

**LODI UNIFIED SCHOOL DISTRICT  
REQUEST FOR QUALIFICATIONS  
NEW MAINTENANCE AND OPERATIONS BUILDING  
DESIGN-BUILD SERVICES  
RFQ # 0893-8993**

Lodi Unified School District ("District") is seeking to prequalify design-build entities ("DBE") or design-build teams to submit proposals to design and construct the District's **New Maintenance and Operations Building** ("Project"), in accordance with Education Code section 17250.10 et seq. Only respondents that have been prequalified by the District in response to this Request for Qualifications ("RFQ") will be eligible to submit proposals in response to the District's subsequent Request for Proposals ("RFP") for the Project.

Respondents to this RFQ shall submit a fully completed and sealed Statement of Qualifications ("SOQ"), using the District's standard prequalification submittal template for design-build projects.

Completed SOQ's shall be delivered to:

LODI UNIFIED SCHOOL DISTRICT  
1305 E. Vine Street, Lodi, CA 95240  
ATTN: Warren Sun, Senior Director of Operations  
RFQ # 0893-8993

**ALL SOQS ARE DUE BY 2:00 P.M. ON THURSDAY, OCTOBER 4, 2018.** Oral, telegraphic, facsimile, telephone, or email SOQs will not be accepted. SOQs received after this date and time will not be accepted and will be returned unopened. **A NON-MANDATORY PRE-SUBMITTAL CONFERENCE WILL BE HELD AT 2:00 ON TUESDAY, SEPTEMBER 18, 2018 AT THE DISTRICT'S FACILITY AND PLANNING DEPARTMENT.**

District reserves the right to waive irregularities and omissions in the information contained in any SOQ, and to make all final determinations, including non-responsiveness. District may use other sources of information outside of the SOQs to investigate respondents or to verify answers.

Each SOQ must be certified under penalty of perjury by the respondent. If any information provided by a respondent becomes inaccurate, the respondent must immediately notify District and provide updated, accurate information in writing, under penalty of perjury. District reserves the right to suspend or rescind prequalification status at any time based on subsequently learned information.

Questions regarding this RFQ may be directed in writing to the District's Planning Analyst, Vickie Brum, at [vbrum@lodiUSD.net](mailto:vbrum@lodiUSD.net), and must be submitted on or by **2:00 P.M. ON FRIDAY, SEPTEMBER 21, 2018.**

## I. RFQ SCHEDULE OF EVENTS SUMMARY

The District may change the dates on this schedule without prior notice.

September 6, 2018	Advertise and Release of RFQ
September 18, 2018 (2:00)	Non-Mandatory Pre-Submittal Conference
September 21, 2018 (2:00)	Last Day to Receive Written Questions from Respondents
September 27, 2018	Last Day for District to Issue Addenda
<b>October 4, 2018 (2:00)</b>	<b>Deadline to Submit SOQ</b>
October 11, 2018	Notice to Pre-Qualified Respondents and Issue RFP to Shortlist
October 15, 2018	Confidential Meetings with Three Proposers (week of)
October 23, 2018	Deadline to Submit RFP Questions
October 25, 2018	Publish Answers to Questions via Addendum
November 6, 2018	Final Proposals Due
November 12, 2018	Anticipated Interviews if Applicable (week of)
November 20, 2018	Board of Education Approval
November 30, 2018	Anticipated Date of Contract Execution and issue NTP
July 1, 2019	Anticipated Date of Submission of Final Design to City of Lodi and all Local Jurisdictional Agencies

## I. PROJECT DESCRIPTION AND SCOPE OF SERVICES

Pursuant to Education Code section 17250.10 et seq., the District is seeking a DBE to design and construct the District's New Maintenance and Operations Building ("Project"). The Project is further defined in the attached **APPENDIX A**, along with the District's expected cost range and schedule for the Project. The District's criteria documents/performance specifications, which the selected DBE must adhere to in delivering the Project, will be made available to prequalified respondents at the RFP stage. Refer to **APPENDIX C** for the conceptual site plan.

The selected DBE must be appropriately licensed and registered in the State of California for architectural, engineering, and construction services as needed to complete the Project. In addition, the selected DBE shall have experience with both design and construction of public M&O/Corporation Yard facilities and in working with the local utility companies, and local governing agencies having jurisdiction for this project.

