG3F	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU350BGG3	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2008778	5CG5191DFH	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2008784	5CG5190322	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2006033	CNU350BGRB	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B83B	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005503	CNU347BSVL	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU402B9DL	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU350BGTR	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BS36	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BSWP	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B8OX	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BTNJ	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BS90	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B597	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU350BHB3	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2006237	CNU350BG9Q	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005561	CNU347BT78	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BS3Q	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU350BG2T	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU350BG27	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005343	CNU347BSXR	SHEPPARD	SHEPPARD
LCD PROJECTOR	00013693	CP-X305UF	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2006020	CNU350BGTX	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU402B9J1	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005813	CNU347BSD8	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B590	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B87F	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005748	CNU347BS5D	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BTN8	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005365	CNU347BSMD	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2006195	CNU350BGKK	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B5NV	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2003680	CNU344B4Z8	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU350BGVB	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005412	CNU347BT08	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005530	CNU347BSQW	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B87Y	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2003559	CNU344B8BS	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005774	CNU347BSWG	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B5MX	SHEPPARD	SHEPPARD

LAPTOP-HP MT40	2005369	CNU347BS62	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B5LT	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005654	CNU347BTRW	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005592	CNU347BSCX	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005623	CNU347BTSH	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005368	CNU347BSYL	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005754	CNU347BS86	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BSTW	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BSV9	SHEPPARD	SHEPPARD
HP PROBOOK; LAPTOP	2008816	A3009DD10603	SHEPPARD	SHEPPARD
HP PROBOOK; LAPTOP	200880	5CG5190TBC	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BTRF	SHEPPARD	SHEPPARD
PROBOOK 6470B	2005822	CNU347B28V	SHEPPARD	SHEPPARD
PROBOOK 6470B	2005501	CNU347BSv9	SHEPPARD	SHEPPARD
MT40	2003839	CNU344B80L	SHEPPARD	SHEPPARD
HP PROBOOK; LAPTOP	2008822	5CG5190TB8	SHEPPARD	SHEPPARD
HP PROBOOK; LAPTOP	N/A	5CG5190318	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU3449W28	SHEPPARD	SHEPPARD
WINDOWS XP PROFESSIONAL	10808	JP-OY1338-42016-4CS-3612	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU3449W28	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	5CG519031B	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU3449W28	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU347BS8V	SHEPPARD	SHEPPARD
LAPTOP-HP MT41	N/A	5CG5190TB8	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	N/A	CNU344B80L	SHEPPARD	SHEPPARD
LAPTOP-HP MT40	2005501	N/A	SHEPPARD	SHEPPARD
NETAPP NAJ-0801	1000047	SHJ00000000A890	DISTRICT OFFICE	IT DEPARTMENT
NETAPP NAJ-0801	1000071	SHJ0000000098D7	DISTRICT OFFICE	IT DEPARTMENT
NETAPP FASS3240	1000045	5013700154	DISTRICT OFFICE	IT DEPARTMENT
NETAPP FASS3240	1000044	5013701328	DISTRICT OFFICE	IT DEPARTMENT
NETAPP NAJ-0801	1000046	SHJ00000000A891	DISTRICT OFFICE	IT DEPARTMENT
CISCO VG224	N/A	FHK1235F42K	DISTRICT OFFICE	IT DEPARTMENT
CODIAN MCU240	N/A	MRV1001SM0004E3	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 2161D2	13640	HU0CK318696457BS07CD	DISTRICT OFFICE	IT DEPARTMENT
SILKWORM 200E FIBRE SWITCH	12877	US0XH1951356076KD01N	DISTRICT OFFICE	IT DEPARTMENT
SILKWORM 200E FIBRE SWITCH	12876	US0XH1951356076KD01S	DISTRICT OFFICE	IT DEPARTMENT
EMC SOLUTIONS E77120	N/A	AC479113900092	DISTRICT OFFICE	IT DEPARTMENT
EMC2 JPEI	12874	TH0UM3921329073G0612	DISTRICT OFFICE	IT DEPARTMENT
CISCO 3845	14072	OPA11501772	DISTRICT OFFICE	IT DEPARTMENT
EMC KTNS STL4	14855	AC734071712639	DISTRICT OFFICE	IT DEPARTMENT
EMC KTNS STL4	12875	AC735082301286	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 2650	14429	JQYH0G1	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 2650	14428	2RYH0G1	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 2650	08391	5C0S831	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 2650	10095	1VPSH41	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 2650	10096	FTPSH41	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 2650	06935	26FS121	DISTRICT OFFICE	IT DEPARTMENT
DATA DOMAIN DD460	12052	6FP4620012	DISTRICT OFFICE	IT DEPARTMENT
CISCO MCS7800	N/A	USE704N61L	DISTRICT OFFICE	IT DEPARTMENT
CISCO MCS 7800	N/A	USE810N2R1	DISTRICT OFFICE	IT DEPARTMENT
CISCO MCS 7800	N/A	MX282101WK	DISTRICT OFFICE	IT DEPARTMENT
CISCO MCS 7800	14041	USE810N2RH	DISTRICT OFFICE	IT DEPARTMENT
CISCO MCS 7800	N/A	USE715N306	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 2950	14524	9JHTLF1	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 1950	09742	6YJM5B1	DISTRICT OFFICE	IT DEPARTMENT