The selected DBE must be registered with the Department of Industrial Relations ("DIR") as required by law. The selected DBE will be required to comply with the Labor Code prevailing wage requirements, skilled and trained workforce requirements, and the District's bonding and insurance requirements. The selected DBE shall be required to work cooperatively with District staff, the Board of Education, all other technical consultants, the criteria architect, the project inspector, and any program and/or construction manager, if any, retained by the District for the

Project, citizens' oversight committee, other District committees, and the community to facilitate timely and professional completion of the Project.

## **II. DESIGN-BUILD PROCUREMENT PROCESS**

Pursuant to Education Code section 17250.25, procurement of a DBE will follow two phases:

1. Prequalification – First, by this RFQ, the District will prequalify respondents using a standard submittal template request for statements of qualifications. After all the SOQ's have been evaluated, the District will select/short-list up to three of the highest rated respondents, including two alternates. The significant factors the District reasonably expects to consider in evaluating qualifications are identified in Section VIII, below.
2. Design-Build Competition – Second, the District will issue a RFP, inviting only prequalified respondents to submit competitive sealed proposals for the Project. The District will use a best value selection method for evaluating proposals. The tentative procedure for final selection will be as follows:
  - a. The District will evaluate responsive proposals using only the criteria and selection procedures specifically identified in the RFP.
  - b. The District may, at its discretion, request proposal revisions and hold discussions and negotiations with responsive proposers. The RFP will define applicable procedures to ensure that discussions or negotiations are conducted in good faith.
  - c. The District will rank responsive proposers based on a determination of best value provided.
  - d. The District will award the Design-Build Services Contract, if at all, to the responsible DBE whose proposal is determined to be the best value and in the best interest of the District.

Per Board Policy 3311.1, any person or entity that has performed or is performing services for District relating to the solicitation of this design-building project will **not** be eligible to submit a proposal as a design-build entity or to join a design-build team.

## **III. FULL OPPORTUNITY**

The District hereby affirmatively ensures that Disadvantaged Business Enterprises ("DBE"), Small Local Business Enterprise ("SLBE"), Small Emerging Local Business Enterprise ("SELBE") and Disabled Veterans Business Enterprise ("DVBE") firms shall be afforded full opportunity to submit qualifications in response to this RFQ and will not be discriminated against on the basis of race, color, national origin, ancestry, disability, gender, transgender status, political affiliation, or religion in any consideration leading to the award of contract. No qualified disabled person shall, on the basis of disability, be excluded from participating in, be denied the benefits of, or otherwise be subjected to discrimination in any consideration leading to the award.

## **IV. LIMITATIONS**

This RFQ is neither a formal request for bids, nor an offer by the District to contract with any party responding to this RFQ. The District reserves the right to add additional prequalified respondents for consideration after distribution of this RFQ, if it is found to be in the best interest of the District. All decisions concerning selection of the DBE will be made in the best interests

of the District. The issuing of a subsequent RFP and awarding of the contract pursuant to the subsequent RFP, if at all, is at the sole discretion of the District.

The District makes no representation that participation in this RFQ process will lead to an award of contract or any consideration whatsoever. The District shall in no event be responsible for the cost of preparing any response to this RFQ.

SOQs submitted by contractors are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. The contents, however, may be disclosed to third parties for purpose of verification or investigation. State law requires that the names of respondents applying for prequalification status shall be public records subject to disclosure.

## **V. RESTRICTIONS ON LOBBYING AND CONTACTS**

From the period beginning on the date of the issuance of this RFQ and ending on the date of the award of the contract, no person, or entity submitting in response to this RFQ, nor any officer, employee, representative, agent, or consultant representing such a person or entity shall contact through any means or engage in any discussion regarding this RFQ, the evaluation or selection process/or the award of the contract with any member of the District, Board of Education, selection members, or any member of the Citizens' Oversight Committee. Any such contact shall be grounds for the disqualification of the respondent submitting a SOQ.

## **VI. STANDARD SUBMITTAL TEMPLATE FOR STATEMENT OF QUALIFICATIONS**

Attached hereto as **APPENDIX B** is the District's prequalification submittal template. Respondent must complete the prequalification submittal template, certify its answers under penalty of perjury, and attach all information requested therein. The completed, certified prequalification submittal template, together with all attachments, constitutes respondent's SOQ.

Each SOQ must be certified under penalty of perjury. If any information provided by a respondent becomes inaccurate, the respondent must immediately notify District and provide updated, accurate information in writing, under penalty of perjury.

## **VII. EVALUATION**

The District will evaluate all timely submitted SOQs. Each SOQ must complete. Incomplete SOQs will be considered nonresponsive and grounds for disqualification. The District retains the sole discretion to determine issues of compliance and to determine whether a respondent is responsive and qualified.

Significant factors the District reasonably expects to consider in evaluating qualifications are as follows:

- All required licenses and registration to design and construct the Project, with no recent revocations or suspensions;
- Established performance history, including an absence of criminal or civil violations or significant disputes;
- Capacity to obtain required bonds and insurance for the Project;
- Related project design expertise and experience;
- Related project construction expertise and experience;

- Acceptable safety record, and each DBE team member EMR;
- Organizational chart showing DBE team;
- If applicable, describe DBE's ability to self-perform construction work;
- Identify whether proposed DBE has local design-build project experience
- Enforceable commitment to use a skilled and trained workforce for the Project, as applicable; and
- Financial capacity to complete the Project.

District may use other sources of information outside of the SOQs to investigate respondents or verify answers. District's investigation may extend beyond contacting project references identified in SOQs.

After completing its evaluation, the District will notify respondents selected for prequalification.

### **VIII. FINAL DETERMINATION**

Prequalification is at the sole discretion of the District. The District reserves the right to waive irregularities and omissions in the information contained in any SOQ, and to make all final determinations. The District further reserves the right to suspend or rescind prequalification status at any time based on subsequently learned information.

The District makes no representation that participation in this RFQ process will lead to an award of contract or any consideration whatsoever. The District reserves the right to seek proposals from or to contract with any firm not participating in this process. The District shall in no event be responsible for the cost of preparing any SOQ or other response to this RFQ.

**WE THANK YOU FOR YOUR INTEREST IN THE DISTRICT'S PROJECT!**

## **APPENDIX A**

### **PROJECT DESCRIPTION**

This project consists of the design-build construction of a new Maintenance & Operations Building for Lodi Unified School District in Lodi, CA. It is sited at the Districts newly acquired property along Guild Avenue and the future Lime Street (not yet constructed). The site is an existing undeveloped site (currently used for agricultural purposes) of 14.08 acres. The proposed project includes a new pre-engineered/manufactured steel building with a minimum of 36,000 GSF. The site development work includes a new entry driveway at Guild Ave at the southwest corner of the site with a new access drive leading to the new building, including driveways and parking, site walls and fencing and all required water, fire water, sewer, storm drain, gas, power, communication, voice/data, and other required supporting utilities. Coordination to obtain all local jurisdictional agency plan approvals and permitting is required, but fees/permit costs will be paid for by the District. Refer to the conceptual site plan included for the proposed configuration and site features Appendix C. The remaining area identified on the site plan is for future development by the District. The DBE shall be responsible for both on-site and off-site improvements as required for the development, including the extension of both wet and dry utilities from the public right-of-way to the project, as well as planned improvements to the right-of-way including new sidewalk along the full extent of the property's frontage along Guild Avenue.

The expected cost range for design and construction is between \$16,800,000 and \$17,500,000.

The expected Agency Approval/Permit required date is September 30, 2019; and the expected Construction Completion date is July 31, 2020.

**APPENDIX B**

**LODI UNIFIED SCHOOL DISTRICT  
PREQUALIFICATION SUBMITTAL TEMPLATE  
DESIGN-BUILD ENTITIES**

This standard prequalification submittal template should be completed by design-build entities or design-build teams seeking to prequalify for a Lodi Unified School District ("District") design-build project in accordance with Education Code section 17250.10 et seq.

As used in here:

- "DBE" refers to both design-build entities and design-build teams.
- "Member" refers to individuals or entities identified as members of the design-build team, including the general contractor and, if utilized in the design of the project, all electrical, mechanical, and plumbing subcontractors and other trade partners.
- "Associates" refers to all current officers, owners, and/or partners of DBE and of any Member.

Wherever additional space is needed to answer a question fully and accurately, attach additional copies of the template pages and/or additional signed sheets as needed.