DELL POWEREDGE 1950	14871	FBXY4H1	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 1950	08492	8K7P631	DISTRICT OFFICE	IT DEPARTMENT
DELL POWEREDGE 1950	14523	9M0G071	DISTRICT OFFICE	IT DEPARTMENT
CISCO IRONPORT S370	1000060	9S1PNS1	DISTRICT OFFICE	IT DEPARTMENT
CISCO IRONPORT S370	1000061	B2GQNS1	DISTRICT OFFICE	IT DEPARTMENT

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

11.18

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: November 15, 2018

Subject: School-sponsored Field Trip List

Staff Analysis: Student field trips are an important component of the educational program. Field trips supplement and enrich classroom-learning experiences and provide opportunities for students to relate their new learning to the outside world.

Recommendation: Staff recommends approval of out-of-county school-sponsored and overnight field trips.

Submitted by: Sandra Garcia

Title: Director of State and Federal Programs

Approved by: Rene Sanchez

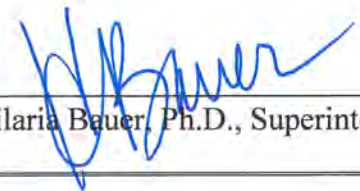
Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: December 13, 2018
Regular Board Meeting

Recommend Approval

11.18
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____

Seconded by: _____

Approved: _____

Not Approved: _____

Tabled: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

School-Sponsored Field Trip Information Sheet

Overnight Field Trips are in **BOLD**

Field Trips for Board Approval: **December 13, 2018**

School	Field Trip Event	City, and County	Date	Grade	# of Classes / Students	Budget (coding)	Purpose: (academic standard the trip supports)
Adelante I	Walden West Science Camp	Saratoga/ Santa Clara	04/15/19-04/19/19	5	64 Students	PTA	Students will learn about ecosystems and participate in hands-on science activities.
Aptitud	Hiller Aviation Museum	San Carlos/ San Mateo	05/20/19	1-3 rd	82 Students	PLG	Students will engage in modern technology and participated hands-on with technology, science, math, and engineering.
George	Six Flags Magic Kingdom	Vallejo/ Solano	05/04/19	6-8	90 Students	LCAP	Students will perform at an instrumental music festival and attends awards at six flags.
Hubbard	Walden West Science Camp	Cupertino/ Santa Clara	02/11/19-02/15/19	5	66 Students	Title I	Students will learn about ecosystems and participate in hands-on science activities.
Ocala	California State University	Moraga, Costa County/Chico, Butte County/ Sacramento, Sacramento/ Stockton, San Joaquin County	04/11/19-04/12/19	6-8	50 Students	Donations	Students will tour various campuses and learn about college requirement.
Ocala	San Francisco Exploratorium	San Francisco/ San Francisco	04/16/19	8	160 Students	Donations	Students will tour Exploratorium and participate in hands-on science activities.
Russo/ McEntee	Monterey Bay Aquarium	Monterey/ Monterey	02/06/19	3	93 Students	Donations	Students will learn about ocean ecosystems and the relationships between oceans and the Earth's climate.