**I. BUSINESS INFORMATION**

**A. Contact Information**

1. DBE Name: \_\_\_\_\_
2. Primary contact Person: \_\_\_\_\_
3. Principal office address: \_\_\_\_\_
4. Phone: \_\_\_\_\_
5. Fax: \_\_\_\_\_
6. Email: \_\_\_\_\_

**B. Form of Organization**

1. If the DBE or any Member is a **corporation**:
  - a. Date incorporated: \_\_\_\_\_
  - b. Under laws of what state: \_\_\_\_\_

- c. If a privately held corporation, list all shareholders who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the articles of incorporation.

2. If the DBE or any Member is a **limited liability company**:

- a. Date formed: \_\_\_\_\_
- b. Under laws of what state: \_\_\_\_\_
- c. List all LLC members who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the articles of organization.

3. If the DBE or any Member is a **partnership**:

- a. Date formed: \_\_\_\_\_
- b. Under laws of what state: \_\_\_\_\_

c. List all partners who will perform work on the project:

Name	Ownership Percentage

d. Attach a copy of the partnership agreement.

4. If the DBE or any Member is a **joint venture**:

a. Date formed: \_\_\_\_\_

b. Under laws of what state: \_\_\_\_\_

c. List all joint venture members who will perform work on the project:

Name	Ownership Percentage

d. Attach a copy of the joint venture agreement.

5. If the DBE or any Member is a **sole proprietorship**:

a. Date formed: \_\_\_\_\_

b. Under laws of what state: \_\_\_\_\_

c. List owner: \_\_\_\_\_

d. Attach a copy of organizational documents, if any.

**C. Financial Capacity**

1. Attach an audited financial statement with accompanying notes and supplemental information for the past 2 full fiscal years for DBE and each entity Member (not

individual Members). A letter verifying availability of a line of credit may also be attached; however, it will be considered supplemental information only, and is not a substitute for the required financial statement.

2. Is DBE or any Member currently, or has DBE or any Member within the last 5 years been, the debtor in a bankruptcy case?

Yes  No

If "yes," please attach a copy of the bankruptcy petition and a copy of the bankruptcy court's discharge or any other document that ended the case, if any.

## II. **LICENSING AND REGISTRATION**

### A. **General Contractor**

1. Name of license holder exactly as on file with the Contractors State License Board ("CSLB"): \_\_\_\_\_
2. License classification(s): \_\_\_\_\_
3. License #: \_\_\_\_\_
4. Issue Date: \_\_\_\_\_
5. Expiration Date: \_\_\_\_\_
6. Public Works Contractor Registration # on file with the Department of Industrial Relations ("DIR"): \_\_\_\_\_
7. Has any CSLB license held by the general contractor or its qualifying individual been suspended or revoked within the last 5 years?

Yes  No

If "yes," explain on a separate signed sheet.

8. Has the general contractor changed names or license numbers within the past 5 years?

Yes  No

If "yes," explain on a separate signed sheet.

**B. Architect of Record**

The architect of record is the architect whose stamp will appear on the project Construction/Contract Documents.

1. Name of license holder exactly as on file with the California Architects Board ("CAB"): \_\_\_\_\_  
\_\_\_\_\_
2. License #: \_\_\_\_\_
3. Issue Date: \_\_\_\_\_
4. Expiration Date: \_\_\_\_\_
5. Has any CAB license held by the architect of record been suspended or revoked within the last 5 years?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet.

6. Has the architect of record changed names or license numbers within the past 5 years?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet.

**C. Engineer(s)**

Engineering services will be dictated by the nature of the project. The DBE should respond for all "in house" engineers or consulting engineers that will provide services on the project. If relevant, use additional signed sheets to respond for multiple engineering disciplines.

1. Name of license holder exactly as on file with the Board of Professional Engineers, Land Surveyors, and Geologists ("BPELSG"): \_\_\_\_\_
2. License Type: \_\_\_\_\_
3. Licenses #: \_\_\_\_\_
4. Issue Date: \_\_\_\_\_
5. Has any BPELSG license held by the engineer been suspended or revoked within the last 5 years?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet.

6. Has the engineer changed names or license numbers within the past 5 years?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet.

**D. Mechanical, Electrical, Plumbing Subcontractor(s), or other Trade Partners**

If utilized in the design of the project, respond for all Member electrical, or plumbing ("MEP") contractors. If relevant, use additional signed sheets to respond for multiple MEP contractors.

1. Name of license holder exactly as on file with the Contractors State License Board ("CSLB"): \_\_\_\_\_
2. License classification(s): \_\_\_\_\_
3. License #: \_\_\_\_\_
4. Issue Date: \_\_\_\_\_
5. Expiration Date: \_\_\_\_\_
6. Public Works Contractor Registration # on file with the Department of Industrial Relations ("DIR"): \_\_\_\_\_
7. Has any CSLB license held by the MEP contractor or its qualifying individual been suspended or revoked within the last 5 years?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet.

8. Has the general contractor changed names or license numbers within the past 5 years?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet.

**E. Pre-Engineered/Fabricated Steel Building Manufacturer**

1. Name of license holder exactly as on file with the Contractors State License Board ("CSLB"): \_\_\_\_\_
2. License classification(s): \_\_\_\_\_
3. License #: \_\_\_\_\_
4. Issue Date: \_\_\_\_\_
5. Expiration Date: \_\_\_\_\_
6. Public Works Contractor Registration # on file with the Department of Industrial Relations ("DIR"): \_\_\_\_\_
7. Has any CSLB license held by the manufacturer or its qualifying individual been suspended or revoked within the last 5 years?

Yes  No

If "yes," explain on a separate signed sheet.

8. Has the manufacturer changed names or license numbers within the past 5 years?

Yes  No

If "yes," explain on a separate signed sheet.

### **III. PERFORMANCE HISTORY**

1. Has DBE or any Member or Associate ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity?

Yes  No

If "yes," explain on a separate signed sheet, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date, and the basis for the finding.

2. Has DBE or any Member or Associate ever been convicted of a crime involving any federal, state, or local law related to construction or any crime involving fraud, theft, or any other act of dishonesty?

Yes  No

If "yes," explain on a separate signed sheet, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

3. At any time in the last 5 years, has DBE or any Member been assessed liquidated damages under a construction contract?

Yes  No

If "yes," explain on a separate signed sheet, including the project, owner, owner's address, date of completion, and amount of liquidated damages.

4. At any time in the last 5 years, has DBE or any Member or Associate been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any public works project?

Yes  No

If "yes," explain on a separate signed sheet, including the project, the year of the event, owner, owner's address, and basis for the action.

5. At any time in the last 5 years, has a public agency found that DBE or any Member was not a responsible bidder?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet, including the project, the year of the event, owner, owner's address, and basis for the finding.

- 6. In the past 5 years, has any claim exceeding \$50,000 been filed by or against DBE or any Member in court or arbitration concerning work or payment on a construction project?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet, including the project name, court or arbitration case name and number, and a brief description of the status of the claim.

- 7. In the past 5 years, has there been more than one occasion in which DBE or any DBE member was required to pay either back wages or penalties for failure to comply with California prevailing wage laws or federal Davis-Bacon prevailing wage requirements?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet, describing the nature of the violation(s), project, owner, and amount paid, if any.

- 8. At any time during the past 5 years, has DBE or any Member been found to have violated any provision of California apprenticeship laws or regulations, or laws pertaining to use of apprentices on public works projects?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on a separate signed sheet, including date(s) of such findings and attaching the DAS' final decision(s).

**IV. BONDS AND INSURANCE**

**A. Bonds**

- 1. Attach a notarized statement from an admitted surety insurer (approved by the California Department of Insurance and authorized to issue bonds in the State of California), which states the current bonding capacity of the DBE (both single job limit and aggregate limit). Note: DBE must have capacity to provide 100% payment bond and 100% performance bond, each issued by an admitted surety insurer, without bonding subcontractors.
- 2. Provide the name, address, and telephone number of the surety agent: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
- 3. List all sureties that have written bonds to the DBE or any Member during the last 5 years:

Name	Address	Date of Bond


4. In the last 5 years, has any surety paid on behalf of the DBE or any Member a result of a default to satisfy any claims made against a payment or performance bond?

Yes                       No

If "yes," explain on a separate signed sheet, including the amount of each claim, name and telephone number of claimant, date of and grounds for the claim, and present status.

5. If DBE or any Member was required to pay a premium of more than 1 percent for a performance and payment bond on any project in the last 5 years, state the percentage: \_\_\_\_\_

Explain on a separate signed sheet why DBE or Member was required to pay the premium of more than 1 percent.

6. In the last 5 years, has DBE or any Member been denied bond coverage by a surety company or had no surety bond in place when once was required?

Yes                       No

If "yes," explain on a separate signed sheet, including the name of the surety company and/or period during which DBE or Member had no bond in place.

**B. Insurance**

1. Does DBE have liability insurance with a policy limit of at least \$2,000,000 per occurrence and \$4,000,000 aggregate for a California admitted company?

Yes                       No

If "no," provide on a separate signed sheet what policy limits are available to DBE.

2. Does DBE have current workers' compensation insurance as required by the California Labor Code or is DBE legally self-insured pursuant the California Labor Code?

3. Does DBE have professional liability (errors and omissions) insurance with a policy limit of at least \$2,000,000 aggregate from a California admitted company?

Yes                       No

If "no," provide on a separate signed sheet what policy limits are available to DBE.

4. Will you maintain a Builder’s Risk, Course of Construction or similar first party property coverage that will be issued on a full replacement cost value basis consistent with the total replacement cost of all insurable Work and the Project, as outlined within the Contract Documents?

Yes  No

5. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew an insurance policy for DBE or any Member?

Yes  No

If “yes,” explain on a separate signed sheet, including the name of the insurance carrier, form of insurance, and year of the refusal.

**V. SAFETY**

1. Attach a description, not to exceed 1 page, of DBE’s worker safety program as applicable to this project.

2. Within the past 5 years, has the California or federal Occupation Safety and Health Administration (“OSHA”) cited and assessed penalties against DBE or any Member, for “serious,” “willful” or “repeat” violations of its safety or health regulations?

Yes  No

If “yes,” explain on a separate signed sheet, identifying the citation(s), nature of the violation(s), project, and amount of penalty paid, if any.

3. Within the past 5 years, has the Environmental Protection Agency (“EPA”) or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against DBE or any Member or the owner of the project on which DBE/Member was the contractor?

Yes  No

If “yes,” explain on a separate signed sheet, identifying the citation(s), nature of the violation(s), project, and amount of penalty paid, if any.

4. State the Workers’ Compensation Experience Modification Rate (“EMR”) for DBE and each Member for the past 3 premium years:

Year	EMR

If EMR was 1.00 or higher in any of 3 years, attach a letter of explanation.

5. State the total recordable injury or illness rate and the lost work rate for DBE and each Member for the past 3 years:

Year	Incident Rate	Lost Work Rate

6. Within the past 5 years, has there ever been a period when DBE or any Member had employees but was without workers' compensation insurance or state-approved self-insurance?

\_\_\_ Yes                      \_\_\_ No

If "yes," explain on separate signed sheet, including the date(s) and reason(s) for the absence of workers' compensation insurance.

**VI. PROJECT EXPERIENCE AND REFERENCES**

1. How many local design-build projects have the general contractor and architect of record involved in this DBE completed together? \_\_\_\_\_
2. For the completed local design-build projects identified in the preceding answer, state:
  - a. Total dollar value of all contracts: \_\_\_\_\_
  - b. Dollar value of single largest contract: \_\_\_\_\_
3. How many California K-12 public school construction projects (both under construction and completed) has/have:
  - a. The general contractor for the DBE built in the past 5 years? \_\_\_\_\_
  - b. The architect of record for the DBE designed in the past 5 years? \_\_\_\_\_
  - c. The engineer(s) for the DBE designed in the past 5 years? \_\_\_\_\_
  - d. The MEP contractor(s)/trade partners for the DBE worked on in the past 5 years? \_\_\_\_\_
4. How many M&O/Corporation Yard types of construction projects (both under construction and completed) has/have:
  - a. The general contractor for the DBE built in the past 5 years? \_\_\_\_\_
  - b. The architect of record for the DBE designed in the past 5 years? \_\_\_\_\_
  - c. The engineer(s) for the DBE designed in the past 5 years? \_\_\_\_\_

- d. The MEP contractor(s)/trade partners for the DBE worked on in the past 5 years? \_\_\_\_\_
5. Describe the DBE's ability to self-perform work, and if so, state the trades.
6. Attach an organizational chart and include resumes or similar documents, not to exceed 1 page each, showing the experience, training, and qualifications for up to 6 proposed key personnel of the DBE.
7. Complete **both** project reference forms attached hereto as **Exhibits A-1, A-2, and A-3**. District may, in its discretion, contact project references.

**VII. SKILLED AND TRAINED WORKFORCE COMPLIANCE**

1. By this submittal, DBE hereby acknowledges, agrees, and hereby provides an enforceable commitment to District that:
  - a. DBE and its subcontractors at every tier will use a skilled and trained workforce to perform all work on the project or contract that falls within an apprenticeable occupation in the building and construction trades, in accordance with Public Contract Code section 2600 et seq.; or
  - b. DBE has agreed to be bound by: (i) a project labor agreement ("PLA") entered into by the District that will bind all contractors and subcontractors performing work on the project to use a skilled and trained workforce; (ii) the extension or renewal of a PLA that was entered into by the District prior to January 1, 2017; or (iii) a PLA entered into by the DBE that will bind the DBE and all its subcontractors at every tier performing work on the project to use a skilled and trained workforce.

[CERTIFICATION ON NEXT PAGE]

**VIII. CERTIFICATION**

DBE and all Members must sign. Copy this certification page as needed for each Member.

I certify and declare that I have read all the foregoing answers to this prequalification submittal template; that all answers are correct and complete of my own knowledge and belief. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: \_\_\_\_\_, 2018

Name of DBE or Member: \_\_\_\_\_

Signature by authorized individual: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

**EXHIBIT A-1**

**Design-Build Project References**

List the three most recent design-build projects, each with a contract price over \$1 million, completed by the general contractor for the DBE. The projects may be public or private. Contact information must be current and viable. (Copy additional sheets.)

1. Project Name: \_\_\_\_\_

2. Project Address: \_\_\_\_\_

\_\_\_\_\_

3. Owner (name and tel. #): \_\_\_\_\_

\_\_\_\_\_

4. Architect (name and tel. #): \_\_\_\_\_

\_\_\_\_\_

5. Construction Manager (name and tel. #): \_\_\_\_\_

\_\_\_\_\_

6. Scope of Work: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

7. Original Completion Date: \_\_\_\_\_

8. Actual Complete Date: \_\_\_\_\_

9. Time Extensions Granted (# of days): \_\_\_\_\_

10. Initial Contract Value: \_\_\_\_\_

11. Final Contract Value: \_\_\_\_\_

Date: \_\_\_\_\_, 2018

Signature by authorized individual: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

**EXHIBIT A-2**

**CA K-12 Public School Project References**

List the three most recent California K-12 public school construction projects, each with a contract price over \$1 million, completed by the general contractor for the DBE. Contact information should be current and viable. (Copy additional sheets.)

1. Project Name: \_\_\_\_\_

2. Project Address: \_\_\_\_\_

\_\_\_\_\_

3. Owner (name and tel. #): \_\_\_\_\_

\_\_\_\_\_

4. Architect (name and tel. #): \_\_\_\_\_

\_\_\_\_\_

5. Construction Manager (name and tel. #): \_\_\_\_\_

\_\_\_\_\_

6. Scope of Work: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

7. Was this a design-build project? \_\_\_\_\_

8. Original Completion Date: \_\_\_\_\_

9. Actual Complete Date: \_\_\_\_\_

10. Time Extensions Granted (# of days): \_\_\_\_\_

11. Initial Contract Value: \_\_\_\_\_

12. Final Contract Value: \_\_\_\_\_

Date: \_\_\_\_\_, 2018

Signature by authorized individual: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

**EXHIBIT A-3**

**M&O/Corporation Yard Project References**

List the three most recent M&O/Corporation Yard types of construction projects, each with a contract price over \$1 million, completed by the general contractor for the DBE. Contact information should be current and viable. (Copy additional sheets.)

1. Project Name: \_\_\_\_\_

2. Project Address: \_\_\_\_\_

\_\_\_\_\_

3. Owner (name and tel. #): \_\_\_\_\_

\_\_\_\_\_

4. Architect (name and tel. #): \_\_\_\_\_

\_\_\_\_\_

5. Construction Manager (name and tel. #): \_\_\_\_\_

\_\_\_\_\_

6. Scope of Work: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

7. Was this a design-build project? \_\_\_\_\_

8. Original Completion Date: \_\_\_\_\_

9. Actual Complete Date: \_\_\_\_\_

10. Time Extensions Granted (# of days): \_\_\_\_\_

11. Initial Contract Value: \_\_\_\_\_

12. Final Contract Value: \_\_\_\_\_

Date: \_\_\_\_\_, 2018

Signature by authorized individual: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

